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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009Open to Public
Inspection**A For the 2009 calendar year, or tax year beginning****and ending****B Check if applicable**

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

Please use IRS label or print or type
See Specific Instructions**C Name of organization****AMERICAN SOCIETY FOR LASER MEDICINE AND SURGERY, INC.****Doing Business As**

Number and street (or P.O. box if mail is not delivered to street address)

2100 STEWART AVENUE

Room/suite

240

City or town, state or country, and ZIP + 4

WAUSAU, WI 54401**F Name and address of principal officer****GEORGE J. HRUZA, M.D., M****2100 STEWART AVENUE, WAUSAU, WI 54401****D Employer identification number****39-1397899****E Telephone number****715-845-9283****G Gross receipts \$****2,649,326.****H(a) Is this a group return**

for affiliates?

☐ Yes☒ No**H(b) Are all affiliates included?**☐ Yes☐ No

If "No," attach a list (see instructions)

H(c) Group exemption number ▶**I Tax-exempt status**☒ 501(c) (3)

▶ (insert no)

☐ 4947(a)(1) or☐ 527**J Website: ▶ WWW.ASLMS.ORG****K Form of organization:**☒ Corporation☐ Trust☐ Association☐ Other ▶**L Year of formation:****1981****M State of legal domicile:****WI****Part I Summary**

Activities & Governance	1	Briefly describe the organization's mission or most significant activities: SEE STATEMENT 2	
	2	Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets	
	3	Number of voting members of the governing body (Part VI, line 1a)	19
	4	Number of independent voting members of the governing body (Part VI, line 1b)	19
	5	Total number of employees (Part V, line 2a)	8
	6	Total number of volunteers (estimate if necessary)	0
	Revenue	7a	Total gross unrelated business revenue from Part VIII, column (C), line 12
7b		Net unrelated business taxable income from Form 990-T, line 34	147.
8		Contributions and grants (Part VIII, line 1h)	1,090,124.
9		Program service revenue (Part VIII, line 1g)	828,262.
10		Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,591,112.
11		Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	1,188,225.
12		Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	90,577.
13		Grants and similar amounts paid (Part IX, column (A), lines 1-3)	-39,643.
14		Benefits paid to or for members (Part IX, column (A), line 4)	223,119.
15		Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	90,793.
Expenses	16a	Professional fundraising fees (Part IX, column (A), line 11e)	2,994,932.
	16b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 16,487.	2,067,637.
	17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	385,082.
	18	Total expenses—add lines 13-17 (must equal Part IX, column (A), line 25)	296,774.
	19	Revenue less expenses—subtract line 18 from line 12	597,229.
	20	Total assets (Part X, line 16)	1,799,463.
	21	Total liabilities (Part X, line 26)	1,471,600.
	22	Net assets or fund balances—subtract line 21 from line 20	2,781,774.
	23	Beginning of Current Year	2,317,972.
	24	End of Year	213,158.
Net Assets or Fund Balances	25	Beginning of Current Year	-250,335.
	26	End of Year	2,963,454.
	27	Beginning of Current Year	3,260,249.
	28	End of Year	944,994.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign
Here

Signature of officer

Date

4/15/10**GEORGE J. HRUZA, M.D., MBA, TREASURER**

Type or print name and title

Paid
Preparer's
Use OnlyPreparer's
signature**KATHLEEN J. LABRAKE, CPA**

Date

04/08/10Check if
self-employedPreparer's identifying number
(see instructions)Firm's name (or
yours if
self-employed),
address, and
ZIP + 4**WIPFLI LLP****PO BOX 8010****WAUSAU, WI 54402-8010**

EIN ▶

Phone no. ▶ **715-845-3111**

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes☐ No

SCANNED JUN 02 2010

Part III Statement of Program Service Accomplishments

1 Briefly describe the organization's mission: SEE SCHEDULE O FOR CONTINUATION
THE VISION OF THE AMERICAN SOCIETY FOR LASER MEDICINE AND SURGERY IS
TO BE THE WORLD'S PRE-EMINENT RESOURCE FOR BIOMEDICAL LASER AND OTHER
RELATED TECHNOLOGIES, RESEARCH, EDUCATION, AND CLINICAL KNOWLEDGE.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☒ Yes ☐ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☒ Yes ☐ No

If "Yes," describe these changes on Schedule O

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses \$ 296,776. including grants of \$ 296,774.) (Revenue \$)
GRANTS FOR RESEARCH, STUDENT TRAVEL AND PROFESSIONAL DEVELOPMENT - SEE
STATEMENT 3

4b (Code) (Expenses \$ 479,933. including grants of \$) (Revenue \$)
PUBLICATIONS - SEE STATEMENT 4

4c (Code.) (Expenses \$ 1,058,740. including grants of \$) (Revenue \$ 817,894.)
.THE SOCIETY HOLDS AN ANNUAL MEETING TO TRANSACT BUSINESS. MEMBERS
ATTEND PRESENTATIONS OF LASER RESEARCH APPLICATIONS AND DEVELOPMENTS.

4d Other program services (Describe in Schedule O.)
 (Expenses \$ 131,837. including grants of \$) (Revenue \$ 290,539.)

4e Total program service expenses ► \$ 1,967,286.

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

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Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i>	X	
2 Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i>		X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities? <i>If "Yes," complete Schedule C, Part II</i>		X
5 Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations. Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? <i>If "Yes," complete Schedule C, Part III</i>		X
6 Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i>	X	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i>		X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i>		X
9 Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i>		X
10 Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i>		X
11 Is the organization's answer to any of the following questions "Yes"? <i>If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable</i>	X	
• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>		
• Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		
• Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i>		
• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i>		
• Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>		
• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? <i>If "Yes," complete Schedule D, Part X</i>		
12 Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI, XII, and XIII.</i>	X	
12A Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional</i>	Yes	No
	X	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i>		X
14a Did the organization maintain an office, employees, or agents outside of the United States?		X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, and program service activities outside the United States? <i>If "Yes," complete Schedule F, Part I</i>		X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Part II</i>		X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Part III</i>		X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i>		X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i>	X	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i>		X
20 Did the organization operate one or more hospitals? <i>If "Yes," complete Schedule H</i>		X

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Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>		X
22 Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	X	
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties, (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28c An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1</i>		X
35 Is any related organization a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19?	X	

Note. All Form 990 filers are required to complete Schedule O

**AMERICAN SOCIETY FOR LASER MEDICINE AND
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Part V Statements Regarding Other IRS Filings and Tax Compliance

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U S Information Returns Enter -0- if not applicable		
1a	19		
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable		
1b	0		
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return		
2a	8		
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file this return. (see instructions)	X	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year covered by this return?	X	
3b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	X	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		X
4b	If "Yes," enter the name of the foreign country: _____ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		X
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		X
5c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible?		X
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?		X
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		X
7d	If "Yes," indicate the number of Forms 8282 filed during the year		
7e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		X
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		X
7g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?		
7h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?		
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		
9	Sponsoring organizations maintaining donor advised funds.		
9a	Did the organization make any taxable distributions under section 4966?		
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		
10	Section 501(c)(7) organizations. Enter:		
10a	Initiation fees and capital contributions included on Part VIII, line 12		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter:		
11a	Gross income from members or shareholders		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body	19	
b Enter the number of voting members that are independent	19	
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	X
4 Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?	4	X
5 Did the organization become aware during the year of a material diversion of the organization's assets?	5	X
6 Does the organization have members or stockholders?	6	X
7a Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?	7a	X
b Are any decisions of the governing body subject to approval by members, stockholders, or other persons?	7b	X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a The governing body?	8a	X
b Each committee with authority to act on behalf of the governing body?	8b	X
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O.	9	X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Does the organization have local chapters, branches, or affiliates?	10a	X
b If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b	
11 Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	X
11A Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Does the organization have a written conflict of interest policy? If "No," go to line 13.	12a	X
b Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X
c Does the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this is done.	12c	X
13 Does the organization have a written whistleblower policy?	13	X
14 Does the organization have a written document retention and destruction policy?	14	X
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official	15a	X
b Other officers or key employees of the organization	15b	X
If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions.)		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	X
b If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **WI**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization. **▶**
DIANNE DALSKY - 715-845-9283
2100 STEWART AVE, WAUSAU, WI 54401

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Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

• List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

• List all of the organization's **current** key employees. See instructions for definition of "key employee."

• List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

• List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

• List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☒ Check this box if the organization did not compensate any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
NATHANIEL M. FRIED, PH.D BASIC SCIENCE	0.50	X						0.	0.	0.
JUANITA J. ANDERS, PH.D BASIC SCIENCE	0.50	X						0.	0.	0.
GUILLERMO AQUILAR, PH.D. BIOMEDICAL ENGINEERING	0.50	X						0.	0.	0.
ROBERT E. GROVE, PH.D. INDUSTRIAL/MARKETING	0.50	X						0.	0.	0.
KATHLEEN MCMILLAN, PH.D. INDUSTRIAL/MARKETING	0.50	X						0.	0.	0.
MELANIE C. GROSSMAN, M.D LASER MEDICINE	0.50	X						0.	0.	0.
PETRA E. WILDER-SMITH, D LASER MEDICINE	0.50	X						0.	0.	0.
RONALD WAYNANT, PH.D LASER SAFETY	0.50	X						0.	0.	0.
BRIAN D. ZELICKSON, M.D. LASER SURGERY	0.50	X						0.	0.	0.
KAREN M. JOOS, M.D, PH.D LASER SURGERY	0.50	X						0.	0.	0.
ARIELLE N.B. KAUVAR, M.D LASER SURGERY	0.50	X						0.	0.	0.
PATRICIA A. OWENS, R.N NURSING/ALLIED HEALTH	0.50	X						0.	0.	0.
RAYMOND J. LANZAFAME, M. DIRECTOR OF CONTINUING M	0.50	X						0.	0.	0.
DAVID H. SLINNEY, PH.D. DIRECTOR OF SAFETY	0.50	X						0.	0.	0.
J. STUART NELSON, M.D., PH EDITOR-IN-CHIEF	0.50	X						0.	0.	0.
DAVID J. GOLDBERG, M.D, LEGAL ADVISOR	0.50	X						0.	0.	0.
BRIAN D. ZELICKSON, M.D. VICE PRESIDENT	0.50	X						0.	0.	0.

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Form 990 (2009)

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Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
ROBERT A. WEISS, M.D. LASER MEDICINE	0.50	X						0.	0.	0.
ELIZABETH L. TANZI, M.D. LASER SURGERY	0.50	X						0.	0.	0.
JASON N. POZNER, M.D. LASER SURGERY	0.50	X						0.	0.	0.
BRIAN S. BIESMAN, M.D. PRESIDENT/PAST PRESIDENT	0.50			X				0.	0.	0.
E.DUCO JANSEN, PH.D. VICE PRESIDENT/PRESIDENT	0.50			X				0.	0.	0.
E.VICTOR ROSS, M.D. PAST PRESIDENT	0.50			X				0.	0.	0.
R.ROX ANDERSON, M.D. PRESIDENT ELECT/PRESIDENT	0.50			X				0.	0.	0.
J.STUART NELSON, M.D., PH SECRETARY	0.50			X				0.	0.	0.
RICHARD O. GREGORY, M.D. HISTORIAN	0.50			X				0.	0.	0.
GEORGE J. HRUZA, M.D, MB TREASURER	0.50			X				0.	0.	0.
1b Total								0.	0.	0.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 in reportable compensation from the organization **1**

	Yes	No
3 Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>		X
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>		X
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation
JOHN WILEY & SONS, INC 111 RIVER STREET, HOBOKEN, NJ 07030	PUBLISHER OF THE SOCIETY'S OFFICIAL J	237,880.
PRODUCTION ASSOCIATES, LLC, 1900 CAMPUS COMMONS DR, STE 100, RESTON, VA 20191	AUDIOVISUAL SERVICES FOR ANNUAL CONFEREN	195,729.
GAYLORD NATIONAL, 201 WATERFRONT STREET, NATIONAL HARBOR, MD 20745	2009 CONFERENCE CONVENTION CENTER/HO	175,708.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 in compensation from the organization **3**

SEE SCHEDULE J-2 FOR PART VII, SECTION A CONTINUATION

Form **990** (2009)

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

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Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1 a Federated campaigns	1a					
	b Membership dues	1b	688,056.				
	c Fundraising events	1c	107,821.				
	d Related organizations	1d					
	e Government grants (contributions)	1e					
	f All other contributions, gifts, grants, and similar amounts not included above	1f	32,385.				
	g Noncash contributions included in lines 1a-1f \$						
	h Total. Add lines 1a-1f			828,262.			
Program Service Revenue	2 a <u>ANNUAL MEETING</u>	<u>Business Code</u> 541900		817,894.	817,894.		
	b <u>COURSES & SEMINARS</u>	541900		274,539.	274,539.		
	c <u>MISCELLANEOUS</u>	541900		79,792.	79,792.		
	d <u>INDUSTRY ADVISORY COUN</u>	541900		16,000.	16,000.		
	e						
	f All other program service revenue						
	g Total. Add lines 2a-2f			1,188,225.			
	Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)			35,850.		
4 Income from investment of tax-exempt bond proceeds							
5 Royalties				120,191.	107,861.	12,330.	
6 a Gross Rents		(i) Real	(ii) Personal				
b Less rental expenses							
c Rental income or (loss)							
d Net rental income or (loss)							
7 a Gross amount from sales of assets other than inventory		(i) Securities	(ii) Other				
		476,798.					
b Less cost or other basis and sales expenses		551,342.	949.				
c Gain or (loss)		-74,544.	-949.				
d Net gain or (loss)				-75,493.	-75,493.		
8 a Gross income from fundraising events (not including \$ 107,821. of contributions reported on line 1c) See Part IV, line 18							
b Less: direct expenses							
c Net income or (loss) from fundraising events				-29,398.			-29,398.
9 a Gross income from gaming activities See Part IV, line 19							
b Less direct expenses							
c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances							
b Less: cost of goods sold							
c Net income or (loss) from sales of inventory							
Miscellaneous Revenue			Business Code				
11 a							
b							
c							
d All other revenue							
e Total. Add lines 11a-11d							
12 Total revenue. See instructions.				2,067,637.	1,220,593.	12,330.	6,452.

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Form 990 (2009)

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Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.

All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21				
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	296,774.	296,774.		
3 Grants and other assistance to governments, organizations, and individuals outside the U.S. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	429,460.	279,150.	137,427.	12,883.
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	33,668.	21,884.	10,774.	1,010.
9 Other employee benefits	55,662.	36,180.	17,812.	1,670.
10 Payroll taxes	30,808.	20,025.	9,859.	924.
11 Fees for services (non-employees).				
a Management				
b Legal	17,461.	11,350.	6,111.	
c Accounting	32,617.	21,201.	11,416.	
d Lobbying				
e Professional fundraising services. See Part IV, line 17				
f Investment management fees				
g Other				
12 Advertising and promotion				
13 Office expenses	96,771.	62,901.	33,870.	
14 Information technology				
15 Royalties				
16 Occupancy	95,274.	61,928.	33,346.	
17 Travel				
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	707,109.	686,664.	20,445.	
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	15,128.	9,833.	5,295.	
23 Insurance	27,834.		27,834.	
24 Other expenses. Itemize expenses not covered above. (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below.)				
a JOURNAL AND NEWSLETTERS	309,437.	309,437.		
b SEMINARS & COURSES	82,549.	82,549.		
c WEBSITE	25,358.	25,358.		
d STRATEGIC PLANNING	23,576.	11,788.	11,788.	
e COMPUTER	23,000.	14,950.	8,050.	
f All other expenses	15,486.	15,314.	172.	
25 Total functional expenses. Add lines 1 through 24f	2,317,972.	1,967,286.	334,199.	16,487.
26 Joint costs. Check here ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	100.	1	100.
	2 Savings and temporary cash investments	419,307.	2	268,389.
	3 Pledges and grants receivable, net		3	
	4 Accounts receivable, net		4	
	5 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		5	
	6 Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B). Complete Part II of Schedule L.		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	69,154.	9	81,593.
	10a Land, buildings, and equipment - cost or other basis. Complete Part VI of Schedule D.	10a 161,294.		
	b Less: accumulated depreciation	10b 69,588.	10c	91,706.
	11 Investments - publicly traded securities	2,406,761.	11	2,811,227.
	12 Investments - other securities. See Part IV, line 11.		12	
	13 Investments - program-related. See Part IV, line 11.		13	
	14 Intangible assets		14	
	15 Other assets. See Part IV, line 11.	9,145.	15	7,234.
16 Total assets. Add lines 1 through 15 (must equal line 34).	2,963,454.	16	3,260,249.	
Liabilities	17 Accounts payable and accrued expenses	60,835.	17	74,486.
	18 Grants payable		18	
	19 Deferred revenue	884,159.	19	820,358.
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D.		21	
	22 Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities. Complete Part X of Schedule D.		25	
	26 Total liabilities. Add lines 17 through 25.	944,994.	26	894,844.
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ☒ and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	1,608,248.	27	1,998,883.
	28 Temporarily restricted net assets	410,212.	28	165,572.
	29 Permanently restricted net assets	0.	29	200,950.
	Organizations that do not follow SFAS 117, check here ☐ and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	2,018,460.	33	2,365,405.
	34 Total liabilities and net assets/fund balances	2,963,454.	34	3,260,249.

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Form 990 (2009)

39-1397899 Page **12**

Part XI Financial Statements and Reporting

- 1** Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O
- 2a** Were the organization's financial statements compiled or reviewed by an independent accountant?
- b** Were the organization's financial statements audited by an independent accountant?
- c** If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O
- d** If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both
☒ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis
- 3a** As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
- b** If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.

	Yes	No
2a		X
2b	X	
2c	X	
3a		X
3b		

Form **990** (2009)

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	AMERICAN SOCIETY FOR LASER MEDICINE AND SURGERY, INC.	Employer identification number	39-1397899
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The organization is not a private foundation because it is: (For lines 1 through 11, check only one box)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☒ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____

g ☐ Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii) A family member of a person described in (i) above?

(iii) A 35% controlled entity of a person described in (i) or (ii) above?

h ☐ Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

[illegible]

Schedule A (Form 990 or 990-EZ) 2009

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ▶ ☐						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	%
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	%
16a 33 1/3% support test - 2009. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ ☐		
b 33 1/3% support test - 2008. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ▶ ☐		
17a 10% -facts-and-circumstances test - 2009. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐		
b 10% -facts-and-circumstances test - 2008. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐		

AMERICAN SOCIETY FOR LASER MEDICINE AND

Schedule A (Form 990 or 990-EZ) 2009 **SURGERY, INC.**

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Part III Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in)▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	623,120.	942,826.	943,997.	1090124.	828,262.	4428329.
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose	995,922.	1159258.	1244320.	1591112.	1188225.	6178837.
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5	1619042.	2102084.	2188317.	2681236.	2016487.	10607166.
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0.
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0.
c Add lines 7a and 7b						0.
8 Public support (Subtract line 7c from line 6)						10607166.

Section B. Total Support

Calendar year (or fiscal year beginning in)▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6	1619042.	2102084.	2188317.	2681236.	2016487.	10607166.
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	31,841.	56,288.	73,238.	65,113.	35,850.	262,330.
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b	31,841.	56,288.	73,238.	65,113.	35,850.	262,330.
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	114,903.	105,968.	203,437.	207,701.	120,191.	752,200.
13 Total support (Add lines 9, 10c, 11, and 12)	1765786.	2264340.	2464992.	2954050.	2172528.	11621696.

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	91.27 %
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	90.92 %

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	2.26 %
18 Investment income percentage from 2008 Schedule A, Part III, line 17	18	2.25 %

19a 33 1/3% support tests - 2009. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶ ☒

b 33 1/3% support tests - 2008. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ▶ ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Schedule A (Form 990 or 990-EZ) 2009

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12. Provide any other additional information. See instructions.

SCHEDULE A, PART III, LINE 12, EXPLANATION FOR OTHER INCOME:

ROYALTIES

MISCELLANEOUS

WEB SITE INCOME

Schedule D
(Form 990)Department of the Treasury
Internal Revenue Service**Supplemental Financial Statements**

▶ Complete if the organization answered "Yes," to Form 990,

Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2009Open to Public
InspectionName of the organization **AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Employer identification number

39-1397899**Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the

organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	1	
2 Aggregate contributions to (during year)	200,950.	
3 Aggregate grants from (during year)	0.	
4 Aggregate value at end of year	200,950.	
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		☒ Yes ☐ No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		☒ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure)	☐ Preservation of an historically important land area
☐ Protection of natural habitat	☐ Preservation of a certified historic structure
☐ Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

- 1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items.
- b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:
- | | |
|--|------------|
| (i) Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| (ii) Assets included in Form 990, Part X | ▶ \$ _____ |
- 2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items:
- | | |
|--|------------|
| a Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| b Assets included in Form 990, Part X | ▶ \$ _____ |

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply):

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other _____c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☐ No**Part IV Escrow and Custodial Arrangements.** Complete if organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIV

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as

a Board designated or quasi-endowment ► _____ %

b Permanent endowment ► _____ %

c Term endowment ► _____ %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

(i) unrelated organizations

(ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIV the intended uses of the organization's endowment funds.

Part VI Investments - Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements				
d Equipment		161,294.	69,588.	91,706.
e Other				

Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c))

91,706.

Schedule D (Form 990) 2009

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	2,067,637.
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	2,317,972.
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	-250,335.
4	Net unrealized gains (losses) on investments	4	597,280.
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net) Add lines 4 through 8	9	597,280.
10	Excess or (deficit) for the year per audited financial statements Combine lines 3 and 9	10	346,945.

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements	1	2,695,263.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	597,279.
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIV.)	2d	949.
e	Add lines 2a through 2d	2e	598,228.
3	Subtract line 2e from line 1	3	2,097,035.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	-29,398.
c	Add lines 4a and 4b	4c	-29,398.
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	2,067,637.

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements	1	2,348,318.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIV.)	2d	948.
e	Add lines 2a through 2d	2e	948.
3	Subtract line 2e from line 1	3	2,347,370.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIV.)	4b	-29,398.
c	Add lines 4a and 4b	4c	-29,398.
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	2,317,972.

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

LOSS ON DISPOSAL OF EQUIPMENT

PART XII, LINE 4B - OTHER ADJUSTMENTS:

DIRECT FUNDRAISING EXPENSES

PART XIII, LINE 2D - OTHER ADJUSTMENTS:

Part XIV Supplemental Information *(continued)*

LOSS ON DISPOSAL OF EQUIPMENT

PART XIII, LINE 4B - OTHER ADJUSTMENTS:

DIRECT FUNDRAISING EXPENSES

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.**
▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No. 1545-0047

2009

Open To Public Inspection

Name of the organization **AMERICAN SOCIETY FOR LASER MEDICINE AND SURGERY, INC.**

Employer identification number
39-1397899

Part I

Fundraising Activities.

Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part

- 1** Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a ☐ Mail solicitations
b ☐ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

- 2 a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☐ Yes☐ No

- b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

[illegible]

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

[illegible]

AMERICAN SOCIETY FOR LASER MEDICINE AND

Schedule G (Form 990 or 990-EZ) 2009

SURGERY, INC.

39-1397899 Page 2

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than \$5,000.

		(a) Event #1 SILENT AUCTION (event type)	(b) Event #2 (event type)	(c) Other events NONE (total number)	(d) Total events (add col. (a) through col. (c))
Revenue	1 Gross receipts	107,821.			107,821.
	2 Less: Charitable contributions	107,821.			107,821.
	3 Gross income (line 1 minus line 2)				
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages				
	8 Entertainment				
	9 Other direct expenses	29,398.			29,398.
	10 Direct expense summary. Add lines 4 through 9 in column (d)	(29,398)			
	11 Net income summary. Combine line 3, column (d), and line 10	-29,398.			

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	
7 Direct expense summary. Add lines 2 through 5 in column (d)					()
8 Net gaming income summary. Combine line 1, column (d), and line 7					

	Yes	No
9 Enter the state(s) in which the organization operates gaming activities _____		
a Is the organization licensed to operate gaming activities in each of these states?	9a	
b If "No," explain: _____ _____		
10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a	
b If "Yes," explain: _____ _____		
11 Does the organization operate gaming activities with nonmembers?	11	
12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12	

13 Indicate the percentage of gaming activity operated in:

- a** The organization's facility
b An outside facility

13a	%
13b	%

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ► _____

Address ► _____

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?

15a

- b** If "Yes," enter the amount of gaming revenue received by the organization ► \$ _____ and the amount of gaming revenue retained by the third party ► \$ _____

- c** If "Yes," enter name and address of the third party

Name ► _____

Address ► _____

16 Gaming manager information:

Name ► _____

Gaming manager compensation ► \$ _____

Description of services provided ► _____

☐

Director/officer

☐

Employee

☐

Independent contractor

17 Mandatory distributions:

- a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?

17a

- b** Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ► \$ _____

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States

Complete if the organization answered "Yes" on Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.

Employer identification number
39-1397899

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations

3 Enter total number of other organizations

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) 2009

AMERICAN SOCIETY FOR LASER MEDICINE AND

Schedule I (Form 990) 2009

SURGERY, INC.

39-1397899

Page 2

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22
Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
TRAVEL GRANT	30	28,666.		0, BOOK	
RESEARCH GRANT	7	258,108.		0, BOOK	
HORACE FUROMOTO AWARD	1	10,000.		0, BOOK	
SEE STATEMENT 3	0	0.		0.	

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, and any other additional information.

SCHEDULE I, PART I, LINE 2: PER THE GRANT APPLICATION: APPLICANTS WILL HAVE

60 DAYS OF THE AWARD BEING MADE TO PROVIDE EVIDENCE THEY ARE AGGRESSIVELY

PURSuing APPROVAL. EACH GRANT RECIPIENT'S SITUATION WILL BE MONITORED AND

EVALUATED INDIVIDUALLY, AND AT A POINT IN TIME THAT IT APPEARS APPROVAL

WILL NOT BE GIVEN, OR THE APPLICANT IS NOT DILIGENT IN PURSING APPROVAL,

THE GRANT AWARD WILL BE WITHDRAWN.

Continuation Sheet for Form 990

OMB No. 1545-0047

2009

Open to Public Inspection

▶ **Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.**

▶ See the Instructions for Form 990.

Name of the Organization

AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.

Employer Identification number

39-1397899

Part I	Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
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[illegible]

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Employer identification number

39-1397899

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

THE ASLMS PROMOTES EXCELLENCE IN PATIENT CARE BY ADVANCING BIOMEDICAL
APPLICATION OF LASERS AND OTHER RELATED TECHNOLOGIES WORLD WIDE.

FORM 990, PART III, LINE 2, NEW PROGRAM SERVICES:

A STRATEGIC PLANNING SESSION WAS HELD MAY 1-3, 2009, IN CHICAGO,
ILLINOIS. FIVE CORE FUNCTIONS OF THE ORGANIZATION IDENTIFIED DURING
THE SESSION WERE:

- (1) PROVISION OF EDUCATION/TRAINING PROGRAMS;
- (2) FACILITATION OF RESEARCH;
- (3) FACILITATION OF MULTI-DISCIPLINARY NETWORKING;
- (4) ESTABLISHMENT AND COMMUNICATION OF STANDARDS; AND
- (5) ADVOCACY FOR THE DEVELOPMENT OF LASERS IN HEALTH CARE.

FDA SESSION - THE ASLMS COLLABORATED WITH THE FDA TO SCHEDULE A
FULL-DAY SESSION AT THE FDA HEADQUARTERS IN SILVER SPRING, MD ON
DECEMBER 14, 2009. FOUR ASLMS MEMBERS SUMMARIZED EXISTING AND EMERGING
TECHNOLOGY AND HAD AN OPEN DISCUSSION WITH FDA EMPLOYEES. THE SESSION
WAS ORGANIZED TO PROVIDE PARTICIPANTS WITH BASIC INFORMATION ABOUT
LASERS AND RELATED TECHNOLOGY AND TO DISCUSS THE CHALLENGES OF BRINGING
TECHNOLOGY FORWARD.

FORM 990, PART III, LINE 3, CHANGES IN PROGRAM SERVICES:

REGIONAL COURSE CANCELLED FOR 2009.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public
Inspection

Name of the organization

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Employer identification number

39-1397899

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

LASER COURSES ARE PRESENTED BY THE SOCIETY.

EXPENSES \$ 127364. INCLUDING GRANTS OF \$ 0. REVENUE \$ 274539.

INDUSTRY ADVISORY COUNCIL.

EXPENSES \$ 4473. INCLUDING GRANTS OF \$ 0. REVENUE \$ 16000.

**FORM 990, PART VI, SECTION A, LINE 6: THE AMERICAN SOCIETY FOR LASER
MEDICINE AND SURGERY UTILIZES AN INDUSTRY ADVISORY COUNCIL, MADE UP OF 15
MEMBERS WHICH ARE ORGANIZATIONS. SEE STATEMENT 6.**

**FORM 990, PART VI, SECTION B, LINE 11: A COPY OF THE FINAL FORM 990 IS
DISTRIBUTED TO EACH MEMBER OF THE GOVERNING BODY (BOARD MEMBERS) BEFORE
FINAL SUBMISSION TO THE IRS.**

**FORM 990, PART VI, SECTION B, LINE 12C: SEE STATEMENT 7 FOR CONFLICT OF
INTEREST POLICY.**

**FORM 990, PART VI, SECTION B, LINE 15: EACH YEAR, THE HUMAN RESOURCE
COMMITTEE APPROVES THE INCENTIVE PACKAGES OFFERED TO KEY EMPLOYEES. THE
BOARD OF DIRECTOR'S FINANCE COMMITTEE APPROVES THE YEARLY BUDGET, WHICH
INCLUDES THE SALARIES OF THESE EMPLOYEES. IN ORDER TO DETERMINE SALARY
REASONABLENESS, A PUBLISHED STUDY WAS USED FOR COMPARABILITY. AT A BOARD
MEETING ON OCTOBER 30, 2008, THE 2009 BUDGET, INCLUDING SALARIES, WAS
APPROVED.**

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990.

OMB No. 1545-0047

2009

Open to Public
Inspection

Name of the organization

**AMERICAN SOCIETY FOR LASER MEDICINE AND
SURGERY, INC.**

Employer identification number

39-1397899

FORM 990, PART VI, SECTION C, LINE 19: THE SOCIETY DISTRIBUTES THESE

DOCUMENTS TO ITS MEMBERS AND ALSO UPON REQUEST.

NO PROCESS CHANGES WITH REGARD TO THE AUDIT COMMITTEE

AMERICAN SOCIETY FOR LASER MEDICINE AND SURGERY, INC.

EIN: 39-1397899

CORPORATE MISSION AND VISION STATEMENT

The mission of the American Society for Laser Medicine and Surgery is to promote excellence in patient care by advancing biomedical application of lasers and other related technologies world wide.

The vision of the ASLMS is to be the world's pre-eminent resource for biomedical laser and other related technologies, research, education, and clinical knowledge.

ORGANIZATION VALUES

Excellence – The “sine qua non” of our existence.

Integrity – We advocate and emulate high ethical conduct in all we do.

Multi disciplinary – We advocate inclusion and embrace all specialties with whom we work and share knowledge.

Leadership – We lead through example and recognize caring must be a cornerstone of our professional and patient interactions.

Professionalism – We strive to set professional standards in all we do.

Approved by the Board of Directors
American Society for Laser Medicine and Surgery, Inc.
April 2, 2009

American Society for Laser Medicine and Surgery, Inc. 39-1397899

Name of Recipient	City and State	Date of Gift	Donee's Relationship	Type of Grant	Amount Given
Grants for 2009					
EIN 39-1397899					
12/31/2009					
Tianhong Dai	Boston, MA	4/14/2009	None	Travel	912.60
Ewan Eadie	United Kingdom	4/14/2009	None	Travel	1,000.00
Ran Friedman	Little Rock, AR	4/14/2009	None	Travel	1,000.00
Leonid Izikson	Brookline, MA	4/14/2009	None	Travel	1,000.00
Tracy Katz	Houston, TX	4/14/2009	None	Travel	1,000.00
Garuna Kosiratna	Boston, MA	4/14/2009	None	Travel	999.75
Yajing Liu	Blacksburg, VA	4/14/2009	None	Travel	1,000.00
Ali Oberdi	Houston, TX	4/14/2009	None	Travel	1,000.00
Francisco Perez-Gutierrez	Riverside, CA	4/14/2009	None	Travel	1,000.00
Martin Purschke	Dorchester, MS	4/14/2009	None	Travel	1,000.00
Marc Rubinstein	Orange, CA	4/14/2009	None	Travel	783.51
Parrish Sadeshi	Beachwood, OH	4/14/2009	None	Travel	1,000.00
Amir Sajjadi	Melbourne, FL	4/14/2009	None	Travel	1,000.00
Fernanda Sakamoto	Boston, MA	4/14/2009	None	Travel	1,000.00
Kevin Simonelic	Highland Beach, FL	4/14/2009	None	Travel	1,000.00
Yang Sun	Davis, CA	4/14/2009	None	Travel	1,000.00
Tianyi Wang	Austin, TX	4/14/2009	None	Travel	1,000.00
Nathali Pinto	Brazil	4/15/2009	None	Travel	1,000.00
Bas Sander Wind	The Netherlands	4/20/2009	None	Travel	1,000.00
Carolina Benetti	Brazil	4/21/2009	None	Travel	1,000.00
Irene Vergilis	Chicago, IL	4/21/2009	None	Travel	1,000.00
Jennifer Lin	Boston, MA	4/29/2009	None	Travel	1,000.00
Jihoon Kim	Evanston, IL	4/29/2009	None	Travel	738.07
Feng Sun	Riverside, CA	4/29/2009	None	Travel	971.05
Raiyan Tripti Zaman	Austin, TX	4/29/2009	None	Travel	894.38
Dara Rosmallina Pabitter	The Netherlands	5/6/2009	None	Travel	1,000.00
Massimiliano Spaliviero	Oklahoma City, OK	5/19/2009	None	Travel	816.47
Jae Hwan Kim	South Korea	7/15/2009	None	Travel	1,000.00
John Whitney	Blacksburg, VA	7/15/2009	None	Travel	550.44
Michael DeCoro	Anaheim, CA	10/21/2009	None	Travel	1,000.00

American Society for Laser Medicine and Surgery, Inc. 39-1397899

Travel Grants Issued in 2009					28,666.27
Eduardo Moriyama - Ontario Cancer Inst	Toronto, Ontario	6/10/2009	None	Research	32,400.00
Eduardo Moriyama - Ontario Cancer Inst	Toronto, Ontario	12/8/2009	None	Research	32,400.00
James Tunnell - University of TX	Austin, TX	7/30/2009	None	Research	30,000.00
James Tunnell - University of TX	Austin, TX	12/8/2009	None	Research	30,000.00
Min Yao - MA General Hospital	Boston, MA	9/30/2009	None	Research	30,000.00
Min Yao - MA General Hospital	Boston, MA	12/8/2009	None	Research	30,000.00
Charles Gomer - Childrens Hospital Los Angeles	Los Angeles, CA	6/10/2009	None	Research	30,368.00
Charles Gomer - Childrens Hospital Los Angeles	Los Angeles, CA	12/8/2009	None	Research	30,360.00
Jane Yoo - Mount Sinai School of Medicine	New York, NY	6/24/2009	None	Research	5,000.00
Colin Pierre - Inserm U703	Lille, France	10/28/2009	None	Research	5,000.00
Praveena Puvanakrishnan-University of TX	Austin, TX	6/10/2009	None	Research	4,950.00
Refund of unused grant money		8/27/2009		Total Research Grants	260,478.00
Refund of unused grant money		11/30/2009			-185.43
					-2,184.58
				Total Research Grants	258,107.99
Kristen Kelley - University of CA	Irvine, CA	6/17/2009	None	Horace Furumoto Award	10,000.00
				Total Furumoto Awards	10,000.00
				GRAND TOTAL 2009 GRANTS	299,144.27
				TOTAL GRANTS LESS REFUNDS	296,774.26

American Society for Laser Medicine and Surgery, Inc.
Form 990, Part III - Statement of Program Service Accomplishments
12/31/09
EIN 39-1397899

Members receive a journal subscription, newsletters, and a directory at no cost to them. The various publications are designed to keep members current on laser technology. Eleven issues of the official journal of the ASLMS were published in 2008.

\$479,933

American Society for Laser Medicine and Surgery, Inc.
990 Supporting Schedule - Fixed Assets and Accumulated Depreciation
12/31/09
EIN # 39-1397899

<u>Description</u>	<u>Beginning of the year</u>	<u>Additions</u>	<u>Disposals</u>	<u>End of the year</u>
Fixed Assets				
Office Equipment	<u>\$ 117,519</u>	<u>43,775</u>	<u>0</u>	<u>\$ 161,294</u>
Accumulated Depreciation				
Office Equipment	<u>58,532</u>	<u>11,056</u>	<u>0</u>	<u>69,588</u>
Net Value	<u>\$ 58,987</u>			<u>\$ 91,706</u>

2009 Industry Advisory Council	
COMPANY	ADDRESS
Aerolase	777 Old Saw Mill River Rd. Tarrytown, NY 10591
Candela Corporation	530 Boston Post Road Wayland, MA 01778
Canfield Imaging Systems, Inc.	253 Passaic Avenue Fairfield, NJ 07004
Care-Tech® Laboratories, Inc.	3224 S. Kingshighway Boulevard St. Louis, MO 63139
Cynosure, Inc.	5 Carlisle Road Westford, MA 01886
Eclipsemed, Ltd.	16850 Dallas Parkway Dallas, TX 75248
Elemé Medical, Inc.	10 Al Paul Lane, Suite 102 Merrimack, NH 03054
HOYA ConBio Medical Lasers	47733 Fremont Boulevard Fremont, CA 94538
Lumenis, Inc.	5302 Betsy Ross Drive Santa Clara, CA 95054
Palomar Medical Technologies, Inc.	5957 Shady Lane Nazareth, PA 18064
Pierre Fabre Dermo Cosmetique USA	9 Campus Drive, 2 nd Floor Parsippany, NJ 07054
Primaeva Medical, Inc.	4160 Hacienda Drive Suite 100 Pleasanton, CA 94588
Sciton, Inc.	925 Commercial Street Palo Alto, CA 94303
Ulthera, Inc.	2150 S. Country Club Dr Suite 27 Mesa, AZ 85210
Zimmer MedizinSystems	25 Mauchly, Suite 300 Irvine, CA 92618

American Society for Laser Medicine and Surgery, Inc.

Policy on Disclosure of Interest

In order for the Society to further the purposes for which it is organized and to maintain its reputation for excellence, it is important that Society decisions and actions not be influenced unduly by special interests or individual member considerations. It is also crucial to avoid the appearance of such influence and maintain objectivity, whether it involves policy-making, publishing articles in the Society's journal, or presentations at the Society's meetings.

In addition, the Society is required to comply with the laws and regulations of the many states in which it is registered to solicit charitable contributions. Those states require that the Society's directors and officers and other leaders disclose any potential conflict of interest or ethical concerns.

Accordingly, the Board of Directors of the Society has adopted the following formal system of disclosure of interest information.

I. Duty to Disclose Interests

Society officers, members of the Board of Directors and candidates for those positions, upon nomination and on an annual basis while in office, shall disclose financial interests, potential conflicts of interest, and any information requested by states in which the Society is registered as a charitable organization. Each such person shall fulfill this responsibility by completing and signing a disclosure statement.

In addition, each person who submits an article for publication in the Society's journal or wishes to make a presentation at the Society's annual meeting shall disclose financial interests and potential conflicts of interests. Each such person may fulfill this responsibility by completing and signing a disclosure statement.

The Board of Directors may from time to time amend the content and form of the disclosure statement.

II. Board Meetings

All members of the Board of Directors shall verbally disclose actual and potential conflicts of interest involving any pertinent item on a Board or committee agenda and shall recuse from discussion and voting. The Secretary shall record such disclosures and recusals in the minutes.

III. Conclusion

The purpose of this policy is not to discourage involvement by Society leaders and members in outside interests. Because proper disclosure by each Society leader is essential, it is important to approach disclosure with the proper perspective given the situation involved. The Board of Directors seeks to avoid embarrassment for the Society or individual members, as well as suspicion that could be evoked concerning the motives behind any Society action, that could result from the failure to disclose pertinent information in advance.

Approved October 30, 2007

American Society for Laser Medicine and Surgery, Inc.

Directors & Officers Disclosure Statement

I acknowledge that as an officer or director of the American Society for Laser Medicine and Surgery, Inc., I occupy a position of trust and I have a fiduciary duty to act at all times in good faith and with loyalty to the Society. I understand the Society is a charitable organization and in order to maintain its federal tax exemption it must engage in activities that accomplish purposes for which it was created.

I further acknowledge that I have received a copy of the Society's Policy on Disclosure of Interest, I have read and understand the policy, and I agree to comply with it. If any private interest of mine, or of any individual or entity with whom or with which I have a significant relationship, conflicts with my duties and responsibilities to the Society, I will disclose that interest.

I certify that, to the best of my knowledge, no aspect of my current personal or professional circumstances places me in a position of having an interest which is in conflict with any interest of the Society or with my obligations to the Society, except perhaps as described below.

(Please list and explain below significant matters that you believe present a potential conflict of interest with your position with the Society.)

- ☐ I have received a grant from:
- ☐ I have received equipment from:
- ☐ I have received consulting fees from:
- ☐ I have received a discount from:
- ☐ My travel expenses are paid by:
- ☐ I receive a salary from:
- ☐ I hold ownership interests (e.g. stocks, stock options, etc., not including mutual funds and similar interests) in:
- ☐ I have received funding through a research grant from:
- ☐ I have received royalties from:
- ☐ I have received honoraria or gifts for educational services from:
- ☐ I have intellectual property rights with:
- ☐ I currently serve on the board of directors for the following entities:
- ☐ Other:

The Society is currently registered as a charitable organization in 25 states and the District of Columbia, and must comply with the charitable solicitation laws of each of those jurisdictions. As part of the annual registration and renewal process in those jurisdictions, the Society must submit information not only on the organization but its leaders as well, including each leader's home address. The following questions are required to be asked of officers and directors of charitable organizations registered in various states. Please fully explain any YES answers below.

		YES	NO
1.	Have you ever been convicted of or pled guilty or no contest to a felony?	☐	☐
2.	Have you ever been convicted of or pled guilty or no contest to a misdemeanor involving the solicitation of charitable contributions, fraud, false statements or omissions, theft, bribery, forgery, counterfeiting, or extortion?	☐	☐
3.	Has any court ever ordered you to cease or ever prevented you from carrying out any fundraising efforts?	☐	☐
4.	Has any court ever found that you were involved in a violation of any federal, state, or local law regarding fundraising or deceptive practices?	☐	☐
5.	Has any federal, state, or local agency ever found that you made a false statement or omission or had been dishonest, unfair, or unethical?	☐	☐
6.	Has any federal, state, or local agency ever found that you were involved in a violation of a fundraising law?	☐	☐
7.	Has any federal, state, or local agency ever found that you were a cause of any fundraising organization having its authorization to do business or engage in fundraising denied, suspended, revoked, or restricted?	☐	☐
8.	Has any federal, state, or local agency ever entered an order against you or have you ever entered into a compliance agreement with such an agency in connection with any fundraising law or deceptive practices?	☐	☐
9.	Has any federal, state, or local agency ever denied or suspended you from associating with a fundraising organization, or otherwise disciplined you or restricted your activities?	☐	☐
10.	Have you ever entered into a voluntary compliance agreement with any government agency or in a case before any court involving fundraising?	☐	☐
11.	Are you now the subject of any proceeding or investigation that could result in a YES answer to any of the above questions?	☐	☐
12.	To your knowledge are you related to any ASLMS officer, director, or employee by blood, marriage, or adoption?	☐	☐
13.	To your knowledge are you related to any officer, agent, or employee of a vendor or supplier to ASLMS by blood, marriage, or adoption?	☐	☐
14.	Do you have any financial interest in, or serve as an officer, director, partner, or employee of any vendor or supplier to ASLMS?	☐	☐
15.	Are you aware of any "kickback" or bribe involving ASLMS?	☐	☐
16.	Are you aware of any theft, misappropriation, commingling, or misuse of ASLMS funds?	☐	☐

Explanation of Answers

Please fully explain below any YES answers to the above questions and include the number of the question in your explanation.

The answers and statements I have given above are true and correct to the best of my knowledge.

Date:

(Electronic Signature - Please Type Name)

Home Address:

Daytime Phone No.: