Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: Henry Uihlein II and Mildred A. Uihlein Foundation

Number and street (or P O box number if mail is not delivered to street address): 648 N. Plankinton Ste 260 | Room/suite

City or town, state, and ZIP code: Milwaukee WI 53203

A Employer identification number: 39-1322495

B Telephone number (see page 10 of the instructions): 414-271-2017

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 21,337,420

J Accounting method ☐ Cash ☐ Accrual ☒ Other (specify) Modified cash *(Part I, column (d) must be on cash basis)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	479.32	479.32		
	4 Dividends and interest from securities	369,653.33	369,653.33		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a 4,647,482.36				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	370,133	370,133	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	176,750	8,838		167,913
	14 Other employee salaries and wages	32,031			32,031
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,250			2,250
	b Accounting fees (attach schedule)	32,092	1,500		30,592
	c Other professional fees (attach schedule)	65,453	52,668		12,785
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	88,552	7,784		80,768
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	10,579	529		10,050
	22 Printing and publications				
	23 Other expenses (attach schedule)	91,334	80		91,254
	24 **Total operating and administrative expenses.** Add lines 13 through 23	499,041	71,399	0	427,643
	25 Contributions, gifts, grants paid	304,700			304,700
	26 **Total expenses and disbursements.** Add lines 24 and 25	803,741	71,399	0	732,343
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	(433,608)			
	b **Net investment income** (if negative, enter -0-)		298,734		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash—non-interest-bearing	45,599	189,434.39	189,434.39
2 Savings and temporary cash investments	369,123	303,395	303,395
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments—U S and state government obligations (attach schedule)	4,742,409	3,286,995	3,463,272
b Investments—corporate stock (attach schedule)	3,364,991	3,006,607.98	3,354,186.60
c Investments—corporate bonds (attach schedule)	261,695	1,234,414.62	1,300,275.40
11 Investments—land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments—mortgage loans			
13 Investments—other (attach schedule)	6,172,461	5,930,232	5,914,204
14 Land, buildings, and equipment. basis ▶			
Less accumulated depreciation (attach schedule) ▶	3,616,739	3,825,117	6,812,653
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	18,573,017	17,776,196	21,337,420
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	18,573,017	17,776,196	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see page 17 of the instructions)	18,573,017	17,776,196	
31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	18,573,017	17,776,196	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	18,573,017
2 Enter amount from Part I, line 27a	2	(433,608)
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	18,139,409
5 Decreases not included in line 2 (itemize) ▶ Sch D cap loss & $502 unknown & rounding error	5	363,213
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,776,196

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly traded securities			
b	See detail attached			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				(363,715.00)
b				0
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	(359,377)
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	(4,338)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	967,627	15,772,404	0.0613
2007	3,152,802	17,890,180	0.1762
2006	529,670	18,687,286	0.0283
2005	1,186,284	17,768,640	0.0668
2004	1,167,684	17,553,205	0.0665

2 **Total** of line 1, column (d)	2	0.3991
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.07982
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	14,453,350
5 Multiply line 4 by line 3	5	1,153,666
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	29,871
7 Add lines 5 and 6	7	1,183,537
8 Enter qualifying distributions from Part XII, line 4	8	732,343

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter .... (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	5,974
3	Add lines 1 and 2	3	5,974
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	5,974
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 19,180.29		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	19,180
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	13,206
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 13,206 **Refunded** ▶	11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ none **(2)** On foundation managers ▶ $			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ None			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		n/a
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ Wisconsin, New York			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ n/a

14 The books are in care of ▶ Uihlein Financial Inc Telephone no. ▶ 414-271-2017
Located at ▶ 648 N. Plankinton Ave Ste 260 Milwaukee WI ZIP+4 ▶ 53203

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☐ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions.)	2b		n/a
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		n/a
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** n/a

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See schedule attached				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
Bessemer Trust Company 630 Fifth Ave., New York, NY 10111	Investment manager	52,668

Total number of others receiving over $50,000 for professional services . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See statement attached	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	732,343
b	Average of monthly cash balances	1b	170,501
c	Fair market value of all other assets (see page 24 of the instructions)	1c	13,550,506
d	**Total** (add lines 1a, b, and c)	1d	14,453,350
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	14,453,350
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see page 25 of the instructions)	4	
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,453,350
6	**Minimum investment return.** Enter 5% of line 5	6	722,668

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	722,668
2a	Tax on investment income for 2009 from Part VI, line 5	2a	5,974		
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	5,974
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	716,694
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	716,694
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	716,694

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	732,343
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	732,343
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	732,343

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				716,694
2	Undistributed income, if any, as of the end of 2009:				
a	Enter amount for 2008 only				
b	Total for prior years 20____ ,20____ ,20____				
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 . . . 298,302				
b	From 2005 . . . 308,482				
c	From 2006 . . .				
d	From 2007 . . . 2,256,433				
e	From 2008 . . .				
f	**Total** of lines 3a through e	2,863,217			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 732,343				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)				
d	Applied to 2009 distributable amount				716,694
e	Remaining amount distributed out of corpus	15,649			
5	Excess distributions carryover applied to 2009 (*If an amount appears in column (d), the same amount must be shown in column (a).*)	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	2,878,866			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	2,878,866			
10	Analysis of line 9				
a	Excess from 2005 . . 308,482				
b	Excess from 2006 . .				
c	Excess from 2007 . . 2,256,433				
d	Excess from 2008 . . 214,603				
e	Excess from 2009 . . 15,649				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter ⅔ of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 27 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed.

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See schedule attached				
Total . . . . ▶ **3a**				304,700
b *Approved for future payment*				
Cornell University College of Agriculture and Life Sciences, Ithica, NY Endowment for Director of Sugar Maple Research Station Total Pledged: $1,050,000 Paid thru 2009: $525,000				525,000
Total . . . . ▶ **3b**				525,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	478	
4 Dividends and interest from securities			14	369,653	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	(363,715)	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		6,416	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 6,416

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions.)
	n/a

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions·			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
n/a			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 8/20/10 | Title Chairman

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ☐	Preparer's identifying number (see **Signature** on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code		EIN	
		Phone no	

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-4,338.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-4,338.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			3,597.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-362,974.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-359,377.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III **Summary of Parts I and II**
Caution: *Read the instructions before completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-4,338.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-359,377.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-363,715.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet****, as necessary.*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 ... 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *on page 7 of the instructions to figure your capital loss carryover.*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)		17		
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18			
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19			
20	Add lines 18 and 19	20			
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21			
22	Subtract line 21 from line 20. If zero or less, enter -0-		22		
23	Subtract line 22 from line 17. If zero or less, enter -0-		23		
24	Enter the **smaller** of the amount on line 17 or $2,300		24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23		25		
26	Subtract line 25 from line 24		26		
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22		27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)		28		
29	Subtract line 28 from line 27		29		
30	Multiply line 29 by 15% (.15)				30
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				31
32	Add lines 30 and 31				32
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)				33
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)				34

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1500. APPLIED MATERIALS, INC.	12/16/2008	10/26/2009	19,961.00	15,681.00	4,280.00
750. APPLIED MATERIALS, INC.	12/16/2008	10/27/2009	9,814.00	7,841.00	1,973.00
1500. APPLIED MATERIALS, INC.	12/16/2008	11/06/2009	17,717.00	15,681.00	2,036.00
250. APPLIED MATERIALS, INC.	12/18/2008	11/06/2009	2,953.00	2,659.00	294.00
750. APPLIED MATERIALS, INC.	03/28/2009	11/06/2009	8,859.00	8,621.00	238.00
250. APPLIED MATERIALS, INC.	02/02/2009	11/06/2009	2,953.00	2,324.00	629.00
1250. APPLIED MATERIALS, INC.	02/03/2009	11/06/2009	14,764.00	11,673.00	3,091.00
750. APPLIED MATERIALS, INC.	03/28/2009	11/09/2009	9,014.00	8,621.00	393.00
250. APPLIED MATERIALS, INC.	03/28/2009	11/09/2009	3,005.00	2,842.00	163.00
1500. EMC CORP MASS	08/22/2008	07/12/2009	19,248.00	23,638.00	-4,390.00
350. EOG RESOURCES INC.	03/14/2009	10/26/2009	33,123.00	19,871.00	13,252.00
450. FPL GROUP INC.	01/20/2009	09/25/2009	24,456.00	22,570.00	1,886.00
450. FPL GROUP INC.	01/20/2009	09/28/2009	24,347.00	22,570.00	1,777.00
150. FPL GROUP INC.	01/20/2009	09/30/2009	8,152.00	7,523.00	629.00
100. FPL GROUP INC.	02/06/2009	09/30/2009	5,435.00	5,328.00	107.00
300. FPL GROUP INC.	02/03/2009	09/30/2009	16,305.00	15,554.00	751.00
150. FPL GROUP INC.	01/23/2009	09/30/2009	8,152.00	7,333.00	819.00
150. FPL GROUP INC.	02/06/2009	10/01/2009	8,238.00	7,992.00	246.00
200. GENZYME CORP.	06/20/2008	06/14/2009	11,184.00	13,658.00	-2,474.00
200. GENZYME CORP.	06/21/2008	06/14/2009	11,184.00	13,913.00	-2,729.00
150. GENZYME CORP.	06/21/2008	06/15/2009	7,923.00	10,435.00	-2,512.00
250. GENZYME CORP.	07/02/2008	06/15/2009	13,204.00	18,207.00	-5,003.00
200. GENZYME CORP.	06/25/2008	06/15/2009	10,564.00	14,380.00	-3,816.00
300. GENZYME CORP.	10/25/2008	06/15/2009	15,845.00	20,280.00	-4,435.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 400. GENZYME CORP.	06/22/2008	06/15/2009	21,127.00	28,215.00	-7,088.00
1500. HUDSON CITY BANCORP INC	03/15/2009	08/22/2009	19,187.00	15,653.00	3,534.00
500. HUDSON CITY BANCORP INC	03/15/2009	09/01/2009	6,402.00	5,218.00	1,184.00
250. HUDSON CITY BANCORP INC	03/16/2009	09/02/2009	3,184.00	2,665.00	519.00
500. ILLINOIS TOOL WORKS INC.	03/28/2009	11/02/2009	23,166.00	16,014.00	7,152.00
250. ILLINOIS TOOL WORKS INC.	03/28/2009	11/03/2009	11,683.00	8,007.00	3,676.00
250. INTEL CORPORATION	01/10/2009	10/19/2009	5,232.00	3,635.00	1,597.00
1250. INTEL CORPORATION	03/14/2009	10/19/2009	26,159.00	17,975.00	8,184.00
2500. INTEL CORPORATION	01/20/2009	11/06/2009	46,062.00	32,907.00	13,155.00
500. INTEL CORPORATION	02/03/2009	11/06/2009	9,212.00	6,739.00	2,473.00
250. INTEL CORPORATION	03/14/2009	11/06/2009	4,606.00	3,595.00	1,011.00
250. INTEL CORPORATION	02/02/2009	11/06/2009	4,606.00	3,379.00	1,227.00
250. INTEL CORPORATION	01/20/2009	11/09/2009	4,665.00	3,291.00	1,374.00
300. KOHLS CORP.	01/25/2009	11/02/2009	17,240.00	11,455.00	5,785.00
200. KOHLS CORP.	02/02/2009	11/02/2009	11,493.00	7,442.00	4,051.00
100. RESEARCH IN MOTION LTD	11/20/2008	11/02/2009	6,139.00	4,408.00	1,731.00
650. RESEARCH IN MOTION LTD	11/20/2008	11/05/2009	36,142.00	28,651.00	7,491.00
250. RESEARCH IN MOTION LTD	12/07/2008	11/05/2009	13,901.00	10,240.00	3,661.00
450. STANDARD & POORS DEPOSITORY RECEIPTS	12/07/2008	01/27/2009	37,768.00	41,199.00	-3,431.00
600. STANDARD & POORS DEPOSITORY RECEIPTS	08/01/2008	01/27/2009	50,357.00	76,510.00	-26,153.00
500. STANDARD & POORS DEPOSITORY RECEIPTS	08/01/2008	01/27/2009	41,964.00	62,961.00	-20,997.00
250. SUNTRUST BANKS, INC.	12/08/2008	01/20/2009	4,126.00	7,915.00	-3,789.00
500. SUNTRUST BANKS, INC.	11/07/2008	01/20/2009	8,251.00	19,459.00	-11,208.00
1100. SUNTRUST BANKS, INC.	12/08/2008	01/23/2009	17,062.00	34,827.00	-17,765.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example, 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 150. SUNTRUST BANKS, INC.	12/08/2008	01/24/2009	2,132.00	4,749.00	-2,617.00
180000. CHICAGO ILLINOIS METRO WATER 5.00% 12/01/203	03/12/2008	02/03/2009	215,019.00	201,319.00	13,700.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -4,338.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. Schedule D-1 (Form 1041) 2009

JSA
9F1221 4 000

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 900. BANK OF NEW YORK MELLON CORP.	10/16/2003	11/20/2009	24,246.00	9,642.00	14,604.00
250. BANK OF NEW YORK MELLON CORP.	09/25/2006	11/20/2009	6,735.00	9,836.00	-3,101.00
100. BANK OF NEW YORK MELLON CORP.	09/27/2006	11/20/2009	2,694.00	3,917.00	-1,223.00
250. BANK OF NEW YORK MELLON CORP.	09/26/2006	11/20/2009	6,735.00	9,838.00	-3,103.00
150. BANK OF NEW YORK MELLON CORP.	09/27/2006	11/23/2009	4,015.00	5,875.00	-1,860.00
500. BANK OF NEW YORK MELLON CORP.	09/28/2006	11/23/2009	13,382.00	19,403.00	-6,021.00
500. BANK OF NEW YORK MELLON CORP.	09/29/2006	11/23/2009	13,382.00	19,422.00	-6,040.00
100. BANK OF NEW YORK MELLON CORP.	10/05/2006	11/23/2009	2,676.00	3,915.00	-1,239.00
250. BANK OF NEW YORK MELLON CORP.	10/02/2006	11/23/2009	6,691.00	9,774.00	-3,083.00
250. BANK OF NEW YORK MELLON CORP.	10/04/2006	11/23/2009	6,691.00	9,784.00	-3,093.00
500. BANK OF NEW YORK MELLON CORP.	10/06/2006	11/24/2009	13,196.00	19,703.00	-6,507.00
200. BANK OF NEW YORK MELLON CORP.	11/10/2006	11/24/2009	5,278.00	7,699.00	-2,421.00
400. BANK OF NEW YORK MELLON CORP.	10/05/2006	11/24/2009	10,556.00	15,660.00	-5,104.00
300. BANK OF NEW YORK MELLON CORP.	11/10/2006	11/25/2009	7,862.00	11,549.00	-3,687.00
300. CAMERON INTL CORP	05/04/2007	03/28/2009	7,025.00	10,179.00	-3,154.00
450. CAMERON INTL CORP	05/05/2007	03/28/2009	10,538.00	15,214.00	-4,676.00
50. CAMERON INTL CORP	05/05/2007	03/28/2009	1,103.00	1,690.00	-587.00
450. CAMERON INTL CORP	05/06/2007	03/28/2009	9,928.00	14,997.00	-5,069.00
400. CAMERON INTL CORP	05/06/2007	03/31/2009	8,894.00	13,330.00	-4,436.00
150. CAMERON INTL CORP	05/06/2007	04/03/2009	3,272.00	4,999.00	-1,727.00
250. CAMERON INTL CORP	02/01/2008	04/03/2009	5,453.00	9,840.00	-4,387.00
350. CAMERON INTL CORP	02/01/2008	04/04/2009	8,171.00	13,776.00	-5,605.00
150. CAMERON INTL CORP	02/01/2008	04/05/2009	3,507.00	5,904.00	-2,397.00
175. CAMERON INTL CORP	02/06/2008	04/05/2009	4,092.00	6,920.00	-2,828.00
75. CAMERON INTL CORP	02/06/2008	04/05/2009	1,690.00	2,966.00	-1,276.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number 39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 750. CHESAPEAKE ENERGY CORP	05/10/2008	05/15/2009	15,312.00	41,857.00	-26,545.00
300. CHESAPEAKE ENERGY CORP	08/01/2008	08/14/2009	7,059.00	14,093.00	-7,034.00
150. CHESAPEAKE ENERGY CORP	06/18/2008	08/14/2009	3,529.00	9,738.00	-6,209.00
600. CHESAPEAKE ENERGY CORP	06/20/2008	08/14/2009	14,117.00	38,092.00	-23,975.00
400. CHESAPEAKE ENERGY CORP	05/10/2008	08/14/2009	9,412.00	22,324.00	-12,912.00
50. CHESAPEAKE ENERGY CORP	05/11/2008	08/14/2009	1,176.00	2,836.00	-1,660.00
450. CHESAPEAKE ENERGY CORP	08/01/2008	08/15/2009	10,049.00	21,140.00	-11,091.00
250. CHESAPEAKE ENERGY CORP	08/01/2008	08/15/2009	5,583.00	12,018.00	-6,435.00
2000. CISCO SYSTEMS INC.	10/26/2001	07/12/2009	37,474.00	50,422.00	-12,948.00
250. CISCO SYSTEMS INC.	10/26/2001	07/15/2009	4,853.00	6,303.00	-1,450.00
750. CORNING INC.	10/02/2006	03/28/2009	10,594.00	18,294.00	-7,700.00
500. CORNING INC.	10/02/2006	03/28/2009	6,807.00	12,196.00	-5,389.00
500. CORNING INC.	10/02/2006	11/02/2009	7,356.00	12,196.00	-4,840.00
500. CORNING INC.	10/03/2006	11/02/2009	7,356.00	12,272.00	-4,916.00
1250. WALT DISNEY CO.	10/21/2003	02/02/2009	25,247.00	26,943.00	-1,696.00
750. WALT DISNEY CO.	07/12/2005	02/03/2009	15,094.00	18,740.00	-3,646.00
750. WALT DISNEY CO.	10/21/2003	02/03/2009	15,094.00	16,166.00	-1,072.00
750. EMC CORP MASS	08/22/2008	09/21/2009	12,714.00	11,819.00	895.00
750. EMC CORP MASS	09/03/2008	09/21/2009	12,714.00	11,785.00	929.00
1000. EMC CORP MASS	09/03/2008	09/22/2009	16,941.00	15,713.00	1,228.00
500. EMC CORP MASS	08/23/2008	11/02/2009	8,232.00	7,732.00	500.00
1250. EMC CORP MASS	09/03/2008	11/02/2009	20,581.00	19,641.00	940.00
250. EMC CORP MASS	08/23/2008	11/03/2009	4,175.00	3,866.00	309.00
250000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	02/03/2009	264,143.00	250,865.00	13,278.00
125000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	03/20/2009	131,490.00	125,433.00	6,057.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 115000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	05/06/2009	121,519.00	115,398.00	6,121.00
110000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	06/02/2009	116,212.00	110,381.00	5,831.00
325000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	06/04/2009	343,545.00	326,125.00	17,420.00
125000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	09/16/2009	130,973.00	125,433.00	5,540.00
200000. FEDERAL HOME LOAN BANK 5.125% 9/29/2010	09/11/2006	10/28/2009	208,460.00	200,692.00	7,768.00
1000. HUDSON CITY BANCORP INC	01/05/2007	08/22/2009	13,464.00	13,983.00	-519.00
500. HUDSON CITY BANCORP INC	01/06/2007	08/22/2009	6,623.00	7,005.00	-382.00
500. HUDSON CITY BANCORP INC	01/12/2007	08/22/2009	6,623.00	7,082.00	-459.00
500. HUDSON CITY BANCORP INC	01/12/2007	08/22/2009	6,396.00	7,082.00	-686.00
1000. INTERNATIONAL PAPER COMPANY	09/07/2008	11/02/2009	21,656.00	28,930.00	-7,274.00
150. JOHNSON & JOHNSON	01/04/2005	03/28/2009	7,901.00	9,415.00	-1,514.00
100. JOHNSON & JOHNSON	11/10/2006	03/28/2009	5,267.00	6,605.00	-1,338.00
250. JOHNSON & JOHNSON	01/26/2005	03/28/2009	13,168.00	16,214.00	-3,046.00
250. JOHNSON & JOHNSON	01/27/2005	03/28/2009	13,168.00	16,106.00	-2,938.00
1000. MATTEL INC.	09/25/2007	03/27/2009	11,828.00	23,410.00	-11,582.00
500. MATTEL INC.	09/26/2007	03/27/2009	5,914.00	11,805.00	-5,891.00
500. MATTEL INC.	09/23/2007	03/27/2009	5,914.00	11,717.00	-5,803.00
500. MATTEL INC.	09/26/2007	03/28/2009	6,208.00	11,805.00	-5,597.00
1500. MATTEL INC.	09/27/2007	03/28/2009	18,624.00	35,256.00	-16,632.00
250. MATTEL INC.	09/28/2007	03/28/2009	3,104.00	5,983.00	-2,879.00
1500. MATTEL INC.	10/13/2007	03/29/2009	17,314.00	33,236.00	-15,922.00
500. MATTEL INC.	03/21/2008	03/31/2009	5,852.00	10,603.00	-4,751.00
200. MATTEL INC.	03/23/2008	03/31/2009	2,341.00	4,317.00	-1,976.00
250. MATTEL INC.	10/16/2007	03/31/2009	2,926.00	5,460.00	-2,534.00
550. MATTEL INC.	03/23/2008	04/03/2009	6,394.00	11,872.00	-5,478.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: HENRY UIHLEIN II & MILDRED A. UIHLEIN

Employer identification number: 39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 500. PEPSICO INC.	01/07/2005	04/25/2009	23,891.00	26,280.00	-2,389.00
250. PEPSICO INC.	11/10/2006	04/25/2009	11,945.00	15,664.00	-3,719.00
250. PEPSICO INC.	08/24/2005	04/25/2009	11,945.00	13,665.00	-1,720.00
250. PEPSICO INC.	08/29/2005	04/25/2009	11,945.00	13,731.00	-1,786.00
150. RESEARCH IN MOTION LTD	11/22/2007	06/04/2009	11,987.00	17,399.00	-5,412.00
150. RESEARCH IN MOTION LTD	02/24/2008	06/04/2009	11,987.00	16,264.00	-4,277.00
150. RESEARCH IN MOTION LTD	08/01/2008	11/02/2009	9,209.00	17,654.00	-8,445.00
250. RESEARCH IN MOTION LTD	08/01/2008	11/02/2009	15,348.00	28,966.00	-13,618.00
1000. STAPLES, INC.	09/22/2006	06/04/2009	21,193.00	24,382.00	-3,189.00
500. STAPLES, INC.	09/25/2006	06/04/2009	10,596.00	12,507.00	-1,911.00
500. STAPLES, INC.	09/25/2006	12/29/2009	12,474.00	12,507.00	-33.00
500. STAPLES, INC.	09/26/2006	12/29/2009	12,474.00	12,369.00	105.00
250. STAPLES, INC.	09/26/2006	12/30/2009	6,226.00	6,185.00	41.00
250. STAPLES, INC.	09/27/2006	12/31/2009	6,238.00	6,121.00	117.00
250. TEVA PHARMACEUTICAL ADR	08/14/2008	12/29/2009	13,980.00	11,918.00	2,062.00
450. THERMO FISCHER SCIENTIFIC	08/02/2004	08/22/2009	20,663.00	9,337.00	11,326.00
50. THERMO FISCHER SCIENTIFIC	08/17/2004	08/22/2009	2,296.00	1,418.00	878.00
248. THERMO FISCHER SCIENTIFIC	08/17/2004	08/22/2009	11,301.00	7,035.00	4,266.00
2. THERMO FISCHER SCIENTIFIC	08/18/2004	08/22/2009	91.00	57.00	34.00
150. THERMO FISCHER SCIENTIFIC	05/24/2005	10/14/2009	6,820.00	4,640.00	2,180.00
100. THERMO FISCHER SCIENTIFIC	04/18/2005	10/14/2009	4,546.00	2,846.00	1,700.00
50. THERMO FISCHER SCIENTIFIC	05/24/2005	10/16/2009	2,271.00	1,547.00	724.00
100. THERMO FISCHER SCIENTIFIC	10/24/2006	10/16/2009	4,543.00	4,279.00	264.00
650. THERMO FISCHER SCIENTIFIC	10/23/2006	10/16/2009	29,529.00	27,533.00	1,996.00
250. THERMO FISCHER SCIENTIFIC	10/20/2006	10/16/2009	11,357.00	10,305.00	1,052.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
HENRY UIHLEIN II & MILDRED A. UIHLEIN	39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 37831.02 OLD WESTBURY NON-US INT'L FUND	08/27/2003	05/26/2009	300,000.00	293,569.00	6,431.00
15302.219 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	11/10/2006	05/26/2009	162,203.00	200,000.00	-37,797.00
2006.521 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	12/26/2006	05/26/2009	21,269.00	26,386.00	-5,117.00
6276.17 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	10/10/2006	05/26/2009	66,527.00	78,829.00	-12,302.00
2396.096 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	02/11/2008	07/12/2009	26,213.00	28,298.00	-2,085.00
2682.845 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	12/20/2007	07/12/2009	29,350.00	33,723.00	-4,373.00
13202.599 OLD WESTBURY GLOBAL SMALL & MIDCAP FUND	12/26/2006	07/12/2009	144,436.00	173,614.00	-29,178.00
662.38 OLD WESTBURY REAL RETURN FUND	11/10/2006	05/26/2009	5,849.00	7,809.00	-1,960.00
21987.68 OLD WESTBURY REAL RETURN FUND	10/10/2006	05/26/2009	194,151.00	250,000.00	-55,849.00
250. PG & E CORP	11/17/2004	03/28/2009	10,071.00	8,486.00	1,585.00
500. PG & E CORP	11/16/2004	03/28/2009	19,500.00	17,015.00	2,485.00
250. PG & E CORP	09/29/2004	03/28/2009	9,505.00	7,541.00	1,964.00
50. PG & E CORP	09/29/2004	06/14/2009	1,865.00	1,508.00	357.00
450. PG & E CORP	09/28/2004	06/14/2009	16,783.00	13,619.00	3,164.00
50. PG & E CORP	09/28/2004	06/15/2009	1,865.00	1,513.00	352.00
200. PG & E CORP	09/24/2004	06/15/2009	7,461.00	6,030.00	1,431.00
300. PG & E CORP	09/24/2004	07/12/2009	11,349.00	9,045.00	2,304.00
400. PG & E CORP	09/22/2004	07/12/2009	15,132.00	11,927.00	3,205.00
100. PG & E CORP	09/22/2004	07/15/2009	3,787.00	2,982.00	805.00
200. PG & E CORP	07/23/2004	07/15/2009	7,575.00	5,952.00	1,623.00
250. PG & E CORP	09/17/2004	07/15/2009	9,469.00	7,461.00	2,008.00
50. PG & E CORP	07/23/2004	07/16/2009	1,881.00	1,488.00	393.00
350. PEPSICO INC.	11/10/2004	04/25/2009	16,724.00	17,918.00	-1,194.00
300. PEPSICO INC.	11/09/2004	04/25/2009	14,335.00	15,265.00	-930.00
250. PEPSICO INC.	01/13/2005	04/25/2009	11,945.00	13,334.00	-1,389.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
HENRY UIHLEIN II & MILDRED A. UIHLEIN	39-1322495

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 200. THERMO FISCHER SCIENTIFIC	10/19/2006	10/16/2009	9,086.00	8,200.00	886.00
110000. US T-NOTE 4.625% 11/15/2016	10/31/2007	03/23/2009	124,850.00	112,338.00	12,512.00
100000. US T-NOTE 4.625% 11/15/2016	10/31/2007	10/28/2009	109,602.00	102,125.00	7,477.00
500. WAL-MART STORES INC.	01/03/2007	01/10/2009	25,666.00	23,846.00	1,820.00
100. WAL-MART STORES INC.	01/03/2007	03/27/2009	5,109.00	4,769.00	340.00
400. WAL-MART STORES INC.	02/04/2007	03/27/2009	20,435.00	19,454.00	981.00
750. WEATHERFORD INTL INC. - CHF	03/10/2007	06/04/2009	14,728.00	16,285.00	-1,557.00
1000. WEATHERFORD INTL INC. - CHF	03/10/2007	10/15/2009	19,684.00	21,714.00	-2,030.00
750. WEATHERFORD INTL INC. - CHF	03/10/2007	10/16/2009	14,626.00	16,285.00	-1,659.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -362,974.00

HENRY UIHLEIN II & MILDRED A. UIHLEIN 39-1322495

FEDERAL CAPITAL GAIN DIVIDENDS

LONG-TERM CAPITAL GAIN DIVIDENDS

15% RATE CAPITAL GAIN DIVIDENDS

	1,366.00	
AMERICAN INTERNATIONAL GROUP INC	548.00	
COCA - COLA COMPANY	1,683.00	
TOTAL 15% RATE CAPITAL GAIN DIVIDENDS		3,597.00
TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS		3,597.00

'2009 Form 990PF

Henry Uihlein II and Mildred A. Uihlein Foundation
E!N 39-1322495

Part I

Line 16a - Legal Fees			
	Legal Counsel - Timothy Smith		2,250
Line 16b - Accounting Fees			
	Uihlein Financial Inc. - Bookkeeping	30,000	
	John Lansing, CPA -Payroll	2,092	

			32,092
Line 16c - Other Professional Fees			
	Bessemer Trust - Investment management	52,668	
	Michael G. Clarke AICP - Consulting	2,688	
	Crowe Horwath LLP, auditor	10,097	

			65,453
Line 18 - Taxes			
	Federal tax paid	5,000	
	Real estate tax - Essex County	80,767	
	Foreign tax w/held at source	2,784	

			88,551
Line 21 - Travel, conferences & meetings			
	Travel expenses, trustees meetings May and October for JDL & EW	8,489	
	Catering for Board meetings	2,090	
		-------------	10,579
Line 23 - Other expenses			
	Postage/UPS	500	
	NYS Dept. of Law filing fee	750	
	Meeting minutes	2,457	
	Insurance	22,666	
	Heaven Hill repair and restoration	0	
	Heaven Hill conference center equipment & supplies	15,023	
	Heaven Hill upkeep expense	9,057	
	Supplies	3,216	

Gas & repairs	6,307	
Heaven Hill ultiities	5,631	
Heaven Hill heating oil	8,878	
Miscellaneous	1,798	
Henry's Woods Construction	14,579	
Sugar House Maple program	473	

		91,335

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'2009 Form 990 PF
Henry Uihlein II and Mildred A. Uihlein Foundation
EIN 39-1322495

Part II

	Shares	Book Value	Market Value
Line 2 - Savings and Temporary cash investments			
Bessemer Fed. Gov't. Oblig. Fund	303394.69	303,395	303,395
		303,395	303,395
Line 10a - Investments - US & State Obligations			
(See schedule attached)			
US Treas. Notes & Bonds		1,475,936	1,540,697
US Gov't Agency Obligations		1,811,059	1,922,576
		3,286,995	3,463,272
Line 13 Investments -other - Mutual Funds			
(See schedule attached)			
Equity Mutual Funds		5,930,232	5,914,204
Line 14 - Land, buildings and equipment			
Heaven Hill Farm		1,347,486	4,215,200
Heaven Hill caretaker's residence		133,882	133,882
Heaven Hill office		46,933	46,933
Old Military Road tract - Henry's Woods		318,638	538,400
Mills Property (1857729-555)		1,857,729	1,757,729
Rhino ATV		8,699	8,699
GMC Truck		27,770	27,770
Tractor and loader		25,910	25,910
Goldoni Transcar - utility hauler		12,820	12,820
2007 Kobelco Excavator		38,000	38,000
Hydraulic utility trailer		7,310	7,310
		3,825,177	6,812,653

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Schedule to Part II Updated

39-1322495

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2009

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
010 Common Stock						
AXP	American Express Co		3,050 0000	$120,974 41	$40 52	$123,586 00
APA	Apache Corp		1,000 0000	$82,250 81	$103 17	$103,170 00
CELG	Celgene Corp		2,138 0000	$87,682 11	$55 68	$119,043 84
CSCO	Cisco Systems Inc		6,000 0000	$125,824 83	$23 94	$143,640 00
GLW	Corning Inc		4,500 0000	$98,270 51	$19 31	$86,895 00
DIS	Walt Disney Co		2,500 0000	$74,994 36	$32 25	$80,625 00
DOW	Dow Chemical Company		3,750 0000	$100,427 05	$27 63	$103,612 50
EMC	EMC Corp Mass		5,750 0000	$72,250 35	$17 47	$100,452 50
EOG	EOG Resources Inc		1,275 0000	$91,734 17	$97 30	$124,057 50
FDX	Fedex Corporation		850 0000	$68,894 78	$83 45	$70,932 50
GOOG	Google Inc CL A		173 0000	$70,772 48	$619 98	$107,256 54
HES	Hess Corp		1,750 0000	$96,133 03	$60 50	$105,875 00
ITW	Illinois Tool Works Inc		2,250 0000	$71,312 01	$47 99	$107,977 50
IP	International Paper Company		5,500 0000	$138,057 38	$26 78	$147,290 00
JPM	J P Morgan Chase & Company		2,650 0000	$113,602 33	$41 67	$110,425 50
JNJ	Johnson & Johnson		1,000 0000	$66,733 50	$64 41	$64,410 00
KSS	Kohls Corp		1,550 0000	$59,631 73	$53 93	$83,591 50
LOW	Lowe's Companies Inc		3,650 0000	$77,853 34	$23 39	$85,373 50
MSFT	Microsoft Corp		3,750 0000	$104,001 50	$30 48	$114,300 00
MS	Morgan Stanley		3,700 0000	$110,853 29	$29 60	$109,520 00
PAYX	Paychex Inc		3,250 0000	$96,835 45	$30 64	$99,580 00
PFE	Pfizer Inc		5,250 0000	$90,803 67	$18 19	$95,497 50
TROW	T Rowe Price Group Inc		1,800 0000	$74,203 81	$53 25	$95,850 00
PG	Procter & Gamble		2,450 0000	$166,868 14	$60 63	$148,543 50
SPLS	Staples, Inc		4,500 0000	$106,567 53	$24 59	$110,655 00
TMO	Thermo Fischer Scientific		1,400 0000	$58,829 10	$47 69	$66,766 00
UNP	Union Pacific Corp		1,100 0000	$58,038 69	$63 90	$70,290 00
UPS	United Parcel Service CL B		1,050 0000	$55,202 98	$57 37	$60,238 50
WMT	Wal-Mart Stores Inc		2,100 0000	$100,280 60	$53 45	$112,245 00

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2009

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
WFT	Weatherford Intl Inc - CHF		4,692 0000	$66,412 90	$17 91	$84,033 72
			Total	$2,706,296 84		$3,035,733 60
030 Foreign Stock						
TEVA	Teva Pharmaceutical ADR		1,950 0000	$92,351 05	$56 18	$109 551 00
TYC	Tyco Intl Ltd Namen-Akt		2,950 0000	$103,771 10	$35 68	$105,256 00
IR	Ingersol Rand Co Ltd		2,900 0000	$104,188 99	$35 74	$103,646 00
			Total	$300,311 14		$318,453 00
040 Equity Mutual Funds				3,006,607.98		3,354,186.10
OWEI	Old Westbury Non-US Int'l Fund		167,998 4430	$1,645,920 14	$9 30	$1,562,385 52
OWSCX	Old Westbury Global Small & MidCap Fund		146,325 0950	$1,517,718 68	$12 77	$1,868,571 46
OWRRX	Old Westbury Real Return Fund		74,098 5030	$894,713 64	$9 86	$730,611 25
OWGOX	Old Westbury Global Opportunities Fund		247,897 5590	$1,871,880 00	$7 07	$1,752,635 74
			Total	$5,930,232 46		$5,914,203 97
200 Corporate Bonds						
AXP732013	American Express Credit Co 7 30% 8/20/2013	8/20/2013	105,000 0000	$104,826 75	$112 39	$118,007 40
AMG12511	Amgen Inc 0 125% 02/01/2011	2/1/2011	125,000 0000	$117,187 50	$98 75	$123,437 50
GE52512	General Electric Cap Corp 5 25% 10/19/2012	10/19/2012	150,000 0000	$156,868 50	$106 42	$159,633 00
JPMC3715	JP Morgan Chase & Co 3 70% 1/20/2015	1/20/2015	125,000 0000	$124,735 00	$100 30	$125,370 00
MEDT15011	Medtronic Inc 1 50% 4/15/2011	4/15/2011	125,000 0000	$118,750 00	$101 50	$126,875 00
NVS412514	Novartis Capital Corp 4 125% 02/10/2014	2/10/2014	125,000 0000	$124,871 25	$105 12	$131,397 50
PFE53515	Pfizer Inc 5 350% 03/15/2015	3/15/2015	125,000 0000	$124,843 75	$109 29	$136,612 50
PG35015	Procter & Gamble Co 3 50% 02/15/2015	2/15/2015	125,000 0000	$124,475 00	$102 30	$127,876 25
SHELL414	Shell International Financial 4 00% 03/21/2014	3/21/2014	125,000 0000	$124,966 25	$104 35	$130,441 25
TOCN1537	Transocean Inc 1 50% 12/15/2037	12/15/2037	125,000 0000	$112,890 62	$96 50	$120,625 00
			Total	$1,234,414 62		$1,300,275 40
410 U S Treasury Notes & Bonds						
UST462516	US T-Note 4 625% 11/15/2016	11/15/2016	1,424,000 0000	$1,475,935 94	$108 20	$1,540,696 80
			Total	$1,475,935 94		$1,540,696 80
440 U S Government Agency Obligations						
FHLB52511	Federal Home Loan Bank 5 25% 6/10/2011		1,635,000 0000	$1,625,418 90	$105 91	$1,731,563 10
FHLB512510	Federal Home Loan Bank 5 125% 9/29/2010		185,000 0000	$185,640 10	$103 25	$191,012 50
			Total	$1,811,059 00		$1,922,575 60
				3,286,994.94		3463272.40

Statement of Assets

Account: HUFOUND

Henry Uihlein II & Mildred A. Uihlein Foundation

As of 12/31/2009

Symbol	Asset Description	Maturity Date	Units/Shares or Face Value	Total Cost	Market Price	Market Value
500 Money Market Funds						
FEDOBLFD	Federal Govt Obligatory Fund		303,394 6900	$303,394 69	$1 00	$303,394 69
			Total	$303,394 69		$303,394 69
560 Interest Bearing Acct -Other Inst						
MIBANK	Marshall & Ilsley Bank		189,434 5900	$189,434 59	$1 00	$189,434 59
			Total	$189,434 59		$189,434 59
600 Real Estate						
MILLS	Mills Property		0 0000	$1,857,729 00	$0 00	$1,857,729 00
			Total.	$1,857,729 00		$1,857,729 00
620 Farm Real Estate						
HEAVENHILL	Heaven Hill Farm - 604 Acres		604 0000	$1,347,485 75	$6,978 81	$4,215,200 00
HHCR	Heaven Hill Caretakers Residence		0 0000	$133,882 00	$0 00	$133,882 00
HHOFF	Heaven Hill Office		0 0000	$46,933 42	$0 00	$46,933 42
HWOODS	Henry's Woods - 212 Acres		212 0000	$318,638 00	$2,539 62	$538,400 00
			Total	$1,846,939 17		$4,934,415 42
660 Equipment & Leases						
ATV	Rhino ATV		0 0000	$8,699 00	$0 00	$8,699 00
EXCAVATOR	2007 Kobelco Excavator #35SR		0 0000	$38,000 00	$0 00	$38,000 00
GMCTRUCK	GMC Truck		0 0000	$27,770 00	$0 00	$27,770 00
GOLDONI	Goldoni Transcar		0 0000	$12,820 00	$0 00	$12,820 00
TRACTOR	Tractor w/loader		0 0000	$25,910 00	$0 00	$25,910 00
UTRAILOR	Hydrolic Utility Trailor		0 0000	$7,310 00	$0 00	$7,310 00
			Total	$120,509 00		$120,509 00
Grand Total				$17,776,256 45		$21,437,421 07

2009 Form 990-PF

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN: 39-1322495

Part VIII
Line 1 -

(a)	(b)	(c)	(d)	(e)
John D. Leekley, Jr. N88 W16848 Main St. Menomonee Falls WI 53051	Chairman - 9hrs	$ 50,000.00	None	None
William W. Wotherspoon c/o Uihlein Financial, Inc. 648 North Plankinton Ave. Ste. 260 Milwaukee, WI 53203	Trustee - 6hrs	$ 42,250.00	None	None
James McKenna. c/o Uihlein Financial, Inc. 648 North Plankinton Ave. Ste. 260 Milwaukee, WI 53203	Trustee - 20hrs	$ 42,250.00	None	None
Eleonore Wotherspoon c/o Uihlein Financial, Inc. 648 North Plankinton Ave. Ste. 260 Milwaukee, WI 53203	Trustee - 6hrs	$ 42,250.00	None	None

Note: Uihlein Financial Inc. is a 'family office' providing financial and tax services to certain members of the Uihlein family and has no relationship to the Henry Uihlein II and Mildred A. Uihlein Foundation other than to provide bookkeeping services.

G \Work\HU Foundation\990PFtrustees2009 wpd

2009 Form 990-PF

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN 39-1322495

Part XV - Line 3A Grants And Contributions Paid	Fdn. Status	Purpose	Amount
Adirondack Community Trust PO Box 288 Lake Placid, NY 12946 16-1535724	Public	North Elba Christmas Fund	10,000
Adirondack Council PO Box D-2 Elizabethtown NY 12932- 14-1594386	Public	Clarence Petty Intern	10,000
Adirondack Experience 162 Adirondack Loj Road Lake Placid, NY 12946	Public	Scholarships	3,200
Adirondack Ski Touring Council PO Box 843 Lake Placid NY 12946 14-1690270	Public	Jack Rabbit Trail	4,000
Cornell University 130 East Seneca St. Ste 400 Ithaca NY 14850	Public	Endowment	175,000
Essex County Historical Society PO Box 428 Elizabethtown NY 12932- 14-1414460	Public	Education program	10,000
Lake Placid Volunteer Ambulance Service, Inc PO Box 107 Lake Placid, NY 12946 51-0238413	Public	Operations	5,000
Lake Placid Center for Arts 17 Algonquin Drive Lake Placid, NY 12946	Public	Endowment	10,000
Lake Placid Central School 34 School Street Lake Placid, NY 12946	Gov't.	For Lake Placid Outing Club	2,500
Lake Placid Community Beautification Assn., Inc 177 John Brown Road Lake Placid, NY 12946 22-3799091	Public	Operations	10,000
Lake Placid - North Elba Historical Society PO Box 189	Public	Operations	5,000

Lake Placid, NY 12946 14-6032009			
Lake Placid Public Library 67 Main Street Lake Placid, NY 12946	Gov't.	Operations	5,000
Lake Placid Sinfonietta, Inc. PO Box 1303 Lake Placid, NY 12946 11-2608012	Public	Operations	5,000
Lake Placid Volunteer Fire Dept., Inc PO Box 569 Lake Placid, NY 12946 14-6020589	Public	Training center constr. fund.	10,000
National Sports Academy 821 Mirror Lake Drive Lake Placid, NY 12946	Public	Endowment fund	5,000
New York Educational Ski Foundation Whiteface Mountain Wilmington NY 12997		Van purchase	5,000
Northwood School PO Box 1070 Lake Placid, NY 12946 14-1401103	Public	Endowment fund	10,000
Samaritan Family Counseling Center of Keene New York, Inc Route 73 PO Box 48 Keene, NY 12942 14-1685852	Public	Operations	2,500
Songs At Mirror Lake PO Box 1450 Lake Placid NY 12946 36-4635750		Operations	2,500
Thomas Shipman Sr. Memorial Youth Center, In 8 Cummins Road PO Box 1122 Lake Placid, NY 12946 14-1794204	Public	Unrestricted	10,000
Tri-Lakes Humane Society 11 Mills Road Saranac Lake, NY 12983 23-7394117	Public	Operations	5,000
TOTAL		Total:	304,700

2008 Form 990-PF

Henry Uihlein II & Mildred A. Uihlein Foundation
EIN: 39-1322495

Part IX-A Summary of Direct Charitable Activities

Line 1 -

When Henry Uihlein left his farm of approximately 600 acres, which is located just outside of the Village of Lake Placid, New York, to the Foundation, he did so with the admonition to prevent, if possible, the commercial or residential development of the property. The property is extremely beautiful, and the view of the Adirondack Mountains from the farmhouse, particularly in the Fall, is spectacular.

With the help of a consultant, after considerable deliberation, and having in mind the Quaker adage "Proceed as the way becomes clear", the Foundation has determined that it can make a significant contribution to the community by making the farmhouse available to local not-for-profit organizations for meetings, fund-raisers, seminars, and the like.

In recent years, the Foundation has devoted considerable time and resources to refurbishing the farmhouse and the surrounding landscape (which had become quite shabby over the past two decades) with an eye to making the facility suitable for a wide range of gatherings by not-for profit organizations. This year, without any form of advertising or solicitation (only word-of-mouth referrals), forty-four events were held at Heaven Hill (Mr. Uihlein's name for his farm). Over thirteen hundred people attended these events and the facility was in use for ninety-five days during the year. (Please see the list attached.)

Reported here are the operating expenses of the farm which by their nature may overlap with the funds expended for repair and restoration reported in Line 2 below and with the activities reported on Lines 3 and 4.

$ 104,505

Line 2

Reported here are the funds expended for restoration and repairs to a separate building at Heaven Hill that the Grantor, Henry Uihlein once used as his office when he operated a prize-winning Jersey dairy operation. It had been unused for over two decades (three small rooms and an unheated garage behind) and was in disrepair. In the Spring of 2009, leadership of the Adirondack Community Trust (a 501(c)(3) community trust with about $15 million in assets then located in rented office space in the Village of Lake Placid) asked if they could use the building rent-free to house their staff of three. We agreed to allow them to use the facility if they would refurbish the inside and we refurbished the outside. Reported here are the expenses of repairing the exterior windows, walls and roof and some minor landscaping. We estimate that ACT is saving about $20,000 annually in rent; funds that it can use for grants in the Lake Placid area.

$ 46,933

Line 3

The Foundation also owns approximately 212 acres of undeveloped land adjacent to, but not directly adjoining, the Heaven Hill property. The Foundation reviewed a range of possible uses including outright sale, residential development, and doing nothing. It was determined that the use to which it was currently being put on an informal basis by local residents - as a place to run, hike and bike (and ski and snowshoe in the winter) - should be confirmed and improved. This would not only preserve green space but would also protect adjacent property owned by Cornell University (donated to Cornell over thirty years ago by Henry Uihlein) which is used for maple sugar research by the Uihlein-Cornell Maple Sugar Research Field Station and would offer training opportunities to Olympic athletes at the adjacent Olympic Training Center. This community preserve has been named "Henry's Woods" to honor the Foundation's grantor. Reported here are the funds expended in 2009 on the completion of construction of the main trail of 2.5 miles and the completion of the trailhead access, entrance kiosk, and signage on the access trail to Bear Cub Lane.

$ 17,266

Line 4.

About one hundred and fifty yards up the hill behind the Heaven Hill farmhouse is a log cabin building that archival pictures show was used by the Grantor and his wife (Henry and Mildred Uihlein) to boil maple sap from the farm's extensive stand of maple trees (called a "sugarbush"). Much of the sugarbush on the other side of Heaven Hill was deeded several decades ago to Cornell University for use by its College of Agriculture and Life Sciences to develop a Sugar Maple Research Station (see Part XV 3 b.). Significant stands of sugar maple remain on the Heaven Hill property, however. In 2007 the Director of the Research Station suggested setting up a cooperative effort with a local school to develop a curriculum involving maple trees and maple sugar production utilizing the maple trees and the "sugar shack" at Heaven Hill Farm. The curriculum would be cross-disciplinary, and would involve biology, ecology, basic research techniques, and marketing, just to name a few of the possible subjects. Northwood School, a local co-ed boarding school expressed an interest and began developing the planning. The Director of the Research Station identified a stand of maple trees that would be the subject of the project. Together with students and faculty, six plots of trees were marked off, inventoried and base data recorded. Each plot will be treated differently. For instance, one plot will receive extensive thinning and control of ground cover while another will be left wild. Each year, students will carry on the maintenance of the plots and record the results. In support of this effort, the Foundation installed water and electrical service and purchased a modern (but wood-fired) boiler to replace the ancient and dangerous boiler that had remained unused for several decades. The Winter/Spring of 2008 saw the collection of the first harvest of sap and the production of syrup. The Winter/Spring 2009 season saw a very successful program and also some preliminary discussions with other schools to expand the program. Most of the (startup) expense was reported in 2008. Ongoing expenses are for containers, labels and miscellaneous supplies. Purchases in 2008 covered most of the 2009 needs. Reported here are the charges the purchase of additional supplies used in the Spring 2009 maple syrup harvest.

$ 473

Heaven Hill Farm: Spring 2009

For the second consecutive year in a row the Northwood School community was engaged in the maple sugaring process at the newly renovated Heaven Hill Farm sugar house.

Led by faculty member Alex Barrett with support from Perry Babcock, Whitney Roe and Marcy Fagan, over forty students engaged in the process of boiling, collecting, measuring, bottling and tasting maple syrup. Students worked with Cornell Forest Manager Michael Farrell who has been the catalyst for most of our activity in the sugar bush. As a resource Michael has been invaluable. We have been able to return the favor by having some of our French Canadian students translate documents for Cornell, related to the maple sugar industry in Quebec. Our Environmental Science classes had a number of visits to the Cornell extension, learning about forest management, tree identification and other forest management issues, as well as first hand experience with the maple syrup industry.

The Maple Weekend open house was a great success. Over 120 visitors, young and old came to Heaven Hill Farm. Each received a sample of our product, and they were all given a tour of the facility and explanation of the process. Former Lake Placid Mayor Jamie Rogers and his wife Val were ever-present throughout the weekend and during all of the "boiling days and nights." Jamie remains a key person in the future success of the Heaven Hill program.

Our estimates indicate that over 80 gallons of syrup were produced at the Heaven Hill boiler. That equates to over 3200 gallons of sap! 800 gallons came from the program with the Shipman Youth Center, which tapped trees around Lake Placid. The rest came from the test plots and over 200 taps above the Heaven Hill sugar house.

92 Northwood Road • P.O. Box 1070 • Lake Placid, New York 12946
www.northwoodschool.com
518.523.3357

Henry II & Mildred A. Uihlein Foundation Trust
Charitable Events Held at Heaven Hill Farm
Year 2009

Date	Charitable Sponsor(s)	Description of Event	Approx Number Attending	Use Days*	Eval Rec'd	Contact	Email or Tel	
January 24, 2009	Pendragon Theatre	Board of Directors' Working Retreat	12	3	Y	Bob Pettee	pdragon@northnet.org	
Jan 25-27, 2009	Lake Placid Visitors Bureau	Annual Board Retreat	22	4	Y	Jenn Holdereid-Webb	518-523-3353, ext 605	
February 9, 2009	Adirondack Community Trust (ACT)	ACT Board Meeting	16	3	Y	Cali Brooks	cali@generousact.org	
March 4, 2009	Adirondack Experience, Inc	Quarterly Board Meeting	7	3	Y	John Marshall	john@adkexp.com	
March 28, 2009	Maple Weekend	Open house for general public showcasing HHF sugarhouse	150	3	n/a	Mike Farrell	mlf36@cornell.edu	
April 26, 2009	Northern Lights School	Spring Silent Auction	90	4	Y	Jenn Cantwell	jenlcan@yahoo.com	
May 1, 2009	Adirondack Community Housing Trust	Board Meeting	12	3	Y	Emily Kilburn	emily@adkhousing.org	
May 5, 2009	Essex County IDA	Economic Climate Change Meeting	38	3	Y	Sarah LaFountain	info@essexcountyida.org	
May 18, 2009	Adirondack Community Trust (ACT)	ACT Board Meeting	16	3	N	Cali Brooks	cali@generousact.org	
May 21, 2009	Research Foundation of SUNY-Plattsburgh	Broadband Advisory Council	12	3	Y	Howard Lowe	loweha@plattsburgh.edu	
June 11, 2009	Adirondack Community Trust (ACT)	Nonprofit Board Leadership Seminar- Asset Development	28	1	Y (e)	Andrea Grout	andrea@generousact.org	
June 19, 2009	Essex County IDA	Roundtable Discussion P/S for Eco Climate Change	22	1	Y	Carol Calabrese	info@essexcountyida.org	
July 7, 2009	Adirondack Community Trust (ACT)	ACT Meeting	2	1	Y	Andrea Grout	andrea@generousact.org	
July 11, 2009	Ass'n for the Protection of the Adirondacks	Annual Meeting of the association	80	3	Y	Karmel A DeStefano	Kdestefano@protectadks.org	
July 21, 2009	Kiwanis Club	Kiwanis Divisonal Meeting	50	3	Y	Scott Sicular	ssicular@hotmail.com	
July 28, 2009	Adirondack Medical Center	Focus group on AMC's services in Lake Placid (morning group)	9	1	Y	Megan Murphy	mmurphy@amccares.org	
July 28 2009	Adirondack Medical Center	Focus group on AMC's services in Lake Placid (afternoon group)	7	1	Y	Megan Murphy	mmurphy@amccares.org	
July 31, 2009	Rotary Club of Lake Placid	Month-end Social and Meeting	55	3	Y	William A Stowe	stowe@roadrunner.com	
August 4, 2009	Uihlein-Ironman Sports Fund of Lake Placid	Annual Advisory Committee Meeting to determine 2009 grants	4	1	Y	Andrea Grout	andrea@generousact.org	
August 19, 2009	Garden Club of Lake Placid	Meeting and luncheon	40	3	Y	Heidi Roland		518-523-2933
September 4, 2009	Adirondack Community Trust (ACT)	Meeting with NCPR and Families First	3	1	Y	Andrea Grout	andrea@generousact.org	
September 3-5, 2009	Adirondack Community Trust (ACT)	Adirondack Community Trust (ACT) annual audit prep	2	1	Y	Andrea Grout	andrea@generousact.org	
September 4, 2009	Adirondack Community Housing Trust	Annual board meeting	10	2	Y	Emily Kilburn	emily@adkhousing.org	
September 17, 2009	Adirondack Experience, Inc	Board of Directors meeting	6	1	Y	John Marshall	john@adkexp.com	
September 25-27,2009	Lake Placid Sport Forum	Inaugural session	26	3	Y	Mara Smith	drmara@roadrunner.com	
September 27, 2009	Uihlein-Ironman Sports Fund of LP (ACT)	Annual grant awards ceremony and reception	48	3	n/a	Andrea Grout	andrea@generousact.org	
September 28, 2009	Uihlein Foundation	Opening Celebration for Henry's Wood's	80	3	n/a	John Leekley	jleekley@execpc.com	
September 28, 2009	Adirondack Maple Producers Association	Maple Tour Sugarhouse at Heaven Hill Farm	120	1	Y	Mike Farrell	mlf36@cornell.edu	
October 1, 2009	ACT	Lunch meeting	4	1	Y	Andrea Grout	andrea@generousact.org	
October 4, 2009	NY State Forest Owners Association	Pancake Breakfast	50	3	Y	Mary Jeanne Packer	mjpacker@nyfoa.org	
October 7, 2009	Adirondack Habitat for Humanity	Planning meeting for annual fundraiser	3	1	Y	Diane Weber	dianeweber@rocketmail.com	
October 11, 2009	Lake Placid Sinfonietta	Board Retreat	18	2	Y	Debbie Fitts	info@lakeplacidsinfonietta.org	
October 20, 2009	ACT	Board Meeting	15	2	Y	Andrea Grout	andrea@generousact.org	
October 22, 2009	The Lake Placid - North Elba Historical Society	Board Meeting	12	2	Y	Pat Kelly	thehistorymuseum@verizon.net	
October 22, 2009	CBN Connect, Inc	Board of Directors meeting	15	2	Y	Theresa Bennett	tac@plattsburgh.edu	
October 24, 2009	Rotary Club of Lake Placid	Seminar about Rotary programs	20	2	Y	Bob Hanna	shotrock@roadrunner.com	
November 5, 2009	Adirondack Habitat for Humanity	Planning meeting for annual fundraiser	9	1	Y	Diane Weber	dianeweber@rocketmail.com	
November 7, 2009	Arts Council for the Northern Adirondacks	Board Retreat Mission & Vision (prep for revised strategic plan)	9	1	Y	Caroline Thompson	director@artsnorth.org	
November 8, 2009	Univ of VT, SUNY-AF Adk Research Consortium	Meeting with students on Adk management issues	18	2	Y	Dan Fitts	thefitts@adelphia.net	
November 13, 2009	Habitat for Humanity	Annual fundraiser and silent auction	100	3	Y	Diane Weber	dianeweber@rocketmail.com	518-354-2042
Nov 30-Dec 1, 2009	Wildlife Conservation Society	Annual staff & advisory group meetings	10	3	Y	Carrianne Pershyn	cpershyn@wcs.org	518-891-8872
December 4, 2009	Adirondack Community Housing Trust	Board Meeting	8	1	Y	Emily Kilburn	emily@adkhousing.org	518-873-6888
December 8, 2009	LP/EC Quality Destinations/John Brown Coming Home	Lunch reception following John Brown 150th Anniversary	34	2	Y	Naj Wickoff		518-576-2063
December 10, 2009	Adirondack Experience	Board meeting and retirement party	25	2	Y	John Marshall	a@verizon.net, dione@adkexp.com	
		Total Use Days		95				
		Total Attendance	1317					

*Use Days Time spent on set-up, event, and teardown

Heaven Hill Charitable Events 2009.xls
Compiled by Eleonore Wotherspoon
Last updated January 23, 2010

COPY

Form **8868** (Rev. April 2009) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

▶ File a separate application for each return

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ▶ [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing *(e-file).* Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization HENRY UIHLEIN II & MILDRED A. UIHLEIN FOUNDATION	Employer identification number 39-1322495
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O box, see instructions. 648 N. PLANKINTON AVE. SUITE 260	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions MILWAUKEE, WI 53203	

Check type of return to be filed (file a separate application for each return).

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ Uihlein Financial Inc

Telephone No. ▶ (414)271-2017 FAX No ▶ 414-271-2048

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/16, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______, ___, and ending ______, ___.

2 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ NONE
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance Due** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ NONE

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 4-2009)

COPY

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- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization HENRY UIHLEIN II & MILDRED A. U[redacted] FOUNDATION	Employer identification number 39-1322495
	Number, street, and room or suite no. If a P.O. box, see instructions. 648 N. PLANKINTON AVE. SUITE 260	For IRS use only [redacted]
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MILWAUKEE, WI 53203	

Check type of return to be filed (File a separate application for each return):

[ ] Form 990	[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 6069
[ ] Form 990-BL	[ ] Form 990-T (sec. 401(a) or 408(a) trust)	[ ] Form 4720	[ ] Form 8870
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ Uihlein Financial Inc

  Telephone No ▶ (414)271-2017 FAX No. ▶ 414-271-2048

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ [ ] . If it is for part of the group, check this box . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until 11/15/2010 .

5 For calendar year 2009 , or other tax year beginning ______, and ending ______.

6 If this tax year is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ALL NECESSARY INFORMATION TO COMPLETE THE RETURN HAS NOT BE RECEIVED

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ NONE
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ NONE

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Chairman Date ▶ 06/16/2010

Form 8868 (Rev 4-2009)

UIHLEIN FINANCIAL INC

648 N. PLANKINTON AVENUE SUITE 260

MILWAUKEE, WI 53203

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