Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply. [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: ROTTMAN FAMILY CHARITABLE FOUNDATION

A Employer identification number: 38-3566006

Number and street (or P O box number if mail is not delivered to street address): 11300 HART STREET, NE | Room/suite

B Telephone number (see page 10 of the instructions): (616) 691-7672

City or town, state, and ZIP code: GREENVILLE, MI 48838

C If exemption application is pending, check here ▶ [ ]
D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 3,210,832.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	750,000.			
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	63,477.	55,534.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-258,685.			
	b Gross sales price for all assets on line 6a 2,015,330.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-1,614.	-1,614.		ATCH 2
	12 **Total.** Add lines 1 through 11	553,178.	53,920.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	2,325.	1,163.	0.	1,162.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)**	2,739.	2,361.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	1,111.	1,091.		20.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	6,175.	4,615.	0.	1,182.
	25 Contributions, gifts, grants paid	50,000.			50,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	56,175.	4,615.	0.	51,182.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	497,003.			
	b **Net investment income** (if negative, enter -0-)		49,305.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	709,590.	154,429.	154,429.
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) ATCH 6	1,378,946.	2,142,763.	3,056,403.
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	2,088,536.	2,297,192.	3,210,832.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	2,088,536.	2,297,192.	
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,088,536.	2,297,192.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,088,536.	2,297,192.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,088,536.
2	Enter amount from Part I, line 27a	2	497,003.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 7	3	-288,347.
4	Add lines 1, 2, and 3	4	2,297,192.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,297,192.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -258,685.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	72,047.	1,713,282.	0.042052
2007	32,307.	1,433,536.	0.022537
2006	18,445.	647,090.	0.028505
2005	5,900.	398,211.	0.014816
2004	4,948.	180,091.	0.027475

2	**Total** of line 1, column (d)	2	0.135385
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.027077
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,397,173.
5	Multiply line 4 by line 3	5	64,908.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	493.
7	Add lines 5 and 6	7	65,401.
8	Enter qualifying distributions from Part XII, line 4	8	51,182.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	986.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	986.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	986.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 0.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	12.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	998.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 0. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ MI,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ FRITZ M. ROTTMAN Telephone no ▶ 616-691-7672

Located at ▶ 11300 HART STREET, NE, GREENVILLE, MI ZIP + 4 ▶ 48838

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		**5b**	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		**7b**	X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,270,693.
b	Average of monthly cash balances	1b	162,985.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	2,433,678.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,433,678.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	36,505.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,397,173.
6	**Minimum investment return.** Enter 5% of line 5	6	119,859.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	119,859.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 986.		
b	Income tax for 2009. (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	986.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	118,873.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	118,873.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	118,873.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	51,182.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	51,182.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	51,182.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				118,873.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			80,100.	
b	Total for prior years 20 07 ,20 06 ,20 05				
3	Excess distributions carryover, if any, to 2009				
a	From 2004 ... 0.				
b	From 2005 ... 0.				
c	From 2006 ... 0.				
d	From 2007 ... 0.				
e	From 2008 ... 0.				
f	Total of lines 3a through e	0.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 51,182.				
a	Applied to 2008, but not more than line 2a			51,182.	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount				
e	Remaining amount distributed out of corpus	0.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			28,918.	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				118,873.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10	Analysis of line 9				
a	Excess from 2005 ...				
b	Excess from 2006 ... 0.				
c	Excess from 2007 ... 0.				
d	Excess from 2008 ... 0.				
e	Excess from 2009 ... 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

ATTACHMENT 9

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 10				
Total . . . . ▶ **3a**				50,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	63,477.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-258,685.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-195,208.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 -195,208.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

▶ Signature of officer or trustee — 5/14/10 Date ▶ President Title

Paid Preparer's Use Only

Preparer's signature ▶ Daniel W. Fuller, CPA	Date 5.11.10	Check if self-employed ▶ ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00238135
Firm's name (or yours if self-employed), address, and ZIP code ▶	BDO SEIDMAN LLP 99 MONROE AVENUE N.W., SUITE 800 GRAND RAPIDS, MI 49503-2654		EIN ▶ 13-5381590 Phone no. 616-774-7000

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization
ROTTMAN FAMILY CHARITABLE FOUNDATION

Employer identification number
38-3566006

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☒ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization ROTTMAN FAMILY CHARITABLE FOUNDATION

Employer identification number 38-3566006

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	FRITZ AND CAROL ROTTMAN 11300 HART STREET, NE GREENVILLE, MI 48838	$ 750,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

ROTTMAN FAMILY CHARIABLE FOUNDATION
38-3566006
Account # 5610
REALIZED GAIN/LOSS DETAIL

2009 Details

Symbol	Description	Date of Sale	Date Acquired	Quantity	Proceeds	Cost/Share	Cost	Realized Gain/Loss
FYAIX	ACCESS FLEX HIGH YIELD FD-INVESTOR CL	4/23/2009	Various	2,199 00	$44,727 66	$19 77	$43,474 23	$1,253.43
ACE	ACE LIMITED ORD CHF32 51	5/15/2009	3/20/2009	41	$1,759 87	$38 73	$1,587 90	$171 97
ARO	AEROPOSTALE	7/10/2009	3/20/2009	22	$719 52	$24 88	$547 36	$172.16
ARO	AEROPOSTALE	5/6/2009	3/20/2009	18	$635 30	$24 88	$447 84	$187 46
AET	AETNA INC NEW COM	5/15/2009	3/20/2009	58	$1,486.04	$22 83	$1,324 02	$162 02
AKAM	AKAMAI TECH	7/31/2009	3/20/2009	53	$863 29	$18 28	$968 89	($105.60)
AKZOY	AKZO NOBEL NV ADR EACH PER 1 EUR2(MGT)	8/18/2009	3/20/2009	69	$3,769 08	$41 54	$2,866 17	$902 91
AGN	ALLERGAN INC	5/4/2009	3/20/2009	64	$2,974.32	$39 66	$2,538.56	$435 76
ATK	ALLIANT TECHSYSTEMS INC	6/2/2009	3/20/2009	10	$867 57	$62 59	$625.91	$241 66
ATK	ALLIANT TECHSYSTEMS INC	4/3/2009	3/20/2009	7	$462 81	$62 59	$438.13	$24 68
AZ	ALLIANZ SE ADR EACHREP 1/10 ORD SH	8/18/2009	5/12/2009	282	$2,950 10	$10 50	$2,960 54	($10 44)
MDRX	ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM	11/24/2009	3/20/2009	71	$1,428 17	$9 02	$640 76	$787 41
MDRX	ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM	11/24/2009	5/29/2009	76	$1,528 74	$13 02	$989 16	$539.58
MDRX	ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM	6/26/2009	3/20/2009	84	$1,230 12	$9 02	$758 08	$472.04
MDRX	ALLSCRIPTS HEALTHCARE SOLUTIONSINC COM	4/2/2009	3/20/2009	75	$762 99	$9 02	$676 86	$86.13
ANR	ALPHA NAT RES INC	10/9/2009	3/25/2009	50	$1,900 45	$15 54	$776 92	$1,123 53
ANR	ALPHA NAT RES INC	8/3/2009	8/3/2009	0	$31 98	$0 00	$0 00	$31.98
AMZN	AMAZON COM INC	12/14/2009	3/20/2009	80	$10,502 12	$70 00	$5,599 63	$4,902 49
AMX	AMERICA MOVIL SAB DECV ADR EACH REP 20 SHS NPV SER	6/3/2009	3/20/2009	43	$1,583 38	$28 32	$1,217 87	$365.51
CIBFX	AMERICAN CAPITAL INCOME BUILDER CL F1	3/20/2009	Various	588 258	$21,865 56	$55 41	$32,594 85	($10,729 29)
AEO	AMERICAN EAGLE OUTFITTERS	5/1/2009	3/20/2009	55	$787 82	$11 14	$612 45	$175 37
AMGN	AMGEN INC	7/7/2009	3/20/2009	30	$1,558 85	$48 69	$1,460 72	$98 13
AMGN	AMGEN INC	4/22/2009	3/20/2009	27	$1,209 93	$48 69	$1,314 65	($104 72)
NLY	ANNALY CAPITAL MANAGEMENT INC COM	7/31/2009	3/20/2009	170	$2,856.42	$13.57	$2,306.31	$550 11
NLY	ANNALY CAPITAL MANAGEMENT INC COM	3/25/2009	3/20/2009	49	$696 61	$13 57	$664.76	$31 85
AAPL	APPLE INC	12/28/2009	3/20/2009	12	$2,531 25	$101 65	$1,219 76	$1,311.49
AAPL	APPLE INC	8/18/2009	3/20/2009	23	$3,764 59	$101 65	$2,337.87	$1,426 72
ARMYV	ARM HOLDINGS PLC ADSEACH REP 3 ORD GBP0 0005	12/11/2009	3/20/2009	436	$3,499.15	$4.45	$1,939 48	$1,559 67
ARMYV	ARM HOLDINGS PLC ADSEACH REP 3 ORD GBP0 0005	12/11/2009	8/18/2009	127	$1,019 25	$6 26	$795 61	$223 64
ARRS	ARRIS GROUP INC	6/4/2009	3/20/2009	98	$1,202 26	$7 15	$700 64	$501 62
T	AT&T INC COM	6/26/2009	3/20/2009	156	$3,863 82	$25 34	$3,953 81	($89 99)
T	AT&T INC COM	6/22/2009	3/20/2009	128	$3,083 12	$25 34	$3,244 15	($161 03)
T	AT&T INC COM	6/22/2009		74	$0 00	($93 10)	($93 10)	$93 10
ATML	ATMEL CORP	11/6/2009	3/20/2009	337	$1,323.11	$3.81	$1,284 82	$38 29
AXA	AXA ADS-EACH REP 1 ORD EUR2.29	5/7/2009	3/20/2009	302	$5,026.21	$12.32	$3,720 81	$1,305 40
RATE	BANKRATE INC COM CASH PAYMENT OF $28 50 PER SHARE	7/24/2009	3/20/2009	26	$735 84	$20 63	$536 32	$199 52
BAYRY	BAYER AG SPON ADR-EACH REPR 1 ORD NPV	11/25/2009	3/20/2009	24	$1,909.55	$50.79	$1,218.96	$690 59
BEC	BECKMAN COULTER INC	8/5/2009	3/20/2009	13	$832 03	$47 49	$617 31	$214.72

BDX	BECTON DICKINSON CO	10/7/2009	3/20/2009	29	$1,961 30	$64 63	$1,874 22	$87 08
BDX	BECTON DICKINSON CO	10/7/2009	5/4/2009	25	$1,690 78	$61 19	$1,529 75	$161 03
BDX	BECTON DICKINSON CO	10/7/2009	5/29/2009	38	$2,569 99	$67 89	$2,579 84	($9 85)
BDX	BECTON DICKINSON CO	8/18/2009	3/20/2009	108	$7,082 01	$64.63	$6,979.87	$102 14
BBL	BHP BILLITON PLC SPONS ADR EACH REP 2ORD USD0 50	7/7/2009	3/20/2009	25	$1,038 97	$40 73	$1,018 33	$20 64
BBL	BHP BILLITON PLC SPONS ADR EACH REP 2ORD USD0.50	6/9/2009	3/20/2009	64	$3,181 03	$40 73	$2,606.91	$574.12
BIIB	BIOGEN IDEC INC	8/10/2009	3/20/2009	28	$1,338 33	$50 26	$1,407 16	($68 83)
BIIB	BIOGEN IDEC INC	8/10/2009	5/29/2009	33	$1,577 32	$52 03	$1,717.07	($139 75)
BJ	BJ S WHOLESALE CLUB INC	5/26/2009	3/20/2009	17	$633.73	$31 08	$528 37	$105 36
BCSI	BLUE COAT SYS INC NEW	10/14/2009	5/28/2009	36	$866 05	$14 47	$521.00	$345 05
BNPQY	BNP PARIBAS ADR EACHREPR 1/2 ORD LVL I(SPON)	7/10/2009	3/20/2009	45	$1,418 91	$21 17	$952 63	$466 28
BA	BOEING CO	4/2/2009	3/20/2009	81	$3,005.18	$32 65	$2,644.55	$360.63
BDN	BRANDYWINE RLTY TR SBI NEW	12/31/2009	3/20/2009	402	$4,575.81	$2 54	$1,021 04	$3,554.77
BDN	BRANDYWINE RLTY TR SBI NEW	12/31/2009	8/11/2009	66	$751.25	$10 37	$684 50	$66.75
BRO	BROWN & BROWN INC	12/14/2009	6/10/2009	47	$832 80	$20 14	$946 59	($113 79)
BKC	BURGER KING HLDGS INC COM	8/25/2009	3/20/2009	59	$1,098 22	$22 56	$1,330 78	($232 56)
BNI	BURLINGTON NORTHERN SANTA FE CORP CASH MERGER OF $	11/10/2009	3/20/2009	62	$6,044 30	$55 19	$3,421 72	$2,622.58
BNI	BURLINGTON NORTHERN SANTA FE CORP CASH MERGER OF $	11/10/2009	5/29/2009	19	$1,852 28	$72 86	$1,384 36	$467 92
CDSCY	CADBURY PLC SPON ADREA REPR 4 ORD SHS GBP0 10	10/5/2009	3/20/2009	36	$1,807 43	$30.34	$1,092 09	$715 34
CGEMY	CAP GEMINI ADR EACH REPR 1/2 ORD EUR8	5/7/2009	3/20/2009	80	$1,401 16	$16 80	$1,343 83	$57 33
COF	CAPITAL ONE FINANCIAL CORP	6/22/2009	3/30/2009	66	$1,341 66	$11 43	$754 54	$587 12
CMO	CAPSTEAD MTG CORP COM NO PAR	4/27/2009	3/20/2009	61	$658 71	$10 76	$656 40	$2 31
CMO	CAPSTEAD MTG CORP COM NO PAR	4/17/2009	3/20/2009	71	$787 88	$10.76	$764.00	$23 88
CDR	CEDAR SHOPPING CTRS INC COM NEW	12/31/2009	4/13/2009	196	$1,324 76	$2.69	$527 40	$797 36
CHTT	CHATTEM INC FORMERLYCHATTEM DRUG & CHEM CO TO 08/3	10/29/2009	7/13/2009	10	$629 68	$65 26	$652 60	($22 92)
CHKP	CHECK POINT SOFTWARETECHNOLOGIES ORD ILS0 01	7/9/2009	3/20/2009	55	$1,221.76	$21 03	$1,156 54	$65.22
CAKE	CHEESECAKE FACTORY INC	10/9/2009	3/20/2009	81	$1,456 44	$11 06	$896 13	$560 31
CAKE	CHEESECAKE FACTORY INC	4/27/2009	3/20/2009	30	$496 58	$11 06	$331 90	$164 68
CAKE	CHEESECAKE FACTORY INC	3/25/2009	3/20/2009	39	$438 93	$11 06	$431 47	$7 46
CHS	CHICOS FAS INC	10/13/2009	4/21/2009	73	$920 53	$7 32	$534 33	$386 20
PLCE	CHILDREN'S PLACE	11/24/2009	4/23/2009	36	$1,174 56	$28 15	$1,013 48	$161 08
CB	CHUBB CORP	4/28/2009	3/20/2009	119	$4,742 17	$40 77	$4,851 30	($109 13)
CB	CHUBB CORP	4/28/2009	3/25/2009	17	$677 45	$42 16	$716.73	($39 28)
CHT	CHUNGHWA TELECOM ADREACH REPR 10 ORD TWD10	6/24/2009	3/20/2009	67	$1,325 20	$19.07	$1,277 83	$47 37
CHT	CHUNGHWA TELECOM ADREACH REPR 10 ORD TWD10	6/24/2009	6/16/2009	92	$1,819.68	$19 62	$1,804 76	$14.92
CHT	CHUNGHWA TELECOM ADREACH REPR 10 ORD TWD10	4/6/2009	3/20/2009	44	$807 31	$19 07	$839 17	($31 86)
CTXS	CITRIX SYSTEMS INC	10/22/2009	3/20/2009	33	$1,304 04	$22 74	$750.50	$553 54
CLC	CLARCOR INC	10/16/2009	3/20/2009	42	$1,301 94	$23 60	$991 22	$310 72
CLW	CLEARWATER PAPER CORP COM	6/3/2009	5/1/2009	35	$878 87	$14 69	$514 10	$364 77
CLX	CLOROX CO DEL	5/15/2009	3/20/2009	27	$1,371 93	$49 75	$1,343 15	$28 78
CEO	CNOOC LIMITED ADS EACH REP 100 ORD HKD0 02	5/26/2009	3/20/2009	19	$2,339 57	$96 90	$1,841 12	$498 45
COH	COACH INC	4/22/2009	3/20/2009	31	$698 78	$15 29	$473 93	$224 85
CMCSA	COMCAST CORP NEW CL A	8/20/2009	3/20/2009	130	$1,887 32	$12 74	$1,656 59	$230 73
CMCSA	COMCAST CORP NEW CL A	8/20/2009	5/29/2009	71	$1,030 77	$13.88	$985.67	$45 10
CMCSA	COMCAST CORP NEW CL A	8/20/2009	3/25/2009	51	$740 41	$14.10	$718 94	$21 47
CMCSA	COMCAST CORP NEW CL A	5/15/2009	3/20/2009	128	$1,837 71	$12.74	$1,631.10	$206 61
CMCSA	COMCAST CORP NEW CL A	4/22/2009	3/20/2009	90	$1,256 46	$12 74	$1,146 87	$109 59

CVLT	COMMVAULT SYS INC COM	5/15/2009	3/20/2009	50	$547.48	$10 49	$524 50	$22 98
COP	CONOCOPHILLIPS	3/25/2009	3/20/2009	141	$5,588 25	$37 87	$5,339.21	$249.04
COO	COOPER COMPANIES INC	6/18/2009	3/20/2009	1	$26 32	$24 29	$24 29	$2 03
COO	COOPER COMPANIES INC	6/11/2009	3/20/2009	35	$946 07	$24 29	$850 23	$95 84
CVH	COVENTRY HEALTH CAREINC	5/27/2009	5/11/2009	30	$554 18	$18 95	$568 40	($14 22)
COV	COVIDIEN PLC USD0.20	10/26/2009	3/20/2009	53	$2,289.49	$32 12	$1,702 18	$587 31
CRHCY	CRH SPON ADR EA REPR1 ORD SHS EUR0 32	9/3/2009	3/20/2009	228	$5,381 52	$23 30	$5,311.28	$70 24
CRHCY	CRH SPON ADR EA REPR1 ORD SHS EUR0 32	9/3/2009	5/29/2009	19	$448.46	$23 66	$449 51	($1 05)
CVS	CVS CAREMARK CORP	11/30/2009	3/25/2009	21	$649 22	$29 53	$620 20	$29 02
CVS	CVS CAREMARK CORP	11/30/2009	5/29/2009	64	$1,978 56	$29 93	$1,915 20	$63 36
CVS	CVS CAREMARK CORP	10/26/2009	3/20/2009	99	$3,605 64	$26 73	$2,646 03	$959 61
CVS	CVS CAREMARK CORP	10/26/2009	3/25/2009	3	$109 26	$29 53	$88 60	$20 66
CVS	CVS CAREMARK CORP	9/8/2009	3/20/2009	77	$2,817 82	$26 73	$2,058 02	$759 80
CVS	CVS CAREMARK CORP	4/22/2009	3/20/2009	37	$1,112 33	$26 73	$988 92	$123.41
DSKYY	DAIICHI SANKYO COMPANY LIMITED ADR EACH REPR 1 ORD	8/24/2009	3/20/2009	58	$1,203 01	$16 56	$960.29	$242 72
DSKYY	DAIICHI SANKYO COMPANY LIMITED ADR EACH REPR 1 ORD	8/24/2009	4/14/2009	93	$1,928 97	$17.38	$1,616.24	$312 73
DSKYY	DAIICHI SANKYO COMPANY LIMITED ADR EACH REPR 1 ORD	8/24/2009	5/29/2009	70	$1,451.91	$18 96	$1,327 50	$124 41
DSKYY	DAIICHI SANKYO COMPANY LIMITED ADR EACH REPR 1 ORD	8/24/2009	5/21/2009	66	$1,368 94	$17 99	$1,187 59	$181 35
DSKYY	DAIICHI SANKYO COMPANY LIMITED ADR EACH REPR 1 ORD	7/7/2009	3/20/2009	83	$1,461 06	$16 56	$1,374 21	$86 85
DSECY	DAIWA SECURITIES GROUP ADR-EACH CNV INTO 10 ORD NP	12/9/2009	10/28/2009	56	$2,990 72	$51 94	$2,908 40	$82.32
DSECY	DAIWA SECURITIES GROUP ADR-EACH CNV INTO 10 ORD NP	4/14/2009	3/20/2009	131	$7,073 67	$42 51	$5,568.95	$1,504 72
DDUP	DATA DOMAIN INC CASH PAYMENT OF $33 50 PER SHARE	5/22/2009	3/20/2009	54	$1,307 68	$11.95	$645.20	$662.48
DDUP	DATA DOMAIN INC CASH PAYMENT OF $33 50 PER SHARE	5/22/2009	4/28/2009	32	$774 92	$15 84	$506.88	$268 04
DECK	DECKERS OUTDOOR	10/23/2009	7/13/2009	13	$1,255 30	$66.20	$860.54	$394.76
DE	DEERE & COMPANY	11/10/2009	3/20/2009	46	$2,219 44	$30 89	$1,421 12	$798 32
DE	DEERE & COMPANY	11/10/2009	5/29/2009	34	$1,640 46	$43.71	$1,485 98	$154 48
DNR	DENBURY RES INC DEL COM USD0 001	8/7/2009	3/20/2009	65	$1,055 37	$15.81	$1,027 85	$27 52
DBSDY	DEV BK OF SINGAPORE GROUP HOLDINGS SPONS ADR EACH	6/26/2009	3/20/2009	99	$3,165 11	$20 48	$2,027.60	$1,137 51
DVN	DEVON ENERGY CORP NEW	7/7/2009	3/20/2009	27	$1,339 53	$47 76	$1,289 52	$50 01
DVN	DEVON ENERGY CORP NEW	3/25/2009	3/20/2009	23	$1,127 96	$47 76	$1,098 48	$29 48
DO	DIAMOND OFFSHORE DRILLING INC	11/10/2009	3/25/2009	21	$2,106 32	$69 15	$1,452 10	$654.22
DO	DIAMOND OFFSHORE DRILLING INC	11/10/2009	5/29/2009	16	$1,604 82	$84 78	$1,356.48	$248 34
DO	DIAMOND OFFSHORE DRILLING INC	7/7/2009	3/20/2009	17	$1,292 22	$66.64	$1,132.89	$159 33
DO	DIAMOND OFFSHORE DRILLING INC	7/7/2009	3/25/2009	24	$1,824 30	$69 15	$1,659 55	$164 75
DGI	DIGITALGLOBE INC COM NEW	11/6/2009	5/28/2009	32	$718.98	$18 00	$576 00	$142.98
DGI	DIGITALGLOBE INC COM NEW	11/6/2009	8/21/2009	34	$763 92	$20 90	$710 44	$53 48
PHBRX	DIREXION HIGH YIELD BEAR INVESTOR SHS	9/25/2009	Various	2,540.73	$43,192.41	$18 73	$47,575 86	($4,383 45)
PHBRX	DIREXION HIGH YIELD BEAR INVESTOR SHS	7/21/2009	Various	1,528 83	$27,962 34	$19 76	$30,211 04	($2,248 70)
PHBRX	DIREXION HIGH YIELD BEAR INVESTOR SHS	7/21/2009		1,528 83	$0 00	($2,248 70)	($2,248 70)	$2,248 70
DFS	DISCOVER FINL SVCS	10/16/2009	5/15/2009	168	$2,525 37	$8 64	$1,451 12	$1,074.25
DPS	DR PEPPER SNAPPLE GROUP INC COM	4/15/2009	3/25/2009	48	$941 41	$15 64	$750 56	$190 85
DRQ	DRIL QUIP INC	4/2/2009	3/20/2009	22	$711.17	$27.39	$602 66	$108.51
EIX	EDISON INTL	6/3/2009	3/20/2009	53	$1,545 92	$28 58	$1,514 60	$31 32
EIX	EDISON INTL	4/15/2009	3/20/2009	116	$3,254 99	$28.58	$3,314.97	($59 98)
EDR	EDUCATION RLTY TR INC	12/31/2009	3/20/2009	234	$1,124 53	$2 97	$694 78	$429 75
ENER	ENERGY CONVERSION DEVICES INC	7/29/2009	5/4/2009	25	$366 24	$20 87	$521 75	($155 51)
EQIX	EQUINIX INC NEW	4/24/2009	3/20/2009	7	$473 02	$49 82	$348 72	$124 30

EXPD	EXPEDITORS INTL WASH INC	8/18/2009	3/20/2009	121	$3,810 66	$27 32	$3,306 23	$504 43
FFIV	F5 NETWORKS INC	5/12/2009	3/20/2009	26	$696 32	$20 79	$540 59	$155.73
FFIV	F5 NETWORKS INC	4/21/2009	3/20/2009	18	$480 86	$20 79	$374.25	$106 61
FNF	FIDELITY NATL FINL INC	4/30/2009	3/20/2009	26	$463.36	$19.44	$505.38	($42 02)
SGENX	FIRST EAGLE GLOBAL CLASS A	3/20/2009	Various	1,523 92	$45,824.40	$40 65	$61,950 60	($16,126 20)
FE	FIRSTENERGY CORP	10/26/2009	3/20/2009	82	$3,683 52	$39.72	$3,256.77	$426.75
FE	FIRSTENERGY CORP	10/26/2009	5/29/2009	36	$1,617 16	$38 01	$1,368 44	$248 72
FE	FIRSTENERGY CORP	7/28/2009	3/20/2009	38	$1,575 03	$39 72	$1,509 23	$65 80
FLEX	FLEXTRONICS INTERNATIONAL ORD USD0 01	5/29/2009	3/20/2009	315	$1,239 36	$2 39	$751 40	$487 96
FKINX	FRANKLIN INCOME CLASS A	3/20/2009	Various	17,533 36	$26,650 68	$2 46	$43,113 44	($16,462 76)
FCN	FTI CONSULTING INC	8/4/2009	3/20/2009	37	$1,869 70	$47 35	$1,751 83	$117 87
FUJIY	FUJI FILM HOLDINGS CORPORATION ADR-EACHCNV INTO 1	5/11/2009	3/20/2009	53	$1,354 59	$21 52	$1,140 37	$214.22
GMHLY	GAM HOLDING AG UNSP ADR EACH REPR 1/5 ORD	11/6/2009	3/20/2009	307	$732 49	$5 23	$1,604 40	($871 91)
GMHLY	GAM HOLDING AG UNSP ADR EACH REPR 1/5 ORD	11/6/2009	4/14/2009	148	$353 12	$6 55	$969 79	($616 67)
GMHLY	GAM HOLDING AG UNSP ADR EACH REPR 1/5 ORD	11/6/2009	5/29/2009	118	$281 55	$8 50	$1,002 74	($721.19)
OGZPY	GAZPROM O A O SPONSORED ADR ISIN #US3682872078 SED	3/25/2009	3/20/2009	84	$1,340 19	$15 11	$1,268 83	$71 36
BGC	GENERAL CABLE CORP	8/7/2009	3/20/2009	42	$1,427 10	$17 97	$754 67	$672 43
GD	GENERAL DYNAMICS CRP	3/25/2009	3/20/2009	53	$2,126 82	$37 74	$2,000 11	$126 71
GE	GENERAL ELECTRIC CO	7/21/2009	3/20/2009	327	$3,745.32	$9 55	$3,123 42	$621 90
GE	GENERAL ELECTRIC CO	7/21/2009	5/29/2009	169	$1,935 65	$13 53	$2,286 12	($350 47)
GE	GENERAL ELECTRIC CO	7/21/2009		169	$0 00	($350 47)	($350 47)	$350.47
GE	GENERAL ELECTRIC CO	7/8/2009	3/20/2009	121	$1,287 87	$9 55	$1,155 76	$132 11
GE	GENERAL ELECTRIC CO	6/22/2009	3/20/2009	233	$2,676 09	$9 55	$2,225 56	$450.53
GILD	GILEAD SCIENCES INC	12/28/2009	3/20/2009	109	$4,710 42	$44 34	$4,832 64	($122 22)
GILD	GILEAD SCIENCES INC	12/28/2009	5/29/2009	73	$3,154 69	$43 21	$3,154 30	$0 39
GILD	GILEAD SCIENCES INC	10/9/2009	3/20/2009	83	$3,751 80	$44 34	$3,679 90	$71.90
GILD	GILEAD SCIENCES INC	9/18/2009	3/20/2009	114	$5,209 64	$44 34	$5,054 32	$155 32
TV	GRUPO TELEVISA SA DECV ADR-REPR 5 ORD PTG CERTS(BN	6/9/2009	3/20/2009	85	$1,491 36	$12 79	$1,086 79	$404.57
GES	GUESS? INC	11/24/2009	4/22/2009	22	$785 07	$24 76	$544 80	$240 27
GYMB	GYMBOREE CORP	7/23/2009	4/14/2009	21	$808 45	$29 26	$614 48	$193.97
HBI	HANESBRANDS INC COM	3/25/2009	3/20/2009	62	$611 37	$9 15	$567 24	$44 13
HDB	HDFC BANK ADS EACH REPR 3 INR10(MGT)	10/9/2009	3/20/2009	19	$2,218 55	$51 85	$985.16	$1,233 39
HCN	HEALTH CARE REIT INC	8/5/2009	3/20/2009	53	$2,317.05	$30.16	$1,598.46	$718 59
HMA	HEALTH MGMT ASSOC INC CL A	9/25/2009	5/22/2009	154	$1,091 53	$5.42	$834 98	$256 55
HPQ	HEWLETT-PACKARD CO DE	9/8/2009	3/20/2009	57	$2,583 72	$28 88	$1,646 10	$937.62
HPQ	HEWLETT-PACKARD CO DE	7/14/2009	3/20/2009	110	$4,077 29	$28 88	$3,176 68	$900 61
HST	HOST HOTELS & RESORTS INC COM	8/27/2009	3/20/2009	196	$1,975.46	$3.80	$744.73	$1,230 73
HST	HOST HOTELS & RESORTS INC COM	5/27/2009	3/20/2009	95	$809 92	$3 80	$360 97	$448 95
HST	HOST HOTELS & RESORTS INC COM	5/1/2009	3/20/2009	116	$866 61	$3 80	$440 76	$425 85
HURN	HURON CONSULTING GRPINC COM	7/6/2009	3/20/2009	14	$627 02	$41 41	$579 76	$47.26
IBKC	IBERIABANK CORP	9/29/2009	3/20/2009	17	$769 90	$44 41	$754 98	$14 92
ICLR	ICON SPON ADR EACH 1REP 1 SHR	8/12/2009	3/25/2009	44	$990 77	$15 93	$701 00	$289 77
INFY	INFOSYS TECHNOLOGIESSPON ADR EA REP 1 SHARE INR5	8/20/2009	3/20/2009	54	$2,216.20	$26 04	$1,406 06	$810 14
	ING GROEP ADS (RTS EXERCISE) FR CUSIP 456837996	12/21/2009	11/30/2009	663	$1,556.92	Unknown	$0 00	$1,556 92
INTC	INTEL CORP	12/17/2009	9/8/2009	135	$2,569 36	$19.86	$2,681 00	($111 64)
INTC	INTEL CORP	12/17/2009	10/9/2009	80	$1,522 58	$20.20	$1,616.09	($93 51)
ISNPY	INTESA SANPAOLO S P A ADR EACH REPR6 ORD EUR0 52	4/14/2009	3/20/2009	209	$3,758 27	$14 76	$3,084 34	$673 93

IBM	INTL BUSINESS MACH	9/8/2009	3/20/2009	27	$3,155 23	$92 57	$2,499 37	$655 86
ITG	INVESTMENT TECH GROUP INC	11/24/2009	3/20/2009	31	$557 11	$22.76	$705 50	($148 39)
ITUB	ITAU UNIBANCO HOLDINGS S A ADR EACH REPR 1 PRF NP	12/21/2009	4/14/2009	116.6	$2,517 53	$11 47	$1,337 93	$1,179 60
ITUB	ITAU UNIBANCO HOLDINGS S.A ADR EACH REPR 1 PRF NP	12/21/2009	5/29/2009	92.4	$1,995.02	$14.68	$1,356.20	$638 82
ITUB	ITAU UNIBANCO HOLDINGS S A ADR EACH REPR 1 PRF NP	7/7/2009	3/20/2009	48	$725.61	$10 80	$518 22	$207 39
ITUB	ITAU UNIBANCO HOLDINGS S A ADR EACH REPR 1 PRF NP	7/7/2009	4/14/2009	23	$347.69	$12.62	$290 31	$57 38
ITUB	ITAU UNIBANCO HOLDINGS S A ADR EACH REPR 1 PRF NP	6/26/2009	3/20/2009	199	$3,177 90	$10 80	$2,148 47	$1,029 43
ITUB	ITAU UNIBANCO HOLDINGS S A ADR EACH REPR 1 PRF NP	4/9/2009	4/9/2009	0	$3 43	$0 00	$0 00	$3 43
WASAX	IVY ASSET STRATEGY CL A	3/20/2009	Various	650.232	$11,951 28	$24 69	$16,054 20	($4,102 92)
JCG	J CREW GROUP INC COM	5/12/2009	3/20/2009	39	$719 33	$12 48	$486 53	$232.80
JNJ	JOHNSON & JOHNSON	8/20/2009	3/20/2009	24	$1,459 00	$51.74	$1,241 65	$217 35
JNJ	JOHNSON & JOHNSON	8/20/2009	5/29/2009	27	$1,641 37	$55 46	$1,497 32	$144.05
JNJ	JOHNSON & JOHNSON	8/10/2009	3/20/2009	23	$1,388 52	$51.74	$1,189.92	$198 60
JNJ	JOHNSON & JOHNSON	6/22/2009	3/20/2009	31	$1,712 14	$51 74	$1,603 80	$108.34
JNJ	JOHNSON & JOHNSON	4/22/2009	3/20/2009	44	$2,243 86	$51 74	$2,276 37	($32.51)
JCI	JOHNSON CTLS INC	3/25/2009	3/20/2009	116	$1,454 75	$11 26	$1,306 51	$148 24
JPM	JPMORGAN CHASE & CO	9/8/2009	3/20/2009	51	$2,161 48	$23.18	$1,181.99	$979 49
JPM	JPMORGAN CHASE & CO	5/11/2009	3/20/2009	26	$923 55	$23 18	$602 58	$320 97
JPM	JPMORGAN CHASE & CO	3/30/2009	3/20/2009	37	$911.44	$23.18	$857 52	$53 92
KB RT	KB FINL GROUP INC RTS EXP 08/21/2009	8/11/2009	8/11/2009	0	$7 19	$0 00	$0.00	$7 19
	KB FINL GROUP INC RTS EXP 08/21/2009 TENDERED FROM	8/25/2009	8/10/2009	5	$62 64	$0 00	$0.00	$62 64
KRC	KILROY REALTY CORP	12/31/2009	3/20/2009	59	$1,801.99	$15.80	$931.92	$870 07
KRC	KILROY REALTY CORP	12/31/2009	4/17/2009	4	$122.17	$22 18	$88 72	$33.45
KMB	KIMBERLY CLARK CORP	4/15/2009	3/20/2009	39	$1,908 02	$46 11	$1,798 17	$109.85
KMB	KIMBERLY CLARK CORP	3/30/2009	3/20/2009	24	$1,105 35	$46 11	$1,106 57	($1 22)
KIM	KIMCO REALTY CORP (MOVED FROM DELAWARETO MARYLAND)	8/5/2009	3/20/2009	143	$1,616 43	$7 21	$1,031 67	$584.76
KMP	KINDER MORGAN ENERGYPARTNERS L P	3/20/2009	1/30/2009	500	$22,961 87	Unknown	$25,000	($2,038 13)
KGFHY	KINGFISHER ADR EACH REPR 2 ORD GBP0 157142857	5/8/2009	3/20/2009	762	$4,039 18	$3 97	$3,021 94	$1,017.24
KRG	KITE RLTY GROUP TR	12/31/2009	3/20/2009	289	$1,168 19	$2 27	$657 15	$511 04
KKPNY	KONINKLIJKE KPN NV SPON ADR EACH REP 1 ORD EUR0 24	6/24/2009	3/20/2009	116	$1,549 85	$13 22	$1,533 40	$16 45
KKPNY	KONINKLIJKE KPN NV SPON ADR EACH REP 1 ORD EUR0 24	6/24/2009	5/4/2009	161	$2,151 08	$12.49	$2,010 98	$140 10
KR	KROGER CO	8/10/2009	3/20/2009	87	$1,816 57	$20 74	$1,804 32	$12 25
KR	KROGER CO	8/10/2009	5/29/2009	75	$1,566.00	$22.91	$1,718.00	($152 00)
KR	KROGER CO	7/14/2009	3/20/2009	73	$1,574 59	$20 74	$1,513 97	$60 62
KR	KROGER CO	6/22/2009	3/20/2009	66	$1,429 44	$20 74	$1,368 79	$60.65
KR	KROGER CO	5/15/2009	3/20/2009	47	$1,016 57	$20 74	$974.75	$41 82
LAMR	LAMAR ADVERTISING COCL A	4/15/2009	3/20/2009	32	$441 58	$8 40	$268 70	$172.88
LEAP	LEAP WIRELESS INTL INC COM NEW	9/4/2009	3/20/2009	43	$766 84	$35 62	$1,531 49	($764 65)
LMT	LOCKHEED MARTIN CORP	10/16/2009	3/20/2009	55	$4,142 62	$67 93	$3,735.90	$406 72
LMT	LOCKHEED MARTIN CORP	10/16/2009	5/29/2009	13	$979 16	$84 25	$1,095 19	($116 03)
LIGRX	LOOMIS SAYLES INVST GRADE BOND CL A	3/20/2009	Various	965 226	$9,304 78	$11 91	$11,498 20	($2,193 42)
LZ	LUBRIZOL CORPORATION	9/2/2009	3/20/2009	19	$1,192 19	$31 32	$595 10	$597 09
MVL	MARVEL ENTMT INC COM EXCHANGED FOR 7452 SHARES OF	5/6/2009	3/27/2009	17	$552 13	$26 47	$450 00	$102 13
MFE	MCAFEE INC	5/6/2009	3/20/2009	23	$907 83	$31 50	$724.52	$183 31
MFE	MCAFEE INC	4/28/2009	3/20/2009	14	$505.22	$31 50	$441 01	$64 21
MFE	MCAFEE INC	4/8/2009	3/20/2009	16	$545 74	$31.50	$504.02	$41 72
MDIUY	MEDIASET ADR-EACH REP 3 COM EUR0 52	4/23/2009	3/20/2009	75	$1,186 73	$13 26	$994 25	$192 48

MET	METLIFE INC COM	8/10/2009	3/20/2009	63	$2,283 09	$20 85	$1,313 68	$969 41
MET	METLIFE INC COM	8/10/2009	4/22/2009	4	$144 96	$26 03	$104 13	$40.83
MET	METLIFE INC COM	5/15/2009	3/20/2009	48	$1,402 68	$20 85	$1,000 90	$401 78
MIDD	MIDDLEBY CORP	5/18/2009	3/20/2009	18	$834 91	$29.05	$522 98	$311 93
MSBHY	MITSUBISHI CORP SPONS ADR-EACH CNV INTO 2 ORD NPV	3/25/2009	3/20/2009	44	$1,230 59	$25 22	$1,109.69	$120 90
MTU	MITSUBISHI UFJ FINANCIAL GROUP INC ADR EACH REP 1	6/1/2009	3/25/2009	164	$1,057 97	$5 59	$916 56	$141 41
NDAQ	NASDAQ OMX GROUP INC	4/2/2009	3/20/2009	32	$630 71	$21 35	$683 20	($52 49)
NAB	NATIONAL AUSTRALIA BANK SPONS ADR EACH CNV INTO 1	4/23/2009	3/20/2009	64	$997 41	$13 06	$835 74	$161 67
NSRGY	NESTLE SA SPON ADR EACH REPR 1 COM CHF0 10 REGD	9/8/2009	3/20/2009	98	$4,083 39	$31 36	$3,073 77	$1,009.62
NSRGY	NESTLE SA SPON ADR EACH REPR 1 COM CHF0 10 REGD	5/15/2009	3/20/2009	60	$2,136 94	$31 36	$1,881 90	$255.04
NTAP	NETAPP INC COM	3/31/2009	3/20/2009	53	$778 51	$14 99	$794 52	($16 01)
NSR	NEUSTAR INC CL A	9/18/2009	3/20/2009	87	$1,987 72	$16 57	$1,441 76	$545.96
NRG	NRG ENERGY INC COM NEW	9/24/2009	3/20/2009	66	$1,775 83	$17 47	$1,153 10	$622 73
NRG	NRG ENERGY INC COM NEW	9/24/2009	5/29/2009	44	$1,183 89	$22 68	$998.00	$185 89
NUE	NUCOR CORP	11/10/2009	3/20/2009	48	$1,947.94	$37 64	$1,806 56	$141 38
NUVA	NUVASIVE INC	3/25/2009	3/20/2009	17	$481 08	$26 50	$450 51	$30 57
OAKBX	OAKMARK EQUITY & INCOME FD I	3/20/2009	Various	2,701 03	$53,561 35	$25 61	$69,174.55	($15,613 20)
ODFL	OLD DOMINION FGHT LINES INC	10/1/2009	3/20/2009	37	$1,084 58	$22 25	$823 08	$261.50
ODFL	OLD DOMINION FGHT LINES INC	4/27/2009	3/20/2009	18	$480 86	$22 25	$400 42	$80 44
OCPNY	OLYMPUS CORPORATION ADR-EACH CNV INTO 1 ORD NPV	11/9/2009	3/20/2009	94	$2,975 48	$16 08	$1,511 06	$1,464.42
ONNN	ON SEMICONDUCTOR CRP	10/6/2009	3/20/2009	232	$1,810 83	$4 22	$979.31	$831 52
ONNN	ON SEMICONDUCTOR CRP	5/12/2009	3/20/2009	146	$825 63	$4 22	$616.29	$209 34
ONXX	ONYX PHARMACEUTICALSINC	5/20/2009	3/20/2009	19	$424 61	$28 22	$536 20	($111 59)
OPSIX	OPPENHEIMER STRATEGIC INC CL A	3/20/2009	Various	14,505 79	$46,998 76	$4 30	$62,334 80	($15,336 04)
OSIP	OSI PHARMACEUTICALS INC	6/26/2009	3/20/2009	12	$322 71	$36 70	$440 36	($117 65)
PFCB	P F CHANGS CHINA BISTRO INC	4/23/2009	3/20/2009	40	$1,294.76	$23 99	$959 58	$335 18
PFCB	P F CHANGS CHINA BISTRO INC	4/21/2009	3/20/2009	27	$720 98	$23 99	$647 71	$73.27
PRE	PARTNERRE COM STK USD1	12/9/2009	3/20/2009	31	$2,353.51	$61 87	$1,917.91	$435 60
PENN	PENN NATL GAMING INC	9/17/2009	3/20/2009	32	$879 01	$22 34	$714.88	$164 13
PBCT	PEOPLES UTD FINL INC	7/28/2009	3/20/2009	69	$1,111 84	$16 76	$1,156 16	($44 32)
HK	PETROHAWK ENERGY CORP	8/13/2009	3/20/2009	86	$1,954 46	$19 23	$1,653 83	$300 63
HK	PETROHAWK ENERGY CORP	5/7/2009	3/20/2009	46	$1,109 31	$19 23	$884.61	$224 70
PBR	PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 CO	6/30/2009	3/20/2009	27	$1,098.43	$32.33	$872.88	$225 55
PBR	PETROLEO BRASILEIRO SA PETROBRAS ADS EACH REP 2 CO	6/3/2009	3/20/2009	45	$1,880 60	$32 33	$1,454 80	$425.80
PCG	PG & E CORP	5/15/2009	3/20/2009	65	$2,304 64	$38 75	$2,518.95	($214 31)
PPDI	PHARMACEUTICAL PRODUCT DEVELOPMENT INC	5/26/2009	3/20/2009	26	$507 56	$22.66	$589 10	($81 54)
PM	PHILIP MORRIS INTL INC COM	5/15/2009	3/20/2009	53	$2,279 95	$38 42	$2,036 13	$243 82
PM	PHILIP MORRIS INTL INC COM	4/22/2009	3/20/2009	86	$3,109 41	$38 42	$3,303 91	($194 50)
PVH	PHILLIPS VAN HEUSEN	8/7/2009	3/20/2009	34	$1,223 78	$17 66	$600 28	$623 50
PLMAX	PIMCO DEVELOPING LOCAL MARKETS CL A	3/20/2009	Various	8,949 38	$72,847 98	$10 93	$97,836 35	($24,988 37)
PTTAX	PIMCO TOTAL RETURN CLASS A	3/20/2009	Various	12,540 91	$126,286 99	$10 32	$129,428.10	($3,141 11)
POOL	POOL CORP COM	11/24/2009	3/20/2009	38	$691 84	$13 07	$496 68	$195 16
POOL	POOL CORP COM	11/24/2009	5/7/2009	48	$873.91	$18 23	$874 88	($0 97)
POR	PORTLAND GEN ELEC COCOM NEW	11/24/2009	3/25/2009	42	$809 71	$17 58	$738 38	$71 33
PCH	POTLATCH CORP NEW COM	9/17/2009	3/20/2009	72	$2,088 58	$20 48	$1,474 84	$613 74
PX	PRAXAIR INC	11/20/2009	3/20/2009	95	$7,839 74	$63.26	$6,009.32	$1,830 42
PX	PRAXAIR INC	10/9/2009	3/20/2009	43	$3,532 95	$63 26	$2,720 01	$812 94

PCLN	PRICELINE COM INC COM NEW	5/6/2009	3/20/2009	5	$508 18	$78 66	$393 28	$114 90
PG	PROCTER & GAMBLE CO	7/14/2009	4/22/2009	54	$2,883.08	$49 75	$2,686.40	$196 68
PMSEY	PROMISE CO ADR EA REP 0 5 ORD NPV	9/25/2009	3/20/2009	120	$357 99	$7 59	$910 40	($552 41)
PSYS	PSYCHIATRIC SOLUTIONS INC	7/27/2009	4/30/2009	28	$691 42	$19 53	$546 78	$144.64
PEG	PUBLIC SERVICE ENTERPRISE GROUP INC	10/26/2009	3/20/2009	140	$4,149 10	$27 66	$3,872 92	$276.18
PEG	PUBLIC SERVICE ENTERPRISE GROUP INC	10/26/2009	5/29/2009	47	$1,392 91	$32 04	$1,505 89	($112 98)
PEG	PUBLIC SERVICE ENTERPRISE GROUP INC	4/22/2009	3/20/2009	43	$1,213 59	$27 66	$1,189 54	$24 05
QCOM	QUALCOMM INC	10/7/2009	3/20/2009	182	$7,668 56	$37 08	$6,749 23	$919.33
PWR	QUANTA SERVICES INC LTD VOTE COM STK	5/1/2009	3/20/2009	58	$1,325 96	$20 52	$1,190 04	$135 92
QSFT	QUEST SOFTWARE INC	5/18/2009	3/20/2009	72	$917 17	$12 25	$882 08	$35 09
RPT	RAMCO GERSHENSON PPTY TR SH BEN INT	12/31/2009	3/20/2009	105	$993 67	$4 71	$494.15	$499 52
RJF	RAYMOND JAMES FINL INC	8/18/2009	3/20/2009	46	$1,012 71	$17.66	$812.51	$200 20
RYN	RAYONIER INC	12/31/2009	8/18/2009	58	$2,437.21	$39.58	$2,295.76	$141 45
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	9/18/2009	3/20/2009	14	$675 71	$35 38	$495 38	$180 33
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	9/18/2009	3/25/2009	57	$2,751 12	$37 35	$2,128 97	$622.15
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	9/18/2009	5/29/2009	55	$2,654 59	$44 80	$2,463.75	$190 84
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	8/18/2009	3/20/2009	43	$2,023 26	$35 38	$1,521 52	$501.74
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	7/23/2009	3/20/2009	69	$3,148 66	$35 38	$2,441 51	$707 15
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	7/8/2009	3/20/2009	52	$2,184 78	$35 38	$1,839 98	$344.80
RTN	RAYTHEON CO COM NEW ISIN #US7551115071	4/22/2009	3/20/2009	56	$2,304 74	$35 38	$1,981 51	$323 23
ENL	REED ELSEVIER NV ADRREPR 2 SHS EUR0 07	6/22/2009	3/20/2009	71	$1,544 02	$22 08	$1,567 82	($23 80)
ENL	REED ELSEVIER NV ADRREPR 2 SHS EUR0 07	6/22/2009		43	$0 00	($14 41)	($14 41)	$14 41
REG	REGENCY CTRS CORP	8/5/2009	3/20/2009	74	$2,584 15	$24 89	$1,841 87	$742 28
RS	RELIANCE STEEL AND ALUMINUM CO	11/24/2009	6/2/2009	34	$1,398.59	$40.29	$1,369.70	$28 89
RS	RELIANCE STEEL AND ALUMINUM CO	11/24/2009	7/28/2009	18	$740 43	$37 82	$680 84	$59 59
RSG	REPUBLIC SERVICES INC CL A	6/2/2009	3/20/2009	36	$827.17	$17 09	$615 35	$211 82
RSG	REPUBLIC SERVICES INC CL A	4/3/2009	3/20/2009	29	$519.21	$17.09	$495 70	$23 51
RICOY	RICOH CO ADR(EACH CNV INTO 5 NPV)	6/3/2009	3/20/2009	27	$1,821 20	$55 57	$1,500.37	$320 83
RTP	RIO TINTO SPRD ADR-CNV INTO 4 ORD(RG)(BNY)	3/25/2009	3/20/2009	29	$3,643 65	$114 38	$3,316 90	$326 75
RVBD	RIVERBED TECHNOLOGY INC COM	5/12/2009	3/20/2009	44	$764 62	$12 47	$548 73	$215.89
RVBD	RIVERBED TECHNOLOGY INC COM	4/9/2009	3/20/2009	28	$435 22	$12 47	$349 19	$86 03
RCI	ROGERS COMMUNICATIONS INC CLASS B COM CAD1 62478 I	12/17/2009	3/20/2009	93	$2,669 40	$24 17	$2,247 44	$421.96
ROST	ROSS STORES INC	8/5/2009	3/20/2009	25	$1,055.97	$34.25	$856 25	$199 72
ROVI	ROVI CORP COM	5/8/2009	3/20/2009	57	$1,202 64	$16.49	$939.68	$262 96
ROVI	ROVI CORP COM	4/21/2009	3/20/2009	37	$706 82	$16 49	$609 96	$96 86
ROVI	ROVI CORP COM	4/15/2009	3/20/2009	28	$527 34	$16 49	$461 60	$65 74
RDS B	ROYAL DUTCH SHELL ADR EA REP 2 CL B EUR0 07	6/4/2009	3/20/2009	32	$1,772 11	$43 43	$1,389.61	$382 50
SAP	SAP AG SPON ADR EACHREP 1 ORD NPV	9/17/2009	3/20/2009	62	$3,092 54	$36 14	$2,240.93	$851 61
SBFFY	SBM OFFSHORE NV ADR EACH REPR 1 ORD	7/6/2009	7/6/2009	0	$1 72	$0 00	$0.00	$1 72
SGP	SCHERING PLOUGH CORPEXCHANGED FOR 5767 SHARES OF	5/15/2009	3/20/2009	58	$1,346 26	$23 70	$1,374 35	($28 09)
SGP	SCHERING PLOUGH CORPEXCHANGED FOR 5767 SHARES OF	4/22/2009	3/20/2009	49	$1,053 80	$23 70	$1,161 09	($107.29)
SGP	SCHERING PLOUGH CORPEXCHANGED FOR 5767 SHARES OF	4/7/2009	3/20/2009	117	$2,722.70	$23 70	$2,772 40	($49 70)
SLB	SCHLUMBERGER LIMITEDCOM STK USD0 01	3/25/2009	3/20/2009	34	$1,532 87	$41 76	$1,419 68	$113 19
SGM	SCIENTIFIC GAMES CRPCL A	10/29/2009	3/20/2009	70	$943 97	$14 04	$983 10	($39 13)
SEPR	SEPRACOR INC CASH PAYMENT OF $23 00 PER SHARE	9/4/2009	3/20/2009	44	$996 05	$14 13	$621 79	$374 26
SEPR	SEPRACOR INC CASH PAYMENT OF $23 00 PER SHARE	7/28/2009	3/20/2009	54	$866 23	$14.13	$763.11	$103 12
SHG	SHINHAN FINANCIAL GROUP CO LTD SPONS ADR EACH REP	12/22/2009	10/9/2009	41	$3,090 29	$84 15	$3,449 95	($359 66)

SHG	SHINHAN FINANCIAL GROUP CO LTD SPONS ADR EACH REP	4/9/2009	3/20/2009	50	$2,271 94	$35 31	$1,765 50	$506 44
SIAL	SIGMA ALDRICH CORP	11/20/2009	3/20/2009	83	$4,468 90	$35 94	$2,983 42	$1,485.48
SKM	SK TELECOM ADR EACH REPR 0 11111 SHS	11/11/2009	3/25/2009	46	$785 47	$15 94	$733 42	$52 05
SKX	SKECHERS USA INC	6/2/2009	4/23/2009	81	$825 46	$9 11	$737 81	$87 65
SPLS	STAPLES INC	8/10/2009	3/20/2009	76	$1,686 75	$17 04	$1,295 35	$391 40
HOT	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM	8/13/2009	3/20/2009	33	$958 21	$11 88	$392.12	$566.09
STO	STATOIL ASA SPON ADREACH REP 1 ORD NOK2 50 LVL111	4/14/2009	3/20/2009	294	$5,119 22	$18 53	$5,447 00	($327 78)
STO	STATOIL ASA SPON ADREACH REP 1 ORD NOK2 50 LVL111	4/14/2009		53	$0.00	($59 09)	($59 09)	$59 09
STLD	STEEL DYNAMICS INC	11/23/2009	5/11/2009	41	$672 99	$12 97	$531 57	$141.42
SUHJY	SUN HUNG KAI PROPERTIES LTD SPND ADR EACH REPR 1 O	11/30/2009	8/27/2009	213	$3,119.28	$14 48	$3,083 98	$35 30
SPWRB	SUNPOWER CORP COM CLB	11/18/2009	5/6/2009	20	$378 07	$27 20	$544 02	($165 95)
SPWRB	SUNPOWER CORP COM CLB	11/18/2009	6/10/2009	23	$434 77	$28 94	$665 57	($230 80)
SPWRB	SUNPOWER CORP COM CLB	7/29/2009	4/28/2009	24	$627 10	$21 34	$512.24	$114.86
SPWRB	SUNPOWER CORP COM CLB	7/29/2009	5/6/2009	1	$26 13	$27 20	$27 20	($1 07)
PRWCX	T ROWE PRICE CAP APPRECIATION	3/20/2009	Various	271.346	$3,542 84	$20.85	$5,656 32	($2,113 48)
TSM	TAIWAN SEMICONDUCTORMANUFACTURING ADS EACH CNV INT	8/17/2009	8/17/2009	0	$8 59	$0 00	$0 00	$8 59
TTWO	TAKE-TWO INTERACTIVESOFTWRE	12/8/2009	6/1/2009	71	$552 88	$9 12	$647.71	($94 83)
TGHNX	TCW HIGH YIELD BOND CLASS N	6/26/2009	Various	12,883 99	$68,027 45	$4 65	$59,964 51	$8,062 94
TEF	TELEFONICA SA ADR EACH REPR 3 ORD EUR1	6/16/2009	3/20/2009	25	$1,570 70	$59 40	$1,484 98	$85 72
TEF	TELEFONICA SA ADR EACH REPR 3 ORD EUR1	5/4/2009	3/20/2009	59	$3,464 06	$59 40	$3,504 56	($40 50)
TEF	TELEFONICA SA ADR EACH REPR 3 ORD EUR1	5/4/2009		19	$0 00	($13 04)	($13 04)	$13 04
TLAB	TELLABS INC	10/5/2009	6/26/2009	117	$789 91	$5 75	$672 56	$117.35
TEN	TENNECO INC COM	9/17/2009	3/20/2009	156	$2,280 46	$2 31	$360 75	$1,919 71
TEN	TENNECO INC COM	8/12/2009	3/20/2009	90	$1,460 76	$2 31	$208 13	$1,252.63
TWC	TIME WARNER CABLE INC COM	4/28/2009	3/20/2009	29	$782 22	$24 05	$697 40	$84.82
TWC	TIME WARNER CABLE INC COM	4/2/2009	4/2/2009	0	$22 19	$0 00	$0.00	$22 19
TWX	TIME WARNER INC NEW COM NEW	7/7/2009	3/20/2009	59	$1,377 07	$17 79	$1,049 42	$327 65
TWX	TIME WARNER INC NEW COM NEW	7/7/2009	5/29/2009	42	$980.28	$23 61	$991 64	($11 36)
TWX	TIME WARNER INC NEW COM NEW	6/22/2009	3/20/2009	60	$1,445 16	$17 79	$1,067 20	$377 96
TNT	TNT NV SPONS ADR EACH REP 1ORD NLG1	4/29/2009	4/29/2009	0	$15 63	$0 00	$0.00	$15 63
TKOMY	TOKIO MARINE HOLDINGS INC ADR EACH REP 1 ORD SHS N	5/21/2009	3/20/2009	138	$3,896 24	$24 86	$3,430 40	$465 84
TOT	TOTAL ADR EACH REP 1ORD SHS EUR10	7/10/2009	3/20/2009	60	$3,003 32	$50 30	$3,018 14	($14 82)
RIG	TRANSOCEAN LIMITED COM CHF15	3/25/2009	3/20/2009	28	$1,794 34	$59 92	$1,677 64	$116 70
TRV	TRAVELERS COS INC COM	8/10/2009	3/20/2009	60	$2,777 12	$38 85	$2,331 17	$445.95
TRV	TRAVELERS COS INC COM	5/15/2009	3/20/2009	58	$2,298.02	$38.85	$2,253 46	$44 56
TRV	TRAVELERS COS INC COM	4/28/2009	3/20/2009	33	$1,342 32	$38 85	$1,282 14	$60 18
TRV	TRAVELERS COS INC COM	3/26/2009	3/20/2009	22	$873 31	$38 85	$854 76	$18 55
TUWLY	TULLOW OIL PLC ADR EACH REPR 10 ORD GBP0 10(JPM)	10/28/2009	7/27/2009	19	$3,570 56	$168 17	$3,195 25	$375 31
TEL	TYCO ELECTRONICS LTDCOM CHF2 60	10/7/2009	3/20/2009	266	$5,739 21	$10 22	$2,718 54	$3,020.67
TEL	TYCO ELECTRONICS LTDCOM CHF2 60	10/7/2009	5/29/2009	75	$1,618 20	$17 32	$1,298 75	$319 45
UN	UNILEVER NV EUR0.16(NEW YORK SHARES)	5/11/2009	3/20/2009	62	$1,398 12	$18 37	$1,138 98	$259.14
UNP	UNION PACIFIC CORP	10/7/2009	3/20/2009	72	$4,213 97	$38 74	$2,789.17	$1,424 80
UNH	UNITEDHEALTH GROUP	7/28/2009	3/20/2009	58	$1,678 59	$20 75	$1,203 79	$474.80
URBN	URBAN OUTFITTERS INC	11/6/2009	3/20/2009	35	$1,127.02	$17.62	$616 65	$510 37
VALE	VALE S A SPONS ADR REPR 1 COM NPV	8/18/2009	7/16/2009	61	$1,199 15	$18 17	$1,108 44	$90 71
VCLK	VALUECLICK INC	6/2/2009	3/20/2009	118	$1,288 78	$7.24	$854.84	$433 94
VCLK	VALUECLICK INC	5/13/2009	3/20/2009	62	$592.14	$7.24	$449.16	$142 98

VFICX	VANGUARD INTERMED TRM INVST GR INVEST	3/20/2009	Various	14,740 02	$125,707 40	Unknown	$125,530 00	$177 40
VAR	VARIAN MEDICAL SYS INC	10/7/2009	3/20/2009	157	$6,138 54	$28 83	$4,526 46	$1,612.08
VAR	VARIAN MEDICAL SYS INC	10/7/2009	5/29/2009	43	$1,681 25	$35 95	$1,545 68	$135 57
VZ	VERIZON COMMUNICATIONS	5/15/2009	3/20/2009	47	$1,383 63	$29 65	$1,393 34	($9 71)
VZ	VERIZON COMMUNICATIONS	5/15/2009		47	$0 00	($9 71)	($9 71)	$9 71
WFC	WELLS FARGO & CO NEW	5/15/2009	3/20/2009	78	$1,931.81	$14.00	$1,092.23	$839 58
WST	WEST PHARMACEUTICAL SERVICES	12/16/2009	3/20/2009	58	$2,297 44	$31 43	$1,822 82	$474 62
PRWCX	T ROWE PRICE CAP APPRECIATION	3/20/2009	Various	6,966 26	$90,955 29	$20 85	$145,214 59	($54,259 30)
OAKBX	OAKMARK EQUITY & INCOME FD I	3/20/2009	Various	7,778 61	$154,249 79	$25 61	$199,213 80	($44,964 01)
LIGRX	LOOMIS SAYLES INVST GRADE BOND CL A	3/20/2009	Various	13,146 54	$126,732 66	$11 91	$156,607 51	($29,874 85)
WASAX	IVY ASSET STRATEGY CL A	3/20/2009	Various	4,699 56	$86,377 97	$24 69	$116,031 89	($29,653 92)
FKINX	FRANKLIN INCOME CLASS A	3/20/2009	Various	61,897 65	$94,084 45	$2 46	$152,202 49	($58,118 04)
SGENX	FIRST EAGLE GLOBAL CLASS A	3/20/2009	Various	1,712.34	$51,489 94	$40 65	$69,609 95	($18,120 01)
CIBFX	AMERICAN CAPITAL INCOME BUILDER CL F1	3/20/2009	Various	1,394 39	$51,829 54	$55 41	$77,262 02	($25,432 48)
			Total Short-Term		$1,358,728 14		$1,357,872 56	$855 58
			Total Long-Term		$655,719 64		$916,142.25	($260,422 61)
			NET		$2,014,447 78		$2,274,014 81	($259,567 03)

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
RAYMOND JAMES & ASSOCIATES-DIVIDEND INC	1,100.	1,100.
HERITAGE CASH TRUST MONEY MARKET FUND	77.	77.
FIDELITY #5610 - DIVIDEND INC	45,934.	45,934.
FIDELITY #5610 - INTEREST INC	23.	0.
FIDELITY #0497 - DIVIDEND INC	8,410.	8,410.
FIDELITY #0497 - INTEREST INC	6,284.	0.
FIDELITY #0497 - ACCRUED INTEREST INC	1,592.	0.
LAZARD LTD	9.	9.
KINDER MORGAN ENERGY PARTNERS, L.P.	48.	4.
TOTAL	63,477.	55,534.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
KINDER MORGAN ENERGY PARTNERS, L.P.	-1,614.	-1,614.
TOTALS	-1,614.	-1,614.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREP FEES	2,325.	1,163.		1,162.
TOTALS	2,325.	1,163.	0.	1,162.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	2,361.	2,361.
EXCISE TAX PAID	378.	
TOTALS	2,739.	2,361.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
RAYMOND JAMES & ASSOCIATES INC	1,077.	1,077.	
FIDELITY #5610 INVESTMENT FEES	14.	14.	
MICHIGAN ANNUAL REPORT FEE	20.		20.
TOTALS	1,111.	1,091.	20.

ROTTMAN FAMILY CHARITABLE FOUNDATION 38-3566006

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 6

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CAPITAL INCOME BUILDER FUND	0.	0.
FIRST EAGLE GLOBAL FUND CLASS	0.	0.
OAKMARK EQUITY & INCOME FUND	0.	0.
T. ROME PRICE CAPITAL	0.	0.
VANGUARD WELLINGTON FUND	0.	0.
IVY ASSET STRATEGY FUND CLASS	0.	0.
FRANKLIN INCOME FUND	0.	0.
LOOMIS SAYLES INVESTMENT	0.	0.
OPPENHEIMER STRATEGIC INC FUND	0.	0.
PIMCO DEVELOPING LOCAL MARKETS	0.	0.
KINDER MORGAN ENERGY PARTNERS	0.	0.
FIDELITY #5610	1,674,423.	2,244,963.
FIDELITY #0497	468,340.	811,440.
TOTALS	2,142,763.	3,056,403.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED LOSS ON ASSETS		-288,347.
	TOTAL	-288,347.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
FRANCIS M. ROTTMAN 11300 HART STREET, NE GREENVILLE, MI 48838	PRESIDENT 1.00	0.	0.	0.
CAROL J. ROTTMAN 11300 HART STREET, NE GREENVILLE, MI 48838	SECRETARY/TREASURER 1.00	0.	0.	0.
BARBARA HOOGENBOOM 2945 KISSING ROCK LOWELL, MI 49331	SECRETARY 1.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

FRANCIS M. ROTTMAN
CAROL J. ROTTMAN

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST. MARY'S DORAN FOUNDATION 200 JEFFERSON STREET SE GRAND RAPIDS, MI 49503	NONE PUBLIC	GENERAL OPERATING	5,000
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK, VA 23509	NONE PUBLIC	GENERAL OPERATING	10,000.
CARE OF CREATION-KENYA PO BOX 32 00217 LIMURU KENYA	NONE PUBLIC	GENERAL OPERATING	3,000.
HABITAT FOR HUMANITY OF KENT COUNTY 529 NEW AVENUE SW GRAND RAPIDS, MI 49503	NONE PUBLIC	GENERAL OPERATING	5,000.
SALVATION ARMY OF MONTCALM 615 SLATERS LANE P.O. BOX 269 ALEXANDRIA, VA 22313	NONE PUBLIC	GENERAL OPERATING	4,000.
CASE WESTERN RESERVE 10900 EUCLID AVE. CLEVELAND, OH 44106	NONE PUBLIC	GENERAL OPERATING	4,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CARTER CENTER 453 FREEDOM PARKWAY ATLANTA, GA 30307	NONE PUBLIC	GENERAL OPERATING	10,000.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE, 2ND FLOOR NEW YORK, NY 100001	NONE PUBLIC	GENERAL OPERATING	4,000
INNER CITY CHRISTIAN FEDERATION 920 CHERRY STREET SE GRAND RAPIDS, MI 49506	NONE PUBLIC	GENERAL OPERATING	4,000.
SAVE DARFUR 1025 CONNECTICUT AVE NW, STE 310 WASHINGTON, D.C , MD 20036	NONE PUBLIC	GENERAL OPERATING	1,000.
		TOTAL CONTRIBUTIONS PAID	50,000.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust	Employer identification number
ROTTMAN FAMILY CHARITABLE FOUNDATION	38-3566006

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	855.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	855.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a						

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-260,422.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	882.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	-259,540.

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			855.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-259,540.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-258,685.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet**, *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust) , the **smaller** of.
a The loss on line 15, column (3) **or** **b** $3,000 .... 16 (3,000.)

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col. (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: ROTTMAN FAMILY CHARITABLE FOUNDATION

Employer identification number: 38-3566006

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a FIDELITY #5610 SHORT TERM - SEE ATTACHED DETAIL	VAR	VAR	1,358,728.	1,357,873.	855.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . 855.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2009**

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a FIDELITY #5610 LONG TERM - SEE ATTACHED DETAIL	VAR	VAR	655,720.	916,142.	-260,422.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -260,422.

ROTTMAN FAMILY CHARITABLE FOUNDATION 38-3566006

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					882.	
		FIDELITY #5610 SHORT TERM - SEE ATTACHED				P	VAR	VAR
1,358,728.		1,357,873.					855.	
		FIDELITY #5610 LONG TERM - SEE ATTACHED				P	VAR	VAR
655,720.		916,142.					-260,422.	
TOTAL GAIN (LOSS)							-258,685.	