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Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions

Name of foundation

H & P BLUMENSTEIN FOUNDATION CORPORATION

Number and street (or P O box number if mail is not delivered to street address)

32400 TELEGRAPH RD.

Room/suite

202

City or town, state, and ZIP code

BINGHAM FARMS, MI 48025

A Employer identification number

38-2710389

B Telephone number

1-248-646-9600

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col (c), line 16)

\$ 2,582,902. (Part I, column (d) must be on cash basis)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	970,400.			
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	5,022.	5,022.	5,022.	STATEMENT 1
4 Dividends and interest from securities	21,253.	21,253.	21,253.	STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain			N/A	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	73,692.	73,692.	73,692.	STATEMENT 3
12 Total Add lines 1 through 11	1,070,367.	99,967.	99,967.	
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 4	585.	0.	0.	585.
b Accounting fees				
c Other professional fees STMT 5	4,581.	4,581.	0.	0.
17 Interest				
18 Taxes STMT 6	371.	25.	0.	0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	20.	0.	0.	20.
24 Total operating and administrative expenses Add lines 13 through 23	5,557.	4,606.	0.	605.
25 Contributions, gifts, grants paid	1,116,224.			1,116,224.
26 Total expenses and disbursements Add lines 24 and 25	1,121,781.	4,606.	0.	1,116,829.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-51,414.			
b Net investment income (if negative, enter -0-)		95,361.		
c Adjusted net income (if negative, enter -0-)			99,967.	

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LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions.

Form 990-PF (2009)

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	36,622.	331,984.	331,984.
	2 Savings and temporary cash investments	1,072,676.	70,385.	70,385.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	2,777,636.	3,535,643.	1,651,601.
	c Investments - corporate bonds STMT 10	175,000.	125,000.	125,000.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	463,404.	403,932.	403,932.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	4,525,338.	4,466,944.	2,582,902.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ 1 and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	4,525,338.	4,466,944.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	4,525,338.	4,466,944.		
31 Total liabilities and net assets/fund balances	4,525,338.	4,466,944.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,525,338.
2 Enter amount from Part I, line 27a	2	-51,414.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,473,924.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	6,980.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,466,944.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b SEE ATTACHED STATEMENT					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e 1,462,245.		1,468,970.	-6,725.		
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e			-6,725.		
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-6,725.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	27,416.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,488,758.	4,284,689.	.347460
2007	1,758,489.	5,143,445.	.341889
2006	1,551,011.	5,622,149.	.275875
2005	1,426,316.	5,073,947.	.281106
2004	846,089.	5,132,367.	.164854
2 Total of line 1, column (d)			2 1.411184
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .282237
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 2,459,424.
5 Multiply line 4 by line 3			5 694,140.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 954.
7 Add lines 5 and 6			7 695,094.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 1,116,829.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	954.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	954.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	954.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	2,800.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,846.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 1,846. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			X
1c Did the foundation file Form 1120-POL for this year?			X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X	

N/A

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Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► HAROLD BLUMENSTEIN Telephone no. ► 1-248-646-9600
 Located at ► 32400 TELEGRAPH RD. #202, BINGHAM FARMS, MI ZIP+4 ► 48025

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	<u>N/A</u>	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	<u>N/A</u>	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	<u>N/A</u>	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,550,497.
b	Average of monthly cash balances	1b	946,380.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,496,877.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,496,877.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	37,453.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,459,424.
6	Minimum investment return Enter 5% of line 5	6	122,971.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	122,971.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	954.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	954.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	122,017.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	122,017.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	122,017.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,116,829.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,116,829.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	- 954.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,115,875.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				122,017.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	595,957.			
b From 2005	1,178,481.			
c From 2006	1,284,088.			
d From 2007	1,511,709.			
e From 2008	1,280,016.			
f Total of lines 3a through e	5,850,251.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$	1,116,829.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				122,017.
e Remaining amount distributed out of corpus	994,812.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	6,845,063.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	595,957.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	6,249,106.			
10 Analysis of line 9:				
a Excess from 2005	1,178,481.			
b Excess from 2006	1,284,088.			
c Excess from 2007	1,511,709.			
d Excess from 2008	1,280,016.			
e Excess from 2009	994,812.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(a) 2009

(b) 2008

(c) 2007

(d) 2006

(e) Total

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 14

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,022.	0.
4 Dividends and interest from securities			14	21,253.	0.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	73,692.	0.
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		99,967.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13 99,967.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

N/A

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

H & P BLUMENSTEIN FOUNDATION CORPORATION

38-2710389

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

H & P BLUMENSTEIN FOUNDATION CORPORATION

38-2710389

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	HAROLD & PENNY BLUMENSTEIN 650 NORTH COUNTY ROAD PALM BEACH, FL 33480	\$ 670,400.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
2	RICHARD & CAROL BLUMENSTEIN 32400 TELEGRAPH ROAD, SUITE 202 BINGHAM FARMS, MI 48025	\$ 300,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

H & P BLUMENSTEIN FOUNDATION CORPORATION

38-2710389

Part II Noncash Property (see instructions)[illegible]

H & P BLUMENSTEIN FOUNDATION CORPORATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SMITH BARNEY #36141 - SEE ATTACHED DETAIL	P	VARIOUS	/ /09
b	SMITH BARNEY #36141 - SEE ATTACHED DETAIL	P	VARIOUS	/ /09
c	SMITH BARNEY #36142 - SEE ATTACHED DETAIL	P	VARIOUS	/ /09
d	SMITH BARNEY #36142 - SEE ATTACHED DETAIL	P	VARIOUS	/ /09
e	SMITH BARNEY #12447 - SEE ATTACHED DETAIL	P	VARIOUS	/ /09
f	50,000 STATE OF ISRAEL BOND	P	01/01/99	01/01/09
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 504,136.		493,539.	10,597.
b 64,543.		81,456.	-16,913.
c 45,730.		48,076.	-2,346.
d 42,801.		60,029.	-17,228.
e 755,035.		735,870.	19,165.
f 50,000.		50,000.	0.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			** 10,597.
b			-16,913.
c			** -2,346.
d			-17,228.
e			** 19,165.
f			0.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-6,725.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	27,416.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
VARIOUS	5,022.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	5,022.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
VARIOUS	21,253.	0.	21,253.
TOTAL TO FM 990-PF, PART I, LN 4	21,253.	0.	21,253.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TAXABLE DISTRIBUTIONS FROM ANNUITIES	73,692.	73,692.	73,692.
TOTAL TO FORM 990-PF, PART I, LINE 11	73,692.	73,692.	73,692.

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	585.	0.	0.	585.
TO FM 990-PF, PG 1, LN 16A	585.	0.	0.	585.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	4,581.	4,581.	0.	0.	
TO FORM 990-PF, PG 1, LN 16C	4,581.	4,581.	0.	0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	25.	25.	0.	0.	
2009 FORM 990-PF ESTIMATES	346.	0.	0.	0.	
TO FORM 990-PF, PG 1, LN 18	371.	25.	0.	0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MICHIGAN ANNUAL REPORT	20.	0.	0.	20.	
TO FORM 990-PF, PG 1, LN 23	20.	0.	0.	20.	

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	8
DESCRIPTION	AMOUNT				
CAPITAL LOSSES	6,725.				
NON-DEDUCTIBLE CONTRIBUTIONS	255.				
TOTAL TO FORM 990-PF, PART III, LINE 5	6,980.				

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
SMITH BARNEY #12447 - SEE ATTACHED DETAIL	3,109,046.	1,179,057.	
SMITH BARNEY #36141 - SEE ATTACHED DETAIL	289,025.	314,937.	
SMITH BARNEY #36142 - SEE ATTACHED DETAIL	137,572.	157,607.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,535,643.	1,651,601.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
STATE OF ISRAEL BONDS	125,000.	125,000.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	125,000.	125,000.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	11
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ANNUITY	COST	403,932.	403,932.
TOTAL TO FORM 990-PF, PART II, LINE 13		403,932.	403,932.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 12

NAME OF CONTRIBUTOR

ADDRESS

RICHARD & CAROL BLUMENSTEIN

32400 TELEGRAPH ROAD, SUITE 202
BINGHAM FARMS, MI 48025

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HAROLD BLUMENSTEIN 650 NORTH COUNTY ROAD PALM BEACH, FL 33480	PRESIDENT 0.00	0.	0.	0.
PENNY B. BLUMENSTEIN 650 NORTH COUNTY ROAD PALM BEACH, FL 33480	VICE PRESIDENT & SECRETARY 0.00	0.	0.	0.
RICHARD C. BLUMENSTEIN 32400 TELEGRAPH RD., STE. 202 BINGHAM FARMS, MI 48025	VICE PRESIDENT 0.00	0.	0.	0.
LAUREN A. COHEN 32400 TELEGRAPH RD., STE. 202 BINGHAM FARMS, MI 48025	VICE PRESIDENT 0.00	0.	0.	0.
RANDALL S. BLUMENSTEIN 32400 TELEGRAPH RD., STE. 202 BINGHAM FARMS, MI 48025	TREASURER 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 14

NAME OF MANAGER

HAROLD BLUMENSTEIN
PENNY B. BLUMENSTEIN

HAROLD AND PENNY B. BLUMENSTEIN FOUNDATION CORPORATION
2009 CHARITABLE CONTRIBUTIONS

2009 Form 990-PF
TAX ID 38-2710389
Page 10, Part XV, Line 3(a)-Grants & Cont
Paid During the Year

Charity Name	Address	Amount	Purpose of Contribution
Akiva Hebrew Day School	Southfield, MI	\$ 5,250 00	Unrestricted Charitable Gift
Alpert Jewish Family And Children's Service	W Palm Beach, FL	\$ 540 00	Unrestricted Charitable Gift
ALS Research		\$ 50 00	Unrestricted Charitable Gift
Alzheimer's Association	Southfield, MI	\$ 2,586 00	Unrestricted Charitable Gift
American Committee For Melabev		\$ 36 00	Unrestricted Charitable Gift
American Joint Distribution Committee	New York, NY	\$ 101,650 00	Unrestricted Charitable Gift
American ORT	New York, NY	\$ 33,333 33	Unrestricted Charitable Gift
Bais Chabad of Farmington Hills	Farmington Hills, MI	\$ 6,000 00	Unrestricted Charitable Gift
Bais Chabad Torah Center	West Bloomfield, MI	\$ 5,000 00	Unrestricted Charitable Gift
Bar Ilan University	New York, NY	\$ 500 00	Unrestricted Charitable Gift
Bear Hug Foundation		\$ 100 00	Unrestricted Charitable Gift
Camp Gan Israel		\$ 36 00	Unrestricted Charitable Gift
Chamber Music Society of Detroit	Farmington Hills, MI	\$ 1,000 00	Unrestricted Charitable Gift
City Year Detroit	Detroit, MI	\$ 150 00	Unrestricted Charitable Gift
Commission For Jewish Education	W Palm Beach, FL	\$ 1,000.00	Unrestricted Charitable Gift
Community Foundation For Southeastern Michigan	Detroit, MI	\$ 20,000.00	Unrestricted Charitable Gift
Congregation Shaarey Zedek	Southfield, MI	\$ 7,352 00	Unrestricted Charitable Gift
Cranbrook Institute of Science	Bloomfield Hills, MI	\$ 60 00	Unrestricted Charitable Gift
Crohn's And Colitis Foundation	Farmington Hills, MI	\$ 250 00	Unrestricted Charitable Gift
Detroit Institute of Arts	Detroit, MI	\$ 9,525 00	Unrestricted Charitable Gift
Detroit Symphony Orchestra	Detroit, MI	\$ 50,000 00	Unrestricted Charitable Gift
Development Corporation of Israel		\$ 25 00	Unrestricted Charitable Gift
Fresh Air Society		\$ 3,850 00	Unrestricted Charitable Gift
Friends of Camp Mak-A-Dream	Farmington Hills, MI	\$ 250 00	Unrestricted Charitable Gift
Hadassah Greater Detroit Chapter		\$ 18 00	Unrestricted Charitable Gift
Hebrew Benevolent Society	Oak Park, MI	\$ 36 00	Unrestricted Charitable Gift
Hebrew Free Loan Association	Bloomfield Hills, MI	\$ 640 00	Unrestricted Charitable Gift
Hebrew Memorial Chapel		\$ 36 00	Unrestricted Charitable Gift
Henry Ford Health System	Detroit, MI	\$ 51,915 00	Unrestricted Charitable Gift
Hermelin ORT Center	West Bloomfield, MI	\$ 750 00	Unrestricted Charitable Gift
Hillel Day School of Metropolitan Detroit	Farmington Hills, MI	\$ 29,480 00	Unrestricted Charitable Gift
Hillel of Metro Detroit	Detroit, MI	\$ 5,000 00	Unrestricted Charitable Gift
Holocaust Memorial Center	Farmington Hills, MI	\$ 350 00	Unrestricted Charitable Gift
JARC	Farmington Hills, MI	\$ 1,742 00	Unrestricted Charitable Gift
Jay Nolan Community Services		\$ 200 00	Unrestricted Charitable Gift
JCC of Metropolitan Detroit		\$ 20,000 00	Unrestricted Charitable Gift
Jewish Apartments And Services	West Bloomfield, MI	\$ 500 00	Unrestricted Charitable Gift
Jewish Community Relations Council		\$ 36 00	Unrestricted Charitable Gift
Jewish Family Services	Southfield, MI	\$ 208 00	Unrestricted Charitable Gift
Jewish Federation of Metropolitan Detroit	Bloomfield Hills, MI	\$ 630,456.98	Unrestricted Charitable Gift
Jewish Federation of Palm Beach	Palm Beach, FL	\$ 3,000 00	Unrestricted Charitable Gift
Jewish Federation of South Palm Beach County		\$ 5,000.00	Unrestricted Charitable Gift
Jewish Gay Network of Michigan		\$ 250 00	Unrestricted Charitable Gift
Jewish Hospice And Chaplaincy Network	Southfield, MI	\$ 5,086 00	Unrestricted Charitable Gift
Jewish Theological Seminary	New York, NY	\$ 1,200 00	Unrestricted Charitable Gift
Jewish Vocational Services		\$ 36.00	Unrestricted Charitable Gift
Jewish Women's Foundation	Bloomfield Hills, MI	\$ 592 00	Unrestricted Charitable Gift
JVS		\$ 1,380 00	Unrestricted Charitable Gift
KADIMA	Southfield, MI	\$ 3,000.00	Unrestricted Charitable Gift
Karmanos Cancer Center	Detroit, MI	\$ 5,000 00	Unrestricted Charitable Gift

HAROLD AND PENNY B. BLUMENSTEIN FOUNDATION CORPORATION
2009 CHARITABLE CONTRIBUTIONS

Lester J. Morris Hillel Jewish Student Council		\$ 500.00	Unrestricted Charitable Gift
Men's Club of Congregation Shaarey Zadek	Southfield, MI	\$ 45 00	Unrestricted Charitable Gift
National Council of Jewish Women	Southfield, MI	\$ 672 00	Unrestricted Charitable Gift
Ohr Somayach		\$ 1,000.00	Unrestricted Charitable Gift
Palm Beach Country Club Foundation	Palm Beach, FL	\$ 1,000 00	Unrestricted Charitable Gift
Palm Beach United Way	Palm Beach, FL	\$ 1,000.00	Unrestricted Charitable Gift
Planned Parenthood Federation Of America	New York, NY	\$ 300 00	Unrestricted Charitable Gift
Planned Parenthood of Southeast Michigan	Detroit, MI	\$ 500 00	Unrestricted Charitable Gift
Race For The Cure	Detroit, MI	\$ 250 00	Unrestricted Charitable Gift
Raymond F. Kravis Center For The Performing Arts	W Palm Beach, FL	\$ 2,000 00	Unrestricted Charitable Gift
Shalom Street	Farmington Hills, MI	\$ 1,000 00	Unrestricted Charitable Gift
Sisterhood of Congregation Shaarey Zedek	Southfield, MI	\$ 472 00	Unrestricted Charitable Gift
Temple B'nai Israel Of Petoskey		\$ 100 00	Unrestricted Charitable Gift
The Friends of the Detroit Public Library	Detroit, MI	\$ 100 00	Unrestricted Charitable Gift
The Friendship Circle	Bloomfield Hills, MI	\$ 5,000 00	Unrestricted Charitable Gift
The Heat And Warmth Fund		\$ 100 00	Unrestricted Charitable Gift
The Sinai Guild		\$ 1,000 00	Unrestricted Charitable Gift
U-M Hillel	Ann Arbor, MI	\$ 5,000 00	Unrestricted Charitable Gift
United Jewish Foundation	Bloomfield Hills, MI	\$ 52,470 16	Unrestricted Charitable Gift
Variety - The Children's Charity	Southfield, MI	\$ 100 00	Unrestricted Charitable Gift
Yad Ezra	Berkley, MI	\$ 8,518 00	Unrestricted Charitable Gift
Yad Ezra/Moies Chetim Passover Fund	Berkley, MI	\$ 100 00	Unrestricted Charitable Gift
Yeshiva Beth Yehudah	Southfield, MI	\$ 15,208 00	Unrestricted Charitable Gift
Yeshivas Darchei Torah	Southfield, MI	\$ 5,000 00	Unrestricted Charitable Gift
Yigdal		\$ 334 00	Unrestricted Charitable Gift
OVERALL TOTAL		\$ 1,116,224 47	

2009 Form 990-PF

HAROLD BLUMENSTEIN Foundation Corp

Account number 600-12447-11 190

Common stocks & options

Part II - Balance Sheet, Line 106b - Investments Corp. Stock

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Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
235	INGERSOLL-RAND PUBLIC LTD	IR	10/17/05	\$ 8,176.03	\$ 34.791	\$ 35.74	\$ 8,398.90	\$ 222.87* LT		
400	CO		11/07/05	14,368.20	35.92	35.74	14,296.00	(72.20)*LT		
635	Rating Citigroup . 1M			22,544.23	35.503		22,694.90	150.67	783	177.80
900	AGA MEDICAL HOLDINGS INC	AGAM	10/20/09	13,050.00	14.50	14.77	13,293.00	243.00 ST		
	Rating Citigroup 1S									
20,000	ANTHRACITE CAPITAL INC	ACPI	08/07/98	233,305.00	11.625	0.96	1,922.00	(231,383.00) LT		
60,000			11/02/01	579,371.00	9.606	0.96	5,766.00	(573,605.00) LT		
17,100			10/08/02	184,749.98	10.753	0.96	1,643.31	(183,106.67) LT		
32,900			10/09/02	354,699.90	10.731	0.96	3,161.69	(351,538.21) LT		
8,400			02/12/03	88,049.60	10.481	0.96	807.24	(87,242.36) LT		
11,600			02/13/03	121,421.04	10.376	0.96	1,114.76	(120,306.28) LT		
50,000			08/06/03	521,945.00	10.388	0.96	4,805.00	(517,140.00) LT		
200,000				2,083,541.52	10.418		19,220.00	(2,064,321.52)		
1,700	APOLLO INVESTMENT CORP	AINV	12/09/09	16,694.00	9.82	9.54	16,218.00	(476.00) ST	11.74	1,904.00
	Rating Citigroup 2S									
	S&P : 2									
2,220	APPLIED MATERIALS INC DELAWARE	AMAT	12/29/97	16,545.94	7.45	13.94	30,946.80	14,400.86* LT		
840	Rating Citigroup : 1M		04/13/98	7,257.00	8.64	13.94	11,709.60	4,452.60* LT		
3,060	Morgan Stanley . 1			23,802.94	7.779		42,656.40	18,853.46	1.721	734.40
	S&P : 1									
900	CISCO SYS INC	CSCO	02/12/97	6,250.00	6.94	23.94	21,546.00	15,296.00* LT		
420	Rating Citigroup : 1M		12/02/97	4,177.74	9.95	23.94	10,054.80	5,877.06* LT		
1,320	S&P : 1			10,427.74	7.90		31,600.80	21,173.06		
1,000	CITIGROUP INC	C	04/30/08	25,270.00	25.27	3.31	3,310.00	(21,960.00) LT		
100	CLOUD PEAK ENERGY INC	CLD	11/19/09	1,500.00	15.00	14.56	1,456.00	(44.00) ST		
400	CONCORD MEDICAL SERVICES	CCM	12/11/09	4,400.00	11.00	8.64	3,456.00	(944.00) ST		
	HLDS LTD									
20,000	COPART INC	CPRT	12/11/96	37,983.60	1.854	36.62	732,400.00	695,316.40 LT		
	Rating S&P Quantitative : 2			670,400.00						



Ref: 00001932 00067224

HAROLD BLUMENSTEIN Account number 600-12447-11 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
800	DOLLAR GENERAL CORP Rating: Citigroup - 1M	DG	11/12/09	\$ 16,800.00	\$ 21.00	\$ 22.43	\$ 17,944.00	\$ 1,144.00 ST		
10,000	FORTRESS INVT GROUP LLC DEL CLASS A Rating: S&P : 1	FIG	05/14/09	50,000.00	5.00	4.45	44,500.00	(5,500.00) ST		
615	GENERAL ELECTRIC CO Rating: Citigroup - 2M	GE	06/05/02	18,400.80	29.92	15.13	9,304.95	(9,095.85)*LT		
250	Morgan Stanley - 1 S&P : 1		01/07/03	6,524.65	26.10	15.13	3,782.50	(2,742.15)*LT		
865				24,925.45	28.816		13,087.45	(11,838.00)	2.643	346.00
100	HYATT HOTELS CORP CL A Rating: Citigroup : 1H	H	11/04/09	2,500.00	25.00	29.81	2,981.00	481.00 ST		
80	INTEL CORP Rating: Citigroup - 1M	INTC	12/21/00	2,722.42	34.03	20.40	1,632.00	(1,090.42)*LT		
110	Morgan Stanley - 2 S&P : 1		10/19/01	2,620.20	23.82	20.40	2,244.00	(376.20)*LT		
480			07/05/05	12,762.10	26.59	20.40	9,792.00	(2,970.10)*LT		
55			10/04/05	1,361.93	24.76	20.40	1,122.00	(239.93)*LT		
725				19,466.65	26.851		14,790.00	(4,676.65)	2.745	406.00
225	JOHNSON & JOHNSON Rating: Citigroup 1L	JNJ	04/05/01	9,813.33	43.61	64.41	14,492.25	4,678.92* LT		
345	Morgan Stanley - 1 S&P : 1		10/28/03	17,377.37	50.37	64.41	22,221.45	4,844.08* LT		
25			06/28/04	1,372.75	54.91	64.41	1,610.25	237.50* LT		
15			08/04/04	828.75	55.25	64.41	966.15	137.40* LT		
610				29,397.20	48.184		39,290.10	9,897.90	3.043	1,195.60
300	MEDIDATA SOLUTIONS INC Rating: Citigroup - 1H	MDSO	12/08/09	4,500.00	15.00	15.62	4,686.00	186.00 ST		
510	NIKE INC CL B Rating: Citigroup 1L S&P : 1	NKE	07/01/98	13,058.55	25.61	66.07	33,695.70	20,637.15* LT	1.634	550.80
25	PEPSICO INC	PEP	06/06/94	405.35	16.21	60.80	1,520.00	1,114.65* LT		
150			09/01/94	2,301.22	15.34	60.80	9,120.00	6,818.78* LT		
120			10/04/04	6,060.22	50.50	60.80	7,296.00	1,235.78* LT		
295				8,766.79	29.718		17,936.00	9,169.21	2.96	531.00
75	PROCTER & GAMBLE CO Rating: Citigroup : 1L S&P : 1	PG	10/13/04	3,988.19	53.18	60.63	4,547.25	559.06* LT		
270			11/08/04	14,341.70	53.12	60.63	16,370.10	2,028.40* LT		
30			11/12/04	1,621.33	54.04	60.63	1,818.90	197.57* LT		
35			02/07/05	1,845.08	52.72	60.63	2,122.05	276.97* LT		
410				21,796.30	53.162		24,858.30	3,062.00	2.902	721.60



MorganStanley
SmithBarney

Reserved Client
Financial Management Account
December 1 - December 31, 2009

Page 3 of 3

Ref 00001932 00067225

HAROLD BLUMENSTEIN Account number 600-12447-11 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
140	UNITED TECHNOLOGIES CORP	UTX	06/29/01	\$ 5,134.50	\$ 36.68	\$ 69.41	\$ 9,717.40	\$ 4,582.90* LT		
350	Rating Citigroup 1M		07/03/01	12,652.50	36.15	69.41	24,293.50	11,641.00* LT		
70	Morgan Stanley 2		07/19/05	3,623.55	51.77	69.41	4,858.70	1,235.15* LT		
560	S&P 1			21,410.55	38.233		38,869.60	17,459.05	2.218	862.40
270	UNITEDHEALTH GROUP INC	UNH	10/08/03	6,932.71	25.68	30.48	8,229.60	1,296.89* LT		
520	Rating Citigroup 2H		10/22/03	14,291.86	27.48	30.48	15,849.60	1,557.74* LT		
790	Morgan Stanley 1			21,224.57	26.867		24,079.20	2,854.63	0.98	23.70
76	WAL-MART STORES INC	WMT	08/15/94	905.54	11.92	53.45	4,062.20	3,156.66* LT		
24	Rating Citigroup 2M		08/16/94	285.96	11.92	53.45	1,282.80	996.84* LT		
200	Morgan Stanley 1		09/20/94	2,383.00	11.92	53.45	10,690.00	8,307.00* LT		
300	S&P 1			3,574.50	11.915		16,035.00	12,460.50	2.039	327.00
Total common stocks and options				\$ 2,475,728.59			\$ 1,179,057.45	(\$ 4,910.00) ST	55	
				\$ 3,109,045.79				(\$ 1,281,762.14) LT		\$ 7,780.30
Total portfolio value				\$ 2,516,822.45			\$ 1,220,150.31	(\$ 4,910.00) ST	54	\$ 7,809.00
								(\$ 1,281,762.14) LT		



MorganStanley
SmithBarney

Reserved
Client Statement

December 1 - December 31, 2009

Page 1 of 36
1 16

Ref 00001932 00067233

HAROLD BLUMENSTEIN

Account number 600-36141-19 190

#38-2710389

Harold & Penny Blumenstein Foundation Corporation

2009 Form 990-PF

Part II - Balance Sheet - Line 10(b) - Investments - Corp. Stock

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	ALLIED WORLD ASSURANCE CO	AWH	07/22/09	\$ 513.68	\$ 42.806	\$ 46.07	\$ 552.84	\$ 39.16	ST	
8	HLDS LTD		07/23/09	343.89	42.985	46.07	368.56	24.67	ST	
6			07/24/09	258.54	43.089	46.07	276.42	17.88	ST	



Ref 00001932 00067234

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	ALLIED WORLD ASSURANCE CO	AWH	07/29/09	\$ 259.75	\$ 43.292	\$ 46.07	\$ 276.42	\$ 16.67 ST		
32	HLDGS LTD			1,375.86	42.996		1,474.24	98.38	1.736	25.60
5	EVEREST REINSURANCE GP LTD	RE	08/20/09	410.15	82.03	85.68	428.40	18.25 ST		
3			08/21/09	248.09	82.697	85.68	257.04	8.95 ST		
3			09/16/09	256.59	85.529	85.68	257.04	.45 ST		
3			09/18/09	258.84	86.281	85.68	257.04	(1.80) ST		
14				1,173.67	83.834		1,199.52	25.85	2.24	26.88
11	FRESH DEL MONTE PRODUCE	FDP	09/02/09	250.94	22.812	22.10	243.10	(7.84) ST		
11			09/09/09	259.73	23.611	22.10	243.10	(16.63) ST		
11			09/16/09	265.86	24.169	22.10	243.10	(22.76) ST		
33				776.53	23.531		729.30	(47.23)	226	1.65
1	MONTPELIER RE HOLDINGS LTD	MRH	07/30/09	15.65	15.653	17.32	17.32	1.67 ST	2.078	.36
	-USD									
7	PARTNERRE LTD -BMD	PRE	07/02/09	457.09	65.298	74.66	522.62	65.53 ST	2.518	13.16
7	PLATINUM UNDERWRITERS HOLDINGS LTD	PTP	07/31/09	236.16	33.737	38.29	268.03	31.87 ST	.835	2.24
7	RENAISSANCE RE HLDGS LTD	RNR	07/31/09	352.91	50.415	53.15	372.05	19.14 ST		
6			10/02/09	331.26	55.209	53.15	318.90	(12.36) ST		
13				684.17	52.628		690.95	6.78	1.806	12.48
27	XL CAPITAL LTD CLASS A	XL	11/03/09	435.94	16.145	18.33	494.91	58.97 ST		
16			11/05/09	259.24	16.202	18.33	293.28	34.04 ST		
43				695.18	16.167		788.19	93.01	2.182	17.20
17	NOBLE CORPORATION-CHF	NE	11/03/09	705.57	41.504	40.70	691.90	(13.67) ST	393	2.72
12	ALASKA AIR GROUP INC	ALK	09/30/09	323.01	26.917	34.56	474.72	91.71 ST		
10			10/02/09	259.60	25.96	34.56	345.60	86.00 ST		
22				582.61	26.482		760.32	177.71		
12	AMAZON COM INC	AMZN	03/31/09	880.93	73.41	134.52	1,614.24	733.31 ST		
4			04/02/09	304.06	76.014	134.52	538.08	234.02 ST		
11			05/19/09	835.96	75.996	134.52	1,479.72	643.76 ST		
7			06/09/09	610.71	87.244	134.52	941.64	330.93 ST		
11			06/17/09	908.88	82.625	134.52	1,479.72	570.84 ST		
22			11/18/09	2,871.67	130.53	134.52	2,959.44	87.77 ST		
12			11/20/09	1,549.80	129.15	134.52	1,614.24	64.44 ST		
10			11/25/09	1,327.62	132.762	134.52	1,345.20	17.58 ST		
89				9,289.63	104.378		11,972.28	2,682.65		



Ref 00001932 00067235

Account number 600-36141-19 190

HAROLD BLUMENSTEIN

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
16	AMERIPRISE FINANCIAL INC	AMP	09/02/09	\$ 450.78	\$ 28.173	\$ 38.82	\$ 621.12	\$ 170.34 ST		
16			09/09/09	474.55	29.659	38.82	621.12	146.57 ST		
27			10/09/09	995.82	36.882	38.82	1,048.14	52.32 ST		
23			10/14/09	854.08	37.133	38.82	892.86	38.78 ST		
23			10/16/09	833.63	36.244	38.82	892.86	59.23 ST		
16			10/20/09	578.61	36.163	38.82	621.12	42.51 ST		
18			10/23/09	669.44	37.191	38.82	698.76	29.32 ST		
16			10/27/09	578.77	36.173	38.82	621.12	42.35 ST		
12			10/29/09	421.77	35.147	38.82	465.84	44.07 ST		
12			11/03/09	422.81	35.233	38.82	465.84	43.03 ST		
7			11/04/09	259.76	37.109	38.82	271.74	11.98 ST		
9			11/05/09	331.90	36.878	38.82	349.38	17.48 ST		
7			11/18/09	269.16	38.451	38.82	271.74	2.58 ST		
7			11/20/09	263.03	37.576	38.82	271.74	8.71 ST		
209				7,404.11	35.426		8,113.38	709.27	1.751	142.12
9	AMPHENOL CORP CLASS A	APH	11/17/09	388.92	43.212	46.18	415.62	26.70 ST		
10			12/22/09	446.30	44.629	46.18	461.80	15.50 ST		
19				835.22	43.959		877.42	42.20	129	1.14
35	APACHE CORP	APA	06/26/09	2,534.83	72.423	103.17	3,610.95	1,076.12 ST		
9			07/02/09	622.88	69.208	103.17	928.53	305.65 ST		
12			07/15/09	885.35	73.779	103.17	1,238.04	352.69 ST		
56				4,043.06	72.198		5,777.52	1,734.46	581	33.60
6	APOLLO GROUP INC CL A	APOL	04/06/09	416.55	69.425	60.58	363.48	(53.07) ST		
10			04/16/09	652.80	65.28	60.58	605.80	(47.00) ST		
18			04/28/09	1,115.07	61.948	60.58	1,090.44	(24.63) ST		
6			05/11/09	358.60	59.766	60.58	363.48	4.88 ST		
40				2,543.02	63.576		2,423.20	(119.82)		
29	ARCHER-DANIELS-MIDLAND CO	ADM	11/30/09	884.87	30.512	31.81	907.99	23.12 ST		
48			12/11/09	1,469.72	30.619	31.31	1,502.88	33.16 ST		
45			12/15/09	1,389.72	30.882	31.31	1,408.95	19.23 ST		
122				3,744.31	30.691		3,819.82	75.51	1.788	68.32
4	AUTOZONE INC	AZO	07/14/09	619.64	154.91	158.07	632.28	12.64 ST		
4			10/29/09	555.69	138.921	158.07	632.28	76.59 ST		
8				1,175.33	146.916		1,264.56	89.23		
36	CIGNA CORP	CI	11/18/09	1,091.97	30.332	35.27	1,269.72	177.75 ST	113	1.44



Ref. 00001932 00067236

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
22	CMS ENERGY CORP	CMS	10/06/08	\$ 238.16	\$ 10.915	\$ 15.66	\$ 344.52	\$ 106.36 LT		
26			10/07/08	273.70	10.617	15.66	407.16	133.46 LT		
22			10/30/08	225.13	10.323	15.66	344.52	119.39 LT		
22			12/17/08	213.30	9.695	15.66	344.52	131.22 LT		
92				950.29	10.329		1,440.72	490.43	3.192	46.00
14	CNA FINANCIAL CORP	CNA	09/16/09	361.22	25.801	24.00	336.00	(25.22) ST		
15	CSX CORP	CSX	04/16/09	463.97	30.931	48.49	727.35	263.38 ST	1.814	13.20
38	CVS CAREMARK CORP	CVS	09/25/09	1,344.09	35.37	32.21	1,223.98	(120.11) ST		
58			11/10/09	1,736.44	29.938	32.21	1,868.18	131.74 ST		
60			11/18/09	1,830.35	30.505	32.21	1,932.60	102.25 ST		
156				4,910.88	31.48		5,024.76	113.88	946	47.58
21	CA INC	CA	07/02/09	357.40	17.019	22.46	471.66	114.26 ST		
15			07/22/09	279.24	18.616	22.46	336.90	57.66 ST		
16			07/24/09	332.46	20.778	22.46	359.36	26.90 ST		
52				969.10	18.637		1,167.92	198.82	712	8.32
1	CAMPBELL SOUP CO	CPB	07/02/09	29.37	29.372	33.80	33.80	4.43 ST	3.254	1.10
12	CHESAPEAKE ENERGY CORP	CHK	08/05/09	281.39	23.449	25.88	310.56	29.17 ST		
43			08/18/09	969.45	22.545	25.88	1,112.84	143.39 ST		
13			08/20/09	300.46	23.112	25.88	336.44	35.98 ST		
17			09/16/09	488.10	28.711	25.88	439.96	(48.14) ST		
11			09/29/09	312.00	28.363	25.88	284.68	(27.32) ST		
41			09/30/09	1,181.54	28.818	25.88	1,061.08	(120.46) ST		
20			10/02/09	530.25	26.512	25.88	517.60	(12.65) ST		
16			10/05/09	438.92	27.432	25.88	414.08	(24.84) ST		
40			10/09/09	1,138.18	28.454	25.88	1,035.20	(102.98) ST		
39			10/14/09	1,121.39	28.753	25.88	1,009.32	(112.07) ST		
35			10/16/09	1,006.29	28.751	25.88	905.80	(100.49) ST		
9			10/20/09	257.73	28.636	25.88	232.92	(24.81) ST		
30			10/23/09	815.85	27.195	25.88	776.40	(39.45) ST		
28			10/29/09	722.46	25.802	25.88	724.64	2.18 ST		
354				9,564.01	27.017		9,161.52	(402.49)	1.159	106.20
7	CHEVRON CORP	CVX	05/25/07	565.03	80.719	76.99	538.93	(26.10) LT		
13			10/25/07	1,176.08	90.467	76.99	1,000.87	(175.21) LT		
30			02/05/09	2,155.11	71.837	76.99	2,309.70	154.59 ST		
55			02/06/09	4,088.60	74.338	76.99	4,234.45	145.85 ST		



Ref. 00001932 00067237

HAROLD BLUMENSTEIN

Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
21	CHEVRON CORP	CVX	04/13/09	\$ 1,404.03	\$ 66.858	\$ 76.99	\$ 1,616.79	\$ 212.76 ST		
20			05/27/09	1,310.59	65.529	76.99	1,539.80	229.21 ST		
20			12/15/09	1,553.86	77.692	76.99	1,539.80	(14.06) ST		
30			12/17/09	2,310.90	77.03	76.99	2,309.70	(1.20) ST		
196				14,564.20	74.307		15,090.04	525.84	3.532	533.12
6	CHUBB CORP	CB	11/26/07	311.56	51.927	49.18	295.08	(16.48) LT		
13			01/29/08	662.29	50.945	49.18	639.34	(22.95) LT		
16			04/24/08	842.32	52.645	49.18	786.88	(55.44) LT		
9			04/30/08	479.69	53.298	49.18	442.62	(37.07) LT		
8			05/01/08	431.28	53.909	49.18	393.44	(37.84) LT		
9			07/08/09	354.65	39.406	49.18	442.62	87.97 ST		
29			07/29/09	1,320.88	45.547	49.18	1,426.22	105.34 ST		
24			07/31/09	1,107.07	46.127	49.18	1,180.32	73.25 ST		
6			09/30/09	305.91	50.985	49.18	295.08	(10.83) ST		
120				5,815.65	48.464		5,901.60	85.95	2.846	168.00
17	COCA-COLA CO	KO	12/01/09	983.91	57.876	57.00	969.00	(14.91) ST		
11			12/04/09	632.99	57.544	57.00	627.00	(5.99) ST		
28				1,616.90	57.746		1,596.00	(20.90)	2.877	45.92
9	COLGATE PALMOLIVE CO	CL	10/16/09	714.73	79.414	82.15	739.35	24.62 ST		
5			10/29/09	386.14	77.227	82.15	410.75	24.61 ST		
14				1,100.87	78.634		1,150.10	49.23	2.142	24.64
20	COMERICA INC	CMA	03/25/09	402.30	20.115	29.57	591.40	189.10 ST		
20			04/02/09	379.74	18.987	29.57	591.40	211.66 ST		
17			04/06/09	316.86	18.639	29.57	502.69	185.83 ST		
13			04/13/09	272.35	20.95	29.57	384.41	112.06 ST		
12			04/16/09	233.69	19.474	29.57	354.84	121.15 ST		
10			04/20/09	202.87	20.287	29.57	295.70	92.83 ST		
11			04/28/09	223.30	20.299	29.57	325.27	101.97 ST		
103				2,031.11	19.72		3,045.71	1,014.60	6.76	20.60
99	CONOCOPHILLIPS	COP	07/02/09	4,077.56	41.187	51.07	5,055.93	978.37 ST		
92			07/22/09	3,984.99	43.315	51.07	4,698.44	713.45 ST		
25			07/23/09	1,100.21	44.008	51.07	1,276.75	176.54 ST		
22			07/24/09	983.41	44.70	51.07	1,123.54	140.13 ST		
60			08/10/09	2,653.92	44.232	51.07	3,064.20	410.28 ST		
298				12,800.09	42.953		15,218.86	2,418.77	3.916	596.00



Ref: 00001932 00067238

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
9	CONSTELLATION ENERGY GROUP	CEG	12/11/09	\$ 305.11	\$ 33.90	\$ 35.17	\$ 316.53	\$ 11.42 ST		
16			12/21/09	564.70	35.294	35.17	562.72	(1.98) ST		
25				869.81	34.792		879.25	9.44	2.729	24.00
27	CORNING INC	GLW	08/06/09	443.76	16.62	19.31	521.37	72.61 ST		
52			08/18/09	820.60	15.78	19.31	1,004.12	183.52 ST		
25			08/27/09	395.67	15.826	19.31	482.75	87.08 ST		
25			09/02/09	377.99	15.119	19.31	482.75	104.76 ST		
31			09/09/09	486.00	15.677	19.31	598.61	112.61 ST		
73			09/16/09	1,134.68	15.543	19.31	1,409.63	274.95 ST		
26			10/01/09	389.95	14.998	19.31	502.06	112.11 ST		
106			10/05/09	1,560.85	14.725	19.31	2,046.86	486.01 ST		
99			10/16/09	1,512.07	15.273	19.31	1,911.69	399.62 ST		
58			10/20/09	901.03	15.535	19.31	1,119.98	218.95 ST		
71			11/03/09	1,032.52	14.542	19.31	1,371.01	338.49 ST		
36			11/04/09	534.81	14.855	19.31	695.16	160.35 ST		
629				9,594.93	15.254		12,145.99	2,551.06	1.035	125.80
22	DELL INC	DELL	07/24/09	295.65	13.438	14.36	315.92	20.27 ST		
21			11/27/09	296.47	14.213	14.36	301.56	3.09 ST		
77			11/30/09	1,085.78	14.101	14.36	1,105.72	19.94 ST		
120				1,678.90	13.999		1,723.20	43.30		
8	DEVRY INC (DEL)	DV	03/14/08	364.80	45.60	56.73	453.84	89.04 LT		
8			11/18/09	433.98	54.247	56.73	453.84	19.86 ST		
16				798.78	49.924		907.68	108.90	352	3.20
15	DILLARDS INC CLASS A	DDS	11/30/09	252.05	16.803	18.45	276.75	24.70 ST		
15			12/01/09	258.88	17.258	18.45	276.75	17.87 ST		
30				510.93	17.031		553.50	42.57	.867	4.80
26	EDISON INTERNATIONAL	EIX	12/17/08	822.40	31.63	34.78	904.28	81.88 LT		
7			12/19/08	223.04	31.862	34.78	243.46	20.42 LT		
7			12/30/08	217.54	31.077	34.78	243.46	25.92 LT		
8			12/31/08	255.03	31.879	34.78	278.24	23.21 ST		
11			02/23/09	302.49	27.498	34.78	382.58	80.09 ST		
59				1,820.50	30.856		2,052.02	231.52	3.622	74.34
7	ENTERGY CORPORATION-NEW	ETR	08/18/09	551.10	78.728	81.84	572.88	21.78 ST		
5			08/27/09	402.99	80.597	81.84	409.20	6.21 ST		
12				954.09	79.508		962.08	27.99	3.665	36.00



Ref 00001932 00067239

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
37	EXELON CORP	EXC	12/30/09	\$ 1,826.69	\$ 49.369	\$ 48.87	\$ 1,808.19	(\$ 18.50) ST	4.297%	\$ 77.70
6	EXPRESS SCRIPTS INC COMMON	ESRX	11/20/09	509.40	84.899	86.42	518.52	9.12 ST		
10			11/30/09	854.24	85.423	86.42	864.20	9.96 ST		
16				1,363.64	85.228		1,382.72	19.08		
89	EXXON MOBIL CORP	XOM	07/02/09	6,139.02	68.977	68.19	6,068.91	(70.11) ST		
23			08/18/09	1,529.08	66.481	68.19	1,568.37	39.29 ST		
112				7,668.10	68.465		7,637.28	(30.82)	2.463	188.16
5	FIRSTENERGY CORP	FE	10/14/09	232.43	46.486	46.45	232.25	(.18) ST		
11			10/16/09	519.09	47.189	46.45	510.95	(8.14) ST		
8			10/22/09	368.04	46.005	46.45	371.60	3.56 ST		
24				1,119.56	46.648		1,114.80	(4.76)	4.736	52.80
10	GILEAD SCIENCES INC	GILD	09/26/08	475.90	47.589	43.27	432.70	(43.20) LT		
10			10/02/08	452.96	45.296	43.27	432.70	(20.26) LT		
9			10/14/08	375.95	41.772	43.27	389.43	13.48 LT		
21			12/17/08	1,010.69	48.128	43.27	908.67	(102.02) LT		
15			01/12/09	712.65	47.51	43.27	649.05	(63.60) ST		
57			01/20/09	2,730.82	47.909	43.27	2,466.39	(264.43) ST		
45			01/21/09	2,192.18	48.715	43.27	1,947.15	(245.03) ST		
37			01/28/09	1,864.41	50.389	43.27	1,600.99	(263.42) ST		
5			02/10/09	255.82	51.163	43.27	216.35	(39.47) ST		
10			04/24/09	457.96	45.795	43.27	432.70	(25.26) ST		
219				10,529.34	48.079		9,476.13	(1,053.21)		
33	GOLDMAN SACHS GROUP INC	GS	07/02/09	4,787.11	145.063	168.84	5,571.72	784.61 ST		
19			07/14/09	2,838.04	149.37	168.84	3,207.96	369.92 ST		
5			07/17/09	782.59	156.517	168.84	844.20	61.61 ST		
10			07/20/09	1,589.68	158.968	168.84	1,688.40	98.72 ST		
6			07/22/09	957.90	159.65	168.84	1,013.04	55.14 ST		
11			07/23/09	1,765.15	160.467	168.84	1,857.24	92.09 ST		
10			12/18/09	1,614.14	161.413	168.84	1,688.40	74.26 ST		
94				14,334.61	152.496		15,870.96	1,536.35	.829	131.60
1	GOOGLE INC CLASS A	GOOG	11/30/09	580.15	580.146	619.98	619.98	39.83 ST		
11	HEWLETT PACKARD CO	HPQ	08/13/09	487.10	44.281	51.51	566.61	79.51 ST		
19			08/20/09	832.05	43.792	51.51	978.69	146.64 ST		



December 1 - December 31, 2009

Ref: 00001932 00067240

HAROLD BLUMENSTEIN

Account number 600-36141-19 190

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
19	HEWLETT PACKARD CO	HPQ	11/18/09	\$ 959.14	\$ 50.481	\$ 51.51	\$ 978.69	\$ 19.55 ST		
49				2,278.29	46.496		2,523.99	245.70	621	15.68
4	ITT EDUCATIONAL SERVICES INC	ESI	10/28/08	304.99	76.248	95.96	383.84	78.85 LT		
4			10/30/08	330.71	82.678	95.96	383.84	53.13 LT		
5			11/14/08	414.29	82.858	95.96	479.80	65.51 LT		
3			11/17/08	245.28	81.759	95.96	287.88	42.60 LT		
3			11/19/08	241.58	80.527	95.96	287.88	46.30 LT		
3			11/20/08	225.37	75.124	95.96	287.88	62.51 LT		
4			12/03/08	362.60	90.65	95.96	383.84	21.24 LT		
6			12/17/08	513.65	85.608	95.96	575.76	62.11 LT		
3			12/19/08	267.78	89.261	95.96	287.88	20.10 LT		
4			12/29/08	364.52	91.129	95.96	383.84	19.32 LT		
3			12/31/08	285.79	95.263	95.96	287.88	2.09 ST		
5			01/20/09	568.61	113.721	95.96	479.80	(88.81) ST		
3			02/06/09	373.57	126.188	95.96	287.88	(90.69) ST		
6			03/19/09	647.50	107.917	95.96	575.76	(71.74) ST		
2			03/25/09	233.58	119.291	95.96	191.92	(46.66) ST		
58				5,389.82	92.928		5,565.68	175.86		
2	INGRAM MICRO INC CLASS A	IM	08/14/09	32.94	16.468	17.45	34.90	1.96 ST		
15			08/18/09	245.45	16.363	17.45	261.75	16.30 ST		
14			11/18/09	258.93	18.495	17.45	244.30	(14.63) ST		
15			11/20/09	266.61	17.773	17.45	261.75	(4.86) ST		
15			11/30/09	254.91	16.993	17.45	261.75	6.84 ST		
23			12/30/09	410.58	17.851	17.45	401.35	(9.23) ST		
84				1,469.42	17.493		1,465.80	(3.62)		
59	INTL BUSINESS MACHINES CORP	IBM	07/02/09	6,041.81	102.403	130.90	7,723.10	1,681.29 ST		
39			07/10/09	3,921.31	100.546	130.90	5,105.10	1,183.79 ST		
6			07/13/09	613.38	102.23	130.90	785.40	172.02 ST		
18			07/14/09	1,855.41	103.078	130.90	2,356.20	500.79 ST		
122				12,431.91	101.901		15,969.80	3,537.89	1.68	268.40
2	INTUITIVE SURGICAL INC	ISRG	11/18/09	557.65	278.822	303.43	606.86	49.21 ST		
1			11/20/09	275.85	275.851	303.43	303.43	27.58 ST		
2			11/25/09	561.64	280.821	303.43	606.86	45.22 ST		
1			11/30/09	278.86	278.861	303.43	303.43	24.57 ST		
6				1,674.00	279.00		1,820.58	146.58		



Ref 00001932 00067241

Account number 600-36141-19 190

HAROLD BLUMENSTEIN

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
11	J CREW GROUP INC	JCG	11/18/09	\$ 466.48	\$ 42.407	\$ 44.74	\$ 492.14	\$ 25.66 ST		
6			11/30/09	254.87	42.478	44.74	268.44	13.57 ST		
17				721.35	42.432		760.58	39.23		
70	JPMORGAN CHASE & CO	JPM	10/09/09	3,182.91	45.47	41.67	2,916.90	(266.01) ST		
47			10/14/09	2,215.31	47.134	41.67	1,958.49	(256.82) ST		
8			10/16/09	371.49	46.436	41.67	333.36	(38.13) ST		
116			10/20/09	5,354.37	46.158	41.67	4,833.72	(520.65) ST		
52			10/22/09	2,356.99	45.326	41.67	2,166.84	(190.15) ST		
34			10/27/09	1,498.07	44.06	41.67	1,416.78	(81.29) ST		
21			12/18/09	857.04	40.811	41.67	875.07	18.03 ST		
348				15,836.18	45.506		14,501.16	(1,335.02)	479	69.60
25	JOHNSON CONTROLS INC	JCI	11/18/09	696.45	27.858	27.24	681.00	(15.45) ST	1,908	13.00
10	KIMBERLY CLARK CORP	KMB	11/30/09	658.32	65.831	63.71	637.10	(21.22) ST	3,767	24.00
4	L 3 COMMUNICATIONS HLDGS INC	LLL	03/09/09	232.29	58.072	86.95	347.80	115.51 ST		
10			03/19/09	636.46	63.646	86.95	869.50	233.04 ST		
5			09/16/09	396.11	79.222	86.95	434.75	38.64 ST		
19				1,264.86	66.572		1,652.05	387.19	1.61	26.60
3	LEXMARK INTL GROUP INC CL A	LXK	06/17/09	45.63	15.21	25.98	77.94	32.31 ST		
12	LINCOLN NATIONAL CORP -IND-	LNC	12/01/09	279.07	23.255	24.88	298.56	19.49 ST		
12			12/11/09	269.38	22.448	24.88	298.56	29.18 ST		
24				548.45	22.852		597.12	48.67	16	96
26	LINEAR TECHNOLOGY CORPORATION	LLTC	08/20/09	684.76	26.336	30.56	794.56	109.80 ST	2,879	22.88
3	MASTERCARD INC	MA	08/04/09	586.30	199.432	255.98	767.94	169.64 ST		
9			11/18/09	2,050.44	227.826	255.98	2,303.82	253.38 ST		
6			11/20/09	1,381.38	230.23	255.98	1,535.88	154.50 ST		
2			11/27/09	469.24	234.62	255.98	511.96	42.72 ST		
7			11/30/09	1,665.96	237.994	255.98	1,791.86	125.90 ST		
4			12/01/09	971.96	242.988	255.98	1,023.92	51.96 ST		
31				7,137.28	230.235		7,935.38	798.10	234	18.60
26	MEDCO HEALTH SOLUTIONS INC	MHS	07/31/09	1,386.08	53.31	63.91	1,661.66	275.58 ST		
25			08/10/09	1,309.48	52.379	63.91	1,597.75	288.27 ST		
26			08/18/09	1,418.33	54.551	63.91	1,661.66	243.33 ST		
21			08/20/09	1,152.55	54.883	63.91	1,342.11	189.56 ST		
18			08/21/09	1,002.07	55.67	63.91	1,150.38	148.31 ST		
9			08/26/09	501.51	55.723	63.91	575.19	73.68 ST		



Ref 00001932 00067242

Account number 600-36141-19 190

HAROLD BLUMENSTEIN

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
8	MEDCO HEALTH SOLUTIONS INC	MHS	08/27/09	\$ 444.66	\$ 55.582	\$ 63.91	\$ 511.28	\$ 66.62 ST		
10			09/16/09	557.22	55.722	63.91	639.10	81.88 ST		
143				7,771.90	54.349		9,139.13	1,367.23		
50	METLIFE INC	MET	09/30/09	1,918.35	38.367	35.35	1,767.50	(150.85) ST		
45			10/02/09	1,606.62	35.702	35.35	1,590.75	(15.87) ST		
40			10/05/09	1,478.88	36.971	35.35	1,414.00	(64.88) ST		
32			10/09/09	1,224.80	38.275	35.35	1,131.20	(93.60) ST		
18			10/14/09	680.43	37.801	35.35	636.30	(44.13) ST		
12			10/16/09	447.74	37.311	35.35	424.20	(23.54) ST		
8			10/20/09	297.44	37.18	35.35	282.80	(14.64) ST		
21			10/22/09	781.47	37.212	35.35	742.35	(39.12) ST		
226				8,435.73	37.326		7,909.10	(446.63)	2.093	167.24
35	MICROSOFT CORP	MSFT	11/18/09	1,049.85	29.995	30.48	1,066.80	16.95 ST	1.706	18.20
39	MIRANT CORP NEW	MIR	07/23/09	698.42	17.908	15.27	595.53	(102.89) ST		
24			07/24/09	436.18	18.174	15.27	366.48	(69.70) ST		
17			07/29/09	303.45	17.85	15.27	259.59	(43.86) ST		
13			07/31/09	233.77	17.982	15.27	198.51	(35.26) ST		
93				1,671.82	17.977		1,420.11	(251.71)		
26	MORGAN STANLEY	MS	10/23/09	916.23	35.239	29.60	769.60	(146.63) ST		
10			11/04/09	327.67	32.767	29.60	296.00	(31.67) ST		
125			11/18/09	4,143.03	33.144	29.60	3,700.00	(443.03) ST		
161				5,386.93	33.459		4,765.60	(621.33)	675	32.20
11	MURPHY OIL CORP	MUR	09/29/09	636.61	57.873	54.20	596.20	(40.41) ST		
23			09/30/09	1,325.24	57.619	54.20	1,246.60	(78.64) ST		
6			11/30/09	339.43	56.571	54.20	325.20	(14.23) ST		
8			12/11/09	424.17	53.021	54.20	433.60	9.43 ST		
48				2,725.45	56.78		2,601.60	(123.85)	1.845	48.00
22	MYLAN INC	MYL	05/15/09	283.15	12.87	18.43	405.46	122.31 ST		
41			05/19/09	529.50	12.914	18.43	755.63	226.13 ST		
63				812.65	12.899		1,161.09	348.44		
15	NETAPP INC	NTAP	11/20/09	455.25	30.35	34.36	515.40	60.15 ST		
19			11/30/09	582.22	30.643	34.36	652.84	70.62 ST		
34				1,037.47	30.514		1,168.24	130.77		



Ref 00001932 00067243

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
1	NETFLIX INC	NFLX	03/16/09	\$ 39.73	\$ 39.729	\$ 55.09	\$ 55.09	\$ 15.36 ST		
8			03/24/09	349.89	43.736	55.09	440.72	90.83 ST		
9				389.62	43.291		495.81	106.19		
6	NEWFIELD EXPLORATION COMPANY	NFX	06/17/09	202.29	33.714	48.23	289.38	87.09 ST		
11	NORTHROP GRUMMAN CORP	NOC	07/14/09	493.81	44.891	55.85	614.35	120.54 ST		
12			07/28/09	525.67	43.805	55.85	670.20	144.53 ST		
12			07/31/09	540.18	45.015	55.85	670.20	130.02 ST		
8			08/20/09	381.39	47.674	55.85	446.80	65.41 ST		
9			09/16/09	445.46	49.495	55.85	502.65	57.19 ST		
52				2,386.51	45.894		2,904.20	517.69	3.079	89.44
7	OMNICARE INC	OCR	02/03/09	198.19	28.313	24.18	169.26	(28.93) ST		
8			02/06/09	232.60	29.075	24.18	193.44	(39.16) ST		
26			03/19/09	611.96	23.536	24.18	628.68	16.72 ST		
14			03/24/09	336.04	24.003	24.18	338.52	2.48 ST		
9			04/28/09	242.60	26.956	24.18	217.62	(24.98) ST		
64				1,921.39	25.334		1,547.52	(73.87)	.372	5.76
10	OWENS ILLINOIS INC NEW	OI	07/02/09	279.51	27.951	32.87	328.70	49.19 ST		
8			07/22/09	245.94	30.742	32.87	262.96	17.02 ST		
18				525.45	29.192		591.66	66.21		
12	PPL CORP	PPL	07/28/09	397.43	33.118	32.31	387.72	(9.71) ST		
15			11/18/09	457.74	30.516	32.31	484.65	26.91 ST		
27				855.17	31.673		872.37	17.20	4.271	37.26
9	PENN NATIONAL GAMING INC	PENN	09/16/09	251.25	27.916	27.19	244.71	(6.54) ST		
13			10/09/09	339.74	26.133	27.19	353.47	13.73 ST		
22				590.99	26.863		598.18	7.19		
19	PEPSICO INC	PEP	11/13/09	1,174.72	61.827	60.80	1,155.20	(19.52) ST		
19			11/18/09	1,180.83	62.148	60.80	1,155.20	(25.63) ST		
38				2,355.55	61.988		2,310.40	(45.15)	2.96	68.40
29	PHILIP MORRIS INTL INC	PM	07/02/09	1,260.95	43.481	48.19	1,397.51	136.56 ST		
38			07/14/09	1,623.58	42.725	48.19	1,831.22	207.64 ST		
9			07/15/09	389.22	43.246	48.19	433.71	44.49 ST		
76				3,273.75	43.076		3,662.44	388.69	4.814	176.32
14	PLAINS EXPLORATION & PRODUCTION COMPANY	PXP	12/30/09	393.35	28.096	27.66	387.24	(6.11) ST		



December 1 - December 31, 2009

Ref 00001932 00067244

Account number 600-36141-19 190

HAROLD BLUMENSTEIN

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
2	PRICELINE COM INC (NEW)	PCLN	07/27/09	\$ 246.18	\$ 123.09	\$ 218.41	\$ 436.82	\$ 190.64 ST		
2			07/29/09	244.96	122.48	218.41	436.82	191.86 ST		
3			11/18/09	624.45	208.149	218.41	655.23	30.78 ST		
2			11/20/09	415.85	207.925	218.41	436.82	20.97 ST		
3			11/30/09	631.40	210.465	218.41	655.23	23.83 ST		
2			12/01/09	428.42	214.209	218.41	436.82	8.40 ST		
14				2,591.26	185.09		3,057.74	466.48		
3	PROCTER & GAMBLE CO	PG	08/10/09	155.78	51.925	60.63	181.89	26.11 ST		
15			08/20/09	794.01	52.934	60.63	909.45	115.44 ST		
15			08/21/09	801.07	53.404	60.63	909.45	108.38 ST		
33				1,750.86	53.056		2,000.79	249.93	2.902	58.08
12	PRUDENTIAL FINANCIAL INC	PRU	10/09/09	612.29	51.024	49.76	597.12	(15.17) ST		
18			10/14/09	934.17	51.898	49.76	895.68	(38.49) ST		
18			10/16/09	922.66	51.258	49.76	895.68	(26.98) ST		
15			10/20/09	762.34	50.822	49.76	746.40	(15.94) ST		
7			10/29/09	331.67	47.381	49.76	348.32	16.65 ST		
12			11/04/09	567.09	47.257	49.76	597.12	30.03 ST		
15			11/18/09	756.18	50.412	49.76	746.40	(9.78) ST		
12			11/30/09	587.53	48.96	49.76	597.12	9.59 ST		
109				5,473.93	50.22		5,423.84	(50.09)	1.406	76.30
23	PUBLIC SERVICE ENTERPRISE GRP	PEG	03/19/09	639.83	27.818	33.25	764.75	124.92 ST		
27			04/02/09	811.05	30.038	33.25	897.75	86.70 ST		
50				1,450.88	29.018		1,662.50	211.62	4.00	66.50
5	RAYTHEON COMPANY NEW	RTN	07/02/09	217.64	43.527	51.52	257.60	39.96 ST		
10			07/14/09	438.80	43.879	51.52	515.20	76.40 ST		
11			09/25/09	520.76	47.342	51.52	566.72	45.96 ST		
23			10/09/09	1,055.97	45.911	51.52	1,184.96	128.99 ST		
8			10/26/09	374.19	46.773	51.52	412.16	37.97 ST		
57				2,607.36	45.743		2,936.64	329.28	2.406	70.68
15	RED HAT INC	RHT	04/02/09	269.29	17.952	30.90	463.50	194.21 ST		
24			04/06/09	438.14	18.255	30.90	741.60	303.46 ST		
11			04/13/09	205.82	18.711	30.90	339.90	134.08 ST		
50				913.25	18.265		1,545.00	631.75		



Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	REINSURANCE GROUP OF AMERICA	RGA	09/30/09	\$ 269.19	\$ 44.865	\$ 47.65	\$ 285.90	\$ 16.71 ST		
6			10/14/09	281.01	46.834	47.65	285.90	4.89 ST		
12				550.20	45.85		571.80	21.60	755	4.32
10	ROVI CORP	ROVI	06/26/09	226.14	22.614	31.87	318.70	92.56 ST		
6	SPX CORP	SPW	08/20/09	331.98	55.33	54.70	328.20	(3.78) ST		
5			08/21/09	279.87	55.973	54.70	273.50	(6.37) ST		
5			09/09/09	300.71	60.141	54.70	273.50	(27.21) ST		
16				912.56	57.035		875.20	(37.36)	1 828	16.00
6	SALESFORCE COM INC	CRM	11/17/09	391.10	65.183	73.77	442.62	51.52 ST		
3	SEACOR HOLDINGS INC	CKH	07/23/09	243.76	81.254	76.25	228.75	(15.01) ST		
8	SEARS HOLDINGS CORPORATION	SHLD	09/30/09	521.44	65.179	83.45	667.60	146.16 ST		
7			10/01/09	444.84	63.548	83.45	584.15	139.31 ST		
5			10/02/09	313.94	62.787	83.45	417.25	103.31 ST		
4			10/05/09	256.77	64.192	83.45	333.80	77.03 ST		
5			10/09/09	339.28	67.856	83.45	417.25	77.97 ST		
4			10/14/09	285.08	71.27	83.45	333.80	48.72 ST		
4			10/16/09	279.50	69.875	83.45	333.80	54.30 ST		
4			10/22/09	290.94	72.735	83.45	333.80	42.86 ST		
4			10/27/09	285.65	71.413	83.45	333.80	48.15 ST		
45				3,017.44	67.054		3,755.25	737.81		
11	SEMPRA ENERGY	SRE	09/03/08	626.54	56.958	55.98	615.78	(10.76) LT		
7			09/08/08	398.94	56.991	55.98	391.86	(7.08) LT		
8			09/23/08	417.34	52.168	55.98	447.84	30.50 LT		
20			10/02/08	998.59	49.929	55.98	1,119.60	121.01 LT		
8			10/14/08	329.65	41.206	55.98	447.84	118.19 LT		
8			10/15/08	310.10	38.761	55.98	447.84	137.74 LT		
11			10/28/08	426.95	38.813	55.98	615.78	188.83 LT		
6			10/30/08	247.72	41.286	55.98	335.88	88.16 LT		
10			12/17/08	414.38	41.437	55.98	559.80	145.42 LT		
6			12/19/08	248.67	41.445	55.98	335.88	87.21 LT		
5			12/30/08	207.32	41.464	55.98	279.90	72.58 LT		
100				4,626.20	46.262		5,598.00	971.80	2 786	156.00
17	SOUTHERN UNION COMPANY	SUG	04/22/09	269.63	15.86	22.70	385.90	116.27 ST		
14			06/10/09	242.39	17.313	22.70	317.80	75.41 ST		
14			07/22/09	265.06	18.932	22.70	317.80	52.74 ST		



Ref 00001932 00067246

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
12	SOUTHERN UNION COMPANY	SUG	07/24/09	\$ 236.88	\$ 19.74	\$ 22.70	\$ 272.40	\$ 35.52 ST		
13			09/16/09	272.95	20.995	22.70	295.10	22.15 ST		
70				1,286.91	18.384		1,589.00	302.09	2.643	42.00
1	STRAVER EDUCATION INC	STRA	11/19/08	216.94	216.94	212.52	212.52	(4.42) LT		
1			11/20/08	216.19	216.187	212.52	212.52	(3.67) LT		
2			11/18/09	396.27	198.136	212.52	425.04	28.77 ST		
4				829.40	207.35		850.08	20.68	1.411	12.00
37	SYMANTEC CORP	SYMC	07/31/09	547.29	14.791	17.89	661.93	114.64 ST		
17			08/26/09	262.07	15.416	17.89	304.13	42.06 ST		
18			09/16/09	286.84	15.935	17.89	322.02	35.18 ST		
72				1,095.20	15.225		1,288.08	191.88		
2	SYNAPTICS INC	SYNA	10/28/08	54.87	27.435	30.65	61.30	6.43 LT		
8			10/30/08	238.18	29.772	30.65	245.20	7.02 LT		
9			01/28/09	228.89	25.21	30.65	275.85	48.96 ST		
9			02/03/09	216.67	24.074	30.65	275.85	59.18 ST		
28				736.61	26.308		858.20	121.59		
9	SYNNEX CORP	SNX	09/30/09	275.90	30.655	30.66	275.94	04 ST		
9			10/02/09	263.93	29.325	30.66	275.94	12.01 ST		
18				539.83	29.991		551.88	12.05		
9	3M COMPANY	MMM	11/13/09	696.87	77.43	82.67	744.03	47.16 ST		
10			11/18/09	776.20	77.62	82.67	826.70	50.50 ST		
19				1,473.07	77.53		1,570.73	97.66	2.467	38.76
2	TORCHMARK CORP	TMK	03/07/08	117.89	58.945	43.95	87.90	(29.99) LT		
7			10/14/08	313.02	44.716	43.95	307.65	(5.37) LT		
8			10/21/08	297.98	37.247	43.95	351.60	53.62 LT		
8			10/24/08	288.75	36.093	43.95	351.60	62.85 LT		
6			10/28/08	216.96	36.159	43.95	263.70	46.74 LT		
7			10/30/08	275.99	39.427	43.95	307.65	31.66 LT		
11			12/01/08	376.86	34.26	43.95	483.45	106.59 LT		
9			02/18/09	243.73	27.081	43.95	395.55	151.82 ST		
58				2,131.18	36.744		2,549.10	417.92	1.365	34.80
5	TRANSATLANTIC HLDGS INC	TRH	11/05/09	259.48	51.895	52.11	260.55	1.07 ST		
5			11/20/09	272.82	54.563	52.11	260.55	(12.27) ST		
10				532.30	53.23		521.10	(11.20)	1.535	8.00



Ref: 00001932 00067247

HAROLD BLUMENSTEIN Account number 600-36141-19 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	TRAVELERS COMPANIES INC	TRV	01/27/09	\$ 398.52	\$ 39.852	\$ 49.86	\$ 498.60	\$ 100.08 ST		
11			01/28/09	448.73	40.793	49.86	548.46	99.73 ST		
23			10/23/09	1,182.67	51.42	49.86	1,146.78	(35.89) ST		
28			10/27/09	1,436.07	51.288	49.86	1,396.08	(39.99) ST		
19			10/29/09	975.29	51.331	49.86	947.34	(27.95) ST		
19			11/05/09	960.67	50.561	49.86	947.34	(13.33) ST		
10			11/18/09	531.54	53.154	49.86	498.60	(32.94) ST		
5			11/20/09	262.49	52.498	49.86	249.30	(13.19) ST		
13			12/21/09	629.77	48.444	49.86	648.18	18.41 ST		
138				6,925.75	49.462		6,880.68	54.93	2.647	182.16
18	ULTRA PETROLEUM CORP-CAD	UPL	12/22/09	919.60	51.089	49.86	897.48	(22.12) ST		
14			12/30/09	709.31	50.665	49.86	698.04	(11.27) ST		
32				1,628.91	50.903		1,595.52	(33.39)		
20	UNITEDHEALTH GROUP INC	UNH	07/09/09	494.43	24.721	30.48	609.60	115.17 ST		
17			07/14/09	422.36	24.844	30.48	518.16	95.80 ST		
13			07/16/09	320.49	24.653	30.48	396.24	75.75 ST		
52			07/22/09	1,353.80	26.034	30.48	1,584.96	231.16 ST		
11			08/26/09	324.70	29.517	30.48	335.28	10.58 ST		
10			08/27/09	291.52	29.152	30.48	304.80	13.28 ST		
17			09/16/09	489.44	28.79	30.48	518.16	28.72 ST		
140				3,696.74	26.405		4,267.20	570.46	0.98	4.20
6	UNIVERSAL CORP VA	UVV	11/18/09	273.94	45.657	45.61	273.66	(.28) ST		
6			11/30/09	255.28	42.546	45.61	273.66	18.38 ST		
12				529.22	44.102		547.32	18.10	4.121	22.56
3	UNUM GROUP	UNM	10/30/08	45.30	15.098	19.52	58.56	13.26 LT	1.69	99
11	WELLCARE HLTH PLANS INC	WCG	08/20/09	272.35	24.759	36.76	404.36	132.01 ST		
7	WELLPOINT INC	WLP	06/10/09	321.62	45.945	58.29	408.03	86.41 ST		
11			06/17/09	524.96	47.724	58.29	641.19	116.23 ST		
21			07/02/09	1,049.17	49.96	58.29	1,224.09	174.92 ST		
27			07/16/09	1,336.13	49.486	58.29	1,573.83	237.70 ST		
6			08/21/09	330.94	55.156	58.29	349.74	18.80 ST		
72				3,562.92	49.484		4,196.88	634.06		
5	WHIRLPOOL CORP	WHR	12/11/09	374.55	74.909	80.66	403.30	28.75 ST		
4			12/15/09	308.44	77.11	80.66	322.64	14.20 ST		



Ref 00001932 00067248

HAROLD BLIUMENSTEIN

Account number 600-36141-19 190

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
5	WHIRLPOOL CORP	WHR	12/22/09	\$ 419.84	\$ 83.968	\$ 80.66	\$ 403.30	(\$ 16.54) ST		
14				1,102.83	78.774		1,129.24	26.41	2.132	24.08
Total common stocks and options				\$ 289,024.78			\$ 314,936.82	\$ 23,999.25 ST	1.45	
Total portfolio value				\$ 296,529.24			\$ 322,441.28	\$ 1,912.79 LT	1.42	\$ 4,587.95
							\$ 23,999.25 ST	\$ 1,912.79 LT		\$ 4,603.21

2009 Form 990-PF

HAROLD BLUMENSTEIN Foundation Corp Account number 600-36142-18 190

Common stocks & options Part II - Balance Sheet, Line 10(b) - Investments Corp. stock

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
20	COOPER INDUSTRIES PLC	CBF	09/15/09	\$ 745.69	\$ 37.284	\$ 42.64	\$ 852.80	\$ 107.11	ST	
24	DUBLIN-USD		09/17/09	911.87	37.994	42.64	1,023.36	111.49	ST	
17			09/18/09	641.42	37.73	42.64	724.88	83.46	ST	
22			09/22/09	819.20	37.236	42.64	938.08	118.88	ST	
83				3,118.18	37.568		3,539.12	420.94	2.345	83.00
31	WILLIS GROUP HOLDINGS LTD	WSH	01/23/09	744.45	24.014	26.38	817.78	73.33	ST	
30			01/26/09	713.73	23.791	26.38	791.40	77.67	ST	
9			01/27/09	215.76	23.972	26.38	237.42	21.66	ST	
26			02/04/09	599.10	23.042	26.38	685.88	86.78	ST	
16			07/31/09	399.24	24.952	26.38	422.08	22.84	ST	
112				2,672.28	23.86		2,954.56	282.28	3.942	116.48
10	ACE LIMITED	ACE	08/09/06	488.45	48.845	50.40	504.00	15.55	LT	
5			07/17/08	245.00	49.00	50.40	252.00	7.00	LT	
21			07/18/08	1,022.92	48.71	50.40	1,058.40	35.48	LT	
9			01/22/09	409.47	45.496	50.40	453.60	44.13	ST	
6			04/09/09	266.96	44.494	50.40	302.40	35.44	ST	
10			09/16/09	510.28	51.027	50.40	504.00	(6.28)	ST	
61				2,943.08	48.247		3,074.40	131.32	2.46	75.64
14	WEATHERFORD INTERNATIONAL LTD -CHF	WFT	10/15/08	199.10	14.221	17.91	250.74	51.64	LT	
35			12/11/08	371.12	10.603	17.91	626.85	255.73	LT	
66			01/09/09	856.28	12.973	17.91	1,182.06	325.78	ST	
37			07/27/09	686.84	18.563	17.91	662.67	(24.17)	ST	
38			10/26/09	727.59	19.147	17.91	680.58	(47.01)	ST	
13			10/27/09	248.13	19.086	17.91	232.83	(15.30)	ST	
59			11/02/09	1,035.36	17.548	17.91	1,056.69	21.33	ST	
25			11/27/09	409.13	16.365	17.91	447.75	38.62	ST	
287				4,533.55	15.796		5,140.17	606.62		
19	FLEXTRONICS INTL LTD USD	FLEX	12/28/06	217.42	11.443	7.31	138.89	(78.53)	LT	
42			03/06/07	461.86	10.996	7.31	307.02	(154.84)	LT	
33			06/06/07	362.64	10.989	7.31	241.23	(121.41)	LT	
16			08/07/07	177.52	11.094	7.31	116.96	(60.56)	LT	
15			08/15/07	165.84	11.056	7.31	109.65	(56.19)	LT	
20			08/16/07	218.78	10.939	7.31	146.20	(72.58)	LT	
24			03/11/08	230.36	9.598	7.31	175.44	(54.92)	LT	
30			04/15/08	278.84	9.294	7.31	219.30	(59.54)	LT	



Ref: 00001932 00067268

HAROLD BLUMENSTEIN Account number 600-36142-18 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	FLEXTRONICS INTL LTD USD	FLEX	04/22/08	\$ 58.00	\$ 9.667	\$ 7.31	\$ 43.86	(\$ 14.14) LT		
84			05/16/08	886.75	10.556	7.31	614.04	(272.71) LT		
40			10/08/08	200.56	5.013	7.31	292.40	91.84 LT		
36			10/24/08	135.19	3.755	7.31	263.16	127.97 LT		
49			10/27/08	187.04	3.817	7.31	358.19	171.15 LT		
13			10/28/08	50.88	3.914	7.31	95.03	44.15 LT		
42			11/02/09	278.12	6.621	7.31	307.02	28.90 ST		
469				3,909.80	8.336		3,428.39	(481.41)		
45	ABBOTT LABORATORIES	ABT	06/05/09	2,014.09	44.757	53.99	2,429.55	415.46 ST		
15			06/08/09	665.73	44.382	53.99	809.65	144.12 ST		
24			07/01/09	1,121.04	46.709	53.99	1,295.76	174.72 ST		
84				3,800.86	45.248		4,535.16	734.30	2.963	134.40
10	AETNA INC NEW	AET	09/16/08	397.00	39.70	31.70	317.00	(80.00) LT		
24			09/17/08	925.33	38.555	31.70	760.80	(164.53) LT		
25			10/30/08	647.27	25.89	31.70	792.50	145.23 LT		
38			11/04/08	925.84	24.364	31.70	1,204.60	278.76 LT		
97				2,895.44	29.85		3,074.90	179.46	1.26	3.88
81	ALTRIA GROUP INC	MO	11/26/08	1,275.37	15.745	19.63	1,590.03	314.66 LT		
15			12/03/08	226.72	15.114	19.63	294.45	67.73 LT		
64			12/04/08	965.82	15.09	19.63	1,256.32	290.50 LT		
9			12/09/08	134.76	14.973	19.63	176.67	41.91 LT		
12			12/17/08	179.44	14.953	19.63	235.56	56.12 LT		
29			04/24/09	494.66	17.057	19.63	569.27	74.61 ST		
15			05/01/09	244.70	16.313	19.63	294.45	49.75 ST		
33			09/21/09	593.41	17.982	19.63	647.79	54.38 ST		
258				4,114.88	15.949		5,064.54	949.66	6.928	350.88
16	AMERIPRISE FINANCIAL INC	AMP	09/19/08	683.46	43.091	38.82	621.12	(68.34) LT		
16			09/22/08	668.10	41.631	38.82	621.12	(44.98) LT		
38			09/26/08	1,531.29	40.297	38.82	1,475.16	(56.13) LT		
38			10/31/08	767.58	20.199	38.82	1,475.16	707.58 LT		
108				3,654.43	33.837		4,192.56	538.13	1.751	73.44
4	ANADARKO PETROLEUM CORP	APC	08/04/08	222.78	55.695	62.42	249.68	26.90 LT		
20			08/25/08	1,156.78	57.839	62.42	1,248.40	91.62 LT		
9			12/15/08	341.21	37.912	62.42	561.78	220.57 LT		



Ref: 00001932 00067269

HAROLD BLUMENSTEIN Account number 600-36142-18 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
20	ANADARKO PETROLEUM CORP	APC	03/16/09	\$ 733.63	\$ 37.681	\$ 62.42	\$ 1,248.40	\$ 494.77 ST		
53				2,474.40	46.687		3,308.26	833.86	576	19.08
76	APPLIED MATERIALS INC DELAWARE	AMAT	09/24/09	991.08	13.04	13.94	1,059.44	68.36 ST		
160			10/01/09	2,077.02	12.981	13.94	2,230.40	153.38 ST		
236				3,068.10	13.00		3,289.84	221.74	1,721	56.64
17	BP PLC SPONS ADR	BP	01/22/08	1,064.70	62.629	57.97	985.49	(79.21) LT		
22			01/23/08	1,298.04	59.001	57.97	1,275.34	(22.70) LT		
29			02/04/08	1,878.66	64.781	57.97	1,681.13	(197.53) LT		
25			04/17/08	1,690.16	67.606	57.97	1,449.25	(240.91) LT		
3			07/28/08	186.81	62.269	57.97	173.91	(12.90) LT		
13			04/07/09	516.82	39.755	57.97	753.61	236.79 ST		
109				6,635.19	60.873		6,318.73	(316.46)	5,796	366.24
45	BANK NEW YORK MELLON CORP	BK	09/08/09	1,277.25	28.383	27.97	1,258.65	(18.60) ST		
38			09/10/09	1,097.01	28.868	27.97	1,062.86	(34.15) ST		
20			09/11/09	586.05	29.302	27.97	559.40	(26.65) ST		
10			10/14/09	276.05	27.604	27.97	279.70	3.65 ST		
113				3,236.36	28.64		3,160.61	(75.75)	1,287	40.68
33	BAXTER INTL INC	BAX	12/21/09	1,920.64	58.201	58.68	1,936.44	15.80 ST		
23			12/30/09	1,356.21	58.965	58.68	1,349.64	(6.57) ST		
56				3,276.85	58.515		3,286.08	9.23	1,976	64.96
6	CVS CAREMARK CORP	CVS	10/06/06	171.94	28.657	32.21	193.26	21.32 LT		
26			10/10/06	782.13	30.082	32.21	837.46	55.33 LT		
38			11/08/06	1,132.18	29.794	32.21	1,223.98	91.80 LT		
6			08/04/08	218.28	36.38	32.21	193.26	(25.02) LT		
45			08/21/08	1,686.40	37.031	32.21	1,449.45	(216.95) LT		
6			10/05/09	209.99	34.997	32.21	193.26	(16.73) ST		
32			11/05/09	931.52	29.11	32.21	1,030.72	99.20 ST		
159				5,112.44	32.154		5,121.39	8.95	946	48.50
6	CHEVRON CORP	CVX	02/11/08	480.79	80.13	76.99	461.94	(18.85) LT		
5			07/28/08	415.08	83.016	76.99	384.95	(30.13) LT		
16			10/15/08	1,017.48	63.592	76.99	1,231.84	214.36 LT		
5			12/23/08	349.66	69.931	76.99	384.95	35.29 LT		
15			02/11/09	1,070.98	71.398	76.99	1,154.85	83.87 ST		
6			04/24/09	402.04	67.006	76.99	461.94	59.90 ST		



Ref: 00001932 00067270

Account number 600-36142-18 190

HAROLD BLUMENSTEIN

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
10	CHEVRON CORP	CVX	07/17/09	\$ 648.52	\$ 64.852	\$ 76.99	\$ 769.90	\$ 121.38 ST		
63				4,384.55	69.596		4,850.37	465.82	3.532	171.36
14	DST SYS INC DEL	DST	05/12/09	525.43	37.531	43.55	609.70	84.27 ST		
11			05/14/09	410.88	37.352	43.55	479.05	68.17 ST		
10			05/19/09	392.58	39.257	43.55	435.50	42.92 ST		
14			05/20/09	552.95	39.496	43.55	609.70	56.75 ST		
49				1,881.84	38.405		2,133.95	252.11		
21	DEVON ENERGY CORP NEW	DVN	08/06/09	1,327.45	63.212	73.50	1,543.50	216.05 ST		
23			08/07/09	1,470.47	63.933	73.50	1,690.50	220.03 ST		
25			08/25/09	1,601.92	64.076	73.50	1,837.50	235.58 ST		
69				4,399.84	63.766		5,071.50	671.66	.87	44.16
27	DIRECTV CL A	DTV	10/08/08	608.67	22.543	33.35	900.45	291.78 LT		
45			10/16/08	889.28	19.761	33.35	1,500.75	611.47 LT		
9			10/20/08	188.75	20.972	33.35	300.15	111.40 LT		
18			08/14/09	441.07	24.503	33.35	600.30	159.23 ST		
99				2,127.77	21.493		3,301.65	1,173.88		
1	ENTERGY CORPORATION-NEW	ETR	02/24/09	67.57	67.569	81.84	81.84	14.27 ST		
6			02/25/09	411.04	68.506	81.84	491.04	80.00 ST		
11			02/26/09	755.42	68.674	81.84	900.24	144.82 ST		
8			02/27/09	539.59	67.448	81.84	654.72	115.13 ST		
13			04/08/09	881.00	67.769	81.84	1,063.92	182.92 ST		
39				2,654.62	68.067		3,191.76	537.14	3.665	117.00
10	HALLIBURTON CO HOLDINGS CO	HAL	10/15/08	193.03	19.302	30.09	300.90	107.87 LT		
43			11/06/08	776.89	18.067	30.09	1,293.87	516.98 LT		
10			11/07/08	189.84	18.984	30.09	300.90	111.06 LT		
52			07/07/09	981.53	18.875	30.09	1,564.68	583.15 ST		
115				2,141.29	18.62		3,460.35	1,319.06	1.196	41.40
39	HESS CORP	HES	10/22/09	2,369.28	60.75	60.50	2,359.50	(9.78) ST		
15			10/27/09	852.79	56.852	60.50	907.50	54.71 ST		
27			10/28/09	1,533.23	56.786	60.50	1,633.50	100.27 ST		
81				4,755.30	58.707		4,900.50	145.20	661	32.40
44	HONEYWELL INTL INC	HON	07/07/09	1,289.79	29.313	39.20	1,724.80	435.01 ST		
39			07/14/09	1,208.15	30.978	39.20	1,528.80	320.65 ST		
20			08/17/09	697.71	34.885	39.20	784.00	86.29 ST		



Ref 00001932 00067271

HAROLD BLUMENSTEIN Account number 600-36142-18 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
22	HONEYWELL INTL INC	HON	10/20/09	\$ 837.28	\$ 38.058	\$ 39.20	\$ 862.40	\$ 25.12 ST		
125				4,032.93	32.263		4,900.00	867.07	3.086	151.25
18	HOSPIRA INC	HSP	04/29/09	588.79	32.71	51.00	918.00	329.21 ST		
8			04/30/09	262.03	32.753	51.00	408.00	145.97 ST		
12			05/01/09	382.98	31.914	51.00	612.00	229.02 ST		
39			05/13/09	1,306.86	33.509	51.00	1,989.00	682.14 ST		
77				2,510.66	32.996		3,927.00	1,386.34		
19	INGRAM MICRO INC CLASS A	IM	11/15/07	392.14	20.639	17.45	331.55	(60.59) LT		
20			11/16/07	406.29	20.314	17.45	349.00	(57.29) LT		
18			11/28/07	364.50	20.25	17.45	314.10	(50.40) LT		
20			11/29/07	412.00	20.60	17.45	349.00	(63.00) LT		
12			11/30/07	241.12	20.093	17.45	209.40	(31.72) LT		
32			04/21/08	544.95	17.029	17.45	558.40	13.45 LT		
12			08/24/09	198.57	16.547	17.45	209.40	10.83 ST		
133				2,559.57	19.245		2,320.85	(238.72)		
4	INTEL CORP	INTC	12/13/06	83.06	20.764	20.40	81.60	(1.46) LT		
11			01/11/08	241.42	21.947	20.40	224.40	(17.02) LT		
27			09/08/08	561.99	20.814	20.40	550.80	(11.19) LT		
25			10/27/08	352.48	14.099	20.40	510.00	157.52 LT		
45			11/11/08	629.75	13.994	20.40	918.00	288.25 LT		
23			04/24/09	355.96	15.476	20.40	469.20	113.24 ST		
18			05/07/09	280.00	15.555	20.40	367.20	87.20 ST		
153				2,504.66	16.37		3,121.20	616.54	2.745	85.68
20	INTERPUBLIC GROUP OF COS INC	IPG	05/01/06	190.57	9.528	7.38	147.60	(42.97) LT		
39			05/03/06	380.75	9.762	7.38	287.82	(92.93) LT		
24			05/09/06	240.19	10.007	7.38	177.12	(63.07) LT		
32			05/11/06	310.98	9.718	7.38	236.16	(74.82) LT		
26			05/22/07	301.67	11.602	7.38	191.88	(109.79) LT		
42			05/23/07	501.30	11.935	7.38	309.96	(191.34) LT		
54			12/19/07	436.47	8.119	7.38	398.52	(39.95) LT		
77			12/20/07	616.22	8.002	7.38	568.26	(47.96) LT		
314				2,980.15	9.491		2,317.32	(662.83)		
11	KBR INC	KBR	05/05/09	188.86	17.169	19.00	209.00	20.14 ST		
28			05/06/09	481.18	17.184	19.00	532.00	50.82 ST		
22			05/11/09	386.01	17.546	19.00	418.00	31.99 ST		



Ref: 00001932 00067272

HAROLD BLUMENSTEIN Account number 600-36142-18 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
33	KBR INC	KBR	05/15/09	\$ 565.17	\$ 17.126	\$ 19.00	\$ 627.00	\$ 61.83 ST		
17			10/30/09	354.02	20.824	19.00	323.00	(31.02) ST		
28			11/23/09	533.53	19.054	19.00	532.00	(1.53) ST		
29			11/24/09	549.78	18.958	19.00	551.00	1.22 ST		
168				3,058.55	18.206		3,192.00	133.45	1.052	33.60
117	MICROSOFT CORP	MSFT	05/12/09	2,314.05	19.778	30.48	3,566.16	1,252.11 ST		
28			05/22/09	561.07	20.038	30.48	853.44	292.37 ST		
20			07/24/09	465.10	23.255	30.48	609.60	144.50 ST		
165				3,340.22	20.244		5,029.20	1,688.98	1.706	85.80
38	NEWS CORP CLASS A NEW	NWSA	10/08/08	334.84	10.127	13.69	520.22	135.38 LT		
2			10/13/08	19.47	9.735	13.69	27.38	7.91 LT		
114			10/14/08	1,124.30	9.862	13.69	1,560.66	436.36 LT		
105			10/15/08	980.58	9.338	13.69	1,437.45	456.87 LT		
259				2,509.19	9.688		3,545.71	1,036.52	876	31.08
4	NORFOLK SOUTHERN CORP	NSC	02/27/09	127.65	31.913	52.42	209.68	82.03 ST		
17			03/03/09	499.75	29.397	52.42	891.14	391.39 ST		
14			03/04/09	424.12	30.294	52.42	733.88	309.76 ST		
19			04/14/09	694.70	36.563	52.42	995.98	301.28 ST		
23			05/07/09	849.92	36.952	52.42	1,205.66	355.74 ST		
16			06/15/09	626.81	39.175	52.42	838.72	211.91 ST		
93				3,222.95	34.655		4,875.06	1,652.11	2.594	126.48
3	PHILIP MORRIS INTL INC	PM	08/03/07	140.32	46.775	48.19	144.57	4.25 LT		
5			04/10/08	246.49	49.298	48.19	240.95	(5.54) LT		
20			04/22/08	1,004.61	50.23	48.19	963.80	(40.81) LT		
19			05/19/08	1,018.92	53.627	48.19	915.61	(103.31) LT		
6			06/10/08	296.40	49.40	48.19	289.14	(7.26) LT		
13			07/01/08	643.37	49.49	48.19	626.47	(16.90) LT		
18			10/16/08	726.05	40.336	48.19	867.42	141.37 LT		
9			02/12/09	324.87	36.096	48.19	433.71	108.84 ST		
93				4,401.03	47.323		4,481.67	80.64	4.814	215.76
13	RALCORP HLDGS INC NEW	RAH	11/24/09	749.69	57.668	59.71	776.23	26.54 ST		
12			11/25/09	692.26	57.688	59.71	716.52	24.26 ST		
6			12/07/09	348.80	58.132	59.71	358.26	9.46 ST		
3			12/08/09	173.54	57.847	59.71	179.13	5.59 ST		



December 1 - December 31, 2009

Ref 00001932 00067273

Account number 600-36142-18 190

HAROLD BLUMENSTEIN

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
6	RALCORP HLDGS INC NEW	RAH	12/09/09	\$ 347.91	\$ 57.984	\$ 59.71	\$ 358.26	\$ 10.35 ST		
40				2,312.20	57.805		2,388.40	76.20		
89	SYMANTEC CORP	SYMC	09/08/09	1,393.44	15.656	17.89	1,592.21	198.77 ST		
35			09/09/09	551.58	15.759	17.89	626.15	74.57 ST		
62			09/10/09	986.80	15.916	17.89	1,109.18	122.38 ST		
82			09/18/09	1,284.76	15.667	17.89	1,466.98	182.22 ST		
32			09/21/09	498.54	15.579	17.89	572.48	73.94 ST		
300				4,715.12	15.717		5,367.00	651.88		
9	TARGET CORP	TGT	03/14/08	448.18	49.798	48.37	435.33	(12.85) LT		
8			06/24/08	395.94	49.492	48.37	386.96	(8.98) LT		
9			07/15/08	388.37	43.152	48.37	435.33	46.96 LT		
16			10/03/08	716.95	44.809	48.37	773.92	56.97 LT		
17			11/19/08	457.81	26.93	48.37	822.29	364.48 LT		
2			12/02/08	61.16	30.579	48.37	96.74	35.58 LT		
8			12/04/09	368.21	46.026	48.37	386.96	18.75 ST		
69				2,836.62	41.11		3,337.53	500.91	1.405	46.92
6	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	09/23/08	271.26	45.21	56.18	337.08	65.82 LT		
19			09/26/08	874.44	46.023	56.18	1,067.42	192.98 LT		
17			10/28/08	655.60	38.564	56.18	955.06	299.46 LT		
42				1,801.30	42.888		2,359.56	558.26	398	9.39
23.5	TIME WARNER INC	TWX	09/16/08	696.69	29.646	29.14	684.79	(11.90) LT		
21.6061			09/17/08	636.51	29.459	29.14	629.60	(6.91) LT		
-14.2933			09/22/08	428.58	29.984	29.14	416.51	(12.07) LT		
8.3101			09/23/08	242.60	29.193	29.14	242.16	(.44) LT		
6.648			10/13/08	138.07	20.768	29.14	193.72	55.65 LT		
8.6425			10/20/08	184.31	21.326	29.14	251.84	67.53 LT		
6			06/02/09	137.29	22.881	29.14	174.84	37.55 ST		
15			06/03/09	339.72	22.648	29.14	437.10	97.38 ST		
8			12/29/09	235.09	29.386	29.14	233.12	(1.97) ST		
112				3,038.86	27.133		3,263.68	224.82	2.573	84.00
9	UNILEVER NV NY SHS-NEW	UN	10/20/08	236.44	26.271	32.33	290.97	54.53 LT		
27			10/22/08	641.23	23.749	32.33	872.91	231.68 LT		
19			10/29/08	460.96	24.261	32.33	614.27	153.31 LT		
17			10/30/08	392.33	23.078	32.33	549.61	157.28 LT		



Ref: 00001932 00067274

HAROLD BLUMENSTEIN Account number 600-36142-18 190

Common stocks & options continued

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
32	UNILEVER NV NY SHS-NEW	UN	02/05/09	\$ 677.44	\$ 21.169	\$ 32.33	\$ 1,034.56	\$ 357.12 ST		
104				2,408.40	23.158		3,362.32	953.92	2.858	96.10
31	UNION PACIFIC CORP	UNP	10/23/09	1,772.64	57.181	63.90	1,980.90	208.26 ST		
6			10/26/09	348.34	58.056	63.90	383.40	35.06 ST		
17			10/27/09	978.49	57.558	63.90	1,086.30	107.81 ST		
54				3,099.47	57.398		3,450.60	351.13	1.69	58.32
58	WESTERN UNION COMPANY (THE)	WU	12/11/08	796.07	13.725	18.85	1,093.30	297.23 LT		
62			12/12/08	841.17	13.567	18.85	1,168.70	327.53 LT		
3			12/12/08	40.70	13.565	18.85	56.55	15.85 LT		
38			12/16/08	526.39	13.852	18.85	716.30	189.91 LT		
62			12/24/08	854.55	13.783	18.85	1,168.70	314.15 LT		
18			12/29/08	252.99	14.055	18.85	339.30	86.31 LT		
41			02/05/09	494.92	12.071	18.85	772.85	277.93 ST		
282				3,806.79	13.499		5,315.70	1,508.91	3.18	16.92
20	XTO ENERGY INC	XTO	08/28/08	1,019.41	50.97	46.53	930.60	(88.81) LT		
42			09/02/08	1,948.27	46.387	46.53	1,954.26	5.99 LT		
8			06/22/09	298.03	37.254	46.53	372.24	74.21 ST		
15			08/05/09	630.21	42.013	46.53	697.95	67.74 ST		
15			12/14/09	716.78	47.785	46.53	697.95	(18.83) ST		
100				4,612.70	46.127		4,653.00	40.30	1.074	50.00

Total common stocks and options

\$ 137,572.42

\$ 157,606.59

\$ 13,637.74 ST

1.85

Total portfolio value

\$ 143,124.97

\$ 163,159.14

\$ 13,637.74 ST

1.79

\$ 2,931.44

\$ 6,396.43 LT

\$ 2,931.99

\$ 6,396.43 LT



Reserved
Client Statement
2009 Year End Summary

HAROLD BLUMENSTEIN Foundation Corporation
Account Number 600-36141-19 190

38-2710389

2009 Form 990-PF
Part IV - Capital Gains/Losses

2 0 0 9 Y E A R E N D S U M M A R Y

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	4	ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	10/14/09	\$ 276 71	\$ 252 32		\$ 24 39
125000020	2	ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	10/16/09	139 95	126 16		13 79
125000030	9	AXIS CAPITAL HOLDINGS LTD-USD MorganStanley SmithBarney LLC acted as your agent	10/02/09	10/27/09	270 81	268 31		2 50
125000040	9	AXIS CAPITAL HOLDINGS LTD-USD MorganStanley SmithBarney LLC acted as your agent	10/05/09	10/29/09	265 20	272 20	(7.00)	

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	5	BUNGE LIMITED	03/02/09	05/08/09	\$ 273.84	\$ 218.60		\$ 55.24
	3		03/09/09		164.30	146.00		18.30
125000060	2	BUNGE LIMITED	03/09/09	05/15/09	111.13	97.34		13.79
	6		03/25/09		333.40	357.65	(24.25)	
125000070	4	BUNGE LIMITED	03/25/09	05/19/09	234.33	238.44	(4.11)	
	3		04/02/09		175.75	175.67		.08
125000080	7	BUNGE LIMITED	04/02/09	05/29/09	437.68	409.91		27.77
	5		04/06/09		312.63	290.76		21.87
125000090	3	BUNGE LIMITED	04/06/09	06/03/09	192.77	174.45		18.32
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125000100	6	BUNGE LIMITED	09/30/09	10/27/09	369.20	374.65	(5.45)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/02/09		246.14	245.02		1.12
125000110	3	BUNGE LIMITED	10/02/09	10/29/09	180.86	183.77	(2.91)	
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/05/09		120.58	122.08	(1.50)	
125000120	5	BUNGE LIMITED	10/05/09	11/04/09	293.78	305.18	(11.40)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000130	1	BUNGE LIMITED	10/05/09	11/10/09	58.45	61.04	(2.59)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000140	11	FRESH DEL MONTE PRODUCE	01/20/09	07/22/09	204.11	263.39	(59.28)	
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125000150	15	MONTPELIER RE HOLDINGS LTD -USD	07/24/09	11/30/09	247.50	230.56		16.94
		MorganStanley SmithBarney LLC acted as your agent						

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022805

Page 16 of 96
3 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000160	1	MONTPELIER RE HOLDINGS LTD	07/24/09	12/01/09	\$ 16 78	\$ 15 37		\$ 1 41
	15	-USD MorganStanley SmithBarney LLC acted as your agent	07/30/09		251 69	234 80		16 89
125000170	15	TRANSOCEAN LTD SWITZERLAND	01/28/09	05/29/09	1,188 99	843 08		345 91
	19	NEW	02/18/09		1,506 05	1,078 63		427 42
	1		02/19/09		79 26	59 89		19 37
125000180	6	TRANSOCEAN LTD SWITZERLAND	02/19/09	06/08/09	485 70	389 37		126 33
	5	NEW	02/25/09		404 75	291 01		113 74
	6	Morgan Stanley Smi	02/26/09		485 70	361 61		124 09
	16	th Barney LLC acted as yo	03/02/09		1,295 20	891 44		403 76
125000190	15	AFLAC INC	12/03/08	04/20/09	383 99	633 78	(249 79)	
	26		01/21/09		665 59	929 02	(263 43)	
125000200	6	AGCO CORP	10/28/08	01/12/09	135 99	145 27	(9 28)	3 40
	3		12/03/08		67 99	64 59		
125000210	7	AGCO CORP	12/03/08	01/21/09	144 70	150 70	(6 00)	
125000220	7	AGL RESOURCES INC	04/06/09	10/09/09	252 63	190 05		62 58
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000230	1	AGL RESOURCES INC	04/06/09	10/14/09	36 25	27 15		9 10
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000240	163	AT&T INC	01/12/09	02/18/09	3,752 49	4,257 61	(505 12)	
	25		01/15/09		575 54	615 46	(39 92)	
125000250	4	AT&T INC	01/15/09	02/19/09	93 35	98 47	(5 12)	
	31		01/28/09		723 47	793 36	(69 89)	
125000260	107	AT&T INC	05/08/09	11/18/09	2,804 04	2,713 27		90 77
	72	MorganStanley SmithBarney LLC	05/19/09		1,886 83	1,781 98		104 85
	47	acted as your agent	07/14/09		1,231 68	1,102 13		129 55
	33	in this transaction	07/23/09		864 81	845 90		18 91
125000270	22	AT&T INC	07/23/09	11/20/09	573 84	563 93		9 91
	51	MorganStanley SmithBarney LLC	07/29/09		1,330 27	1,315 83		14 44
	12	acted as your agent	08/03/09		313 00	313 12	(.12)	
	24	in this transaction	09/03/09		626 01	601 59		24 42
	20		09/09/09		521 68	518 33		3 35

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022806

Page 4 of 90
4 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000280	4	AT&T INC	09/09/09	11/25/09	\$ 108 77	\$ 103 67		\$ 5 10
	31	MorganStanley SmithBarney LLC	09/15/09		842 95	821 30		21 65
	26	acted as your agent in this transaction.	09/16/09		706 98	687 63		19 35
125000290	103	AT&T INC	09/16/09	11/30/09	2,758 21	2,724 08		34 13
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000300	24	ABBOTT LABORATORIES	05/07/09	05/08/09	1,080 25	1,066 21		14 04
125000310	7	AEROPOSTALE INC	04/16/09	08/13/09	253 70	211 27		42 43
	7	Morgan Stanley Smit	04/20/09		253 70	207 40		46 30
	8	th Barney LLC acted as yo	04/28/09		289 94	268 00		21 94
		ur agent in this transa						
125000320	12	ALASKA AIR GROUP INC	12/08/08	05/01/09	203 82	325 95	(122 13)	
	1		12/29/08		16 98	28 28	(11 30)	
125000330	7	ALASKA AIR GROUP INC	12/29/08	05/15/09	111 55	197 95	(86 40)	
	8		01/20/09		127 48	230 90	(103 42)	
125000340	11	ALASKA AIR GROUP INC	01/27/09	05/19/09	172 95	273 71	(100 76)	
	2		02/03/09		31 44	53 18	(21 74)	
125000350	6	ALASKA AIR GROUP INC	02/03/09	05/29/09	92 41	159 55	(67 14)	
125000360	39	ALCOA INC	12/29/08	01/20/09	337 74	379 86	(42 12)	
125000370	11	ALCOA INC	12/29/08	01/21/09	90 10	107 14	(17 04)	
	26		12/31/08		212 97	291 51	(78 54)	
125000380	29	ALCOA INC	12/31/08	01/27/09	248 17	325 14	(76 97)	
	5		01/12/09		42 79	50 17	(7 38)	
125000390	24	ALCOA INC	01/12/09	01/28/09	211 17	240 80	(29 63)	
125000400	27	ALCOA INC	01/12/09	02/03/09	215 58	270 89	(55 31)	
125000410	2	ALCOA INC	01/12/09	02/05/09	16 18	20 07	(3 89)	
125000420	9	ALLEGHENY TECHNOLOGIES INC	01/20/09	04/20/09	245 26	226 06		19 20
	4		01/21/09		109 00	87 01		21 99
125000430	5	ALLEGHENY TECHNOLOGIES INC	01/21/09	04/28/09	150 60	108 76		41 84
	15		01/28/09		451 79	370 80		80 99
	5		02/03/09		150 60	114 20		36 40
125000440	9	ALLEGHENY TECHNOLOGIES INC	02/03/09	05/01/09	303 99	205 56		98 43
	11		02/05/09		371 54	269 67		101 87

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00002979 00022807

Page 12 of 90
5 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000450	10	ALLEGHENY TECHNOLOGIES INC	02/06/09	05/15/09	\$ 325 48	\$ 251 55		\$ 73 93
15			02/26/09		488 22	306 20		182 02
3			03/12/09		97 64	59 02		38 62
125000460	12	ALLEGHENY TECHNOLOGIES INC	03/12/09	05/19/09	429 44	236 08		193 36
125000470	2	ALLEGHENY TECHNOLOGIES INC	03/12/09	05/21/09	68 56	39 35		29 21
125000480	7	ALLIANCE DATA SYSTEMS CORP	10/28/08	02/06/09	293 46	310 06	(16 60)	
1			10/29/08		41 92	45 92	(4 00)	
125000490	4	ALLIANCE DATA SYSTEMS CORP	10/29/08	03/12/09	123 29	183 69	(60 40)	
6			10/30/08		184 94	291 66	(106 72)	
2			11/14/08		61 65	90 44	(28 79)	
125000500	9	ALLIANCE DATA SYSTEMS CORP	11/14/08	03/19/09	299 48	406 99	(107 51)	
3			11/17/08		99 82	116 41	(16 59)	
125000510	6	ALLIANCE DATA SYSTEMS CORP	11/17/08	03/24/09	214 90	232 81	(17 91)	
6			11/18/08		214 90	240 24	(25 34)	
125000520	5	ALLIANCE DATA SYSTEMS CORP	11/19/08	03/25/09	178 04	199 91	(21 87)	
2			11/20/08		71 22	76 82	(5 60)	
125000530	3	ALLIANCE DATA SYSTEMS CORP	11/20/08	04/01/09	106 52	115 24	(8 72)	
125000540	10	ALLIANT ENERGY CORP-USD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	07/16/09	260 50	223 78		36 72
125000580	5	ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/03/09	07/02/09	121 72	107 41		14 31
125000590	38	ALLSTATE CORP	02/03/09	07/22/09	949 24	816 35		132 89
52		Morgan Stanley Smi	05/19/09		1,298 96	1,406 96	(108 00)	
2		th Barney LLC acted as yo ur agent in this transa	06/08/09		49 96	50 74	(78)	
125000600	29	ALLSTATE CORP	06/08/09	07/23/09	748 34	735 78		12 56
11		Morgan Stanley Smi	06/09/09		283 85	280 04		3 81
		th Barney LLC acted as yo ur agent in this transa						
125000610	6	ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	07/24/09	157 96	152 75		5 21

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022808

Page 13 of 90
6 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000620	22	ALTRIA GROUP INC	07/02/09	11/18/09	\$ 424 65	\$ 359 65		\$ 65 00
	113	MorganStanley SmithBarney LLC	07/22/09		2,181 13	1,960 10		221 03
	32	acted as your agent	07/23/09		617 67	557 67		60 00
	2	in this transaction	07/24/09		38 60	34 59		4 01
125000630	22	ALTRIA GROUP INC	07/24/09	11/20/09	417 67	380 49		37 18
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125000640	5	AMEDISYS INC	10/14/08	06/10/09	152 13	259 30	(107 17)	
	3	Morgan Stanley Sml	10/15/08		91 28	146 16	(54 88)	
		th Barney LLC acted as yo						
		ur agent in this transa						
125000650	2	AMEDISYS INC	10/15/08	06/17/09	60 22	97 44	(37 22)	
	5	Morgan Stanley Sml	10/28/08		150 54	227 23	(76 69)	
	1	th Barney LLC acted as yo	10/30/08		30 11	54 93	(24 82)	
		ur agent in this transa						
125000660	4	AMEDISYS INC	10/30/08	06/19/09	127 05	219 70	(92 65)	
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000670	16	AMEREN CORP	04/06/09	11/03/09	382 69	370 84		11 85
	2	MorganStanley SmithBarney LLC	04/16/09		47 84	44 50		3 34
		acted as your agent						
		in this transaction						
125000680	20	AMEREN CORP	04/16/09	11/18/09	516 63	444 98		71 65
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125000690	5	AMEREN CORP	04/16/09	11/20/09	126 17	111 24		14 93
	8	MorganStanley SmithBarney LLC	04/20/09		201 86	177 53		24 33
		acted as your agent						
		in this transaction						
125000700	9	AMEREN CORP	04/20/09	11/25/09	233 44	199 72		33 72
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125000710	10	AMERICAN ELECTRIC POWER CO INC	12/17/08	01/21/09	318 27	298 93		19 34

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022809

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000720	8	AMERICAN ELECTRIC POWER CO INC	12/17/08	02/06/09	\$ 266 42	\$ 239 14		\$ 27 28
	6		01/28/09		199 82	189 57		10 25
125000730	14	AMERICAN ELECTRIC POWER CO INC	01/28/09	04/06/09	367 56	442 34	(74 78)	
	7		02/09/09		183 78	229 41	(45 63)	
125000740	16	AMERICAN ELECTRIC POWER CO INC	02/09/09	04/16/09	429 09	524 38	(95 29)	
	8		02/20/09		214 54	236 60	(22 06)	
125000750	17	AMERICAN ELECTRIC POWER CO INC	02/20/09	04/20/09	457 47	502 77	(45 30)	
	5		03/09/09		134 55	121 99		12 56
125000760	14	AMERICAN ELECTRIC POWER CO INC	03/09/09	04/22/09	368 56	341 56		27 00
	3		03/12/09		78 98	74 12		4 86
125000770	13	AMERICAN ELECTRIC POWER CO INC	03/12/09	04/27/09	338 30	321 21		17 09
125000780	18	AMERICAN ELECTRIC POWER CO INC	09/02/09	12/04/09	605 67	555 51		50 16
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000820	6	AMERICAN FINL GROUP INC OHIO	07/22/09	10/29/09	152 71	141 92		10 79
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000830	8	AMERICAN FINL GROUP INC OHIO	07/22/09	11/04/09	197 35	189 23		8 12
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/23/09		49 34	48 50		84
125000840	8	AMERICAN FINL GROUP INC OHIO	07/23/09	11/18/09	197 81	193 99		3 82
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09		74 18	72 82		1 36
125000850	7	AMERICAN FINL GROUP INC OHIO	07/24/09	12/07/09	168 12	169 90	(1 78)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000860	25	AMERICAN TOWER CORP-CLASS A	11/14/08	03/12/09	731 31	676 35		54 96
	11		12/16/08		321 78	298 97		22 81
125000870	9	AMERIGROUP CORP	06/09/09	09/30/09	198 00	251 88	(53 88)	
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/10/09		44 00	55 46	(11 46)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022810

Page 36 of 90
8 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000880	7	AMERIGROUP CORP	06/10/09	10/01/09	\$ 154 85	\$ 194 10	(\$ 39 25)	
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/09		110 60	141 04	(30 44)	
125000890	3	AMERIGROUP CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/09	10/02/09	66 21	84 62	(18 41)	
125000900	10	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/08/09	06/10/09	260 06	279 38	(19 32)	
125000910	9	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/08/09	06/11/09	234 85	251 45	(16 60)	
125000920	9	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	06/17/09	215 78	256 36	(40 58)	
125000930	7	AMERIPRISE FINANCIAL INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	06/25/09	165 13	199 39	(34 26)	
125000940	6	AMGEN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09	08/05/09	375 60	281 97		93 63
125000950	7	AMGEN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09	08/06/09	428 31	328 97		99 34
125000960	18	AMGEN INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09	08/10/09	1,084 22	845 93		238 29
	10	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/16/09		602 35	468 96		133 39

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref. 00002979 00022811

Page 16 of 90
7 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000970	3	AMGEN INC	04/16/09	08/20/09	\$ 180 81	\$ 140 69		\$ 40 12
	15	Morgan Stanley Sml	05/01/09		904 03	727 84		176 19
	9	th Barney LLC acted as yo	07/21/09		542 42	526 11		16 31
	6	ur agent in this transa	07/22/09		361 61	351 63		9 98
125000980	76	AMGEN INC	07/22/09	09/16/09	4,509 30	4,453 97		55 33
	7	Morgan Stanley Sml	07/28/09		415 33	434 64	(19 31)	
		th Barney LLC acted as yo						
		ur agent in this transa						
125000990	17	AON CORP	07/02/09	10/23/09	690 86	638 88		51 98
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125001000	8	AON CORP	07/20/09	10/27/09	327 43	299 42		28 01
	4	MorganStanley SmithBarney LLC	07/24/09		163 71	147 84		15 87
		acted as your agent						
		in this transaction						
125001010	5	AON CORP	07/24/09	10/29/09	203 91	184 79		19 12
	5	MorganStanley SmithBarney LLC	07/30/09		203 90	198 67		5 23
		acted as your agent						
		in this transaction						
125001020	9	AON CORP	07/30/09	11/03/09	347 25	357 61	(10 36)	
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125001030	1	AON CORP	07/30/09	11/18/09	39 96	39 73		23
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125001040	7	APACHE CORP	06/26/09	11/03/09	674 68	506 97		167 71
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125001050	3	APACHE CORP	06/26/09	11/30/09	286 37	217 27		69 10
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022812

Page 17 of 90
10 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001060	4	APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/26/09	12/11/09	\$ 381 27	\$ 289 69		\$ 91 58
125001070	13	APOLLO GROUP INC CL A	03/19/09	03/31/09	1,052 32	911 60		140 72
125001080	5	APOLLO GROUP INC CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	06/03/09	322 89	347 13	(24 24)	
125001090	4	APOLLO GROUP INC CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	06/09/09	258 86	277 70	(18 84)	
125001100	5 2 17 23 4 4	APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/16/09 04/17/09 04/20/09 04/28/09 05/15/09 05/19/09	07/02/09	701 71 280 68 2,385 80 3,227 85 561 36 561 36	601 59 245 21 2,034 59 2,883 35 493 11 507 55		100 12 35 47 351 21 344 50 68 25 53 81
125001110	7 13	APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09 05/28/09	07/10/09	968 01 1,797 72	888 22 1,743 48		79 79 54 24
125001120	7	APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	07/16/09	1,033 09	938 80		94 29
125001130	10	APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	07/20/09	1,528 01	1,341 14		186 87
125001140	5	APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	07/22/09	790 29	670 57		119 72
125001150	36 5	ARCHER-DANIELS-MIDLAND CO ur agent in this transa	10/28/08 10/30/08	03/09/09	944 26 131 15	702 42 110 66		241 84 20 49

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022813

Page 16 of 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001160	33	ARCHER-DANIELS-MIDLAND CO	10/30/08	04/28/09	\$ 800 76	\$ 730 32		\$ 70 44
	12		11/14/08		291 19	300 35	(9 16)	
125001170	42	ARCHER-DANIELS-MIDLAND CO	11/14/08	05/01/09	1,067 44	1,051 23		16 21
125001180	25	ARCHER-DANIELS-MIDLAND CO	11/14/08	06/03/09	689 48	625 73		63 75
	52	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/17/08		1,434 13	1,344 37		89 76
125001190	1	ARCHER-DANIELS-MIDLAND CO	11/17/08	07/02/09	26 77	25 85		92
	36	Morgan Stanley Sml	11/18/08		963 72	920 95		42 77
	26	th Barney LLC acted as yo	11/19/08		696 02	611 94		84 08
	33	ur agent in this transa	11/20/08		883 41	765 38		118 03
	3		12/03/08		80 32	74 18		6 14
125001200	33	ARCHER-DANIELS-MIDLAND CO	12/03/08	07/08/09	878 11	815 94		62 17
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001210	11	ARROW ELECTRONICS INC	10/07/08	05/29/09	264 09	253 99		10 10
	10		01/28/09		240 09	199 84		40 25
125001220	4	ARROW ELECTRONICS INC	01/28/09	06/10/09	90 84	79 94		10 90
	15	Morgan Stanley Sml	02/20/09		340 66	252 97		87 69
	2	th Barney LLC acted as yo ur agent in this transa	03/12/09		45 42	33 78		11 64
125001230	11	ARROW ELECTRONICS INC	03/12/09	06/30/09	231 49	185 81		45 68
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001240	11	AVNET INC	01/28/09	05/15/09	231 12	224 67		6 45
	8		02/03/09		168 09	151 16		16 93
125001250	3	AVNET INC	02/03/09	05/19/09	65 64	56 68		8 96
	11		03/16/09		240 69	187 44		53 25
	2		03/19/09		43 77	35 16		8 61
125001260	13	AVNET INC	03/19/09	05/29/09	299 29	228 53		70 76
	8		03/24/09		184 18	145 49		38 69
125001270	4	AVNET INC	03/24/09	06/03/09	87 82	72 75		15 07
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y

Ref 00002979 00022814

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001280	23	BB&T CORP	01/28/09	05/01/09	\$ 537.59	\$ 510.51		\$ 27.08
	24		02/03/09		560.96	449.07		111.89
	7		02/05/09		163.62	121.86		41.76
125001290	15	BB&T CORP	02/05/09	05/15/09	321.58	261.12		60.46
	32		02/06/09		686.03	601.80		84.23
	14		03/25/09		300.14	259.87		40.27
125001300	6	BJ'S WHOLESALE CLUB INC	04/10/09	01/12/09	191.63	219.69	(28.06)	
125001310	24	BANK NEW YORK MELLON CORP	12/19/08	03/19/09	619.52	650.07	(30.55)	
	28		12/29/08		722.77	723.93	(1.16)	
	10		12/30/08		258.13	267.39	(9.26)	
	4		01/27/09		103.26	94.67		8.59
125001320	14	BANK NEW YORK MELLON CORP	01/27/09	04/02/09	400.58	331.33		69.25
	46		02/18/09		1,316.21	1,085.72		230.49
125001330	55	BANK NEW YORK MELLON CORP	05/01/09	05/08/09	1,709.20	1,422.05		287.15
125001340	23	BANK NEW YORK MELLON CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	06/22/09	652.08	654.90	(2.82)	
125001350	8	BEST BUY INC	04/29/09	07/28/09	297.36	306.74	(9.38)	
	11	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09		408.88	415.89	(7.01)	
125001360	5	BEST BUY INC	05/01/09	07/29/09	184.47	189.04	(4.57)	
	6	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09		221.37	214.39		6.98
125001370	7	BEST BUY INC	05/15/09	07/31/09	262.43	250.12		12.31
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001380	7	BEST BUY INC	05/15/09	08/03/09	265.68	250.11		15.57
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125001390	13	BEST BUY INC	05/15/09	08/04/09	501.29	464.50		36.79
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						

2009 YEAR END SUMMARY

Ref 00002979 00022815

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001400	10	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/28/09	10/09/09	\$ 261 85	\$ 269 47	(\$ 7 62)	
125001410	9	BIG LOTS INC	04/28/09	10/14/09	237 34	242 52	(5 18)	
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/01/09		26 37	27 19	(82)	
125001420	10	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/01/09	10/16/09	270 47	271 85	(1 38)	
125001430	6	BIG LOTS INC	05/01/09	10/20/09	165 17	163 11		2 06
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09		110 12	97 68		12 44
125001440	10	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09	10/22/09	265 40	244 19		21 21
125001450	4	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09	10/27/09	104 43	97 67		6 76
125001460	13	BIOGEN IDEC INC	07/24/09	11/10/09	590 37	630 98	(40 61)	
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/28/09		227 06	245 81	(18 75)	
125001470	9	BIOGEN IDEC INC	07/28/09	11/18/09	414 76	442 46	(27 70)	
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/31/09		92 17	95 61	(3 44)	
125001480	3	BIOGEN IDEC INC	07/31/09	11/20/09	138 30	143 42	(5 12)	
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09		230 49	245 19	(14 70)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022816

Page 21 of 80
14 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001490	6	BIOPEN IDEC INC	08/20/09	11/30/09	\$ 283 07	\$ 294 22	(\$ 11 15)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/16/09		188 72	205 91	(17 19)	
125001500	5	BIOPEN IDEC INC	09/16/09	12/01/09	237 63	257 38	(19 75)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125001510	38	BOEING CO	07/16/08	06/10/09	1,965 50	2,467 25	(501 75)	
	13	Morgan Stanley Sml	10/02/08		672 41	701 39	(28 98)	
	10	th Barney LLC acted as yo	10/15/08		517 24	451 41		65 83
		ur agent in this transa						
125001520	1	BOEING CO	10/15/08	09/16/09	52 22	45 14		7 08
	16	Morgan Stanley Sml	10/24/08		835 52	734 30		101 22
	14	th Barney LLC acted as yo	10/27/08		731 08	627 16		103 92
	7	ur agent in this transa	10/29/08		365 54	355 01		10 53
	13		10/30/08		678 86	644 00		34 86
125001530	2	BOEING CO	10/30/08	09/25/09	103 27	99 08		4 19
	6	MorganStanley SmithBarney LLC	07/29/09		309 80	258 81		50 99
	10	acted as your agent	07/31/09		516 34	430 74		85 60
	12	in this transaction	08/13/09		619 61	555 89		63 72
	14		08/20/09		722 87	611 48		111 39
125001540	7	BOEING CO	08/20/09	09/28/09	363 19	305 74		57 45
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125001550	1	BOEING CO	08/20/09	09/29/09	54 91	43 68		11 23
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125001560	50	BRISTOL MYERS SQUIBB CO	04/06/09	07/31/09	1,070 89	1,021 75		49 14
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001570	31	BRISTOL MYERS SQUIBB CO	04/22/09	08/10/09	678 38	612 16		66 22
	40	Morgan Stanley Sml	07/02/09		875 33	790 18		85 15
	24	th Barney LLC acted as yo	07/22/09		525 20	490 06		35 14
	26	ur agent in this transa	07/23/09		568 97	541 27		27 70

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022817

Page 22 of 90
15 8/

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001580	14	BROADCOM CORP CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09	08/03/09	\$ 396 86	\$ 305 57		\$ 91 29
125001590	15	BROADCOM CORP CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09	08/04/09	430 51	327 40		103 11
125001600	5 6	BUCYRUS INTERNATIONAL INC	11/17/08 11/19/08	01/12/09	93 91 112 70	116 76 118 73	(22 85) (6 03)	
125001610	3 9	BUCYRUS INTERNATIONAL INC	11/19/08 11/20/08	01/21/09	51 41 154 25	59 36 138 20	(7 95)	16 05
125001620	1	BUCYRUS INTERNATIONAL INC	11/20/08	01/27/09	16 42	15 35		1 07
125001630	19	BURLINGTON NORTHERN SANTA FE	03/19/09	04/06/09	1,208 46	1,087 52		120 94
125001640	3 17	BURLINGTON NORTHERN SANTA FE	03/19/09 03/24/09	04/16/09	199 50 1,130 52	171 71 1,007 30		27 79 123 22
125001650	18 4	C I G N A CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09 07/23/09	11/03/09	505 05 112 23	430 92 97 84		74 13 14 39
125001660	9	C I G N A CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/23/09	11/04/09	270 26	220 14		50 12
125001670	11	CSX CORP	12/03/08	03/02/09	252 19	371 65	(119 46)	
125001680	9 16	CSX CORP	12/03/08 12/17/08	03/19/09	228 50 406 22	304 08 562 86	(75 58) (156 64)	
125001690	5 15 4	CSX CORP	12/17/08 12/18/08 12/19/08	03/24/09	137 01 411 02 109 60	175 90 507 03 130 93	(38 89) (96 01) (21 33)	
125001700	4 32	CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/19/08 12/29/08	11/30/09	189 81 1,518 47	130 92 971 31		58 89 547 16

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022818

Page 23 of 90
16 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001710	3	CSX CORP	12/29/08	12/01/09	\$ 145 83	\$ 91 06		\$ 54 77
	20	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/09		972 21	565 57		406 64
125001720	4	CSX CORP	04/06/09	12/30/09	195 51	113 12		82 39
	28	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/16/09		1,368 55	866 07		502 48
125001730	12	CVS CAREMARK CORP	08/13/09	11/05/09	345 02	414 09	(69 07)	
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09		316 27	378 88	(62 61)	
	18		09/16/09		517 53	659 24	(141 71)	
	11		09/25/09		316 28	389 08	(72 80)	
125001740	9	CAMPBELL SOUP CO	07/02/09	12/15/09	311 23	264 36		46 87
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125001750	5	CAPELLA EDUCATION CO	03/12/09	07/24/09	305 21	257 22		47 99
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001760	1	CAPELLA EDUCATION CO	03/12/09	08/05/09	63 06	51 45		11 61
	4	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/24/09		252 23	202 24		49 99
125001770	30	CAPITAL ONE FINL CORP	02/03/09	06/15/09	737 59	472 71		264 88
	6		02/05/09		147 52	88 00		59 52
125001780	18	CAPITAL ONE FINL CORP	02/05/09	05/19/09	464 31	263 98		200 33
	7		02/06/09		180 57	103 19		77 38
125001790	14	CAPITAL ONE FINL CORP	02/06/09	06/28/09	316 63	206 38		110 25
125001800	15	CAPITAL ONE FINL CORP	11/20/09	12/11/09	598 16	572 00		26 16
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125001810	13	CAPITAL ONE FINL CORP	11/20/09	12/15/09	521 38	495 73		25 65
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction	11/30/09		40 11	37 63		2 48

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022819

Page 24 of 96
17 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001820	12	CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/30/09	12/22/09	\$ 463 34	\$ 451 54		\$ 11 80
125001830	9	CAPITAL ONE FINL CORP	11/30/09	12/30/09	343 02	338 65		4 37
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction	12/07/09		266 79	266 55		24
125001840	26	CARDINAL HEALTH INC Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	04/06/09	07/02/09	776 24	852 02	(75 78)	
125001850	1	CARDINAL HEALTH INC Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	04/06/09	07/06/09	29 71	32 77	(3 06)	
125001860	34	CARNIVAL CORP (PAIRED STOCK)	11/14/08	02/03/09	626 78	664 20	(37 42)	
125001870	8	CARNIVAL CORP	11/14/08	02/05/09	151 54	156 28	(4 74)	
	22	(PAIRED STOCK)	11/17/08		416 72	424 25	(7 53)	
125001880	15	CARNIVAL CORP	11/17/08	04/06/09	367 97	289 26		78 71
	15	(PAIRED STOCK)	11/18/08		367 97	281 11		86 86
125001890	12	CARNIVAL CORP	11/18/08	04/16/09	327 07	224 89		102 18
	19	(PAIRED STOCK)	11/19/08		517 95	325 51		192 34
125001900	5	CARNIVAL CORP	11/19/08	04/17/09	134 29	85 66		48 63
	19	(PAIRED STOCK)	12/03/08		510 32	395 63		114 69
125001910	19	CARNIVAL CORP (PAIRED STOCK)	12/03/08	04/20/09	482 49	395 63		86 86
125001920	17	CARNIVAL CORP (PAIRED STOCK)	12/17/08	04/22/09	452 61	385 40		67 21
125001930	23	CARNIVAL CORP	12/17/08	05/01/09	607 93	521 43		86 50
	5	(PAIRED STOCK)	01/12/09		132 16	113 15		19 01
125001940	7	CARNIVAL CORP (PAIRED STOCK)	01/12/09	05/05/09	203 49	158 40		45 09

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022820

Page 26 of 90
18 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001950	26	CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Sml th Barney LLC acted as yo	06/10/09	06/22/09	\$ 641.76	\$ 621.34		\$ 20.42
125001960	8	CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Sml th Barney LLC acted as yo	06/10/09	08/10/09	239.62	191.18		48.44
125001970	3	CATERPILLAR INC	10/06/08	02/03/09	90.54	142.88	(52.34)	
	6		10/14/08		181.07	292.65	(111.58)	
	9		10/24/08		271.61	301.95	(30.34)	
	8		10/27/08		241.42	264.60	(23.18)	
125001980	2	CATERPILLAR INC	10/27/08	02/06/09	66.71	66.15		56
	22		10/30/08		733.77	812.08	(78.31)	
	1		12/03/08		33.35	37.33	(3.98)	
125001990	7	CATERPILLAR INC	12/03/08	02/09/09	232.22	261.28	(29.06)	
	6		01/21/09		199.04	231.59	(32.55)	
125002000	3	CATERPILLAR INC	01/21/09	02/12/09	91.47	115.79	(24.32)	
125002040	4	CEPHALON INC	10/30/08	04/06/09	273.08	278.40	(5.32)	
125002050	8	CEPHALON INC	11/14/08	05/15/09	525.52	593.12	(67.60)	
125002060	4	CEPHALON INC	12/03/08	05/19/09	251.79	295.75	(43.96)	
125002070	4	CEPHALON INC	12/17/08	05/29/09	228.35	309.63	(81.28)	
125002080	5	CEPHALON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/13/09	08/10/09	283.06	263.29		19.77
125002090	6	CEPHALON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/13/09	08/20/09	341.72	315.95		25.77
125002150	8	CHILDRENS PLACE RETAIL STORES Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/02/09	08/20/09	251.27	202.18		49.09

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022821

Page 26 of 90
19 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002160	2	CHILDRENS PLACE RETAIL STORES MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	10/20/09	\$ 71 76	\$ 50 55		\$ 21 21
125002170	4	CHIPOTLE MEXICAN GRILL CLASS B Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/10/09	08/20/09	313 24	292 36		20 88
125002180	4	CHIPOTLE MEXICAN GRILL CLASS B Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	08/27/09	300 74	292 64		8 10
125002210	16	CIMAREX ENERGY CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/26/09	09/30/09	694 68	453 75		240 93
125002220	10	CINCINNATI FINANCIAL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/26/09	07/17/09	229 36	220 02		9 34
125002230	2	CINCINNATI FINANCIAL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/26/09	07/22/09	47 36	44 00		3 36
125002240	7	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/27/09	09/29/09	535 44	513 09		22 35
125002250	12	COMERICA INC	01/12/09	01/20/09	163 14	197 77	(34 63)	
125002260	9	COMMERCIAL METALS CO	09/08/08	01/12/09	100 87	202 11	(101 24)	
125002370	5	COMTECH TELECOMMUNICATIONS CORP	11/20/08	01/20/09	202 29	212 83	(10 54)	
125002380	5	COMTECH TELECOMMUNICATIONS CORP	12/03/08	01/21/09	201 31	225 94	(24 63)	
125002390	66	CONOCOPHILLIPS Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/28/09	06/10/09	3,017 47	2,686 03		331 44

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022822

Page 27 of 90
20 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002400	34 15	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09 05/01/09	06/11/09	\$ 1,569 92 692 61	\$ 1,383 71 635 30		\$ 186 21 57 31
125002410	60	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	06/17/09	2,551 00	2,541 22		9 78
125002420	5	CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	06/19/09	217 48	211 77		5 71
125002430	15	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/23/08	08/20/09	248 28	246 95		1 33
125002440	5 10	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/23/08 10/02/08	08/21/09	83 82 167 63	82 32 145 98		1 50 21 65
125002450	10 3	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/02/08 10/28/08	08/27/09	192 73 57 82	145 97 36 08		46 76 21 74
125002460	13	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/28/08	09/09/09	246 50	156 32		90 18
125002470	1 12	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/28/08 12/03/08	09/15/09	19 26 231 17	12 03 188 34		7 23 42 83
125002480	1 11	CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/03/08 03/24/09	09/16/09	19 04 209 45	15 69 218 27	(8 82)	3 35
125002490	8	CORN PRODUCTS INTERNATIONAL	01/20/09	03/09/09	145 47	215 96	(70 49)	
125002500	70	CORNING INC	05/01/09	05/07/09	1,037 55	993 34		44 21

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022823

Page 28 of 90
21 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002510	37	CORNING INC	05/26/09	07/02/09	\$ 562 75	\$ 543 72		\$ 19 03
	42	Morgan Stanley Sml	06/08/09		638 79	648 02	(9 23)	
	22	th Barney LLC acted as yo	06/09/09		334 61	354 33	(19 72)	
	14	ur agent in this transa	06/17/09		212 93	218 06	(5 13)	
125002520	9	CREE INC	03/02/09	07/22/09	267 37	166 82		100 55
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002530	3	CREE INC	03/02/09	07/29/09	95 73	55 60		40 13
	5	Morgan Stanley Sml	03/12/09		159 54	101 53		58 01
		th Barney LLC acted as yo						
		ur agent in this transa						
125002540	4	CREE INC	03/12/09	08/13/09	134 20	81 23		52 97
	8	Morgan Stanley Sml	04/06/09		268 39	214 92		53 47
	8	th Barney LLC acted as yo	06/26/09		268 39	239 97		28 42
		ur agent in this transa						
125002550	6	CUBIC CORP DE	05/19/09	07/31/09	241 55	212 36		29 19
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002560	6	CUBIC CORP DE	06/09/09	08/25/09	232 31	238 96	(6 65)	
		Morgan Stanley Sml						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002570	8	CUMMINS INC	06/18/08	02/26/09	166 82	574 00	(407 18)	
	18		07/01/08		375 33	1,155 70	(780 37)	
125002580	1	CUMMINS INC	07/01/08	03/19/09	24 67	64 21	(39 54)	
	17		07/08/08		419 34	1,094 03	(674 69)	
	22		07/16/08		542 67	1,440 89	(898 22)	
	13		07/18/08		320 66	862 46	(541 80)	
125002590	9	CUMMINS INC	07/18/08	03/20/09	214 92	597 08	(382 16)	
	8		07/22/08		191 04	555 04	(364 00)	
	11		08/04/08		262 68	729 82	(467 14)	
125002600	15	CUMMINS INC	08/04/08	03/25/09	393 38	995 21	(601 83)	
125002660	6	DST SYS INC DEL	12/03/08	10/02/09	253 13	212 97		40 16
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022824

Page 29 of 90
22 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002670	6	DST SYS INC DEL	12/17/08	10/16/09	\$ 266 90	\$ 231 45		\$ 35 45
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	01/28/09		222.42	167 85		54 57
125002680	2	DST SYS INC DEL	01/28/09	10/20/09	87 94	67 14		20 80
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	02/24/09		175 88	117 75		58 13
125002690	4	DST SYS INC DEL	02/24/09	10/22/09	176 85	117 74		59 11
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125002700	8	DTE ENERGY COMPANY	04/02/09	10/14/09	286 25	225 44		60 81
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125002710	7	DTE ENERGY COMPANY	04/16/09	10/20/09	268 23	202.79		65.44
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125002720	5	DTE ENERGY COMPANY	04/16/09	11/17/09	201 51	144 85		56 66
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125002730	3	DECKERS OUTDOOR CORP	08/29/08	01/21/09	161 62	346 20	(184 58)	
	1		09/08/08		53 87	112 25	(58 38)	
125002740	2	DECKERS OUTDOOR CORP	09/08/08	03/19/09	100 61	224 49	(123 88)	
	2		09/23/08		100 60	219 20	(118 60)	
125002750	1	DECKERS OUTDOOR CORP	09/23/08	03/25/09	51 85	109 60	(57 75)	
	3		10/14/08		155 53	282 00	(126 47)	
125002760	3	DECKERS OUTDOOR CORP	10/30/08	04/06/09	172 58	226 20	(53 62)	
125002770	11	DEERE & CO	09/08/08	01/12/09	458 77	684 13	(225 36)	
	7		09/15/08		291 94	433 56	(141 62)	
125002780	2	DEERE & CO	09/15/08	04/02/09	72 55	123 88	(51 33)	
	8		09/23/08		290 21	494 82	(204 61)	
	14		10/15/08		507 86	537 86	(30 00)	
	4		11/06/08		145 11	144 69		.42

2 0 0 9 Y E A R E N D S U M M A R Y

Ref 00002979 00022825

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002790	6	DEERE & CO	11/06/08	04/06/09	\$ 217 73	\$ 217 04		\$ 69
	12		12/15/08		435 47	439 31	(3 84)	
	4		12/16/08		145 16	148 57	(3 41)	
125002800	3	DEERE & CO	12/16/08	04/13/09	112 02	111 43		59
	9		02/18/09		336 06	296 98		39 08
125002810	19	DEERE & CO	10/09/09	10/20/09	873 10	814 30		58 80
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/09		275 71	259 60		16 11
125002820	2	DEERE & CO	10/14/09	10/22/09	95 33	86 53		8 80
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125002830	20	DELL INC	10/21/08	05/28/09	226 80	257 74	(30 94)	
125002840	21	DELL INC	10/21/08	10/05/09	318 43	270 63		47 80
	43	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/08		652 03	527 54		124 49
	33		07/16/09		500 39	415 74		84 65
125002850	26	DELL INC	07/16/09	10/20/09	399 64	327 56		72 08
	14	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09		215 19	188 14		27 05
125002860	13	DEVON ENERGY CORP NEW	02/25/09	04/06/09	624 33	589 80		34 53
	7		03/17/09		336 17	320 84		15 33
125002890	8	DIEBOLD INC	10/28/08	01/21/09	201 20	189 96		11 24
125002900	1	DIEBOLD INC	10/28/08	02/17/09	24 69	23 75		94
	9		10/30/08		222 19	254 98	(32 79)	
125002910	23	WALT DISNEY CO	01/16/09	05/01/09	497 86	490 82		7 04
	57		01/21/09		1,233 83	1,190 01		43 82
125002920	13	WALT DISNEY CO	01/21/09	05/08/09	330 60	271 40		59 20
	34		02/17/09		864 64	609 75		254 89
	25		02/18/09		635 77	442 44		193 33
125002930	11	DISCOVERY COMMUNICATIONS INC SER A	01/21/09	05/01/09	201 28	156 51		44 77

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 00002979 00022826

Page 24 of 90
24 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002940	7 13	DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	01/21/09 01/27/09	06/03/09	\$ 156 66 290 94	\$ 99.59 193 52		\$ 57 07 97 42
125002950	1 14	DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	01/27/09 03/02/09	06/10/09	22.91 320 68	14 89 213 96		8 02 106 72
125002960	2 20	DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	03/02/09 03/19/09	06/26/09	42 78 427 82	30 56 329 46		12 22 98 36
125002970	11	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	03/19/09	11/18/09	347 18	181 21		165 97
125002980	1 8	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	03/19/09 03/25/09	11/30/09	31 74 253 91	16 47 128 37		15 27 125 54
125002990	4	DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	03/25/09	12/01/09	129 34	64 18		65 16
125003000	6	DOLLAR TREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/03/09	07/28/09	274 70	273 00		1 70
125003010	3 10 7 6 4	DOLLAR TREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/03/09 06/10/09 06/11/09 06/17/09 06/26/09	08/20/09	133 84 446 13 312 29 267 68 178 46	136 50 440 36 306 27 249 57 170 98	(2 66)	5 77 6 02 18 11 7 48
125003020	3	DOLLAR TREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/26/09	08/21/09	137 36	128 23		9 13

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022827

Page 32 of 86
25 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003030	25	DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	08/18/09	11/10/09	\$ 917 62	\$ 828 80		\$ 88 82
125003040	27	DOMINION RESOURCES INC VA NEW	08/18/09	11/18/09	998 90	895 10		103 80
	9	MorganStanley SmithBarney LLC	08/20/09		332 97	300 91		32 06
	12	acted as your agent in this transaction	09/16/09		443 96	405 81		38 15
125003050	13	R R DONNELLEY & SONS CO	11/03/09	11/18/09	278 78	263 71		15 07
	12	MorganStanley SmithBarney LLC acted as your agent in this transaction	11/04/09		257 33	257 79	(46)	
125003060	18	DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	10/26/09	457 21	472 55	(15 34)	
125003070	47	E I DU PONT DE NEMOURS & CO	02/26/09	03/25/09	1,078 76	915 86		162 90
	9		03/02/09		206 57	159 96		46 61
125003080	8	E I DU PONT DE NEMOURS & CO	03/02/09	03/27/09	182 85	142 18		40 67
125003090	34	DUKE ENERGY CORP (HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	07/24/09	10/09/09	530 22	522 08		8 14
125003100	1	DUKE ENERGY CORP	07/24/09	10/14/09	15 70	15 36		34
	19	(HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	08/26/09		298 27	294 91		3 36
125003110	11	ENSCO INTERNATIONAL INC	10/14/08	01/12/09	300 09	448 42	(148 33)	
	2		10/15/08		54 56	72 53	(17 97)	
125003120	16	ENSCO INTERNATIONAL INC	10/15/08	01/20/09	423 29	580 22	(156 93)	
125003130	4	EASTMAN CHEMICAL CO	10/14/08	01/12/09	124 37	184 47	(60 10)	
	3		10/28/08		93 27	104 28	(11 01)	
125003140	3	EASTMAN CHEMICAL CO	10/28/08	05/01/09	119 09	104 27		14 82
	5		11/14/08		198 49	177 72		20 77
125003150	1	EASTMAN CHEMICAL CO	11/14/08	06/19/09	40 82	35 55		5 27
	8		02/03/09		326 52	203 45		123 07

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 00002979 00022828

Page 33 of 90
26 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003160	37 21	EBAY INC	11/25/08 12/03/08	05/27/09	\$ 641 00 363 81	\$ 474 16 282 52		\$ 166 84 81 29
125003170	8	EBAY INC	12/03/08	05/29/09	138 94	107 63		31 31
125003200	13 3	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/04/08 08/07/08	07/23/09	414 67 95 69	609 92 139 68	(195 25) (43 99)	
125003210	4 13 10	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/07/08 09/08/08 09/23/08	07/24/09	131 05 425 93 327 64	186 24 570 63 395 26	(55 19) (144 70) (67 62)	
125003220	8 16	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/23/08 10/02/08	07/29/09	256 08 512 16	316 21 629 31	(60 13) (117 15)	
125003230	3 7 10	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/02/08 10/28/08 10/30/08	07/30/09	98 42 229 64 328 05	118 00 224 09 347 24	(19 58) (19 19)	5 55
125003240	2 12 2	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/30/08 12/03/08 12/17/08	07/31/09	64 89 389 36 64 89	69 45 378 27 63 26	(4 56)	11 09 1 63
125003250	22 23	EL PASO CORP	10/06/08 10/07/08	01/12/09	172 25 180 07	209 27 222 51	(37 02) (42 44)	
125003260	41	EL PASO CORP	10/07/08	01/20/09	313 57	396 65	(83 08)	
125003270	8 3	ENCORE ACQUISITION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09 04/28/09	06/03/09	277 92 104 22	207 97 87 23		69 95 16 99
125003280	5 1	ENCORE ACQUISITION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/28/09 05/01/09	06/11/09	192 53 38 50	145 38 30 13		47 15 8 37
125003290	7	ENCORE ACQUISITION COMPANY Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09	07/02/09	206 26	210 90	(4 64)	

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 00002979 00022829

Page 24 of 96
27 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003300	12 5	ENDO PHARMACEUTICALS HLDGS INC	03/25/09 04/02/09	05/29/09	\$ 189 97 79 15	\$ 208 88 91 41	(\$ 18 91) (12 26)	
125003310	7	ENDO PHARMACEUTICALS HLDGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/02/09	09/23/09	157 76	127 97		29 79
125003320	7	ENERGEN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/23/08	07/02/09	268 76	336 32	(67 56)	
125003330	7 2	ENERGEN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/17/08 12/29/08	07/22/09	276 65 79 04	208 53 54 56		68 12 24 48
125003340	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/29/08	10/09/09	270 67	163 66		107 01
125003350	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/08	10/14/09	273 96	175 91		98 05
125003360	2 4	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/08 01/21/09	10/16/09	93 31 186 63	58 64 108 96		34 67 77 67
125003370	4 2	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/21/09 02/03/09	10/20/09	190 21 95 11	108 95 60 94		81 26 34 17
125003380	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09	10/23/09	282 73	182 84		99 89
125003390	1 5	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09 02/06/09	10/27/09	46 59 232 97	30 47 158 37		16 12 74 60

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022830

Page 38 of 90
28 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003400	2	ENERGEN CORP	02/06/09	10/29/09	\$ 92.24	\$ 63.35		\$ 28.89
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/09		184.48	108.32		76.16
125003410	6	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/09	11/04/09	264.64	162.47		102.17
125003420	5	ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/09	11/18/09	222.53	135.40		87.13
125003430	9	ENERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/02/09	11/30/09	708.95	627.69		81.26
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/09		157.55	136.38		21.17
125003440	3	ENERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/09	12/01/09	240.89	204.56		36.33
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/13/09		321.19	258.29		62.90
125003450	4	EQUINIX INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	06/17/09	07/22/09	308.79	271.00		37.79
125003460	20	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	10/20/09	1,001.41	996.36		5.05
	10	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09		500.70	491.58		9.12
125003470	18	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09	10/22/09	909.49	884.84		24.65
125003480	7	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09	10/23/09	349.34	344.10		5.24
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09		299.44	304.49	(5.05)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022831

Page 26 of 96
29 5/

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003490	11	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09	11/30/09	\$ 528 42	\$ 558 22	(\$ 29 80)	
125003500	3 4	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09 11/04/09	12/01/09	146 56 195 41	152 24 185 12	(5 68)	10 29
125003510	6	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/04/09	12/07/09	299 40	277 68		21 72
125003520	24	EXPEDIA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/09	11/17/09	591 16	611 05	(19 89)	
125003550	6 1	EXPRESS SCRIPTS INC COMMON	02/27/08 03/05/08	01/21/09	308 87 51 48	393 22 63 30	(84 35) (11 82)	
125003560	5 8	EXPRESS SCRIPTS INC COMMON	03/05/08 03/13/08	01/27/09	274 48 439 17	316 48 460 30	(42 00) (21 13)	
125003570	6 2	EXPRESS SCRIPTS INC COMMON	03/14/08 03/19/08	01/28/09	339 24 113 08	343 47 125 22	(4 23) (12 14)	
125003580	4	EXPRESS SCRIPTS INC COMMON	03/19/08	02/09/09	229 64	250 43	(20 79)	
125003590	9 4	EXPRESS SCRIPTS INC COMMON Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/25/09 08/27/09	09/16/09	696 91 309 74	656 14 289 15		40 77 20 59
125003600	7 8	EXXON MOBIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09 05/29/09	06/03/09	502 44 574 21	483 01 556 27		19 43 17 94
125003610	22 12	EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09 06/22/09	12/17/09	1,510 32 823 81	1,564 52 831 57	(54 20) (7 76)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022832

Page 37 of 90
29 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009- continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003620	7	EXXON MOBIL CORP	06/22/09	12/18/09	\$ 475 21	\$ 485 08	(\$ 9 87)	
	28	MorganStanley SmithBarney LLC	06/26/09		1,900 85	1,937 96	(37 11)	
	1	acted as your agent in this transaction	06/30/09		67 89	69 65	(1 76)	
125003630	6	EXXON MOBIL CORP	06/30/09	12/21/09	410 76	417 91	(7 15)	
	2	MorganStanley SmithBarney LLC	07/02/09		136 92	137 96	(1 04)	
		acted as your agent in this transaction.						
125003640	19	EXXON MOBIL CORP	07/02/09	12/22/09	1,305 73	1,310 58	(4 85)	
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction						
125003650	8	FLIR SYSTEMS INC	07/30/08	02/25/09	170 72	326 38	(155 66)	
	8		08/04/08		170 72	309 72	(139 00)	
125003660	6	FTI CONSULTING INC	07/22/09	11/03/09	248 84	327 79	(78 95)	
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction						
125003670	1	FTI CONSULTING INC	07/22/09	11/04/09	43 27	54 63	(11 36)	
	5	MorganStanley SmithBarney LLC	07/23/09		216 37	273 04	(56 67)	
		acted as your agent in this transaction						
125003680	9	F5 NETWORKS INC	10/24/08	02/03/09	196 21	201 27	(5 06)	
125003690	6	F5 NETWORKS INC	10/24/08	03/02/09	117 26	134 18	(16 92)	
	3		10/27/08		58 63	70 43	(11 80)	
125003700	10	F5 NETWORKS INC	10/27/08	03/25/09	212 95	234 77	(21 82)	
125003710	1	F5 NETWORKS INC	10/27/08	04/06/09	22 87	23 48	(61)	
	9		10/28/08		205 79	215 92	(10 13)	
125003720	2	F5 NETWORKS INC	10/28/08	04/16/09	52 42	47 98	4 44	
	11		10/29/08		288 33	277 67	10 66	
	1		10/30/08		26 21	25 12	1 09	
125003730	8	F5 NETWORKS INC	10/30/08	04/20/09	208 16	200 94	7 22	
	1		11/14/08		26 02	22 90	3 12	
125003740	9	F5 NETWORKS INC	11/14/08	04/22/09	249 45	206 06	43 39	
	1		11/17/08		27 72	22 88	4 84	
125003750	8	F5 NETWORKS INC	11/17/08	04/28/09	216 29	183 07	33 22	
	2		11/20/08		54 07	43 16	10 91	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022833

Page 26 of 90
30 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003760	7	F5 NETWORKS INC	11/20/08	05/01/09	\$ 193 25	\$ 151 07		\$ 42 18
125003770	7	FIRST SOLAR INC	12/03/08	02/26/09	731 86	859 42	(127 56)	
	3		12/10/08		313 65	357 02	(43 37)	
125003780	1	FIRST SOLAR INC	12/10/08	03/09/09	109 80	119 01	(9 21)	
	4		12/29/08		439 22	542 28	(103 06)	
125003790	11	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/14/09	12/11/09	511 83	511 35		48
125003800	10	FLUOR CORP NEW	05/01/09	05/15/09	444 43	377 05		67 38
125003810	4	FLOWERVE CORP	02/03/09	07/09/09	255 07	216 18		38 89
	5	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/17/09		318 83	256 96		61 87
125003820	4	FOOT LOCKER INC	10/15/08	04/13/09	44 12	50 31	(6 19)	
	15		10/30/08		165 44	205 12	(39 68)	
125003830	1	FOOT LOCKER INC	10/30/08	04/16/09	10 59	13 67	(3 08)	
125003850	8	FOREST LABORATORIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/14/08	07/22/09	201 85	187 07		14 78
125003860	2	FOREST LABORATORIES INC	10/14/08	07/23/09	51 20	46 77		4 43
	10	Morgan Stanley Smi	10/15/08		256 01	223 03		32 98
	8	th Barney LLC acted as yo ur agent in this transa	10/21/08		204 80	187 28		17 52
125003870	3	FOREST LABORATORIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/21/08	07/24/09	77 24	70 23		7 01
125003880	12	FOSSIL INC	09/23/08	01/21/09	143 87	324 65	(180 78)	
	4		10/14/08		47 96	77 13	(29 17)	
125003890	8	FOSSIL INC	10/14/08	04/06/09	135 76	154 27	(18 51)	
	13		10/15/08		220 61	226 62	(6 01)	
125003900	10	FOSSIL INC	06/11/09	07/14/09	225 62	239 97	(14 35)	
	10	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/17/09		225 62	221 36		4 26

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022834

Page 36 of 90
31 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003910	29	FREEPORT MCMORAN COPPER & GOLD CL B	12/17/08	02/06/09	\$ 867 60	\$ 748 26		\$ 119 34
125003920	18	FREEPORT MCMORAN COPPER & GOLD CL B	12/17/08	02/09/09	547 73	464 44		83 29
	8		12/19/08		243 44	185 88		57 56
125003930	10	FREEPORT MCMORAN COPPER & GOLD CL B	12/19/08	02/26/09	309 56	232 34		77 22
	32		12/23/08		990 60	698 33		292 27
	21		12/29/08		650 09	486 00		164 09
125003940	9	FREEPORT MCMORAN COPPER & GOLD CL B	12/29/08	03/12/09	327 69	208 29		119 40
	32		12/31/08		1,165 11	769 96		395 15
125003950	6	FUEL SYSTEMS SOLUTIONS INC	09/23/08	04/06/09	86 99	305 02	(218 03)	
	8		10/14/08		115 98	253 28	(137 30)	
125003960	21	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09	07/23/09	504 40	627 14	(122 74)	
125003970	14	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09	07/24/09	335 18	418 09	(82 91)	
	4		05/01/09		95 76	117 18	(21 42)	
125003980	24	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	07/31/09	528 70	703 07	(174 37)	
125003990	3	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	08/18/09	73 45	87 88	(14 43)	
	37		05/15/09		905 90	987 02	(81 12)	
	18		05/19/09		440 71	467 54	(26 83)	
125004000	1	GAMESTOP CORP NEW CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/20/09	23 67	25 97	(2 30)	
125004010	24	GAP INC DELAWARE	02/03/09	02/26/09	277 29	270 19		7 10
	10		02/06/09		115 54	119 34	(3 80)	
125004020	18	GAP INC DELAWARE	02/06/09	03/16/09	218 21	214 81		3 40
125004030	10	GAYLORD ENTERTAINMENT CO	09/08/08	07/24/09	132 97	339 74	(206 77)	
	7	NEW Morgan Stanley Smi th Barney LLC acted as yo	09/23/08		93 08	217 25	(124 17)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022835

Page 46 of 80
32 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004040	3	GAYLORD ENTERTAINMENT CO NEW Morgan Stanley Smi th Barney LLC acted as yo	09/23/08	08/14/09	\$ 53 23	\$ 93 10	(\$ 39 87)	
125004050	16 2	GENERAL DYNAMICS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/12/09 03/16/09	07/02/09	863 66 107 96	584 17 79 81		279 49 28 15
125004060	12 9	GENERAL DYNAMICS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/09 03/19/09	07/13/09	622 06 466 54	478 83 340 98		143 23 125 56
125004070	8	GENERAL DYNAMICS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/05/09	12/30/09	549 60	448 00		101 60
125004080	50	GENERAL ELECTRIC CO	02/02/09	03/23/09	505 49	581 86	(76 37)	
125004090	11	GENERAL MILLS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	08/21/09	11/13/09	742 95	655 57		87 38
125004100	6	GENOPTIX INC	11/20/08	04/28/09	165 53	202 76	(37 23)	
125004110	12	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/22/08	07/17/09	574 84	546 45		28 39
125004120	6	GILEAD SCIENCES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/22/08	07/27/09	292 63	273 23		19 40
125004130	6	GOODRICH CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	07/22/09	10/20/09	336 02	317 56		18 46
125004140	5	GOODRICH CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	07/22/09	10/23/09	286 46	264 64		21 82

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022836

Page 41 of 90
33 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004150	5	GOODRICH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/22/09	10/27/09	\$ 282.83	\$ 264.63		\$ 18.20
125004160	2143 2857	GREEN MOUNTAIN COFFEE ROASTER INC CASH IN LIEU OF 50000 RECORD 05/29/09 PAY 06/08/09	05/01/09 05/15/09	06/08/09	13.06 17.41	10.64 14.99		2.42 2.42
125004170	4.2857 7143	GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/01/09 05/15/09	12/11/09	281.57 46.93	212.74 37.47		68.83 9.46
125004180	4	GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/15/09	12/15/09	262.64	209.80		52.84
125004190	1	GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/15/09	12/30/09	79.62	52.45		27.17
125004200	9 1	HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/30/09 10/05/09	11/18/09	240.56 26.73	248.47 27.09	(7.91) (.36)	
125004210	8	HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/05/09	11/20/09	210.12	216.72	(6.60)	
125004220	4 3	HANOVER INSURANCE GROUP INC	10/24/08 02/03/09	04/28/09	119.37 89.53	143.21 120.10	(23.84) (30.57)	
125004230	7	HANOVER INSURANCE GROUP INC	02/03/09	05/01/09	208.22	280.24	(72.02)	
125004240	8 2	HANOVER INSURANCE GROUP INC	02/03/09 02/24/09	05/15/09	262.63 65.66	320.28 69.29	(57.65) (3.63)	
125004250	5	HANOVER INSURANCE GROUP INC	02/24/09	05/19/09	169.19	173.23	(4.04)	
125004260	10 7	HARLEY-DAVIDSON INC	10/21/08 10/28/08	01/21/09	128.92 90.25	259.04 138.26	(130.12) (48.01)	

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022837

Page 42 of 96
34 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004270	4	HARLEY-DAVIDSON INC	10/28/08	01/28/09	\$ 51 11	\$ 79 00	(\$ 27 89)	
	10		10/30/08		127 78	240 24	(112 46)	
	3		11/14/08		38 33	47 84	(9 51)	
125004280	15	HARLEY-DAVIDSON INC	11/14/08	02/05/09	194 04	239 22	(45 18)	
125004290	21	HARLEY-DAVIDSON INC	04/16/09	05/01/09	461 23	394 45		66 78
125004300	13	HARMAN INTL INDS INC NEW	04/06/09	07/21/09	269 78	195 42		74 36
	12	Morgan Stanley Smi	04/16/09		249 02	205 92		43 10
		th Barney LLC acted as yo						
		ur agent in this transa						
125004310	15	HEALTH NET INC	04/06/09	09/30/09	229 13	225 96		3 17
	1	MorganStanley SmithBarney LLC	04/20/09		15 27	13 46		1 81
		acted as your agent						
		in this transaction						
125004320	16	HEALTH NET INC	04/20/09	10/02/09	236 74	215 40		21 34
	1	MorganStanley SmithBarney LLC	04/28/09		14 80	14 38		42
		acted as your agent						
		in this transaction						
125004330	16	HEALTH NET INC	04/28/09	10/14/09	261 75	230 10		31 65
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125004340	15	HEALTH NET INC	05/01/09	10/16/09	234 78	212 03		22 75
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						
125004350	5	HELMERICH & PAYNE INC	10/15/08	01/09/09	124 19	133 11	(8 92)	
125004360	3	HEWLETT PACKARD CO	10/27/08	07/02/09	114 17	95 79		18 38
	35	Morgan Stanley Smi	10/28/08		1,332 01	1,122 31		209 70
	73	th Barney LLC acted as yo	11/14/08		2,778 20	2,218 86		559 34
		ur agent in this transa						
125004370	85	HEWLETT PACKARD CO	11/14/08	07/14/09	3,150 07	2,583 61		566 46
	7	Morgan Stanley Smi	12/11/08		259 42	249 41		10 01
	22	th Barney LLC acted as yo	12/17/08		815 31	807 75		7 56
	20	ur agent in this transa	12/19/08		741 19	709 81		31 38
	7		12/31/08		259 42	256 07		3 35
	34		01/21/09		1,260 03	1,166 06		93 97
	17		02/02/09		630 01	588 39		41 62
	16		02/20/09		592 95	499 66		93 29

2 0 0 9 Y E A R E N D S U M M A R Y

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004380	1	HONEYWELL INTL INC	10/30/08	01/05/09	\$ 34 45	\$ 29 98		\$ 4 47
125004390	24 47	HONEYWELL INTL INC	02/20/09 02/26/09	06/19/09	797 62 1,562 02	691 91 1,314 07		105 71 247 95
125004410	4	HUDSON CITY BANCORP INC	02/08/03	01/28/09	49 79	64 26	(14 47)	
125004420	17 4	HUDSON CITY BANCORP INC	02/08/03 03/13/08	02/03/09	198 19 46 63	273 13 65 77	(74 94) (19 14)	
125004430	17 1	HUDSON CITY BANCORP INC	03/13/03 04/11/03	02/05/09	208 37 12 26	279 52 17 71	(71 15) (5 45)	
125004440	17	HUDSON CITY BANCORP INC	04/11/03	02/06/09	212 37	301 05	(88 68)	
125004450	25	HUDSON CITY BANCORP INC	04/11/03	02/25/09	259 90	442 73	(182 83)	
125004460	33 12	HUDSON CITY BANCORP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09 06/10/09	06/26/09	441 96 160 71	410 05 155 35		31 91 5 36
125004470	23	HUDSON CITY BANCORP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/09	07/02/09	302 82	297 75		5 07
125004480	8 3	HUMANA INC	08/07/08 08/08/08	02/05/09	348 61 130 73	384 80 146 70	(36 19) (15 97)	
125004490	4 6	HUMANA INC	08/08/03 08/28/03	02/06/09	179 09 268 63	195 60 276 45	(16 51) (7 82)	
125004500	5 7	HUMANA INC	08/28/03 09/08/03	02/26/09	124 97 174 96	230 38 310 54	(105 41) (135 58)	
125004510	8 3	HUMANA INC	10/30/03 11/14/03	03/16/09	195 09 73 16	235 86 92 82	(40 77) (19 66)	
125004520	6 9 1	HUMANA INC	11/14/03 11/17/03 11/19/03	03/25/09	151 18 226 77 25 19	185 63 271 15 28 59	(34 45) (44 38) (3 40)	
125004530	6 3	HUMANA INC	11/19/03 11/20/03	04/01/09	155 21 77 61	171 54 79 83	(16 33) (2 22)	
125004540	4	HUMANA INC	11/20/03	04/02/09	107 51	106 45		1 06
125004550	10	HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/18/09	407 62	314 14		93 48

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022839

Page 44 of 90
36 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004560	7	HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/22/09	11/20/09	\$ 282 52	\$ 207 20		\$ 75.32
125004570	1	HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/22/09	12/15/09	42 90	29 60		13 30
125004580	16 11	IAC/INTERACTIVECORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09 04/13/09	06/26/09	255 33 175 54	254 53 182 02	(6 48)	80
125004590	4 14 14	IAC/INTERACTIVECORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/13/09 04/16/09 04/22/09	07/16/09	67 26 235 42 235 42	66 19 233 34 227 66		1.07 2 08 7 76
125004600	7 2	IDACORP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/21/09 07/15/09	10/29/09	199 19 56 91	200 31 51 56	(1 12)	5 35
125004610	8	IDACORP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/06/09	231 19	206 23		24 96
125004620	16 16	INGRAM MICRO INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09 04/13/09	07/16/09	289 16 289 16	210 49 208 58		78 67 80 58
125004630	14	INGRAM MICRO INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	08/14/09	11/04/09	251 36	230 55		20 81
125004640	10 10 12	INTL BUSINESS MACHINES CORP	11/25/08 11/28/08 12/02/08	02/02/09	909 16 909 16 1,090 98	803 33 806 11 943 53		105 83 103 05 147 45
125004650	2 8	INTL BUSINESS MACHINES CORP	12/02/08 12/03/08	02/17/09	182 45 729 81	157 25 630 70		25 20 99 11

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022840

Page 46 of 90
37 8

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004660	4	INTL BUSINESS MACHINES CORP	12/10/08		\$ 364 91	\$ 334 48		\$ 30 43
125004670	2	INTL BUSINESS MACHINES CORP	12/15/08		182 45	164 08		18 37
125004680	6	INTL BUSINESS MACHINES CORP	12/15/08	02/19/09	535 08	492 24		42 84
125004690	25	INTL BUSINESS MACHINES CORP	12/15/08	02/20/08	2,209 67	2,050 98		158 69
125004700	3	INTL BUSINESS MACHINES CORP	12/15/08	02/23/09	258 35	246 12		12 23
125004710	8	INTL BUSINESS MACHINES CORP	12/15/08	02/24/09	682 51	656 31		26 20
125004720	3	INTL BUSINESS MACHINES CORP	12/18/08		255 94	255 47		47
125004730	7	INTL BUSINESS MACHINES CORP	12/18/08	03/02/09	629 72	596 10		33 62
	16		12/19/08		1,439 35	1,342 43		96 92
	13		12/31/08		1,169 47	1,096 61		72 86
125004740	2	INTL BUSINESS MACHINES CORP	04/15/09	04/22/09	206 46	196 94		9 52
125004750	4	INTL BUSINESS MACHINES CORP	04/15/09	04/29/09	416 01	393 89		22 12
	6		04/20/09		624 02	602 67		21 35
125004760	15	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/21/09	08/20/09	261 50	194 70		66 80
125004770	14	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/26/09	08/21/09	243 45	180 83		62 62
125004780	1	INTERNATIONAL RECTIFIER CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/26/09	08/26/09	18 51	12 92		5 59
125004790	11	IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	09/30/09	293 02	314 23	(21 21)	
125004800	10	IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	10/02/09	254 32	285 66	(31 34)	
125004810	1	IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	10/05/09	25 96	28 57	(2 61)	
125004820	9	IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09		233 66	255 11	(21 45)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022841

Page 46 of 96
38 21

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004790	3	IRON MTN INC (DEL)	06/03/09	11/18/09	\$ 73 63	\$ 85 04	(\$ 11 41)	
	8	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/09/09		196 34	228 35	(32 01)	
125004800	1	IRON MTN INC (DEL)	06/09/09	11/30/09	24 05	28 54	(4 49)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125004810	14	JOHNSON & JOHNSON	04/29/09	05/08/09	772 77	710 45		62 32
	15		05/05/09		827 97	808 46		19 51
125004820	5	JOHNSON & JOHNSON	05/05/09	05/15/09	276 01	269 48		6 53
125004830	21	JOHNSON CONTROLS INC	12/01/08	03/17/09	200 99	337 38	(136 39)	
125004840	8	JOHNSON CONTROLS INC	12/01/08	03/19/09	89 92	128 53	(38 61)	
125004880	10	KBR INC	12/17/08	10/02/09	218 57	159 24		59 33
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125004890	6	KBR INC	12/17/08	10/05/09	133 82	95 55		38 27
	6	MorganStanley SmithBarney LLC acted as your agent in this transaction	12/18/08		133 83	92 62		41 21
125004900	9	KBR INC	12/18/08	10/09/09	201 51	138 94		62 57
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125004960	15	KOHL'S CORP	06/08/09	07/02/09	625 08	680 95	(55 87)	
		Morgan Stanley Smt h Barney LLC acted as yo ur agent in this transa						
125004970	1	KOHL'S CORP	06/08/09	07/07/09	43 24	45 40	(2 16)	
		Morgan Stanley Smt h Barney LLC acted as yo ur agent in this transa						
125004980	43	KRAFT FOODS INC CLASS A	07/16/09	11/13/09	1,158 83	1,186 11	(27 28)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022842

Page 17 of 80
39 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004990	13	KROGER CO	04/16/09	12/11/09	\$ 260 46	\$ 270 28	(\$ 9 82)	
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/09		180 32	193 08	(12 76)	
125005000	6	KROGER CO	04/22/09	12/15/09	120 52	128 72	(8 20)	
	14	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09		281 22	300 99	(19 77)	
125005010	6	KROGER CO	07/02/09	12/30/09	122 99	128 99	(6 00)	
	19	MorganStanley SmithBarney LLC acted as your agent in this transaction	09/25/09		389 46	389 26		20
125005020	6	LHC GROUP LLC	12/03/08	09/30/09	177 97	203 42	(25 45)	
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	12/19/08		88 98	104 81	(15 83)	
125005030	3	LHC GROUP LLC	12/19/08	10/02/09	84 68	104 81	(20 13)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005040	12	LEAP WIRELESS INTERNATIONAL INC NEW	07/22/09	08/18/09	200 35	304 01	(103 66)	
	9	Morgan Stanley Smi th Barney LLC acted as yo	07/24/09		150 26	228 24	(77 98)	
125005100	10	LEXMARK INTL GROUP INC CL A	05/08/09	10/22/09	273 32	186 09		87 23
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005110	3	LEXMARK INTL GROUP INC CL A	05/08/09	10/23/09	83 09	55 83		27 26
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09		193 88	119 84		74 04
125005120	9	LEXMARK INTL GROUP INC CL A	05/15/09	10/27/09	244 40	154 07		90 33
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/09		27 16	17 19		9 97

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00002979 00022843

Page 46 of 90
40 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005130	10	LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/09	10/29/09	\$ 263 21	\$ 171 86		\$ 91 35
125005140	2 8	LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/19/09 06/17/09	11/03/09	50 64 202 56	34 37 121 69		16 27 80 87
125005150	10	LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	11/04/09	258 32	152 11		106 21
125005160	7	ELI LILLY & CO	10/14/08	05/19/09	242 20	248 07	(5 87)	
125005170	19 6 7 17 17 8 16	ELI LILLY & CO	10/14/08 12/02/08 12/11/08 12/12/08 02/03/09 02/18/09 03/12/09	05/28/09	642 39 202 86 236 67 574 77 574 77 270 48 540 96	673 33 190 80 251 74 610 08 645 03 277 68 471 23	(30 94) (15 07) (35 31) (70 26) (7 20)	12 06 69 73
125005180	14 13	LOCKHEED MARTIN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/02/09 03/09/09	06/26/09	1,132 81 1,051 89	855 94 763 72		276 87 288 17
125005190	2 14	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/09/09 03/19/09	10/22/09	142 25 995 75	117 49 950 25		24 76 45 50
125005200	9 6	LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/19/09 03/20/09	10/27/09	634 98 423 32	610 88 411 84		24 10 11 48
125005220	2 5	MDC HOLDINGS INC	07/18/08 08/07/08	01/12/09	64 73 161 84	85 14 199 77	(20 41) (37 93)	
125005230	4 3	MDC HOLDINGS INC	08/07/08 09/23/08	01/21/09	114 61 85 96	159 81 114 13	(45 20) (28 17)	
125005240	5	MDC HOLDINGS INC	09/23/08	01/27/09	155 37	190 22	(34 85)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022844

Page 46 of 90
4/81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005250	16	MARATHON OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	06/26/09	\$ 469 57	\$ 488 92	(\$ 19 35)	
125005260	19	MARSHALL & ILSLEY CORP NEW	01/12/09	05/29/09	121 21	206 76	(85 55)	
	23		01/27/09		146 73	144 10		2 63
125005270	14	MARSHALL & ILSLEY CORP NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/27/09	06/03/09	86 05	87 71	(1 66)	
125005280	13	MCKESSON CORPORATION	12/17/08	01/16/09	533 03	490 21		42 82
125005290	9	MCKESSON CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/19/09	07/31/09	459 47	325 22		134 25
125005300	6	MCKESSON CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/19/09	08/10/09	323 56	216 82		106 74
	9		07/23/09		485 33	411 85		73 48
125005310	16	MCKESSON CORPORATION Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/23/09	08/18/09	871 69	732 17		139 52
	6				326 88	307 11		19 77
125005320	18	MEADWESTVACO CORP	12/17/08	04/28/09	274 52	214 05		60 47
	11		12/29/08		167 76	116 00		51 76
125005330	8	MEADWESTVACO CORP	12/29/08	05/01/09	128 02	84 37		43 65
	13		01/12/09		208 02	150 90		57 12
125005340	5	MEADWESTVACO CORP	01/12/09	05/15/09	72 63	58 04		14 59
	25		02/17/09		363 15	259 16		103 99
125005350	3	MEADWESTVACO CORP	02/17/09	05/18/09	45 96	31 10		14 86
125005360	7	MEDCO HEALTH SOLUTIONS INC	02/03/09	03/13/09	280 78	330 78	(50 00)	
125005370	11	MEDCO HEALTH SOLUTIONS INC	02/03/09	03/16/09	452 44	519 79	(67 35)	
	7		02/25/09		287 91	318 21	(30 30)	
125005380	39	MEDTRONIC INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09	07/02/09	1,335 98	1,303 63		32 35

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

Morgan Stanley
Smith Barney

Page 30 of 90
42 81

Ref 00002979 00022845

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005390	20	MERCK & CO INC	04/01/08	03/19/09	\$ 518 71	\$ 765 46	(\$ 246 75)	
	11		04/02/08		285 29	413 52	(128 23)	
	25		04/24/08		648 39	996 91	(348 52)	
	24		07/16/08		622 45	878 07	(255 62)	
	24		10/02/08		622 45	760 71	(138 26)	
	11		10/13/08		285 29	311 20	(25 91)	
	14		10/15/08		363 11	386 37	(23 26)	
125005400	2	MERCK & CO INC	10/15/08	03/24/09	55 66	55 19		47
	50		10/30/08		1,391 53	1,506 52	(114 99)	
125005410	3	MERCK & CO INC	10/30/08	03/25/09	82 53	90 39	(7 86)	
	21		11/06/08		577 74	597 52	(19 78)	
	24		12/03/08		660 29	625 87		34 42
125005420	104	MICROSOFT CORP	12/18/08	06/09/09	2,296 46	2,038 55		257 91
	115	Morgan Stanley Smi	12/31/08		2,539 35	2,248 93		290 42
	64	th Barney LLC acted as yo	01/27/09		1,413 20	1,141 48		271 72
		ur agent in this transa						
125005430	16	MICROSOFT CORP	01/27/09	06/10/09	356 60	285 37		71 23
	105	Morgan Stanley Smi	02/02/09		2,340 20	1,878 78		461 42
		th Barney LLC acted as yo						
		ur agent in this transa						
125005460	44	MICRON TECHNOLOGY INC	06/10/08	04/28/09	200 93	343 70	(142 77)	
125005470	2	MICRON TECHNOLOGY INC	06/10/08	05/01/09	9 63	15 62	(5 99)	
125005480	1	MIRANT CORP NEW	07/29/08	01/12/09	18 27	30 77	(12 50)	
	11		07/30/08		200 99	341 67	(140 68)	
125005490	2	MIRANT CORP NEW	07/30/08	01/20/09	34 87	62 12	(27 25)	
	16		08/04/08		278 95	470 28	(191 33)	
125005500	3	MIRANT CORP NEW	08/04/08	01/21/09	52 40	88 18	(35 78)	
125005510	14	MOLEX INC	03/12/09	08/20/09	250 00	158 04		91 96
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125005520	2	MOLEX INC	03/12/09	09/09/09	37 92	22 58		15 34
	11	Morgan Stanley Smi	03/16/09		208 57	131 10		77 47
		th Barney LLC acted as yo						
		ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022846

Page 81 of 90
43 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005530	5	MOLEX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/09	09/15/09	\$ 95 69	\$ 59 59		\$ 36 10
125005610	25 2	MOSAIC COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/29/08 03/02/09	07/02/09	1,141 98 91 36	851 78 76 40		290 20 14 96
125005620	13 15 20	MOSAIC COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/02/09 03/09/09 03/25/09	07/14/09	559 36 645 42 860 55	496 59 605 08 907 24	(46 69)	62 77 40 34
125005630	107	MOTOROLA INC DE	12/17/08	03/25/09	452 04	466 19	(14 15)	
125005640	80	MOTOROLA INC DE	12/17/08	04/02/09	365 25	348 55		16 70
125005650	9 7	MURPHY OIL CORP	04/02/09 04/06/09	05/05/09	462 17 359 46	440 11 330 01		22 06 29 45
125005660	10	MURPHY OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/13/09	07/24/09	584 46	510 19		74 27
125005670	22	MYLAN INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	05/08/09	11/18/09	387 70	300 20		87 50
125005680	18	MYLAN INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction.	05/08/09	11/20/09	315 14	245 62		69 52
125005690	19	MYLAN INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	05/08/09	11/30/09	339 73	259 27		80 46
125005700	2 14	MYLAN INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	05/08/09 05/15/09	12/01/09	36 21 253 49	27 29 180 18		8 92 73 31

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 0002979 00022847

Page 52 of 90
44 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005710	17	MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/15/09	12/11/09	\$ 313.20	\$ 218.79		\$ 94.41
125005720	8	MYRIAD GENETICS INC	12/03/08	04/13/09	364.30	238.21		126.09
125005730	14	NCR CORP NEW	10/14/08	01/21/09	176.70	255.43	(78.73)	
	2		10/28/08		25.24	33.41	(8.17)	
125005740	16	NCR CORP NEW	10/28/08	01/27/09	204.95	267.29	(62.34)	
125005750	4	NCR CORP NEW	10/28/08	02/05/09	42.17	66.82	(24.65)	
	13		12/03/08		137.05	182.08	(45.03)	
125005760	1	NCR CORP NEW	12/03/08	02/06/09	10.56	14.01	(3.45)	
125005770	12	NRG ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	10/09/09	329.97	319.25		10.72
125005780	8	NRG ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	10/14/09	230.29	212.83		17.46
125005790	9	NETFLIX INC	01/28/09	09/15/09	401.83	321.32		80.51
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/03/09		89.29	73.28		16.01
125005800	7	NETFLIX INC	02/03/09	09/16/09	323.09	256.46		66.63
	4	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/05/09		184.63	145.52		39.11
125005810	3	NETFLIX INC	02/05/09	09/30/09	138.28	109.14		29.14
	6	MorganStanley SmithBarney LLC	02/06/09		276.55	219.29		57.26
	2	acted as your agent in this transaction	02/26/09		92.18	72.06		20.12
125005820	9	NETFLIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/26/09	10/01/09	404.21	324.27		79.94

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022848

Page 56 of 96
45 51

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005830	2	NETFLIX INC	02/26/09	10/05/09	\$ 90 90	\$ 72.06		\$ 18 84
	5	MorganStanley SmithBarney LLC acted as your agent in this transaction	03/12/09		227 26	189 19		38 07
125005840	7	NETFLIX INC	03/12/09	10/09/09	324 94	264 86		60 08
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005850	4	NETFLIX INC	03/12/09	10/20/09	197 51	151 35		46 16
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	03/16/09		98 76	79 46		19 30
125005860	6	NETFLIX INC	03/16/09	10/22/09	294 29	238 37		55 92
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005870	9	NEWFIELD EXPLORATION COMPANY	10/15/08	01/08/09	197 83	181 45		16 38
125005880	13	NEWFIELD EXPLORATION COMPANY	06/03/09	10/02/09	539 24	448 67		90 57
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005890	1	NEWFIELD EXPLORATION COMPANY	06/03/09	10/05/09	43 14	34 51		8 63
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/09/09		388 25	322 93		65 32
125005900	9	NEWFIELD EXPLORATION COMPANY	06/09/09	10/09/09	410 52	322 92		87 60
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						
125005910	8	NEWFIELD EXPLORATION COMPANY	06/10/09	10/14/09	380 87	288 15		92 72
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125005920	4	NEWFIELD EXPLORATION COMPANY	06/10/09	10/16/09	192 43	144 07		48 36
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/09		144 32	112 58		31 74

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022849

Page 84 of 90
46 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005930	5	NEWFIELD EXPLORATION COMPANY	06/11/09	10/20/09	\$ 242 91	\$ 187 64		\$ 55 27
	1	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09		48 58	33 71		14 87
125005940	3	NEWMARKET CORP	06/10/09	08/18/09	224 55	224 44		11
	3	(HOLDING COMPANY) Morgan Stanley Smt th Barney LLC acted as yo	06/11/09		224 56	226 76	(2 20)	
125005950	20	NORFOLK SOUTHERN CORP	01/20/09	03/19/09	632 93	725 12	(92 19)	
125005960	6	NORFOLK SOUTHERN CORP	01/20/09	03/24/09	196 43	217 54	(21 11)	
	9		02/02/09		294 65	337 29	(42 64)	
	5		02/06/09		163 70	206 35	(42 65)	
125005970	13	NORFOLK SOUTHERN CORP	02/06/09	03/25/09	445 26	536 52	(91 26)	
125005980	14	NORFOLK SOUTHERN CORP	02/06/09	04/01/09	477 67	577 79	(100 12)	
125005990	22	NORFOLK SOUTHERN CORP	06/10/09	07/02/09	815 63	884 74	(69 11)	
	4	Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	06/26/09		148 30	156 06	(7 76)	
125006000	20	NORFOLK SOUTHERN CORP Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	06/26/03	07/10/09	721 54	780 30	(58 76)	
125006010	12	NORTHROP GRUMMAN CORP	10/21/03	01/20/09	572 32	547 20		25 12
	2		10/24/03		95 39	87 66		7 73
125006020	8	NORTHROP GRUMMAN CORP	10/24/03	01/21/09	376 49	350 66		25 83
	5		10/27/03		235 30	216 19		19 11
125006030	2	NORTHROP GRUMMAN CORP	10/27/03	06/03/09	95 21	86 48		8 73
	5	Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	10/30/08		238 03	218 07		19 96
	5		02/09/09		238 03	229 44		8 59
	12		02/09/09		571 26	558 40		12 86
	2		02/17/09		95 21	90 47		4 74
125006040	10	NORTHROP GRUMMAN CORP	02/17/09	06/09/09	481 00	452 35		28 65
	6	Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	02/18/09		288 60	274 20		14 40
125006050	16	NOVELLUS SYS INC	09/23/03	04/02/09	278 55	319 65	(41 10)	
125006060	12	NOVELLUS SYS INC	11/19/03	04/06/09	202 79	129 68		73 11

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022850

Page 36 of 80
47 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006070	5 7	NOVELLUS SYS INC	11/19/08 12/03/08	04/13/09	\$ 88 38 123 74	\$ 54 04 83 76		\$ 34 34 39 98
125006080	10	NOVELLUS SYS INC	12/03/08	04/16/09	175 52	119 65		55 87
125006090	1 7	OGE ENERGY CORP	10/15/08 10/28/08	01/21/09	24 09 168 66	24 76 180 00	(67) (11 34)	
125006100	1 7	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/28/08 12/19/08	10/09/09	32 98 230 86	25 71 179 84		7 27 51 02
125006110	3 5	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/19/08 09/09/09	10/14/09	100 40 167 32	77 07 156 84		23 33 10 48
125006120	7 1	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09 09/16/09	10/16/09	235 90 33 70	219 57 32 75		16 33 95
125006130	8	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	10/20/09	273 05	262 03		11 02
125006140	1	OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	10/27/09	34 77	32 75		2 02
125006150	8 3	OIL STATES INTERNATIONAL INC	03/14/08 03/19/08	01/12/09	154 84 58 07	339 75 126 37	(184 91) (68 30)	
125006160	8 3	OIL STATES INTERNATIONAL INC	03/19/08 04/02/08	01/21/09	144 57 54 21	336 98 137 61	(192 41) (83 40)	
125006170	10	OIL STATES INTERNATIONAL INC	04/02/08	02/05/09	186 17	458 72	(272 55)	
125006180	24 21	OLD REPUBLIC INTERNATIONAL CORP Morgan Stanley Smi th Barney LLC acted as yo	10/14/08 10/15/08	07/22/09	228 42 199 87	250 01 205 98	(21 59) (6 11)	
125006190	2 22	OLD REPUBLIC INTERNATIONAL CORP Morgan Stanley Smi th Barney LLC acted as yo	10/15/08 02/03/09	07/23/09	19 50 214 49	19 62 242 69	(12) (28 20)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022851

Page 56 of 90
48 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009: continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006200	5	OLD REPUBLIC INTERNATIONAL CORP Morgan Stanley Smt th Barney LLC acted as yo	02/03/09	07/24/09	\$ 50 36	\$ 55 16	(\$ 4 80)	
125006210	9	OMNICOM GROUP INC	11/14/08	04/28/09	274 94	227 81		47 13
	8		11/19/08		244 39	190 05		54 34
	3		03/12/09		91 65	67 55		24 10
125006220	7	OMNICOM GROUP INC	03/12/09	05/01/09	223 11	157 63		65 48
125006230	20	ORACLE CORP	07/23/09	11/30/09	439 00	444 57	(5 57)	
	23	MorganStanley SmithBarney LLC	07/29/09		504 85	511 28	(6 43)	
	15	acted as your agent in this transaction	08/03/09		329 26	332 96	(3 70)	
125006240	5	OVERSEAS SHIPHOLDING GROUP INC	01/24/08	01/12/09	211 15	311 67	(100 52)	
125006250	2	OVERSEAS SHIPHOLDING GROUP INC	01/24/08	01/21/09	78 38	124 67	(46 29)	
	4		01/29/08		156 75	260 12	(103 37)	
125006280	5	OVERSEAS SHIPHOLDING GROUP INC	09/23/08	04/06/09	129 13	323 74	(194 61)	
125006290	12	OWENS CORNING INC	10/14/08	04/02/09	125 10	235 64	(110 54)	
	13	NEW	10/28/08		135 52	181 29	(45 77)	
	14		10/30/08		145 95	218 62	(72 67)	
125006300	8	PNC FINANCIAL SERVICES GROUP	01/20/09	02/10/09	263 08	205 63		57 45
125006310	15	PPG INDUSTRIES INC	02/26/09	04/02/09	617 33	483 53		133 80
125006320	6	PARKER-HANNIFIN CORP	10/24/08	01/28/09	249 53	222 91		26 62
	4		10/28/08		166 35	136 61		29 74
125006330	2	PARKER-HANNIFIN CORP	10/28/08	02/03/09	76 83	68 30		8 53
	6		10/30/08		230 49	224 90		5 59
125006340	20	PATTERSON UTI ENERGY INC Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	03/16/09	07/02/09	245 42	177 44		67 98
125006350	9	PATTERSON UTI ENERGY INC	03/16/09	07/22/09	125 01	79 85		45 16
	19	Morgan Stanley Smt	03/19/09		263 92	205 51		58 41
	3	th Barney LLC acted as yo ur agent in this transa	03/25/09		41 67	30 05		11 62
125006360	16	PATTERSON UTI ENERGY INC	03/25/09	07/23/09	233 95	160 28		73 67
	3	Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	04/03/09		43 87	29 99		13 88

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022852

Page 49 of 90
49 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006370	16	PATTERSON UTI ENERGY INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/03/09	07/24/09	\$ 235 01	\$ 159 92		\$ 75 09
125006380	1	PATTERSON UTI ENERGY INC MorganStanley SmithBarney LLC acted as Your agent in this transaction	04/03/09	10/09/09	16 37	10 00		6 37
125006390	20	J C PENNEY CO INC	01/12/09	04/20/09	508 31	414 44		93 87
125006400	1 30 8	J C PENNEY CO INC	01/12/09 03/25/09 04/02/09	04/28/09	27 61 828 42 220 91	20 72 587 44 176 44		6 89 240 98 44 47
125006410	18	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/09	07/02/09	491 47	397 00		94 47
125006420	5 26 2	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/09 04/06/09 04/16/09	07/22/09	146 29 760 69 58 51	110 28 571 11 51 97		36 01 189 58 6 54
125006430	21	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/16/09	07/23/09	622 87	545 68		77 19
125006440	5 8	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/16/09 04/17/09	07/24/09	146 58 234 54	129 92 209 86		16 66 24 68
125006450	6	J C PENNEY CO INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/17/09	07/29/09	173 69	157 40		16 29
125006460	46 36 17 71 20 22	PFIZER INC	07/09/08 07/24/08 09/26/08 10/02/08 10/08/08 10/09/08	01/28/09	697 12 530 42 257 63 1,075 99 303 10 333 40	837 36 666 81 315 88 1,344 98 355 08 368 21	(140 24) (136 39) (58 25) (268 99) (51 98) (34 81)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved
Client Statement
2009 Year End Summary

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006470	57	Pfizer INC	10/09/08	02/03/09	\$ 860 98	\$ 953 99	(\$ 93 01)	
	40		10/24/08		604 20	665 65	(61 45)	
	81		10/27/08		1,223 50	1,352 88	(129 38)	
	22		10/28/08		332 31	374 18	(41 87)	
	42		10/29/08		634 41	740 31	(105 90)	
	19		10/30/08		287 00	334 47	(47 47)	
125006480	52	Pfizer INC	10/30/08	02/05/09	754 68	915 39	(160 71)	
125006490	12	PIONEER NATURAL RESOURCES CO	04/06/09	08/10/09	366 19	206 12		160 07
	2	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/16/09		61 03	42 24		18 79
125006500	9	PIONEER NATURAL RESOURCES CO	04/16/09	08/14/09	256 54	190 10		66 44
	5	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/20/09		142 52	101 41		41 11
125006510	5	PIONEER NATURAL RESOURCES CO	04/20/09	08/18/09	144 39	101 40		42 99
	7	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09		202 15	155 54		46 61
125006520	4	PIONEER NATURAL RESOURCES CO	04/28/09	08/20/09	118 12	88 88		29 24
	5	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09		147 66	122 82		24 84
125006530	6	PIONEER NATURAL RESOURCES CO	05/01/09	08/21/09	184 73	147 39		37 34
	2	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09		61 58	48 78		12 80
125006540	8	PIONEER NATURAL RESOURCES CO	05/15/09	08/25/09	245 36	195 13		50 23
		Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa						
125006550	2	PIONEER NATURAL RESOURCES CO	05/15/09	08/27/09	59 44	48 78		10 66
	7	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09		208 02	185 66		22 36

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00002979 00022854

Page 59 of 90
51 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006560	6	PIONEER NATURAL RESOURCES CO Morgan Stanley Smt th Barney LLC acted as yo ur agent in this transa	05/19/09	09/02/09	\$ 170 82	\$ 159 14		\$ 11 68
125006570	11	PLAINS EXPLORATION& PRODUCTION COMPANY	04/16/09	05/29/09	304 04	228 68		75 36
125006580	10	PLAINS EXPLORATION& PRODUCTION COMPANY	08/27/09	10/09/09	280 18	257 68		22 50
	11		09/02/09		308 20	291 02		17 18
	3	MorganStanley SmithBarney LLC acted as your agent	09/09/09		84 06	82 11		1 95
125006590	6	PLAINS EXPLORATION& PRODUCTION COMPANY	09/09/09	10/14/09	173 23	164 23		9 00
	8		09/16/09		230 98	233 48	(2 50)	
		MorganStanley SmithBarney LLC acted as your agent						
125006600	3	PLAINS EXPLORATION& PRODUCTION COMPANY	09/16/09	10/16/09	88 74	87 55		1 19
		MorganStanley SmithBarney LLC acted as your agent						
125006610	7	PROCTER & GAMBLE CO	08/04/09	12/15/09	435 36	388 46		46 90
	19	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09		1,181 69	986 59		195 10
125006620	25	PROTECTIVE LIFE CORP	05/19/08	02/26/09	120 50	1,039 98	(919 48)	
	11		09/23/08		53 02	343 69	(290 67)	
125006630	9	PUBLIC SERVICE ENTERPRISE GRP	12/19/08	01/20/09	275 44	262 89		12 55
	17		12/29/08		520 28	479 07		41 21
125006640	8	PUBLIC SERVICE ENTERPRISE GRP	12/30/08	01/21/09	243 12	220 88		22 24
	8		12/31/08		243 11	229 09		14 02
125006650	6	PUBLIC SERVICE ENTERPRISE GRP	12/31/08	01/27/09	195 43	171 81		23 62
125006700	14	QLOGIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	10/29/09	252 31	247 43		4 88
125006710	14	QLOGIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/28/09	11/03/09	248 78	243 15		5 63

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022855

Page 60 of 90
52 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006720	6	QLOGIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/28/09	11/04/09	\$ 107 87	\$ 104 21		\$ 3 66
125006730	9	QUESTAR CORP	10/14/08	01/12/09	300 50	251 65		48 85
	2		10/15/08		66 78	47 83		18 95
125006740	9	QUESTAR CORP	10/15/08	01/20/09	298 24	215 24		83 00
	3		10/21/08		99 41	91 05		8 36
125006750	6	QUESTAR CORP	10/21/08	01/21/09	197 35	182 09		15 26
	5		10/28/08		164 46	129 94		34 52
125006760	3	QUESTAR CORP	10/28/08	01/27/09	106 27	77 97		28 30
	6		10/30/08		212 54	194 69		17 85
125006770	2	QUESTAR CORP	10/30/08	01/28/09	71 49	64 89		6 60
	4		11/14/08		142 99	115 04		27 95
125006780	4	QUESTAR CORP	11/14/08	02/03/09	138 31	115 04		23 27
125006790	3	RAYMOND JAMES FINANCIAL CORP	07/29/08	05/01/09	46 59	85 97	(39 38)	
	10		09/23/08		155 29	317 63	(162 34)	
	1		12/29/08		15 52	16 09	(57)	
125006800	11	RAYMOND JAMES FINANCIAL CORP	12/29/08	05/15/09	169 81	177 03	(7 22)	
	8		01/28/09		123 49	157 69	(34 20)	
125006810	4	RAYMOND JAMES FINANCIAL CORP	01/28/09	05/19/09	68 67	78 84	(10 17)	
	9		03/16/09		154 52	153 71		81
125006820	2	RAYMOND JAMES FINANCIAL CORP	03/16/09	05/21/09	31 29	34 16	(2 87)	
125006830	12	RAYTHEON COMPANY NEW	10/30/08	01/12/09	603 70	580 98		22 72
	7		11/19/08		352 16	330 52		21 64
	7		12/05/08		352 15	334 40		17 75
125006840	15	RAYTHEON COMPANY NEW	03/19/09	04/28/09	675 34	541 99		133 35
	2		03/25/09		90 04	73 87		16 17
125006850	15	RAYTHEON COMPANY NEW	03/25/09	05/01/09	685 43	554 04		131 39
	3		04/01/09		137 08	117 96		19 12
125006860	6	RAYTHEON COMPANY NEW	04/01/09	05/08/09	287 61	235 91		51 70
	9		04/02/09		431 42	355 52		75 90
125006870	21	RED HAT INC Morgan Stanley Sml th Barney LLC acted as your agent in this transaction	03/02/09	08/26/09	468 11	283 74		184 37

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022856

Page 31 of 90

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006880	9	RED HAT INC	03/02/09	08/27/09	\$ 202 74	\$ 121 60		\$ 81 14
	9	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	03/09/09		202 74	120 31		82 43
125006890	13	RED HAT INC	03/09/09	09/02/09	293 74	173 77		119 97
	4	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	03/13/09		90 38	60 47		29 91
125006900	14	RED HAT INC	03/13/09	09/09/09	348 16	211 65		136 51
	3	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	04/02/09		74 61	53 86		20 75
125006910	15	RED HAT INC	04/02/09	09/16/09	375 49	269 29		106 20
		Morgan Stanley SmithBarney LLC acted as your agent in this transaction						
125006920	35	REGIONS FINANCIAL CORP (NEW)	12/29/08	01/27/09	142 24	280 89	(138 65)	
	24		12/31/08		97 53	186 35	(88 82)	
125006930	9	REGIONS FINANCIAL CORP (NEW)	12/31/08	01/28/09	41 03	69 88	(28 85)	
	38		01/12/09		173 23	269 06	(95 83)	
125006940	11	REYNOLDS AMERICAN INC	07/02/09	11/18/09	560 96	423 58		137 38
	4	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	07/22/09		203 98	164 91		39 07
125006950	12	REYNOLDS AMERICAN INC	07/22/09	11/20/09	607 75	494 73		113 02
		Morgan Stanley SmithBarney LLC acted as your agent in this transaction						
125006960	3	REYNOLDS AMERICAN INC	07/22/09	11/27/09	153 06	123 68		29 38
	2	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	07/24/09		102 04	83 51		18 53
125006970	6	REYNOLDS AMERICAN INC	07/24/09	11/30/09	301 10	250 52		50 58
		Morgan Stanley SmithBarney LLC acted as your agent in this transaction						

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022857

Page 82 of 90
54 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125006980	6	ROSS STORES INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09	07/28/09	\$ 264 24	\$ 235 16		\$ 29 08
125006990	6	ROSS STORES INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09	07/29/09	266 41	235 16		31 25
125007000	9	ROSS STORES INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	08/18/09	391 60	341 36		50 24
	16	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09		696 18	566 20		129 98
	5	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09		217 55	184 67		32 88
125007010	8	ROSS STORES INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	08/20/09	368 93	295 47		73 46
125007040	6	RYDER SYSTEM INC	10/30/08	05/11/09	164 53	227 38	(62 85)	
125007070	1	SAFEWAY INC NEW	09/23/08	01/20/09	23 40	24 73	(1 33)	
	14		09/30/08		327 61	324 42		3 19
	4		10/06/08		93 60	85 69		7 91
125007080	9	SAFEWAY INC NEW	10/06/08	01/21/09	205 70	192 82		12 88
125007090	1	SAFEWAY INC NEW	10/06/08	01/26/09	23 54	21 42		2 12
125007100	17	SAFEWAY INC NEW	02/03/09	07/28/09	319 70	365 40	(45 70)	
	12	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/06/09		225 67	254 77	(29 10)	
125007110	10	SAFEWAY INC NEW	02/06/09	07/31/09	190 10	212 30	(22 20)	
	9	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/25/09		171 09	189 67	(18 58)	
	7		03/02/09		133 07	129 41		3 66
125007120	17	SAFEWAY INC NEW	03/02/09	08/20/09	318 61	314 27		4 34
	22	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/05/09		412 32	395 45		16 87
	24		03/19/09		449 81	472 18	(22 37)	
	20		06/10/09		374 84	420 07	(45 23)	
	6		06/11/09		112 46	126 31	(13 85)	

2 0 0 9 Y E A R E N D S U M M A R Y

Morgan Stanley Smith Barney

Reserved Client Statement 2009 Year End Summary

Page 88 of 90
55 8.1

Ref 00002979 00022858

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007130	11	SAFEWAY INC NEW Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/11/09	08/21/09	\$ 211 13	\$ 231 57	(\$ 20 44)	
125007140	13	ST MARY LAND & EXPL CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09	07/22/09	291 84	193 63		98 21
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09		112 25	93 59		18 66
125007150	7	ST MARY LAND & EXPL CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09	07/23/09	164 90	131 03		33 87
	4	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/15/09		94 23	78 97		15 26
125007160	7	ST MARY LAND & EXPL CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/15/09	07/24/09	166 04	138 20		27 84
125007170	24	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/19/08	07/02/09	342 03	153 83		188 20
125007180	4	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/19/08	07/22/09	74 61	25 64		48 97
	27	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/03/08		503 64	226 11		277 53
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/09		37 31	16 43		20 88
125007190	26	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/09	07/23/09	435 23	213 57		221 66
125007200	19	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/05/09	07/24/09	321 64	156 07		165 57
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/25/09		33 86	24 68		9 18
125007210	19	SANDISK CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/25/09	07/29/09	337 00	234 45		102 55

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 00002979 00022859

Page 84 of 90
56 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007220	4	SANDISK CORP	03/25/09	07/30/09	\$ 72 25	\$ 49 36		\$ 22 89
	10	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/09		180 64	133 17		47 47
125007230	13	SANDISK CORP	04/02/09	07/31/09	233 95	173 13		60 82
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007240	10	SANDISK CORP	04/02/09	08/06/09	177 13	133 17		43 96
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007250	9	SCANA CORP NEW	04/20/09	06/30/09	290 46	277 09		13 37
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007260	1	SEACOR HOLDINGS INC	10/28/08	01/12/09	66 74	55 31		11 43
	3		10/30/08		200 21	186 75		13 46
125007270	1	SEACOR HOLDINGS INC	10/30/08	01/21/09	62 61	62 25		36
	3		11/14/08		187 82	204 38	(16 56)	
125007280	7	SEARS HOLDINGS CORPORATION	10/21/08	04/06/09	356 32	404 26	(47 94)	
125007290	9	SEARS HOLDINGS CORPORATION	10/24/08	04/15/09	474 56	436 07		38 49
	2		10/27/08		105 46	99 61		5 85
125007300	7	SEARS HOLDINGS CORPORATION	10/27/08	04/20/09	413 34	348 63		64 71
	2		10/28/08		118 10	105 15		12 95
125007310	3	SEARS HOLDINGS CORPORATION	10/28/08	04/28/09	178 80	157 73		21 07
	8		11/14/08		476 80	318 91		157 89
	7		11/17/08		417 19	260 36		156 83
125007320	1	SEARS HOLDINGS CORPORATION	11/17/08	05/01/09	60 91	37 19		23 72
	7		11/18/08		426 37	212 69		213 68
	6		11/19/08		365 46	168 96		196 50
125007330	1	SEARS HOLDINGS CORPORATION	11/19/08	07/22/09	65 16	28 16		37 00
	7	Morgan Stanley Sml	11/20/08		456 15	202 26		253 89
	6	th Barney LLC acted as yo	12/03/08		390 99	215 79		175 20
	2	ur agent in this transa	12/17/08		130 33	80 85		49 48

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Page 95 of 96
52 81

Ref 00002979 00022860

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain/(Loss) 2009--continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007340	4	SEARS HOLDINGS CORPORATION	12/17/08	07/23/09	\$ 270 13	\$ 161 69		\$ 108 44
	6	Morgan Stanley Sml	12/19/08		405 20	238 73		166 47
	1	th Barney LLC acted as yo ur agent in this transa	01/28/09		67 54	46 24		21 30
125007350	4	SEARS HOLDINGS CORPORATION	01/28/09	07/24/09	268 80	184 97		83 83
	4	Morgan Stanley Sml	02/18/09		268 79	150 94		117 85
		th Barney LLC acted as yo ur agent in this transa						
125007360	6	SEARS HOLDINGS CORPORATION	02/18/09	07/29/09	400 11	226 42		173 69
		Morgan Stanley Sml						
		th Barney LLC acted as yo ur agent in this transa						
125007370	10	SEMPRA ENERGY	09/03/08	04/02/09	465 78	569 59	(103 81)	
125007380	12	THE SHAW GROUP INC	04/02/09	04/28/09	364 31	359 88		4 43
	6		04/06/09		182 16	172 60		9 56
125007390	2	THE SHAW GROUP INC	04/06/09	05/01/09	58 87	57 53		1 34
125007400	7	SILICON LABORATORIES INC	06/03/09	08/20/09	290 41	244 55		45 86
	3	Morgan Stanley Sml	06/10/09		124 46	113 22		11 24
		th Barney LLC acted as yo ur agent in this transa						
125007410	4	SILICON LABORATORIES INC	06/10/09	08/21/09	168 27	150 95		17 32
	3	Morgan Stanley Sml	06/11/09		126 20	115 12		11 08
		th Barney LLC acted as yo ur agent in this transa						
125007420	3	SILICON LABORATORIES INC	06/11/09	08/26/09	127 58	115 12		12 46
	3	Morgan Stanley Sml	06/17/09		127 57	110 92		16 65
		th Barney LLC acted as yo ur agent in this transa						
125007430	3	SILICON LABORATORIES INC	06/17/09	08/27/09	127 52	110 92		16 60
		Morgan Stanley Sml						
		th Barney LLC acted as yo ur agent in this transa						
125007440	13	SOUTHERN UNION COMPANY	04/06/09	11/03/09	252 41	202 90		49 51
		Morgan Stanley Smith Barney LLC acted as your agent in this transaction						
125007450	46	SOUTHWEST AIRLINES CO	03/05/08	01/28/09	350 67	578 50	(227 83)	
	14		04/02/08		106 73	180 38	(73 65)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022861

Page 96 of 100
58 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007460	21	SOUTHWEST AIRLINES CO	04/02/08	02/03/09	\$ 142.41	\$ 270.58	(\$ 128.17)	
	30		04/14/08		203.44	382.13	(178.69)	
125007470	4	SOUTHWEST AIRLINES CO	04/14/08	02/05/09	26.71	50.95	(24.24)	
	29		04/16/08		193.67	363.25	(169.58)	
	16		05/14/08		106.86	216.01	(109.15)	
125007480	34	SOUTHWEST AIRLINES CO	05/14/08	03/02/09	187.38	459.02	(271.64)	
	21		06/10/08		115.73	294.09	(178.36)	
125007490	5	SOUTHWEST AIRLINES CO	06/10/08	03/12/09	27.05	70.02	(42.97)	
	26		06/11/08		140.65	357.52	(216.87)	
	56		07/16/08		302.93	795.78	(492.85)	
125007500	29	SOUTHWEST AIRLINES CO	09/23/08	03/16/09	168.83	450.06	(281.23)	
	29		10/02/08		168.84	401.33	(232.49)	
125007510	7	SOUTHWEST AIRLINES CO	10/02/08	03/19/09	40.78	96.87	(56.09)	
125007520	17	SOUTHWESTERN ENERGY CO DEL	05/01/09	05/15/09	670.04	654.62		15.42
125007530	14	SPIRIT AEROSYSTEMS HLDGS INC	09/23/08	01/12/09	169.51	241.02	(71.51)	
	4	CLASS A	10/06/08		48.43	52.75	(4.32)	
125007540	14	SPIRIT AEROSYSTEMS HLDGS INC	10/06/08	01/21/09	164.62	184.64	(20.02)	
	3	CLASS A	10/07/08		35.27	40.17	(4.90)	
125007550	15	SPIRIT AEROSYSTEMS HLDGS INC	10/07/08	01/27/09	198.17	200.86	(2.69)	
		CLASS A						
125007560	7	STANCORP FINANCIAL GROUP	03/03/08	01/12/09	233.24	339.70	(106.46)	
125007570	7	STANCORP FINANCIAL GROUP	05/19/08	01/21/09	202.74	376.50	(173.76)	
125007580	2	STANCORP FINANCIAL GROUP	05/19/08	01/27/09	57.24	107.57	(50.33)	
125007590	7	STANLEY INC	11/19/08	04/16/09	192.25	219.84	(27.59)	
125007600	10	STARENT NETWORKS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09	07/22/09	257.06	196.17		60.89
125007610	2	STARENT NETWORKS CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/01/09	07/23/09	52.11	39.24		12.87
	7		05/15/09		182.38	130.18		52.20
125007620	8	STARENT NETWORKS CORP	05/15/09	07/24/09	195.03	148.78		46.25
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09		24.38	22.54		1.84

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Page 87 of 96
59 81

Ref 00002979 0002862

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007630	11	STARENT NETWORKS CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	07/29/09	\$ 251 31	\$ 247 92		\$ 3 39
125007640	4	STERICYCLE INC	11/20/08	09/29/09	194 35	226 63	(32 28)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/08		194 35	211 48	(17 13)	
125007650	8	STERIS CORP	10/02/08	01/21/09	187 73	286 31	(98 58)	
	1		10/20/08		23 47	33 19	(9 72)	
125007660	6	STERIS CORP	10/20/08	03/12/09	121 57	199 14	(77 57)	
	3		11/13/08		60 78	91 94	(31 16)	
125007670	4	STERIS CORP	11/13/08	03/16/09	85 20	122 58	(37 38)	
125007680	6	SUNOCO INC	11/18/08	04/06/09	166 67	225 35	(58 68)	
	2		11/19/08		55 56	67 25	(11 69)	
125007690	4	SUNOCO INC	11/19/08	04/16/09	112 70	134 51	(21 81)	
	4		11/20/08		112 70	118 79	(6 09)	
125007700	2	SUNOCO INC	11/20/08	04/20/09	53 65	59 40	(5 75)	
	6		12/03/08		160 93	210 19	(49 26)	
125007710	8	SUNOCO INC	02/26/09	04/28/09	217 29	279 33	(62 04)	
125007720	8	SUNOCO INC	02/26/09	06/01/09	224 79	279 33	(54 54)	
125007730	5	SUNOCO INC	02/26/09	05/15/09	141 70	174 58	(32 88)	
125007740	15	SUNTRUST BANKS INC	12/08/08	01/28/09	221 05	489 75	(268 70)	
	6		12/17/08		88 42	177 47	(89 05)	
125007750	23	SUNTRUST BANKS INC	12/17/08	02/03/09	235 26	680 29	(445 03)	
	11		12/19/08		112 52	324 76	(212 24)	
	1		12/23/08		10 23	27 51	(17 28)	
125007760	7	SUNTRUST BANKS INC	12/23/08	02/06/09	84 66	192 60	(107 94)	
	15		12/29/08		181 40	407 58	(226 18)	
125007770	6	SUNTRUST BANKS INC	12/29/08	02/09/09	78 24	163 03	(84 79)	
	16		12/31/08		208 65	462 67	(254 02)	
125007780	5	SUNTRUST BANKS INC	12/31/08	02/26/09	66 79	144 59	(77 80)	
	21		01/12/09		280 51	513 80	(233 29)	
125007790	1	SUNTRUST BANKS INC	01/12/09	03/02/09	11 28	24 47	(13 19)	
125007800	30	SUNTRUST BANKS INC	04/20/09	04/24/09	453 09	443 32		9 77
125007810	28	SYMANTEC CORP	01/27/09	02/02/09	415 47	393 50		21 97

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022863

Page 66 of 80
60 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007820	9	SYNAPTICS INC	10/15/08	01/12/09	\$ 174 77	\$ 242 38	(\$ 67 61)	
	2		10/21/08		38 84	58 47	(19 63)	
125007830	6	SYNAPTICS INC	10/21/08	01/21/09	122 61	175 41	(52 80)	
	4		10/27/08		81 74	114 08	(32 34)	
125007850	32	TARGET CORP	06/03/09	07/02/09	1,199 81	1,313 57	(113 76)	
	13	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/09/09		487 42	534 85	(47 43)	
125007860	59	TARGET CORP	06/09/09	07/15/09	2,329 87	2,427 39	(97 52)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007870	5	TARGET CORP	06/09/09	07/16/09	195 06	205 71	(10 65)	
	25	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/10/09		975 28	1,006 66	(30 38)	
125007880	17	TEREX CORP NEW	03/25/09	07/24/09	241 31	177 54		63 77
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125007890	2	TEREX CORP NEW	03/25/09	07/31/09	30 42	20 89		9 53
	14	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09		212 95	151 90		61 05
125007900	4	TEREX CORP NEW	04/06/09	08/18/09	59 49	43 40		16 09
	17	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/22/09		252 81	207 85		44 96
125007910	19	TESORO CORP	02/26/09	04/06/09	282 97	295 37	(12 40)	
125007920	8	TESORO CORP	02/26/09	05/15/09	133 69	124 36		9 33
	11		03/02/09		183 82	148 03		35 79
125007930	19	TESORO CORP	03/02/09	05/19/09	325 09	255 68		69 41
125007940	1	TESORO CORP	03/02/09	06/03/09	15 02	13 46		1 56
	16	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/09		240 31	244 46	(4 15)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022864

Page 66 of 90
6, 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007950	16	TESORO CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/09	06/10/09	\$ 244 33	\$ 244 46	(\$ 13)	
125007960	14	TESORO CORP	03/19/09	06/17/09	193 59	213 90	(20 31)	
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/24/09		27 65	29 52	(1 87)	
125007970	35	TESORO CORP	03/24/09	07/13/09	382 26	516 69	(134 43)	
	20	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/25/09		218 44	296 72	(78 28)	
125007980	8	TEXAS INDUSTRIES INC	01/12/09	07/22/09	305 34	213 49		91 85
	8	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/26/09		305 33	134 87		170 46
125007990	4	TEXAS INDUSTRIES INC	02/26/09	07/23/09	166 43	67 43		99 00
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008000	22	THERMO FISHER SCIENTIFIC INC	04/28/09	05/28/09	834 41	737 03		97 38
125008010	8	THORATEC CORP	10/14/08	04/20/09	212 05	216 20	(4 15)	
125008020	3	THORATEC CORP	10/14/08	04/28/09	81 59	81 08		51
	5		10/15/08		135 98	129 32		6 66
125008030	4	THORATEC CORP	10/15/08	05/01/09	115 75	103 46		12 29
	4		10/21/08		115 74	114 30		1 44
125008040	5	THORATEC CORP	10/21/08	07/31/09	126 91	142 88	(15 97)	
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/14/08		126 90	116 37		10 53
125008050	5	THORATEC CORP	11/14/08	08/20/09	133 77	116 37		17 40
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008060	53	TIME WARNER INC	04/06/09	11/18/09	1,726 98	1,130 77		596 21
	21	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09		684 27	524 59		159 68

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Page 26 of 90
62 81

Ref 0002979 00022865

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008070	12	TIME WARNER CABLE INC	04/27/09	05/26/09	\$ 393.57	\$ 324.98		\$ 68.59
	4		05/08/09		131.19	143.33	(12.14)	
125008080	6	TIME WARNER CABLE INC	05/08/09	05/27/09	196.16	215.00	(18.84)	
125008090	13	TIME WARNER CABLE INC	07/02/09	11/18/09	546.39	395.46		150.93
	11	MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/15/09		462.33	339.77		122.56
125008100	3	TIMKEN CO	07/16/08	01/12/09	56.20	84.80	(28.60)	
	8		07/18/08		149.87	230.69	(80.82)	
125008110	3	TIMKEN CO	07/18/08	02/06/09	45.08	86.51	(41.43)	
	11		09/23/08		165.31	314.18	(148.87)	
125008120	13	TIMKEN CO	10/07/08	03/16/09	176.21	301.74	(125.53)	
125008140	3	TOLL BROS INC	10/21/08	08/27/09	69.16	63.57		5.59
		Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.						
125008150	11	TOLL BROS INC	10/21/08	09/02/09	234.91	233.10		1.81
	12	Morgan Stanley Sml	10/24/08		256.27	217.66		38.61
	2	th Barney LLC acted as your agent in this transaction.	10/28/08		42.71	34.86		7.85
125008160	10	TOLL BROS INC	10/28/08	09/03/09	216.52	174.30		42.22
	8	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	10/30/08		173.21	166.99		6.22
125008170	3	TOLL BROS INC	10/30/08	09/09/09	65.60	62.62		2.98
	13	Morgan Stanley Sml th Barney LLC acted as your agent in this transaction.	11/19/08		284.25	210.94		73.31
125008180	2	TOLL BROS INC	11/19/08	09/16/09	44.28	32.45		11.83
	12	Morgan Stanley Sml	11/20/08		265.68	187.29		78.39
	1	th Barney LLC acted as your agent in this transaction.	02/18/09		22.14	17.14		5.00
125008190	17	TOLL BROS INC	02/18/09	09/25/09	338.93	291.37		47.56
		MorganStanley SmithBarney LLC acted as your agent in this transaction.						

2009 YEAR END SUMMARY

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 0002979 00022866

Page 1 of 96
63 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008250	6	TRANSDIGM GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/12/09	10/16/09	\$ 261 49	\$ 185 12		\$ 76 37
125008260	4	TRANSDIGM GROUP INC	03/12/09	10/20/09	169 02	123 41		45 61
	2	MorganStanley SmithBarney LLC acted as your agent in this transaction	03/16/09		84 51	66 50		18 01
125008270	5	TRANSDIGM GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/16/09	10/27/09	201 84	166 24		35 60
125008350	9	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/27/09	10/16/09	437 31	358 67		78 64
125008360	17	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/27/09	10/20/09	825 00	677 49		147 51
125008370	14	TRINITY INDUSTRIES INC	10/07/08	01/12/09	208 61	270 24	(61 63)	
125008380	14	TRINITY INDUSTRIES INC	10/07/08	01/21/09	12 98	19 30	(6 32)	
	1		10/14/08		181 73	325 00	(143 27)	
			10/15/08		12 98	21 04	(8 06)	
125008390	10	TRINITY INDUSTRIES INC	10/15/08	02/05/09	113 96	210 44	(96 48)	
125008400	51	US BANCORP DEL NEW	01/21/09	03/19/09	770 13	691 18		78 95
	25		02/02/09		377 52	376 56		96
	4		03/12/09		60 40	54 35		6 05
125008410	17	US BANCORP DEL NEW	03/12/09	04/16/09	303 27	231 00		72 27
	36		03/23/09		642 21	524 57		117 64
	67		03/25/09		1,195 22	1,044 46		150 76
125008420	6	USANA HEALTH SCIENCES INC	10/28/08	02/03/09	144 87	234 44	(89 57)	
	3		10/30/08		72 44	114 87	(42 43)	
125008430	3	USANA HEALTH SCIENCES INC	10/30/08	03/19/09	62 01	114 87	(52 86)	
125008450	11	USG CORPORATION NEW Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/07/08	07/24/09	142 41	294 89	(152 48)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022867

Page 12 of 96
64 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008460	1	USG CORPORATION NEW	07/29/08	07/29/09	\$ 13 32	\$ 26 81	(\$ 13 49)	
	11	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/23/08		146 57	314 66	(168 09)	
125008470	8	UNION PACIFIC CORP	07/29/08	02/02/09	341 69	632 31	(290 62)	
125008480	7	UNION PACIFIC CORP	07/29/08	02/06/09	343 91	553 28	(209 37)	
	24		07/30/08		1,179 13	1,996 83	(817 70)	
125008490	11	UNION PACIFIC CORP	07/30/08	06/10/09	583 00	915 21	(332 21)	
	6	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/04/08		318 00	480 87	(162 87)	
125008500	38	UNION PACIFIC CORP	08/04/08	06/26/09	2,012 07	3,045 51	(1,033 44)	
	13	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/07/08		688 34	1,066 88	(378 54)	
125008510	9	UNION PACIFIC CORP	10/21/08	08/21/09	558 97	536 94		22 03
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008520	12	UNION PACIFIC CORP	10/21/08	09/09/09	751 17	715 92		35 25
	18	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/28/08		1,126 76	1,014 54		112 22
125008530	8	UNION PACIFIC CORP	10/28/08	09/16/09	504 72	450 90		53 82
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/02/09		126 18	71 96		54 22
125008540	6	UNION PACIFIC CORP	03/02/09	10/16/09	378 14	215 87		162 27
	7	Morgan Stanley SmithBarney LLC	03/24/09		441 16	287 65		153 51
	3	acted as your agent in this transaction	03/25/09		189 07	124 96		64 11
125008550	8	UNION PACIFIC CORP	03/25/09	10/20/09	513 26	333 24		180 02
	10	Morgan Stanley SmithBarney LLC	04/01/09		641 58	413 35		228 23
		acted as your agent in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022868

Page 78 of 96
65 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008560	1	UNION PACIFIC CORP	04/01/09	10/22/09	\$ 60 83	\$ 41 34		\$ 19 49
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/09		547 43	392 39		155 04
125008570	3	UNION PACIFIC CORP	04/06/09	10/23/09	171 73	130 79		40 94
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125008580	10	UNITED RENTALS INC	09/08/08	01/12/09	75 70	163 55	(87 85)	
125008590	10	UNITED RENTALS INC	09/08/08	06/08/09	64 95	163 54	(98 59)	
	19	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	09/23/08		123 41	296 97	(173 56)	
125008600	8	UNITED STATES STEEL CORP NEW	10/14/08	01/12/09	262 14	389 33	(127 19)	
125008610	2	UNITED STATES STEEL CORP NEW	10/14/08	01/20/09	62 62	97 33	(34 71)	
	6		10/15/08		187 87	248 29	(60 42)	
125008620	7	UNITED STATES STEEL CORP NEW	10/15/08	01/21/09	213 95	289 66	(75 71)	
125008630	10	UNITED STATES STEEL CORP NEW	10/15/08	01/28/09	340 29	413 81	(73 52)	
125008640	7	UNITED TECHNOLOGIES CORP	02/09/09	05/19/09	368 10	346 88		21 22
	22		02/19/09		1,156 89	993 90		162 99
	24		03/02/09		1,262 07	945 66		316 41
	6		03/20/09		315 52	247 98		67 54
	3		05/01/09		157 76	147 89		9 87
125008650	2	UNITED TECHNOLOGIES CORP	05/01/09	05/27/09	104 60	98 60		6 00
125008660	17	UNITED TECHNOLOGIES CORP	07/14/09	10/09/09	1,054 92	873 74		181 18
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09		558 49	473 51		84 98
	18		07/23/09		1,116 97	962 15		154 82
125008670	12	UNITED TECHNOLOGIES CORP	07/23/09	10/14/09	760 76	641 44		119 32
	22	MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09		1,394 72	1,144 03		250 69
	5		07/29/09		316 98	260 69		56 29
125008680	22	UNITED TECHNOLOGIES CORP	07/29/09	10/16/09	1,422 65	1,147 01		275 64
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125008690	27	UNITEDHEALTH GROUP INC	09/15/08	04/28/09	650 04	766 86	(116 82)	
	15		10/02/08		361 13	374 26	(13 13)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Page 7 of 90
66.81

Ref 00002979 00022869

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain. (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008700	12	UNITEDHEALTH GROUP INC	10/15/08		\$ 288 91	\$ 263 75		\$ 25.16
	13		10/21/08		312 98	330 86	(17 88)	
	8		10/27/08		192 60	180 10		12 50
	14		10/27/08	05/01/09	321 65	315 17		6 48
	13		10/30/08		298 67	288 17		10 50
	18		02/24/09		413 55	435 82	(22 27)	
125008710	14	UNITRIN INC	04/06/09	06/10/09	201 72	211 62	(9 90)	
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/16/09		28 82	32 96	(4 14)	
125008720	11	UNITRIN INC	04/16/09	06/17/09	148 65	181 27	(32 62)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008780	10	UNUM GROUP	10/15/08	10/14/09	224 27	188 26		36 01
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125008840	12	URBAN OUTFITTERS INC	09/23/08	01/12/09	174 18	405 74	(231 56)	
	3		10/06/08		43 55	72 97	(29 42)	
125008850	13	URBAN OUTFITTERS INC	10/06/08	01/21/09	198 95	316 19	(117 24)	
125008860	2	URBAN OUTFITTERS INC	10/06/08	01/27/09	32 77	48 65	(15 88)	
	11		10/21/08		180 26	263 48	(83 22)	
125008870	10	V F CORP	11/14/08	02/25/09	530 19	477 83		52 36
	5		12/03/08		265 09	243 20		21 89
125008880	6	V F CORP	05/01/09	07/30/09	388 38	359 36		29 02
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008890	4	VALMONT INDUSTRIES INC DEL	06/26/09	07/31/09	284 67	287 53	(2 86)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008900	11	VARIAN MEDICAL SYSTEMS INC	10/28/08	01/20/09	379 60	431 48	(51 88)	
125008910	5	VARIAN MEDICAL SYSTEMS INC	10/28/08	01/21/09	170 39	196 12	(25 73)	
	7		10/30/08		238 55	315 77	(77 22)	

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley
SmithBarney

Reserved
Client Statement
2009 Year End Summary

Page 76 of 90
67 81

Ref 00002979 00022870

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008920	9 2	VARIAN MEDICAL SYSTEMS INC	10/30/08	01/28/09	\$ 319 46	\$ 406 00	(\$ 86 54)	
			11/14/08		70 99	83 73	(12 74)	
125008930	9	VARIAN MEDICAL SYSTEMS INC	11/14/08	02/03/09	328 41	376 79	(48 38)	
125008940	8 2	VARIAN MEDICAL SYSTEMS INC	11/14/08	02/05/09	302 16	334 92	(32 76)	
			11/17/08		75 54	80 57	(5 03)	
125008950	8	VARIAN MEDICAL SYSTEMS INC	11/17/08	02/06/09	308 95	322 27	(13 32)	
125008960	7 2	VARIAN MEDICAL SYSTEMS INC	11/17/08	02/26/09	221 85	281 99	(60 14)	
			11/18/08		63 38	78 86	(15 48)	
125008970	10 5	VARIAN MEDICAL SYSTEMS INC	11/18/08	03/12/09	291 43	394 31	(102 88)	
			11/19/08		145 72	188 74	(43 02)	
125008980	4 5	VARIAN MEDICAL SYSTEMS INC	11/19/08	03/16/09	126 16	151 00	(24 84)	
			11/20/08		157 69	181 78	(24 09)	
125008990	4	VARIAN MEDICAL SYSTEMS INC	11/20/08	03/19/09	119 15	145 42	(26 27)	
125009000	25 15	VERIZON COMMUNICATIONS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/08/09 06/09/09	06/19/09	742 83 445 70	727 00 439 30		15 83 6 40
125009010	18	VERIZON COMMUNICATIONS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/08/09	07/10/09	511 74	518 40	(6 66)	
125009020	3	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/22/09	11/18/09	91 62	70 02		21 60
125009030	14 2	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/22/09 07/24/09	11/20/09	420 02 60 00	326 74 47 88		93 28 12 12
125009040	9	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	11/27/09	269 26	215 48		53 78
125009050	5	VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	11/30/09	147 39	119 71		27 68

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022871

Page 76 of 96
68 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009060	37	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	07/02/09	\$ 2,267 44	\$ 2,471 32	(\$ 203 88)	
125009070	40	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	07/22/09	2,676 16	2,671 69		4 47
	26	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/03/09		1,739 50	1,758 56	(19 06)	
125009080	27	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/03/09	07/23/09	1,816 97	1,826 20	(9 23)	
	18	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/09		1,211 31	1,239 50	(28 19)	
125009090	29	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/09	07/24/09	1,935 11	1,996 97	(61 86)	
125009100	23	VISA INC COM CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/09	07/29/09	1,521 90	1,583 81	(61 91)	
125009110	9	WMS INDUSTRIES INC	10/30/08	03/19/09	189 93	211 70	(21 77)	
	2		11/14/08		42 21	43 26	(1 05)	
125009120	7	WMS INDUSTRIES INC	11/14/08	03/25/09	151 99	151 41		58
125009130	1	WARNACO GROUP INC	06/18/08	01/21/09	19 93	47 36	(27 43)	
125009140	7	WARNACO GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/09	07/28/09	256 34	244 27		12 07
125009150	7	WARNACO GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/11/09	08/20/09	270 11	241 45		28 66
125009160	7	WARNACO GROUP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/17/09	08/21/09	271 96	232 34		39 62

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022872

Page 74 of 80
69 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short-Term Gain: (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009170	10	WATSON PHARMACEUTICALS INC	05/15/09	07/22/09	\$ 337 29	\$ 299 57		\$ 37 72
	9	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09		303 56	281 28		22 28
125009180	8	WELLCARE HLTH PLANS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09	11/18/09	266 14	198 08		68 06
125009190	8	WELLCARE HLTH PLANS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/20/09	11/30/09	261 56	198 08		63 48
125009200	26 4	WELLPOINT INC	10/06/08 10/15/08	04/28/09	1,097 62 168 86	1,099 98 167 37	(2 36)	1 49
125009210	5 16	WELLPOINT INC	10/15/08 10/27/08	05/28/09	234 95 751 85	209 22 645 66		25 73 106 19
125009220	15	WESTERN DIGITAL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/15/09	07/02/09	386 76	345 62		41 14
125009230	3	WESTERN DIGITAL CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/15/09	07/07/09	76 81	69 12		7 69
125009240	7	WEYERHAEUSER CO	02/03/09	04/06/09	212 76	189 17		23 59
125009250	2	WEYERHAEUSER CO	02/03/09	04/13/09	63 60	54 05		9 55
125009260	17	WHOLE FOODS MKT INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	07/23/09	405 66	346 69		58 97
125009270	10	WHOLE FOODS MKT INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09	07/24/09	237 39	203 94		33 45
125009280	1 11	WHOLE FOODS MKT INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/19/09 05/21/09	07/29/09	24 01 264 07	20 39 222 81		3 62 41 26

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022873

Page 78 of 80
70 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short-Term Gain (Loss) 2009 - continued

Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009290	4	WHOLE FOODS MKT INC	05/21/09	08/18/09	\$ 109 62	\$ 81 02		\$ 28 60
	21	Morgan Stanley Smi	06/10/09		575 52	436 54		138 98
	14	th Barney LLC acted as yo ur agent in this transa	06/11/09		383 68	292 72		90 96
125009300	26	WILLIAMS COS INC	02/26/09	03/12/09	282 44	313 32	(30 88)	
125009310	11	WILLIAMS COS INC	02/26/09	03/17/09	124 35	132 56	(8 21)	
125009320	19	WILLIAMS SONOMA INC	03/12/09	07/22/09	251 58	181 17		70 41
	20	Morgan Stanley Smi	03/16/09		264 82	185 40		79 42
	1	th Barney LLC acted as yo ur agent in this transa	03/25/09		13 24	10 96		2 28
125009330	17	WILLIAMS SONOMA INC	03/25/09	07/23/09	235 88	186 41		49 47
		Morgan Stanley Smi						
		th Barney LLC acted as yo ur agent in this transa						
125009340	19	WORTHINGTON INDUSTRIES INC	10/07/08	01/12/09	199 48	242 21	(42 73)	
	1		10/14/08		10 50	11 71	(1 21)	
125009350	18	WORTHINGTON INDUSTRIES INC	10/14/08	01/21/09	185 12	210 85	(25 73)	
	2		10/30/08		20 57	22 60	(2 03)	
125009360	17	WORTHINGTON INDUSTRIES INC	10/30/08	02/06/09	190 96	192 06	(1 10)	
125009370	19	XILINX INC	05/08/09	06/26/09	386 90	354 75		32 15
		Morgan Stanley Smi						
		th Barney LLC acted as yo ur agent in this transa						
125009380	1	XILINX INC	05/08/09	07/22/09	20 47	18 67		1 80
	22	Morgan Stanley Smi	05/15/09		450 25	413 26		36 99
	1	th Barney LLC acted as yo ur agent in this transa	05/19/09		20 46	19 11		1 35
125009390	12	XILINX INC	05/19/09	07/23/09	251 61	229 30		22 31
		Morgan Stanley Smi						
		th Barney LLC acted as yo ur agent in this transa						
125009400	2	XILINX INC	05/19/09	07/24/09	42 62	38 22		4 40
		Morgan Stanley Smi						
		th Barney LLC acted as yo ur agent in this transa						
125009410	7	ZIMMER HOLDINGS INC	12/03/08	02/26/09	261 06	254 45		6 61
	3		12/08/08		111 88	117 43	(5 55)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022874

Page 76 of 90
71 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125009420	3	ZIMMER HOLDINGS INC	12/08/08	09/30/09	\$ 160 81	\$ 117 43		\$ 43 38
	9	MorganStanley SmithBarney LLC	02/03/09		482 42	329 06		153 36
	12	acted as your agent in this transaction	03/19/09		643 23	427 22		216 01
Total					\$ 504,136 52	\$ 493,538 95	(\$ 41,551 43)	\$ 52,148 00

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000550	11	ALLSTATE CORP	11/19/07	02/06/09	\$ 241 74	\$ 565 88	(\$ 324 14)	
	15		11/26/07		329 65	750 13	(420 48)	
	11		11/28/07		241 74	568 22	(326 48)	
125000560	7	ALLSTATE CORP	11/28/07	02/26/09	129 45	361 59	(232 14)	
	9		12/10/07		166 43	479 64	(313 21)	
	14		12/11/07		258 90	760 23	(501 33)	
	10		12/21/07		184 93	511 86	(326 93)	
125000570	85	ALLSTATE CORP	12/21/07	04/06/09	1,744 45	4,350 78	(2,606 33)	
125000580	31	ALLSTATE CORP MorganStanley Smit th Barney LLC acted as yo ur agent in this transa	12/21/07	07/02/09	754 67	1,586 76	(832 09)	
125000790	10	AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/05/06	10/16/09	261 12	276 42	(15 30)	
125000800	10	AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/05/06	10/20/09	261 31	276 43	(15 12)	

2 0 0 9 Y E A R E N D S U M M A R Y

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MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Page 80 of 90
72 81

Ref 00002979 00022875

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000810	1	AMERICAN FINL GROUP INC OHIO	04/05/06	10/27/09	\$ 25 05	\$ 27 64	(\$ 2 59)	
	9	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/18/08		225 49	256 97	(31 48)	
125000820	4	AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/18/08	10/29/09	101 80	114 21	(12 41)	
125002010	11	CENTEX CORP	04/20/07	04/28/09	121 32	509 04	(387 72)	
	9		04/23/07		99 26	411 53	(312 27)	
	9		04/26/07		99 26	421 00	(321 74)	
	5		04/30/07		55 16	228 18	(173 02)	
125002020	3	CENTEX CORP	04/30/07	05/01/09	31 90	136 90	(105 00)	
	8		05/04/07		85 08	375 53	(290 45)	
	8		05/22/07		85 08	390 86	(305 78)	
125002030	1	CENTEX CORP	05/22/07	05/06/09	10 48	48 86	(38 38)	
	8		06/01/07		83 88	383 34	(299 46)	
125002100	22	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/07	10/09/09	1,596 22	1,755 87	(159 65)	
125002110	5	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/07	10/14/09	375 78	399 06	(23 28)	
125002120	4	CHEVRON CORP	05/08/07	10/16/09	306 56	319 25	(12 69)	
	4	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/25/07		306 55	322 88	(16 33)	
125002130	10	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/25/07	10/23/09	766 34	807 19	(40 85)	
125002140	9	CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/25/07	10/29/09	696 36	726 47	(30 11)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022876

Page 81 of 90
73 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002190	4	CHUBB CORP	04/26/07	01/27/09	\$ 166 13	\$ 217 65	(\$ 51 52)	
	12		05/09/07		498 38	663 94	(165 56)	
	17		08/10/07		706 03	795 53	(89 50)	
125002200	6	CHUBB CORP	08/10/07	01/28/09	262 31	280 77	(18 46)	
	4		11/26/07		174 88	207 71	(32 83)	
125002270	9	COMPUTER SCIENCES CORP	02/07/07	06/26/09	391 60	493 43	(101 83)	
	8	Morgan Stanley Smi	02/08/07		348 09	435 06	(86 97)	
	6	th Barney LLC acted as yo ur agent in this transa	02/26/07		261 07	322 26	(61 19)	
125002280	3	COMPUTER SCIENCES CORP	02/26/07	07/29/09	142 79	161 13	(18 34)	
	10	Morgan Stanley Smi	06/22/07		475 95	574 16	(98 21)	
		th Barney LLC acted as yo ur agent in this transa						
125002290	8	COMPUTER SCIENCES CORP	06/22/07	07/31/09	387 15	459 32	(72 17)	
	4	Morgan Stanley Smi	01/07/03		193 58	175 83		17.75
		th Barney LLC acted as yo ur agent in this transa						
125002300	5	COMPUTER SCIENCES CORP	01/07/08	08/20/09	243 97	219 79		24 18
	9	Morgan Stanley Smi	01/16/08		439 15	357 66		81 49
	5	th Barney LLC acted as yo ur agent in this transa	01/17/08		243 97	195 43		48 54
125002310	4	COMPUTER SCIENCES CORP	01/17/08	08/21/09	197 86	156 34		41 52
	9	Morgan Stanley Smi	01/24/08		445 19	347 55		97 64
	2	th Barney LLC acted as yo ur agent in this transa	01/29/08		98 93	81 25		17 68
125002320	7	COMPUTER SCIENCES CORP	01/29/08	08/26/09	350 81	284 36		66 45
	6	Morgan Stanley Smi	02/01/08		300 70	251 20		49 50
		th Barney LLC acted as yo ur agent in this transa						
125002330	3	COMPUTER SCIENCES CORP	02/01/08	08/27/09	148 53	125 60		22 93
	7	Morgan Stanley Smi	02/08/08		346 57	305 01		41 56
		th Barney LLC acted as yo ur agent in this transa						
125002340	1	COMPUTER SCIENCES CORP	02/08/08	09/02/09	47 52	43 57		3 95
	9	Morgan Stanley Smi	03/14/08		427 71	368 37		59 34
	2	th Barney LLC acted as yo ur agent in this transa	03/19/08		95 05	82 44		12 61

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022877

Page 82 of 90
74 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002350	5	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/08	09/03/09	\$ 238 34	\$ 206 11		\$ 32 23
125002360	2	COMPUTER SCIENCES CORP Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/19/08	09/09/09	98 70	82 45		16 25
125002610	26 23	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/04/07 10/11/07	07/02/09	238 04 210 57	374 53 337 11	(136 49) (126 54)	
125002620	4 29 29 13	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/11/07 11/19/07 12/21/07 02/08/08	07/22/09	41 38 300 00 300 00 134 47	58 63 333 60 395 18 185 26	(17 25) (33 60) (95 18) (50 79)	
125002630	10 25 9	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/08/08 03/14/08 04/02/08	07/23/09	111 33 278 34 100 20	142 50 344 23 158 37	(31 17) (65 89) (58 17)	
125002640	12 13	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/02/08 04/14/08	07/24/09	132 36 143 40	211 17 196 58	(78 81) (53 18)	
125002650	11	D R HORTON INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/14/08	07/29/09	122 96	166 34	(43 38)	
125002870	5 2	DEVRY INC (DEL) ur agent in this transa	01/24/08 02/01/08	04/16/09	218 67 87 47	281 76 109 07	(63 09) (21 60)	
125002880	5 1	DEVRY INC (DEL) ur agent in this transa	02/01/08 03/14/08	04/22/09	217 83 43 57	272 67 45 60	(54 84) (2 03)	
125003180	12 8 8 2	EDISON INTERNATIONAL Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/13/08 03/14/08 03/19/08 03/20/08	07/02/09	370 97 247 31 247 31 61 84	586 74 391 14 392 43 97 06	(215 77) (143 83) (145 12) (35 22)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022878

Page 86 of 80
75 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125003190	5	EDISON INTERNATIONAL	03/20/08	07/22/09	\$ 155 53	\$ 242 65	(\$ 87 12)	
	10	Morgan Stanley Smi	04/02/08		311 06	511 88	(200 82)	
	12	th Barney LLC acted as yo	04/14/08		373 27	613 84	(240 57)	
	9	ur agent in this transa	04/16/08		279 95	469 11	(189 16)	
	1		07/16/08		31 11	50 12	(19 01)	
125003200	15	EDISON INTERNATIONAL	07/16/08	07/23/09	478 46	751 76	(273 30)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125003530	6	EXPRESS SCRIPTS INC COMMON	05/25/07	01/12/09	312 71	297 94		14 77
	8		06/01/07		416 94	408 68		8 26
125003540	8	EXPRESS SCRIPTS INC COMMON	06/01/07	01/20/09	410 95	408 67		2 28
	6		06/06/07		308 21	298 82		9 39
125003550	4	EXPRESS SCRIPTS INC COMMON	06/06/07	01/21/09	205 91	199 21		6 70
125003840	12	FOREST LABORATORIES INC	01/14/08	07/02/09	292 86	448 28	(155 42)	
	6	Morgan Stanley Smi	05/23/08		146 43	207 81	(61 38)	
		th Barney LLC acted as yo						
		ur agent in this transa						
125003850	5	FOREST LABORATORIES INC	05/23/08	07/22/09	126 16	173 18	(47 02)	
	11	Morgan Stanley Smi	05/30/08		277 54	392 97	(115 43)	
	11	th Barney LLC acted as yo	06/10/08		277 54	374 24	(96 70)	
	12	ur agent in this transa	06/16/08		302 77	395 45	(92 68)	
125004400	23	HUDSON CITY BANCORP INC	01/17/08	01/27/09	272 45	335 29	(62 84)	
	8		01/22/08		94 76	115 17	(20 41)	
125004410	21	HUDSON CITY BANCORP INC	01/22/08	01/28/09	261 38	302 33	(40 95)	
125004850	13	KBR INC	08/07/08	09/16/09	301 80	312 74	(10 94)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125004860	1	KBR INC	08/07/08	09/30/09	23 04	24 06	(1 02)	
	14	MorganStanley SmithBarney LLC	08/08/08		322 59	324 63	(2 04)	
	2	acted as your agent	09/23/08		46 09	35 29		10 80
		in this transaction.						
125004870	13	KBR INC	09/23/08	10/01/09	290 28	229 40		60 88
		MorganStanley SmithBarney LLC						
		acted as your agent						
		in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022879

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Page 84 of 90
26 81

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125004880	1	KBR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/23/08	10/02/09	\$ 21 86	\$ 17 65		\$ 4 21
125004910	11	KB HOME	07/20/07	01/21/09	121 20	384 43	(263 23)	
	6		07/31/07		66 11	191 86	(125 75)	
125004920	6	KB HOME	07/31/07	02/06/09	82 81	191 86	(109 05)	
	11		10/11/07		151 82	334 03	(182 21)	
125004930	2	KB HOME	10/11/07	04/06/09	28 56	60 73	(32 17)	
	12		01/29/08		171 35	308 23	(136 88)	
125004940	2	KB HOME	01/29/08	04/16/09	31 58	51 37	(19 79)	
	11		03/19/08		173 71	258 33	(84 62)	
125004950	5	KB HOME	03/19/08	04/20/09	79 79	117 42	(37 63)	
125005050	10	LENNAR CORP CLASS A	06/28/07	08/21/09	144 67	373 21	(228 54)	
	9	Morgan Stanley Smi th Barney LLC acted as yo	07/20/07		130 21	298 55	(168 34)	
125005060	3	LENNAR CORP	07/20/07	08/25/09	45 55	99 52	(53 97)	
	12	CLASS A	07/31/07		182 18	375 28	(193 10)	
	2	Morgan Stanley Smi th Barney LLC acted as yo	02/01/08		30 36	40 99	(10 63)	
125005070	15	LENNAR CORP	02/01/08	08/27/09	227 56	307 39	(79 83)	
	1	CLASS A	03/24/08		15 17	18 30	(3 13)	
125005080	17	LENNAR CORP CLASS A	03/24/08	09/02/09	240 26	311 07	(70 81)	
		Morgan Stanley Smi th Barney LLC acted as yo						
125005090	5	LENNAR CORP CLASS A	03/24/08	09/09/09	77 71	91 49	(13 78)	
		Morgan Stanley Smi th Barney LLC acted as yo						
125005210	18	LOUISIANA PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/07	07/23/09	67 01	355 33	(288 32)	
125005440	55	MICRON TECHNOLOGY INC	02/08/08	04/16/09	274 69	370 75	(96 06)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 0002880

Page 86 of 96
77 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125005450	27	MICRON TECHNOLOGY INC	02/08/08	04/20/09	\$ 128 01	\$ 182 00	(\$ 53 99)	
	15		02/19/08		71 12	113 37	(42 25)	
125005460	39	MICRON TECHNOLOGY INC	02/19/08	04/28/09	178 10	294 77	(116 67)	
125005540	3	MONSANTO CO NEW	06/26/07	02/06/09	250 85	203 24		47 61
	15		06/28/07		1,254 23	1,006 33		247 90
125005550	13	MONSANTO CO NEW	06/28/07	03/02/09	944 81	872 16		72 65
	14		07/10/07		1,017 49	924 83		92 66
125005560	12	MONSANTO CO NEW	07/10/07	03/09/09	876 62	792 71		83 91
125005570	1	MONSANTO CO NEW	07/10/07	03/25/09	82 77	66 06		16 71
	17		07/13/07		1,407 00	1,191 53		215 47
125005580	2	MONSANTO CO NEW	07/13/07	04/02/09	164 89	140 18		24 71
	13		07/17/07		1,071 76	905 16		166 60
125005590	24	MONSANTO CO NEW	07/20/07	04/06/09	1,886 82	1,659 13		227 69
	16		07/30/07		1,257 88	1,033 76		224 12
	1		08/02/07		78 62	64 59		14 03
125005600	8	MONSANTO CO NEW	08/02/07	04/13/09	662 25	516 76		145 49
	8		01/18/08		662 24	818 96	(156 72)	
125006260	4	OVERSEAS SHIPHOLDING GROUP INC	01/29/08	02/06/09	137 59	260 11	(122 52)	
	3		02/01/08		103 20	190 97	(87 77)	
125006270	3	OVERSEAS SHIPHOLDING GROUP INC	02/01/08	02/26/09	81 71	190 96	(109 25)	
	4		02/08/08		108 94	258 69	(149 75)	
125006280	2	OVERSEAS SHIPHOLDING GROUP INC	02/08/08	04/06/09	51 65	129 34	(77 69)	
125006660	13	PULTE HOMES INC	09/26/06	04/16/09	144 96	432 03	(287 07)	
	15		10/02/06		167 27	485 39	(318 12)	
125006670	17	PULTE HOMES INC	10/02/06	04/28/09	197 67	550 10	(352 43)	
	17		04/09/07		197 67	454 58	(256 91)	
	13		04/23/07		151 16	362 94	(211 78)	
	4		04/26/07		46 52	115 77	(69 25)	
125006680	10	PULTE HOMES INC	04/26/07	05/01/09	111 64	289 41	(177 77)	
	17		06/01/07		189 79	464 84	(275 05)	
	8		02/01/08		89 31	124 07	(34 76)	
125006690	14	PULTE HOMES INC	02/01/08	05/15/09	138 73	217 12	(78 39)	
	26		04/14/08		257 65	354 35	(96 70)	
125007020	10	RYDER SYSTEM INC	01/29/08	04/28/09	258 60	495 59	(236 99)	
	3		02/20/08		77 58	177 65	(100 07)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022881

Page 86 of 90
78 81

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125007030	5 4	RYDER SYSTEM INC	02/20/08 04/11/08	05/01/09	\$ 140 37 112 30	\$ 296 09 249 76	(\$ 155 72) (137 46)	
125007040	5	RYDER SYSTEM INC	04/11/08	05/11/09	137 11	312 20	(175 09)	
125007060	11 1	RYLAND GROUP INC	01/29/08 02/08/08	04/28/09	260 80 23 71	342 12 28 22	(81 32) (4 51)	
125007060	11 12	RYLAND GROUP INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/08/08 04/17/08	07/20/09	194 75 212 45	310 47 393 51	(115 72) (181 06)	
125007840	4 6	SYNAPTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08 10/28/08	11/30/09	107 71 161 57	114 08 164 61	(6 37) (3 04)	
125008130	17 16	TOLL BROS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/31/07 09/14/07	08/18/09	368 09 346 44	363 90 328 51		4.19 17 93
125008140	3 17	TOLL BROS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	09/14/07 10/11/07	08/27/09	69 16 391 89	61 59 400 66	(8 77)	7 57
125008200	10	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/08/08	10/23/09	443 01	600 63	(157 62)	
125008210	10	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/27/08	10/27/09	437 97	632 35	(194 38)	
125008220	2 6	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/27/08 03/03/08	10/29/09	85 10 255 28	126 47 360 07	(41 37) (104 79)	
125008230	7	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/07/08	11/04/09	287 87	412 62	(124 75)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022882

Page 81 of 90
79 81

HAROLD BLUMENSTEIN
Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008240	6	TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/07/08	11/18/09	\$ 262 19	\$ 353 67	(\$ 91 48)	
125008280	8	TRAVELERS COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/14/06	08/20/09	381 59	338 62		42 97
125008290	1 4	TRAVELERS COMPANIES INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	06/14/06 06/15/06	08/21/09	48 32 193 29	42 33 174 11		5 99 19 18
125008300	15 14 11	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/15/06 06/16/06 06/19/06	09/30/09	731 36 682 61 536 33	652 91 611 87 484 83		78 45 70 74 51 50
125008310	4 14 15	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/06 06/20/06 06/21/06	10/02/09	197 80 692 30 741 76	176 30 620 19 665 23		21 50 72 11 76 53
125008320	4 18 6	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/21/06 06/22/06 06/26/06	10/06/09	195 15 878 19 292 74	177 40 801 07 266 87		17 75 77 12 25 87
125008330	5 21	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/26/06 06/28/06	10/09/09	243 43 1,022 43	222 39 917 36		21 04 105 07
125008340	10 7 5	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/19/07 11/28/07 12/06/07	10/14/09	483 66 338 56 241 83	516 56 361 20 270 48	(32 90) (22 64) (28 65)	
125008350	3 9	TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/06/07 02/01/08	10/16/09	145 77 437 31	162 29 437 41	(16 52) (10)	

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022883

Page 98 of 90
8/28/09

HAROLD BLUMENSTEIN

Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008440	14	USG CORPORATION NEW	07/16/08	07/23/09	\$ 178 25	\$ 338 67	(\$ 160 42)	
	5	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/18/08		63 66	132 23	(68 57)	
125008450	7	USG CORPORATION NEW	07/18/08	07/24/09	90 63	185 12	(94 49)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008510	14	UNION PACIFIC CORP	08/07/08	08/21/08	869 50	1,148 95	(279 45)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125008730	16	UNUM GROUP	04/02/08	09/16/09	363 01	365 97	(2 96)	
	12	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/11/08		272 25	272 99	(74)	
125008740	18	UNUM GROUP	04/11/08	09/30/09	384 84	409 48	(24 64)	
	17	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/14/08		363 46	382 12	(18 66)	
125008750	15	UNUM GROUP	04/14/08	10/02/09	305 92	337 16	(31 24)	
	17	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/16/08		346 70	394 61	(47 91)	
125008760	6	UNUM GROUP	04/16/08	10/05/09	125 31	139 28	(13 97)	
	21	MorganStanley SmithBarney LLC acted as your agent in this transaction	04/24/08		438 59	485 54	(46 95)	
	1		05/07/08		20 88	24 31	(3 43)	
125008770	23	UNUM GROUP	05/07/08	10/09/09	498 54	559 25	(60 71)	
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/08		65 03	72 49	(7 46)	
125008780	14	UNUM GROUP	05/28/08	10/14/09	313 97	338 28	(24 31)	
		MorganStanley SmithBarney LLC acted as your agent in this transaction						

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002979 00022884

Page 89 of 90
81 81

HAROLD BLUMENSTEIN
Account Number 600-36141-19 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125008790	15	UNUM GROUP	10/15/08	11/18/09	\$ 293 93	\$ 282 40		\$ 11 53
	7	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08		137 16	125 30		11 86
125008800	6	UNUM GROUP	10/21/08	11/20/09	114 08	107 40		6 68
	12	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/24/08		228 17	178 91		49 26
125008810	17	UNUM GROUP	10/24/08	11/30/09	319 33	253 46		65 87
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125008820	11	UNUM GROUP	10/24/08	12/11/09	209 22	164 00		45 22
	3	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/08		57 06	45 30		11 76
125008830	33	UNUM GROUP	10/30/08	12/21/09	639 31	498 25		141 06
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125009020	16	VIACOM INC NEW CLASS B	10/21/08	11/18/09	488 62	302 88		185 74
	13	MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08		397 00	243 32		153 68
Total					\$ 64,542 61	\$ 81,455 99	(\$ 20,642 01)	\$ 3,728 63

(16,913.38)

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2 0 0 9 Y E A R E N D S U M M A R Y

Reserved
Client Statement
2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022890

HAROLD BLUMENSTEIN Foundation Corp
Account Number 600-36142-18 190

38-2710389

2009 Form 990-PF

Part IV - Capital Gains/Losses

2 0 0 9 Y E A R

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000020	28	WEATHERFORD INTERNATIONAL LTD -CHF	10/13/08	05/06/09	\$ 529 89	\$ 445 46		\$ 84 43
	41		10/14/08		775 91	684 64		91 27
125000030	21	WEATHERFORD INTERNATIONAL LTD -CHF	10/14/08	06/09/09	446 38	350 67		95 71
	25	Morgan Stanley Sml th Barney LLC acted as yo	10/15/08		531 41	355 53		175 88
125000040	49	WEATHERFORD INTERNATIONAL LTD -CHF	10/15/08	06/12/09	1,106 36	696 85		409 51
		Morgan Stanley Sml th Barney LLC acted as yo						
125000080	0295	AOL INC	06/02/09	12/09/09	68	52		16
	0169	CASH IN LIEU OF 45454	06/03/09		41	29		12
		RECORD 11/27/09 PAY 12/09/09						

E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 0002980 00022891

Page 6 of 18
2 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	5192	AOL INC	06/02/09	12/14/09	\$ 13 09	\$ 9 12		\$ 3 97
	2981	MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09		7 51	5 41		2 10
125000100	1	AOL INC	06/03/09	12/18/09	23 15	18 37		4 78
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000110	1	AOL INC	06/03/09	12/18/09	23 15	18 15		5 00
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125000120	25	AETNA INC NEW	09/15/08	01/05/09	728 66	1,046 27	(317 61)	
125000200	21	ALLSTATE CORP	10/31/08	07/17/09	509 43	540 80	(31 37)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000210	20	ALTRIA GROUP INC	11/26/08	03/05/09	313 93	314 91	(98)	
125000220	34	ALTRIA GROUP INC	11/26/08	03/16/09	579 18	535 34		43 84
125000250	7	ANADARKO PETROLEUM CORP	07/01/08	06/08/09	335 29	519 52	(184 23)	
	9	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/22/08		431 09	564 47	(133 38)	
125000330	7	BAKER HUGHES INC	09/22/08	06/24/09	251 57	473 85	(222 28)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125000340	5	BAKER HUGHES INC	09/23/08	06/25/09	182 15	331 29	(149 14)	
	31	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/15/08		1,129 32	1,145 49	(16 17)	
125000360	28	CA INC	03/20/09	09/03/09	576 47	447 57		128 90
	34	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	03/23/09		700 00	563 17		136 83
	21	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/03/09		432 35	377 76		54 59
	7	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09		144 12	120 89		23 23
	44		04/07/09		905 89	762 14		143 75

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022892

Page 1 of 18
3 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short-Term Gain-(Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000370	7	COLGATE PALMOLIVE CO Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	05/07/09	07/06/09	\$ 520.91	\$ 431.19		\$ 89.72
125000380	3	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09	10/23/09	234.01	184.79		49.22
125000390	6 25	COLGATE PALMOLIVE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	05/07/09 05/12/09	11/19/09	504.11 2,100.48	369.59 1,567.68		134.52 532.80
125000420	44	CONAGRA FOODS INC	06/30/08	02/17/09	711.91	850.42	(138.51)	
125000520	19	E I DU PONT DE NEMOURS & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/09	10/28/09	617.21	507.92		109.29
125000580	24 28 9	EL PASO CORP	03/31/08 04/01/08 07/24/08	02/26/09	182.97 213.47 68.62	396.76 466.91 158.68	(213.79) (253.44) (90.06)	
125000590	69 43	EL PASO CORP	08/04/08 10/07/08	02/27/09	484.48 301.93	1,167.35 418.73	(682.87) (116.80)	
125000600	19	ELECTRONIC ARTS	02/19/09	05/11/09	383.90	312.19		71.71
125000610	32 14	ELECTRONIC ARTS	02/19/09 02/20/09	05/22/09	704.35 308.15	525.79 228.88		178.56 79.27
125000620	26 30	ELECTRONIC ARTS Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	02/20/09 02/24/09	06/03/09	595.45 687.06	425.07 498.53		170.38 188.53
125000630	8 3	ENTERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	02/23/09 02/24/09	10/19/09	646.50 242.44	528.52 202.71		117.98 39.73
125000660	5	HALLIBURTON CO HOLDINGS CO	08/05/08	03/23/09	89.50	196.41	(106.91)	
125000670	20	HALLIBURTON CO HOLDINGS CO Morgan Stanley Smit th Barney LLC acted as yo ur agent in this transa	10/15/08	09/08/09	502.17	386.05		116.12

2 0 0 9 Y E A R E N D S U M M A R Y

MorganStanley SmithBarney

Reserved Client Statement 2009 Year End Summary

Ref 00002980 00022893

Page 4 of 13
4 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000680	30	HALLIBURTON CO HOLDINGS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	10/15/08	09/24/09	\$ 804.70	\$ 579.08		\$ 225.62
125000690	14	HUMANA INC	04/28/08	02/02/09	575.72	646.97	(71.25)	
	8		04/29/08		328.98	378.29	(49.31)	
125000700	13	HUMANA INC	04/29/08	04/28/09	385.96	614.73	(228.77)	
	6		04/30/08		178.13	287.51	(109.38)	
	2		05/07/08		59.38	93.71	(34.33)	
125000710	19	HUMANA INC	05/07/08	04/29/09	549.99	890.23	(340.24)	
125000720	1	HUMANA INC	05/07/08	05/05/09	29.57	46.85	(17.28)	
	26		05/19/08		768.75	1,166.83	(398.08)	
	14		10/09/08		413.94	488.37	(74.43)	
125000830	14	KBR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/05/09	09/09/09	329.23	240.37		88.86
125000840	10	KLA-TENCOR CORP	08/18/08	03/20/09	197.23	390.54	(193.31)	
	2		08/19/08		39.45	76.88	(37.43)	
125000850	38	KLA-TENCOR CORP	08/19/08	03/23/09	781.57	1,460.75	(679.18)	
	1		08/26/08		20.57	38.92	(18.35)	
125000860	19	KLA-TENCOR CORP	08/26/08	03/24/09	387.65	739.47	(351.82)	
	20		09/03/08		408.05	704.31	(296.26)	
125000890	7	LIMITED BRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	09/23/09	122.33	57.43		64.90
125000900	64	LIMITED BRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	09/24/09	1,115.51	525.05		590.46
125000950	14	MATTEL INC DE	01/14/09	04/20/09	209.59	211.28	(1.69)	
125000960	18	MATTEL INC DE	01/14/09	04/21/09	268.08	271.64	(3.56)	
125000970	20	MATTEL INC DE	01/14/09	07/13/09	307.96	301.83		6.13
	12	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/15/09		184.77	181.28		3.49

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022894

Page 8 of 18
5 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000980	11	MATTEL INC DE	01/15/09	09/09/09	\$ 203 81	\$ 166 17		\$ 37 64
	43	Morgan Stanley Smi	01/20/09		796 72	650 10		146 62
	4	th Barney LLC acted as yo ur agent in this transa	01/21/09		74 12	61 13		12 99
125000990	20	MATTEL INC DE	01/21/09	09/11/09	364 63	305 66		58 97
	3	Morgan Stanley Smi	02/04/09		54 69	36 34		18 35
		th Barney LLC acted as yo ur agent in this transa						
125001000	42	MATTEL INC DE	02/04/09	09/14/09	758 89	508 69		250 20
		Morgan Stanley Smi						
		th Barney LLC acted as yo ur agent in this transa						
125001010	13	MICROSOFT CORP	05/12/09	10/30/09	366 42	257 12		109 30
		MorganStanley SmithBarney LLC acted as your agent in this transaction						
125001020	23	NASDAQ OMX GROUP INC	10/02/08	04/21/09	415 86	732 97	(317 11)	
125001030	6	NASDAQ OMX GROUP INC	10/02/08	05/08/09	120 58	191 21	(70 63)	
	7		10/03/08		140 68	220 06	(79 38)	
	12		10/06/08		241 17	341 94	(100 77)	
	2		10/09/08		40 19	55 22	(15 03)	
	9		10/16/08		180 88	244 83	(63 95)	
125001040	5	NASDAQ OMX GROUP INC	10/16/08	05/11/09	98 13	136 02	(37 89)	
125001050	5	NASDAQ OMX GROUP INC	10/16/08	05/12/09	93 49	136 02	(42 53)	
	12		10/23/08		224 38	364 95	(140 57)	
	24		11/18/08		448 76	478 70	(29 94)	
	15		03/18/09		280 48	330 96	(50 48)	
125001060	54	NEWS CORP CLASS A NEW	09/10/08	04/09/09	419 85	744 80	(324 95)	
	17		09/12/08		132 17	238 05	(105 88)	
	39		09/15/08		303 22	533 58	(230 36)	
125001070	13	NEWS CORP CLASS A NEW	09/15/08	05/08/09	125 61	177 86	(52 25)	
125001080	56	NEWS CORP CLASS A NEW	09/15/08	05/11/09	522 61	766 16	(243 55)	
	15		09/16/08		139 98	198 07	(58 09)	
125001090	60	NEWS CORP CLASS A NEW	09/16/08	06/01/09	618 17	792 30	(174 13)	
	33	CGMI AND/OR ITS AFFILIATES	10/08/08		340 00	334 20		5 80

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00002980 00022895

Page 10 of 18
6/13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001100	11	NORFOLK SOUTHERN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/27/09	11/24/09	\$ 566 04	\$ 351 05		\$ 214 99
125001110	13	PEABODY ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/24/09	09/22/09	514 90	378 80		136 30
125001120	8	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09	10/21/09	365 87	232 99		132 88
125001130	23 2	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09 07/01/09	12/14/09	994 69 86 49	669 84 59 94		324 85 26 55
125001140	21 24	PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/01/09 07/06/09	12/16/09	928 27 1,060 87	629 40 674 95		298 87 385 92
125001190	12	TEVA PHARMACEUTICAL INDS LTD ADR	09/23/08	03/04/09	529 29	542 52	(13 23)	
125001200	067 0996 0605 04 0233 0186 0243	TIME WARNER INC REVSPILT 03/27/09 887317105000	09/16/08 09/15/08 09/17/08 09/22/08 09/23/08 10/13/08 10/20/08	03/27/09	1 47 2 18 1 33 88 51 41 53	2 13 3 17 1 91 1 28 73 41 55	(66) (99) (58) (40) (22) (02)	
125001210	433 35 567	TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/16/08 09/15/08	06/27/09	12 26 1,007 02	13 74 1,132 68	(1 48) (125 66)	
125001230	5 8324 8 6676 5 2654 1 2346	TIME WARNER CABLE INC	09/16/08 09/15/08 09/17/08 09/22/08	04/09/09	158 55 235 62 143 13 33 56	250 90 374 24 225 08 53 72	(92 35) (138 62) (81 95) (20 16)	
125001240	2 2486 2 0251	TIME WARNER CABLE INC	09/22/08 09/23/08	04/14/09	62 62 56 39	97 83 85 78	(35 21) (29 39)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022896

Page 1 of 18
7/13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Short Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	1 6201		10/13/08		\$ 45 12	\$ 48 82	(\$ 3 70)	
	2 1062		10/20/08		58 65	65 17	(6 52)	
125001250	2 2486	TIME WARNER CABLE INC	09/22/08	04/14/09	62 62	97 83	(35 21)	
	2 0251		09/23/08		56 39	85 78	(29 39)	
	1 6201		10/13/08		45 12	48 82	(3 70)	
	2 1062		10/20/08		58 65	65 17	(6 52)	
125001260	28	UNILEVER NV NY SHS-NEW	10/17/08	07/13/09	677 63	690 99	(13 36)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/20/08		24 20	26 27	(2 07)	
125001280	27	WPP PLC ADR	08/13/08	06/30/09	894 53	1,258 74	(364 21)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001290	7	XTO ENERGY INC	08/28/08	05/19/09	294 28	356 80	(62 52)	
Total					\$ 45,729 68	\$ 48,075 91	(\$ 8,767 82)	\$ 8,421 59

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	4	ACE LIMITED	08/03/06	11/06/09	\$ 204 89	\$ 203 39		\$ 1 50
	10	MorganStanley SmithBarney LLC acted as your agent in this transaction	08/09/06		512 22	488 45		23 77
125000050	16	FLEXTRONICS INTL LTD USD	07/14/06	07/27/09	85 47	160 00	(74 53)	
	39	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	07/17/06		208 35	393 19	(184 84)	
125000060	9	FLEXTRONICS INTL LTD USD	07/17/06	08/21/09	52 95	90 74	(37 79)	
	30	Morgan Stanley Sml	07/18/06		176 50	302 60	(126 10)	
	76	th Barney LLC acted as yo ur agent in this transa	07/20/06		447 12	797 14	(350 02)	

2 0 0 9 Y E A R E N D S U M M A R Y

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Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022897

Page 12 of 16
8 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	16		10/20/06		\$ 94.13	\$ 203.95	(\$ 109.82)	
	18		10/24/06		105.90	222.57	(116.67)	
125000070	27	FLEXTRONICS INTL LTD USD	10/24/06	09/30/09	200.25	333.85	(133.60)	
	24	MorganStanley SmithBarney LLC	12/26/06		178.00	271.60	(93.60)	
	34	acted as your agent in this transaction	12/28/06		252.17	389.06	(136.89)	
125000080	1155	AOL INC	09/16/08	12/09/09	2.67	2.63		04
	1062	CASH IN LIEU OF 45454	09/17/08		2.45	2.40		05
	0703	RECORD 11/27/09 PAY 12/09/09	09/22/08		1.62	1.62		
	0409		09/23/08		94	92		02
	0327		10/13/08		75	52		23
	0425		10/20/08		98	.70		.28
125000090	2 0337	AOL INC	09/16/08	12/14/09	51.28	46.29		4.99
	1 8698	MorganStanley SmithBarney LLC	09/17/08		47.14	42.29		4.85
	1 2369	acted as your agent	09/22/08		31.19	28.47		2.72
	7191	in this transaction	09/23/08		18.13	16.11		2.02
	5753		10/13/08		14.51	9.17		5.34
	7479		10/20/08		18.86	12.24		6.62
125000130	3	AETNA INC NEW	09/15/08	11/09/09	88.08	125.56	(37.48)	
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction						
125000140	3	AETNA INC NEW	09/15/08	11/10/09	89.10	125.55	(36.45)	
	11	MorganStanley SmithBarney LLC	09/16/08		326.71	436.70	(109.99)	
		acted as your agent in this transaction						
125000150	12	AETNA INC NEW	09/16/08	12/24/09	404.24	476.41	(72.17)	
		MorganStanley SmithBarney LLC						
		acted as your agent in this transaction						
125000160	7	ALLSTATE CORP	04/03/06	01/07/09	219.57	365.54	(145.97)	
125000170	13	ALLSTATE CORP	04/03/06	01/30/09	280.29	678.86	(398.57)	
125000180	18	ALLSTATE CORP	04/03/06	02/02/09	382.17	939.96	(557.79)	
125000190	20	ALLSTATE CORP	04/03/06	07/15/09	499.18	1,044.40	(545.22)	
	8	Morgan Stanley Smi	03/29/07		199.67	479.35	(279.68)	
	13	th Barney LLC acted as yo	04/04/07		324.47	779.56	(455.09)	
	4	ur agent in this transa	04/05/07		99.84	241.27	(141.43)	
	2		04/11/07		49.92	121.96	(72.04)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022898

Page 1 of 18
9 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000200	15	ALLSTATE CORP	04/11/07	07/17/09	\$ 363 88	\$ 914 69	(\$ 550 81)	
	20	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/08/08		485 17	988 52	(503 35)	
125000230	15	AMERIPRISE FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/19/08	10/13/09	541 36	646 37	(105 01)	
125000240	24	ANADARKO PETROLEUM CORP	11/12/07	05/01/09	1,035 95	1,318 09	(282 14)	
125000260	15	ANADARKO PETROLEUM CORP	07/22/08	09/09/09	831 23	940 79	(109 56)	
	1	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/04/08		55 41	55 70	(29)	
125000270	20	ARCHER-DANIELS-MIDLAND CO	05/01/07	01/28/09	560 38	723 94	(163 56)	
	7		05/02/07		196 13	257 94	(61 81)	
	17		06/05/07		476 32	585 84	(109 52)	
	7		06/07/07		196 14	237 13	(40 99)	
125000280	18	ARCHER-DANIELS-MIDLAND CO	06/07/07	02/05/09	478 62	609 77	(131 15)	
125000290	4	ARCHER-DANIELS-MIDLAND CO	06/07/07	03/19/09	112 21	135 50	(23 29)	
	2		06/08/07		56 11	68 84	(12 73)	
125000300	8	ARCHER-DANIELS-MIDLAND CO	06/08/07	07/15/09	224 23	275 36	(51 13)	
	5	Morgan Stanley Sml	06/11/07		140 14	172 03	(31 89)	
	11	th Barney LLC acted as yo	06/12/07		308 32	377 25	(68 93)	
	2	ur agent in this transa	06/14/07		56 06	69 36	(13 30)	
	14		06/05/08		392 41	528 47	(136 06)	
	18		07/01/08		504 52	594 51	(89 99)	
125000310	7	BP PLC SPONS ADR	01/22/08	05/19/09	331 38	438 41	(107 03)	
125000320	13	BAKER HUGHES INC	02/04/08	06/09/09	516 91	851 99	(335 08)	
	4	Morgan Stanley Sml	04/10/08		159 05	286 91	(127 86)	
		th Barney LLC acted as yo ur agent in this transa						
125000330	2	BAKER HUGHES INC	04/10/08	06/24/09	71 88	143 46	(71 58)	
	5	Morgan Stanley Sml	04/21/08		179 69	401 19	(221 50)	
		th Barney LLC acted as yo ur agent in this transa						

2 0 0 9 Y E A R E N D S U M M A R Y

Ref 00002980 00022899

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000350	7	CVS CAREMARK CORP	04/03/06	07/13/09	\$ 217 79	\$ 207 06		\$ 10 73
	27	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	10/06/06		840 04	773 74		66 30
125000400	33	CONAGRA FOODS INC	04/03/06	02/09/09	559 06	712 47	(153 41)	
	21		02/28/07		355 77	529 94	(174 17)	
	6		03/01/07		101 65	151 45	(49 80)	
125000410	10	CONAGRA FOODS INC	03/01/07	02/12/09	162 67	252 41	(89 74)	
125000420	3	CONAGRA FOODS INC	03/01/07	02/17/09	48 54	75 72	(27 18)	
125000430	21	DIRECTV GROUP INC	01/11/08	08/03/09	551 03	457 71		93 32
	16	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	01/22/08		419 83	315 93		103 90
125000440	27	DIRECTV GROUP INC Morgan Stanley SmithBarney LLC acted as your agent in this transaction	01/22/08 09/23/08	11/20/09	853 50			853 50
125000450	14	DIRECTV CL A	01/22/08	12/30/09	468 22	276 44		191 78
	4	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	09/23/08		133 78	99 68		34 10
125000460	33	DIRECTV CL A	09/23/08	12/31/09	1,100 39	822 33		278 06
	2	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	10/08/08		66 69	45 09		21 60
125000470	4	E I DU PONT DE NEMOURS & CO	12/21/07	04/30/09	111 82	181 05	(69 23)	
125000480	13	E I DU PONT DE NEMOURS & CO Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	12/21/07	09/08/09	413 21	588 40	(175 19)	
125000490	11	E I DU PONT DE NEMOURS & CO	12/21/07	10/22/09	374 68	497 87	(123 19)	
	11	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	12/26/07		374 68	494 36	(119 68)	
	24		12/27/07		817 47	1,067 91	(250 44)	
125000500	7	E I DU PONT DE NEMOURS & CO	12/27/07	10/26/09	227 34	311 48	(84 14)	
	19	Morgan Stanley SmithBarney LLC acted as your agent in this transaction	01/04/08		617 06	823 34	(206 28)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022900

Page 16 of 18
11/13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000510	4	E I DU PONT DE NEMOURS & CO	01/04/08	10/27/09	\$ 131 63	\$ 173 33	(\$ 41 70)	
	20	MorganStanley SmithBarney LLC	01/07/08		658 16	862 61	(204 45)	
	10	acted as your agent in this transaction	05/09/08		329 08	484 82	(155 74)	
125000520	1	E I DU PONT DE NEMOURS & CO	05/09/08	10/28/09	32 49	48 48	(15 99)	
	12	MorganStanley SmithBarney LLC	06/30/08		389 82	516 94	(127 12)	
		acted as your agent in this transaction						
125000530	5	EL PASO CORP	05/03/06	01/06/09	45 56	67 12	(21 56)	
	14		06/14/06		127 56	197 49	(69 93)	
125000540	7	EL PASO CORP	06/14/06	02/04/09	60 68	98 74	(38 06)	
	9		06/16/06		78 01	130 68	(52 67)	
125000550	44	EL PASO CORP	06/20/06	02/06/09	397 89	634 31	(236 42)	
	28		10/03/06		253 20	371 23	(118 03)	
125000560	21	EL PASO CORP	10/03/06	02/24/09	147 65	278 42	(130 77)	
	13		10/04/06		91 40	169 15	(77 75)	
125000570	12	EL PASO CORP	10/04/06	02/25/09	86 90	156 13	(69 23)	
	35		11/06/06		253 47	479 57	(226 10)	
	34		11/07/06		246 23	465 64	(219 41)	
	8		08/31/07		57 94	127 20	(69 26)	
	9		09/05/07		65 18	146 12	(80 94)	
	6		02/14/08		43 45	100 37	(56 92)	
125000580	6	EL PASO CORP	02/14/08	02/26/09	45 74	100 36	(54 62)	
125000640	19	HALLIBURTON CO HOLDINGS CO	04/03/06	01/06/09	393 19	709 46	(316 27)	
	4		06/08/06		82 78	140 00	(57 22)	
	23		07/21/06		475 97	723 15	(247 18)	
	10		10/06/06		206 94	276 67	(69 73)	
	13		10/23/06		269 03	379 60	(110 57)	
125000650	16	HALLIBURTON CO HOLDINGS CO	10/23/06	03/19/09	288 85	467 20	(178 35)	
	20		02/09/07		361 06	596 95	(235 89)	
125000660	19	HALLIBURTON CO HOLDINGS CO	02/09/07	03/23/09	340 10	567 10	(227 00)	
125000670	4	HALLIBURTON CO HOLDINGS CO	09/05/08	09/08/09	100 44	157 13	(56 69)	
		Morgan Stanley Sml						
		th Barney LLC acted as your agent in this transaction						
125000730	7	INGRAM MICRO INC CLASS A	11/12/07	06/04/09	113 62	142 74	(29 12)	

2 0 0 9 Y E A R E N D S U M M A R Y

Ref 00002980 00022901

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000740	19 8	INGRAM MICRO INC CLASS A	11/12/07 11/15/07	05/15/09	\$ 297 05 125 07	\$ 387 45 165 11	(\$ 90 40) (40 04)	
125000750	14 25	INGRAM MICRO INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/15/07 11/15/07	06/02/09	236 90 423 03	288 95 515 98	(52 05) (92 95)	
125000760	26	INTEL CORP	08/04/06	03/23/09	393 88	456 76	(62 88)	
125000770	19 14	INTEL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/04/06 12/01/06	07/17/09	355 53 261 97	333 78 293 94	(31 97)	21 75
125000780	13 24	INTEL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/01/06 12/13/06	07/30/09	253 18 467 42	272 95 498 35	(19 77) (30 93)	
125000790	27	INTEL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/13/06	08/26/09	520 18	560 64	(40 46)	
125000800	92	INTERPUBLIC GROUP OF COS INC	05/01/06	04/23/09	487 91	876 64	(388 73)	
125000810	39	INTERPUBLIC GROUP OF COS INC	05/01/06	04/23/09	206 83	371 62	(164 79)	
125000820	38	INTERPUBLIC GROUP OF COS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/01/06	10/06/09	270 28	362 09	(91.81)	
125000870	43	LIMITED BRANDS INC	04/03/06	05/01/09	482 19	1,042 75	(560 56)	
125000880	5 13 8	LIMITED BRANDS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/03/06 02/27/07 02/28/07	09/22/09	86 59 225 12 138 54	121 25 356 80 221 70	(34 66) (131 68) (83 16)	
125000890	11 12 43	LIMITED BRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/28/07 03/01/07 10/15/07	08/23/09	192 24 209 72 751 49	304 84 322 39 957.13	(112 60) (112 67) (205 64)	
125000910	31	MARATHON OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/21/08	08/07/09	952 31	1,683 70	(731 39)	

2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Statement 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002980 00022902

Page 1 of 18
13 13

HAROLD BLUMENSTEIN

Account Number 600-36142-18 190

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000920	7	MARATHON OIL CORP	05/21/08	10/21/09	\$ 248 51	\$ 380 19	(\$ 131 68)	
	19	MorganStanley SmithBarney LLC	05/22/08		674 54	1,007 17	(332 63)	
	19	acted as your agent in this transaction	05/29/08		674 54	968 08	(293 54)	
125000930	3	MARATHON OIL CORP	05/29/08	10/23/09	102 45	152 86	(50 41)	
	15	MorganStanley SmithBarney LLC	06/19/08		512 26	785 54	(273 28)	
	12	acted as your agent in this transaction	06/20/08		409 81	616 56	(206 75)	
125000940	11	MARATHON OIL CORP	06/20/08	10/26/09	383 30	565 18	(181 88)	
	6	MorganStanley SmithBarney LLC	07/15/08		209 08	265 68	(56 60)	
		acted as your agent in this transaction						
125001150	5	TARGET CORP	05/24/06	03/26/09	173 62	246 14	(72 52)	
125001160	3	TARGET CORP	05/24/06	05/07/09	127 36	147 69	(20 33)	
	17		07/24/06		721 70	784 33	(62 63)	
125001170	4	TARGET CORP	07/24/06	05/11/09	171 05	184 55	(13 50)	
	5		03/14/08		213 82	248 99	(35 17)	
125001180	6	TARGET CORP	03/14/08	05/12/09	251 72	298 79	(47 07)	
125001220	.9538	TIME WARNER CABLE INC CASH IN LIEU OF 95386	Unavailable	03/27/09	26 10			26.10
		RECORD 03/12/09 PAY 03/27/09						
125001270	22	WPP PLC ADR	04/03/06	06/26/09	735 14	1,318 46	(583 32)	
		Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa						
125001280	10	WPP PLC ADR	04/03/06	06/30/09	331 31	599 30	(267 99)	
	17	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	08/16/07		563 23	1,154 55	(591 32)	
Total					\$ 42,801 42	\$ 80,029 05	(\$ 18,981 20)	\$ 17,533.57

<17,227.63>

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2 0 0 9 Y E A R E N D S U M M A R Y

Reserved Client Financial Management Account 2009 Year End Summary

MorganStanley
SmithBarney

Ref: 00002971 00022580

Page 6 of 15

2009 Form 990-PF
HAROLD BLUMENSTEIN Foundation Corp
Account Number 600-12447-11 190

Part IV - Capital Gains/Losses

38-2710389

Details of Short Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000010	100	ASSURED GUARANTY LTD 8 5% MAND CONV UNITS 8 5000% DUE 06/01/2012	06/18/09	07/17/09	\$ 5,550 00	\$ 5,000 00	\$ 550 00	\$ 0 00
125000020	200	AVAGO TECHNOLOGIES LTD ORD CGMI AND/OR ITS AFFILIATES	08/05/09	10/07/09	3,255 57	3,000 00	255 57	0 00
125000030	3,000	NAVIOS MARITIME PARTNERS LP FULL PRICE IS 9 553333000	05/05/09	05/06/09	28,653 25	30,960 00	(2,306 75)	0 00
125000040	10,000	ALLEGHENY TECHNOLOGIES INC SNR UNSEC NTS DTD 06/02/2009 DUE 06/01/2014 RATE 4 250 YTM 1 730	05/28/09	06/01/09	11,200 00	10,000 00	1,200 00	0 00
125000050	15,000	ALLEGHENY TECHNOLOGIES INC SNR UNSEC NTS DTD 06/02/2009 DUE 06/01/2014 RATE 4 250 YTM 652	05/28/09	06/16/09	17,625 00	15,000 00	2,625 00	0 00
125000060	400	AMERICAN CAP AGY CORP CGMI AND/OR ITS AFFILIATES	08/11/09	09/01/09	9,867 70	9,320 00	547 70	0 00
125000070	100	AMERICAN EXPRESS CO VERSUS PURCHASE(S)	06/01/09	07/13/09	2,382 19	2,525 00	(142 81)	0 00
125000080	700	AMERICAN WTR WKS CO INC NEW	06/04/09	06/05/09	12,132 31	12,075 00	57 31	0 00
125000090	500	AMERICAN WTR WKS CO INC NEW	06/04/09	07/13/09	9,164 96	8,625 00	539 96	0 00
125000100	500	ARCH COAL INC	07/27/09	08/03/09	8,941 02	8,750 00	191 02	0 00
125000110	100	BANK NEW YORK MELLON CORP	05/11/09	05/12/09	2,823 18	2,875 00	(51 82)	0 00
125000120	1,500	CEMEX S A B DE C V SPONS ADR NEW	09/22/09	10/26/09	18,056 98	18,750 00	(693 02)	0 00
125000130	400	CHEMSPEC INTL LTD - ADS	06/23/09	07/13/09	2,918 16	3,600 00	(681 84)	0 00

Reserved Client Financial Management Account 2009 Year End Summary

MorganStanley
SmithBarney

Page 8 of 10
25

Ref 00002971 00022581

HAROLD BLUMENSTEIN

Account Number 600-12447-11 190

Details of Short Term Gain (Loss) 2009 - continued

2009 YEAR END SUMMARY									
Reference Number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)	
125000140	1,000	COGDELL SPENCER INC	05/27/09	05/27/09	\$ 3,751.90	\$ 3,500.00	\$ 251.90	\$ 0.00	
125000150	800	COGDELL SPENCER INC	05/27/09	05/28/09	2,921.92	2,800.00	121.92	0.00	
125000160	200	DIGITAL GLOBE INC TRADE AS OF 06/01/09	05/13/09	06/01/09	3,627.06	3,800.00	(172.94)	0.00	
125000170	300	DISCOVER FINANCIAL SVCS	07/07/09	07/13/09	2,940.70	2,775.00	165.70	0.00	
125000180	400	DOLLAR GENERAL CORP	11/12/09	11/20/09	9,249.76	8,400.00	849.76	0.00	
125000190	2,500	DOW CHEMICAL CO	05/06/09	05/07/09	38,243.01	37,500.00	743.01	0.00	
125000200	2,500	DOW CHEMICAL CO	05/06/09	05/07/09	37,826.02	37,500.00	326.02	0.00	
125000210	3,000	DOW CHEMICAL CO	05/06/09	05/08/09	48,743.64	45,000.00	3,743.64	0.00	
125000220	500	DOW CHEMICAL CO	05/06/09	05/11/09	8,779.97	7,500.00	1,279.97	0.00	
125000230	500	DOW CHEMICAL CO	05/06/09	05/12/09	8,589.97	7,500.00	1,089.97	0.00	
125000240	500	DOW CHEMICAL CO	05/06/09	05/19/09	8,869.97	7,500.00	1,369.97	0.00	
125000250	500	DOW CHEMICAL CO	05/06/09	05/26/09	8,393.78	7,500.00	893.78	0.00	
125000260	200	EMDEON INC CLASS A	08/11/09	09/25/09	3,018.08	3,100.00	(81.92)	0.00	
125000270	1,400	EMPRESAS ICA S A DE C V SPONSORED ADR-NEW	07/10/09	07/13/09	8,302.08	8,260.00	42.08	0.00	
125000280	300	EXPRESS SCRIPTS INC COMMON CGMI AND/OR ITS AFFILIATES	06/04/09	06/05/09	18,590.76	18,300.00	290.76	0.00	
125000290	200	EXPRESS SCRIPTS INC COMMON CGMI AND/OR ITS AFFILIATES	06/04/09	07/13/09	12,866.18	12,200.00	666.18	0.00	
125000300	50,000	FORD MOTOR COMPANY CVBD DTD 11/09/2009 DUE 11/15/2016 RATE 4.250 YTM 4.084	11/03/09	11/06/09	50,500.00	50,000.00	500.00	0.00	500.00

Reserved Client Financial Management Account 2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002971 00022582

Page 1 of 30
35

HAROLD BLUMENSTEIN

Account Number 600-12447-11 190

Details of Short-Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000310	5,400	FORTRESS INVT GROUP LLC DEL CLASS A	05/14/09	06/22/09	\$ 19,006.91	\$ 27,000.00	(\$ 7,993.09)	\$ 0.00
125000320	600	GAFISA S A SPON ADR-USD	11/24/09	12/04/09	21,355.43	20,130.00	1,225.43	0.00
125000330	500	GAFISA S A SPON ADR-USD	11/24/09	12/29/09	16,005.08	20,130.00	1,225.43	0.00
125000340	100	GENESEE & WYOMING INC CLASS A	06/16/09	07/08/09	2,450.42	16,775.00	(769.92)	0.00
125000350	100	HCP INC (NEW)	05/05/09	05/07/09	2,106.38	2,125.00	(18.62)	0.00
125000360	300	HCP INC (NEW)	08/05/09	08/06/09	7,863.27	7,425.00	438.27	0.00
125000370	900	HOSPITALITY PPTYS TRUST SBI	06/18/09	07/08/09	10,966.06	10,350.00	616.06	0.00
125000380	1,000	HOSPITALITY PPTYS TRUST SBI	06/18/09	07/13/09	12,126.08	11,500.00	626.08	0.00
125000390	200	HUMAN GENOME SCIENCES INC CGMI AND/OR ITS AFFILIATES	07/28/09	08/03/09	2,836.24	2,800.00	36.24	0.00
125000400	700	KITE REALTY GROUP TRUST	05/13/09	05/15/09	2,215.95	2,240.00	(24.05)	0.00
125000410	500	LINN ENERGY LLC COMMON UNITS	05/13/09	05/19/09	8,458.78	8,125.00	333.78	0.00
125000420	500	LINN ENERGY LLC COMMON UNITS CGMI AND/OR ITS AFFILIATES	05/13/09	06/05/09	9,889.49	8,125.00	1,764.49	0.00
125000430	1,900	MGM MIRAGE	05/13/09	05/14/09	14,262.63	13,300.00	962.63	0.00
125000440	1,000	MGM MIRAGE	05/13/09	05/15/09	7,626.20	7,000.00	626.20	0.00
125000450	1,000	MGM MIRAGE	05/13/09	05/19/09	8,626.17	7,000.00	1,626.17	0.00
125000460	600	MSCI INC	05/19/09	06/20/09	13,073.66	12,900.00	173.66	0.00
125000470	1,000	MSCI INC	05/19/09	05/22/09	20,587.47	21,500.00	(912.53)	0.00
125000480	300	MEDIDATA SOLUTIONS INC CGMI AND/OR ITS AFFILIATES	06/24/09	07/13/09	5,017.34	4,200.00	817.34	0.00

2009 YEAR END SUMMARY

Reserved Client Financial Management Account 2009 Year End Summary

Page 4 of 5

MorganStanley
SmithBarney

Ref 00002971 00022583

HAROLD BLUMENSTEIN

Account Number 600-12447-11 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted	Original Gain/(Loss) Adjusted	Ordinary Income Capital Gain/(Loss)
125000490	100	OSHKOSH TRUCK CORP	08/06/09	09/01/09	\$ 3,211.40	\$ 2,500.00	\$ 711.40	\$ 0.00
125000500	200	PRINCIPAL FINANCIAL GROUP INC	05/11/09	05/12/09	3,870.96	3,950.00	(79.04)	0.00
125000510	200	PRINCIPAL FINANCIAL GROUP INC	05/11/09	05/14/09	3,826.42	3,950.00	(123.58)	0.00
125000520	2,800	PROSPECT CAPITAL CORP	05/19/09	05/20/09	22,981.68	23,100.00	(118.32)	0.00
125000530	1,000	PRUDENTIAL FINANCIAL INC	06/02/09	06/03/09	40,282.96	39,000.00	1,282.96	0.00
125000540	500	PRUDENTIAL FINANCIAL INC	06/02/09	06/05/09	20,695.76	19,500.00	1,195.76	0.00
125000550	200	RTI INTL METALS INC	09/10/09	09/11/09	4,090.87	3,900.00	190.87	0.00
125000560	700	7 DAYS GROUP HLDGS LTD ADR	11/19/09	11/24/09	8,484.78	7,700.00	784.78	0.00
125000570	200	TALECRIS BIOTHERAPEUTICS HLDGS CORP	09/30/09	10/02/09	4,233.89	3,800.00	433.89	0.00
125000580	400	TALECRIS BIOTHERAPEUTICS HLDGS CGMI AND/OR ITS AFFILIATES	09/30/09	10/07/09	8,473.74	7,600.00	873.74	0.00
125000590	300	TEREX CORP NEW TRADE AS OF 06/01/09	05/29/09	06/01/09	4,335.66	3,900.00	435.66	0.00
125000600	100	TEXTRON INC	04/29/09	05/07/09	1,094.19	1,050.00	44.19	0.00
125000610	100	VALE CAPITAL II MAND CONV NTS INTO VALE 6.75% 6.7500% DUE 06/15/2012	07/08/09	07/16/09	5,593.85	5,000.00	593.85	0.00
125000620	400	VALERO ENERGY CORP-NEW	06/03/09	06/05/09	7,258.45	7,200.00	58.45	0.00
125000630	300	VANCEINFO TECHNOLOGIES INC ADR	07/09/09	07/13/09	3,351.69	3,300.00	51.69	0.00
125000640	400	WASHINGTON REAL EST INV TR SBI	04/30/09	05/04/09	8,499.10	8,560.00	(60.90)	0.00
125000650	500	WASHINGTON REAL EST INV TR SBI	04/30/09	05/08/09	10,374.68	10,700.00	(325.32)	0.00

2009 YEAR END SUMMARY

Reserved Client
Financial Management Account
2009 Year End Summary

MorganStanley
SmithBarney

Ref 00002971 00022584

Page 8 of 10

HAROLD BLUMENSTEIN

Account Number 600-12447-11 190

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000660	200	YINGLI GREEN ENERGY HLDG CO LTD ADR	06/17/09	07/08/09	\$ 2,116.26	\$ 2,600.00	(\$ 483.74)	\$ 0.00
Total					\$ 755,035.02	\$ 735,870.00	\$ 19,165.02	\$ 0.00
						\$ 735,870.00	\$ 19,165.02	\$ 4,325.00

2009 YEAR END SUMMARY

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization H & P BLUMENSTEIN FOUNDATION CORPORATION	Employer identification number 38-2710389
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions 32400 TELEGRAPH RD., NO. 202	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BINGHAM FARMS, MI 48025	

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

HAROLD BLUMENSTEIN

- The books are in the care of ▶ **32400 TELEGRAPH RD. #202 - BINGHAM FARMS, MI 48025**

Telephone No ▶ **1-248-646-9600**

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year **2009** or
- ▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	2,800.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,800.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)