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Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation MELVIN R BAUM PRIVATE FOUNDATION		A Employer identification number 37-1379627
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 866 N MAIN STREET		B Telephone number (see the instructions) (309) 263-0808
	City or town State ZIP code MORTON IL 61550		C If exemption application is pending, check here ☐
			D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 2,315,804.			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc., received (att sch)	350,000.			
2 Ck ☐ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	11,731.	11,731.		
4 Dividends and interest from securities	41,101.	40,728.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	402,832.	52,459.		
ADMINISTRATIVE EXPENSES				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach sch)				
c Other prof fees (attach sch) L-16c Stmt	9,183.	9,183.		
17 Interest	38.	38.		
18 Taxes (attach schedule)(see instr) FEDERAL INVEST. T	1,231.			
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 16b through 23	10,452.	9,221.		
25 Contributions, gifts, grants paid	144,700.			144,700.
26 Total expenses and disbursements. Add lines 24 and 25	155,152.	9,221.		144,700.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	247,680.			
b Net investment income (if negative, enter -0-)		43,238.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	789,376.	469,606.	469,606.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	1,347,440.	1,744,861.	1,846,198.
	c Investments – corporate bonds (attach schedule)			
	11 Investments – land, buildings, and equipment: basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe _____)			
	16 Total assets (to be completed by all filers – see instructions. Also, see page 1, item I)	2,136,816.	2,214,467.	2,315,804.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
NET ASSETS OR FUND BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe _____)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds	2,136,816.	2,214,467.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see the instructions)	2,136,816.	2,214,467.	
	31 Total liabilities and net assets/fund balances (see the instructions)	2,136,816.	2,214,467.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,136,816.
2	Enter amount from Part I, line 27a	2	247,680.
3	Other increases not included in line 2 (itemize) _____	3	
4	Add lines 1, 2, and 3	4	2,384,496.
5	Decreases not included in line 2 (itemize) <u>NET CAPITAL LOSS NOT DEDUCTIBLE IN 2009</u>	5	170,029.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	2,214,467.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE ATTACHED - SHORT TERM	P	Various	Various
b SEE ATTACHED - LONG TERM	P	Various	Various
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 600,348.		570,478.	29,870.
b 720,972.		920,871.	-199,899.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a 0.	0.	0.	29,870.
b			-199,899.
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	-170,029.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	88,469.	0.	0.000000
2007	64,774.		
2006	44,833.	0.	0.000000
2005	35,000.		
2004			

2 Total of line 1, column (d)	2	0.000000
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.000000
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	0.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	432.
7 Add lines 5 and 6	7	432.
8 Enter qualifying distributions from Part XII, line 4	8	144,700.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1 432.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 432.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 432.
6 Credits/Payments		
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a	
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	432.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	
Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) <u>IL - Illinois</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities Continued

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>MELVIN BAUM</u> Telephone no <u>(309) 263-0808</u> Located at <u>866 N MAIN STREET, MORTON, IL</u> ZIP + 4 <u>61550</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If 'Yes' to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MELVIN BAUM 607 N OREGON MORTON IL 61550	PRES/DIR 1.00	0.	0.	0.
GREGORY HUNZIKER 416 MAIN ST PEORIA IL 61602	SEC/DIR 1.00	0.	0.	0.
LINDA BAUM 607 N OREGON MORTON IL 61550	TREAS/DIR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
0				
0				
0				
0				

Total number of other employees paid over \$50,000

▶

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE FOUNDATION MADE CHARITABLE CONTRIBUTIONS TO 11 RECOGNIZED CHARITIES DURING THE YEAR ENDED 12/31/09	144,700.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	0.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	0.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	0.
6	Minimum investment return. Enter 5% of line 5	6	0.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	0.
2a	Tax on investment income for 2009 from Part VI, line 5	2 a	432.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	432.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	0.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	0.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	144,700.
b	Program-related investments — total from Part IX-B	1 b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	144,700.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	432.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	144,268.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				0.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009				
a From 2004	0.			
b From 2005	0.			
c From 2006	45,100.			
d From 2007	65,200.			
e From 2008	89,700.			
f Total of lines 3a through e	200,000.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 144,700.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2009 distributable amount				
e Remaining amount distributed out of corpus	144,700.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	344,700.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	344,700.			
10 Analysis of line 9				
a Excess from 2005	0.			
b Excess from 2006	45,100.			
c Excess from 2007	65,200.			
d Excess from 2008	89,700.			
e Excess from 2009	144,700.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
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N/A

- 1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d**
- Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon

- a 'Assets' alternative test – enter

- (1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest:

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MIDWEST FOOD BANK 1703 S VETERANS PARKWAY BLOOMINGTON IL 61701		EXEMPT	CHARITABLE	9,500.
SALVATION ARMY 401 NE ADAMS STREET PEORIA IL 61603		EXEMPT	CHARITABLE	5,000.
APOSTOLIC CHRISTIAN CHURCH 2801 RUSTIC LANE NORTH FORT MYERS FL 33903		EXEMPT	CHARITABLE	4,500.
BEREAN PRISON MINISTRY P.O. BOX 761 PEORIA IL 61652		EXEMPT	CHARITABLE	200.
OSF MEDICAL CENTER FOUNDATION 530 NE GLEN OAK AVENUE PEORIA IL 61637		EXEMPT	CHARITABLE	2,000.
APOSTOLIC CHRIST. CHURCH 225 E JEFFERSON MORTON IL 61550		EXEMPT	CHARITABLE	26,500.
APOSTOLIC CHURCH HOME FOR HANDICAPPED 2125 VETERANS ROAD MORTON IL 61550		EXEMPT	CHARITABLE	50,000.
APOSTOLIC CHRISTIAN RESTMOR 1500 PARKSIDE AVENUE MORTON IL 61550		EXEMPT	CHARITABLE	1,000.
LOVING SHEPARD MINSISTRIES P.O. BOX 375 BLUFFTON IN 46714		EXEMPT	CHARITABLE	40,000.
See Line 3a statement				6,000.
Total			3a	144,700.
b Approved for future payment				
Total			3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	11,731.	
4	Dividends and interest from securities			14	41,101.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				52,832.	
13	Total. Add line 12, columns (b), (d), and (e)				52,832.	

(See worksheet in the instructions for line 13 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Name of the organization

MELVIN R BAUM PRIVATE FOUNDATION

Employer identification number

37-1379627

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)(____) (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule –

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules –

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

MELVIN R BAUM PRIVATE FOUNDATION

37-1379627

Part I Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	MELVIN R BAUM 607 N OREGON MORTON IL 61550	\$ 350,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
JUBILEE HOMES				Person or ☐
1215 N SHERIDAN ROAD				Business ☒
PEORIA IL 61606		EXEMPT	CHARITABLE	1,000.
GATEWAY WOODS				Person or ☐
BOX 151				Business ☒
LEO IN 46765		EXEMPT	CHARITABLE	5,000.

Total

6,000.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WACHOVIA - FUNDSOURCE	INVESTMENT FEES	9,183.	9,183.		

Total

9,183.9,183.

ATTACHMENT FOR
PART II - LINE 10B
#37-1379627



62,361

Page 1 of 19

SNAPSHOT

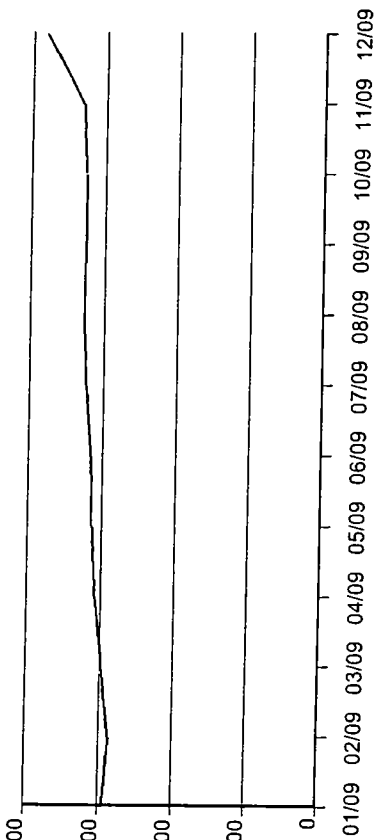
MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Progress summary

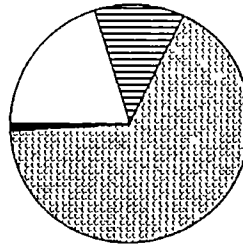
	THIS PERIOD	THIS YEAR
Opening value	\$2,014,106.20	\$1,856,258.00
Cash deposited	350,000.00	350,012.63
Securities deposited	0.00	0.00
Cash withdrawn	-40,700.00	-157,215.26
Securities withdrawn	0.00	0.00
Income earned	8,748.12	54,882.55
Change in value	-16,350.30	211,866.10
Closing value	\$2,315,804.02	\$2,315,804.02

Value over time



Portfolio summary

CURRENT



ASSETS	ASSET TYPE	COST	PREVIOUS VALUE ON NOV 30	%	VALUE ON DEC 31	%	CURRENT	%	ESTIMATED ANN INCOME
	Cash and sweep balances	469,605.65	160,240.35	7.96	469,605.65	20.28	469,605.65	20.28	892
	Stocks and options	7,687.03	7,277.36	0.36	7,356.24	0.32	7,356.24	0.32	152
	Fixed income securities	272,181.89	288,678.94	14.33	279,443.65	12.07	279,443.65	12.07	10,827
	Mutual funds	1,464,991.91	1,557,909.55	77.35	1,559,398.48	67.34	1,559,398.48	67.34	60,884
	Asset value	2,214,466.48	\$2,014,106.20	100%	\$2,315,804.02	100%	\$2,315,804.02	100%	\$72,755

SNAPSHOT

MELVIN R BAUM PRIVATE
FOUNDATION INCDECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Cash flow summary

	THIS PERIOD	THIS YEAR
Opening value of cash and sweep balances	\$160,240.35	
Deposits	0.00	12.63
Income and distributions	8,748.12	54,882.55
Securities sold and redeemed	0.00	1,321,320.86
Other additions	350,000.00	350,000.00
Net additions to cash	\$358,748.12	\$1,726,216.04
Withdrawals by check	-40,700.00	-147,431.00
Securities purchased	-8,682.82	-1,888,770.76
Other subtractions	0.00	-9,784.26
Net subtractions from cash	-\$49,382.82	-\$2,045,986.02
Closing value of cash and sweep balances	\$469,605.65	

Income summary

	THIS PERIOD	THIS YEAR
TAXABLE		
Money market/sweep funds	65.30	821.79
Interest	0.00	10,909.04
Ordinary dividends and ST capital gains	8,315.14	33,916.62
Qualified dividends	0.00	4,286.54
Long term capital gains	367.68	367.68
Total taxable income	\$8,748.12	\$50,301.67
Total federally tax-exempt income	\$0.00	\$0.00
Total income	\$8,748.12	\$50,301.67

Gain/loss summary

	UNREALIZED	THIS PERIOD REALIZED	THIS YEAR REALIZED
Short term	94,297.66	0.00	29,870.11
Long term	7,039.28	0.00	-199,898.27
Total	\$101,336.94	\$0.00	-\$170,028.16



ASSET ADVISOR

SNAPSHOT
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SNAPSHOT

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Your Financial Advisor

KUNKLE, HEMMER, MCRAVEN
INVESTMENT GROUP
Phone 309-683-3701 / 800-654-8081

2411 W CORNERSTONE COURT
PEORIA, IL 61614

Client service information

Banking inquiries: 800-266-6263 (800) COMMAND
En espanol. 800-326-8977
Website: www.wellsfargoadvisors.com

Account profile

Full account name: MELVIN R BAUM PRIVATE
FOUNDATION INC

Account type: Command Asset Program

Brokerage account number: 5237-8360

Command account number: 9076219217

Tax status: Non-Profit

Investment objective/Risk tolerance: MODERATE GROWTH & INCOME

Sweep option: BANK DEPOSIT SWEEP

Your managed program. ASSET ADVISOR

Go paperless. Signing up for online documents is easy and costs nothing. To participate, go to wellsfargoadvisors.com and click on the Access Online Login button on the upper right. If you already have a User ID and Password, please login and use the Add/Edit Documents option that is listed under Accounts & Services to enroll for online documents. If you do not have a User ID and Password, please click on the "Sign up Online" link on the right side of the Access online Login page or call 877-879-2495 for assistance.

Available funds

Cash	0.00
Money market and sweep funds	469,605.65
Available for loan	33,164.00
Your total available funds	\$502,769.65

Document delivery status

	Paper	Electronic
Statements.	X	
Trade confirmations.	X	
Tax documents	X	
Shareholder communications:	X	

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

 DECEMBER 1 - DECEMBER 31, 2009
 ACCOUNT NUMBER: 5237-8360

Additional information

	THIS PERIOD	THIS YEAR	THIS PERIOD	THIS YEAR
Gross proceeds	0 00	1,321,323 88	0 00	-577.10
Foreign withholding			0 00	

Expense tracking summary

	THIS PERIOD	THIS YEAR	THIS PERIOD	THIS YEAR
C Contributions/Donations/Gift	0.00	22,000.00		
Unspecified	40,700 00	124,200.00		
Total			\$40,700.00	\$ 146,200.00

Portfolio detail
Cash and Sweep Balances

Bank Deposit Sweep - Consists of monies held in one or more FDIC-insured depository account(s) established with Wells Fargo Bank, N.A. Additional funds over \$250,000 are deposited at one or more additional banks affiliated with Wells Fargo Advisors. These assets are not covered by SIPC, but are instead covered by FDIC insurance in accordance with FDIC rules. For additional information on the Bank Deposit Sweep for your account please contact Your Financial Advisor

DESCRIPTION	% OF ACCOUNT	ANNUAL PERCENTAGE YIELD EARNED*	CURRENT MARKET VALUE	ESTIMATED ANNUAL INCOME
BANK DEPOSIT SWEEP Interest Period 12/01/09 - 12/31/09	20.28	0.19	469,605 65	892 25
Total Cash and Sweep Balances	20.28		\$469,605.65	\$892.25

* APY measures the total amount of the interest paid on an account based on the interest rate and the frequency of the compounding during the interest period. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Stocks and Options
Stocks

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED ANNUAL INCOME	ANNUAL YIELD (%)
DEERE & CO									
DE									
Acquired 04/09/07 L		56	54.52	3,053.33		3,029.04	-24.29		
Acquired 05/02/07 L		44	56.38	2,480.72		2,379.96	-100.76		
Acquired 07/27/07 L		28	59.96	1,679.02		1,514.52	-164.50		

ASSET ADVISOR

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**MELVIN R BAUM PRIVATE
FOUNDATION INC**

 DECEMBER 1 - DECEMBER 31, 2009
 ACCOUNT NUMBER: 5237-8360

Stocks and Options
Stocks continued

DESCRIPTION Acquired 08/06/07 L	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	0.32	136		\$7,687.63	54.0900	\$7,356.24	- \$331.39	\$152.32	2.07
Total Stocks	0.32			\$7,687.63		\$7,356.24	- \$331.39	\$152.32	2.07
Total Stocks and Options	0.32			\$7,687.63		\$7,356.24	- \$331.39	\$152.32	2.07

Fixed Income Securities

Corporate and municipal bonds and other fixed income securities are priced by a computerized pricing service or, for less actively traded issues, by utilizing a yield-based matrix system to arrive at an estimated market value.

Corporate Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ACCRUED INTEREST	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
WALGREEN CO NOTES Moody A2, S&P A+ CUSIP 931422AD1 Acquired 09/15/08 L	2.00	43,000	102.42	44,042.32	107.4550	46,205.65	2,163.33	873.44	2,096.25	4.53
COSTCO WHOLESALE CORP SENIOR NOTES CALLABLE CPN 5.500% DUE 03/15/17 DTD 02/20/07 FC 09/15/07 Moody A2, S&P A CUSIP 22160KAC9 Acquired 01/30/08 L	0.97	21,000	103.47	21,728.93	107.4920	22,573.32	844.39	340.08	1,155.00	5.11
Total Corporate Bonds	2.97	64,000		\$65,771.25		\$68,778.97	\$3,007.72	\$1,213.52	\$3,251.25	4.73

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Fixed Income Securities

Government Bonds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED		
								ACCRUED INTEREST	ANNUAL INCOME	ANNUAL YIELD (%)
US TREASURY										
NOTES										
CPN 2.375% DUE 08/31/10										
DTD 08/31/08 FC 02/28/09										
Moody AAA, S&P AAA										
CUSIP 912828JJ0										
Acquired 09/15/08 L	1.88	43,000	101.12	43,483.75	101.3280	43,571.04	87.29	344.18	1,021.25	2.34
US TREASURY										
NOTES										
CPN 4.250% DUE 11/15/17										
DTD 11/15/07 FC 05/15/08										
Moody AAA, S&P AAA										
CUSIP 912828HH6										
Acquired 12/26/07 L		67,000	100.35	67,240.73		70,292.38	3,051.65			
Acquired 02/06/08 L		6,000	105.31	6,318.75		6,294.84	-23.91			
Acquired 03/03/08 L		1,000	105.46	1,054.60		1,049.14	-5.46			
Total	3.35	74,000		\$74,614.08	104.9140	\$77,636.36	\$3,022.28	\$399.64	\$3,145.00	4.05
US TREASURY NOTES - WI										
CPN 3.875% DUE 05/15/18										
DTD 05/15/08 FC 11/15/08										
Moody AAA, S&P AAA										
CUSIP 912828HZ6										
Acquired 07/15/08 L	3.86	88,000	100.35	88,312.81	101.6560	89,457.28	1,144.47	433.32	3,410.00	3.81
Total Government Bonds	9.10	205,000		\$206,410.64		\$210,664.68	\$4,254.04	\$1,177.14	\$7,576.25	3.60
Total Fixed Income Securities	12.07			\$272,181.89		\$279,443.65	\$7,261.76	\$2,390.66	\$10,827.50	3.87

Mutual Funds

Mutual fund shares are priced at net asset value. Estimated Annual Income and Yield refer to Dividends and Interest Income only, and typically do not reflect Total return. If a portion of your fund position was converted, the 'Client Investment' value may include reinvestments from previously held positions.



ASSET ADVISOR

WELLS
FARGO

ADVISORS

MELVIN R BAUM PRIVATE
FOUNDATION INCDECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Mutual Funds

Open End Mutual Funds

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
COHEN & STEERS RLTY									
SHARES INC									
CSRSX									
On Reinvestment									
Acquired 08/19/09 S		412.06300	37.73	15,547.14		19,391.68	3,844.54		
Reinvestments S		6.00800	44.67	268.42		282.74	14.32		
Total	0.85	418.07100		\$15,815.56	47.0600	\$19,674.42	\$3,858.86	\$584.46	2.97
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$15,547.14			
						\$4,127.28			
DODGE & COX STK FD									
DODGX									
On Reinvestment									
Acquired 04/22/09 S		371.86400	68.70	25,547.05		35,751.00	10,203.95		
Acquired 07/06/09 S		270.53200	74.75	20,222.26		26,008.94	5,786.68		
Reinvestments S		5.12800	87.37	448.08		493.01	44.93		
Total	2.69	647.52400		\$46,217.39	96.1400	\$62,252.95	\$16,035.56	\$773.79	1.24
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$45,769.31			
						\$16,483.64			
DWS ADVISORS FUNDS									
SHORT DURATION PLUS FD									
CL S									
DBPIX									
On Reinvestment									
Acquired 10/09/09 S		26,511.13500	9.43	250,000.00		250,795.07	795.07		
Reinvestments S		265.90800	9.46	2,516.32		2,515.75	-0.57		
Total	10.94	26,777.04300		\$252,516.32	9.4600	\$253,310.82	\$794.50	\$11,353.46	4.48
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$250,000.00			
						\$3,310.82			
EATON VANCE GOVERNMENT									
OBLIGATIONS FUND CLASS A									
EVGOX									
On Reinvestment									
Acquired 09/03/09 S		33,200.53100	7.53	250,000.00		248,339.64	-1,660.36		

MELVIN R BAUM PRIVATE
FOUNDATION INC

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION Reinvestments S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	10.84	33,554.61100	7.55	\$252,676.08	7.4800	\$250,988.49	-\$1,687.59	\$11,710.55	4.67
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
EUROPACIFIC GROWTH FD									
CL F-1									
AEGFX									
On Reinvestment									
Acquired 08/18/09 S		225.21200	34.26	7,715.76		8,591.84	876.08		
Acquired 08/19/09 S		676.26600	34.57	23,378.52		25,799.53	2,421.01		
Reinvestments S		14.91900	38.23	570.37		569.17	- 1.20		
Total	1.51	916.39700		\$31,664.65	38.1500	\$34,960.54	\$3,295.89	\$580.07	1.66
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
JOHN HANCOCK FDS III									
GLOBAL SHAREHOLDER YLD									
CLASS 1									
JGYIX									
On Reinvestment									
Acquired 04/22/09 S		2,576.49700	6.41	16,515.34		21,616.78	5,101.44		
Acquired 07/06/09 S		2,599.47900	7.04	18,300.33		21,809.63	3,509.30		
Reinvestments S		161.67600	7.62	1,232.26		1,356.49	124.23		
Total	1.93	5,337.65200		\$36,047.93	8.3900	\$44,782.90	\$8,734.97	\$1,478.52	3.30
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
IVY FDS INC									
LTD-TERM BD FD CL C									
WLBCX									
On Reinvestment									
Acquired 06/10/09 S		23,299.16100	10.73	250,000.00		256,523.53	6,523.53		
Reinvestments S		290.31900	11.04	3,205.86		3,196.64	- 9.22		
Total	11.22	23,589.48000		\$253,205.86	11.0100	\$259,720.17	\$6,514.31	\$5,732.24	2.21
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									



ASSET ADVISOR


**MELVIN R BAUM PRIVATE
FOUNDATION INC**

 DECEMBER 1 - DECEMBER 31, 2009
 ACCOUNT NUMBER: 5237-8360

Mutual Funds
Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
ARTIO GLOBAL INVT FDS									
TOTAL RETURN BD FD CL I									
JBGIX - HELD IN MARGIN									
On Reinvestment									
Acquired 12/16/05 L		2,522.24299	13.47	33,974.59		33,772.81	-201.78		
Acquired 07/06/09 S		1,601.00900	12.88	20,620.99		21,437.49	816.50		
Acquired 08/19/09 S		155.58000	13.20	2,053.65		2,083.22	29.57		
Reinvestments L		945.85169	13.06	12,354.27		12,664.96	310.69		
Reinvestments S		187.02532	13.03	2,438.68		2,504.29	65.61		
Total	3.13	5,411.70900		\$71,442.18	13.3900	\$72,462.77	\$1,020.59	\$2,949.37	4.07
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$56,649.23			
						\$15,813.54			
MAINSTAY FD HIGH YIELD									
CORPORATE BD FD CL I									
MHYIX									
On Reinvestment									
Acquired 04/22/09 S		3,796.00500	4.65	17,651.42		21,447.39	3,795.97		
Acquired 07/06/09 S		1,887.35400	5.08	9,587.76		10,663.57	1,075.81		
Reinvestments S		332.38600	5.33	1,772.11		1,877.99	105.88		
Total	1.47	6,015.74500		\$29,011.29	5.6500	\$33,988.95	\$4,977.66	\$2,737.16	8.05
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$27,239.18			
						\$6,749.77			
MANAGERS FUNDS BOND									
FUND									
MGFIX									
On Reinvestment									
Acquired 04/22/09 S		1,568.35200	19.74	30,959.26		38,110.94	7,151.68		
Acquired 07/06/09 S		735.96300	22.07	16,242.70		17,883.90	1,641.20		
Acquired 08/19/09 S		674.77500	23.26	15,695.26		16,397.03	701.77		
Reinvestments S		102.44600	22.97	2,353.90		2,489.45	135.55		
Total	3.23	3,081.53600		\$65,251.12	24.3000	\$74,881.32	\$9,630.20	\$3,956.69	5.28
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
						\$62,897.22			
						\$11,984.10			

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

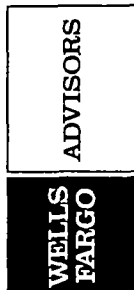
Mutual Funds

Open End Mutual Funds continued

Open-End Mutual Funds - Continued									
DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
METROPOLITAN WEST FDS									
TOTAL RETURN BD FD CL 1									
MWTIX									
On Reinvestment		2,968.29000	8.94	26,536.51		29,386.04	2,849.53		
Acquired 04/22/09 S		1,792.86400	9.19	16,476.42		17,749.35	1,272.93		
Acquired 07/06/09 S		1,697.82200	9.55	16,214.20		16,808.45	594.25		
Acquired 08/19/09 S		191.87800	9.61	1,845.24		1,899.61	54.37		
Reinvestments S									
Total	2.84	6,650.85400		\$61,072.37	9.9000	\$65,843.45	\$4,771.08	\$4,070.32	6.18
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$59,227.13									
\$6,616.32									
NUVEEN INVT TRUST									
TRADEWINDS VALUE OPRTYS									
FUND-CLASS I									
NVORX									
On Reinvestment		379.30800	24.72	9,376.48		11,288.20	1,911.72		
Acquired 06/03/09 S		230.26000	23.63	5,441.04		6,852.54	1,411.50		
Acquired 07/06/09 S									
Total	0.78	609.56800		\$14,817.52	29.7600	\$18,140.74	\$3,323.22	\$69.49	0.38
PIMCO FDS PAC INVT									
MGMT SER TOTAL RETURN FD									
INSTL CL									
PTTRX									
On Reinvestment		5,218.58500	10.17	53,073.01		56,360.72	3,287.71		
Acquired 04/22/09 S		3,127.91600	10.50	32,843.12		33,781.49	938.37		
Acquired 07/06/09 S		287.08000	10.71	3,074.63		3,100.47	25.84		
Acquired 08/19/09 S		340.49400	10.78	3,671.92		3,677.33	5.41		
Reinvestments S									
Total	4.19	8,974.07500		\$92,662.68	10.8000	\$96,920.01	\$4,257.33	\$5,456.23	5.63
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
\$88,990.76									
\$7,929.25									
PIMCO FDS PAC INVT									
MGMT SER EMERGING MKTS									
BD FD INSTL CL									
PEBIX									
On Reinvestment		1,237.77200	8.82	10,917.15		12,773.79	1,856.64		
Acquired 04/22/09 S		1,092.05100	9.40	10,265.28		11,269.98	1,004.70		
Acquired 07/06/09 S									

ASSET ADVISOR




**MELVIN R BAUM PRIVATE
FOUNDATION INC**

 DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Mutual Funds
Open End Mutual Funds continued

DESCRIPTION Reinvestments S	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	CURRENT MARKET VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
								ANNUAL INCOME	ANNUAL YIELD (%)
Total	1.08	2,423 .06900		\$22,099.69	10.3200	\$25,006.07	\$2,906.38	\$1,589.53	6.36
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
PIONEER FUND CL-Y									
PYODX									
On Reinvestment									
Acquired 08/18/09 S		1,228 .40300	31.53	38,731.54		44,025.95	5,294.41		
Reinvestments S		8 .38100	34.60	290.04		300.38	10.34		
Total	1.91	1,236 .78400		\$39,021.58	35.8400	\$44,326.33	\$5,304.75	\$675.28	1.52
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
ALGER FDS									
SMIDCAP GROWTH FD CL A									
ALMAX									
On Reinvestment									
Acquired 08/19/09 S	0.77	1,390 .62100	11.18	15,547.14	12.7900	17,786.04	2,238.90	N/A	N/A
TCW FDS INC									
TOTAL RETURN BD FD CL I									
SHS									
TGLMX									
On Reinvestment									
Acquired 04/22/09 S		2,890 .68700	9.18	26,536.51		28,646.68	2,110.17		
Acquired 07/06/09 S		1,659 .73600	9.54	15,833.88		16,447.98	614.10		
Acquired 08/19/09 S		1,576 .93100	9.99	15,753.54		15,627.40	- 126.14		
Reinvestments S		372 .37800	9.90	3,687.82		3,690.28	2.46		
Total	2.78	6,499 .73200		\$61,811.75	9.9100	\$64,412.34	\$2,600.59	\$5,713.26	8.87
Client Investment (Excluding Reinvestments)									
Gain/Loss on Client Investment (Including Reinvestments)									
T ROWE PRICE BLUE									
CHIP GROWTH FUND									
TRBCX									
On Reinvestment									
Acquired 04/22/09 S		914 .54700	24.18	22,113.75		29,969.70	7,855.95		
Acquired 07/06/09 S		492 .10000	26.11	12,848.74		16,126.12	3,277.38		
Acquired 08/19/09 S		1,055 .56800	28.50	30,083.69		34,590.95	4,507.26		

ASSET ADVISOR

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**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Mutual Funds

Open End Mutual Funds continued

DESCRIPTION	% OF ACCOUNT	QUANTITY	ADJ PRICE/ ORIG PRICE	ADJ COST/ ORIG COST	CURRENT PRICE	MARKET VALUE	CURRENT VALUE	UNREALIZED GAIN/LOSS	ESTIMATED	
									ANNUAL INCOME	ANNUAL YIELD (%)
Reinvestments S		1,14400	32.28	36.93			37.50	0.57		
Total	3.49	2,463.35900		\$65,083.11	32.7700	\$80,724.27	\$15,641.16		\$36.95	0.05
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
WELLS FARGO FDS TR										
ADVANTAGE SHORT DURATION										
GOVT BD FD										
MNSGX										
On Reinvestment										
Acquired 04/22/09 S										
Acquired 07/06/09 S										
Acquired 08/19/09 S										
Reinvestments S										
Total	1.69	3,788.97600		\$39,027.69	10.3500	\$39,215.90	\$188.21		\$1,417.07	3.61
Client Investment (Excluding Reinvestments)										
Gain/Loss on Client Investment (Including Reinvestments)										
\$38,413.11										
\$802.79										
Total Open End Mutual Funds	67.34			\$1,464,991.91		\$1,559,398.48	\$94,406.57		\$60,884.44	3.90
Total Mutual Funds	67.34			\$1,464,991.91		\$1,559,398.48	\$94,406.57		\$60,884.44	3.90

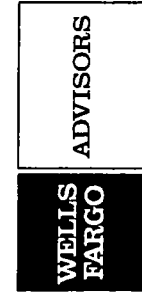
Bank Deposit Sweep Allocation

Monies on deposit at each bank, together with any other deposits held in the same insurable capacity at each bank, are eligible for FDIC insurance up to \$250,000 per depositor, per bank in accordance with FDIC rules for a total of up to \$750,000 in FDIC insurance when deposited at multiple banks. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Settlement timing differences will cause balances displayed in this section to vary from those indicated in the Portfolio detail section due to activity that occurs after 2 PM ET on the last business day of the month. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

DESCRIPTION	AS OF VALUE DATE	CURRENT VALUE
WELLS FARGO BANK, N.A.	12/31	250,000.00
WELLS FARGO BANK SOUTH CENTRAL, N.A.	12/31	219,540.35
Total Bank Deposits		\$469,540.35



ASSET ADVISOR

MELVIN R BAUM PRIVATE
FOUNDATION INCDECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Activity detail

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/01	Cash	DIVIDEND		EATON VANCE GOVERNMENT OBLIGATIONS FUND CLASS A 113009 33,554 61100 AS OF 11/30/09		958.86
12/01	Cash	DIVIDEND		IVY FDS INC LTD-TERM BD FD CL C 112709 23,533 77000 AS OF 11/27/09		515.43
12/01	Cash	DIVIDEND		ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD CL I 113009 5,387 16700 AS OF 11/30/09		220.21
12/01	Cash	DIVIDEND		METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I 113009 6,650 85400 AS OF 11/30/09		314.71
12/01	Cash	DIVIDEND		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTR CL 113009 8,883 47800 AS OF 11/30/09		329.55
12/01	Cash	DIVIDEND		PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTR CL 113009 2,423 06900 AS OF 11/30/09		111.11
12/01	Cash	DIVIDEND		WELLS FARGO FDS TR ADVANTAGE SHORT DURATION GOVT BD FD 113009 3,788 97600 AS OF 11/30/09		79.18
12/02	Cash	DIVIDEND		MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I 120109 5,975 44800 AS OF 12/01/09		224.94
12/02	Cash	DIVIDEND		TCW FDS INC TOTAL RETURN BD FD CL I SHS 120109 6,408 73200 AS OF 12/01/09		429.76

ASSET ADVISOR

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**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER 5237-8360

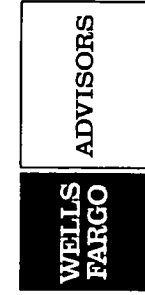
Activity detail continued

Income and distributions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/10	Cash	DIVIDEND		DWS ADVISORS FUNDS SHORT DURATION PLUS FD CL S 121009 26,777 04300		798 11
12/11	Cash	SHRT TRM GAIN		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL 121009 8,974 07500 AS OF 12/10/09		771 89
12/11	Cash	LT CAP GAIN		PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL 121009 8,903 19400 AS OF 12/10/09		214.71
12/14	Cash	LT CAP GAIN		IVY FDS INC LTD-TERM BD FD CL C 121009 23,589 48000 AS OF 12/10/09		152.97
12/14	Cash	SHRT TRM GAIN		IVY FDS INC LTD-TERM BD FD CL C 121009 23,589 48000 AS OF 12/10/09		465 97
12/17	Cash	DIVIDEND		T ROWE PRICE BLUE CHIP GROWTH FUND 121609 2,463 35900 AS OF 12/16/09		36 93
12/18	Cash	DIVIDEND		JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I 121709 5,337 65200 AS OF 12/17/09		319 87
12/21	Cash	DIVIDEND		COHEN & STEERS RLTY SHARES INC 121809 418 07100 AS OF 12/18/09		146.04
12/22	Cash	DIVIDEND		MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I 122109 6,015.74500 AS OF 12/21/09		226 47



ASSET ADVISOR

**MELVIN R BAUM PRIVATE
FOUNDATION INC**DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360**Activity detail continued****Income and distributions**

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/23	Cash	DIVIDEND		DODGE & COX STK FD 122209 647 52400 AS OF 12/22/09		151.80
12/23	Cash	DIVIDEND		PIONEER FUND CL-Y 122209 1,236 78400 AS OF 12/22/09		167.20
12/28	Cash	DIVIDEND		EUROPACIFIC GROWTH FD CL F-1 122809 916 39700		570.37
12/29	Cash	DIVIDEND		MANAGERS FUNDS BOND FUND 122909 3,081 53600		244.49
12/31	Cash	DIVIDEND		ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD CL I 123009 5,411 70900 AS OF 12/30/09		328.62
12/31	Cash	DIVIDEND		TCW FDS INC TOTAL RETURN BD FD CL I SHS 123009 6,499 73200 AS OF 12/30/09		903.63
12/31	Cash	INTEREST		BANK DEPOSIT SWEEP		65.30
Total Income and distributions :						\$8,748.12

Other additions

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/08	Cash	JOURNAL		FROM 46732762 BAUM MELVIN R		350,000.00
Total Other additions :						\$350,000.00

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Activity detail continued

Withdrawals by check

Entries that display a blank in the expense code field are checks generated by the firm based upon standing or specific instructions that you have provided

DATE	ACCOUNT TYPE	CHECK NUMBER	DESCRIPTION	EXPENSE CODE	AMOUNT
12/08	Cash	0001068	RESTMOR	Unspecified	-1,000.00
12/22	Cash	0001069	LOVING SHEPHERD MINISTRIES	Unspecified	-10,000.00
12/15	Cash	0001070	BEREAN PRISON MINISTRY	Unspecified	-200.00
12/11	Cash	0001071	MIDWEST FOOD BANK	Unspecified	-8,000.00
12/22	Cash	0001072	SALVATION ARMY	Unspecified	-5,000.00
12/23	Cash	0001074*	MORTON APOSTOLIC CH GEN FUND	Unspecified	-5,000.00
12/23	Cash	0001075	MORTON APOSTOLIC CH GEN FUND	Unspecified	-6,000.00
12/29	Cash	0001078*	FT MYERS APOSTOLIC CHURCH	Unspecified	-4,500.00
12/17	Cash	0001079	JUBILEE HOMES	Unspecified	-1,000.00
* Checks out of sequence					
Total Withdrawals by check :					-\$40,700.00

Securities purchased

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/01	Cash	REINVEST DIV	126.33200	EATON VANCE GOVERNMENT OBLIGATIONS FUND CLASS A	7 5900	-958.86
12/01	Cash	REINVEST DIV	46 10300	IVY FDS INC LTD-TERM BD FD CL C	11 1800	-515.43
12/01	Cash	REINVEST DIV	16 20400	ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD CL I	13 5900	-220.21
12/01	Cash	REINVEST DIV	31.59700	METROPOLITAN WEST FDS TOTAL RETURN BD FD CL I	9.9600	-314.71
12/01	Cash	REINVEST DIV	29 85100	PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	11 0400	-329.55
12/01	Cash	REINVEST DIV	10.69400	PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL	10.3900	-111.11
12/01	Cash	REINVEST DIV	7.56300	WELLS FARGO FDS TR ADVANTAGE SHORT DURATION GOVT BD FD	10.4700	-79.18



ASSET ADVISOR

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Activity detail continued

Securities purchased

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/02	Cash	REINVEST DIV	40 31200	MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I	5 5800	-224.94
12/02	Cash	REINVEST DIV	41 96900	TCW FDS INC TOTAL RETURN BD FD CL I SHS	10 2400	-429.76
12/10	Cash	REINVEST DIV	84.27800	DWS ADVISORS FUNDS SHORT DURATION PLUS FD CL S	9.4700	-798.11
12/11	Cash	REINVEST DIV	70 88100	PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	10 8900	-771.89
12/11	Cash	REINVEST DIV	19 71600	PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL	10.8900	-214.71
12/14	Cash	REINVEST DIV	41 94100	IVY FDS INC LTD-TERM BD FD CL C	11 1100	-465.97
12/14	Cash	REINVEST DIV	13.76900	IVY FDS INC LTD-TERM BD FD CL C	11.1100	-152.97
12/17	Cash	REINVEST DIV	1.14400	T ROWE PRICE BLUE CHIP GROWTH FUND	32 2800	-36.93
12/18	Cash	REINVEST DIV	38 35400	JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I	8 3400	-319.87
12/21	Cash	REINVEST DIV	3.19700	COHEN & STEERS RLTY SHARES INC	45 6800	-146.04
12/22	Cash	REINVEST DIV	40 29700	MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I	5 6200	-226.47
12/23	Cash	REINVEST DIV	1.57300	DODGE & COX STK FD	96 5300	-151.80
12/23	Cash	REINVEST DIV	4.66600	PIONEER FUND CL-Y	35 8300	-167.20
12/28	Cash	REINVEST DIV	14 91900	EUROPACIFIC GROWTH FD CL F-1	38 2300	-570.37

**MELVIN R BAUM PRIVATE
FOUNDATION INC**

DECEMBER 1 - DECEMBER 31, 2009
ACCOUNT NUMBER: 5237-8360

Activity detail continued

Securities purchased

DATE	ACCOUNT TYPE	TRANSACTION	QUANTITY	DESCRIPTION	PRICE	AMOUNT
12/29	Cash	REINVEST DIV	10.10300	MANAGERS FUNDS BOND FUND	24 2000	-244.49
12/31	Cash	REINVEST DIV	24 54200	ARTIO GLOBAL INVT FDS TOTAL RETURN BD FD CL I	13.3900	-328.62
12/31	Cash	REINVEST DIV	91 00000	TCW FDS INC TOTAL RETURN BD FD CL I SHS	9.9300	-903.63
Total Securities purchased :						- \$8,682.82

Cash sweep activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These 'sweep transactions' may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in your cash flow summary.

DATE	TRANSACTION	DESCRIPTION	AMOUNT	DATE	TRANSACTION	DESCRIPTION	AMOUNT
12/01		BEGINNING BALANCE	160,240.35	12/22	TRANSFER FROM	BANK DEPOSIT SWEEP	-15,000.00
12/08	TRANSFER FROM	BANK DEPOSIT SWEEP	-1,000.00	12/23	TRANSFER FROM	BANK DEPOSIT SWEEP	-11,000.00
12/09	TRANSFER TO	BANK DEPOSIT SWEEP	350,000.00	12/29	TRANSFER FROM	BANK DEPOSIT SWEEP	-4,500.00
12/11	TRANSFER FROM	BANK DEPOSIT SWEEP	-8,000.00	12/31	REINVEST INT	BANK DEPOSIT SWEEP	65.30
12/15	TRANSFER FROM	BANK DEPOSIT SWEEP	-200.00	12/31	ENDING BALANCE		469,605.65
12/17	TRANSFER FROM	BANK DEPOSIT SWEEP	-1,000.00				

FORM 990-PF

PART IV - CAPITAL GAINS & LOSSES

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Realized Gain/Loss Detail for Year

Short Term	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION ACCENTURE PLC IRELAND SHARES CLASS A	416.0000	29.1175	12/09/08	09/25/09	15,026.36	12,112.88	2,913.48
AMERICAN CENTY QUANTITATIVE EQUITY FDS INCOME & GRWTH INSTL CL	1,869.5210	16.5600	04/22/09	08/18/09	36,250.01	30,959.26	5,290.75
	10.1560	17.9100	06/18/09	08/18/09	196.92	181.90	15.02
	1,039.0450	17.6300	07/06/09	08/18/09	20,147.09	18,318.37	1,828.72
APACHE CORP COMMON	100.0000	73.3167	12/10/08	10/09/09	10,063.81	7,331.68	2,732.13
	71.0000	73.3167	12/10/08	10/09/09	7,145.68	5,205.48	1,940.20
CALAMOS GROWTH FUND CLASS A	102.9270	29.4200	12/16/08	04/21/09	3,259.71	3,028.12	231.59
CALVERT INCOME FUND CL-I	20.8490	15.9900	04/28/08	04/21/09	288.13	333.37	-45.24
	19.3410	15.9900	06/02/08	04/21/09	267.29	309.27	-41.98
	16.7820	15.9900	06/30/08	04/21/09	231.93	268.35	-36.42
	17.5450	15.7900	08/01/08	04/21/09	242.47	277.04	-34.57
	17.0950	15.8200	08/29/08	04/21/09	236.25	270.44	-34.19
	17.5080	15.3900	09/29/08	04/21/09	241.96	269.45	-27.49
	18.6280	13.9200	10/31/08	04/21/09	257.44	259.30	-1.86
	21.4650	13.7300	12/01/08	04/21/09	296.65	294.71	1.94
	12.5250	13.6900	12/05/08	04/21/09	173.10	171.47	1.63
	1.3420	13.6900	12/05/08	04/21/09	18.54	18.37	0.17
	12.5460	13.6500	12/26/08	04/21/09	173.39	171.25	2.14
	15.7640	13.5800	02/02/09	04/21/09	217.87	214.08	3.79
	17.6770	13.5200	03/02/09	04/21/09	244.31	238.99	5.32

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Realized Gain/Loss

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As of Date: 2/05/10



ADVISOR

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DODGE & COX STK FD		14 9700	13 4800	03/30/09	04/21/09	206.91	201.80	5.11
FEDERATED KAUFMANN SM CAP CL A		143 1580	68 7000	04/22/09	08/18/09	12,229.98	9,834.96	2,395.02
FEDERATED STRATEGIC VALUE FUND CLASS IN		82.9890	13.9700	12/16/08	04/21/09	1,203.40	1,159.35	44.05
		8 5640	5 2700	04/23/08	04/21/09	27.83	45.13	-17.30
		26 7060	5.3700	05/20/08	04/21/09	86.79	143.41	-56.62
		35 6690	4 8100	06/23/08	04/21/09	115.92	171.57	-55.65
		9.2130	4.6500	07/22/08	04/21/09	29.94	42.84	-12.90
		22 1820	4 6700	08/21/08	04/21/09	72.09	103.59	-31.50
		63 9420	4 8200	09/23/08	04/21/09	207.81	308.20	-100.39
		18 4550	3.7800	12/01/08	04/21/09	59.97	69.76	-9.79
		1,131.5770	3 9000	12/16/08	04/21/09	3,677.70	4,413.15	-735.45
		64 7590	3.8200	01/02/09	04/21/09	210.47	247.38	-36.91
		8 2880	3 6100	01/30/09	04/21/09	26.93	29.92	-2.99
		24 0220	3.1700	03/02/09	04/21/09	78.07	76.15	1.92
		26 0440	3 2000	04/01/09	04/21/09	84.66	83.34	1.32
GOLDMAN SACHS INFLATION PRO SEC FUND CL C		23,992 3220	10 4200	06/10/09	07/13/09	248,219.92	250,000.00	-1,780.08
		1.5470	10.5600	07/02/09	07/13/09	16.01	16.34	-0.33
GOLDMAN SACHS TR FINL SQUARE MONEY MKT FD INSTL CLASS		4,246 1500	1.0000	12/16/08	08/18/09	4,246.15	4,246.15	0.00
		2 2200	1 0000	01/02/09	08/18/09	2.22	2.22	0.00
		4.7900	1 0000	02/02/09	08/18/09	4.79	4.79	0.00

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Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		2.7000	1.0000	03/02/09	08/18/09	2.70	2.70	0.00
		0.3700	1.0000	03/31/09	08/18/09	0.37	0.37	0.00
		1.9700	1.0000	04/01/09	08/18/09	1.97	1.97	0.00
		336.3000	1.0000	04/22/09	08/18/09	336.30	336.30	0.00
		1.8700	1.0000	05/01/09	08/18/09	1.87	1.87	0.00
		1.7800	1.0000	06/01/09	08/18/09	1.78	1.78	0.00
		642.3700	1.0000	06/03/09	08/18/09	642.37	642.37	0.00
		1,718.2700	1.0000	07/06/09	08/18/09	1,718.27	1,718.27	0.00
		7,741.6000	1.0000	07/06/09	09/03/09	7,741.60	7,741.60	0.00
		1.7100	1.0000	07/08/09	09/03/09	1.71	1.71	0.00
		3.0000	1.0000	08/03/09	09/03/09	3.00	3.00	0.00
		2.2100	1.0000	09/01/09	09/03/09	2.21	2.21	0.00
HARTFORD MUT FDS INC CAP APPREC FD CL A		172.8330	22.0200	12/16/08	04/21/09	3,747.04	3,805.78	-58.74
		21.3200	20.7300	12/24/08	04/21/09	462.22	441.97	20.25
JANUS INVT FD PERKINS MID CAP VALUE FD INSTL SHRS		433.3200	15.3100	04/22/09	08/18/09	7,786.76	6,634.13	1,152.63
		2.3260	16.1900	06/26/09	08/18/09	41.79	37.66	4.13
		247.3350	16.1000	07/06/09	08/18/09	4,444.62	3,982.10	462.52
JOHN HANCOCK FDS III GLOBAL SHAREHOLDER YLD CLASS I		2,598.3280	6.4100	04/22/09	08/18/09	19,487.46	16,655.29	2,832.17
KINETICS SMALL CAP OPPORTUNITIES FUND INSTITUTIONAL CLASS		172.5420	13.7500	12/16/08	04/21/09	2,444.95	2,372.45	72.50

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Realized Gain/Loss

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WELLS
FARGO

ADVISOR

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

As of Date: 2/05/10

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
LAZARD FDS INC EMERGING MKTS PORT INSTL SHS		16.6350	12.9600	12/31/08	04/21/09	235.71	215.59	20.12
		377.0460	11.7300	04/22/09	08/18/09	5,881.91	4,422.75	1,459.16
		136.5510	14.2100	07/06/09	08/18/09	2,130.20	1,940.39	189.81
LEGG MASON PARTNERS AGGRESSIVE GROWTH FD CL I		54.6870	70.8900	12/16/08	04/21/09	3,829.74	3,876.73	-46.99
MAINSTAY FD HIGH YIELD CORPORATE BD FD CL I		8.5110	4.6500	04/22/09	08/18/09	45.28	39.58	5.70
MFS SER TR X EMERGING MKTS DEBT FD CL A		23.8050	13.7600	05/01/08	04/21/09	285.42	327.56	-42.14
		23.9770	13.7300	06/02/08	04/21/09	287.48	329.21	-41.73
		24.6570	13.4200	07/01/08	04/21/09	295.64	330.90	-35.26
		24.7680	13.4300	08/01/08	04/21/09	296.97	332.63	-35.66
		24.8840	13.4400	09/02/08	04/21/09	298.36	334.44	-36.08
		26.8360	12.5300	10/01/08	04/21/09	321.76	336.25	-14.49
		32.3920	10.4400	11/03/08	04/21/09	388.38	338.17	50.21
		63.9770	10.6300	12/03/08	04/21/09	767.10	680.08	87.02
		27.2180	11.4700	01/02/09	04/21/09	326.35	312.19	14.16
		22.2910	11.4700	02/02/09	04/21/09	267.27	255.68	11.59
		22.8070	11.2700	03/02/09	04/21/09	273.46	257.04	16.42
		22.3460	11.5700	04/01/09	04/21/09	267.95	258.54	9.41
MUNDER SER TR MIDCAP CORP GROWTH FD CL Y		387.9610	17.1000	04/22/09	08/18/09	7,763.10	6,634.13	1,128.97

Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
NUVEEN INVT TR		223.9180	17.9700	07/06/09	08/18/09	4,480.60	4,023.80	456.80
NWQ MULTI-CAP VALUE FD CL R		705.1080	11.0700	12/16/08	04/21/09	7,622.25	7,805.55	-183.30
NUVEEN INVT TRUST TRADEWINDS VALUE OPPTYS FUND-CLASS I		8.3420	24.7200	06/03/09	08/18/09	214.65	206.22	8.43
OPPENHEIMER GLOBAL FD CL Y		64.1710	34.1200	12/09/08	04/21/09	2,400.64	2,189.53	211.11
		25.2980	34.1200	12/09/08	04/21/09	946.40	863.18	83.22
		73.1570	38.5100	12/16/08	04/21/09	2,736.82	2,817.29	-80.47
OPPENHEIMER MAIN STR SMALL CAP FUND CL Y		676.2610	13.0800	04/22/09	06/02/09	10,225.07	8,845.50	1,379.57
PIMCO FDS PAC INVT MGMT SER EMERGING MKTS BD FD INSTL CL		768.0100	8.8200	04/22/09	08/18/09	7,511.14	6,773.85	737.29
PIONEER GLOBAL HIGH YIELD CL A		43.1870	11.3100	05/01/08	04/21/09	287.19	488.44	-201.25
		44.1880	11.4000	06/02/08	04/21/09	293.85	503.74	-209.89
		45.6440	11.1200	07/01/08	04/21/09	303.53	507.56	-204.03
		48.2770	10.8400	08/01/08	04/21/09	321.04	523.32	-202.28
		50.4620	10.6900	09/02/08	04/21/09	335.57	539.44	-203.87
		55.3490	9.8300	10/01/08	04/21/09	368.07	544.08	-176.01
		72.7220	7.5500	11/03/08	04/21/09	483.60	549.05	-65.45
		80.5510	6.9000	12/01/08	04/21/09	535.67	555.80	-20.13
		226.1280	6.6200	12/16/08	04/21/09	1,503.78	1,496.97	6.81
		202.5570	6.5900	01/02/09	04/21/09	1,347.02	1,334.85	12.17



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WELLS FARGO

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
PRINCIPAL FDS INC HIGH YIELD FD CL A		87 8960	6.7000	02/02/09	04/21/09	584.52	588.90	-4.38
		87 9370	6.4800	03/02/09	04/21/09	584.79	569.83	14.96
		90.7750	6.3600	04/01/09	04/21/09	603.66	577.33	26.33
PRINCIPAL FDS INC HIGH YIELD FD CL A		2,785 9840	6.3500	04/22/09	08/18/09	20,309.82	17,691.00	2,618.82
		6.0870	6.5400	05/01/09	08/18/09	44.37	39.81	4.56
		24.7000	6.8900	06/01/09	08/18/09	180.06	170.18	9.88
		25.6980	6.9800	07/01/09	08/18/09	187.33	179.37	7.96
		1,360 0460	6.9900	07/06/09	08/18/09	9,914.74	9,506.72	408.02
		34.3910	7.3200	08/03/09	08/18/09	250.72	251.74	-1.02
ROWE T PRICE SHORT TRM BD FD INC		4,725.1600	4.6800	04/22/09	10/08/09	22,869.77	22,113.75	756.02
		3.3020	4.7000	05/01/09	10/08/09	15.98	15.52	0.46
		14.7570	4.7400	06/01/09	10/08/09	71.42	69.95	1.47
		14.7350	4.7500	07/01/09	10/08/09	71.31	69.99	1.32
		2,963 6850	4.7600	07/06/09	10/08/09	14,344.25	14,107.14	237.11
		22.3550	4.7900	08/03/09	10/08/09	108.19	107.08	1.11
		199 0860	4.7900	08/19/09	10/08/09	963.58	953.62	9.96
		20.9500	4.8100	09/01/09	10/08/09	101.40	100.77	0.63
		22.1390	4.8300	10/01/09	10/08/09	107.16	106.93	0.23
		39.1720	20.5800	12/16/08	04/21/09	804.22	806.16	-1.94
RS INVT TR GLOBAL NATURAL RES FD CLASS A		2.2820	19.1300	12/22/08	04/21/09	46.85	43.65	3.20
		1 9090	19.1300	12/22/08	04/21/09	39.19	36.51	2.68

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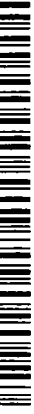
Realized Gain/Loss

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

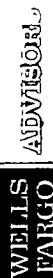
Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
T ROWE PRICE REAL ESTATE FD INC		0.2290	19.1300	12/22/08	04/21/09	4.71	4.38	0.33
		5.6440	18.2400	06/30/08	04/21/09	52.54	102.95	-50.41
		5.4860	18.9500	09/30/08	04/21/09	51.07	103.96	-52.89
		246.2590	11.1400	12/16/08	04/21/09	2,292.74	2,743.32	-450.58
		11.2970	9.2900	12/16/08	04/21/09	105.17	104.95	0.22
		24.5180	7.2000	03/31/09	04/21/09	228.27	176.53	51.74
THIRD AVE TR VALUE TR		85.1250	33.8900	12/16/08	04/21/09	2,917.28	2,884.89	32.39
		3.8180	31.5000	12/26/08	04/21/09	130.84	120.27	10.57
		0.0210	31.5000	12/26/08	04/21/09	0.72	0.65	0.07
THORNBURG INVT TR GLOBAL OPPORTUNITIES FD CL I		11.0710	16.7900	06/30/08	04/21/09	97.97	185.89	-87.92
		408.2550	9.7500	12/16/08	04/21/09	3,613.08	3,980.49	-367.41
		60.5190	9.1600	12/30/08	04/21/09	535.60	554.35	-18.75
TIAA-CREF INSTITUTIONL INTERNATIONAL EQUITY FD CL-R		1,848.9770	5.9800	04/22/09	08/18/09	13,996.75	11,056.88	2,939.87
		830.8980	6.8300	07/06/09	08/18/09	6,289.90	5,675.03	614.87
TWEEDY BROWNE GLOBAL VALUE FUND		65.0840	18.0600	12/16/08	04/21/09	970.41	1,175.41	-205.00
		84.7680	15.5800	01/02/09	04/21/09	1,263.90	1,320.68	-56.78
		42.8100	15.5800	01/02/09	04/21/09	638.30	666.98	-28.68
		33.0310	15.5800	01/02/09	04/21/09	492.50	514.63	-22.13
WESTAMERICA BANCORP		55.0000	50.3589	11/19/08	10/09/09	2,785.78	2,769.74	16.04



Realized Gain/Loss

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Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Short Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		55 0000	48.3343	11/20/08	10/09/09	2,785.78	2,658.39	127.39
		55 0000	44.4999	11/21/08	10/09/09	2,785.79	2,447.49	338.30
		55 0000	49.6792	11/24/08	10/09/09	2,785.79	2,732.36	53.43
		55 0000	50.6323	11/25/08	10/09/09	2,785.80	2,784.78	1.02
Total - Short Term						\$600,348.45	\$570,478.34	\$29,870.11

Long Term DESCRIPTION	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
AMERICAN TOWER CORP CL A	154.0000	26.9857	12/19/05	10/08/09	5,642.72	4,155.80	1,486.92
	185 0000	29.4930	06/20/06	10/08/09	6,778.59	5,456.21	1,322.38
	25 0000	32.3400	07/03/06	10/08/09	916.02	808.50	107.52
	89 0000	35.2200	08/22/06	10/08/09	3,261.05	3,134.58	126.47
	25 0000	37.8300	10/13/06	10/08/09	916.02	945.75	-29.73
	10 0000	39.3699	04/09/07	10/08/09	366.41	393.70	-27.29
	25 0000	42.0200	06/11/07	10/08/09	916.03	1,050.50	-134.47
	25 0000	42.0800	06/11/07	10/08/09	916.03	1,052.00	-135.97
	25 0000	42.8100	10/03/07	10/08/09	916.04	1,070.25	-154.21
AMERISAFE INC	144 0000	10.0300	12/16/05	10/08/09	2,480.76	1,444.32	1,036.44
	8 0000	9.9950	01/20/06	10/08/09	137.82	79.96	57.86
	94 0000	13.6531	12/07/06	10/08/09	1,619.39	1,283.39	336.00
	6 0000	16.4794	01/25/07	10/08/09	103.36	98.88	4.48
	20 0000	18.0899	06/11/07	10/08/09	344.56	361.80	-17.24
	5 0000	17.6400	06/13/07	10/08/09	86.14	88.20	-2.06
AU OPTRONICS CORP-ADR	427 4787	13.3435	09/07/06	09/03/09	4,259.98	5,704.07	-1,444.09

Realized Gain/Loss

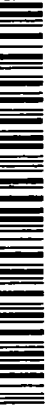
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Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		93.8906	13.0908	10/16/06	09/03/09	935.65	1,229.10	-293.45
		66.2757	12.1945	11/03/06	09/03/09	660.46	808.20	-147.74
		88.3677	14.7656	05/02/07	09/03/09	880.61	1,304.80	-424.19
		0.9873	15.0372	06/12/07	09/03/09	9.84	14.85	-5.01
		20.0000	15.0372	06/12/07	09/25/09	190.79	300.74	-109.95
BASF SE SPONSORED ADR		90.0000	48.3841	12/21/06	10/09/09	4,972.37	4,354.57	617.80
		138.0000	48.1581	12/22/06	10/09/09	7,624.30	6,645.82	978.48
		194.0000	47.4056	01/24/07	10/09/09	10,718.22	9,196.68	1,521.54
		28.0000	59.4450	05/02/07	10/09/09	1,546.96	1,664.46	-117.50
		48.0000	58.8401	06/12/07	10/09/09	2,651.94	2,824.32	-172.38
BE AEROSPACE INC		126.0000	20.7593	12/19/05	09/03/09	2,093.40	2,615.65	-522.25
		25.0000	21.5181	12/21/05	09/03/09	415.36	537.95	-122.59
		50.0000	37.5100	05/02/07	09/03/09	830.72	1,875.50	-1,044.78
		50.0000	36.7338	08/15/07	09/03/09	830.72	1,836.69	-1,005.97
		25.0000	36.9700	08/20/07	09/03/09	415.36	924.25	-508.89
BERRY PETRO CO CLASS A		39.0000	29.1542	12/21/05	09/03/09	846.44	1,137.01	-290.57
		26.0000	33.4986	02/27/06	09/03/09	564.29	870.96	-306.67
		20.0000	33.8056	03/21/06	09/03/09	434.07	676.11	-242.04
		42.0000	33.7173	05/15/06	09/03/09	911.56	1,416.12	-504.56
		29.0000	30.3400	10/18/06	09/03/09	629.41	879.86	-250.45
		39.0000	39.3594	09/28/07	09/03/09	846.47	1,535.02	-688.55
CALAMOS GROWTH FUND CLASS A		555.5690	55.6100	12/16/05	04/21/09	17,594.87	30,895.17	-13,300.30
		20.1820	54.1400	11/17/06	04/21/09	639.16	1,092.68	-453.52

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Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		76.4390	56.9800	11/19/07	04/21/09	2,420.82	4,355.52	-1,934.70
CALVERT INCOME FUND								
CL-I		2,710.4060	16.8200	05/03/07	04/21/09	37,457.80	45,589.02	-8,131.22
		16.7730	16.7000	06/01/07	04/21/09	231.80	280.11	-48.31
		16.1200	16.5500	07/02/07	04/21/09	222.77	266.79	-44.02
		15.7350	16.6700	07/30/07	04/21/09	217.45	262.31	-44.86
		15.8030	16.5800	08/31/07	04/21/09	218.39	262.01	-43.62
		17.7020	16.7200	10/01/07	04/21/09	244.64	295.97	-51.33
		18.0210	16.8700	10/29/07	04/21/09	249.05	304.02	-54.97
		18.0110	16.8700	11/30/07	04/21/09	248.91	303.84	-54.93
		32.3210	16.5800	12/10/07	04/21/09	446.67	535.88	-89.21
		33.9780	16.5800	12/10/07	04/21/09	469.58	563.35	-93.77
		17.3630	16.4300	12/31/07	04/21/09	239.95	285.27	-45.32
		19.2510	16.4800	02/01/08	04/21/09	266.05	317.25	-51.20
		18.1220	16.2600	02/29/08	04/21/09	250.44	294.67	-44.23
		20.2820	16.1100	03/31/08	04/21/09	280.30	326.75	-46.45
CENTRAL EURO DIST CORP								
		23.0000	27.5790	11/28/06	09/03/09	676.80	634.32	42.48
		25.0000	33.0455	05/14/07	09/03/09	735.66	826.14	-90.48
		75.0000	34.8000	06/11/07	09/03/09	2,207.00	2,610.00	-403.00
CHINA PETROLEUM & CHEM CORP								
		70.0000	84.3771	03/27/07	10/08/09	6,192.77	5,906.39	286.38
		1.0000	107.8600	06/12/07	10/08/09	88.47	107.86	-19.39
CHINA UNICOM LTD ADR								
		303.8417	11.2554	08/25/06	09/03/09	4,086.45	3,419.87	666.58
		57.1583	17.3640	06/12/07	09/03/09	768.74	992.50	-223.76
DOLLAR TREE STORES INC								
		220.0000	26.7307	02/12/08	10/09/09	10,676.67	5,880.75	4,795.92

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
E.ON-AG-SPON ADR		228.0000	26.6943	02/13/08	10/09/09	11,064.91	6,086.30	4,978.61
		3.0000	27.3499	03/04/08	10/09/09	145.59	82.05	63.54
		287.0000	27.1343	03/13/08	10/09/09	13,928.22	7,787.54	6,140.68
EATON CORPORATION		67.0000	42.8035	08/15/06	09/25/09	2,773.72	2,867.83	-94.11
		252.0000	42.2701	08/25/06	09/25/09	10,432.53	10,652.07	-219.54
		49.0000	39.7697	10/04/06	09/25/09	2,028.55	1,948.72	79.83
		20.0000	39.4750	10/16/06	09/25/09	827.98	789.50	38.48
		190.0000	67.4400	12/16/05	10/08/09	10,746.50	12,813.60	-2,067.10
EQUINIX INC (NEW)		43.0000	67.4400	12/16/05	10/08/09	2,432.05	2,899.92	-467.87
		10.0000	66.7300	02/06/06	10/08/09	565.59	667.30	-101.71
		47.0000	73.9800	10/16/06	10/08/09	2,658.31	3,477.06	-818.75
		16.0000	40.5094	12/19/05	09/03/09	1,320.95	648.14	672.81
		25.0000	59.1689	06/06/06	09/03/09	2,063.99	1,479.22	584.77
FEDERATED KAUFMANN SM CAP CL A		25.0000	51.6393	08/02/06	09/03/09	2,063.99	1,290.98	773.01
		25.0000	56.3731	08/29/06	09/03/09	2,063.99	1,409.33	654.66
		50.0000	87.9915	08/06/07	09/03/09	4,127.99	4,399.58	-271.59
		25.0000	92.3235	10/03/07	09/03/09	2,064.00	2,308.09	-244.09
		664.1730	24.3800	10/17/06	04/21/09	9,630.50	16,192.54	-6,562.04
FEDERATED STRATEGIC VALUE FUND CLASS IN		28.0910	23.7100	11/16/06	04/21/09	407.32	666.03	-258.71
		5.0420	23.7100	11/16/06	04/21/09	73.10	119.55	-46.45
		16.2650	25.8200	11/16/07	04/21/09	235.84	419.95	-184.11
		6.6130	25.8200	11/16/07	04/21/09	95.89	170.74	-74.85
		2,755.8990	6.5400	07/10/07	04/21/09	8,956.67	18,023.58	-9,066.91

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Account Number: 5237-8360
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 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		1,448.9220	6.5600	07/11/07	04/21/09	4,708.99	9,504.93	-4,795.94
		14.0170	6.6000	07/23/07	04/21/09	45.55	92.51	-46.96
		17.3520	6.2000	08/22/07	04/21/09	56.39	107.58	-51.19
		18.3160	6.4300	09/21/07	04/21/09	59.52	117.77	-58.25
		249.6050	6.3100	10/18/07	04/21/09	811.21	1,575.01	-763.80
		15.8160	6.1800	10/23/07	04/21/09	51.40	97.74	-46.34
		20.9720	5.9700	11/21/07	04/21/09	68.15	125.20	-57.05
		107.8160	5.7700	11/27/07	04/21/09	350.40	622.10	-271.70
		117.4970	5.7700	11/27/07	04/21/09	381.86	677.96	-296.10
		35.3670	5.6600	12/21/07	04/21/09	114.94	200.18	-85.24
		3.5100	5.2000	01/24/08	04/21/09	11.40	18.25	-6.85
		15.3910	5.3700	02/21/08	04/21/09	50.02	82.65	-32.63
		32.1980	5.1500	03/24/08	04/21/09	104.64	165.82	-61.18
FIRST SOLAR INC		25.0000	100.1715	09/10/07	09/03/09	2,991.42	2,504.29	487.13
FOSTER WHEELER AG		30.0000	18.3261	12/19/05	09/25/09	935.43	549.77	385.66
		50.0000	21.8750	10/13/06	09/25/09	1,559.06	1,093.75	465.31
		50.0000	48.8325	08/15/07	09/25/09	1,559.06	2,441.63	-882.57
GARMIN LTD		680.0000	30.5382	12/16/05	10/08/09	25,413.05	20,765.99	4,647.06
		40.0000	31.0750	12/21/05	10/08/09	1,494.88	1,243.00	251.88
		48.0000	48.4450	05/05/06	10/08/09	1,793.86	2,325.36	-531.50
		130.0000	45.6452	08/22/06	10/08/09	4,858.38	5,933.88	-1,075.50
		17.0000	51.4560	10/16/06	10/08/09	635.32	874.75	-239.43
		55.0000	57.4860	05/02/07	10/08/09	2,055.47	3,161.73	-1,106.26
		78.0000	65.5116	06/11/07	10/08/09	2,915.04	5,109.90	-2,194.86

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As of Date: 2/05/10

Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
DESCRIPTION								
GOLDMAN SACHS GROUP INC		111.0000	139.2277	01/30/06	10/09/09	20,980.68	15,454.27	5,526.41
GOOGLE INC CL A		38.0000	504.0000	06/14/07	10/09/09	19,670.95	19,152.00	518.95
		8.0000	521.6836	06/22/07	10/09/09	4,141.25	4,173.47	-32.22
		16.0000	533.5000	06/25/07	10/09/09	8,282.50	8,536.00	-253.50
		17.0000	551.1702	07/18/07	10/09/09	8,800.17	9,369.89	-569.72
HARTFORD MUT FDS INC								
CAP APPREC FD CL A		1,031.1820	36.6000	08/10/06	04/21/09	22,356.02	37,741.25	-15,385.23
		62.0920	36.8800	11/14/06	04/21/09	1,346.15	2,289.95	-943.80
		34.9270	36.8800	11/14/06	04/21/09	757.21	1,288.12	-530.91
		4.3470	37.9500	12/22/06	04/21/09	94.24	164.97	-70.73
		76.9700	40.3800	11/16/07	04/21/09	1,668.71	3,108.06	-1,439.35
		27.5820	40.3800	11/16/07	04/21/09	597.98	1,113.76	-515.78
KINETICS SMALL CAP								
OPPORTUNITIES FUND								
INSTITUTIONAL CLASS								
		616.8200	24.8900	10/17/06	04/21/09	8,740.33	15,352.64	-6,612.31
		1.8280	26.9200	12/29/06	04/21/09	25.90	49.22	-23.32
		5.4020	31.8000	12/31/07	04/21/09	76.54	171.77	-95.23
		1.3610	31.8000	12/31/07	04/21/09	19.28	43.29	-24.01
LEGG MASON PARTNERS								
AGGRESSIVE GROWTH FD								
CL I		321.1920	112.1300	12/16/05	04/21/09	22,493.07	36,015.23	-13,522.16
MARKEL CORP								
		27.0000	349.9586	04/28/06	09/25/09	8,623.84	9,448.88	-825.04
		27.0000	358.0000	05/05/06	09/25/09	8,623.84	9,666.00	-1,042.16
		4.0000	335.0340	05/25/06	09/25/09	1,277.60	1,340.14	-62.54
		8.0000	341.1693	06/13/06	09/25/09	2,555.21	2,729.35	-174.14

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Account Number: 5237-8360
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MELVIN R BAUM PRIVATE
FOUNDATION INC

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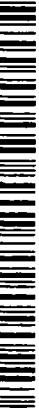
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Account Number: 5237-8360
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 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
		330.0000	22.2607	06/07/06	09/25/09	8,457.68	7,346.03	1,111.65
		360.0000	21.8116	06/13/06	09/25/09	9,226.57	7,852.18	1,374.39
		270.0000	25.7700	08/22/06	09/25/09	6,919.93	6,957.90	-37.97
		74.0000	28.3600	10/16/06	09/25/09	1,896.57	2,098.64	-202.07
		35.0000	30.1694	06/11/07	09/25/09	897.02	1,055.93	-158.91
		96.0000	30.1475	06/11/07	09/25/09	2,460.42	2,894.16	-433.74
		230.0000	29.5534	10/03/07	09/25/09	5,894.77	6,797.28	-902.51
MIDDLEBY CORP		32.0000	42.5000	12/16/05	09/03/09	1,523.12	1,360.00	163.12
		42.0000	42.3825	03/13/06	09/03/09	1,999.09	1,780.07	219.02
		36.0000	43.0774	03/20/06	09/03/09	1,713.51	1,550.78	162.73
		22.0000	51.3837	12/07/06	09/03/09	1,047.14	1,130.44	-83.30
		28.0000	61.6347	06/11/07	09/03/09	1,332.74	1,725.77	-393.03
NUVEEN INVT TR								
NWQ MULTI-CAP VALUE FD								
CL R		1,506.2800	22.4800	12/16/05	04/21/09	16,282.88	33,861.16	-17,578.28
		8.3350	22.1900	01/03/06	04/21/09	90.10	184.95	-94.85
		41.9290	24.5800	12/20/06	04/21/09	453.25	1,030.62	-577.37
		33.1170	24.5800	12/20/06	04/21/09	357.99	814.02	-456.03
		13.0970	24.5100	01/04/07	04/21/09	141.57	321.01	-179.44
		45.2570	21.4400	12/19/07	04/21/09	489.22	970.31	-481.09
		24.9380	21.4400	12/19/07	04/21/09	269.58	534.68	-265.10
		11.6360	21.9400	12/31/07	04/21/09	125.78	255.29	-129.51
O'REILLY AUTOMOTIVE INC		475.0000	25.5743	07/31/08	09/25/09	17,252.93	12,147.79	5,105.14
		143.0000	25.9339	08/01/08	09/25/09	5,194.04	3,708.55	1,485.49



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Account Number: 5237-8360
 Command Account Number: 9076219217
 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
RS INVT TR GLOBAL NATURAL RES FD CLASS A		41 5970	11.3500	02/01/08	04/21/09	276.62	472.13	-195.51
		42.1190	11.1600	03/03/08	04/21/09	280.09	470.05	-189.96
		42.8440	11.0500	04/01/08	04/21/09	284.91	473.43	-188.52
		467.7020	35.0100	04/13/07	04/21/09	9,601.92	16,374.24	-6,772.32
		0.0360	36.8100	12/24/07	04/21/09	0.73	1.31	-0.58
		30.6430	36.8100	12/24/07	04/21/09	629.10	1,127.96	-498.86
		3.3370	36.8100	12/24/07	04/21/09	68.50	122.82	-54.32
		0.0320	36.8100	03/25/08	04/21/09	0.65	1.17	-0.52
T ROWE PRICE REAL ESTATE FD INC		427.7840	19.6400	12/16/05	04/21/09	3,982.67	8,401.67	-4,419.00
		9.0190	22.6200	04/03/06	04/21/09	83.96	204.02	-120.06
		11.7940	22.6200	04/03/06	04/21/09	109.80	266.79	-156.99
		10.3880	21.4300	06/30/06	04/21/09	96.71	222.62	-125.91
		10.0410	23.9100	10/02/06	04/21/09	93.48	240.08	-146.60
		12.9210	24.9300	12/21/06	04/21/09	120.29	322.12	-201.83
		27.7800	24.9300	12/21/06	04/21/09	258.63	692.56	-433.93
		7.0550	25.3100	03/30/07	04/21/09	65.68	178.55	-112.87
		12.5980	25.3100	03/30/07	04/21/09	117.28	318.85	-201.57
		2.0160	25.3100	03/30/07	04/21/09	18.76	51.02	-32.26
		9.3830	23.5000	06/29/07	04/21/09	87.35	220.50	-133.15
		3.9520	23.2600	10/01/07	04/21/09	36.79	91.93	-55.14
		6.9360	18.8500	12/20/07	04/21/09	64.57	130.74	-66.17
		14.4490	18.8500	12/20/07	04/21/09	134.52	272.37	-137.85

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Account Number: 5237-8360
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 MELVIN R BAUM PRIVATE
 FOUNDATION INC

Long Term DESCRIPTION	Continued	QUANTITY	ADJ PRICE/ ORIG PRICE	DATE ACQUIRED	CLOSE DATE	PROCEEDS	ADJ COST/ ORIG COST	GAIN/LOSS
THIRD AVE TR VALUE TR		5.8150	19.4700	03/31/08	04/21/09	54.13	113.22	-59.09
		465.4760	60.3600	12/16/05	04/21/09	15,951.86	28,096.11	-12,144.25
		15.5920	55.1300	12/29/05	04/21/09	534.33	859.58	-325.25
		37.5180	55.1300	12/29/05	04/21/09	1,285.74	2,068.39	-782.65
		29.8010	58.9900	12/26/06	04/21/09	1,021.28	1,757.94	-736.66
		0.8640	58.9900	12/26/06	04/21/09	29.60	50.99	-21.39
		0.0740	58.9900	12/26/06	04/21/09	2.53	4.39	-1.86
		14.9760	59.9000	12/21/07	04/21/09	513.23	897.05	-383.82
		4.1730	59.9000	12/21/07	04/21/09	143.00	249.94	-106.94
		0.0370	59.9000	12/21/07	04/21/09	1.26	2.20	-0.94
THORNBURG INVT TR								
GLOBAL OPPORTUNITIES FD								
CL I		1,220.7400	20.8700	10/18/07	04/21/09	10,803.55	25,476.84	-14,673.29
		3.4140	18.9500	11/21/07	04/21/09	30.21	64.70	-34.49
		31.2970	18.9500	11/21/07	04/21/09	276.97	593.07	-316.10
		5.3160	19.1800	12/28/07	04/21/09	47.04	101.97	-54.93
TWEEDY BROWNE GLOBAL								
VALUE FUND		669.1960	26.4900	12/16/05	04/21/09	9,977.71	17,726.99	-7,749.28
		25.1110	26.4000	01/03/06	04/21/09	374.40	662.94	-288.54
		24.0570	30.9200	01/03/07	04/21/09	358.69	743.83	-385.14
		19.6120	30.9200	01/03/07	04/21/09	292.41	606.40	-313.99
		11.7450	29.9100	01/02/08	04/21/09	175.11	351.28	-176.17
		70.9600	29.9100	01/02/08	04/21/09	1,058.01	2,122.42	-1,064.41
Total - Long Term						\$720,972.45	\$920,870.72	-\$199,898.27

001 / 68 / 68A4

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Type or print File by the due date for filing your return. See instructions	Name of Exempt Organization	Employer identification number
	MELVIN R BAUM PRIVATE FOUNDATION	37-1379627
	Number, street, and room or suite number. If a P O box, see instructions	
	866 N MAIN STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	MORTON	IL 61550

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (section 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ► MELVIN BAUM

Telephone No ► (309) 263-0808FAX No ► (309) 263-8004

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Aug 16, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☒ calendar year 20 09 or
► ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions

3a \$ 0.

b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit

3b \$

c **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions

3c \$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev 4-2009)