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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions	Name of foundation THE H.B.B. FOUNDATION		A Employer identification number 36-6104969
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number 3126617055
	City or town, state, and ZIP code CHICAGO, IL 60611		C If exemption application is pending, check here ☐
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 14,411,976.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	909,966.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	89.	89.		STATEMENT 1
	4 Dividends and interest from securities	370,292.	370,292.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	574,089.			
	b Gross sales price for all assets on line 6a	9,510,390.			
	7 Capital gain net income (from Part IV, line 2)		574,089.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	13,200.	13,200.		STATEMENT 3
	12 Total Add lines 1 through 11	1,867,636.	957,670.		
	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
b Accounting fees	STMT 4	8,990.	4,495.	4,495.	
c Other professional fees	STMT 5	47,731.	47,731.	0.	
17 Interest					
18 Taxes	STMT 6	10,935.	6,933.	0.	
19 Depreciation and depletion					
20 Occupancy		11,291.	0.	11,291.	
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 7	34,725.	0.	34,725.	
24 Total operating and administrative expenses. Add lines 13 through 23		113,672.	59,159.	50,511.	
25 Contributions, gifts, grants paid		611,000.		611,000.	
26 Total expenses and disbursements. Add lines 24 and 25		724,672.	59,159.	661,511.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,142,964.			
b Net investment income (if negative, enter -0-)			898,511.		
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	928,155.	532,849.	532,849.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶ 1,769.			
	Less: allowance for doubtful accounts ▶	1,346.	1,769.	1,769.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	2,065,139.	969,517.	957,636.
	b Investments - corporate stock STMT 9	6,682,352.	8,837,416.	9,613,875.
	c Investments - corporate bonds STMT 10	2,082,635.	2,356,962.	2,386,858.
Liabilities	11 Investments - land, buildings, and equipment, basis ▶ 2,069,559.			
	Less accumulated depreciation ▶ 1,998,183.	71,376.	71,376.	71,376.
	12 Investments - mortgage loans STMT 11	622,188.	826,266.	847,613.
	13 Investments - other			
	14 Land, buildings, and equipment, basis ▶ 14,162.			
	Less accumulated depreciation STMT 12 ▶ 14,162.			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	12,453,191.	13,596,155.	14,411,976.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted	12,453,191.	13,596,155.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	12,453,191.	13,596,155.	
	31 Total liabilities and net assets/fund balances	12,453,191.	13,596,155.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	12,453,191.
2 Enter amount from Part I, line 27a	2	1,142,964.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	13,596,155.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	13,596,155.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 9,510,390.		8,936,301.	574,089.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			574,089.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 574,089.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	665,553.	13,480,881.	.049370
2007	800,586.	14,455,088.	.055384
2006	626,465.	12,829,809.	.048829
2005	586,069.	11,271,407.	.051996
2004	493,520.	9,524,538.	.051816
2 Total of line 1, column (d)			2 .257395
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .051479
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 12,442,955.
5 Multiply line 4 by line 3			5 640,551.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,985.
7 Add lines 5 and 6			7 649,536.
8 Enter qualifying distributions from Part XII, line 4			8 661,511.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	8,985.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,985.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,985.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	6,960.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,960.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,025.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☐ Refunded ☒	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MR. MARK STEPHENITCH</u> Telephone no. ► <u>312-661-7055</u> Located at ► <u>400 N MICHIGAN AVE, CHICAGO, IL</u> ZIP+4 ► <u>60611</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____ , _____ , _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THEODORE D. TIEKEN, JR. 400 NORTH MICHIGAN AVENUE SUITE 1120 CHICAGO, IL INOIS 6061	PRESIDENT 0.00	0.	0.	0.
MARK STEPHENITCH 400 NORTH MICHIGAN AVENUE SUITE 1120 CHICAGO, IL INOIS 6061	TREASURER 0.00	0.	0.	0.
NANCY B. TIEKEN 400 NORTH MICHIGAN AVENUE SUITE 1120 CHICAGO, IL INOIS 6061	DIRECTOR 0.00	0.	0.	0.
ELIZABETH KIRKPATRICK 400 NORTH MICHIGAN AVENUE SUITE 1120 CHICAGO, IL INOIS 6061	DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NOT APPLICABLE	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,671,016.
b	Average of monthly cash balances	1b	890,050.
c	Fair market value of all other assets	1c	71,376.
d	Total (add lines 1a, b, and c)	1d	12,632,442.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,632,442.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	189,487.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,442,955.
6	Minimum investment return. Enter 5% of line 5	6	622,148.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	622,148.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	8,985.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	8,985.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	613,163.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	613,163.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	613,163.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	661,511.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	661,511.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,985.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	652,526.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				613,163.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004	27,899.			
b From 2005	42,621.			
c From 2006	3,920.			
d From 2007	95,994.			
e From 2008				
f Total of lines 3a through e	170,434.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$	661,511.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				613,163.
e Remaining amount distributed out of corpus	48,348.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	218,782.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	27,899.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	190,883.			
10 Analysis of line 9:				
a Excess from 2005	42,621.			
b Excess from 2006	3,920.			
c Excess from 2007	95,994.			
d Excess from 2008				
e Excess from 2009	48,348.			

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)
----------------	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee: <u>Maria Stephentel</u>		Date: <u>5/17/10</u>		Title: <u>TREASURER</u>	
	Preparer's signature: <u>Catherine Kane</u>		Date: <u>5/13/10</u>		Check if self-employed: ☐	
Paid Preparer's Use Only	Firm's name (or yours if self-employed), address, and ZIP code: <u>CLIFTON GUNDERSON LLP</u> <u>1301 W. 22ND ST, STE 1100</u> <u>OAK BROOK, IL 60523</u>				Preparer's identifying number: <u>PO04043640</u>	
					EIN: <u></u>	
				Phone no.: <u>(630) 573-8600</u>		

Form **990-PF** (2009)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE H.B.B. FOUNDATION

Employer identification number

36-6104969

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

THE H.B.B. FOUNDATION

36-6104969

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ELIZABETH BABSON TIEKEN CHARITABLE LEAD TRUST 400 N. MICHIGAN AVE CHICAGO, IL 60611	\$ 909,966.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

THE H.B.B. FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BERNSTEIN - SEE ATTACHED		P	VARIOUS	VARIOUS
b CAPITAL GUARDIAN - SEE ATTACHED		P	VARIOUS	VARIOUS
c CAPITAL GUARDIAN - SEE ATTACHED		P	VARIOUS	VARIOUS
d WILLIAM BLAIR - SEE ATTACHED		P	VARIOUS	VARIOUS
e WILLIAM BLAIR - SEE ATTACHED		P	VARIOUS	VARIOUS
f CLASS ACTION SETTLEMENT - WORLD COM		P	VARIOUS	03/31/09
g CLASS ACTION SETTLEMENT - WORLD COM		P	VARIOUS	09/30/09
h CLASS ACTION SETTLEMENT - BRISTOL MYERS		P	VARIOUS	10/31/09
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,592,331.		1,592,386.	999,945.
b 3,155,336.		3,210,355.	-55,019.
c 1,985,673.		2,228,097.	-242,424.
d 75,689.		72,312.	3,377.
e 1,700,134.		1,833,151.	-133,017.
f 48.			48.
g 527.			527.
h 652.			652.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			999,945.
b			-55,019.
c			-242,424.
d			3,377.
e			-133,017.
f			48.
g			527.
h			652.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	574,089.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

Capital Gains Report

THE H.B.B. FOUNDATION (Account: 888-49813)

January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
LONG - TERM								
12/20/2006	10/29/2009	1,000	ALLIANCE DATA SYSTEMS CORP	56.87	63.84	56,871.30	63,839.00	-6,967.70
05/23/2007	10/29/2009	875	APACHE CORP	97.05	78.55	84,917.53	68,733.87	16,183.66
09/16/1994	10/29/2009	4,000	AUTOMATIC DATA PROCESSING	40.27	12.71	161,064.40	50,835.00	110,229.40
09/16/1994	10/29/2009	1,000	BROADRIDGE FINANCIAL SOLUTIONS	21.04	5.78	21,039.00	5,785.00	15,254.00
08/30/1993	10/29/2009	2,000	CINTAS CORP	28.10	9.62	56,200.40	19,247.00	36,953.40
11/29/1995	10/29/2009	4,000	DST SYSTEMS INC	42.73	13.43	170,901.60	53,740.00	117,161.60
10/12/2000	10/29/2009	3,200	FASTENAL CO	38.10	11.55	115,534.08	36,948.00	78,586.08
12/20/2006	10/29/2009	2,420	GENERAL ELECTRIC CO	14.90	23.94	36,060.90	57,934.80	-21,873.90
05/14/2008	10/29/2009	1,000	GENZYME CORP	51.72	70.36	51,722.00	70,364.00	-18,642.00
10/21/2002	10/29/2009	3,000	IRON MOUNTAIN INC	25.34	13.78	76,008.00	41,353.00	34,655.00
10/14/1993	10/29/2009	2,550	HOME DEPOT INC	25.94	8.44	66,147.26	21,530.49	44,616.77
09/15/1995	10/29/2009	3,340	ILLINOIS TOOL WORKS	47.05	15.45	157,181.03	51,616.36	105,564.67
10/12/1995	10/29/2009	460	KOHL'S CORP	58.44	6.37	26,880.61	2,932.04	23,948.57
04/10/2008	10/29/2009	1,000	MORNINGSTAR INC	50.95	62.67	50,945.00	62,673.00	-11,728.00
12/20/1996	10/29/2009	3,680	MEDTRONIC INC	36.24	16.87	133,354.00	62,098.18	71,255.84
02/24/1994	10/29/2009	1,500	MINERALS TECHNOLOGIES INC	51.28	28.25	76,920.00	42,368.00	34,552.00
02/18/2000	10/29/2009	4,280	MICROSOFT CORP	28.37	27.07	121,403.91	115,873.29	5,530.62
01/26/2006	10/29/2009	1,000	ROCKWELL COLLINS INC.	51.48	46.78	51,482.50	46,764.00	4,718.50
11/28/2006	10/29/2009	1,000	RESMED INC	49.34	49.43	49,344.50	49,426.00	-81.50
10/06/2006	10/29/2009	260	SCHLUMBERGER LTD	64.83	59.60	16,855.80	15,496.00	1,359.80
05/20/2004	10/29/2009	3,580	SUNCOR ENERGY INC	34.78	12.03	124,497.72	43,061.13	81,436.59
02/18/2000	10/29/2009	850	UNITED PARCEL SERVICE-CL B	54.96	58.18	46,717.53	49,457.25	-2,739.72
05/11/1995	10/29/2009	1,825	WAL-MART STORES INC	50.33	11.75	91,859.00	21,439.18	70,419.82
09/07/1995	10/29/2009	4,000	WALGREEN CO	38.31	6.37	153,225.60	25,495.00	127,730.60
07/15/1994	10/29/2009	3,000	ZEBRA TECHNOLOGIES CORP	25.28	8.17	75,843.00	24,497.00	51,346.00
04/10/2008	10/29/2009	100,000	US TREASURY	103.87	101.14	103,866.85	101,144.00	2,722.85
			DUE 01/31/2013 2.875%					
04/10/2008	10/29/2009	90,000	US TREASURY	100.48	100.58	90,435.64	90,522.90	-87.26
04/10/2008	10/29/2009	10,000	US TREASURY	100.48	101.58	10,048.40	10,158.10	-109.70
			DUE 01/31/2010 2.125%					
12/20/2006	10/29/2009	90,000	US TREASURY	100.14	100.07	90,129.78	90,065.70	64.08
12/20/2006	10/29/2009	10,000	US TREASURY	100.14	101.07	10,014.42	10,107.30	-92.88
			DUE 11/15/2009 4.625%					
10/30/2009	11/04/2009	950	SCHERING-PLOUGH CORP	10.50	11.99	9,975.00	11,389.19	-1,414.19
05/23/2007	11/09/2009	25	APACHE CORP	100.90	78.55	2,522.52	1,963.82	558.70
			MERCK & CO INC			28.69		28.69
10/20/1996	11/13/2009	320	MEDTRONIC INC	39.84	18.87	12,748.80	5,399.84	7,348.96
11/02/2009	11/18/2009	75	COLGATE-PALMOLIVE CO	82.83	78.15	6,196.88	5,861.51	335.37
10/30/2009	11/18/2009	125	PROCTER & GAMBLE CO	62.26	58.56	7,782.78	7,320.26	462.52
10/30/2009	11/20/2009	75	ACE LTD	50.00	51.09	3,749.79	3,831.70	-81.91
10/30/2009	11/20/2009	300	CISCO SYSTEMS INC	23.37	22.91	7,010.91	6,872.16	138.75
10/30/2009	11/20/2009	250	D R HORTON INC	11.40	11.10	2,850.00	2,775.43	74.57
10/30/2009	11/20/2009	447	MERCK & CO INC.	36.10	28.37	16,136.25	12,679.86	3,456.39
10/30/2009	11/20/2009	25	MERCK & CO INC.	36.10	28.37	902.48	709.17	193.31
10/30/2009	11/30/2009	150	ALLSTATE CORP	28.10	29.52	4,214.25	4,427.57	-213.32
05/11/1995	11/30/2009	640	BANK OF AMERICA CORP	15.58	8.80	9,968.00	5,634.56	4,333.44

Capital Gains Report

THE H.B.B. FOUNDATION (Account: 888-49813)

January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/30/2009	11/30/2009	100	METLIFE INC	33.22	34.36	3,322.49	3,436.48	-113.99
10/30/2009	11/30/2009	200	VIACOM INC-CLASS B	29.50	27.61	5,899.16	5,521.46	377.70
11/05/2009	12/01/2009	175	***ACCENTURE PLC-CL A	41.27	38.71	7,222.86	6,774.01	448.85
02/18/2000	12/01/2009	130	MICROSOFT CORP	29.52	27.07	3,837.05	3,519.51	317.54
11/10/2009	12/01/2009	23	***RIO TINTO PLC-SPON ADR	211.96	205.22	4,875.11	4,719.99	155.12
11/05/2009	12/03/2009	75	FORTUNE BRANDS INC	39.91	39.29	2,993.16	2,946.80	46.36
10/30/2009	12/04/2009	75	TRAVELERS COS INC/THE	51.82	50.54	3,886.76	3,790.19	96.57
11/05/2009	12/07/2009	75	FORTUNE BRANDS INC	40.32	39.29	3,024.10	2,946.79	77.31
10/14/1993	12/07/2009	125	HOME DEPOT INC	28.12	8.44	3,515.38	1,055.42	2,459.96
02/18/2000	12/07/2009	150	MICROSOFT CORP	29.91	27.07	4,486.14	4,060.98	425.16
10/30/2009	12/07/2009	375	PEPCO HOLDINGS INC	17.30	14.92	6,488.70	5,594.06	894.64
11/20/2009	12/07/2009	375	PEPCO HOLDINGS INC	17.30	15.54	6,488.70	5,827.16	661.54
10/30/2009	12/07/2009	100	VIACOM INC-CLASS B	30.07	27.61	3,006.57	2,760.73	245.84
11/03/2009	12/09/2009	50	CATERPILLAR INC	56.16	56.59	2,807.93	2,829.43	-21.50
10/30/2009	12/10/2009	200	ALLSTATE CORP	27.88	29.52	5,575.24	5,903.42	-328.18
10/30/2009	12/10/2009	75	MERCK & CO. INC.	37.62	28.37	2,821.32	2,127.49	693.83
10/30/2009	12/15/2009	100	PROCTER & GAMBLE CO	62.19	58.58	6,218.51	5,856.21	362.30
10/30/2009	12/15/2009	75	TIME WARNER INC	30.30	28.37	2,272.79	2,127.49	145.30
10/30/2009	12/16/2009	75	***ACE LTD	49.26	51.09	3,694.13	3,831.70	-137.57
10/30/2009	12/16/2009	75	BLACK & DECKER CORP	64.64	47.45	4,848.14	3,558.45	1,289.69
10/30/2009	12/16/2009	225	HEWLETT-PACKARD CO	51.36	47.79	11,556.23	10,752.48	803.75
10/30/2009	12/18/2009	500	CORNING INC	18.48	14.64	9,242.40	7,318.00	1,924.40
10/30/2009	12/18/2009	250	LOWE'S COS INC	23.59	19.83	5,896.85	4,907.95	988.90
10/30/2009	12/18/2009	175	METLIFE INC	35.42	34.36	6,198.15	6,013.84	184.31
10/30/2009	12/22/2009	150	***ACE LTD	48.74	51.09	7,310.84	7,863.39	-552.55
10/30/2009	12/29/2009	50	BLACK & DECKER CORP	66.08	47.45	3,304.10	2,372.30	931.80
SUBTOTAL FOR LONG-TERM				2,592,330.92	1,592,585.37	999,745.55		
TOTAL				2,592,330.92	1,592,585.37	999,745.55		

basis adjustment

ACCOUNT TOTALS .. 1,592,385.84

CURRENT PERIOD - G/L 999,745.55

LONG TERM

999,745.55

2009 Tax Information Statement

Page 7 of 52

Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
Short Term Sales								
200.0000	002824100	ABBOTT LABORATORIES	06/27/2008	05/15/2009	8,790.53	10,604.72	-1,814.19	0.00
300.0000	002824100	ABBOTT LABORATORIES	07/01/2008	05/15/2009	13,109.78	16,312.01	-3,202.23	0.00
300.0000	002824100	ABBOTT LABORATORIES	06/27/2008	05/18/2009	12,923.52	16,907.08	-2,983.56	0.00
100.0000	00724F101	ADOBE SYSTEMS INC	10/14/2009	12/08/2009	3,611.90	3,534.54	77.36	0.00
500.0000	00817Y108	AETNA INC	06/04/2008	05/19/2009	12,700.72	23,340.27	-10,639.55	0.00
200.0000	00817Y108	AETNA INC	09/16/2009	10/08/2009	5,178.66	6,208.02	-1,029.36	0.00
100.0000	00817Y108	AETNA INC	09/16/2009	10/09/2009	2,618.90	3,104.01	-485.11	0.00
100.0000	00817Y108	AETNA INC	09/16/2009	10/12/2009	2,642.48	3,104.01	-461.53	0.00
100.0000	00817Y108	AETNA INC	09/17/2009	10/13/2009	2,573.21	3,102.07	-528.86	0.00
100.0000	00817Y108	AETNA INC	09/17/2009	10/14/2009	2,597.55	3,102.07	-504.52	0.00
200.0000	00817Y108	AETNA INC	09/17/2009	10/15/2009	5,130.86	6,204.14	-1,073.28	0.00
100.0000	00817Y108	AETNA INC	09/17/2009	10/19/2009	2,529.40	3,102.07	-572.67	0.00
100.0000	00817Y108	AETNA INC	09/17/2009	10/20/2009	2,559.30	3,102.07	-542.77	0.00
100.0000	001055102	AFLAC INC	08/18/2008	01/22/2009	2,421.89	5,492.20	-3,070.31	0.00
100.0000	020002101	ALLSTATE CORP (THE)	05/22/2009	12/08/2009	2,775.92	2,639.26	136.66	0.00
15000.0000	029912AT9	AMERICAN TOWER CORP SR NT 7.0% 10-15-17	10/22/2009	11/23/2009	16,591.95	16,406.25	185.70	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
400.0000	030420103	AMERICAN WATER WORKS	08/13/2009	12/08/2009	8,621.77	7,772.81	848.96	0.00
10000.0000	00206RAG7	AT&T CORP NT 6.3% 01/15/38	01/08/2009	04/14/2009	9,193.50	10,433.90	-1,240.40	0.00
25000.0000	00206RAP7	AT&T CORP SR UNSEC 6.7% 11/15/13	01/08/2009	04/14/2009	27,295.75	27,066.75	229.00	0.00
15000.0000	00206RAS1	AT&T INC 02/03/2009 6.55% 02/15/2039	10/15/2009	12/18/2009	16,191.15	16,178.40	12.75	0.00
15000.0000	06050BAA9	BANK OF AMERICA CORP 3.125% 06/15/2012	12/01/2008	11/23/2009	15,584.33	14,994.54	689.79	0.00
5000.0000	06051GDX4	BANK OF AMERICA CORP 5.65% 05/01/18	01/13/2009	02/27/2009	4,262.40	4,829.50	-567.10	0.00
10000.0000	06051GDX4	BANK OF AMERICA CORP 5.65% 05/01/18	01/13/2009	03/04/2009	8,301.80	9,659.43	-1,357.63	0.00
15000.0000	060505DP6	BANK OF AMERICA CORP SR UNSEC 5.75% 12/01/17	10/14/2009	11/23/2009	15,177.30	15,118.80	58.50	0.00
100.0000	071813109	BAXTER INTERNATIONAL INC	10/14/2009	12/08/2009	5,525.35	5,712.46	-187.11	0.00
30000.0000	073928X73	BEAR STEARNS SR UNSEC 6.95% 08/10/12	01/09/2009	08/21/2009	33,397.20	31,880.70	1,516.50	0.00
100.0000	09062X103	BIOMEN IDEC INC	02/04/2009	10/30/2009	4,268.80	5,338.99	-1,070.19	0.00
100.0000	09062X103	BIOMEN IDEC INC	02/04/2009	11/02/2009	4,298.38	5,323.74	-1,025.36	0.00
100.0000	09062X103	BIOMEN IDEC INC	02/04/2009	11/02/2009	4,289.87	5,292.61	-1,002.74	0.00
200.0000	09062X103	BIOMEN IDEC INC	02/04/2009	11/03/2009	8,627.07	10,585.22	-1,958.15	0.00
100.0000	09062X103	BIOMEN IDEC INC	02/05/2009	11/04/2009	4,285.83	5,287.40	-1,001.57	0.00
300.0000	09062X103	BIOMEN IDEC INC	02/03/2009	11/05/2009	13,218.35	15,702.07	-2,483.72	0.00
275.0000	09062X103	BIOMEN IDEC INC	02/18/2009	11/06/2009	12,345.31	13,451.45	-1,106.14	0.00

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CAPITAL GUARDIAN TRUST COMPANY
C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
IRVINE, CA 92618

Account Number: 70-698800
Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
THE H B B FOUNDATION
BABSON FARMS, INC
400 N MICHIGAN AVENUE SUITE 1120
CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
200.0000	097023105	BOEING CO	04/01/2008	03/04/2009	6,109.72	15,086.28	-8,976.56	0.00
200.0000	111320107	BROADCOM CORP	02/19/2009	12/08/2009	6,148.84	3,484.60	2,664.24	0.00
500.0000	111621306	BROCADE COMMUNICATIONS SYS INC	01/08/2009	12/08/2009	3,672.40	1,867.88	1,804.52	0.00
400.0000	13321L108	CAMECO CORP US\$	05/01/2009	12/08/2009	11,636.46	9,919.69	1,716.77	0.00
300.0000	14149Y108	CARDINAL HEALTH INC	10/27/2008	08/03/2009	9,962.20	11,331.29	-1,369.09	0.00
300.0000	14149Y108	CARDINAL HEALTH INC	10/27/2008	08/04/2009	9,976.12	10,812.21	-836.09	0.00
100.0000	143658300	CARNIVAL CORP COMMON PAIRED STOCK	06/03/2008	05/19/2009	2,647.19	3,827.97	-1,180.78	0.00
10000.0000	14912L4C2	CATERPILLAR FINL SVCS CORP 6.2% 09/30/2013	01/14/2009	12/17/2009	11,281.60	10,748.10	533.50	0.00
100.0000	166764100	CHEVRON CORP	09/17/2009	12/08/2009	7,665.80	7,240.78	425.02	0.00
15000.0000	17313UAA7	CITI FDIC 2.875% 12/09/11	12/02/2008	10/07/2009	15,556.49	14,972.45	584.04	0.00
15000.0000	172967EM9	CITIGROUP INC 6.125% 11/21/17	01/08/2009	02/18/2009	12,993.90	14,976.20	-1,982.30	0.00
5000.0000	172967EM9	CITIGROUP INC 6.125% 11/21/17	01/08/2009	03/02/2009	4,324.15	4,992.10	-667.95	0.00
10000.0000	172967EM9	CITIGROUP INC 6.125% 11/21/17	01/08/2009	03/04/2009	8,437.60	9,984.23	-1,546.63	0.00
15000.0000	172967EQ0	CITIGROUP INC SR NT 5.5% 04/11/2013	01/08/2009	02/20/2009	13,518.60	14,789.86	-1,271.26	0.00
5000.0000	172967EQ0	CITIGROUP INC SR NT 5.5% 04/11/2013	01/08/2009	03/03/2009	4,505.40	4,930.48	-425.08	0.00
10000.0000	172967EQ0	CITIGROUP INC SR NT 5.5% 04/11/2013	01/08/2009	03/04/2009	8,830.70	9,861.25	-1,030.55	0.00
15000.0000	20030NAV3	COMCAST CORP 6.95% 08/15/2037	10/16/2009	12/18/2009	16,680.75	16,139.55	541.20	0.00
325.0000	20825C104	CONOCOPHILLIPS	10/28/2008	04/20/2009	12,319.00	15,298.99	-2,979.99	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

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Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
15000.0000	22238HGQ7	COUNTRYWIDE FINANCIAL CORP 5.8% 06/07/2012	01/08/2009	02/18/2009	14,245.95	15,051.75	-805.80	0.00
10000.0000	22238HGQ7	COUNTRYWIDE FINANCIAL CORP 5.8% 06/07/2012	12/17/2008	08/21/2009	10,361.90	9,752.58	609.32	0.00
5000.0000	22238HGQ7	COUNTRYWIDE FINANCIAL CORP 5.8% 06/07/2012	12/17/2008	12/03/2009	5,373.00	4,892.86	480.14	0.00
15000.0000	233835AT4	DAIMLERCHRYSLER 7.30 01/15/12	01/08/2009	02/27/2009	13,987.50	14,098.49	-110.99	0.00
15000.0000	233835AT4	DAIMLERCHRYSLER 7.30 01/15/12	01/08/2009	07/24/2009	15,673.80	14,212.25	1,461.55	0.00
5000.0000	25243YAM1	DIAGEO PLC 5.75% 10/23/2017	03/12/2009	09/03/2009	5,485.85	5,049.30	436.55	0.00
100.0000	281020107	EDISON INTERNATIONAL	01/16/2009	12/08/2009	3,464.91	3,310.28	154.63	0.00
75758.5448	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	01/15/2009	473.54	453.94	19.60	0.00
74980.1448	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	02/17/2009	778.40	746.17	32.23	0.00
73871.5680	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	03/16/2009	1,108.58	1,062.67	45.91	0.00
72802.6248	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	04/15/2009	1,068.94	1,024.68	44.26	0.00
71407.0464	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	05/15/2009	1,395.58	1,337.79	57.79	0.00
69852.1912	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	06/15/2009	1,554.86	1,490.47	64.39	0.00
68597.3776	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	07/15/2009	1,254.81	1,202.86	51.95	0.00
67521.5480	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	08/17/2009	1,075.83	1,031.28	44.55	0.00
68741.6704	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	09/15/2009	779.88	747.59	32.29	0.00
65830.3256	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	10/15/2009	911.34	873.61	37.73	0.00
74653.8363	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	01/15/2009	384.20	352.63	31.57	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

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Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
73897.7346	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	02/17/2009	756.10	693.96	62.14	0.00
72984.2013	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	03/16/2009	913.53	838.45	75.08	0.00
72105.4755	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	04/15/2009	878.73	806.51	72.22	0.00
71054.0415	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	05/15/2009	1,051.43	965.02	86.41	0.00
69410.3328	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	06/15/2009	1,643.71	1,508.62	135.09	0.00
68179.1922	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	07/15/2009	1,231.14	1,129.96	101.18	0.00
67333.3794	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	08/17/2009	845.81	776.30	69.51	0.00
66590.6670	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	09/15/2009	742.71	681.67	61.04	0.00
65949.5143	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	10/15/2009	741.15	680.24	60.91	0.00
25000.0000	3133XTAW6	FHLB 2.25% 04/13/2012	03/04/2009	06/03/2009	25,433.80	24,976.38	457.42	0.00
30000.0000	3133XTAW6	FHLB 2.25% 04/13/2012	03/04/2009	08/18/2009	30,447.24	29,973.62	473.62	0.00
80000.0000	3128X2TM7	FHLMC 5% 01/30/14	02/12/2008	01/09/2009	88,171.04	85,546.40	2,624.64	0.00
57221.8830	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	01/15/2009	172.59	166.06	6.53	0.00
56438.4002	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	02/17/2009	391.74	376.93	14.81	0.00
100000.0000	3137EAAF6	FHLMC NT 5.25% 07/18/2011	04/04/2008	01/23/2009	108,461.90	107,145.00	1,316.90	0.00
75.0000	336433107	FIRST SOLAR INC	02/26/2009	05/26/2009	13,410.89	7,855.55	5,555.34	0.00
59691.9174	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	04/27/2009	308.08	308.80	-0.72	0.00
59277.5970	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	05/26/2009	414.32	415.29	-0.97	0.00
58934.4630	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	06/25/2009	343.13	343.94	-0.81	0.00

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
58569.3096	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	07/27/2009	365.15	366.01	-0.86	0.00
58257.5058	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	08/25/2009	311.80	312.53	-0.73	0.00
57901.2750	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	09/25/2009	356.23	357.07	-0.84	0.00
57477.4326	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	10/26/2009	423.84	424.84	-1.00	0.00
57091.2300	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	11/25/2009	386.20	387.11	-0.91	0.00
56672.3940	31412N4V5	FNCI 4.0 930636 03/01/2024	03/02/2009	12/28/2009	418.84	419.82	-0.98	0.00
59650.7190	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	06/25/2009	349.28	354.36	-5.08	0.00
59311.9536	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	07/27/2009	338.77	343.69	-4.92	0.00
58925.3844	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	08/25/2009	386.57	392.19	-5.62	0.00
58565.5968	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	09/25/2009	359.79	365.02	-5.23	0.00
58036.3788	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	10/26/2009	529.22	536.91	-7.69	0.00
57442.8102	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	11/25/2009	593.57	602.19	-8.62	0.00
56795.3826	31412PMS7	FNCI 4.0 931069 05/01/24	04/23/2009	12/28/2009	647.43	656.84	-9.41	0.00
83279.8975	31416SKW0	FNCI 4.0 AA8408 06/01/24	07/17/2009	09/25/2009	606.49	605.73	0.76	0.00
82758.7863	31416SKW0	FNCI 4.0 AA8408 06/01/24	07/17/2009	10/26/2009	521.11	520.46	0.65	0.00
81820.7739	31416SKW0	FNCI 4.0 AA8408 06/01/24	07/17/2009	11/25/2009	938.01	936.84	1.17	0.00
81060.9606	31416SKW0	FNCI 4.0 AA8408 06/01/24	07/17/2009	12/28/2009	759.81	758.86	0.95	0.00
97165.6270	31412PRQ6	FNCI 4.5 931195 05/01/24	06/05/2009	07/27/2009	1,665.05	1,682.74	-17.69	0.00
94549.2490	31412PRQ6	FNCI 4.5 931195 05/01/24	06/05/2009	08/25/2009	2,616.38	2,644.18	-27.80	0.00
92724.6230	31412PRQ6	FNCI 4.5 931195 05/01/24	06/05/2009	09/25/2009	1,824.63	1,844.01	-19.38	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969
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CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of Shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
91005.9210	31412PRO6	FNCL 4.5 931195 05/01/24	06/05/2009	10/26/2009	1,718.70	1,736.96	-18.26	0.00
88529.2830	31412PRO6	FNCL 4.5 931195 05/01/24	06/05/2009	11/25/2009	2,476.64	2,502.95	-26.31	0.00
85728.1030	31412PRO6	FNCL 4.5 931195 05/01/24	06/05/2009	12/28/2009	2,801.18	2,830.94	-29.76	0.00
55362.3116	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	04/27/2009	2,110.38	2,166.10	-55.72	0.00
54108.2165	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	05/26/2009	1,254.10	1,287.21	-33.11	0.00
52112.7529	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	06/25/2009	1,995.46	2,048.16	-52.70	0.00
50058.6158	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	07/27/2009	2,054.14	2,108.38	-54.24	0.00
48829.9234	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	08/25/2009	1,228.69	1,261.14	-32.45	0.00
47988.3489	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	09/25/2009	841.57	863.80	-22.23	0.00
47116.5117	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	10/26/2009	871.84	894.86	-23.02	0.00
46379.0431	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	11/25/2009	737.47	758.94	-19.47	0.00
45373.1577	31413YS44	FNCL 5.5 959639 11/01/2037	02/20/2009	12/28/2009	1,005.89	1,032.45	-26.56	0.00
83616.2927	31371NHV0	FNCL 6.0 256844 08/01/37	08/17/2009	09/25/2009	1,320.97	1,391.25	-70.28	0.00
82597.3875	31371NHV0	FNCL 6.0 256844 08/01/37	08/17/2009	10/26/2009	1,018.91	1,073.11	-54.20	0.00
80563.5147	31371NHV0	FNCL 6.0 256844 08/01/37	08/17/2009	11/25/2009	2,033.87	2,142.08	-108.21	0.00
79109.4125	31371NHV0	FNCL 6.0 256844 08/01/37	08/17/2009	12/28/2009	1,454.10	1,531.47	-77.37	0.00
74309.9139	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37	12/15/2008	02/25/2009	546.76	564.44	-17.68	0.00
73085.4397	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37	12/15/2008	03/25/2009	1,224.47	1,284.08	-59.61	0.00
71857.8159	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37	12/15/2008	04/27/2009	1,227.62	1,267.33	-39.71	0.00
70379.6518	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37	12/15/2008	05/26/2009	1,478.16	1,525.97	-47.81	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
88000.0000	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37	12/15/2008	06/05/2009	73,883.44	71,376.74	2,506.70	0.00
72523.9958	31415VQT5	FNCL 6.5 990666 175K MAX 09/01/38	10/28/2008	01/26/2009	977.08	990.36	-13.28	0.00
71193.5768	31415VQT5	FNCL 6.5 990666 175K MAX 09/01/38	10/28/2008	02/25/2009	1,330.42	1,348.50	-18.08	0.00
68898.7665	31415VQT5	FNCL 6.5 990666 175K MAX 09/01/38	10/28/2008	03/25/2009	2,294.81	2,326.01	-31.20	0.00
66514.2480	31415VQT5	FNCL 6.5 990666 175K MAX 09/01/38	10/28/2008	04/27/2009	2,384.52	2,416.93	-32.41	0.00
75000.0000	31415VQT5	FNCL 6.5 990666 175K MAX 09/01/38	10/28/2008	05/22/2009	69,887.54	66,401.37	3,486.17	0.00
153825.8347	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	07/27/2009	1,174.17	1,212.33	-38.16	0.00
152466.4568	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	08/25/2009	1,359.38	1,403.56	-44.18	0.00
150471.7866	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	09/25/2009	1,994.67	2,059.50	-64.83	0.00
148224.7749	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	10/26/2009	2,247.01	2,320.04	-73.03	0.00
145376.0950	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	11/25/2009	2,848.68	2,941.26	-92.58	0.00
143383.3545	31417YD79	FNCN 4.5 MA0125 07/01/19	05/07/2009	12/28/2009	1,992.74	2,057.50	-64.76	0.00
5000.0000	31398AVZ2	FNMA NT 2.75% 03/13/2014	06/30/2009	10/16/2009	5,071.93	4,994.18	77.75	0.00
140000.0000	31398AVZ2	FNMA NT 2.75% 03/13/2014	06/30/2009	10/19/2009	142,374.96	139,837.17	2,537.79	0.00
5000.0000	35177PAK3	FRANCE TELECOM STP CPN 03-01-11	03/12/2009	12/18/2009	5,389.50	5,358.05	31.45	0.00
20000.0000	626207XB5	GA MUN ELEC AUTH A TAXABLE 5.0% 01/01/12	04/20/2009	07/10/2009	21,044.00	19,994.80	1,049.20	0.00
30000.0000	36962G4B7	GENERAL ELEC CAP CORP 6.875% 01/10/39	01/08/2009	01/09/2009	29,498.40	29,245.73	252.67	0.00
15000.0000	36962G3P7	GENERAL ELEC CAP CORP SR UNSEC 5.875% 01/14/38	01/09/2009	08/21/2009	13,098.60	13,113.78	-15.18	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969
 Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
300.0000	369604103	GENERAL ELECTRIC CO (USD)	10/02/2008	05/20/2009	4,185.16	6,675.00	-2,489.84	0.00
64239.5085	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	01/20/2009	625.97	642.60	-16.63	0.00
62538.5014	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	02/20/2009	1,701.01	1,746.19	-45.18	0.00
59776.8353	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	03/20/2009	2,761.67	2,835.02	-73.35	0.00
56300.8914	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	04/20/2009	3,475.94	3,568.27	-92.33	0.00
52578.2786	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	05/20/2009	3,322.61	3,410.87	-88.26	0.00
65000.0000	36202EXV6	GNMA II POOL #4292 6.5%	11/20/2038	05/22/2009	55,925.20	54,385.52	1,539.68	0.00
30000.0000	38141GEE0	GOLDMAN SACHS GROUP 5.35% 01/15/16	01/08/2009	02/09/2009	27,107.10	27,709.97	-602.87	0.00
15000.0000	38141GEE0	GOLDMAN SACHS GROUP 5.35% 01/15/16	01/08/2009	02/18/2009	13,619.85	13,858.71	-238.86	0.00
15000.0000	38141GEE0	GOLDMAN SACHS GROUP 5.35% 01/15/16	01/08/2009	04/22/2009	13,585.20	13,881.50	-296.30	0.00
10000.0000	38141EA25	GOLDMAN SACHS GROUP 7.5% 02/15/2019	06/16/2009	08/21/2009	11,444.00	10,556.90	887.10	0.00
15000.0000	38141EA25	GOLDMAN SACHS GROUP 7.5% 02/15/2019	05/27/2009	11/23/2009	17,654.55	15,598.20	2,056.35	0.00
15000.0000	38146FAK7	GOLDMAN SACHS GROUP FDIC 2.15% 03/15/2012	03/12/2009	10/28/2009	15,271.80	14,993.20	278.60	0.00
15000.0000	38146FAA9	GOLDMAN SACHS GROUP FDIC 3.25% 06/15/2012	11/25/2008	10/26/2009	15,643.50	14,955.72	687.78	0.00
100.0000	423012202	HEINEKEN NV ADR	01/29/2009	09/02/2009	2,079.59	1,541.69	537.90	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
15000.0000	437076AR3	HOME DEPOT INC SR UNSEC 5.25% 12/16/13	05/20/2009	12/17/2009	16,314.60	15,457.05	857.55	0.00
500.0000	443683107	HUDSON CITY BANCORP INC	11/13/2008	05/05/2009	6,362.68	9,030.24	-2,667.56	0.00
100.0000	466313103	JABIL CIRCUIT INC	04/07/2008	01/14/2009	609.91	1,013.47	-403.56	0.00
400.0000	466313103	JABIL CIRCUIT INC	04/04/2008	01/15/2009	2,384.62	4,023.18	-1,638.56	0.00
100.0000	466313103	JABIL CIRCUIT INC	04/08/2008	01/16/2009	586.48	983.96	-397.48	0.00
100.0000	466313103	JABIL CIRCUIT INC	04/08/2008	03/06/2009	321.75	983.96	-662.21	0.00
100.0000	469814107	JACOBS ENGR GROUP	12/31/2008	12/08/2009	3,372.91	4,863.54	-1,490.63	0.00
15000.0000	481247AA2	JPM FDIC 3.125% 12/01/11	11/26/2008	10/26/2009	15,582.00	14,993.14	588.86	0.00
15000.0000	481247AJ3	JPMORGAN CHASE FDIC FRN 06/15/2012	02/18/2009	10/07/2009	15,098.70	15,000.00	98.70	0.00
100.0000	494368103	KIMBERLY-CLARK CORP	09/15/2008	05/19/2009	5,159.78	6,496.38	-1,336.60	0.00
200.0000	494368103	KIMBERLY-CLARK CORP	08/12/2008	05/19/2009	10,312.41	12,632.33	-2,319.92	0.00
200.0000	494368103	KIMBERLY-CLARK CORP	08/11/2008	05/20/2009	10,346.83	12,318.51	-1,971.68	0.00
10000.0000	494550BA3	KINDER MORGAN ENERGY PARTNERS 5.625% 02/15/2015	05/07/2009	07/24/2009	10,507.60	9,993.47	514.13	0.00
15000.0000	494550AZ9	KINDER MORGAN ENERGY PARTNERS 9% 2/1/19	12/16/2008	03/06/2009	15,937.50	14,992.18	945.32	0.00
100.0000	565849106	MARATHON OIL CORP COM	07/01/2008	02/04/2009	2,699.34	5,217.24	-2,517.90	0.00
100.0000	565849106	MARATHON OIL CORP COM	07/01/2008	02/04/2009	2,719.57	5,217.24	-2,497.67	0.00
300.0000	565849106	MARATHON OIL CORP COM	06/03/2008	02/12/2009	7,984.18	15,620.31	-7,636.13	0.00
200.0000	57772K101	MAXIM INTEGRATED PRODS INC	01/27/2009	12/08/2009	3,795.90	2,734.72	1,061.18	0.00

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2009 Tax Information Statement

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CAPITAL GUARDIAN TRUST COMPANY
C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
IRVINE, CA 92618

Account Number: 70-698800
Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
THE H B B FOUNDATION
BABSON FARMS, INC
400 N MICHIGAN AVENUE SUITE 1120
CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice
Revised: 04/01/2010

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
10000.0000	637432LR4	NATIONAL RURAL UTIL 10.375% 11/01/2018	01/12/2009	11/23/2009	13,493.60	12,081.40	1,412.20	0.00
100.0000	704326107	PAYCHEX INC	10/07/2008	06/17/2009	2,688.54	2,930.03	-241.49	0.00
140.0000	723484101	PINNACLE WEST CAPITAL CORP	04/01/2008	01/16/2009	4,581.16	5,008.47	-427.31	0.00
15000.0000	743263A08	PROGRESS ENERGY 4.875% 12/01/19	11/16/2009	11/23/2009	14,991.30	14,971.35	19.95	0.00
15000.0000	7591EAAA1	REGIONS BANK FDIC 2.75% 12-10-10	12/08/2008	08/18/2009	15,384.27	14,992.85	391.42	0.00
30.0000	787204100	RIO TINTO PLC ADR SPON		07/17/2009	684.97	684.97	-	0.00
20000.0000	806805AG6	SCHERING PLOUGH 6.75% 12/01/2033	01/08/2009	07/24/2009	21,354.60	20,797.40	557.20	0.00
100.0000	806857108	SCHLUMBERGER LTD	10/19/2008	12/08/2009	6,011.84	7,086.08	-1,074.24	0.00
200.0000	808513105	SCHWAB CHARLES NEW	09/21/2009	12/08/2009	3,632.90	3,687.37	-34.47	0.00
200.0000	82481R106	SHIRE PLC ADR	02/04/2009	12/08/2009	11,627.70	9,164.30	2,463.40	0.00
15000.0000	846042AA7	SOVEREIGN BANK FDIC 2.75% 1-17-12	12/15/2008	10/07/2009	15,512.79	14,989.24	523.55	0.00
5000.0000	88732JAP3	TIME WARNER CABLE INC 8.75% 02/14/2019	11/13/2008	08/21/2009	6,108.65	4,925.53	1,183.12	0.00
300.0000	H8912P106	TYCO ELECTRONICS LTD	07/15/2009	12/08/2009	6,932.82	5,591.51	1,341.31	0.00
20000.0000	91324PAR3	UNITED HEALTH GROUP SR UNSEC 5.8% 03/15/2036	12/18/2008	07/21/2009	16,663.00	15,524.43	1,138.57	0.00
200.0000	911312106	UNITED PARCEL SERVICE INC CL B	06/13/2008	05/19/2009	10,498.67	12,773.17	-2,274.50	0.00
40000.0000	912810DP0	US TREASURY BOND 11.25% 02/15/2015	10/09/2009	12/17/2009	57,445.15	57,478.72	-31.57	0.00
30000.0000	912810EQ7	US TREASURY BOND 6.25% 08/15/2023	03/13/2009	12/14/2009	36,674.88	37,970.43	-1,295.55	0.00
75000.0000	912828HN3	US TREASURY I/L FRN 1.625% 01/15/18	10/16/2008	02/19/2009	74,134.00	68,964.13	5,169.87	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969
 Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

Corrected
 Revised: 04/01/2010

2nd TIN notice

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
40000.0000	912828BW9	US TREASURY I/L FRN 2% 01/15/2014	11/14/2008	06/25/2009	47,077.76	44,550.77	2,526.99	0.00
35000.0000	912828BW9	US TREASURY I/L FRN 2% 01/15/2014	11/14/2008	09/17/2009	42,280.12	38,285.26	3,994.86	0.00
40000.0000	912828GD6	US TREASURY I/L FRN 2 375% 01/15/2017	05/14/2009	06/25/2009	43,781.96	44,343.50	-561.54	0.00
40000.0000	912828GD6	US TREASURY I/L FRN 2.375% 01/15/2017	05/14/2009	09/17/2009	45,424.81	44,791.90	632.91	0.00
40000.0000	912828FB1	US TREASURY I/L FRN 2.375% 04/15/2011	10/16/2008	06/25/2009	44,241.42	42,604.96	1,636.46	0.00
40000.0000	912828FB1	US TREASURY I/L FRN 2.375% 04/15/2011	10/16/2008	09/17/2009	44,776.69	41,793.23	2,983.46	0.00
120000.0000	912828LB4	US TREASURY NOTE 1.5% 07/15/12	09/30/2009	12/09/2009	121,541.79	120,431.65	1,110.14	0.00
150000.0000	912828JT8	US TREASURY NOTE 2.00% 11/30/2013	12/16/2008	01/09/2009	153,942.86	153,281.25	661.61	0.00
190000.0000	912828HR4	US TREASURY NOTE 3.5% 02/15/18	03/13/2009	12/09/2009	194,385.57	200,851.54	-6,465.97	0.00
100000.0000	912828EX4	US TREASURY NOTE 4.5% 02/28/2011	09/30/2009	12/14/2009	104,804.35	105,406.59	-602.24	0.00
280000.0000	912828GV6	US TREASURY NOTE 4.875% 06/30/2009	06/05/2008	01/07/2009	286,178.75	287,765.63	-1,586.88	0.00
25000.0000	92343VAR5	VERIZON 8.95% 3/1/39	01/08/2009	04/14/2009	30,245.25	30,982.25	-737.00	0.00
15000.0000	92344SAT7	VERIZON WIRELESS CAP LLC SR UNSEC 3.75% 05/20/11	11/24/2009	12/18/2009	15,542.55	15,531.45	11.10	0.00
15000.0000	92976WBH8	WACHOVIA CORP 5.75% 02-01-18	01/08/2009	02/18/2009	14,641.65	15,320.85	-679.20	0.00
15000.0000	92976WBH8	WACHOVIA CORP 5.75% 02-01-18	01/08/2009	04/14/2009	13,862.40	15,320.85	-1,458.45	0.00
20000.0000	931142CQ4	WAL-MART 3.2% 5/15/14	05/14/2009	07/24/2009	20,177.80	19,996.90	180.90	0.00
10000.0000	94973VAK3	WELLPOINT INC 5.25% 01/15/16	01/14/2009	07/21/2009	9,628.70	9,373.26	255.44	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
500.0000	949746101	WELLS FARGO & CO (NEW)	04/09/2008	01/23/2009	7,860.75	14,745.60	-6,884.85	0.00
700.0000	949746101	WELLS FARGO & CO (NEW)	04/10/2008	01/30/2009	13,302.30	19,119.75	-5,817.45	0.00
15000.0000	949744AA4	WELLS FARGO FDIC 3.00% 12/09/11	12/03/2008	10/26/2009	15,538.05	14,987.15	550.90	0.00
500.0000	969457100	WILLIAMS COS INC	10/23/2008	03/26/2009	5,983.31	8,400.65	-2,417.34	0.00
10000.0000	98310WAB4	WYNDHAM WORLDWIDE CORP SR UNSEC 6.0% 12/01/2016	06/23/2008	01/20/2009	4,800.00	8,744.50	-3,944.50	0.00
Total Short Term Sales					3,155,336.09	-3,209,676.98 3,210,355.55	-54,334.29 -55,019.24	0.00
Long Term 15% Sales								
400.0000	002824100	ABBOTT LABORATORIES	03/26/2008	05/18/2009	17,231.35	21,625.56	-4,394.21	0.00
800.0000	001055102	AFLAC INC	09/13/2006	01/22/2009	19,375.08	35,829.14	-16,454.06	0.00
300.0000	00846U101	AGILENT TECHNOLOGIES INC	10/17/2007	12/08/2009	8,887.27	10,840.21	-1,952.94	0.00
100.0000	018490102	ALLERGAN INC	11/11/2005	06/01/2009	4,444.72	5,017.50	-572.78	0.00
100.0000	018490102	ALLERGAN INC	11/11/2005	06/03/2009	4,508.83	5,017.50	-508.67	0.00
100.0000	018490102	ALLERGAN INC	11/11/2005	12/08/2009	5,877.84	5,017.50	860.34	0.00
400.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	01/19/2007	02/05/2009	4,430.69	8,092.56	-3,661.87	0.00
200.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	04/20/2007	02/06/2009	2,207.48	3,712.31	-1,504.83	0.00
200.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	04/19/2007	02/09/2009	2,175.06	3,684.56	-1,509.50	0.00
100.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	04/19/2007	02/10/2009	1,059.31	1,842.28	-782.97	0.00
200.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	04/19/2007	02/11/2009	2,082.92	3,663.77	-1,580.85	0.00
500.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	04/18/2007	02/12/2009	5,155.52	9,086.77	-3,911.25	0.00

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

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 THE H B FOUNDATION
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 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice
 Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100.0000	02744M108	AMERICAN MEDICAL SYS HLDGS	05/04/2007	02/12/2009	1,027.96	1,809.82	-781.86	0.00
10000.0000	031162AG5	AMGEN INC 4% 11/18/2009	06/29/2006	11/18/2009	10,000.00	10,000.00	0.00	0.00
48.0000	00184X105	AOL INC	11/26/2002	12/17/2009	1,123.08	1,336.04	-212.96	0.00
4550	00184X105	AOL INC	11/28/2002	12/18/2009	11.75	12.05	-0.30	0.00
15000.0000	00206RAG7	AT&T CORP NT 6.3% 01/15/38	12/04/2007	04/14/2009	13,790.25	14,972.45	-1,182.20	0.00
1500.0000	00206R102	AT&T INC	01/12/2007	11/20/2009	39,000.19	55,476.77	-16,476.58	0.00
900.0000	00206R102	AT&T INC	10/07/2005	11/23/2009	23,803.93	20,547.00	3,256.93	0.00
300.0000	00206R102	AT&T INC	01/12/2007	11/23/2009	7,920.30	10,430.25	-2,509.95	0.00
250.0000	057224107	BAKER HUGHES INC	10/07/2005	05/18/2009	9,173.86	16,205.50	-7,031.64	0.00
100.0000	097023105	BOEING CO	06/27/2007	06/23/2009	4,355.38	9,436.42	-5,081.04	0.00
100.0000	097023105	BOEING CO	12/20/2007	06/23/2009	4,364.85	8,693.00	-4,328.15	0.00
500.0000	134429109	CAMPBELL SOUP CO	10/07/2005	01/13/2009	14,502.76	14,472.50	30.26	0.00
600.0000	134429109	CAMPBELL SOUP CO	10/07/2005	05/19/2009	16,285.44	17,367.00	-1,081.56	0.00
15000.0000	14149YAF5	CARDINAL HEALTH INC 6.75% 02/15/2011	06/28/2007	09/14/2009	16,087.50	15,505.95	581.55	0.00
100.0000	143658300	CARNIVAL CORP COMMON PAIRED STOCK	04/01/2008	05/19/2009	2,647.19	4,265.15	-1,617.96	0.00
300.0000	143658300	CARNIVAL CORP COMMON PAIRED STOCK	02/21/2008	05/20/2009	7,763.41	12,328.34	-4,564.93	0.00
150.0000	156782104	CERNER CORP	02/15/2007	10/08/2009	12,089.77	7,899.35	4,190.42	0.00
100.0000	156782104	CERNER CORP	02/12/2007	10/21/2009	8,196.82	5,113.66	3,083.16	0.00
10000.0000	20030NAE1	COMCAST CORP 5.3% 01-15-14	07/14/2006	10/16/2009	10,627.10	9,616.44	1,010.66	0.00

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
800.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/04/2009	12,261.92	15,098.67	-2,836.75	0.00
400.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/05/2009	6,016.48	7,549.33	-1,532.85	0.00
400.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/06/2009	6,084.00	7,549.33	-1,465.33	0.00
400.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/07/2009	6,040.56	7,549.33	-1,508.77	0.00
200.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/10/2009	2,993.84	3,774.67	-780.83	0.00
200.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	08/12/2009	2,970.24	3,774.67	-804.43	0.00
100.0000	20030N101	COMCAST CORP CL A (NEW)	10/07/2005	12/08/2009	1,735.95	1,887.33	-151.38	0.00
100.0000	20825C104	CONOCOPHILLIPS	07/03/2007	04/20/2009	3,790.46	8,041.93	-4,251.47	0.00
100.0000	235851102	DANAHER CORP	04/14/2008	12/08/2009	7,117.81	7,401.24	-283.43	0.00
600.0000	24702R101	DELL INC	10/26/2001	01/09/2009	6,589.58	15,354.00	-8,764.42	0.00
100.0000	247907207	DELTA PETROLEUM CORP	05/24/2006	01/08/2009	556.81	1,659.66	-1,102.85	0.00
300.0000	247907207	DELTA PETROLEUM CORP	08/16/2007	01/09/2009	1,622.39	4,682.36	-3,059.97	0.00
100.0000	247907207	DELTA PETROLEUM CORP	12/20/2007	03/10/2009	99.02	1,499.00	-1,399.98	0.00
100.0000	247907207	DELTA PETROLEUM CORP	12/20/2007	03/11/2009	128.23	1,499.00	-1,370.77	0.00
200.0000	247907207	DELTA PETROLEUM CORP	12/20/2007	03/11/2009	250.01	2,998.00	-2,747.99	0.00
100.0000	27579R104	EAST WEST BANCORP INC	10/07/2005	03/02/2009	629.91	3,272.00	-2,642.09	0.00
100.0000	27579R104	EAST WEST BANCORP INC	10/07/2005	03/03/2009	547.23	3,272.00	-2,724.77	0.00
100.0000	27579R104	EAST WEST BANCORP INC	10/07/2005	03/03/2009	551.51	3,272.00	-2,720.49	0.00
100.0000	27579R104	EAST WEST BANCORP INC	10/07/2005	03/04/2009	493.35	3,272.00	-2,778.65	0.00
400.0000	27579R104	EAST WEST BANCORP INC	10/07/2005	03/10/2009	1,518.67	13,088.00	-11,569.33	0.00

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100.0000	278642103	EBAY INC	05/18/2007	10/05/2009	2,294.22	3,277.60	-983.38	0.00
100.0000	278865100	ECOLAB INC	12/04/2008	12/08/2009	4,467.88	3,543.46	924.42	0.00
300.0000	291011104	EMERSON ELECTRIC CO	10/07/2005	12/08/2009	12,274.69	11,375.71	898.98	0.00
10000.0000	293791AR0	ENTERPRISE PRODS OPER 5% 03/01/2015	06/13/2006	01/20/2009	8,627.00	9,320.05	-693.05	0.00
15000.0000	293791AR0	ENTERPRISE PRODS OPER 5% 03/01/2015	06/13/2006	03/19/2009	12,875.25	14,003.74	-1,128.49	0.00
300.0000	30161Q104	EXELIXIS INC	05/23/2006	03/06/2009	1,297.43	2,955.50	-1,658.07	0.00
600.0000	30161Q104	EXELIXIS INC	05/23/2006	03/09/2009	2,539.24	5,834.08	-3,294.84	0.00
50.0000	30231G102	EXXON MOBIL CORP	09/20/1988	06/12/2009	3,687.21	417.24	3,269.97	0.00
600.0000	313586752	FEDERAL NATL MTG ASSN PFD SER S 8.25% PERPETUAL	12/06/2007	07/15/2009	930.00	15,000.00	-14,070.00	0.00
100.0000	31428X106	FEDEX CORP	10/07/2005	12/08/2009	8,987.76	8,726.00	261.76	0.00
64630.0328	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	11/16/2009	1,200.29	1,150.59	49.70	0.00
63191.0080	3128MBV53	FGCI 4.5 G13136 05/01/23	10/28/2008	12/15/2009	1,439.02	1,379.44	59.58	0.00
65129.3037	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	11/16/2009	720.21	661.02	59.19	0.00
64337.0886	3128LXNU0	FGLMC 4.5 G02203 03/01/36	10/28/2008	12/15/2009	792.22	727.10	65.12	0.00
100000.0000	313771AA5	FHLB SUB NT 5.625% 06/13/2016	06/09/2006	04/01/2009	95,636.00	100,000.00	-4,364.00	0.00
55534.8586	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	03/16/2009	451.77	434.69	17.08	0.00
54680.2820	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	04/15/2009	427.29	411.13	16.16	0.00

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CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
53691.6400	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	05/15/2009	494.32	475.63	18.69	0.00
51757.8520	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	06/15/2009	966.89	930.33	36.56	0.00
49857.1714	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	07/15/2009	950.34	914.41	35.93	0.00
48524.1090	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	08/17/2009	666.53	641.33	25.20	0.00
48004.6766	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	09/15/2009	259.72	249.89	9.83	0.00
47443.5880	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	10/15/2009	280.54	269.94	10.60	0.00
46556.0500	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	11/16/2009	423.77	407.75	16.02	0.00
45503.8528	3128L7UX3	FHLMC GOLD POOL #A74198 5% 02/01/2038	02/20/2008	12/15/2009	543.20	522.66	20.54	0.00
120000.0000	3137EAF6	FHLMC NT 5.25% 07/18/2011	03/23/2007	01/23/2009	130,154.28	121,964.40	8,189.88	0.00
100.0000	343412102	FLUOR CORP (NEW)	10/07/2005	11/02/2009	4,453.26	2,990.30	1,462.96	0.00
100.0000	343412102	FLUOR CORP (NEW)	10/07/2005	11/04/2009	4,566.59	2,990.30	1,576.29	0.00
100.0000	343412102	FLUOR CORP (NEW)	10/07/2005	11/05/2009	4,543.70	2,990.30	1,553.40	0.00
69100.3966	31410GQ22	FNCL 6.5 888873 175K MAX 08/01/37		06/25/2009	1,279.26	1,279.26	0.00	0.00
65497.1835	31415VOT5	FNCL 6.5 990666 175K MAX 09/01/38		05/28/2009	1,017.06	1,017.06	0.00	0.00
49087.2912	31411CDV0	FNMA CP POOL #903816 6% 12/01/2036	12/22/2006	01/26/2009	292.04	294.83	-2.79	0.00

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 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice
 Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-28
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
48008.6196	31411CDV0	FNMA CP POOL #903816 6% 12/01/2036	12/22/2006	02/25/2009	1,078.67	1,088.95	-10.28	0.00
46597.4514	31411CDV0	FNMA CP POOL #903816 6% 12/01/2036	12/22/2006	03/25/2009	1,411.17	1,424.62	-13.45	0.00
60000.0000	31411CDV0	FNMA CP POOL #903816 6% 12/01/2036	12/22/2006	04/03/2009	47,329.81	45,533.55	1,796.26	0.00
45089.4294	31411CDV0	FNMA CP POOL #903816 6% 12/01/2036	04/27/2009		1,508.02	1,508.02	0.00	0.00
103772.8872	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	01/26/2009	391.52	392.40	-0.88	0.00
102709.6752	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	02/25/2009	354.40	355.19	-0.79	0.00
101571.3360	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	03/25/2009	379.45	380.30	-0.85	0.00
100487.7276	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	04/27/2009	361.20	362.00	-0.80	0.00
99327.7752	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	05/26/2009	386.65	387.50	-0.85	0.00
98198.8404	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	06/25/2009	376.31	377.16	-0.85	0.00
97075.6704	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	07/27/2009	374.39	375.22	-0.83	0.00
95958.2364	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	08/25/2009	372.48	373.31	-0.83	0.00
94846.5084	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	09/25/2009	370.58	371.40	-0.82	0.00
93740.4576	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	10/26/2009	368.68	369.49	-0.81	0.00
92640.0552	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	11/25/2009	366.80	367.62	-0.82	0.00
91545.2700	31396VGT9	FNR 07-33 HE PAC 5.5% 04/25/2037	03/27/2007	12/28/2009	364.93	365.74	-0.81	0.00
166174.8816	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	01/15/2009	639.80	652.40	-12.60	0.00
164278.6452	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	02/17/2009	632.08	644.53	-12.45	0.00
162334.6668	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	03/16/2009	647.99	660.77	-12.78	0.00
160343.8083	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	04/15/2009	663.62	676.69	-13.07	0.00

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CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
158363.0823	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	05/15/2009	660.24	673.25	-13.01	0.00
156392.4336	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	06/15/2009	656.88	669.82	-12.94	0.00
154431.8160	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	07/15/2009	653.54	666.42	-12.88	0.00
152481.1725	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	08/17/2009	650.21	663.03	-12.82	0.00
150540.4524	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	09/15/2009	646.91	659.65	-12.74	0.00
148609.6074	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	10/15/2009	643.62	656.30	-12.68	0.00
146588.5844	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	11/16/2009	640.34	652.96	-12.62	0.00
144777.3348	31397FAG7	FREDDIE MAC 6% 02/15/2037	02/08/2007	12/15/2009	637.08	649.64	-12.56	0.00
200.0000	368710406	GENENTECH INC	02/21/2007	02/03/2009	16,496.10	17,265.43	-769.33	0.00
100.0000	368710406	GENENTECH INC	02/27/2007	02/13/2009	8,396.45	8,488.91	-92.46	0.00
100.0000	368710406	GENENTECH INC	02/28/2007	02/13/2009	8,392.53	8,452.90	-60.37	0.00
200.0000	368710406	GENENTECH INC	02/22/2007	02/13/2009	16,752.84	17,087.18	-334.34	0.00
100.0000	368710406	GENENTECH INC	05/14/2007	02/17/2009	8,298.81	8,004.84	293.97	0.00
50.0000	368710406	GENENTECH INC	05/14/2007	02/18/2009	4,206.68	4,002.42	204.26	0.00
350.0000	368710406	GENENTECH INC	05/14/2007	02/19/2009	29,769.85	24,566.40	5,203.45	0.00
800.0000	369604103	GENERAL ELECTRIC CO (USD)	10/07/2005	12/08/2009	12,560.39	27,240.00	-14,679.61	0.00
150000.0000	377372AE7	GLAXOSMITHKLINE CAPITAL PLC 6.375% 05-15-38	05/29/2008	12/17/2009	16,923.45	14,771.67	2,151.78	0.00
100.0000	38141G104	GOLDMAN SACHS GROUP INC	03/13/2008	09/03/2009	16,069.49	16,523.63	-454.14	0.00
100.0000	410345102	HANESBRANDS INC	12/20/2007	12/15/2009	2,569.52	2,809.00	-239.48	0.00

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

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CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net-Gain or Loss	Federal Income Tax Withheld
100.0000	410345102	HANESBRANDS INC	06/05/2006	12/18/2009	2,536.76	2,074.20	462.56	0.00
100.0000	410345102	HANESBRANDS INC	06/02/2006	12/31/2009	2,439.80	2,066.54	373.26	0.00
100.0000	423012202	HEINEKEN NV ADR	11/11/2005	08/27/2009	2,097.07	1,554.25	542.82	0.00
500.0000	423012202	HEINEKEN NV ADR	11/11/2005	08/28/2009	10,516.32	7,771.25	2,745.07	0.00
300.0000	423012202	HEINEKEN NV ADR	11/11/2005	08/31/2009	6,317.20	4,662.75	1,654.45	0.00
300.0000	423012202	HEINEKEN NV ADR	11/11/2005	09/01/2009	6,254.65	4,662.75	1,591.90	0.00
200.0000	423012202	HEINEKEN NV ADR	11/11/2005	09/02/2009	4,159.19	3,108.50	1,050.69	0.00
1200.0000	458140100	INTEL CORP	01/14/2005	01/22/2009	15,407.91	24,680.40	-9,272.49	0.00
100.0000	459200101	INTERNATIONAL BUSINESS MACHINES CORP	11/22/1999	12/08/2009	12,662.87	11,519.59	1,143.28	0.00
100.0000	466313103	JABIL CIRCUIT INC	08/10/2007	03/09/2009	324.49	2,409.95	-2,085.46	0.00
400.0000	466313103	JABIL CIRCUIT INC	08/10/2007	03/10/2009	1,286.39	9,500.36	-8,213.97	0.00
300.0000	466313103	JABIL CIRCUIT INC	08/14/2007	03/11/2009	975.80	7,064.90	-6,089.10	0.00
300.0000	466313103	JABIL CIRCUIT INC	08/14/2007	03/12/2009	1,033.91	7,057.50	-6,023.59	0.00
400.0000	478366107	JOHNSON CONTROLS INC	02/27/2008	09/21/2009	10,473.17	13,946.08	-3,472.91	0.00
3820	49455U100	KINDER MORGAN MANAGEMENT LLC	12/06/2006	02/13/2009	16.56	15.21	1.35	0.00
8440	49455U100	KINDER MORGAN MANAGEMENT LLC	12/06/2006	05/15/2009	34.27	32.77	1.50	0.00
9080	49455U100	KINDER MORGAN MANAGEMENT LLC	12/06/2006	08/14/2009	41.41	34.49	6.92	0.00
200.0000	49455U100	KINDER MORGAN MANAGEMENT LLC	12/05/2006	09/11/2009	9,321.98	7,578.92	1,743.06	0.00
100.0000	49455U100	KINDER MORGAN MANAGEMENT LLC	12/05/2006	09/14/2009	4,640.93	3,777.34	863.59	0.00

This is important tax information and is being furnished to you.

2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
64.0000	49455U100	KINDER MORGAN MANAGEMENT LLC	12/05/2006	09/15/2009	2,970.47	2,417.50	552.97	0.00
100.0000	50075N104	KRAFT FOODS INC CL A	12/11/2007	04/17/2009	2,266.69	3,501.98	-1,235.29	0.00
900.0000	50075N104	KRAFT FOODS INC CL A	11/06/2007	11/18/2009	24,483.32	29,880.98	5,397.66	0.00
600.0000	50075N104	KRAFT FOODS INC CL A	05/10/2007	11/19/2009	16,187.88	19,625.56	-3,437.68	0.00
200.0000	565849106	MARATHON OIL CORP COM	06/03/2008	12/08/2009	6,208.84	10,413.54	-4,204.70	0.00
200.0000	580135101	MCDONALDS CORP	11/03/2005	05/21/2009	11,123.93	6,602.36	4,521.57	0.00
300.0000	580135101	MCDONALDS CORP	11/03/2005	06/17/2009	17,221.29	9,903.54	7,317.75	0.00
100.0000	585055106	MEDTRONIC INC	12/20/2007	12/08/2009	4,274.39	4,975.00	-700.61	0.00
200.0000	589400100	MERCURY GENERAL CORP	03/31/2008	11/25/2009	7,391.66	8,989.67	-1,598.01	0.00
200.0000	589400100	MERCURY GENERAL CORP	03/26/2008	11/27/2009	7,315.09	8,814.66	-1,499.57	0.00
100.0000	589400100	MERCURY GENERAL CORP	03/28/2008	11/30/2009	3,641.13	4,397.07	-755.94	0.00
600.0000	594918104	MICROSOFT CORP	11/22/1999	03/31/2009	11,085.65	18,556.07	-7,470.42	0.00
300.0000	594918104	MICROSOFT CORP	03/23/2007	05/21/2009	5,896.58	8,428.02	-2,531.44	0.00
300.0000	594918104	MICROSOFT CORP	03/23/2007	12/08/2009	8,862.28	8,428.02	434.26	0.00
100.0000	641069406	NESTLE NAM SPON ADR	10/12/2006	10/02/2009	4,180.45	3,481.40	699.05	0.00
100.0000	641069406	NESTLE NAM SPON ADR	10/07/2005	12/08/2009	4,739.87	2,986.40	1,753.47	0.00
5000.0000	652482AM2	NEWS AMER INC 7.25% 05/18/2018	04/04/2007	08/21/2009	5,552.65	5,582.75	-30.10	0.00
15000.0000	652482AM2	NEWS AMER INC 7.25% 05/18/2018	04/04/2007	09/08/2009	17,005.80	16,748.25	257.55	0.00
300.0000	655864100	NORDSTROM INC	12/04/2007	10/20/2009	10,586.12	10,826.36	-240.24	0.00
200.0000	655864100	NORDSTROM INC	12/20/2007	10/21/2009	6,993.48	6,683.98	309.48	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
Recipient's Tax ID number: XX-XXX4969

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
C/O PIMTAX, 6455 IRVINE CENTER DR, C-28
IRVINE, CA 92618

Recipient's Name and Address
THE H B B FOUNDATION
BABSON FARMS, INC
400 N MICHIGAN AVENUE SUITE 1120
CHICAGO, IL 60611

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
300.0000	655664100	NORDSTROM INC	01/14/2008	10/22/2009	10,316.52	9,479.34	837.18	0.00
300.0000	655664100	NORDSTROM INC	01/11/2008	10/22/2009	10,302.57	9,485.12	837.45	0.00
100.0000	655664100	NORDSTROM INC	01/15/2008	10/23/2009	3,394.03	3,025.55	368.48	0.00
100.0000	655664100	NORDSTROM INC	01/15/2008	10/26/2009	3,395.87	3,025.55	370.32	0.00
100.0000	655664100	NORDSTROM INC	01/15/2008	10/27/2009	3,247.92	3,025.55	222.37	0.00
100.0000	655664100	NORDSTROM INC	01/15/2008	10/28/2009	3,108.09	3,025.55	82.54	0.00
100.0000	670346105	NUCOR CORP	10/14/2008	12/08/2009	4,246.89	3,403.37	843.52	0.00
400.0000	681919106	OMNICOM GROUP INC	10/07/2005	05/18/2009	12,616.79	15,794.00	-3,177.21	0.00
100.0000	681919106	OMNICOM GROUP INC	10/07/2005	05/29/2009	3,003.88	3,948.50	-944.62	0.00
175.0000	681919106	OMNICOM GROUP INC	10/07/2005	06/01/2009	5,636.44	6,909.88	-1,273.44	0.00
100.0000	704326107	PAYCHEX INC	05/11/2007	06/18/2009	2,668.85	3,871.69	-1,202.84	0.00
100.0000	704326107	PAYCHEX INC	05/10/2007	12/08/2009	3,201.91	3,859.06	-657.15	0.00
40704.3414	69361UAB7	PEGIF 2005-1 A2 4.34% 06-16-2014	03/21/2007	06/15/2009	6,864.95	6,753.39	111.56	0.00
50000.0000	69361UAB7	PEGIF 2005-1 A2 4.34% 06-16-2014	03/21/2007	11/16/2009	42,160.79	40,042.90	2,117.89	0.00
100.0000	713448108	PEPSICO INC	10/23/2007	09/02/2009	5,649.03	7,088.29	-1,439.26	0.00
100.0000	713448108	PEPSICO INC	10/23/2007	12/08/2009	6,348.33	7,088.29	-739.96	0.00
100.0000	718172109	PHILIP MORRIS INTERNATIONAL INC	04/29/2008	12/08/2009	4,863.87	5,195.91	-332.04	0.00
60.0000	723484101	PINNACLE WEST CAPITAL CORP	08/29/2007	01/16/2009	1,963.36	2,350.31	-386.95	0.00
100.0000	723484101	PINNACLE WEST CAPITAL CORP	08/28/2007	01/20/2009	3,256.54	3,897.38	-640.84	0.00
40.0000	723484101	PINNACLE WEST CAPITAL CORP	08/28/2007	01/21/2009	1,292.92	1,553.67	-260.75	0.00

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2009 Tax Information Statement

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CAPITAL GUARDIAN TRUST COMPANY
C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
IRVINE, CA 92618

Account Number: 70-698800
Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
THE H B B FOUNDATION
BABSON FARMS, INC
400 N MICHIGAN AVENUE SUITE 1120
CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100.0000	73172K104	POLYCOM INC	10/08/2007	10/02/2009	2,569.27	2,565.21	4.06	0.00
100.0000	780259206	ROYAL DUTCH SHELL CL A ADR	10/07/2005	12/08/2009	5,949.84	6,293.08	-343.24	0.00
100.0000	803054204	SAP AG SPON ADR	05/16/2007	09/03/2009	4,816.57	4,648.24	168.33	0.00
400.0000	803054204	SAP AG SPON ADR	10/07/2005	11/19/2009	19,339.82	18,238.72	1,101.10	0.00
100.0000	803111103	SARA LEE CORP	12/20/2007	05/20/2009	966.72	1,606.00	-639.28	0.00
100.0000	803111103	SARA LEE CORP	12/20/2007	05/21/2009	948.60	1,606.00	-657.40	0.00
100.0000	803111103	SARA LEE CORP	12/20/2007	05/22/2009	952.98	1,606.00	-653.02	0.00
100.0000	803111103	SARA LEE CORP	06/06/2006	05/26/2009	937.47	1,481.36	-543.89	0.00
100.0000	803111103	SARA LEE CORP	06/06/2006	05/27/2009	922.02	1,481.36	-559.34	0.00
100.0000	803111103	SARA LEE CORP	06/07/2006	05/28/2009	896.72	1,480.57	-583.85	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	05/28/2009	899.47	1,480.26	-580.79	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	05/29/2009	896.47	1,480.26	-583.79	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	05/29/2009	899.65	1,480.26	-580.61	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	06/01/2009	904.19	1,480.26	-576.07	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	06/02/2009	918.71	1,480.26	-561.55	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	06/03/2009	908.60	1,480.26	-571.66	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	06/04/2009	913.51	1,480.27	-566.76	0.00
200.0000	803111103	SARA LEE CORP	06/02/2006	06/11/2009	1,828.27	2,960.53	-1,132.26	0.00
100.0000	803111103	SARA LEE CORP	06/02/2006	06/12/2009	897.20	1,480.26	-583.06	0.00
100.0000	803111103	SARA LEE CORP	06/09/2006	06/18/2009	906.99	1,477.84	-570.85	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100.0000	803111103	SARA LEE CORP	06/12/2006	06/22/2009	896.53	1,472.91	-576.38	0.00
200.0000	803111103	SARA LEE CORP	06/19/2006	06/23/2009	1,809.73	2,905.67	-1,095.94	0.00
600.0000	803111103	SARA LEE CORP	07/10/2006	06/24/2009	5,590.59	8,552.78	-2,962.19	0.00
100.0000	803111103	SARA LEE CORP	06/26/2006	06/25/2009	932.88	1,390.82	-457.94	0.00
15000.0000	78387GAL7	SBC COMMUNICATIONS NT 5.625% 06-15-16	02/01/2007	04/14/2009	15,331.95	14,909.00	422.95	0.00
10000.0000	806605AG6	SCHERING PLOUGH 6.75% 12/01/2033	03/03/2008	07/24/2009	10,677.30	10,400.00	277.30	0.00
300.0000	844741108	SOUTHWEST AIRLINES CO	10/07/2005	11/05/2009	2,501.03	4,557.00	-2,055.97	0.00
300.0000	844741108	SOUTHWEST AIRLINES CO	10/07/2005	11/05/2009	2,504.90	4,557.00	-2,052.10	0.00
1000.0000	844741108	SOUTHWEST AIRLINES CO	10/07/2005	11/06/2009	8,440.58	15,084.54	-6,643.96	0.00
600.0000	844741108	SOUTHWEST AIRLINES CO	12/19/2007	11/06/2009	5,065.36	7,667.45	-2,602.09	0.00
200.0000	847560109	SPECTRA ENERGY CORP	10/17/2005	12/08/2009	3,913.89	4,283.23	-369.34	0.00
200.0000	254687106	THE WALT DISNEY CO	02/04/2008	12/08/2009	6,116.34	6,163.24	-46.90	0.00
10000.0000	884903BB0	THOMSON REUTERS CORP 6.5% 07/15/2018	06/27/2008	08/21/2009	11,043.80	10,000.31	1,043.49	0.00
5000.0000	884903BB0	THOMSON REUTERS CORP 6.5% 07/15/2018	06/27/2008	12/17/2009	5,745.00	4,985.91	759.09	0.00
2760	88732J207	TIME WARNER CABLE INC	11/26/2002	03/30/2009	7.51	13.66	-6.15	0.00
100.0000	88732J207	TIME WARNER CABLE INC	10/26/2001	04/09/2009	2,729.42	7,558.89	-4,829.47	0.00
134.0000	88732J207	TIME WARNER CABLE INC	11/26/2002	04/09/2009	3,669.85	6,988.53	-3,298.68	0.00
5000.0000	88732JAP3	TIME WARNER CABLE INC 8.75% 02/14/2019	11/13/2008	12/17/2009	6,210.90	4,931.36	1,279.54	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

☒ Corrected ☐ 2nd TIN notice

Revised: 04/01/2010

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
3330	887317303	TIME WARNER INC	10/26/2001	03/30/2009	7.37	24.83	-17.46	0.00
200.0000	887317303	TIME WARNER INC	10/26/2001	05/19/2009	4,832.87	13,718.46	-8,885.59	0.00
100.0000	887317303	TIME WARNER INC	11/04/2005	05/19/2009	2,471.82	3,914.10	-1,442.28	0.00
100.0000	887317303	TIME WARNER INC	11/04/2005	12/08/2009	3,073.92	3,914.10	-840.18	0.00
15000.0000	887317AC9	TIME WARNER INC 5.875% 11/15/16	02/07/2008	12/17/2009	16,462.05	14,607.10	1,854.95	0.00
5000.0000	89352HAC3	TRANS CANADA PIPELINES 6.35% 05/15/2067	07/01/2008	08/04/2009	4,000.00	4,188.98	-188.98	0.00
200.0000	907818108	UNION PACIFIC CORP	10/07/2005	06/11/2009	10,652.56	7,024.00	3,628.56	0.00
100.0000	907818108	UNION PACIFIC CORP	10/07/2005	12/08/2009	6,355.33	3,512.00	2,843.33	0.00
10000.0000	907818CZ9	UNION PACIFIC CORP 5.75% 11/15/2017	10/25/2007	02/09/2009	9,699.60	9,992.81	-293.21	0.00
100.0000	911312106	UNITED PARCEL SERVICE INC CL B	03/03/2006	05/20/2009	5,259.88	7,589.67	-2,329.79	0.00
200.0000	913017109	UNITED TECHNOLOGIES CORP	10/07/2005	02/26/2009	8,294.87	9,990.00	-1,695.13	0.00
300.0000	913017109	UNITED TECHNOLOGIES CORP	10/07/2005	02/27/2009	12,284.42	14,985.00	-2,700.58	0.00
100.0000	913017109	UNITED TECHNOLOGIES CORP	10/07/2005	03/03/2009	3,915.25	4,995.00	-1,079.75	0.00
100.0000	913017109	UNITED TECHNOLOGIES CORP	10/07/2005	03/04/2009	3,942.24	4,995.00	-1,052.76	0.00
200.0000	913017109	UNITED TECHNOLOGIES CORP	10/07/2005	12/08/2009	13,641.14	9,990.00	3,651.14	0.00
130000.0000	912828AU4	US TREASURY BOND 3.875% 02/15/2013	10/16/2008	12/18/2009	140,074.56	136,373.05	3,701.51	0.00
115000.0000	912810EQ7	US TREASURY BOND 6.25% 08/15/2023	06/30/2008	12/14/2009	140,587.04	138,073.91	2,513.13	0.00
125000.0000	912828GC8	US TREASURY NOTE 4.625% 12/31/2011	12/14/2007	12/17/2009	134,594.31	130,219.73	4,374.58	0.00
25.0000	929160109	VULCAN MATERIALS CO	10/31/2007	09/16/2009	1,511.08	2,122.17	-611.09	0.00

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2009 Tax Information Statement

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Account Number: 70-698800
 Recipient's Tax ID number: XX-XXX4969

☒ Corrected ☐ 2nd TIN notice
 Revised: 04/01/2010

Recipient's Name and Address
 THE H B B FOUNDATION
 BABSON FARMS, INC
 400 N MICHIGAN AVENUE SUITE 1120
 CHICAGO, IL 60611

CAPITAL GUARDIAN TRUST COMPANY
 C/O PIMTAX, 6455 IRVINE CENTER DR, C-2B
 IRVINE, CA 92618

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
100.0000	929160109	VULCAN MATERIALS CO	10/31/2007	09/16/2009	6,041.34	8,444.71	-2,403.37	0.00
1275.0000	949746101	WELLS FARGO & CO (NEW)	01/14/2005	01/30/2009	24,229.19	38,179.13	-13,949.94	0.00
225.0000	949746101	WELLS FARGO & CO (NEW)	10/07/2005	02/02/2009	4,216.09	6,573.37	-2,357.28	0.00
5000.0000	969457BG4	WILLIAMS COS INC 7.875% 09/01/2021	01/10/2008	02/11/2009	4,900.00	5,512.50	-612.50	0.00
5000.0000	969457BG4	WILLIAMS COS INC 7.875% 09/01/2021	01/10/2008	02/12/2009	4,925.00	5,512.50	-587.50	0.00
5000.0000	969457BG4	WILLIAMS COS INC 7.875% 09/01/2021	01/10/2008	02/13/2009	4,893.75	5,512.50	-618.75	0.00
10000.0000	969457BM1	WILLIAMS COS INC 8.75% 03/15/2032	11/16/2007	02/11/2009	9,500.00	12,015.00	-2,515.00	0.00
200.0000	98985T109	ZYMOGENETICS INC	03/16/2006	03/06/2009	707.35	4,371.89	-3,664.54	0.00
300.0000	98985T109	ZYMOGENETICS INC	12/20/2005	03/09/2009	992.81	5,530.38	-4,537.57	0.00
100.0000	98985T109	ZYMOGENETICS INC	12/20/2007	03/10/2009	330.70	1,244.00	-913.30	0.00
Total Long Term 15% Sales					1,985,672.99	2,228,097.27	-242,424.28	0.00

This is important tax information and is being furnished to you.

Standard Realized Capital Gain/Loss

January 01, 2009 to December 31, 2009

HBB FOUNDATION

Managed

145-43287

Reporting Currency: USD

Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
08/30/1993	3/16/2009	2,000.000	STT	STATE STREET CORP	17,247.50	46,201.34	0.00	28,953.84	28,953.84
02/21/1995	3/16/2009	2,000.000	STT	STATE STREET CORP	15,872.50	46,201.34	0.00	30,328.84	30,328.84
12/20/2006	5/15/2009	100,000.000	912828FE5	US TREASURY N/B FIXED COUPON 4.875000 MATURITY 05/15/2009	100,553.91	100,000.00	0.00	(553.91)	(553.91)
12/20/2006	8/15/2009	100,000.000	912828FP0	US TREASURY N/B FIXED COUPON 4.875000 MATURITY 08/15/2009	100,643.75	100,000.00	0.00	(643.75)	(643.75)
10/14/1993	10/8/2009	18,416.206	BIFX	WILLIAM BLAIR INCOME FUND-I	200,000.00	167,587.47	0.00	(32,412.53)	(32,412.53)
08/30/1993	10/8/2009	2,996.977	BIFX	WILLIAM BLAIR INCOME FUND-I	32,547.17	27,272.49	0.00	(5,274.68)	(5,274.68)
04/19/1993	10/8/2009	4,629.630	BIFX	WILLIAM BLAIR INCOME FUND-I	50,000.00	42,129.63	0.00	(7,870.37)	(7,870.37)
04/22/1993	10/8/2009	89.120	BIFX	WILLIAM BLAIR INCOME FUND-I	961.61	810.99	0.00	(150.62)	(150.62)
10/26/1993	10/8/2009	116.767	BIFX	WILLIAM BLAIR INCOME FUND-I	1,258.75	1,062.58	0.00	(196.17)	(196.17)
10/21/1993	10/8/2009	202.272	BIFX	WILLIAM BLAIR INCOME FUND-I	2,180.50	1,840.68	0.00	(339.82)	(339.82)
09/23/1993	10/8/2009	200.998	BIFX	WILLIAM BLAIR INCOME FUND-I	2,166.76	1,829.08	0.00	(337.68)	(337.68)
07/29/1993	10/8/2009	120.645	BIFX	WILLIAM BLAIR INCOME FUND-I	1,300.55	1,097.87	0.00	(202.68)	(202.68)
08/23/1993	10/8/2009	121.635	BIFX	WILLIAM BLAIR INCOME FUND-I	1,308.79	1,106.88	0.00	(201.91)	(201.91)
06/23/1993	10/8/2009	120.328	BIFX	WILLIAM BLAIR INCOME FUND-I	1,292.32	1,094.98	0.00	(197.34)	(197.34)
03/22/1993	10/8/2009	88.969	BIFX	WILLIAM BLAIR INCOME FUND-I	955.53	809.62	0.00	(145.91)	(145.91)
05/24/1993	10/8/2009	119.678	BIFX	WILLIAM BLAIR INCOME FUND-I	1,284.14	1,089.07	0.00	(195.07)	(195.07)
02/17/1993	10/8/2009	13,980.000	BIFX	WILLIAM BLAIR INCOME FUND-I	150,005.40	127,218.00	0.00	(22,787.40)	(22,787.40)
06/17/2003	10/8/2009	454.684	BIFX	WILLIAM BLAIR INCOME FUND-I	4,869.67	4,137.62	0.00	(732.05)	(732.05)
11/22/1993	10/8/2009	284.212	BIFX	WILLIAM BLAIR INCOME FUND-I	3,038.23	2,586.33	0.00	(451.90)	(451.90)

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William Blair & Company

Standard Realized Capital Gain/Loss

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Managed

145-43287

Reporting Currency: USD

Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
05/16/2003	10/8/2009	453.837	BIFX	WILLIAM BLAIR INCOME FUND-I	4,846.98✓	4,129.92	0.00	(717.06)	(717.06)✓
01/24/1994	10/8/2009	127.299	BIFX	WILLIAM BLAIR INCOME FUND-I	1,351.92✓	1,158.42	0.00	(193.50)	(193.50)✓
10/16/1998	10/8/2009	365.302	BIFX	WILLIAM BLAIR INCOME FUND-I	3,875.85✓	3,324.25	0.00	(551.60)	(551.60)✓
02/21/1996	10/8/2009	296.140	BIFX	WILLIAM BLAIR INCOME FUND-I	3,136.12✓	2,694.87	0.00	(441.25)	(441.25)✓
02/19/2003	10/8/2009	429.396	BIFX	WILLIAM BLAIR INCOME FUND-I	4,543.01✓	3,907.50	0.00	(635.51)	(635.51)✓
09/17/2002	10/8/2009	420.331	BIFX	WILLIAM BLAIR INCOME FUND-I	4,447.10✓	3,825.01	0.00	(622.09)	(622.09)✓
10/16/2001	10/8/2009	420.271	BIFX	WILLIAM BLAIR INCOME FUND-I	4,446.47✓	3,824.47	0.00	(622.00)	(622.00)✓
01/19/1996	10/8/2009	170.099	BIFX	WILLIAM BLAIR INCOME FUND-I	1,799.65✓	1,547.90	0.00	(251.75)	(251.75)✓
12/23/1993	10/8/2009	580.446	BIFX	WILLIAM BLAIR INCOME FUND-I	6,141.12✓	5,282.06	0.00	(859.06)	(859.06)✓
04/16/2003	10/8/2009	434.912	BIFX	WILLIAM BLAIR INCOME FUND-I	4,583.97✓	3,957.70	0.00	(626.27)	(626.27)✓
03/18/2003	10/8/2009	432.961	BIFX	WILLIAM BLAIR INCOME FUND-I	4,563.41✓	3,939.95	0.00	(623.46)	(623.46)✓
01/16/2003	10/8/2009	429.092	BIFX	WILLIAM BLAIR INCOME FUND-I	4,522.63✓	3,904.74	0.00	(617.89)	(617.89)✓
09/16/1998	10/8/2009	365.899	BIFX	WILLIAM BLAIR INCOME FUND-I	3,856.64✓	3,329.68	0.00	(526.96)	(526.96)✓
11/18/2002	10/8/2009	381.114	BIFX	WILLIAM BLAIR INCOME FUND-I	4,013.13✓	3,468.14	0.00	(544.99)	(544.99)✓
12/26/1995	10/8/2009	576.432	BIFX	WILLIAM BLAIR INCOME FUND-I	6,069.83✓	5,245.53	0.00	(824.30)	(824.30)✓
07/16/2003	10/8/2009	465.058	BIFX	WILLIAM BLAIR INCOME FUND-I	4,892.41✓	4,232.03	0.00	(660.38)	(660.38)✓
12/17/2002	10/8/2009	405.547	BIFX	WILLIAM BLAIR INCOME FUND-I	4,266.35✓	3,690.48	0.00	(575.87)	(575.87)✓
02/22/1994	10/8/2009	257.655	BIFX	WILLIAM BLAIR INCOME FUND-I	2,710.53✓	2,344.66	0.00	(365.87)	(365.87)✓
11/24/1995	10/8/2009	338.114	BIFX	WILLIAM BLAIR INCOME FUND-I	3,553.50✓	3,076.84	0.00	(476.66)	(476.66)✓
09/18/2001	10/8/2009	505.287	BIFX	WILLIAM BLAIR INCOME FUND-I	5,305.45✓	4,598.11	0.00	(707.34)	(707.34)✓
01/19/1999	10/8/2009	188.101	BIFX	WILLIAM BLAIR INCOME FUND-I	1,973.18✓	1,711.72	0.00	(261.46)	(261.46)✓
01/16/2004	10/8/2009	230.012	BIFX	WILLIAM BLAIR INCOME FUND-I	2,410.53✓	2,093.11	0.00	(317.42)	(317.42)✓

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Reporting Currency: USD

Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
10/23/1995	10/8/2009	337.472	BIFX	WILLIAM BLAIR INCOME FUND-I	3,536.71 ✓	3,071.00	0.00	(465.71)	(465.71) ✓
02/18/2004	10/8/2009	576.679	BIFX	WILLIAM BLAIR INCOME FUND-I	6,037.83 ✓	5,247.78	0.00	(790.05)	(790.05) ✓
12/28/1998	10/8/2009	605.338	BIFX	WILLIAM BLAIR INCOME FUND-I	6,337.89 ✓	5,508.58	0.00	(829.31)	(829.31) ✓
01/21/1998	10/8/2009	177.376	BIFX	WILLIAM BLAIR INCOME FUND-I	1,857.13 ✓	1,614.12	0.00	(243.01)	(243.01) ✓
02/25/1994	10/8/2009	11,938.873	BIFX	WILLIAM BLAIR INCOME FUND-I	125,000.00 ✓	108,643.74	0.00	(16,356.26)	(16,356.26) ✓
11/18/1998	10/8/2009	372.374	BIFX	WILLIAM BLAIR INCOME FUND-I	3,895.03 ✓	3,388.60	0.00	(506.43)	(506.43) ✓
02/20/1998	10/8/2009	355.982	BIFX	WILLIAM BLAIR INCOME FUND-I	3,723.57 ✓	3,239.44	0.00	(484.13)	(484.13) ✓
03/16/2004	10/8/2009	493.460	BIFX	WILLIAM BLAIR INCOME FUND-I	5,156.66 ✓	4,490.49	0.00	(666.17)	(666.17) ✓
08/08/2003	10/8/2009	19,138.756	BIFX	WILLIAM BLAIR INCOME FUND-I	200,000.00 ✓	174,162.68	0.00	(25,837.32)	(25,837.32) ✓
08/16/2002	10/8/2009	445.824	BIFX	WILLIAM BLAIR INCOME FUND-I	4,658.86 ✓	4,057.00	0.00	(601.86)	(601.86) ✓
08/18/1998	10/8/2009	367.210	BIFX	WILLIAM BLAIR INCOME FUND-I	3,837.36 ✓	3,341.61	0.00	(495.75)	(495.75) ✓
06/16/1998	10/8/2009	363.545	BIFX	WILLIAM BLAIR INCOME FUND-I	3,799.06 ✓	3,308.26	0.00	(490.80)	(490.80) ✓
04/20/1998	10/8/2009	360.268	BIFX	WILLIAM BLAIR INCOME FUND-I	3,761.08 ✓	3,278.44	0.00	(482.64)	(482.64) ✓
03/19/1998	10/8/2009	358.454	BIFX	WILLIAM BLAIR INCOME FUND-I	3,742.26 ✓	3,261.93	0.00	(480.33)	(480.33) ✓
10/16/2002	10/8/2009	383.207	BIFX	WILLIAM BLAIR INCOME FUND-I	3,996.85 ✓	3,487.18	0.00	(509.67)	(509.67) ✓
08/16/2001	10/8/2009	442.858	BIFX	WILLIAM BLAIR INCOME FUND-I	4,619.01 ✓	4,030.01	0.00	(589.00)	(589.00) ✓
07/16/1998	10/8/2009	366.071	BIFX	WILLIAM BLAIR INCOME FUND-I	3,818.14 ✓	3,331.25	0.00	(486.89)	(486.89) ✓
11/18/2003	10/8/2009	600.365	BIFX	WILLIAM BLAIR INCOME FUND-I	6,255.80 ✓	5,463.32	0.00	(792.48)	(792.48) ✓
09/16/2003	10/8/2009	566.312	BIFX	WILLIAM BLAIR INCOME FUND-I	5,900.97 ✓	5,153.44	0.00	(747.53)	(747.53) ✓
07/16/2002	10/8/2009	444.972	BIFX	WILLIAM BLAIR INCOME FUND-I	4,636.61 ✓	4,049.25	0.00	(587.36)	(587.36) ✓
11/20/1997	10/8/2009	351.039	BIFX	WILLIAM BLAIR INCOME FUND-I	3,657.83 ✓	3,194.45	0.00	(463.38)	(463.38) ✓
03/21/1994	10/8/2009	321.579	BIFX	WILLIAM BLAIR INCOME FUND-I	3,350.85 ✓	2,926.37	0.00	(424.48)	(424.48) ✓

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Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
01/16/2002	10/8/2009	217.171	BIFX	WILLIAM BLAIR INCOME FUND-I	2,260.75✓	1,976.26	0.00	(284.49)	(284.49)✓
03/16/2001	10/8/2009	412.126	BIFX	WILLIAM BLAIR INCOME FUND-I	4,290.23✓	3,750.35	0.00	(539.88)	(539.88)✓
05/18/1998	10/8/2009	363.110	BIFX	WILLIAM BLAIR INCOME FUND-I	3,779.99✓	3,304.30	0.00	(475.69)	(475.69)✓
09/25/1995	10/8/2009	321.288	BIFX	WILLIAM BLAIR INCOME FUND-I	3,344.61✓	2,923.72	0.00	(420.89)	(420.89)✓
06/18/2002	10/8/2009	443.695	BIFX	WILLIAM BLAIR INCOME FUND-I	4,614.43✓	4,037.62	0.00	(576.81)	(576.81)✓
12/26/1997	10/8/2009	723.806	BIFX	WILLIAM BLAIR INCOME FUND-I	7,527.58✓	6,586.63	0.00	(940.95)	(940.95)✓
02/17/1999	10/8/2009	380.773	BIFX	WILLIAM BLAIR INCOME FUND-I	3,956.23✓	3,465.03	0.00	(491.20)	(491.20)✓
12/16/2003	10/8/2009	768.161	BIFX	WILLIAM BLAIR INCOME FUND-I	7,973.51✓	6,990.27	0.00	(983.24)	(983.24)✓
09/18/1997	10/8/2009	348.853	BIFX	WILLIAM BLAIR INCOME FUND-I	3,621.09✓	3,174.56	0.00	(446.53)	(446.53)✓
06/18/2001	10/8/2009	419.743	BIFX	WILLIAM BLAIR INCOME FUND-I	4,352.74✓	3,819.66	0.00	(533.08)	(533.08)✓
10/20/1997	10/8/2009	350.955	BIFX	WILLIAM BLAIR INCOME FUND-I	3,639.40✓	3,193.69	0.00	(445.71)	(445.71)✓
10/16/2003	10/8/2009	572.324	BIFX	WILLIAM BLAIR INCOME FUND-I	5,929.28✓	5,208.15	0.00	(721.13)	(721.13)✓
02/19/2002	10/8/2009	437.486	BIFX	WILLIAM BLAIR INCOME FUND-I	4,532.36✓	3,981.12	0.00	(551.24)	(551.24)✓
07/17/2001	10/8/2009	506.610	BIFX	WILLIAM BLAIR INCOME FUND-I	5,248.48✓	4,610.15	0.00	(638.33)	(638.33)✓
03/20/1996	10/8/2009	304.143	BIFX	WILLIAM BLAIR INCOME FUND-I	3,150.92✓	2,767.70	0.00	(383.22)	(383.22)✓
07/25/1995	10/8/2009	319.889	BIFX	WILLIAM BLAIR INCOME FUND-I	3,314.05✓	2,910.99	0.00	(403.06)	(403.06)✓
08/18/2003	10/8/2009	567.401	BIFX	WILLIAM BLAIR INCOME FUND-I	5,872.60✓	5,163.35	0.00	(709.25)	(709.25)✓
11/16/2001	10/8/2009	453.223	BIFX	WILLIAM BLAIR INCOME FUND-I	4,690.86✓	4,124.33	0.00	(566.53)	(566.53)✓
03/16/1999	10/8/2009	384.176	BIFX	WILLIAM BLAIR INCOME FUND-I	3,976.22✓	3,496.00	0.00	(480.22)	(480.22)✓
08/20/1997	10/8/2009	348.098	BIFX	WILLIAM BLAIR INCOME FUND-I	3,602.81✓	3,167.69	0.00	(435.12)	(435.12)✓
04/18/1996	10/8/2009	306.202	BIFX	WILLIAM BLAIR INCOME FUND-I	3,166.13✓	2,786.44	0.00	(379.69)	(379.69)✓
04/17/2001	10/8/2009	417.311	BIFX	WILLIAM BLAIR INCOME FUND-I	4,310.83✓	3,797.53	0.00	(513.30)	(513.30)✓

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04/16/1999	10/8/2009	386.872	BIFX	WILLIAM BLAIR INCOME FUND-I	3,996.39 ✓	3,520.54	0.00	(475.85)	(475.85) ✓
07/18/1997	10/8/2009	347.009	BIFX	WILLIAM BLAIR INCOME FUND-I	3,584.60 ✓	3,157.78	0.00	(426.82)	(426.82) ✓
11/20/1996	10/8/2009	332.987	BIFX	WILLIAM BLAIR INCOME FUND-I	3,439.76 ✓	3,030.18	0.00	(409.58)	(409.58) ✓
06/23/1995	10/8/2009	402.903	BIFX	WILLIAM BLAIR INCOME FUND-I	4,161.99 ✓	3,666.42	0.00	(495.57)	(495.57) ✓
12/27/2001	10/8/2009	627.140	BIFX	WILLIAM BLAIR INCOME FUND-I	6,465.81 ✓	5,706.97	0.00	(758.84)	(758.84) ✓
02/21/1997	10/8/2009	338.887	BIFX	WILLIAM BLAIR INCOME FUND-I	3,493.92 ✓	3,083.87	0.00	(410.05)	(410.05) ✓
05/16/2001	10/8/2009	420.961	BIFX	WILLIAM BLAIR INCOME FUND-I	4,331.69 ✓	3,830.75	0.00	(500.94)	(500.94) ✓
08/23/1995	10/8/2009	323.541	BIFX	WILLIAM BLAIR INCOME FUND-I	3,329.24 ✓	2,944.22	0.00	(385.02)	(385.02) ✓
05/16/2002	10/8/2009	446.701	BIFX	WILLIAM BLAIR INCOME FUND-I	4,592.09 ✓	4,064.98	0.00	(527.11)	(527.11) ✓
02/16/2001	10/8/2009	427.714	BIFX	WILLIAM BLAIR INCOME FUND-I	4,396.90 ✓	3,892.20	0.00	(504.70)	(504.70) ✓
01/17/2001	10/8/2009	207.124	BIFX	WILLIAM BLAIR INCOME FUND-I	2,129.24 ✓	1,884.83	0.00	(244.41)	(244.41) ✓
12/27/1996	10/8/2009	528.483	BIFX	WILLIAM BLAIR INCOME FUND-I	5,432.81 ✓	4,809.20	0.00	(623.61)	(623.61) ✓
05/20/1996	10/8/2009	309.479	BIFX	WILLIAM BLAIR INCOME FUND-I	3,181.44 ✓	2,816.26	0.00	(365.18)	(365.18) ✓
04/16/2002	10/8/2009	400.667	BIFX	WILLIAM BLAIR INCOME FUND-I	4,114.85 ✓	3,646.07	0.00	(468.78)	(468.78) ✓
06/19/1997	10/8/2009	347.260	BIFX	WILLIAM BLAIR INCOME FUND-I	3,566.36 ✓	3,160.07	0.00	(406.29)	(406.29) ✓
04/16/2004	10/8/2009	534.327	BIFX	WILLIAM BLAIR INCOME FUND-I	5,482.20 ✓	4,862.38	0.00	(619.82)	(619.82) ✓
12/26/2000	10/8/2009	802.556	BIFX	WILLIAM BLAIR INCOME FUND-I	8,234.23 ✓	7,303.26	0.00	(930.97)	(930.97) ✓
08/20/1996	10/8/2009	314.649	BIFX	WILLIAM BLAIR INCOME FUND-I	3,228.30 ✓	2,863.31	0.00	(364.99)	(364.99) ✓
05/23/1995	10/8/2009	403.685	BIFX	WILLIAM BLAIR INCOME FUND-I	4,137.77 ✓	3,673.53	0.00	(464.24)	(464.24) ✓
01/20/1997	10/8/2009	170.166	BIFX	WILLIAM BLAIR INCOME FUND-I	1,742.50 ✓	1,548.51	0.00	(193.99)	(193.99) ✓
10/18/2004	10/8/2009	519.712	BIFX	WILLIAM BLAIR INCOME FUND-I	5,316.65 ✓	4,729.38	0.00	(587.27)	(587.27) ✓
09/16/2004	10/8/2009	547.873	BIFX	WILLIAM BLAIR INCOME FUND-I	5,604.74 ✓	4,985.64	0.00	(619.10)	(619.10) ✓

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10/18/1996	10/8/2009	318.674	BIFX	WILLIAM BLAIR INCOME FUND-I	3,260.03 ✓	2,899.93	0.00	(360.10)	(360.10) ✓
04/21/1994	10/8/2009	329.201	BIFX	WILLIAM BLAIR INCOME FUND-I	3,367.73 ✓	2,995.73	0.00	(372.00)	(372.00) ✓
03/18/2002	10/8/2009	356.496	BIFX	WILLIAM BLAIR INCOME FUND-I	3,643.39 ✓	3,244.11	0.00	(399.28)	(399.28) ✓
07/18/1996	10/8/2009	314.343	BIFX	WILLIAM BLAIR INCOME FUND-I	3,212.59 ✓	2,860.52	0.00	(352.07)	(352.07) ✓
05/20/1997	10/8/2009	347.853	BIFX	WILLIAM BLAIR INCOME FUND-I	3,548.10 ✓	3,165.46	0.00	(382.64)	(382.64) ✓
06/20/1996	10/8/2009	313.423	BIFX	WILLIAM BLAIR INCOME FUND-I	3,196.91 ✓	2,852.15	0.00	(344.76)	(344.76) ✓
08/17/2004	10/8/2009	547.605	BIFX	WILLIAM BLAIR INCOME FUND-I	5,580.10 ✓	4,983.21	0.00	(596.89)	(596.89) ✓
05/18/1999	10/8/2009	394.181	BIFX	WILLIAM BLAIR INCOME FUND-I	4,016.70 ✓	3,587.05	0.00	(429.65)	(429.65) ✓
03/20/1997	10/8/2009	344.624	BIFX	WILLIAM BLAIR INCOME FUND-I	3,511.72 ✓	3,136.08	0.00	(375.64)	(375.64) ✓
07/16/2004	10/8/2009	546.265	BIFX	WILLIAM BLAIR INCOME FUND-I	5,555.52 ✓	4,971.01	0.00	(584.51)	(584.51) ✓
11/16/2004	10/8/2009	525.467	BIFX	WILLIAM BLAIR INCOME FUND-I	5,338.74 ✓	4,781.75	0.00	(556.99)	(556.99) ✓
07/16/1999	10/8/2009	399.840	BIFX	WILLIAM BLAIR INCOME FUND-I	4,058.38 ✓	3,638.54	0.00	(419.84)	(419.84) ✓
12/16/2004	10/8/2009	803.632	BIFX	WILLIAM BLAIR INCOME FUND-I	8,148.83 ✓	7,313.05	0.00	(835.78)	(835.78) ✓
09/19/1996	10/8/2009	319.925	BIFX	WILLIAM BLAIR INCOME FUND-I	3,244.04 ✓	2,911.32	0.00	(332.72)	(332.72) ✓
04/25/1995	10/8/2009	405.664	BIFX	WILLIAM BLAIR INCOME FUND-I	4,113.43 ✓	3,691.54	0.00	(421.89)	(421.89) ✓
06/23/1994	10/8/2009	335.556	BIFX	WILLIAM BLAIR INCOME FUND-I	3,402.54 ✓	3,053.56	0.00	(348.98)	(348.98) ✓
05/23/1994	10/8/2009	333.827	BIFX	WILLIAM BLAIR INCOME FUND-I	3,385.01 ✓	3,037.83	0.00	(347.18)	(347.18) ✓
01/19/2005	10/8/2009	313.293	BIFX	WILLIAM BLAIR INCOME FUND-I	3,173.66 ✓	2,850.97	0.00	(322.69)	(322.69) ✓
04/18/1997	10/8/2009	348.451	BIFX	WILLIAM BLAIR INCOME FUND-I	3,529.81 ✓	3,170.90	0.00	(358.91)	(358.91) ✓
07/25/1994	10/8/2009	337.627	BIFX	WILLIAM BLAIR INCOME FUND-I	3,420.16 ✓	3,072.41	0.00	(347.75)	(347.75) ✓
02/16/2005	10/8/2009	472.032	BIFX	WILLIAM BLAIR INCOME FUND-I	4,772.24 ✓	4,295.49	0.00	(476.75)	(476.75) ✓
03/23/1995	10/8/2009	404.467	BIFX	WILLIAM BLAIR INCOME FUND-I	4,089.16 ✓	3,680.65	0.00	(408.51)	(408.51) ✓

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William Blair & Company*

Standard Realized Capital Gain/Loss

January 01, 2009 to December 31, 2009

HBB FOUNDATION

Managed

145-43287

Reporting Currency: USD

Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
08/23/1994	10/8/2009	340.047	BIFX	WILLIAM BLAIR INCOME FUND-I	3,437.88✓	3,094.43	0.00	(343.45)	(343.45)✓
06/16/1999	10/8/2009	399.743	BIFX	WILLIAM BLAIR INCOME FUND-I	4,037.40✓	3,637.56	0.00	(399.74)	(399.74)✓
05/18/2004	10/8/2009	576.031	BIFX	WILLIAM BLAIR INCOME FUND-I	5,812.15✓	5,241.88	0.00	(570.27)	(570.27)✓
06/16/2004	10/8/2009	518.850	BIFX	WILLIAM BLAIR INCOME FUND-I	5,224.82✓	4,721.53	0.00	(503.29)	(503.29)✓
11/16/2000	10/8/2009	441.713	BIFX	WILLIAM BLAIR INCOME FUND-I	4,448.04✓	4,019.59	0.00	(428.45)	(428.45)✓
10/17/2000	10/8/2009	439.400	BIFX	WILLIAM BLAIR INCOME FUND-I	4,424.76✓	3,998.54	0.00	(426.22)	(426.22)✓
09/23/1994	10/8/2009	371.124	BIFX	WILLIAM BLAIR INCOME FUND-I	3,733.51✓	3,377.23	0.00	(356.28)	(356.28)✓
09/16/1999	10/8/2009	408.029	BIFX	WILLIAM BLAIR INCOME FUND-I	4,100.69✓	3,713.06	0.00	(387.63)	(387.63)✓
08/17/1999	10/8/2009	405.908	BIFX	WILLIAM BLAIR INCOME FUND-I	4,079.38✓	3,693.76	0.00	(385.62)	(385.62)✓
11/17/1999	10/8/2009	412.728	BIFX	WILLIAM BLAIR INCOME FUND-I	4,143.79✓	3,755.82	0.00	(387.97)	(387.97)✓
06/16/2005	10/8/2009	516.430	BIFX	WILLIAM BLAIR INCOME FUND-I	5,174.63✓	4,699.51	0.00	(475.12)	(475.12)✓
04/18/2005	10/8/2009	544.079	BIFX	WILLIAM BLAIR INCOME FUND-I	5,451.67✓	4,951.12	0.00	(500.55)	(500.55)✓
10/25/1994	10/8/2009	346.828	BIFX	WILLIAM BLAIR INCOME FUND-I	3,475.22✓	3,156.13	0.00	(319.09)	(319.09)✓
05/17/2005	10/8/2009	546.933	BIFX	WILLIAM BLAIR INCOME FUND-I	5,474.80✓	4,977.09	0.00	(497.71)	(497.71)✓
09/18/2000	10/8/2009	439.706	BIFX	WILLIAM BLAIR INCOME FUND-I	4,401.46✓	4,001.32	0.00	(400.14)	(400.14)✓
02/23/1995	10/8/2009	406.074	BIFX	WILLIAM BLAIR INCOME FUND-I	4,064.80✓	3,695.27	0.00	(369.53)	(369.53)✓
03/16/2005	10/8/2009	542.860	BIFX	WILLIAM BLAIR INCOME FUND-I	5,428.60✓	4,940.03	0.00	(488.57)	(488.57)✓
10/19/1999	10/8/2009	413.037	BIFX	WILLIAM BLAIR INCOME FUND-I	4,122.11✓	3,758.64	0.00	(363.47)	(363.47)✓
07/18/2005	10/8/2009	553.660	BIFX	WILLIAM BLAIR INCOME FUND-I	5,519.99✓	5,038.31	0.00	(481.68)	(481.68)✓
08/17/2000	10/8/2009	439.135	BIFX	WILLIAM BLAIR INCOME FUND-I	4,378.18✓	3,996.13	0.00	(382.05)	(382.05)✓
08/16/2005	10/8/2009	557.138	BIFX	WILLIAM BLAIR INCOME FUND-I	5,543.52✓	5,069.96	0.00	(473.56)	(473.56)✓
06/19/2000	10/8/2009	431.272	BIFX	WILLIAM BLAIR INCOME FUND-I	4,291.16✓	3,924.58	0.00	(366.58)	(366.58)✓

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William Blair & Company

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January 01, 2009 to December 31, 2009
HBB FOUNDATION

Managed
145-43287

Reporting Currency: USD

Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
09/16/2005	10/8/2009	560.080	BIFX	WILLIAM BLAIR INCOME FUND-I	5,567.20	5,096.73	0.00	(470.47)	(470.47)
04/19/2000	10/8/2009	427.141	BIFX	WILLIAM BLAIR INCOME FUND-I	4,245.78	3,886.98	0.00	(358.80)	(358.80)
07/19/2000	10/8/2009	439.444	BIFX	WILLIAM BLAIR INCOME FUND-I	4,354.89	3,998.94	0.00	(355.95)	(355.95)
12/27/1999	10/8/2009	462.843	BIFX	WILLIAM BLAIR INCOME FUND-I	4,586.77	4,211.87	0.00	(374.90)	(374.90)
01/25/1995	10/8/2009	204.467	BIFX	WILLIAM BLAIR INCOME FUND-I	2,026.27	1,860.65	0.00	(165.62)	(165.62)
03/17/2000	10/8/2009	426.604	BIFX	WILLIAM BLAIR INCOME FUND-I	4,223.38	3,882.10	0.00	(341.28)	(341.28)
11/23/1994	10/8/2009	353.586	BIFX	WILLIAM BLAIR INCOME FUND-I	3,493.43	3,217.63	0.00	(275.80)	(275.80)
10/18/2005	10/8/2009	600.394	BIFX	WILLIAM BLAIR INCOME FUND-I	5,919.88	5,463.59	0.00	(456.29)	(456.29)
01/18/2006	10/8/2009	306.095	BIFX	WILLIAM BLAIR INCOME FUND-I	3,008.91	2,785.46	0.00	(223.45)	(223.45)
02/17/2000	10/8/2009	427.360	BIFX	WILLIAM BLAIR INCOME FUND-I	4,200.95	3,888.98	0.00	(311.97)	(311.97)
01/20/2000	10/8/2009	213.111	BIFX	WILLIAM BLAIR INCOME FUND-I	2,094.88	1,939.31	0.00	(155.57)	(155.57)
11/16/2005	10/8/2009	605.591	BIFX	WILLIAM BLAIR INCOME FUND-I	5,946.90	5,510.88	0.00	(436.02)	(436.02)
12/22/1994	10/8/2009	647.152	BIFX	WILLIAM BLAIR INCOME FUND-I	6,355.03	5,889.08	0.00	(465.95)	(465.95)
12/15/2005	10/8/2009	970.266	BIFX	WILLIAM BLAIR INCOME FUND-I	9,498.90	8,829.42	0.00	(669.48)	(669.48)
05/17/2000	10/8/2009	437.317	BIFX	WILLIAM BLAIR INCOME FUND-I	4,268.21	3,979.58	0.00	(288.63)	(288.63)
03/16/2006	10/8/2009	621.162	BIFX	WILLIAM BLAIR INCOME FUND-I	6,056.33	5,652.57	0.00	(403.76)	(403.76)
02/16/2006	10/8/2009	549.888	BIFX	WILLIAM BLAIR INCOME FUND-I	5,361.41	5,003.98	0.00	(357.43)	(357.43)
11/17/2006	10/8/2009	611.230	BIFX	WILLIAM BLAIR INCOME FUND-I	5,935.04	5,562.19	0.00	(372.85)	(372.85)
02/20/2007	10/8/2009	620.807	BIFX	WILLIAM BLAIR INCOME FUND-I	6,021.83	5,649.34	0.00	(372.49)	(372.49)
12/21/2006	10/8/2009	1,138.846	BIFX	WILLIAM BLAIR INCOME FUND-I	11,046.81	10,363.50	0.00	(683.31)	(683.31)
03/19/2007	10/8/2009	660.886	BIFX	WILLIAM BLAIR INCOME FUND-I	6,403.99	6,014.06	0.00	(389.93)	(389.93)
10/18/2006	10/8/2009	645.522	BIFX	WILLIAM BLAIR INCOME FUND-I	6,255.11	5,874.25	0.00	(380.86)	(380.86)

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William Blair & Company

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January 01, 2009 to December 31, 2009

HBB FOUNDATION

Managed

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Purchase Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
01/18/2007	10/8/2009	292.145	BIFX	WILLIAM BLAIR INCOME FUND-I	2,827.96 ✓	2,658.52	0.00	(169.44)	(169.44) ✓
09/19/2006	10/8/2009	643.198	BIFX	WILLIAM BLAIR INCOME FUND-I	6,226.16 ✓	5,853.10	0.00	(373.06)	(373.06) ✓
08/17/2006	10/8/2009	605.432	BIFX	WILLIAM BLAIR INCOME FUND-I	5,854.53 ✓	5,509.43	0.00	(345.10)	(345.10) ✓
04/19/2006	10/8/2009	664.147	BIFX	WILLIAM BLAIR INCOME FUND-I	6,422.30 ✓	6,043.74	0.00	(378.56)	(378.56) ✓
05/17/2006	10/8/2009	634.250	BIFX	WILLIAM BLAIR INCOME FUND-I	6,114.17 ✓	5,771.67	0.00	(342.50)	(342.50) ✓
04/18/2007	10/8/2009	668.787	BIFX	WILLIAM BLAIR INCOME FUND-I	6,433.73 ✓	6,085.96	0.00	(347.77)	(347.77) ✓
05/17/2007	10/8/2009	709.982	BIFX	WILLIAM BLAIR INCOME FUND-I	6,822.93 ✓	6,460.84	0.00	(362.09)	(362.09) ✓
06/19/2006	10/8/2009	604.948	BIFX	WILLIAM BLAIR INCOME FUND-I	5,801.45 ✓	5,505.03	0.00	(296.42)	(296.42) ✓
07/19/2006	10/8/2009	644.044	BIFX	WILLIAM BLAIR INCOME FUND-I	6,169.94 ✓	5,860.80	0.00	(309.14)	(309.14) ✓
06/19/2007	10/8/2009	719.481	BIFX	WILLIAM BLAIR INCOME FUND-I	6,856.65 ✓	6,547.28	0.00	(309.37)	(309.37) ✓
07/18/2007	10/8/2009	693.010	BIFX	WILLIAM BLAIR INCOME FUND-I	6,528.15 ✓	6,306.39	0.00	(221.76)	(221.76) ✓
01/16/2008	10/8/2009	317.736	BIFX	WILLIAM BLAIR INCOME FUND-I	2,986.72 ✓	2,891.40	0.00	(95.32)	(95.32) ✓
02/20/2008	10/8/2009	638.181	BIFX	WILLIAM BLAIR INCOME FUND-I	5,986.14 ✓	5,807.45	0.00	(178.69)	(178.69) ✓
11/19/2007	10/8/2009	709.222	BIFX	WILLIAM BLAIR INCOME FUND-I	6,652.50 ✓	6,453.92	0.00	(198.58)	(198.58) ✓
08/17/2007	10/8/2009	660.440	BIFX	WILLIAM BLAIR INCOME FUND-I	6,194.93 ✓	6,010.00	0.00	(184.93)	(184.93) ✓
10/17/2007	10/8/2009	667.508	BIFX	WILLIAM BLAIR INCOME FUND-I	6,254.55 ✓	6,074.32	0.00	(180.23)	(180.23) ✓
09/19/2007	10/8/2009	742.275	BIFX	WILLIAM BLAIR INCOME FUND-I	6,955.12 ✓	6,754.70	0.00	(200.42)	(200.42) ✓
03/19/2008	10/8/2009	683.876	BIFX	WILLIAM BLAIR INCOME FUND-I	6,387.40 ✓	6,223.27	0.00	(164.13)	(164.13) ✓
12/20/2007	10/8/2009	793.243	BIFX	WILLIAM BLAIR INCOME FUND-I	7,345.43 ✓	7,218.51	0.00	(126.92)	(126.92) ✓
04/17/2008	10/8/2009	531.029	BIFX	WILLIAM BLAIR INCOME FUND-I	4,906.71 ✓	4,832.36	0.00	(74.35)	(74.35) ✓
05/19/2008	10/8/2009	616.884	BIFX	WILLIAM BLAIR INCOME FUND-I	5,681.50 ✓	5,613.64	0.00	(67.86)	(67.86) ✓
07/17/2008	10/8/2009	632.265	BIFX	WILLIAM BLAIR INCOME FUND-I	5,728.32 ✓	5,753.61	0.00	25.29	25.29 ✓

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William Blair & Company

Standard Realized Capital Gain/Loss

January 01, 2009 to December 31, 2009

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Purchase

Date	Sale Date	Quantity	Symbol	Security	Cost Basis	Proceeds	Short Term Gain Loss	Long Term Gain Loss	Total Realized Gain Loss
06/18/2008	10/8/2009	631.742	BIFX	WILLIAM BLAIR INCOME FUND-I	5,704.63 ✓	5,748.85	0.00	44.22	44.22 ✓
08/19/2008	10/8/2009	659.087	BIFX	WILLIAM BLAIR INCOME FUND-I	5,905.42 ✓	5,997.69	0.00	92.27	92.27 ✓
09/17/2008	10/8/2009	649.171	BIFX	WILLIAM BLAIR INCOME FUND-I	5,699.72 ✓	5,907.46	0.00	207.74	207.74 ✓
09/16/2009	10/8/2009	619.753	BIFX	WILLIAM BLAIR INCOME FUND-I	5,602.57 ✓	5,639.75	37.18	0.00	37.18 ✓
08/18/2009	10/8/2009	681.271	BIFX	WILLIAM BLAIR INCOME FUND-I	6,145.06 ✓	6,199.57	54.51	0.00	54.51 ✓
07/16/2009	10/8/2009	689.063	BIFX	WILLIAM BLAIR INCOME FUND-I	6,118.88 ✓	6,270.47	151.59	0.00	151.59 ✓
06/16/2009	10/8/2009	711.281	BIFX	WILLIAM BLAIR INCOME FUND-I	6,252.16 ✓	6,472.66	220.50	0.00	220.50 ✓
05/18/2009	10/8/2009	730.350	BIFX	WILLIAM BLAIR INCOME FUND-I	6,383.26 ✓	6,646.18	262.92	0.00	262.92 ✓
01/16/2009	10/8/2009	317.107	BIFX	WILLIAM BLAIR INCOME FUND-I	2,749.32 ✓	2,885.67	136.35	0.00	136.35 ✓
12/18/2008	10/8/2009	1,095.308	BIFX	WILLIAM BLAIR INCOME FUND-I	9,474.41 ✓	9,967.30	492.89	0.00	492.89 ✓
04/16/2009	10/8/2009	699.596	BIFX	WILLIAM BLAIR INCOME FUND-I	6,037.51 ✓	6,366.32	328.81	0.00	328.81 ✓
02/18/2009	10/8/2009	768.801	BIFX	WILLIAM BLAIR INCOME FUND-I	6,611.69 ✓	6,996.09	384.40	0.00	384.40 ✓
11/19/2008	10/8/2009	687.668	BIFX	WILLIAM BLAIR INCOME FUND-I	5,824.55 ✓	6,257.78	433.23	0.00	433.23 ✓
03/19/2009	10/8/2009	691.848	BIFX	WILLIAM BLAIR INCOME FUND-I	5,853.03 ✓	6,295.82	442.79	0.00	442.79 ✓
10/17/2008	10/8/2009	625.404	BIFX	WILLIAM BLAIR INCOME FUND-I	5,259.65 ✓	5,691.20	431.55	0.00	431.55 ✓
Total Gain/Loss					1,905,463.34	1,775,822.58	3,576.72	(133,017.48)	(129,640.76)

ST Proceeds	75,688.81
ST Basis	72,312.09
ST Gain	3,376.72 ✓
LT Proceeds	1,700,133.77
LT Basis	1,833,151.25
LT Loss	(133,017.48) ✓

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2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Convention	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	OFFICE IMPROVEMENT	01/01/97	200DB	7.00	HY17		14,162.				14,162.	14,162.		0.	14,162.
	* TOTAL 990-PF PG 1 DEPR						14,162.				14,162.	14,162.		0.	14,162.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
NORTHERN TRUST	89.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	89.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BERNSTEIN EQUITY FUNDS	17,469.	0.	17,469.
BERNSTEIN FOREIGN STOCK DIVIDENDS	193.	0.	193.
BERNSTEIN GOVT OBLIG	3,452.	0.	3,452.
BERNSTEIN INTEREST	107.	0.	107.
BERNSTEIN INTERM	9,052.	0.	9,052.
BERNSTEIN STOCKS DIVIDENDS	5,441.	0.	5,441.
CAP GUARDIAN ASSET BACKED	3,564.	0.	3,564.
CAP GUARDIAN CORP OBLIG	47,611.	0.	47,611.
CAP GUARDIAN FOREIGN INTEREST	2,842.	0.	2,842.
CAP GUARDIAN FOREIGN STOCK DIVIDENDS	13,176.	0.	13,176.
CAP GUARDIAN GOVT OBLIG	44,223.	0.	44,223.
CAP GUARDIAN MMKT INTEREST	193.	0.	193.
CAP GUARDIAN MORTGAGE BACKED	25,258.	0.	25,258.
CAP GUARDIAN MUNI INTEREST	194.	0.	194.
CAP GUARDIAN STOCK DIVIDENDS	69,547.	0.	69,547.
CAP GUARDIAN WORLD GROWTH	15,883.	0.	15,883.
EMERGING MARKETS GROWTH FUND	10,588.	0.	10,588.
INFLATION INDEX ADJUSTMENT	2,596.	0.	2,596.
WILLIAM BLAIR DIVIDENDS INC SHARES	51,753.	0.	51,753.
WILLIAM BLAIR GOVT OBLIG	14,625.	0.	14,625.
WILLIAM BLAIR READY RESERVES	120.	0.	120.
WILLIAM BLAIR STOCK DIVIDENDS	32,405.	0.	32,405.
TOTAL TO FM 990-PF, PART I, LN 4	370,292.	0.	370,292.

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
COAL ROYALTIES	13,200.	13,200.	
TOTAL TO FORM 990-PF, PART I, LINE 11	13,200.	13,200.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CLIFTON GUNDERSON	8,990.	4,495.		4,495.
TO FORM 990-PF, PG 1, LN 16B	8,990.	4,495.		4,495.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES - WM BLAIR	10,828.	10,828.		0.
INVESTMENT MANAGEMENT FEES - CAP GUARD	36,903.	36,903.		0.
TO FORM 990-PF, PG 1, LN 16C	47,731.	47,731.		0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	6,807.	6,807.			0.
REAL ESTATE TAXES MINERAL RIGHTS	126.	126.			0.
FEDERAL TAXES	4,002.	0.			0.
TO FORM 990-PF, PG 1, LN 18	10,935.	6,933.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	34,620.	0.			34,620.
OTHER EXPENSE	105.	0.			105.
TO FORM 990-PF, PG 1, LN 23	34,725.	0.			34,725.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	8
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
WILLIAM BLAIR US BONDS	X		0.		0.
CAPITAL GUARDIAN US BONDS	X		924,585.		912,722.
CAPITAL GUARDIAN MUNICIPALS		X	44,932.		44,914.
TOTAL U.S. GOVERNMENT OBLIGATIONS			924,585.		912,722.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			44,932.		44,914.
TOTAL TO FORM 990-PF, PART II, LINE 10A			969,517.		957,636.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
WILLIAM BLAIR STOCK	0.	0.	
CAPITAL GUARDIAN EQUITY FUNDS	1,298,544.	1,167,290.	
CAPITAL GUARDIAN STOCK	4,034,618.	4,737,170.	
BERNSTEIN STOCK	2,456,256.	2,623,643.	
BERNSTEIN EQUITY FUNDS	1,047,998.	1,085,772.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	8,837,416.	9,613,875.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
WILLIAM BLAIR INCOME SHARES	0.	0.	
CAPITAL GUARDIAN CORPORATE BONDS	724,212.	759,389.	
BERNSTEIN INTERMED DURATION FUND	1,632,750.	1,627,469.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	2,356,962.	2,386,858.	

FORM 990-PF	MORTGAGE LOANS	STATEMENT	11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CAPITAL GUARDIAN ASSET BACKED SECURITIES	44,998.	45,991.	
CAPITAL GUARDIAN COLLATERALIZED MORTGAGE OBLIG	781,268.	801,622.	
TOTAL TO FORM 990-PF, PART II, LINE 12	826,266.	847,613.	

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 12

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
OFFICE IMPROVEMENT	14,162.	14,162.	0.
TOTAL TO FM 990-PF, PART II, LN 14	14,162.	14,162.	0.

FORM 990-PF GRANTS AND CONTRIBUTIONS STATEMENT 13
PAID DURING THE YEAR

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ADLER PLANETARIUM 1300 SOUTH LAKE SHORE DRIVE CHICAGO, IL 60605	EDUCATION	PUBLIC CHAR.	1,000.
AID TO ARTISANS 331 WETHERSFIELD AVE HARTFORD, CT 06114	COMMUNITY	PUBLIC CHAR.	5,000.
ASU ART MUSEUM P.O. BOX 872911 TEMPE, AZ 85287	ARTS	PUBLIC CHAR.	1,000.
ATLANTA SPEECH SCHOOL 3160 NORTHSIDE PKWY NW ATLANTA, GA 30327	EDUCATION	PUBLIC CHAR.	1,000.
BOYS AND GIRLS CLUB OF ORANGE PO BOX 707 CHARLOTTESVILLE, VA 22902	COMMUNITY	PUBLIC CHAR.	2,000.
CHICAGO BOTANICAL GARDEN 1000 LAKE COOK ROAD GLENCOE, IL 60022	COMMUNITY	PUBLIC CHAR.	1,000.

CHICAGO HISTORICAL SOCIETY CLARK STREET AT NORTH AVENUE CHICAGO, IL 60614	COMMUNITY	PUBLIC CHAR.	2,000.
CHICAGO SYMPHONY ORCHESTRA 220 S. MICHIGAN AVENUE CHICAGO, IL 60604	COMMUNITY	PUBLIC CHAR.	2,000.
CHILDREN'S MEMORIAL FOUNDATION 2300 CHILDREN'S PLAZA, NO. 4 CHICAGO, IL 60614-3394	HEALTH SERVICES	PUBLIC CHAR.	3,000.
CHILDREN'S HOSPITAL FOUNDATION 13123 E. 16TH AVENUE BOX 045 AURORA, CO 80045	HEALTH SERVICES	PUBLIC CHAR.	5,000.
COLORADO SYMPHONY ORCHESTRA 1000 14TH ST #15 DENVER, CO 80202	COMMUNITY	PUBLIC CHAR.	10,000.
COLORADO UNIVERSITY ART MUSEUM UC BOULDER, SIBELL-WOLLE BUILDING #318 BOULDER, CO 80309	ARTS	PUBLIC CHAR.	10,000.
COURAGEOUS SAILING CENTER OF BOSTON ONE FIRST AVENUE CHARLESTON, MA 02129	EDUCATION	PUBLIC CHAR.	1,000.
DECORDOVA MUSEUM 51 SANDY POND ROAD LINCOLN, MA 01773	ARTS	PUBLIC CHAR.	10,000.
DENVER ART MUSEUM 100 W. 14TH AVENUE PKWY DENVER, CO 80204	ARTS	PUBLIC CHAR.	4,000.
DENVER YOUNG ARTIST'S ORCHESTRA 1245 E. COLFAX AVENUE #302 DENVER, CO 80218	ARTS	PUBLIC CHAR.	35,000.

DUMB FRIENDS LEAGUE 2080 S. QUEBEC ST. DENVER, CO 802313298	COMMUNITY	PUBLIC CHAR.	10,000.
ELEANOR ROOSEVELT INSTITUTE 1899 GAYLORD STREET DENVER, CO 802061210	EDUCATION	PUBLIC CHAR.	25,000.
FIELD MUSEUM OF NATURAL HISTORY 1400 S. LAKE SHORE DRIVE CHICAGO, IL 60605	EDUCATION	PUBLIC CHAR.	15,000.
FOUNDATION OF THE PEOPLE OF BURMA PO BOX 813 NICASIO, CA 94946	SOCIAL SERVICES	PUBLIC CHAR.	5,000.
FRIENDS OF MAN 5594 S. PRINCE ST. LITTLETON, CO 80160	SOCIAL SERVICES	PUBLIC CHAR.	10,000.
GARDEN CONSERVATORY PO BOX 219 COLD SPRING, NY 10516	ENVIRONMENT	PUBLIC CHAR.	1,500.
HABITAT FOR HUMANITY OF METRO DENVER 1500 W. 12TH AVE. DENVER, CO 802043410	COMMUNITY	PUBLIC CHAR.	25,000.
HORIZON HOSPICE 833 WEST CHICAGO AVENUE CHICAGO, IL 60622	SOCIAL SERVICES	PUBLIC CHAR.	15,000.
HUMBLE RANCH EDUCATION & THERAPY CTR PO BOX 776290 STEAMBOAT SPRINGS, CO 80477	EDUCATION	PUBLIC CHAR.	1,000.
INSTITUTE OF CONTEMPORARY ARTS BOSTON 100 NORTHERN AVE. BOSTON, MA 02210	ARTS	PUBLIC CHAR.	60,000.

INVEST IN KIDS 600 GRANT STREET #306 DENVER, CO 80203	COMMUNITY	PUBLIC CHAR.	15,000.
JOHN G. SHEDD AQUARIUM 1200 SOUTH LAKE SHORE DRIVE CHICAGO, IL 60605	CONSERVATION/EDUCATION	PUBLIC CHAR.	4,000.
LAKE FOREST COLLEGE 555 N. SHERIDAN ROAD LAKE FOREST, IL 60045	EDUCATION	PUBLIC CHAR.	1,000.
LAKE FOREST HOSPITAL 660 WESTMORELAND ROAD LAKE FOREST, IL 60645	HEALTH SERVICES	PUBLIC CHAR.	2,500.
LAKE FOREST OPEN LANDS ASSOCIATION 272 E. DEERPATH, SUITE 318 LAKE FOREST, IL 60045	SOCIAL SERVICES	PUBLIC CHAR.	2,000.
LEXINGTON MONTESSORI SCHOOL 130 PLEASANT STREET LEXINGTON, MA 02421	EDUCATION	PUBLIC CHAR.	5,000.
LINCOLN PARK ZOO 2001 N. CLARK STREET CHICAGO, IL 60614	CONSERVATION/EDUCATION	PUBLIC CHAR.	2,000.
LYRIC OPERA OF CHICAGO 20 NORTH WACKER DRIVE, STE. 860 CHICAGO, IL 60606	THE ARTS	PUBLIC CHAR.	42,000.
MARTHA JEFFERSON HEALTH SERVICES 459 LOCUST AVENUE CHARLOTTESVILLE, VA 22902	MEDICAL	PUBLIC CHAR.	10,000.
MASSACHUSETTS COLLEGE OF ART 621 HUNTINGTON AVENUE BOSTON, MA 02115	EDUCATION	PUBLIC CHAR.	10,000.

METROPOLITAN FAMILY SERVICES/DUPAGE 222 E. WILLOW AVENUE WHEATON, IL 60187-5426	COMMUNITY	PUBLIC CHAR.	1,000.
MONTPELIER FOUNDATION P.O. BOX 991 ORANGE, VA 22960	SOCIAL SERVICES	PUBLIC CHAR.	7,500.
MUSEUM OF CONTEMPORARY ART DENVER 1485 DELGANAY STREET DENVER, CO 80202	ARTS	PUBLIC CHAR.	20,000.
MUSEUM OF FINE ARTS BOSTON 465 HUNTINGTON AVENUE BOSTON, MA 02115	ARTS	PUBLIC CHAR.	10,000.
MUSEUM OF SCIENCE AND INDUSTRY 57TH AT LAKE SHORE DRIVE CHICAGO, IL 60637	EDUCATION	PUBLIC CHAR.	2,000.
NATIONAL DOWNS SYNDROME SOCIETY 666 BROADWAY NEW YORK, NY 10012	HEALTH SERVICES	PUBLIC CHAR.	1,500.
NORTHWESTERN MEMORIAL HOSPITAL 676 N. ST. CLAIR STREET # 2050 CHICAGO, IL 60611	HEALTH SERVICES	PUBLIC CHAR.	25,000.
PIEDMONT ENVIRONMENTAL COUNCIL PO BOX 460 WARRENTON, VA 20188	ENVIRONMENT	PUBLIC CHAR.	3,000.
PLANNED PARENTHOOD OF THE ROCKY MOUNTAINS 950 BROADWAY DENVER, CO 802032779	HEALTH SERVICES	PUBLIC CHAR.	15,000.
PRINCETON UNIVERSITY PO BOX 5357 PRINCETON, NJ 085435357	EDUCATION	PUBLIC CHAR.	1,000.

REHABILITATION INSTITUTE OF CHICAGO 345 E. SUPERIOR STREET CHICAGO, IL 60611	HEALTH SERVICES	PUBLIC CHAR.	40,000.
RUSH UNIVERSITY MEDICAL CENTER 1700 W. VAN BUREN, SUITE 250 CHICAGO, IL 606123244	MEDICAL RESEARCH	PUBLIC CHAR.	2,500.
THE SCHOOL OF THE ART INSTITUTE OF CHICAGO 37 SOUTH WABASH CHICAGO, IL 60603	EDUCATION	PUBLIC CHAR.	30,000.
ST PAUL'S SCHOOL 325 PLEASANT STREET CONCORD, NH 03301	EDUCATIONAL	PUBLIC CHAR.	2,000.
STARS PO BOX 770208 STEAMBOAT SPRINGS, CO 80477	COMMUNITY	PUBLIC CHAR.	1,000.
STUART COLLECTION UNIV. OF CALIFORNIA, 9500 GILMAN DRIVE LA JOLLA, CA 92093-0010	EDUCATION	PUBLIC CHAR.	10,000.
SWALLOW HILL MUSIC ASSOCIATION 71 E. YALE AVENUE DENVER, CO 80210	ARTS	PUBLIC CHAR.	1,000.
THE ART INSTITUTE OF CHICAGO 111 S. MICHIGAN AVENUE CHICAGO, IL 60603	ARTS	PUBLIC CHAR.	10,000.
THE MUSTARD SEED FOUNDATION PO BOX 88 WASHINGTON, VA 22747	SOCIAL SERVICES	PUBLIC CHAR.	2,000.
THOMAS JEFFERSON MEMORIAL FOUNDATION PO BOX 217 CHARLOTTESVILLE, VA 22902	EDUCATION	PUBLIC CHAR.	5,000.

UNIVERSITY OF CHICAGO - PRESIDENT'S FUND 5801 S. ELLIS AVENUE STE 007 CHICAGO, IL 60637	EDUCATION	PUBLIC CHAR.	1,000.
UNIVERSITY OF VIRGINIA HEALTH SYSTEM P.O. BOX 400807 CHARLOTTESVILLE, VA 22904	HEALTH SERVICES	PUBLIC CHAR.	4,000.
WESTOVER SCHOOL PO BOX 847 MIDDLEBURY, CT 06762	EDUCATION	PUBLIC CHAR.	500.
WGBH BOSTON 1 GUEST STREET BRIGHTON, MA 02135	COMMUNITY	PUBLIC CHAR.	5,000.
WILLIAM H. ADAMS FOUNDATION 101 CALIFORNIA STREET, 46TH FL SAN FRANCISCO, CA 94111	MEDICAL	PUBLIC CHAR.	50,000.
WOMEN EMPLOYED 111 N. WABASH AVENUE #1300 CHICAGO, IL 60602	COMMUNITY	PUBLIC CHAR.	2,000.
WTTW - CHICAGO EDUCATIONAL TELEVISION 5400 NORTH ST. LOUIS AVENUE CHICAGO, IL 60625	EDUCATION	PUBLIC CHAR.	5,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			611,000.

Statement of Shareholder Receiving a Distribution of Stock

THE H B B FOUNDATION (Account 888-49813)

Statement of Shareowner
Receiving a Distribution of Stock
of AOL Inc
Filed Pursuant to Treasury Regulation Section 1 355-5(b)

1 The undersigned, a shareholder owning common stock of Time Warner Inc. as of November 27 2009, the record date, received a distribution on December 09 2009, from Time Warner Inc. shares of common stock of AOL Inc. a corporation controlled by Time Warner Inc. to which Section 355 of the Internal Revenue code of 1986, as amended (the "Code") applies

2 The names and addresses of the corporations involved are

(a) Time Warner Inc
One Time Warner Center
New York, NY 10019

(b) AOL Inc
770 Broadway
New York, NY 10003

3 The undersigned surrendered no stock or securities of Time Warner Inc. in the distribution

4 The undersigned received 100 shares of common stock of AOL Inc in the distribution

5 Time Warner Inc. has received a private letter ruling from the Internal Revenue Service to the effect that the distribution of shares of AOL Inc common stock qualifies as a tax-free distribution under section 355 of the Code

Mark Stephenson
Shareholder's Signature

Shareholder's Signature

*** ATTACH TO YOUR U.S. FEDERAL INCOME TAX RETURN FOR THE TAX PERIOD 2009 ***