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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

G Check all that apply

 Initial return Initial Return of a former public charity Final return Amended return Address change Name change

Use the  
IRS label  
Otherwise,  
print  
or type  
See Specific  
Instructions

SQUARE ONE FOUNDATION  
701 W. ERIE STREET  
CHICAGO, IL 60610

A Employer identification number

36-6068835

B Telephone number (see the instructions)

(312) 666-5500

C If exemption application is pending, check here ► D 1 Foreign organizations, check here ► 2 Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

H Check type of organization  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) J Accounting method  Cash  Accrual  
 Other (specify) (Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and**

**Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (att sch)	5,000,000.			
2	Ck ► <input type="checkbox"/> if the foundn is not req to att Sch B				
3	Interest on savings and temporary cash investments	171,206.	171,206.	N/A	
4	Dividends and interest from securities	296,584.	296,584.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain/(loss) from sale of assets not on line 1a	-3,874,063.			
b	Gross sales price for all assets on line 6a	11,336,920.			
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit/(loss) (att sch)				
11	Other income (attach schedule)	1,368.	-95.		
12	Total...Add lines 1 through 11	1,595,095.	467,695.		

REVENUE	13 Compensation of officers, directors, trustees, etc	0.		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
ADMINISTRATIVE EXPENSES	16a Legal fees (attach schedule)			
	b Accounting fees (attach sch)			
	c Other prof fees (attach sch)			
OPERATING AND EXPENSES	17 Interest	85,177.	85,177.	
	18 Taxes (attach schedule)(see instr)	17,014.	15,517.	
	19 Depreciation (attach sch) and depletion			
	20 Occupancy	,		
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)			
	SEE STATEMENT 3	339,894.	339,894.	
	24 Total operating and administrative expenses. Add lines 13 through 23	442,085.	440,588.	
	25 Contributions, gifts, grants paid PART XV	902,213.		902,213.
	26 Total expenses and disbursements. Add lines 24 and 25	1,344,298.	440,588.	902,213.

REVENUE	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements	250,797.		
	b Net investment income (if negative, enter -0)		27,107.	
	c Adjusted net income (if negative, enter -0)			

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**Part II Balance Sheets**Attached schedules and amounts in the description column should be for end of year amounts only  
(See instructions)**ASSETS****LIABILITIES****NET ASSETS****Part III Analysis of Changes in Net Assets or Fund Balances**

	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
1 Cash – non-interest-bearing	11,474.	11,786.	11,786.
2 Savings and temporary cash investments			
3 Accounts receivable			
Less allowance for doubtful accounts ►			
4 Pledges receivable			
Less allowance for doubtful accounts ►			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7 Other notes and loans receivable (attach sch)			
Less allowance for doubtful accounts ►			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments – U.S. and state government obligations (attach schedule)			
b Investments – corporate stock (attach schedule)	19,316,882.	26,562,475.	26,562,475.
c Investments – corporate bonds (attach schedule)			
11 Investments – land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule) ►			
12 Investments – mortgage loans			
13 Investments – other (attach schedule)			
14 Land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule) ►			
15 Other assets (describe ►)			
16 Total assets (to be completed by all filers – see instructions Also, see page 1, item I)	19,328,356.	26,574,261.	26,574,261.
17 Accounts payable and accrued expenses	30,474.	70,476.	
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, & other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)			
23 Total liabilities (add lines 17 through 22)	30,474.	70,476.	
Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ► <input checked="" type="checkbox"/>			
24 Unrestricted	19,297,882.	26,503,785.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► <input type="checkbox"/>			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see the instructions)	19,297,882.	26,503,785.	
31 Total liabilities and net assets/fund balances (see the instructions)	19,328,356.	26,574,261.	

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
<b>1a SEE STATEMENT 5</b>				
b				
c				
d				
e				
<b>(e) Gross sales price</b>		<b>(f) Depreciation allowed (or allowable)</b>	<b>(g) Cost or other basis plus expense of sale</b>	<b>(h) Gain or (loss) (e) plus (f) minus (g)</b>
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
<b>(i) Fair Market Value as of 12/31/69</b>		<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of column (i) over column (j), if any</b>	
a				
b				
c				
d				
e				
<b>2 Capital gain net income or (net capital loss)</b>		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 <span style="float: right;">-3,874,063.</span>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b>		If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		3 <span style="float: right;">-3,294,567.</span>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

**1 Enter the appropriate amount in each column for each year, see the instructions before making any entries**

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (column (b) divided by column (c))
2008	1,477,009.	24,081,637.	0.061333
2007	810,542.	21,439,860.	0.037805
2006	535,759.	16,347,684.	0.032773
2005	334,850.	13,607,698.	0.024607
2004	577,028.	7,878,603.	0.073240

- 2 Total of line 1, column (d)** 2 0.229758
- 3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years** 3 0.045952
- 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5** 4 29,838,237.
- 5 Multiply line 4 by line 3** 5 1,371,127.
- 6 Enter 1% of net investment income (1% of Part I, line 27b)** 6 271.
- 7 Add lines 5 and 6** 7 1,371,398.
- 8 Enter qualifying distributions from Part XII, line 4** 8 902,213.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1	
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)	
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1 542.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2 0.
3 Add lines 1 and 2	3 542.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4 0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-	5 542.
6 Credits/Payments	
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 9,165.
b Exempt foreign organizations – tax withheld at source	6b
c Tax paid with application for extension of time to file (Form 8868)	6c 5,000.
d Backup withholding erroneously withheld	6d
7 Total credits and payments Add lines 6a through 6d	7 14,165.
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 13,623.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ► 7,500.   Refunded ► 11 6,123.	11

**Part VII-A Statements Regarding Activities**

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

	Yes	No
1a	X	

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

	X
1b	X

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c	X

c Did the foundation file **Form 1120-POL** for this year?

1c	X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

1c	X

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If 'Yes,' attach a detailed description of the activities

2	X

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

3	X

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

4a	X

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If 'Yes,' attach the statement required by *General Instruction T*

4b	X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

- By language in the governing instrument, or
- By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

6	X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV

7	X

8 a Enter the states to which the foundation reports or with which it is registered (see the instructions) ►

8a	X

II

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If 'No,' attach explanation

8b	X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

9	X

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

10	X

**Part VII-A | Statements Regarding Activities *Continued***

11		X
12		X
13	X	
Website address	► N/A	
14	The books are in care of ► PRISCILLA KERSTEN Located at ► 701 W. ERIE ST. CHICAGO IL	Telephone no ► 312-666-5500 ZIP + 4 ► 60610
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A ► 15   N/A

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	► <input type="checkbox"/>	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see the instructions)		N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
b	If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

 Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

 Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

 Yes  No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

 Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** N/AOrganizations relying on a current notice regarding disaster assistance check here ► **c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A  Yes  No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes  No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b** X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes  No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PRISCILLA KERSTEN 701 W. ERIE ST. CHICAGO, IL 60610	PRES, DIR 0	0.	0.	0.
WALTER ROTH 30 N. LASALLE CHICAGO, IL 60610	ASST SEC, DI 0	0.	0.	0.
STEVEN A. KERSTEN 701 W. ERIE STREET CHICAGO, IL 60610	SECY, TREAS, 0	0.	0.	0.
-----	-----	-----	-----	-----

**2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----
-----	-----	-----	-----	-----

Total number of other employees paid over \$50,000

► 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES, LLC		
,	INVESTMENT ADVISORY	70,554.
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**Total number of others receiving over \$50,000 for professional services**

0

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	<u>NONE</u>
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

**Amount**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1	N/A	
2		

All other program-related investments See instructions

3 \_\_\_\_\_

**Total.** Add lines 1 through 3

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Form 990-PF (2009)

BAA

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions )

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes  
 a Average monthly fair market value of securities  
 b Average of monthly cash balances  
 c Fair market value of all other assets (see instructions)  
 d **Total** (add lines 1a, b, and c)  
 e Reduction claimed for blockage or other factors reported on lines 1a and 1c  
 (attach detailed explanation)

2 Acquisition indebtedness applicable to line 1 assets  
 3 Subtract line 2 from line 1d  
 4 Cash deemed held for charitable activities Enter 1-1/2% of line 3  
 (for greater amount, see instructions)  
 5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4  
 6 **Minimum investment return.** Enter 5% of line 5

1a	30,281,009.
1b	11,617.
1c	
1d	30,292,626.
1e	0.
2	0.
3	30,292,626.
4	454,389.
5	29,838,237.
6	1,491,912.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6  
 2a Tax on investment income for 2009 from Part VI, line 5  
 b Income tax for 2009 (This does not include the tax from Part VI )  
 c Add lines 2a and 2b  
 3 Distributable amount before adjustments Subtract line 2c from line 1  
 4 Recoveries of amounts treated as qualifying distributions  
 5 Add lines 3 and 4  
 6 Deduction from distributable amount (see instructions)  
 7 **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1

1	1,491,912.
2a	542.
2b	
2c	542.
3	1,491,370.
4	
5	1,491,370.
6	
7	1,491,370.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes  
 a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26  
 b Program-related investments – total from Part IX-B  
 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes  
 3 Amounts set aside for specific charitable projects that satisfy the  
 a Suitability test (prior IRS approval required)  
 b Cash distribution test (attach the required schedule)  
 4 **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4  
 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income  
 Enter 1% of Part I, line 27b (see instructions)  
 6 **Adjusted qualifying distributions.** Subtract line 5 from line 4

1a	902,213.
1b	
2	
3a	
3b	
4	902,213.
5	
6	902,213.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				1,491,370.
2 Undistributed income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only				
b Total for prior years 20____, 20____, 20____		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 184,545.				
b From 2005				
c From 2006				
d From 2007				
e From 2008 275,464.				
f Total of lines 3a through e 460,009.				
4 Qualifying distributions for 2009 from Part XII, line 4 ► \$ 902,213.			0.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2009 distributable amount				902,213.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	460,009.			460,009.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				129,148.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**Part XVI** Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

SEE STATEMENT 6

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

## **2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include.

### c. Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE ATTACHED LIST		PUBLIC	GENERAL CHARITABLE PURPOSE	902,213.
<b>Total</b>				► 3a 902,213.
<i>b Approved for future payment</i>				
<b>Total</b>				► 3b

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments			14	171,206.	
<b>4</b> Dividends and interest from securities			14	296,584.	
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income			14	1,368.	
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	-1,499,438.	-2,374,625.
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
a					
b					
c					
d					
e					
<b>12</b> Subtotal Add columns (b), (d), and (e)				-1,030,280.	-2,374,625.
<b>13</b> Total Add line 12, columns (b), (d), and (e)					13 -3,404,905.

(See worksheet in the instructions for line 13 to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

**Part XVII** Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

### (1) Cash

(2) Other assets

### **b Other transactions**

**(1) Sales of assets to a noncharitable exempt organization**

(2) Purchases of assets from a noncharitable exempt organization

**(3) Rental of facilities, equipment, or other assets**

#### (4) Reimbursement arrangements

**(5) Loans or loan guarantees**

**(6) Performance of services or membership or fundraising solicitations**

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1a (1)		X
1a (2)		X
1b (1)		X
1b (2)		X
1b (3)		X
1b (4)		X
1b (5)		X
1b (6)		X
1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

 <b>State of Illinois</b> <i>Illinois Department of Revenue</i> <b>Illinois State Tax Return</b> <b>2010</b>		<b>11-10-10</b> ► <b>PRES, DIR</b>	
<b>Signature of officer or trustee</b> <i>Priscilla J. Kersten</i>		<b>Date</b> <b>11-9-10</b>	<b>Title</b> <b>N/A</b>
<b>Paid Pre- parer's Use Only</b>	<b>Preparer's signature</b> <i>Charles M. Arbetter</i> <b>CHARLES M. ARBETTER</b>	<b>Date</b> <b>11-9-10</b>	<b>Check if self employed</b> ► <input type="checkbox"/> <b>N/A</b>
	<b>Firm's name (or yours if self employed), address, and ZIP code</b> <i>GRAY HUNTER STENN LLP</i> <i>1200 HARGER ROAD, SUITE 521</i> <i>OAK BROOK, IL 60523-1819</i>	<b>EIN</b> ► <b>N/A</b>	<b>Preparer's Identifying number (See Signature in the instrs)</b> <b>N/A</b>
		<b>Phone no</b> ► <b>(630) 571-4900</b>	

BAA

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

OMB No 1545-0047

**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF

**2009**

Name of the organization

SQUARE ONE FOUNDATION

Employer identification number

36-6068835

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

501(c)( \_\_\_\_ ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule –**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II.)

**Spécial Rules –**

For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year

► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990EZ, or 990-PF.**

**Schedule B** (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

SQUARE ONE FOUNDATION

Employer identification number

36-6068835

**Part I** **Contributors** (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	STEVEN KERSTEN	\$ 5,000,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
	701 W. ERIE ST		(Complete Part II if there is a noncash contribution)
	CHICAGO, IL 60610		
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash
			(Complete Part II if there is a noncash contribution)

---

Name of organization

SQUARE ONE FOUNDATION

**Employer identification number**

36-6068835

**Part II** Noncash Property (see instructions )

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A	\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

**Name of organization**

**Employer identification number**

## SQUARE ONE FOUNDATION

36-6068835

**Part III** *Exclusively religious, charitable, etc, individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year.* (Complete cols (a) through (e) and the following line entry.)

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once – see instructions)

5

N/A

2009

## FEDERAL STATEMENTS

PAGE 1

SQUARE ONE FOUNDATION

36-6068835

**STATEMENT 1**  
**FORM 990-PF, PART I, LINE 11**  
**OTHER INCOME**

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	\$ 1,368.	\$ -95.	
TOTAL	<u>\$ 1,368.</u>	<u>\$ -95.</u>	0.

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	\$ 1,497.			
FOREIGN TAXES PAID	15,517.	\$ 15,517.		
TOTAL	<u>\$ 17,014.</u>	<u>\$ 15,517.</u>		0.

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES, ETC.	\$ 334,141.	\$ 334,141.		
OTHER PASS-THROUGH EXPENSES	5,753.	5,753.		
TOTAL	<u>\$ 339,894.</u>	<u>\$ 339,894.</u>		0.

**STATEMENT 4**  
**FORM 990-PF, PART III, LINE 3**  
**OTHER INCREASES**

NET UNREALIZED GAINS	\$ 6,955,106.
TOTAL	<u>\$ 6,955,106.</u>

**STATEMENT 5**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	NORTHERN TRUST - #23-48067 SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
2	NORTHERN TRUST - #23-48067 SEE ATTACHED	PURCHASED	VARIOUS	VARIOUS
3	NT - STEINBERG - VARIOUS STOCKS	PURCHASED	VARIOUS	VARIOUS

**STATEMENT 5 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION		(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD			
4	NT MAF INTERNATIONAL FUND - MARISCO		PURCHASED	VARIOUS	5/31/2009			
5	NT MAF INTERNATIONAL FUND - EDINBURGH		PURCHASED	VARIOUS	5/31/2009			
6	SEE ATTACHED SCHEDULE - NT 23-48067		PURCHASED	VARIOUS	VARIOUS			
7	PARTNERSHIP GAINS AND LOSSES - SEE STMT		PURCHASED	VARIOUS	VARIOUS			
8	PARTNERSHIP NET SEC 1256 LOSSES		PURCHASED	VARIOUS	VARIOUS			
ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	553,959.		441,109.	112,850.				\$ 112,850.
2	7785485.		8872910.	-1087425.				-1087425.
3	116,126.		106,879.	9,247.				9,247.
4	1143034.		1498691.	-355,657.				-355,657.
5	1691949.		1870402.	-178,453.				-178,453.
6	46,367.		40,162.	6,205.				6,205.
7	0.		2335444.	-2335444.				-2335444.
8	0.		45,386.	-45,386.				-45,386.
							TOTAL	\$ -3874063.

**STATEMENT 6  
FORM 990-PF, PART XV, LINE 1A  
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS**

PRISCILLA KERSTEN  
STEVEN A. KERSTEN

## 2009 Tax Information Statement

Page 6 of 19

Account Number: 23-48067  
Recipient's Tax ID number: XX-XXX8835

Corrected  2nd TIN notice  
KERSTEN FOUNDATION  
2430 N. LAKEVIEW AVE. APT. 9-N  
CHICAGO, IL 60614-2877

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

## 2009 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Short Term Sales Number	Description of shares CUSIP	Date Acquired or Sold	Date of Sale	Stocks Bonds, etc. other Basis	Cost or Sale of Acquisition	Net Gain or Loss Withheld
479 0500 665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD FD	12/19/2008	04/20/2009	3,099 45	3,252 74	-153 29 0 00
214.8400 665162699	MFB NORTHN HI YIELD FXD INC FD	04/20/2009	04/20/2009	1,304 08	1,304 03	0 05 0 00
0000 09067D201	BIOTECH HOLDERS TR DEPOSITORY RECEIPTS	04/28/2009		83,599.97	0 00	83,599.97 0 00
0000 09067D201	BIOTECH HOLDERS TR DEPOSITORY RECEIPTS	05/08/2009		83,599.97	0 00	83,599.97 0 00
0000 09067D201	BIOTECH HOLDERS TR DEPOSITORY RECEIPTS	05/08/2009		83,599.97	0 00	83,599.97 0 00
300.0000 025816109	AMERICAN EXPRESS CO	06/17/2009	06/29/2009	7,198 70	7,234 41	-35 71 0 00
300.0000 704549104	PEABODY ENERGY CORP COM	06/17/2009	07/23/2009	9,746.74	9,284 61	462 13 0 00
200 0000 261608103	DRESSER-RAND GROUP INC COM	06/17/2009	10/15/2009	6,443 49	5,269 00	1,174 49 0 00
6000.0000 81369Y803	MFC SELECT SECTOR SPDR TR TECH INT-TECHNOLOGY	06/17/2009	10/29/2009	126,831 34	108,420 00	18,411 34 0 00
2000 0000 881624209	TEVA PHARMACEUTICALS IND LTD ADR	06/17/2009	10/29/2009	101,335 39	96,111 00	5,224 39 0 00
400.0000 22160K105	COSTCO WHSL CORP NEW	06/17/2009	11/02/2009	22,978 04	18,374 28	4,603 76 0 00
500 0000 29364G103	ENTERGY CORP NEW	06/17/2009	11/03/2009	38,309 01	38,230 40	78 61 0 00
1000.0000 30161N101	EXELON CORP	06/17/2009	11/03/2009	46,133 81	48,810.00	-2,676 19 0 00



# 2009 Tax Information Statement

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Account Number:  
Recipient's Tax ID number:

23-48067  
XX-XXX8835

Recipient's Name and Address  
KERSTEN FOUNDATION  
2430 N. LAKEVIEW AVE APT. 9-N  
CHICAGO, IL 60614-2877

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

2nd TIN notice

Corrected

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks, Bonds, etc.	Cost of Other Basis	Federal Income Tax Net Gain or Loss	Tax Withheld
1000.0000	302571104	FPI GRP INC COM W/RTS ATTACHED EXP 6/30/2006	06/17/2009	11/03/2009	49,087.74	56,896.00	-7,808.26	0.00
2000 0000	526057104	LENINAR CORP	06/17/2009	11/03/2009	25,599.54	15,630.60	9,968.94	0.00
.0000	09067D201	BIOTECH HOLDERS TR DEPOSITORY RECEIPTS	11/18/2009		1,380.00	0.00	1,380.00	0.00
600 0000	89417E109	TRAVELERS COS INC COM STK	11/10/2009	11/27/2009	30,905.21	32,285.64	-1,380.43	0.00
1900	25490A101	DIRECTV COM CL A COM CL A	12/01/2009	12/22/2009	6.32	6.00	0.32	0.00
<b>Total Short Term Sales</b>					<b>553,958.83</b>	<b>441,108.71</b>	<b>112,850.12</b>	<b>0.00</b>
<b>Long Term 15% Sales</b>								
3000.0000	025816109	AMERICAN EXPRESS CO	01/04/2005	03/10/2009	35,319.70	153,729.35	-118,409.65	0.00
3000.0000	774341101	ROCKWELL COLLINS INC	05/26/2006	03/10/2009	88,619.50	162,891.00	-74,271.50	0.00
3000 0000	368710406	GENENTECH INC NEW	05/26/2006	03/26/2009	285,000.00	236,955.00	48,045.00	0.00
5900.0000	464287465	MFC ISHARES TR MSCI EAFE INDEX FD	01/04/2005	04/20/2009	232,159.03	308,595.00	-76,435.97	0.00
24178.6400	665130209	MFB NORTHN INTL EQTY INDEX FD	05/26/2006	04/20/2009	170,701.17	295,221.13	-124,519.96	0.00
37410.7500	665162400	MFB NORTHN FDS SMALL CAP VALUE FD	01/04/2005	04/20/2009	340,811.95	612,292.51	-271,480.56	0.00
33347 4200	665162558	MFB NORTHN MULTI-MANAGER INTL EQTY FD FD	06/22/2006	04/20/2009	215,757.71	350,262.92	-134,505.21	0.00
4116 9300	665162574	MFB NORTHN MULTI-MANAGER MID CAP FD MID FOR NMMCX	06/22/2006	04/20/2009	27,254.06	41,169.30	-13,915.24	0.00
21786 4900	665162582	MFB NORTHERN EMERGING MARKETS EQUITY FUND	05/26/2006	04/20/2009	153,594.77	200,000.00	-46,405.23	0.00

# 2009 Tax Information Statement

Account Number: 23-48067  
 Recipient's Tax ID number: XX-XXX8835

THE NORTHERN TRUST COMPANY  
 P.O. BOX 803878  
 CHICAGO, IL 60680

Corrected

2nd TIN notice

Recipient's Name and Address  
 KERSTEN FOUNDATION  
 2430 N. LAKEVIEW AVE. APT. 9-N  
 CHICAGO, IL 60614-2877

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Number of Shares	CUSIP	Description	Date Acquired	Date Of Sale	Stocks Bonds, Etc.	Cost of Bonds, Etc.	Other Basis	Federal Income Tax Withheld	Net Gain or Loss	
33025 0400 665162699	MFB NORTHN HI YIELD FXD INC FDI	EMERSON ELECTRIC CO	01/04/2005	04/20/2009	200,461.94	275,000.00		-74,538.06	0.00	
48883.0200 22544R305	MFO CREDIT SUISSE COMMODITY-RETURN PLUS STRATEGY FD COM CL	ENCANA CORP COM NPV	10/10/2007	04/21/2009	352,935.43	577,495.69		-224,560.26	0.00	
30000 0000 278265103	EATON VANCE CORP	FRANKLIN RESOURCES INC	10/10/2007	06/17/2009	80,601.33	129,468.00		-48,866.67	0.00	
40000 0000 291011104	EMERSON ELECTRIC CO	GENERAL ELECTRIC CO	05/26/2006	06/17/2009	131,956.60	165,220.00		-33,263.40	0.00	
20000 0000 292505104	ENCANA CORP COM NPV	PRAXAIR INC	02/07/2005	06/17/2009	101,167.40	63,078.00		38,089.40	0.00	
18000 0000 354613101	FRANKLIN RESOURCES INC	GENERAL ELECTRIC CO	01/04/2005	06/17/2009	128,950.66	145,597.78		-16,647.12	0.00	
60000 0000 369604103	GENERAL ELECTRIC CO	BERKSHIRE HATHAWAY INC DEL CL B	12/22/1994	06/17/2009	73,078.12	51,091.76		21,986.36	0.00	
500000 0000 74005P104	PRAXAIR INC	BHP LTD SPONSORED ADR	02/28/2005	06/17/2009	35,764.08	22,460.00		13,304.08	0.00	
700000 084670207	BERKSHIRE HATHAWAY INC DEL CL B	10/10/2007	10/29/2009	230,788.97			212,452.25	18,336.72	0.00	
20000 0000 088606108	BHP LTD SPONSORED ADR	10/10/2007	10/29/2009	138,394.44			164,283.00	-25,888.56	0.00	
10000 0000 09067D201	BIOTECH HOLDERS TR DEPOSITORY RECEIPTS	BLACKROCK INC CL A	01/04/2005	10/29/2009	91,566.15	142,497.01		-50,930.86	0.00	
1400.0000 09247X101	BLACKROCK INC CL A	ENCANA CORP COM NPV	05/26/2006	10/29/2009	312,804.04			211,166.00	101,638.04	0.00
20000.0000 292505104	ENCANA CORP COM NPV	MFC SELECT SECTOR SPDR TR TECH INT-TECHNOLOGY	01/04/2005	10/29/2009	115,553.03	55,698.00		59,855.03	0.00	
110000 0000 81369Y803	MFC SELECT SECTOR SPDR TR TECH INT-TECHNOLOGY	TAJSMAN ENERGY INC	02/08/2005	10/29/2009	232,524.12	246,378.10		-13,853.98	0.00	
120000 0000 87425E103	TAJSMAN ENERGY INC	ACCENTURE PLC SHS CL A NEW	01/04/2005	10/29/2009	214,414.08			112,740.00	101,674.08	0.00
65000 0000 61151C101	ACCENTURE PLC SHS CL A NEW	TRANSOCEAN LTD	12/07/2004	10/29/2009	244,540.61			170,540.00	74,000.61	0.00
14000 0000 H8817H100	TRANSOCEAN LTD	ABBOTT LABORATORIES	12/14/2005	10/29/2009	122,231.84			162,579.71	-40,347.87	0.00
35000 0000 002824100	ABBOTT LABORATORIES		01/04/2005	11/03/2009	175,359.49			174,790.00	569.49	0.00



# 2009 Tax Information Statement

Page 9 of 19

Account Number: 23-48067  
Recipient's Tax ID number: XX-XXX8835  
 Corrected  2nd TIN notice  
THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Recipient's Name and Address  
KERSTEN FOUNDATION  
2430 N. LAKEVIEW AVE. APT. 9-N  
CHICAGO, IL 60614-2877

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

CUSIP Number	Description	Date Acquired	Date of Sale	Stocks Bonds, etc.	Cost of Other Basis			Net Gain Or Loss	Federal Income Tax Withheld
					Stocks	Bonds, etc.	Other Basis		
1200 0000 00724F101	ADOB SY S INC	05/21/2008	11/03/2009	39,320 95	49,907 16			-10,586 21	0 00
1800 0000 053015103	AUTOMATIC DATA PROCESSING INC	08/16/2006	11/03/2009	72,286 14	85,226 40			-12,940 26	0 00
3000 0000 071813109	BAXTER INTL INC	03/26/2008	11/03/2009	161,875 84	173,700 00			-11,824 16	0 00
3300 0000 12541W209	C H ROBINSON WORLDWIDE INC COM NEW COM NEW	01/04/2005	11/03/2009	183,352 19	92,675 49			90,676 70	0 00
1500 0000 151020104	CELGENE CORP	05/21/2008	11/03/2009	75,644 70	88,845 00			-13,200 30	0 00
6500 0000 17275R102	CISCO SYS INC	04/20/1998	11/03/2009	147,912 15	144,900 00			3,012 15	0 00
1000 0000 189054109	CLOROX CO	05/26/2006	11/03/2009	58,512 30	62,910 00			-4,397 70	0 00
900 0000 194162103	COLGATE PALMOLIVE CO	03/29/2007	11/03/2009	69,868 17	60,170 40			9,697 77	0 00
2000 0000 235851102	DANAHER CORP	01/04/2005	11/03/2009	136,753 48	137,741 00			-987 52	0 00
4400 0000 302130109	EXPEDITORS INTL WASH INC	05/26/2006	11/03/2009	142,087 30	219,676 70			-77,589 40	0 00
1500 0000 30231G102	EXXON MOBIL CORP	01/04/2005	11/03/2009	107,787 23	82,573 00			25,214 23	0 00
2500 0000 345838106	FOREST LABS INC	01/17/2006	11/03/2009	69,248 22	106,303 56			-37,055 34	0 00
300 0000 38141G104	GOLDMAN SACHS GROUP INC	02/20/2008	11/03/2009	50,966 68	53,488 14			-2,521 46	0 00
350 0000 38259P508	GOOGLE INC CL A	02/07/2005	11/03/2009	186,457 75	164,747 26			21,710 49	0 00
3000 0000 458140100	INTEL CORP COM	02/06/1995	11/03/2009	55,291 58	19,849 06			35,442 52	0 00
1800 0000 469814107	JACOBS ENGR GROUP INC	05/26/2006	11/03/2009	77,419 97	70,683 75			6,736 22	0 00
500 0000 478160104	JOHNSON & JOHNSON	12/22/1994	11/03/2009	29,558 24	6,870 62			22,687 62	0 00
2000 0000 478366107	JOHNSON CONTROLS INC	10/10/2007	11/03/2009	49,218 73	74,286 50			-25,067 77	0 00
5000 0000 526057104	LENNAR CORP	10/10/2007	11/03/2009	63,998 85	126,050 00			-62,051 15	0 00

This is important tax information and is being furnished to you

# 2009 Tax Information Statement

Account Number:  
Recipient's Tax ID number:

23-48067  
XX-XXX8835

THE NORTHERN TRUST COMPANY  
P.O. BOX 803878  
CHICAGO, IL 60680

Corrected

2nd TIN notice

Recipient's Name and Address  
KERSTEN FOUNDATION  
2430 N. LAKEVIEW AVE APT. 9-N  
CHICAGO, IL 60614-2877

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks etc.	Cost or Other Basis	Net Gain or Loss	Federal Income Tax	State Income Tax	Withheld
5000 0000 548661107	LOWES COMPANIES INC		02/20/2008	11/03/2009		96,646.01		117,140.50	-20,494.49	0.00
3000 0000 594918104	MICROSOFT CORP COM		05/26/1999	11/03/2009		82,467.88		92,504.00	-10,036.12	0.00
3000.0000 637071101	NATIONAL-OILWELL INC		08/11/2006	11/03/2009		126,505.25		149,994.00	-23,488.75	0.00
2600 0000 713448108	PEPSICO INC		08/12/1993	11/03/2009		155,679.06		79,818.65	75,860.41	0.00
1400 0000 74005P104	PRAXAIR INC		01/04/2005	11/03/2009		111,610.17		62,128.00	49,482.17	0.00
1200.0000 806857108	SCHLUMBERGER LTD ISIN #AN8068571086		12/14/2005	11/03/2009		76,590.07		61,184.40	15,405.67	0.00
4000.0000 85590A401	STARWOOD HOTELS & RESORTS WORLDWIDE INC COM STK		06/29/2007	11/03/2009		115,248.23		267,760.00	-152,511.77	0.00
3500.0000 857477103	STATE STREET CORP		06/29/2007	11/03/2009		147,624.10		243,115.00	-95,490.90	0.00
2500 0000 87612E106	TARGET CORP		05/14/1997	11/03/2009		122,472.85		113,543.75	8,929.10	0.00
2000 0000 92343V104	VERIZON COMMUNICATIONS		03/26/2008	11/03/2009		58,098.50		72,361.81	-14,263.31	0.00
1000 0000 931422109	WALGREEN CO		12/12/1996	11/03/2009		38,419.01		9,116.25	29,302.76	0.00
4000 0000 949746101	WELLS FARGO & CO NEW		01/04/2005	11/03/2009		109,593.18		127,247.00	-17,653.82	0.00
1100.0000 194162103	COLGATE PALMOLIVE CO		05/26/2006	11/25/2009		93,409.60		67,469.60	25,940.00	0.00
200 0000 38141G104	GOLDMAN SACHS GROUP INC		02/20/2008	11/25/2009		34,323.12		35,658.76	-1,335.64	0.00
1700.0000 742718109	PROCTER & GAMBLE CO		01/04/2005	11/25/2009		106,927.25		109,613.00	-2,685.75	0.00
<b>Total Long Term 15% Sales</b>						<b>7,785,484.97</b>		<b>8,872,910.27</b>		<b>0.00</b>

This is important tax information and is being furnished to you.



## Account Statement

July 1, 2009 - July 31, 2009

Account Number 03-99240  
SQUARE ONE FOUNDATION

### Activity Details

Settled Activity	Date	Type	Description	Market Value	Tax Cost	Income Cash	Principal Cash
		U.S. DOLLARS - USD					
			Opening Balance				
				\$ 0.00			\$ 89,090.96
07/01/09	Interest Receipts		MFB NORTHERN FDS MONEY MKT FD MONEY MARKET FUND (NORXX) INCOME RECEIVED			19.77	
07/02/09	Purchases		BERKELEY W R CORP COM (WRB) PURCHASED 500.00 SHARES 06-29-09 AT A PRICE OF \$21.5882 PLUS BROKER COMMISSION OF \$25.00 J.P. MORGAN SEC (STKS & BONDS)	10,819.15			( 10,819.15)
	Sales		AMERICAN EXPRESS CO. COMMON STOCK \$40PAR (AXP) SOLD 300.00 SHARES 06-29-09 AT A PRICE OF \$24.0463 LESS BROKER COMMISSION OF \$13.00 AND OTHER CHARGES OF \$0.19 MORGAN STANLEY & CO.		{ 7,234.41 }		
07/06/09	Purchases		ADR NESTLE S A SPONSORED ADR REPSTG REG SH (NSRGY) PURCHASED 200.00 SHARES 04-30-09 AT A PRICE OF \$37.544 PLUS BROKER COMMISSION OF \$2.00 DIRECT TRADING INSTITUTIONAL	7,510.28			( 7,510.28)
07/13/09	Dividends		WILLIS GROUP HOLDINGS COM (WSH) .26 PER SHARE ON 1200.00 SHARES EX DATE 06-24-09 RECORD DATE 06-30-09 GROSS RATE .26 TAX RATE .00%			312.00	
07/28/09	Sales		PEABODY ENERGY CORP COM STK (BTU) SOLD 300.00 SHARES 07-23-09 AT A PRICE OF \$32.51 LESS BROKER COMMISSION OF \$6.00 AND OTHER CHARGES OF \$0.26 PIPELINE TRADING SYSTEMS LLC		{ 9,284.61 }		9,746.74
07/29/09	Dividends		COMCAST CORP NEW CL A SPL CL A SPL (CMCSK) \$0.0675 A SHARE ON 3,800 SHARES			256.50	

Continued on next page.



## Account Statement

October 1, 2009 - October 31, 2009

Account Number 03-99240  
SQUARE ONE FOUNDATION

### Activity Details (continued)

Settled Activity	Type	Description	Market Value	Tax Cost	Income Cash	Principal Cash
	Dividends	ECOLAB INC COMMON STOCK (ECL) \$0.14 A SHARE ON 1,300 SHARES	182.00			
10/20/09	Sales	DRESSER-RAND GROUP INC COM (DRG) SOLD 200.00 SHARES 10-15-09 AT A PRICE OF \$32.2383 LESS BROKER COMMISSION OF \$4.00 AND OTHER CHARGES OF \$0.17 PIPELINE TRADING SYSTEMS LLC	( 5,269.00)			6,443.49
10/22/09	Purchases	MC DONALD CORP. COMMON STOCK. NO PAR (MCD) PURCHASED 200.00 SHARES 10-19-09 AT A PRICE OF \$59.3356 PLUS BROKER COMMISSION OF \$10.00 FIRST BOSTON CORP.	11,877.12			( 11,877.12)
10/28/09	Account Expenses	PAID EAGLE CAPITAL MAGAT LLC FOR SERVICES RENDERED PER STATEMENT DATED: 10/15/2009 RE: 3RD QUARTER MANAGEMENT FEE.			( 2,916.46)	
	Dividends	COMCAST CORP NEW CL A SPL CL A SPL (CMCSK) \$0.0675 A SHARE ON 4,600 SHARES				310.50
10/30/09	Fees	ACCOUNT MANAGEMENT FEE OF THE NORTHERN TRUST FOR PERIOD ENDING 10-31-09				( 180.53)
		<b>Closing Balance</b>				
					<b>\$ 482.14</b>	<b>\$ 24,800.34</b>

## Account Statement

November 1, 2009 - November 30, 2009

## Activity Details

### Settled Activity

Date	Type	Description	Market Value	Tax Cost	Income Cash	Principal Cash
U.S. DOLLARS - USD		Opening Balance				
11/02/09	Interest Receipts	MFB NORTHERN FUNDS MONEY MKT FD MONEY MARKET FUND (NORXX) INCOME RECEIVED		\$ 482.14		\$ 24,800.84
11/05/09	Sales	COSTCO WHOLESALE CORP NEW COM (COST) SOLD 400.00 SHARES 11-02-09 AT A PRICE OF \$37.4966 LESS BROKER COMMISSION OF \$20.00 AND OTHER CHARGES OF \$0.40 MORGANKEEGAN & CO., INC.	{ 18,374.28}			22,978.04
11/06/09	Dividends	COSTCO WHOLESALE CORP NEW COM (COST) \$0.18 A SHARE ON 400 SHARES		72.00		
11/10/09	Dividends	AMERICAN EXPRESS CO. COMMON STOCK. \$.60 PAR (AXP) \$0.18 A SHARE ON 700 SHARES		126.00		
11/20/09	Capital Structure Changes	#REORG/LIBERTY ENTMT INC COM SER A STOCK MERGER TO DIRECTV-CLASS A 2056110 EFF 11/25/09 RECEIVED 990.00 SHARES IN EXCHANGE FOR 1,100.00 OF \$3071MS00 AT A RATE OF .90 SHARE PER 1 SHARE MANDATORY EXCHANGE EFFECTIVE 2009/11/20		24,889.35		
Capital Structure Changes		#REORG/LIBERTY MAND EXCH LIBERTY ENTERTAINMENT INC-A LIBERTY MEDIA-STARZ SERIES A 2056109 2055919 11/20/09 (LMDIA) DELIVERED 1,100.00 SHARES IN EXCHANGE FOR 110.00 SHARES OF \$3071M708 AT A RATE OF .10 SHARES PER 1 SHARE 990.00 SHARES OF \$3043T102 AT A RATE OF .90 SHARES PER 1 SHARE PER MANDATORY EXCHANGE EFFECTIVE 2009/11/20	{ 28,783.20}			

*Continued on next page.*

414,304.97

620467

Total G/(L)

Page 11 of 14

40,142.30  
Total

**SQUARE ONE FOUNDATION**  
**FEIN: 36-6068835**  
**FORM 990-PF, PART IV ATTACHMENT**

<b>(a) - Description</b>	<b>(h) - Gain or (loss) (e) plus (f)</b>	<b>(I) - Gains (Column (h) gain minus column (k) but not less than -0-) or Losses (from column (h))</b>
Northgate IV	(2,402)	(2,402)
Siguler Guff Distressed	2,914	2,914
PIMCO - Total Return	25,488	25,488
PIMCO - Commodity Real Return	13,849	13,849
Tweedy, Browne International Partners	2,516	2,516
RIEF	(828,157)	(828,157)
Acadian Emerging Markets	110,970	110,970
Adamas Partners, L.P.	37,104	37,104
Adamas Opportunities, L.P.	10,535	10,535
NT Multi-Advisor Funds - Edinburgh	(1,118,217)	(1,118,217)
NT Multi-Advisor Funds - Marisco	(590,044)	(590,044)
Total Partnership Gain/(Loss)	(2,335,444)	(2,335,444)

**Square One Foundation  
2009 Contributions**

Organization	Amount
High Jump	2,500 00
Chicago Global Donors Network	1,000 00
Spertus Institute	1,000.00
High Jump	2,500 00
Jewish Federation	8,333 00
BPI	10,000 00
Donors Forum	2,648 97
Genocide Intervention Network	1,000 00
Chicago Children's Choir	8,334 00
University of Chicago	50,000 00
Jewish Children and Family Services	70,000 00
The Auxiliary Highland Park Hospital	500 00
Millennium Promise Alliance	65,000 00
Harvard University	50,000.00
Genocide Intervention Network	10,000 00
Chicago Children's Choir	5,000 00
Van Leer Jerusalem Institute	30,000 00
Dream Association	2,000 00
Chicago Public Radio	20,000 00
Seeds of Peace	1,000.00
Sierra Club Foundation	1,000.00
National Resource Defense Council	550.00
Southern Poverty Law Center	2,000 00
Jewish United Fund	185,000 00
Camp Nebagamon Scholarship Fund	1,000.00
Lincoln Park Zoo	500.00
Philip Brooks House Association	500.00
Chicago Global Donors Network	2,000 00
Latin School of Chicago	32,000 00
The Spertus Institute	75,000 00
Highland Park Community Land Trust	2,500 00
United States Holocaust Memorial Museum	2,500 00
Childrens Memorial Foundation	7,500 00
Congregation Solel	100,000 00
Chicago Coalition for the Homeless	750 00
Chicago Symphony Orchestra	2,500.00
Environmental Law & Policy Center	5,000 00
Greater Chicago Food Depository	2,000 00
Ounce of Prevention	5,000 00
Voyager Outward Bound	250 00
CARE	500 00
University of Chicago	133,333 00
Sec of State	10.00

902,208 97

+ 4 FROM K-1's

902,212.97

**Square One Foundation  
Schedule of Investments  
December 31, 2009**

DWS Investments - 1737975	\$ 25,881
Shore Bank - 8700060943	129,189
Vanguard - 88027084827	1,061,297.97
Northern Trust - 23-48067 (Cash)	190,174
Northern Trust - 23-48067 (Fixed Income & Equity)	3,481,344
NT Money Market 554919720/554988501/03727	1,325,749
NT - Steinberg	1,623,451
Nt - Eagle Capital Mgt. 399240	1,770,724
Northgate IV	175,664
Siguler Guff Distressed	318,654
Wellington Archipelago Holdings, Ltd.	1,319,201
PIMCO - Total Return Fund - 114040	2,511,913
PIMCO - Commodity Real Return - 114040	1,200,016
Harding, Loevner Funds, Inc.	2,099,398
T.Rowe Price New Era Fund - 3186-3	1,300,183
Tweedy, Browne International Partners	1,872,557
Acadian Emerging Markets Equity Fund, LLC	1,466,344
Adamas Partners, L.P.	2,336,448
Adamas Opportunities, L.P.	\$ 2,354,287
 Total Investments	 <u>\$ 26,562,475</u>

Application for Extension of Time To File an  
Exempt Organization Return

OMB No 1545 1709

► File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box  ► [X]
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6 month extension – check this box and complete Part I only  ► [ ]

All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits

Type or print	Name of Exempt Organization <b>SQUARE ONE FOUNDATION</b>	Employer identification number 36-6068835
File by the due date for filing your return. See instructions	Number, street, and room or suite number. If a P.O. box, see instructions <b>701 W. ERIE STREET</b>	
	City, town or post office, state and ZIP code. For a foreign address, see instructions <b>CHICAGO, IL 60610</b>	

Check type of return to be filed (file a separate application for each return)

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ► PRISCILLA KERSTEN

Telephone No ► 312-666-5500 FAX No \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box  ► [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)       . If this is for the whole group, check this box ►  If it is for part of the group, check this box ►  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above

The extension is for the organization's return for

►  calendar year 20 09 or

►  tax year beginning       , 20       , and ending       , 20       

2 If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$ <u>5,000.</u>
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$ <u>0.</u>
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$ <u>5,000.</u>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev 4-2009)