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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

G Check all that apply:  Initial return  Initial Return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions

ROBERT V. RASMUSSEN FOUNDATION  
C/O RITCHIE GOODWIN-630 PARK AVENUE  
NEW YORK, NY 10065

A Employer identification number

36-6061918

B Telephone number (see the instructions)

C If exemption application is pending, check here ►   
 D 1 Foreign organizations, check here ►   
 2 Foreign organizations meeting the 85% test, check here and attach computation ►   
 E If private foundation status was terminated under section 507(b)(1)(A), check here ►   
 F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) J Accounting method  Cash  Accrual  
 Other (specify) ► \$ 482,162. (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and

Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
R E V E N U E  Q32	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	1.	1.	N/A	
	4 Dividends and interest from securities	6,872.	6,872.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	8,504.			
	b Gross sales price for all assets on line 10 102,818.				
	7 Capital gain net income (from Part IV, line 2)		8,504.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule) See Statement 1	611.	611.			
12 Total. Add lines 1 through 11	15,988.	15,988.			
ADMINISTRATIVE OPERATING AND EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach sch) See St 2	2,825.			2,825.	
c Other prof fees (attach sch)					
17 Interest					
18 Taxes (attach schedule)(see instr) See Stm 3	227.	227.			
19 Depreciation (attach sch) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) See Statement 4	2,530.	2,366.		164.	
24 Total operating and administrative expenses. Add lines 13 through 23	5,582.	2,593.		2,989.	
25 Contributions, gifts, grants paid Part XV	30,347.			30,347.	
26 Total expenses and disbursements. Add lines 24 and 25	35,929.	2,593.		33,336.	
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements	-19,941.				
b Net investment income (if negative, enter -0-)		13,395.			
c Adjusted net income (if negative, enter -0-)					

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		12,183.	7,491.	
	3 Accounts receivable	2.			
	Less allowance for doubtful accounts		10.	2.	
	4 Pledges receivable				
	Less: allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)				
	7 Other notes and loans receivable (attach sch)				
	Less allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - US and state government obligations (attach schedule)				
	b Investments - corporate stock (attach schedule) <b>Statement 5</b>	231,490.	234,059.	290,166.	
	c Investments - corporate bonds (attach schedule)				
	11 Investments - land, buildings, and equipment basis				
Less. accumulated depreciation (attach schedule)					
12 Investments - mortgage loans					
13 Investments - other (attach schedule) <b>Statement 6</b>	210,269.	191,161.	184,503.		
14 Land, buildings, and equipment basis					
Less accumulated depreciation (attach schedule)					
15 Other assets (describe)					
16 <b>Total assets</b> (to be completed by all filers - see instructions. Also, see page 1, item 1)	453,952.	432,713.	482,162.		
LIABILITIES	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, & other disqualified persons				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe)				
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.		
NET ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		<input checked="" type="checkbox"/>		
	27 Capital stock, trust principal, or current funds		502,941.		
	28 Paid-in or capital surplus, or land, building, and equipment fund		-48,989.		
	29 Retained earnings, accumulated income, endowment, or other funds		453,952.		
	30 <b>Total net assets or fund balances</b> (see the instructions)		432,713.		
	31 <b>Total liabilities and net assets/fund balances</b> (see the instructions)		453,952.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	453,952.
2 Enter amount from Part I, line 27a	2	-19,941.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	434,011.
5 Decreases not included in line 2 (itemize)	5	1,298.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	432,713.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a HARRIS BANK-L.T. -SCHED ATT.			P	Various	Various
b ING INTL SMALL CAP			P	7/01/08	4/17/09
c Capital gain dividends					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 102,557.			94,312.	8,245.	
b 1.			2.	-1.	
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) Fair Market Value as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))	
a				8,245.	
b				-1.	
c				260.	
d					
e					
2 Capital gain net income or (net capital loss)		— [ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ] —		2	8,504.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0- in Part I, line 8		— [ ] —		3	-1.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

N/A

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008			
2007			
2006			
2005			
2004			
<b>2 Total of line 1, column (d)</b>			2
<b>3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>			3
<b>4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5</b>			4
<b>5 Multiply line 4 by line 3</b>			5
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>			6
<b>7 Add lines 5 and 6</b>			7
<b>8 Enter qualifying distributions from Part XII, line 4</b>			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the  
Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1.	
Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instr.)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1 268.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	
3	Add lines 1 and 2	2 0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	3 268.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	4 0.
6	<b>Credits/Payments</b>	5 268.
a	2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 670.
b	Exempt foreign organizations – tax withheld at source	6b
c	Tax paid with application for extension of time to file (Form 8868)	6c
d	Backup withholding erroneously withheld	6d
7	Total credits and payments Add lines 6a through 6d	7 670.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 402.
11	Enter the amount of line 10 to be Credited to 2010 estimated tax ► 402. Refunded	11 0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes	No
1a	X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

1b	X
----	---

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

1c	X
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c Did the foundation file **Form 1120-POL** for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.

(1) On the foundation ► \$ _____ 0.	(2) On foundation managers ► \$ _____ 0.
-------------------------------------	--

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ \_\_\_\_\_ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If 'Yes,' attach a detailed description of the activities

2	X
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3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

3	X
---	---

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a	X
----	---

b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

4b	N/A
----	-----

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5	X
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If 'Yes,' attach the statement required by General Instruction T

6	X
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6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

7	X
---	---

• By language in the governing instrument, or

8b	X
----	---

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

9	X
---	---

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV

10	X
----	---

8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► IL

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11	X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X
Website address	► N/A		
14	The books are in care of ► TAXPAYER	Telephone no ►	
	Located at ► 630 PARK AVENUE NEW YORK NY	ZIP + 4 ► 10065	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A ► 15	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is 'Yes' to 1a(1)-(5), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here	► <input type="checkbox"/>	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
	If 'Yes,' list the years ► 20__, 20__, 20__, 20__		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see the instructions )		N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20__, 20__, 20__, 20__		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here  ► **c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

N/A  Yes  No**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes  No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes  No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RITCHIE GOODWIN 630 PARK AVENUE NEW YORK, NY 10065	PRESIDENT 7.00	0.	0.	0.
FONTAINE CHRISTENSEN 21709 N. 78TH STREET SCOTTSDALE, AZ 85255	VICE PRESIDE 7.00	0.	0.	0.
DAVENIE FABER 4176 CARNELLIA AVENUE STUDIO CITY, CA 91604	SEC./TREASUR 7.00	0.	0.	0.
RITCHIE HOWE 45 E. 72ND STREET NEW YORK, NY 10021	DIRECTOR 7.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total** number of other employees paid over \$50,000

►

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

**Total number of others receiving over \$50,000 for professional services**

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

**Amount**

1	<u>N/A</u>
2	

All other program-related investments See instructions

3 \_\_\_\_\_

**Total. Add lines 1 through 3**

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes

a Average monthly fair market value of securities

1a 414,336.

1b 9,510.

1c

1d 423,846.

2

3 423,846.

4 6,358.

5 417,488.

6 20,874.

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**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6

1 20,874.

2a Tax on investment income for 2009 from Part VI, line 5

2a 268.

2b

2c 268.

3 20,606.

4

5 20,606.

6

7 20,606.

7 Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes

1a 33,336.

1b

2

3

3a

3b

4 33,336.

5

6 33,336.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				20,606.
2 Undistributed income, if any, as of the end of 2009			29,490.	
a Enter amount for 2008 only		0.		
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ► \$ 33,336.			29,490.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2009 distributable amount				3,846.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				16,760.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

BAA

Form 990-PF (2009)

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

<b>1a</b> If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling				
<b>b</b> Check box to indicate whether the foundation is a private operating foundation described in section	<input type="checkbox"/> 4942(j)(3) or		<input type="checkbox"/> 4942(j)(5)	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
<b>b</b> 85% of line 2a				
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed				
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities				
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon				
<b>a</b> 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
<b>c</b> 'Support' alternative test — enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ▶  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

### c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> See Statement 8				
<b>Total</b>				► 3a 30,347.
<i>b Approved for future payment</i>				
<b>Total</b>				► 3b

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
<b>1</b> Program service revenue					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments					
<b>3</b> Interest on savings and temporary cash investments					1.
<b>4</b> Dividends and interest from securities					6,872.
<b>5</b> Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income					611.
<b>8</b> Gain or (loss) from sales of assets other than inventory					8,504.
<b>9</b> Net income or (loss) from special events					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue					
a					
b					
c					
d					
e					
<b>12</b> Subtotal. Add columns (b), (d), and (e)					15,988.
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e)					15,988.

(See worksheet in the instructions for line 13 to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See the instructions )
N/A	



## ROBERT V.RASMUSSEN FOUNDATION

36-6061918

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Other Investment Income	\$ 611.	611.	
Total	\$ 611.	611.	0.

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
	\$ 2,825.			\$ 2,825.
Total	\$ 2,825.	\$ 0.		\$ 2,825.

**Statement 3**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES PAID	\$ 227.	\$ 227.		
Total	\$ 227.	\$ 227.		\$ 0.

**Statement 4**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FILING FEE	\$ 164.			\$ 164.
INVESTMENT MANAGEMENT FEES	\$ 2,366.	\$ 2,366.		
Total	\$ 2,530.	\$ 2,366.		\$ 164.

## ROBERT V.RASMUSSEN FOUNDATION

36-6061918

**Statement 5**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
COMMON STOCK-SCHED. ATTACHED	Cost	\$ 225,093.	\$ 277,716.
300 ACCENTURE PLC	Cost	8,966.	12,450.
	Total	<u>\$ 234,059.</u>	<u>\$ 290,166.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 13**  
**Investments - Other**

<u>Other Publicly Traded Securities</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
325 ISHARES RUSSEL 2000 INDEX FUND	Cost	\$ 19,592.	\$ 20,293.
2383 T. ROWE PRICE EMERGING MARKETS	Cost	63,408.	71,719.
1007 FIDELITY DIVERSIFIED INTL FUND	Cost	37,292.	28,193.
890 DODGE & COX INTL STOCK FUND	Cost	37,537.	28,353.
950 TEMPLETON INST.FOREIGN	Cost	13,072.	13,946.
2657 PIMCO COMMODITY	Cost	20,260.	21,999.
	Total	<u>\$ 191,161.</u>	<u>\$ 184,503.</u>

**Statement 7**  
**Form 990-PF, Part III, Line 5**  
**Other Decreases**

FEDERAL EXCISE TAX	\$ 299.
PRIOR YEAR'S CONTRIBUTIONS	998.
ROUNDING	1.
Total	<u>\$ 1,298.</u>

**Statement 8**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
MISS PORTER'S SCHOOL			EDUCATIONAL	\$ 100.
SPENCE CHAPIN SCHOOL			HUMANITARIAN	1,000.

**Statement 8 (continued)**  
**Form 990-PF, Part XV, Line 3a**  
**Recipient Paid During the Year**

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
THE GREEN VALE SCHOOL			EDUCATIONAL	\$ 2,002.
SOUTHAMPTON FRESH AIR HOME			AID TO CHILDREN	120.
BOYS CLUB OF NEW YORK			CULTURAL	3,300.
SCOTTSDALE HEALTHCARE FOUND.			MEDICAL RESEARCH	7,000.
CHECKERBOARD FOUNDATION			HUMANITARIAN	200.
TREE PEOPLE			CONSERVATION	5,000.
N.Y.SOCIETY LIBRARY			EDUCATION	125.
CENTRAL ASIA INSTITUTE			CULTURAL	100.
LUNG CANCER RESEARCH			CANCER RESEARCH	500.
AMERICAN CANCER SOCIETY			CANCER RESEARCH	1,000.
HABITAT FOR HUMANITY			HOMES FOR THE DISADVANTAGED	200.
BUCKLEY SCHOOL			EDUCATIONAL	6,700.
PARENTS FOR CARPENTER			SUPPORT FOR ELEMENTARY SCHOOL EDUCATION	3,000.
Total				\$ <u>30,347.</u>

2009

**Federal Supporting Detail**

**Page 1**

**ROBERT V.RASMUSSEN FOUNDATION**

**36-6061918**

**Other Income Producing Activities**  
**Other investment income [O]**

CLASS ACTION SETTLEMENTS

Total \$ 611.  
          \$ 611.

RASMUSSEN ROBERT V FIDTN I/A 116010827691  
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
<b>15% RATE CAPITAL GAINS (LOSSES)</b>					
200. ENSCO INTERNATIONAL INC STOCK	07/25/2006	12/24/2009	8,435.00	8,382.76	52.24
100. EXXON MOBIL CORPCOMMON STOCK	04/29/1997	09/18/2009	6,978.09	2,022.99	4,955.10
50. EXXON MOBIL CORPCOMMON STOCK	04/06/1993	12/21/2009	3,419.41	669.99	2,749.42
200. HUMANA INC COMMON STOCK	01/23/2004	03/25/2009	4,969.85	4,704.00	265.85
314.567 ING INTERNAT SMALLCAP	12/18/2007	04/17/2009	6,999.11	13,322.98	-6,323.87
415.673 ING INTERNAT SMALLCAP	06/09/2006	09/11/2009	13,210.09	17,487.37	-4,277.28
175. ISHARES TR RUSSELL 2000 INDEX FD	01/04/2007	04/17/2009	8,310.92	12,437.46	-4,126.54
100. QUEST DIAGNOSTICS INC COMMON STOCK	01/31/2003	09/18/2009	5,095.55	2,672.00	2,423.55
50. REPUBLIC SERVICES INC COMMON STOCK CL-A	03/28/2002	12/21/2009	1,408.96	629.63	779.33
300. ROWE T PRICE INTL FDS INC EMERGING MKTS STK FD	12/18/2007	10/30/2009	8,283.00	9,191.02	-908.02
50. UNITED TECHNOLOGIES CORPORATION COMMON STOCK	06/02/1997	12/21/2009	3,479.41	1,004.13	2,475.28
150. VALERO REENG & MARKETING CO COMMON STOCK	01/31/2007	09/18/2009	3,046.42	8,151.77	-5,105.35
200. WELLPOINT INC	12/03/2003	03/25/2009	7,212.14	7,426.00	-213.86
150. XTO ENERGY INC COMMON	10/27/2003	09/18/2009	6,424.74	1,618.73	4,806.01
100. XTO ENERGY INC COMMON	07/01/2003	10/06/2009	4,116.40	954.16	3,162.24
50. XTO ENERGY INC COMMON	07/01/2003	12/21/2009	2,342.49	477.06	1,865.41
100. YUM BRANDS INC COMMON	11/01/2001	10/06/2009	3,514.91	1,264.00	2,250.91
100. YUM BRANDS INC COMMON	11/01/2001	10/19/2009	3,574.09	1,264.00	2,310.09
50. YUM BRANDS INC COMMON	11/01/2001	12/21/2009	1,735.95	632.00	1,103.95
<b>TOTAL 15% RATE CAPITAL GAINS (LOSSES)</b>			<b>102,556.53</b>	<b>94,312.01</b>	<b>8,244.46</b>

USA 2000



RASMUSSEN ROBERT VEDTNAA

*Details of assets in your account*

DESCRIPTION OF ASSET	QUANTITY	CURRENT UNIT VALUE	CURRENT TOTAL = MARKET VALUE	FEDERAL TAX COST	UNREALIZED GAIN/LOSS =	ESTIMATED ANNUAL INCOME	YIELD
<b>CASH &amp; SHORT-TERM</b>							
CASH	535.850	1.0000	535.85	535.85	0.00	0.00	0.00%
TOTAL CASH & SHORT-TERM			\$535.85	\$535.85	\$0.00	\$0.00	.00%
<b>CASH &amp; SHORT-TERM</b>							
VIRTUS INSIGHT MONEY MARKET FUND I	13,775.410	1.0000	13,775.41	13,775.41	0.00	19.17	0.14%
TOTAL CASH & SHORT-TERM			\$13,775.41	\$13,775.41	\$0.00	\$19.17	.14%
<b>EQUITY/HIGH VOLATILITY</b>							
U.S. equity							
AMGEN INC Health care	AMGN	100.000	56.5700	5,657.00	5,910.56	-253.56	0.00
ARCELORMITTAL AMERICAN DEPOSITORY RECEIPT Materials	MT	100.000	45.7500	4,575.00	6,743.12	-2,168.12	63.70
BANK AMERICA CORP Financials	BAC	250.000	15.0600	3,765.00	7,639.89	-3,874.89	10.00
CISCO SYSTEMS INC Information technology	CSCO	450.000	23.9400	10,773.00	18,123.44	-7,350.44	0.00
CITIGROUP INC Financials	C	300.000	3.3100	993.00	2,301.95	-1,308.95	0.00
COSTCO WHOLESALE CORP NEW Consumer staples	COST	225.000	59.1700	13,313.25	9,509.22	3,804.03	162.00
CVS CAREMARK CORP Consumer staples	CVS	200.000	32.2100	6,442.00	8,271.44	-1,829.44	61.00
ENSCO INTERNATIONAL SPONSORED AMERICAN DEPOSITORY RECEIPT Energy	ESV	200.000	39.9400	7,988.00	8,435.00	-447.00	0.00

**RASMUSSEN, ROBERT V FDTN/IA**

Account: 110010827691 / Page 7 of 19  
 December 1, 2009 to December 31, 2009

• **Details of assets in your account (continued)**

DESCRIPTION OF ASSET	QUANTITY	X	CURRENT UNIT VALUE	CURRENT TOTAL = MARKET VALUE	FEDERAL TAX COST	UNREALIZED GAIN/LOSS =	ANNUAL INCOME	ESTIMATED YIELD
EXXON MOBIL CORPORATION Energy	XOM	178 000	68.1900	12,137.82	2,385.17	9,752.65	299.04	2.46%
FEDEX CORPORATION Industrials	FDX	150 000	83.4500	12,517.50	8,702.51	3,814.99	66.00	0.53%
FINANCIAL SELECT SPDR SHS BEN INT-FINANCIAL Financials	XLF	1,375 000	14.4000	19,800.00	15,318.60	4,481.40	287.38	1.45%
GENERAL ELECTRIC CORP Industrials	GE	500 000	15.1300	7,565.00	3,755.00	3,810.00	200.00	2.64%
GENZYME CORP-GENERAL COMMON STOCK GENL DIV Health care	GENZ	250 000	49.0100	12,252.50	8,249.77	4,002.73	0.00	0.00%
INTEL CORP Information technology	INTC	600 000	20.4000	12,240.00	2,667.19	9,572.81	336.00	2.74%
INTERNATIONAL BUSINESS MACHINES CORP CAPITAL STOCK Information technology	IBM	100 000	130.9000	13,090.00	12,438.25	651.75	220.00	1.68%
ISHARES DOW JONES U S TELECOMMUNICATIONS SECTOR INDEX FUND Telecommunication services	IYZ	375.000	20.0200	7,507.50	5,956.50	1,551.00	281.63	3.75%
LIFE TECHNOLOGIES CORP Health care	LIFE	300 000	52.2200	15,666.00	11,428.07	4,237.93	0.00	0.00%
MATERIALS SELECT SPDR SECTOR INDEX FUND Materials	XLB	350.000	32.9900	11,546.50	8,822.05	2,724.45	247.80	2.15%
NATIONAL-OILWELL INC Energy	NOV	100 000	44.0900	4,409.00	7,396.86	-2,987.86	0.00	0.00%



RASMUSSEN, ROBERT V/FD/N/A

Account 1101010827691 / Page 8 of 19  
December 1, 2009 to December 31, 2009

• Details of assets in your account (continued)

DESCRIPTION OF ASSET	QUANTITY	X	CURRENT UNIT VALUE	CURRENT TOTAL = MARKET VALUE	FEDERAL TAX COST	UNREALIZED GAIN/LOSS =	ESTIMATED ANNUAL INCOME	YIELD
ORACLE CORPORATION Information technology	450 000		24.5300	11,038.50	9,685.53	1,352.97	90.00	0.81%
PRECISION CASTPARTS CORP Industrials	75 000		110.3500	8,276.25	10,463.87	-2,187.62	9.00	0.11%
REPUBLIC SERVICES INC COMMON STOCK CL-A Industrials	375.000		28.3100	10.616.25	4,722.25	5,894.00	285.00	2.68%
STAPLES INC Consumer discretionary	300.000		24.5900	7,377.00	5,542.60	1,834.40	99.00	1.34%
TEVA PHARMACEUTICAL IND'S LTD AMERICAN DEPOSITORY RECEIPT Health care	200 000		56.1800	11,236.00	8,697.02	2,538.98	96.40	0.86%
TEXAS INSTRUMENTS INC Information technology	175.000		26.0600	4,560.50	15,399.56	-10,839.06	84.00	1.84%
UNITED TECHNOLOGIES CORP Industrials	150.000		69.4100	10,411.50	3,012.37	7,399.13	231.00	2.22%
WELLS FARGO & CO Financials	300 000		26.9900	8,097.00	7,254.00	843.00	60.00	0.74%
XTO ENERGY INC Energy	325.000		46.5300	15,122.25	3,101.01	12,021.24	162.50	1.07%
YUM! BRANDS INC Consumer discretionary	250.000		34.9700	8,742.50	3,160.00	5,582.50	210.00	2.40%
Total U.S. equity U.S. equity funds				\$277,715.82	\$225,092.80	\$52,623.02	\$3,561.45	1.28%
ISHARES RUSSELL 2000 INDEX FUND Small cap diversified equity	325 000		62.4400	20,293.00	19,591.72	701.28	329.23	1.62%
Total U.S. equity funds				\$20,293.00	\$19,591.72	\$701.28	\$329.23	1.62%