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Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation: RICE FOUNDATION	A Employer identification number: 36-6043160
	Number and street (or P.O box number if mail is not delivered to street address): 8600 GROSS POINT ROAD; Room/suite	B Telephone number (see page 10 of the instructions): 847-581-9999
	City or town, state, and ZIP code: SKOKIE ILLINOIS 60077-2151	C If exemption application is pending, check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 75,542,363

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ *(Part I, column (d) must be on cash basis.)*

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	0	0		
	4 Dividends and interest from securities	1,870,698	1,870,698		
	5 a Gross rents	296,441	296,441		
	b Net rental income or (loss) 255,441				
	6 a Net gain or (loss) from sale of assets not on line 10	-2,062,909			
	b Gross sales price for all assets on line 6a 0				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances 0				
	b Less Cost of goods sold 0				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	1,409,368	1,409,368	0	
	12 **Total.** Add lines 1 through 11	1,513,598	3,576,507	0	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	260,520	83,954		176,566
	14 Other employee salaries and wages	114,720	16,002		98,718
	15 Pension plans, employee benefits	542,111	199,927		342,184
	16 a Legal fees (attach schedule)	2,965	1,038	0	1,927
	b Accounting fees (attach schedule)	79,097	60,991	0	18,106
	c Other professional fees (attach schedule)	237,110	233,010	0	4,100
	17 Interest	0	0		0
	18 Taxes (attach schedule) (see page 14 of the instructions)	116,776	40,198	0	0
	19 Depreciation (attach schedule) and depletion	76,526	27,912	0	
	20 Occupancy	47,758	11,940	0	35,818
	21 Travel, conferences, and meetings	1,792	134	0	1,210
	22 Printing and publications				
	23 Other expenses (attach schedule)	33,718	11,350	0	22,368
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,513,093	686,456	0	700,997
	25 Contributions, gifts, grants paid	2,994,151			2,994,151
	26 **Total expenses and disbursements.** Add lines 24 and 25	4,507,244	686,456	0	3,695,148
	27 Subtract line 26 from line 12.				
	a **Excess of revenue over expenses and disbursements**	-2,993,646			
	b **Net investment income** (if negative, enter -0-)		2,890,051		
	c **Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,099	13,789	13,789
	2	Savings and temporary cash investments	6,868,308	3,662,487	3,662,487
	3	Accounts receivable ▶ 0			
		Less: allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	2,998,750	2,998,750	4,055,850
	b	Investments—corporate stock (attach schedule)	58,688,454	58,119,112	54,103,243
	c	Investments—corporate bonds (attach schedule)	0	0	0
	11	Investments—land, buildings, and equipment basis ▶ 7,120,000			
		Less. accumulated depreciation (attach schedule) ▶ 0	7,120,000	7,120,000	8,586,901
	12	Investments—mortgage loans	0		
	13	Investments—other (attach schedule)	5,332,541	5,332,541	1,063,308
	14	Land, buildings, and equipment: basis ▶ 2,191,457			
		Less accumulated depreciation (attach schedule) ▶ 1,020,951	1,236,644	1,170,506	1,170,506
	15	Other assets (describe ▶ SCHEDULE ATTACHED)	1,715,963	2,550,653	2,886,279
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	83,962,759	80,967,838	75,542,363
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶ SECURITY DEPOSITS)	3,075	1,800	
	23	**Total liabilities** (add lines 17 through 22)	3,075	1,800	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	83,959,684	80,966,038	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	83,959,684	80,966,038	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	83,962,759	80,967,838	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	83,959,684
2	Enter amount from Part I, line 27a	2	-2,993,646
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	80,966,038
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	80,966,038

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	SCHEDULES ATTACHED			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	0	0	0	0
b	0	0	0	0
c	0	0	0	0
d	0	0	0	0
e	0	0	0	0

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-2,062,909
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	3,344,010	78,300,605	0.042707
2007	4,453,067	86,744,048	0.051336
2006	4,291,972	85,840,524	0.049999
2005	5,170,126	84,657,228	0.061071
2004	4,536,132	87,037,443	0.052117

2	**Total** of line 1, column (d)	2	0.257230
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051446
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	68,254,167
5	Multiply line 4 by line 3	5	3,511,404
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	28,901
7	Add lines 5 and 6	7	3,540,305
8	Enter qualifying distributions from Part XII, line 4	8	3,695,148

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	28,901
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	28,901
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	28,901
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 120,000		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	120,000
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	91,099
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 0 **Refunded** ▶	11	91,099

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0 (2) On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ ILLINOIS			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶

14 The books are in care of ▶ THE RICE FOUNDATION Telephone no. ▶ 847-581-9999

Located at ▶ 8600 GROSS POINT ROAD SKOKIE IL ZIP+4 ▶ 60077-2151

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly).			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b		X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ▶ 20_____, 20_____, 20_____, 20_____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20_____, 20_____, 20_____, 20_____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	5b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945–5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED	.00	0	0	0
	.00	0	0	0
	.00	0	0	0
	.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE ATTACHED	.00	0	0	0
	.00	0	0	0
	.00	0	0	0
	.00	0	0	0
	.00	0	0	0
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SCHEDULES ATTACHED		0
		0
		0
		0
		0
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NO DIRECT CHARITABLE ACTIVITY ACTIVITIES WERE LIMITED TO MAKING GRANTS	NONE
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NO PROGRAM RELATED ACTIVITIES	NONE
2	
All other program-related investments See page 24 of the instructions. 3	0
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	49,437,804
b	Average of monthly cash balances		1b	6,612,233
c	Fair market value of all other assets (see page 24 of the instructions)		1c	13,243,534
d	**Total** (add lines 1a, b, and c)		1d	69,293,571
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	69,293,571
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)		4	1,039,404
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	68,254,167
6	**Minimum investment return.** Enter 5% of line 5		6	3,412,708

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	3,412,708
2a	Tax on investment income for 2009 from Part VI, line 5	2a	28,901		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	28,901
3	Distributable amount before adjustments Subtract line 2c from line 1			3	3,383,807
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	3,383,807
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	3,383,807

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	3,695,148
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,695,148
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	28,901
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	3,666,247

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				3,383,807
2	Undistributed income, if any, as of the end of 2009:				
a	Enter amount for 2008 only			0	
b	Total for prior years 20____, 20____, 20___		0		
3	Excess distributions carryover, if any, to 2009:				
a	From 2004 0				
b	From 2005 341,029				
c	From 2006 68,683				
d	From 2007 263,682				
e	From 2008 0				
f	Total of lines 3a through e	673,394			
4	Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 3,695,148				
a	Applied to 2008, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)		0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)	0			
d	Applied to 2009 distributable amount				3,383,807
e	Remaining amount distributed out of corpus	311,341			
5	Excess distributions carryover applied to 2009	0			0
	(If an amount appears in column (d), the same amount must be shown in column (a).)				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e Subtract line 5	984,735			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see page 27 of the instructions		0		
e	Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount—see page 27 of the instructions			0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	984,735			
10	Analysis of line 9:				
a	Excess from 2005 341,029				
b	Excess from 2006 68,683				
c	Excess from 2007 263,682				
d	Excess from 2008 0				
e	Excess from 2009 311,341				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2009	Prior 3 years (b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0			0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0			0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test—enter: (1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)			N/A		0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0			0
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed: STATEMENT ATTACHED

b The form in which applications should be submitted and information and materials they should include: STATEMENT ATTACHED

c Any submission deadlines: STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: STATEMENT ATTACHED

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* SCHEDULE ATTACHED	N/A	PUBLIC	GENERAL CHARITABLE	2,994,151
Total . . . . . ▶ **3a**				2,994,151
b *Approved for future payment* SCHEDULE ATTACHED	N/A	PUBLIC	GENERAL CHARITABLE	4,468,000
Total . . . . . ▶ **3b**				4,468,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See page 28 of the instructions.)
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	1,870,698	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property	110000	38,344	16	217,087	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-2,062,909	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a OIL & GAS ROYALTIES		0	15	682,140	0
b LITIGATION PROCEEDS		0	01	9,265	0
c ORD. INCOME FROM SECURITIES		0	18	56,662	0
d ORD. INCOME FROM PARTNERSHIPS		0	18	661,311	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		38,344		1,434,254	0
13 Total. Add line 12, columns (b), (d), and (e)				13	1,472,598

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 28 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of nonchantable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0	N/A	
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 7-12-10 — Title: Pres.

Paid Preparer's Use Only

Preparer's signature	Date	Check if self-employed ▶ ☒	Preparer's identifying number (see Signature on page 30 of the instructions)
Burton L. Weitzman	6/15/2010		P00356992

Firm's name (or yours if self-employed), address, and ZIP code: BURTON L. WEITZMAN CPA, 100 W MONROE ST. STE 900, CHICAGO, IL 60603 — EIN ▶ — Phone no (312) 782-6561

Part XVI-A, Line 13 (990-PF) - Total Verification

1	Amount from Part XVI-A, Line 13	1	1,472,598
2	Amount from Part I, Line 5b	2	255,441
3	Subtract Line 2 from Line 1	3	1,217,157
4	Amount from Part I, Line 1	4	0
5	Add Lines 3 and 4	5	1,217,157
6	Amount from Part I, Line 5a	6	296,441
7	Add Lines 5 and 6	7	1,513,598
8	Expenses of special events deducted in computing Part XVI-A, Line 9.	8	
9	Add Lines 7 and 8. Must match amount on Line 12, Part I, column (a)	9	1,513,598

Form **8868**
(Rev. April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . ▶ ☑
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print	Name of Exempt Organization	Employer identification number
File by the due date for filing your return. See instructions.	RICE FOUNDATION	36 6043160
	Number, street, and room or suite no. If a P.O. box, see instructions 8600 GROSS POINT ROAD	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. SKOKIE IL. 60077-2151	

Check type of return to be filed (file a separate application for each return):

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☑ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ THE FOUNDATION

Telephone No. ▶ (847) 581-9999 FAX No. ▶ (847) 581-1234

- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)_____. If this is for the whole group, check this box . . . . . ▶ ☐. If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension will cover.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☑ calendar year 20 09 or
▶ ☐ tax year beginning _____, 20_____, and ending _____, 20_____.

2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 120,000
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 120,000
c	**Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

2009

PART I AND PART II SCHEDULES INCLUDED WITH OTHER PARTS OF FORM 990-PF

PART I

LINE 6 NET GAIN OR LOSS FROM SALE OF ASSETS NOT ON LINE 10

SEE SCHEDULE FOR PART IV

LINE 13 COMPENSATION OF OFFICERS, DIRECTORS, TRUSTEES, ETC.

SEE SCHEDULE FOR PART VIII-LINE 1

LINE 14 OTHER EMPLOYEE SALARIES AND WAGES

SEE SCHEDULE FOR PART VIII-LINE 2

LINE 15 PENSION PLANS, EMPLOYEE BENEFITS

PER SCHEDULE FOR PART VIII-LINE 1	414,736
PER SCHEDULE FOR PART VIII-LINE 2	127,375
PER PART I-LINE 15	542,111

LINE 25 CONTRIBUTIONS, GIFTS, GRANTS PAID

SEE SCHEDULE FOR PART XV-LINE 3A

PART II

LINE 14 LAND, BUILDINGS AND EQUIPMENT

SEE SCHEDULE FOR PART I- LINE 19

RICE FOUNDATION
FORM 990-PF 2009
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2009
PART I

LINE 11

OTHER INCOME

OIL AND GAS GROSS ROYALTIES	682,140
LITIGATION PROCEEDS	9,265
ORDINARY INCOME FROM SECURITIES	58,652
ORDINARY INCOME FROM PARTNERSHIPS	661,311
TOTAL TO TO LINE 11	1,409,368

RICE FOUNDATION
FORM 990-PF 2009
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PART I--LINE 16 (A)-- LEGAL FEES

		2009	
LINE 16 (a)		PART I	
SPERANZA & BATES			
	REVIEW OF LEGAL DOCUMENTS	AND GENERAL LEGAL SERVICES	295
DOMMERMUTH, BRESTAL, COBINE AND WEST		LTD	
	REVIEW OF ENGINEERING CORRESPONDENCE, LEGAL RESEARCH AND OTHER LEGAL SERVICES		2,670
	TOTAL		2,965

RICE FOUNDATION
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PART I--LINE 16 (B) --ACCOUNTING FEES

2009

LINE 16 (b)

PART I

JAY WELLER ZITE D/B/A AC-U TAX 9253 N. LINDER SKOKIE, IL	
MONTHLY BOOKKEEPING AND ACCOUNTING, RECONCILIATION OF BANK BALANCES, PREPARATION OF QUARTERLY AND ANNUAL PAYROLL TAX RETURNS AND INFORMATION RETURNS	7,480
BURTON L. WEITZMAN, CERTIFIED PUBLIC ACCOUNTANT 100 W. MONRE ST. STE. 900 CHICAGO, IL.	
MONTHLY ACCOUNTING, PREPARATION OF MONTHLY DETAILED FINANCIAL STATEMENTS, MONTHLY DETAILED COMPARATIVE INVESTMENT ANALYSES, FORM 990-PF, ANNUAL RETURN OF A PRIVATE FOUNDATION AND FORM 990-IL, ILLINOIS CHARITABLE ORGANIZATION ANNUAL REPORT	60,827
WAGNER, SIM & CO., CERTIFIED PUBLIC ACCOUNTANTS ONE S. SUMMIT AVE., COURT E OAKBROOK TERRACE, IL	
ANNUAL CERTIFIED AUDIT	10,790
TOTAL TO LINE 16(b)	79,097

RICE FOUNDATION
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PART I--LINE 16 (C) --OTHER PROFESSIONAL FEES **SUMMARY**

2009

MANAGEMENT FEES-- PAGE 2	206,509
CONSULTING FEES--PAGE 3	30,601
TOTAL OTHER PROFESSIONAL FEES	237,110

RICE FOUNDATION
FORM 990-PF 2009
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2009

PART I--LINE 16 (C) -- OTHER PROFESSIONAL FEES--- MANAGEMENT FEES

	MGT FEES	BANK FEES	PLACEMENT FEES	TOTALS
PENN CAPITAL	1,094			1,094
NFJ	20,801			20,801
WELLS	11,597			11,597
FAYEZ	20,210	19		20,229
MDT	9,970			9,970
ADVISOR ACCOUNT	132,024			132,024
HEDGED FUNDS			10,000	10,000
CHASE BANK		794		794
TOTALS	195,696	813	10,000	206,509

RICE FOUNDATION
FORM 990-PF 2009
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PART I--LINE 16 (C)-- OTHER PROFESSIONAL FEES **CONSULTING FEES**

CONSULTING FEES
2009

OIL & GAS CONSULTANT-- PAUL J. PFOHL	20,000
PENSION CONSULTANT-- EMJAY CORP	2,400
SOFTWARE CONSULTANT -- PARAGON CONSULTING	85
UNITED ALARMS--ALARM SYSTEMS	431
ATG TRUST CO	195
DENTAL REIMBURSEMENT ADMINISTRATION -- THOMAS J. STRIDDE & ASSOC	1,140
OIL & GAS CONSULTANTS -- MINERAL RESOURCES INC.	6,350
TOTAL	30,601

RICE FOUNDATION
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2009

PART I

LINE 18----TAXES

REAL ESTATE TAXES

8468 FAIRVIEW	4,597
8236 FAIRVIEW	5,929
8246 FAIRVIEW	5,039
WOODFORD PROPERTY	5,518
FARM-DE KALB COUNTY	13,036
OIL & GAS PROPERTIES	6,079
TOTAL REAL ESTATE TAXES	40,198

OTHER TAXES

FEDERAL EXCISE TAX ON INVESTMENT INCOME PER FORM 990-PF FOR 2008	64,708
FEDERAL INCOME TAX ON UN-RELATED BUSINESS INCOME PER FORM 990-T FOR 2008	16,926
ILLINOIS INCOME TAX ON UN-RELATED BUSINESS INCOME PER FORM IL-990-T FOR 2008	5,942
TOTAL TAXES	118,776

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART I--LINE 19 AND PART II--LINE 14

DEPRECIATION SCHEDULE

FOR THE YEAR ENDED DECEMBER 31, 2009

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2008 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/2008
RICE BUILDING	1/1/94	1,248,080.00	627,345.96	31.5	39,621.58	666,967.54
RICE BLDG IMPROVEMENTS	1998	42,211.47	14,070.09	31.5	1,340.05	15,410.14
TOTALS--RICE BUILDING		1,290,291.47	641,416.04	-	40,961.64	682,377.68
FURNITURE & FIXTURES	2001	976.10	976.10	5		976.10
HIGH BACK CHAIR	2003	369.95	369.95	5		369.95
4 LATERAL FILING CABINETS	2003	680.00	680.00	5		680.00
LATERAL FILES	2003	1,587.96	1,587.96	5		1,587.96
COLOR WATERPROOF CAMERA	2005	3,793.00	2,655.10	5	758.60	3,413.70
PATH LIGHTING	2005	2,398.00	1,678.60	5	479.60	2,158.20
OFFICE CHAIR	2005	559.90	391.93	5	111.98	503.91
EXHAUST FAN	2005	1,230.00	861.00	5	246.00	1,107.00
COLOR WATERPROOF CAMERA	2005	555.00	388.50	5	111.00	499.50
CARPETING	2007	12,993.00	2,598.60	7.5	1,732.40	4,331.00
SECURITY CAMERAS	2007	990.00	297.00	5		297.00
CANNON COPIER	2007	4,800.00	1,440.00	5	960.00	2,400.00
32" SONY LCD TV	2008	1,449.13	144.91	5	289.93	434.84
UNICO AIR HANDLER	2009	3,633.14		5	363.31	363.31
SECURITY CAMERAS	2009	1,733.95		5	173.40	173.40
SECURITY CAMERAS	2007	(990.00)		5		(297.00)
TOTALS-FURNITURE		36,769.13	14,069.65		5,226.22	18,998.87
OFFICE EQUIPMENT	2001	656.00	656.00	5	-	656.00
COMPUTER EQUIPMENT	2002	365.00	365.00	5	-	365.00
OFFICE EQUIPMENT	2002	23,242.00	23,242.00	5	-	23,242.00
OFFICE EQUIPMENT	2003	553.85	553.85	5		553.85
PHONE SYSTEM	2003	2,555.10	2,555.10	5		2,555.10
FELLOWS SHREDDER	2003	1,909.94	1,909.94	5		1,909.94
EQUIS DESKTOP	2004	1,720.00	1,548.00	5	172.00	1,720.00
NOBILIS PRO DESKTOP	2004	6,639.99	5,886.00	5	653.99	6,539.99
2 PC S	2005	1,800.00	1,260.00	5	360.00	1,620.00
OFFICE EQUIPMENT	2005	811.80	568.26	5	162.36	730.62
2HP LASERJET 2420	2005	1,333.02	933.10	5	266.60	1,199.70
LAPTOP COMPUTER	2006	2,171.23	1,085.62	5	434.25	1,519.87
COMPUTER ACCESSORIES	2007	776.58	232.97	5	155.32	388.29
NEW COMPUTER-PETERS	2009	1,265.31		5	126.53	126.53
NEW DVR FOR CAMERAS	2009	949.86		5	95.00	95.00
TOTAL-COMPUTERS & EQUIP.		46,649.77	40,795.84		2,426.05	43,221.89

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART I--LINE 19 AND PART II--LINE 14 PAGE 2

DEPRECIATION SCHEDULE

FOR THE YEAR ENDED DECEMBER 31, 2009

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2009 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/2009
8236 FAIRVIEW -BLDG	1997	217,260.00	79,317.76	31.5	6,897.14	86,214.90
8236 FAIRVIEW BLDG	1998	6,435.00	2,144.43	31.5	204.29	2,348.72
8236 FAIRVIEW -BLDG IMPROV	2000	11,295.00	3,696.65	27.5	410.73	4,107.38
8236 FAIRVIEW BLDG IMPR	2002	1,248.00	294.90	27.5	45.38	340.28
BOILER	2005	4,840.00	2,258.67	7.5	645.33	2,904.00
BOILER	2006	2,500.00	833.33	7.5	333.33	1,166.66
TOTAL 8236 FAIRVIEW		243,578.00	88,545.74		8,836.20	97,081.94
8446 FAIRVIEW BUILDING	1999	173,590.00	59,966.80	27.5	6,312.36	66,279.16
8446 FAIRVIEW BUILDING	2001	690.00	188.45	27.5	25.09	213.54
8446 FAIRVIEW NEW ROOF	2003	3,526.51	705.32	27.5	128.24	833.56
8446 FAIRVIEW CARPETING	2003	988.94	725.23	7.5	131.86	857.09
8446 FAIRVIEW WATER HEATER	2008	859.17	57.28	7.5	114.56	171.84
8447 FAIRVIEW SEPTIC TANK	2009	3,500.00		7.5	233.33	233.33
TOTAL 8446 FAIRVIEW		183,154.62	61,643.08		6,945.44	68,588.52
8468 FAIRVIEW -BLDG	1991	80,000.00	60,130.04	33	2,727.27	62,857.31
8468 FAIRVIEW -NEW ROOF	2003	3,874.85	774.98	27.5	140.90	915.88
8468-PUMP CHECK VALVE	2004	1,344.55	220.01	27.5	48.89	268.90
TOTAL 8468 FAIRVIEW		85,219.40	61,125.01		2,917.06	64,042.07
8468 FAIRVIEW BLDG APPL	1998	3,495.45	4,910.46	7.5	(1,415.01)	3,495.45
8468 FAIRVIEW APPLIANCES	1999	3,100.00	3,926.65	7.5	(826.65)	3,100.00
8468 FAIRVIEW APPLIANCES	2002	2,376.00	2059.00	7.5	317.00	2,376.00
CARPET AND VINYL FLOORING	2007	3,861.33	772.26	7.5	514.84	1,287.10
TOTAL 8468 APPLIANCES		12,832.78	11,668.37		(1,409.82)	10,258.55
8446 FAIRVIEW APPLIANCES	2001	525.17	525.10	7.5	0.07	525.17
8446 FAIRVIEW APPLIANCES	2002	371.00	322.35	7.5	48.65	371.00
CARPET AND VINYL	2006	3,299.50	1539.77	7.5	439.93	1,979.70
8446 FAIRVIEW APPLIANCES	2008	468.59	31.24	7.5	62.48	93.72
CARPET AND VINYL	2008	2,593.41	172.89	7.5	345.79	518.68
TOTAL 8446 APPLIANCES		7,257.67	2,591.35		896.92	3,488.27

RICE FOUNDATION
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PART I--LINE 19 A D PART II--LINE 14 PAGE 3

DEPRECIATION SCHEDULE

FOR THE YEAR ENDED DECEMBER 31, 2009

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2008 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/08
WOODFORD FARM-- BUILDING	2005	275,714.38	32,866.22	27.5	10,025.98	42,892.20

RECAP

DEPRECIATION--ALL STRAIGHT LINE	ACQUIRED	COST	PRIOR DEPRECIATION	LIFE IN YEARS	2008 DEPRECIATION	ACCUMULATED DEPRECIATION 12/31/08
FURNITURE & FIXTURES		36,759.13	14,069.65		5,226.22	18,998.87
COMPUTER & OFFICE EQUIPMENT		46,649.77	40,795.84		2,426.05	43,221.89
8236 FAIRVIEW BLDG		243,578.00	88,545.74		8,536.20	97,081.94
8446 FAIRVIEW BLDG		183,154.62	61,643.08		6,945.44	68,588.52
8468 FAIRVIEW APPLIANCES		95,219.40	51,125.01		2,917.06	54,042.07
8446 FAIRVIEW APPLIANCES		12,832.78	11,668.37		(1,409.82)	10,258.55
8446 APPLIANCES		7,257.67	2,591.35		896.92	3,488.27
RICE BUILDING & IMPROVEMENTS		1,290,291.47	641,416.04		40,961.64	682,377.68
WOODFORD FARM-- BUILDING		275,714.38	32,866.22		10,025.98	42,892 20
TOTAL		2,191,457.22	944,721.30		76,525.69	1,020,949.99

COST – AS ABOVE		2,191,457.22
LESS: PRIOR DEPRECIATION-- ABOVE	944,721.30	
DISPOSAL ADJUSTMENT	(295.77)	
CURRENT YEAR DEPRECIATION	76,525.69	
ACCUMULATED DEPRECIATION		1,020,951.22
NET BOOK VALUE		1,170,506.00

RICE FOUNDATION
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2008

PART I

LINE 23--OTHER EXPENSES

GENERAL INSURANCE		15,281
OFFICE EXPENSE & POSTAGE		13,569
DUES AND SUBSCRIPTIONS		4,175
LOSS ON DISPOSAL OF FIXED ASSETS		693
	TOTAL	33,718

RICE FOUNDATION
FORM 990-PF 2009
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PART II SCHEDULE 1

SUMMARY OF SCHEDULES A THROUGH L

December 31, 2009

	SCHED #	COSTS	MARKET VALUES DEC. 31, 2009
AGENCY ACCOUNT	A	1,907,410	1,774,863
CHASE BANK	B	2,998,750	4,056,850
PENN CAPITAL	C	0	0
NFJ	D	2,849,166	2,791,359
WELLS	E	1,427,242	1,664,192
FAYEZ	F	2,685,703	2,802,352
MDT	G	1,252,457	1,363,927
ADVISOR ACCOUNT	H	44,397,204	40,588,046
HEDGED FUNDS	I	3,600,000	3,118,503
TOTALS TO SCHEDULES 2 & 3		61,117,932	58,159,092

PART II PAGE 2--LINE 2 SAVINGS & TEMPORARY CASH INVESTMENTS	COST	MARKET VALUE
MONEY MARKET FUND BALANCES	3,662,487	3,662,487

RICE FOUNDATION
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PART II

SCHEDULE 2

ANALYSIS OF COSTS PER SCHEDULE 1
AND OTHER INVESTMENTS

December 31, 2009

COSTS

	SCHED #	GOV'T	CORP. STOCK	TOTAL
AGENCY ACCOUNT	A		1,807,410	1,807,410
CHASE BANK	B	2,998,750		2,998,750
PENN CAPITAL	C		-	0
NFJ	D		2,849,166	2,849,166
WELLS	E		1,427,242	1,427,242
FAYEZ	F		2,686,703	2,686,703
MDT	G		1,252,457	1,252,457
ADVISOR ACCOUNT	H		44,397,204	44,397,204
HEDGED FUNDS	I		3,600,000	3,600,000
TOTALS PER SCHEDULE 1 CARRIED TO PART II PAGE 2 –LINES 10 (A), (B) AND (C)		2,998,750	58,119,182	61,117,932

RICE FOUNDATION
FORM 990-PF 2009
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PART II

SCHEDULE 3

ANALYSIS OF MARKET VALUES PER SCHEDULE 1

December 31, 2009

MARKET VALUES

	SCHED #	GOV'T	CORP. STOCK	TOTAL
AGENCY ACCOUNT	A		1,774,863	1,774,863
CHASE BANK	B	4,055,850		4,055,850
PENN CAPITAL	C		-	0
NFJ	D		2,791,359	2,791,359
WELLS	E		1,664,192	1,664,192
FAYEZ	F		2,802,362	2,802,362
MDT	G		1,363,927	1,363,927
ADVISOR ACCOUNT	H		40,588,046	40,588,046
HEDGED FUNDS	I		3,118,503	3,118,503
TOTALS PER SCHEDULE 1 CARRIED TO PART II PAGE 2 --LINES 10 (A), (B) AND (C)		4,055,850	54,103,243	58,159,093

PART II

SCHEDULE A

RICE FOUNDATION
SCHEDULE OF COST AND MARKET VALUE OF INVESTMENTS
AGENCY ACCOUNT
AS OF DECEMBER 31, 2009

		PURCHASES PRIOR TO 2009	2009 PURCHASES	MARKET VALUE DEC. 31, 2009
6,000	MANSFELDER METALS, LTD	35,212.63		300.00
9	QUENTRA NETWORKS	61.88		-
12	NICHOLAS APPLEGATE CONV. & INC FD	300,000.00		300,000.00
3	EATON VANCE LTD INC FD	75,000.00		75,000.00
1	PIONEER FL RATE TR SERV	25,004.83		25,000.00
20,000	CABCO SER 2004-101 FL RT	475,205.25		380,400.00
4	ING PRIME RT TR SER M	100,000.00		100,000.00
8,000	AEGON NV FLOATER	200,000.00		136,360.00
4,722	BANK OF AMERICA	96,925.25		71,113.32
1,000	RESTRICTED CELTIC DEBENTURES	100,000.00		148,094.59
117,821	MANHATTAN REDEEM. NOTES	75,000.00		112,537.38
1	EATON VANCE FL RT INC TR PFD	25,000.00		25,000.00
200,000	CITIBANK CD 4/24/10		200,000.00	199,988.00
200,000	ALLY BANK CD 4/27/10		200,000.00	200,060.00
	TOTALS	1,507,409.84	400,000.00	1,774,863.29

PART II

SCHEDULE B

RICE FOUNDATION
SCHEDULE OF COST AND MARKET VALUE OF INVESTMENTS
CHASE BANK
DECEMBER 31, 2009

		PURCHASES PRIOR TO 2009	2009 PURCHASES	MARKET VALUE DEC. 31, 2009
U.S. TREASURY NOTES AND BONDS:				
3,000M DUE 2-15-16	9.250%	2,998,750.00		4,056,850.00

NFJ - Rebal D

MorganStanley SmithBarney

Ref 00000994 00111903

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
677	AT&T INC	T	03/01/05	$ 17,046 86	$ 25 18	$ 28 03	$ 18,976 31	$ 1,929 45* LT		
1,125			02/15/06	31,848 75	28 31	28 03	31,533 75	(315 00)*LT		
1,802				48,895 61	27 134		50,510 06	1,614 45	5 993	3,027 36
315	ALLSTATE CORP	ALL	03/02/06	17,161 20	54 48	30 04	9,462 60	(7,698 60)*LT		
285			03/08/06	15,478 35	54 31	30 04	8,561 40	(6,916 95)*LT		
195			03/10/06	10,641 15	54 57	30 04	5,857 80	(4,783 35)*LT		
110			03/10/06	5,975 20	54 32	30 04	3,304 40	(2,670 80)*LT		
335			03/17/06	18,361 35	54 81	30 04	10,063 40	(8,297.95)*LT		
300			05/05/08	15,361 95	51 206	30 04	9,012 00	(6,349 95) LT		
375			11/13/08	9,538 46	25 435	30 04	11,265 00	1,726 54 LT		
1,915				92,517 66	48 312		57,526 60	(34,991 06)	2 663	1,532 00
180	ALTRIA GROUP INC	MO	08/16/04	1,987 73	11 042	19 63	3,533 40	1,545 67* LT		
270			03/01/05	4,158 19	15 40	19 63	5,300 10	1,141 91* LT		
465			02/15/06	7,910 94	17 012	19 63	9,127 95	1,217 01* LT		
300			04/20/07	6,345 52	21 151	19 63	5,889 00	(456 52) LT		
910			04/10/08	19,706 41	21 655	19 63	17,863 30	(1,843 11) LT		
590			04/11/08	12,592 25	21 342	19 63	11,581 70	(1,010 55) LT		
850			04/16/08	18,290 47	21 518	19 63	16,685 50	(1,604 97) LT		
500			03/11/09	8,202 35	16 404	19 63	9,815 00	1,612 65 ST		
675			03/13/09	11,105 10	16 452	19 63	13,250 25	2,145 15 ST		
4,740				90,298 96	19 05		93,046 20	2,747 24	6 928	6,446 40
400	AMEREN CORP	AEE	08/27/07	20,044 80	50 112	27 95	11,180 00	(8,864 80) LT		
85			09/17/07	4,415 27	51 944	27 95	2,375 75	(2,039 52) LT		
315			09/18/07	16,606 93	52 72	27 95	8,804 25	(7,802 68) LT		
145			10/01/07	7,729 28	53 305	27 95	4,052 75	(3,676 53) LT		
255			10/02/07	13,611 34	53 377	27 95	7,127 25	(6,484 09) LT		
105			10/15/07	5,628 26	53 602	27 95	2,934 75	(2,693 51) LT		
295			10/16/07	15,884 30	53 845	27 95	8,245 25	(7,639 05) LT		
100			05/05/08	4,674.50	46 745	27 95	2,795 00	(1,879 50) LT		
1,700				88,594 68	52 115		47,515 00	(41,079 68)	5 509	2,618 00
1,015	ANNALY CAPITAL MANAGEMENT INC	NLY	06/27/08	15,676 78	15.445	17 35	17,610 25	1,933 47 LT		
185			06/30/08	2,899 60	15 673	17 35	3,209 75	310 15 LT		
1,150			07/08/08	17,579 25	15 286	17 35	19,952 50	2,373 25 LT		
1,250			07/16/08	17,826 38	14 261	17 35	21,687 50	3,861 12 LT		
1,150			07/17/08	16,752 17	14 567	17 35	19,952 50	3,200 33 LT		

SCHEDULE D

RICE FOUNDATION
FORM 990-PF 2009
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

NFS - Sched D

MorganStanley SmithBarney

Ref 00000904 00111904

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
475	ANNALY CAPITAL MANAGEMENT INC	NLY	03/24/09	$ 6,808 70	$ 14 334	$ 17 35	$ 8,241 25	$ 1,432 55 ST		
850			04/03/09	12,002 34	14 12	17 35	14,747 50	2,745 16 ST		
6,075				89,545 22	14 74		105,401 25	15,856 03	17 291	18,225 00
40	BECTON DICKINSON & CO	BDX	05/22/09	2,642 84	66 07	78 86	3,154 40	511 56 ST		
25			05/26/09	1,673 96	66 958	78 86	1,971 50	297 54 ST		
320			05/29/09	21,497 18	67 178	78 86	25,235 20	3,738 02 ST		
300			06/01/09	20,408 01	68 026	78 86	23,658 00	3,249 99 ST		
685				46 221 99	67 477		54,019 10	7,797 11	1 876	1,013 80
675	BOEING CO	BA	01/30/09	28,047 26	41 551	54 13	36,537 75	8,490 49 ST		
425			02/03/09	17,682 34	41 605	54 13	23,005 25	5,322 91 ST		
1,100				45,729 60	41 572		59,543 00	13,813 40	3 103	1,848 00
267	CENTURYTEL INC	CTL	02/20/09	6,707 47	25 135	36 21	9,668 07	2,960 60 ST		
136 9231			02/23/09	3,397 74	24 828	36 21	4,957 99	1,560 25 ST		
342 3077			03/02/09	8,430 49	24 642	36 21	12,394 96	3,964 47 ST		
157 4615			03/04/09	3,935 47	25 007	36 21	5,701 68	1,766 21 ST		
205 3846			03/05/09	5,062 74	24 663	36 21	7,436 98	2,374 24 ST		
109 5385			03/12/09	2,790 13	25 568	36 21	3,966 39	1,167 26 ST		
294 3846			03/13/09	7,175 21	24 387	36 21	10,659 67	3,484 46 ST		
1,513				37,508 25	24 791		54,785 74	17,277 49	7 732	4,236 40
1,130	CHESAPEAKE ENERGY CORP	CHK	11/11/09	28,954 67	25 623	25 88	29,244 40	289 73 ST		
145			11/12/09	3,583 63	24 714	25 88	3,752 60	168 97 ST		
950			11/13/09	23,764 92	25 015	25 88	24,586 00	821 08 ST		
2,225				56,303 22	25 305		57,583 00	1,279 78	1 159	667 50
100	CHEVRON CORP	CVX	03/01/05	6,085 00	60 85	76 99	7,699 00	1 614 00* LT		
695			02/15/06	38,704 55	55 69	76 99	53,508 05	14,803 50* LT		
795				44,789 55	56 339		61,207 05	16,417 50	3 532	2,162 40
290	CONOCOPHILLIPS	COP	02/17/06	17,591 40	60 66	51 07	14,810 30	(2,781 10)*LT		
235			02/23/06	14 410 20	61 32	51 07	12,001 45	(2,408 75)*LT		
280			02/27/06	17,267 60	61 67	51 07	14,299 60	(2,968 00)*LT		
300			03/02/06	18,597 00	61 99	51 07	15,321 00	(3 276 00)*LT		
235			11/10/09	12,580 51	53 534	51 07	12,001 45	(579 06) ST		
700			11/11/09	37,625 00	53 75	51 07	35,749 00	(1,876 00) ST		
50			11/13/09	2,637 29	52 745	51 07	2,553 50	(83 79) ST		
2,090				120,709 00	57 756		106,736 30	(13,972 70)	3 916	4,180 00

SCHEDULE D

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

NFS - Sched D

MorganStanley SmithBarney

Ref 00000994 00111905

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
200	DIAMOND OFFSHORE DRILLING INC	DO	12/19/07	$ 25,813 86	$ 129 069	$ 98 42	$ 19,684 00	($ 6,129 86) LT		
100			12/26/07	14,819 70	148 197	98 42	9,842 00	(4,977 70) LT		
150			01/02/08	21,171 47	141 143	98 42	14,763 00	(6,408 47) LT		
150			01/07/08	20,099 81	133 998	98 42	14,763 00	(5,336 81) LT		
250			01/12/09	14,561 45	58 245	98 42	24,605 00	10,043 55 ST		
225			07/27/09	20,838 31	92 614	98 42	22,144 50	1,306 19 ST		
50			07/29/09	4,515 01	90 30	98 42	4,921 00	405 99 ST		
1,125				121,819 61	108 284		110,722 50	(11,097 11)	508	562 50
270	R R DONNELLEY & SONS CO	RRD	01/25/06	8,567 10	31 73	22 27	6,012 90	(2,554 20)*LT		
260			02/15/06	8,465 60	32 56	22 27	5,790 20	(2,675 40)*LT		
220			03/30/06	7,200 60	32 73	22 27	4,899 40	(2,301 20)*LT		
310			04/06/06	10,267 20	33 12	22 27	6,903 70	(3,363 50)*LT		
245			04/27/06	8,102 15	33 07	22 27	5,456 15	(2,646 00)*LT		
300			05/05/06	10,098 00	33 66	22 27	6,681 00	(3,417 00)*LT		
135			05/16/06	4,495 50	33 30	22 27	3,006 45	(1,489 05)*LT		
65			05/17/06	2,159 30	33 22	22 27	1,447 55	(711 75)*LT		
195			06/07/06	6,111 30	31 34	22 27	4,342 65	(1,768 65)*LT		
150			06/09/06	4,621 50	30 81	22 27	3,340 50	(1,281 00)*LT		
2,150				70,088 25	32 599		47,880 50	(22,207 75)	4 669	2,236 00
375	EDISON INTERNATIONAL	EIX	03/04/09	9,392 40	25 046	34 78	13,042 50	3,650 10 ST		
325			03/10/09	8,033 68	24 719	34 78	11,303 50	3,269 82 ST		
300			03/17/09	8,448 03	28 16	34 78	10,434 00	1,985 97 ST		
375			03/20/09	10,874 48	28 998	34 78	13,042 50	2,168 02 ST		
1,375				36,748 59	26 726		47,822 50	11,073 91	3 622	1,732 50
540	GLAXOSMITHKLINE PLC SP ADR	GSK	02/15/06	27,664 20	51 23	42 25	22,815 00	(4,849 20)*LT		
595			05/30/07	31,220 13	52 47	42 25	25,138 75	(6,081 38) LT		
205			05/31/07	10,641 41	51 909	42 25	8,661 25	(1,980 16) LT		
400			06/06/07	20,420 08	51 05	42 25	16,900.00	(3,520 08) LT		
400			06/12/07	20,817 16	52 042	42 25	16,900 00	(3,917 16) LT		
155			06/14/07	8,073 19	52 085	42 25	6,548 75	(1,524 44) LT		
245			06/15/07	12,825 97	52 35	42 25	10,351 25	(2,474 72) LT		
2,540				131,662 14	51 835		107,315 00	(24,347 14)	4 39	4,711 70
281	GOLDMAN SACHS GROUP INC	GS	10/06/09	52,530 90	186 942	168 84	47,444 04	(5,086 86) ST	829	393 40
180	HARRIS CORP-DELAWARE-	HRS	01/20/09	7,281 20	40 451	47 55	8,559 00	1,277 80 ST		
120			01/21/09	4,992 30	41 602	47 55	5,706 00	713 70 ST		

RICE FOUNDATION
FORM 990-PF 2009
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

NFS - Sched D

MorganStanley SmithBarney

Ref 00000004 00111906

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
225	HARRIS CORP-DELAWARE-	HRS	01/28/09	$ 10,050 55	$ 44 669	$ 47 55	$ 10,698 75	$ 648 20 ST		
250			02/09/09	10,980 10	43 92	47 55	11,887 50	907 40 ST		
245			02/17/09	10,011 95	40 865	47 55	11,649 75	1,637 80 ST		
1,020				43,316 10	42 467		48,501 00	5,184 90	1 85	897 60
800	HOME DEPOT INC	HD	12/18/07	20,704 64	25 88	28 93	23,144 00	2,439 36 LT		
240			01/15/08	6,092 04	25 383	28 93	6,943 20	851 16 LT		
760			01/16/08	19,712 35	25 937	28 93	21,986 80	2,274 45 LT		
450			01/18/08	12,207 65	27 128	28 93	13,018 50	810 85 LT		
50			01/23/08	1,384 34	27 686	28 93	1,446 50	62 16 LT		
450			01/24/08	13,234 23	29 409	28 93	13,018 50	(215 73) LT		
2,750				73,335 25	26 667		79,557 50	6,222 25	3 11	2,475 00
950	HUDSON CITY BANCORP INC	HCBK	07/06/09	12,652 86	13 318	13 73	13,043 50	390 64 ST		
1,225			07/08/09	16,252 81	13 267	13 73	16,819 25	566 44 ST		
1,150			07/09/09	15,536 04	13 509	13 73	15,789 50	253 46 ST		
3,325				44,441 71	13 366		45 652 25	1,210 54	4 369	1,995 00
770	INTEL CORP	INTC	11/11/09	15,302 83	19 873	20 40	15,708 00	405 17 ST		
2,000			11/12/09	40,180 80	20 09	20 40	40,800 00	619 20 ST		
2,770				55,483 63	20 03		56,508 00	1,024 37	2 745	1,551 20
100	INTL BUSINESS MACHINES CORP	IBM	04/02/09	9,918 47	99 184	130 90	13,090 00	3,171 53 ST		
100			04/07/09	9,913 78	99 137	130 90	13,090 00	3,176 22 ST		
83			04/23/09	8,395 25	101 147	130 90	10,864 70	2,469 45 ST		
158			04/27/09	15,802 05	100 013	130 90	20,682 20	4,880 15 ST		
441				44,029 55	99 84		57,726 90	13,697 35	1 68	970 20
825	JOHNSON & JOHNSON	JNJ	05/15/09	45,614 09	55 289	64 41	53,138 25	7,524 16 ST	3 043	1,617 00
150	KIMBERLY CLARK CORP	KMB	03/01/05	10,018 50	66 79	63 71	9,556 50	(462 00)*LT		
685			02/15/06	39,476 55	57 63	63 71	43,641 35	4,164 80* LT		
835				49,495 05	59 276		53,197 85	3,702 80	3 767	2,004 00
3	KRAFT FOODS INC CLASS A	KFT	04/23/07	99 81	33 27	27 18	81 54	(18 27) LT		
405			04/24/07	13,506 55	33 349	27 18	11,007 90	(2,498 65) LT		
440			05/02/07	14,748 58	33 519	27 18	11,959 20	(2,789 38) LT		
160			05/03/07	5,342 62	33 391	27 18	4,348 80	(993 82) LT		
750			05/09/07	24,501 98	32 669	27 18	20,385 00	(4,116 98) LT		
1,758				58,199 54	33 106		47,782 44	(10,417 10)	4 267	2,039 28
1,700	MARATHON OIL CORP	MRO	02/15/06	57,094 50	33 585	31 22	53,074 00	(4,020 50)*LT	3 074	1,632 00

SCANNED

RICE FOUNDATION
FORM 990-PF 2009
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
205	MATTEL INC DE	MAT	12/12/05	$ 3,444 00	$ 16 80	$ 19 98	$ 4,095 90	$ 651 90* LT		
560			12/15/05	9,402 40	16 79	19 98	11,188.80	1,786 40* LT		
2,175			02/15/06	37,518 75	17 25	19 98	43,456 50	5,937 75* LT		
2,940				50,365 15	17 131		58,741 20	8,376 05	3 753	2,205 00
1,070	MCGRAW HILL COS INC	MHP	08/28/09	35,933 17	33 582	33 51	35,855 70	(77 47) ST		
470			08/31/09	15,637 23	33 27	33 51	15,749 70	112 47 ST		
5			08/31/09	167 29	33 458	33 51	167.55	26 ST		
1,545				51,737 69	33 487		51,772 95	35 26	2 685	1,390 50
325	MEDTRONIC INC	MDT	02/17/09	11,278 93	34 704	43 98	14,293 50	3,014 57 ST		
275			02/20/09	9,350.96	34 003	43 98	12,094 50	2,743 54 ST		
250			02/24/09	8,639 63	34 558	43 98	10,995 00	2,355 37 ST		
375			02/26/09	12,288 11	32 768	43 98	16,492 50	4,204 39 ST		
1,225				41,557 63	33 925		53,875 50	12,317 87	1 864	1,004 50
120	METLIFE INC	MET	04/23/09	3,352 04	27 933	35 35	4,242 00	889 96 ST		
275			04/24/09	7,903 64	28 74	35 35	9,721.25	1,817 61 ST		
885			05/04/09	24,261 83	27 414	35 35	31,284 75	7,022 92 ST		
225			05/15/09	6,868 35	30 526	35 35	7,953 75	1,085 40 ST		
1,505				42,385 86	28 163		53,201 75	10,815 89	2 093	1,113 70
575	MICROSOFT CORP	MSFT	10/21/08	13,988 20	24 327	30 48	17,526 00	3,537 80 LT		
525			10/28/08	11,467.47	21 842	30 48	16,002 00	4,534 53 LT		
675			11/03/08	15,372 18	22 773	30 48	20,574 00	5,201 82 LT		
625			11/11/08	13,071 88	20 915	30 48	19,050 00	5,978 12 LT		
2,400				53,899 73	22 458		73,152 00	19,252 27	1 706	1,248 00
1,000	NEW YORK COMMUNITY BANCORP	NYB	01/20/09	12,643 30	12 643	14 51	14,510 00	1,866 70 ST		
565			01/26/09	7,101 94	12 569	14 51	8,198 15	1,096 21 ST		
335			01/27/09	3,807 81	11 366	14 51	4,860 85	1,053 04 ST		
375			01/28/09	4,887 04	13 032	14 51	5,441 25	554 21 ST		
425			01/29/09	5,586 75	13 145	14 51	6,166 75	580 00 ST		
775			02/04/09	9,413 62	12 146	14 51	11,245 25	1,831 63 ST		
175			02/06/09	2,226 39	12 722	14 51	2,539 25	312 86 ST		
3,650				45,666 85	12 511		52,961 50	7,294 65	6 891	3,650 00
1,100	NORTHROP GRUMMAN CORP	NOC	10/26/09	55,784 20	50 722	55 85	61,435 00	5,640 80 ST	3 079	1,892 00
725	PFIZER INC	PFE	03/01/05	19,241 50	26 54	18 19	13,187 75	(6,053 75)*LT		
1,460			02/15/06	37,346 80	25 58	18 19	26,557 40	(10,789 40)*LT		
830			04/24/06	20,550 80	24 76	18 19	15,097.70	(5,453 10)*LT		

SCHEDULE D

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
735	PFIZER INC	PFE	04/27/06	$ 18,485 25	$ 25 15	$ 18 19	$ 13,369 65	($ 5,115 60)*LT		
665			05/01/06	16,824 50	25 30	18 19	12,096 35	(4,728 15)*LT		
745			05/03/06	18,945 35	25 43	18 19	13,551 55	(5,393 80)*LT		
650			04/20/07	17,530 50	26 97	18 19	11,823 50	(5,707 00) LT		
5,810				148,924 70	25 632		105,683 90	(43,240 80)	3 958	4,183 20
105	REYNOLDS AMERICAN INC	RAI	08/16/04	3,729 60	35 52	52 97	5,561 85	1,832 25* LT		
470			03/01/05	19,509 70	41 51	52 97	24,895 90	5,386 20* LT		
510			02/15/06	28,193 40	52 21	52 97	28,603 80	410 40* LT		
1,115				51,432 70	46 128		59,061 55	7,628 85	6 796	4,014 00
250	ROYAL DUTCH SHELL PLC ADR CL A	RDSA	09/07/07	20,187 70	80 75	60 11	15,027 50	(5,160 20) LT		
250			09/25/07	20,904 25	83 617	60 11	15,027 50	(5,876 75) LT		
250			10/01/07	20,838 20	83 352	60 11	15,027 50	(5,810 70) LT		
300			10/05/07	23,949 09	79 83	60 11	18,033 00	(5,916 09) LT		
1,050				85,879 24	81 79		63,115 50	(22,763 74)	3 684	2,325 75
225	3M COMPANY	MMM	05/20/08	17,419 81	77 421	82 67	18,600 75	1,180 94 LT		
250			06/04/08	19,275 40	77 101	82 67	20,667 50	1,392 10 LT		
300			06/09/08	22,696 02	75 653	82 67	24,801 00	2,104 98 LT		
775				59,391 23	76 634		64,069 25	4,678 02	2 467	1,581 00
260	TOTAL S A SPONS ADR	TOT	12/13/07	21,620 92	83 157	64 04	16,650 40	(4,970 52) LT		
25			12/24/07	2,022 88	80 915	64 04	1,601 00	(421 88) LT		
225			12/26/07	18,389 03	81 729	64 04	14,409 00	(3,980 03) LT		
200			01/03/08	17,040 70	85 203	64 04	12,808 00	(4,232 70) LT		
40			01/08/08	3,424 66	85 616	64 04	2,561 60	(863 06) LT		
160			01/09/08	13,853 86	86 586	64 04	10,246 40	(3,607 46) LT		
910				76,352 05	83 903		58,276 40	(18,075 65)	4 337	2,527 98
205	TRAVELERS COMPANIES INC	TRV	08/16/04	9,897 25	33 55	49 86	14,708 70	4,811 45* LT		
505			03/01/05	19,447 55	38 51	49 86	25,179 30	5,731 75* LT		
700			02/15/06	30 772 00	43 96	49 86	34,902 00	4,130 00* LT		
1,500				60,116 80	40 078		74,790 00	14,673 20	2 647	1,980 00
320	V F CORP	VFC	03/01/05	19,321 60	60 38	73 24	23,436 80	4,115 20* LT		
660			02/15/06	37,131 60	56 26	73 24	48,338 40	11,206 80* LT		
980				56,453 20	57 605		71,775 20	15,322 00	3 276	2,352 00
550	VALERO ENERGY CORP-NEW	VLO	04/14/09	11,292 71	20 532	16 75	9,212 50	(2,080 21) ST		
475			04/16/09	9,898 91	20 839	16 75	7,956 25	(1,942 66) ST		
625			04/20/09	12,476 81	19 932	16 75	10,468 75	(2,008 06) ST		

SCHEDULE D

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

NFJ - Rebal D

MorganStanley SmithBarney

Ref 00000994 00111909

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
450	VALERO ENERGY CORP-NEW	VLO	04/23/09	$ 9,412 20	$ 20 916	$ 16 75	$ 7,537 50	($ 1,874 70) ST		
2,100				43,080 63	20 515		35,175 00	(7,905 63)	3 582	1,260 00
250	VERIZON COMMUNICATIONS	VZ	08/16/04	9,406 14	37 624	33 13	8,282 50	(1,123 64)*LT		
600			03/01/05	20,800 53	34 667	33 13	19,878 00	(922 53)*LT		
1,135			02/15/06	37,121 52	32 706	33 13	37,602 55	481 03* LT		
1,985				67,328 19	33 918		65,763 05	(1,565 14)	5 734	3,771 50
650	WASTE MGMT INC DEL	WM	01/14/08	20,805 20	32 008	33 81	21,976 50	1,171 30 LT		
600			01/23/08	17,208 96	28 681	33 81	20,286 00	3,077 04 LT		
375			01/31/08	12,136 20	32 363	33 81	12,678 75	542 55 LT		
175			02/05/08	5,746 00	32 834	33 81	5,916 75	170 75 LT		
500			02/07/08	16,064 50	32 129	33 81	16,905 00	840 50 LT		
2,300				71,960 86	31 287		77,763 00	5,802 14	3 43	2,668 00
191	WINDSTREAM CORP	WIN	08/16/04	1,799 22	9 42	10 99	2,099 09	299 87* LT		
351			03/01/05	3,552 12	10 12	10 99	3,857 49	305 37* LT		
569			02/15/06	6,219 17	10 93	10 99	6,253 31	34 14* LT		
305			08/04/06	3,980.25	13 05	10 99	3,351 95	(628 30)*LT		
1,380			08/09/06	17,815 80	12 91	10 99	15,166 20	(2,649 60)*LT		
1,075			08/21/06	14,018 00	13 04	10 99	11,814 25	(2,203 75)*LT		
395			08/25/06	5,123 15	12 97	10 99	4,341 05	(782 10)*LT		
1,280			08/31/06	16,819 20	13 14	10 99	14,067 20	(2,752 00)*LT		
5,546				69,326 91	12 50		60,950 54	(8,376 37)	9 099	5,546 00
1,400	XEROX CORP	XRX	06/23/08	19,133 38	13 666	8 46	11,844 00	(7,289 38) LT		
390			06/26/08	5,312 85	13 622	8 46	3,299 40	(2,013 45) LT		
800			06/27/08	10,758 64	13 448	8 46	6,768 00	(3,990 64) LT		
1,450			07/07/08	19,528 17	13 467	8 46	12,267 00	(7,261 17) LT		
1,060			07/15/08	13,989 35	13 197	8 46	8,967 60	(5,021 75) LT		
290			07/16/08	3,817 50	13 163	8 46	2,453 40	(1,364 10) LT		
5,390				72,539 89	13 458		45,599 40	(26,940 49)	2 009	916 30
Total common stocks and options				$ 2,849,166 16			$ 2,791,358 72	$ 120,147 38 ST	4 17	
								($ 177,954 82) LT		$ 116,403 67
Total portfolio value				$ 2,065,222 52			$ 2,907,415 08	$ 120,147 38 ST	4 00	$ 116,484 90
								($ 177,954 82) LT		

*Based on information supplied by client or other financial institution, not verified by us

SCHEDULE D

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION

Account number 383-1631C-17 JD1

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
74	COVIDIEN PLC DUBLIN-USD	COV	10/15/09	$ 3,210 61	$ 43 386	$ 47 89	$ 3,543 86	$ 333 25 ST		
74			10/16/09	3,254 85	43 984	47 89	3,543 86	289 01 ST		
69			10/19/09	3,084 20	44 698	47 89	3,304 41	220 21 ST		
74			10/20/09	3,264 91	44 12	47 89	3,543 86	278 95 ST		
72			10/20/09	3,189 74	44 302	47 89	3,448 08	258 34 ST		
291			10/21/09	12,710 04	43 677	47 89	13,935 99	1,225 95 ST		
92			10/21/09	4,033 38	43 841	47 89	4,405 88	372 50 ST		
746				32,747 73	43 898		35,725 94	2,978 21	1 503	537 12
55	INGERSOLL-RAND PUBLIC LTD CO	IR	07/30/09	1,583 34	28 788	35 74	1,965 70	382 36 ST		
118			07/31/09	3,425 26	29 027	35 74	4,217 32	792 06 ST		
126			08/03/09	3,664 55	29 083	35 74	4,503 24	838 69 ST		
142			08/04/09	4,119 59	29 011	35 74	5,075 08	955 49 ST		
232			08/05/09	6,736 65	29 037	35 74	8,291 68	1,555 03 ST		
228			08/05/09	6,565 31	28 795	35 74	8,148 72	1,583 41 ST		
901				26,094 70	28 962		32,201 74	6,107 04	783	252 28
213	INVESCO LTD	IVZ	06/16/09	3,723 15	17 479	23 49	5,003 37	1,280 22 ST		
194			06/16/09	3,377 70	17 41	23 49	4,557 06	1,179 36 ST		
128			06/26/09	2,218 50	17 332	23 49	3,006 72	788 22 ST		
107			06/29/09	1,892 64	17 688	23 49	2,513 43	620 79 ST		
172			06/30/09	3,032 46	17 63	23 49	4,040 28	1,007 82 ST		
112			07/13/09	1,881 95	16 803	23 49	2,630 88	748 93 ST		
111			07/15/09	1,955 90	17 62	23 49	2,607.39	651 49 ST		
1,037				18,082 30	17 437		24,359 13	6,276 83	1 745	425 17
183	MARVELL TECHNOLOGY GROUP LTD-USD	MRVL	12/09/09	3,335 28	18 225	20.75	3,797 25	461 97 ST		
176			12/10/09	3,275 82	18 612	20 75	3,652 00	376 18 ST		
177			12/11/09	3,255 99	18 395	20 75	3,672 75	416 76 ST		
171			12/11/09	3,151 58	18 43	20 75	3,548 25	396 67 ST		
180			12/14/09	3,572 27	18 801	20 75	3,942 50	370 23 ST		
155			12/15/09	2,928 94	18 896	20.75	3,216 25	287 31 ST		
303			12/16/09	5,938 07	19 597	20 75	6,287 25	349 18 ST		
184			12/16/09	3,592 99	19 527	20 75	3,818 00	225 01 ST		
100			12/17/09	1,934 35	19 343	20 75	2,075 00	140 65 ST		
1,639				30,985 29	18 905		34,009 25	3,023 96		
290	TRANSOCEAN LTD SWITZERLAND NEW	RIG	03/30/07	23,750 54	81 898	82 80	24,012 00	261 46 LT		
34			02/06/08	4,166 98	122 558	82 80	2,815 20	(1,351 78) LT		

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE E

RICE FOUNDATION — Account number 383-1631C-17 JD1

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
41	TRANSOCEAN LTD SWITZERLAND	RIG	07/08/08	$ 5,798 89	$ 141 436	$ 82 80	$ 3,394 80	($ 2,404 09) LT		
365	NEW			33,716 41	92 374		30,222 00	(3,494 41)		
261	TYCO INTL LTD CHF	TYC	03/17/09	5,146 50	19 718	35 68	9,312 48	4,165 98 ST		
469			03/18/09	9,452 70	20 155	35 68	16,733 92	7,281 22 ST		
154			03/26/09	3,185 58	20 685	35 68	5,494 72	2,309 14 ST		
145			04/22/09	3,343 11	23 055	35 68	5,173 60	1,830 49 ST		
1,029				21,127 89	20 532		36,714 72	15,586 83	2 242	823 20
50	AIR PRODUCTS & CHEMICALS INC	APD	10/06/09	3,869 23	77 384	81 06	4,053 00	183 77 ST		
33			10/08/09	2,606 52	78 985	81 06	2,674 98	68 46 ST		
66			10/09/09	5,262 58	79 736	81 06	5,349 96	87 38 ST		
29			10/12/09	2,359 03	81 345	81 06	2,350 74	(8 29) ST		
27			10/13/09	2,204 91	81 663	81 06	2,188 62	(16 29) ST		
36			10/14/09	2,991 00	83 083	81 06	2,918 16	(72 84) ST		
49			10/15/09	4,070 52	83 071	81 06	3,971 94	(98 58) ST		
60			10/16/09	4,970 21	82 836	81 06	4,863 60	(106 61) ST		
17			10/20/09	1,404 32	82 607	81 06	1,378 02	(26 30) ST		
83			10/21/09	6,845 27	82 473	81 06	6,727 98	(117 29) ST		
450				36,583 59	81 297		36,477 00	(106 59)	2 22	810 00
127	AMAZON COM INC	AMZN	06/03/09	10,764 94	84 763	134 52	17,084 04	6,319 10 ST		
46			06/03/09	3,887 38	84 508	134 52	6,187 92	2,300 54 ST		
41			07/09/09	3,208 63	78 259	134 52	5,515 32	2,306 69 ST		
27			07/13/09	2,196 78	81 362	134 52	3,632 04	1,435 26 ST		
19			07/14/09	1,546 47	81 393	134 52	2,555 88	1,009 41 ST		
24			10/23/09	2,820 70	117 529	134 52	3,228 48	407 78 ST		
18			10/26/09	2,229 99	123 888	134 52	2,421 36	191 37 ST		
25			10/30/09	2,976 29	119 051	134 52	3,363 00	386 71 ST		
2			11/11/09	260 20	130 102	134 52	269 04	8 84 ST		
329				29,891 38	90 855		44,257 08	14,365 70		
134	AMERICAN EXPRESS CO	AXP	07/24/09	3,950 61	29 482	40 52	5,429 68	1,479 07 ST		
294			07/27/09	8,654 36	29 436	40 52	11,912 88	3,258 52 ST		
250			08/05/09	7,820 13	31 28	40 52	10,130 00	2,309 87 ST		
133			08/06/09	4,150 86	31 209	40 52	5,389 16	1,238 30 ST		
244			08/07/09	8,021 40	32 874	40 52	9,886 88	1,865 48 ST		
1,055				32,597 36	30 898		42,748 60	10,151 24	1 776	759 60

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION — Account number 383-1631C-17 JD1

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
93	AMERICAN TOWER CORP-CLASS A	AMT	01/25/08	$ 3,379 06	$ 36 334	$ 43 21	$ 4,018 53	$ 639 47 LT		
134			02/06/08	5,061 49	37 772	43 21	5,790 14	728 65 LT		
138			07/07/08	5,528 40	40 06	43 21	5,962 98	434 58 LT		
156			09/25/08	5,937 53	38 061	43 21	6,740 76	803 23 LT		
192			09/26/08	7,247 56	37 747	43 21	8,296 32	1,048 76 LT		
30			09/26/08	1,119 18	37 306	43 21	1,296 30	177 12 LT		
71			10/03/08	2,494 99	35 14	43 21	3,067 91	572 92 LT		
49			10/10/08	1,385 14	28 268	43 21	2,117 29	732 15 LT		
863				32,153 35	37 258		37,290 23	5,136 88		
25	APPLE INC	AAPL	03/30/07	2,335 45	93 418	210 732	5,268 30	2,932 85 LT		
47			03/19/08	6,194 09	131 789	210 732	9,904 40	3,710 31 LT		
63			06/17/08	11,430 99	181,444	210 732	13,276 12	1,845 13 LT		
32			07/02/08	5,574 90	174 215	210 732	6,743 42	1,168 52 LT		
29			07/09/08	5,140 57	177 261	210 732	6,111 23	970 66 LT		
27			01/27/09	2,432 23	90 082	210 732	5,689 76	3,257 53 ST		
27			01/28/09	2,551 62	94 504	210 732	5,689 76	3,138 14 ST		
27			01/29/09	2,518 86	93 291	210 732	5,689 76	3,170 90 ST		
62			03/18/09	6,299 78	101 609	210 732	13,065 38	6,765 60 ST		
25			04/29/09	3,145 06	125 802	210 732	5,268 30	2,123 24 ST		
50			05/27/09	6,666 60	133 331	210 732	10,536 60	3 870 00 ST		
49			10/15/09	9,311 32	190 026	210 732	10,325 87	1,014 55 ST		
39			10/21/09	8,043 04	206 231	210 732	8,218 55	175 51 ST		
502				71 644 51	142 718		105,787 45	34,142 94		
119	AVON PRODUCTS INC	AVP	10/15/09	4,057 01	34 092	31 50	3,748 50	(308 51) ST		
118			10/16/09	4,012 83	34 007	31 50	3,717 00	(295 83) ST		
115			10/19/09	3,915 76	34 05	31 50	3,622 50	(293 26) ST		
123			10/20/09	4,197 01	34 122	31 50	3,874 50	(322 51) ST		
118			10/20/09	4,049 11	34 314	31.50	3,717 00	(332 11) ST		
230			10/21/09	8,092 34	35 184	31 50	7,245 00	(847 34) ST		
212			10/22/09	7,534 14	35 538	31 50	6,678 00	(856 14) ST		
97			10/23/09	3,408 12	35 135	31 50	3,055 50	(352 62) ST		
115			10/26/09	4,010 06	34 87	31 50	3,622 50	(387 56) ST		
29			10/29/09	941 03	32 449	31 50	913 50	(27.53) ST		
102			11/05/09	3,390 08	33 236	31 50	3,213 00	(177 08) ST		
1,378				47,607 49	34 548		43,407 00	(4,200 49)	2 666	1,157 52

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
1,431	BANK OF AMERICA CORP	BAC	05/06/09	$ 17,999 27	$ 12 578	$ 15 06	$ 21,550 86	$ 3,551 59 ST		
247			05/08/09	3 457 90	13 999	15 06	3,719 82	261 92 ST		
294			05/14/09	3,345 22	11 378	15 06	4,427 64	1,082 42 ST		
232			05/26/09	2,563 99	11 051	15 06	3,493 92	929 93 ST		
292			05/29/09	3,245 17	11 113	15 06	4,397 52	1,152 35 ST		
296			06/05/09	3,543 74	11 972	15 06	4,457 76	914 02 ST		
25			11/11/09	410 25	16 409	15 06	376 50	(33 75) ST		
2,817				34,565 54	12 27		42,424 02	7,858 48	265	112 68
147	BEST BUY INC	BBY	09/18/09	5,591 50	38 037	39 46	5,800 62	209 12 ST		
145			09/21/09	5,559 07	38 338	39 46	5,721 70	162 63 ST		
138			09/22/09	5,318 41	38 539	39 46	5,445 48	127 07 ST		
150			09/23/09	5,787 53	38 583	39 46	5,919 00	131 47 ST		
167			09/24/09	6,330 87	37 909	39 46	6,589 82	258 95 ST		
28			10/20/09	1,115 92	39 854	39 46	1,104 88	(11 04) ST		
109			10/21/09	4,333 93	39 76	39 46	4,301 14	(32 79) ST		
884				34,037 23	38 504		34,882 64	845 41	1 419	495 04
281	BROADCOM CORP CL A	BRCM	05/05/09	6,703 87	23 857	31 47	8,843 07	2,139 20 ST		
133			05/06/09	3 212 67	24 155	31 47	4,185 51	972 84 ST		
229			05/07/09	5,195 92	22 689	31 47	7,206 63	2,010 71 ST		
203			05/07/09	4,667 82	22 994	31 47	6,388.41	1,720 59 ST		
160			11/05/09	4,352 51	27 203	31 47	5,035 20	682 69 ST		
145			11/06/09	3,917 07	27 014	31 47	4,563 15	646 08 ST		
1,151				28,049 86	24 37		36,221 97	8,172 11		
11	CISCO SYS INC	CSCO	09/01/06	241 67	21 97	23 94	263 34	21 67* LT		
536			01/24/08	13,371 00	24 945	23 94	12,831 84	(539 16) LT		
433			05/01/08	11,577 94	26 738	23 94	10,366 02	(1,211 92) LT		
171			05/07/09	3,210 83	18 776	23 94	4,093 74	882 91 ST		
161			05/07/09	3,053 64	18 966	23 94	3,854 34	800 70 ST		
174			07/20/09	3,677 30	21 133	23 94	4,165 56	488 26 ST		
362			07/21/09	7,785 50	21 506	23 94	8,666 28	880 78 ST		
131			07/21/09	2,775 92	21 19	23 94	3,136 14	360 22 ST		
581			08/06/09	12,947 64	22 285	23 94	13,909 14	961 50 ST		
25			11/11/09	598 75	23 95	23 94	598 50	(25) ST		
2,585				59,240 19	22 917		61,884 90	2,644 71		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
447	DELTA AIR LINES INC (NEW)	DAL	10/15/09	$ 4,041 10	$ 9 04	$ 11 38	$ 5,086 86	$ 1,045 76 ST		
442			10/16/09	4,016 23	9 086	11 38	5,029 96	1,013 73 ST		
435			10/19/09	3,895 69	8 955	11 38	4,950 30	1,054 61 ST		
474			10/20/09	4 278 56	9 026	11 38	5,394 12	1,115 56 ST		
439			10/21/09	3,794 15	8 642	11 38	4,995 82	1,201 67 ST		
231			10/23/09	1,888 68	8 176	11 38	2,628 78	740 10 ST		
195			10/23/09	1,591 18	8 159	11 38	2,219 10	627 92 ST		
155			10/26/09	1,239 78	7 998	11 38	1,763 90	524 12 ST		
150			11/11/09	1,195 41	7 969	11 38	1,707 00	511 59 ST		
2,968				25,940 78	8 74		33,775 84	7,835 06		
138	DIRECTV CL A	DTV	01/29/08	3,143 13	22 776	33 35	4,602.30	1,459 17 LT		
222			02/06/08	5,061 31	22 798	33 35	7,403 70	2,342.39 LT		
92			02/13/08	2,275 79	24 736	33 35	3,068.20	792 41 LT		
6			02/14/08	150 52	25 086	33 35	200 10	49 58 LT		
179			11/07/08	3,949 74	22 065	33 35	5,969 65	2,019 91 LT		
62			11/10/08	1,362 64	21 978	33 35	2,067 70	705 06 LT		
65			11/11/08	1,397.33	21 497	33.35	2,167 75	770 42 LT		
151			01/14/09	3,153.68	20 885	33 35	5,035 85	1,882.17 ST		
139			03/06/09	2,695 74	19 393	33 35	4,635 65	1,939 91 ST		
266			05/04/09	6,315 93	23 744	33 35	8,871 10	2,555 17 ST		
151			08/06/09	3,777.02	25 013	33 35	5,035.85	1,258 83 ST		
144			08/06/09	3,591 55	24 941	33 35	4,802.40	1,210 85 ST		
99			10/05/09	2,679 03	27 06	33 35	3,301 65	622 62 ST		
141			10/06/09	3,881 94	27 531	33.35	4,702 35	820 41 ST		
1,855				43,435 35	23 415		61,864 25	18,428 90		
354	EMC CORP-MASS	EMC	08/07/09	5,402 40	15 26*	17.47	6,184 38	781 98 ST		
525			08/10/09	7,951 07	15 144	17 47	9,171 75	1,220 68 ST		
224			08/10/09	3,381 15	15 094	17 47	3,913 28	532 13 ST		
18			08/11/00	270 74	15 041	17 47	314 46	43 72 ST		
200			08/12/09	3,062 18	15 31	17 47	3,494 00	431 82 ST		
25			11/11/09	428 75	17 15	17 47	436 75	8 00 ST		
190			12/04/09	3,245 56	17 081	17 47	3,319 30	73 74 ST		
175			12/07/09	2,972 95	16 988	17 47	3,057 25	84 30 ST		
1,711				26,714 80	15 614		29,891 17	3,176 37		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated income (annualized)
346	EBAY INC	EBAY	08/06/09	$ 7,651 37	$ 22.113	$ 23 53	$ 8,141 38	$ 490 01	ST		
314			08/07/09	7,127 02	22 697	23 53	7,388 42	261 40	ST		
432			08/10/09	9,723 33	22 507	23 53	10,164 96	441 63	ST		
152			08/10/09	3,388 81	22 294	23 53	3,576 56	187 75	ST		
483			10/06/09	11,480 48	23 769	23 53	11,364 99	(115 49)	ST		
1,727				39,371 01	22 797		40,636 31	1,265 30			
48	EXPRESS SCRIPTS INC COMMON	ESRX	10/30/09	3,857 20	80 358	86 42	4,148 16	290 96	ST		
143			11/02/09	11,792 08	82 462	86 42	12,358 06	565.98	ST		
69			11/03/09	5,771 37	83 643	86 42	5,962 98	191 61	ST		
119			11/05/09	9,992 06	83 966	86 42	10,283 98	291 92	ST		
185			11/10/09	16,011 55	86 548	86 42	15,987 70	(23 85)	ST		
564				47,424 26	84 086		48,740 88	1,316 62			
941	FORD MOTOR COMPANY	F	11/05/09	7,009 32	7 448	10 00	9,410 00	2,400 68	ST		
883			11/06/09	6,709 65	7 598	10 00	8,830 00	2,120 35	ST		
953			11/09/09	7,677 46	8 056	10 00	9,530 00	1,852 54	ST		
940			11/10/09	7,690 80	8 181	10 00	9,400 00	1,709 20	ST		
25			11/11/09	206 50	8 34	10 00	250 00	41 50	ST		
3,742				29,295 73	7 829		37,420 00	8,124 27			
69	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	07/28/09	4,011 69	58 14	80 29	5,540 01	1,528 32	ST		
30			10/01/09	1,973 39	65 779	80 29	2,408 70	435 31	ST		
142			10/02/09	9,306 69	65 54	80 29	11,401 18	2,094 49	ST		
241				15,291 77	63 451		19,349 89	4,058 12		.747	144 60
1	GOOGLE INC CLASS A	GOOG	09/17/08	417 68	417 684	619 98	619 98	202 30	LT		
21			09/19/08	9,464 64	450 697	619 98	13,019 58	3,554 94	LT		
14			12/12/08	4,333 77	309 555	619 98	8,679 72	4,345 95	LT		
7			12/26/08	2,102 44	300 348	619 98	4,339 86	2,237 42	LT		
18			04/29/09	7,079 55	393 308	619 98	11,159 64	4,080 09	ST		
30			07/24/09	13,424 63	447 487	619 98	18,599 40	5,174 77	ST		
17			10/19/09	9,377 17	551 598	619 98	10,539 66	1,162 49	ST		
7			10/20/09	3,843.66	549 093	619 98	4,339 86	496 20	ST		
17			10/21/09	9,455 37	556 198	619 98	10,539 66	1,084 29	ST		
132				59,498 91	450 749		81,837 36	22,338 45			
54	ILLINOIS TOOL WORKS INC	ITW	10/07/09	2,378 41	44 044	47 99	2,591 46	213 05	ST		
36			10/07/09	1,579 58	43 877	47 99	1,727 64	148 06	ST		
82			10/08/09	3,685 83	44.949	47.99	3,935.18	249 35	ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE E

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
41	ILLINOIS TOOL WORKS INC	ITW	10/08/09	$ 1,847 10	$ 45 051	$ 47 99	$ 1,967 59	$ 120 49 ST		
85			10/09/09	3,816 61	44 901	47 99	4,079 15	262 54 ST		
72			10/12/09	3,267 53	45 382	47 99	3,455 28	187 75 ST		
70			10/13/09	3,112 44	44 463	47 99	3,359 30	246 86 ST		
81			10/14/09	3,659 13	45 174	47 99	3,887 19	228 06 ST		
72			10/15/09	3,257 10	45 237	47 99	3,455 28	198 18 ST		
69			10/16/09	3,115 27	45 148	47 99	3,311 31	196 04 ST		
62			10/19/09	2,864 18	46 196	47 99	2,975 38	111 20 ST		
84			10/20/09	4,012 50	47 767	47 99	4,031.16	18 66 ST		
93			10/21/09	4,547 25	48 895	47 99	4,463 07	(84 18) ST		
901				41,142 93	45 664		43,238 99	2,096 06	2 583	1,117 24
297	JUNIPER NETWORKS INC	JNPR	10/20/09	8,216 27	27 664	26 67	7,920 99	(295 28) ST		
140			10/21/09	3,912 55	27 946	26 67	3,733 80	(178 75) ST		
140			10/22/09	3,852 67	27 519	26 67	3,733 80	(118 87) ST		
122			10/23/09	3,309 82	27 129	26 67	3,253 74	(56 08) ST		
149			10/30/09	3,807 35	25 552	26 67	3,973 83	166 48 ST		
391			11/05/09	10,165 14	25 997	26 67	10,427 97	262 83 ST		
275			11/10/09	6,888 20	25 048	26 67	7,334 25	446 05 ST		
171			11/13/09	4,456 07	26 058	26 67	4,560 57	104 50 ST		
1,685				44,608 07	26 474		44,938 95	330 88		
44	MASTERCARD INC	MA	11/05/09	10,067.61	228 809	255 98	11,263.12	1,195 51 ST		
59			11/06/09	13,781 76	233 589	255 98	15,102 82	1,321 06 ST		
31			11/09/09	7,413 32	239 139	255 98	7,935 38	522 06 ST		
39			11/10/09	9,304 39	238 574	255.98	9,983 22	678 83 ST		
33			11/10/09	7,873.78	238 599	255 98	8,447 34	573 56 ST		
206				48,440 86	235.15		52,731.88	4,291 02	234	123 60
168	MICROSOFT CORP	MSFT	02/11/09	3,244 20	19 31	30 48	5,120 64	1,876 44 ST		
63			02/11/09	1,207 82	19 171	30 48	1,920 24	712.42 ST		
189			03/09/09	2,887 71	15 278	30 48	5,760.72	2,873 01 ST		
181			03/09/09	2,755 11	15 221	30 48	5,516 88	2,761 77 ST		
312			03/10/09	5,087 85	16 307	30 48	9,509 76	4,421 91 ST		
324			04/24/09	6,659 72	20 554	30 48	9,875 52	3,215 80 ST		
310			05/01/09	6,193 89	19 98	30 48	9,448 80	3,254 91 ST		
305			10/20/09	8,029 25	26 325	30 48	9,296 40	1,267 15 ST		
443			10/21/09	11,787 12	26 607	30 48	13,502 64	1,715.52 ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
265	MICROSOFT CORP	MSFT	10/23/09	$ 7,428 00	$ 28 03	$ 30 48	$ 8,077 20	$ 649 20 ST		
261			10/23/09	7,320 42	28.047	30 48	7,955 28	634 86 ST		
2,821				62,601 09	22 191		85,984 08	23,382 99	1 706	1,466 92
481	MOTOROLA INC DE	MOT	09/15/09	4,412 31	9 173	7 76	3,732 56	(679 75) ST		
509			09/16/09	4,649 82	9 135	7 76	3,949 84	(699 98) ST		
487			09/16/09	4,477 62	9 194	7 76	3,779 12	(698 50) ST		
653			09/17/09	5,856 10	8 968	7 76	5,067 28	(788 82) ST		
481			09/18/09	4,268 20	8 873	7 76	3,732 56	(535 64) ST		
865			09/29/09	7,265 31	8 399	7 76	6,712 40	(552 91) ST		
653			09/30/09	5,464 11	8 367	7 76	5,067 28	(396 83) ST		
740			10/01/09	6,323 08	8 544	7 76	5,742 40	(580 68) ST		
718			10/02/09	5,863 04	8 165	7 76	5,571 68	(291 36) ST		
435			10/06/09	3,697 80	8 50	7 76	3,375 60	(322.20) ST		
495			10/07/09	4,279 03	8 644	7 76	3,841 20	(437 83) ST		
125			11/11/09	1,085 44	8 683	7 76	970 00	(115 44) ST		
6,642				57,641 86	8 678		51,541 92	(6,099 94)		
105	NETAPP INC	NTAP	12/04/09	3,432 20	32 687	34.36	3,607 80	175 60 ST		
97			12/07/09	3,219 84	33 194	34 36	3,332 92	113 08 ST		
246			12/08/09	8,206 07	33 358	34.36	8,452 56	246 49 ST		
193			12/09/09	6,473 84	33 543	34 36	6,631 48	157 64 ST		
641				21,331 95	33 279		22,024 76	692 81		
32	NOBLE ENERGY INC	NBL	04/07/09	1,862 01	58 187	71 22	2,279 04	417 03 ST		
70			04/08/09	4,164 87	59 498	71 22	4,985 40	820 53 ST		
70			04/15/09	4,048 98	57 842	71 22	4,985 40	936 42 ST		
59			04/23/09	3,452 05	58 509	71 22	4,201 98	749 93 ST		
28			04/24/09	1,703 30	60 832	71 22	1,994 16	290 86 ST		
21			04/27/09	1,227 01	58 428	71 22	1,495 62	268 61 ST		
56			04/30/09	3,154 89	56 337	71 22	3,988 32	833 43 ST		
336				19,613 11	58 372		23,929 92	4,316 81	1 01	241 92
361	ORACLE CORP	ORCL	10/20/09	7,987 09	22 124	24 53	8,855 33	868 24 ST		
360			10/20/09	7,976 34	22 156	24 53	8,830 80	854 46 ST		
370			10/21/09	8,227 10	22 235	24 53	9,076 10	849 00 ST		
185			10/22/09	4,088 67	22 10	24 53	4,538 05	449 38 ST		
155			10/23/09	3,428 37	22.118	24 53	3,802 15	373 78 ST		
293			10/26/09	6,455 35	22 031	24 53	7,187 29	731 94 ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
183	ORACLE CORP	ORCL	10/30/09	$ 3,868 97	$ 21 141	$ 24 53	$ 4,488 99	$ 620 02 ST		
93			11/05/09	1,981 65	21.308	24 53	2,281.29	299 64 ST		
2,000				44,013 54	22 007		49,060 00	5,046 46	815	400 00
24	PRICELINE COM INC (NEW)	PCLN	10/30/09	3,802 88	158 453	218 41	5,241 84	1,438 96 ST		
73			11/02/09	11,741 94	160 848	218 41	15,943.93	4,201.99 ST		
39			11/05/09	6,608 50	169 448	218 41	8,517 99	1,909 49 ST		
35			11/06/09	5,987 47	171 07	218 41	7,644 35	1,656 88 ST		
36			11/09/09	6,305 28	175 146	218 41	7,862 76	1,557 48 ST		
2			11/11/09	395 21	197 604	218 41	436 82	41 61 ST		
209				34,841 28	166 705		45,647 69	10,806 41		
113	QUALCOMM INC	QCOM	09/22/08	5,341 78	47 272	46 26	5,227 38	(114 40) LT		
66			11/07/08	2,366 09	35 849	46 26	3,053 16	687 07 LT		
244			03/18/09	9,224 54	37 805	46 26	11,287.44	2,062 90 ST		
81			03/18/09	3,076 80	37 985	46 26	3,747 06	670 26 ST		
240			11/05/09	10,484 90	43 687	46 26	11,102.40	617 50 ST		
75			11/11/09	3,333 65	44 448	46 26	3,469.50	135 85 ST		
819				33,827.76	41 304		37,886 94	4,059 18	1.469	556 92
131	SUNCOR ENERGY INC NEW	SU	05/19/09	3,989 64	30 455	35 31	4,625 61	635.97 ST		
44			05/20/09	1,403.95	31 907	35 31	1,553 64	149 69 ST		
274			05/21/09	8,381 44	30 589	35 31	9,674 94	1,293 50 ST		
56			05/22/09	1,779 18	31 771	35 31	1,977 36	198.18 ST		
104			06/05/09	3,595 07	34 567	35 31	3,672 24	77 17 ST		
98			06/05/09	3,378 08	34.47	35 31	3,460 38	82 30 ST		
707				22,527 36	31 863		24,964 17	2,436 81		
13	TJX COMPANIES INC NEW	TJX	07/16/09	447 05	34 388	36 55	475.15	28.10 ST		
159			07/17/09	5,551 55	34 915	36 55	5,811 45	259 90 ST		
93			07/17/09	3,234 91	34 784	36 55	3,399.15	164 24 ST		
63			07/17/09	2,207 22	35 035	36 55	2,302 65	95 43 ST		
94			07/24/09	3,373.44	35 887	36 55	3,435.70	62 26 ST		
97			07/27/09	3,453 64	35 604	36 55	3,545 35	91 71 ST		
81			07/27/09	2,903 85	35 85	36 55	2,960 55	56.70 ST		
127			07/28/09	4,553 67	35 855	36 55	4,641 85	88.18 ST		
727				25,725 33	35 386		26,571 85	846 52	1 313	348 96
178	TEVA PHARMACEUTICAL INDS	TEVA	02/07/08	8,210 59	46 126	56 18	10,000 04	1,789 45 LT		
175	LTD ADR		02/08/08	8,140.98	46 519	56.18	9,831 50	1,690 52 LT		

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE E

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
62	TEVA PHARMACEUTICAL INDS LTD ADR	TEVA	02/12/08	$ 2,898 28	$ 46 746	$ 56 18	$ 3,483 16	$ 584 88 LT		
72			02/13/08	3,423 94	47.554	56 18	4,044 96	621 02 LT		
91			02/06/09	3,817 57	41 951	56.18	5,112 38	1,294 81 ST		
27			02/06/09	1,137 15	42.116	56 18	1,516 86	379 71 ST		
152			04/23/09	6,658 65	43 806	56 18	8,539 36	1,880 71 ST		
757				34,287 16	45 293		42,528 26	8,241 10	398	169 27
378	TEXAS INSTRUMENTS INC	TXN	11/25/09	9,590 96	25 372	26 06	9,850 68	259 72 ST		
599			11/27/09	15,157 87	25 305	26 06	15,609 94	452 07 ST		
216			11/30/09	5,448 69	25 225	26 06	5,628 96	180 27 ST		
148			12/01/09	3,829 80	25 877	26 06	3,856 88	27 08 ST		
185			12/02/09	4,801 68	25 955	26 06	4,821 10	19 42 ST		
1,526				38,829 00	25 445		39,767 56	938 56	1 841	732 48
474	YAHOO INC	YHOO	09/15/09	7,788 15	16 43	16 78	7,953 72	165 57 ST		
215			09/16/09	3,642 44	16 941	16 78	3,607 70	(34 74) ST		
219			09/17/09	3,794 18	17 325	16 78	3,674 82	(119 36) ST		
422			09/18/09	7,162 61	16 973	16 78	7,081 16	(81.45) ST		
226			09/18/09	3,890 66	17 215	16 78	3,792 28	(98 38) ST		
339			09/21/09	5,789.07	17 076	16 78	5,688 42	(100 65) ST		
13			09/24/09	217 75	16 75	16 78	218 14	39 ST		
304			10/06/09	5,199 07	17 102	16 78	5,101 12	(97 95) ST		
221			10/07/09	3,827 03	17 316	16 78	3,708 38	(118 65) ST		
25			11/11/09	401 95	16 078	16 78	419 50	17 55 ST		
2,458				41,712 91	16 97		41,245 24	(467 67)		
Total common stocks and options				$ 1,427,241 64			$ 1,664,191 58	$ 202,671 32 ST	64	
								$ 34,278 62 LT		$ 10,674 52
Total portfolio value				$ 1,448,334 83			$ 1,685,284 77	$ 202,671 32 ST	.63	$ 10,689 28
								$ 34,278 62 LT		

*Based on information supplied by client or other financial institution, not verified by us.

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE E

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
54 3279	TRANSOCEAN LTD SWITZERLAND	RIG	07/11/06	$ 4,279 34	$ 78 768	$ 82 80	$ 4,498 35	$ 219 01* LT		
81 6721	NEW		07/23/07	9,506 60	116 399	82 80	6,762 45	(2,744 15) LT		
120			02/05/08	14,817 37	123 478	82 80	9,936 00	(4,881 37) LT		
256				28,603 31	111 732		21,196 80	(7,406 51)		
96	ABBOTT LABORATORIES	ABT	10/27/03	3,750 72	39 07	53 99	5,183 04	1,432 32* LT		
84			11/25/03	3,507 00	41 75	53 99	4,535 16	1,028 16* LT		
82			12/26/03	3,601 44	43 92	53 99	4,427 18	825 74* LT		
125			01/23/04	5,075 00	40 60	53 99	6,748 75	1,673 75* LT		
96			02/26/04	3,903 36	40 66	53 99	5,183 04	1,279 68* LT		
186			03/02/05	8,559 72	46 02	53 99	10,042 14	1,482 42* LT		
693			02/14/06	30,297 96	43 72	53 99	37,415 07	7,117 11* LT		
1,362				58,695 20	43 095		73,534 38	14,839 18	2 963	2,179 20
167	ALTRIA GROUP INC	MO	10/27/03	1,768 92	10 592	19 63	3,278 21	1,509 29* LT		
142			11/25/03	1,677 94	11 816	19 63	2,787 46	1,109 52* LT		
143			12/26/03	1,785 42	12 485	19 63	2,807 09	1,021 67* LT		
157			01/23/04	1,989 40	12 671	19 63	3,081 91	1,092 51* LT		
125			02/26/04	1,650 70	13 205	19 63	2,453 75	803 05* LT		
94			12/20/04	1,310 98	13 946	19 63	1,845 22	534 24* LT		
268			03/02/05	4,093 15	15 272	19 63	5,260 84	1,167 69* LT		
841			02/14/06	14,128 01	16 799	19 63	16,508 83	2,380 82* LT		
425			05/27/08	9,552 17	22 475	19 63	8,342 75	(1,209 42) LT		
467			06/04/09	7,909 11	16 936	19 63	9,167 21	1,258 10 ST		
2,829				45,865 80	16 210		55,533 27	9,667 47	6 928	3,847 44
25	AMERICAN EXPRESS CO	AXP	01/23/04	1,094 50	43 78	40 52	1,013 00	(81 50)*LT		
59			02/26/04	2,760 61	46 79	40 52	2,390 68	(369 93)*LT		
170			03/02/05	8,165 10	48 03	40 52	6,888 40	(1,276 70)*LT		
483			02/14/06	25,937 10	53 70	40 52	19,571 16	(6,365 94)*LT		
737				37,957 31	51 502		29,863 24	(8,094 07)	1 776	530 64
252	APPLE INC	AAPL	07/23/07	36,242 82	143 82	210 732	53,104 46	16,861 64 LT		
125			02/04/08	16,463 26	131 706	210 732	26,341 50	9,878 24 LT		
79			10/28/08	7,513 91	95 112	210 732	16,647 83	9,133 92 LT		
70			06/02/09	9,792 30	139 89	210 732	14,751 24	4,958 94 ST		
526				70,012 29	133 103		110,845 03	40,832 74		
262	AUTOMATIC DATA PROCESSING INC	ADP	10/17/05	10,129 24	38 661	42 82	11,218 84	1,089 60* LT		
220			02/14/06	9,014 21	40 973	42 82	9,420 40	406 19* LT		

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE F

Ref. 00000994 00111973

MorganStanley SmithBarney

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
188	AUTOMATIC DATA PROCESSING INC	ADP	10/17/06	$ 7,971 37	$ 42 40	$ 42 82	$ 8,050 16	$ 78 79* LT		
670				27,114 82	40 47		28,689 40	1,574 58	3 176	911 20
52	BANK OF AMERICA CORP	BAC	11/05/03	1,962 48	37 74	15 06	783 12	(1,179 36)*LT		
56			11/25/03	2,104 48	37 58	15 06	843 36	(1,261 12)*LT		
124			12/26/03	4,932 72	39 78	15 06	1,867 44	(3,065 28)*LT		
8			01/13/04	314 08	39 25	15 06	120 48	(193 60)*LT		
66			01/23/04	2,696 10	40 85	15 06	993 96	(1,702 14)*LT		
80			02/26/04	3,272 80	40 91	15 06	1,204 80	(2,068 00)*LT		
103			03/02/05	4,804 95	46 65	15 06	1,551 18	(3,253 77)*LT		
512			02/14/06	22,671 36	44 28	15 06	7,710 72	(14,960 64)*LT		
171			10/17/06	9,158 76	53 56	15 06	2,575 26	(6,583 50)*LT		
988			11/24/09	15,936 84	16 13	15 06	14,879 28	(1,057 56) ST		
2,160				67,854 57	31 414		32,529 60	(35,324 97)	265	86 40
177	BECTON DICKINSON & CO	BDX	06/02/09	12,210 65	68 986	78 86	13,958 22	1,747 57 ST		
78			07/14/09	5,380 21	68 977	78 86	6,151 08	770 87 ST		
255				17,590 86	68 984		20,109 30	2,518 44	1 876	377 40
408	CATERPILLAR INC	CAT	07/11/06	29,828 88	73 11	56 99	23,251 92	(6,576 96)*LT	2 947	685 44
87	CHEVRON CORP	CVX	11/01/04	4,617 96	53 08	76 99	6,698 13	2,080 17* LT		
187			03/02/05	11,513 59	61 57	76 99	14,397 13	2,883 54* LT		
790			02/14/06	44,176 80	55 92	76 99	60,822 10	16 645 30* LT		
32			11/25/09	2,538 52	79 328	76 99	2,463 68	(74 84) ST		
1,096				62,846 87	57 342		84,381 04	21,534 17	3 532	2,981 12
132	CISCO SYS INC	CSCO	10/31/07	4,360 92	33 037	23 94	3,160 08	(1,200 84) LT		
1,152			02/04/08	27,480 95	23 862	23 94	27,578 88	88 93 LT		
1,284				31,850 87	24 806		30,738 96	(1,111 91)		
1,000	COCA-COLA CO	KO	09/13/99	55,000 00	55 00	57 00	57,000 00	2,000 00* LT		
98			10/27/03	4,443 32	45 34	57 00	5,586 00	1,142 68* LT		
169			11/25/03	7,883 85	46 65	57 00	9,633 00	1,749 15* LT		
148			12/26/03	7,400 00	50 00	57 00	8,436 00	1,036 00* LT		
183			01/23/04	9,109 74	49 78	57 00	10,431 00	1,321 26* LT		
170			02/26/04	8 430 30	49 59	57 00	9,690 00	1,259 70* LT		
297			03/02/05	12,788 82	43 06	57 00	16,929 00	4,140 18* LT		
83			02/14/06	3,433 71	41 37	57 00	4,731 00	1,297 29* LT		
2,148				108,489 74	50 507		122,436 00	13,946 26	2 877	3,522 72

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE F

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
70	CONOCOPHILLIPS	COP	06/07/04	$ 2,638 30	$ 37 69	$ 51 07	$ 3,574 90	$ 936 60* LT		
78			11/01/04	3,266 64	41 88	51 07	3,983 46	716 82* LT		
128			12/20/04	5,635 84	44 03	51 07	6,536 96	901 12* LT		
80			03/02/05	4,372 80	54 66	51 07	4,085 60	(287 20)*LT		
140			04/21/05	7,226 80	51 62	51 07	7,149 80	(77 00)*LT		
8			08/31/05	523 12	65 39	51.07	408 56	(114 56)*LT		
758			02/14/06	44,335 42	58 49	51 07	38,711 06	(5,624 36)*LT		
321			05/07/09	14,165 15	44 128	51 07	16,393 47	2,228 32 ST		
1,583				82,164 07	51 904		80,843 81	(1,320 26)	3 916	3,166 00
149	ENTERGY CORPORATION-NEW	ETR	02/04/08	16,746 75	112 394	81 84	12,194 16	(4,552 59) LT		
142			05/23/08	17,181 43	120 996	81 84	11,621 28	(5,560 15) LT		
291				33,928 18	116 592		23,815 44	(10,112 74)	3 665	873 00
213	EXELON CORP	EXC	02/04/08	16,962 28	79 635	48 87	10,409 31	(6,552 97) LT		
182			05/23/08	16,340 62	89 783	48 87	8,894 34	(7,446 28) LT		
395				33,302 90	84 311		19,303 65	(13,999 25)	4 297	829 50
76	EXXON MOBIL CORP	XOM	11/25/03	2,723 08	35 83	68 19	5,182 44	2,459,36* LT		
208			12/26/03	8,342 88	40 11	68 19	14,183 52	5,840 64* LT		
237			01/23/04	9,719 37	41 01	68 19	16,161.03	6,441 66* LT		
26			02/04/04	1,050 14	40 39	68 19	1,772 94	722 80* LT		
196			02/26/04	8,351 56	42 61	68 19	13,365 24	5,013 68* LT		
6			03/02/05	375 54	62 59	68 19	409 14	33 60* LT		
1,210			02/14/06	72,067 60	59 56	68 19	82,509 90	10,442 30* LT		
46			06/04/09	3,352 89	72 889	68 19	3,136 74	(216 15) ST		
198			08/04/09	13,901 80	70 211	68 19	13,501 62	(400 18) ST		
2,203				119,884 86	54 419		150,222 57	30,337 71	2 463	3,701 04
320	FLUOR CORP NEW	FLR	05/05/06	14,948 80	46 715	45 04	14,412 80	(536 00)*LT		
14			06/22/06	612 43	43 745	45 04	630 56	18 13* LT		
70			02/04/08	4,331 70	61 881	45 04	3,152 80	(1,178 90) LT		
404				19,892 93	49 24		18,196 16	(1,696 77)	1 11	202 00
599	FOMENTO ECONOMICO MEXICANO S A B DE CV SPONS ADR	FMX	04/23/08	26,248 48	43 82	47 88	28,680 12	2,431 64 LT		
7			05/09/08	312 28	44 612	47 88	335 16	22 88 LT		
606				26,560 76	43 83		29,015 28	2,454 52	76	220.58
261	FRANKLIN RESOURCES INC	BEN	06/02/08	26,140 46	100 155	105 35	27,496 35	1,355 89 LT	835	229 68
343	FREEPORT MCMORAN COPPER & GOLD CL B	FCX	11/27/09	29,121 87	84 903	80 29	27,539 47	(1,582 40) ST	747	205 80

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE F

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
95	GENERAL DYNAMICS CORP	GD	05/08/08	$ 8,665 81	$ 91 219	$ 68 17	$ 6,476 15	($ 2,189 66) LT		
95			05/12/08	8,657 25	91 128	68 17	6,476 15	(2,181 10) LT		
95			05/16/08	8,780 57	92 427	68 17	6,476 15	(2,304 42) LT		
99			06/02/08	9,026 93	91 181	68 17	6,748 83	(2,278 10) LT		
47			05/07/09	2,535 81	53 953	68 17	3,203 99	668 18 ST		
431				37,666 37	87 393		29,381 27	(8,285 10)	2 229	655 12
266	GENERAL ELECTRIC CO	GE	02/26/04	8,706 18	32 73	15 13	4,024 58	(4,681 60)*LT		
588			03/02/05	20,932 80	35 60	15 13	8,896 44	(12,036 36)*LT		
2,206			02/14/06	73,967 18	33 53	15 13	33,376 78	(40,590 40)*LT		
3,060				103 606 16	33 858		46,297 80	(57,308 36)	2 643	1,224 00
63	HSBC HLDG PLC SP ADR NEW	HBC	10/04/04	5,089 14	80 78	57 09	3,596 67	(1,492 47)*LT		
63			10/05/04	5,085 36	80 72	57 09	3,596 67	(1,488 69)*LT		
63			12/20/04	5,369 49	85 23	57 09	3,596 67	(1,772 82)*LT		
89			03/02/05	7 315 80	82 20	57 09	5,081 01	(2,234 79)*LT		
5			07/07/05	393 35	78 67	57 09	285 45	(107 90)*LT		
248			02/14/06	20,581 52	82 99	57 09	14,158 32	(6 423 20)*LT		
221			04/08/09	4,085 74	18 487	57 09	12,616 89	8,531 15 ST		
752				47,920 40	63 724		42,931 68	(4,988 72)	2 977	1,278 40
404	HALLIBURTON CO HOLDINGS CO	HAL	06/09/06	14,899 52	36 88	30 09	12,156 36	(2,743 16)*LT		
420			07/11/06	15,527 40	36 97	30 09	12,637 80	(2,889 60)*LT		
389			02/05/08	13,352 27	34 324	30 09	11,705 01	(1,647 26) LT		
1,213				43,779 19	36 092		36,499 17	(7,280 02)	1 196	436 68
249	INTEL CORP	INTC	05/03/99	7,788 72	31 28	20 40	5,079 60	(2,709 12)*LT		
19			10/27/03	596 03	31 37	20 40	387 60	(208 43)*LT		
226			11/25/03	7,586 82	33 57	20 40	4,610 40	(2,976 42)*LT		
372			12/26/03	11,680 80	31 40	20 40	7,588 80	(4,092 00)*LT		
263			01/23/04	8,347 62	31 74	20 40	5,365 20	(2,982 42)*LT		
364			02/26/04	10,814 44	29 71	20 40	7,425 60	(3,388 84)*LT		
454			03/02/05	11,191 10	24 65	20 40	9,261 60	(1,929 50)*LT		
1,044			02/14/06	22,352 04	21 41	20 40	21,297 60	(1,054 44)*LT		
2,991				80,357 57	26 866		61,016 40	(19,341 17)	2 745	1,674 96
30	INTUITIVE SURGICAL INC	ISRG	05/08/08	8,523 84	284 128	303 43	9,102 90	579 06 LT		
30			05/12/08	8,599 56	286 652	303 43	9,102 90	503 34 LT		
47			04/02/09	4,579 86	97 443	303 43	14,261 21	9,681 35 ST		
107				21,703 26	202 834		32,467 01	10,763 75		

SCHEDULE F

RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

Forgy - Acct F

MorganStanley SmithBarney

Ref 00000994 00111976

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
66	JPMORGAN CHASE & CO	JPM	12/08/04	$ 2,487 54	$ 37 69	$ 41 67	$ 2,750 22	$ 262 68* LT		
209			03/02/05	7,730 91	36 99	41 67	8,709 03	978 12* LT		
479			02/14/06	19,298 91	40 29	41 67	19,959 93	661 02* LT		
343			01/13/09	8,979 95	26 18	41 67	14,292 81	5,312 86 ST		
317			06/02/09	11,059 56	34 888	41 67	13,209 39	2,149 83 ST		
1,414				49,556 87	35 047		58,921 38	9,364 51	479	282 80
42	JOHNSON & JOHNSON	JNJ	11/25/03	2,133 18	50 79	64 41	2,705 22	572 04* LT		
173			12/26/03	8,765 91	50 67	64 41	11,142 93	2,377 02* LT		
143			01/23/04	7,581 86	53 02	64 41	9,210 63	1,628 77* LT		
149			02/26/04	8,074 31	54 19	64 41	9,597 09	1,522 78* LT		
10			03/02/04	528 90	52 89	64 41	644 10	115 20* LT		
174			03/02/05	11,661 48	67 02	64 41	11,207 34	(454.14)*LT		
805			02/14/06	47,527 20	59 04	64 41	51,850 05	4,322 85* LT		
74			03/31/09	3,946 95	53 337	64 41	4,766 34	819 39 ST		
179			06/04/09	9,974 99	55 726	64 41	11,529 39	1,554 40 ST		
1,749				100,194 78	57 287		112,653 09	12,458 31	3 043	3,428 04
55	ESTEE LAUDER COS INC CL A	EL	10/27/03	2,013 55	36 61	48 36	2,659 80	646 25* LT		
51			11/25/03	1,914 54	37 54	48 36	2,466 36	551 82* LT		
50			12/26/03	1,971 00	39 42	48 36	2,418 00	447 00* LT		
50			01/23/04	2,024 00	40 48	48 36	2,418 00	394 00* LT		
47			02/26/04	1,962 25	41 75	48 36	2,272 92	310 67* LT		
97			03/02/05	4,149 66	42 78	48 36	4,690 92	541 26* LT		
40			07/07/05	1,518 80	37 97	48 36	1,934 40	415 60* LT		
383			02/14/06	14,492 72	37 84	48 36	18,521 88	4,029 16* LT		
773				30,046 52	38 87		37,382 28	7,335 76	1 137	425 15
28	MCDONALDS CORP	MCD	10/27/03	681 24	24 33	62 44	1,748 32	1,067 08* LT		
73			11/25/03	1,878 29	25 73	62 44	4,558 12	2,679 83* LT		
101			12/26/03	2,434 10	24 10	62 44	6,306 44	3,872 34* LT		
71			01/23/04	1,779 26	25 06	62 44	4,433 24	2,653 98* LT		
48			02/26/04	1,347 84	28 08	62 44	2,997 12	1,649 28* LT		
85			03/02/05	2,835 60	33 36	62 44	5,307 40	2,471 80* LT		
345			02/14/06	12,478 65	36 17	62 44	21,541 80	9,063 15* LT		
263			06/02/09	15,913 00	60 505	62 44	16,421 72	508 72 ST		
337			11/25/09	21,702 73	64 399	62 44	21,042 28	(660 45) ST		
1,351				61,050.71	45 189		84,356 44	23,305 73	3 523	2,972 20

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
47	MCGRAW HILL COS INC	MHP	11/25/03	$ 1,612 57	$ 34 31	$ 33 51	$ 1,574 97	($ 37 60)*LT		
122			12/26/03	4,191 92	34 36	33 51	4,088 22	(103 70)*LT		
112			01/23/04	3,996 16	35 68	33 51	3,753 12	(243 04)*LT		
76			02/26/04	2,930 56	38 56	33 51	2,546 76	(383 80)*LT		
120			03/02/05	5,626 80	46 89	33 51	4,021 20	(1,605 60)*LT		
492			02/14/06	26,400 72	53 66	33 51	16,486 92	(9,913 80)*LT		
969				44,758 73	46 191		32,471 19	(12,287 54)	2 685	872 10
341	MEDTRONIC INC	MDT	07/23/07	17,893 91	52 474	43 98	14,997 18	(2,896 73) LT		
238			10/31/07	11,190 28	47 018	43 98	10,467 24	(723 04) LT		
579				29,084 19	50 232		25,464 42	(3,619 77)	1 864	474 78
94	MERCK & CO INC NEW	MRK	12/26/03	4,236 58	45 07	36 54	3,434 76	(801 82)*LT		
153			01/23/04	7,025 76	45 92	36 54	5,590 62	(1,435 14)*LT		
135			02/26/04	6,424 65	47 59	36 54	4,932 90	(1,491 75)*LT		
96			03/02/05	3,028 80	31 55	36 54	3,507 84	479 04* LT		
372			02/14/06	12,871 20	34 60	36 54	13,592 88	721 68* LT		
94			03/31/09	2,540 37	27 025	36 54	3,434 76	894 39 ST		
177			06/05/09	4,646 23	26 249	36 54	6,467 58	1,821 35 ST		
153			07/01/09	4,280 57	27 977	36 54	5,590 62	1,310 05 ST		
1,274				45,054 16	35 364		46,551 96	1,497 80	4 159	1,936 48
34	MICROSOFT CORP	MSFT	01/23/04	968 06	28 49	30 48	1,036 32	67 66* LT		
74			02/17/04	1,995 78	26 97	30 48	2,255 52	259 74* LT		
262			02/26/04	6,948 24	26 52	30 48	7,985 76	1,037 52* LT		
750			03/02/05	18,982 50	25 31	30 48	22,860 00	3,877 50* LT		
1,742			02/14/06	46,110 74	26 47	30 48	53,096 16	6,985 42* LT		
2,862				75,005 92	26 208		87,233 76	12,227 84	1 706	1,488 24
503	MICROCHIP TECHNOLOGY INC	MCHP	01/03/07	17,036 61	33 87	29 05	14,612 15	(2,424 46)*LT	4 681	684 08
50	NESTLE S A SPONSORED ADR	NSRGY	01/23/04	1,343 00	26 86	48 35	2,417 50	1,074 50* LT		
140			02/26/04	3,712 80	26 52	48 35	6,769 00	3,056 20* LT		
335			03/02/05	9,313 00	27 80	48 35	16,197 25	6,884 25* LT		
910			02/14/06	26,299 00	28 90	48 35	43,998 50	17,699 50* LT		
359			11/30/09	17,008 81	47 378	48 35	17,357 65	348 84 ST		
1,794				57,676 61	32 15		86,739 90	29,063 29	1 662	1,442 38
142	NEWS CORP CLASS A NEW	NWSA	10/27/03	2,037 70	14 35	13 69	1,943 98	(93 72)*LT		
144			11/25/03	2,049 12	14 23	13 69	1,971 36	(77 76)*LT		
142			12/26/03	2,037 70	14 35	13 69	1,943 98	(93 72)*LT		

SCHEDULE

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
74	NEWS CORP CLASS A NEW	NWSA	01/23/04	$ 1,233 58	$ 16 67	$ 13 69	$ 1,013 06	($ 220 52)*LT		
146			02/26/04	2,382 72	16 32	13 69	1,998 74	(383 98)*LT		
201			12/20/04	3,716 49	18 49	13 69	2,751 69	(964 80)*LT		
543			03/02/05	9,095 25	16 75	13 69	7,433 67	(1,661 58)*LT		
15			07/07/05	239 55	15 97	13 69	205 35	(34 20)*LT		
1,363			02/14/06	21,848 69	16 03	13 69	18,659 47	(3,189 42)*LT		
2,770				44,641 00	16 116		37,921 30	(6,719 70)	876	332 40
244	NOVO-NORDISK A S ADR	NVO	04/23/08	16,128 18	66 099	63 85	15,579 40	(548 78) LT		
272			06/09/08	18,279 27	67 203	63 85	17,367 20	(912 07) LT		
516				34,407 45	66 681		32,946 60	(1,460 85)	1 224	403.51
172	OCCIDENTAL PETROLEUM CORP-DEL	OXY	10/22/04	4,982 84	28 97	81 35	13,992 20	9,009 36* LT		
16			12/08/04	434 40	27 15	81 35	1,301 60	867 20* LT		
90			12/20/04	2,628 90	29 21	81 35	7,321 50	4,692 60* LT		
54			03/02/05	1,912 14	35 41	81 35	4,392 90	2,480 76* LT		
58			10/11/05	2,295 64	39 58	81 35	4,718 30	2,422 66* LT		
276			02/14/06	12,066 72	43 72	81 35	22,452 60	10,385 88* LT		
54			11/27/09	4,377 80	81 07	81 35	4,392 90	15 10 ST		
720				28,698 44	39 859		58,572 00	29,873 56	1 622	950 40
400	PEABODY ENERGY CORP	BTU	02/07/07	15,236 59	38 331	45 21	18,084 00	2,847 41* LT		
187			07/23/07	7,925 62	42 622	45 21	8,454 27	528 65 LT		
7			08/15/07	276 14	39 688	45 21	316 47	40 33 LT		
594				23,438 35	39 459		26,854 74	3,416 39	619	166 32
104	PEPSICO INC	PEP	10/27/03	4,964 96	47 74	60 80	6,323 20	1,358 24* LT		
105			11/25/03	5,003.25	47 65	60 80	6,384 00	1,380 75* LT		
124			12/26/03	5,768 48	46 52	60 80	7,539 20	1,770 72* LT		
115			01/23/04	5 311 85	46 19	60 80	6,992 00	1,680 15* LT		
56			02/26/04	2,906 40	51 90	60 80	3,404 80	498 40* LT		
206			03/02/05	11,119 88	53 98	60 80	12,524 80	1,404 92* LT		
556			02/14/06	32,081 20	57 70	60 80	33,804 80	1,723 60* LT		
1,266				67,156 02	53 046		76,972 80	9,816 78	2 96	2,278 80
167	PHILIP MORRIS INTL INC	PM	10/27/03	4,030 82	24 136	48 19	8,047 73	4,016 91* LT		
142			11/25/03	3,823 51	26 926	48 19	6,842 98	3,019 47* LT		
143			12/26/03	4,068 43	28 45	48 19	6,891 17	2,822.74* LT		
157			01/23/04	4,533 21	28 873	48 19	7,565 83	3,032 62* LT		
125			02/26/04	3,761 42	30 091	48.19	6,023.75	2,262 33* LT		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
94	PHILIP MORRIS INTL INC	PM	12/20/01	$ 2,987 31	$ 31 779	$ 48 19	$ 4,529 86	$ 1,542 55* LT		
268			03/02/05	9,327 00	34 802	48 19	12,914 92	3,587 92* LT		
841			02/14/06	32,193 35	38 279	48 19	40,527 79	8,334 44* LT		
492			04/21/08	24,740 02	50 284	48 19	23,709 48	(1,030 54) LT		
246			01/13/09	10,394 56	42 254	48 19	11,854 74	1,460 18 ST		
158			03/31/09	5,726 96	36 246	48 19	7,614 02	1,887 06 ST		
2,833				105,586 59	37 27		136,522 27	30,935 68	4 814	6,572 56
159	PRAXAIR INC	PX	03/10/05	7,598 61	47 77	80 31	12,769 29	5,170 68* LT		
126			02/14/06	6,706 98	53 23	80 31	10,119 06	3,412 08* LT		
110			03/21/06	6,286 50	57 15	80 31	8,834 10	2,547 60* LT		
139			05/15/06	7,763 15	55 85	80 31	11,163 09	3,399 94* LT		
534				28,355 24	53 10		42,885 54	14,530 30	1 992	854 40
103	PROCTER & GAMBLE CO	PG	11/25/03	4,979 02	48 34	60 63	6,244 89	1,265 87* LT		
190			12/26/03	9 338 50	49 15	60 63	11,519 70	2,181 20* LT		
150			01/23/04	7,377 00	49 18	60 63	9,094 50	1,717 50* LT		
128			02/26/04	6,583 04	51 43	60 63	7,760 61	1,177 60* LT		
290			03/02/05	15,558 50	53 65	60 63	17,582 70	2,024 20* LT		
611			02/11/06	36,525 58	59 78	60 63	37,044 93	519 35* LT		
1,472				80,361 64	54 594		89,247 36	8,885 72	2 902	2,590 72
270	PRUDENTIAL FINANCIAL INC	PRU	04/03/07	24,566 49	90 987	49 76	13,435 20	(11,131 29) LT		
27			08/15/07	2,301 19	85 229	49 76	1,343 52	(957 67) LT		
297				26,867 68	90 464		14,778 72	(12,088 96)	1 406	207 90
440	QUALCOMM INC	QCOM	12/01/06	15,901 60	36 14	46 26	20,354 40	4,452 80* LT		
319			05/23/08	14,976 70	46 948	46 26	14,756 94	(219 76) LT		
759				30,878 30	40 683		35,111 34	4,233 04	1 469	516 12
45	ROYAL DUTCH SHELL PLC ADR CL A	RDSA	10/27/03	2,004 75	44 55	60 11	2,704 95	700 20* LT		
44			11/25/03	1,963 72	44 63	60 11	2,644 84	681 12* LT		
32			12/26/03	1,639 68	51 24	60 11	1,923 52	283 84* LT		
52			01/23/04	2,497 04	48 02	60 11	3,125 72	628 68* LT		
42			02/26/04	2,068 92	49 26	60 11	2,524 62	455 70* LT		
28			03/02/05	1,771 56	63 27	60 11	1,683 08	(88 48)*LT		
115			06/27/05	7,406 00	64 40	60 11	6,912 65	(493 35)*LT		
341			02/14/06	21,210 20	62 20	60 11	20,497 51	(712 69)*LT		
202			10/28/08	9,705 43	48 046	60 11	12,142 22	2,436 79 LT		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
155	ROYAL DUTCH SHELL PLC ADR	RDSA	03/31/09	$ 6,901 89	$ 44 528	$ 60 11	$ 9,317 05	$ 2,415 16 ST		
1,056	CL A			57,169 19	54 137		63,476 16	6,306 97	3 684	2,330 04
335	SCHLUMBERGER LTD	SLB	08/27/08	32,710 17	97 642	65 09	21,805 15	(10,905 02) LT	1 29	281 40
147	SYSCO CORP	SYY	11/04/04	5,156 76	35 08	27 94	4,107 18	(1,049 58)* LT		
2			12/08/04	69 82	34 91	27 94	55 88	(13 94)* LT		
69			12/20/04	2,514 36	36 44	27 94	1,927 86	(586 50)* LT		
115			03/02/05	4,000 85	34 79	27 94	3,213 10	(787 75)* LT		
384			02/14/06	11,688 06	30 44	27 94	10,728 96	(960 00)* LT		
717				23,430 75	32 679		20,032 98	(3,397 77)	3 579	717 00
78	TARGET CORP	TGT	10/27/03	3,040 44	38 98	48 37	3,772 86	732 42* LT		
77			11/25/03	3,004 54	39 02	48 37	3,724 49	719 95* LT		
90			12/26/03	3,407 40	37 86	48 37	4,353 30	945 90* LT		
80			01/23/04	3,099 20	38 74	48 37	3,869 60	770 40* LT		
42			02/26/04	1,816 08	43 24	48 37	2,031 54	215 46* LT		
82			03/02/05	4,249 24	51 82	48 37	3,966 34	(282 90)* LT		
353			02/14/06	19,358 52	54 84	48 37	17,074 61	(2,283 91)* LT		
149			07/21/06	6,870 39	46 11	48 37	7,207 13	336 74* LT		
951				44,845 81	47 156		45,999 87	1,154 06	1 405	646 68
545	TEXAS INSTRUMENTS INC	TXN	12/01/06	15,761 40	28 92	26 06	14,202 70	(1,558 70)* LT		
464			08/28/07	15,804 12	34 06	26 06	12,091 84	(3,712 28) LT		
335			10/31/07	10,926 39	32 616	26 06	8,730 10	(2,196 29) LT		
1,344				42,491 91	31 616		35,024 64	(7,467 27)	1 841	645 12
182	TOTAL S A SPONS ADR	TOT	02/28/05	10,606 96	58 28	64 04	11,655 28	1,048 32* LT		
78			03/02/05	4,542 72	58 24	64 04	4,995 12	452 40* LT		
130			04/21/05	7,230 60	55 62	64 04	8,325 20	1,094 60* LT		
20			10/11/05	1,280 60	64 03	64 04	1,280 80	20* LT		
16			12/07/05	998 88	62 43	64 04	1,024 64	25 76* LT		
368			02/14/06	23,235 52	63 14	64 04	23,566 72	331 20* LT		
123			10/28/08	5,774 69	46 948	64 04	7,876 92	2,102 23 LT		
51			03/31/09	2,498 33	48 986	64 04	3,266 04	767 71 ST		
968				56,168 30	58 025		61,990 72	5,822 42	4 337	2,689 10
457	UNITED TECHNOLOGIES CORP	UTX	05/15/06	29,627 31	64 83	69 41	31,720 37	2,093 06* LT		
15			06/22/06	922 95	61 53	69 41	1,041 15	118 20* LT		
244			10/31/07	18,454 48	75 633	69 41	16,936 04	(1,518 44) LT		
716				49,004 74	68 442		49,697 56	692 82	2.218	1,102 64

SCHEDULE I

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
549	WAL-MART STORES INC	WMT	02/14/06	$ 25,501 05	$ 46 45	$ 53 45	$ 29,344 05	$ 3,843 00* LT		
253			03/31/09	12,995 42	51 365	53 45	13,522 85	527 43 ST		
114			05/14/09	5,610 40	49 214	53 45	6,093 30	482 90 ST		
43			06/04/09	2,179 18	50 678	53 45	2,298 35	119 17 ST		
959				46,286 05	48 265		51,258 55	4,972 50	2 039	1,045 31
77	WALGREEN CO NEW	WAG	10/27/03	2,597 98	33 74	36 72	2,827 44	229 46* LT		
99			11/25/03	3,630 33	36 67	36 72	3,635 28	4 95* LT		
128			12/26/03	4,574 72	35 74	36 72	4,700 16	125 44* LT		
134			01/23/04	4,685 98	34 97	36 72	4,920 48	234 50* LT		
110			02/26/04	3,886 30	35 33	36 72	4,039 20	152 90* LT		
1			03/02/04	35 31	35 31	36 72	36 72	1 41* LT		
157			03/02/05	6,862 47	43 71	36 72	5,765 04	(1,097 43)*LT		
639			02/14/06	28,397 16	44 44	36 72	23,464 08	(4,933 08)*LT		
200			06/02/09	6,330 90	31 654	36 72	7,344 00	1,013 10 ST		
1,545				61,001 15	39 483		56,732 40	(4,268 75)	1 497	849 75
Total common stocks and options				$ 2,685,703 38			$ 2,802,352 27	$ 49,260 54 ST	2 46	
								$ 67,388 35 LT		$ 69,013 74
Total portfolio value				$ 2,766,666 75			$ 2,883,315 64	$ 49,260 54 ST	2 39	$ 69,070 41
								$ 67,388 35 LT		

*Based on information supplied by client or other financial institution, not verified by us

TRANSACTION DETAILS *All transactions appearing are based on trade-date*

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/10/09	Sold	FOMENTO ECONOMICO MEXICANO S A B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	-181	$ 47 475	$ 8,592 75
Total securities bought and other subtractions					$ 0 00
Total securities sold and other additions					$ 8,592 75

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
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SCHEDULE F

SEPARATELY MANAGED ACCOUNTS

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain separate account managers Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes All research ratings represent the "opinions" of CGR and are not representations or guarantees of performance

	Rating	Rating
MDT Advisers - All Cap Core	FL	

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information To see the date of the most recent price update, please view your account online at www smithbarney com

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold
***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor*

Money fund

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1 00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account

Number of shares	Description	Current value	Accrued dividends	Annualized % dividend yield	Anticipated income (annualized)
31,308 93	WESTERN ASSET MONEY MARKET FUND CLASS A	$ 31,308 93		07%	$ 21 91
Total money fund		$ 31,308 93	$ 0 00	07%	$ 21 91

Common stocks & options

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
40	ALLIED WORLD ASSURANCE CO HLDGS LTD	AWH	07/15/09	$ 1,671 33	$ 41 783	$ 46 07	$ 1,842 80	$ 171 47 ST		
31			07/16/09	1,315 29	42 428	46 07	1,428 17	112 88 ST		
36			07/29/09	1,558 53	43 292	46 07	1,658 52	99 99 ST		

SCHEDULE D

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION Account number 383-1597C-10 JD1

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
26	ALLIED WORLD ASSURANCE CO HLDGS LTD	AWH	07/30/09	$ 1,127 55	$ 43 367	$ 46 07	$ 1,197 82	$ 70 27 ST		
133				5,672 70	42 652		6,127 31	454 61	1 736	106 40
65	ENDURANCE SPECIALTY HOLDINGS L-USD	ENH	08/07/09	2,090 51	32 161	37 23	2,419 95	329 44 ST	2 686	65 00
27	EVEREST REINSURANCE GP LTD	RE	08/21/09	2,232 83	82 697	85 68	2,313 36	80 53 ST		
14			08/25/09	1,168 41	83 458	85 68	1,199 52	31 11 ST		
13			09/14/09	1,098 71	84 515	85 68	1,113 84	15 13 ST		
13			09/18/09	1,121 66	86 281	85 68	1,113 84	(7 82) ST		
67				5,621 61	83 905		5,740 56	118 95	2 24	128 64
12	FRESH DEL MONTE PRODUCE	FDP	09/16/09	290 03	24 169	22 10	265 20	(24 83) ST		
49			09/25/09	1,101 79	22 485	22 10	1,082 90	(18 89) ST		
47			09/29/09	1,069 27	22 75	22 10	1,038 70	(30 57) ST		
108				2,461 09	22 788		2,386 80	(74 29)	226	5 40
67	MONTPELIER RE HOLDINGS LTD -USD	MRH	07/16/09	955 71	14 264	17 32	1,160 44	204 73 ST		
66			07/30/09	1,033 11	15 653	17 32	1,143 12	110 01 ST		
133				1,988 82	14 954		2,303 56	314 74	2 078	47 88
28	PARTNERRE LTD -BMD	PRE	07/02/09	1,828 35	65 298	74 66	2,090 48	262 13 ST	2 518	52 64
51	RENAISSANCE RE HLDGS LTD	RNR	08/13/09	2,632 11	51 61	53 15	2,710 65	78 54 ST	1 806	48 96
131	XL CAPITAL LTD CLASS A	XL	11/03/09	2,115 11	16 145	18 33	2,401 23	286 12 ST		
81			12/10/09	1,460 72	18 033	18 33	1,484 73	24 01 ST		
212				3,575 83	16 867		3,885 96	310 13	2 182	84 80
70	NOBLE CORPORATION-CHF	NE	11/03/09	2,905 31	41 504	40 70	2,849 00	(56 31) ST	393	11 20
41	ALASKA AIR GROUP INC	ALK	09/18/09	1,074 56	26 208	34 56	1,416 96	342 40 ST		
41			09/25/09	1,119 93	27 315	34 56	1,416 96	297 03 ST		
82				2,194 49	26 762		2,833 92	639 43		
44	AMAZON COM INC	AMZN	03/30/09	3,114 38	70 781	134.52	5,918 88	2,804 50 ST		
13			04/07/09	990 72	76 209	134 52	1,748 76	758 04 ST		
15			05/18/09	1,110 98	74 065	134 52	2,017 80	906 82 ST		
23			05/26/09	1,792 23	77 923	134 52	3,093 96	1,301 73 ST		
32			06/05/09	2,803 43	87 607	134 52	4,304 64	1,501 21 ST		
12			06/08/09	1,028 97	85 747	134 52	1,614 24	585 27 ST		
54			06/11/09	4,676 90	86 609	134 52	7,264 08	2,587 18 ST		
93			11/18/09	12,139 33	130 53	134 52	12,510 36	371 03 ST		
92			11/23/09	12,170 20	132 284	134.52	12,375 84	205 64 ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
27	AMAZON COM INC	AMZN	11/30/09	$ 3,626 20	$ 134 303	$ 134 52	$ 3,632 04	$ 5 84 ST		
405				43,453 34	107 292		54,480 60	11,027 26		
69	AMERICAN FINL GROUP INC OHIO	AFG	07/29/09	1,717 43	24 89	24 95	1,721 55	4 12 ST	2 084	35 88
145	AMERIPRISE FINANCIAL INC	AMP	09/16/09	4,707 76	32 467	38 82	5,628 90	921 14 ST		
107			10/09/09	3,946 42	36 882	38 82	4,153 74	207 32 ST		
100			10/14/09	3,713 39	37 133	38 82	3,882 00	168 61 ST		
84			10/20/09	3,037 69	36 163	38 82	3,260 88	223 19 ST		
94			10/23/09	3,495 95	37 191	38 82	3,649 08	153 13 ST		
77			10/29/09	2,706 33	35 147	38 82	2,989 14	282 81 ST		
36			11/03/09	1,268 42	35 233	38 82	1,397 52	129 10 ST		
52			11/04/09	1,929 68	37 109	38 82	2,018 64	88 96 ST		
53			11/10/09	2,052 92	38 734	38 82	2,057 46	4 54 ST		
49			11/18/09	1,884 11	38 451	38 82	1,902 18	18 07 ST		
29			11/23/09	1,111 08	38 313	38 82	1,125 78	14 70 ST		
826				29,853 75	36 143		32,065 32	2,211 57	1 751	561 68
54	AMPHENOL CORP CLASS A	APH	11/17/09	2,333 49	43 212	46 18	2,493 72	160 23 ST		
33			12/29/09	1,522 43	46 134	46 18	1,523 94	1 51 ST		
87				3,855 92	44 321		4,017 66	161 74	129	5 22
128	APACHE CORP	APA	07/02/09	8,858 73	69 208	103 17	13,205 76	4,347 03 ST		
98			07/10/09	6,638 22	67 736	103 17	10,110 66	3,472 44 ST		
226				15,496 95	68 571		23,316 42	7,819 47	581	135 60
7	APOLLO GROUP INC CL A	APOL	03/19/09	490 86	70 122	60 58	424 06	(66 80) ST		
41			04/20/09	2,692 33	65 666	60 58	2,483 78	(208 55) ST		
76			04/30/09	4,846 16	63 765	60 58	4,604 08	(242 08) ST		
52			05/11/09	3,107 85	59 766	60 58	3,150 16	42 31 ST		
176				11,137 20	63 28		10,662 08	(475 12)		
72	ARCHER-DANIELS-MIDLAND CO	ADM	11/17/09	2,304 64	32 008	31 31	2,254 32	(50 32) ST		
65			12/01/09	2,066 53	31 792	31 31	2,035 15	(31 38) ST		
202			12/10/09	6,135 43	30 373	31 31	6,324 62	189 19 ST		
180			12/11/09	5,511 44	30 619	31 31	5,635 80	124 36 ST		
169			12/15/09	5,219 18	30 882	31 31	5,291 39	72 21 ST		
216			12/29/09	6,857 05	31 745	31 31	6,762 96	(94 09) ST		
162			12/30/09	5,124 27	31 631	31 31	5,072 22	(52 05) ST		
1,066				33,218 54	31 162		33,376 46	157 92	1 788	596 96

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
8	AUTOZONE INC	AZO	07/15/09	$ 1,280 82	$ 160 103	$ 158 07	$ 1,264 56	($ 16 26) ST		
9			10/23/09	1,251 71	139 078	158 07	1,422 63	170 92 ST		
14			10/29/09	1,944 90	138 921	158 07	2,212 98	268 08 ST		
31				4,477 43	144 433		4,900 17	422 74		
37	C I G N A CORP	CI	07/29/09	1,035 33	27 981	35 27	1,304 99	269 66 ST		
41			11/10/09	1,265 00	30 855	35 27	1,446 07	180 98 ST		
49			11/17/09	1,497 57	30 562	35 27	1,728 23	230 66 ST		
127				3,797 99	29 905		4,479 29	681 30	113	5 08
48	CMS ENERGY CORP	CMS	10/30/08	491 19	10 323	15 66	751 68	260 49 LT		
100			12/29/08	937 62	9 376	15 66	1,566 00	628 38 LT		
148				1,428 81	9 654		2,317 68	888 87	3 192	74 00
122	CNA FINANCIAL CORP	CNA	08/07/09	2,554 50	20 938	24 00	2,928 00	373 50 ST		
44			09/18/09	1,139 46	25 896	24 00	1,056 00	(83 46) ST		
166				3,693 96	22 253		3,984 00	290 04		
64	CVS CAREMARK CORP	CVS	08/10/09	2,216 15	34 627	32 21	2,061 44	(154 71) ST		
83			09/16/09	3,039 85	36 624	32 21	2,673 43	(366 42) ST		
148			09/18/09	5,346 26	36 123	32 21	4,767 08	(579 18) ST		
121			11/10/09	3,622 57	29 938	32 21	3,897 41	274 84 ST		
136			11/17/09	4,113 96	30 249	32 21	4,380 56	266 60 ST		
77			11/18/09	2,348 95	30 505	32 21	2,480 17	131 22 ST		
629				20,687 74	32 89		20,260 09	(427 65)	946	191 85
92	CA INC	CA	07/02/09	1,565 76	17 019	22 46	2,066 32	500 56 ST		
59			07/15/09	1,039 69	17 621	22 46	1,325 14	285 45 ST		
151				2,605 45	17 255		3,391 46	786 01	712	24 16
123	CHESAPEAKE ENERGY CORP	CHK	08/07/09	3,053 77	24 827	25 88	3,183 24	129 47 ST		
114			08/21/09	2,708 87	23 762	25 88	2,950 32	241 45 ST		
102			09/16/09	2,928 57	28 711	25 88	2,639 76	(288 81) ST		
205			09/18/09	5,706 32	27 835	25 88	5,305 40	(400 92) ST		
106			09/21/09	2,922 95	27 575	25 88	2,743 28	(179 67) ST		
117			09/25/09	3,226 32	27 575	25 88	3,027 96	(198 36) ST		
81			10/02/09	2,147 50	26 512	25 88	2,096 28	(51 22) ST		
64			10/05/09	1,755 69	27 432	25 88	1,656 32	(99 37) ST		
168			10/09/09	4,780 36	28 454	25 88	4,347 84	(432 52) ST		
124			10/14/09	3,565 43	28 753	25 88	3,209 12	(356 31) ST		
154			10/23/09	4,188 05	27 195	25 88	3,985 52	(202 53) ST		

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LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
135	CHESAPEAKE ENERGY CORP	CHK	10/20/09	$ 3,483 30	$ 25 802	$ 25 88	$ 3,493 80	$ 10 50 ST		
130			11/10/09	3,278 76	25 221	25 88	3,364 40	85 64 ST		
1,623				43,745 89	26 954		42,003 24	(1,742 65)	1 159	486 90
107	CHEVRON CORP	CVX	02/04/08	8,770 33	81 965	76 99	8,237 93	(532 40) LT		
170			02/05/09	12,212 29	71 837	76 99	13,088 30	876 01 ST		
156			02/06/09	11,596 74	74 338	76 99	12,010 44	413 70 ST		
120			02/12/09	8,284 58	69 038	76 99	9,238 80	954 22 ST		
88			04/20/09	5,638 39	64 072	76 99	6,775 12	1,136 73 ST		
117			12/15/09	9,090 07	77 692	76 99	9,007 83	(82 24) ST		
92			12/17/09	7,086 77	77 03	76 99	7,083 08	(3 69) ST		
850				62,679 17	73 74		65,441 50	2,762 33	3 532	2 312 00
43	CHILDRENS PLACE RETAIL STORES	PLCE	07/02/09	1,086 75	25 273	33 00	1,419 00	332 25 ST		
37			07/10/09	953 97	25 783	33 00	1,221 00	267 03 ST		
80				2,040 72	25 509		2 640 00	599 28		
38	CHUBB CORP	CB	04/23/07	2,053 39	54 036	49 18	1,868 84	(184 55) LT		
30			03/11/08	1,525 60	50 853	49 18	1,475 40	(50 20) LT		
29			03/19/08	1 468 48	50 637	49 18	1,426 22	(42 26) LT		
81			04/09/08	4,083 03	50 407	49 18	3,983 58	(99 45) LT		
31			04/24/08	1,632 00	52 645	49 18	1,524 58	(107 42) LT		
30			04/29/08	1,604 48	53 482	49 18	1,475 40	(129 08) LT		
29			04/30/08	1,545 66	53 298	49 18	1,426 22	(119 44) LT		
210			05/08/09	8,399 66	39 998	49 18	10,327 80	1,928 14 ST		
75			07/29/09	3,416 07	45 547	49 18	3,688 50	272 43 ST		
553				25,728 37	46 525		27,196 54	1,468 17	2 846	774 20
75	COCA-COLA CO	KO	12/02/09	4,344 84	57 931	57 00	4,275 00	(69 84) ST		
104			12/03/09	5,989 95	57 595	57 00	5,928 00	(61 95) ST		
179				10,334 79	57 736		10,203 00	(131 79)	2 877	293 56
31	COLGATE PALMOLIVE CO	CL	10/22/09	2,446 44	78 917	82 15	2,546 65	100 21 ST		
15			10/26/09	1,168 80	77 919	82 15	1,232 25	63 45 ST		
46				3,615 24	78 592		3,778 90	163 66	2 142	80 96
12	COMERICA INC	CMA	03/25/09	241 38	20 115	29 57	354 84	113 46 ST		
84			03/31/09	1,553 62	18 495	29 57	2,483 88	930 26 ST		
87			04/09/09	1,603 36	18 429	29 57	2,572 59	969 23 ST		
69			04/20/09	1,399 82	20 287	29 57	2,040 33	640 51 ST		
83			04/30/09	1,806 24	21 761	29 57	2,454 31	648 07 ST		

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RICE FOUNDATION *Account number 383-1597C-10 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated Income (annualized)
49	COMERICA INC	CMA	05/12/09	$ 1,054 10	$ 21 512	$ 29 57	$ 1,448 93	$ 394 83 ST		
384				7,658 52	19 944		11,354 88	3,696 36	676	76 80
427	CONOCOPHILLIPS	COP	07/02/09	17,587 06	41 187	51 07	21,806 89	4,219 83 ST		
332			07/15/09	13,783 64	41 517	51 07	16,955 24	3,171 60 ST		
80			07/16/09	3,366 38	42 079	51 07	4,085 60	719 22 ST		
235			07/29/09	10,053 79	42.782	51 07	12,001 45	1,947 66 ST		
230			08/10/09	10 173 36	44 232	51 07	11,746 10	1,572 74 ST		
1,304				54,964 23	42 15		66,595 28	11,631 05	3 916	2,608 00
39	CONSTELLATION ENERGY GROUP	CEG	12/10/09	1,292 39	33 138	35 17	1,371 63	79 24 ST		
60			12/18/09	2,104 24	35 07	35 17	2,110 20	5 96 ST		
99				3,396 63	34 309		3,481 83	85 20	2 729	95 04
262	CORNING INC	GLW	08/19/09	4,109 39	15 684	19 31	5,059 22	949 83 ST		
92			08/21/09	1,477 37	16 058	19 31	1,776 52	299 15 ST		
553			09/16/09	8,595 61	15 543	19 31	10,678 43	2,082.82 ST		
366			09/18/09	5,648 19	15 432	19 31	7,067 46	1,419 27 ST		
267			09/25/09	3,957 29	14 821	19 31	5,155 77	1,198 48 ST		
99			10/06/09	1,549 18	15 648	19 31	1,911 69	362 51 ST		
465			11/04/09	6,907 95	14 855	19 31	8,979 15	2,071 20 ST		
2,104				32,244 98	15 326		40,628 24	8,383 26	1 035	420 80
148	DELL INC	DELL	07/27/09	2,009 86	13 58	14 36	2,125 28	115 42 ST		
353			11/30/09	4,977 69	14 101	14 36	5,069 08	91 39 ST		
501				6,987 55	13 947		7,194 36	206 81		
18	DEVRY INC (DEL)	DV	03/13/08	788 35	43 797	56 73	1,021 14	232 79 LT		
36			05/07/09	1,497 33	41 592	56 73	2,042 28	544 95 ST		
54				2,285 68	42 327		3,063 42	777 74	352	10 80
65	DILLARDS INC CLASS A	DDS	12/03/09	1,088 70	16 749	18 45	1,199 25	110 55 ST	867	10 40
76	EDISON INTERNATIONAL	EIX	12/24/08	2,362 01	31 079	34 78	2,643 28	281 27 LT		
81			12/29/08	2,478 94	30 604	34 78	2,817 18	338 24 LT		
29			12/30/08	901 24	31 077	34 78	1,008 62	107 38 LT		
53			02/12/09	1,640 04	30 944	34 78	1,843 34	203 30 ST		
57			02/19/09	1,625 92	28 524	34 78	1,982 46	356 54 ST		
296				9,008 15	30 433		10,294 88	1,286 73	3 622	372 96
13	ENTERGY CORPORATION-NEW	ETR	05/06/09	935 42	71 955	81 84	1,063 92	128 50 ST		
19			08/10/09	1,471 28	77 435	81 84	1,554 96	83 68 ST		
21			08/21/09	1,692 39	80 59	81 84	1,718 64	26 25 ST		

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RICE FOUNDATION *Account number 383-1597C-10 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
21	ENTERGY CORPORATION-NEW	ETR	09/16/09	$ 1,671 46	$ 79 593	$ 81 84	$ 1,718 64	$ 47 18 ST		
74				5,770 55	77 98		6,056 16	285 61	3 665	222 00
111	EXELON CORP	EXC	12/29/09	5,486 24	49 425	48 87	5,424 57	(61 67) ST		
67			12/30/09	3,307 78	49 369	48 87	3,274 29	(33 49) ST		
178				8,794 02	49 405		8,698 86	(95 16)	4 297	373 80
31	EXPRESS SCRIPTS INC COMMON	ESRX	11/23/09	2,710 47	87 434	86 42	2,679 02	(31 45) ST		
242	EXXON MOBIL CORP	XOM	06/30/09	16,855 71	69 651	68 19	16,501 98	(353 73) ST		
14			07/01/09	989 98	70 713	68 19	954 66	(35 32) ST		
186			07/02/09	12,829 87	68 977	68 19	12,683 34	(146 53) ST		
26			08/17/09	1,734 88	66 726	68 19	1,772 94	38 06 ST		
27			09/23/09	1,889 08	69 966	68 19	1,841 13	(47 95) ST		
495				34,299 52	69 292		33,754 05	(545 47)	2 463	831 60
79	GILEAD SCIENCES INC	GILD	09/22/08	3,597 50	45 538	43 27	3,418 33	(179 17) LT		
33			09/23/08	1,492 03	45 213	43 27	1,427 91	(64 12) LT		
38			09/30/08	1,718 55	45 225	43 27	1,644 26	(74 29) LT		
55			10/08/08	2,261 07	41 11	43 27	2,379 85	118 78 LT		
62			12/17/08	2,983 95	48 128	43 27	2,682 74	(301 21) LT		
53			12/24/08	2,674 92	50 47	43 27	2,293 31	(381 61) LT		
37			12/30/08	1,898 44	51 309	43 27	1,600 99	(297 45) LT		
248			01/20/09	11,881 46	47 909	43 27	10,730 96	(1,150 50) ST		
261			01/28/09	13,151 63	50 389	43 27	11,293 47	(1,858 16) ST		
141			02/03/09	7,343 42	52 081	43 27	6,101 07	(1,242 35) ST		
1,007				49,002 97	48 662		43,572 89	(5,430 08)		
144	GOLDMAN SACHS GROUP INC	GS	07/02/09	20,889 19	145 063	168 84	24,312 96	3,423 77 ST		
147			07/10/09	20,912 60	142 262	168 84	24,819 48	3,906 88 ST		
49			07/16/09	7,633 18	155 779	168 84	8,273 16	639 98 ST		
31			08/20/09	4,984 99	160 806	168 84	5,234 04	249 05 ST		
41			12/29/09	6,735 34	164 276	168 84	6,922 44	187 10 ST		
412				61,155 30	148 435		69,562 08	8,406 78	829	576 80
7	GOOGLE INC CLASS A	GOOG	11/30/09	4,061 02	580 146	619 98	4,339 86	278 84 ST		
33	HEWLETT PACKARD CO	HPQ	08/10/09	1,439 37	43 617	51 51	1,699 83	260 46 ST		
29			08/19/09	1,248 39	43 047	51 51	1,493 79	245 40 ST		
34			08/21/09	1,496 68	44 019	51 51	1,751 34	254 66 ST		

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Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
105	HEWLETT PACKARD CO	HPQ	11/18/09	$ 5,300 51	$ 50 481	$ 51 51	$ 5,408 55	$ 108 04 ST		
201				9,484 95	47 189		10,353 51	868 56	621	64 32
13	ITT EDUCATIONAL SERVICES INC	ESI	10/21/08	997 12	76 701	95 96	1,247 48	250 36 LT		
12			10/27/08	916 51	76 375	95 96	1,151 52	235 01 LT		
12			10/28/08	914 98	76 248	95 96	1,151 52	236 54 LT		
14			10/30/08	1,157 50	82 678	95 96	1,343 44	185 94 LT		
14			11/13/08	1,131 51	80 821	95 96	1,343 44	211 93 LT		
12			11/17/08	981 12	81 759	95 96	1,151 52	170 40 LT		
20			12/02/08	1,813 77	90 688	95 96	1,919 20	105 43 LT		
19			12/05/08	1,669 72	87 879	95 96	1,823 24	153 52 LT		
13			12/11/08	1,111 96	85 535	95 96	1,247 48	135 52 LT		
26			12/29/08	2,369 37	91 129	95 96	2,494 96	125 59 LT		
20			12/31/08	1,905 27	95 263	95 96	1,919 20	13 93 ST		
16			01/08/09	1,517 49	94 843	95 96	1,535 36	17 87 ST		
18			01/20/09	2,046 99	113 721	95 96	1,727 28	(319 71) ST		
47			02/02/09	5,982 32	127 283	95 96	4,510 12	(1,472 20) ST		
256				24,515 63	95 764		24,565 76	50 13		
65	INGRAM MICRO INC CLASS A	IM	11/10/09	1,220 90	18 783	17 45	1,134 25	(86 65) ST		
65			12/03/09	1,117 44	17 191	17 45	1,134 25	16 81 ST		
133			12/16/09	2,306 39	17 341	17 45	2,320 85	14 46 ST		
70			12/18/09	1,209 19	17 274	17 45	1,221 50	12 31 ST		
333				5,853 92	17 579		5,810 85	(43 07)		
256	INTL BUSINESS MACHINES CORP	IBM	07/02/09	26,215 32	102 403	130 90	33,510 40	7,295 08 ST		
274			07/10/09	27,549 74	100 546	130 90	35,866 60	8,316 86 ST		
530				53,765 06	101 444		69,377 00	15,611 94	1 68	1,166 00
10	INTUITIVE SURGICAL INC	ISRG	11/18/09	2,788 23	278 822	303 43	3,034 30	246 07 ST		
8			11/23/09	2,249 99	281 248	303 43	2,427 44	177 45 ST		
12			12/03/09	3,507 22	292 268	303 43	3,641 16	133 94 ST		
30				8,545 44	284 848		9,102 90	557 46		
42	J CREW GROUP INC	JCG	11/17/09	1,800 15	42 86	44 74	1,879 08	78 93 ST		
27			11/19/09	1,103 38	40 865	44 74	1,207 98	104 60 ST		
69				2,903 53	42 08		3,087 06	183 53		
100	JPMORGAN CHASE & CO	JPM	10/09/09	4,547 02	45 47	41 67	4,167 00	(380 02) ST		
127			10/14/09	5,986 04	47 134	41 67	5,292 09	(693 95) ST		
24			10/16/09	1,114 48	46 436	41 67	1,000 08	(114 40) ST		

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RICE FOUNDATION
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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
790	JPMORGAN CHASE & CO	JPM	10/22/09	$ 35,808 09	$ 45 326	$ 41 67	$ 32,919 30	($ 2,888 79) ST		
45			10/23/09	2,048 78	45 528	41 67	1,875 15	(173 63) ST		
158			10/29/09	6,925 50	43 832	41 67	6,583 86	(341 64) ST		
60			11/17/09	2,567 25	42 787	41 67	2,500 20	(67 05) ST		
156			11/18/09	6,742 60	43 221	41 67	6,500 52	(242 08) ST		
163			12/18/09	6,652 29	40 811	41 67	6,792 21	139 92 ST		
1,623				72,392 05	44 604		67,630 41	(4,761 64)	479	324 60
104	JOHNSON CONTROLS INC	JCI	11/18/09	2,897 23	27 858	27 24	2,832 96	(64 27) ST	1 908	54 00
16	L 3 COMMUNICATIONS HLDGS INC	LLL	03/09/09	929 16	58 072	86 95	1,391 20	462 04 ST		
56			03/24/09	3,727 05	66 554	86 95	4,869 20	1,142 15 ST		
72				4,656 21	64 67		6,260 40	1,604 19	1 61	100 80
37	LEXMARK INTL GROUP INC CL A	LXK	05/13/09	609 97	16 485	25 98	961 26	351 29 ST		
69			06/17/09	1,049 55	15 21	25 98	1,792 62	743 07 ST		
106				1,659 52	15 656		2,753 88	1,094 36		
53	LINCOLN NATIONAL CORP -IND-	LNC	12/03/09	1,216 28	22 948	24 88	1,318 64	102 36 ST		
119			12/29/09	2,999 82	25 208	24 88	2,960 72	(39 10) ST		
45			12/30/09	1,120 93	24 909	24 88	1,119 60	(1 33) ST		
217				5,337 03	24 595		5,398 96	61 93	16	8 68
66	LINEAR TECHNOLOGY CORPORATION	LLTC	08/07/09	1,773 63	26 873	30 56	2,016 96	243 33 ST		
49			08/26/09	1,312 47	26 785	30 56	1,497 44	184 97 ST		
115				3,086 10	26 836		3,514 40	428 30	2 879	101 20
10	MASTERCARD INC	MA	08/04/09	1,994 33	199 432	255 98	2,559 80	565 47 ST		
38			11/18/09	8,657 41	227 826	255 98	9,727 24	1,069 83 ST		
29			11/20/09	6,676 69	230 23	255 98	7,423 42	746 73 ST		
22			11/23/09	5,174 52	235 205	255 98	5,631 56	457 04 ST		
25			12/10/09	6,100 54	244 021	255 98	6,399 50	298 96 ST		
8			12/22/09	2,049 38	256 172	255 98	2,047 84	(1 54) ST		
5			12/28/09	1,272 20	254 439	255 98	1,279 90	7 70 ST		
137				31,925 07	233 03		35,069 26	3,144 19	234	82 20
136	MEDCO HEALTH SOLUTIONS INC	MHS	08/07/09	7,121 95	52 367	63 91	8,691 76	1,569 81 ST		
105			08/10/09	5,499 80	52 379	63 91	6,710 55	1,210 75 ST		
228			08/28/09	12,683 75	55 63	63 91	14,571 48	1,887 73 ST		
53			09/09/09	2,883 43	54 404	63 91	3,387 23	503 80 ST		
114			09/16/09	6,352 35	55 722	63 91	7,285 74	933 39 ST		
636				34 541 28	54 31		40,646.76	6,105 48		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
87	METLIFE INC	MET	09/18/09	$ 3,479 25	$ 39 991	$ 35 35	$ 3,075 45	($ 403 80) ST		
99			09/25/09	3,669 83	37 069	35 35	3,499 65	(170 18) ST		
161			09/30/09	6,177 09	38 367	35 35	5,691 35	(485 74) ST		
169			10/02/09	6,033 74	35 702	35 35	5,974 15	(59 59) ST		
154			10/05/09	5,693 67	36 971	35 35	5,443 90	(249 77) ST		
126			10/09/09	4,822 66	38 275	35 35	4,454 10	(368 56) ST		
48			12/30/09	1,684 16	35 086	35 35	1,696 80	12 64 ST		
844				**31,560 40**	**37 394**		**29,835 40**	**(1,725 00)**	**2 093**	**624 56**
120	MICROSOFT CORP	MSFT	11/18/09	3,599 48	29 995	30 48	3,657 60	58.12 ST	1 706	62 40
62	MIRANT CORP NEW	MIR	07/15/09	983 17	15 857	15 27	946 74	(36 43) ST		
93			07/16/09	1,481 59	15 931	15 27	1,420 11	(61 48) ST		
101			07/30/09	1,826 57	18 084	15 27	1,542 27	(284 30) ST		
256				**4,291 33**	**16 763**		**3,909 12**	**(382 21)**		
87	MORGAN STANLEY	MS	10/23/09	3,065 85	35 239	29 60	2,575 20	(490 65) ST		
42			10/29/09	1,402 68	33 397	29 60	1,243 20	(159 48) ST		
59			11/10/09	1,983 11	33 612	29 60	1,746 40	(236 71) ST		
469			11/17/09	15,689 64	33 453	29 60	13,882 40	(1,807 24) ST		
39			11/18/09	1,292 62	33 144	29 60	1,154 40	(138 22) ST		
696				**23,433 90**	**33 669**		**20,601 60**	**(2,832 30)**	**675**	**139 20**
140	MURPHY OIL CORP	MUR	09/29/09	8,102 28	57 873	54 20	7,588 00	(514 28) ST		
20			12/03/09	1,126 99	56 349	54 20	1,084 00	(42 99) ST		
83			12/17/09	4,489 22	54 087	54 20	4,498 60	9 38 ST		
243				**13,718 49**	**56 455**		**13,170 60**	**(547 89)**	**1 845**	**243 00**
134	MYLAN INC	MYL	05/18/09	1,746 36	13 032	18 43	2,469 62	723 26 ST		
71	NETAPP INC	NTAP	11/23/09	2,189 20	30 833	34 36	2,439 56	250 36 ST		
56			12/01/09	1,768 57	31 581	34 36	1,924 16	155 59 ST		
48			12/03/09	1,523 66	31 742	34 36	1,649 28	125 62 ST		
175				**5,481 43**	**31 322**		**6,013 00**	**531 57**		
3	NETFLIX INC	NFLX	02/06/09	109 64	36 548	55 09	165 27	55 63 ST		
40			02/12/09	1,490 24	37 256	55 09	2,203 60	713 36 ST		
38			02/18/09	1,410 35	37 114	55 09	2,093 42	683 07 ST		
33			02/19/09	1,236 64	37 474	55 09	1,817 97	581 33 ST		
24			03/03/09	871 50	36 312	55 09	1,322 16	450 66 ST		
20			03/05/09	757 80	37 89	55 09	1,101 80	344 00 ST		
19			03/09/09	744 29	39 173	55 09	1,046.71	302 42 ST		

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PART II BALANCE SHEETS
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MDT - Acct 6

MorganStanley SmithBarney

Ref 00000994 00111926

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
24	NETFLIX INC	NFLX	03/25/09	$ 1,028 69	$ 42 861	$ 55 09	$ 1,322 16	$ 293 47 ST		
201				7,649 15	38 055		11,073 09	3,423 94		
38	NEWFIELD EXPLORATION COMPANY	NFX	06/24/09	1,171 93	30 84	48 23	1,832 74	660 81 ST		
38	NORTHROP GRUMMAN CORP	NOC	07/07/09	1,665 76	43 835	55 85	2,122 30	456 54 ST		
69			08/07/09	3,248 51	47 079	55 85	3,853 65	605 14 ST		
29			08/10/09	1,376 79	47 475	55 85	1,619 65	242 86 ST		
37			08/21/09	1,778 92	48 079	55 85	2,066 45	287 53 ST		
33			09/16/09	1,633 34	49 495	55 85	1,843 05	209 71 ST		
25			09/18/09	1,275 45	51 017	55 85	1,396 25	120 80 ST		
231				10,978 77	47 527		12,901 35	1,922 58	3 079	397 32
30	OMNICARE INC	OCR	02/03/09	849 40	28 313	24 18	725 40	(124 00) ST		
31			02/06/09	901 33	29 075	24 18	749 58	(151 75) ST		
33			02/12/09	925 99	28 06	24 18	797 94	(128 05) ST		
36			03/03/09	800 75	22 243	24 18	870 48	69 73 ST		
30			03/09/09	699 58	23 319	24 18	725 40	25 82 ST		
33			03/13/09	815 15	24 701	24 18	797 94	(17 21) ST		
38			03/25/09	915 26	24 085	24 18	918 84	3 58 ST		
45			05/12/09	1,277 82	28 396	24 18	1,088 10	(189 72) ST		
276				7,185 28	26 034		6,673 68	(511 60)	372	24 84
42	OWENS ILLINOIS INC NEW	OI	07/02/09	1,173 96	27 951	32 87	1,380 54	206 58 ST		
56			07/10/09	1,468 77	26 228	32 87	1,840 72	371 95 ST		
98				2,642 73	26 967		3,221 26	578 53		
61	PPL CORP	PPL	08/03/09	2,064 61	33 846	32 31	1,970 91	(93 70) ST		
36			11/03/09	1,054 56	29 293	32 31	1,163 16	108 60 ST		
38			11/30/09	1,157 67	30 465	32 31	1,227 78	70 11 ST		
135				4,276 84	31 68		4,361 85	85 01	4 271	186 30
39	PENN NATIONAL GAMING INC	PENN	09/18/09	1,084 50	27 807	27 19	1,060 41	(24 09) ST		
51			09/25/09	1,406 19	27 572	27 19	1,386 69	(19 50) ST		
90				2,490 69	27 674		2,447 10	(43 59)		
40	PEPSICO INC	PEP	11/12/09	2 477 53	61 938	60 80	2,432 00	(45 53) ST		
45			11/13/09	2 782 23	61 827	60 80	2,736 00	(46 23) ST		
64			11/18/09	3,977 53	62 148	60 80	3,891 20	(86 33) ST		
149				9 237 29	61 995		9,059 20	(178 09)	2 96	268 20
123	PHILIP MORRIS INTL INC	PM	07/02/09	5,348 19	43 481	48 19	5,927 37	579 18 ST		
1			07/06/09	43 53	43 527	48 19	48 19	4 66 ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
49	PHILIP MORRIS INTL INC	PM	07/16/09	$ 2,118 17	$ 43 228	$ 48 19	$ 2,361.31	$ 243 14 ST		
23			07/20/09	1,013 09	44 047	48 19	1,108 37	95 28 ST		
51			07/27/09	2,401 76	47 093	48 19	2,457 69	55 93 ST		
31			07/29/09	1,433 44	46 24	48 19	1,493 89	60 45 ST		
278				12,358 18	44 454		13,396 82	1,038 64	4 814	644 96
58	PLAINS EXPLORATION& PRODUCTION COMPANY	PXP	12/30/09	1,629 59	28 096	27 66	1,604 28	(25 31) ST		
19	PRICELINE COM INC (NEW)	PCLN	07/29/09	2,327 13	122 48	218 41	4,149 79	1,822 66 ST		
14			11/18/09	2,914 09	208 149	218 41	3,057 74	143 65 ST		
13			11/23/09	2,746 91	211 30	218 41	2,839 33	92.42 ST		
14			12/03/09	3,112 65	222 331	218 41	3,057 74	(54 91) ST		
60				11,100 78	185 013		13,104 60	2,003 82		
8	PROCTER & GAMBLE CO	PG	08/10/09	415 41	51 925	60 63	485 04	69 63 ST	2 902	14 08
22	PRUDENTIAL FINANCIAL INC	PRU	10/09/09	1,122 54	51 024	49 76	1,094 72	(27 82) ST		
81			10/23/09	4,045 09	49 939	49 76	4,030 56	(14 53) ST		
109			10/29/09	5,164 61	47 381	49 76	5,423 84	259 23 ST		
68			11/04/09	3,213 51	47 257	49 76	3,383 68	170 17 ST		
60			11/18/09	3,024 72	50 412	49 76	2,985 60	(39 12) ST		
34			11/23/09	1,672 47	49 19	49 76	1,691 84	19 37 ST		
374				18,242 94	48 778		18,610 24	367 30	1 406	261 80
93	PUBLIC SERVICE ENTERPRISE GRP	PEG	03/31/09	2,744 34	29 509	33 25	3,092 25	347 91 ST		
31			04/07/09	923 09	29 777	33 25	1,030 75	107 66 ST		
32			04/14/09	926 41	28 95	33 25	1,064 00	137 59 ST		
49			04/24/09	1,374.14	28 043	33 25	1,629 25	255 11 ST		
41			04/30/09	1,216 70	29 675	33 25	1,363 25	146 55 ST		
246				7,184 68	29 206		8,179 50	994 82	4 00	327 18
22	RAYTHEON COMPANY NEW	RTN	07/02/09	957 61	43 527	51 52	1,133.44	175 83 ST		
24			07/07/09	1,020 63	42 526	51 52	1,236 48	215 85 ST		
57			09/25/09	2,698 49	47 342	51 52	2,936 64	238 15 ST		
57			10/09/09	2,616 98	45 911	51 52	2,936 64	319 66 ST		
53			10/23/09	2,475 11	46 70	51 52	2,730 56	255 45 ST		
27			10/29/09	1,222 77	45 287	51 52	1,391.04	168 27 ST		
240				10,991 59	45 798		12,364 80	1,373 21	2 406	297.60

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
120	RED HAT INC	RHT	03/31/09	$ 2,167 56	$ 18 063	$ 30 90	$ 3,708 00	$ 1,540 44 ST		
119			04/09/09	2,246 61	18 879	30 90	3,677 10	1,430 49 ST		
239				4,414 17	18 469		7,385 10	2,970 93		
24	REINSURANCE GROUP OF AMERICA	RGA	09/25/09	1,066 08	44 42	47 65	1,143 60	77 52 ST		
25			10/02/09	1 076 98	43 079	47 65	1,191 25	114 27 ST		
49				2,143 06	43 736		2,334 85	191 79	755	17 64
24	SPX CORP	SPW	08/07/09	1,376 26	57 344	54 70	1,312 80	(63 46) ST		
19			08/28/09	1,088 34	57 281	54 70	1,039 30	(49 04) ST		
33			09/18/09	2,097 82	63 57	54 70	1,805 10	(292 72) ST		
76				4,562 42	60 032		4,157 20	(405 22)	1 828	76 00
36	SALESFORCE COM INC	CRM	11/17/09	2,346 62	65 183	73 77	2,655 72	309 10 ST		
34	SEARS HOLDINGS CORPORATION	SHLD	10/02/09	2,131 77	62 787	83 45	2,837 30	702 53 ST		
30			10/05/09	1,925 77	64 192	83 45	2,503 50	577 73 ST		
27			10/09/09	1,832 14	67 856	83 45	2,253 15	421 01 ST		
108			11/03/09	7,307 49	67 661	83 45	9,012 60	1,705 11 ST		
199				13,200 17	66 333		16,606 55	3,406 38		
21	SEMPRA ENERGY	SRE	09/02/08	1 218 33	58 015	55 98	1,175 58	(42 75) LT		
28			09/08/08	1,595 76	56 991	55 98	1,567 44	(28 32) LT		
31			09/11/08	1,780 71	57 442	55 98	1,735 38	(45 33) LT		
29			09/18/08	1,500 74	51 749	55 98	1,623 42	122 68 LT		
40			10/03/08	2,012 25	50 306	55 98	2,239 20	226 95 LT		
58			10/21/08	2,412 83	41 60	55 98	3,246 84	834 01 LT		
41			10/23/08	1,605 74	39 164	55 98	2,295 18	689 44 LT		
38			10/27/08	1,420 96	37 393	55 98	2,127 24	706 28 LT		
29			10/30/08	1,197 31	41 286	55 98	1,623 42	426 11 LT		
23			12/19/08	953 25	41 445	55 98	1,287 54	334 29 LT		
22			12/24/08	874 10	39 731	55 98	1,231 56	357 46 LT		
46			12/29/08	1,853 44	40 292	55 98	2,575 08	721 64 LT		
22			12/30/08	912 23	41 464	55 98	1,231 56	319 33 LT		
428				19,337 65	45 181		23,959 44	4,621 79	2 786	667 68
1	SOUTHERN UNION COMPANY	SUG	04/09/09	15 69	15 69	22 70	22 70	7 01 ST		
55			04/20/09	884 37	16 079	22 70	1,248 50	364 13 ST		
63			06/17/09	1,095 81	17 393	22 70	1,430 10	334 29 ST		
133			07/29/09	2,553 21	19 197	22 70	3,019 10	465 89 ST		

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LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
70	SOUTHERN UNION COMPANY	SUG	09/18/09	$ 1,455 31	$ 20 79	$ 22 70	$ 1,589 00	$ 133 69 ST		
322				6,004 39	18 647		7,309 40	1,305 01	2 643	193 20
4	STRAYER EDUCATION INC	STRA	11/17/08	871 48	217 869	212 52	850 08	(21 40) LT		
6			03/18/09	950 64	158 44	212 52	1,275 12	324 48 ST		
6			11/23/09	1,155 28	192.546	212 52	1,275 12	119 84 ST		
16				2,977.40	186 088		3,400 32	422 92	1 411	48 00
132	SYMANTEC CORP	SYMC	08/07/09	2,057 26	15 585	17 89	2,361 48	304 22 ST		
124			08/26/09	1,911 58	15 416	17 89	2,218 36	306 78 ST		
71			09/17/09	1,131 67	15 939	17 89	1,270 19	138 52 ST		
327				5,100 51	15 598		5,850 03	749 52		
27	SYNAPTICS INC	SYNA	10/28/08	740 75	27 435	30 65	827 55	86 80 LT		
32			10/29/08	921 68	28 802	30 65	980 80	59 12 LT		
33			10/30/08	982 50	29 772	30 65	1,011 45	28 95 LT		
92				2,644 93	28 749		2,819 80	174 87		
45	SYNNEX CORP	SNX	10/02/09	1,319 63	29 325	30 66	1,379 70	60 07 ST		
36			10/05/09	1,072 12	29 781	30 66	1,103 76	31 64 ST		
81				2,391.75	29 528		2,483 46	91 71		
19	3M COMPANY	MMM	11/12/09	1,473 06	77 529	82 67	1,570 73	97 67 ST		
33			11/16/09	2,574 77	78 023	82 67	2,728 11	153 34 ST		
20			11/18/09	1,552 41	77 62	82 67	1,653 40	100 99 ST		
72				5,600 24	77 781		5,952 24	352 00	2 467	146 88
8	TORCHMARK CORP	TMK	09/08/08	490 00	61 25	43 95	351 60	(138 40) LT		
42			10/21/08	1,564 38	37 247	43 95	1,845 90	281 52 LT		
38			10/23/08	1,366 70	35 965	43 95	1,670 10	303 40 LT		
34			10/24/08	1,227 18	36 093	43 95	1,494 30	267 12 LT		
27			10/27/08	1,000 47	37 054	43 95	1,186 65	186 18 LT		
24			10/28/08	867 84	36 159	43 95	1,054 80	186 96 LT		
25			10/30/08	985 69	39 427	43 95	1,098.75	113 06 LT		
23			11/17/08	855 03	37.175	43 95	1,010 85	155 82 LT		
38			02/12/09	1,074 27	28 27	43 95	1,670 10	595 83 ST		
259				9,431 56	36 415		11,383 05	1,951 49	1 365	155 40
38	TRAVELERS COMPANIES INC	TRV	11/28/07	1,960 82	51 60	49 86	1,894 68	(66 14) LT		
35			12/06/07	1,893 39	54 096	49 86	1,745 10	(148 29) LT		
32			02/26/08	1,584 62	49 519	49 86	1,595 52	10 90 LT		
92			04/09/08	4,534 51	49 288	49 86	4,587 12	52.61 LT		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
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Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Average % yield	Anticipated income (annualized)
113	TRAVELERS COMPANIES INC	TRV	01/28/09	$ 4,609 65	$ 40 793	$ 49 86	$ 5,634 18	$ 1,024 53 ST		
71			10/29/09	3,644 50	51 331	49 86	3,540 06	(104 44) ST		
32			11/04/09	1,633 34	51 041	49 86	1,595 52	(37 82) ST		
49			11/10/09	2,641 78	53 913	49 86	2,443 14	(198 64) ST		
21			11/18/09	1,116 23	53 154	49 86	1,047 06	(69 17) ST		
22			11/20/09	1,154 96	52 498	49 86	1,096 92	(58 04) ST		
27			12/03/09	1,423 82	52 734	49 86	1,346 22	(77 60) ST		
39			12/16/09	1,977 17	50 696	49 86	1,944 54	(32 63) ST		
41			12/29/09	2,046 79	49 921	49 86	2,044 26	(2 53) ST		
612				30,221 58	49 382		30,514 32	292 74	2 647	807 84
66	ULTRA PETROLEUM CORP-CAD	UPL	12/18/09	3,348 82	50 739	49 86	3,290 76	(58 06) ST		
71			12/30/09	3,597 24	50 665	49 86	3,540 06	(57 18) ST		
137				6,946 06	50 701		6,830 82	(115 24)		
83	UNITEDHEALTH GROUP INC	UNH	07/07/09	2,131 46	25 68	30 48	2,529 84	398 38 ST		
177			07/28/09	5,133 69	29 003	30 48	5,394 96	261 27 ST		
219			07/29/09	6,353 87	29 013	30 48	6,675 12	321 25 ST		
41			09/09/09	1,146 91	27 973	30 48	1,249 68	102 77 ST		
111			09/16/09	3,195 78	28 79	30 48	3,383 28	187 50 ST		
631				17,961 71	28 465		19,232 88	1,271 17	098	18 93
26	UNIVERSAL CORP VA	UVV	11/10/09	1,168 92	44 958	45 61	1,185 86	16 94 ST		
25			11/18/09	1,141 43	45 657	45 61	1,140 25	(1 18) ST		
25			11/23/09	1,149 87	45 994	45 61	1,140 25	(9 62) ST		
76				3,460 22	45 529		3,466 36	6 14	4 121	142 88
28	UNUM GROUP	UNM	10/30/08	422 76	15 098	19 52	546 56	123 80 LT	1 69	9 24
50	WELLCARE HLTH PLANS INC	WCG	08/21/09	1,276 01	25 52	36 76	1,838 00	561 99 ST		
85	WELLPOINT INC	WLP	06/11/09	3,879 79	45 644	58 29	4,954 65	1,074 86 ST		
89			07/02/09	4,446 49	49 96	58 29	5,187 81	741 32 ST		
24			07/16/09	1,187 67	49 486	58 29	1,398 96	211 29 ST		
24			08/07/09	1,231 18	51 299	58 29	1,398 96	167 78 ST		
44			08/19/09	2,328 53	52 921	58 29	2,564 76	236 23 ST		
23			08/21/09	1,268 60	55 156	58 29	1,340 67	72 07 ST		
26			08/26/09	1,440 28	55 395	58 29	1,515 54	75 26 ST		
315				15,782 54	50 103		18,361 35	2,578 81		
18	WHIRLPOOL CORP	WHR	12/10/09	1,363 43	75 746	80 66	1,451 88	88 45 ST		
18			12/15/09	1,387 98	77 11	80 66	1,451 88	63 90 ST		

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY
INVESTMENTS
LINE 10 OTHER INVESTMENTS

Common stocks & options *continued*

Quantity	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Average % yield	Anticipated income (annualized)
31	WHIRLPOOL CORP	WHR	12/29/09	$ 2,562 09	$ 82 648	$ 80 66	$ 2,500 46	($ 61 63)	ST		
67				5,313 50	79 306		5,404 22	90 72		2 132	115 24
Total common stocks and options				$ 1,252,457 31			$ 1,363,827 48	$ 104,151 45	ST	1 43	
								$ 7,318 72	LT		$ 10,520 22
Total portfolio value				$ 1,283,766 24			$ 1,395,236 41	$ 104,151 45	ST	1 40	$ 10,542 13
								$ 7,318 72	LT		

Unsettled purchases/sales

This section only displays transactions that have not settled during this statement period The "Portfolio details" section includes any securities purchased and omits any securities sold or sold short as of the trade-date

Trade Date	Settlement Date	Activity	Description	Quantity	Price	Amount
12/30/09	01/05/10	Bought	ARCHER-DANIELS-MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction	162	$ 31 6313	$ -5,124 27
12/30/09	01/05/10	Sold	BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	-121	29 191	3,532 01
12/30/09	01/05/10	Sold	COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	-75	30 0329	2,252 41
12/30/09	01/05/10	Bought	EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	67	49 3699	-3,307 78
12/30/09	01/05/10	Sold	FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	-17	47 4101	805 94
12/30/09	01/05/10	Bought	LINCOLN NATIONAL CORP -IND- MorganStanley SmithBarney LLC acted as your agent in this transaction	45	24 9095	-1,120 93

SCHEDULE G

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1629C-11 JD1*

Mutual funds

An investment in a money market fund is neither insured nor guaranteed by the FDIC or any other government agency Although money market funds seek to preserve the value of your investment at $1.00 per share, there can be no assurance that will occur and it is possible to lose money should the fund value per share fall Moreover, in some circumstances money market funds may be forced to cease operations when the value of a fund drops below $1 00 per share. In that event, the fund's holdings would be liquidated and distributed to the fund's shareholders This liquidation process could take up to one month or more During that time, these funds would not be available to you to support purchases, withdrawals, and if applicable, check writing or ATM debits from your account

Certain mutual funds may not be transferable to other broker-dealers For further information, please refer to the fund's Prospectus or call your Financial Advisor

Consulting Group Research (CGR) rating codes (FL, AL or NL) may be shown for certain mutual funds Please refer to the "Guide to Investment Ratings" at the end of this statement for a description of these rating codes All research ratings represent the "opinions" of Consulting Group Research and are not representations or guarantees of performance

Yield is the current distribution annualized, divided by the fund s net asset value at the end of the statement period Distributions may consist of income, capital gains or the return of capital Distributions and current dividend for funds are based upon information provided by an outside vendor and are not verified by us ***"Tax-Based Cost vs. Current Value"*** *is being provided for information purposes only* ***"Cash Distributions (since inception)"*** *when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account* ***"Total Purchases vs Current Value"*** *is provided to assist you in comparing your* ***"Total purchases"*** *excluding reinvested distributions, with the current value of the fund's shares in your account* ***"Fund Value Increase/Decrease"*** *reflects the difference between your total purchases and the current value of the fund s shares, plus cash distributions since inception*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
39,707 1632	AIM INTERNATIONAL GROWTH FUND CL Y	AIIYX	04/25/07	$ 1,300,000 00	$ 32 739	$ 24 79	$ 984,340 58	($ 315,659 42)	LT			
39,707 1632	**Total Purchases**			**1,300,000 00**	**32 74**	**24 79**	**984,340 58**	**(315,659 42)**				
3,465 0758	Reinvestments to date			96,746 08	27 92	24 79	85,899 23	(10,846 85)	LT			
604.658	Reinvestments to date			14,808 07	24 489	24 79	14,989 47	181 40	ST			
43,776 897	**Tax-based Cost vs Current Value**			**1,411,554 15**	**32 244**		**1,085,229 28**	**(326,324 87)**			**1 383**	**15,015 47**
	Total Purchases vs Current Value			**1,300,000 00**			**1,085,229 28**			**(214,770 72)**		
	Fund Value Increase/Decrease									**(214,770 72)**		
57,721 693	BLACKROCK GLOBAL ALLOCATION FD INC CL INSTITUTIONAL Rating CG RESEARCH AL	MALOX	04/24/07	1,107,403 00	19 185	17 96	1,036,681 61	(70,721 39)	LT			
98,237 2681			05/10/07	1,892,597 01	19 265	17 96	1,764,341 34	(128,255 67)	LT			
155,958 9611	**Total Purchases**			**3,000,000 01**	**19 24**	**17 96**	**2,801,022 95**	**(198,977 06)**				
19,676 2989	Reinvestments to date			346,974 84	17 634	17.96	353,386 33	6,411 49	LT			
3,544 488	Reinvestments to date			61,750 40	17 421	17 96	63,659 00	1,908 60	ST			
179,179 748	**Tax-based Cost vs Current Value**			**3,408,725 25**	**19 024**		**3,218,068 28**	**(190,656 97)**			**1 948**	**62,712 90**
	Total Purchases vs Current Value			**3,000,000 01**			**3,218,068 28**			**218,068.27**		
	Fund Value Increase/Decrease									**218,068 27**		
24,905 4512	EVERGREEN PRECIOUS METALS FUND CL I	EKWYX	02/03/06	1,284,999 99	51 595	71 94	1,791,698 16	506,698 17*	LT			
1,113 5532			12/05/06	66,139 20	59 394	71 94	80,109 02	13,969 82*	LT			
79 8961			12/05/06	4,742 04	59 404	71 94	5,742 69	1,000 65*	LT			

* [illegible]

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCANNED

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	EVERGREEN PRECIOUS METALS FUND CL I	EKWYX									
26,098 8305	**Total Purchases**			$ 1,355,881 23	$ 51 95	$ 71 94	$ 1,877,549 87	$ 521,668 64			
3,510 8335	*Reinvestments to date*			186,092 13 r	53 005	71 94	252,569 36	66,477 23 LT			
29,609 664	**Tax-based Cost vs Current Value**			1,541,973 36	52 077		2,130,119 23	588,145 87			
	Total Purchases vs Current Value			1,355,881 23			2,130,119 23		774,238 00		
	Fund Value Increase/Decrease								774,238 00		
39,843 7121	FRANKLIN GOLD & PRECIOUS	FGADX	06/01/06	1,249,999 98	31 372	41 20	1,641,560 94	391,560 96* LT			
1,234 8963	METALS		12/05/06	41,190 34	33 355	41 20	50,877 73	9,687 39* LT			
41,078 6084	**Total Purchases**			1,291,190 32	31 43	41 20	1,692,438 67	401,248 35			
5,678 2040	*Reinvestments to date*			203,464 32 r	35 832	41 20	233,942 03	30,477 71 LT			
2,998 182	*Reinvestments to date*			134,678 33 r	44 919	41 20	123,525 10	(11,153 23) ST			
49,754 995	**Tax-based Cost vs Current Value**			1,629,332 97	32 747		2,049,905 80	420,572 83		5 589	114,585 75
	Total Purchases vs Current Value			1,291,190 32			2,049,905 80		758,715 48		
	Fund Value Increase/Decrease								758,715 48		
25,360 905	JANUS OVERSEAS FUND CL J Rating CG RESEARCH FL	JAOSX	04/25/07	1,300,000 00	51 26	42 50	1,077,838 46	(222,161 54) LT			
25,360 905	**Total Purchases**			1,300,000 00	51 26	42 50	1,077,838 46	(222,161 54)			
4,053 737	*Reinvestments to date*			168,650 22	41 603	42 50	172,283 82	3,633 60 LT			
295 646	*Reinvestments to date*			10,718 75	36 255	42 50	12,564 96	1,846 21 ST			
29,710 288	**Tax-based Cost vs Current Value**			1,479,368 97	49 793		1,262,687 24	(216,681 73)		345	4,367 41
	Total Purchases vs Current Value			1,300,000 00			1,262,687 24		(37,312 76)		
	Fund Value Increase/Decrease								(37,312 76)		
422,237 861	LOOMIS SAYLES STRATEGIC INCOME	NEFZX	02/07/06	6,000,000 00	14 21	13 80	5,826,882 48	(173,117 52)*LT			
1,887 238	FUND CL A		03/30/07	28,327 44	14 99	13 80	26,043 88	(2,283 56)*LT			
424,125 099	**Total Purchases**			6,028,327 44	14 21	13 80	5,852,926 36	(175,401 08)			
85,417 858	*Reinvestments to date*			1,163,113 13 r	13 616	13 80	1,178,766 44	15,653 31 LT			
33,603 353	*Reinvestments to date*			404,354 87 r	12 033	13 80	463,726 27	59,371 40 ST			
543,146 31	**Tax-based Cost vs Current Value**			7,595,795 44	13 985		7,495,419 07	(100,376 37)		5 572	417,679 50
	Total Purchases vs. Current Value			6,028,327 44			7,495,419 07		1,467,091 63		
	Fund Value Increase/Decrease								1,467,091 63		
1,768,461 569	NATIXIS FDS TR I INCOME DIVERSIFIED PORT CL A	IIDPX	04/23/07	20,213,515 75	11 43	9 22	16,305,215 67	(3,908,300 08) LT			
1,768,461 569	**Total Purchases**			20,213,515 75	11 43	9 22	16,305,215 67	(3,908,300 08)			
209,493 286	*Reinvestments to date*			2,035,661 75	9 717	9 22	1,931,528 10	(104,133 65) LT			

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

RICE FOUNDATION *Account number 383-1629C-11 JD1*

Mutual funds *continued*

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	NATIXIS FDS TR I INCOME DIVERSIFIED PORT CL A	IIDPX									
78,767 992	Reinvestments to date			$ 593,257 90	$ 7 531	$ 9 22	$ 726,240 89	$ 132,982 99 ST			
2,056,722 847	**Tax-based Cost vs Current Value**			22,842,435 40	11 106		18,962,984 66	(3,879,450 74)		3 177	602,619 77
	Total Purchases vs Current Value			20,213,515 75			18,962,984 66		(1,250,531 09)		
	Fund Value Increase/Decrease								(1,250,531 09)		
27,017 3046	NUVEEN TRADEWINDS VALUE OPPTY FUND CLASS I	NVORX	07/07/08	772,791 08	28 603	29 76	804,034 98	31,243 90 LT			
27,017 3046	**Total Purchases**			772,791 08	28 60	29 76	804,034 98	31,243 90			
1,637 1531	Reinvestments to date			30,121 52	18 398	29 76	48,721 68	18,600 16 LT			
1,710 4543	Reinvestments to date			31,539 84	18 439	29 76	50,903 12	19,363 28 ST			
30,364 912	**Tax-based Cost vs Current Value**			834,452 44	27 481		903,659 78	69,207 34		383	3,461 59
	Total Purchases vs Current Value			772,791 08			903,659 78		130,868 70		
	Fund Value Increase/Decrease								130,868 70		
48,548 3	OPPENHEIMER GOLD AND SPECIAL MINERALS FUND CLASS A Rating CG RESEARCH AL	OPGSX	04/24/07	1,555,973 00	32 05	35 93	1,744,340 42	188,367 42 LT			
48,548 3	**Total Purchases**			1,555,973 00	32 05	35 93	1,744,340 42	188,367 42			
6,136 863	Reinvestments to date			156,907 33	25 568	35 93	220,497 49	63,590 16 LT			
1,016 468	Reinvestments to date			36,826 64	36 23	35 93	36,521 70	(304 94) ST			
55,701 631	**Tax-based Cost vs Current Value**			1,749,706 97	31 412		2,001,359 61	251,652 64		1 873	37,487 19
	Total Purchases vs Current Value			1,555,973 00			2,001,359 61		445,386 61		
	Fund Value Increase/Decrease								445,386 61		
71,622.3209	VIRTUS FOREIGN OPPORTUNTIES FUND CLASS I Rating.CG RESEARCH FL	JVXIX	06/16/08	1,869,142 29	26 097	20.11	1,440,324 87	(428,817 42) LT			
71,622.3209	**Total Purchases**			1,869,142 29	26 10	20 11	1,440,324 87	(428,817 42)			
827 6351	Reinvestments to date			13,416 54	16 21	20 11	16,643 74	3,227 20 LT			
1,076 316	Reinvestments to date			21,300 29	19 789	20 11	21,644 71	344 42 ST			
73,526 272	**Tax-based Cost vs Current Value**			1,903,859 12	25 894		1,478,613 32	(425,245 80)		1 461	21,616 72

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

SCHEDULE H

Advisor a/c - Shaffer

MorganStanley SmithBarney

Reserved
Client Statement
December 1 - December 31, 2009

Ref 00000994 00111964

RICE FOUNDATION

Account number 383-1629C-11 JD1

Mutual funds continued

Number of shares	Description	Symbol	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	VIRTUS FOREIGN OPPORTUNTIES FUND CLASS I	JVXIX							($ 390,528.97)		
	Total Purchases vs. Current Value			$ 1,868,142.29			$ 1,478,613.32		(390,528.97)		
	Fund Value Increase/Decrease							$ 204,540.13 ST		3.15	$ 1,279,846.30
	Total mutual funds (Tax based)			$ 44,387,204.07			$ 40,686,046.27	($ 4,013,697.93) LT	- $1,901,226.15		
	Total Fund Value Increase/Decrease							$ 204,540.13 ST		3.10	$ 1,279,850.43
	Total portfolio value			$ 44,974,542.61			$ 41,165,384.81	($ 4,013,697.93) LT			

*Based on information supplied by client or other financial institution, not verified by us

r One or more reinvestments have been supplied by client or by your Financial Advisor

TRANSACTION DETAILS All transactions appearing are based on trade date

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/04/09	Reinvest	FRANKLIN GOLD & PRECIOUS METALS WITHDRAWAL, PENDING REINVEST			$ -107,082.45
12/04/09	Reinvest	FRANKLIN GOLD & PRECIOUS METALS WITHDRAWAL, PENDING REINVEST			-18,520.37
12/04/09	Reinvest	FRANKLIN GOLD & PRECIOUS METALS WITHDRAWAL, PENDING REINVEST			-9,075.50
12/04/09	Reinvest	FRANKLIN GOLD & PRECIOUS METALS REINVESTMENT SHS FOR 12/03/09 REINVESTED AMOUNT $107,082.45	2,383.848	44.92	0.00
12/04/09	Reinvest	FRANKLIN GOLD & PRECIOUS METALS REINVESTMENT SHS FOR 12/03/09 REINVESTED AMOUNT $18,520.37	412.297	44.92	0.00

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

PORTFOLIO DETAILS

Your holdings are valued using the most current prices available to Citigroup Global Markets Inc (CGMI). In most cases, these values are as of 12/31/09, but in some cases CGMI's sources are unable to provide timely information To see the date of the most recent price update, please view your account online at www.smithbarney.com

Securities purchased or sold are included or excluded in this section as of the trade-date This section may include securities that have not settled as of this statement closing date Please see the "Unsettled Purchases/Sales" section for more information Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold

Please Note: unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Alternative Investments

The value and prices assigned to the investments are estimates based on available information typically received from the funds or other sources These values and prices may not be realized upon the sale or ultimate disposition of the investment and may not reflect more recent market volatility Citigroup Global Markets Inc (CGMI) does not maintain custody of these investments They are listed below solely as a service to the customer and are not covered by SIPC If your investment is held in "nominee name" at your request, CGMI is the owner of record on the books and records of the issuer The issuer will only recognize CGMI as the owner of the investment for all purposes including, but not limited to, communications, capital calls and distributions. CGMI is not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding

Cash distributions from these investments are categorized as "other income" herein and will not be reported as taxable income on your year-end Form 1099 You will receive either a Schedule K-1 or such other documentation from the fund each year for use in preparing your annual tax return Cash distributions that are classified as income may in fact be a return of principal as a result of a sale of assets, particularly private equity and real estate funds For more information regarding the source of a cash distribution, please consult the fund's investor reports, investor services or year-end tax report

Due to delays in the availability of the quarterly estimated values for private equity and real estate funds and for certain hedge and fixed income alternatives that report off cycle quarterly estimated values, this report could contain valuation information, specifically valuation dates, that are inconsistent with other holdings and reflect your contributions and distributions subsequent to the valuation dates, if any, as opposed to the actual valuation of underlying assets, which in most cases is going to be prior to the "as of" date on your statement

The service on those investments designated "(RPTG ONLY)" is limited to performance reporting only No investment advice or research is provided If you have any questions, please contact your Financial Advisor

Hedge Funds and Funds of Hedge Funds	Commitment/ Aggregate Investment	No of Units	NAV	As of	Estimated value	Estimated value + Distributions + Redemptions
IVORY FLAGSHIP FUND, LTD	$ 1,200,000			11/30/09	$ 1,301,710	$ 1,301,710
HF KINETICS LTD	1,400,000			11/30/09	732,580	732,580
HF PAULSON ADV PL LTD CL C	1,000,000			11/30/09	1,084,213	1,084,213
Total Alternative Investments					$ 3,118,503 00	

SCHEDULE

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PART II BALANCE SHEETS
LINE 2 -- TEMPORARY INVESTMENTS
LINE 10 OTHER INVESTMENTS

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PART II--BALANCE SHEETS
2009
LINE 11-- INVESTMENTS--LAND, BUILDINGS AND EQUIPMENT

	COST BASIS	ACCUM. DEPREC.	BOOK VALUE AT COST	FAIR MARKET VALUE
RICE LAND	630,922	-	630,922	630,922
DE KALB LAND:				
8236 FAIRVIEW	55,000	-	55,000	55,000
8846 FAIRVIEW	67,000	-	67,000	67,000
8468 FAIRVIEW	11,452	-	11,452	11,452
FARM LAND	1,833,099	-	1,833,099	3,300,000
ANNIE GLIDDEN ROAD	4,161,686	-	4,161,686	4,161,686
WOODFORD FARM LAND	360,841	-	360,841	360,841
TOTALS	7,120,000	-	7,120,000	8,586,901

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PART II--BALANCE SHEETS

LINE 13--OTHER INVESTMENTS

	COST	FAIR MARKET VALUE
OIL & GAS PROPERTIES	5,332,541	1,063,308

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PART II--BALANCE SHEETS

LINE 15--OTHER ASSETS

	COST	FAIR MARKET VALUE
DEPOSIT OF ESTIMATED FEDERAL EXCISE TAX--YEAR 2009	120,000	120,000
DEPOSIT OF ESTIMATED STATE INCOME TAX--YEAR 2009	6,716	6,716
2006 LOUISIANA INCOME TAX REFUND RECEIVABLE	836	836
2008 ILLINOIS INCOME TAX REFUND RECEIVABLE	158	158
PARTNERSHIP INTEREST --BIRK, KENN, BACH	1,729,524	2,065,150
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VI	338,028	338,028
PARTNERSHIP INTEREST -- WESTMINSTER FUND PARTNERSHIP VII	355,391	355,391
TOTALS	2,550,653	2,886,279

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE OF NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10
FOR THE YEAR ENDED DECEMBER 31, 2009

	SCHEDULE 1
SCHEDULE 3--SECURITIES	(2,097,771)
CAPITAL GAIN DISTRIBUTIONS	27,596
ADJUSTMENT WELLS ACCOUNT CAPITAL GAIN	457
REALIZED GAIN--WESTMINSTER VI PARTNERSHIP	6,809
NET CAPITAL (LOSS)	(2,062,909)

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10
FOR THE YEAR ENDED DECEMBER 31, 2009

SCHEDULE 2

NO TRANSACTIONS

RICE FOUNDATION
FORM 990-PF 2009
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 3

RICE FOUNDATION

SUMMARY SCHEDULE OF NET GAIN OR (LOSS) FROM SALE OF ASSETS NOT ON LINE 10

FOR THE YEAR ENDED DECEMBER 31, 2009

SCHEDULE 4 -- AGENCY ACCOUNT	-
SCHEDULE 5-- CHASE BANK	-
SCHEDULE 6-- PENN CAPITAL	(493,003)
SCHEDULE 7 -- NFJ	(876,552)
SCHEDULE 8 -- WELLS	(258,058)
SCHEDULE 9 -- FAYEZ	(79,067)
SCHEDULE 10 -- MDT	(36,038)
SCHEDULE 11 -- ADVISOR ACCOUNT	(355,053)
SCHEDULE 12 -- HEDGED FUNDS	-
TOTAL	(2,097,771)

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 4

RICE FOUNDATION
SCHEDULE OF GAINS AND LOSSES ON SALE OF ASSETS NOT ON LINE 10
AGENCY ACCOUNT
FOR THE YEAR ENDED DECEMBER 31, 2009

		DATE PURCHASED	DATE SOLD	COST	SELLING PRICE	GAIN (LOSS)
4	PIONEER FL RT TR W7	3/3/07	3/8/09	50,000.00	50,000.00	0.00
8	DNP SELECT INC FD 4.570%	3/28/06	5/28/09	200,000 00	200,000.00	0 00
7	EATON VANCE PFD	3/31/05	10/21/09	175,000.00	175,000.00	0.00
	TOTALS			425,000 00	425,000.00	0.00

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 5

RICE FOUNDATION

SCHEDULE OF GAINS AND LOSSES ON SALE OF SECURITIES

CHASE BANK

NO TRANSACTIONS

Penn - Pschl 6

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
01/26/09	CANADIAN PACIFIC RAILWAY	FOREIGN TAX W/HELD $ 8 68 CASH DIV ON 285 0000 SHS TAX HELD BY FGN GOVTS 8 68 X/D 12/22/08	$ 57 85		$ 49 17
01/26/09	QUEST DIAGNOSTICS INC	CASH DIV ON 378 0000 SHS X/D 01/07/09	37 80		37 80
Total qualified dividends credited to account					$ 975 60
FRGN tax withheld					8 68
Total qualified dividends earned			$ 984.28	$ 0.00	$ 984.28

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/15/09	HOST HOTELS & RESORTS INC	CASH DIV ON 740 0000 SHS X/D 12/29/08 FOR TAX PURPOSES, THIS TRANS- ACTION IS REPORTABLE IN 2008	$ 37 00		$ 37 00
Total other dividends credited to account					$ 37 00
Total other dividends earned 2008			$ 37 00	$ 0 00	$ 37 00

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
01/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 01/02/09-02/01/09 31 DAYS AVERAGE YIELD 1 32 %	$ 27 83		$ 27 83
Total earnings from money fund			$ 27 83	$ 0 00	$ 27.83

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLEGHENY TECHNOLOGIES INC	01/22/08	01/05/09 Sold	72	$ 67 767	$ 28 455	$ 4,879 27	$ 2,048 77	($ 2 830 50)	ST

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

STATEMENT 6

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RICE FOUNDATION — Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLEGHENY TECHNOLOGIES INC	01/22/08	01/07/09 Sold	8	$ 67 767	$ 29 605	$ 542 14	$ 236 84	($ 305 30)	ST
	03/06/08	01/07/09 Sold	58	74 792	29 605	4,337 99	1,717 09	(2,620 90)	ST
ALLEGHENY TECHNOLOGIES INC	03/06/08	01/27/09 Sold	30	74 792	23 271	2 243 79	698 13	(1 545 66)	ST
	04/23/08	01/27/09 Sold	26	75 509	23 271	1,963 25	605 04	(1,358 21)	ST
	Total		194			$ 13,986 44	$ 5,305 87	($ 8,680 57)	
CROWN CASTLE INTERNATIONAL	01/29/07	01/22/09 Sold	90	$ 32 20	$ 19 153	$ 2,898 00	$ 1,723 80	($ 1,174 20)	LT
ELECTRONIC ARTS	02/26/08	01/20/09 Sold	142	46 908	16 908	6,660 96	2,400 95	(4,260 01)	ST
	03/20/08	01/20/09 Sold	8	48 041	16 908	384 33	135 26	(249 07)	ST
	03/24/08	01/20/09 Sold	53	49 316	16 908	2,613 80	896 13	(1,717 67)	ST
	05/05/08	01/20/09 Sold	64	52 116	16 908	3,335 43	1,082 12	(2,253 31)	ST
	08/07/08	01/20/09 Sold	74	46 222	16 908	3,420 45	1,251 20	(2,169 25)	ST
	Total		341			$ 16,414 97	$ 5,765 66	($ 10,649 31)	
ENERGIZER HLDGS INC	08/07/08	01/09/09 Sold	33	$ 75 028	$ 55 048	$ 2,475 94	$ 1,816 59	($ 659 35)	ST
FIFTH THIRD BANCORP	08/15/08	01/13/09 Sold	151	15 062	6 639	2,274 41	1,002 54	(1,271 87)	ST
	09/08/08	01/13/09 Sold	158	17 429	6 639	2,753 92	1,049 01	(1,704 91)	ST
FIFTH THIRD BANCORP	09/08/08	01/28/09 Sold	7	17 429	3 389	122 01	23 73	(98 28)	ST
	09/29/08	01/28/09 Sold	269	10 34	3 389	2,781 57	911 73	(1,869 84)	ST
	Total		686			$ 7,931 91	$ 2,987 01	($ 4,944 90)	
FREEPORT MCMORAN COPPER & GOLD CL B	10/06/08	01/26/09 Sold	71	$ 40 311	$ 25 556	$ 2,862 11	$ 1,814 51	($ 1,047 60)	ST

SCHEDULE 6

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

Penn - Rebel 6

Ref 00005349 00016698

WORKING WEALTH. AT SMITH BARNEY

Smith Barney Reserved Client Statement

January 1 - January 31, 2009

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FREEPORT MCMORAN COPPER & GOLD CL B	10/06/08	01/28/09 Sold	30	$ 40 311	$ 27 646	$ 1,330 28	$ 912 33	($ 417 95)	ST
	10/07/08	01/28/09 Sold	14	45 888	27 646	642 43	387 05	(255 38)	ST
	10/24/08	01/28/09 Sold	84	24 144	27 646	2,028 11	2,322 29	294 18	ST
	Total		202			$ 6,862 93	$ 5,436 18	($ 1,426 75)	
HOST HOTELS & RESORTS INC	03/29/07	01/28/09 Sold	389	$ 26 144	$ 6 155	$ 10,170 33	$ 2,394 48	($ 7,775 85)	LT
	07/05/07	01/28/09 Sold	112	26 183	6 155	2,932 49	689 41	(2,243 08)	LT
	Total		501			$ 13,102 82	$ 3,083 89	($ 10,018 93)	
LEAP WIRELESS INTERNATIONAL INC NEW	11/09/07	01/02/09 Sold	53	$ 40 56	$ 28 004	$ 2,149 72	$ 1,484 22	($ 665 50)	LT
	11/30/07	01/02/09 Sold	9	34 647	28 004	311 82	252 04	(59 78)	LT
	Total		62			$ 2,461 54	$ 1,736 26	($ 725 28)	
MGM MIRAGE	07/03/08	01/07/09 Sold	74	$ 30 457	$ 14 529	$ 2,253 86	$ 1 075 15	($ 1 178 71)	ST
	07/23/08	01/07/09 Sold	95	33 985	14 529	3,228 59	1,380 26	(1,848 33)	ST
	10/03/08	01/07/09 Sold	100	21 854	14 529	2,185 41	1,452 91	(732 50)	ST
	Total		269			$ 7,667 86	$ 3,908 32	($ 3,759 54)	
MARRIOTT INTL INC NEW CL A	01/07/09	01/30/09 Sold	181	$ 20 515	$ 16 453	$ 3,713 38	$ 2,978 01	($ 735 37)	ST
QUEST DIAGNOSTICS INC	03/29/07	01/13/09 Sold	34	49 77	48 092	1,692 18	1,635 15	(57 03)	LT
QUEST DIAGNOSTICS INC	03/29/07	01/21/09 Sold	34	49 77	47 368	1,692 18	1,610 51	(81 67)	LT
QUEST DIAGNOSTICS INC	03/29/07	01/26/09 Sold	36	49 77	50 863	1,791 72	1,831 07	39 35	LT
	Total		104			$ 5,176 08	$ 5,076 73	($ 99 35)	
SYNOVUS FINANCIAL CORP	04/11/08	01/28/09 Sold	258	$ 10 831	$ 4 932	$ 2,794 43	$ 1,272 63	($ 1,521 80)	ST
	04/23/08	01/28/09 Sold	61	10 676	4 932	651 28	300 89	(350 39)	ST
	Total		319			$ 3,445 71	$ 1,573 52	($ 1,872 19)	

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ZIONS BANCORP	10/17/08	01/28/09 Sold	113	$ 36 013	$ 16 723	$ 4,069 51	$ 1,889 68	($ 2,179 83)	ST
	10/20/08	01/28/09 Sold	2	33 806	16 723	67 61	33 45	(34 16)	ST
	Total		115			$ 4,137 12	$ 1,923 13	($ 2,213 99)	
Total Long Term this period								($ 12,017 76)	
Total Short Term this period								($ 34,921 97)	
Total realized gain or (loss) - this period						$ 90,254 70	$ 43,314 97	($ 46,939 73)	
Total Long Term - year-to-date								($ 12,017 76)	
Total Short Term - year-to-date								($ 34,921 97)	
Total realized gain or (loss) - year to-date						$ 90,254 70	$ 43,314 97	($ 46,939 73)	

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account If you would like copies, please ask your Financial Advisor or Portfolio Manager

Please notify your Financial Advisor if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

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SCHEDULE 6

RICE FOUNDATION
FORM 990-PF 2009
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PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SYNOVUS FINANCIAL CORP	09/25/08	02/09/09 Sold	102	$ 11 594	$ 4 019	$ 1 182 59	$ 409 96	($ 772 63)	ST
	11/13/08	02/09/09 Sold	60	7 625	4 019	457 54	241 16	(216 38)	ST
SYNOVUS FINANCIAL CORP	11/13/08	02/10/09 Sold	342	7 625	3 659	2 607 95	1,251 40	(1,356 55)	ST
	Total		1,108			$ 10,860 28	$ 4,409 34	($ 6,450 94)	
ULTRA PETROLEUM CORP-CAD	03/29/07	02/05/09 Sold	46	$ 53 20	$ 38 152	$ 2 447 20	$ 1 754 98	($ 692 22)	LT
WYNN RESORTS LTD	01/07/09	02/04/09 Sold	78	50 729	25 94	3 956 87	2,023 35	(1,933 52)	ST
ZIONS BANCORP	10/20/08	02/18/09 Sold	66	33 806	10 074	2 231 21	664 88	(1,566 33)	ST
	12/05/08	02/18/09 Sold	54	28 988	10 074	1,565 37	544 00	(1,021 37)	ST
	Total		120			$ 3,796 58	$ 1,208 88	($ 2,587,70)	
Total Long Term this period								($ 8,324 13)	
Total Short Term this period								($ 33,344 90)	
Total realized gain or (loss) this period						$ 79,599 08	$ 37,930 05	($ 41,669 03)	
Total Long Term - year-to-date								($ 20,341 89)	
Total Short Term - year-to-date								($ 68,266 87)	
Total realized gain or (loss) - year to date						$ 189,853 78	$ 81,245 02	($ 88,608 76)	

**Based on information supplied by client or other financial institution, not verified by us*

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SCHEDULE B

RICE FOUNDATION
FORM 990-PF 2009
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Penn - Pahel 6

WORKING WEALTH.
AT SMITH BARNEY

Smith Barney Reserved Client Statement

February 1 - February 28, 2009

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUCYRUS INTERNATIONAL INC	10/06/08	02/27/09 Sold	118	$ 32 235	$ 12 537	$ 3,803 78	$ 1,479 41	($ 2,324 37)	ST
	10/15/08	02/27/09 Sold	117	25 131	12 537	2,940 37	1,466 88	(1,473 49)	ST
	11/05/08	02/27/09 Sold	72	26 884	12 537	1,935 67	902 69	(1,032 98)	ST
	Total		307			$ 8,679 82	$ 3,848 98	($ 4,830 84)	
FIFTH THIRD BANCORP	09/29/08	02/03/09 Sold	276	$ 10 34	$ 1 883	$ 2,853 95	$ 519 81	($ 2,334 14)	ST
FOREST LABORATORIES INC	08/30/07	02/25/09 Sold	148	37 835	23 432	5,599 64	3,467 95	(2,131 69)	LT
GOODRICH CORP	05/11/06	02/13/09 Sold	42	46 31	39 755	1,945 02	1,669 74	(275 28) *	LT
NEWELL RUBBERMAID INC	10/10/08	02/09/09 Sold	305	12 617	8 364	3,848 46	2 551 01	(1,297 45)	ST
	10/20/08	02/09/09 Sold	123	14 76	8 364	1 815 54	1,028 77	(786 77)	ST
	12/16/08	02/09/09 Sold	141	12 938	8 364	1 824 34	1,179 32	(645 02)	ST
	12/16/08	02/09/09 Sold	5	12 938	8 364	64 69	41 81	(22 88)	ST
	Total		574			$ 7,553 03	$ 4,800 91	($ 2,752 12)	
PETROHAWK ENERGY CORP	05/07/08	02/03/09 Sold	130	$ 25 636	$ 19 752	$ 3,332 77	$ 2,567 83	($ 764 94)	ST
REGIONS FINANCIAL CORP (NEW)	09/29/08	02/26/09 Sold	554	9 87	3 919	5,468 31	2,171 11	(3,297 20)	ST
	10/23/08	02/26/09 Sold	149	9 567	3 919	1,425 54	583 93	(841 61)	ST
	10/24/08	02/26/09 Sold	176	9 349	3 919	1,645 49	689 74	(955 75)	ST
	Total		879			$ 8,539 34	$ 3,444 78	($ 5,094 56)	
SYNOVUS FINANCIAL CORP	04/23/08	02/06/09 Sold	184	$ 10 676	$ 4 15	$ 1 964 51	$ 763 67	($ 1,200 84)	ST
	09/08/08	02/06/09 Sold	264	10 753	4 15	2,839 03	1,095 70	(1,743 33)	ST
	09/25/08	02/06/09 Sold	156	11 594	4 15	1,808 66	647 45	(1,161 21)	ST

SCHEDULE 6

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Penn - Acct 6

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
02/25/09	ZIONS BANCORP	CASH DIV ON 120 0000 SHS X/D 02/09/09	$ 4 80		$ 4 80
02/26/09	JB HUNT TRANSPORT SERVICES INC	CASH DIV ON 193 0000 SHS X/D 02/10/09	21 23		21 23
Total qualified dividends earned			$ 248 66	$ 0 00	$ 248 66

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
02/27/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD 90 %	$ 16 59		$ 16 59
Total earnings from money fund			$ 16 59	$ 0 00	$ 16 59

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AKAMAI TECHNOLOGIES INC	01/23/08	02/23/09 Sold	78	$ 27 064	$ 17 011	$ 2 111 02	$ 1,326 90	($ 784 12)	LT
ALLEGHENY TECHNOLOGIES INC	04/23/08	02/10/09 Sold	18	75 509	24 785	1,359 17	446 14	(913 03)	ST
	05/23/08	02/10/09 Sold	67	74 569	24 785	4 996 14	1 660 64	(3,335 50)	ST
	06/20/08	02/10/09 Sold	61	63 271	24 785	3,859 54	1 511 93	(2,347 61)	ST
	Total		146			$ 10,214 85	$ 3,618 71	($ 6,596 14)	
ARCH COAL INC	08/10/07	02/27/09 Sold	19	$ 33 625	$ 13 906	$ 638 88	$ 264 21	($ 374 67)	LT
	09/12/07	02/27/09 Sold	74	31 829	13 906	2,355 41	1,029 04	(1 326 37)	LT
	09/13/07	02/27/09 Sold	32	32 428	13 906	1,037 70	444 99	(592 71)	LT
	09/19/07	02/27/09 Sold	110	33 424	13 906	3 676 72	1,529 65	(2,147 07)	LT
	Total		235			$ 7,708 71	$ 3,267 89	($ 4,440 82)	

SCHEDULE 6

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

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RICE FOUNDATION Account number 383-1623C-14 JD1

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HERBALIFE LTD	08/14/07	03/05/09 Sold	21	$ 40 371	$ 12 781	$ 847 81	$ 268 40	($ 579 41)	LT
	08/21/07	03/05/09 Sold	88	39 072	12 781	3,438 41	1,124 72	(2,313 69)	LT
	09/11/07	03/05/09 Sold	82	42 621	12 781	3,494 95	1,048 03	(2,446 92)	LT
	11/05/07	03/05/09 Sold	100	37 437	12 781	3 743 78	1 278 09	(2,465 69)	LT
	Total		291			$ 11,524 95	$ 3,719 24	($ 7,805 71)	
MARVELL TECHNOLOGY GROUP LTD USD	01/29/07	03/05/09 Sold	337	$ 16 848	$ 7 542	$ 5,677 81	$ 2,541 64	($ 3 136 17)	LT
	07/19/07	03/05/09 Sold	200	19 348	7 542	3 869 68	1 508 39	(2,361 29)	LT
	10/11/07	03/05/09 Sold	223	17 094	7 542	3 812 12	1,681 86	(2,130 26)	LT
	02/11/08	03/05/09 Sold	246	11 433	7 542	2 812 62	1,855 32	(957 30)	LT
	10/01/08	03/05/09 Sold	225	9 166	7 542	2 062 40	1,696 94	(365 46)	ST
	11/13/08	03/05/09 Sold	237	6 024	7 542	1,427 88	1,787 44	359 56	ST
	Total		1,468			$ 19,662 51	$ 11,071 59	($ 8,590 92)	
ACE LIMITED	10/23/08	03/05/09 Sold	133	$ 46 04	$ 31 435	$ 6 123 32	$ 4 180 83	($ 1,942 49)	ST
	01/29/09	03/05/09 Sold	39	45 989	31 435	1,793 57	1 225 96	(567 61)	ST
	02/20/09	03/05/09 Sold	33	39 619	31 435	1 307 46	1 037 35	(270 11)	ST
	03/02/09	03/05/09 Sold	62	35 788	31 435	2,218 87	1,948 96	(269 91)	ST
	Total		267			$ 11,443 22	$ 8,393 10	($ 3,050 12)	

RICE FOUNDATION
FORM 990-PF 2009
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SCHEDULE 5

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RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MILLICOM INTL CELLULAR SA NLW	05/24/07	03/05/09 Sold	17	$ 83 50	$ 34 492	$ 1,419 50	$ 586 37	($ 833 13)	LT
	07/24/07	03/05/09 Sold	42	88 189	34 492	3,703 97	1,448 68	(2 255 29)	LT
	08/13/07	03/05/09 Sold	50	79 922	34 492	3,996 10	1,724 62	(2,271 48)	LT
	07/16/08	03/05/09 Sold	21	95 492	34 492	2,005 33	724 34	(1 280 99)	ST
	07/22/08	03/05/09 Sold	40	78 762	34 492	3,150 52	1,379 69	(1,770 83)	ST
	07/29/08	03/05/09 Sold	49	73 001	34 492	3,577 05	1,690 12	(1,886 93)	ST
	09/12/08	03/05/09 Sold	38	69 789	34 492	2 651 99	1 310 71	(1,341 28)	ST
	09/17/08	03/05/09 Sold	51	62 54	34 492	3 189 55	1,759 10	(1 430 45)	ST
	Total		308			$ 23,694 01	$ 10 623 63	($ 13,070 38)	
AKAMAI TECHNOLOGIES INC	01/23/08	03/04/09 Sold	108	$ 27 064	$ 17 126	$ 2,922 94	$ 1 849 63	($ 1 073 31)	LT
	02/11/08	03/04/09 Sold	93	33 873	17 126	3 150 23	1,592 74	(1 557 49)	LT
	04/11/08	03/04/09 Sold	116	30 194	17 126	3 502 57	1,986 63	(1,515 94)	ST
AKAMAI TECHNOLOGIES INC	04/11/08	03/04/09 Sold	77	30 194	17 126	2,324 99	1,318 71	(1,006 28)	ST
	04/23/08	03/04/09 Sold	6	33 656	17 126	201 94	102 76	(99 18)	ST
AKAMAI TECHNOLOGIES INC	04/23/08	03/05/09 Sold	73	33 656	16 261	2,456 88	1,187 04	(1 269 84)	ST
	09/12/08	03/05/09 Sold	177	18 115	16 261	3 206 43	2 878 18	(328 25)	ST
	Total		650			$ 17,765 98	$ 10,915 69	($ 6,850 29)	
ALLEGHENY ENERGY INC	02/10/09	03/05/09 Sold	109	$ 33 424	$ 21 003	$ 3 643 26	$ 2 289 31	($ 1 353 95)	ST
	02/20/09	03/05/09 Sold	73	24 777	21 003	1 808 72	1 533 21	(275 51)	ST
	Total		182			$ 5,451 98	$ 3,822 52	($ 1,629 46)	

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SCHEDULE 6

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN ELLCTRIC POWER CO INC	09/23/08	03/05/09 Sold	149	$ 37 197	$ 24 722	$ 5,542 49	$ 3,683 56	($ 1,858 93)	ST
	10/10/08	03/05/09 Sold	82	27 632	24 722	2,265 83	2,027 19	(238 64)	ST
	01/28/09	03/05/09 Sold	52	31 712	24 722	1 649 06	1,285 54	(363 52)	ST
	Total		283			$ 9,457 38	$ 6,996 29	($ 2,461 09)	
AMERICAN TOWER CORP-CLASS A	03/29/07	03/05/09 Sold	462	$ 38 38	$ 27 172	$ 17 731 56	$ 12,553 71	($ 5,177 85)	LT
AMERISOURCLBERGEN CORP	08/01/08	03/05/09 Sold	150	42 035	30 863	6,305 27	4,629 42	(1,675 85)	ST
	08/05/08	03/05/09 Sold	63	42 511	30 863	2,678 20	1,944 36	(733 84)	ST
	09/30/08	03/05/09 Sold	73	37 203	30 863	2 715 86	2,252 98	(462 88)	ST
	10/10/08	03/05/09 Sold	68	29 017	30 863	1,973 16	2 098 67	125 51	ST
	Total		354			$ 13,672 49	$ 10,926 43	($ 2,747 06)	
ARCH COAL INC	09/19/07	03/05/09 Sold	16	$ 33 424	$ 12 522	$ 534 80	$ 200 35	($ 334 45)	LT
	03/11/08	03/05/09 Sold	64	47 014	12 522	3 008 92	801 40	(2 207 52)	ST
	03/17/08	03/05/09 Sold	65	41 444	12 522	2 693 92	813 92	(1 880 00)	ST
	03/17/08	03/05/09 Sold	87	41 444	12 522	3 605 71	1,089 41	(2,516 30)	ST
	07/24/08	03/05/09 Sold	76	51 104	12 522	3,883 97	951 67	(2,932 30)	ST
	08/26/08	03/05/09 Sold	44	53 848	12 522	2 369 35	550 96	(1,818 39)	ST
	10/24/08	03/05/09 Sold	101	18 104	12 522	1 828 58	1 264 72	(563 86)	ST
	Total		453			$ 17,925 25	$ 6,672 43	($ 12,252 82)	

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SCHEDULE 6

RICE FOUNDATION — Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ASSURANT INC	07/18/07	03/05/09 Sold	228	$ 53 312	$ 16 651	$ 12,155 20	$ 3,796 40	($ 8,358 80)	LT
	10/11/07	03/05/09 Sold	54	55 894	16 651	3,018 29	899 15	(2,119 14)	LT
	08/13/08	03/05/09 Sold	54	57 903	16 651	3,126 79	899 15	(2 227 64)	ST
	Total		336			$ 18,300 28	$ 5,594 70	($ 12,705 58)	
BELDEN INC	04/27/07	03/05/09 Sold	59	$ 58 502	$ 8 592	$ 3,451 65	$ 506 95	($ 2 944 70)	LT
	12/06/07	03/05/09 Sold	39	48 979	8 592	1,910 20	335 11	(1,575 09)	LT
	12/07/07	03/05/09 Sold	24	49 213	8 592	1,181 12	206 22	(974 90)	LT
	12/12/07	03/05/09 Sold	20	46 499	8 592	930 00	171 85	(758 15)	LT
	01/08/08	03/05/09 Sold	81	40 887	8 592	3,311 87	695 99	(2,615 88)	LT
	01/09/08	03/05/09 Sold	3	39 812	8 592	119 44	25 78	(93 66)	LT
	01/18/08	03/05/09 Sold	80	38 141	8 592	3 051 31	687 40	(2 363 91)	LT
	03/06/08	03/05/09 Sold	78	38 704	8 592	3 018 94	670 21	(2,348 73)	ST
	04/22/08	03/05/09 Sold	101	36 223	8 592	3 658 57	867 84	(2,790 73)	ST
	09/23/08	03/05/09 Sold	10	32 556	8 592	325 56	85 91	(239 65)	ST
	Total		495			$ 20,958 66	$ 4,253 26	($ 16,705 40)	
BLACKROCK INC	02/23/09	03/05/09 Sold	31	$ 102 288	$ 90 45	$ 3 170 93	$ 2 803 93	($ 367 00)	ST
BROADCOM CORP CL A	05/07/07	03/05/09 Sold	34	33 562	16 41	1 141 12	557 96	(583 16)	LT
	11/09/07	03/05/09 Sold	128	30 214	16 41	3 867 43	2,100 56	(1,766 87)	LT
	02/07/08	03/05/09 Sold	147	20 558	16 41	3 022 06	2 412 36	(609 70)	LT
	02/11/08	03/05/09 Sold	154	21 947	16 41	3 379 93	2 527 23	(852 70)	LT

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RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BROADCOM CORP CL A	03/06/08	03/05/09 Sold	180	$ 18 747	$ 16 41	$ 3,374 62	$ 2,953 91	($ 420 71)	ST
	10/01/08	03/05/09 Sold	147	18 882	16 41	2,775 65	2,412 35	(363 30)	ST
	Total		790			$ 17,560 81	$ 12,984 37	($ 4,596 44)	
CANADIAN PACIFIC RAILWAY	03/29/07	03/05/09 Sold	132	$ 55 86	$ 25 622	$ 7 373 52	$ 3,382 15	($ 3,991 37)	LT
	11/27/07	03/05/09 Sold	12	62 567	25 622	750 81	307 47	(443 34)	LT
	11/28/07	03/05/09 Sold	20	65 324	25 622	1 306 48	512 45	(794 03)	LT
	07/22/08	03/05/09 Sold	68	65 827	25 622	4 476 29	1,742 32	(2,733 97)	ST
	07/22/08	03/05/09 Sold	14	65 827	25 622	921 59	358 71	(562 88)	ST
	09/12/08	03/05/09 Sold	39	57 177	25 622	2 229 94	999 26	(1,230 68)	ST
	Total		285			$ 17,058 63	$ 7,302 30	($ 9,756 27)	
CEPHALON INC	06/18/08	03/05/09 Sold	149	$ 69 526	$ 61 533	$ 10 359 43	$ 9 168 49	($ 1,190 94)	ST
CERNER CORP	03/03/08	03/05/09 Sold	100	44 261	36 236	4 426 19	3,623 58	(802 61)	LT
	03/19/08	03/05/09 Sold	82	40 311	36 236	3,305 54	2,971 33	(334 21)	ST
	07/25/08	03/05/09 Sold	84	40 315	36 236	3 386 49	3 043 81	(342 68)	ST
	Total		266			$ 11,118 22	$ 9,638 72	($ 1,479 50)	
CHESAPEAKE ENERGY CORP	11/21/08	03/05/09 Sold	307	$ 16 113	$ 14 231	$ 4,946 84	$ 4,368 88	($ 577 96)	ST
	07/27/09	03/05/09 Sold	96	15 639	14 231	1,501 36	1,366 17	(135 19)	ST
	Total		403			$ 6,448 20	$ 5,735 05	($ 713 15)	
CIENA CORP NEW	07/16/08	03/05/09 Sold	149	$ 21 307	$ 5 94	$ 3,174 76	$ 885 16	($ 2 289 60)	ST
	07/16/08	03/05/09 Sold	140	21 307	5 94	2 982 99	831 69	(2,151 30)	ST
	07/18/08	03/05/09 Sold	136	22 40	5 94	3,046 52	807 93	(2,238 59)	ST

RICE FOUNDATION
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SCHEDULE 6

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CIENA CORP NEW	07/29/08	03/05/09 Sold	128	$ 21 215	$ 5 94	$ 2 715 64	$ 760 40	($ 1,955 24)	ST
	10/29/08	03/05/09 Sold	236	8 45	5 94	1,994 27	1,402 00	(592 27)	ST
	Total		789			$ 13 914 18	$ 4,687 18	($ 9,227 00)	
CITRIX SYSTEMS INC	03/04/09	03/05/09 Sold	134	$ 21 655	$ 21 301	$ 2,928 62	$ 2,854 32	($ 74 30)	ST
	03/05/09	03/05/09 Sold	75	21 495	21 301	1 612 18	1,597 56	(14 62)	ST
	Total		209			$ 4,540 80	$ 4,451 88	($ 88 92)	
COACH INC	03/25/08	03/05/09 Sold	192	$ 32 719	$ 12 182	$ 6,282 18	$ 2,338 93	($ 3,943 25)	ST
	04/07/08	03/05/09 Sold	108	32 955	12 182	3 559 16	1,315 65	(2,243 51)	ST
	05/08/08	03/05/09 Sold	111	34 285	12 182	3,805 68	1,352 19	(2,453 49)	ST
	07/07/08	03/05/09 Sold	97	29 385	12 182	2 850 38	1 181 65	(1,668 73)	ST
	07/18/08	03/05/09 Sold	105	27 832	12 182	2,922 39	1,279 10	(1,643 29)	ST
	Total		613			$ 19,419 79	$ 7,467 52	($ 11,952 27)	
CONTINENTAL RESOURCES INC	09/08/08	03/05/09 Sold	146	$ 40 549	$ 14 293	$ 5,920 21	$ 2,086 76	($ 3,833 45)	ST
	09/17/08	03/05/09 Sold	78	36 056	14 293	2 812 37	1,114 85	(1 697 52)	ST
	10/06/08	03/05/09 Sold	179	27 251	14 293	4 877 95	2,558 43	(2,319 52)	ST
	Total		403			$ 13,610 53	$ 5,760 04	($ 7,850 49)	
CROWN CASTLE INTERNATIONAL	03/29/07	03/05/09 Sold	350	$ 32 20	$ 16 45	$ 11,270 00	$ 5,757 71	($ 5,512 29)	LT
	09/18/08	03/05/09 Sold	121	28 823	16 45	3 487 61	1 990 52	(1,497 09)	ST
	10/02/08	03/05/09 Sold	105	25 613	16 45	2 689 39	1,727 31	(962 08)	ST
	10/10/08	03/05/09 Sold	135	17 856	16 45	2,410 67	2,220 83	(189 84)	ST

RICE FOUNDATION
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SCHEDULE

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CROWN CASTLE INTERNATIONAL	11/12/08	03/05/09 Sold	125	$ 13 202	$ 16 45	$ 1,650 25	$ 2 056 33	$ 406 08	ST
	11/24/08	03/05/09 Sold	118	12 099	16 45	1 427 73	1,941 18	513 45	ST
	Total		954			$ 22,935 65	$ 15,693 88	($ 7,241 77)	
CULLEN FROST BANKERS INC	12/18/08	03/05/09 Sold	38	$ 49 915	$ 37 042	$ 1,896 77	$ 1,407 59	($ 489 18)	ST
	01/13/09	03/05/09 Sold	44	44 694	37 042	1 966 55	1,629 84	(336 71)	ST
	02/06/09	03/05/09 Sold	37	47 788	37 042	1,768 16	1,370 55	(397 61)	ST
	02/18/09	03/05/09 Sold	39	42 185	37 042	1,645 22	1,444 62	(200 60)	ST
	Total		158			$ 7,276 70	$ 5,852 60	($ 1,424 10)	
DAVITA INC	01/08/08	03/02/09 Sold	31	$ 58 853	$ 46 003	$ 1,824 47	$ 1,426 11	($ 398 36)	LT
	01/18/08	03/02/09 Sold	27	55 30	46 003	1 493 12	1,242 09	(251 03)	LT
	01/22/08	03/02/09 Sold	9	54 53	46 003	490 77	414 03	(76 74)	LT
DAVITA INC	01/22/08	03/05/09 Sold	68	54 53	45 723	3 708 06	3 109 15	(598 91)	LT
	02/15/08	03/05/09 Sold	98	51 252	45 723	5 022 71	4,480 83	(541 88)	LT
	02/21/08	03/05/09 Sold	124	50 949	45 723	6 317 70	5,669 61	(648 09)	LT
	Total		357			$ 18,856 83	$ 16,341 82	($ 2,515 01)	
DELTA AIR LINES INC (NEW)	10/30/08	03/05/09 Sold	425	$ 9 194	$ 3 952	$ 3,907 71	$ 1,679 59	($ 2 228 12)	ST
	11/14/08	03/05/09 Sold	253	8 009	3 952	2 026 48	999 85	(1 026 63)	ST
	Total		678			$ 5,934 19	$ 2,679 44	($ 3,254 75)	
EDISON INTERNATIONAL	12/03/08	03/05/09 Sold	173	$ 31 828	$ 24 014	$ 5,506 30	$ 4,154 40	($ 1,351 90)	ST
	12/10/08	03/05/09 Sold	60	31 479	24 014	1 888 75	1,440 83	(447 92)	ST
	12/19/08	03/05/09 Sold	58	31 901	24 014	1 850 30	1,392 80	(457 50)	ST
	Total		291			$ 9,245 35	$ 6,988 03	($ 2,257 32)	

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENERGIZER HLDGS INC	08/07/08	03/05/09 Sold	91	$ 75 028	$ 39 535	$ 6,827 59	$ 3 597 73	($ 3 229 86)	ST
	10/10/08	03/05/09 Sold	34	61 763	39 535	2 099 95	1,344 21	(755 74)	ST
	10/20/08	03/05/09 Sold	28	60 91	39 535	1,705 50	1,106 99	(598 51)	ST
	10/21/08	03/05/09 Sold	6	60 787	39 535	364 73	237 21	(127 52)	ST
	Total		159			$ 10,997 77	$ 6,286 14	($ 4,711 63)	
ENTERGY CORPORATION NEW	01/14/09	03/05/09 Sold	47	$ 75 795	$ 62 71	$ 3 562 41	$ 2,947 35	($ 615 06)	ST
	01/22/09	03/05/09 Sold	24	76 874	62 71	1 844 99	1,505 03	(339 96)	ST
	02/12/09	03/05/09 Sold	24	69 345	62 71	1 664 29	1,505 03	(159 26)	ST
	Total		95			$ 7,071 69	$ 5,957 41	($ 1,114 28)	
EXPRESS SCRIPTS INC COMMON	03/29/07	03/05/09 Sold	275	$ 41 109	$ 44 601	$ 11 305 11	$ 12 265 20	$ 960 09	LT
	01/13/09	03/05/09 Sold	34	53 103	44 601	1,805 53	1,516 42	(289 11)	ST
	03/02/09	03/05/09 Sold	64	46 336	44 601	2 965 54	2,854 45	(111 09)	ST
	Total		373			$ 16 076 18	$ 16,636 07	$ 559 89	
FISERV INC	01/30/08	03/05/09 Sold	131	$ 49 965	$ 30 581	$ 6 545 48	$ 4,006 09	($ 2,539 39)	LT
	02/12/08	03/05/09 Sold	62	52 214	30 581	3,237 27	1 896 01	(1 341 26)	LT
	10/10/08	03/05/09 Sold	38	34 57	30 581	1,313 69	1 162 07	(151 62)	ST
	10/13/08	03/05/09 Sold	15	37 362	30 581	560 43	458 71	(101 72)	ST
	Total		246			$ 11,656 87	$ 7,522 88	($ 4,133 99)	
FOREST LABORATORIES INC	08/30/07	03/05/09 Sold	144	$ 37 835	$ 19 111	$ 5,448 30	$ 2,751 98	($ 2,696 32)	LT
	01/15/08	03/05/09 Sold	99	37 933	19 111	1,755 41	1 891 99	(1 863 42)	LT
	04/04/08	03/05/09 Sold	86	40 825	19 111	3,510 95	1,643 54	(1,867 41)	ST
	Total		329			$ 12,714 66	$ 6,287 51	($ 6 427 15)	

RICE FOUNDATION
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SCHEDULE 5

Rice - Sched 6

Ref 00006019 00049355



Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GAMESTOP CORP NEW CL A	07/18/08	03/05/09 Sold	138	$ 45 055	$ 22 887	$ 6,217 59	$ 3,158 46	($ 3 059 13)	ST
	07/23/08	03/05/09 Sold	73	45 145	22 887	3 295 64	1,670 78	(1 624 86)	ST
	08/21/08	03/05/09 Sold	82	42 578	22 887	3 491 40	1 876 76	(1 614 64)	ST
	09/19/08	03/05/09 Sold	63	38 572	22 887	2 430 06	1,441 90	(988 16)	ST
	Total		**356**			**$ 16,434 69**	**$ 8,147 90**	**($ 7,286 79)**	
GENERAL CABLE CORP	01/18/08	03/05/09 Sold	7	$ 51 245	$ 13 88	$ 358 72	$ 97 16	($ 261 56)	LT
	07/07/08	03/05/09 Sold	63	56 44	13 88	3,555 77	874 43	(2,681 34)	ST
	09/12/08	03/05/09 Sold	68	39 468	13 88	2,683 85	943 83	(1,740 02)	ST
	10/02/08	03/05/09 Sold	84	28 131	13 88	2,363 05	1 165 92	(1,197 13)	ST
	Total		**222**			**$ 8,961 39**	**$ 3,081 34**	**($ 5,880 05)**	
GOODRICH CORP	05/11/06	03/05/09 Sold	53	$ 46 31	$ 30 492	$ 2,454 43	$ 1,616 09	($ 838 34)*	LT
	06/19/06	03/05/09 Sold	159	41 82	30 492	6,649 38	4 848 28	(1,801 10)*	LT
	Total		**212**			**$ 9,103 81**	**$ 6,464 37**	**($ 2,639 44)**	
HARTFORD FINL SVCS GROUP INC	01/06/09	03/05/09 Sold	200	$ 19 631	$ 3 751	$ 3 926 30	$ 750 25	($ 3,176 05)	ST
	01/15/09	03/05/09 Sold	118	13 604	3 751	1,605 35	442 65	(1,162 70)	ST
	01/30/09	03/05/09 Sold	125	13 357	3 751	1,669 73	468 91	(1 200 82)	ST
	02/06/09	03/05/09 Sold	159	12 326	3 751	1 959 91	596 45	(1,363 46)	ST
	Total		**602**			**$ 9,161 29**	**$ 2,258 26**	**($ 6,903 03)**	
H J HEINZ CO	10/15/08	03/05/09 Sold	99	$ 42 639	$ 31 061	$ 4 221 30	$ 3,075 02	($ 1,146 28)	ST
	10/20/08	03/05/09 Sold	47	42 737	31 061	2,008 68	1,459 86	(548 82)	ST
	11/06/08	03/05/09 Sold	41	42 463	31 061	1,740 98	1,273 49	(467 49)	ST

RICE FOUNDATION
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SCHEDULE 6

Penn - Sched 6

Ref 00006019 00049356

AT SMITH BARNEY

Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
H J HEINZ CO	11/07/08	03/05/09 Sold	44	$ 42 409	$ 31 061	$ 1,866 01	$ 1,366 68	($ 499 33)	ST
	12/10/08	03/05/09 Sold	53	36 482	31 061	1,933 59	1 646 22	(287 37)	ST
	Total		**284**			**$ 11,770 56**	**$ 8,821 27**	**($ 2,949 29)**	
HOST HOTELS & RESORTS INC	07/05/07	03/05/09 Sold	26	$ 26 183	$ 3 39	$ 680 76	$ 88 16	($ 592 60)	LT
	03/03/08	03/05/09 Sold	213	16 709	3 39	3 559 10	722 21	(2 836 89)	LT
	01/07/09	03/05/09 Sold	261	7 677	3 39	2,003 78	884 97	(1,118 81)	ST
	01/30/09	03/05/09 Sold	312	5 443	3 39	1 698 34	1,057 89	(640 45)	ST
	Total		**812**			**$ 7,941 98**	**$ 2,753 23**	**($ 5,188 75)**	
JB HUNT TRANSPORT SERVICES INC	03/29/07	03/05/09 Sold	193	$ 26 36	$ 19 121	$ 5 087 48	$ 3,690 43	($ 1 397 05)	LT
	02/26/09	03/05/09 Sold	76	20 658	19 121	1,570 08	1,453 22	(116 86)	ST
	Total		**269**			**$ 6,657 56**	**$ 5,143 65**	**($ 1,513 91)**	
INTERCONTINENTALEXCHANGE INC	01/24/08	03/05/09 Sold	21	$ 149 724	$ 56 711	$ 3,144 21	$ 1,190 93	($ 1,953 28)	LT
	02/13/08	03/05/09 Sold	25	137 548	56 711	3 438 72	1,417 78	(2,020 94)	LT
	02/14/08	03/05/09 Sold	26	136 997	56 711	3,561 93	1,474 49	(2,087 44)	LT
	06/26/08	03/05/09 Sold	33	123 829	56 711	4 086 38	1,871 47	(2 214 91)	ST
	07/16/08	03/05/09 Sold	27	92 098	56 711	2,486 65	1,531 20	(955 45)	ST
	01/07/09	03/05/09 Sold	30	64 189	56 711	1 925 70	1,701 33	(224 37)	ST
	Total		**162**			**$ 18,643 59**	**$ 9,187 20**	**($ 9,456 39)**	
KBR INC	05/16/07	03/05/09 Sold	71	$ 25 36	$ 11 692	$ 1 800 57	$ 830 13	($ 970 44)	LT
	05/21/07	03/05/09 Sold	131	27 02	11 692	3 539 74	1,531 64	(2,008 10)	LT
	05/29/07	03/05/09 Sold	142	27 065	11 692	3 843 30	1,660 25	(2,183 05)	LT

RICE FOUNDATION
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SCHEDULE

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
KBR INC	06/11/07	03/05/09 Sold	143	$ 26 943	$ 11 692	$ 3,852 91	$ 1,671 95	($ 2,180 96)	LT
	03/26/08	03/05/09 Sold	110	28 454	11 692	3 130 01	1,286 11	(1 843 90)	ST
	08/20/08	03/05/09 Sold	150	22 665	11 692	3,399 83	1,753 79	(1,646 04)	ST
	09/19/08	03/05/09 Sold	111	18 458	11 692	2 048 86	1,297 80	(751 06)	ST
	10/03/08	03/05/09 Sold	178	16 233	11 692	2 889 49	2,081 17	(808 32)	ST
	Total		1,036			$ 24,504 71	$ 12,112 84	($ 12,391 87)	
KANSAS CITY SOUTHERN INDS NEW	03/29/07	03/05/09 Sold	230	$ 35 613	$ 13 452	$ 8,191 15	$ 3,093 94	($ 5,097 21)	LT
	08/01/07	03/05/09 Sold	102	34 378	13 452	3 506 58	1 372 09	(2 134 49)	LT
	10/02/07	03/05/09 Sold	95	32 641	13 452	3,100 92	1,277 93	(1,822 99)	LT
	Total		427			$ 14,798 65	$ 5,743 96	($ 9,054 69)	
KELLOGG CO	02/23/09	03/05/09 Sold	81	$ 39 004	$ 36 50	$ 3,159 33	$ 2,956 48	($ 202 85)	ST
LABORATORY CORP AMER HLDGS NEW	10/25/07	03/05/09 Sold	166	69 773	54 485	11 582 45	9 044 46	(2 537 99)	LT
	11/08/07	03/05/09 Sold	52	68 639	54 485	3,569 24	2,833 20	(736 04)	LT
	07/18/08	03/05/09 Sold	45	69 317	54 485	3,119 28	2 451 81	(667 47)	ST
	07/31/08	03/05/09 Sold	54	67 782	54 485	3,660 26	2,942 18	(718 08)	ST
	Total		317			$ 21,931 23	$ 17,271 65	($ 4,659 58)	
ESTEE LAUDER COS INC CL A	01/20/09	03/05/09 Sold	134	$ 26 323	$ 20 481	$ 3,527 36	$ 2,744 43	($ 782 93)	ST
LEAP WIRELESS INTERNATIONAL INC NEW	11/30/07	03/05/09 Sold	105	34 647	28 135	3 637 94	2,954 16	(683 78)	LT
	12/04/07	03/05/09 Sold	94	35 773	28 135	3,362 67	2,644 68	(717 99)	LT
	11/14/08	03/05/09 Sold	86	21 537	28 135	1 852 26	2,419 59	567 33	ST
	Total		285			$ 8,852 87	$ 8,018 43	($ 834 44)	

RICE FOUNDATION
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SCHEDULE 6

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MAXIM INTEGRATED PRODS INC	02/26/09	03/05/09 Sold	260	$ 12 123	$ 11 38	$ 3 151 98	$ 2 958 78	($ 193 20)	ST
	03/02/09	03/05/09 Sold	139	11 584	11 38	1,610 25	1,581 81	(28 44)	ST
	Total		399			$ 4,762 23	$ 4,540 59	($ 221 64)	
METLIFE INC	02/27/09	03/05/09 Sold	126	$ 19 133	$ 11 754	$ 2,410 77	$ 1,480 99	($ 929 78)	ST
NBTY INC	12/12/07	03/05/09 Sold	273	25 738	13 092	7 026 59	3,574 09	(3,452 50)	LT
	01/08/08	03/05/09 Sold	101	25 675	13 092	2 593 18	1,322 28	(1,270 90)	LT
	08/14/08	03/05/09 Sold	80	36 186	13 092	2,894 92	1,047 35	(1 847 57)	ST
	12/08/08	03/05/09 Sold	103	15 875	13 092	1 635 16	1,348 47	(286 69)	ST
	12/23/08	03/05/09 Sold	133	14 449	13 092	1,921 72	1,741 23	(180 49)	ST
	Total		690			$ 16,071 57	$ 9,033 42	($ 7,038 15)	
NII HLDGS INC CLASS B NEW	03/29/07	03/05/09 Sold	152	$ 75 024	$ 11 792	$ 11,403 74	$ 1,792 37	($ 9,611 37)	LT
	10/25/07	03/05/09 Sold	85	57 749	11 792	4 908 69	1,002 31	(3 906 38)	LT
	11/06/07	03/05/09 Sold	102	52 881	11 792	5,393 95	1,202 78	(4 191 17)	LT
	11/30/07	03/05/09 Sold	60	54 409	11 792	3 264 56	707 52	(2 557 04)	LT
	07/06/08	03/05/09 Sold	74	40 221	11 792	2 976 41	872 60	(2,103 81)	LT
	03/14/08	03/05/09 Sold	106	32 841	11 792	3,481 18	1,249 94	(2,231 24)	ST
	03/19/08	03/05/09 Sold	84	31 882	11 792	2 678 16	990 53	(1,687 63)	ST
	Total		663			$ 34,106 69	$ 7,818 05	($ 26,288 64)	

RICE FOUNDATION
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SCHEDULE 5

Part - Attach

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NASDAQ OMX GROUP INC	03/29/07	03/05/09 Sold	299	$ 29 46	$ 18 58	$ 8,808 54	$ 5 555 38	($ 3,253 16)	LT
	11/09/07	03/05/09 Sold	93	41 494	18 58	3,859 00	1,727 93	(2,131 07)	LT
	07/16/08	03/05/09 Sold	109	26 754	18 58	2,916 24	2 025 21	(891 03)	ST
	Total		501			$ 15,583 78	$ 9,308 52	($ 6,275 26)	
NETAPP INC	04/24/08	03/05/09 Sold	58	$ 23 375	$ 13 08	$ 1 355 78	$ 758 68	($ 597 10)	ST
	05/20/08	03/05/09 Sold	145	26 004	13 08	3,770 62	1,896 69	(1,873 93)	ST
	05/22/08	03/05/09 Sold	162	24 118	13 08	3 907 13	2,119 06	(1 788 07)	ST
	10/07/08	03/05/09 Sold	224	17 147	13 08	3,841 11	2,930 05	(911 06)	ST
	Total		589			$ 12,874 64	$ 7,704 48	($ 5,170 16)	
ON SEMICONDUCTOR CORP	03/29/07	03/05/09 Sold	561	$ 8 869	$ 3 652	$ 4 975 51	$ 2,048 76	($ 2,926 75)	LT
	05/07/07	03/05/09 Sold	357	11 451	3 652	4 088 33	1 303 76	(2 784 57)	LT
	10/29/07	03/05/09 Sold	307	12 075	3 652	3,707 15	1,121 16	(2,585 99)	LT
	01/04/08	03/05/09 Sold	482	7 83	3 652	3,774 06	1,760 25	(2,013 81)	LT
	01/31/08	03/05/09 Sold	584	6 666	3 652	3,892 94	2,132 75	(1,760 19)	LT
	Total		2,291			$ 20,437 99	$ 8,366 68	($ 12,071 31)	
O'REILLY AUTOMOTIVE INC	08/01/08	03/05/09 Sold	243	$ 25 998	$ 32 351	$ 6,317 68	$ 7 861 98	$ 1,544 30	ST
	10/20/08	03/05/09 Sold	87	23 154	32 354	2,014 41	2 814 78	800 37	ST
	Total		330			$ 8,332 09	$ 10,676 76	$ 2,344 67	
OWENS ILLINOIS INC NEW	10/24/08	03/05/09 Sold	192	$ 19 137	$ 10 643	$ 3 674 42	$ 2 043 44	($ 1,630 98)	ST
	11/04/08	03/05/09 Sold	79	24 895	10 643	1,966 74	840 79	(1,125 95)	ST
	11/14/08	03/05/09 Sold	81	21 185	10 643	1 715 99	862 08	(853 91)	ST

RICE FOUNDATION
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SCHEDULE 6

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RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
OWENS ILLINOIS INC NEW	12/11/08	03/05/09 Sold	84	$ 21 35	$ 10 643	$ 1,793 44	$ 894 01	($ 899 43)	ST
	01/29/09	03/05/09 Sold	87	20 776	10 643	1 807 59	925 94	(881 65)	ST
	02/12/09	03/05/09 Sold	100	17 187	10 643	1 718 75	1,064 29	(654 46)	ST
	02/20/09	03/05/09 Sold	113	15 163	10 643	1,713 45	1 202 65	(510 80)	ST
	Total		736			$ 14,390 38	$ 7,833 20	($ 6,557 18)	
PACTIV CORP	02/26/09	03/05/09 Sold	194	$ 16 384	$ 11 393	$ 3 178 61	$ 2,210 22	($ 968 39)	ST
	03/04/09	03/05/09 Sold	122	11 877	11 393	1 449 07	1 389 94	(59 13)	ST
	Total		316			$ 4,627 68	$ 3,600 16	($ 1,027 52)	
PEABODY ENERGY CORP	09/08/08	03/05/09 Sold	161	$ 50 476	$ 22 292	$ 8 117 06	$ 3 588 99	($ 4,528 07)	ST
	09/17/08	03/05/09 Sold	62	50 443	22 292	3,123 78	1,382 10	(1,741 68)	ST
	10/02/08	03/05/09 Sold	84	36 947	22 292	3 098 52	1,872 51	(1,226 01)	ST
	Total		307			$ 14,339 36	$ 6,843 60	($ 7,495 76)	
PEOPLES UNITED FINCL INC	03/13/08	03/05/09 Sold	49	$ 16 73	$ 16 59	$ 819 77	$ 812 94	($ 6 83)	ST
	03/14/08	03/05/09 Sold	231	16 542	16 59	3,821 39	3,832 43	11 04	ST
	03/24/08	03/05/09 Sold	143	17 665	16 59	2 526 20	2 372 46	(153 74)	ST
	10/16/08	03/05/09 Sold	99	15 99	16 59	1 583 01	1,642 47	59 46	ST
	10/22/08	03/05/09 Sold	25	16 163	16 59	401 08	414 77	10 69	ST
	10/23/08	03/05/09 Sold	47	16 371	16 59	769 44	779 75	10 31	ST
	Total		594			$ 9,923 89	$ 9,854 82	($ 69 07)	
PETROHAWK ENERGY CORP	05/07/08	03/05/09 Sold	83	$ 25 636	$ 15 97	$ 2 127 85	$ 1,325 56	($ 802 29)	ST
	05/08/08	03/05/09 Sold	131	26 007	15 97	3 406 97	2,092 15	(1,314 82)	ST

RICE FOUNDATION
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SCHEDULE 6

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Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PETROHAWK ENERGY CORP	05/14/08	03/05/09 Sold	116	$ 27 037	$ 15 97	$ 3,136 32	$ 1,852 59	($ 1 283 73)	ST
	05/30/08	03/05/09 Sold	198	28 398	15 97	5 622 86	3,162 18	(2 460 68)	ST
	02/27/09	03/05/09 Sold	50	17 008	15 97	850 44	798 52	(51 92)	ST
	Total		578			$ 15,144 44	$ 9 231 00	($ 5,913 44)	
PHILLIPS VAN HEUSEN CORP DEL	08/07/07	03/05/09 Sold	131	$ 55 113	$ 14 832	$ 7 219 92	$ 1,942 98	($ 5,276 94)	LT
	08/17/07	03/05/09 Sold	77	50 99	14 832	3 926 26	1,142 06	(2 784 20)	LT
	08/20/07	03/05/09 Sold	81	51 883	14 832	4 202 58	1,201 39	(3,001 19)	LT
	11/26/07	03/05/09 Sold	68	41 427	14 832	2 817 06	1,008 57	(1,808 49)	LT
	05/13/08	03/05/09 Sold	69	45 423	14 832	3 134 22	1,023 40	(2 110 82)	ST
	07/18/08	03/05/09 Sold	85	36 174	14 832	3,074 86	1,260 71	(1 814 15)	ST
	08/08/08	03/05/09 Sold	73	39 392	14 832	2 875 65	1,082 73	(1,792 92)	ST
	Total		584			$ 27,250 55	$ 8,661 84	($ 18,588 71)	
PRECISION CASTPARTS CORP	03/07/08	03/05/09 Sold	1	$ 105 669	$ 49 68	$ 105 67	$ 49 68	($ 55 99)	ST
	05/27/08	03/05/09 Sold	31	117 381	49 68	3 638 84	1 540 07	(2,098 77)	ST
	06/11/08	03/05/09 Sold	34	98 844	49 68	3,360 70	1,689 11	(1,671 59)	ST
	07/11/08	03/05/09 Sold	32	93 348	49 68	2 987 15	1,589 75	(1,397 40)	ST
	Total		98			$ 10,092 36	$ 4,868 61	($ 5,223 75)	
PRUDENTIAL FINANCIAL INC	10/24/08	03/05/09 Sold	63	$ 31 257	$ 10 982	$ 1 969 23	$ 691 86	($ 1 277 37)	ST
	11/04/08	03/05/09 Sold	85	40 186	10 982	3,415 85	933 46	(2,482 39)	ST
	11/11/08	03/05/09 Sold	62	28 098	10 982	1,742 09	680 88	(1 061 21)	ST
	11/14/08	03/05/09 Sold	78	24 641	10 982	1 922 07	856 59	(1,065 48)	ST

RICE FOUNDATION
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SCHEDULE 6

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RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PRUDENTIAL FINANCIAL INC	01/27/09	03/05/09 Sold	65	$ 26 062	$ 10 982	$ 1 694 07	$ 713 83	($ 980 24)	ST
	02/20/09	03/05/09 Sold	97	18 738	10 982	1,817 61	1,065 25	(752 36)	ST
	Total		450			$ 12,560 92	$ 4,941 87	($ 7,619 05)	
QUEST DIAGNOSTICS INC	03/29/07	03/05/09 Sold	9	$ 49 77	$ 44 931	$ 447 93	$ 404 38	($ 43 55)	LT
	04/11/07	03/05/09 Sold	131	52 183	44 931	6 836 08	5 885 97	(950 11)	LT
	11/08/07	03/05/09 Sold	68	53 195	44 931	3 617 29	3,055 31	(561 98)	LT
	02/07/08	03/05/09 Sold	66	50 787	44 931	3 351 98	2,965 45	(386 53)	LT
	Total		274			$ 14,253 28	$ 12,311 11	($ 1,942 17)	
REGAL ENTERTAINMENT GROUP CL A	03/29/07	03/05/09 Sold	518	$ 19 67	$ 9 657	$ 10 132 28	$ 5 002 30	($ 5,129 98)	LT
	08/28/07	03/05/09 Sold	170	21 612	9 657	3 661 72	1,641 68	(2,020 04)	LT
	10/23/08	03/05/09 Sold	198	10 53	9 657	2,084 94	1,912 07	(172 87)	ST
	02/19/09	03/05/09 Sold	164	9 696	9 657	1 590 24	1,583 74	(6 50)	ST
	Total		1,050			$ 17,469 18	$ 10,139 79	($ 7,329 39)	
ROCKWELL COLLINS INC	08/01/08	03/05/09 Sold	189	$ 49 325	$ 27 932	$ 9,322 52	$ 5 279 21	($ 4 043 31)	ST
ST JUDE MEDICAL INC	02/01/08	03/05/09 Sold	96	40 87	31 391	3 923 53	3 013 52	(910 01)	LT
	02/15/08	03/05/09 Sold	114	43 022	31 391	4 904 60	3,578 55	(1,326 05)	LT
	05/20/08	03/05/09 Sold	96	40 532	31 391	3 891 08	3,013 52	(877 56)	ST
	Total		306			$ 12,719 21	$ 9,605 59	($ 3,113 62)	
SOUTHWESTERN ENERGY CO DEL	05/22/07	03/05/09 Sold	70	$ 23 367	$ 28 87	$ 1 635 73	$ 2,020 89	$ 385 16	LT
	10/04/07	03/05/09 Sold	182	21 751	28 87	3,958 83	5,254 31	1 295 48	LT
	11/20/08	03/05/09 Sold	52	26 743	28 87	1 390 67	1 501 23	110 56	ST
	Total		304			$ 6,985 23	$ 8,776 43	$ 1,791 20	

RICE FOUNDATION
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SCHEDULE 6

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RICE FOUNDATION — Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
STARBUCKS CORP	12/21/07	03/05/09 Sold	343	$ 20 987	$ 8 55	$ 7,198 61	$ 2,932 91	($ 4,265 70)	LT
	02/12/08	03/05/09 Sold	340	19 02	8 55	6,467 00	2,907 25	(3,559 75)	LT
	05/02/08	03/05/09 Sold	121	17 024	8 55	2,060 00	1,034 64	(1 025 36)	ST
	Total		804			$ 15,725 61	$ 6,874 80	($ 8,850 81)	
STARWOOD HOTELS & RESORTS WORLDWIDE INC NEW	12/03/08	03/05/09 Sold	259	$ 15 407	$ 9 703	$ 3,990 41	$ 2,513 06	($ 1,477 35)	ST
	01/30/09	03/05/09 Sold	110	15 154	9 703	1,666 94	1,067 32	(599 62)	ST
	Total		369			$ 5,657 35	$ 3,580 38	($ 2,076 97)	
SUNTRUST BANKS INC	10/20/08	03/05/09 Sold	47	$ 42 044	$ 9 58	$ 1 976 08	$ 450 26	($ 1 525 82)	ST
	10/23/08	03/05/09 Sold	55	37 034	9 58	2,036 88	526 90	(1,509 98)	ST
	10/24/08	03/05/09 Sold	111	34 441	9 58	3 822 96	1 063 37	(2,759 59)	ST
	11/25/08	03/05/09 Sold	62	29 131	9 58	1 806 13	593 96	(1,212 17)	ST
	01/14/09	03/05/09 Sold	80	23 229	9 58	1,858 33	766 40	(1 091 93)	ST
	07/09/09	03/05/09 Sold	64	12 462	9 58	797 61	613 12	(184 49)	ST
	07/10/09	03/05/09 Sold	98	11 204	9 58	1 098 00	938 83	(159 17)	ST
	02/11/09	03/05/09 Sold	175	9 845	9 58	1,722 91	1,676 48	(46 43)	ST
	Total		692			$ 15,118 90	$ 6,629 32	($ 8,489 58)	
TOTAL SYSTEM SERVICES INC	05/06/08	03/05/09 Sold	172	$ 24 802	$ 11 92	$ 4,266 08	$ 2,050 35	($ 2,215 73)	ST
	05/06/08	03/05/09 Sold	29	24 802	11 92	719 28	345 70	(373 58)	ST
	05/07/08	03/05/09 Sold	220	24 981	11 92	5 495 84	2,622 54	(2 873 30)	ST
	05/14/08	03/05/09 Sold	134	25 422	11 92	3 406 56	1 597 36	(1 809 20)	ST
	Total		555			$ 13,887 76	$ 6,615 95	($ 7,271 81)	

RICE FOUNDATION
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SCHEDULE - 6

RICE FOUNDATION *Account number 383-1623C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ULTRA PETROLEUM CORP CAD	03/29/07	03/05/09 Sold	90	$ 53 20	$ 32 105	$ 4,788 00	$ 2 889 43	($ 1,898 57)	LT
	09/21/07	03/05/09 Sold	70	57 387	32 105	4 017 12	2 247 34	(1,769 78)	LT
	08/13/08	03/05/09 Sold	44	69 066	32 105	3,038 90	1,412 61	(1 626 29)	ST
	08/18/08	03/05/09 Sold	55	64 292	32 105	3 536 08	1,765 76	(1 770 32)	ST
	08/21/08	03/05/09 Sold	53	70 302	32 105	3,726 05	1,701 56	(2,024 49)	ST
	Total		**312**			**$ 19,106 15**	**$ 10,016 70**	**($ 9,089 45)**	
V F CORP	02/09/09	03/05/09 Sold	79	$ 57 079	$ 47 80	$ 4 509 30	$ 3 776 17	($ 733 13)	ST
WASTE MGMT INC DEL	11/07/08	03/05/09 Sold	124	30 162	23 293	3 740 14	2,888 31	(851 83)	ST
	12/05/08	03/05/09 Sold	116	28 50	23 293	3,306 02	2,701 97	(604 05)	ST
	12/10/08	03/05/09 Sold	51	30 132	23 293	1,536 75	1,187 94	(348 81)	ST
	Total		**291**			**$ 8,582 91**	**$ 6,778 22**	**($ 1,804 69)**	
WESTERN DIGITAL CORP	01/15/09	03/05/09 Sold	276	$ 12 350	$ 14 472	$ 3,410 42	$ 3,994 25	$ 583 83	ST
	01/30/09	03/05/09 Sold	168	15 063	14 472	2,530 63	2,431 28	(99 35)	ST
	02/20/09	03/05/09 Sold	111	14 644	14 472	1 625 50	1,606 38	(19 12)	ST
	Total		**555**			**$ 7,566 55**	**$ 8,031 91**	**$ 465 36**	

RICE FOUNDATION
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SCHEDULE 6

WORKING WEALTH

Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION Account number 383-1623C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
YUM BRANDS INC	09/30/08	03/05/09 Sold	152	$ 31 963	$ 24 601	$ 4 858 42	$ 3,739 33	($ 1,119 09) ST
	10/10/08	03/05/09 Sold	76	26 035	24 601	1,978 73	1,869 66	(109 07) ST
	Total		228			$ 6,837 15	$ 5,608 99	($ 1,228 16)
Total Long Term this period								($ 190,472 52)
Total Short Term this period								($ 213,921 54)
Total realized gain or (loss) - this period						$ 972,655.14	$ 568,261 08	($ 404,394 06)
Total Long Term - year to date								($ 210,814 41)
Total Short Term - year-to date								($ 282,188 41)
Total realized gain or (loss) - year-to-date						$ 1,142,508 92	$ 649,506.10	($ 493,002 82)

**Based on information supplied by client or other financial institution not verified by us*

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RICE FOUNDATION *Account number 383-1595C-14 JD1*

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
01/15/09	HOST HOTELS & RESORTS INC	CASH DIV ON 4550 0000 SHS X/D 12/29/08 FOR TAX PURPOSES, THIS TRANS ACTION IS REPORTABLE IN 2008	$ 227 50	-	$ 227 50
01/29/09	ANNALY CAPITAL MANAGEMENT INC	CASH DIV ON 4750 0000 SHS X/D 12/26/08 FOR TAX PURPOSES, THIS TRANS- ACTION IS REPORTABLE IN 2008	2 375 00		2,375 00
Total other dividends credited to account			-		**$ 2,602 50**
Total other dividends earned 2008			$ 2,602 50	$ 0 00	$ 2,602 50

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
01/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 01/02/09-02/01/09 31 DAYS AVERAGE YIELD 1 32 %	$ 90 88		$ 90 88
Total earnings from money fund			**$ 90.88**	**$ 0.00**	**$ 90.88**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AT&T INC	01/21/05	01/12/09 Sold	218	$ 23 00	$ 25 95	$ 5,014 00	$ 5,657 18	$ 643 18* LT
	01/25/05	01/12/09 Sold	232	23 96	25 95	5,558 72	6,020 48	461 76* LT
	Total		450			$ 10,572 72	$ 11,677 66	$ 1,104 94
ALCOA INC	09/14/07	01/20/09 Sold	600	$ 35 303	$ 8 764	$ 21,182 34	$ 5,258 79	($ 15,923 55) LT
	09/20/07	01/20/09 Sold	375	37 38	8 764	14,017 84	3,286 74	(10,731.10) LT

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALCOA INC	09/20/07	01/29/09 Sold	125	$ 37 38	$ 8 308	$ 4,672 61	$ 1,038 53	($ 3,634 08)	LT
	09/25/07	01/29/09 Sold	600	36 83	8 308	22,098 00	4,984 95	(17,113 05)	LT
	09/26/07	01/29/09 Sold	400	39 27	8 308	15,708 24	3,323 30	(12,384 94)	LT
	Total		2,100			$ 77,879 03	$ 17,892 31	($ 59,786 72)	
BANK OF AMERICA CORP	03/01/05	01/28/09 Sold	140	$ 47 06	$ 7 222	$ 6 588 40	$ 1,011 10	($ 5,577 30)*	LT
	04/07/05	01/28/09 Sold	295	46 66	7 222	13,764 70	2,130 54	(11,634 16)*	LT
	04/08/05	01/28/09 Sold	308	46 66	7 222	14,371 28	2,224 42	(12,146 86)*	LT
	02/15/06	01/28/09 Sold	657	44 37	7 222	29,151 09	4,744 96	(24,406 13)*	LT
	Total		1,400			$ 63,876 47	$ 10,111 02	($ 53,764 45)	
GLAXOSMITHKLINE PLC SP ADR	08/16/04	01/13/09 Sold	250	$ 39 83	$ 37 561	$ 9,957 50	$ 9,390 35	($ 567 15)*	LT
	03/01/05	01/13/09 Sold	375	49 17	37 561	18,438 75	14,085 52	(4,353 23)*	LT
	02/15/06	01/13/09 Sold	25	51 23	37 561	1,280 75	939 03	(341 72)*	LT
	Total		650			$ 29,677 00	$ 24,414 90	($ 5,262 10)	
HOST HOTELS & RESORTS INC	02/07/08	01/12/09 Sold	1,150	$ 17 046	$ 6 753	$ 19,603 82	$ 7,766 36	($ 11,837 46)	ST
HOST HOTELS & RESORTS INC	02/20/08	01/20/09 Sold	1,100	16 479	5 975	18,127 23	6,572 90	(11,554 33)	ST
	03/03/08	01/20/09 Sold	175	16 575	5 975	2,900 75	1,045 69	(1,855 06)	ST
HOST HOTELS & RESORTS INC	03/03/08	01/21/09 Sold	300	16 575	5 758	4,972 71	1,727 42	(3 245 29)	ST
HOST HOTELS & RESORTS INC	03/03/08	01/27/09 Sold	545	16 575	5 86	9,033 75	3,194 12	(5,839 63)	ST

PART IV
CAPITAL GAINS & LOSSES

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WORKING WEALTH. AT SMITH BARNEY

Smith Barney Reserved Client Statement

January 1 - January 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HOST HOTELS & RESORTS INC	03/03/08	01/28/09 Sold	280	$ 16 575	$ 6 08	$ 4,641 20	$ 1,702 42	($ 2,938 78)	ST
	03/10/08	01/28/09 Sold	435	16 174	6 08	7,035 73	2,644 83	(4,390 90)	ST
	03/11/08	01/28/09 Sold	565	16 717	6 08	9,445 33	3,435 23	(6,010 10)	ST
	Total		4,550			$ 75,760 52	$ 28,088 97	($ 47,671 55)	
PFIZER INC	10/28/04	01/12/09 Sold	355	$ 28 75	$ 17 311	$ 10,206 25	$ 6,145 43	($ 4,060 82)*	LT
	03/01/05	01/12/09 Sold	70	26 54	17 311	1,857 80	1,211 78	(646 02)*	LT
	Total		425			$ 12,064 05	$ 7,357 21	($ 4,706 84)	
WELLS FARGO & CO NEW	07/16/08	01/12/09 Sold	475	$ 25 14	$ 24 519	$ 11,941 50	$ 11,646 74	($ 294 76)	ST
Total Long Term this period								**($ 122,415 17)**	
Total Short Term this period								**($ 47,966 31)**	
Total realized gain or (loss) - this period						**$ 281,570 29**	**$ 111,188 81**	**($ 170,381 48)**	
Total Long Term - year-to-date								**($ 122,415 17)**	
Total Short Term - year-to date								**($ 47,966 31)**	
Total realized gain or (loss) - year-to-date						**$ 281,570 29**	**$ 111,188 81**	**($ 170,381 48)**	

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Please notify your Financial Advisor if there have been any changes in your financial situation, investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

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PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1595C-14 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non taxable	Amount
02/17/09	NEW YORK COMMUNITY BANCORP	CASH DIV ON 2700 0000 SHS X/D 02/04/09	$ 675 00		$ 675 00
02/20/09	CATERPILLAR INC	CASH DIV ON 1325 0000 SHS X/D 01/15/09	556 50		556 50
02/25/09	ALCOA INC	CASH DIV ON 2675 0000 SHS X/D 02/04/09	454 75		454 75
Total qualified dividends earned			$ 5,402.15	$ 0.00	$ 5,402.15

Money fund earnings

Date	Description	Comment	Taxable	Non taxable	Amount
02/27/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD .90 %	$ 22 26		$ 22 26
Total earnings from money fund			$ 22 26	$ 0 00	$ 22 26

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AT&T INC	01/25/05	02/06/09 Sold	5	$ 23 96	$ 26 052	$ 119 80	$ 130 26	$ 10 46* LT
	01/01/05	02/06/09 Sold	95	25 18	26 052	2,392 10	2 474 99	82 89* LT
	Total		100			$ 2,511 90	$ 2,605 25	$ 93 35
ALCOA INC	09/28/07	02/06/09 Sold	50	$ 39 27	$ 8 454	$ 1,963 53	$ 422 73	($ 1,540 80) LT
	11/13/08	02/06/09 Sold	1,175	10 148	8 454	11,924 61	9,934 22	(1 990 39) ST
ALCOA INC	11/13/08	02/17/09 Sold	1 450	10 148	6 829	14,715 47	9,902 14	(4 813 33) ST
	Total		2,675			$ 28,603 61	$ 20,259 09	($ 8,344 52)

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION — Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BANK OF AMERICA CORP	02/15/06	02/03/09 Sold	108	$ 44 37	$ 5 311	$ 4,791 96	$ 573 58	($ 4,218 38)* LT
	05/05/08	02/03/09 Sold	270	39 117	5 311	10,560 35	1,433 96	(9,126 39) ST
	12/10/08	02/03/09 Sold	1,225	16 428	5 311	20,124 91	6,505 94	(13,618 97) ST
	Total		1,603			$ 35,477 22	$ 8,513 48	($ 26,963 74)
DOW CHEMICAL CO	08/24/04	02/02/09 Sold	190	$ 40 89	$ 11 018	$ 7,769 10	$ 2 093 50	($ 5,675 60)* LT
	03/01/05	02/02/09 Sold	360	55 05	11 018	19,818 00	3,966 64	(15 851 36)* LT
	02/15/06	02/02/09 Sold	425	43 38	11 018	18 436 50	4,682 83	(13,753 67)* LT
DOW CHEMICAL CO	02/15/06	02/11/09 Sold	155	43 38	10 036	6 723 90	1,555 62	(5,168 28)* LT
DOW CHEMICAL CO	02/15/06	02/17/09 Sold	350	43 38	9 772	15,183 00	3,420 25	(11,762 75)* LT
DOW CHEMICAL CO	02/15/06	02/17/09 Sold	75	43 38	8 884	3,253 50	666 30	(2,587 20)* LT
	02/05/07	02/17/09 Sold	830	41 76	8 884	34,660 80	7,373 76	(27,287 04)* LT
	07/14/07	02/17/09 Sold	145	43 01	8 884	6,236 45	1 288 19	(4,948 26)* LT
DOW CHEMICAL CO	02/14/07	02/23/09 Sold	290	43 01	7 466	12 472 90	2,165 36	(10,307 54)* LT
	04/20/07	02/23/09 Sold	710	45 01	7 466	31 957 10	5,301 39	(26,655 71) LT
	Total		3,530			$ 156,511 25	$ 32,513 84	($ 123,997 41)
GLAXOSMITHKLINE PLC SP ADR	02/15/06	02/06/09 Sold	100	$ 51 23	$ 37 065	$ 5,123 00	$ 3,706 52	($ 1,416 48)* LT
INTEL CORP	01/31/08	02/18/09 Sold	1,300	21 025	13 268	27 332 76	17,248 82	(10 083 94) LT
INTEL CORP	01/31/08	02/20/09 Sold	500	21 025	12 843	10,512 60	6,421 66	(4,090 94) LT
	02/04/08	02/20/09 Sold	275	21 348	12 843	5 870 78	3 531 92	(2,338 86) LT
INTEL CORP	02/04/08	02/25/09 Sold	750	21 348	12 768	16 011 23	9 575 94	(6 435 29) LT

RICE FOUNDATION
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SCHEDULE 7

RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INTEL CORP	02/04/08	02/26/09 Sold	825	$ 21 348	$ 13 082	$ 17,612 35	$ 10,792 91	($ 6,819 44)	LT
	Total		3,850			$ 77,339 72	$ 47,571 26	($ 29,768 47)	
OCCIDENTAL PETROLEUM CORP DEL	03/01/05	02/06/09 Sold	100	$ 34 63	$ 56 815	$ 3,463 00	$ 5,681 55	$ 2,218 55*	LT
WYETH	11/05/07	02/17/09 Sold	140	48 004	43 05	6,720 59	6,027 09	(693 50)	LT
WYETH	11/05/07	02/18/09 Sold	310	48 004	43 004	14,881 30	13,331 32	(1,549 98)	LT
	11/08/07	02/18/09 Sold	400	46 084	43 004	18,433 60	17,201 70	(1,231 90)	LT
	11/13/07	02/18/09 Sold	115	46 264	43 004	5 320 44	4,945 49	(374 95)	LT
WYETH	11/13/07	02/19/09 Sold	275	46 264	42 785	12 722 79	11,765 86	(956 93)	LT
WYETH	11/13/07	02/23/09 Sold	110	46 264	42 139	5,089 12	4,635 13	(453 99)	LT
	11/19/07	02/23/09 Sold	115	46 455	42 139	5,342 37	4 846 02	(496 35)	LT
WYETH	11/19/07	02/25/09 Sold	235	46 455	41 832	10 917 02	9,830 62	(1,086 40)	LT
	Total		1,700			$ 79,427 23	$ 72,583 43	($ 6,843 80)	
Total Long Term this period								($ 185,473 44)	
Total Short Term this period								($ 29,549 08)	
Total realized gain or (loss) - this period						$ 380,456 93	$ 103,434 41	($ 105,022 52)	
Total Long Term - year-to-date								($ 287,888 61)	
Total Short Term - year-to-date								($ 77,515 39)	
Total realized gain or (loss) - year-to-date						$ 670,027.22	$ 304,623 22	($ 365,404 00)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
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RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1595C-14 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
03/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 03/02/09 03/31/09 30 DAYS AVERAGE YIELD 55 %	$ 16 01		$ 16 01
Total earnings from money fund			$ 16.01	$ 0 00	$ 16 01

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
CBS CORP NEW CLASS B	06/01/06	03/04/09 Sold	75	$ 26 52	$ 3 708	$ 1,989 00	$ 278 13	($ 1,710 87)* LT
CBS CORP NEW CLASS B	06/01/06	03/11/09 Sold	605	26 52	3 74	16,044 60	2,262 99	(13,781 61)* LT
	06/08/06	03/11/09 Sold	580	26 80	3 74	15 544 00	2,169 47	(13,374 53)* LT
	06/27/06	03/11/09 Sold	40	26 43	3 74	1 057 20	149 62	(907 58)* LT
CBS CORP NEW CLASS B	06/27/06	03/12/09 Sold	625	26 43	3 96	16 518 75	2,475 30	(14,043 45)* LT
	06/29/06	03/12/09 Sold	480	26 95	3 96	12 936 00	1 901 03	(11,034 97)* LT
	06/30/06	03/12/09 Sold	140	27 19	3 96	3,806 60	554 46	(3,252 14)* LT
	Total		2,545			$ 67,896 15	$ 9,791 00	($ 58,105 15)
DOW CHEMICAL CO	04/20/07	03/02/09 Sold	190	$ 45 01	$ 7 09	$ 8,551 90	$ 1 347 19	($ 7,204 71) LT
	01/12/09	03/02/09 Sold	1,075	15 753	7 09	16,934 69	7,622 23	(9 312 46) ST
	Total		1,265			$ 25,486 59	$ 8,969 42	($ 16,517 17)
HARTFORD FINL SVCS GROUP INC	05/14/08	03/04/09 Sold	300	$ 69 951	$ 4 49	$ 20 985 42	$ 1 347 26	($ 19 638 16) ST
	05/21/08	03/04/09 Sold	250	69 481	4 49	17,370 30	1,122 72	(16,247 58) ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HARTFORD FINL SVCS GROUP INC	05/29/08	03/04/09 Sold	300	$ 70 99	$ 4 49	$ 21 297 18	$ 1,347 26	($ 19 949 92)	ST
	06/05/08	03/04/09 Sold	250	72 277	4 49	18,069 35	1,122 72	(16,946 63)	ST
	Total		1,100			$ 77,722 25	$ 4,939 96	($ 72,782 29)	
LINCOLN NATIONAL CORP IND	08/16/04	03/04/09 Sold	50	$ 43 22	$ 6 737	$ 2 161 00	$ 336 85	($ 1,824 15)*	LT
OCCIDENTAL PETROLEUM CORP DEL	03/01/05	03/19/09 Sold	350	34 63	59 343	12 120 50	20,770 07	8,649 57*	LT
	02/15/06	03/19/09 Sold	150	42 86	59 343	6,429 00	8,901 46	2 472 46*	LT
OCCIDENTAL PETROLEUM CORP DEL	02/15/06	03/24/09 Sold	200	42 86	58 819	8 572 00	11,763 89	3,191 89*	LT
OCCIDENTAL PETROLEUM CORP DEL	02/15/06	03/27/09 Sold	150	42 86	58 428	6,429 00	8 764 29	2,335 29*	LT
	Total		850			$ 33 550 50	$ 50,199 71	$ 16,649 21	
PNC FINANCIAL SERVICES GROUP	01/05/09	03/04/09 Sold	275	$ 48 121	$ 23 021	$ 13,233 52	$ 6,330 74	($ 6,902 78)	ST
	01/09/09	03/04/09 Sold	250	47 708	23 021	11,927 23	5,755 22	(6 172 01)	ST
PNC FINANCIAL SERVICES GROUP	01/20/09	03/11/09 Sold	425	25 402	25 211	10 796 06	10,714 95	(81 11)	ST
	01/27/09	03/11/09 Sold	175	31 095	25 211	5 441 73	4,412 04	(1 029 69)	ST
PNC FINANCIAL SERVICES GROUP	01/27/09	03/13/09 Sold	350	31 095	27 01	10,883 46	9 453 76	(1,429 70)	ST
	Total		1,475			$ 52,282 00	$ 36,666 71	($ 15,615 29)	
WELLS FARGO & CO NEW	07/16/08	03/09/09 Sold	100	$ 25 14	$ 9 634	$ 2 514 00	$ 963 43	($ 1,550 57)	ST
WELLS FARGO & CO NEW	07/16/08	03/12/09 Sold	275	25 14	12 675	6 913 50	3,485 69	(3 427 81)	ST
	07/17/08	03/12/09 Sold	400	26 338	12 675	10 535 20	5,070 09	(5 465 11)	ST

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WELLS FARGO & CO NEW	07/18/08	03/12/09 Sold	600	$ 27 644	$ 12 675	$ 16,586 52	$ 7,605 14	($ 8 981 38)	ST
	07/21/08	03/12/09 Sold	680	28 361	12 675	19 285 75	8 619 15	(10,666 60)	ST
	Total		2 055			$ 55,834 97	$ 25,743 50	($ 30,091 47)	
Total Long Term this period								($ 50,484 80)	
Total Short Term this period								($ 127,801 51)	
Total realized gain or (loss) - this period						$ 314,933 46	- $ 136,647.15	($ 178,286 31)	
Total Long Term - year-to-date								($ 338,373 41)	
Total Short Term - year-to date								($ 205,316 90)	
Total realized gain or (loss) - year-to-date						$ 984,960 68	$ 441,270 37	($ 543,690 31)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
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GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GENERAL ELECTRIC CO	09/17/08	04/14/09 Sold	125	$ 23 382	$ 12 262	$ 2,922 84	$ 1,532 80	($ 1,390 04)	ST
GENERAL ELECTRIC CO	09/17/08	04/16/09 Sold	575	23 382	11 814	13 445 05	6,793 38	(6 651 67)	ST
	09/18/08	04/16/09 Sold	250	22 85	11 814	5 712 73	2,953 65	(2 759 08)	ST
GENERAL ELECTRIC CO	09/18/08	04/20/09 Sold	500	22 85	11 394	11 425 45	5,697 10	(5 728 35)	ST
	09/22/08	04/20/09 Sold	325	26 85	11 394	8 726 42	3 703 11	(5 023 31)	ST
GENERAL ELECTRIC CO	09/22/08	04/23/09 Sold	225	26 85	11 682	6,041 36	2,628 47	(3,412 89)	ST
	09/24/08	04/23/09 Sold	750	24 055	11 682	18 041 63	8 761 57	(9 280 06)	ST
	Total		2,750			$ 66,315 48	$ 32,070 08	($ 34,245 40)	
LINCOLN NATIONAL CORP IND	09/16/04	04/23/09 Sold	180	$ 43 22	$ 9 969	$ 7,779 60	$ 1,794 43	($ 5 985 17)*	LT
	03/01/05	04/23/09 Sold	420	47 37	9 969	19 895 40	4,187 00	(15,708 40)*	LT
	02/15/06	04/23/09 Sold	5	55 70	9 969	278 50	49 84	(228 66)*	LT
LINCOLN NATIONAL CORP IND	02/15/06	04/24/09 Sold	530	55 70	9 992	29 521 00	5 295 67	(24,225 33)*	LT
	08/19/08	04/24/09 Sold	505	47 956	9 992	24,217 78	5 045 88	(19,171 90)	ST
	08/20/08	04/24/09 Sold	95	47 767	9 992	4 537 90	949 22	(3 588 68)	ST
LINCOLN NATIONAL CORP IND	08/20/08	04/27/09 Sold	50	47 767	9 994	2 388 37	499 70	(1 888 67)	ST
	09/19/08	04/27/09 Sold	400	55 075	9 994	22 030 20	3,997 62	(18 032 58)	ST
	Total		2,185			$ 110,648 75	$ 21,819 36	($ 88,829 39)	
OCCIDENTAL PETROLEUM CORP DEL	02/15/06	04/03/09 Sold	180	$ 42 86	$ 59 725	$ 7,714 80	$ 10,750 48	$ 3,035 68*	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7 - 1

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

NFJ. Sched 7

RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WHIRLPOOL CORP	12/04/07	04/07/09 Sold	115	$ 81 194	$ 32 085	$ 9 337 41	$ 3 689 73	($ 5,647 68)	LT
	12/05/07	04/07/09 Sold	135	82 74	32 085	11 169 90	4,331 42	(6 838 48)	LT
	12/13/07	04/07/09 Sold	135	83 269	32 085	11 241 42	4,331 42	(6 910 00)	LT
	12/14/07	04/07/09 Sold	15	81 986	32 085	1,229 79	481 26	(748 53)	LT
WHIRLPOOL CORP	12/14/07	04/15/09 Sold	100	81 986	34 967	8,198 62	3,496 66	(4,701 96)	LT
	12/18/07	04/15/09 Sold	25	80 285	34 967	2,007 13	874 17	(1,132 96)	LT
WHIRLPOOL CORP	12/18/07	04/16/09 Sold	150	80 285	35 474	12,042 78	5,320 98	(6,721 80)	LT
WHIRLPOOL CORP	12/18/07	04/23/09 Sold	75	80 285	36 46	6 021 39	2 734 45	(3 286 94)	LT
	01/02/08	04/23/09 Sold	150	79 803	36 46	11,970 45	5,468 90	(6,501 55)	LT
	01/03/08	04/23/09 Sold	100	79 703	36 46	7,970 31	3,645 94	(4 324 37)	LT
	Total		1,000			$ 81,189 20	$ 34,374 93	($ 46,814 27)	
Total Long Term this period								($ 89,926 15)	
Total Short Term this period								($ 76,927 23)	
Total realized gain or (loss) - this period						$ 265,868 23	$ 99,014 85	($ 166,853 38)	
Total Long Term year-to-date								($ 428,299 56)	
Total Short Term - year to-date								($ 282,244 13)	
Total realized gain or (loss) - year-to-date						$ 1,250,828 91	$ 540,285 22	($ 710,543 69)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION Account number 383-1595C-14 JD1

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge **Taxable** *and* **non-taxable** *designations refer to the federal income tax status of your securities, not of your account*

Qualified dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
05/01/09	AT&T INC	CASH DIV ON 1802 0000 SHS X/D 04/07/09	$ 738 82		$ 738 82
05/01/09	LINCOLN NATIONAL CORP IND	CASH DIV ON 5435 0000 SHS X/D 04/07/09	54 35		54 35
05/01/09	VERIZON COMMUNICATIONS	CASH DIV ON 1985 0000 SHS X/D 04/07/09	913 10		913 10
05/19/09	NEW YORK COMMUNITY BANCORP	CASH DIV ON 3650 0000 SHS X/D 05/06/09	912 50		912 50
05/20/09	CATERPILLAR INC	CASH DIV ON 1325 0000 SHS X/D 04/16/09	556 50		556 50
Total qualified dividends earned			**$ 3,175 27**	**$ 0 00**	**$ 3,175 27**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
05/29/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 05/01/09 05/31/09 31 DAYS AVERAGE YIELD 39 %	$ 10 53		$ 10 53
Total earnings from money fund			**$ 10 53**	**$ 0 00**	**$ 10 53**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BLACK & DECKER CORPORATION	07/11/07	05/12/09 Sold	100	$ 89 06	$ 32 249	$ 8,906 09	$ 3,224 86	($ 5,681 23)	LT
	07/12/07	05/12/09 Sold	150	94 255	32 249	14,138 31	4,837 28	(9,301 03)	LT
	07/27/07	05/12/09 Sold	209	85 051	32 249	17 010 32	6,449 71	(10 560 61)	LT
	07/30/07	05/12/09 Sold	50	83 978	32 249	4,198 93	1,612 43	(2,586 50)	LT

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION Account number 383-1595C-14 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BLACK & DECKER CORPORATION	08/03/07	05/12/09 Sold	95	$ 88 103	$ 32 249	$ 8,369 86	$ 3,063 61	($ 5 306 25)	LT
	08/06/07	05/12/09 Sold	25	86 731	32 249	2,168 29	806 22	(1 362 07)	LT
BLACK & DECKER CORPORATION	08/06/07	05/13/09 Sold	80	86 731	31 261	6,938 54	2,500 88	(4 437 66)	LT
	08/13/07	05/13/09 Sold	125	88 285	31 261	11 035 71	3 907 62	(7 128 09)	LT
	08/14/07	05/13/09 Sold	20	88 462	31 261	1,769 25	625 22	(1 144 03)	LT
BLACK & DECKER CORPORATION	08/14/07	05/14/09 Sold	55	88 462	31 068	4 865 42	1,708 73	(3 156 69)	LT
	05/05/08	05/14/09 Sold	150	67 373	31 068	10 106 04	4 660 16	(5 445 88)	LT
	Total		1,050			$ 89,506 76	$ 33 396 72	($ 56,110 04)	
CATERPILLAR INC	11/28/07	05/27/09 Sold	300	$ 71 094	$ 35 304	$ 21,328 23	$ 10 590 99	($ 10 737 24)	LT
	12/04/07	05/27/09 Sold	250	71 782	35 304	17,945 60	8 825 82	(9,119 78)	LT
	12/06/07	05/27/09 Sold	300	73 681	35 304	22,104 30	10,590 99	(11,513 31)	LT
	12/11/07	05/27/09 Sold	250	75 93	35 304	18 982 65	8 825 82	(10,156 83)	LT
	11/13/08	05/27/09 Sold	225	35 176	35 304	7 914 78	7,943 24	28 46	ST
	Total		1,325			$ 88,275 56	$ 46,776 86	($ 41,498 70)	
LINCOLN NATIONAL CORP IND	09/19/08	05/05/09 Sold	250	$ 55 075	$ 12 249	$ 13 768 88	$ 3,062 35	($ 10 706 53)	ST
	10/01/08	05/05/09 Sold	600	41 111	12 249	24,666 96	7 349 63	(17 317 33)	ST
	12/10/08	05/05/09 Sold	335	16 792	12 249	5,625 55	4 103 54	(1 522 01)	ST
	12/11/08	05/05/09 Sold	1 240	17 333	12 249	21 493 42	15,189 24	(6 304 18)	ST
	01/13/09	05/05/09 Sold	205	19 557	12 249	4,009 31	2,511 12	(1 498 19)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

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RICE FOUNDATION *Account number 383-1595C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
LINCOLN NATIONAL CORP IND	01/13/09	05/06/09 Sold	-620	$ 19 557	$ 14 512	$ 12 125 71	$ 8,997 51	($ 3,128 20) ST
	Total		3,250			$ 81,689 83	$ 41,213 39	($ 40,476 44)
Total Long Term this period								($ 97,637 20)
Total Short Term this period								($ 40,447 98)
Total realized gain or (loss) - this period						$ 259,472 15	$ 121,386 97	($ 138,085 18)
Total Long Term year to date								($ 625,936 76)
Total Short Term year-to-date								($ 322,692 11)
Total realized gain or (loss) year to date						$ 1,510,301 06	$ 661,672 19	($ 848,628 87)

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HARRIS STRATEX NETWORKS INC CL A RECORD 05/13/09 PAY 05/27/09 FROM 413875105000	05/27/09	05/27/09 Cash in lieu	3863	$ 5 366		$ 2 07	$ 1 89	($ 18)	ST
HARRIS STRATEX NETWORKS INC CL A Morgan Stanley Smi th Barney LLC acted as yo	05/27/09	06/11/09 Sold	160	5 366	6 621	857 25	1,059 46	202 21	ST
HARRIS STRATEX NETWORKS INC CL A Morgan Stanley Smi th Barney LLC acted as yo	05/27/09	06/12/09 Sold	93	5 366	6 283	498 28	584 33	86 05	ST
	Total		253 3863			$ 1,357 60	$ 1,645 68	$ 288 08	
Total Short Term this period								$ 288 26	
Total realized gain or (loss) - this period						$ 1,355.53	$ 1,643 79	$ 288 26	
Total Long Term year to date								($ 525,936 76)	
Total Short Term year-to date								($ 322,404 03)	
Total realized gain or (loss) - year-to-date						$ 1,511,868.88	$ 683,317.87	($ 848,340 79)	

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PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 7

RICE FOUNDATION
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36-6043160

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CENTURYTEL INC MERGER 07/01/09 29078E 105000	02/20/09	07/01/09 Cash in lieu	15	$ 25 135		$ 3 77	$ 4 64	$ 87	ST
	02/23/09	07/01/09 Cash in lieu	-0769	24 828		1 91	2 38	47	ST
	03/02/09	07/01/09 Cash in lieu	1923	24 642		4 74	5 95	1 21	ST
	03/04/09	07/01/09 Cash in lieu	0885	25 007		2 21	2 73	.52	ST
	03/05/09	07/01/09 Cash in lieu	1154	24 663		2 84	3 57	73	ST
	03/12/09	07/01/09 Cash in lieu	0615	25 568		1 57	1 90	33	ST
	03/13/09	07/01/09 Cash in lieu	1654	24 387		4 03	5 12	1 09	ST
	Total		85			$ 21 07	$ 26 29	$ 5 22	
HALLIBURTON CO HOLDINGS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/07/08	07/27/09 Sold	550	$ 36 888	$ 22 93	$ 20,288 90	$ 12,611 45	($ 7,677 45)	LT
	01/11/08	07/27/09 Sold	285	36 054	22 93	10 275 50	6,535 02	(3 740 48)	LT
HALLIBURTON CO HOLDINGS CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/11/08	07/28/09 Sold	215	36 054	22 15	7,751 70	4 762 15	(2,989 55)	LT
	01/23/08	07/28/09 Sold	650	30 739	22 15	19,980 81	14,397 19	(5,583 62)	LT
	01/25/08	07/28/09 Sold	650	33 619	22 15	21,852 87	14,397 19	(7,455 68)	LT
	Total		2,350			$ 80,149 78	$ 52,703 00	($ 27,448 78)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
JPMORGAN CHASE & CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/16/04	07/06/09 Sold	265	$ 37 65	$ 32 081	$ 9,977 25	$ 8,501 43	($ 1,475 82)* LT
	03/01/05	07/06/09 Sold	560	37 18	32 081	20,820 80	17 965 29	(2,855 51)* LT
	02/15/06	07/06/09 Sold	835	40 34	32 081	33,683 90	26 787 53	(6,896 37)* LT
	Total		1,660			$ 64,481 95	$ 53,254 25	($ 11,227 70)
Total Long Term this period								($ 38,674 48)
Total Short Term this period								$ 5 22
Total realized gain or (loss) - this period						$ 144,652 80	$ 105,983 54	($ 38,669 26)
Total Long Term - year to date								($ 564,611 24)
Total Short Term year to date								($ 322,398 81)
Total realized gain or (loss) - year-to date						$ 1,656,311 46	$ 769,301.41	($ 887,010 05)

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION *Account number 383-1595C-14 JD1*

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CARDINAL HEALTH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/19/09	08/25/09 Sold	110	$ 36 209	$ 34 567	$ 3,983 05	$ 3,802 29	($ 180 76)	ST
	02/20/09	08/25/09 Sold	175	36 029	34 567	6,305 20	6 049 10	(256 10)	ST
	02/25/09	08/25/09 Sold	275	34 839	34 567	9,580 97	9,505 73	(75 24)	ST
	03/03/09	08/25/09 Sold	325	30 947	34 567	10,058 04	11,234 05	1,176 01	ST
	03/10/09	08/25/09 Sold	190	31 235	34 567	5,934 82	6,567 61	632 79	ST
CARDINAL HEALTH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/10/09	08/27/09 Sold	85	31 235	33 973	2,655 05	2,887 63	232 58	ST
	Total		1 160			$ 38,517 13	$ 40,046 41	$ 1,529 28	
Total Short Term this period								$ 1,529 28	
Total realized gain or (loss) - this period						$ 38,517 13	$ 40,046 41	$ 1,529.28	
Total Long Term - year-to-date								($ 564,611 24)	
Total Short Term year-to-date								($ 320,869 53)	
Total realized gain or (loss) - year-to-date						$ 1,694,828 59	$ 809,347 82	($ 885,480 77)	

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PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 7

RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1595C-14 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
10/30/09	MEDTRONIC INC	CASH DIV ON 1225 0000 SHS X/D 10/07/09	$ 251 13		$ 251 13
10/30/09	XEROX CORP	CASH DIV ON 5390 0000 SHS X/D 09/28/09	229 08		229 08
Total qualified dividends earned			**$ 7,051.16**	**$ 0 00**	**$7,051 16**

Other dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
10/29/09	ANNALY CAPITAL MANAGEMENT INC	CASH DIV ON 6075 0000 SHS X/D 09/29/09	$ 4,191 75		$ 4,191 75
Total other dividends earned			**$ 4,191 75**	**$ 0 00**	**$4,191.75**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
10/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 10/01/09-11/01/09 32 DAYS AVERAGE YIELD 06 %	$ 4 41		$ 4 41
Total earnings from money fund			**$ 4 41**	**$ 0 00**	**$ 4 41**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/08	10/27/09 Sold	300	$ 64 93	$ 47 85	$ 19,479 27	$ 14,354 87	($ 5,124 40)	LT
	08/08/08	10/27/09 Sold	250	68 241	47 85	17 060 28	11,962 39	(5,097 89)	LT
	08/12/08	10/27/09 Sold	250	66 041	47 85	16,510 45	11,962 39	(4,548 06)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
FORM 990-PF 2009
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NFJ. Acct 7

MorganStanley SmithBarney

Ref 00009086 00069211

Reserved Client Statement

October 1 - October 31, 2009

RICE FOUNDATION *Account number 383-1595C-14 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BOEING CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/14/08	10/27/09 Sold	300	$ 65 143	$ 47 85	$ 19,543 05	$ 14,354 87	($ 5,188 18)	LT
	01/30/09	10/27/09 Sold	25	41 551	47 85	1,038 79	1,196 24	157 45	ST
	Total		1,125			$ 73,631 84	$ 53,830 76	($ 19,801 08)	
PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/27/09	10/07/09 Sold	50	$ 31 095	$ 45 639	$ 1,554 78	$ 2,281 90	$ 727 12	ST
	02/11/09	10/07/09 Sold	250	31 95	45 639	7,987 53	11,409 51	3,421 98	ST
	02/17/09	10/07/09 Sold	440	25 776	45 639	11 341 62	20,080 73	8,739 11	ST
PNC FINANCIAL SERVICES GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/09	10/08/09 Sold	160	25 776	45 266	4,124 22	7,242 37	3,118 15	ST
	02/23/09	10/08/09 Sold	345	24 839	45 266	8 569 70	15 616 37	7,046 67	ST
	02/24/09	10/08/09 Sold	100	24 578	45 266	2,457 82	4,526 48	2,068 66	ST
	Total		1,345			$ 36,035 67	$ 61,157 36	$ 25,121 69	
Total Long Term this period								($ 19,958 53)	
Total Short Term this period								$ 25,279 14	
Total realized gain or (loss) - this period						$ 109,667 51	$ 114,988 12	$ 5,320 61	
Total Long Term - year-to-date								($ 584,569 77)	
Total Short Term - year-to-date								($ 295,590 39)	
Total realized gain or (loss) - year-to-date						$ 1,804,496 10	$ 924,335 94	($ 880,160 16)	

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RICE FOUNDATION
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PART IV
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SCHEDULE 7

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
11/17/09	NEW YORK COMMUNITY BANCORP	CASH DIV ON 3650 0000 SHS X/D 11/04/09	$ 912 50		$ 912 50
11/19/09	ROYAL DUTCH SHELL PLC ADR CL A	REVERSAL ND ON DIVIDEND PAID ON 03/11/09 FOR RDSA RCD 02/06/09, PAY 03/11/09			-117 46
11/27/09	HUDSON CITY BANCORP INC	CASH DIV ON 3325 0000 SHS X/D 11/04/09	498 75		498 75
11/30/09	ROYAL DUTCH SHELL PLC ADR CL A	PAID ON 03/11/09 FOR RDSA RCD 02/06/09, PAY 03/11/09 TAX REFUND			101 00
Total qualified dividends credited to account					**$ 3,620 20**
FRGN tax withheld					101 00
Total qualified dividends earned			**$ 3,519 20**	**$ 0 00**	**$ 3,519 20**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 11/02/09 11/30/09 29 DAYS AVERAGE YIELD 07 %	$ 4 86		$ 4 86
Total earnings from money fund			**$ 4 86**	**$ 0 00**	**$ 4 86**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENCANA CORP CAD MorganStanley SmithBarney LLC acted as your agent in this transaction	08/25/08	11/11/09 Sold	210	$ 71 169	$ 58 033	$ 14,945 55	$ 12 186 66	($ 2 758 89)	LT
	08/26/08	11/11/09 Sold	40	72 981	58 033	2 919 25	2 321 27	(597 98)	LT
	09/02/08	11/11/09 Sold	200	70 695	58 033	14 139 02	11 606 34	(2 532 68)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENCANA CORP CAD MorganStanley SmithBarney LLC acted as your agent in this transaction	09/08/08	11/11/09 Sold	300	$ 68 148	$ 58 033	$ 20 444 58	$ 17 409 51	($ 3,035 07)	LT
	09/15/08	11/11/09 Sold	160	65 089	58 033	10 414 26	9 285 07	(1 129 19)	LT
ENCANA CORP CAD MorganStanley SmithBarney LLC acted as your agent in this transaction	09/15/08	11/12/09 Sold	140	65 089	57 213	9,112 47	8 009 71	(1,102 76)	LT
	Total		1 050			$ 71,975 13	$ 60,818 56	($ 11,156 57)	
NORFOLK SOUTHERN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/19/09	11/11/09 Sold	325	$ 31 825	$ 51 919	$ 10,343 35	$ 16 873 24	$ 6 529 89	ST
	03/24/09	11/11/09 Sold	300	33 198	51 919	9,959 67	15 575 30	5 615 63	ST
	03/27/09	11/11/09 Sold	20	34 874	51 919	697 50	1 038 35	340 85	ST
NORFOLK SOUTHERN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/27/09	11/12/09 Sold	100	34 874	52 085	3,487 48	5 208 45	1 720 97	ST
	03/30/09	11/12/09 Sold	150	33 439	52 085	5 015 91	7 812 67	2 796 76	ST
	04/03/09	11/12/09 Sold	250	37 403	52 085	9 350 80	13 021 11	3,670 31	ST
	Total		1,145			$ 38,854 71	$ 59,529 12	$ 20 674 41	
SUPERVALU INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/18/09	11/20/09 Sold	420	$ 17 694	$ 14 963	$ 7,431 69	$ 6 284 29	($ 1 147 40)	ST
SUPERVALU INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/18/09	11/23/09 Sold	210	17 694	15 035	3,715 85	3 157 27	(658 58)	ST
	02/19/09	11/23/09 Sold	525	17 877	15 035	9 364 58	7 893 17	(1,471 41)	ST
	02/24/09	11/23/09 Sold	140	17 638	15 035	2,469 38	2 104 85	(364 53)	ST
SUPERVALU INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/24/09	11/27/09 Sold	100	17 638	14 475	1,763 84	1,447 52	(316 32)	ST
	02/25/09	11/27/09 Sold	290	17 84	14 475	5,173 78	4,197 81	(975 97)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SUPERVALU INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/25/09	11/30/09 Sold	35	$ 17 84	$ 13 988	$ 624 42	$ 489 58	($ 134 84)	ST
	03/04/09	11/30/09 Sold	215	16 16	13 988	3 474 53	3,007 45	(467 08)	ST
	03/05/09	11/30/09 Sold	350	15 34	13 988	5,369 04	4 895 85	(473 19)	ST
	Total		2,285			$ 39,387 11	$ 33,477 79	($ 5,909 32)	
Total Long Term this period								($ 11,156 57)	
Total Short Term this period								$ 14,765 09	
Total realized gain or (loss) - this period						$ 150,216 95	$ 153,825 47	$ 3,608 52	
Total Long Term - year to-date								($ 595,726 34)	
Total Short Term year-to-date								($ 280,825 30)	
Total realized gain or (loss) year-to date						$ 1,954,713 05	$ 1,078,161.41	($ 876,551.64)	

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 7

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1631C-17 JD1

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
COVIDIEN LTD	09/12/08	01/20/09 Sold	56	$ 56 00	$ 38 566	$ 3,136 00	$ 2,159 73	($ 976 27)	ST
	09/16/08	01/20/09 Sold	32	55 646	38 566	1,780 68	1,234 13	(546 55)	ST
	Total		88			$ 4,916 68	$ 3,393 86	($ 1,522 82)	
WEATHERFORD INTL LTD NEW (BERMUDA)	11/30/07	01/20/09 Sold	141	$ 31 688	$ 9 779	$ 4,468 10	$ 1,378 90	($ 3,089 20)	LT
	12/21/07	01/20/09 Sold	84	34 097	9 779	2 864 15	821 47	(2 042 68)	LT
	12/24/07	01/20/09 Sold	234	34 67	9 779	8,112 83	2,288 39	(5,824 44)	LT
	01/28/08	01/20/09 Sold	224	32 443	9 779	7,267 44	2,190 59	(5,076 85)	ST
	06/02/08	01/20/09 Sold	115	44 896	9 779	5,163 07	1,124 64	(4,038 43)	ST
	07/11/08	01/20/09 Sold	27	41 135	9 779	1,110 66	264 04	(846 62)	ST
	07/22/08	01/20/09 Sold	31	39 413	9 779	1,221 82	303 17	(918 65)	ST
WEATHERFORD INTL LTD NEW (BERMUDA)	07/22/08	01/20/09 Sold	200	39 413	9 599	7 882 72	1,919 79	(5,962 93)	ST
	08/12/08	01/20/09 Sold	46	37 009	9 599	1,702 44	441 55	(1,260 89)	ST
	08/13/08	01/20/09 Sold	45	38 183	9 599	1 718 24	431 95	(1,286 29)	ST
	Total		1,147			$ 41,511 47	$ 11,164 49	($ 30,346 98)	
AON CORP	10/09/08	01/23/09 Sold	92	$ 42 20	$ 39 957	$ 3,882 46	$ 3,676 07	($ 206 39)	ST
	10/10/08	01/23/09 Sold	8	36 51	39 957	292 09	319 66	27 57	ST
AON CORP	10/10/08	01/23/09 Sold	87	36 51	39 771	3 176 43	3,460 10	283 67	ST
	10/14/08	01/23/09 Sold	5	40 057	39 771	200 29	198 86	(1 43)	ST

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AON CORP	10/14/08	01/26/09 Sold	50	$ 40 057	$ 39 351	$ 2 002 88	$ 1,967 55	($ 35 33)	ST
AON CORP	10/14/08	01/27/09 Sold	26	40 057	37 938	1,041 50	986 40	(55 10)	ST
	10/21/08	01/27/09 Sold	51	38 335	37 938	1,955 11	1,934 87	(20 24)	ST
	10/22/08	01/27/09 Sold	27	37 144	37 938	1,002 89	1,024 34	21 45	ST
AON CORP	10/22/08	01/27/09 Sold	18	37 144	38 18	668 60	687 25	18 65	ST
	10/23/08	01/27/09 Sold	45	35 066	38 18	1,578 00	1,718 12	140 12	ST
	10/24/08	01/27/09 Sold	19	34 945	38 18	663 97	725 42	61 45	ST
AON CORP	10/24/08	01/28/09 Sold	30	34 945	38 241	1 048 38	1,147 22	98 84	ST
	10/29/08	01/28/09 Sold	44	38 73	38 241	1,704 15	1,682 60	(21 55)	ST
	10/29/08	01/28/09 Sold	10	39 114	38 241	391 14	382 41	(8 73)	ST
AON CORP	10/29/08	01/29/09 Sold	33	39 114	38 182	1,290 78	1,260 01	(30 77)	ST
	10/31/08	01/29/09 Sold	49	41 797	38 182	2,048 06	1,870 93	(177 13)	ST
AON CORP	10/31/08	01/30/09 Sold	33	41 797	37 414	1,379 31	1,234 67	(144 64)	ST
	11/11/08	01/30/09 Sold	44	40 25	37 414	1,771 01	1,646 23	(124 78)	ST
	11/21/08	01/30/09 Sold	20	40 571	37 414	811 43	748 29	(63 14)	ST
AON CORP	11/21/08	01/30/09 Sold	48	40 571	37 022	1,947 43	1,777 07	(170 36)	ST
	12/02/08	01/30/09 Sold	18	43 692	37 022	786 46	666 40	(120 06)	ST
	12/03/08	01/30/09 Sold	27	43 511	37 022	1 174 80	999 61	(175 19)	ST
	Total		784			$ 30,817 17	$ 30,114 08	($ 703 09)	
APOLLO GROUP INC CL A	10/29/08	01/07/09 Sold	47	$ 65 783	$ 76 283	$ 3 091 83	$ 3,585 29	$ 493 46	ST

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
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36-6043160

SCHEDULE 8

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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WAL-MART STORES INC	05/07/08	01/21/09 Sold	54	$ 56 804	$ 48 847	$ 3,067 45	$ 2,637 74	($ 429 71)	ST
	05/08/08	01/21/09 Sold	29	57 388	48 847	1,664 26	1,416 56	(247 70)	ST
	Total		83			$ 4,731 71	$ 4,054 30	($ 677 41)	
Total Long Term this period								($ 20,182 00)	
Total Short Term this period								($ 59,910 81)	
Total realized gain or (loss) - this period						$ 220,029.72	$ 139,936 91	($ 80,092 81)	
Total Long Term - year to date								($ 20,182 00)	
Total Short Term - year-to-date								($ 59,910 81)	
Total realized gain or (loss) - year-to-date						$ 220,029.72	$ 139,936.91	($ 80,092 81)	

**Based on information supplied by client or other financial institution, not verified by us*

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RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PROCTER & GAMBLE CO	10/03/08	02/11/09 Sold	58	$ 70 948	$ 51 037	$ 4,115 02	$ 2,960 16	($ 1,154 86)	ST
	10/06/08	02/11/09 Sold	114	67 453	51 037	7,689 70	5,818 25	(1,871 45)	ST
	10/10/08	02/11/09 Sold	22	57 233	51 037	1,259 14	1,122 82	(136 32)	ST
	11/10/08	02/11/09 Sold	23	64 755	51 037	1 489 38	1,173 86	(315 52)	ST
	11/11/08	02/11/09 Sold	24	64 457	51 037	1 546 98	1,224 89	(322 09)	ST
	Total		329			$ 22,342 06	$ 16,791 27	($ 5,550 79)	
QUALCOMM INC	05/19/08	02/03/09 Sold	379	$ 47 771	$ 33 959	$ 18,105 47	$ 12,870 62	($ 5 234 85)	ST
	05/23/08	02/03/09 Sold	122	47 648	33 959	5 813 06	4,143 05	(1 670 01)	ST
	05/27/08	02/03/09 Sold	127	48 544	33 959	6,165 15	4,312 84	(1,852 31)	ST
	Total		628			$ 30,083 68	$ 21,326 51	($ 8,757 17)	
RESEARCH IN MOTION LTD CAD	01/16/09	02/02/09 Sold	45	$ 49 318	$ 55 687	$ 2,219 35	$ 2,505 92	$ 286 57	ST
RESEARCH IN MOTION LTD CAD	01/16/09	02/11/09 Sold	183	49 318	47 621	9,025 36	8,714 74	(310 62)	ST
	01/20/09	02/11/09 Sold	152	51 021	47 621	7,755 65	7,238 47	(517 18)	ST
	Total		380			$ 19,000 36	$ 18,459 13	($ 541 23)	
THERMO FISHER SCIENTIFIC INC	05/14/07	02/04/09 Sold	45	$ 53 408	$ 37 818	$ 2,403 40	$ 1,701 80	($ 701 60)	LT
	05/15/07	02/04/09 Sold	125	53 441	37 818	6,680 18	4,727 24	(1,952 94)	LT
THERMO FISHER SCIENTIFIC INC	05/15/07	02/04/09 Sold	82	53 441	37 171	4,382 19	3,048 07	(1,334 12)	LT
THERMO FISHER SCIENTIFIC INC	05/15/07	02/05/09 Sold	78	53 441	38 831	4,168 43	3 028 84	(1,139 59)	LT
	05/16/07	02/05/09 Sold	6	53 803	38 831	322 82	232 99	(89 83)	LT
	Total		336			$ 17,957 02	$ 12,738 94	($ 5,218 08)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
UNITEDHEALTH GROUP INC	02/12/09	02/26/09 Sold	172	$ 28 946	$ 20 315	$ 4,978 87	$ 3,494 26	($ 1,484 61) ST
Total Long Term this period								($ 34,187 92)
Total Short Term this period								($ 55,909 99)
Total realized gain or (loss) - this period						$ 312,461 97	$ 222,364 06	($ 90,097 91)
Total Long Term - year-to-date								($ 54,369 92)
Total Short Term - year-to-date								($ 115,820 80)
Total realized gain or (loss) - year-to-date						$ 532,481 69	$ 362,290 97	($ 170,190 72)

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE D

RICE FOUNDATION
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GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
COVIDIEN LTD	09/16/08	03/04/09 Sold	215	$ 55 646	$ 30 011	$ 11,963 91	$ 6,452 34	($ 5,511 57)	ST
	09/17/08	03/04/09 Sold	39	55 449	30 011	2,162 53	1,170 43	(992 10)	ST
COVIDIEN LTD	09/17/08	03/05/09 Sold	89	55 449	28 403	4,934 99	2,527 87	(2,407 12)	ST
	09/19/08	03/05/09 Sold	33	54 031	28 403	1 783 05	937 30	(845 75)	ST
COVIDIEN LTD	09/19/08	03/06/09 Sold	51	54 031	27 651	2,755 62	1,410 19	(1 345 43)	ST
	10/13/08	03/06/09 Sold	82	47 009	27 651	3,854 76	2,267 36	(1,587 40)	ST
	Total		**509**			**$ 27,454 86**	**$ 14,765 49**	**($ 12,689 37)**	
BEST BUY INC	02/13/09	03/23/09 Sold	85	$ 30 536	$ 33 191	$ 2,595 59	$ 2,821 27	$ 225 68	ST
	02/13/09	03/23/09 Sold	126	30 509	33 191	3,844 22	4,182 11	337 89	ST
	02/17/09	03/23/09 Sold	59	28 431	33 191	1 677 46	1 958 29	280 83	ST
	Total		**270**			**$ 8,117 27**	**$ 8,961 67**	**$ 844 40**	
COMPANHIA VALE DI RIO ADR DOCL	02/09/09	03/03/09 Sold	185	$ 16 875	$ 12 399	$ 3,121 95	$ 2,293 97	($ 827 98)	ST
	02/09/09	03/03/09 Sold	42	16 92	12 399	710 66	520 79	(189 87)	ST
COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/04/09 Sold	213	16 92	13 187	3 604 04	2,808 90	(795 14)	ST
COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/05/09 Sold	216	16 92	13 087	3,654 81	2,826 94	(827 87)	ST
COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/05/09 Sold	85	16 92	12 953	1,438 23	1 101 07	(337 16)	ST
	02/09/09	03/05/09 Sold	130	16 767	12 953	2 179 78	1,683 98	(495 80)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/06/09 Sold	55	$ 16 767	$ 12 537	$ 922 21	$ 689 55	($ 232 66)	ST
	02/10/09	03/06/09 Sold	197	15 975	12 537	3 147 17	2,469 83	(677 34)	ST
	02/20/09	03/06/09 Sold	210	13 541	12 537	2 843 63	2,632 81	(210 82)	ST
	Total		1,333			$ 21,622 48	$ 17,027 84	($ 4,594 64)	
EMC CORP MASS	10/27/08	03/04/09 Sold	72	$ 9 966	$ 10 601	$ 717 55	$ 763 32	$ 45 77	ST
	10/28/08	03/04/09 Sold	371	10 492	10 601	3,892 64	3,933 21	40 57	ST
	10/29/08	03/04/09 Sold	49	10 50	10 601	514 50	519 48	4 98	ST
EMC CORP MASS	10/29/08	03/20/09 Sold	264	10 50	11 499	2,772 00	3,035 82	263 82	ST
EMC CORP MASS	10/29/08	03/30/09 Sold	15	10 50	11 122	157 50	166 84	9 34	ST
	10/31/08	03/30/09 Sold	233	11 851	11 122	2,761 33	2,591 59	(169 74)	ST
	Total		1,004			$ 10 815 52	$ 11,010 26	$ 194 74	
GENENTECH INC	02/19/09	03/12/09 Sold	27	$ 85 062	$ 94 019	$ 2,296 67	$ 2,538 51	$ 241 84	ST
	02/20/09	03/12/09 Sold	29	85 001	94 019	2,465 04	2,726 55	261 51	ST
GENENTECH INC	02/20/09	03/18/09 Sold	42	85 001	93 682	3 570 05	3 934 62	364 57	ST
	03/04/09	03/18/09 Sold	69	82 117	93 682	5 666 10	6,464 03	797 93	ST
	Total		167			$ 13,997 86	$ 15,663 71	$ 1,665 85	
KOHLS CORP	08/08/08	03/12/09 Sold	71	$ 47 045	$ 38 234	$ 3,340 23	$ 2,714 62	($ 625 61)	ST
KOHLS CORP	08/08/08	03/17/09 Sold	76	47 045	39 04	3 575 46	2,967 04	(608 42)	ST
KOHLS CORP	08/08/08	03/18/09 Sold	76	47 045	41 069	3 575 46	3,121 23	(454 23)	ST
KOHLS CORP	08/08/08	03/26/09 Sold	30	47 045	42 811	1 411 36	1,284 34	(127 02)	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

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RICE FOUNDATION — Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
KOHLS CORP	08/08/08	03/31/09 Sold	54	$ 47 045	$ 42 726	$ 2 540 46	$ 2,307 24	($ 233 22)	ST
	08/11/08	03/31/09 Sold	19	51 335	42 726	975 37	811 80	(163 57)	ST
	Total		326			$ 15,418 34	$ 13,206 27	($ 2,212 07)	
MCDONALDS CORP	08/08/08	03/26/09 Sold	59	$ 65 772	$ 55 602	$ 3,880 59	$ 3,280 52	($ 600 07)	ST
METLIFE INC	08/01/07	03/04/09 Sold	83	60 351	14 206	5,009 16	1 179 16	(3,830 00)	LT
	08/01/07	03/04/09 Sold	118	61 335	14 206	7,237 64	1,676 39	(5,561 25)	LT
METLIFE INC	08/01/07	03/05/09 Sold	41	61 335	11 739	2 514 77	481 32	(2 033 45)	LT
	08/21/07	03/05/09 Sold	100	64 49	11 739	6 449 03	1 173 96	(5 275 07)	LT
	12/21/07	03/05/09 Sold	49	61 967	11 739	3,036 41	575 24	(2 461 17)	LT
METLIFE INC	12/21/07	03/18/09 Sold	113	61 967	24 329	7 002 33	2,749 20	(4,253 13)	LT
	12/24/07	03/18/09 Sold	91	62 96	24 329	5,729 37	2 213 95	(3,515 42)	LT
	01/14/08	03/18/09 Sold	82	59 94	24 329	4,915 10	1,994 99	(2,920 11)	LT
	Total		677			$ 41,893 81	$ 12,044 21	($ 29,849 60)	
NII HLDGS INC CLASS B NEW	03/30/07	03/02/09 Sold	108	$ 74 255	$ 11 121	$ 8,019 64	$ 1,201 08	($ 6,818 56)	LT
OCCIDENTAL PETROLEUM CORP-DEL	12/16/08	03/19/09 Sold	40	56 704	60 108	2,268 16	2,404 31	136 15	ST
	12/17/08	03/19/09 Sold	14	58 384	60 108	817 38	841 51	24 13	ST
OCCIDENTAL PETROLEUM CORP-DEL	12/17/08	03/19/09 Sold	29	58 384	59 432	1 693 14	1,723 53	30 39	ST
	12/17/08	03/19/09 Sold	12	58 238	59 432	698 86	713 18	14 32	ST
	Total		95			$ 5,477 54	$ 5,682 53	$ 204 99	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/12/08	03/04/09 Sold	332	$ 7 712	$ 8 064	$ 2 560 39	$ 2 677 43	$ 117 04	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Wells. Acct 8

Ref 00006035 00049515

WORKING WEALTH. AT SMITH BARNEY

Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/12/08	03/13/09 Sold	7	$ 7 712	$ 8 867	$ 53 98	$ 62 07	$ 8 09	ST
	12/15/08	03/13/09 Sold	191	7 632	8 867	1 457 79	1,693 75	235 96	ST
	12/16/08	03/13/09 Sold	119	8 013	8 867	953 60	1,055 28	101 68	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/16/08	03/18/09 Sold	34	8 013	9 00	272 46	305 99	33 53	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/16/08	03/24/09 Sold	114	8 013	9 174	913 54	1,045 88	132 34	ST
	12/17/08	03/24/09 Sold	218	8 208	9 174	1 789 37	2,000 03	210 66	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/17/08	03/26/09 Sold	135	8 208	9 09	1 108 09	1,227 22	119 13	ST
	12/17/08	03/26/09 Sold	142	8 218	9 09	1 166 96	1,290 86	123 90	ST
	Total		1,292			$ 10,276 18	$ 11,358 51	$ 1,082 33	
THERMO FISHER SCIENTIFIC INC	05/16/07	03/24/09 Sold	102	$ 53 803	$ 34 738	$ 5,487 93	$ 3,543 29	($ 1,944 64)	LT
THERMO FISHER SCIENTIFIC INC	05/16/07	03/24/09 Sold	73	53 803	34 716	3 927 63	2,534 31	(1,393 32)	LT
THERMO FISHER SCIENTIFIC INC	05/16/07	03/25/09 Sold	19	53 803	34 444	1 022 26	654 45	(367 81)	LT
	05/25/07	03/25/09 Sold	21	53 89	34 444	1,131 70	723 34	(408 36)	LT
	05/29/07	03/25/09 Sold	24	53 987	34 444	1,295 70	826 66	(469 04)	LT
	Total		239			$ 12,865 22	$ 8,282 05	($ 4,583 17)	
Total Long Term this period								($ 41,251 33)	
Total Short Term this period								($ 16,103 84)	
Total realized gain or (loss) - this period						$ 179,839 31	$ 122,484 14	($ 57,355 17)	
Total Long Term - year-to-date								($ 95,621 25)	
Total Short Term - year-to-date								($ 131,924 64)	
Total realized gain or (loss) - year-to-date						$ 712,321 00	$ 484,775 11	($ 227,545 89)	

citi smith barney

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
04/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 04/01/09 04/30/09 30 DAYS AVERAGE YIELD 49 %	$ 40 96		$ 40 96
Total earnings from money fund			**$ 40.96**	**$ 0 00**	**$ 40 96**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TRANSOCEAN LTD SWITZERLAND NEW	03/30/07 -	04/21/09 Sold	63	$ 81 898	$ 64 269	$ 5 159 60	$ 4,048 89	($ 1 110 71)	LT
TRANSOCEAN LTD SWITZERLAND NEW	03/30/07	04/22/09 Sold	51	81 898	64 87	4 176 82	3,308 30	(868 52)	LT
TRANSOCEAN LTD SWITZERLAND NEW	03/30/07	04/23/09 Sold	42	81 898	64 849	3 439 73	2,723 62	(716 11)	LT
	Total		**156**			**$ 12,776 15**	**$ 10,080 81**	**($ 2,695 34)**	
ABBOTT LABORATORIES	01/21/09	04/27/09 Sold	176	$ 52 136	$ 43 244	$ 9,176 10	$ 7,610 87	($ 1,565 23)	ST
ABBOTT LABORATORIES	01/21/09	04/30/09 Sold	122	52 136	41 512	6 360 70	5,064 43	(1,296 27)	ST
	02/06/09	04/30/09 Sold	113	56 985	41 512	6 439 36	4,690 82	(1 748 54)	ST
	02/06/09	04/30/09 Sold	38	57 007	41 512	2,166 27	1,577 45	(588 82)	ST
	02/27/09	04/30/09 Sold	113	47 838	41 512	5 405 74	4,690 82	(714 92)	ST
	03/02/09	04/30/09 Sold	64	47 13	41 512	3,016 33	2,656 75	(359 58)	ST
	Total		**628**			**$ 32,564 50**	**$ 26,291 14**	**($ 6,273 36)**	
APOLLO GROUP INC CL A	10/29/08	04/01/09 Sold	47	$ 66 588	$ 66 166	$ 3,129 64	$ 3,109 81	($ 19 83)	ST
	10/30/08	04/01/09 Sold	4	67 079	66 166	268 32	264 66	(3 66)	ST

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells - Sched 8

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
APOLLO GROUP INC CL A	10/30/08	04/02/09 Sold	32	$ 67 079	$ 68 99	$ 2,146 53	$ 2,207 67	$ 61 14	ST
	10/31/08	04/02/09 Sold	21	69 701	68 99	1 463 73	1,448 79	(14 94)	ST
APOLLO GROUP INC CL A	11/06/08	04/07/09 Sold	50	67 225	63 319	3 361 27	3,165 89	(195 38)	ST
	11/07/08	04/07/09 Sold	51	68 942	63 319	3 516 07	3 229 21	(286 86)	ST
	11/10/08	04/07/09 Sold	15	69 717	63 319	1 045 76	949 76	(96 00)	ST
APOLLO GROUP INC CL A	11/10/08	04/08/09 Sold	5	69 717	62 858	348 59	314 28	(34 31)	ST
	11/11/08	04/08/09 Sold	19	68 934	62 858	1 309 75	1 194 28	(115 47)	ST
	11/13/08	04/08/09 Sold	46	66 374	62 858	3 053 22	2 891 41	(161 81)	ST
	11/14/08	04/08/09 Sold	50	69 566	62 858	3,478 32	3,142 85	(335 47)	ST
	Total		340			$ 23,121 20	$ 21,918 61	($ 1,202 59)	
BEST BUY INC	02/17/09	04/29/09 Sold	144	$ 28 431	$ 37 805	$ 4 094 13	$ 5,443 79	$ 1 349 66	ST
	03/09/09	04/29/09 Sold	27	24 923	37 805	672 94	1 020 71	347 77	ST
BEST BUY INC	03/09/09	04/30/09 Sold	86	24 923	38 406	2 143 42	3 302 88	1 159 46	ST
	Total		257			$ 6,910 49	$ 9,767 38	$ 2,856 89	
BROADCOM CORP CL A	04/08/09	04/09/09 Sold	85	$ 21 904	$ 23 087	$ 1 861 88	$ 1,962 39	$ 100 51	ST
CME GROUP INC CLASS A	03/17/09	04/29/09 Sold	24	214 235	226 191	5 141 64	5,428 45	286 81	ST
	03/18/09	04/29/09 Sold	4	231 129	226 191	924 52	904 74	(19 78)	ST
CME GROUP INC CLASS A	03/18/09	04/29/09 Sold	15	231 129	224 856	3 466 94	3 372 75	(94 19)	ST
	03/19/09	04/29/09 Sold	19	232 817	224 856	4,423 54	4,272 16	(151 38)	ST
	03/19/09	04/29/09 Sold	34	233 802	224 856	7,949 27	7 644 91	(304 36)	ST
	Total		96			$ 21,905 91	$ 21,623 01	($ 282 90)	

SCHEDULE 8

Well - sched 8

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CISCO SYS INC	09/01/06	04/07/09 Sold	449	$ 21 97	$ 16 767	$ 9 864 53	$ 7,528 36	($ 2 336 17)*	LT
DIRECTV GROUP INC	01/25/08	04/07/09 Sold	268	22 064	23 741	5,913 15	6 362 58	449 43	LT
	01/28/08	04/07/09 Sold	169	22 524	23 741	3 806 59	4 012 23	205 64	LT
	Total		437			$ 9,719 74	$ 10,374 81	$ 655 07	
EMC CORP-MASS	10/31/08	04/15/09 Sold	128	$ 11 851	$ 12 438	$ 1,516 95	$ 1,592 04	$ 75 09	ST
	11/10/08	04/15/09 Sold	191	10 686	12 438	2,041 10	2,375 62	334 52	ST
	11/11/08	04/15/09 Sold	194	10 218	12 438	1,982 39	2,412 93	430 54	ST
	12/11/08	04/15/09 Sold	313	10 516	12 438	3 291 51	3,893 02	601 51	ST
	12/15/08	04/15/09 Sold	298	10 797	12 438	3,217 77	3,706 45	488 68	ST
	Total		1,124			$ 12,049 72	$ 13,980 06	$ 1,930 34	
GILEAD SCIENCES INC	03/30/07	04/15/09 Sold	139	$ 38 419	$ 44 20	$ 5 340 37	$ 6,143 67	$ 803 30	LT
GILEAD SCIENCES INC	03/30/07	04/16/09 Sold	141	38 419	44 487	5 417 21	6,272 58	855 37	LT
	Total		280			$ 10,757 58	$ 12,416 25	$ 1,658 67	
MCDONALDS CORP	08/08/08	04/15/09 Sold	33	$ 65 772	$ 54 029	$ 2 170 50	$ 1 782 91	($ 387 59)	ST
	08/11/08	04/15/09 Sold	27	66 461	54 029	1 794 46	1 458 75	(335 71)	ST
	Total		60			$ 3,964 96	$ 3,241 66	($ 723 30)	
NII HLDGS INC CLASS B NEW	03/30/07	04/06/09 Sold	208	$ 74 255	$ 14 325	$ 15 445 23	$ 2,979 75	($ 12 465 48)	LT
NII HLDGS INC CLASS B NEW	03/30/07	04/07/09 Sold	215	74 255	12 995	15,965 02	2,793 95	(13,171 07)	LT
NII HLDGS INC CLASS B NEW	03/30/07	04/15/09 Sold	222	74 255	15 01	16 484 81	3,332 29	(13,152 52)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Wells - Sched B

RICE FOUNDATION — Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NII HLDGS INC CLASS B NEW	03/30/07	04/17/09 Sold	4	$ 74 255	$ 14 999	$ 297 02	$ 60 00	($ 237 02)	LT
	07/27/07	04/17/09 Sold	72	82 103	14 999	5 911 48	1,079 93	(4 831 55)	LT
	10/10/07	04/17/09 Sold	190	71 171	14 999	13 522 57	2,849 80	(10 672 77)	LT
NII HLDGS INC CLASS B NEW	10/10/07	04/20/09 Sold	17	71 171	13 887	1,209 91	236 09	(973 82)	LT
	01/07/08	04/20/09 Sold	104	50 977	13 887	5 301 63	1,444 29	(3,857 34)	LT
	01/08/08	04/20/09 Sold	114	51 596	13 887	5,881 98	1,583 17	(4 298 81)	LT
	01/24/08	04/20/09 Sold	28	41 359	13 887	1 158 06	388 84	(769 22)	LT
NII HLDGS INC CLASS B NEW	01/24/08	04/20/09 Sold	87	41 359	13 763	3 598 26	1 197 41	(2,400 85)	LT
	01/25/08	04/20/09 Sold	78	39 981	13 763	3 118 58	1,073 54	(2 045 04)	LT
	01/28/08	04/20/09 Sold	55	41 178	13 763	2,264 81	756 98	(1 507 83)	LT
NII HLDGS INC CLASS B NEW	01/28/08	04/21/09 Sold	220	41 178	13 987	9 059 22	3 077 08	(5 982 14)	LT
	Total		1,614			$ 99,218 58	$ 22,853 12	($ 76,365 46)	
OCCIDENTAL PETROLEUM CORP-DEL	12/17/08	04/06/09 Sold	30	$ 58 238	$ 57 27	$ 1 747 16	$ 1 718 11	($ 29 05)	ST
	12/18/08	04/06/09 Sold	98	56 63	57 27	5,549 79	5,612 51	62 72	ST
	03/02/09	04/06/09 Sold	116	49 168	57 27	5,703 57	6,643 38	939 81	ST
	03/04/09	04/06/09 Sold	30	52 535	57 27	1 576 06	1,718 11	142 05	ST
OCCIDENTAL PETROLEUM CORP DEL	03/04/09	04/07/09 Sold	26	52 535	55 857	1,365 91	1,452 26	86 35	ST
	03/09/09	04/07/09 Sold	54	50 689	55 857	2,737 25	3,016 24	278 99	ST
	03/09/09	04/07/09 Sold	57	50 498	55 857	2,878 39	3,183 80	305 41	ST
	Total		411			$ 21,558 13	$ 23,344 41	$ 1,786 28	
PETROBRAS	11/04/08	04/21/09 Sold	98	$ 29 57	$ 32 534	$ 2,897 94	$ 3,188 31	$ 290 37	ST

SCHEDULE 8

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Wells - Pkhd 8

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PETROBRAS	11/04/08	04/22/09 Sold	98	$ 29 57	$ 32 597	$ 2,897 94	$ 3 194 49	$ 296 55	ST
PETROBRAS	11/04/08	04/23/09 Sold	73	29 57	32 872	2,158 67	2,399 66	240 99	ST
	11/05/08	04/23/09 Sold	22	29 289	32 872	644 37	723 18	78 81	ST
PETROBRAS	11/05/08	04/24/09 Sold	205	29 289	33 536	6 004 37	6,874 72	870 35	ST
	11/05/08	04/24/09 Sold	158	28 095	33 536	4 439 06	5,298 56	859 50	ST
PETROBRAS	11/05/08	04/27/09 Sold	87	28 095	32 629	2,444 29	2,838 69	394 40	ST
	11/06/08	04/27/09 Sold	243	24 926	32 629	6 057 24	7,928 76	1 871 52	ST
	11/10/08	04/27/09 Sold	3	26 869	32 629	80 61	97 89	17 28	ST
PETROBRAS	11/10/08	04/27/09 Sold	106	26 869	32 095	2 848 19	3 402 05	553 86	ST
	11/11/08	04/27/09 Sold	100	25 27	32 095	2 527 02	3 209 48	682 46	ST
	01/20/09	04/27/09 Sold	126	23 633	32 095	2 977 78	4 043 96	1,066 18	ST
	Total		1,319			$ 35,977 48	$ 43,199 75	$ 7,222 27	
PRAXAIR INC	07/03/08	04/02/09 Sold	48	$ 92 875	$ 69 911	$ 4 458 00	$ 3,355 71	($ 1,102 29)	ST
PRAXAIR INC	07/03/08	04/23/09 Sold	47	92 875	69 524	4,365 12	3,267 56	(1 097 56)	ST
PRAXAIR INC	07/03/08	04/24/09 Sold	45	92 875	72 131	4,179 38	3 245 83	(933 55)	ST
PRAXAIR INC	07/03/08	04/30/09 Sold	43	92 875	74 493	3,993 62	3 203 12	(790 50)	ST
	Total		183			$ 16,996 12	$ 13,072 22	($ 3,923 90)	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/17/08	04/23/09 Sold	503	$ 8 218	$ 9 15	$ 4,133 65	$ 4 602 33	$ 468 68	ST
	12/22/08	04/23/09 Sold	133	7 691	9 15	1,022 94	1,216 92	193 98	ST
	Total		636			$ 5,156 59	$ 5,819 25	$ 662 66	

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Statement 8

Wells - Sched 8

Ref 00005059 00042805

Smith Barney Reserved Client Statement

April 1 - April 30, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
THERMO FISHER SCIENTIFIC INC	05/29/07	04/22/09 Sold	-6	$ 53 987	$ 35 642	$ 323 92	$ 213 85	($ 110 07)	LT
	05/30/07	04/22/09 Sold	30	53 952	35 642	1,618 58	1,069 25	(549 33)	LT
	05/31/07	04/22/09 Sold	28	54 274	35 642	1,519 68	997 96	(521 72)	LT
	06/05/07	04/22/09 Sold	26	53 072	35 642	1 378 59	926 68	(451 91)	LT
	Total		90			$ 4 840 77	$ 3,207 74	($ 1,633 03)	
UNITEDHEALTH GROUP INC	02/12/09	04/08/09 Sold	51	$ 28 946	$ 22 636	$ 1,476 29	$ 1,154 42	($ 321 87)	ST
	02/13/09	04/08/09 Sold	219	29 094	22 636	6,371 65	4,957 20	(1,414 45)	ST
	02/17/09	04/08/09 Sold	67	28 114	22 636	1,883 64	1,516 58	(367 06)	ST
	Total		337			$ 9,731 58	$ 7,628 20	($ 2,103 38)	
Total Long Term this period								($ 80,716 26)	
Total Short Term this period								$ 49 52	
Total realized gain or (loss) - this period						$ 338,975 91	$ 258,309 17	($ 80,666 74)	
Total Long Term year to-date								($ 176,337 51)	
Total Short Term - year-to-date								($ 131,875 12)	
Total realized gain or (loss) year-to-date						$ 1,051,296 91	$ 743,084 28	($ 308,212 63)	

**Based on information supplied by client or other financial institution, not verified by us*

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account If you would like copies, please ask your Financial Advisor or Portfolio Manager

Please notify your Financial Advisor if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy, please contact your Financial Advisor

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RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AON CORP	03/03/09	05/01/09 Sold	75	$ 37 389	$ 36 183	$ 2,804 21	$ 2,713 70	($ 90 51)	ST
	03/04/09	05/01/09 Sold	73	37 349	36 183	2,726 51	2,641 33	(85 18)	ST
	03/05/09	05/01/09 Sold	76	38 727	36 183	2,943 32	2,749 88	(193 44)	ST
	03/05/09	05/01/09 Sold	152	38 639	36 183	5,873 22	5 499 76	(373 46)	ST
	03/09/09	05/01/09 Sold	56	38 839	36 183	2,174 98	2,026 24	(148 74)	ST
	Total		432			$ 16 522 24	$ 15,630 91	($ 891 33)	
ARCELORMITTAL NEW	04/30/09	05/08/09 Sold	287	$ 23 629	$ 28 418	$ 6,781 55	$ 8,155 86	$ 1,374 31	ST
	05/01/09	05/08/09 Sold	235	25 597	28 418	6,015 41	6 678 15	662 74	ST
ARCELORMITTAL NEW	05/01/09	05/11/09 Sold	36	25 597	27 236	921 51	980 48	58 97	ST
	05/01/09	05/11/09 Sold	279	25 668	27 236	7,161 57	7,598 70	437 13	ST
	05/04/09	05/11/09 Sold	67	27 553	27 236	1,846 08	1 824 78	(21 30)	ST
ARCELORMITTAL NEW	05/04/09	05/12/09 Sold	126	27 553	26 29	3,471 73	3,312 51	(159 22)	ST
	05/05/09	05/12/09 Sold	222	27 703	26 29	6,150 15	5 836 34	(313 81)	ST
	Total		1,252			$ 32,348 00	$ 34,386 82	$ 2 038 82	
BAXTER INTL INC	03/04/09	05/19/09 Sold	34	$ 52 943	$ 49 277	$ 1,800 06	$ 1 675 39	($ 124 67)	ST
BAXTER INTL INC	03/04/09	05/20/09 Sold	30	52 943	49 185	1,588 29	1,475 52	(112 77)	ST
	03/05/09	05/20/09 Sold	58	51 292	49 185	2 974 98	2,852 66	(122 32)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8 -

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Wells. Richards

Ref 00005174 00044429

WORKING WEALTH. AT SMITH BARNEY

Smith Barney Reserved Client Statement

May 1 - May 31, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BAXTER INTL INC	03/05/09	05/20/09 Sold	8	$ 51 292	$ 49 061	$ 410 34	$ 392 48	($ 17 86)	ST
	03/05/09	05/20/09 Sold	46	51 303	49 061	2,359 96	2 256 79	(103 17)	ST
BAXTER INTL INC	03/05/09	05/21/09 Sold	19	51 303	48 745	974 77	926 13	(48 64)	ST
	03/06/09	05/21/09 Sold	54	50 80	48 745	2,743 20	2,632 17	(111 03)	ST
	03/06/09	05/21/09 Sold	62	50 957	48 745	3,159 38	3 022 12	(137 26)	ST
	Total		311			$ 16,010 98	$ 15,233 26	($ 777 72)	
CF INDUSTRIES HOLDINGS INC	04/08/09	05/22/09 Sold	40	$ 71 194	$ 81 061	$ 2,847 76	$ 3,242 37	$ 394 61	ST
CF INDUSTRIES HOLDINGS INC	04/08/09	05/27/09 Sold	14	71 194	80 746	996 72	1,130 43	133 71	ST
	04/09/09	05/27/09 Sold	18	72 258	80 746	1,300 65	1 453 41	152 76	ST
	04/13/09	05/27/09 Sold	47	73 051	80 746	3,433 43	3,795 00	361 57	ST
CF INDUSTRIES HOLDINGS INC	04/13/09	05/28/09 Sold	16	73 051	78 355	1,168 83	1 253 65	84 82	ST
	04/14/09	05/28/09 Sold	26	73 895	78 355	1,921 29	2 037 17	115 88	ST
CF INDUSTRIES HOLDINGS INC	04/14/09	05/29/09 Sold	20	73 895	77 638	1,477 92	1 552 72	74 80	ST
	04/15/09	05/29/09 Sold	88	74 191	77 638	6,528 81	6 831 97	303 16	ST
	04/24/09	05/29/09 Sold	49	71 246	77 638	3,491 09	3 804 17	313 08	ST
	Total		318			$ 23,166 50	$ 25 100 89	$ 1,934 39	
EQUINIX INC	08/02/07	05/07/09 Sold	33	$ 89 089	$ 65 938	$ 2 939 96	$ 2 175 91	($ 764 05)	LT
EQUINIX INC	08/02/07	05/08/09 Sold	21	89 089	67 736	1,870 89	1,422 43	(448 46)	LT
	08/03/07	05/08/09 Sold	3	88 983	67 736	266 95	203 20	(63 75)	LT
	Total		57			$ 5,077 80	$ 3,801 54	($ 1,276 26)	

citi smith barney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells - Pebble 8



AT SMITH BARNEY

Smith Barney Reserved Client Statement

May 1 - May 31, 2009

Rel 00005174 00044430

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GOLDMAN SACHS GROUP INC	04/14/09	05/29/09 Sold	78	$ 119 922	$ 142 82	$ 9,353 96	$ 11,139 69	$ 1 785 73	ST
	04/16/09	05/29/09 Sold	28	121 553	142 82	3,403 51	3 998 86	595 35	ST
	04/20/09	05/29/09 Sold	27	117 162	142 82	3,163 39	3 856 05	692 66	ST
	04/23/09	05/29/09 Sold	27	119 645	142 82	3,230 42	3,856 05	625 63	ST
	04/29/09	05/29/09 Sold	28	126 345	142 82	3,537 68	3 998 86	461 18	ST
	05/04/09	05/29/09 Sold	26	131 768	142 82	3,425 97	3,713 24	287 27	ST
	Total		214			$ 26,114 93	$ 30 582 75	$ 4,447 82	
HEWLETT PACKARD CO	09/10/08	05/07/09 Sold	137	$ 46 779	$ 34 435	$ 6 408 83	$ 4,717 47	($ 1 691 36)	ST
	09/11/08	05/07/09 Sold	62	46 999	34 435	2,913 97	2,134 92	(779 05)	ST
	Total		199			$ 9,322 80	$ 6,852 39	($ 2,470 41)	
KOHLS CORP	08/11/08	05/05/09 Sold	223	$ 51 335	$ 43 979	$ 11,447 70	$ 9,807 15	($ 1 640 55)	ST
KOHLS CORP	08/11/08	05/06/09 Sold	57	51 335	43 622	2,926 10	2 486 44	(439 66)	ST
	08/12/08	05/06/09 Sold	131	49 728	43 622	6 514 41	5,714 45	(799 96)	ST
	08/12/08	05/06/09 Sold	146	48 966	43 622	7,149 14	6,368 78	(780 36)	ST
KOHLS CORP	08/12/08	05/07/09 Sold	34	48 966	44 60	1,664 87	1,516 38	(148 49)	ST
	11/10/08	05/07/09 Sold	86	33 409	44 60	2 873 23	3,835 54	962 31	ST
	11/11/08	05/07/09 Sold	87	30 343	44 60	2 639 91	3,880 14	1 240 23	ST
	01/21/09	05/07/09 Sold	95	36 878	44 60	3 503 41	4 236 94	733 53	ST
	Total		859			$ 38,718 77	$ 37,845 82	($ 872 95)	

citi smith barney

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION — Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
LOWES COMPANIES INC	02/11/09	05/18/09 Sold	104	$ 18 25	$ 19 822	$ 1 898 09	$ 2,061 47	$ 163 38	ST
	02/12/09	05/18/09 Sold	216	18 017	19 822	3 891 71	4,281 50	389 79	ST
	Total		320			$ 5,789 80	$ 6,342 97	$ 553 17	
MCDONALDS CORP	08/11/08	05/05/09 Sold	292	$ 66 461	$ 53 333	$ 19 406 76	$ 15 572 88	($ 3 833 88)	ST
MCDONALDS CORP	08/11/08	05/06/09 Sold	1	66 461	53 836	66 46	53 83	(12 63)	ST
	08/28/08	05/06/09 Sold	127	62 828	53 836	7,979 24	6,837 04	(1 142 20)	ST
	09/25/08	05/06/09 Sold	61	62 409	53 836	3 806 96	3,283 93	(523 03)	ST
	10/08/08	05/06/09 Sold	55	55 296	53 836	3,041 30	2,960 92	(80 38)	ST
	10/10/08	05/06/09 Sold	41	50 159	53 836	2,056 53	2 207 25	150 72	ST
	Total		577			$ 36,357 25	$ 30,915 85	($ 5,441 40)	
RESEARCH IN MOTION LTD CAD	04/09/09	05/07/09 Sold	104	$ 64 131	$ 72 923	$ 6 669 69	$ 7,583 84	$ 914 15	ST
	04/13/09	05/07/09 Sold	101	64 376	72 923	6 502 02	7 365 07	863 05	ST
	04/14/09	05/07/09 Sold	98	64 108	72 923	6,282 61	7,146 31	863 70	ST
	Total		303			$ 19,454 32	$ 22,095 22	$ 2,640 90	
STATE STREET CORP	04/28/08	05/05/09 Sold	97	$ 72 486	$ 35 078	$ 7 031 20	$ 3,402 48	($ 3 628 72)	LT
	05/01/08	05/05/09 Sold	79	74 828	35 078	5 911 42	2,771 09	(3,140 33)	LT
	06/11/08	05/05/09 Sold	76	68 535	35 078	5,208 72	2,665 86	(2,542 86)	ST
STATE STREET CORP	06/11/08	05/06/09 Sold	93	68 535	38 028	6,373 83	3 536 53	(2,837 30)	ST
	04/21/09	05/06/09 Sold	152	34 832	38 028	5 294 49	5,780 14	485 65	ST
	Total		497			$ 29,819 66	$ 18,158 10	($ 11,663 56)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8 - 1

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
06/18/09	MICROSOFT CORP	CASH DIV ON 3039 0000 SHS X/D 05/19/09	$ 395 07		$ 395 07
06/25/09	GOLDMAN SACHS GROUP INC	CASH DIV ON 214 0000 SHS X/D 05/21/09	74 90		74 90
06/25/09	SUNCOR ENERGY INC	FOREIGN TAX W/HELD $ 8 03 CASH DIV ON 1242 0000 SHS TAX HELD BY FGN GOVTS 8 03 X/D 06/02/09	53 50		45 47
06/26/09	BANK OF AMERICA CORP	CASH DIV ON 3236 0000 SHS X/D 06/03/09	32 36		32 36
06/26/09	QUALCOMM INC	CASH DIV ON 1202 0000 SHS X/D 05/27/09	204 34		204 34
Total qualified dividends credited to account					$ 1,856 48
FRGN tax withheld					30 79
Total qualified dividends earned			$ 1,887.27	$ 0 00	$ 1,887.27

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
06/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 06/01/09-06/30/09 30 DAYS AVERAGE YIELD 23 %	$ 6 31		$ 6 31
Total earnings from money fund			$ 6.31	$ 0 00	$ 6.31

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMERICAN TOWER CORP-CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/07	06/05/09 Sold	155	$ 38 691	$ 30 43	$ 5,997 20	$ 4,716 66	($ 1,280 54) LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN TOWER CORP-CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/07	06/08/09 Sold	155	$ 38 691	$ 29 574	$ 5,997 20	$ 4,583 97	($ 1,413 23)	LT
	Total		310			$ 11,994 40	$ 9,300 83	($ 2,693 77)	
CATERPILLAR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	06/30/09 Sold	92	$ 37 147	$ 33 079	$ 3,417 55	$ 3,043 20	($ 374 35)	ST
	05/04/09	06/30/09 Sold	85	38 17	33 079	3,244 51	2,811 65	(432 86)	ST
	05/06/09	06/30/09 Sold	141	39 655	33 079	5,591 47	4,664 02	(927 45)	ST
	Total		318			$ 12,253 53	$ 10,518 87	($ 1,734 66)	
DIRECTV GROUP INC CGMI AND/OR ITS AFFILIATES	01/28/08	06/01/09 Sold	185	$ 22 524	$ 22 985	$ 4,166 98	$ 4,252 17	$ 85 19	LT
	01/29/08	06/01/09 Sold	111	22 776	22 985	2,528 17	2,551 30	23 13	LT
	Total		296			$ 6,695 15	$ 6,803 47	$ 108 32	
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/03/07	06/22/09 Sold	19	$ 88 983	$ 69 009	$ 1,690 69	$ 1,311 13	($ 379 56)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/03/07	06/23/09 Sold	19	88 983	68 076	1,690 69	1,293 41	(397 28)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/03/07	06/23/09 Sold	26	88 983	68 027	2,313 58	1,768 65	(544 93)	LT
	08/06/07	06/23/09 Sold	5	87 73	68 027	438 65	340 13	(98 52)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/06/07	06/24/09 Sold	44	87 73	68 964	3,860 16	3,034 37	(825 79)	LT
	08/07/07	06/24/09 Sold	19	88 953	68 964	1,690 11	1,310 29	(379 82)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/07/07	06/25/09 Sold	30	88 953	69 811	2,668 59	2,094 29	(574 30)	LT
	08/08/07	06/25/09 Sold	28	97 219	69 811	2,722 14	1,954 68	(767 46)	LT

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CAPITAL GAINS & LOSSES

SCHEDULE 8

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/08/07	06/25/09 Sold	17	$ 97 219	$ 69 617	$ 1,652 72	$ 1,183 47	($ 469 25)	LT
	08/09/07	06/25/09 Sold	32	97 501	69 617	3,120 04	2,227 70	(892.34)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/09/07	06/26/09 Sold	30	97 501	69 652	2,925 04	2,089 52	(835 52)	LT
	09/21/07	06/26/09 Sold	38	84 763	69 652	3,221 01	2,646 73	(574 28)	LT
EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/21/07	06/29/09 Sold	13	84 763	69 013	1,101 92	897 15	(204 77)	LT
	12/20/07	06/29/09 Sold	16	97 105	69 013	1,553 69	1,104 18	(449 51)	LT
	12/21/07	06/29/09 Sold	20	102 791	69 013	2,055 84	1,380 22	(675 62)	LT
	Total		356			$ 32,704 87	$ 24,635 92	($ 8,068 95)	
INTL BUSINESS MACHINES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/08	06/08/09 Sold	73	$ 124 751	$ 106 084	$ 9,106 86	$ 7,743 99	($ 1,362 87)	LT
LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/12/09	06/17/09 Sold	98	18 017	18 727	1,765 69	1,835 23	69 54	ST
	02/12/09	06/17/09 Sold	314	18 041	18 727	5,665 06	5,880 22	215 16	ST
	02/13/09	06/17/09 Sold	13	18 017	18 727	234 23	243 44	9 21	ST
LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/13/09	06/17/09 Sold	303	18 017	19 161	5,459 30	5,805 78	346 48	ST
	03/19/09	06/17/09 Sold	176	17 482	19 161	3,076 83	3,372 34	295 51	ST
	03/19/09	06/17/09 Sold	91	17 56	19 161	1,598 02	1,743 65	145 63	ST
LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/19/09	06/18/09 Sold	229	17 56	18 619	4,021 40	4,263 84	242 44	ST
	03/25/09	06/18/09 Sold	38	18 415	18 619	699 77	707 54	7 77	ST

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CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
LOWES COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/28/09	06/18/09 Sold	148	$ 21 361	$ 18 619	$ 3,161 43	$ 2,755 67	($ 405 76)	ST
	04/29/09	06/18/09 Sold	154	21 589	18 619	3,324 77	2,867 39	(457 38)	ST
	Total		1,564			$ 29,006 50	$ 29,475 10	$ 468 60	
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/03/09	06/16/09 Sold	142	$ 18 434	$ 23 649	$ 2,617 69	$ 3,358 15	$ 740 46	ST
NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/12/08	06/03/09 Sold	53	69 097	36 785	3,662 18	1,949 58	(1,712 60)	ST
	08/13/08	06/03/09 Sold	24	71 952	36 785	1,726 87	882 83	(844 04)	ST
	08/14/08	06/03/09 Sold	6	70 881	36 785	425 29	220 71	(204 58)	ST
NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/14/08	06/04/09 Sold	33	70 881	39 197	2,339 10	1,293 47	(1 045 63)	ST
	08/21/08	06/04/09 Sold	53	78 026	39 197	4,135 38	2,077 39	(2,057 99)	ST
NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/21/08	06/05/09 Sold	71	78 026	38 287	5,539 84	2,718 30	(2,821 54)	ST
	08/22/08	06/05/09 Sold	57	76 191	38 287	4,342 91	2,182 30	(2,160 61)	ST
	08/25/08	06/05/09 Sold	48	74 622	38 287	3,581 87	1,837 73	(1,744 14)	ST
NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/25/08	06/09/09 Sold	65	74 622	38 312	4,850 45	2,490 21	(2 360 24)	ST
	09/18/08	06/09/09 Sold	160	50 325	38 312	8,052 13	6,129 76	(1,922 37)	ST
	10/13/08	06/09/09 Sold	16	27 757	38 312	444 12	612 98	168 86	ST
NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/13/08	06/10/09 Sold	17	27 757	37 755	471 87	641 82	169 95	ST
	01/20/09	06/10/09 Sold	126	23 356	37 755	2,942 89	4,757 04	1 814 15	ST
	03/09/09	06/10/09 Sold	115	26 294	37 755	3,023 88	4 341 75	1,317 87	ST
	Total		844			$ 45,538 78	$ 32,135 87	($ 13,402 91)	

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CAPITAL GAINS & LOSSES
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RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/16/08	06/10/09 Sold	149	$ 16 933	$ 20 536	$ 2,523 05	$ 3,059 89	$ 536 84	ST
	12/17/08	06/10/09 Sold	201	16 872	20 536	3,391 32	4,127 77	736 45	ST
	Total		350			$ 5,914 37	$ 7,187 66	$ 1,273 29	
TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09	06/02/09 Sold	66	$ 21 336	$ 24 675	$ 1,408 23	$ 1,628 52	$ 220 29	ST
UNITED PARCEL SERVICE CL B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/03/09	06/16/09 Sold	62	52 283	48 535	3,241 60	3,009 11	(232 49)	ST
	04/06/09	06/16/09 Sold	1	51 963	48 535	51 96	48 53	(3 43)	ST
UNITED PARCEL SERVICE CL B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	06/17/09 Sold	62	51 963	47 811	3 221 73	2,964 23	(257 50)	ST
	04/15/09	06/17/09 Sold	54	53 872	47 811	2,909 09	2,581 75	(327 34)	ST
UNITED PARCEL SERVICE CL B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/15/09	06/17/09 Sold	6	53 872	48 305	323 23	289 83	(33 40)	ST
	04/20/09	06/17/09 Sold	63	53 272	48 305	3,356 14	3,043 17	(312 97)	ST
	04/21/09	06/17/09 Sold	23	54 683	48 305	1,257 71	1,111 00	(146 71)	ST
UNITED PARCEL SERVICE CL B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/21/09	06/18/09 Sold	35	54 683	48 195	1,913 91	1,686 81	(227 10)	ST
	04/23/09	06/18/09 Sold	58	52 886	48 195	3,067 42	2,795 29	(272 13)	ST
	Total		384			$ 19,342 79	$ 17,529 72	($ 1,813 07)	
WAL-MART STORES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/08	06/02/09 Sold	168	$ 57 388	$ 50 212	$ 9,641 24	$ 8,435 40	($ 1,205 84)	LT
	05/09/08	06/02/09 Sold	198	57 331	50 212	11,351 60	9,941 72	(1,409 88)	LT
	06/03/08	06/02/09 Sold	65	57 903	50 212	3,763 75	3,263 69	(500 06)	ST
WAL MART STORES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/03/08	06/02/09 Sold	58	57 903	49 974	3,358 43	2,898 42	(460 01)	ST
	09/17/08	06/02/09 Sold	78	60 373	49 974	4,709 16	3,897 88	(811 28)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION *Account number 383-1631C-17 JD1*

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge **Taxable** *and* **non-taxable** *designations refer to the federal income tax status of your securities, not of your account*

Qualified dividends

Date	Description	Comment	Taxable	Non-taxable	Amount
07/01/09	HEWLETT PACKARD CO	CASH DIV ON 1050 0000 SHS X/D 06/08/09	$ 84 00		$ 84 00
07/02/09	FLUOR CORP NEW	CASH DIV ON 612 0000 SHS X/D 06/03/09	76 50		76 50
07/08/09	PETROBRAS	FOREIGN TAX W/HELD $ 73 95 CASH DIV ON 1193 0000 SHS RECORD 12/31/08 PAY 07/01/09 TAX HELD BY FGN GOVTS 73 95 X/D 12/29/08	481 07		407 12
Total qualified dividends credited to account					**$ 567 62**
FRGN tax withheld					73 95
Total qualified dividends earned			**$ 641 57**	**$ 0.00**	**$ 641.57**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
07/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 07/01/09 08/02/09 33 DAYS AVERAGE YIELD 06 %	$ 1 43		$ 1 43
Total earnings from money fund			**$ 1 43**	**$ 0 00**	**$ 1.43**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
WEATHERFORD INTERNATIONAL LTD CHI Morgan Stanley Smi th Barney LLC acted as yo	04/24/09	07/24/09 Sold	233	$ 17 35	$ 19 256	$ 4,042 69	$ 4,486 57	$ 443 88 ST

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NOBLE CORPORATION CHF Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	07/13/09 Sold	103	$ 34 252	$ 29 167	$ 3,528 05	$ 3,004 18	($ 523 87)	ST
	05/29/09	07/13/09 Sold	59	34 249	29 167	2 020 73	1 720 84	(299 89)	ST
NOBLE CORPORATION CHF Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	07/14/09 Sold	3	34 249	29 763	102 75	89 29	(13 46)	ST
	06/01/09	07/14/09 Sold	72	36 488	29 763	2,627 14	2,142 90	(484 24)	ST
	06/02/09	07/14/09 Sold	132	36 624	29 763	4,834 36	3,928 65	(905 71)	ST
NOBLE CORPORATION-CHF Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/02/09	07/15/09 Sold	9	36 624	30 588	329 62	275 29	(54 33)	ST
	06/02/09	07/15/09 Sold	94	37 014	30 588	3,479 36	2,875 25	(604 11)	ST
	06/03/09	07/15/09 Sold	190	34 816	30 588	6,615 06	5 811 67	(803 39)	ST
	06/03/09	07/15/09 Sold	163	35 072	30 588	5 716 78	4 985 79	(730 99)	ST
	Total		825			$ 29,253 85	$ 24,833 86	($ 4,419 99)	
TRANSOCEAN LTD SWIT/LRLAND NEW Morgan Stanley Smi th Barney LLC acted as yo	03/30/07	07/24/09 Sold	53	$ 81 898	$ 81 296	$ 4,340 62	$ 4,308 62	($ 32 00)	LT
AMERICAN TOWER CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/07	07/20/09 Sold	114	38 691	32 389	4 410 84	3,692 35	(718 49)	LT
AMERICAN TOWER CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/07	07/21/09 Sold	189	38 691	32 03	7 312 72	6 053 59	(1,259 13)	LT
AMERICAN TOWER CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/07	07/21/09 Sold	186	38 691	32 133	7,196 64	5,976 59	(1,220 05)	LT
	01/24/08	07/21/09 Sold	6	37 494	32 133	224 97	192 79	(32 18)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN TOWER CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/24/08	07/24/09 Sold	78	$ 37 494	$ 33 356	$ 2,924 60	$ 2,601 75	($ 322 85)	LT
	01/25/08	07/24/09 Sold	27	36 334	33 356	981 02	900 61	(80 41)	LT
AMERICAN TOWER CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/25/08	07/27/09 Sold	122	36 334	33 336	4,432 75	4 066 89	(365 86)	LT
	Total		722			$ 27,483 64	$ 23,484 67	($ 3,998 97)	
CATERPILLAR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/06/09	07/01/09 Sold	143	$ 39 655	$ 33 953	$ 5,670 78	$ 4,855 23	($ 815 55)	ST
	05/13/09	07/01/09 Sold	91	36 05	33 953	3 280 55	3 089 69	(190 86)	ST
	05/29/09	07/01/09 Sold	85	35 176	33 953	2 990 03	2 885 97	(104 06)	ST
	Total		319			$ 11,941 36	$ 10,830 89	($ 1,110 47)	
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/09	07/24/09 Sold	250	$ 5 389	$ 6 749	$ 1,347 43	$ 1,687 33	$ 339 90	ST
	04/29/09	07/24/09 Sold	251	5 37	6 749	1,348 05	1,694 08	346 03	ST
	04/30/09	07/24/09 Sold	273	5 838	6 749	1,593 99	1 842 56	248 57	ST
	Total		774			$ 4,289 47	$ 5,223 97	$ 934 50	
INTL BUSINESS MACHINES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/08	07/24/09 Sold	34	$ 124 751	$ 117 361	$ 4,241 55	$ 3 990 19	($ 251 36)	LT
	05/13/08	07/24/09 Sold	70	126 20	117 361	8,834 05	8,215 09	(618 96)	LT
	Total		104			$ 13,075 60	$ 12,205 28	($ 870 32)	
MASTERCARD INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/05/09	07/24/09 Sold	23	$ 181 076	$ 185 181	$ 4,164 75	$ 4 259 06	$ 94 31	ST
	05/06/09	07/24/09 Sold	70	183 284	185 181	12,829 92	12,962 37	132 45	ST
	05/28/09	07/24/09 Sold	20	171 499	185 181	3 430 00	3,703 54	273 54	ST
	Total		113			$ 20,424 67	$ 20,924 97	$ 500 30	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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Wells. Acct 8

MorganStanley SmithBarney

Ref 00009482 00070213

Reserved Client Statement

July 1 - July 31, 2009

RICE FOUNDATION — Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NOBLE ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	07/24/09 Sold	96	$ 59 396	$ 59 867	$ 5,702 08	$ 5,747 12	$ 45 04	ST
NUCOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/01/09	07/24/09 Sold	155	46 75	44 73	7,246 33	6,933 08	(313 25)	ST
	06/02/09	07/24/09 Sold	143	47 474	44 73	6,788 84	6 396 33	(392 51)	ST
	06/02/09	07/24/09 Sold	74	47 353	44 73	3,504 17	3,309 99	(194 18)	ST
	06/03/09	07/24/09 Sold	25	44 49	44 73	1,112 26	1,118 23	5 97	ST
	Total		397			$ 18,651 60	$ 17,757 63	($ 893 97)	
ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/17/08	07/20/09 Sold	97	$ 16 872	$ 21 47	$ 1,636 60	$ 2,082 56	$ 445 96	ST
	12/17/08	07/20/09 Sold	313	16 867	21 47	5,279 40	6,720 00	1 440 60	ST
	12/19/08	07/20/09 Sold	313	17 825	21 47	5 579 26	6,719 99	1,140 73	ST
	Total		723			$ 12,495 26	$ 15,522.55	$ 3,027 29	
PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/03/08	07/15/09 Sold	69	$ 92 875	$ 71 867	$ 6 408 38	$ 4,958 73	($ 1,449 65)	LT
	07/18/08	07/15/09 Sold	1	91 17	71 867	91 17	71 87	(19 30)	ST
PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/18/08	07/16/09 Sold	43	91 17	72 018	3,920 35	3 096 70	(823 65)	ST
PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/18/08	07/16/09 Sold	12	91 17	71 882	1,094 05	862 56	(231 49)	ST
	07/23/08	07/16/09 Sold	57	95 387	71 882	5,437 07	4,097 18	(1,339 89)	ST
	08/06/08	07/16/09 Sold	27	91 144	71 882	2,460 89	1,940 78	(520 11)	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells - Acct 8

MorganStanley SmithBarney

Ref 00000482 00070214

Reserved Client Statement

July 1 - July 31, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PRAXAIR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/06/08	07/17/09 Sold	30	$ 91 144	$ 71 099	$ 2,734 32	$ 2,132 93	($ 601 39)	ST
	10/06/08	07/17/09 Sold	62	68 845	71 099	4 268 45	4,408 05	139 60	ST
	02/26/09	07/17/09 Sold	52	59 706	71 099	3,104 75	3,697 08	592 33	ST
	Total		353			$ 29 519 43	$ 25,265 88	($ 4,253 55)	
SUNCOR ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/14/09	07/24/09 Sold	179	$ 29 777	$ 32 771	$ 5,330 19	$ 5,866 02	$ 535 83	ST
	05/15/09	07/24/09 Sold	170	28 574	32 771	4,857 65	5,571 08	713 43	ST
	05/15/09	07/24/09 Sold	100	28 61	32 771	2 861 01	3 277 10	416 09	ST
SUNCOR ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/15/09	07/27/09 Sold	74	28 61	32 772	2 117 15	2,425 09	307 94	ST
	05/18/09	07/27/09 Sold	56	30 196	32 772	1,690 98	1,835 21	144 23	ST
	Total		579			$ 16,856 98	$ 18,974 50	$ 2,117 52	
TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09	07/24/09 Sold	133	$ 21 336	$ 27 628	$ 2 837 79	$ 3,674 51	$ 836 72	ST
	04/16/09	07/24/09 Sold	160	22 883	27 628	3,661 33	4 420 46	759 13	ST
TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/16/09	07/27/09 Sold	78	22 883	27 537	1 784 90	2,147 88	362 98	ST
	04/20/09	07/27/09 Sold	33	21 889	27 537	722 35	908 72	186 37	ST
	04/21/09	07/27/09 Sold	136	22 035	27 537	2,996 79	3,745 03	748 24	ST
	04/29/09	07/27/09 Sold	24	22 32	27 537	535 68	660 89	125 21	ST
TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/09	07/28/09 Sold	116	22 32	27 299	2 589 13	3 166 60	577 47	ST
	05/12/09	07/28/09 Sold	83	23 667	27 299	1,964 41	2 265 76	301 35	ST
	05/21/09	07/28/09 Sold	64	22 999	27 299	1,471 99	1 747 09	275 10	ST
	Total		827			$ 18,564 37	$ 22,736 94	$ 4,172 57	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
UNITED TECHNOLOGIES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/17/09	07/24/09 Sold	62	$ 54 894	$ 51 996	$ 3,403 43	$ 3,223 67	($ 179 76)	ST
	06/18/09	07/24/09 Sold	62	55 225	51 996	3,424 01	3 223 67	(200 34)	ST
	06/19/09	07/24/09 Sold	98	54 403	51 996	5,331 49	5,095 47	(236 02)	ST
UNITED TECHNOLOGIES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/19/09	07/27/09 Sold	26	54 403	51 973	1,414 48	1,351 27	(63 21)	ST
	06/19/09	07/27/09 Sold	79	54 241	51 973	4,285 11	4,105 77	(179 34)	ST
	07/13/09	07/27/09 Sold	61	51 295	51 973	3,129 00	3,170 28	41 28	ST
	07/14/09	07/27/09 Sold	53	51 422	51 973	2,725 37	2,754 51	29 14	ST
	Total		441			$ 23,712 89	$ 22,924 64	($ 788 25)	
YUM BRANDS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/05/09	07/24/09 Sold	163	$ 35 045	$ 33 678	$ 5,712 48	$ 5,489 43	($ 223 05)	ST
Total Long Term this period								($ 6,350 94)	
Total Short Term this period								$ 1,001 47	
Total realized gain or (loss) - this period						$ 248,068 89	$ 240,717 42	($ 5,349 47)	
Total Long Term - year-to-date								($ 205,366 75)	
Total Short Term - year-to-date								($ 153,161 44)	
Total realized gain or (loss) - year-to-date						$ 1,814,871 93	$ 1,456,343 74	($ 358,528 19)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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Wells - Sched 8

MorganStanley SmithBarney

Ref 00000083 00053224

Reserved Client Statement

June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WAL-MART STORES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/10/08	06/02/09 Sold	111	$ 54 957	$ 49 974	$ 6,100 23	$ 5,546 98	($ 553 25)	ST
	11/11/08	06/02/09 Sold	109	53 724	49 974	5,855 97	5,447 04	(408 93)	ST
	02/17/09	06/02/09 Sold	70	48 114	49 974	3,368 03	3,498 10	130 07	ST
	Total		857			$ 48,148 41	$ 42,929 23	($ 5,219 18)	
Total Long Term this period								($ 14,632 99)	
Total Short Term this period								($ 16,851 46)	
Total realized gain or (loss) - this period						$ 224,731.58	$ 193,247.13	($ 31,484 45)	
Total Long Term year-to-date								($ 199,015 81)	
Total Short Term - year-to-date								($ 154,162 91)	
Total realized gain or (loss) - year-to date						$ 1,568,805.04	$ 1,215,626.32	($ 353,178.72)	

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PART IV
CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HEWLETT PACKARD CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/16/08	08/28/09 Sold	27	$ 48 654	$ 44 764	$ 1,313 68	$ 1,208 61	($ 105 07)	ST
	09/17/08	08/28/09 Sold	57	47 023	44 764	2 680 35	2,551 50	(128 85)	ST
	Total		**361**			**$ 17,135 40**	**$ 15,495 51**	**($ 1,639 89)**	
INTL BUSINESS MACHINES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/08	08/06/09 Sold	26	$ 126 20	$ 117 784	$ 3,281 22	$ 3,062 32	($ 218 90)	LT
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/03/09	08/06/09 Sold	205	18 434	23 475	3,779 05	4,812 31	1,033 26	ST
	02/04/09	08/06/09 Sold	331	18 812	23 475	6,226 77	7,770 12	1,543 35	ST
	02/04/09	08/06/09 Sold	125	18 776	23 475	2,347 01	2,934 34	587 33	ST
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/04/09	08/06/09 Sold	214	18 776	23 483	4,018 09	5,025 34	1,007 25	ST
	02/05/09	08/06/09 Sold	372	18 956	23 483	7,051 82	8,735 63	1,683 81	ST
	02/11/09	08/06/09 Sold	78	19 171	23 483	1 495 40	1,831 66	336 26	ST
	Total		**1,325**			**$ 24,918 14**	**$ 31,109 40**	**$ 6,191 26**	
ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/19/08	08/06/09 Sold	24	$ 17 825	$ 21 156	$ 427 80	$ 507 74	$ 79 94	ST
	12/22/08	08/06/09 Sold	339	17 374	21 156	5,890 02	7,171 77	1,281 75	ST
	03/02/09	08/06/09 Sold	182	15 269	21 156	2,779 12	3 850 32	1 071 20	ST
ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/10/09	08/06/09 Sold	198	15 007	21 18	2,971 50	4,193 71	1,222 21	ST
	03/19/09	08/06/09 Sold	170	17 806	21 18	3,027 11	3,600 66	573 55	ST
	03/30/09	08/06/09 Sold	187	17 518	21 18	3,275 87	3 960 72	684 85	ST
	Total		**1,100**			**$ 18,371 42**	**$ 23,284 92**	**$ 4,913.50**	

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CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells - Sched 8

Ref 00009007 00066924

MorganStanley SmithBarney

Reserved Client Statement
August 1 - August 31, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PEABODY ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/24/09	08/28/09 Sold	53	$ 34 123	$ 33 689	$ 1,808 57	$ 1,785 51	($ 23 06)	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR CASH IN LIEU OF 00956 RECORD 07/17/09 PAY 08/14/09	12/22/08	08/14/09 Cash in lieu	0011	7 653		01	01	0 00	
	12/23/08	08/14/09 Cash in lieu	0019	7 565		01	02	01	ST
	12/23/08	08/14/09 Cash in lieu	0017	7 506		01	02	01	ST
	01/08/09	08/14/09 Cash in lieu	0016	7 459		01	02	01	ST
	02/06/09	08/14/09 Cash in lieu	0008	8 699		01	01	0 00	
	02/09/09	08/14/09 Cash in lieu	0024	8 362		01	01	0 00	
	Total		0095			$ 06	$ 09	$ 03	
Total Long Term this period								$ 2,453 45	
Total Short Term this period								$ 10,339 00	
Total realized gain or (loss) - this period						$ 121,334 34	$ 134,126.79	$ 12,792 45	
Total Long Term - year to-date								($ 202,913 30)	
Total Short Term - year-to-date								($ 142,822 44)	
Total realized gain or (loss) - year-to-date						$ 1,936,206 27	$ 1,590,470.53	($ 345,735.74)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WEATHERFORD INTERNATIONAL LTD -CHF Morgan Stanley Smi th Barney LLC acted as yo	04/24/09	09/21/09 Sold	171	$ 17 35	$ 22 335	$ 2 966 95	$ 3,819 18	$ 852 23	ST
	04/27/09	09/21/09 Sold	21	16 497	22 335	346 46	469 02	122 56	ST
WEATHERFORD INTERNATIONAL LTD CHF Morgan Stanley Smi th Barney LLC acted as yo	04/27/09	09/22/09 Sold	327	16 497	22 671	5 394 81	7 413 26	2 018 45	ST
	04/28/09	09/22/09 Sold	413	16 037	22 671	6 621 51	9 362 92	2 741 41	ST
	05/01/09	09/22/09 Sold	196	17 007	22 671	3,332 51	4 443 42	1,110 91	ST
	Total		1,128			$ 18,662 24	$ 25,507 80	$ 6,845 56	
TYCO ELECTRONICS LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/22/09	09/16/09 Sold	5	$ 19 196	$ 23 601	$ 95 98	$ 118 01	$ 22 03	ST
	06/23/09	09/16/09 Sold	142	18 503	23 601	2 627 44	3,351 36	723 92	ST
	06/23/09	09/16/09 Sold	22	18 585	23 601	408 88	519 22	110 34	ST
TYCO ELECTRONICS LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/23/09	09/17/09 Sold	34	18 585	23 60	631 91	802 37	170 46	ST
TYCO ELECTRONICS LTD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/23/09	09/18/09 Sold	82	18 585	23 60	1,524 01	1 935 15	411 14	ST
	06/24/09	09/18/09 Sold	39	18 389	23 60	717 20	920 37	203 17	ST
	Total		324			$ 6 005 42	$ 7,646 48	$ 1 641 06	
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	09/11/09 Sold	522	$ 5 775	$ 7 346	$ 3 014 55	$ 3 834 83	$ 820 28	ST

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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/01/09	09/15/09 Sold	309	$ 5 775	$ 7 278	$ 1 784 48	$ 2 249 03	$ 464 55	ST
	05/13/09	09/15/09 Sold	312	4 909	7 278	1 531 83	2 270 86	739 03	ST
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	09/16/09 Sold	556	4 909	7 202	2,729 79	4,004 48	1,274 69	ST
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	09/16/09 Sold	629	4 909	7 15	3 088 20	4 497 42	1,409 22	ST
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	09/17/09 Sold	955	4 909	6 939	4,688 76	6 627 23	1 938 47	ST
FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	09/18/09 Sold	975	4 909	6 964	4 786 96	6,790 01	2 003 05	ST
	Total		4,258			$ 21,624 57	$ 30,273 86	$ 8,649 29	
FREEPORT MCMORAN COPPER & GOLD CL B Morgan Stanley Smi th Barney LLC acted as yo	07/15/09	09/21/09 Sold	52	$ 51 15	$ 70 094	$ 2,659 82	$ 3 644 82	$ 985 00	ST
MORGAN STANLEY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/19/09	09/15/09 Sold	117	28 925	28 93	3 384 31	3 384 77	46	ST
	05/20/09	09/15/09 Sold	227	28 46	28 93	6 460 56	6 567 03	106 47	ST
	05/20/09	09/15/09 Sold	127	28 422	28 93	3 609 63	3 674 06	64 43	ST
MORGAN STANLEY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/20/09	09/16/09 Sold	218	28 422	29 498	6 196 06	6,430 46	234 40	ST
	05/21/09	09/16/09 Sold	269	28 056	29 498	7 547 17	7 934 84	387 67	ST
	Total		958			$ 27,197 73	$ 27,991 18	$ 793 43	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PEABODY ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/24/09	09/18/09 Sold	57	$ 34 123	$ 38 742	$ 1,945 06	$ 2,208 24	$ 263 18	ST
	07/27/09	09/18/09 Sold	102	34 199	38 742	3 488 35	3 951 59	463 24	ST
	07/27/09	09/18/09 Sold	18	34 241	38 742	616 35	697 34	80 99	ST
PEABODY ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/27/09	09/21/09 Sold	67	34 241	37 986	2 294 19	2 545 05	250 86	ST
	07/28/09	09/21/09 Sold	90	33 471	37 986	3 012 43	3 418 73	406 30	ST
PEABODY ENERGY CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/28/09	09/22/09 Sold	37	33 471	40 317	1 238 44	1 491 72	253 28	ST
	07/29/09	09/22/09 Sold	115	32 203	40 317	3 703 40	4 636,43	933 03	ST
	07/29/09	09/22/09 Sold	37	32 334	40 317	1 196 37	1 491 73	295 36	ST
	Total		523			$ 17 494 59	$ 20,440 83	$ 2,946 24	
QUALCOMM INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/27/08	09/18/09 Sold	126	$ 48 544	$ 44 552	$ 6 116 61	$ 5 613 49	($ 503 12)	LT
	07/02/08	09/18/09 Sold	23	45 913	44 552	1 056 02	1 024 68	(31 34)	LT
	Total		149			$ 7 172 63	$ 6 638 17	($ 534 46)	
Total Long Term this period								($ 534 46)	
Total Short Term this period								$ 21,860 58	
Total realized gain or (loss) - this period						$ 100,817 00	$ 122,143 12	$ 21,326 12	
Total Long Term - year-to-date								($ 203 447 76)	
Total Short Term - year-to-date								($ 120,961 86)	
Total realized gain or (loss) - year-to-date						$ 2,037,023 27	$ 1,712,613 65	($ 324,409 62)	

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account If you would like a copy, please contact your Financial Advisor

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CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
10/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 10/01/09-11/01/09 32 DAYS AVERAGE YIELD 06 %	$ 2 97		$ 2 97
Total earnings from money fund			**$ 2 97**	**$ 0 00**	**$ 2 97**

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INVESCO LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/09	10/20/09 Sold	196	$ 17 882	$ 23 387	$ 3,504 99	$ 4,583 77	$ 1 078 78	ST
	06/11/09	10/20/09 Sold	118	18 501	23 387	2,183 23	2 759 62	576 39	ST
INVESCO LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/09	10/21/09 Sold	76	18 501	23 087	1,406 14	1,754 60	348 46	ST
	06/12/09	10/21/09 Sold	183	18 404	23 087	3,367 99	4,224 90	856 91	ST
	06/15/09	10/21/09 Sold	32	18 107	23 087	579 45	738 78	159 33	ST
	Total		605			$ 11,041 80	$ 14,061 67	$ 3,019 87	
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09	10/12/09 Sold	68	$ 18 389	$ 22 494	$ 1,250 50	$ 1,529 61	$ 279 11	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09	10/13/09 Sold	102	18 389	22 556	1,875 75	2,300 69	424 94	ST
	Total		170			$ 3,126 25	$ 3,830 30	$ 704 05	

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/02/09	10/06/09 Sold	84	$ 84 524	$ 89 807	$ 7,100 03	$ 7,543 67	$ 443 64	ST
	06/03/09	10/06/09 Sold	35	84 508	89 807	2 957 79	3,143 19	185 40	ST
AMAZON COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09	10/12/09 Sold	41	84 508	93 279	3,464 84	3,824 36	359 52	ST
	Total		160			$ 13,522 66	$ 14,511 22	$ 988 56	
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	10/20/09 Sold	127	$ 26 946	$ 35 362	$ 3,422 18	$ 4,490 92	$ 1,068 74	ST
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	10/23/09 Sold	1	26 946	34 827	26 95	34 83	7 88	ST
	07/17/09	10/23/09 Sold	120	27 307	34 827	3,276 91	4,179 21	902 30	ST
	07/17/09	10/23/09 Sold	124	27 731	34 827	3,438 69	4,318 52	879 83	ST
	07/17/09	10/23/09 Sold	100	28 073	34 827	2,807 39	3,482 68	675 29	ST
	07/24/09	10/23/09 Sold	116	29 482	34 827	3,419 94	4,039 91	619 97	ST
	Total		588			$ 16,392 08	$ 20,548 07	$ 4,154 01	
BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09	10/20/09 Sold	482	$ 12 578	$ 17 068	$ 6 062 64	$ 8,226 94	$ 2,164 30	ST
CISCO SYS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/01/06	10/20/09 Sold	311	21 97	24 035	6,832 67	7 474 72	642 05*	LT
DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/28/09	10/20/09 Sold	26	21 75	26 738	565 52	695 19	129 67	ST

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/28/09	10/21/09 Sold	297	$ 21 75	$ 26 477	$ 6,459 96	$ 7,863 55	$ 1,403 59	ST
DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/28/09	10/21/09 Sold	119	21 75	25 776	2,588 33	3,067 28	478 95	ST
	08/31/09	10/21/09 Sold	182	21 322	25 776	3 880 62	4,691 13	810 51	ST
	Total		624			$ 13 494 43	$ 16,317 15	$ 2,822 72	
EMC CORP MASS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	10/20/09 Sold	290	$ 15 187	$ 17 697	$ 4 404 32	$ 5,132 22	$ 727 90	ST
LMC CORP MASS MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	10/21/09 Sold	263	15 187	17 783	3,994 26	4,676 93	682 67	ST
	08/07/09	10/21/09 Sold	184	15 261	17 783	2,808 02	3,272 08	464 06	ST
	Total		737			$ 11,206 60	$ 13,081 23	$ 1,874 63	
FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	03/09/09	10/06/09 Sold	87	$ 33 808	$ 48 057	$ 2,941 37	$ 4,180 93	$ 1,239 56	ST
	03/10/09	10/06/09 Sold	81	37 289	48 057	3,020 47	3,892 59	872 12	ST
	03/10/09	10/06/09 Sold	172	37 282	48 057	6 412 61	8 265 74	1,853 13	ST
	04/23/09	10/06/09 Sold	33	38 835	48 057	1,281 57	1,585 87	304 30	ST
FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/09	10/07/09 Sold	28	38 835	48 247	1,087 40	1,350 90	263 50	ST
	05/12/09	10/07/09 Sold	76	45 52	48 247	3 459 58	3 666 72	207 14	ST
	06/01/09	10/07/09 Sold	67	50 529	48 247	3,385 48	3,232 50	(152 98)	ST
	06/02/09	10/07/09 Sold	33	50 521	48 247	1,667 19	1,592 13	(75 06)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FLUOR CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	06/02/09	10/08/09 Sold	35	$ 50 521	$ 49 165	$ 1,768 24	$ 1,720 74	($ 47 50)	ST
	08/06/09	10/08/09 Sold	40	56 161	49 165	2,246 46	1,966 56	(279 90)	ST
	08/07/09	10/08/09 Sold	87	58 198	49 165	5 063 27	4,277 28	(785 99)	ST
	Total		739			$ 32,333 64	$ 35,731 96	$ 3,398 32	
GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	10/23/09 Sold	106	$ 38 419	$ 43 929	$ 4 072 51	$ 4,656 38	$ 583 87	LT
GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	10/30/09 Sold	208	38 419	42 648	7,991 35	8 870 72	879 37	LT
	07/09/07	10/30/09 Sold	25	39 434	42 648	985 85	1,066 19	80 34	LT
	Total		339			$ 13,049 71	$ 14,593 29	$ 1,543 58	
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	08/12/08	10/05/09 Sold	3	$ 500 585	$ 489 483	$ 1,501 76	$ 1,468 41	($ 33 35)	LT
	09/17/08	10/05/09 Sold	10	417 684	489 483	4,176 85	4 894 71	717 86	LT
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	09/17/08	10/12/09 Sold	10	417 684	520 226	4,176 85	5,202 13	1,025 28	LT
	Total		23			$ 9,855 46	$ 11,565 25	$ 1,709 79	
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	09/17/08	10/05/09 Sold	48	$ 47 023	$ 46 018	$ 2,257 14	$ 2 208 81	($ 48 33)	LT
	10/14/08	10/05/09 Sold	94	40 774	46 018	3,832 78	4,325 60	492 82	ST
	Total		142			$ 6,089 92	$ 6,534 41	$ 444 49	
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	10/12/09 Sold	198	$ 15 141	$ 20 275	$ 2,998 02	$ 4,014 46	$ 1,016 44	ST
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	10/15/09 Sold	235	15 141	20 643	3,558 25	4,851 16	1,292 91	ST

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MorganStanley SmithBarney

Ref 00009163 00069986

Reserved Client Statement

October 1 - October 31, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	10/20/09 Sold	547	$ 15 141	$ 20 147	$ 8,282 40	$ 11,020 50	$ 2,738 10	ST
	05/13/09	10/20/09 Sold	12	15 20	20 147	182 41	241 77	59 36	ST
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/09	10/21/09 Sold	328	15 20	20 202	4,985 86	6,626 34	1,640 48	ST
	05/28/09	10/21/09 Sold	60	15 659	20 202	939 56	1,212 14	272 58	ST
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/09	10/21/09 Sold	400	15 659	20 009	6,263 76	8,003 51	1,739 75	ST
INTEL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/28/09	10/21/09 Sold	400	15 659	20 066	6 263 76	8,026 43	1,762 67	ST
	Total		2,180			$ 33,474 02	$ 43,996 31	$ 10,522 29	
INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/08	10/23/09 Sold	86	$ 126 20	$ 121 041	$ 10,853 26	$ 10,409 28	($ 443 98)	LT
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/06/09	10/21/09 Sold	42	59 396	71 965	2 494 66	3 022 47	527 81	ST
	04/07/09	10/21/09 Sold	34	58 187	71 965	1 978 38	2,446 77	468 39	ST
NOBLE ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/09	10/21/09 Sold	74	58 187	71 607	4 305 90	5,298 82	992 92	ST
	Total		150			$ 8,778 94	$ 10,768 06	$ 1,989 12	
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09	10/01/09 Sold	24	$ 44 49	$ 45 307	$ 1 067 77	$ 1,087 34	$ 19 57	ST
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09	10/02/09 Sold	96	44 49	44 645	4 271 06	4 285 81	14 75	ST
	06/03/09	10/02/09 Sold	8	44 474	44 645	355 80	357 15	1 35	ST

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/03/09	10/05/09 Sold	95	$ 44 474	$ 44 886	$ 4,225 07	$ 4,264 13	$ 39 06	ST
	06/04/09	10/05/09 Sold	19	46 217	44 886	878 14	852 83	(25 31)	ST
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/04/09	10/06/09 Sold	58	46 217	45 089	2,680 64	2,615 09	(65 55)	ST
	06/05/09	10/06/09 Sold	3	47 293	45 089	141 88	135 26	(6 62)	ST
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/05/09	10/08/09 Sold	73	47 293	45 362	3,452 41	3,311 33	(141 08)	ST
	06/05/09	10/08/09 Sold	66	47 383	45 362	3,127 31	2,993 81	(133 50)	ST
	06/08/09	10/08/09 Sold	8	46 007	45 362	368 06	362 89	(5 17)	ST
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/08/09	10/08/09 Sold	58	46 007	45 477	2,668 46	2,637 61	(30 85)	ST
	06/16/09	10/08/09 Sold	150	46 952	45 477	7,042 89	6,821 40	(221 49)	ST
	08/06/09	10/08/09 Sold	59	48 703	45 477	2,873 48	2,683 08	(190 40)	ST
NUCOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	10/09/09 Sold	17	48 703	44 927	827 95	763 75	(64 20)	ST
	08/07/09	10/09/09 Sold	156	49 291	44 927	7,689 40	7,008 51	(680 89)	ST
	Total		890			$ 41,670 32	$ 40,179 99	($ 1,490 33)	
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/08	10/01/09 Sold	96	$ 45 913	$ 42 927	$ 4,407 73	$ 4,120 88	($ 286 85)	LT
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/08	10/02/09 Sold	5	45 913	41 692	229 57	208 46	(21 11)	LT
	07/09/08	10/02/09 Sold	116	47 687	41 692	5 531 72	4,836 21	(695 51)	LT
	07/24/08	10/02/09 Sold	52	53 149	41 692	2,763 75	2,167 96	(595 79)	LT

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
QUALCOMM INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/08	10/20/09 Sold	66	$ 53 149	$ 41 253	$ 3,507 83	$ 2,722 67	($ 785 16)	LT
	07/25/08	10/20/09 Sold	100	54 275	41 253	5,427 58	4 125 25	(1,302 33)	LT
	09/11/08	10/20/09 Sold	103	48 539	41 253	4,999 56	4,249 01	(750 55)	LT
	09/22/08	10/20/09 Sold	11	47 272	41 253	520 00	453 78	(66 22)	LT
	Total		**549**			**$ 27,387 74**	**$ 22,884 22**	**($ 4,503 52)**	
ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/23/09	10/06/09 Sold	82	$ 36 825	$ 34 00	$ 3,019 71	$ 2,787 94	($ 231 77)	ST
	02/23/09	10/06/09 Sold	81	36 753	34 00	2,977 00	2,753 94	(223 06)	ST
	02/24/09	10/06/09 Sold	83	36 669	34 00	3,043 60	2,821 93	(221 67)	ST
	02/27/09	10/06/09 Sold	58	33 424	34 00	1,938 61	1,971 95	33 34	ST
ST JUDE MEDICAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/27/09	10/07/09 Sold	22	33 424	33 166	735 33	729 64	(5 69)	ST
	04/22/09	10/07/09 Sold	126	36 00	33 166	4 536 00	4 178 82	(357 18)	ST
	06/18/09	10/07/09 Sold	117	40 784	33 166	4,771 79	3,880 33	(891 46)	ST
	06/19/09	10/07/09 Sold	104	40 321	33 166	4,193 38	3,449 18	(744 20)	ST
	Total		**673**			**$ 25,215 42**	**$ 22,573 73**	**($ 2,641 69)**	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	12/22/08	10/12/09 Sold	235	$ 7 653	$ 9 90	$ 1,798 46	$ 2,326 60	$ 528 14	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	12/22/08	10/13/09 Sold	44 3888	7 653	9 981	339 71	443 04	103 33	ST
	12/23/08	10/13/09 Sold	481 3929	7 565	9 981	3,642 16	4,804 70	1,162 54	ST
	12/23/08	10/13/09 Sold	1 2183	7 506	9 981	9 15	12 16	3 01	ST

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	12/23/08	10/15/09 Sold	402	$ 7 506	$ 10 076	$ 3,017 76	$ 4,050 72	$ 1,032 96	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	12/23/08	10/16/09 Sold	40 9898	7 506	9 741	307 70	399 29	91 59	ST
	01/08/09	10/16/09 Sold	414 0582	7 459	9 741	3,088 84	4,033 40	944 56	ST
	02/06/09	10/16/09 Sold	152 952	8 699	9 741	1,330 57	1,489 92	159 35	ST
TAIWAN SEMICONDUCTOR MFG CO LTD ADR MorganStanley SmithBarney LLC acted as your agent	02/06/09	10/19/09 Sold	39 0021	8 699	9 928	339 29	387 20	47 91	ST
	02/09/09	10/19/09 Sold	209 0391	8 362	9 928	1 748 17	2,075 29	327 12	ST
	02/09/09	10/19/09 Sold	393 9588	8 374	9 928	3,299 19	3 911 12	611 93	ST
	Total		2,414			$ 18,921 00	$ 23,933 44	$ 5,012 44	
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	10/15/09 Sold	164	$ 21 418	$ 23 216	$ 3 512 63	$ 3 807 40	$ 294 77	ST
	06/17/09	10/15/09 Sold	155	21 60	23 216	3 348 14	3,598 46	250 32	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	10/16/09 Sold	9	21 60	22 669	194 41	204 02	9 61	ST
	06/18/09	10/16/09 Sold	148	21 255	22 669	3,145 86	3 355 00	209 14	ST
	06/18/09	10/16/09 Sold	159	21 321	22 669	3,390 17	3 604 36	214 19	ST
	06/19/09	10/16/09 Sold	164	21 663	22 669	3,552 78	3,717 70	164 92	ST
	07/20/09	10/16/09 Sold	48	23 382	22 669	1,122 35	1,088 11	(34 24)	ST
TEXAS INSTRUMENTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/20/09	10/19/09 Sold	100	23 382	23 342	2,338 22	2,334 22	(4 00)	ST
	07/20/09	10/19/09 Sold	434	23 487	23 342	10,193 62	10,130 51	(63 11)	ST
	Total		1,381			$ 30,798 18	$ 31,839 78	$ 1,041 60	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Wells Attach 8

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
YUM BRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/05/09	10/30/09 Sold	78	$ 35 045	$ 33 135	$ 2,733 58	$ 2,584 46	($ 149 12)	ST
	05/06/09	10/30/09 Sold	220	34 919	33 135	7,682 36	7,289 51	(392 85)	ST
	Total		298			$ 10,415 94	$ 9,873 97	($ 541 97)	
Total Long Term this period								($ 1,100 41)	
Total Short Term this period								$ 33,510 74	
Total realized gain or (loss) - this period						$ 350,522 66	$ 382,932 99	$ 32,410 33	
Total Long Term - year-to-date								($ 204,548 17)	
Total Short Term - year-to-date								($ 87,451 12)	
Total realized gain or (loss) - year-to-date						$ 2,387,545 93	$ 2,095,546 64	($ 291,999 29)	

**Based on information supplied by client or other financial institution not verified by us*

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation, investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

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RICE FOUNDATION
FORM 990-PF 2009
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells Add 8

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
11/10/09	AMERICAN EXPRESS CO	CASH DIV ON 1724 0000 SHS X/D 09/30/09	$ 310 32		$ 310 32
11/11/09	NUCOR CORP	CASH DIV ON 890 0000 SHS X/D 09/28/09	311 50		311 50
11/23/09	NOBLE ENERGY INC	CASH DIV ON 336 0000 SHS X/D 11/05/09	60 48		60 48
11/24/09	TYCO INTL LTD CHF	CASH DIV ON 1239 0000 SHS X/D 10/28/09	280 26		280 26
11/27/09	PEABODY ENERGY CORP	CASH DIV ON 693 0000 SHS X/D 11/03/09	48 51		48 51
Total qualified dividends earned			**$ 1,284 20**	**$ 0 00**	**$ 1,284 20**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 11/02/09 11/30/09 29 DAYS AVERAGE YIELD 07 %	$ 1 99		$ 1 99
Total earnings from money fund			**$ 1 99**	**$ 0 00**	**$ 1 99**

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Sale lots have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INGERSOLL RAND PUBLIC LTD CO MorganStanley SmithBarney LLC acted as your agent	07/27/09	11/23/09 Sold	123	$ 27 226	$ 36 296	$ 3,348 81	$ 4,464 40	$ 1 115 59	ST
INGERSOLL RAND PUBLIC LTD CO	07/27/09	11/24/09 Sold	9	27 226	35 505	245 04	319 54	74 50	ST
MorganStanley SmithBarney LLC acted as your agent	07/28/09	11/24/09 Sold	93	27 726	35 505	2,578 54	3 301 87	723 33	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INGERSOLL RAND PUBLIC LTD CO MorganStanley SmithBarney LLC acted as your agent	07/28/09	11/25/09 Sold	41	$ 27 726	$ 35 906	$ 1,136 77	$ 1,472 11	$ 335 34	ST
	07/29/09	11/25/09 Sold	59	27 671	35 906	1,632 61	2 118 40	485 79	ST
INGERSOLL RAND PUBLIC LTD CO MorganStanley SmithBarney LLC acted as your agent	07/29/09	11/27/09 Sold	65	27 671	35 242	1,798 63	2 290 70	492 07	ST
	07/30/09	11/27/09 Sold	76	28 788	35 242	2,187 89	2 678 35	490 46	ST
	Total		466			$ 12,928 29	$ 16,645 37	$ 3,717 08	
INVESCO LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/15/09	11/05/09 Sold	148	$ 18 107	$ 22 864	$ 2,679 95	$ 3,383 82	$ 703 87	ST
	06/16/09	11/05/09 Sold	6	17 479	22 864	104 88	137 18	32 30	ST
	Total		154			$ 2,784 83	$ 3,521 00	$ 736 17	
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09	11/04/09 Sold	8	$ 18 389	$ 22 75	$ 147 12	$ 181 99	$ 34 87	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/24/09	11/05/09 Sold	47	18 389	22 994	864 31	1,080 72	216 41	ST
	06/24/09	11/05/09 Sold	71	18 211	22 994	1,293 03	1 632 57	339 54	ST
	06/25/09	11/05/09 Sold	54	18 365	22 994	991 75	1 241 67	249 92	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/25/09	11/05/09 Sold	139	18 365	22 982	2,552 85	3,194 53	641 68	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/25/09	11/06/09 Sold	56	18 365	22 908	1,028 48	1 282 81	254 33	ST
	06/25/09	11/06/09 Sold	85	18 437	22 908	1,567 19	1,947 13	379 94	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	06/25/09	11/06/09 Sold	100	18 437	22 767	1,843 75	2,276 73	432 98	ST
	07/02/09	11/06/09 Sold	72	17 994	22 767	1,295 57	1 639 24	343 67	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Wells - Pshp

Morgan Stanley SmithBarney

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Client Statement
November 1 - November 30, 2009

RICE FOUNDATION Account number 383-1631C-17 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/09/09 Sold	158	$ 17 994	$ 22 812	$ 2,843 07	$ 3,604 30	$ 761 23	ST
	07/07/09	11/09/09 Sold	10	16 833	22 812	168 33	228 12	59 79	ST
TYCO ELECTRONICS LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09	11/10/09 Sold	71	16 833	23 003	1,195 14	1,633 23	438 09	ST
	07/24/09	11/10/09 Sold	131	20 407	23 003	2,673 37	3,013 42	340 05	ST
	07/27/09	11/10/09 Sold	145	20 634	23 003	2 992 00	3,335 46	343 46	ST
	Total		1,147			$ 21,455 96	$ 26,291 92	$ 4,835 96	
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/09	11/05/09 Sold	103	$ 19 718	$ 34 332	$ 2 031 00	$ 3,536 18	$ 1 505 18	ST
TYCO INTL LTD CHF MorganStanley SmithBarney LLC acted as your agent in this transaction	03/17/09	11/06/09 Sold	107	19 718	34 15	2,109 87	3,653 99	1 544 12	ST
	Total		210			$ 4,140 87	$ 7,190 17	$ 3,049 30	
AMERICAN EXPRESS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/24/09	11/05/09 Sold	81	$ 29 482	$ 37 627	$ 2,388 06	$ 3,047 72	$ 659 66	ST
APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	11/11/09 Sold	2	93 418	204 00	186 84	407 98	221 14	LT
CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	11/05/09 Sold	303	34 14	28 684	10,344 42	8 691 26	(1 653 16)	LT
CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	11/05/09 Sold	296	34 14	28 768	10,105 44	8 515 26	(1 590 18)	LT

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CVS CAREMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/30/07	11/06/09 Sold	491	$ 34 14	$ 29 404	$ 16,762 74	$ 14 437 18	($ 2 325 56)	LT
	04/21/09	11/06/09 Sold	107	30 488	29 404	3 262 24	3 146 19	(116 05)	ST
	Total		1,197			$ 40,474 84	$ 34,789 89	($ 5,684 95)	
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/15/09	11/12/09 Sold	4	$ 51 15	$ 80 941	$ 204 60	$ 323 76	$ 119 16	ST
	07/16/09	11/12/09 Sold	43	52 501	80 941	2 257 56	3 480 38	1,222 82	ST
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/16/09	11/13/09 Sold	10	52 501	81 719	525 01	817 17	292 16	ST
	07/17/09	11/13/09 Sold	36	55 604	81 719	2 001 77	2,941 80	940 03	ST
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/17/09	11/13/09 Sold	52	55 604	81 437	2,891 45	4,234 64	1,343 19	ST
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/17/09	11/16/09 Sold	54	55 604	83 817	3 002 66	4 526 00	1,523 34	ST
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/17/09	11/30/09 Sold	10	55 604	82 676	556 05	826 74	270 69	ST
	07/24/09	11/30/09 Sold	44	59 432	82 676	2 615 04	3 637 65	1 022 61	ST
	07/27/09	11/30/09 Sold	55	59 876	82 676	3 293 22	4 547 06	1 253 84	ST
	Total		308			$ 17,347 36	$ 25,335 20	$ 7,987 84	
GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/09/07	11/02/09 Sold	102	$ 39 434	$ 42 693	$ 4 022 29	$ 4,354 64	$ 332 35	LT
	09/26/08	11/02/09 Sold	79	48 343	42 693	3,819 10	3,372 71	(446 39)	LT
	10/10/08	11/02/09 Sold	39	36 046	42 693	1,405 80	1,665 01	259 21	LT
	Total		220			$ 9,247 19	$ 9,392 36	$ 145 17	

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE

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Morgan Stanley SmithBarney

Reserved
Client Statement
November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/08	11/05/09 Sold	71	$ 40 774	$ 48 775	$ 2,894 98	$ 3,463 00	$ 568 02	LT
	12/12/08	11/05/09 Sold	15	35 804	48 775	537 07	731 62	194 55	ST
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	12/12/08	11/25/09 Sold	209	35 804	50 02	7,483 21	10,453 95	2,970 74	ST
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	12/12/08	11/27/09 Sold	57	35 804	49 194	2,040 87	2,803 99	763 12	ST
	03/11/09	11/27/09 Sold	96	28 635	49 194	2 749 00	4,722 52	1,973 52	ST
	03/26/09	11/27/09 Sold	38	33 021	49 194	1,254 83	1 869 33	614 50	ST
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	03/26/09	11/30/09 Sold	61	33 021	49 05	2,014 33	2,992 03	977 70	ST
	06/08/09	11/30/09 Sold	120	36 816	49 05	4 418 02	5,885 95	1,467 93	ST
	Total		667			$ 23,392 31	$ 32,922 39	$ 9,530 08	
INTL BUSINESS MACHINES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/08	11/05/09 Sold	3	$ 126 20	$ 122 855	$ 378 60	$ 368 56	($ 10 04)	LT
	07/18/08	11/05/09 Sold	41	129 733	122 855	5 319 07	5 036 92	(282 15)	LT
	12/12/08	11/05/09 Sold	154	82 181	122 855	12 655 97	18,919 18	6,263 21	ST
	Total		198			$ 18,353 64	$ 24,324 66	$ 5,971 02	
MICROSOFT CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/11/09	11/11/09 Sold	25	$ 19 171	$ 29 21	$ 479 30	$ 730 25	$ 250 95	ST
PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	11/05/09 Sold	134	32 334	42 757	4 332 78	5,729 38	1,396 60	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	11/06/09 Sold	45	$ 32 334	$ 42 496	$ 1,455 04	$ 1,912 30	$ 457 26	ST
	07/30/09	11/06/09 Sold	98	32 949	42 496	3 229 03	4 164 57	935 54	ST
	08/06/09	11/06/09 Sold	47	34 841	42 496	1,637 56	1,997 29	359 73	ST
PEABODY ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/06/09	11/06/09 Sold	153	34 841	42 09	5 330 80	6,439 73	1,108 93	ST
	08/06/09	11/06/09 Sold	214	34 853	42 09	7 458 69	9 007 20	1 548 51	ST
	08/12/09	11/06/09 Sold	2	34 995	42 09	69 99	84 18	14 19	ST
	Total		693			$ 23,513 89	$ 29,334 65	$ 5,820 76	
SUNCOR ENERGY INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	05/18/09	11/05/09 Sold	138	$ 30 196	$ 32 884	$ 4,167 06	$ 4,537 94	$ 370 88	ST
	05/19/09	11/05/09 Sold	20	30 455	32 884	609 11	657 67	48 56	ST
	Total		158			$ 4,776 17	$ 5,195 61	$ 419 44	
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/14/09	11/05/09 Sold	102	$ 33 323	$ 38 195	$ 3,399 03	$ 3 895 82	$ 496 79	ST
	07/15/09	11/05/09 Sold	43	34 143	38 195	1,468 15	1,642 36	174 21	ST
TJX COMPANIES INC NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/06/09 Sold	54	34 143	38 124	1,843 72	2,058 66	214 94	ST
	07/16/09	11/06/09 Sold	91	34 388	38 124	3,129 35	3,469 23	339 88	ST
	Total		290			$ 9,840 25	$ 11,066 07	$ 1,225 82	
THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/05/07	11/05/09 Sold	79	$ 53 022	$ 44 709	$ 4 188 79	$ 3,531 93	($ 656 86)	LT
	06/13/07	11/05/09 Sold	68	52 41	44 709	3,563 93	3,040 14	(523 79)	LT
THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	06/13/07	11/06/09 Sold	27	52 41	44 701	1,415 09	1 206 91	(208 18)	LT
	11/12/07	11/06/09 Sold	124	57 448	44 701	7,123 55	5,542 85	(1,580 70)	LT

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
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SCHEDULE D

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/12/07	11/06/09 Sold	26	$ 57 448	$ 44 896	$ 1,493 65	$ 1,167 28	($ 326 37)	LT
	01/10/08	11/06/09 Sold	73	56 095	44 896	4,094 94	3 277 35	(817 59)	LT
THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/10/08	11/09/09 Sold	41	56 095	45 135	2,299 90	1 850 51	(449 39)	LT
	02/06/08	11/09/09 Sold	98	53 997	45 135	5 291 75	4,423 17	(868 58)	LT
	05/20/08	11/09/09 Sold	38	58 521	45 135	2,223 80	1,715 11	(508 69)	LT
THERMO FISHER SCIENTIFIC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/20/08	11/10/09 Sold	66	58 521	45 074	3,862 39	2 974 86	(887 53)	LT
	10/21/09	11/10/09 Sold	50	47 75	45 074	2,387 55	2 253 68	(133 87)	ST
	10/22/09	11/10/09 Sold	60	46 498	45 074	2 789 92	2,704 43	(85 49)	ST
	Total		750			$ 40,735 26	$ 33,688 22	($ 7,047 04)	
YUM BRANDS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09	11/02/09 Sold	52	$ 34 919	$ 33 045	$ 1 815 83	$ 1 718 32	($ 97 51)	ST
	05/06/09	11/02/09 Sold	516	35 218	33 045	18 172 54	17,050 98	(1,121 56)	ST
	Total		568			$ 19,988 37	$ 18,769 30	($ 1,219 07)	
Total Long Term this period								($ 11,754 44)	
Total Short Term this period								$ 42,373 77	
Total realized gain or (loss) - this period						$ 252,033 43	$ 282,652 76	$ 30,619 33	
Total Long Term year to date								($ 216,302 61)	
Total Short Term year-to date								($ 45,077 35)	
Total realized gain or (loss) - year to date						$ 2,639,579 36	$ 2,378,199 40	($ 261,379 96)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE D

RICE FOUNDATION *Account number 383-1631C-17 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/23/09	QUALCOMM INC	CASH DIV ON 819 0000 SHS X/D 11/23/09	$ 139 23		$ 139 23
12/23/09	SUNCOR ENERGY INC NEW	FOREIGN TAX W/HELD $ 10 09 CASH DIV ON 707 0000 SHS TAX HELD BY FGN GOVTS 10 09 X/D 11/30/09	67 26		57 17
12/24/09	BANK OF AMERICA CORP	CASH DIV ON 2842 0000 SHS X/D 12/02/09	28 42		28 42
Total qualified dividends credited to account					**$ 1,265 10**
FRGN tax withheld					33 83
Total qualified dividends earned			**$ 1,298 93**	**$ 0 00**	**$ 1,298.93**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 07 %	$ 2 68		$ 2 68
Total earnings from money fund			**$ 2 68**	**$ 0 00**	**$ 2 68**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
BANK OF AMERICA CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/06/09	12/17/09 Sold	25	$ 12 578	$ 15 16	$ 314 45	$ 378 99	$ 64 54 ST
FREEPORT MCMORAN COPPER & GOLD CL B MorganStanley SmithBarney LLC acted as your agent	07/27/09	12/01/09 Sold	28	59 876	84 56	1,676 55	2,367.64	691 09 ST
	07/28/09	12/01/09 Sold	21	58 14	84 56	1,220 95	1,775 73	554 78 ST
	Total		**49**			**$ 2,897 50**	**$ 4,143 37**	**$ 1,245 87**

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 8

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION *Account number 383-1631C-17 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	09/17/08	12/17/09 Sold	2	$ 417 684	$ 594.786	$ 835 37	$ 1,189 53	$ 354 16	LT
HEWLETT PACKARD CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/08/09	12/01/09 Sold	68	36 816	49 629	2,503 54	3,374 68	871 14	ST
	07/24/09	12/01/09 Sold	164	41 614	49 629	6,824 75	8,138 95	1,314 20	ST
	Total		232			$ 9,328 29	$ 11,513 63	$ 2,185 34	
MOTOROLA INC DE MorganStanley SmithBarney LLC acted as your agent in this transaction	09/15/09	12/17/09 Sold	50	$ 9 173	$ 8 11	$ 458 66	$ 405 48	($ 53 18)	ST
YAHOO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/15/09	12/17/09 Sold	25	16 43	15 772	410 77	394 28	(16 49)	ST
Total Long Term this period								$ 354 16	
Total Short Term this period								$ 3,426 08	
Total realized gain or (loss) - this period						$ 14,245 04	$ 18,025 28	$ 3,780 24	
Total Long Term - year-to-date								($ 215,948 45)	
Total Short Term - year-to-date								($ 41,651 27)	
Total realized gain or (loss) - year-to-date						$ 2,653,824 40	$ 2,396,224 68	($ 257,599 72)	

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PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

SCHEDULE 8

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMERIPRISE FINANCIAL INC	10/27/03	01/21/09 Sold	12	$ 29 43	$ 18 946	$ 353 16	$ 227 35	($ 125 81)* LT
	11/25/03	01/21/09 Sold	14	28 31	18 946	396 34	265 25	(131 09)* LT
	12/26/03	01/21/09 Sold	24	29 82	18 946	715 68	454 71	(260 97)* LT
	01/13/04	01/21/09 Sold	1	29 84	18 946	29 84	18 95	(10 89)* LT
	01/23/04	01/21/09 Sold	14	31 18	18 946	436 52	265 25	(171 27)* LT
	02/26/04	01/21/09 Sold	11	33 33	18 946	366 63	208 41	(158 22)* LT
	03/02/05	01/21/09 Sold	36	34 03	18 946	1,225 08	682 06	(543 02)* LT
	10/28/05	01/21/09 Sold	93	36 79	18 946	3,421 47	1,761 99	(1,659 48)* LT
	02/14/06	01/21/09 Sold	135	42 85	18 946	5,784 75	2,557 73	(3,227 02)* LT
	Total		340			$ 12,729 47	$ 6,441 70	($ 6,287 77)
NATIONAL OILWELL VARCO INC	02/05/08	01/15/09 Sold	398	$ 62 591	$ 23 894	$ 24,911 38	$ 9,510 11	($ 15,401 27) ST
	06/02/08	01/15/09 Sold	25	82 644	23 894	2,066 11	597 37	(1,468 74) ST
	Total		423			$ 26,977 49	$ 10,107 48	($ 16,870 01)
Total Long Term this period								($ 6,287 77)
Total Short Term this period								($ 16,870 01)
Total realized gain or (loss) - this period						$ 39,706 96	$ 16,549 18	($ 23,157 78)
Total Long Term - year-to-date								($ 6,287 77)
Total Short Term - year-to-date								($ 16,870 01)
Total realized gain or (loss) - year-to date						$ 39,706 96	$ 16,549 18	($ 23,157 78)

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RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9 - 6

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
02/17/09	ABBOTT LABORATORIES	CASH DIV ON 1362 0000 SHS X/D 01/13/09	$ 490 32		$ 490 32
02/17/09	PROCTER & GAMBLE CO	CASH DIV ON 1472 0000 SHS X/D 01/21/09	588 80		588 80
02/20/09	CATERPILLAR INC	CASH DIV ON 408 0000 SHS X/D 01/15/09	171 36		171 36
02/27/09	MICROCHIP TECHNOLOGY INC	CASH DIV ON 503 0000 SHS X/D 02/11/09	170 52		170 52
Total qualified dividends earned			**$ 2,124 22**	**$ 0 00**	**$ 2,124 22**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
02/27/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD 90 %	$ 141 84		$ 141 84
Total earnings from money fund			**$ 141 84**	**$ 0 00**	**$ 141 84**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WEATHERFORD INTL LTD NEW (BERMUDA)	07/12/07	02/06/09 Sold	960	$ 27 66	$ 11 793	$ 26,554 46	$ 11,321 59	($ 15,232 87)	LT
CORNING INC	06/02/08	02/17/09 Sold	969	26 928	10 826	26,094 01	10,491 01	(15,603 00)	ST
GENERAL ELECTRIC CO	12/26/03	02/06/09 Sold	182	30 82	11 219	5 609 24	2 041 92	(3,567 32)*	LT
	01/23/04	02/06/09 Sold	248	33 67	11 219	8,350 16	2,782 39	(5,567 77)*	LT

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PART IV CAPITAL GAINS & LOSSES SCHEDULE 9

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

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RICE FOUNDATION *Account number 383-1630C-19 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
GENERAL ELECTRIC CO	02/04/04	02/06/09 Sold	17	$ 33 16	$ 11 219	$ 563 72	$ 190 73	($ 372 99)* LT
	02/26/04	02/06/09 Sold	67	32 73	11 219	2,192 91	751 69	(1,441 22)* LT
	Total		514			$ 16,716 03	$ 5,766 73	($ 10,949 30)
Total Long Term this period								($ 26,182 17)
Total Short Term this period								($ 15,603 00)
Total realized gain or (loss) - this period						$ 69,364 60	$ 27,579.33	($ 41,785 17)
Total Long Term - year-to-date								($ 32,469 94)
Total Short Term - year-to-date								($ 32,473 01)
Total realized gain or (loss) - year-to-date						$ 109,071 48	$ 44,128.51	($ 64,942 95)

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PART IV CAPITAL GAINS & LOSSES SCHEDULE 9

RICE FOUNDATION FORM 990-PF 2009 36-6043160

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Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
03/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 03/02/09-03/31/09 30 DAYS AVERAGE YIELD 55 %	$ 102 58		$ 102 58
Total earnings from money fund			**$ 102.58**	**$ 0.00**	**$ 102.58**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EMERSON ELECTRIC CO	10/27/03	03/31/09 Sold	74	$ 27 39	$ 28 007	$ 2,026 86	$ 2,072 56	$ 45 70* LT
	12/26/03	03/31/09 Sold	120	31 89	28 007	3,826 80	3 360 91	(465 89)* LT
	01/23/04	03/31/09 Sold	58	33 00	28 007	1 914 00	1,624 44	(289 56)* LT
	02/26/04	03/31/09 Sold	84	31 32	28 007	2,630 88	2 352 63	(278 25)* LT
	03/02/05	03/31/09 Sold	157	33 20	28 007	5,212 40	4,397 18	(815 22)* LT
	Total		493			$ 15,610 94	$ 13,807 72	($ 1,803 22)
Total Long Term this period								($ 1,803 22)
Total realized gain or (loss) - this period						$ 15,610 94	$ 13,807 72	($ 1,803 22)
Total Long Term - year-to date								($ 34,273 16)
Total Short Term - year-to-date								($ 32,473 01)
Total realized gain or (loss) - year-to-date						$ 124,682 40	$ 57,936 23	($ 66,746 17)

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RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
04/24/09	MEDTRONIC INC	CASH DIV ON 579 0000 SHS X/D 04/01/09	$ 108 56		$ 108 56
04/24/09	SYSCO CORP	CASH DIV ON 717 0000 SHS X/D 04/01/09	172 08		172 08
04/27/09	GENERAL ELECTRIC CO	CASH DIV ON 3060 0000 SHS X/D 02/19/09	948 60		948 60
04/30/09	JPMORGAN CHASE & CO	CASH DIV ON 1097 0000 SHS X/D 04/02/09	54 85		54 85
Total qualified dividends earned			$ 5,613 79	$ 0 00	$ 5,613 79

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
04/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 04/01/09-04/30/09 30 DAYS AVERAGE YIELD 49 %	$ 83 75		$ 83 75
Total earnings from money fund			$ 83.75	$ 0 00	$ 83 75

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
AMERICAN EXPRESS CO	01/23/04	04/02/09 Sold	10	$ 43 78	$ 14 884	$ 437 80	$ 148 84	($ 288 96)* LT
RTS HSBC HOLDINGS PLC 03/31/2009 RECORD 03/13/09 PAY 03/16/09 FROM 404280406001		03/16/09 Cash in lieu	25				2 12	0 00
								($ 288 96)
Total Long Term this period						$ 437.80	$ 148 84	($ 288.96)
Total realized gain or (loss) this period								($ 34,562 12)
Total Long Term year to date								($ 32,473 01)
Total Short Term - year-to date						$ 125,120.20	$ 58,087.19	($ 67,035.13)
Total realized gain or (loss) - year to date								

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MorganStanley SmithBarney

Ref 00006080 00053187

Reserved Client Statement

June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1630C-19 JD1*

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
EMERSON ELECTRIC CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/02/05	06/25/09 Sold	205	$ 33 20	$ 33 121	$ 6,806 00	$ 6,789 73	($ 16 27)* LT
	02/14/06	06/25/09 Sold	356	42 09	33 121	14,984 04	11,790 95	(3,193 09)* LT
	Total		561			$ 21,790 04	$ 18,580 68	($ 3,209 36)
Total Long Term this period								($ 3,209 36)
Total realized gain or (loss) - this period						$ 21,790.04	$ 18,580 68	($ 3,209.36)
Total Long Term - year-to-date								($ 37,771 48)
Total Short Term - year-to-date								($ 32,473 01)
Total realized gain or (loss) - year-to-date						$ 148,910.24	$ 78,667.87	($ 70,244 49)

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PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 9

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1630C-19 JD1*

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
PATRIOT COAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/07/07	10/02/09 Sold	79 4612	$ 12 929	$ 11 029	$ 1,020 49	$ 876 36	($ 144 13)* LT
	07/23/07	10/02/09 Sold	37 1482	14 377	11 029	530 49	409 70	(120 79) LT
	08/15/07	10/02/09 Sold	1 3906	13 389	11 029	18 49	15 33	(3 16) LT
	Total		118			$ 1,569 47	$ 1,301 39	($ 268 08)
Total Long Term this period								($ 268 08)
Total realized gain or (loss) - this period						$ 1,569 47	$ 1,301 39	($ 268 08)
Total Long Term - year to-date								($ 38,039 56)
Total Short Term year-to date								($ 32,473 01)
Total realized gain or (loss) - year-to-date						$ 148,479 71	$ 77,969 28	($ 70,512 57)

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Fayez - Richard 9

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
11/27/09	PEABODY ENERGY CORP	CASH DIV ON 594 0000 SHS X/D 11/03/09	$ 41 58		$ 41 58
11/30/09	ROYAL DUTCH SHELL PLC ADR CL A	PAID ON 03/11/09 FOR RDSA RCD 02/06/09 PAY 03/11/09 TAX REFUND			83 12
Total qualified dividends credited to account					$ 2,159 81
FRGN tax withheld					83 12
Total qualified dividends earned			$ 2,076 69	$ 0.00	$ 2,076 69

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 11/02/09 11/30/09 29 DAYS AVERAGE YIELD 07 %	$ 6 60		$ 6 60
Total earnings from money fund			$ 6 60	$ 0 00	$ 6.60

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
TRANSOCEAN LTD SWITZERLAND NEW MorganStanley SmithBarney LLC acted as your agent	07/11/06	11/23/09 Sold	79	$ 78 768	$ 85 079	$ 6 222 74	$ 6 721 12	$ 498 38* LT

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

STATEMENT 6

Tax - Sched 9

Morgan Stanley SmithBarney

Ref 00008532 0006179

Reserved Client Statement

November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1630C-19 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ABB LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent in this transaction	09/28/07	11/25/09 Sold	1,385	$ 26 157	$ 19 144	$ 36 228 28	$ 26,514 30	($ 9 713 98) LT
Total Long Term this period								**($ 9,215 60)**
Total realized gain or (loss) - this period						**$ 42,451 02**	**$ 33,235 42**	**($ 9,215 60)**
Total Long Term year to date								**($ 47,255 16)**
Total Short Term year to-date								**($ 32,473 01)**
Total realized gain or (loss) - year-to-date						**$ 190,930 73**	**$ 111,204 68**	**($ 79,728 17)**

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PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Qualified dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
12/24/09	BANK OF AMERICA CORP	CASH DIV ON 2160 0000 SHS X/D 12/02/09	$ 21 60		$ 21 60
12/31/09	FRANKLIN RESOURCES INC	CASH DIV ON 261 0000 SHS X/D 12/23/09	783 00		783 00
Total qualified dividends credited to account					**$ 11,175 64**
FRGN tax withheld					418 26
Total qualified dividends earned			**$ 11,593 90**	**$ 0 00**	**$ 11,593 90**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 07 %	$ 4 98		$ 4 98
Total earnings from money fund			**$ 4 98**	**$ 0 00**	**$ 4.98**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
FOMENTO ECONOMICO MEXICANO S.A.B DE CV SPONS ADR MorganStanley SmithBarney LLC acted as your agent	04/23/08	12/10/09 Sold	181	$ 43 82	$ 47 475	$ 7,931 51	$ 8,592 75	$ 661 24 LT
Total Long Term this period								**$ 661 24**
Total realized gain or (loss) - this period						**$ 7,931 51**	**$ 8,592 75**	**$ 661.24**
Total Long Term - year-to-date								**($ 46,593 92)**
Total Short Term - year-to-date								**($ 32,473 01)**
Total realized gain or (loss) - year-to-date						**$ 198,862 24**	**$ 119,797 43**	**($ 79,066 93)**

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 9

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
01/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 01/02/09 02/01/09 31 DAYS AVERAGE YIELD 1 32 %	$ 27 12		$ 27 12
Total earnings from money fund			**$ 27.12**	**$ 0.00**	**$ 27.12**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AGCO CORP	10/27/08	01/16/09 Sold	25	$ 23 883	$ 20 632	$ 597 09	$ 515 80	($ 81 29)	ST
	12/02/08	01/16/09 Sold	18	20 815	20 632	374 67	371 37	(3 30)	ST
AGCO CORP	12/02/08	01/20/09 Sold	26	20 815	19 826	541 19	515 47	(25 72)	ST
	Total		69			$ 1,512 95	$ 1,402 84	($ 110 31)	
ALCOA INC	12/29/08	01/20/09 Sold	219	$ 9 739	$ 8 66	$ 2,133 04	$ 1,896 59	($ 236 45)	ST
ALCOA INC	12/29/08	01/28/09 Sold	13	9 739	8 799	126 62	114 39	(12 23)	ST
	12/31/08	01/28/09 Sold	242	11 211	8 799	2,713 28	2,129 37	(583 91)	ST
	01/02/09	01/28/09 Sold	37	12 155	8 799	449 77	325 56	(124 21)	ST
	Total		511			$ 5,422 71	$ 4,465 91	($ 956 80)	
BJ S WHOLESALE CLUB INC	03/13/08	01/16/09 Sold	13	$ 33 927	$ 31 879	$ 441 06	$ 414 43	($ 26 63)	ST
	03/19/08	01/16/09 Sold	15	34 518	31 879	517 78	478 19	(39 59)	ST
BJ S WHOLESALE CLUB INC	03/19/08	01/20/09 Sold	27	34 518	30 497	932 00	823 42	(108 58)	ST
	Total		55			$ 1,890 84	$ 1,716 04	($ 174 80)	

SCHEDULE 10

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MPT-Sched 10

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUCYRUS INTERNATIONAL INC	11/13/08	01/12/09 Sold	37	$ 23 21	$ 18 783	$ 858 78	$ 694 99	($ 163 79)	ST
	11/17/08	01/12/09 Sold	7	23 35	18 783	163 46	131 48	(31 98)	ST
BUCYRUS INTERNATIONAL INC	11/17/08	01/20/09 Sold	31	23 35	16 666	723 87	516 66	(207 21)	ST
	11/21/08	01/20/09 Sold	18	14 461	16 666	260 31	300 00	39 69	ST
	Total		93			$ 2,008 42	$ 1,643 13	($ 363 29)	
CATERPILLAR INC	10/08/08	01/02/09 Sold	45	$ 46 99	$ 46 05	$ 2,114 57	$ 2,072 26	($ 42 31)	ST
	10/23/08	01/02/09 Sold	26	35 083	46 05	912 17	1,197 30	285 13	ST
	Total		71			$ 3,026 74	$ 3,269 56	$ 242 82	
CERADYNE INC CALIF	07/25/08	01/20/09 Sold	33	$ 43 944	$ 22 642	$ 1,450 16	$ 747 21	($ 702 95)	ST
CHUBB CORP	04/23/07	01/28/09 Sold	105	54 036	43 72	5,673 83	4 590 57	(1,083 26)	LT
CIMAREX ENERGY CO	07/09/08	01/05/09 Sold	12	60 744	29 582	728 93	354 98	(373 95)	ST
COMERICA INC	01/12/09	01/20/09 Sold	52	16 48	13 596	857 00	706 98	(150 02)	ST
COMMERCIAL METALS CO	08/29/08	01/05/09 Sold	41	26 138	13 324	1,071 68	546 30	(525 38)	ST
DEERE & CO	09/05/08	01/12/09 Sold	51	62 269	41 706	3,175 76	2,127 03	(1 048 73)	ST
	09/15/08	01/12/09 Sold	32	61 937	41 706	1,982 01	1,334 60	(647 41)	ST
	Total		83			$ 5,157.77	$ 3,461 63	($ 1,696 14)	
ENSCO INTERNATIONAL INC	10/08/08	01/05/09 Sold	23	$ 40 176	$ 30 498	$ 924 06	$ 701 46	($ 222 60)	ST
	10/14/08	01/05/09 Sold	9	40 765	30 498	366 89	274 49	(92 40)	ST
ENSCO INTERNATIONAL INC	10/14/08	01/12/09 Sold	37	40 765	27 281	1,508 33	1,009 40	(498 93)	ST
ENSCO INTERNATIONAL INC	10/14/08	01/16/09 Sold	32	40 765	27 152	1,304 50	868 86	(435 64)	ST

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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENSCO INTERNATIONAL INC	10/14/08	01/20/09 Sold	13	$ 40 765	$ 26 456	$ 529 96	$ 343 92	($ 186 04)	ST
	Total		114			$ 4,633 74	$ 3,198 13	($ 1,436 61)	
EASTMAN CHEMICAL CO	10/27/08	01/12/09 Sold	13	$ 36 147	$ 31 093	$ 469 92	$ 404 21	($ 65 71)	ST
	10/30/08	01/12/09 Sold	16	39 561	-31 093	632 99	497 48	(135 51)	ST
	Total		29			$ 1,102 91	$ 901 69	($ 201 22)	
EL PASO CORP	09/18/08	01/12/09 Sold	127	$ 12 874	$ 7 829	$ 1,635 02	$ 994 34	($ 640 68)	ST
	09/30/08	01/12/09 Sold	122	12 58	7 829	1,534 84	955 19	(579 65)	ST
EL PASO CORP	09/30/08	01/16/09 Sold	105	12 58	8 033	1,320 96	843 54	(477 42)	ST
	10/09/08	01/16/09 Sold	7	8 915	8 033	62 41	56 24	(6 17)	ST
EL PASO CORP	10/09/08	01/20/09 Sold	119	8 915	7 648	1,060 99	910 15	(150 84)	ST
	Total		480			$ 5,614 22	$ 3,759 48	($ 1,854 76)	
EXPRESS SCRIPTS INC COMMON	06/05/07	01/02/09 Sold	8	$ 50 446	$ 55 764	$ 403 57	$ 446 11	$ 42 54	LT
	06/15/07	01/02/09 Sold	38	50 605	55 764	1,923 00	2,119 05	196 05	LT
EXPRESS SCRIPTS INC COMMON	06/15/07	01/12/09 Sold	6	50 605	52 118	303 63	312 71	9 08	LT
	02/01/08	01/12/09 Sold	27	66 532	52 118	1,796 39	1,407 19	(389 20)	ST
	02/27/08	01/12/09 Sold	11	65 536	52 118	720 90	573 30	(147 60)	ST
EXPRESS SCRIPTS INC COMMON	02/27/08	01/16/09 Sold	19	65 536	52 941	1,245 20	1,005 88	(239 32)	ST
	03/05/08	01/16/09 Sold	27	63 296	52 941	1,709 01	1,429 42	(279 59)	ST
EXPRESS SCRIPTS INC COMMON	03/05/08	01/20/09 Sold	27	63 296	51 369	1,709 00	1,386 95	(322 05)	ST
	03/13/08	01/20/09 Sold	2	57 537	51 369	115 07	102 74	(12 33)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
EXPRESS SCRIPTS INC COMMON	03/13/08	01/28/09 Sold	36	$ 57 537	$ 56 54	$ 2,071 34	$ 2,035 45	($ 35 89)	ST
	03/19/08	01/28/09 Sold	12	62 608	56 54	751 31	678 49	(72 82)	ST
	Total		213			$ 12,748 42	$ 11,497 29	($ 1,251 13)	
HARLEY DAVIDSON INC	10/21/08	01/20/09 Sold	42	$ 25 903	$ 12 297	$ 1,087 96	$ 516 50	($ 571 46)	ST
	10/23/08	01/20/09 Sold	23	22 378	12 297	514 72	282 84	(231 88)	ST
HARLEY DAVIDSON INC	10/23/08	01/28/09 Sold	17	22 378	12 778	380 44	217 23	(163 21)	ST
	10/27/08	01/28/09 Sold	44	19 482	12 778	857 21	562 24	(294 97)	ST
	10/28/08	01/28/09 Sold	11	19 75	12 778	217 26	140 56	(76 70)	ST
	Total		137			$ 3,057 69	$ 1,719 37	($ 1,338 22)	
HELMERICH & PAYNE INC	10/14/08	01/12/09 Sold	2	$ 31 039	$ 23 731	$ 62 08	$ 47 45	($ 14 63)	ST
HONEYWELL INTL INC	10/29/08	01/02/09 Sold	3	29 438	34 011	88 31	102 02	13 71	ST
HUDSON CITY BANCORP INC	01/24/08	01/28/09 Sold	90	15 662	12 447	1,409 59	1,120 25	(289 34)	LT
	01/30/08	01/28/09 Sold	34	15 903	12 447	540 71	423 21	(117 50)	ST
	Total		124			$ 1,950 30	$ 1,543 48	($ 406 84)	
KB HOME	07/30/07	01/20/09 Sold	49	$ 31 526	$ 12 393	$ 1,544 78	$ 607 29	($ 937 49)	LT
	08/09/07	01/20/09 Sold	20	36 645	12 393	732 91	247 88	(485 03)	LT
	Total		69			$ 2,277 69	$ 855 17	($ 1,422 52)	
MDC HOLDINGS INC	07/25/08	01/12/09 Sold	10	$ 40 369	$ 32 369	$ 403 69	$ 323 69	($ 80 00)	ST
	07/30/08	01/12/09 Sold	18	40 467	32 369	728 42	582 64	(145 78)	ST
MDC HOLDINGS INC	07/30/08	01/16/09 Sold	15	40 467	31 478	607 01	472 17	(134 84)	ST
	09/18/08	01/16/09 Sold	14	38 933	31 478	545 07	440 69	(104 38)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MDC HOLDINGS INC	09/18/08	01/20/09 Sold	18	$ 38 933	$ 30 588	$ 700 80	$ 550 59	($ 150 21)	ST
	Total		75			$ 2,984 99	$ 2,369 78	($ 615 21)	
METLIFE INC	12/02/08	01/05/09 Sold	67	$ 23 519	$ 36 266	$ 1,575 80	$ 2,429 82	$ 854 02	ST
MIRANT CORP NEW	07/25/08	01/05/09 Sold	32	30 938	19 791	990 02	633 31	(356 71)	ST
	07/29/08	01/05/09 Sold	17	30 774	19 791	523 17	336 45	(186 72)	ST
MIRANT CORP NEW	07/29/08	01/12/09 Sold	49	30 774	18 272	1,507 97	895 32	(612 65)	ST
MIRANT CORP NEW	07/29/08	01/16/09 Sold	7	30 774	17 834	215 42	124 84	(90 58)	ST
	07/31/08	01/16/09 Sold	42	30 63	17 834	1 286 47	749 02	(537 45)	ST
MIRANT CORP NEW	07/31/08	01/20/09 Sold	18	30 63	17 435	551 34	313 82	(237 52)	ST
	Total		165			$ 5,074 39	$ 3,052 76	($ 2,021 63)	
MONSANTO CO NEW	07/25/07	01/08/09 Sold	72	$ 66 312	$ 83 947	$ 4,774 46	$ 6,044 16	$ 1,269 70	LT
NORFOLK SOUTHERN CORP	12/02/08	01/05/09 Sold	10	46 269	48 622	462 69	486 21	23 52	ST
NORTHROP GRUMMAN CORP	10/14/08	01/20/09 Sold	35	45 511	47 694	1,592 89	1,669 30	76 41	ST
	10/21/08	01/20/09 Sold	39	45 599	47 694	1,778 40	1,860 08	81 68	ST
	10/23/08	01/20/09 Sold	10	43 638	47 694	436 38	476 94	40 56	ST
	Total		84			$ 3,807 67	$ 4,006 32	$ 198 65	
OIL STATES INTERNATIONAL INC	03/19/08	01/16/09 Sold	13	$ 42 122	$ 19 508	$ 547 59	$ 253 61	($ 293 98)	ST
	03/28/08	01/16/09 Sold	32	44 738	19 508	1,431 63	624 26	(807 37)	ST
	Total		45			$ 1,979 22	$ 877 87	($ 1,101 35)	
OVERSEAS SHIPHOLDING GROUP INC	01/24/08	01/12/09 Sold	21	$ 62 334	$ 42 231	$ 1,309 03	$ 886 85	($ 422 18)	ST

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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
OVERSEAS SHIPHOLDING GROUP INC	01/24/08	01/20/09 Sold	12	$ 62 334	$ 38 20	$ 748 01	$ 458 40	($ 289 61)	ST
	01/30/08	01/20/09 Sold	11	65 624	38 20	721 87	420 20	(301 67)	ST
	Total		44			$ 2,778 91	$ 1,765 45	($ 1,013 46)	
PARKER HANNIFIN CORP	10/23/08	01/28/09 Sold	25	$ 37 474	$ 41 588	$ 936 86	$ 1,039 72	$ 102 86	ST
	10/24/08	01/28/09 Sold	15	37 151	41 588	557 28	623 83	66 55	ST
	Total		40			$ 1,494 14	$ 1,663 55	$ 169 41	
PFIZER INC	07/03/08	01/28/09 Sold	185	$ 17 693	$ 15 154	$ 3,273 22	$ 2,803 64	($ 469 58)	ST
	07/09/08	01/28/09 Sold	144	18 203	15 154	2,621 29	2,182 29	(439 00)	ST
	09/23/08	01/28/09 Sold	119	18 302	15 154	2,177 96	1,803 42	(374 54)	ST
	10/02/08	01/28/09 Sold	336	18 943	15 154	6,364 98	5,092 02	(1,272 96)	ST
	10/08/08	01/28/09 Sold	132	17 753	15 154	2,343 50	2,000 43	(343 07)	ST
	10/15/08	01/28/09 Sold	74	16 689	15 154	1,234 99	1,121 46	(113 53)	ST
	Total		990			$ 18,015 94	$ 15,003 26	($ 3,012 68)	
PUBLIC SERVICE ENTERPRISE GRP	12/19/08	01/20/09 Sold	38	$ 29 21	$ 30 605	$ 1,109 98	$ 1,162 99	$ 53 01	ST
	12/23/08	01/20/09 Sold	51	28 122	30 605	1,434 25	1 560 85	126 60	ST
	12/24/08	01/20/09 Sold	32	27 987	30 605	895 59	979 36	83 77	ST
	12/29/08	01/20/09 Sold	6	28 18	30 605	169 08	183 62	14 54	ST
PUBLIC SERVICE ENTERPRISE GRP	12/29/08	01/27/09 Sold	45	28 18	32 574	1,268 14	1,465 82	197 68	ST
	12/31/08	01/27/09 Sold	60	28 635	32 574	1,718 12	1,954 43	236 31	ST
	Total		232			$ 6,595 16	$ 7,307 07	$ 711 91	
QUESTAR CORP	10/21/08	01/12/09 Sold	52	$ 30 349	$ 33 39	$ 1,578 17	$ 1,736 29	$ 158 12	ST

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
QUESTAR CORP	10/21/08	01/16/09 Sold	9	$ 30 349	$ 33 527	$ 273 14	$ 301 75	$ 28 61	ST
	10/23/08	01/16/09 Sold	51	28 026	33 527	1,429 33	1,709 90	280 57	ST
	10/27/08	01/16/09 Sold	6	26 114	33 527	156 69	201 16	44 47	ST
QUESTAR CORP	10/27/08	01/20/09 Sold	36	26 114	33 138	940 11	1,192 98	252 87	ST
	10/28/08	01/20/09 Sold	3	25 989	33 138	77 97	99 41	21 44	ST
QUESTAR CORP	10/28/08	01/28/09 Sold	32	25 989	35 748	831 65	1,143 94	312 29	ST
	10/30/08	01/28/09 Sold	18	32 448	35 748	584 07	643 46	59 39	ST
	Total		207			$ 5,871 13	$ 7,028 89	$ 1,157 76	
RAYTHEON COMPANY NEW	10/30/08	01/12/09 Sold	55	$ 48 415	$ 50 308	$ 2,662 83	$ 2,766 94	$ 104 11	ST
	12/01/08	01/12/09 Sold	47	46 989	50 308	2,208 50	2,364 48	155 98	ST
	Total		102			$ 4,871 33	$ 5,131 42	$ 260 09	
REGIONS FINANCIAL CORP (NEW)	12/29/08	01/28/09 Sold	162	$ 8 025	$ 4 559	$ 1,300 13	$ 738 55	($ 561 58)	ST
	12/31/08	01/28/09 Sold	119	7 764	4 559	923 99	542 52	(381 47)	ST
	Total		281			$ 2,224 12	$ 1,281 07	($ 943 05)	
ROBBINS & MYERS INC	10/09/08	01/02/09 Sold	26	$ 23 296	$ 16 598	$ 605 70	$ 431 54	($ 174 16)	ST
SAFEWAY INC NEW	09/18/08	01/20/09 Sold	11	24 635	23 40	270 99	257 41	(13 58)	ST
	11/06/08	01/20/09 Sold	53	21 946	23 40	1,163 15	1,240 24	77 09	ST
SAFEWAY INC NEW	11/06/08	01/23/09 Sold	33	21 946	22 962	724 23	757 76	33 53	ST
	Total		97			$ 2,168 37	$ 2,255 41	$ 97 04	
SEACOR HOLDINGS INC	10/30/08	01/28/09 Sold	14	$ 62 249	$ 66 403	$ 871 49	$ 929 64	$ 58 15	ST

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SOUTHWEST AIRLINES CO	03/05/08	01/28/09 Sold	195	$ 12 576	$ 7 623	$ 2,452 32	$ 1,486 57	($ 965 75)	ST
	04/01/08	01/28/09 Sold	62	12 584	7 623	780 23	472 65	(307 58)	ST
	Total		257			$ 3,232 55	$ 1,959 22	($ 1,273 33)	
SPIRIT AEROSYSTEMS HLDGS INC CLASS A	10/23/08	01/20/09 Sold	62	$ 13 339	$ 11 496	$ 827 04	$ 712 79	($ 114 25)	ST
STANCORP FINANCIAL GROUP	02/22/08	01/20/09 Sold	7	49 506	30 695	346 55	214 87	(131 68)	ST
	04/09/08	01/20/09 Sold	21	49 611	30 695	1,041 84	644 60	(397 24)	ST
STANCORP FINANCIAL GROUP	04/09/08	01/28/09 Sold	11	49 611	27 718	545 72	304 91	(240 81)	ST
	10/17/08	01/28/09 Sold	20	38 80	27 718	776 00	554 37	(221 63)	ST
	Total		59			$ 2,710 11	$ 1,718 75	($ 991 36)	
STERIS CORP	09/18/08	01/20/09 Sold	37	$ 35 403	$ 23 431	$ 1,309 94	$ 866 96	($ 442 98)	ST
SUNTRUST BANKS INC	12/05/08	01/28/09 Sold	59	30 671	14 737	1,809 64	869 49	(940 15)	ST
	12/11/08	01/28/09 Sold	47	27 559	14 737	1,295 28	692 64	(602 64)	ST
	Total		106			$ 3,104 92	$ 1,562 13	($ 1,542 79)	
TIMKEN CO	06/09/08	01/12/09 Sold	35	$ 36 79	$ 18 734	$ 1,287 66	$ 655 69	($ 631 97)	ST
	07/15/08	01/12/09 Sold	12	27 634	18 734	331 61	224 81	(106 80)	ST
TIMKEN CO	07/15/08	01/16/09 Sold	37	27 634	17 16	1,022 48	634 94	(387 54)	ST
	08/07/08	01/16/09 Sold	13	32 432	17 16	421 62	223 09	(198 53)	ST
	Total		97			$ 3,063 37	$ 1,738 53	($ 1,324 84)	
TRINITY INDUSTRIES INC	09/08/08	01/12/09 Sold	44	$ 33 162	$ 14 901	$ 1,459 16	$ 655 67	($ 803 49)	ST
	09/18/08	01/12/09 Sold	15	30 217	14 901	453 26	223 52	(229 74)	ST
	Total		59			$ 1,912 42	$ 879 19	($ 1,033 23)	
UNITED STATES STEEL CORP NEW	10/01/08	01/02/09 Sold	25	$ 71 27	$ 39 33	$ 1,781 77	$ 983 25	($ 798 52)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
UNITED STATES STEEL CORP NEW	10/01/08	01/12/09 Sold	26	$ 71 27	$ 32 769	$ 1,853 04	$ 851 99	($ 1,001 05)	ST
UNITED STATES STEEL CORP NEW	10/01/08	01/20/09 Sold	8	71 27	31 312	570 16	250 49	(319 67)	ST
	Total		59			$ 4,204 97	$ 2,085 73	($ 2,119 24)	
URBAN OUTFITTERS INC	09/08/08	01/12/09 Sold	40	$ 37 022	$ 14 516	$ 1,480 92	$ 580 64	($ 900 28)	ST
	09/18/08	01/12/09 Sold	22	33 621	14 516	739 67	319 35	(420 32)	ST
URBAN OUTFITTERS INC	09/18/08	01/16/09 Sold	24	33 621	15 835	806 91	380 05	(426 86)	ST
	09/30/08	01/16/09 Sold	32	31 451	15 835	1,006 46	506 73	(499 73)	ST
URBAN OUTFITTERS INC	09/30/08	01/20/09 Sold	11	31 451	15 324	345 97	168 57	(177 40)	ST
	10/21/08	01/20/09 Sold	44	23 952	15 324	1,053 92	674 28	(379 64)	ST
URBAN OUTFITTERS INC	10/21/08	01/28/09 Sold	13	23 952	17 039	311 38	221 50	(89 88)	ST
	Total		186			$ 5,745 23	$ 2,851 12	($ 2,894 11)	
VARIAN MEDICAL SYSTEMS INC	10/28/08	01/20/09 Sold	38	$ 39 224	$ 34 51	$ 1,490 54	$ 1,311 38	($ 179 16)	ST
VARIAN MEDICAL SYSTEMS INC	10/28/08	01/28/09 Sold	30	39 224	35 496	1,176 74	1,064 88	(111 86)	ST
	10/30/08	01/28/09 Sold	16	45 11	35 496	721 77	567 93	(153 84)	ST
	Total		84			$ 3,389 05	$ 2,944 19	($ 444 86)	
WARNACO GROUP INC	09/18/08	01/20/09 Sold	26	$ 45 365	$ 19 963	$ 1,179 51	$ 519 05	($ 660 46)	ST

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
WORTHINGTON INDUSTRIES INC	10/09/08	01/20/09 Sold	78	$ 12 176	$ 10 396	$ 949 74	$ 810 92	($ 138 82) ST
	10/21/08	01/20/09 Sold	4	11 929	10 396	47 72	41 59	(6 13) ST
	Total		82			$ 997 46	$ 852 51	($ 144 95)
Total Long Term this period								($ 1,277 75)
Total Short Term this period								($ 29,595 24)
Total realized gain or (loss) - this period						$ 158,156 37	$ 127,283 38	($ 30,872 99)
Total Long Term - year-to-date								($ 1,277 75)
Total Short Term - year-to-date								($ 29,595 24)
Total realized gain or (loss) - year-to-date						$ 158,156.37-	$127,283 38	($ 30,872 99)

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Please notify your Financial Advisor if there have been any changes in your financial situation investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

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RICE FOUNDATION *Account number 383-1597C-10 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
02/27/09	WESTERN ASSLT MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 02/02/09-03/01/09 28 DAYS AVERAGE YIELD 90 %	$ 13 86		$ 13 86
Total earnings from money fund			$ 13 86	$ 0 00	$ 13 86

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Trades are allocated using the FIFO (first in-first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AT&T INC	01/12/09	02/12/09 Sold	619	$ 26 12	$ 23 945	$ 16,168 47	$ 14,822 30	($ 1,346 17)	ST
	01/13/09	02/12/09 Sold	218	25 743	23 945	5,612 00	5 220 13	(391 87)	ST
	01/20/09	02/12/09 Sold	158	25 332	23 945	4,002 60	3,783 40	(219 20)	ST
AT&T INC	01/20/09	02/17/09 Sold	102	25 332	23 211	2,583 95	2 367 54	(216 41)	ST
	Total		1,097			$ 28,367 02	$ 26,193 37	($ 2,173 65)	
ALCOA INC	01/02/09	02/03/09 Sold	196	$ 12 155	$ 7 984	$ 2 382 55	$ 1,565 03	($ 817 52)	ST
	01/12/09	02/03/09 Sold	186	10 033	7 984	1 866 17	1 485 18	(380 99)	ST
ALCOA INC	01/12/09	02/05/09 Sold	21	10 033	8 092	210 70	169 94	(40 76)	ST
	Total		403			$ 4,459 42	$ 3,220 15	($ 1,239 27)	
ALLIANCE DATA SYSTEMS CORP	10/27/08	02/06/09 Sold	20	$ 45 659	$ 41 923	$ 913 19	$ 838 46	($ 74 73)	ST
	10/28/08	02/06/09 Sold	8	44 293	41 923	354 35	335 39	(18 96)	ST
ALLIANCE DATA SYSTEMS CORP	10/28/08	02/12/09 Sold	20	44 293	37 47	885 88	749 40	(136 48)	ST
	10/29/08	02/12/09 Sold	5	45 921	37 47	229 61	187 35	(42 26)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Sched 10

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLIANCE DATA SYSTEMS CORP	10/29/08	02/18/09 Sold	20	$ 45 921	$ 30 953	$ 918 42	$ 619 06	($ 299 36)	ST
	10/30/08	02/18/09 Sold	6	48 61	30 953	291 67	185 72	(105 95)	ST
	Total		79			$ 3,593 12	$ 2,915 38	($ 677 74)	
ALLSTATE CORP	04/23/07	02/06/09 Sold	3	$ 62 49	$ 21 976	$ 187 47	$ 65 93	($ 121 54)	LT
	11/21/07	02/06/09 Sold	131	49 785	21 976	6,521 88	2,878 94	(3 642 94)	LT
	Total		134			$ 6,709 35	$ 2,944 87	($ 3,764 48)	
AMERICAN ELECTRIC POWER CO INC	12/11/08	02/06/09 Sold	41	$ 30 545	$ 33 303	$ 1 252 38	$ 1,365 44	$ 113 06	ST
	12/31/08	02/06/09 Sold	3	32 849	33 303	98 55	99 91	1 36	ST
	Total		44			$ 1,350 93	$ 1,465 35	$ 114 42	
BUCYRUS INTERNATIONAL INC	11/21/08	02/05/09 Sold	32	$ 14 461	$ 15 709	$ 462 76	$ 502 70	$ 39 94	ST
	11/24/08	02/05/09 Sold	48	16 828	15 709	807 74	754 05	(53 69)	ST
	Total		80			$ 1,270 50	$ 1,256 75	($ 13 76)	
CSX CORP	12/02/08	02/18/09 Sold	61	$ 33 735	$ 27 804	$ 2,057 84	$ 1,696 08	($ 361 76)	ST
	12/05/08	02/18/09 Sold	17	32 965	27 804	560 41	472 68	(87 73)	ST
	Total		78			$ 2,618 25	$ 2,168 76	($ 449 49)	
CARNIVAL CORP (PAIRED STOCK)	11/13/08	02/03/09 Sold	152	$ 18 966	$ 18 435	$ 2,882 96	$ 2,802 12	($ 80 81)	ST
CARNIVAL CORP (PAIRED STOCK)	11/13/08	02/05/09 Sold	24	18 966	18 942	455 21	454 61	(60)	ST
	11/17/08	02/05/09 Sold	109	19 284	18 942	2,101 96	2,064 71	(37 25)	ST
	Total		285			$ 5,440 13	$ 5,321 44	($ 118 69)	
CATERPILLAR INC	10/23/08	02/03/09 Sold	43	$ 35 083	$ 30 178	$ 1 508 60	$ 1,297 68	($ 210 92)	ST
	10/27/08	02/03/09 Sold	32	33 074	30 178	1 058 39	965 72	(92 67)	ST
	10/29/08	02/03/09 Sold	36	35 881	30 178	1,291 73	1,086 43	(205 30)	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CATERPILLAR INC	10/29/08	02/06/09 Sold	26	$ 35 881	$ 33 353	$ 932 92	$ 867 19	($ 65 73)	ST
	11/24/08	02/06/09 Sold	41	35 998	33 353	1,475 93	1,367 49	(108 44)	ST
	01/16/09	02/06/09 Sold	45	39 059	33 353	1,757 69	1 500 89	(256 80)	ST
CATERPILLAR INC	01/16/09	02/12/09 Sold	69	39 059	30 494	2,695 12	2,104 07	(591 05)	ST
	Total		292			$ 10,720 38	$ 9,189 47	($ 1,530 91)	
CUMMINS INC	06/25/08	02/18/09 Sold	66	$ 72 158	$ 22 283	$ 4,762 44	$ 1,470 70	($ 3,291 74)	ST
	07/03/08	02/18/09 Sold	9	61 92	22 283	557 29	200 55	(356 74)	ST
CUMMINS INC	07/03/08	02/19/09 Sold	48	61 92	21 861	2,972 19	1,049 33	(1,922 86)	ST
	07/08/08	02/19/09 Sold	16	64 354	21 861	1,029 67	349 78	(679 89)	ST
	Total		139			$ 9,321 59	$ 3,070 36	($ 6,251 23)	
DECKERS OUTDOOR CORP	08/26/08	02/12/09 Sold	12	$ 109 191	$ 53 573	$ 1,310 29	$ 642 88	($ 667 41)	ST
	09/11/08	02/12/09 Sold	5	112 213	53 573	561 07	267 86	(293 21)	ST
	Total		17			$ 1,871 36	$ 910 74	($ 960 62)	
DIEBOLD INC	10/27/08	02/12/09 Sold	37	$ 23 544	$ 24 537	$ 871 16	$ 907 88	$ 36 72	ST
	10/30/08	02/12/09 Sold	25	28 331	24 537	708 28	613 44	(94 84)	ST
DIEBOLD INC	10/30/08	02/13/09 Sold	11	28 331	25 021	311 64	275 23	(36 41)	ST
	Total		73			$ 1,891 08	$ 1,796 55	($ 94 53)	
EXPRESS SCRIPTS INC COMMON	03/19/08	02/09/09 Sold	28	$ 62 608	$ 57 411	$ 1,753 04	$ 1,607 52	($ 145 52)	ST
FLIR SYSTEMS INC	07/30/08	02/17/09 Sold	34	40 797	20 994	1,387 10	713 81	(673 29)	ST
	07/31/08	02/17/09 Sold	16	41 042	20 994	656 69	335 91	(320 78)	ST
	Total		50			$ 2,043 79	$ 1,049 72	($ 994 07)	
F5 NETWORKS INC	10/24/08	02/03/09 Sold	39	$ 22 363	$ 21 801	$ 872 17	$ 850 26	($ 21 91)	ST

PART IV
CAPITAL GAINS & LOSSES

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Ref 00005217 00044246

WORKING WEALTH. AT SMITH BARNEY

Smith Barney Reserved Client Statement

February 1 - February 28, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FIRST SOLAR INC	12/02/08	02/18/09 Sold	25	$ 114 558	$ 131 151	$ 2,863 97	$ 3,278 76	$ 414 79	ST
FIRST SOLAR INC	12/02/08	02/19/09 Sold	4	114 558	132 881	458 23	531 52	73 29	ST
	12/05/08	02/19/09 Sold	8	115 922	132 881	927 38	1,063 04	135 66	ST
	12/10/08	02/19/09 Sold	8	119 007	132 881	952 06	1,063 05	110 99	ST
	Total		45			$ 5,201 64	$ 5,938 37	$ 734 73	
FOOT LOCKER INC	10/21/08	02/05/09 Sold	17	$ 13 064	$ 7 787	$ 222 10	$ 132 38	($ 89 72)	ST
	10/30/08	02/05/09 Sold	68	13 674	7 787	929 84	529 53	(400 31)	ST
	Total		85			$ 1,151 94	$ 661 91	($ 490 03)	
FOSSIL INC	10/20/08	02/13/09 Sold	52	$ 17 19	$ 11 897	$ 893 89	$ 618 66	($ 275 23)	ST
	10/21/08	02/13/09 Sold	57	17 89	11 897	1,019 78	678 15	(341 63)	ST
	Total		109			$ 1,913 67	$ 1,296 81	($ 616 86)	
FREEPORT MCMORAN COPPER & GOLD CL B	12/11/08	02/06/09 Sold	164	$ 24 037	$ 29 917	$ 3,942 17	$ 4,906 47	$ 964 30	ST
FREEPORT MCMORAN COPPER & GOLD CL B	12/11/08	02/12/09 Sold	21	24 037	28 52	504 79	598 92	94 13	ST
	12/19/08	02/12/09 Sold	78	23 234	28 52	1,812 27	2,224 55	412 28	ST
	12/23/08	02/12/09 Sold	64	21 822	28 52	1,396 66	1,825 26	428 60	ST
FREEPORT MCMORAN COPPER & GOLD CL B	12/23/08	02/18/09 Sold	77	21 822	27 962	1,680 35	2,153 06	472 71	ST
	12/24/08	02/18/09 Sold	61	21 564	27 962	1,315 43	1 705 67	390 24	ST
	12/29/08	02/18/09 Sold	6	23 143	27 962	138 86	167 77	28 91	ST
FREEPORT MCMORAN COPPER & GOLD CL B	12/29/08	02/19/09 Sold	112	23 143	28 824	2 592 02	3 228 32	636 30	ST
	Total		683			$ 13,382 66	$ 16,810 02	$ 3,427 47	
HARLEY DAVIDSON INC	10/28/08	02/05/09 Sold	33	$ 19 75	$ 12 936	$ 651 76	$ 426 90	($ 224 86)	ST

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HUDSON CITY BANCORP INC	01/30/08	02/03/09 Sold	66	$ 15 903	$ 11 658	$ 1,049 61	$ 769 47	($ 280 14)	LT
	03/13/08	02/03/09 Sold	36	16 442	11 658	591 93	419 71	(172 22)	ST
HUDSON CITY BANCORP INC	03/13/08	02/05/09 Sold	80	16 442	12 258	1 315 41	980 63	(334 78)	ST
	04/01/08	02/05/09 Sold	9	18 312	12 258	164 81	110 32	(54 49)	ST
HUDSON CITY BANCORP INC	04/01/08	02/06/09 Sold	73	18 312	12 492	1 336 83	911 96	(424 87)	ST
HUDSON CITY BANCORP INC	04/01/08	02/12/09 Sold	16	18 312	11 901	293 00	190 43	(102 57)	ST
	04/09/08	02/12/09 Sold	55	17 934	11 901	986 37	654 59	(331 78)	ST
HUDSON CITY BANCORP INC	04/09/08	02/17/09 Sold	63	17 934	11 361	1 129 84	715 77	(414 07)	ST
	Total		398			$ 6,867 80	$ 4,752 88	($ 2,114 92)	
HUMANA INC	08/08/08	02/05/09 Sold	36	$ 48 90	$ 43 577	$ 1,760 42	$ 1,568 77	($ 191 65)	ST
	08/13/08	02/05/09 Sold	8	48 612	43 577	388 90	348 61	(40 29)	ST
HUMANA INC	08/13/08	02/06/09 Sold	19	48 612	44 773	923 63	850 68	(72 95)	ST
	08/20/08	02/06/09 Sold	20	47 118	44 773	942 37	895 46	(46 91)	ST
HUMANA INC	08/20/08	02/12/09 Sold	23	47 118	43 244	1 083 72	994 61	(89 11)	ST
	09/08/08	02/12/09 Sold	16	44 362	43 244	709 80	691 91	(17 89)	ST
HUMANA INC	09/08/08	02/18/09 Sold	15	44 362	41 265	665 44	618 98	(46 46)	ST
	10/29/08	02/18/09 Sold	20	31 308	41 265	626 16	825 31	199 15	ST
HUMANA INC	10/29/08	02/19/09 Sold	24	31 308	41 415	751 40	993 97	242 57	ST
	10/30/08	02/19/09 Sold	4	29 482	41 415	117 93	165 66	47 73	ST
	Total		185			$ 7,969 77	$ 7,953 96	($ 15 81)	
INTL BUSINESS MACHINES CORP	12/01/08	02/02/09 Sold	87	$ 78 649	$ 90 916	$ 6,842 51	$ 7,909 68	$ 1,067 17	ST

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
INTL BUSINESS MACHINES CORP	12/01/08	02/12/09 Sold	88	$ 78 649	$ 93 526	$ 6,921 15	$ 8,230 31	$ 1,309 16	ST
	12/05/08	02/12/09 Sold	60	76 047	93 526	4,562 86	5,611 58	1 048 72	ST
	12/10/08	02/12/09 Sold	33	83 62	93 526	2,759 49	3 086 37	326 88	ST
	12/11/08	02/12/09 Sold	174	81 915	93 526	14 253 28	16 273 57	2 020 29	ST
	12/15/08	02/12/09 Sold	19	82 039	93 526	1,558 75	1,776 99	218 24	ST
INTL BUSINESS MACHINES CORP	12/15/08	02/17/09 Sold	18	82 039	91 226	1,476 71	1,642 07	165 36	ST
	12/19/08	02/17/09 Sold	19	83 902	91 226	1,594 14	1,733 29	139 15	ST
	12/29/08	02/17/09 Sold	16	80 194	91 226	1 283 11	1,459 62	176 51	ST
INTL BUSINESS MACHINES CORP	12/29/08	02/18/09 Sold	40	80 194	91 512	3,207 77	3,660 45	452 68	ST
INTL BUSINESS MACHINES CORP	12/29/08	02/19/09 Sold	73	80 194	89 181	5,854 19	6,510 21	656 02	ST
INTL BUSINESS MACHINES CORP	12/29/08	02/27/09 Sold	3	80 194	91 159	240 58	273 47	32 89	ST
	Total		630			$ 50,664 64	$ 58,107 61	$ 7,613 07	
LOCKHEED MARTIN CORP	02/12/09	02/23/09 Sold	11	$ 75 653	$ 77 142	$ 832 19	$ 848 56	$ 16 37	ST
LOCKHEED MARTIN CORP	02/12/09	02/24/09 Sold	13	75 653	73 936	983 50	961 17	(22 33)	ST
	Total		24			$ 1,815 69	$ 1,809 73	($ 5 96)	
NCR CORP NEW	10/21/08	02/05/09 Sold	71	$ 17 261	$ 10 542	$ 1,225 56	$ 748 54	($ 477 02)	ST
OIL STATES INTERNATIONAL INC	03/28/08	02/05/09 Sold	30	44 738	18 617	1,342 16	558 53	(783 63)	ST
	04/01/08	02/05/09 Sold	17	45 794	18 617	778 50	316 50	(462 00)	ST
OIL STATES INTERNATIONAL INC	04/01/08	02/06/09 Sold	25	45 794	19 501	1,144 85	487 53	(657 32)	ST
	Total		72			$ 3,265 51	$ 1,362 56	($ 1,902 95)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
OVERSEAS SHIPHOLDING GROUP INC	01/30/08	02/05/09 Sold	21	$ 65 624	$ 33 161	$ 1 378 11	$ 696 38	($ 681 73)	LT
	02/04/08	02/05/09 Sold	5	63 392	33 161	316 96	165 80	(151 16)	LT
	02/04/08	02/17/09 Sold	19	63 392	30 32	1,204 47	576 09	(628 38)	LT
	02/15/08	02/17/09 Sold	8	64 96	30 32	519 68	242 56	(277 12)	LT
	Total		53			$ 3,419 22	$ 1,680 83	($ 1,738 39)	
OWENS CORNING INC NEW	10/21/08	02/12/09 Sold	53	$ 18 761	$ 12 694	$ 994 37	$ 672 79	($ 321 58)	ST
	10/27/08	02/12/09 Sold	14	16 316	12 694	228 43	177 72	(50 71)	ST
	Total		67			$ 1,222 80	$ 850 51	($ 372 29)	
PNC FINANCIAL SERVICES GROUP	02/18/09	02/26/09 Sold	80	$ 25 103	$ 30 549	$ 2 008 26	$ 2,443 92	$ 435 66	ST
PARKER-HANNIFIN CORP	10/24/08	02/05/09 Sold	9	37 151	40 268	334 37	362 41	28 04	ST
	10/27/08	02/05/09 Sold	24	36 163	40 268	867 92	966 44	98 52	ST
	Total		33			$ 1,202 29	$ 1,328 85	$ 126 56	
PFIZER INC	10/15/08	02/03/09 Sold	62	$ 16 689	$ 15 105	$ 1 034 73	$ 936 51	($ 98 22)	ST
	10/23/08	02/03/09 Sold	505	16 677	15 105	8,421 94	7 628 03	(793 91)	ST
	10/24/08	02/03/09 Sold	254	16 641	15 105	4,226 89	3,836 67	(390 22)	ST
	10/29/08	02/03/09 Sold	407	17 626	15 105	7 173 94	6,147 74	(1,026 20)	ST
PFIZER INC	10/29/08	02/05/09 Sold	245	17 626	14 513	4,318 47	3,555 71	(762 76)	ST
	Total		1,473			$ 25,175 97	$ 22,104 66	($ 3,071 31)	
QUESTAR CORP	10/30/08	02/03/09 Sold	15	$ 32 448	$ 34 58	$ 486 72	$ 518 70	$ 31 98	ST
	11/13/08	02/03/09 Sold	31	27 823	34 58	862 54	1 071 97	209 43	ST
	Total		46			$ 1,349 26	$ 1,590 67	$ 241 41	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
REGIONS FINANCIAL CORP (NEW)	12/11/08	02/03/09 Sold	27	$ 7 764	$ 2 783	$ 209 64	$ 75 15	($ 134 49)	ST
	01/12/09	02/03/09 Sold	163	7 08	2 783	1,154 11	453 69	(700 42)	ST
	Total		190			$ 1,363 75	$ 528 84	($ 834 91)	
SEACOR HOLDINGS INC	10/30/08	02/05/09 Sold	2	$ 62 249	$ 66 778	$ 124 50	$ 133 56	$ 9 06	ST
	11/17/08	02/05/09 Sold	14	67 495	66 778	944 94	934 89	(10 05)	ST
SEACOR HOLDINGS INC	11/17/08	02/06/09 Sold	4	67 495	68 059	269 98	272 23	2 25	ST
	Total		20			$ 1,339 42	$ 1,340 68	$ 1 26	
SOUTHWEST AIRLINES CO	04/01/08	02/03/09 Sold	92	$ 12 584	$ 6 781	$ 1,157 75	$ 623 89	($ 533 86)	ST
	04/16/08	02/03/09 Sold	127	12 526	6 781	1,590 80	861 25	(729 55)	ST
SOUTHWEST AIRLINES CO	04/16/08	02/05/09 Sold	17	12 526	6 678	212 94	113 53	(99 41)	ST
	04/30/08	02/05/09 Sold	180	13 336	6 678	2,400 61	1,202 12	(1,198 49)	ST
	05/15/08	02/05/09 Sold	11	13 456	6 678	148 02	73 47	(74 55)	ST
SOUTHWEST AIRLINES CO	05/15/08	02/18/09 Sold	140	13 456	6 721	1 883 87	941 00	(942 87)	ST
	06/16/08	02/18/09 Sold	46	13 922	6 721	640 45	309 19	(331 26)	ST
SOUTHWEST AIRLINES CO	06/16/08	02/19/09 Sold	156	13 922	6 91	2,171 98	1,077 98	(1 094 00)	ST
	Total		769			$ 10,206 42	$ 5,202 43	($ 5,003 99)	
STANCORP FINANCIAL GROUP	10/17/08	02/03/09 Sold	7	$ 38 80	$ 26 121	$ 271 60	$ 182 84	($ 88 76)	ST
SUNTRUST BANKS INC	12/11/08	02/03/09 Sold	34	27 559	10 229	937 01	347 78	(589 23)	ST
	12/19/08	02/03/09 Sold	50	29 523	10 229	1,476 17	511 45	(964 72)	ST
	12/23/08	02/03/09 Sold	88	27 514	10 229	2,421 26	900 15	(1,521 11)	ST

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SUNTRUST BANKS INC	12/23/08	02/06/09 Sold	4	$ 27 514	$ 12 093	$ 110 06	$ 48 38	($ 61 68)	ST
	12/24/08	02/06/09 Sold	42	27 561	12 093	1,157 57	507 94	(649 63)	ST
	12/29/08	02/06/09 Sold	65	27 172	12 093	1,766 18	786 09	(980 09)	ST
	Total		283			$ 7,868 25	$ 3,101 79	($ 4,766 46)	
TIMKEN CO	08/07/08	02/05/09 Sold	38	$ 32 432	$ 14 701	$ 1 232 41	$ 558 63	($ 673 78)	ST
	09/11/08	02/05/09 Sold	21	28 801	14 701	604 84	308 72	(296 12)	ST
TIMKEN CO	09/11/08	02/12/09 Sold	42	28 801	13 834	1,209 68	581 05	(628 63)	ST
	09/18/08	02/12/09 Sold	21	26 257	13 834	551 40	290 53	(260 87)	ST
TIMKEN CO	09/18/08	02/17/09 Sold	25	26 257	13 727	656 43	343 18	(313 25)	ST
	Total		147			$ 4,264 78	$ 2,082 11	($ 2,172 65)	
TRINITY INDUSTRIES INC	09/18/08	02/05/09 Sold	39	$ 30 217	$ 11 396	$ 1,178 46	$ 444 46	($ 734 00)	ST
UNION PACIFIC CORP	07/30/08	02/02/09 Sold	41	83 201	42 712	3 411 25	1,751 22	(1 660 03)	ST
UNION PACIFIC CORP	07/30/08	02/06/09 Sold	20	83 201	49 13	1,664 03	982 61	(681 42)	ST
	07/31/08	02/06/09 Sold	81	83 373	49 13	6,753 24	3,979 56	(2,773 68)	ST
	Total		142			$ 11,828 52	$ 6,713 39	($ 5,115 13)	
UNITED RENTALS INC	10/02/08	02/17/09 Sold	138	$ 13 347	$ 4 884	$ 1,841 98	$ 674 04	($ 1,167 94)	ST
V F CORP	10/27/08	02/26/09 Sold	19	47 303	52 908	898 77	1 005 26	106 49	ST
	11/07/08	02/26/09 Sold	24	50 967	52 908	1 223 22	1 269 80	46 58	ST
	11/24/08	02/26/09 Sold	20	43 937	52 908	878 75	1 058 16	179 41	ST
	Total		63			$ 3,000 74	$ 3,333 22	$ 332 48	
VARIAN MEDICAL SYSTEMS INC	10/30/08	02/03/09 Sold	36	$ 45 11	$ 36 491	$ 1,623 99	$ 1,313 68	($ 310 31)	ST

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
VARIAN MEDICAL SYSTEMS INC	10/30/08	02/05/09 Sold	17	$ 45 11	$ 37 77	$ 766 89	$ 642 10	($ 124 79)	ST
	11/13/08	02/05/09 Sold	22	40 318	37 77	887 00	830 95	(56 05)	ST
VARIAN MEDICAL SYSTEMS INC	11/13/08	02/06/09 Sold	33	40 318	38 62	1,330 49	1,274 45	(56 04)	ST
VARIAN MEDICAL SYSTEMS INC	11/13/08	02/12/09 Sold	21	40 318	36 812	846 68	773 06	(73 62)	ST
	11/17/08	02/12/09 Sold	8	40 283	36 812	322 27	294 50	(27 77)	ST
VARIAN MEDICAL SYSTEMS INC	11/17/08	02/18/09 Sold	24	40 283	35 768	966 81	858 43	(108 38)	ST
VARIAN MEDICAL SYSTEMS INC	11/17/08	02/19/09 Sold	23	40 283	35 184	926 53	809 24	(117 29)	ST
	Total		184			$ 7,670 68	$ 6,796 41	($ 874 25)	
WORTHINGTON INDUSTRIES INC	10/21/08	02/17/09 Sold	80	$ 11 929	$ 10 18	$ 954 32	$ 814 42	($ 139 90)	ST
	10/30/08	02/17/09 Sold	4	11 298	10 18	45 19	40 72	(4 47)	ST
	Total		84			$ 999 51	$ 855 14	($ 144 37)	
Total Long Term this period								($ 5,783 01)	
Total Short Term this period								($ 31,558 65)	
Total realized gain or (loss) - this period						$ 262,484 43	$ 225,142 77	($ 37,341 66)	
Total Long Term - year-to-date								($ 7,060 76)	
Total Short Term - year-to-date								($ 61,153 89)	
Total realized gain or (loss) - year-to-date						$ 420,640 80	$ 352,426 15	($ 68,214 65)	

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account If you would like copies please ask your Financial Advisor or Portfolio Manager

Please notify your Financial Advisor if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy, please contact your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT-Adv#10

RICE FOUNDATION Account number 383-1597C-10 JD1

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Trades are allocated using the FIFO (first-in-first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALEXANDER & BALDWIN INC	02/12/09	03/04/09 Sold	39	$ 22 918	$ 18 005	$ 893 83	$ 702 19	($ 191 64)	ST
ALLIANCE DATA SYSTEMS CORP	10/30/08	03/03/09 Sold	18	48 61	26 705	874 99	480 69	(394 30)	ST
	11/13/08	03/03/09 Sold	11	44 216	26 705	486 38	293 76	(192 62)	ST
ALLIANCE DATA SYSTEMS CORP	11/13/08	03/09/09 Sold	29	44 216	25 475	1,282 28	738 79	(543 49)	ST
ALLIANCE DATA SYSTEMS CORP	11/13/08	03/16/09 Sold	1	44 216	32 634	44 22	32 63	(11 59)	ST
	11/17/08	03/16/09 Sold	24	38 801	32 634	931 24	783 21	(148 03)	ST
ALLIANCE DATA SYSTEMS CORP	11/17/08	03/25/09 Sold	12	38 801	35 609	465 62	427 31	(38 31)	ST
	11/19/08	03/25/09 Sold	12	39 982	35 609	479 79	427 31	(52 48)	ST
ALLIANCE DATA SYSTEMS CORP	11/19/08	03/30/09 Sold	12	39 982	35 104	479 79	421 25	(58 54)	ST
	Total		119			$ 5,044 31	$ 3,604 95	($ 1,439 36)	
ALLSTATE CORP	11/21/07	03/03/09 Sold	10	$ 49 785	$ 15 713	$ 497 85	$ 157 14	($ 340 71)	LT
	12/10/07	03/03/09 Sold	59	53 292	15 713	3,144 28	927 10	(2,217 18)	LT
ALLSTATE CORP	12/10/07	03/05/09 Sold	128	53 292	14 702	6 821 48	1 881 94	(4 939 54)	LT
	Total		197			$ 10 463 61	$ 2,966 18	($ 7,497 43)	
AMERICAN TOWER CORP CLASS A	11/13/08	03/03/09 Sold	92	$ 26 13	$ 27 405	$ 2,404 02	$ 2,521 30	$ 117 28	ST
	12/15/08	03/03/09 Sold	14	26 258	27 405	367 62	383 68	16 06	ST
AMERICAN TOWER CORP-CLASS A	12/15/08	03/04/09 Sold	40	26 258	28 165	1,050 35	1,126 62	76 27	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT-Rebal 10

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN TOWER CORP CLASS A	12/15/08	03/09/09 Sold	19	$ 26 258	$ 27 139	$ 498 92	$ 515 63	$ 16 71	ST
	Total		**165**			**$ 4,320 91**	**$ 4,547 23**	**$ 226 32**	
ARCHER DANIELS MIDLAND CO	10/28/08	03/09/09 Sold	156	$ 19 511	$ 26 229	$ 3 043 83	$ 4,091 82	$ 1,047 99	ST
	10/30/08	03/09/09 Sold	54	22 131	26 229	1,195 08	1 416 40	221 32	ST
	Total		**210**			**$ 4,238 91**	**$ 5,508 22**	**$ 1,269 31**	
BANK NEW YORK MELLON CORP	12/19/08	03/30/09 Sold	103	$ 27 086	$ 26 70	$ 2,789 88	$ 2,750 18	($ 39 70)	ST
	12/23/08	03/30/09 Sold	115	26 311	26 70	3 025 84	3,070 58	44 74	ST
	Total		**218**			**$ 5,815 72**	**$ 5,820 76**	**$ 5 04**	
C R BARD INC NEW JERSEY	02/18/09	03/18/09 Sold	11	$ 86 968	$ 75 535	$ 956 65	$ 830 88	($ 125 77)	ST
C R BARD INC NEW JERSEY	02/18/09	03/19/09 Sold	7	86 968	76 772	608 78	537 40	(71 38)	ST
	Total		**18**			**$ 1,565 43**	**$ 1,368 28**	**($ 197 15)**	
BURLINGTON NORTHERN SANTA FE	03/13/09	03/31/09 Sold	37	$ 55 214	$ 60 252	$ 2 042 95	$ 2,229 31	$ 186 36	ST
	03/25/09	03/31/09 Sold	9	58 855	60 252	529 70	542 26	12 56	ST
	Total		**46**			**$ 2,572 65**	**$ 2,771 57**	**$ 198 92**	
CMS ENERGY CORP	09/23/08	03/03/09 Sold	108	$ 12 747	$ 10 786	$ 1,367 01	$ 1,164 95	($ 202 06)	ST
CMS ENERGY CORP	09/23/08	03/04/09 Sold	20	12 747	10 932	253 15	218 65	(34 50)	ST
	09/30/08	03/04/09 Sold	75	12 306	10 932	916 27	819 96	(96 31)	ST
CMS ENERGY CORP	09/30/08	03/09/09 Sold	21	12 306	10 772	256 55	226 23	(30 32)	ST
	10/30/08	03/09/09 Sold	43	10 321	10 772	440 02	463 22	23 20	ST
	Total		**267**			**$ 3,233 00**	**$ 2,893 01**	**($ 339 99)**	
CSX CORP	12/05/08	03/03/09 Sold	82	$ 32 965	$ 23 047	$ 2,703 14	$ 1 889 86	($ 813 28)	ST
	12/11/08	03/03/09 Sold	33	35 204	23 047	1 161 76	760 55	(401 21)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MPT- Sched 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CSX CORP	12/11/08	03/09/09 Sold	52	$ 35 204	$ 21 21	$ 1 830 64	$ 1 102 92	($ 727 72)	ST
	Total		167			$ 5,695 54	$ 3,753 33	($ 1,942 21)	
CEPHALON INC	10/30/08	03/31/09 Sold	16	$ 69 601	$ 67 911	$ 1,113 62	$ 1 086 57	($ 27 05)	ST
CUMMINS INC	07/08/08	03/05/09 Sold	45	64 354	19 548	2,895 95	879 67	(2 016 28)	ST
	07/15/08	03/05/09 Sold	20	63 658	19 548	1 273 17	390 96	(882 21)	ST
CUMMINS INC	07/15/08	03/19/09 Sold	65	63 658	24 666	4,137 81	1,603 34	(2,534 47)	ST
	07/18/08	03/19/09 Sold	39	66 342	24 666	2 587 36	962 00	(1,625 36)	ST
CUMMINS INC	07/18/08	03/20/09 Sold	34	66 342	23 88	2 255 65	811 93	(1 443 72)	ST
	07/25/08	03/20/09 Sold	22	66 056	23 88	1 453 25	525 36	(927 89)	ST
CUMMINS INC	07/25/08	03/25/09 Sold	29	66 056	26 225	1,915 64	760 54	(1 155 10)	ST
	Total		254			$ 16,518 83	$ 5,933 80	($ 10,585 03)	
DECKERS OUTDOOR CORP	09/11/08	03/03/09 Sold	7	$ 112 213	$ 39 278	$ 785 49	$ 274 95	($ 510 54)	ST
	10/21/08	03/03/09 Sold	11	94 379	39 278	1,038 18	432 06	(606 12)	ST
	10/27/08	03/03/09 Sold	1	77 794	39 278	77 79	39 27	(38 52)	ST
DECKERS OUTDOOR CORP	10/27/08	03/25/09 Sold	11	77 794	51 846	855 74	570 30	(285 44)	ST
	10/30/08	03/25/09 Sold	6	75 399	51 846	452 40	311 08	(141 32)	ST
DECKERS OUTDOOR CORP	10/30/08	03/31/09 Sold	7	75 399	53 614	527 80	375 29	(152 51)	ST
	Total		43			$ 3,737 40	$ 2,002 95	($ 1,734 46)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Sched 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
DEERE & CO	09/15/08	03/31/09 Sold	4	$ 61 937	$ 33 213	$ 247 75	$ 132 85	($ 114 90)	ST
	09/23/08	03/31/09 Sold	38	61 852	33 213	2,350 41	1,262 09	(1,088 32)	ST
	10/22/08	03/31/09 Sold	50	34 784	33 213	1 739 24	1,660 65	(78 59)	ST
	Total		92			$ 4,337 40	$ 3,055 59	($ 1,281 81)	
E I DU PONT DE NEMOURS & CO	03/03/09	03/25/09 Sold	140	$ 17 631	$ 22 952	$ 2,468 42	$ 3 213 33	$ 744 91	ST
E I DU PONT DE NEMOURS & CO	03/03/09	03/27/09 Sold	92	17 631	22 856	1 622 11	2,102 81	480 70	ST
	Total		232			$ 4 090 53	$ 5,316 14	$ 1,225 61	
FLIR SYSTEMS INC	07/31/08	03/03/09 Sold	18	$ 41 042	$ 19 859	$ 738 77	$ 357 46	($ 381 31)	ST
F5 NETWORKS INC	10/24/08	03/03/09 Sold	26	22 363	19 825	581 44	515 44	(66 00)	ST
	10/27/08	03/03/09 Sold	22	23 477	19 825	516 50	436 15	(80 35)	ST
F5 NETWORKS INC	10/27/08	03/04/09 Sold	36	23 477	20 068	845 19	722 47	(122 72)	ST
	10/28/08	03/04/09 Sold	1	23 99	20 068	23 99	20 07	(3 92)	ST
F5 NETWORKS INC	10/28/08	03/31/09 Sold	39	23 99	21 141	935 63	824 52	(111 11)	ST
	Total		124			$ 2,902 75	$ 2,518 65	($ 384 10)	
FIRST SOLAR INC	12/10/08	03/03/09 Sold	9	$ 119 007	$ 109 613	$ 1 071 06	$ 986 52	($ 84 54)	ST
	12/29/08	03/03/09 Sold	19	135 57	109 613	2 575 85	2,082 64	(493 21)	ST
	Total		28			$ 3,646 91	$ 3 089 16	($ 577 75)	
FREEPORT MCMORAN COPPER & GOLD CL B	12/29/08	03/03/09 Sold	17	$ 23 143	$ 28 117	$ 393 43	$ 477 99	$ 84 56	ST
	12/30/08	03/03/09 Sold	55	23 342	28 117	1 283 85	1 546 45	262 60	ST
	12/31/08	03/03/09 Sold	129	24 061	28 117	3,103 89	3,627 12	523 23	ST
	01/02/09	03/03/09 Sold	99	26 596	28 117	2 633 06	2 783 61	150 55	ST

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

SCHEDULE 10

MDT- Sched 10

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FREEPORT MCMORAN COPPER & GOLD CL B	01/02/09	03/04/09 Sold	22	$ 26 596	$ 32 219	$ 585 13	$ 708 83	$ 123 70	ST
	Total		322			$ 7,999 36	$ 9,144 00	$ 1,144 64	
GAP INC DELAWARE	02/03/09	03/16/09 Sold	104	$ 11 258	$ 12 123	$ 1 170 83	$ 1,260 84	$ 90 01	ST
	02/05/09	03/16/09 Sold	86	11 26	12 123	968 43	1,042 62	74 19	ST
	02/12/09	03/16/09 Sold	132	11 415	12 123	1 506 78	1 600 31	93 53	ST
GAP INC DELAWARE	02/12/09	03/17/09 Sold	12	11 415	12 053	136 98	144 63	7 65	ST
	Total		334			$ 3,783 02	$ 4,048 40	$ 265 38	
GENERAL ELECTRIC CO	02/02/09	03/23/09 Sold	113	$ 11 637	$ 10 109	$ 1,315 00	$ 1,142 41	($ 172 59)	ST
HUMANA INC	10/30/08	03/25/09 Sold	27	29 482	25 196	796 04	680 31	(115 73)	ST
	11/17/08	03/25/09 Sold	31	30 128	25 196	933 97	781 10	(152 87)	ST
HUMANA INC	11/17/08	03/27/09 Sold	8	30 128	25 498	241 02	203 98	(37 04)	ST
	12/02/08	03/27/09 Sold	25	28 703	25 498	717 59	637 44	(80 15)	ST
HUMANA INC	12/02/08	03/31/09 Sold	16	28 703	26 503	459 26	424 04	(35 22)	ST
	Total		107			$ 3,147 88	$ 2,726 87	($ 421 01)	
JOHNSON CONTROLS INC	10/29/08	03/19/09 Sold	138	$ 16 396	$ 11 241	$ 2 262 70	$ 1,551 26	($ 711 44)	ST
KB HOME	08/09/07	03/31/09 Sold	23	36 645	13 049	842 84	300 13	(542 71)	LT
	08/15/07	03/31/09 Sold	37	31 796	13 049	1,176 48	482 81	(693 67)	LT
	Total		60			$ 2,019 32	$ 782 94	($ 1,236 38)	
MEDCO HEALTH SOLUTIONS INC	02/03/09	03/03/09 Sold	72	$ 47 253	$ 38 722	$ 3 402 26	$ 2 787 99	($ 614 27)	ST
MEDCO HEALTH SOLUTIONS INC	02/03/09	03/04/09 Sold	10	47 253	39 694	472 54	396 94	(75 60)	ST
	02/13/09	03/04/09 Sold	27	47 104	39 694	1,271 82	1,071 74	(200 08)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - pchd 10

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MEDCO HEALTH SOLUTIONS INC	02/18/09	03/13/09 Sold	47	$ 45 656	$ 40 112	$ 2 145 85	$ 1,885 27	($ 260 58)	ST
MEDCO HEALTH SOLUTIONS INC	02/18/09	03/18/09 Sold	3	45 656	40 371	136 97	121 11	(15 86)	ST
	Total		159			$ 7,429 44	$ 6,263 05	($ 1,166 39)	
MERCK & CO INC	04/01/08	03/13/09 Sold	141	$ 38 273	$ 25 762	$ 5,396 51	$ 3 632 43	($ 1,764 08)	ST
	04/07/08	03/13/09 Sold	40	41 216	25 762	1 648 68	1 030 48	(618 20)	ST
	04/24/08	03/13/09 Sold	61	39 876	25 762	2 432 46	1,571 48	(860 98)	ST
	07/08/08	03/13/09 Sold	64	37 18	25 762	2,379 56	1,648 76	(730 80)	ST
	07/15/08	03/13/09 Sold	3	36 723	25 762	110 17	77 29	(32 88)	ST
MERCK & CO INC	07/15/08	03/24/09 Sold	45	36 723	27 83	1,652 58	1,252 37	(400 21)	ST
	10/02/08	03/24/09 Sold	122	31 696	27 83	3 866 96	3 395 32	(471 64)	ST
	10/08/08	03/24/09 Sold	48	29 236	27 83	1 403 35	1,335 87	(67 48)	ST
	10/09/08	03/24/09 Sold	44	27 835	27 83	1 224 78	1,224 54	(24)	ST
	10/10/08	03/24/09 Sold	86	30 13	27 83	2 591 21	2 393 43	(197 78)	ST
MERCK & CO INC	10/30/08	03/25/09 Sold	153	30 13	27 511	4 609 93	4,209 28	(400 65)	ST
	11/04/08	03/25/09 Sold	99	30 632	27 511	3 032 64	2 723 65	(308 99)	ST
	11/20/08	03/25/09 Sold	82	25 365	27 511	2,079 94	2 255 95	176 01	ST
	Total		988			$ 32,428 77	$ 26,760 85	($ 5,677 92)	
MONSANTO CO NEW	07/25/07	03/03/09 Sold	46	$ 66 312	$ 72 49	$ 3 050 35	$ 3,334 56	$ 284 21	LT
	07/27/07	03/03/09 Sold	23	64 722	72 49	1 488 62	1 667 28	178 66	LT
MONSANTO CO NEW	07/27/07	03/04/09 Sold	53	64 722	74 056	3,430 29	3,924 95	494 66	LT
MONSANTO CO NEW	07/27/07	03/09/09 Sold	58	64 722	73 052	3 753 91	4 237 02	483 11	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Sched 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MONSANTO CO NEW	07/27/07	03/19/09 Sold	52	$ 64 722	$ 82 272	$ 3,365 57	$ 4 278 12	$ 912 55	LT
	07/30/07	03/19/09 Sold	3	64 61	82 272	193 83	246 81	52 98	LT
MONSANTO CO NEW	07/30/07	03/25/09 Sold	67	64 61	82 765	4 328 89	5,545 27	1 216 38	LT
MONSANTO CO NEW	07/30/07	03/31/09 Sold	26	64 61	83 039	1,679 86	2,159 00	479 14	LT
	Total		328			$ 21,291 32	$ 26,393 01	$ 4,101 69	
MOTOROLA INC DE	12/11/08	03/25/09 Sold	207	$ 4 242	$ 4 224	$ 878 28	$ 874 52	($ 3 76)	ST
MOTOROLA INC DE	12/11/08	03/27/09 Sold	154	4 242	4 347	653 41	669 51	16 10	ST
	Total		361			$ 1,531 69	$ 1,544 03	$ 12 34	
MYRIAD GENETICS INC	12/05/08	03/31/09 Sold	42	$ 30 814	$ 46 251	$ 1,294 20	$ 1,942 53	$ 648 33	ST
NCR CORP NEW	10/21/08	03/03/09 Sold	5	17 261	7 152	86 31	35 76	(50 55)	ST
	10/22/08	03/03/09 Sold	56	16 248	7 152	909 89	400 50	(509 39)	ST
	Total		61			$ 996 20	$ 436 26	($ 559 94)	
NORFOLK SOUTHERN CORP	01/20/09	03/13/09 Sold	70	$ 36 256	$ 29 476	$ 2,537 93	$ 2,063 31	($ 474 62)	ST
NORFOLK SOUTHERN CORP	01/20/09	03/25/09 Sold	35	36 256	34 251	1 268 96	1 198 79	(70 17)	ST
	02/02/09	03/25/09 Sold	47	37 476	34 251	1 761 40	1,609 80	(151 60)	ST
	02/06/09	03/25/09 Sold	14	41 27	34 251	577 79	479 51	(98 28)	ST
NORFOLK SOUTHERN CORP	02/06/09	03/27/09 Sold	76	41 27	35 064	3,136 57	2 664 90	(471 67)	ST
NORFOLK SOUTHERN CORP	02/06/09	03/31/09 Sold	31	41 27	33 67	1 279 39	1,043 78	(235 61)	ST
	Total		273			$ 10,562 04	$ 9,060 09	($ 1,501 95)	
NOVELLUS SYS INC	07/25/08	03/31/09 Sold	59	$ 19 884	$ 16 635	$ 1 173 20	$ 981 50	($ 191 70)	ST
OM GROUP INC	02/12/09	03/31/09 Sold	42	20 288	19 172	852 12	805 24	(46 88)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT-Sched 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
OWENS CORNING INC NEW	10/27/08	03/09/09 Sold	39	$ 16 316	$ 5 404	$ 636 33	$ 210 79	($ 425 54)	ST
	10/30/08	03/09/09 Sold	60	15 615	5 404	936 94	324 29	(612 65)	ST
	Total		99			$ 1,573 27	$ 535 08	($ 1,038 19)	
PPG INDUSTRIES INC	02/18/09	03/30/09 Sold	32	$ 34 086	$ 36 354	$ 1 090 76	$ 1,163 34	$ 72 58	ST
	02/19/09	03/30/09 Sold	27	34 004	36 354	918 12	981 56	63 44	ST
	Total		59			$ 2,008 88	$ 2,144 90	$ 136 02	
PROTECTIVE LIFE CORP	04/23/07	03/23/09 Sold	89	$ 46 45	$ 5 269	$ 4,134 05	$ 468 95	($ 3 665 10)	LT
RYDER SYSTEM INC	01/04/08	03/03/09 Sold	13	41 922	20 983	544 99	272 79	(272 20)	LT
	01/24/08	03/03/09 Sold	22	48 483	20 983	1 066 63	461 64	(604 99)	LT
	Total		35			$ 1,611 62	$ 734 43	($ 877 19)	
SEMPRA ENERGY	08/26/08	03/31/09 Sold	41	$ 57 536	$ 46 297	$ 2,359 00	$ 1 898 17	($ 460 83)	ST
	09/02/08	03/31/09 Sold	33	58 015	46 297	1 914 51	1,527 80	(386 71)	ST
	Total		74			$ 4,273 51	$ 3,425 97	($ 847 54)	
SOUTHWEST AIRLINES CO	06/16/08	03/03/09 Sold	62	$ 13 922	$ 5 271	$ 863 22	$ 326 86	($ 536 36)	ST
	07/08/08	03/03/09 Sold	106	13 711	5 271	1 453 42	558 82	(894 60)	ST
	07/15/08	03/03/09 Sold	9	13 607	5 271	122 47	47 44	(75 03)	ST
SOUTHWEST AIRLINES CO	07/15/08	03/05/09 Sold	91	13 607	5 025	1,238 26	457 28	(780 98)	ST
	07/18/08	03/05/09 Sold	49	15 125	5 025	741 16	246 22	(494 94)	ST
SOUTHWEST AIRLINES CO	07/18/08	03/16/09 Sold	42	15 125	5 822	635 28	244 53	(390 75)	ST
	09/22/08	03/16/09 Sold	88	14 667	5 822	1,290 76	512 34	(778 42)	ST
	10/01/08	03/16/09 Sold	68	14 191	5 822	965 04	395 90	(569 14)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

1DT- Schedule 10

Ref: 00005973 00048915

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Smith Barney Reserved Client Statement

March 1 - March 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SOUTHWEST AIRLINES CO	10/01/08	03/19/09 Sold	27	$ 14 191	$ 5 827	$ 312 22	$ 128 19	($ 184 03)	ST
	Total		537			$ 7,621 83	$ 2,917 58	($ 4,704 25)	
STERIS CORP	09/18/08	03/03/09 Sold	4	$ 35 403	$ 20 582	$ 141 61	$ 82 33	($ 59 28)	ST
	10/09/08	03/03/09 Sold	31	30 462	20 582	944 34	638 04	(306 30)	ST
STERIS CORP	10/09/08	03/16/09 Sold	25	30 462	21 301	761 56	532 53	(229 03)	ST
	10/20/08	03/16/09 Sold	17	33 189	21 301	564 22	362 12	(202 10)	ST
STERIS CORP	10/20/08	03/20/09 Sold	13	33 189	21 688	431 47	281 95	(149 52)	ST
	10/22/08	03/20/09 Sold	30	31 406	21 688	942 19	650 66	(291 53)	ST
	Total		120			$ 3 785 39	$ 2,547 63	($ 1,237 76)	
SUNTRUST BANKS INC	12/29/08	03/02/09 Sold	27	$ 27 172	$ 11 29	$ 733 64	$ 304 85	($ 428 79)	ST
	12/31/08	03/02/09 Sold	85	28 917	11 29	2 457 95	959 70	(1 498 25)	ST
	01/02/09	03/02/09 Sold	53	29 862	11 29	1 582 72	598 40	(984 32)	ST
	01/12/09	03/02/09 Sold	69	24 466	11 29	1 688 22	779 05	(909 17)	ST
SUNTRUST BANKS INC	01/12/09	03/03/09 Sold	6	24 466	11 482	146 80	68 88	(77 92)	ST
	Total		240			$ 6,609 33	$ 2,710 88	($ 3,898 45)	
VARIAN MEDICAL SYSTEMS INC	11/17/08	03/03/09 Sold	17	$ 40 283	$ 29 394	$ 684 83	$ 499 70	($ 185 13)	ST
	11/19/08	03/03/09 Sold	8	37 749	29 394	301 99	235 15	(66 84)	ST
VARIAN MEDICAL SYSTEMS INC	11/19/08	03/05/09 Sold	25	37 749	27 964	943 73	699 09	(244 64)	ST
VARIAN MEDICAL SYSTEMS INC	11/19/08	03/16/09 Sold	23	37 749	31 54	868 24	725 42	(142 82)	ST
	Total		73			$ 2,798 79	$ 2,159 36	($ 639 43)	

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CAPITAL GAINS & LOSSES

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March 1 - March 31, 2009

Ref 00005973 00048946

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WMS INDUSTRIES INC	10/30/08	03/03/09 Sold	39	$ 23 522	$ 17 513	$ 917 36	$ 682 99	($ 234 37)	ST
	11/17/08	03/03/09 Sold	2	21 493	17 513	42 99	35 03	(7 96)	ST
WMS INDUSTRIES INC	11/17/08	03/09/09 Sold	39	21 493	16 74	838 24	652 87	(185 37)	ST
	Total		80			$ 1,798 59	$ 1,370 89	($ 427 70)	
WILLIAMS COS INC	02/26/09	03/17/09 Sold	140	$ 12 05	$ 11 305	$ 1,687 11	$ 1,582 73	($ 104 38)	ST
WORTHINGTON INDUSTRIES INC	10/30/08	03/04/09 Sold	77	11 298	7 981	869 96	614 60	(255 36)	ST
WYNN RESORTS LTD	03/03/09	03/31/09 Sold	41	17 322	19 533	710 21	800 85	90 64	ST
	03/09/09	03/31/09 Sold	18	15 667	19 533	282 01	351 59	69 58	ST
	Total		59			$ 992 22	$ 1,152 44	$ 160 22	
Total Long Term this period								($ 9,174 41)	
Total Short Term this period								($ 37 392 60)	
Total realized gain or (loss) this period						$ 218,780 93	$ 172,213 92	($ 46,567 01)	
Total Long Term - year-to date								($ 16,235 17)	
Total Short Term year to-date								($ 98,546 49)	
Total realized gain or (loss) - year to-date						$ 639,421 73	$ 524,640 07	($ 114,781 66)	

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Please notify your Financial Advisor if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy, please contact your Financial Advisor

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Trades are allocated using the FIFO (first in first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AFLAC INC	12/02/08	04/15/09 Sold	42	$ 43 024	$ 24 192	$ 1,807 02	$ 1 016 06	($ 790 96)	ST
AFLAC INC	12/02/08	04/20/09 Sold	17	43 024	25 60	731 41	435 19	(296 22)	ST
	Total		59			$ 2,538 43	$ 1,451 25	($ 1,087 18)	
ALASKA AIR GROUP INC	12/29/08	04/20/09 Sold	46	$ 28 278	$ 18 908	$ 1 300 82	$ 869 75	($ 431 07)	ST
ALASKA AIR GROUP INC	12/29/08	04/30/09 Sold	19	28 278	16 935	537 29	321 77	(215 52)	ST
	12/30/08	04/30/09 Sold	31	28 636	16 935	887 74	524 99	(362 75)	ST
	01/28/09	04/30/09 Sold	3	26 164	16 935	78 49	50 80	(27 69)	ST
	Total		99			$ 2,804 34	$ 1,767 31	($ 1,037 03)	
ALLEGHENY TECHNOLOGIES INC	01/20/09	04/20/09 Sold	38	$ 25 118	$ 27 251	$ 954 50	$ 1 035 52	$ 81 02	ST
	01/28/09	04/20/09 Sold	13	24 72	27 251	321 36	354 26	32 90	ST
ALLEGHENY TECHNOLOGIES INC	01/28/09	04/30/09 Sold	58	24 72	33 07	1 433 77	1,918 06	484 29	ST
	02/03/09	04/30/09 Sold	52	22 839	33 07	1 187 67	1,719 64	531 97	ST
	Total		161			$ 3,897 30	$ 5,027 48	$ 1,130 18	
AMERICAN ELECTRIC POWER CO INC	12/31/08	04/09/09 Sold	44	$ 32 849	$ 26 249	$ 1 445 40	$ 1,154 94	($ 290 46)	ST
	02/12/09	04/09/09 Sold	83	31 01	26 249	2,573 90	2,178 64	(395 26)	ST
AMERICAN ELECTRIC POWER CO INC	02/12/09	04/20/09 Sold	18	31 01	26 91	558 20	484 38	(73 82)	ST
	02/17/09	04/20/09 Sold	74	30 279	26 91	2,240 69	1,991 34	(249 35)	ST
	02/18/09	04/20/09 Sold	68	29 857	26 91	2,030 33	1,829 89	(200 44)	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN ELECTRIC POWER CO INC	02/18/09	04/22/09 Sold	12	$ 29 857	$ 26 327	$ 358 29	$ 315 92	($ 42 37)	ST
	02/19/09	04/22/09 Sold	68	30 152	26 327	2,050 38	1,790 21	(260 17)	ST
	03/04/09	04/22/09 Sold	50	25 757	26 327	1 287 89	1,316 33	28 44	ST
AMERICAN ELECTRIC POWER CO INC	03/04/09	04/27/09 Sold	15	25 757	26 023	386 37	390 35	3 98	ST
	03/05/09	04/27/09 Sold	48	24 599	26 023	1,180 76	1,249 11	68 35	ST
	03/09/09	04/27/09 Sold	33	24 397	26 023	805 11	858 76	53 65	ST
	Total		513			$ 14,917 32	$ 13,559 87	($ 1,357 45)	
ARCHER DANIELS MIDLAND CO	10/30/08	04/30/09 Sold	112	$ 22 131	$ 24 706	$ 2 478 67	$ 2,767 09	$ 288 42	ST
	11/13/08	04/30/09 Sold	110	24 681	24 706	2,714 96	2,717 67	2 71	ST
	Total		222			$ 5,193 63	$ 5,484 76	$ 291 13	
AVERY DENNISON CORP	03/03/09	04/20/09 Sold	33	$ 18 73	$ 26 827	$ 618 12	$ 885 26	$ 267 14	ST
AVERY DENNISON CORP	03/03/09	04/24/09 Sold	6	18 73	29 57	112 38	177 41	65 03	ST
	Total		39			$ 730 50	$ 1,062 67	$ 332 17	
AVNET INC	01/28/09	04/30/09 Sold	45	$ 20 424	$ 22 438	$ 919 11	$ 1 009 70	$ 90 59	ST
	02/19/09	04/30/09 Sold	18	18 04	22 438	324 73	403 88	79 15	ST
	Total		63			$ 1,243 84	$ 1,413 58	$ 169 74	
BB&T CORP	01/28/09	04/30/09 Sold	100	$ 22 196	$ 23 825	$ 2,219 62	$ 2,382 47	$ 162 85	ST
	02/03/09	04/30/09 Sold	100	18 711	23 825	1,871 13	2 382 47	511 34	ST
	02/05/09	04/30/09 Sold	34	17 408	23 825	591 88	810 05	218 17	ST
	Total		234			$ 4,682 63	$ 5,674 99	$ 892 36	

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CAPITAL GAINS & LOSSES

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BANK NEW YORK MELLON CORP	12/23/08	04/01/09 Sold	15	$ 26 311	$ 28 35	$ 394 67	$ 425 25	$ 30 58	ST
	12/24/08	04/01/09 Sold	34	26 219	28 35	891 45	963 90	72 45	ST
	12/30/08	04/01/09 Sold	32	26 739	28 35	855 65	907 19	51 54	ST
BANK NEW YORK MELLON CORP	12/30/08	04/06/09 Sold	17	26 739	27 993	454 56	475 88	21 32	ST
	01/28/09	04/06/09 Sold	19	26 967	27 993	512 37	531 87	19 50	ST
BANK NEW YORK MELLON CORP	01/28/09	04/07/09 Sold	40	26 967	27 044	1,078 68	1,081 73	3 05	ST
	02/17/09	04/07/09 Sold	51	23 521	27 044	1,199 61	1,379 20	179 59	ST
BANK NEW YORK MELLON CORP	02/17/09	04/13/09 Sold	42	23 521	29 11	987 91	1,222 61	234 70	ST
BANK NEW YORK MELLON CORP	02/17/09	04/14/09 Sold	9	23 521	31 174	211 70	280 56	68 86	ST
	02/19/09	04/14/09 Sold	59	23 951	31 174	1,413 14	1 839 21	426 07	ST
	Total		318			$ 7,999 74	$ 9,107 40	$ 1,107 66	
BURLINGTON NORTHERN SANTA FE	03/25/09	04/08/09 Sold	32	$ 58 855	$ 63 827	$ 1 883 39	$ 2,042 43	$ 159 04	ST
BURLINGTON NORTHERN SANTA FE	03/25/09	04/15/09 Sold	14	58 855	66 278	823 98	927 87	103 89	ST
	Total		46			$ 2,707 37	$ 2,970 30	$ 262 93	
CARNIVAL CORP (PAIRED STOCK)	11/17/08	04/20/09 Sold	53	$ 19 284	$ 25 395	$ 1,022 06	$ 1,345 92	$ 323 86	ST
	11/19/08	04/20/09 Sold	126	17 131	25 395	2 158 62	3,199 73	1 041 11	ST
	12/02/08	04/20/09 Sold	32	19 793	25 395	633 39	812 62	179 23	ST
CARNIVAL CORP (PAIRED STOCK)	12/02/08	04/30/09 Sold	128	19 793	27 30	2,533 57	3 494 36	960 79	ST
	12/05/08	04/30/09 Sold	136	20 366	27 30	2 769 84	3,712 75	942 91	ST
	12/11/08	04/30/09 Sold	96	22 328	27 30	2,143 56	2,620 77	477 21	ST
	Total		571			$ 11,261 04	$ 15,186 15	$ 3,925 11	

PART IV
CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CENTEX CORP	04/23/07	04/09/09 Sold	94	$ 45 725	$ 9 538	$ 4 298 18	$ 896 56	($ 3,401 62)	LT
CENTEX CORP	04/23/07	04/20/09 Sold	81	45 725	10 382	3,703 75	840 92	(2,862 83)	LT
CENTEX CORP	04/23/07	04/30/09 Sold	137	45 725	11 073	6,264 37	1,517 02	(4,747 35)	LT
	Total		312			$ 14,266 30	$ 3,254 50	($ 11,011 80)	
DEERE & CO	10/22/08	04/08/09 Sold	26	$ 34 784	$ 34 252	$ 904 41	$ 890 53	($ 13 88)	ST
	12/10/08	04/08/09 Sold	88	37 408	34 252	3,291 95	3,014 09	(277 86)	ST
DEERE & CO	12/10/08	04/14/09 Sold	6	37 408	37 317	224 45	223 90	(55)	ST
	12/15/08	04/14/09 Sold	32	36 609	37 317	1 171 50	1,194 11	22 61	ST
	Total		152			$ 5,592 31	$ 5,322 63	($ 269 68)	
DEVON ENERGY CORP NEW	02/26/09	04/07/09 Sold	43	$ 46 77	$ 46 941	$ 2,011 14	$ 2,018 41	$ 7 27	ST
	03/17/09	04/07/09 Sold	45	45 833	46 941	2,062 51	2,112 29	49 78	ST
	Total		88			$ 4,073 65	$ 4,130 70	$ 57 05	
DEVRY INC (DEL)	01/30/08	04/20/09 Sold	1	$ 54 288	$ 45 791	$ 54 29	$ 45 79	($ 8 50)	LT
	02/04/08	04/20/09 Sold	21	54 765	45 791	1 150 08	961 59	(188 49)	LT
DEVRY INC (DEL)	02/04/08	04/22/09 Sold	7	54 765	43 568	383 36	304 97	(78 39)	LT
	03/13/08	04/22/09 Sold	14	43 797	43 568	613 17	609 93	(3 24)	LT
	Total		43			$ 2,200 90	$ 1,922 28	($ 278 62)	
DISCOVERY COMMUNICATIONS INC SER A	01/20/09	04/30/09 Sold	52	$ 14 074	$ 19 081	$ 731 89	$ 992 22	$ 260 33	ST
EASTMAN CHEMICAL CO	10/30/08	04/30/09 Sold	11	39 561	40 178	435 18	441 95	6 77	ST
	11/13/08	04/30/09 Sold	19	33 817	40 178	642 54	763 37	120 83	ST
	Total		30			$ 1,077 72	$ 1,205 32	$ 127 60	
ENTERGY CORPORATION-NEW	03/27/09	04/30/09 Sold	33	$ 68 11	$ 64 544	$ 2,247 66	$ 2,129 91	($ 117 75)	ST

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CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
F5 NETWORKS INC	10/28/08	04/09/09 Sold	8	$ 23 99	$ 26 018	$ 191 92	$ 208 14	$ 16 22	ST
	10/29/08	04/09/09 Sold	38	25 242	26 018	959 23	988 66	29 43	ST
F5 NETWORKS INC	10/29/08	04/20/09 Sold	9	25 242	26 021	227 19	234 18	6 99	ST
	10/30/08	04/20/09 Sold	33	25 117	26 021	828 89	858 68	29 79	ST
F5 NETWORKS INC	10/30/08	04/22/09 Sold	7	25 117	27 718	175 83	194 02	18 19	ST
	11/13/08	04/22/09 Sold	39	21 127	27 718	823 98	1,080 97	256 99	ST
	11/17/08	04/22/09 Sold	5	22 883	27 718	114 42	138 59	24 17	ST
F5 NETWORKS INC	11/17/08	04/30/09 Sold	33	22 883	27 886	755 15	920 23	165 08	ST
	12/11/08	04/30/09 Sold	26	22 737	27 886	591 18	725 03	133 85	ST
	Total		198			$ 4,667 79	$ 5,348 50	$ 680 71	
FUEL SYSTEMS SOLUTIONS INC	10/21/08	04/09/09 Sold	29	$ 36 912	$ 14 578	$ 1 070 47	$ 422 77	($ 647 70)	ST
	10/23/08	04/09/09 Sold	30	29 607	14 578	888 24	437 34	(450 90)	ST
	Total		59			$ 1,958 71	$ 860 11	($ 1,098 60)	
HANOVER INSURANCE GROUP INC	12/17/07	04/20/09 Sold	28	$ 43 777	$ 31 758	$ 1 225 78	$ 889 20	($ 336 58)	LT
HANOVER INSURANCE GROUP INC	12/17/07	04/30/09 Sold	17	43 777	30 371	744 23	516 30	(227 93)	LT
	12/31/07	04/30/09 Sold	13	45 482	30 371	591 27	394 82	(196 45)	LT
	Total		58			$ 2,561 28	$ 1,800 32	($ 760 96)	
HARLEY DAVIDSON INC	04/20/09	04/30/09 Sold	80	$ 18 251	$ 22 277	$ 1 460 11	$ 1 782 18	$ 322 07	ST
INTL BUSINESS MACHINES CORP	04/14/09	04/29/09 Sold	37	99 334	104 005	3,675 39	3,848 12	172 73	ST
KB HOME	08/15/07	04/20/09 Sold	9	31 796	15 959	286 17	143 63	(142 54)	LT
	02/15/08	04/20/09 Sold	43	23 504	15 959	1 010 68	686 23	(324 45)	LT

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CAPITAL GAINS & LOSSES

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
KB HOME	02/15/08	04/22/09 Sold	16	$ 23 504	$ 17 713	$ 376 07	$ 283 40	($ 92 67)	LT
	02/19/08	04/22/09 Sold	35	23 866	17 713	835 34	619 94	(215 40)	LT
KB HOME	02/19/08	04/30/09 Sold	26	23 866	18 851	620 54	490 12	(130 42)	LT
	Total		129			$ 3,128 80	$ 2,223 32	($ 905 48)	
MEADWESTVACO CORP	10/23/08	04/30/09 Sold	61	$ 13 982	$ 15 696	$ 852 92	$ 957 45	$ 104 53	ST
	12/10/08	04/30/09 Sold	77	11 61	15 696	894 03	1,208 58	314 55	ST
	Total		138			$ 1,746 95	$ 2,166 03	$ 419 08	
MICRON TECHNOLOGY INC	02/04/08	04/15/09 Sold	192	$ 7 561	$ 4 396	$ 1 451 71	$ 844 18	($ 607 53)	LT
	02/11/08	04/15/09 Sold	17	6 798	4 396	115 58	74 74	(40 84)	LT
MICRON TECHNOLOGY INC	02/11/08	04/20/09 Sold	180	6 798	4 741	1 223 78	853 42	(370 36)	LT
MICRON TECHNOLOGY INC	02/11/08	04/30/09 Sold	77	6 798	4 961	523 51	382 04	(141 47)	LT
	04/10/08	04/30/09 Sold	230	6 886	4 961	1,583 83	1,141 16	(442 67)	LT
	Total		696			$ 4,898 41	$ 3,295 54	($ 1,602 87)	
MONSANTO CO NEW	07/30/07	04/09/09 Sold	31	$ 64 61	$ 82 825	$ 2,002 92	$ 2,567 53	$ 564 61	LT
	07/31/07	04/09/09 Sold	38	66 177	82 825	2 514 75	3 147 29	632 54	LT
	01/18/08	04/09/09 Sold	102	102 369	82 825	10 441 68	8,448 00	(1,993 68)	LT
	03/25/08	04/09/09 Sold	40	114 475	82 825	4 579 03	3,312 94	(1,266 09)	LT
	12/15/08	04/09/09 Sold	24	71 992	82 825	1,727 82	1,987 77	259 95	ST
MONSANTO CO NEW	12/15/08	04/14/09 Sold	47	71 992	82 064	3,383 64	3,856 92	473 28	ST
MONSANTO CO NEW	12/15/08	04/15/09 Sold	8	71 992	81 584	575 94	652 66	76 72	ST
	Total		290			$ 25,225 78	$ 23,973 11	($ 1,252 67)	

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NOVELLUS SYS INC	07/24/08	04/20/09 Sold	8	$ 19 884	$ 16 631	$ 159 08	$ 133 04	($ 26 04)	ST
	07/30/08	04/20/09 Sold	68	20 538	16 631	1,396 60	1,130 88	(265 72)	ST
	11/17/08	04/20/09 Sold	20	11 956	16 631	239 13	332 61	93 48	ST
NOVELLUS SYS INC	11/17/08	04/24/09 Sold	51	11 956	17 872	609 77	911 47	301 70	ST
	Total		147			$ 2,404 68	$ 2,508 00	$ 103 42	
OMNICOM GROUP INC	11/19/08	04/30/09 Sold	51	$ 23 756	$ 31 871	$ 1,211 59	$ 1,625 42	$ 413 83	ST
	12/10/08	04/30/09 Sold	47	28 094	31 871	1 320 46	1 497 93	177 47	ST
	Total		98			$ 2,532 05	$ 3,123 35	$ 591 30	
OVERSEAS SHIPHOLDING GROUP INC	02/15/08	04/20/09 Sold	19	$ 64 96	$ 23 928	$ 1 234 25	$ 454 62	($ 779 63)	LT
	02/19/08	04/20/09 Sold	22	67 684	23 928	1 489 05	526 41	(962 64)	LT
	Total		41			$ 2,723 30	$ 981 03	($ 1,742 27)	
J C PENNEY CO INC	01/12/09	04/20/09 Sold	65	$ 20 721	$ 25 416	$ 1,346 91	$ 1,652 02	$ 305 11	ST
PULTE HOMES INC	04/23/07	04/09/09 Sold	119	27 918	10 071	3 322 25	1,198 41	(2,123 84)	LT
PULTE HOMES INC	04/23/07	04/15/09 Sold	114	27 918	10 674	3 182 66	1,216 85	(1 965 81)	LT
PULTE HOMES INC	04/23/07	04/20/09 Sold	88	27 918	10 932	2 456 79	961 99	(1,494 80)	LT
PULTE HOMES INC	04/23/07	04/30/09 Sold	188	27 918	11 626	5 248 61	2,185 67	(3,062 94)	LT
	Total		509			$ 14,210 31	$ 5,562 92	($ 8,647 39)	
RAYMOND JAMES FINANCIAL CORP	09/18/08	04/30/09 Sold	41	$ 27 03	$ 15 766	$ 1,108 25	$ 646 40	($ 461 85)	ST
	01/16/09	04/30/09 Sold	21	16 494	15 766	346 39	331 09	(15 30)	ST
	Total		62			$ 1,454 64	$ 977 49	($ 477 15)	
RAYTHEON COMPANY NEW	03/25/09	04/30/09 Sold	99	$ 36 935	$ 45 402	$ 3 656 65	$ 4,494 76	$ 838 11	ST
RESMED INC	02/18/09	04/30/09 Sold	31	40 945	38 605	1,269 30	1,196 72	(72 58)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Acct 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
RYDER SYSTEM INC	01/24/08	04/20/09 Sold	7	$ 48 483	$ 23 904	$ 339 38	$ 167 32	($ 172 06)	LT
	03/13/08	04/20/09 Sold	29	57 02	23 904	1,653 59	693 19	(960 40)	LT
RYDER SYSTEM INC	03/13/08	04/30/09 Sold	3	57 02	28 064	171 06	84 19	(86 87)	LT
	10/30/08	04/30/09 Sold	24	37 896	28 064	909 52	673 53	(235 99)	ST
	11/13/08	04/30/09 Sold	8	36 312	28 064	290 50	224 51	(65 99)	ST
	Total		71			$ 3,364 05	$ 1,842 74	($ 1,521 31)	
RYLAND GROUP INC	02/15/08	04/30/09 Sold	51	$ 27 304	$ 21 139	$ 1,392 52	$ 1,078 10	($ 314 42)	LT
	02/19/08	04/30/09 Sold	6	26 991	21 139	161 95	126 83	(35 12)	LT
	Total		57			$ 1,554 47	$ 1,204 93	($ 349 54)	
SEARS HOLDINGS CORPORATION	10/21/08	04/15/09 Sold	17	$ 57 75	$ 52 73	$ 981 76	$ 896 39	($ 85 37)	ST
SEARS HOLDINGS CORPORATION	10/21/08	04/20/09 Sold	14	57 75	59 051	808 51	826 69	18 18	ST
	10/23/08	04/20/09 Sold	23	50 549	59 051	1,162 63	1,358 14	195 51	ST
	10/24/08	04/20/09 Sold	9	48 451	59 051	436 06	531 45	95 39	ST
SEARS HOLDINGS CORPORATION	10/24/08	04/30/09 Sold	28	48 451	62 453	1,356 65	1,748 64	391 99	ST
	10/27/08	04/30/09 Sold	39	49 804	62 453	1 942 36	2,435 60	493 24	ST
	10/28/08	04/30/09 Sold	18	52 575	62 453	946 36	1,124 13	177 77	ST
	11/13/08	04/30/09 Sold	8	41 921	62 453	335 38	499 61	164 23	ST
	Total		156			$ 7,969 71	$ 9,420 65	$ 1,450 94	
STANLEY INC	11/17/08	04/20/09 Sold	29	$ 30 69	$ 28 236	$ 890 03	$ 818 83	($ 71 20)	ST
	11/19/08	04/20/09 Sold	3	31 405	28 236	94 22	84 71	(9 51)	ST

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Sch Q 10

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
STANLEY INC	11/19/08	04/30/09 Sold	24	$ 31 405	$ 25 984	$ 753 72	$ 623 60	($ 130 12)	ST
	Total		56			$ 1,737 97	$ 1,527 14	($ 210 83)	
SUNOCO INC	11/19/08	04/15/09 Sold	22	$ 33 626	$ 27 659	$ 739 78	$ 608 50	($ 131 28)	ST
	12/01/08	04/15/09 Sold	40	36 368	27 659	1,454 74	1,106 36	(348 38)	ST
SUNOCO INC	12/01/08	04/20/09 Sold	6	36 368	26 823	218 21	160 93	(57 28)	ST
	12/10/08	04/20/09 Sold	36	38 041	26 823	1,369 50	965 61	(403 89)	ST
	02/12/09	04/20/09 Sold	17	37 502	26 823	637 55	455 98	(181 57)	ST
SUNOCO INC	02/12/09	04/30/09 Sold	58	37 502	26 851	2,175 16	1 557 34	(617 82)	ST
	02/19/09	04/30/09 Sold	6	34 36	26 851	206 16	161 10	(45 06)	ST
	Total		185			$ 6,801 10	$ 5,015 82	($ 1,785 28)	
SUNTRUST BANKS INC	04/20/09	04/24/09 Sold	131	$ 14 777	$ 15 103	$ 1 935 81	$ 1 978 54	$ 42 73	ST
THORATEC CORP	10/14/08	04/20/09 Sold	34	27 025	26 507	918 86	901 21	(17 65)	ST
THORATEC CORP	10/14/08	04/30/09 Sold	13	27 025	29 224	351 33	379 91	28 58	ST
	10/21/08	04/30/09 Sold	18	28 575	29 224	514 36	526 03	11 67	ST
	Total		65			$ 1,784 55	$ 1,807 15	$ 22 60	
US BANCORP DEL NEW	01/27/09	04/20/09 Sold	240	$ 13 488	$ 16 754	$ 3 237 24	$ 4,021 05	$ 783 81	ST
	02/12/09	04/20/09 Sold	201	13 368	16 754	2,686 99	3,367 63	680 64	ST
	Total		441			$ 5,924 23	$ 7,388 68	$ 1,464 45	
UNITEDHEALTH GROUP INC	09/15/08	04/30/09 Sold	118	$ 28 402	$ 23 778	$ 3,351 48	$ 2 805 80	($ 545 68)	ST
	10/02/08	04/30/09 Sold	79	24 95	23 778	1,971 12	1,878 46	(92 66)	ST
	10/21/08	04/30/09 Sold	103	25 451	23 778	2,621 46	2,449 13	(172 33)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Sch 10

Ref 00005016 00012389

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
UNITEDHEALTH GROUP INC	10/23/08	04/30/09 Sold	53	$ 23 427	$ 23 778	$ 1 241 65	$ 1 260 23	$ 18 58	ST
	10/24/08	04/30/09 Sold	61	22 282	23 778	1,359 23	1,450 46	91 23	ST
	10/29/08	04/30/09 Sold	75	21 718	23 778	1 628 87	1,783 35	154 48	ST
	07/12/09	04/30/09 Sold	31	28 847	23 778	894 28	737 12	(157 16)	ST
	Total		520			$ 13,068 09	$ 12,364 55	($ 703 54)	
WELLPOINT INC	09/30/08	04/30/09 Sold	96	$ 46 149	$ 42 769	$ 4 430 39	$ 4 105 79	($ 324 60)	ST
	10/08/08	04/30/09 Sold	15	40 375	42 769	605 63	641 53	35 90	ST
	Total		111			$ 5,036 02	$ 4,747 32	($ 288 70)	
WEYERHAEUSER CO	02/03/09	04/15/09 Sold	29	$ 27 024	$ 30 34	$ 783 72	$ 879 84	$ 96 12	ST
WEYERHAEUSER CO	02/03/09	04/16/09 Sold	10	27 024	30 86	270 25	308 60	38 35	ST
	Total		39			$ 1,053 97	$ 1,188 44	$ 134 47	
WYNN RESORTS LTD	03/09/09	04/07/09 Sold	27	$ 15 667	$ 30 258	$ 423 01	$ 816 94	$ 393 93	ST
Total Long Term this period								($ 28,580 88)	
Total Short Term this period								$ 7,500 11	
Total realized gain or (loss) - this period						$ 217,740 51	$ 196,659 74	($ 21,080 77)	
Total Long Term - year to date								($ 44,816 05)	
Total Short Term - year-to-date								($ 91,046 38)	
Total realized gain or (loss) - year-to-date						$ 857,162 24	$ 721,299 81	($ 135,862 43)	

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Please notify your Financial Advisor if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy please contact your Financial Advisor

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Schedule 10

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
05/29/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 05/01/09 05/31/09 31 DAYS AVERAGE YIELD 39 %	$ 6 49		$ 6 49
Total earnings from money fund			**$ 6 49**	**$ 0 00**	**$ 6 49**

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Trades are allocated using the FIFO (first-in-first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUNGE LIMITED	03/03/09	05/12/09 Sold	22	$ 43 817	$ 56 668	$ 963 98	$ 1,246 66	$ 282 68	ST
	03/09/09	05/12/09 Sold	17	48 667	56 668	827 35	963 33	135 98	ST
BUNGE LIMITED	03/09/09	05/13/09 Sold	4	48 667	55 612	194 67	222 44	27 77	ST
	03/25/09	05/13/09 Sold	31	59 609	55 612	1 847 89	1,723 95	(123 94)	ST
BUNGE LIMITED	03/25/09	05/18/09 Sold	11	59 609	56 173	655 70	617 89	(37 81)	ST
	03/31/09	05/18/09 Sold	20	56 575	56 173	1,131 51	1,123 44	(8 07)	ST
BUNGE LIMITED	03/31/09	05/28/09 Sold	19	56 575	61 237	1 074 93	1,163 48	88 55	ST
	04/09/09	05/28/09 Sold	28	56 845	61 237	1,591 66	1,714 59	122 93	ST
	Total		152			$ 8,287 69	$ 8,775 78	$ 488 09	
ALASKA AIR GROUP INC	01/28/09	05/13/09 Sold	56	$ 26 164	$ 15 889	$ 1 465 23	$ 889 75	($ 575 48)	ST
ALASKA AIR GROUP INC	01/28/09	05/18/09 Sold	1	26 164	16 115	26 16	16 12	(10 04)	ST
	02/12/09	05/18/09 Sold	43	28 204	16 115	1,212 78	692 96	(519 82)	ST
	Total		100			$ 2,704 17	$ 1,598 83	($ 1,105 34)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLEGHENY TECHNOLOGIES INC	02/03/09	05/11/09 Sold	13	$ 22 839	$ 36 988	$ 296 92	$ 480 83	$ 183 91	ST
	02/05/09	05/11/09 Sold	51	24 515	36 988	1,250 27	1,886 34	636 07	ST
	02/06/09	05/11/09 Sold	40	25 154	36 988	1,006 18	1,479 48	473 30	ST
ALLEGHENY TECHNOLOGILS INC	02/06/09	05/12/09 Sold	6	25 154	36 98	150 93	221 87	70 94	ST
	02/12/09	05/12/09 Sold	52	23 433	36 98	1,218 52	1,922 91	704 39	ST
ALLEGHENY TECHNOLOGIES INC	02/12/09	05/13/09 Sold	3	23 433	33 909	70 30	101 72	31 42	ST
	02/18/09	05/13/09 Sold	42	22 707	33 909	953 70	1 424 15	470 45	ST
ALLEGHENY TECHNOLOGILS INC	02/18/09	05/18/09 Sold	1	22 707	33 01	22 71	33 01	10 30	ST
	02/19/09	05/18/09 Sold	28	22 873	33 01	640 44	924 26	283 82	ST
ALLEGHENY TECHNOLOGIES INC	02/19/09	05/26/09 Sold	9	22 873	35 483	205 86	319 34	113 48	ST
	Total		245			$ 5,816 83	$ 8,793 91	$ 2,978 08	
AMERICAN TOWER CORP CL A G A	04/30/09	05/04/09 Sold	43	$ 32 262	$ 30 31	$ 1 387 27	$ 1,303 32	($ 83 95)	ST
ARCHER-DANILLS-MIDLAND CO	11/13/08	05/26/09 Sold	178	24 681	27 059	4,393 31	4,816 53	423 22	ST
	11/17/08	05/26/09 Sold	24	25 853	27 059	620 48	649 42	28 94	ST
	Total		202			$ 5,013 79	$ 5,465 95	$ 452 16	
AVNET INC	02/19/09	05/18/09 Sold	36	$ 18 04	$ 21 224	$ 649 46	$ 764 04	$ 114 58	ST
	03/03/09	05/18/09 Sold	46	16 308	21 224	750 20	976 28	226 08	ST
	03/09/09	05/18/09 Sold	2	15 631	21 224	31 26	42 45	11 19	ST
AVNET INC	03/09/09	05/26/09 Sold	41	15 631	23 039	640 90	944 57	303 67	ST
	03/30/09	05/26/09 Sold	45	17 085	23 039	768 84	1,036 72	267 88	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AVNET INC	03/30/09	05/28/09 Sold	44	$ 17 085	$ 23 047	$ 751 75	$ 1,014 04	$ 262 29	ST
	Total		214			$ 3,592 41	$ 4,778 10	$ 1,185 69	
BB&T CORP	02/05/09	05/11/09 Sold	74	$ 17 408	$ 24 962	$ 1,288 21	$ 1 847 15	$ 558 94	ST
	02/06/09	05/11/09 Sold	132	18 806	24 962	2 482 44	3,294 92	812 48	ST
	03/25/09	05/11/09 Sold	58	18 561	24 962	1 076 58	1 447 78	371 20	ST
	Total		264			$ 4,847 23	$ 6,589 85	$ 1 742 62	
CAPITAL ONE FINL CORP	02/03/09	05/26/09 Sold	128	$ 15 756	$ 22 551	$ 2,016 88	$ 2,886 50	$ 869 62	ST
	02/05/09	05/26/09 Sold	105	14 666	22 551	1 539 93	2,367 83	827 90	ST
	02/06/09	05/26/09 Sold	89	14 741	22 551	1,312 00	2 007 02	695 02	ST
	02/12/09	05/26/09 Sold	88	12 786	22 551	1 125 19	1,984 48	859 29	ST
	Total		410			$ 5,994 00	$ 9,246 83	$ 3,251 83	
CARNIVAL CORP (PAIRED STOCK)	12/11/08	05/04/09 Sold	46	$ 22 328	$ 27 979	$ 1 027 12	$ 1 287 01	$ 259 89	ST
	01/09/09	05/04/09 Sold	80	23 66	27 979	1,892 83	2,238 27	345 44	ST
	02/12/09	05/04/09 Sold	78	20 723	27 979	1 616 43	2 182 31	565 88	ST
	Total		204			$ 4,536 38	$ 5,707 59	$ 1,171 21	
CENTEX CORP	04/23/07	05/07/09 Sold	89	$ 45 725	$ 10 20	$ 4,069 55	$ 907 81	($ 3 161 74)	LT
CEPHALON INC	10/30/08	05/12/09 Sold	2	69 601	66 799	139 20	133 60	(5 60)	ST
	11/13/08	05/12/09 Sold	24	71 62	66 799	1 718 90	1 603 14	(115 76)	ST
CEPHALON INC	11/13/08	05/13/09 Sold	3	71 62	66 575	214 86	199 72	(15 14)	ST
	12/02/08	05/13/09 Sold	18	71 415	66 575	1,285 49	1,198 33	(87 16)	ST
	12/05/08	05/13/09 Sold	2	76 168	66 575	152 34	133 15	(19 19)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT sched 10

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CEPHALON INC	12/05/08	05/18/09 Sold	13	$ 76 168	$ 65 223	$ 990 19	$ 847 88	($ 142 31)	ST
	12/10/08	05/18/09 Sold	9	74 504	65 223	670 53	587 00	(83 53)	ST
CEPHALON INC	12/10/08	05/26/09 Sold	4	74 504	58 34	298 02	233 35	(64 67)	ST
	12/11/08	05/26/09 Sold	13	74 418	58 34	967 44	758 40	(209 04)	ST
	Total		**88**			**$ 6,436 97**	**$ 5,694 57**	**($ 742 40)**	
WALT DISNEY CO	01/16/09	05/08/09 Sold	102	$ 21 34	$ 25 431	$ 2 176 70	$ 2,593 94	$ 417 24	ST
	01/20/09	05/08/09 Sold	104	20 772	25 431	2,160 34	2,644 80	484 46	ST
	02/12/09	05/08/09 Sold	373	18 33	25 431	6 837 39	9,485 66	2 648 27	ST
	Total		**579**			**$ 11,174 43**	**$ 14,724 40**	**$ 3,549 97**	
EASTMAN CHEMICAL CO	11/13/08	05/11/09 Sold	7	$ 33 817	$ 40 89	$ 236 73	$ 286 23	$ 49 50	ST
	02/03/09	05/11/09 Sold	31	25 431	40 89	788 38	1,267 58	479 20	ST
EASTMAN CHEMICAL CO	02/03/09	05/12/09 Sold	3	25 431	39 616	76 29	118 84	42 55	ST
	Total		**41**			**$ 1,101 40**	**$ 1,672 65**	**$ 571 25**	
EBAY INC	12/01/08	05/26/09 Sold	212	$ 12 568	$ 17 564	$ 2,664 56	$ 3,723 55	$ 1 058 99	ST
	12/03/08	05/26/09 Sold	75	13 453	17 564	1,009 01	1 317 30	308 29	ST
	Total		**287**			**$ 3,673 57**	**$ 5,040 85**	**$ 1,367 28**	
F5 NETWORKS INC	12/11/08	05/04/09 Sold	23	$ 22 737	$ 28 054	$ 522 97	$ 645 22	$ 122 25	ST
FLUOR CORP NEW	04/30/09	05/11/09 Sold	34	38 754	43 504	1 317 65	1 479 13	161 48	ST
HANOVER INSURANCE GROUP INC	12/31/07	05/11/09 Sold	22	45 482	34 18	1,000 61	751 94	(248 67)	LT
	10/29/08	05/11/09 Sold	5	36 349	34 18	181 75	170 89	(10 86)	ST
HANOVER INSURANCE GROUP INC	10/29/08	05/12/09 Sold	28	36 349	33 348	1,017 78	933 72	(84 06)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MVT_ Sched 10

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HANOVER INSURANCE GROUP INC	10/29/08	05/13/09 Sold	4	$ 36 349	$ 33 046	$ 145 40	$ 132 18	($ 13 22)	ST
	Total		59			$ 2,345 54	$ 1,988 73	($ 356 81)	
HARLEY DAVIDSON INC	04/20/09	05/04/09 Sold	8	$ 18 251	$ 21 467	$ 146 01	$ 171 73	$ 25 72	ST
HONEYWELL INTL INC	02/12/09	05/12/09 Sold	112	31 327	33 018	3,508 72	3,697 97	189 25	ST
	02/19/09	05/12/09 Sold	40	30 392	33 018	1 215 72	1,320 70	104 98	ST
	03/03/09	05/12/09 Sold	118	25 033	33 018	2,953 93	3 896 07	942 14	ST
HONEYWELL INTL INC	03/03/09	05/18/09 Sold	8	25 033	32 722	200 27	261 77	61 50	ST
	03/13/09	05/18/09 Sold	62	27 087	32 722	1,679 43	2,028 76	349 33	ST
	Total		340			$ 9,558 07	$ 11,205 27	$ 1,647 20	
JOHNSON & JOHNSON	04/30/09	05/08/09 Sold	276	$ 57 025	$ 55 199	$ 14,358 98	$ 15,234 74	$ 875 76	ST
JOHNSON & JOHNSON	04/30/09	05/13/09 Sold	167	52 025	55 194	8,688 23	9 217 17	528 94	ST
	Total		443			$ 23,047 21	$ 24,451 91	$ 1,404 70	
ELI LILLY & CO	10/07/08	05/12/09 Sold	33	$ 39 324	$ 36 013	$ 1,297 70	$ 1 188 40	($ 109 30)	ST
	10/14/08	05/12/09 Sold	63	35 438	36 013	2,232 63	2 268 76	36 13	ST
	10/21/08	05/12/09 Sold	49	33 745	36 013	1 653 55	1 764 59	111 04	ST
	12/11/08	05/12/09 Sold	61	35 962	36 013	2,193 74	2 196 75	3 01	ST
ELI LILLY & CO	12/11/08	05/18/09 Sold	31	35 962	34 356	1 114 85	1 065 01	(49 84)	ST
	02/03/09	05/18/09 Sold	96	37 942	34 356	3 642 50	3 298 11	(344 39)	ST
	03/13/09	05/18/09 Sold	65	30 238	34 356	1,965 52	2 233 09	267 57	ST
	03/24/09	05/18/09 Sold	28	33 662	34 356	942 55	961 95	19 40	ST
	Total		428			$ 15,043 04	$ 14 976 68	($ 66 38)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
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MSF - Sched 10

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MGM MIRAGE	05/13/09	05/15/09 Sold	125	$ 9 733	$ 7 753	$ 1,216 71	$ 969 10	($ 247 61)	ST
MGM MIRAGE	04/13/09	05/26/09 Sold	58	9 733	7 271	564 56	421 71	(142 85)	ST
	Total		183			$ 1,781 27	$ 1,390 81	($ 390 46)	
MARSHALL & ILSLEY CORP NEW	04/20/09	05/28/09 Sold	127	$ 8 122	$ 6 214	$ 1 031 54	$ 789 26	($ 242 28)	ST
MEADWESTVACO CORP	12/10/08	05/11/09 Sold	3	11 61	15 754	34 83	47 26	12 43	ST
	12/11/08	05/11/09 Sold	77	11 213	15 754	863 46	1,213 08	349 62	ST
	01/16/09	05/11/09 Sold	53	11 746	15 754	622 55	834 98	212 43	ST
MEADWESTVACO CORP	01/16/09	05/12/09 Sold	23	11 746	15 376	270 17	353 64	83 47	ST
	02/12/09	05/12/09 Sold	36	10 742	15 376	386 72	553 53	166 81	ST
MEADWESTVACO CORP	02/12/09	05/13/09 Sold	45	10 742	14 417	483 41	648 75	165 34	ST
	Total		237			$ 2,661 14	$ 3,851 24	$ 990 10	
OMNICOM GROUP INC	12/10/08	05/04/09 Sold	4	$ 28 094	$ 31 904	$ 112 38	$ 127 61	$ 15 23	ST
PLAINS EXPLORATION& PRODUCTION COMPANY	04/09/09	05/28/09 Sold	45	19 94	24 99	897 30	1 124 54	227 24	ST
	04/20/09	05/28/09 Sold	42	19 913	24 99	836 37	1,049 57	213 20	ST
	Total		87			$ 1,733 67	$ 2,174 11	$ 440 44	
PULTE HOMES INC	04/23/07	05/11/09 Sold	138	$ 27 918	$ 11 258	$ 3 852 70	$ 1,553 58	($ 2 299 12)	LT
	10/30/08	05/11/09 Sold	102	10 248	11 258	1 045 34	1,148 29	102 95	ST
	Total		240			$ 4,898 04	$ 2,701 87	($ 2,196 17)	
RAYMOND JAMES FINANCIAL CORP	01/16/09	05/11/09 Sold	37	$ 16 494	$ 18 047	$ 610 31	$ 667 73	$ 57 42	ST
	01/28/09	05/11/09 Sold	32	19 711	18 047	630 75	577 50	(53 25)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Attach 10

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
RAYMOND JAMES FINANCIAL CORP	01/28/09	05/12/09 Sold	17	$ 19 711	$ 16 999	$ 335 09	$ 288 98	($ 46 11)	ST
	03/16/09	05/12/09 Sold	35	17 079	16 999	597 76	594 95	(2 81)	ST
RAYMOND JAMES FINANCIAL CORP	03/16/09	05/13/09 Sold	12	17 079	16 149	204 95	193 79	(11 16)	ST
	03/25/09	05/13/09 Sold	43	19 025	16 149	818 09	694 41	(123 68)	ST
RAYMOND JAMES FINANCIAL CORP	03/25/09	05/18/09 Sold	2	19 025	16 241	38 05	32 47	(5 58)	ST
	Total		178			$ 3,235 00	$ 3,049 83	($ 185 17)	
RAYTHEON COMPANY NEW	03/25/09	05/08/09 Sold	8	$ 36 935	$ 47 936	$ 295 49	$ 383 48	$ 87 99	ST
	03/30/09	05/08/09 Sold	92	38 526	47 936	3,544 47	4,410 08	865 61	ST
	Total		100			$ 3,839 96	$ 4,793 56	$ 953 60	
RESMED INC	02/19/09	05/07/09 Sold	20	$ 41 066	$ 39 026	$ 821 32	$ 780 50	($ 40 82)	ST
RYDER SYSTEM INC	11/13/08	05/11/09 Sold	16	36 312	27 422	580 99	438 74	(142 25)	ST
	11/17/08	05/11/09 Sold	24	35 955	27 422	862 92	658 12	(204 80)	ST
	Total		40			$ 1,443 91	$ 1 096 86	($ 347 05)	
SCANA CORP NEW	04/20/09	05/06/09 Sold	38	$ 30 787	$ 30 386	$ 1,169 93	$ 1 154 65	($ 15 28)	ST
SUNOCO INC	02/19/09	05/11/09 Sold	65	34 36	30 065	2 233 44	1,954 17	(279 27)	ST
SUNOCO INC	03/03/09	05/12/09 Sold	48	30 27	29 336	1,452 99	1 408 10	(44 89)	ST
SUNOCO INC	03/03/09	05/13/09 Sold	25	30 27	29 541	756 77	738 51	(18 26)	ST
	03/05/09	05/13/09 Sold	15	28 802	29 541	432 04	443 10	11 06	ST
SUNOCO INC	03/05/09	05/18/09 Sold	34	28 802	28 598	979 30	972 31	(6 99)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Period 10

RICE FOUNDATION — Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SUNOCO INC	03/05/09	05/26/09 Sold	6	$ 28 802	$ 27 252	$ 172 82	$ 163 51	($ 9 31)	ST
	03/09/09	05/26/09 Sold	34	27 476	27 252	934 19	926 57	(7 62)	ST
	Total		227			$ 6,981 55	$ 6,606 27	($ 355 28)	
THERMO FISHER SCIENTIFIC INC	04/30/09	05/28/09 Sold	57	$ 35 50	$ 37 929	$ 2,023 55	$ 2,161 91	$ 138 36	ST
TIME WARNER CABLE INC	05/04/09	05/26/09 Sold	79	34 826	32 798	2,751 31	2,591 04	(160 27)	ST
UNITED TECHNOLOGIES CORP	02/09/09	05/18/09 Sold	33	49 553	52 515	1,635 28	1,732 96	97 68	ST
	02/12/09	05/18/09 Sold	63	46 064	52 515	2 902 07	3 308 38	406 31	ST
	02/17/09	05/18/09 Sold	71	46 019	52 515	3,267 36	3,728 50	461 14	ST
	03/02/09	05/18/09 Sold	58	39 402	52 515	2,285 35	3,045 82	760 47	ST
UNITED TECHNOLOGIES CORP	03/02/09	05/26/09 Sold	11	39 402	52 587	433 43	578 44	145 01	ST
	03/24/09	05/26/09 Sold	35	43 457	52 587	1,521 00	1,840 51	319 51	ST
	Total		271			$ 12,044 49	$ 14,234 61	$ 2,190 12	
VERIZON COMMUNICATIONS	05/11/09	05/13/09 Sold	169	$ 29 865	$ 30 184	$ 5,047 32	$ 5,101 11	$ 53 79	ST
WELLPOINT INC	10/08/08	05/29/09 Sold	17	40 375	46 403	686 39	788 84	102 45	ST
	10/21/08	05/29/09 Sold	31	43 02	46 403	1,333 63	1,438 47	104 84	ST
	10/23/08	05/29/09 Sold	37	41 209	46 403	1,524 74	1,716 89	192 15	ST
	Total		85			$ 3,544 76	$ 3,944 20	$ 399 44	
Total Long Term this period								($ 5,709 53)	
Total Short Term this period								$ 21,560 74	
Total realized gain or (loss) - this period						$ 175,718.32	$ 191,667 53	$ 15,851 21	
Total Long Term year-to-date								($ 50,525 58)	
Total Short Term year-to date								($ 69 485 64)	
Total realized gain or (loss) - year-to date						$ 1,032,878 58	$ 912,867 34	($ 120,011 22)	

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION *Account number 383-1597C-10 JD1*

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUNGE LIMITED Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/09	06/05/09 Sold	15	$ 56 845	$ 65 761	$ 852 68	$ 986 39	$ 133 71	ST
BUNGE LIMITED Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/09	06/10/09 Sold	14	56 845	66 601	795 83	932.39	136 56	ST
	Total		29			$ 1,648 51	$ 1,918 78	$ 270 27	
TRANSOCEAN LTD SWITZERLAND NEW Morgan Stanley Smi th Barney LLC acted as yo	01/28/09	06/08/09 Sold	63	$ 56 205	$ 80 952	$ 3,540 95	$ 5,099 84	$ 1,558 89	ST
TRANSOCEAN LTD SWITZERLAND NEW	01/28/09	06/09/09 Sold	4	56 205	82 967	224 82	331 86	107 04	ST
Morgan Stanley Smi th Barney LLC acted as yo	02/12/09	06/09/09 Sold	70	58 805	82 967	4,116 40	5,807 60	1 691 20	ST
TRANSOCEAN LTD SWITZERLAND NEW	02/12/09	06/10/09 Sold	10	58 805	84 552	588 06	845 50	257 44	ST
Morgan Stanley Smi th Barney LLC acted as yo	02/17/09	06/10/09 Sold	21	56 932	84 552	1,195 57	1,775 55	579 98	ST
	02/26/09	06/10/09 Sold	36	60 267	84 552	2,169 64	3,043 79	874 15	ST
	03/03/09	06/10/09 Sold	20	56 675	84 552	1,133 51	1,690 99	557 48	ST
	Total		224			$ 12,968 95	$ 18,595 13	$ 5,626 18	
AMEDISYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/21/08	06/17/09 Sold	19	$ 55 075	$ 30 109	$ 1,046 43	$ 572 07	($ 474 36)	ST
	10/27/08	06/17/09 Sold	17	46 50	30 109	790 50	511 85	(278 65)	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
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RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMEDISYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/27/08	06/18/09 Sold	2	$ 46 50	$ 30 688	$ 93 00	$ 61 37	($ 31 63)	ST
	10/30/08	06/18/09 Sold	29	54 925	30 688	1,592 84	889 93	(702 91)	ST
	11/06/08	06/18/09 Sold	1	53 835	30 688	53 84	30 69	(23 15)	ST
AMEDISYS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/06/08	06/19/09 Sold	16	53 835	31 764	861 37	508 21	(353 16)	ST
	Total		84			$ 4,437 98	$ 2,574 12	($ 1,863 86)	
AMERIPRISE FINANCIAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09	06/17/09 Sold	64	$ 27 938	$ 23 977	$ 1,788 06	$ 1,534 50	($ 253 56)	ST
AMERIPRISE FINANCIAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09	06/19/09 Sold	17	27 938	23 799	474 95	404 59	(70 36)	ST
	06/01/09	06/19/09 Sold	29	30 839	23 799	894 34	690 18	(204 16)	ST
AMERIPRISE FINANCIAL INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/01/09	06/24/09 Sold	8	30 839	23 274	246 71	186 19	(60 52)	ST
	Total		118			$ 3,404 08	$ 2,815 46	($ 588 60)	
APOLLO GROUP INC CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/19/09	06/10/09 Sold	40	$ 70 122	$ 64 851	$ 2,804 92	$ 2,593 99	($ 210 93)	ST
APOLLO GROUP INC CL A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/19/09	06/17/09 Sold	19	70 122	64 197	1,332 33	1,219 72	(112 61)	ST
	Total		59			$ 4,137 25	$ 3,813 71	($ 323 54)	
ARCHER DANIELS-MIDLAND CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/17/08	06/12/09 Sold	221	$ 25 853	$ 28 592	$ 5,713 58	$ 6,318 76	$ 605 18	ST
	11/19/08	06/12/09 Sold	169	23 536	28 592	3,977 58	4,831 99	854 41	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ARCHER DANIELS-MIDLAND CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/19/08	06/16/09 Sold	1	$ 23 536	$ 27 424	$ 23 54	$ 27 42	$ 3 88	ST
	12/05/08	06/16/09 Sold	118	24 522	27 424	2,893 67	- 3,235 98	342 31	ST
	12/10/08	06/16/09 Sold	157	27 786	27 424	4,362.42	4,305 51	(56 91)	ST
	Total		668			$ 16,970 79	$ 18,719 86	$ 1,748 87	
ARROW ELECTRONICS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/18/08	06/12/09 Sold	21	$ 28 24	$ 22 211	$ 593 04	$ 466 44	($ 126 60)	ST
	10/09/08	06/12/09 Sold	97	21 629	22 211	2,098 04	2,154 50	56 46	ST
	01/28/09	06/12/09 Sold	44	19 984	22 211	879 31	977 30	97 99	ST
	02/19/09	06/12/09 Sold	46	17 544	22 211	807 07	1,021 72	214 65	ST
	Total		208			$ 4,377 48	$ 4,619 98	$ 242 50	
AVNET INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/30/09	06/10/09 Sold	20	$ 17 085	$ 22 925	$ 341 71	$ 458 49	$ 116 78	ST
BANK NEW YORK MELLON CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	06/22/09 Sold	97	28 473	28 352	2,761 95	2,750 09	(11 86)	ST
	06/11/09	06/22/09 Sold	80	29 171	28 352	2,333 71	2,268 12	(65 59)	ST
	Total		177			$ 5,095 66	$ 5,018 21	($ 77 45)	
BEST BUY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/30/09	06/30/09 Sold	102	$ 38 455	$ 33 543	$ 3,922 50	$ 3,421 39	($ 501 11)	ST
	05/11/09	06/30/09 Sold	55	36 764	33 543	2,022 02	1 844 86	(177 16)	ST
	Total		157			$ 5,944 52	$ 5,266 25	($ 678 27)	
CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Smi th Barney LLC acted as yo	06/18/09	06/22/09 Sold	93	$ 24 489	$ 24 683	$ 2,277 55	$ 2,295 54	$ 17 99	ST
COMPUTER SCIENCES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/23/07	06/22/09 Sold	80	54 86	43 022	4,388 80	3,441 72	(947 08)	LT

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CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00006002 00052496

Reserved Client Statement

June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/30/09	06/17/09 Sold	462	$ 41 337	$ 42 517	$ 19,097 88	$ 19,642 75	$ 544 87	ST
	05/11/09	06/17/09 Sold	60	45 66	42 517	2,739 61	2,551 01	(188 60)	ST
CONOCOPHILLIPS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/11/09	06/19/09 Sold	44	45 66	43 497	2,009 04	1,913 85	(95 19)	ST
	Total		566			$ 23,846 53	$ 24,107 61	$ 261 08	
CREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/09	06/30/09 Sold	33	$ 21 366	$ 29 034	$ 705 08	$ 958 11	$ 253 03	ST
DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	01/20/09	06/12/09 Sold	13	14 074	22 013	182 97	286 17	103 20	ST
	02/24/09	06/12/09 Sold	74	13 028	22 013	964 12	1,628 95	664 83	ST
	03/03/09	06/12/09 Sold	14	15 537	22 013	217 52	308 18	90 66	ST
DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	03/03/09	06/22/09 Sold	54	15 537	20 329	839 01	1,097 77	258 76	ST
	03/04/09	06/22/09 Sold	25	15 576	20 329	389 42	508 23	118 81	ST
DISCOVERY COMMUNICATIONS INC SER A Morgan Stanley Smi th Barney LLC acted as yo	03/04/09	06/24/09 Sold	28	15 576	20 636	436 14	577 82	141 68	ST
	03/09/09	06/24/09 Sold	46	14 629	20 636	672 94	949 27	276 33	ST
	03/25/09	06/24/09 Sold	18	16 046	20 636	288 83	371 45	82 62	ST
	Total		272			$ 3,990 95	$ 5,727 84	$ 1,736 89	
ENCORE ACQUISITION COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/09	06/12/09 Sold	33	$ 27 258	$ 37 323	$ 899 54	$ 1,231 62	$ 332 08	ST
EXXON MOBIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	06/05/09 Sold	63	69 001	73 337	4,347 09	4,620 11	273 02	ST

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CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00006002 00052497

Reserved Client Statement

June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GREEN MOUNTAIN COFFEE ROASTER INC CASH IN LIEU OF 50000 RECORD 05/29/09 PAY 06/08/09	05/12/09	06/08/09 Cash in lieu	5	$ 55 015		$ 27 11	$ 30 47	$ 3 36	ST
HUDSON CITY BANCORP INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/08/09	06/22/09 Sold	72	12 425	12 845	894 65	924 85	30 20	ST
MARATHON OIL CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/29/09	06/30/09 Sold	88	31 63	29 95	2,783 45	2,635 61	(147 84)	ST
	06/05/09	06/30/09 Sold	58	31 463	29 95	1,824 89	1,737 10	(87 79)	ST
	Total		146			$ 4,608 34	$ 4,372 71	($ 235 63)	
MEDTRONIC INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/18/09	06/30/09 Sold	232	$ 33 503	$ 34 561	$ 7,772 88	$ 8,018 08	$ 245 20	ST
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/19/08	06/10/09 Sold	274	19 565	22 288	5,360 84	6,106 81	745 97	ST
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/19/08	06/11/09 Sold	202	19 565	22 95	3,952 15	4,635 78	683 63	ST
	12/31/08	06/11/09 Sold	461	19 555	22 95	9,015 27	10,579 67	1,564 40	ST
	01/16/09	06/11/09 Sold	38	19 453	22 95	739 21	872 08	132 87	ST
MICROSOFT CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/16/09	06/12/09 Sold	140	19 453	22 90	2,723 42	3,206 04	482 62	ST
	01/28/09	06/12/09 Sold	236	18 033	22 90	4,255 88	5,404 47	1,148 59	ST
	02/03/09	06/12/09 Sold	391	18 11	22 90	7,081 17	8,954 02	1,872 85	ST
	Total		1,742			$ 33,127 94	$ 39,768 87	$ 6,630 93	
NORTHROP GRUMMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/23/08	06/10/09 Sold	22	$ 43 638	$ 47 842	$ 960 04	$ 1,052 51	$ 92 47	ST
	10/24/08	06/10/09 Sold	23	43 832	47 842	1,008 14	1,100 35	92 21	ST

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CAPITAL GAINS & LOSSES
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RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00006002 00052498

Reserved Client Statement

June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NORTHROP GRUMMAN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/27/08	06/10/09 Sold	20	$ 43 238	$ 47 842	$ 864 77	$ 956 83	$ 92 06	ST
	10/30/08	06/10/09 Sold	22	45 887	47 842	1,009 52	1,052 51	42 99	ST
	02/12/09	06/10/09 Sold	73	45 966	47 842	3,355 52	3,492 42	136 90	ST
	Total		160			$ 7,197 99	$ 7,654 62	$ 456 63	
ROSS STORES INC DE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/30/09	06/30/09 Sold	38	$ 38 032	$ 38 64	$ 1,445 22	$ 1,468 31	$ 23 09	ST
SUNOCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/09/09	06/10/09 Sold	39	27 476	28 286	1,071 58	1,103 12	31 54	ST
TESORO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/18/09	06/12/09 Sold	57	16 634	14 704	948 17	838 13	(110 04)	ST
	03/03/09	06/12/09 Sold	84	13 567	14 704	1,139 69	1,235 14	95 45	ST
TESORO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/03/09	06/17/09 Sold	68	13 567	13 828	922 60	940 30	17 70	ST
	03/04/09	06/17/09 Sold	50	13 946	13 828	697 33	691 40	(5 93)	ST
TESORO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/04/09	06/19/09 Sold	67	13 946	13 979	934 42	936 63	2 21	ST
	03/05/09	06/19/09 Sold	35	12 526	13 979	438 42	489 28	50 86	ST
TESORO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/05/09	06/22/09 Sold	59	12 526	13 031	739 06	768 82	29 76	ST
	03/09/09	06/22/09 Sold	32	12 668	13 031	405 38	416 99	11 61	ST
TESORO CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/09/09	06/24/09 Sold	49	12 668	12 541	620 75	614 49	(6 26)	ST
	03/25/09	06/24/09 Sold	44	14 836	12 541	652 79	551 79	(101 00)	ST
	Total		545			$ 7,498 61	$ 7,482 97	($ 15 64)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TIME WARNER INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/07/09	06/24/09 Sold	185	$ 21 064	$ 24 608	$ 3,897 01	$ 4,552 53	$ 655 52	ST
UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	07/31/08	06/11/09 Sold	52	83 373	53 391	4,335 41	2,776 25	(1,559 16)	ST
	08/13/08	06/11/09 Sold	22	75 455	53 391	1,660 02	1,174 57	(485 45)	ST
	Total		74			$ 5,995 43	$ 3,950 82	($ 2,044 61)	
UNITRIN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/09	06/12/09 Sold	56	$ 15 336	$ 14 49	$ 858 84	$ 811 47	($ 47 37)	ST
	04/20/09	06/12/09 Sold	18	15 879	14 49	285 84	260 83	(25 01)	ST
	04/20/09	06/24/09 Sold	36	15 879	12 169	571 67	438 08	(133 59)	ST
	05/13/09	06/24/09 Sold	57	15 613	12 169	889 96	693 63	(196 33)	ST
	Total		167			$ 2,606 31	$ 2,204 01	($ 402 30)	
VERIZON COMMUNICATIONS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/08/09	06/18/09 Sold	93	$ 29 079	$ 30 052	$ 2,704 43	$ 2,794 79	$ 90 36	ST
VERIZON COMMUNICATIONS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/08/09	06/19/09 Sold	48	29 079	29 714	1,395 84	1,426 24	30 40	ST
	06/10/09	06/19/09 Sold	47	29 544	29 714	1,388 58	1,396 53	7 95	ST
	06/11/09	06/19/09 Sold	109	29 899	29 714	3,259 07	3,238 77	(20 30)	ST
VERIZON COMMUNICATIONS Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/11/09	06/22/09 Sold	19	29 899	29 691	568 09	564 11	(3 98)	ST
	Total		316			$ 9,316 01	$ 9,420 44	$ 104 43	
W&T OFFSHORE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/11/08	06/10/09 Sold	66	$ 13 822	$ 11 508	$ 912 27	$ 759 50	($ 152 77)	ST
	12/23/08	06/10/09 Sold	74	12 249	11 508	906 43	851 57	(54 86)	ST
	Total		140			$ 1,818 70	$ 1,611 07	($ 207 63)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Actual 10

MorganStanley SmithBarney

Ref 00006002 00052500

Reserved
Client Statement
June 1 - June 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WELLPOINT INC	10/23/08	06/01/09 Sold	2	$ 41 209	$ 47 32	$ 82 42	$ 94 64	$ 12 22	ST
	10/24/08	06/01/09 Sold	25	40 185	47 32	1,004 64	1,182 96	178 32	ST
	Total		27			$ 1,087 06	$ 1,277 60	$ 190 54	
XILINX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/13/09	06/30/09 Sold	47	$ 18 428	$ 20 336	$ 866 12	$ 955 80	$ 89 68	ST
Total Long Term this period								($ 947 08)	
Total Short Term this period								$ 12,902 28	
Total realized gain or (loss) - this period						$ 189,013 39	$ 200,968 59	$ 11,955 20	
Total Long Term - year-to-date								($ 51,472 60)	
Total Short Term - year-to-date								($ 56,583 36)	
Total realized gain or (loss) - year-to-date						$ 1,221,891 95	$ 1,113,835 93	($ 108,056 02)	

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account If you would like copies, please ask your Financial Advisor or Portfolio Manager

Please notify your Financial Advisor if there have been any changes in your financial situation, investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy, please contact your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Sched 10

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
07/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 07/01/09-08/02/09 33 DAYS AVERAGE YIELD 06 %	$ 1 40		$ 1 40
Total earnings from money fund			**$ 1 40**	**$ 0.00**	**$ 1 40**

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLIANT ENERGY CORP USD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/24/09	07/02/09 Sold	36	$ 23 10	$ 26 047	$ 831 63	$ 937 67	$ 106 04	ST
ALLIANT ENERGY CORP USD Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/24/09	07/15/09 Sold	36	23 10	25 971	831 63	934 94	103 31	ST
	04/30/09	07/15/09 Sold	41	22 617	25 971	927 31	1 064 80	137 49	ST
	Total		113			$ 2,590 57	$ 2,937 41	$ 348 84	
ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/10/07	07/02/09 Sold	80	$ 53 292	$ 24 345	$ 4,263 42	$ 1,947 56	($ 2,315 86)	LT
	12/11/07	07/02/09 Sold	89	54 301	24 345	4,832 86	2,166 65	(2,666 21)	LT
ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/11/07	07/15/09 Sold	1	54 301	24 595	54 30	24 59	(29 71)	LT
	12/17/07	07/15/09 Sold	201	51 857	24 595	10 423 27	4,943 59	(5,479 68)	LT
ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/17/07	07/16/09 Sold	77	51 857	24 429	3,993 00	1,881 02	(2,111 98)	LT
	12/02/08	07/16/09 Sold	76	23 095	24 429	1,755 22	1,856 59	101 37	ST
	05/26/09	07/16/09 Sold	6	26 195	24 429	157 17	146 58	(10 59)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT sched 10

Ref 00009400 00009485

MorganStanley SmithBarney

Reserved Client Statement

July 1 - July 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALLSTATE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/26/09	07/27/09 Sold	200	$ 26 195	$ 26 694	$ 5,239 12	$ 5,338 84	$ 99 72	ST
	06/10/09	07/27/09 Sold	81	25 101	26 694	2,033 19	2 162 23	129 04	ST
	Total		811			$ 32,761 55	$ 20,467 65	($ 12,283 90)	
AMGEN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/08/09	07/29/09 Sold	57	$ 47 456	$ 62 487	$ 2,705 00	$ 3,561 66	$ 856 66	ST
AMGEN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/08/09	07/31/09 Sold	25	47 456	62 367	1,186 40	1,559 14	372 74	ST
	Total		82			$ 3,891 40	$ 5,120 80	$ 1,229 40	
APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/20/09	07/02/09 Sold	95	$ 119 681	$ 140 344	$ 11,369 75	$ 13,332 41	$ 1,962 66	ST
	04/29/09	07/02/09 Sold	30	125 417	140 344	3,762 51	4 210 23	447 72	ST
	04/30/09	07/02/09 Sold	61	126 161	140 344	7,695 83	8,560 81	864 98	ST
	05/18/09	07/02/09 Sold	72	124 567	140 344	8,968 83	10,104 56	1,135 73	ST
	05/26/09	07/02/09 Sold	18	130 106	140 344	2,341 91	2 526 15	184 24	ST
APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/26/09	07/07/09 Sold	61	130 106	136 699	7,936 48	8,338 46	401 98	ST
APPLE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/26/09	07/10/09 Sold	82	130 106	138 29	10,668 71	11,339 53	670 82	ST
	Total		419			$ 52,744 02	$ 58,412 15	$ 5,668 13	
ARCHER DANIELS-MIDLAND CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/10/08	07/02/09 Sold	128	$ 27 786	$ 26 77	$ 3,556 62	$ 3 426 57	($ 130 05)	ST
	01/09/09	07/02/09 Sold	44	27 977	26 77	1,231 01	1,177 89	(53 12)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Schedule

Ref 00001400 00069486

MorganStanley SmithBarney

Reserved Client Statement

July 1 - July 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ARCHER DANIELS MIDLAND CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/09/09	07/10/09 Sold	4	$ 27 977	$ 26 744	$ 111 91	$ 106 97	($ 4 94)	ST
	01/16/09	07/10/09 Sold	54	25 319	26 744	1,367 26	1,444 15	76 89	ST
	Total		230			$ 6,266 80	$ 6,155 58	($ 111 22)	
BEST BUY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/11/09	07/31/09 Sold	24	$ 36 764	$ 37 49	$ 882 34	$ 899 76	$ 17 42	ST
	05/12/09	07/31/09 Sold	4	36 787	37 49	147 15	149 96	2 81	ST
	Total		28			$ 1,029 49	$ 1,049 72	$ 20 23	
CAPELLA EDUCATION CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/03/09	07/15/09 Sold	15	$ 53 974	$ 58 538	$ 809 62	$ 878 05	$ 68 43	ST
	03/25/09	07/15/09 Sold	1	50 597	58 538	50 60	58 54	7 94	ST
CAPELLA EDUCATION CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/25/09	07/16/09 Sold	16	50 597	59 786	809 55	956 56	147 01	ST
	Total		32			$ 1,669 77	$ 1,893 15	$ 223 38	
CARDINAL HEALTH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/31/09	07/02/09 Sold	78	$ 31 688	$ 29 856	$ 2,471 72	$ 2,328 72	($ 143 00)	ST
	04/01/09	07/02/09 Sold	40	31 14	29 856	1,245 62	1,194 21	(51 41)	ST
CARDINAL HEALTH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/09	07/06/09 Sold	2	31 14	29 72	62 28	59 43	(2 85)	ST
CARDINAL HEALTH INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/09	07/07/09 Sold	2	31 14	30 374	62 28	60 74	(1 54)	ST
	Total		122			$ 3,841 90	$ 3,643 10	($ 198 80)	
CORNING INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/28/09	07/02/09 Sold	153	$ 14 108	$ 15 209	$ 2,158 54	$ 2,327 04	$ 168 50	ST
	05/29/09	07/02/09 Sold	87	14 475	15 209	1,259 38	1,323 22	63 84	ST
	06/05/09	07/02/09 Sold	79	15 667	15 209	1,237 72	1 201 54	(36 18)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Schedule

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CORNING INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/09/09	07/02/09 Sold	122	$ 16 105	$ 15 209	$ 1,964 91	$ 1,855 55	($ 109 36)	ST
	06/11/09	07/02/09 Sold	72	16 053	15 209	1,155 82	1 095 07	(60 75)	ST
	Total		513			$ 7,776 37	$ 7,802 42	$ 26 05	
CREE INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/16/09	07/16/09 Sold	8	$ 21 366	$ 28 72	$ 170 93	$ 229 76	$ 58 83	ST
	03/24/09	07/16/09 Sold	26	24 078	28 72	626 04	746 71	120 67	ST
	Total		34			$ 796 97	$ 976 47	$ 179 50	
D R HORTON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/16/07	07/02/09 Sold	121	$ 13 092	$ 9 155	$ 1,584 16	$ 1,107 82	($ 476 34)	LT
	10/22/07	07/02/09 Sold	72	12 675	9 155	912 61	659 20	(253 41)	LT
D R HORTON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/22/07	07/15/09 Sold	77	12 675	9 33	975 98	718 46	(257 52)	LT
	12/10/07	07/15/09 Sold	123	14 50	9 33	1,783 51	1,147 67	(635 84)	LT
D R HORTON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/10/07	07/16/09 Sold	49	14 50	9 464	710 51	463 74	(246 77)	LT
	02/14/08	07/16/09 Sold	102	14 361	9 464	1,464 87	965 33	(499 54)	LT
D R HORTON INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/14/08	07/29/09 Sold	2	14 361	11 179	28 72	22 36	(6 36)	LT
	03/13/08	07/29/09 Sold	129	13 242	11 179	1,708 32	1,442 06	(266 26)	LT
	04/09/08	07/29/09 Sold	124	15 988	11 179	1,982 56	1,386 17	(596 39)	LT
	Total		799			$ 11,151 24	$ 7,912 81	($ 3,238 43)	
DILLARDS INC CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/08	07/15/09 Sold	73	$ 20 79	$ 8 659	$ 1 517 68	$ 632 09	($ 885 59)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
EDISON INTERNATIONAL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/13/08	07/02/09 Sold	54	$ 48 895	$ 30 915	$ 2,640 35	$ 1,669 38	($ 970 97)	LT
	03/19/08	07/02/09 Sold	50	49 053	30 915	2,452 69	1 545 72	(906 97)	LT
	04/01/08	07/02/09 Sold	32	50 59	30 915	1,618 91	989 26	(629 65)	LT
EDISON INTERNATIONAL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/01/08	07/15/09 Sold	25	50 59	30 955	1,264 77	773 86	(490 91)	LT
	04/09/08	07/15/09 Sold	49	51 625	30 955	2,529 63	1,516 76	(1,012 87)	LT
	04/16/08	07/15/09 Sold	48	52 123	30 955	2,501 90	1,485 81	(1,016 09)	LT
	06/10/08	07/15/09 Sold	27	51 926	30 955	1,402 02	835 76	(566 26)	LT
EDISON INTERNATIONAL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/10/08	07/16/09 Sold	13	51 926	31 158	675 04	405 05	(269 99)	LT
	07/08/08	07/16/09 Sold	31	50 112	31 158	1,553 49	965 88	(587 61)	LT
	07/15/08	07/16/09 Sold	29	50 729	31 158	1,471 14	903 57	(567 57)	LT
	08/08/08	07/16/09 Sold	60	46 614	31 158	2,796 89	1,869 44	(927 45)	ST
EDISON INTERNATIONAL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/08/08	07/29/09 Sold	3	46 614	32 011	139 84	96 03	(43 81)	ST
	08/13/08	07/29/09 Sold	31	45 018	32 011	1,395 56	992 32	(403 24)	ST
	08/15/08	07/29/09 Sold	42	44 702	32 011	1,877 51	1,344 43	(533 08)	ST
	09/08/08	07/29/09 Sold	44	43 894	32 011	1 931 36	1,408 45	(522 91)	ST
	09/11/08	07/29/09 Sold	7	42 02	32 011	294 14	224 07	(70 07)	ST
	09/18/08	07/29/09 Sold	18	38 657	32 011	695 84	576 18	(119 66)	ST
	Total		563			$ 27,241 08	$ 17,601 97	($ 9,639 11)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Sched 10

Ref 00009400 00009480

MorganStanley SmithBarney

Reserved Client Statement

July 1 - July 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENCORE ACQUISITION COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/09/09	07/02/09 Sold	1	$ 27 258	$ 29 467	$ 27 26	$ 29 47	$ 2 21	ST
	04/20/09	07/02/09 Sold	31	26 00	29 467	806 02	913 46	107 44	ST
ENCORE ACQUISITION COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/20/09	07/14/09 Sold	1	26 00	28 802	26 00	28 79	2 79	ST
	Total		33			$ 859 28	$ 971 72	$ 112 44	
ENERGEN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/05/08	07/02/09 Sold	32	$ 26 281	$ 38 395	$ 841 01	$ 1,228 61	$ 387 60	ST
ENERGEN CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/11/08	07/16/09 Sold	29	30 762	38 12	892 10	1 105 46	213 36	ST
	12/29/08	07/16/09 Sold	8	27 278	38 12	218 23	304 96	86 73	ST
	Total		69			$ 1,951 34	$ 2,639 03	$ 687 69	
FLOWSERVE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/12/09	07/02/09 Sold	26	$ 52 84	$ 67 261	$ 1,373 85	$ 1,748 75	$ 374 90	ST
FLOWSERVE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/12/09	07/08/09 Sold	13	52 84	61 648	686 92	801 40	114 48	ST
	Total		39			$ 2,060 77	$ 2,550 15	$ 489 38	
FOREST LABORATORIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/31/07	07/02/09 Sold	6	$ 37 051	$ 24 406	$ 222 31	$ 146 43	($ 75 88)	LT
	01/07/08	07/02/09 Sold	42	36 676	24 406	1,540 43	1 025 03	(515 40)	LT
	05/27/08	07/02/09 Sold	25	34 785	24 406	869 64	610 14	(259 50)	LT

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Acct 10

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FRESH DEL MONTE PRODUCE Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/16/09	09/18/09 Sold	46	$ 24 169	$ 23 411	$ 1,111 78	$ 1,076 90	($ 34 88)	ST
AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	09/25/09 Sold	42	22 717	25 589	954 13	1 074 72	120 59	ST
AMERIGROUP CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	05/26/09	09/25/09 Sold	45	29 288	22 422	1,317 97	1 008 98	(308 99)	ST
	06/17/09	09/25/09 Sold	2	28 648	22 422	57 30	44 84	(12 46)	ST
	Total		47			$ 1,375 27	$ 1,053 82	($ 321 46)	
AMGEN INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/04/09	09/16/09 Sold	77	$ 48 408	$ 59 334	$ 3,727 41	$ 4,568 63	$ 841 22	ST
	07/07/09	09/16/09 Sold	74	52 567	59 334	3,890 00	4,390 63	500 63	ST
	07/15/09	09/16/09 Sold	193	57 793	59 334	11,154 20	11 451 24	297 04	ST
	07/16/09	09/16/09 Sold	106	58 764	59 334	6,228 98	6 289 29	60 31	ST
	Total		450			$ 25,000 59	$ 26,699 79	$ 1,699 20	
BOEING CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/19/08	09/16/09 Sold	55	$ 76 558	$ 52 221	$ 4 210 73	$ 2 872 10	($ 1 338 63)	LT
	07/15/08	09/16/09 Sold	93	63 682	52 221	5,922 47	4,856 46	(1,066 01)	LT
	09/23/08	09/16/09 Sold	44	58 817	52 221	2 587 97	2 297 68	(290 29)	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT - Sched 10

MorganStanley SmithBarney

Ref 00019753 00109697

Reserved Client Statement

September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BOEING CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/02/08	09/16/09 Sold	36	$ 53 953	$ 52 221	$ 1,942 32	$ 1 879 92	($ 62 40)	ST
	10/08/08	09/16/09 Sold	23	48 484	52 221	1 115 14	1 201 07	85 93	ST
BOEING CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/08/08	09/18/09 Sold	7	48 484	52 653	339 39	368 56	29 17	ST
	10/21/08	09/18/09 Sold	36	46 515	52 653	1 674 57	1 895 47	220 90	ST
	10/23/08	09/18/09 Sold	68	45 107	52 653	3,067 33	3 580 33	513 00	ST
	10/24/08	09/18/09 Sold	44	45 893	52 653	2,019 31	2,316 68	297 37	ST
	10/29/08	09/18/09 Sold	30	50 715	52 653	1 521 45	1 579 56	58 11	ST
BOLING CO MorganStanley SmithBarney LLC acted as your agent in this transaction	10/29/08	09/25/09 Sold	42	50 715	51 635	2 130 04	2 168 62	38 58	ST
	10/30/08	09/25/09 Sold	29	49 538	51 635	1,436 63	1 497 38	60 75	ST
	Total		507			$ 27,967 35	$ 26,513 83	($ 1,453 52)	
CHIPOTLE MEXICAN GRILL CLASS B Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/26/09	09/18/09 Sold	1	$ 66 015	$ 82 453	$ 66 02	$ 82 45	$ 16 43	ST
	06/18/09	09/18/09 Sold	13	71 149	82 453	924 94	1 071 86	146 92	ST
CHIPOTLE MEXICAN GRILL CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	06/18/09	09/29/09 Sold	1	71 149	84 437	71 15	84 43	13 28	ST
	Total		15			$ 1,062 11	$ 1,238 74	$ 176 63	
CIMAREX ENERGY CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/19/09	09/21/09 Sold	26	$ 29 936	$ 41 596	$ 778 34	$ 1 081 49	$ 303 15	ST
CIMAREX ENERGY CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09	09/29/09 Sold	31	29 936	42 371	928 01	1 313 48	385 47	ST
	Total		57			$ 1,706 35	$ 2,394 97	$ 688 62	

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CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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36-6043160

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Ref 00019753 00109698

MorganStanley SmithBarney

Reserved
Client Statement
September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
COMPUTER SCIENCES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/15/08	09/09/09 Sold	43	$ 44 699	$ 49 353	$ 1 922 07	$ 2,122 16	$ 200 09	LT
	03/03/08	09/09/09 Sold	63	43 089	49 353	2,714 64	3 109 21	394 57	LT
	03/13/08	09/09/09 Sold	35	40 867	49 353	1 430 35	1 727 34	296 99	LT
	03/19/08	09/09/09 Sold	42	41 222	49 353	1 731 34	2 072 80	341 46	LT
	Total		183			$ 7 798 40	$ 9,031 51	$ 1,233 11	
CORINTHIAN COLLEGES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	10/02/08	09/18/09 Sold	60	$ 14 597	$ 18 763	$ 875 85	$ 1 125 79	$ 249 94	ST
	10/21/08	09/18/09 Sold	43	13 731	18 763	590 46	806 81	216 35	ST
CORINTHIAN COLLEGES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	09/25/09 Sold	28	13 731	17 857	384 48	500 00	115 52	ST
	02/12/09	09/25/09 Sold	45	19 926	17 857	896 67	803 58	(93 09)	ST
	02/18/09	09/25/09 Sold	41	20 529	17 857	841 71	732 15	(109 56)	ST
	Total		217			$ 3 589 17	$ 3,968 33	$ 379 16	
DST SYS INC DEL Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/11/08	09/18/09 Sold	23	$ 36 982	$ 46 778	$ 850 60	$ 1,075 87	$ 225 27	ST
DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	12/11/08	09/25/09 Sold	2	36 982	45 163	73 96	90 32	16 36	ST
	01/28/09	09/25/09 Sold	22	33 57	45 163	738 54	993 57	255 03	ST
	Total		47			$ 1,663 10	$ 2,159 76	$ 496 66	
DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	09/29/09 Sold	186	$ 12 887	$ 15 597	$ 2 397 00	$ 2 901 08	$ 504 08	ST
	10/30/08	09/29/09 Sold	188	12 268	15 597	2 306 44	2,932 27	625 83	ST
	Total		374			$ 4,703 44	$ 5,833 35	$ 1,129 91	
ENDO PHARMACEUTICALS HLDGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/31/09	09/23/09 Sold	55	$ 17 819	$ 22 538	$ 980 07	$ 1,239 60	$ 259 53	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE - C

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Attach 10

MorganStanley SmithBarney

Ref 00019753 00109699

Reserved Client Statement

September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
EXPRESS SCRIPTS INC COMMON Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/25/09	09/16/09 Sold	32	$ 72 903	$ 77 436	$ 2 332 93	$ 2,477 90	$ 144 97	ST
EXPRESS SCRIPTS INC COMMON Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/25/09	09/17/09 Sold	3	72 903	78 953	218 71	236 65	16 94	ST
	Total		35			$ 2,551 64	$ 2,713 55	$ 161 91	
HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/09	09/25/09 Sold	65	$ 13 462	$ 15 925	$ 875 05	$ 1,035 11	$ 160 06	ST
IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	09/30/09 Sold	55	28 566	26 638	1 571 15	1 465 09	(106 06)	ST
KBR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/08/08	09/16/09 Sold	47	23 187	23 216	1,089 81	1 091 13	1 32	LT
KBR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/08/08	09/18/09 Sold	18	23 187	23 183	417 38	417 29	(09)	LT
	08/22/08	09/18/09 Sold	29	23 799	23 183	690 17	672 31	(17 86)	LT
KBR INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/22/08	09/21/09 Sold	29	23 799	22 315	690 17	647 13	(43 04)	LT
	09/18/08	09/21/09 Sold	18	16 269	22 315	297 85	401 67	108 82	LT
KBR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/08	09/25/09 Sold	48	16 269	22 287	780 94	1 069 77	288 83	LT
	Total		189			$ 3,961 32	$ 4,299 30	$ 337 98	
LENNAR CORP CLASS A Morgan Stanley Smi th Barney LLC acted as yo	03/19/08	09/16/09 Sold	95	$ 16 548	$ 17 102	$ 1,572 08	$ 1 624 64	$ 52 56	LT

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MorganStanley SmithBarney

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September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MOLEX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/03/09	09/15/09 Sold	11	$ 10 535	$ 19 14	$ 115 89	$ 210 53	$ 94 64	ST
	03/03/09	09/15/09 Sold	69	9 855	19 14	680 04	1 320 63	640 59	ST
	Total		80			$ 795 93	$ 1,531 16	$ 735 23	
NETFLIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	01/28/09	09/16/09 Sold	39	$ 35 702	$ 46 158	$ 1 392 40	$ 1 800 13	$ 407 73	ST
	02/03/09	09/16/09 Sold	18	36 638	46 158	659 49	830 83	171 34	ST
NETFLIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	02/03/09	09/18/09 Sold	18	36 638	46 516	659 49	837 28	177 79	ST
	02/05/09	09/18/09 Sold	28	36 379	46 516	1 018 63	1 302 43	283 80	ST
	Total		103			$ 3,730 01	$ 4,770 67	$ 1,040 66	
PIONEER NATURAL RESOURCES CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/30/09	09/16/09 Sold	47	$ 23 352	$ 36 009	$ 1 097 59	$ 1 692 40	$ 594 81	ST
	05/11/09	09/16/09 Sold	61	27 892	36 009	1,701 42	2 196 52	495 10	ST
	05/12/09	09/16/09 Sold	8	26 67	36 009	213 36	288 07	74 71	ST
PIONEER NATURAL RESOURCES CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/12/09	09/18/09 Sold	26	26 67	35 065	693 42	911 67	218 25	ST
	05/13/09	09/18/09 Sold	37	25 516	35 065	944 12	1,297 38	353 26	ST
	05/18/09	09/18/09 Sold	17	26 133	35 065	444 27	596 10	151 83	ST
PIONEER NATURAL RESOURCES CO Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	05/18/09	09/21/09 Sold	22	26 133	34 647	574 94	762 23	187 29	ST
	Total		218			$ 5,669 12	$ 7,744 37	$ 2,075 25	
RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/03/09	09/16/09 Sold	35	$ 13 665	$ 25 033	$ 478 28	$ 876 14	$ 397 86	ST
	03/04/09	09/16/09 Sold	80	14 382	25 033	1,150 62	2 002 59	851 97	ST

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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Reserved Client Statement

September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
RED HAT INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	03/04/09	09/18/09 Sold	16	$ 14 382	$ 25 758	$ 230 12	$ 412 13	$ 182 01	ST
	03/09/09	09/18/09 Sold	64	13 367	25 758	855 49	1 648 51	793 02	ST
	03/13/09	09/18/09 Sold	59	15 117	25 758	891 95	1 519 72	627 77	ST
	Total		**254**			**$ 3,606 46**	**$ 6,459 09**	**$ 2,852 63**	
TOLL BROS INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/05/07	09/18/09 Sold	18	$ 21 176	$ 22 159	$ 381 18	$ 398 87	$ 17 69	LT
	10/16/07	09/18/09 Sold	74	21 721	22 159	1,607 36	1 639 79	32 43	LT
	09/30/08	09/18/09 Sold	49	24 012	22 159	1 176 59	1 085 81	(90 78)	ST
	10/21/08	09/18/09 Sold	56	21 19	22 159	1 186 67	1,240 92	54 25	ST
	10/23/08	09/18/09 Sold	47	18 669	22 159	877 44	1,041 49	164 05	ST
	10/24/08	09/18/09 Sold	49	18 138	22 159	888 76	1 085 81	197 05	ST
	10/27/08	09/18/09 Sold	29	18 273	22 159	529 92	642 61	112 69	ST
TOLL BROS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08	09/25/09 Sold	20	18 273	19 937	365 46	398 74	33 28	ST
	10/28/08	09/25/09 Sold	48	17 429	19 937	836 62	956 98	120 36	ST
	10/30/08	09/25/09 Sold	46	20 873	19 937	960 18	917 11	(43 07)	ST
	12/11/08	09/25/09 Sold	42	21 455	19 937	901 14	837 37	(63 77)	ST
	Total		**478**			**$ 9,711 32**	**$ 10,245 50**	**$ 534 18**	
TRAVELERS COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/23/07	09/14/09 Sold	23	$ 54 25	$ 48 088	$ 1 247 75	$ 1,106 00	($ 141 75)	LT
TRAVELERS COMPANIES INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/23/07	09/18/09 Sold	23	54 25	47 485	1 247 75	1 092 14	(155 61)	LT

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CAPITAL GAINS & LOSSES

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RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	09/25/09 Sold	49	$ 54 25	$ 47 51	$ 2,658 25	$ 2 327 96	($ 330 29)	LT
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	09/30/09 Sold	127	54 25	48 758	6,889 75	6 192 22	(697 53)	LT
	Total		222			$ 12,043 50	$ 10 718 32	($ 1,325 18)	
UNION PACIFIC CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	08/13/08	09/16/09 Sold	76	$ 75 455	$ 63 091	$ 5,734 62	$ 4,794 86	($ 939 76)	LT
	08/15/08	09/16/09 Sold	22	77 312	63 091	1 700 88	1 387 99	(312 89)	LT
	09/18/08	09/16/09 Sold	99	71 485	63 091	7 077 02	6,245 93	(831 09)	ST
	Total		197			$ 14 512 52	$ 12,428 78	($ 2,083 74)	
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/07	09/25/09 Sold	109	$ 23 742	$ 21 31	$ 2 587 96	$ 2 322 74	($ 265 22)	LT
	04/01/08	09/25/09 Sold	6	22 286	21 31	133 72	127 86	(5 86)	LT
	Total		115			$ 2 721 68	$ 2,450 60	($ 271 08)	
ZIMMER HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	12/01/08	09/23/09 Sold	27	$ 36 291	$ 52 588	$ 979 87	$ 1 419 84	$ 439 97	ST
	12/11/08	09/23/09 Sold	28	40 413	52 588	1,131 57	1 472 43	340 86	ST
	02/03/09	09/23/09 Sold	9	36 562	52 588	329 06	473 28	144 22	ST

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CAPITAL GAINS & LOSSES

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MorganStanley SmithBarney

Ref 00019753 00109703

Reserved Client Statement

September 1 - September 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ZIMMER HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09	09/29/09 Sold	37	$ 36 562	$ 53 65	$ 1,352 79	$ 1,985 02	$ 632 23 ST
	Total		101			$ 3 793 29	$ 5,350 57	$ 1,557 28
Total Long Term this period								($ 3,579 78)
Total Short Term this period								$ 13 675 02
Total realized gain or (loss) - this period						$ 145,026 83	$ 155,122 07	$ 10,095 24
Total Long Term - year-to-date								($ 90 503 24)
Total Short Term - year to-date								$ 7 627 98
Total realized gain or (loss) - year-to-date						$ 1,917,278 33	$ 1,834,403 07	($ 82,875 26)

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account or if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account If you would like a copy, please contact your Financial Advisor

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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

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GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	10/29/09 Sold	16	$ 60 665	$ 67 835	$ 970 64	$ 1,085 34	$ 114 70	ST
AXIS CAPITAL HOLDINGS LTD-USD MorganStanley SmithBarney LLC acted as your agent	10/02/09	10/29/09 Sold	36	29 812	29 467	1,073 25	1,060 81	(12 44)	ST
	10/05/09	10/29/09 Sold	18	30 244	29 467	544 39	530 40	(13 99)	ST
	Total		54			$ 1,617 64	$ 1,591 21	($ 26 43)	
BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	09/30/09	10/29/09 Sold	24	$ 62 441	$ 60 29	$ 1,498 59	$ 1,446 92	($ 51 67)	ST
	10/02/09	10/29/09 Sold	2	61 255	60 29	122 51	120 58	(1 93)	ST
	Total		26			$ 1,621 10	$ 1,567 50	($ 53 60)	
AGL RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	10/09/09 Sold	30	$ 26 64	$ 36 092	$ 799 20	$ 1,082 73	$ 283 53	ST
AGL RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	10/14/09 Sold	2	26 64	36 255	53 28	72 50	19 22	ST
	Total		32			$ 852 48	$ 1,155 23	$ 302 75	
AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	10/29/09 Sold	43	$ 22 717	$ 25 452	$ 976 86	$ 1,094 41	$ 117 55	ST
AMERIGROUP CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	10/02/09 Sold	32	28 648	22 073	916 75	706 34	(210 41)	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 1 0

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	10/23/09 Sold	58	$ 37 581	$ 40 64	$ 2,179 72	$ 2,357 06	$ 177 34	ST
AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	10/29/09 Sold	13	37 581	40 783	488 56	530 17	41 61	ST
	07/15/09	10/29/09 Sold	70	37 722	40 783	2,640 58	2,854 73	214 15	ST
	Total		141			$ 5,308 86	$ 5,741 96	$ 433 10	
BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/30/09	10/09/09 Sold	41	$ 27 821	$ 26 185	$ 1,140 66	$ 1,073 58	($ 67 08)	ST
CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/24/07	10/14/09 Sold	93	89 173	75 157	8,293 17	6,989 48	(1,303 69)	LT
CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/24/07	10/23/09 Sold	23	89 173	76 635	2,051 00	1,762 57	(288 43)	LT
	10/30/07	10/23/09 Sold	33	90 298	76 635	2,979 85	2,528 90	(450 95)	LT
CHEVRON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/07	10/29/09 Sold	25	90 298	77 375	2,257 47	1,934 35	(323 12)	LT
	02/04/08	10/29/09 Sold	19	81 965	77 375	1,557 35	1,470 10	(87 25)	LT
	Total		193			$ 17,138 84	$ 14,685 40	($ 2,453 44)	
CIMAREX ENERGY CO MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09	10/02/09 Sold	1	$ 29 936	$ 41 225	$ 29 94	$ 41 22	$ 11 28	ST
DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	01/28/09	10/02/09 Sold	5	33 57	42 189	167 85	210 94	43 09	ST
	02/12/09	10/02/09 Sold	19	32 188	42 189	611 57	801 59	190 02	ST
DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	10/05/09 Sold	13	32 188	42 732	418 45	555 51	137 06	ST
	02/19/09	10/05/09 Sold	12	31 066	42 732	372 80	512 78	139 98	ST

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CAPITAL GAINS & LOSSES
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RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00009090 00069277

Reserved Client Statement

October 1 - October 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
DST SYS INC DEL MorganStanley SmithBarney LLC acted as your agent in this transaction	02/19/09	10/22/09 Sold	18	$ 31 066	$ 44 215	$ 559 21	$ 795 84	$ 236 63	ST
	Total		67			$ 2,129 88	$ 2,876 66	$ 746 78	
DEERE & CO MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/09	10/22/09 Sold	75	$ 43 266	$ 47 669	$ 3,245 00	$ 3,575 11	$ 330 11	ST
DELL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/30/08	10/06/09 Sold	10	12 268	15 30	122 68	153 00	30 32	ST
	07/27/09	10/06/09 Sold	130	13 58	15 30	1,765 41	1,989 00	223 59	ST
	Total		140			$ 1,888 09	$ 2,142 00	$ 253 91	
DOW CHEMICAL CO MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	10/26/09 Sold	51	$ 26 252	$ 25 401	$ 1,338 90	$ 1,295 45	($ 43 45)	ST
DUKE ENERGY CORP (HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	08/28/09	10/09/09 Sold	184	15 561	15 595	2,863 28	2 869 46	6 18	ST
DUKE ENERGY CORP (HOLDING COMPANY) NEW MorganStanley SmithBarney LLC acted as your agent	08/28/09	10/14/09 Sold	28	15 561	15 698	435 72	439 55	3 83	ST
	09/17/09	10/14/09 Sold	77	15 826	15 698	1 218 61	1,208 77	(9 84)	ST
	Total		289			$ 4,517 61	$ 4,517 78	$ 17	
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/29/08	10/09/09 Sold	24	$ 27 278	$ 45 113	$ 654 67	$ 1,082 70	$ 428 03	ST
	12/31/08	10/09/09 Sold	2	29 318	45 113	58 64	90 22	31 58	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/08	10/14/09 Sold	25	29 318	45 661	732 96	1,141 50	408 54	ST

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CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
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Reserved Client Statement

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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/31/08	10/16/09 Sold	5	$ 29 318	$ 46 657	$ 146 59	$ 233 28	$ 86 69	ST
	01/05/09	10/16/09 Sold	19	32 408	46 657	615 76	886 48	270 72	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/09	10/29/09 Sold	11	32 408	46 122	356 49	507 33	150 84	ST
	01/20/09	10/29/09 Sold	13	27 005	46 122	351 07	599 57	248 50	ST
	Total		99			$ 2,916 18	$ 4,541 08	$ 1,624 90	
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	10/22/09 Sold	73	$ 50 065	$ 50 528	$ 3,654 78	$ 3,688 50	$ 33 72	ST
	08/10/09	10/22/09 Sold	53	49 817	50 528	2,640 34	2 677 96	37 62	ST
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	10/23/09 Sold	47	49 817	49 907	2,341 44	2,345 59	4 15	ST
	08/28/09	10/23/09 Sold	43	50 388	49 907	2,166 70	2,145 97	(20 73)	ST
	Total		216			$ 10,803 26	$ 10,858 02	$ 54 76	
GOODRICH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	10/23/09 Sold	28	$ 49 157	$ 57 294	$ 1,376 42	$ 1,604 20	$ 227 78	ST
	07/15/09	10/23/09 Sold	1	51 407	57 294	51 41	57 29	5 88	ST
GOODRICH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	10/29/09 Sold	30	51 407	55 32	1,542 21	1 659 56	117 35	ST
	07/27/09	10/29/09 Sold	11	50 005	55 32	550 06	608 51	58 45	ST
	Total		70			$ 3,520 10	$ 3,929 56	$ 409 46	
HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/09	10/02/09 Sold	31	$ 13 462	$ 14 797	$ 417 33	$ 458 70	$ 41 37	ST
	04/30/09	10/02/09 Sold	39	14 521	14 797	566 35	577 07	10 72	ST
HEALTH NET INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/30/09	10/05/09 Sold	63	14 521	14 82	914 88	933 67	18 79	ST
	06/09/09	10/05/09 Sold	8	14 242	14 82	113 94	118 56	4 62	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 O

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HEALTH NET INC MorganStanley SmithBarney LLC *acted as your agent* *in this transaction*	06/09/09	10/29/09 Sold	88	$ 14 242	$ 15 363	$ 1 253 29	$ 1 351 96	$ 98 67	ST
	Total		229			$ 3,265 79	$ 3,439 96	$ 174 17	
IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	10/02/09 Sold	31	$ 28 566	$ 25 432	$ 885 55	$ 788 40	($ 97 15)	ST
	06/10/09	10/02/09 Sold	16	28 138	25 432	450 22	406 91	(43 31)	ST
IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/09	10/05/09 Sold	42	28 138	25 963	1,181 83	1,090 42	(91 41)	ST
	Total		89			$ 2,517 60	$ 2,285 73	($ 231 87)	
KBR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/08	10/02/09 Sold	9	$ 16 269	$ 21 858	$ 146 43	$ 196 72	$ 50 29	LT
	10/27/08	10/02/09 Sold	39	13 208	21 858	515 12	852 43	337 31	ST
KBR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08	10/05/09 Sold	26	13 208	22 304	343 41	579 91	236 50	ST
	Total		74			$ 1 004 96	$ 1,629 06	$ 624 10	
LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	10/29/09 Sold	41	$ 18 609	$ 26 322	$ 762 97	$ 1,079 18	$ 316 21	ST
LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	10/22/09 Sold	31	75 653	71 126	2,345 27	2,204 87	(140 40)	ST
	03/03/09	10/22/09 Sold	49	59 709	71 126	2,925 76	3 485 12	559 36	ST
	03/04/09	10/22/09 Sold	38	61 862	71 126	2,350 78	2,702 75	351 97	ST
	03/09/09	10/22/09 Sold	13	58 747	71 126	763 72	924 63	160 91	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 1 O

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

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Ref 00001090 00001280

MorganStanley SmithBarney

Reserved
Client Statement
October 1 - October 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
LOCKHEED MARTIN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/09/09	10/29/09 Sold	28	$ 58 747	$ 68 814	$ 1,644 93	$ 1,926 76	$ 281 83	ST
	03/13/09	10/29/09 Sold	64	61 255	68 814	3 920 33	4,404 02	483 69	ST
	03/20/09	10/29/09 Sold	13	68 639	68 814	892 32	894 57	2 25	ST
	03/24/09	10/29/09 Sold	18	69 256	68 814	1,246 62	1,238 63	(7 99)	ST
	Total		254			$ 16,089 73	$ 17,781 35	$ 1,691 62	
NETFLIX INC MorganStanley SmithBarney LLC acted as your agent in this transaction	02/05/09	10/05/09 Sold	3	$ 36 379	$ 45 452	$ 109 14	$ 136 35	$ 27 21	ST
	02/06/09	10/05/09 Sold	24	36 548	45 452	877 16	1,090 82	213 66	ST
	Total		27			$ 986 30	$ 1,227 17	$ 240 87	
NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	06/12/09	10/02/09 Sold	51	$ 36 584	$ 41 481	$ 1 865 81	$ 2,115 48	$ 249 67	ST
NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	06/12/09	10/05/09 Sold	8	36 584	43 141	292 68	345 12	52 44	ST
	06/17/09	10/05/09 Sold	33	33 714	43 141	1,112 56	1,423 61	311 05	ST
NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	10/09/09 Sold	31	33 714	45 615	1,045 14	1,414 05	368 91	ST
	06/19/09	10/09/09 Sold	5	32 90	45 615	164 50	228 07	63 57	ST
	Total		128			$ 4,480 69	$ 5,526 33	$ 1,045 64	
OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	10/09/09 Sold	7	$ 26 16	$ 32 981	$ 183 12	$ 230 87	$ 47 75	ST
	10/27/08	10/09/09 Sold	26	25 315	32 981	658 20	857 50	199 30	ST
	Total		33			$ 841 32	$ 1,088 37	$ 247 05	
PATTERSON UTI ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/25/09	10/14/09 Sold	63	$ 10 017	$ 16 802	$ 631 09	$ 1,058 54	$ 427 45	ST
	05/08/09	10/14/09 Sold	83	14 829	16 802	1,230 87	1,394 58	163 71	ST
	Total		146			$ 1,861 96	$ 2,453 12	$ 591 16	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 C

RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00009090 00069281

Reserved Client Statement

October 1 - October 31, 2009.

RICE FOUNDATION Account number 383-1597C-10 JD1

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	09/16/09	10/09/09 Sold	84	$ 29 184	$ 28 019	$ 2,451 52	$ 2 353 54	($ 97 98)	ST
	09/23/09	10/09/09 Sold	23	27 864	28 019	640 87	644 42	3 55	ST
PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	09/23/09	10/14/09 Sold	61	27 864	28 873	1,699 72	1,761 22	61 50	ST
PLAINS EXPLORATION& PRODUCTION COMPANY MorganStanley SmithBarney LLC acted as your agent	09/23/09	10/20/09 Sold	13	27 864	30 703	362 23	399 12	36 89	ST
	Total		181			$ 5,154 34	$ 5,158 30	$ 3 98	
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	10/23/09 Sold	40	$ 67 194	$ 44 303	$ 2,687 79	$ 1,772 07	($ 915 72)	LT
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	10/29/09 Sold	32	67 194	42 548	2,150 23	1,361 50	(788 73)	LT
	Total		72			$ 4,838 02	$ 3,133 57	($ 1,704 46)	
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	10/02/09 Sold	108	$ 54 25	$ 49 451	$ 5 859 00	$ 5,340 67	($ 518 33)	LT
	04/30/07	10/02/09 Sold	6	53 542	49 451	321 25	296 70	(24 55)	LT
TRAVELERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/30/07	10/05/09 Sold	23	53 542	48 789	1,231 47	1,122 14	(109 33)	LT
	05/04/07	10/05/09 Sold	42	55 13	48 789	2,315 46	2,049 12	(266 34)	LT
	11/01/07	10/05/09 Sold	21	50 896	48 789	1,068 82	1,024 56	(44 26)	LT

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CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
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MorganStanley SmithBarney

Ref 00009090 00069282

Reserved
Client Statement
October 1 - October 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TRAVELLERS COMPANIES INC MorganStanley SmithBarney LLC acted as your agent in this transaction	11/01/07	10/09/09 Sold	25	$ 50 896	$ 48 688	$ 1,272 41	$ 1,217 18	($ 55 23)	LT
	11/21/07	10/09/09 Sold	74	49 859	48 688	3 689 62	3,602 85	(86 77)	LT
	11/28/07	10/09/09 Sold	1	51 60	48 688	51 60	48 69	(2 91)	LT
	Total		300			$ 15,809 63	$ 14,701 91	($ 1,107 72)	
UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/08	10/22/09 Sold	13	$ 71 485	$ 60 828	$ 929 31	$ 790 75	($ 138 56)	LT
	09/22/08	10/22/09 Sold	62	74 464	60 828	4,616 77	3 771 26	(845 51)	LT
	10/20/08	10/22/09 Sold	27	57 65	60 828	1,556 57	1,642 32	85 75	LT
	10/21/08	10/22/09 Sold	17	59 659	60 828	1,014 22	1,034 05	19 83	LT
	12/17/08	10/22/09 Sold	35	48 90	60 828	1,711 52	2,128 94	417 42	ST
	02/18/09	10/22/09 Sold	53	40 949	60 828	2,170 32	3,223 82	1 053 50	ST
	03/03/09	10/22/09 Sold	74	36 579	60 828	2,706 91	4,501 18	1,794 27	ST
	03/09/09	10/22/09 Sold	31	34 212	60 828	1 060 58	1,885 63	825 05	ST
	03/31/09	10/22/09 Sold	59	40 931	60 828	2 414 93	3 588 77	1,173 84	ST
UNION PACIFIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/31/09	10/23/09 Sold	34	40 931	57 245	1,391 66	1,946 29	554 63	ST
	04/08/09	10/23/09 Sold	47	43 387	57 245	2,039 19	2 690 46	651 27	ST
	04/15/09	10/23/09 Sold	20	46 551	57 245	931 03	1,144 87	213 84	ST
	Total		472			$ 22,543 01	$ 28,348 34	$ 5,805 33	
UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09	10/09/09 Sold	20	$ 49 753	$ 62 056	$ 995 08	$ 1,241 09	$ 246 01	ST
	07/10/09	10/09/09 Sold	71	49 552	62 056	3,518 25	4,405 86	887 61	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 1 0

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
UNITED TECHNOLOGIES CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/10/09	10/14/09 Sold	9	$ 49 552	$ 63 398	$ 445 97	$ 570 57	$ 124 60	ST
	07/31/09	10/14/09 Sold	48	54 591	63 398	2,620 40	3,043 03	422 63	ST
	08/03/09	10/14/09 Sold	26	54 656	63 398	1 421 07	1,648 31	227 24	ST
UNITED TECHNOLOGILS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/03/09	10/20/09 Sold	10	54 656	65 076	546 57	650 75	104 18	ST
	08/04/09	10/20/09 Sold	36	54 995	65 076	1 979 84	2 342 68	362 84	ST
	Total		220			$ 11,527 18	$ 13,902 29	$ 2,375 11	
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/01/08	10/02/09 Sold	115	$ 22 286	$ 20 394	$ 2 562 96	$ 2,345 36	($ 217 60)	LT
	04/09/08	10/02/09 Sold	17	23 223	20 394	394 80	346 70	(48 10)	LT
UNUM GROUP MorganStanley SmithBarney LL C acted as your agent in this transaction	04/09/08	10/05/09 Sold	109	23 223	20 885	2,531 36	2,276 47	(254 89)	LT
	04/16/08	10/05/09 Sold	5	23 212	20 885	116 06	104 42	(11 64)	LT
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/16/08	10/09/09 Sold	62	23 212	21 676	1 439 17	1,343 91	(95 26)	LT
	04/18/08	10/09/09 Sold	50	23 664	21 676	1 183 24	1 083 80	(99 44)	LT
	Total		358			$ 8 227 59	$ 7,500 66	($ 726 93)	
Total Long Term this period								($ 6,820 74)	
Total Short Term this period								$ 17,710 05	
Total realized gain or (loss) - this period						$ 160,843 88	$ 171,733 19	$ 10,889 31	
Total Long Term - year to-date								($ 97,323 98)	
Total Short Term - year to-date								$ 25,338 03	
Total realized gain or (loss) - year-to date						$ 2,078,122 21	$ 2,006,136 26	($ 71,985 95)	

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account If you would like a copy please contact your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
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Morgan Stanley SmithBarney

Ref 00008466 00065534

Reserved Client Statement

November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
11/30/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 11/02/09 11/30/09 29 DAYS AVERAGE YIELD 07 %	$ 1 51		$ 1 51
Total earnings from money fund			$ 1 51	$ 0 00	$ 1 51

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you trades are allocated using the FIFO (first in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/03/09 Sold	3	$ 60 665	$ 67 848	$ 182 00	$ 203 53	$ 21 53	ST
AXIS CAPITAL HOLDINGS LTD USD MorganStanley SmithBarney LLC acted as your agent	10/05/09	11/03/09 Sold	17	30 244	28 534	514 15	485 08	(29 07)	ST
	10/09/09	11/03/09 Sold	34	31 172	28 534	1,059 85	970 16	(89 69)	ST
AXIS CAPITAL HOLDINGS LTD USD MorganStanley SmithBarney LLC acted as your agent	10/09/09	11/04/09 Sold	1	31 172	29 289	31 17	29 28	(1 89)	ST
	Total		52			$ 1,605 17	$ 1,484 52	($ 120 65)	
BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	10/02/09	11/04/09 Sold	22	$ 61 255	$ 58 758	$ 1,347 62	$ 1,292 65	($ 54 97)	ST
BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	10/02/09	11/10/09 Sold	7	61 255	58 456	428 79	409 18	(19 61)	ST
	10/05/09	11/10/09 Sold	20	61 037	58 456	1 220 75	1 169 09	(51 66)	ST

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CAPITAL GAINS & LOSSES
SCHEDULE 1 0

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction	10/05/09	11/12/09 Sold	12	$ 61 037	$ 57 967	$ 732 45	$ 695 59	($ 36 86)	ST
	Total		61			$ 3,729 61	$ 3,566 51	($ 163 10)	
AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	11/18/09 Sold	477	$ 25 357	$ 26 206	$ 12 095 62	$ 12 500 27	$ 404 65	ST
	05/12/09	11/18/09 Sold	382	25 588	26 206	9,774 65	10,010 70	236 05	ST
	07/10/09	11/18/09 Sold	58	23 345	26 206	1,354 06	1,519 95	165 89	ST
	07/22/09	11/18/09 Sold	87	24 826	26 206	2,159 94	2,279 92	119 98	ST
	07/27/09	11/18/09 Sold	91	25 542	26 206	2 324 39	2,384 75	60 36	ST
	07/29/09	11/18/09 Sold	262	25 80	26 206	6,759 73	6 865 98	106 25	ST
AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	11/23/09 Sold	177	25 80	26 457	4 566 69	4,682 86	116 17	ST
	09/16/09	11/23/09 Sold	453	26 447	26 457	11 980 67	11,984 94	4 27	ST
AT&T INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	11/30/09 Sold	397	26 447	26 779	10 499 62	10,631 18	131 56	ST
	Total		2,384			$ 61,515 37	$ 62,860 55	$ 1,345 18	
ALTRIA GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/17/09 Sold	95	$ 16 347	$ 19 231	$ 1 553 04	$ 1,826 97	$ 273 93	ST
	07/06/09	11/17/09 Sold	1	16 318	19 231	16 32	19 23	2 91	ST
	07/15/09	11/17/09 Sold	240	16 715	19 231	4 011 77	4 615 51	603 74	ST
	07/16/09	11/17/09 Sold	49	17 01	19 231	833 51	942 33	108 82	ST
ALTRIA GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	11/18/09 Sold	41	17 01	19 302	697 42	791 38	93 96	ST
	07/29/09	11/18/09 Sold	124	17 633	19 302	2 186 59	2 393 46	206 87	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ALTRIA GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	11/20/09 Sold	21	$ 17 633	$ 18 985	$ 370 31	$ 398 68	$ 28 37	ST
	Total		571			$ 9,668 96	$ 10,987 56	$ 1,318 60	
AMERN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/27/09	11/10/09 Sold	67	$ 23 432	$ 25 437	$ 1 569 98	$ 1,704 27	$ 134 29	ST
AMEREN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	03/27/09	11/18/09 Sold	28	23 432	25 832	656 11	723 28	67 17	ST
	04/09/09	11/18/09 Sold	52	22 825	25 832	1 186 90	1 343 24	156 34	ST
AMEREN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	11/23/09 Sold	1	22 825	25 60	22 83	25 60	2 77	ST
	04/20/09	11/23/09 Sold	58	22 19	25 60	1,287 07	1 484 81	197 74	ST
AMEREN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/09	11/30/09 Sold	24	22 19	25 864	532 58	620 73	88 15	ST
	Total		230			$ 5,255 47	$ 5,901 93	$ 646 46	
AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	11/04/09 Sold	3	$ 22 717	$ 24 669	$ 68 15	$ 74 01	$ 5 86	ST
	07/29/09	11/04/09 Sold	41	24 89	24 669	1,020 50	1 011 43	(9 07)	ST
	Total		44			$ 1,088 65	$ 1,085 44	($ 3 21)	
AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/04/09 Sold	16	$ 37 722	$ 38 962	$ 603 56	$ 623 38	$ 19 82	ST
	07/30/09	11/04/09 Sold	45	39 733	38 962	1 788 02	1,753 24	(34 78)	ST
AON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/30/09	11/10/09 Sold	17	39 733	39 769	675 48	676 05	57	ST
	Total		78			$ 3,067 06	$ 3,052 67	($ 14 39)	

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CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
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Morgan Stanley SmithBarney

Ref 00008466 00065577

Reserved Client Statement

November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/03/09 Sold	30	$ 69 208	$ 96 385	$ 2,076 27	$ 2 891 49	$ 815 22	ST
BIG LOTS INC MorganStanley SmithBarney LLC	04/30/09	11/03/09 Sold	44	27 821	25 091	1,224 13	1 103 98	(120 15)	ST
acted as your agent in this transaction	05/11/09	11/03/09 Sold	11	25 677	25 091	282 45	276 00	(6 45)	ST
BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/11/09	11/04/09 Sold	42	25 677	25 22	1,078 44	1 059 21	(19 23)	ST
	Total		97			$ 2,585 02	$ 2 439 19	($ 145 83)	
BIOGEN IDEC INC MorganStanley SmithBarney LLC	07/16/09	11/18/09 Sold	39	$ 47 201	$ 46 086	$ 1,840 85	$ 1,797 32	($ 43 53)	ST
acted as your agent in this transaction	07/29/09	11/18/09 Sold	31	48 764	46 086	1 511 69	1 428 64	(83 05)	ST
BIOGEN IDEC INC MorganStanley SmithBarney LLC	07/29/09	11/20/09 Sold	41	48 764	46 099	1,999 33	1,890 03	(109 30)	ST
acted as your agent in this transaction	08/10/09	11/20/09 Sold	9	47 753	46 099	429 78	414 89	(14 89)	ST
BIOGEN IDEC INC MorganStanley SmithBarney LLC	08/10/09	11/23/09 Sold	15	47 753	46 539	716 31	698 07	(18 24)	ST
acted as your agent in this transaction	08/21/09	11/23/09 Sold	19	49 751	46 539	945 28	884 22	(61 06)	ST
	Total		154			$ 7,443 24	$ 7,113 17	($ 330 07)	
CIGNA CORP MorganStanley SmithBarney LLC	07/02/09	11/03/09 Sold	76	$ 23 94	$ 28 059	$ 1,819 45	$ 2 132 44	$ 312 99	ST
acted as your agent in this transaction	07/16/09	11/03/09 Sold	6	24 266	28 059	145 60	168 35	22 75	ST
CIGNA CORP MorganStanley SmithBarney LLC	07/16/09	11/04/09 Sold	38	24 266	30 03	922 10	1 141 11	219 01	ST
acted as your agent in this transaction	07/29/09	11/04/09 Sold	16	27 981	30 03	447 71	480 47	32 76	ST
	Total		136			$ 3,334 86	$ 3,922 37	$ 587 51	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- Sched 10

Morgan Stanley SmithBarney

Ref 00008466 0006538

Reserved
Client Statement
November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/11/08	11/23/09 Sold	19	$ 35 204	$ 48 646	$ 668 89	$ 924 25	$ 255 36	ST
	12/24/08	11/23/09 Sold	79	31 368	48 646	2,478 07	3,842 94	1 364 87	ST
	12/29/08	11/23/09 Sold	17	30 353	48 646	516 01	826 97	310 96	ST
	Total		115			$ 3,662 97	$ 5,594 16	$ 1,931 19	
DTE ENERGY COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	11/10/09 Sold	47	$ 28 816	$ 39 323	$ 1,354 39	$ 1,848 13	$ 493 74	ST
	04/20/09	11/10/09 Sold	1	28 818	39 323	28 82	39 32	10 50	ST
DTE ENERGY COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	04/20/09	11/17/09 Sold	55	28 818	40 304	1,585 03	2,216 67	631 64	ST
	Total		103			$ 2 968 24	$ 4,104 12	$ 1,135 88	
DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	03/25/09	11/23/09 Sold	39	$ 16 046	$ 31 688	$ 625 80	$ 1,235 82	$ 610 02	ST
DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	11/10/09 Sold	111	33 647	36 706	3 734 92	4 074 27	339 35	ST
DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	11/17/09 Sold	81	33 647	37 117	2 725 48	3 006 41	280 93	ST
	08/21/09	11/17/09 Sold	59	33 852	37 117	1,997 28	2,189 85	192 57	ST
	09/16/09	11/17/09 Sold	20	33 817	37 117	676 35	742 32	65 97	ST
DOMINION RESOURCES INC VA NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	11/18/09 Sold	15	33 817	36 997	507 27	554 95	47 68	ST
	09/17/09	11/18/09 Sold	39	33 978	36 997	1 325 14	1,442 86	117 72	ST
	Total		325			$ 10,966 44	$ 12,010 66	$ 1,044 22	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
R R DONNELLEY & SONS CO MorganStanley SmithBarney LLC acted as your agent in this transaction	11/03/09	11/17/09 Sold	56	$ 20 285	$ 21 615	$ 1,135 98	$ 1,210 44	$ 74 46	ST
	11/04/09	11/17/09 Sold	51	21 482	21 615	1 095 62	1 102 37	6 75	ST
	Total		107			$ 2 231 60	$ 2,312 81	$ 81 21	
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/20/09	11/04/09 Sold	19	$ 27 005	$ 44 108	$ 513 11	$ 838 04	$ 324 93	ST
	01/28/09	11/04/09 Sold	6	29 844	44 108	179 07	264 65	85 58	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/28/09	11/10/09 Sold	24	29 844	44 669	716 27	1 072 03	355 76	ST
	02/03/09	11/10/09 Sold	1	30 471	44 669	30 47	44 67	14 20	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09	11/18/09 Sold	26	30 471	44 508	792 27	1 157 20	364 93	ST
	Total		76			$ 2,231 19	$ 3,376 59	$ 1,145 40	
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/28/09	11/30/09 Sold	9	$ 50 388	$ 48 04	$ 453 49	$ 432 35	($ 21 14)	ST
	09/15/09	11/30/09 Sold	31	49 423	48 04	1 532 13	1 489 21	(42 92)	ST
	09/16/09	11/30/09 Sold	4	50 357	48 04	201 43	192 16	(9 27)	ST
	Total		44			$ 2,187 05	$ 2,113 72	($ 73 33)	
EXPEDIA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/22/09	11/17/09 Sold	76	$ 25 651	$ 24 632	$ 1,949 52	$ 1,872 00	($ 77 52)	ST
FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	11/19/09 Sold	21	53 585	46 923	1,125 29	985 36	(139 93)	ST
	07/29/09	11/19/09 Sold	3	54 256	46 923	162 77	140 77	(22 00)	ST
FTI CONSULTING INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	11/23/09 Sold	36	54 256	46 518	1,953 21	1,674 62	(278 59)	ST
	Total		60			$ 3,241 27	$ 2,800 75	($ 440 52)	

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
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Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GENERAL MILLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/19/09	11/13/09 Sold	22	$ 57 768	$ 67 542	$ 1,270 91	$ 1 485 90	$ 214 99	ST
	08/26/09	11/13/09 Sold	19	59 364	67 542	1,127 93	1 283 27	155 34	ST
	Total		41			$ 2 398 84	$ 2,769 17	$ 370 33	
GOODRICH CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	11/03/09 Sold	12	$ 50 005	$ 54 667	$ 600 07	$ 655 99	$ 55 92	ST
HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/25/09	11/18/09 Sold	39	27 444	26 729	1 070 13	1 042 43	(27 90)	ST
HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/10/09 Sold	27	31 414	41 749	848 19	1 127 20	279 01	ST
HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/18/09 Sold	16	31 414	40 764	502 63	652 21	149 58	ST
	07/06/09	11/18/09 Sold	1	30 796	40 764	30 80	40 76	9 96	ST
	07/16/09	11/18/09 Sold	17	28 699	40 764	344 39	489 16	144 77	ST
	Total		56			$ 1,726 01	$ 2,309 33	$ 583 32	
IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	06/10/09	11/23/09 Sold	32	$ 28 138	$ 24 712	$ 900 44	$ 790 78	($ 109 66)	ST
	06/17/09	11/23/09 Sold	13	27 664	24 712	359 64	321 26	(38 38)	ST
	Total		45			$ 1 260 08	$ 1,112 04	($ 148 04)	
KELLOGG CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/03/09	11/20/09 Sold	28	$ 46 956	$ 53 336	$ 1 314 79	$ 1 493 37	$ 178 58	ST
KRAFT FOODS INC CLASS A MorganStanley SmithBarney LLC acted as your agent in this transaction	07/10/09	11/12/09 Sold	84	25 96	26 905	2 180 68	2,259 99	79 31	ST
	07/27/09	11/12/09 Sold	39	27 992	26 905	1,091 71	1 049 28	(42 43)	ST
	Total		123			$ 3,272 39	$ 3,309 27	$ 36 88	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT- hdl 10

Morg. Stanley SmithBarney

Ref # 8466 (XXXX5541

Re served Cli nt tatement

November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/08/09	11/03/09 Sold	16	$ 18 609	$ 25 32	$ 297 75	$ 405 12	$ 107 37	ST
	05/12/09	11/03/09 Sold	26	17 232	25 32	448 05	658 32	210 27	ST
LEXMARK INTL GROUP INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	11/04/09 Sold	26	17 232	25 833	448 05	671 64	223 59	ST
	05/13/09	11/04/09 Sold	16	16 485	25 833	263 77	413 32	149 55	ST
	Total		84			$ 1,457 62	$ 2,148 40	$ 690 78	
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	11/18/09 Sold	92	$ 13 803	$ 17 623	$ 1 269 89	$ 1,621 29	$ 351 40	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	11/20/09 Sold	77	13 803	17 508	1,062 85	1,348 08	285 23	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	11/23/09 Sold	71	13 803	17 986	980 03	1 276 99	296 96	ST
	Total		240			$ 3,312 77	$ 4,246 36	$ 933 59	
NEWFIELD EXPLORATION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09	11/10/09 Sold	60	$ 32 90	$ 45 327	$ 1,974 03	$ 2,719 55	$ 745 52	ST
	06/24/09	11/10/09 Sold	13	30 84	45 327	400 92	589 24	188 32	ST
	Total		73			$ 2 374 95	$ 3,308 79	$ 933 84	
OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08	11/03/09 Sold	8	$ 25 315	$ 32 451	$ 202 52	$ 259 61	$ 57 09	LT
	12/19/08	11/03/09 Sold	30	25 691	32 451	770 74	973 52	202 78	ST
OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/19/08	11/04/09 Sold	12	25 691	32 974	308 29	395 68	87 39	ST
	09/16/09	11/04/09 Sold	21	32 752	32 974	687 81	692 45	4 64	ST

RICE FOUNDATION
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PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

DLF Received 10

Morgan Stanley SmithBarney

Ref 00008466 00065542

Reserved
Client Statement
November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
OGE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	11/16/09 Sold	38	$ 32 752	$ 34 317	$ 1 244 61	$ 1 304 04	$ 59 43	ST
	Total		109			$ 3,213 97	$ 3,626 30	$ 411 33	
OLOGIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	11/03/09 Sold	65	$ 17 033	$ 17 77	$ 1,107 18	$ 1 155 05	$ 47 87	ST
OLOGIC CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	11/04/09 Sold	59	17 033	17 979	1,004 97	1 060 75	55 78	ST
	Total		124			$ 2,112 15	$ 2,215 80	$ 103 65	
QUEST DIAGNOSTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/16/09 Sold	22	$ 54 904	$ 59 327	$ 1,207 91	$ 1 305 17	$ 97 26	ST
REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/18/09 Sold	46	38 506	50 997	1,771 32	2,345 79	574 47	ST
REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/20/09 Sold	2	38 506	50 647	77 01	101 29	24 28	ST
	07/15/09	11/20/09 Sold	35	39 549	50 647	1,384 23	1 772 62	388 39	ST
REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	11/23/09 Sold	22	39 549	51 145	870 09	1,125 16	255 07	ST
	Total		105			$ 4,102 65	$ 5,344 86	$ 1,242 21	
SOUTHERN UNION COMPANY MorganStanley SmithBarney LLC acted as your agent in this transaction	04/09/09	11/03/09 Sold	54	$ 15 69	$ 19 417	$ 847 27	$ 1 048 50	$ 201 23	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 0

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/07/09	11/17/09 Sold	80	$ 21 064	$ 32 222	$ 1,685 19	$ 2,577 70	$ 892 51	ST
	04/09/09	11/17/09 Sold	41	22 316	32 222	914 99	1 321 07	406 08	ST
	07/07/09	11/17/09 Sold	174	23 762	32 222	4,134 68	5,606 50	1,471 82	ST
TIME WARNER INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/07/09	11/18/09 Sold	24	23 762	32 585	570 30	782 02	211 72	ST
	Total		319			$ 7,305 16	$ 10,287 29	$ 2,982 13	
TIME WARNER CABLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	11/17/09 Sold	56	$ 30 42	$ 42 663	$ 1 703 53	$ 2 389 10	$ 685 57	ST
	07/08/09	11/17/09 Sold	34	30 00	42 663	1,020 00	1 450 53	430 53	ST
	Total		90			$ 2,723 53	$ 3,839 63	$ 1,116 10	
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/23/07	11/04/09 Sold	34	$ 67 194	$ 41 125	$ 2 284 62	$ 1 398 21	($ 886 41)	LT
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/15/08	11/18/09 Sold	24	60 157	43 70	1,443 78	1 048 78	(395 00)	LT
	09/08/08	11/18/09 Sold	2	61 25	43 70	122 50	87 40	(35 10)	LT
TORCHMARK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/08/08	11/20/09 Sold	26	61 25	42 241	1,592 52	1 098 25	(494 27)	LT
	Total		86			$ 5,443 42	$ 3,632 64	($ 1,810 78)	
TRANSDIGM GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/03/09	11/03/09 Sold	31	$ 33 432	$ 39 615	$ 1 036 40	$ 1 228 05	$ 191 65	ST
	03/09/09	11/03/09 Sold	73	30 973	39 615	712 39	911 13	198 74	ST
	03/24/09	11/03/09 Sold	5	33 514	39 615	167 57	198 07	30 50	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

T Sched 10

Morgan Stanley SmithBarney

Ref 18466 00066544

Reserved
Client Statement
November 1 - November 30, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TRANSDIGM GROUP INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/24/09	11/04/09 Sold	22	$ 33 514	$ 40 297	$ 737 32	$ 886 50	$ 149 18	ST
	Total		81			$ 2 653 68	$ 3,223 75	$ 570 07	
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/18/08	11/10/09 Sold	16	$ 23 664	$ 20 154	$ 378 64	$ 322 46	($ 56 18)	LT
	04/24/08	11/10/09 Sold	67	23 121	20 154	1,549 11	1 350 29	(198 82)	LT
	04/29/08	11/10/09 Sold	11	23 754	20 154	261 29	221 69	(39 60)	LT
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	04/29/08	11/18/09 Sold	55	23 754	19 595	1 306 48	1 077 74	(228 74)	LT
	06/09/08	11/18/09 Sold	41	23 059	19 595	945 45	803 40	(142 05)	LT
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/09/08	11/23/09 Sold	76	23 059	19 283	1 752 53	1,465 50	(287 03)	LT
	06/11/08	11/23/09 Sold	8	23 106	19 283	184 85	154 26	(30 59)	LT
	Total		274			$ 6,378 35	$ 5,395 34	($ 983 01)	
VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	11/18/09 Sold	74	$ 18 929	$ 30 539	$ 1 400 81	$ 2,259 88	$ 859 07	LT
	10/27/08	11/18/09 Sold	66	18 717	30 539	1,235 33	2,015 57	780 24	LT
VIACOM INC NLW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	10/27/08	11/23/09 Sold	2	18 717	30 401	37 43	60 80	23 37	LT
	07/16/09	11/23/09 Sold	53	22 325	30 401	1 183 25	1 611 23	427 98	ST
	07/29/09	11/23/09 Sold	28	23 653	30 401	662 29	851 22	188 93	ST
	Total		223			$ 4,519 11	$ 6,798 70	$ 2,279 59	
Total Long Term this period			-					($ 1,074 02)	
Total Short Term this period								$ 20,204 90	
Total realized gain or (loss) - this period						$ 190,910 81	$ 210,041 69	-$ 19,130 88	
Total Long Term year to date								($ 98,398 00)	
Total Short Term year-to-date								$ 45,542 93	
Total realized gain or (loss) - year-to-date						$ 2,269,033 02	$ 2,216,177 95	($ 52,855 07)	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
12/31/09	WESTERN ASSET MONEY MARKET FUND CLASS A	REINVESTED FOR PERIOD 12/01/09-01/03/10 34 DAYS AVERAGE YIELD 07 %	$ 2.02		$ 2 02
Total earnings from money fund			**$ 2 02**	**$ 0 00**	**$ 2 02**

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	12/03/09 Sold	83	$ 30 895	$ 34 071	$ 2,564 30	$ 2,827 82	$ 263 52	ST
AMERICAN ELECTRIC POWER CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/09/09	12/07/09 Sold	7	30 895	33 998	216 27	237 98	21 71	ST
	Total		**90**			**$ 2,780 57**	**$ 3,065 80**	**$ 285 23**	
AMERICAN FINL GROUP INC OHIO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	12/03/09 Sold	46	$ 24 89	$ 24 202	$ 1,144 96	$ 1,113 28	($ 31 68)	ST
APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	12/03/09 Sold	12	69 208	96 105	830 51	1,153 23	322 72	ST
BIG LOTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/11/09	12/30/09 Sold	35	25 677	29 191	898 70	1,021 66	122 96	ST
	05/12/09	12/30/09 Sold	48	24 658	29 191	1,183 59	1,401 13	217 54	ST
	05/13/09	12/30/09 Sold	38	24 115	29 191	916 37	1,109 22	192 85	ST
	Total		**121**			**$ 2,998 66**	**$ 3,532 01**	**$ 533 35**	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 O

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss, *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BIOGEN IDEC INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/21/09	12/03/09 Sold	20	$ 49 751	$ 47 711	$ 995 03	$ 954 20	($ 40 83)	ST
	09/16/09	12/03/09 Sold	35	51 477	47 711	1,801 70	1,669 86	(131 84)	ST
	Total		55			$ 2,796 73	$ 2,624 06	($ 172 67)	
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/29/08	12/03/09 Sold	112	$ 30 353	$ 48.675	$ 3,399 58	$ 5,451 53	$ 2,051 95	ST
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/29/08	12/10/09 Sold	61	30 353	48 549	1,851.56	2,961.42	1,109 86	ST
	01/05/09	12/10/09 Sold	48	35 25	48.549	1,692 04	2,330 30	638.26	ST
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/09	12/16/09 Sold	46	35 25	49 807	1,621 54	2,291 10	669 56	ST
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/09	12/22/09 Sold	42	35 25	49 133	1,480 53	2,063 54	583 01	ST
CSX CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	01/05/09	12/29/09 Sold	1	35 25	49.635	35 25	49 63	14 38	ST
	Total		310			$ 10,080 50	$ 15,147 52	$ 5,067 02	
CAMPBELL SOUP CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	12/11/09 Sold	37	$ 29.372	$ 34 815	$ 1,086 78	$ 1,288 15	$ 201 37	ST
CAMPBELL SOUP CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/02/09	12/15/09 Sold	6	29 372	34 582	176 24	207 49	31 25	ST
	Total		43			$ 1,263 02	$ 1,495 64	$ 232 62	
CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	12/10/09 Sold	89	$ 38 032	$ 38.659	$ 3,384 89	$ 3,440 60	$ 55.71	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 O

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 9D1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	11/23/09	12/11/09 Sold	11	$ 38 032	$ 39 878	$ 418 36	$ 438 65	$ 20 29	ST
	12/03/09	12/11/09 Sold	58	37 941	39 878	2,200 58	2,312 89	112 31	ST
CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/09	12/15/09 Sold	62	37 941	40 107	2,352 35	2,486 61	134 26	ST
CAPITAL ONE FINL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	12/03/09	12/29/09 Sold	35	37 941	38 698	1,327 94	1,354 40	26 46	ST
	12/07/09	12/29/09 Sold	90	38 079	38.698	3,427 12	3,482 73	55 61	ST
	Total		**345**			**$ 13,111 24**	**$ 13,515 88**	**$ 404 64**	
COMERICA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	03/25/09	12/30/09 Sold	75	$ 20 115	$ 30 032	$ 1,508 63	$ 2,252 41	$ 743 78	ST
DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as your agent	03/25/09	12/03/09 Sold	4	16 046	31 997	64 18	127 99	63 81	ST
	03/31/09	12/03/09 Sold	51	16 202	31 997	826 34	1,631 83	805 49	ST
	Total		**55**			**$ 890 52**	**$ 1,759 82**	**$ 869 30**	
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/03/09	12/03/09 Sold	2	$ 30 471	$ 44 593	$ 60 94	$ 89 18	$ 28 24	ST
	02/12/09	12/03/09 Sold	28	30 345	44 593	849 68	1,248 59	398 91	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/12/09	12/07/09 Sold	1	30 345	44 505	30 35	44 50	14 15	ST
	02/17/09	12/07/09 Sold	24	29 269	44 505	702 47	1,068 11	365 64	ST
ENERGEN CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	02/17/09	12/10/09 Sold	4	29 269	44 393	117 08	177 56	60 48	ST
	Total		**59**			**$ 1,760 52**	**$ 2,627 94**	**$ 867 42**	

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

MDT-Acct 10

MorganStanley SmithBarney

Ref 00000994 00111951

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
ENTERGY CORPORATION-NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/27/09	12/03/09 Sold	19	$ 68 11	$ 81 831	$ 1,294 11	$ 1,554 75	$ 260 64 ST
	04/09/09	12/03/09 Sold	20	66.571	81 831	1,331 43	1,636 58	305.15 ST
	04/13/09	12/03/09 Sold	19	64 572	81 831	1,226 88	1,554 75	327 87 ST
	05/06/09	12/03/09 Sold	3	71 955	81 831	215 87	245 50	29 63 ST
	Total		**61**			**$ 4,068 29**	**$ 4,991 58**	**$ 923 29**
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	09/16/09	12/01/09 Sold	20	$ 50 357	$ 48 854	$ 1,007 14	$ 977 05	($ 30 09) ST
	09/17/09	12/01/09 Sold	7	51 434	48 854	360 04	341 97	(18 07) ST
EXELON CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/17/09	12/07/09 Sold	26	51 434	49 901	1,337.30	1,297 41	(39 89) ST
	Total		**53**			**$ 2,704 48**	**$ 2,616 43**	**($ 88 05)**
EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/19/09	12/17/09 Sold	99	$ 71 114	$ 68 652	$ 7,040 36	$ 6,796 42	($ 243.94) ST
	06/22/09	12/17/09 Sold	72	69 297	68 652	4,989 41	4,942.85	(46 56) ST
EXXON MOBIL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/22/09	12/18/09 Sold	135	69 297	67 889	9,355 13	9,164 84	(190 29) ST
	06/30/09	12/18/09 Sold	58	69 651	67 889	4,039 80	3,937 49	(102 31) ST
	Total		**364**			**$ 25,424 70**	**$ 24,841 60**	**($ 583 10)**
FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/14/09	12/10/09 Sold	61	$ 46 486	$ 45.408	$ 2,835 66	$ 2,769 82	($ 65 84) ST
	10/22/09	12/10/09 Sold	3	46 005	45 408	138 01	136 22	(1 79) ST
FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/22/09	12/29/09 Sold	73	46 005	47 336	3,358 37	3,455 47	97 10 ST
	10/29/09	12/29/09 Sold	20	43 838	47 336	876 78	946 71	69 93 ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
FIRSTENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	10/29/09	12/30/09 Sold	17	$ 43 838	$ 47.41	$ 745 26	$ 805 94	$ 60 68	ST
	Total		**174**			**$ 7,954 08**	**$ 8,114 16**	**$ 160 08**	
GENERAL DYNAMICS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	12/29/09 Sold	20	$ 56 858	$ 68 741	$ 1,137 16	$ 1,374.79	$ 237 63	ST
	08/26/09	12/29/09 Sold	25	58 442	68 741	1,461 06	1,718 48	257 42	ST
	Total		**45**			**$ 2,598 22**	**$ 3,093 27**	**$ 495 05**	
GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/12/09	12/15/09 Sold	20	$ 55 015	$ 65 661	$ 1,084 37	$ 1,313 20	$ 228 83	ST
GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent	05/12/09	12/29/09 Sold	14	55 015	79 176	759 06	1,108 44	349 38	ST
	Total		**34**			**$ 1,843 43**	**$ 2,421 64**	**$ 578 21**	
HUMANA INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	12/04/09 Sold	24	$ 28 699	$ 41 094	$ 688 79	$ 986 23	$ 297 44	ST
IRON MTN INC (DEL) MorganStanley SmithBarney LLC acted as your agent in this transaction	06/17/09	12/03/09 Sold	32	27 664	24 005	885 26	768 16	(117 10)	ST
KROGER CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/15/09	12/10/09 Sold	62	20 513	20 004	1,271 82	1,240 27	(31 55)	ST
	04/22/09	12/10/09 Sold	37	21 453	20 004	793 77	740 16	(53 61)	ST
KROGER CO MorganStanley SmithBarney LLC acted as your agent in this transaction	04/22/09	12/11/09 Sold	34	21 453	20 036	729 41	681 22	(48 19)	ST
	07/02/09	12/11/09 Sold	42	21 498	20 036	902 95	841 51	(61 44)	ST

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
KROGER CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	07/02/09	12/15/09 Sold	34	$ 21 498	$ 20 088	$ 730 96	$ 682 98	($ 47 98)	ST
	07/16/09	12/15/09 Sold	38	21 946	20 088	833 97	763 33	(70.64)	ST
KROGER CO MorganStanley SmithBarney LLC acted as your agent in this transaction	07/16/09	12/28/09 Sold	7	21 946	20 58	153 63	144 06	(9 57)	ST
	09/17/09	12/28/09 Sold	55	20 84	20.58	1,146 21	1,131 87	(14 34)	ST
KROGER CO MorganStanley SmithBarney LLC acted as your agent in this transaction	09/17/09	12/29/09 Sold	26	20 84	20 514	541 85	533 35	(8 50)	ST
	Total		**335**			**$ 7,104 57**	**$ 6,758 75**	**($ 345 82)**	
MIRANT CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	12/29/09 Sold	75	$ 15 857	$ 15 652	$ 1,189 31	$ 1,173 89	($ 15 42)	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/12/09	12/03/09 Sold	11	13 803	18.313	151.83	201 44	49 61	ST
	05/13/09	12/03/09 Sold	60	13 407	18 313	804 43	1,098 79	294 36	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/09	12/10/09 Sold	68	13 407	18 315	911 69	1,245 39	333 70	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/09	12/29/09 Sold	75	13 407	18 675	1,005 54	1,400 62	395 08	ST
MYLAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	05/13/09	12/30/09 Sold	8	13 407	18 538	107 26	148 30	41 04	ST
	05/18/09	12/30/09 Sold	53	13 032	18 538	690 72	982 52	291 80	ST
	Total		**275**			**$ 3,671 47**	**$ 5,077 06**	**$ 1,405 59**	
ORACLE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction	07/27/09	12/02/09 Sold	194	$ 21 835	$ 22 579	$ 4,236 16	$ 4,380 36	$ 144 20	ST

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/15/09 Sold	159	$ 51 999	$ 62 196	$ 8,267 84	$ 9,888 92	$ 1,621 08	ST
PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/29/09 Sold	94	51 999	61 591	4,887 91	5,789 40	901 49	ST
	08/10/09	12/29/09 Sold	40	51 925	61 591	2,077 03	2,463 57	386 54	ST
PROCTER & GAMBLE CO MorganStanley SmithBarney LLC acted as your agent in this transaction	08/10/09	12/30/09 Sold	60	51 925	61 437	3,115 55	3,686 13	570 58	ST
	Total		353			$ 18,348 33	$ 21,828 02	$ 3,479 69	
PRUDENTIAL FINANCIAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction	09/18/09	12/30/09 Sold	51	$ 53 88	$ 50 394	$ 2,747 88	$ 2,570 04	($ 177 84)	ST
	10/09/09	12/30/09 Sold	5	51 024	50 394	255 12	251 96	(3 16)	ST
	Total		56			$ 3,003 00	$ 2,822 00	($ 181 00)	
REYNOLDS AMERICAN INC MorganStanley SmithBarney LLC acted as your agent in this transaction	07/15/09	12/01/09 Sold	10	$ 39 549	$ 50 629	$ 395 50	$ 506 28	$ 110 78	ST
SEACOR HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/29/09 Sold	15	81.783	75 755	1,226.75	1,136 30	(90 45)	ST
SEACOR HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/30/09 Sold	1	81 783	75 74	81 78	75 74	(6 04)	ST
	08/10/09	12/30/09 Sold	13	82 118	75 74	1,067 54	984 59	(82 95)	ST
	Total		29			$ 2,376 07	$ 2,196 63	($ 179 44)	
SYNAPTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	10/21/08	12/29/09 Sold	34	$ 29 234	$ 30 065	$ 993 99	$ 1,022 20	$ 28 21	LT
	10/27/08	12/29/09 Sold	4	28 519	30 065	114 08	120 26	6 18	LT

PART IV
CAPITAL GAINS & LOSSES
SCHEDULE 1 O

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SYNAPTICS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/27/08	12/30/09 Sold	34	$ 28 519	$ 30 185	$ 969 66	$ 1,026 28	$ 56 62	LT
	10/28/08	12/30/09 Sold	4	27 435	30 185	109 74	120 74	11 00	LT
	Total		**76**			**$ 2,187 47**	**$ 2,289 48**	**$ 102 01**	
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/08	12/03/09 Sold	79	$ 23.106	$ 19 141	$ 1,825 39	$ 1,512.11	($ 313 28)	LT
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction	06/11/08	12/10/09 Sold	4	23.106	18.95	92 42	75 80	(16 62)	LT
	10/23/08	12/10/09 Sold	74	15.258	18 95	1,129 14	1,402.33	273 19	LT
UNUM GROUP MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/23/08	12/16/09 Sold	2	15 258	19.368	30 52	38 74	8 22	LT
	10/27/08	12/16/09 Sold	68	14 545	19 368	989 11	1,316 99	327 88	LT
	10/30/08	12/16/09 Sold	34	15.098	19 368	513.35	658.49	145.14	LT
	Total		**261**			**$ 4,579 93**	**$ 5,004 46**	**$ 424 53**	
VIACOM INC NEW CLASS B MorganStanley SmithBarney LLC acted as your agent in this transaction	07/29/09	12/01/09 Sold	48	$ 23 653	$ 29 945	$ 1,135 36	$ 1,437 36	$ 302 00	ST
WELLCARE HLTH PLANS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/03/09 Sold	32	23 552	34 765	753 69	1,112 46	358 77	ST

PART IV
CAPITAL GAINS & LOSSES

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

SCHEDULE 1 0

NOT - Sched 10

MorganStanley SmithBarney

Ref 00000994 00111956

Reserved
Client Statement
December 1 - December 31, 2009

RICE FOUNDATION *Account number 383-1597C-10 JD1*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WELLCARE HLTH PLANS INC MorganStanley SmithBarney LLC acted as your agent in this transaction	08/07/09	12/29/09 Sold	22	$ 23 552	$ 38 183	$ 518 16	$ 840 00	$ 321 84	ST
	08/21/09	12/29/09 Sold	8	25 52	38 183	204 16	305 46	101 30	ST
	Total		62			$ 1,476 01	$ 2,257 92	$ 781 91	
Total Long Term this period								$ 526 54	
Total Short Term this period								$ 16,290 04	
Total realized gain or (loss) - this period						$ 135,036 29	$ 151,852 87	$ 16,816.58	
Total Long Term - year-to-date								($ 97,871 46)	
Total Short Term - year-to-date								$ 61,832 97	
Total realized gain or (loss) - year-to-date						$ 2,404,069 31	$ 2,368,030 82	($ 36,038.49)	

Please notify your Financial Advisor if you would like to impose any reasonable restrictions on the management of your account, or if there have been any changes in your financial situation, investment objectives, requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any other investment advisors managing your account If you would like a copy, please contact your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 10

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

RICE FOUNDATION Account number 383-1629C-11 JD1

GAIN/LOSS DETAILS

Please note this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Trades are allocated using the FIFO (first-in-first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)
NATIXIS FDS TR I INCOME DIVERSIFIED PORT CL A CONFIRM #500090750314847	04/23/07	03/16/09 Sold	68 808 772	$ 11 43	$ 6 27	$ 786,484 25	$ 431,431 00	($ 355,053 25) LT
Total Long Term this period								($ 355,053 25)
Total realized gain or (loss) - this period						$ 786,484.25	$ 431,431.00	($ 355,053.25)
Total Long Term year to-date								($ 355,053 25)
Total Short Term - year-to-date								$ 0 00
Total realized gain or (loss) year to-date						$ 786,484.25	$ 431,431.00	($ 355,053 25)

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account If you would like copies, please ask your Financial Advisor or Portfolio Manager

Please notify your Financial Advisor if there have been any changes in your financial situation, investment objectives requested restrictions or other instructions which might affect the services to be provided to you or the manner in which your assets should be invested

We have available at no cost an investment advisory services disclosure document which describes our investment advisory services and those of any investment advisors managing your account If you would like a copy, please contact your Financial Advisor

PART IV
CAPITAL GAINS & LOSSES

SCHEDULE 1 1

RICE FOUNDATION
FORM 990-PF 2009
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RICE FOUNDATION
FORM 990-PF 2009
36-6043160

2009

PART VIII--OFFICERS, DIRECTORS & EMPLOYEES
LINE 1-- OFFICERS, DIRECTORS COMPENSATION, ETC

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATON	EXPENSE ACCOUNT & OTHER ALLOWANCES
PETER G. NOLAN 715 ECHO LANE, GLENVIEW, IL	PRESIDENT AVERAGE 60 HRS/WEEK	193,008	268,274	0
ROBIN G. NOLAN 1 LILAC LANE BOX 25, GOLF, IL.	VICE-PRESIDENT AVERAGE 40 HRS/WEEK	67,512	146,463	0
TOTALS		260,520	414,736	0

(OFFICERS ARE ALSO DIRECTORS)

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

2009

PART VIII-OFFICERS, DIRECTORS AND EMPLOYEES

LINE 2--EMPLOYEES

NAME AND ADDRESS	TITLE & AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTION TO EMPLOYEE BENEFIT PLANS & DEFERRED COMPENSATON	EXPENSE ACCOUNT & OTHER ALLOWANCES
CECELIA A PERSSON 1709 S. GRACE PARK RIDGE IL	SECRETARY AVERAGE 40 HRS/WEEK	45,720	49,403	0
DAVID S. RICH 9542 N. KOSTNER SKOKIE, IL	GRANTS CONSULTANT AVERAGE 40 HRS/WEEK	69,000	77,972	0
TOTALS		114,720	127,374	0

RICE FOUNDATION
FORM 990-PF – 2009

36-6043160

PART XV—LINES 2a, 2b, 2c & 2d

FORM AND INFORMATION REQUIRED FOR GRANT REQUESTS

Applications for grants should be addressed to Mr Peter Nolan, President, Rice Foundation (The Foundation), 8600 Gross Point Road, Skokie, Illinois 60077-2151. The telephone number of the Foundation is (847) 581-9999.

Applications submitted should include a statement describing the applicant and its activities, the particular purpose of the grant requested, the amount of the grant being requested and a copy of the letter issued to the applicant by the Internal Revenue Service determining that the applicant is exempt from income tax pursuant to the provisions of Section 501 (c) (3) of the Internal Revenue Code (The "Code").

The Foundation does not award any grants to individuals, but limits its grants to organizations described in Section 501 (c) (3) of the Code, and in general to organizations which are classified as non-private foundations.

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART XV--GRANTS APPROVED FOR FUTURE PAYMENT

Organization	Amount
Benedictine University 5700 College Road Lisle, Illinois 60532-0900	$500,000
Chicago Botanic Garden 1000 Lake Cook Road Glencoe, Illinois 60022	$3,068,000
Max McGraw Wildlife Foundation P.O. Box 9 Dundee, Illinois 60118	$400,000
Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611-2654	$500,000
TOTAL SCHEDULED PAYMENTS	**$4,468,000**

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART XV--GRANTS PAID DURING THE YEAR
LINE 3A

4/26/2010

Grantee Organization	Amount
ACCESS (Community Health Network) **1501 South California Avenue** **Chicago, Illinois 60608**	**$5,000**
Achievement Rewards for College Scientists Foundation (ARCS) **P.O. Box 3424** **Oak Brook, Illinois 60522**	**$10,000**
Achievement Rewards for College Scientists Foundation (ARCS) **P.O. Box 3424** **Oak Brook, Illinois 60522**	**$2,500**
Advocate Illinois Masonic Medical Center **836 West Wellington** **Chicago, Illinois 60657-5193**	**$10,000**
Alliance for the Great Lakes **17 North State Street, Suite 1390** **Chicago, Illinois 60602**	**$5,000**
ARK **6450 North California Avenue** **Chicago, Illinois 60645**	**$3,000**
Big Apple Circus **505 Eighth Avenue, 19th Floor** **New York, New York 10018-6505**	**$5,000**

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART XV--GRANTS PAID DURING THE YEAR
LINE 3A

Grantee Organization	Amount
Big Shoulders Fund 309 West Washington, Suite 550 Chicago, Illinois 60606	$20,000
Boys and Girls Clubs of Chicago 550 West.Van Buren Street, Suite 350 Chicago, Illinois 60607	$2,500
By the Hand Club for Kids 1000 North Sedgwick Chicago, Illinois 60610	$5,000
Career Transitions Center of Chicago 703 West Monroe Street Chicago, Illinois 60661	$10,000
Catholic Charities 721 North LaSalle Street Chicago, Illinois 60654	$10,000
Center of Concern 1580 North Northwest Highway, Suite 310 Park Ridge, Illinois 60068-1469	$5,000
Chicago Anti-Hunger Federation 4345 West Division Street Chicago, Illinois 60651-1714	$10,000
Chicago Botanic Garden 1000 Lake Cook Road Glencoe, Illinois 60022	$1,000,000

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART XV--GRANTS PAID DURING THE YEAR
LINE 3A

Grantee Organization	Amount
Chicago Chamber Musicians 70 West Madison Street Suite 4000 Chicago, Illinois 60602	$40,000
Chicago Children's Advocacy Center 1240 South Damen Avenue Chicago, Illinois 60608	$5,000
Chicago International Children's Film Festival 1517 West Fullerton Avenue Chicago, Illinois 60614	$5,000
Chicago Lighthouse, The 1850 West Roosevelt Road Chicago, Illinois 60608-1288	$5,000
Chicago Shakespeare Theater 800 East Grand Avenue on Navy Pier Chicago, Illinois 60611	$5,000
Children's Home & Aid Society 125 South Wacker, 14th Floor Chicago, Illinois 60606-4475	$10,000
Children's Oncology Services, Inc. 213 West Institute Place, Suite 511 Chicago, Illinois 60610	$5,000

RICE FOUNDATION
FORM 990-PF 2009
36-6043160

PART XV--GRANTS PAID DURING THE YEAR
LINE 3A

Grantee Organization	Amount
Community Health 2611 West Chicago Avenue Chicago, Illinois 60622	$5,000
Connections for the Homeless 2010 Dewey Avenue Evanston, Illinois 60201	$10,000
Cornerstone Academy 1111 North Wells Street, 4th Floor Chicago, Illinois 60610	$4,000
Crisis Center for South Suburbia P.O. Box 39 Tinley Park, Illinois 60477	$5,000
De La Salle Institute 3455 South Wabash Avenue Chicago, Illinois 60616-3885	$5,000
Dominican University 7900 West Division Street River Forest, Illinois 60305	$10,000
Emergency Fund 651 West Washington, Suite 504 Chicago, Illinois 60661	$5,000
Environmental Law & Policy Center 35 East Wacker Drive, Suite 1300 Chicago, Illinois 60601-2110	$5,000

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PART XV--GRANTS PAID DURING THE YEAR
LINE 3A

Grantee Organization	Amount
Epilepsy Services for Northeastern Illinois 1698 First Street Highland Park, Illinois 60035	$3,000
Equestrian Connection, The 872 South Milwaukee Avenue Box 273 Libertyville, Illinois 60048	$12,000
Erie Family Health Center 1701 West Superior Street, 3rd Floor Chicago, Illinois 60622	$10,000
Esperanza Community Services 520 North Marshfield Avenue Chicago, Illinois 60622	$10,000
Executive Service Corps 25 East Washington Street, Suite 1500 Chicago, Illinois 60602-1804	$2,500
Franciscan Outreach Association 1645 West LeMoyne Street Chicago, Illinois 60622-2240	$5,000
Gilda's Club Chicago 537 North Wells Street Chicago, Illinois 60654	$5,000
Glen View Club Scholarship Foundation Golf, Illinois 60029	$20,000

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Grantee Organization	Amount
Glen View Club Scholarship Foundation Golf, Illinois 60029	$5,000
Glenkirk Foundation 3660 Commercial Avenue Northbrook, Illinois 60062-1823	$6,000
Harbour, Inc. 1440 Renaissance Drive, Suite 240 Park Ridge, Illinois 60068	$7,500
Hephzibah Children's Association 946 North Boulevard Oak Park, Illinois 60301	$5,000
Housing Opportunities and Maintenance for the Elderly (HOME) 5414B West Roosevelt Road Chicago, Illinois 60644-1483	$5,000
Hull House Association 1030 West Van Buren Chicago, Illinois 60607	$5,000
Illinois Conservation Foundation One Natural Resources Way Springfield, Illinois 62702-1271	$25,000
Illinois Institute of Technology Daniel F. and Ada L. Rice Campus 201 East Loop Road Wheaton, Illinois 60187	$2,500

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Grantee Organization	Amount
Infant Welfare Society of Chicago 3600 West Fullerton Avenue Chicago, Illinois 60647	$5,000
Inner City Educational & Recreation Foundation 1076 West Roosevelt Road Chicago, Illinois 60608	$15,000
Interfaith House 3456 West Franklin Boulevard Chicago, Illinois 60624	$5,000
Jewish Council for Youth Services 100 North LaSalle Street, Suite 400 Chicago, Illinois 60602	$2,000
Joffrey Ballet of Chicago Joffrey Tower 10 East Randolph Street Chicago, Illinois 60601	$5,000
Juvenile Protective Association 1707 North Halsted Chicago, Illinois 60614	$5,000
Kohl Children's Museum 2100 Patriot Boulevard Glenview, Illinois 60026	$25,000
Ladder Up Financial Liberation 233 South Wacker Drive, Suite 9100 Chicago, Illinois 60606-6332	$5,000

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Grantee Organization	Amount
Lake Forest Hospital 660 North Westmoreland Road Lake Forest, Illinois 60045	$5,000
Lambs Farm 14245 West Rockland Road Libertyville, Illinois 60048	$4,500
Little Heroes Pediatric Cancer Research Foundation 200 West Jackson Boulevard, Suite 1050 Chicago, Illinois 60606	$2,500
Little Sisters of the Poor - St. Joseph's Home for the Elderly 80 West Northwest Highway Palatine, Illinois 60067-3580	$5,000
Lutheran Life Communities Foundation 800 West Oakton Street Arlington Heights, Illinois 60004	$5,000
Lutheran Social Services of Illinois 1001 East Touhy Avenue, Suite 50 Des Plaines, Illinois 60018	$20,000
Lydia Chicago 4300 West Irving Park Road Chicago, Illinois 60641-2825	$100
Lydia Chicago 4300 West Irving Park Road Chicago, Illinois 60641-2825	$10,000

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Grantee Organization	Amount
Maine Niles Association of Special Recreation 6820 West Dempster Street Morton Grove, Illinois 60053	$10,000
Marine Military Academy 320 Iwo Jima Boulevard Harlingen, Texas 78550	$25,000
Max McGraw Wildlife Foundation P.O. Box 9 Dundee, Illinois 60118	$600,000
Mercy Home for Boys & Girls 1140 West Jackson Boulevard Chicago, Illinois 60607	$28,151
Midtown Educational Foundation 718 South Loomis Street Chicago, Illinois 60607	$20,000
Midwest Palliative & Hospice CareCenter 2050 Claire Court Glenview, Illinois 60025	$15,000
Nature Conservancy of Illinois 8 South Michigan Avenue, Suite 900 Chicago, Illinois 60603	$10,000
New Foundation Center (Formerly WilPower, Inc.) 444 West Frontage Road Northfield, Illinois 60093-3009	$15,000

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Grantee Organization	Amount
Night Ministry 4711 North Ravenswood Avenue Chicago, Illinois 60640-4407	$5,000
North Shore Senior Center 161 Northfield Road Northfield, Illinois 60093	$5,000
Northeastern Illinois Public Safety Training Academy 2300 Patriot Boulevard Glenview, Ilinois 60026	$25,000
NorthShore University HealthSystem 1033 University Place, Suite 450 Evanston, Illinois 60201	$100,000
Notre Dame High School 7655 West Dempster Street Niles, Illinois 60714	$25,000
Oley Foundation 214 Hun Memorial, A-28 Albany Medical Center Albany, New York 12208-3478	$2,500
Olive Branch Mission 2636 West Marquette Road Chicago, Illinois 60629	$5,000
Pathways Center 2591 Compass Road Glenview, Illinois 60026	$5,000

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Grantee Organization	Amount
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$50,000
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
PAWS Chicago 1110 West 35th Street Chicago, Illinois 60609	$100
Peer Services, Inc. 3633 West Lake, #305 Glenview, Illinois 60035	$1,500
Project Education Plus 857 North Cleveland Chicago, Illinois 60610	$5,000

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Grantee Organization	Amount
Providence-St. Mel 119 South Central Park Boulevard Chicago, Illinois 60624	$2,500
Putnam Christian Church & Parsonage 301 Center Street Putnam, Illinois 61560	$100
Putnam Christian Church & Parsonage 301 Center Street Putnam, Illinois 61560	$100
Rainbows 2100 Golf Road, Suite 370 Rolling Meadows, Illinois 60008-4231	$10,000
Ravinia 418 Sheridan Road Highland Park, Illinois 60035	$20,000
Recording for the Blind and Dyslexic 180 North Michigan Avenue, Suite 620 Chicago, Illinois 60601	$3,000
Rehabilitation Institute of Chicago 345 East Superior Street Chicago, Illinois 60611-2654	$250,000
Resurrection Health Care 150 North River Road, Suite 210 Des Plaines, Illinois 60016	$15,000

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Grantee Organization	Amount
Rush University Medical Center 1653 West Congress Parkway Chicago, Illinois 60612-3833	$100,000
Salvation Army, Metropolitan Division 5040 North Pulaski Road Chicago, Illinois 60630-2788	$10,000
San Miguel School 1949 West 48th Street Chicago, Illinois 60609	$5,000
Skokie Valley Orchestral Association 9501 Skokie Boulevard Skokie, Illinois 60077	$10,000
Southwest Chicago P.A.D.S. 3121 West 71st Street Chicago, Illinois 60629	$10,000
Special Methods In Learning Equine Skills, Inc. (SMILES) N2666 County Road K Darien, Wisconsin 53114	$15,000
Special Methods In Learning Equine Skills, Inc. (SMILES) N2666 County Road K Darien, Wisconsin 53114	$5,000
St. Bernard Hospital and Health Center 326 West 64th Street Chicago, Illinois 60621	$10,000

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Grantee Organization	Amount
St. Scholastica Academy 7416 North Ridge Boulevard Chicago, Illinois 60645	$2,500
Starlight Starbright Children's Foundation 30 East Adams, Suite 1020 Chicago, Illinois 60603	$5,000
SuperSibs! 5005 Newport Drive, Suite 502 Rolling Meadows, Illinois 60008	$5,000
Susan G. Komen for the Cure Chicago Chapter 8765 West Higgins Road, Suite 401 Chicago, Illinois 60631	$5,000
Teen Living Programs 162 West Hubbard Street, Suite 400 Chicago, Illinois 60610	$5,000
Thresholds 4101 North Ravenswood Chicago, Illinois 60613	$15,000
Touched by an Animal 2131 West Berwyn Chicago, Illinois 60625	$10,000
Touched by an Animal 2131 West Berwyn Chicago, Illinois 60625	$100

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Grantee Organization	Amount
Turning Point Behavioral Health Care Center 8324 Skokie Boulevard Skokie, IL 60077-2545	$10,000
UIC Eye Center (MC 648) Department of Ophthalmology and Visual Sciences Eye and Ear Infirmary 1855 West Taylor Street Chicago, Illinois 60612-7243	$10,000
Union League Boys and Girls Clubs 65 West Jackson Boulevard Chicago, Illinois 60604	$5,000
Village of Skokie 5120 Galitz Street Skokie, Illinois 60077	$1,000
West Suburban Foundation for Disabled Veterans 20 Danada Square West, Suite 281 Wheaton, Illinois 60189	$2,500
Willow House 302 Saunders Road, Suite 200 Riverwoods, Illinois 60015	$15,000
Young Women's Leadership Charter School of Chicago 2641 South Calumet Avenue Chicago, Illinois 60616-2901	$5,000

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Grantee Organization	Amount
Youth Job Center of Evanston, Inc. **1114 Church Street** **Evanston, Illinois 60201**	**$5,000**
Grand Totals (119 items)	$2,994,151