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### Form **990-PF**

### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury Internal Revenue Service

For calendar year 2009, or tax year beginning

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

, 2009, and ending

G Che	eck all that apply.   Initial return	Initial Return of a former p	· —		al return
	Amended return	Address	change Name		
Use t	he		A	Employer identification nur	nber
IRS lat		ation		36-41393 <u>5</u> 1	
Otherw prin	,   33 Deachbrae in enac		В	Telephone number (see the	instructions)
or typ	e.   westport, tr 00000			213 245-0077	
See Spe			С	If exemption application is	pending, check here
Instruct	ions.		D	1 Foreign organizations, chec	k here 🕨 🦳
H Ç	heck type of organization   X   Section	501(c)(3) exempt private	foundation	2 Foreign organizations meet	
	Section 4947(a)(1) nonexempt charitat		private foundation	here and attach computation	
	ar market value of all assets at end of year J	Accounting method X C	Cash Accrual E	If private foundation status under section 507(b)(1)(A)	
(f	rom Part II, column (c), line 16)	Other (specify)	F	If the foundation is in a 60	
<u></u> \$		rt I, column (d) must be o	n cash basis )	under section 507(b)(1)(B)	
Part I	Analysis of Revenue and	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements
	Expenses (The total of amounts in	expenses per books	income	income	for charitable
	columns (b), (c), and (d) may not nec sarily equal the amounts in column (a	es- )			purposes (cash basis only)
	(see the instructions) )				(casii basis only)
	1 Contributions, gifts, grants, etc, received (att s	ch) 30,500.			
	2 Ck I if the foundn is not req to att Sch	В			
	3 Interest on savings and temporary	774.	774.	N/A	
	cash investments  4 Dividends and interest from securities	13,010.	13,010.	IV/A	
	5a Gross rents	13,010.	13,010.	<del> </del>	
	b Net rental income	,		<del> </del>	
R	6a Net gain/(loss) from sale of assets not on line	-36,546.			
R E V	b Gross sales price for all 317 1Δ			· · · · · · · · · · · · · · · · · · ·	<u> </u>
¥	7 Capital gain net income (from Part IV, line 2)		0.		
E N	8 Net short-term capital gain				
U	9 Income modifications				
E	10a Gross sales less returns and				
	allowances h Less Cost of	- CO NOS			
	goods sold .	EN 181			
	c Gross profit/(loss) (att sch)	The lo	\		
	c Gross profit/(loss) (att sch)  11 Other income (attach schedule)	5010 10	<b>1</b> \		
		779 3	10.701	<del> </del>	· · · · · · · · · · · · · · · · · ·
	12 Total. Add lines 1 through 1	1 2 9 2010 B	13,784.	<del> </del>	
	13 Compensation of officers, directors, trustees:	(		<del></del>	<del></del> -
	14 Other employee salaries and wages			<del> </del>	
	15 Pension plans, employee benefits 16a Legal fees (attach schedule)				
A	b Accounting fees (attach sch) See St	1,475.		<del>                                     </del>	
M	c Other prof fees (attach sch)	1,475.	<del></del>	<del>  · · · · · · · · · · · · · · · · · · ·</del>	
_= 	17 Interest	<del></del>	<del> </del>		
₹ ş	18 Taxes (attach schedule)(see instr.) See Stm	2 635.	<del> </del>	<del></del>	
	19 Depreciation (attach	2 033.			
<b>7</b>	sch) and depletion				
ا⊻وفسي	20 Occupancy				
<u> </u>	21 Travel, conferences, and meetings				<del></del>
N X	22 Printing and publications		<del></del>	<del></del>	<del></del>
(_D P	23 Other expenses (attach schedule) See Statement	3 6,613.	6,578.		
אַ S			0,310.	<del>   </del>	<del>-</del>
E	24 Total operating and administrative expenses. Add lines 13 through 23	8,723.	6,578.	] .	
	25 Contributions, gifts, grants paid Part X	V 55,000.			55,000.
- She mxomono					
<u> </u>	Add lines 24 and 25	63,723.	6,578.		55,000.
	27 Subtract line 26 from line 12:		l.	1	
	a Excess of revenue over expenses and disbursements	-55,985.	ļ	]	
	<b>b</b> Net investment income (if negative, enter -0-)	20,300.	7,206.	<u> </u>	
	C Adjusted net income (if negative, enter -0-)				

Part	41	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	
Part	11 }	(See instructions )	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	-151.	25,670.	25,670.
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less allowance for doubtful accounts ▶			
Ą	4	Pledges receivable			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	ľ	disqualified persons (attach schedule) (see the instructions)			
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts ▶			
Š	8	Inventories for sale or use			
A S E T	9	Prepaid expenses and deferred charges			
Š	10:	Investments – U.S. and state government			
_	'*`	obligations (attach schedule)			
	t	Investments — corporate stock (attach schedule)			
	(	: Investments — corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment: basis			
		Less: accumulated depreciation			
	10	(attach schedule)  Investments – mortgage loans.		<del></del>	
			580,461.	656,960.	656,960.
	13	Investments — other (attach schedule) Statement 4	360,401.	030, 300.	030, 300.
	14	Land, buildings, and equipment: basis			
		Less: accumulated depreciation (attach schedule)			
		Other assets (describe Total assets (to be completed by all filers — see instructions. Also, see page 1, item I)	580,310.	682,630.	682,630.
$\overline{L}$	17				,
Ī	18	Grants payable		· · · · · · · · · · · · · · · · · · ·	
A B	19	Deferred revenue .		<del>.</del>	
Ţ	20	Loans from officers, directors, trustees, & other disqualified persons .			
ŀ	21	Mortgages and other notes payable (attach schedule)		. <u> </u>	
Ť	22	Other liabilities (describe			
F	22	Other habilities (describe			
E 	23	Total liabilities (add lines 17 through 22)	0.	0.	
-		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
N F	24	Unrestricted .	1		
N F E U T N	25	Temporarily restricted .			
D	26				
A S B		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
A B S A E A	27	Capital stock, trust principal, or current funds			
\$ N	28	Paid-in or capital surplus, or land, building, and equipment fund .			
С	29	Retained earnings, accumulated income, endowment, or other funds	580,310.	682,630.	
O E R S	30	Total net assets or fund balances (see the instructions)	580,310.	682,630.	
	31	Total liabilities and net assets/fund balances		-	
		(see the instructions)	580,310.	682,630.	
Part	111	Analysis of Changes in Net Assets or Fund Balance	es		
1	Tota	net assets or fund balances at beginning of year - Part II, colu	mn (a), line 30 (must aç	ree with	E00 210
	end-	of-year figure reported on prior year's return).			580,310.
2		r amount from Part I, line 27a		2	-55,985.
3		increases not included in line 2 (itemize)  See Statement	5	3	158,305.
4		lines 1, 2, and 3	•	4	682,630.
5		ases not included in line 2 (itemize)			600 636
6_	Tota	net assets or fund balances at end of year (line 4 minus line 5)	- Part II, column (b), II	ne 30 <b>6</b>	682,630.
RΔΔ		TEFA03021 0	7/06/00		Form <b>990-PF</b> (2009)

B		(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)  (b) How ac P — Purc D — Don.				se	(C) Date acquired (month, day, year)	(d) Date sold (month, day, year)
c CLASS ACTION INVESTMENT SETTLEMENTS P Various Various d Capital gain dividends  e (e) Gross sales price  (f) Depreciation allowed (or allowable)  e (e) Gross sales price  (f) Depreciation allowed (or allowable)  a 249,805.  a 249,805.  b 66,136.  c 765.  d - 765.  d - 765.  d - 766.  Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (g) Fair Market Value (g) Adjusted basis (g) Excess of column (h) and owned by the foundation on 12/31/69  (g) Fair Market Value (g) Adjusted basis (g) Excess of column (h) and minus column (h) that base that gain minus column (h) and owned by the foundation on 12/31/69  a - 15,954.  b - 12/31/69  a - 15,954.  c - 766.  d - 15,954.  c - 766.  d - 16,954.  d - 16,	11	JP MORGAN - DETAIL	ATTACHED - STMT 8		P		Various	Various
Capital gain dividends   (g) Gost or other basis (h) Gain or (ciss)		JP MORGAN - DETAIL	ATTACHED - STMT 9		P		Various	Various
(c) Gross sales price (d) Depreciation sllowed (g) Cost or other basis (h) Gain or (loss) (e) plus (f) mrus (g) (b) to expense of sale (h) Gain or (loss) (e) plus (f) mrus (g) (h) Gain or (loss) (h) Gain		CLASS ACTION INVEST	MENT SETTLEMENTS		P		Various	Various
(e) Gross sales price (f) Depreziation allowed (g) Cost or other basis (h) Gain or (loss) (plus (f) mrus (g)) (plus (g) mrus (		<u>Capital gain divide</u>	nds					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69   (h) Cains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69   (h) Cains (Column (h) gain minus column (h) and owned by the foundation on 12/31/69   (h) Cains (Column (h) gain minus column (h), gain minus col			Г—					<u> </u>
b 66, 136.		(e) Gross sales price		(g) Cost or other bas plus expense of sale	is 9			
c 766. d d d Complete only for assets showing gain in column (it) and owned by the foundation on 12/31/69 (i) Fair Market Value as of 12/31/69 (ii) Fair Market Value as of 12/31/69 (iii) Fair Market Value As of 12								-15,954.
e Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (i) Fair Market Value (ii) Adjusted basis (iii) Fair Market Value (iii) Fair Market Value (iii) Adjusted basis (iii) Fair Market Value (iii) Fair Market					<u>,930.</u>		<del></del>	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69  (i) Fair Market Value as of 12/31/69  a								<u> </u>
Complete only for assets showing gain in column (ii) and owned by the foundation on 12/31/69  (i) Farm Wireld Value (ii) Farm Wireld Value (iii) Gain Agusted basis (iii) Gain (Column (iii)) (iii) Gain (Column (iii)) (iii) Gain (Column (iii)) (iii) Gain (Gain (iii)) (iii) Gain (Gain (iii)) (iii) Gain (Gain (iii)) (iii) Gain (iii) Gain (iii) Gain (iii) Gain (iii) Gain (iii) Gain (iii) (iii) Gain		<del>-</del>			+			
(i) Fair Market Value as of 12/31/69 (b) Adjusted basis as of 12/31/69 (b) Excess of column (i) as ministrocolumn (ii) but not less than -0.) or Losses (from column (ii)) as of 12/31/69 (c) -15, 954.  b			upo gain in column (h) and owned by	the foundation on 12/31/60	- <del>-  -</del>		40.00.00.40.1	(1)
b		(i) Fair Market Value	(j) Adjusted basis	(k) Excess of column	(i)	ga tha	ıın mınus column (k	), but not less
c		1_						-15,954.
d 436.  e 546.  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):     If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	t	<u> </u>						-21,794.
2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7								
2 Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7 2 3-36,546.  3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0: — In Part I, line 8  Part V   Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment Income  If section 4940(d)(2) applies, leave this part blank  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? — Yes No  If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.  1 Enter the appropriate amount in each column for each year, see the instructions before making any entries  (b)  Calendary year (or tax year before year)  Adjusted qualifying distributions  Per Value of noncharitable-use assets  (column (b) divided by column (c))  2008		1		<del> </del>				<u>436.</u>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8  Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income  (For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)  If section 4940(d)(2) applies, leave this part blank  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  If Yes, 'the foundation does not qualify under section 4940(e). Do not complete this part.  1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.  Adjusted qualifying distributions  Calendar year (or tax year beginning in)  2008  162, 909.  758, 994.  0.214638  2007  143, 000.  868, 622.  0.154629  2006  115, 000.  869, 622.  0.133831  2005  48, 000.  798, 688.  0.060099  2004  76, 980.  709, 898.  0.108438  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 80, 726.  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the		)	L			_	<del></del>	
If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0.    Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income		, ,	<b></b>	_		2		-36,546.
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  If section 4940(d)(2) applies, leave this part blank  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.  Tenter the appropriate amount in each column for each year, see the instructions before making any entries.  (a)  Base period year  Calendar year (or lax year beginning in)  Adjusted qualifying distributions  Calendar year (or lax year beginning in)  2008  162, 909.  758, 994.  0.214638  2007  143,000.  868, 622.  0.164629  2006  115,000.  859,292.  0.133831  2005  48,000.  798,688.  0.060099  2004  76,980.  709,898.  0.108438  2 Total of line 1, column (d)  2 0.681635  3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  5 80,726.  6 Enter 1% of net investment income (1% of Part I, line 27b)  6 72.  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the		If gain, also enter in Part I, line	,			3		-36,982.
(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )  If section 4940(d)(2) applies, leave this part blank  Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.  Tenter the appropriate amount in each column for each year, see the instructions before making any entries.  (a)  Base period year  Calendar year (or lax year beginning in)  Adjusted qualifying distributions  Calendar year (or lax year beginning in)  2008  162, 909.  758, 994.  0.214638  2007  143,000.  868, 622.  0.164629  2006  115,000.  859,292.  0.133831  2005  48,000.  798,688.  0.060099  2004  76,980.  709,898.  0.108438  2 Total of line 1, column (d)  2 0.681635  3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  Multiply line 4 by line 3  5 80,726.  6 Enter 1% of net investment income (1% of Part I, line 27b)  6 72.  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	Par	+ V Ouglification Under	Section 1910(a) for Poduce	d Tay on Not Investme	nt Incom	ie		
Base period years   Adjusted qualifying distributions   Net value of noncharitable-use assets   Column (b) divided by column (c)		optional use by domestic private	foundations subject to the section 4					
2007	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectors, the foundation does not qualified.	e foundations subject to the section 4 nis part blank tion 4942 tax on the distributable am ify under section 4940(e) Do not co	1940(a) tax on net investmen nount of any year in the base mplete this part.	nt income )		Yes	X No
2007	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectors, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year)	foundations subject to the section 4 nis part blank tion 4942 tax on the distributable am ify under section 4940(e) Do not con n each column for each year, see th	nount of any year in the base mplete this part.  e instructions before making (c)  Net value of	e period?	s	(d) Distribution	ratio
2005 48,000. 798,688. 0.060099 2004 76,980. 709,898. 0.108438  2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectors, the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)	foundations subject to the section 4 chis part blank tion 4942 tax on the distributable among the first section 4940(e). Do not contend to be according to the a	nount of any year in the base mplete this part.  e instructions before making  (c)  Net value of noncharitable-use asse	e period?  any entrie	s	(d) Distribution	ratio by column (c))
2 Total of line 1, column (d) 2 0.681635 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 5 Multiply line 4 by line 3 5 80,726. 6 Enter 1% of net investment income (1% of Part I, line 27b) 6 72. 7 Add lines 5 and 6 8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)	foundations subject to the section 4 formula part blank from 4942 tax on the distributable armify under section 4940(e). Do not conteach column for each year, see the Adjusted qualifying distributions.	nount of any year in the base mplete this part.  e instructions before making (c)  Net value of noncharitable-use asse	e period?  any entrie	s	(d) Distribution	ratio
2 Total of line 1, column (d)  3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007	foundations subject to the section 4 formula part blank tion 4942 tax on the distributable armify under section 4940(e). Do not conteach column for each year, see the (b) Adjusted qualifying distributions $162,909.$ $143,000.$	nount of any year in the base instructions before making (c) Net value of noncharitable-use associated as 868	e period?  any entrie ets ( 6,994. 622.	s	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831
Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  7 80,798.  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation fiable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007  2006	tion 4942 tax on the distributable among the foundations subject to the section 4942 tax on the distributable among the following the following the following that the following the following that the following that the following the following that the following that the following th	nount of any year in the base instructions before making (c) Net value of noncharitable-use assesses	e period?  any entrie ets ( 6,994. 622. 7,622.	s	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099
number of years the foundation has been in existence if less than 5 years  4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5  5 Multiply line 4 by line 3  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectors, the foundation does not qualificate the appropriate amount in Base period years Calendar year (or tax year beginning in)  2008 2007 2006 2005	tion 4942 tax on the distributable among the foundations subject to the section 4942 tax on the distributable among the following the following the following that the following the following that the following that the following the following that the following that the following th	nount of any year in the base instructions before making (c) Net value of noncharitable-use assesses	e period?  any entrie ets ( 6,994. 622. 7,622.	s	(d) Distribution	ratio by column (c))
5 80,726.  6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004	tion 4942 tax on the distributable among the foundations subject to the section 4942 tax on the distributable among the following the following the following that the following the following that the following that the following the following that the following that the following th	nount of any year in the base instructions before making (c) Net value of noncharitable-use assesses	e period?  any entrie ets ( 6,994. 622. 7,622.	s (colu	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099
6 Enter 1% of net investment income (1% of Part I, line 27b)  7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see Was If 'Ye' 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004  Total of line 1, column (d)  Average distribution ratio for the	tion 4942 tax on the distributable arrify under section 4940(e) Do not conteach column for each year, see the (b) Adjusted qualifying distributions  162,909. 143,000. 115,000. 48,000. 76,980.	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 7,622.	s (colu	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438
7 Add lines 5 and 6  8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If see Was If 'Ye 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation fiable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008 2007 2006 2005 2004  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable among the section 4940(e). Do not conteach column for each year, see the section 4940(e). Adjusted qualifying distributions.  162, 909. 143, 000. 115, 000. 48, 000. 76, 980.	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 6,622. 6,688. 6,898.	2 3	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438 0.681635
8 Enter qualifying distributions from Part XII, line 4  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	If ser Wass If 'Ye 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008 2007 2006 2005 2004  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation	tion 4942 tax on the distributable among the section 4940(e). Do not conteach column for each year, see the section 4940(e). Adjusted qualifying distributions.  162, 909. 143, 000. 115, 000. 48, 000. 76, 980.	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 6,622. 6,688. 6,898.	2 3	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438 0.681635 0.136327
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	Was If Ye 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation  Enter the net value of noncharith Multiply line 4 by line 3	e foundations subject to the section 4 his part blank tion 4942 tax on the distributable arr ify under section 4940(e) Do not con heach column for each year, see th (b) Adjusted qualifying distributions  162,909. 143,000. 115,000. 48,000. 76,980.  e 5-year base period — divide the to has been in existence if less than 5	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 6,622. 6,688. 6,898.	2 3 4	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438 0.681635 0.136327 592,152.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the	Was If Ye 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation liable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008  2007  2006  2005  2004  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation  Enter the net value of noncharith Multiply line 4 by line 3  Enter 1% of net investment income	e foundations subject to the section 4 his part blank tion 4942 tax on the distributable arr ify under section 4940(e) Do not con heach column for each year, see th (b) Adjusted qualifying distributions  162,909. 143,000. 115,000. 48,000. 76,980.  e 5-year base period — divide the to has been in existence if less than 5	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 6,622. 6,688. 6,898.	2 3 4 5	(d) Distribution	ratio by column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438 0.681635 0.136327 592,152. 80,726.
	If see Wass If 'Ye 1	optional use by domestic private ction 4940(d)(2) applies, leave the foundation fiable for the sectes, the foundation does not qualificate the appropriate amount in (a)  Base period years Calendar year (or tax year beginning in)  2008 2007 2006 2005 2004  Total of line 1, column (d)  Average distribution ratio for the number of years the foundation  Enter the net value of noncharith Multiply line 4 by line 3  Enter 1% of net investment income Add lines 5 and 6	e foundations subject to the section 4 his part blank tion 4942 tax on the distributable among under section 4940(e). Do not come each column for each year, see the (b) Adjusted qualifying distributions.  162, 909. 143, 000. 115, 000. 48, 000. 76, 980.	nount of any year in the base implete this part. e instructions before making (c) Net value of noncharitable-use associated associat	e period?  any entrie ets ( 6,994. 622. 6,622. 6,688. 6,898.	2 3 4 5	(d) Distribution	ratio oy column (c)) 0.214638 0.164629 0.133831 0.060099 0.108438 0.681635 0.136327 592,152. 80,726.

Forn	n <b>990-PF</b> (2009) The Gupta Family Foundation 36-4139351		Pag	je <b>4</b>
Par	t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see the instruc	tions)		
1 6	Exempt operating foundations described in section 4940(d)(2), check here . • and enter 'N/A' on line 1.			
	Date of ruling or determination letter (attach copy of letter if necessary - see instr.)			
1	Domestic foundations that meet the section 4940(e) requirements in Part V,		14	4.
	check here ► and enter 1% of Part I, line 27b			
•	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable			
_	foundations only. Others enter -0-).			0.
3	Add lines 1 and 2		14	4.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0		14	4.
6	Credits/Payments.			
ě	2009 estimated tax pmts and 2008 overpayment credited to 2009			-
ŀ	Exempt foreign organizations – tax withheld at source			- 1
(	Tax paid with application for extension of time to file (Form 8868) 6c 144.			- 1
(	Backup withholding erroneously withheld			
7	Total credits and payments Add lines 6a through 6d		14	4.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here  form 2220 is attached			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			0.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11	Enter the amount of line 10 to be. Credited to 2010 estimated tax			
Par	t VII-A   Statements Regarding Activities			
1:	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it			No_
• • •	participate or intervene in any political campaign?	1a		<u>X_</u>
1	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes			
	(see the instructions for definition)?	1Ь		<u>X_</u>
	If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published			Ì
	or distributed by the foundation in connection with the activities.			
	Did the foundation file Form 1120-POL for this year?	1c		<u>X_</u>
•	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year			ļ
	(1) On the foundation  \$\ \\$ 0. (2) On foundation managers  \$\ \\$ 0. Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on	1		
•	foundation managers $\blacktriangleright$ \$ 0.			İ
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	1	X
	If 'Yes,' attach a detailed description of the activities			
_				-
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3		X
4 8	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
	If 'Yes,' has it filed a tax return on Form 990-T for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If 'Yes,' attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			ļ
	By language in the governing instrument, or			
				1
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>	6	Х	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	Х	
88	Enter the states to which the foundation reports or with which it is registered (see the instructions)			
	CT			
ŀ	of the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General			
•	(or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8ь	Х	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(1)(3) or 4942(1)(5)			]
•	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9		<u>X</u>
10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names			
	and addresses.	10	_	Χ
BAA	. Fo	rm 990	-PF (20	09)

	990-PF (2009) The Gupta Family Foundation	36-413935	<u> </u>		age:
Par	t VII-A Statements Regarding Activities Continued				
11 `	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)		11		х
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		Х
13	Did the foundation comply with the public inspection requirements for its annual returns and exemptio	n application? .	13	X	
	Website address N/A				
14	The books are in care of Exemplar Wealth Management Telephone		0-0.	102	
	Located at > 18001 W. 106th St. Suite 150 Olathe KS ZIP + 4 >		NT /3		<b>-</b> -
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here	<b>⊳</b>   15	. N/A		
Day	and enter the amount of tax-exempt interest received or accrued during the year				N/A
Par	t VII-B   Statements Regarding Activities for Which Form 4720 May Be Required			V	NI.
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.			Yes	No
l a	During the year did the foundation (either directly or indirectly)	□v			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	Yes X No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	Yes X No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	Yes X No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	Yes X No		ı	
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	Yes X No			
ŀ	If any answer is 'Yes' to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instruction				•-
		ons)?	1 b	N	/A
	Organizations relying on a current notice regarding disaster assistance check here .	▶ [ ]		1	
(	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		Х
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
ć	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	Yes X No			
	If 'Yes,' list the years ► 20 , 20 , 20				
ı	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942	(a)(2)	]		
	(relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942)	(a)(2) to			
	all years listed, answer 'No' and attach statement — see the instructions.).		2b	N	/A
(	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years $\stackrel{\triangleright}{}$ 20, 20, 20, 20	nere			
3 8	Did the foundation hold more than a 2% direct or indirect interest in any business	Yes X No			
_			ł		
t	olf 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approve by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	d			
	determine if the foundation had excess business holdings in 2009)		3ь	N	/A
4 8	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		х
,	Did the foundation make any investment in a prior year (but after December 31, 1969) that could		- 1	J	
•	jeopardize its charitable purpose that had not been removed from jeopardy before the first day of				
BAA	the tax year beginning in 2009?	·	4b m 990	DE A	X
DAA		FOI	ווו ששנ	,, L. L.	2009

Part VII-B   Statements Regarding Activiti	es for Which Form	14/20 May Be Req	uirea (continue)	<u> </u>		
5a During the year did the foundation pay or incu	r any amount to.		_	_		
(1) Carry on propaganda, or otherwise attempt	t to influence legislatio	n (section 4945(e))?	Yes	X No	0	
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	lic election (see section	4955), or to carry	Yes	XN		
(3) Provide a grant to an individual for travel,		purposes?	Yes	X	1 1	
(4) Provide a grant to an organization other the in section 509(a)(1), (2), or (3), or section	nan a charitable, etc, oi 4940(d)(2)? (see instri	ganization described uctions)	Yes	X No	.	
(5) Provide for any purpose other than religio educational purposes, or for the preventio	us, charitable, scientific	:. literary, or	Yes	X No		
<b>b</b> If any answer is 'Yes' to 5a(1)-(5), did <b>any</b> of						
described in Regulations section 53 4945 or in (see instructions)?	a current notice regard	ding disaster assistance		<u> </u>	5 b	N/A
Organizations relying on a current notice rega	rding disaster assistand	ce check here .		Ш		
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon- If 'Yes,' attach the statement required by Region	sibility for the grant?	• • • •	N/A Yes	□ N	o	
6a Did the foundation, during the year, receive ar on a personal benefit contract?			s . <b>Yes</b>	XN		
<b>b</b> Did the foundation, during the year, pay premi	iums, directly or indirec	tly, on a personal bene	·	<u> </u>	6b	Х
If 'Yes' to 6b, file Form 8870.			_			
7a At any time during the tax year, was the found				XN		N/A
b If yes, did the foundation receive any proceed Part VIII   Information About Officers, D	s or have any net incor	ne attributable to the tra	ansaction? ners Highly Pa	id Fm	7b	
and Contractors						' <b>,</b>
1 List all officers, directors, trustees, foundation	n managers and their	compensation (see inst	ructions).			
(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions employee beneft plans and deferred compensation	fit '	e) Expense other allo	e account, owances
ANITA GUPTA	President	0.		0.		0.
59 BEACHSIDE AVENUE	3.00					
WESTPORT, CT 06880						
RAJAT GUPTA	Secretary/Tr	0.	(	0.		0.
59 BEACHSIDE AVENUE	3.00					
WESTPORT, CT 06880	Vice Drocide	0.		0.		0.
GEETANJALI GUPTA 59 BEACHSIDE AVENUE	Vice Preside 1.00	0.	,	,   		0.
WESTPORT, CT 06880	<del></del>			+		
·						
2 Compensation of five highest-paid employee	s (other than those inc	luded on line 1— see ir	structions). If non	e. ente	r 'NONE.'	
	(b) Title and average	(c) Compensation	(d) Contributions	to (	e) Expense	e account,
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position		employee benef plans and deferre compensation	ed	other allo	wances
None						
				$\bot$		
				+		
Total number of other employees paid over \$50,000	)		<del>'</del>	<b>•</b>		0
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The Gupta Family Foundation

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid and Contractors (continued)	l Employees,
3 Five highest-paid independent contractors for professional services — (see instructions). If none, enter 'NONE.'	
(a) Name and address of each person paid more than \$50,000 (b) Type of service	(c) Compensation
None	
Total number of others receiving over \$50,000 for professional services	▶ 0
Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	_
2	_
	_
3	_
4	-
Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 <u>N/A</u>	_
2	_
All other program-related investments See instructions.	
3	-
Total, Add lines 1 through 3	▶ 0.
BAA	Form <b>990-PF</b> (2009)

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Par	<b>TX</b> Minimum Investment Return (All domestic foundations must complete this part. Fo see instructions.)	reign	foundations,
٦	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:  Average monthly fair market value of securities	1a	593,512.
		1b	7,658.
	Average of monthly cash balances	1c	1,030.
	Fair market value of all other assets (see instructions)	1 d	601,170.
	I Total (add lines 1a, b, and c)		001,170.
•		- 1	
_	(allow or allowed and allowed	2	0.
2	Acquisition indebtedness applicable to line 1 assets	3	601,170.
3	Subtract line 2 from line 1d	-	001,170.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	9,018.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	592,152.
6	Minimum investment return. Enter 5% of line 5	6	29,608.
Par	t XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operation	atıng	foundations
	and certain foreign organizations check here ▶ and do not complete this pa	rt.)	
1	Minimum investment return from Part X, line 6	1	29,608.
2 8	Tax on investment income for 2009 from Part VI, line 5		
ŀ	Income tax for 2009 (This does not include the tax from Part VI.).		
•	: Add lines 2a and 2b	2 c	144.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,464.
4	Recoveries of amounts treated as qualifying distributions .	4	
5	Add lines 3 and 4	5	29,464.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	29,464.
Par	t XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes: Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	55,000.
	Program-related investments – total from Part IX-B .	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:  Suitability test (prior IRS approval required)	3a	
i	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	55,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	55,000.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whet qualifies for the section 4940(e) reduction of tax in those years	her th	e foundation

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### Part XIII Undistributed Income (see instructions)

•	(a) Corpus	(b) Years prior to 2008	<b>(c)</b> 2008	<b>(d)</b> 2009
1 Distributable amount for 2009 from Part XI, line 7				29,464.
2 Undistributed income, if any, as of the end of 2009		· :		
a Enter amount for 2008 only		· ·	0.	
<b>b</b> Total for prior years: 20, 20, 20		0.		ge <sup>2</sup>
3 Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004				•.
<b>b</b> From 2005 8, 905.		•		-4
c From 2006 114, 645.				
<b>d</b> From 2007 99, 359.				Mark and a
e From 2008125,141.	400 574		, ,	****
f Total of lines 3a through e	423,574.	<u> </u>	· · · · · · · · · · · · · · · · · · ·	
4 Qualifying distributions for 2009 from Part				•,
XII, line 4 > \$ 55,000.			0.	
a Applied to 2008, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions) .		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.	-		
d Applied to 2009 distributable amount		•		29,464.
e Remaining amount distributed out of corpus	25,536.	7		
5 Excess distributions carryover applied to 2009	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a) )		,		,
Same amount must be shown in column (a)				
6 Enter the net total of each column as				
indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 .	449,110.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistribut-				
ed income for which a notice of deficiency has been issued, or on which the section				
4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
				1
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2009. Subtract lines				
4d and 5 from line 1. This amount must be distributed in 2010			^	0.
7 Amounts treated as distributions out of		· · · · · · · · · · · · · · · · · · ·		,
corpus to satisfy requirements imposed		The second second		*
by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.	+ 4 m 1 25 d f d 1 m + 1 m -		
·		ا الله الله الله الله الله الله الله ال	,	*c _
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	75,524.	A CONTRACTOR OF THE PROPERTY O	,	
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	373,586.		-	(%) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )
10 Analysis of line 9	3737300.	-		
<b>a</b> Excess from 2005 8, 905.		-	Ì	* ,
<b>b</b> Excess from 2006 114, 645.		·		
c Excess from 2007 99, 359.		1500 1	•	- از د د
d Excess from 2008 125, 141.		3		
e Excess from 2009 25, 536.		·		,
2 - x 2000 110111 2002				Form 000 BE (2009)

Form 990-PF (2009) The Gupt					36-4139351	
Part XIV   Private Operating						<u> N/A</u>
1 a If the foundation has receive is effective for 2009, enter the	ne date of the ruling	]			▶1	
<b>b</b> Check box to indicate wheth			ating foundation de		4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjust income from Part I or the m	ited net	Tax year	#1.0000 T	Prior 3 years	(4) 0005	43.7.4.4
investment return from Part each year listed		(a) 2009	<b>(b)</b> 2008	(c) 2007	(d) 2006	(e) Total
<b>b</b> 85% of line 2a .						<del> </del>
c Qualifying distributions from line 4 for each year listed	·					
d Amounts included in line 2c not use for active conduct of exempt activitie	es . ´ L					
e Qualifying distributions mad for active conduct of exemp Subtract line 2d from line 2d	Lactivities I					
3 Complete 3a, b, or c for the alternative test relied upon						
a 'Assets' alternative test — er	nter	<u> </u>				
(1) Value of all assets						
(2) Value of assets qualifying section 4942(j)(3)(B)(i)						
b 'Endowment' alternative test — ente minimum investment return shown i line 6 for each year listed						
c 'Support' alternative test - e	enter:					
<ol> <li>Total support other than investment income (inte dividends, rents, payme on securities loans (sect 512(a)(5)), or royalties)</li> </ol>	rest, nts					
(2) Support from general public ar more exempt organizations as in section 4942(j)(3)(B)(iii)						
(3) Largest amount of support or an exempt organization	ort from					
(4) Gross investment incom						
Part XV Supplementary In assets at any time	nformation (Cor e during the ye	nplete this p ar – see ins	part only if the control	organization ha	d \$5,000 or mor	e in
Information Regarding Four     List any managers of the four     close of any tax year (but or     None	undation who have o	contributed mo ributed more th	re than 2% of the to nan \$5,000). (See s	otal contributions re ection 507(d)(2))	eceived by the found	lation before the
<b>b</b> List any managers of the fou	indation who own 1	0% or more of	the stock of a corp	oration (or an equa	lly large portion of t	he ownership of
a partnership or other entity None	) of which the found	lation has a 10	% or greater interes	st		
2 Information Regarding Con	tribution, Grant, Gif	t. Loan. Schol	arship, etc. Program	 ns:		
— ·	ndation only makes indation makes gifts	contributions t	to preselected chari	table organizations	and does not acce nizations under other	
a The name, address, and tele		ne person to w	hom applications sh	nould be addressed		
See Statement 6						
<b>b</b> The form in which application	ns should be submi	tted and inform	nation and materials	s they should includ	le	
See Statement for	Line 2a					
c Any submission deadlines:						
See Statement for						
d Any restrictions or limitation	s on awards, such a	s by geograph	ıcal areas, charıtabl	le fields, kinds of ir	stitutions, or other	tactors
See Statement for	Line 2a		·			

ď

Part-XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, oundation show any relationship to any foundation manager or substantial contributor Recipient Purpose of grant or contribution status of **Amount** recipient Name and address (home or business) a Paid during the year NONE SPRING GALA 30,000. THE AMERICAN INDIA FOUNDATION, **FUNDRAISING** INC 216 E 45TH STREET, 7TH FLOOR NEW YORK, NY 10017 10,000. NONE HONOR THE STARS GREEN FARMS ACADEMY, INC 35 BEACHSIDE AVENUE, PO BOX 998 CONTRIBUTION GREEN FARMS, CT 06838 GLOBAL CONFERENCE 15,000. IIT DELHI EXCELLENCE FOUNDATION NONE INC CONTRIBUTION 95 BRITE AVENUE SCARSDALE, NY 10583 Total 55,000. **b** Approved for future payment 3ь Total

### Part XVI-A Analysis of Income-Producing Activities

		T		T = 1 1 1 1 1		
Enter gros	s amounts unless otherwise indicated		d business income	<del></del>	section 512, 513, or 514	(-)
•		(a) Business code	<b>(b)</b> Amount	(c) Exclu- sion	<b>(d)</b> Amount	(e) Related or exempt function income
•	ram service revenue	<b></b>		code		(see the instructions)
		<del>                                     </del>		+		<u> </u>
		+		+		
		+		+	· ·, -	
		1		+		
, ——		<del> </del>		+ +-		
d E005	and contracts from government agencies	<del> </del>		<del> </del>		
•	bership dues and assessments			+		
	st on savings and temporary cash investments			14	774.	
	ends and interest from securities	<del>                                     </del>		14	13,010.	
	ental income or (loss) from real estate.			1		
	-financed property					
	lebt-financed property					
	ntal income or (loss) from personal property					
	r investment income					
-	r (loss) from sales of assets other than inventory			18	-36,546.	
	ncome or (loss) from special events.					
	s profit or (loss) from sales of inventory					
11 Othe						
а						
b				T i		
е		<u> </u>				
e	otal. Add columns (b), (d), and (e)				-22,762.	
12 Subto	otal. Add columns (b), (d), and (e)  . Add line 12, columns (b), (d), and (e)				-22,762.	
12 Subto	otal. Add columns (b), (d), and (e)	alculations.)				
e12 Subto	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify ca		shment of Exem	ot Purpose	13	
12 Subto 13 Total (See works	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e12 Subto	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify ca	Accompli		<u> </u>	13 S	-22,762.
12 Subto 13 Total (See works Part XVI- Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify cate.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify carries.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify carries.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.
e Subto Subto See works Part XVI-Line No.	otal. Add columns (b), (d), and (e)  Add line 12, columns (b), (d), and (e), sheet in the instructions for line 13 to verify carries.  B Relationship of Activities to the	Accompli		<u> </u>	13 S	-22,762.

Form 990-PF (2009) The Gupta Family Part XVII Information Regarding Tr	y Foundation ansfers To and Transactions a	36-413 and Relationships With Nonc	
Exempt Organizations		·	
1 Did the organization directly or indirectly e	ngage in any of the following with any	other organization	Yes No
described in section 501(c) of the Code (or relating to political organizations?	ther than section 501(c)(3) organization	ns) or in section 527,	
a Transfers from the reporting foundation to	a noncharitable exempt organization	of.	
(1) Cash			1a(1) X
(2) Other assets .			1a (2) X
<b>b</b> Other transactions:			
(1) Sales of assets to a noncharitable exe	mpt organization		1 b (1) X
(2) Purchases of assets from a noncharita	ible exempt organization		1 b (2) X
(3) Rental of facilities, equipment, or othe	r assets		1 b (3) X
(4) Reimbursement arrangements		•	1b (4) X
(5) Loans or loan guarantees .			1 b (5) X
(6) Performance of services or membersh		•	1b (6) X
c Sharing of facilities, equipment, mailing lis	sts, other assets, or paid employees		1c X
d If the answer to any of the above is 'Yes,' the goods, other assets, or services given any transaction or sharing arrangement, s	complete the following schedule Coluby the reporting foundation. If the foundation in column (d) the value of the goo	imn <b>(b)</b> should always show the fair ndation received less than fair mark ods, other assets, or services receive	market value of et value in ed.
		(d) Description of transfers, transactions, and	
N/A			
			· · · · · · · · · · · · · · · · · · ·
		<del></del>	
		<del></del>	
		<del></del>	
		<del></del>	
		···	
	*****		
2a Is the foundation directly or indirectly affiliated described in section 501(c) of the Code (of b If 'Yes,' complete the following schedule.	ated with, or related to, one or more to ther than section 501(c)(3)) or in section	ax-exempt organizations on 527?	Yes X No
(a) Name of organization	(b) Type of organization	(c) Description of rel	ationship
N/A			
		<u> </u>	
Under penalties of perjury, I declare that I have examined the complete Declaration of preparer (other than taxpayer or full	is return, including accompanying schedules and st uciary) is based on all information of which prepare	atements, and to the best of my knowledge and er has any knowledge	belief, it is true, correct, and
X Capthodas Sa	1/en up	5/13/08 - SECRETARY	& PRESUENT
Signature of officer or trustee	O. D.	ate/ Title	
·		ate Prep	arer's Identifying number Signature in the instrs)
Preparer's signature James J. Roed	er James Wall	S 12 2010 Check in self-employed > X N/	<del>-</del>

N/A

Phone no <u> (952) 582-2933</u>

Form **990-PF** (2009)

EIN

Paid Pre-

BAA

parer's Use Only

Firm's name (or yours if self-employed), address, and ZIP code

James J. Roeder JAMES J. ROEDER CPA

5775 WAYZATA BLVD STE 700 ST. LOUIS PARK, MN 55416-1233

### Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

### **Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF

OMB No 1545-0047

2009

Employer identification number

Name of the organization		Employer identification number
The Gupta Family Foundation		36-4139351
Organization type (check one)		
Filers of:	Section:	
Form 990 or 990-EZ	501(c)() (enter number) organization 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a 527 political organization	private foundation
Form 990-PF	X 501(c)(3) exempt private foundation 4947(a)(1) nonexempt charitable trust treated as a priv 501(c)(3) taxable private foundation	ate foundation
Check if your organization is covered by the <b>Go Note:</b> Only a section 501(c)(7), (8), or (10) organization	eneral Rule or a Special Rule. anization can check boxes for both the General Rule and a S	Special Rule See instructions
General Rule –  X For an organization filing Form 990, 990-E2 contributor (Complete Parts I and II.)	Z, or 990-PF that received, during the year, \$5,000 or more	(in money or property) from any one
Special Rules —		
509(a)(1)/170(b)(1)(A)(vi) and received from any	Form 990 or 990-EZ, that met the 33-1/3% support test of the rone contributor, during the year, a contribution of the greater of (1 or (1)). Form 990-EZ, line 1 Complete Parts I and II.	e regulations under sections ) \$5,000 or (2) 2% of the
For a section 501(c)(7), (8), or (10) organized aggregate contributions of more than \$1,00 prevention of cruelty to children or animals	ation filing Form 990 or 990-EZ, that received from any one to for use exclusively for religious, charitable, scientific, liter. Complete Parts I, II, and III	contributor, during the year, ary, or educational purposes, or the
contributions for use exclusively for religiou	ration filing Form 990 or 990-EZ, that received from any one us, charitable, etc, purposes, but these contributions did not intributions that were received during the year for an exclusion unless the <b>General Rule</b> applies to this organization becaus	aggregate to more than \$1,000 If vely religious, charitable, etc.
religious, charitable, etc, contributions of \$	5,000 or more during the year.	<b>▶</b> \$
990-PF) but it must answer 'No' on Part IV. lin	y the General Rule and/or the Special Rules does not file Sc e 2 of their Form 990, or check the box on line H of its Form ig requirements of Schedule B (Form 990, 990-EZ, or 990-P	n 990-EZ, or on line 2 of its Form
BAA For Privacy Act and Paperwork Reducti for Form 990, 990EZ, or 990-PF.	on Act Notice, see the Instructions Schedul	<b>e B</b> (Form 990, 990-EZ, or 990-PF) (2009)

Schedule	<b>B</b> (Form 990, 990-EZ, or 990-PF) (2009)	Page 1	of 1 of Part I
Name of org		[ ' '	r identification number
	upta Family Foundation	30-4	139351
Part I	Contributors (see instructions.)		
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1		\$ <u>30,500.</u>	(Complete Part II if there
	WESTPORT, CT 06880		is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash  (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$ <b>-</b>	Person Payroli Noncash (Complete Part II if there is a noncash contribution)
(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
BAA	TEEA0702L 06/23/09	Scheaule <b>B</b> (Form 99	0, 990-EZ, or 990-PF) (2009)

(a) (b) (c)
No. from Description of noncash property given FMV (or estimate)
Part I (see instructions)

(d) Date received

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

	3 (Form 990, 990-EZ, or 990-PF) (2009)	·		Page 1	of 1	of Part III
Name of organ					Employer identificat	
	ta Family Foundation			5014 \	36-4139351	·
Part III	Exclusively religious, charitable, e organizations aggregating more the	nan \$1,000 for the year.(C	omplete cols	(a) through (	(/), (8), or (10) e) and the follow	ng line entry)
	For organizations completing Part III, enter contributions of \$1,000 or less for the year	total of <i>exclusively</i> religious, clenter this information once —	haritable, etc, see instruction	ons )	<b>►</b> \$	N/A
(a)	(b)	(c)			(d)	
No. from Part I	Purpose of gift	Use of gift		Desci	ription of how gif	t is held
	N/A					·
		<u> </u>		<u> </u>		
	Transferee's name, addres	(e) Transfer of gift s, and ZIP + 4	Rela	itionship of t	transferor to trans	sferee
		· · · · · · · · · · · · · · · · · · ·				
(a)	(b)	(c)			(d)	
No. from Part I	Purpose of gift	Use of gift		Desci	ription of how gif	t is held
		(e)				
		Transfer of gift				
	Transferee's name, addres	s, and ZIP + 4	Rela	tionship of t	ransferor to trans	steree
			-			
(a)	(b) .	(c)			(d)	<del></del>
No. from Part I	Purpose of gift	Use of gift		Descr	ription of how gif	t is held
						<del></del>
		<u> </u>		<del></del>		
		(e)				
		Transfer of gift				
	Transferee's name, addres	s, and ZIP + 4	Rela	tionship of t	ransferor to trans	sferee
		<del></del>				
(a)	(b)	(c)	. <u> </u>		(d)	
No. from Part I	Purpose of gift	Use of gift		Descr	ription of how gif	is held
<del></del>						
		(e)				
	Transferee's name, addres	Transfer of gift	Rela	tionship of t	ransferor to trans	sferee
	<u> </u>					<del></del>

2009	Federal Statements	Page 1
	The Gupta Family Foundation	36-4139351
Statement 1 Form 990-PF, Part I, Line 16b Accounting Fees	(a) (b) Net (c) Expenses Investment Adjusted	(d) Charitable
TAX PREPARATION FEES		Purposes  \$ 0.
Statement 2 Form 990-PF, Part I, Line 18 Taxes		
FEDERAL INCOME TAX FOREIGN TAXES	(a)       (b)       Net       (c)         Expenses per Books       Investment Income       Adjusted Net Income         \$ 469.       166.         Total       \$ 635.	(d) Charitable Purposes  \$ 0.
Statement 3 Form 990-PF, Part I, Line 23 Other Expenses		
FILING FEES INVESTMENT FEES	(a) (b) Net (c) Expenses Investment Adjusted per Books Income Net Income  \$ 35. 6,578. \$ 6,578.  Total \$ 6,613. \$ 6,578.	(d) Charitable Purposes  \$ 0.
Statement 4 Form 990-PF, Part II, Line 13 Investments - Other		
Other Investments	Valuation Book F <u>Method</u> Value	Fair Market Value
INVESTMENTS - SCHEDULE ATT	TACHED -STMT 7 Mkt Val \$ 656,960. \$  Total \$ 656,960. \$	656,960. 656,960.

.

2009

### **Federal Statements**

Page 2

The Gupta Family Foundation

36-4139351

Statement 5 Form 990-PF, Part III, Line 3 Other Increases

TAX TO BOOK DIFFERENCES UNREALIZED INVESTMENT GAINS

193. 158,112. Total \$

Statement 6 Form 990-PF, Part XV, Line 2a-d Application Submission Information

Name of Grant Program:

Name:

ANITA GUPTA

Care Of:

Street Address: City, State, Zip Code: 59 BEACHSIDE AVENUE WESTPORT, CT 06880 213 245-0077

Telephone: Form and Content:

A LETTER STATING PURPOSE OF CHARITABLE ORGANIZATION

Submission Deadlines:

NONE NONE

Restrictions on Awards:

PART II BALGUCE SHEET LINE 13- INVESTMENTS-OTHER

# Cash & Short Term Detail

				Market	lax Cost Adjusted
LAR PRINCIPAL       17,906 29       1.00       17,906 29       1         LAR INCOME       3,374.45       1.00       3,374.45         ash       \$21,280.74       \$2		Quantity	Price	Value	Original
7AL 17,906 29 1.00 17,906 29 1 3,374.45 1.00 3,374.45 \$21,280.74 \$2	Cash				
3,374.45 1.00 3,374.45 \$2	US DOLLAR PRINCIPAL	17,906 29	1.00	17,906 29	17,906.29
\$21,280.74	US DOLLAR INCOME	3,374.45	1.00	3,374.45	3,374.45
	Total Cash			\$21,280.74	\$21,280.74

PART 11 BALANCE SHEET LINE 13 - INVESTMENTS - OTHER

### **Equity Detail**

	Quantity	Price	Market Value	Tax Cost
US Large Cap FMI FDS INC LARGE CAP FD	1,588 227	14.14	22,457 53	17,295 79
302933-20-5 FMIH X ISHARES RUSSELL 1000 GROWTH INDEX FUND	299 000	49 85	14,905 15	11,124.86
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206 481245-10-8 JPIA X	709.704	20 31	14,414.09	17,827.19
JPMORGAN LARGE CAP GROWTH FUND SELECT SHARE CLASS FUND 3118 4812C0-53-0 SEEG X	1,352.290	17 01	23,002 45	17,295 79
JPMORGAN TAX AWARE DISCIPLINED EQUITY FUND INSTITUTIONAL SHARE CLASS FUND 1236 4812A1-65-4 JPDE X	2,315 874	15 78	36,544 49	28,924 95
JPMORGAN TR I US LARGE CAP VALUE PLUS SELECT SHARE CLASS FUND 1513 4812A4-65-8 JTVS X	1,251,094	11.73	14,675 33	11,697.10

PART 11 BALGNCE SYEET LINE 13 - INVESTMENTS- OTHER

	Quantity	Price	Market Value	Tax Cost
US Large Cap JPMORGAN US EQUITY FUND SELECT SHARE CLASS FUND 1224 4812A1-15-9 JUES X	841.010	9.03	7,594.32	5,808.45
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002 4812A2-38-9 JLPS X	1,780.038	18.18	32,361.09	33,426 43
THORNBURG VALUE FUND FD CL I 885215-63-2 TVIF X	460.521	31.58	14,543.25	11,577.49
Total US Large Cap			\$180,497.70	\$154,978.05
US Mid Cap/Small Cap				
ASTON FDS TAMRO S CAP I 00078H-14-1 ATSI X	900.000	16.66	14,994.00	11,891 80
EAGLE SER MID CAP STK I 269858-84-1 HMCJ X	1,057.000	23.20	24,522 40	18,973.15
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	94.000	82.51	7,755.94	7,029.23
ISHARES RUSSELL 2000 INDEX FUND 464287-65-5 IWM	218.000	62.44	13,611 92	12,007.37
ISHARES S&P MIDCAP 400 INDEX FUND 464287-50-7 IJH	211.000	72 41	15,278 51	14,348.23

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PART 11 BALONCE SHEET LINE 13 - INVESTMENTS - OTHER	at .			GUPTA FAMILY FO
	Quantity	Price	Market Value	Tax Cost
US Mid Cap/Small Cap JPMORGAN MARKET EXPANSION INDEX FUND SELECT SHARE CLASS FUND 3708 4812C1-63-7 PGMI X	1,707.594	899.	14,821.92	11,577 49
JPMORGAN TR I HIGHBRIDGE STATISTICAL MARKET NEUTRAL FUND SELECT SHARES FUND # 1011	993.059	15 74	15,630.75	15,778.98

JPMORGAN US REAL ESTATE FUND SELECT SHARE CLASS FUND 3037 4812C0-61-3 SUIE X	1,027.431	12 21	12,544.93	23,255.21
Total US Mid Cap/Small Cap			\$119,160.37	\$114,861.46
Non US Equity				
ARTIO INTERNATIONAL EQUITY II - I 04315J-83-7 JETI X	1,222.290	11.78	14,398.58	9,558 31
DODGE & COX INTERNATIONAL STOCK 256206-10-3 DODF X	607 000	31.85	19,332.95	18,895.91
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	115.000	55 28	6,357.20	6,423.51
ISHARES MSCI ASIAN EX JAPAN 464288-18-2 AAXJ	142.000	55.71	7,910.82	6,074 34

4812A2-43-9 HSKS X

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	OTHER
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Pant 11	LINE 13

	Quantity	Price	Market Value	Tax Cost
Non US Equity				
IVY GLOBAL NATURAL RESOURCE-I 465899-50-8 IGNI X	760.676	18 77	14,277.89	11,577.49
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134 4812A0-70-6 JPAS X	997,992	31.20	31,137.35	19,969.10
JPMORGAN INTERNATIONAL VALUE FUND SELECT SHARE CLASS FUND 1296 4812A0-56-5 JIES X	2,888.408	12.68	36,625.01	28,826.31
JPMORGAN INTREPID INTERNATIONAL FUND SELECT SHARE CLASS FUND # 1321 4812A2-21-5 JISI X	462.608	15.73	7,276.82	8,975.71
SPDR GOLD TRUST 78463V-10-7 GLD	63.000	107 31	6,760.53	5,716 51
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 77956H-50-0 PRAS X	1,942.860	16.14	31,357 76	24,117.96
Total Non US Equity			\$175,434.91	\$140,135.15

PART 11 BALANCE SHEET LINE 13 IMVESTMENTS - OTHER

## Fixed Income Detail

			Market	Tax Cost Adjusted
	Quantity	Price	Value	Original
US Fixed income - Laxable				
ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	229 000	103 90	23,793 10	23,476 84
JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND 3844 30-Day Annualized Yield: 2.76% 4812A4-35-1	2,211 694	11.59	25,633.53	22,654 74
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 30-Day Annualized Yield. 7.59% 4812C0-80-3	3,564.528	7.74	27,589.45	28,020 58
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133 30-Day Annualized Yield: 2 04% 4812C1-33-0	6,529 608	10 85	70,846.25	70,471 31
VANGUARD FIXED INCOME SECS F INTR TRM CP PT (FUND 71)	1,322.700	9.62	12,724.37	11,432.74
Total US Fixed Income - Taxable		<del>ω</del>	\$160,586.70	\$156,056.21

TOTAL INVESTMENTS 656,960

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GUPTA FAMILY FOUNDATION Schedule D Detail of Short-term Capital Gains and Losses

		Date	Date	Gross Sales	Cost or Other	Short-term
	Description	Acquired	Sold	Price	Basis	Gain/Loss
	554.885 JPMORGAN CORE BOND FUND	11/13/2008	02/03/2009	5,920.62	5,765.26	155.36
	98.115 JPMORGAN SHORT DURATION					
	BOND FUND	04/22/2008	02/03/2009	1,043.94	1,050.81	-6.87
	573.658 JPMORGAN TREASURY &					
	AGENCY FUND	11/13/2008	02/03/2009	5,851.31	5,765.26	86.05
	522. ISHARES MSCI ASIAN EX	11/13/2008	02/03/2003	16,951.22	15,646.42	1,304.80
	1.099 JPMORGAN E	11/13/2008	02/23/2009	•	22.83	-4.17
	SERIES 1	/24/2	/23/	2,505.34	4,165.26	-1,659.92
	. ISHARES MSC	11/13/2008	02/24/2009	25	269.77	-10.21
	17. SPDR TRUST SERIES 1	4/200	6002/90/80	1,158.37	2,145.74	-987.37
	INI					
	EQUITY II - I	03/10/2009	02/13/2003	4,029.15	3,141.37	887.78
	1096.19 FIDELITY ADVISOR HIGH				•	
	1	11/13/2008	05/19/2009	7,136.20	6,390.79	745.41
	INDEX FUND	11/13/2008	05/19/2009	11,933.29	10,643.02	1,290.27
	387. ISHARES RUSSELL MIDCAP					
	INDEX FUND	05/30/2008	05/19/2009	24,823.75	40,294.44	-15,470.69
	230. ISHARES RUSSELL 1000 VALUE					
	INDEX FD	11/13/2008	05/19/2009	10,991.33	10,742.10	249.23
	2. ISHARES TR RUSSELL 1000	11/13/2008	05/19/2009	79.42	69.65	9.77
	117. ISHARES RUSSELL 2000 INDEX					
	FUND	11/13/2008	05/19/2009	5,750.31	5,266.99	483.32
	765.504 JPMORGAN TOTAL RETURN					
	CT	12/18/2008	05/19/2009	7,341.18	7,113.89	227.29
	60.546 JPMORGAN SMALL CAP	,			- 1	
		1/13/	5/19/	401.4	361.4	9.9
	831.63 JPMORGAN EQUITY INDEX	1/13/2	5/19/	31.3	7,272.9	1.5
	255. SPDR TRUST SERIES 1	07/24/2008	05/19/2009	18.	32,186.07	-8,967.42
		11/13/2008	$\sim$	,712.2	11,619.30	1,092.99
	SPDR TRUST SER	11/13/2008	06/15/2009	12,300.54	11,812.40	488.14
	MORGA					
	MID CAP GROWTH FUND	12/18/2008	07/07/2009	18,782.67	17,798.51	984.16
	505.877 JPMORGAN SMALL CAP					
	VALUE FUND	05/19/2009	07/07/2009	5,777,12	6.596.12	-819.00
Totals						

GUPTA FAMILY FOUNDATION Schedule D Detail of Short-term Capital Gains and Losses

	Date	Date	Gross Sales	Cost or Other	Short-term
Description	Acquired	Sold	Price	Basis	Gain/Loss
35.998 JPMORGAN INTREPID				Ì	
GROWTH FUND	05/19/2009	01/09/2009	549.69	554.37	-4.68
905.159 JPMORGAN SMALL CAP					
	11/13/2008	07/13/2009	6,155.08	5,403.80	751.28
1207. JPMORGAN TOTAL RETURN FUND		İ			
SELECT	11/13/2008	07/16/2009	11,925.16	11,213.03	712.13
604. JPMORGAN TOTAL RETURN FUND		1			
	11/13/2008	08/11/2009	6,166.84	5,611.16	555.68
1	06/11/5009	09/15/2009	14,092.29	12,296.37	1,795.92
1277. JPMORGAN SHORT DURATION					
	08/11/2009	11/13/2009	13,919.30	13,763.32	155.98
75.069 JPMORGAN TOTAL RETURN					
FUND SELECT	12/16/2009	12/18/2009	779.22	. 776.22	3.00
					,
Totals			249,805.00	265,759.00	-15,953.00
JSA					





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GUPTA FAMILY FOUNDATION Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
5% RATE CAPITAL GAINS (LOSSES)					
298.239 JPMORGAN ASIA EQUITY TYCO INTERNATIONAL LTD	12/15/2006	02/23/2009	4,947.79	7,693.31	-2,745.52
ISIN #BM9021241064		03/06/2009	256.27		256.27
HARE	01/15/2008	3/10/	57.	26,983.16	5
.927 FIDELIT	00	/19/2	l •l	7,800.0	,195.
.8 JPMORGAN IN					
FUND	12/17/2007	06/10/2009	5,999.67	9,190.72	-3,191.05
. AMERICAN INTERNATIONAL					
GROUP INC		06/18/2009	120.52		120.52
574.355 JPMORGAN MULTI-CAP					
MARKET NEUTRAL FUND	03/13/2006	01/08/2009	5,950.32	6,105.39	-155.07
352.009 JPMORGAN INTREPID					
GROWTH FUND	12/17/2007	07/09/2009	5,375.18	7,728.48	-2,353.30
. FED HOME LOAN MTG CORP					
VOTING COMMON		6007/90/80	21.80		21.80
HCA INC FORMERLY HCA THE		10/22/2009	_		;;
2414.363 JPMORGAN TOTAL RETURN					
FUND SELECT	11/13/2008	12/18/2009	25,061.08	22,429.43	2,631.65
OTAL, 15% RATE CAPITAL, GAINS (1,0SSES)			66.136.00	87.930.00	-21.794.00
			2.00-10	0.000	0.177
				!	
				- 1	
Totals			66,136.00	87,930.00	-21,794.00

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(Rev April 2009)

### Application for Extension of Time To File an Exempt Organization Return

J. 41.D	 	

Internal Revenue	Service		File a separate application t	or each return.			
If you are	filing for an	Automatic 3-Month	Extension, complete only Part I a	nd check this box	•		► X
			omatic) 3-Month Extension, comp		page 2 of this t	form).	
Do not comp	olete Part II un	<i>less</i> you have alrea	dy been granted an automatic 3-m	nonth extension on	a previously file	d Form 8868.	
			on of Time. Only submit or				
			_				_
•	•		requesting an automatic 6-month				▶ [
All other corp income tax re	oorations (incl eturns	udıng 1120-C filers)	partnerships, REMICS, and trust	s must use Form 70	004 to request a	n extension of time	to file
the additiona	l (not automa Instead vou r	tic) 3-month extensi nust submit the full	ectronically file Form 8868 if you win required to file Form 990-T). Ho on or (2) you file Forms 990-BL, 6 or completed and signed page 2 (P -file for Charities & Nonprofits	ANG AT XX/O ATAUR	returns or a c	omnosite or consoli	aarea
_	Name of Exempt	Organization			E	mployer identification nu	nber
Type or print							
Pilit		a Family Fou				86-4139351 <u> </u>	
File by the due date for	Number, street, a	and room or suite number	If a P O box, see instructions				
filing your return See instructions  59 Beachside Avenue  City, town or post office, state, and ZIP code For a foreign address, see instructions							
instructions	'		For a foreign address, see instructions				
		, CT 06880			· · · · · · · · · · · · · · · · · · ·	<del></del>	
		filed (file a separat	e application for each return):				
Form 990		<u> </u>	Form 990-T (corporation)	00(),	Form 4720		
Form 990		<u> </u>	Form 990-T (section 401(a) or 4	• • • •	Form 5227		
Form 990		<u> </u>	Form 990-T (trust other than abo	ove)	Form 6069		
X   Form 990	)-PF		Form 1041-A		Form 8870	<u>-</u>	
Telephone If the org If this is f	e No. ► 913 anization does for a Group Re	948-6102 s not have an office eturn, enter the orga . If it is for part of the	FAX No ► 91 or place of business in the United nization's four digit Group Exemple group, check this box ► a	tion Number (GEN)	If th		
			s for a corporation required to file	Form 990-T) exten	sion of time		
until _	8/15		the exempt organization return for				
	calendar year	•					
	tax year begi		, 20 , and ending	, 20	·		
_		less than 12 months		n Final retu	ırn Cha	inge in accounting p	eriod
		or Form 990-BL, 990 s. See instructions	0-PF, 990-T, 4720, or 6069, enter	the tentative tax, le	ss any	3a \$	144.
			90-T, enter any refundable credits tallowed as a credit	s and estimated tax	payments 	3b \$	0.
deposit	e <b>Due.</b> Subtractive with FTD country in the structions of the structions of the structure	ct line 3b from line 3 pon or, if required, l	a. Include your payment with this y using EFTPS (Electronic Federal	form, or, if required at Tax Payment Sys	d, stem).	3c \$	144.
Caution. If yo payment inst		o make an electroni	c fund withdrawal with this Form 8	8868, see Form 845	3-EO and Form	8879-EO for	
BAA For Pri	vacy Act and	Paperwork Reduction	on Act Notice, see instructions.			Form <b>8868</b> (Rev	/. 4-2009)

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.