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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2009Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation HABERMAN FAMILY FOUNDATION		A Employer identification number 36-4003623
	C/O JUDITH STERN		B Telephone number (847) 501-4470
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	
	17 REGENT WOOD ROAD		
	City or town, state, and ZIP code NORTHFIELD, IL 60093		
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			C If exemption application is pending, check here ☐ D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 552,291.			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	10,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1.	1.		STATEMENT 1
	4 Dividends and interest from securities	14,329.	14,329.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-4,880.			
	b Gross sales price for all assets on line 6a	182,191.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	19,450.	14,330.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	80.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	148.	15.		133.
	b Accounting fees STMT 4	4,500.	3,500.		1,000.
	c Other professional fees STMT 5	5,127.	5,127.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	424.	0.		15.
	24 Total operating and administrative expenses. Add lines 13 through 23	10,199.	8,642.		1,148.
	25 Contributions, gifts, grants paid	34,450.			34,450.
26 Total expenses and disbursements. Add lines 24 and 25	44,649.	8,642.		35,598.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-25,199.				
b Net investment income (if negative, enter -0-)		5,688.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		27,912.	14,965.	14,965.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		64,313.	91,986.	92,802.
	b	Investments - corporate stock STMT 8		244,298.	212,287.	379,342.
	c	Investments - corporate bonds STMT 9		69,627.	61,713.	65,182.
11	Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers)		406,150.	380,951.	552,291.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		406,150.	380,951.	
30	Total net assets or fund balances		406,150.	380,951.		
31	Total liabilities and net assets/fund balances		406,150.	380,951.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	406,150.
2	Enter amount from Part I, line 27a	2	-25,199.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	380,951.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	380,951.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH - 04441	P	VARIOUS	VARIOUS
b MERRILL LYNCH - 04441	P	VARIOUS	VARIOUS
c MERRILL LYNCH - 04441	P	VARIOUS	VARIOUS
d MERRILL LYNCH - 04441	P	VARIOUS	VARIOUS
e MERRILL LYNCH - 04441 - CASH IN LIEU	P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58,127.		49,900.	8,227.
b 22,929.		36,058.	-13,129.
c 51,348.		26,452.	24,896.
d 49,763.		74,661.	-24,898.
e 24.			24.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			8,227.
b			-13,129.
c			24,896.
d			-24,898.
e			24.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-4,880.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	37,819.	609,061.	.062094
2007	38,372.	778,407.	.049296
2006	35,674.	807,400.	.044184
2005	51,898.	818,813.	.063382
2004	34,138.	906,241.	.037670

2 Total of line 1, column (d)	2	.256626
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051325
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	492,257.
5 Multiply line 4 by line 3	5	25,265.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	57.
7 Add lines 5 and 6	7	25,322.
8 Enter qualifying distributions from Part XII, line 4	8	35,598.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	57.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	57.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	57.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	1,824.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	1,824.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,767.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 1,767. Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **N/A**

14 The books are in care of ► **JUDITH HABERMAN STERN** Telephone no. ► **(847) 501-4470**
 Located at ► **17 REGENT WOOD ROAD, NORTHFIELD, IL** ZIP+4 ► **60093**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ► ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	
Organizations relying on a current notice regarding disaster assistance check here ► ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JUDITH HABERMAN STERN 17 REGENT WOOD ROAD NORTHFIELD, IL 60093	DIRECTOR 0.25	0.	0.	0.
RANDALL B HABERMAN C/O JUDITH HABERMAN 17 REGENT WOOD ROAD NORTHFIELD, IL 60093	DIRECTOR 0.25	0.	0.	0.
JOEL S HABERMAN C/O JUDITH HABERMAN 17 REGENT WOOD ROAD NORTHFIELD, IL 60093	DIRECTOR 0.25	0.	0.	0.
AUDREY E HABERMAN C/O JUDITH HABERMAN 17 REGENT WOOD ROAD NORTHFIELD, IL 60093	DIRECTOR 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A: Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B: Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	465,936.
b	Average of monthly cash balances	1b	33,817.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	499,753.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	499,753.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	7,496.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	492,257.
6	Minimum investment return. Enter 5% of line 5	6	24,613.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	24,613.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	57.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	57.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	24,556.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	24,556.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	24,556.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	35,598.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	35,598.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	57.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,541.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				24,556.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			24,943.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 35,598.			24,943.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				10,655.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				13,901.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 10				
Total			▶ 3a	34,450.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	1.		
4 Dividends and interest from securities			14	14,329.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	-4,880.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		9,450.		0.
13 Total. Add line 12, columns (b), (d), and (e)						9,450.

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td> <td>Yes</td> </tr> <tr> <td>1a(1)</td> <td></td> </tr> <tr> <td>1a(2)</td> <td></td> </tr> <tr> <td>1b(1)</td> <td></td> </tr> <tr> <td>1b(2)</td> <td></td> </tr> <tr> <td>1b(3)</td> <td></td> </tr> <tr> <td>1b(4)</td> <td></td> </tr> <tr> <td>1b(5)</td> <td></td> </tr> <tr> <td>1b(6)</td> <td></td> </tr> <tr> <td>1c</td> <td></td> </tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

DIRECTOR

Title

Preparer's
signature

Firm's name (or yours if self-employed), address, and ZIP code

RSM MCGLADREY INC

ONE SOUTH WACKER DRIVE, SUITE 800
CHICAGO, IL 60606-3392

Date ☐ Check if self-employed

Preparer's identifying number

EIN ▶

Phone no. 312-634-3400

Form 990-PF (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

HABERMAN FAMILY FOUNDATION
C/O JUDITH STERN

Employer identification number

36-4003623

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐

4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year

▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization
HABERMAN FAMILY FOUNDATION
C/O JUDITH STERN

Employer identification number

36-4003623

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JUDITH HABERMAN STERN 17 REGENT WOOD ROAD NORTHFIELD, IL 60093	\$ 10,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

FORM 990-PF

GRANTS AND CONTRIBUTIONS
PAID DURING THE YEAR

STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
AMERICAN CANCER SOCIETY, INC. 250 WILLIAMS STREET ATLANTA, GA 30303	GENERAL CHARITABLE	PUBLIC	500.
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS, TX 75231	GENERAL CHARITABLE	PUBLIC	500.
CHILDREN'S MEMORIAL FOUNDATION 2300 CHILDREN'S PLAZA CHICAGO, IL 60614	GENERAL CHARITABLE	PUBLIC	750.
CONGREGATION BETH JUDEA 5304 RFD LONG GROVE, IL 60047	GENERAL CHARITABLE	PUBLIC	1,000.
EMERGENCY FUND 208 SOUTH LASALLE STREET, SUITE 1356 CHICAGO, IL 60604	GENERAL CHARITABLE	PUBLIC	3,450.
FREE WHEELCHAIR MISSION 9341 IRVINE BOULEVARD IRVINE, CA 92618	GENERAL CHARITABLE	PUBLIC	150.
GASTRO-INTESTINAL RESEARCH FOUNDATION, INC. 70 EAST LAKE STREET, SUITE 1015 CHICAGO, IL 60601	GENERAL CHARITABLE	PUBLIC	100.
HILLCREST EDUCATION FUND 424 EAST CENTRAL BOULEVARD, SUITE 424 ORLANDO, FL 32801	GENERAL CHARITABLE	PUBLIC	3,450.

JEWISH UNITED FUND 30 SOUTH WELLS STREET CHICAGO, IL 60606	GENERAL CHARITABLE	PUBLIC	3,700.
JEWISH VOCATIONAL SERVICE AND EMPLOYMENT CENTER 216 WEST JACKSON BOULEVARD, SUITE 700 CHICAGO, IL 60606	GENERAL CHARITABLE	PUBLIC	3,700.
LEGAL VOICE 907 PINE STREET, SUITE 500 SEATTLE, WA 98101	GENERAL CHARITABLE	PUBLIC	2,000.
NORTHWESTERN UNIVERSITY KELLOGG SCHOOL 2001 SHERIDAN ROAD EVANSTON, IL 60208	GENERAL CHARITABLE	PUBLIC	250.
PRIDE FOUNDATION 1122 EAST PIKE STREET, PMB 1001 SEATTLE, WA 98122	GENERAL CHARITABLE	PUBLIC	4,000.
RIDE FOR KIDS 2009	GENERAL CHARITABLE	PUBLIC	100.
SIGMA ALPHA EPSILON 1856 SHERIDAN ROAD EVANSTON, IL 602013837	GENERAL CHARITABLE	PUBLIC	500.
TEMPLE BETH AM	GENERAL CHARITABLE	PUBLIC	1,300.
TEMPLE BETH EL 3610 DUNDEE ROAD NORTHBROOK, IL 60062	GENERAL CHARITABLE	PUBLIC	2,500.
TULANE UNIVERSITY 3439 PRYTANIA STREET, SUITE 200 NEW ORLEANS, LA 70115	GENERAL CHARITABLE	PUBLIC	1,500.

HABERMAN FAMILY FOUNDATION C/O JUDITH ST

36-4003623

UNIVERSITY OF CHICAGO - KENNETH S. HABERMAN SCHOLARSHIP FUND 5801 SOUTH ELLIS AVENUE, SUITE 007 CHICAGO, IL 60637	GENERAL CHARITABLE	PUBLIC	2,500.
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UNIVERSITY OF DENVER 2199 SOUTH UNIVERSITY BOULEVARD DENVER, CO 80210	GENERAL CHARITABLE	PUBLIC	1,500.
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WOMEN'S FUNDING ALLIANCE 603 STEWART, SUITE 207 SEATTLE, WA 981011229	GENERAL CHARITABLE	PUBLIC	1,000.
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ALL OF THE ABOVE CONTRIBUTIONS ARE FOR THE GENERAL CHARITABLE, - RELIGIOUS, SCIENTIFIC, LITERARY, OR EDUCATIONAL ACTIVITIES OF EACH DONEE.	ALL RECIPIENTS ARE PUBLIC CHARITIES AS DESCRIBED IN SECTION 501(A)(1),(2),OR (3).
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TOTAL TO FORM 990-PF, PART XV, LINE 3A

34,450.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
JP MORGAN CHASE BANK, N.A.	1.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	1.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH - 04305	11.	0.	11.
MERRILL LYNCH - 04305	1,970.	0.	1,970.
MERRILL LYNCH - 04441	6,491.	0.	6,491.
MERRILL LYNCH - 04441	4,784.	0.	4,784.
MERRILL LYNCH - 04441 - U.S. GOVERNMENT	1,073.	0.	1,073.
TOTAL TO FM 990-PF, PART I, LN 4	14,329.	0.	14,329.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	148.	15.		133.
TO FM 990-PF, PG 1, LN 16A	148.	15.		133.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,500.	3,500.		1,000.
TO FORM 990-PF, PG 1, LN 16B	4,500.	3,500.		1,000.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MERRILL LYNCH - 04305	150.	150.		0.
MERRILL LYNCH - 04441	4,977.	4,977.		0.
TO FORM 990-PF, PG 1, LN 16C	5,127.	5,127.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ILLINOIS CHARITY BUREAU FUND	15.	0.		15.
MISCELLANEOUS EXPENSE	409.	0.		0.
TO FORM 990-PF, PG 1, LN 23	424.	0.		15.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. OBLIGATIONS	X		91,986.	92,802.
TOTAL U.S. GOVERNMENT OBLIGATIONS			91,986.	92,802.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			91,986.	92,802.



U.S. OBLIGATIONS



CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
3,000	FEDERAL NATL MTG ASSOC NOTES 04.750% DEC 15 2010	12/15/08	3,084.89	3,118.14	33.25	6.33	143.00
7,000	FEDERAL NATL MTG ASSOC ORIGINAL UNIT/TOTAL COST: 105.49/107,384.37	06/12/09	7,245.66	7,275.66	30.00	14.78	333.00
4,000	FEDERAL HOME LN MTG CORP NOTES 05.125% JUL 15 2012	10/25/07	4,068.78	4,345.00	276.22	94.53	205.00
4,000	U.S. TREASURY NOTE NOTES 05.000% FEB 15 2011	09/14/09	4,197.69	4,192.04	(5.65)	75.00	200.00
16,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 106.09/6/16,975.62	10/07/09	16,811.38	16,768.18	(43.22)	300.00	800.00
2,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 105.87/5/2,117.43	10/15/09	2,099.26	2,096.02	(3.24)	37.50	100.00



Merrill Lynch

U.S. OBLIGATIONS

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
3,000	U.S. TREASURY NOTE 3.125% MAY 15 2019 CUSIP: 912828KQ2	08/28/09	2,916.22	2,841.09	(75.13)	11.91	94.00
4,000	U.S. TREASURY NOTE	10/15/09	3,891.88	3,788.12	(103.76)	15.88	125.00
2,000	U.S. TREASURY NOTE	10/30/09	1,956.73	1,894.06	(62.67)	7.94	63.00
1,000	U.S. TREASURY NOTE 3.875% SEPT 15 2010 CUSIP: 912828EG1	03/19/09	1,022.61	1,024.26	1.65	11.45	39.00
1,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 104.3200/1,043.20	05/19/09	1,023.05	1,024.26	1.20	11.45	39.00
7,000	U.S. TRSY INFLATN NTE 2.375% JAN 15 2017 INFL ADJ PRIN 7,503	10/09/08	7,313.08	8,104.39	791.31	76.35	179.00
2,000	U.S. TREASURY NOTE 4.250% SEP 30 2012 CUSIP: 912828HE3	08/12/09	2,121.46	2,146.26	24.80	21.48	85.00
11,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 108.3167/11,914.84	09/02/09	11,888.35	11,804.43	(83.92)	118.16	468.00
7,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 108.2777/7,579.44	09/25/09	7,531.49	7,511.91	(19.58)	75.19	288.00



U.S. OBLIGATIONS

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year End 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Government Securities							
9,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 108.2812/9,745.31	09/28/09	9,683.01	9,658.17	(24.84)	96.68	383.00
2,000	U.S. TREASURY NOTE 2.625% JUN 30 2014 CUSIP: 912828KY5	09/28/09	2,018.26	2,014.54	(3.72)		53.00
2,000	U.S. TREASURY NOTE 3.875% FEB 15 2013 CUSIP: 912828AU4	01/30/08	2,383.92	2,130.00	46.08	29.06	78.00
1,000	U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 108.4610/1,084.61	05/19/09	1,077.90	1,065.00	(12.90)	14.53	39.00
Total Government Securities			9,1985.63	92,801.51	815.88	1,016.22	3,724.00

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH A/C 04305	7,886.	149,291.
MERRILL LYNCH A/C 04441	204,401.	230,051.
TOTAL TO FORM 990-PF, PART II, LINE 10B	212,287.	379,342.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	61,713.	65,182.
TOTAL TO FORM 990-PF, PART II, LINE 10C	61,713.	65,182.



Merrill Lynch

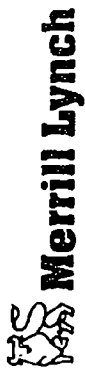
ACCOUNT - 04305

EMA

Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost/ Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
400	LEGGETT&PLATT INC PV1CT	03/01/82	312.50	18,160.00	7,847.50	416.00
800	LEGGETT&PLATT INC PV1CT	10/01/92	5,000.00	16,320.00	11,320.00	832.00
3,000	STARBUCKS CORP	07/07/92	2,085.90	69,180.00	67,094.10	
1,400	WALGREEN CO	10/01/82	450.62	51,408.00	50,957.38	770.00
115	WALGREEN CO	10/01/82	37.01	4,222.80	4,185.79	64.00
Total Equities			7,886.03	139,290.80	141,404.77	2,082.00



Merrill Lynch

ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
7	AT&T INC	11/02/07	279.52	196.21	(83.31)	12.00
25	AT&T INC	11/09/07	986.41	700.75	(285.66)	42.00
34	AT&T INC	03/31/08	1,293.56	953.02	(340.54)	58.00
55	AT&T INC	08/13/08	1,709.70	1,541.65	(168.05)	93.00
46	AT&T INC	11/19/08	1,322.20	1,289.38	107.18	78.00
49	AT&T INC	03/13/09	1,433.50	1,373.47	179.97	83.00
41	AT&T INC	10/09/09	1,033.29	1,149.23	95.94	69.00
7	AMGEN INC COM PV \$0.0001	01/28/09	381.09	395.99	14.90	
13	AMGEN INC COM PV \$0.0001	02/02/09	719.30	735.41	16.11	
12	AMGEN INC COM PV \$0.0001	02/04/09	690.67	678.84	(11.83)	
14	AMGEN INC COM PV \$0.0001	02/25/09	791.91	791.91	0.07	
18	AMGEN INC COM PV \$0.0001	12/18/09	998.58	1,018.26	19.68	
11	ANADARKO PETE CORP	06/02/09	552.93	686.62	133.69	4.00
11	ANADARKO PETE CORP	06/05/09	534.51	686.62	152.11	4.00
12	ANADARKO PETE CORP	06/09/09	582.34	749.04	166.70	5.00
12	ANADARKO PETE CORP	07/15/09	543.34	749.04	205.70	5.00
15	ANADARKO PETE CORP	08/05/09	742.93	935.30	193.37	6.00



Merrill Lynch

ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/08	Unrealized Gain or (Loss)	Est Annual Income
Equities						
1	APACHE CORP	10/04/05	72.99	103.17	30.18	1.00
10	APACHE CORP	03/06/08	608.31	1,031.70	423.39	6.00
9	APACHE CORP	12/13/06	623.16	1,178.53	305.37	6.00
8	APACHE CORP	02/12/07	547.44	825.36	277.92	5.00
13	APACHE CORP	10/06/08	1,141.83	1,341.21	299.38	8.00
8	APACHE CORP	06/30/09	575.28	825.36	249.88	5.00
15	BANK NEW YORK MELLON CORP	12/11/07	750.30	419.55	(330.75)	6.00
32	BANK NEW YORK MELLON CORP	06/16/08	1,341.93	1,350.24	(46.89)	12.00
25	BANK NEW YORK MELLON CORP	08/14/08	904.97	839.95	(205.72)	9.00
30	BANK NEW YORK MELLON CORP	09/18/09	895.22	839.10	(56.12)	11.00
7	BANK NEW YORK MELLON CORP	09/19/08	1,241.41	195.79	(45.82)	3.00
47	BANK NEW YORK MELLON CORP	11/19/08	1,256.75	1,517.59	57.81	17.00
29	BANK NEW YORK MELLON CORP	01/22/09	675.42	846.13	135.71	11.00



ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
2	BAXTER INTERNTL INC	03/02/07	98.53	117.36	18.83	3.00
23	BAXTER INTERNTL INC	07/27/07	1,205.63	1,349.64	144.01	27.00
7	BAXTER INTERNTL INC	11/19/08	382.83	410.76	27.93	9.00
28	BOEING COMPANY	10/08/09	1,455.87	1,515.64	59.77	48.00
6	BOEING COMPANY	10/09/09	315.12	324.78	9.66	11.00
32	BRINKER INTL INC	07/22/09	540.30	477.44	(62.86)	15.00
40	BRINKER INTL INC	07/23/09	660.00	596.80	(63.20)	18.00
38	BRINKER INTL INC	08/06/09	589.56	566.96	(22.60)	17.00
37	BRINKER INTL INC	09/09/09	570.99	552.04	(18.95)	17.00
43	BRINKER INTL INC	11/18/09	609.27	611.56	32.29	19.00
39	BRINKER INTL INC	11/24/09	529.53	581.38	52.35	18.00
29	COMCAST CRP NEW CL A SPL	09/15/08	612.48	484.29	(148.19)	11.00
3	COMCAST CRP NEW CL A SPL	09/15/08	63.96	48.03	(15.33)	2.00
30	COMCAST CRP NEW CL A SPL	10/17/08	451.61	480.30	28.69	12.00
23	COMCAST CRP NEW CL A SPL	11/19/08	317.86	365.33	50.37	9.00
39	COMCAST CRP NEW CL A SPL	06/10/09	528.02	621.39	96.37	15.00
37	COMCAST CRP NEW CL A SPL	07/20/09	504.73	592.37	87.64	14.00



Merrill Lynch

ACCOUNT - 04441

EMM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/03	Unrealized Gain or (Loss)	Est Annual Income
Equities						
87	COMCAST CRP NEW CL A SPL	12/01/03	1,232.13	1,392.87	160.74	33.00
28	CABOT OIL & GAS CORP	12/16/03	1,164.59	1,220.52	55.93	4.00
16	CVS CAREMARK CORP	03/15/06	470.00	515.36	45.36	5.00
17	CVS CAREMARK CORP	12/06/06	514.79	547.57	32.78	6.00
19	CVS CAREMARK CORP	02/12/07	615.98	611.99	(3.99)	6.00
46	CVS CAREMARK CORP	03/20/07	1,526.54	1,481.66	(44.68)	15.00
49	CVS CAREMARK CORP	11/19/08	1,373.47	1,578.29	204.82	15.00
9	CVS CAREMARK CORP	10/09/09	326.97	289.99	(37.08)	3.00
7	CONOCOPHILLIPS	08/24/06	460.88	357.49	(103.39)	14.00
4	CONOCOPHILLIPS	12/13/06	285.92	201.28	(81.64)	8.00
12	CONOCOPHILLIPS	12/19/06	866.90	612.84	(254.06)	24.00
10	CONOCOPHILLIPS	02/12/07	658.00	510.70	(147.30)	20.00
17	CONOCOPHILLIPS	11/19/08	800.53	868.19	67.66	34.00
12	CONOCOPHILLIPS	12/09/08	612.98	812.84	(0.14)	24.00
27	COVIDIEN PLC SHS	08/08/07	1,113.78	1,293.03	179.25	20.00
23	COVIDIEN PLC SHS	09/21/07	987.57	1,101.47	113.90	17.00
30	COVIDIEN PLC SHS	10/15/07	1,248.90	1,436.70	187.80	22.00



ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
19	COVIDIEN PLC SHS	11/19/09	2,681.72	909.91	228.19	14.00
11	DEERE CO	12/11/09	420.80	594.99	174.19	13.00
22	DEERE CO	02/25/09	592.98	89.88	597.00	25.00
19	DEERE CO	03/25/09	642.00	1,027.71	385.71	22.00
12	DEERE CO	07/01/09	492.94	649.08	156.14	14.00
15	DEERE CO	07/15/09	572.91	811.35	238.44	17.00
11	DEERE CO	08/03/09	269.72	594.99	95.27	13.00
15	DEERE CO	11/25/09	785.09	811.35	26.26	17.00
101	E M C CORPORATION MASS	10/14/09	1,844.83	761.47	(80.36)	
11	ENERGY CORP NEW	02/17/09	765.72	909.24	134.52	33.00
7	ENERGY CORP NEW	03/25/09	464.86	572.88	108.02	21.00
9	ENERGY CORP NEW	06/24/09	689.79	736.56	46.77	27.00
7	ENERGY CORP NEW	12/21/09	597.83	572.88	(14.95)	21.00
17	ENERGIZER HLDGS INC COM	07/17/09	855.23	1,041.76	86.53	
9	ENERGIZER HLDGS INC COM	09/10/09	594.12	55.59	(42.60)	
15	ENERGIZER HLDGS INC COM	11/05/09	827.01	918.00	91.99	
3	EXXON MOBIL CORP COM	01/12/04	1,222.11	1,300.57	82.16	6.00



Merrill Lynch

ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value	Unrealized Gain or (Loss)	Est Annual Income
6	EXXON MOBIL CORP COM	06/23/04	272.10	409.14	137.04	11.00
18	EXXON MOBIL CORP COM	09/23/05	821.23	1,091.04	269.81	27.00
11	EXXON MOBIL CORP COM	10/04/05	675.07	750.09	75.02	19.00
4	EXXON MOBIL CORP COM	11/14/05	3226.40	272.76	46.36	7.00
7	EXXON MOBIL CORP COM	12/08/05	413.06	477.33	64.27	12.00
11	EXXON MOBIL CORP COM	05/23/06	675.07	750.09	75.02	19.00
13	EXXON MOBIL CORP COM	08/24/06	872.93	886.47	(26.52)	22.00
16	EXXON MOBIL CORP COM	02/12/07	189.60	1,091.04	(98.58)	27.00
6	EXXON MOBIL CORP COM	10/09/07	550.38	59.14	(141.24)	11.00
18	EXXON MOBIL CORP COM	01/02/08	1,692.90	1,267.22	(465.48)	31.00
12	EXXON MOBIL CORP COM	11/19/08	899.64	818.28	(81.38)	21.00
4	EXXON MOBIL CORP COM	09/22/09	279.67	272.76	(6.91)	7.00
8	EXXON MOBIL CORP COM	10/09/09	1,552.00	545.52	(6.48)	14.00
6	EOG RESOURCES INC	11/17/08	484.97	583.80	98.83	4.00
7	EOG RESOURCES INC	11/25/08	578.39	583.10	102.71	5.00
6	EOG RESOURCES INC	01/06/09	426.52	583.80	158.28	4.00
10	EOG RESOURCES INC	01/23/09	64.97	373.00	325.03	6.00



ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/05	Unrealized Gain or (Loss)	Est Annual Income
Equities						
8	EOG RESOURCES INC	07/09/08	503.19	778.40	275.21	5.00
8	EOG RESOURCES INC	02/15/09	523.75	778.40	254.65	5.00
11	EXELON CORPORATION	03/25/09	485.42	537.57	52.15	24.00
10	EXELON CORPORATION	06/30/09	510.04	488.70	(21.34)	21.00
2	FPL GROUP INC	05/27/08	131.36	105.64	(25.72)	4.00
19	FPL GROUP INC	06/16/08	1,262.56	1,003.58	(258.98)	36.00
16	FPL GROUP INC	11/19/08	718.72	845.12	126.40	31.00
13	FPL GROUP INC	12/14/09	725.13	686.66	(38.47)	25.00
22	FIRSTENERGY CORP	04/04/08	1,604.13	1,021.90	(582.23)	49.00
11	FIRSTENERGY CORP	08/13/08	758.06	510.95	(247.11)	25.00
6	FIRSTENERGY CORP	11/19/08	323.94	278.76	(45.24)	14.00
14	FIRSTENERGY CORP	12/11/09	652.11	650.30	(1.81)	31.00
14	FIRSTENERGY CORP	12/21/09	666.32	650.30	(16.02)	31.00
16	FREERT-MCMRAN CPR & GLD	11/19/08	351.77	284.64	962.87	10.00
10	FREERT-MCMRAN CPR & GLD	11/26/08	240.05	802.90	562.85	6.00
23	FREERT-MCMRAN CPR & GLD	02/17/09	644.27	1,825.57	1,202.40	14.00
82	FOSTER WHEELER AG	12/22/09	2,377.27	2,414.08	36.81	



Merrill Lynch

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EMM Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
31	GENERAL ELECTRIC	03/29/07	1,088.50	469.03	(629.47)	13.00
33	GENERAL ELECTRIC	05/09/07	1,229.58	499.29	(730.29)	14.00
29	GENERAL ELECTRIC	03/12/08	981.55	438.77	(542.88)	12.00
40	GENERAL ELECTRIC	11/19/08	596.80	605.20	8.40	16.00
11	HEWLETT PACKARD CO DEL	01/27/09	592.48	566.61	174.13	4.00
20	HEWLETT PACKARD CO DEL	02/18/09	633.34	1,030.20	346.86	7.00
20	HEWLETT PACKARD CO DEL	03/18/09	579.83	1,030.20	450.37	7.00
15	HEWLETT PACKARD CO DEL	03/26/09	492.59	779.65	280.06	5.00
16	HEWLETT PACKARD CO DEL	04/30/09	590.56	824.16	233.60	6.00
15	INTL BUSINESS MACHINES CORP IBM	08/24/07	1,680.54	1,968.50	282.96	33.00
10	INTL BUSINESS MACHINES CORP IBM	11/27/07	1,021.60	1,309.00	287.40	22.00
4	INTL BUSINESS MACHINES CORP IBM	09/18/09	490.88	523.60	32.72	9.00
6	INTL BUSINESS MACHINES CORP IBM	10/28/09	725.96	783.90	59.41	14.00
7	JPMORGAN CHASE & CO	11/28/07	304.64	291.69	(12.95)	2.00
38	JPMORGAN CHASE & CO	01/25/08	1,682.89	1,589.46	(99.43)	8.00



Merrill Lynch

ACCOUNT - 04441



Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
21	JPMORGAN CHASE & CO	03/03/08	840.00	875.07	35.07	5.00
3	JPMORGAN CHASE & CO	03/11/08	115.70	125.01	9.31	1.00
36	JPMORGAN CHASE & CO	05/05/08	1,728.50	1,500.12	(228.38)	8.00
18	JPMORGAN CHASE & CO	05/14/08	830.57	750.08	(80.51)	4.00
17	JPMORGAN CHASE & CO	06/05/08	717.57	708.39	(9.18)	4.00
44	JPMORGAN CHASE & CO	11/19/08	1,295.36	1,833.48	538.12	9.00
8	JPMORGAN CHASE & CO	11/25/08	232.58	333.36	100.77	2.00
27	JPMORGAN CHASE & CO	01/22/09	593.23	1,125.09	531.86	6.00
27	JPMORGAN CHASE & CO	02/02/09	687.18	1,125.09	437.91	6.00
61	JPMORGAN CHASE & CO	03/06/09	1,058.45	2,541.87	1,483.42	13.00
27	JPMORGAN CHASE & CO	12/17/09	1,095.70	1,125.09	29.39	6.00
22	JOHNSON AND JOHNSON COM	01/25/08	1,379.80	1,417.02	37.22	44.00
14	JOHNSON AND JOHNSON COM	11/19/08	824.74	901.74	77.00	28.00
12	JOHNSON AND JOHNSON COM	12/11/08	699.91	772.92	73.01	24.00
19	JOHNSON AND JOHNSON COM	02/25/09	1,021.75	1,223.79	202.03	38.00
10	JOHNSON AND JOHNSON COM	10/22/09	603.87	643.10	40.23	20.00
13	JOHNSON AND JOHNSON COM	11/11/09	790.39	837.33	46.94	26.00



Merrill Lynch

ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value	Unrealized Gain or (Loss)	Est Annual Income
Equities						
26	LOCKHEED MARTIN CORP	08/06/09	1,932.26	1,959.10	26.84	66.00
7	LOCKHEED MARTIN CORP	10/25/09	544.65	527.45	(17.20)	18.00
4	LOCKHEED MARTIN CORP	10/09/09	300.88		0.72	11.00
44	LINCOLN NTL CORP IND NPV	07/31/09	883.10	1,094.72	211.62	2.00
31	LINCOLN NTL CORP IND NPV	08/03/09	588.74	771.28	82.54	2.00
24	LINCOLN NTL CORP IND NPV	08/05/09	538.30	597.12	47.82	1.00
23	LINCOLN NTL CORP IND NPV	09/08/09	548.45	572.24	23.79	1.00
29	LINCOLN NTL CORP IND NPV	12/21/09	679.73		41.79	2.00
21	METLIFE INC COM	09/26/08	1,158.68	935.35	(416.33)	16.00
72	METLIFE INC COM	11/19/08	1,400.40	2,545.20	1,144.80	54.00
15	METLIFE INC COM	07/27/09	514.19	530.25	16.06	12.00
69	METLIFE INC COM	12/17/09	2,493.21	2,439.15	(54.06)	52.00
135	MACYS INC	11/19/08	814.80	2,262.80	1,447.79	27.00
17	MERCK AND CO INC SHS	06/20/08	\$974.00	\$2,181.18	23.78	26.00
20	MERCK AND CO INC SHS	07/30/09	659.96		70.84	31.00
19	MERCK AND CO INC SHS	08/06/08	660.52	633.26	33.74	29.00
27	MERCK AND CO INC SHS	09/04/08	932.75	966.58	53.83	42.00



Merrill Lynch

ACCOUNT - 04441

EWMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
22	MERCK AND CO INC SHS	11/19/08	572.22	803.88	231.66	34.00
25	MERCK AND CO INC SHS	06/24/08	627.00	913.50	286.50	38.00
19	MCDONALDS CORP COM	08/01/07	909.40	106.36	276.96	42.00
11	NRG ENERGY INC	06/02/08	460.87	259.71	(201.16)	
16	NRG ENERGY INC	06/12/08	689.27	377.76	(311.51)	
18	NRG ENERGY INC	06/18/08	785.90	424.98	(360.92)	
46	NRG ENERGY INC	10/07/08	828.00	1,086.06	258.06	
26	NRG ENERGY INC	11/19/08	530.92	613.86	82.94	
1	NORFOLK SOUTHERN CORP	04/30/09	36.12	52.42	16.30	2.00
79	NORFOLK SOUTHERN CORP	05/21/09	2,779.50	4,141.18	1,361.68	108.00
18	NORFOLK SOUTHERN CORP	07/15/09	721.41	943.59	222.15	25.00
3	OCCIDENTAL PETE CORP CAL	02/12/07	138.96	244.05	107.09	4.00
24	OCCIDENTAL PETE CORP CAL	02/20/07	1,131.96	1,952.40	820.44	32.00
25	OCCIDENTAL PETE CORP CAL	04/27/07	1,282.50	2,033.75	751.25	33.00
16	OCCIDENTAL PETE CORP CAL	10/05/07	1,053.76	1,301.60	247.84	22.00
17	OCCIDENTAL PETE CORP CAL	01/10/08	1,247.17	1,395.65	135.78	23.00
4	OCCIDENTAL PETE CORP CAL	02/01/08	277.62	325.40	47.78	6.00



Merrill Lynch

ACCOUNT - 04441

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/08	Unrealized Gain or (Loss)	Est Annual Income
Equities						
21	OCCIDENTAL PETE CORP CAL	10/02/08	1,305.17	1,708.35	403.18	28.00
12	OCCIDENTAL PETE CORP CAL	11/19/08	562.32	976.20	413.88	16.00
32	OMNICOM GROUP COM	12/15/09	1,207.79	1,545.39	45.01	20.00
63	ORACLE CORP \$0.01 DEL	12/08/09	389.51	1,955.34	155.88	13.00
81	PACTIV CORPORATION	01/10/08	2,028.90	1,955.34	(73.56)	
18	PACTIV CORPORATION	11/19/08	424.42	434.52	10.08	
10	PNC FINCL SERVICES GROUP	11/24/08	488.22	527.90	39.66	4.00
10	PNC FINCL SERVICES GROUP	11/24/08	469.02	527.90	58.88	4.00
11	PNC FINCL SERVICES GROUP	12/08/08	603.53	580.69	(22.84)	5.00
14	PNC FINCL SERVICES GROUP	01/14/09	608.30	789.06	130.76	6.00
5	PNC FINCL SERVICES GROUP	05/12/09	224.40	263.95	39.55	2.00
39	J C PENNEY CO COM	05/05/09	1,251.74	1,037.79	(213.95)	32.00
16	J C PENNEY CO COM	07/24/09	471.71	425.76	(45.95)	13.00
6	PEPSICO INC	11/19/08	317.40	394.80	47.40	11.00
13	PEPSICO INC	12/09/08	689.80	790.49	100.60	24.00
9	PEPSICO INC	09/10/09	519.02	517.20	28.18	17.00
63	PFIZER INC	02/10/09	909.48	1,155.97	236.49	46.00



Merrill Lynch

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EW Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Equities	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
54	PFIZER INC	02/12/09	765.72		982.26	216.54	39.00
39	PFIZER INC	02/17/09	550.29		709.41	159.12	29.00
47	PFIZER INC	02/20/09	646.40		854.93	208.53	34.00
37	PFIZER INC	04/14/09	-492.84		673.03	180.19	27.00
31	PFIZER INC	06/30/09	-466.88		563.89	97.01	23.00
31	PFIZER INC	07/22/09	569.71		563.89	64.18	23.00
5	ROCKWELL AUTOMATION INC	10/30/07	337.61		234.90	(102.71)	6.00
23	ROCKWELL AUTOMATION INC	05/13/08	1,263.21		1,090.54	(182.67)	27.00
33	ROCKWELL AUTOMATION INC	11/19/08	840.84		650.34	709.50	39.00
11	RANGE RESOURCES CORP DEL	04/01/09	463.93		548.35	84.42	2.00
11	RANGE RESOURCES CORP DEL	07/17/09	479.31		548.33	69.04	2.00
20	RANGE RESOURCES CORP DEL	12/02/09	949.01		997.00	47.99	4.00
18	RANGE RESOURCES CORP DEL	12/16/09	872.69		897.30	24.61	3.00
23	REINSURANCE GROUP AMERICA	04/22/09	683.76		1,095.95	412.22	9.00
17	REINSURANCE GROUP AMERICA	05/04/09	514.49		810.93	295.56	7.00
16	REINSURANCE GROUP AMERICA	05/06/09	477.95		752.40	284.45	6.00
10	REINSURANCE GROUP AMERICA	10/06/09	450.59		1,165.50	25.91	4.00



Merrill Lynch

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EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
20	STATE STREET CORP	10/02/07	1,383.49	870.80	(512.69)	1.00
13	STATE STREET CORP	10/08/07	882.97	566.02	(316.95)	1.00
1	STATE STREET CORP	04/15/08	67.41	20.54	(23.87)	1.00
12	STATE STREET CORP	11/19/08	391.20	522.48	131.28	1.00
8	STATE STREET CORP	11/25/08	296.19	348.32	52.13	1.00
11	STATE STREET CORP	07/24/09	534.64	478.94	(55.10)	1.00
23	STATE STREET CORP	09/30/09	203.42	1,001.42	(202.00)	1.00
16	STATE STREET CORP	10/14/09	849.55	696.64	(152.91)	1.00
14	STATE STREET CORP	11/20/09	577.89	509.56	31.67	1.00
49	SOUTHWESTERN ENERGY CO	08/31/09	1,804.73	2,351.80	557.07	1.00
16	SOUTHWESTERN ENERGY CO	12/16/09	725.46	771.20	45.74	48.00
36	TRAVELERS COS INC	10/19/09	1,760.70	1,794.96	34.26	15.00
11	TRAVELERS COS INC	10/27/09	563.62	548.46	(15.16)	1.00
1	TIME WARNER INC SHS	02/11/09	118.56	29.14	10.58	18.00
23	TIME WARNER INC SHS	03/18/09	403.29	570.22	268.93	15.00
20	TIME WARNER INC SHS	04/03/09	407.20	582.80	175.60	23.00
30	TIME WARNER INC SHS	04/27/09	597.91	874.20	276.29	



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EWMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
20	TIME WARNER INC SHS	04/30/09	414.30	582.80	168.50	15.00
8	THERMO FISHER SCIENTIFIC INC	11/19/08	257.05	381.52	124.47	
21	THERMO FISHER SCIENTIFIC INC	12/12/08	659.81	1,001.48	341.68	
28	TIFFANY & CO NEW	11/26/08	559.68	1,204.00	644.32	20.00
28	TIFFANY & CO NEW	12/02/08	521.87	1,204.00	682.13	20.00
51	TEREX CORP DEL NEW COM	10/22/09	1,152.80	1,010.31	(142.49)	
36	UNITEDHEALTH GROUP INC	07/24/09	970.28	1,097.28	127.00	2.00
20	UNITEDHEALTH GROUP INC	08/12/09	556.58	609.60	53.02	1.00
25	UNITEDHEALTH GROUP INC	10/09/09	615.25	753.00	146.75	1.00
23	US BANCORP (NEW)	06/05/08	769.36	517.33	(251.63)	5.00
32	US BANCORP (NEW)	11/19/08	788.48	720.32	(68.16)	7.00
22	US BANCORP (NEW)	11/24/08	548.07	495.22	(52.85)	5.00
12	US BANCORP (NEW)	11/24/08	294.22	270.12	(24.10)	3.00
32	US BANCORP (NEW)	01/14/09	686.40	720.32	33.92	7.00
25	US BANCORP (NEW)	09/16/09	552.51	562.75	10.24	5.00
2	VERIZON COMMUNICATNS COM	12/08/05	60.27	166.26	5.99	4.00



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EMW Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
19	VERIZON COMMUNICATNS COM	01/05/08	572.50	629.47	56.97	37.00
16	VERIZON COMMUNICATNS COM	02/15/08	522.69	530.08	7.39	31.00
17	VERIZON COMMUNICATNS COM	02/15/07	635.73	561.21	(72.52)	33.00
39	VERIZON COMMUNICATNS COM	11/19/08	1,073.67	1,292.07	218.40	75.00
13	VERIZON COMMUNICATNS COM	10/09/09	430.69	430.69	52.00	25.00
52	WABCO HOLDINGS INC	10/19/09	1,185.34	1,341.08	155.74	7.00
14	XTO ENERGY INC	07/10/08	834.73	651.42	(183.31)	6.00
12	XTO ENERGY INC	08/07/08	552.05	558.36	6.31	12.00
24	XTO ENERGY INC	10/06/08	828.43	116.72	288.29	33.00
30	WAL-MART STORES INC	01/29/08	1,450.33	1,603.50	153.17	23.00
21	WAL-MART STORES INC	09/23/08	1,253.49	1,122.45	(131.04)	14.00
12	WAL-MART STORES INC	08/02/09	604.07	641.40	37.33	9.00
8	WAL-MART STORES INC	08/25/09	1,910.03	427.60	8.57	18.00
16	WAL-MART STORES INC	08/11/09	811.83	855.20	43.37	7.00
6	WAL-MART STORES INC	10/09/09	299.28	1,320.10	21.42	12.00
70	XEROX CORP	05/07/09	475.01	534.20	117.19	14.00
77	XEROX CORP	06/12/09	598.51	651.42	114.91	14.00



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EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Annual Income
Equities						
105	XEROX CORP	07/07/09	639.09	888.30	249.21	18.00
63	XEROX CORP	07/22/08	441.00	532.98	91.98	11.00
24	WELLS FARGO & CO NEW DEL	11/19/08	624.00	847.76	223.76	5.00
31	WELLS FARGO & CO NEW DEL	12/24/08	841.79	836.69	(5.10)	7.00
29	WELLS FARGO & CO NEW DEL	02/09/09	564.63	782.71	218.08	6.00
37	WELLS FARGO & CO NEW DEL	02/10/09	614.17	998.63	384.46	8.00
52	WELLS FARGO & CO NEW DEL	03/12/09	634.40	1,403.48	769.08	11.00
6	WELLS FARGO & CO NEW DEL	08/06/09	769.50	181.94	(7.56)	2.00
32	WELLS FARGO & CO NEW DEL	10/27/09	914.85	863.68	(51.17)	7.00
45	WELLS FARGO & CO NEW DEL	12/17/09	1,172.07	1,314.65	42.48	9.00
Total Equities			204,400.86	230,051.09	25,650.15	4,281.00



CORPORATE BONDS

Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year End	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000	AMERICAN EXPRESS GLB 06.150% AUG 28 2017	08/30/07	2,029.40	2,090.20	60.80	41.00	123.00
2,000	ASTRAZENECA PLC GLB 05.900% SEP 15 2017	09/13/07	2,013.22	2,222.34	209.12	34.74	118.00
4,000	ABBOTT LABORATORIES GLB 05.875% MAY 15 2016	12/13/07	4,106.38	4,411.96	305.58	30.03	235.00



Merrill Lynch

CORPORATE BONDS

EMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
3,000	BANK OF AMERICA CORP SUBORDINATED 05.250% DEC 01 2015	02/26/08	2,991.99	3,018.75	26.76	13.13	158.00
1,000	BEAR STEARNS CO INC GLB 05.350% FEB 01 2012	09/12/07	1,000.20	1,062.57	62.37	22.29	54.00
1,000	BEAR STEARNS CO INC ORIGINAL UNIT/TOTAL COST 100.4420/1,004.42	02/15/07	1,001.99	1,062.57	60.58	22.29	54.00
2,000	BOEING CO GLB 05.125% FEB 15 2013	06/19/06	1,934.38	2,137.70	203.32	38.72	103.00
2,000	IBM CORP GLB 04.750% NOV 29 2012	02/27/08	2,040.68	2,155.68	108.00	8.44	95.00
2,000	CVS CAREMARK CORP 05.750% JUN 01 2017 MOODY'S: BAA2 S&P: BBB+	08/06/07	1,927.23	2,110.82	183.59	9.58	115.00
2,000	COMCAST CORP COMPANY GUARNT GLB 06.300% NOV 15 2017	09/29/07	2,020.55	2,188.44	167.89	16.10	126.00
2,000	CISCO SYSTEMS INC GLB 04.950% FEB 15 2019	10/15/09	2,091.00	2,050.92	(40.98)	37.40	99.00



Merrill Lynch

CORPORATE BONDS

EWMA Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value 12/31/09	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
2,000	EXELON CORP 04.900% JUN 15 2015 MOODY'S: BAA1 S&P: BBB-	10/17/07	1,881.46	2,063.20	181.74	4.36	98.00
3,000	GOLDMAN SACHS GROUP INC GLB 05.125% JAN 15 2015	08/04/05	2,999.89	3,152.25	152.36	70.90	154.00
1,000	GOLDMAN SACHS GROUP INC						
3,000	JOHN DEERE CAPITAL CORP GLB 07.000% MAR 15 2012	02/15/07	3,977.61	1,050.75	73.14	23.63	52.00
		01/17/08	3,184.51	3,328.02	143.51	61.83	210.00
3,000	MARATHON OIL CORP 06.000% OCT 01 2017 MOODY'S: BAA1 S&P: BBB+	09/26/07	3,002.00	3,173.40	171.40	45.00	180.00
2,000	NEWS AMERICA INC COMPANY GUARNT 05.300% DEC 15 2014	05/03/07	1,989.26	2,161.60	172.54	4.71	106.00
2,000	PROCTER & GAMBLE CO GLB 04.600% JAN 15 2014	12/18/08	2,065.28	2,129.56	64.28	42.42	92.00
2,000	Pfizer Inc. 6.20% DUE 3/15/2019 MOODY'S: A1 S&P: AA	11/01/09	2,240.76	2,293.24	(17.55)	36.51	124.00
1,000	SIMON PROPERTY GROUP LP GLB 05.750% DEC 01 2015	04/26/07	1,013.90	1,019.75	6.45	4.79	58.00



Merrill Lynch

CORPORATE BONDS

EW

Fiscal Statement

CURRENT PORTFOLIO SUMMARY

Quantity	Security Description	Date Acquired	Total/Adj Cost Basis	Fiscal Year Value: 12/31/03	Unrealized Gain or (Loss)	Est Accrued Interest	Est Annual Income
Corporate Bonds							
3,000	US BANK NA SUBD SER BKNT GLB 04.800% APR 15 2015	09/29/06	2,893.08	3,147.99	254.91	30.40	144.00
3,000	WACHOVIA BANK NA SUBORDINATED GLB 05.600% MAR 15 2016	04/24/07	3,034.66	3,088.84	33.98	49.47	168.00
3,000	WAL-MART STORES INC GLB 05.800% FEB 15 2018	05/13/08	3,159.87	3,329.25	169.38	65.73	174.00
2,000	XTO ENERGY INC 06.250% AUG 01 2017 MOODY'S: BAA2 S&P: BBB	10/16/07	2,049.19	2,264.68	215.49	52.08	125.00
2,000	USD CAN NATURAL RES 5.700% MAY 15 2017 MOODY'S: BAA2 S&P: BBB	10/16/07	1,984.44	2,136.80	172.36	14.57	114.00
3,000	GLAXOSMITHKLINE CAPITAL COMPANY GUARNT GLB 05.650% MAY 15 2018	05/09/08	3,035.44	3,235.77	200.33	21.66	170.00
3,000	GENERAL ELEC CAP CORP SER MTN GLB 05.250% OCT 19 2012	05/01/08	3,065.27	3,192.66	127.42	31.50	158.00
Total Corporate Bonds			61,713.04	65,181.83	3,468.77	833.28	3,407.00



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2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
FEDERAL NATL MTG ASSN	7000.0000\$	10/15/08	06/15/09	(124.16)(A)	(177.87)(A)	7,000.00	7,000.00(C)	0.00
US TSY 3.125% MAY 15 201	3000.0000	07/31/09	10/07/09			2,986.88	2,906.26	80.62
US TSY 3.875% SEP 15 201	1000.0000\$	03/19/09	10/16/09	(18.55)(A)	(18.55)(A)	1,031.68	1,029.07(C)	2.61
US TSY 4.500% MAY 15 201	3000.0000\$	05/19/09	10/07/09	(14.21)(A)	(14.21)(A)	3,329.06	3,316.73(C)	12.33
ABBOTT LABS	12.0000	03/25/09	06/24/09			563.01	543.94	19.07
	9.0000	03/25/09	06/30/09			422.39	407.97	14.42
	11.0000	04/20/09	06/30/09			516.26	487.30	28.96
Security Subtotal						1,501.66	1,439.21	62.45
AETNA INC NEW	14.0000	11/19/08	01/07/09			401.25	306.88	94.37
ACCENTURE PLC SHS	21.0000	06/17/09	10/26/09			794.94	673.86	121.08
	18.0000	06/17/09	10/28/09			671.39	577.61	93.78
Security Subtotal						1,466.33	1,251.47	214.86



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2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
AOL INC	2.0000	03/18/09	12/16/09			50.21	28.31	21.90
	3.0000	04/27/09	12/16/09			75.32	41.98	33.34
	2.0000	04/30/09	12/16/09			50.22	29.09	21.13
						<u>175.75</u>	<u>99.38</u>	<u>76.37</u>
AMGEN INC COM PV \$0.0001	6.0000	01/27/09	07/24/09			362.75	316.71	46.04
	2.0000	01/28/09	07/24/09			120.92	108.87	12.05
	4.0000	01/28/09	10/15/09			245.23	217.75	27.48
						<u>728.90</u>	<u>643.33</u>	<u>85.57</u>
ANADARKO PETE CORP	13.0000	02/26/09	08/31/09			684.23	478.74	205.49
	12.0000	02/26/09	09/22/09			755.02	441.93	313.09
						<u>1,439.25</u>	<u>920.67</u>	<u>518.58</u>
CONSTELLATION BRANDS INC	56.0000	01/13/09	01/28/09			851.29	839.16	12.13
DARDEN RESTAURANTS INC	19.0000	08/22/08	03/18/09			665.36	635.12	30.24
	5.0000	08/22/08	04/01/09			172.58	167.14	5.44
	14.0000	09/02/08	04/01/09			483.23	436.37	46.86
	7.0000	09/02/08	04/23/09			278.49	218.20	60.29
	3.0000	09/22/08	04/23/09			119.36	89.99	29.37
	4.0000	09/02/08	04/24/09			163.99	124.67	39.32
	12.0000	09/22/08	04/29/09			446.67	359.99	86.68
	14.0000	09/22/08	04/30/09			531.62	420.00	111.62
	1.0000	11/19/08	04/30/09			37.98	15.15	22.83
	19.0000	11/19/08	07/22/09			652.47	287.85	364.62
	19.0000	11/19/08	07/23/09			648.59	287.85	360.74
						<u>4,200.34</u>	<u>3,042.33</u>	<u>1,158.01</u>
DEERE CO	6.0000	12/08/08	05/12/09			264.80	219.92	44.88
	8.0000	12/08/08	06/04/09			371.28	293.23	78.05
	4.0000	12/11/08	06/04/09			185.64	153.01	32.63
						<u>821.72</u>	<u>666.16</u>	<u>155.56</u>
ENTERGY CORP NEW	3.0000	02/17/09	10/15/09			240.65	208.82	31.83



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2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
EATON CORP	8.0000	11/19/08	08/07/09		437.17	328.64	108.53
EOG RESOURCES INC	4.0000	11/17/08	10/15/09		371.95	323.31	48.64
EXELON CORPORATION	6.0000	03/25/09	12/21/09		298.17	264.77	33.40
FREERT-MCMRAN CPR & GLD	2.0000	10/06/08	06/22/09		93.43	84.24	9.19
	3.0000	10/06/08	08/12/09		189.50	126.38	63.12
	8.0000	11/19/08	08/12/09		505.37	160.87	344.50
					788.30	371.49	416.81
GENERAL MILLS	9.0000	03/06/09	07/09/09		526.35	453.05	73.30
	2.0000	03/20/09	07/09/09		116.97	95.01	21.96
	7.0000	03/20/09	08/03/09		407.33	332.57	74.76
	10.0000	04/01/09	08/03/09		581.91	502.64	79.27
					1,632.56	1,383.27	249.29
GENZYME CORPORATION	10.0000	03/03/09	10/08/09		562.39	526.29	36.10
HEWLETT PACKARD CO DEL	5.0000	01/27/09	10/15/09		239.24	178.40	60.84
INTEL CORP	22.0000	12/17/08	09/18/09		431.40	338.14	93.26
	16.0000	12/22/08	09/18/09		313.76	230.18	83.58
	23.0000	12/22/08	10/14/09		483.47	330.89	152.58
	49.0000	02/23/09	10/14/09		1,030.02	599.27	430.75
					2,258.65	1,498.48	760.17
KRAFT FOODS INC VA CL A	21.0000	02/06/09	09/08/09		561.12	550.22	10.90
	1.0000	02/06/09	09/09/09		26.60	26.21	0.39
	24.0000	03/17/09	09/09/09		638.48	551.02	87.46
	2.0000	03/17/09	11/05/09		53.79	45.92	7.87
	18.0000	05/07/09	11/05/09		484.12	453.92	30.20
					1,764.11	1,627.29	136.82
LOCKHEED MARTIN CORP	4.0000	08/06/09	10/15/09		298.75	297.27	1.48



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HABERMAN/FAMILY/FOUNDATION

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MACYS INC	2.0000	11/19/08	10/15/09			40.14	12.07	28.07
MARSH & MCLENNAN COS INC	32.0000	11/20/08	08/06/09			720.17	716.29	3.88
	24.0000	11/20/08	10/14/09			605.28	537.23	68.05
	1.0000	11/21/08	10/14/09			25.22	21.96	3.26
	24.0000	11/21/08	10/15/09			603.33	527.16	76.17
		Security Subtotal				1,954.00	1,802.64	151.36
NORFOLK SOUTHERN CORP	16.0000	02/19/09	06/04/09			644.11	552.09	92.02
	16.0000	02/25/09	06/04/09			644.11	514.22	129.89
	17.0000	04/27/09	06/04/09			684.37	612.70	71.67
	12.0000	04/29/09	06/04/09			483.09	427.42	55.67
	8.0000	04/30/09	06/04/09			322.07	288.92	33.15
	6.0000	04/30/09	10/15/09			288.83	216.70	72.13
		Security Subtotal				3,066.58	2,612.05	454.53
ORACLE CORP \$0.01 DEL	36.0000	10/28/08	05/07/09			677.86	564.48	113.38
PETSMART INC	17.0000	12/08/08	02/17/09			325.11	303.31	21.80
	10.0000	12/08/08	06/04/09			207.12	178.43	28.69
	39.0000	12/11/08	06/04/09			807.81	666.97	140.84
	5.0000	12/11/08	10/15/09			126.39	85.51	40.88
	9.0000	05/21/09	10/15/09			227.52	185.48	42.04
	15.0000	05/21/09	11/20/09			383.26	309.14	74.12
	23.0000	05/21/09	11/24/09			592.04	474.02	118.02
	25.0000	05/21/09	12/01/09			651.46	515.25	136.21
	20.0000	07/01/09	12/01/09			521.17	436.78	84.39
		Security Subtotal				3,841.88	3,154.89	686.99
PG&E CORP	18.0000	07/06/09	12/11/09			805.64	690.23	115.41
	9.0000	07/06/09	12/14/09			406.16	345.12	61.04
	5.0000	07/29/09	12/14/09			225.65	196.58	29.07
	15.0000	07/29/09	12/15/09			671.93	589.74	82.19
		Security Subtotal				2,109.38	1,821.67	287.71



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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PARKER HANNIFIN CORP	34.0000	08/07/09	12/22/09			1,864.72	1,656.67	208.05
PEPSICO INC	11.0000	10/28/08	07/15/09			626.65	583.38	43.27
	4.0000	10/28/08	07/17/09			227.65	212.14	15.51
	5.0000	10/31/08	07/17/09			284.56	284.03	0.53
	10.0000	11/19/08	07/17/09			569.13	529.00	40.13
Security Subtotal						1,707.99	1,608.55	99.44
PFIZER INC	20.0000	02/10/09	10/15/09			348.79	288.72	60.07
RANGE RESOURCES CORP DEL	18.0000	03/09/09	08/31/09			868.49	662.22	206.27
	4.0000	04/01/09	10/15/09			225.51	168.70	56.81
Security Subtotal						1,094.00	830.92	263.08
TJX COS INC NEW	12.0000	11/05/08	06/02/09			369.38	316.74	52.64
	10.0000	11/10/08	06/02/09			307.82	242.74	65.08
	17.0000	11/10/08	06/10/09			517.11	412.66	104.45
	4.0000	11/10/08	07/01/09			125.99	97.10	28.89
	17.0000	11/19/08	07/01/09			535.49	317.56	217.93
Security Subtotal						1,855.79	1,386.80	468.99
TIME WARNER INC SHS	1.0000	02/11/09	10/19/09			31.39	19.88	11.51
	27.0000	02/11/09	10/19/09			847.77	536.48	311.29
Security Subtotal						879.16	556.36	322.80
TIME WARNER CABLE INC	6.0000	03/18/09	04/03/09			157.24	142.20	15.04
THERMO FISHER SCIENTIFIC	1.0000	10/17/08	09/01/09			44.76	44.71	0.05
	14.0000	11/19/08	09/01/09			626.64	449.81	176.83
Security Subtotal						671.40	494.52	176.88
TIFFANY & CO NEW	8.0000	11/26/08	04/27/09			225.31	159.90	65.41
XEROX CORP	85.0000	05/07/09	09/17/09			814.83	576.79	238.04



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WELLS FARGO & CO NEW DEL	7.0000	11/06/08	10/15/09		218.73	202.31	16.42
	6.0000	11/19/08	10/15/09		187.50	156.00	31.50
Security Subtotal					406.23	358.31	47.92
WILLIS GROUP HLDINGS LTD	3.0000	01/23/09	10/19/09		81.90	72.04	9.86
	14.0000	01/23/09	10/19/09		382.23	336.14	46.09
	3.0000	01/23/09	10/27/09		78.27	72.04	6.23
	11.0000	02/12/09	10/27/09		287.00	277.74	9.26
	11.0000	02/20/09	10/27/09		287.00	251.68	35.32
	18.0000	02/27/09	10/27/09		469.64	394.32	75.32
Security Subtotal					1,586.04	1,403.96	182.08
Short Term Capital Gains Subtotal					58,127.39	49,900.18	8,227.21

HARTFORD FINL SVC 6.30% 1	1000.0000\$	05/13/08	02/26/09	(0.40)(A)	(1.87)(A)	1,028.17(C)	(385.67)
	1000.0000\$	05/13/08	03/03/09	(0.42)(A)	(1.88)(A)	1,028.17(C)	(450.67)
	1000.0000\$	05/13/08	03/06/09	(0.46)(A)	(1.92)(A)	1,028.14(C)	(475.64)
Security Subtotal					1,772.50	3,084.48	(1,311.98)
AETNA INC NEW	14.0000	03/12/08	01/07/09		401.24	617.19	(215.95)
AOL INC	1.0000	04/03/09	12/16/09		25.10	28.59	(3.49)
AMGEN INC COM PV \$0.0001	8.0000	01/27/09	05/12/09		389.36	422.27	(32.91)
CONSTELLATION BRANDS INC	10.0000	01/13/09	03/09/09		125.76	149.86	(24.10)
	35.0000	01/14/09	03/09/09		440.19	519.45	(79.26)
Security Subtotal					565.95	669.31	(103.36)
DARDEN RESTAURANTS INC	12.0000	08/12/08	02/10/09		326.47	435.53	(109.06)
	12.0000	08/12/08	03/18/09		420.22	435.54	(15.32)
Security Subtotal					746.69	871.07	(124.38)

SHORT TERM CAPITAL LOSSES



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EATON CORP	5.0000	04/10/08	02/19/09			205.50	400.93	(195.43)
FPL GROUP INC	13.0000	05/23/08	02/17/09			635.46	869.68	(234.22)
	5.0000	05/23/08	05/12/09			286.76	334.49	(47.73)
Security Subtotal						922.22	1,204.17	(281.95)
FIRSTENERGY CORP	5.0000	04/04/08	01/29/09			259.65	364.57	(104.92)
FREERT-MCMRAN CPR & GLD	6.0000	07/01/08	06/22/09			280.26	693.72	(413.46)
GENZYME CORPORATION	12.0000	12/03/08	10/08/09			674.85	757.56	(82.71)
INTEL CORP	17.0000	12/17/08	05/12/09			259.95	261.29	(1.34)
KRAFT FOODS INC VA CL A	18.0000	07/15/09	11/05/09			484.12	491.22	(7.10)
METLIFE INC COM	18.0000	05/21/08	04/01/09			419.40	1,070.58	(651.18)
	16.0000	06/12/08	04/01/09			372.80	926.44	(553.64)
	17.0000	07/31/08	04/01/09			396.10	874.73	(478.63)
	6.0000	09/26/08	04/01/09			139.81	331.05	(191.24)
	22.0000	09/26/08	04/27/09			631.78	1,213.85	(582.07)
Security Subtotal						1,959.89	4,416.55	(2,456.76)
MACYS INC	25.0000	05/07/08	05/07/09			325.89	637.96	(312.07)
MARSH & MCLENNAN COS INC	25.0000	11/20/08	07/08/09			468.61	559.60	(90.99)
MERCK&CO INC	28.0000	06/20/08	02/10/09			844.49	983.94	(139.45)
NEW YORK CMINTY BANCORP	35.0000	03/05/08	01/22/09			417.30	572.16	(154.86)
	32.0000	03/11/08	01/22/09			381.54	523.89	(142.35)
	9.0000	10/08/08	01/22/09			107.31	136.98	(29.67)
	3.0000	10/08/08	01/23/09			35.81	45.66	(9.85)
	45.0000	11/19/08	01/23/09			537.30	591.75	(54.45)
Security Subtotal						1,479.26	1,870.44	(391.18)



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NOKIA CORP SPON ADR	32.0000	04/29/08	02/18/09		356.82	951.04	(594.22)
	9.0000	10/06/08	02/18/09		100.36	144.92	(44.56)
	59.0000	10/06/08	02/25/09		596.72	950.10	(353.38)
Security Subtotal					1,053.90	2,046.06	(992.16)
ORACLE CORP \$0.01 DEL	32.0000	10/27/08	03/18/09		502.41	510.81	(8.40)
PNC FINCL SERVICES GROUP	10.0000	10/27/08	10/15/09		458.78	599.92	(141.14)
PRAXAIR INC	6.0000	09/26/08	02/12/09		400.22	446.51	(46.29)
	7.0000	09/26/08	02/17/09		461.14	520.93	(59.79)
Security Subtotal					861.36	967.44	(106.08)
RAYTHEON CO DELAWARE NEW	12.0000	10/07/08	08/06/09		559.93	629.45	(69.52)
	15.0000	11/19/08	08/06/09		699.93	703.50	(3.57)
Security Subtotal					1,259.86	1,332.95	(73.09)
SEMPRA ENERGY	18.0000	04/01/08	03/25/09		762.23	961.77	(199.54)
TJX COS INC NEW	24.0000	11/04/08	03/02/09		540.21	632.16	(91.95)
	2.0000	11/05/08	03/02/09		45.02	52.78	(7.76)
Security Subtotal					585.23	684.94	(99.71)
TIME WARNER CABLE INC	7.0000	02/11/09	04/03/09		183.43	189.86	(6.43)
THERMO FISHER SCIENTIFIC	17.0000	09/11/08	04/07/09		608.42	958.45	(350.03)
	6.0000	09/11/08	08/06/09		265.98	338.28	(72.30)
	12.0000	10/02/08	08/06/09		531.96	618.55	(86.59)
	2.0000	10/17/08	08/06/09		88.67	89.42	(0.75)
Security Subtotal					1,495.03	2,004.70	(509.67)



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TEREX CORP DEL NEW COM	15.0000	05/20/08	02/26/09		126.09	1,108.59	(982.50)
	19.0000	07/16/08	02/26/09		159.71	871.56	(711.85)
	15.0000	08/07/08	02/26/09		126.09	687.25	(561.16)
	21.0000	09/05/08	02/26/09		176.54	782.97	(606.43)
Security Subtotal					588.43	3,450.37	(2,861.94)
ZIMMER HOLDINGS INC COM	11.0000	04/15/08	01/14/09		443.83	847.15	(403.32)
	7.0000	05/15/08	01/14/09		282.44	481.78	(199.34)
	10.0000	05/15/08	01/27/09		399.10	688.27	(289.17)
	5.0000	07/23/08	01/27/09		199.55	332.01	(132.46)
	9.0000	07/23/08	02/26/09		347.41	597.63	(250.22)
	13.0000	09/18/08	02/26/09		501.82	854.20	(352.38)
	7.0000	10/17/08	02/26/09		270.22	395.32	(125.10)
Security Subtotal					2,444.37	4,196.36	(1,751.99)
WELLS FARGO & CO NEW DEL	16.0000	11/06/08	05/12/09		407.53	462.42	(54.89)
WILLIS GROUP HLDINGS LTD	10.0000	09/23/08	05/12/09		261.20	315.92	(54.72)
Short Term Capital Losses Subtotal					22,929.29	36,058.48	(13,129.19)
NET SHORT TERM CAPITAL GAIN (LOSS)							(4,901.98)

LONG TERM CAPITAL GAINS

SBC COMMUN 4.12%SEPT5 09	3000.0000	08/04/05	09/15/09		3,000.00	2,935.58	64.42
	1000.0000	02/15/07	09/15/09		1,000.00	975.34	24.66
Security Subtotal					4,000.00	3,910.92	89.08
FEDERAL NATL MTG ASSOC	4000.0000	03/31/08	10/16/09	(21.12)(A)	4,388.52	4,237.09(C)	151.43



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APACHE CORP	4.0000	08/09/05	05/12/09			324.28	277.24	47.04
	7.0000	08/09/05	06/02/09			595.66	485.17	110.49
	8.0000	08/09/05	06/05/09			661.93	554.50	107.43
	3.0000	10/04/05	10/15/09			307.22	218.97	88.25
Security Subtotal						1,889.09	1,535.88	353.21
BANK OF AMERICA CORP	145.0000	11/19/08	12/17/09			2,209.75	1,960.39	249.36
BAXTER INTERNTL INC	1.0000	02/07/06	02/02/09			58.38	35.57	22.81
	11.0000	06/30/06	02/02/09			642.20	404.05	238.15
	6.0000	06/30/06	02/20/09			347.19	220.39	126.80
	1.0000	06/30/06	03/25/09			49.11	36.74	12.37
	14.0000	07/05/06	03/25/09			687.61	519.84	167.77
	6.0000	02/12/07	05/12/09			308.05	299.17	8.88
	16.0000	03/02/07	10/27/09			881.03	788.16	92.87
Security Subtotal						2,973.57	2,303.92	669.65
CVS CAREMARK CORP	13.0000	11/09/06	05/12/09			427.43	383.00	44.43
	9.0000	11/13/06	05/12/09			295.92	263.33	32.59
	10.0000	11/13/06	09/11/09			364.71	292.60	72.11
	12.0000	11/13/06	10/15/09			452.02	351.13	100.89
	4.0000	11/15/06	10/15/09			150.68	117.50	33.18
Security Subtotal						1,690.76	1,407.56	283.20
CISCO SYSTEMS INC COM	7.0000	11/06/08	11/18/09			167.16	119.63	47.53
	10.0000	11/06/08	12/08/09			239.05	170.90	68.15
	39.0000	11/19/08	12/08/09			932.34	604.11	328.23
Security Subtotal						1,338.55	894.64	443.91
EXXON MOBIL CORP COM	3.0000	05/01/02	01/23/09			230.42	119.85	110.57
	3.0000	05/01/02	05/04/09			205.94	119.85	86.09
	11.0000	11/22/02	05/04/09			755.13	381.51	373.62
	12.0000	11/22/02	10/15/09			873.21	416.21	457.00
	4.0000	01/12/04	10/15/09			291.08	163.19	127.89
Security Subtotal						2,355.78	1,200.61	1,155.17



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FREEPRT-MCMRAN CPR & GLD	9.0000	11/19/08	12/04/09			721.52	180.99	540.53
INTL BUSINESS MACHINES	1.0000	11/14/05	06/17/09			107.37	84.26	23.11
	3.0000	02/12/07	06/17/09			322.13	295.80	26.33
Security Subtotal						429.50	380.06	49.44
JPMORGAN CHASE & CO	6.0000	11/28/07	10/15/09			281.03	261.11	19.92
MACYS INC	10.0000	07/17/08	10/15/09			200.69	180.25	20.44
MCDONALDS CORP COM	8.0000	08/01/07	01/09/09			487.16	382.90	104.26
OCCIDENTAL PETE CORP CAL	6.0000	05/22/06	02/26/09			318.28	276.61	41.67
	1.0000	05/23/06	02/26/09			53.05	47.85	5.20
	14.0000	05/23/06	05/12/09			879.32	669.93	209.39
	3.0000	05/23/06	08/05/09			212.45	143.56	68.89
	8.0000	01/19/07	08/05/09			566.55	358.51	208.04
	5.0000	01/19/07	10/15/09			415.58	224.08	191.50
	4.0000	02/12/07	10/15/09			332.48	182.59	149.89
Security Subtotal						2,777.71	1,903.13	874.58
PACTIV CORPORATION	9.0000	01/10/08	10/15/09			246.23	225.43	20.80
PRAXAIR INC	9.0000	01/04/07	02/02/09			556.44	534.93	21.51
	6.0000	01/04/07	02/04/09			389.94	356.62	33.32
	2.0000	02/12/07	02/04/09			129.99	127.34	2.65
	1.0000	02/12/07	02/12/09			66.70	63.67	3.03
Security Subtotal						1,143.07	1,082.56	60.51
PROCTER & GAMBLE CO	11.0000	04/04/05	07/15/09			596.29	588.63	7.66
	2.0000	04/04/05	07/27/09			111.19	107.03	4.16
	11.0000	04/20/05	07/27/09			611.59	586.94	24.65
	3.0000	04/20/05	08/25/09			161.36	160.08	1.28
	10.0000	07/14/06	09/10/09			560.59	554.60	5.99
Security Subtotal						2,041.02	1,997.28	43.74



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STARBUCKS CORP	1000.0000	07/07/92	10/09/09		20,191.28	695.30	19,495.98
THERMO FISHER SCIENTIFIC	11.0000	11/19/08	12/18/09		532.52	353.43	179.09
VERIZON COMMUNICATNS COM	15.0000	12/08/05	12/15/09		499.20	451.95	47.25
XTO ENERGY INC	5.0000	09/06/07	12/16/09		239.09	229.39	9.70
WAL-MART STORES INC	6.0000	01/29/08	05/12/09		307.26	290.06	17.20
	8.0000	01/29/08	10/15/09		404.62	386.75	17.87
Security Subtotal					711.88	676.81	35.07
Long Term Capital Gains Subtotal					51,347.92	26,451.60	24,896.32

LONG TERM CAPITAL LOSSES

METLIFE INC 5.37%DEC15 12	1000.0000	05/31/06	03/13/09		935.99	980.34	(44.35)
	2000.0000	02/15/07	03/13/09	(0.75)(A)	1,872.01	2,014.44(C)	(142.43)
Security Subtotal					2,808.00	2,994.78	(186.78)
HSBC FINANCE CORP	2000.0000	02/15/07	06/12/09	(8.05)(A)	2,017.26	2,066.16(C)	(48.90)
AT&T INC	14.0000	03/09/07	05/12/09		356.57	512.62	(156.05)
	20.0000	03/13/07	05/12/09		509.40	742.81	(233.41)
	7.0000	03/13/07	10/15/09		180.38	259.99	(79.61)
	33.0000	03/22/07	10/15/09		850.39	1,280.40	(430.01)
	1.0000	11/02/07	10/15/09		25.77	39.93	(14.16)
	20.0000	11/02/07	10/26/09		527.07	798.60	(271.53)
Security Subtotal					2,449.58	3,634.35	(1,184.77)
BANK OF AMERICA CORP	6.0000	10/24/05	04/14/09		66.96	258.35	(191.39)
	12.0000	06/12/06	04/14/09		133.92	586.93	(453.01)
	13.0000	08/24/06	04/14/09		145.08	682.11	(537.03)
	19.0000	08/13/07	04/14/09		212.04	929.99	(717.95)



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		Cover of Short	Short Sale	Year-to-Date	Life-to-Date	Year-to-Date	Life-to-Date			
BANK OF AMERICA CORP	23.0000	01/10/08	04/14/09					256.70	890.10	(633.40)
	47.0000	01/10/08	12/17/09					716.26	1,818.90	(1,102.64)
	19.0000	04/04/08	12/17/09					289.55	757.53	(467.98)
	7.0000	04/04/08	12/17/09					106.67	279.30	(172.63)
	25.0000	10/09/08	12/17/09					380.99	526.85	(145.86)
Security Subtotal								2,308.17	6,730.06	(4,421.89)
BANK NEW YORK MELLON	19.0000	03/01/07	02/13/09					490.28	855.07	(364.79)
	2.0000	10/09/07	02/13/09					51.61	90.14	(38.53)
	15.0000	10/09/07	09/30/09					433.25	676.05	(242.80)
	13.0000	11/07/07	09/30/09					375.49	611.32	(235.83)
	5.0000	12/11/07	09/30/09					144.43	250.10	(105.67)
Security Subtotal								1,495.06	2,482.68	(987.62)
BAXTER INTERNTL INC	3.0000	02/12/07	03/25/09					147.35	149.57	(2.22)
COMCAST CRP NEW CL A SPL	61.0000	09/02/08	10/19/09					885.96	1,322.46	(436.50)
	3.0000	09/15/08	10/19/09					43.58	63.36	(19.78)
Security Subtotal								929.54	1,385.82	(456.28)
CVS CAREMARK CORP	11.0000	11/03/06	02/19/09					315.14	321.72	(6.58)
	9.0000	11/09/06	02/19/09					257.85	265.14	(7.29)
Security Subtotal								572.99	586.86	(13.87)
CONOCOPHILLIPS	6.0000	04/12/06	06/09/09					275.58	405.98	(130.40)
	8.0000	04/18/06	06/09/09					367.45	560.25	(192.80)
Security Subtotal								643.03	966.23	(323.20)
COVIDIEN PLC SHS	2.0000	07/09/07	07/24/09					73.86	85.75	(11.89)
	15.0000	07/09/07	07/24/09					554.00	643.07	(89.07)
	5.0000	08/08/07	07/24/09					184.67	206.25	(21.58)
Security Subtotal								812.53	935.07	(122.54)



HABERMAN/FAMILY/FOUNDATION

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2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CISCO SYSTEMS INC COM							
	6.0000	02/12/07	03/26/09		102.47	164.76	(62.29)
	18.0000	01/10/08	03/26/09		307.44	469.54	(162.10)
	27.0000	01/10/08	04/06/09		464.39	704.31	(239.92)
	28.0000	01/10/08	06/17/09		539.46	730.40	(190.94)
	21.0000	01/10/08	07/07/09		383.45	547.81	(164.36)
	9.0000	03/05/08	07/07/09		164.34	218.87	(54.53)
	12.0000	03/05/08	10/15/09		290.03	291.84	(1.81)
	16.0000	03/05/08	11/18/09		382.06	389.13	(7.07)
		Security Subtotal			2,633.64	3,516.66	(883.02)
EATON CORP							
	7.0000	04/10/08	05/12/09		322.81	561.30	(238.49)
	10.0000	04/10/08	06/04/09		473.43	801.87	(328.44)
	1.0000	04/11/08	06/04/09		47.35	77.72	(30.37)
	7.0000	04/11/08	07/15/09		308.49	544.09	(235.60)
	23.0000	05/09/08	07/15/09		1,013.62	1,983.86	(970.24)
	4.0000	05/20/08	07/15/09		176.29	355.37	(179.08)
	7.0000	05/20/08	08/07/09		382.51	621.92	(239.41)
	13.0000	07/15/08	08/07/09		710.38	934.48	(224.10)
		Security Subtotal			3,434.88	5,880.61	(2,445.73)
EXELON CORPORATION							
	19.0000	09/16/08	12/21/09		944.19	1,208.30	(264.11)
FPL GROUP INC							
	6.0000	05/23/08	06/24/09		345.80	401.39	(55.59)
	7.0000	05/23/08	07/06/09		384.80	468.31	(83.51)
	7.0000	05/27/08	07/06/09		384.81	459.75	(74.94)
	5.0000	05/27/08	10/15/09		268.79	328.39	(59.60)
		Security Subtotal			1,384.20	1,657.84	(273.64)
FIRSTENERGY CORP							
	19.0000	04/04/08	07/29/09		787.20	1,385.38	(598.18)
FREERT-MCMRAN CPR & GLD							
	5.0000	03/23/07	03/12/09		181.37	308.04	(126.67)
	12.0000	03/23/07	03/13/09		450.28	739.32	(289.04)
	6.0000	08/16/07	03/13/09		225.15	427.76	(202.61)
	9.0000	08/16/07	03/17/09		321.48	641.64	(320.16)
	2.0000	11/20/07	03/17/09		71.45	187.43	(115.98)



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HABERMAN/FAMILY/FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Life-to-Date	Amortization/Accretion	Sales Price	Cost Basis	Gain or (Loss)
FREEPRT-MCMRAN CPR & GLD	2.0000	11/20/07	05/12/09			101.25	187.43	(86.18)
	6.0000	01/10/08	05/12/09			303.78	577.20	(273.42)
	3.0000	01/10/08	06/22/09			140.12	288.60	(148.48)
		Security Subtotal				1,794.88	3,357.42	(1,562.54)
GENERAL ELECTRIC	9.0000	03/05/07	04/27/09			108.42	313.85	(205.43)
	28.0000	03/27/07	04/27/09			337.32	999.73	(662.41)
	9.0000	03/27/07	10/15/09			149.48	321.35	(171.87)
	6.0000	03/29/07	10/15/09			99.66	212.61	(112.95)
		Security Subtotal				694.88	1,847.54	(1,152.66)
INTL BUSINESS MACHINES	2.0000	08/24/07	06/17/09			214.76	224.07	(9.31)
JPMORGAN CHASE & CO	7.0000	07/26/04	05/12/09			240.37	254.74	(14.37)
	11.0000	07/29/04	05/12/09			377.74	411.01	(33.27)
	11.0000	12/23/05	05/12/09			377.74	440.76	(63.02)
	2.0000	12/23/05	06/17/09			65.91	80.14	(14.23)
	15.0000	02/06/06	06/17/09			494.39	592.72	(98.33)
	9.0000	08/24/06	07/24/09			339.47	413.01	(73.54)
	2.0000	02/12/07	07/24/09			75.43	100.68	(25.25)
	8.0000	11/15/07	07/24/09			301.76	355.59	(53.83)
	10.0000	11/15/07	07/27/09			379.64	444.51	(64.87)
	9.0000	11/28/07	07/27/09			341.68	391.67	(49.99)
		Security Subtotal				2,994.13	3,484.83	(490.70)
JOHNSON AND JOHNSON COM	4.0000	01/03/06	05/12/09			218.12	245.71	(27.59)
	6.0000	01/17/07	05/12/09			327.18	401.41	(74.23)
	5.0000	01/17/07	06/04/09			279.24	334.51	(55.27)
	1.0000	02/12/07	06/04/09			55.85	65.38	(9.53)
	7.0000	02/12/07	07/01/09			398.21	457.66	(59.45)
	3.0000	12/13/07	07/01/09			170.67	203.27	(32.60)
	14.0000	12/13/07	07/20/09			825.83	948.60	(122.77)
	12.0000	01/08/08	07/22/09			714.23	809.02	(94.79)
		Security Subtotal				2,989.33	3,465.56	(476.23)



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2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
METLIFE INC COM	8.0000	01/31/08	04/01/09			186.39	459.56	(273.17)
	5.0000	03/11/08	04/01/09			116.50	290.71	(174.21)
						<u>302.89</u>	<u>750.27</u>	<u>(447.38)</u>
MACYS INC	7.0000	08/17/07	05/05/09			102.65	215.05	(112.40)
	66.0000	01/10/08	05/05/09			967.88	1,486.07	(518.19)
	16.0000	01/10/08	05/07/09			208.56	360.26	(151.70)
	6.0000	05/07/08	07/24/09			79.99	153.12	(73.13)
	48.0000	07/17/08	07/24/09			639.94	865.18	(225.24)
						<u>1,998.02</u>	<u>3,079.68</u>	<u>(1,080.66)</u>
MERCK AND CO INC SHS	17.0000	06/20/08	11/11/09			567.96	597.40	(29.44)
MERCK&CO INC	13.0000	06/20/08	10/15/09			430.80	456.83	(26.03)
NRG ENERGY INC	22.0000	06/02/08	10/15/09			637.32	921.74	(284.42)
PRAXAIR INC	3.0000	01/10/08	02/12/09			200.10	262.47	(62.37)
PROCTER & GAMBLE CO	6.0000	03/17/05	06/30/09			308.46	317.18	(8.72)
	6.0000	04/04/05	06/30/09			308.46	321.07	(12.61)
	6.0000	10/04/05	08/25/09			322.73	350.34	(27.61)
	1.0000	07/14/06	08/25/09			53.79	55.45	(1.66)
	11.0000	02/12/07	09/10/09			616.66	705.87	(89.21)
						<u>1,610.10</u>	<u>1,749.91</u>	<u>(139.81)</u>
ROCKWELL AUTOMATION INC	14.0000	09/12/06	03/25/09			324.08	771.59	(447.51)
	1.0000	09/12/06	04/30/09			32.81	55.12	(22.31)
	8.0000	11/21/06	04/30/09			262.55	515.33	(252.78)
	10.0000	01/25/07	04/30/09			328.19	596.80	(268.61)
	4.0000	01/30/07	04/30/09			131.28	242.09	(110.81)
	9.0000	01/30/07	10/08/09			374.94	544.72	(169.78)
	5.0000	02/12/07	10/08/09			208.29	317.05	(108.76)



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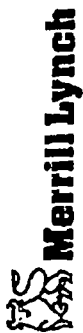
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HABERMAN/FAMILY/FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
ROCKWELL AUTOMATION INC	6.0000	10/09/07	10/08/09			249.97	435.00	(185.03)
	1.0000	10/09/07	11/25/09			44.20	72.50	(28.30)
	9.0000	10/30/07	11/25/09			397.84	607.67	(209.83)
Security Subtotal						2,354.15	4,157.87	(1,803.72)
RAYTHEON CO DELAWARE NEW	9.0000	12/07/07	02/12/09			412.03	584.83	(172.80)
	15.0000	04/08/08	08/06/09			699.91	986.29	(286.38)
	17.0000	12/07/07	08/06/09			793.23	1,104.69	(311.46)
Security Subtotal						1,805.17	2,675.81	(770.64)
SEMPRA ENERGY	7.0000	01/08/08	02/17/09			291.01	431.96	(140.95)
	3.0000	01/08/08	03/25/09			127.03	185.13	(58.10)
Security Subtotal						418.04	617.09	(199.05)
TIFFANY & CO NEW	16.0000	10/24/06	01/13/09			352.41	584.35	(231.94)
	7.0000	02/12/07	01/13/09			154.19	289.31	(135.12)
	9.0000	10/09/07	04/27/09			253.47	508.41	(254.94)
Security Subtotal						760.07	1,382.07	(622.00)
TEREX CORP DEL NEW COM	23.0000	11/21/07	02/26/09			193.34	1,340.89	(1,147.55)
US BANCORP (NEW)	31.0000	08/22/07	07/17/09			554.12	1,019.26	(465.14)
	6.0000	06/05/08	07/17/09			107.25	200.69	(93.44)
Security Subtotal						661.37	1,219.95	(558.58)
VERIZON COMMUNICATNS COM	12.0000	12/02/05	10/15/09			347.98	367.07	(19.09)
	4.0000	12/08/05	10/15/09			116.00	120.52	(4.52)
Security Subtotal						463.98	487.59	(23.61)
VIACOM INC NEW CL B	11.0000	08/02/07	02/11/09			171.66	423.94	(252.28)
	23.0000	01/10/08	02/11/09			358.93	928.28	(569.35)
Security Subtotal						530.59	1,352.22	(821.63)



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HABERMAN/FAMILY/FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Year-to-Date Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
XTO ENERGY INC	13.0000	09/06/07	10/15/09		581.73	596.38	(14.65)
	13.0000	09/06/07	12/02/09		546.67	596.38	(49.71)
	8.0000	10/11/07	12/16/09		382.55	429.04	(46.49)
	9.0000	10/11/07	12/16/09		431.52	482.67	(51.15)
	14.0000	02/28/08	12/16/09		671.26	877.01	(205.75)
	15.0000	06/16/08	12/16/09		719.21	1,026.10	(306.89)
	7.0000	06/16/08	12/22/09		329.97	478.85	(148.88)
	4.0000	07/10/08	12/22/09		188.56	238.49	(49.93)
Security Subtotal					3,851.47	4,724.82	(873.45)
WILLIS GROUP HLDINGS LTD	4.0000	09/23/08	10/15/09		109.49	126.38	(16.89)
	22.0000	10/03/08	10/15/09		602.20	675.12	(72.92)
	4.0000	10/03/08	10/19/09		109.20	122.76	(13.56)
Security Subtotal					820.89	924.26	(103.37)
Long Term Capital Losses Subtotal					49,762.86	74,660.76	(24,897.90)

NET LONG TERM CAPITAL GAIN (LOSS)

(1.58)

OTHER TRANSACTIONS

AOL INC	.0000	12/24/09	12/24/09		12.92	N/A	N/A
TIME WARNER INC SHS	.0000	04/21/09	04/21/09		7.31	N/A	N/A
TIME WARNER CABLE INC	.0000	04/27/09	04/27/09		3.73	N/A	N/A
Other Transactions Subtotal					23.96		

TOTAL CAPITAL GAINS AND LOSSES
TOTAL REPORTABLE GROSS PROCEEDS
DIFFERENCE

182,191.42
182,191.42
0.00 *

187,071.02

(4,903.56)

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)	
Type or print File by the extended due date for filing the return See instructions	Name of Exempt Organization HABERMAN FAMILY FOUNDATION C/O JUDITH STERN	Employer identification number 36-4003623
	Number, street, and room or suite no. If a P.O. box, see instructions. 17 REGENT WOOD ROAD	For IRS use only
	City, town or post office, state, and ZIP code For a foreign address, see instructions. NORTHFIELD, IL 60093	

Check type of return to be filed (File a separate application for each return):

☐ Form 990 ☐ Form 990-EZ ☐ Form 990-T (sec. 401(a) or 408(a) trust) ☐ Form 1041-A ☐ Form 5227 ☐ Form 8870
☐ Form 990-BL ☒ Form 990-PF ☐ Form 990-T (trust other than above) ☐ Form 4720 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JUDITH STERN

• The books are in the care of **17 REGENT WOOD ROAD - NORTHFIELD, IL 60093**

Telephone No. **(847) 501-4470**

FAX No. _____

• If the organization does not have an office or place of business in the United States, check this box ☐ **X**

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**

5 For calendar year **2009**, or other tax year beginning _____, and ending _____

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

THE INFORMATION NEEDED TO PREPARE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	\$	46.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	1,824.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **John D. Lutz**

Title **CPA**

Date **8/5/2010**

Form 8868 (Rev. 4-2009)

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Type or print	Name of Exempt Organization HABERMAN FAMILY FOUNDATION C/O JUDITH STERN	Employer identification number 36-4003623
	Number, street, and room or suite no. If a P.O. box, see instructions. 17 REGENT WOOD ROAD	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NORTHFIELD, IL 60093	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

JUDITH HABERMAN STERN

- The books are in the care of ▶ **17 REGENT WOOD ROAD - NORTHFIELD, IL 60093**

Telephone No ▶ **(847) 501-4470** FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year **2009** or
- ▶ ☐ tax year beginning _____, and ending _____.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 46.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 1,824.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)