Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: THE CLINTON FAMILY FUND

A Employer identification number: 36-3994361

Number and street (or P O box number if mail is not delivered to street address): 5020 S LAKE SHORE DRIVE | Room/suite

B Telephone number (see page 10 of the instructions): (773) 643-2611

City or town, state, and ZIP code: CHICAGO, IL 60615

C If exemption application is pending, check here ▶ [ ]
D 1 Foreign organizations check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 19,160,301.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	74,885.	74,885.		ATCH 2
	4 Dividends and interest from securities	341,824.	341,824.		ATCH 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-3,777,629.			
	b Gross sales price for all assets on line 6a 8,587,066.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	6,368.			ATCH 4
	12 **Total.** Add lines 1 through 11	-3,354,552.	416,709.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 5	6,800.	0.	0.	6,800.
	c Other professional fees (attach schedule)	3,320.	3,320.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	3,349.	3,349.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	12,660.			12,660.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 7	74,194.	74,194.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	100,323.	80,863.	0.	19,460.
	25 Contributions, gifts, grants paid	395,623.			395,623.
	26 **Total expenses and disbursements** Add lines 24 and 25	495,946.	80,863.	0.	415,083.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-3,850,498.			
	b **Net investment income** (if negative, enter -0-)		335,846.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	79,994.	10,336.	10,336.
2	Savings and temporary cash investments	1,165,055.	490,226.	490,226.
3	Accounts receivable ▶ 20,902.			
	Less allowance for doubtful accounts ▶	30,484.	20,902.	20,902.
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule) **	2,170,639.	2,523,886.	2,552,506.
b	Investments - corporate stock (attach schedule) ATCH 9	12,974,249.	12,044,057.	12,624,175.
c	Investments - corporate bonds (attach schedule) ATCH 10	5,729,344.	3,213,518.	3,462,156.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)			
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	22,149,765.	18,302,925.	19,160,301.
Liabilities				
17	Accounts payable and accrued expenses		3,658.	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		3,658.	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	22,149,765.	18,299,267.	
30	**Total net assets or fund balances** (see page 17 of the instructions)	22,149,765.	18,299,267.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	22,149,765.	18,302,925.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	22,149,765.
2	Enter amount from Part I, line 27a	2	-3,850,498.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	18,299,267.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,299,267.

**ATCH 8

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-3,777,629.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	828,188.	20,326,788.	0.040744
2007	681,669.	22,558,169.	0.030218
2006	117,000.	11,966,601.	0.009777
2005	10,000.	6,781,662.	0.001475
2004		3,068,187.	

2	**Total** of line 1, column (d)	2	0.082214
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.016443
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	17,117,841.
5	Multiply line 4 by line 3	5	281,469.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,358.
7	Add lines 5 and 6	7	284,827.
8	Enter qualifying distributions from Part XII, line 4	8	415,083.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	3,358.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	3,358.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	3,358.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 1,200.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 3,500.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	4,700.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	54.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,288.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 1,288. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ IL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANDREW MARTIN Telephone no ▶

Located at ▶ 5020 S. LAKE SHORE DRIVE CHICAGO, IL ZIP + 4 ▶ 60615

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)		2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	**5b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☒ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

JSA

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	15,852,393.
b	Average of monthly cash balances	1b	1,526,126.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	17,378,519.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) ... 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	17,378,519.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	260,678.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	17,117,841.
6	**Minimum investment return.** Enter 5% of line 5	6	855,892.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	855,892.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	3,358.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,358.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	852,534.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	852,534.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	852,534.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	415,083.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	415,083.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	3,358.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	411,725.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				852,534.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			286,999.	
b Total for prior years 20 07 ,20 06 ,20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 415,083.				
a Applied to 2008, but not more than line 2a			286,999.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				128,084.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				724,450.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009 0.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

BRUCE E. CLINTON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 12				
Total . . . . ▶ **3a**				395,623.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	74,885.	
4 Dividends and interest from securities			14	341,824.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-3,777,629.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATTACHMENT 13				6,368.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-3,354,552.	

13 Total. Add line 12, columns (b), (d), and (e) . . . . . 13 -3,354,552.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

			Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] | 5/24/10 | ▶ Trustee
Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature ▶ Robert Wilcoff	Date 5/27/10	Check if self-employed ▶ ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00110189
Firm's name (or yours if self-employed), address, and ZIP code ▶ CBIZ MHM, LLC ONE SOUTH WACKER DRIVE, SUITE 1800 CHICAGO, IL 60606			EIN ▶ 34-1853929 Phone no 312-602-6800

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Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	**Employer identification number**
File by the due date for filing your return See instructions	THE CLINTON FAMILY FUND	36-3994361
	Number, street, and room or suite no If a P O box, see instructions 5020 S LAKE SHORE DRIVE	
	City, town or post office, state, and ZIP code For a foreign address, see instructions CHICAGO, IL 60615	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ ANDREW MARTIN

Telephone No ▶ ____________ FAX No ▶ ____________

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ____________, ______, and ending ____________, ______

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 4,700.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 1,200.
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 3,500.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 4-2009)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE ATTACHED SCHEDULE #1 PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
3,707,632.		5,158,592.					-1450960.	
		SEE ATTACHED SCHEDULE #2 PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
4,879,141.		7,206,103.					-2326962.	
TOTAL GAIN(LOSS)							-3777629.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INTEREST INCOME		74,885.	74,885.
	TOTAL	74,885.	74,885.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDEND INCOME		341,824.	341,824.
	TOTAL	341,824.	341,824.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FEDERAL INCOME TAX REFUND	6,368.
TOTALS	6,368.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	6,800.			6,800.
TOTALS	6,800.	0.	0.	6,800.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN WITHHOLDING TAX	3,349.	3,349.
TOTALS	3,349.	3,349.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FILING FEES	25.	25.
PORTFOLIO FEES	72,858.	72,858.
OTHER EXPENSES	1,311.	1,311.
TOTALS	74,194.	74,194.

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
JP MORGAN CHASE-SEE ATTAC. 8-1	2,523,886.	2,552,506.
US OBLIGATIONS TOTAL	2,523,886.	2,552,506.

J.P.Morgan

THE CLINTON FAMILY FUND NP PCIAA ACCT X18949515
For the Period 12/1/09 to 12/31/09

Attachment 8-1

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Fixed Income Detail

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
US Fixed Income - Taxable							
ISHARES BARCLAYS TIPS BOND FUND 464287-17-6	7,225 000	103 90	750,677 50 D	737 897 59 C	12 779 91	29,203 45	3 89%
GOLDMAN SACHS TRUST HIGH YIELD FUND INSTITUTIONAL SHS 38141W-67-9	82 375 479	6 95	572 509 58 B	430,000 00 A	142 509 58	44 977 01	7 86%
JPMORGAN INTERNATIONAL CURRENCY INCOME FUND 30-Day Annualized Yield 1 20% 4812A3-29-6	36,663 611	10 75	394,133 82	400 000 00	(5,866 18)	219 98 190 28	0 06%
JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND 3844 30-Day Annualized Yield 2 76% 4812A4-35-1	48,102 241	11 59	557,504 97	487 275 70	70 229 27	19,962 43 1,731 68	3 53%
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS FUND 3580 30-Day Annualized Yield 7 59% 4812C0-80-3	100,665 779	7 74	779 153 13	756,000 00	23,153 13	56,171 50 6,543 28	7 21%
JPMORGAN SHORT DURATION BOND FUND SELECT CLASS FUND 3133 30-Day Annualized Yield 2 04% 4812C1-33-0	41,445 608	10 85	449 684 85 D	443,517 93 C	6,166 92	13,055 36 953 25	2 90%

Part II, Line 10c column b Corporate Bonds Cost ΣA 3,213,517.75
US Oblig Cost ΣC 3,523,885.70 Part II, Line 10a, Column b

Part II, Line 10c column c Corporate Bonds FMV ΣB 3,462,156.21
US Oblig FMV ΣD 2,552,506.35 Part II, Line 10a, Column c

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J.P.Morgan

US Fixed Income - Taxable

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
JPMORGAN TREASURY & AGENCY FUND SELECT CLASS FUND 3565 30-Day Annualized Yield 82% 4812C1-40-5	45,334 904	9 86	447,002 15 D	452,442 34 C	(5,440 19)	10,019 01 680 02	2 24 %
PIMCO LOW DURATION FUND 693390-30-4	105,856 536	10 29	1,089,263 76 B	1,071 268 14 A	17 995 62	39,378 63	3 62%
U S A NOTES 4 1/2% FEB 28 2011 DTD 2/28/2006 912828-EX-4 AAA /AAA	25,000 000	104 38	26,094 75 D	26,859 38 C	(764 63)	1,125 00 382 25	0 70%
FEDERAL NATIONAL MORTGAGE ASSN NOTS 6% MAY 15 2011 DTD 5/26/2001 31359M-JH-7 AAA /AAA	65,000 000	107 06	69,590 95 B	68,973 91 A	617 04	3 900 00 498 29	0 81%
U S A NOTES 4 7/8% JUL 31 2011 DTD 7/31/2006 912828-FN-5 AAA /AAA	65,000 000	106 20	69,029 35 D	68,928 15 C	101 20	3 168 75 1 326 00	0 92%
FANNIE MAE NOTES 3 5/8% AUG 15 2011 DTD 08/15/2008 31398A-TL-6 AAA /AAA	15,000 000	104 19	15,628 20	15,616 92	11 28	543 75 205 41	1 01%
U S A TREASURY NOTES 1 1/8% JAN 15 2012 DTD 01/15/2009 912828-KB-5 AAA /AAA	50 000 000	99 91	49,953 00	49 662 11	290 89	562 50 259 35	1 17%
U S A TREASURY NOTES 4 1/2% APR 30 2012 DTD 4/30/2007 912828-GQ-7 AAA /AAA	65,000 000	107 21	69,687 15	68,656 25	1,030 90	2 925 00 500 95	1 34%

Attachment 8-1

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US Fixed Income - Taxable

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
FANNIE MAE NOTES 4 3/4% NOV 19 2012 DTD 10/18/2007 31398A-HZ-8 AAA /AAA	65,000 000	108 25	70,362 50 D	66 971 00 C	3 391 50	3,087 50 360 15	1 80%
FANNIE MAE 4 3/8% MAR 15 2013 DTD 3/28/2003 31359M-RG-0 AAA /AAA	40,000 000	107 47	42 987 50	41 075 32	1 912 28	1 750 00 515 24	1 96%
U S A NOTES 3 5/8% MAY 15 2013 DTD 5/15/2003 912828-BA-7 AAA /AAA	65,000 000	105 88	68 818 75	67 038 44	1 780 31	2 356 25 305 89	1 82%
U S A NOTES 3 125% AUG 31 2013 DTD 09/02/2008 912828-JK-7 AAA /AAA	25,000 000	103 91	25,978 50	26,512 70	(534 20)	781 25 265 45	2 01%
U S A NOTES 4% FEB 15 2014 DTD 2/17/2004 912828-CA-6 AAA /AAA	65,000 000	106 93	69 504 50	67,475 85	2 028 65	2 600 00 982 02	2 23%
FEDERAL HOME LOAN BANK NOTES 5 1/2% AUG 13 2014 DTD 06/22/2007 3133XL-JP-9 AAA /AAA	45,000 000	112 19	50,484 60	47 886 30	2,598 30	2 475 00 948 73	2 68%
FEDERAL FARM CREDIT BANK 3% SEP 22 2014 DTD 08/21/2009 31331G-L8-0 AAA /AAA	35,000 000	100 31	35 109 55	34,936 02	173 53	1 050 00 288 75	2 93%

Attachment 8-1 3/4

037320 001 of 0022 NSPOOMNS YO NNNNNNN

J.P.Morgan

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
U S Fixed Income - Taxable							
U S A NOTES 4% FEB 15 2015 DTD 2/15/2005 912828-DM-9 AAA /AAA	15 000 000	106 28	15 942 15 D	16,299 61 C	(357 46)	600 00 226 62	2 68%
U S A NOTES 5 1/8% MAY 15 2016 DTD 5/15/2006 912828-FF-2 AAA /AAA	65,000 000	111 53	72,495 15	71,411 13	1,084 02	3 331 25 432 51	3 12%
U S A NOTES 4 1/2% MAY 15 2017 DTD 5/15/2007 912828-GS-3 AAA /AAA	75,000 000	106 90	80,173 50	78,746 36	1,427 14	3,375 00 438 15	3 43%
FEDERAL HOME LOAN BANK 5% NOV 17 2017 DTD 10/19/2007 3133XM-Q8-7 AAA /AAA	45 000 000	108 19	48,684 60	45 857 34	2 827 26	2 250 00 274 99	3 79%
U S A NOTES 3 3/4% NOV 15 2018 DTD 11/17/2008 912828-JR-2 AAA /AAA	55,000 000	99 99	54,995 60	55 846 48	(850 88)	2 062 50 267 74	3 75%
FREDDIE MAC NOTES 3 3/4% MAR 27 2019 DTD 03/27/2009 3137EA-CA-5 AAA /AAA	40 000 000	98 03	39 212 40	40,248 48	(1 036 08)	1 500 00 391 64	4 01%
Total US Fixed Income - Taxable			**$6,014,662.56**	**$5,737,403 45**	**$277,259.11**	**$252,431.12** **$18,969.15**	**3 90%**

Attachment 8-1 4/4

THE CLINTON FAMILY FUND 36-3994361

FORM 990PF, PART II - CORPORATE STOCK ATTACHMENT 9

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
JP MORGAN CHASE-SEE ATTAC. 9-1	12,044,057.	12,624,175.
TOTALS	12,044,057.	12,624,175.

Attachment 9-1

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Part II, Line 10b

Equity Detail

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
ABBOTT LABORATORIES 002824-10-0 ABT	500 000	53 99	26 995 00	25,938 56	1,056 44	800 00	2 96%
ADVANCED AUTO PARTS INC 00751Y-10-6 AAP	125 000	40 48	5,060 00	3,879 32	1 180 68	30 00 7 50	0 59%
AETNA INC 00817Y-10-8 AET	400 000	31 70	12 680 00	12,675 04	4 96	16 00	0 13%
AFLAC INC 001055-10-2 AFL	200 000	46 25	9,250 00	8,789 14	460 86	224 00	2 42%
AMAZON COM INC 023135-10-6 AMZN	50 000	134 52	6,726 00	4,168 83	2,557 17		
ANADARKO PETROLEUM CORP 032511-10-7 APC	100 000	62 42	6 242 00	4,349 69	1,892 31	36 00	0 58%
APACHE CORP 037411-10-5 APA	185 000	103 17	19,086 45	18,441 45	644 99	111 00	0 58%
APPLE INC. 037833-10-0 AAPL	140 000	210 73	29,502 48	21,366 38	8 136 10		
APPLIED MATERIALS INC 038222-10-5 AMAT	1,000 000	13 94	13,940 00	13 189 72	750 28	240 00	1 72%
AT&T INC 00206R-10-2 T	600 000	28 03	16,818 00	23,208 94	(6,390 94)	1,008 00	5 99%
BANK OF AMERICA CORP 060505-10-4 BAC	1,650 000	15 06	24,849 00	49,981 23	(25 132 23)	66 00	0 27%

037330 0003 of 00.2 NSP00PA6 1C NNNNNNN

J.P.Morgan

Attachment 9-1

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Part II, Line 10b

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
BANK OF NEW YORK MELLON CORP 064058-10-0 BK	550 000	27 97	15,383 50	23,225 47	(7,842 97)	198 00	1 29%
BAXTER INTERNATIONAL INC 071813-10-9 BAX	200 000	58 68	11,736 00	9,994 32	1,741 68	232 00 58 00	1 98%
BB & T CORP 054937-10-7 BBT	225 000	25 37	5,708 25	4,215 67	1,492 58	135 00	2 36%
BOEING CO 097023-10-5 BA	100 000	54 13	5 413 00	9,064 90	(3,651 90)	168 00	3 10%
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	525 000	25 25	13,256 25	11 356 69	1,899 56	672 00 168 00	5 07%
CARDINAL HEALTH INC 14149Y-10-8 CAH	200 000	32 24	6 448 00	4,938 74	1,509 26	140 00 35 00	2 17%
CATERPILLAR INC 149123-10-1 CAT	50 000	56 99	2,849 50	1 555 31	1,294 19	84 00	2 95%
CELGENE CORPORATION 151020-10-4 CELG	300 000	55 68	16 704 00	14 672 75	2,031 25		
CHEVRON CORP 166764-10-0 CVX	260 000	76 99	20 017 40	17,175 46	2,841 94	707 20	3 53%
CISCO SYSTEMS INC 17275R-10-2 CSCO	1,425 000	23 94	34,114 50	35,016 66	(902 16)		
CITIGROUP INC 172967-10-1 C	2,600 000	3 31	8,606 00	10 922 83	(2,316 83)		
COACH INC 189754-10-4 COH	100 000	36 53	3 653 00	3 338 93	314 07	30 00	0 82%

J.P.Morgan

THE CLINTON FAMILY FUND NP PCIAA ACCT X18949515
For the Period 12/1/09 to 12/31/09

US Large Cap

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
COCA COLA CO 191216-10-0 KO	200 000	57 00	11 400 00	11,505 78	(105 78)	328 00	2 88%
COMCAST CORP CL A 20030N-10-1 CMCS A	400 000	16 86	6,744 00	6,793 12	(49 12)	151 20	2 24%
CONOCOPHILLIPS 20825C-10-4 COP	300 000	51 07	15 321 00	14,066 10	1 254 90	600 00	3 92%
CORNING INC 219350-10-5 GLW	900 000	19 31	17 379 00	18 397 45	(1 018 45)	180 00	1 04%
CVS/CAREMARK CORPORATION 126650-10-0 CVS	700 000	32 21	22 547 00	23 099 82	(552 82)	213 50	0 95%
DEERE & CO 244199-10 5 DE	375 000	54 09	20,283 75	14,604 17	5,679 58	420 00 105 00	2 07%
DEVON ENERGY CORP 25179M-10-3 DVN	240 000	73 50	17,640 00	14,802 64	2,837 36	153 60	0 87%
DOW CHEMICAL CO 260543-10-3 DOW	600 000	27 63	16,578 00	8 612 20	7 965 80	360 00 90 00	2 17%
EATON VANCE SPL INVT TR VALUE FD CL I 277905-64-2 EILV X	26 507 621	16 78	444,797 88	400 000 00	44,797 88	6 838 96	1 54%
EDGEWOOD GROWTH FUND 0075W0-75-9 EGFI X	54,722 688	10 04	549 415 79	624 526 31	(75,110 52)		
EDISON INTERNATIONAL 281020-10-7 EIX	500 000	34 78	17,390 00	20,216 13	(2,826 13)	630 00 157 50	3 62%

Attachment 9-1

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Part II, Line 10b

037330 0004 of 0022 NSPOMOSH 10 NNNNNNNN

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
EMERSON ELECTRIC CO 291011-10-4 EMR	200 000	42 60	8,520 00	7,002 37	1 517 63	268 00	3 15%
EOG RESOURCES INC 26875P-10-1 EOG	100 000	97 30	9,730 00	6 767 81	2 962 19	58 00	0 60%
EXXON MOBIL CORP 30231G-10-2 XOM	445 000	68 19	30,344 55	40,170 31	(9,825 76)	747 60	2 46%
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	250 000	80 29	20,072 50	14,883 53	5,188 97	150 00	0 75%
GENERAL MILLS INC 370334-10-4 GIS	100 000	70 81	7,081 00	5,485 67	1,595 33	196 00	2 77%
GILEAD SCIENCES INC 375558-10-3 GILD	275 000	43 27	11,899 25	12 886 65	(987 40)		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	157 000	168 84	26,507 88	17,751 29	8,756 59	219 80	0 83%
GOOGLE INC CL A 38259P-50-8 GOOG	60 000	619 98	37,198 80	26,964 94	10 233 86		
HEWLETT-PACKARD CO 428236-10-3 HPQ	875 000	51 51	45,071 25	32,085 62	12,985 63	280 00 70 00	0 62%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	100 000	39 20	3,920 00	4,126 77	(206 77)	121 00	3 09%
INTERNATIONAL GAME TECHNOLOGY 459902-10-2 IGT	200 000	18 77	3 754 00	3,081 08	672 92	48 00 12 00	1 28%

Attachment 9-1 4/16 Part II, Line 10b

J.P.Morgan

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
INTERNATIONAL BUSINESS MACHINES CORP 459200-10-1 IBM	125 000	130 90	16,362 50	11,902 50	4 460 00	275 00	1 68%
JOHNSON CONTROLS INC 478366-10-7 JCI	575 000	27 24	15 663 00	13 233 34	2 429 66	299 00 74 75	1 91%
JPMORGAN INTREPID AMERICA FUND SELECT SHARE CLASS FUND 1206 4812A2-10-8 JPIA X	20,461 802	20 31	415,579.20	590,486 77	(174,907 57)	7,857 33	1 89%
JPMORGAN US LARGE CAP CORE PLUS FUND SELECT FUND 1002 4812A2-38-9 JLPS X	31 645 274	18 18	575 311 08	603 863 63	(28 552 55)	3,607 56	0 63%
JUNIPER NETWORKS INC 48203R-10-4 JNPR	475 000	26 67	12,668 25	9,739 43	2 928 82		
MASTERCARD INC - CLASS A 57636Q-10-4 MA	30 000	255 98	7,679 40	5 416 77	2,262 63	18 00	0 23%
MERCK & CO INC 58933Y-10-5 MRK	850 000	36 54	31,059 00	27 570 81	3 488 19	1,292 00 323 00	4 16%
METLIFE INC 59156R-10-8 MET	125 000	35 35	4,418 75	3,508 99	909 76	92 50	2 09%
MICROSOFT CORP 594918-10-4 MSFT	1,950 000	30 48	59,436 00	53,730 37	5,705 63	1,014 00	1 71%
MONSANTO CO 61166W-10-1 MON	75 000	81 75	6,131 25	8,789 51	(2,658 26)	79 50	1 30%

037330 0005 of 0032 NSPOJ496 YO NNNNNNNN

Attachment 9-1 5/16 Part II, Line 10b

J.P.Morgan

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
MORGAN STANLEY 617446-44-8 MS	525 000	29 60	15 540 00	15 132 68	407 32	105 00	0 68%
NATIONAL SEMICONDUCTOR CORP 637640-10-3 NSM	300 000	15 36	4,608 00	3 692 76	915 24	96 00 / 24 00	2 08%
NETAPP INC 64110D-10-4 NTAP	150 000	34 36	5,154 00	2,348 16	2,805 84		
NIKE INC B 654106-10-3 NKE	125 000	66 07	8,258 75	7,722 50	536 25	135 00 / 33 75	1 63%
NORFOLK SOUTHERN CORP 655844-10-8 NSC	700 000	52 42	36,694 00	34,621 53	2 072 47	952 00	2 59%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	290 000	81 35	23,591 50	20,860 47	2,731.03	382 80 / 95 70	1 62%
ORACLE CORP 68389X-10-5 ORCL	600 000	24 53	14 718 00	12 581 22	2 136 78	120 00	0 82%
PACCAR INC 693718-10-8 PCAR	325 000	36 27	11,787 75	11 282 99	504 76	117 00	0 99%
PARKER-HANNIFIN CORP 701094-10-4 PH	100 000	53 88	5,388 00	4,030 08	1,357 92	100 00	1 86%
PAYCHEX INC 704326-10-7 PAYX	150 000	30 64	4,596 00	3 929 78	666 22	186 00	4 05%
PEPSICO INC 713448-10-8 PEP	295 000	60 80	17,936 00	20 875 65	(2 939 65)	531 00 / 132 75	2 96%
PFIZER INC 717081-10-3 PFE	1,650 000	18 19	30,013 50	24 422 66	5,590 84	1 188 00	3 96%

Attachment 9-1 6/16 Part II, Line 10b

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	375 000	48 19	18 071 25	15 951 50	2 119 75	870 00 217 50	4 81%
PRAXAIR INC 74005P-10-4 PX	200 000	80 31	16 062 00	17 584 88	(1 522 88)	320 00	1 99%
PROCTER & GAMBLE CO 742718-10-9 PG	600 000	60 63	36,378 00	38,476 17	(2,098 17)	1,056 00	2 90%
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	300 000	49 76	14 928 00	11 830 64	3,097 36	210 00	1 41%
QUALCOMM INC 747525-10-3 QCOM	475 000	46 26	21,973 50	19,287 86	2 685 64	323 00	1 47%
SCHLUMBERGER LTD 806857-10-8 SLB	230 000	65 09	14 970 70	9,496 18	5,474 52	193 20 48 30	1 29%
SOUTHERN CO 842587-10-7 SO	200 000	33 32	6 664 00	5,729 67	934 33	350 00	5 25%
SOUTHWESTERN ENERGY CO 845467-10-9 SWN	100 000	48 20	4,820 00	4,318 95	501 05		
SPRINT NEXTEL CORP 852061-10-0 S	1 300 000	3 66	4 758 00	5,263 82	(505 82)		
STAPLES INC 855030-10-2 SPLS	625 000	24 59	15,368 75	13,445 77	1,922 98	206 25 51 56	1 34%
STARWOOD HOTELS & RESORTS 85590A-40-1 HOT	300 000	36 57	10 971 00	6,413 86	4 557 14	60 00 60 00	0 55%
SYSCO CORP 871829-10-7 SYY	300 000	27 94	8 382 00	9,513 89	(1,131 89)	300 00 75 00	3 58%

Attachment 9-1

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Part II, Line 10b

037330 0005 of 2044 NSLCOLNS Y3

J.P.Morgan

US Large Cap

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	200 000	19 38	3,876 00	3 517 25	358 75		
TIME WARNER INC NEW 887317-30-3 TWX	683 000	29 14	19 902 62	17,466 16	2,436 46	512 25	2 57%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	350 000	69 41	24,293 50	21 797 96	2,495 54	539 00	2 22%
URBAN OUTFITTERS INC 917047-10-2 URBN	200 000	34 99	6 998 00	3,045 45	3,952 55		
US BANCORP DEL 902973-30-4 USB	700 000	22 51	15 757 00	18 949 78	(3,192 78)	140 00 35 00	0 89%
V F CORP 918204-10-8 VFC	100 000	73 24	7,324 00	5 803 82	1,520 18	240 00	3 28%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	800 000	33 13	26 504 00	29,246 42	(2,742 42)	1 520 00	5 73%
WAL MART STORES INC 931142-10-3 WMT	350 000	53 45	18,707 50	16 559 87	2,147 63	381 50 95 38	2 04%
WALT DISNEY CO 254687-10-6 DIS	950 000	32 25	30 637 50	24 777 92	5,859 58	332 50 332 50	1 09%
WELLPOINT INC 94973V-10-7 WLP	60 000	58 29	3,497 40	3 201 16	296 24		
WELLS FARGO & CO 949746-10-1 WFC	800 000	26 99	21,592 00	19 363 02	2,228 98	150 00	0 74%
XILINX CORP 983919-10-1 XLNX	400 000	25 06	10,024 00	8 550 16	1 473 84	256 00	2 55%

Attachment 9-1 8/16 Part II, Line 10b

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income / Accrued Dividends	Yield
US Large Cap							
YUM BRANDS INC 988498-10-1 YUM	300 000	34 97	10 491 00	10 569 09	(78 09)	252 00	2 40%
Total US Large Cap			$3 354 179 63 X	$3,489,200.65 Y	($135,021.02)	$43,530.25 $2,302 19	1 30%
US Mid Cap/Small Cap							
ASTON FDS TAMRO S CAP I 00078H-14-1 ATSI X	22 608 014	16 66	376 649 51	350,000 00	26,649 52	316 51	0 08%
EAGLE SER MID CAP STK I 269858-84-1 HMCJ X	34 157 631	23 20	792,457 04	750,000 00	42 457 04		
ISHARES COHEN & STEERS REALTY MAJORS INDEX FUND 464287-56-4 ICF	6 270 000	52 52	329,300 40	189,022 32	140,278 08	12 138 72	3 69%
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	5,000 000	82 51	412 550 00	379,346 50	33,203 50	8,150 00	1 98%
JPMORGAN MULTI-CAP MARKET NEUTRAL FUND SELECT SHARE CLASS FUND 2023 4812C1-67-8 OGNI X	21,778 129	10 11	220 176 88	244,895 54	(24,718 66)		
JPMORGAN TR I HIGHBRIDGE STATISTICAL MARKET NEUTRAL FUND SELECT SHARES FUND # 1011 4812A2-43-9 HSKS X	15,273 855	15 74	240 410 48	243,617 99	(3 207 51)	504 03	0 21%

FMV $\Sigma X = 12,624,175$
Part II, Line 10b Column C

Cost $\Sigma Y = 12,049,820$
Less: return of capital (5,761.54)
Cost = Part II line 10b Column C 12,044,058

Attachment 9-1 9/16
Part II, Line 10b

J.P.Morgan

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income Accrued Dividends	Yield
US Mid Cap/Small Cap							
KB HOME 48666K-10-9 KBH	200 000	13 68	2,736 00	3,238 92	(502 92)	50 00	1 83%
Total US Mid Cap/Small Cap			$2,374,280.31 X	$2,160,121 27 Y	$214,159.05	$21,159.26	0.89%
Non US Equity							
ACE LTD NEW H0023R-10-5 ACE	150 000	50 40	7,560 00	6 814 17	745 83	186 00	2 46%
ARTIO INTERNATIONAL EQUITY II - I 04315J-83-7 JETI X	81,038 000	11 78	954,627 64	612 647 28	341,980 36	46 921 00	4 92%
CAUSEWAY INTERNATIONAL VALUE FUND 14949P-20-8 CIVI X	29,973 494	11 31	339,000 22	632 791 32	(293,791 10)	5 635 01	1 66%
COOPER INDUSTRIES PLC CL - A G24140-10-8 CBE	200 000	42 64	8,528 00	6,540 34	1,987 66	200 00 50 00	2 35%
COVIDIEN PLC G2554F-10-5 COV	300 000	47 89	14,367 00	10,948 93	3 418 07	216 00	1 50%
JPMORGAN ASIA EQUITY FUND SELECT SHARE CLASS FUND 1134 4812A0-70-6 JPAS X	11,190 689	31 20	349,149 50	250 000 00	99,149 50	1,296 92	0 37%
MATTHEWS PACIFIC TIGER FUND -CL I 577130-10-7 MAPT X	19 336 546	19 23	371 841 78	268,500 00	103,341 78	2,823 13	0 76%
T ROWE PRICE INTERNATIONAL FUNDS INC NEW ASIA FUND 77956H-50-0 PRAS X	54 617 000	16 14	881,518 38	691 997 39	189,520 99	4,369 35	0 50%

Attachment 9-1

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Part II, Line 10b

J.P.Morgan

	Quantity	Price	Market Value	Tax Cost	Unrealized Gain Loss	Estimated Annual Income / Accrued Dividends	Yield
Non US Equity							
TRANSOCEAN INC H8817H 10-0 RIG	100 000	82 80	8 280 00	8 426 31	(146 31)		
Total Non US Equity			$2,934,872.52	$2 488,665.74	$446,206 78	$61,637 42	2.10%
			X	Y			

Attachment 9-1

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Part II, Line 10b

J.P.Morgan

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Short Term Detail

	Quantity	Price	Market Value	Tax Cost Adjusted / Original	Unrealized Gain/Loss	Estimated Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	780,225 86	1 00	780,225 86	780 225 86		546 15 41 92	0 07 % [1]
Short Term							
FEDERAL HOME LOAN MORTGAGE CORP NOTES 7% MAR 15 2010 DTD 3/24/2000 3134A3-3L-8 AAA /AAA	65,000 00	101 34	65 873 60	68 923 47	(3,049 87)	4,550 00 1 339 71	0 45%
U S A NOTES-USD 3 7/8% JUL 15 2010 DTD 7/15/2005 912828-DZ-0 AAA /AAA	40,000 00	101 94	40,775 20	41,153 13	(377 93)	1 550 00 716 00	0 29%
FEDERAL NATIONAL MORTGAGE ASSN NOTES 6 5/8% NOV 15 2010 DTD 11/3/2000 31359M-GJ-6 AAA /AAA	25,000 00	105 28	26,320 25	26,755 43	(435 18)	1 656 25 211 62	0 55%
Total Short Term			**$132,969.05** X	**$136 832 03** Y	**($3,862.98)**	**$7,756.25** **$2,267.33**	**0.42%**

Attachment 9-1

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Part II, Line 10b

J.P.Morgan

Other Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Structured Investments	3,740,524 50	3,827 873 91	87,349 41	20%

Asset Categories

Other Assets Detail

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Structured Investments					
BARC 12M ASIA BSKT BREN 10/27/10 (10%BUFF-2XLEV-10 5% CAP-21%MXPYMT) INITIAL LEVEL-ASIA 10/09/09 100 00 06739J-YR-8 AA- /AA3	275,000 000	103 05	283,387 50	275 000 00	8,387 50
BARC 12M SPX REN 11/17/10 (3XLEV-6 95%CAP-20 85% MXPYMT) INITIAL LEVEL-SPX 10/30/09 1036 19 06739J-R5-4	200,000 000	105 74	211,480 00	200,000 00	11,480 00
BARC 9M RTY TACTICAL NOTE 07/29/2010 (80% CONTIN BARRIER-2 0% PMT -7% CAP) INITIAL LEVEL-RTY.10/22/09 605 11 06739J-P3-1	200,000 000	101 23	202,460 00	200,000 00	2 460 00

Attachment 9-1

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Part II, Line 10b

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00740730600010042013
037320 0013 of 0022 NSPOOHN6 Y0 NNNNNNN

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Structured Investments					
BARCLAYS BREN GOLD 4/01/10 BUFFER 15%, LEVERAGE 150% UPPER STRIKE 117 0% MAX RETURN 25 50% DD 03/26/09 06738Q-3S-5	150 000 000	118 40	177 600 00	150 000 00	27,600 00
BARCLAYS BREN S&P GSCI AG 5/13/11 UP LEV 179% BUFFER 20% (LEVER DOWN,MAX LOSS 100%) STRIKE 57 85279, MAX RET 44 75% DD 05/01/09 06739J-AE-3	150 000 000	107 35	161 025 00	150,000 00	11 025 00
CREDIT SUISSE DD BREN EAFE 4/09/10 10%BUFFER 2XLEV 13 6%CAP 27 2% MAX 22546E-GK-4	100,000 000	124 60	124,600 00	100,000 00	24 600 00
CS 13M WTI MPN MD 10/1 10. INDEX KNOCK-OUT NOTES LINKED TO S&P GSCI CRUDE OIL INDEX EXCESS RET MAX RET 36%. MIN RET 9% DD 08/28/09, STRIKE 532 7308 22546E-MD-3	150,000 000	101 60	152,400 00	150,000 00	2,400 00
CS 18M ASIA BSKT MKT PLS NOTE 03/03/11 (71 5%KO BARRIER-0%PMT-UNCAPPED) INITIAL LEVEL-ASIA BSKT 08/28/09 100 06739J-TJ-2	250,000 000	109 57	273 925 00	250,000 00	23,925 00

Attachment 9-1

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Part II, line 10b

J.P.Morgan

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Structured Investments					
DB EAFE BREN 08/19/10 (10%BUFFER-2XLEV-7 8%CAP-15 6%MAXPYMT) INITIAL LEVEL-7/31/09 SX5E 2538 13 UKX 4608 36 TPX 950 26 2515A0-M9-1	250 000 000	103 24	258,107 41	250 000 00	8,107 41
GS 12M EAFE BREN 10/21/10 (10% BUFFER-2XLEV-7 56%CAP 15 12% MAXPYMT) INITIAL LEVEL-10/02/09 SX5E 2760 60 UKX 4988 70 TPX 874 67 38143U-ER-8	250 000 000	105 33	263 327 50	250,000 00	13 327 50
GS 12M SPX BREN 11/17 10 (10%BUFF -2XLEV-6 91%CAP-13 82%MXPYMT) INITIAL LEVEL-SPX 10/30/09 1036 19 38143U-FA-4	200 000 000	105 29	210,574 00	200 000 00	10 574 00
GS 9M EAFE NOTE 08/27/2010 (84 5% CONTIN BARRIER-2% PMT -7% CAP) INITIAL LEVEL- 11/19/09 SX5E 2860 29 UKX 5267 70 TPX 837 71 38143U-FK-2	200,000 000	104 87	209 740 00	200 000 00	9 740 00
HSBC 12M SPX BREN 10/20/10 (10%BUFF-2XLEV-7 9% CAP 15 8% MXPYMT) INITIAL LEVEL-SPX 10/02/09 1025 21 4042K0-ZZ-1	250 000 000	105 40	263,500 00	250,000 00	13,500 00

Attachment 9-1

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Part II, Line 10b

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?07407306000010642014
037530 001. of 0022 NSPOOMNB YO NNNNNNN

J.P.Morgan

	Quantity	Price	Estimated Value	Cost	Estimated Gain/Loss
Structured Investments					
HSBC 18M SPX MKT PLS NOTE 01/27/11 (70% CONTIN BARRIER-3 7%PMT-UNCAPPED) INITIAL LEVEL-SPX 07/24/09 979 25 4042K0-YE-9	250,000 000	113 12	282 800 00	250 000 00	32 800 00
JPMORGAN CHASE BREN CCI OIL 7/30/10 EXCESS RETURN INDEX BUFFER 15% UPSIDE PTPN 150% MAX RET 39% DD 01/30/09 4662A0-T7-6	150,000 000	124 64	186,960 00	150 000 00	36,960 00
JPMORGAN CHASE CIGAR CLS 7/14/10 ADDL AMOUNT 6 15% INITIAL LEVEL 137 90976 DD 07/09/08 48123L-CU-6	500,000 000	66 91	334 550 00	500 000 00	(165,450 00)
MORGAN STANLEY ARN SPX 8/25/11 (90/100/100 -10% BUFFER-10 2% PYMT) INITIAL LEVEL - SPX 8/08/08 1296 32 617482-BF-0	250 000 000	92 58	231 437 50	250 000 00	(18 562 50)
Total Structured Investments			**$3,827,873.91**	**$3,775,000.00**	**$52,873.91**

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Attachment 9-1

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Part II, Line 10b

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 10

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
JP MORGAN CHASE-SEE ATTAC. 8-1	3,213,518.	3,462,156.
TOTALS	3,213,518.	3,462,156.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
BRUCE E. CLINTON 5020 S LAKE SHORE DRIVE CHICAGO, IL 60615	DIRECTOR, PRESIDENT, CEO 10.00
MARTHA O. CLINTON 5020 S LAKE SHORE DRIVE CHICAGO, IL 60615	DIRECTOR, SECRETARY 1.00
L. ANDREW MARTIN 5020 S LAKE SHORE DRIVE CHICAGO, IL 60615	DIRECTOR, VICE PRESIDENT 1.00
MARK HONINGS 5020 S LAKE SHORE DRIVE CHICAGO, IL 60615	VP OF FINANCE, ASST. SECRETARY 2.00
	GRAND TOTALS

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
SEE ATTACHED SCHEDULE		SEE ATTACHED SCHEDULE	395,623
		TOTAL CONTRIBUTIONS PAID	395,623

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
2/1/2009	1308	Friends of Chamber Music 2665 Bayshore Drive Miami, FL 33133	General Operations	$1,000 00
2/27/2009	1309	Twin Lakes Academy 8000 Point Meadow Drive Jacksonville, FL 32256	Underwrite School Trip	$1,820.00
3/2/2009	1310	International Kids Fund PO Box 2020 Miami, FL 33101	General Operations	$100 00
3/17/2009	1311	Plymouth Cong Church 3400 Devon Road Coconut Grove, FL 33133	General Operations	$10,000 00
4/1/2009		American Folk Art Museum 49 East 52nd Street New York, NY	General Operations	$50 00
4/1/2009		Chicago Symphony Orchestra 220 South Michigan Chicago, IL 60604	Underwrite Gala	$3,500 00
4/1/2009		Relay for Life American Cancer Society 225 Norht Michigan Avenue Suite 1210 Chicago, IL 60601	General Operations	$1,000 00
5/1/2009	1313	League of American Orchestras 33 West 60th Street, 5th Floor New York, NY 10023-7905	Underwrite Conference	$5,000 00
4/17/2009	1314	Institute of Classical Architecture 20 West 44th Street New York, NY 10036	General Operations	$10,000.00
4/24/2009	1315	Zoological Society of Miami Miami Metro Zoo 12400 SW 152 Street Miami, FL 33177	General Operations	$5,000.00
5/1/2009		Catholic Charities 228 W. Lexington Street Baltimore, MD 21201	General Operations	$100 00
5/13/2009	1316	Rochester Arts Council	General Operations	$2,000 00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
		30 Civic Center Dr SE, Suite 200 Rochester, MN 55904		
9/1/2009		NW University 2020 Ridge Avenue Evanston, IL 60208	David Zarefsky Fellowship	$1,000 00
5/31/2009		South Florida Classical Radio PO Box 1628 Ft Lauderdale, FL 33302	General Operations	$1,000.00
6/1/2009	1317	Rush University Medical Center 1700 W Van Buren Chicago, IL 60612	Parkinson's Research	$15,000 00
6/9/2009	1318	SECC 1511 East 53rd Street Chicago, IL 60615	Flower Baskets	$500 00
6/9/2009	1319	Court Theater 5535 Souht Ellis Chicago, IL 60637	General Operations Special Appeal	$5,000 00
6/11/2009	1320	Chicago Symphony Orchestra 220 South Michigan Chicago, IL 60604	General Operations	$10,000 00
6/12/2009	1321	Taki Concordia Conducting PO Box 70185 Eugene, OR 97401	General Operations	$5,000 00
6/26/2009	1322	Great Expectations PO Box 1710 Tahlequah, OK 74465	Scholarships	$5,000 00
6/29/2009		Hyde Park Art Center 5020 S. Cornell Chicago, IL 60615	Challenge Grant	$2,000 00
7/14/2009	1325	Asian Pacific Development 1544 Elmira Street Aurora, CO 80010	Underwrite Benefit Concert	$3,000 00
9/1/2009	1326	First Baptist Church of Hammond 523 Sibley Hammond, IN 46320	Scholarships & General Operations	$7,500 00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
8/17/2009	1327	Colorado Symphony Orchestra 1000 14th Street, #15 Denver, CO 80202	Annual Fund	$2,500 00
8/24/2009	1328	The Lafayette Foundation 7125 Park Lane Ft Lupton, CO 80621	Underwrite Ohio Excursion	$6,000 00
9/2/2009	1331	Asian Pacific Development 1544 Elmira Street Aurora, CO 80010	Underwrite Conference	$500 00
9/30/2009	1332	Colorado Symphony Orchestra 1000 14th Street, #15 Denver, CO 80202	Underwrite Waldman Trip	$327 00
10/16/2009	1334	Colorado Symphony Orchestra 1000 14th Street, #15 Denver, CO 80202	Underwrite Recital	$100 00
10/31/2009		Gastrointestinal Cancer Research 222 Pierce Ave Nashville, TN 37232	General Operations	$100 00
10/31/2009		Mental Health Assoc 1385 S Colorado Blvd Denver, CO 80222	General Operations	$5,000 00
11/30/2009		Colorado Symphony Orchestra 1000 14th Street, #15 Denver, CO 80202	General Appeal	$150 00
12/4/2009	1393	League of American Orchestras 33 West 60th Street, 5th Floor New York, NY 10023-7905	Underwrite Cultivation Event	$375 65
12/15/2009	1391	Hyde Park Jazz 5100 S. Hyde Park Blvd, Suite 3A Chicago, IL 60615	General Operations	$100 00
12/14/2009	1392	Friends of Chamber Music 2665 S Bayshore Miami, FL 33133	General Operations	$1,000 00
12/29/2009	1337	Belle Voce Young Womens Choir 564 Bluff Road NW	General Operations	$1,000 00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
		Rochester, MN		
12/29/2009	1338	Center for Enviromental & Sustainability Educ Florida Gulf Coast University 10501 FGCU Blvd Fort Myers, FL 33965	General Operations	$100 00
12/29/2009	1339	Chicago Child Care Society 5467 S University Chicago, IL 60615	General Operations	$500 00
12/29/2009	1340	Chicago Chorale 1100 East 55th Street Chicago, IL 60615	General Operations	$500 00
12/29/2009	1341	The Cleveland Orchestra 200 S Biscayne Blvd. Miami, FL 33131	Florida Residency	$15,000 00
12/29/2009	1342	Big Brothers/Big Sisters 701 SW 27th Avenue Miami, FL 33135	General Operations	$1,000 00
12/29/2009	1343	Asian Pacific Development 1544 Elmira Street Aurora, CO 80010	Underwrite Harding Concert	$500 00
12/29/2009	1344	Chicago High School for the Arts 3200 S. Calumet Chicago, IL 60616	General Operations	$10,000 00
12/29/2009	1345	Chicago Symphony Orchestra 220 South Michigan Chicago, IL 60604	General Operations Artistic Excellence Underwrite Annual Gala	$20,000 00
12/29/2009	1346	Chicago Cultural Center Foundation 135 South LaSalle Street Chicago, IL 60674	General Operations	$100.00
12/29/2009	1347	Colorado Symphony Orchestra 1000 14th Street, #15 Denver, CO 80202	General Operations	$20,000 00
12/29/2009	1348	Court Theater 5535 S Ellis Chicago, IL 60637	General Operations	$10,000 00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
12/29/2009	1350	The Food Project 10 Lewis Street Lincoln, MA	Summer Youth Prgm	$6,000 00
12/29/2009	1351	Hyde Park Neighborhood Club 5480 S. Kenwood Chicago, IL 60615	General operations	$1,000 00
12/29/2009	1352	Hyde Park and Kenwood Hunger Programs 5600 S. Woodlawn Chicago, IL 60637	General operations	$1,000 00
12/29/2009	1353	Plymouth Cong Church 3400 Devon Road Coconut Grove, FL 33133	General Operations	$11,650.00
12/29/2009	1354	Miami Rescue Mission PO Box 420620 Miami, FL 33242	General Operations	$500 00
12/29/2009	1355	University of Chicago Music Visiting Committee 1010 East 59th Street Chicago, IL60637	General Operations	$1,000 00
12/29/2009	1356	Feeding Northwest Dupage 427 W Army Trail Road Bloomingdale, IL 60108	General Operations	$1,000 00
12/29/2009	1357	University of Chicago Comer Childrens Hospital Service Committee 1220 East 56th Street Chicago, IL 60637	General Operations	$500 00
12/29/2009	1358	West Suburban PADS PO Box 797 Oak Park, IL 60303	General Operations	$2,000 00
12/29/2009	1359	WPBT Channel 2 P O. Box 610002 Miami, FL 33261-0002	General Operations	$1,000 00
12/29/2009	1360	Ron Magill Conservation Trust 12400 SW 152nd Street Miami FL 33177	General Operations	$1,000 00
12/29/2009	1361	WTTW - Chicago	General Operations	$1,000 00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
		5400 N St. Loius Chicago, IL 60625		
12/29/2009	1362	Oak Park Symphony PO Box 3564 Oak Park, IL 60303	General Operations	$1,000 00
12/29/2009	1363	Hyde Park Historical Society 5529 S Lake Park Avenue Chicago, IL 60637	General Operations	$50 00
12/29/2009	1364	South Florida Classical Radio 330 SW Second Street Fort Lauderdale, FL 33312	General Operations	$500 00
12/29/2009	1365	United Way - Dade County 3250 SW 3rd Avenue Miami, FL 33129	General Operations	$1,000 00
12/29/2009	1366	United Way Chicago 560 W. Lake Street Chicago, IL 60661	General Operations	$1,000 00
12/29/2009	1367	Fairchild Tropical Botanic Garden 10901 Old Cutler Road Coral Gables, FL 33156	General Operations	$1,000.00
12/29/2009	1368	Denver Botanic Gardens 909 York Street Denver, CO 80206	General Operations	$1,000 00
12/29/2009	1369	Denver Art Museum 100 W 14th Avenue parkway Denver, CO 80204	General Operations	$1,000 00
12/29/2009	1370	University of New Hampshire Foundation 9 Edgewood Drive Durham, NH 03824	General Operations	$500 00
12/29/2009	1371	University of Colorado Music Deans Fund Boulder Campus 301 UCB Boulder, CO 80301	Discretionary Fund	$5,000 00
12/29/2009	1372	Univ of Chicago Service League 5600 S Blackstone Chicago, IL 60637	General Operations	$500.00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
12/29/2009	1373	Hyde Park Art Center 5020 S Cornell Chicago, IL 60615	General Operations Underwrite Cabinetry	$15,000.00
12/29/2009	1374	Jacksonville School for Children With Autism 4000 Spring park Road Jacksonville, FL 33207	Music Education	$2,000.00
12/29/2009	1375	League of American Orchestras 33 West 60th Street, 5th Floor New York, NY 10023-7905	General Operations Working Capital Reserve	$25,000 00
12/29/2009	1376	Merit School of Music 38 S. Peoria Street Chicago, IL 60607	General Operations Naming of Room/Chair	$10,000 00
12/29/2009	1377	New World Symphony 541 Lincoln Road Miami Beach, FL 33139	General Operations Underwrite Gala	$20,000 00
12/29/2009	1378	NY Philharmonic Orchestra 10 Lincoln Center Plaza New York, NY 10023	General Operations	$10,000.00
12/29/2009	1379	Mile High Youth Corp 1801 Federal Blvd Denver, CO 80204	Underwrite Work Group Program	$40,000 00
12/29/2009	1380	Bienniel of the Americas PO Box 13169 Denver, CO 80201	Underwrite Programs	$20,000 00
12/29/2009	1381	KBDI PBS 2900 Welton Street Denver, CO 80205	General Operations	$1,000 00
12/29/2009	1382	KMRA - Denver PBS 1089 Bannock Street Lobby Denver, CO 80204	General Operations	$1,000 00
12/29/2009	1383	KVOD - Denver 7409 S Alton Court Centennial, CO 80112	General Operations	$1,000 00
12/29/2009	1384	Miami Music Project	General Operations	$1,000.00

Part XV
Attachment 12 Detail

The Clinton Family Fund
2009 Contributions

Date	Check #	Payee	Purpose	Amount
		1300 Biscayne Blvd Miami, FL 33132		
12/29/2009	1385	Museum of Science and Industry 57th and Lake Shore Drive Chicago, IL 60637	General Operations	$500 00
12/29/2009	1387	The Philadelphia Orchestra 260 Broad Street Philadelphia, PA 19102	General Operations	$10,000 00
12/29/2009	1389	The Salvation Army 5040 N Pulaski Road Chicago, IL 60630	General Operations	$1,000.00
12/29/2009	1390	Skidmore College 815 North Broadway Saratoga Springs, NY 12866	Annual Fund	$500.00
12/29/2009	1394	St John's Cathedral 1350 Washington Street Denver, CO 80203	Underwrite New York Polyphony Concert	$7,000 00
12/29/2009	1289	St James Methodist Church PO Box 615 Blackhawk, CO 80422	General Operations	$1,000 00

Total Contributions **$395,622.65**

Part XV
Attachment 12 Detail

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 13

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
FEDERAL INCOME TAX REFUND			01	6,368.	
TOTALS				6,368.	

THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
200. BRISTOL MYERS SQUIBB CO	06/26/2008	01/14/2009	4,372.80	3,974.76	398.04
700000. JPMORGAN PRIME MONEY MKT FUND INSTL CL	01/02/2009	01/16/2009	700,000.00	700,000.00	
60. FEDERAL REALTY INVESTMENT TRUST	02/21/2008	01/21/2009	2,898.75	4,410.62	-1,511.87
175. BAKER HUGHES INC	07/18/2008	01/23/2009	5,370.44	12,501.64	-7,131.20
.0915 APARTMENT INVESTMENT & MANAGEMENT CO CLASS A	11/28/2008	02/03/2009	0.79	1.08	-0 29
50. BRISTOL MYERS SQUIBB CO	06/26/2008	02/05/2009	1,131.52	993.69	137.83
45000. FED HOME LOAN MTG CORP 6.625% DUE 09/15/2009	08/08/2008	02/05/2009	46,571.31	46,782.54	-211.23
70000. U S A NOTES	08/08/2008	02/05/2009	71,684.38	70,946.33	738.05
250000. JPMORGAN CHASE REN INFR BSKT 8/05/10	07/18/2008	02/06/2009	129,625.00	250,000.00	-120,375.00
50. MARATHON OIL CORP	02/22/2008	02/06/2009	1,332.73	2,531.29	-1,198.56
100. ECOLAB INC	11/13/2008	02/09/2009	3,512.52	3,233.36	279.16
290. APOLLO INVESTMENT CORP	07/02/2008	02/11/2009	1,556.62	4,057.62	-2,501.00
2335. APOLLO INVESTMENT CORP	07/02/2008	02/12/2009	12,199.53	32,670.85	-20,471.32
105. APOLLO INVESTMENT CORP	07/02/2008	02/12/2009	526.84	1,469.14	-942.30
60. APOLLO INVESTMENT CORP	07/02/2008	02/13/2009	299.62	839.51	-539.89
260. APOLLO INVESTMENT CORP	07/02/2008	02/13/2009	1,295.84	3,637.87	-2,342.03
30. APOLLO INVESTMENT CORP	07/02/2008	02/13/2009	149.37	419.75	-270.38
100. BRISTOL MYERS SQUIBB CO	07/07/2008	02/18/2009	2,117.12	2,022.81	94.31
25. BRISTOL MYERS SQUIBB CO	07/07/2008	02/18/2009	521.00	514.56	6.44
200. NEWS CORP INC	04/14/2008	02/23/2009	1,204.81	3,519.18	-2,314.37
59468.439 FIDELITY ADVISOR HIGH	07/02/2008	02/24/2009	309,830.57	537,000.00	-227,169.43
210. APOLLO INVESTMENT CORP	07/02/2008	03/03/2009	722.83	2,938.28	-2,215.45
120. APOLLO INVESTMENT CORP	07/02/2008	03/03/2009	436.41	1,679.02	-1,242.61
95. STATE STREET CORP	10/15/2008	03/03/2009	2,152.41	4,920.33	-2,767 92
565. APOLLO INVESTMENT CORP	07/02/2008	03/04/2009	2,031.01	7,905.37	-5,874 36
6127 451 HARBOR INTERNATIONAL	10/30/2008	03/06/2009	185,600.49	250,000.00	-64,399.51
225. AMB PROPERTY CORP	06/18/2008	03/10/2009	2,592.17	11,959.89	-9,367.72
50. ADVANCE AUTO PARTS INC	04/28/2008	03/10/2009	1,897.33	1,760.99	136.34
1160. APARTMENT INVESTMENT & MANAGEMENT CO CLASS A	01/29/2009	03/10/2009	6,361.86	13,374.57	-7,012.71
Totals					

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THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
6.3223 AVALONBAY COMMUNITIES	01/29/2009	03/10/2009	285.17	335.46	-50.29
75. BOSTON PROPERTIES INC	04/15/2008	03/10/2009	2,578.98	7,026.88	-4,447.90
100. CAMDEN PROPERTY TRUST	08/14/2008	03/10/2009	1,943.76	5,149.88	-3,206.12
350. EXTRA SPACE STORAGE INC	08/14/2008	03/10/2009	1,869.30	5,183.73	-3,314.43
640. POST PROPERTIES INC	08/14/2008	03/10/2009	6,960.38	17,684.60	-10,724.22
260. REGENCY CENTERS CORP	01/21/2009	03/10/2009	6,440.08	9,062.04	-2,621.96
80. STARWOOD HOTELS & RESORTS	11/28/2008	03/10/2009	861.52	1,320.18	-458.66
245. APOLLO INVESTMENT CORP	07/02/2008	03/11/2009	603.80	3,427.99	-2,824.19
830. DOUGLAS EMMETT INC	11/28/2008	03/11/2009	6,622.36	8,492.90	-1,870.54
130. FEDERAL REALTY INVESTMENT TRUST	06/18/2008	03/11/2009	5,632.53	9,554.97	-3,922.44
485. MACERICH CO	12/16/2008	03/11/2009	4,485.94	12,021.29	-7,535.35
250. PROLOGIS	12/16/2008	03/11/2009	1,645.44	1,794.73	-149.29
435. SL GREEN REALTY CORP.	11/28/2008	03/11/2009	5,195.48	18,783.38	-13,587.90
90. VENTAS INC	11/28/2008	03/11/2009	2,209.98	2,030.71	179.27
215. VORNADO REALTY TRUST	11/28/2008	03/11/2009	7,574.94	17,097.45	-9,522.51
1040. APOLLO INVESTMENT CORP	07/02/2008	03/12/2009	2,479.35	14,551.47	-12,072.12
970. APOLLO INVESTMENT CORP	07/02/2008	03/13/2009	2,451.57	13,572.05	-11,120.48
955. APOLLO INVESTMENT CORP	07/02/2008	03/13/2009	2,357.41	13,362.17	-11,004.76
4595. APOLLO INVESTMENT CORP	07/02/2008	03/13/2009	11,630.33	64,292.32	-52,661.99
1030. APOLLO INVESTMENT CORP	07/02/2008	03/16/2009	2,594.35	14,411.55	-11,817.20
3325. APOLLO INVESTMENT CORP	07/02/2008	03/16/2009	8,603.72	46,522.73	-37,919.01
505. APOLLO INVESTMENT CORP	07/02/2008	03/17/2009	1,073.97	7,065.86	-5,991.89
2075. APOLLO INVESTMENT CORP	07/02/2008	03/17/2009	4,236.50	29,032.99	-24,796.49
50. APOLLO INVESTMENT CORP	07/02/2008	03/18/2009	109.71	699.59	-589.88
2155. APOLLO INVESTMENT CORP	07/02/2008	03/18/2009	4,602.61	30,152.33	-25,549.72
555. APOLLO INVESTMENT CORP	07/02/2008	03/19/2009	1,180.70	7,765.45	-6,584.75
2705. APOLLO INVESTMENT CORP	07/02/2008	03/19/2009	5,669.65	37,847.82	-32,178.17
1355. APOLLO INVESTMENT CORP	07/02/2008	03/19/2009	2,822.17	18,958.89	-16,136.72
.7535 VORNADO REALTY TRUST	03/12/2009	03/19/2009	28.50	24.17	4.33
80. APOLLO INVESTMENT CORP	07/02/2008	03/20/2009	166.79	1,119.34	-952.55
2765. APOLLO INVESTMENT CORP	07/02/2008	03/20/2009	5,636.42	38,687.33	-33,050.91
.2694 SIMON PROPERTY GROUP	03/18/2009	03/20/2009	8.71	9.14	-0.43
410. APOLLO INVESTMENT CORP	07/02/2008	03/23/2009	860.55	5,736.64	-4,876.09
7195. APOLLO INVESTMENT CORP	07/02/2008	03/23/2009	16,031.80	100,671.00	-84,639.20
Totals					

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THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
135. APOLLO INVESTMENT CORP	07/02/2008	03/24/2009	346.28	1,888.89	-1,542.61
1345. APOLLO INVESTMENT CORP	07/02/2008	03/24/2009	3,508.95	18,818.97	-15,310.02
4600. APOLLO INVESTMENT CORP	07/02/2008	03/24/2009	11,811.35	64,362.28	-52,550.93
1310. APOLLO INVESTMENT CORP	07/02/2008	03/25/2009	3,054.90	18,329.26	-15,274.36
2795. APOLLO INVESTMENT CORP	07/02/2008	03/25/2009	6,698.17	39,107.08	-32,408.91
215. APOLLO INVESTMENT CORP	07/02/2008	03/26/2009	497.94	3,008.23	-2,510.29
176. APOLLO INVESTMENT CORP	07/02/2008	03/26/2009	418.00	2,462.56	-2,044.56
25. ADVANCE AUTO PARTS INC	04/28/2008	04/02/2009	1,005.00	880.49	124.51
100. D R HORTON INC	01/26/2009	04/08/2009	961.20	649.14	312.06
100. D R HORTON INC	01/26/2009	04/08/2009	956.09	649.14	306.95
25. DANAHER CORP	04/21/2008	04/15/2009	1,347.12	1,884.40	-537.28
150. ECOLAB INC	01/14/2009	04/22/2009	5,599.92	4,971.48	628.44
7000. ISHARES RUSSELL MIDCAP					
INDEX FUND	10/30/2008	04/30/2009	439,599.19	637,480.72	-197,881.53
4500. SPDR TRUST SERIES 1	07/25/2008	04/30/2009	395,018.29	565,504.20	-170,485.91
6. SIMON PROPERTY GROUP INC	03/18/2009	05/04/2009	299.39	203.63	95.76
4. VORNADO REALTY TRUST	03/12/2009	05/04/2009	200.55	128.29	72.26
225. AMERICAN EXPRESS CO	03/19/2009	05/05/2009	5,977.49	3,055.93	2,921.56
100. CONSOLIDATED EDISON INC	03/31/2009	05/13/2009	3,541.90	3,973.27	-431.37
100. CONSOLIDATED EDISON INC	03/31/2009	05/13/2009	3,534.85	3,973.27	-438.42
100. D R HORTON INC	01/26/2009	05/13/2009	898.32	649.14	249.18
75. D R HORTON INC	01/26/2009	05/13/2009	673.11	486.86	186.25
45. SCHLUMBERGER LTD	01/23/2009	05/22/2009	2,336.63	1,782.69	553.94
100. METLIFE INC	12/10/2008	06/02/2009	3,200.55	3,186.46	14 09
4172. SPDR TRUST SERIES 1	07/25/2008	06/02/2009	395,930.56	524,285.23	-128,354.67
100. CONOCOPHILLIPS	10/17/2008	06/03/2009	4,568.79	5,242.92	-674.13
100. CONOCOPHILLIPS	03/04/2009	06/03/2009	4,563.35	5,109.60	-546.25
25. CONOCOPHILLIPS	03/18/2009	06/04/2009	1,143.78	923.68	220.10
4779 ISHARES RUSSELL MIDCAP					
INDEX FUND	10/30/2008	06/08/2009	316,421.40	294,540.76	21,880.64
50. WELLPOINT INC	04/09/2009	06/09/2009	2,254.53	2,017.35	237.18
100. ZIMMER HOLDINGS INC	02/06/2009	07/01/2009	4,189.19	4,035.26	153.93
25. ZIMMER HOLDINGS INC	02/06/2009	07/02/2009	1,011.54	1,008.82	2.72
125. HESS CORP	01/28/2009	07/08/2009	5,916.80	10,520.11	-4,603.31
Totals					

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Part IV
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THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
75. ROCKWELL INTERNATIONAL					
CORP NEW	04/09/2009	07/08/2009	2,237.96	2,134.04	103.92
100. JUNIPER NETWORKS INC	02/09/2009	07/22/2009	2,529.74	1,594.07	935.67
100. JUNIPER NETWORKS INC	02/09/2009	07/23/2009	2,640.57	1,594.07	1,046.50
175. LAM RESEARCH CORP	10/28/2008	07/24/2009	5,582.92	3,569.63	2,013.29
50. WELLPOINT INC	04/09/2009	07/28/2009	2,698.74	2,017.35	681.39
96.2205 TIME WARNER CABLE INC	02/23/2009	07/30/2009	3,208.41	2,693.64	514.77
100. URBAN OUTFITTERS INC	01/14/2009	08/13/2009	2,881.44	1,489.06	1,392.38
129000. JPMORGAN CHASE SARN SPX	02/06/2009	08/14/2009	137,127.00	129,000.00	8,127.00
200. GENERAL ELECTRIC CO	10/02/2008	09/01/2009	2,663.29	4,468.06	-1,804.77
200. NATIONAL SEMICONDUCTOR	04/03/2009	09/09/2009	3,119.18	2,363.64	755.54
125. SAFEWAY INC NEW	02/27/2009	09/11/2009	2,502.57	2,280.50	222.07
200. WYETH	01/28/2009	09/14/2009	9,572.91	8,530.43	1,042.48
50. CAREFUSION CORPORATION	06/09/2009	09/17/2009	1,086.27	830.47	255.80
100. ALTRIA GROUP INC	12/17/2008	09/22/2009	1,788.87	1,473.03	315.84
100. MCKESSON CORP FORMERLY					
MCKESSON HBOC INC	04/02/2009	10/07/2009	5,907.01	3,541.48	2,365.53
100. AMERIPRISE FINANCIAL INC	12/12/2008	10/13/2009	3,593.53	1,998.99	1,594.54
100. ANADARKO PETROLEUM CORP	04/09/2009	10/15/2009	6,548.57	4,311.82	2,236.75
100. CATERPILLAR INC	02/06/2009	10/15/2009	5,414.91	3,351.13	2,063.78
3300. ISHARES RUSSELL 2000 INDEX					
FUND	07/29/2009	10/21/2009	205,485.71	180,536.40	24,949.31
100. CATERPILLAR INC	04/21/2009	11/05/2009	5,750.47	2,768.93	2,981.54
100. AON CORP	07/29/2009	11/18/2009	4,008.08	3,980.86	27.22
500. ALTRIA GROUP INC	01/20/2009	11/20/2009	9,505.25	7,880.77	1,624.48
100. NOBLE CORPORATION	05/22/2009	11/20/2009	3,887.14	3,134.80	752.34
100. PAYCHEX INC	04/08/2009	12/01/2009	3,151.41	2,637.42	513.99
100. PAYCHEX INC	05/22/2009	12/01/2009	3,154.34	2,669.88	484.46
50. AMAZON COM INC	09/10/2009	12/07/2009	6,819.14	4,168.83	2,650.31
20. MASTERCARD INC - CLASS A	05/05/2009	12/07/2009	4,823.16	3,656.24	1,166.92
14. AOL INC	02/23/2009	12/10/2009	323.85	201.14	122.71
200. NATIONAL SEMICONDUCTOR	04/06/2009	12/14/2009	2,946.86	2,363.72	583.14
75. THE TRAVELERS COMPANIES	03/03/2009	12/16/2009	3,736.80	2,717.60	1,019.20
Totals			3707632.00	5158592.00	-1450960.00

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THE CLINTON FAMILY FUND NP PCIAA

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
15% RATE CAPITAL GAINS (LOSSES)					
100. DANAHER CORP	01/04/2008	01/14/2009	5,221.17	8,576.87	-3,355.70
100. PHILIP MORRIS INTL INC	12/15/2006	01/14/2009	4,126.98	4,446.83	-319.85
55. APPLE COMPUTER INC	12/15/2006	01/15/2009	4,471.65	4,827.85	-356.20
458884.56 JPMORGAN PRIME MONEY MKT FUND INSTL CL	12/31/2007	01/16/2009	458,884.56	458,884.56	
425. NEWS CORP INC	05/24/2007	01/16/2009	3,418.30	9,202.45	-5,784.15
200. NEWS CORP INC	06/29/2007	01/16/2009	1,594.53	4,428.30	-2,833.77
75. COLGATE PALMOLIVE CO	01/09/2008	01/20/2009	4,712.55	6,097.05	-1,384.50
100. AFLAC INC	01/03/2008	01/21/2009	3,538.42	6,315.01	-2,776.59
55. FEDERAL REALTY INVESTMENT TRUST	04/24/2007	01/21/2009	2,657.19	5,051.75	-2,394.56
100. ADVANCE AUTO PARTS INC	01/07/2008	01/22/2009	3,197.99	3,588.84	-390.85
70. COLGATE PALMOLIVE CO	01/09/2008	01/23/2009	4,288.19	5,690.58	-1,402.39
50. DANAHER CORP	01/04/2008	01/26/2009	2,785.82	4,288.44	-1,502.62
100. XTO ENERGY INC	01/03/2008	01/27/2009	3,675.56	5,347.00	-1,671.44
100. AT&T INC	10/10/2007	01/28/2009	2,610.89	4,203.60	-1,592.71
50. EXXON MOBIL CORPORATION	12/15/2006	01/28/2009	3,923.83	3,885.49	38.34
150. SCHERING PLOUGH CORP	08/03/2007	01/28/2009	2,808.96	4,407.04	-1,598.08
300. CISCO SYSTEMS INC	12/15/2006	01/29/2009	4,811.97	8,289.00	-3,477.03
.3223 AVALONBAY COMMUNITIES	12/15/2006	02/03/2009	16.36	42.01	-25.65
25. ADVANCE AUTO PARTS INC	01/07/2008	02/04/2009	837.73	897.21	-59.48
75. BANK OF NEW YORK MELLON	01/08/2008	02/05/2009	2,076.51	3,658.39	-1,581.88
50. UNITED TECHNOLOGIES CORP	12/15/2006	02/06/2009	2,435.92	3,114.00	-678.08
100. PEPSICO INC	01/09/2008	02/09/2009	5,313.97	7,891.27	-2,577.30
13540.084 JPMORGAN ASIA EQUITY	08/07/2007	02/10/2009	241,961.30	497,598.08	-255,636.78
31583.269 ROWE T PRICE NEW ASIA	08/07/2007	02/10/2009	237,190.35	580,816.32	-343,625.97
75. BANK OF NEW YORK MELLON	01/08/2008	02/17/2009	1,779.03	3,658.39	-1,879.36
100. AMERIPRISE FINANCIAL INC	01/04/2008	02/18/2009	1,820.00	5,406.15	-3,586.15
25. AMERIPRISE FINANCIAL INC	01/04/2008	02/18/2009	455.25	1,346.88	-891.63
175. MERCK & COMPANY INC	01/26/2007	02/18/2009	4,954.52	8,051.12	-3,096.60
100. MERCK & COMPANY INC	01/26/2007	02/18/2009	2,805.53	4,596.51	-1,790.98
100. XTO ENERGY INC	01/03/2008	02/19/2009	3,204.03	5,347.00	-2,142.97
Totals					

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THE CLINTON FAMILY FUND NP PCIAA

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
75. AFLAC INC	01/03/2008	02/23/2009	1,231.57	4,736.26	-3,504.69
575. NEWS CORP INC	11/13/2007	02/23/2009	3,463.84	12,131.65	-8,667.81
24036.511 FIDELITY ADVISOR HIGH	12/07/2007	02/24/2009	125,230.22	237,000.00	-111,769.78
50. ABBOTT LABORATORIES	01/10/2007	02/27/2009	2,392.63	2,507.50	-114.87
175. GENERAL ELECTRIC CO	12/15/2006	02/27/2009	1,515.56	6,529.23	-5,013.67
250. GENERAL ELECTRIC CO	12/15/2006	02/27/2009	2,251.44	9,327.48	-7,076.04
75. NIKE INC CL B	01/07/2008	02/27/2009	3,054.92	4,633.50	-1,578.58
75. ADVANCE AUTO PARTS INC	01/07/2008	03/03/2009	2,879.00	2,691.63	187.37
50. GILEAD SCIENCES INC	01/07/2008	03/04/2009	2,262.16	2,345.42	-83.26
14132.235 HARBOR INTERNATIONAL	04/20/2007	03/06/2009	428,065.40	870,000.00	-441,934.60
25. ABBOTT LABORATORIES	01/10/2007	03/10/2009	1,165.03	1,253.75	-88.72
199.6777 AVALONBAY COMMUNITIES	04/24/2007	03/10/2009	9,006.68	26,155.61	-17,148.93
365. BOSTON PROPERTIES INC	01/15/2008	03/10/2009	12,551.04	38,019.56	-25,468.52
95. CAMDEN PROPERTY TRUST	02/21/2008	03/10/2009	1,846.57	4,531.99	-2,685.42
395. CORPORATE OFFICE PPTYS TR	07/20/2007	03/10/2009	8,976.88	16,127.67	-7,150.79
110. ESSEX PROPERTY TRUST INC	12/19/2007	03/10/2009	6,512.10	12,761.49	-6,249.39
375. EXTRA SPACE STORAGE INC	06/26/2007	03/10/2009	2,002.83	6,172.59	-4,169.76
200. STARWOOD HOTELS & RESORTS	12/15/2006	03/10/2009	2,038.59	12,766.00	-10,727.41
285. STARWOOD HOTELS & RESORTS	04/24/2007	03/10/2009	3,069.18	19,271.55	-16,202.37
280. TAUBMAN CENTERS					
INCORPORATED	04/24/2007	03/10/2009	4,178.81	15,472.40	-11,293.59
545. EQUITY RESIDENTIAL					
PROPERTIES TR SHARES OF BE	11/20/2007	03/11/2009	10,845.60	19,367.26	-8,521.66
65. FEDERAL REALTY INVESTMENT					
TRUST	02/21/2008	03/11/2009	2,816.26	4,778.18	-1,961.92
480. HCP, INC	04/24/2007	03/11/2009	8,808.67	17,339.57	-8,530.90
285. MACERICH CO	06/22/2007	03/11/2009	2,636.06	23,712.00	-21,075.94
605. PROLOGIS	12/19/2007	03/11/2009	3,981.97	39,111.97	-35,130.00
170. PUBLIC STORAGE INC	04/24/2007	03/11/2009	9,574.10	16,359.10	-6,785.00
170. SL GREEN REALTY CORP.	01/15/2008	03/11/2009	2,030.42	19,558.28	-17,527.86
265. SIMON PROPERTY GROUP INC	04/24/2007	03/11/2009	8,755.97	29,833.70	-21,077.73
445. VENTAS INC	05/29/2007	03/11/2009	10,927.14	19,408.23	-8,481.09
70. VORNADO REALTY TRUST	02/21/2008	03/11/2009	2,466.26	6,070.95	-3,604.69
50. DANAHER CORP	01/04/2008	03/12/2009	2,664.36	4,288.43	-1,624.07
200. STRATEGIC HOTEL CAP INC	01/17/2007	03/13/2009	155.32	4,273.83	-4,118.51
Totals					

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THE CLINTON FAMILY FUND NP PCIAA

Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
200. STRATEGIC HOTEL CAP INC	01/17/2007	03/13/2009	155.74	4,112.14	-3,956 40
75. MORGAN STANLEY	09/04/2007	03/16/2009	1,893.13	4,742.63	-2,849.50
400. STRATEGIC HOTEL CAP INC	01/22/2007	03/16/2009	280 24	8,264.26	-7,984.02
125. CISCO SYSTEMS INC	12/15/2006	03/17/2009	1,974.99	3,453.75	-1,478.76
100. MORGAN STANLEY	10/24/2007	03/17/2009	2,331.31	6,173.93	-3,842.62
175. STRATEGIC HOTEL CAP INC	01/22/2007	03/17/2009	107.62	3,619.38	-3,511.76
150. MARATHON OIL CORP	02/22/2008	03/18/2009	3,576.73	7,593 87	-4,017.14
25. DANAHER CORP	01/04/2008	03/19/2009	1,375.70	2,144.22	-768.52
9048.674 JPMORGAN INTREPID					
INTERNATIONAL FUND	04/20/2007	03/19/2009	96,187.40	217,032.29	-120,844.89
14749.263 THORNBURG VALUE FUND	01/25/2008	03/19/2009	287,315.64	500,000.00	-212,684.36
150. CISCO SYSTEMS INC	03/01/2007	03/23/2009	2,515.69	4,034.25	-1,518.56
200. MERCK & COMPANY INC	02/27/2007	03/23/2009	5,514.69	8,896.65	-3,381.96
100. FIRST ENERGY CORP	01/04/2008	03/31/2009	3,881.58	7,282.00	-3,400.42
95. FIRST ENERGY CORP	01/04/2008	04/01/2009	3,671.53	6,917.90	-3,246.37
150. AETNA INC NEW	01/09/2008	04/02/2009	3,668.97	8,833.56	-5,164.59
100. CISCO SYSTEMS INC	03/01/2007	04/02/2009	1,789.20	2,616.00	-826.80
25. GILEAD SCIENCES INC	01/07/2008	04/02/2009	1,118.80	1,172.71	-53.91
300. WELLS FARGO COMPANY NEW	06/13/2007	04/02/2009	4,650.21	10,832.40	-6,182.19
100. QUALCOMM INC	12/15/2006	04/03/2009	4,112.92	3,947.00	165.92
100. XILINX INC	11/09/2007	04/03/2009	1,991.89	2,325.15	-333.26
100. BOEING CO	12/15/2006	04/06/2009	3,677.34	9,064.90	-5,387.56
75. AETNA INC NEW	01/09/2008	04/09/2009	1,894.93	4,416.78	-2,521.85
25. OCCIDENTAL PETROLEUM CORP	01/12/2007	04/09/2009	1,463.96	1,086.19	377.77
50. QUALCOMM INC	12/15/2006	04/09/2009	2,081.86	1,973.50	108.36
50. SCHLUMBERGER LTD	01/12/2007	04/09/2009	2,237.90	2,876.44	-638.54
.333 TIME WARNER INC	02/08/2008	04/10/2009	7.07	11.66	-4.59
.5235 TIME WARNER CABLE INC	02/08/2008	04/10/2009	13.38	24.06	-10.68
75. DANAHER CORP	01/04/2008	04/15/2009	4,041.36	6,432.65	-2,391.29
300. BANK OF AMERICA CORP NEW	12/15/2006	04/29/2009	2,561.99	15,920.97	-13,358.98
25. IBM CORP	12/15/2006	04/29/2009	2,605.57	2,380.50	225.07
100. WELLS FARGO COMPANY NEW	06/13/2007	04/29/2009	1,982.21	3,578.78	-1,596.57
200. XILINX INC	12/11/2007	04/29/2009	4,025.38	4,597.73	-572 35
100. CORNING INC	12/15/2006	04/30/2009	1,493.99	1,947.99	-454.00
712.592 ROWE T PRICE NEW ASIA	08/07/2007	04/30/2009	6,848.01	13,104.57	-6,256.56
Totals					

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THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
200. JOHNSON CONTROLS	12/15/2006	05/13/2009	3,528.43	5,623.33	-2,094.90
50. IBM CORP	12/15/2006	05/22/2009	5,131.64	4,761.00	370.64
100. KOHLS CORP	12/17/2007	05/22/2009	4,128.28	4,751.34	-623.06
25. MONSANTO COMPANY	01/03/2008	05/22/2009	2,170.09	2,929.84	-759.75
155. SCHLUMBERGER LTD	01/14/2008	05/22/2009	8,048.38	12,639.26	-4,590.88
5932. JPMORGAN INTREPID AMERICA					
FUND	12/15/2006	06/03/2009	98,767.80	167,697.64	-68,929.84
100. MERCK & COMPANY INC	04/02/2007	06/03/2009	2,736.69	4,462.54	-1,725.85
100 BARD C R INC	01/03/2008	06/08/2009	7,349.35	9,383.93	-2,034.58
75. DANAHER CORP	04/21/2008	06/08/2009	4,731.41	5,653.21	-921.80
33422.46 JPMORGAN US LARGE					
CAPITAL CORE PLUS FUND	04/20/2007	06/08/2009	500,000.00	676,136.37	-176,136.37
75. DEVON ENERGY CORPORATION	01/10/2008	06/09/2009	4,794.83	5,554.15	-759.32
175. MERCK & COMPANY INC	01/10/2008	06/09/2009	4,497.07	9,322.40	-4,825.33
100. NIKE INC CL B	01/07/2008	06/17/2009	5,498.19	6,178.00	-679.81
100. SAFEWAY INC NEW	07/23/2007	06/24/2009	2,072.51	3,436.34	-1,363.83
100. YUM! BRANDS INC	01/09/2008	06/24/2009	3,265.14	3,708.26	-443.12
100. CVS CORP	12/15/2006	07/01/2009	3,177.23	3,107.00	70.23
100. EXXON MOBIL CORPORATION	12/15/2006	07/01/2009	7,070.06	7,770.99	-700.93
100. SAFEWAY INC NEW	09/12/2007	07/01/2009	2,036.21	3,150.03	-1,113.82
25. EXXON MOBIL CORPORATION	12/15/2006	07/07/2009	1,674.81	1,942.75	-267.94
250000. BARCLAYS REN SPX					
7/22/09	07/03/2008	07/22/2009	182,939.75	250,000.00	-67,060.25
250000. DEUTSCHE BK BREN EAFE					
7/22/09	07/03/2008	07/22/2009	202,704.43	250,000.00	-47,295.57
200000. JPMORGAN CHASE FX CDLP					
7/24/09	07/10/2008	07/24/2009	194,000.00	200,000.00	-6,000.00
18684.211 EDGEWOOD GROWTH FUND	08/09/2007	07/29/2009	177,500.00	220,473.69	-42,973.69
400. YAHOO! INC	01/10/2008	07/29/2009	6,115.84	9,803.78	-3,687.94
74.7795 TIME WARNER CABLE INC	05/05/2008	07/30/2009	2,493.47	3,461.89	-968.42
100. TD AMERITRADE HOLDING					
CORPORATION	04/18/2007	08/10/2009	1,924.41	1,566.20	358.21
100. TD AMERITRADE HOLDING					
CORPORATION	08/02/2007	08/10/2009	1,928.16	1,680.48	247.68
300. GENERAL ELECTRIC CO	01/14/2008	08/13/2009	4,244.89	11,145.11	-6,900.22
Totals					

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THE CLINTON FAMILY FUND NP PCIAA
Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
65000. FEDERAL HOME LOAN BANK	08/08/2008	08/21/2009	65,907.08	65,785.72	121.36
30000. U S A NOTES-USD	08/08/2008	08/21/2009	30,919.92	30,864.84	55.08
250000. JPMORGAN CHASE BREN AIB	08/08/2008	08/27/2009	250,000.00	250,000.00	
375. GENERAL ELECTRIC CO	01/14/2008	09/01/2009	4,993.67	13,273.39	-8,279.72
100. EXELON CORPORATION	06/05/2008	09/10/2009	4,864.96	8,951.60	-4,086.64
100. SAFEWAY INC NEW	09/12/2007	09/10/2009	1,980.59	3,162.13	-1,181.54
100. SAFEWAY INC NEW	09/19/2007	09/10/2009	1,971.18	3,383.99	-1,412.81
100. EXELON CORPORATION	07/09/2008	09/11/2009	4,857.02	9,026.86	-4,169.84
100. SAFEWAY INC NEW	10/17/2007	09/11/2009	2,002.06	3,231.18	-1,229.12
850. SCHERING PLOUGH CORP	10/22/2007	09/14/2009	24,339.63	24,088.28	251.35
25. APACHE CORP	01/04/2008	09/18/2009	2,354.14	2,745.00	-390.86
250000. CREDIT SUISSE BREN EAFE					
10/01/09	09/12/2008	10/01/2009	239,470.00	250,000.00	-10,530.00
250000. MORGAN STANLEY BREN AIB	09/12/2008	10/01/2009	300,878.93	250,000.00	50,878.93
200. EXXON MOBIL CORPORATION	12/15/2006	10/02/2009	13,299.80	15,541.98	-2,242.18
250000. BARCLAYS BREN SPX					
10/01/09	09/12/2008	10/08/2009	234,821.75	250,000.00	-15,178.25
75. AMERIPRISE FINANCIAL INC	01/04/2008	10/13/2009	2,695.15	4,040.64	-1,345.49
100. PRAXAIR INC	12/15/2006	10/13/2009	8,280.84	6,200.99	2,079.85
200. MERCK & CO INC	10/10/2008	11/04/2009	6,439.25	9,192.26	-2,753.01
100. AXIS CAPITAL HOLDINGS LTD	09/16/2008	11/16/2009	2,949.70	3,399.07	-449.37
40000. FED NATL MTG ASSN 6.625%					
DUE 11/15/2010	08/08/2008	11/24/2009	42,436.72	42,808.68	-371.96
20. GOLDMAN SACHS GROUP INC	04/04/2008	12/07/2009	3,323.03	3,554.31	-231.28
48. AOL INC	12/05/2008	12/10/2009	1,110.33	1,076.35	33.98
100. THE TRAVELERS COMPANIES	09/16/2008	12/14/2009	5,087.59	4,833.01	254.58
100. BRISTOL MYERS SQUIBB CO	07/09/2008	12/16/2009	2,574.99	2,137.15	437.84
100. BRISTOL MYERS SQUIBB CO	07/09/2008	12/16/2009	2,571.26	2,163.46	407.80
10. THE TRAVELERS COMPANIES	10/31/2008	12/16/2009	498.24	401.02	97.22
TOTAL 15% RATE CAPITAL GAINS (LOSSES)			4879141.00	7206103.00	-2326962.00
Totals			4879141.00	7206103.00	-2326962.00

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