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**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2009**

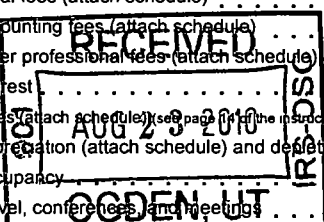
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>AVY AND MARCIE STEIN FOUNDATION</b>		A Employer identification number <b>36-3993406</b>
	Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions)
	<b>ONE NORTH WACKER DRIVE</b>	<b>4800</b>	( ) -
	City or town, state, and ZIP code <b>CHICAGO, IL 60606</b>		C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>178,090.</b>		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch. B. . . . .	645,408.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
	3 Interest on savings and temporary cash investments . . . . .	101.	101.		
	4 Dividends and interest from securities . . . . .	9.	9.		
	5a Gross rents . . . . .				
	b Net rental income or (loss) . . . . .				
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	-502.			
	b Gross sales price for all assets on line 6a . . . . .	405,974.			
	7 Capital gain net income (from Part IV, line 2) . . . . .		268,922.		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances . . . . .				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 Total. Add lines 1 through 11 . . . . .	645,016.	269,032.		
	13 Compensation of officers, directors, trustees, etc. . . . .	0.			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	4,420.	2,210.		2,210.
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see page 11 of the instructions) . . . . .				
	19 Depreciation (attach schedule) and depletion . . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	732.			732.
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	5,152.	2,210.		2,942.
	25 Contributions, gifts, grants paid . . . . .	491,415.			491,415.
	26 Total expenses and disbursements. Add lines 24 and 25 . . . . .	496,567.	2,210.		494,357.
	27 Subtract line 26 from line 12 . . . . .				
	a Excess of revenue over expenses and disbursements . . . . .	148,449.			
	b Net investment income (if negative, enter -0-) . . . . .		266,822.		
	c Adjusted net income (if negative, enter -0-) . . . . .			-0-	



19  
19

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing . . . . .		7,822.	52,506.	52,506.
	2	Savings and temporary cash investments . . . . .		21,819.	125,584.	125,584.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans . . . . .					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)			29,641.	178,090.	178,090.
Liabilities	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted . . . . .				
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds . . . . .		29,641.	178,090.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .				
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .		0.	0.	
	30	Total net assets or fund balances (see page 17 of the instructions)		29,641.	178,090.	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)		29,641.	178,090.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	29,641.
2	Enter amount from Part I, line 27a	2	148,449.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	178,090.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	178,090.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b> Capital gain net income or (net capital loss) . . . . .			<b>2</b>	268,922.
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8. . . . .			<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	781,340.	49,076.	15.921020
2007	695,746.	249,383.	2.789869
2006	787,915.	103,430.	7.617857
2005	587,606.	31,146.	18.866179
2004	716,789.	149,261.	4.802252
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 49.997177
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 9.999435
<b>4</b> Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .			<b>4</b> 74,737.
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 747,328.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 2,668.
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 749,996.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			<b>8</b> 494,357.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	5,336.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	5,336.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	5,336.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 3,950.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d		7	3,950.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ATTACHMENT 2		9	1,435.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 0. Refunded		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> AVY STEIN Telephone no <input type="checkbox"/> 312-422-2400			
Located at <input type="checkbox"/> ONE NORTH WACKER DRIVE CHICAGO, IL ZIP + 4 <input type="checkbox"/> 60606				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 3		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	0.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	75,875.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	0.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	75,875.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	75,875.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	1,138.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	74,737.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	3,737.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	3,737.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	5,336.
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	5,336.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	-1,599.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	-1,599.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	494,357.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	0.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	0.
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0.
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	494,357.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	494,357.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7 . . . . .				0.
2 Undistributed income, if any, as of the end of 2009			0.	
a Enter amount for 2008 only . . . . .		0.		
b Total for prior years 20 07, 20 06, 20 05 . . . . .		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004 . . . . .				711,668.
b From 2005 . . . . .				588,705.
c From 2006 . . . . .				795,319.
d From 2007 . . . . .				686,239.
e From 2008 . . . . .				781,548.
f Total of lines 3a through e . . . . .	3,563,479.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 494,357.				
a Applied to 2008, but not more than line 2a . . . . .			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		0.		
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	0.			
d Applied to 2009 distributable amount . . . . .				0.
e Remaining amount distributed out of corpus . . . . .	494,357.			
5 Excess distributions carryover applied to 2009 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .	4,057,836.			
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0.		
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . .				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	711,668.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	3,346,168.			
10 Analysis of line 9				
a Excess from 2005 . . . . .	588,705.			
b Excess from 2006 . . . . .	795,319.			
c Excess from 2007 . . . . .	686,239.			
d Excess from 2008 . . . . .	781,548.			
e Excess from 2009 . . . . .	494,357.			

Form 990-PF (2009)



**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  ATTACHMENT 4				
<b>Total. . . . . ▶ 3a</b>				491,415.
<b>b Approved for future payment</b>				
<b>Total. . . . . ▶ 3b</b>				

Form 990-PF (2009)



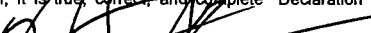
## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<p><b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p><b>b</b> Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p>	<p><b>Yes</b></p>	<p><b>No</b></p>

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	 Signature of officer, or trustee		8-12-2010 Date		
Paid Preparer's Use Only	Preparer's signature ALAN H. MEDANSKY		Date 07/20/2010	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (See Signature on page 30 of the instructions) P00071189
	Firm's name (or yours if self-employed), address, and ZIP code TOPEL FORMAN L.L.C. 500 NORTH MICHIGAN AVE, SUITE 1700 CHICAGO, IL 60611			EIN 36-2469413 Phone no 312-642-0006	

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

**2009**

Name of the organization

AVY AND MARCIE STEIN FOUNDATION

Employer identification number

36-3993406

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization **AVY AND MARCIE STEIN FOUNDATION**Employer identification number  
36-3993406**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	AVY & MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL 60022	\$ 238,932.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	AVY & MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL 60022	\$ 2,307.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
3	AVY & MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL 60022	\$ 3,594.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
4	AVY & MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL 60022	\$ 5,564.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
5	AVY & MARCIE STEIN 57 MAPLE HILL ROAD GLENCOE, IL 60022	\$ 427.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)
6	AVY & MARCIE STEIN 57 MAPLE HILL RD GLENCOE, IL 60022	\$ 4,719.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization **AVY AND MARCIE STEIN FOUNDATION**Employer identification number  
36-3993406**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
7	AVY & MARCIE STEIN 57 MAPLE HILL ROAD GLENCOE, IL 60022	\$ 284.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution )
8	AVY & MARCIE STEIN 57 MAPLE HILL ROAD GLENCOE, IL 60022	\$ 164,005.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution )
9	AVY & MARCIE STEIN 57 MAPLE HILL ROAD GLENCOE, IL 60022	\$ 225,576.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution )

Name of organization AVY AND MARCIE STEIN FOUNDATIONEmployer identification number  
36-3993406**Part II** Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>2</u>	<u>40 SHS: AMGEN INC (AMGN)</u> _____ _____ _____	\$ <u>2,307.</u>	<u>02/11/2009</u>
<u>3</u>	<u>160 SHS: BRISTOL-MEYERS SQUIBB CO (BMY)</u> _____ _____ _____	\$ <u>3,594.</u>	<u>02/11/2009</u>
<u>4</u>	<u>67 SHS: GENENTECH INC (DNA)</u> _____ _____ _____	\$ <u>5,564.</u>	<u>02/11/2009</u>
<u>5</u>	<u>10 SHS: HARRIS CORPORATION (HRS)</u> _____ _____ _____	\$ <u>427.</u>	<u>02/11/2009</u>
<u>6</u>	<u>50 SHS: INT'L BUSINESS MACHINES (IBM)</u> _____ _____ _____	\$ <u>4,719.</u>	<u>02/11/2009</u>
<u>7</u>	<u>10 SHS: WATSON PHARMACEUTICALS (WPI)</u> _____ _____ _____	\$ <u>284.</u>	<u>02/11/2009</u>

Name of organization **AVY AND MARCIE STEIN FOUNDATION**Employer identification number  
36-3993406**Part II** Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
8	928 SHS: GOLDMAN SACHS GROUP (GS)	\$ 164,005.	09/15/2009
9	1,375 SHS: GOLDMAN SACHS GROUP (GS)	\$ 225,576.	12/15/2009
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

ATTACHMENT 1

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION BANK CHARGES	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
	732.	732.
TOTALS	<u>732.</u>	<u>732.</u>

AVY AND MARCIE STEIN FOUNDATION

36-3993406

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 3

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
AVY STEIN ONE NORTH WACKER DRIVE 4800 CHICAGO, IL 60606	DIRECTOR	0.	0.	0.
MARCIE STEIN ONE NORTH WACKER DRIVE 4800 CHICAGO, IL 60606	DIRECTOR	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 9900PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ACTOR'S THEATRE COMPANY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,500
ADL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000.
ALZHEIMER'S ASSOCIATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500.
AMERICAN CANCER SOCIETY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	300.
AMERICAN JEWISH COMMITTEE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	6,000
AMERICAN JUNIOR GOLF ASSOCIATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000

FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
AVON WALK FOR BREAST CANCER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250
B U I L D	NO RELATIONSHIP PUBLIC	UNRESTRICTED	15,000
BENEFIT BOARD OF NORTH SHORE COUNTRY DAY SCHOOL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	5,450.
BIRTHRIGHT ISRAEL FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000
BLIND CHILDREN'S CENTER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500.
CA RED PRODUCTIONS NFP	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CANCER WELLNESS CENTER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,200
CENTER FOR ENRICHED LIVING	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
CHICAGO DRAMATISTS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
CHICAGO HUMANITIES FESTIVAL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	26,000
CHILDREN'S CAUSE FOR CANCER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
CHRISTOPHER REEVE FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500



FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CIVIC FEDERATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	300
CLARK CARES FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250
COUNTRY SHRINE CLUB	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
DREAM ASSOCIATES	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
DSCI	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,500
FACING HISTORY & OURSELVES	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FAMILY HOPE SERVICES	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
FAMILY SERVICES OF GLENCOE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,250
FLORENCE FULLER CHILD DEVELOPMENT CENTER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000.
FOLDS OF HONOR FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
FREE WHEELCHAIR MINISTRY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500.
FRIENDS FOR HEALTH	NO RELATIONSHIP PUBLIC	UNRESTRICTED	5,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
	FOUNDATION STATUS OF RECIPIENT			
FRIENDS OF GLENCOE PUBLIC LIBRARY	NO RELATIONSHIP PUBLIC		UNRESTRICTED	100
GLEN OAKS HOSPITAL	NO RELATIONSHIP PUBLIC		UNRESTRICTED	200
GLOBAL MINISTRIES	NO RELATIONSHIP PUBLIC		UNRESTRICTED	8,925.
HARRIS THEATER FOR MUSIC AND DANCE	NO RELATIONSHIP PUBLIC		UNRESTRICTED	2,000
HARVARD LAW SCHOOL	NO RELATIONSHIP PUBLIC		UNRESTRICTED	25,000
HIGHLAND PARK PLAYERS	NO RELATIONSHIP PUBLIC		UNRESTRICTED	500

FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HIGHLAND PARK STRINGS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500.
HOST FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
IDA CROWN ICJA	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
ILLINOIS INFANT WELFARE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250
JCCA	NO RELATIONSHIP PUBLIC	UNRESTRICTED	40
JEWISH UNITED FUND	NO RELATIONSHIP PUBLIC	UNRESTRICTED	7,500.

AVY AND MARCIE STEIN FOUNDATION

36-3993406

FORM 990BF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JOFFREY BALLEET	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500.
JUVENILE DIABETES RESEARCH FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	600
KALLEEN FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250
KOHLE'S CHILDREN'S MUSEUM	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
LA RED PRODUCTIONS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000
LAKESIDE SINGERS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
LEUKEMIA AND LYMPHOMA SOCIETY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
LINKS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
MERCY HOMES	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
MERIT SCHOOL OF MUSIC	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	12,500
NEW YORK UNIVERSITY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
NICKLAUS CHILDREN'S HEALTH CARE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000
NORTH LAWDALE COLLEGE PREP	NO RELATIONSHIP PUBLIC	UNRESTRICTED	5,000
NORTH SHORE CONGREGATIONAL ISRAEL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	26,000
NORTH SHORE COUNTRY DAY SCHOOL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	8,500
NORTH SUBURBAN MEDICAL RESEARCH	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
NORTHWESTERN UNIVERSITY	NO RELATIONSHIP PUBLIC	UNRESTRICTED	7,500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
PADS CRISIS SERVICES	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250
PARTNERS FOR PROGRESS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
PORCHLIGHT MUSIC THEATRE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000
POTOMAC HOSPITAL FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	500
RAVINIA FESTIVAL	NO RELATIONSHIP PUBLIC	UNRESTRICTED	63,000
SAN MIGUEL SCHOOLS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500



FORM 990BFF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
STARLIGHT CHILDREN'S FOUNDATION	NO RELATIONSHIP PUBLIC	UNRESTRICTED	2,500
STEPPENWOLF THEATER GROUP	NO RELATIONSHIP PUBLIC	UNRESTRICTED	5,000
SUNSHINE THROUGH GOLF	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000.
TEACH FOR AMERICA	NO RELATIONSHIP PUBLIC	UNRESTRICTED	10,000
TREE HOUSE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000
UNICEF	NO RELATIONSHIP PUBLIC	UNRESTRICTED	1,000

FORM 990BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 4 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
UNITED STATES HOLOCAUST MUSEUM	NO RELATIONSHIP PUBLIC	UNRESTRICTED	25,000
UNIVERSITY OF ILLINOIS	NO RELATIONSHIP PUBLIC	UNRESTRICTED	77,900
URBAN STUDENTS EMPOWERED	NO RELATIONSHIP PUBLIC	UNRESTRICTED	150
VOLUNTEER CENTER OF NEW TRIER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	250.
WOMEN'S BOARD OF NORTH SHORE	NO RELATIONSHIP PUBLIC	UNRESTRICTED	12,500
WRITER'S THEATER	NO RELATIONSHIP PUBLIC	UNRESTRICTED	28,750
TOTAL CONTRIBUTIONS PAID			491,415

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,298.		40 SHS: AMGEN INC PROPERTY TYPE: SECURITIES 1,782.				D	01/23/2008 516.	02/11/2009
3,480.		160 SHS: BRISTOL-MEYERS SQUIBB PROPERTY TYPE: SECURITIES 3,480.				D	11/04/2005	02/11/2009
5,541.		67 SHS: GENENTECH INC PROPERTY TYPE: SECURITIES 4,539.				D	01/07/2008 1,002.	02/11/2009
418.		10 SHS: HARRIS CORPORATION PROPERTY TYPE: SECURITIES 391.				D	07/14/2006 27.	02/11/2009
4,664.		50 SHS: INTL BUSINESS MACHINES PROPERTY TYPE: SECURITIES 4,547.				D	11/28/2006 117.	02/11/2009
286.		10 SHS: WATSON PHARMACEUTICALS PROPERTY TYPE: SECURITIES 254.				D	01/23/2008 32.	02/11/2009
164,372.		928 SHS: GOLDMAN SACHS GROUP PROPERTY TYPE: SECURITIES 49,184.				D	05/03/1999 115,188.	09/15/2009
224,915.		1,375 SHS: GOLDMAN SACHS GROUP PROPERTY TYPE: SECURITIES 72,875.				D	05/03/1999 152,040.	12/15/2009
TOTAL GAIN (LOSS) .....							<u>268,922.</u>	