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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type
See Specific
Instructions

Name of foundation

HALF MOON FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

227 W. MONROE STREET

Room/suite

4350

City or town, state, and ZIP code

CHICAGO, IL 60606

A Employer identification number

36-3715158

B Telephone number

312-345-6800

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test,
check here and attach computation ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method ☒ Cash ☐ Accrual

(from Part II, col (c), line 16)

☐ Other (specify) _____

\$ 607,024. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a))(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received

335,000.

N/A

2 Check ☐ if the foundation is not required to attach Sch B3 Interest on savings and temporary
cash investments

4 Dividends and interest from securities

15,997.

15,997.

Statement 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

-43,810.

b Gross sales price for all
assets on line 6a 290,365.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

307,187.

15,997.

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees Stmt 2

235.

235.

b Accounting fees Stmt 3

1,500.

1,500.

c Other professional fees Stmt 4

5,789.

5,789.

17 Interest

18 Taxes Stmt 5

229.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

24 Total operating and administrative

expenses Add lines 13 through 23

7,753.

7,524.

25 Contributions, gifts, grants paid

334,200.

26 Total expenses and disbursements

Add lines 24 and 25

341,953.

7,524.

27 Subtract line 26 from line 12

-34,766.

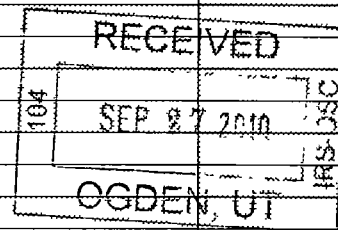
a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

8,473.

c Adjusted net income (if negative, enter -0-)

N/A



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16
5
2

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

Assets

1 Cash - non-interest-bearing

25,091.

35,572.

35,572.

2 Savings and temporary cash investments

3 Accounts receivable ▶

Less: allowance for doubtful accounts ▶

4 Pledges receivable ▶

Less: allowance for doubtful accounts ▶

5 Grants receivable

6 Receivables due from officers, directors, trustees, and other disqualified persons

7 Other notes and loans receivable ▶

Less: allowance for doubtful accounts ▶

8 Inventories for sale or use

9 Prepaid expenses and deferred charges

10a Investments - U.S. and state government obligations

b Investments - corporate stock

Stmt 7

401,019.

209,661.

216,557.

c Investments - corporate bonds

Stmt 8

163,014.

39,208.

37,568.

11 Investments - land, buildings, and equipment: basis ▶

Less: accumulated depreciation ▶

12 Investments - mortgage loans

13 Investments - other

Stmt 9

23,007.

290,997.

317,327.

14 Land, buildings, and equipment: basis ▶

Less: accumulated depreciation ▶

15 Other assets (describe ▶)

16 Total assets (to be completed by all filers)

612,131.

575,438.

607,024.

Liabilities

17 Accounts payable and accrued expenses

18 Grants payable

19 Deferred revenue

20 Loans from officers, directors, trustees, and other disqualified persons

21 Mortgages and other notes payable

22 Other liabilities (describe ▶)

23 Total liabilities (add lines 17 through 22)

0.

0.

Net Assets or Fund Balances

Foundations that follow SFAS 117, check here ▶ ☐

and complete lines 24 through 26 and lines 30 and 31

24 Unrestricted

25 Temporarily restricted

26 Permanently restricted

Foundations that do not follow SFAS 117, check here ▶ ☒

and complete lines 27 through 31.

27 Capital stock, trust principal, or current funds

612,131.

575,438.

28 Paid-in or capital surplus, or land, bldg., and equipment fund

0.

0.

29 Retained earnings, accumulated income, endowment, or other funds

0.

0.

30 Total net assets or fund balances

612,131.

575,438.

31 Total liabilities and net assets/fund balances

612,131.

575,438.

Part III Analysis of Changes in Net Assets or Fund Balances1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30
(must agree with end-of-year figure reported on prior year's return)

1

612,131.

2 Enter amount from Part I, line 27a

2

-34,766.

3 Other increases not included in line 2 (itemize) ▶

3

0.

4 Add lines 1, 2, and 3

4

577,365.

5 Decreases not included in line 2 (itemize) ▶

See Statement 6

5

1,927.

6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30

6

575,438.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a BANK OF AMERICA (SEE ATTACHED)	P	Various	Various
b BANK OF AMERICA (SEE ATTACHED)	P	Various	Various
c Capital Gains Dividends			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 53,460.		58,566.	-5,106.
b 236,341.		275,609.	-39,268.
c 564.			564.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			-5,106.
b			-39,268.
c			564.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-43,810.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	322,659.	933,115.	.345787
2007	329,766.	1,055,740.	.312355
2006	274,698.	1,139,828.	.241000
2005	186,817.	1,008,116.	.185313
2004	111,846.	890,949.	.125536

2 Total of line 1, column (d)	2	1.209991
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.241998
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	532,450.
5 Multiply line 4 by line 3	5	128,852.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	85.
7 Add lines 5 and 6	7	128,937.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	334,200.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	85.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	85.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	85.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,889.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,889.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,804.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax <input checked="" type="checkbox"/> 3,804. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► CREDIT SUISSE Located at ► 227 W. MONROE STREET SUITE 4350, CHICAGO, IL	Telephone no ► 312-345-6800 ZIP+4 ► 60606		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 N/A		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TRACY L. MERRILL 726 WEED STREET NEW CANAAN, CT 06840	PRESIDENT/DIR.	0.00	0.	0.
JOSEPH W. MERRILL 726 WEED STREET NEW CANAAN, CT 06840	VICE-PRES/DIR.	0.00	0.	0.
OLIVER MERRILL 726 WEED STREET NEW CANAAN, CT 06840	DIR/SEC/TREAS.	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	494,843.
b	Average of monthly cash balances	1b	45,715.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	540,558.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	540,558.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,108.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	532,450.
6	Minimum investment return. Enter 5% of line 5	6	26,623.

Part XI Distributable Amount (see instructions) (Section 4942(i)(3) and (i)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	26,623.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	85.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	85.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,538.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	26,538.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	26,538.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	334,200.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	334,200.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	85.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	334,115.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				26,538.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004	63,980.			
b From 2005	142,977.			
c From 2006	222,911.			
d From 2007	284,747.			
e From 2008	276,225.			
f Total of lines 3a through e	990,840.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$	334,200.			
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				26,538.
e Remaining amount distributed out of corpus	307,662.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,298,502.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	63,980.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	1,234,522.			
10 Analysis of line 9				
a Excess from 2005	142,977.			
b Excess from 2006	222,911.			
c Excess from 2007	284,747.			
d Excess from 2008	276,225.			
e Excess from 2009	307,662.			

Part XV	Supplementary Information (continued)
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3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> SEE ATTACHED STATEMENT				334,200.
Total				334,200.
b <i>Approved for future payment</i> None				
Total				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	15,997.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			01		
8	Gain or (loss) from sales of assets other than inventory			18	564.	-44,374.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0.		16,561.	-44,374.
13	Total. Add line 12, columns (b), (d), and (e)				13	-27,813.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

HALF MOON FOUNDATION

Employer identification number

36-3715158

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

HALF MOON FOUNDATION

36-3715158

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JOE AND TRACY MERRILL	\$ 335,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)

Form 990-PF	Dividends and Interest from Securities	Statement 1
-------------	--	-------------

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
BANK OF AMERICA	564.	564.	0.
BANK OF AMERICA DIVIDENDS	14,219.	0.	14,219.
BANK OF AMERICA FOREIGN	1,778.	0.	1,778.
Total to Fm 990-PF, Part I, ln 4	16,561.	564.	15,997.

Form 990-PF	Legal Fees	Statement 2
-------------	------------	-------------

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
LEGAL FEES	235.	235.		0.
To Fm 990-PF, Pg 1, ln 16a	235.	235.		0.

Form 990-PF	Accounting Fees	Statement 3
-------------	-----------------	-------------

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
CREDIT SUISSE TAX PREP FEE	1,500.	1,500.		0.
To Form 990-PF, Pg 1, ln 16b	1,500.	1,500.		0.

Form 990-PF	Other Professional Fees	Statement 4
-------------	-------------------------	-------------

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
CREDIT SUISSE INVESTMENT FEE	3,740.	3,740.		0.
BANK OF AMERICA CUSTODY FEES	1,382.	1,382.		0.
ILLINOIS CHARITY BUREAU	15.	15.		0.
MISC EXPENSE	652.	652.		0.
To Form 990-PF, Pg 1, ln 16c	5,789.	5,789.		0.

Form 990-PF	Other Investments	Statement	9
Description	Valuation Method	Book Value	Fair Market Value
IPATH	COST	23,007.	18,975.
METROPOLITAN WEST	COST	100,000.	108,791.
WELLS FARGO ADVANTAGE	COST	25,000.	32,632.
TMC SMALL CAP	COST	46,990.	39,183.
ASTON RIVER ROAD SMALL/MID	COST	32,000.	37,776.
ASTON/RIVER ROAD DVD	COST	55,000.	67,868.
ABERDEEN EMERGING MARKETS	COST	9,000.	12,102.
Total to Form 990-PF, Part II, line 13		290,997.	317,327.

**Half Moon Foundation
2009 Charitable Donations**

Charitable Organization	Date	Amount	Purpose
Americares 88 Hamilton Avenue Stamford, CT 06902	11/30/2009	\$ 10,000	Social Welfare
Big Apple Circus 505 8th Ave FL 19 New York, NY 10138-1213	11/30/2009	\$ 15,000	Medical
Doorways to Discovery			Education
Horizons Student Enrichment Program P.O. Box 997 New Canaan, CT 06840	11/30/2009	\$ 2,000	Education
McLean Hospital Andrew P. Merrill Fund 115 Mill Street Belmont, MA 02178-9106	11/30/2009	\$ 5,000	Medical
National MS Society of Greater Illinois 910 W. Van Buren Street Chicago, IL 60607	11/30/2009	\$ 5,000	Medical
Waveny Care Center 3 Farm Road New Canaan, CT 06840	11/30/2009	\$ 2,000	Medical
Islesford Scholarship Foundation Anna Fernald Islesford Scholarship Foundation P.O. Box 3 Islesford, ME 04646	11/30/2009	\$ 1,000	Medical
Cable Natural History Museum P O Box 416 13470 County Hwy. M Cable, WI 54821	11/30/2009	\$ 500	Education
Congressional Church of New Canaan Reverend Harold Masback 23 Park Street New Canaan, CT 06840	11/30/2009	\$ 5,000	Religious
Connecticut Public Television 1049 Asylum Avenue Hartford, CT 06105			Arts/Humanities
Deerfield Academy Deerfield, MA 01342			Education
Foxcroft School PO Box 5555 Middleburg, Virginia 20118	11/30/2009	\$ 2,000	Education
Garden Conservancy P.O. Box 219 Albany Post Road	11/30/2009	\$ 500	Arts/Humanities

**Half Moon Foundation
2009 Charitable Donations**

<u>Charitable Organization</u>	<u>Date</u>	<u>Amount</u>	<u>Purpose</u>
Cold Spring, NY 10516			
Hobart and Williams Smith Colleges Beth Pier 615 S. Main Street Geneva, NY 14456	11/30/2009	\$ 2,500	Education
Maritime Aquarium			
Metropolitan Muesum of Art Development Office 1000 Fifth Avenue New York, NY 10025-0198	11/30/2009	\$ 500	Arts/Humanities
Milbrook School School Road	11/30/2009	\$ 20,000	Education
Millbrook, NY 12545	11/30/2009	\$ 5,000	
	11/30/2009	\$ 75,000	
Milton Academy 170 Centre Street Milton, MA 02186			Education
The Nature Conservancy Connecticut Chapter 55 High Street Middletown, CT 06457	5/26/2010	\$ 50,000	Environmental
The Nature Conservancy Wisconsin Chapter 633 West Main Street Madison, WI 53703	11/30/2009	\$ 1,000	Environmental Annual Gift
New Canaan Country School P.O. Box 997	11/30/2009	\$ 25,000	Education
New Canaan, CT 06840-0997	11/30/2009	\$ 50,000	
New Canaan Library 151 Main Street New Canaan, CT 06840-5595	11/30/2009	\$ 650	Education
New Cannan Mounted Troups 22 Carter St New Canaan, CT 06840-5099		\$ 20,000	
New Cannan Nature Center 144 Oenoke Ridge New Canaan, CT 06840-4198	11/30/2009	\$ 1,000	Education
New Canaan YMCA 564 South Avenue New Canaan, CT 06840-6399			Social Welfare
North Shore Country Day School 310 Green Bay Road Winnetka, IL 60093	11/30/2009	\$ 300	Education
Pan-Massachusetts Challenge 77 4th Avenue Needham, MA 02494	10/20/2009	\$ 5,750	In support of Joe and Oliver Merrill

**Half Moon Foundation
2009 Charitable Donations**

<u>Charitable Organization</u>	<u>Date</u>	<u>Amount</u>	<u>Purpose</u>
Stepping Stones Museum for Children Mathews Park, 303 West Avenue Norwalk, CT 06850	11/30/2009	\$ 1,000	Education
Town of New Canaan - Volunteer Ambulance Corp. 182 South Avenue New Canaan, CT 06840			Medical
University of Chicago Business School Chicago GSB Fund Graduate School of Business 1101 East 58 th Street Chicago, IL 60637-9988	11/30/2009	\$ 500	Education
Voices of September 11th 161 Cherry Street New Canaan, CT 06840	11/30/2009	\$ 500	
Williams College 75 Park Street Williamstown, MA 01267	11/30/2009	\$ 12,500	Education
	11/30/2009	\$ 15,000	
TOTAL		<u>\$ 334,200</u>	

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Type or print	Name of Exempt Organization	Employer identification number
	HALF MOON FOUNDATION	36-3715158
	Number, street, and room or suite no. If a P.O. box, see instructions 227 W. MONROE STREET, No. 4350	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHICAGO, IL 60606	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

CREDIT SUISSE

- The books are in the care of ▶ **227 W. MONROE STREET SUITE 4350 - CHICAGO, IL 60606**

Telephone No. ▶ **312-345-6800**

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover.

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **August 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☒ calendar year **2009** or
- ▶ ☐ tax year beginning _____, and ending _____.

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	99.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	3,889.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	HALF MOON FOUNDATION	36-3715158
	Number, street, and room or suite no. If a P.O. box, see instructions	For IRS use only
	227 W. MONROE STREET, No. 4350	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	CHICAGO, IL 60606	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec. 401(a) or 408(a) trust)
 ☐ Form 1041-A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
 ☒ Form 990-PF
 ☐ Form 990-T (trust other than above)
 ☐ Form 4720
 ☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

CREDIT SUISSE

- The books are in the care of **227 W. MONROE STREET SUITE 4350 - CHICAGO, IL 60606**

Telephone No. **312-345-6800**

FAX No.

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **November 15, 2010**

5 For calendar year **2009**, or other tax year beginning , and ending

6 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension

ALL OF THE NECESSARY INFORMATION HAS NOT YET BEEN RECEIVED TO PREPARE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	99.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	3,889.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title **CPI**

Date **8/11/10**

Form 8868 (Rev. 4-2009)



Bank of America Private Wealth Management

CUST HALF-MOON FOUNDATION

1099-B Proceeds From Broker Transactions (OMB No. 1545-0715)

This section reports your proceeds from each of your sales during the year which are reported to the Internal Revenue Service. The proceeds from each of your sales are reported to the IRS on Forms 1099-B (Box 2), not the aggregate total of your sales. You must report the proceeds of each sale on your tax return. We must report all transactions on a trade-date basis which is the date shown in the sale date column (Box 1a). The proceeds reported in Box 2 are less commissions, transfer charges, and premiums

We are not required to nor do we report gains or losses on these sales to the IRS. However, as of 10/1/2009, your account was enhanced to track wash sale activity. Therefore, for 2009, we are reporting to you only those wash sales that may have occurred on or after 10/1/2009. Wash sales are reported with tax cost equal to proceeds. Disallowed losses from wash sales have been added to the tax cost of the repurchased securities. In addition to wash sale adjustments, your account, as of 8/24/2009, has been enhanced to adjust tax costs for amortization of premium paid for the acquisition of municipal bonds through the date of sale and for non-taxable dividends. Please be sure to consult your Tax Advisor before your income tax returns(s) are completed. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships

Short term transactions

This category includes all assets held 12 months or less

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
ISHARES TR MSCI EAFE INDEX FD	464287465	945	12/31/08	02/05/09	\$37,610.79	\$42,382.40	\$-4,771.61
ISHARES TR RUSSELL 2000 VALUE	464287630	310	12/12/08	05/13/09	\$13,776.04	\$14,309.60	\$-533.56
AMERICAN EUROPAFIC GRTH-F2	29875E100	70.33	02/06/09	05/13/09	\$2,073.26	\$1,874.35	\$198.91
Total short term gain/loss from sales:					\$53,460.09	\$58,566.35	\$-5,106.26

Report on Form 1040, Schedule D, line 1, Column d, e and f



Bank of America Private Wealth Management

2009 Tax Information Letter

Account Number 011016483288

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CUST HALF-MOON FOUNDATION

1099-B Proceeds From Broker Transactions (OMB No. 1545-0715)

This section reports your proceeds from each of your sales during the year which are reported to the Internal Revenue Service. The proceeds from each of your sales are reported to the IRS on Forms 1099-B (Box 2), not the aggregate total of your sales. You must report the proceeds of each sale on your tax return. We must report all transactions on a trade-date basis, which is the date shown in the sale date column (Box 1a). The proceeds reported in Box 2 are less commissions, transfer charges, and premiums.

We are not required to nor do we report gains or losses on these sales to the IRS. However, as of 10/1/2009, your account was enhanced to track wash sale activity. Therefore, for 2009, we are reporting to you only those wash sales that may have occurred on or after 10/1/2009. Wash sales are reported with tax cost equal to proceeds. Disallowed losses from wash sales have been added to the tax cost of the repurchased securities. In addition to wash sale adjustments, your account, as of 8/24/2009, has been enhanced to adjust tax costs for amortization of premium paid for the acquisition of municipal bonds through the date of sale and for non-taxable dividends. Please be sure to consult your Tax Advisor before your income tax returns(s) are completed. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

Long term transactions

This category includes all assets held more than 12 months

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
BLAIR WILLIAM FDS EMERGING MKTS	093001220	18.37	05/25/07	05/13/09	\$164.60	\$400.00	\$-235.40
BLAIR WILLIAM FDS EMERGING MKTS	093001220	762.88	05/19/06	05/13/09	\$6,835.40	\$11,993.08	\$-5,157.68
DAVIS N Y VENTURE FD INC CL Y	239080401	240.02	05/06/05	05/13/09	\$5,861.31	\$7,399.85	\$-1,538.54
DAVIS N Y VENTURE FD INC CL Y	239080401	608.39	05/06/05	05/13/09	\$14,856.79	\$18,756.54	\$-3,899.75



Bank of America Private Wealth Management

2009 Tax Information Letter

Account Number 011016483288

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CUST HALF-MOON FOUNDATION

Long term transactions

This category includes all assets held more than 12 months.

Description (Box 7)	Cusip (Box 1b)	Number of shares exchanged (Box 5)	Acquisition Date	Sale date (Box 1a)	Gross proceeds less commissions (Box 2)	Tax cost	Net gain or loss
AMERICAN EUROPACIFIC GRTH-F2	29875E100	65 35	05/06/05	05/13/09	\$1,926 45	\$2,333 61	\$-407 16
NEUBERGER BERMAN GENESIS INSTL	641233200	616 49	05/06/05	05/13/09	\$18,235 83	\$25,664 56	\$-7,428 73
VANGUARD INTER TERM BOND INDEX FD	921937843	2370	05/19/06	05/13/09	\$24,695 41	\$23,700 00	\$995 41
STANDARDS & POORS DEP RCPTS TR SER I	78462F103	460	10/15/04	05/13/09	\$40,796 35	\$51,248 60	\$-10,452 25
TEMPLETON INSTL FDS INC FGN EQUITY	880210505	197 89	05/06/05	05/13/09	\$3,000 00	\$3,963 72	\$-963 72
VANGUARD INTER TERM BOND INDEX FD	921937843	8138 09	05/06/05	05/13/09	\$84,798 92	\$85,531 27	\$-732 35
VANGUARD INTER TERM BOND INDEX FD	921937843	659 45	05/25/07	05/13/09	\$6,871 47	\$6,700 00	\$171 47
VANGUARD INTER TERM BOND INDEX FD	921937843	238 92	01/30/07	05/13/09	\$2,489 55	\$2,425 00	\$64 55
VANGUARD INTER TERM BOND INDEX FD	921937843	539 6	03/31/06	05/13/09	\$5,622 63	\$5,450 00	\$172 63
T ROWE PRICE NEW HORIZONS FUND INC	779562107	1079 5	05/06/05	05/13/09	\$20,186 56	\$30,042 35	\$-9,855 79
Total long term gain/loss from sales:	Report on Form 1040, Schedule D, line 8, Column d, e, and f				\$236,341 27	\$275,608 58	\$-39,267 31
Total proceeds reported to IRS on Form 1099-B					\$289,801.36		



Bank of America Private Wealth Management

CUST HALF-MOON FOUNDATION

2009 Tax Information Letter

Account Number 011016483288

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Non-Qualified Foreign Dividends From Mutual Funds

Other

Date	Description	Units	Amount of dividend	Foreign tax paid (refund)
12/17/2009	TEMPLETON INSTL FDS INC FGN EQUITY	2,230	\$180.60	\$12.04
Total			\$180.60	\$12.04
Total for	Other		\$209.29	\$18.04
Total Non-qualified Foreign Dividends from Mutual Funds			\$209.29	
Total Foreign Tax Paid (Box 6, 1099-DIV)				\$228.70
Total Ordinary Dividends (Box 1a, 1099-DIV)				\$15,996.34
Total Qualified Dividends (Box 1b, 1099-DIV)				\$6,775.70

Capital Gain Distributions - Subject to 15% Rate

Date	Description	Units	Amount of gain
04/30/2009	EL PASO CORP	1	\$35.40
Total			\$35.40
02/04/2009	ENRON CORP	1	\$92.21
Total			\$92.21
10/23/2009	FEDERAL HOME LN MTG VT COMMON		\$16.51
Total			\$16.51
04/10/2009	TYCO INTL GROUP S A GTD NT	1	\$420.18
Total			\$420.18
Total Capital Gain Distributions - Subject to 15% Rate (Included in Box 2a, 1099-DIV)			\$564.30
Total Capital Gain Distributions (Box 2a, 1099-DIV)			\$564.30