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Form 990-PF

Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052 2009

Internal Revenue Service For calendar year 2009, or tax year beginning , and ending G Check all that apply: Initial return Initial return of a former public charity Final return Amended return Address change Name change Name of foundation A Employer identification number Use the IRS label Otherwise, ALBERT J. AND SUSAN E. ROT FOUNDATION 36-3653679 print Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number or type. P.O. BOX 222 (630)369-8383 See Specific City or town, state, and ZIP code C If exemption application is pending, check here Instructions. NAPERVILLE. IL 60565 1 Foreign organizations, check here Foreign organizations meeting the 85% test, check here and attach computation H Check type of organization: X Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation If private foundation status was terminated I Fair market value of all assets at end of year J Accounting method: under section 507(b)(1)(A), check here Other (specify) (from Part II, col. (c), line 16) If the foundation is in a 60-month termination, (Part I, column (d) must be on cash basis) ▶\$ 1,182,359. under section 507(b)(1)(B), check here Part | Analysis of Revenue and Expenses (a) Revenue and (c) Adjusted net (d) Disbursements for charitable purposes (b) Net investment (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) expenses per books income ıncome (cash basis only) 50,500 N/A Contributions, gifts, grants, etc., received Check In the foundation is not required to attach Sch. B. Interest on savings and temporary cash investments 3 24,103. 24,103. 4 Dividends and interest from securities STATEMENT 11,242. 11,242. 5a Gross rents STATEMENT 2,021. b Net rental income or (loss) STATEMENT <10,534. 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 19,947. 0 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 9,508 9,508. Other income STATEMENT Tota - Abel (meb-1 through 1) 84.819 44,853. 0 0 0. Other employee salaries and walks 16a Legal fees b Accounting teas \ c Other professional fees STMT 5 2,212 1,112 1,100. Administrative 0. 2*.*719. 2,719. 17 Interest STMT 6 2,077 2,077 18 Taxes Depreciation and depletion 2,241 2,241 19 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses
24 Total operating
expenses. Add
expenses. Add STMT 7 4,624. 3,808 816. 24 Total operating and administrative expenses. Add lines 13 through 23 13,873 11,957 1,916. 102,175 Contributions, gifts, grants paid 102,175. 26 Total expenses and disbursements. Add lines 24 and 25 116,048 11,957 104,091. Subtract line 26 from line 12: <31,229. a Excess of revenue over expenses and disbursements 32,896 b Net investment income (if negative, enter -0-) N/A c Adjusted net income (if negative, enter -0-)

| Fo | m 99 | 0-PF (2009) ALBERT J. AND SUSAN E. | | | 3653679 Page 2 |
|-----------------------------|-------|--|--------------------|----------------|---------------------------|
| P | art | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of | |
| 느 | | Column should be for end-or-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | j . | Cash - non-interest-bearing | | | |
| | 1 | Savings and temporary cash investments | 22,414. | 12,145. | 12,145. |
| | 3 | Accounts receivable - | | | |
| | | Less: allowance for doubtful accounts | | | |
| | 4 | Pledges receivable | | | |
| | ł | Less: allowance for doubtful accounts | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other | | | |
| |] | disqualified persons | | | |
| | 7 | Other notes and loans receivable | | | |
| | | Less: allowance for doubtful accounts | | | |
| ţ | 8 | Inventories for sale or use | | | |
| Assets | 9 | Prepaid expenses and deferred charges | | 1,485. | <u>1,485.</u> |
| Ř | 10a | Investments - U.S. and state government obligations | | | |
| | b | Investments - corporate stock STMT 8 | 969,776. | 942,039. | 1,092,170. |
| | C | Investments - corporate bonds | | | |
| | 11 | Investments - land, buildings, and equipment. basis | | | |
| | | Less accumulated depreciation | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 9 | 0. | 12,605. | 12,605. |
| | 14 | Land, buildings, and equipment: basis - 5,629. | | | |
| | Ì | Less accumulated depreciation STMT 10 > 5,629. | | | |
| | 15 | Other assets (describe ► STATEMENT 11) | 71,267. | 63,954. | 63,954. |
| | Ì | | | | |
| | 16 | Total assets (to be completed by all filers) | 1,063,457. | 1,032,228. | 1,182,359. |
| | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| S | 19 | Deferred revenue | | | |
| Liabilities | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| abi | 21 | Mortgages and other notes payable | | | |
| = | 22 | Other liabilities (describe) | | | |
| | | | | | |
| _ | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | |
| | | Foundations that follow SFAS 117, check here | | | |
| w | | and complete lines 24 through 26 and lines 30 and 31. | |] | |
| ë | 24 | Unrestricted | | | |
| la | 25 | Temporarily restricted | | | |
| B | 26 | Permanently restricted | | | |
| Ĕ | | Foundations that do not follow SFAS 117, check here | | | |
| Ē | | and complete lines 27 through 31. | | | |
| ts | 27 | Capital stock, trust principal, or current funds | 1,063,457. | 1,032,228. | |
| sse | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| Net Assets or Fund Balances | 29 | Retained earnings, accumulated income, endowment, or other funds | 0. | 0. | |
| Š | 30 | Total net assets or fund balances | 1,063,457. | 1,032,228. | |
| | | - | 1 060 457 | 1 000 000 | |
| _ | 31 | Total liabilities and net assets/fund balances | 1,063,457. | 1,032,228. | |
| P | art | Analysis of Changes in Net Assets or Fund B | alances | | |
| 1 | Total | net assets or fund balances at beginning of year - Part II, column (a), line | 30 | | |
| • | | it agree with end-of-year figure reported on prior year's return) | | 11 | 1,063,457. |
| 2 | | amount from Part I, line 27a | | 2 | <31,229.> |
| | | r increases not included in line 2 (itemize) | | 3 | 0. |
| - | | ines 1, 2, and 3 | | 4 | 1,032,228. |
| - | | eases not included in line 2 (itemize) | | 5 | 0. |
| - | | net assets or fund balances at end of year (line 4 minus line 5) - Part II, co | olumn (b), line 30 | 6 | 1,032,228. |
| | | | | | Form 990-PF (2009) |

| | | es for Tax on Ir | | t Income | [/K\ U | low accurred | | | |
|--|--|--|---|--|------------------------------|--|-------------------------------|-------------------------------------|--|
| | | | | | ite acquired ., day, yr.) | (d) Date sold (mo., day, yr.) | | | |
| a | | | | | | | | | |
| SEE ATTACHED | STATE | MENT | | | <u> </u> | | | | |
| <u> </u> | - | | | | ├ | | | | |
| <u>d</u> | | | | | | | | | |
| <u>e</u> | (f) Done | eciation allowed | (=) Co. | st or other basis | ┸╌ | | | \ Cara ar (lass) | |
| (e) Gross sales price | . , , | allowable) | | expense of sale | \rightarrow | | |) Gain or (loss) dus (f) minus (| |
| <u>a</u> | | | | | | | | | |
| | | _ _ | | | | | | | |
| <u> </u> | | | | | | | | | |
| 19,947. | | | | 30,48 | 1. | | | | <10,534 |
| Complete only for assets showing | ng gain in colui | mn (h) and owned by | the foundation | | | | (I) Gains | (Col. (h) gain | |
| (i) F.M.V. as of 12/31/69 | | djusted basis of 12/31/69 | , , , | col. (j), if any | | | ol. (k), bi | ut not less thar es (from col. (| n -0-) or |
| a | | | | | | | | | |
|) | | | - | | | | | | |
| <u>}</u> | | | | | \dashv | | | . | |
| <u> </u> | | | | | -+ | | | | -10 524 |
| <u> </u> | <u> </u> | | | | - + | | | | <10,534 |
| Capital gain net income or (net ca | apital loss) | If gain, also enter of the lift (loss), enter of the lift (loss). | r in Part I, line - in Part I, line | 7 : 7 | } | 2 | | | <10,534 |
| Net short-term capital gain or (los if gain, also enter in Part I, line 8, | | ın sections 1222(5) ar | nd (6): | | ٦ | | | | |
| If (loce), antar -0- in Dart I, line R | | | | | 71 | 9 | | NT / A | |
| If (loss), enter -0- in Part I, line 8 Part V Qualification U | | tion 4940(e) for | Reduced | Tax on Net | inve | 3 estment Ir | come | N/A | |
| Part V Qualification U | Inder Sect | | | - | | | come | | |
| Part V Qualification U or optional use by domestic private | Inder Sect | | | - | | | come | | |
| If (loss), enter -0- in Part I, line 8 Part V Qualification U for optional use by domestic private section 4940(d)(2) applies, leave the | Inder Sect | | | - | | | icome | | |
| Part V Qualification U or optional use by domestic private section 4940(d)(2) applies, leave the | Inder Secte foundations shis part blank. | subject to the section of | 4940(a) tax or | net investment in | ncome. | | come | | |
| Part V Qualification U or optional use by domestic private section 4940(d)(2) applies, leave the as the foundation liable for the sec | Inder Sector of the sector of | subject to the section of | 4940(a) tax or | net investment in | ncome. | | come | | |
| Part V Qualification U or optional use by domestic private section 4940(d)(2) applies, leave the as the foundation hable for the sec Yes, the foundation does not qual | Inder Sector of the foundations so this part blank. Ition 4942 tax of lify under section the following sector of the following | subject to the section of the distributable arrow the distributable arrow to 4940(e). Do not co | 4940(a) tax or nount of any you | n net investment in ear in the base pei art. | riod? | | come | | Yes X No |
| Part V Qualification User optional user by domestic private section 4940(d)(2) applies, leave the section 4940(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(| Inder Secte foundations shis part blank. Ition 4942 tax of lify under section each column for the section of t | on the distributable arr ion 4940(e). Do not co or each year; see instri | 4940(a) tax or nount of any yo omplete this pa uctions before | ear in the base per eart. making any entri | riod? es. (c) | .) | | Distrib | Yes X No |
| Part V Qualification U or optional use by domestic private section 4940(d)(2) applies, leave the as the foundation hable for the sec "Yes," the foundation does not qual Enter the appropriate amount in the (a) Base period years Calendar year (or tax year beginning) | Inder Secte foundations shis part blank. Ition 4942 tax of lify under section each column for the section of t | on the distributable arr ion 4940(e). Do not co or each year; see instri (b) Adjusted qualifying dis | 4940(a) tax or nount of any younglete this pauctions before tributions | n net investment in ear in the base pei art. | riod? es. (c) | table-use asse | ts | Distrib | (d) Ution ratio ided by col. (c)) |
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| Part V Qualification U or optional use by domestic private section 4940(d)(2) applies, leave the as the foundation liable for the sec "Yes," the foundation does not qual Enter the appropriate amount in a Base period years Calendar year (or tax year beginning 2008 2007 2006 2005 | Inder Secte foundations shis part blank. Ition 4942 tax of lify under section each column for the section of t | on the distributable arr ion 4940(e). Do not co or each year; see instri (b) Adjusted qualifying dis 9 11 19 | nount of any younglete this pauctions before tributions 2,319. 7,376. 9,102. 8,199. | ear in the base per eart. making any entri | riod? es. (c) inchari | table-use asse 167,32 402,64 450,40 | 1. 6. 6. | Distrib | (d) ution ratio ided by col. (c)) . 07908 . 08368 . 13727 . 07225 |
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| Part V Qualification Upor optional use by domestic private section 4940(d)(2) applies, leave the section 4940(d)(d) applies, leave the section 4940(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(d)(| Inder Secte foundations shis part blank. Ition 4942 tax of lify under section and in the section of the sectio | on the distributable amon 4940(e). Do not coor each year; see instruction (b) Adjusted qualifying dis 9 11 19 10 7 eriod - divide the total of 5 years for 2009 from Part X, | nount of any younglete this pauctions before tributions 2,319. 7,376. 9,102. 8,199. 9,833. | ear in the base per art. Met value of no | riod? es. (c) inchari | table-use asse 167,32 402,64 450,40 497,43 | 1. 6. 6. 7. 8. | Distrib (col. (b) div | (d) ution ratio ided by col. (c)) . 07908 . 08368 . 13727 . 07225 |
| Part V Qualification User optional user by domestic private section 4940(d)(2) applies, leave the section 4940(d)(2) applies, leave the set in the section of the section o | e foundations shis part blank. Ition 4942 tax of lify under section and in part blank. 5-year base pence if less than ole-use assets and it less than one (1% of Part blank). | on the distributable amon 4940(e). Do not coor each year; see instruction (b) Adjusted qualifying dis 9 11 19 10 7 eriod - divide the total of 5 years for 2009 from Part X, | nount of any younglete this pauctions before tributions 2,319. 7,376. 9,102. 8,199. 9,833. | ear in the base per art. Met value of no | riod? es. (c) inchari | table-use asse 167,32 402,64 450,40 497,43 | 1 . 6 . 6 . 7 . 8 . 2 3 4 5 6 | Distrib (col. (b) div | Yes X No (d) ution ratio ided by col. (c)) . 07908 . 08368 . 13727 . 07225 . 06139 . 43369 . 08673 1,038,308 90,061 329 |

| _ | | | <u> 36536</u> | | | Page 4 |
|-----|--|------------------|---------------|------|-------------|----------------|
| Pá | art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 49 |)48 - | see in | stru | ctio | ns) |
| 18 | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | | | | | |
| | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) | | | | | |
| ŧ | Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1% | 1 | | | 3 | 29. |
| | of Part I, line 27b | | | | | |
| | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | | | |
| | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | | | | 0. |
| | Add lines 1 and 2 | 3 | | | 3 | 29. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | | | | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | 5 | | | - 3 | 29. |
| 6 | Credits/Payments: | - | | | | <u> </u> |
| | 2009 estimated tax payments and 2008 overpayment credited to 2009 | | | | | |
| | Exempt foreign organizations - tax withheld at source | | | | | |
| | : Tax paid with application for extension of time to file (Form 8868) 6c | Ì | | | | |
| | I Backup withholding erroneously withheld 6d | 1 | | | | |
| | Total credits and payments. Add lines 6a through 6d | 7 | | | 1 1 | 85. |
| _ | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached | | | | 1,4 | 65. |
| 8 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 8 | | | | |
| 9 | · | 9 | | | 1 1 | <u> </u> |
| 10 | | 10 | | | 1, <u>1</u> | <u>56.</u> |
| | Enter the amount of line 10 to be; Credited to 2010 estimated tax ▶ 1,156. Refunded ▶ ☐ art VII-A Statements Regarding Activities | 11 | | | | 0. |
| | | | | | Yes | No |
| 18 | a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene i | п | - | _ | 165 | - |
| | any political campaign? | | F | 1a | | X |
| | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | | | 1b | | X |
| | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published | or | | ł | | |
| | distributed by the foundation in connection with the activities. | | | | | |
| | Did the foundation file Form 1120-POL for this year? | | | 1c | | X |
| C | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | ĺ | ì | | |
| | (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. | | 1 | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation | | | | | |
| | managers. > \$0. | | - | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | | - | 2 | | X |
| | If "Yes," attach a detailed description of the activities | | | 1 | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or | | 1 | - { | | |
| | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | | 3 | | <u>X</u> |
| | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | | 4a | | <u>X</u> _ |
| _ | If "Yes," has it filed a tax return on Form 990-T for this year? | N/ | 'A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | | | 5 | | X |
| | If "Yes," attach the statement required by General Instruction T. | | | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | 1 | | l | |
| | By language in the governing instrument, or | | | 1 | | |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state I | aw | i | | ļ | |
| | remain in the governing instrument? | | L | 6 | <u> </u> | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? | | L | 7 | X | |
| | If "Yes," complete Part II, col. (c), and Part XV. | | 1 | | 1 | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | | |
| | IL | | 1 | - 1 | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) | _ | - | ļ | - 1 | |
| | of each state as required by General Instruction G? If "No," attach explanation | | | 8b | Х | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calen- | dar | | | | |
| | year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV | | | 9 | | X |
| 10_ | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | _ | 10 | | X |
| | | | Form | 990 | PF (| 2009) |

| Part VII-A Statements Regarding Activities (continued) If alay inter during the year, dith the toundation, derectly or indicately, own a controlled entity within the meaning of section 512(b)(13)? If Yes, attach schedule (see instructions) 2 Old the foundation comply with the public enspection requirements for its annual returns and exemption application? 3 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 3 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 4 The books are in care of b ALBERT J. ROT 5 Section 497(a)(1) nonexempt charactable trusts fring Form 990-PF in lieu of Form 1471 - Check here and other the amount of the exemption interest received on accused during the year? 5 Section 497(a)(1) nonexempt charactable trusts fring Form 990-PF in lieu of Form 1472 May Be Required 5 Section 497(a)(1) nonexempt charactable trusts fring Form 990-PF in lieu of Form 1472 May Be Required 5 Section 497(a)(1) nonexempt charactable trusts fring Form 990-PF in lieu of Form 1472 May Be Required 5 Section 497(a)(1) nonexempt charactable trusts fring Form 990-PF in lieu of Form 1472 May Be Required 5 Section 497(a)(1) nonexempt charactable fring an accused during the year 5 Section 497(a)(1) nonexempt charactable fring an accused during the year 6 Section 497(a)(1) nonexempt charactable fring an accused during the year 7 Section 4720 May Be Required 8 Section 4720 May Be Required 8 Section 4720 May Be Required 9 Section 4720 May Be Req | orm | 990-PF (2009) ALBERT J. AND SUSAN E. ROT FOUNDATION 36-365 | <u> 3679</u> | Page 5 |
|--|-----|--|--------------|----------|
| section 512(b)(13)? If Yes', attach schedule (see instructions) 2 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 3 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 4 The books are in care of ALBERT J. ROT Telephone no. 630–369–8383 1 The books are in care of ALBERT J. ROT Telephone no. 6050–550–551 5 Section 4947(a)(1) nonexempt chantable trusts filing form 990-PF in leu of Form 1041 - Check here and enter the amount of tax-exempt interest resewed or accrued during the year and enter the amount of tax-exempt interest resewed or accrued during the year and enter the amount of tax-exempt interest resewed or accrued during the year and enter the amount of tax-exempt interest resewed or accrued during the year and the foundation (letter direct) for indirecty); (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person, or adjusted person, or acception applies to (or accept them from) a disqualified person or acception applies. (4) Pay compression to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the person of povernment sounds of the acts fail to quality under the exceptions discribed in Regulations of assets of the acts fail to quality under the exceptions discribed in Regulation of assets assets of the Acts (Aliq) (G) o | Pa | irt VII-A Statements Regarding Activities (continued) | | |
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| 12 Did the foundation acquire a direct or indirect in any applicable insurance contract before Applicat 17, 2008? 37 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 38 Webste address N/A The blooks are inceived N/A The blooks are incei | | section 512(b)(13)? If "Yes," attach schedule (see instructions) | 111 | x |
| 3 | 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before | | |
| 3 | | | 12 | X |
| Webste address ► N/A I Telephone no. ►630 - 369 - 8383 Located at ► 2651 S. WASHINGTON, NAPERVILLE, IL ZIP44 ►60565 Section 4947(a)(1) nonexempt charitable trusts filing form 990-PF in lieu of Form 1411 - Check here and enter the anoquinot lax exempt interest received or accrued during the year and enter the anoquinot lax exempt interest received or accrued during the year Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise set and credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the person of the control of the foundation any each of make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Set to 1(1)-(6), did any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribution income (section 4942 (a) does not applying the provisions o | 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | |
| The books are in care of NaiseRT J. ROT Telephone no 530-369-8383 | | Website address ► N/A | | |
| Located at № 2655 S. WASHINGTON, NAPERVILLE, IL S Section 4947(a(1) nonexempt chariable trusts filing form 990-Pf in lieu of Form 1041 - Check here And enter the amount of tax-exempt interest received or accured during the year Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Berrow money from, lend money to, or otherwise setend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or remburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use to acqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminaling within 90 days.) (6) If any answer is 'Yes' to 14(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 (10)-30 in an acurrent notice regarding disaster assistance (see page 20 of the instructions)? 2) Taxes on failure to distribute income (searching disaster assistance (see page 20 of the instructions)? 2) Taxes on failure to distribute income (searching disaster assistance (see page 20 of the instructions)? 2) Taxes on failure to distribute income (searching disaster assistance) (see page 20 of the instructions)? 2) Taxes on failure to distribute income (searching disaster assistance) (see page 20 of the instructions)? 2) Taxes on failure to distribute income (searching disaster assistance) (see page 20 of the instructions)? 3) The foundation engage in a prior year | 14 | | 69-83 | 83 |
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| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.494 (10)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C) Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1)(3) or 4942(1)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years \(\) b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions). c) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. b (If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. c) If 'Yes,' did if have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of t | | <u> </u> | | |
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| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)? Takes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years Yes is tisted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No' and attach statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Ves No | | · · · · · · · · · · · · · · · · · · · | | |
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| valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | b | · · · · · · · · · · · · · · · · · · · | | |
| statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | 1 1 | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | 2b | |
| Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) 10 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 20 Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | c | | | |
| during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) 1 | | • | | İ |
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| May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) 1 | b | | | |
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| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X | 4a | | | X |
| had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X | | | | <u> </u> |
| | • | | 4h | x |
| | | | | |

| Form 990-PF (2009) ALBERT J. AND SUSAN E. F | | | <u>36-36536</u> | 79 | Page 6 |
|---|------------------------------------|------------------|--|--|---------------------|
| Part VII-B Statements Regarding Activities for Which | Form 4720 May Be F | Required (contin | ued) | | |
| 5a During the year did the foundation pay or incur any amount to: | | _ | | ŀ | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section | . ,, | | es X No | | |
| (2) Influence the outcome of any specific public election (see section 4955); | or to carry on, directly or indire | | | | ŀ |
| any voter registration drive? | | | es 🗓 No 📗 | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes | 5? | Ye | es X No | ŀ | |
| (4) Provide a grant to an organization other than a charitable, etc., organization | on described in section | | | | |
| 509(a)(1), (2), or (3), or section 4940(d)(2)? | | Ye | es X No | | 1 |
| (5) Provide for any purpose other than religious, charitable, scientific, literary | , or educational purposes, or f | | | | - |
| the prevention of cruelty to children or animals? | | Ye | es X No | | İ |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify un | der the exceptions described i | ın Regulations | | | ŀ |
| section 53.4945 or in a current notice regarding disaster assistance (see instru | uctions)? | | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance check l | nere | | | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption t | rom the tax because it mainta | ined | | | |
| expenditure responsibility for the grant? | N | I/A 🔲 Ye | es 🔲 No | ł | |
| If "Yes," attach the statement required by Regulations section 53 494 | | • | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to | , , | | | | |
| a personal benefit contract? | province on | ☐ Y | es X No | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a | nersonal henefit contract? | | | 6Ь | х |
| If "Yes" to 6b, file Form 8870 | Soroonar Bonone Gonti age | | | - | 123 |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax | chalter transaction? | [| es X No | | ļ |
| b If yes, did the foundation receive any proceeds or have any net income attribute | | | . – | 7b | + |
| Information About Officers Directors Truck | | nagore Highly | | 70 | |
| Part VIII Paid Employees, and Contractors | ees, i ouridation ivia | mayers, mym | , | | |
| List all officers, directors, trustees, foundation managers and their | compensation. | | | | |
| , | (b) Title, and average | (c) Compensation | (d) Contributions to employee benefit plans | (e) E | xpense |
| (a) Name and address | hours per week devoted | (If not paid, | and deterred | | xpense nt, other |
| ALBERT J. ROT | to position PRESIDENT | enter -0-) | compensation | alluv | vances |
| P.O. BOX 222 | PKESIDENI | | | | |
| | F 00 | , | _ | | ^ |
| | 5.00 | 0. | 0. | | <u> </u> |
| SUSAN E. ROT | VICE-PRESIDEN | li _{T.} | | | |
| P.O. BOX 222 | 5 00 | | • | | • |
| NAPERVILLE, IL 60565 | 5.00 | 0. | 0. | ļ | 0. |
| KEITH A. ROT | DIRECTOR | | | | |
| P.O. BOX 222 | | | _ | ĺ | _ |
| NAPERVILLE, IL 60565 | 2.00 | 0. | 0. | | <u> </u> |
| RICHARD K. ROT | DIRECTOR | | | 1 | |
| P.O. BOX 222 | | | | | |
| NAPERVILLE, IL 60565 | 2.00 | 0. | 0. | l | 0. |
| 2 Compensation of five highest-paid employees (other than those inc | | enter "NONE." | (4) 0 | <u>-</u> | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average | (c) Compensation | (d) Contributions to employee benefit plans and deferred | (e) E | xpense nt, other |
| (a) hame and accesses of cach employee paid more than 4505,000 | hours per week devoted to position | (C) Compensation | and deterred compensation | allow | vances |
| NONE | | | | | |
| | | | | | |
| | | | | | |
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| | | | | | |
| | 1 | | | | |
| | | | | | |
| | 1 |] | | | |
| | | | | | |
| | 1 | | | | |
| Total number of other employees paid over \$50,000 | L | <u> </u> | | l | 0 |
| TOTAL HUMBER OF OTHER EMPROYEES PAID OVER \$30,000 | | | | | |

| Form 990-PF (2009) ALBERT J. AND SUSAN E. ROT FOUNDATION 36- | 3653 | 679_ P | age 7 |
|--|--------------|-------------|------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) | | | |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." | | | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service | | (c) Compen | sation |
| NONE | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| Total number of others receiving over \$50,000 for professional services | • | | |
| Part IX-A Summary of Direct Charitable Activities | | | |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | | Expenses | |
| 1 PROVIDES SCHOLARSHIP GRANTS TO COVER TUITION AND BOOKS. 12 | | | |
| INDIVIDUALS PARTICIPATED IN 2009. | _ | 50 0 | |
| 2 PROVIDE DIRECT CONTRIBUTION TO VARIOUS CHARITIES | | 52,9 | 40. |
| |] | | |
| 3 | | 49,2 | <u>35.</u> |
| <u> </u> | - | | |
| | <u> </u> | | |
| 4 | | | |
| Part IX-B Summary of Program-Related Investments | | | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount | |
| 1 <u>N/A</u> | - | | |
| | | | |
| 2 | - | | |
| | | | |
| All other program-related investments. See instructions. 3 | | | |
| | | | |
| Total Add lines 1 through 2 | | | |

| P | art X Minimum Investment Return (All domestic foundations in | nust complete this p | art. Foreign foui | ndations, s | see instructions.) |
|----|---|---|--------------------|--------------|--------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitab | le, etc., purposes: | | | |
| а | Average monthly fair market value of securities | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | 1a | 963,553. |
| | Average of monthly cash balances | | | 1b | 17,279. |
| | Fair market value of all other assets | | | 10 | 73,288. |
| d | Total (add lines 1a, b, and c) | | | 1d | 1,054,120. |
| е | Reduction claimed for blockage or other factors reported on lines 1a and | | | | |
| | 1c (attach detailed explanation) | 1e | 0. | } | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 1,054,120. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount | , see instructions) | | 4 | 15,812. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and or | • | | 5 | 1,038,308. |
| 6 | Minimum investment return. Enter 5% of line 5 | · | | 6 | 51,915. |
| Ρ | art XI Distributable Amount (see instructions) (Section 4942(j)(3) are foreign organizations check here and do not complete this part. | | ng foundations an | id certain | |
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 51,915. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 329. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI.) | 2b | | | |
| C | Add lines 2a and 2b | | | 2c | 329. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 51,586. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 51,586. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part | XIII, line 1 | | 7 | 51,586. |
| P | art XII Qualifying Distributions (see instructions) | | | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., pur | poses: | | | |
| a | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | | | 1a | 104,091. |
| b | Program-related investments - total from Part IX-B | | | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitat | ole, etc., purposes | | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | | | |
| а | Suitability test (prior IRS approval required) | | | 3a | |
| b | Cash distribution test (attach the required schedule) | | | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and | nd Part XIII, line 4 | | 4 | 104,091. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net inve | stment | | | |
| | income. Enter 1% of Part I, line 27b | | | 5 | 329. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | | | 6 | 103,762. |
| | Note. The amount on line 6 will be used in Part V. column (h), in subsequent years w | hen calculation whether | r the foundation o | malifies for | the section |

Form **990-PF** (2009)

4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, | | | | |
| line 7 | | | | _ 51,586. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | 32,000 |
| a Enter amount for 2008 only | | | 0. | |
| b Total for prior years: | | | | |
| | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 122,911. | | | | |
| d From 2007 47,922. | | | | |
| e From 2008 34,607. | | | | |
| f Total of lines 3a through e | 205,440. | | | |
| 4 Qualifying distributions for 2009 from | | | | |
| Part XII, line 4: ► \$ 104,091. | | | | |
| a Applied to 2008, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior | | | | |
| years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus | | | | |
| (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 51,586. |
| e Remaining amount distributed out of corpus | 52,505. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 257,945. | | | |
| b Prior years' undistributed income. Subtract | 20, 13131 | | | |
| line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' | | | | |
| undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable | | | | |
| amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line | | | | |
| 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2009. Subtract | | | | |
| lines 4d and 5 from line 1. This amount must | | | · | |
| be distributed in 2010 | | | | 0. |
| 7 Amounts treated as distributions out of | | | | |
| corpus to satisfy requirements imposed by | | | | |
| section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 | | | | |
| not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2010. | | | | |
| Subtract lines 7 and 8 from line 6a | 257,945. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | n |
| b Excess from 2006 122, 911. | 1 | | | |
| c Excess from 2007 47,922. | | | | |
| d Excess from 2008 34,607. | | | | |
| e Excess from 2009 52,505. | | | | |
| | | | | |

| Part XIV Private Operating F | oundations (see in | | | N/A | 53619 Faye II |
|--|---------------------------|-----------------------------|-------------------------------|-----------------------------|--------------------|
| 1 a If the foundation has received a ruling of | | | 17 4 444441011 0) | _ N/ A | - |
| foundation, and the ruling is effective fo | | | | | |
| b Check box to indicate whether the found | | - | in section | 4942(j)(3) or 49 | 42(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year | ig loundation described | Prior 3 years | 4342())(3) 01 1 1 43 | 42())(3) |
| Income from Part I or the minimum | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| Investment return from Part X for | - (4/200 | (3) 200 | (0) 200 | (5) 2000 | |
| | 1 | | | | |
| each year listed b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | - | - | | |
| used directly for active conduct of | | | 1 | | |
| exempt activities | | | | | |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c | 1 | 1 | | Ì | |
| 3 Complete 3a, b, or c for the | | | | | |
| alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter:(1) Value of all assets | | | | | |
| (2) Value of assets qualifying | | | | | |
| under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter | | | | | |
| 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross | | | | İ | |
| investment income (interest, | | | | | |
| dividends, rents, payments on securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | i | | | |
| section 4942(j)(3)(B)(iii) | | | | | <u> </u> |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | |
| Part XV Supplementary Info | | | if the foundation | had \$5,000 or mo | ore in assets |
| at any time during t | | instructions.) | | _ | |
| 1 Information Regarding Foundation | _ | Abric COV of All and A | | | |
| a List any managers of the foundation wh year (but only if they have contributed n | | | tributions received by the | toundation before the clos | se of any tax |
| SEE STATEMENT 12 | 40,000, | 00 | | | |
| b List any managers of the foundation who ther entity) of which the foundation has | | | (or an equally large portio | n of the ownership of a pa | irtnership or |
| NONE | 7070 or groater interes | | | | |
| 2 Information Regarding Contributi | ion, Grant, Gift, Loan, | Scholarship, etc., P | rograms: | | |
| Check here ▶ ☐ If the foundation o | | • • • • • | - | ot accept unsolicited requi | ests for funds. If |
| the foundation makes gifts, grants, etc. | | | | | |
| a The name, address, and telephone num | ber of the person to who | n applications should be | addressed: | | |
| SEE STATEMENT 13 | | | | | |
| b The form in which applications should b | e submitted and informa | tion and materials they s | hould include: | | |
| c Any submission deadlines: | | | _ | | |
| | | | | | |
| d Any restrictions or limitations on awards | s, such as by geographica | al areas, charitable fields | , kinds of institutions, or o | ther factors: | |
| | | | | | |

| 3 Grants and Contributions Boid During the | | | | |
|--|---|--------------------------------------|----------------------------------|--------|
| 3 Grants and Contributions Paid During the Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | | Purpose of grant or contribution | Amount |
| Name and address (home or business) | any foundation manager or substantial contributor | Foundation status of recipient | contribution | Amount |
| a Paid during the year SEE ATTACHED LIST | NONE | тестрен | TUITION | |
| | | | | |
| | | | | |
| Total | | | ▶ 3a | 0. |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated t | ousiness income | | y section 512, 513, or 514 | (e) |
|---|-----------------|-----------------|-----------------------|----------------------------|-------------------|
| | (a) Business | (b) Amount | (C) Exclu- sion | (d) | Related or exempt |
| 1 Program service revenue: | code | | code | Amount | function income |
| a | | | | | |
| b | | | | | |
| · | | | | | |
| 0 | | · | | | |
| e | | | - | | |
| g Fees and contracts from government agencies | | | | | . |
| 2 Membership dues and assessments | | | | | - |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 24,103. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | 16 | 2,021. | |
| b Not debt-financed property | | | | _ | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 9,508. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | <10,534.> | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | <u> </u> | (|). <u> </u> | 25,098. | |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 25,098 |
| (See worksheet in line 13 instructions to verify calculations.) | | | | | |

| Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|----------|---|
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| | 990-PF (20 t XVII | | egarding Transfe | <u>ISAN_E.ROT_FOUNDA</u> rs To and Transactions ar | ATION 36-36 nd Relationships With Nonc | 53679 haritable | | ge 13 |
|--------------|----------------------------|---------------------------|---|--|--|--------------------|---------|-------|
| 1 0 | Old the ora | | | e following with any other organization | described in section 501(s) of | $\neg \neg \neg$ | Yes | No |
| | _ | | | section 527, relating to political organization | • • | | 103 | |
| | | | ation to a noncharitable e | - · · · · · · · · · · · · · · · · · · · | auons | | | |
| | 1) Cash | om the reporting loands | ation to a noncharitable c | Actiful organization of. | | 10/11 | | X |
| • | 2) Other a | ccate | | | | 1a(1) | | X |
| • | 2) Other a Other transa | | | | | 1a(2) | | |
| | _ | | hla avamat arasaızstına | | | 15/43 | | v |
| • | • | | ble exempt organization ncharitable exempt organ | nization | | 1b(1) | | X |
| • | • | | · - | nzation | | 1b(2) | | X |
| • | • | of facilities, equipment, | Of Office 922612 | | | 1b(3) | | X |
| • | • | irsement arrangements | | | | 1b(4) | | |
| • | • | or loan guarantees | mharahin ar fundraioina | collectations | | 1b(5) | | X |
| • | • | | mbership or fundraising | | | 1b(6) | | X |
| | - | | iling lists, other assets, o | | ays show the fair market value of the good | 1c | | |
| | | | | | in any transaction or sharing arrangemen | | eis, | |
| | | | other assets, or services | | in any transaction or snaring arrangemen | it, Show in | | |
| a) Line | |) Amount involved | | charitable exempt organization | (A) Decoration of transfers transactions | | | |
| u / Cirie | 1) 0118 | 7) Altiount involved | | N/A | (0) Description of transfers, transactions, a | uio snaing air | angerne | 7118 |
| _ | | | | N/A | | | | |
| | | | | | | | | |
| | - | | | | | | | |
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| | | | | | <u> </u> | | | |
| | | • | • | ed to, one or more tax-exempt organiza | tions described | | (32 | ٦. |
| | | | than section 501(c)(3)) | or in section 527? | | L Yes | X | No |
| b 11 | f "Yes," con | plete the following sche | | (h) Turn of account on 1 | (-) D | | | |
| | | (a) Name of org | anization | (b) Type of organization | (c) Description of relation | insnip | | |
| | | N/A_ | | | | | | |
| | | | | | | | | |
| - | | | | | | | | |
| | | | | —————————————————————————————————————— | | | | |
| 1 | | | | | | A | | |
| | | | | uding accompanying schedules and statements based on all information of which preparer has | nts, and to the best of my knowledge and belief, i as any knowledge | t is true, corre | CI, | |
| | (/0 | o her I | 1/a. | 5/-/ | | , | | |
| . | y de | a of officer of | /UL | 7/13/2010 | D-4 | | | |
| | | e of officer or trastee | · | Date / | Title Charle of Broad | | | |
| | Prep | arer's | | Dai | colf- | parer's identify | ng num | Der |
| aid | E Signa | | 7-07 | [05 | /12/10 employed ▶ | | | |
| 100 | T Firm's | name for yours FGMK | . LLC | | I FIN ▶ | | | |

847-374-0400 Form **990-PF** (2009)

Phone no.

address, and ZIP code

2801 LAKESIDE DRIVE, 3RD FLOOR BANNOCKBURN, IL 60015

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

➤ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization Employer identification number ALBERT J. AND SUSAN E. ROT FOUNDATION 36-3653679 Organization type (check one). Filers of: Section: ____ 501(c)(Form 990 or 990-EZ) (enter number) organization 4947(a)(1) nonexempt charitable trust not treated as a private foundation 527 political organization 501(c)(3) exempt private foundation Form 990-PF 4947(a)(1) nonexempt charitable trust treated as a private foundation 501(c)(3) taxable private foundation Check if your organization is covered by the General Rule or a Special Rule. Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions **General Rule** For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II **Special Rules** 🔟 For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II. 🔟 For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF). Schedule B (Form 990, 990-EZ, or 990-PF) (2009) LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions

for Form 990, 990-EZ, or 990-PF.

| | 4 | |
|-----|-----|---|
| age | 1 0 | • |

1 of Part I

Name of organization

Employer identification number

ALBERT J. AND SUSAN E. ROT FOUNDATION

36-3653679

| Part I | Contributors (see instructions) | | |
|------------|--|-----------------------------|---|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| 1 | ALBERT J. ROT 2651 S WASHINGTON NAPERVILLE, IL 60565 | \$\$ | Person X Payroll |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | \$ | Person Payroll Oncash Complete Part II of there is a noncash contribution) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | | Person Payroll Noncash (Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | \$ | Person Payroll Oncash Complete Part II if there is a noncash contribution.) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | \$ | Person Payroll Oncash Complete Part II if there is a noncash contribution) |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
| | | \$ | Person Payroll Noncash Complete Part II if there is a noncash contribution) |

ALBERT J. AND SUSAN E. ROT FOUNDATION

Part IV | Capital Gains and Losses for Tax on Investment Income

| Capital Gains and Los | 3C3 101 Tux Off Ill Cathicile Ill Colfic | | | | |
|---|--|--|--|---|----------------------------------|
| | describe the kind(s) of property sol ck warehouse; or common stock, 2 | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 1a DELPHI | | | P | 12/08/03 | |
| b CHARLES SCHWAB | | | P | 09/12/03 | |
| c INTERSPEED | | | P | 04/12/00 | |
| d CHARTER COMMUNI | CATIONS | | P | 01/18/05 | |
| e CHARTER COMMUNI | | | P | 10/14/03 | |
| f AOL | | | P | | VARIOUS |
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| n | | | | | |
| 0 | <u>.</u> | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (e) (|) Gain or (loss) blus (f) minus (g) | |
| a 1,730. | | 4,662. | | | <2,932.> |
| b 18,207. | | 11,113. | | | 7,094. |
| С | | 6,297. | | | <6,297.> |
| d | | 3,875. | _ | | <3,875.> |
| e | | 4,532. | | | <4,532.> |
| f 10. | | 2. | | | 8. |
| 9 | | | | | |
| h | | | | | |
| 1 | | | | | |
| | | | | | |
| k | | | | | |
| 1 | | | | | |
| m - | | | | | |
| <u>n</u> | | | | | |
| Complete only for assets showing | g gain in column (h) and owned by | the foundation on 12/31/69 | (1) 1 00 | sses (from col. (h)) | |
| Complete only for assets showing | (j) Adjusted basis | (k) Excess of col. (i) | Gains (excess | of col. (h) gain over | col. (k), |
| (i) F.M.V. as of 12/31/69 | as of 12/31/69 | over col. (j), if any | but r | ot less than "-0-") | |
| a | | : | | | <2,932.> |
| b | | | | | 7,094. |
| <u>C</u> | | · | | | <6,297.> |
| d | | | | _ | <3,875.> |
| <u>e</u> | | | | | <4,532.> 8. |
| 1 | | | | | 0. |
| 9 h | | | | | |
| " | | | - | | |
| | | | · · · - | | |
| k | | | | | |
| 1 | | | | | |
| m | | | | | • |
| n | | | · <u>-</u> | | |
| 0 | | | - | | |
| Capital gain net income or (net cap | oital loss) { If gain, also enter "-0 | in Part I, line 7 | 2 | | <10,53 <u>4.</u> > |
| 3 Net short-term capital gain or (loss if gain, also enter in Part I, line 8, c | s) as defined in sections 1222(5) an | , I | | | |
| If (loss), enter "-0-" in Part I, line 8 | ··· | | 3 | N/A | |

2009 DEPRECIATION AND AMORTIZATION REPORT

| ORM | FORM 990-PF PAGE 1 | | | | - | - | 990-PF | | | | | | | | _ |
|--------------------|--------------------------|------------------|--------|---------------|----------|-----------------------------|------------------|------------------------|-----------------------|---------------------------|--|-------------------------------|---|---------------------------------------|---|
| Asset No | Description | Date Acquired | Method | Life | νος > | Unadjusted Cost Or Basis | Bus % Excl | Section 179 Expense | Reduction In Basis | Basis For Depreciation | Beginning Accumulated Depreciation | Current Sec 179 Expense | Current Year Deduction | Ending Accumulated Depreciation | • |
| | 1 COMPUTER EQUIPMENT | 09/18/90 | 200DB | 5.00 | HY17 | 3,700. | | | | 3,700. | 3,700. | | 0 | 3,700. | · |
| | 2 COMPUTER EQUIPMENT | 05/31/95 | 2000B | 5.00 | нх17 | 1,929. | | | | 1,929. | 1,929. | | · | 1,929. | |
| | * TOTAL 990-PF PG 1 DEPR | | | | | 5,629. | | | | 5,629. | 5,629. | | 0 | 5,629. | |
| | | | | | | | | ı | ı | | | | | | |
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| | | | | | | | | | | | | | | | |
| 928111 04-24-09 | | | | | | (D) - Asset disposed | peso | | • | ITC, Salvage, | Bonus, Comm | ercial Revita | * ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone | ion, GO Zone | - |

| EODY 000 DE | DIVIDENDO | AND TAM | | | GDGID TETTE | OMA MENATRAM | |
|--|-----------------|---------|-------------|--------------|---|---------------------|-----|
| FORM 990-PF | DIAIDENDS A | AND IN | | FROM | SECURITIES | STATEMENT | |
| SOURCE | | GRO | OSS AM | TNUC | CAPITAL GAINS DIVIDENDS | COLUMN (A AMOUNT | .) |
| ALEX BROWN | | | 16 | ,040. | 0. | 16,0 | 40. |
| CHARLES SCHWAB | | | | ,871. | 0. | 1,8 | |
| STIFEL NICOLAUS | | | | ,192. ——— | <u> </u> | 6,1 | 92. |
| TOTAL TO FM 990-PF | F, PART I, LN | 4 | 24 | ,103. | 0. | 24,1 | 03. |
| FORM 990-PF | | RENTAL | INCOM | | | STATEMENT | 2 |
| KIND AND LOCATION | OF PROPERTY | | | | ACTIVITY NUMBER | GROSS RENTAL INC | OME |
| OLD FARM MARKETPLA | ACE (2% OWNERS) | HIP) | | | 1 | 11,2 | 42. |
| TOTAL TO FORM 990- | PF, PART I, L | INE 5A | | | | 11,2 | 42. |
| | | | | | | | |
| FORM 990-PF | R | ENTAL E | EXPENS | ES | | STATEMENT | |
| DESCRIPTION | | | ACTI NUM | | AMOUNT | TOTAL | |
| INSURANCE PROFESSIONAL FEES MANAGEMENT FEES INTEREST REPAIRS FIRE ALARM PROPERTY TAXES UTILITIES LANDSCAPING SCAVENGER AMORTIZATION DEPRECIATION | בווף _ | TOTAL - | | 1 | 154. 12. 600. 2,719. 184. 64. 2,007. 409. 638. 162. 31. 2,241. | 9,2 | 21 |
| moma | | TOIVU - | | 1 | | | |
| TOTAL RENTAL EXPEN | | | | | | 9,2 | |
| NET RENTAL INCOME | TO FORM 990-PI | F, PAR1 | r I, L | INE 51 | 3 | 2,0 | 21. |

| FORM 990-PF | OTHER | INCOME | | STATEMENT 4 |
|--|------------------------------|-----------------------------------|-----------------------------------|-------------------------------|
| DESCRIPTION | | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
| SUBURBAN PROPANE PARTNERS PLAINS ALL AMERICAN PIPELINE OTHER | LP | 2,295. 2,803. 4,410. | 2,295. 2,803. 4,410. | |
| TOTAL TO FORM 990-PF, PART I, | - , LINE 11 = | 9,508. | 9,508. | - |
| FORM 990-PF C | THER PROFI | ESSIONAL FEES | | STATEMENT 5 |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | | (D) CHARITABLE PURPOSES |
| PROFESSIONAL FEES PROFESSIONAL FEES | 2,200 | | | 1,100. |
| TO FORM 990-PF, PG 1, LN 16C | 2,212 | 1,112 | | 1,100. |
| FORM 990-PF | T. | AXES | | STATEMENT 6 |
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| FOREIGN TAX PROPERTY TAXES | 70 2,007 | | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 2,077 | 2,077 | • | 0. |

| | OTHER E | XPENSES | | STATEMENT 7 |
|--|------------------------------|-----------------------------------|--|---|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOM | |
| OFFICE EXPENSES | 156. | 0. | | 156. |
| ASSET MANAGEMENT FEES | 1,566. | 1,566. | | 0. |
| relephone | 660. | 0. | | 660 |
| INSURANCE | 154. | 154. | | 0 |
| MANAGEMENT FEES | 600. | 600. | | 0 |
| REPAIRS | 184. | 184. | | 0 |
| FIRE ALARM | 64. | 64. | | 0 |
| UTILITIES | 409. | 409. | | 0 |
| LANDSCAPING | 638. | 638. | | 0 . |
| SCAVENGER | 162. | 162. | | 0 . |
| AMORTIZATION | 31. | 31. | | 0 . |
| TO FORM 990-PF, PG 1, LN 23 | 4,624. | 3,808. | | 816 |
| FORM 990-PF | CORPORAT | E STOCK | | STATEMENT 8 |
| | | | | |
| DESCRIPTION | | ВС | OK VALUE | FAIR MARKET VALUE |
| BROKERAGE ACCOUNT - ALEX BROW | | ВС | OK VALUE 826,137. 0. | FAIR MARKET VALUE 975,596 |
| DESCRIPTION BROKERAGE ACCOUNT - ALEX BROWN BROKERAGE ACCOUNT - CHARLES S BROKERAGE ACCOUNT - STIFEL N | CHWAB | ВС | 826,137. | FAIR MARKET VALUE 975,596 |
| BROKERAGE ACCOUNT - ALEX BROWN BROKERAGE ACCOUNT - CHARLES S | SCHWAB CCOLAUS | BC | 826,137. | FAIR MARKET |
| BROKERAGE ACCOUNT - ALEX BROW BROKERAGE ACCOUNT - CHARLES S BROKERAGE ACCOUNT - STIFEL N | SCHWAB CCOLAUS | | 826,137. 0. 115,902. | FAIR MARKET VALUE 975,596. 0. 116,574. |
| BROKERAGE ACCOUNT - ALEX BROW BROKERAGE ACCOUNT - CHARLES & BROKERAGE ACCOUNT - STIFEL NI FOTAL TO FORM 990-PF, PART II | OTHER INV | ESTMENTS LUATION | 826,137. 0. 115,902. | FAIR MARKET VALUE 975,596 0 116,574 1,092,170 |
| BROKERAGE ACCOUNT - ALEX BROW BROKERAGE ACCOUNT - CHARLES S BROKERAGE ACCOUNT - STIFEL NI TOTAL TO FORM 990-PF, PART II | OTHER INV | ESTMENTS LUATION | 826,137. 0. 115,902. 942,039. | FAIR MARKET VALUE 975,596. 0. 116,574. 1,092,170. STATEMENT 9 |

| FORM 990-PF DEPRECIATION OF ASS | ETS NOT HELD FOR | RINVESTMENT | STATEMENT 10 |
|--|-------------------------------|-----------------------------|----------------------|
| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
| COMPUTER EQUIPMENT COMPUTER EQUIPMENT | 3,700. 1,929. | 3,700. 1,929. | 0. |
| TOTAL TO FM 990-PF, PART II, LN 1 | 5,629. | 5,629. | 0. |
| FORM 990-PF | OTHER ASSETS | | STATEMENT 11 |
| | | | |
| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
| DESCRIPTION OLD FARM MARKETPLACE (2% OWNERSHIP) | | | |

NAME OF MANAGER

ALBERT J. ROT KEITH A. ROT

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D

STATEMENT

13

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ALBERT J. ROT P.O. BOX 222 NAPERVILLE, IL 60565

TELEPHONE NUMBER

FORM AND CONTENT OF APPLICATIONS

QUESTIONNAIRE - "STUDENT NEEDS ANALYSIS SERVICE" FORM OF A.C.T. TO BE COMPLETED BY APPLICANTS. THE INDEPENDENT A.C.T. ORGANIZATION FORWARDS COMPLETED APPLICATIONS TO THE ROT FOUNDATION.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

STUDENTS THROUGHOUT U.S. MAY APPLY FOR AID. STUDENTS FROM HIGH SCHOOLS IN COMMUNITY ARE GIVEN PRIORITY.

Rot Foundation

Grants and Contributions Paid During the Year

January through December 2009

| la granne | | enneew. | lagne State | | |
|--------------|--------------|---------------|-------------|----|-----------|
| Atchison | Kolby | Algonquin | IL | \$ | 5,000.00 |
| Bendik | Jana | Downers Grove | IL | \$ | 5,000.00 |
| Greene | Patrick | Joliet | IL | \$ | 2,500.00 |
| Heinekamp | Eric | Naperville | IL . | \$ | 6,200.00 |
| Morris | Erin | Woodstock | 1L | \$ | 1,500.00 |
| Netsch | Evan | Wilmington | NC | \$ | 7,500.00 |
| Petruesevska | Elena | Willowbrook | IL | \$ | 3,000.00 |
| Plowman | Russell(Skip | Willowbrook | IL | \$ | 3,750.00 |
| Prasse | Marc | Naperville | IL | \$ | 3,000.00 |
| Rohan | Kimberly | Naperville | IL | \$ | 6,375.00 |
| Williams | Jacob | Downers Grove | IL, | \$ | 2,500.00 |
| Zalud | Tracy | Plainfield | IL | \$ | 6,615.00 |
| • | | | ΤΩΤΔΙ · | 6 | 52 940 00 |

Contributions to Charities for the year ended December 31, 2009

| Name | Adress | Amount |
|------------------------------------|---|-----------|
| The Morton Arboretum | 4100 Route 53 Lisle, IL 60532 | 20,000 00 |
| Society of St. Vincent dePaul | 213 Main Street West Chicago, IL 60185 | 3,000 00 |
| United Service Organizations, Inc. | P.O Box 96860 Washington, DC 20077-7677 | 2,000.00 |
| Wayside Cross Ministries | 215 East New York Street Aurora, IL 60505-9943 | 100 00 |
| Paralyzed Veterans of America | Office of Individual Giving 801 Eighteenth St. NW Washington, DC 20077-2890 | 5,000 00 |
| People's Resource Center | 201 S. Naperville Road Wheaton, IL 60187 | 3,000 00 |
| The Salvation Army | P O. Box 4121 Carol Stream, IL 60197-4121 | 3,000 00 |
| Hesed House, Inc. | P.O Box 2022 Aurora, IL 60507-2022 | 3,000 00 |
| We Grow Dreams, Inc | 1055 W. Washington St. West Chicago, IL. 60185 | 5,000 00 |
| FIRST PRESBYTERIAN CHURCH | | 5,119 80 |
| | | 49,219 80 |