

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

Department of the Treasury

		venue Service	Note. The four	idation may	be able to use a copy of this	return to satisfy state repo	rting requirements.	
		idar year 2009, or ta				, and ending		<u></u>
_		all that apply:	Initial return		Initial return of a fe	ormer public charity	Final return	 n
.	IICUN	an anat appry.	Amended re	turn	Address chan	· · · · ·	Name change	•
		Name of found				<u></u>	A Employer identification	n number
	the	IND THE TAX	Y TIGER	FOUND	ΑΤΤΟΝ			
	abel. erwi:	· la (a mira	MAS E. SI				36-3521718	}
	print	**'			felivered to street address)	Room/suite	B Telephone number	<u></u>
•	type		TH DEARBO		•	09E021		-7476
See		.:::-		JIM 5	INDET	078021		
Instr	-	I GUV OF IOWIL ST	ate, and ZIP code	503			C if exemption application is p D 1. Foreign organization	
	1.				exempt private foundation		2. Foreign organizations me check here and attach of	eeting the 85% test,
1 0	-	type of organization		· · · · · · · · · · · ·		nt on	check here and attach co	omputation
بِ		ction 4947(a)(1) nor			Other taxable private found		E If private foundation sta	
		rket value of all asse	=		ting method: X Cash	Accrual	under section 507(b)(1	
		Part II, col. (c), line	¹⁶⁾		Other (specify) umn (d) must be on cash	hasia	F If the foundation is in a	
<u></u>				(Fait i, Coi	T		under section 507(b)(1	(d) Disbursements
Pa	rt I	(The total of amounts necessarily equal the	in columns (b), (c), and	(d) may not)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for charitable purpose (cash basis only)
	1	Contributions, gifts,	grants, etc., receiv	ed	227,796.		N/A	
	2		oundation is not required to	attach Sch B				
	3	interest on savings and cash investments	I temporary					
- [4	Dividends and intere	est from securities		124,073.	124,073.		STATEMENT
-	5a	Gross rents						
1	b	Net rental income or (lo	ss)					
		Net gain or (loss) from s			<69,849.	>		
Revenue	b	Gross sales price for all assets on line 6a	334	,209.				<u> </u>
e e	7	Capital gain net income	(from Part IV, line 2)			0.		
~	8	Net short-term capi	tal gaın					
	9	Income modification						
	10a	Gross sales less returnant and allowances	s					
	b	Less Cost of goods so	ild					
	C	Gross profit or (loss	s) ————					
	11	Other income						
1	12	Total. Add lines 1 ti	hrough 11		282,020.	124,073.		
	13	Compensation of office	rs, directors, trustees,	etc	0.	0.		T
	14	Other employee sala	aries and wages					
	15	Pension plans, emp	loyee benefits					
nses	16a	Legal fees	ST	MT 2	18,421.	4,605.		13,81
le l	b	Accounting fees	Par brings		x.			
Expe	C	Other professional f	RECENT	ALL) 3	52,595.	33,970.		18,62
<u>8</u>	17	Interest		 ((
rat	18	Taxes n	MAV 1 9 4	מוח ל				
<u>iş</u>	19	Depreciation and de	MAY 12	2010				
-	20	Occupancy		2010				<u> </u>
直	• •	Travel, conferences	(ad timber tons /	IT				
Admir	21	.	***************************************					
and Admir	21 22	Printing and publica			15.	0.		1
and Ad	21 22 23	Other expenses	ST	MT 4	13.			i
ating and Admir	22	Printing and publica		MT 4				_
perating and Admir	23	Other expenses	d administrative	MT 4	71,031.	38,575.		32,45
Operating	23 24	Other expenses Total operating and	d administrative s 13 through 23	MT 4		38,575.		32,45 194,70
Operating	23 24	Other expenses Total operating and expenses. Add line	d administrative s 13 through 23 , grants paid	MT 4	71,031. 194,700.			194,70
Operating	23 24 25	Other expenses Total operating and expenses. Add line Contributions, gifts,	d administrative s 13 through 23 , grants paid d disbursements.	MT 4	71,031.	38,575. 38,575.		194,70
Operating	23 24 25 26	Other expenses Total operating and expenses. Add line Contributions, gifts, Total expenses and	d administrative s 13 through 23 , grants paid d disbursements.	MT 4	71,031. 194,700. 265,731.			194,70
Operating	23 24 25 26	Other expenses Total operating and expenses. Add line Contributions, gifts, Total expenses and Add lines 24 and 25	d administrative s 13 through 23 , grants paid d disbursements. c m line 12:		71,031. 194,700.	38,575.		194,70
Operating	22 23 24 25 26 27 a	Other expenses Total operating and expenses. Add line Contributions, gifts, Total expenses and Add lines 24 and 25 Subtract line 26 from	d administrative s 13 through 23 , grants paid d disbursements. m line 12: expenses and disbur	sements	71,031. 194,700. 265,731.		N/A	32,45 194,70 227,15

36-3521718 Page 2

Part II Balance Sheets Attached schedules and amounts in the description rolling should be for and of wear amounts only		Balance Sheets Attached schedules and amounts in the description	Beginning of year	End o	nd of year		
Щ	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Cash - non-interest-bearing		<1.			
	2	Savings and temporary cash investments	328,392.	286,512.	286,512.		
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts					
	4	Pledges receivable ▶		···			
		Less: allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other					
		disqualified persons					
	7	Other notes and loans receivable					
		Less: allowance for doubtful accounts					
ম	8	Inventories for sale or use					
Assets	9	Prepaid expenses and deferred charges					
Ä	10a	Investments - U.S. and state government obligations					
	Ь	Investments - corporate stock STMT 6	630,568.	621,632.	2,157,627.		
	c	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis					
		Less accumulated depreciation					
	12	Investments - mortgage loans					
	13	Investments - other STMT 7	2,575,902.	2,465,488.	2,813,850.		
	14	Land, buildings, and equipment: basis ▶					
		Less accumulated depreciation		-			
	15	Other assets (describe >					
	16	Total assets (to be completed by all filers)	3,534,862.	3,373,631.	5,257,988.		
_		Accounts payable and accrued expenses					
	l	Grants payable			1		
S	19	Deferred revenue			1		
Liabilities		Loans from officers, directors, trustees, and other disqualified persons			1		
Ē	Į.	Mortgages and other notes payable		······			
Ë	ī	Other liabilities (describe)			1		
					1		
	23	Total liabilities (add lines 17 through 22)	0.	0.			
		Foundations that follow SFAS 117, check here			1		
		and complete lines 24 through 26 and lines 30 and 31.					
nces	24	Unrestricted					
a	25	Temporarily restricted					
Bal	l	Permanently restricted					
pu		Foundations that do not follow SFAS 117, check here			1		
Ŀ		and complete lines 27 through 31.					
ō	27	Capital stock, trust principal, or current funds	0.	0.	ł		
Net Assets or Fund Bala		Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
As	29	Retained earnings, accumulated income, endowment, or other funds	3,534,862.	3,373,631.	1		
et	30	Total net assets or fund balances	3,534,862.	3,373,631.	1		
_					1		
	31	Total liabilities and net assets/fund balances	3,534,862.	3,373,631.			
P	art	Analysis of Changes in Net Assets or Fund B	-				
_		net assets or fund balances at beginning of year - Part II, column (a), line	30		<u> </u>		
		it agree with end-of-year figure reported on prior year's return)		1	3,534,862.		
		amount from Part I, line 27a		1 2	16,289.		
		r increases not included in line 2 (itemize)		3	0.		
		lines 1, 2, and 3			3,551,151.		
		eases not included in line 2 (itemize)	SEE STA	TEMENT 5 5	177,520.		
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	3,373,631.		
_		the state of the s	V.P.		Form 990-PF (2009)		

923511 02-02-10

	C/O THO	MAS E. SWANE	Y			_		36	<u>5-352</u>	1718	Page 3
Part IV Capital Ga	ins and Lo	osses for Tax on Ir	vestmen	t Income							
		ind(s) of property sold (e.g or common stock, 200 sha			(b) D	How ac - Purc - Dona	nase	(c) Date a (mo., da		(d) Dat (mo., da	
1a					! —			_			
b SEE ATTACH	HED STA	TEMENT									
C											
d										•	
e										-	
(e) Gross sales price	(f)	Depreciation allowed (or allowable)		st or other basis expense of sale	•				ın or (loss) (f) mınus (ı		
a							•			•	
b											
C				• •							
d											
e 334,20	9.		-	404,05	8.					<69	,849.
		column (h) and owned by	the foundation				<i>(</i> 1)	Gains (Co	ol. (h) gain i		
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) E>	col. (j), if any			col.	(k), but no	ot less than from col. (f	1-0-) or	
a											
b											
C											
d				•							•
e										<69	,849.
		C M annual alan anton	Darki ira	7	<u> </u>			· · · · · ·			
2 Capital gain net income or (net capital loss	$ \begin{cases} \text{ If gain, also enter} \\ \text{ If (loss), enter -0} \end{cases} $	in Part I, line - in Part I, line	7 17	}	2				<69	,849.
3 Net short-term capital gain of	or (loss) as def	ined in sections 1222(5) an	d (6):		١, ١						
If gain, also enter in Part I, I	ine 8, column (c).			M						
If (loss), enter -0- in Part I, I			<u>, .,</u>			3	L		N/A		
Part V Qualification	on Under S	Section 4940(e) for	Reduced	Tax on Net	Inv	estn	nent Inco	ome			
(For optional use by domestic p	private foundat	ions subject to the section 4	1940(a) tax on	i net investment in	come	3.)					
16 40.40(d)(0)		ta ale									
If section 4940(d)(2) applies, le	eave this part o	iank.									
Was the foundation liable for th	ie section 4942	tax on the distributable am	ount of any ve	ear in the base per	rod?					Yes Yes	X No
If "Yes," the foundation does no				•							
1 Enter the appropriate amou					es.						
(a)		(b)		T	(c)	·		1		(d)	
Base period years	3	Adjusted qualifying dis	tributions	Net value of no			use assets	"		utión ratio ded by col.	(c))
Calendar year (or tax year be	symming m)	, , , ,	7,031.					 `	201. (b) divi		43187
2008							5,394				
2007			8,707.	·			9,007				33885
2006			7,121.				1,519				26810
2005			5,363.				0,053				37079
2004		26	9,031.		3	<u>,95</u>	2,193	<u>. </u>		.00	58071
2 Total of line 1, column (d)								2		.20	09032
3 Average distribution ratio fo	r the 5-vear ba	se period - divide the total o	on line 2 by 5.	or by the number	of ve	ars					
the foundation has been in e	-	•	, -,	,	, -			3		. 04	41806
the loundation has been in t	existence ii ies	s tilali o years						 			
A 5-1-16-1-16-1-16-1-16-1-16-1-16-1-16-1-								1.1		4,508	087
4 Enter the net value of nonch	iaritable-use as	sets for 2009 from Part X,	ine 5					14		±,500	, 007.
										100	4.6.5
5 Multiply line 4 by line 3	•							5		T88	<u>,465.</u>
6 Enter 1% of net investment	income (1% of	Part I, line 27b)						6			855.
	-	•									
7 Add lines 5 and 6								7		189	,320.
								 			
8 Enter qualifying distribution	s from Part XII	, line 4						8		227	,1 <u>56.</u>
If line 8 is equal to or greate		neck the box in Part VI, line	1b, and comp	plete that part usin	g a 1	% tax r	ate.				

C/O	THOMAS	E.	SWANEY

31	6 –	3	5	2	1	7	1	Я
	•	•	J	~	_	,	_	v

Page 4

Pa	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4940(c)	48 - s	ee instr	uctio	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.				
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🗶 and enter 1%	1		8	55.
	of Part I, line 27b				
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2			0.
3	Add lines 1 and 2	3		8	55.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4			0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		8	55.
6	Credits/Payments:				
a	2009 estimated tax payments and 2008 overpayment credited to 2009 6a 8,811.				
b	Exempt foreign organizations - tax withheld at source				
C	Tax paid with application for extension of time to file (Form 8868)	İ			
d	Backup withholding erroneously withheld 6d 6d				
7	Total credits and payments. Add lines 6a through 6d	7		8,8	<u> 11.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
10		10		7,9	56.
	7	11			0.
Pa	rt VII-A Statements Regarding Activities				
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in			Yes	No
	any political campaign?		1a	<u> </u>	X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?		1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published	or			
	distributed by the foundation in connection with the activities				1
C	Did the foundation file Form 1120-POL for this year?		1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:				-
	(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation				
	managers. ► \$ 0 .				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		X
	If "Yes," attach a detailed description of the activities				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or		ŀ		
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		48	<u> </u>	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/2	A 4b		<u> </u>
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	<u> </u>	X
	If "Yes," attach the statement required by General Instruction T				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
	By language in the governing instrument, or				
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state la	W			
	remain in the governing instrument?		6	X_	
7	Did the foundation have at least \$5,000 in assets at any time during the year?		7	X	
	If "Yes," complete Part II, col. (c), and Part XV.			1	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)				
	IL				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)		1	1	
	of each state as required by General Instruction G? If "No," attach explanation		8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calend	lar		1	
	year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	ļ	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	<u> </u>	Х
			Form 99 6)-PF	(2009)

Part VIII-A Statements Regarding Activities (continued) 11 All any time during the year, did the foundation, diverty or indirectly, wan a controlled entity within the meaning of section 512(b) (13)? If Yes, "attach schedule (see instructions) 11 X X X X X X X X	C/O THOMAS E. SWANET 30-3321	<u>, , 10</u>		
section 512(b) (13) Pt Yes, "attach schedule (see instructions) Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? 10 Did the foundation acquires a direct or indirect interest in any applicable insurance contract before August 17, 2008? 11 The books are in care of Implementation Implementation of Implementation Implementation Implementation Implementat	Part VII-A Statements Regarding Activities (continued)			
12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 20097 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X Website address ► N/A 14 The books are in care of ► THOMAS E. SWANEY 15 Section 497(49) in nonewarp charable fursis filing form 9909 PF in let ul of Form 1011-1 Check here and enter the amount of tax-exempt interest received or accurated during the year 15 Section 497(49) in nonewarp charable fursis filing form 9909 PF in let ul of Form 1011-1 Check here and enter the amount of tax-exempt interest received or accurated during the year 16 Section 497(49) in nonewarp charable fursis filing form 9909 PF in let ul of Form 1011-1 Check here and enter the amount of tax-exempt interest received or accurated during the year 18 Section 497(49) in nonewarp charable fursis filing form 9909 PF in let ul of Form 1011-1 Check here and enter the amount of tax-exempt interest received or accurated during the year 19 Fart VII-B Statements Regarding Activities for Which Form 4720 May Be Required 19 File Form 4720 frant yitem is checked in the "Yes" column, unless an exception applies. 10 Did year to the sale or exchange, or leasing of property with a disqualited person? 10 Engage in the sale or exchange, or leasing of property with a disqualited person? 11 Did year of the sale or exchange, or leasing of property with a disqualited person? 12 Fursing poods, services, or facilities to (or accept them from) a disqualitied person? 13 Fursish poods, services, or facilities to (or accept them from) a disqualitied person? 14 Pay compensation to, or pay or remburse the expenses of, a disqualited person? 15 Fursish poods, services, or facilities to (or accept them from) a disqualitied person? 16 Fursish poods, services, or facilities to (or accept them from) a disqualitied person? 17 Fursish poods, services or facilities to (or accept them for them for the poods of the sale a	11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
August 17, 2008? Vestors address N/A N/A Telephone no. (312) 853 - 70 0 0	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
13	12 Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
Website address N/A 14 The books are in care of THOMAS E. SWANEY Telephone no. 312 853-7000 15 Section 4947(a)(1) nonexempt chariable trusts filing Form 990-FF in fleu of Form 1041 - Check here and enter the amount of tax-weapting interest received or accrued during the year 15 N/A	August 17, 2008?	12	İ	X
14 The books are in care of ▶ THOMAS E. SWANEY Telephone no. ▶ (31.2) 853-7000 15 Section 4947(2)(1) nonexempt charitable trusts filing Form 990-PF in lisu of Form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year Part VI-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or lessing to property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person or yes \(\text{No} \) No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any incine or assets to a disqualified person (or make any of either available to the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 14(1)-(6), did any of the acts fails to qualify under the exceptions described in Regulations section 53.4941(q/3) or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A 1b Did the foundation engage in a prior year in any of the acts disectibed in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? If "Yes, list the years \(\text{No} \) 2 Taxes on failure to distribute money (extend 4942(a)(2) with a first or the year is a first or the year is a first or a first or the year is a first or the year is a first or year is a first or year is	13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Section 4947(a)(1) nonexempt charable trusts fining Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year and the foundation (either directly or indirectly): Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required	Website address ► N/A			
Located at NOME SOUTH DEARBORN STREET, CHICAGO, IL Section 4947(a)(1) nonexempt charable trusts filing Form 990-PF in lev of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any items is checked in the "Yes" column, unless an exception applies. 15 N/A No. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No. (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No. (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No. (4) Pay compensation to, or pay or reimburs the expenses of, a disqualified person? Yes No. (5) Transfer any income or assets to a disqualified person (or make any of either available to the benefit or use of a disqualified person? Yes No. (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of governments service, if terminating within 90 disps.] Organizations of government service, if terminating within 90 disps.]	14 The books are in care of ► THOMAS E. SWANEY Telephone no. ► (312)	853	-70	00
and enter the amount of tax-exempt interest received or accrued during the year Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualited person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualitied person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualitied person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualitied person? (5) Firansifer any income or assets to a disqualitied person (or make any of either available for the benefit or use of a disqualitied person)? (res IX) No (6) Agree to pay money or property to a government official? (Exception, Check No if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to quality under the exceptions described in Regulations section 53,441(-9).5 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)? N/A Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)? A Alt the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes, Ist the years beginning in 2009? A A It the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes, Ist the years beginning in 2009 as a result of (1) any purchase by the foundation or disqualitied persons after May 26, 1969; (2) the lapse of t		603		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1 a During the year did the foundation (either drecky or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or make any of either available for the benefit or use of a disqualified person or or make any of either available for the benefit or use of a disqualified person or with a secure or or with a secure or with a s	15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. 1a During the year dother directly or indirectly: (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation argeed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section \$3.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1c Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a) or 4942(b)(3): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Parl XIII) for tax year(s) beginning before 2009? 11 "Yes, "Ist the years" b Are there any years isted in 2s for which the foundation is not applying the provisions of section 4942(a) (answer"No" and attach statement - see instructions). N/A 2b If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		N	/ A	
1a During the year did the foundation (either directly or indirectly): (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check *No* if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b) If any answer is "Yes" to 14(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section \$3.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1b) If any answer is "Yes" to 14(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section \$3.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? 1c) Organizations relying on a current notice regarding disaster assistance (see page 20 of the instructions)? 1c) The foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on faultie to distribute incomes (section 4942(a)(2) does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a) At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years with a site of in a part of the foundation was a private operating foundation in a site of in	Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transler any income or assest to a disqualified person for make any of either available for the benefit or use of a disqualified person? (6) Agree to pay money or property to a government official? (Exception, Check *No* if the foundation argued to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is *Yes* to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.491 (c)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning 2009? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If *Yes, *Iist the years* \incendice 10 the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) b Are there any years kisted in 2a for which the foundation is not applying the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, l	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it ferminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.491(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1 Tyes, "list the years of the tax year beginning in 2009? 1 Yes, "list the years listed in 2a for which the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? 1 Yes, "list the years listed in 2a for which the foundation is not applying section 4942(a)(2) to all years listed, answer "No" and attach assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach N/A 2b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 6-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by grift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdi	1a During the year did the foundation (either directly or indirectly):			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception, Check *No* if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to Ta(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute mcome (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years is leted in 2 a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) all years listed, answer "No" and attach N/A 2b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 6-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?				
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(6)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1 Traces on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(1)(3) or	a disqualified person?			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(ja) or 4942(j(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the year's listed in 2a, list the years here. C if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. The current of the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If Yes, "If the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year of the day section 4942(a)(b) to disp	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Fes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years \(\) b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Applied to foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Applied to foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Applied to foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year of the 5-year period (or longer period approved by the Commissioner under section 4943(c)				
for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(0)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years >>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>>				
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)): At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years Is listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A 2b If "Yes," did the view excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) A Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?				
If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(q)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years Yes X No If "Yes," list the years Yes X No If there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A 2b If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A 2b Control of the foundation make any investmen	·		1	
termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years Yes X No If "Yes," list the years Yes X No or if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. A till the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 200				
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years Did the foundation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Did the foundation hold more than a 2% direct or indirect interest in any business by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?				
Section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If Yes, list the years				
Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years		16		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(i)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years \	, 	· · · ·		
before the first day of the tax year beginning in 2009? 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?				
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		10		x
defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ▶				
betore 2009? If "Yes," list the years \				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?				
valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. Ja Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? D If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) N/A 1 Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? D Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?				
statement - see instructions.) c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.				
c if the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? 4b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 5 Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X		2h		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 13b 14a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 25 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 45 X	,		$\overline{}$	
during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 10	a if the provisions of southern 4542(a)(2) and boing applied to any of the years noted in 2a, not the years note.			
during the year? b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 10 A Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 2 b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 3 b	3a Did the foundation hold more than a 2% direct or indirect interest in any histness enterprise at any time			
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 10				
May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X				
of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X				
Form 4720, to determine if the foundation had excess business holdings in 2009) 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X				
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?4aXb Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?4bX	AT / T	3h		
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X	• ,			×
had not been removed from jeopardy before the first day of the tax year beginning in 2009? 4b X				
nad not been term jobbat by bottors and mot day of the day year.		4h		x
			-PF (

Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (contin	ued)			
5a During the year did the foundation pay or incur any amount to					Ţ	
(1) Carry on propaganda, or otherwise attempt to influence legislation (section			es X No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly,						
any voter registration drive?			es X No	1		
(3) Provide a grant to an individual for travel, study, or other similar purposes	?	Ye	es X No	ļ	ĺ	
(4) Provide a grant to an organization other than a charitable, etc., organization	n described in section	r		ł	İ	
509(a)(1), (2), or (3), or section 4940(d)(2)?			es X No	1		
(5) Provide for any purpose other than religious, charitable, scientific, literary,	or educational purposes, or f		[32]			
the prevention of cruelty to children or animals?			es X No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und	· ·	n Regulations		_		v
section 53.4945 or in a current notice regarding disaster assistance (see instru	•		▶[]	5b		<u> </u>
Organizations relying on a current notice regarding disaster assistance check h		nod		1		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption fr	om the tax because it maintai		es 🗀 No			
expenditure responsibility for the grant?	E E/d)	r	8 140	l		
If "Yes," attach the statement required by Regulations section 53 4945 6a Did the foundation, during the year, receive any funds, directly or indirectly, to j			i			
a personal benefit contract?	pay promiums on		s X No	- 1		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a p	ersonal benefit contract?	٠٠ لــــا		6Ь	- 1	X
If "Yes" to 6b, file Form 8870	orsonal bonone contract		<u> </u>			
7a At any time during the tax year, was the foundation a party to a prohibited tax s	heiter transaction?	☐ Ye	s X No		ł	
b If yes, did the foundation receive any proceeds or have any net income attribute			N/A	7b		
Part VIII Information About Officers, Directors, Trusto		nagers, Highly				
Paid Employees, and Contractors						
1 List all officers, directors, trustees, foundation managers and their	, ,					
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	aci	e) Expe count, allowan	ense other ices
BARBARA W. FIELD	PRES, TREAS,	DIR				
100 BELVIDERE, UNIT 6A						
BOSTON, MA 02199	1.00	0.	0.			0.
NEWTON N. MINOW	VP, ASST SEC,	DIR				
ONE SOUTH DEARBORN STREET						
CHICAGO, IL 60603	1.00	0.	0.			0.
	SEC, DIR					
ONE SOUTH DEARBORN STREET		_	_			_
CHICAGO, IL 60603	1.00	0.	0.	1		0.
	landed on time 4). If mone	anter UNIONE II		<u> </u>		
2 Compensation of five highest-paid employees (other than those inc	(b) Title, and average	enter NONE.	(d) Contributions to	14	e) Expe	ense
(a) Name and address of each employee paid more than \$50,000	` 'hours per week "	(c) Compensation	(d) Contributions to employee benefit plans and deferred	acc	e) Expe count, allowan	other
NONE	devoted to position		compensation	 	illowali	
HOHE						
		 		t		
				1		
	"					
]				
		<u>[</u>		<u>L.</u>		
Total number of other employees paid over \$50,000			•			0
			Form	990-	·PF (2	2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	on Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "	NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE	(-) 3)	· · · · · · · · · · · · · · · · · · ·
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistica number of organizations and other beneficiaries served, conferences convened, research papers produc	Il information such as the ed, etc.	Expenses
1 N/A		
2		
3		
	·	
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year on line	es 1 and 2.	Amount
1 N/A		
2		
All other program-related investments. See instructions.		
3		
		
Total. Add lines 1 through 3	<u> </u>	0.
		Form 990-PF (2009)

P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations,	, see instructions.)
- 1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		<u> </u>
а		1a	4,235,315.
b		1b	4,235,315.
C		1c	
d	Total (add lines 1a, b, and c)	1d	4,576,738.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,576,738.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	68,651.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	4,508,087.
6	Minimum investment return. Enter 5% of line 5	6	225,404.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	225,404.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 2a 855.		<u> </u>
b	Income tax for 2009. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	855.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	224,549.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	224,549.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	224,549.
P	art XII Qualifying Distributions (see instructions)	•	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	227,156.
þ	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	227,156.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	855.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	226,301.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	ualifies fo	r the section

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI,		1 cars prior to 2000	2000	2000
line 7				224,549.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004 32,129.				
bFrom 2005				
¢ From 2006				
dFrom 2007				
e From 2008	32,129.			
f Total of lines 3a through e	32,149.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶\$ 227, 156.				
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior			0.	
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus		<u> </u>		
(Election required - see instructions)	0.			
d Applied to 2009 distributable amount			-	224,549.
e Remaining amount distributed out of corpus	2,607.			321,013.
5 Excess distributions carryover applied to 2009	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
& Corpus Add lines 3f, 4c, and 4e Subtract line 5	34,736.			
b Prior years' undistributed income. Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which	İ			
the section 4942(a) tax has been previously				
assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2008. Subtract line				
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract				
lines 4d and 5 from line 1. This amount must	ļ			•
be distributed in 2010				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	0.			
section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	32,129.			
9 Excess distributions carryover to 2010.	34,143.		· · · · · · · · · · · · · · · · · · ·	
Subtract lines 7 and 8 from line 6a	2,607.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007			}	
d Excess from 2008				
e Excess from 2009 2,607.				
				Form 990-PF (2009)

923581 02-02-10

Part XIV Private Operating F	oundations (see in:		I-A. guestion 9)	N/A	21710 Tage 1
1 a If the foundation has received a ruling o			171, 42001.017 07		
foundation, and the ruling is effective fo					
b Check box to indicate whether the found	•		in section	4942(j)(3) or 494	12(1)(5)
2 a Enter the lesser of the adjusted net	Tax year	ig foundation described	Prior 3 years	1 4342())(0) 01 1 434	2(1)(0)
income from Part I or the minimum	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
investment return from Part X for			 	(,,	
each year listed					
b 85% of line 2a			 	 	.
c Qualifying distributions from Part XII,			 	 	
line 4 for each year listed					
d Amounts included in line 2c not			 		
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly			 	 	
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the		·		 	
alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
		· · · · · · · · · · · · · · · · · · ·	 	 	
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter			 	 	
2/3 of minimum investment return				i	
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:			· · · · · · · · · · · · · · · · · · ·	 	
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public	<u> </u>	··· ······	<u> </u>	 	·
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from				 	
an exempt organization					
(4) Gross investment income					<u> </u>
Part XV Supplementary Info	rmation (Comple	te this part only	if the foundation	had \$5,000 or mo	re in assets
at any time during t				40,000 0	
1 Information Regarding Foundatio		· · · · · · · · · · · · · · · · · · ·			•
a List any managers of the foundation who	-	han 2% of the total cont	ributions received by the	foundation before the close	of any tax
year (but only if they have contributed m					
BARBARA W. FIELD					
b List any managers of the foundation who	own 10% or more of the	stock of a corporation	(or an equally large portion	on of the ownership of a par	tnership or
other entity) of which the foundation has			. , , , , , , , , , , , , , , , , , , ,	, .,	•
NONE					
2 Information Regarding Contributi	on, Grant, Gift, Loan,	Scholarship, etc., P	rograms:		
Check here 🕨 🗶 if the foundation of				not accept unsolicited reque	sts for funds. If
the foundation makes gifts, grants, etc. (
a The name, address, and telephone numb	er of the person to whon	applications should be	addressed:		
•					
b The form in which applications should b	e submitted and informat	on and materials they s	hould include:		
c Any submission deadlines:			· · · · · · · · · · · · · · · ·		
d Any restrictions or limitations on awards	such as by geographics	l areas charitable fields	kinds of institutions or a	other factors:	
a ziny restrictions of initiations on awards	, saon as by geographica	i arcas, criai nable licius,	minus of manuations, of C	omor idolola,	

NONE NONE	Recogent an annovable of the property of the p	Part XV Supplementary Information				
SEE STATEMENT 8 Tatal Approved for future payment NONE	SEE STATEMENT 8 Total NONE See STATEMENT 8 Total NONE		ear or Approved for Future	Payment	<u> </u>	
a Paid during the year SEE STATEMENT 8 Total b Approved for future payment NONE	SEE STATEMENT 8 Tetal Approved for future payment NONE		If recipient is an individual, show any relationship to any foundation manager	status of	Purpose of grant or contribution	Amount
SEE STATEMENT 8 Total b Approved for future payment NONE	SEE STATEMENT 8 Total b Approved for future payment NONE	-·	or substantial contributor	recipient		<u></u>
Total b Approved for future payment NONE	Total b Approved for future payment NONE	a Paid during the year	or substantial contributor	recipient		
NONE NONE	NONE NONE		<u></u>		▶ 3a	194.700.
10tai ► 3b U	101111	b Approved for future payment			▶ 3b	0.

D				
Part XVI-A	Analysis	of income	-Producing	Activities

	Unroloted		1 5 0 0	15	
Enter gross amounts unless otherwise indicated.	(a) Business	(b)	(C) Exclu- sion	(d)	(e) Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
8	_		 		
b	_		 		
C			 		
d	_		 		
e	_		 -		
1	_		-		
g Fees and contracts from government agencies		 	 -		
2 Membership dues and assessments			1 1		
3 Interest on savings and temporary cash					
investments 4 Dividends and interest from securities			14	124,073.	
5 Net rental income or (loss) from real estate:			+	124,075.	
a Debt-financed property			 		
b Not debt-financed property			 		
6 Net rental income or (loss) from personal			 -		· · · · · · · · · · · · · · · · · · ·
property			1 1		
7 Other investment income		· · · · · · · · · · · · · · · · · · ·	╁╼╼╁╾		
8 Gain or (loss) from sales of assets other	-		 		
than inventory			18	<69,849.	•
9 Net income or (loss) from special events			+	103/0231	
10 Gross profit or (loss) from sales of inventory			<u> </u>		
11 Other revenue:					
•					
b		 			•
С					
d	_				
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		54,224.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	54,224.
(See worksheet in line 13 instructions to verify calculations.)				
Part XVI-B Relationship of Activities	s to the Accor	nolishment of Ex	rempt	Purposes	
Line No. Explain below how each activity for which in			contribut	ted importantly to the accomp	olishment of
the foundation's exempt purposes (other th	an by providing funds	s for such purposes).			
			<u> </u>		
					
					 -
	<u></u>	 			
	····				
	 				
	· · · · · · · · · · · · · · · · · · ·				
		· · · · · · · · · · · · · · · · · · ·			
		·			<u>. </u>
					
	<u>, </u>		_	······	
					<u> </u>
	· · · · · · · · · · · · · · · · · · ·				
923621 02-02-10	· <u>_</u>			······································	Form 990-PF (2009)

Form 990-PF (2009) C/O THOMAS E. SWANEY Information Regarding Transfers To and Transactions and Relationships With Nonce Exempt Organizations Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets	1a(1)	e Yes N	
 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? Transfers from the reporting foundation to a noncharitable exempt organization of Cash 			
the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash			10
a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash			<u> </u>
(1) Cash			
(2) Other assets	1a(2)		X
(-) +	ſ		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		<u>X</u>
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X X
(6) Performance of services or membership or fundraising solicitations	1b(6)		<u>^</u>
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		_
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the good or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement.		5615,	
column (d) the value of the goods, other assets, or services received.	14, 311011 111		
(a) Line no (b) Amount involved (c) Name of noncharitable exempt organization (d) Description of transfers, transactions, transa	and sharing a	rangements	<u>—</u>
N/A			_
			_
		-	
		-	
			_
2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described		•	_
in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?	Yes	X	Nο
b If "Yes," complete the following schedule.	163	الحما	10
(a) Name of organization (b) Type of organization (c) Description of relation	onship		_
N/A			_
			_
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge	it is true, corre	ect,	

(312) 853-7000

Check if self-employed

EIN ▶

Phone no.

Preparer's signature

address, and ZIP code

SIDLEY AUSTIN LLP

CHICAGO,

ONE SOUTH DEARBORN STREET

IL 60603

Schedule B (Form 990, 990-EZ, or 990-PF)

or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2009

Name of the orga		Employer identification number				
	THE TINY TIGER FOUNDATION C/O THOMAS E. SWANEY	36-3521718				
Organization typ		30 3321710				
Filers of:	Section:					
Form 990 or 990-l	501(c)() (enter number) organization					
	4947(a)(1) nonexempt charitable trust not treated as a private foundation					
	527 political organization					
Form 990-PF Sol1(c)(3) exempt private foundation						
4947(a)(1) nonexempt charitable trust treated as a private foundation						
501(c)(3) taxable private foundation						
	nization is covered by the General Rule or a Special Rule. on 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Ru	ule. See instructions.				
General Rule						
	ganization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in more). Complete Parts I and II.	oney or property) from any one				
Special Rules						
509(a)(1)	tion 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the reg and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the ount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.					
aggregat	tion 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, intion of cruelty to children or animals. Complete Parts I, II, and III					
For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 lf this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year						
but it must answe	religious, charitable, etc., contributions of \$5,000 or more during the year **Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify not it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).					

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

for Form 990, 990-EZ, or 990-PF.

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions

Name of organization
THE TINY TIGER FOUNDATION
C.O. THOMAS E SWANEY

Employer identification number

	HOMAS E. SWANEY	3	6-3521718
Part I	Contributors (see instructions)		
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BARBARA W. FIELD 100 BELVIDERE STREET, 6A	s227,796.	Person Payroll Noncash X (Complete Part II if there

1	BARBARA W. FIELD 100 BELVIDERE STREET, 6A BOSTON, MA 02199	\$\$.	Person Payroll Noncash X (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroli Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Occupate Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there

923452 02-01-10

1 of 1 of Part II

Name of organization

THE TINY TIGER FOUNDATION

C/O THOMAS E. SWANEY

Employer identification number

36-3521718

Part I 5,425 SH STATE STREET COMMON STOCK	7 0	MAS E. SWANEI		-3521/16
No. Co FMV (or estimate) Co Co Co Co Co Co Co C	Part II N	oncash Property (see instructions)		
\$ 227,796. 12/21/01 (a) No. (b) FMV (or estimate) (see instructions) (a) No. (c) FMV (or estimate) (see instructions) (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (see instructions) (a) No. (b) FMV (or estimate) (see instructions) (a) No. (c) FMV (or estimate) (see instructions) (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (see instructions) (a) No. (b) FMV (or estimate) (see instructions) (d) Date received (see instructions) (d) Date received (see instructions)	No. from		FMV (or estimate)	(d) Date received
(a) No. trom Description of noncash property given (a) No. trom Part I (a) No. trom Part I (b) Description of noncash property given (c) FMV (or estimate) (see instructions) (d) Date received (see instructions) (d) Date received (see instructions) (d) Date received (e) FMV (or estimate) (see instructions) (d) Date received (e) FMV (or estimate) (see instructions) (d) Date received (e) FMV (or estimate) (see instructions) (d) Date received (e) FMV (or estimate) (see instructions) (d) Date received (e) FMV (or estimate) (see instructions) (d) Date received (e) FMV (or estimate) (fo	—	,425 SH STATE STREET COMMON STOCK		
No. from Part I (a) No. from Part I (a) No. from Description of noncash property given (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (d) Date received (d) Date received (e) FMV (or estimate) (see instructions) (a) No. from Description of noncash property given (a) No. from Description of noncash property given (a) No. from Description of noncash property given (a) No. from Description of noncash property given (a) No. from Description of noncash property given (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (e) No. from Description of noncash property given (d) Date received			s227,796.	12/21/09
(a) No. (b) FMV (or estimate) (see instructions) (a) No. (c) FMV (or estimate) (see instructions) (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (see instructions) (a) No. (b) FMV (or estimate) (see instructions) (a) No. (c) FMV (or estimate) (see instructions) (a) No. (b) FMV (or estimate) (see instructions) (b) FMV (or estimate) (see instructions)	No. from		FMV (or estimate)	(d) Date received
No. from Description of noncash property given (a) No. from Description of noncash property given (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (d) Date received (see instructions) (d) Date received (see instructions) (d) Date received (see instructions) (d) Date received (see instructions) (d) Date received (see instructions)				
(a) No. Poscription of noncash property given (b) FMV (or estimate) (see instructions) (c) FMV (or estimate) (see instructions) (d) Date received (a) No. (b) FMV (or estimate) (see instructions) (d) Date received (a) No. (b) FMV (or estimate) (see instructions) (d) Date received	No. from		FMV (or estimate)	(d) Date received
No. (b) FMV (or estimate) (see instructions) Date received (a) No. (b) FMV (or estimate) (see instructions) Date received (b) FMV (or estimate) (see instructions) Date received (c) (d) Date received Date recei	- =			
(a) No. (b) FMV (or estimate) from Description of noncash property given (see instructions) Date received	No. from		FMV (or estimate)	(d) Date received
No. (b) (d) FMV (or estimate) (d) from Description of noncash property given (see instructions)			s	
Tart I	No.		FMV (or estimate)	(d) Date received
	$-\begin{vmatrix} - \\ - \end{vmatrix}$		 \$	
(a) No. (b) FMV (or estimate) Orom Part I (c) FMV (or estimate) (see instructions) Cart I	No. rom		FMV (or estimate)	(d) Date received
	_ _		 	

923453 02-01-10

Capital Gains and Lo	sses for lax on investment income				
	d describe the kind(s) of property sol rick warehouse; or common stock, 2		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 229.319 JPMORG	AN SMALL CAP VAL	UE FUND	P	12/18/08	03/04/09
b 1000 EXXON MOB	IL	•	P	04/19/05	
c 1500 EXXON MOB	IL		P	01/20/47	
d 11186.77 FIDEL	ITY ADVISOR HI Y	IELD FD INST	P	10/03/07	
e 3234.085 JPMOR			P	12/17/07	
	AN SMALL CAP GRO	WTH FUND	P	12/17/07	
	GAN SMALL CAP VA		P	12/17/07	
h CAPITAL GAINS					
1	<u> </u>			 	
1			-		
k					
Ī				·	
m					
n	· · · · · · · · · · · · · · · · · · ·	· • • · · · · · · · · · · · · · · · · ·	-		
0				†	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(e) (e)) Gain or (loss) blus (f) minus (g)	
a 2,050.	· · · · · · · · · · · · · · · · · · ·	2,708.		(0)	<658.>
b 65,452.		58,750.		 	$\frac{\sqrt{6,702.}}{6,702.}$
c 98,178.		462.			97,716.
d 55,151.		115,000.			$\frac{57,710.}{59,849.}$
e 52,845.		131,439.			<78,594.>
f 27,682.		48,664.			$\frac{<70,334.}{<20,982.}>$
00 410		47,035.			$\frac{\langle 20, 302.\rangle}{\langle 23, 616.\rangle}$
g 23,419. h 9,432.		47,033.			9,432.
1 2, 32.					7,4521
<u></u> k		-			
1					
m					 -
n					
0					
	ng gain in column (h) and owned by t	he foundation on 12/31/69	//\\.o	sses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	Gains (excess	of col. (h) gain over ot less than "-0-")	col. (k),
		(11)			<658.>
b					6,702.
C		· · · · · · · · · · · · · · · · · · ·		····_	97,716.
d					$\frac{57,7200}{59,849.}$
e					$\frac{35,535}{78,594.}$
f					<20,982.>
g					<23,616.>
h					9,432.
<u>;; </u>					. ,
. .					
k					
1					<u> </u>
m		-			
n					· · · · · ·
0					
			· · · · · · · · · · · · · · · · · · ·		
2 Capital gain net income or (net ca	pital loss) { If gain, also enter "-0	in Part I, line 7 " in Part I, line 7	2	•	<69,849.>
3 Net short-term capital gain or (los If gain, also enter in Part I, line 8,	column (c).	d (6)		37 / 3	
If (loss), enter "-0-" in Part I, line 8			3	N/A	

FORM 990-PF DIVIDEN	DS AND	INTER	EST	FROM S	SECUR	RITIES	STATEMENT	1
SOURCE		GROSS	AMO	UNT		TAL GAINS	COLUMN (A AMOUNT	.)
JP MORGAN ACCOUNT 2620284300 (QUALIFIED DIVIDENDS)			133,	505.		9,432.	124,0	73.
TOTAL TO FM 990-PF, PART I,	LN 4		133,	505.		9,432.	124,0	73.
FORM 990-PF		LEGAL	FEE	S			STATEMENT	2
DESCRIPTION	EXPE	A) NSES BOOKS		(B) 'INVE		(C) ADJUSTED NET INCOM		
SIDLEY AUSTIN LLP	1	8,421.		4,	505.		13,8	16.
TO FM 990-PF, PG 1, LN 16A	1	8,421.		4,(505.		13,8	16.
FORM 990-PF	OTHER	PROFES	SION	IAL FEI	ES	-XX	STATEMENT	3
DESCRIPTION	EXPE	A) NSES BOOKS	NET MEN	(B) 'INVE! IT INC		(C) ADJUSTED NET INCOM		
JP MORGAN MANAGEMENT FEES	3	3,970.		33,9	970.			0.
THE PHILANTHROPIC INITIATIVE JP MORGAN TAX PREP FEE	1	8,325. 300.			0. 0.		18,3 3	25. 00.
TO FORM 990-PF, PG 1, LN 16C	5	2,595.		33,9	970.		18,6	25.

FORM 990-PF	OTHER E	XPENSES		STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM		
ILLINOIS CHARITY BUREAU	15.		0.	15.
TO FORM 990-PF, PG 1, LN 23	15.		0.	15.
FORM 990-PF OTHER DECREASE	ES IN NET AS	SETS OR FU	ND BALANCES	STATEMENT 5
DESCRIPTION				AMOUNT
DIFFERENCE BETWEEN COST BASIS	S AND FMV OF	CONTRIBUTE	ED STOCK	177,520.
TOTAL TO FORM 990-PF, PART II	II, LINE 5			177,520.
FORM 990-PF	CORPORAT	E STOCK		STATEMENT 6
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
3M CO AT&T INC BANK OF AMERICA CORP BOEING CO CISCO SYS INC COLGATE-PALMOLIVE CO EXXON MOBIL CORP GENERAL ELECTRIC CO ILLINOIS TOOL WORKS INTEL CORP MICROSOFT CORP PEPSICO INC STATE STR BOSTON CORP VERIZON COMMUNICATIONS WAL MART STORES INC WELLS FARGO CO			20,235. 39,382. 50,967. 33,732. 16,604. 6,829. 1,015. 1,464. 33,973. 7,635. 46,609. 64,873. 75,298. 13,965. 86,996. 122,055.	84,090. 31,626. 21,652. 16,758. 32,860. 224,481. 116,501. 95,980. 287,640. 231,648. 133,760. 353,763. 20,209. 80,175. 161,940.
TOTAL TO FORM 990-PF, PART II	, LINE 10B		621,632.	2,157,627.

FORM 990-PF OTH	ER INVESTMENTS		STATEMENT 7
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ADVISORS INNER CIRCLE EDGEWOOD	COST		
GROWTH FD		75,000.	112,894.
DODGE & COX FDS	COST	212,960.	146,239.
EATON VANCE SPL INVT TR LARGE CAP	COST	•	,
VALUE FD		75,000.	97,483.
FIDELITY ADVISOR SER II	COST	0.	0.
ISHARES RUSSELL MIDCAP INDEX FD	COST	108,749.	191,753.
ISHARES TR US TREASURY INFLATION	COST	•	·
PROTECTED SECS FD		168,815.	177,565.
JPMORGAN ASIA EQUITY FUND	COST	0.	0.
JPMORGAN HIGH YIELD BOND FUND	COST		
SELECT		165,000.	192,521.
JPMORGAN INTERNATIONAL EQUITY INDEX	X COST		
FUND		237,208.	231,975.
JPMORGAN SHORT DURATION BOND FUND	COST	618,324.	625,822.
JPMORGAN SMALL CAP GROWTH FUND	COST	0.	0.
JPMORGAN SMALL CAP VALUE FUND	COST	0.	0.
JPMORGAN TREASURY & AGENCY FUND	COST	258,309.	255,245.
ROWE, T PRICE INTL FDS INC NEW ASIA	A COST		
FD		140,479.	193,601.
ROWE, T PRICE INTL FDS INC OVERSEAS	S COST		
STK FD		180,359.	276,287.
GOLDMAN SACHS TRUST HIGH YIELD FD	COST	115,000.	158,267.
JPMORGAN STRATEGIC INCOME	COST	50,285.	58,998.
ASTON FDS TAMRO S CAP I	COST	60,000.	95,200.
TOTAL TO FORM 990-PF, PART II, LIN	E 13	2,465,488.	2,813,850.

	AND CONTRIBUTIONS DURING THE YEAR	STATEMENT 8	
RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT		AMOUNT
ADVENTURE UNLIMITED, ENGLEWOOD,	N/A GENERAL FUND	PUBLIC	5,000.
ADVENTURE UNLIMITED, ENGLEWOOD, CO	N/A GENERAL FUND	PUBLIC	10,000.
BOSTON ARTS ACADEMY FOUNDATION, BOSTON, MA	n/a GENERAL FUND	PUBLIC	7,500.
CHESTNUT HILL BENEVOLENT SOCIETY, CHESTNUT HILL, MA	N/A GENERAL FUND	PUBLIC	10,000.
FIRST CHURCH OF CHRIST, SCIENTIST, BOSTON, MA	N/A ANNUAL FUND	PUBLIC	5,000.
HUNTINGTON THEATRE COMPANY, INC., BOSTON, MA	N/A YOUTH EDUCATION PROGRAM	PUBLIC	5,000.
PINE STREET INN, INC., BOSTON, MA	N/A GENERAL FUND	PUBLIC	10,000.
PROJECT HOPE, MILLWOOD, VA	N/A GENERAL FUND	PUBLIC	10,000.

THE TINY TIGER FOUNDATION C/O	THOMAS E.		36-3521718
TENACITY INC., BRIGHTON, MA	N/A GENERAL FUND	PUBLIC	5,000.
UNDERGROUD RAILWAY THEATER, ARLINGTON, MA	N/A COMMUNITY EDUCATION PROGRAM	PUBLIC	5,000.
WGBH EDUCATIONAL FOUNDATION, BOSTON, MA	N/A LOCAL BROADCASTS	PUBLIC	15,000.
YOUNG AUTHORS FOUNDATION, INC., NEWTON MA	N/A GENERAL FUND	PUBLIC	40,000.
YOUNG AUTHORS FOUNDATION, INC., NEWTON MA	N/A POETRY PROJECT	PUBLIC	20,000.
MORE THAN WORDS INC., WALTHAM, MA	N/A GENERAL FUND	PUBLIC	5,000.
ZUMIX INC., EAST BOSTON, MA	N/A GENERAL FUND	PUBLIC	5,000.
UNITED SOUTH END SETTLEMENTS, BOSTON, MA	N/A GENERAL FUND	PUBLIC	5,000.
826 BOSTON INC., ROXBURY, MA	N/A GENERAL FUND	PUBLIC	10,000.
CHICAGO HISTORICAL SOCIETY, CHICAGO, IL	N/A GENERAL FUND	PUBLIC	1,800.

THE TINY TIGER FOUNDATION C/O T	HOMAS E.			36-3521718
JANE ADDAMS HULL HOUSE, CHICAGO, IL	N/A GENERAL	- FUND	PUBLIC	1,800.
THE ART INSTITUTE OF CHICAGO, CHICAGO, IL	N/A GENERAL	FUND	PUBLIC	1,800.
FIELD MUSEUM NATURAL HISTORY, CHICAGO, IL	N/A GENERAL	FUND	PUBLIC	1,800.
GATEWAY ARTS, BROOKLINE, MA	N/A GENERAL	FUND	PUBLIC	15,000.
TOTAL TO FORM 990-PF, PART XV, LI	NE 3A		<u> </u>	194,700.