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Form 990-EZ

Department of the Treasury  
Internal Revenue ServiceShort Form  
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form.

The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-1150

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning

and ending

B Check if applicable:

☐ Address change

☐ Name change

☐ Initial return

☐ Terminated

☐ Amended return

☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization

GREATER ROCHESTER ADVOCATES FOR  
UNIVERSITIES & COLLEGES

Number and street (or P.O. box, if mail is not delivered to street address)

851 30TH AVE SE

City or town, state or country, and ZIP + 4

ROCHESTER, MN 55904

D Employer identification number

36-3517407

E Telephone number

507-281-7786

F Group Exemption

Number

Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Accounting method: ☒ Cash ☐ Accrual  
Other (specify):

I Website: WWW.GRAUC.ORG

H Check ☐ if the organization is not required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

J Tax-exempt status (check only one) — ☒ 501(c)(3) (insert no.) ☐ 4947(a)(1) or ☐ 527

K Check ☐ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if \$500,000 or more, file Form 990 instead of Form 990-EZ \$ 75,379.

## Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	73,800.
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	
	4	Investment income	4	
	5a	Gross amount from sale of assets other than inventory	5a	
	5b	Less: cost or other basis and sales expenses	5b	
	5c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here <input type="checkbox"/>	6	
	6a	Gross revenue (not including \$ of contributions reported on line 1)	6a	
	6b	Less: direct expenses other than fundraising expenses	6b	
6c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	6c		
7a	Gross sales of inventory, less returns and allowances	7a		
7b	Less: cost of goods sold	7b		
7c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c		
8	Other revenue (describe: INTEREST INCOME)	8	1,579.	
9	Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8	9	75,379.	
Expenses	10	Grants and similar amounts paid (attach schedule)	10	
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	35,802.
	13	Professional fees and other payments to independent contractors	13	8,200.
	14	Occupancy, rent, utilities, and maintenance	14	130.
	15	Printing, publications, postage, and shipping	15	
	16	Other expenses (describe: SEE STATEMENT 1)	16	7,852.
	17	Total expenses. Add lines 10 through 16	17	51,984.
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	23,395.
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	160,551.
	20	Other changes in net assets or fund balances (attach explanation)	20	
	21	Net assets or fund balances at end of year. Combine lines 18 through 20	21	183,946.

## Part II Balance Sheets. If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ.

(See the instructions for Part II.)

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	161,120.	183,824.
23 Land and buildings		
24 Other assets (describe: OTHER DEPRECIABLE ASSETS)	266.	136.
25 Total assets	161,386.	183,960.
26 Total liabilities (describe: SEE STATEMENT 2)	835.	14.
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	160,551.	183,946.

932171 02-08-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the separate instructions.

Form 990-EZ (2009)

SCANNED JUL 07 2010

## Expenses

(Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others )

28 SEE STATEMENT 6

28a	14,947.
-----	---------

29 SEE STATEMENT 7

29a	11,744.
-----	---------

30 SEE STATEMENT 8

30a	6,050.
-----	--------

31 Other program services (attach schedule) SEE STATEMENT 10

31a	2,847.
-----	--------

**32 Total program service expenses** (add lines 28a through 31a)

32	35,588.
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02-08-10

**Part V Other Information** (Note the statement requirements in the instructions for Part V.)

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33	X
34 Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes	34	X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.		
a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	35a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	35b	N/A
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If "Yes," complete applicable parts of Sch. N	36	X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions. ▶ 37a 0.		
b Did the organization file Form 1120-POL for this year?	37b	X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	38a	X
b If "Yes," complete Schedule L, Part II and enter the total amount involved	38b	N/A
39 Section 501(c)(7) organizations. Enter:		
a Initiation fees and capital contributions included on line 9	39a	N/A
b Gross receipts, included on line 9, for public use of club facilities	39b	N/A
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under: section 4911 ▶ 0.; section 4912 ▶ 0.; section 4955 ▶ 0.		
b Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b	X
c Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ 0.		
d Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ▶ 0.		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e	X
41 List the states with which a copy of this return is filed. ▶ MN		
42a The organization's books are in care of ▶ MONA PERMANN Telephone no. ▶ 507-280-5512 Located at ▶ 851 30TH AVE SE, ROCHESTER, MN ZIP + 4 ▶ 55904		
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b	X
If "Yes," enter the name of the foreign country: ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
c At any time during the calendar year, did the organization maintain an office outside of the U.S.?	42c	X
If "Yes," enter the name of the foreign country: ▶		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43 N/A		
44 Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44	X
45 Is any related organization a controlled entry of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45	X

Form 990-EZ (2009)

**Part VI** **Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

- 46 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I
- 47 Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II
- 48 Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E
- 49a Did the organization make any transfers to an exempt non-charitable related organization?
- b If "Yes," was the related organization a section 527 organization?
- 50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

	Yes	No
46		X
47	X	
48		X
49a		X
49b		

(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				

f Total number of other employees paid over \$100,000

- 51 Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
NONE		

d Total number of other independent contractors each receiving over \$100,000

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here: *[Signature]* Date: 5/14/10

Type or print name and title: Gary Smith, Chair Bd of Directors

Paid Preparer's Use Only: Preparer's signature: *[Signature]* Date: 5/10/10 Check if self-employed: ☐ Preparer's identifying number (See instr): 000282741

Firm's name (or yours if self-employed), address, and ZIP + 4: RSM MCGLADREY, INC., 310 BROADWAY AVENUE S, SUITE 300, ROCHESTER, MN 55904

EIN: 507.288.5363

Phone no. 507.288.5363

May the IRS discuss this return with the preparer shown above? See instructions

☒ Yes ☐ No

Form 990-EZ (2009)

Department of the Treasury  
Internal Revenue Service

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

OMB No 1545-0047

# 2009

**Open to Public Inspection**

Name of the organization	GREATER ROCHESTER ADVOCATES FOR UNIVERSITIES & COLLEGES	Employer identification number 36-3517407
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1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: \_\_\_\_\_

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I                      b ☐ Type II                      c ☐ Type III - Functionally integrated                      d ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐

(ii) A family member of a person described in (i) above? ☐

(iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐

h Provide the following information about the supported organization(s).

	Yes	No
11g(i)	<input type="checkbox"/>	<input type="checkbox"/>
11g(ii)	<input type="checkbox"/>	<input type="checkbox"/>
11g(iii)	<input type="checkbox"/>	<input type="checkbox"/>

[illegible]

Schedule A (Form 990 or 990-EZ) 2009

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal year beginning in)▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	94,330.	73,056.	93,184.	88,950.	73,800.	423,320.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 <b>Total.</b> Add lines 1 through 3	94,330.	73,056.	93,184.	88,950.	73,800.	423,320.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						319,100.
6 <b>Public support.</b> Subtract line 5 from line 4						104,220.

**Section B. Total Support**

Calendar year (or fiscal year beginning in)▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	94,330.	73,056.	93,184.	88,950.	73,800.	423,320.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	530.	303.	464.	2,804.	1,579.	5,680.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 <b>Total support.</b> Add lines 7 through 10						429,000.
12 Gross receipts from related activities, etc. (see instructions)					12	

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	24.29 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	39.72 %
16a <b>33 1/3% support test - 2009.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		▶ <input type="checkbox"/>
b <b>33 1/3% support test - 2008.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		▶ <input checked="" type="checkbox"/>
17a <b>10% -facts-and-circumstances test - 2009.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		▶ <input type="checkbox"/>
b <b>10% -facts-and-circumstances test - 2008.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		▶ <input type="checkbox"/>
18 <b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		▶ <input type="checkbox"/>

Schedule A (Form 990 or 990-EZ) 2009

**Part III Support Schedule for Organizations Described in Section 509(a)(2)** (Complete only if you checked the box on line 9 of Part I.)**Section A. Public Support**

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>1</b> Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
<b>2</b> Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
<b>3</b> Gross receipts from activities that are not an unrelated trade or business under section 513						
<b>4</b> Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
<b>5</b> The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, and 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support.</b> (Subtract line 7c from line 6)						

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
<b>13 Total support.</b> (Add lines 9, 10c, 11, and 12)						
<b>14 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

**Section C. Computation of Public Support Percentage**

<b>15</b> Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	<b>15</b>	%
<b>16</b> Public support percentage from 2008 Schedule A, Part III, line 15	<b>16</b>	%

**Section D. Computation of Investment Income Percentage**

<b>17</b> Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	<b>17</b>	%
<b>18</b> Investment income percentage from 2008 Schedule A, Part III, line 17	<b>18</b>	%

**19a 33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

**b 33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

**20 Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐



## 2009

\*\*\* Not Open to Public Inspection \*\*\*

Total Excess Contributions to Schedule A, Part II, Line 5	319,100.
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**SCHEDULE C**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Political Campaign and Lobbying Activities**

For Organizations Exempt From Income Tax Under section 501(c) and section 527

OMB No. 1545-0047

**2009**

Open to Public  
Inspection

▶ **Complete if the organization is described below.**

▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

**If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part VI, line 46 (Political Campaign Activities), then**

- Section 501(c)(3) organizations. Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

**If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

**If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), then**

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization <b>GREATER ROCHESTER ADVOCATES FOR UNIVERSITIES &amp; COLLEGES</b>	Employer identification number <b>36-3517407</b>
--	---

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

- |   |                |
|---|----------------|
| 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV. | ▶ \$ <u>0.</u> |
| 2 Political expenditures  | ▶ \$ <u>0.</u> |
| 3 Volunteer hours   | ▶ \$ <u>0.</u> |

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

- |   |  |
|---|--|
| 1 Enter the amount of any excise tax incurred by the organization under section 4955      | ▶ \$ <u>          </u>                                   |
| 2 Enter the amount of any excise tax incurred by organization managers under section 4955 | ▶ \$ <u>          </u>                                   |
| 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4a Was a correction made?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| b If "Yes," describe in Part IV.  |  |

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

- |   |  |
|---|--|
| 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities  | ▶ \$ <u>          </u>                                   |
| 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities   | ▶ \$ <u>          </u>                                   |
| 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b  | ▶ \$ <u>          </u>                                   |
| 4 Did the filing organization file Form 1120-POL for this year?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which payments were made. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV |  |

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2009 LHA

**Part II-A** Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check ☐ if the filing organization belongs to an affiliated group
- B Check ☐ if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)														
c	Total lobbying expenditures (add lines 1a and 1b)														
d	Other exempt purpose expenditures	51,986.													
e	Total exempt purpose expenditures (add lines 1c and 1d)	51,986.													
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	10,397.													
<table border="1"> <thead> <tr> <th>If the amount on line 1e, column (a) or (b) is:</th> <th>The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e.														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.														
Over \$17,000,000	\$1,000,000.														
g	Grassroots nontaxable amount (enter 25% of line 1f)	2,599.													
h	Subtract line 1g from line 1a. If zero or less, enter -0-	0.													
i	Subtract line 1f from line 1c. If zero or less, enter -0-	0.													
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No												

**4-Year Averaging Period Under Section 501(h)**  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

**Lobbying Expenditures During 4-Year Averaging Period**

Calendar year (or fiscal year beginning in)	(a) 2006	(b) 2007	(c) 2008	(d) 2009	(e) Total
2a Lobbying nontaxable amount	15,924.	13,743.	12,780.	10,397.	52,844.
b Lobbying ceiling amount (150% of line 2a, column(e))					79,266.
c Total lobbying expenditures	17,500.				17,500.
d Grassroots nontaxable amount	3,981.	3,436.	3,195.	2,599.	13,211.
e Grassroots ceiling amount (150% of line 2d, column (e))					19,817.
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2009

**GREATER ROCHESTER ADVOCATES FOR**

Schedule C (Form 990 or 990-EZ) 2009 **UNIVERSITIES & COLLEGES**

36-3517407 Page 3

**Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

	(a)		(b)
	Yes	No	Amount
<b>1</b> During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
<b>a</b> Volunteers?	<b>X</b>		
<b>b</b> Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
<b>c</b> Media advertisements?			
<b>d</b> Mailings to members, legislators, or the public?			
<b>e</b> Publications, or published or broadcast statements?			
<b>f</b> Grants to other organizations for lobbying purposes?			
<b>g</b> Direct contact with legislators, their staffs, government officials, or a legislative body?	<b>X</b>		
<b>h</b> Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
<b>i</b> Other activities? If "Yes," describe in Part IV	<b>X</b>		600.
<b>j</b> Total. Add lines 1c through 1i			600.
<b>2a</b> Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		<b>X</b>	
<b>b</b> If "Yes," enter the amount of any tax incurred under section 4912			
<b>c</b> If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
<b>d</b> If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

**Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

	Yes	No
<b>1</b> Were substantially all (90% or more) dues received nondeductible by members?	<b>1</b>	
<b>2</b> Did the organization make only in-house lobbying expenditures of \$2,000 or less?	<b>2</b>	
<b>3</b> Did the organization agree to carryover lobbying and political expenditures from the prior year?	<b>3</b>	

**Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) if BOTH Part III-A, lines 1 and 2 are answered "No" OR if Part III-A, line 3 is answered "Yes."**

<b>1</b> Dues, assessments and similar amounts from members	<b>1</b>	
<b>2</b> Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
<b>a</b> Current year	<b>2a</b>	
<b>b</b> Carryover from last year	<b>2b</b>	
<b>c</b> Total	<b>2c</b>	
<b>3</b> Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	<b>3</b>	
<b>4</b> If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	<b>4</b>	
<b>5</b> Taxable amount of lobbying and political expenditures (see instructions)	<b>5</b>	

**Part IV Supplemental Information**

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5, and Part II-B, line 1. Also, complete this part for any additional information.

**PART I-A, LINE 1:**

**THE ORGANIZATION ADVOCATES FOR HIGHER EDUCATION IN ROCHESTER, MINNESOTA. IT DOES NOT ENGAGE IN ANY DIRECT OR INDIRECT POLITICAL CAMPAIGN ACTIVITIES.**

**PART II-B, LINE 1(I), OTHER LOBBYING ACTIVITIES:**

Schedule C (Form 990 or 990-EZ) 2009

**Part IV** Supplemental Information (continued)

THE ORGANIZATION ATTENDED AND HAD A BOOTH AT THE ROCHESTER DAY AT THE  
MINNESOTA STATE CAPITAL EVENT.

## 2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-EZ PAGE 1

990-EZ

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
	PROGRAM SERVICES											
11	OTHER ASSETS	010198	200DB	7.00	17	3,000.			3,000.	3,000.		0.
13	COMPUTER SOFTWARE	020592	SL	5.00	16	674.			674.	674.		0.
15	COMPUTER	121093	200DB	5.00	17	2,293.			2,293.	2,293.		0.
17	COMPUTER WORK	030196	200DB	5.00	17	1,500.			1,500.	1,500.		0.
19	OFFICE TABLE	111599	200DB	7.00	17	424.			424.	424.		0.
20	COMPUTER KEYBOARD	120899	200DB	5.00	17	240.			240.	240.		0.
21	CABINET & FILE PO	122999	200DB	7.00	17	758.			758.	758.		0.
	* 990-EZ PG 1 TOTAL					8,889.		0.	8,889.	8,889.	0.	0.
	PROGRAM SERVICES											
	MANAGEMENT AND											
	GENERAL											
	IBM DESKTOP &											
22	MONITOR	010904	200DB	5.00	17	1,338.		669.	669.	630.		39.
23	PRINTER	120507	200DB	5.00	17	399.			399.	172.		91.
	* 990-EZ PG 1 TOTAL					1,737.		669.	1,068.	802.	0.	130.
	MANAGEMENT AND GEN											
	* GRAND TOTAL					10,626.		669.	9,957.	9,691.	0.	130.
	990-EZ PG 1 DEPR											

## 2009 DEPRECIATION AND AMORTIZATION REPORT

FORM 990 PAGE 10

990

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	Reduction in Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction

FORM 990-EZ	OTHER EXPENSES	STATEMENT	1
DESCRIPTION		AMOUNT	
LICENSES AND PERMITS		60.	
PRIZES & AWARDS		614.	
INSURANCE		310.	
BANK CHARGES		362.	
MEMBERSHIP FEES AND DUES		258.	
OFFICE OPERATIONS		1,102.	
OUTREACH		1,113.	
PAYROLL TAXES		2,907.	
SPECIAL EVENTS		676.	
PUBLIC INFO & COMMUNICATIONS		25.	
TRAVEL		425.	
TOTAL TO FORM 990-EZ, LINE 16		7,852.	

FORM 990-EZ	OTHER LIABILITIES	STATEMENT	2
DESCRIPTION	BEG. OF YEAR	END OF YEAR	
ACCOUNTS PAYABLE AND ACCRUED EXPENSES	835.	14.	
TOTAL TO FORM 990-EZ, LINE 26	835.	14.	

FORM 990-EZ	OCCUPANCY, RENT, UTILITIES AND MAINTENANCE	STATEMENT	3
DESCRIPTION		AMOUNT	
DEPRECIATION		130.	
TOTAL TO FORM 990-EZ, LINE 14		130.	



FORM 990-EZ

INFORMATION REGARDING TRANSFERS  
ASSOCIATED WITH PERSONAL BENEFIT CONTRACTS

STATEMENT 4

- A) DID THE ORGANIZATION, DURING THE YEAR, RECEIVE ANY FUNDS,  
DIRECTLY OR INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL  
BENEFIT CONTRACT? . . . . . [ ] YES [X] NO
- B) DID THE ORGANIZATION, DURING THE YEAR, PAY PREMIUMS,  
DIRECTLY OR INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT? . . [ ] YES [X] NO

FORM 990-EZ

PART IV - LIST OF OFFICERS, DIRECTORS,  
TRUSTEES AND KEY EMPLOYEES

STATEMENT 5

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN EXPENSE CONTRIB ACCOUNT
KARI TAKAHASHI (01/01/09 - 08/28/09) 851 30TH AVE SE, ROCHESTER, MN 55904	EXECUTOR DIRECTOR 40.00	20,000.	0. 0.
MONA PERMANN 851 30TH AVE SE, ROCHESTER, MN 55904	EXECUTIVE ASSISTANT 20.00	15,647.	0. 0.
GARY SMITH 851 30TH AVE SE, ROCHESTER, MN 55904	CHAIR 1.00	0.	0. 0.
DAN CHRISTIANSON, JD 851 30TH AVE SE, ROCHESTER, MN 55904	VICE-CHAIR 1.00	0.	0. 0.
TIM WEIR 851 30TH AVE SE, ROCHESTER, MN 55904	SECRETARY - TREASURER 1.00	0.	0. 0.
SUSAN AHLQUIST 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
AARON BENIKE 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
DOUG BOESE, JD 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
ROMAIN DALLEMAND, ED.D. 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
GAIL DENNISON 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
MIKE GOOD 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
LEIGH JOHNSON 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
SHEILA KISCADEN 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.
KEN KURTH 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0. 0.

TERRY MAUS, JD 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
CHARLIE MOORE 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
VALERIE PACE, PHD 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
WENDY SHANNON, PHD 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
MARILYN STEWART 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
DON SUDOR 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
MARK UTZ 851 30TH AVE SE, ROCHESTER, MN 55904	AT-LARGE MEMBER 0.25	0.	0.	0.
ARDELL BREDE 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
RANDY DEMMER 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
GUY FINNE 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
CRIS FISCHER 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
KEN GORMAN 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
DENNIS HANSON 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
STEPHEN LEHMKUHLE 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
TINA LIEBLING 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
ANN LYNCH 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
KIM NORTON 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.

## GREATER ROCHESTER ADVOCATES FOR UNIVERSI

36-3517407

DAVE PERKINS 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
JACOB PETERSON 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
DAVE SENJEM 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
SHANTEL SHEA 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
PATRICIA SIMMONS, MD 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
DON SUPALLA 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
JOHN WADE 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
LINDSEY WAYNE 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
ANDY WELTI 851 30TH AVE SE, ROCHESTER, MN 55904	DESIGNATED MEMBER 0.25	0.	0.	0.
TOTALS INCLUDED ON FORM 990-EZ, PART IV		35,647.	0.	0.

990-EZ PG 2

STATEMENT 6

COLLABORATED WITH PUBLIC HIGHER EDUCATION INSTITUTIONS TO ENSURE THE FACILITIES MEET COMMUNITY PROGRAM NEEDS. CONTRIBUTED TO DEVELOPMENT OF THE STRATEGIC PLANS OF THESE PUBLIC HIGHER EDUCATION INSTITUTIONS: RCTC, WINONA STATE UNIVERSITY, UNIVERSITY OF MINNESOTA.

990-EZ PG 2

STATEMENT 7

CONTINUED ADVOCACY FOR THE IMPLEMENTATION OF THE RECOMMENDATIONS AND THE VISION OF THE ROCHESTER HIGHER EDUCATION DEVELOPMENT COMMITTEE REPORT. SUPPORTED THE DEVELOPMENT OF AN INNOVATIVE REWARD SYSTEM BASED ON ENTREPRENEURIAL DEVELOPMENT AND AN INNOVATIVE INTELLECTUAL PROPERTY MANAGEMENT SYSTEM THAT DRIVES ECONOMIC GROWTH.

990-EZ PG 2

STATEMENT 8

PARTICIPATED IN PUBLIC PROCESSES FOR HIGHER EDUCATION LEADERSHIP APPOINTMENTS. MAINTAINED HIGHER EDUCATION LEADERSHIP IN THE ROCHESTER LEGISLATIVE DELEGATION. SECURED LEGISLATIVE SUPPORT FOR FACILITIES AND PROGRAMMING AT THE PUBLIC HIGHER EDUCATION INSTITUTIONS.

990-EZ PG 2

STATEMENT 9

TO OPERATE EXCLUSIVELY FOR CHARITABLE AND EDUCATIONAL PURPOSES AND  
SPECIFICALLY, TO ADVANCE POST-SECONDARY EDUCATION IN OLMSTED COUNTY.



FORM 990-EZ

OTHER PROGRAM SERVICES

STATEMENT 10

## DESCRIPTION

## GRANTS

## EXPENSES

ADDITIONAL OUTREACH SERVICES. SECURED FUNDING FOR  
GRAUC. REVIEWED THE STRUCTURE OF THE ORGANIZATION AND  
BROUGHT APPROPRIATE DIVERSE EXPERTISE TO THE BOARD.

0.

2,847.

TOTAL TO FORM 990-EZ, LINE 31

2,847.