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# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust

### Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: **WESTLAKE HEALTH FOUNDATION**

Number and street (or P.O. box number if mail is not delivered to street address): **ONE LINCOLN CENTER/18 W. 140 BUTTERFIELD**

Room/suite: **1660**

City or town, state, and ZIP code: **OAKBROOK TERRACE, IL 60181**

A Employer identification number: **36-3104071**

B Telephone number: **630-495-3800**

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐  
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16): **\$ 94,831,958.**

J Accounting method: ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		339.	339.		STATEMENT 1
4 Dividends and interest from securities		1,969,029.	1,969,029.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		-1,775,833.			
b Gross sales price for all assets on line 6a		29,314,070.			
7 Capital gain net income (from Part IV, line 2)			0.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		193,535.	1,969,368.		
13 Compensation of officers, directors, trustees, etc		356,100.	35,610.		320,490.
14 Other employee salaries and wages		90,000.	9,000.		81,000.
15 Pension plans, employee benefits		57,275.	5,727.		51,548.
16a Legal fees					
b Accounting fees		18,200.	14,560.		3,640.
c Other professional fees		490,393.	488,927.		1,466.
17 Interest					
18 Taxes		192,039.	2,497.		22,472.
19 Depreciation and depletion					
20 Occupancy		98,520.	9,852.		88,668.
21 Travel, conferences, and meetings		24,617.	2,462.		22,155.
22 Printing and publications		184.	18.		166.
23 Other expenses		13,277.	1,329.		11,948.
24 Total operating and administrative expenses. Add lines 18 through 23		1,340,605.	569,982.		603,553.
25 Contributions, gifts, grants paid		1,198,637.			1,675,985.
26 Total expenses and disbursements. Add lines 24 and 25		2,539,242.	569,982.		2,279,538.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-2,345,707.			
b Net investment income (if negative, enter -0-)			1,399,386.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Operating and Administrative Expenses

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			54,522.	877,098.	877,098.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			8,726.	7,617.	7,617.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock <b>STMT 8</b>			45,303,234.	50,267,837.	50,267,837.
	c Investments - corporate bonds <b>STMT 9</b>			17,763,235.	19,743,403.	19,743,403.
<b>Liabilities</b>	11 Investments - land, buildings, and equipment basis ▶					
	Less accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other <b>STMT 10</b>			18,142,612.	23,918,128.	23,918,128.
	14 Land, buildings, and equipment; basis ▶					
	Less accumulated depreciation ▶					
	15 Other assets (describe ▶ <b>ART</b> )			17,875.	17,875.	17,875.
	<b>16 Total assets (to be completed by all filers)</b>			81,290,204.	94,831,958.	94,831,958.
	17 Accounts payable and accrued expenses			111,268.	121,678.	
	18 Grants payable			6,817,166.	6,339,819.	
<b>Net Assets or Fund Balances</b>	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ <b>STATEMENT 11</b> )			1,659.	142,831.	
	<b>23 Total liabilities (add lines 17 through 22)</b>			6,930,093.	6,604,328.	
	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted			74,360,111.	88,227,630.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	<b>30 Total net assets or fund balances</b>			74,360,111.	88,227,630.	
	<b>31 Total liabilities and net assets/fund balances</b>			81,290,204.	94,831,958.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	74,360,111.
2 Enter amount from Part I, line 27a	2	-2,345,707.
3 Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 7</b>	3	16,213,226.
4 Add lines 1, 2, and 3	4	88,227,630.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	88,227,630.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED		P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 29,314,070.		31,089,903.	-1,775,833.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-1,775,833.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	-1,775,833.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	6,012,111.	99,334,244.	.060524
2007	4,824,119.	111,781,625.	.043157
2006	5,295,727.	105,476,166.	.050208
2005	4,853,410.	100,581,009.	.048254
2004	2,484,173.	94,256,707.	.026355

2 Total of line 1, column (d)	2	.228498
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.045700
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	83,433,965.
5 Multiply line 4 by line 3	5	3,812,932.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	13,994.
7 Add lines 5 and 6	7	3,826,926.
8 Enter qualifying distributions from Part XII, line 4	8	2,279,538.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	27,988.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	27,988.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	27,988.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	23,214.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	23,214.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	209.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,983.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► TONY RUZICKA Telephone no. ► 847-446-6400  
 Located at ► 770 FRONTAGE ROAD ZIP+4 ► 60693

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <u>N/A</u>	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LEN MULLER ONE LINCOLN CENTER, SUITE 1660 OAKBROOK TERRACE, IL 60181 SEE ATTACHED LIST - ALL OF WHOM SERVE ON A VOLUNTEER BASIS	CHAIRMAN AND 40.00	CEO 254,500.	0.	0.
	0.00	0.	0.	0.
	0.00	0.	0.	0.
DAVID R. HEY ONE LINCOLN CENTER, SUITE 1660 OAKBROOK TERRACE, IL 60181	PRESIDENT AND 40.00	COO 101,600.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANIT CHMIELEWSKI	ASST TO PRES. 40.00	82,500.	0.	0.

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
STRALEM & COMPANY 645 MADISON AVE., NEW YORK, NY 10022	INVESTMENT COUNSEL	117,532.
EAGLE CAPITAL MANAGEMENT 499 PARK AVE., NEW YORK, NY 10022	INVESTMENT COUNSEL	113,280.
DALTON, GREINER, HARTMAN, ET.AL 3001 TAMAIMI NORTH, NAPLES, FL 34103	INVESTMENT COUNCIL	81,098.
J.H. ELWOOD & ASSOCIATES 104 S. MICHIGAN AVE., CHICAGO, IL 60603	INVESTMENT COUNSEL	71,665.
WASHINGTON CAPITAL 1301 FIFTH AVE., SEATTLE, WA 98101	INVESTMENT COUNSEL	26,799.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	84,416,984.
b	Average of monthly cash balances	1b	262,661.
c	Fair market value of all other assets	1c	24,888.
d	Total (add lines 1a, b, and c)	1d	84,704,533.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	84,704,533.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,270,568.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	83,433,965.
6	Minimum investment return. Enter 5% of line 5	6	4,171,698.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	4,171,698.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	27,988.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	27,988.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	4,143,710.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	4,143,710.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,143,710.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,279,538.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,279,538.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,279,538.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				4,143,710.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			2,109,037.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				
<b>e</b> From 2008				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ 2,279,538.				
<b>a</b> Applied to 2008, but not more than line 2a			2,109,037.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2009 distributable amount				170,501.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:	0.			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				3,973,209.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				



**Part XV** **Supplementary Information** (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE ATTACHED		ALL ARE 501-C3 ORGANIZATI	SEE ATTACHED, GENERALLY FOR OPERATING SUPPORT	1,675,985.
<b>Total</b>				<b>▶ 3a</b> 1,675,985.
<b>b</b> <i>Approved for future payment</i> SEE ATTACHED		ALL ARE 501 C-3 ORGANIZATI	SEE ATTACHED, GENERALLY FOR OPERATING SUPPORT	6,339,819.
<b>Total</b>				<b>▶ 3b</b> 6,339,819.





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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

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SOURCE	AMOUNT
NORTHERN TRUST	339.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	339.

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MARSHALL & ISLEY	1,849,295.	0.	1,849,295.
MARSHALL & ISLEY	119,734.	0.	119,734.
TOTAL TO FM 990-PF, PART I, LN 4	1,969,029.	0.	1,969,029.

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FORM 990-PF ACCOUNTING FEES STATEMENT 3

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	18,200.	14,560.		3,640.
TO FORM 990-PF, PG 1, LN 16B	18,200.	14,560.		3,640.

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FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT COUNSELING	444,030.	444,030.		0.
CUSTODIAL FEES	44,734.	44,734.		0.
OTHER	1,629.	163.		1,466.
TO FORM 990-PF, PG 1, LN 16C	490,393.	488,927.		1,466.

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FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PAYROLL	24,969.	2,497.		22,472.	
EXCISE	27,536.	0.		0.	
DEFERRED EXCISE TAXES	139,534.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	192,039.	2,497.		22,472.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
SUPPLIES	95.	10.		85.	
MEMBERSHIPS	495.	50.		445.	
INSURANCE	3,550.	355.		3,195.	
TELEPHONE	7,683.	768.		6,915.	
MISCELLANEOUS	644.	65.		579.	
POSTAGE	810.	81.		729.	
TO FORM 990-PF, PG 1, LN 23	13,277.	1,329.		11,948.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION				AMOUNT	
CHANGE IN UNREALIZED GAINS AND LOSSES				16,213,226.	
TOTAL TO FORM 990-PF, PART III, LINE 3				16,213,226.	



FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
COMMON STOCK	50,267,837.	50,267,837.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	50,267,837.	50,267,837.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
BONDS	19,743,403.	19,743,403.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	19,743,403.	19,743,403.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CASH EQUIVALENTS	FMV	6,785,668.	6,785,668.
ACCRUED INCOME	FMV	46,473.	46,473.
PARTNERHIPS AND OTHER	FMV	17,085,987.	17,085,987.
TOTAL TO FORM 990-PF, PART II, LINE 13		23,918,128.	23,918,128.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
DESCRIPTION	BOY AMOUNT	EOY AMOUNT	
CURRENT EXCISE TAX PAYABLE	1,659.	3,297.	
DEFERRED EXCISE TAX PAYABLE	0.	139,534.	
TOTAL TO FORM 990-PF, PART II, LINE 22	1,659.	142,831.	

## Westlake Health Foundation

Board of Trustees - 2009

<b>Name</b>	<b>Title</b>	<b>Address</b>
Leonard J. Muller	Chairman & CEO	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
David R. Hey	President & COO	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
Fred Tomera, MD	Treasurer	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
J. Melvin Smith	Secretary	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
Frank J. McGarr	Trustee	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
Richard M. Montalbano, Sr.	Trustee	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
Saundra L. Spilotro	Trustee	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181
Raul Villasuso, MD	Trustee	18 W 140 Butterfield Rd., #1660, Oakbrook Terrace, IL 60181



## WESTLAKE HEALTH FOUNDATION - ROLL-UP

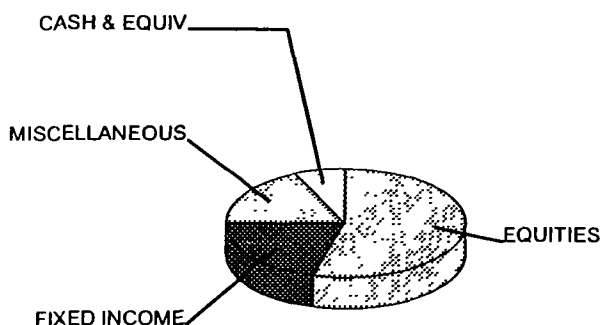
Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

TONY RUZICKA, CPA  
TONY RUZICKA & ASSOCIATES LTD  
333 N SKOKIE BLVD STE 105  
NORTHBROOK IL 60062

Administrator:  
Roger W Mjoen (239) 592-2461  
Roger.Mjoen@mlcorp.Com

### Asset Allocation



### Asset Valuation

Description	Market Value	% of Account
Cash & Equiv	6,785,667.95	7.2%
Fixed Income	19,743,403.26	21.0%
Equities	50,267,837.47	53.5%
Miscellaneous	17,085,986.60	18.2%
<b>Total Portfolio</b>	<b>\$ 93,882,895.28</b>	<b>100.0%</b>
Accrued Income	46,473.20	
<b>Total Valuation</b>	<b>\$ 93,929,368.48</b>	

### Market Reconciliation

	Current Period	Year To Date
<b>Beginning Market Value</b>	<b>\$ 81,209,081.27</b>	<b>\$ 81,209,081.27</b>
Income		
Interest.....	14,484.47	14,484.47
Dividends.....	1,850,924.62	1,850,924.62
Other Income.....	59,139.11	59,139.11
Additions.....	28,005,312.11	28,005,312.11
Disbursements.....	-31,633,379.36	-31,633,379.36
Realized Gains/(Losses).....	-1,775,832.98	-1,775,832.98
Change In Accrued Income.....	-36,183.00	-36,183.00
Change In Market Appreciation/(Depreciation).....	16,235,822.24	16,235,822.24
Non-Cash Asset Changes.....	0.00	0.00
<b>Ending Market Value</b>	<b>\$ 93,929,368.48</b>	<b>\$ 93,929,368.48</b>



# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
<b>Cash Equivalents</b>				
Marshall Prime Money Market CI Y	6,785,667 950	6,785,667.95 6,785,667.95	678.00 56.09	0.01%
<b>Total Cash Equivalents</b>		<b>\$ 6,785,667.95 \$ 6,785,667.95</b>	<b>678.00 56.09</b>	<b>0.01%</b>
<b>Equities</b>				
Lazard LTD CI A	2,600.000	98,722.00 70,322.40	1,300.00	1.32%
Orient Express Hotels LTD CI A	7,500.000	76,050.00 70,985.41	0.00	0.00%
Smart Modular Technologies	23,400.000	147,186 00 113,822 89	0.00	0.00%
Willis Group Holdings LTD	14,600.000	385,148.00 523,699.78	15,184.00	3.94%
Steiner Leisure LTD Com	3,390.000	134,786.40 66,614.06	0.00	0 00%
Royal Caribbean Cruises LTD	3,700.000	93,536.00 46,573.98	0.00	0.00%
AMR Corp Del Com	7,400.000	57,202.00 30,420.00	0 00	0.00%
Abbott Laboratories Com	6,400.000	345,536.00 257,534.80	10,240.00	2.96%
Actuate Software Corp Com	15,640.000	66,939.20 72,171.17	0.00	0.00%
Alleghany Corp Del Com	881.000	243,156.00 210,404.67	0.00	0.00%
Altera Corp Com	21,200.000	479,756.00 417,433.56	4,240.00	0.88%
American Express Co Com	7,800.000	316,056.00 321,409.82	5,616.00	1.78%
American Physicians Svc Group Inc Com	4,190.000	96,663 30 79,148.96	0.00	0.00%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Arctic Cat Inc Com	9,590.000	87,844.40 67,753.31	0.00	0.00%
Arris Group Inc Com	7,200.000	82,296.00 81,726.96	0.00	0.00%
Arrow Electrs Inc Com	2,300.000	68,103.00 47,338.02	0.00	0.00%
Ascent Media Corp Com Ser A	300.000	7,659.00 7,836.00	0.00	0.00%
Asiainfo Hldgs Inc Com	2,690.000	81,910.50 36,064.83	0.00	0.00%
Atmel Corp Com	24,500.000	112,945.00 95,204.28	0.00	0.00%
Avnet Inc Com	3,000.000	90,480.00 61,342.54	0.00	0.00%
Avon Prods Inc Com	13,700.000	431,550.00 434,465.06	11,508.00	2.67%
Bj Svcs Co Com	3,800.000	70,680.00 48,306.24	760.00 190.00	1.08%
Bankfinancial Corp Com	3,370.000	33,363.00 32,772.10	943.00	2.83%
Barrett Bill Corp Com	1,514.000	47,100.54 73,975.36	0.00	0.00%
Barry R G Corp Ohio Com	11,790.000	101,394.00 98,760.47	0.00	0.00%
Belo Corp Com Ser A	7,700.000	41,888.00 14,109.04	0.00	0.00%
Berkley W R Corp Com	12,400.000	305,536.00 293,047.24	2,976.00	0.97%
Brooks Automation Inc New Com	5,900.000	50,622.00 25,456.04	0.00	0.00%
Bucyrus Intl Inc New Com	3,800.000	214,206.00 92,970.97	380.00	0.18%
Cae Inc Com	11,500.000	94,645.00 67,274.00	1,311.00	1.39%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Cra Intl Inc Com	3,390 000	90,343.50 87,801.84	0.00	0.00%
Cablevision Sys Corp Cablevision NY Group Com	6,300.000	162,666.00 111,591.06	2,520.00	1.55%
Cameco Corp Com	7,600.000	244,492.00 179,480.86	1,725.00 430.92	0.71%
Carrizo Oil & Gas Inc Com	4,070 000	107,895.70 73,194.93	0.00	0.00%
Caterpillar Inc Com	7,500.000	427,425.00 356,807.63	12,600.00	2.95%
Cato Corp New Cl A	4,670.000	93,680.20 55,833.92	3,082.00	3.29%
Chase Corp Com	8,330 000	98,377.30 153,039.98	1,666.00	1.69%
Cheesecake Factory Inc Com	3,490 000	75,349.10 26,835.42	0.00	0.00%
Chesapeake Utilities Corp Com	3,900.000	124,995.00 116,501.27	4,914.00	3.93%
Chevron Corp New Com	6,500 000	500,435.00 325,809.29	17,680.00	3.53%
Chicopee Bancorp Inc Com	10,660.000	133,036.80 160,005.06	0.00	0.00%
Cincinnati Bell Inc New Com	24,100.000	83,145.00 63,925.12	0.00	0.00%
Circor Intl Inc Com	3,780.000	95,180.40 98,706.61	567.00	0.60%
Cisco Sys Inc Com	13,600.000	325,584.00 249,621.20	0.00	0.00%
Coca Cola Co Com	11,100.000	632,700.00 459,541.67	18,204.00	2.88%
Cogdell Spencer Inc Com	6,200.000	35,092.00 30,349.95	2,480.00	7.07%
Cognex Corp Com	4,100.000	72,611.00 58,103.58	820.00	1.13%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Columbus McKinnon Corp	4,400.000	60,148.00 57,159.52	0.00	0.00%
Comcast Corp New Cl A Spl	42,350.000	678,023.50 717,046.66	16,008.00	2.36%
Consolidated Edison Inc Com	11,050.000	502,001.50 466,690.53	26,078.00	5.19%
Consolidated Graphics Inc Com	3,470.000	121,519.40 99,809.01	0.00	0.00%
Crown Media Holdings Cl A	4,000.000	5,800.00 6,374.06	0.00	0.00%
Cummins Inc Com	3,600.000	165,096.00 116,083.52	2,520.00	1.53%
Danaher Corp Com	5,700.000	428,640.00 430,494.78	912.00	0.21%
Allied Pptys Real Estate Inv't Tr Unit	7,510.000	138,544.48 101,545.45	0.00	0.00%
Delta Nat Gas Inc Com	1,990.000	56,388.64 55,227.03	2,587.00	4.59%
Devon Energy Corp New Com	7,200.000	529,200.00 325,885.08	4,608.00	0.87%
Dice Hldgs Inc Com	15,520.000	101,656.00 68,964.32	0.00	0.00%
Diebold Inc Com	1,900.000	54,055.00 48,556.01	1,976.00	3.66%
Discovery Communications Inc New Com Ser C	6,200.000	164,424.00 127,048.43	0.00	0.00%
Directv Com Cl A	14,384.000	479,706.40 182,233.45	0.00	0.00%
Dodge & Cox International Stock Fd	183,606.951	5,847,881.39 7,604,227.77	80,052.00	1.37%
Dresser-Rand Group Inc Com	5,400.000	170,694.00 127,170.00	0.00	0.00%
Duke Energy Hldg Corp Com	29,200.000	502,532.00 553,556.08	28,032.00	5.58%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Ecolab Inc Com	11,700.000	521,586.00 409,001.26	7,254.00	1.39%
Education Mgmt Corp New Com	8,600.000	189,286.00 154,800.00	0.00	0.00%
Einstein Noah Restaurant Group Inc Com	6,180.000	60,749.40 54,190.43	0.00	0.00%
Emerson Elec Co Com	10,200.000	434,520.00 329,074.27	13,668.00	3.15%
Energysys Com	2,910.000	63,641.70 35,568.69	0.00	0.00%
Epoch Holding Corp Com	12,500.000	130,625.00 142,354.22	2,500.00	1.91%
Eresearchtechnology Inc Com	16,980.000	102,049.80 97,956.46	0.00	0.00%
Essa Bancorp Inc Com	5,970.000	69,849.00 69,744.22	1,194.00	1.71%
American Europacific Growth Fund Class F2 #616	165,590.879	6,343,786.57 4,821,106.40	120,550.00	1.90%
Exide Technologies Com New	14,380.000	102,241.80 82,453.03	0.00	0.00%
Fidelity Natl Information Svcs Inc Com	6,800.000	159,392.00 135,935.40	1,360.00	0.85%
Financial Institutions Inc Com	6,320.000	74,449.60 141,616.67	2,528.00	3.40%
Flushing Finl Corp Com	4,200.000	47,292.00 37,040.98	2,184.00	4.62%
Gannett Inc Com	3,800.000	56,430.00 32,524.30	608.00 152.00	1.08%
Gencorp Inc Com	6,600.000	46,200.00 15,167.97	792.00	1.71%
General Elec Co Com	30,300.000	458,439.00 584,424.31	12,120.00	2.64%
Genesco Inc Com	3,700.000	101,602.00 74,411.80	0.00	0.00%





# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Gilead Sciences Inc Com	3,700.000	160,099.00 166,274.34	0.00	0.00%
Global Cash Access Hldgs Inc Com	11,340.000	84,936.60 84,200.51	0.00	0.00%
Goodrich Corp Com	2,800.000	179,900.00 137,162.01	3,024.00 756.00	1.68%
Goodrich Pete Corp Com New	3,600.000	87,660.00 81,631.83	0.00	0.00%
Harmonic Inc Com	15,991.000	101,063.12 119,678.29	0.00	0.00%
Harris Stratex Networks Inc Com	13,070.000	90,313.70 90,355.85	0.00	0.00%
Heidrick & Struggles Intl Com	1,800.000	56,232.00 29,246.00	936.00	1.66%
Helmerich & Payne Inc Com	3,600.000	143,568.00 101,308.08	720.00	0.50%
Hewlett Packard Co Com	6,100.000	314,211.00 282,581.10	1,952.00	0.62%
Hexcel Corp Com	5,800.000	75,284.00 51,055.00	0.00	0.00%
Home Fed Bancorp Inc MD Com	9,610.000	127,909.10 101,625.43	2,114.00	1.65%
Horace Mann Educators Corp New Com	9,480.000	118,500.00 88,130.95	3,033.00	2.56%
Iac / Interactivecorp Com Par \$.001	2,100.000	43,008.00 33,251.99	0.00	0.00%
Innospec Inc Com	6,540.000	65,988.60 69,279.45	0.00	0.00%
Intel Corp Com	15,800.000	322,320.00 246,364.04	8,848.00	2.75%
Intermec Inc Com	5,000.000	64,300.00 61,761.70	0.00	0.00%
International Business Machs Corp Com	3,300.000	431,970.00 376,488.09	7,260.00	1.68%



# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Ion Geophysical Corp Com	8,600.000	50,912.00 17,827.73	0.00	0.00%
Isis Pharmaceuticals Calif Com	6,300.000	69,993.00 95,749.57	0.00	0.00%
Ixys Corporation Com	9,120.000	67,579.20 88,761.10	0.00	0.00%
J & J Snack Foods Corp Com	3,250.000	129,870.00 66,625.39	1,397.00	1.08%
Johnson & Johnson Com	4,900.000	315,609.00 289,152.95	9,604.00	3.04%
Kansas City Southern Com	2,700.000	89,883.00 40,990.28	0.00	0.00%
Kb Home Com	4,100.000	56,088.00 49,234.26	1,025.00	1.83%
Kendle Intl Inc Com	5,680.000	104,000.80 87,793.85	0.00	0.00%
Kensey Nash Corp Com	4,390.000	111,945.00 105,700.25	0.00	0.00%
Kite Rlty Group Tr Com	39,130.000	159,259.10 269,821.96	9,391.00	5.90%
Kroger Co Com	24,700.000	507,091.00 514,355.27	9,386.00	1.85%
L-1 Identity Solutions Inc Com	4,900.000	36,701.00 35,954.06	0.00	0.00%
Lsb Inds Inc Com	5,740.000	80,934.00 88,961.14	0.00	0.00%
Lsi Corp Com	12,200.000	73,322.00 56,650.76	0.00	0.00%
L-3 Communications Hldgs Inc Com	8,000.000	695,600.00 547,594.88	11,200.00	1.61%
LA Barge Inc Com	8,820.000	106,281.00 102,069.47	0.00	0.00%
Lam Resh Corp Com	3,500.000	137,235.00 95,162.04	0.00	0.00%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Landec Corporation Delaware + Rr	17,060.000	106,454.40 117,839.34	0.00	0.00%
Las Vegas Sands Corp Com	7,300.000	109,062.00 51,135.04	0.00	0.00%
Legacy Bancorp Inc Cl A	6,590.000	64,977.40 96,785.41	1,318.00	2.03%
Liberty Global Inc Com Ser A	26,001.000	569,161.89 542,527.71	0.00	0.00%
Liberty Global Inc Com Ser C	2,118.000	46,299.48 29,109.91	0.00	0.00%
Liberty Media Hldg Corp Cap Com Ser A	4,995.000	119,280.60 53,891.15	0.00	0.00%
Liberty Media Corp New Lib Star Com A	2,518.000	116,205.70 50,640.39	0.00	0.00%
Lilly Eli & Co Com	8,800.000	314,248.00 500,000.16	17,248.00	5.49%
Lin TV Corp Cl A	8,800.000	39,248.00 11,848.69	0.00	0.00%
Lodgenet Entertainment Corp Com	4,500.000	24,885.00 14,583.02	0.00	0.00%
Loews Corp Com	11,900.000	432,565.00 286,084.84	2,975.00	0.69%
Marketaxess Hldgs Inc Com	6,760.000	93,964.00 51,960.29	1,892.00	2.01%
Marshall & Ilsley Corp New Com	4,700.000	25,615.00 38,871.18	188.00	0.73%
McDonalds Corp Com	9,650.000	602,546.00 357,410.08	21,230.00	3.52%
Meadowbrook Ins Group Inc Com	15,530.000	114,922.00 92,801.94	1,863.00	1.62%
Meadwestvaco Corp Com	3,200.000	91,616.00 50,500.89	2,944.00	3.21%
Microsoft Corp Com	31,500.000	960,120.00 818,615.78	16,380.00	1.71%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Millipore Corp Com	3,600.000	260,460.00 247,842.00	0.00	0.00%
Mission West Pptys Inc MD Com	13,610.000	97,855.90 98,215.18	8,166.00	8.34%
Mosaic Co Com	2,300.000	137,379.00 94,254.08	460.00	0.33%
Myriad Genetics Inc Com	5,400.000	140,886.00 137,250.07	0.00	0.00%
Nathans Famous Inc New Com	8,650.000	131,999.00 134,201.79	0.00	0.00%
National Bankshares Inc VA Com	4,980.000	140,884.20 109,375.49	4,282.00	3.04%
National Instrs Corp Com	5,158.000	151,903.10 142,589.30	2,475.00	1.63%
Nestle S A Sponsored ADR Repstg Reg Sh	6,500.000	315,646.50 223,798.25	5,226.00	1.66%
New York Times Co Cl A	3,700.000	45,732.00 18,476.34	0.00	0.00%
Newfield Expl Co Com	10,600.000	511,238.00 273,086.78	0.00	0.00%
News Corp Cl A	28,500.000	390,165.00 154,536.30	3,420.00	0.88%
Nobel Learning Cmnty Inc Com	780.000	5,920.20 10,943.40	0.00	0.00%
Noble Energy Inc Com	6,300.000	448,686.00 150,271.70	4,536.00	1.01%
Northwestern Corp Com New	7,180.000	186,823.60 161,830.90	9,621.00	5.15%
Novellus Sys Inc Com	4,800.000	112,032.00 86,220.96	0.00	0.00%
Nutraceutical Intl Corp Com	11,160.000	138,049.20 160,632.59	0.00	0.00%
OSI Pharmaceuticals Inc Com	2,400.000	74,544.00 68,670.00	0.00	0.00%



# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Olympic SII Inc Com	3,760 000	122,500.80 101,468.89	300 00	0.25%
Onyx Pharmaceuticals Inc Del Com	2,300.000	67,482.00 63,109.00	0.00	0.00%
PdI Biopharma Inc Com	7,500.000	51,450.00 58,609.19	7,500 00	14.58%
Pall Corp Com	4,300 000	155,660.00 112,855.98	2,494 00	1.60%
Palm Inc New Com	6,100 000	61,183.00 89,903.30	0.00	0 00%
Parker Hannifin Corp Com	7,900.000	425,652.00 380,906.96	7,900 00	1.86%
Penns Woods Bancorp Inc Com	3,100.000	100,564 00 106,743.30	5,704 00	5.67%
Pericom Semiconductor Corp Com	7,790 000	89,818.70 87,293.42	0 00	0.00%
Pervasive Software Inc Com	25,910.000	124,886.20 121,120.56	0.00	0.00%
Pfizer Inc Com	27,200.000	494,768.00 537,073.96	19,584 00	3 96%
Portec Rail Prods Inc Com	7,770 000	83,216.70 75,914.27	1,864.00	2.24%
Praxair Inc Com	7,800.000	626,418.00 354,106.96	12,480.00	1.99%
Precision Castparts Corp Com	2,000.000	220,700.00 141,967.97	240.00	0.11%
Procter & Gamble Co Com	4,500.000	272,835.00 241,572.63	7,920.00	2.90%
Progressive Corp OH Com	14,800.000	266,252.00 351,087.07	0 00	0.00%
Rti Intl Metals Inc Com	4,100.000	103,197.00 68,612.43	0.00	0 00%
Rf Micro Devices Inc Com	11,300 000	53,901 00 40,430.00	0.00	0 00%



# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Ramco-Gershenson Properties Com	13,960.000	133,178.40 134,747.36	9,115.00	6.84%
Raymond James Finl Inc Com	3,300.000	78,441.00 54,523.03	1,452.00 363.00	1.85%
Regeneron Pharmaceuticals Com	5,200.000	125,736.00 86,991.05	0.00	0.00%
Robert Half Intl Inc Com	3,100.000	82,863.00 64,643.00	1,488.00	1.80%
Rosetta Resources Inc Com	5,740.000	114,340.80 45,919.02	0.00	0.00%
Rowan Cos Inc Com	2,400.000	54,336.00 42,542.02	0.00	0.00%
Saia Inc Com	7,150.000	105,963.00 90,669.01	0.00	0.00%
Saks Inc Com	8,000.000	52,480.00 36,025.00	0.00	0.00%
Sanders Morris Harris Group Inc Com	15,180.000	83,490.00 88,341.26	2,732.00	3.27%
Schlumberger LTD Com	1,500.000	97,635.00 59,948.40	1,260.00	1.29%
Seabright Ins Hldgs Inc Com	10,780.000	123,862.20 160,510.07	0.00	0.00%
Seachange International Inc Com	8,500.000	55,760.00 66,326.11	0.00	0.00%
Seattle Genetics Inc Com	6,100.000	61,976.00 54,371.24	0.00	0.00%
Sinclair Broadcast Group Inc Cl A	6,100.000	24,583.00 10,648.99	0.00	0.00%
Southern Co Com	15,050.000	501,466.00 475,026.29	26,337.00	5.25%
Standex Intl Corp Com	4,860.000	97,637.40 97,816.52	972.00	1.00%
Stillwater Mng Co Com	10,800.000	102,384.00 54,591.05	0.00	0.00%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Sun Cmnty Inc Com	4,860.000	95,985.00 94,676.24	12,247.00	12.76%
Sunoco Inc Com	2,800.000	73,080.00 62,006.00	3,360.00	4.60%
Sunstone Hotel Invs Inc New Com	9,050.000	80,364.00 56,309.17	0.00	0.00%
Tw Telecom Inc Com	7,300.000	125,195.00 64,509.44	0.00	0.00%
Tesco Corp Com	8,450.000	109,089.50 103,791.77	0.00	0.00%
Teva Pharmaceutical Inds LTD ADR	3,900.000	219,102.00 181,678.93	1,879.00	0.86%
Thermo Fisher Corp Com	6,600.000	314,754.00 220,328.60	0.00	0.00%
3M Co Com	7,700.000	636,559.00 529,495.82	15,708.00	2.47%
Trimble Nav LTD Com	4,200.000	105,840.00 84,174.65	0.00	0.00%
Triquint Semiconductor Inc Com	15,900.000	95,400.00 83,853.68	0.00	0.00%
Ufp Technologies Inc Com	10,860.000	70,590.00 102,359.09	0.00	0.00%
Unifi Inc Com	9,700.000	37,636.00 14,016.93	0.00	0.00%
United States Cellular Corp Com	2,100.000	89,061.00 75,968.00	0.00	0.00%
United Sts SII Corp New Com	500.000	27,560.00 15,174.92	100.00	0.36%
United Technologies Corp Com	3,600.000	249,876.00 142,215.80	5,544.00	2.22%
Unitedhealth Group Inc Com	20,374.000	620,999.52 857,265.26	611.00	0.10%
Universal Electrs Inc Com	3,800.000	88,236.00 75,490.37	0.00	0.00%



# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Valspar Corp Com	4,300.000	116,702.00 94,693.62	2,752.00 688.00	2.36%
Vishay Intertechnology Inc Com	9,200.000	76,820.00 57,419.02	0.00	0.00%
Waddell & Reed Finl Inc Cl A	3,701.000	113,028.54 75,666.93	2,812.00 703.19	2.49%
Wal Mart Stores Inc Com	12,000.000	641,400.00 542,670.84	13,080.00	2.04%
Washington Trust Bancorp Com	5,950.000	92,701.00 142,335.29	4,998.00	5.39%
Waste Management Inc Com	19,400.000	655,914.00 580,129.09	22,504.00	3.43%
WEB Com Group Inc Com	10,780.000	70,393.40 59,243.41	0.00	0.00%
Westwood Hldgs Group Inc Com	2,015.000	73,225.10 24,875.52	2,659.00	3.63%
Whirlpool Corp Com	1,000.000	80,660.00 42,541.92	1,720.00	2.13%
XTO Energy Inc Com	10,750.000	500,197.50 384,741.25	5,375.00	1.07%
Yahoo Inc Com	22,700.000	380,906.00 335,352.05	0.00	0.00%
Zoll Med Corp Com	3,540.000	94,588.80 58,950.70	0.00	0.00%
<b>Total Equities</b>		<b>\$ 50,267,837.47</b> <b>\$ 44,599,485.65</b>	<b>827,052.00</b> <b>3,283.11</b>	<b>1.65%</b>
<b>Fixed Income</b>				
PIMCO Low Duration Fund #36	291,545.190	3,000,000.01 3,000,000.00	54,182.00 291.02	1.81%
PIMCO Moderate Duration Fd Cl I #120	1,597,652.982	16,743,403.25 15,227,702.12	490,210.00 42,842.98	2.93%
<b>Total Fixed Income</b>		<b>\$ 19,743,403.26</b> <b>\$ 18,227,702.12</b>	<b>544,392.00</b> <b>43,134.00</b>	<b>2.76%</b>





# WESTLAKE HEALTH FOUNDATION - ROLL-UP

Account Number:  
Statement Period:

71-N279-00-6  
01/01/09 - 12/31/09

## Asset Position As of 12/31/09

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
<b>Miscellaneous</b>				
Memo Entry Re Adams Street Partnership Fund - 2005 US Fund LP	1.000	2,334,500.00 2,334,500.00	0.00	0.00%
Memo Entry Re: Adams Street Partnership Fund - 2005 Non US Fund LP	1 000	1,103,663.00 1,103,663.00	0.00	0.00%
Memo Entry Re. Coast Diversified Levered Fd II Alternative LTD	226 611	2,127,409.67 2,266,110.00	0.00	0.00%
Memo Entry: Adams Street 2007 Direct Fund L.P.	1.000	329,487.00 329,487.00	0.00	0.00%
Memo Entry Re: Adams Street Partnership Fund - 2007 US Fund LP #P63343	1.000	971,849.00 971,849.00	0.00	0.00%
Memo Entry Re: Adams Street Partnership Fund - 2007 Non-U S Fund L.P. (#P89121)	1 000	499,276.00 499,276.00	0.00	0.00%
Original Custody Agreement Dated 3/15/2006	1 000	0.00 0.00	0 00	0.00%
Adams Street Partnership Fund - 2009 Non-U.S. Developed Markets Fund LP	1 000	24,600.00 24,600.00	0.00	0.00%
Adams Street Partnership Fund - 2009 Non-U.S. Emerging Markets Fund LP	1.000	9,600.00 9,600.00	0.00	0.00%
Adams Street 2009 Direct Fund L.P	1.000	275,800.00 275,800.00	0.00	0.00%
Adams Street Partnership Fund 2009 U.S. Fund, L.P.	1.000	195,000.00 195,000.00	0.00	0.00%
Memo Entry Re: Common Sense Investors Offshore LTD.	1.000	5,705,141.53 5,400,000.00	0.00	0.00%
Common Sense Special Opportunity, L.P.	1 000	2,253,008.40 2,000,000.00	0.00	0.00%
Driehaus Institutional Small Cap Recovery Fund LP	1.000	1,256,652.00 2,000,000.00	0.00	0.00%

**WESTLAKE HEALTH FOUNDATION - ROLL-UP**Account Number:  
Statement Period:71-N279-00-6  
01/01/09 - 12/31/09**Asset Position As of 12/31/09**

Asset Description	Units	Market Value Cost	Est. Annual Income Accruals	Current Yield
Total Miscellaneous		\$ 17,085,986.60 \$ 17,409,885.00	0.00 0.00	0.00%
Cash				
Cash		0.00 0.00	0.00	0.00%
Total Cash		\$ 0.00 \$ 0.00	0.00 0.00	0.00%
Total Market Value		\$ 93,882,895.28 \$ 87,022,740.72	1,372,122.00 46,473.20	1.46%
Total Market Value Plus Accruals		\$ 93,929,368.48		

NA

ASSISTANT TRUST ADMINISTRATOR TRACY VONHOLLE (239) 592-2475

STARTING IN 2010, MARSHALL & ILSLEY TRUST COMPANY N.A. WILL REDUCE THE FEE FOR RESEARCH AND PROCESSING OF SECURITY CLASS ACTION SETTLEMENTS ON ACCOUNTS WHERE M&I HELD CUSTODY OF THE SECURITY AT THE TIME THE CLAIM AROSE. THE NEW FEES ARE AS FOLLOWS: ON ACCOUNTS FOR WHICH M&I IS THE CUSTODIAN AT THE TIME THE CLASS ACTION PROCEEDS ARE RECEIVED, A FEE OF \$75 PER CLASS ACTION PER ACCOUNT HOLDER WILL BE CHARGED. ON ACCOUNTS FOR WHICH M&I IS NO LONGER THE CUSTODIAN AT THE TIME THE CLASS ACTION PROCEEDS ARE RECEIVED, A FEE OF \$150 PER ACCOUNT HOLDER WILL BE CHARGED. IN ALL CASES, THE FEE WILL BE NETTED AGAINST THE SETTLEMENT PROCEEDS CREDITED TO THE ACCOUNT. M&I WILL NOT ASSESS A FEE WHERE A PRIOR CUSTODIAN HELD THE SECURITY SUBJECT TO THE CLASS ACTION AT THE TIME THE CLAIM AROSE, COLLECTED THE PROCEEDS, AND FORWARDED THE SETTLEMENT TO M&I AS SUCCESSOR PROVIDER. IF YOU HAVE ANY QUESTIONS REGARDING THIS TOPIC, PLEASE CALL YOUR M&I RELATIONSHIP MANAGER. \*\*\* YOUR ACCOUNT MAY BE INVESTED IN SHARES OF MARSHALL FUNDS, INC. OR OTHER MUTUAL FUNDS FOR WHICH MARSHALL & ILSLEY TRUST COMPANY N.A. AND/OR ITS AFFILIATES PROVIDE INVESTMENT ADVISORY, CUSTODIAL, SHAREHOLDER SERVICING AND/OR SIMILAR ADMINISTRATIVE SERVICES FOR FEES THAT MAY BE BASED ON A PERCENTAGE OF ASSETS, OR OTHER REASONABLE COMPENSATION \*\*\*

Westlake Health Foundation  
Realized gains and losses  
December 31, 2009

		Proceeds	Cost	Gain
H-2.1	Cash	-	-	-
H-3.1	Washington	2,717,816.61	3,727,886.76	(1,010,070.15)
H-4.1	Stralem	5,572,770.34	5,622,321.68	(49,551.34)
H-5.1	DGHM	4,652,188.68	5,767,620.30	(1,115,431.62)
H-6.1	Eagle	5,488,785.51	5,375,196.55	113,588.96
H-7.1	Mutual	5,831,542.94	5,638,172.26	193,370.68
H-8.1	Pinnacle	5,050,966.34	4,958,705.85	92,260.49
		<u>29,314,070.42</u>	<u>31,089,903.40</u>	<u>(1,775,832.98)</u>

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Purchase Activity**

	Date	Income Cash	Principal Cash
● Purchased 123 Shs 01/14/09 From Investment Technology Group Inc @ 44.5979	01/20/09		-5,490.46
Purchased 199 Shs 01/28/09 From Pacific Crest Securities @ 47.2734	02/02/09		-9,415.37
● Purchased 171 Shs 02/25/09 From Pacific Crest Securities @ 48.465	03/02/09		-8,294.36
Zebra Technologies Corp Cl A Purchased 505 Shs 06/11/09 From Investment Technology Group Inc @ 23.0735	06/16/09		-11,672.32
● Purchased 1039 Shs 06/12/09 From Investment Technology Group Inc @ 22.9275	06/17/09		-23,863.23
Purchased 554 Shs 06/15/09 From Investment Technology Group Inc @ 22.9291	06/18/09		-12,724.88
● Purchased 706 Shs 06/16/09 From Investment Technology Group Inc @ 23.0397	06/19/09		-16,294.27
Zoll Med Corp Com Purchased 362 Shs 03/26/09 From Deutsche Bank Securities Inc. @ 15.0452	03/31/09		-5,460.84
● Purchased 988 Shs 03/26/09 From Liquidnet Inc @ 14.9908	03/31/09		-14,850.43
Marshall Prime Money Market Cl Y Purchases (49) 01/01/09 To 09/28/09	09/28/09		-2,135,279.87
● <b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -4,067,991.42</b>

**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
● Vistaprint LTD Sold 281 Shs 06/23/09 To Investment Technology Group Inc @ 41.7802	06/26/09	11,728.69	1,230.22
Core Laboratories N V Ord Sold 41 Shs 01/06/09 To Investment Technology Group Inc @ 71.0505	01/09/09	2,911.41	1,793.73

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 169 Shs 06/23/09 To Investment Technology Group Inc @ 84.0136	06/26/09	14,191.17	9,584.14
Atmi Inc Com Sold 219 Shs 01/06/09 To Investment Technology Group Inc @ 15.8395	01/09/09	3,460.07	-4,046.60
Sold 894 Shs 06/23/09 To Investment Technology Group Inc @ 14.8071	06/26/09	13,201.44	-16,071.17
Aaron's Inc Sold 411 Shs 06/23/09 To Investment Technology Group Inc @ 30.7885	06/26/09	12,637.30	1,828.84
Advisory Brd Co Com Sold 60 Shs 01/06/09 To Investment Technology Group Inc @ 22.3002	01/09/09	1,335.60	-1,785.63
Sold 7 Shs 04/01/09 To Liquidnet Inc @ 17.2675	04/06/09	120.58	-233.20
Sold 37 Shs 04/01/09 To Fidelity Capital Markets @ 17.1454	04/06/09	632.89	-1,236.53
Sold 111 Shs 04/01/09 To Jefferies & Co @ 17.2536	04/06/09	1,910.69	-3,686.85
Sold 603 Shs 04/02/09 To Jefferies & Co @ 17.4141	04/07/09	10,476.52	-19,860.23
Sold 226 Shs 04/02/09 To Fidelity Capital Markets @ 17.3917	04/07/09	3,921.45	-7,321.07
Sold 97 Shs 04/20/09 To J P Morgan Securities Inc @ 17.6605	04/23/09	1,709.14	-2,877.33
Sold 74 Shs 04/20/09 To Liquidnet Inc @ 17.6114	04/23/09	1,300.24	-2,198.72
Sold 19 Shs 04/21/09 To J P Morgan Securities Inc @ 17.4378	04/24/09	330.55	-567.83
Sold 43 Shs 04/21/09 To Fidelity Capital Markets @ 17.3971	04/24/09	746.34	-1,264.31
Sold 65 Shs 04/22/09 To Fidelity Capital Markets @ 17.2011	04/27/09	1,115.44	-1,914.91

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	<b>Date</b>	<b>Proceeds</b>	<b>Realized Gain/Loss</b>
Sold 77 Shs 04/22/09 To J P Morgan Securities Inc @ 17.2543	04/27/09	1,325.46	-2,264.33
Sold 166 Shs 04/23/09 To Fidelity Capital Markets @ 16.5559	04/28/09	2,741.56	-4,956.88
Sold 32 Shs 04/23/09 To J P Morgan Securities Inc @ 16.5041	04/28/09	526.83	-948.38
Sold 116 Shs 04/24/09 To Fidelity Capital Markets @ 16.6943	04/29/09	1,931.85	-3,415.79
Sold 117 Shs 04/24/09 To Investment Technology Group Inc @ 16.7127	04/29/09	1,950.65	-3,443.08
Sold 157 Shs 04/27/09 To Investment Technology Group Inc @ 16.3939	04/30/09	2,567.49	-4,670.26
Airgas Inc Com Sold 91 Shs 01/06/09 To Investment Technology Group Inc @ 39.4513	01/09/09	3,586.40	1,227.35
Sold 398 Shs 06/23/09 To Investment Technology Group Inc @ 39.8729	06/26/09	15,853.08	5,553.47
Alaska Air Group Inc Com Sold 46 Shs 01/06/09 To Investment Technology Group Inc @ 30.4049	01/09/09	1,396.78	-244.31
Sold 1065 Shs 05/27/09 To Investment Technology Group Inc @ 15.4267	06/01/09	16,386.41	-21,416.05
Sold 301 Shs 05/27/09 To Fidelity Capital Markets @ 15.6505	06/01/09	4,698.63	-5,902.74
Sold 979 Shs 05/28/09 To Investment Technology Group Inc @ 15.25	06/02/09	14,890.20	-19,590.67
Amedisys Inc Com Sold 825 Shs 01/02/09 To Investment Technology Group Inc @ 40.7585	01/07/09	33,592.57	-664.40
American Ecology Corp Com New Sold 90 Shs 01/06/09 To Investment Technology Group Inc @ 20.0358	01/09/09	1,799.60	-956.60
Sold 115 Shs 01/08/09 To Fidelity Capital Markets @ 19.8418	01/13/09	2,277.19	-1,244.63

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 877 Shs 01/08/09 To Investment Technology Group Inc @ 19.8668	01/13/09	17,388.00	-9,175.72
Sold 163 Shs 01/09/09 To Investment Technology Group Inc @ 19.8651	01/14/09	3,231.47	-1,645.71
Sold 423 Shs 06/23/09 To Investment Technology Group Inc @ 18.1913	06/26/09	7,677.80	-4,670.91
American Superconductor Corp Com Sold 426 Shs 06/23/09 To Investment Technology Group Inc @ 24.694	06/26/09	10,502.32	-231.69
Ansys Inc Com Sold 109 Shs 01/06/09 To Investment Technology Group Inc @ 29.3874	01/09/09	3,198.85	1,211.66
Sold 541 Shs 06/23/09 To Investment Technology Group Inc @ 30.4032	06/26/09	16,426.06	6,563.04
Arena Res Inc Com Sold 67 Shs 01/06/09 To Investment Technology Group Inc @ 31.9953	01/09/09	2,140.99	260.90
Sold 371 Shs 06/23/09 To Investment Technology Group Inc @ 29.4818	06/26/09	10,922.62	511.99
Athenahealth Inc Com Sold 361 Shs 06/23/09 To Investment Technology Group Inc @ 33.9182	06/26/09	12,229.71	-574.17
Barrett Bill Corp Com Sold 48 Shs 01/06/09 To Investment Technology Group Inc @ 24.7785	01/09/09	1,187.44	-1,527.46
Sold 265 Shs 06/23/09 To Investment Technology Group Inc @ 28.1377	06/26/09	7,445.69	-7,542.81
Bristow Group Inc Com Sold 99 Shs 01/06/09 To Investment Technology Group Inc @ 28.1566	01/09/09	2,783.52	-1,881.35
Sold 432 Shs 06/23/09 To Investment Technology Group Inc @ 28.0163	06/26/09	12,085.44	-8,236.20

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Brush Engineered Mats Inc Com Sold 19 Shs 01/06/09 To Investment Technology Group Inc @ 14.6767	01/09/09	278.09	-719.99
Sold 415 Shs 06/03/09 To Fidelity Capital Markets @ 16.2618	06/08/09	6,731.87	-14,984.93
Sold 264 Shs 06/03/09 To Instinet @ 16.2458	06/08/09	4,278.21	-9,509.09
Sold 260 Shs 06/04/09 To Fidelity Capital Markets @ 16.4705	06/09/09	4,271.81	-9,169.14
Calgon Carbon Corp Com Sold 166 Shs 01/06/09 To Investment Technology Group Inc @ 16.1626	01/09/09	2,676.33	-634.84
Sold 825 Shs 06/23/09 To Investment Technology Group Inc @ 13.0142	06/26/09	10,703.44	-997.45
Carrizo Oil & Gas Inc Com Sold 60 Shs 01/06/09 To Investment Technology Group Inc @ 20.5203	01/09/09	1,228.81	-1,464.39
Sold 783 Shs 04/01/09 To Oppenheimer & Co Inc @ 9.3825	04/06/09	7,315.13	-27,831.16
Sold 367 Shs 04/01/09 To Liquidnet Inc @ 9.4227	04/06/09	3,443.43	-10,690.25
Sold 490 Shs 04/02/09 To Fidelity Capital Markets @ 10.164	04/07/09	4,960.73	-2,405.05
Sold 478 Shs 04/02/09 To Oppenheimer & Co Inc @ 10.069	04/07/09	4,793.83	-2,278.16
Carter Inc Com Sold 603 Shs 06/23/09 To Investment Technology Group Inc @ 23.1459	06/26/09	13,932.50	2,162.79
Caseys Gen Stores Inc Com Sold 560 Shs 06/23/09 To Investment Technology Group Inc @ 25.4962	06/26/09	14,255.10	889.86



**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Catalyst Health Solutions Inc Com Sold 91 Shs 01/06/09 To Investment Technology Group Inc @ 24.2026	01/09/09	2,198.78	-605.27
Sold 503 Shs 06/23/09 To Investment Technology Group Inc @ 22.2374	06/26/09	11,165.00	-4,280.17
Chicos Fas Inc Com Sold 1175 Shs 06/23/09 To Investment Technology Group Inc @ 9.6743	06/26/09	11,320.00	-613.71
Commvault Sys Inc Com Sold 262 Shs 01/06/09 To Investment Technology Group Inc @ 13.2498	01/09/09	3,460.95	-2,130.04
Sold 1055 Shs 06/23/09 To Investment Technology Group Inc @ 16.377	06/26/09	17,235.09	-4,484.56
Cubist Pharmaceuticals Inc Com Sold 68 Shs 01/06/09 To Investment Technology Group Inc @ 24.309	01/09/09	1,650.28	32.57
Sold 573 Shs 06/23/09 To Investment Technology Group Inc @ 17.2015	06/26/09	9,833.28	-3,770.57
Deckers Outdoor Corp Sold 35 Shs 01/06/09 To Investment Technology Group Inc @ 82.6396	01/09/09	2,890.97	392.32
Sold 212 Shs 06/23/09 To Investment Technology Group Inc @ 67.4938	06/26/09	14,299.84	-101.73
Sold 12 Shs 06/29/09 To Investment Technology Group Inc @ 70.5127	07/02/09	845.64	84.93
Sold 94 Shs 06/30/09 To Investment Technology Group Inc @ 71.0112	07/06/09	6,671.11	712.19
Diamond Foods Inc Com Sold 46 Shs 01/06/09 To Investment Technology Group Inc @ 19.4423	01/09/09	892.50	-297.13
Sold 404 Shs 06/23/09 To Investment Technology Group Inc @ 25.9771	06/26/09	10,478.32	31.86

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Dress Barn Inc Com Sold 499 Shs 05/19/09 To Deutsche Bank Securities Inc. @ 15.345	05/22/09	7,637.00	2,695.25
Sold 603 Shs 05/19/09 To Liquidnet Inc @ 15.2852	05/22/09	9,192.62	3,229.96
Sold 503 Shs 05/20/09 To Deutsche Bank Securities Inc. @ 15.2992	05/26/09	7,675.18	2,714.65
Sold 291 Shs 05/20/09 To Liquidnet Inc @ 15.3071	05/26/09	4,442.61	1,579.23
Sold 29 Shs 05/21/09 To Deutsche Bank Securities Inc. @ 15.5828	05/27/09	450.73	165.38
Sold 669 Shs 05/22/09 To Deutsche Bank Securities Inc. @ 14.913	05/28/09	9,949.78	3,382.11
Dril-Quip Inc Com Sold 51 Shs 01/06/09 To Investment Technology Group Inc @ 23.8913	01/09/09	1,216.41	-738.37
Sold 224 Shs 06/23/09 To Investment Technology Group Inc @ 35.8671	06/26/09	8,025.06	-548.71
Epiq Systems Inc Com Sold 135 Shs 01/06/09 To Investment Technology Group Inc @ 16.6824	01/09/09	2,246.70	-9.39
Sold 634 Shs 06/23/09 To Investment Technology Group Inc @ 16.3319	06/26/09	10,328.79	210.66
Eclipsys Corp Com Sold 88 Shs 01/06/09 To Investment Technology Group Inc @ 13.7416	01/09/09	1,205.73	-775.62
Sold 172 Shs 01/08/09 To Fidelity Capital Markets @ 12.1404	01/13/09	2,081.25	-1,790.53
Sold 1556 Shs 01/08/09 To Investment Technology Group Inc @ 12.0096	01/13/09	18,624.59	-16,226.78
Sold 805 Shs 01/09/09 To Investment Technology Group Inc @ 12.2159	01/14/09	9,801.54	-7,282.64
Sold 390 Shs 02/24/09 To Investment Technology Group Inc @ 9.6168	02/27/09	3,734.92	-4,446.76

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1551 Shs 02/24/09 To Pacific Crest Securities @ 9.5971	02/27/09	14,822.97	-17,367.62
Emergency Medical Services A Sold 42 Shs 01/06/09 To Investment Technology Group Inc @ 34.5901	01/09/09	1,451.09	90.46
Sold 284 Shs 06/23/09 To Investment Technology Group Inc @ 35.4818	06/26/09	10,065.21	131.36
Emulex Corp Com New Sold 123 Shs 01/06/09 To Investment Technology Group Inc @ 7.4293	01/09/09	908.87	-1,232.35
Sold 942 Shs 03/09/09 To Deutsche Bank Securities Inc. @ 4.6258	03/12/09	4,319.80	-12,000.85
Sold 389 Shs 03/09/09 To Liquidnet Inc @ 4.6103	03/12/09	1,777.83	-4,893.87
Sold 585 Shs 03/10/09 To Liquidnet Inc @ 4.6677	03/13/09	2,707.18	-7,326.09
Sold 1167 Shs 03/10/09 To Wachovia Securities Capital Mar @ 4.8005	03/13/09	5,555.46	-14,446.47
Flir Sys Inc Com Sold 101 Shs 01/06/09 To Investment Technology Group Inc @ 31.5336	01/09/09	3,180.83	1,458.21
Sold 865 Shs 06/23/09 To Investment Technology Group Inc @ 22.1063	06/26/09	19,086.85	5,058.28
Flowers Foods Inc Com Sold 191 Shs 01/06/09 To Investment Technology Group Inc @ 23.3709	01/09/09	4,456.17	1,312.97
Sold 793 Shs 06/23/09 To Investment Technology Group Inc @ 22.437	06/26/09	17,760.36	2,734.49
Fossil Inc Com Sold 84 Shs 01/06/09 To Investment Technology Group Inc @ 17.2041	01/09/09	1,441.77	-986.11
Sold 448 Shs 06/23/09 To Investment Technology Group Inc @ 22.6523	06/26/09	10,130.04	-3,418.16

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Freds Inc Cl A			
Sold 247 Shs 01/06/09	01/09/09	2,746.18	-1,031.71
To Investment Technology Group Inc			
@ 11.1582			
Sold 1031 Shs 06/23/09	06/26/09	12,984.49	-2,653.06
To Investment Technology Group Inc			
@ 12.6344			
The Geo Group Inc Com			
Sold 195 Shs 01/06/09	01/09/09	3,751.65	-943.00
To Investment Technology Group Inc			
@ 19.2794			
Sold 770 Shs 02/11/09	02/17/09	11,125.97	-7,411.86
To Citigroup Global Markets Inc (S			
@ 14.4894			
Sold 646 Shs 06/23/09	06/26/09	11,381.25	-4,154.17
To Investment Technology Group Inc			
@ 17.6585			
Gentiva Health Svcs Inc Com			
Sold 142 Shs 01/06/09	01/09/09	3,959.85	729.29
To Investment Technology Group Inc			
@ 27.9265			
Sold 577 Shs 03/05/09	03/10/09	8,536.90	-4,590.08
To Liquidnet Inc			
@ 14.8354			
Sold 675 Shs 03/05/09	03/10/09	9,901.85	-4,193.47
To Deutsche Bank Securities Inc.			
@ 14.7095			
Sold 157 Shs 03/05/09	03/10/09	2,269.13	-952.23
To Investment Technology Group Inc			
@ 14.4932			
Sold 371 Shs 03/06/09	03/11/09	5,346.45	-2,261.84
To Deutsche Bank Securities Inc.			
@ 14.451			
Sold 936 Shs 06/08/09	06/11/09	14,861.04	-4,280.88
To Investment Technology Group Inc			
@ 15.9176			
Sold 267 Shs 06/09/09	06/12/09	4,117.14	-1,292.75
To Investment Technology Group Inc			
@ 15.4604			
Sold 204 Shs 06/09/09	06/12/09	3,129.92	-964.47
To Liquidnet Inc			
@ 15.3832			
Sold 205 Shs 06/10/09	06/15/09	3,097.27	-989.26
To Liquidnet Inc			
@ 15.149			
Sold 374 Shs 06/10/09	06/15/09	5,647.18	-1,804.56
To Investment Technology Group Inc			
@ 15.1398			

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Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Greatbatch Inc Com			
Sold 79 Shs 01/06/09	01/09/09	1,937.18	201.24
To Investment Technology Group Inc			
@ 24.5615			
Sold 135 Shs 02/24/09	02/27/09	2,958.75	-89.92
To J P Morgan Securities Inc			
@ 21.9568			
Sold 181 Shs 02/24/09	02/27/09	3,963.31	-124.16
To Liquidnet Inc			
@ 21.9369			
Sold 283 Shs 02/25/09	03/02/09	6,010.99	-379.91
To J P Morgan Securities Inc			
@ 21.2804			
Sold 431 Shs 02/25/09	03/02/09	9,062.15	-565.41
To Liquidnet Inc			
@ 21.066			
Sold 495 Shs 06/23/09	06/26/09	11,181.02	189.25
To Investment Technology Group Inc			
@ 22.6285			
Greif Bros Corp Cl A			
Sold 28 Shs 01/06/09	01/09/09	989.57	-761.52
To Investment Technology Group Inc			
@ 35.382			
Sold 125 Shs 06/23/09	06/26/09	5,099.87	-2,717.49
To Investment Technology Group Inc			
@ 40.8401			
Hms Hldgs Corp Com			
Sold 80 Shs 01/06/09	01/09/09	2,444.56	145.81
To Investment Technology Group Inc			
@ 30.5972			
Sold 356 Shs 06/23/09	06/26/09	13,732.62	3,504.89
To Investment Technology Group Inc			
@ 38.6158			
Haemonetics Corp Mass Com			
Sold 88 Shs 01/06/09	01/09/09	4,834.14	-89.68
To Investment Technology Group Inc			
@ 54.9737			
Sold 288 Shs 01/08/09	01/13/09	15,544.11	-85.15
To Investment Technology Group Inc			
@ 54.0129			
Sold 178 Shs 01/08/09	01/13/09	9,650.73	144.16
To Fidelity Capital Markets			
@ 54.2579			
Sold 144 Shs 01/09/09	01/14/09	7,744.47	136.56
To Investment Technology Group Inc			
@ 53.8214			
Sold 282 Shs 06/23/09	06/26/09	15,781.95	1,049.02
To Investment Technology Group Inc			
@ 56.0058			

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Hain Celestial Group Inc Com Sold 85 Shs 01/06/09 To Investment Technology Group Inc @ 20.0259	01/09/09	1,698.79	-819.51
Sold 419 Shs 04/01/09 To Oppenheimer & Co Inc @ 14.4972	04/06/09	6,057.53	-6,304.34
Sold 493 Shs 04/01/09 To Fidelity Capital Markets @ 14.485	04/06/09	7,121.35	-7,212.58
Sold 149 Shs 04/02/09 To Oppenheimer & Co Inc @ 14.7565	04/07/09	2,192.74	-2,113.15
Sold 134 Shs 04/02/09 To Fidelity Capital Markets @ 14.7056	04/07/09	1,965.17	-1,376.10
Sold 447 Shs 04/17/09 To Fidelity Capital Markets @ 16.0335	04/22/09	7,148.90	-2,806.02
Sold 518 Shs 04/17/09 To Pacific Crest Securities @ 15.8674	04/22/09	8,198.37	-4,526.26
Harmonic Inc Com Sold 308 Shs 01/06/09 To Investment Technology Group Inc @ 6.385	01/09/09	1,954.24	-1,124.00
Sold 1917 Shs 06/23/09 To Investment Technology Group Inc @ 6.0246	06/26/09	11,472.18	-7,677.19
Healthcare Svcs Group Inc Com Sold 175 Shs 01/06/09 To Investment Technology Group Inc @ 15.5184	01/09/09	2,708.70	-970.48
Sold 777 Shs 06/23/09 To Investment Technology Group Inc @ 18.4721	06/26/09	14,321.37	-1,867.23
Henry Jack & Assoc Inc Com Sold 106 Shs 01/06/09 To Investment Technology Group Inc @ 19.4905	01/09/09	2,061.73	-421.79
Sold 473 Shs 06/23/09 To Investment Technology Group Inc @ 19.4844	06/26/09	9,196.96	-1,885.05
Huron Consulting Group Inc Com Sold 46 Shs 01/06/09 To Investment Technology Group Inc @ 57.4162	01/09/09	2,639.29	-832.27

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Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 284 Shs 06/23/09 To Investment Technology Group Inc @ 48.6521	06/26/09	13,805.48	-7,327.99
Icf Intl Inc Com Sold 369 Shs 06/23/09 To Investment Technology Group Inc @ 26.109	06/26/09	9,619.21	-542.63
Immucor Inc Sold 78 Shs 01/06/09 To Investment Technology Group Inc @ 24.9742	01/09/09	1,944.85	-800.84
Sold 666 Shs 06/23/09 To Investment Technology Group Inc @ 15.4913	06/26/09	10,290.30	-13,153.63
Informatica Corp Com Sold 177 Shs 01/06/09 To Investment Technology Group Inc @ 13.8351	01/09/09	2,441.71	-58.57
Sold 720 Shs 06/23/09 To Investment Technology Group Inc @ 16.8905	06/26/09	12,132.04	2,030.46
Interwoven Inc Com New Sold 274 Shs 01/06/09 To Investment Technology Group Inc @ 11.9844	01/09/09	3,272.75	-900.06
To Remove 6853 Shs on Cash Merger @ 16.20 Per Sh	03/17/09	111,018.60	13,233.97
Investment Technology Group Inc New Com Sold 19 Shs 01/06/09 To Investment Technology Group Inc @ 23.6213	01/09/09	448.03	-370.99
Sold 766 Shs 02/05/09 To Fidelity Capital Markets @ 22.7214	02/10/09	17,373.85	-15,632.42
Sold 194 Shs 02/05/09 To Stephens Inc @ 22.7004	02/10/09	4,396.09	-3,950.47
Ishares Tr Russell 2000 Index Fd Sold 223 Shs 12/30/08 To Fidelity Capital Markets @ 47.2856	01/05/09	10,535.71	-5,330.99
Sold 418 Shs 12/30/08 To Investment Technology Group Inc @ 47.2536	01/05/09	19,735.16	-10,006.00

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	<b>Date</b>	<b>Proceeds</b>	<b>Realized Gain/Loss</b>
Sold 1244 Shs 05/14/09 To Investment Technology Group Inc @ 48.2478	05/19/09	59,968.95	-18,505.98
Sold 1257 Shs 06/05/09 To Investment Technology Group Inc @ 53.115	06/10/09	66,713.56	5,760.15
Itron Inc Com Sold 46 Shs 01/06/09 To Investment Technology Group Inc @ 64.1528	01/09/09	2,949.17	673.83
Sold 59 Shs 02/09/09 To Investment Technology Group Inc @ 60.0448	02/12/09	3,540.26	674.42
Sold 482 Shs 02/09/09 To Deutsche Bank Securities Inc. @ 59.4894	02/12/09	28,654.45	5,751.87
Sold 157 Shs 06/23/09 To Investment Technology Group Inc @ 52.6955	06/26/09	8,266.69	985.41
Jack In The Box Inc Com Sold 191 Shs 01/06/09 To Investment Technology Group Inc @ 22.703	01/09/09	4,328.60	-1,241.67
Sold 769 Shs 06/23/09 To Investment Technology Group Inc @ 22.3856	06/26/09	17,183.32	-4,213.42
Jo-Ann Stores Inc Com Sold 198 Shs 01/06/09 To Investment Technology Group Inc @ 14.7051	01/09/09	2,903.67	-462.98
Sold 812 Shs 06/23/09 To Investment Technology Group Inc @ 19.3348	06/26/09	15,666.97	1,886.28
K12 Inc Com Sold 567 Shs 06/23/09 To Investment Technology Group Inc @ 18.3166	06/26/09	10,362.56	176.18
Kaydon Corp Com Sold 47 Shs 01/06/09 To Investment Technology Group Inc @ 35.0172	01/09/09	1,643.92	302.06
Sold 324 Shs 01/08/09 To J P Morgan Securities Inc @ 32.9903	01/13/09	10,675.84	1,500.47
Sold 154 Shs 01/08/09 To Liquidnet Inc @ 33.0212	01/13/09	5,079.07	742.40



**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 639 Shs 01/09/09 To J P Morgan Securities Inc @ 31.2333	01/14/09	19,932.40	1,938.03
Kirby Corp Com Sold 65 Shs 01/06/09 To Investment Technology Group Inc @ 30.4238	01/09/09	1,974.93	241.83
Sold 987 Shs 01/13/09 To R W Baird & Co @ 26.7268	01/16/09	26,339.72	32.19
Sold 181 Shs 06/23/09 To Investment Technology Group Inc @ 30.0215	06/26/09	5,426.51	603.73
Knight Transn Inc Com Sold 594 Shs 06/23/09 To Investment Technology Group Inc @ 16.2752	06/26/09	9,643.46	-771.38
Lance Inc Com Sold 60 Shs 01/06/09 To Investment Technology Group Inc @ 22.1491	01/09/09	1,326.54	4.17
Sold 172 Shs 05/19/09 To Fidelity Capital Markets @ 21.8584	05/22/09	3,752.66	-38.15
Sold 241 Shs 05/19/09 To Investment Technology Group Inc @ 21.8733	05/22/09	5,261.69	-18.93
Sold 571 Shs 05/20/09 To Investment Technology Group Inc @ 21.4594	05/26/09	12,230.16	-202.43
Sold 459 Shs 05/21/09 To Investment Technology Group Inc @ 20.1306	05/27/09	9,221.35	-723.16
Sold 101 Shs 05/21/09 To Fidelity Capital Markets @ 20.2538	05/27/09	2,041.53	-18.18
Sold 606 Shs 05/21/09 To Liquidnet Inc @ 19.9744	05/27/09	12,079.93	293.35
Meridian Bioscience Inc Com Sold 96 Shs 01/06/09 To Investment Technology Group Inc @ 24.7482	01/09/09	2,371.97	-1,014.17
Sold 632 Shs 06/23/09 To Investment Technology Group Inc @ 21.6108	06/26/09	13,632.39	-8,630.17

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Microsemi Corp Com Sold 81 Shs 01/06/09 To Investment Technology Group Inc @ 13.5685	01/09/09	1,095.80	-1,010.82
Sold 1164 Shs 02/05/09 To Liquidnet Inc @ 9.0038	02/10/09	10,433.80	-19,839.17
Sold 967 Shs 02/05/09 To Investment Technology Group Inc @ 9.0665	02/10/09	8,728.58	-14,952.15
Sold 2006 Shs 02/05/09 To Stephens Inc @ 9.0413	02/10/09	18,056.50	-29,746.35
Mine Safety Appliances Co Com Sold 39 Shs 01/06/09 To Investment Technology Group Inc @ 23.7991	01/09/09	926.59	-976.84
Sold 191 Shs 02/24/09 To Fidelity Capital Markets @ 18.5141	02/27/09	3,528.53	-5,793.42
Sold 291 Shs 02/24/09 To Oppenheimer & Co Inc @ 18.4979	02/27/09	5,371.21	-8,831.34
Sold 322 Shs 02/25/09 To Fidelity Capital Markets @ 17.0948	03/02/09	5,491.61	-10,213.48
Sold 253 Shs 02/25/09 To Oppenheimer & Co Inc @ 17.1724	03/02/09	4,334.47	-7,768.20
Monro Muffler Brake Sold 426 Shs 06/23/09 To Investment Technology Group Inc @ 23.1793	06/26/09	9,857.08	-1,777.44
Monster Worldwide Inc Com Sold 726 Shs 06/23/09 To Investment Technology Group Inc @ 10.9336	06/26/09	7,908.54	-2,621.26
Nci Inc Cl A Sold 190 Shs 06/23/09 To Investment Technology Group Inc @ 30.6525	06/26/09	5,816.23	625.16
Netflix Inc Com Sold 401 Shs 06/23/09 To Investment Technology Group Inc @ 39.6052	06/26/09	15,865.24	1,415.57

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Neutral Tandem Inc Com Sold 383 Shs 06/23/09 To Investment Technology Group Inc @ 28.5577	06/26/09	10,921.99	701.15
Nice Sys LTD Sponsored ADR Sponsored ADR Sold 86 Shs 01/06/09 To Investment Technology Group Inc @ 23.5662	01/09/09	2,023.23	62.13
Sold 815 Shs 06/23/09 To Investment Technology Group Inc @ 21.8785	06/26/09	17,797.92	-724.01
Nuance Communications Inc Com Sold 190 Shs 01/06/09 To Investment Technology Group Inc @ 11.1504	01/09/09	2,110.96	-110.22
Sold 1074 Shs 06/23/09 To Investment Technology Group Inc @ 11.935	06/26/09	12,774.90	219.41
Ormat Technologies Inc Com Sold 266 Shs 06/23/09 To Investment Technology Group Inc @ 38.5121	06/26/09	10,233.31	-652.02
Owens & Minor Inc New Com Sold 82 Shs 01/06/09 To Investment Technology Group Inc @ 36.5087	01/09/09	2,990.41	-854.19
Sold 368 Shs 06/23/09 To Investment Technology Group Inc @ 40.3877	06/26/09	14,847.56	-1,549.03
Pss World Medical Inc Com Sold 358 Shs 01/06/09 To Investment Technology Group Inc @ 18.4656	01/09/09	6,596.32	-45.51
Sold 1475 Shs 06/23/09 To Investment Technology Group Inc @ 18.2453	06/26/09	26,852.12	2,530.57
Packaging Corp of America Com Sold 76 Shs 01/06/09 To Investment Technology Group Inc @ 14.7604	01/09/09	1,118.74	-665.82
Sold 646 Shs 06/23/09 To Investment Technology Group Inc @ 13.8253	06/26/09	8,905.07	-6,263.72

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Papa Johns Intl Inc Com Sold 139 Shs 01/06/09 To Investment Technology Group Inc @ 18.41	01/09/09	2,553.41	-1,561.49
Sold 498 Shs 02/24/09 To Deutsche Bank Securities Inc. @ 19.3778	02/27/09	9,630.17	-5,112.42
Sold 286 Shs 02/24/09 To Investment Technology Group Inc @ 19.4974	02/27/09	5,564.78	-2,794.55
Sold 452 Shs 06/23/09 To Investment Technology Group Inc @ 23.8332	06/26/09	10,754.25	-2,383.90
Parametric Technology Corp Com New Sold 126 Shs 01/06/09 To Investment Technology Group Inc @ 13.1095	01/09/09	1,646.75	-810.10
Sold 1071 Shs 06/23/09 To Investment Technology Group Inc @ 11.918	06/26/09	12,721.01	-7,965.88
Petroleum Dev Corp Com Sold 25 Shs 01/06/09 To Investment Technology Group Inc @ 27.0328	01/09/09	674.81	-636.86
Sold 728 Shs 05/19/09 To Investment Technology Group Inc @ 17.3391	05/22/09	12,593.41	-25,372.78
Sold 561 Shs 05/20/09 To Investment Technology Group Inc @ 17.6301	05/26/09	9,867.79	-18,372.19
Polycom Inc Com Sold 138 Shs 01/06/09 To Investment Technology Group Inc @ 13.939	01/09/09	1,918.04	-1,342.20
Sold 686 Shs 06/23/09 To Investment Technology Group Inc @ 19.6961	06/26/09	13,483.73	-2,722.94
Power Integrations Inc Com Sold 91 Shs 01/06/09 To Investment Technology Group Inc @ 20.6961	01/09/09	1,879.69	-1,086.16
Sold 504 Shs 06/23/09 To Investment Technology Group Inc @ 23.8286	06/26/09	11,989.14	-4,414.10

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
0 1/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Progress Software Corp Com Sold 46 Shs 01/06/09 To Investment Technology Group Inc @ 19.8224	01/09/09	909.98	-537.97
Sold 393 Shs 06/23/09 To Investment Technology Group Inc @ 21.1808	06/26/09	8,308.11	-4,062.39
Sold 785 Shs 06/26/09 To Investment Technology Group Inc @ 20.9962	07/01/09	16,450.19	-8,215.93
Sold 1049 Shs 06/26/09 To Fidelity Capital Markets @ 21.0066	07/01/09	21,993.39	-10,799.79
Sold 119 Shs 06/29/09 To Investment Technology Group Inc @ 21.0576	07/02/09	2,501.02	-1,208.90
Psychiatric Solutions Inc Com Sold 458 Shs 12/29/08 To Investment Technology Group Inc @ 27.4527	01/02/09	12,554.94	-254.55
Sold 772 Shs 12/29/08 To Fidelity Capital Markets @ 27.4553	01/02/09	21,164.49	67.56
Rofin-Sinar Technologies Inc Com Sold 118 Shs 01/06/09 To Investment Technology Group Inc @ 21.7189	01/09/09	2,558.09	-1,507.32
Sold 643 Shs 01/15/09 To J P Morgan Securities Inc @ 17.9208	01/21/09	11,497.28	-10,320.60
Sold 584 Shs 01/15/09 To Liquidnet Inc @ 17.9304	01/21/09	10,447.93	-9,025.22
Sold 329 Shs 01/16/09 To J P Morgan Securities Inc @ 17.9651	01/22/09	5,897.32	-4,973.36
Sold 191 Shs 01/16/09 To Liquidnet Inc @ 17.924	01/22/09	3,415.82	-2,882.25
Sold 199 Shs 01/20/09 To J P Morgan Securities Inc @ 16.9801	01/23/09	3,371.06	-3,185.65
Sold 787 Shs 02/02/09 To Liquidnet Inc @ 16.4024	02/05/09	12,877.13	-12,807.59
Sold 314 Shs 02/02/09 To J P Morgan Securities Inc @ 16.3658	02/05/09	5,126.27	-6,972.21

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 388 Shs 02/03/09 To Liquidnet Inc @ 16.2885	02/06/09	6,304.38	-8,764.49
Sold 409 Shs 02/03/09 To J P Morgan Securities Inc @ 16.3654	02/06/09	6,677.05	-7,835.74
Sold 58 Shs 02/04/09 To Investment Technology Group Inc @ 16.699	02/09/09	966.21	-1,090.75
Spss Inc Com Sold 32 Shs 01/06/09 To Investment Technology Group Inc @ 26.9704	01/09/09	861.76	-22.66
Sold 274 Shs 06/23/09 To Investment Technology Group Inc @ 32.4817	06/26/09	8,888.80	1,323.38
Stec Inc Com Sold 1070 Shs 06/23/09 To Investment Technology Group Inc @ 21.85	06/26/09	23,336.09	12,342.08
Scientific Games Corp Cl A Sold 88 Shs 01/06/09 To Investment Technology Group Inc @ 19.0766	01/09/09	1,675.21	-751.06
Sold 1108 Shs 01/27/09 To Oppenheimer & Co Inc @ 13.6661	01/30/09	15,097.63	-15,176.37
Sold 912 Shs 01/27/09 To Liquidnet Inc @ 13.6601	01/30/09	12,421.46	-9,647.51
Sold 339 Shs 01/28/09 To Oppenheimer & Co Inc @ 13.7998	02/02/09	4,664.54	-1,125.92
Sold 304 Shs 01/28/09 To Investment Technology Group Inc @ 13.8993	02/02/09	4,213.20	-965.20
Sensient Technologies Corp Com Sold 139 Shs 01/06/09 To Investment Technology Group Inc @ 24.5695	01/09/09	3,409.58	-1,077.17
Sold 614 Shs 06/23/09 To Investment Technology Group Inc @ 22.7435	06/26/09	13,939.59	-5,775.84
Silgan Holdings Inc Com Sold 231 Shs 06/23/09 To Investment Technology Group Inc @ 45.4388	06/26/09	10,486.85	-1,136.12

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Steris Corp Com			
Sold 127 Shs 01/06/09	01/09/09	2,992.27	-819.86
To Investment Technology Group Inc			
@ 23.6013			
Sold 462 Shs 02/25/09	03/02/09	11,485.90	-2,640.44
To J P Morgan Securities Inc			
@ 24.9014			
Sold 606 Shs 02/25/09	03/02/09	15,059.92	-3,469.44
To Liquidnet Inc			
@ 24.8915			
Sold 534 Shs 06/23/09	06/26/09	13,538.74	-2,687.13
To Investment Technology Group Inc			
@ 25.3941			
Symmetry Med Inc Com			
Sold 162 Shs 01/06/09	01/09/09	1,284.13	-1,693.44
To Investment Technology Group Inc			
@ 7.9668			
Sold 335 Shs 02/23/09	02/26/09	2,045.56	-4,076.73
To Investment Technology Group Inc			
@ 6.1462			
Sold 693 Shs 02/23/09	02/26/09	4,223.94	-8,431.22
To Citigroup Global Markets Inc (S			
@ 6.1352			
Sold 211 Shs 05/11/09	05/14/09	1,685.90	-2,160.38
To Liquidnet Inc			
@ 8.0303			
Sold 538 Shs 05/11/09	05/14/09	4,306.25	-5,498.73
To Investment Technology Group Inc			
@ 8.0444			
Sold 908 Shs 05/12/09	05/15/09	6,983.06	-9,537.47
To Investment Technology Group Inc			
@ 7.7308			
Sold 59 Shs 05/12/09	05/15/09	450.63	-619.69
To Fidelity Capital Markets			
@ 7.6781			
Sold 389 Shs 05/13/09	05/18/09	2,980.17	-4,032.11
To Liquidnet Inc			
@ 7.7013			
Sold 388 Shs 05/13/09	05/18/09	2,941.85	-3,144.89
To Investment Technology Group Inc			
@ 7.6223			
Sold 21 Shs 05/14/09	05/19/09	159.60	-136.00
To Liquidnet Inc			
@ 7.6407			
Sold 485 Shs 05/14/09	05/19/09	3,690.75	-3,132.34
To Investment Technology Group Inc			
@ 7.65			

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Thq Inc Com			
Sold 123 Shs 01/06/09	01/09/09	612.54	-1,806.87
To Investment Technology Group Inc			
@ 5.0201			
Sold 389 Shs 02/05/09	02/10/09	1,249.89	-6,401.74
To Liquidnet Inc			
@ 3.2531			
Sold 972 Shs 02/05/09	02/10/09	3,152.86	-12,415.13
To Investment Technology Group Inc			
@ 3.2837			
Sold 1697 Shs 02/05/09	02/10/09	5,471.60	-11,815.90
To R W Baird & Co			
@ 3.2643			
Tekelec Com			
Sold 727 Shs 06/23/09	06/26/09	11,787.92	2,517.22
To Investment Technology Group Inc			
@ 16.2549			
Tesco Corp Com			
Sold 111 Shs 01/06/09	01/09/09	1,028.92	-1,804.00
To Investment Technology Group Inc			
@ 9.3096			
Sold 595 Shs 06/23/09	06/26/09	4,889.34	-10,188.17
To Investment Technology Group Inc			
@ 8.2576			
Sold 32 Shs 06/29/09	07/02/09	254.81	-553.86
To Investment Technology Group Inc			
@ 8.0032			
Sold 429 Shs 06/30/09	07/06/09	3,365.89	-7,472.38
To Investment Technology Group Inc			
@ 7.8861			
Sold 251 Shs 06/30/09	07/06/09	1,967.68	-4,363.22
To Liquidnet Inc			
@ 7.8796			
Tetra Tech Inc New Com			
Sold 538 Shs 06/23/09	06/26/09	14,952.14	1,860.35
To Investment Technology Group Inc			
@ 27.8328			
Texas Roadhouse Inc Com Cl A			
Sold 887 Shs 06/23/09	06/26/09	9,485.68	592.27
To Investment Technology Group Inc			
@ 10.7344			
Treehouse Foods Inc Com			
Sold 207 Shs 01/06/09	01/09/09	5,622.00	-326.33
To Investment Technology Group Inc			
@ 27.1996			



**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 867 Shs 06/23/09 To Investment Technology Group Inc @ 28.4886	06/26/09	24,664.30	5,716.02
Trimble Nav LTD Com Sold 70 Shs 01/06/09 To Investment Technology Group Inc @ 22.9192	01/09/09	1,601.53	241.85
Sold 694 Shs 01/15/09 To R W Baird & Co @ 14.6332	01/21/09	10,127.62	-3,352.67
Sold 196 Shs 01/15/09 To Liquidnet Inc @ 14.7003	01/21/09	2,873.40	-933.71
Sold 194 Shs 02/02/09 To Liquidnet Inc @ 14.4648	02/05/09	2,798.39	-969.88
Sold 654 Shs 02/02/09 To R W Baird & Co @ 14.4087	02/05/09	9,397.07	-3,306.26
Under Armour Inc Cl A Sold 1154 Shs 06/08/09 To Investment Technology Group Inc @ 24.2532	06/11/09	27,941.31	7,627.85
Sold 173 Shs 06/08/09 To Fidelity Capital Markets @ 23.9976	06/11/09	4,144.55	1,320.70
Sold 1941 Shs 06/09/09 To Investment Technology Group Inc @ 24.4332	06/12/09	47,345.98	21,241.39
United Therapeutics Corp Del Com Sold 147 Shs 06/23/09 To Investment Technology Group Inc @ 81.0237	06/26/09	11,904.29	-180.96
Varian Inc Com Sold 56 Shs 01/06/09 To Investment Technology Group Inc @ 32.2604	01/09/09	1,804.32	-1,957.54
Sold 301 Shs 01/08/09 To Investment Technology Group Inc @ 31.2659	01/13/09	9,398.94	-10,821.03
Sold 292 Shs 01/08/09 To Fidelity Capital Markets @ 31.3419	01/13/09	9,140.09	-9,847.69
Sold 252 Shs 01/09/09 To Investment Technology Group Inc @ 30.1515	01/14/09	7,588.05	-6,031.08
Sold 284 Shs 06/03/09 To Fidelity Capital Markets @ 37.4064	06/08/09	10,611.78	-4,577.17

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 568 Shs 06/04/09 To Weeden & Co @ 37.2452	06/09/09	21,132.00	-9,360.79
Varian Semiconductor Equip Com Sold 43 Shs 01/06/09 To Investment Technology Group Inc @ 18.8428	01/09/09	808.51	93.16
Sold 433 Shs 06/23/09 To Investment Technology Group Inc @ 22.6334	06/26/09	9,782.68	2,579.23
Vasco Data Sec Intl Inc Com Sold 79 Shs 01/06/09 To Investment Technology Group Inc @ 8.6591	01/09/09	680.90	-1,955.70
Sold 222 Shs 04/21/09 To J P Morgan Securities Inc @ 7.0119	04/24/09	1,547.72	-5,861.47
Sold 123 Shs 04/21/09 To Fidelity Capital Markets @ 7.0058	04/24/09	856.76	-3,248.33
Sold 675 Shs 04/22/09 To Liquidnet Inc @ 6.7063	04/27/09	4,499.63	-14,137.21
Sold 322 Shs 04/22/09 To Fidelity Capital Markets @ 6.7428	04/27/09	2,158.24	-6,085.65
Sold 455 Shs 04/22/09 To J P Morgan Securities Inc @ 6.7278	04/27/09	3,042.87	-8,301.99
Sold 704 Shs 04/23/09 To Liquidnet Inc @ 6.9267	04/28/09	4,848.11	-12,023.62
Sold 146 Shs 04/23/09 To Investment Technology Group Inc @ 6.8067	04/28/09	987.91	-2,505.97
Sold 548 Shs 04/23/09 To RBC Cap Mkts (Dain Rauscher) @ 6.9602	04/28/09	3,792.17	-9,321.85
Sold 439 Shs 04/24/09 To RBC Cap Mkts (Dain Rauscher) @ 6.8526	04/29/09	2,990.65	-7,514.93
Sold 72 Shs 04/24/09 To Liquidnet Inc @ 6.8507	04/29/09	490.35	-1,232.66
Sold 283 Shs 04/27/09 To RBC Cap Mkts (Dain Rauscher) @ 6.7006	04/30/09	1,884.90	-4,887.49

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Wabtec Corp Com Sold 164 Shs 01/06/09 To Investment Technology Group Inc @ 39.7885	01/09/09	6,518.71	257.17
Sold 1196 Shs 03/05/09 To Citigroup Global Markets Inc (S @ 24.4678	03/10/09	29,215.48	-9,117.73
Sold 464 Shs 06/23/09 To Investment Technology Group Inc @ 32.9007	06/26/09	15,246.96	-3,075.77
Waddell & Reed Finl Inc Cl A Sold 458 Shs 06/23/09 To Investment Technology Group Inc @ 24.3298	06/26/09	11,124.44	1,278.03
Warnaco Group Inc Com New Sold 63 Shs 01/06/09 To Investment Technology Group Inc @ 19.6441	01/09/09	1,235.05	-1,471.46
Sold 333 Shs 06/23/09 To Investment Technology Group Inc @ 30.9182	06/26/09	10,282.17	-4,020.26
Watson Wyatt & Co Holdings Com Sold 1413 Shs 05/26/09 To Deutsche Bank Securities Inc. @ 37.956	05/29/09	53,573.93	-11,595.76
Wolverine World Wide Inc Com Sold 133 Shs 01/06/09 To Investment Technology Group Inc @ 20.8964	01/09/09	2,773.88	-223.86
Sold 710 Shs 06/23/09 To Investment Technology Group Inc @ 21.5228	06/26/09	15,252.39	-498.66
Wright Med Group Inc Com Sold 69 Shs 01/06/09 To Investment Technology Group Inc @ 20.7337	01/09/09	1,427.86	-453.41
Sold 593 Shs 06/23/09 To Investment Technology Group Inc @ 15.4883	06/26/09	9,160.60	-4,205.84
Zebra Technologies Corp Cl A Sold 477 Shs 06/23/09 To Investment Technology Group Inc @ 22.5971	06/26/09	10,759.46	-265.68

**WESTLAKE HEALTH FDN CUST-WASHINGTON**Account Number:  
Statement Period:71-N279-06-3  
01/01/09 - 09/28/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Zoltek Cos Inc Com Sold 67 Shs 01/06/09 To Investment Technology Group Inc @ 9.1476	01/09/09	610.20	-2,260.11
Sold 431 Shs 01/08/09 To J P Morgan Securities Inc @ 7.6436	01/13/09	3,277.13	-9,422.83
Sold 678 Shs 01/08/09 To Liquidnet Inc @ 7.618	01/13/09	5,137.85	-11,342.82
Sold 652 Shs 01/09/09 To J P Morgan Securities Inc @ 7.6095	01/14/09	4,935.28	-10,207.36
Zoll Med Corp Com Sold 92 Shs 01/06/09 To Investment Technology Group Inc @ 19.0697	01/09/09	1,750.72	-510.50
Sold 469 Shs 05/20/09 To Investment Technology Group Inc @ 16.8769	05/26/09	7,896.30	-3,631.02
Sold 51 Shs 05/20/09 To Liquidnet Inc @ 16.8698	05/26/09	858.29	-395.21
Sold 374 Shs 05/21/09 To Investment Technology Group Inc @ 16.4555	05/27/09	6,139.24	-3,053.12
Sold 362 Shs 05/21/09 To Liquidnet Inc @ 16.3709	05/27/09	5,911.63	-2,985.79
Sold 468 Shs 05/22/09 To Investment Technology Group Inc @ 16.5098	05/28/09	7,707.67	-3,651.36
Sold 875 Shs 05/26/09 To Investment Technology Group Inc @ 16.7006	05/29/09	14,577.65	-4,219.04
Sold 686 Shs 05/27/09 To Investment Technology Group Inc @ 16.684	06/01/09	11,417.48	1,098.73
Sold 442 Shs 05/28/09 To Investment Technology Group Inc @ 16.6799	06/02/09	7,354.65	711.04
Marshall Prime Money Market Cl Y Sales (65) 01/01/09 To 09/28/09	09/28/09	2,826,913.13	
<b>Total Sales</b>		<b>\$ 5,544,729.74</b>	<b>\$ -1,010,070.15</b>

**WESTLAKE HEALTH FDN CUST - STRALEM**Account Number:  
Statement Period:71-N279-04-8  
01/01/09 - 12/31/09**Purchase Activity**

	Date	Income Cash	Principal Cash
United Technologies Corp Com Purchased 2900 Shs 03/05/09 From Pershing Advisor Solution LLC @ 38.1548	03/10/09		-110,692.42
Marshall Prime Money Market Cl Y Purchases (81) 01/01/09 To 12/31/09	12/31/09		-5,367,036.72
<b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -6,606,975.82</b>

**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Abbott Laboratories Com Sold 4500 Shs 12/30/08 To Investment Technology Group Inc @ 52.9059	01/05/09	238,007.71	54,168.34
Avon Prods Inc Com Sold 8000 Shs 12/22/09 To Investment Technology Group Inc @ 31.8213	12/28/09	254,443.85	-52,917.75
Caterpillar Inc Com Sold 2500 Shs 12/30/08 To Investment Technology Group Inc @ 42.7016	01/05/09	106,715.90	-69,111.10
Sold 3100 Shs 12/22/09 To Investment Technology Group Inc @ 57.8647	12/28/09	179,329.45	-38,696.03
Chevron Corp New Com Sold 1300 Shs 12/30/08 To Investment Technology Group Inc @ 71.9585	01/05/09	93,526.02	15,147.33
Sold 1100 Shs 12/22/09 To Investment Technology Group Inc @ 77.94	12/28/09	85,715.29	12,001.87
Cisco Sys Inc Com Sold 6300 Shs 12/22/09 To Investment Technology Group Inc @ 23.7444	12/28/09	149,491.37	33,858.02
Consolidated Edison Inc Com Sold 2700 Shs 12/22/09 To Investment Technology Group Inc @ 45.8684	12/28/09	123,800.99	-1,772.50

**WESTLAKE HEALTH FDN CUST - STRALEM**Account Number:  
Statement Period:71-N279-04-8  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Danaher Corp Com Sold 2700 Shs 12/22/09 To Investment Technology Group Inc @ 75.9377	12/28/09	204,986.02	1,067.44
Devon Energy Corp New Com Sold 700 Shs 12/22/09 To Investment Technology Group Inc @ 71.107	12/28/09	49,763.12	4,016.23
Dow Chem Co Com Sold 17900 Shs 02/02/09 To Investment Technology Group Inc @ 11.1698	02/05/09	199,669.80	-493,509.49
Duke Energy Hldg Corp Com Sold 5000 Shs 12/22/09 To Investment Technology Group Inc @ 17.301	12/28/09	86,427.77	-8,359.23
Emerson Elec Co Com Sold 1300 Shs 12/30/08 To Investment Technology Group Inc @ 35.0722	01/05/09	45,574.10	-5,398.90
Sold 3000 Shs 12/22/09 To Investment Technology Group Inc @ 42.6505	12/28/09	127,903.21	20,391.91
Hewlett Packard Co Com Sold 4800 Shs 12/22/09 To Investment Technology Group Inc @ 52.46	12/28/09	251,729.52	23,537.52
Intel Corp Com Sold 9500 Shs 12/22/09 To Investment Technology Group Inc @ 20.1812	12/28/09	191,573.97	-51,179.89
International Business Machs Corp Com Sold 2000 Shs 12/22/09 To Investment Technology Group Inc @ 129.574	12/28/09	259,111.33	30,936.73
Johnson & Johnson Com Sold 1000 Shs 12/22/09 To Investment Technology Group Inc @ 64.61	12/28/09	64,593.33	769.03

**WESTLAKE HEALTH FDN CUST - STRALEM**Account Number:  
Statement Period:71-N279-04-8  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Kroger Co Com Sold 4500 Shs 12/22/09 To Investment Technology Group Inc @ 20.501	12/28/09	92,184.62	-1,523.83
L-3 Communications Hldgs Inc Com Sold 1400 Shs 12/22/09 To Investment Technology Group Inc @ 85.408	12/28/09	119,547.12	12,212.48
Lilly Eli & Co Com Sold 500 Shs 12/30/08 To Investment Technology Group Inc @ 39.4559	01/05/09	19,720.33	-8,688.77
Loews Corp Com Sold 2100 Shs 12/22/09 To Investment Technology Group Inc @ 36.1515	12/28/09	75,884.69	7,517.23
McDonalds Corp Com Sold 3000 Shs 12/30/08 To Investment Technology Group Inc @ 60.8216	01/05/09	182,418.77	78,461.57
Sold 1600 Shs 12/22/09 To Investment Technology Group Inc @ 62.9624	12/28/09	100,713.25	45,269.41
Microsoft Corp Com Sold 4400 Shs 12/22/09 To Investment Technology Group Inc @ 30.86	12/28/09	135,714.51	15,858.51
Occidental Pete Corp Com Sold 1800 Shs 12/30/08 To Investment Technology Group Inc @ 56.7447	01/05/09	102,112.88	19,848.38
Sold 9800 Shs 09/17/09 To Pershing Advisor Solution LLC @ 79.0199	09/22/09	774,228.11	431,608.81
Parker Hannifin Corp Com Sold 2000 Shs 12/30/08 To Investment Technology Group Inc @ 40.9813	01/05/09	81,932.14	-56,386.26
Sold 3500 Shs 12/22/09 To Investment Technology Group Inc @ 54.6547	12/28/09	191,234.03	-50,823.17

**WESTLAKE HEALTH FDN CUST - STRALEM**Account Number:  
Statement Period:71-N279-04-8  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Pfizer Inc Com Sold 12000 Shs 12/22/09 To Investment Technology Group Inc @ 18.7051	12/28/09	224,275.43	-146,218.47
Procter & Gamble Co Com Sold 1000 Shs 12/22/09 To Investment Technology Group Inc @ 61.2147	12/28/09	61,198.12	1,603.82
Schlumberger LTD Com Sold 900 Shs 12/22/09 To Investment Technology Group Inc @ 63.8589	12/28/09	57,458.03	21,488.99
Southern Co Com Sold 3600 Shs 12/22/09 To Investment Technology Group Inc @ 33.5141	12/28/09	120,593.65	-5,071.19
3M Co Com Sold 900 Shs 12/22/09 To Investment Technology Group Inc @ 81.8182	12/28/09	73,620.98	35,765.81
United Technologies Corp Com Sold 2000 Shs 12/22/09 To Investment Technology Group Inc @ 69.612	12/28/09	139,190.42	49,123.62
XTO Energy Inc Com Sold 2400 Shs 12/30/08 To Investment Technology Group Inc @ 34.2823	01/05/09	82,241.05	-12,068.39
Sold 4800 Shs 12/22/09 To Investment Technology Group Inc @ 47.1286	12/28/09	226,139.46	37,520.58
Marshall Prime Money Market Cl Y Sales (17) 01/01/09 To 12/31/09	12/31/09	5,607,212.22	
<b>Total Sales</b>		<b>\$ 11,179,982.56</b>	<b>\$ -49,551.34</b>



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Purchase Activity**

	Date	Income Cash	Principal Cash
Marshall Prime Money Market CI Y Purchases (131) 01/01/09 To 12/31/09	12/31/09		-2,360,844.30
<b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -7,000,054.36</b>

**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Golar Lng LTD Bermuda Shs Sold 300 Shs 09/01/09 To Investment Technology Group Inc @ 9.5137	09/04/09	2,847.43	442.75
Sold 200 Shs 09/01/09 To Citation Group/Bcc Clrg @ 9 5935	09/04/09	1,914.65	313.23
Sold 200 Shs 09/02/09 To Citation Group/Bcc Clrg @ 9 1983	09/08/09	1,835.61	239.29
Sold 100 Shs 09/02/09 To Investment Technology Group Inc @ 9 28	09/08/09	925.77	127.61
Sold 100 Shs 09/02/09 To Liquidnet Inc @ 9.2004	09/08/09	917.01	118.85
Sold 200 Shs 09/03/09 To Citation Group/Bcc Clrg @ 8 9994	09/09/09	1,795.83	199.51
Sold 100 Shs 09/04/09 To Citation Group/Bcc Clrg @ 9.0368	09/10/09	901.65	103.49
Sold 400 Shs 09/04/09 To Investment Technology Group Inc @ 8.9708	09/10/09	3,579.42	518.87
Sold 400 Shs 09/08/09 To BNY Convergenx @ 9.1479	09/11/09	3,643.06	650.16
Sold 61 Shs 09/08/09 To Investment Technology Group Inc @ 9.1633	09/11/09	557.60	104.00
Sold 200 Shs 11/11/09 To Citation Group/Bcc Clrg @ 12.8234	11/16/09	2,560.61	1,073.41
Sold 500 Shs 11/12/09 To SBC Warburg Dillon Reed Inc. @ 12.6022	11/17/09	6,275.93	2,591.14
Sold 800 Shs 11/12/09 To Liquidnet Inc @ 12 5294	11/17/09	9,999.26	4,138.38

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 500 Shs 11/13/09 To Investment Technology Group Inc @ 12.5315	11/18/09	6,254.58	2,600.65
Sold 900 Shs 11/13/09 To Citation Group/Bcc Clrg @ 12.5691	11/18/09	11,293.89	5,027.21
Sold 2100 Shs 11/13/09 To Isi Group Inc @ 12.6358	11/18/09	26,429.49	13,486.74
Sold 599 Shs 11/16/09 To Liquidnet Inc @ 13.327	11/19/09	7,964.69	4,333.55
Sold 1300 Shs 11/16/09 To SBC Warburg Dillon Reed Inc. @ 13.2423	11/19/09	17,149.54	9,339.92
Steiner Leisure LTD Com Sold 150 Shs 11/24/09 To Investment Technology Group Inc @ 41.0163	11/30/09	6,148.99	249.65
Sold 100 Shs 11/24/09 To Citation Group/Bcc Clrg @ 41.1681	11/30/09	4,114.70	181.81
Sold 230 Shs 11/27/09 To Investment Technology Group Inc @ 40.592	12/02/09	9,330.86	285.22
Aceto Corp Com Sold 400 Shs 05/12/09 To Liquidnet Inc @ 5.8657	05/15/09	2,334.21	-1,345.88
Sold 400 Shs 05/12/09 To Weeden & Co @ 5.9051	05/15/09	2,351.97	-1,316.11
Sold 400 Shs 05/12/09 To Citation Group/Bcc Clrg @ 5.8162	05/15/09	2,318.42	-1,339.02
Sold 600 Shs 05/12/09 To Investment Technology Group Inc @ 5.6799	05/15/09	3,394.65	-2,085.02
Sold 240 Shs 05/13/09 To Citation Group/Bcc Clrg @ 5.7351	05/18/09	1,371.58	-812.42
Sold 300 Shs 05/14/09 To Liquidnet Inc @ 5.765	05/19/09	1,720.45	-1,009.55
Sold 500 Shs 05/14/09 To Citation Group/Bcc Clrg @ 5.7502	05/19/09	2,865.02	-1,683.11
Sold 100 Shs 05/15/09 To Investment Technology Group Inc @ 5.6709	05/20/09	564.87	-342.66

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 800 Shs 05/15/09 To Citation Group/Bcc Clrg @ 5.7443	05/20/09	4,579.32	-2,585.28
Sold 200 Shs 05/15/09 To Liquidnet Inc @ 5.727	05/20/09	1,139.37	-644.21
Sold 100 Shs 05/18/09 To Liquidnet Inc @ 5.6814	05/21/09	565.12	-326.58
Sold 200 Shs 05/18/09 To Citation Group/Bcc Clrg @ 5.671	05/21/09	1,130.17	-653.23
Sold 100 Shs 05/19/09 To Citation Group/Bcc Clrg @ 5.5922	05/22/09	557.20	-334.50
Sold 400 Shs 05/19/09 To Investment Technology Group Inc @ 5.4825	05/22/09	2,184.14	-1,382.66
Sold 500 Shs 05/20/09 To Investment Technology Group Inc @ 5.6312	05/26/09	2,804.52	-1,653.64
Sold 800 Shs 05/20/09 To Citation Group/Bcc Clrg @ 5.4882	05/26/09	4,374.44	-2,755.32
Sold 400 Shs 05/21/09 To Weedon & Co @ 5.3678	05/27/09	2,137.06	-1,424.94
Sold 1000 Shs 05/21/09 To Citation Group/Bcc Clrg @ 5.3367	05/27/09	5,316.56	-3,587.69
Sold 200 Shs 05/21/09 To Liquidnet Inc @ 5.3348	05/27/09	1,060.93	-718.81
Sold 200 Shs 05/22/09 To Citation Group/Bcc Clrg @ 5.3522	05/28/09	1,066.41	-712.39
Sold 500 Shs 05/22/09 To Liquidnet Inc @ 5.298	05/28/09	2,633.93	-1,808.48
Sold 700 Shs 05/26/09 To SBC Warburg Dillon Reed Inc. @ 5.57	05/29/09	3,870.89	-2,341.47
Sold 500 Shs 05/26/09 To Liquidnet Inc @ 5.5563	05/29/09	2,763.07	-1,672.22
Sold 200 Shs 05/27/09 To Citation Group/Bcc Clrg @ 5.5125	06/01/09	1,098.47	-675.15
Sold 2400 Shs 05/27/09 To SBC Warburg Dillon Reed Inc. @ 5.5788	06/01/09	13,292.77	-7,747.32

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1650 Shs 05/28/09 To Liquidnet Inc @ 5 6082	06/02/09	9,203 79	-4,948.58
Sold 1600 Shs 05/28/09 To Isi Group Inc @ 5 6103	06/02/09	8,912 24	-2,474.75
Sold 2400 Shs 05/29/09 To SBC Warburg Dillon Reed Inc. @ 5 9293	06/03/09	14,133 95	-2,252 04
Sold 1500 Shs 05/29/09 To Isi Group Inc @ 5 9672	06/03/09	8,890.56	-1,819 83
Sold 205 Shs 06/01/09 To Citation Group/Bcc Clrg @ 6 4446	06/04/09	1,317 00	-662.23
Advanced Energy Inds Inc Com Sold 2400 Shs 12/08/09 To SBC Warburg Dillon Reed Inc @ 12 5471	12/11/09	29,992 26	7,557 54
Sold 1000 Shs 12/09/09 To SBC Warburg Dillon Reed Inc. @ 12.4352	12/14/09	12,384 88	3,094.13
Sold 1100 Shs 12/09/09 To Goldman Sachs & Co @ 12.496	12/14/09	13,690 24	3,476.19
Sold 1600 Shs 12/10/09 To Goldman Sachs & Co/Courtesy ACC @ 12.5218	12/15/09	19,954 36	5,127.71
Sold 800 Shs 12/11/09 To Goldman Sachs & Co/Courtesy ACC @ 12.4515	12/16/09	9,920 94	2,918.78
American Physicians Svc Group Inc Com Sold 100 Shs 12/29/08 To Investment Technology Group Inc @ 21.8204	01/02/09	2,179.02	352.31
Sold 100 Shs 12/30/08 To Investment Technology Group Inc @ 21.3313	01/05/09	2,130.11	303.40
Sold 200 Shs 01/02/09 To Citation Group/Bcc Clrg @ 21.6842	01/07/09	4,332.81	679.39
Sold 320 Shs 01/06/09 To Citation Group/Bcc Clrg @ 20.8932	01/09/09	6,679.38	856 25
Sold 90 Shs 05/06/09 To Citation Group/Bcc Clrg @ 21 5849	05/11/09	1,940.79	320 34
Sold 100 Shs 05/11/09 To Citation Group/Bcc Clrg @ 21.0575	05/14/09	2,103 69	303.36

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 05/12/09 To Citation Group/Bcc Clrg @ 21	05/15/09	2,097.94	297.95
Sold 200 Shs 05/12/09 To Investment Technology Group Inc @ 21.02	05/15/09	4,199.49	604.01
Sold 100 Shs 05/14/09 To Citation Group/Bcc Clrg @ 21 1008	05/19/09	2,108.02	310.52
Sold 100 Shs 05/15/09 To Investment Technology Group Inc @ 21 2202	05/20/09	2,119.76	322.26
Sold 100 Shs 05/15/09 To Citation Group/Bcc Clrg @ 21.246	05/20/09	2,122.54	325.04
Sold 200 Shs 05/15/09 To Sterne Agee & Leach Inc @ 21 1584	05/20/09	4,221.57	626.57
Sold 280 Shs 05/20/09 To Investment Technology Group Inc @ 21 6985	05/26/09	6,069.26	1,050.04
Sold 100 Shs 05/28/09 To Investment Technology Group Inc @ 21.27	06/02/09	2,124.74	342.75
Sold 100 Shs 06/02/09 To Investment Technology Group Inc @ 21 0838	06/05/09	2,106.12	330.09
Sold 200 Shs 06/03/09 To Investment Technology Group Inc @ 21.1638	06/08/09	4,228.25	680.63
Sold 300 Shs 06/04/09 To BNY Convergenx @ 21.1048	06/09/09	6,316.27	1,005.22
Sold 200 Shs 06/08/09 To Investment Technology Group Inc @ 21.0985	06/11/09	4,215.19	692.82
Sold 60 Shs 06/11/09 To Investment Technology Group Inc @ 21.055	06/16/09	1,261.94	214.60
Sold 200 Shs 07/09/09 To Investment Technology Group Inc @ 23.0139	07/14/09	4,598.26	1,298.26
Sold 100 Shs 07/09/09 To Liquidnet Inc @ 22.9999	07/14/09	2,296.93	646.93
Sold 100 Shs 07/13/09 To Investment Technology Group Inc @ 22.194	07/16/09	2,217.14	567.14
Sold 200 Shs 07/14/09 To Investment Technology Group Inc @ 22.0048	07/17/09	4,396.44	1,096.44



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 07/16/09 To Investment Technology Group Inc @ 22.323	07/21/09	4,460.08	1,160.08
Sold 100 Shs 07/17/09 To Investment Technology Group Inc @ 22.4686	07/22/09	2,244.60	594.60
Sold 60 Shs 07/21/09 To Investment Technology Group Inc @ 22.4199	07/24/09	1,343.83	353.83
Asiainfo Hldgs Inc Com Sold 1050 Shs 02/13/09 To Kaufman Brothers @ 12.693	02/19/09	13,275.07	-1,581.50
Sold 890 Shs 03/17/09 To Pacific Crest Securities @ 14.8813	03/20/09	13,199.78	807.34
Sold 1050 Shs 04/22/09 To Needham & Co @ 17.9307	04/27/09	18,774.25	4,357.54
Sold 1570 Shs 05/22/09 To American Technology Research In @ 20.0267	05/28/09	31,362.61	10,618.07
Sold 730 Shs 06/02/09 To Pacific Crest Securities @ 21.1057	06/05/09	15,370.26	5,833.58
Sold 740 Shs 10/14/09 To Capstone @ 22.9606	10/19/09	16,953.40	7,404.07
Sold 580 Shs 12/07/09 To Capstone @ 29.6389	12/10/09	17,161.11	9,676.50
Sold 1160 Shs 12/08/09 To Pacific Crest Securities @ 30.5611	12/11/09	35,391.96	20,947.16
Atlantic Tele Network Inc Com New Sold 100 Shs 01/26/09 To Investment Technology Group Inc @ 23.9023	01/29/09	2,388.01	-912.49
Sold 700 Shs 01/26/09 To Citation Group/Bcc Clrg @ 23.4655	01/29/09	16,411.75	-6,673.86
Sold 100 Shs 01/27/09 To Citation Group/Bcc Clrg @ 23.1559	01/30/09	2,313.57	-976.41
Sold 100 Shs 01/27/09 To Liquidnet Inc @ 23.1862	01/30/09	2,315.60	-973.68
Sold 100 Shs 01/28/09 To Liquidnet Inc @ 23.1961	02/02/09	2,316.59	-972.69

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 02/03/09 To Citation Group/Bcc Clrg @ 23.0558	02/06/09	2,303.56	-982.81
Sold 140 Shs 02/04/09 To Liquidnet Inc @ 23.22	02/09/09	3,246.58	-1,345.06
Sold 20 Shs 02/04/09 To Citation Group/Bcc Clrg @ 23.3323	02/09/09	466.24	-186.40
Sold 400 Shs 03/09/09 To Investment Technology Group Inc @ 14.7284	03/12/09	5,882.52	-6,767.23
Sold 200 Shs 03/09/09 To Citation Group/Bcc Clrg @ 14.5323	03/12/09	2,902.44	-3,518.16
Sold 690 Shs 03/10/09 To Investment Technology Group Inc @ 14.9938	03/13/09	10,330.48	-10,493.48
Sold 600 Shs 03/10/09 To Citation Group/Bcc Clrg @ 14.9588	03/13/09	8,963.22	-5,764.99
Sold 500 Shs 03/10/09 To Liquidnet Inc @ 14.6892	03/13/09	7,329.55	-3,708.45
Baker Michael Corp Com Sold 1000 Shs 11/17/09 To Cjs Securities Inc @ 40.691	11/20/09	40,639.95	7,639.92
Sold 100 Shs 11/18/09 To Citation Group/Bcc Clrg @ 38.4029	11/23/09	3,838.19	660.89
Sold 800 Shs 11/19/09 To Liquidnet Inc @ 37.6863	11/24/09	30,124.26	5,757.58
Sold 510 Shs 11/20/09 To Liquidnet Inc @ 37.3366	11/25/09	19,025.88	4,134.44
Balchem Corp Com Sold 200 Shs 12/30/08 To Investment Technology Group Inc @ 24.5095	01/05/09	4,895.87	3,529.94
Sold 200 Shs 12/30/08 To Liquidnet Inc @ 24.516	01/05/09	4,897.17	3,531.24
Sold 400 Shs 02/06/09 To Citation Group/Bcc Clrg @ 23.2488	02/11/09	9,291.46	6,559.61
Sold 110 Shs 02/06/09 To Investment Technology Group Inc @ 23.2829	02/11/09	2,558.68	1,807.42

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	<b>Date</b>	<b>Proceeds</b>	<b>Realized Gain/Loss</b>
Sold 300 Shs 02/13/09 To Citation Group/Bcc Clrg @ 22.171	02/19/09	6,645.26	4,596.37
Sold 100 Shs 02/13/09 To Liquidnet Inc @ 22.3978	02/19/09	2,236.76	1,553.80
Sold 50 Shs 02/18/09 To Citation Group/Bcc Clrg @ 21.2492	02/23/09	1,061.45	719.97
Sold 100 Shs 05/14/09 To Liquidnet Inc @ 23.9048	05/19/09	2,387.41	1,704.44
Sold 200 Shs 05/14/09 To Citation Group/Bcc Clrg @ 23.8707	05/19/09	4,770.01	3,404.08
Sold 300 Shs 05/15/09 To SBC Warburg Dillon Reed Inc. @ 23.5496	05/20/09	7,049.69	5,023.38
Sold 100 Shs 05/18/09 To Liquidnet Inc @ 23.8837	05/21/09	2,385.30	1,712.26
Sold 200 Shs 05/18/09 To SBC Warburg Dillon Reed Inc. @ 23.8294	05/21/09	4,755.75	3,420.38
Sold 400 Shs 05/19/09 To SBC Warburg Dillon Reed Inc. @ 24.1261	05/22/09	9,630.19	6,961.30
Sold 20 Shs 05/20/09 To Citation Group/Bcc Clrg @ 24.2586	05/26/09	484.75	351.33
Sold 200 Shs 06/03/09 To Investment Technology Group Inc @ 25.0443	06/08/09	5,004.33	3,722.71
Sold 400 Shs 06/03/09 To Liquidnet Inc @ 25.0176	06/08/09	9,994.78	7,482.19
Sold 100 Shs 06/04/09 To Investment Technology Group Inc @ 25.129	06/09/09	2,510.63	1,882.48
Sold 600 Shs 06/04/09 To Liquidnet Inc @ 25.1067	06/09/09	15,045.63	11,276.74
Sold 90 Shs 06/05/09 To Liquidnet Inc @ 25.3126	06/10/09	2,275.37	1,710.04
Sold 180 Shs 06/05/09 To Citation Group/Bcc Clrg @ 25.315	06/10/09	4,552.98	3,422.31
Sold 100 Shs 06/08/09 To Investment Technology Group Inc @ 24.2618	06/11/09	2,423.91	1,795.76



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 06/09/09 To Investment Technology Group Inc @ 24.3067	06/12/09	4,856.81	3,600 51
Sold 610 Shs 06/09/09 To Liquidnet Inc @ 24.2605	06/12/09	14,780 22	2,439.26
Bel Fuse Inc Cl B Com Sold 100 Shs 05/21/09 To Investment Technology Group Inc @ 13.5802	05/27/09	1,355.78	-2,309.65
Sold 100 Shs 05/21/09 To Citation Group/Bcc Clrg @ 13.642	05/27/09	1,362 16	-2,300 34
Sold 100 Shs 05/22/09 To Liquidnet Inc @ 13.5244	05/28/09	1,349.40	-2,313.10
Sold 400 Shs 05/26/09 To Citation Group/Bcc Clrg @ 13 1738	05/29/09	5,261.38	-9,337 91
Sold 500 Shs 05/26/09 To SBC Warburg Dillon Reed Inc. @ 13.237	05/29/09	6,593.32	-11,295 93
Sold 800 Shs 05/26/09 To BNY Convergenx @ 13 1949	05/29/09	10,515.64	-17,224 31
Sold 300 Shs 05/27/09 To BNY Convergenx @ 13.5319	06/01/09	4,044 46	-5,908.44
Sold 500 Shs 05/27/09 To Stephens Inc @ 13.5314	06/01/09	6,740 52	-9,097 62
Bryn Mawr Bk Corp Com Sold 200 Shs 12/31/08 To Investment Technology Group Inc @ 20.0341	01/06/09	4,000.79	111.47
Sold 200 Shs 12/31/08 To Citation Group/Bcc Clrg @ 20.0854	01/06/09	4,013.05	203.27
Sold 100 Shs 01/02/09 To Citation Group/Bcc Clrg @ 20.3982	01/07/09	2,037.80	157.56
Sold 100 Shs 01/05/09 To Investment Technology Group Inc @ 20.5131	01/08/09	2,048.29	173.37
Sold 100 Shs 01/06/09 To Investment Technology Group Inc @ 20.6592	01/09/09	2,062.90	184.96
Sold 190 Shs 01/08/09 To Investment Technology Group Inc @ 20.466	01/13/09	3,884.71	-40 63

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
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Cra Intl Inc Com Sold 190 Shs 06/08/09 To Citation Group/Bcc Clrg @ 28.1892	06/11/09	5,352.01	54 40
Sold 240 Shs 06/09/09 To Liquidnet Inc @ 28.6043	06/12/09	6,857.65	176 58

Camden Natl Corp Com Sold 100 Shs 06/04/09 To Citation Group/Bcc Clrg @ 35.2949	06/09/09	3,527.39	1,001 76
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Carrizo Oil & Gas Inc Com Sold 760 Shs 08/12/09 To Simmons & Company Intl @ 21.5304	08/17/09	16,324 67	818.83
Sold 1640 Shs 12/15/09 To BNY Convergenx @ 26.3841	12/18/09	43,186 80	11,484.48

Cato Corp New Cl A Sold 430 Shs 01/07/09 To Kevin Dann Partners LLC @ 14.5935	01/12/09	6,253 67	-2,475.13
Sold 330 Shs 07/01/09 To Sidoli & Company, LLC @ 18.0448	07/07/09	5,938.12	-65 24
Sold 650 Shs 11/24/09 To BNY Convergenx @ 20 2695	11/30/09	13,142.34	1,408 10

Central VT Pub Svc Corp Com Sold 500 Shs 05/18/09 To BNY Convergenx @ 16 2863	05/21/09	8,117.94	-4,104.01
Sold 400 Shs 05/19/09 To Morgan Stanley & Co Inc/BNY @ 16.218	05/22/09	6,467.03	-3,020.90
Sold 500 Shs 05/18/09 To Morgan Stanley & Co @ 16.3104	05/22/09	8,129.99	-3,571 99
Sold 300 Shs 05/20/09 To Citation Group/Bcc Clrg @ 16.1156	05/26/09	4,828.55	-2,114.82
Sold 100 Shs 05/21/09 To Citation Group/Bcc Clrg @ 16.0018	05/27/09	1,598.13	-714.37
Sold 250 Shs 05/22/09 To Citation Group/Bcc Clrg @ 16 0266	05/28/09	4,001.54	-1,763 24

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 400 Shs 05/26/09 To Citation Group/Bcc Clrg @ 16.0482	05/29/09	6,411.11	-2,776.33
Sold 100 Shs 05/26/09 To Liquidnet Inc @ 16.1131	05/29/09	1,608.26	-686.47
Sold 200 Shs 05/27/09 To Citation Group/Bcc Clrg @ 16.0555	06/01/09	3,207.01	-1,330.28
Sold 1000 Shs 05/27/09 To Donaldson Lufkin & Jenrette @ 16.026	06/01/09	15,975.58	-5,877.98
Sold 250 Shs 05/28/09 To Citation Group/Bcc Clrg @ 16.0329	06/02/09	4,003.12	-1,162.83
Sold 300 Shs 05/28/09 To Donaldson Lufkin & Jenrette @ 16.0126	06/02/09	4,788.65	-1,367.77
Sold 400 Shs 05/29/09 To Citation Group/Bcc Clrg @ 16.091	06/03/09	6,428.23	-1,517.22
Sold 200 Shs 05/29/09 To Investment Technology Group Inc @ 16.1934	06/03/09	3,234.19	-710.63
Sold 100 Shs 06/01/09 To Citation Group/Bcc Clrg @ 16.3382	06/04/09	1,631.77	-340.64
Chase Corp Com Sold 100 Shs 12/31/08 To Wave Securities @ 11.3909	01/06/09	1,138.08	-1,276.68
Sold 100 Shs 01/02/09 To Wave Securities @ 11.3541	01/07/09	1,134.40	-1,383.22
Sold 200 Shs 05/21/09 To Liquidnet Inc @ 11.912	05/27/09	2,376.33	-2,709.31
Sold 100 Shs 05/21/09 To Investment Technology Group Inc @ 11.9571	05/27/09	1,193.47	-1,349.35
Sold 100 Shs 05/21/09 To Citation Group/Bcc Clrg @ 11.9001	05/27/09	1,187.97	-1,354.85
Sold 100 Shs 05/22/09 To Citation Group/Bcc Clrg @ 11.9508	05/28/09	1,193.04	-1,328.03
Sold 100 Shs 05/26/09 To Investment Technology Group Inc @ 12.3021	05/29/09	1,227.97	-1,287.21

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Cheesecake Factory Inc Com			
Sold 200 Shs 03/19/09	03/24/09	2,291.84	582.36
To Pulse Trading LLC			
@ 11.5093			
Sold 1100 Shs 03/23/09	03/26/09	12,839.45	3,437.31
To Pulse Trading LLC			
@ 11.7223			
Sold 960 Shs 04/24/09	04/29/09	17,174.81	8,976.90
To Oppenheimer & Co Inc			
@ 17.9409			
Sold 470 Shs 06/19/09	06/24/09	8,174.54	4,170.99
To Pulse Trading LLC			
@ 17.4431			
Sold 280 Shs 07/01/09	07/07/09	4,939.07	2,553.97
To SBC Warburg Dillon Reed Inc			
@ 17.69			
Sold 650 Shs 07/01/09	07/07/09	11,405.71	5,948.34
To Island Trader Securities Inc.			
@ 17.5977			
Sold 300 Shs 09/08/09	09/11/09	5,669.67	3,172.35
To Johnson Rice & Co			
@ 18.9494			
Sold 290 Shs 09/09/09	09/14/09	5,514.78	3,100.70
To Johnson Rice & Co			
@ 19.067			
Sold 150 Shs 09/10/09	09/15/09	2,917.44	1,668.78
To Johnson Rice & Co			
@ 19.5001			
Sold 190 Shs 09/16/09	09/21/09	3,730.82	2,149.18
To Johnson Rice & Co			
@ 19.6864			
Chesapeake Utilities Corp Com			
Sold 100 Shs 11/18/09	11/23/09	3,108.45	-239.76
To Liquidnet Inc			
@ 31.1153			
Sold 200 Shs 11/19/09	11/24/09	6,213.60	-348.60
To Investment Technology Group Inc			
@ 31.0908			
Sold 300 Shs 11/30/09	12/03/09	9,263.04	-120.61
To Investment Technology Group Inc			
@ 30.8996			
Sold 200 Shs 12/02/09	12/07/09	6,398.13	175.13
To SBC Warburg Dillon Reed Inc.			
@ 32.0415			
Sold 300 Shs 12/08/09	12/11/09	9,629.87	347.20
To SBC Warburg Dillon Reed Inc.			
@ 32.1504			
Sold 200 Shs 12/23/09	12/29/09	6,363.89	206.37
To Investment Technology Group Inc			
@ 31.8423			

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Chicopee Bancorp Inc Com Sold 200 Shs 12/29/08 To Investment Technology Group Inc @ 11.4605	01/02/09	2,288.08	-847.92
Cogo Group Inc Com Sold 300 Shs 11/24/09 To Liquidnet Inc @ 5.9757	11/30/09	1,783.66	-735.95
Sold 400 Shs 11/24/09 To Citation Group/Bcc Clrg @ 5.9683	11/30/09	2,379.25	-980.23
Sold 100 Shs 11/25/09 To Investment Technology Group Inc @ 5.9535	12/01/09	593.13	-246.74
Sold 200 Shs 11/25/09 To Citation Group/Bcc Clrg @ 5.9003	12/01/09	1,176.02	-503.72
Sold 200 Shs 11/27/09 To Investment Technology Group Inc @ 5.7653	12/02/09	1,148.63	-531.11
Sold 200 Shs 11/27/09 To Liquidnet Inc @ 5.7489	12/02/09	1,143.75	-535.99
Sold 800 Shs 11/30/09 To SBC Warburg Dillon Reed Inc. @ 5.6936	12/03/09	4,522.76	-2,196.20
Sold 200 Shs 11/30/09 To Investment Technology Group Inc @ 5.713	12/03/09	1,138.17	-541.57
Sold 100 Shs 11/30/09 To Liquidnet Inc @ 5.7062	12/03/09	567.60	-272.27
Sold 1000 Shs 12/01/09 To Investment Technology Group Inc @ 5.9219	12/04/09	5,899.74	-2,498.96
Sold 1700 Shs 12/01/09 To SBC Warburg Dillon Reed Inc. @ 5.9249	12/04/09	10,004.07	-4,084.00
Sold 1110 Shs 12/02/09 To SBC Warburg Dillon Reed Inc. @ 6.2625	12/07/09	6,906.80	-2,017.07
Sold 2200 Shs 12/02/09 To Liquidnet Inc @ 6.169	12/07/09	13,505.45	-2,797.52
Columbus McKinnon Corp Sold 600 Shs 12/03/09 To Cjs Securities Inc @ 15.7022	12/08/09	9,391.07	1,264.90



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 360 Shs 12/04/09 To Weeden & Co @ 15 724	12/09/09	5,642.49	886.62
Conmed Corp Com Sold 700 Shs 04/22/09 To Liquidnet Inc @ 15 4718	04/27/09	10,808.98	-6,516.08
Sold 300 Shs 04/22/09 To Investment Technology Group Inc @ 15.5339	04/27/09	4,653.45	-2,769.39
Sold 300 Shs 04/24/09 To Investment Technology Group Inc @ 12 9855	04/29/09	3,888.94	-3,533.90
Sold 300 Shs 04/24/09 To Liquidnet Inc @ 12.7357	04/29/09	3,811.61	-3,611.23
Sold 900 Shs 04/27/09 To Investment Technology Group Inc @ 12 3001	04/30/09	11,050.00	-11,216.80
Sold 1000 Shs 04/27/09 To Liquidnet Inc @ 12.2409	04/30/09	12,210.58	-11,908.18
Sold 1900 Shs 04/28/09 To BNY Convergenx @ 12.8526	05/01/09	24,324.31	-9,176.87
Sold 250 Shs 04/28/09 To Investment Technology Group Inc @ 12.48	05/01/09	3,114.41	-1,072.07
D & E Communications Inc Com Sold 100 Shs 01/16/09 To Liquidnet Inc @ 7.5255	01/22/09	749.54	-889.11
Sold 100 Shs 01/20/09 To Citation Group/Bcc Clrg @ 7 3899	01/23/09	736.98	-885.49
Sold 100 Shs 01/21/09 To Liquidnet Inc @ 7.2891	01/26/09	725.90	-888.32
Sold 500 Shs 01/26/09 To Liquidnet Inc @ 7.0243	01/29/09	3,497.13	-4,511.22
Sold 400 Shs 01/28/09 To Liquidnet Inc @ 7.1513	02/02/09	2,848.50	-3,532.00
Sold 300 Shs 01/30/09 To Investment Technology Group Inc @ 7 0144	02/04/09	2,097.70	-2,631.92
Sold 200 Shs 03/05/09 To Liquidnet Inc @ 6 2129	03/10/09	1,236.57	-1,881.18

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 03/05/09 To Citation Group/Bcc Clrg @ 6.2517	03/10/09	623.16	-926.30
Sold 100 Shs 03/05/09 To Investment Technology Group Inc @ 6.1697	03/10/09	614.76	-917.34
Sold 100 Shs 03/06/09 To Investment Technology Group Inc @ 6.2241	03/11/09	620.20	-901.32
Sold 500 Shs 03/09/09 To Citation Group/Bcc Clrg @ 5.5652	03/12/09	2,772.58	-4,729.20
Sold 200 Shs 03/10/09 To Citation Group/Bcc Clrg @ 5.4469	03/13/09	1,085.37	-1,842.70
Sold 100 Shs 03/11/09 To Investment Technology Group Inc @ 5.2476	03/16/09	522.55	-940.62
Sold 100 Shs 05/12/09 To Investment Technology Group Inc @ 10.2537	05/15/09	1,023.14	-440.03
Sold 500 Shs 05/19/09 To Investment Technology Group Inc @ 10.2805	05/22/09	5,129.11	-2,172.77
Sold 300 Shs 05/26/09 To Liquidnet Inc @ 10.1607	05/29/09	3,039.13	-1,300.63
Sold 3100 Shs 05/27/09 To Liquidnet Inc @ 10.0717	06/01/09	31,128.46	-13,013.82
Sold 900 Shs 05/28/09 To SBC Warburg Dillon Reed Inc. @ 10.1195	06/02/09	9,062.31	-3,568.98
Sold 800 Shs 05/28/09 To BNY Convergenx @ 10.1359	06/02/09	8,068.51	-3,147.24
Sold 200 Shs 05/29/09 To Liquidnet Inc @ 10.2	06/03/09	2,033.94	-759.23
Sold 1100 Shs 06/01/09 To Investment Technology Group Inc @ 10.1682	06/04/09	11,160.53	-4,040.68
Sold 600 Shs 06/01/09 To Liquidnet Inc @ 10.1677	06/04/09	6,082.46	-2,100.69
Sold 1000 Shs 06/02/09 To Liquidnet Inc @ 10.1835	06/05/09	10,153.23	-3,304.20
Sold 800 Shs 06/02/09 To Investment Technology Group Inc @ 10.1944	06/05/09	8,137.71	-2,306.47

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 06/03/09 To Investment Technology Group Inc @ 10.1346	06/08/09	1,011.23	-267 17
Sold 100 Shs 06/03/09 To Liquidnet Inc @ 10.1403	06/08/09	1,011.00	-267 40
Sold 700 Shs 06/04/09 To Investment Technology Group Inc @ 10.118	06/09/09	7,067.01	234 84
Sold 2200 Shs 06/04/09 To Liquidnet Inc @ 10.1125	06/09/09	22,180.92	4,609 85
Sold 2025 Shs 06/05/09 To Wave Securities @ 10.2154	06/10/09	20,645 15	9,007 66
Allied Ppty's Real Estate Inv't Tr Unit			
Sold 660 Shs 03/30/09 To RBC Dominion Secs Corp @ 9 34719697	04/02/09	6,136.11	-6,214 17
Sold 900 Shs 05/19/09 To RBC Cap Mkts (Dain Rauscher) @ 12 8313	05/26/09	11,503.17	-4,954 47
Sold 600 Shs 05/20/09 To RBC Dominion Secs Corp @ 12.8084	05/27/09	7,655 04	-1,872 78
Sold 1000 Shs 05/21/09 To RBC Dominion Secs Corp @ 12.858	05/27/09	12,808.00	-3,037 87
Sold 150 Shs 05/28/09 To RBC Dominion Secs Corp @ 13.204133333	06/02/09	1,973.12	-398 41
Donegal Group Inc Cl A			
Sold 200 Shs 12/29/08 To Investment Technology Group Inc @ 16.0553	01/02/09	3,205.04	499.04
Sold 100 Shs 12/30/08 To Investment Technology Group Inc @ 16.0609	01/05/09	1,603.08	250.08
Sold 100 Shs 12/30/08 To Liquidnet Inc @ 16.0805	01/05/09	1,605.04	252.04
Sold 100 Shs 12/31/08 To Citation Group/Bcc Clrg @ 16.5153	01/06/09	1,649.52	296.52
Sold 100 Shs 12/31/08 To Liquidnet Inc @ 16.2233	01/06/09	1,619.32	266.32
Sold 100 Shs 01/02/09 To Liquidnet Inc @ 16.8105	01/07/09	1,678.04	325.04



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 01/05/09 To Citation Group/Bcc Clrg @ 16.3685	01/08/09	3,269.68	564.10
Sold 100 Shs 01/06/09 To Citation Group/Bcc Clrg @ 16.5335	01/09/09	1,651.34	299.17
Sold 240 Shs 01/08/09 To Citation Group/Bcc Clrg @ 16.3096	01/13/09	3,909.47	666.17
Sold 100 Shs 03/03/09 To Investment Technology Group Inc @ 13.5863	03/06/09	1,356.42	5.86
Sold 200 Shs 03/04/09 To Liquidnet Inc @ 13.3371	03/09/09	2,661.40	-38.80
Sold 100 Shs 03/09/09 To Liquidnet Inc @ 12.86	03/12/09	1,282.99	-67.00
Sold 1000 Shs 03/09/09 To Investment Technology Group Inc @ 13.1363	03/12/09	13,114.22	-378.25
Sold 200 Shs 03/10/09 To Investment Technology Group Inc @ 13.2156	03/13/09	2,638.70	-59.04
Sold 500 Shs 03/10/09 To Liquidnet Inc @ 13.1803	03/13/09	6,575.11	-150.44
Sold 50 Shs 03/12/09 To Citation Group/Bcc Clrg @ 13.462	03/17/09	672.09	0.44
Sold 100 Shs 07/24/09 To Citation Group/Bcc Clrg @ 15.9	07/29/09	1,587.95	244.66
Sold 100 Shs 07/30/09 To Citation Group/Bcc Clrg @ 16.1188	08/04/09	1,609.83	270.38
Sold 100 Shs 07/31/09 To Citation Group/Bcc Clrg @ 15.9197	08/05/09	1,589.92	251.79
Sold 150 Shs 08/03/09 To Wave Securities @ 15.9024	08/06/09	2,382.29	376.65
Sold 120 Shs 08/04/09 To Citation Group/Bcc Clrg @ 15.9046	08/07/09	1,906.10	301.62
Sold 100 Shs 08/07/09 To Citation Group/Bcc Clrg @ 16	08/12/09	1,597.95	260.88
Sold 100 Shs 08/12/09 To Citation Group/Bcc Clrg @ 15.9405	08/17/09	1,592.00	-98.44

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 130 Shs 08/21/09 To Citation Group/Bcc Clrg @ 15.9321	08/26/09	2,068.51	-296.58
Sold 300 Shs 09/22/09 To SBC Warburg Dillon Reed Inc @ 15.9867	09/25/09	4,780.88	-677.02
Sold 300 Shs 11/24/09 To Investment Technology Group Inc @ 15.2234	11/30/09	4,560.30	-897.60
Sold 100 Shs 11/24/09 To Citation Group/Bcc Clrg @ 15.156	11/30/09	1,513.56	-305.74
Sold 200 Shs 11/25/09 To Liquidnet Inc @ 15.0103	12/01/09	2,995.98	-642.62
Sold 100 Shs 11/30/09 To Citation Group/Bcc Clrg @ 14.5643	12/03/09	1,454.39	-364.30
Sold 100 Shs 12/01/09 To Citation Group/Bcc Clrg @ 14.6187	12/04/09	1,459.83	-357.43
Sold 200 Shs 12/01/09 To Weeden & Co @ 14.6029	12/04/09	2,915.50	-719.02
Sold 100 Shs 12/01/09 To Investment Technology Group Inc @ 14.6229	12/04/09	1,460.05	-357.21
Sold 50 Shs 12/02/09 To Citation Group/Bcc Clrg @ 14.713	12/07/09	734.63	-174.00
Sold 300 Shs 12/02/09 To Weeden & Co @ 14.6851	12/07/09	4,397.91	-1,024.60
Sold 300 Shs 12/03/09 To Weeden & Co @ 14.6868	12/08/09	4,398.42	-1,014.18
Sold 100 Shs 12/03/09 To Citation Group/Bcc Clrg @ 14.7818	12/08/09	1,476.14	-326.16
Sold 600 Shs 12/04/09 To Sterne Agee & Leach Inc. @ 15.0097	12/09/09	8,975.58	-1,784.06
Sold 300 Shs 12/04/09 To Weeden & Co @ 15.0064	12/09/09	4,494.30	-855.04
Sold 100 Shs 12/07/09 To Citation Group/Bcc Clrg @ 14.7599	12/10/09	1,473.95	-301.37
Sold 100 Shs 12/07/09 To Liquidnet Inc @ 14.765	12/10/09	1,473.46	-301.04

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 12/08/09 To Weeden & Co @ 14.7522	12/11/09	1,472.68	-301.82
Sold 300 Shs 12/08/09 To Citation Group/Bcc Clrg @ 14.7263	12/11/09	4,411.77	-847.67
Sold 100 Shs 12/08/09 To Liquidnet Inc @ 14.715	12/11/09	1,468.46	-281.40
Sold 40 Shs 12/09/09 To Citation Group/Bcc Clrg @ 14.815	12/14/09	591.78	-108.16
Sold 150 Shs 12/09/09 To Weeden & Co @ 14.7765	12/14/09	2,212.67	-196.62
Sold 150 Shs 12/10/09 To Weeden & Co @ 14.7514	12/15/09	2,208.90	208.19
Sold 50 Shs 12/10/09 To Citation Group/Bcc Clrg @ 14.7857	12/15/09	738.27	72.74
Sold 100 Shs 12/11/09 To Citation Group/Bcc Clrg @ 14.7844	12/16/09	1,476.40	145.61
Sold 200 Shs 12/11/09 To Weeden & Co @ 14.7626	12/16/09	2,947.44	293.37
Sold 100 Shs 12/14/09 To Citation Group/Bcc Clrg @ 14.814	12/17/09	1,479.36	154.82
Sold 100 Shs 12/14/09 To Investment Technology Group Inc @ 14.8038	12/17/09	1,478.14	153.60
Sold 100 Shs 12/14/09 To Weeden & Co @ 14.824	12/17/09	1,479.86	155.32
Sold 150 Shs 12/15/09 To Weeden & Co @ 14.776	12/18/09	2,212.59	225.78
Sold 150 Shs 12/15/09 To Citation Group/Bcc Clrg @ 14.7637	12/18/09	2,211.50	224.69
Sold 100 Shs 12/16/09 To Weeden & Co @ 14.7	12/21/09	1,467.46	142.92
Sold 250 Shs 12/17/09 To Weeden & Co @ 14.7402	12/22/09	3,678.70	367.36
Sold 150 Shs 12/17/09 To Citation Group/Bcc Clrg @ 14.7236	12/22/09	2,205.48	218.68

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 12/21/09 To Citation Group/Bcc Clrg @ 15.0653	12/24/09	3,008.98	361.10
Sold 100 Shs 12/21/09 To Weeden & Co @ 15	12/24/09	1,497.46	191.20
Sold 200 Shs 12/22/09 To Weeden & Co @ 14.8263	12/28/09	2,960.18	397.69
Sold 150 Shs 12/22/09 To Citation Group/Bcc Clrg @ 14.8575	12/28/09	2,225.57	315.89
Double Eagle Pete Co Formerly Double Eagle Pete & Mng Co Com Par \$0.10			
Sold 100 Shs 01/07/09 To Citation Group/Bcc Clrg @ 10.446	01/12/09	1,042.59	-683.81
Sold 100 Shs 05/13/09 To Investment Technology Group Inc @ 4.4399	05/18/09	441.77	-1,271.09
Sold 200 Shs 05/14/09 To Liquidnet Inc @ 4.492	05/19/09	894.37	-2,514.02
Sold 800 Shs 05/14/09 To Citation Group/Bcc Clrg @ 4.5056	05/19/09	3,588.38	-9,992.49
Sold 1500 Shs 05/15/09 To Investment Technology Group Inc @ 4.3258	05/20/09	6,455.53	-18,862.09
Sold 600 Shs 05/15/09 To Citation Group/Bcc Clrg @ 4.3679	05/20/09	2,608.67	-7,345.15
Sold 100 Shs 05/15/09 To Liquidnet Inc @ 4.3934	05/20/09	437.32	-1,206.29
Sold 200 Shs 05/18/09 To Liquidnet Inc @ 4.5046	05/21/09	896.89	-2,378.45
Sold 500 Shs 05/18/09 To Investment Technology Group Inc @ 4.5062	05/21/09	2,242.04	-5,791.91
Sold 400 Shs 05/19/09 To Investment Technology Group Inc @ 4.4342	05/22/09	1,764.83	-4,581.81
Sold 300 Shs 05/20/09 To Investment Technology Group Inc @ 4.4513	05/26/09	1,328.75	-3,253.23
Sold 100 Shs 05/20/09 To Citation Group/Bcc Clrg @ 4.5007	05/26/09	448.05	-1,056.24

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 400 Shs 05/21/09 To Investment Technology Group Inc @ 4.3052	05/27/09	1,713.23	-4,265.80
Sold 200 Shs 05/22/09 To Investment Technology Group Inc @ 4.239	05/28/09	843.37	-1,891.47
Sold 300 Shs 05/26/09 To Investment Technology Group Inc @ 4.0767	05/29/09	1,216.37	-1,459.43
Sold 100 Shs 05/26/09 To Liquidnet Inc @ 4.0843	05/29/09	406.41	-396.09
Sold 200 Shs 05/27/09 To Liquidnet Inc @ 4.1166	06/01/09	819.29	-777.51
Sold 600 Shs 05/27/09 To Investment Technology Group Inc @ 4.0823	06/01/09	2,436.11	-2,203.29
Sold 1800 Shs 05/28/09 To Liquidnet Inc @ 4.0867	06/02/09	7,319.87	-4,962.74
Sold 400 Shs 05/28/09 To Investment Technology Group Inc @ 4.1129	06/02/09	1,636.31	-439.16
Sold 200 Shs 05/29/09 To Investment Technology Group Inc @ 4.3165	06/03/09	858.87	-169.21
Sold 1475 Shs 05/29/09 To Liquidnet Inc @ 4.2987	06/03/09	6,310.91	-1,174.71
Einstein Noah Restaurant Group Inc Com			
Sold 300 Shs 07/16/09 To Oppenheimer & Co Inc @ 10.7787	07/21/09	3,218.52	-234.27
Sold 500 Shs 09/08/09 To Oppenheimer & Co Inc @ 13.245	09/11/09	6,597.32	844.65
Sold 100 Shs 09/08/09 To Citation Group/Bcc Clrg @ 13.3626	09/11/09	1,334.22	206.16
Sold 420 Shs 09/09/09 To Oppenheimer & Co Inc @ 13.5169	09/14/09	5,655.95	1,190.12
Sold 210 Shs 09/10/09 To Oppenheimer & Co Inc @ 14.2569	09/15/09	2,983.37	936.96
Sold 450 Shs 09/16/09 To Oppenheimer & Co Inc @ 13.9063	09/21/09	6,235.17	1,850.01



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

## Sale Activity

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 09/17/09 To Oppenheimer & Co Inc @ 13.7558	09/22/09	1,370.54	396.06
Energys Com Sold 860 Shs 05/14/09 To King C. L. & Assoc Inc @ 15.7705	05/19/09	13,519.28	2,540.26
Sold 460 Shs 05/15/09 To King C. L. & Assoc Inc @ 15.8242	05/20/09	7,255.94	1,383.44
Sold 490 Shs 08/06/09 To Sidoti & Company, LLC @ 21.8268	08/11/09	10,670.35	4,521.09
Sold 280 Shs 12/03/09 To King C. L. & Assoc Inc @ 23.395	12/08/09	6,536.43	3,099.21
Epoch Holding Corp Com Sold 200 Shs 12/29/08 To Investment Technology Group Inc @ 7.2905	01/02/09	1,454.09	-998.25
Sold 200 Shs 12/30/08 To Liquidnet Inc @ 7.1176	01/05/09	1,419.51	-1,016.63
Essa Bancorp Inc Com Sold 50 Shs 03/23/09 To Investment Technology Group Inc @ 13.793	03/26/09	688.54	102.08
Sold 440 Shs 03/23/09 To Citation Group/Bcc Clrg @ 13.8165	03/26/09	6,070.42	932.12
Sold 200 Shs 03/24/09 To Liquidnet Inc @ 13.6578	03/27/09	2,725.54	401.92
Sold 250 Shs 03/24/09 To Citation Group/Bcc Clrg @ 13.6745	03/27/09	3,413.61	515.24
Sold 200 Shs 03/25/09 To Citation Group/Bcc Clrg @ 13.5229	03/30/09	2,700.56	383.42
Sold 300 Shs 03/26/09 To Citation Group/Bcc Clrg @ 13.594	03/31/09	4,072.17	596.46
Sold 200 Shs 03/26/09 To Liquidnet Inc @ 13.5477	03/31/09	2,703.52	387.79
Sold 100 Shs 03/27/09 To Investment Technology Group Inc @ 13.5535	04/01/09	1,353.14	196.25

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 03/27/09 To Citation Group/Bcc Clrg @ 13.6152	04/01/09	1,359.51	206.25
Sold 550 Shs 04/02/09 To Citation Group/Bcc Clrg @ 13.5983	04/07/09	7,468.02	1,130.87
Sold 50 Shs 04/03/09 To Citation Group/Bcc Clrg @ 13.5962	04/08/09	678.80	103.99
First Cmnty Bancshares Inc Com Sold 200 Shs 02/18/09 To Investment Technology Group Inc @ 13.3941	02/23/09	2,674.40	-4,682.88
Sold 270 Shs 03/23/09 To Citation Group/Bcc Clrg @ 13.7956	03/26/09	3,719.38	-6,207.00
Sold 20 Shs 03/23/09 To Investment Technology Group Inc @ 13.6343	03/26/09	272.24	-461.79
Sold 10 Shs 03/24/09 To Citation Group/Bcc Clrg @ 13.56	03/27/09	135.39	-231.62
Sold 40 Shs 03/24/09 To Liquidnet Inc @ 13.6214	03/27/09	543.65	-918.35
Sold 50 Shs 03/27/09 To Citation Group/Bcc Clrg @ 13.4132	04/01/09	669.65	-1,157.85
Sold 100 Shs 04/09/09 To Investment Technology Group Inc @ 13.3207	04/15/09	1,329.83	-2,323.85
Sold 200 Shs 04/09/09 To Citation Group/Bcc Clrg @ 13.2908	04/15/09	2,654.09	-4,641.69
Sold 100 Shs 04/13/09 To Citation Group/Bcc Clrg @ 13.2615	04/16/09	1,324.11	-2,313.59
Sold 100 Shs 04/16/09 To Liquidnet Inc @ 13.4698	04/21/09	1,343.94	-2,285.02
Sold 200 Shs 04/17/09 To Citation Group/Bcc Clrg @ 13.3552	04/22/09	2,666.97	-4,571.40
Sold 700 Shs 04/17/09 To Investment Technology Group Inc @ 13.3243	04/22/09	9,311.37	-15,768.05
Sold 150 Shs 04/21/09 To Investment Technology Group Inc @ 12.1779	04/24/09	1,823.34	-3,406.56
Sold 350 Shs 04/21/09 To Citation Group/Bcc Clrg @ 12.1804	04/24/09	4,256.03	-7,725.35

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 04/21/09 To Liquidnet Inc @ 12.2025	04/24/09	1,217.21	-2,163.28
Sold 300 Shs 04/22/09 To Citation Group/Bcc Clrg @ 12.2463	04/27/09	3,667.79	-6,363.26
Sold 269 Shs 04/23/09 To Citation Group/Bcc Clrg @ 12.5275	04/28/09	3,364.43	-5,894.51
Freightcar Amer Inc Com Sold 1150 Shs 01/12/09 To Longbow Securities LLC @ 19.9192	01/15/09	22,849.45	-19,537.29
Sold 610 Shs 01/28/09 To King C. L & Assoc Inc @ 19.3978	02/02/09	11,802.09	-9,668.42
Sold 1200 Shs 02/17/09 To Keybanc Capital Mkts Inc (McDon @ 16.4999	02/20/09	19,739.76	-21,378.05
Sold 1300 Shs 02/17/09 To Longbow Securities LLC @ 16.4614	02/20/09	21,334.70	-15,790.45
Genesco Inc Com Sold 140 Shs 07/01/09 To SBC Warburg Dillon Reed Inc. @ 18.9094	07/07/09	2,640.25	-297.94
Sold 200 Shs 07/01/09 To Merrill Lynch Professional Clea @ 18.7938	07/07/09	3,748.66	-448.76
Sold 120 Shs 08/13/09 To Stifel Nicolaus & Co @ 23.0036	08/18/09	2,754.35	235.90
Sold 530 Shs 12/02/09 To Merrill Lynch Professional Clea @ 25.6678	12/07/09	13,577.08	-488.46
Geomet Inc Del Com Sold 100 Shs 03/09/09 To Investment Technology Group Inc @ .7565	03/12/09	74.44	-716.13
Sold 400 Shs 03/09/09 To Wave Securities @ .7566	03/12/09	298.63	-2,863.65
Sold 300 Shs 03/10/09 To Wave Securities @ .7762	03/13/09	229.85	-2,112.52
Sold 100 Shs 03/11/09 To Liquidnet Inc @ .76	03/16/09	74.99	-705.80





# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 400 Shs 03/12/09 To Wave Securities @ .6815	03/17/09	268.59	-2,854.57
Sold 200 Shs 03/12/09 To Liquidnet Inc @ .7001	03/17/09	138.01	-1,423.40
Sold 200 Shs 03/13/09 To Wave Securities @ .7014	03/18/09	138.27	-1,422.97
Sold 100 Shs 03/13/09 To Liquidnet Inc @ .7004	03/18/09	69.03	-711.59
Sold 400 Shs 03/17/09 To Wave Securities @ .6996	03/20/09	275.83	-2,846.65
Sold 200 Shs 03/18/09 To Liquidnet Inc @ .69	03/23/09	135.99	-1,424.11
Sold 200 Shs 03/18/09 To Wave Securities @ .6844	03/23/09	134.87	-1,425.23
Sold 400 Shs 03/19/09 To Liquidnet Inc @ .6911	03/24/09	272.43	-2,847.77
Sold 500 Shs 03/19/09 To Wave Securities @ .6954	03/24/09	342.69	-3,496.05
Sold 100 Shs 03/20/09 To Liquidnet Inc @ .7106	03/25/09	70.05	-678.41
Sold 1400 Shs 03/20/09 To Wave Securities @ .7225	03/25/09	997.49	-9,150.46
Sold 2200 Shs 03/23/09 To Wave Securities @ .7295	03/26/09	1,582.89	-14,191.55
Sold 700 Shs 03/24/09 To Wave Securities @ .7049	03/27/09	486.42	-4,532.72
Sold 500 Shs 03/25/09 To Wave Securities @ .6448	03/30/09	317.39	-3,267.71
Sold 200 Shs 03/26/09 To Wave Securities @ .62	03/31/09	121.99	-1,312.05
Sold 1500 Shs 03/27/09 To Wave Securities @ .6179	04/01/09	911.84	-9,843.46
Sold 3200 Shs 03/30/09 To Wave Securities @ .5706	04/02/09	1,793.90	-19,825.60

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 2000 Shs 03/31/09 To Wave Securities @ 6005	04/03/09	1,180.99	-10,973.95
Sold 800 Shs 04/01/09 To Wave Securities @ 5703	04/06/09	448.23	-3,332.18
Sold 1600 Shs 04/02/09 To Wave Securities @ 6368	04/07/09	1,002.87	-3,680.49
Sold 600 Shs 04/03/09 To Wave Securities @ 6329	04/08/09	373.73	-1,382.53
Sold 1000 Shs 04/06/09 To Wave Securities @ 6086	04/09/09	598.59	-2,328.51
Sold 400 Shs 04/07/09 To Wave Securities @ 59	04/13/09	231.99	-937.15
Sold 300 Shs 04/08/09 To Wave Securities @ 59	04/14/09	173.99	-696.85
Sold 300 Shs 04/09/09 To Wave Securities @ 6304	04/15/09	186.11	-674.85
Sold 300 Shs 04/13/09 To Wave Securities @ .6383	04/16/09	188.48	-660.34
Sold 100 Shs 04/13/09 To Liquidnet Inc @ 6337	04/16/09	62.36	-220.41
Sold 300 Shs 04/14/09 To Wave Securities @ 6178	04/17/09	182.33	-664.64
Sold 300 Shs 04/14/09 To Liquidnet Inc @ .6152	04/17/09	181.55	-661.00
Sold 500 Shs 04/15/09 To Liquidnet Inc @ .615	04/20/09	302.49	-1,101.50
Sold 200 Shs 04/15/09 To Wave Securities @ 6068	04/20/09	119.35	-442.21
Sold 200 Shs 04/16/09 To Wave Securities @ .6241	04/21/09	122.81	-438.75
Sold 1130 Shs 04/17/09 To Liquidnet Inc @ .6773	04/22/09	754.03	-2,243.14
Sold 3000 Shs 04/17/09 To Wave Securities @ 6799	04/22/09	2,009.64	-5,389.19

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Hawaiian Holdings Inc Com Sold 400 Shs 01/02/09 To Investment Technology Group Inc @ 6 5851	01/07/09	2,622.02	-1,380.25
Sold 600 Shs 01/02/09 To Citation Group/Bcc Clrg @ 6 5039	01/07/09	3,890.31	-2,097.21
Sold 2100 Shs 01/05/09 To Citation Group/Bcc Clrg @ 6.0645	01/08/09	12,693.37	-8,194.22
Sold 500 Shs 01/05/09 To Liquidnet Inc @ 6 0394	01/08/09	3,004.68	-1,952.25
Sold 700 Shs 01/06/09 To Liquidnet Inc @ 6.0791	01/09/09	4,234.34	-2,691.48
Sold 520 Shs 01/06/09 To SBC Warburg Dillon Reed Inc. @ 6 0826	01/09/09	3,142.13	-1,998.95
Sold 300 Shs 01/30/09 To Citation Group/Bcc Clrg @ 4 1287	02/04/09	1,232.60	-1,733.41
Sold 100 Shs 01/30/09 To Liquidnet Inc @ 4 1506	02/04/09	413.05	-575.62
Sold 300 Shs 02/02/09 To Liquidnet Inc @ 4.0214	02/05/09	1,200.41	-1,765.60
Sold 200 Shs 02/02/09 To Investment Technology Group Inc @ 4.0381	02/05/09	803.21	-1,174.13
Sold 500 Shs 02/02/09 To Citation Group/Bcc Clrg @ 4.0205	02/05/09	2,000.23	-2,943.12
Sold 430 Shs 02/03/09 To Liquidnet Inc @ 4.0513	02/06/09	1,733.45	-2,517.83
Sold 1000 Shs 02/20/09 To Citation Group/Bcc Clrg @ 3.7181	02/25/09	3,698.07	-6,188.63
Sold 300 Shs 02/20/09 To Liquidnet Inc @ 3.7015	02/25/09	1,104.44	-1,861.57
Sold 300 Shs 02/23/09 To Investment Technology Group Inc @ 3 7483	02/26/09	1,117.88	-1,848.13
Sold 370 Shs 02/23/09 To Citation Group/Bcc Clrg @ 3.551	02/26/09	1,306.46	-2,351.62
Sold 200 Shs 02/23/09 To Liquidnet Inc @ 3.6447	02/26/09	724.93	-1,252.41



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

## Sale Activity

	Date	Proceeds	Realized Gain/Loss
Sold 600 Shs 02/24/09 To Liquidnet Inc @ 3.6121	02/27/09	2,155.24	-3,776.78
Sold 1000 Shs 02/24/09 To Citation Group/Bcc Clrg @ 3.6026	02/27/09	3,582.57	-5,429.39
Sold 860 Shs 02/25/09 To Citation Group/Bcc Clrg @ 3.504	03/02/09	2,996.22	-3,130.94
Sold 1300 Shs 02/25/09 To Investment Technology Group Inc @ 3.505	03/02/09	4,527.87	-4,700.48
Sold 700 Shs 02/25/09 To Liquidnet Inc @ 3.5059	03/02/09	2,440.11	-2,435.04
Sold 900 Shs 02/26/09 To Investment Technology Group Inc @ 3.3551	03/03/09	2,999.77	-3,263.69
Sold 300 Shs 02/26/09 To Liquidnet Inc @ 3.3528	03/03/09	999.83	-951.73
Sold 200 Shs 02/27/09 To Investment Technology Group Inc @ 3.1708	03/04/09	629.75	-671.29
Sold 700 Shs 03/02/09 To Liquidnet Inc @ 2.9698	03/05/09	2,064.84	-2,488.80
Sold 1100 Shs 03/02/09 To Citation Group/Bcc Clrg @ 2.9392	03/05/09	3,211.10	-3,944.62
Sold 600 Shs 03/03/09 To Citation Group/Bcc Clrg @ 2.6356	03/06/09	1,569.35	-2,158.75
Sold 700 Shs 03/03/09 To Investment Technology Group Inc @ 2.5404	03/06/09	1,762.87	-2,511.73
Sold 2700 Shs 03/03/09 To Liquidnet Inc @ 2.4797	03/06/09	6,641.15	-9,783.56
Sold 230 Shs 03/04/09 To Citation Group/Bcc Clrg @ 2.4083	03/09/09	549.30	-842.01
Hawkins Inc Com Sold 200 Shs 05/01/09 To Citation Group/Bcc Clrg @ 17.2571	05/06/09	3,447.33	-28.03
Sold 200 Shs 05/01/09 To Liquidnet Inc @ 17.0528	05/06/09	3,404.47	-41.81
Sold 100 Shs 05/04/09 To Investment Technology Group Inc @ 17.5797	05/07/09	1,755.72	36.47

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 05/04/09 To Liquidnet Inc @ 17.2631	05/07/09	3,446.53	26.43
Sold 200 Shs 05/05/09 To Investment Technology Group Inc @ 17.2133	05/08/09	3,438.17	32.47
Sold 100 Shs 05/05/09 To Liquidnet Inc @ 17.1613	05/08/09	1,713.08	11.80
Sold 150 Shs 05/06/09 To Citation Group/Bcc Clrg @ 17.3057	05/11/09	2,592.79	40.87
Sold 200 Shs 05/06/09 To Weeden & Co @ 17.2185	05/11/09	3,438.61	36.05
Sold 400 Shs 05/06/09 To Liquidnet Inc @ 17.2214	05/11/09	6,876.38	71.66
Sold 100 Shs 05/07/09 To Investment Technology Group Inc @ 17.2445	05/12/09	1,722.20	23.40
Sold 300 Shs 05/07/09 To Liquidnet Inc @ 17.1385	05/12/09	5,132.41	54.40
Sold 300 Shs 05/08/09 To Liquidnet Inc @ 17.1075	05/13/09	5,123.11	65.34
Sold 300 Shs 05/08/09 To Investment Technology Group Inc @ 17.19	05/13/09	5,150.26	134.83
Sold 300 Shs 05/11/09 To Investment Technology Group Inc @ 17.2424	05/14/09	5,165.98	204.16
Sold 200 Shs 05/12/09 To Liquidnet Inc @ 17.0407	05/15/09	3,402.05	94.17
Sold 300 Shs 05/12/09 To Investment Technology Group Inc @ 17.0763	05/15/09	5,116.15	154.33
Sold 100 Shs 05/14/09 To Liquidnet Inc @ 16.9849	05/19/09	1,695.44	41.50
Sold 200 Shs 05/14/09 To Citation Group/Bcc Clrg @ 17.0922	05/19/09	3,414.35	109.02
Sold 100 Shs 05/15/09 To Citation Group/Bcc Clrg @ 17.4052	05/20/09	1,738.47	100.05
Hill Intl Inc Com Sold 100 Shs 01/27/09 To Cjs Securities @ 5.8524	01/30/09	581.23	-178.27

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 2100 Shs 01/28/09 To Cjs Securities @ 6.0303	02/02/09	12,579.55	-3,369.95
Sold 100 Shs 01/29/09 To Cjs Securities @ 5.993	02/03/09	595.29	-164.21
Sold 900 Shs 01/30/09 To Sidoti & Company, LLC @ 5.5102	02/04/09	4,923.15	-1,912.35
Sold 1200 Shs 01/30/09 To SBC Warburg Dillon Reed Inc @ 5.515	02/04/09	6,569.96	-2,544.04
Sold 1300 Shs 02/02/09 To Sidoti & Company, LLC @ 5.709	02/05/09	7,369.65	-2,503.85
Sold 300 Shs 02/03/09 To Sidoti & Company, LLC @ 5.68	02/06/09	1,691.99	-586.51
Sold 1400 Shs 02/04/09 To SBC Warburg Dillon Reed Inc @ 5.6595	02/09/09	7,867.25	-5,935.51
Sold 80 Shs 02/04/09 To Citation Group/Bcc Clrg @ 5.7221	02/09/09	456.16	-785.39
International Shipholding Com New Sold 200 Shs 02/12/09 To Investment Technology Group Inc @ 20.1845	02/18/09	4,032.47	-1,004.18
Sold 200 Shs 02/13/09 To Citation Group/Bcc Clrg @ 20.2768	02/19/09	4,051.33	-964.08
Sold 100 Shs 03/25/09 To Citation Group/Bcc Clrg @ 20.2208	03/30/09	2,020.06	-465.02
Sold 50 Shs 07/24/09 To Citation Group/Bcc Clrg @ 28.2631	07/29/09	1,412.12	174.91
Sold 100 Shs 07/27/09 To Citation Group/Bcc Clrg @ 28.0475	07/30/09	2,802.67	328.25
Sold 200 Shs 07/28/09 To Liquidnet Inc @ 27.7307	07/31/09	5,539.99	773.09
Sold 50 Shs 07/28/09 To Citation Group/Bcc Clrg @ 27.6554	07/31/09	1,381.73	273.98
Sold 200 Shs 12/04/09 To Investment Technology Group Inc @ 33.1435	12/09/09	6,624.12	1,852.70
Sold 100 Shs 12/07/09 To Investment Technology Group Inc @ 33.3311	12/10/09	3,330.82	1,001.53



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 300 Shs 12/08/09 To SBC Warburg Dillon Reed Inc. @ 33.0265	12/11/09	9,892.69	3,248.83
Sold 100 Shs 12/09/09 To Investment Technology Group Inc @ 32.802	12/14/09	3,277.91	1,063.80
Sold 100 Shs 12/11/09 To Investment Technology Group Inc @ 30.0338	12/16/09	3,001.10	799.40
Sold 200 Shs 12/11/09 To Liquidnet Inc @ 30.016	12/16/09	5,997.04	1,616.83
Sold 100 Shs 12/14/09 To Liquidnet Inc @ 29.9666	12/17/09	2,993.58	806.65
Sold 100 Shs 12/14/09 To Investment Technology Group Inc @ 29.9239	12/17/09	2,990.11	822.78
Sold 300 Shs 12/16/09 To Investment Technology Group Inc @ 29.872	12/21/09	8,954.76	2,471.84
Sold 100 Shs 12/18/09 To Investment Technology Group Inc @ 28.7223	12/23/09	2,869.95	718.26
Sold 380 Shs 12/21/09 To BNY Convergenx @ 28.5404	12/24/09	10,826.07	2,673.20
Sold 300 Shs 12/22/09 To Investment Technology Group Inc @ 28.4921	12/28/09	8,540.81	2,239.24
Sold 230 Shs 12/23/09 To Investment Technology Group Inc @ 28.6959	12/29/09	6,594.83	2,129.61
J & J Snack Foods Corp Com Sold 700 Shs 02/02/09 To SBC Warburg Dillon Reed Inc. @ 35.4266	02/05/09	24,763.48	5,163.88
Sold 300 Shs 02/02/09 To Investment Technology Group Inc @ 35.3917	02/05/09	10,610.85	2,756.85
Sold 300 Shs 02/03/09 To SBC Warburg Dillon Reed Inc. @ 35.274	02/06/09	10,567.14	4,313.44
Sold 200 Shs 02/03/09 To Citation Group/Bcc Clrg @ 35.3058	02/06/09	7,057.12	3,052.12
Sold 140 Shs 02/03/09 To Liquidnet Inc @ 35.2454	02/06/09	4,930.13	2,126.63

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Jmp Group Inc Com Sold 1800 Shs 08/12/09 To Sidoti & Company, LLC @ 10 1945	08/17/09	18,277.62	6,961.87
Sold 800 Shs 08/12/09 To Citation Group/Bcc Clrg @ 10 1794	08/17/09	8,127.31	3,244.92
Jakks Pacific Inc Com Sold 100 Shs 04/15/09 To Liquidnet Inc @ 12.8101	04/20/09	1,277.97	-750.55
Sold 100 Shs 04/15/09 To Citation Group/Bcc Clrg @ 12.8101	04/20/09	1,278.97	-749.55
Sold 340 Shs 04/16/09 To Citation Group/Bcc Clrg @ 12 7424	04/21/09	4,325.50	-2,571.47
Sold 200 Shs 04/16/09 To Liquidnet Inc @ 12.7247	04/21/09	2,538.87	-1,518.15
Sold 600 Shs 04/29/09 To Liquidnet Inc @ 13 2123	05/04/09	7,909.17	-4,180.01
Sold 660 Shs 04/29/09 To BNY Convergenx @ 13.1904	05/04/09	8,672.43	-4,577.82
Sold 300 Shs 05/12/09 To Liquidnet Inc @ 12.5811	05/15/09	3,765.23	-2,253.91
Sold 130 Shs 05/12/09 To Citation Group/Bcc Clrg @ 12.6363	05/15/09	1,640.07	-964.84
Sold 100 Shs 07/16/09 To Citation Group/Bcc Clrg @ 12.42	07/21/09	1,239.96	-763.82
Sold 1200 Shs 07/16/09 To Liquidnet Inc @ 12.4295	07/21/09	14,879.01	-8,703.96
Sold 600 Shs 07/16/09 To Investment Technology Group Inc @ 12.4186	07/21/09	7,437.76	-4,209.32
Sold 200 Shs 07/17/09 To Liquidnet Inc @ 12.5025	07/22/09	2,494.43	-1,387.93
Sold 600 Shs 07/17/09 To Investment Technology Group Inc @ 12.5159	07/22/09	7,496.14	-4,122.37
Sold 300 Shs 07/20/09 To Investment Technology Group Inc @ 12.5081	07/23/09	3,745.73	-2,051.83



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 07/20/09 To Citation Group/Bcc Clrg @ 12.4952	07/23/09	1,247.48	-685.04
Sold 300 Shs 07/21/09 To Investment Technology Group Inc @ 12.0765	07/24/09	3,616.25	-2,181.31
Sold 400 Shs 07/21/09 To Liquidnet Inc @ 12.0508	07/24/09	4,808.19	-2,755.78
Sold 500 Shs 07/22/09 To Liquidnet Inc @ 12.191	07/27/09	6,080.34	-742.98
Sold 380 Shs 07/23/09 To Liquidnet Inc @ 12.243	07/28/09	4,640.82	-226.76
Sold 200 Shs 07/23/09 To Investment Technology Group Inc @ 12.2208	07/28/09	2,439.69	-116.87
Jos A Bank Clothiers Inc Com Sold 520 Shs 01/07/09 To Pulse Trading LLC @ 29.6521	01/12/09	15,393.00	3,245.80
Sold 410 Shs 01/28/09 To Stifel Nicolaus & Co @ 29.7604	02/02/09	12,181.19	2,603.59
Sold 200 Shs 02/26/09 To Investment Technology Group Inc @ 23.0635	03/03/09	4,608.27	-63.73
Sold 200 Shs 02/26/09 To Liquidnet Inc @ 22.5097	03/03/09	4,495.91	-176.09
Sold 200 Shs 02/27/09 To Investment Technology Group Inc @ 21.8767	03/04/09	4,370.91	50.77
Sold 790 Shs 02/27/09 To First Clearing LLC @ 22.3338	03/04/09	17,604.10	1,676.67
Lsb Inds Inc Com Sold 400 Shs 05/06/09 To SBC Warburg Dillon Reed Inc. @ 11.4873	05/11/09	4,574.80	-3,354.40
Sold 1000 Shs 05/06/09 To Northland Securities Inc @ 11.6002	05/11/09	11,549.90	-8,047.17
Sold 220 Shs 05/07/09 To Northland Securities Inc @ 11.3959	05/12/09	2,496.03	-1,766.18
Sold 1000 Shs 06/16/09 To Northland Securities Inc @ 16.6529	06/19/09	16,602.47	-2,768.74

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 700 Shs 10/08/09 To Northland Securities Inc @ 14.4427	10/14/09	10,074.63	-3,462.29
Sold 260 Shs 10/08/09 To SBC Warburg Dillon Reed Inc. @ 14.715	10/14/09	3,812.80	-1,205.10
Lacrosse Footwear Inc Com Sold 200 Shs 02/18/09 To Investment Technology Group Inc @ 9.5002	02/23/09	1,895.62	-1,998.93
Sold 100 Shs 03/26/09 To Citation Group/Bcc Clrg @ 8.2	03/31/09	818.99	-1,120.50
Sold 100 Shs 04/03/09 To Liquidnet Inc @ 8.0085	04/08/09	797.84	-1,054.55
Sold 100 Shs 04/08/09 To Liquidnet Inc @ 7.875	04/14/09	784.47	-1,060.37
Sold 100 Shs 04/09/09 To Liquidnet Inc @ 7.7532	04/15/09	772.30	-1,072.54
Sold 100 Shs 04/09/09 To Investment Technology Group Inc @ 7.7992	04/15/09	777.69	-1,064.76
Sold 100 Shs 04/14/09 To Investment Technology Group Inc @ 7.8216	04/17/09	779.93	-1,021.80
Sold 100 Shs 04/16/09 To Investment Technology Group Inc @ 7.9447	04/21/09	792.24	-1,000.86
Sold 100 Shs 04/17/09 To Investment Technology Group Inc @ 7.9176	04/22/09	789.53	-971.58
Sold 100 Shs 04/20/09 To Liquidnet Inc @ 7.9034	04/23/09	787.31	-973.71
Sold 500 Shs 04/22/09 To Investment Technology Group Inc @ 7.7809	04/27/09	3,879.35	-4,855.03
Sold 100 Shs 04/23/09 To Liquidnet Inc @ 7.7692	04/28/09	773.90	-940.25
Sold 100 Shs 04/24/09 To Investment Technology Group Inc @ 7.8504	04/29/09	782.81	-912.19
Sold 200 Shs 04/27/09 To Investment Technology Group Inc @ 7.8113	04/30/09	1,557.81	-1,832.19
Sold 100 Shs 04/27/09 To Liquidnet Inc @ 7.7603	04/30/09	773.01	-892.39

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 400 Shs 04/28/09 To Liquidnet Inc @ 7.781	05/01/09	3,100.32	-3,059.13
Sold 200 Shs 04/28/09 To Investment Technology Group Inc @ 7.7891	05/01/09	1,553.37	-1,517.98
Sold 300 Shs 04/29/09 To Liquidnet Inc @ 7.7585	05/04/09	2,318.49	-2,511.02
Sold 200 Shs 04/29/09 To Investment Technology Group Inc @ 7.8111	05/04/09	1,557.77	-1,648.23
Sold 300 Shs 04/30/09 To Investment Technology Group Inc @ 7.8061	05/05/09	2,335.16	-2,424.14
Sold 400 Shs 04/30/09 To Liquidnet Inc @ 7.7611	05/05/09	3,092.36	-2,400.42
Sold 400 Shs 05/01/09 To Investment Technology Group Inc @ 7.8023	05/06/09	3,112.03	-2,090.16
Sold 100 Shs 05/01/09 To Liquidnet Inc @ 7.7768	05/06/09	774.66	-504.55
Sold 100 Shs 05/04/09 To Investment Technology Group Inc @ 7.7861	05/07/09	776.38	-374.87
Sold 800 Shs 05/04/09 To Liquidnet Inc @ 7.7411	05/07/09	6,168.72	-2,755.08
Sold 60 Shs 05/05/09 To Liquidnet Inc @ 7.8429	05/08/09	468.75	-197.59
Life Sciences Resh Inc Com Sold 200 Shs 01/27/09 To Liquidnet Inc @ 7.09	01/30/09	1,411.99	-2,377.41
Sold 200 Shs 01/29/09 To Investment Technology Group Inc @ 7.0822	02/03/09	1,412.03	-955.97
Sold 100 Shs 01/29/09 To Citation Group/Bcc Clrg @ 7.0793	02/03/09	705.92	-478.08
Sold 100 Shs 02/02/09 To Citation Group/Bcc Clrg @ 7.0181	02/05/09	699.80	-481.20
Sold 700 Shs 02/03/09 To Liquidnet Inc @ 7.1793	02/06/09	5,004.48	-3,242.12
Sold 100 Shs 02/03/09 To Investment Technology Group Inc @ 7.2018	02/06/09	717.97	-457.03

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 02/03/09 To Citation Group/Bcc Clrg @ 7.3164	02/06/09	729.63	-444.77
Sold 800 Shs 02/04/09 To Liquidnet Inc @ 7.2889	02/09/09	5,807.08	-2,584.34
Sold 600 Shs 02/06/09 To Citation Group/Bcc Clrg @ 7.6763	02/11/09	4,593.75	-1,023.64
Sold 500 Shs 02/06/09 To Liquidnet Inc @ 7.414	02/11/09	3,691.97	-959.63
Sold 300 Shs 02/09/09 To Liquidnet Inc @ 8.01	02/12/09	2,393.98	-396.98
Sold 500 Shs 02/10/09 To Citation Group/Bcc Clrg @ 7.2988	02/13/09	3,639.37	-1,012.23
Sold 200 Shs 02/10/09 To Liquidnet Inc @ 7.3205	02/13/09	1,458.09	-402.55
Sold 100 Shs 02/11/09 To Liquidnet Inc @ 7.3017	02/17/09	727.16	-203.16
Sold 100 Shs 02/11/09 To Citation Group/Bcc Clrg @ 7.3159	02/17/09	729.58	-200.74
Sold 50 Shs 02/12/09 To Citation Group/Bcc Clrg @ 7.2832	02/18/09	363.15	-102.01
Sold 100 Shs 02/13/09 To Citation Group/Bcc Clrg @ 7.2012	02/19/09	718.11	-212.21
Sold 100 Shs 02/17/09 To Investment Technology Group Inc @ 7.3683	02/20/09	734.62	-195.70
Sold 35 Shs 03/04/09 To Citation Group/Bcc Clrg @ 6.0843	03/09/09	212.24	-113.37
Sold 2200 Shs 03/04/09 To Liquidnet Inc @ 6.1428	03/09/09	13,448.08	-22,880.46
Meadowbrook Ins Group Inc Com Sold 400 Shs 05/06/09 To Investment Technology Group Inc @ 6.8773	05/11/09	2,742.04	149.20
Sold 670 Shs 05/06/09 To Liquidnet Inc @ 6.8013	05/11/09	4,536.65	193.64
Sold 1500 Shs 05/27/09 To SBC Warburg Dillon Reed Inc. @ 7.2668	06/01/09	10,839.91	1,116.76

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 540 Shs 05/28/09 To SBC Warburg Dillon Reed Inc @ 7.0516	06/02/09	3,786.16	285.83
Nam Tai Electronics Inc Com Sold 100 Shs 04/21/09 To Investment Technology Group Inc @ 4.1842	04/24/09	416.20	-570.35
Sold 900 Shs 04/21/09 To Citation Group/Bcc Clrg @ 4.1491	04/24/09	3,716.09	-5,156.96
Sold 300 Shs 04/22/09 To Liquidnet Inc @ 4.019	04/27/09	1,199.66	-1,756.45
Sold 1400 Shs 04/22/09 To Citation Group/Bcc Clrg @ 4.0227	04/27/09	5,603.63	-8,106.87
Sold 1200 Shs 04/23/09 To Citation Group/Bcc Clrg @ 3.9983	04/28/09	4,773.83	-6,829.47
Sold 100 Shs 04/23/09 To Liquidnet Inc @ 3.9972	04/28/09	397.70	-566.45
Sold 1300 Shs 04/24/09 To Citation Group/Bcc Clrg @ 3.9614	04/29/09	5,123.68	-7,402.24
Sold 500 Shs 04/27/09 To Investment Technology Group Inc @ 3.9497	04/30/09	1,963.79	-2,830.25
Sold 500 Shs 04/27/09 To Citation Group/Bcc Clrg @ 3.9463	04/30/09	1,963.09	-2,826.66
Sold 400 Shs 04/28/09 To Citation Group/Bcc Clrg @ 3.9445	05/01/09	1,569.75	-2,262.05
Sold 400 Shs 04/29/09 To Liquidnet Inc @ 3.9076	05/04/09	1,554.99	-2,276.81
Sold 700 Shs 04/29/09 To Citation Group/Bcc Clrg @ 3.9066	05/04/09	2,720.54	-3,892.28
Sold 200 Shs 04/29/09 To Investment Technology Group Inc @ 3.9046	05/04/09	776.49	-1,101.15
Sold 960 Shs 04/30/09 To Citation Group/Bcc Clrg @ 4.0855	05/05/09	3,902.77	-5,017.50
Nathans Famous Inc New Com Sold 100 Shs 12/30/08 To Wave Securities @ 12.1108	01/05/09	1,210.07	-492.27

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 12/31/08 To Wave Securities @ 12.2236	01/06/09	1,221.35	-480.88
National Bankshares Inc VA Com Sold 100 Shs 07/08/09 To Citation Group/Bcc Clrg @ 24.515	07/13/09	2,449.44	23.18
Sold 250 Shs 07/09/09 To Pipeline Trading Systems LLC @ 24.36	07/14/09	6,084.84	81.84
Sold 50 Shs 07/13/09 To Citation Group/Bcc Clrg @ 24.8714	07/16/09	1,242.53	47.60
Sold 150 Shs 07/15/09 To Citation Group/Bcc Clrg @ 24.9211	07/20/09	3,735.07	162.25
Sold 30 Shs 07/15/09 To Liquidnet Inc @ 24.9475	07/20/09	747.51	41.01
Sold 100 Shs 07/16/09 To Liquidnet Inc @ 24.9714	07/21/09	2,494.07	140.26
Sold 100 Shs 07/16/09 To Citation Group/Bcc Clrg @ 25.0429	07/21/09	2,502.22	151.16
Sold 90 Shs 07/17/09 To Citation Group/Bcc Clrg @ 24.9839	07/22/09	2,246.69	134.82
Netscout Sys Inc Com Sold 1440 Shs 01/07/09 To SBC Warburg Dillon Reed Inc. @ 12.9939	01/12/09	18,639.11	6,368.31
Sold 3300 Shs 01/07/09 To Needham & Co @ 13.0175	01/12/09	42,792.50	14,988.91
Sold 2420 Shs 01/08/09 To Pacific Crest Securities @ 13.3936	01/13/09	32,291.32	12,092.25
Sold 1150 Shs 01/09/09 To Kaufman Brothers @ 13.5968	01/14/09	15,578.73	6,512.24
Sold 860 Shs 01/21/09 To Pacific Crest Securities @ 13.6036	01/26/09	11,656.03	5,029.49
Sold 700 Shs 01/23/09 To Pacific Crest Securities @ 14.145	01/28/09	9,866.44	4,586.17
Sold 5700 Shs 03/11/09 To Liquidnet Inc @ 8.1776	03/16/09	46,441.05	-4,298.81

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1540 Shs 03/11/09 To Investment Technology Group Inc @ 8.1775	03/16/09	12,559.39	86.01
Nobel Learning Cmnty Inc Com Sold 200 Shs 01/02/09 To Wave Securities @ 13.2533	01/07/09	2,648.64	-510.10
Sold 100 Shs 05/18/09 To Liquidnet Inc @ 11.6075	05/21/09	1,157.72	-145.28
Sold 400 Shs 05/18/09 To Investment Technology Group Inc @ 11.6549	05/21/09	4,653.04	-552.26
Sold 100 Shs 05/19/09 To Investment Technology Group Inc @ 11.6233	05/22/09	1,160.10	-138.20
Sold 100 Shs 05/22/09 To Investment Technology Group Inc @ 11.7096	05/28/09	1,168.72	-129.58
Sold 100 Shs 06/02/09 To Liquidnet Inc @ 11.64	06/05/09	1,160.97	-229.83
Sold 100 Shs 06/02/09 To Investment Technology Group Inc @ 11.6299	06/05/09	1,160.76	-226.20
Sold 600 Shs 06/19/09 To Liquidnet Inc @ 11.6151	06/24/09	6,950.88	-1,479.02
Sold 100 Shs 06/19/09 To Investment Technology Group Inc @ 11.6813	06/24/09	1,165.89	-239.07
Sold 300 Shs 06/19/09 To Citation Group/Bcc Clrg @ 11.6963	06/24/09	3,502.79	-712.09
Sold 600 Shs 06/26/09 To Liquidnet Inc @ 11.7052	07/01/09	7,004.93	-1,283.66
Sold 300 Shs 06/26/09 To Citation Group/Bcc Clrg @ 11.7158	07/01/09	3,508.64	-382.06
Sold 200 Shs 06/30/09 To Liquidnet Inc @ 11.6013	07/06/09	2,314.20	-276.80
Sold 100 Shs 06/30/09 To Investment Technology Group Inc @ 11.6202	07/06/09	1,159.79	-135.71
Sold 100 Shs 07/01/09 To Liquidnet Inc @ 11.6738	07/07/09	1,164.34	-131.16
Sold 100 Shs 07/01/09 To Investment Technology Group Inc @ 11.6721	07/07/09	1,164.98	-130.52



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 07/17/09 To Investment Technology Group Inc @ 11.69	07/22/09	1,166.76	-234.17
Sold 100 Shs 07/20/09 To Liquidnet Inc @ 11.9013	07/23/09	1,187.09	-210.82
Sold 100 Shs 07/21/09 To Liquidnet Inc @ 11.9281	07/24/09	1,189.77	-187.18
Sold 100 Shs 07/21/09 To Investment Technology Group Inc @ 11.774	07/24/09	1,175.16	-201.79
Sold 100 Shs 07/22/09 To Liquidnet Inc @ 11.8757	07/27/09	1,184.53	-151.70
Sold 500 Shs 07/23/09 To Liquidnet Inc @ 11.9892	07/28/09	5,979.44	-343.30
Sold 590 Shs 07/24/09 To Liquidnet Inc @ 11.8347	07/29/09	6,964.59	-447.93
Sold 140 Shs 07/24/09 To Citation Group/Bcc Clrg @ 11.8146	07/29/09	1,651.19	-298.35
Sold 100 Shs 07/27/09 To Investment Technology Group Inc @ 11.7668	07/30/09	1,174.44	-228.56
Sold 100 Shs 07/28/09 To Investment Technology Group Inc @ 11.7314	07/31/09	1,170.90	-232.10
Sold 200 Shs 08/03/09 To Liquidnet Inc @ 11.6204	08/06/09	2,318.02	-487.98
Sold 100 Shs 08/06/09 To Investment Technology Group Inc @ 11.8692	08/11/09	1,184.68	-218.32
Sold 100 Shs 08/27/09 To Liquidnet Inc @ 11.695	09/01/09	1,166.46	-236.54
Sold 100 Shs 11/10/09 To Citation Group/Bcc Clrg @ 9.0002	11/16/09	897.99	-505.01
Northrim Bancorp Inc Com Sold 50 Shs 01/05/09 To Citation Group/Bcc Clrg @ 10.5	01/08/09	523.99	-389.84
Sold 400 Shs 01/06/09 To Citation Group/Bcc Clrg @ 10.8864	01/09/09	4,346.53	-2,964.13
Sold 1000 Shs 01/07/09 To First Clearing Corp @ 10.75	01/12/09	10,709.93	-6,654.47



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 350 Shs 01/15/09 To Investment Technology Group Inc @ 11 005	01/21/09	3,843.84	-1,844 95
Sold 100 Shs 01/29/09 To Liquidnet Inc @ 10 765	02/03/09	1,073 49	-465 29
Sold 100 Shs 02/10/09 To Citation Group/Bcc Clrg @ 9.65	02/13/09	962.99	-547 30
Sold 80 Shs 02/25/09 To Citation Group/Bcc Clrg @ 8 85	03/02/09	706.39	-501 85
Sold 450 Shs 03/05/09 To Liquidnet Inc @ 7.545	03/10/09	3,381.73	-3,414.55
Sold 100 Shs 03/13/09 To Citation Group/Bcc Clrg @ 8.043	03/18/09	802.29	-1,097 52
Sold 200 Shs 03/16/09 To Investment Technology Group Inc @ 8 22	03/19/09	1,639 59	-1,380.94
Sold 200 Shs 03/18/09 To Liquidnet Inc @ 8.1786	03/23/09	1,629.71	-1,390.66
Sold 200 Shs 03/18/09 To Citation Group/Bcc Clrg @ 8.2033	03/23/09	1,636.65	-1,383 72
Sold 100 Shs 03/19/09 To Liquidnet Inc @ 8.8684	03/24/09	883.83	-625.99
Sold 100 Shs 03/19/09 To Citation Group/Bcc Clrg @ 9.0715	03/24/09	905.14	-604.60
Sold 50 Shs 03/24/09 To Citation Group/Bcc Clrg @ 9.9436	03/27/09	496.17	-258 70
Sold 200 Shs 04/01/09 To Weeden & Co @ 9.78	04/06/09	1,950.98	-1,066.22
Sold 150 Shs 04/03/09 To Citation Group/Bcc Clrg @ 9.8202	04/08/09	1,470.02	-791.20
Sold 100 Shs 04/09/09 To Liquidnet Inc @ 9.6433	04/15/09	961.30	-546.18
Sold 2000 Shs 04/09/09 To First Clearing LLC @ 9.75	04/15/09	19,399.49	-10,680 50
Sold 855 Shs 04/15/09 To First Clearing LLC @ 9.76	04/20/09	8,301.83	-5,088.01

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Nutraceutical Intl Corp Com Sold 500 Shs 06/30/09 To Liquidnet Inc @ 10.6439	07/06/09	5,306.81	-3,083.06
Sold 100 Shs 06/30/09 To Investment Technology Group Inc @ 10.7443	07/06/09	1,072.20	-537.80
Sold 100 Shs 07/01/09 To Citation Group/Bcc Clrg @ 10.9206	07/07/09	1,090.03	-519.97
Sold 100 Shs 07/01/09 To Pipeline Trading Systems LLC @ 10.7737	07/07/09	1,075.34	-534.66
Sold 100 Shs 07/01/09 To Investment Technology Group Inc @ 10.7455	07/07/09	1,072.32	-536.89
Sold 140 Shs 07/06/09 To Citation Group/Bcc Clrg @ 10.6279	07/09/09	1,485.07	-761.82
Sold 100 Shs 07/07/09 To Liquidnet Inc @ 10.565	07/10/09	1,053.47	-550.12
Sold 500 Shs 07/07/09 To Investment Technology Group Inc @ 10.5729	07/10/09	5,275.31	-2,734.69
Sold 100 Shs 07/07/09 To Citation Group/Bcc Clrg @ 10.536	07/10/09	1,051.57	-550.22
Sold 300 Shs 07/09/09 To Investment Technology Group Inc @ 10.3198	07/14/09	3,089.26	-1,714.03
Sold 100 Shs 07/09/09 To Liquidnet Inc @ 10.3203	07/14/09	1,029.00	-571.72
Sold 100 Shs 07/10/09 To Investment Technology Group Inc @ 10.3177	07/15/09	1,029.54	-569.81
Sold 100 Shs 07/13/09 To Investment Technology Group Inc @ 10.3519	07/16/09	1,032.96	-564.97
Sold 300 Shs 07/13/09 To Liquidnet Inc @ 10.3372	07/16/09	3,092.08	-1,690.27
Sold 200 Shs 07/14/09 To Investment Technology Group Inc @ 10.3316	07/17/09	2,061.86	-1,118.32
Sold 100 Shs 07/14/09 To Liquidnet Inc @ 10.3328	07/17/09	1,030.25	-559.84
Sold 100 Shs 07/15/09 To Investment Technology Group Inc @ 10.3372	07/20/09	1,031.49	-558.60

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 07/15/09 To Liquidnet Inc @ 10.3172	07/20/09	1,028.69	-561.40
Sold 200 Shs 07/16/09 To Investment Technology Group Inc @ 10.316	07/21/09	2,058.74	-1,120.15
Sold 700 Shs 07/20/09 To Investment Technology Group Inc @ 11.6461	07/23/09	8,136.66	-2,917.99
Sold 100 Shs 07/21/09 To Investment Technology Group Inc @ 11.6269	07/24/09	1,160.46	-411.70
Sold 40 Shs 07/22/09 To Investment Technology Group Inc @ 11.5633	07/27/09	461.63	-167.00
Oil Dr. Corp Amer Com Sold 100 Shs 12/29/08 To Investment Technology Group Inc @ 18.105	01/02/09	1,808.48	-193.97
Sold 790 Shs 06/15/09 To Cjs Securities Inc @ 14.4796	06/18/09	11,399.08	-5,495.04
Sold 480 Shs 06/16/09 To Buckingham Research Group Inc @ 14.2143	06/19/09	6,798.68	-3,421.61
Sold 90 Shs 06/17/09 To Citation Group/Bcc Clrg @ 14.2341	06/22/09	1,279.23	-552.09
Sold 300 Shs 09/01/09 To Investment Technology Group Inc @ 15.8439	09/04/09	4,746.44	-1,258.58
Sold 200 Shs 09/02/09 To Investment Technology Group Inc @ 15.0669	09/08/09	3,008.90	-978.80
Sold 200 Shs 09/03/09 To Investment Technology Group Inc @ 15.0446	09/09/09	3,004.44	-960.07
Sold 100 Shs 09/08/09 To Investment Technology Group Inc @ 14.371	09/11/09	1,434.86	-526.71
Sold 500 Shs 09/09/09 To BNY Convergenx @ 14.1476	09/14/09	7,048.61	-2,445.92
Sold 100 Shs 09/10/09 To Investment Technology Group Inc @ 14.2442	09/15/09	1,422.18	-408.52
Sold 300 Shs 09/14/09 To BNY Convergenx @ 14.4521	09/17/09	4,320.51	-1,167.20
Sold 100 Shs 09/15/09 To Investment Technology Group Inc @ 14.594	09/18/09	1,457.16	-364.29



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 900 Shs 09/16/09 To BNY Convergenx @ 14.6587	09/21/09	13,147.49	-2,339.55
Sold 200 Shs 09/18/09 To Investment Technology Group Inc @ 14.5285	09/23/09	2,901.22	-416.20
Sold 200 Shs 09/21/09 To Investment Technology Group Inc @ 14.5087	09/24/09	2,897.26	-401.84
Sold 200 Shs 09/22/09 To Investment Technology Group Inc @ 14.5438	09/25/09	2,904.28	-384.13
Sold 500 Shs 09/23/09 To SBC Warburg Dillon Reed Inc. @ 14.6841	09/28/09	7,316.86	-467.97
Sold 100 Shs 09/24/09 To Investment Technology Group Inc @ 14.7198	09/29/09	1,469.74	41.85
Sold 50 Shs 09/25/09 To Citation Group/Bcc Clrg @ 15.0563	09/30/09	751.80	37.86
Sold 420 Shs 09/28/09 To Citation Group/Bcc Clrg @ 15.2443	10/01/09	6,394.04	419.43
Omega Protein Corp Com Sold 600 Shs 03/12/09 To Weeden & Co @ 2.3911	03/17/09	1,419.65	-7,634.70
Sold 1300 Shs 03/12/09 To Liquidnet Inc @ 2.364	03/17/09	3,047.18	-16,501.57
Sold 100 Shs 03/13/09 To Citation Group/Bcc Clrg @ 2.6581	03/18/09	263.80	-1,236.19
Sold 900 Shs 03/13/09 To Liquidnet Inc @ 2.6272	03/18/09	2,346.46	-11,125.05
Sold 1300 Shs 03/16/09 To Liquidnet Inc @ 2.5639	03/19/09	3,307.05	-16,037.09
Sold 100 Shs 03/16/09 To Investment Technology Group Inc @ 2.5744	03/19/09	255.23	-1,227.74
Sold 1300 Shs 03/17/09 To Liquidnet Inc @ 2.35	03/20/09	3,028.98	-16,249.63
Sold 1700 Shs 03/18/09 To Liquidnet Inc @ 2.4521	03/23/09	4,134.54	-20,651.58
Sold 200 Shs 03/18/09 To Citation Group/Bcc Clrg @ 2.6461	03/23/09	525.21	-2,358.64

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 400 Shs 03/19/09 To Investment Technology Group Inc @ 2 5008	03/24/09	991.51	-4,754.05
Sold 300 Shs 03/19/09 To Liquidnet Inc @ 2 5116	03/24/09	747.47	-3,561 70
Sold 100 Shs 03/23/09 To Liquidnet Inc @ 2.585	03/26/09	256 49	-1,179.90
Sold 100 Shs 03/23/09 To Investment Technology Group Inc @ 2 5836	03/26/09	256.15	-1,180 24
Sold 100 Shs 03/24/09 To Liquidnet Inc @ 2 5699	03/27/09	254.98	-1,181.41
Sold 400 Shs 03/25/09 To Investment Technology Group Inc @ 2.5867	03/30/09	1,025.87	-4,380.09
Sold 400 Shs 03/25/09 To Liquidnet Inc @ 2.6179	03/30/09	1,039.15	-4,253 61
Sold 290 Shs 03/26/09 To Citation Group/Bcc Clrg @ 2.7343	03/31/09	787 14	-2,618 29
Sold 100 Shs 03/26/09 To Liquidnet Inc @ 2.7198	03/31/09	269.97	-889.22
1-800-Flowers Com Inc Cl A Sold 400 Shs 02/17/09 To Investment Technology Group Inc @ 2 3211	02/20/09	919.63	-2,545.57
Sold 500 Shs 02/18/09 To Liquidnet Inc @ 2.1565	02/23/09	1,068.24	-3,263 26
Sold 300 Shs 02/18/09 To Investment Technology Group Inc @ 2.155	02/23/09	639.89	-1,959.01
Sold 100 Shs 02/19/09 To Investment Technology Group Inc @ 2 175	02/24/09	215.29	-651.01
Sold 300 Shs 02/19/09 To Liquidnet Inc @ 2.1552	02/24/09	640.55	-1,958.35
Sold 100 Shs 02/20/09 To Investment Technology Group Inc @ 2.0006	02/25/09	197.85	-668.45
Sold 200 Shs 02/20/09 To Liquidnet Inc @ 2 0003	02/25/09	396.05	-1,336.55
Sold 60 Shs 02/23/09 To Citation Group/Bcc Clrg @ 1.9948	02/26/09	118.48	-401 30

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 30 Shs 02/23/09 To Investment Technology Group Inc @ 2.0588	02/26/09	61.09	-198.80
Sold 1700 Shs 02/24/09 To Liquidnet Inc @ 1.7537	02/27/09	2,947.27	-11,722.48
Sold 500 Shs 02/25/09 To Investment Technology Group Inc @ 1.498	03/02/09	737.99	-3,563.56
Sold 1000 Shs 02/25/09 To Liquidnet Inc @ 1.415	03/02/09	1,394.99	-7,208.11
Sold 400 Shs 03/03/09 To Liquidnet Inc @ 1.1186	03/06/09	439.43	-3,015.73
Sold 100 Shs 03/16/09 To Liquidnet Inc @ 2.0138	03/19/09	199.37	-664.42
Sold 400 Shs 03/17/09 To Investment Technology Group Inc @ 2.0066	03/20/09	793.83	-2,647.12
Sold 500 Shs 03/17/09 To Liquidnet Inc @ 2.0083	03/20/09	994.14	-3,303.27
Sold 200 Shs 03/18/09 To Liquidnet Inc @ 2.0133	03/23/09	398.65	-1,320.27
Sold 500 Shs 03/18/09 To Investment Technology Group Inc @ 2.013	03/23/09	995.49	-3,295.54
Sold 200 Shs 03/20/09 To Liquidnet Inc @ 2.0163	03/25/09	399.25	-1,316.37
Sold 1300 Shs 03/23/09 To Liquidnet Inc @ 2.0131	03/26/09	2,591.01	-8,558.41
Sold 300 Shs 03/23/09 To Citation Group/Bcc Clrg @ 2.0438	03/26/09	607.13	-1,965.37
Sold 500 Shs 03/23/09 To Investment Technology Group Inc @ 2.0095	03/26/09	993.74	-3,293.76
Sold 1000 Shs 03/26/09 To Citation Group/Bcc Clrg @ 2.2235	03/31/09	2,203.48	-6,365.09
Sold 200 Shs 03/27/09 To Citation Group/Bcc Clrg @ 2.2006	04/01/09	438.11	-1,261.57
Sold 600 Shs 04/02/09 To Investment Technology Group Inc @ 2.3538	04/07/09	1,399.07	-3,699.97

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 270 Shs 04/02/09 To Citation Group/Bcc Clrg @ 2 3567	04/07/09	630.90	-1,663.67
Sold 9100 Shs 04/02/09 To Liquidnet Inc @ 2 3011	04/07/09	20,757.89	-51,563.01
Penns Woods Bancorp Inc Com Sold 100 Shs 09/22/09 To Citation Group/Bcc Clrg @ 34.0504	09/25/09	3,402.95	-407 31
Sold 90 Shs 09/28/09 To Liquidnet Inc @ 34.0655	10/01/09	3,063.12	-298.61
Sold 160 Shs 09/28/09 To Citation Group/Bcc Clrg @ 34.0506	10/01/09	5,444.75	-517 83
Petmed Express Inc Com Sold 1800 Shs 05/18/09 To Liquidnet Inc @ 15 7103	05/21/09	28,223.81	-600.81
Sold 1000 Shs 05/18/09 To SBC Warburg Dillon Reed Inc @ 15.781	05/21/09	15,730.59	-94 89
Sold 600 Shs 06/19/09 To King C L & Assoc Inc @ 14.4024	06/24/09	8,611.21	-869.51
Sold 990 Shs 06/22/09 To King C. L & Assoc Inc @ 14.3189	06/25/09	14,125.84	-901.68
Sold 300 Shs 07/01/09 To SBC Warburg Dillon Reed Inc. @ 15.0767	07/07/09	4,507.89	54.07
Sold 1200 Shs 07/02/09 To Liquidnet Inc @ 14.5503	07/08/09	17,423.91	-318.61
Sold 500 Shs 07/06/09 To Liquidnet Inc @ 14.4596	07/09/09	7,214.61	-125.74
Sold 500 Shs 07/06/09 To Citation Group/Bcc Clrg @ 14.4951	07/09/09	7,237.36	-102.99
Sold 100 Shs 07/06/09 To SBC Warburg Dillon Reed Inc. @ 14.5045	07/09/09	1,445.41	-22.66
Sold 600 Shs 07/07/09 To Liquidnet Inc @ 14 385	07/10/09	8,612.77	-56.84
Sold 1100 Shs 07/07/09 To SBC Warburg Dillon Reed Inc. @ 14.4721	07/10/09	15,863.90	57 23

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Quidel Corp Com			
Sold 1360 Shs 04/27/09	04/30/09	13,585.22	161.07
To Lynch Jones & Ryan Inc			
@ 10.0394			
Sold 1000 Shs 04/27/09	04/30/09	9,887.24	501.70
To SBC Warburg Dillon Reed Inc.			
@ 9.9375			
Sold 3600 Shs 04/27/09	04/30/09	37,016.76	6,516.02
To King C. L. & Assoc Inc			
@ 10.3327			
Ramco-Gershenson Properties Com			
Sold 400 Shs 05/18/09	05/21/09	3,970.45	-5,997.07
To SBC Warburg Dillon Reed Inc.			
@ 9.9664			
Sold 600 Shs 05/19/09	05/22/09	5,693.91	-9,041.37
To Green Street Advisors			
@ 9.5301			
Sold 1000 Shs 05/20/09	05/26/09	9,452.05	-15,003.08
To Green Street Advisors			
@ 9.4923			
Sold 500 Shs 05/21/09	05/27/09	4,448.43	-7,756.92
To SBC Warburg Dillon Reed Inc.			
@ 8.9371			
Sold 300 Shs 05/21/09	05/27/09	2,705.48	-4,617.73
To Investment Technology Group Inc			
@ 9.0405			
Sold 700 Shs 05/21/09	05/27/09	6,304.45	-10,686.38
To BNY Convergenx			
@ 9.0466			
Sold 200 Shs 05/26/09	05/29/09	1,940.00	-2,760.08
To BNY Convergenx			
@ 9.7403			
Rehabcare Group Inc Com			
Sold 720 Shs 06/10/09	06/15/09	15,023.92	3,474.41
To Citigroup Global Markets Inc (S			
@ 20.9171			
Sold 1000 Shs 10/15/09	10/20/09	20,254.87	4,338.67
To SBC Warburg Dillon Reed Inc.			
@ 20.3054			
Sold 900 Shs 10/15/09	10/20/09	18,266.82	4,019.14
To Hibernia Southcoast Capital Inc			
@ 20.347			
Sold 600 Shs 10/16/09	10/21/09	12,267.16	2,918.18
To SBC Warburg Dillon Reed Inc.			
@ 20.4958			
Sold 1850 Shs 10/16/09	10/21/09	37,816.17	10,519.15
To National Financial Svcs Corp			
@ 20.4917			



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Retail Ventures Inc Com Sold 600 Shs 05/28/09 To SBC Warburg Dillon Reed Inc. @ 3.0404	06/02/09	1,806.19	-119.81
Sold 800 Shs 05/28/09 To Kevin Dann Partners LLC @ 3.037	06/02/09	2,405.53	-162.47
Sold 3500 Shs 05/28/09 To BNY Convergenx @ 3.0272	06/02/09	10,489.92	-591.30
Sold 1800 Shs 05/29/09 To Merrill Lynch Professional Clea @ 2.9753	06/03/09	5,301.40	-301.12
Sold 1800 Shs 06/01/09 To Merrill Lynch Professional Clea @ 2.879	06/04/09	5,128.06	-387.19
Sold 100 Shs 06/01/09 To Liquidnet Inc @ 2.94	06/04/09	291.99	-12.74
Sold 2640 Shs 06/02/09 To Kevin Dann Partners LLC @ 2.7893	06/05/09	7,284.36	-727.64
Sold 1220 Shs 06/03/09 To Liquidnet Inc @ 2.7123	06/08/09	3,284.52	-334.83
Rosetta Resources Inc Com Sold 400 Shs 08/12/09 To Liquidnet Inc @ 12.3219	08/17/09	4,916.63	1,266.39
Sold 400 Shs 08/12/09 To SBC Warburg Dillon Reed Inc. @ 12.3023	08/17/09	4,900.79	1,250.55
Sold 470 Shs 09/10/09 To Simmons & Company Intl @ 13.4078	09/15/09	6,278.00	2,002.18
Sold 430 Shs 09/10/09 To Liquidnet Inc @ 13.4886	09/15/09	5,787.05	1,888.58
Sold 630 Shs 09/11/09 To Simmons & Company Intl @ 14.3174	09/16/09	8,988.22	3,364.93
Sold 1140 Shs 10/06/09 To Simmons & Company Intl @ 15.1386	10/09/09	17,200.55	7,148.26
Sold 2600 Shs 12/15/09 To Johnson Rice & Co @ 18.5611	12/18/09	48,127.61	25,589.32
Sold 480 Shs 12/16/09 To Johnson Rice & Co @ 18.4234	12/21/09	8,819.00	4,936.28



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

## Sale Activity

	Date	Proceeds	Realized Gain/Loss
Spss Inc Com			
Sold 400 Shs 02/13/09	02/19/09	11,418.21	-1,956.43
To Citation Group/Bcc Clrg			
@ 28.5657			
Sold 210 Shs 02/13/09	02/19/09	5,985.21	-1,036.47
To Liquidnet Inc			
@ 28.5312			
Sold 300 Shs 06/02/09	06/05/09	10,264.29	239.29
To Liquidnet Inc			
@ 34.2452			
Sold 250 Shs 06/02/09	06/05/09	8,570.82	243.71
To Citation Group/Bcc Clrg			
@ 34.3042			
Sold 130 Shs 09/25/09	09/30/09	6,485.36	2,161.29
To Investment Technology Group Inc			
@ 49.9107			
Sold 1700 Shs 09/25/09	09/30/09	84,760.66	28,403.31
To Capstone			
@ 49.9105			
To Remove 1,400 Shs on	10/05/09	70,000.00	24,717.83
Cash Merger @ 50.00 Per Sh			
Saga Communications Inc Cl A New			
Sold 100 Shs 02/05/09	02/10/09	413.58	-5,062.65
To Citation Group/Bcc Clrg			
@ 4.1559			
Sold 100 Shs 02/06/09	02/11/09	412.99	-5,047.89
To Citation Group/Bcc Clrg			
@ 4.15			
Sold 700 Shs 02/06/09	02/11/09	2,849.26	-27,968.49
To Liquidnet Inc			
@ 4.0904			
Sold 100 Shs 02/17/09	02/20/09	409.61	-3,401.74
To Investment Technology Group Inc			
@ 4.1182			
Sold 100 Shs 02/18/09	02/23/09	400.65	-3,408.11
To Citation Group/Bcc Clrg			
@ 4.0266			
Sold 40 Shs 02/23/09	02/26/09	156.02	-1,364.98
To Liquidnet Inc			
@ 3.9208			
Sold 150 Shs 02/26/09	03/03/09	492.35	-5,184.06
To Citation Group/Bcc Clrg			
@ 3.3024			
Sold 100 Shs 03/03/09	03/06/09	323.02	-3,417.64
To Citation Group/Bcc Clrg			
@ 3.2503			
Sold 240 Shs 03/05/09	03/10/09	757.86	-8,170.22
To Citation Group/Bcc Clrg			
@ 3.1778			

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 298 Shs 03/10/09 To Citation Group/Bcc Clrg @ 3.0689	03/13/09	908.56	-10,172.51
Saia Inc Com Sold 100 Shs 06/08/09 To Liquidnet Inc @ 14.0296	06/11/09	1,399.92	96.75
Sold 900 Shs 06/08/09 To Donaldson Lufkin & Jenrette @ 13.9821	06/11/09	12,538.56	993.62
Sold 2070 Shs 06/09/09 To Donaldson Lufkin & Jenrette @ 14.3888	06/12/09	29,680.55	3,987.74
Sold 130 Shs 06/17/09 To Citation Group/Bcc Clrg @ 15.5917	06/22/09	2,024.26	461.01
Sold 200 Shs 06/17/09 To Investment Technology Group Inc @ 15.5734	06/22/09	3,110.19	803.41
Sold 300 Shs 06/17/09 To Liquidnet Inc @ 15.5771	06/22/09	4,664.00	1,289.31
Sold 470 Shs 06/18/09 To Liquidnet Inc @ 17.929	06/23/09	8,412.31	3,276.24
Seabright Ins Hldgs Inc Com Sold 1100 Shs 04/15/09 To Sidoti & Company, LLC @ 10.9078	04/20/09	11,943.27	-7,169.60
Sold 600 Shs 04/16/09 To Sidoti & Company, LLC @ 10.7669	04/21/09	6,429.97	-3,841.03
Sold 300 Shs 04/16/09 To Liquidnet Inc @ 10.6554	04/21/09	3,187.53	-1,944.74
Sold 200 Shs 04/17/09 To Investment Technology Group Inc @ 10.5309	04/22/09	2,101.72	-1,316.66
Sold 100 Shs 04/17/09 To Liquidnet Inc @ 10.5438	04/22/09	1,051.35	-652.15
Sold 100 Shs 04/20/09 To Liquidnet Inc @ 10.1734	04/23/09	1,014.31	-639.28
Sold 100 Shs 04/20/09 To Investment Technology Group Inc @ 10.3034	04/23/09	1,028.11	-625.48
Sold 200 Shs 04/21/09 To Investment Technology Group Inc @ 9.8583	04/24/09	1,967.20	-1,325.80

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Stage Stores Inc Com Sold 1230 Shs 03/11/09 To Johnson Rice & Co @ 7.3614	03/16/09	8,992.96	-10,344.38
Sold 960 Shs 04/01/09 To Caris & Company Inc @ 10.2039	04/06/09	9,747.68	-5,223.51
Sold 1090 Shs 05/06/09 To Johnson Rice & Co @ 11.3246	05/11/09	12,288.99	-4,459.54
Sold 750 Shs 07/01/09 To BNY Convergenx @ 11.5652	07/07/09	8,636.17	-2,831.54
Sold 100 Shs 07/02/09 To BNY Convergenx @ 10.4012	07/08/09	1,035.09	-492.70
Sold 260 Shs 07/15/09 To Citation Group/Bcc Clrg @ 11.1679	07/20/09	2,898.37	-1,071.51
Sold 1160 Shs 09/03/09 To Buckingham Research Group Inc @ 12.7688	09/09/09	14,753.42	-2,800.34
Sold 600 Shs 09/04/09 To Buckingham Research Group Inc @ 12.5483	09/10/09	7,498.78	-1,493.54
Sold 270 Shs 09/08/09 To Buckingham Research Group Inc @ 12.3209	09/11/09	3,313.05	-733.49
Sold 100 Shs 09/09/09 To Liquidnet Inc @ 12.144	09/14/09	1,211.36	-287.35
Sold 390 Shs 09/11/09 To Johnson Rice & Co @ 12.4302	09/16/09	4,828.15	-1,016.63
Sold 260 Shs 09/14/09 To Liquidnet Inc @ 12.5826	09/17/09	3,263.59	-536.11
Sold 520 Shs 09/14/09 To Kevin Dann Partners LLC @ 12.744	09/17/09	6,600.70	1,614.48
Sold 390 Shs 09/15/09 To Kevin Dann Partners LLC @ 12.7627	09/18/09	4,957.82	2,946.94
Sold 150 Shs 09/16/09 To Liquidnet Inc @ 13.0314	09/21/09	1,950.15	1,176.74
Sold 260 Shs 09/16/09 To BNY Convergenx @ 13.026	09/21/09	3,373.67	2,033.08
Sold 100 Shs 09/17/09 To Liquidnet Inc @ 13.1682	09/22/09	1,313.78	800.15



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 09/18/09 To Liquidnet Inc @ 13.237	09/23/09	1,320.66	813.43
Sold 300 Shs 09/21/09 To SBC Warburg Dillon Reed Inc. @ 13.2857	09/24/09	3,970.60	2,448.91
Sold 90 Shs 09/22/09 To Citation Group/Bcc Clrg @ 13.65	09/25/09	1,226.66	770.15
Standard Pkg Corp Com Sold 3000 Shs 06/02/09 To Isi Group Inc @ 14.7185	06/05/09	44,004.36	-12,808.54
Sold 280 Shs 06/03/09 To Investment Technology Group Inc @ 14.5472	06/08/09	4,066.95	-805.02
Sold 210 Shs 06/03/09 To Citation Group/Bcc Clrg @ 14.5721	06/08/09	3,055.86	-536.40
Sold 130 Shs 06/03/09 To Liquidnet Inc @ 14.5794	06/08/09	1,891.37	-326.13
Sold 240 Shs 06/04/09 To Citation Group/Bcc Clrg @ 14.7483	06/09/09	3,534.69	-536.74
Standex Intl Corp Com Sold 100 Shs 05/14/09 To Weeden & Co @ 10.7673	05/19/09	1,072.70	-1,023.06
Sold 200 Shs 05/14/09 To Kevin Dann Partners LLC @ 10.8145	05/19/09	2,154.84	-2,036.67
Sold 200 Shs 05/15/09 To Weeden & Co @ 10.3631	05/20/09	2,064.56	-2,126.77
Sold 300 Shs 05/15/09 To Kevin Dann Partners LLC @ 10.3258	05/20/09	3,085.66	-3,193.30
Sold 200 Shs 05/18/09 To Liquidnet Inc @ 10.4393	05/21/09	2,081.80	-2,097.02
Sold 300 Shs 05/18/09 To Citation Group/Bcc Clrg @ 10.4766	05/21/09	3,136.89	-3,129.34
Sold 120 Shs 05/19/09 To Weeden & Co @ 10.539	05/22/09	1,259.84	-1,245.73
Sold 300 Shs 05/20/09 To Citation Group/Bcc Clrg @ 10.5346	05/26/09	3,154.29	-3,108.20

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 05/20/09 To Investment Technology Group Inc @ 10.9162	05/26/09	1,089.39	-996.41
Sold 300 Shs 05/21/09 To Citation Group/Bcc Clrg @ 10.0434	05/27/09	3,006.94	-3,239.13
Sold 50 Shs 05/21/09 To Liquidnet Inc @ 10.1602	05/27/09	506.49	-533.87
Sold 100 Shs 05/27/09 To Citation Group/Bcc Clrg @ 10.0313	06/01/09	1,001.10	-876.58
Sold 20 Shs 05/28/09 To Liquidnet Inc @ 10.1444	06/02/09	202.28	-174.12
Sold 120 Shs 05/28/09 To Citation Group/Bcc Clrg @ 10.0334	06/02/09	1,201.57	-1,056.83
Sold 500 Shs 06/01/09 To Weeden & Co @ 10.4868	06/04/09	5,223.26	-4,521.25
Sold 500 Shs 06/02/09 To Citation Group/Bcc Clrg @ 10.525	06/05/09	5,252.36	-4,962.69
Sold 120 Shs 06/03/09 To Citation Group/Bcc Clrg @ 10.4122	06/08/09	1,247.02	-1,208.09
Sold 500 Shs 06/04/09 To Kevin Dann Partners LLC @ 10.6843	06/09/09	5,317.01	-4,884.49
Sold 60 Shs 06/05/09 To Citation Group/Bcc Clrg @ 10.8442	06/10/09	649.43	-542.26
Starrett L S Co Cl A Com Sold 200 Shs 12/29/08 To Investment Technology Group Inc @ 15.5	01/02/09	3,095.98	-1,097.47
Sold 200 Shs 05/14/09 To Liquidnet Inc @ 9.0876	05/19/09	1,811.47	-2,098.97
Sold 100 Shs 05/15/09 To Citation Group/Bcc Clrg @ 9.0354	05/20/09	901.51	-1,042.11
Sold 100 Shs 05/19/09 To Citation Group/Bcc Clrg @ 8.827	05/22/09	880.67	-978.39
Sold 100 Shs 05/20/09 To Citation Group/Bcc Clrg @ 9.0702	05/26/09	904.99	-951.99
Sold 100 Shs 05/20/09 To Investment Technology Group Inc @ 8.9276	05/26/09	890.53	-961.18

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 05/21/09 To Liquidnet Inc @ 8.5	05/27/09	846.97	-994.65
Sold 50 Shs 05/22/09 To Citation Group/Bcc Clrg @ 8.3542	05/28/09	416.69	-490.04
Sold 90 Shs 06/01/09 To Citation Group/Bcc Clrg @ 8.6385	06/04/09	775.65	-855.92
Sold 20 Shs 06/02/09 To Liquidnet Inc @ 8.255	06/05/09	164.49	-197.93
Sold 120 Shs 06/02/09 To Citation Group/Bcc Clrg @ 8.156	06/05/09	976.29	-1,178.54
Sold 180 Shs 06/03/09 To Citation Group/Bcc Clrg @ 7.8	06/08/09	1,400.36	-1,782.70
Sold 60 Shs 06/05/09 To Citation Group/Bcc Clrg @ 8.2672	06/10/09	494.81	-544.09
Sold 100 Shs 06/08/09 To Citation Group/Bcc Clrg @ 8.561	06/11/09	854.07	-905.40
Sold 170 Shs 06/09/09 To Citation Group/Bcc Clrg @ 8.1629	06/12/09	1,384.25	-2,177.40
Sold 100 Shs 06/10/09 To Citation Group/Bcc Clrg @ 7.8223	06/15/09	780.20	-1,314.89
Sold 100 Shs 06/11/09 To Citation Group/Bcc Clrg @ 7.6588	06/16/09	763.86	-1,331.23
Sold 50 Shs 06/12/09 To Citation Group/Bcc Clrg @ 7.762	06/17/09	387.09	-660.19
Sold 100 Shs 06/16/09 To Citation Group/Bcc Clrg @ 7.4957	06/19/09	747.55	-1,345.14
Sold 120 Shs 06/17/09 To Citation Group/Bcc Clrg @ 7.2435	06/22/09	866.79	-1,645.92
Sold 100 Shs 06/23/09 To Citation Group/Bcc Clrg @ 7.1048	06/26/09	708.46	-1,384.97
Sold 100 Shs 06/30/09 To Citation Group/Bcc Clrg @ 7.5421	07/06/09	752.19	-1,328.04
Sold 150 Shs 07/01/09 To Citation Group/Bcc Clrg @ 7.0666	07/07/09	1,056.96	-2,034.18

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 100 Shs 07/02/09 To Citation Group/Bcc Clrg @ 7.0414	07/08/09	702.12	-1,354.76
Sold 70 Shs 07/07/09 To Citation Group/Bcc Clrg @ 6.7893	07/10/09	473.84	-965.97
Sold 30 Shs 07/08/09 To Liquidnet Inc @ 6.9642	07/13/09	208.02	-406.00
Sold 70 Shs 07/08/09 To Citation Group/Bcc Clrg @ 7.009	07/13/09	489.22	-943.49
Sold 60 Shs 07/09/09 To Citation Group/Bcc Clrg @ 6.8196	07/14/09	407.97	-793.38
Sold 100 Shs 07/13/09 To Citation Group/Bcc Clrg @ 7.0494	07/16/09	702.92	-1,272.01
Sold 200 Shs 07/14/09 To Citation Group/Bcc Clrg @ 7.19	07/17/09	1,433.96	-2,490.73
Sold 100 Shs 07/14/09 To Liquidnet Inc @ 7.1681	07/17/09	713.79	-1,222.89
Sold 180 Shs 07/15/09 To Citation Group/Bcc Clrg @ 7.1708	07/20/09	1,287.10	-2,184.09
Sold 60 Shs 07/15/09 To Liquidnet Inc @ 7.2002	07/20/09	430.19	-719.21
Sold 20 Shs 07/20/09 To Liquidnet Inc @ 8.1583	07/23/09	162.56	-219.80
Sold 210 Shs 07/20/09 To Citation Group/Bcc Clrg @ 8.1275	07/23/09	1,702.53	-2,247.93
Sold 110 Shs 07/21/09 To Citation Group/Bcc Clrg @ 8.0512	07/24/09	883.40	-1,169.04
Sold 500 Shs 07/23/09 To SBC Warburg Dillon Reed Inc. @ 8.123	07/28/09	4,041.39	-5,166.78
Sold 50 Shs 07/27/09 To Liquidnet Inc @ 7.8566	07/30/09	391.31	-524.02
Sold 100 Shs 07/27/09 To Citation Group/Bcc Clrg @ 7.8364	07/30/09	781.61	-711.69
Sold 500 Shs 07/28/09 To Citation Group/Bcc Clrg @ 8.0581	07/31/09	4,018.94	-2,620.58



**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 430 Shs 07/29/09 To Citation Group/Bcc Clrg @ 8.005	08/03/09	3,433.46	-1,843.41
Sold 50 Shs 07/30/09 To Liquidnet Inc @ 8.2586	08/04/09	411.41	-121.91
Sold 400 Shs 07/30/09 To Citation Group/Bcc Clrg @ 8.2556	08/04/09	3,294.15	-972.41
Sold 100 Shs 07/31/09 To Citation Group/Bcc Clrg @ 8.3907	08/05/09	837.04	-229.60
Sun Cmnty Inc Com Sold 100 Shs 05/18/09 To Citation Group/Bcc Clrg @ 14.6422	05/21/09	1,462.18	-599.45
Sold 360 Shs 05/19/09 To Citigroup Global Markets Inc (S @ 14.5488	05/22/09	5,219.43	-2,188.69
Sold 600 Shs 05/20/09 To Citigroup Global Markets Inc (S @ 14.1949	05/26/09	8,486.72	-3,797.60
Sold 300 Shs 05/21/09 To BNY Convergenx @ 13.6997	05/27/09	4,094.80	-2,000.72
Sold 100 Shs 05/22/09 To BNY Convergenx @ 13.6525	05/28/09	1,360.21	-671.63
Sold 200 Shs 05/26/09 To BNY Convergenx @ 14.2393	05/29/09	2,837.78	-1,225.90
Sold 200 Shs 09/11/09 To Harris Nesbitt Corp @ 18.0276	09/16/09	3,595.42	-468.26
Sold 800 Shs 09/14/09 To Harris Nesbitt Corp @ 18.2586	09/17/09	14,566.50	-1,678.70
Sold 240 Shs 09/15/09 To Harris Nesbitt Corp @ 18.7	09/18/09	4,475.88	-394.69
Ufp Technologies Inc Com Sold 100 Shs 12/29/08 To Investment Technology Group Inc @ 5.0585	01/02/09	503.84	-533.65
Sold 100 Shs 12/30/08 To Investment Technology Group Inc @ 5.125	01/05/09	510.49	-527.00

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
USA Truck Inc Sold 100 Shs 05/14/09 To Liquidnet Inc @ 15.4904	05/19/09	1,546.00	195.80
Sold 100 Shs 05/15/09 To Investment Technology Group Inc @ 14.4686	05/20/09	1,444.62	94.42
Sold 100 Shs 05/18/09 To Citation Group/Bcc Clrg @ 14.7297	05/21/09	1,470.93	120.73
Sold 100 Shs 05/18/09 To Investment Technology Group Inc @ 14.685	05/21/09	1,466.26	116.06
Sold 100 Shs 05/19/09 To Citation Group/Bcc Clrg @ 14.893	05/22/09	1,487.26	137.06
Sold 100 Shs 05/20/09 To Investment Technology Group Inc @ 14.5455	05/26/09	1,452.31	102.11
Sold 100 Shs 05/21/09 To Citation Group/Bcc Clrg @ 13.9064	05/27/09	1,388.60	38.40
Sold 50 Shs 05/28/09 To Citation Group/Bcc Clrg @ 13.7628	06/02/09	687.12	12.02
Sold 100 Shs 05/29/09 To Citation Group/Bcc Clrg @ 14.0021	06/03/09	1,398.17	47.97
Sold 100 Shs 05/29/09 To Liquidnet Inc @ 14.0237	06/03/09	1,399.33	49.13
Sold 600 Shs 06/01/09 To Donaldson Lufkin & Jenrette @ 14.2004	06/04/09	8,490.02	394.23
Sold 160 Shs 06/01/09 To Liquidnet Inc @ 14.1825	06/04/09	2,264.34	109.80
Sold 150 Shs 06/09/09 To Citation Group/Bcc Clrg @ 15.0452	06/12/09	2,253.72	234.38
Sold 150 Shs 06/09/09 To Liquidnet Inc @ 15.0669	06/12/09	2,255.48	236.96
Sold 50 Shs 06/10/09 To Citation Group/Bcc Clrg @ 15.0079	06/15/09	749.38	76.54
Sold 100 Shs 06/11/09 To Citation Group/Bcc Clrg @ 15.026	06/16/09	1,500.56	154.88
Sold 120 Shs 07/07/09 To Citation Group/Bcc Clrg @ 13.6033	07/10/09	1,629.96	15.14

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 60 Shs 07/17/09 To Citation Group/Bcc Clrg @ 13.5203	07/22/09	809.99	2.58
Sold 300 Shs 09/01/09 To Investment Technology Group Inc @ 12.9726	09/04/09	3,885.07	-151.97
Sold 100 Shs 09/03/09 To Citation Group/Bcc Clrg @ 12.7091	09/09/09	1,268.87	-76.81
Sold 100 Shs 09/04/09 To Citation Group/Bcc Clrg @ 12.9088	09/10/09	1,288.84	-56.84
Sold 200 Shs 09/08/09 To Citation Group/Bcc Clrg @ 12.7654	09/11/09	2,549.01	-142.35
Sold 100 Shs 09/09/09 To Citation Group/Bcc Clrg @ 12.5825	09/14/09	1,256.21	-89.47
Sold 50 Shs 09/10/09 To Investment Technology Group Inc @ 12.4092	09/15/09	619.34	-53.50
Sold 130 Shs 09/10/09 To Citation Group/Bcc Clrg @ 12.3149	09/15/09	1,598.29	-151.09
Sold 30 Shs 09/10/09 To Liquidnet Inc @ 12.6025	09/15/09	377.17	-26.53
Sold 70 Shs 09/14/09 To Liquidnet Inc @ 12.2925	09/17/09	858.35	-83.63
Sold 80 Shs 09/14/09 To Citation Group/Bcc Clrg @ 12.3047	09/17/09	982.75	-93.79
Sold 350 Shs 09/16/09 To Liquidnet Inc @ 12.2692	09/21/09	4,283.60	-414.15
Sold 100 Shs 09/16/09 To Investment Technology Group Inc @ 12.2858	09/21/09	1,226.34	-109.21
Sold 100 Shs 09/17/09 To Liquidnet Inc @ 12.3348	09/22/09	1,230.44	-101.73
Sold 1000 Shs 09/18/09 To SBC Warburg Dillon Reed Inc. @ 12.4046	09/23/09	12,354.28	-954.12
Sold 200 Shs 09/21/09 To Liquidnet Inc @ 12.4695	09/24/09	2,487.83	-173.85
Sold 200 Shs 09/22/09 To Citation Group/Bcc Clrg @ 12.5773	09/25/09	2,511.39	-150.29

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 500 Shs 09/22/09 To SBC Warburg Dillon Reed Inc @ 12.5319	09/25/09	6,240.78	-413.42
Sold 200 Shs 09/22/09 To Liquidnet Inc @ 12.5773	09/25/09	2,509.39	-152.29
Sold 300 Shs 09/23/09 To Liquidnet Inc @ 12.4904	09/28/09	3,738.02	-254.50
Sold 100 Shs 09/23/09 To Investment Technology Group Inc @ 12.4141	09/28/09	1,239.17	-91.67
Sold 100 Shs 09/28/09 To Citation Group/Bcc Clrg @ 12.4011	10/01/09	1,238.07	-89.54
Sold 200 Shs 09/29/09 To Citation Group/Bcc Clrg @ 12.4002	10/02/09	2,475.97	-165.05
Sold 70 Shs 09/29/09 To Investment Technology Group Inc @ 12.3524	10/02/09	863.10	-53.13
Universal Electrs Inc Com Sold 100 Shs 09/04/09 To Investment Technology Group Inc @ 17.2671	09/10/09	1,724.46	-446.16
Sold 200 Shs 09/09/09 To Investment Technology Group Inc @ 18.2031	09/14/09	3,636.12	-694.79
Sold 140 Shs 09/10/09 To Investment Technology Group Inc @ 20.3333	09/15/09	2,843.50	-125.15
Urstadt Biddle Pptys Inc Cl A Sold 200 Shs 05/19/09 To BNY Convergenx @ 13.8812	05/22/09	2,766.16	-413.56
Sold 300 Shs 05/20/09 To Citation Group/Bcc Clrg @ 14.1478	05/26/09	4,238.23	-523.63
Sold 400 Shs 05/21/09 To SBC Warburg Dillon Reed Inc. @ 13.0102	05/27/09	5,183.94	-1,165.02
Sold 100 Shs 05/22/09 To Citation Group/Bcc Clrg @ 13.0155	05/28/09	1,299.51	-287.73
Sold 200 Shs 05/26/09 To SBC Warburg Dillon Reed Inc. @ 13.3501	05/29/09	2,659.95	-506.01
Sold 500 Shs 07/15/09 To Citation Group/Bcc Clrg @ 15.1431	07/20/09	7,561.35	179.04

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 800 Shs 07/16/09 To Citigroup Global Markets Inc (S @ 15.0232	07/21/09	11,978.25	971.38
Sold 300 Shs 07/17/09 To SBC Warburg Dillon Reed Inc. @ 15.0008	07/22/09	4,485.12	647.28
Sold 340 Shs 07/20/09 To Green Street Advisors @ 15.0288	07/23/09	5,092.65	751.69
Sold 200 Shs 07/21/09 To SBC Warburg Dillon Reed Inc. @ 15.0262	07/24/09	2,995.16	444.48
Sold 190 Shs 07/22/09 To Citation Group/Bcc Clrg @ 15.0412	07/27/09	2,853.95	441.52
Sold 510 Shs 07/23/09 To SBC Warburg Dillon Reed Inc. @ 15.0914	07/28/09	7,670.91	1,260.09
Sold 140 Shs 08/05/09 To Citation Group/Bcc Clrg @ 15.9609	08/10/09	2,231.67	518.74
Sold 400 Shs 08/06/09 To Citigroup Global Markets Inc (S @ 15.9706	08/11/09	6,368.07	1,473.98
Sold 400 Shs 08/07/09 To SBC Warburg Dillon Reed Inc. @ 16.096	08/12/09	6,418.23	1,549.02
Sold 100 Shs 08/10/09 To Liquidnet Inc @ 16.0434	08/13/09	1,601.29	391.59
Sold 500 Shs 08/10/09 To Green Street Advisors @ 16.0223	08/13/09	7,985.94	1,937.43
Sold 200 Shs 08/12/09 To Liquidnet Inc @ 16.1082	08/17/09	3,215.55	796.15
Sold 300 Shs 08/12/09 To Citation Group/Bcc Clrg @ 16.0098	08/17/09	4,796.81	1,167.71
Sold 100 Shs 08/13/09 To Citation Group/Bcc Clrg @ 15.9007	08/18/09	1,588.02	378.32
Sold 100 Shs 08/20/09 To Citation Group/Bcc Clrg @ 16.0338	08/25/09	1,601.33	391.63
Sold 100 Shs 08/21/09 To Citation Group/Bcc Clrg @ 16.0121	08/26/09	1,599.16	389.46
Sold 100 Shs 08/27/09 To Citation Group/Bcc Clrg @ 16.0068	09/01/09	1,598.63	388.93



# WESTLAKE HEALTH FDN CUST - DGHM & CO

Account Number:  
Statement Period:

71-N279-02-2  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 200 Shs 09/11/09 To Citation Group/Bcc Clrg @ 16.0268	09/16/09	3,201.27	781.86
Sold 20 Shs 09/15/09 To Liquidnet Inc @ 16	09/18/09	319.39	77.45
Sold 20 Shs 09/15/09 To Investment Technology Group Inc @ 16.005	09/18/09	319.65	87.71
Sold 130 Shs 09/15/09 To Citation Group/Bcc Clrg @ 16.0024	09/18/09	2,077.65	570.22
Sold 100 Shs 09/18/09 To Citation Group/Bcc Clrg @ 16.0069	09/23/09	1,598.64	439.31
Sold 110 Shs 09/18/09 To Liquidnet Inc @ 16.0064	09/23/09	1,757.35	483.09
Sold 300 Shs 09/21/09 To SBC Warburg Dillon Reed Inc. @ 16.0023	09/24/09	4,785.56	1,313.80
Sold 780 Shs 10/23/09 To Liquidnet Inc @ 15.2802	10/28/09	11,894.85	-281.88
Warren Res Inc Com Sold 200 Shs 12/29/08 To Wave Securities @ 1.8302	01/02/09	364.03	-1,785.11
Sold 200 Shs 12/29/08 To Investment Technology Group Inc @ 1.8344	01/02/09	362.87	-1,786.27
Sold 500 Shs 12/29/08 To Liquidnet Inc @ 1.8575	01/02/09	923.74	-4,400.79
Sold 400 Shs 12/30/08 To Wave Securities @ 1.75	01/05/09	695.99	-3,524.97
Sold 1100 Shs 12/30/08 To Investment Technology Group Inc @ 1.7539	01/05/09	1,907.27	-9,697.25
Sold 1600 Shs 12/30/08 To Liquidnet Inc @ 1.7804	01/05/09	2,832.62	-13,951.54
Sold 1200 Shs 12/31/08 To Wave Securities @ 1.8717	01/06/09	2,234.02	-10,352.06
Sold 1000 Shs 12/31/08 To Liquidnet Inc @ 1.9096	01/06/09	1,899.58	-8,559.92
Sold 2000 Shs 01/02/09 To Wave Securities @ 2.0374	01/07/09	4,054.77	-16,095.39

**WESTLAKE HEALTH FDN CUST - DGHM & CO**Account Number:  
Statement Period:71-N279-02-2  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 900 Shs 01/02/09 To Liquidnet Inc @ 2.0485	01/07/09	1,834.63	-3,203.12
Sold 2830 Shs 01/05/09 To Liquidnet Inc @ 2.1758	01/08/09	6,129.17	-9,078.63
WEB Com Group Inc Com Sold 600 Shs 09/23/09 To Kaufman Brothers @ 7.3216	09/28/09	4,362.84	636.16
Sold 1110 Shs 09/24/09 To SBC Warburg Dillon Reed Inc @ 7.3769	09/29/09	8,143.74	1,264.23
Zoll Med Corp Com Sold 800 Shs 12/02/09 To Leerink Swann and Company @ 25.022	12/07/09	19,977.08	6,081.24
Sold 310 Shs 12/03/09 To SBC Warburg Dillon Reed Inc. @ 24.5802	12/08/09	7,604.16	2,363.77
Sold 200 Shs 12/03/09 To BNY Convergenx @ 24.8803	12/08/09	4,965.93	1,585.03
Marshall Prime Money Market CI Y Sales (124) 01/01/09 To 12/31/09	12/31/09	2,464,598.04	
<b>Total Sales</b>		<b>\$ 7,116,786.72</b>	<b>\$ -1,115,431.62</b>

**Non-Cash Activity**

	Date	Cost
Saga Communications Inc CI A To Remove 7,710 Shs Due To A 1 For 4 Reverse Split	02/02/09	-80,322.19
Saga Communications Inc CI A New Recd 1,928 Shs Reverse Split By Saga Communications Inc CI A Fractions Rounded Up	02/02/09	80,322.19
<b>Total Non-Cash Transactions</b>		<b>\$ 0.00</b>



# WESTLAKE HEALTH FDN CUST - EAGLE CAP

Account Number:  
Statement Period:

71-N279-05-5  
01/01/09 - 12/31/09

## Purchase Activity

	Date	Income Cash	Principal Cash
Purchased 2900 Shs 03/18/09 From Morgan Stanley & Co Inc/BNY @ 34.2524	03/23/09		-99,476.96
Purchased 100 Shs 04/01/09 From SBC Warburg Dillon Reed Inc @ 34.5064	04/06/09		-3,455.64
Yahoo Inc Com Purchased 6700 Shs 08/06/09 From SBC Warburg Dillon Reed Inc @ 14.7335	08/11/09		-99,049.45
Purchased 15900 Shs 08/06/09 From Pipeline Trading Systems LLC @ 14.7511	08/11/09		-234,860.49
Purchased 100 Shs 08/11/09 From Citigroup Global Markets Inc (S @ 14.3711	08/14/09		-1,442.11
Marshall Prime Money Market CI Y Purchases (85) 01/01/09 To 12/31/09	12/31/09		-5,705,355.38
<b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -7,859,110.94</b>

## Sale Activity

	Date	Proceeds	Realized Gain/Loss
Willis Group Holdings LTD Sold 5300 Shs 12/17/09 To Morgan Keegan & Co @ 26.3377	12/22/09	139,321.22	-57,356.96
Alleghany Corp Del Com Sold .280 Shs 04/30/09 To Misc Broker @ 245.268	04/30/09	68.67	1.80
Altera Corp Com Sold 8000 Shs 12/10/09 To Morgan Stanley & Co Inc/BNY @ 22.5154	12/15/09	179,718.57	8,264.57
Sold 8300 Shs 12/17/09 To Morgan Keegan & Co @ 22.2401	12/22/09	184,173.08	16,033.42
Sold 1500 Shs 12/21/09 To Morgan Keegan & Co @ 22.784	12/24/09	34,100.12	3,764.27
American Express Co Com Sold 2700 Shs 06/29/09 To Morgan Stanley & Co Inc/BNY @ 24.0463	07/02/09	64,788.34	-55,452.11



**WESTLAKE HEALTH FDN CUST - EAGLE CAP**Account Number:  
Statement Period:71-N279-05-5  
01/01/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1700 Shs 12/17/09 To Morgan Keegan & Co @ 40.5	12/22/09	68,763.23	-6,834.02
Cimarex Energy Co Com Sold 3800 Shs 11/23/09 To Simmons & Company Intl @ 46.3113	11/27/09	175,788.41	68,058.41
Coca Cola Co Com Sold 4400 Shs 12/21/09 To Morgan Keegan & Co @ 57.3412	12/24/09	252,074.79	64,315.10
Comcast Corp New Cl A Spl Sold 19800 Shs 12/21/09 To Morgan Keegan & Co @ 16.6013	12/24/09	327,707.29	-43,222.13
Costco Whsl Corp New Com Sold 7600 Shs 06/30/09 To Pipeline Trading Systems LLC @ 45.7683	07/06/09	347,678.14	-25,960.94
Directv Com Cl A Sold 281 Shs 12/23/09 To Misc Broker @ 33.183	12/23/09	9.32	5.64
Sold 10800 Shs 12/21/09 To Oppenheimer & Co Inc @ 33.7318	12/24/09	363,754.07	222,299.50
Dresser-Rand Group Inc Com Sold 7500 Shs 10/14/09 To Pipeline Trading Systems LLC @ 32.2386	10/19/09	241,633.28	65,008.28
L-3 Communications Hldgs Inc Com Sold 1400 Shs 12/17/09 To Morgan Keegan & Co @ 84.666	12/22/09	118,459.35	33,059.35
Sold 800 Shs 12/21/09 To Morgan Keegan & Co @ 85.3325	12/24/09	68,224.24	19,424.24
Liberty Media Hldg Corp Int Com Ser A Sold 30975 Shs 05/26/09 To Sanford C Bernstein & Co @ 5.8269	05/29/09	178,934.84	-378,416.82

**WESTLAKE HEALTH FDN CUST - EAGLE CAP**Account Number:  
Statement Period:71-N279-05-5  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Microsoft Corp Com Sold 15400 Shs 12/21/09 To Morgan Keegan & Co @ 30.6092	12/24/09	470,599.56	59,654.63
Millipore Corp Com Sold 2500 Shs 12/17/09 To Morgan Keegan & Co @ 71.2515	12/22/09	177,999.17	5,886.67
News Corp Cl A Sold 23800 Shs 12/17/09 To Oppenheimer & Co Inc @ 13.2379	12/22/09	313,863.92	157,624.62
Sold 5100 Shs 12/21/09 To Oppenheimer & Co Inc @ 13.8139	12/24/09	70,194.07	38,619.97
Noble Energy Inc Com Sold 1900 Shs 12/17/09 To Simmons & Company Intl @ 71.402	12/22/09	135,565.31	90,245.28
Peabody Energy Corp Com Sold 8300 Shs 07/23/09 To Pipeline Trading Systems LLC @ 32.51	07/28/09	269,660.06	-110,347.33
Praxair Inc Com Sold 3300 Shs 12/17/09 To Morgan Keegan & Co @ 81.1	12/22/09	267,458.12	62,287.38
Progressive Corp OH Com Sold 4800 Shs 12/21/09 To Morgan Keegan & Co @ 17.3801	12/24/09	83,182.33	-55,717.12
Scripps E W Co Ohio Cl A New Sold 2433 Shs 03/23/09 To Direct Trading Institutional In @ 1.742199	03/26/09	4,214.41	-21,019.90
Scripps Networks Interact In Cl A Com Sold 7300 Shs 06/10/09 To Sanford C Bernstein & Co @ 29.0193	06/15/09	211,470.44	-121,620.20

**WESTLAKE HEALTH FDN CUST - EAGLE CAP**Account Number:  
Statement Period:71-N279-05-5  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
3M Co Com Sold 1900 Shs 12/21/09 To Morgan Keegan & Co @ 81.7584	12/24/09	155,241.96	13,311.96
Wal Mart Stores Inc Com Sold 2300 Shs 03/17/09 To Morgan Stanley & Co Inc/BNY @ 49.78	03/20/09	114,378.35	4,672.85
Sold 1000 Shs 03/31/09 To Credit Suisse First Boston Corp @ 52.2619	04/07/09	52,211.60	5,909.60
Sold 6800 Shs 12/17/09 To Morgan Keegan & Co @ 52.8	12/22/09	358,690.77	47,046.77
Waste Management Inc Com Sold 1800 Shs 12/17/09 To Morgan Keegan & Co @ 32.75	12/22/09	58,858.48	4,042.18
Marshall Prime Money Market CI Y Sales (24) 01/01/09 To 12/31/09	12/31/09	6,699,860.61	
<b>Total Sales</b>		<b>\$ 12,188,646.12</b>	<b>\$ 113,588.96</b>

Non-Cash Activity		
	Date	Cost
Alleghany Corp Del Com Recd 17.280 Shs As A 2.0% Stock Div on 864 Shs	04/24/09	
Directv Com CI A Recd 25184.281 Shs As A Mandatory Exchange of Liberty Entmt Inc Com Ser A on Basis of 1.1113 Sh For Each 1 Sh Held	11/25/09	374,332.09
To Adjust Tax Cost Due To Split Off of Liberty Media Corp New Lib Star CI A From Directv Com CI A (Formerly Liberty Media Corp New Entertainmentcom Ser A)	12/17/09	-50,640.39

**WESTLAKE HEALTH FDN CUST - MUTUAL FD**Account Number:  
Statement Period:71-N279-03-0  
01/01/09 - 12/31/09**Purchase Activity**

	Date	Income Cash	Principal Cash
PIMCO Moderate Duration Fd CI I #120			
Recd 8291.754 Shs As A Reinvested	01/05/09		-82,337.12
Div At \$ 9.930 Per Sh			
Recd 8351.874 Shs As A Reinvested	02/03/09		-82,182.44
Div At \$ 9.840 Per Sh			
Recd 8457.705 Shs As A Reinvested	03/03/09		-81,024.82
Div At \$ 9.580 Per Sh			
Recd 7292.243 Shs As A Reinvested	04/02/09		-70,515.99
Div At \$ 9.670 Per Sh			
Recd 7614.775 Shs As A Reinvested	05/04/09		-74,853.24
Div At \$ 9.830 Per Sh			
Recd 7313.262 Shs As A Reinvested	06/02/09		-74,302.74
Div At \$ 10.160 Per Sh			
Recd 6778.131 Shs As A Reinvested	07/02/09		-68,933.59
Div At \$ 10.170 Per Sh			
Recd 6892.705 Shs As A Reinvested	08/04/09		-70,994.86
Div At \$ 10.300 Per Sh			
Recd 6129.044 Shs As A Reinvested	09/02/09		-63,925.95
Div At \$ 10.430 Per Sh			
Recd 5490.664 Shs As A Reinvested	10/02/09		-57,926.51
Div At \$ 10.550 Per Sh			
Recd 4848.760 Shs As A Reinvested	11/03/09		-51,445.34
Div At \$ 10.610 Per Sh			
Recd 4567.426 Shs As A Reinvested	12/02/09		-48,917.13
Div At \$ 10.710 Per Sh			
Recd 4807.431 Shs As A Reinvested	12/11/09		-50,574.17
Capital Gains Distribution			
Recd 22600.069 Shs As A Reinvested	12/11/09		-237,752.73
Capital Gains Distribution			
Marshall Prime Money Market CI Y			
Purchases (34) 01/01/09 To 12/31/09	12/31/09		-14,282,735.32
<b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -23,775,109.53</b>

**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Memo Entry Re: Coast Diversified			
Levered Fd II Alternative LTD			
Partial Redemption	09/10/09	1,526,255.06	-152,794.94
Return of Capital From Investment			
Liquidation Distribution	10/16/09	986,750.35	-68,089.65
PIMCO Moderate Duration Fd CI I #120			
Sold 3052.093 Shs 01/07/09	01/08/09	30,765.10	-305.21
@ 10.08			
Sold 129740.519 Shs 01/14/09	01/15/09	1,300,000.00	66,684.75
@ 10.02			

**WESTLAKE HEALTH FDN CUST - MUTUAL FD**Account Number:  
Statement Period:71-N279-03-0  
01/01/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 2700 Shs 01/16/09 @ 10	01/20/09	27,000.00	1,404.00
Sold 3341.157 Shs 02/23/09 @ 9.62	02/24/09	32,141.93	-2,639.52
Sold 2797.524 Shs 03/25/09 @ 9.65	03/26/09	26,996.11	-2,154.09
Sold 8541.882 Shs 04/02/09 @ 9.67	04/03/09	82,600.00	-6,369.66
Sold 33570.701 Shs 04/29/09 @ 9.83	04/30/09	330,000.00	5,387.12
Sold 15563.515 Shs 05/12/09 @ 10.07	05/13/09	156,724.60	3,173.25
Sold 1485.149 Shs 05/20/09 @ 10.1	05/21/09	15,000.00	920.79
Sold 2583.330 Shs 06/15/09 @ 10.12	06/16/09	26,143.30	-232.50
Sold 49115.914 Shs 06/25/09 @ 10.18	06/26/09	500,000.00	30,785.60
Sold 2963.690 Shs 06/26/09 @ 10.19	06/29/09	30,200.00	2,104.22
Sold 2416.431 Shs 07/10/09 @ 10.24	07/13/09	24,744.25	362.46
Sold 1391.070 Shs 07/17/09 @ 10.19	07/20/09	14,175.00	139.10
Sold 7125.850 Shs 08/10/09 @ 10.29	08/11/09	73,325.00	1,606.67
Sold 308.351 Shs 08/19/09 @ 10.37	08/20/09	3,197.60	101.76
Sold 1875 Shs 08/25/09 @ 10.4	08/26/09	19,500.00	675.00
Sold 1043.643 Shs 09/23/09 @ 10.54	09/24/09	11,000.00	490.52
Sold 932.804 Shs 10/05/09 @ 10.57	10/06/09	9,859.74	466.40
Sold 8003.308 Shs 10/13/09 @ 10.58	10/14/09	84,675.00	4,618.65
Sold 938.086 Shs 11/18/09 @ 10.66	11/19/09	10,000.00	975.61
Long Term Capital Gains Dist of \$.031 Per Sh on 1,591,383 Sh	12/11/09	50,574.17	50,574.17
Short Term Capital Gains Dist of \$.149 Per Sh on 1,591,383 Sh	12/11/09	237,752.73	237,752.73
Sold 12265.747 Shs 12/15/09 @ 10.51	12/16/09	128,913.00	10,103.10
Sold 8872.502 Shs 12/22/09 @ 10.51	12/23/09	93,250.00	7,630.35
Marshall Prime Money Market Cl Y Sales (30) 01/01/09 To 12/31/09	12/31/09	9,426,511.32	
<b>Total Sales</b>		<b>\$ 15,258,054.26</b>	<b>\$ 193,370.68</b>

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09**Purchase Activity**

	Date	Income Cash	Principal Cash
Marshall Prime Money Market CI Y Purchases (35) 01/01/09 To 12/31/09	12/31/09		-925,510.06
<b>Total Purchases</b>		<b>\$ 0.00</b>	<b>\$ -6,058,923.37</b>

**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Opentv Corp Com Tendered 22,200 Shs 11/27/2009 @ 1.55 Per Sh	11/27/09	34,410.00	5,751.71
Vistaprint LTD Sold 700 Shs 07/08/09 To Capital Institutional Services @ 39.93	07/13/09	27,915.28	2,230.60
Sold 707 Shs 07/10/09 To Merrill Lynch @ 40.77	07/15/09	28,788.30	4,202.37
Xoma LTD Sold 7100 Shs 07/15/09 To Morgan Keegan & Co @ .7578	07/20/09	5,273.74	-576.21
Sold 4300 Shs 07/24/09 To RBC Cap Mkts (Dain Rauscher) @ .7701	07/29/09	3,246.84	-151.79
Sold 2700 Shs 07/27/09 To RBC Cap Mkts (Dain Rauscher) @ .7707	07/30/09	2,040.33	-82.14
Core Laboratories N V Ord Sold 400 Shs 07/08/09 To Capital Institutional Services @ 80.1475	07/13/09	32,038.18	21,133.98
Sold 441 Shs 07/10/09 To Barclays Capital Le @ 80.5826	07/15/09	35,513.96	23,492.08
Atmi Inc Com Sold 1500 Shs 07/08/09 To Capital Institutional Services @ 15.14	07/13/09	22,634.42	-24,764.74
Sold 1500 Shs 07/10/09 To Merrill Lynch @ 15.2593	07/15/09	22,813.36	-18,594.43
Sold 1446 Shs 07/17/09 To SBC Warburg Dillon Reed Inc. @ 17.9509	07/22/09	25,884.03	10,374.67

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Aaron's Inc Sold 900 Shs 07/08/09 To Capital Institutional Services @ 28.5822	07/13/09	25,678.32	2,130.77
Sold 1091 Shs 07/10/09 To Merrill Lynch @ 28.7626	07/15/09	31,324.64	3,988.38
Airgas Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 37.4993	07/13/09	37,448.34	11,805.74
Sold 975 Shs 07/10/09 To Merrill Lynch @ 36.9946	07/15/09	36,020.06	11,018.53
American Ecology Corp Com New Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 16.4	07/13/09	16,349.58	-7,105.74
Sold 1143 Shs 07/09/09 To Merrill Lynch @ 16.4526	07/14/09	18,747.69	2,593.11
American Superconductor Corp Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 21.767	07/13/09	21,716.44	-2,300.36
Sold 1083 Shs 07/10/09 To Merrill Lynch @ 21.8863	07/15/09	23,648.10	-228.48
Ansys Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 28.44	07/13/09	28,389.27	10,197.32
Sold 800 Shs 07/10/09 To Merrill Lynch @ 29.1525	07/15/09	23,281.40	8,833.28
Sold 939 Shs 07/14/09 To Capital Institutional Services @ 29.7021	07/17/09	27,842.60	9,937.69
Arena Res Inc Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 26.7333	07/13/09	24,014.35	-1,032.68
Sold 981 Shs 07/09/09 To SBC Warburg Dillon Reed Inc. @ 28.2341	07/14/09	27,647.88	4,036.18

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Athenahealth Inc Com Sold 800 Shs 07/08/09 To Capital Institutional Services @ 32.4	07/13/09	25,879.33	-1,243.25
Sold 895 Shs 07/09/09 To Merrill Lynch @ 31.9545	07/14/09	28,553.79	448.80
Bristow Group Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 26.562	07/13/09	26,511.32	-15,296.65
Sold 600 Shs 07/10/09 To Merrill Lynch @ 26.6383	07/15/09	15,952.57	407.68
Sold 584 Shs 07/14/09 To Capital Institutional Services @ 28.0417	07/17/09	16,346.73	6,344.92
Calgon Carbon Corp Com Sold 1500 Shs 07/08/09 To Capital Institutional Services @ 11.43	07/13/09	17,069.56	-3,964.85
Sold 2675 Shs 07/09/09 To BNY Convergenx @ 11.46	07/14/09	30,520.96	-13,310.00
Carter Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 23.024	07/13/09	22,973.41	3,801.31
Sold 1000 Shs 07/10/09 To Merrill Lynch @ 22.5693	07/15/09	22,518.72	4,298.42
Sold 1033 Shs 07/14/09 To Raymond James & Associates @ 23.11	07/17/09	23,820.36	5,966.49
Caseys Gen Stores Inc Com Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 24.6907	07/13/09	34,496.09	2,528.11
Sold 1434 Shs 07/10/09 To Merrill Lynch @ 25.3068	07/15/09	36,217.32	6,648.41
Catalyst Health Solutions Inc Com Sold 1200 Shs 07/08/09 To Capital Institutional Services @ 24.0351	07/13/09	28,781.38	-6,250.37



**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1297 Shs 07/16/09 To RBC Cap Mkts (Dain Rauscher) @ 24.5	07/21/09	31,710.83	-4,436.12
Centennial Communications Corp New CI A New To Remove 10600 Shs on Cash Merger @ 8 50 Per Sh	11/09/09	90,100 00	9,236.40
Chicos Fas Inc Com Sold 1800 Shs 07/08/09 To Capital Institutional Services @ 8 48	07/13/09	15,191 61	-3,016.50
Sold 3530 Shs 07/09/09 To BNY Convergenx @ 8 6912	07/14/09	30,537 95	-4,734 57
Commvault Sys Inc Com Sold 1700 Shs 07/08/09 To Capital Institutional Services @ 15 3201	07/13/09	25,958.50	-7,628.64
Sold 1500 Shs 07/09/09 To Merrill Lynch @ 16.054	07/14/09	24,005 38	1,139 98
Sold 1000 Shs 07/10/09 To Merrill Lynch @ 15 559	07/15/09	15,508 60	1,510 30
Sold 1047 Shs 07/13/09 To Merrill Lynch @ 15 7001	07/16/09	16,385.23	3,989.80
Cubist Pharmaceuticals Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 17.06	07/13/09	17,009.56	572.03
Sold 1851 Shs 07/09/09 To Capital Institutional Services @ 16.8533	07/14/09	31,102.11	2,976.35
Deckers Outdoor Corp Sold 400 Shs 07/08/09 To Capital Institutional Services @ 63.5	07/13/09	25,379.35	2,129.57
Sold 568 Shs 07/13/09 To Merrill Lynch @ 64.4188	07/16/09	36,560.54	7,709.57
Diamond Foods Inc Com Sold 1000 Shs 07/10/09 To Merrill Lynch @ 25 58	07/15/09	25,529.34	84.61

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 1044 Shs 07/13/09 To Merrill Lynch @ 25.17	07/16/09	26,224.60	2,996.36
Dril-Quip Inc Com Sold 500 Shs 07/08/09 To Capital Institutional Services @ 34.277	07/13/09	17,113.06	-1,954.43
Sold 608 Shs 07/09/09 To Stifel Nicolaus & Co @ 36.2147	07/14/09	21,987.57	5,801.07
Epiq Systems Inc Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 14.8427	07/13/09	16,271.55	-1,282.68
Sold 1100 Shs 07/10/09 To Merrill Lynch @ 14.5909	07/15/09	15,994.58	-1,519.32
Sold 1012 Shs 07/14/09 To Capital Institutional Services @ 14.8001	07/17/09	14,926.72	-2,006.76
Emergency Medical Services A Sold 700 Shs 07/08/09 To Capital Institutional Services @ 38.2243	07/13/09	26,721.32	3,978.73
Sold 781 Shs 07/13/09 To BNY Convergenx @ 37.9812	07/16/09	29,623.50	6,538.72
Flir Sys Inc Com Sold 1500 Shs 07/08/09 To Capital Institutional Services @ 20.47	07/13/09	30,629.21	8,668.38
Sold 1400 Shs 07/09/09 To Merrill Lynch @ 21.21	07/14/09	29,623.24	9,126.47
Sold 1399 Shs 07/13/09 To BNY Convergenx @ 20.7518	07/16/09	28,961.07	10,039.72
Facet Biotech Corp Shs Sold 1500 Shs 07/27/09 To SBC Warburg Dillon Reed Inc. @ 8.2599	07/30/09	12,329.53	624.53
Flowers Foods Inc Com Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 22.0992	07/13/09	30,868.08	7,840.50

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09**Sale Activity**

	Date	Proceeds	Realized Gain/Loss
Sold 1000 Shs 07/10/09 To Merrill Lynch @ 21.43	07/15/09	21,379.45	4,995.05
Sold 1544 Shs 07/14/09 To Capital Institutional Services @ 21.8906	07/17/09	33,721.02	8,423.51
Fossil Inc Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 21.2001	07/13/09	23,264.51	-8,393.52
Sold 1123 Shs 07/14/09 To Merrill Lynch @ 22.9575	07/17/09	25,724.46	-6,335.90
Freds Inc Cl A Sold 1700 Shs 07/08/09 To Capital Institutional Services @ 11.9853	07/13/09	20,289.49	-4,994.26
Sold 1700 Shs 07/10/09 To Merrill Lynch @ 12.4436	07/15/09	21,068.58	-2,281.98
Sold 1720 Shs 07/13/09 To Merrill Lynch @ 12.4223	07/16/09	21,279.81	3,188.22
The Geo Group Inc Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 17.5818	07/13/09	19,284.48	-2,147.19
Sold 1000 Shs 07/10/09 To Merrill Lynch @ 17.15	07/15/09	17,099.56	-358.29
Sold 1164 Shs 07/14/09 To Capital Institutional Services @ 17.6552	07/17/09	20,491.92	1,529.97
Greatbatch Inc Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 20.7256	07/13/09	18,607.56	-1,205.79
Sold 800 Shs 07/09/09 To Morgan Keegan & Co @ 20.4925	07/14/09	16,353.57	-1,225.55
Sold 803 Shs 07/10/09 To Barclays Capital Le @ 20.628	07/15/09	16,523.70	-1,120.38
Greif Bros Corp Cl A Sold 621 Shs 07/08/09 To Capital Institutional Services @ 40.7132	07/13/09	25,251.20	-13,410.21

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Hms Hldgs Corp Com Sold 800 Shs 07/08/09 To Capital Institutional Services @ 39.65	07/13/09	31,679.18	9,396.33
Sold 966 Shs 07/10/09 To Barclays Capital Le @ 39.2662	07/15/09	37,881.87	13,271.17
Haemonetics Corp Mass Com Sold 700 Shs 07/08/09 To Capital Institutional Services @ 55.47	07/13/09	38,793.00	3,275.82
Sold 696 Shs 07/09/09 To BNY Convergenx @ 54.5996	07/14/09	37,965.54	-1,722.18
Healthcare Svcs Group Inc Com Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 17.5256	07/13/09	24,465.21	-3,395.49
Sold 1000 Shs 07/09/09 To Stifel Nicolaus & Co @ 17.472	07/14/09	17,421.55	-991.19
Sold 700 Shs 07/10/09 To Merrill Lynch @ 17.2843	07/15/09	12,063.70	-317.37
Sold 762 Shs 07/14/09 To Merrill Lynch @ 18.2419	07/17/09	13,861.87	1,318.58
Henry Jack & Assoc Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 19.93	07/13/09	19,879.49	-3,482.27
Sold 1350 Shs 07/10/09 To Merrill Lynch @ 20.0178	07/15/09	26,955.84	-4,164.80
Huron Consulting Group Inc Com Sold 700 Shs 07/08/09 To Capital Institutional Services @ 43.1829	07/13/09	30,192.25	-21,042.26
Sold 741 Shs 07/09/09 To Barclays Capital Le @ 43.6553	07/14/09	32,310.69	-9,174.52
Icf Intl Inc Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 24.8289	07/13/09	22,300.44	-2,214.55

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 949 Shs 07/10/09 To Merrill Lynch @ 24.1053	07/15/09	22,827.89	-2,297.66
Immucor Inc Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 13.5101	07/13/09	14,805.73	-21,912.34
Sold 2213 Shs 07/09/09 To Merrill Lynch @ 13.5472	07/14/09	29,868.53	-39,409.95
Informatica Corp Com Sold 1200 Shs 07/08/09 To Capital Institutional Services @ 16.35	07/13/09	19,559.50	2,776.54
Sold 2377 Shs 07/13/09 To Merrill Lynch @ 16.7765	07/16/09	39,757.87	8,080.59
Ilron Inc Com Sold 822 Shs 07/08/09 To Capital Institutional Services @ 50.3595	07/13/09	41,353.35	4,577.64
Jack In The Box Inc Com Sold 1300 Shs 07/08/09 To Capital Institutional Services @ 21.7246	07/13/09	28,176.25	290.14
Sold 1200 Shs 07/10/09 To Merrill Lynch @ 21.9763	07/15/09	26,310.88	2,394.74
Sold 1321 Shs 07/13/09 To Merrill Lynch @ 22.0659	07/16/09	29,082.25	7,945.48
Jo-Ann Stores Inc Com Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 19.18	07/13/09	26,781.31	3,491.28
Sold 1300 Shs 07/10/09 To Merrill Lynch @ 19.5262	07/15/09	25,318.41	4,175.79
Sold 1340 Shs 07/14/09 To Capital Institutional Services @ 21.0052	07/17/09	28,079.25	7,689.97
K12 Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 17.776	07/13/09	17,725.54	-215.39

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09**Sale Activity**

	<b>Date</b>	<b>Proceeds</b>	<b>Realized Gain/Loss</b>
Sold 1779 Shs 07/10/09 To Merrill Lynch @ 16.8512	07/15/09	29,888.56	-1,400.93
Key Energy Svcs Inc Com Sold 2000 Shs 07/08/09 To Capital Institutional Services @ 4.85	07/13/09	9,639.75	-2,001.05
Sold 4030 Shs 07/10/09 To Merrill Lynch @ 5.2362	07/15/09	20,940.15	-2,198.10
Kirby Corp Com Sold 897 Shs 07/08/09 To Capital Institutional Services @ 28.95	07/13/09	25,922.63	2,219.73
Knight Transn Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 16.07	07/13/09	16,019.59	-1,446.47
Sold 1910 Shs 07/10/09 To Merrill Lynch @ 16.0653	07/15/09	30,588.43	-2,557.95
Lodgenet Entertainment Corp Com Sold 1200 Shs 09/10/09 To Needham & Co @ 7.0004	09/15/09	8,352.26	4,285.58
Sold 1400 Shs 09/15/09 To Needham & Co @ 7.006	09/18/09	9,752.14	5,066.76
Medarex Inc Com Tendered 8800 Shs 8/28/2009 @ 16.00 Per Sh	08/28/09	140,800.00	67,777.58
Meridian Bioscience Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 22	07/13/09	21,949.43	-12,150.88
Sold 800 Shs 07/09/09 To Stifel Nicolaus & Co @ 21.2388	07/14/09	16,950.60	-9,432.02
Sold 1381 Shs 07/13/09 To Needham & Co @ 20.8572	07/16/09	28,733.99	-2,603.11
Monro Muffler Brake Sold 1000 Shs 07/10/09 To Merrill Lynch @ 24.93	07/15/09	24,879.36	177.34

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 1142 Shs 07/14/09 To Capital Institutional Services @ 25.2871	07/17/09	28,820.03	1,564.13
Monster Worldwide Inc Com Sold 1200 Shs 07/08/09 To Capital Institutional Services @ 9.81	07/13/09	11,723.70	-5,317.42
Sold 2446 Shs 07/09/09 To Janco Partners Inc @ 9.9878	07/14/09	24,331.69	-9,673.14
Myriad Pharmaceuticals Inc Com Sold 1100 Shs 07/24/09 To Barclays Capital Le @ 4.8048	07/29/09	5,252.14	383.10
Nci Inc Cl A Sold 955 Shs 07/08/09 To Capital Institutional Services @ 27.6747	07/13/09	26,380.91	818.36
Netflix Inc Com Sold 800 Shs 07/08/09 To Capital Institutional Services @ 38.5225	07/13/09	30,777.21	5,403.02
Sold 600 Shs 07/09/09 To Janco Partners Inc @ 39.7296	07/14/09	23,807.14	6,847.00
Sold 627 Shs 07/15/09 To SBC Warburg Dillon Reed Inc. @ 43.6512	07/20/09	27,337.24	9,897.79
Neutral Tandem Inc Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 25.6517	07/13/09	23,040.94	-712.78
Sold 977 Shs 07/09/09 To Stifel Nicolaus & Co @ 27.515	07/14/09	26,832.61	1,206.80
Nice Sys LTD Sponsored ADR Sponsored ADR Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 22.2857	07/13/09	31,129.18	-686.18
Sold 1300 Shs 07/09/09 To Stifel Nicolaus & Co @ 21.9085	07/14/09	28,415.31	-953.24
Sold 1423 Shs 07/10/09 To Merrill Lynch @ 21.8947	07/15/09	31,084.21	512.10

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Nuance Communications Inc Com Sold 1800 Shs 07/08/09 To Capital Institutional Services @ 11.05	07/13/09	19,799.49	-1,243.22
Sold 3540 Shs 07/09/09 To Merrill Lynch @ 11.3423	07/14/09	39,973.71	147.13
Ormat Technologies Inc Com Sold 600 Shs 07/08/09 To Capital Institutional Services @ 37.0383	07/13/09	22,192.41	-2,205.25
Sold 702 Shs 07/10/09 To Merrill Lynch @ 36.3744	07/15/09	25,499.07	-1,573.39
Owens & Minor Inc New Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 43.28	07/13/09	38,906.00	1,111.78
Sold 929 Shs 07/13/09 To SBC Warburg Dillon Reed Inc. @ 42.7367	07/16/09	39,654.91	703.05
Pss World Medical Inc Com Sold 2400 Shs 07/08/09 To Capital Institutional Services @ 17.9162	07/13/09	42,877.77	10,402.93
Sold 2500 Shs 07/10/09 To Merrill Lynch @ 17.55	07/15/09	43,748.87	10,826.60
Sold 2430 Shs 07/13/09 To Merrill Lynch @ 17.4778	07/16/09	42,348.46	5,383.49
Packaging Corp of America Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 15.73	07/13/09	17,247.56	-8,581.65
Sold 2112 Shs 07/09/09 To Barclays Capital Le @ 15.3556	07/14/09	32,324.59	-17,267.50
Papa Johns Intl Inc Com Sold 1100 Shs 07/14/09 To Capital Institutional Services @ 26.7427	07/17/09	29,361.21	-2,254.15
Sold 1185 Shs 07/15/09 To RBC Cap Mkts (Dain Rauscher) @ 26.66	07/20/09	31,543.88	651.07



**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Parametric Technology Corp Com New Sold 1800 Shs 07/08/09 To Capital Institutional Services @ 10.87	07/13/09	19,475.50	-14,873.89
Sold 1700 Shs 07/10/09 To Merrill Lynch @ 10.67	07/15/09	18,053.53	-13,852.59
Sold 1826 Shs 07/14/09 To Capital Institutional Services @ 11.0989	07/17/09	20,174.77	-12,693.31
Plantronics Inc New Com Sold 1158 Shs 07/08/09 To Capital Institutional Services @ 17.3417	07/13/09	20,023.27	-1,192.58
Polycom Inc Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 19.66	07/13/09	21,570.44	-4,295.54
Sold 1200 Shs 07/10/09 To Merrill Lynch @ 19.83	07/15/09	23,735.39	-3,934.58
Sold 1155 Shs 07/16/09 To RBC Cap Mkts (Dain Rauscher) @ 23.13	07/21/09	26,656.71	3,473.11
Power Integrations Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 22.03	07/13/09	21,979.43	-6,031.81
Sold 1535 Shs 07/10/09 To Merrill Lynch @ 22.2915	07/15/09	34,139.82	-499.26
Spss Inc Com Sold 600 Shs 07/08/09 To Capital Institutional Services @ 30.74	07/13/09	18,413.53	1,907.76
Sold 761 Shs 07/10/09 To Merrill Lynch @ 30.5358	07/15/09	23,199.09	2,646.43
Stec Inc Com Sold 1800 Shs 07/08/09 To Capital Institutional Services @ 23.0501	07/13/09	41,399.11	23,358.58
Sold 1500 Shs 07/09/09 To Barclays Capital Le @ 24.708	07/14/09	36,986.04	22,666.57

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09**Sale Activity**

	<b>Date</b>	<b>Proceeds</b>	<b>Realized Gain/Loss</b>
Sold 1000 Shs 07/10/09 To Merrill Lynch @ 23.699	07/15/09	23,648.39	14,496.45
Sold 1067 Shs 07/16/09 To RBC Cap Mkts (Dain Rauscher) @ 31.82	07/21/09	33,897.71	24,946.16
Sensient Technologies Corp Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 22.04	07/13/09	21,989.43	-9,749.11
Sold 1100 Shs 07/10/09 To Merrill Lynch @ 22.4382	07/15/09	24,626.39	-9,795.58
Sold 991 Shs 07/14/09 To Capital Institutional Services @ 22.853	07/17/09	22,597.19	-1,675.34
Silgan Holdings Inc Com Sold 500 Shs 07/08/09 To Capital Institutional Services @ 48.05	07/13/09	23,999.38	-922.95
Sold 663 Shs 07/16/09 To SBC Warburg Dillon Reed Inc @ 50.0136	07/21/09	33,125.01	676.03
Smart Balance, Inc Com Sold 2100 Shs 07/08/09 To Capital Institutional Services @ 6.14	07/13/09	12,809.67	-1,538.16
Sold 4335 Shs 07/10/09 To Barclays Capital Le @ 6.0583	07/15/09	26,088.65	-3,312.57
Steris Corp Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 24.96	07/13/09	24,909.36	-5,050.00
Sold 1702 Shs 07/10/09 To Merrill Lynch @ 24.9206	07/15/09	42,328.67	-2,406.11
Tekelec Com Sold 1200 Shs 07/08/09 To Capital Institutional Services @ 16.44	07/13/09	19,667.49	4,636.87
Sold 2465 Shs 07/10/09 To Barclays Capital Le @ 17.2292	07/15/09	42,345.63	11,539.20

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Tesco Corp Com Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 6.58	07/13/09	7,193.81	-19,659.67
Sold 1142 Shs 07/09/09 To BNY Convergenx @ 6.704	07/14/09	7,610.09	-19,794.64
Tetra Tech Inc New Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 26.3623	07/13/09	26,311.62	2,768.45
Sold 800 Shs 07/14/09 To Capital Institutional Services @ 27.7938	07/17/09	22,194.47	3,437.42
Sold 918 Shs 07/15/09 To SBC Warburg Dillon Reed Inc @ 28.8053	07/20/09	26,396.69	4,902.55
Texas Roadhouse Inc Com Cl A Sold 1500 Shs 07/08/09 To Capital Institutional Services @ 10.24	07/13/09	15,284.61	998.47
Sold 2854 Shs 07/09/09 To Barclays Capital Le @ 10.2675	07/14/09	29,159.99	343.30
Treehouse Foods Inc Com Sold 1400 Shs 07/08/09 To Capital Institutional Services @ 28.6857	07/13/09	40,088.95	10,152.15
Sold 1000 Shs 07/09/09 To Barclays Capital Le @ 28.4244	07/14/09	28,373.66	7,654.95
Sold 900 Shs 07/10/09 To Merrill Lynch @ 28.2189	07/15/09	25,351.36	6,434.95
Sold 1004 Shs 07/14/09 To Capital Institutional Services @ 28.37	07/17/09	28,432.55	110.26
United Therapeutics Corp Del Com Sold 633 Shs 07/09/09 To RBC Cap Mkts (Dain Rauscher) @ 80.28	07/14/09	50,784.28	5,859.76
Varian Semiconductor Equip Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 23.652	07/13/09	23,601.39	4,068.33

**WESTLAKE HEALTH FDN CUST-PINNACLE**Account Number:  
Statement Period:71-N279-07-1  
06/29/09 - 12/31/09

Sale Activity			
	Date	Proceeds	Realized Gain/Loss
Sold 1172 Shs 07/10/09 To Merrill Lynch @ 24.6508	07/15/09	28,831.40	1,307.64
Wablec Corp Com Sold 900 Shs 07/08/09 To Capital Institutional Services @ 30.25	07/13/09	27,179.30	-844.22
Sold 1445 Shs 07/09/09 To BNY Convergenx @ 30.9528	07/14/09	44,653.40	-3,925.98
Warnaco Group Inc Com New Sold 800 Shs 07/08/09 To Capital Institutional Services @ 30.66	07/13/09	24,487.37	-8,269.36
Sold 855 Shs 07/10/09 To Merrill Lynch @ 30.4847	07/15/09	26,021.00	-5,790.90
Wolverine World Wide Inc Com Sold 1200 Shs 07/08/09 To Capital Institutional Services @ 21.445	07/13/09	25,673.34	-880.15
Sold 1000 Shs 07/09/09 To BNY Convergenx @ 21.5106	07/14/09	21,460.04	-572.86
Sold 1327 Shs 07/10/09 To Barclays Capital Le @ 21.4972	07/15/09	28,459.69	-777.97
Wright Med Group Inc Com Sold 1000 Shs 07/08/09 To Capital Institutional Services @ 14.903	07/13/09	14,852.62	-7,118.48
Sold 1945 Shs 07/09/09 To RBC Cap Mkts (Dain Rauscher) @ 14.1299	07/14/09	27,384.70	-15,120.25
Zebra Technologies Corp CI A Sold 1100 Shs 07/08/09 To Capital Institutional Services @ 21.71	07/13/09	23,825.39	-1,522.75
Sold 1227 Shs 07/14/09 To Capital Institutional Services @ 21.5416	07/17/09	26,369.51	-1,811.91
Marshall Prime Money Market CI Y Sales (24) 01/01/09 To 12/31/09	12/31/09	719,629.06	
<b>Total Sales</b>		<b>\$ 5,770,595.40</b>	<b>\$ 92,260.49</b>

Westlake Health Foundation  
Grant Expense/Grants payable  
December 31, 2009

Grantee	Tax Status	Address	Purpose	Grant Payable	2,009			Grant Payable
				12/31/08	Approved	Paid	Returned	12/31/09
Access Community Health Network	501 C-3	1501 S California, Ave , Chicago, IL 60608	Melrose Park Clinic	25,000	25,000	25,000		25,000
Alzheimer's Association	501 C-3	1150 Essington Rd , Joliet, IL 60435	Helpline Program	25,000		25,000		-
American Cancer Society	501 C-3	225 N Michigan Ave , Chicago, IL 60601	Parent Navigation Services Program	10,000	10,000	10,000		10,000
American Heart Association	501 C-3	208 S LaSalle, Chicago, IL 60604	Search Your Heart Program	10,000		10,000		-
ASPIRE Illinois	501 C-3	9901 Derby Lane, Westchester, IL	Needs of Aging Adults	100,000		100,000		-
ASPIRE Illinois	501 C-3	9901 Derby Lane, Westchester, IL	Autism Support Program		267,590			267,590
Association of Small Foundations	501 C-3	1720 N ST NW, Washington, DC 20036	General Operating Support		5,000			5,000
Boys & Girls Club of West Cook County	501 C-3	4000 Saint Paul Ave , Bellwood, IL 60108	Triple Play program		101,700			101,700
Catholic Charities	501 C-3	721 N LaSalle Street, Chicago, IL 60610	Maywood Adolescent Parenting Project	30,000		30,000		-
Community Support Service	501 C-3	9021 Ogden Ave , Brookfield, IL 60513	Respite Array Program		80,000	80,000		-
Fenwick High School	501 C-3	505 Washington Blvd , Oak Park, IL 60302	Positive Leadership	33,697		33,697		-
Girl Scouts -IL Crossroads Council	501 C-3	650 N Lakeview Parkway, Vernon Hills, IL	Fit To Be Me Program	30,000		30,000		-
Grantmakers in Aging	501 C-3	7333 Paragon Rd , Dayton, OH 45459	Annual contribution		5,000			5,000
Infant Welfare Clinic	501 C-3	320 Lake street, Oak Park, IL 60302	Clinic support	20,000		20,000		-
Loyola University Of Chicago	501 C-3	2160 S First Ave , Maywood, IL 60153	Nutrition Program/Proviso East High School	30,000	170,678	30,000		170,678
Manonjoy Rehabilitation Hospital	501 C-3	26 W 171 Roosevelt Rd , Wheaton, IL 60137	Assistive Technology Institute	25,000		25,000		-
Maywood Fine Arts Association	501 C-3	25 N Fifth Avenue, Maywood, IL 60153	Fit and Fun Program	40,000		40,000		-
Midwest Health Foundation	501 C-3	1919 S Highland Ave , Lombard, IL 60148	Young Hearts For Life Program	45,000		15,000		30,000
Milestone, Inc	501 C-3	4060 McFarland Rd , Rockford, IL 61111	Elmwood Heights Walkways	100,000		50,000		50,000
Pillars Community Services	501 C-3	333 N Lagrange Rd , LaGrange Park, IL 60526	Seemless Communication Program	25,000		25,000		-
Prevent Blindness America	501 C-3	211 W Wacker Drive, Chicago, IL 60606	Vision Health and Eye Safety Education		25,000			25,000
Progress Center For Independent Living	501 C-3	7521 Madison Ave , Forest Park, IL 60103	Business Partnership Program	40,000		20,000		20,000
Rainbow Hospice	501 C-3	444 N Northwest Highway, Park Ridge, IL 60068	Proviso Township development	40,000		40,000		-
Resurrection Behavioral Health	501 C-3	1820 S 25th Avenue, Broadview, IL 60155	Vocational Support Program	75,000	150,000	75,000		150,000
Resurrection Behavioral Health	501 C-3	1820 S 25th Ave , Broadview, IL 60155	Multi-Systemic Therapy	150,000		150,000		-
Resurrection Behavioral Health	501 C-3	1820 S 25th Ave , Broadview, IL 60155	Proviso Child Advocacy Ctr Sound proofing	7,500		7,500		-
Resurrection Behavioral Health	501 C-3	1820 S 25th Ave , Broadview, IL 60155	Cpmrehensve Child and Family Services		500,000			500,000
Resurrection Home Health Services	501 C-3	150 N river Rd , DesPlaines, IL 60016	Telemonitoring Program	17,500		17,500		-
Robert Crown Center For Health Education	501 C-3	21 Salt Creek Lane, Hinsdale, IL 60521	Expanding Health Education	20,000		20,000		-
Robert Crown Center For Health Education	501 C-3	21 Salt Creek Lane, Hinsdale, IL 60521	Health Education for Youth in Proviso Township		25,000			25,000
Rush Oak Park Hospital	501 C-3	520 S Maple Ave , Oak Park, IL 60302	Mammography program		5,000	5,000		-
Seguin Services	501 C-3	3100 S Central Ave , Cicero, IL 60804	Buiding a Better Life Program	25,000		25,000		-
Shendan Carroll Charitable Works Fund	501 C-3	2015 N Harlem Ave , Chicago, IL60707	Food Pantry	21,000		7,000		14,000
Smart Love Family Services	501 C-3	610 maple Ave , Oak Park, IL 60304	Post Doctoral Fellowship Program	24,420		24,420		-
Suburban Primary Health Care Council	501 C-3	2225 Enterprise Drive, Westchester, IL	Access to care	160,000		40,000		120,000
Suburban Primary Health Care Council	501 C-3	2225 Enterprise Drive, Westchester, IL	Specialty Care Fund	100,000				100,000
Sudden Infant Death Services	501 C-3	710 East Ogden Ave , Naperville, IL	Ths Side Up Program	53,334		53,334		-
Triton College	501 C-3	2000 Fifth Avenue, River Grove, IL	Adult/Basic English as a Second Language	725,000				725,000
Triton College Foundation	501 C-3	2000 Fifth Avenue, River Grove, IL	Scholarship program, cohorts 6,7,&8	1,227,147		46,007		1,181,140
Triton College Foundation	501 C-3	2000 Fifth Avenue, River Grove, IL	Scholarship program, cohort 5	652,547		167,462		485,085
Triton College Foundation	501 C-3	2000 Fifth Avenue, River Grove, IL	Scholarship program, cohorts 2,3,&4	502,521		40,896		461,625
West Suburban College of Nursing	501 C-3	3 Erie Court, Oak Park, IL 60302	Endowment Chair of Nursing	1,300,000				1,300,000
West Suburban PADS	501 C-3	PO Box 797, Oak Park, IL 60303	Project WIN	94,500		31,500		63,000
West Suburban Senior Services	501 C-3	439 Bohland Ave , Bellwood, IL 60104	Health/Education Program		135,000	45,000		90,000
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	Infant car seats	100,000				100,000
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	Mammography program	-	127,669	127,669		-
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	Distinguish Professor	50,000				50,000
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	Medical Library	0				0
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	School Health Initiative	51,000		51,000		-
Westlake Hospital	501 C-3	1225 W Lake St , Melrose Park, IL	Make a Move Child/Adolescent Obesity	398,000		133,000		265,000
				6,383,167	1,632,637	1,675,985	-	6,339,819

# ***Grant Policy***

## **Criteria for Grants**

Each grant awarded must be used for the purpose of promoting community health generally in west suburban Cook County, Illinois, primarily to organizations located in Proviso Township. Grants may be awarded for either new projects or those that complement existing health care providers. Grants will be made only to organizations that have 501 (c) status from the Internal Revenue Service. Documentation of such status must be made by the Grantee before the grant award monies are disbursed by the Foundation. Grant requests will include a copy of the organization's outside auditor's report from the previous year. The Board of Trustees will make grants by unanimous vote.

## **Use of Funds Granted**

Funds will be available for sponsorship of specific grants in the areas of a) Health Research efforts, b) Health Educational efforts, c) Illness Prevention, d) Health Promotion, e) Improved access to care, and f) direct health care. Funds shall be available for capital expenditures such as buildings as well as projects encompassing areas listed above. There shall be no restrictions with respect to race, sex, creed or financial need.

## **Grantee Reporting Responsibilities**

### **General Responsibilities**

Grantees are required to report back to the Foundation the results, progress and usage of grant funds received. The Foundation requires grantees, on a periodic basis, to report on the achievement that has or is being made on the grant's objectives as stated in the grant application. Monies that have been spent must also be reported, including the purpose for which they were spent.

### **Specific Requirements**

A. If a grant is made for a project in the amount of \$25,000 or less, a report needs to be made after the project is completed and annually if the project covers more than a twelve-month period.

B. If the grant is for a project for an amount between \$25,001 and \$50,000 a report needs to be made after each six months from the award of the grant if the project covers more than a six-month time period, and after the project is complete.

C. If the grant is for an amount of more than \$50,001, quarterly reports will be required after the award is made until the project is completed wherein a final report is required.

D. The format of a grant report is not specified but must contain:

1. The Grant Identification Number.
2. The date of the award and the amount awarded.
3. The original objectives of the grant (as stated in the grant application).
4. Grantee expectations on being able to successfully complete the project.
5. The progress toward achieving those objectives and/or the results of the activity compared to the stated objectives.
6. The monies that have been expended and for what purposes.

## **Future Support**

A Westlake Health Foundation grant does not guarantee further support or a pledge for future support

### **Ineligibility**

Westlake Health Foundation will not consider applications for the following

1. Incomplete applications or applications without an assigned identification number
2. Political organizations, campaigns or lobbying activities and/or organizations designed primarily for lobbying and advocacy.
3. Religious organizations for direct religious activities
4. Endowment campaigns
5. Fraternal organizations, societies or orders
6. Benefit fundraisers, raffles, tickets, testimonial or recognition events
7. Telephone solicitations.
8. Projects that benefit individuals.

### **Request for Application - Instructions**

Please include the following supporting materials with your 'Request for Application':

- Statement of Purpose (approximately 50 words)
- Proof of 501 (c) status by the IRS

Then, please submit your 'Request for Application', with all supporting materials to

Westlake Health Foundation  
One Lincoln Centre  
18 West 140 Butterfield Road; Suite 1660  
Oakbrook Terrace, IL 60181

### **Online Grant Application Forms**

**Note:** Only potential applicants that have submitted a 'Request for Application' (see above), which has subsequently been approved, will be permitted to utilize the 'Online Grant Application' forms. Applications that have been submitted without proper authorization will be excluded from consideration by the Grants Committee

#### ***Authorized Requests for Application***

If your 'Request for Application' has been approved by the Westlake Health Foundation, you will receive an 'Approval Notification' via postal mail containing a unique 'Application ID' to enter in the 'Online Grant Application' Login page (link below). From that page, when you enter the authorized 'Application ID' that you have been given, you will then gain access to the online application forms.

#### **Online Grant Application**

~ End of Grant Policy ~