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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

| | | | |
|--|---|--|---|
| Use the IRS label Otherwise, print or type. See Specific Instructions | Name of foundation DELLORA A. & LESTER J. NORRIS FOUNDATION | | A Employer identification number 36-3054939 |
| | Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 303 E. Main St., P.O. Box 4325 | | B Telephone number (630) 584-2500 |
| | City or town, state, and ZIP code St. Charles, IL 60174 | | C If exemption application is pending, check here <input type="checkbox"/> |
| | H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | D 1 Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 65% test, check here and attach computation <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 30,416,106. | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| | | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | 798,965. | 798,965. | | Statement 1 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | -1,441,160. | | | |
| b Gross sales price for all assets on line 6a | | 13,102,592. | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 0. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | | | | |
| 12 Total. Add lines 1 through 11 | | -642,195. | 798,965. | | |
| 13 Compensation of officers, directors, trustees, etc. | | 35,000. | 17,500. | | 17,500. |
| 14 Other employee salaries and wages | | | | | |
| 15 Pension plans, employee benefits | | | | | |
| 16a Legal fees Stmt 2 | | 57,000. | 28,500. | | 28,500. |
| b Accounting fees | | | | | |
| c Other professional fees Stmt 3 | | 117,042. | 117,042. | | 0. |
| 17 Interest | | | | | |
| 18 Taxes Stmt 4 | | -12,829. | 3,524. | | 0. |
| 19 Depreciation and depletion | | | | | |
| 20 Occupancy | | | | | |
| 21 Travel, conferences, and meetings | | 20,222. | 0. | | 20,222. |
| 22 Printing and publications | | | | | |
| 23 Other expenses Stmt 5 | | 2,739. | 1,365. | | 1,374. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 219,174. | 167,931. | | 67,596. |
| 25 Contributions, gifts, grants paid | | 1,306,717. | | | 1,271,717. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 1,525,891. | 167,931. | | 1,339,313. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | -2,168,086. | | | |
| b Net investment income (if negative, enter -0-) | | | 631,034. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | -1. | -1. |
| | 2 Savings and temporary cash investments | 2,713,908. | 1,017,625. | 1,017,625. |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock Stmt 6 | 27,040,150. | 26,568,348. | 29,398,482. |
| | c Investments - corporate bonds | | | |
| 11 Investments - land, buildings, and equipment basis ▶ | | | | |
| Less: accumulated depreciation ▶ | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other | | | | |
| 14 Land, buildings, and equipment: basis ▶ | | | | |
| Less: accumulated depreciation ▶ | | | | |
| 15 Other assets (describe ▶) | | | | |
| 16 Total assets (to be completed by all filers) | | 29,754,058. | 27,585,972. | 30,416,106. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶) | | | |
| 23 Total liabilities (add lines 17 through 22) | | 0. | 0. | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> | | | |
| | and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> | | | |
| | and complete lines 27 through 31 | | | |
| | 27 Capital stock, trust principal, or current funds | 31,678,005. | 31,678,005. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 29 Retained earnings, accumulated income, endowment, or other funds | -1,923,947. | -4,092,033. | | |
| 30 Total net assets or fund balances | | 29,754,058. | 27,585,972. | |
| 31 Total liabilities and net assets/fund balances | | 29,754,058. | 27,585,972. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|-------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 29,754,058. |
| 2 Enter amount from Part I, line 27a | 2 | -2,168,086. |
| 3 Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 Add lines 1, 2, and 3 | 4 | 27,585,972. |
| 5 Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 27,585,972. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a Publicly Traded Securities | P | Various | Various |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 13,102,592. | | 14,543,752. | -1,441,160. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | -1,441,160. |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|--|--|----------|--------------------|
| 2 Capital gain net income or (net capital loss) | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div> | 2 | -1,441,160. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): | <div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div> | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2008 | 1,962,842. | 32,349,886. | .060675 |
| 2007 | 2,036,874. | 38,384,388. | .053065 |
| 2006 | 2,536,394. | 36,401,416. | .069678 |
| 2005 | 1,892,910. | 35,710,603. | .053007 |
| 2004 | 2,010,477. | 34,873,668. | .057650 |

| | | |
|---|----------|--------------------|
| 2 Total of line 1, column (d) | 2 | .294075 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .058815 |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 27,513,185. |
| 5 Multiply line 4 by line 3 | 5 | 1,618,188. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 6,310. |
| 7 Add lines 5 and 6 | 7 | 1,624,498. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 1,339,313. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 12,621. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 12,621. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 12,621. |
| 6 Credits/Payments: | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a | 30,000. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 30,000. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 17,379. | |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> 17,379. Refunded <input type="checkbox"/> | 11 | 0. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | | X |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV. | | X |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> IL | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | | X |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ► N/A

14 The books are in care of ► Eugene W. Butler, Treasurer Telephone no. ► (630) 584-2500
 Located at ► 303 E. Main St., P.O. Box 4325, St. Charles, IL ZIP+4 ► 60174

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|---|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | 1b | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u> | 2b | |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <u>N/A</u> | 3b | |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | X |

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

5b

X**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**N/A**

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See Statement 7 | | 35,000. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000



0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|---|----|-------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a Average monthly fair market value of securities | 1a | 25,187,201. |
| b Average of monthly cash balances | 1b | 2,744,967. |
| c Fair market value of all other assets | 1c | |
| d Total (add lines 1a, b, and c) | 1d | 27,932,168. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 Subtract line 2 from line 1d | 3 | 27,932,168. |
| 4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 418,983. |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 27,513,185. |
| 6 Minimum investment return. Enter 5% of line 5 | 6 | 1,375,659. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | |
|--|----|------------|
| 1 Minimum investment return from Part X, line 6 | 1 | 1,375,659. |
| 2a Tax on investment income for 2009 from Part VI, line 5 | 2a | 12,621. |
| b Income tax for 2009. (This does not include the tax from Part VI.) | 2b | |
| c Add lines 2a and 2b | 2c | 12,621. |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 1,363,038. |
| 4 Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 Add lines 3 and 4 | 5 | 1,363,038. |
| 6 Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 1,363,038. |

Part XII Qualifying Distributions (see instructions)

| | | |
|---|----|------------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 1,339,313. |
| b Program-related investments - total from Part IX-B | 1b | 0. |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 Amounts set aside for specific charitable projects that satisfy the: | | |
| a Suitability test (prior IRS approval required) | 3a | |
| b Cash distribution test (attach the required schedule) | 3b | |
| 4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,339,313. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 1,339,313. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 1,363,038. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | |
| a From 2004 | 311,378. | | | |
| b From 2005 | 152,034. | | | |
| c From 2006 | 765,111. | | | |
| d From 2007 | 183,094. | | | |
| e From 2008 | 360,438. | | | |
| f Total of lines 3a through e | 1,772,055. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 1,339,313. | | | | |
| a Applied to 2008, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 1,339,313. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | 23,725. | | | 23,725. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 1,748,330. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 287,653. | | | |
| 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a | 1,460,677. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | 152,034. | | | |
| b Excess from 2006 | 765,111. | | | |
| c Excess from 2007 | 183,094. | | | |
| d Excess from 2008 | 360,438. | | | |
| e Excess from 2009 | | | | |

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- [illegible]

2009.03060 DELLORA A. & LESTER J. NORR 68438002

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|------------------------|
| <p>a <i>Paid during the year</i> See Schedule Annexed</p> | | Public charity | General charitable | 1,306,717. |
| Total | | | | ▶ 3a 1,306,717. |
| <p>b <i>Approved for future payment</i></p> <p>None</p> | | | | |
| Total | | | | ▶ 3b 0. |

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c)(3) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <thead> <tr> <th></th> <th>Yes</th> <th>No</th> </tr> </thead> <tbody> <tr> <td>1a(1)</td> <td></td> <td>X</td> </tr> <tr> <td>1a(2)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(1)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(2)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(3)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(4)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(5)</td> <td></td> <td>X</td> </tr> <tr> <td>1b(6)</td> <td></td> <td>X</td> </tr> <tr> <td>1c</td> <td></td> <td>X</td> </tr> </tbody> </table> | | Yes | No | 1a(1) | | X | 1a(2) | | X | 1b(1) | | X | 1b(2) | | X | 1b(3) | | X | 1b(4) | | X | 1b(5) | | X | 1b(6) | | X | 1c | | X | |
|---|---|----|-----|----|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|-------|--|---|----|--|---|--|
| | Yes | No | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1c | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

| | | | | |
|--------------------------|---|--|-------------------------|---|
| Sign Here | Signature of officer or trustee <u>Howard A. Guthrie</u> | | Date <u>5/28/10</u> | Title <u>SECRETARY</u> |
| | Preparer's signature <u>Gail Robertson</u> | | Date <u>5/28/10</u> | Check if self-employed <input type="checkbox"/> |
| Paid Preparer's Use Only | Firm's name (or yours if self-employed), address, and ZIP code <u>Cummings & Lockwood, LLC</u> <u>P.O. Box 120</u> <u>Stamford, CT 06904-0120</u> | | EIN <u>203.327.1700</u> | |
| | Preparer's identifying number | | Phone no | |

| Form 990-PF | Dividends and Interest from Securities | Statement | 1 |
|----------------------------------|--|-------------------------|-------------------|
| Source | Gross Amount | Capital Gains Dividends | Column (A) Amount |
| Appleton Partners | 263,222. | 0. | 263,222. |
| Frost Bank | 370,084. | 0. | 370,084. |
| Harris Bank | 287. | 0. | 287. |
| TIFF Multi Asset | 165,372. | 0. | 165,372. |
| Total to Fm 990-PF, Part I, ln 4 | 798,965. | 0. | 798,965. |

| Form 990-PF | Legal Fees | | | Statement 2 |
|----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
| Cummings & Lockwood | 57,000. | 28,500. | | 28,500. |
| To Fm 990-PF, Pg 1, ln 16a | 57,000. | 28,500. | | 28,500. |

| Form 990-PF | Other Professional Fees | | | Statement 3 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
| Investment Management fees | 117,042. | 117,042. | | 0. |
| To Form 990-PF, Pg 1, ln 16c | 117,042. | 117,042. | | 0. |

| Form 990-PF | Taxes | | | Statement | 4 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|----|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| Foreign tax withheld | 3,524. | 3,524. | | | 0. |
| 2008 excise tax extension | 11,000. | 0. | | | 0. |
| 2008 Refund of excise taxes | -27,353. | 0. | | | 0. |
| To Form 990-PF, Pg 1, ln 18 | -12,829. | 3,524. | | | 0. |

| Form 990-PF | Other Expenses | | | Statement | 5 |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| Insurance premiums | 948. | 474. | | 474. | |
| Computer consultant | 275. | 138. | | 137. | |
| Postage, box rental, office supplies, Guidestar subscription | 1,506. | 753. | | 753. | |
| Illinois Secretary of State, filing fee | 10. | 0. | | 10. | |
| To Form 990-PF, Pg 1, ln 23 | 2,739. | 1,365. | | 1,374. | |

| Form 990-PF | Corporate Stock | | Statement | 6 |
|--|-----------------|----------------------|-----------|---|
| Description | Book Value | Fair Market Value | | |
| Stocks, Corporate & U.S.Bonds, per Schedule Annexed | 26,568,348. | 29,398,482. | | |
| Total to Form 990-PF, Part II, line 10b | 26,568,348. | 29,398,482. | | |

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
- NORRIS FOUNDATION
December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr. Yield |
|--|---|---------------|--------------------|--------------------|---------------------|---------------|--------------|----------------|----------------------|-------------------------|-------------|
| CASH AND EQUIVALENTS | | | | | | | | | | | |
| | CASH | | | | 501,871.32 | | 501,871.32 | 4.1 | | 88.83 | 0.0 |
| GOVERNMENT BONDS | | | | | | | | | | | |
| 150,000 | TENNESSEE VALLEY AUTH | 08-18-06 | 104.79 | 102.54 | 153,804.28 | 111.89 | 167,839.35 | 1.4 | 14,035.07 | 9,000.00 | 5.4 |
| 100,000 | 6 000% Due 03-15-13 FEDERAL HOME LN MTG CORP | 07-08-08 | 102.98 | 102.22 | 102,222.52 | 109.44 | 109,437.50 | 0.9 | 7,214.98 | 4,875.00 | 4.5 |
| 150,000 | 4 875% Due 11-15-13 FEDERAL HOME LN BKS | 11-05-07 | 102.91 | 102.10 | 153,146.49 | 110.44 | 165,656.25 | 1.3 | 12,509.76 | 7,875.00 | 4.8 |
| 120,000 | 5 250% Due 09-12-14 FEDERAL HOME LN BKS | 11-06-07 | 100.91 | 100.70 | 120,844.16 | 107.81 | 129,375.00 | 1.0 | 8,530.84 | 6,000.00 | 4.6 |
| 150,000 | 5 000% Due 12-21-15 FEDERAL FARM CR BKS CONS | 04-30-09 | 106.71 | 106.21 | 159,309.96 | 106.41 | 159,609.37 | 1.3 | 299.41 | 7,312.50 | 4.6 |
| 100,000 | 4 875% Due 01-17-17 FEDERAL NATL MTG ASSN | 12-23-08 | 104.30 | 100.48 | 100,478.51 | 100.59 | 100,593.75 | 0.8 | 115.24 | 5,550.00 | 5.5 |
| 65,000 | 5 550% Due 02-16-17 FEDERAL NATL MTG ASSN | 06-26-07 | 101.76 | 101.49 | 65,970.79 | 110.87 | 72,068.75 | 0.6 | 6,097.96 | 3,493.75 | 4.8 |
| | 5 375% Due 06-12-17 Accrued Interest | | | | | | 11,420.85 | 0.1 | | | |
| | | | | | 855,776.71 | | 916,000.83 | 7.4 | 48,803.27 | 44,106.25 | 4.9 |
| FEDERAL NATIONAL MORTGAGE ASSOCIATION | | | | | | | | | | | |
| 212,714.820 | FNMA PASS-THRU INT 15 YEAR | 08-27-04 | 59.82 | 59.82 | 127,237.49 | 105.23 | 223,841.93 | 1.8 | 96,604.44 | 10,635.74 | 4.8 |
| 99,582.070 | 5 000% Due 07-01-19 GNMA PASS-THRU X SINGLE FAMILY | 01-29-99 | 3.71 | 3.71 | 3,693.50 | 114.58 | 114,105.12 | 0.9 | 110,411.62 | 8,464.48 | 7.4 |
| | 8 500% Due 07-15-21 Accrued Interest | | | | | | 1,591.68 | 0.0 | | | |
| | | | | | 130,930.99 | | 339,538.74 | 2.7 | 207,016.06 | 19,100.22 | 5.7 |

Appleton Partners, Inc.

PORTFOLIO APPRAISAL

- NORRIS FOUNDATION

December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr. Yield |
|------------------------|--|---------------|--------------------|--------------------|---------------------|---------------|--------------|----------------|----------------------|-------------------------|-------------|
| CORPORATE BONDS | | | | | | | | | | | |
| 65,000 | MORGAN STANLEY 6 750% Due 04-15-11 | 06-26-07 | 103 73 | 101 35 | 65,877 40 | 105 95 | 68,866.39 | 0 6 | 2,989 00 | 4,387.50 | 6 4 |
| 150,000 | KRAFT FOODS INC 5 625% Due 11-01-11 | 05-07-03 | 106 37 | 101 60 | 152,393 05 | 106.21 | 159,309 75 | 1 3 | 6,916 70 | 8,437 50 | 5 3 |
| 150,000 | CREDIT SUISSE FIRST BOSTON USA 6 125% Due 11-15-11 | 08-15-02 | 100 67 | 100 17 | 150,251 80 | 107 84 | 161,757 60 | 1 3 | 11,505 80 | 9,187 50 | 5 7 |
| 150,000 | GENERAL ELEC CAP CORP MTN BE 5 875% Due 02-15-12 | 02-12-02 | 99 55 | 99 88 | 149,822 96 | 107.14 | 160,706 25 | 1 3 | 10,883 29 | 8,812 50 | 5 5 |
| 150,000 | WALT DISNEY CORP 6 375% Due 03-01-12 | 01-27-06 | 105 76 | 102 26 | 153,392 37 | 109 23 | 163,846 20 | 1 3 | 10,453 83 | 9,562 50 | 5 8 |
| 75,000 | INTERNATIONAL BUSINESS MACHS 4 750% Due 11-29-12 | 06-12-06 | 96 28 | 98 14 | 73,603 35 | 107 43 | 80,575 80 | 0 7 | 6,972 45 | 3,562 50 | 4 4 |
| 125,000 | GENERAL ELEC CO 5 000% Due 02-01-13 | 05-25-05 | 101 51 | 100 67 | 125,831 38 | 105 80 | 132,245 00 | 1 1 | 6,413 62 | 6,250 00 | 4 7 |
| 100,000 | PROCTER & GAMBLE CO 4 600% Due 01-15-14 | 12-16-08 | 102 00 | 101 63 | 101,625 23 | 106 48 | 106,477 60 | 0 9 | 4,852 37 | 4,600 00 | 4 3 |
| 75,000 | JP MORGAN CHASE & CO 5 125% Due 09-15-14 | 06-12-06 | 96 09 | 97 53 | 73,148 44 | 105 48 | 79,107 22 | 0 6 | 5,958 78 | 3,843 75 | 4 9 |
| 50,000 | CREDIT SUISSE USA INC 4 875% Due 01-15-15 | 11-01-07 | 96 88 | 97 70 | 48,848 11 | 104 71 | 52,355 80 | 0 4 | 3,507 69 | 2,437 50 | 4 7 |
| 100,000 | JPMORGAN CHASE & CO 3 700% Due 01-20-15 | 09-17-09 | 99 85 | 99 86 | 99,857 08 | 100 30 | 100,295 80 | 0 8 | 438 72 | 3,700 00 | 3 7 |
| 75,000 | MERCK & CO INC 4 750% Due 03-01-15 | 10-29-08 | 93 23 | 94 29 | 70,717 23 | 107 22 | 80,415.52 | 0 6 | 9,698 29 | 3,562 50 | 4 4 |
| 75,000 | GOLDMAN SACHS GROUP INC 5 350% Due 01-15-16 | 03-08-06 | 97 87 | 98 53 | 73,898 16 | 103 87 | 77,899 87 | 0 6 | 4,001 72 | 4,012 50 | 5 2 |
| 110,000 | CISCO SYS INC 5 500% Due 02-22-16 | 05-12-09 | 105 81 | 105 34 | 115,870 40 | 109 79 | 120,768 89 | 1 0 | 4,898 49 | 6,050.00 | 5 0 |
| 75,000 | AT&T INC 5 500% Due 02-01-18 | 02-20-08 | 98 43 | 98 67 | 73,999 23 | 104 34 | 78,256 20 | 0 6 | 4,256 97 | 4,125 00 | 5 3 |

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
- NORRIS FOUNDATION
December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr. Yield |
|---------------------|--|---------------|--------------------|--------------------|---------------------|---------------|--------------|----------------|----------------------|-------------------------|-------------|
| 110,000 | WALGREEN CO 5.250% Due 01-15-19 Accrued Interest | 01-15-09 | 102.58 | 102.38 | 112,620.46 | 106.12 | 116,732.88 | 0.9 | 4,112.42 | 5,775.00 | 4.9 |
| | | | | | 1,641,756.63 | | 27,966.70 | 0.2 | | | |
| | | | | | 1,767,583.49 | | 1,767,583.49 | 14.3 | 97,860.16 | 88,306.25 | 5.1 |
| COMMON STOCK | | | | | | | | | | | |
| 2,700,000 | CONSUMER DISCRETIONARY AMAZON.COM INC COM | 05-04-09 | 82.42 | 82.42 | 222,530.16 | 134.52 | 363,204.00 | 2.9 | 140,673.84 | 0.00 | 0.0 |
| 4,100,000 | DOLLAR TREE STORES.COM | 08-26-09 | 50.19 | 50.19 | 205,771.67 | 48.30 | 198,030.00 | 1.6 | -7,741.67 | 0.00 | 0.0 |
| 4,230,000 | MCDONALDS CORP.COM | 10-30-03 | 51.26 | 51.26 | 216,837.61 | 62.44 | 264,121.20 | 2.1 | 47,283.59 | 9,306.00 | 3.5 |
| 1,300,000 | PRICELINE.COM INC.COM NEW | 06-02-09 | 115.52 | 115.52 | 150,175.25 | 218.41 | 283,933.00 | 2.3 | 133,757.75 | 0.00 | 0.0 |
| 2,750,000 | TARGET CORP COM | 02-04-98 | 25.96 | 25.96 | 71,398.72 | 48.37 | 133,017.50 | 1.1 | 61,618.78 | 1,870.00 | 1.4 |
| Total | | | | | 866,713.41 | | 1,242,305.70 | 10.0 | 375,592.29 | 11,176.00 | 0.9 |
| 3,200,000 | CONSUMER STAPLES COLGATE PALMOLIVE CO COM | 11-12-09 | 81.50 | 81.50 | 260,814.08 | 82.15 | 262,880.00 | 2.1 | 2,065.92 | 5,632.00 | 2.1 |
| 6,100,000 | CVS CAREMARK CORPORATION | 05-16-08 | 39.32 | 39.32 | 239,831.50 | 32.21 | 196,481.00 | 1.6 | -43,350.50 | 1,860.50 | 0.9 |
| 3,000,000 | PEPSICO INC.COM | 05-11-04 | 53.21 | 53.21 | 159,617.00 | 60.80 | 182,400.00 | 1.5 | 22,783.00 | 5,400.00 | 3.0 |
| 4,455,000 | PROCTER & GAMBLE CO.COM | 05-07-04 | 55.92 | 55.92 | 249,121.82 | 60.63 | 270,106.65 | 2.2 | 20,984.83 | 7,840.80 | 2.9 |
| Total | | | | | 909,384.40 | | 911,867.65 | 7.4 | 2,483.25 | 20,733.30 | 2.3 |
| 1,650,000 | ENERGY APACHE CORP COM | 04-13-07 | 74.42 | 74.42 | 122,788.38 | 103.17 | 170,230.50 | 1.4 | 47,442.12 | 660.00 | 0.4 |
| 1,459,000 | CHEVRONTXACO CORP.COM | 07-30-03 | 65.96 | 65.96 | 96,239.52 | 76.99 | 112,328.41 | 0.9 | 16,088.89 | 3,968.48 | 3.5 |
| 4,976,000 | EXXON MOBIL CORP.COM | 08-25-99 | 47.41 | 47.41 | 235,904.54 | 68.19 | 339,313.44 | 2.7 | 103,408.90 | 8,359.68 | 2.5 |
| 1,120,000 | OCCIDENTAL PETE CP DEL.COM | 10-17-05 | 48.60 | 48.60 | 54,427.80 | 81.35 | 91,112.00 | 0.7 | 36,684.20 | 1,478.40 | 1.6 |

Appleton Partners, Inc.

PORTFOLIO APPRAISAL - NORRIS FOUNDATION

December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr Yield |
|-------------|--------------------------------|---------------|--------------------|--------------------|---------------------|---------------|--------------|----------------|----------------------|-------------------------|------------|
| 3,180 000 | SCHLUMBERGER LTD COM | 11-18-99 | 29 81 | 29 81 | 94,793.83 | 65 09 | 206,986 20 | 1 7 | 112,192 37 | 2,671 20 | 1 3 |
| 1,200 000 | TRANSOCEAN LTD ZUG NAMEN AKT | 02-15-08 | 127 31 | 127 31 | 152,769 96 | 82 80 | 99,360.00 | 0 8 | -53,409 96 | 0 00 | 0 0 |
| Total | | | | | 756,924 03 | | 1,019,330 55 | 8.2 | 262,406 52 | 17,137 76 | 1 7 |
| FINANCIAL | | | | | | | | | | | |
| 1,200 000 | GOLDMAN SACHS GROUP COM | 09-22-09 | 183 71 | 183 71 | 220,448 40 | 168 84 | 202,608 00 | 1 6 | -17,840 40 | 1,680 00 | 0 8 |
| 3,500 000 | PRICE T ROWE GROUP INC COM | 10-13-08 | 41 67 | 41 67 | 145,840 76 | 53.25 | 186,375 00 | 1 5 | 40,534 24 | 3,500 00 | 1 9 |
| Total | | | | | 366,289 16 | | 388,983 00 | 3 1 | 22,693 84 | 5,180 00 | 1 3 |
| HEALTH | | | | | | | | | | | |
| 4,000 000 | CERNER CORP COM | 03-19-09 | 45 54 | 45 54 | 182,164 80 | 82 44 | 329,760.00 | 2 7 | 147,595 20 | 0 00 | 0 0 |
| 2,890 000 | EXPRESS SCRIPTS INC COM | 06-13-05 | 60 24 | 60 24 | 174,098 38 | 86 42 | 249,753 80 | 2 0 | 75,655 42 | 0 00 | 0 0 |
| 1,225 000 | JOHNSON & JOHNSON COM | 08-27-04 | 58 21 | 58 21 | 71,303 65 | 64 41 | 78,902 25 | 0 6 | 7,598 60 | 2,401 00 | 3 0 |
| 3,000 000 | STRYKER CORP COM | 01-12-07 | 59 17 | 59 17 | 177,519 00 | 50 37 | 151,110 00 | 1 2 | -26,409 00 | 1,800 00 | 1 2 |
| 4,000 000 | TEVA PHARMACEUTCL INDS ADR | 03-18-09 | 45 14 | 45 14 | 180,545 44 | 56 18 | 224,720 00 | 1 8 | 44,174 56 | 1,929 95 | 0 9 |
| Total | | | | | 785,631 27 | | 1,034,246 05 | 8 3 | 248,614 78 | 6,130 95 | 0 6 |
| INDUSTRIALS | | | | | | | | | | | |
| 3,440 000 | CATERPILLAR INC DEL COM | 10-27-05 | 53 68 | 53 68 | 184,655 84 | 56 99 | 196,045 60 | 1 6 | 11,389 76 | 5,779 20 | 2 9 |
| 4,700 000 | MCDERMOTT INTL INC COM | 09-19-08 | 32 78 | 32 78 | 154,057 27 | 24 01 | 112,847 00 | 0 9 | -41,210 27 | 0 00 | 0 0 |
| Total | | | | | 338,713 11 | | 308,892 60 | 2 5 | -29,820 51 | 5,779 20 | 1 9 |
| MATERIALS | | | | | | | | | | | |
| 3,223 000 | BHP BILLITON LTD SPONSORED ADR | 06-25-08 | 63 03 | 63 03 | 203,129 69 | 76 58 | 246,817 34 | 2 0 | 43,687 65 | 5,285 72 | 2 1 |
| 6,000 000 | ECOLAB INC COM | 02-24-03 | 24 49 | 24 49 | 146,951 76 | 44 58 | 267,480 00 | 2 2 | 120,528 24 | 3,720 00 | 1 4 |

PORTFOLIO APPRAISAL
- NORRIS FOUNDATION
December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr. Yield |
|--------------------|---------------------------------------|---------------|--------------------|--------------------|---------------------|---------------|--------------|----------------|----------------------|-------------------------|-------------|
| 4,000 000 | NEWMONT MINING CORP COM | 11-06-09 | 49 19 | 49 19 | 196,763 60 | 47 31 | 189,240 00 | 1 5 | -7,523 60 | 1,600 00 | 0 8 |
| 2,600 000 | NUCOR CORP COM | 09-22-09 | 49 73 | 49 73 | 129,311 00 | 46 65 | 121,290 00 | 1 0 | -8,021 00 | 3,744 00 | 3 1 |
| 2,505 000 | PRAIRIE INC COM | 08-22-03 | 37 98 | 37 98 | 95,145 14 | 80 31 | 201,176 55 | 1 6 | 106,031 41 | 4,008 00 | 2 0 |
| 6,000 000 | SCOTT'S MIRACLE GRO CO | 07-10-09 | 35 80 | 35 80 | 214,821 60 | 39 31 | 235,860 00 | 1 9 | 21,038 40 | 3,000 00 | 1 3 |
| 5,700 000 | SOCIEDAD QUIMICA MINER SPON ADR SER B | 08-06-09 | 36 56 | 36 56 | 208,397 13 | 37 57 | 214,149 00 | 1 7 | 5,751 87 | 5,776 09 | 2 7 |
| Total | | | | | 1,194,519 92 | | 1,476,012 89 | 11 9 | 281,492 97 | 27,133 81 | 1 8 |
| TECHNOLOGY | | | | | | | | | | | |
| 4,000 000 | AMPHENOL CORP NEW CLA | 02-09-05 | 20 70 | 20 70 | 82,800 00 | 46 18 | 184,720 00 | 1 5 | 101,920 00 | 240 00 | 0 1 |
| 1,150 000 | APPLE, INC. | 04-06-06 | 70 42 | 70 42 | 80,983 00 | 210 73 | 242,341 80 | 2 0 | 161,358 80 | 0 00 | 0 0 |
| 6,500 000 | CISCO SYS INC COM | 11-22-06 | 25 81 | 25 81 | 167,753 50 | 23 94 | 155,610 00 | 1 3 | -12,143 50 | 0 00 | 0 0 |
| 4,900 000 | DOLBY LABORATORIES INC COM | 07-21-09 | 39 80 | 39 80 | 195,024 42 | 47 73 | 233,877 00 | 1 9 | 38,852 58 | 0 00 | 0 0 |
| 2,395 000 | INTERNATIONAL BUS MACH COM | 01-29-07 | 120 41 | 120 41 | 288,373 99 | 130 90 | 313,505 50 | 2 5 | 25,131 51 | 5,269 00 | 1 7 |
| 5,000 000 | MCAFFEE INC COM | 06-30-09 | 41 88 | 41 88 | 209,387 00 | 40 57 | 202,850 00 | 1 6 | -6,537 00 | 0 00 | 0 0 |
| 8,175 000 | MICROSOFT CORP COM | 07-25-96 | 15 40 | 15 40 | 125,875 79 | 30 48 | 249,174 00 | 2 0 | 123,298 21 | 4,251 00 | 1 7 |
| 10,000 000 | ORACLE CORP COM | 04-02-09 | 19 00 | 19 00 | 190,000 00 | 24 53 | 245,300 00 | 2 0 | 55,300 00 | 2,000 00 | 0 8 |
| 2,500 000 | VISA, INC | 04-30-09 | 67 42 | 67 42 | 168,538 00 | 87 46 | 218,650 00 | 1 8 | 50,112 00 | 1,250 00 | 0 6 |
| Total | | | | | 1,508,735 70 | | 2,046,028 30 | 16 5 | 537,292 60 | 13,010 00 | 0 6 |
| TELECOMMUNICATIONS | | | | | | | | | | | |
| 8,800 000 | VERIZON COMMUNICATIONS COM | 10-27-09 | 29 22 | 29 22 | 257,159 76 | 33 13 | 291,544 00 | 2 4 | 34,384 24 | 16,720 00 | 5 7 |
| UTILITIES | | | | | | | | | | | |
| 3,000 000 | SOUTHWESTERN ENERGY CO COM | 06-02-09 | 44 72 | 44 72 | 134,173 50 | 48 20 | 144,600 00 | 1 2 | 10,426 50 | 0 00 | 0 0 |
| Total | | | | | 7,118,244 26 | | 8,863,810 74 | 71 5 | 1,745,566 48 | 123,001 02 | 1 4 |

Appleton Partners, Inc.
PORTFOLIO APPRAISAL
- NORRIS FOUNDATION
December 31, 2009

| Quantity | Security | Purchase Date | Original Unit Cost | Adjusted Unit Cost | Total Adjusted Cost | Current Price | Market Value | Percent Assets | Unrealized Gain/Loss | Estimated Annual Income | Curr. Yield |
|------------------------|----------|---------------|--------------------|--------------------|---------------------|---------------|---------------|----------------|----------------------|-------------------------|-------------|
| TOTAL PORTFOLIO | | | | | | | | | | | |
| | | | | | 10,248,579.91 | | 12,388,805.11 | 100.0 | 2,099,245.97 | 274,602.57 | 2.2 |

The pricing information and descriptions used in this report have been provided to us by third party vendors believed to be reliable. Cash and equivalent yields are only representative numbers for account specific funds. For specific cash yield information, refer to the custodial statement

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PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------------------|--|------------------------|--------------------------|----------------------------|
| CASH & EQUIVALENTS | | | | |
| | <u>CASH BALANCE</u> | | | |
| | INCOME | 1,350,225.58 | 1,350,225.58 | |
| | PRINCIPAL | <u>1,350,225.58-</u> | <u>1,350,225.58-</u> | |
| | | 0.00 | 0.00 | |
| | <u>CASH EQUIVALENT</u> | | | |
| 501,647.91 | FEDERATED PRIME OBLIG FD INSTL* (PRINCIPAL) | 501,647.91 1.00 | 501,647.91 1.00 | 702.31 0.14 |
| TOTAL CASH & EQUIVALENTS | | 501,647.91 | 501,647.91 | 702.31 |

EQUITIES

| <u>STOCK/OPTIONS</u> | | | | |
|----------------------|------------------------------------|---------------------|---------------------|------------------|
| 1,638.00 | ABERCROMBIE & FITCH CO CLASS A* | 45,823.37 27.98 | 57,084.30 34.85 | 1,146.60 2.01 |
| 2,354.00 | AFLAC INC COM* | 66,634.03 28.31 | 108,872.50 46.25 | 2,636.48 2.42 |
| 410.00 | AIR PRODUCTS & CHEMICALS INC COM * | 31,705.68 77.33 | 33,234.60 81.06 | 738.00 2.22 |
| 845.00 | ALLERGAN INC COM* | 44,074.32 52.16 | 53,243.45 63.01 | 169.00 0.32 |
| 1,682.00 | ALLSTATE CORP COM* | 49,307.10 29.31 | 50,527.28 30.04 | 1,345.60 2.66 |
| 448.00 | AMERICAN EXPRESS CO COM* | 14,999.58 33.48 | 18,152.96 40.52 | 322.56 1.78 |
| 1,382.00 | AMERIPRISE FINANCIAL INC COM* | 32,160.77 23.27 | 53,649.24 38.82 | 939.76 1.75 |
| 402.00 | ANADARKO PETROLEUM CORP COM* | 24,415.19 60.73 | 25,092.84 62.42 | 144.72 0.58 |
| 418.00 | APPLE INC COM * | 30,768.99 73.61 | 88,085.98 210.73 | 0.00 0.00 |
| 2,714.00 | APPLIED MATERIALS INC COM * | 35,583.25 13.11 | 37,833.16 13.94 | 651.36 1.72 |
| 941.00 | AQUA AMERICA INC COM* | 16,050.81 17.06 | 16,476.91 17.51 | 545.78 3.31 |
| 100.00 | BAIDU INC SPONDORED ADR* | 16,333.92 163.34 | 41,123.00 411.23 | 0.00 0.00 |

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PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|---------------------------------|------------------------|--------------------------|----------------------------|
| EQUITIES | | | | |
| 387.00 | BANK OF HAWAII CORP COM* | 15,888.59 | 18,212.22 | 696.60 |
| | | 41.06 | 47.06 | 3.82 |
| 460.00 | BARD C. R. INC COM* | 33,021.28 | 35,834.00 | 312.80 |
| | | 71.79 | 77.90 | 0.87 |
| 1,013.00 | BAXTER INTERNATIONAL INC COM * | 56,301.33 | 59,442.84 | 1,175.08 |
| | | 55.58 | 58.68 | 1.98 |
| 628.00 | BHP BILLITON LTD-SPON ADR* | 48,497.43 | 48,092.24 | 703.36 |
| | | 77.23 | 76.58 | 1.46 |
| 756.00 | BORGWARNER INC COM* | 24,709.63 | 25,114.32 | 0.00 |
| | | 32.68 | 33.22 | 0.00 |
| 584.00 | BP PLC SPONSORED ADR* | 25,389.42 | 33,854.48 | 1,706.45 |
| | | 43.48 | 57.97 | 5.04 |
| 997.00 | CARNIVAL CORP PAIRED CTF 1 COM* | 27,151.10 | 31,594.93 | 0.00 |
| | | 27.23 | 31.69 | 0.00 |
| 804.00 | CENOVUS ENERGY INC COM* | 15,328.95 | 20,260.80 | 160.80 |
| | | 19.07 | 25.20 | 0.79 |
| 1,552.00 | CHESAPEAKE ENERGY CORP COM* | 35,400.62 | 40,165.76 | 465.60 |
| | | 22.81 | 25.88 | 1.16 |
| 971.00 | CHEVRON CORPORATION COM* | 83,057.08 | 74,757.29 | 2,641.12 |
| | | 85.54 | 76.99 | 3.53 |
| 3,080.00 | CISCO SYSTEM INC COM* | 69,076.78 | 73,735.20 | 0.00 |
| | | 22.43 | 23.94 | 0.00 |
| 1,263.00 | COCA COLA CO COM* | 65,861.11 | 71,991.00 | 2,071.32 |
| | | 52.15 | 57.00 | 2.88 |
| 1,823.00 | COGNIZANT TECH. SOLUTIONS CL A* | 44,008.61 | 82,636.59 | 0.00 |
| | | 24.14 | 45.33 | 0.00 |
| 774.00 | CONAGRA FOODS INC COM* | 15,046.56 | 17,840.70 | 619.20 |
| | | 19.44 | 23.05 | 3.47 |
| 881.00 | CORNING INC COM* | 7,734.12 | 17,012.11 | 176.20 |
| | | 8.78 | 19.31 | 1.04 |
| 679.00 | COSTCO WHOLESALE CORP NEW COM* | 36,626.20 | 40,176.43 | 488.88 |
| | | 53.94 | 59.17 | 1.22 |
| 532.00 | CUMMINS INC* | 17,405.66 | 24,397.52 | 372.40 |
| | | 32.72 | 45.86 | 1.53 |
| 785.00 | DEERE & CO COM * | 35,859.26 | 42,460.65 | 879.20 |
| | | 45.68 | 54.09 | 2.07 |
| 905.00 | DEVRY INC DEL COM* | 46,475.57 | 51,340.65 | 181.00 |
| | | 51.35 | 56.73 | 0.35 |
| 1,334.00 | DOW CHEMICAL CO COM * | 29,781.37 | 36,858.42 | 800.40 |
| | | 22.32 | 27.63 | 2.17 |

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PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|----------------------------------|------------------------|--------------------------|----------------------------|
| EQUITIES | | | | |
| 2,830.00 | E M C CORP MASSACHUSETTS COM * | 34,514.96 | 49,440.10 | 0.00 |
| | | 12.20 | 17.47 | 0.00 |
| 1,603.00 | EMERSON ELECTRIC CO COM* | 50,505.73 | 68,287.80 | 2,148.02 |
| | | 31.51 | 42.60 | 3.15 |
| 804.00 | ENCANA CORP* | 16,277.14 | 26,041.56 | 643.20 |
| | | 20.25 | 32.39 | 2.47 |
| 1,180.00 | EXXON MOBIL CORP COM* | 85,214.47 | 80,464.20 | 1,982.40 |
| | | 72.22 | 68.19 | 2.46 |
| 446.00 | FPL GROUP INC COM * | 20,829.30 | 23,557.72 | 842.94 |
| | | 46.70 | 52.82 | 3.58 |
| 3,471.00 | GENERAL ELECTRIC CO COM* | 51,339.46 | 52,516.23 | 1,388.40 |
| | | 14.79 | 15.13 | 2.64 |
| 1,011.00 | GENTEX CORP COM* | 15,387.42 | 18,046.35 | 444.84 |
| | | 15.22 | 17.85 | 2.46 |
| 1,592.00 | GILEAD SCIENCES INC COM* | 60,975.45 | 68,885.84 | 0.00 |
| | | 38.30 | 43.27 | 0.00 |
| 125.00 | GOOGLE INC CL A* | 38,890.76 | 77,497.50 | 0.00 |
| | | 311.13 | 619.98 | 0.00 |
| 1,318.00 | HEWLETT PACKARD CO (DEL) COM* | 59,572.76 | 67,890.18 | 421.76 |
| | | 45.20 | 51.51 | 0.62 |
| 560.00 | HOME DEPOT INC COM * | 14,564.87 | 16,200.80 | 504.00 |
| | | 26.01 | 28.93 | 3.11 |
| 875.00 | HONEYWELL INTERNATIONAL INC COM* | 44,735.29 | 34,300.00 | 1,058.75 |
| | | 51.13 | 39.20 | 3.09 |
| 566.00 | HSBC HOLDINGS PLC SPON ADR NEW* | 27,049.14 | 32,312.94 | 2,575.30 |
| | | 47.79 | 57.09 | 7.97 |
| 792.00 | ILLINOIS TOOL WORKS INC COM * | 32,975.71 | 38,008.08 | 982.08 |
| | | 41.64 | 47.99 | 2.58 |
| 4,335.00 | INTEL CORP COM * | 67,159.90 | 88,434.00 | 2,427.60 |
| | | 15.49 | 20.40 | 2.75 |
| 1,633.00 | INVESCO LTD* | 33,108.77 | 38,359.17 | 522.56 |
| | | 20.27 | 23.49 | 1.36 |
| 495.00 | JOHNSON & JOHNSON COM * | 28,812.31 | 31,882.95 | 970.20 |
| | | 58.21 | 64.41 | 3.04 |
| 2,194.00 | JPMORGAN CHASE & CO COM* | 72,861.10 | 91,423.98 | 438.80 |
| | | 33.21 | 41.67 | 0.48 |
| 299.00 | KELLOGG CO COM* | 16,084.82 | 15,906.80 | 448.50 |
| | | 53.80 | 53.20 | 2.82 |
| 687.00 | KENNAMETAL INC COM* | 15,105.22 | 17,807.04 | 329.76 |
| | | 21.99 | 25.92 | 1.85 |

NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|------------------------------------|------------------------|--------------------------|----------------------------|
| EQUITIES | | | | |
| 1,745.00 | LIMITED BRANDS INC COM* | 21,899.75 | 33,573.80 | 1,047.00 |
| | | 12.55 | 19.24 | 3.12 |
| 1,978.00 | LOWES COS INC COM * | 40,847.48 | 46,265.42 | 712.08 |
| | | 20.65 | 23.39 | 1.54 |
| 255.00 | M&T BANK CORP COM* | 12,448.77 | 17,056.95 | 714.00 |
| | | 48.82 | 66.89 | 4.19 |
| 181.00 | MASTERCARD INC CL A COM* | 31,426.58 | 46,332.38 | 108.60 |
| | | 173.63 | 255.98 | 0.23 |
| 2,041.00 | MAXIM INTEGRATED PRODUCTS INC COM* | 32,619.19 | 41,473.12 | 1,632.80 |
| | | 15.98 | 20.32 | 3.94 |
| 523.00 | MCDONALD'S CORP COM * | 29,449.72 | 32,656.12 | 1,150.60 |
| | | 56.31 | 62.44 | 3.52 |
| 638.00 | MEDTRONIC INC COM* | 21,155.81 | 28,059.24 | 523.16 |
| | | 33.16 | 43.98 | 1.86 |
| 1,241.00 | MERCK & CO INC NEW COM* | 33,842.07 | 45,346.14 | 1,886.32 |
| | | 27.27 | 36.54 | 4.16 |
| 577.00 | METLIFE INC COM* | 23,530.06 | 20,396.95 | 426.98 |
| | | 40.78 | 35.35 | 2.09 |
| 2,295.00 | MICROSOFT CORP WASHINGTON COM* | 63,656.32 | 69,951.60 | 1,193.40 |
| | | 27.74 | 30.48 | 1.71 |
| 456.00 | MOSAIC COMPANY COM* | 19,901.39 | 27,236.88 | 91.20 |
| | | 43.64 | 59.73 | 0.33 |
| 483.00 | NORFOLK SOUTHERN CORP COM* | 17,121.59 | 25,318.86 | 656.88 |
| | | 35.45 | 52.42 | 2.59 |
| 560.00 | NORTHERN TRUST CORP COM* | 30,461.92 | 29,344.00 | 627.20 |
| | | 54.40 | 52.40 | 2.14 |
| 660.00 | NOVARTIS AG ADR* | 30,843.97 | 35,923.80 | 859.98 |
| | | 46.73 | 54.43 | 2.39 |
| 659.00 | NUCOR CORP COM* | 28,537.29 | 30,742.35 | 948.96 |
| | | 43.30 | 46.65 | 3.09 |
| 748.00 | NUVASIVE INC COM* | 31,604.19 | 23,921.04 | 0.00 |
| | | 42.25 | 31.98 | 0.00 |
| 539.00 | NYSE EURONEXT COM* | 15,912.41 | 13,636.70 | 646.80 |
| | | 29.52 | 25.30 | 4.74 |
| 801.00 | O'REILLY AUTOMOTIVE INC COM* | 19,819.95 | 30,534.12 | 0.00 |
| | | 24.74 | 38.12 | 0.00 |
| 1,743.00 | ORACLE CORPORATION* | 25,064.99 | 42,755.79 | 348.60 |
| | | 14.38 | 24.53 | 0.82 |
| 624.00 | PACCAR INC COM* | 21,346.44 | 22,632.48 | 224.64 |
| | | 34.21 | 36.27 | 0.99 |

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PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|-----------------------------------|------------------------|--------------------------|----------------------------|
| EQUITIES | | | | |
| 1,095.00 | PATTERSON-UTI ENERGY INC COM* | 12,986.59 | 16,808.25 | 219.00 |
| | | 11.86 | 15.35 | 1.30 |
| 783.00 | PEPSICO INC COM* | 37,615.29 | 47,606.40 | 1,409.40 |
| | | 48.04 | 60.80 | 2.96 |
| 1,463.00 | PETROLEO BRASILEIRO SA PETRO ADR* | 35,827.70 | 69,755.84 | 45.35 |
| | | 24.49 | 47.68 | 0.07 |
| 1,823.00 | PFIZER INC COM* | 72,373.51 | 33,160.37 | 1,312.56 |
| | | 39.70 | 18.19 | 3.96 |
| 2,125.00 | PHILIP MORRIS INT'L INC COM* | 98,979.87 | 102,403.75 | 4,930.00 |
| | | 46.58 | 48.19 | 4.81 |
| 182.00 | PRICELINE.COM INCORPORATED COM* | 14,720.18 | 39,750.62 | 0.00 |
| | | 80.88 | 218.41 | 0.00 |
| 1,661.00 | PRINCIPAL FINANCIAL GROUP COM* | 44,086.66 | 39,930.44 | 830.50 |
| | | 26.54 | 24.04 | 2.08 |
| 709.00 | QUALCOMM INC COM* | 33,055.49 | 32,798.34 | 482.12 |
| | | 46.62 | 46.26 | 1.47 |
| 210.00 | RIO TINTO PLC SPON ADR* | 38,738.62 | 45,231.90 | 1,680.00 |
| | | 184.47 | 215.39 | 3.71 |
| 317.00 | SAP AG SPONSORED ADR* | 16,334.95 | 14,838.77 | 193.05 |
| | | 51.53 | 46.81 | 1.30 |
| 1,076.00 | SCHLUMBERGER LIMITED COM* | 47,629.99 | 70,036.84 | 903.84 |
| | | 44.27 | 65.09 | 1.29 |
| 1,912.00 | SCHWAB CHARLES CORP NEW COM* | 34,339.52 | 35,983.84 | 458.88 |
| | | 17.96 | 18.82 | 1.28 |
| 164.00 | SIEMENS A G SPONSORED ADR* | 15,375.34 | 15,038.80 | 300.45 |
| | | 93.75 | 91.70 | 2.00 |
| 3,202.00 | SOUTHWEST AIRLINES CO COM* | 22,822.26 | 36,598.86 | 57.64 |
| | | 7.13 | 11.43 | 0.16 |
| 1,187.00 | ST. JUDE MEDICAL INC COM* | 44,715.82 | 43,657.86 | 0.00 |
| | | 37.67 | 36.78 | 0.00 |
| 1,913.00 | STARBUCKS CORP COM* | 22,769.87 | 44,113.78 | 0.00 |
| | | 11.90 | 23.06 | 0.00 |
| 1,362.00 | STEEL DYNAMICS INC COM* | 20,479.71 | 24,134.64 | 408.60 |
| | | 15.04 | 17.72 | 1.69 |
| 654.00 | SUNCOR ENERGY INC* | 24,343.91 | 23,092.74 | 0.00 |
| | | 37.22 | 35.31 | 0.00 |
| 927.00 | TENARIS S A SPONSORED ADR* | 26,266.19 | 39,536.55 | 704.52 |
| | | 28.33 | 42.65 | 1.78 |
| 1,191.00 | TEREX CORP COM* | 23,808.80 | 23,593.71 | 0.00 |
| | | 19.99 | 19.81 | 0.00 |

| | | |
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NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|---------------------------------------|------------------------|--------------------------|----------------------------|
| EQUITIES | | | | |
| 685.00 | TIDEWATER INC COM* | 30,025.52 | 32,845.75 | 685.00 |
| | | 43.83 | 47.95 | 2.09 |
| 378.00 | UNION PACIFIC CORP COM* | 23,874.82 | 24,154.20 | 408.24 |
| | | 63.16 | 63.90 | 1.69 |
| 1,004.00 | URBAN OUTFITTERS INC COM* | 16,423.94 | 35,129.96 | 0.00 |
| | | 16.36 | 34.99 | 0.00 |
| 1,514.00 | VALE SA SP ADR* | 25,838.31 | 43,951.42 | 0.00 |
| | | 17.07 | 29.03 | 0.00 |
| 293.00 | VISA INC CL A COM* | 24,230.13 | 25,625.78 | 146.50 |
| | | 82.70 | 87.46 | 0.57 |
| 1,924.00 | VODAFONE GROUP PLC SPON ADR* | 38,266.45 | 44,425.16 | 2,847.52 |
| | | 19.89 | 23.09 | 6.41 |
| 670.00 | WAL MART STORES INC COM* | 33,865.69 | 35,811.50 | 730.30 |
| | | 50.55 | 53.45 | 2.04 |
| 748.00 | XTO ENERGY INC COM* | 30,403.35 | 34,804.44 | 374.00 |
| | | 40.65 | 46.53 | 1.07 |
| 877.00 | YUM! BRANDS INC COM* | 25,586.24 | 30,668.69 | 736.68 |
| | | 29.17 | 34.97 | 2.40 |
| | | 3,413,716.87 | 4,135,064.55 | 73,882.73 |
| OTHER EQUITY | | | | |
| 81,859.087 | FROST HOOVER SMALL MID-CAP EQ FD I{*} | 605,644.06 | 633,589.33 | 0.00 |
| | | 7.40 | 7.74 | 0.00 |
| 120,643.853 | FROST INTERNATIONAL EQUITY FD INST{*} | 1,005,514.46 | 948,260.68 | 1,689.01 |
| | | 8.33 | 7.86 | 0.18 |
| 18,128.243 | IVY GLOBAL NATURAL RES FUND CL I{*} | 348,859.24 | 340,267.12 | 797.64 |
| | | 19.24 | 18.77 | 0.23 |
| 34,305.599 | MAINSTAY CONVERTIBLE FUND I{*} | 421,272.76 | 479,249.22 | 14,648.49 |
| | | 12.28 | 13.97 | 3.06 |
| 8,615.545 | T ROWE PRICE INTL DISCOVERY FD* | 312,399.66 | 318,344.39 | 2,067.73 |
| | | 36.26 | 36.95 | 0.65 |
| | | 2,693,690.18 | 2,719,710.74 | 19,202.87 |
| TOTAL EQUITIES | | 6,107,407.05 | 6,854,775.29 | 93,085.60 |

ACCOUNT NO.

STATEMENT PERIOD: 11/30/09 THRU 12/31/09

PAGE 9

NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|---------------------------------------|--|------|---------|------------------------|--------------------------|----------------------------|
| FIXED INCOME | | | | | | |
| <u>U.S. GOVERNMENT & AGENCIES</u> | | | | | | |
| 71,747.72 | FNMA POOL #696823 | 5.5% | 3/01/18 | 74,303.21 | 76,509.62 | 3,946.12 |
| | | | | 103.56 | 106.64 | 5.16 |
| 53,549.05 | FNMA POOL #763848 | 6% | 2/01/34 | 55,473.36 | 57,113.27 | 3,212.94 |
| | | | | 103.59 | 106.66 | 5.63 |
| 42,937.01 | GNMA POOL #583296 | 5% | 7/15/17 | 43,768.91 | 45,324.74 | 2,146.85 |
| | | | | 101.94 | 105.56 | 4.74 |
| 18,686.03 | GNMA POOL #590389 | 5% | 8/15/17 | 19,159.03 | 19,768.70 | 934.30 |
| | | | | 102.53 | 105.79 | 4.73 |
| 6,954.81 | GNMA POOL #590416 | 5.5% | 8/15/17 | 7,206.95 | 7,425.09 | 382.51 |
| | | | | 103.63 | 106.76 | 5.15 |
| | | | | 199,911.46 | 206,141.42 | 10,622.72 |
| <u>MUNICIPAL BONDS - TAXABLE</u> | | | | | | |
| 300,000.00 | ALBUQUERQUE NEW MEXICO GROSS RECEIPTS TAX SERIES A NON-CALLABLE TAXABLE DATED 6/4/2008 4.93% DUE 7/1/2013 | | | 300,000.00 | 323,049.00 | 14,790.00 |
| | | | | 100.00 | 107.68 | 4.58 |
| <u>CORPORATE BONDS</u> | | | | | | |
| 250,000.00 | ABBOTT LABORATORIES DATED 3/18/2004 3.75% DUE 3/15/2011 | | | 259,511.59 | 258,262.50 | 9,375.00 |
| | | | | 103.80 | 103.30 | 3.63 |
| 200,000.00 | AT & T INCORPORATED DATED 11/14/2005 5.30% DUE 11/15/2010 | | | 207,154.00 | 207,908.00 | 10,600.00 |
| | | | | 103.58 | 103.95 | 5.10 |
| 75,000.00 | AT & T INCORPORATED DATED 2/1/2008 5.50% DUE 2/1/2018 | | | 73,826.25 | 78,256.50 | 4,125.00 |
| | | | | 98.44 | 104.34 | 5.27 |
| 250,000.00 | BEAR STEARNS COMPANY INCORPORATED DATED 1/25/2007 5.35% DUE 2/1/2012 | | | 245,555.00 | 265,642.50 | 13,375.00 |
| | | | | 98.22 | 106.26 | 5.03 |
| 250,000.00 | BERKSHIRE HATHAWAY FINANCE CORP DATED 4/2/2009 4.00% DUE 4/15/2012 | | | 260,790.00 | 261,992.50 | 10,000.00 |
| | | | | 104.32 | 104.80 | 3.82 |
| 250,000.00 | BOTTLING GROUP LLC DATED 5/15/2003 4.625% DUE 11/15/2012 | | | 267,940.00 | 267,995.00 | 11,562.50 |
| | | | | 107.18 | 107.20 | 4.31 |

ACCOUNT NO.

STATEMENT PERIOD: 11/30/09 THRU 12/31/09

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NORRIS FOUNDATION TUA 12/3/98

PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|-------------------------|---|------------------------|--------------------------|----------------------------|
| FIXED INCOME | | | | |
| 250,000.00 | CHEVRON CORPORATION DATED 3/3/2009 3.95% DUE 3/3/2014 | 257,827.50 103.13 | 261,005.00 104.40 | 9,875.00 3.78 |
| 250,000.00 | CISCO SYSTEMS INCORPORATED DATED 2/22/2006 5.25% DUE 2/22/2011 | 266,052.50 106.42 | 262,332.50 104.93 | 13,125.00 5.00 |
| 75,000.00 | CITIGROUP INCORPORATED DATED 5/7/2003 4.875% DUE 5/7/2015 | 70,665.00 94.22 | 70,695.75 94.26 | 3,656.25 5.17 |
| 300,000.00 | COCA COLA COMPANY DATED 3/6/2009 3.625% DUE 3/15/2014 | 300,051.00 100.02 | 309,237.00 103.08 | 10,875.00 3.52 |
| 75,000.00 | CREDIT SUISSE FIRST BOSTON USA INCORPORATED DATED 12/15/2004 4.875% DUE 1/15/2015 | 72,660.00 96.88 | 78,534.00 104.71 | 3,656.25 4.66 |
| 250,000.00 | DU PONT E I DE NEMOURS DATED 7/28/2008 5.00% DUE 7/15/2013 | 265,767.50 106.31 | 269,312.50 107.72 | 12,500.00 4.64 |
| 250,000.00 | EATON CORPORATION DATED 3/16/2009 5.95% DUE 3/20/2014 | 262,722.50 105.09 | 270,290.00 108.12 | 14,875.00 5.50 |
| 250,000.00 | GLAXOSMITHKLINE CAPITAL INCORPORATED DATED 5/13/2008 4.85% DUE 5/15/2013 | 262,718.37 105.09 | 268,362.50 107.34 | 12,125.00 4.52 |
| 100,000.00 | GOLDMAN SACHS GROUP INCORPORATED DATED 1/17/2006 5.35% DUE 1/15/2016 | 96,010.00 96.01 | 103,867.00 103.87 | 5,350.00 5.15 |
| 250,000.00 | HEWLETT PACKARD COMPANY DATED 2/26/2009 4.25% DUE 2/24/2012 | 261,575.00 104.63 | 262,235.00 104.89 | 10,625.00 4.05 |
| 250,000.00 | HONEYWELL INTERNATIONAL INC DATED 10/30/01 6.125% DUE 11/1/11 | 274,697.50 109.88 | 272,527.50 109.01 | 15,312.50 5.62 |
| 75,000.00 | IBM CORPORATION DATED 11/27/02 4.75% DUE 11/29/12 | 75,878.25 101.17 | 80,575.50 107.43 | 3,562.50 4.42 |
| 75,000.00 | JPMORGAN CHASE & COMPANY DATED 9/15/2004 5.125% DUE 9/15/2014 | 76,575.75 102.10 | 79,107.00 105.48 | 3,843.75 4.86 |

ACCOUNT NO.

STATEMENT PERIOD: 11/30/09 THRU 12/31/09

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NORRIS FOUNDATION TUA 12/3/98
PORTFOLIO HOLDINGS

| SHARES OR FACE VALUE | | TAX COST/ UNIT COST | MKT VALUE/ UNIT PRICE | EST INCOME/ INC RATE(%) |
|---------------------------|--|------------------------|--------------------------|----------------------------|
| FIXED INCOME | | | | |
| 250,000.00 | ORACLE CORPORATION DATED 1/13/2006 5.00% DUE 1/15/2011 | 263,987.59 105.60 | 259,975.00 103.99 | 12,500.00 4.81 |
| 250,000.00 | VERIZON COMMUNICATIONS INCORPORATED DATED 2/12/2008 4.35% DUE 2/15/2013 | 253,884.58 101.55 | 261,505.00 104.60 | 10,875.00 4.16 |
| 250,000.00 | WAL MART STORES DATED 6/09/2005 4.125% DUE 7/01/2010 | 257,967.27 103.19 | 254,915.00 101.97 | 10,312.50 4.05 |
| 250,000.00 | WALT DISNEY COMPANY DATED 12/22/2008 4.50% DUE 12/15/2013 | 262,207.50 104.88 | 265,562.50 106.22 | 11,250.00 4.24 |
| 250,000.00 | WYETH DATED 12/16/2003 5.50% DUE 2/1/2014 | 267,625.00 107.05 | 272,367.50 108.95 | 13,750.00 5.05 |
| | | 5,163,649.65 | 5,242,463.25 | 237,106.25 |
| BOND FUNDS | | | | |
| 69,637.883 | IVY HIGH INCOME FUND CLASS I{* | 502,785.53 7.22 | 568,941.50 8.17 | 40,877.44 7.18 |
| FOREIGN BONDS | | | | |
| 250,000.00 | DIAGEO CAPITAL PLC DATED 9/28/2006 5.125% DUE 1/30/2012 | 259,762.50 103.90 | 265,755.00 106.30 | 12,812.50 4.82 |
| TOTAL FIXED INCOME | | 6,426,109.14 | 6,606,350.17 | 316,208.91 |
| TOTAL PORTFOLIO | | 13,035,164.10 | 13,962,773.37 | 409,996.82 |



• *Change in the value of your account*

| | DEC 01, 2009 TO DEC 31, 2009 | JAN 01, 2009 TO DEC 31, 2009 |
|---------------------------|---------------------------------|---------------------------------|
| Beginning market value | \$11,574.20 | \$120,463.46 |
| Deposits | | |
| Cash | 350,000.00 | 1,327,353.00 |
| Distributions | | |
| Cash | -347,820.93 | -1,433,286.31 |
| Bank fees | -465.00 | -1,320.00 |
| Change in portfolio value | | |
| Income | 8.95 | 287.07 |
| Ending market value | \$13,497.22 | \$13,497.22 |
| returned check | 608.70 | 608.70 |
| | <u>\$14,105.92</u> | <u>\$14,105.92</u> |



Four Tower Bridge
200 Barr Harbor Drive, Suite 100
West Conshohocken, PA 19428

Member Inquiries: (610) 684-8200
Member Services Fax: (610) 684-8210
Website Inquiries: www.tiff.org
Registration: DELLORA A AND LESTER J NORRIS
Account Number: FOUNDATION

602804 F001 823 10Z 1/3 275
MR. HOWARD S. TUTHILL
P.O. BOX 120
STAMFORD, CT 06904

Account Summary - Current Month

| Fund Name | Beginning | | Purchases | Redemptions | Distributions | Share 12/31/2009 | | Ending | Average |
|--------------------|----------------|-----|-----------|-------------|---------------|------------------|---------|----------------|----------------|
| | Market Value | NAV | | | | Balance | NAV | Market Value | Cost Basis |
| F MULTI-ASSET FUND | \$3,994,218.03 | | \$0.00 | (\$0.00) | \$94,228.91 | 281,474.796 | \$14.39 | \$4,050,422.31 | \$4,288,123.00 |
| Account Total | \$3,994,218.03 | | \$0.00 | (\$0.00) | \$94,228.91 | | | \$4,050,422.31 | |

Distributions may be reinvested or paid in cash at the election of the member. See the Transaction History section for information specific to each fund investment.

Average cost basis is calculated using the single-category average basis method, one of four common methods approved by the IRS. It takes into account all purchases and redemptions, including shares acquired through reinvested dividends and capital gains distributions. The average cost basis is not reported to the IRS.

Members may not add to totals due to rounding.

Account Summary - YTD

| Fund Name | Purchases | | Redemptions | Distributions | Dividend | | Cap Gain | | Account |
|--------------------|-----------|--------|-------------|---------------|----------|----------|----------|----------|------------|
| | | | | | Option | Reinvest | Option | Reinvest | Inception |
| F MULTI-ASSET FUND | | \$0.00 | (\$0.00) | \$165,371.50 | | Reinvest | | Reinvest | 08/01/2008 |
| Account Total | | \$0.00 | (\$0.00) | \$165,371.50 | | | | | |

DELLORA A AND LESTER J. NORRIS

Form 990-PF Part VIII - List of Officers, Directors Statement 7
Trustees and Foundation Managers

| Name and Address | Title and Avrg Hrs/Wk | Compen- sation | Employee Ben Plan Contrib | Expense Account |
|--|--|-------------------|---------------------------------|--------------------|
| Robert C. Norris 303 East Main Street, P.O. Box 4325 St Charles, IL 60174 | Chairman & Director 0.00 | 0. | 0. | 0. |
| George N. Gaynor 303 East Main Street, P.O. Box 4325 St Charles, IL 60174 | President & Director 0.00 | 0. | 0. | 0. |
| Pamela Norris 303 East Main Street, P.O. Box 4325 St Charles, IL 60174 | Vice President & Director 0.00 | 0. | 0. | 0. |
| John R. Collins 303 East Main Street, P.O. Box 4325 St Charles, IL 60174 | Asst. Secretary & Director 0.00 | 0. | 0. | 0. |
| Howard S. Tuthill 6 Landmark Square, P.O. Box 120 Stamford, CT 06904 | Secretary 2.00 | 0. | 0. | 0. |
| Eugene W. Bulter 303 East Main Street, P.O. Box 4325 St Charles, IL 60174 | Treasurer 25.00 | 35,000. | 0. | 0. |
| Totals included on 990-PF, Page 6, Part VIII | | 35,000. | 0. | 0. |

2009 Form 990-PF
PART VII-B, Line 5c

Dellora A. and Lester J. Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC sections 4945(d)(4)(A)(ii) and (B), IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant made to a "controlled" supporting organization:

| | |
|------------------------------|---|
| Name and Address of Grantee: | Dellora A. Norris Cultural Arts Center (FYE 6/30) |
| | 1040 Dunham Road |
| | P.O. Box 2240 |
| | St. Charles, IL 60174 |

| | | |
|-----------------------------|----------|-----------------|
| Amount and date of Grants*: | 10/16/08 | \$25,000 |
| | 12/18/08 | \$30,000 |
| | 12/30/08 | <u>\$15,000</u> |
| | | \$70,000 |

| | |
|--------------------|---|
| Purpose of Grants: | To support the operation, programs, and activities of the cultural arts center. |
|--------------------|---|

| | |
|------------------------------|---|
| Amounts Expended by Grantee: | Program expenditures exceeded grants and income |
|------------------------------|---|

| | |
|----------------------------|---------------------------------------|
| Dates of Reports Received: | First and final report dated 06/08/10 |
|----------------------------|---------------------------------------|

The Dellora A. and Lester J. Norris Foundation has no reason to believe that the grantee has diverted any of the grant funds from the purposes of the grant.

*\$25,000 grant made on 7/02/08 was returned to the Norris Foundation on 8/19/08

2009 Form 990-PF
PART VII-B, Line 5c

Dellora A. and Lester J. Norris Foundation
EIN 36-3054939

PART VII-B, Line 5c, Statement Required by Regulations section 53.4945-5(d)

The following information is provided in accordance with IRC sections 4945(d)(4)(A)(ii) and (B), IRC section 4945(h)(3) and Treas. Reg. section 53.4945-5(d) to document that the Foundation is exercising expenditure responsibility in regard to the following grant made to a "controlled" supporting organization:

| | |
|------------------------------|---|
| Name and Address of Grantee: | Dellora A. Norris Cultural Arts Center (FYE 6/30) |
| | 1040 Dunham Road |
| | P.O. Box 2240 |
| | St. Charles, IL 60174 |

| | | |
|----------------------------|----------|-----------------|
| Amount and date of Grants: | 11/20/09 | \$10,000 |
| | 12/23/09 | <u>\$25,000</u> |
| | | \$35,000 |

| | |
|--------------------|---|
| Purpose of Grants: | To support the operation, programs, and activities of the cultural arts center. |
|--------------------|---|

| | |
|------------------------------|-------------------|
| Amounts Expended by Grantee: | Not yet reported. |
|------------------------------|-------------------|

| | |
|----------------------------|---|
| Dates of Reports Received: | The grantee's fiscal year in which the grants were received will end June 30, 2010. The first grant report is not yet due |
|----------------------------|---|

The Dellora A. and Lester J. Norris Foundation has no reason to believe that the grantee has diverted any of the grant funds from the purposes of the grant.

Application for Extension of Time To File an
Exempt Organization Return

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete **Part II** unless you have already been granted an automatic 3-month extension on a previously filed Form 8868**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

| | | |
|---|---|--------------------------------|
| Type or print File by the due date for filing your return. See instructions. | Name of Exempt Organization | Employer identification number |
| | DELLORA A. & LESTER J. NORRIS FOUNDATION | 36-3054939 |
| | Number, street, and room or suite no. If a P.O. box, see instructions. 303 E. Main St., P.O. Box 4325 | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. St. Charles, IL 60174 | |

Check type of return to be filed (file a separate application for each return)

- | | | |
|---|---|------------------------------------|
| <input type="checkbox"/> Form 990 | <input type="checkbox"/> Form 990-T (corporation) | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ | <input type="checkbox"/> Form 990-T (trust other than above) | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A | <input type="checkbox"/> Form 8870 |

Eugene W. Butler, Treasurer

- The books are in the care of ▶ 303 E. Main St., P.O. Box 4325 - St. Charles, IL 60174
Telephone No ▶ (630) 584-2500 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until August 15, 2010, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ▶ ☒ calendar year 2009 or
- ▶ ☐ tax year beginning _____, and ending _____

- 2 If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|----|----|---------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 17,100. |
| b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 30,000. |
| c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 0. |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)

Dellora A. And Lester J. Norris Foundation
EIN: 36-3054939
2009 Form 990-PF

Part XV, Line 39. **Grants & Contributions Paid**

| | | | |
|---|---|-----------|---------------------------|
| American Quarter Horse Foundation | 2601 I-40 East Amarillo, TX 79104 | 10,000 00 | 509(a)(3) Type I |
| American Red Cross Fox River (Valley) Chapter | 121 North Second Street Ste G St Charles, IL 60174 | 5,000 00 | 509(a)(1) |
| Arizona Heart Foundation, Inc. | 1910 E. Thomas Road Phoenix, AZ 85016 | 5,000.00 | 509(a)(1) |
| Arizona Zoological Society | 455 N Galvin Pkwy in Pago Park Phoenix, AZ 85008 | 1,000 00 | 509(a)(1) |
| Association for Individual Development | 309 West New Indian Trail Ct Aurora, IL 60506 | 5,000 00 | 509(a)(2) |
| Austin Community Foundation for the Capital Area a/k/a Austin Community Foundation | 4315 Guadalupe, Suite 300 Austin, TX 78751 | 5,000 00 | 509(a)(1) |
| Autistic Treatment Center | 10503 Metric Drive Dallas, TX 75243 | 65,000.00 | 509(a)(1) |
| Baker Memorial United Methodist Church | 307 Cedar Avenue St Charles, IL 60174 | 11,166.65 | 509(a)(1) |
| Barrow Neurological Foundation | 350 West Thomas Road Phoenix, AZ 85013 | 10,000 00 | 509(a)(2) |
| Boy Scouts of America, Three Fires Council, Inc. | 415 North Second Street St Charles, IL 60174 | 20,000 00 | 509(a)(1) |
| Boys Town (Father Flanagans Boys Home) | 14100 Crawford Street Boys Town, NE 68010 | 5,000 00 | 509(a)(1) |
| Casa Kane Co a/k/a Casa Kane County | 100 South Third Street, Suite 460 Geneva, IL 60134 | 10,000 00 | 509(a)(1) |
| Chapel of Our Savior | 4th Street and Polo Drive Colorado Springs, CO 80906 | 2,000.00 | 509(a)(1) |
| Cheyenne Mountain Zoo Endowment Fund | 4250 Cheyenne Mountain Zoo Rd Colorado Springs, CO 80906 | 2,000.00 | 509(a)(2) |
| Chicago Coalition for the Homeless | 1325 S Wabash Ave , Suite 205 Chicago, IL 60605 | 2,500.00 | 509(a)(1) |
| Children's Memorial Hospital | 2300 Children's Plaza No 4 Chicago, IL 60614 | 15,000 00 | 509(a)(1) |
| Circus of the Star a/ka/ Circus Juventas | 1270 Montreal Avenue Saint Paul, MN 55116 | 10,000 00 | 509(a)(1) |
| Colorado Festival of World Theatre | 1586 S 21st Street, Suite 120 Colorado Springs, CO 80904 | 5,000 00 | 509(a)(2) |
| Colorado Springs Fine Arts Center | 30 West Dale Street Colorado Springs, CO 80903 | 4,000.00 | 509(a)(1) |
| Colorado State University | 102 Administration Building Fort Collins, CO 80523 | 5,000 00 | 509(a)(1) |
| Crisis Nursery | 2334 East Polk Street Phoenix, AZ 85006 | 1,000 00 | 509(a)(1) |
| David Lawrence Foundation for Mental Health | 3105 Davis Boulevard Naples, FL 34104 | 40,000 00 | 509(a)(1) |
| *Dellora A Norris Cultural Arts Center | 1040 Dunham Road P.O. Box 2240 St Charles, IL 60174 | 35,000.00 | 509(a)(3) (controlled) |

| | | | |
|---|---|------------|-----------|
| DeInor Health Care Foundation | 300 Randall Road Geneva, IL 60134 | 100,000 00 | 509(a)(1) |
| Disabled American Veterans Charitable Service Trust, Inc a/k/a Disabled American Veterans | 3725 Alexandria Pike Cold Spring, KY 41076 | 2,500 00 | 509(a)(1) |
| Education Foundation of Collier County | 2590 Golden Gate Pkwy Ste 101 Naples, FL 34105 | 25,000.00 | 509(a)(1) |
| El Paso County Sherfff Foundation (<i>for support of mounted patrol</i>) | El Paso County Finance Dept 27 East Vermijo, 5th Floor Colorado Springs, CO 80903 | 5,000.00 | 509(a)(1) |
| Elgin Academy | 350 Park Street Elgin, IL 60120 | 15,000.00 | 509(a)(1) |
| Feed the Children, Inc | P O Box 36 Oklahoma City, OK 73101 | 2,500 00 | 509(a)(1) |
| Fishtown Preservation Society | P O Box 721 Leland, MI 49654 | 2,500.00 | 509(a)(1) |
| Fort Worth Stockshow Syndicate | P O Box 17005 Fort Worth, TX 76102 | 5,000 00 | 509(a)(1) |
| Fox Valley Volunteer Hospice | 20 Whitfield Drive Geneva, IL 60134 | 5,000 00 | 509(a)(1) |
| Goodwill Industries of Colorado Springs | 2320 West Colorado Avenue P.O Box 6300 Colorado Springs, CO 80934 | 1,500 00 | 509(a)(2) |
| Harry Chapin Food Bank of Southwest Florida, Inc | 2126 Alicia Street Fort Myers, FL 33901 | 10,000 00 | 509(a)(1) |
| Hesed House a/k/a Public Action to Deliver Shelter, Inc. | 659 S. River Street Aurora, IL 60506 | 2,500 00 | 509(a)(1) |
| Holy Archangel Byzantine Catholic Church | 203 Jacob Street Mont Clare, PA 19453 | 5,000 00 | 509(a)(1) |
| Humane Society of the Pikes Peak Region | 610 Abbott Lane Colorado Springs, CO 8905 | 3,000 00 | 509(a)(1) |
| International College, Inc., a/k/a Hodges University, Inc. | 2655 Northbrooke Dr. Naples, FL 60540 | 125,000 00 | 509(a)(1) |
| John B Norris Recreation Center | 1050 Dunham Road St. Charles, IL 60174 | 5,000 00 | 509(a)(2) |
| Juvenile Diabetes Research Foundation Int'l | 3710 Sinton Road, Suite 220 Colorado Sprins, CO 80907 | 74,050 00 | 509(a)(1) |
| Kent Denver Country Day School | 4000 East Quincy Avenue Englewood, CO 80133 | 75,000.00 | 509(a)(1) |
| Kentucky Horse Park Foundation, Inc | 4089 Iron Works Parkway Lexington, KY 40511 | 2,500 00 | 509(a)(1) |
| Lake Forest Academy | 1500 West Kennedy Road Lake Forest, IL 60045 | 25,000.00 | 509(a)(1) |
| Leelanau Conservancy | P O Box 1007 Leland, MI 49654 | 5,000 00 | 509(a)(2) |
| Leelanau School a/k/a Leelanau Center for Education | One Old Homestead Road Glen Harbor, MI 49636 | 15,000 00 | 509(a)(1) |
| Mayo Clinic Arizona, Dept. of Development | 13400 East Shea Boulevard Scottsdale, AZ 85259 | 25,000 00 | 509(a)(1) |
| Memorial Health System Foundation | 1519 E Boulder Colorado Springs, CO 80909 | 6,000.00 | 509(a)(1) |
| Mercy Home for Boys & Girls (a/k/a Mission of Our Lady of Mercy) | 1140 W. Jackson Blvd. Chicago, IL 60607 | 5,000 00 | 509(a)(1) |
| National Cowboy and Western Heritage Museum | 1700 Northeast 63rd Street Oklahoma City, OK 73111 | 27,500.00 | 509(a)(2) |

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| Neighborhood Health Clinic, Inc | 120 Goodlette Road North Naples, FL 34102 | 15,000 00 | 509(a)(1) |
| North Central College | 30 North Brainard Street Naperville, IL 60540 | 10,000.00 | 509(a)(1) |
| Northern Illinois Food Bank | 600 Industrial Dr. St Charles, IL 60174 | 2,500 00 | 509(a)(1) |
| Omaha Home for Boys | 4343 North 52nd Street Omaha, NE 68104 | 2,500 00 | 509(a)(1) |
| Penrose/St. Frances Health Foundation | 961 East Colorado at Center Pt Colorado Springs, CO 80903 | 30,000 00 | 509(a)(1) |
| Phoenix Art Museum | 1625 N. Central Avenue Phoenix, AZ 85004 | 40,000 00 | 509(a)(1) |
| Phoenix Symphony Association a/k/a The Phoenix Symphony | 455 North 3rd Street, Suite 390 Phoenix, AZ 85004 | 2,000 00 | 509(a)(2) |
| Poudre Valley Health System Fdn. (Poudre Valley Hospital Fdn) | 2315 E Harmony Rd Suite 200 Fort Collins, CO 80528 | 10,000 00 | 509(a)(1) |
| Riddles Elephant Breeding Farm and Wildlife Sanctuary, Inc | P.O Box 715 Greenbrier, AR 72058 | 25,000 00 | 509(a)(1) |
| Rocky Mountain Bird Observatory | P O Box 1232 Brighton, CO 80601 | 5,000 00 | 509(a)(1) |
| Ronald McDonald House Charities of Southern Colorado, Inc | 311 North Logan Colorado Springs, CO 80909 | 2,000 00 | 509(a)(1) |
| Salvation Army | P.O. Box 3939 St Charles, IL 60174 | 10,000 00 | 509(a)(1) |
| Scottsdale Healthcare Foundation | 10001 North 92nd Street, Ste 121 Scottsdale, AZ 85258 | 35,000 00 | 509(a)(1) |
| Seacrest School, Inc. | 7100 Davis Boulevard Naples, FL 34104 | 2,000 00 | 509(a)(1) |
| St Jude's Children's Hospital | 262 Danny Thomas Place Memphis, TN 38105 | 10,000 00 | 509(a)(1) |
| St Anne's Episcopal School | 2701 South York Street Denver, CO 80210 | 10,000.00 | 509(a)(1) |
| St. Charles Heritage Center a/k/a St Charles Historical Society | 215 East Main Street St Charles, IL 60174 | 10,000 00 | 509(a)(1) |
| St Charles Park District <i>(for support of public parks)</i> | 101 South Second Street St Charles, IL 60174 | 4,000.00 | 509(a)(1) |
| St Elizabeth Seton School | 2760 52nd Terrace South West Naples, FL 34116 | 25,000 00 | 509(a)(1) |
| Steadman-Hawkins Research Foundation | 181W Meadow Drive, Ste 1000 Vail, CO 81657 | 2,500 00 | 509(a)(1) |
| Suicide Prevention Services | 528 South Batavia Avenue Batavia, IL 60510 | 5,000 00 | 509(a)(1) |
| Trends Charitable Fund | 2198 E. Camelback Road, #205 Phoenix, AZ 85016 | 1,500 00 | 509(a)(1) |
| Tri City Family Services | 1120 Randall Ct Geneva, IL 60134 | 10,000 00 | 590(a)(2) |
| Tri City Health Partnership, Inc | 318 Walnut Street St Charles, IL 60174 | 10,000 00 | 509(a)(1) |
| Valley of the Sun Hospice Association | 1510 East Flower Drive Phoenix, AZ 85014 | 1,500 00 | 509(a)(1) |
| Valley Presbyterian Church | 6947 East McDonald Drive Paradise Valley, AZ 85253 | 3,000 00 | 509(a)(1) |
| Wabash College | P.O Box 352 Crawfordsville, IL 47933 | 10,000 00 | 509(a)(1) |

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| YMCA of Collier County a/k/a YMCA of the Palms | 5450 YMCA Road Naples, FL 34109 | 165,000.00 | 509(a)(2) |
| Total Charitable Grants Paid | | 1,306,716.65 | |

*Grants to the Dellora A Norris Cultural Arts Center-
a "controlled" supporting organization; expenditure
responsibility is being exercised and grant was not
treated as a qualifying distribution pursuant to IRC
sections 4945(d)(4)(A)(ii) and (B) and
4942(g)(4)(A)(ii)