



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

**2009**

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS		A Employer identification number 36-2356089
	Number and street (or P O box number if mail is not delivered to street address) Room/suite 4 WEST BURTON		B Telephone number (see page 10 of the instructions) (312) 787-4071
	City or town, state, and ZIP code CHICAGO, IL 60610-1416		C If exemption application is pending check here <input type="checkbox"/>
			D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A) check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 39,919,202.			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	3,801			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B.				
	3 Interest on savings and temporary cash investments	1,248	1,248		
	4 Dividends and interest from securities	378,289	460,273		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	793,159			
	b Gross sales price for all assets on line 6a 5,425,282				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	3,020	-101,042		ATCH 1
	12 Total. Add lines 1 through 11	1,179,517	360,479		
	13 Compensation of officers, directors, trustees, etc.	146,455	0	0	146,455
	14 Other employee salaries and wages	323,921	0	0	323,921
	15 Pension plans, employee benefits	122,288	0	0	123,003
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 2	80,030	0	0	76,655
	c Other professional fees (attach schedule) *	451,916	432,710	0	14,371
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	34,164	3,582	0	34,164
	19 Depreciation (attach schedule) and depletion	79,456	0	0	
	20 Occupancy	34,748	0	0	33,004
	21 Travel, conferences, and meetings	12,714	0	0	12,714
	22 Printing and publications	8,015	0	0	8,075
	23 Other expenses (attach schedule) ATCH 5	206,162	0	0	208,041
	24 Total operating and administrative expenses. Add lines 13 through 23	1,499,869	436,292	0	980,403
	25 Contributions, gifts, grants paid	683,464			719,706
	26 Total expenses and disbursements. Add lines 24 and 25	2,183,333	436,292	0	1,700,109
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,003,816			
	b Net investment income (if negative, enter -0-)		-0-		
	c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. \* ATCH 3 JSA \*\* ATCH 4 Form 990-PF (2009)

Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	19,722.	1,071,067.	1,071,067.	
	2 Savings and temporary cash investments	678,706.	118,628.	118,628.	
	3 Accounts receivable				
	Less allowance for doubtful accounts				
	4 Pledges receivable				
	Less allowance for doubtful accounts				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7 Other notes and loans receivable (attach schedule)				
	Less allowance for doubtful accounts				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges	30,737.	81,401.	81,401.	
	10 a Investments - U S and state government obligations (attach schedule)				
	b Investments - corporate stock (attach schedule) ATCH 6	7,059,930.	8,175,393.	8,175,393.	
	c Investments - corporate bonds (attach schedule) ATCH 7	14,867,097.	16,755,722.	16,755,722.	
	Liabilities	11 Investments - land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)					
12 Investments - mortgage loans					
13 Investments - other (attach schedule) ATCH 8		9,771,776.	12,987,544.	12,987,544.	
14 Land, buildings, and equipment basis		2,043,627.			
Less accumulated depreciation (attach schedule)		1,345,874.	697,753.	697,753.	
15 Other assets (describe ATCH 9)		31,694.	31,694.	31,694.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		33,185,517.	39,919,202.	39,919,202.	
17 Accounts payable and accrued expenses		64,025.	6,534.		
18 Grants payable		46,000.	30,000.		
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable (attach schedule)					
22 Other liabilities (describe )					
23 Total liabilities (add lines 17 through 22)	110,025.	36,534.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted	30,921,781.	37,326,304.		
	25 Temporarily restricted	7,431.	1,506,364.		
	26 Permanently restricted	2,146,280.	1,050,000.		
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg, and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances (see page 17 of the instructions)	33,075,492.	39,882,668.		
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	33,185,517.	39,919,202.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	33,075,492.
2 Enter amount from Part I, line 27a	2	-1,003,816.
3 Other increases not included in line 2 (itemize) ATTACHMENT 10	3	7,810,992.
4 Add lines 1, 2, and 3	4	39,882,668.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	39,882,668.

Form 990-PF (2009)

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) . . . . .	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)			
If (loss), enter -0- in Part I, line 8. . . . .		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	2,556,661.	40,434,424.	0.063230
2007	1,299,329.	43,932,314.	0.029576
2006	2,039,123.	39,240,372.	0.051965
2005	1,689,151.	35,896,955.	0.047056
2004	1,819,202.	35,120,043.	0.051800

2 Total of line 1, column (d) . . . . .	2	0.243627
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0.048725
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .	4	34,301,029.
5 Multiply line 4 by line 3 . . . . .	5	1,671,318.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .	6	
7 Add lines 5 and 6 . . . . .	7	1,671,318.
8 Enter qualifying distributions from Part XII, line 4 . . . . .	8	1,700,109.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1 a. Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	13,853.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,853.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	13,853.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11	13,853.	Refunded

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) IL,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities (continued)**

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) . . . . .	11		X
12. Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? . . . . .	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .	13	X	

Website address **WWW.GRAHAMFOUNDATION.ORG**

14. The books are in care of **SARAH HERDA,** Telephone no **312-787-4071**  
 Located at **4 WEST BURTON, CHICAGO, IL** ZIP + 4 **60610-1416**

15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ☐ and enter the amount of tax-exempt interest received or accrued during the year . . . . . **15**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? . . . . . <input type="checkbox"/>	1b	X
Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>		
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? . . . . .	1c	X
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a. At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <b>_____</b>		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) . . . . .	2b	
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>_____</b>		
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b. If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) . . . . .	3b	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .	4a	X
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? . . . . .	4b	X

Form 990-PF (2009)

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a.** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ **5b** ☒
- Organizations relying on a current notice regarding disaster assistance check here ☐

- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)

- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒
- If "Yes" to 6b, file Form 8870

- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

- b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		135,606.	10,849.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 12		178,215.	14,257.	0.

Total number of other employees paid over \$50,000 ☐

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATTACHMENT 13		335,030.

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

Form 990-PF (2009)



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	34,579,928.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	243,452.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	0.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	34,823,380.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	34,823,380.
<b>4</b>	Cash deemed-held-for-charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	522,351.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	34,301,029.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,715,051.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,715,051.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	0.
<b>b</b>	Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	0.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,715,051.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,715,051.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,715,051.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	1,700,109.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	0.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	0.
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	0.
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,700,109.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,700,109.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7 . . . . .				1,715,051.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only . . . . .			60,666.	
b Total for prior years 20 07, 20 06, 20 05 . . . . .				
3 Excess distributions carryover, if any, to 2009				
a From 2004 . . . . .				
b From 2005 . . . . .				
c From 2006 . . . . .				
d From 2007 . . . . .				
e From 2008 . . . . . 0.				
f Total of lines 3a through e . . . . . 0.				
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 1,700,109.				
a Applied to 2008, but not more than line 2a . . . . .			60,666.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .				
d Applied to 2009 distributable amount . . . . .				1,639,443.
e Remaining amount distributed out of corpus . . . . . 0.				
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) ) . . . . .				0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . . 0.				
b Prior years' undistributed income Subtract line 4b from line 2b . . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions . . . . .				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions . . . . .				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . .				75,608.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . . 0.				
10 Analysis of line 9				
a Excess from 2005 . . . . .				
b Excess from 2006 . . . . .				
c Excess from 2007 . . . . .				
d Excess from 2008 . . . . .				
e Excess from 2009 . . . . . 0.				

Form 990-PF (2009)



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<div>Recipient</div> <div>Name and address (home or business)</div>	<div>If recipient is an individual show any relationship to any foundation manager or substantial contributor</div>	<div>Foundation status of recipient</div>	<div>Purpose of grant or contribution</div>	<div>Amount</div>
<b>a Paid during the year</b> SEE ATTACHED STATEMENT				683,464.
<b>Total.</b>			<div>▶ 3a</div>	683,464.
<b>b Approved for future payment</b>  ATTACHMENT 15				
<b>Total.</b>			<div>▶ 3b</div>	30,000.

Form **990-PF** (2009)

## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions on page 28 to verify calculations )

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions )
---------------	---

[illegible]

## Part XVII

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
<b>b</b> Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			


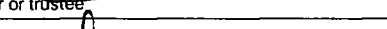
[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	 Signature of officer or trustee		11.17.10 Date	Executive Director Title
	Paid Preparer's Use Only	Preparer's signature 	Date 11/17/10	Check if self-employed <input type="checkbox"/>
	Firm's name (or yours if self-employed), address, and ZIP code CROWE HORWATH LLP 70 W MADISON, SUITE 700, CHICAGO, IL			EIN 60602-4903
				Phone no 312-899-7000

Form 990-PF (2009)

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INCOME FROM FORMS K-1	0.	-110,266.
LESS: INCOME REPORTED ON FORM 990-T	0.	6,204.
SECURITIES MERGER LITIGATION	1,368.	1,368.
OTHER	1,652.	1,652.
TOTALS	3,020.	-101,042.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING CONSULTANT FEES	34,320.	0.	0.	34,320.
AUDIT AND TAX FEES	45,710.	0.	0.	42,335.
TOTALS	80,030.	0.	0.	76,655.



ATTACHMENT 3FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES	365,573.	365,573.	0.	0.
CUSTODIAL FEES	67,137.	67,137.	0.	0.
IT & GRAPHIC DESIGN FEES	10,320.	0.	0.	10,321.
CONSULTANT FEES	8,886.	0.	0.	4,050.
TOTALS	<u>451,916.</u>	<u>432,710.</u>	<u>0.</u>	<u>14,371.</u>

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PAYROLL TAX	34,164.	0.	0.	34,164.
FOREIGN TAXES PAID	0.	3,582.	0.	0.
TOTALS	34,164.	3,582.	0.	34,164.

ATTACHMENT 5FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
WEBSITE/INTERNET	61,720.	0.	0.	60,820.
STIPENDS/ HONORARIA SPEAKERS	31,573.	0.	0.	31,573.
INSURANCE	22,398.	0.	0.	24,558.
POSTAGE	17,671.	0.	0.	17,670.
ADMINISTRATION FEES	13,000.	0.	0.	13,000.
SUPPLIES	10,721.	0.	0.	10,573.
GRANT MAKING DATABASE FEES	9,891.	0.	0.	10,130.
INSTALLATION	8,185.	0.	0.	8,185.
DUES & SUBSCRIPTIONS	7,524.	0.	0.	7,553.
BANK, CREDIT CARD & LATE FEES	5,349.	0.	0.	5,350.
MAINTENANCE	3,985.	0.	0.	4,484.
PR ANNOUNCEMENTS	3,832.	0.	0.	3,832.
RECEPTION & HOSPITALITY	3,449.	0.	0.	3,449.
A/V & DOCUMENTATION	3,270.	0.	0.	3,270.
OTHER	2,165.	0.	0.	2,165.
AUTO	1,429.	0.	0.	1,429.
TOTALS	206,162.	0.	0.	208,041.

GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
LARGE & SMALL CAP EQUITY	7,059,930.	8,175,393.	8,175,393.
TOTALS	<u>7,059,930.</u>	<u>8,175,393.</u>	<u>8,175,393.</u>

GRAHAM FOUNDATION FOR ADVANCED STUDIES

FORM 990PF, PART II - CORPORATE BONDS

36-2356089

<u>DESCRIPTION</u>	<u>ATTACHMENT 7</u>	
	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>
ABSOLUTE RETURN & FIXED INCOME	14,867,097.	16,755,722.
TOTALS	<u>14,867,097.</u>	<u>16,755,722.</u>

GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8			
DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
INTERNATIONAL EQUITY	4,109,026.	6,073,218.	6,073,218.
HEDGED EQUITY	3,742,773.	4,357,629.	4,357,629.
PRIVATE EQUITY	1,919,977.	2,556,697.	2,556,697.
TOTALS	9,771,776.	12,987,544.	12,987,544.

GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 9

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
OBJECTS OF ART	31,694.	31,694.	31,694.
TOTALS	31,694.	31,694.	31,694.

ATTACHMENT 10FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

UNREALIZED GAIN ON INVESTMENTS

7,810,992.

---

TOTAL

---

7,810,992.



# GRAHAM FOUNDATION FOR ADVANCED STUDIES

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

36-2356089

ATTACHMENT 11

CONTRIBUTIONS  
TO EMPLOYEE  
BENEFIT PLANS

EXPENSE ACCT  
AND OTHER  
ALLOWANCES

COMPENSATION

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

JOHN SYVERTSEN  
4 WEST BURTON  
CHICAGO, IL 60610-1416

PRESIDENT  
1.00

0.

0.

0.

LINDA SEARL  
4 WEST BURTON  
CHICAGO, IL 60610-1416

VICE PRESIDENT  
1.00

0.

0.

0.

JEFFREY JAHNS  
4 WEST BURTON  
CHICAGO, IL 60610-1416

TREASURER  
1.00

0.

0.

0.

DOUG GAROFALO  
4 WEST BURTON  
CHICAGO, IL 60610-1416

SECRETARY  
1.00

0.

0.

0.

SAMUEL ASSEFA  
4 WEST BURTON  
CHICAGO, IL 60610-1416

TRUSTEE  
1.00

0.

0.

0.

SUSAN BIELSTEIN  
4 WEST BURTON  
CHICAGO, IL 60610-1416

TRUSTEE  
1.00

0.

0.

0.

# GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

## FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEANNE GANG 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
BRUCE SAGAN 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
ELIZABETH SMITH 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
HAMZA WALKER 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
ROBERT WISLOW 4 WEST BURTON CHICAGO, IL 60610-1416	TRUSTEE 1.00	0.	0.	0.
SARAH HERDA 4 WEST BURTON CHICAGO, IL 60610-1416	EXECUTIVE DIRECTOR 40.00	135,606.	10,849.	0.
GRAND TOTALS		135,606.	10,849.	0.

GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS EXPENSE ACCT. TO EMPLOYEE AND OTHER BENEFIT PLANS ALLOWANCES
STEPHANIE WHITLOCK 4 WEST BURTON CHICAGO, IL 60610	PROGRAM DIRECTOR 40.00	66,950.	5,356. 0.
CAROLYN KELLY 4 WEST BURTON CHICAGO, IL 60610	GRANTS MANAGER 40.00	56,650.	4,532. 0.
RONALD KONOW 4 WEST BURTON CHICAGO, IL 60610	FACILITIES MANAGER 40.00	54,615.	4,369. 0.
	TOTAL COMPENSATION	178,215.	14,257. 0.

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 13NAME AND ADDRESSTYPE OF SERVICECOMPENSATION

ANGIE WALLER  
450 WEST 147TH ST #52  
NEW YORK, NY 10031

WEBSITE DESIGN

56,385.

CONVEXITY CAPITAL MANAGEMENT  
200 CLARENDON STREET  
BOSTON, MA 02116

INVESTMENT MANAGERS

278,645.

TOTAL COMPENSATION

335,030.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SARAH HERDA, GRAHAM FOUNDATION  
4 WEST BURTON  
CHICAGO, IL 60610-1416  
312-787-4071

---

FORM 990, PART IV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 15

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INES SCHABER BERLIN	NONE INDIVIDUAL	IMAGINING PARTICIPATOR: DESIGN	10,000
PRINCETON ARCHITECTURAL PRESS NEW YORK, NY	NONE CORPORATION	NEW VOICES IN ARCHITECTURE	20,000
TOTAL CONTRIBUTIONS APPROVED			30,000

GRAHAM FOUNDATION FOR ADVANCED STUDIES

36-2356089

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
SECURITIES LITIGATION MERGER OTHER			01	1,368.	1,652.
TOTALS				1,368.	1,652.

Company Name: GRAHAM FOUNDATION FOR ADVANCED STUDIES  
EIN. 36-2356089  
FYE

FORM 990-PF, PART I, LINE 19 AND PART II, LINE 14 - FIXED ASSETS and DEPRECIATION

Description	Cost	Current Depreciation	Accumulated Depreciation	Net Book Value
Land	86,951.	NONE	NONE	86,951.
Land Improvements	0.	0.	0.	0.
Buildings	1,416,139.	48,755.	812,123.	555,261.
Leasehold Improvements	0.	0.	0.	0.
Equipment	62,959.	0.	23,746.	39,213.
Furniture & Fixtures	477,578.	30,701.	430,549.	16,328.
Property, Plant & Equipment	<u>2,043,627.</u>	<u>79,456.</u>	<u>1,266,418.</u>	<u>697,753.</u>
Construction in Progress	0.	NONE	NONE	0.
<b>Total Fixed Assets, line 14</b>	<u>2,043,627.</u>		<u>1,266,418.</u>	<u>697,753.</u>
<b>Total Depreciation Expense, line 19</b>		<u>79,456.</u>		

NOTE: Depreciation is calculated using the straight-line method over the estimated useful life of the asset



FEDERAL FOOTNOTES

FORM 990PF, PART VII-B, 5C

FOUNDED IN 1956, THE GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS MAKES PROJECT-BASED GRANTS TO INDIVIDUALS AND ORGANIZATIONS AND PRODUCES PUBLIC PROGRAMS TO FOSTER THE DEVELOPMENT AND EXCHANGE OF DIVERSE AND CHALLENGING IDEAS ABOUT ARCHITECTURE AND ITS ROLE IN THE ARTS, CULTURE, AND SOCIETY.

THE GRAHAM FOUNDATION OFFERS TWO TYPES OF GRANTS: (1) PRODUCTION AND PRESENTATION GRANTS TO INDIVIDUALS AND ORGANIZATIONS, AND (2) RESEARCH AND DEVELOPMENT GRANTS TO INDIVIDUALS. THE GRANT PERIOD OF A PRODUCTION AND PRESENTATION GRANT IS TWO YEARS, AND THE GRANT PERIOD OF A RESEARCH AND DEVELOPMENT GRANT IS ONE YEAR. WITHIN THE GRANT PERIOD, GRANTEEES ARE EXPECTED TO PLAN, IMPLEMENT, CLOSE OUT THEIR PROJECT BY SUBMITTING PROGRESS REPORTS, AND ACKNOWLEDGE GRAHAM FOUNDATION SUPPORT IN ALL MEDIA.

ALL GRANTEEES MUST AGREE TO AND SIGN AN AWARD CONTRACT BEFORE GRANT FUNDS ARE ISSUED. THE AWARD CONTRACT STIPULATES:

A) GRANT FUNDS MUST BE USED FOR AND ONLY FOR THE SPECIFIC PURPOSE OF THE GRANT, AS STATED IN THE GRANTEE'S APPLICATION AND WILL BE USED ONLY FOR THE CHARITABLE, SCIENTIFIC, LITERARY OR EDUCATIONAL PURPOSES OF THE GRANTEE. THE GRANT PURPOSE IS SUBJECT TO MODIFICATION ONLY WITH THE WRITTEN APPROVAL OF THE FOUNDATION.

B) ANY EXTENSION OF THE PROJECT TERMS MUST BE REQUESTED IN WRITING TO THE GRAHAM FOUNDATION FOR APPROVAL.

C) ANY FUNDS NOT EXPENDED IN ACCORDANCE WITH THE PURPOSE OF THE GRANT MUST BE RETURNED TO THE FOUNDATION. GRANTEEES ACKNOWLEDGE THAT THE FULL AMOUNT OF THE GRANT WILL BE RETURNED IF FAILURE TO COMPLY WITH THE AWARD CONTRACT CONDITIONS OCCURS. ANY UNUSED FUNDS MUST BE RETURNED TO THE FOUNDATION.

D) GRANTEEES AGREE TO FURNISH WRITTEN PROGRESS REPORTS TO THE FOUNDATION AT LEAST ONCE A YEAR. PROGRESS REPORTS FOR INDIVIDUAL GRANTEEES ARE DUE ON APRIL 30 OF EVERY YEAR, AND PROGRESS REPORTS FOR ORGANIZATIONAL GRANTEEES ARE DUE ON OCTOBER 31 OF EVERY YEAR.

E) UPON PROJECT COMPLETION, GRANTEEES SUBMIT A FINAL SUMMARY REPORT AND FINAL PROJECT PRODUCTS, SUCH AS PUBLICATIONS, EXHIBITION CATALOGUES, CONFERENCE PROCEEDINGS, NEW MEDIA (FILMS, DVDS, PODCASTS), PROJECT IMAGES, AND OTHER PRINTED MEDIA SUCH AS PRESS RELEASES, REVIEWS, AND ANNOUNCEMENTS.

F) THE FOUNDATION RETAINS THE RIGHT TO MONITOR AND CONDUCT EVALUATIONS OF GRANTS, WHICH MAY INCLUDE A VISIT BY FOUNDATION PERSONNEL TO OBSERVE GRANTEEES' PROGRAMS, DISCUSSION OF THE PROJECT WITH GRANTEEES, AND REVIEW OF GRANTEEES' FINANCIAL AND OTHER RECORDS AND MATERIALS CONNECTED WITH THE ACTIVITIES FINANCED BY THIS GRANT.

FEDERAL FOOTNOTES

THE INTERIM AND FINAL REPORTS OUTLINE THE MANNER IN WHICH THE GRANT FUNDS WERE SPENT AND THE PROGRESS MADE IN ACCOMPLISHING THE GRANT'S PURPOSES, INCLUDING BUT NOT LIMITED TO: A CURRENT PROJECT BUDGET THAT ACCOUNTS FOR HOW GRAHAM FOUNDATION GRANT FUNDS WERE EXPENDED FOR THE GRANT'S PURPOSES; A DESCRIPTION OF THE PROGRESS TO DATE TOWARD THE PROJECT'S OBJECTIVES, E.G. MAJOR ACTIVITIES AND THEIR OUTCOMES OR FACTORS THAT HINDERED OR ACCELERATED THE PROJECT PROGRESS; A DESCRIPTION OF ANY COLLATERAL RESEARCH OR ACTIVITY WHICH HAS RESULTED FROM THE AWARD; A DESCRIPTION OF THE CURRENT WORK PLAN AND SCHEDULE.

~~REPORTING REQUIREMENTS ARE MONITORED BY FOUNDATION STAFF AND TRACKED~~  
IN A GRANT MANAGEMENT DATABASE. INTERIM REPORTS, FINAL REPORTS, AND FINAL PRODUCTS ARE REVIEWED BY THE FOUNDATION'S PROGRAM OFFICER AND EXECUTIVE DIRECTOR AND ARE ARCHIVED AT THE GRAHAM FOUNDATION IN EACH GRANTEE'S FILE.

Grant #	Grantee Name and Address	Project Title	Approved		2009	Paid 2009	Tax Status	Grants Funds Returned	Fiscal Sponsor Tax Status
			Prior to 2009	2009					
2009-0055	Ankur Society for Alternatives in Education New Delhi, India	Cybermohalla Hub. Architectural Architectural Possibilities in New Delhi		\$15,000 00	\$15,000 00	Other		\$0 00	
2009-0056	Anyone Corporation New York, NY	Log Observations on Architecture and Architecture and the Contemporary City		\$15,000 00	\$15,000 00	501c(3)		\$0 00	
2009-0012	Arceneaux, Edgar Pasadena, CA	Watts House Project		\$15,000.00	\$15,000 00	Individual		\$0 00	
2009-0057	Archeworks Chicago, IL	WORKS: Designing New 21st-Century		\$21,000 00	\$21,000 00	501c(3)		\$0 00	
2009-0058	The Art Institute of Chicago Chicago, IL	Konstantin Grcic: Decisive Design		\$15,000 00	\$15,000 00	501c(3)		\$0 00	
2009-0007	Bezalel, Ronit Chicago, IL	Mixing It Up		\$10,000.00	\$10,000 00	Individual		\$0.00	Community TV Network 501c(3)
2009-0013	Borysevitz, Mathieu Aleksandr Shanghai, China	Learning from Hangzhou		\$10,000 00	\$10,000.00	Individual		\$0.00	Storefront for Art and Architecture 501c(3)
2009-0038	Brake, Alan G /McEnaney, Liz Brooklyn, NY	Maputo Modern		\$ 7,500 00	\$7,500 00	Individual		\$0 00	
2009-0059	Canadian Centre for Architecture Montreal, PQ	Architecture in Uniform, Designing and Building for World War II		\$15,000 00	\$15,000.00	509a(1)		\$0 00	
2009-0049	Chicago Architecture Foundation Chicago, IL	Association of Architecture Organization		\$15,000.00	\$15,000 00	501c(3)		\$0 00	
2009-0060	Cunningham Dance Foundation, Inc New York, NY	Nearly Ninety Architecture Programming		\$ 7,500 00	\$7,500 00	501c(3)		\$0 00	
2009-0014	Dean, Penelope Chicago, IL	Flat Out		\$20,000 00	\$20,000 00	Individual		\$0 00	School of Architecture IIRF 501c(3)
2009-0029	Deyong, Sarah Jinyong College Station, TX	The Creative Simulacrum: Megastructure 1953-1974		\$ 7,500.00	\$7,500 00	Individual		\$0 00	Texas A&M 501c(3)

2009-0001	Downing, Holly/Stauffacher, Jack Sebastopol, CA	An Artistic Appreciation of the Arch	\$10,000.00	\$10,000.00	Individual	\$0.00
2009-0061	The Drawing Center New York, NY	Iannis Xenakis: Composer, Architect, Visionary	\$15,000.00	\$15,000.00	501c(3)	\$0.00
2009-0030	Filippi, Francesca Benedetta Turin, Italy	Does a Comfortable Home Make Society More Stable? Domestic Culture and Women's Roles in Italy, 1898-1912	\$ 5,000.00	\$5,000.00	Individual	\$0.00
2009-0031	Fisher, Saul New York, NY	Philosophy of Architecture: An Analytic Approach	\$ 5,000.00	\$5,000.00	Individual	\$0.00
2009-0063	Foundation for Landscape Studies New York, NY	The Genius of the Place The flowering o the Romantic Landscape, 1700-1900	\$10,000.00	\$10,000.00	501c(3)	\$0.00
2009-0015	Frampton, Kenneth New York, NY	Modern Architecture 1924-2000: A Comparative Critique	\$ 7,500.00	\$7,500.00	Individual	\$0.00
2009-0008	Fredrichs, Chad Columbia, MO	Boom City, The Pruitt-Igoe Documentary	\$10,000.00	\$10,000.00	Individual	\$0.00
2009-0002	Gates, Jr , Theaster Chicago, IL	Temple	\$10,000.00	\$10,000.00	Individual	\$0.00
2009-0032	Girardelli, Paolo Istanbul, Turkey	The Architecture of the Eastern Questior Archival Materials on the History of the European Embassy Buildings in Istanbul, 1774-1919	\$ 5,000.00	\$5,000.00	Individual	\$0.00
2009-0042	Griffis, Ryan/Mogel, Lize/Ross, Sarah New York, NY	Siting Expositions The Shaped spaces of World's Fairs and the Olympic Games	\$ 5,000.00	\$5,000.00	Individual	\$0.00
2009-0010	Grossman, Joan/McCourt, Thomas Michael Bronx, NY	Drop City	\$10,000.00	\$10,000.00	Individual	\$0.00

2009-0050	Gyger, Helen Elizabeth Brooklyn, NY	The Informal as a Project Self-Help House in Peru, 1955-1986	\$15,000 00	\$15,000 00	Individual	\$0 00
06081	Hammett, Kingsley/Hammett, Jerilou Santa Fe, NM	The Architecture of Change (originally the Contributing Writers Fund)	\$15,000 00	\$11,729 60	Individual	-\$11,729 60 The Canelo Project 501c(3)
2009-0064	Harvard University-Graduate School of Design Cambridge, MA	New Geographies Design, Agency, Territory	\$15,000 00	\$15,000 00	501c(3)	\$0 00
2009-0033	Hatton, Brian London, United Kingdom	Wandering in the Open Plan	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0034	Hayes, Richard W. Staten Island, NY	Social Responsibility in an Era of Postmodernism Charles W. Moore and Affordable Housing	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0004	Huang, Wenling/Li, Hu Beijing, China	The Red-Line Park	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0016	Kavuri-Bauer, Santhi San Francisco, CA	Monumentalizing India A Social History of Mughal Architecture from 1780 to the Present	\$ 2,266 00	\$2,266 00	Individual	\$0 00
2009-0023	Koolhaas, Rem/Obrist, Hans Ulrich/Ota, Kayoko	Relearning from Metabolism The Expansion of the Architects	\$15,000 00	\$15,000 00	Individual	\$0 00 AMO Other
2009-0051	Labunski, Meagan Green Austin, TX	Friars in the City Mendicant Architecture and Pious Practice in Medieval Verona (c. 1220 - c. 1375)	\$ 2,500.00	\$2,500 00	Individual	\$0 00
2009-0035	Lally, Sean	The Air on Other Planets	\$ 5,000.00	\$5,000.00	Individual	\$0 00 School of
2009-0036	Last, Nana Houston, TX	Thomas Struth's Urban Matrix	\$10,000.00	\$10,000 00	Individual	\$0 00
2009-0017	Lebas, Elizabeth Michelle Barnet, Herts,	Forgotten Futures. British Municipal Cinema, 1920-1978	\$15,000.00	\$15,000 00	Individual	\$0 00 Middlesex University Other

2009-0006	Ley, Rob/Stein, Joshua Grant Los Angeles, CA	Reactive/VOID. Socializing Space through Responsive Technology	\$ 10,000 00	\$10,000 00	Individual	\$0 00 Storefront for Art and Architecture 501c(3)
2009-0018	Lima, Zeuler Rocha Saint Louis, MO	Lina Bo Bardi Modernism from the Inside Out	\$ 10,000 00	\$10,000 00	Individual	\$0 00
2009-0019	Lindner, Christoph P Amsterdam, The Netherlands	Imagining New York City: Literature, Urbanism, and the Visual Arts, 1890-1940	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0037	Mack, Casey Brooklyn, NY	Digested Metabolism Japanese Experim in Flexible Architecture, 1960 to the Present	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0025	Mangurian, Robert/Ray, Mary-Ann Los Angeles, CA	Caochangdi Beijing Inside Out - Farmers Floaters, Taxi Drivers, Artists, and the and the International Art Mob Challenge and Re-make the City	\$12,500 00	\$12,500 00	Individual	\$0 00
2009-0020	Manjanovic, Igor/Ruedi Ray, Katerina St Louis, MO	Bertrand Goldberg's Marina City	\$ 8,910 00	\$8,910 00	Individual	\$0 00
2009-0009	Mather, Evan Los Angeles, CA	A Necessary Run: The Story of Buckminster Fuller's Union Tank Car Maintenance Facility	\$ 6,500 00	\$6,500 00	Individual	\$0 00
2009-0039	McLaren, Brian L Seattle, WA	Modern Architecture, Colonialism and Race in Fascist Italy	\$ 5,000 00	\$5,000.00	Individual	\$0 00
2009-0040	McLeod, Mary New York, NY	Le Corbusier's Urban Planning, 1942-194 The ASCORAL Studies and the Plan for Saint-Dié	\$ 6,000 00	\$6,000 00	Individual	\$0 00
2009-0021	Michaels, Adam New York, NY	Inventory Books Series	\$15,000 00	\$15,000 00	Individual	\$0 00
2009-0041	Miller, Tracy Nashville, TN	Building Across Borders Regional Style in China's Monumental Timber Architecture, 900-1200	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0052	Moga, Steven Thomas Brighton, MA	Bottoms, Hollows, and Flats Making and Remaking the 'Lower Section' of the American City	\$ 2,500 00	\$2,500 00	Individual	\$0 00

2009-0066	Charles W Moore Foundation Austin, TX	Toward Making a Place· Charles Moore's House at Orinda	\$10,000.00	\$10,000 00	501c(3)	\$0 00
2009-0067	Columbia College Chicago-Museum of Contemporary Photography Chicago, IL	Reversed Images.Representations of Shanghai and its Contemporary Material Culture	\$12,500 00	\$12,500 00	501c(3)	\$0 00
2009-0022	Noble, Douglas E Los Angeles, CA	Doctoral Education in Architecture	\$ 1,300 00	\$1,300 00	Individual	\$0 00 USC School of Architecture 501c(3)
2009-0045	Phillips, Stephen/Nouwens, Monica San Luis Obispo, CA	New L A Schools. A Site of Massive Change	\$ 5,000 00	\$2,500 00	Individual	\$0 00 Cal Poly Corporation 501c(3)
2009-0045	Phillips, Stephen/Nouwens, Monica San Luis Obispo, CA	New L A. Schools. A Site of Massive Change	\$ 5,000.00	\$2,500 00	Individual	\$0 00 Cal Poly Corporation 501c(3)
2009-0053	Orwig, Timothy Thomas Walpole, MA	"Material Things Worth While"- Joseph Everett Chandler and the Restoration of the Colonial House	\$ 2,500 00	\$2,500 00	Individual	\$0 00
2009-0024	Otero-Pailos, Jorge New York, NY	The Search for Experience Architecture, Postmodernism, and the Question of Theory	\$15,000 00	\$15,000 00	Individual	\$0 00 University of Minnesota Press 501c(3)
2009-0043	Palmesino, John Basel, Switzerland	The Architecture of the UN Peacekeeping Missions	\$10,000.00	\$10,000 00	Individual	\$0 00
2009-0005	Park, Kyong New York, NY	New Silk Roads	\$15,000 00	\$15,000 00	Individual	\$0 00
2009-0068	The Pennsylvania State University- Department of Architecture University Park, PA	Deviant Decoration The Architectural Interior	\$ 7,500 00	\$7,500 00	501c(3)	\$0 00
2009-0069	Performa New York, NY	Performa 09 Architecture Program	\$15,000 00	\$15,000 00	501c(3)	\$0 00
2009-0044	Perrin, Francois Eloi Los Angeles, CA	Architecture of Climates	\$ 7,500 00	\$7,500 00	Individual	\$0 00

2009-0046	Pieris, Anoma Darshani Parkville, Melbourne	Avian Geographies. Understanding Ethnic Nationalism in Medieval Lanka	\$ 5,000 00	\$5,000 00	Individual	\$0 00	University of Melbourne Other
2009-0070	Plains Art Museum Fargo, ND	Defiant Gardens for Fargo-Moorhead	\$ 7,500 00	\$7,500 00	501c(3)	\$0 00	
04001	Princeton Architectural Press New York, NY	New Voices in Architecture	\$60,000.00	\$10,000 00	501c(3)	\$0 00	
2009-0047	Rakatsky, Mark Brooklyn, NY	How to Read a Building	\$ 5,000 00	\$5,000 00	Individual	\$0 00	
2009-0071	The Society for Moving Images about the Built Environment Los Angeles, CA	Online Short Moving Image Competition 2009-2010	\$ 5,000 00	\$5,000 00	Other	\$0 00	
2009-0072	Storefront for Art and Architecture New York, NY	Gramazio & Kohler, Architecture and Digital Fabrication	\$15,000 00	\$15,000 00	501c(3)	\$0 00	
2009-0073	Universitaet Karlsruhe Karlsruhe, Germany	Louis Kahn and the Dominican Motherhouse Questions of Space	\$15,000 00	\$6,500.00	Other	\$0 00	
2009-0073	Universitaet Karlsruhe Karlsruhe, Germany	Louis Kahn and the Dominican Motherhouse Questions of Space	\$15,000 00	\$8,500 00	Other	\$0 00	
2009-0074	University of California, Los Angeles Los Angeles, CA	WPA 2 0 Working Public Architecture	\$20,000 00	\$20,000 00	501c(3)	\$0 00	
2009-0065	University of Illinois-Jane Addams Hull-House Museum	Jane Addams Hull-House Museum Architectural Encounters Catalog	\$ 7,500 00	\$7,500 00	501c(3)	\$0.00	
2009-0075	University of Michigan-Taubman College of Architecture, Urban Planning Ann Arbor, MI	PEER	\$15,000 00	\$15,000 00	501c(3)	\$0 00	
2009-0076	University of Pennsylvania-School of Design Philadelphia, PA	VIA Book Series	\$10,000.00	\$10,000.00	501c(3)	\$0 00	
2009-0011	Venturi, James New York, NY	Learning from Bob and Denise	\$10,000 00	\$10,000 00	Individual	\$0 00	New York Foundation for the Arts 501c(3)



2009-0026	Wallace, Aurora New York, NY	Media Capital Architecture, Power and the Public Sphere from Park Row to Times Square	\$ 5,000 00	\$5,000 00	Individual	\$0 00
2009-0077	Whitechapel Gallery London, England	Robbrecht en Daem	\$10,000 00	\$10,000 00	Other	\$0 00
2009-0027	Wu, Xin Washington, DC	The Path into the Garden of Art Translation between Visual Arts and Landscape Architecture	\$ 7,500 00	\$7,500.00	Individual	\$0 00
2009-0054	Yerkes, Carolyn Yorke Philadelphia, PA	The Paradox of Precision Architectural Drawing between Ancients and Moderns	\$ 2,500 00	\$2,500 00	Individual	\$0 00
2009-0048	Zago, Andrew Detroit, MI	XVT Detroit Streets	\$ 7,500 00	\$7,500.00	Individual	\$0 00
2009-0028	Zipp, Samuel Taylor Providence, RI	Manhattan Projects The Rise and Fall of Urban Renewal in Cold War New York	\$ 7,000 00	\$7,000 00	Individual	\$0 00

**Grand Totals (81 items)**

Grants approved but not paid at December 31, 2009	\$ 729,706
	<u>\$ (10,000)</u>
	\$ 719,706
Grant Funds Returned/Cancelled	<u>\$ (46,242)</u>
	\$ 673,464
Grants paid in 2009, approved prior to 2009	<u>\$ 10,000</u>
	\$ 683,464
<b>Total Grants Paid</b>	

Graham Foundation  
for Advanced Studies in the Fine Arts  
36-2356089

---

### **How to Apply For a Grant**

The Graham Foundation makes project-based grants to individuals and organizations doing innovative work that will impact architecture and related fields and will lead to the public dissemination of ideas in the form of independent research, publications, exhibitions, public programming and other activities.

The Foundation has an open submission policy.

There are two application deadlines a year: spring and fall.

Application guidelines and forms are available on our website:  
[www.grahamfoundation.org](http://www.grahamfoundation.org)

Awards are announced within 150 days of the application deadline.

Tax-exempt organizations must provide a copy of the IRS determination letter which indicates the particular paragraph of the Internal Revenue Code that governs their exempt activities.

Information on awarded projects (grantees, project information and award amounts) is available on our website: [www.grahamfoundation.org](http://www.grahamfoundation.org)

### **General Restrictions:**

The Graham Foundation will not fund:

- Endowment
- Annual general operating expenses
- Construction or professional fees in connection with construction
- Scholarship aid for students in pursuit of academic degrees
- Debt or expenses incurred prior to the date of grant request

For more information please see our website: [www.grahamfoundation.org](http://www.grahamfoundation.org) or contact our staff at [info@grahamfoundation.org](mailto:info@grahamfoundation.org) or 312-787-4071.

We may also be reached by mail at:

Graham Foundation  
for Advanced Studies in the Fine Arts  
4 West Burton Place  
Chicago, IL 60610

**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
  - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file)** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

<b>Type or print</b>  File by the due date for filing your return. See instructions.	Name of Exempt Organization <b>GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS</b>	Employer identification number <b>36-2356089</b>
	Number, street, and room or suite no. If a P.O. box, see instructions <b>4 WEST BURTON</b>	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>CHICAGO, IL 60610-1416</b>	

**Check type of return to be filed** (file a separate application for each return)

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)	<input type="checkbox"/> Form 4720
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust)	<input type="checkbox"/> Form 5227
<input type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)	<input type="checkbox"/> Form 6069
<input checked="" type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A	<input type="checkbox"/> Form 8870

- The books are in the care of ► **SARAH HERDA,**

Telephone No ► **312 787-4071**

FAX No ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **08/16, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► ☒ calendar year **2009** or  
 ► ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

**2** If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	0.
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	0.
<b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.  
 • If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

<b>Part II Additional (Not Automatic) 3-Month Extension of Time.</b> Only file the original (no copies needed).		
<b>Type or print</b>  File by the extended due date for filing the return. See instructions.	Name of Exempt Organization	Employer identification number
	GRAHAM FOUNDATION FOR ADVANCED STUDIES IN THE FINE ARTS	36-2356089
	Number, street, and room or suite no. If a P O box, see instructions	For IRS use only
	4 WEST BURTON	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	CHICAGO, IL 60610-1416	

**Check type of return to be filed.** (File a separate application for each return):

- ☐ Form 990      ☒ Form 990-PF      ☐ Form 1041-A      ☐ Form 6069  
☐ Form 990-BL      ☐ Form 990-T (sec 401(a) or 408(a) trust)      ☐ Form 4720      ☐ Form 8870  
☐ Form 990-EZ      ☐ Form 990-T (trust other than above)      ☐ Form 5227

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of **SARAH HERDA**  
 Telephone No. **312-787-4071** FAX No. \_\_\_\_\_  
 • If the organization does not have an office or place of business in the United States, check this box ☐  
 • If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **NOVEMBER 15**, 20**10**.  
 5 For calendar year **2009**, or other tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.  
 6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period  
 7 State in detail why you need the extension **ADDITIONAL TIME IS REQUIRED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.**

<b>8a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>8a</b>	\$	0
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	<b>8b</b>	\$	0
<b>c Balance Due.</b> Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	<b>8c</b>	\$	0.00

### Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶

Title ▶

Date ▶

Form **8868** (Rev. 4-2009)

CROWE HORWATH LLP

70 WEST MADISON STREET, SUITE 700

CHICAGO, IL 60602-4903