Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
GAITHER CHARITABLE FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P.O. BOX 737

City or town, state, and ZIP code
ALEXANDRIA, IN 46001

A Employer identification number
35-2020501

B Telephone number
(317) 475-4463

C If exemption application is pending, check here ▶ ☐
D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 5,429,405.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc, received	0.		N/A	
2 Check ▶ ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	147,325.	147,325.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<2,139,241.>			
b Gross sales price for all assets on line 6a 4,712,200.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances 2,728.				STATEMENT 2
b Less Cost of goods sold 2,774.				
c Gross profit or (loss)	<46.>			
11 Other income				
12 **Total.** Add lines 1 through 11	<1,991,962.>	147,325.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	3,250.	0.		0.
c Other professional fees STMT 4	40,133.	40,133.		0.
17 Interest	6.	6.		0.
18 Taxes STMT 5	6,956.	0.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	330.	330.		0.
24 **Total operating and administrative expenses** Add lines 13 through 23	50,675.	40,469.		0.
25 Contributions, gifts, grants paid	205,750.			205,750.
26 **Total expenses and disbursements.** Add lines 24 and 25	256,425.	40,469.		205,750.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	<2,248,387.>			
b **Net investment income** (if negative, enter -0-)		106,856.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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923501 02-02-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions. Form **990-PF** (2009)

11530510 769337 2864 2009.03040 GAITHER CHARITABLE FOUNDATI 2864___1

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	193.	1,266.	1,266.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use	6,808.	12,784.	12,784.
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 8	4,680,498.	5,415,355.	5,415,355.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	4,687,499.	5,429,405.	5,429,405.
Liabilities	17	Accounts payable and accrued expenses	1,625.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 9)	9,176.	15,199.	
	23	**Total liabilities** (add lines 17 through 22)	10,801.	15,199.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	4,676,698.	5,414,206.	
	30	**Total net assets or fund balances**	4,676,698.	5,414,206.	
	31	**Total liabilities and net assets/fund balances**	4,687,499.	5,429,405.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,676,698.
2	Enter amount from Part I, line 27a	2	<2,248,387.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	2,985,895.
4	Add lines 1, 2, and 3	4	5,414,206.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,414,206.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	UBS SHORT-TERM - SEE ATTACHED	P	VARIOUS	VARIOUS
b	UBS LONG-TERM - SEE ATTACHED	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,211,843.		1,151,377.	60,466.
b	3,500,357.		5,700,064.	<2,199,707.>
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				60,466.
b				<2,199,707.>
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 <2,139,241.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	91,000.	6,245,066.	.014572
2007	441,000.	7,304,123.	.060377
2006	301,891.	5,069,069.	.059556
2005	95,050.	3,602,573.	.026384
2004	135,400.	2,789,086.	.048546

2	Total of line 1, column (d)	2	.209435
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.041887
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,838,885.
5	Multiply line 4 by line 3	5	202,686.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,069.
7	Add lines 5 and 6	7	203,755.
8	Enter qualifying distributions from Part XII, line 4	8	205,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	1,069.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3	Add lines 1 and 2		3	1,069.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	1,069.
6	Credits/Payments			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a 9,268.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d		7	9,268.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	8,199.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶	8,199. Refunded ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	

Website address ▶ N/A

14 The books are in care of ▶ GINA BRISCO Telephone no ▶ (765) 724-8278

Located at ▶ P.O. BOX 737, ALEXANDRIA, IN ZIP+4 ▶ 46001

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969, (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM J. GAITHER P.O. BOX 737 ALEXANDRIA, IN 46001	PRESIDENT 1.00	0.	0.	0.
GLORIA L. GAITHER P.O. BOX 737 ALEXANDRIA, IN 46001	DIRECTOR 1.00	0.	0.	0.
BARRY W. JENNINGS 194 WEST 600 NORTH ALEXANDRIA, IN 46001	SECRETARY 1.00	0.	0.	0.
SUZANNE G. JENNINGS 194 WEST 600 NORTH ALEXANDRIA, IN 46001	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	4,911,597.
b	Average of monthly cash balances	1b	977.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,912,574.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,912,574.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	73,689.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,838,885.
6	**Minimum investment return.** Enter 5% of line 5	6	241,944.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	241,944.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 1,069.		
b	Income tax for 2009 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	1,069.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	240,875.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	240,875.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	240,875.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	205,750.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	205,750.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	1,069.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	204,681.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				240,875.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			61,388.	
b Total for prior years ______, ______, ______		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 205,750.				
a Applied to 2008, but not more than line 2a			61,388.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				144,362.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				96,513.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009 enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

WILLIAM J. GAITHER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

GINA BRISCO, (765) 724-8278
P.O. BOX 737, ALEXANDRIA, IN 46001

b The form in which applications should be submitted and information and materials they should include

NO PREDETERMINED FORM

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 10				
Total			▶ 3a	205,750.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

923611 02-02-10

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	147,325.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<2,139,241.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					<46.>
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		<1,991,916.>	<46.>

13 Total Add line 12, columns (b), (d), and (e) 13 <1,991,962.>

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
10	SALE OF BOOKS SUPPORTS FOUNDATION'S CHARITABLE AND EDUCATIONAL MISSION

923621
02-02-10

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Gina R. Brisco 5-12-10 Secretary

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	C. Thomas Bates CPA	Date 5/10/2010	Check if self-employed ☐	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	RAYBURN, BATES & FITZGERALD, P.C. 5200 MARYLAND WAY, SUITE 300 BRENTWOOD, TN 37027		EIN ▶	
			Phone no	(615)661-7878

Form 990-PF (2009)

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FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
UBS	147,325.	0.	147,325.
TOTAL TO FM 990-PF, PART I, LN 4	147,325.	0.	147,325.

FORM 990-PF — INCOME AND COST OF GOODS SOLD INCLUDED ON PART I, LINE 10 — STATEMENT 2

INCOME

1. GROSS RECEIPTS	2,728	
2. RETURNS AND ALLOWANCES		
3. LINE 1 LESS LINE 2		2,728
4. COST OF GOODS SOLD (LINE 15)	2,774	
5. GROSS PROFIT (LINE 3 LESS LINE 4)		<46>
6. OTHER INCOME		
7. GROSS INCOME (ADD LINES 5 AND 6)		<46>

COST OF GOODS SOLD

8. INVENTORY AT BEGINNING OF YEAR	6,808	
9. MERCHANDISE PURCHASED	8,750	
10. COST OF LABOR		
11. MATERIALS AND SUPPLIES		
12. OTHER COSTS		
13. ADD LINES 8 THROUGH 12		15,558
14. INVENTORY AT END OF YEAR	12,784	
15. COST OF GOODS SOLD (LINE 13 LESS LINE 14)		2,774

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND TAX	3,250.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,250.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	40,133.	40,133.		0.
TO FORM 990-PF, PG 1, LN 16C	40,133.	40,133.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FED TAX ON INVESTMENT INCOME	6,597.	0.		0.
FOREIGN INCOME TAX ON DIVIDENDS	359.	0.		0.
TO FORM 990-PF, PG 1, LN 18	6,956.	0.		0.

FORM 990-PF — OTHER EXPENSES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK FEES	330.	330.		0.
TO FORM 990-PF, PG 1, LN 23	330.	330.		0.

FORM 990-PF — OTHER INCREASES IN NET ASSETS OR FUND BALANCES — STATEMENT 7

DESCRIPTION	AMOUNT
CHANGE IN MKT VALUE OF INVESTMENTS	2,984,270.
ACCRUAL TO CASH CONVERSION	1,625.
TOTAL TO FORM 990-PF, PART III, LINE 3	2,985,895.

FORM 990-PF — CORPORATE STOCK — STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS INVESTMENTS	5,415,355.	5,415,355.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,415,355.	5,415,355.

FORM 990-PF — OTHER LIABILITIES — STATEMENT 9

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
INTERCOMPANY PAYABLE	9,176.	15,199.
TOTAL TO FORM 990-PF, PART II, LINE 22	9,176.	15,199.

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 10

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
GOSPEL MUSIC TRUST FUND, INC. P.O. BOX 1444 GOODLETTSVILLE, TN 37070	N/A BENEVOLENT FUND FOR MEDICAL & FUNERAL EXPENSES	PRIVATE FOUNDATION	25,000.
ANDERSON UNIVERSITY 1100 E. 5TH STREET ANDERSON, IN 46016	N/A DREAMS, DISCOVERY, & DIRECTION CAMPAIGN	UNIVERSITY	136,000.
TRIAGE, INC. 5599 MICHIGAN ROAD INDIANAPOLIS, IN 46228	N/A GENERAL SUPPORT - EMOTIONALLY & SPIRITUALLY WOUNDED	PUBLIC CHARITY	1,500.
ANDERSON SYMPHONY ORCHESTRA 1124 MERIDIAN STREET ANDERSON, IN 46016	N/A ANNUAL CAMPAIGN / GENERAL SUPPORT	PUBLIC CHARITY	10,000.
TWEENER MINISTRIES, INC. 701 EAST MAIN STREET WARSAW, IN 46580	N/A MENTORING FOR CHILDREN	NOT YET DETERMINED	5,000.
PARK PLACE CHURCH OF GOD CAPITAL FUND 501 COLLEGE DRIVE ANDERSON, IN 46012	N/A CAPITAL FUNDS	CHURCH	5,000.
STAMPS BAXTER SCHOOL OF MUSIC P.O. BOX 40201 NASHVILLE, TN 37204	N/A SCHOLARSHIPS	SCHOOL	8,250.
ROCKETOWN 401 6TH AVENUE SOUTH NASHVILLE, TN 37203	N/A SCHOLARSHIPS / MENTORING	PUBLIC CHARITY	5,000.

GMA FOUNDATION 1205 DIVISION STREET NASHVILLE, TN 37203	N/A GENERAL SUPPORT	PRIVATE FOUNDATION	10,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			205,750.

UBS GAITHER CHARITABLE FOUNDATION, INC.

EIN 35-2020501

Friendly account name: Foundation A/C
Account number: 6Z 59469 34

Realized gains and losses

Estimated 2009 gains and losses for transactions with trade dates through 12/31/09 have been incorporated into this statement. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. *See Important information about your statement* on the last page of this statement for more information.

We may not adjust gains and losses for all capital changes. Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium. Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
COSTCO WHOLESALE CORP	FIFO	850.000	Feb 10, 09	Apr 17, 09	38,947.52	37,670.47		1,277.05	
GENENTECH INC **MERGER EFF 3/2009**	FIFO	750.000	Feb 10, 09	Mar 26, 09	71,250.00	62,247.00		9,003.00	
HELMERICH & PAYNE INC	FIFO	700.000	Feb 10, 09	Jun 24, 09	21,196 15	16,010.40		5,185.75	
INTEL CORP	FIFO	3,325.000	Feb 10, 09	Dec 21, 09	66,797.53	47,514.13		19,283.40	
INTL BUSINESS MACH	FIFO	375.000	Feb 10, 09	Sep 01, 09	44,318.24	35,063.85		9,254.39	
	FIFO	280.000	Apr 17, 09	Sep 01, 09	33,090.95	28,167.44		4,923.51	
KRAFT FOODS INC CL A	FIFO	1,250.000	Feb 10, 09	Apr 17, 09	27,924.28	31,237.50	-3,313.22		
LILLY ELI & CO	FIFO	1,275.000	Feb 10, 09	Jun 24, 09	42,979.52	47,208.55	-4,229.03		
NOVARTIS AG SPON ADR	FIFO	460.000	Feb 10, 09	Dec 21, 09	24,734.25	19,429.71		5,304.54	
PRAXAIR INC	FIFO	335.000	Feb 10, 09	Jun 24, 09	23,647.09	23,035.69		611.40	
SOWSTN ENERGY CO	FIFO	475.000	Feb 10, 09	Jun 24, 09	18,520.96	15,702.50		2,818.46	
TRANSOCEAN LTD	FIFO	135.000	Feb 10, 09	Jun 24, 09	10,092.68	8,097.10		1,995.58	
UNION PACIFIC CORP	FIFO	675.000	Feb 10, 09	Dec 21, 09	43,653.85	31,733.42		11,920.43	
BLACKROCK CORE BOND TRUST	FIFO	8,050.000	Feb 10, 09	Mar 31, 09	83,100.07	90,486.11	-7,386.04		
HEALTH CARE SELECT SECTOR SPDR FUND	FIFO	2,925.000	Mar 31, 09	Sep 01, 09	83,569.20	71,340.75		12,228 45	
MFS CHARTER INCOME TRUST SBI	FIFO	11,725.000	Feb 10, 09	Oct 08, 09	105,288.27	90,029.86		15,258.41	
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A	FIFO	109.547	Mar 10, 08	Feb 10, 09	2,144.93	3,229.45	-1,084.52		
	FIFO	105.724	Jun 09, 08	Feb 10, 09	2,070.08	3,225.64	-1,155.56		
	FIFO	117.140	Sep 08, 08	Feb 10, 09	2,293.60	3,243.61	-950.01		
	FIFO	158.652	Dec 19, 08	Feb 10, 09	3,106.41	3,260.30	-153.89		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMERICAN FUNDS EURO PACIFIC GROWTH FUND CL A	FIFO	258.883	Dec 24, 08	Feb 10, 09	6,694 71	6,992.43	-297 72		
	FIFO	462.124	Dec 24, 08	Feb 10, 09	11,950 53	12,481.96	-531 43		
AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	FIFO	226.144	Dec 23, 08	Feb 10, 09	4,427.90	4,452.78	-24.88		
FT-FRANKLIN INCOME A	FIFO	186.040	Dec 03, 08	Feb 10, 09	301.38	280.92		20.46	
	FIFO	1,154.325	Mar 05, 08	Feb 10, 09	1,870.01	2,805.01	-935.00		
	FIFO	1,155.309	Apr 03, 08	Feb 10, 09	1,871 60	2,807.40	-935.80		
	FIFO	1,110.961	May 05, 08	Feb 10, 09	1,799.76	2,821.84	-1,022.08		
	FIFO	1,131.600	Jun 04, 08	Feb 10, 09	1,833.19	2,829.00	-995.81		
	FIFO	1,204.720	Jul 03, 08	Feb 10, 09	1,951 65	2,843.14	-891.49		
	FIFO	1,248.122	Aug 05, 08	Feb 10, 09	2,021 96	2,858.20	-836.24		
	FIFO	1,254.934	Sep 04, 08	Feb 10, 09	2,032.99	2,873.80	-840.81		
	FIFO	1,472.806	Oct 03, 08	Feb 10, 09	2,385.95	2,886.70	-500.75		
	FIFO	1,689.017	Nov 05, 08	Feb 10, 09	2,736.21	2,905.11	-168.90		
	FIFO	3,410 702	Dec 03, 08	Feb 10, 09	5,525.34	5,150.16		375.18	
	FIFO	3,573.455	Earnings	Feb 10, 09	5,789 00	5,892.21	-103.21		
GOLDMAN SACHS ASSET ALLOCATION GROWTH STRATEGY FUND CL A	FIFO	3 046	Dec 22, 08	Feb 10, 09	22.17	23.42	-1.25		
	FIFO	1,561.839	Dec 22, 08	Feb 10, 09	11,370.19	12,010.54	-640.35		
	FIFO	2,537.416	Dec 22, 08	Feb 10, 09	18,472.39	19,512.73	-1,040.34		
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	15.623	Dec 12, 08	Feb 10, 09	164.82	149.36		15.46	
	FIFO	191.535	Feb 26, 08	Feb 10, 09	2,020.69	2,744.70	-724.01		
	FIFO	236.227	Mar 25, 08	Feb 10, 09	2,492.19	3,321.35	-829.16		
	FIFO	214.569	Apr 28, 08	Feb 10, 09	2,263 70	3,064 05	-800.35		
	FIFO	225.050	May 27, 08	Feb 10, 09	2,374.28	3,215.96	-841 68		
	FIFO	238.354	Jun 24, 08	Feb 10, 09	2,514 63	3,332.19	-817 56		
	FIFO	247.773	Jul 29, 08	Feb 10, 09	2,614.01	3,359.80	-745 79		
	FIFO	244.600	Aug 26, 08	Feb 10, 09	2,580.53	3,267 86	-687.33		

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	271.610	Sep 23, 08	Feb 10, 09	2,865.49	3,376.11	-510.62		
	FIFO	326.976	Oct 28, 08	Feb 10, 09	3,449.60	3,246.87		202.73	
	FIFO	345.190	Nov 25, 08	Feb 10, 09	3,641.75	3,348.34		293 41	
	FIFO	597.281	Dec 12, 08	Feb 10, 09	6,301.31	5,710.01		591 30	
	FIFO	463.362	Dec 12, 08	Feb 10, 09	4,888.47	4,429.74		458.73	
	FIFO	264.747	Earnings	Feb 10, 09	2,793.08	2,771.90		21.18	
LORD ABBETT MID CAP VALUE FUND CL A	FIFO	422.514	Dec 23, 08	Feb 10, 09	3,975.86	4,132.19	-156.33		
MANAGERS BOND FUND	FIFO	86.128	Dec 26, 08	Feb 10, 09	1,727.73	1,682.95		44.78	
	FIFO	62.135	Feb 26, 08	Feb 10, 09	1,246.43	1,550.89	-304.46		
	FIFO	65.291	Mar 26, 08	Feb 10, 09	1,309.74	1,625.09	-315.35		
	FIFO	63.516	Apr 25, 08	Feb 10, 09	1,274.13	1,582.82	-308.69		
	FIFO	69.187	May 27, 08	Feb 10, 09	1,387.89	1,729.68	-341 79		
	FIFO	70.880	Jun 25, 08	Feb 10, 09	1,421.85	1,737.98	-316.13		
	FIFO	73.135	Jul 28, 08	Feb 10, 09	1,467.09	1,750.85	-283.76		
	FIFO	73.693	Aug 26, 08	Feb 10, 09	1,478.28	1,759.79	-281.51		
	FIFO	81.746	Sep 25, 08	Feb 10, 09	1,639.82	1,803.32	-163.50		
	FIFO	73.979	Oct 28, 08	Feb 10, 09	1,484 02	1,399.68		84.34	
	FIFO	107.283	Nov 24, 08	Feb 10, 09	2,152.10	2,005.12		146.98	
	FIFO	87.072	Dec 26, 08	Feb 10, 09	1,746.66	1,701.39		45.27	
	FIFO	120.305	Dec 26, 08	Feb 10, 09	2,413.32	2,350.75		62.57	
	FIFO	76.735	Earnings	Feb 10, 09	1,539.30	1,533.17		6.13	
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	216.196	Dec 09, 08	Feb 10, 09	3,260.24	3,247.26		12.98	
	FIFO	390.977	Dec 09, 08	Feb 10, 09	5,895.93	5,872 47		23 46	
	FIFO	5,611.166	Dec 09, 08	Feb 10, 09	84,616.38	84,279.71		336 67	
UBS DYNAMIC ALPHA FUND CLASS A	FIFO	27,344.228	Dec 18, 08	Feb 10, 09	134,807.04	135,627.37	-820.33		
UBS GLOBAL ALLOCATION FUND CLASS A	FIFO	2,447.045	Dec 18, 08	Feb 10, 09	16,786.73	17,594 26	-807.53		
	FIFO	3,989.596	Dec 18, 08	Feb 10, 09	27,368.63	28,685.20	-1,316.57		
	FIFO	5,845.038	Dec 18, 08	Feb 10, 09	40,096.96	42,025.82	-1,928.86		
Total					$1,211,843.19	$1,151,376.83	-$41,339.64	$101,806.00	$60,466.36

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
AMERICAN FUNDS INVESTMENT COMPANY OF AMERICA CL A	FIFO	72.860	Sep 05, 01	Feb 10, 09	1,426.60	2,067.77	-641 17		
	FIFO	336.438	Earnings	Feb 10, 09	6,587.46	8,648.39	-2,060 93		
	FIFO	341.728	Earnings	Feb 10, 09	6,691 03	8,656.25	-1,965.22		
	FIFO	281.302	Earnings	Feb 10, 09	5,507.89	8,272.07	-2,764.18		
	FIFO	440.931	Earnings	Feb 10, 09	8,633.43	13,790.52	-5,157.09		
	FIFO	97.830	Mar 06, 06	Feb 10, 09	1,915.51	3,162.84	-1,247.33		
	FIFO	70.819	Jun 05, 06	Feb 10, 09	1,386.64	2,332.07	-945.43		
	FIFO	69.516	Sep 05, 06	Feb 10, 09	1,361.12	2,342.69	-981 57		
	FIFO	130.167	Dec 22, 06	Feb 10, 09	2,548.67	4,352.79	-1,804.12		
	FIFO	80.838	Mar 05, 07	Feb 10, 09	1,582.81	2,662.00	-1,079.19		
	FIFO	82 038	Jun 04, 07	Feb 10, 09	1,606.30	2,964.85	-1,358.55		
	FIFO	90 018	Sep 10, 07	Feb 10, 09	1,762.55	3,164.13	-1,401.58		
	FIFO	93.477	Dec 20, 07	Feb 10, 09	1,830 28	3,039 87	-1,209.59		
	FIFO	64 064	Mar 04, 02	Feb 10, 09	1,254.37	1,811.08	-556.71		
	FIFO	245.537	Dec 17, 02	Feb 10, 09	4,807.61	5,870 80	-1,063.19		
	FIFO	124.795	Dec 17, 03	Feb 10, 09	2,443 49	3,480.53	-1,037 04		
	FIFO	183.149	Dec 14, 04	Feb 10, 09	3,586 06	5,569.56	-1,983.50		
	FIFO	522 936	Dec 23, 05	Feb 10, 09	10,239 09	16,530.02	-6,290.93		
	FIFO	925.531	Dec 22, 06	Feb 10, 09	18,121.90	30,949.74	-12,827.84		
	FIFO	1,021.651	Dec 20, 07	Feb 10, 09	20,003 93	33,224.10	-13,220.17		
	FIFO	4,182.754	Mar 01, 05	Feb 10, 09	81,898 32	129,999 99	-48,101.67		
	FIFO	1,153.491	Mar 31, 06	Feb 10, 09	22,585 35	37,499.99	-14,914.64		
	FIFO	572.261	Feb 15, 07	Feb 10, 09	11,204.87	19,640 00	-8,435.13		
	FIFO	1,178.872	Mar 09, 07	Feb 10, 09	23,082.31	39,280.02	-16,197.71		
	FIFO	574.605	Apr 09, 07	Feb 10, 09	11,250.77	19,640.00	-8,389.23		
	FIFO	414.930	May 04, 07	Feb 10, 09	8,124.33	14,730.02	-6,605.69		
	FIFO	731.447	Nov 04, 99	Feb 10, 09	14,321.73	24,437.64	-10,115.91		
	FIFO	2,588.070	Dec 13, 99	Feb 10, 09	50,674 41	87,295.60	-36,621.19		
	FIFO	542.220	Mar 01, 00	Feb 10, 09	10,616.67	17,052.82	-6,436.15		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	121.810	Jun 01, 00	Feb 10, 09	2,385.04	4,000.24	-1,615.20		1
	FIFO	120.250	Sep 01, 00	Feb 10, 09	2,354 49	4,015.15	-1,660.66		1
	FIFO	884.800	Dec 08, 00	Feb 10, 09	17,324.38	27,154.51	-9,830.13		1
	FIFO	197.130	Mar 05, 01	Feb 10, 09	3,859.81	5,787.74	-1,927.93		1
	FIFO	66.450	Jun 05, 01	Feb 10, 09	1,301.09	2,059.29	-758.20		1
AMERICAN FUNDS EURO PACIFIC GROWTH FUND CL A	FIFO	88.592	Feb 22, 01	Feb 10, 09	2,290.99	2,681.68	-390 69		1
	FIFO	89.780	Dec 27, 06	Feb 10, 09	2,321.71	4,125.40	-1,803.69		
	FIFO	53.824	Earnings	Feb 10, 09	1,391.89	1,228.80		163.09	
	FIFO	105.183	Earnings	Feb 10, 09	2,720.03	3,087 12	-367.09		
	FIFO	138.938	Earnings	Feb 10, 09	3,592.94	4,773.91	-1,180.97		
	FIFO	167.403	Earnings	Feb 10, 09	4,329.04	6,843 43	-2,514.39		
	FIFO	117.923	Dec 27, 06	Feb 10, 09	3,049.49	5,418.56	-2,369.07		
	FIFO	160.265	Dec 13, 07	Feb 10, 09	4,144 45	8,373.85	-4,229.40		
	FIFO	308.263	Dec 28, 05	Feb 10, 09	7,971.68	12,601.79	-4,630.11		
	FIFO	324 861	Dec 27, 06	Feb 10, 09	8,400.91	14,927.36	-6,526.45		
	FIFO	578.611	Dec 13, 07	Feb 10, 09	14,962.88	30,232.41	-15,269 53		
	FIFO	4,826.902	Mar 21, 03	Feb 10, 09	124,823.68	104,647.24		20,176.44	
	FIFO	537.330	Mar 31, 06	Feb 10, 09	13,895.35	23,750.00	-9,854.65		
	FIFO	264 511	Feb 15, 07	Feb 10, 09	6,840.25	12,760.01	-5,919.76		
	FIFO	548.817	Mar 09, 07	Feb 10, 09	14,192.41	25,519.99	-11,327.58		
	FIFO	261.208	Apr 09, 07	Feb 10, 09	6,754.84	12,760.01	-6,005.17		
	FIFO	188.201	May 04, 07	Feb 10, 09	4,866.88	9,570.02	-4,703 14		
	FIFO	122.298	Dec 19, 01	Feb 10, 09	3,162.63	3,245.79	-83.16		1
AMERICAN FUNDS GROWTH FUND OF AMERICA CLASS F	FIFO	16.646	Earnings	Feb 10, 09	325.93	393.68	-67.75		
	FIFO	70.907	Earnings	Feb 10, 09	1,388.36	1,876.91	-488.55		
	FIFO	149.252	Earnings	Feb 10, 09	2,922.35	4,576.07	-1,653 72		
	FIFO	134.397	Dec 19, 06	Feb 10, 09	2,631.49	4,414.94	-1,783.45		
	FIFO	193.246	Dec 19, 07	Feb 10, 09	3,783.76	6,413.83	-2,630.07		
	FIFO	170.725	Dec 20, 05	Feb 10, 09	3,342.80	5,234.43	-1,891.63		
	FIFO	506.812	Dec 19, 06	Feb 10, 09	9,923.38	16,648.78	-6,725.40		

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Account name: GAITHER CHARITABLE FOUNDATION
Friendly account name: Foundation A/C
Account number: 6Z 59469 34

Your Financial Advisor:
BEUKELMAN-GEIST
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	1,075.503	Dec 19, 07	Feb 10, 09	21,058.35	35,695 96	-14,637.61		
	FIFO	7,160.992	Mar 21, 03	Feb 10, 09	140,212.22	134,698 26		5,513 96	
	FIFO	4,774.146	Mar 01, 05	Feb 10, 09	93,477.78	130,000.00	-36,522.22		
	FIFO	1,303.059	Mar 31, 06	Feb 10, 09	25,513.89	41,750.01	-16,236.12		
	FIFO	563.674	Feb 15, 07	Feb 10, 09	11,036 74	18,900.00	-7,863.26		
	FIFO	1,159 509	Mar 09, 07	Feb 10, 09	22,703.19	37,799.99	-15,096 80		
	FIFO	560.664	Apr 09, 07	Feb 10, 09	10,977 80	18,899.99	-7,922.19		
	FIFO	404.884	May 04, 07	Feb 10, 09	7,927.63	14,175 00	-6,247.37		
CALAMOS GROWTH FUND CLASS A	FIFO	11.171	Nov 17, 04	Feb 10, 09	323.29	565 35	-242.06		
	FIFO	172.928	Nov 17, 05	Feb 10, 09	5,004.54	9,279.32	-4,274.78		
	FIFO	165 406	Nov 16, 06	Feb 10, 09	4,786.85	8,955.07	-4,168.22		
	FIFO	684 743	Nov 16, 07	Feb 10, 09	19,816.46	39,016.66	-19,200.20		
	FIFO	3,127.794	Mar 21, 03	Feb 10, 09	90,518.36	101,872.25	-11,353.89		
	FIFO	227.234	Mar 31, 06	Feb 10, 09	6,576.15	13,250 01	-6,673.86		
	FIFO	335.506	May 08, 06	Feb 10, 09	9,709.54	20,324 96	-10,615.42		
	FIFO	161.319	Feb 15, 07	Feb 10, 09	4,668.57	9,000.00	-4,331 43		
	FIFO	334.014	Mar 09, 07	Feb 10, 09	9,666.37	18,000.01	-8,333.64		
	FIFO	159.546	Apr 09, 07	Feb 10, 09	4,617.26	9,000.00	-4,382.74		
	FIFO	116.059	May 04, 07	Feb 10, 09	3,358.75	6,749.99	-3,391.24		
FT-FRANKLIN INCOME A	FIFO	1,499.838	Dec 05, 07	Feb 10, 09	2,429.74	3,884.58	-1,454.84		
	FIFO	847.996	Feb 05, 07	Feb 10, 09	1,373 75	2,264.15	-890.40		
	FIFO	878.668	Mar 05, 07	Feb 10, 09	1,423.44	2,354.83	-931.39		
	FIFO	933.133	Apr 04, 07	Feb 10, 09	1,511.68	2,528.79	-1,017.11		
	FIFO	941.668	May 03, 07	Feb 10, 09	1,525 50	2,608.42	-1,082.92		
	FIFO	942.696	Jun 05, 07	Feb 10, 09	1,527.17	2,639.55	-1,112.38		
	FIFO	963 949	Jul 05, 07	Feb 10, 09	1,561 60	2,650.86	-1,089.26		
	FIFO	1,004.691	Aug 03, 07	Feb 10, 09	1,627 60	2,662.43	-1,034 83		
	FIFO	997.940	Sep 06, 07	Feb 10, 09	1,616 66	2,674.48	-1,057.82		
	FIFO	980 460	Oct 03, 07	Feb 10, 09	1,588.35	2,686.46	-1,098.11		
	FIFO	995 653	Nov 05, 07	Feb 10, 09	1,612 96	2,698.22	-1,085.26		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	1,525.996	Dec 05, 07	Feb 10, 09	2,472.11	3,952.33	-1,480.22		
	FIFO	1,041.824	Jan 07, 08	Feb 10, 09	1,687.75	2,667.07	-979.32		
	FIFO	1,103.249	Feb 05, 08	Feb 10, 09	1,787.26	2,791.22	-1,003.96		
	FIFO	3,810.633	Dec 05, 07	Feb 10, 09	6,173.23	9,869.54	-3,696.31		
	FIFO	169,435 448	Jan 16, 07	Feb 10, 09	274,485.42	449,003.94	-174,518.52		
	FIFO	6,708.487	Feb 15, 07	Feb 10, 09	10,867.75	18,180.00	-7,312.25		
	FIFO	13,617.978	Mar 09, 07	Feb 10, 09	22,061.12	36,360.00	-14,298.88		
	FIFO	6,683.824	Apr 09, 07	Feb 10, 09	10,827.79	18,180 00	-7,352 21		
	FIFO	4,887.097	May 04, 07	Feb 10, 09	7,917.10	13,635.00	-5,717.90		
GOLDMAN SACHS ASSET ALLOCATION GROWTH STRATEGY FUND CL A	FIFO	48.016	Dec 19, 06	Feb 10, 09	349.56	695.75	-346.19		
	FIFO	1.841	Dec 20, 07	Feb 10, 09	13.40	25.94	-12.54		
	FIFO	595.843	Dec 19, 06	Feb 10, 09	4,337.74	8,633.77	-4,296.03		
	FIFO	1,174.263	Dec 20, 07	Feb 10, 09	8,548.63	16,545.37	-7,996.74		
	FIFO	817.162	Dec 19, 06	Feb 10, 09	5,948.94	11,840.68	-5,891.74		
	FIFO	1,115.089	Dec 20, 07	Feb 10, 09	8,117.85	15,711.61	-7,593.76		
	FIFO	28,991 643	May 08, 06	Feb 10, 09	211,059.16	418,639.32	-207,580 16		
	FIFO	1,188 904	Feb 15, 07	Feb 10, 09	8,655.22	18,000.01	-9,344 79		
	FIFO	2,455.662	Mar 09, 07	Feb 10, 09	17,877.22	36,000.00	-18,122.78		
	FIFO	1,188.904	Apr 09, 07	Feb 10, 09	8,655 22	18,000.01	-9,344.79		
	FIFO	865.385	May 04, 07	Feb 10, 09	6,300 00	13,500.01	-7,200.01		
GOLDMAN SACHS SMALL MID CAP GROWTH FUND CLASS C	FIFO	295.560	Dec 11, 07	Feb 10, 09	2,207.72	3,827.50	-1,619.78		
	FIFO	637.709	Dec 11, 07	Feb 10, 09	4,763.44	8,258.33	-3,494.89		
	FIFO	12,809.564	May 08, 06	Feb 10, 09	95,682.55	150,005.24	-54,322 69		
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	422.104	Jun 23, 06	Feb 10, 09	4,453.20	5,668.86	-1,215.66		
	FIFO	449.824	Sep 22, 06	Feb 10, 09	4,745 64	6,329.02	-1,583.38		
	FIFO	548.257	Dec 15, 06	Feb 10, 09	5,784.11	7,829.11	-2,045.00		
	FIFO	441.285	Mar 23, 07	Feb 10, 09	4,655.56	6,341.27	-1,685.71		
	FIFO	552.638	Jun 22, 07	Feb 10, 09	5,830 33	7,902.72	-2,072.39		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	186 699	Jul 24, 07	Feb 10, 09	1,969.67	2,692.20	-722.53		
	FIFO	190.788	Aug 28, 07	Feb 10, 09	2,012.81	2,716.82	-704.01		
	FIFO	196.499	Sep 25, 07	Feb 10, 09	2,073 06	2,861.03	-787.97		
	FIFO	190 758	Oct 30, 07	Feb 10, 09	2,012.50	2,849.92	-837.42		
	FIFO	201.363	Nov 27, 07	Feb 10, 09	2,124.38	2,941.91	-817.53		
	FIFO	426.316	Dec 14, 07	Feb 10, 09	4,497 63	6,104 85	-1,607.22		
	FIFO	147.490	Jan 29, 08	Feb 10, 09	1,556.02	2,138.61	-582.59		
	FIFO	29,856.677	May 08, 06	Feb 10, 09	314,987 95	416,799.21	-101,811.26		
	FIFO	1,239 476	Feb 15, 07	Feb 10, 09	13,076.47	17,960.01	-4,883.54		
	FIFO	2,475.534	Mar 09, 07	Feb 10, 09	26,116 88	35,920.00	-9,803.12		
	FIFO	1,250 696	Apr 09, 07	Feb 10, 09	13,194.84	17,959.99	-4,765.15		
	FIFO	916.327	May 04, 07	Feb 10, 09	9,667 25	13,470.01	-3,802.76		
LORD ABBETT MID CAP VALUE FUND CL A	FIFO	35.149	Jan 31, 06	Feb 10, 09	330.75	781.02	-450 27		
	FIFO	39.731	Dec 21, 06	Feb 10, 09	373.87	889.18	-515 31		
	FIFO	5 917	Jan 31, 07	Feb 10, 09	55.68	133.37	-77.69		
	FIFO	122.635	Dec 21, 07	Feb 10, 09	1,154.00	2,300.63	-1,146 63		
	FIFO	21.684	Feb 05, 08	Feb 10, 09	204.05	355.84	-151.79		
	FIFO	24.645	Earnings	Feb 10, 09	231.91	552.05	-320.14		
	FIFO	4.828	Jan 31, 06	Feb 10, 09	45.43	107.28	-61.85		
	FIFO	53.209	Dec 21, 06	Feb 10, 09	500.70	1,190.82	-690.12		
	FIFO	0.405	Jan 31, 07	Feb 10, 09	3.81	9.13	-5.32		
	FIFO	70.907	Dec 21, 07	Feb 10, 09	667.23	1,330 22	-662.99		
	FIFO	85.736	Dec 19, 03	Feb 10, 09	806.78	1,580.11	-773.33		
	FIFO	264.979	Dec 21, 04	Feb 10, 09	2,493.45	5,935.54	-3,442.09		
	FIFO	182.136	Feb 01, 05	Feb 10, 09	1,713.90	3,928.68	-2,214.78		
	FIFO	562.515	Dec 20, 05	Feb 10, 09	5,293.27	12,600.34	-7,307 07		
	FIFO	288.775	Jan 31, 06	Feb 10, 09	2,717.37	6,416.59	-3,699.22		
	FIFO	833.227	Dec 21, 06	Feb 10, 09	7,840.67	18,647.63	-10,806 96		
	FIFO	210.085	Jan 31, 07	Feb 10, 09	1,976.90	4,735.32	-2,758.42		
	FIFO	2,215.804	Dec 21, 07	Feb 10, 09	20,850.72	41,568.49	-20,717.77		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	732.309	Feb 05, 08	Feb 10, 09	6,891.03	12,017.19	-5,126.16		
	FIFO	58 489	Earnings	Feb 10, 09	550 38	1,077.96	-527.58		
	FIFO	102.118	Earnings	Feb 10, 09	960.93	2,260.79	-1,299.86		
	FIFO	6,691.340	Mar 21, 03	Feb 10, 09	62,965.51	97,827.39	-34,861.88		
	FIFO	566.919	Mar 31, 06	Feb 10, 09	5,334.71	12,750.01	-7,415.30		
	FIFO	363.131	May 08, 06	Feb 10, 09	3,417.06	8,293.91	-4,876.85		
	FIFO	404.136	Feb 15, 07	Feb 10, 09	3,802.92	9,380.00	-5,577.08		
	FIFO	827.891	Mar 09, 07	Feb 10, 09	7,790.45	18,760.01	-10,969.56		
	FIFO	398.979	Apr 09, 07	Feb 10, 09	3,754.39	9,380.00	-5,625.61		
	FIFO	288.084	May 04, 07	Feb 10, 09	2,710.87	7,035.01	-4,324.14		
MANAGERS BOND FUND	FIFO	41.690	Jan 26, 06	Feb 10, 09	836.30	1,005.98	-169.68		
	FIFO	44.461	Feb 23, 06	Feb 10, 09	891.89	1,072.40	-180.51		
	FIFO	45.029	Mar 28, 06	Feb 10, 09	903 28	1,076.19	-172.91		
	FIFO	47.757	Apr 25, 06	Feb 10, 09	958.01	1,135.18	-177.17		
	FIFO	47.373	May 25, 06	Feb 10, 09	950.30	1,123.69	-173 39		
	FIFO	48.049	Jun 27, 06	Feb 10, 09	963.86	1,127.71	-163.85		
	FIFO	47 375	Jul 26, 06	Feb 10, 09	950.34	1,131.79	-181.45		
	FIFO	52.091	Aug 28, 06	Feb 10, 09	1,044.95	1,269.46	-224.51		
	FIFO	51.346	Sep 26, 06	Feb 10, 09	1,030.00	1,274.41	-244.41		
	FIFO	54.541	Oct 26, 06	Feb 10, 09	1,094 09	1,346.62	-252.53		
	FIFO	53.525	Nov 27, 06	Feb 10, 09	1,073.71	1,345.62	-271.91		
	FIFO	62.432	Dec 26, 06	Feb 10, 09	1,252.39	1,558 93	-306 54		
	FIFO	47.738	Jan 26, 07	Feb 10, 09	957.62	1,174.83	-217.21		
	FIFO	48.801	Feb 23, 07	Feb 10, 09	978.95	1,221.49	-242.54		
	FIFO	52.563	Mar 27, 07	Feb 10, 09	1,054.41	1,310.40	-255.99		
	FIFO	57.334	Apr 25, 07	Feb 10, 09	1,150.12	1,433.35	-283.23		
	FIFO	59 191	May 25, 07	Feb 10, 09	1,187.37	1,465.57	-278 20		
	FIFO	62.751	Jun 26, 07	Feb 10, 09	1,258.79	1,524.85	-266.06		
	FIFO	62.316	Jul 26, 07	Feb 10, 09	1,250.06	1,531.10	-281.04		
	FIFO	62.240	Aug 28, 07	Feb 10, 09	1,248.53	1,537.33	-288 80		
	FIFO	62.140	Sep 25, 07	Feb 10, 09	1,246.53	1,543 56	-297.03		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	67.011	Oct 26, 07	Feb 10, 09	1,344 24	1,704.76	-360 52		
	FIFO	69.975	Nov 27, 07	Feb 10, 09	1,403.70	1,789 96	-386.26		
	FIFO	75.148	Dec 26, 07	Feb 10, 09	1,507 47	1,866.68	-359.21		
	FIFO	63 417	Jan 28, 08	Feb 10, 09	1,272.15	1,617.77	-345.62		
	FIFO	28.745	Dec 29, 03	Feb 10, 09	576.62	707.41	-130.79		
	FIFO	8.263	Aug 27, 04	Feb 10, 09	165.76	200.46	-34.70		
	FIFO	35.690	Dec 29, 04	Feb 10, 09	715.94	874.41	-158.47		
	FIFO	74.375	Dec 27, 05	Feb 10, 09	1,491.96	1,793.19	-301.23		
	FIFO	2.886	Dec 26, 07	Feb 10, 09	57 89	71.68	-13 79		
	FIFO	334.783	Earnings	Feb 10, 09	6,715.75	8,135.10	-1,419.35		
	FIFO	338.509	Earnings	Feb 10, 09	6,790.49	8,244.70	-1,454.21		
	FIFO	419.049	Earnings	Feb 10, 09	8,406 12	10,185.58	-1,779.46		
	FIFO	2,678.323	Mar 21, 03	Feb 10, 09	53,727.16	62,726 32	-8,999.16		
	FIFO	4,484.305	Mar 01, 05	Feb 10, 09	89,955.16	110,000.00	-20,044.84		
	FIFO	649.079	Mar 31, 06	Feb 10, 09	13,020.52	15,500.01	-2,479.49		
	FIFO	225.546	May 08, 06	Feb 10, 09	4,524.45	5,379.27	-854.82		
	FIFO	470.823	Feb 15, 07	Feb 10, 09	9,444.71	11,779.99	-2,335.28		
	FIFO	939.020	Mar 09, 07	Feb 10, 09	18,836.74	23,560.01	-4,723.27		
	FIFO	475.575	Apr 09, 07	Feb 10, 09	9,540.03	11,779.99	-2,239.96		
	FIFO	352.273	May 04, 07	Feb 10, 09	7,066.60	8,835.01	-1,768.41		
	FIFO	383.186	Mar 21, 03	Jan 23, 09	7,621.57	8,974.22	-1,352.65		
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	168.355	Dec 11, 06	Feb 10, 09	2,538.79	6,749.36	-4,210.57		
	FIFO	211.964	Dec 11, 07	Feb 10, 09	3,196.42	10,572.76	-7,376.34		
	FIFO	323.941	Earnings	Feb 10, 09	4,885.03	6,294.17	-1,409.14		
	FIFO	144.792	Earnings	Feb 10, 09	2,183 46	3,653 10	-1,469.64		
	FIFO	100.468	Dec 11, 06	Feb 10, 09	1,515.06	4,027 76	-2,512.70		
	FIFO	85.553	Dec 11, 07	Feb 10, 09	1,290.14	4,267.38	-2,977 24		
	FIFO	50.362	Dec 09, 04	Feb 10, 09	759.46	1,270 64	-511 18		
	FIFO	217.515	Dec 09, 05	Feb 10, 09	3,280.13	7,586 94	-4,306.81		
	FIFO	557.662	Dec 11, 06	Feb 10, 09	8,409.54	22,356 68	-13,947.14		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	974.537	Dec 11, 07	Feb 10, 09	14,696.02	48,609.92	-33,913.90		
	FIFO	235 370	Earnings	Feb 10, 09	3,549.38	8,209.72	-4,660 34		
	FIFO	5,283.744	Mar 21, 03	Feb 10, 09	79,678.86	64,356 00		15,322 86	
	FIFO	565.780	Mar 31, 06	Feb 10, 09	8,531.96	22,750.01	-14,218.05		
	FIFO	291.353	Feb 15, 07	Feb 10, 09	4,393.60	12,399.99	-8,006.39		
	FIFO	615.079	Mar 09, 07	Feb 10, 09	9,275.39	24,800.00	-15,524.61		
	FIFO	285.714	Apr 09, 07	Feb 10, 09	4,308.57	12,400 00	-8,091.43		
	FIFO	205.661	May 04, 07	Feb 10, 09	3,101 37	9,299.99	-6,198.62		
UBS DYNAMIC ALPHA FUND CLASS A	FIFO	0.719	Earnings	Feb 10, 09	3.54	8.31	-4.77		
	FIFO	64.737	Dec 19, 07	Feb 10, 09	319.15	658.38	-339.23		
	FIFO	4,464.629	Dec 19, 07	Feb 10, 09	22,010.62	45,405.28	-23,394.66		
	FIFO	28,627.280	Jan 26, 06	Feb 10, 09	141,132.49	313,182.44	-172,049.95		
	FIFO	2,015.399	Mar 31, 06	Feb 10, 09	9,935.92	22,250.00	-12,314.08		
	FIFO	6,270.369	May 08, 06	Feb 10, 09	30,912.92	70,353.54	-39,440.62		
	FIFO	1,508.982	Feb 15, 07	Feb 10, 09	7,439.28	17,640.00	-10,200.72		
	FIFO	3,036.145	Mar 09, 07	Feb 10, 09	14,968.19	35,280 00	-20,311.81		
	FIFO	1,531.250	Apr 09, 07	Feb 10, 09	7,549.06	17,640.00	-10,090.94		
	FIFO	1,135.622	May 04, 07	Feb 10, 09	5,598 62	13,230.00	-7,631.38		
UBS GLOBAL ALLOCATION FUND CLASS A	FIFO	780 905	Dec 19, 07	Feb 10, 09	5,357.01	10,635.93	-5,278.92		
	FIFO	562.595	Earnings	Feb 10, 09	3,859.40	6,717.38	-2,857.98		
	FIFO	983.058	Dec 19, 07	Feb 10, 09	6,743.78	13,389.25	-6,645.47		
	FIFO	451.174	Dec 22, 04	Feb 10, 09	3,095.05	5,946.47	-2,851.42		
	FIFO	1,732.565	Dec 21, 05	Feb 10, 09	11,885.40	23,251.02	-11,365.62		
	FIFO	2,203.193	Dec 21, 06	Feb 10, 09	15,113.90	31,175.18	-16,061.28		
	FIFO	2,783.382	Dec 19, 07	Feb 10, 09	19,094.00	37,909.66	-18,815.66		
	FIFO	1,128.090	Earnings	Feb 10, 09	7,738.70	14,868.23	-7,129.53		
	FIFO	1,143.408	Earnings	Feb 10, 09	7,843.78	15,344.54	-7,500 76		
	FIFO	1,573.003	Earnings	Feb 10, 09	10,790.80	22,257.99	-11,467.19		
	FIFO	3,899.535	Mar 21, 03	Feb 10, 09	26,750.81	37,474.53	-10,723.72		
	FIFO	9,658.247	Mar 01, 05	Feb 10, 09	66,255.57	130,000.00	-63,744 43		

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value ($)	Purchase date	Sale date	Sale amount ($)	Purchase amount ($)	Loss ($)	Gain ($)	Net gain or loss ($)
	FIFO	21,834.061	Jan 26, 06	Feb 10, 09	149,781.66	300,000.00	-150,218.34		
	FIFO	4,133.717	Mar 31, 06	Feb 10, 09	28,357.30	57,500.00	-29,142.70		
	FIFO	1,914.305	Feb 15, 07	Feb 10, 09	13,132.13	27,699 99	-14,567.86		
	FIFO	3,915.194	Mar 09, 07	Feb 10, 09	26,858.23	55,400.00	-28,541.77		
	FIFO	1,919.612	Apr 09, 07	Feb 10, 09	13,168.54	27,700.00	-14,531.46		
	FIFO	1,391.494	May 04, 07	Feb 10, 09	9,545.65	20,775.01	-11,229.36		
Total					**$3,500,357.05**	**$5,700,063.91**	**-$2,240,883.21**	**$41,176.35**	**-$2,199,706.86**
Net capital gains/losses:									**-$2,139,240.50**

[1] Indicates that the cost basis information has been provided by a source other than UBS Financial Services Inc