



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0052

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

JAMES O &amp; ALICE F COLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

P. O. BOX 536

Room/suite

City or town, state, and ZIP code

PERU, IN 46970

A Employer identification number

35-1989581

B Telephone number

765-472-2723

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year  
(from Part II, col. (c), line 16)

\$ 1,494,750. (Part I, column (d) must be on cash basis.)

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

1 Contributions, gifts, grants, etc., received

0.

N/A

2 Check ☒ If the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

37,644.

37,644.

STATEMENT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

&lt;102,426.&gt;

b Gross sales price for all assets on line 6a

729,254.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

&lt;64,782.&gt;

37,644.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 2

2,900.

1,450.

1,450.

c Other professional fees

STMT 3

17,678.

17,678.

0.

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

34.

17.

17.

24 Total operating and administrative expenses. Add lines 18 through 23

20,612.

19,145.

1,467.

25 Contributions, gifts, grants paid

88,176.

88,176.

26 Total expenses and disbursements. Add lines 24 and 25

108,788.

19,145.

89,643.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

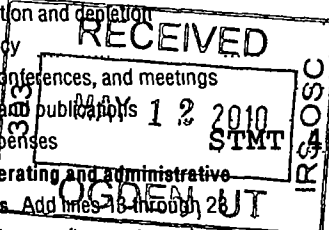
&lt;173,570.&gt;

b Net investment income (if negative, enter -0-)

18,499.

c Adjusted net income (if negative, enter -0-)

N/A

SCANNED MAY 13 2010  
Operating and Administrative Expenses

| Part II Balance Sheets      |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |                | Beginning of year     | End of year |         |
|-----------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|---------|
|                             |                                                                                           | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |             |         |
| Assets                      | 1                                                                                         | Cash - non-interest-bearing                                                                     |                | 1.                    | 365.        | 365.    |
|                             | 2                                                                                         | Savings and temporary cash investments                                                          |                | 58,778.               | 65,318.     | 65,318. |
|                             | 3                                                                                         | Accounts receivable ▶                                                                           |                |                       |             |         |
|                             |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |         |
|                             | 4                                                                                         | Pledges receivable ▶                                                                            |                |                       |             |         |
|                             |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |         |
|                             | 5                                                                                         | Grants receivable                                                                               |                |                       |             |         |
|                             | 6                                                                                         | Receivables due from officers, directors, trustees, and other disqualified persons              |                |                       |             |         |
|                             | 7                                                                                         | Other notes and loans receivable ▶                                                              |                |                       |             |         |
|                             |                                                                                           | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |         |
|                             | 8                                                                                         | Inventories for sale or use                                                                     |                |                       |             |         |
|                             | 9                                                                                         | Prepaid expenses and deferred charges                                                           |                |                       |             |         |
|                             | 10a                                                                                       | Investments - U.S. and state government obligations STMT 5                                      | 151,590.       | 75,914.               | 76,573.     |         |
|                             | b                                                                                         | Investments - corporate stock STMT 6                                                            | 1,191,844.     | 1,007,644.            | 1,091,164.  |         |
|                             | c                                                                                         | Investments - corporate bonds STMT 7                                                            | 167,447.       | 250,606.              | 261,330.    |         |
| 11                          | Investments - land, buildings, and equipment basis ▶                                      |                                                                                                 |                |                       |             |         |
|                             | Less accumulated depreciation ▶                                                           |                                                                                                 |                |                       |             |         |
| 12                          | Investments - mortgage loans                                                              |                                                                                                 |                |                       |             |         |
| 13                          | Investments - other                                                                       |                                                                                                 |                |                       |             |         |
| 14                          | Land, buildings, and equipment: basis ▶                                                   |                                                                                                 |                |                       |             |         |
|                             | Less accumulated depreciation ▶                                                           |                                                                                                 |                |                       |             |         |
| 15                          | Other assets (describe ▶)                                                                 |                                                                                                 |                |                       |             |         |
| 16                          | Total assets (to be completed by all filers)                                              | 1,569,660.                                                                                      | 1,399,847.     | 1,494,750.            |             |         |
| Liabilities                 | 17                                                                                        | Accounts payable and accrued expenses                                                           |                |                       |             |         |
|                             | 18                                                                                        | Grants payable                                                                                  |                |                       |             |         |
|                             | 19                                                                                        | Deferred revenue                                                                                |                |                       |             |         |
|                             | 20                                                                                        | Loans from officers, directors, trustees, and other disqualified persons                        |                |                       |             |         |
|                             | 21                                                                                        | Mortgages and other notes payable                                                               |                |                       |             |         |
|                             | 22                                                                                        | Other liabilities (describe ▶)                                                                  |                |                       |             |         |
| 23                          | Total liabilities (add lines 17 through 22)                                               | 0.                                                                                              | 0.             |                       |             |         |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                                                                                                 |                |                       |             |         |
|                             | and complete lines 24 through 26 and lines 30 and 31.                                     |                                                                                                 |                |                       |             |         |
|                             | 24                                                                                        | Unrestricted                                                                                    |                |                       |             |         |
|                             | 25                                                                                        | Temporarily restricted                                                                          |                |                       |             |         |
|                             | 26                                                                                        | Permanently restricted                                                                          |                |                       |             |         |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |                |                       |             |         |
|                             | and complete lines 27 through 31.                                                         |                                                                                                 |                |                       |             |         |
|                             | 27                                                                                        | Capital stock, trust principal, or current funds                                                | 0.             | 0.                    |             |         |
|                             | 28                                                                                        | Paid-in or capital surplus, or land, bldg., and equipment fund                                  | 0.             | 0.                    |             |         |
| 29                          | Retained earnings, accumulated income, endowment, or other funds                          | 1,569,660.                                                                                      | 1,399,847.     |                       |             |         |
| 30                          | Total net assets or fund balances                                                         | 1,569,660.                                                                                      | 1,399,847.     |                       |             |         |
| 31                          | Total liabilities and net assets/fund balances                                            | 1,569,660.                                                                                      | 1,399,847.     |                       |             |         |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                               |   |            |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 1,569,660. |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | <173,570.> |
| 3 | Other increases not included in line 2 (itemize) ▶ <u>FEDERAL INCOME TAX RFND</u>                                                                             | 3 | 3,757.     |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 1,399,847. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 1,399,847. |

Form 990-PF (2009)

**Part IV Capital Gains and Losses for Tax on Investment Income**

|                                                                                                                                                                                 |                                            |                                                  |                                                                                                 |                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)                                              |                                            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.)                                                            | (d) Date sold<br>(mo., day, yr.) |
| 1a                                                                                                                                                                              |                                            |                                                  |                                                                                                 |                                  |
| b SEE ATTACHED STATEMENT                                                                                                                                                        |                                            |                                                  |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| e                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| (e) Gross sales price                                                                                                                                                           | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale  | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                  |
| a                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| b                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| e 729,254.                                                                                                                                                                      |                                            | 831,680.                                         | <102,426.>                                                                                      |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                     |                                            |                                                  | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any  |                                                                                                 |                                  |
| a                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| b                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| c                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| d                                                                                                                                                                               |                                            |                                                  |                                                                                                 |                                  |
| e                                                                                                                                                                               |                                            |                                                  | <102,426.>                                                                                      |                                  |
| 2 Capital gain net income or (net capital loss)                                                                                                                                 |                                            | 2                                                |                                                                                                 | <102,426.>                       |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                            | 3                                                |                                                                                                 | N/A                              |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                           | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2008                                                                                                                                                                           | 102,831.                                 | 1,575,078.                                   | .065286                                                     |
| 2007                                                                                                                                                                           | 86,226.                                  | 2,098,080.                                   | .041098                                                     |
| 2006                                                                                                                                                                           | 71,284.                                  | 1,884,782.                                   | .037821                                                     |
| 2005                                                                                                                                                                           | 73,630.                                  | 1,806,250.                                   | .040764                                                     |
| 2004                                                                                                                                                                           | 62,649.                                  | 1,604,202.                                   | .039053                                                     |
| 2 Total of line 1, column (d)                                                                                                                                                  |                                          |                                              | .224022                                                     |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years |                                          |                                              | .044804                                                     |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5                                                                                                 |                                          |                                              | 1,313,844.                                                  |
| 5 Multiply line 4 by line 3                                                                                                                                                    |                                          |                                              | 58,865.                                                     |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   |                                          |                                              | 185.                                                        |
| 7 Add lines 5 and 6                                                                                                                                                            |                                          |                                              | 59,050.                                                     |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         |                                          |                                              | 89,643.                                                     |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |      |      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |      |      |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1    | 185. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                              |    |      |      |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2    | 0.   |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3    | 185. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4    | 0.   |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5    | 185. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |      |      |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009                                                                                                                                                                    | 6a | 240. |      |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |      |      |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |      |      |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |      |      |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 240. |      |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |      |      |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |      |      |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 55.  |      |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 55. Refunded <input type="checkbox"/>                                                                                         | 11 | 0.   |      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                     |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                             |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.                                                                                                                                                          |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                            | 2   | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               | 3   | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             | 4a  | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         | 4b  |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                       | 5   | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?       | 6   | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                    | 7   | X  |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> IN                                                                                                                                                                                               |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | 8b  | X  |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     | 9   | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      | 10  | X  |

Form 990-PF (2009)

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                         |    |    |     |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 |    | X   |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                   | 12 |    | X   |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                     | 13 |    |     |
| 14 | The books are in care of ► <u>SIDNEY J. KUBESCH</u> Telephone no. ► <u>765-472-2723</u><br>Located at ► <u>P. O. BOX 536, PERU, IN</u> ZIP+4 ► <u>46970</u>                             |    |    |     |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year  |    | 15 | N/A |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Yes | No |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a  | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                       |     |    |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                    |     |    |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                            |     |    |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                |     |    |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                      |     |    |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                             |     |    |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                           |     |    |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> <input type="checkbox"/>                                                                                                                                                | 1b  |    |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                                   | 1c  | X  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                            |     |    |
| a   | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years ► _____, _____, _____                                                                                                                                                                                                                                   |     |    |
| b   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>                                                                                                                                                                        | 2b  |    |
| c   | If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.<br>► _____, _____, _____                                                                                                                                                                                                                                                                                                                                                          |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                            |     |    |
| b   | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <u>N/A</u> | 3b  |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                           | 4a  | X  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                         | 4b  | X  |

Form 990-PF (2009)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 8      |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|       | Expenses |
|-------|----------|
| 1 N/A |          |
|       |          |
|       |          |
| 2     |          |
|       |          |
|       |          |
| 3     |          |
|       |          |
|       |          |
| 4     |          |
|       |          |
|       |          |

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          | Amount |
|----------------------------------------------------------|--------|
| 1 N/A                                                    |        |
|                                                          |        |
|                                                          |        |
| 2                                                        |        |
|                                                          |        |
|                                                          |        |
| All other program-related investments. See instructions. |        |
| 3                                                        |        |
|                                                          |        |
|                                                          |        |

Total. Add lines 1 through 3

0.

Form 990-PF (2009)



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 1,333,852. |
| b | Average of monthly cash balances                                                                            | 1b |            |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | Total (add lines 1a, b, and c)                                                                              | 1d | 1,333,852. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 1,333,852. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 20,008.    |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 1,313,844. |
| 6 | Minimum investment return. Enter 5% of line 5                                                               | 6  | 65,692.    |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                    |    |         |
|----|----------------------------------------------------------------------------------------------------|----|---------|
| 1  | Minimum investment return from Part X, line 6                                                      | 1  | 65,692. |
| 2a | Tax on investment income for 2009 from Part VI, line 5                                             | 2a | 185.    |
| b  | Income tax for 2009. (This does not include the tax from Part VI.)                                 | 2b |         |
| c  | Add lines 2a and 2b                                                                                | 2c | 185.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                              | 3  | 65,507. |
| 4  | Recoveries of amounts treated as qualifying distributions                                          | 4  | 0.      |
| 5  | Add lines 3 and 4                                                                                  | 5  | 65,507. |
| 6  | Deduction from distributable amount (see instructions)                                             | 6  | 0.      |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 65,507. |

**Part XII** Qualifying Distributions (see instructions)

|   |                                                                                                                                   |    |         |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|---------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |         |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 89,643. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.      |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |         |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |         |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |         |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |         |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | 4  | 89,643. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 185.    |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | 6  | 89,458. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 65,507.     |
| <b>2</b> Undistributed income, if any, as of the end of 2009                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |               |                            | 70,087.     |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2004                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2005                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2006                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2007                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2008                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 89,643.                                                                                                    |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 70,087.     |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 19,556.     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010                                                              |               |                            |             | 45,951.     |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2005                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2006                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2007                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2008                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2009                                                                                                                                                         |               |                            |             |             |

|                 |                                                                                    |
|-----------------|------------------------------------------------------------------------------------|
| <b>Part XIV</b> | <b>Private Operating Foundations</b> (see instructions and Part VII-A, question 9) |
|-----------------|------------------------------------------------------------------------------------|

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**Part XV** Supplementary Information (continued)

| <b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b> |                                                                                                           |                                |                                  |                |
|---------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|----------------|
| Recipient                                                                             | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount         |
| Name and address (home or business)                                                   |                                                                                                           |                                |                                  |                |
| <b>a Paid during the year</b>                                                         |                                                                                                           |                                |                                  |                |
| SEE STATEMENT 9                                                                       |                                                                                                           |                                |                                  |                |
| <b>Total</b>                                                                          |                                                                                                           |                                | ▶ 3a                             | <b>88,176.</b> |
| <b>b Approved for future payment</b>                                                  |                                                                                                           |                                |                                  |                |
| NONE                                                                                  |                                                                                                           |                                |                                  |                |
| <b>Total</b>                                                                          |                                                                                                           |                                | ▶ 3b                             | <b>0.</b>      |





JAMES O & ALICE F COLE FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a WELLS FARGO #4034 STMT C                                                                                                       |                                                  | VARIOUS                              | VARIOUS                          |
| b WELLS FARGO #4864 STMT D                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| c WELLS FARGO #4864 STMT D                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| d WELLS FARGO #4029 STMT E                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| e WELLS FARGO #4029 STMT E                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| f WELLS FARGO #4011 STMT A                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| g WELLS FARGO #4011 STMT A                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| h WELLS FARGO #1764 STMT B                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| i WELLS FARGO #1764 STMT B                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| j WELLS FARGO #4034 STMT C                                                                                                        |                                                  | VARIOUS                              | VARIOUS                          |
| k BASIS ADJ-WELLS FARGO ACCTS                                                                                                     |                                                  | VARIOUS                              | VARIOUS                          |
| l                                                                                                                                 |                                                  |                                      |                                  |
| m                                                                                                                                 |                                                  |                                      |                                  |
| n                                                                                                                                 |                                                  |                                      |                                  |
| o                                                                                                                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 129,908.            |                                            | 125,261.                                        | 4,647.                                       |
| b 3,682.              |                                            | 8,213.                                          | <4,531.>                                     |
| c 64,509.             |                                            | 69,008.                                         | <4,499.>                                     |
| d 5,918.              |                                            | 8,566.                                          | <2,648.>                                     |
| e 4,051.              |                                            | 39,202.                                         | <35,151.>                                    |
| f 150,010.            |                                            | 141,212.                                        | 8,798.                                       |
| g 169,832.            |                                            | 189,765.                                        | <19,933.>                                    |
| h 32,666.             |                                            | 37,924.                                         | <5,258.>                                     |
| i 36,322.             |                                            | 83,579.                                         | <47,257.>                                    |
| j 132,356.            |                                            | 127,619.                                        | 4,737.                                       |
| k                     |                                            | 1,331.                                          | <1,331.>                                     |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 4,647.                                                                                                  |
| b                         |                                      |                                                 | <4,531.>                                                                                                |
| c                         |                                      |                                                 | <4,499.>                                                                                                |
| d                         |                                      |                                                 | <2,648.>                                                                                                |
| e                         |                                      |                                                 | <35,151.>                                                                                               |
| f                         |                                      |                                                 | 8,798.                                                                                                  |
| g                         |                                      |                                                 | <19,933.>                                                                                               |
| h                         |                                      |                                                 | <5,258.>                                                                                                |
| i                         |                                      |                                                 | <47,257.>                                                                                               |
| j                         |                                      |                                                 | 4,737.                                                                                                  |
| k                         |                                      |                                                 | <1,331.>                                                                                                |
| l                         |                                      |                                                 |                                                                                                         |
| m                         |                                      |                                                 |                                                                                                         |
| n                         |                                      |                                                 |                                                                                                         |
| o                         |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                     |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                               | 2 | <102,426.> |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A        |

---



---

|             |                                        |           |   |
|-------------|----------------------------------------|-----------|---|
| FORM 990-PF | DIVIDENDS AND INTEREST FROM SECURITIES | STATEMENT | 1 |
|-------------|----------------------------------------|-----------|---|

---

| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| LAZARD LTD                       | 27.          | 0.                         | 27.                  |
| SEC SETTLEMENT FD                | 6.           | 0.                         | 6.                   |
| US TREASURY                      | 21.          | 0.                         | 21.                  |
| WELLS FARGO #45564011            | 4,710.       | 0.                         | 4,710.               |
| WELLS FARGO #45564029            | 652.         | 0.                         | 652.                 |
| WELLS FARGO #45564034            | 19,769.      | 0.                         | 19,769.              |
| WELLS FARGO #45564864            | 9,105.       | 0.                         | 9,105.               |
| WELLS FARGO #45564870            | 7.           | 0.                         | 7.                   |
| WELLS FARGO #61001764            | 3,347.       | 0.                         | 3,347.               |
| TOTAL TO FM 990-PF, PART I, LN 4 | 37,644.      | 0.                         | 37,644.              |

---



---

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | ACCOUNTING FEES | STATEMENT | 2 |
|-------------|-----------------|-----------|---|

---

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING                   | 2,900.                       | 1,450.                            |                               | 1,450.                        |
| TO FORM 990-PF, PG 1, LN 16B | 2,900.                       | 1,450.                            |                               | 1,450.                        |

---



---

|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 3 |
|-------------|-------------------------|-----------|---|

---

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| PORTFOLIO MGMT FEES          | 17,006.                      | 17,006.                           |                               | 0.                            |
| FGN TAX WH                   | 672.                         | 672.                              |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 17,678.                      | 17,678.                           |                               | 0.                            |



|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 4 |
|-------------|----------------|-----------|---|

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| OFFICE EXP & MISC           | 34.                          | 17.                               |                               | 17.                           |
| TO FORM 990-PF, PG 1, LN 23 | 34.                          | 17.                               |                               | 17.                           |

|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT | 5 |
|-------------|--------------------------------------------|-----------|---|

| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| U.S. GOVT OBLIGATIONS                            | X             |                | 75,914.    | 76,573.              |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 75,914.    | 76,573.              |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 75,914.    | 76,573.              |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 6 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| STOCKS                                  | 1,007,644. | 1,091,164.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,007,644. | 1,091,164.           |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 7 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| CORPORATE BONDS                         | 250,606.   | 261,330.             |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 250,606.   | 261,330.             |

---



---

FORM 990-PF      PART VIII - LIST OF OFFICERS, DIRECTORS      STATEMENT      8  
 TRUSTEES AND FOUNDATION MANAGERS

---

| NAME AND ADDRESS                                                   | TITLE AND<br>AVRG HRS/WK   | COMPEN-<br>SATION | EMPLOYEE<br>BEN PLAN CONTRIB | EXPENSE<br>ACCOUNT |
|--------------------------------------------------------------------|----------------------------|-------------------|------------------------------|--------------------|
| MARGARET RUSSELL<br>14928 CELLE WAY<br>NAPLES, FL 34110            | DIRECTOR<br>1.00           | 0.                | 0.                           | 0.                 |
| ALICE F. COLE<br>P.O. BOX 536<br>PERU, IN 46970                    | DIRECTOR/VP<br>1.00        | 0.                | 0.                           | 0.                 |
| SIDNEY J. KUBESCH<br>1325 S FRANCES SLOCUM TRAIL<br>PERU, IN 46970 | DIRECTOR/TREASURER<br>1.00 | 0.                | 0.                           | 0.                 |
| JOANNE C KUBESCH<br>1325 S FRANCES SLOCUM TRAIL<br>PERU, IN 46970  | DIRECTOR<br>1.00           | 0.                | 0.                           | 0.                 |
| FRED L ASHE JR<br>1234 GLEN VIEW RD<br>BIRMINGHAM, AL 35222        | DIRECTOR/PRESIDENT<br>1.00 | 0.                | 0.                           | 0.                 |
| ELIZABETH J ASHE<br>1830 29TH AVE S #265<br>HOMEWOOD, AL 35209     | DIRECTOR/SECRETARY<br>1.00 | 0.                | 0.                           | 0.                 |
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII                       |                            | 0.                | 0.                           | 0.                 |

---

|             |                                                  |           |   |
|-------------|--------------------------------------------------|-----------|---|
| FORM 990-PF | GRANTS AND CONTRIBUTIONS<br>PAID DURING THE YEAR | STATEMENT | 9 |
|-------------|--------------------------------------------------|-----------|---|

---

| RECIPIENT NAME AND ADDRESS                                            | RECIPIENT RELATIONSHIP<br>AND PURPOSE OF GRANT | RECIPIENT<br>STATUS | AMOUNT |
|-----------------------------------------------------------------------|------------------------------------------------|---------------------|--------|
| AIDS SUPPORT GROUP CAPE COD<br>PO BOX 1522 PROVINCETOWN, MA           | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 500.   |
| ALPHA CHI OMEGA FUND<br>5939 CASTLECRK PKWY NO DR<br>INDIANAPOLIS, IN | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 400.   |
| AMBOY VOLUNTEER FIRE DEPT<br>P O BOX 88 AMBOY, IN                     | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 400.   |
| AMERICAN DIABETES ASSN<br>P O BOX 1130 FAIRFAX, VA                    | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 400.   |
| AMERICAN LIFE LEAGUE<br>BOX 1350 STAFFORD, VA                         | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 500.   |
| AMERICAN PIANISTS ASSOC<br>4600 SUNSET AVE INDIANAPOLIS,<br>IN        | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 800.   |
| ASHERWOOD NATURE PRESERVE<br>7496 W ST RD 24 WABASH, IN               | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 200.   |
| BIRMINGHAM SOUTHERN COLLEGE<br>P O BOX 549003 BIRMINGHAM, AL          | NONE<br>TO FUND OPERATIONS                     | PUBLIC              | 2,000. |

JAMES O & ALICE F COLE FOUNDATION

35-1989581

|                                                                        |                            |        |        |
|------------------------------------------------------------------------|----------------------------|--------|--------|
| BIRTHRIGHT OF PERU<br>65 W 6TH ST PERU, IN                             | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,050. |
| CATHOLIC RELIEF SVCS<br>P O BOX 17152 BALTIMORE, MD                    | NONE<br>TO FUND OPERATIONS | PUBLIC | 3,000. |
| CHRISTIAN APPALACHIAN PROJECT<br>322 CRAB ORCHARD ST LANCASTER,<br>KY  | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| COOPERATIVE DOWNTOWN MINISTRIES<br>P O BOX 11722 BIRMINGHAM, AL        | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,500. |
| COVENANT HOUSE<br>346 W 17TH ST NEW YORK, NY                           | NONE<br>TO FUND OPERATIONS | PUBLIC | 800.   |
| ELIZABETH RUSTON CHILD DEV CTR<br>2118 4TH AVE NO BIRMINGHAM, AL       | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| FOCUS ON RECOVERY<br>LITTLE VALLEY RD HOOVER, AL                       | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| FOR OUR KIDS INC<br>905 W MAIN ST ELLETTSVILLE, IN                     | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| BOYS & GIRLS CLUB OF INDPLS<br>2236 E 10TH ST #200<br>INDIANAPOLIS, IN | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.   |
| GILDA'S CLUB<br>195 W HOUSTON ST NEW YORK, NY                          | NONE<br>TO FUND OPERATIONS | PUBLIC | 400.   |

JAMES O & ALICE F COLE FOUNDATION

35-1989581

|                                                                               |                            |        |        |
|-------------------------------------------------------------------------------|----------------------------|--------|--------|
| GILEAD HOUSE<br>608 E BOULEVARD KOKOMO, IN                                    | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| GUADELOPE SOCIAL SVCS<br>P O BOX 5034 IMMOKALEE, FL                           | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| HEART GALLERY OF ALABAMA<br>3232 HIGHLAND DR BIRMINGHAM, AL                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,413. |
| HELPING HANDS MIAMI CO<br>65 S MIAMI ST PERU, IN                              | NONE<br>TO FUND OPERATIONS | PUBLIC | 4,000. |
| HIGHLANDS UNITED METHODIST CH<br>1045 S 20TH ST BIRMINGHAM, AL                | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| HISTORICAL LANDMARKS FND OF IND<br>DEPT 22A - PO BOX 6069<br>INDIANAPOLIS, IN | NONE<br>TO FUND OPERATIONS | PUBLIC | 400.   |
| HOPE HAVEN HORSE FARM<br>10570 E HWY 40 COATSVILLE, IN                        | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| HOSPICE OF NAPLES<br>1095 WIPPOORWILL LN NAPLES, FL                           | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.   |
| INDPLS SYMPHONY ORCHESTRA<br>45 MONUMENT CIRCLE<br>INDIANAPOLIS, IN           | NONE<br>TO FUND OPERATIONS | PUBLIC | 700.   |
| INTERN NEUROPATHY ASSOC<br>60E 420 ST #942 NEW YORK, NY                       | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |

## JAMES O &amp; ALICE F COLE FOUNDATION

35-1989581

|                                                                       |                            |        |         |
|-----------------------------------------------------------------------|----------------------------|--------|---------|
| INTNL KIDS FUND<br>PO BOX 2020 MIAMI, FL                              | NONE<br>TO FUND OPERATIONS | PUBLIC | 300.    |
| IVY TECH COLLEGE PERU<br>1815 E MORGAN ST KOKOMO, IN                  | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.    |
| JEFFERSON ALCOHOLIC FNDN<br>2012 14TH AVE SO BIRMINGHAM, AL           | NONE<br>TO FUND OPERATIONS | PUBLIC | 2,500.  |
| JOHN XXIII CENTER<br>10 W MARKET ST #1990<br>INDIANAPOLIS, IN         | NONE<br>TO FUND OPERATIONS | PUBLIC | 25,413. |
| KOKOMO RESCUE MISSION<br>4765 E CO RD 200S KOKOMO, IN<br>46902        | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.    |
| LITTLE SISTERS OF THE POOR<br>2345 W 86TH ST INDIANAPOLIS, IN         | NONE<br>TO FUND OPERATIONS | PUBLIC | 400.    |
| MACONAQUAH MUSIC BOOSTERS<br>MACONAQUAH HIGH SCHOOL PERU, IN          | NONE<br>TO FUND OPERATIONS | PUBLIC | 800.    |
| MAKE A WISH FOUNDATION<br>P O BOX 29119 PHOENIX, AZ                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.    |
| MAVERICK HOUSE<br>2922 SANTA BARBARA BLVD GOLDEN<br>GATE, FL          | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.    |
| M D ANDERSON CANCER CENTER<br>1515 HOLCOMBE BLVD HOUSTON, TX<br>77030 | NONE<br>TO FUND OPERATIONS | PUBLIC | 400.    |

## JAMES O &amp; ALICE F COLE FOUNDATION

35-1989581

|                                                                      |                            |        |        |
|----------------------------------------------------------------------|----------------------------|--------|--------|
| MIAMI COUNTY CANCER FUND<br>2723 S ALBRIGHT RD KOKOMO, IN            | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| MIAMI COUNTY YMCA<br>34 E 5TH ST PERU, IN                            | NONE<br>TO FUND OPERATIONS | PUBLIC | 900.   |
| NAPLES RED CROSS<br>2610 NORTHBROOKE PLAZA DR<br>NAPLES, IN          | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.   |
| NWSCC FOUNDATION<br>P O BOX 2545 MUSCLE SHOALS, AL                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 5,000. |
| PATHWAYS<br>409 21ST ST BIRMINGHAM, AL                               | NONE<br>TO FUND OPERATIONS | PUBLIC | 2,500. |
| PROJECT HOPE<br>255 CARTER HALL LN MILTWOOD, VA                      | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.   |
| PURDUE-KOKOMO (RENARD<br>SCHOLARSHIP)<br>PO BOX 9003 KOKOMO, IN      | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,500. |
| RILEY MEMORIAL HOSPITAL<br>50 S MERIDIAN ST #500<br>INDIANAPOLIS, IN | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| RONALD MCDONALD HOUSE<br>435 LIMESTONE ST INDIANAPOLIS,<br>IN        | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| SALVATION ARMY<br>84 W 2ND ST PERU, IN                               | NONE<br>TO FUND OPERATIONS | PUBLIC | 800.   |

## JAMES O &amp; ALICE F COLE FOUNDATION

35-1989581

|                                                                            |                            |        |        |
|----------------------------------------------------------------------------|----------------------------|--------|--------|
| SERV LEARNING CTR AT BSC<br>900 ARKADELPHIA RD BIRMINGHAM,<br>AL           | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| SISTERS OF PROVIDENCE<br>1 SISTER PROVIDENCE ST MARY OF<br>THE WOODS I, IN | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| SONGS OF LOVE FNDN<br>PO BOX 750809 FOREST HILLS, NY<br>11375              | NONE<br>TO FUND OPERATIONS | PUBLIC | 300.   |
| SOUP KITCHEN OF PROVINCETOWN<br>PO BOX 538 PROVINCETOWN, MA                | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |
| ST CHARLES CHURCH<br>58 W 5TH ST PERU IN, IN                               | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,500. |
| ST FRANCIS SCHOOL<br>215 W WILSON AVE GULLUP, NM                           | NONE<br>TO FUND OPERATIONS | PUBLIC | 600.   |
| ST THOMAS MORE SCHOOL<br>11822 HOLMES RD KANSAS CITY, MO                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 800.   |
| ST VINCENT DEPAUL<br>62 W 3RD ST PERU, IN                                  | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,000. |
| ST. ANNE'S HOME<br>2772 HANOVER CIR SO BIRMINGHAM,<br>AL                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,500. |
| ST. VINCET FDND<br>8402 HARCOURT RD #210<br>INDIANAPOLIS, IN               | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.   |



JAMES O & ALICE F COLE FOUNDATION

35-1989581

|                                                                     |                            |        |                |
|---------------------------------------------------------------------|----------------------------|--------|----------------|
| THE SMILE TRAIN<br>245 5TH AVE #2201 NEW YORK, NY                   | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.           |
| UNICEF<br>333 E 38TH ST NEW YORK NY, NY                             | NONE<br>TO FUND OPERATIONS | PUBLIC | 1,500.         |
| UNITED NATIONS FNDN<br>1800 MASS AVE #400 WASHINGTON<br>DC, DC      | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.           |
| VINEYARD COMM CHURCH<br>541 17TH ST TUSCALOOSA, AL                  | NONE<br>TO FUND OPERATIONS | PUBLIC | 5,000.         |
| WHITEWATER VALLEY UNITED WAY<br>33 SO SEVENTH ST #5 RICHMOND,<br>IN | NONE<br>TO FUND OPERATIONS | PUBLIC | 500.           |
| TOTAL TO FORM 990-PF, PART XV, LINE 3A                              |                            |        | <u>88,176.</u> |

## Realized Gain/Loss

Page 61 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

## Realized Gain/Loss Detail for Year

| Short Term<br>DESCRIPTION | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| AETNA INC(NEW)            | 66.0000  | 24.2281                  | 10/31/08         | 05/29/09   | 1,770.69 | 1,599.06               | 171.63    |
|                           | 48.0000  | 24.2281                  | 10/31/08         | 06/01/09   | 1,315.62 | 1,162.95               | 152.67    |
|                           | 66.0000  | 24.2281                  | 10/31/08         | 06/02/09   | 1,826.22 | 1,599.06               | 227.16    |
|                           | 79.0000  | 24.2281                  | 10/31/08         | 06/03/09   | 2,004.14 | 1,914.01               | 90.13     |
| AGILENT TECH INC          | 5.0000   | 23.7877                  | 08/10/09         | 10/06/09   | 137.00   | 118.94                 | 18.06     |
|                           | 19.0000  | 23.7877                  | 08/10/09         | 12/07/09   | 567.49   | 451.96                 | 115.53    |
|                           | 6.0000   | 23.4979                  | 08/17/09         | 12/07/09   | 179.22   | 140.99                 | 38.23     |
|                           | 12.0000  | 25.2826                  | 09/03/09         | 12/23/09   | 362.46   | 303.39                 | 59.07     |
|                           | 11.0000  | 25.0099                  | 09/03/09         | 12/23/09   | 332.26   | 275.11                 | 57.15     |
|                           | 8.0000   | 27.7292                  | 09/10/09         | 12/23/09   | 241.65   | 221.84                 | 19.81     |
| ANALOG DEVICES INC        | 5.0000   | 23.9441                  | 05/20/09         | 10/06/09   | 134.45   | 119.72                 | 14.73     |
| APPLE INC                 | 2.0000   | 175.7399                 | 06/25/08         | 01/20/09   | 158.03   | 351.48                 | -193.45   |
|                           | 6.0000   | 172.0813                 | 07/16/08         | 01/20/09   | 474.12   | 1,032.49               | -558.37   |
|                           | 4.0000   | 177.9313                 | 08/12/08         | 01/20/09   | 316.08   | 711.73                 | -395.65   |
|                           | 4.0000   | 89.5501                  | 10/09/08         | 01/20/09   | 316.08   | 358.20                 | -42.12    |
|                           | 2.0000   | 99.0299                  | 10/17/08         | 01/20/09   | 158.04   | 198.06                 | -40.02    |
|                           | 4.0000   | 99.0299                  | 10/17/08         | 09/18/09   | 740.35   | 396.12                 | 344.23    |
|                           | 3.0000   | 101.9266                 | 11/06/08         | 09/18/09   | 555.27   | 305.78                 | 249.49    |
|                           | 2.0000   | 101.9266                 | 11/06/08         | 10/06/09   | 378.44   | 203.86                 | 174.58    |
| APPLIED MATERIALS INC     | 25.0000  | 11.5095                  | 01/06/09         | 09/11/09   | 342.75   | 287.74                 | 55.01     |
|                           | 23.0000  | 11.5095                  | 01/06/09         | 09/11/09   | 310.39   | 264.72                 | 45.67     |
|                           | 5.0000   | 11.5095                  | 01/06/09         | 09/14/09   | 66.10    | 57.54                  | 8.56      |
|                           | 18.0000  | 10.8441                  | 01/07/09         | 09/14/09   | 237.96   | 195.20                 | 42.76     |

001 / PIND / DP52



As of Date: 2/05/10

# Realized Gain/Loss



Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term<br>DESCRIPTION | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| BAIDU INC ADR             |           | 10.0000  | 10.8441                  | 01/07/09         | 09/15/09   | 133.33   | 108.43                 | 24.90     |
|                           |           | 15.0000  | 10.3611                  | 02/09/09         | 09/15/09   | 200.00   | 155.42                 | 44.58     |
|                           |           | 24.0000  | 10.3611                  | 02/09/09         | 09/16/09   | 319.68   | 248.67                 | 71.01     |
|                           |           | 14.0000  | 10.3611                  | 02/09/09         | 09/16/09   | 186.90   | 145.05                 | 41.85     |
|                           |           | 10.0000  | 10.5663                  | 03/16/09         | 09/16/09   | 133.50   | 105.67                 | 27.83     |
|                           |           | 25.0000  | 10.5663                  | 03/16/09         | 09/17/09   | 329.25   | 264.16                 | 65.09     |
|                           |           | 13.0000  | 10.5663                  | 03/16/09         | 09/18/09   | 169.91   | 137.35                 | 32.56     |
|                           |           | 9.0000   | 10.9114                  | 03/25/09         | 09/18/09   | 117.63   | 98.21                  | 19.42     |
|                           |           | 26.0000  | 10.9114                  | 03/25/09         | 09/21/09   | 327.87   | 283.69                 | 44.18     |
|                           |           | 1.0000   | 11.5300                  | 04/02/09         | 09/21/09   | 12.62    | 11.53                  | 1.09      |
|                           |           | 11.0000  | 11.5300                  | 04/02/09         | 09/21/09   | 139.48   | 126.83                 | 12.65     |
|                           |           | 15.0000  | 11.5976                  | 04/03/09         | 09/21/09   | 190.22   | 173.96                 | 16.26     |
|                           |           | 2.0000   | 199.9687                 | 04/14/09         | 08/14/09   | 680.00   | 399.94                 | 280.06    |
|                           |           | 1.0000   | 199.9687                 | 04/14/09         | 10/13/09   | 407.40   | 199.97                 | 207.43    |
|                           |           | 1.0000   | 204.0569                 | 04/16/09         | 10/13/09   | 407.41   | 204.06                 | 203.35    |
| BANK OF AMERICA CORP      |           | 1.0000   | 204.0569                 | 04/16/09         | 10/13/09   | 407.75   | 204.05                 | 203.70    |
|                           |           | 15.0000  | 11.1594                  | 05/22/09         | 08/04/09   | 234.00   | 167.40                 | 66.60     |
|                           |           | 15.0000  | 11.1594                  | 05/22/09         | 08/04/09   | 234.00   | 167.40                 | 66.60     |
|                           |           | 14.0000  | 11.1594                  | 05/22/09         | 08/07/09   | 238.70   | 156.23                 | 82.47     |
|                           |           | 14.0000  | 11.1594                  | 05/22/09         | 08/07/09   | 238.00   | 156.23                 | 81.77     |
|                           |           | 32.0000  | 11.1594                  | 05/22/09         | 08/13/09   | 544.00   | 357.09                 | 186.91    |
|                           |           | 23.0000  | 11.1959                  | 05/29/09         | 08/13/09   | 391.00   | 257.51                 | 133.49    |
|                           |           | 14.0000  | 11.1959                  | 05/29/09         | 08/17/09   | 235.42   | 156.74                 | 78.68     |
|                           |           | 54.0000  | 12.0300                  | 06/05/09         | 08/17/09   | 908.07   | 649.62                 | 258.45    |
|                           |           |          |                          |                  |            |          |                        |           |

## Realized Gain/Loss

As of Date: 2/05/10

Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term Description   | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| CADBURY SCHWEPES ADR     |           | 7.0000   | 35.1000                  | 12/23/08         | 11/03/09   | 355.77   | 245.70                 | 110.07    |
|                          |           | 20.0000  | 35.2136                  | 12/29/08         | 11/03/09   | 1,016.52 | 704.27                 | 312.25    |
|                          |           | 3.0000   | 35.2136                  | 12/29/08         | 11/04/09   | 151.26   | 105.64                 | 45.62     |
|                          |           | 12.0000  | 36.9799                  | 01/07/09         | 11/04/09   | 605.04   | 443.76                 | 161.28    |
|                          |           | 9.0000   | 30.9997                  | 01/23/09         | 11/04/09   | 453.79   | 279.00                 | 174.79    |
|                          |           | 12.0000  | 29.0483                  | 03/10/09         | 11/05/09   | 601.13   | 348.58                 | 252.55    |
|                          |           | 7.0000   | 30.0250                  | 03/18/09         | 11/05/09   | 351.60   | 210.18                 | 141.42    |
|                          |           | 3.0000   | 35.1338                  | 06/10/09         | 11/05/09   | 150.69   | 105.41                 | 45.28     |
|                          |           | 12.0000  | 35.1338                  | 06/10/09         | 12/09/09   | 608.88   | 421.61                 | 187.27    |
|                          |           | 11.0000  | 35.1338                  | 06/10/09         | 12/09/09   | 558.91   | 386.46                 | 172.45    |
| COLGATE-PALMOLIVE CO     |           | 9.0000   | 79.6944                  | 09/12/08         | 04/01/09   | 519.44   | 717.25                 | -197.81   |
|                          |           | 14.0000  | 79.6944                  | 09/12/08         | 04/02/09   | 858.42   | 1,115.72               | -257.30   |
|                          |           | 5.0000   | 79.6944                  | 09/12/08         | 04/15/09   | 289.12   | 398.47                 | -109.35   |
|                          |           | 6.0000   | 73.2727                  | 10/07/08         | 04/15/09   | 346.95   | 439.64                 | -92.69    |
|                          |           | 25.0000  | 73.2727                  | 10/07/08         | 04/27/09   | 1,501.65 | 1,831.82               | -330.17   |
|                          |           | 25.0000  | 73.2727                  | 10/07/08         | 04/28/09   | 1,483.02 | 1,831.81               | -348.79   |
| DELL INC                 |           | 22.0000  | 13.2352                  | 07/21/09         | 10/06/09   | 336.82   | 291.18                 | 45.64     |
| EOG RESOURCES INC        |           | 5.0000   | -59.2831                 | 10/10/08         | 10/06/09   | 419.41   | 296.42                 | 122.99    |
| EXPEDITORS INTL WASH INC |           | 10.0000  | 35.1361                  | 08/12/08         | 04/27/09   | 326.95   | 351.36                 | -24.41    |
|                          |           | 22.0000  | 36.7017                  | 08/15/08         | 04/27/09   | 719.29   | 807.44                 | -88.15    |
|                          |           | 10.0000  | 35.4381                  | 08/19/08         | 04/27/09   | 326.95   | 354.39                 | -27.44    |
|                          |           | 29.0000  | 35.4381                  | 08/19/08         | 04/29/09   | 957.36   | 1,027.70               | -70.34    |
| FIRST SOLAR INC          |           | 3.0000   | 136.7203                 | 04/06/09         | 08/04/09   | 444.35   | 410.16                 | 34.19     |
|                          |           | 1.0000   | 135.6546                 | 04/06/09         | 08/04/09   | 148.12   | 135.65                 | 12.47     |

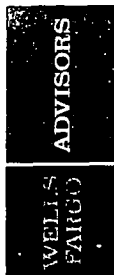
001 / PIND / DP52



## Realized Gain/Loss

Page 64 of 89

As of Date: 2/05/10



Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term<br>DESCRIPTION | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
|                           |           | 3.0000   | 134.2100                 | 04/07/09         | 08/24/09   | 369.60   | 402.63                 | -33.03    |
|                           |           | 1.0000   | 146.4416                 | 04/16/09         | 08/24/09   | 123.20   | 146.44                 | -23.24    |
|                           |           | 1.0000   | 146.4416                 | 04/16/09         | 08/24/09   | 125.46   | 146.44                 | -20.98    |
|                           |           | 1.0000   | 180.5364                 | 05/01/09         | 08/24/09   | 125.47   | 180.54                 | -55.07    |
|                           |           | 1.0000   | 180.5364                 | 05/01/09         | 08/25/09   | 125.55   | 180.53                 | -54.98    |
|                           |           | 4.0000   | 198.8375                 | 05/06/09         | 08/25/09   | 502.22   | 795.35                 | -293.13   |
|                           |           | 1.0000   | 194.2536                 | 05/11/09         | 08/25/09   | 127.66   | 194.25                 | -66.59    |
|                           |           | 1.0000   | 194.8145                 | 05/11/09         | 08/25/09   | 127.66   | 194.81                 | -67.15    |
|                           |           | 1.0000   | 204.3802                 | 05/20/09         | 08/25/09   | 127.67   | 204.38                 | -76.71    |
|                           |           | 1.0000   | 204.3802                 | 05/20/09         | 08/27/09   | 124.63   | 204.38                 | -79.75    |
|                           |           | 2.0000   | 195.9700                 | 05/22/09         | 08/27/09   | 249.27   | 391.94                 | -142.67   |
|                           |           | 2.0000   | 195.9700                 | 05/22/09         | 08/27/09   | 250.35   | 391.94                 | -141.59   |
| GENENTECH INC             | XXX       | 11.0000  | 74.0023                  | 06/09/08         | 03/26/09   | 1,045.00 | 814.03                 | 230.97    |
|                           |           | 4.0000   | 79.7462                  | 10/29/08         | 03/26/09   | 380.00   | 318.98                 | 61.02     |
| GENZYME CORPORATION       |           | 10.0000  | 72.8355                  | 11/03/08         | 03/03/09   | 531.03   | 728.36                 | -197.33   |
|                           |           | 9.0000   | 71.6815                  | 11/05/08         | 03/03/09   | 477.94   | 645.13                 | -167.19   |
| GOLDMAN SACHS GROUP INC   |           | 8.0000   | 105.3808                 | 09/17/08         | 06/29/09   | 1,176.58 | 843.04                 | 333.54    |
|                           |           | 23.0000  | 77.9103                  | 11/07/08         | 06/29/09   | 3,382.70 | 1,791.94               | 1,590.76  |
|                           |           | 5.0000   | 77.9103                  | 11/07/08         | 10/06/09   | 938.65   | 389.56                 | 549.09    |
| HEWLETT-PACKARD COMPANY   |           | 8.0000   | 35.1881                  | 12/29/08         | 03/02/09   | 227.96   | 281.51                 | -53.55    |
|                           |           | 17.0000  | 35.1881                  | 12/29/08         | 03/03/09   | 480.00   | 598.20                 | -118.20   |
|                           |           | 5.0000   | 35.1881                  | 12/29/08         | 06/09/09   | 183.71   | 175.95                 | 7.76      |
|                           |           | 5.0000   | 35.1881                  | 12/29/08         | 06/09/09   | 184.55   | 175.94                 | 8.61      |
| HOME DEPOT INC            |           | 44.0000  | 19.6626                  | 03/11/09         | 06/05/09   | 1,062.98 | 865.15                 | 197.83    |

001 / PIND / DP52

# Realized Gain/Loss

77,824

Page 65 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term<br>DESCRIPTION | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | ACQUIRED DATE | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|----------|--------------------------|---------------|------------|----------|------------------------|-----------|
| ILLUMINA INC              |           | 39.0000  | 24.3669                  | 05/20/09      | 06/05/09   | 942.19   | 950.31                 | -8.12     |
|                           |           | 6.0000   | 39.1904                  | 09/24/09      | 11/20/09   | 166.86   | 235.14                 | -68.28    |
|                           |           | 4.0000   | 43.6607                  | 10/06/09      | 11/20/09   | 111.25   | 174.64                 | -63.39    |
|                           |           | 2.0000   | 43.2530                  | 10/15/09      | 11/20/09   | 55.62    | 86.51                  | -30.89    |
|                           |           | 2.0000   | 43.5964                  | 10/16/09      | 11/20/09   | 55.62    | 87.19                  | -31.57    |
|                           |           | 4.0000   | 42.4255                  | 10/20/09      | 11/20/09   | 111.26   | 169.71                 | -58.45    |
|                           |           | 1.0000   | 42.4255                  | 10/20/09      | 11/20/09   | 27.28    | 42.42                  | -15.14    |
|                           |           | 4.0000   | 41.8670                  | 10/21/09      | 11/20/09   | 109.14   | 167.47                 | -58.33    |
|                           |           | 4.0000   | 41.9663                  | 10/26/09      | 11/20/09   | 109.15   | 167.87                 | -58.72    |
|                           |           | 2.0000   | 40.9684                  | 10/26/09      | 11/20/09   | 54.57    | 81.94                  | -27.37    |
|                           |           | 3.0000   | 32.8441                  | 11/09/09      | 11/20/09   | 81.87    | 98.53                  | -16.66    |
|                           |           | 2.0000   | 32.9311                  | 11/12/09      | 11/20/09   | 54.58    | 65.86                  | -11.28    |
| INTEL CORP                |           | 64.0000  | 14.8921                  | 02/09/09      | 07/23/09   | 1,242.24 | 953.09                 | 289.15    |
|                           |           | 15.0000  | 12.6800                  | 02/25/09      | 07/23/09   | 291.15   | 190.20                 | 100.95    |
|                           |           | 2.0000   | 12.6800                  | 02/25/09      | 09/03/09   | 38.68    | 25.36                  | 13.32     |
|                           |           | 14.0000  | 12.8362                  | 02/26/09      | 09/03/09   | 270.76   | 179.71                 | 91.05     |
|                           |           | 2.0000   | 12.7100                  | 03/02/09      | 09/03/09   | 38.68    | 25.42                  | 13.26     |
|                           |           | 17.0000  | 12.7100                  | 03/02/09      | 09/03/09   | 328.78   | 216.07                 | 112.71    |
|                           |           | 8.0000   | 12.7100                  | 03/02/09      | 09/04/09   | 156.72   | 101.68                 | 55.04     |
|                           |           | 9.0000   | 14.4272                  | 03/16/09      | 09/04/09   | 176.31   | 129.85                 | 46.46     |
|                           |           | 15.0000  | 14.4272                  | 03/16/09      | 09/04/09   | 290.40   | 216.41                 | 73.99     |
|                           |           | 23.0000  | 14.4272                  | 03/16/09      | 09/10/09   | 452.18   | 331.82                 | 120.36    |
|                           |           | 11.0000  | 14.9407                  | 03/19/09      | 09/10/09   | 216.26   | 164.35                 | 51.91     |
|                           |           | 10.0000  | 15.4800                  | 03/25/09      | 09/10/09   | 196.61   | 154.80                 | 41.81     |

001 / PIND / DP52



## Realized Gain/Loss



Page 66 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Short Term<br>DESCRIPTION              | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|----------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| INTERNATIONAL BUSINESS<br>MACHINE CORP |           | 10.0000  | 15.6811                  | 03/26/09         | 09/10/09   | 196.61   | 156.82                 | 39.79     |
|                                        |           | 9.0000   | 15.6811                  | 03/26/09         | 09/11/09   | 175.23   | 141.12                 | 34.11     |
|                                        |           | 7.0000   | 15.5468                  | 05/01/09         | 09/11/09   | 136.29   | 108.83                 | 27.46     |
|                                        |           | 11.0000  | 15.5468                  | 05/01/09         | 09/11/09   | 213.73   | 171.02                 | 42.71     |
|                                        |           | 5.0000   | 15.5468                  | 05/01/09         | 09/14/09   | 96.78    | 77.73                  | 19.05     |
|                                        |           | 76.0000  | 15.6647                  | 05/07/09         | 09/14/09   | 1,471.20 | 1,190.52               | 280.68    |
| JOHNSON CONTROLS INC                   |           | 2.0000   | 90.7397                  | 10/30/08         | 10/06/09   | 242.78   | 181.48                 | 61.30     |
|                                        |           | 8.0000   | 90.7397                  | 10/30/08         | 10/20/09   | 976.50   | 725.92                 | 250.58    |
|                                        |           | 6.0000   | 87.2554                  | 11/06/08         | 10/20/09   | 732.38   | 523.54                 | 208.84    |
|                                        |           | 6.0000   | 87.2554                  | 11/06/08         | 10/20/09   | 734.37   | 523.53                 | 210.84    |
|                                        |           | 6.0000   | 87.9881                  | 01/23/09         | 10/20/09   | 734.38   | 527.93                 | 206.45    |
|                                        |           | 21.0000  | 20.5000                  | 07/06/09         | 10/26/09   | 565.25   | 430.50                 | 134.75    |
| KIMBERLY-CLARK CORP                    |           | 11.0000  | 19.7921                  | 07/08/09         | 10/26/09   | 296.09   | 217.71                 | 78.38     |
|                                        |           | 1.0000   | 24.5815                  | 09/01/09         | 10/26/09   | 26.92    | 24.59                  | 2.33      |
|                                        |           | 16.0000  | 24.5815                  | 09/01/09         | 10/30/09   | 394.74   | 393.31                 | 1.43      |
|                                        |           | 15.0000  | 24.5815                  | 09/01/09         | 10/30/09   | 357.16   | 368.71                 | -11.55    |
|                                        |           | 5.0000   | 51.7127                  | 05/13/09         | 10/06/09   | 293.90   | 258.57                 | 35.33     |
|                                        |           | 60.0000  | 51.7127                  | 05/13/09         | 11/10/09   | 3,857.14 | 3,102.76               | 754.38    |
| KLA-TENCOR CORP                        |           | 67.0000  | 52.4170                  | 06/09/09         | 11/10/09   | 4,307.16 | 3,511.94               | 795.22    |
|                                        |           | 29.0000  | 21.7300                  | 04/02/09         | 06/11/09   | 772.04   | 630.17                 | 141.87    |
|                                        |           | 2.0000   | 21.7300                  | 04/02/09         | 06/11/09   | 52.92    | 43.46                  | 9.46      |
|                                        |           | 15.0000  | 23.0240                  | 04/03/09         | 06/11/09   | 396.90   | 345.36                 | 51.54     |
|                                        |           | 15.0000  | 23.0240                  | 04/03/09         | 06/11/09   | 400.66   | 345.36                 | 55.30     |
|                                        |           |          |                          |                  |            |          |                        |           |

001 / PIND / DP52

## Realized Gain/Loss

As of Date: 2/05/10

Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term             | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| DESCRIPTION            |           |          |                          |                  |            |          |                        |           |
| KOHL'S CORP            |           | 3.0000   | 36.5000                  | 01/12/09         | 10/06/09   | 171.87   | 109.50                 | 62.37     |
|                        |           | 4.0000   | 36.5000                  | 01/12/09         | 11/12/09   | 220.03   | 146.00                 | 74.03     |
|                        |           | 7.0000   | 38.0000                  | 02/05/09         | 11/12/09   | 385.07   | 266.00                 | 119.07    |
| LAZARD LTD             |           | 18.0000  | 28.3970                  | 04/29/09         | 08/04/09   | 678.67   | 511.15                 | 167.52    |
|                        |           | 1.0000   | 28.3970                  | 04/29/09         | 08/04/09   | 37.71    | 28.40                  | 9.31      |
|                        |           | 3.0000   | 28.3970                  | 04/29/09         | 10/06/09   | 126.81   | 85.20                  | 41.61     |
|                        |           | 13.0000  | 28.3970                  | 04/29/09         | 10/26/09   | 519.35   | 369.15                 | 150.20    |
|                        |           | 11.0000  | 28.5528                  | 04/30/09         | 10/26/09   | 446.13   | 314.08                 | 132.05    |
| LOCKHEED MARTIN CORP   |           | 6.0000   | 111.3456                 | 08/13/08         | 03/24/09   | 413.03   | 668.07                 | -255.04   |
|                        |           | 5.0000   | 112.9335                 | 08/14/08         | 03/24/09   | 344.19   | 564.67                 | -220.48   |
|                        |           | 3.0000   | 112.9989                 | 09/05/08         | 03/24/09   | 206.52   | 339.00                 | -132.48   |
|                        |           | 2.0000   | 112.9989                 | 09/05/08         | 07/29/09   | 149.64   | 225.99                 | -76.35    |
|                        |           | 3.0000   | 101.0950                 | 10/07/08         | 07/29/09   | 224.46   | 303.29                 | -78.83    |
|                        |           | 4.0000   | 101.0950                 | 10/07/08         | 07/30/09   | 301.71   | 404.38                 | -102.67   |
|                        |           | 2.0000   | 101.0950                 | 10/07/08         | 08/12/09   | 152.10   | 202.19                 | -50.09    |
|                        |           | 6.0000   | 96.9988                  | 10/09/08         | 08/12/09   | 456.31   | 582.00                 | -125.69   |
|                        |           | 5.0000   | 96.9988                  | 10/09/08         | 08/12/09   | 380.55   | 484.99                 | -104.44   |
|                        |           | 3.0000   | 72.7840                  | 04/09/09         | 08/12/09   | 228.33   | 218.36                 | 9.97      |
|                        |           | 8.0000   | 72.7840                  | 04/09/09         | 08/20/09   | 593.54   | 582.27                 | 11.27     |
|                        |           | 6.0000   | 72.7840                  | 04/09/09         | 08/24/09   | 447.13   | 436.70                 | 10.43     |
| MARRIOTT INTL INC NEW  |           |          |                          |                  |            |          |                        |           |
| CL A                   |           | 0.3546   | 0.0000                   | 12/03/09         | 12/03/09   | 9.14     | 0.00                   | 0.00      |
| MASTERCARD INC CL A    |           | 1.0000   | 156.9620                 | 02/06/09         | 10/06/09   | 201.97   | 156.97                 | 45.00     |
| MEDCO HEALTH SOLUTIONS |           |          |                          |                  |            |          |                        |           |
| JNC                    |           | 15.0000  | 48.4592                  | 05/30/08         | 05/22/09   | 666.78   | 726.89                 | -60.11    |

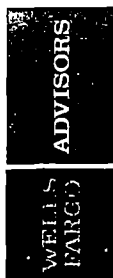
001 / PIND / DP52





As of Date: 2/05/10

## Realized Gain/Loss



Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSPEQ

[illegible]

As of Date: 2/05/10

## Realized Gain/Loss

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Short Term<br>DESCRIPTION                     | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-----------------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
|                                               |           | 7.0000   | 49.0334                  | 04/27/09         | 10/26/09   | 429.42   | 343.23                 | 86.19     |
|                                               |           | 10.0000  | 49.0334                  | 04/27/09         | 12/10/09   | 625.13   | 490.33                 | 134.80    |
|                                               |           | 4.0000   | 49.0334                  | 04/27/09         | 12/10/09   | 248.07   | 196.13                 | 51.94     |
|                                               |           | 3.0000   | 50.0000                  | 04/28/09         | 12/10/09   | 186.06   | 150.00                 | 36.06     |
|                                               |           | 1.0000   | 50.3927                  | 04/29/09         | 12/10/09   | 62.02    | 50.40                  | 11.62     |
|                                               |           | 8.0000   | 50.3927                  | 04/29/09         | 12/16/09   | 488.51   | 403.14                 | 85.37     |
|                                               |           | 17.0000  | 50.3927                  | 04/29/09         | 12/17/09   | 1,024.24 | 856.67                 | 167.57    |
|                                               |           | 19.0000  | 54.7464                  | 06/05/09         | 12/17/09   | 1,144.74 | 1,040.18               | 104.56    |
|                                               |           | 8.0000   | 54.7464                  | 06/05/09         | 12/17/09   | 482.09   | 437.97                 | 44.12     |
|                                               |           | 7.0000   | 62.5500                  | 11/11/09         | 12/17/09   | 421.83   | 437.85                 | -16.02    |
|                                               |           | 7.0000   | 62.4372                  | 11/12/09         | 12/17/09   | 421.84   | 437.06                 | -15.22    |
|                                               |           | 7.0000   | 61.9471                  | 11/12/09         | 12/17/09   | 421.84   | 433.63                 | -11.79    |
|                                               |           | 6.0000   | 61.6492                  | 11/12/09         | 12/17/09   | 361.58   | 369.90                 | -8.32     |
| PETROLEO BRASILEIRO -<br>SPON ADR - PETROBRAS |           | 8.0000   | 35.6489                  | 04/09/09         | 10/30/09   | 366.25   | 285.20                 | 81.05     |
|                                               |           | 11.0000  | 35.6489                  | 04/09/09         | 12/14/09   | 532.52   | 392.14                 | 140.38    |
|                                               |           | 9.0000   | 35.6489                  | 04/09/09         | 12/23/09   | 425.25   | 320.83                 | 104.42    |
|                                               |           | 11.0000  | 34.7301                  | 04/16/09         | 12/23/09   | 519.75   | 382.03                 | 137.72    |
|                                               |           | 11.0000  | 31.9335                  | 04/28/09         | 12/23/09   | 519.75   | 351.27                 | 168.48    |
|                                               |           | 8.0000   | 34.5837                  | 04/30/09         | 12/23/09   | 378.00   | 276.67                 | 101.33    |
|                                               |           | 9.0000   | 42.2591                  | 06/08/09         | 12/23/09   | 425.25   | 380.33                 | 44.92     |
|                                               |           | 9.0000   | 42.6392                  | 06/08/09         | 12/23/09   | 425.25   | 383.75                 | 41.50     |
|                                               |           | 5.0000   | 42.8780                  | 09/08/09         | 12/23/09   | 236.25   | 214.39                 | 21.86     |
|                                               |           | 3.0000   | 42.8900                  | 09/08/09         | 12/23/09   | 141.75   | 128.67                 | 13.08     |



77,829

Page 70 of 89

**As of Date: 2/05/10**

Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSPEQ

| Short Term                |          |                          |                  |            |          |                        |
|---------------------------|----------|--------------------------|------------------|------------|----------|------------------------|
| DESCRIPTION               | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST |
| RESEARCH IN MOTION<br>LTD |          |                          |                  |            |          | GAIN/LOSS              |
|                           | 3.0000   | 58.8934                  | 10/09/08         | 05/22/09   | 216.71   | 176.69                 |
|                           | 5.0000   | 58.8934                  | 10/09/08         | 05/29/09   | 393.67   | 294.46                 |
|                           | 7.0000   | 52.6796                  | 11/03/08         | 05/29/09   | 551.14   | 368.76                 |
|                           | 7.0000   | 52.6796                  | 11/03/08         | 09/18/09   | 584.71   | 368.75                 |
|                           | 4.0000   | 55.4667                  | 11/04/08         | 09/18/09   | 334.12   | 221.87                 |
|                           | 4.0000   | 55.4667                  | 11/04/08         | 09/18/09   | 334.81   | 221.86                 |
|                           | 5.0000   | 56.6248                  | 11/05/08         | 09/18/09   | 418.52   | 283.13                 |
|                           | 4.0000   | 56.6248                  | 11/05/08         | 09/25/09   | 277.04   | 226.49                 |
|                           | 8.0000   | 41.5000                  | 12/01/08         | 09/25/09   | 554.08   | 332.00                 |
|                           | 3.0000   | 41.5000                  | 12/01/08         | 09/25/09   | 206.95   | 124.50                 |
|                           | 7.0000   | 37.0466                  | 12/02/08         | 09/25/09   | 482.91   | 259.33                 |
|                           | 3.0000   | 37.0466                  | 12/02/08         | 09/25/09   | 211.62   | 111.14                 |
|                           | 13.0000  | 38.4901                  | 12/04/08         | 09/30/09   | 886.65   | 500.37                 |
|                           | 22.0000  | 52.1485                  | 01/22/09         | 09/30/09   | 1,500.49 | 1,147.27               |
|                           | 6.0000   | 52.1485                  | 01/22/09         | 09/30/09   | 409.21   | 312.89                 |
| ROCHE HOLDINGS LTD ADR    | 30.0000  | 34.3184                  | 11/25/08         | 06/08/09   | 973.43   | 1,029.55               |
|                           | 2.0000   | 36.7450                  | 12/23/08         | 06/08/09   | 64.90    | 73.49                  |
|                           | 12.0000  | 36.7450                  | 12/23/08         | 06/10/09   | 394.54   | 440.94                 |
|                           | 7.0000   | 37.9556                  | 01/14/09         | 06/10/09   | 230.15   | 265.69                 |
|                           | 5.0000   | 37.9000                  | 01/15/09         | 06/10/09   | 164.39   | 189.50                 |
|                           | 21.0000  | 30.3260                  | 03/12/09         | 06/10/09   | 690.45   | 636.85                 |
|                           | 21.0000  | 29.6846                  | 04/23/09         | 06/10/09   | 690.47   | 623.38                 |
| SALESFORCE COM            | 3.0000   | 40.8908                  | 06/15/09         | 10/06/09   | 172.38   | 122.68                 |
|                           |          |                          |                  |            |          | 49.70                  |

VT117038 006844 038074211117 NYNNN NNNNNN NNNNNN 000036

## Realized Gain/Loss

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Short Term             | Continued | QUANTITY   | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------------------|-----------|------------|--------------------------|------------------|------------|----------|------------------------|-----------|
| DESCRIPTION            |           |            |                          |                  |            |          |                        |           |
| SANOFI-AVENTIS ADR     |           | 9.0000     | 36.8434                  | 09/18/09         | 10/06/09   | 332.82   | 331.60                 | 1.22      |
| SAP AG-SPONSORED ADR   |           | 3.0000     | 38.6658                  | 04/13/09         | 10/06/09   | 146.40   | 116.00                 | 30.40     |
|                        |           | 15.0000    | 38.6658                  | 04/13/09         | 11/09/09   | 704.42   | 579.99                 | 124.43    |
|                        |           | 7.0000     | 38.6658                  | 04/13/09         | 11/24/09   | 334.99   | 270.66                 | 64.33     |
|                        |           | 4.0000     | 38.8112                  | 04/15/09         | 11/25/09   | 193.68   | 155.24                 | 38.44     |
|                        |           | 2.0000     | 38.4882                  | 04/16/09         | 11/25/09   | 96.85    | 76.98                  | 19.87     |
|                        |           | 3.0000     | 38.4882                  | 04/16/09         | 11/27/09   | 143.69   | 115.46                 | 28.23     |
|                        |           | 9.0000     | 39.2320                  | 04/29/09         | 11/27/09   | 431.10   | 353.09                 | 78.01     |
|                        |           | 9.0000     | 38.6623                  | 04/30/09         | 12/01/09   | 434.81   | 347.96                 | 86.85     |
|                        |           | 4.0000     | 45.2950                  | 07/23/09         | 12/01/09   | 193.25   | 181.18                 | 12.07     |
|                        |           | 3.0000     | 45.2104                  | 07/23/09         | 12/01/09   | 144.94   | 135.63                 | 9.31      |
|                        |           | 1.0000     | 51.4690                  | 09/10/09         | 12/01/09   | 48.32    | 51.47                  | -3.15     |
|                        |           | 5.0000     | 51.4690                  | 09/10/09         | 12/01/09   | 241.33   | 257.34                 | -16.01    |
|                        |           | 4.0000     | 51.4587                  | 09/11/09         | 12/01/09   | 193.08   | 205.84                 | -12.76    |
| SCHERING PLOUGH CORP   |           | 33.0000    | 19.4942                  | 03/10/08         | 03/10/09   | 693.04   | 643.31                 | 49.73     |
|                        |           | 21.0000    | 19.6000                  | 03/11/08         | 03/10/09   | 441.03   | 411.60                 | 29.43     |
| SIRIUS XM RADIO INC    |           | 4,493.0000 | 0.9406                   | 09/22/08         | 02/05/09   | 764.72   | 4,226.12               | -3,461.40 |
| SOUTHWESTERN ENERGY CO |           | 22.0000    | 36.3127                  | 08/19/08         | 05/19/09   | 890.37   | 798.88                 | 91.49     |
| STAPLES INC            |           | 14.0000    | 15.3859                  | 02/23/09         | 07/29/09   | 294.93   | 215.41                 | 79.52     |
|                        |           | 1.0000     | 15.3859                  | 02/23/09         | 07/30/09   | 21.31    | 15.38                  | 5.93      |
|                        |           | 18.0000    | 15.8348                  | 02/25/09         | 07/30/09   | 383.75   | 285.03                 | 98.72     |
|                        |           | 10.0000    | 16.0811                  | 02/27/09         | 07/30/09   | 213.19   | 160.81                 | 52.38     |
|                        |           | 11.0000    | 15.5700                  | 03/02/09         | 07/30/09   | 234.52   | 171.27                 | 63.25     |
| STARBUCKS CORP         |           | 17.0000    | 15.1000                  | 06/04/09         | 07/23/09   | 288.32   | 256.70                 | 31.62     |



## Realized Gain/Loss

Page 72 of 89

As of Date: 2/05/10



Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Short Term<br>DESCRIPTION                             | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-------------------------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| TARGET CORP                                           |           | 19.0000  | 14.8380                  | 06/08/09         | 07/23/09   | 322.24   | 281.93                 | 40.31     |
|                                                       |           | 8.0000   | 14.8380                  | 06/08/09         | 07/23/09   | 137.04   | 118.70                 | 18.34     |
|                                                       |           | 14.0000  | 14.9600                  | 06/10/09         | 07/23/09   | 239.82   | 209.44                 | 30.38     |
|                                                       |           | 13.0000  | 14.7116                  | 06/10/09         | 07/23/09   | 222.69   | 191.25                 | 31.44     |
|                                                       |           | 3.0000   | 47.0489                  | 08/27/09         | 10/06/09   | 143.46   | 141.15                 | 2.31      |
| THERMO FISHER SCIENTIFIC<br>INC                       |           | 5.0000   | 47.0489                  | 08/27/09         | 11/12/09   | 250.91   | 235.24                 | 15.67     |
|                                                       |           | 3.0000   | 47.3000                  | 08/28/09         | 11/12/09   | 150.54   | 141.90                 | 8.64      |
|                                                       |           | 1.0000   | 46.8845                  | 08/31/09         | 11/12/09   | 50.19    | 46.89                  | 3.30      |
|                                                       |           | 8.0000   | 46.8845                  | 08/31/09         | 12/04/09   | 369.05   | 375.08                 | -6.03     |
|                                                       |           | 15.0000  | 43.7901                  | 10/21/08         | 04/28/09   | 498.47   | 656.85                 | -158.38   |
| TICKETMASTER<br>ENTERTAINMENT INC                     |           | 23.0000  | 5.6612                   | 05/01/09         | 10/06/09   | 280.14   | 130.21                 | 149.93    |
|                                                       |           | 106.0000 | 6.7024                   | 12/05/08         | 04/27/09   | 1,079.89 | 710.46                 | 369.43    |
|                                                       |           | 72.0000  | 6.7024                   | 12/05/08         | 04/28/09   | 726.66   | 482.58                 | 244.08    |
|                                                       |           | 149.0000 | 6.7024                   | 12/05/08         | 05/27/09   | 2,062.82 | 998.65                 | 1,064.17  |
|                                                       |           | 81.0000  | 6.7024                   | 12/05/08         | 05/28/09   | 1,108.64 | 542.89                 | 565.75    |
| UNION PACIFIC CORP                                    |           | 83.0000  | 6.9844                   | 12/11/08         | 05/28/09   | 1,136.03 | 579.71                 | 556.32    |
|                                                       |           | 15.0000  | 6.9844                   | 12/11/08         | 10/06/09   | 187.81   | 104.77                 | 83.04     |
|                                                       |           | 15.0000  | 60.0302                  | 11/07/08         | 01/15/09   | 600.27   | 900.45                 | -300.18   |
|                                                       |           | 4.0000   | 52.3500                  | 01/05/09         | 01/15/09   | 160.08   | 209.40                 | -49.32    |
|                                                       |           | 2.0000   | 55.8057                  | 08/11/09         | 10/06/09   | 123.52   | 111.62                 | 11.90     |
| UNITED TECHNOLOGIES CORP<br>UNITEDHEALTH GROUP<br>INC |           | 18.0000  | 25.6678                  | 12/19/08         | 02/24/09   | 439.11   | 462.02                 | -22.91    |
|                                                       |           | 13.0000  | 25.4692                  | 12/22/08         | 02/24/09   | 317.14   | 331.10                 | -13.96    |

001 / PIND / DP52

## Realized Gain/Loss

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Short Term<br>DESCRIPTION           | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
|                                     |           | 16.0000  | 27.0179                  | 01/05/09         | 02/23/09   | 383.84   | 432.29                 | -48.45    |
|                                     |           | 7.0000   | 27.0179                  | 01/05/09         | 02/24/09   | 170.77   | 189.13                 | -18.36    |
|                                     |           | 1.0000   | 27.0179                  | 01/05/09         | 06/05/09   | 27.17    | 27.01                  | 0.16      |
|                                     |           | 216.0000 | 25.3044                  | 01/16/09         | 06/05/09   | 5,870.13 | 5,465.75               | 404.38    |
| VISA INC CLASS A                    |           | 7.0000   | 59.9288                  | 03/19/08         | 01/20/09   | 308.15   | 419.49                 | -111.34   |
|                                     |           | 5.0000   | 66.2810                  | 04/14/08         | 01/20/09   | 220.12   | 331.41                 | -111.29   |
|                                     |           | 23.0000  | 66.2810                  | 04/14/08         | 01/21/09   | 1,003.28 | 1,524.46               | -521.18   |
| WAL-MART STORES INC                 |           | 7.0000   | 56.7500                  | 04/16/08         | 01/23/09   | 337.40   | 397.25                 | -59.85    |
|                                     |           | 12.0000  | 57.5010                  | 04/24/08         | 01/23/09   | 578.42   | 690.02                 | -111.60   |
|                                     |           | 19.0000  | 57.5010                  | 04/24/08         | 01/27/09   | 931.00   | 1,092.52               | -161.52   |
|                                     |           | 7.0000   | 57.5010                  | 04/24/08         | 01/30/09   | 332.40   | 402.51                 | -70.11    |
|                                     |           | 7.0000   | 56.7417                  | 05/28/08         | 05/19/09   | 346.59   | 397.20                 | -50.61    |
|                                     |           | 13.0000  | 57.6871                  | 07/17/08         | 06/08/09   | 659.00   | 749.93                 | -90.93    |
|                                     |           | 6.0000   | 57.6871                  | 07/17/08         | 06/16/09   | 289.70   | 346.12                 | -56.42    |
|                                     |           | 16.0000  | 58.7027                  | 09/24/08         | 06/16/09   | 772.54   | 939.25                 | -166.71   |
|                                     |           | 20.0000  | 58.7027                  | 09/24/08         | 06/16/09   | 968.46   | 1,174.06               | -205.60   |
|                                     |           | 11.0000  | 58.7027                  | 09/24/08         | 07/01/09   | 534.33   | 645.73                 | -111.40   |
|                                     |           | 4.0000   | 58.7027                  | 09/24/08         | 07/01/09   | 193.88   | 234.80                 | -40.92    |
|                                     |           | 6.0000   | 48.8406                  | 02/24/09         | 07/01/09   | 290.84   | 293.05                 | -2.21     |
| WASTE MGMT INC DEL                  |           | 22.0000  | 48.8406                  | 02/24/09         | 07/23/09   | 1,083.34 | 1,074.49               | 8.85      |
| WEATRRFORD INTERNATIONAL<br>LTD REG |           | 10.0000  | 28.0670                  | 06/10/09         | 10/06/09   | 290.40   | 280.68                 | 9.72      |
|                                     |           | 9.0000   | 21.6591                  | 06/10/09         | 10/06/09   | 180.45   | 194.94                 | -14.49    |
|                                     |           | 12.0000  | 21.6591                  | 06/10/09         | 12/07/09   | 196.07   | 259.91                 | -63.84    |

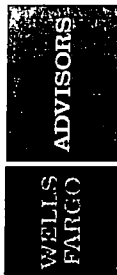
001 / PIND / DP52



## Realized Gain/Loss

Page 74 of 89

As of Date: 2/05/10



Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Short Term<br>DESCRIPTION | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS            | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|----------|--------------------------|------------------|------------|---------------------|------------------------|-----------|
|                           |           | 4.0000   | 21.6591                  | 06/10/09         | 12/11/09   | 64.68               | 86.63                  | -21.95    |
|                           |           | 13.0000  | 22.6175                  | 06/12/09         | 12/11/09   | 210.21              | 294.03                 | -83.82    |
|                           |           | 16.0000  | 22.6175                  | 06/12/09         | 12/11/09   | 255.20              | 361.88                 | -106.68   |
|                           |           | 16.0000  | 22.6175                  | 06/12/09         | 12/14/09   | 258.92              | 361.88                 | -102.96   |
|                           |           | 24.0000  | 22.6175                  | 06/12/09         | 12/21/09   | 421.20              | 542.82                 | -121.62   |
|                           |           | 17.0000  | 19.6747                  | 06/30/09         | 12/21/09   | 298.35              | 334.47                 | -36.12    |
|                           |           | 6.0000   | 19.9862                  | 06/30/09         | 12/21/09   | 105.30              | 119.92                 | -14.62    |
|                           |           | 10.0000  | 19.9862                  | 06/30/09         | 12/21/09   | 175.30              | 199.86                 | -24.56    |
|                           |           | 17.0000  | 22.0500                  | 09/11/09         | 12/21/09   | 298.01              | 374.85                 | -76.84    |
|                           |           | 10.0000  | 21.7380                  | 09/11/09         | 12/21/09   | 175.30              | 217.38                 | -42.08    |
|                           |           | 8.0000   | 21.9000                  | 09/11/09         | 12/21/09   | 140.24              | 175.20                 | -34.96    |
| XL CAPITAL LTD<br>CL A    |           | 254.0000 | 17.3634                  | 07/29/08         | 05/13/09   | 2,243.07            | 4,410.31               | -2,167.24 |
| XTO ENERGY INC            |           | 1.0000   | 54.6700                  | 09/22/08         | 09/03/09   | 36.98               | 54.67                  | -17.69    |
|                           |           | 41.0000  | 37.2290                  | 01/27/09         | 12/14/09   | 1,988.73            | 1,526.39               | 442.34    |
|                           |           | 55.0000  | 31.4370                  | 02/20/09         | 12/14/09   | 2,641.00            | 1,729.04               | 911.96    |
| YUM BRANDS INC            |           | 4.0000   | 34.7535                  | 11/05/09         | 12/03/09   | 141.82              | 139.02                 | 2.80      |
|                           |           | 5.0000   | 34.7535                  | 11/05/09         | 12/04/09   | 170.67              | 173.76                 | -3.09     |
|                           |           | 5.0000   | 35.0000                  | 11/06/09         | 12/04/09   | 170.67              | 175.00                 | -4.33     |
| <b>Total - Short Term</b> |           |          |                          |                  |            | <b>\$150,009.57</b> | <b>\$141,212.12</b>    |           |

001 / PIND / DP52

## Realized Gain/Loss

Page 75 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term<br>DESCRIPTION     | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| ABBOTT LABORATORIES          | 3.0000   | 46.2084                  | 07/19/06         | 01/09/09   | 156.00   | 138.62                 | 17.38     |
|                              | 10.0000  | 53.4969                  | 03/02/07         | 01/09/09   | 520.01   | 534.97                 | -14.96    |
|                              | 12.0000  | 53.4969                  | 03/02/07         | 02/27/09   | 587.75   | 641.97                 | -54.22    |
|                              | 10.0000  | 53.4969                  | 03/02/07         | 03/03/09   | 468.71   | 534.97                 | -66.26    |
|                              | 14.0000  | 53.4969                  | 03/02/07         | 03/18/09   | 686.85   | 748.95                 | -62.10    |
|                              | 5.0000   | 55.0349                  | 06/20/07         | 03/18/09   | 245.31   | 275.18                 | -29.87    |
|                              | 3.0000   | 55.0349                  | 06/20/07         | 10/06/09   | 151.86   | 165.11                 | -13.25    |
| ADOBE SYSTEMS INC            | 7.0000   | 29.3875                  | 05/17/05         | 10/06/09   | 235.69   | 205.72                 | 29.97     |
| ALCON INC                    | 3.0000   | 72.5866                  | 10/12/04         | 03/12/09   | 256.82   | 217.75                 | 39.07     |
|                              | 4.0000   | 115.0000                 | 02/09/06         | 03/12/09   | 342.43   | 460.00                 | -117.57   |
|                              | 5.0000   | 115.0000                 | 02/09/06         | 04/15/09   | 461.83   | 575.00                 | -113.17   |
|                              | 5.0000   | 115.0000                 | 02/09/06         | 05/19/09   | 501.12   | 575.00                 | -73.88    |
|                              | 1.0000   | 99.9990                  | 04/27/06         | 05/19/09   | 100.23   | 100.00                 | 0.23      |
|                              | 1.0000   | 99.9990                  | 04/27/06         | 10/06/09   | 137.58   | 100.00                 | 37.58     |
| AMAZON COM INC               | 6.0000   | 88.2771                  | 11/07/07         | 10/06/09   | 540.30   | 529.66                 | 10.64     |
|                              | 6.0000   | 88.2771                  | 11/07/07         | 12/04/09   | 825.49   | 529.66                 | 295.83    |
|                              | 10.0000  | 79.5317                  | 11/14/07         | 12/04/09   | 1,375.82 | 795.32                 | 580.50    |
| BANK NEW YORK MELLON<br>CORP | 13.0000  | 29.8343                  | 07/23/04         | 10/06/09   | 364.27   | 387.85                 | -23.58    |
| BAXTER INTERNATIONAL INC     | 5.0000   | 65.0934                  | 09/24/08         | 10/06/09   | 286.95   | 325.47                 | -38.52    |
|                              | 23.0000  | 65.0934                  | 09/24/08         | 10/15/09   | 1,267.59 | 1,497.15               | -229.56   |
|                              | 20.0000  | 65.0934                  | 09/24/08         | 10/16/09   | 1,095.20 | 1,301.86               | -206.66   |
| CA INC                       | 20.0000  | 22.5216                  | 04/25/08         | 10/06/09   | 443.22   | 450.43                 | -7.21     |
| CADBURY SCHWEPPES ADR        | 34.0000  | 41.6931                  | 12/15/04         | 09/10/09   | 1,770.59 | 1,417.57               | 353.02    |

001 / PIND / DP52







## Realized Gain/Loss

Page 77 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term<br>DESCRIPTION    | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-----------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| COMCAST CORP NEW CL A       |           | 5,000    | 26.0209                  | 01/15/08         | 12/11/09   | 118.66   | 130.10                 | -11.44    |
|                             |           | 16,000   | 25.1877                  | 01/16/08         | 12/11/09   | 379.72   | 403.01                 | -23.29    |
|                             |           | 29,000   | 25.1877                  | 01/16/08         | 12/22/09   | 687.46   | 730.44                 | -42.98    |
|                             |           | 10,000   | 25.1877                  | 01/16/08         | 12/22/09   | 237.30   | 251.87                 | -14.57    |
|                             |           | 17,000   | 25.4636                  | 05/28/08         | 12/22/09   | 403.41   | 432.89                 | -29.48    |
| CONAGRA FOODS INC           |           | 27,000   | 27.0578                  | 06/05/07         | 10/06/09   | 420.39   | 730.56                 | -310.17   |
|                             |           | 20,000   | 21.9063                  | 07/14/06         | 10/06/09   | 426.40   | 438.13                 | -11.73    |
| COSTCO WHSL CORP NEW<br>COM |           | 11,000   | 65.5482                  | 11/05/07         | 05/19/09   | 509.88   | 721.03                 | -211.15   |
|                             |           | 2,000    | 65.5482                  | 11/05/07         | 06/09/09   | 94.37    | 131.10                 | -36.73    |
|                             |           | 3,000    | 65.5482                  | 11/05/07         | 10/06/09   | 174.06   | 196.64                 | -22.58    |
|                             |           | 3,000    | 39.4500                  | 12/19/07         | 01/09/09   | 78.06    | 118.35                 | -40.29    |
| CVS CAREMARK CORP           |           | 8,000    | 38.7659                  | 12/20/07         | 01/09/09   | 208.18   | 310.13                 | -101.95   |
|                             |           | 33,000   | 38.7659                  | 12/20/07         | 01/12/09   | 831.47   | 1,279.27               | -447.80   |
|                             |           | 20,000   | 39.4377                  | 12/21/07         | 01/12/09   | 503.93   | 788.75                 | -284.82   |
|                             |           | 22,000   | 39.9793                  | 12/28/07         | 01/13/09   | 573.43   | 879.54                 | -306.11   |
|                             |           | 17,000   | 36.8176                  | 01/04/08         | 01/13/09   | 443.11   | 625.90                 | -182.79   |
|                             |           | 9,000    | 38.1043                  | 01/07/08         | 01/13/09   | 234.60   | 342.94                 | -108.34   |
|                             |           | 13,000   | 41.1988                  | 05/12/08         | 09/24/09   | 458.64   | 535.59                 | -76.95    |
|                             |           | 12,000   | 41.1988                  | 05/12/08         | 09/24/09   | 425.33   | 494.39                 | -69.06    |
|                             |           | 11,000   | 41.1988                  | 05/12/08         | 10/06/09   | 377.08   | 453.18                 | -76.10    |
|                             |           | 1,000    | 38.4317                  | 08/15/08         | 10/06/09   | 34.28    | 38.44                  | -4.16     |
|                             |           | 57,000   | 38.4317                  | 08/15/08         | 11/05/09   | 1,594.61 | 2,190.61               | -596.00   |
|                             |           | 55,000   | 38.4317                  | 08/15/08         | 11/05/09   | 1,608.21 | 2,113.74               | -505.53   |

001 / PIND / DP52



**As of Date: 2/05/10**

## Realized Gain/Loss



**Account Number: 4556-4011**  
**JAMES & ALICE COLE FOUNDATION**  
**JENN MSP EQ**

| Long Term           |          |                          |                  |            |          |                        |
|---------------------|----------|--------------------------|------------------|------------|----------|------------------------|
| DESCRIPTION         | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST |
| DISNEY WALT COMPANY | 37.0000  | 32.1472                  | 11/13/06         | 04/14/09   | 704.58   | 1,189.45               |
|                     | 9.0000   | 32.1472                  | 11/13/06         | 05/04/09   | 203.46   | 289.32                 |
|                     | 32.0000  | 34.4897                  | 08/03/07         | 05/04/09   | 723.44   | 1,103.68               |
| EOG RESOURCES INC   | 95.0000  | 59.2831                  | 10/10/08         | 11/25/09   | 8,430.32 | 5,631.88               |
| GENENTECH INC       | 24.0000  | 69.9727                  | 12/11/07         | 01/30/09   | 1,945.97 | 1,679.35               |
|                     | 13.0000  | 69.9727                  | 12/11/07         | 02/02/09   | 1,068.53 | 909.64                 |
|                     | 5.0000   | 69.9727                  | 12/11/07         | 02/27/09   | 431.56   | 349.86                 |
|                     | 20.0000  | 67.7788                  | 12/20/07         | 02/27/09   | 1,726.27 | 1,355.58               |
|                     | 11.0000  | 67.7788                  | 12/20/07         | 03/04/09   | 903.39   | 745.56                 |
|                     | 14.0000  | 70.9169                  | 01/10/08         | 03/04/09   | 1,149.77 | 992.84                 |
| GENENTECH INC XXX   | 18.0000  | 70.9169                  | 01/10/08         | 03/26/09   | 1,710.00 | 1,276.50               |
|                     | 16.0000  | 70.4816                  | 01/16/08         | 03/26/09   | 1,520.00 | 1,127.71               |
|                     | 1.0000   | 80.8800                  | 03/04/08         | 03/26/09   | 95.00    | 80.88                  |
| GILEAD SCIENCES INC | 20.0000  | 23.0194                  | 10/19/05         | 03/24/09   | 890.60   | 460.39                 |
|                     | 10.0000  | 23.0194                  | 10/19/05         | 03/25/09   | 438.91   | 230.19                 |
|                     | 6.0000   | 23.0194                  | 10/19/05         | 03/26/09   | 264.86   | 138.12                 |
|                     | 29.0000  | 23.0194                  | 10/19/05         | 04/01/09   | 1,310.21 | 667.55                 |
|                     | 10.0000  | 23.0194                  | 10/19/05         | 05/19/09   | 422.91   | 230.19                 |
|                     | 9.0000   | 23.0194                  | 10/19/05         | 09/10/09   | 419.67   | 207.16                 |
|                     | 3.0000   | 29.6079                  | 02/09/06         | 09/10/09   | 139.90   | 88.83                  |
|                     | 10.0000  | 29.6079                  | 02/09/06         | 09/10/09   | 463.62   | 296.08                 |
|                     | 7.0000   | 29.6079                  | 02/09/06         | 10/06/09   | 318.85   | 207.26                 |
|                     | 20.0000  | 29.6079                  | 02/09/06         | 11/09/09   | 928.48   | 592.16                 |
|                     | 10.0000  | 29.6079                  | 02/09/06         | 12/15/09   | 450.41   | 296.08                 |





ADVISORS

## Realized Gain/Loss

Page 80 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term Description           | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| LIBERTY GLOBAL INC SER C        |           | 26.0000  | 36.6369                  | 12/28/07         | 10/06/09   | 565.26   | 952.56                 | -387.30   |
| LOEWS CORPORATION               |           | 220.0000 | 47.7948                  | 08/24/07         | 01/16/09   | 5,513.71 | 10,514.84              | -5,001.13 |
| MARVELL TECHNOLOGY<br>GROUP LTD |           | 204.0000 | 11.8577                  | 03/06/08         | 06/17/09   | 2,390.66 | 2,418.98               | -28.32    |
|                                 |           | 595.0000 | 11.8577                  | 03/06/08         | 07/21/09   | 7,912.25 | 7,055.32               | 856.93    |
| MEDCO HEALTH SOLUTIONS<br>INC   |           | 6.0000   | 48.4592                  | 05/30/08         | 10/06/09   | 327.78   | 290.75                 | 37.03     |
| MONSANTO CO NEW                 |           | 5.0000   | 109.4376                 | 02/05/08         | 05/14/09   | 451.58   | 547.18                 | -95.60    |
|                                 |           | 5.0000   | 108.6089                 | 02/06/08         | 05/14/09   | 451.59   | 543.05                 | -91.46    |
|                                 |           | 6.0000   | 108.6089                 | 02/06/08         | 05/19/09   | 542.80   | 651.66                 | -108.86   |
|                                 |           | 2.0000   | 108.6089                 | 02/06/08         | 05/27/09   | 161.83   | 217.21                 | -55.38    |
|                                 |           | 10.0000  | 116.7714                 | 02/12/08         | 05/27/09   | 809.16   | 1,167.72               | -358.56   |
|                                 |           | 1.0000   | 116.7714                 | 02/12/08         | 09/11/09   | 77.89    | 116.77                 | -38.88    |
|                                 |           | 11.0000  | 118.6646                 | 02/25/08         | 09/11/09   | 856.82   | 1,305.31               | -448.49   |
|                                 |           | 9.0000   | 117.8382                 | 03/03/08         | 09/11/09   | 701.05   | 1,060.55               | -359.50   |
|                                 |           | 5.0000   | 117.8382                 | 03/03/08         | 10/19/09   | 386.51   | 589.20                 | -202.69   |
|                                 |           | 10.0000  | 117.8382                 | 03/03/08         | 10/20/09   | 752.00   | 1,178.38               | -426.38   |
| MYLAN INC                       |           | 1.0000   | 117.8382                 | 03/03/08         | 10/21/09   | 75.27    | 117.83                 | -42.56    |
|                                 |           | 36.0000  | 14.0441                  | 11/14/07         | 06/11/09   | 496.92   | 505.58                 | -8.66     |
|                                 |           | 90.0000  | 13.8352                  | 12/11/07         | 06/11/09   | 1,242.31 | 1,245.17               | -2.86     |
| NII HLDGS INC CL B<br>NEW       |           | 29.0000  | 13.8352                  | 12/11/07         | 10/06/09   | 467.48   | 401.23                 | 66.25     |
| NRG ENERGY INC                  |           | 22.0000  | 47.5778                  | 12/28/07         | 10/06/09   | 645.70   | 1,046.71               | -401.01   |
| ORACLE CORPORATION              |           | 9.0000   | 26.6981                  | 11/07/06         | 10/06/09   | 247.24   | 240.29                 | 6.95      |
|                                 |           | 36.0000  | 18.2907                  | 10/30/08         | 11/17/09   | 816.49   | 658.46                 | 158.03    |

001 / PIND / DP52

## Realized Gain/Loss

Page 81 of 89

As of Date: 2/05/10

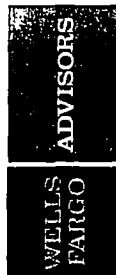
Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term<br>DESCRIPTION  | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| QUALCOMM INC              |           | 4.0000   | 18.0000                  | 10/31/08         | 11/17/09   | 90.72    | 72.00                  | 18.72     |
|                           |           | 25.0000  | 18.2500                  | 11/03/08         | 11/17/09   | 567.02   | 456.25                 | 110.77    |
|                           |           | 13.0000  | 17.4662                  | 11/06/08         | 11/17/09   | 294.98   | 227.06                 | 67.92     |
|                           |           | 14.0000  | 17.5100                  | 11/07/08         | 11/17/09   | 317.67   | 245.14                 | 72.53     |
|                           |           | 19.0000  | 37.7070                  | 02/06/07         | 07/01/09   | 864.69   | 716.43                 | 148.26    |
|                           |           | 18.0000  | 37.7070                  | 02/06/07         | 07/01/09   | 817.59   | 678.72                 | 138.87    |
|                           |           | 7.0000   | 37.7070                  | 02/06/07         | 10/06/09   | 301.28   | 263.94                 | 37.34     |
|                           |           | 4.0000   | 40.9734                  | 02/27/07         | 10/06/09   | 172.16   | 163.90                 | 8.26      |
|                           |           | 11.0000  | 40.9734                  | 02/27/07         | 10/09/09   | 448.74   | 450.71                 | -1.97     |
|                           |           | 11.0000  | 40.9734                  | 02/27/07         | 10/09/09   | 455.75   | 450.71                 | 5.04      |
|                           |           | 14.0000  | 40.9734                  | 02/27/07         | 10/23/09   | 571.08   | 573.63                 | -2.55     |
|                           |           | 13.0000  | 40.9734                  | 02/27/07         | 10/23/09   | 529.23   | 532.64                 | -3.41     |
|                           |           |          |                          |                  |            |          |                        |           |
| RESEARCH IN MOTION<br>LTD |           | 17.0000  | 81.7798                  | 09/10/07         | 04/07/09   | 1,041.53 | 1,390.26               | -348.73   |
|                           |           | 15.0000  | 81.7798                  | 09/10/07         | 05/21/09   | 1,104.15 | 1,226.69               | -122.54   |
| SCHERING PLOUGH CORP      |           | 8.0000   | 114.9896                 | 10/19/07         | 05/22/09   | 577.88   | 919.92                 | -342.04   |
|                           |           | 198.0000 | 21.3340                  | 02/20/08         | 03/09/09   | 4,040.98 | 4,224.13               | -183.15   |
| SCHLUMBERGER LTD          |           | 144.0000 | 21.3340                  | 02/20/08         | 03/10/09   | 3,024.15 | 3,072.09               | -47.94    |
|                           |           | 22.0000  | 70.0882                  | 03/28/07         | 02/20/09   | 817.52   | 1,541.92               | -724.40   |
|                           |           | 15.0000  | 87.8962                  | 07/10/07         | 02/20/09   | 557.41   | 1,318.45               | -761.04   |
|                           |           | 4.0000   | 87.8962                  | 07/10/07         | 04/13/09   | 172.16   | 351.58                 | -179.42   |
|                           |           | 5.0000   | 75.5966                  | 02/07/08         | 04/13/09   | 215.21   | 377.98                 | -162.77   |
|                           |           | 5.0000   | 86.4653                  | 03/12/08         | 04/13/09   | 215.22   | 432.33                 | -217.11   |
|                           |           | 2.0000   | 86.4653                  | 03/12/08         | 10/06/09   | 119.22   | 172.93                 | -53.71    |

001 / PIND / DP52



## Realized Gain/Loss



Page 82 of 89

As of Date: 2/05/10

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term Description   | Continued | QUANTITY   | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-------------------------|-----------|------------|--------------------------|------------------|------------|----------|------------------------|-----------|
| SCHWAB CHARLES CORP NEW |           | 29.0000    | 23.2248                  | 01/14/08         | 02/04/09   | 378.65   | 673.52                 | -294.87   |
|                         |           | 55.0000    | 23.2248                  | 01/14/08         | 02/09/09   | 784.16   | 1,277.36               | -493.20   |
|                         |           | 18.0000    | 23.2248                  | 01/14/08         | 03/04/09   | 218.34   | 418.05                 | -199.71   |
|                         |           | 4.0000     | 23.2248                  | 01/14/08         | 05/05/09   | 75.25    | 92.89                  | -17.64    |
|                         |           | 9.0000     | 22.0031                  | 01/16/08         | 05/05/09   | 169.33   | 198.03                 | -28.70    |
|                         |           | 11.0000    | 22.0031                  | 01/16/08         | 06/30/09   | 190.96   | 242.04                 | -51.08    |
|                         |           | 10.0000    | 22.0031                  | 01/16/08         | 06/30/09   | 173.20   | 220.04                 | -46.84    |
|                         |           | 17.0000    | 22.0031                  | 01/16/08         | 08/12/09   | 320.34   | 374.06                 | -53.72    |
|                         |           | 16.0000    | 22.0031                  | 01/16/08         | 08/12/09   | 302.24   | 352.05                 | -49.81    |
|                         |           | 8.0000     | 22.0031                  | 01/16/08         | 10/06/09   | 152.00   | 176.03                 | -24.03    |
| SEMPRA ENERGY           |           | 8.0000     | 32.8364                  | 10/20/04         | 10/06/09   | 407.44   | 262.70                 | 144.74    |
| SHIRE PLC               |           | 3.0000     | 50.6528                  | 09/23/08         | 10/06/09   | 149.82   | 151.96                 | -2.14     |
| SIRIUS XM RADIO INC     |           | 2,221.6000 | 2.6163                   | 07/02/07         | 02/05/09   | 378.11   | 5,812.47               | -5,434.36 |
|                         |           | 975.2000   | 2.5945                   | 07/09/07         | 02/05/09   | 165.98   | 2,530.18               | -2,364.20 |
|                         |           | 680.8000   | 2.5748                   | 07/10/07         | 02/05/09   | 115.87   | 1,752.93               | -1,637.06 |
|                         |           | 1,306.4000 | 2.4302                   | 08/22/07         | 02/05/09   | 222.35   | 3,174.84               | -2,952.49 |
| SLM CORP                |           | 35.0000    | 19.6953                  | 12/28/07         | 10/06/09   | 302.05   | 689.34                 | -387.29   |
| SOUTHWESTERN ENERGY CO  |           | 4.0000     | 36.3127                  | 08/19/08         | 10/06/09   | 172.28   | 145.25                 | 27.03     |
|                         |           | 9.0000     | 36.3127                  | 08/19/08         | 11/16/09   | 383.98   | 326.80                 | 57.18     |
|                         |           | 4.0000     | 37.3172                  | 08/20/08         | 11/16/09   | 170.67   | 149.27                 | 21.40     |
|                         |           | 13.0000    | 37.3172                  | 08/20/08         | 11/17/09   | 549.36   | 485.13                 | 64.23     |
|                         |           | 11.0000    | 37.3172                  | 08/20/08         | 11/20/09   | 454.92   | 410.49                 | 44.43     |
| SUNCOR ENERGY INC       |           | 51.0000    | 13.1696                  | 01/23/04         | 03/23/09   | 1,269.39 | 671.64                 | 597.75    |
|                         |           | 36.0000    | 13.1696                  | 01/23/04         | 03/24/09   | 844.46   | 474.10                 | 370.36    |

001 / PIND / DP52

STATEMENT A





WELLS  
FARGO

Account Number: 4556-4011  
JAMES & ALICE COLE FOUNDATION  
JENN MSP EQ

| Long Term                       | Continued |                          |                  |            |          |                        |           |
|---------------------------------|-----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| DESCRIPTION                     | QUANTITY  | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
| THERMO FISHER SCIENTIFIC<br>INC | 5.0000    | 57.2419                  | 09/25/07         | 03/18/09   | 173.46   | 286.20                 | -112.74   |
|                                 | 5.0000    | 58.1771                  | 10/25/07         | 03/18/09   | 173.46   | 290.89                 | -117.43   |
|                                 | 13.0000   | 58.1771                  | 10/25/07         | 04/01/09   | 446.63   | 756.30                 | -309.67   |
|                                 | 2.0000    | 58.1771                  | 10/25/07         | 04/14/09   | 73.48    | 116.35                 | -42.87    |
|                                 | 2.0000    | 57.6261                  | 11/02/07         | 04/14/09   | 73.49    | 115.26                 | -41.77    |
|                                 | 4.0000    | 57.6261                  | 11/02/07         | 04/15/09   | 143.71   | 230.51                 | -86.80    |
|                                 | 14.0000   | 57.6261                  | 11/02/07         | 04/27/09   | 459.52   | 806.75                 | -347.23   |
|                                 | 24.0000   | 56.9876                  | 11/05/07         | 04/27/09   | 787.77   | 1,367.71               | -579.94   |
|                                 | 9.0000    | 56.9876                  | 11/05/07         | 04/28/09   | 299.07   | 512.88                 | -213.81   |
| VISA INC CLASS A                | 9.0000    | 58.0608                  | 12/21/07         | 04/28/09   | 299.08   | 522.55                 | -223.47   |
|                                 | 8.0000    | 58.3736                  | 12/24/07         | 04/28/09   | 265.85   | 466.99                 | -201.14   |
|                                 | 4.0000    | 66.2919                  | 04/17/08         | 10/06/09   | 271.92   | 265.17                 | 6.75      |
|                                 | 6.0000    | 66.2919                  | 04/17/08         | 12/14/09   | 504.07   | 397.76                 | 106.31    |
|                                 | 4.0000    | 66.2919                  | 04/17/08         | 12/14/09   | 336.32   | 265.16                 | 71.16     |
| WAL-MART STORES INC             | 1.0000    | 80.2550                  | 05/12/08         | 12/14/09   | 84.09    | 80.26                  | 3.83      |
|                                 | 13.0000   | 57.5010                  | 04/24/08         | 05/19/09   | 643.64   | 747.50                 | -103.86   |
| WILLIAMS COMPANIES<br>INC       | 5.0000    | 56.7417                  | 05/28/08         | 06/08/09   | 253.46   | 283.70                 | -30.24    |
|                                 | 20.0000   | 36.4968                  | 12/28/07         | 10/06/09   | 366.80   | 729.94                 | -363.14   |
| XL CAPITAL LTD<br>CL A          | 188.0000  | 17.3634                  | 07/29/08         | 09/21/09   | 3,162.69 | 3,264.32               | -101.63   |
|                                 | 191.0000  | 17.3634                  | 07/29/08         | 09/23/09   | 3,239.04 | 3,316.40               | -77.36    |
| XTO ENERGY INC                  | 24.0000   | 57.9936                  | 03/24/08         | 05/19/09   | 1,016.45 | 1,391.84               | -375.39   |
|                                 | 12.0000   | 57.9936                  | 03/24/08         | 05/21/09   | 485.94   | 695.92                 | -209.98   |

001 / PIND / DP52

000043 NNNNN NNNNN NNNNN 03807421117 006844 117038

As of Date: 2/05/10

## Realized Gain/Loss

Account Number: 4556-4011  
 JAMES & ALICE COLE FOUNDATION  
 JENN MSP EQ

| Long Term   | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS     | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|-------------|-----------|----------|--------------------------|------------------|------------|--------------|------------------------|-----------|
| DESCRIPTION |           |          |                          |                  |            |              |                        |           |
|             |           | 7.0000   | 57.9936                  | 03/24/08         | 08/26/09   | 276.16       | 405.95                 | -129.79   |
|             |           | 5.0000   | 57.9936                  | 03/24/08         | 08/27/09   | 193.96       | 289.96                 | -96.00    |
|             |           | 6.0000   | 68.5121                  | 07/01/08         | 08/27/09   | 232.76       | 411.08                 | -178.32   |
|             |           | 6.0000   | 68.5121                  | 07/01/08         | 08/31/09   | 230.58       | 411.07                 | -180.49   |
|             |           | 6.0000   | 69.3410                  | 07/02/08         | 08/31/09   | 345.87       | 624.07                 | -278.20   |
|             |           | 9.0000   | 59.7464                  | 07/11/08         | 09/03/09   | 555.49       | 896.20                 | -340.71   |
|             |           | 15.0000  | 59.7464                  | 07/11/08         | 09/03/09   | 221.82       | 358.47                 | -136.65   |
|             |           | 6.0000   | 57.1578                  | 07/16/08         | 09/03/09   | 221.83       | 342.95                 | -121.12   |
|             |           | 6.0000   | 54.6700                  | 09/22/08         | 10/06/09   | 368.46       | 492.03                 | -123.57   |
|             |           | 9.0000   | 54.6700                  | 09/22/08         | 12/14/09   | 5,474.05     | 6,232.38               | -758.33   |
|             |           | 114.0000 |                          |                  |            | \$189,832.46 | \$189,764.96           |           |

Total - Long Term

STATEMENT A

001 / PIND / DP52



## Realized Gain/Loss

Page 25 of 49

As of Date: 2/05/10

Account Number: 6100-1764  
JAMES O AND ALICE F COLE  
FOUNDATION  
WENTWORTH INT'L

## Realized Gain/Loss Detail for Year

| Short Term<br>DESCRIPTION      | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| ADVANTEST CORP                 | 35.0000  | 13.2917                  | 11/14/08         | 04/21/09   | 547.05   | 465.21                 | 81.84     |
|                                | 20.0000  | 15.5055                  | 02/13/09         | 04/21/09   | 312.60   | 310.11                 | 2.49      |
| AIOI INSURANCE-UNSP ADR        | 30.0000  | 14.9890                  | 02/19/09         | 04/21/09   | 511.48   | 449.67                 | 61.81     |
| ALCATEL-LUCENT ADR             | 195.0000 | 2.2257                   | 12/12/08         | 04/21/09   | 448.48   | 434.01                 | 14.47     |
|                                | 175.0000 | 2.1900                   | 12/19/08         | 04/21/09   | 402.49   | 383.25                 | 19.24     |
| AMER GREETINGS CORP CL A       | 40.0000  | 18.7286                  | 05/07/08         | 04/21/09   | 291.19   | 749.14                 | -457.95   |
| ARTHUR J GALLAGHER & CO        | 20.0000  | 17.3266                  | 03/20/09         | 04/21/09   | 366.79   | 346.53                 | 20.26     |
| AXA-ADR RTS XXX                | 100.0000 | 0.0000                   | 12/08/09         | 12/09/09   | 55.97    | 0.00                   | 0.00      |
| BANK OF AMERICA CORP           | 23.0000  | 25.2525                  | 06/24/08         | 04/21/09   | 199.17   | 598.13                 | -398.96   |
| BIOVAIL CORP                   | 75.0000  | 11.4952                  | 04/30/08         | 04/21/09   | 827.59   | 862.13                 | -34.54    |
|                                | 75.0000  | 11.9037                  | 05/05/08         | 04/21/09   | 827.61   | 892.78                 | -65.17    |
| BLACK & DECKER CORP            | 30.0000  | 24.5555                  | 03/17/09         | 04/21/09   | 956.39   | 736.67                 | 219.72    |
| CIMENTS FRANCAIS-SPONS<br>ADR  | 15.0000  | 42.1000                  | 02/13/09         | 04/21/09   | 648.73   | 631.50                 | 17.23     |
| CIT GROUP INC                  | 155.0000 | 10.8774                  | 04/22/08         | 04/21/09   | 589.33   | 1,686.00               | -1,096.67 |
| CLARIANT AG-UNSPON ADR         | 165.0000 | 6.0644                   | 10/22/08         | 04/21/09   | 783.72   | 1,000.63               | -216.91   |
| DEBENHAMS PLC UNSPONSOR<br>ADR | 205.0000 | 5.1047                   | 05/09/08         | 04/21/09   | 686.74   | 1,046.46               | -359.72   |
| DILLARDS INC<br>CL A           | 45.0000  | 15.5561                  | 06/03/08         | 04/21/09   | 316.44   | 700.02                 | -383.58   |
| DISCOVER FINANCIAL             | 65.0000  | 6.5178                   | 04/03/09         | 04/21/09   | 521.93   | 423.66                 | 98.27     |
| EASTMAN KODAK COMPANY          | 100.0000 | 14.1863                  | 06/24/08         | 04/21/09   | 416.03   | 1,418.63               | -1,002.60 |
| EQSTRA HOLDINGS LTD-ADR        | 275.0000 | 0.0000                   | 06/02/08         | 04/21/09   | 173.24   | 0.00                   | 0.00      |

STATEMENT B

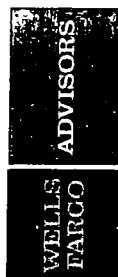
001 / PIND / DP52



# Realized Gain/Loss

Page 26 of 49

As of Date: 2/05/10



Account Number: 6100-1764  
JAMES O AND ALICE F COLE  
FOUNDATION  
WENTWORTH INT'L

| Short Term | Continued | DESCRIPTION                            | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------|-----------|----------------------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
|            |           | FIRST PAC CO LTD<br>SPONSORED ADR      | 455.0000 | 2.7039                   | 09/24/08         | 04/21/09   | 928.18   | 1,230.27               | -302.09   |
|            |           | GANNETT CO INC DEL                     | 60.0000  | 11.5181                  | 10/16/08         | 04/21/09   | 182.39   | 691.09                 | -508.70   |
|            |           | GOODYEAR TIRE & RUBBER                 | 30.0000  | 11.2955                  | 10/15/08         | 04/21/09   | 281.69   | 338.87                 | -57.18    |
|            |           |                                        | 65.0000  | 8.2900                   | 10/27/08         | 04/21/09   | 610.33   | 538.85                 | 71.48     |
|            |           | HUNTSMAN CORP                          | 180.0000 | 3.4496                   | 12/19/08         | 04/21/09   | 740.46   | 620.93                 | 119.53    |
|            |           | IMPERIAL HOLDINGS ADR                  | 50.0000  | 10.2415                  | 05/06/08         | 04/21/09   | 288.48   | 512.07                 | -223.59   |
|            |           | ITALCEMENTI SPA UNSPON<br>ADR          | 95.0000  | 6.5323                   | 06/03/08         | 04/21/09   | 548.14   | 620.57                 | -72.43    |
|            |           | JD GROUP LTD<br>SPON ADR               | 60.0000  | 10.4069                  | 10/29/08         | 04/21/09   | 673.78   | 624.41                 | 49.37     |
|            |           | KEYCORP NEW                            | 155.0000 | 3.7880                   | 06/04/08         | 04/21/09   | 585.73   | 587.14                 | -21.41    |
|            |           | KING PHARMACEUTICALS INC               | 55.0000  | 11.7500                  | 06/12/08         | 04/21/09   | 376.74   | 646.25                 | -269.51   |
|            |           | LSI CORP                               | 100.0000 | 7.0760                   | 03/17/09         | 04/21/09   | 830.97   | 707.60                 | 123.37    |
|            |           | MAGNA INTL INC CL A                    | 160.0000 | 3.0764                   | 01/21/09         | 04/21/09   | 580.86   | 492.22                 | 88.64     |
|            |           |                                        | 10.0000  | 32.5347                  | 10/31/08         | 04/21/09   | 343.69   | 325.35                 | 18.34     |
|            |           | MASCO CORP                             | 10.0000  | 25.9277                  | 11/19/08         | 04/21/09   | 343.70   | 259.28                 | 84.42     |
|            |           | OFFICE DEPOT INC                       | 65.0000  | 8.0036                   | 11/19/08         | 04/21/09   | 530.38   | 520.23                 | 10.15     |
|            |           | OFFICEMAX INC                          | 150.0000 | 7.3971                   | 07/10/08         | 04/21/09   | 298.49   | 1,109.57               | -811.08   |
|            |           | ORIENT OVERSEAS INTL LTD<br>UNSPON ADR | 90.0000  | 13.7286                  | 09/03/08         | 04/21/09   | 474.33   | 1,235.57               | -761.24   |
|            |           | PENNEY J C CO INC                      | 40.0000  | 11.4438                  | 02/20/09         | 04/21/09   | 581.98   | 457.75                 | 124.23    |
|            |           | PULTE HOMES INC                        | 30.0000  | 15.0581                  | 03/02/09         | 04/21/09   | 776.98   | 451.74                 | 325.24    |
|            |           | QUIKSILVER INC                         | 45.0000  | 9.0050                   | 07/11/08         | 04/21/09   | 493.66   | 405.23                 | 88.43     |
|            |           |                                        | 145.0000 | 9.2643                   | 05/08/08         | 04/21/09   | 226.51   | 1,343.32               | -1,116.81 |

## Realized Gain/Loss

Page 27 of 49

As of Date: 2/05/10

Account Number: 6100-1764  
 JAMES O AND ALICE F COLE  
 FOUNDATION  
 WENTWORTH INT'L

| Short Term | Continued | DESCRIPTION                                      | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------|-----------|--------------------------------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
|            |           | REGIONS FINANCIAL CORP<br>(NEW)                  | 35.0000  | 8.4690                   | 12/19/08         | 04/21/09   | 209.64   | 296.42                 | -86.78    |
|            |           | RIO TINTO PLC RTS<br>EXP 00/00/00                | 65.0000  | 0.0000                   | 07/20/09         | 07/21/09   | 1,482.40 | 0.00                   | 0.00      |
|            |           | SARA LEE CORP                                    | 90.0000  | 7.9300                   | 03/17/09         | 04/21/09   | 767.90   | 713.70                 | 54.20     |
|            |           |                                                  | 35.0000  | 7.9699                   | 04/01/09         | 04/21/09   | 298.63   | 278.95                 | 19.68     |
|            |           | SEAGATE TECHNOLOGY                               | 85.0000  | 6.9485                   | 10/27/08         | 04/21/09   | 561.88   | 590.62                 | -28.74    |
|            |           | SEIKO EPSON CORP UNSPON<br>ADR                   | 70.0000  | 7.3900                   | 01/13/09         | 04/21/09   | 514.48   | 517.30                 | -2.82     |
|            |           |                                                  | 50.0000  | 6.4746                   | 02/13/09         | 04/21/09   | 367.49   | 323.73                 | 43.76     |
|            |           | SUN MICROSYSTEMS INC                             | 65.0000  | 5.3448                   | 10/15/08         | 04/21/09   | 599.28   | 347.40                 | 251.88    |
|            |           | SUNTRUST BANKS INC                               | 30.0000  | 8.9266                   | 02/13/09         | 04/21/09   | 488.88   | 267.80                 | 201.08    |
|            |           | SUPERVALU INC                                    | 25.0000  | 12.6665                  | 12/26/08         | 04/21/09   | 361.23   | 316.66                 | 44.57     |
|            |           |                                                  | 30.0000  | 14.4995                  | 04/01/09         | 04/21/09   | 433.49   | 434.99                 | -1.50     |
|            |           | SYMRISE AG-UNSPON ADR                            | 45.0000  | 11.7881                  | 04/01/09         | 04/21/09   | 537.73   | 530.46                 | 7.27      |
|            |           | TEIJIN LTD-UNSPONSORED<br>ADR                    | 45.0000  | 30.9311                  | 08/12/08         | 04/21/09   | 1,165.47 | 1,391.90               | -226.43   |
|            |           | TIM PARTICIPACOE S A<br>SPONSORED ADR REPSTG PFD | 35.0000  | 21.7342                  | 09/19/08         | 04/21/09   | 493.84   | 760.70                 | -266.86   |
|            |           | TOMKINS PLC ADR                                  | 125.0000 | 10.6077                  | 07/30/08         | 04/21/09   | 1,129.55 | 1,325.96               | -196.41   |
|            |           | TRINITY MIRROR PLC-ADR                           | 85.0000  | 9.9929                   | 05/19/08         | 04/21/09   | 115.60   | 849.40                 | -733.80   |
|            |           | VIRTUS INVESTMENT<br>PARTNERS INC                | 10.0000  | 8.7500                   | 01/02/09         | 04/21/09   | 95.80    | 87.50                  | 8.30      |



001 / PIND / DP52

## Realized Gain/Loss



Account Number: 6100-1764  
JAMES O AND ALICE F COLE  
FOUNDATION  
WENTWORTH INT'L

Page 28 of 49

As of Date: 2/05/10

| Short Term             |          | Continued                |                  |            |             |                        |           |
|------------------------|----------|--------------------------|------------------|------------|-------------|------------------------|-----------|
| DESCRIPTION            | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS    | ADJ COST/<br>ORIG COST | GAIN/LOSS |
| WESTERN DIGITAL CORP   | 65.0000  | 13.5919                  | 01/13/09         | 04/21/09   | 1,397.46    | 883.48                 | 513.98    |
| XL CAPITAL LTD         |          |                          |                  |            |             |                        |           |
| CL A                   | 50.0000  | 10.1063                  | 10/31/08         | 04/21/09   | 386.99      | 505.32                 | -118.33   |
| YAMAHA MOTOR CO ADR    | 35.0000  | 18.5279                  | 03/23/09         | 04/21/09   | 717.48      | 648.48                 | 69.00     |
| Total - Short Term     |          |                          |                  |            | \$32,665.66 | \$37,923.61            |           |
| Long Term              |          |                          |                  |            |             |                        |           |
| DESCRIPTION            | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS    | ADJ COST/<br>ORIG COST | GAIN/LOSS |
| AIFUL CORP-UNSPON ADR  | 560.0000 | 6.4603                   | 03/07/07         | 04/21/09   | 263.19      | 3,617.77               | -3,354.58 |
| ARGO GROUP INTL        | 2.0000   | 121.6000                 | 02/08/06         | 04/21/09   | 56.45       | 243.20                 | -186.75   |
| ARVINMERITOR INC       | 35.0000  | 32.8320                  | 03/02/06         | 04/21/09   | 988.05      | 1,149.12               | -161.07   |
| AXA-ADR                | 65.0000  | 13.0367                  | 12/07/05         | 04/21/09   | 74.74       | 847.38                 | -772.64   |
| BHP BILLITON LTD       | 25.0000  | 42.3416                  | 06/07/07         | 10/06/09   | 679.38      | 1,058.54               | -379.16   |
| SPON ADR               |          |                          |                  |            |             |                        |           |
| BRASIL TELECOM PART    | 20.0000  | 55.5254                  | 06/07/07         | 10/06/09   | 1,314.44    | 1,110.51               | 203.93    |
| SA ADR                 |          |                          |                  |            |             |                        |           |
| BRASKEM SA             | 17.0000  | 35.5300                  | 04/02/04         | 04/21/09   | 634.09      | 604.01                 | 30.08     |
| BRIGGS & STRATTON CORP | 105.0000 | 19.5424                  | 10/11/07         | 04/21/09   | 542.58      | 2,051.94               | -1,509.36 |
|                        | 10.0000  | 28.2968                  | 09/06/06         | 04/21/09   | 138.79      | 282.96                 | -144.17   |
|                        | 70.0000  | 26.5309                  | 11/15/06         | 04/21/09   | 971.58      | 1,857.16               | -885.58   |
| BRUNSWICK CORP         | 10.0000  | 24.4772                  | 08/17/07         | 04/21/09   | 40.72       | 244.77                 | -204.05   |
|                        | 65.0000  | 22.8657                  | 09/19/07         | 04/21/09   | 264.70      | 1,486.27               | -1,221.57 |
|                        | 50.0000  | 20.2447                  | 12/10/07         | 04/21/09   | 203.62      | 1,012.24               | -808.62   |
| CADBURY SCHWEPPES ADR  | 25.0000  | 61.5752                  | 06/07/07         | 10/06/09   | 1,268.39    | 1,539.39               | -271.00   |
| CENTEX CORPORATION     | 30.0000  | 34.6465                  | 08/06/07         | 04/21/09   | 312.89      | 1,039.40               | -726.51   |

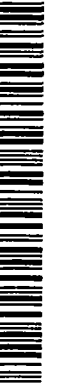
## Realized Gain/Loss

Page 29 of 49

As of Date: 2/05/10

Account Number: 6100-1764  
 JAMES O AND ALICE F COLE  
 FOUNDATION  
 WENTWORTH INT'L

| Long Term<br>DESCRIPTION                                                   | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|----------------------------------------------------------------------------|-----------|----------|--------------------------|-----------------------------|----------|------------------------|-----------|
| GIBA SPECIALTY XXX<br>CHEMICALS HLDG INC SPONS<br>ADR SUBMITTED FOR TENDER |           | 35.0000  | 24.7697                  | 10/18/07 04/21/09           | 365.04   | 866.94                 | -501.90   |
| CINCINNATI BELL INC                                                        |           | 15.0000  | 25.5709                  | 09/17/07 04/16/09           | 322.94   | 383.56                 | -60.62    |
|                                                                            |           | 15.0000  | 20.1175                  | 02/01/08 04/16/09           | 322.95   | 301.76                 | 21.19     |
|                                                                            |           | 120.0000 | 4.3621                   | 06/28/04 04/21/09           | 329.99   | 523.45                 | -193.46   |
|                                                                            |           | 240.0000 | 3.3882                   | 10/21/04 04/21/09           | 659.99   | 813.17                 | -153.18   |
| CIT GROUP INC                                                              |           | 10.0000  | 30.4346                  | 11/13/07 04/21/09           | 38.02    | 304.34                 | -266.32   |
|                                                                            |           | 95.0000  | 26.2556                  | 11/30/07 04/21/09           | 361.19   | 2,494.28               | -2,133.09 |
| D R HORTON INC                                                             |           | 55.0000  | 14.0469                  | 10/12/07 04/21/09           | 643.48   | 772.58                 | -129.10   |
| DEBENHAMS PLC UNSPONSOR<br>ADR                                             |           | 115.0000 | 10.9553                  | 07/11/07 04/21/09           | 385.23   | 1,259.85               | -874.62   |
| DILLARDS INC<br>CL A                                                       |           | 120.0000 | 20.1819                  | 11/30/07 04/21/09           | 843.84   | 2,421.83               | -1,577.99 |
| FIRST PAC CO LTD<br>SPONSORED ADR                                          |           | 370.0000 | 1.9155                   | 06/14/06 04/21/09           | 754.77   | 708.73                 | 46.04     |
| FLEXTRONICS INTL                                                           |           | 166.0000 | 0.0000                   | 10/04/07 04/21/09           | 616.50   | 0.00                   | 0.00      |
| FRIEDMAN BILLINGS<br>RAMSEY-A                                              |           | 325.0000 | 6.3898                   | 03/07/07 04/21/09           | 96.61    | 2,076.69               | -1,980.08 |
| GEORGIA GULF CORP \$0.01<br>PAR                                            |           | 145.0000 | 17.4460                  | 05/09/07 04/21/09           | 157.69   | 2,529.67               | -2,371.98 |
| HACHIJUNI BANK LTD UNS<br>ADR                                              |           | 15.0000  | 72.1030                  | 07/11/07 04/21/09           | 908.97   | 1,081.54               | -172.57   |
| HEALTH MANAGEMENT ASSOC<br>INC NEW CL A                                    |           | 145.0000 | 5.7100                   | 04/04/08 04/21/09           | 512.19   | 827.95                 | -315.76   |
| INGERSOLL-RAND PLC<br>SHARES                                               |           | 45.0000  | 50.6300                  | 06/07/07 10/06/09           | 1,379.99 | 2,278.35               | -898.36   |

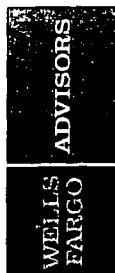


001 / PIND / DP52

## Realized Gain/Loss

Page 30 of 49

As of Date: 2/05/10



Account Number: 6100-1764  
 JAMES O AND ALICE F COLE  
 FOUNDATION  
 WENTWORTH INT'L

| Long Term<br>DESCRIPTION                               | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| KB HOME                                                |           | 35.0000  | 30.4949                  | 08/06/07         | 04/21/09   | 595.68   | 1,067.32               | -471.64   |
|                                                        |           | 30.0000  | 25.8500                  | 10/18/07         | 04/21/09   | 510.59   | 775.50                 | -264.91   |
| LENNAR CORPORATION<br>CLASS A                          |           | 15.0000  | 30.8656                  | 08/06/07         | 04/21/09   | 119.39   | 462.98                 | -343.59   |
|                                                        |           | 60.0000  | 21.8634                  | 10/18/07         | 04/21/09   | 477.59   | 1,311.80               | -834.21   |
| MAGYAR TELECOM TELECOM<br>SPON-ADR                     |           | 20.0000  | 23.0131                  | 04/13/04         | 04/21/09   | 258.59   | 460.26                 | -201.67   |
|                                                        |           | 65.0000  | 19.2802                  | 06/21/04         | 04/21/09   | 840.43   | 1,253.21               | -412.78   |
| MICRON TECHNOLOGY INC                                  |           | 280.0000 | 11.1644                  | 04/20/07         | 04/21/09   | 1,293.70 | 3,126.03               | -1,832.33 |
| OLD REPUBLIC INTL CORP                                 |           | 90.0000  | 14.1593                  | 11/09/07         | 04/21/09   | 1,072.77 | 1,274.34               | -201.57   |
| PROMISE CO LTD<br>UNSPONSORED ADR                      |           | 80.0000  | 17.1064                  | 02/28/07         | 04/21/09   | 519.98   | 1,368.51               | -848.53   |
| PULTE HOMES INC                                        |           | 60.0000  | 13.5295                  | 10/18/07         | 04/21/09   | 658.21   | 811.77                 | -153.56   |
| SANMINA-SCI CORP                                       |           | 440.0000 | 4.2664                   | 10/05/05         | 04/21/09   | 208.15   | 1,877.21               | -1,669.06 |
|                                                        |           | 385.0000 | 3.6971                   | 11/02/05         | 04/21/09   | 182.14   | 1,423.38               | -1,241.24 |
|                                                        |           | 625.0000 | 3.2004                   | 07/11/07         | 04/21/09   | 295.69   | 2,000.25               | -1,704.56 |
| SUNCOR ENERGY INC NEW<br>TECK RESOURCES LTD<br>CLASS B |           | 30.0000  | 43.6644                  | 06/07/07         | 10/06/09   | 1,022.83 | 1,309.94               | -287.11   |
|                                                        |           | 85.0000  | 41.9699                  | 06/07/07         | 10/06/09   | 2,371.60 | 3,567.45               | -1,195.85 |
| TENET HEALTHCARE CORP                                  |           | 260.0000 | 3.5533                   | 10/10/07         | 04/21/09   | 528.56   | 923.85                 | -395.29   |
| THOMSON SPON ADR                                       |           | 170.0000 | 15.7830                  | 08/20/07         | 04/21/09   | 225.13   | 2,683.11               | -2,457.98 |
|                                                        |           | 100.0000 | 6.4441                   | 03/17/08         | 04/21/09   | 132.43   | 644.41                 | -511.98   |
|                                                        |           | 190.0000 | 7.1743                   | 04/04/08         | 04/21/09   | 251.64   | 1,363.12               | -1,111.48 |
| TIM PARTICIPACOES S A<br>SPONSORED ADR REPSTG PFD      |           | 35.0000  | 16.0393                  | 08/01/05         | 04/21/09   | 493.83   | 561.37                 | -67.54    |

001 / PIND / DP52

STATEMENT B



## Realized Gain/Loss

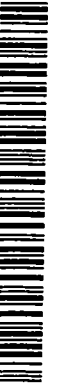
Page 31 of 49

As of Date: 2/05/10

Account Number: 6100-1764  
 JAMES O AND ALICE F COLE  
 FOUNDATION  
 WENTWORTH INT'L

| Long Term              | Continued |                          |                  |            |             |                        |           |  |  |
|------------------------|-----------|--------------------------|------------------|------------|-------------|------------------------|-----------|--|--|
| DESCRIPTION            | QUANTITY  | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS    | ADJ COST/<br>ORIG COST | GAIN/LOSS |  |  |
| TIMBERLAND CO CL A     | 75.0000   | 15.5835                  | 12/05/07         | 04/21/09   | 1,111.47    | 1,168.76               | -57.29    |  |  |
| TRINITY MIRROR PLC-ADR | 140.0000  | 19.0707                  | 11/15/06         | 04/21/09   | 190.39      | 2,669.89               | -2,479.50 |  |  |
|                        | 75.0000   | 18.8497                  | 01/19/07         | 04/21/09   | 101.99      | 1,413.73               | -1,311.74 |  |  |
| UNISYS CORP            | 180.0000  | 6.5388                   | 07/15/05         | 04/21/09   | 238.04      | 1,176.97               | -938.93   |  |  |
|                        | 230.0000  | 5.8724                   | 10/12/05         | 04/21/09   | 304.16      | 1,350.65               | -1,046.49 |  |  |
|                        | 315.0000  | 4.1948                   | 02/01/08         | 04/21/09   | 416.58      | 1,321.36               | -904.78   |  |  |
| VALE S A ADR           | 50.0000   | 22.0050                  | 06/07/07         | 10/06/09   | 1,185.59    | 1,100.25               | 85.34     |  |  |
| VALEO SPONSORED ADR    | 90.0000   | 21.2000                  | 04/02/04         | 04/21/09   | 813.57      | 1,908.00               | -1,094.43 |  |  |
|                        | 25.0000   | 17.4755                  | 10/18/06         | 04/21/09   | 226.00      | 436.89                 | -210.89   |  |  |
| VISHAY INTERTECHNOLOGY | 215.0000  | 9.4784                   | 02/28/08         | 04/21/09   | 1,052.32    | 2,037.86               | -985.54   |  |  |
| WESTLAKE CHEMICAL CORP | 90.0000   | 13.3559                  | 03/17/08         | 04/21/09   | 1,499.36    | 1,202.02               | 297.34    |  |  |
| WHIRLPOOL CORP         | 19.0000   | 91.4600                  | 04/04/06         | 04/21/09   | 670.88      | 1,737.73               | -1,066.85 |  |  |
| Total - Long Term      |           |                          |                  |            | \$36,322.28 | \$83,579.27            |           |  |  |

001 / PIND / DP52



# Realized Gain/Loss

Page 23 of 30

As of Date: 2/05/10

Account Number: 4556-4034  
JAMES & ALICE COLE FOUNDATION  
FSCM ENHANCED FIXED INCOME

## Realized Gain/Loss Detail for Year

| Short Term                                                                                                                            |             |  |  | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED CLOSE DATE | PROCEEDS  | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------|--|--|--------------------------|-----------------------------|-----------|------------------------|-----------|
| DESCRIPTION                                                                                                                           | QUANTITY    |  |  |                          |                             |           |                        |           |
| ALLIED WASTE NORTH AMER<br>SENIOR NOTES<br>CALLABLE<br>CPN 6 875% DUE 06/01/17<br>DTD 03/12/07 FC 12/01/07<br>CALL 06/01/12 @ 103.438 | 10,000.0000 |  |  | 0.9808<br>98.0000        | 05/05/09 10/07/09           | 10,525.00 | 9,808.73<br>9,800.00   | 716.27    |
| BP CAPITAL MARKETS PLC<br>SR UNSECURED<br>CPN 5.250% DUE 11/07/13<br>DTD 11/07/08 FC 05/07/09                                         | 9,000.0000  |  |  | 106.7320                 | 05/27/09 08/06/09           | 9,770.49  | 9,605.88               | 164.61    |
| CITIGROUP INC<br>NOTES<br>CPN 2.875% DUE 12/09/11<br>DTD 12/09/08 FC 06/09/09                                                         | 10,000.0000 |  |  | 102.7490                 | 04/01/09 05/27/09           | 10,317.40 | 10,274.90              | 42.50     |
| DIRECTV HLDGS/FINANCE<br>SENIOR NOTES<br>CALLABLE<br>CPN 6.375% DUE 06/15/15<br>DTD 06/15/05 FC 12/15/05<br>CALL 06/15/10 @ 103.188   | 10,000.0000 |  |  | 0.8383<br>81.9900        | 11/20/08 10/14/09           | 10,275.00 | 8,383.64<br>8,199.00   | 1,891.36  |
| DOW CHEMICAL CO<br>NOTES<br>CPN 7.600% DUE 05/15/14<br>DTD 05/13/09 FC 11/15/09                                                       | 7,000.0000  |  |  | 99.6880                  | 05/07/09 07/08/09           | 7,275.87  | 6,978.16               | 297.71    |
| FEDERAL NATL MTG ASSN<br>NOTES<br>CPN 2.750% DUE 02/05/14<br>DTD 02/05/09 FC 08/05/09                                                 | 6,000.0000  |  |  | 101.4970                 | 04/07/09 09/02/09           | 6,087.20  | 6,089.82               | -2.62     |

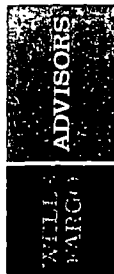
001/ PIND / DP52



## Realized Gain/Loss

Page 24 of 30

As of Date: 2/05/10



Account Number: 4556-4034  
 JAMES & ALICE COLE FOUNDATION  
 FSCM ENHANCED FIXED INCOME

| Short Term               | Continued   |                          |                  |            |           |                        |           |  |  |
|--------------------------|-------------|--------------------------|------------------|------------|-----------|------------------------|-----------|--|--|
| DESCRIPTION              | QUANTITY    | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS  | ADJ COST/<br>ORIG COST | GAIN/LOSS |  |  |
| HCA INC                  |             |                          |                  |            |           |                        |           |  |  |
| SECURED NOTES            |             |                          |                  |            |           |                        |           |  |  |
| CALLABLE                 |             |                          |                  |            |           |                        |           |  |  |
| CPN 9.250% DUE 11/15/16  |             |                          |                  |            |           |                        |           |  |  |
| DTD 05/15/07 FC 11/15/07 |             |                          |                  |            |           |                        |           |  |  |
| CALL 11/15/11 @ 104.625  | 10,000.0000 | 0.7749<br>77.0000        | 11/20/08         | 03/10/09   | 8,500.00  | 7,749.86<br>7,700.00   | 750.14    |  |  |
| L-3 COMMUNICATIONS CORP  |             |                          |                  |            |           |                        |           |  |  |
| GLOBAL COMPANY GUARNT    |             |                          |                  |            |           |                        |           |  |  |
| CALLABLE                 |             |                          |                  |            |           |                        |           |  |  |
| CPN 7.625% DUE 06/15/12  |             |                          |                  |            |           |                        |           |  |  |
| DTD 06/28/02 FC 12/15/02 |             |                          |                  |            |           |                        |           |  |  |
| CALL 06/15/10 @ 100.000  | 10,000.0000 | 102.3750                 | 09/12/08         | 09/01/09   | 10,112.50 | 10,237.50              | -125.00   |  |  |
| L-3 COMMUNICATIONS CORP  |             |                          |                  |            |           |                        |           |  |  |
| SR SUB NOTES             |             |                          |                  |            |           |                        |           |  |  |
| CALLABLE                 |             |                          |                  |            |           |                        |           |  |  |
| CPN 6.375% DUE 10/15/15  |             |                          |                  |            |           |                        |           |  |  |
| DTD 10/15/05 FC 04/15/06 |             |                          |                  |            |           |                        |           |  |  |
| CALL 10/15/10 @ 103.188  | 10,000.0000 | 0.9482<br>94.7500        | 09/01/09         | 10/02/09   | 10,000.00 | 9,482.08<br>9,475.00   | 517.92    |  |  |
| MORGAN STANLEY DEAN WIT  |             |                          |                  |            |           |                        |           |  |  |
| UNSUBORDINATED           |             |                          |                  |            |           |                        |           |  |  |
| CPN 6.750% DUE 04/15/11  |             |                          |                  |            |           |                        |           |  |  |
| DTD 04/23/01 FC 10/15/01 | 6,000.0000  | 104.8440                 | 05/02/08         | 04/07/09   | 6,064.14  | 6,290.64               | -226.50   |  |  |
| Pfizer Inc               |             |                          |                  |            |           |                        |           |  |  |
| SR UNSECURED             |             |                          |                  |            |           |                        |           |  |  |
| CPN 5.350% DUE 03/15/15  |             |                          |                  |            |           |                        |           |  |  |
| DTD 03/24/09 FC 09/15/09 | 8,000.0000  | 109.7000                 | 08/05/09         | 11/06/09   | 8,821.10  | 8,776.00               | 45.10     |  |  |

Account Number: 4556-4034  
 JAMES & ALICE COLE FOUNDATION  
 FSCM ENHANCED FIXED INCOME

# Realized Gain/Loss

Page 25 of 30

As of Date: 2/05/10

| Short Term                | Continued | QUANTITY   | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED CLOSE DATE | PROCEEDS            | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|---------------------------|-----------|------------|--------------------------|-----------------------------|---------------------|------------------------|-----------|
| DESCRIPTION               |           |            |                          |                             |                     |                        |           |
| US TREASURY               |           |            |                          |                             |                     |                        |           |
| CPN 2 625% DUE 06/30/14   |           |            |                          |                             |                     |                        |           |
| DTD 06/30/09 FC 12/31/09  |           | 7,000.0000 | 101.3515                 | 07/08/09                    | 6,971.84            | 7,094.61               | -122.77   |
| US TREASURY               |           |            |                          |                             |                     |                        |           |
| CPN 3 375% DUE 06/30/13   |           |            |                          |                             |                     |                        |           |
| DTD 06/30/08 FC 12/31/08  |           | 4,000.0000 | 103.8125                 | 10/22/08                    | 4,286.41            | 4,152.50               | 133.91    |
|                           |           | 8,000.0000 | 103.8125                 | 10/22/08                    | 8,513.13            | 8,305.00               | 208.13    |
|                           |           | 8,000.0000 | 103.8125                 | 10/22/08                    | 8,328.13            | 8,305.00               | 23.13     |
| US TREASURY               |           |            |                          |                             |                     |                        |           |
| CPN 3 375% DUE 07/31/13   |           |            |                          |                             |                     |                        |           |
| DTD 07/31/08 FC 01/31/09  |           | 3,000.0000 | 101.4101                 | 08/19/08                    | 3,292.50            | 3,042.31               | 250.19    |
|                           |           | 3,000.0000 | 101.4101                 | 08/19/08                    | 3,215.16            | 3,042.31               | 172.85    |
| <b>Total - Short Term</b> |           |            |                          |                             | <b>\$132,355.87</b> | <b>\$127,618.94</b>    |           |

| Long Term                |  | QUANTITY    | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED CLOSE DATE | PROCEEDS  | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------|--|-------------|--------------------------|-----------------------------|-----------|------------------------|-----------|
| DESCRIPTION              |  |             |                          |                             |           |                        |           |
| ALLIED WASTE NORTH AMER  |  |             |                          |                             |           |                        |           |
| SENIOR NOTES             |  |             |                          |                             |           |                        |           |
| CALLABLE                 |  |             |                          |                             |           |                        |           |
| CPN 7 875% DUE 04/15/13  |  |             |                          |                             |           |                        |           |
| DTD 04/09/03 FC 10/15/03 |  | 10,000.0000 | 103.8750                 | 10/11/07                    | 10,150.00 | 10,387.50              | -237.50   |
| CALL 04/15/10 @ 101 313  |  |             |                          |                             |           |                        |           |

001 / PIND / DP52



## Realized Gain/Loss

As of Date: 2/05/10



Account Number: 4556-4034  
**JAMES & ALICE COLE FOUNDATION**  
**FSCM ENHANCED FIXED INCOME**

| Long Term                                                                                           | Continued                               |                                  |                                  |                                  |                                   |                                   |                            |  |  |
|-----------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------|----------------------------------|----------------------------------|-----------------------------------|-----------------------------------|----------------------------|--|--|
| DESCRIPTION                                                                                         | QUANTITY                                | ADJ PRICE/<br>ORIG PRICE         | DATE<br>ACQUIRED                 | CLOSE DATE                       | PROCEEDS                          | ADJ COST/<br>ORIG COST            | GAIN/LOSS                  |  |  |
| CSC HOLDINGS INC<br>SENIOR NOTES<br>SERIES B<br>CPN 7 625% DUE 04/01/11<br>DTD 03/22/01 FC 10/01/01 | 10,000.0000                             | 99.8750                          | 04/04/08                         | 10/07/09                         | 10,500.00                         | 9,987.50                          | 512.50                     |  |  |
| FEDERAL NATL MTG ASSN<br>NOTES SER 1<br>CPN 4 750% DUE 11/19/12<br>DTD 10/18/07 FC 11/19/07         | 6,000.0000<br>3,000.0000                | 103.2145<br>103.2145             | 06/05/08<br>06/05/08             | 12/04/09<br>12/08/09             | 6,551.34<br>3,288.08              | 6,192.87<br>3,096.44              | 358.47<br>191.64           |  |  |
| FEDL HOME LN MTG CORP<br>GLOBAL NOTES<br>CPN 5 625% DUE 03/15/11<br>DTD 03/15/01 FC 09/15/01        | 24,000.0000                             | 102.8982                         | 04/25/07                         | 10/01/09                         | 25,724.33                         | 24,695.56                         | 1,028.77                   |  |  |
| US TREASURY<br>NOTES<br>CPN 4 625% DUE 02/29/12<br>DTD 02/28/07 FC 08/31/07                         | 8,000.0000<br>7,000.0000<br>10,000.0000 | 100.3867<br>100.3867<br>100.3867 | 04/25/07<br>04/25/07<br>04/25/07 | 02/03/09<br>04/01/09<br>12/04/09 | 8,782.50<br>7,705.20<br>10,809.77 | 8,030.94<br>7,027.07<br>10,038.66 | 751.56<br>678.13<br>771.11 |  |  |
| US TREASURY<br>NOTES<br>CPN 3.375% DUE 07/31/13<br>DTD 07/31/08 FC 01/31/09                         | 8,000.0000<br>6,000.0000                | 101.4101<br>101.4101             | 08/19/08<br>08/19/08             | 10/06/09<br>11/12/09             | 8,484.38<br>6,360.94              | 8,112.81<br>6,084.61              | 371.57<br>276.33           |  |  |
| US TREASURY NOTES<br>CPN 4 500% DUE 02/15/16<br>DTD 02/15/06 FC 08/15/06                            | 4,000.0000<br>2,000.0000                | 99.6406<br>99.6406               | 09/25/06<br>09/25/06             | 01/14/09<br>02/10/09             | 4,736.25<br>2,262.65              | 3,985.62<br>1,992.81              | 750.63<br>269.84           |  |  |

001 / PIND / DP52

# Realized Gain/Loss

10,064

Page 27 of 30

As of Date: 2/05/10

Account Number: 4556-4034  
JAMES & ALICE COLE FOUNDATION  
FSCM ENHANCED FIXED INCOME

| Long Term<br>DESCRIPTION                                                                                                                   | Continued | QUANTITY    | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS     | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------------------------------------------------------------------------------------------------------------------------|-----------|-------------|--------------------------|------------------|------------|--------------|------------------------|-----------|
| WYNN LAS VEGAS LLC/CORP<br>1ST MORTGAGE BOND<br>CALLABLE<br>CPN 6.625% DUE 12/01/14<br>DTD 06/01/05 FC 12/01/05<br>CALL 12/01/10 @ 102.208 |           | 3,000.0000  | 99.0820                  | 04/25/07         | 02/10/09   | 3,393.99     | 2,972.46               | 421.53    |
|                                                                                                                                            |           | 9,000.0000  | 99.0820                  | 04/25/07         | 03/10/09   | 10,126.76    | 8,917.38               | 1,209.38  |
|                                                                                                                                            |           | 4,000.0000  | 99.0820                  | 04/25/07         | 05/06/09   | 4,481.41     | 3,963.28               | 518.13    |
|                                                                                                                                            |           | 10,000.0000 | 0.9775<br>97.3750        | 12/12/07         | 03/06/09   | 6,550.00     | 9,775.95<br>9,737.50   | -3,225.95 |
| Total - Long Term                                                                                                                          |           |             |                          |                  |            | \$129,907.60 | \$125,261.46           |           |

001 / PIND / DP52



## Realized Gain/Loss

Page 24 of 27

Amended Date: 2/19/10

Account Number: 4556-4864  
 JAMES O & ALICE F COLE  
 FOUNDATION  
 FAYEZ LC GROWTH

## Realized Gain/Loss Detail for Year

| Short Term                                                     |                    |                          |                      |                      |                   |                        |
|----------------------------------------------------------------|--------------------|--------------------------|----------------------|----------------------|-------------------|------------------------|
| DESCRIPTION                                                    | QUANTITY           | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED     | CLOSE DATE           | PROCEEDS          | ADJ COST/<br>ORIG COST |
| BECTION DICKINSON & CO                                         | 5.0000             | 67.3988                  | 06/04/09             | 10/06/09             | 338.70            | 337.00                 |
| CORNING INC                                                    | 135.0000           | 27.4369                  | 05/28/08             | 02/13/09             | 1,573.38          | 3,703.98               |
| HSBC HLDGS PLC RTS XXX<br>ADR EXP 03/31/09<br>NON TRANSFERABLE | 0.3330<br>33.0000  | 0.0000<br>0.0000         | 03/23/09<br>03/23/09 | 04/22/09<br>04/23/09 | 2.83<br>293.10    | 0.00<br>0.00           |
| NATIONAL OILWELL VARCO<br>INC                                  | 40.0000<br>23.0000 | 58.0890<br>80.3754       | 02/11/08<br>05/28/08 | 01/15/09<br>01/15/09 | 935.82<br>538.10  | 2,323.56<br>1,848.64   |
| <b>Total - Short Term</b>                                      |                    |                          |                      |                      | <b>\$3,681.93</b> | <b>\$8,213.18</b>      |
| Long Term                                                      |                    |                          |                      |                      |                   |                        |
| DESCRIPTION                                                    | QUANTITY           | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED     | CLOSE DATE           | PROCEEDS          | ADJ COST/<br>ORIG COST |
| ABB LTD - SPONS ADR                                            | 107.0000           | 26.3695<br>26.8046       | 10/04/07             | 10/06/09             | 2,158.20          | 2,821.54<br>2,868.09   |
|                                                                | 71.0000            | 26.3695<br>26.8046       | 10/04/07             | 11/23/09             | 1,352.50          | 1,872.24<br>1,903.12   |
|                                                                | 12.0000            | 23.0550<br>23.4900       | 02/11/08             | 11/23/09             | 228.60            | 276.66<br>281.88       |
| ABBOTT LABORATORIES                                            | 20.0000            | 41.8368                  | 11/26/03             | 10/06/09             | 1,013.40          | 836.74                 |
| ALTRIA GROUP INC                                               | 40.0000            | 12.0512                  | 11/26/03             | 10/06/09             | 713.20            | 482.05                 |
| AMERICAN EXPRESS COMPANY                                       | 16.0000            | 40.2575                  | 11/26/03             | 10/06/09             | 539.68            | 644.11                 |
|                                                                | 29.0000            | 43.7419                  | 01/16/04             | 10/06/09             | 978.17            | 1,268.52               |
| AMERIPRISE FINANCIAL<br>INC                                    | 15.8000<br>15.2000 | 27.8625<br>30.2740       | 11/26/03<br>01/16/04 | 01/21/09<br>01/21/09 | 298.24<br>286.91  | 440.23<br>466.22       |
|                                                                |                    |                          |                      |                      |                   | -141.99<br>-179.31     |

001 / PIND / DP52



## Realized Gain/Loss



Page 25 of 27

Amended Date: 2/19/10

Account Number: 4556-4864  
 JAMES O & ALICE F COLE  
 FOUNDATION  
 FAYEZ LC GROWTH

| Long Term<br>DESCRIPTION                             | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------------------------------------------------|-----------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| APPLE INC                                            |           | 5.0000   | 36.0500                  | 10/27/05         | 01/21/09   | 94.39    | 180.25                 | -85.86    |
| AUTOMATIC DATA<br>PROCESSING                         |           | 8.0000   | 138.6897                 | 07/17/07         | 10/06/09   | 1,513.60 | 1,109.52               | 404.08    |
| BANK OF AMERICA CORP                                 |           | 11.0000  | 38.5655                  | 10/18/05         | 10/06/09   | 427.68   | 424.22                 | 3.46      |
| CATERPILLAR INC                                      |           | 20.0000  | 37.6850                  | 11/26/03         | 10/06/09   | 344.80   | 753.70                 | -408.90   |
| CHEVRON CORPORATION                                  |           | 10.0000  | 73.6800                  | 07/10/06         | 10/06/09   | 519.10   | 736.80                 | -217.70   |
| CISCO SYSTEMS INC                                    |           | 17.0000  | 37.6750                  | 11/26/03         | 10/06/09   | 1,199.20 | 640.48                 | 558.72    |
|                                                      |           | 22.0000  | 32.4767                  | 10/30/07         | 10/06/09   | 515.69   | 714.48                 | -198.79   |
|                                                      |           | 31.0000  | 23.5578                  | 02/11/08         | 10/06/09   | 726.66   | 730.30                 | -3.64     |
| COCA-COLA COMPANY                                    |           | 34.0000  | 46.6900                  | 11/26/03         | 10/06/09   | 1,855.72 | 1,587.46               | 268.26    |
| CONOCOPHILLIPS                                       |           | 25.0000  | 37.5000                  | 06/07/04         | 10/06/09   | 1,212.25 | 937.50                 | 274.75    |
| EMERSON ELECTRIC CO                                  |           | 97.0000  | 30.2600                  | 11/26/03         | 03/30/09   | 2,668.17 | 2,935.22               | -267.05   |
|                                                      |           | 19.0000  | 32.6000                  | 03/30/05         | 03/30/09   | 522.64   | 619.40                 | -96.76    |
|                                                      |           | 73.0000  | 32.6000                  | 03/30/05         | 06/25/09   | 2,391.13 | 2,379.80               | 11.33     |
| ENERGY CORP NEW                                      |           | 5.0000   | 105.1700                 | 02/11/08         | 10/06/09   | 396.55   | 525.85                 | -129.30   |
| EXELON CORPORATION                                   |           | 7.0000   | 77.3900                  | 02/11/08         | 10/06/09   | 340.69   | 541.73                 | -201.04   |
| EXXON MOBIL CORP                                     |           | 29.0000  | 36.2000                  | 11/26/03         | 10/06/09   | 1,992.50 | 1,049.80               | 942.70    |
| FLUOR CORP (NEW)                                     |           | 17.0000  | 48.1000                  | 04/27/06         | 10/06/09   | 812.94   | 817.70                 | -4.76     |
| FOMENTO ECONOMICO<br>MEXICANO S.A.B DE C.V.<br>(NEW) |           | 13.0000  | 43.7830                  | 04/21/08         | 10/06/09   | 599.56   | 569.18                 | 30.38     |
|                                                      |           | 31.0000  | 43.7830                  | 04/21/08         | 12/10/09   | 1,476.88 | 1,357.28               | 119.60    |
| FRANKLIN RESOURCES INC                               |           | 5.0000   | 97.9738                  | 05/28/08         | 10/06/09   | 515.50   | 489.87                 | 25.63     |
| GENERAL ELECTRIC COMPANY                             |           | 74.0000  | 28.7400                  | 11/26/03         | 02/06/09   | 820.83   | 2,126.76               | -1,305.93 |
|                                                      |           | 128.0000 | 28.7400                  | 11/26/03         | 10/06/09   | 2,084.15 | 3,678.72               | -1,594.57 |

001 PIND DP52





## Realized Gain/Loss

## ADVISORS

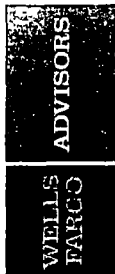
**Account Number: 4556-4864**  
**JAMES O & ALICE F COLE**  
**FOUNDATION**  
**FAYEZ LC GROWTH**

| <b>Long Term</b>                | <i>Continued</i> |                          |                  |            |             |                        |           |  |  |
|---------------------------------|------------------|--------------------------|------------------|------------|-------------|------------------------|-----------|--|--|
| DESCRIPTION                     | QUANTITY         | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS    | ADJ COST/<br>ORIG COST | GAIN/LOSS |  |  |
| PEPSICO INCORPORATED            | 22.0000          | 51.0300                  | 06/19/02         | 10/06/09   | 1,346.62    | 1,122.66               | 223.96    |  |  |
| PHILIP MORRIS INTERNATIONAL INC | 40.0000          | 27.4607                  | 11/26/03         | 10/06/09   | 1,986.40    | 1,098.43               | 887.97    |  |  |
| PRAXAIR INC                     | 7.0000           | 47.8500                  | 03/10/05         | 10/06/09   | 584.83      | 334.95                 | 229.88    |  |  |
| PROCTER & GAMBLE CO             | 25.0000          | 47.9350                  | 11/26/03         | 10/06/09   | 1,422.50    | 1,198.38               | 224.12    |  |  |
| PRUDENTIAL FINANCIAL INC        | 5.0000           | 91.6564                  | 04/09/07         | 10/06/09   | 254.60      | 458.28                 | -203.68   |  |  |
| QUALCOMM INC                    | 13.0000          | 36.0950                  | 11/29/06         | 10/06/09   | 560.17      | 469.24                 | 90.93     |  |  |
| ROYAL DUTCH SHELL PLC           |                  |                          |                  |            |             |                        |           |  |  |
| AD CL A                         | 16.0000          | 45.0500                  | 11/26/03         | 10/06/09   | 917.28      | 720.80                 | 196.48    |  |  |
| SCHLUMBERGER LTD                | 6.0000           | 97.8955                  | 08/27/08         | 10/06/09   | 358.08      | 587.38                 | -229.30   |  |  |
| SYSCO CORPORATION               | 10.0000          | 35.1972                  | 11/04/04         | 10/06/09   | 246.50      | 351.98                 | -105.48   |  |  |
| TARGET CORP                     | 26.0000          | 33.5634                  | 09/26/02         | 10/06/09   | 1,246.44    | 872.64                 | 373.80    |  |  |
|                                 | 29.0000          | 39.1146                  | 11/13/03         | 10/06/09   | 1,390.26    | 1,134.33               | 255.93    |  |  |
| TEXAS INSTRUMENTS INC           | 22.0000          | 29.2681                  | 11/29/06         | 10/06/09   | 510.40      | 643.90                 | -133.50   |  |  |
| TOTAL S A SPONS ADR             | 15.0000          | 58.9397                  | 02/28/05         | 10/06/09   | 889.81      | 884.10                 | 5.71      |  |  |
| TRANSOCEAN LTD                  |                  |                          |                  |            |             |                        |           |  |  |
| ORDINARY SHARES                 | 6.0000           | 78.5999                  | 07/10/06         | 10/06/09   | 517.14      | 471.60                 | 45.54     |  |  |
|                                 | 11.0000          | 78.5999                  | 07/10/06         | 11/23/09   | 940.11      | 864.60                 | 75.51     |  |  |
| UNITED TECHNOLOGIES CORP        | 12.0000          | 63.8000                  | 05/18/06         | 10/06/09   | 739.68      | 765.60                 | -25.92    |  |  |
| WAL-MART STORES INC             | 15.0000          | 52.2982                  | 12/20/04         | 10/06/09   | 742.95      | 784.48                 | -41.53    |  |  |
| WALGREEN COMPANY                | 24.0000          | 36.7600                  | 11/26/03         | 10/06/09   | 920.16      | 882.24                 | 37.92     |  |  |
| WEATHERFORD INTL LTD            | 126.0000         | 28.3184                  | 07/11/07         | 02/06/09   | 1,542.06    | 3,568.11               | -2,026.05 |  |  |
| Total - Long Term               |                  |                          |                  |            | \$64,508.58 | \$69,007.67            |           |  |  |

# Realized Gain/Loss

Page 15 of 17

As of Date: 2/05/10



Account Number: 4556-4029  
JAMES & ALICE COLE FOUNDATION  
BRANDES MC GLOBAL

## Realized Gain/Loss Detail for Year

| Short Term                               |  |  |  | DATE     |          | PROCEEDS          | ADJ PRICE/<br>ORIG PRICE | QUANTITY | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|------------------------------------------|--|--|--|----------|----------|-------------------|--------------------------|----------|------------------------|-----------|
| DESCRIPTION                              |  |  |  | ACQUIRED | CLOSE    |                   |                          |          |                        |           |
| BIOVAIL CORP                             |  |  |  | 04/30/08 | 01/20/09 | 373.98            | 11.4952                  | 35.0000  | 402.34                 | -28.36    |
| HUNTINGTON BANCSHRES INC                 |  |  |  | 06/27/08 | 03/18/09 | 208.52            | 6.1071                   | 105.0000 | 641.25                 | -432.73   |
| IMPERIAL HOLDINGS ADR                    |  |  |  | 05/06/08 | 03/13/09 | 378.10            | 10.2415                  | 85.0000  | 870.53                 | -492.43   |
| JD GROUP LTD<br>SPON ADR                 |  |  |  | 05/05/08 | 01/07/09 | 574.08            | 4.8549                   | 140.0000 | 679.69                 | -105.61   |
|                                          |  |  |  | 05/05/08 | 01/09/09 | 652.74            | 4.8549                   | 165.0000 | 801.05                 | -148.31   |
| MYLAN INC                                |  |  |  | 06/04/08 | 01/09/09 | 296.70            | 3.7880                   | 75.0000  | 284.10                 | 12.60     |
|                                          |  |  |  | 10/29/08 | 02/13/09 | 414.71            | 6.6148                   | 35.0000  | 231.52                 | 183.19    |
|                                          |  |  |  | 10/29/08 | 03/27/09 | 792.00            | 6.6148                   | 60.0000  | 396.89                 | 395.11    |
| NOVA CHEMICALS CORP                      |  |  |  | 10/22/08 | 02/25/09 | 197.05            | 13.8124                  | 35.0000  | 483.43                 | -286.38   |
| PHOENIX COMPANIES INC                    |  |  |  | 05/19/08 | 03/12/09 | 2.85              | 10.3371                  | 10.0000  | 103.37                 | -100.52   |
| ROYAL CARIBBEAN<br>CRUISES LTD<br>NORWAY |  |  |  | 06/25/08 | 03/12/09 | 58.55             | 8.7900                   | 205.0000 | 1,801.95               | -1,743.40 |
| SUN MICROSYSTEMS INC                     |  |  |  | 10/29/08 | 03/12/09 | 286.24            | 15.8500                  | 40.0000  | 634.00                 | -347.76   |
|                                          |  |  |  | 10/15/08 | 03/18/09 | 650.90            | 5.3448                   | 75.0000  | 400.87                 | 250.03    |
| VIRTUS INVESTMENT<br>PARTNERS INC        |  |  |  | 10/15/08 | 04/02/09 | 449.09            | 5.3448                   | 55.0000  | 293.97                 | 155.12    |
| WESTLAKE CHEMICAL CORP                   |  |  |  | 01/02/09 | 01/02/09 | 6.00              | 8.7500                   | 0.7500   | 6.56                   | -0.56     |
| <b>Total - Short Term</b>                |  |  |  | 03/17/08 | 02/06/09 | 576.76            | 13.3559                  | 40.0000  | 534.24                 | 42.52     |
|                                          |  |  |  |          |          | <b>\$5,918.27</b> |                          |          | <b>\$8,565.76</b>      |           |

STATEMENT E

001 / PIND / PHZZ

## Realized Gain/Loss

Page 16 of 17

As of Date: 2/05/10

Account Number: 4556-4029  
JAMES & ALICE COLE FOUNDATION  
BRANDES MC GLOBAL

| Long Term<br>DESCRIPTION       | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------------|----------|--------------------------|------------------|------------|----------|------------------------|-----------|
| BRIGGS & STRATTON CORP         | 40.0000  | 26.2049                  | 08/04/06         | 02/06/09   | 607.68   | 1,048.19               | -440.51   |
|                                | 5.0000   | 28.2968                  | 09/06/06         | 02/06/09   | 75.96    | 141.49                 | -65.53    |
| CHEMTURA CORP                  | 105.0000 | 9.0075                   | 06/09/06         | 03/20/09   | 2.68     | 945.78                 | -943.10   |
|                                | 115.0000 | 9.0457                   | 07/10/06         | 03/20/09   | 2.94     | 1,040.26               | -1,037.32 |
|                                | 190.0000 | 8.1930                   | 08/16/06         | 03/20/09   | 4.88     | 1,556.67               | -1,551.79 |
| CONSECO INC NEW                | 100.0000 | 19.9638                  | 11/22/06         | 03/12/09   | 34.62    | 1,996.37               | -1,961.75 |
|                                | 120.0000 | 19.9913                  | 01/19/07         | 03/12/09   | 41.55    | 2,398.96               | -2,357.41 |
|                                | 95.0000  | 17.7308                  | 04/18/07         | 03/12/09   | 32.91    | 1,684.43               | -1,651.52 |
| DEBENHAMS PLC UNSPONSOR<br>ADR | 265.0000 | 11.0329                  | 06/22/07         | 02/27/09   | 570.68   | 2,923.72               | -2,353.04 |
|                                | 70.0000  | 11.0329                  | 06/22/07         | 03/13/09   | 159.40   | 772.30                 | -612.90   |
|                                | 40.0000  | 10.9553                  | 07/11/07         | 03/13/09   | 91.10    | 438.22                 | -347.12   |
| DELPHI CORP                    | 125.0000 | 6.8831                   | 02/28/05         | 03/12/09   | 5.00     | 860.39                 | -855.39   |
|                                | 315.0000 | 4.9258                   | 03/10/05         | 03/12/09   | 12.60    | 1,551.63               | -1,539.03 |
|                                | 120.0000 | 3.7000                   | 05/11/05         | 03/12/09   | 4.80     | 444.00                 | -439.20   |
| HACHIJUNI BANK LTD UNS<br>ADR  | 10.0000  | 72.1030                  | 07/11/07         | 03/16/09   | 559.19   | 721.03                 | -161.84   |
| IDEARC INC                     | 130.0000 | 17.5758                  | 12/20/07         | 03/12/09   | 9.10     | 2,284.85               | -2,275.75 |
|                                | 110.0000 | 9.2792                   | 02/08/08         | 03/12/09   | 7.70     | 1,020.71               | -1,013.01 |
| IMPERIAL HOLDINGS ADR          | 5.0000   | 9.6180                   | 02/08/08         | 03/13/09   | 22.24    | 48.09                  | -25.85    |
| KEMET CORP                     | 5.0000   | 12.1164                  | 06/30/04         | 01/30/09   | 1.06     | 60.58                  | -59.52    |
|                                | 195.0000 | 8.1917                   | 07/28/05         | 01/30/09   | 41.64    | 1,597.38               | -1,555.74 |
| LEAR CORP                      | 35.0000  | 29.8163                  | 10/19/05         | 02/03/09   | 34.83    | 1,043.56               | -1,008.73 |
|                                | 60.0000  | 22.7554                  | 02/16/06         | 02/03/09   | 59.73    | 1,365.32               | -1,305.59 |
| MCCLATCHY COMPANY CL A         | 50.0000  | 39.9298                  | 07/10/06         | 02/05/09   | 35.15    | 1,996.49               | -1,961.34 |

001 / PIND / PHZZ



As of Date: 2/05/10

## Realized Gain/Loss



Account Number: 4556-4029  
 JAMES & ALICE COLE FOUNDATION  
 BRANDES MC GLOBAL

| Long Term<br>DESCRIPTION | Continued | QUANTITY | ADJ PRICE/<br>ORIG PRICE | DATE<br>ACQUIRED | CLOSE DATE | PROCEEDS          | ADJ COST/<br>ORIG COST | GAIN/LOSS |
|--------------------------|-----------|----------|--------------------------|------------------|------------|-------------------|------------------------|-----------|
|                          |           | 60.0000  | 42.6183                  | 12/26/06         | 02/05/09   | 42.19             | 2,557.10               | -2,514.91 |
|                          |           | 125.0000 | 31.1502                  | 04/02/07         | 02/05/09   | 87.90             | 3,893.78               | -3,805.88 |
|                          |           | 75.0000  | 26.1067                  | 07/06/07         | 02/05/09   | 52.75             | 1,958.00               | -1,905.25 |
| OLD REPUBLIC INTL CORP   |           | 100.0000 | 18.1244                  | 08/29/07         | 03/27/09   | 1,083.24          | 1,812.44               | -729.20   |
| PROMISE CO LTD           |           | 15.0000  | 18.0068                  | 10/18/06         | 03/13/09   | 91.89             | 270.10                 | -178.21   |
| UNSPONSORED ADR          |           | 45.0000  | 17.1064                  | 02/28/07         | 03/13/09   | 275.70            | 769.79                 | -494.09   |
| <b>Total - Long Term</b> |           |          |                          |                  |            | <b>\$4,051.11</b> | <b>\$39,201.63</b>     |           |