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# Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

# 2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

G Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>SOGG FOUNDATION INC. C/O CAROL S. MARKEY</b> Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>27120 KINDLEWOOD LANE</b> City or town, state, and ZIP code <b>BONITA SPRINGS, FL 34134</b>	A Employer identification number <b>34-6537192</b> B Telephone number <b>(239) 498-1441</b>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>635,457.</b> (Part I, column (d) must be on cash basis.)		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	1,625.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	12,427.	12,427.		STATEMENT 1
5a Gross rents				
b Net rental income or loss				
6a Net gain or (loss) from sale of assets not on line 10	13,942.			
b Gross sales price for all assets on line 6a	13,942.66.			
7 Capital gain net income (from Part IV, line 2)		13,942.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	55.	55.		STATEMENT 2
12 Total. Add lines 1 through 11	28,049.	26,424.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 3	3,237.	809.		0.
c Other professional fees STMT 4	6,258.	6,258.		0.
17 Interest				
18 Taxes STMT 5	593.	237.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 6	894.	894.		0.
24 Total operating and administrative expenses. Add lines 13 through 23	10,982.	8,198.		0.
25 Contributions, gifts, grants paid	63,900.			63,900.
26 Total expenses and disbursements. Add lines 24 and 25	74,882.	8,198.		63,900.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<46,833.>			
b Net investment income (if negative, enter -0-)		18,226.		
c Adjusted net income (if negative, enter -0-)			N/A	

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	240,110.	166,722.	166,722.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
11 Investments - land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <span style="float: right;">STMT 8</span>	369,763.	468,735.	468,735.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ _____)				
<b>16 Total assets (to be completed by all filers)</b>	<b>609,873.</b>	<b>635,457.</b>	<b>635,457.</b>	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
<b>23 Total liabilities (add lines 17 through 22)</b>	<b>0.</b>	<b>0.</b>		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	226,930.	226,930.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	29,800.	29,800.	
29 Retained earnings, accumulated income, endowment, or other funds	353,143.	378,727.		
<b>30 Total net assets or fund balances</b>	<b>609,873.</b>	<b>635,457.</b>		
<b>31 Total liabilities and net assets/fund balances</b>	<b>609,873.</b>	<b>635,457.</b>		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 <small>(must agree with end-of-year figure reported on prior year's return)</small>	1	609,873.
2 Enter amount from Part I, line 27a	2	<46,833.>
3 Other increases not included in line 2 (itemize) ▶ <span style="float: right;">SEE STATEMENT 7</span>	3	72,417.
4 Add lines 1, 2, and 3	4	635,457.
5 Decreases not included in line 2 (itemize) ▶	5	0.
<b>6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	<b>6</b>	<b>635,457.</b>

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	<b>SEE ATTACHED STATEMENTS</b>			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	873,966.	860,024.	13,942.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			13,942.	
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	13,942.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	46,220.	745,710.	.061981
2007	54,420.	898,398.	.060574
2006	23,710.	846,608.	.028006
2005	16,900.	715,599.	.023617
2004	30,850.	668,394.	.046155

2	Total of line 1, column (d)	2	.220333
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044067
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	591,074.
5	Multiply line 4 by line 3	5	26,047.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	182.
7	Add lines 5 and 6	7	26,229.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	63,900.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	1	182.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3 Add lines 1 and 2	3	182.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	182.
6 Credits/Payments:		
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	80.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	80.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	102.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> OH		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ CAROL S. MARKEY Telephone no. ▶ (239) 498-1441 Located at ▶ 27120 KINDLEWOOD LANE, BONITA SPRINGS, FL ZIP+4 ▶ 34134			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here **N/A**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) **N/A**

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870. **6b** **X**

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
**7b** **N/A**

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROL S. MARKEY 27120 KINDLEWOOD LANE BONITA SPRINGS, FL 34134	PRESIDENT AND	TREASURER		
RANDOLPH D. MARKEY 24000 WIMBELEDON ROAD SHAKER HEIGHTS, OH 44122	VICE PRESIDENT AND	SECRETARY		
ROBERT G. MARKEY JR. 28 WINDSOR ROAD WELLESLEY, MA 02481	VICE PRESIDENT			

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**



**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	432,288.
b	Average of monthly cash balances	1b	167,787.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	600,075.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	600,075.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	9,001.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	591,074.
6	Minimum investment return. Enter 5% of line 5	6	29,554.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	29,554.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	182.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	182.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	29,372.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	29,372.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	29,372.

**Part XII** Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	63,900.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	63,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	182.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	63,718.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				29,372.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			20,691.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 63,900.				
a Applied to 2008, but not more than line 2a			20,691.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				29,372.
e Remaining amount distributed out of corpus	13,837.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,837.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	13,837.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	13,837.			

**SOGG FOUNDATION INC.**

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
<b>b</b> 85% of line 2a					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a "Assets" alternative test - enter:</b>					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed</b>					
<b>c "Support" alternative test - enter:</b>					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

**1 Information Regarding Foundation Managers:**  
**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
**NONE**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:  
**CAROL S. MARKEY, 239-498-1441**  
**27120 KINDLEWOOD LANE, BONITA SPRINGS, FL 34134**

**b** The form in which applications should be submitted and information and materials they should include:  
**BRIEF LETTER OUTLINING NEEDS AND GOALS**

**c** Any submission deadlines:  
**PRIOR TO SEPTEMBER 1 ANNUALLY**

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**NO UNSOLICITED REQUESTS ACCEPTED - FAVOR ORGANIZATIONS FAMILIAR TO TRUSTEES**

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE STATEMENT 9				
<b>Total</b>			▶ 3a	<b>63,900.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			▶ 3b	<b>0.</b>





**Part IV** Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SMITHBARNEY A/C 16A-03288-15 083 (SEE ATTACHED)	P	04/03/09	04/15/09
b	SMITHBARNEY A/C 16A-03288-15 083 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	SMITHBARNEY A/C 16A-03289-14 083 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	SMITHBARNEY A/C 16A-03289-14 083 (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	SMITHBARNEY A/C 16A-03290-11 083 (SEE ATTACHED)	P	VARIOUS	VARIOUS
f	SMITHBARNEY A/C 16A-03290-11 083 (SEE ATTACHED)	P	03/01/05	08/31/09
g	NATIONAL FINANCIAL SERV A/C AEL-302015 (SEE ATTAC	P	VARIOUS	VARIOUS
h	NATIONAL FINANCIAL SERV A/C AEL-302015 (SEE ATTAC	P	VARIOUS	VARIOUS
i	FROM K-1: CITIGROUP DIVERSIFIED FUTURES FUND LP	P	VARIOUS	VARIOUS
j	FROM K-1: CITIGROUP DIVERSIFIED FUTURES FUND LP	P	VARIOUS	VARIOUS
k	SEE ATTACHED FORM 6781 - LINE 5	P	VARIOUS	VARIOUS
l	CAPITAL GAIN DISTRIBUTION A/C 16A-03290-11 083	P	VARIOUS	VARIOUS
m	CAPITAL GAIN DISTRIBUTION A/C AEL-302015	P	VARIOUS	VARIOUS
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	29,474.		29,214.	260.
b	4,272.		7,232.	<2,960.>
c	166,199.		179,274.	<13,075.>
d	13,584.		19,970.	<6,386.>
e	147,438.		146,425.	1,013.
f	44,808.		32,679.	12,129.
g	370,718.		345,676.	25,042.
h	97,935.		99,554.	<1,619.>
i	198.			198.
j	<11.>			<11.>
k	<1,046.>			<1,046.>
l	285.			285.
m	112.			112.
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				260.
b				<2,960.>
c				<13,075.>
d				<6,386.>
e				1,013.
f				12,129.
g				25,042.
h				<1,619.>
i				198.
j				<11.>
k				<1,046.>
l				285.
m				112.
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	13,942.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

THE SOGG FOUNDATION

Account Number 16A-03288-15 083

**Details of Short Term Gain (Loss) 2009**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	350	ISHARES TR S&P 500 INDEX FD	04/03/09	04/15/09	\$ 29,473.79	\$ 29,213.80		\$ 259.99
<b>Total</b>					<b>\$ 29,473.79</b>	<b>\$ 29,213.80</b>		<b>\$ 259.99</b>

**Details of Long Term Gain (Loss) 2009**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	3.3279	TYCO ELECTRONICS LTD	05/26/04	03/23/09	\$ 35.97	\$ 117.61	(\$ 81.64)	
	23.6721		04/12/07		255.90	904.65	(648.75)	
125000020	25	H & R BLOCK INC	05/26/04	04/01/09	444.32	608.15	(163.83)	
125000030	35	CITIGROUP INC CITIGROUP GLOBAL MARKETS INC. IS A CITIGROUP OWNED CO.	05/26/04	03/11/09	51.44	1,628.20	(1,576.76)	
125000040	55	COMCAST CORP CL A - SPL	05/26/04	05/19/09	784.48	1,056.91	(272.43)	
125000060	45	JPMORGAN CHASE & CO	05/26/04	01/22/09	1,046.32	1,656.07	(609.75)	
125000070	15	PHILIP MORRIS INTL INC	05/26/04	03/02/09	495.95	378.25		117.70
	35		05/26/04		1,157.21	882.59		274.62
<b>Total</b>					<b>\$ 4,271.59</b>	<b>\$ 7,232.43</b>	<b>(\$ 3,353.16)</b>	<b>\$ 382.32</b>

2 0 0 9 Y E A R E N D S U M M A R Y



**Details of Earnings 2009 - continued**

**Dividends and Distributions, Section 2**

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax.

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160002600	*** PETROBRAS THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		\$ 12.14				
160003100	*** SUNCOR ENERGY INC THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		.89				
160003200	*** TAIWAN SEMICONDUCTOR MFG CO LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		24.22				
160003300	*** TEVA PHARMACEUTICAL INDS LTD ADR THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		3.82				
<b>Totals</b>			<b>\$ 41.07</b>				

**Details of Short Term Gain (Loss) 2009**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	4	COVIDIEN LTD	09/17/08	01/29/09	\$ 154.26	\$ 221.80	(\$ 67.54)	
125000020	1	COVIDIEN LTD	09/17/08	03/04/09	30.01	55.45	(25.44)	
	9	COVIDIEN LTD	09/19/08		270.09	486.29	(216.20)	
	10	COVIDIEN LTD	10/14/08		300.11	485.36	(185.25)	
125000030	10	COVIDIEN LTD	10/14/08	03/05/09	284.02	485.35	(201.33)	
125000040	10	COVIDIEN LTD	11/10/08	03/06/09	276.50	417.60	(141.10)	

2 0 0 9 Y E A R E N D S U M M A R Y



Ref: 00002120 00053807

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	6	WEATHERFORD INTL LTD NEW (BERMUDA)	07/22/08	01/20/09	\$ 58.68	\$ 236.48	(\$ 177.80)	
	5		08/12/08		48.90	185.05	(136.15)	
	4		08/13/08		39.12	152.73	(113.61)	
	30		09/12/08		293.38	923.10	(629.72)	
	15		10/14/08		146.69	258.90	(112.21)	
	7		11/10/08		68.45	103.67	(35.22)	
125000060	23	WEATHERFORD INTL LTD NEW (BERMUDA)	11/10/08	01/20/09	220.77	340.62	(119.85)	
125000070	26	WEATHERFORD INTERNATIONAL LTD.-CHF Morgan Stanley Smi th Barney LLC acted as yo	04/24/09	07/24/09	500.64	451.12		49.52
125000080	11	NOBLE CORPORATION-CHF Morgan Stanley Smi th Barney LLC acted as yo	05/29/09	07/13/09	320.83	376.78	(55.95)	
	7		05/29/09		204.17	239.75	(35.58)	
125000090	8	NOBLE CORPORATION-CHF Morgan Stanley Smi th Barney LLC acted as yo	06/01/09	07/14/09	238.10	291.90	(53.80)	
	15		06/02/09		446.44	549.36	(102.92)	
125000100	1	NOBLE CORPORATION-CHF Morgan Stanley Smi th Barney LLC acted as yo	06/02/09	07/15/09	30.59	36.62	(6.03)	
	10		06/02/09		305.88	370.15	(64.27)	
	21		06/03/09		642.34	731.14	(88.80)	
	18		06/03/09		550.58	631.30	(80.72)	
125000150	12	ABBOTT LABORATORIES	01/21/09	04/27/09	518.92	625.64	(106.72)	
	7		02/06/09		302.70	398.90	(96.20)	
125000160	2	ABBOTT LABORATORIES	02/06/09	04/30/09	83.02	113.97	(30.95)	
	14		02/06/09		581.16	798.10	(216.94)	
	9		02/27/09		373.60	430.55	(56.95)	
	5		03/02/09		207.56	235.65	(28.09)	
	20		04/15/09		830.24	848.80	(18.56)	
125000210	15	AMERICAN TOWER CORP-CLASS A	07/07/08	06/08/09	443.60	600.91	(157.31)	
	2	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/12/08		59.15	78.29	(19.14)	



Ref: 00002120 00053808

THE SOGG FOUNDATION  
Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000220	13	AMERICAN TOWER CORP-CLASS A Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/12/08	07/20/09	\$ 421.05	\$ 508.91	(\$ 87.86)	
125000230	5	AMERICAN TOWER CORP-CLASS A	09/25/08	07/21/09	160.66	190.30	(29.64)	
	3	Morgan Stanley Smi	09/26/08		96.40	111.92	(15.52)	
	13	th Barney LLC acted as yo ur agent in this transa	09/26/08		417.71	490.72	(73.01)	
125000240	5	AMERICAN TOWER CORP-CLASS A	09/12/08	07/21/09	160.15	195.74	(35.59)	
	15	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/25/08		480.44	570.92	(90.48)	
125000250	10	AMERICAN TOWER CORP-CLASS A	09/26/08	07/24/09	333.55	377.48	(43.93)	
	2	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/10/08		66.71	58.99		7.72
125000260	8	AON CORP	10/14/08	01/23/09	319.65	316.11		3.54
125000270	2	AON CORP	10/14/08	01/23/09	79.54	79.03		.51
	5		10/14/08		198.85	200.29	(1.44)	
125000280	1	AON CORP	10/14/08	01/26/09	39.35	40.06	(.71)	
	3		10/21/08		118.05	115.01		3.04
125000290	3	AON CORP	10/22/08	01/27/09	113.81	111.43		2.38
	3		10/23/08		113.81	105.20		8.61
	2		10/24/08		75.88	69.89		5.99
125000300	1	AON CORP	10/24/08	01/27/09	38.18	34.95		3.23
	2		10/29/08		76.36	77.46	(1.10)	
	3		10/29/08		114.53	117.34	(2.81)	
125000310	5	AON CORP	10/31/08	01/28/09	191.20	208.99	(17.79)	
	2		11/10/08		76.48	79.31	(2.83)	
125000320	6	AON CORP	11/10/08	01/29/09	229.08	237.93	(8.85)	
125000330	2	AON CORP	11/10/08	01/30/09	74.83	79.31	(4.48)	
	5		11/11/08		187.07	201.25	(14.18)	
	1		11/21/08		37.41	40.57	(3.16)	
125000340	4	AON CORP	11/21/08	01/30/09	148.09	162.29	(14.20)	
	1		12/02/08		37.02	43.69	(6.67)	
	2		12/03/08		74.04	87.02	(12.98)	
125000350	6	AON CORP	03/03/09	05/01/09	217.10	224.34	(7.24)	
	6		03/04/09		217.10	224.10	(7.00)	



Ref: 00002120 00053809

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000360	5	APOLLO GROUP INC CL A	03/05/09	01/07/09	\$ 180.91	\$ 193.64	(\$ 12.73)	
125000370	12	APOLLO GROUP INC CL A	03/05/09	01/09/09	434.19	463.68	(29.49)	
125000380	5	APOLLO GROUP INC CL A	03/09/09	04/01/09	180.91	194.20	(13.29)	
	10	APOLLO GROUP INC CL A	04/15/09		361.82	388.94	(27.12)	
125000390	4	APOLLO GROUP INC CL A	10/29/08	01/07/09	305.12	263.13		41.99
125000400	4	APOLLO GROUP INC CL A	10/29/08	01/09/09	348.12	266.35		81.77
125000410	1	APOLLO GROUP INC CL A	10/29/08	04/01/09	66.17	66.59	(.42)	
	2	APOLLO GROUP INC CL A	10/30/08		132.33	134.16	(1.83)	
	1	APOLLO GROUP INC CL A	10/31/08		66.16	69.70	(3.54)	
125000420	4	APOLLO GROUP INC CL A	11/06/08	04/02/09	275.95	268.90		7.05
	1	APOLLO GROUP INC CL A	11/07/08		68.99	68.94		.05
125000430	2	APOLLO GROUP INC CL A	11/07/08	04/07/09	126.63	137.89	(11.26)	
	4	APOLLO GROUP INC CL A	11/10/08		253.27	278.87	(25.60)	
	3	APOLLO GROUP INC CL A	11/11/08		189.95	206.81	(16.86)	
125000440	1	APOLLO GROUP INC CL A	11/11/08	04/08/09	62.86	68.93	(6.07)	
	4	APOLLO GROUP INC CL A	11/13/08		251.43	265.50	(14.07)	
	4	APOLLO GROUP INC CL A	11/14/08		251.42	278.27	(26.85)	
125000450	32	ARCELORMITTAL NEW	04/30/09	05/08/09	909.36	756.13		153.23
	26	ARCELORMITTAL NEW	05/01/09		738.86	665.54		73.32
125000460	3	ARCELORMITTAL NEW	05/01/09	05/11/09	81.71	76.79		4.92
	31	ARCELORMITTAL NEW	05/01/09		844.30	795.73		48.57
	8	ARCELORMITTAL NEW	05/04/09		217.88	220.43	(2.55)	
125000470	13	ARCELORMITTAL NEW	05/04/09	05/12/09	341.77	358.19	(16.42)	
	25	ARCELORMITTAL NEW	05/05/09		657.24	692.59	(35.35)	
125000480	4	BAXTER INTL INC	03/04/09	05/19/09	197.10	211.78	(14.68)	
125000490	1	BAXTER INTL INC	03/04/09	05/20/09	49.18	52.94	(3.76)	
	5	BAXTER INTL INC	03/05/09		245.92	256.46	(10.54)	
	3	BAXTER INTL INC	03/05/09		147.55	153.91	(6.36)	
125000500	2	BAXTER INTL INC	03/05/09	05/20/09	98.12	102.61	(4.49)	
	4	BAXTER INTL INC	03/06/09		196.24	203.20	(6.96)	
125000510	5	BAXTER INTL INC	03/06/09	05/21/09	243.72	254.79	(11.07)	
	10	BAXTER INTL INC	04/15/09		487.44	484.00		3.44
125000520	7	BEST BUY INC	02/13/09	03/23/09	232.34	213.75		18.59
	10	BEST BUY INC	02/13/09		331.91	305.10		26.81
	4	BEST BUY INC	02/17/09		132.76	113.73		19.03
125000530	11	BEST BUY INC	02/17/09	04/29/09	415.84	312.74		103.10
125000540	9	BEST BUY INC	03/09/09	04/30/09	345.65	224.31		121.34



2009 YEAR END SUMMARY

Ref: 00002120\_00053810

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000520	12	BROADCOM CORP CL A	12/12/08	01/28/09	\$ 218.54	\$ 211.88		\$ 6.66
	3		12/15/08		54.63	51.87		2.76
125000530	3	BROADCOM CORP CL A	12/15/08	02/20/09	49.07	51.86	(2.79)	
	9		12/16/08		147.22	167.04	(19.82)	
	14		12/17/08		229.01	261.52	(32.51)	
	11		12/17/08		179.95	209.35	(29.40)	
125000540	19	BROADCOM CORP CL A	12/17/08	02/23/09	297.40	361.60	(64.20)	
	9		12/19/08		140.88	159.39	(18.51)	
125000550	10	BROADCOM CORP CL A	12/19/08	02/24/09	158.69	177.10	(18.41)	
	9		12/22/08		142.82	155.36	(12.54)	
	9		12/23/08		142.82	153.26	(10.44)	
125000560	7	BROADCOM CORP CL A	04/08/09	04/09/09	161.60	153.33		8.27
125000570	3	CF INDUSTRIES HOLDINGS INC	04/08/09	05/22/09	243.17	213.59		29.58
125000580	1	CF INDUSTRIES HOLDINGS INC	04/08/09	05/27/09	80.74	71.19		9.55
	2		04/09/09		161.49	144.52		16.97
	5		04/13/09		403.72	365.26		38.46
	1		04/14/09		80.75	73.90		6.85
125000590	2	CF INDUSTRIES HOLDINGS INC	04/14/09	05/28/09	156.70	147.79		8.91
	3		04/15/09		235.06	220.74		14.32
125000600	17	CF INDUSTRIES HOLDINGS INC	04/15/09	05/29/09	1,319.81	1,250.86		68.95
125000610	2	CME GROUP INC	03/19/09	04/29/09	449.70	465.64	(15.94)	
	2	CLASS A	03/19/09		449.70	467.60	(17.90)	
	4		04/15/09		899.40	936.76	(37.36)	
125000620	2	CME GROUP INC	03/17/09	04/29/09	452.37	428.47		23.90
	1	CLASS A	03/18/09		226.18	231.13	(4.95)	
125000640	10	CATERPILLAR INC	05/01/09	06/30/09	330.78	371.47	(40.69)	
	9	Morgan Stanley Smi	05/04/09		297.70	343.54	(45.84)	
	16	th Barney LLC acted as yo ur agent in this transa	05/06/09		529.26	634.50	(105.24)	
125000650	16	CATERPILLAR INC	05/06/09	07/01/09	543.24	634.49	(91.25)	
	10	Morgan Stanley Smi	05/13/09		339.52	360.50	(20.98)	
	9	th Barney LLC acted as yo ur agent in this transa	05/29/09		305.57	316.59	(11.02)	
125000670	24	CISCO SYS INC	05/02/08	04/07/09	402.40	635.72	(233.32)	
125000680	14	COMPANHIA VALE DI RIO ADR	02/09/09	03/03/09	173.59	236.26	(62.67)	
	4	DOCE	02/09/09		49.60	67.68	(18.08)	



Ref: 00002120 00053811

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000690	16	COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/04/09	\$ 210.99	\$ 270.73	(\$ 59.74)	
125000700	17	COMPANHIA VALE DI RIO ADR DOCE	02/09/09	03/05/09	222.48	287.65	(65.17)	
125000710	6	COMPANHIA VALE DI RIO ADR	02/09/09	03/05/09	77.72	101.52	(23.80)	
	11	DOCE	02/09/09		142.48	184.44	(41.96)	
125000720	4	COMPANHIA VALE DI RIO ADR	02/09/09	03/06/09	50.15	67.07	(16.92)	
	16	DOCE	02/10/09		200.59	255.61	(55.02)	
	16		02/20/09		200.59	216.66	(16.07)	
125000730	33	DELTA AIR LINES INC (NEW)	01/20/09	01/29/09	269.47	377.34	(107.87)	
125000740	34	DELTA AIR LINES INC	01/20/09	01/30/09	236.68	388.78	(152.10)	
	1	(NEW)	01/21/09		6.96	9.51	(2.55)	
125000750	15	DELTA AIR LINES INC	01/21/09	02/02/09	105.69	142.59	(36.90)	
	37	(NEW)	01/22/09		260.69	385.04	(124.35)	
	13		01/27/09		91.59	104.43	(12.84)	
125000760	1	DIRECTV GROUP INC	09/12/08	04/07/09	23.75	27.66	(3.91)	
125000770	19	DIRECTV GROUP INC	09/12/08	06/01/09	436.71	525.52	(88.81)	
	14	CGMI AND/OR ITS AFFILIATES	10/14/08		321.78	314.10	7.68	
125000780	24	EMC CORP-MASS	10/27/08	02/27/09	254.64	239.18	15.46	
125000790	3	EMC CORP-MASS	10/27/08	03/04/09	31.80	29.90	1.90	
	22		10/28/08		233.23	230.83	2.40	
	13		10/29/08		137.82	136.50	1.32	
125000800	7	EMC CORP-MASS	10/29/08	03/20/09	80.49	73.50	6.99	
	14		10/31/08		160.99	165.92	(4.93)	
125000810	19	EMC CORP-MASS	11/10/08	03/30/09	211.32	202.33	8.99	
125000820	11	EMC CORP-MASS	11/10/08	04/15/09	136.81	117.14	19.67	
	26		11/10/08		323.38	277.85	45.53	
	10		11/11/08		124.38	102.19	22.19	
	24		12/11/08		298.51	252.38	46.13	
	17		12/15/08		211.44	183.56	27.88	
125000890	5	EQUINIX INC	10/14/08	06/25/09	349.04	303.43	45.61	
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/10/08		139.62	123.79	15.83	



Ref: 00002120 00053812

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000900	5	EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	11/10/08	06/25/09	\$ 348.08	\$ 309.48		\$ 38.60
125000910	3	EQUINIX INC	11/10/08	06/26/09	208.95	185.68		23.27
	5	Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/15/09		348.25	303.95		44.30
125000920	5	EQUINIX INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/15/09	06/29/09	345.06	303.95		41.11
125000930	1	FIRST SOLAR INC	05/01/08	02/06/09	146.35	276.13	(129.78)	
	3		05/30/08		439.06	816.58	(377.52)	
125000940	2	FIRST SOLAR INC	05/30/08	02/10/09	292.05	544.38	(252.33)	
125000950	1	FIRST SOLAR INC	06/06/08	02/17/09	134.22	255.48	(121.26)	
125000960	1	FIRST SOLAR INC	06/06/08	02/18/09	131.03	255.47	(124.44)	
	2		06/11/08		262.06	513.71	(251.65)	
	3		09/12/08		393.08	646.22	(253.14)	
125000970	1	FIRST SOLAR INC	10/14/08	02/26/09	104.41	150.06	(45.65)	
	3		10/31/08		313.23	435.42	(122.19)	
	5		11/10/08		522.06	682.89	(160.83)	
125000980	28	FORD MOTOR COMPANY Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/29/09	07/24/09	188.98	150.91		38.07
	27		04/29/09		182.23	145.01		37.22
	32		04/30/09		215.98	186.84		29.14
125000990	3	GENENTECH INC	02/19/09	02/27/09	256.81	254.95		1.86
125001000	3	GENENTECH INC	02/19/09	03/12/09	282.05	255.19		26.86
	1		02/20/09		94.02	85.00		9.02
125001010	4	GENENTECH INC	02/20/09	03/18/09	374.72	340.01		34.71
	5		03/04/09		468.41	410.59		57.82
125001040	2	GOLDMAN SACHS GROUP INC	12/31/08	01/20/09	126.47	168.92	(42.45)	
125001050	3	GOLDMAN SACHS GROUP INC	12/31/08	02/06/09	285.22	253.37		31.85
125001060	1	GOLDMAN SACHS GROUP INC	12/31/08	02/20/09	82.00	84.46	(2.46)	
	4		01/02/09		328.02	332.31	(4.29)	
125001070	1	GOLDMAN SACHS GROUP INC	01/02/09	02/23/09	83.06	83.08	(.02)	
	5		01/05/09		415.29	440.77	(25.48)	



Ref: 00002120 00053813

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001080	6	GOLDMAN SACHS GROUP INC	04/14/09	05/29/09	\$ 856.90	\$ 719.54		\$ 137.36
	3		04/15/09		428.45	348.39		80.06
	3		04/16/09		428.45	364.66		63.79
	3		04/20/09		428.45	351.49		76.96
	3		04/23/09		428.45	358.94		69.51
	3		04/29/09		428.45	379.04		49.41
	2		05/04/09		285.63	263.54		22.09
125001090	2	GOOGLE INC CLASS A	09/12/08	02/23/09	667.57	872.22	(204.65)	
125001100	1	GOOGLE INC CLASS A	09/12/08	02/25/09	346.79	436.11	(89.32)	
	1		09/17/08		346.79	417.68	(70.89)	
125001110	7	HEWLETT PACKARD CO	09/12/08	05/07/09	241.04	327.03	(85.99)	
	7		09/16/08		241.04	340.58	(99.54)	
	12		09/17/08		413.21	564.28	(151.07)	
	6		10/14/08		206.60	246.04	(39.44)	
125001140	7	INTL BUSINESS MACHINES CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	09/12/08	07/24/09	821.51	827.96	(6.45)	
125001150	488	ISHARES TR S&P 500 INDEX FD	04/03/09	04/15/09	41,124.36	40,732.38		391.98
125001160	9	JPMORGAN CHASE & CO	10/14/08	01/15/09	232.39	367.61	(135.22)	
125001170	12	JPMORGAN CHASE & CO	10/14/08	01/21/09	245.79	490.15	(244.36)	
125001180	9	JPMORGAN CHASE & CO	10/14/08	02/13/09	226.61	367.62	(141.01)	
	2		11/10/08		50.36	72.58	(22.22)	
125001190	8	JPMORGAN CHASE & CO	11/10/08	02/17/09	179.25	290.31	(111.06)	
	2		11/10/08		44.81	73.27	(28.46)	
125001200	5	JPMORGAN CHASE & CO	11/10/08	02/18/09	107.21	183.19	(75.98)	
	8		11/11/08		171.54	286.78	(115.24)	
	7		11/25/08		150.10	206.72	(56.62)	
125001210	6	KOHL'S CORP	08/11/08	03/12/09	229.40	308.01	(78.61)	
125001220	5	KOHL'S CORP	08/11/08	03/17/09	195.19	256.68	(61.49)	
	1		08/12/08		39.04	49.73	(10.69)	
125001230	6	KOHL'S CORP	08/12/08	03/18/09	246.40	298.37	(51.97)	
125001240	2	KOHL'S CORP	08/12/08	03/26/09	85.61	99.46	(13.85)	
125001250	5	KOHL'S CORP	08/12/08	03/31/09	213.63	248.64	(35.01)	
	1		08/12/08		42.72	48.97	(6.25)	



Ref 00002120 00053814

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001260	18	KOHL CORP	08/12/08	05/05/09	\$ 791.60	\$ 881.40	(\$ 89.80)	
	9		09/12/08		395.80	455.91	(60.11)	
125001270	1	KOHL CORP	09/12/08	05/06/09	43.62	50.66	(7.04)	
	20		11/10/08		872.43	664.11		208.32
	8		11/10/08		348.97	267.28		81.69
	4		11/11/08		174.49	121.38		53.11
	4		01/21/09		174.49	147.51		26.98
125001280	3	KOHL CORP	01/21/09	05/07/09	133.80	110.64		23.16
	30		04/15/09		1,337.98	1,301.70		36.28
125001290	2	LOCKHEED MARTIN CORP	12/04/08	02/10/09	156.00	152.58		3.42
	6		12/05/08		468.00	454.09		13.91
125001300	1	LOCKHEED MARTIN CORP	12/05/08	02/11/09	77.88	75.68		2.20
	3		12/11/08		233.63	228.74		4.89
	4		12/12/08		311.51	309.62		1.89
125001310	8	LOWES COMPANIES INC	02/11/09	05/18/09	158.57	146.01		12.56
	25		02/12/09		495.54	450.43		45.11
	3		02/12/09		59.47	54.13		5.34
125001320	12	LOWES COMPANIES INC	03/19/09	06/17/09	229.93	209.79		20.14
	25	Morgan Stanley Sml	03/19/09		479.02	439.02		40.00
	3	th Barney LLC acted as yo	03/25/09		57.48	55.25		2.23
	22	ur agent in this transa	04/15/09		421.54	425.67	(4.13)	
125001330	21	LOWES COMPANIES INC	02/12/09	06/17/09	393.26	378.87		14.39
	25	Morgan Stanley Sml	02/13/09		468.16	450.44		17.72
	1	th Barney LLC acted as yo	03/19/09		18.73	17.48		1.25
		ur agent in this transa						
125001340	38	LOWES COMPANIES INC	04/15/09	06/18/09	707.53	735.24	(27.71)	
	7	Morgan Stanley Sml	04/28/09		130.33	149.53	(19.20)	
	18	th Barney LLC acted as yo	04/29/09		335.15	388.61	(53.46)	
		ur agent in this transa						
125001350	3	MASTERCARD INC	05/05/09	07/24/09	555.53	543.23		12.30
	7	Morgan Stanley Sml	05/06/09		1,296.24	1,282.99		13.25
	2	th Barney LLC acted as yo	05/28/09		370.35	343.00		27.35
		ur agent in this transa						
125001360	3	MCDONALDS CORP	08/11/08	02/20/09	163.86	199.39	(35.53)	
125001370	4	MCDONALDS CORP	08/11/08	03/26/09	222.40	265.85	(43.45)	
125001380	4	MCDONALDS CORP	08/11/08	05/05/09	213.33	265.84	(52.51)	
	22		08/28/08		1,173.29	1,382.23	(208.94)	



Ref: 00002120 00053815

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	10		09/12/08		\$ 533.32	\$ 637.57	(\$ 104.25)	
	3		09/25/08		159.99	187.23	(27.24)	
125001390	1	MCDONALDS CORP	09/25/08	05/06/09	53.83	62.41	(8.58)	
	10		11/10/08		538.35	564.19	(25.84)	
	20		04/15/09		1,076.70	1,076.40		30
125001420	8	METLIFE INC	10/14/08	03/04/09	113.65	299.54	(185.89)	
125001430	15	METLIFE INC	10/14/08	03/05/09	176.09	561.64	(385.55)	
125001440	2	METLIFE INC	10/14/08	03/18/09	48.66	74.88	(26.22)	
	20		11/10/08		486.57	652.20	(165.63)	
125001450	16	MICROSOFT CORP	02/03/09	06/16/09	378.38	294.95		83.43
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001510	20	NII HLDGS INC CLASS B NEW	09/12/08	04/17/09	299.98	980.88	(680.90)	
125001520	8	NII HLDGS INC CLASS B NEW	10/14/08	04/20/09	110.11	220.70	(110.59)	
	16		11/10/08		220.21	317.30	(97.09)	
125001530	22	NII HLDGS INC CLASS B NEW	10/14/08	04/20/09	305.52	606.92	(301.40)	
125001540	24	NII HLDGS INC CLASS B NEW	11/10/08	04/21/09	335.68	475.96	(140.28)	
125001550	12	NATIONAL OILWELL VARCO INC	08/25/08	06/03/09	441.41	895.47	(454.06)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001560	2	NATIONAL OILWELL VARCO INC	08/25/08	06/04/09	78.39	149.24	(70.85)	
	8		09/12/08		313.56	458.62	(145.06)	
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001570	2	NATIONAL OILWELL VARCO INC	09/12/08	06/05/09	76.57	114.66	(38.09)	
	7		09/18/08		268.00	352.28	(84.28)	
	5		10/14/08		191.43	155.83		35.60
	5		11/10/08		191.43	135.30		56.13
125001580	5	NATIONAL OILWELL VARCO INC	11/10/08	06/09/09	191.55	135.30		56.25
	11		01/20/09		421.42	256.92		164.50
	9		03/09/09		344.80	236.65		108.15
	2		04/15/09		76.62	67.06		9.56



Ref: 00002120 00053816

THE SOGG FOUNDATION  
Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001590	28	NATIONAL OILWELL VARCO INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/15/09	06/10/09	\$ 1,057.12	\$ 938.84		\$ 118.28
125001600	11	NOBLE ENERGY INC Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	04/06/09	07/24/09	658.52	653.36		5.16
125001610	17	NUCOR CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	06/01/09	07/24/09	760.40	794.76	(34.36)	
	16		06/02/09		715.67	759.59	(43.92)	
	8		06/02/09		357.83	378.83	(21.00)	
	3		06/03/09		134.19	133.47		.72
125001620	3	OCCIDENTAL PETROLEUM CORP-DEL	12/16/08	03/19/09	180.32	170.11		10.21
	1		12/17/08		60.10	58.39		1.71
125001630	3	OCCIDENTAL PETROLEUM CORP-DEL	12/17/08	03/19/09	178.29	175.15		3.14
125001640	3	OCCIDENTAL PETROLEUM CORP-DEL	12/17/08	04/06/09	171.81	174.72	(2.91)	
	7		12/18/08		400.89	396.41		4.48
	9		03/02/09		515.43	442.52		72.91
	2		03/04/09		114.55	105.07		9.48
125001650	3	OCCIDENTAL PETROLEUM CORP-DEL	03/04/09	04/07/09	167.57	157.61		9.96
	4		03/09/09		223.42	202.76		20.66
	4		03/09/09		223.42	201.99		21.43
125001660	12	ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/16/08	06/10/09	246.43	203.20		43.23
	23		12/17/08		472.33	388.06		84.27
	5		12/17/08		102.68	84.34		18.34
125001670	20	ORACLE CORP Morgan Stanley Smi th Barney LLC acted as yo ur agent in this transa	12/17/08	07/20/09	429.39	337.34		92.05
	26		12/19/08		558.21	463.45		94.76
	27		12/22/08		579.68	469.12		110.56
	6		03/02/09		128.82	91.62		37.20
125001680	5	PNC FINANCIAL SERVICES GROUP	11/04/08	01/15/09	211.07	359.65	(148.58)	
	1		11/05/08		42.21	73.91	(31.70)	
125001690	4	PNC FINANCIAL SERVICES GROUP	11/05/08	01/16/09	149.41	295.64	(146.23)	
	2		11/05/08		74.71	142.76	(68.05)	
125001700	7	PNC FINANCIAL SERVICES GROUP	11/05/08	01/20/09	175.98	499.65	(323.67)	
	3		11/07/08		75.42	198.12	(122.70)	
	10		11/10/08		251.40	665.87	(414.47)	
	4		11/10/08		100.56	267.54	(166.98)	



Ref: 00002120 00053817

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	3		11/13/08		\$ 75.42	\$ 186.23	(\$ 110.81)	
	4		11/17/08		100.56	246.24	(145.68)	
	5		11/25/08		125.70	255.45	(129.75)	
	3		12/03/08		75.43	136.47	(61.04)	
125001710	2	PNC FINANCIAL SERVICES GROUP	12/03/08	01/20/09	48.09	90.98	(42.89)	
	5		12/09/08		120.21	275.98	(155.77)	
125001720	9	PETROBRAS	11/04/08	04/21/09	292.80	266.14		26.66
125001730	7	PETROBRAS	11/04/08	04/22/09	228.18	206.99		21.19
	4		11/05/08		130.39	117.16		13.23
125001740	10	PETROBRAS	11/05/08	04/23/09	328.72	292.89		35.83
125001750	15	PETROBRAS	11/05/08	04/24/09	503.03	421.43		81.60
	15		11/06/08		503.03	373.90		129.13
	10		11/10/08		335.34	270.09		65.25
125001760	37	PETROBRAS	04/15/09	04/27/09	1,187.50	1,263.51	(76.01)	
125001770	10	PETROBRAS	11/10/08	04/27/09	326.28	270.08		56.20
	11		11/10/08		358.91	295.57		63.34
	3		11/11/08		97.88	75.81		22.07
	9		01/20/09		293.65	212.70		80.95
	3		04/15/09		97.90	102.45	(4.55)	
125001780	3	PRAXAIR INC	07/23/08	02/09/09	210.00	286.16	(76.16)	
	3		08/06/08		210.00	273.43	(63.43)	
125001790	3	PRAXAIR INC	08/06/08	04/02/09	209.72	273.43	(63.71)	
	1		09/12/08		69.91	85.95	(16.04)	
125001800	9	PRAXAIR INC	09/12/08	04/23/09	625.70	773.52	(147.82)	
125001810	5	PRAXAIR INC	10/14/08	04/24/09	360.65	347.85		12.80
125001820	5	PRAXAIR INC	10/14/08	04/30/09	372.46	347.85		24.61
125001830	5	PRAXAIR INC	10/14/08	07/15/09	359.33	347.85		11.48
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/10/08		215.59	187.92		27.67
125001840	2	PRAXAIR INC	11/10/08	07/16/09	143.76	125.28		18.48
	4	Morgan Stanley Sml	02/26/09		287.52	238.83		48.69
	4	th Barney LLC acted as yo ur agent in this transa	04/15/09		287.52	275.41		12.11



Ref: 00002120 00053818

THE SOGG FOUNDATION  
Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001850	5	PRAXAIR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	11/10/08	07/16/09	\$ 360.08	\$ 313.20		\$ 46.88
125001860	16	PRAXAIR INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/15/09	07/17/09	1,137.56	1,101.64		35.92
125001870	17	PROCTER & GAMBLE CO	09/29/08	01/23/09	951.09	1,148.57	(197.48)	
125001880	7	PROCTER & GAMBLE CO	09/29/08	02/11/09	357.26	472.94	(115.68)	
	5		10/14/08		255.19	319.88	(64.69)	
	10		11/10/08		510.37	645.09	(134.72)	
	4		11/10/08		204.15	259.02	(54.87)	
125001890	6	QUALCOMM INC	07/09/08	02/03/09	203.76	286.12	(82.36)	
	12		07/24/08		407.51	637.79	(230.28)	
	11		07/25/08		373.55	597.03	(223.48)	
	10		09/11/08		339.59	485.39	(145.80)	
	13		09/12/08		441.48	620.23	(178.75)	
125001900	4	RESEARCH IN MOTION LTD-CAD	01/16/09	02/02/09	222.74	197.28		25.46
125001910	14	RESEARCH IN MOTION LTD-CAD	01/16/09	02/11/09	666.70	690.46	(23.76)	
	12		01/20/09		571.46	612.29	(40.83)	
125001920	8	RESEARCH IN MOTION LTD-CAD	04/09/09	05/07/09	583.37	513.05		70.32
	8		04/13/09		583.37	515.01		68.36
	8		04/14/09		583.37	512.87		70.50
	10		04/15/09		729.22	640.80		88.42
125001930	7	STATE STREET CORP	10/14/08	05/05/09	245.54	388.46	(142.92)	
	20		11/10/08		701.54	801.76	(100.22)	
	1		04/15/09		35.07	33.50		1.57
125001940	19	STATE STREET CORP	04/15/09	05/06/09	722.51	636.50		86.01
	8		04/21/09		304.22	278.66		25.56
125001950	20	SUNCOR ENERGY INC	05/14/09	07/24/09	655.42	595.55		59.87
	18	Morgan Stanley Sml	05/15/09		589.88	514.34		75.54
	12	th Barney LLC acted as yo ur agent in this transa	05/15/09		393.25	343.32		49.93
125001960	26	TAIWAN SEMICONDUCTOR MFG CO LTD ADR	12/12/08	03/04/09	209.67	200.51		9.16



Ref. 00002120 00053819

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001970	1	TAIWAN SEMICONDUCTOR MFG	12/12/08	03/13/09	\$ 8.87	\$ 7.71		\$ 1.16
	14	CO LTD ADR	12/15/08		124.15	106.85		17.30
	10		12/16/08		88.67	80.13		8.54
125001980	3	TAIWAN SEMICONDUCTOR MFG	12/16/08	03/18/09	26.99	24.04		2.95
		CO LTD ADR						
125001990	8	TAIWAN SEMICONDUCTOR MFG	12/16/08	03/24/09	73.39	64.11		9.28
	18	CO LTD ADR	12/17/08		165.14	147.75		17.39
125002000	10	TAIWAN SEMICONDUCTOR MFG	12/17/08	03/26/09	90.90	82.08		8.82
	11	CO LTD ADR	12/17/08		99.99	90.40		9.59
125002010	40	TAIWAN SEMICONDUCTOR MFG	12/17/08	04/23/09	365.99	328.72		37.27
	32	CO LTD ADR	12/22/08		292.79	246.12		46.67
	1		12/23/08		9.15	7.60		1.55
125002060	1	THERMO FISHER SCIENTIFIC INC	02/06/08	02/05/09	38.83	54.00	(15.17)	
125002080	5	THERMO FISHER SCIENTIFIC INC	05/20/08	03/24/09	173.57	292.61	(119.04)	
125002090	2	THERMO FISHER SCIENTIFIC INC	05/20/08	03/25/09	68.88	117.04	(48.16)	
	3		09/12/08		103.33	174.57	(71.24)	
125002100	7	THERMO FISHER SCIENTIFIC INC	09/12/08	04/22/09	249.49	407.33	(157.84)	
125002110	7	TIME WARNER INC	04/07/09	06/02/09	172.72	149.36		23.36
		Morgan Stanley Smi						
		th Barney LLC acted as yo						
		ur agent in this transa						
125002120	9	TIME WARNER INC	04/07/09	07/24/09	248.65	192.03		56.62
	23	Morgan Stanley Smi	04/15/09		635.44	506.00		129.44
		th Barney LLC acted as yo						
		ur agent in this transa						
125002130	4	TRAVELERS COMPANIES INC	10/23/08	05/06/09	155.49	151.12		4.37
	4		10/23/08		155.49	158.75	(3.26)	
	8		10/24/08		310.98	327.52	(16.54)	
	5		10/24/08		194.36	204.95	(10.59)	
	5		10/27/08		194.36	195.64	(1.28)	
	2		10/27/08		77.76	77.12		.64
125002140	3	TRAVELERS COMPANIES INC	10/27/08	05/07/09	113.91	115.68	(1.77)	
	2		10/29/08		75.94	81.79	(5.85)	
	3		10/29/08		113.91	125.92	(12.01)	
	10		11/10/08		379.70	387.68	(7.98)	
	7		11/11/08		265.79	282.74	(16.95)	
	7		11/17/08		265.79	293.90	(28.11)	
	2		12/02/08		75.93	80.25	(4.32)	



Ref. 00002120 00053820

THE SOGG FOUNDATION  
Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002150	4	TRAVELERS COMPANIES INC	12/02/08	05/07/09	\$ 151.73	\$ 160.50	(\$ 8.77)	
	11		04/15/09		417.26	464.07	(46.81)	
125002160	19	TRAVELERS COMPANIES INC	04/15/09	05/08/09	722.51	801.57	(79.06)	
125002170	5	UNITED PARCEL SERVICE CL B	04/03/09	06/16/09	242.67	261.42	(18.75)	
	2	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/06/09		97.07	103.93	(6.86)	
125002180	3	UNITED PARCEL SERVICE CL B	04/06/09	06/17/09	143.43	155.89	(12.46)	
	10	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/15/09		478.10	533.60	(55.50)	
125002190	7	UNITED PARCEL SERVICE CL B	04/20/09	06/17/09	338.13	372.90	(34.77)	
	3	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/21/09		144.91	164.05	(19.14)	
125002200	4	UNITED PARCEL SERVICE CL B	04/21/09	06/18/09	192.78	218.73	(25.95)	
	6	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	04/23/09		289.16	317.32	(28.16)	
125002210	7	UNITED TECHNOLOGIES CORP	06/17/09	07/24/09	363.96	384.26	(20.30)	
	7	Morgan Stanley Sml	06/18/09		363.96	386.58	(22.62)	
	10	th Barney LLC acted as yo ur agent in this transa	06/19/09		519.94	544.03	(24.09)	
125002220	14	UNITEDHEALTH GROUP INC	02/12/09	02/26/09	284.41	405.26	(120.85)	
125002230	3	UNITEDHEALTH GROUP INC	02/12/09	04/08/09	67.91	86.84	(18.93)	
	18		02/13/09		407.44	523.70	(116.26)	
	5		02/17/09		113.17	140.57	(27.40)	
125002240	6	WAL-MART STORES INC	06/03/08	01/21/09	293.07	347.42	(54.35)	
125002250	4	WAL-MART STORES INC	06/03/08	06/02/09	200.84	231.62	(30.78)	
	10	Morgan Stanley Sml	09/12/08		502.11	621.17	(119.06)	
	6	th Barney LLC acted as yo	09/17/08		301.26	362.24	(60.98)	
	15	ur agent in this transa	10/14/08		753.16	819.27	(66.11)	
	15		11/10/08		753.16	825.09	(71.93)	
125002260	5	WAL-MART STORES INC	11/10/08	06/02/09	249.86	275.03	(25.17)	
	5	Morgan Stanley Sml	11/10/08		249.86	274.79	(24.93)	
	2	th Barney LLC acted as yo	11/11/08		99.95	107.45	(7.50)	
	5	ur agent in this transa	02/17/09		249.86	240.57	9.29	
	30		04/15/09		1,499.18	1,520.10	(20.92)	



Ref. 00002120 00053821

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Short Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125002270	18	YUM BRANDS INC Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	05/05/09	07/24/09	\$ 606.19	\$ 630.82	(\$ 24.63)	
<b>Total</b>					<b>\$ 166,198.93</b>	<b>\$ 179,274.15</b>	<b>(\$ 19,459.71)</b>	<b>\$ 6,384.49</b>

Details of Long Term Gain (Loss) 2009

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received in addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000110	8	TRANSOCEAN LTD SWITZERLAND NEW	09/11/06	04/21/09	\$ 514.14	\$ 542.07	(\$ 27.93)	
125000120	5	TRANSOCEAN LTD SWITZERLAND NEW	09/11/06	04/22/09	324.34	338.80	(14.46)	
125000130	5	TRANSOCEAN LTD SWITZERLAND NEW	09/11/06	04/23/09	324.24	338.79	(14.55)	
125000140	3	TRANSOCEAN LTD SWITZERLAND NEW	02/06/08	07/24/09	243.88	367.67	(123.79)	
	3	Morgan Stanley Sml th Barney LLC acted as yo	07/08/08		243.88	424.31	(180.43)	
125000170	4	AMERICAN TOWER CORP-CLASS A	01/24/08	02/06/09	120.56	149.98	(29.42)	
	13		01/25/08		391.84	472.34	(80.50)	
125000180	8	AMERICAN TOWER CORP-CLASS A	03/20/07	02/06/09	241.16	304.98	(63.82)	
	5		01/24/08		150.73	187.47	(36.74)	
125000190	10	AMERICAN TOWER CORP-CLASS A	01/25/08	05/22/09	296.62	363.34	(66.72)	
125000200	3	AMERICAN TOWER CORP-CLASS A	01/25/08	06/05/09	91.29	109.00	(17.71)	
	14	Morgan Stanley Sml th Barney LLC acted as yo ur agent in this transa	02/06/08		426.02	528.81	(102.79)	
125000630	39	CVS CAREMARK CORP	09/11/06	01/09/09	1,009.20	1,380.82	(371.62)	
125000660	36	CISCO SYS INC	01/24/08	01/30/09	546.06	898.05	(351.99)	

2009

YEAR END SUMMARY



Ref: 00002120 00053822

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Long Term Gain (Loss) 2009 - continued

2 0 0 9 Y E A R E N D S U M M A R Y

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000670	11	CISCO SYS INC	01/24/08	04/07/09	\$ 184.44	\$ 274.40	(\$ 89.96)	
125000760	3	DIRECTV GROUP INC	01/29/08	04/07/09	71.22	68.33		2.89
	24		02/06/08		569.77	547.17		22.60
	6		02/13/08		142.44	148.42	(5.98)	
125000830	3	EQUINIX INC	08/08/07	05/07/09	197.81	291.66	(93.85)	
125000840	1	EQUINIX INC	08/08/07	05/08/09	67.73	97.22	(29.49)	
	2		08/09/07		135.47	195.00	(59.53)	
125000850	2	EQUINIX INC	08/09/07	06/22/09	138.01	195.01	(57.00)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000860	3	EQUINIX INC	09/21/07	06/23/09	204.07	254.29	(50.22)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000870	2	EQUINIX INC	08/09/07	06/23/09	136.14	195.00	(58.86)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125000880	3	EQUINIX INC	09/21/07	06/24/09	206.88	254.29	(47.41)	
	1	Morgan Stanley Smit	12/20/07		68.96	97.11	(28.15)	
	3	th Barney LLC acted as yo	12/21/07		206.89	308.38	(101.49)	
		ur agent in this transa						
125001020	4	GILEAD SCIENCES INC	09/11/06	01/05/09	203.89	125.44		78.45
125001030	30	GILEAD SCIENCES INC	09/11/06	05/22/09	1,282.52	940.80		341.72
125001120	1	INTL BUSINESS MACHINES CORP	05/13/08	05/22/09	103.00	126.20	(23.20)	
125001130	8	INTL BUSINESS MACHINES CORP	05/13/08	06/08/09	848.65	1,009.61	(160.96)	
		Morgan Stanley Smit						
		th Barney LLC acted as yo						
		ur agent in this transa						
125001140	2	INTL BUSINESS MACHINES CORP	05/13/08	07/24/09	234.72	252.40	(17.68)	
	3	Morgan Stanley Smit	07/18/08		352.07	369.20	(37.13)	
		th Barney LLC acted as yo						
		ur agent in this transa						
125001400	1	METLIFE INC	08/01/07	02/18/09	23.85	60.35	(36.50)	
	6		08/01/07		143.08	368.02	(224.94)	
	8		12/21/07		190.76	495.74	(304.98)	



Ref: 00002120 00053823

THE SOGG FOUNDATION

Account Number 16A-03289-14 083

Details of Long Term Gain (Loss) 2009 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125001410	3	METLIFE INC	12/21/07	02/20/09	\$ 61.78	\$ 185.90	(\$ 124.12)	
	10		12/24/07		205.94	629.60	(423.66)	
	1		01/14/08		20.60	59.94	(39.34)	
125001420	8	METLIFE INC	01/14/08	03/04/09	113.65	479.52	(365.87)	
125001460	8	NII HLDGS INC CLASS B NEW	01/07/08	02/26/09	107.99	407.82	(299.83)	
125001470	12	NII HLDGS INC CLASS B NEW	01/08/08	02/27/09	155.66	619.16	(463.50)	
	6		01/24/08		77.83	248.16	(170.33)	
125001480	6	NII HLDGS INC CLASS B NEW	01/24/08	03/02/09	66.72	248.15	(181.43)	
	2		01/25/08		22.24	79.96	(57.72)	
125001490	6	NII HLDGS INC CLASS B NEW	01/25/08	04/06/09	85.95	239.89	(153.94)	
	10		01/28/08		143.25	411.78	(268.53)	
125001500	17	NII HLDGS INC CLASS B NEW	01/28/08	04/07/09	220.91	700.03	(479.12)	
125001510	3	NII HLDGS INC CLASS B NEW	01/28/08	04/17/09	45.00	123.54	(78.54)	
125002020	.3199	TAIWAN SEMICONDUCTOR MFG CO LTD ADR CASH IN LIEU OF .31995 RECORD 07/17/09 PAY 08/14/09	Unavailable	08/14/09	3.30			
125002030	5	THERMO FISHER SCIENTIFIC INC	06/13/07	01/21/09	179.10	262.06	(82.96)	
	4		06/14/07		143.28	212.45	(69.17)	
	1		11/12/07		35.81	57.45	(21.64)	
125002040	12	THERMO FISHER SCIENTIFIC INC	11/12/07	02/04/09	453.81	689.37	(235.56)	
	1		01/10/08		37.82	56.10	(18.28)	
125002050	7	THERMO FISHER SCIENTIFIC INC	01/10/08	02/04/09	260.19	392.66	(132.47)	
125002060	5	THERMO FISHER SCIENTIFIC INC	01/10/08	02/05/09	194.15	280.48	(86.33)	
125002070	8	THERMO FISHER SCIENTIFIC INC	02/06/08	03/24/09	277.90	431.97	(154.07)	
125002080	1	THERMO FISHER SCIENTIFIC INC	02/06/08	03/24/09	34.72	54.00	(19.28)	
<b>Total</b>					<b>\$ 13,583.93</b>	<b>\$ 19,970.46</b>	<b>(\$ 6,835.49)</b>	<b>\$ 445.66</b>



Ref: 00006278 00048658

THE SOGG FOUNDATION

Account Number 16A-03290-11 083

**Details of Short Term Gain (Loss) 2009**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	1	AEGON N V-ADR AMER REG-ADR	08/12/08	02/04/09	\$ 5.17	\$ 10.63	(\$ 5.46)	
125000020	562	ISHARES TR S&P 500 INDEX FD	04/03/09	04/14/09	47,584.43	46,909.02		675.41
125000040	1,679.104	PIMCO TOTAL RETURN FUND CL P	09/05/08	07/30/09	17,764.92	18,000.00	(235.08)	
	4.079	CONFIRM #500092110164227	10/01/08		43.16	41.93		1.23
	7.258		11/03/08		76.79	73.60		3.19
	6.436		12/01/08		68.09	66.29		1.80
	30.629		12/10/08		324.05	303.23		20.82
	53.774		12/10/08		568.93	532.36		36.57
	7.895		01/02/09		83.53	80.06		3.47
	8.512		02/02/09		90.06	86.40		3.66
	9.194		03/02/09		97.27	92.03		5.24
	8.815		04/01/09		93.26	89.30		3.96
	8.732		05/01/09		92.38	89.24		3.14
	7.326		06/01/09		77.51	76.41		1.10
	8.961		07/01/09		94.81	93.64		1.17
125000050	960	PROSHARES SHORT S&P500	02/20/09	02/25/09	80,373.62	79,880.54		493.08
<b>Total</b>					<b>\$ 147,437.98</b>	<b>\$ 146,424.68</b>	<b>(\$ 240.54)</b>	<b>\$ 1,253.84</b>

**Details of Long Term Gain (Loss) 2009**

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than \$20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	36.1571	CITIGROUP DIVERSIFIED FUTURES FUND L.P. AS OF 08/31/09	03/01/05	08/31/09	\$ 44,807.68	\$ 32,679.14		\$ 12,128.54
<b>Total</b>					<b>\$ 44,807.68</b>	<b>\$ 32,679.14</b>		<b>\$ 12,128.54</b>



# National Financial Services LLC

20. Detail Information		
AEL-302015	34-6537192	6 of 14

THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

CORRECTED 03/11/2010  
 C=Corrected

Additional Information	Amount
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*This detail information is not reported to the IRS. It may assist you in tax return preparation.*

Short-Term Realized Gain/Loss.....25,042.47  
 Long-Term Realized Gain/Loss.....1,619.00 -

Detail Information	Short-Term Realized Gain/Loss
--------------------	-------------------------------

Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
INVESCO LTD COM STK USD0.20 / G491BT108						
various	08/13/09		197.000	4,047.54	3,500.33 f t	547.21
WEATHERFORD INTERNATIONAL LIMITED / H27013103						
various	08/13/09		124.000	2,413.00	2,051.26 f t	361.74
TRANSOCEAN LIMITED COM CHF15 / H8817H100						
various	08/13/09		44.000	3,394.57	3,436.66 f t	42.09-
TYCO ELECTRONICS LTD COM CHF2.60 / H8912P106						
various	08/13/09		182.000	4,202.52	3,383.00 f t	819.52
TYCO INTERNATIONAL LTD(SWITZERLAND) COM / H89128104						
various	08/13/09		146.000	4,654.25	3,007.39 f t	1,646.86
AGILENT TECH INC / 00846U101						
various	08/13/09		40.000	976.86	631.77 f t	345.09
AMAZON.COM INC / 023135106						
various	08/13/09		46.000	3,864.54	3,850.45 f t	14.09
AMERICAN EXPRESS CO / 025816109						
various	08/13/09		143.000	4,552.87	3,477.53 f t	1,075.34
AMERICAN TOWER CORP / 029912201						
various	08/13/09		108.000	3,484.39	3,299.85 f t	184.54
AMERIPRISE FINL INC COM / 03076C106						
04/15/09	08/13/09		15.000	428.02	329.25 f t	98.77
APPLE INC / 037833100						
various	08/13/09		44.000	7,384.06	5,093.29 f t	2,290.77
BANK OF AMERICA CORP / 060505104						
various	08/13/09		388.000	6,522.63	4,774.42 f t	1,748.21
BANK NEW YORK MELLON CORP / 064058100						
various	08/13/09		35.000	1,009.81	886.86 f t	122.95
BECTON DICKINSON CO / 075887109						
06/05/09	08/13/09		20.000	1,305.76	1,343.63 f t	37.87-

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

CORRECTED 03/11/2010  
 C=Corrected

Detail Information		Short-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
BERKSHIRE HATHAWAY INC DEL CL B / 084670207	04/15/09	08/13/09	1.000	3,369.92	2,972.00 f t	397.92
BROADCOM CORP CL A / 111320107	various	08/13/09	93.000	2,513.60	2,175.01 f t	338.59
CVS CAREMARK CORP / 126650100	various	08/13/09	186.000	6,443.97	5,670.68 f t	773.29
CANADIAN NATURAL RESOURCES LTD COM NPV / 136385101	04/15/09	08/13/09	25.000	1,459.21	1,111.00 f t	348.21
CARDINAL HEALTH INC / 14149Y108	04/15/09	08/13/09	5.000	161.20	169.45 f t	8.25-
CISCO SYS INC / 17275R102	various	08/13/09	290.000	6,206.12	5,663.40 f t	542.72
COMCAST CORP NEW CL A SPL / 20030N200	04/15/09	08/13/09	25.000	348.85	328.50 f t	20.35
CONOCOPHILLIPS / 20825C104	various	08/13/09	30.000	1,315.75	1,155.36 f t	160.39
COSTCO WHOLESALE CORP / 22160K105	04/15/09	08/13/09	30.000	1,461.00	1,353.30 f t	107.70
DEVON ENERGY CORP NEW / 25179M103	04/15/09	08/13/09	20.000	1,297.77	982.00 f t	315.77
DIAGEO ADR EACH REPR 4 ORD GBX28 935185 / 25243Q205	04/15/09	08/13/09	10.000	610.23	470.80 f t	139.43
DIRECTV GROUP INC ONE FOR ONE MERGER / 25459L106	various	08/13/09	156.000	3,837.04	3,530.41 f t	306.63
EOG RESOURCES INC / 26875P101	04/15/09	08/13/09	25.000	1,872.59	1,487.00 f t	385.59
FIRST SOLAR INC COM / 336433107	various	08/13/09	12.000	1,718.71	2,125.46 f t	406.75-
FLUOR CORP NEW / 343412102	various	08/13/09	67.000	3,726.85	2,761.47 f t	965.38
FORD MTR CO DEL COM / 345370860	various	08/13/09	619.000	4,819.26	3,255.73 f t	1,563.53
FREEPORT MCMORAN COPPER & GOLD INC. / 35671D857	various	08/13/09	33.000	2,156.34	1,804.92 f t	351.42

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

CORRECTED 03/11/2010  
 C=Corrected

Detail Information		Short-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
GILEAD SCIENCES INC / 375558103						
various	08/13/09		92.000	4,150.75	4,183.15 f t	32.40-
GOOGLE INC CL A / 38259P508						
various	08/13/09		18.000	8,302.77	6,913.98 f t	1,388.79
GRUPO TELEVISIA SA DE CV ADR-REPR 5 ORD / 40049J206						
various	08/13/09		60.000	1,085.58	855.43 f t	230.15
HARLEY DAVIDSON INC WISC FMLY HARLEY / 412822108						
04/15/09	08/13/09		25.000	568.73	438.25 f t	130.48
HEWLETT-PACKARD CO DE / 428236103						
various	08/13/09		194.000	8,586.38	6,848.62 f t	1,737.76
INTEL CORP / 458140100						
various	08/13/09		240.000	4,528.63	3,685.35 f t	843.28
INTL BUSINESS MACH / 459200101						
various	08/13/09		34.000	4,038.03	3,268.66 f t	769.37
IRON MOUNTAIN INC DEL / 462846106						
04/15/09	08/13/09		40.000	1,152.21	985.00 f t	167.21
JOHNSON & JOHNSON / 478160104						
various	08/13/09		25.000	1,489.71	1,380.15 f t	109.56
LOEWS CORP / 540424108						
04/15/09	08/13/09		40.000	1,290.06	968.00 f t	322.06
MERCK & CO INC / 589331107						
various	08/13/09		35.000	1,061.59	928.72 f t	132.87
MICROSOFT CORP / 594918104						
various	08/13/09		343.000	8,135.81	6,382.11 f t	1,753.70
MOODYS CORP / 615369105						
04/15/09	08/13/09		20.000	490.18	472.00 f t	18.18
MORGAN STANLEY / 617446448						
various	08/13/09		105.000	3,103.52	2,981.24 f t	122.28
MUNDER MIDCAP CORE GROWTH FUND CL Y / 626124242						
various	08/18/09		3,138.223	62,770.84	70,564.44 a t	7,793.60-
NEWS CORP CL A / 65248E104						
04/15/09	08/13/09		55.000	608.34	400.74 f t	207.60
NOBLE ENERGY INC COM / 655044105						
various	08/13/09		53.000	3,215.79	3,085.69 f t	130.10

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

CORRECTED 03/11/2010  
 C=Corrected

Detail Information		Short-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
NUCOR CORP / 670346105						
various	08/13/09		72.000	3,403.47	3,313.83 f t	89.64
OCCIDENTAL PETROLEUM CORP / 674599105						
04/15/09	08/13/09		35.000	2,459.66	2,022.30 f t	437.36
ORACLE CORPORATION / 68389X105						
various	08/13/09		121.000	2,640.88	2,119.18 f t	521.70
PEABODY ENERGY CORP / 704549104						
07/24/09	08/13/09		12.000	427.54	409.49 f t	18.05
PFIZER INC / 717081103						
various	08/13/09		85.000	1,324.57	1,072.55 f t	252.02
PHILIP MORRIS INTL INC COM / 718172109						
04/15/09	08/13/09		5.000	229.29	187.00 f t	42.29
PROCTER & GAMBLE CO / 742718109						
04/15/09	08/13/09		15.000	777.95	722.40 f t	55.55
PROGRESSIVE CORP OHIO / 743315103						
04/15/09	08/13/09		65.000	1,065.77	949.52 f t	116.25
QUALCOMM INC / 747525103						
various	08/13/09		134.000	6,224.22	5,308.86 f t	915.36
ROYCE VALUE PLUS SERVICE CLASS / 780905741						
various	08/18/09		6,623.326	66,100.79	72,387.58 a t	6,286.79-
ST JUDE MEDICAL INC / 790849103						
various	08/13/09		74.000	2,780.64	2,766.71 f t	13.93
SCHERING PLOUGH CORP EXCHANGED FOR .5767 / 806605101						
various	08/13/09		110.000	2,952.72	1,965.01 f t	987.71
SEALED AIR CORP NEW / 81211K100						
04/15/09	08/13/09		60.000	1,134.20	879.48 f t	254.72
SUNCOR ENERGY COM NPV NEW ISIN / 867224107						
various	08/13/09		109.000	3,646.49	3,410.90 f t	235.59
TJX COMPANIES INC / 872540109						
various	08/13/09		78.000	2,730.54	2,700.71 f t	29.83
TEVA PHARMACEUTICAL INDUSTRIES ADR-EACH / 881624209						
various	08/13/09		83.000	4,296.73	3,584.58 f t	712.15
TEXAS INSTRUMENTS INC / 882508104						
various	08/13/09		217.000	5,283.55	4,456.32 f t	827.23

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

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 C=Corrected

Detail Information		Short-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
THERMO FISHER SCIENTIFIC INC / 883556102						
various	08/13/09		70.000	3,223.11	2,939.41 f t	283.70
THORNBURG INTL VALUE CL INSTL / 885215566						
various	08/18/09		1,744.627	39,909.51	32,861.79 a t	7,047.72
TIME WARNER INC NEW COM NEW / 887317303						
various	08/13/09		59.000	1,628.94	1,325.20 f t	303.74
TRANSATLANTIC HLDGS / 893521104						
04/15/09	08/13/09		15.000	696.96	600.90 f t	96.06
UNITED TECHNOLOGIES CORP / 913017109						
various	08/13/09		24.000	1,365.44	1,267.57 f t	97.87
UNITEDHEALTH GROUP / 91324P102						
04/15/09	08/13/09		15.000	413.24	373.05 f t	40.19
VULCAN MATERIALS CO / 929160109						
04/15/09	08/13/09		5.000	242.19	239.50 f t	2.69
WELLS FARGO & CO NEW / 949746101						
various	08/13/09		175.000	4,843.08	2,464.37 f t	2,378.71
WESTERN ASSET CORE PLUS INSTITUTIONAL / 957663503						
various	08/18/09		153.394	1,476.95	1,588.26 a t	111.31-
YUM! BRANDS INC / 988498101						
various	08/13/09		95.000	3,301.46	3,335.39 f t	33.93-
<b>TOTAL SHORT-TERM</b>				<b>370,718.04</b>	<b>348,675.57</b>	
					Short-Term Realized Gain	40,621.60
					Short-Term Realized Loss	15,579.13-
					Short-Term Realized Disallowed Loss	0.00
					<b>Total Short-Term Realized Gain/Loss</b>	<b>25,042.47</b>

f - FIFO (First-in First-out)

t - Cost basis information was provided by a third party We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed-incomes securities, it reflects any prior principal pay downs We do not apply any wash sale rules to tax lots with third party provided provided cost basis

a - Average Cost - Single Category

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

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 C=Corrected

Detail Information		Long-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
TRANSOCEAN LIMITED COM CHF15 / H8817H100						
various		08/13/09	14.000	1,080.09	1,173.37 f t	93.28-
TYCO INTERNATIONAL LTD(SWITZERLAND) COM / H89128104						
04/12/07		08/13/09	16.000	510.06	816.25 f t	306.19-
AGILENT TECH INC / 00846U101						
various		08/13/09	40.000	976.88	1,433.43 f t	456.55-
AMERICAN EXPRESS CO / 025816109						
05/26/04		08/13/09	140.000	4,457.35	6,142.77 f t	1,685.42-
AMERIPRISE FINL INC COM / 03076C106						
various		08/13/09	24.000	684.85	833.13 f t	148.28-
APPLE INC / 037833100						
07/09/08		08/13/09	2.000	335.64	354.52 f t	18.88-
BANK NEW YORK MELLON CORP / 064058100						
various		08/13/09	65.000	1,875.37	2,926.50 f t	1,051.13-
BED BATH & BEYOND INC / 075896100						
02/04/08		08/13/09	20.000	693.19	614.75 f t	78.44
BERKSHIRE HATHAWAY INC DEL CL B / 084670207						
05/26/04		08/13/09	1.000	3,369.92	2,917.81 f t	452.11
BLOCK H & R INC / 093671105						
05/26/04		08/13/09	50.000	840.97	1,216.30 f t	375.33-
CVS CAREMARK CORP / 126650100						
various		08/13/09	80.999	2,806.25	2,650.09 f t	156.16
CANADIAN NATURAL RESOURCES LTD COM NPV / 136385101						
12/05/07		08/13/09	35.000	2,042.89	2,308.00 f t	265.11-
CARDINAL HEALTH INC / 14149Y108						
07/28/04		08/13/09	25.000	805.99	1,113.20 f t	307.21-
CISCO SYS INC / 17275R102						
05/02/08		08/13/09	16.000	342.41	423.82 f t	81.41-
COMCAST CORP NEW CL A SPL / 20030N200						
various		08/13/09	150.000	2,093.15	3,140.15 f t	1,047.00-
CONOCOPHILLIPS / 20825C104						
various		08/13/09	75.000	3,289.40	3,632.59 f t	343.19-
COSTCO WHOLESALE CORP / 22160K105						
various		08/13/09	105.000	5,113.53	4,573.94 f t	539.59

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# National Financial Services LLC

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Account No.	Transfer ID.	Page
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THE SOGG FOUNDATION  
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BONITA SPRINGS FL 34134-4371

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Detail Information		Long-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)

*Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.*

DEVON ENERGY CORP NEW / 25179M103	10/28/03	08/13/09	55.000	3,568.85	1,287.71 f t	2,281.14
DIAGEO ADR EACH REPR 4 ORD GBX28.935185 / 25243Q205	05/26/04	08/13/09	30.000	1,830.70	1,591.96 f t	238.74
EOG RESOURCES INC / 26875P101	05/26/04	08/13/09	45.000	3,370.67	1,222.43 f t	2,148.24
EXPRESS SCRIPTS INC COM FORMERLY CL A / 302182100	02/20/08	08/13/09	10.000	657.99	646.21 f t	11.78
GILEAD SCIENCES INC / 375558103	09/11/06	08/13/09	12.000	541.40	376.32 f t	165.08
GRUPO TELEVISA SA DE CV ADR-REPR 5 ORD / 40049J206	02/06/08	08/13/09	25.000	452.33	534.92 f t	82.59-
HARLEY DAVIDSON INC WISC FMLY HARLEY / 412822108	various	08/13/09	50.000	1,137.47	2,646.26 f t	1,508.79-
HEWLETT-PACKARD CO DE / 428236103	01/15/08	08/13/09	15.000	663.89	677.21 f t	13.32-
IRON MOUNTAIN INC DEL / 462846106	various	08/13/09	76.999	2,218.00	1,508.34 f t	709.66
JPMORGAN CHASE & CO / 46625H100	various	08/13/09	70.000	2,965.52	2,853.11 f t	112.41
LOEWS CORP / 540424108	05/26/04	08/13/09	85.000	2,741.38	1,627.02 f t	1,114.36
MICROSOFT CORP / 594918104	various	08/13/09	120.000	2,846.35	3,285.51 f t	439.16-
MOODYS CORP / 615369105	05/26/04	08/13/09	30.000	735.28	982.30 f t	247.02-
NEWS CORP CL A / 65248E104	various	08/13/09	135.000	1,493.20	2,401.28 f t	908.08-
OCCIDENTAL PETROLEUM CORP / 674599105	various	08/13/09	75.000	5,270.70	1,800.95 f t	3,469.75
PHILIP MORRIS INTL INC COM / 718172109	05/26/04	08/13/09	25.000	1,146.47	630.43 f t	516.04
PROCTER & GAMBLE CO / 742718109	05/05/06	08/13/09	25.000	1,296.59	1,394.10 f t	97.51-

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# National Financial Services LLC

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THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

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Detail Information		Long-Term Realized Gain/Loss				
Description/CUSIP	Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)
<i>Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.</i>						
PROGRESSIVE CORP OHIO / 743315103	05/26/04	08/13/09	140.000	2,295.49	2,966.43 f t	670.94-
SEALED AIR CORP NEW / 81211K100	05/26/04	08/13/09	110.000	2,079.37	2,753.82 f t	674.45-
TAIWAN SEMICONDUCTOR MANUFACTURING ADS / 874039100	VARIOUS	08/13/09	264.000	2,817.64	2,247.52	570.12
	VARIOUS	08/26/09	1.000	10.59	0	10.59
			USD Subtotal	2,828.23	2,247.52	580.71
TEXAS INSTRUMENTS INC / 882508104	various	08/13/09	65.000	1,582.63	1,977.24 f t	394.61-
TRANSATLANTIC HLDGS / 893521104	05/26/04	08/13/09	25.000	1,161.59	1,758.83 f t	597.24-
UNITEDHEALTH GROUP / 91324P102	10/20/06	08/13/09	35.000	964.22	1,778.62 f t	814.40-
VULCAN MATERIALS CO / 929160109	05/26/04	08/13/09	15.000	726.58	658.61 f t	67.97
WELLS FARGO & CO NEW / 949746101	05/26/04	08/13/09	100.000	2,767.47	2,948.93 f t	181.46-
WESTERN ASSET CORE PLUS INSTITUTIONAL / 957663503	various	08/18/09	2,001.831	19,274.42	20,727.05 a t	1,452.63-
<b>TOTAL LONG-TERM</b>				<b>97,934.73</b>	<b>99,553.13</b>	

\*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.

# National Financial Services LLC

2011 Detail Information		
AEL-302015	34-6537192	14 of 14

THE SOGG FOUNDATION  
 27120 KINDLEWOOD LANE  
 BONITA SPRINGS FL 34134-4371

Customer Service: 440-683-9216

CORRECTED 03/11/2010  
 C=Corrected

Detail Information		Long-Term Realized Gain/Loss			
Description/CUSIP	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss(-)

*Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes.*

Long-Term Realized Gain	13,639.70
Long-Term Realized Loss	15,158.70-
Long-Term Realized Disallowed Loss	0.00
<b>Total Long-Term Realized Gain/Loss</b>	<b>1,619.00 -</b>

††All gain/loss information may not be reflected due to incomplete cost basis information

f - FIFO (First-in First-out)

t - Cost basis information was provided by a third party. We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed-income securities, it reflects any prior principal pay downs. We do not apply any wash sale rules to tax lots with third party provided provided cost basis

a - Average Cost - Single Category

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments, unit investment trusts, or securities of foreign issuers

\*Our records indicate that you are an exempt recipient for 1099 reporting purposes. This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS. You may be subject to different income tax reporting requirements. Please contact your tax advisor if you have any questions.

**Gains and Losses From Section 1256  
 Contracts and Straddles**

▶ Attach to your tax return.

Name(s) shown on tax return

Identifying number

**SOGG FOUNDATION INC. C/O CAROL S. MARKEY**

**34-6537192**

Check all applicable boxes (see instructions).

**A**  Mixed straddle election

**C**  Mixed straddle account election

**B**  Straddle-by-straddle identification election

**D**  Net section 1256 contracts loss election

**Part I Section 1256 Contracts Marked to Market**

(a) Identification of account	(b) (Loss)	(c) Gain
1 FROM K-1: CITIGROUP DIVERSIFIED FUTURES FUND L.P.	1,046	
2 Add the amounts on line 1 in columns (b) and (c)	2 ( 1,046 )	
3 Net gain or (loss). Combine line 2, columns (b) and (c)		3 (1,046)
4 Form 1099-B adjustments. See instructions and attach schedule		4
5 Combine lines 3 and 4		5 (1,046)
<b>Note:</b> If line 5 shows a net gain, skip line 6 and enter the gain on line 7. Partnerships and S corporations, see instructions.		
6 If you have a net section 1256 contracts loss and checked box D above, enter the amount of loss to be carried back. Enter the loss as a positive number		6
7 Combine lines 5 and 6		7
8 Short-term capital gain or (loss). Multiply line 7 by 40% (.40). Enter here and include on the appropriate line of Schedule D (see instructions)		8
9 Long-term capital gain or (loss). Multiply line 7 by 60% (.60). Enter here and include on the appropriate line of Schedule D (see instructions)		9

**Part II Gains and Losses From Straddles.** Attach a separate schedule listing each straddle and its components.

**Section A—Losses From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Loss. If column (e) is more than (d), enter difference. Otherwise, enter -0-	(g) Unrecognized gain on offsetting positions	(h) Recognized loss. If column (f) is more than (g), enter difference. Otherwise, enter -0-
10							
11a Enter the short-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)						11a ( )	
b Enter the long-term portion of losses from line 10, column (h), here and include on the appropriate line of Schedule D (see instructions)						11b ( )	

**Section B—Gains From Straddles**

(a) Description of property	(b) Date entered into or acquired	(c) Date closed out or sold	(d) Gross sales price	(e) Cost or other basis plus expense of sale	(f) Gain. If column (d) is more than (e), enter difference. Otherwise, enter -0-
12					
13a Enter the short-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13a
b Enter the long-term portion of gains from line 12, column (f), here and include on the appropriate line of Schedule D (see instructions)					13b

**Part III Unrecognized Gains From Positions Held on Last Day of Tax Year.** Memo Entry Only (see instructions)

(a) Description of property	(b) Date acquired	(c) Fair market value on last business day of tax year	(d) Cost or other basis as adjusted	(e) Unrecognized gain. If column (c) is more than (d), enter difference. Otherwise, enter -0-
14				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
FROM K-1: CITIGROUP DIVERSIFIED FUTURES FUND LP	26.	0.	26.
NATIONAL FINANCIAL SERVICES, LLC A/C AEL-302015	7,785.	0.	7,785.
SMITHBARNEY A/C:03288	1,070.	0.	1,070.
SMITHBARNEY A/C:03289	968.	0.	968.
SMITHBARNEY A/C:03290	2,444.	0.	2,444.
SMITHBARNEY A/C:03728	108.	0.	108.
SMITHBARNEY A/C:10382	26.	0.	26.
<b>TOTAL TO FM 990-PF, PART I, LN 4</b>	<b>12,427.</b>	<b>0.</b>	<b>12,427.</b>

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
12-B1 REBATE PAYMENTS	55.	55.	
<b>TOTAL TO FORM 990-PF, PART I, LINE 11</b>	<b>55.</b>	<b>55.</b>	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING / TAX PREPARATION	3,237.	809.		0.
<b>TO FORM 990-PF, PG 1, LN 16B</b>	<b>3,237.</b>	<b>809.</b>		<b>0.</b>

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	6,258.	6,258.		0.
TO FORM 990-PF, PG 1, LN 16C	6,258.	6,258.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN	237.	237.		0.
STATE	300.	0.		0.
FEDERAL	56.	0.		0.
TO FORM 990-PF, PG 1, LN 18	593.	237.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FROM K-1: CITIGROUP DIVERSIFIED FUTURES FUND LP	894.	894.		0.
TO FORM 990-PF, PG 1, LN 23	894.	894.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES		STATEMENT 7
DESCRIPTION	AMOUNT		
UNREALIZED GAIN ON SECURITIES	70,780.		
NONDIVIDEND DISTRIBUTIONS	1,637.		
TOTAL TO FORM 990-PF, PART III, LINE 3	72,417.		

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
NATIONAL FINANCIAL SERVICES, LLC A/C: 302015	FMV	468,735.	468,735.
TOTAL TO FORM 990-PF, PART II, LINE 13		468,735.	468,735.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT	9
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
BARBARA'S FRIENDS, THE CHILDREN'S HOSPITAL	N/A FUND OPERATIONS	PUBLIC	2,400.
BROWN UNIVERSITY	N/A FUND OPERATIONS	PUBLIC	20,000.
BUCKINGHAM BROWNE & NICHOLS SCHOOL	N/A FUND OPERATIONS	PUBLIC	9,000.
CITY YEAR	N/A FUND OPERATIONS	PUBLIC	15,000.
CLEVELAND SOCIAL VENTURE PARTNERS	N/A FUND OPERATIONS	PUBLIC	2,500.
CYSTIC FIBROSIS FOUNDATION RAINBOW BABIES AND CHILDREN'S HOSPITAL	N/A FUND OPERATIONS	PUBLIC	250.

E-PREP	N/A FUND OPERATIONS	PUBLIC	250.
KING SCHOOL	N/A FUND OPERATIONS	PUBLIC	1,000.
KIRTLAND COUNTRY CLUB SCHOLARSHIP FUND	N/A FUND OPERATIONS	PUBLIC	250.
LUSTGARTEN FOUNDATION OF PANCREATIC CANCER RESEARCH	N/A FUND OPERATIONS	PUBLIC	2,000.
RONALD MCDONALD HOUSE OF CLEVELAND	N/A FUND OPERATIONS	PUBLIC	500.
SPEA NORTHEAST OHIO	N/A FUND OPERATIONS	PUBLIC	500.
UNITARIAN UNIVERSALIST SOCIETY OF WELLESLEY HILLS	N/A FUND OPERATIONS	PUBLIC	9,000.
UNIVERSITY SCHOOLS	N/A FUND OPERATIONS	PUBLIC	1,000.
UNIVERSITY SCHOOLS	N/A FUND OPERATIONS	PUBLIC	250.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			63,900.