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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning

, and ending

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

KERRY & SIMONE VICKAR FAMILY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)

525 NORTH TRYON STREET

Room/suite

1700

City or town, state, and ZIP code

CHARLOTTE, NC 28202

A Employer identification number

34-1974937

B Telephone number

704-331-6580

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,
check here and attach computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 7,960,006. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)**Part I Analysis of Revenue and Expenses**(The total of amounts in columns (b), (c), and (d) may not
necessarily equal the amounts in column (a).)(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ☒ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	102,619.	102,619.		STATEMENT 1
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	<604,821.>			
	b	Gross sales price for all assets on line 6a	3,635,003.			
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain				
	9	Income modifications				
	Operating and Administrative Expenses	10a	Gross sales less returns and allowances			
b		Less: Cost of goods sold				
c		Gross profit or (loss)				
11		Other income	<2,202.>	<2,202.>		STATEMENT 2
12		Total. Add lines 1 through 11	<504,404.>	100,417.		
13		Compensation of officers, directors, trustees, etc	74,758.	0.		74,758.
14		Other employee salaries and wages				
15		Pension plans, employee benefits				
16a		Legal fees				
b		Accounting fees	STMT 3 43,000.	8,750.		14,250.
c		Other professional fees	STMT 4 32,541.	31,416.		1,125.
17		Interest				
18	Taxes	STMT 5 5,904.	226.		5,719.	
19	Depreciation and depletion					
20	Occupancy					
21	Travel, conferences, and meetings					
22	Printing and publications					
23	Other expenses	STMT 6 4,441.	383.		0.	
24	Total operating and administrative expenses. Add lines 13 through 23	160,644.	40,775.		95,852.	
25	Contributions, gifts, grants paid	427,833.			427,833.	
26	Total expenses and disbursements. Add lines 24 and 25	588,477.	40,775.		523,685.	
27	Subtract line 26 from line 12:					
a	Excess of revenue over expenses and disbursements	<1,092,881.>				
b	Net investment income (if negative, enter -0-)		59,642.			
c	Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			13,520.	28,684.	28,684.
	2 Savings and temporary cash investments			107,091.	87,639.	87,639.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations STMT 7			848,062.	1,116,482.	1,142,228.
	b Investments - corporate stock STMT 8			2,300,619.	1,793,001.	1,909,808.
	c Investments - corporate bonds STMT 9			161,498.	1,043,378.	1,086,714.
Liabilities	11 Investments - land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	12 Investments - mortgage loans					
	13 Investments - other STMT 10			5,756,843.	4,025,568.	3,704,933.
	14 Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
	15 Other assets (describe ▶)					
	16 Total assets (to be completed by all filers)			9,187,633.	8,094,752.	7,960,006.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27 Capital stock, trust principal, or current funds			0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds			9,187,633.	8,094,752.		
30 Total net assets or fund balances			9,187,633.	8,094,752.		
31 Total liabilities and net assets/fund balances			9,187,633.	8,094,752.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,187,633.
2 Enter amount from Part I, line 27a	2	<1,092,881.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	8,094,752.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,094,752.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a LTCG		P	VARIOUS	VARIOUS
b STCG		P	VARIOUS	VARIOUS
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,432,869.		3,020,597.	<587,728.>
b 1,202,134.		1,219,227.	<17,093.>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<587,728.>
b			<17,093.>
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<604,821.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	677,433.	9,348,119.	.072467
2007	535,506.	10,487,463.	.051062
2006	449,497.	10,143,015.	.044316
2005	141,660.	9,900,627.	.014308
2004	0.	831,149.	.000000

2 Total of line 1, column (d)	2	.182153
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.036431
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	9,249,547.
5 Multiply line 4 by line 3	5	336,970.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	596.
7 Add lines 5 and 6	7	337,566.
8 Enter qualifying distributions from Part XII, line 4	8	523,685.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	596.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		3	596.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	596.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 1,920.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	1,920.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,324.
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 1,324. Refunded ☐		11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	X	

Website address ► **N/A**

14 The books are in care of ► **L. KERRY VICKAR** Telephone no. ► **704-331-6580**
 Located at ► **525 NORTH TRYON STREET, SUITE 1700, CHARLOTTE, NC** ZIP+4 ► **28202**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
L. KERRY VICKAR	DIRECTOR			
525 NORTH TRYON STREET, SUITE 1700				
CHARLOTTE, NC 28202	10.00	0.	0.	0.
SIMONE D. VICKAR	PRESIDENT			
525 NORTH TRYON STREET, SUITE 1700				
CHARLOTTE, NC 28202	40.00	74,758.	0.	0.
JEFFREY R. GIFFORD	TREASURER			
525 NORTH TRYON STREET, SUITE 1700				
CHARLOTTE, NC 28202	10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	9,356,824.
b	Average of monthly cash balances	1b	33,579.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	9,390,403.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,390,403.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	140,856.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,249,547.
6	Minimum investment return. Enter 5% of line 5	6	462,477.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	462,477.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	596.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	596.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	461,881.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	461,881.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	461,881.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	523,685.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	523,685.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	596.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	523,089.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				461,881.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			195,867.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 523,685.				
a Applied to 2008, but not more than line 2a			195,867.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				327,818.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				134,063.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT				
Total				▶ 3a 427,833.
b Approved for future payment NONE				
Total				▶ 3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities				14	102,619.	
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income				14	<2,202.>	
8 Gain or (loss) from sales of assets other than inventory				18	<604,821.>	
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)			0.		<504,404.>	0.
13 Total. Add line 12, columns (b), (d), and (e)					<504,404.>	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer or trustee <i>[Signature]</i>		Date <i>11/15/2010</i>	Title <i>Treasurer</i>	
	Paid Preparer's Use Only Preparer's signature <i>[Signature]</i> Date <i>11/10/10</i> Check if self-employed ☐ Preparer's identifying number	Firm's name (or yours if self-employed), address, and ZIP code DIXON HUGHES PLLC 6525 MORRISON BLVD., STE 516 CHARLOTTE, NC 28211		EIN Phone no. 704-367-7020	

Contributions, Gifts, Grants Paid
Statement B

Charity - Last year

1/1/2009 through 12/31/2009

<u>Description</u>	<u>Purpose</u>	<u>Amount</u>	<u>Relationship</u>
ALYN Hospital	Charity	(5,000.00)	none
Aspen Art Museum	Charity	(6,000.00)	none
Aspen Art Museum	Charity	(5,000.00)	none
Beth Tilloh	Charity	(1,000.00)	none
CAF America	Charity	(10,000.00)	none
Celebrities Fore Kids	Charity	(5,000.00)	none
Challenge Aspen	Charity	(1,000.00)	none
Dove House	Charity	(6,250.00)	none
Dove House	Charity	(6,250.00)	none
Jewish Foundation Of Manitoba USA Inc	Charity	(83,333.33)	none
Jupiter Medical Center Foundation	Charity	(5,000.00)	none
Kennedy Krieger	Charity	(500.00)	none
Little Smiles Inc .	Charity	(2,000.00)	none
Maccabi USA	Charity	(2,500.00)	none
March Of Dimes	Charity	(10,000.00)	none
Melfort Multi-use Fundraising Campaign	Charity	(50,000.00)	none
Nicklaus' Children's Healthcare Foundation	Charity	(5,000.00)	none
Palm Beach Harvest	Charity	(25,000.00)	none
Pilgrims Inn	Charity	(2,000.00)	none
The Buddy Program	Charity	(1,250.00)	none
The Dickson Hospice House Campaign	Charity	(20,000.00)	none
The Dove House	Charity	(6,250.00)	none
The Gladney Center For Adoption	Charity	(10,000.00)	none
The Greater Miami Tennis & Education Foundation	Charity	(2,000.00)	none
The Greater Miami Tennis & Education Foundation	Charity	(3,000.00)	none
The Jewish Federation Of Palm Beach	Charity	(100,000.00)	none
Thompson Child And Family Focus	Charity	(2,000.00)	none
Toys For Tots	Charity	(25,000.00)	none
UJA Federation	Charity	(2,500.00)	none
University Of Manitoba Foundation USA	Charity	(25,000.00)	none
		<u>(427,833.33)</u>	

Statement Detail

TERMINATED - VICKAR FDN TAXABLE FI

Realized Gains and Losses

Period Ended December 31, 2009

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
U S TREASURY NOTE 3.875000% 05/15/2018	Aug 05 2008	Jan 27 2009	50,000 00	55,452 93	49,435 75	0 00	6,017 18	6,017 18	ST
MIN ON THE RUN									
UST STRIP TPRIN DUE 08/15/2026 STRIP PRIN OF 1.675% 08/15/26	Oct 21 2008	Jan 27 2009	50,000 00	26,571 50	21,361 81	0 00	5,209 69	5,209 69	ST
GS INVESTMENT GRADE CREDIT SEPARATE A/C INST MUTUAL FUND	Jun 15 2005	Mar 11 2009	46,466 14	357,789 29	474,419 30	0 00	(116,630 01)	(116,630 01)	LT
	Jan 25 2006	Mar 11 2009	4,490 50	34,576 85	44,905 00	0 00	(10,328 15)	(10,328 15)	LT
	Feb 08 2006	Mar 11 2009	4,830 62	37,195 79	48,113 00	0 00	(10,917 21)	(10,917 21)	LT
GS MORTGAGES SEPARATE A/C INST MUTUAL FUND	Nov 14 2005	Mar 11 2009	12,158 76	110,401 50	118,912 63	0 00	(8,511 13)	(8,511 13)	LT
	Nov 17 2005	Mar 11 2009	17,904 37	182,571 72	176,000 00	0 00	(13,428 28)	(13,428 28)	LT
	Jan 25 2006	Mar 11 2009	5,435 32	49,352 74	53,701 00	0 00	(4,348 26)	(4,348 26)	LT
	Feb 08 2006	Mar 11 2009	5,820 83	52,853 16	57,277 00	0 00	(4,423 84)	(4,423 84)	LT
	Apr 19 2006	Mar 11 2009	17,043 12	154,751 54	166,000 00	0 00	(11,248 46)	(11,248 46)	LT
	Oct 02 2007	Mar 11 2009	62,663 98	568,988 90	621,000 00	0 00	(52,011 10)	(52,011 10)	LT
JPMORGAN CHASE & CO 2.625% 12/01/2010	Dec 18 2008	Mar 12 2009	90,000 00	91,687 86	91,419 43 ³	0 00	87 66	268 43	ST
PNC FUNDING CORP 2.3% 06/22/2012 SR LIEN	Dec 17 2008	Mar 12 2009	70,000 00	69,946 03	69,918 10	0 00	27 93	27 93	ST
USII INFL IX BOND 2.500000% 01/15/2029 JJ ON THE RUN SR LIEN	Jan 27 2009	Mar 12 2009	50,000 00	49,726 61	50,341 25	0 00	(614 64)	(614 64)	ST
UST STRIP TPRIN DUE 08/15/2020 C	Jun 15 2005	Mar 12 2009	125,000 00	80,502 50	74,532 57	0 00	5,969 93	5,969 93	LT
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS				243,658 32	232,135 09	0 00	11,342 46	11,523 23	
SHORT TERM LOSSES				49,726 61	50,341 25	0 00	(614 64)	(614 64)	
NET SHORT TERM GAINS (LOSSES)				293,384 93	282,476 34	0 00	10,727 82	10,908 59	
LONG TERM GAINS				80,502 50	74,532 57	0 00	5,969 93	5,969 93	
LONG TERM LOSSES				1,528,481 49	1,760,327 93	0 00	(231,846 44)	(231,846 44)	
NET LONG TERM GAINS (LOSSES)				1,608,983 99	1,834,860 50	0 00	(225,876 51)	(225,876 51)	

³ Basis has been adjusted by Accretion or Amortization (using Straight-Line method) and/or Original Issue Discount (using Constant-Yield method)

SHORT TERM TOTALS

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
CVX	CHEVRON CORPORATION CMN	29-Oct-09	23-Dec-09	108	8,354.71	8,366.71	-12	55	Short-Term
MON	MONSANTO COMPANY CMN	28-Oct-09	11-Nov-09	91	6,832.26	6,518.61	313.65	14	Short-Term
VIAB	VIACOM INC. CMN CLASS B	11-Sep-09	24-Dec-09	9	265.98	236.172	29.81	104	Short-Term
VIAB	VIACOM INC. CMN CLASS B	11-Sep-09	28-Dec-09	75	2,221.71	1,968.10	253.61	108	Short-Term
RIG	TRANSOCEAN LTD. CMN	10-Sep-09	16-Oct-09	49	4,470.99	3,996.36	474.63	36	Short-Term
HAL	HALLIBURTON COMPANY CMN	10-Sep-09	3-Dec-09	138	3,953.27	3,545.39	407.88	84	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	28-Aug-09	30-Oct-09	38	2,205.49	2,005.60	199.89	63	Short-Term
KSS	KOHL'S CORP (WISCONSIN) CMN	27-Aug-09	30-Oct-09	124	7,196.87	6,582.60	614.27	64	Short-Term
GOOG	GOOGLE, INC. CMN CLASS A	3-Aug-09	10-Sep-09	15	6,954.90	6,797.21	157.69	38	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Aug-09	15-Oct-09	309	4,775.77	4,656.20	119.57	73	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-09	10-Sep-09	53	1,160.64	1,059.36	101.28	73	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	29-Jun-09	11-Sep-09	137	3,017.66	2,738.34	279.32	74	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	11-Jun-09	19-Nov-09	51	3,534.83	3,414.57	120.26	161	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	9-Jun-09	28-Oct-09	93	1,068.98	1,185.01	-116.03	141	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	8-Jun-09	28-Oct-09	215	2,471.29	2,727.08	-255.79	142	Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	4-Jun-09	19-Nov-09	58	4,020.01	3,810.07	209.94	168	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-09	14-Oct-09	58	890.68	836.517	54.17	140	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	27-May-09	15-Oct-09	252	3,894.80	3,634.52	260.28	141	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-09	18-Aug-09	76	921.85	885.91	35.94	92	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-09	15-Sep-09	407	4,672.78	4,744.28	-71.5	120	Short-Term
ATVI	ACTIVISION BLIZZARD INC CMN	18-May-09	28-Oct-09	185	2,126.46	2,156.49	-30.03	163	Short-Term
WFT	WEATHERFORD INTERNATIONAL LTD CMN	15-May-09	10-Sep-09	335	7,336.11	6,010.91	1,325.20	118	Short-Term
HES	HESS CORPORATION CMN	12-May-09	29-Oct-09	54	3,042.08	3,441.36	-399.28	170	Short-Term
DOW	DOW CHEMICAL CO CMN	8-May-09	7-Aug-09	171	3,943.66	2,979.06	964.6	91	Short-Term
WFC	WELLS FARGO & CO (NEW) CMN	8-May-09	28-Oct-09	230	6,388.62	5,975.98	412.64	173	Short-Term
JCP	J.C. PENNEY CO INC (HLDNG CO) CMN	7-May-09	29-Dec-09	190	5,142.20	5,945.63	-803.43	236	Short-Term
TMO	THERMO FISHER SCIENTIFIC INC CMN	4-May-09	30-Sep-09	157	6,824.08	5,831.83	992.25	149	Short-Term
DISH	DISH NETWORK CORPORATION CMN CLASS A	23-Apr-09	18-Aug-09	51	863.91	674.47	189.44	117	Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-09	18-Aug-09	20	1,021.97	709.794	312.18	117	Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	23-Apr-09	22-Oct-09	119	5,442.26	4,223.28	1,218.98	182	Short-Term
NKE	NIKE CLASS-B CMN CLASS B	17-Apr-09	20-Aug-09	67	3,812.23	3,590.87	221.36	125	Short-Term
BAC	BANK OF AMERICA CORP CMN	13-Apr-09	12-Jun-09	125	1,732.90	1,366.38	366.52	60	Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Apr-09	17-Jul-09	77	3,997.22	3,419.20	578.02	99	Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Apr-09	20-Jul-09	48	2,354.41	2,131.45	222.96	102	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	8-Apr-09	14-Oct-09	268	4,115.57	3,770.87	344.7	189	Short-Term
KFT	KRAFT FOODS INC. CMN CLASS A	7-Apr-09	2-Jun-09	215	5,802.89	4,832.79	970.1	56	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-09	9-Oct-09	110	1,701.70	1,501.79	199.91	193	Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	30-Mar-09	14-Oct-09	171	2,625.98	2,334.59	291.38	198	Short-Term
RIG	TRANSOCEAN LTD. CMN	26-Mar-09	13-Oct-09	45	3,941.19	2,903.49	1,037.70	201	Short-Term

RIG	TRANSOCEAN LTD. CMN	26-Mar-09	16-Oct-09	11	1,003.69	709,742	293.95	204 Short-Term
ORCL	ORACLE CORPORATION CMN	24-Mar-09	16-Sep-09	409	9,038.97	7,374.52	1,664.45	176 Short-Term
FL2SVHF7	FHLMC 4 75% 11/17/2015 MN	24-Mar-09	7-Dec-09	25,000	27,607.50	27,508.71	98.79	258 Short-Term
3JUUE7	PFIZER INC. 5 35% 03/15/2015 SR LIEN M-W+50 00BP	17-Mar-09	7-Dec-09	150,000	166,365.00	152,056.05	14,308.95	265 Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	13-Mar-09	23-Apr-09	190	9,359.63	8,793.66	565.97	41 Short-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	13-Mar-09	25-Aug-09	185	9,897.91	8,562.24	1,335.67	165 Short-Term
T	AT&T INC CMN	3-Mar-09	28-Jul-09	236	6,027.42	5,406.64	620.78	146 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Mar-09	18-Aug-09	89	1,287.79	1,122.87	164.92	167 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Mar-09	1-Oct-09	150	2,363.70	1,892.48	471.22	211 Short-Term
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	3-Mar-09	9-Oct-09	147	2,274.08	1,854.63	419.46	219 Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	2-Mar-09	21-Sep-09	19	4,691.65	1,639.56	3,052.09	202 Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	24-Feb-09	21-Sep-09	1	246.93	100.17	146.76	208 Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	23-Feb-09	10-Aug-09	13	3,002.24	1,305.00	1,697.24	167 Short-Term
ISRG	INTUITIVE SURGICAL, INC. CMN	23-Feb-09	21-Sep-09	20	4,938.58	2,007.69	2,930.88	209 Short-Term
RIG	TRANSOCEAN LTD. CMN	20-Feb-09	29-Jun-09	57	4,309.09	3,336.94	972.15	128 Short-Term
RIG	TRANSOCEAN LTD. CMN	20-Feb-09	13-Oct-09	34	2,977.79	1,990.45	987.34	234 Short-Term
MS	MORGAN STANLEY CMN	13-Feb-09	21-Oct-09	196	6,828.05	4,520.52	2,307.53	249 Short-Term
CPB	CAMPBELL SOUP CO CMN	10-Feb-09	7-Apr-09	43	1,129.87	1,297.49	-167.62	55 Short-Term
CPB	CAMPBELL SOUP CO CMN	10-Feb-09	8-Apr-09	214	5,612.21	6,457.25	-845.04	56 Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	11-May-09	7	314.38	315.526	-1.15	89 Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	12-May-09	59	2,667.23	2,659.43	7.8	90 Short-Term
MOS	THE MOSAIC COMPANY CMN	10-Feb-09	17-Jul-09	18	934.42	811.352	123.06	156 Short-Term
MOS	THE MOSAIC COMPANY CMN	9-Feb-09	11-May-09	42	1,886.28	1,903.22	-16.94	90 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	30-Jan-09	13-Nov-09	35	2,350.30	2,174.18	176.12	287 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	30-Jan-09	19-Nov-09	23	1,594.14	1,428.75	165.39	293 Short-Term
PFE	PFIZER INC CMN	29-Jan-09	14-Apr-09	671	8,942.86	10,150.15	-1,207.29	74 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	27-Jan-09	14-May-09	66	4,065.56	3,617.22	448.34	106 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Jan-09	3-Nov-09	27	1,771.35	1,634.42	136.93	284 Short-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	23-Jan-09	13-Nov-09	37	2,484.60	2,239.77	244.84	294 Short-Term
NKE	NIKE CLASS-B CMN CLASS B	22-Jan-09	20-Aug-09	124	7,055.48	5,666.81	1,388.67	209 Short-Term
MSFT	MICROSOFT CORPORATION CMN	21-Jan-09	18-May-09	310	6,334.69	5,875.31	459.38	116 Short-Term
BIIB	BIOMGEN IDEC INC CMN	21-Jan-09	18-Aug-09	20	966.77	1,003.15	-36.38	208 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	31-Dec-08	5-Oct-09	140	6,694.30	4,825.51	1,868.79	277 Short-Term
MS	MORGAN STANLEY CMN	30-Dec-08	21-Sep-09	145	4,584.57	2,138.54	2,446.03	264 Short-Term
MS	MORGAN STANLEY CMN	30-Dec-08	21-Oct-09	2	69.67	29.497	40.18	294 Short-Term
MS	MORGAN STANLEY CMN	29-Dec-08	7-Apr-09	158	3,783.79	2,273.76	1,510.03	98 Short-Term
MS	MORGAN STANLEY CMN	29-Dec-08	18-Aug-09	34	982.57	489.29	493.28	231 Short-Term
MS	MORGAN STANLEY CMN	29-Dec-08	21-Sep-09	53	1,675.74	762.718	913.02	265 Short-Term
HD	THE HOME DEPOT, INC CMN	23-Dec-08	7-Dec-09	290	8,180.58	6,876.34	1,304.24	349 Short-Term
HES	HESS CORPORATION CMN	23-Dec-08	29-Oct-09	76	4,281.45	3,658.09	623.36	309 Short-Term
TN15013	U.S. TREASURY NOTE 1.500000% 12/31/2013 JD ON T	23-Dec-08	25-Mar-09	20,000	19,796.88	19,983.30	-186.42	91 Short-Term
HES	HESS CORPORATION CMN	17-Dec-08	8-Oct-09	39	2,209.88	2,046.10	163.78	294 Short-Term
HES	HESS CORPORATION CMN	17-Dec-08	29-Oct-09	69	3,887.10	3,620.02	267.08	315 Short-Term
HES	HESS CORPORATION CMN	12-Dec-08	8-Oct-09	90	5,099.72	4,203.77	895.95	299 Short-Term
NUE	NUCOR CORPORATION CMN	11-Dec-08	27-Jan-09	110	4,286.69	4,740.37	-453.68	47 Short-Term

NUE	NUCOR CORPORATION CMN	10-Dec-08	27-Jan-09	28	1,091.16	1,211.76	-120.6	48 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	4-Dec-08	5-Oct-09	109	5,211.99	4,077.56	1,134.43	304 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	3-Dec-08	5-Oct-09	11	525.98	417.19	108.79	305 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	25-Nov-08	2-Jul-09	54	2,166.57	2,097.85	68.72	218 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	25-Nov-08	18-Aug-09	20	974.97	776.982	197.99	265 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	25-Nov-08	5-Oct-09	26	1,243.23	1,010.08	233.15	313 Short-Term
MSFT	MICROSOFT CORPORATION CMN	20-Nov-08	7-Apr-09	192	3,603.15	3,528.27	74.88	137 Short-Term
MSFT	MICROSOFT CORPORATION CMN	20-Nov-08	18-May-09	83	1,696.06	1,525.24	170.82	178 Short-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	18-Nov-08	23-Apr-09	35	577.03	399.21	177.82	155 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	18-Nov-08	18-May-09	80	3,290.70	3,245.15	45.55	180 Short-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	18-Nov-08	3-Jun-09	335	6,013.79	3,821.01	2,192.78	196 Short-Term
RRC	RANGE RESOURCES CORPORATION CMN	18-Nov-08	2-Jul-09	45	1,805.47	1,825.40	-19.92	225 Short-Term
TN3718	U.S. TREASURY NOTE 3 750000% 11/15/2018 MN ON T	18-Nov-08	25-Mar-09	50,000	54,027.34	50,363.97	3,663.37	126 Short-Term
TN27513	U.S. TREASURY NOTE 2.750000% 10/31/2013 AO ON T	7-Nov-08	16-Oct-09	40,000	41,069.60	40,298.97	770.63	342 Short-Term
TN27513	U.S. TREASURY NOTE 2 750000% 10/31/2013 AO ON T	7-Nov-08	22-Oct-09	60,000	61,621.20	60,447.32	1,173.88	348 Short-Term
HES	SMITH INTERNATIONAL INC CMN	5-Nov-08	23-Jan-09	145	3,575.13	5,033.99	-1,458.86	79 Short-Term
HES	HESS CORPORATION CMN	3-Nov-08	3-Feb-09	40	2,205.05	2,333.03	-127.97	92 Short-Term
HES	HESS CORPORATION CMN	3-Nov-08	18-Aug-09	25	1,256.46	1,458.14	-201.68	287 Short-Term
HES	HESS CORPORATION CMN	3-Nov-08	8-Oct-09	25	1,416.59	1,458.14	-41.55	338 Short-Term
BDX	BECTON DICKINSON & CO CMN	3-Nov-08	28-Oct-09	55	3,679.63	3,786.93	-107.3	358 Short-Term
BDX	BECTON DICKINSON & CO CMN	31-Oct-08	28-Oct-09	25	1,672.56	1,705.82	-33.26	362 Short-Term
TN27513	U.S. TREASURY NOTE 2.750000% 10/31/2013 AO ON T	31-Oct-08	26-May-09	25,000	25,798.83	24,906.25	892.58	207 Short-Term
CB	SEAGATE TECHNOLOGY CMN	29-Oct-08	2-Feb-09	12	47.22	79.014	-31.8	96 Short-Term
V	SEAGATE TECHNOLOGY CMN	29-Oct-08	3-Feb-09	342	1,289.40	2,251.90	-962.5	97 Short-Term
BDX	CHUBB CORP CMN	28-Oct-08	5-Jun-09	126	5,175.34	5,698.27	-522.93	220 Short-Term
BEN	VISA INC CMN CLASS A	24-Oct-08	19-Aug-09	109	7,247.16	5,217.75	2,029.41	299 Short-Term
BEN	BECTON DICKINSON & CO CMN	23-Oct-08	23-Apr-09	50	3,194.66	3,454.46	-259.8	182 Short-Term
WMB	FRANKLIN RESOURCES INC CMN	22-Oct-08	18-Aug-09	18	1,671.97	1,153.93	518.04	300 Short-Term
VIA	WILLIAMS COMPANIES INC (THE) CMN	21-Oct-08	24-Feb-09	282	3,158.32	5,585.66	-2,427.34	126 Short-Term
WM	VIACOM INC CMN CLASS B	15-Oct-08	15-Jul-09	26	558.96	480.816	78.15	273 Short-Term
WM	WASTE MANAGEMENT INC CMN	15-Oct-08	13-Oct-09	35	1,014.92	1,066.29	-51.37	363 Short-Term
WM	WASTE MANAGEMENT INC CMN	14-Oct-08	13-Oct-09	26	753.94	787.06	-33.12	364 Short-Term
GOOG	GOOGLE, INC. CMN CLASS A	13-Oct-08	10-Mar-09	16	4,926.61	5,740.96	-814.35	148 Short-Term
WM	WASTE MANAGEMENT INC CMN	13-Oct-08	13-Oct-09	111	3,218.76	3,310.30	-91.54	365 Short-Term
GE	GENERAL ELECTRIC CO CMN	9-Oct-08	4-Mar-09	187	1,188.79	3,765.19	-2,576.40	146 Short-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	9-Oct-08	10-Mar-09	34	1,257.30	1,953.18	-695.88	152 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	6-Oct-08	8-May-09	9	636.13	687.666	-51.53	214 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	6-Oct-08	18-Aug-09	15	997.47	1,146.11	-148.64	316 Short-Term
MSFT	MICROSOFT CORPORATION CMN	3-Oct-08	7-Apr-09	218	4,091.07	5,787.77	-1,696.70	186 Short-Term
XOM	SMITH INTERNATIONAL INC CMN	1-Oct-08	23-Jan-09	101	2,490.26	5,714.24	-3,223.98	114 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	1-Oct-08	8-May-09	84	5,937.23	6,398.72	-461.49	219 Short-Term
AMGN	AMGEN INC CMN	30-Sep-08	8-May-09	80	3,774.01	4,699.89	-925.88	220 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	29-Sep-08	30-Apr-09	47	3,149.86	3,703.43	-553.57	213 Short-Term
XOM	EXXON MOBIL CORPORATION CMN	29-Sep-08	8-May-09	52	3,675.43	4,097.42	-421.99	221 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	24-Feb-09	54	2,624.80	3,971.18	-1,346.38	153 Short-Term

APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	9-Mar-09	75	3,447.36	5,515.53	-2,068.17	166 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	7-May-09	45	2,835.17	3,309.32	-474.15	225 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	24-Sep-08	14-May-09	27	1,663.18	1,985.59	-322.41	232 Short-Term
STI	SUNTRUST BANKS INC \$1 00 PAR CMN	22-Sep-08	22-Jan-09	155	2,216.29	8,296.10	-6,079.81	122 Short-Term
C	CITIGROUP INC CMN	22-Sep-08	22-Jan-09	245	755.14	4,855.61	-4,100.48	122 Short-Term
	GENENTECH INC. CMN	18-Sep-08	9-Mar-09	65	5,848.84	5,893.48	-44.64	172 Short-Term
HON	HONEYWELL INTL INC CMN	18-Sep-08	18-Aug-09	29	1,010.62	1,262.36	-251.74	334 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	9-Feb-09	41	2,292.81	3,480.88	-1,188.07	146 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	10-Feb-09	31	1,676.83	2,631.89	-955.06	147 Short-Term
APD	AIR PRODUCTS & CHEMICALS INC CMN	16-Sep-08	24-Feb-09	10	486.08	848.995	-362.92	161 Short-Term
ZMH	ZIMMER HLDGS INC CMN	15-Sep-08	25-Jun-09	70	3,030.90	4,910.57	-1,879.67	283 Short-Term
ZMH	ZIMMER HLDGS INC CMN	12-Sep-08	25-Jun-09	21	909.27	1,505.06	-595.79	286 Short-Term
STI	SUNTRUST BANKS INC \$1 00 PAR CMN	22-Aug-08	22-Jan-09	78	1,115.29	3,208.51	-2,093.22	153 Short-Term
ZMH	ZIMMER HLDGS INC CMN	28-Jul-08	22-Jan-09	103	4,054.93	7,055.10	-3,000.17	178 Short-Term
ZMH	ZIMMER HLDGS INC CMN	28-Jul-08	25-Jun-09	4	173.19	273.984	-100.79	332 Short-Term
MGM	MGM MIRAGE CMN	28-Jul-08	5-Feb-09	243	1,529.80	6,678.42	-5,148.62	192 Short-Term
BA	BOEING COMPANY CMN	25-Jul-08	12-Jun-09	20	1,022.97	1,267.56	-244.59	322 Short-Term
BA	BOEING COMPANY CMN	25-Jul-08	14-Jul-09	93	3,751.03	5,894.15	-2,143.12	354 Short-Term
VIAB	VIACOM INC. CMN CLASS B	21-Jul-08	14-Jul-09	121	2,541.10	3,569.62	-1,028.52	358 Short-Term
VIAB	VIACOM INC. CMN CLASS B	21-Jul-08	15-Jul-09	39	838.45	1,150.54	-312.09	359 Short-Term
UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON S	17-Jul-08	21-Jan-09	151	7,063.88	9,011.20	-1,947.32	188 Short-Term
AMGN	AMGEN INC. CMN	15-Jul-08	1-May-09	35	1,690.47	1,824.77	-134.31	290 Short-Term
AMGN	AMGEN INC. CMN	15-Jul-08	7-May-09	56	2,627.71	2,919.64	-291.93	296 Short-Term
AMGN	AMGEN INC. CMN	15-Jul-08	8-May-09	19	896.33	990.591	-94.26	297 Short-Term
AMGN	AMGEN INC. CMN	14-Jul-08	1-May-09	26	1,255.78	1,325.88	-70.1	291 Short-Term
AMGN	AMGEN INC. CMN	24-Jun-08	13-Apr-09	2	95.3	91.982	3.31	293 Short-Term
AMGN	AMGEN INC. CMN	24-Jun-08	1-May-09	63	3,042.84	2,897.43	145.41	311 Short-Term
VRSN	VERISIGN INC CMN	23-Jun-08	2-Jun-09	36	838.5	1,411.41	-572.9	344 Short-Term
EOG	EOG RESOURCES INC CMN	20-Jun-08	4-Jun-09	6	440.09	790.563	-350.48	349 Short-Term
EOG	EOG RESOURCES INC CMN	19-Jun-08	4-Jun-09	45	3,300.66	6,009.57	-2,708.91	350 Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	4-Jun-08	7-Jan-09	53	2,334.20	3,751.44	-1,417.24	217 Short-Term
STT	STATE STREET CORPORATION (NEW) CMN	4-Jun-08	8-Jan-09	79	3,358.55	5,591.78	-2,233.23	218 Short-Term
MGM	MGM MIRAGE CMN	3-Jun-08	5-Feb-09	50	314.77	2,331.01	-2,016.24	247 Short-Term
VRSN	VERISIGN INC CMN	2-Jun-08	2-Jun-09	15	349.38	590.62	-241.24	365 Short-Term
AMGN	AMGEN INC. CMN	27-May-08	13-Apr-09	93	4,431.20	4,000.60	430.6	321 Short-Term
TWC	TIME WARNER CABLE INC. CMN	23-May-08	8-Apr-09	22	585.27	1,025.15	-439.88	320 Short-Term
C	CITIGROUP INC. CMN	30-Apr-08	22-Jan-09	110	339.04	2,789.07	-2,450.03	267 Short-Term
C	CITIGROUP INC. CMN	22-Apr-08	22-Jan-09	315	970.89	7,909.27	-6,938.38	275 Short-Term
	SEAGATE TECHNOLOGY CMN	21-Apr-08	2-Feb-09	253	995.45	5,054.13	-4,058.68	287 Short-Term
TB8520	U.S. TREASURY BOND 8 50% 02/15/2020 FA	1-Apr-08	25-Mar-09	10,000	14,806.64	13,964.15	842.49	358 Short-Term
V	VISA INC. CMN CLASS A	27-Mar-08	20-Feb-09	45	2,494.04	2,867.84	-373.8	330 Short-Term
MGM	MGM MIRAGE CMN	11-Mar-08	5-Feb-09	104	654.73	6,200.95	-5,546.22	331 Short-Term
HES	HES CORPORATION CMN	3-Mar-08	26-Jan-09	5	307.97	473.096	-165.13	329 Short-Term
HES	HES CORPORATION CMN	3-Mar-08	3-Feb-09	20	1,102.53	1,892.38	-789.86	337 Short-Term
BAC	BANK OF AMERICA CORP CMN	19-Feb-08	22-Jan-09	325	1,861.16	13,995.77	-12,134.61	338 Short-Term

MA	MASTERCARD INCORPORATED CMN CLASS A	5-Feb-08	26-Jan-09	6	754 19	1,270.18	-515.99	356 Short-Term
PFE	PFIZER INC. CMN	18-Jul-07	28-Oct-09	176	3,054.98	3,108.16	-53 18	833 Short-Term
PFE	PFIZER INC. CMN	18-Jul-07	29-Oct-09	1	17 31	17 66	-0 35	834 Short-Term
	ST proceeds from account #09088				293,384.93	282,476.34	10,908.59	

Total Short term

1,202,134.15 1,219,227.41 -28,001.86

LONG TERM

Symbol	Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Days Held	Gain Type
BDX	BECTON DICKINSON & CO CMN	24-Oct-08	28-Oct-09	32	2,140.88	2,146.43	-5.55	369	Long-Term
BDX	BECTON DICKINSON & CO CMN	23-Oct-08	28-Oct-09	4	267.61	276.357	-8.75	370	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	22-Oct-08	15-Dec-09	8	838.65	512.858	325.79	419	Long-Term
BEN	FRANKLIN RESOURCES INC CMN	22-Oct-08	16-Dec-09	20	2,073.75	1,282.14	791.61	420	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	2-Dec-09	190	5,701.57	3,513.65	2,187.92	413	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	24-Dec-09	73	2,157.42	1,349.98	807.44	435	Long-Term
VIAB	VIACOM INC. CMN CLASS B	15-Oct-08	23-Dec-09	76	2,240.82	1,405.46	835.36	434	Long-Term
BA	BOEING COMPANY CMN	25-Jul-08	18-Aug-09	20	876.17	1,267.56	-391.39	389	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	1-Jul-08	20-Jul-09	25	1,670.33	1,722.81	-52.49	384	Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	1-Jul-08	21-Jul-09	50	3,372.04	3,445.63	-73.59	385	Long-Term
EOG	EOG RESOURCES INC CMN	23-Jun-08	30-Dec-09	9	890.84	1,209.61	-318.76	555	Long-Term
EOG	EOG RESOURCES INC CMN	20-Jun-08	18-Aug-09	17	1,231.27	2,239.93	-1,008.66	424	Long-Term
EOG	EOG RESOURCES INC CMN	20-Jun-08	29-Dec-09	25	2,493.46	3,294.01	-800.55	557	Long-Term
EOG	EOG RESOURCES INC CMN	20-Jun-08	30-Dec-09	16	1,583.72	2,108.17	-524.44	558	Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	11-Jun-08	18-Aug-09	10	692.48	919.977	-227.5	433	Long-Term
OXY	OCCIDENTAL PETROLEUM CORP CMN	6-Jun-08	18-Aug-09	13	900.22	1,233.07	-332.85	438	Long-Term
TWX	TIME WARNER INC. CMN	23-May-08	11-Sep-09	85	2,503.16	3,111.38	-608.22	476	Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-May-08	23-Dec-09	37	1,807.55	1,969.43	-161.88	582	Long-Term
MTB	M&T BANK CORPORATION CMN	7-May-08	5-Aug-09	56	3,354.49	5,147.70	-1,793.21	455	Long-Term
EOG	EOG RESOURCES INC CMN	1-May-08	12-May-09	36	2,583.12	4,461.90	-1,878.78	376	Long-Term
EOG	EOG RESOURCES INC CMN	1-May-08	4-Jun-09	9	660.13	1,115.48	-455.34	399	Long-Term
WMT	WAL MART STORES INC CMN	4-Apr-08	17-Apr-09	107	5,454.77	5,854.03	-399.26	378	Long-Term
WMT	WAL MART STORES INC CMN	4-Apr-08	18-Aug-09	18	927.51	984.789	-57.28	501	Long-Term
WMT	WAL MART STORES INC CMN	2-Apr-08	17-Apr-09	8	407.83	435.66	-27.83	380	Long-Term
AMTD	TD AMERITRADE HOLDING CORPORAT CMN	2-Apr-08	23-Apr-09	260	4,286.48	4,665.49	-379.01	386	Long-Term
V	VISA INC. CMN CLASS A	1-Apr-08	29-Oct-09	53	4,114.48	3,261.94	852.54	576	Long-Term
V	VISA INC. CMN CLASS A	27-Mar-08	23-Jul-09	49	3,289.00	3,122.75	166.25	483	Long-Term
V	VISA INC. CMN CLASS A	19-Mar-08	23-Apr-09	110	6,385.23	6,564.84	-179.6	400	Long-Term
V	VISA INC. CMN CLASS A	19-Mar-08	19-Aug-09	16	1,063.80	954.885	108.92	518	Long-Term
EOG	EOG RESOURCES INC CMN	5-Mar-08	18-Aug-09	53	3,834.45	6,359.66	-2,525.21	530	Long-Term

LH	LABORATORY CORPORATION OF AMER CMN	25-Feb-08	8-Jun-09	34	2,074.48	2,693.00	-618.52	468 Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	25-Feb-08	20-Jul-09	61	4,075.59	4,831.55	-755.96	510 Long-Term
IVZ	INVERSCO LTD CMN	22-Feb-08	18-Aug-09	17	321.46	433.787	-112.33	542 Long-Term
TWC	TIME WARNER INC CMN	19-Feb-08	8-Apr-09	16	425.65	802.758	-377.11	413 Long-Term
TWX	TIME WARNER INC CMN	19-Feb-08	11-Sep-09	65	1,914.18	2,436.40	-522.22	569 Long-Term
TN2110A	U.S. TREASURY NOTE 2.125000% 01/31/2010 JJ ON T	19-Feb-08	25-Mar-09	25,000	25,309.57	25,015.60	293.97	399 Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	11-Feb-08	5-Jun-09	30	1,842.16	2,369.26	-527.11	479 Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	11-Feb-08	8-Jun-09	5	305.07	394.877	-89.81	482 Long-Term
LH	LABORATORY CORPORATION OF AMER CMN	8-Feb-08	5-Jun-09	12	736.86	938.9	-202.04	482 Long-Term
WM	WASTE MANAGEMENT INC CMN	8-Feb-08	9-Jun-09	129	3,590.97	4,196.73	-605.75	486 Long-Term
WM	WASTE MANAGEMENT INC CMN	8-Feb-08	13-Oct-09	51	1,478.89	1,659.17	-180.28	612 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	8-Feb-08	28-Oct-09	8	1,780.26	1,623.16	157.09	627 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	5-Feb-08	18-Aug-09	31	6,156.75	6,562.58	-405.83	559 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	5-Feb-08	28-Oct-09	2	445.06	423.392	21.67	630 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	29-Jan-08	3-Nov-09	35	2,296.20	2,939.33	-643.13	644 Long-Term
	SEAGATE TECHNOLOGY CMN	25-Jan-08	2-Feb-09	352	1,384.97	7,395.70	-6,010.73	374 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	22-Jan-08	16-Dec-09	91	2,937.82	2,906.41	31.41	694 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	22-Jan-08	17-Dec-09	53	1,682.69	1,692.75	-10.06	695 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	16-Jan-08	26-Jan-09	21	2,639.66	3,671.09	-1,031.43	376 Long-Term
AAPL	APPLE, INC. CMN	31-Dec-07	18-Aug-09	3	489.83	598.808	-108.98	595 Long-Term
AAPL	APPLE, INC. CMN	31-Dec-07	20-Nov-09	10	2,002.39	1,996.03	6.36	690 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	20-Dec-07	26-Jan-09	29	3,645.25	5,986.54	-2,341.29	403 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	18-Dec-07	7-Jan-09	24	3,625.10	4,850.21	-1,225.11	386 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	18-Dec-07	26-Jan-09	7	879.89	1,414.64	-534.76	405 Long-Term
IVZ	INVERSCO LTD. CMN	17-Dec-07	18-Aug-09	30	567.28	837.52	-270.24	609 Long-Term
JNJ	JOHNSON & JOHNSON CMN	17-Dec-07	18-Aug-09	2	119.48	135.312	-15.84	609 Long-Term
IVZ	INVERSCO LTD. CMN	14-Dec-07	18-Aug-09	17	321.46	479.24	-157.78	612 Long-Term
MA	MASTERCARD INCORPORATED CMN CLASS A	14-Dec-07	7-Jan-09	2	302.09	436.22	-134.13	390 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	6-Dec-07	16-Dec-09	10	322.84	354.8	-31.96	741 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	5-Dec-07	18-Mar-09	115	2,133.78	4,101.65	-1,967.87	468 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	5-Dec-07	16-Dec-09	45	1,452.77	1,604.99	-152.22	742 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	5-Dec-07	18-Aug-09	45	1,228.01	1,604.99	-376.98	621 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	4-Dec-07	26-Jan-09	15	923.91	1,111.13	-187.22	419 Long-Term
HES	HESS CORPORATION CMN	4-Dec-07	17-Mar-09	35	650.5	1,241.92	-591.42	468 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	4-Dec-07	17-Mar-09	235	4,360.33	8,338.63	-3,978.30	469 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	4-Dec-07	18-Mar-09	31,000	31,537.66	31,047.72	489.94	477 Long-Term
TN3109	U.S. TREASURY NOTE 3.125000% 11/30/2009 MN ON T	3-Dec-07	25-Mar-09	60	2,922.68	3,089.94	-167.25	759 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	19-Nov-07	17-Dec-09	32	5,224.82	5,361.68	-136.86	643 Long-Term
AAPL	APPLE, INC. CMN	13-Nov-07	18-Aug-09	35	2,155.79	2,473.14	-317.35	445 Long-Term
HES	HESS CORPORATION CMN	8-Nov-07	26-Jan-09	39	3,298.42	2,930.53	367.9	429 Long-Term
	GENENTECH INC. CMN	7-Nov-07	9-Jan-09	31	2,789.45	2,329.39	460.06	487 Long-Term
	GENENTECH INC. CMN	7-Nov-07	9-Mar-09	60,000	61,082.81	60,009.53	1,073.28	503 Long-Term
TN3609	U.S. TREASURY NOTE 3.625000% 10/31/2009 AO ON T	7-Nov-07	25-Mar-09	15,000	20,797.27	18,706.91	2,090.36	503 Long-Term
TB7123	U.S. TREASURY BOND 7.125% 02/15/2023	7-Nov-07	25-Mar-09	50	4,228.75	3,764.51	464.24	430 Long-Term
	GENENTECH INC. CMN	6-Nov-07	9-Jan-09	5	139.18	176.86	-37.68	580 Long-Term
WM	WASTE MANAGEMENT INC CMN	6-Nov-07	9-Jun-09					

WM	WASTE MANAGEMENT INC CMN	5-Nov-07	8-Jun-09	67	1,882.86	2,390.59	-507.73	580 Long-Term
WM	WASTE MANAGEMENT INC CMN	5-Nov-07	9-Jun-09	33	918.62	1,177.45	-258.83	581 Long-Term
AAPL	APPLE, INC. CMN	5-Nov-07	20-Feb-09	37	3,348.81	6,891.99	-3,543.18	473 Long-Term
AAPL	APPLE, INC. CMN	5-Nov-07	18-Aug-09	8	1,306.20	1,490.16	-183.96	651 Long-Term
HES	HESS CORPORATION CMN	29-Oct-07	26-Jan-09	40	2,463.76	2,867.25	-403.49	455 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	29-Oct-07	10-Sep-09	51	2,474.76	2,702.87	-228.1	682 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	29-Oct-07	17-Dec-09	29	1,412.63	1,536.92	-124.3	780 Long-Term
AAPL	APPLE, INC. CMN	29-Oct-07	7-Jan-09	28	2,567.18	5,191.06	-2,623.88	436 Long-Term
AAPL	APPLE, INC. CMN	29-Oct-07	20-Feb-09	17	1,538.64	3,151.71	-1,613.07	480 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Oct-07	18-Aug-09	7	331.51	365.44	-33.93	663 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	25-Oct-07	10-Sep-09	28	1,358.70	1,461.76	-103.06	686 Long-Term
HES	HESS CORPORATION CMN	24-Oct-07	26-Jan-09	1	61.59	67.99	-6.4	460 Long-Term
TRV	THE TRAVELERS COMPANIES, INC CMN	24-Oct-07	18-Aug-09	32	1,515.48	1,639.32	-123.84	664 Long-Term
AAPL	APPLE, INC. CMN	24-Oct-07	7-Jan-09	2	183.37	367.6	-184.23	441 Long-Term
TDC	TERADATA CORPORATION CMN	11-Oct-07	11-Jun-09	295	7,096.84	7,957.04	-860.2	609 Long-Term
TDC	TERADATA CORPORATION CMN	10-Oct-07	11-Jun-09	97	2,333.54	2,626.51	-292.98	610 Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	2-Oct-07	23-Apr-09	11	245.68	439.766	-194.08	569 Long-Term
TWC	TIME WARNER CABLE INC. CMN	25-Sep-07	8-Apr-09	83	2,208.06	4,452.82	-2,244.76	561 Long-Term
TWX	TIME WARNER INC. CMN	25-Sep-07	27-May-09	144	3,394.10	5,844.10	-2,450.00	610 Long-Term
TWX	TIME WARNER INC. CMN	25-Sep-07	11-Sep-09	189	5,565.84	7,670.38	-2,104.54	717 Long-Term
NWL	NEWELL RUBBERMAID INC CMN	5-Sep-07	12-Feb-09	20	153.91	518.99	-365.08	526 Long-Term
NWL	NEWELL RUBBERMAID INC CMN	4-Sep-07	12-Feb-09	110	846.51	2,871.77	-2,025.26	527 Long-Term
PCG	P G & E CORPORATION CMN	4-Sep-07	30-Mar-09	50	4,750.00	3,857.72	892.28	573 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	30-Aug-07	3-Nov-09	202	8,261.02	8,950.46	-689.44	796 Long-Term
UN	UNILEVER N.V. NY SHS (NEW) ADR CMN	24-Aug-07	17-Mar-09	115	2,137.36	3,438.01	-1,300.65	571 Long-Term
PM	PHILIP MORRIS INTL INC CMN	23-Aug-07	17-Mar-09	288	5,352.70	8,586.35	-3,233.65	572 Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	14-Jul-09	9	383.92	420.257	-36.34	694 Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	18-Aug-09	26	1,195.70	1,214.08	-18.38	729 Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	28-Oct-09	104	5,114.17	4,856.30	257.87	800 Long-Term
PM	PHILIP MORRIS INTL INC CMN	20-Aug-07	23-Dec-09	96	4,689.86	4,482.74	207.12	856 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Aug-07	2-Mar-09	5	186.39	388.222	-201.84	560 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	20-Aug-07	10-Mar-09	145	5,362.03	11,258.45	-5,896.42	568 Long-Term
JNJ	JOHNSON & JOHNSON CMN	16-Aug-07	12-Jun-09	15	847.02	919.679	-72.66	666 Long-Term
JNJ	JOHNSON & JOHNSON CMN	16-Aug-07	9-Jul-09	60	3,391.16	3,678.72	-287.56	693 Long-Term
JNJ	JOHNSON & JOHNSON CMN	16-Aug-07	18-Aug-09	22	1,314.24	1,348.86	-34.62	733 Long-Term
PM	PHILIP MORRIS INTL INC CMN	10-Aug-07	12-Jun-09	10	434.48	465.224	-30.74	672 Long-Term
PM	PHILIP MORRIS INTL INC CMN	10-Aug-07	14-Jul-09	93	3,967.12	4,326.59	-359.46	704 Long-Term
MTB	M&T BANK CORPORATION CMN	8-Aug-07	5-Aug-09	40	2,396.06	4,352.68	-1,956.62	728 Long-Term
MGM	MGM MIRAGE CMN	1-Aug-07	4-Feb-09	6	36.68	430.658	-393.98	553 Long-Term
MGM	MGM MIRAGE CMN	1-Aug-07	5-Feb-09	100	629.54	7,177.64	-6,548.10	554 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	1-Aug-07	2-Mar-09	51	1,901.14	3,644.63	-1,743.49	579 Long-Term
EBAY	EBAY INC CMN	1-Aug-07	6-Mar-09	119	1,201.88	3,845.38	-2,643.50	583 Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	1-Aug-07	18-Aug-09	112	3,169.51	5,381.52	-2,212.01	748 Long-Term
PRU	PRUDENTIAL FINANCIAL INC CMN	31-Jul-07	18-Aug-09	22	1,003.17	2,011.78	-1,008.61	749 Long-Term
MTB	M&T BANK CORPORATION CMN	26-Jul-07	5-Aug-09	9	539.12	939.7	-400.58	741 Long-Term

SVU	SUPERVALU INC CMN	18-Jul-07	21-Jan-09	258	4,755.89	12,542.64	-7,786.75	553 Long-Term
C	CITIGROUP INC CMN	18-Jul-07	22-Jan-09	196	604.11	10,017.56	-9,413.45	554 Long-Term
WMB	WILLIAMS COMPANIES INC. (THE) CMN	18-Jul-07	21-Jan-09	266	3,544.06	8,938.24	-5,394.18	553 Long-Term
BAC	BANK OF AMERICA CORP CMN	18-Jul-07	22-Jan-09	165	944.9	8,065.20	-7,120.30	554 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	18-Jul-07	26-Jan-09	132	4,727.85	6,222.26	-1,494.41	558 Long-Term
HPQ	HEWLETT-PACKARD CO CMN	18-Jul-07	9-Feb-09	100	3,658.72	4,713.83	-1,055.11	572 Long-Term
NWL	NEWELL RUBBERMAID INC CMN	18-Jul-07	11-Feb-09	292	2,253.52	8,272.04	-6,018.52	574 Long-Term
NWL	NEWELL RUBBERMAID INC CMN	18-Jul-07	12-Feb-09	37	284.74	1,048.17	-763.43	575 Long-Term
WMB	WILLIAMS COMPANIES INC (THE) CMN	18-Jul-07	24-Feb-09	125	1,399.97	4,200.30	-2,800.34	587 Long-Term
JCI	JOHNSON CONTROLS INC CMN	18-Jul-07	4-Mar-09	236	2,627.25	9,638.24	-7,010.99	595 Long-Term
GE	GENERAL ELECTRIC CO CMN	18-Jul-07	4-Mar-09	468	2,975.15	18,860.40	-15,885.25	595 Long-Term
S	TIME WARNER INC. CMN	18-Jul-07	13-Mar-09	569	4,654.28	11,786.90	-7,132.61	604 Long-Term
DVN	SPRINT NEXTEL CORPORATION CMN	18-Jul-07	25-Mar-09	569	2,151.38	12,518.00	-10,366.62	616 Long-Term
TWC	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	26-Mar-09	68	3,298.71	5,591.16	-2,292.45	617 Long-Term
DVN	TIME WARNER CABLE INC CMN	18-Jul-07	27-Mar-09	07	17.92		17.92	618 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	27-Mar-09	62	2,868.83	5,097.83	-2,229.00	618 Long-Term
TWX	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	2-Apr-09	0.67	14.65		14.65	624 Long-Term
TWC	TIME WARNER CABLE INC. CMN	18-Jul-07	8-Apr-09	12	319.24	759.803	-440.56	630 Long-Term
RE	EVEREST RE GROUP LTD CMN	18-Jul-07	16-Apr-09	5	372.74	548.15	-175.41	638 Long-Term
RE	EVEREST RE GROUP LTD CMN	18-Jul-07	17-Apr-09	17	1,241.03	1,863.71	-622.68	639 Long-Term
T	AT&T INC CMN	18-Jul-07	7-May-09	242	6,171.37	9,588.81	-3,417.44	659 Long-Term
TWX	TIME WARNER INC. CMN	18-Jul-07	27-May-09	49	1,154.94	2,306.03	-1,151.10	679 Long-Term
WM	WASTE MANAGEMENT INC CMN	18-Jul-07	8-Jun-09	40	1,124.09	1,563.05	-438.96	691 Long-Term
S	SPRINT NEXTEL CORPORATION CMN	18-Jul-07	12-Jun-09	165	862.92	3,630.00	-2,767.08	695 Long-Term
BAX	BAXTER INTERNATIONAL INC CMN	18-Jul-07	12-Jun-09	25	1,248.46	1,464.50	-216.04	695 Long-Term
T	AT&T INC CMN	18-Jul-07	28-Jul-09	81	2,068.74	3,209.48	-1,140.74	741 Long-Term
JPM	JPMORGAN CHASE & CO CMN	18-Jul-07	18-Aug-09	14	584.48	672.98	-88.5	762 Long-Term
S	SPRINT NEXTEL CORPORATION CMN	18-Jul-07	18-Aug-09	300	1,172.96	6,600.00	-5,427.04	762 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	18-Aug-09	24	1,483.40	1,973.35	-489.95	762 Long-Term
HPQ	HEWLETT-PACKARD CO. CMN	18-Jul-07	18-Aug-09	33	1,440.41	1,555.56	-115.15	762 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	27-Aug-09	63	3,897.39	5,180.05	-1,282.66	771 Long-Term
PRE	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	28-Aug-09	24	1,782.34	1,863.12	-80.78	772 Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	18-Jul-07	31-Aug-09	47	3,467.84	3,648.61	-180.77	775 Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	18-Jul-07	6-Oct-09	21	1,025.33	1,178.52	-153.19	811 Long-Term
PFE	WYETH CMN	18-Jul-07	16-Oct-09	03	5.3		5.3	821 Long-Term
PFE	PFIZER INC. CMN	18-Jul-07	16-Oct-09	180	9,065.82	10,101.60	-1,035.78	821 Long-Term
DVN	WYETH CMN	18-Jul-07	16-Oct-09	13	852.87	1,068.90	-216.03	839 Long-Term
DVN	DEVON ENERGY CORPORATION (NEW) CMN	18-Jul-07	3-Nov-09	123	405.91	2,869.59	-2,463.68	558 Long-Term
DVN	SEAGATE TECHNOLOGY CMN	18-Jul-07	26-Jan-09	483	1,900.40	11,268.39	-9,367.99	565 Long-Term
DVN	SEAGATE TECHNOLOGY CMN	18-Jul-07	2-Feb-09	272	1,662.75	22,750.08	-21,087.33	567 Long-Term
MGM	MGM MIRAGE CMN	18-Jul-07	4-Feb-09	9	1,602.94	5,195.97	-3,593.02	568 Long-Term
CME	CME GROUP INC. CMN CLASS A	18-Jul-07	5-Feb-09	107	4,843.42	8,174.80	-3,331.38	580 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	18-Jul-07	17-Feb-09	60	2,512.56	4,584.00	-2,071.44	582 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	18-Jul-07	19-Feb-09	80	3,120.58	6,112.00	-2,991.42	583 Long-Term
RIMM	RESEARCH IN MOTION LIMITED CMN	18-Jul-07	20-Feb-09	29	1,081.04	2,215.60	-1,134.56	593 Long-Term

EBAY	EBAY INC CMN	18-Jul-07	6-Mar-09	797	8,049.57	27,249.43	-19,199.86	597 Long-Term
	GENENTECH INC. CMN	18-Jul-07	30-Mar-09	372	35,340.00	27,937.20	7,402.80	621 Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	18-Jul-07	23-Apr-09	47	3,857.45	7,660.53	-3,803.08	645 Long-Term
CCI	CROWN CASTLE INTL CORP COMMON STOCK	18-Jul-07	23-Apr-09	162	3,618.26	6,128.46	-2,510.20	645 Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	18-Jul-07	6-May-09	26	2,603.52	4,237.74	-1,634.22	658 Long-Term
CME	CME GROUP INC CMN CLASS A	18-Jul-07	14-May-09	16	4,604.48	9,237.28	-4,632.80	666 Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	18-Jul-07	30-Jun-09	18	2,077.80	2,933.82	-856.02	713 Long-Term
CME	CME GROUP INC CMN CLASS A	18-Jul-07	6-Jul-09	7	2,060.66	4,041.31	-1,980.65	719 Long-Term
ICE	INTERCONTINENTALEXCHANGE INC CMN	18-Jul-07	6-Jul-09	19	2,082.58	3,096.81	-1,014.23	719 Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	18-Jul-07	7-Jul-09	387	9,928.42	20,669.67	-10,741.25	720 Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	18-Jul-07	24-Jul-09	125	3,377.95	6,676.25	-3,298.30	737 Long-Term
UNH	UNITEDHEALTH GROUP INCORPORATE CMN	18-Jul-07	18-Aug-09	28	792.38	1,495.48	-703.1	762 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	18-Jul-07	13-Nov-09	41	1,085.71	2,107.27	-1,021.56	849 Long-Term
PX	PRAXAIR, INC CMN SERIES	18-Jul-07	30-Oct-09	13	1,052.24	1,003.24	49	835 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	18-Jul-07	18-Dec-09	102	2,867.81	5,242.48	-2,374.67	884 Long-Term
TB4536	U.S. TREASURY BOND 4 500000% 02/15/2036 FA ON T	26-Jun-07	25-Mar-09	20,000	22,328.12	17,990.67	4,337.45	638 Long-Term
QCOM	QUALCOMM INC CMN	12-Jun-07	18-Aug-09	113	5,075.82	4,740.41	335.41	798 Long-Term
QCOM	QUALCOMM INC CMN	12-Jun-07	6-Nov-09	26	1,130.99	1,090.71	40.28	878 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	22-May-07	13-Nov-09	34	900.34	1,763.31	-862.96	906 Long-Term
FE	TIME WARNER INC. CMN	23-Apr-07	13-Mar-09	56	458.07	1,171.97	-713.9	690 Long-Term
GOOG	FIRSTENERGY CORP CMN	26-Mar-07	18-Aug-09	28	1,219.92	1,851.24	-631.32	876 Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	16-Mar-07	10-Mar-09	9	2,733.81	3,975.96	-1,242.15	725 Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	16-Mar-07	17-Mar-09	5	1,622.23	2,208.86	-586.63	732 Long-Term
GOOG	GOOGLE, INC. CMN CLASS A	16-Mar-07	16-Oct-09	4	2,217.44	1,767.09	450.35	945 Long-Term
S	SPRINT NEXTEL CORPORATION CMN	26-Feb-07	24-Mar-09	90	335.61	1,746.62	-1,411.01	756 Long-Term
S	SPRINT NEXTEL CORPORATION CMN	26-Feb-07	25-Mar-09	177	669.23	3,435.02	-2,765.79	757 Long-Term
S	SPRINT NEXTEL CORPORATION CMN	16-Feb-07	24-Mar-09	399	1,487.85	7,585.83	-6,097.98	766 Long-Term
	WYETH CMN	15-Feb-07	18-Aug-09	4	189.88	202.859	-12.98	914 Long-Term
RE	WYETH CMN	15-Feb-07	6-Oct-09	93	4,540.73	4,716.47	-175.74	963 Long-Term
INTU	EVEREST RE GROUP LTD CMN	14-Feb-07	16-Apr-09	29	2,161.90	2,809.92	-648.02	791 Long-Term
INTU	INTUIT INC CMN	9-Feb-07	2-Mar-09	27	596.9	853.092	-256.19	752 Long-Term
SVU	INTUIT INC CMN	9-Feb-07	18-Aug-09	103	3,119.78	3,254.39	-134.61	920 Long-Term
SVU	SUPERVALU INC CMN	8-Feb-07	21-Jan-09	200	3,686.74	7,662.14	-3,975.40	713 Long-Term
SVU	SUPERVALU INC CMN	7-Feb-07	21-Jan-09	48	884.82	1,842.59	-957.77	714 Long-Term
	WYETH CMN	26-Jan-07	8-May-09	56	2,465.65	2,867.58	-401.93	832 Long-Term
	WYETH CMN	26-Jan-07	18-Aug-09	19	901.9	972.929	-71.02	934 Long-Term
SVU	WYETH CMN	23-Jan-07	8-May-09	56	2,465.65	2,806.99	-341.34	835 Long-Term
WLP	SUPERVALU INC CMN	16-Jan-07	21-Jan-09	29	534.58	1,010.58	-476	736 Long-Term
RIMM	WELLPOINT, INC. CMN	9-Jan-07	12-Jun-09	20	951.37	1,535.49	-584.12	884 Long-Term
AG2TJD83	RESEARCH IN MOTION LIMITED CMN	27-Dec-06	17-Feb-09	23	1,041.11	989.14	51.97	783 Long-Term
	FHLB 4 875% 12/13/2013 JD	21-Dec-06	12-Jun-09	80,000	83,889.60	80,015.36	3,874.24	903 Long-Term
	SEAGATE TECHNOLOGY CMN	14-Dec-06	26-Jan-09	200	660.02	5,216.30	-4,556.28	774 Long-Term
NWL	SEAGATE TECHNOLOGY CMN	13-Dec-06	26-Jan-09	217	716.12	5,652.29	-4,936.17	775 Long-Term
JPM	NEWELL RUBBERMAID INC CMN	27-Nov-06	11-Feb-09	124	956.98	3,550.12	-2,593.14	807 Long-Term
	JPMORGAN CHASE & CO CMN	27-Nov-06	12-Jun-09	60	2,115.54	2,802.60	-687.06	927 Long-Term

JPM	JPMORGAN CHASE & CO CMN	27-Nov-06	18-Aug-09	28	1,168.97	1,307.88	-138.91	994 Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	27-Nov-06	28-Aug-09	32	2,376.46	2,228.16	148.3	1,004 Long-Term
ETR	ENTERGY CORPORATION CMN	27-Nov-06	30-Sep-09	82	6,545.22	7,314.40	-769.18	1,037 Long-Term
	SEAGATE TECHNOLOGY CMN	27-Nov-06	26-Jan-09	480	1,584.04	12,574.18	-10,990.14	791 Long-Term
MGM	MGM MIRAGE CMN	27-Nov-06	4-Feb-09	130	794.7	7,016.10	-6,221.40	800 Long-Term
CME	CME GROUP INC CMN CLASS A	27-Nov-06	5-Feb-09	1	178.1	537.91	-359.8	801 Long-Term
	GENENTECH INC. CMN	27-Nov-06	5-Feb-09	60	4,896.98	4,794.72	102.26	801 Long-Term
QCOM	QUALCOMM INC CMN	27-Nov-06	5-Feb-09	199	6,994.83	7,305.90	-311.07	801 Long-Term
QCOM	QUALCOMM INC CMN	27-Nov-06	20-Feb-09	74	2,515.28	2,716.77	-201.49	816 Long-Term
	GENENTECH INC CMN	27-Nov-06	20-Feb-09	39	3,314.39	3,116.57	197.82	816 Long-Term
GOOG	GOOGLE INC CMN CLASS A	27-Nov-06	20-Feb-09	13	4,487.16	6,386.35	-1,899.19	816 Long-Term
	GENENTECH INC. CMN	27-Nov-06	20-Feb-09	53	4,509.43	4,235.34	274.09	816 Long-Term
PX	PRAXAIR, INC CMN SERIES	27-Nov-06	2-Mar-09	60	3,233.26	3,749.51	-516.25	826 Long-Term
INTU	INTUIT INC CMN	27-Nov-06	2-Mar-09	196	4,333.06	6,245.99	-1,912.93	826 Long-Term
EBAY	EBAY INC CMN	27-Nov-06	2-Mar-09	376	3,929.03	12,217.30	-8,288.27	826 Long-Term
EBAY	EBAY INC. CMN	27-Nov-06	6-Mar-09	58	585.79	1,884.58	-1,298.79	830 Long-Term
AGN	ALLERGAN INC CMN	27-Nov-06	26-Mar-09	15	737.32	878.98	-141.66	849 Long-Term
	GENENTECH INC CMN	27-Nov-06	30-Mar-09	15	1,425.00	1,198.68	226.32	853 Long-Term
AGN	ALLERGAN INC CMN	27-Nov-06	6-May-09	53	2,498.28	3,105.73	-607.45	890 Long-Term
PG	PROCTER & GAMBLE COMPANY (THE) CMN	27-Nov-06	10-Aug-09	171	8,872.26	10,771.51	-1,899.25	986 Long-Term
WAG	WALGREEN CO CMN	27-Nov-06	18-Aug-09	112	3,438.31	4,525.09	-1,086.78	994 Long-Term
SPLS	STAPLES, INC CMN	27-Nov-06	18-Aug-09	154	3,301.67	3,948.56	-646.89	994 Long-Term
QCOM	QUALCOMM INC CMN	27-Nov-06	18-Aug-09	9	404.27	330.418	73.85	994 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	27-Nov-06	19-Oct-09	38	1,069.23	1,873.93	-804.7	1,056 Long-Term
WAG	WALGREEN CO. CMN	27-Nov-06	29-Oct-09	56	2,115.29	2,262.55	-147.26	1,066 Long-Term
PX	PRAXAIR, INC CMN SERIES	27-Nov-06	30-Oct-09	72	5,827.81	4,499.42	1,328.39	1,067 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	27-Nov-06	12-Nov-09	90	2,394.01	4,438.25	-2,044.24	1,081 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	27-Nov-06	13-Nov-09	48	1,271.08	2,367.07	-1,095.99	1,082 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	21-Aug-06	19-Oct-09	11	309.52	466.61	-157.1	1,155 Long-Term
WTW	WEIGHT WATCHERS INTERNATIONAL, INC CMN	18-Aug-06	19-Oct-09	34	956.68	1,451.31	-494.63	1,158 Long-Term
EBAY	EBAY INC CMN	9-Aug-06	2-Mar-09	8	83.6	203.38	-119.78	936 Long-Term
EBAY	EBAY INC. CMN	17-Jul-06	2-Mar-09	240	2,507.89	6,231.26	-3,723.37	959 Long-Term
	SEAGATE TECHNOLOGY CMN	21-Jun-06	26-Jan-09	264	871.22	5,635.95	-4,764.73	950 Long-Term
	SEAGATE TECHNOLOGY CMN	5-Jun-06	23-Jan-09	75	233.25	1,776.24	-1,542.99	963 Long-Term
	SEAGATE TECHNOLOGY CMN	5-Jun-06	26-Jan-09	86	283.81	2,036.76	-1,752.95	966 Long-Term
	SEAGATE TECHNOLOGY CMN	2-Jun-06	23-Jan-09	117	363.87	2,799.18	-2,435.31	966 Long-Term
	SEAGATE TECHNOLOGY CMN	9-May-06	23-Jan-09	89	276.79	2,348.77	-2,071.98	990 Long-Term
ETR	ENTERGY CORPORATION CMN	11-Apr-06	30-Sep-09	15	1,197.30	1,031.16	166.14	1,268 Long-Term
	SEAGATE TECHNOLOGY CMN	7-Apr-06	23-Jan-09	46	143.06	1,239.56	-1,096.50	1,022 Long-Term
	SEAGATE TECHNOLOGY CMN	22-Mar-06	23-Jan-09	89	276.79	2,153.01	-1,876.22	1,038 Long-Term
ETR	ENTERGY CORPORATION CMN	15-Feb-06	12-Jun-09	10	767.78	697.64	70.14	1,212 Long-Term
ETR	ENTERGY CORPORATION CMN	15-Feb-06	18-Aug-09	17	1,338.20	1,185.99	152.21	1,279 Long-Term
ETR	ENTERGY CORPORATION CMN	15-Feb-06	30-Sep-09	13	1,037.66	906.932	130.72	1,322 Long-Term
NWL	NEWELL RUBBERMAID INC CMN	2-Feb-06	11-Feb-09	85	655.99	2,040.00	-1,384.01	1,105 Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	2-Feb-06	28-Aug-09	15	1,113.96	930	183.96	1,302 Long-Term

MGM	MGM MIRAGE CMN	30-Jan-06	4-Feb-09	118	721.34	4,432.77	-3,711.43	1,101	Long-Term
NWL	NEWELL RUBBERMAID INC CMN	28-Nov-05	11-Feb-09	26	200 66	598 52	-397 86	1,171	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	28-Nov-05	18-Aug-09	1	72 39	69 42	2 97	1,358	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	28-Nov-05	28-Aug-09	24	1,782.34	1,666 08	116 26	1,368	Long-Term
PRE	PARTNERRE LTD BERMUDA CMN	9-Jun-05	18-Aug-09	18	1,302 98	1,172.88	130 1	1,531	Long-Term
	LT sales from #09088				1,608,983 99	1,834,860.50	-225,876 51		
Total Long Term					<u>2,432,869.15</u>	<u>3,020,596.68</u>	<u>-361,851.01</u>		

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
ACCRUED INTEREST RECEIVED	7,137.	0.	7,137.	
BOND PREMIUM AMORTIZATION	<7,902.>	0.	<7,902.>	
INTEREST	60,824.	0.	60,824.	
INTEREST - US TREASURY	3,394.	0.	3,394.	
ORDINARY DIVIDENDS	12,697.	0.	12,697.	
QUALIFIED DIVIDENDS	26,469.	0.	26,469.	
TOTAL TO FM 990-PF, PART I, LN 4	102,619.	0.	102,619.	

FORM 990-PF	OTHER INCOME		STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
OTHER PORTFOLIO INCOME	<2,202.>	<2,202.>		
TOTAL TO FORM 990-PF, PART I, LINE 11	<2,202.>	<2,202.>		

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES - INTERNAL	40,000.	8,000.		12,000.	
ACCOUNTING FEES	3,000.	750.		2,250.	
TO FORM 990-PF, PG 1, LN 16B	43,000.	8,750.		14,250.	

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	31,416.	31,416.		0.
PAYROLL PROCESSING FEES	1,125.	0.		1,125.
TO FORM 990-PF, PG 1, LN 16C	32,541.	31,416.		1,125.

FORM 990-PF

TAXES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MEDICARE	1,084.	0.		1,084.
SOCIAL SECURITY	4,635.	0.		4,635.
FOREIGN TAX ON INVESTMENT INCOME	226.	226.		0.
FEDERAL EXCISE TAX	<41.>	0.		0.
TO FORM 990-PF, PG 1, LN 18	5,904.	226.		5,719.

FORM 990-PF

OTHER EXPENSES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	383.	383.		0.
UTILITIES	2,760.	0.		0.
OFFICE SUPPLIES	556.	0.		0.
COMPUTER EXPENSES	742.	0.		0.
TO FORM 990-PF, PG 1, LN 23	4,441.	383.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY NOTES AND STRIPS	X		0.	0.
FEDERAL HOME LOAN BANK NOTES	X		530,620.	550,544.
OTHER GOVERNMENT OBLIGATIONS	X		585,862.	591,684.
TOTAL U.S. GOVERNMENT OBLIGATIONS			1,116,482.	1,142,228.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,116,482.	1,142,228.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	1,793,001.	1,909,808.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,793,001.	1,909,808.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
PNC FUNDING CORP CORPORATE BONDS	0. 1,043,378.	0. 1,086,714.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,043,378.	1,086,714.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GS STRATEGIC ASIA PARTNERS, LTD	COST	500,000.	519,906.
GS HEDGE FUND OPPORTUNITIES, SER 1	COST	1,200,700.	1,340,499.
GS LIBERTY HARBOR I	COST	625,000.	665,461.
PORTFOLIO I OFFSHORE L.P.	COST	1,468,268.	1,027,836.
GS MORTGAGES SEPARATE A/C INST MUTUAL FUND	COST	0.	0.
GS INVESTMENT GRADE CREDIT SEPARATE A/C INST MUTUAL FUND	COST	0.	0.
GS PERRY PRIVATE OPPORTUNITIES FUND	COST	231,600.	151,231.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,025,568.	3,704,933.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II	Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).	
Type or print File by the extended due date for filing the return. See instructions	Name of Exempt Organization	Employer identification number
	KERRY & SIMONE VICKAR FAMILY FOUNDATION	34-1974937
	Number, street, and room or suite no. If a P.O. box, see instructions. 525 NORTH TRYON STREET, NO. 1700	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions CHARLOTTE, NC 28202	

Check type of return to be filed (File a separate application for each return):

- ☐ Form 990
 ☐ Form 990-EZ
 ☐ Form 990-T (sec 401(a) or 408(a) trust)
 ☐ Form 1041-A
 ☐ Form 5227
 ☐ Form 8870
☐ Form 990-BL
☒ Form 990-PF
☐ Form 990-T (trust other than above)
☐ Form 4720
☐ Form 6069

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

L. KERRY VICKAR

- The books are in the care of **525 NORTH TRYON STREET, SUITE 1700 - CHARLOTTE, NC 28202**
Telephone No **704-331-6580** FAX No. _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **NOVEMBER 15, 2010.**
- 5 For calendar year **2009**, or other tax year beginning _____, and ending _____.
- 6 If this tax year is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension
MORE TIME IS NEEDED TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 700.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 1,920.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$ 0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature _____ Title _____ Date _____

Form **8868** (Rev. 4-2009)