Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation
Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: SANKEY FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 4040 EMBASSY PARKWAY — Room/suite: 100

City or town, state, and ZIP code: AKRON, OH 44333-8354

A Employer identification number: 34-1909797

B Telephone number (see page 10 of the instructions): (330) 670-7264

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 11,323,204.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis)

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Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,018,530.			
	2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments	58,326.	58,326.		ATCH 1
	4 Dividends and interest from securities	86,153.	86,153.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-794,432.			
	b Gross sales price for all assets on line 6a 3,835,368.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	1,368,577.	144,479.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 3	15,920.	0.	0.	0.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	378.	178.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	47,798.	47,798.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	64,096.	47,976.	0.	0.
	25 Contributions, gifts, grants paid	1,078,700.			1,078,700.
	26 Total expenses and disbursements Add lines 24 and 25	1,142,796.	47,976.	0.	1,078,700.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	225,781.			
	b **Net investment income** (if negative, enter -0-)		96,503.		
	c **Adjusted net income** (if negative, enter -0-)			-0-	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	143,204.	496,263.	496,286.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 6	8,357,891.	7,076,033.	7,630,655.
	c Investments - corporate bonds (attach schedule) ATCH 7	1,558,236.	2,665,433.	2,856,642.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 8	297,245.	344,628.	339,621.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	10,356,576.	10,582,357.	11,323,204.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	10,356,576.	10,582,357.	
	30 **Total net assets or fund balances** (see page 17 of the instructions)	10,356,576.	10,582,357.	
	31 **Total liabilities and net assets/fund balances** (see page 17 of the instructions)	10,356,576.	10,582,357.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,356,576.
2	Enter amount from Part I, line 27a	2	225,781.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,582,357.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,582,357.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -794,432.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions)
If (loss), enter -0- in Part I, line 8 **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	1,417,385.	11,903,223.	0.119076
2007	1,324,514.	7,786,297.	0.170108
2006	834,540.	6,555,958.	0.127295
2005	987,651.	7,031,285.	0.140465
2004	765,251.	6,601,568.	0.115920

2	**Total** of line 1, column (d)	2	0.672864
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.134573
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	9,566,203.
5	Multiply line 4 by line 3	5	1,287,353.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	965.
7	Add lines 5 and 6	7	1,288,318.
8	Enter qualifying distributions from Part XII, line 4	8	1,078,700.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,930.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,930.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,930.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 8,594.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	8,594.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	6,664.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 6,664. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ OH,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ALAN J. TOBIN Telephone no ▶ (330)670-7264

Located at ▶ 4040 EMBASSY PKWY, SUITE 100 AKRON, OH ZIP + 4 ▶ 44333-8354

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?		5b	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A		0.	0.	0.

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A		0.
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments See page 24 of the instructions 3 NONE	0.
Total. Add lines 1 through 3 ▶	0.

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	9,455,575.
b	Average of monthly cash balances	1b	256,306.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	9,711,881.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,711,881.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	145,678.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,566,203.
6	**Minimum investment return.** Enter 5% of line 5	6	478,310.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	478,310.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,930.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,930.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	476,380.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	476,380.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	476,380.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,078,700.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,078,700.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,078,700.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				476,380.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only				
b	Total for prior years 20 07 ,20 06 ,20 05				
3	Excess distributions carryover, if any, to 2009				
a	From 2004 444,211.				
b	From 2005 644,611.				
c	From 2006 524,362.				
d	From 2007 949,571.				
e	From 2008 825,414.				
f	**Total** of lines 3a through e	3,388,169.			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,078,700.				
a	Applied to 2008, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d	Applied to 2009 distributable amount				476,380.
e	Remaining amount distributed out of corpus	602,320.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,990,489.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	444,211.			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	3,546,278.			
10	Analysis of line 9				
a	Excess from 2005 644,611.				
b	Excess from 2006 524,362.				
c	Excess from 2007 949,571.				
d	Excess from 2008 825,414.				
e	Excess from 2009 602,320.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

JAMES K. SANKEY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATTACHMENT 10				
Total ▶ **3a**				1,078,700.
b *Approved for future payment*				
Total ▶ **3b**				

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Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	58,326.	
4 Dividends and interest from securities			14	86,153.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-794,432.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				-649,953.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 -649,953.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee ▶ ________ Date 7/16/10 ▶ Title PRESIDENT

Paid Preparer's Use Only

Preparer's signature ▶	Date JUL 08 2010	Check if self-employed ▶ ☐
Preparer's identifying number (See Signature on page 30 of the instructions)	P00633138	
Firm's name (or yours if self-employed), address, and ZIP code ▶	CBIZ MHM, LLC 4040 EMBASSY PARKWAY SUITE 100 AKRON, OH 44333-8354	EIN ▶ 34-1513062 Phone no 330-668-6500

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization
SANKEY FAMILY FOUNDATION

Employer identification number
34-1909797

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization SANKEY FAMILY FOUNDATION

Employer identification number 34-1909797

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	JAMES K. SANKEY 14608 RUDOLPH DADEY DRIVE CHARLOTTE, NC 28277	$ 1,016,120.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	JAMES K. SANKEY 14608 RUDOLPH DADEY DRIVE CHARLOTTE, NC 28277	$ 1,002,410.	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization SANKEY FAMILY FOUNDATION

Employer identification number 34-1909797

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	17,042 SH ISHARES RUSSELL 2000 VALUE	$ 1,002,410.	12/23/2009

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Part II: Balance Sheets

Support for statements 6, 7, and 8

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
CASH								
U S DOLLAR	8,311 31	1 0000	8,311 31		8,311.31			
DEPOSITS & MONEY MARKET FUNDS								
DEPOSITS								
GOLDMAN SACHS BANK DEPOSIT (BDA)[14]	206,626 17	1 0000	206,626 17	1 0000	206,626 17	0 00	0 1940	400 84
TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS			**214,937.48**		**214,937.48**			**400.84**

FIXED INCOME

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GOVERNMENT AND GOVERNMENT AGENCY BONDS								
FHLB 4 375000% 09/17/2010 MS S&P AAA	200,000 00	102 6880	205,376 00	100 6681	201,336 23	4,039 77	3 4131	8,750 00
			2,527 78	102.53	205,058.00	318 00 [11]		
FHLB 4 625000% 02/18/2011 FA S&P AAA	200,000 00	104 4060	208,812 00	101 0442	202,088 31	6,723 69	3 6751	9,250 00
			3,417 36	102 80	205,594 00	3,218 00 [11]		
FHLB 5 375% 08/19/2011 FA S&P AAA	100,000 00	106 7500	106,750 00	106 8042	106,804 19	(54 19)	1 1631	5,375 00
			1,970 83	108 30	108,302 70	(1,552 70) [11]		
FHLB 4 500000% 11/15/2012 MN S&P AAA	300,000 00	107 2810	321,843 00	103 8390	311,516 90	10,326 10	3 1053	13,500 00
			1,725 00	105 06	315,188 10	6,654 90 [11]		
FHLB 1 5% 01/16/2013 JJ Moody's Aaa	200,000 00	98 5940	197,188 00	99 0365	198,073 00	(885 00)		3,000 00
			175 00					
FHLB 5 125% 08/14/2013 FA S&P AAA	100,000 00	110 0940	110,094 00	100 9922	100,992 20	9,101 80	4 8231	5,125 00
			1,950 35	101 69	101,686 00	8,408.00 [11]		

[11] This figure represents Unrealized Gain (Loss) calculated based on Original Cost

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account, Term Deposits and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co Bank Deposit Account, Certificate of Deposit Account and Term Deposit Account offered by Goldman Sachs Bank USA, Member FDIC

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GOVERNMENT AND GOVERNMENT AGENCY BONDS								
FHLB 4 500000% 09/16/2013 MS S&P AAA	100,000 00	107 9690	107,969 00	101.5447	101,544 71	6,424 29	4 0470	4,500 00
			1,312 50	102 29	102,290 00	5,679 00 [11]		
FHLB 5 250000% 06/18/2014 JD S&P AAA	200,000 00	111 0940	222,188 00	104 3410	208,682 04	13,505 96	4 1740	10,500 00
			379 17	106 08	212,166 00	10,022 00 [11]		
FHLB 5 375% 05/18/2016 MN S&P AAA	200,000 00	110 5630	221,126 00	105 1232	210,246 43	10,879 57	4 4440	10,750 00
			1,284 03	106 46	212,926 00	8,200 00 [11]		
TOTAL GOVERNMENT AND GOVERNMENT AGENCY BONDS	1,600,000 00		1,701,346 00		1,641,284 01	60,061 99	3 6366	70,750 00
			14,742 02		1,661,283 80	40,062 20		

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized / Economic Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
GS INVESTMENT GRADE CREDIT FUND								
GOLDMAN SACHS INVESTMENT GRADE CREDIT FUND - INST	93,472 743	9 0100	842,189 41	8 2959	775,440 00	66,749 41		45,801 64
						90,543 59		
TOTAL INVESTMENT GRADE FIXED INCOME			**2,543,535.41**		**2,416,724.01**	**126,811.40**	**3.6366**	**116,551.64**
			14,742.02		**2,436,723.80**	**106,811.61**		
OTHER FIXED INCOME								
GS HIGH YIELD FUND								
GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	42,930 222	6 9500	298,365 04	5 7933	248,708 59	49,656 46		24,169 71
						69,439 32		
TOTAL FIXED INCOME	STATEMENT 7	2,856,642.47	**2,841,900.45**		**2,665,432.60**	**176,467.86**	**3.6366**	**140,721.36**
			14,742.02		**2,685,432.39**	**156,468.07**		

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
STANDARD & POORS DEPOSITORY RECEIPTS (SPDR)								
STANDARD & POORS DEP RCPTS SPDR (SPY)	1,775 00	111 4400	197,806 00	112 7750	200,175 63	(2,369 63)	2 1184	4,190 42

[11] This figure represents Unrealized Gain (Loss) calculated based on Original Cost

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GS SMALL CAP VALUE FUND								
GOLDMAN SACHS SMALL CAP VALUE CLASS I (GSSIX)	4,405 931	32 9400	145,131 37	36 5749	161,146 28	(16,014 91) (13,659 66)	0 8318	1,207.23

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY STRUCTURED PRODUCTS								
AB SVENSK EXPORTKREDIT LINKED TO S&P 500 0% COUPON DUE 12/08/2010 STRUCTURED NOTE (SPXELN19)	2,075 00	1,220 8680	2,533,301 10	1,000.0000	2,075,000 00	458,301 10		

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
TOTAL US EQUITY			**2,876,238.47**		**2,436,321.91**	**439,916.56**	**1.5739**	**5,397.65**
NON-US EQUITY								
GS STRUCTURED INTERNATIONAL EQUITY FUND								
GS STRUCTURED INTL EQUITY INSTITUTIONAL CLASS I (GCIIX)	26,785 631	10 0000	267,856 31	13 0738	350,189 86	(82,333 55) (151,753 71)	2 4800	6,642 84

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
ISHARES MSCI EAFE INDEX FUND								
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF (EFA)	6,416 00	55 2800	354,676 48	66 4777	426,521 02	(71,844 54)	2 6065	9,244 81
NON-US EQUITY STRUCTURED PRODUCTS								
EKSPORTFINANS ASA LINKED TO MSCI EAFE AND EEM 0% COUPON DUE 01/24/2011 STRUCTURED NOTE (INTLBU12)	775 00	1,226 1910	950,298 03	1,000 0000	775,000 00	175,298 03		

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
NON-US EQUITY STRUCTURED PRODUCTS								
RABOBANK NEDERLAND, UTRECHT LNKD TO ISHARES FTSE/CHINA 0% COUPON DUE 5/7/2012 STRUCTURED NOTE (FXIELN1)	134 00	959 7490	128,606 37	1,000 0000	134,000 00	(5,393 63)		
TOTAL NON-US EQUITY STRUCTURED PRODUCTS			1,078,904 40		909,000 00	169,904 40		

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized / Economic Gain (Loss)	Dividend Yield	Estimated Annual Income
TOTAL NON-US EQUITY			**1,701,437.19**		**1,685,710.88**	**15,726.31**	**2.5521**	**15,887.65**
TOTAL PUBLIC EQUITY			**4,577,675.66** 1.		**4,122,032.79** 3	**455,642.87**	**2.2047**	**21,285.30**

ALTERNATIVE INVESTMENTS

	Market Value	Contributions To Date	Distributions To Date	Economic Gain (Loss)
HEDGE FUNDS				
GS DIRECT STRATEGIES FUND II[12]	906,184 63	1,000,000 00	0 00	(93,815 37)
GS HEDGE FUND PARTNERS III[12]	1,175,953 00	1,000,000 00	0 00	175,953 00
TOTAL HEDGE FUNDS	**2,082,137.63** 2	**2,000,000.00** 4	**0.00**	**82,137.63**

OTHER INVESTMENTS

	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
MISCELLANEOUS							
CURRENCY STRUCTURED PRODUCTS							
BNP PARIBAS LNK TO BRIC + IT VS JPY (FX) 0% 9/22/2011 STRUCTURED NOTE (3JY4S5)	0.6500	150,975.00	100 0000	150,000 00	975 00		

STATEMENT 6
26373-9

FMV

0.00 T
4,577,675.66 + 1
2,082,137.63 + 2
6,659,813.29 T

BOOK VALUE

4,122,032.79 + 3
2,000,000.00 + 4
6,122,032.79 T

[12]Please refer to the end of the Holdings section for further detai

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (STRATEGIC)								
U S DOLLAR	2,336 53	1 0000	2,336 53		2,336 53	263,162.45 A		
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[14]	260,825 92	1 0000	260,825.92	1 0000	260,825 92		0 1822	475 25
	Quantity	**Market Price**	**Market Value / Accrued Income**	**Unit Cost**	**Cost Basis**	**Unrealized Gain (Loss)**	**Dividend Yield**	**Estimated Annual Income**
AFLAC INCORPORATED CMN (AFL)	397.00	46 2500	18,361 25	38.0020	15,086 80	3,274 45	2 4216	444 64
AMERICAN ELECTRIC POWER INC CMN (AEP)	220 00	34 7900	7,653 80	26 1984	5,763 65	1,890 15	4 7140	360 80
APACHE CORP CMN (APA)	140 00	103 1700	14,443 80	101 3431	14,188 04	255 76	0 5816	84 00
BANK OF AMERICA CORP CMN (BAC)	2,570 00	15 0600	38,704 20	11 0377	28,366 91	10,337 29	0 2656	102 80
BAXTER INTERNATIONAL INC CMN (BAX)	433 00	58 6800	25,408 44 125 57	57.4891	24,892 80	515 64	1 9768	502 28
BECTON DICKINSON & CO CMN (BDX)	124 00	78 8600	9,778 64	79 2970	9,832 83	(54 19)	1 8767	183 52
BIOGEN IDEC INC CMN (BIIB)	349 00	53 5000	18,671 50	48 0774	16,779 03	1,892 47		
BOEING COMPANY CMN (BA)	399 00	54 1300	21,597 87	57 1261	22,793 31	(1,195 44)	3 1036	670 32
BROADCOM CORP CL-A CMN CLASS A (BRCM)	341.00	31 4700	10,731 27	27 0121	9,211 11	1,520 16		
CBS CORPORATION CMN CLASS B (CBS)	531 00	14 0500	7,460 55 26.55	13 6090	7,226 37	234 18	1 4235	106 20
CHEVRON CORPORATION CMN (CVX)	127 00	76 9900	9,777 73	77.4695	9,838 63	(60 90)	3 5329	345 44
CISCO SYSTEMS, INC CMN (CSCO)	803 00	23 9400	19,223.82	22.7561	18,273 13	950 69		
DIRECTV CMN (DTV)	454 00	33.3500	15,140 90	29 1405	13,229 78	1,911 12		
DISH NETWORK CORPORATION CMN CLASS A (DISH)	937.00	20 7700	19,461 49	15 3109	14,346 34	5,115 15		
DOW CHEMICAL CO CMN (DOW)	964 00	27 6300	26,635 32 144 60	21.3801	20,610 38	6,024 94	2 1716	578 40
EMERSON ELECTRIC CO CMN (EMR)	471 00	42 6000	20,064 60	32 2663	15,197 41	4,867 19	3 1455	631 14
ENTERGY CORPORATION CMN (ETR)	236 00	81 8400	19,314 24	115.3545	27,223 67	(7,909 43)	3 6657	708 00

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (STRATEGIC)								
EOG RESOURCES INC CMN (EOG)	219 00	97 3000	21,308 70	92 4674	20,250 37	1,058 33	0 5961	127 02
EVEREST RE GROUP LTD CMN (RE)	185 00	85 6800	15,850 80	86 4388	15,991 18	(140 38)	2 2409	355 20
EXXON MOBIL CORPORATION CMN (XOM)	232 00	68 1900	15,820 08	77 1608	17,901 31	(2,081 23)	2 4637	389 76
FIRSTENERGY CORP CMN (FE)	312 00	46 4500	14,492 40	69 0423	21,541 21	(7,048.81)	4 7363	686 40
FORD MOTOR COMPANY CMN (F)	954 00	10.0000	9,540.00	7 1632	6,833 69	2,706 31		
FRANKLIN RESOURCES INC CMN (BEN)	158 00	105 3500	16,645 30	56 5233	8,930 69	7,714 61	0 8353	139 04
			34 76					
FREEPORT-MCMORAN COPPER & GOLD CMN (FCX)	119 00	80 2900	9,554 51	51 7656	6,160 11	3,394 40	0 7473	71 40
GENERAL ELECTRIC CO CMN (GE)	1,370 00	15 1300	20,728 10	16 0265	21,956 37	(1,228 27)	2 6438	548 00
			137 00					
GENERAL MILLS INC CMN (GIS)	132 00	70 8100	9,346 92	60 3664	7,968 36	1,378 56	2 7680	258 72
HALLIBURTON COMPANY CMN (HAL)	636 00	30 0900	19,137 24	28 1434	17,899 22	1,238 02	1 1964	228 96
HESS CORPORATION CMN (HES)	168 00	60 5000	10,164 00	59.2626	9,956 12	207.88	0 6612	67 20
HEWLETT-PACKARD CO CMN (HPQ)	486 00	51.5100	25,033 86	41 6363	20,235 22	4,798 64	0 6212	155 52
			38 88					
HONEYWELL INTL INC CMN (HON)	576 00	39 2000	22,579 20	36 6318	21,099 92	1,479.28	3 0867	696 96
INVESCO LTD. CMN (IVZ)	625 00	23 4900	14,681 25	25.0321	15,645 09	(963 84)	1 7454	256 25
JOHNSON & JOHNSON CMN (JNJ)	433 00	64 4100	27,889 53	65 8602	28,517 46	(627 93)	3 0430	848 68
JOHNSON CONTROLS INC CMN (JCI)	341 00	27 2400	9,288 84	17 3488	5,915 94	3,372 90	1 9090	177 32
			44.33					
JPMORGAN CHASE & CO CMN (JPM)	1,069 00	41 6700	44,545 23	44 7196	47,805 29	(3,260 06)	0 4800	213 80
LAM RESEARCH CORP CMN (LRCX)	201 00	39 2100	7,881 21	34 6103	6,956 68	924 53		
MARSH & MCLENNAN CO INC CMN (MMC)	279 00	22 0800	6,160 32	23 3200	6,506 28	(345 96)	3 6232	223 20
MERCK & CO , INC CMN (MRK)	391 00	36 5400	14,287 14	37 7379	14,755 53	(468 39)	4 1598	594 32
NEWELL RUBBERMAID INC CMN (NWL)	615 00	15 0100	9,231 15	15 6797	9,643 03	(411 88)	1 3324	123 00
NEWFIELD EXPLORATION CO CMN (NFX)	329 00	48 2300	15,867 67	44 9733	14,796 20	1,071 47		
OCCIDENTAL PETROLEUM CORP CMN (OXY)	429 00	81 3500	34,899 15	69 1263	29,655 19	5,243 96	1 6226	566 28
			141 57					
ORACLE CORPORATION CMN (ORCL)	701 00	24 5300	17,195 53	24.1398	16,922 00	273 53	0 8153	140 20

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (STRATEGIC)								
PHILIP MORRIS INTL INC CMN (PM)	189 00	48 1900	9,107 91 191 98	53 2584	10,065 85	(957 94)	4 8143	438 48
PRUDENTIAL FINANCIAL INC CMN (PRU)	402 00	49 7600	20,003 52	57.9913	23,312 51	(3,308 99)	1 4068	281 40
QUALCOMM INC CMN (QCOM)	175 00	46.2600	8,095 50	41 2877	7,225 34	870 16	1 4700	119 00
SCHLUMBERGER LTD CMN (SLB)	199 00	65 0900	12,952.91	57 4456	11,431 67	1,521 24	1 2905	167 16
SLM CORPORATION CMN (SLM)	817 00	11 2700	9,207 59	16 8939	13,802.30	(4,594 71)	8 8731	817 00
SPRINT NEXTEL CORPORATION CMN (S)	5,050 00	3 6600	18,483 00	10 1708	51,362 70	(32,879 70)	2 7322	505 00
STAPLES, INC CMN (SPLS)	595 00	24 5900	14,631 05 49 09	21 0767	12,540 66	2,090 39	1 3420	196 35
STATE STREET CORPORATION (NEW) CMN (STT)	269 00	43 5400	11,712 26 2 69	40 5753	10,914 75	797 52	0 0919	10 76
TARGET CORPORATION CMN (TGT)	160 00	48 3700	7,739 20	54 9409	8,790 55	(1,051 35)	1 4058	108 80
THE BANK OF NY MELLON CORP CMN (BK)	435 00	27 9700	12,166 95	28 4991	12,397 11	(230 16)	1 2871	156 60
THE TRAVELERS COMPANIES, INC CMN (TRV)	383 00	49 8600	19,096 38	42 8931	16,428 05	2,668 33	2 6474	505 56
TJX COMPANIES INC (NEW) CMN (TJX)	215 00	36 5500	7,858 25	27 1583	5,839 03	2,019 22	1 3133	103 20
UNITED STATES STEEL CORPORATIO CMN (X)	204 00	55 1200	11,244 48	44.9229	9,164 27	2,080 21	0 3628	40 80
VIACOM INC CMN CLASS B (VIAB)	333 00	29 7300	9,900 09	26 8688	8,947 32	952 77		
WAL MART STORES INC CMN (WMT)	232 00	53 4500	12,400 40 63 22	54 7929	12,711 96	(311.56)	2 0393	252 88
WELLPOINT, INC CMN (WLP)	367 00	58 2900	21,392 43	68 6230	25,184 65	(3,792 22)		
BP P L C SPONSORED ADR CMN (BP)	330 00	57 9700	19,130 10	58 1223	19,180 35	(50 25)	5 7961	1,108 80
COVIDIEN PLC CMN (COV)	324 00	47 8900	15,516 36	42 7649	13,855 82	1,660 54	1 5034	233 28

LARGE CAP STRATEGIC VALUE
Holdings (Continued)

Period Ended December 31, 2009

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GSAM LARGE CAP VALUE (STRATEGIC)								
UNILEVER N V NY SHS (NEW) ADR CMN (UN)	453 00	32 3300	14,645 49	31 2195	14,142 44	503 05	2 8571	418 44
TOTAL GSAM LARGE CAP VALUE (STRATEGIC)			1,233,003.87 1,000 24		1,217,162 43	15,841 45	1 6183	17,523 53

	Market Value	Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**1,234,004.11** (1)	**1,217,162.43** (2)	**15,841.45**	**17,523.53**

STATEMENT 6
46678-7
CORPORATE STOCK

0·00 ∗
MV
1,234,004·11 (1) +
CASH 263,162·45 (A) –
970,841·66 ∗

COST
1,217,162·43 (2) +
CASH 263,162·45 (A) –
953,999·98 ∗

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount payments and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for accretion and/or amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

OTHER INVESTMENTS (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
MISCELLANEOUS								
CURRENCY STRUCTURED PRODUCTS								
BNP PARIBAS LNK TO BRIC + IT VS JPY (FX) 0% COUPON DUE 2/27/2012 STRUCTURED NOTE (3JZ0B3)	194,000 00	97 2400	188,645 60	100 3237	194,627 98	(5,982 38)		
TOTAL CURRENCY STRUCTURED PRODUCTS	STATEMENT 8		339,620 60		344,627 98	(5,007 38)		

	Market Value	Adjusted Cost / [6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	**10,071,013.84**	**9,347,030.85**	**709,240.98**	**162,407.49**
		9,367,030.64	**689,241.19**	

Unless otherwise noted, Goldman Sachs has furnished the information appearing in this section for your convenience only Hedge funds are privately placed This information may have been obtained from other persons, some of whom may be Goldman Sachs affiliates Please note that in the case of information supplied by other persons (1) Goldman Sachs does not independently verify such information for accuracy or completeness, and (2) Goldman Sachs has been informed that in certain cases such information may reflect unaudited figures, which may be inaccurate or incomplete, and that actual figures may differ Certain hedge funds may appear in the Alternative Investments section as Other Investments Please refer to the relevant product's periodic financial statements and capital analyses for official accounting information

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount payments and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for accretion and/or amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

Part IV: Capital Gains and Losses for Tax on Investment Income

Goldman Sachs

Period Ended December 31, 2009

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting This information is not, and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ATE STREET CORPORATION (NEW) CMN	Jun 04 2008	Jan 07 2009	54 00	2,378.24	3,822.23	0 00	(1,443 99)	(1,443 99)	ST
	Jun 04 2008	Jan 08 2009	81.00	3,443 57	5,733 34	0 00	(2,289.77)	(2,289 77)	ST
GENENTECH INC CMN	Nov 06 2007	Jan 09 2009	40 00	3,383 00	3,011 60	0 00	371 40	371.40	LT
	Nov 07 2007	Jan 09 2009	35 00	2,960 12	2,629 96	0.00	330 16	330.16	LT
	Dec 04 2007	Jan 09 2009	16 00	1,353.20	1,165.28	0.00	187.92	187 92	LT
SUPERVALU INC CMN	Jan 12 2007	Jan 21 2009	2 00	36 87	70 30	0 00	(33 43)	(33.43)	LT
	Jan 16 2007	Jan 21 2009	55 00	1,013 85	1,916 61	0 00	(902 76)	(902 76)	LT
	Feb 07 2007	Jan 21 2009	13 00	239 64	499 03	0 00	(259 39)	(259.39)	LT
	Feb 08 2007	Jan 21 2009	50.00	921.69	1,915 54	0 00	(993 86)	(993 86)	LT
	Mar 07 2007	Jan 21 2009	182.00	3,354 93	6,696 11	0 00	(3,341 18)	(3,341 18)	LT
	Dec 04 2007	Jan 21 2009	130 00	2,396.38	5,227.30	0 00	(2,830 92)	(2,830 92)	LT
	Dec 24 2007	Jan 21 2009	120.00	2,212 04	4,592.40	0 00	(2,380 36)	(2,380 36)	LT
UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK	Jul 17 2008	Jan 21 2009	145 00	6,783.19	8,653 14	0 00	(1,869 95)	(1,869 95)	ST
WILLIAMS COMPANIES INC (THE) CMN	Dec 04 2007	Jan 21 2009	229 00	3,051 08	7,966.91	0.00	(4,915.83)	(4,915 83)	LT
	Dec 24 2007	Jan 21 2009	77.00	1,025 91	2,762 76	0 00	(1,736 85)	(1,736 85)	LT
NK OF AMERICA CORP CMN	Jun 27 2006	Jan 22 2009	3 00	17 18	142 76	0.00	(125 58)	(125 58)	LT
	Sep 18 2006	Jan 22 2009	8.00	45 81	415 03	0.00	(369.22)	(369.22)	LT
	Jan 04 2007	Jan 22 2009	32.00	183.25	1,715.84	0.00	(1,532.59)	(1,532.59)	LT
	Jan 04 2007	Jan 22 2009	37 00	211.89	1,991 34	0 00	(1,779.45)	(1,779 45)	LT
	Jan 11 2007	Jan 22 2009	2.00	11.45	106 82	0 00	(95 37)	(95.37)	LT
	Feb 22 2007	Jan 22 2009	5.00	28 63	268.60	0 00	(239.97)	(239 97)	LT
	Dec 04 2007	Jan 22 2009	75 00	429 50	3,351 00	0 00	(2,921.50)	(2,921.50)	LT
	Dec 24 2007	Jan 22 2009	65 00	372 23	2,754 05	0 00	(2,381 82)	(2 381 82)	LT
	Feb 19 2008	Jan 22 2009	270 00	1,546 20	11,627 25	0.00	(10,081 05)	(10,081.05)	ST
CITIGROUP INC CMN	Dec 04 2007	Jan 22 2009	172.00	530.14	5,603.76	0 00	(5,073.63)	(5,073 63)	LT

LARGE CAP STRATEGIC VALUE
Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 24 2007	Jan 22 2009	212 00	653 42	6,559 28	0 00	(5,905 86)	(5,905.86)	LT
	Apr 22 2008	Jan 22 2009	270 00	832.19	6,779 38	0 00	(5,947.19)	(5,947.19)	ST
	Apr 30 2008	Jan 22 2009	90 00	277 40	2,281.97	0 00	(2,004 57)	(2,004 57)	ST
	Sep 22 2008	Jan 22 2009	209 00	644 18	4,142 13	0 00	(3,497 95)	(3,497.95)	ST
NTRUST BANKS INC $1 00 PAR CMN	Aug 22 2008	Jan 22 2009	92 00	1,315 47	3,784 39	0 00	(2,468 92)	(2,468.92)	ST
	Sep 22 2008	Jan 22 2009	129.00	1,844 52	6,904 50	0.00	(5,059.98)	(5,059 98)	ST
ZIMMER HLDGS INC CMN	Jul 28 2008	Jan 22 2009	106 00	4,173 03	7,260.58	0 00	(3,087 55)	(3,087 55)	ST
SMITH INTERNATIONAL INC CMN	Oct 01 2008	Jan 23 2009	142 00	3,501.16	8,033 88	0 00	(4,532 72)	(4,532 72)	ST
	Nov 05 2008	Jan 23 2009	125 00	3,082.01	4,339 65	0 00	(1,257 64)	(1,257.64)	ST
HESS CORPORATION CMN	Dec 04 2007	Jan 26 2009	12 00	739 13	871.68	0 00	(132.55)	(132.55)	LT
	Dec 04 2007	Jan 26 2009	72.00	4,434 77	5,333 41	0.00	(898.64)	(898 64)	LT
	Dec 24 2007	Jan 26 2009	14 00	862 32	1,410.50	0.00	(548.19)	(548.19)	LT
HEWLETT-PACKARD CO. CMN	Mar 09 2007	Jan 26 2009	15 00	537.26	599 51	0.00	(62 25)	(62 25)	LT
	Mar 30 2007	Jan 26 2009	33 00	1,181 97	1,319.53	0 00	(137.57)	(137.57)	LT
	Apr 13 2007	Jan 26 2009	9 00	322 35	370.28	0 00	(47 93)	(47 93)	LT
	Apr 16 2007	Jan 26 2009	10 00	358 17	410.49	0.00	(52.32)	(52.32)	LT
	Apr 17 2007	Jan 26 2009	16 00	573 07	654 83	0 00	(81.76)	(81 76)	LT
	Apr 18 2007	Jan 26 2009	10 00	358 17	408 70	0 00	(50 53)	(50 53)	LT
	Dec 04 2007	Jan 26 2009	53 00	1,898.31	2,688 16	0.00	(789.85)	(789 85)	LT
NUCOR CORPORATION CMN	Dec 10 2008	Jan 27 2009	29 00	1,130 13	1,255.03	0 00	(124 90)	(124 90)	ST
	Dec 11 2008	Jan 27 2009	114.00	4,442 57	4,912 75	0 00	(470 18)	(470 18)	ST
SS CORPORATION CMN	Dec 24 2007	Feb 03 2009	31.00	1,708 92	3,123 25	0.00	(1,414 34)	(1,414 34)	LT
	Mar 03 2008	Feb 03 2009	20.00	1,102 53	1,892 38	0 00	(789.85)	(789 85)	ST
	Nov 03 2008	Feb 03 2009	11 00	606 39	641.58	0 00	(35.19)	(35 19)	ST
AIR PRODUCTS & CHEMICALS INC CMN	Sep 16 2008	Feb 09 2009	42.00	2,348 73	3,565 78	0.00	(1,217.05)	(1,217.05)	ST
HEWLETT-PACKARD CO CMN	Dec 04 2007	Feb 09 2009	83 00	3,036.74	4,209.76	0 00	(1,173.02)	(1,173.02)	LT
	Dec 24 2007	Feb 09 2009	22.00	804.92	1,141 58	0.00	(336 66)	(336 66)	LT
AIR PRODUCTS & CHEMICALS INC CMN	Sep 16 2008	Feb 10 2009	32 00	1,730 92	2,716.79	0 00	(985 87)	(985 87)	ST
NEWELL RUBBERMAID INC CMN	Feb 14 2006	Feb 11 2009	124 00	956.98	2,940 07	0.00	(1,983 10)	(1,983 10)	LT
	Jan 04 2007	Feb 11 2009	28 00	216 09	817.36	0.00	(601 27)	(601.27)	LT
	Mar 07 2007	Feb 11 2009	180 00	1,389 16	5,514 10	0.00	(4,124.94)	(4,124.94)	LT
	Sep 04 2007	Feb 11 2009	45 00	347 29	1,174.82	0.00	(827.53)	(827 53)	LT
	Sep 05 2007	Feb 11 2009	10.00	77 18	259 50	0 00	(182 33)	(182 33)	LT
	Dec 04 2007	Feb 11 2009	161.00	1,242.52	4,279.38	0 00	(3,036.86)	(3,036.86)	LT

LARGE CAP STRATEGIC VALUE
Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 04 2007	Feb 12 2009	1 00	7.70	26.58	0.00	(18 88)	(18 88)	LT
	Dec 24 2007	Feb 12 2009	173 00	1,331 32	4,492.81	0.00	(3,161.49)	(3,161 49)	LT
AIR PRODUCTS & CHEMICALS INC CMN	Sep 16 2008	Feb 24 2009	54 00	2,624 80	4,584 58	0 00	(1,959 77)	(1,959 77)	ST
	Sep 24 2008	Feb 24 2009	12 00	583.29	882 49	0 00	(299.20)	(299 20)	ST
LLIAMS COMPANIES INC (THE) CMN	Dec 24 2007	Feb 24 2009	184.00	2,060 75	6,601 92	0 00	(4,541 17)	(4,541 17)	LT
	Oct 21 2008	Feb 24 2009	237 00	2,654.34	4,694 33	0 00	(2,039.99)	(2,039.99)	ST
GENERAL ELECTRIC CO CMN	Dec 04 2007	Mar 04 2009	240.00	1,525 72	8,704 80	0 00	(7,179 08)	(7,179 08)	LT
	Dec 24 2007	Mar 04 2009	264 00	1,678 29	9,931 68	0 00	(8,253 39)	(8,253 39)	LT
	Oct 09 2008	Mar 04 2009	159 00	1,010 79	3,201.42	0 00	(2,190 63)	(2,190 63)	ST
JOHNSON CONTROLS INC CMN	Jun 25 2007	Mar 04 2009	37 00	411 90	1,404.79	0.00	(992 89)	(992.89)	LT
	Dec 04 2007	Mar 04 2009	114.00	1,269.10	4,204 66	0 00	(2,935 56)	(2,935 56)	LT
	Dec 24 2007	Mar 04 2009	104 00	1,157 77	3,762.72	0 00	(2,604.95)	(2,604 95)	LT
AIR PRODUCTS & CHEMICALS INC CMN	Sep 24 2008	Mar 09 2009	75.00	3,447 36	5,515 53	0 00	(2,068.17)	(2,068 17)	ST
GENENTECH INC CMN	Dec 04 2007	Mar 09 2009	12.00	1,079 79	873 96	0 00	205 83	205 83	LT
	Dec 24 2007	Mar 09 2009	31.00	2,789 45	2,108 93	0 00	680 52	680 52	LT
	Sep 18 2008	Mar 09 2009	57.00	5,128 98	5,168.13	0 00	(39 15)	(39.15)	ST
GOOGLE, INC CMN CLASS A	Oct 13 2008	Mar 10 2009	17.00	5,234 52	6,099 77	0 00	(865.25)	(865 25)	ST
TIME WARNER INC CMN	Feb 14 2006	Mar 13 2009	106 00	867.05	1,929 20	0 00	(1,062 15)	(1,062.15)	LT
	Aug 03 2006	Mar 13 2009	31.00	253 57	514 40	0 00	(260 83)	(260.83)	LT
	Aug 29 2006	Mar 13 2009	15.00	122.70	248 61	0.00	(125 91)	(125 91)	LT
	Aug 31 2006	Mar 13 2009	15 00	122.70	248 99	0 00	(126.29)	(126 29)	LT
	Sep 06 2006	Mar 13 2009	19.00	155.42	317.70	0 00	(162.29)	(162 29)	LT
	Sep 19 2006	Mar 13 2089	34.00	278 11	591 84	0 00	(313.73)	(313.73)	LT
	Oct 09 2006	Mar 13 2009	47 00	384 45	884 38	0.00	(499 93)	(499.93)	LT
	Jan 04 2007	Mar 13 2009	11 00	89.98	242 55	0 00	(152.57)	(152 57)	LT
	Jan 04 2007	Mar 13 2009	44.00	359 91	977 00	0.00	(617.09)	(617 09)	LT
	Feb 26 2007	Mar 13 2009	53.00	433.53	1,127 26	0.00	(693 73)	(693.73)	LT
	Mar 16 2007	Mar 13 2009	25 00	204.49	486.39	0 00	(281 90)	(281 90)	LT
	Apr 23 2007	Mar 13 2009	115 00	940.67	2,406 72	0 00	(1,466 05)	(1,466.05)	LT
	Sep 25 2007	Mar 13 2009	125.00	1,022 47	2,245 91	0.00	(1,223.45)	(1,223 45)	LT

LARGE CAP STRATEGIC VALUE
Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
UNILEVER N V NY SHS (NEW) ADR CMN	Aug 23 2007	Mar 17 2009	180.00	3,345 44	5,366 47	0 00	(2,021.03)	(2,021 03)	LT
	Aug 24 2007	Mar 17 2009	50.00	929 29	1,494.79	0 00	(565 50)	(565.50)	LT
	Dec 04 2007	Mar 17 2009	235 00	4,367 66	8,338 63	0 00	(3,970 97)	(3,970 97)	LT
	Dec 04 2007	Mar 18 2009	55 00	1,020 50	1,951.59	0.00	(931 09)	(931 09)	LT
	Dec 05 2007	Mar 18 2009	230 00	4,267 56	8,203 30	0 00	(3,935 75)	(3,935 75)	LT
	Dec 06 2007	Mar 18 2009	5.00	92 77	177 40	0.00	(84.63)	(84 63)	LT
	Dec 24 2007	Mar 18 2009	85.00	1,577 14	3,106.75	0.00	(1,529.61)	(1,529 61)	LT
SPRINT NEXTEL CORPORATION CMN	Jun 05 2006	Mar 24 2009	3 00	11.19	63.79	0 00	(52 60)	(52 60)	LT
	Jun 09 2006	Mar 24 2009	33.00	123 06	704 50	0 00	(581 44)	(581.44)	LT
	Jun 12 2006	Mar 24 2009	34 00	126.79	716 74	0.00	(589.96)	(589.96)	LT
	Jul 26 2006	Mar 24 2009	7.00	26 10	137 45	0 00	(111.35)	(111 35)	LT
	Aug 11 2006	Mar 24 2009	24.00	89 50	401 36	0 00	(311 87)	(311 87)	LT
	Aug 16 2006	Mar 24 2009	16.00	59 66	262 01	0 00	(202 35)	(202 35)	LT
	Aug 29 2006	Mar 24 2009	20 00	74.58	332 38	0.00	(257.80)	(257.80)	LT
	Aug 30 2006	Mar 24 2009	19.00	70 85	320 61	0 00	(249.76)	(249 76)	LT
	Oct 27 2006	Mar 24 2009	249 00	928 51	4,754 08	0 00	(3,825.57)	(3,825 57)	LT
	Nov 07 2006	Mar 24 2009	93 00	346 79	1,815 29	0 00	(1,468.50)	(1,468 50)	LT
	Nov 08 2006	Mar 24 2009	5.00	18 65	97 82	0.00	(79.17)	(79 17)	LT
	Nov 08 2006	Mar 25 2009	208.00	786 44	4,069 16	0 00	(3,282.72)	(3,282.72)	LT
	Jan 04 2007	Mar 25 2009	84.00	317 60	1,617 00	0.00	(1,299.40)	(1,299.40)	LT
	Jan 04 2007	Mar 25 2009	61 00	230.64	1,169 26	0.00	(938 62)	(938.62)	LT
	Jan 18 2007	Mar 25 2009	3 00	11.34	51.75	0.00	(40 41)	(40 41)	LT
	Jan 23 2007	Mar 25 2009	41.00	155.02	713 40	0 00	(558 38)	(558 38)	LT
	Feb 16 2007	Mar 25 2009	125.00	472 62	2,376 51	0 00	(1,903 89)	(1,903 89)	LT
	Feb 26 2007	Mar 25 2009	68 00	257.11	1,319 67	0 00	(1,062 56)	(1,062 56)	LT
	Mar 07 2007	Mar 25 2009	177 00	669.23	3,526 12	0 00	(2,856.89)	(2,856.89)	LT
DEVON ENERGY CORPORATION (NEW) CMN	May 18 2007	Mar 26 2009	19 00	921.70	1,476 87	0 00	(555.17)	(555.17)	LT
	Dec 04 2007	Mar 26 2009	51 00	2,474 03	4,244 22	0 00	(1,770.19)	(1,770 19)	LT
	Dec 04 2007	Mar 27 2009	65.00	3,007 64	5,409.30	0 00	(2,401.66)	(2,401.66)	LT
TIME WARNER CABLE INC. CMN	Sep 25 2007	Mar 27 2009	0 22	5 67	0.00	0 00	5.67	5 67	LT
TIME WARNER INC. CMN	Sep 25 2007	Apr 02 2009	0 67	14 65	0.00	0.00	14.65	14 65	LT
CAMPBELL SOUP CO CMN	Feb 10 2009	Apr 07 2009	44 00	1,156 15	1,327 66	0 00	(171.51)	(171.51)	ST
MICROSOFT CORPORATION CMN	Oct 03 2008	Apr 07 2009	280.00	5,254 59	7,433.83	0.00	(2,179 25)	(2,179 25)	ST
	Nov 20 2008	Apr 07 2009	145.00	2,721 13	2,664 58	0.00	56.55	56 55	ST

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
MORGAN STANLEY CMN	Dec 29 2008	Apr 07 2009	163.00	3,903 53	2,345.72	0.00	1,557 81	1,557 81	ST
CAMPBELL SOUP CO CMN	Feb 10 2009	Apr 08 2009	221.00	5,795 80	6,668 48	0.00	(872.68)	(872 68)	ST
TIME WARNER CABLE INC CMN	Sep 25 2007	Apr 08 2009	25 00	665 08	1,313.58	0.00	(648 50)	(648 50)	LT
	Dec 04 2007	Apr 08 2009	46 00	1,223 75	2,335 32	0 00	(1,111.58)	(1,111 58)	LT
	Dec 24 2007	Apr 08 2009	38 00	1,010 92	1,920 16	0 00	(909 24)	(909 24)	LT
	Feb 19 2008	Apr 08 2009	11.00	292 64	535 17	0 00	(242 54)	(242.54)	LT
	May 23 2008	Apr 08 2009	18.00	478.86	864 35	0 00	(385 49)	(385.49)	ST
AMGEN INC CMN	May 27 2008	Apr 13 2009	100 00	4,764.72	4,301 72	0 00	463.00	463 00	ST
PFIZER INC. CMN	Jan 29 2009	Apr 14 2009	693 00	9,236 06	10,482 94	0.00	(1,246.88)	(1,246 88)	ST
EVEREST RE GROUP LTD CMN	Feb 14 2007	Apr 16 2009	9.00	670.93	872.05	0 00	(201.12)	(201.12)	LT
	Mar 07 2007	Apr 16 2009	28.00	2,087 35	2,662 74	0 00	(575 39)	(575 39)	LT
	Mar 07 2007	Apr 17 2009	16 00	1,168 03	1,521 56	0 00	(353 53)	(353.53)	LT
	Dec 04 2007	Apr 17 2009	3.00	219 01	312 27	0 00	(93 26)	(93 26)	LT
WAL MART STORES INC CMN	Apr 02 2008	Apr 17 2009	65 00	3,313 64	3,539 71	0 00	(226.07)	(226.07)	LT
	Apr 04 2008	Apr 17 2009	51 00	2,599 94	2,790 24	0.00	(190.30)	(190 30)	LT
BECTON DICKINSON & CO CMN	Oct 23 2008	Apr 23 2009	39.00	2,491.83	2,694 49	0 00	(202.66)	(202 66)	ST
PROCTER & GAMBLE COMPANY (THE) CMN	Mar 13 2009	Apr 23 2009	194.00	9,556 68	8,978 78	0.00	577.90	577.90	ST
TD AMERITRADE HOLDING CORPORAT CMN	Apr 02 2008	Apr 23 2009	325.00	5,358.10	5,831.86	0 00	(473 76)	(473.76)	LT
VISA INC CMN CLASS A	Mar 19 2008	Apr 23 2009	111 00	6,443.28	6,624 51	0.00	(181.23)	(181.23)	LT
EXXON MOBIL CORPORATION CMN	Sep 29 2008	Apr 30 2009	49.00	3,283 90	3,861.02	0 00	(577.12)	(577 12)	ST
AMGEN INC. CMN	May 27 2008	May 01 2009	50.00	2,414 95	2,150.86	0 00	264 09	264 09	ST
	Jun 24 2008	May 01 2009	55.00	2,656 45	2,529.50	0 00	126 95	126 95	ST
	Jul 14 2008	May 01 2009	23 00	1,110 88	1,172 89	0.00	(62.01)	(62.01)	ST
AIR PRODUCTS & CHEMICALS INC CMN	Sep 24 2008	May 07 2009	50 00	3,150 19	3,677 02	0.00	(526 83)	(526.83)	ST
AMGEN INC CMN	Jul 15 2008	May 07 2009	58 00	2,721.56	3,023.91	0 00	(302.35)	(302.35)	ST
AT&T INC CMN	Dec 24 2007	May 07 2009	250.00	6,375.38	10,307.50	0.00	(3,932.12)	(3,932 12)	LT
AMGEN INC. CMN	Jul 15 2008	May 08 2009	32.00	1,509.61	1,668.37	0 00	(158.76)	(158.76)	ST
	Sep 30 2008	May 08 2009	70 00	3,302 26	4,112.41	0.00	(810.15)	(810 15)	ST
EXXON MOBIL CORPORATION CMN	Sep 29 2008	May 08 2009	92.00	6,502 68	7,249 27	0 00	(746 58)	(746 58)	ST
	Oct 01 2008	May 08 2009	58.00	4,099 52	4,418.16	0.00	(318.65)	(318 65)	ST

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
WYETH CMN	Jan 18 2007	May 08 2009	51.00	2,245.50	2,637.08	0.00	(391.58)	(391 58)	LT
	Jan 19 2007	May 08 2009	20.00	880 59	1,041 66	0 00	(161 07)	(161 07)	LT
	Jan 23 2007	May 08 2009	16 00	704 47	802.00	0 00	(97 53)	(97.53)	LT
	Jan 26 2007	May 08 2009	21 00	924 62	1,075 34	0 00	(150 72)	(150 72)	LT
	Feb 15 2007	May 08 2009	23.00	1,012 68	1,166.44	0 00	(153 76)	(153.76)	LT
	Mar 07 2007	May 08 2009	19.00	836 56	934 67	0.00	(98 11)	(98.11)	LT
THE MOSAIC COMPANY CMN	Feb 09 2009	May 11 2009	43 00	1,931 19	1,948.54	0 00	(17 35)	(17 35)	ST
	Feb 10 2009	May 11 2009	5 00	224 56	225.38	0 00	(0 82)	(0 82)	ST
EOG RESOURCES INC CMN	Mar 19 2008	May 12 2009	38.00	2,726 63	4,304.03	0.00	(1,577.40)	(1,577 40)	LT
	Mar 20 2008	May 12 2009	13 00	932 79	1,452 29	0 00	(519 49)	(519 49)	LT
THE MOSAIC COMPANY CMN	Feb 10 2009	May 12 2009	60.00	2,712 43	2,704 50	0 00	7.93	7 93	ST
AIR PRODUCTS & CHEMICALS INC CMN	Sep 24 2008	May 14 2009	34 00	2,094 38	2,500 37	0.00	(406.00)	(406 00)	ST
	Jan 27 2009	May 14 2009	61 00	3,757 56	3,343 18	0 00	414 38	414.38	ST
MICROSOFT CORPORATION CMN	Nov 20 2008	May 18 2009	90 00	1,839 10	1,653 87	0 00	185.23	185.23	ST
	Jan 21 2009	May 18 2009	315 00	6,436 85	5,970 07	0 00	466 78	466 78	ST
RANGE RESOURCES CORPORATION CMN	Nov 18 2008	May 18 2009	80.00	3,290 70	3,245.15	0 00	45 55	45.55	ST
TIME WARNER INC. CMN	Sep 25 2007	May 27 2009	98 00	2,309 87	3,986 77	0 00	(1,676 91)	(1,676 91)	LT
	Dec 04 2007	May 27 2009	102.00	2,404 15	3,929.11	0.00	(1,524.96)	(1,524 96)	LT
KRAFT FOODS INC. CMN CLASS A	Apr 07 2009	Jun 02 2009	222.00	5,991 82	4,990 14	0.00	1,001 68	1,001.68	ST
TD AMERITRADE HOLDING CORPORAT CMN	Apr 02 2008	Jun 03 2009	35.00	628 31	628 05	0.00	0.26	0 26	LT
	Nov 18 2008	Jun 03 2009	310.00	5,565 00	3,535 86	0 00	2,029.14	2,029.14	ST
JG RESOURCES INC CMN	Mar 20 2008	Jun 04 2009	12 00	880 18	1,340 57	0 00	(460 40)	(460 40)	LT
	May 01 2008	Jun 04 2009	44.00	3,227 32	5,453.43	0.00	(2,226 12)	(2,226 12)	LT
	Jun 19 2008	Jun 04 2009	6.00	440 09	801 28	0 00	(361 19)	(361 19)	ST
CHUBB CORP CMN	Oct 28 2008	Jun 05 2009	128.00	5,257 49	5,788 72	0.00	(531.23)	(531.23)	ST
LABORATORY CORPORATION OF AMER CMN	Feb 08 2008	Jun 05 2009	44.00	2,701 84	3,442.65	0.00	(740.81)	(740 81)	LT
	Feb 08 2008	Jun 08 2009	6.00	366.09	469.45	0.00	(103.37)	(103.37)	LT
	Feb 11 2008	Jun 08 2009	25.00	1,525 36	1,974 39	0.00	(449.03)	(449 03)	LT
	Feb 25 2008	Jun 08 2009	10.00	610 14	792.06	0.00	(181 91)	(181 91)	LT
WASTE MANAGEMENT INC CMN	Dec 04 2007	Jun 08 2009	91 00	2,557.31	3,107.65	0.00	(550 34)	(550 34)	LT
	Dec 24 2007	Jun 08 2009	23.00	646 35	772.74	0.00	(126.38)	(126.38)	LT
	Dec 24 2007	Jun 09 2009	115.00	3,201 25	3,863 68	0.00	(662 42)	(662 42)	LT
	Feb 08 2008	Jun 09 2009	63.00	1,753 73	2,049.57	0.00	(295.84)	(295 84)	LT
ZIMMER HLDGS INC CMN	Jul 28 2008	Jun 25 2009	19 00	822 67	1,301 43	0.00	(478.76)	(478 76)	ST

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LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 12 2008	Jun 25 2009	18.00	779 37	1,290 05	0.00	(510.68)	(510.68)	ST
	Sep 15 2008	Jun 25 2009	62.00	2,684 51	4,349 36	0 00	(1,664 85)	(1,664 85)	ST
TRANSOCEAN LTD CMN	Feb 20 2009	Jun 29 2009	58 00	4,384 69	3,395 47	0.00	989 22	989.22	ST
RANGE RESOURCES CORPORATION CMN	Nov 18 2008	Jul 02 2009	100.00	4,012.16	4,056.44	0 00	(44.28)	(44 28)	ST
HNSON & JOHNSON CMN	Aug 16 2007	Jul 09 2009	40 00	2,260 78	2,452 48	0 00	(191 70)	(191 70)	LT
	Dec 04 2007	Jul 09 2009	33 00	1,865.14	2,237.40	0.00	(372.26)	(372 26)	LT
BOEING COMPANY CMN	Jul 25 2008	Jul 14 2009	105 00	4,235 03	6,654 68	0.00	(2,419.65)	(2,419 65)	ST
PHILIP MORRIS INTL INC CMN	Mar 07 2007	Jul 14 2009	63 00	2,687 41	2,847 81	0 00	(160 40)	(160 40)	LT
	Aug 10 2007	Jul 14 2009	39 00	1,663 63	1,814 38	0.00	(150 74)	(150.74)	LT
VIACOM INC. CMN CLASS B	Jul 18 2008	Jul 14 2009	125 00	2,625.11	3,690 38	0.00	(1,065 28)	(1,065 28)	ST
	Jul 21 2008	Jul 14 2009	41 00	861 04	1,209.54	0.00	(348 51)	(348.51)	ST
	Jul 21 2008	Jul 15 2009	88 00	1,891 88	2,596 09	0.00	(704 21)	(704.21)	ST
THE MOSAIC COMPANY CMN	Feb 10 2009	Jul 17 2009	22 00	1,142.06	991 65	0 00	150 41	150 41	ST
	Apr 09 2009	Jul 17 2009	78 00	4,049 14	3,463 61	0 00	585.53	585 53	ST
LABORATORY CORPORATION OF AMER CMN	Feb 25 2008	Jul 20 2009	75 00	5,010.97	5,940 43	0 00	(929.46)	(929 46)	LT
	Jul 01 2008	Jul 20 2009	13 00	868.57	895 86	0 00	(27.29)	(27 29)	LT
THE MOSAIC COMPANY CMN	Apr 09 2009	Jul 20 2009	47 00	2,305 37	2,087 04	0 00	218 33	218 33	ST
LABORATORY CORPORATION OF AMER CMN	Jul 01 2008	Jul 21 2009	52.00	3,506 92	3,583.45	0.00	(76.53)	(76 53)	LT
AT&T INC CMN	Dec 24 2007	Jul 28 2009	76.00	1,941 04	3,133 48	0.00	(1,192.45)	(1,192.45)	LT
	Mar 03 2009	Jul 28 2009	251.00	6,410 53	5,750 28	0 00	660 25	660 25	ST
M&T BANK CORPORATION CMN	Dec 04 2007	Aug 05 2009	16 00	958.43	1,420 92	0 00	(462.49)	(462 49)	LT
	Dec 24 2007	Aug 05 2009	43.00	2,575 77	3,545.78	0 00	(970 01)	(970 01)	LT
	May 07 2008	Aug 05 2009	49 00	2,935.18	4,504 24	0.00	(1,569.06)	(1,569.06)	LT
DOW CHEMICAL CO CMN	May 08 2009	Aug 07 2009	198 00	4,566 34	3,449 44	0 00	1,116 90	1,116 90	ST
	May 11 2009	Aug 07 2009	7.00	161.44	119 91	0 00	41.53	41.53	ST
VISA INC CMN CLASS A	Mar 19 2008	Aug 19 2009	35 00	2,327.07	2,088 81	0 00	238 26	238 26	LT
	Oct 24 2008	Aug 19 2009	93 00	6,183.36	4,451 84	0.00	1,731 52	1,731.52	ST
NIKE CLASS-B CMN CLASS B	Jan 22 2009	Aug 20 2009	128 00	7,283 07	5,849 61	0.00	1,433.46	1,433 46	ST
	Apr 17 2009	Aug 20 2009	69 00	3,926 03	3,698 06	0 00	227.97	227.97	ST
PROCTER & GAMBLE COMPANY (THE) CMN	Mar 13 2009	Aug 25 2009	191.00	10,218.92	8,839 94	0.00	1,378 98	1,378 98	ST

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
DEVON ENERGY CORPORATION (NEW) CMN	Dec 04 2007	Aug 27 2009	2 00	123 73	166 44	0 00	(42.71)	(42 71)	LT
	Dec 24 2007	Aug 27 2009	73 00	4,516.03	6,705 05	0 00	(2,189.02)	(2,189 02)	LT
PARTNERRE LTD BERMUDA CMN	Feb 14 2006	Aug 28 2009	42.00	3,119 10	2,569 98	0 00	549 12	549 12	LT
	Jan 04 2007	Aug 28 2009	4.00	297 06	281 44	0 00	15 62	15 62	LT
	Mar 07 2007	Aug 28 2009	43.00	3,193.36	2,966 61	0 00	226 75	226.75	LT
	Dec 04 2007	Aug 28 2009	20 00	1,485 28	1,666 60	0.00	(181 32)	(181 32)	LT
	Dec 04 2007	Aug 31 2009	14.00	1,032.97	1,166 62	0.00	(133.65)	(133 65)	LT
	Dec 24 2007	Aug 31 2009	39 00	2,877 57	3,168 36	0.00	(290 79)	(290.79)	LT
GOOGLE, INC. CMN CLASS A	Aug 03 2009	Sep 10 2009	16 00	7,418 55	7,250 36	0 00	168 19	168 19	ST
THE TRAVELERS COMPANIES, INC CMN	Oct 24 2007	Sep 10 2009	55 00	2,668 86	2,817.57	0 00	(148 71)	(148 71)	LT
	Oct 25 2007	Sep 10 2009	15 00	727 87	783 09	0 00	(55 22)	(55 22)	LT
	Oct 29 2007	Sep 10 2009	35 00	1,698.37	1,854 91	0 00	(156 54)	(156 54)	LT
	Nov 19 2007	Sep 10 2009	2 00	97 05	103.00	0 00	(5.95)	(5.95)	LT
WEATHERFORD INTERNATIONAL LTD CMN	May 15 2009	Sep 10 2009	345 00	7,555.10	6,190 34	0 00	1,364 76	1,364.76	ST
	Jun 29 2009	Sep 10 2009	59 00	1,292 03	1,179.29	0 00	112 74	112.74	ST
BANK OF AMERICA CORP CMN	Apr 13 2009	Sep 11 2009	81.00	1,380 20	885 41	0.00	494 79	494.79	ST
BAXTER INTERNATIONAL INC CMN	Dec 24 2007	Sep 11 2009	18 00	1,027.05	1,070 93	0 00	(43 88)	(43 88)	LT
COMCAST CORPORATION CMN CLASS A VOTING	Mar 03 2009	Sep 11 2009	60 00	1,029 57	756 99	0.00	272 58	272 58	ST
ENTERGY CORPORATION CMN	Feb 15 2006	Sep 11 2009	15 00	1,170 11	1,046 46	0.00	123 65	123.65	LT
FIRSTENERGY CORP CMN	Feb 14 2006	Sep 11 2009	23 00	1,055.67	1,125 85	0 00	(70 18)	(70 18)	LT
HEWLETT-PACKARD CO. CMN	Dec 24 2007	Sep 11 2009	22 00	1,017.25	1,141 58	0.00	(124.33)	(124.33)	LT
JOHNSON & JOHNSON CMN	Dec 04 2007	Sep 11 2009	18 00	1,088 61	1,220 40	0 00	(131.79)	(131.79)	LT
JPMORGAN CHASE & CO CMN	Jan 04 2007	Sep 11 2009	31.00	1,319 32	1,493.62	0 00	(174.30)	(174 30)	LT
	Mar 07 2007	Sep 11 2009	7 00	297 91	340 62	0 00	(42.71)	(42 71)	LT
OCCIDENTAL PETROLEUM CORP CMN	Jun 02 2008	Sep 11 2009	5 00	384 89	460.75	0.00	(75 86)	(75 86)	LT
	Jun 06 2008	Sep 11 2009	11.00	846.75	1,043.37	0.00	(196.61)	(196.61)	LT
TIME WARNER INC CMN	Dec 04 2007	Sep 11 2009	82 00	2,414 81	3,158.69	0.00	(743 88)	(743 88)	LT
	Dec 24 2007	Sep 11 2009	153 00	4,505 68	5,827.76	0 00	(1,322 08)	(1,322.08)	LT
	Feb 19 2008	Sep 11 2009	43.00	1,266 30	1,624.27	0 00	(357.97)	(357.97)	LT
	May 23 2008	Sep 11 2009	72.00	2,120.32	2,623 32	0.00	(503.00)	(503 00)	LT
WEATHERFORD INTERNATIONAL LTD CMN	Jun 29 2009	Sep 11 2009	142.00	3,127.79	2,838 28	0.00	289 51	289 51	ST
WELLPOINT, INC CMN	Apr 12 2006	Sep 11 2009	18.00	972 69	1,334 52	0 00	(361 83)	(361 83)	LT
	Apr 27 2006	Sep 11 2009	1.00	54 04	66 73	0.00	(12 69)	(12 69)	LT

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ACTIVISION BLIZZARD INC CMN	May 18 2009	Sep 15 2009	482 00	5,533 86	5,618.53	0 00	(84 67)	(84 67)	ST
ORACLE CORPORATION CMN	Mar 24 2009	Sep 16 2009	423.00	9,348 37	7,626 94	0.00	1,721 43	1,721.43	ST
MORGAN STANLEY CMN	Dec 29 2008	Sep 21 2009	90 00	2,845 60	1,295 18	0 00	1,550 42	1,550.42	ST
	Dec 30 2008	Sep 21 2009	143.00	4,521 34	2,109 05	0 00	2,412 29	2,412 29	ST
NTERGY CORPORATION CMN	Feb 15 2006	Sep 30 2009	8.00	638 56	558 11	0 00	80 45	80 45	LT
	Apr 11 2006	Sep 30 2009	5.00	399.10	343 72	0.00	55.38	55 38	LT
	Jan 04 2007	Sep 30 2009	21.00	1,676.21	1,961 30	0 00	(285 09)	(285 09)	LT
	Mar 07 2007	Sep 30 2009	80 00	6,385 57	7,756 76	0 00	(1,371 19)	(1,371.19)	LT
THERMO FISHER SCIENTIFIC INC CMN	May 04 2009	Sep 30 2009	162.00	7,041.40	6,017.55	0 00	1,023 85	1,023 85	ST
COMCAST CORPORATION CMN CLASS A VOTING	Mar 03 2009	Oct 01 2009	157 00	2,474.01	1,980 79	0.00	493.22	493 22	ST
RANGE RESOURCES CORPORATION CMN	Nov 18 2008	Oct 05 2009	10.00	478 16	405 64	0 00	72 52	72 52	ST
	Nov 25 2008	Oct 05 2009	86 00	4,112 21	3,341 02	0 00	771.19	771 19	ST
	Dec 03 2008	Oct 05 2009	10.00	478 16	379 26	0.00	98 90	98 90	ST
	Dec 04 2008	Oct 05 2009	92 00	4,399.11	3,441 61	0 00	957 50	957 50	ST
	Dec 31 2008	Oct 05 2009	120.00	5,737.97	4,136 15	0 00	1,601 82	1,601 82	ST
WYETH CMN	Mar 07 2007	Oct 06 2009	99 00	4,833 69	4,870 10	0 00	(36.42)	(36 42)	LT
	Dec 04 2007	Oct 06 2009	36.00	1,757 70	1,740.24	0 00	17.46	17.46	LT
HESS CORPORATION CMN	Nov 03 2008	Oct 08 2009	69.00	3,909 79	4,024 48	0.00	(114.69)	(114 69)	ST
	Dec 12 2008	Oct 08 2009	75 00	4,249 77	3,503 15	0 00	746 62	746 62	ST
	Dec 17 2008	Oct 08 2009	34.00	1,926 56	1,783 78	0 00	142 78	142.78	ST
OMCAST CORPORATION CMN CLASS A VOTING	Mar 03 2009	Oct 09 2009	181.00	2,800 07	2,283 59	0.00	516 48	516 48	ST
	Mar 30 2009	Oct 09 2009	88 00	1,361 36	1,201 43	0.00	159.93	159 93	ST
TRANSOCEAN LTD CMN	Feb 20 2009	Oct 13 2009	36 00	3,152 95	2,107 54	0 00	1,045.42	1,045 42	ST
	Mar 26 2009	Oct 13 2009	43 00	3,766 03	2,774.45	0 00	991 58	991 58	ST
WASTE MANAGEMENT INC CMN	Feb 08 2008	Oct 13 2009	87.00	2,522.81	2,830 35	0 00	(307 55)	(307.55)	LT
	Oct 13 2008	Oct 13 2009	91 00	2,638 80	2,713.85	0 00	(75.05)	(75 05)	ST
	Oct 14 2008	Oct 13 2009	23.00	666 95	696 25	0.00	(29.30)	(29.30)	ST
	Oct 15 2008	Oct 13 2009	29.00	840 94	883.50	0 00	(42 56)	(42 56)	ST
COMCAST CORPORATION CMN CLASS A	Mar 30 2009	Oct 14 2009	202 00	3,102.03	2,757 82	0.00	344.21	344 21	ST

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VOTING	Apr 08 2009	Oct 14 2009	277 00	4,253.78	3,897 50	0.00	356.28	356 28	ST
	May 27 2009	Oct 14 2009	51 00	783 19	735 56	0.00	47.63	47 63	ST
	May 27 2009	Oct 15 2009	268 00	4,142.10	3,865 28	0 00	276 81	276 81	ST
	Aug 03 2009	Oct 15 2009	329 00	5,084 89	4,957.57	0 00	127 32	127.32	ST
·IZER INC. CMN	Dec 04 2007	Oct 16 2009	0 12	2 12	0.00	0 00	2.12	2 12	LT
TRANSOCEAN LTD CMN	Mar 26 2009	Oct 16 2009	15.00	1,368.67	967.83	0 00	400.84	400.84	ST
	Sep 11 2009	Oct 16 2009	49 00	4,470.99	3,992.52	0 00	478 47	478 47	ST
WYETH CMN	Dec 04 2007	Oct 16 2009	66 00	3,325 90	3,190 44	0 00	135 46	135 46	LT
	Dec 24 2007	Oct 16 2009	126.00	6,347 84	5,683.86	0 00	663.98	663 98	LT
MORGAN STANLEY CMN	Dec 30 2008	Oct 21 2009	9 00	313 53	132.74	0 00	180 80	180.80	ST
	Feb 13 2009	Oct 21 2009	202 00	7,037.08	4,658 91	0.00	2,378 17	2,378.17	ST
STATE STREET CORPORATION (NEW) CMN	Apr 23 2009	Oct 22 2009	139 00	6,356.92	4,933 07	0 00	1,423 86	1,423.86	ST
ACTIVISION BLIZZARD INC CMN	May 18 2009	Oct 28 2009	207.00	2,379 34	2,412 94	0 00	(33 60)	(33 60)	ST
	Jun 08 2009	Oct 28 2009	221.00	2,540 26	2,803 19	0 00	(262 93)	(262 93)	ST
	Jun 09 2009	Oct 28 2009	97 00	1,114.96	1,235.97	0 00	(121 01)	(121.01)	ST
BECTON DICKINSON & CO CMN	Oct 23 2008	Oct 28 2009	25.00	1,672 56	1,727 23	0 00	(54 67)	(54.67)	LT
	Oct 24 2008	Oct 28 2009	27 00	1,806 37	1,811 05	0.00	(4 69)	(4 69)	LT
	Oct 31 2008	Oct 28 2009	21.00	1,404 95	1,432.89	0 00	(27 94)	(27 94)	ST
	Nov 03 2008	Oct 28 2009	47.00	3,144 41	3,236.11	0.00	(91.70)	(91.70)	ST
PFIZER INC CMN	Dec 04 2007	Oct 28 2009	65 00	1,128 26	1,147 90	0 00	(19 64)	(19 64)	ST
	Dec 24 2007	Oct 28 2009	123 00	2,135.01	2,172 18	0 00	(37 17)	(37.17)	ST
·HILIP MORRIS INTL INC CMN	Aug 10 2007	Oct 28 2009	6 00	295 05	279 14	0.00	15.91	15.91	LT
	Aug 20 2007	Oct 28 2009	115 00	5,655.09	5,369 95	0.00	285 14	285 14	LT
	Dec 04 2007	Oct 28 2009	7 00	344 22	376 84	0.00	(32.62)	(32 62)	LT
WELLS FARGO & CO (NEW) CMN	May 08 2009	Oct 28 2009	235 00	6,527.51	6,105 89	0.00	421.62	421 62	ST
HESS CORPORATION CMN	Dec 17 2008	Oct 29 2009	80 00	4,506 79	4,197.13	0.00	309 66	309 66	ST
	Dec 23 2008	Oct 29 2009	77 00	4,337.78	3,706 22	0 00	631.56	631 56	ST
	May 12 2009	Oct 29 2009	55 00	3,098.42	3,505.08	0.00	(406.67)	(406 67)	ST
PFIZER INC. CMN	Dec 24 2007	Oct 29 2009	1.00	17.31	17.66	0.00	(0 35)	(0.35)	ST
KOHL'S CORP (WISCONSIN) CMN	Aug 27 2009	Oct 30 2009	135.00	7,835.30	7,166.54	0 00	668.76	668 76	ST
	Aug 28 2009	Oct 30 2009	41.00	2,379.61	2,163 94	0.00	215.67	215.67	ST
DEVON ENERGY CORPORATION (NEW) CMN	Dec 24 2007	Nov 03 2009	49 00	3,214 68	4,500 65	0 00	(1,285.97)	(1,285 97)	LT
	Jan 29 2008	Nov 03 2009	25 00	1,640 14	2,099 52	0.00	(459 38)	(459 38)	LT
	Jan 23 2009	Nov 03 2009	12 00	787.27	726.41	0 00	60 86	60.86	ST

Goldman Sachs

LARGE CAP STRATEGIC VALUE
Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
P G & E CORPORATION CMN	Aug 30 2007	Nov 03 2009	2 00	81 79	88 62	0 00	(6.83)	(6 83)	LT
	Dec 04 2007	Nov 03 2009	102.00	4,171 41	4,740.96	0 00	(569.55)	(569 55)	LT
	Dec 24 2007	Nov 03 2009	107 00	4,375.89	4,760 43	0 00	(384 54)	(384.54)	LT
MONSANTO COMPANY CMN	Oct 28 2009	Nov 11 2009	97 00	7,282.74	6,948 41	0.00	334.33	334 33	ST
)EVON ENERGY CORPORATION (NEW) CMN	Jan 23 2009	Nov 13 2009	44 00	2,954 66	2,663 51	0 00	291.16	291.16	ST
	Jan 30 2009	Nov 13 2009	32 00	2,148.85	1,987.82	0 00	161.03	161 03	ST
	Jan 30 2009	Nov 19 2009	28 00	1,940 69	1,739.34	0 00	201.35	201 35	ST
	Jun 04 2009	Nov 19 2009	60 00	4,158 63	3,941.45	0 00	217 18	217.18	ST
	Jun 11 2009	Nov 19 2009	53 00	3,673 45	3,548.48	0 00	124 97	124 97	ST
VIACOM INC CMN CLASS B	Jul 21 2008	Dec 02 2009	43.00	1,290.35	1,268.54	0 00	21 81	21 81	LT
	Oct 15 2008	Dec 02 2009	160 00	4,801.32	2,958 87	0 00	1,842.45	1,842 45	LT
HALLIBURTON COMPANY CMN	Sep 10 2009	Dec 03 2009	148 00	4,239 75	3,802 31	0 00	437 44	437.44	ST
THE HOME DEPOT, INC CMN	Dec 23 2008	Dec 07 2009	299.00	8,434 47	7,089.74	0 00	1,344.73	1,344 73	ST
FRANKLIN RESOURCES INC CMN	Oct 22 2008	Dec 15 2009	10 00	1,048 32	641 07	0.00	407.25	407 25	LT
	Oct 22 2008	Dec 16 2009	25.00	2,592 20	1,602.68	0.00	989.52	989.52	LT
UNILEVER N V. NY SHS (NEW) ADR CMN	Dec 24 2007	Dec 16 2009	171 00	5,520 52	6,250.05	0 00	(729.53)	(729 53)	LT
THE TRAVELERS COMPANIES, INC CMN	Nov 19 2007	Dec 17 2009	33.00	1,607 48	1,699 46	0 00	(91 98)	(91.98)	LT
	Dec 04 2007	Dec 17 2009	55 00	2,679.13	2,907 85	0 00	(228 72)	(228 72)	LT
	Dec 24 2007	Dec 17 2009	7 00	340.98	383 32	0 00	(42 34)	(42 34)	LT
UNILEVER N V NY SHS (NEW) ADR CMN	Dec 24 2007	Dec 17 2009	44.00	1,396.95	1,608 20	0 00	(211 25)	(211.25)	LT
	Jan 22 2008	Dec 17 2009	19 00	603.23	606 83	0.00	(3.60)	(3.60)	LT
.HEVRON CORPORATION CMN	Oct 29 2009	Dec 23 2009	114.00	8,818 86	8,831 53	0 00	(12.67)	(12 67)	ST
PHILIP MORRIS INTL INC CMN	Dec 04 2007	Dec 23 2009	35 00	1,709 85	1,884.22	0 00	(174 37)	(174.37)	LT
	Dec 24 2007	Dec 23 2009	107.00	5,227.24	5,764 04	0.00	(536 79)	(536 79)	LT
VIACOM INC CMN CLASS B	Oct 15 2008	Dec 23 2009	81 00	2,388.24	1,497 93	0 00	890 32	890.32	LT
	Oct 15 2008	Dec 24 2009	69.00	2,039 21	1,276 01	0.00	763.20	763.20	LT
	Sep 11 2009	Dec 24 2009	18 00	531 97	472.34	0 00	59 63	59 63	ST
	Sep 11 2009	Dec 28 2009	80 00	2,369.82	2,099.30	0.00	270.52	270 52	ST
EOG RESOURCES INC CMN	Jun 19 2008	Dec 29 2009	32 00	3,191.62	4,273 47	0.00	(1,081.85)	(1,081 85)	LT
J C PENNEY CO INC (HLDNG CO) CMN	May 07 2009	Dec 29 2009	195 00	5,277.53	6,102 10	0 00	(824.57)	(824.57)	ST

Portfolio No 010-46678-7

LARGE CAP STRATEGIC VALUE

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
EOG RESOURCES INC CMN	Jun 20 2008	Dec 30 2009	31 00	3,068.47	4,084 57	0 00	(1,016.10)	(1,016 10)	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	287,760 64	243,256 23	0 00	44,504 41	44,504 41
SHORT TERM LOSSES	184,469 48	260,394 19	0 00	(75,924.71)	(75,924 71)
NET SHORT TERM GAINS (LOSSES)	**472,230.12**	**503,650.42**	**0.00**	**(31,420.30)**	**(31,420.30)**
LONG TERM GAINS	54,901 87	45,781 63	0 00	9,120 25	9,120 25
LONG TERM LOSSES	261,057 31	433,139 83	0 00	(172,082 52)	(172,082 52)
NET LONG TERM GAINS (LOSSES)	**315,959.18**	**478,921.46**	**0.00**	**(162,962.28)**	**(162,962.28)**

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes You should use only your official form 1099's or other tax records for tax reporting This information is not and should not be construed as tax, accounting or legal advice Please consult with your tax advisor regarding the tax consequences of your investment decisions The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
LDMAN SACHS EMERGING MARKETS EQUITY INSTITUTIONAL CLASS I	Dec 21 2007	Mar 05 2009	1,596.92	11,753 33	43,036 99	0 00	(31,283 66)	(31,283.66)	LT
GOLDMAN SACHS LARGE CAP VALUE CLASS I	Jul 12 2007	Mar 05 2009	15,576 32	105,763 24	250,000 00	0 00	(144,236 76)	(144,236 76)	LT
	Dec 13 2007	Mar 05 2009	257.68	1,749 63	3,607 48	0 00	(1,857 85)	(1,857 85)	LT
	Dec 13 2007	Mar 05 2009	204.83	1,390 79	2,867 60	0 00	(1,476 81)	(1,476 81)	LT
	Dec 13 2007	Mar 05 2009	851.02	5,778.45	11,914.33	0.00	(6,135.88)	(6,135 88)	LT
	Dec 09 2008	Mar 05 2009	296 37	2,012.37	2,501 39	0 00	(489 02)	(489 02)	ST
GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	Sep 22 2008	Mar 10 2009	17,725.26	87,740.03	120,000 00	0.00	(32,259.97)	(32,259 97)	ST
EKSPORTFINANS ASA LINKED TO S&P 500 0% COUPON DUE 03/27/2009 STRUCTURED NOTE	Jan 18 2008	Mar 27 2009	290 00	290,000 00	299,170 09	0 00	(9,170 09)	(9,170 09)	LT
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	Dec 21 2007	Jun 03 2009	4,000 00	189,695 11	319,499 20	0 00	(129,804 09)	(129,804 09)	LT
	Dec 21 2007	Jun 08 2009	2,138.00	99,745 81	170,772.32	0 00	(71,026.51)	(71,026.51)	LT
AB SVENSK EXPORTKREDIT LINKED TO S&P 500 0% COUPON DUE 06/13/2009 STRUCTURED NOTE	Jan 30 2008	Jun 13 2009	56 00	38,909 89	56,000 00	0.00	(17,090.11)	(17,090 11)	LT
ISHARES TR-ISHARES MSCI EAFE INDEX FUND ETF	Dec 21 2007	Jun 16 2009	3,201.00	149,466 83	255,679 24	0 00	(106,212.41)	(106,212.41)	LT
SERVICE HOLDRS TRUST CMN	Jun 16 2009	Aug 04 2009	400.00	42,717 86	44,412 52	0 00	(1,694.66)	(1,694 66)	ST
GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	May 07 2009	Aug 07 2009	21,407 41	138,720 00	124,162 96	0 00	14,557.04	14,557.04	ST
FHLB 3.750000% 08/18/2009 FA	Dec 04 2007	Aug 18 2009	200,000.00	200,000 00	200,000 00 [3]	0 00	(1,164 00)	0 00	NON
GOLDMAN SACHS HIGH YIELD INSTL MUTUAL FUND CLASS I	May 07 2009	Aug 27 2009	7,213.28	46,381.41	41,837.04	0.00	4,544.37	4,544.37	ST
	May 13 2009	Aug 27 2009	14,360.59	92,338.59	83,291.41	0.00	9,047 17	9,047.17	ST
BNP PARIBAS LINKED TO FTSE 100 INDEX 0% COUPON DUE 09/06/2011 STRUCTURED NOTE	May 08 2009	Sep 14 2009	30.00	32,807 10	30,000 00	0.00	2,807.10	2,807.10	ST
EKSPORTFINANS ASA LINKED TO S&P HOMEBLDG SELECT 0% COUPON DUE 09/21/2010 STRUCTURED NOTE	Aug 04 2008	Sep 29 2009	120 00	120,193.20	120,000 00	0.00	193 20	193 20	LT

[3] Basis has been adjusted by Accretion or Amortization (using Straight-Line method) and/or Original Issue Discount (using Constant-Yield method)

SANKEY FAMILY FOUNDATION

Realized Gains and Losses (Continued)

Period Ended December 31, 2009

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
RABOBANK NEDERLAND, UTRECHT 0% DUE 10/6/10 CALLABLE LINKED TO S&P 500 STRUCTURED NOTE	Mar 18 2009	Oct 05 2009	290.00	309,865 00	290,000 00	0 00	19,865 00	19,865.00	ST
GS STRUCTURED INTL EQUITY INSTITUTIONAL CLASS I	Feb 26 2008	Oct 29 2009	27,290 64	277,000 00	376,065 02	0 00	(99,065 02)	(99,065 02)	LT
* ISHARES RUSSELL 2000 VALUE INDEX FUND ETF	Dec 19 2008	Dec 28 2009	17,042.00	1,003,149 79	821,182 41	0 00	181,967.38	181,967 38	LT

	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	620,112.10	569,291 42	0 00	50,820 69	50,820 69
SHORT TERM LOSSES	132,470 26	166,913 91	0 00	(34,443 65)	(34,443 65)
NET SHORT TERM GAINS (LOSSES)	**752,582.36**	**736,205.33**	**0.00**	**16,377.04**	**16,377.04**
LONG TERM GAINS	1,123,342 99	941,182 41	0 00	182,160 58	182,160 58
LONG TERM LOSSES	1,171,253 08	1,788,612 27	0 00	(617,359 20)	(617,359 20)
NET LONG TERM GAINS (LOSSES)	**2,294,596.07**	**2,729,794.68**	**0.00**	**(435,198.62)**	**(435,198.62)**
* LESS: ISHARES RUSSELL 2000 VALUE INDEX	<1,003,149.79>	<821,182.41>			
	1,291,446.28	1,908,612.27			

* ISHARES RUSSELL DONATED BY JIM SANKEY. FMV ON DATE CONTRIBUTED WAS $1,002,410.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE GS STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
752,582.		736,205.					16,377.	
		SEE GS LARGE CAP STATEMENT PROPERTY TYPE: SECURITIES				P	VAR	VAR
472,230.		503,650.					-31,420.	
		SEE GS STATEMENT PROPERTY TYPE: SECURITIES				P	VAR	VAR
1,291,446.		1,908,613.					-617,167.	
		ISHARES RUSSELL 2000 VALUE INDEX PROPERTY TYPE: SECURITIES				D	12/19/2008	12/28/2009
1,003,150.		1,002,410.					740.	
		SEE GS LARGE CAP STATEGIC STMT PROPERTY TYPE: SECURITIES				P	VAR	VAR
315,959.		478,921.					-162,962.	
TOTAL GAIN(LOSS)							-794,432.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS - NOMINEE	58,326.	58,326.
TOTAL	58,326.	58,326.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
GOLDMAN SACHS - NOMINEE		86,153.	86,153.
	TOTAL	86,153.	86,153.

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	15,920.	0.	0.	
TOTALS	15,920.	0.	0.	0.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OHIO FILING FEE	200.	
FOREIGN TAX WITHHELD	178.	178.
TOTALS	378.	178.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK SERVICE CHARGES	223.	223.
GS ACCT 26373 INVSTMT MGMT FEE	37,191.	37,191.
GS ACCT 46678 INVSTMT MGMT FEE	10,384.	10,384.
TOTALS	47,798.	47,798.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 6

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GS ACCT 26373 SEE ATTACHED	6,122,033.	6,659,813.
GS ACCT 46678 SEE ATTACHED	954,000.	970,842.
TOTALS	7,076,033.	7,630,655.

SANKEY FAMILY FOUNDATION 34-1909797

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GS ACCT 26373 SEE ATTACHED	2,665,433.	2,856,642.
TOTALS	2,665,433.	2,856,642.

FORM 990PF, PART II - OTHER INVESTMENTS

ATTACHMENT 8

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GS ACCT 26373 SEE ATTACHED	344,628.	339,621.
TOTALS	344,628.	339,621.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 9

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JAMES K. SANKEY 14608 RUDOLPH DADEY DRIVE CHARLOTTE, NC 28277	PRESIDENT 1.00	0.	0.	0.
BETH H. SANKEY 14608 RUDOLPH DADEY DRIVE CHARLOTTE, NC 28277	VP, TREASURER 0.00	0.	0.	0.
RICHARD W. SANKEY 1726 MUIRFIELD DR. GREEN COVE SPRINGS, FL 32043	TRUSTEE 0.00	0.	0.	0.
ALAN J. TOBIN 64 WATERSIDE DRIVE MEDINA, OH 44256	SECRETARY 0.00	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HUDSON COMMUNITY CHAPEL 750 W STREETSBORO ST HUDSON, OH 44236	501(C)(3)	MISSIONARY WORK	788,000
ASSIST INTERNATIONAL SCOTTS VALLEY, CA 95067	501(C)(3)	HELP ORPHANED CHILDREN	155,000
FOREST HILL CHURCH 7224 PARK RD CHARLOTTE, NC 28210	501(C)(3)	CHURCH OPERATING EXPENSES	6,200
WORLD HARVEST MINISTRIES FREELAND, MI 48623	501(C)(3)	HELP FEED THOSE IN NEED	89,000
PATHWAY CARING FOR CHILDREN NORTH CANTON, OH 44718	501(C)(3)	FAMILY HOMES AND FOSTER CARE PROGRAMS	20,000
CORNERSTONE CHRISTIAN ACADEMY P O BOX 5520 PHILADELPHIA, PA 19143	501(C)(3)	OPERATING EXPENSES	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 10 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MISSIONARY ATHLETES INTERNATIONAL 1020 CREWS ROAD, SUITE N MATTHEWS, NC 28105	501(C)(3)	MISSIONARY WORK	5,000
CSA ENDOWMENT FUND 29 REGAL AVENUE SYLVA, NC 28779	501(C)(3)	ENHANCE TEACHING AND LEARNING EXPERIENCE FOR CHILDREN	2,000
YMCA OF GREATHER CHARLOTTE 500 EAST MOREHEAD ST, SUITE 300 CHARLOTTE, NC 28202	501 (C)(3)	GENERAL SUPPORT	2,500
NATIONAL MULTIPLE SCLEROSIS SOCIETY 9801-I SOUTHERN PINE BLVD CHARLOTTE, NC 28273	501 (C)(3)	GENERAL SUPPORT	1,000
		TOTAL CONTRIBUTIONS PAID	1,078,700

Form **8868**
(Rev April 2009)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms, 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	**Employer identification number**
	SANKEY FAMILY FOUNDATION	34-1909797
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 4040 EMBASSY PARKWAY, SUITE 100	
	City, town or post office, state, and ZIP code For a foreign address, see instructions AKRON, OH 44333-8354	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ ALAN J TOBIN

Telephone No ▶ 330 670-7264 FAX No ▶ 330 666-8789

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______, ______, and ending ______, ______

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	3a	$ 4,000.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 8,594
c	**Balance Due** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

JSA
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