Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending ,

G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: Rice Family Foundation

Number and street (or P.O. box number if mail is not delivered to street address): 10860 Melva Road — Room/suite:

City or town: La Mesa — State: CA — ZIP code: 91941

A Employer identification number: 33-0592384

B Telephone number (see the instructions): (619) 442-2234

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 6,645,084.

J Accounting method: [X] Cash ☐ Accrual
☐ Other (specify) ______________
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	13,599.	13,599.		
	4 Dividends and interest from securities	145,770.	145,770.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) See Line 11 Stmt	248,165.	248,165.		
	12 **Total.** Add lines 1 through 11	407,534.	407,534.		
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	49,000.	24,000.		25,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) L-16a Stmt	1,500.			1,500.
	b Accounting fees (attach sch) L-16b Stmt	4,000.			4,000.
	c Other prof fees (attach sch) L-16c Stmt	39,523.	32,023.		7,500.
	17 Interest				
	18 Taxes (attach schedule)(see instr.) See Line 18 Stmt	8,824.	4,386.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy	3,600.	1,800.		1,800.
	21 Travel, conferences, and meetings	2,275.	740.		2,275.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Line 23 Stmt	16,233.	13,641.		2,592.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	124,955.	76,590.		44,667.
	25 Contributions, gifts, grants paid	268,573.			268,573.
	26 **Total expenses and disbursements.** Add lines 24 and 25	393,528.	76,590.		313,240.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	14,006.			
	b **Net investment income** (if negative, enter -0-)		330,944.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
ASSETS			
1 Cash – non-interest-bearing			
2 Savings and temporary cash investments	1,557,080.	1,186,402.	1,186,402.
3 Accounts receivable ►			
Less: allowance for doubtful accounts ►			
4 Pledges receivable ►			
Less: allowance for doubtful accounts ►			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
7 Other notes and loans receivable (attach sch) ►			
Less: allowance for doubtful accounts ►			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments – U.S. and state government obligations (attach schedule)			
b Investments – corporate stock (attach schedule) L-10b Stmt	2,434,885.	2,649,455.	2,750,153.
c Investments – corporate bonds (attach schedule) L-10c Stmt	1,683,516.	1,549,959.	1,611,570.
11 Investments – land, buildings, and equipment: basis ► 1,096,959.			
Less: accumulated depreciation (attach schedule) L-11 Stmt ► 0.	1,095,966.	1,096,959.	1,096,959.
12 Investments – mortgage loans			
13 Investments – other (attach schedule)			
14 Land, buildings, and equipment: basis ►			
Less: accumulated depreciation (attach schedule) ►			
15 Other assets (describe ►)			
16 **Total assets** (to be completed by all filers – see instructions. Also, see page 1, item I)	6,771,447.	6,482,775.	6,645,084.
LIABILITIES			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, & other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ►)			
23 **Total liabilities** (add lines 17 through 22)			
NET ASSETS OR FUND BALANCES			
Foundations that follow SFAS 117, check here ► ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ► ☒ **and complete lines 27 through 31.**			
27 Capital stock, trust principal, or current funds	6,771,447.	6,482,775.	
28 Paid-in or capital surplus, or land, building, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see the instructions)	6,771,447.	6,482,775.	
31 **Total liabilities and net assets/fund balances** (see the instructions)	6,771,447.	6,482,775.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,771,447.
2 Enter amount from Part I, line 27a	2	14,006.
3 Other increases not included in line 2 (itemize) ►	3	
4 Add lines 1, 2, and 3	4	6,785,453.
5 Decreases not included in line 2 (itemize) ► Adjustment to book values-Invstmts	5	302,678.
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,482,775.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	RBC Acct 230: Fixed Sch B-1	P	various	various
b	RBC Acct 234:Equities Sch B-2	P	various	various
c	RBC Acct 234: Capital Gain Sch B-3	P	various	various
d	5000 Units Powershares DB Commodity Index Sch B-4	P	03/30/09	06/22/09
e	See Columns (a) thru (d)			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,437,732.		1,501,571.	-63,839.
b	5,107,259.		5,371,041.	-263,782.
c	0.		0.	317.
d	113,047.		112,685.	362.
e	See Columns (e) thru (h)		399,552.	-318.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				-63,839.
b				-263,782.
c	0.	0.	0.	317.
d				362.
e	See Columns (i) thru (l)	0.	0.	-318.

2	Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-327,260.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	350,159.	6,370,028.	0.054970
2007	344,870.	6,988,472.	0.049348
2006	285,617.	6,472,588.	0.044127
2005	156,737.	5,736,460.	0.027323
2004	142,689.	3,574,656.	0.039917

2	**Total** of line 1, column (d)	2	0.215685
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043137
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	6,515,384.
5	Multiply line 4 by line 3	5	281,054.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,309.
7	Add lines 5 and 6	7	284,363.
8	Enter qualifying distributions from Part XII, line 4	8	313,240.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary - see instr.)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b		1	3,309.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,309.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	3,309.
6 Credits/Payments:			
a 2009 estimated tax pmts and 2008 overpayment credited to 2009	6a 4,428.		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	4,428.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►		10	1,119.
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ► 1,119.	**Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1b		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ► $ ________ **(2)** On foundation managers ► $ ________			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ ________			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see the instructions) ► CA - California			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV.*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses.*	10		X

Part VII-A | Statements Regarding Activities *Continued*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Lisa Wilson Telephone no. ► (858) 273-3165

Located at ► 4471 Jutland Drive San Diego CA ZIP + 4 ► 92117

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** — Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15

Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.		Yes	No
1 a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__ .			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement — see the instructions.)	2b		X
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.			
► 20__ , 20__ , 20__ , 20__ .			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If 'Yes,' did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b		
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If 'Yes' to 6b, file Form 8870.			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John Hamel 10860 Melva Road La Mesa CA 91941	Dir/Pres/CEO 3.00	0.	0.	0.
Shar Salter 10860 Melva Road La Mesa CA 91941	Director 2.00	3,000.	0.	0.
Lisa Wilson 10860 Melva Road La Mesa CA 91941	Dir/Treas/CFO 25.00	42,000.	0.	0.
Michelle Brookman 10860 Melva Road La Mesa CA 91941	Dir/Secty 2.00	4,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A				
0				
0				
0				
0				

Total number of other employees paid over $50,000 ► None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes:		
a Average monthly fair market value of securities	1a	5,404,505.
b Average of monthly cash balances	1b	114,132.
c Fair market value of all other assets (see instructions)	1c	1,095,966.
d **Total** (add lines 1a, b, and c)	1d	6,614,603.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	6,614,603.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	99,219.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,515,384.
6 **Minimum investment return.** Enter 5% of line 5	6	325,769.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	325,769.
2a Tax on investment income for 2009 from Part VI, line 5	2a	3,309.		
b Income tax for 2009. (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	3,309.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	322,460.
4 Recoveries of amounts treated as qualifying distributions			4	
5 Add lines 3 and 4			5	322,460.
6 Deduction from distributable amount (see instructions)			6	
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	322,460.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	313,240.
b Program-related investments — total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	313,240.
5 *Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income.* Enter 1% of Part I, line 27b (see instructions)	5	3,309.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	309,931.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				322,460.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			92,185.	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2009:				
a From 2004 ... 0.				
b From 2005 ... 0.				
c From 2006 ... 0.				
d From 2007 ... 0.				
e From 2008 ... 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► $ 313,240.				
a Applied to 2008, but not more than line 2a			92,185.	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2009 distributable amount				221,055.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (*If an amount appears in column (d), the same amount must be shown in column (a).*)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				101,405.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005 ... 0.				
b Excess from 2006 ... 0.				
c Excess from 2007 ... 0.				
d Excess from 2008 ... 0.				
e Excess from 2009 ... 0.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year – see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc, (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)3	Title 1 school Transportation	4,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Zoo Express Program	4,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Wild Animal Park Educator Preview	7,000.
The Zoological Society of San Diego PO Box 120271 San Diego CA 92112		501(c)(3)	Animal Express Program	15,000.
Rolling Readers USA 2911 Adams Ave #17 San Diego CA 92116		501(c)(3)	Read Aloud/Book Giveaway Program	5,000.
ArtsBusXpress 2665 Ariane Drive, 202A San Diego CA 92117		501(c)(3)	Student Transporation To the Arts	5,000.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	Field trip Admissions	3,500.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	Student Recognition Assemblies	1,500.
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	School Assemblies	3,000.
See Line 3a statement				220,573.
Total ► 3a				268,573.
b Approved for future payment				
Total ► 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) Related or exempt function income (see the instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	13,599.	
4 Dividends and interest from securities			14	145,770.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Lease Income			14	247,236.	
b Royalty Acct Heber			14	929.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				407,534.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 407,534.

(See worksheet in the instructions for line 13 to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See the instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

SIGN HERE

► [signature] 4/27/10 ► President

Signature of officer or trustee Date Title

Paid Preparer's Use Only			
Preparer's signature ►	Date	Check if self-employed ► ☐	Preparer's Identifying number (See Signature in the instrs)
Firm's name (or yours if self-employed), address, and ZIP code ► Self-Prepared		EIN ►	
		Phone no ►	

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
Lease income	247,236.	247,236.	
Royalty Acct Heber	929.	929.	
Total	248,165.	248,165.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes (see the instructions)	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
2009 Est. Taxes	4,428.			
Property Taxes	4,107.	4,107.		
State 2008 CA 199 Filing Fee	10.			
Foreign Taxes Paid	279.	279.		
Total	8,824.	4,386.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
San Diego Grantmakers dues	650.			650.
Subscription-Newspaper	129.	129.		
Insurance	1,053.			1,053.
Postage	23.			23.
Property Repairs	13,512.	13,512.		
Supplies	540.			540.
RRF-1 2008: Tax Filing Fee	75.			75.
Calif Sec of State Stmt of Info	20.			20.
Printer	155.			155.
Gifts	56.			56.
Bank Charges	20.			20.
Total	16,233.	13,641.		2,592.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (a) thru (d)

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	**(b)** How acquired P-Purchase D-Donation	**(c)** Date acquired (month, day, year)	**(d)** Date sold (month, day, year)
RBC Acct 490: Equities Sch B-5	P	various	various
RBC Acct 490: Capital Gain Sch B-6	P	various	various

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (e) thru (h)

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
399,118.		399,552.	-434.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income Continued
Columns (e) thru (h)

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
0.		0.	116.
Total		399,552.	-318.

Form 990-PF, Part IV, Capital Gains and Losses for Tax on Investment Income
Columns (i) thru (l)

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (column (h) gain minus column (k), but not less than -0-) **or** losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
			-434.
0.	0.	0.	116.
Total	0.	0.	-318.

Form 990-PF, Page 11, Part XV, line 3a
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Horton Elementary 5050 Guyman Street San Diego CA 92102		501(c)(3)	Attendance Incentive Programs	Person or Business [X] 2,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Nature-to-You Loan Library	Person or Business [X] 10,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Fossil Mysteries Exhibit	Person or Business [X] 5,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum on Wheels Program	Person or Business [X] 10,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Magic School Bus Program	Person or Business [X] 15,000.
San Diego Natural History Museum PO Box 121390 San Diego CA 92112		501(c)(3)	Museum Access Fund	Person or Business [X] 15,000.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Challenger Mission Program Support	Person or Business [X] 15,405.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Teacher Workshop Astronomy	Person or Business X 520.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Teacher Stipeds for workshops	Person or Business X 3,900.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Micronaut Challenger Missions Program Support	Person or Business X 9,875.
Reuben H. Fleet Science Center 1875 El Prado San Diego CA 92101		501(c)(3)	Challenger Program Teacher Supplies	Person or Business X 300.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Student Scholarships	Person or Business X 2,500.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Outreach Program Horticultural Dept	Person or Business X 11,725.
Cuyamaca College 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Morgan Rice Internship Program	Person or Business X 33,275.
Kimbrough Elementary School 321 Hoitt Street San Diego CA 92102		501(c)(3)	Parents As Partners in Education Programs	Person or Business X 10,000.
Edison Elementary School 4077 35th Street San Diego CA 92104		501(c)(3)	Computer Equipment & Lab Tech	Person or Business X 10,000.
Cuyamaca College Botanical Society 900 Rancho San Diego Pkwy El Cajon CA 92019		501(c)(3)	Awards Banquet Donation	Person or Business X 225.
Elementary Institute of Science 608 51st Street San Diego CA 92114		501(c)(3)	Program Support Energy Inititive	Person or Business X 15,000.
Euclid Elementary 4166 Euclid Ave. San Diego CA 92105		501(c)(3)	Computer Equipment	Person or Business X 6,275.
Euclid Elementary 4166 Euclid Ave. San Diego CA 92105		501(c)(3)	Student Writing Journals	Person or Business X 2,900.
Euclid Elementary 4166 Euclid Ave. San Diego CA 92105		501(c)(3)	Student Planners	Person or Business X 825.
Encanto Academic Academy 822 65th Street San Diego CA 92104		501(c)(3)	Computer Equipment	Person or Business X 10,000.

Form 990-PF, Page 11, Part XV, line 3a Continued
Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Hamilton Elementary 2807 Fairmont Ave. San Diego CA 92105		501(c)(3)	Extended Learning Programs	Person or Business X 6,000.
Hamilton Elementary 2807 Fairmont Ave. San Diego CA 92105		501(c)(3)	Professional Development Materials	Person or Business X 1,500.
Hamilton Elementary 2807 Fairmont Ave. San Diego CA 92105		501(c)(3)	Student Incentive Program	Person or Business X 2,500.
Grossmont College 8800 Grossmont College Dr. El Cajon CA 92020		501(c)(30	Theatre Arts Dept Play Support	Person or Business X 2,998.
Central Elementary 4063 Polk Avenue San Diego CA 92105		501(c)(3)	From the Ground Up Garden Project	Person or Business X 3,000.
Central Elementary 4063 Polk Avenue San Diego CA 92105		501(c)(3)	Grade Level Gardening Project	Person or Business X 2,000.
Central Elementary 4063 Polk Avenue San Diego CA 92105		501(c)(3)	From the Ground Up Garden Coordinator	Person or Business X 5,000.
SAY San Diego 8755 Aero Dr. #100 San Diego CA 92123		501(c)(3)	Family Self-Sufficiency Program	Person or Business X 500.
Heritage Of the Americas Museum 12100 Cuyamaca College Dr.West El Cajon CA 92019		501(c)(3)	Education Programs	Person or Business X 4,000.
Crohn's and Colitis Foundation 386 Park Avenue South, 17th Fl New York NY 10016		501c(3)	General Support	Person or Business X 100.
Melanomia Therapeudics Fnd 111 Sutter Street, #800 San Francisco CA 94104		501c(3)	General Support	Person or Business X 500.
Center for Community Solutions 4508 Mission Bay Drive San Diego CA 92109		501c(3)	General Support	Person or Business X 150.
Carcinoid Cancer Foundation 333 Mamaroneck Ave, #492 White Plains NY 10605		501c(3)	General Support	Person or Business X 500.
Alcott Elementary 4680 Hidalgo Ave. San Diego CA 92117		501c(3)	General Support	Person or Business X 1,500.
Colorado Youth at Risk PO Box 13410 Denver CO 80201		501c(3)	General Support	Person or Business X 100.

Form 990-PF, Page 11, Part XV, line 3a Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a *Paid during the year*				
Camp Pendleton ASYMCA Box 555028, Bldg 16144 Camp Pendleton CA 92055		501c(3)	Operation Hero Program	Person or ☐ Business ☒ 250.
Mission Valley YMCA 5505 Friars Road San Diego CA 92110		501c(3)	General Support	Person or ☐ Business ☒ 250.
Total				220,573.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Fischer & Ritchey LLP	Consulting	1,500.			1,500.
Total		1,500.			1,500.

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Lisa Wilson	Prep 990PF	4,000.			4,000.
Total		4,000.			4,000.

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
RBC Dain Rauscher	Investment Svcs	24,523.	24,523.		
Hamel & Assoc.	Consulting Svcs	15,000.	7,500.		7,500.
Total		39,523.	32,023.		7,500.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year Book Value	End of Year Fair Market Value
Misc Securities RBC Wealth Management Acct 234, Sch A-1	2,649,455.	2,750,153.
Total	2,649,455.	2,750,153.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	End of Year Fair Market Value
Misc Fixed Income:RBC Wealth Management Acct 231, Sch A-2	1,549,959.	1,611,570.
Total	1,549,959.	1,611,570.

Form 990-PF, Page 2, Part II, Line 11
L-11 Stmt

Line 11b - Description of Investments Land, Buildings and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Parcel 330-111-01-00 (1/2 Interest)	50,619.	0.	50,619.
Parcel 585-090-45-00	98,000.	0.	98,000.
Parcel 585-090-44-00	146,000.	0.	146,000.
Value of leased-fee estate,585-090-45-00	802,340.	0.	802,340.
Total	1,096,959.	0.	1,096,959.

Supporting Statement of:

Form 990-PF, p2/Line 2(a)

Description	Amount
RBC Wealth Management acct 230	159,347.
RBC Wealth Management Acct 231	126,932.
RBC Wealth Management Acct 234	765,809.
RBC Wealth Management Acct 490	9,035.
Imperial Capital Bank CD	250,957.
Temecula Valley Bank CD	245,000.
Total	1,557,080.

Supporting Statement of:

Form 990-PF, p2/Line 2(b)

Description	Amount
RBC: Acct 230	252,844.
RBC: Acct 231	339,210.
RBC: Acct 234	170,660.
RBC: Acct 490	174,939.
La Jolla Bank CD	248,749.
Total	1,186,402.

Supporting Statement of:

Form 990-PF, p8/Part X, Line 1c

Description	Amount
Parcel 330-111-01-00 (1/2 interest)	49,626.
Parcel 585-090-45-00	98,000.
Parcel 585-090-44-00	146,000.
Value of Leased-fee estate 585-090-45-00	802,340.
Total	1,095,966.

Supporting Statement of:

Form 990-PF,p12/Line 3 Column (d)

Description	Amount
Imperial Capital Bank	6,305.
La Jolla Bank	1,749.
First Citizens Bank-Temecula	5,545.
Total	13,599.

Supporting Statement of:

Form 990-PF,p12/Line 4 Column (d)

Description	Amount
Dividend Income: K-1's	43.
Interest Income K-1's	61.
Interest Income Investments	97,583.
1099-OID	1,601.
Ordinary Dividends Investments	46,482.
Total	145,770.

THE RICE FAMILY FOUNDATION
ATTN: LISA WILSON

Accou*nt number:
7234

ASSET DETAIL

* *The Unrealized Gain/Loss may not reflect your investments' total return Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.*

Your Financial Consultant has elected to display Asset Detail with the following options.asset purchases (tax lots) consolidated.

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
CASH				$1,624.60	
PRIME MONEY MARKET FUND RBC SELECT CLASS	TKSXX	169,035.320	$1.000	$169,035.32	$763,987.79
TOTAL CASH AND MONEY MARKET				**$170,659.92**	

US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
BERKSHIRE HATHAWAY INC CL B	BRKB	37.000	$3,286.000	$121,582.00	$115,104.69	$6,477.31	
BOEING CO	BA	1,060.000	$54.130	$57,377.80	$58,542.21	-$1,164.41	$1,780.80
BUCYRUS INTERNATIONAL INC	BUCY	1,225.000	$56.370	$69,053.25	$72,195.99	-$3,142.74	$122.50
CATERPILLAR INC	CAT	875.000	$56.990	$49,866.25	$50,118.69	-$252.44	$1,470.00
COCA COLA CO	KO	2,030.000	$57.000	$115,710.00	$99,867.88	$15,842.12	$3,329.20
CORNING INC	GLW	3,030.000	$19.310	$58,509.30	$58,506.88	$2.42	$606.00
COSTCO WHOLESALE CORP-NEW	COST	1,765.000	$59.170	$104,435.05	$99,957.66	$4,477.39	$1,270.80
DOW CHEMICAL CO.	DOW	3,535.000	$27.630	$97,672.05	$88,535.12	$9,136.93	$2,121.00
ENERGY TRANSFER PARTNERS L P UNIT LTD PARTNERSHIP INT	ETP	2,110.000	$44.970	$94,886.70	$93,806.70	$1,080.00	$7,543.25
EXXON MOBIL CORP	XOM	1,100.000	$68.190	$75,009.00	$75,635.45	-$626.45	$1,848.00
FREEPORT MCMORAN COPPER & GOLD INC	FCX	725.000	$80.290	$58,210.25	$58,593.78	-$383.53	$435.00
GENERAL ELECTRIC CO	GE	3,140.000	$15.130	$47,508.20	$50,076.72	-$2,568.52	$1,256.00
ISHARES TR DOW JONES US OIL & GAS EXPL & PRODUCTION INDEX FD	IEO	460.000	$53.990	$24,835.40	$25,050.36	-$214.96	$121.90
ISHARES TRUST DOW JONES US TELECOMM SECTOR INDEX FUND	IYZ	5,030.000	$20.020	$100,700.60	$99,944.57	$756.03	$3,777.53

Sch A-1

INVESTMENT ACCESS ACCOUNT STATEMENT WITH RBC ADVISOR 2009 ANNUAL STATEMENT

Account number:
234

US EQUITIES
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
ISHARES TRUST DOW JONES US BASIC MATERIALS INDEX FUND	IYM	1,830.000	$59.910	$109,635.30		$100,735.80	$8,899.50	$2,611.41
ISHARES TRUST S&P GLOBAL ENERGY SECTOR INDEX FUND	IXC	1,360.000	$35.680	$48,524.80		$50,098.44	-$1,573.64	$1,018.64
ISHARES TRUST S&P GLOBAL FINLS SECTOR INDEX FUND	IXG	1,030.000	$45.409	$46,771.48		$49,988.78	-$3,217.30	$792.07
ISHARES TRUST S&P GLOBAL INFO TECHNOLOGY SECTOR INDEX FUND	IXN	1,900.000	$56.010	$106,419.19		$100,477.60	$5,941.59	$533.90
MCDONALDS CORP	MCD	1,570.000	$62.440	$98,030.80		$100,179.35	-$2,148.55	$3,454.00
MERCK & CO INC NEW	MRK	1,575.000	$36.540	$57,550.50		$58,604.96	-$1,054.46	$2,394.00
NSTAR	NST	1,490.000	$36.800	$54,832.00		$50,101.60	$4,730.40	$2,384.00
PHILIP MORRIS INTERNATIONAL INC	PM	2,315.000	$48.190	$111,559.85		$100,417.00	$11,142.85	$5,370.80
PINNACLE WEST CAPITAL CORP	PNW	2,640.000	$36.580	$96,571.20		$99,968.22	-$3,397.02	$5,544.00
RYDEX ETF TRUST S&P 500 EQUAL WEIGHTED INDEX	RSP	2,645.000	$39.530	$104,556.85		$100,007.45	$4,549.40	$1,330.44
SELECT SECTOR SPDR FUND SHS BEN INT HEALTH CARE	XLV	3,170.000	$31.070	$98,491.90		$100,107.33	-$1,615.43	$2,174.62
SEMPRA ENERGY	SRE	2,000.000	$55.980	$111,960.00		$77,588.00	$34,372.00	$3,120.00
SPDR SER TR S&P OIL & GAS EQUIPMENT & SERVICES ETF	XES	830.000	$28.480	$23,638.40		$25,048.78	-$1,410.38	$194.22
TOCQUEVILLE GOLD FUND	TGLDX	3,305.259	$56.790	$187,705.66		$176,991.47	$10,714.19	
					Purchase	*$150,071.35*	*$3,602.39*	
					Reinvest	*$26,920.12*	*$7,111.79*	
VERIZON COMMUNICATIONS	VZ	1,760.000	$33.130	$58,308.80		$58,462.80	-$154.00	$3,344.00
WAL-MART STORES INC	WMT	2,030.000	$53.450	$108,503.50		$100,215.85	$8,287.65	$2,212.70
WELLPOINT INC	WLP	975.000	$58.290	$56,832.75		$58,665.26	-$1,832.51	
TOTAL US EQUITIES				**$2,555,248.83**		**$2,453,595.39**	**$101,653.44**	**$62,160.78**

THE RICE FAMILY FOUNDATION
ATTN: LISA WILSON

Account number:
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TAXABLE FIXED INCOME

DESCRIPTION		SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
GENERAL ELEC CAP CORP		36962GX82	75,000.000	$101.705	$76,279.13	$75,103.15	$1,175.98	$4,290.00
MEDIUM TERM NOTE	CPN: 5.720%				$1,894.75			
PAR CALL 01/22/2010	DUE 08/22/2011							
MOODY AA2 S&P AA+	DTD: 08/22/06							
	BOOK ENTRY ONLY							
TOTAL TAXABLE FIXED INCOME			**75,000.000**		**$76,279.13**	**$75,103.15**	**$1,175.98**	**$4,290.00**
ESTIMATED ACCRUED BOND INTEREST					**$1,894.75**			

MIXED ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE		NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
LEUTHOLD FDS INC	LAALX	6,625.111	$9.790	$64,859.84		$70,455.63	-$5,595.79	$795.01
ASSET ALLOCATIO FD					*Purchase*	*$58,276.47*	*-$5,302.78*	
					Reinvest	*$12,179.16*	*-$293.01*	
TOTAL MIXED ASSETS				**$64,859.84**		**$70,455.63**	**-$5,595.79**	**$795.01**

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *
SIMON PROPERTY GROUP INC	SPG	650.000	$79.800	$51,870.00	$50,301.23	$1,568.77
TOTAL OTHER ASSETS				**$51,870.00**	**$50,301.23**	**$1,568.77**

THE RICE FAMILY FOUNDATION
ATTN: LISA WILSON

Account number:
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ASSET DETAIL

* *The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvestedAdditionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.*

Your Financial Consultant has elected to display Asset Detail with the following options.asset purchases (tax lots) consolidated

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	CURRENT MARKET VALUE	PREVIOUS YEAR-END STATEMENT MARKET VALUE
PRIME MONEY MARKET FUND RBC SELECT CLASS	TKSXX	339,210.200	$1.000	$339,210.20	$126,930.81
TOTAL CASH AND MONEY MARKET				**$339,210.20**	

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
GENERAL ELECTRIC CAPITAL CORP PUBLIC INCOME NTES 6.625% DUE 6/28/2032 PINES BOOK ENTRY	GEA	1,500.000	$25.040	$37,560.00	$37,499.00	$61.00	$2,484.00
ISHARES IBOXX $ HIGH YIELD CORPORATE BOND FUND	HYG	590.000	$87.840	$51,825.60	$49,245.18	$2,580.42	$4,913.52
ISHARES TRUST ISHARES BARCLAYS TREAS INFLATION PROTECTED SECS FD	TIP	910.000	$103.900	$94,549.00	$99,817.81	-$5,268.81	$3,678.22
MORGAN STANLEY MOODY A2 S&P A	61746SBC2 CPN. 4.000% DUE 01/15/2010 DTD: 11/12/04 BOOK ENTRY ONLY	75,000.000	$100.073	$75,055.05 $1,383.33	$75,054.31	$0.74	$3,000.00
BANK AMERICA CORP SUB NOTE MOODY A3 S&P A-	060505AD6 CPN. 7.800% DUE 02/15/2010 DTD: 02/14/00 BOOK ENTRY ONLY	50,000.000	$100.714	$50,357.00 $1,473.34	$50,055.07	$301.93	$3,900.00
AMERICAN EXPRESS CR CORP MEDIUM TERM NTS MOODY A2 S&P BBB+	0258M0CV9 CPN· 1.634% DUE 05/27/2010 DTD· 05/27/08 BOOK ENTRY ONLY	50,000.000	$100.415	$50,207.30 $9.08	$47,982.00	$2,225.30	$817.00

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TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
HOME DEPOT INC MOODY BAA1 S&P BBB+	437076AM4 CPN: 4.625% DUE 08/15/2010 DTD: 08/11/05 BOOK ENTRY ONLY	50,000.000	$102.386	$51,193.15 $873.61	$49,596.50	$1,596.65	$2,312.50
DEERE JOHN CAP CORP MEDIUM TERM NTS MOODY A2 S&P A	24422EQT9 CPN: .770% DUE 08/19/2010 DTD: 08/22/08 BOOK ENTRY ONLY	50,000.000	$100.257	$50,128.50 $44.94	$46,000.00	$4,128.50	$385.00
HSBC FIN CORP MOODY A3 S&P A	40429CCX8 CPN: 5.250% DUE 01/14/2011 DTD: 11/29/05 BOOK ENTRY ONLY	150,000.000	$103.555	$155,333.25 $3,653.13	$147,813.00	$7,520.25	$7,875.00
ALCOA INC NOTES MOODY BAA3 S&P BBB-	013817AD3 CPN: 6.500% DUE 06/01/2011 DTD. 05/23/01 BOOK ENTRY ONLY	41,000.000	$105.446	$43,232.86 $222.08	$42,187.62	$1,045.24	$2,665.00
J P MORGAN CHASE & CO GLOBAL SUB NOTE MOODY A1 S&P A	46625HAT7 CPN. 5.750% DUE 01/02/2013 DTD. 11/25/02 BOOK ENTRY ONLY	150,000.000	$106.722	$160,083.15 $4,288.55	$149,958.00	$10,125.15	$8,625.00
GENERAL ELEC CAP CORP MEDIUM TERM NOTES MOODY AA2 S&P AA+	36962G3T9 CPN. 4.800% DUE 05/01/2013 DTD: 04/21/08 BOOK ENTRY ONLY	25,000.000	$104.607	$26,151.78 $200.00	$24,975.00	$1,176.78	$1,200.00
ALCOA INC NOTES MOODY BAA3 S&P BBB-	013817AR2 CPN: 6.000% DUE 07/15/2013 DTD 07/15/08 BOOK ENTRY ONLY	40,000.000	$105.427	$42,170.72 $1,106.67	$39,200.00	$2,970.72	$2,400.00
HOME DEPOT INC SR NT MOODY BAA1 S&P BBB+	437076AR3 CPN 5.250% DUE 12/16/2013 DTD: 12/19/06 BOOK ENTRY ONLY	25,000.000	$107.151	$26,787.83 $54.69	$21,158.45	$5,629.38	$1,312.50

THE RICE FAMILY FOUNDATION
ATTN: LISA WILSON

Account number:
'231
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TAXABLE FIXED INCOME
(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
BANK AMER FDG CORP SR NT MOODY A2 S&P A	06051GDY2 CPN. 7.375% DUE 05/15/2014 DTD· 05/13/09 BOOK ENTRY ONLY	35,000.000	$113.578	$39,752.48 $329.83	$36,443.35	$3,309.13	$2,581.25
GENWORTH FINANCIAL INC NOTE MOODY BAA3 S&P BBB	37247DAE6 CPN: 5.750% DUE 06/15/2014 DTD. 06/15/04 BOOK ENTRY ONLY	150,000.000	$95.731	$143,596.50 $383.34	$149,859.00	-$6,262.50	$8,625.00
FEDERAL HOME LOAN BANK STEP CPN PAR CALL 01/15/2010 MOODY AAA S&P AAA	3133XUYV9 CPN: 2.000% DUE 10/15/2014 DTD: 10/15/09 FC: 04/15/2010 BOOK ENTRY ONLY	100,000.000	$100.063	$100,063.00 $422.22	$99,900.00	$163.00	$2,000.00
NEW YORK TIMES CO MOODY B1 S&P B	650111AE7 CPN: 5.000% DUE 03/15/2015 DTD: 03/17/05 BOOK ENTRY ONLY	35,000.000	$89.814	$31,435.08 $515.28	$32,649.05	-$1,213.97	$1,750.00
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BK NTS MOODY AA3 S&P AA-	92976GAE1 CPN: 5.600% DUE 03/15/2016 DTD: 03/09/06 BOOK ENTRY ONLY	125,000.000	$102.421	$128,026.13 $2,061.11	$123,413.75	$4,612.38	$7,000.00
AMERICAN EXPRESS CO NT MOODY A3 S&P BBB+	025816AY5 CPN: 7.000% DUE 03/19/2018 DTD: 03/19/08 BOOK ENTRY ONLY	50,000.000	$110.127	$55,063.50 $991.67	$51,459.04	$3,604.46	$3,500.00
FNMA GTD PASS THRU POOL#828378 DELAY DAYS 24 FACTOR = .66939727 CURRENT BALANCE = 127,185 MOODY N/R S&P N/R	31407EJX2 CPN. 6.000% DUE 06/01/2030 DTD: 06/01/05 BOOK ENTRY ONLY	190,000.000	$107.063	$136,168.59 $635.93	$126,708.54	$9,460.05	$7,631.13

TAXABLE FIXED INCOME
(continued)

DESCRIPTION		SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUAL INCOME
DEUTSCHE MTG SECS INC MTG LN		251563CG5	75,000.000	$92.672	$19,618.45	$19,634.96	-$16.51	$1,164.34
TRUST SERIES 2004-1 CL I-A-1	CPN: 5.500%				$97.03			
DELAY DAYS 24	DUE 09/25/2033							
FACTOR = .28226359	DTD: 01/28/04							
CURRENT BALANCE = 21,170	BOOK ENTRY ONLY							
MOODY AAA S&P AAA								
BANC AMER MTG SECS INC		05949AEV8	31,000.000	$78.462	$24,323.22	$28,985.00	-$4,661.78	$1,705.00
SERIES 2004-4 CLASS 2-A-2	CPN: 5.500%				$142.08			
DELAY DAYS 24	DUE 05/25/2034							
FACTOR = 1.00000000	DTD: 04/28/04							
CURRENT BALANCE = 31,000	BOOK ENTRY ONLY							
MOODY N/R S&P AAA								
TOTAL TAXABLE FIXED INCOME			**1,500,000.000**		**$1,592,682.14**	**$1,549,594.63**	**$43,087.51**	**$81,524.46**
ESTIMATED ACCRUED BOND INTEREST					**$18,887.91**			

33-0592384

Realized Gain-Loss

For 2009

fixed income account 7231

Securities

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term								
100,000	Colorado Cap Bk Castle Rock Colo C/D Fdic Ins To Limits CUSIP 19633RFC4	09/16/09	$100 00	$100,000 00	10/05/09	$100 00	$100,000 00	$0 00
100,000	Community South Bk Parsons Tenn C/D Fdic Ins To Limits CUSIP 20404UFF5	10/28/09	$100 00	$100,000 00	12/22/09	$100.00	$100,000 00	$0 00
50,000	Countrywide Home Lns Inc Medium Term Nts /A ORIGINAL COST 50,182 PREMIUM AMORTIZED 182	05/29/09	$100 00	$50,000 00	09/15/09	$100 00	$50,000 00	$0 00
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 911 ORIGINAL COST 844 FACTORS. PURCHASE 0 0121 SALE 0 0121 TOTAL LTD G/L 66 03735 CUSIP 251563CG5	06/16/09	$1 13	$844 82	07/25/09	$100.00	$910 86	$66 04
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 950 ORIGINAL COST 881 FACTORS PURCHASE 0 0126 SALE.0 0126 TOTAL LTD G/L 68 89312 CUSIP 251563CG5	06/16/09	$1.18	$881 35	08/25/09	$100 00	$950 25	$68 89
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 45 ORIGINAL COST 41 FACTORS PURCHASE 0 0005 SALE 0 0005 TOTAL LTD G/L 3 25162 CUSIP 251563CG5	06/16/09	$0 06	$41 59	09/25/09	$100 00	$44 85	$3 25
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 797 ORIGINAL COST 739 FACTORS PURCHASE 0 0106 SALE 0 0106 TOTAL LTD G/L 57 81028 CUSIP 251563CG5	06/16/09	$0 99	$739 56	10/25/09	$100 00	$797 38	$57 81
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 43 ORIGINAL COST 40 FACTORS. PURCHASE 0 0005 SALE 0 0005 TOTAL LTD G/L.3 14627 CUSIP. 251563CG5	06/16/09	$0 05	$40 25	11/25/09	$100 00	$43.40	$3.15
0	Deutsche Mtg Secs Inc Mtg Ln Trust Series 2004-1 Cl I-A-1 /AAA ADJUSTED QUANTITY 46	06/16/09	$0 06	$42 38	12/25/09	$100 00	$45 70	$3 31

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	ORIGINAL COST·42 FACTORS PURCHASE 0 0006 SALE 0 0006 TOTAL LTD G/L 3 31348 CUSIP 251563CG5							
100,000	First Bk Del Wilmington C/D Fdic Ins To Limits CUSIP 319307AB0	05/08/09	$100 00	$100,000 00	06/15/09	$100 00	$100,000.00	$0 00
99,000	Ge Money Bk Salt Lake City Utah C/D Fdic Ins To Limits CUSIP 36159SCU3	11/04/08	$100.00	$99,000.00	02/06/09	$100 00	$99,000 00	$0 00
50,000	General Electric Capital Corp Medium Term Nts /AA+ CUSIP: 36962GR48	10/01/08	$97.45	$48,725 00	09/01/09	$100.00	$50,000 00	$1,275 00
100,000	Grand Bk N A Hamilton N J C/D Fdic Ins To Limits CUSIP 38523ACP8	07/09/09	$99 95	$99,950 00	09/08/09	$100.00	$100,000 00	$50 00
100,000	Homebanc Natl Assn Lake Mary F C/D Fdic Ins To Limits CUSIP 43738AAR7	11/20/09	$100.00	$100,000 00	12/23/09	$100.00	$100,000 00	$0 00
50,000	Household Finance Corp /AA- CUSIP 441812GE8	11/17/08	$99 80	$49,901.00	02/02/09	$100 00	$50,000.00	$99 00
50,000	Keysource Coml Bk Durham N C C/D Fdic Ins To Limits CUSIP· 492926BA7	07/23/09	$99 98	$49,987 50	08/28/09	$100 00	$50,000 00	$12 50
50,000	Kraft Foods Inc /BBB+ CUSIP 50075NAM6	11/14/08	$99.49	$49,745 00	11/12/09	$100 00	$50,000 00	$255 00
99,000	Republic Bk & Tr Louisville Ky C/D Fdic Ins To Limits CUSIP· 760296DD2	11/12/08	$100 00	$99,000 00	02/20/09	$100 00	$99,000 00	$0 00
50,000	Sbc Communications Inc Global Nt /A CUSIP 78387GAN3	10/01/08	$99 38	$49,687 50	09/15/09	$100 00	$50,000 00	$312 50
99,000	Texas Cap Bk N A Dallas Tex C/D Fdic Ins To Limits CUSIP 88224PDS5	11/04/08	$100 00	$99,000 00	02/12/09	$100 00	$99,000 00	$0 00
125,000	Texas Cap Bk N A Dallas Tex C/D Fdic Ins To Limits CUSIP 88224PEU9	05/13/09	$100.00	$125,000.00	06/22/09	$100 00	$125,000 00	$0 00
75,000	Webbank Salt Lake City Utah C/D Fdic Ins To Limits CUSIP 947547DU6	07/30/09	$100 00	$75,000.00	11/06/09	$100 00	$75,000 00	$0 00
	Short Term Total			**$1,297,585.95**			**$1,299,792.44**	**$2,206.45**
Long Term								
300	Bank Of America Corp 6 5% Pfd Ser Due 10/15/32 /A- SYMBOL IKJ	04/24/06	$24 89	$7,467 00	07/30/09	$21 80	$6,541 38	-$925 62
1,200	Bank Of America Corp 6 5% Pfd Ser Due 10/15/32 /A- SYMBOL IKJ	04/24/06	$24 90	$29,880.00	07/30/09	$21.80	$26,165 52	-$3,714 48
1,475	First Tr Strategic High Income Fd III SYMBOL FHO	04/13/07	$20.20	$29,795 00	07/29/09	$3 46	$5,103 85	-$24,691 15
1,025	First Tr Strategic High Income Fd III SYMBOL FHO	04/16/07	$20 20	$20,705 00	07/29/09	$3 46	$3,546 75	-$17,158 25
20 679	First Tr Strategic High Income Fd III SYMBOL FHO	06/15/07	$19 15	$396 00	07/29/09	$3 46	$71.55	-$324 45
21 321	First Tr Strategic High Income Fd III SYMBOL FHO	07/16/07	$17.96	$382 82	07/29/09	$3 46	$73 78	-$309 05
0 917	First Tr Strategic High Income Fd III SYMBOL. FHO	07/16/07	$17 96	$16 46	07/29/09	$3 46	$3.17	-$13.29
0	Fnma Gtd Pass Thru Pool#828378 ADJUSTED QUANTITY 240 ORIGINAL COST 239 FACTORS:	05/03/06	$0 13	$239.45	01/26/09	$100 00	$240 36	$0 90

PURCHASE 0 0012
SALE 0.0012
TOTAL LTD
G/L 0 90185
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $246 51 02/25/09 $100.00 $247.44 $0 93
Pool#828378
ADJUSTED
QUANTITY 247
ORIGINAL
+ COST 246
FACTORS
PURCHASE.0 0013
SALE.0 0013
TOTAL LTD
G/L.0 9271
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $247 77 03/25/09 $100.00 $248 71 $0 93
Pool#828378
ADJUSTED
QUANTITY 249
ORIGINAL
+ COST 247
FACTORS
PURCHASE:0 0013
SALE 0 0013
TOTAL LTD
G/L 0 93266
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $241.55 04/27/09 $100.00 $242 46 $0 91
Pool#828378
ADJUSTED
QUANTITY 242
ORIGINAL
+ COST 241
FACTORS
PURCHASE 0 0012
SALE 0.0012
TOTAL LTD
G/L 0 90833
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $242.25 05/26/09 $100 00 $243 17 $0 91
Pool#828378
ADJUSTED
QUANTITY 243
ORIGINAL
+ COST 242
FACTORS
PURCHASE.0.0012
SALE 0.0012
TOTAL LTD
G/L 0 91229
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0 13 $243 55 06/25/09 $100 00 $244 47 $0 92
Pool#828378
ADJUSTED
QUANTITY 244
ORIGINAL
+ COST 243
FACTORS
PURCHASE 0 0012
SALE 0.0012
TOTAL LTD
G/L 0.91756
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0 13 $252 98 07/27/09 $100 00 $253 94 $0 95
Pool#828378
ADJUSTED
QUANTITY 254
ORIGINAL
+ COST 252
FACTORS
PURCHASE 0 0013
SALE 0 0013
TOTAL LTD
G/L.0.95158
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $248 91 08/25/09 $100.00 $249 85 $0 94
Pool#828378
ADJUSTED
QUANTITY 250
ORIGINAL
+ COST 248
FACTORS
PURCHASE 0.0013
SALE 0 0013
TOTAL LTD
G/L 0 93694
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0.13 $255 66 09/25/09 $100 00 $256 63 $0 96
Pool#828378
ADJUSTED
QUANTITY 257
ORIGINAL
+ COST 255
FACTORS
PURCHASE:0.0013
SALE 0 0013
TOTAL LTD
G/L 0 96316
CUSIP 31407EJX2

0 Fnma Gtd Pass Thru 05/03/06 $0 15 $281 50 10/26/09 $100.00 $282 56 $1 06
Pool#828378
ADJUSTED
QUANTITY 283
ORIGINAL
+ COST 281
FACTORS
PURCHASE 0.0014
SALE 0 0014
TOTAL LTD
G/L 1 0592
CUSIP 31407EJX2

†	0	Fnma Gtd Pass Thru Pool#828378 ADJUSTED QUANTITY:260 ORIGINAL COST:258 FACTORS: PURCHASE 0 0013 SALE·0 0013 TOTAL LTD G/L.0.97456 CUSIP: 31407EJX2	05/03/06	$0.14	$258.69	11/25/09	$100.00	$259 67	$0 97
†	0	Fnma Gtd Pass Thru Pool#828378 ADJUSTED QUANTITY 280 ORIGINAL COST:278 FACTORS PURCHASE 0 0014 SALE 0 0014 TOTAL LTD G/L 1 04887 CUSIP· 31407EJX2	05/03/06	$0.15	$278.49	12/28/09	$100 00	$279.54	$1.05
	300	Hsbc Finance Corp 6 875% Senior Nts Due 1/30/33 /A SYMBOL: HTB	04/24/06	$24.80	$7,440.00	07/30/09	$22 21	$6,661.64	-$778 36
	1,200	Hsbc Finance Corp 6 875% Senior Nts Due 1/30/33 /A SYMBOL: HTB	04/24/06	$24.85	$29,820.00	07/30/09	$22.21	$26,646.54	-$3,173 46
	1,500	Merrill Lynch Preferred Cap Tr Iii-7% Tr Originated Pfd Secs /BB SYMBOL. MER-D	04/25/06	$25.19	$37,785.00	07/30/09	$18.43	$27,647 28	-$10,137 72
	1,500	Prudential Plc 6.75% Perp Sub Cap Secs Exch@issuer Opt /A- SYMBOL. PUKPR	04/26/06	$24.84	$37,260.00	07/30/09	$21 62	$32,429.16	-$4,830 84
		Long Term Total			**$203,984.59**			**$137,939.42**	**-$66,045.24**

Realized Gains or Losses

All Terms Total	**$1,501,570.54**	**$1,437,731.86**	**-$63,838.79**

Realized Gains or Losses	
Short Term	$2,206 45
Long Term	-$66,045 24
Unknown Term	$0 00
Short Term includes assets held 12 months or less Long Term includes assets held longer than 12 months + 1 day.	

This report is provided as a service from your Financial Consultant. It is not, and should not be construed as, a substitute for your RBC Wealth Management account statement and confirmations or your Form 1099 This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement Security valuations have been obtained from reliable sources but do not represent guaranteed bids, offers or markets for the securities represented Gain/loss information is presented only for selected securities and includes only the securities for which we have original cost information If you know the original cost of securities not purchased at RBC Wealth Management but included in your RBC Wealth Management statement, please contact your Financial Consultant

† = Return of Principal

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→ Realized Gain-Loss

33-0592384

For 2009

equity account : '234

Securities

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term								
99,000	Alliance Natl Bk Dalton Ga C/D Fdic Ins To Limits CUSIP 01864TBH1	11/20/08	$100 00	$99,000 00	03/04/09	$100.00	$99,000 00	$0 00
1,265	Alliant Techsystems Inc SYMBOL ATK	07/28/09	$79.03	$99,975 27	08/17/09	$75 83	$95,922 48	-$4,052.79
3,025	Altria Group Inc SYMBOL MO	01/16/09	$16 55	$50,063.75	06/11/09	$16 90	$51,123 18	$1,059.43
99,000	Americaunited Bk Tr C/D Fdic Ins To Limits CUSIP 03062VCR9	03/05/09	$100 00	$99,000 00	06/22/09	$100 00	$99,000 00	$0 00
99,000	Banco Bilbao Vizcaya P R C/D Fdic Ins To Limits CUSIP 059457QR5	03/04/09	$100 00	$99,000 00	04/08/09	$100 00	$99,000.00	$0 00
99,000	Bank Baroda New York N Y C/D Fdic Ins To Limits CUSIP 060623LJ2	03/05/09	$100 00	$99,000.00	06/11/09	$100 00	$99,000 00	$0 00
99,000	Bank Baroda New York N Y C/D Fdic Ins To Limits CUSIP· 060623LJ2	03/05/09	$100 00	$99,000.00	06/11/09	$100 00	$99,000 00	$0 00
1,800	Bank New York Mellon Corp SYMBOL BK	05/14/09	$27 69	$49,840 40	06/17/09	$27 98	$50,362 70	$522 30
4,455	Bank Of America Corp SYMBOL BAC	05/14/09	$11 30	$50,319.23	07/08/09	$11 49	$51,186.63	$867 40
5,680	Bank Of America Corp SYMBOL BAC	09/18/09	$17 60	$99,968 00	10/02/09	$15.77	$89,571 29	-$10,396 71
2,840	Bank Of America Corp SYMBOL BAC	10/06/09	$17 20	$48,847 56	10/21/09	$16.82	$47,773 25	-$1,074 31
2,840	Bank Of America Corp SYMBOL BAC	10/06/09	$17 20	$48,847 56	10/30/09	$15 00	$42,598 90	-$6,248 66
99,000	Bnb Bk Natl Assn Ft Lee N J C/D Fdic Ins To Limits CUSIP 05570NBU1	05/27/09	$100 00	$99,000 00	06/30/09	$100 00	$99,000 00	$0 00
4,205	Boston Scientific Corp SYMBOL BSX	08/06/09	$11 25	$47,291 53	10/01/09	$10 16	$42,721 70	-$4,569 83
99,000	Cascade Bk Everett Wash C/D Fdic Ins To Limits CUSIP 147352DR6	11/12/08	$100 00	$99,000 00	01/21/09	$100 00	$99,000 00	$0 00
1,320	Caterpillar Inc SYMBOL CAT	01/15/09	$38 17	$50,388 40	06/15/09	$36 61	$48,329 45	-$2,058 95
1,870	Caterpillar Inc SYMBOL. CAT	09/18/09	$53 69	$100,395 63	10/02/09	$47 83	$89,439.80	-$10,955 83
3,000	Chesapeake Energy Corp SYMBOL CHK	06/12/09	$23 97	$71,910 00	06/22/09	$19 91	$59,728 46	-$12,181 54
100,000	Citigroup Inc /NR CUSIP 172967DW8	05/08/09	$96 52	$96,515 00	12/28/09	$100 00	$100,000.00	$3,485 00
7,465	Conseco Inc New SYMBOL CNO	10/15/09	$6 71	$50,090 15	11/02/09	$5 00	$37,324.04	-$12,766 11
99,000	Crescent Bk Myrtle Beach S C C/D Fdic Ins To Limits CUSIP. 22564QCR3	11/12/08	$100 00	$99,000.00	01/21/09	$100 00	$99,000 00	$0 00
3,535	Dow Chemical Co. SYMBOL DOW	09/14/09	$25.09	$88,689 62	10/02/09	$23 44	$82,864.04	-$5,825 58
1,100	Exxon Mobil Corp SYMBOL. XOM	10/20/08	$72 20	$79,420 00	02/17/09	$71 53	$78,683.54	-$736.46
1,893.689	Federated Equity Fds Prudent Bear Fd Cl A Shs SYMBOL BEARX	12/08/08	$7 10	$13,445 19	02/06/09	$6 84	$12,952 83	-$492 36
3,744 955	Federated Equity Fds Prudent Bear Fd Cl A Shs SYMBOL BEARX	12/08/08	$7 10	$26,589 18	02/06/09	$6 84	$25,615.49	-$973 69
99,000	First Amern Bk Il C/D Fdic Ins To Limits CUSIP 31849SCU0	09/05/08	$100 00	$99,000 00	01/12/09	$100 00	$99,000.00	$0 00
99,000	G M A C Bk Midvale Utah C/D Fdic Ins To Limits CUSIP 36185AZR2	11/20/08	$100.00	$99,000 00	05/28/09	$100 00	$99,000.00	$0 00

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75,000	General Electric Capital Corp Medium Term Notes /AA+ ORIGINAL COST.75,475 50 PREMIUM AMORTIZED 475 50	07/14/09	$100.00	$75,000 00	09/15/09	$100 00	$75,000.00	$0 00
1,840	Gilead Sciences Inc SYMBOL GILD	07/28/09	$49.25	$90,628 27	08/06/09	$46.03	$84,697.57	-$5,930 70
125,000	Grand Bk N A Hamilton N J C/D Fdic Ins To Limits CUSIP· 38523ACP8	07/09/09	$99 95	$124,937.50	09/08/09	$100.00	$125,000 00	$62 50
99,000	Greenbank Greeneville Tenn C/D Fdic Ins To Limits CUSIP 39356QDY3	09/10/08	$100.00	$99,000 00	02/17/09	$100 00	$99,000.00	$0 00
125,000	Homebanc Natl Assn Lake Mary Fla C/D Fdic Ins To Limits CUSIP· 43738AAB2	06/23/09	$100.00	$125,000 00	07/24/09	$100 00	$125,000.00	$0.00
1,830	Ishares Trust Dow Jones Us Basic Materials Index Fund SYMBOL IYM	09/14/09	$54 56	$99,838 35	10/01/09	$52 79	$96,605 21	-$3,233 14
725	Ishares Trust-Dow Jones Us Transportation Average Index SYMBOL. IYT	10/22/09	$69 60	$50,457 84	10/30/09	$64 99	$47,116 53	-$3,341 31
99,000	Israel Disc Bk New York N Y C/D Fdic Ins To Limits CUSIP 465076EZ6	03/04/09	$100.00	$99,000.00	04/13/09	$100 00	$99,000 00	$0 00
1,360	L-3 Communications Holdings Inc SYMBOL LLL	07/28/09	$73 40	$99,823 30	10/13/09	$75 00	$101,997 37	$2,174 07
540	Market Vectors Etf Trust Gold Miners Etf SYMBOL GDX	10/22/09	$46 64	$25,185.11	10/30/09	$40.97	$22,123 23	-$3,061 88
99,000	New York Cmnty Bk Westbury New York C/D Fdic Ins To Limits CUSIP 649447PM5	03/04/09	$100 00	$99,000 00	05/11/09	$100.00	$99,000 00	$0 00
2,275	Newfield Exploration Co SYMBOL NFX	09/14/09	$43 94	$99,972 13	10/28/09	$42 98	$97,775 98	-$2,196 15
750	Potash Corp Of Saskatchewan Inc Canadian Listed SYMBOL POT	01/15/09	$67 29	$50,465 48	03/05/09	$70.12	$52,593 65	$2,128 17
5,000	Powershares Db Commodity Index Tracking Fund Unit Ben Int SYMBOL. DBC	03/30/09	$19 98	$99,888 00	06/22/09	$22.61	$113,047 09	$13,159 09 * * * Deduct K-1 - SOLD
1,325	Powershares Qqq Trust Series 1 SYMBOL. QQQQ	07/20/09	$37 76	$50,031 47	09/25/09	$41 77	$55,343 82	$5,312 35
1,325	Powershares Qqq Trust Series 1 SYMBOL QQQQ	10/01/09	$41 57	$55,079 99	10/05/09	$41 22	$54,615 36	-$464 63
1,280	Praxair Inc SYMBOL: PX	08/06/09	$78 03	$99,874 32	10/28/09	$78 98	$101,089 80	$1,215 48
1,465	Qualcomm Inc SYMBOL QCOM	01/15/09	$34 23	$50,144 61	07/10/09	$43.00	$62,998.83	$12,854 22
99,000	Republic Bk & Tr Louisville Ky C/D Fdic Ins To Limits CUSIP 760296DD2	11/12/08	$100 00	$99,000.00	02/20/09	$100 00	$99,000.00	$0 00
1,570	Rydex Etf Trust S&P 500 Equal Weighted Index SYMBOL· RSP	05/07/09	$31.88	$50,052 60	06/16/09	$30 99	$48,653 04	-$1,399 56
5,500	Saic Inc SYMBOL SAI	06/19/09	$18.13	$99,713 93	11/17/09	$18.30	$100,624 41	$910 48
765	Spdr Index Shs Fds S&P China Etf SYMBOL GXC	07/20/09	$65 47	$50,084 55	08/17/09	$62 97	$48,175 26	-$1,909 29
1,575	Spdr Ser Tr S&P Metals & Mining Etf SYMBOL. XME	10/22/09	$47 41	$74,669 49	10/30/09	$41 91	$66,006 55	-$8,662 94
1,130	State Street Corp SYMBOL STT	05/20/09	$44 39	$50,160 63	07/07/09	$44 99	$50,837 39	$676 76
2,110	State Street Corp SYMBOL: STT	07/28/09	$47 40	$100,005 38	10/02/09	$49 63	$104,726.25	$4,720 87
2,110	State Street Corp SYMBOL. STT	10/06/09	$52.72	$111,235 82	10/20/09	$49 96	$105,423 34	-$5,812 48
99,000	Tidelands Bk Mt Pleasant S C C/D Fdic Ins To Limits CUSIP 886375CG7	11/19/08	$100.00	$99,000 00	04/28/09	$100 00	$99,000 00	$0 00
1,700	Verizon Communications SYMBOL: VZ	01/15/09	$29 65	$50,403 60	07/08/09	$28 54	$48,517 55	-$1,886 05
2,000	Wells Fargo & Co	05/14/09	$24 93	$49,865 50	07/08/09	$22 16	$44,311 46	-$5,554 04

	SYMBOL: WFC							
99,000	Wilmington Tr Co Del C/D Fdic Ins To Limits CUSIP 971804FX2	03/05/09	$100.00	$99,000 00	06/11/09	$100 00	$99,000 00	$0 00
99,000	Wilmington Tr Co Del C/D Fdic Ins To Limits CUSIP 971804FX2	03/06/09	$100 00	$99,000 00	06/11/09	$100.00	$99,000 00	$0.00
99,000	Zions First Natl Bk Utah C/D Fdic Ins To Limits CUSIP 98970WF20	11/19/08	$100 00	$99,000.00	05/26/09	$100 00	$99,000 00	$0 00
	Short Term Total			**$4,687,114.34**			**$4,620,477.47**	**-$66,636.87**

+13,159.09 = $(79,796.02)

Long Term

6,700	Blackrock Real Asset Equity Tr SYMBOL BCF	09/26/06	$15 00	$100,500 00	06/17/09	$9 19	$61,583 41	-$38,916 59
29,851	Federated Equity Fds Prudent Bear Fd Cl A Shs SYMBOL· BEARX	01/11/08	$6.70	$200,001 70	02/06/09	$6 84	$204,180 84	$4,179 14
4,074 072	Guinness Atkinson Fds Alternative Energy Fd SYMBOL. GAAEX	04/13/07	$13 63	$55,529 60	08/18/09	$6 06	$24,688 88	-$30,840 72
2,532	Guinness Atkinson Fds Alternative Energy Fd SYMBOL GAAEX	07/23/07	$15 80	$40,005.60	08/18/09	$6 06	$15,343 92	-$24,661 68
1,806	Guinness Atkinson Fds Alternative Energy Fd SYMBOL GAAEX	10/30/07	$16 62	$30,015 72	08/18/09	$6 06	$10,944 36	-$19,071 36
13,160	Leuthold Fds Inc Asset Allocatio Fd SYMBOL LAALX	02/16/07	$10 77	$141,733 20	07/10/09	$7 74	$101,858 40	-$39,874 80
1,255	Spdr Ser Tr S&P Biotech Etf SYMBOL XBI	07/30/08	$65 87	$82,663.75	08/17/09	$51.91	$65,145 37	-$17,518 38
257 124	Spectra Energy Corp SYMBOL. SE	04/25/06	$24 42	$6,280.00	07/08/09	$15 89	$4,085 60	-$2,194 40
84 876	Spectra Energy Corp SYMBOL. SE	07/06/06	$24 39	$2,070 16	07/08/09	$15 89	$1,348 64	-$721 52
3,682	Thornburg Intl Value Fund Cl I SYMBOL TGVIX	04/24/06	$27 16	$100,003 12	12/18/09	$24 66	$90,798.12	-$9,205 00
805	Thornburg Intl Value Fund Cl I SYMBOL. TGVIX	04/13/07	$31 07	$25,011.35	12/18/09	$24 66	$19,851 30	-$5,160.05
	Long Term Total			**$783,814.20**			**$599,828.84**	**-$183,985.36**

Realized Gains or Losses

	All Terms Total	**$5,470,928.54**	**$5,220,306.31**	**-$250,622.23**
Deduct K-1 ⇒	Totals	5,371,040.54	5,107,259.22	-263,781.68

Realized Gains or Losses	
Short Term	-$66,636.87
Long Term	-$183,985 36
Unknown Term	$0.00
Short Term includes assets held 12 months or less Long Term includes assets held longer than 12 months + 1 day	

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THE RICE FAMILY FOUNDATION

33-0592384

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RECIPIENT'S ACCOUNT NUMBER
'234

1099-DIV (cont) **RBC Capital Markets Corporation - Dividends**

BOX 1b – Qualified dividends **(cont)**

[illegible]

BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION NOTES	DATE	AMOUNT	NOTES	DATE	AMOUNT
888894862 TOCQUEVILLE GOLD FUND					
C F	10/23/09	$12.37	C F	12/15/09	$305.01

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THE RICE FAMILY FOUNDATION
ATTN: LISA WILSON

1099-DIV (cont) **RBC Capital Markets Corporation - Dividends**

BOX 2a -- Total capital gain distributions **(cont)**

SECURITY ID & DESCRIPTION

NOTES	DATE	AMOUNT	NOTES	DATE	AMOUNT
888894862 TOCQUEVILLE GOLD FUND					
			TOTAL		**$317.38**

Box 2a Total Amount $317.38

2009

Powershares DB Commodity Index Tracking Fund

								Gain or Loss Classification	
		(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
Units Disposed	Acquisition Date	Disposition Date	Sales Proceeds	Purchase Amt	Adjustments to Basis	Total Basis	Total Gain/Loss (4 Less 7)	Short-Term Capital Gain/Loss	Long Term Capital Gain/Loss
5000	3/30/2009	6/22/2009	113,047	99,888	12,797	112,685	362	362	
Totals			113,047	99,888	12,797		362		
Total Gain								0	

Reported Realized Gain/Loss (4 minus 5)	13,159
Less: Adjustments to Basis	-12,797
	362
AMT Basis Adjustment	
Gain/Loss to Report on Tax Return	**362**

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→ Realized Gain-Loss

33-0592384

For 2009

Spectrum . 3490

Securities

Quantity	Description	Open Date	Unit Cost	Net Cost	Close Date	Unit Proceeds	Net Proceeds	Realized Gain/Loss
Short Term								
4	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL AEH	04/27/09	$9 70	$38 80	12/22/09	$17 66	$70 64	$31 84
13	Alabama Power Company 5 875 Ser 2007b Sr Notes /A SYMBOL ALM	03/17/08	$24 54	$319 02	02/09/09	$24 63	$320 18	$1 16
4	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	06/17/08	$25 01	$100 02	04/27/09	$18 54	$74 15	-$25 87
18	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	02/09/09	$18 50	$333 00	12/10/09	$24 13	$434 30	$101 30
31	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	08/13/09	$25 00	$774 98	12/10/09	$24 13	$747 97	-$27 01
60	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	10/07/09	$23 95	$1,437 00	12/10/09	$24 13	$1,447 68	$10 68
53	Ameriprise Financial Inc 7 75% Senior Notes Due /A SYMBOL AMP-A	06/10/09	$21 99	$1,165 36	10/23/09	$24 80	$1,314 37	$149 01
7	Ameriprise Financial Inc 7 75% Senior Notes Due /A SYMBOL AMP-A	06/10/09	$21 99	$153 91	12/22/09	$25 06	$175 42	$21 51
8	Ameriprise Financial Inc 7 75% Senior Notes Due /A SYMBOL AMP-A	06/11/09	$21 95	$175 60	12/22/09	$25 06	$200 47	$24 87
37	Ameriprise Financial Inc 7 75% Senior Notes Due /A SYMBOL AMP-A	07/24/09	$22 62	$836 94	12/22/09	$25 06	$927 19	$90 25
17	Ameriprise Financial Inc 7 75% Senior Notes Due /A SYMBOL AMP-A	11/03/09	$24 86	$422 64	12/22/09	$25 06	$426 01	$3 37
3	Arch Capital Group Ltd 8% Non Cum Pfd Ser A /BBB- SYMBOL ARH-A	09/11/08	$22 03	$66 09	07/07/09	$22 00	$65 99	-$0 10
22	Arch Capital Group Ltd 8% Non Cum Pfd Ser A /BBB- SYMBOL ARH-A	03/12/09	$17 39	$382 58	12/22/09	$24 39	$536 57	$153 99
5	Arch Capital Group Ltd 8% Non Cum Pfd Ser A /BBB- SYMBOL ARH-A	04/27/09	$20 39	$101 95	12/22/09	$24 39	$121 95	$20 00
11	Arch Capital Group Ltd 7 875% Non-Cum Pfd Ser B SYMBOL ARH-B	12/08/08	$17 25	$189 75	10/23/09	$24 67	$271 36	$81 61
5	Bac Capital Trust XII 6 875% Cum Pfd Due 08/2/2055 /BB SYMBOL BAC-C	07/18/08	$20 47	$102 35	02/09/09	$15 20	$75 99	-$26 36
19	Bank Amer Corp Depositary Sh Repstg 1/1000th /BB SYMBOL BAC-E	03/17/08	$16 64	$316 16	02/09/09	$7 09	$134 80	-$181 36
110	Bank Amer Corp Depositary Sh Repstg 1/1000th /BB SYMBOL BAC-E	03/17/08	$16 64	$1,830 40	03/05/09	$4 34	$477 09	-$1,353 31
102	Bank Of America Corp 6 204% Pfd Ser D /BB SYMBOL BAC-D	03/17/08	$21 81	$2,224 62	03/05/09	$5 73	$584 06	-$1,640 56
38	Bank Of America Corp 6 204% Pfd Ser D /BB SYMBOL BAC-D	03/17/08	$21 81	$828 78	03/06/09	$5 75	$218 35	-$610 43
122	Bank Of America Corp Dep Shs Repstg 1/1200th 8.625% /BB SYMBOL BML-Q	05/01/08	$24 92	$3,040 24	03/05/09	$5 76	$702 51	-$2,337 73
5	Bank Of America Corp	02/09/09	$11 35	$56 75	03/06/09	$5 38	$26 88	-$29 88

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	Dep Shs Repstg 1/1200th 8 625% /BB SYMBOL. BML-Q							
36	Bank Of America Corp Dep Shs Repstg 1/1200th 8 625% /BB SYMBOL. BML-Q	07/31/08	$20 90	$752 40	03/06/09	$5 38	$193 50	-$558 90
15	Bank Of America Corp Dep Shs Repstg 1/1200th 8 625% /BB SYMBOL. BML-Q	06/03/08	$24 71	$370 65	03/06/09	$5 38	$80 63	-$290 03
8	Bank Of America Corp Dep Shs Repstg 1/1200th 8 625% /BB SYMBOL BML-Q	05/01/08	$24 92	$199 36	03/06/09	$5 37	$42 99	-$156 37
6	Bank Of America Corp Dep Shs Repstg 1/1200th /BB SYMBOL BML-L	03/17/08	$14 72	$88 32	02/09/09	$6 16	$36 95	-$51 37
88	Bank Of America Corp Dep Shs Repstg 1/1200th /BB SYMBOL BML-L	03/17/08	$14 72	$1,295 36	03/05/09	$3 76	$331 11	-$964 25
20	Bank Of America Corporation Dep Sh Repstg 1/1000th /BB SYMBOL BAC-I	03/17/08	$22 40	$448 00	03/05/09	$5 68	$113 54	-$334 46
7	Bank One Capital Trust VI 7 20% Trust Pfd Due 10/15/31 /NR SYMBOL ONE-W	11/20/08	$17 40	$121 80	10/23/09	$24 60	$172 19	$50 39
3	Barclays Bk Plc 6 625% Non Cum Pfd Adr Ser 2 /A- SYMBOL BCSPR	04/27/09	$13.28	$39 83	12/22/09	$20 35	$61 05	$21 22
55	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	02/19/09	$8 08	$444 50	12/22/09	$21 89	$1,203 97	$759 47
8	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	02/20/09	$6 18	$49 44	12/22/09	$21 89	$175 12	$125 68
13	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	05/16/08	$25 22	$327 86	02/09/09	$12 79	$166 26	-$161 60
15	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	04/27/09	$15 65	$234 71	12/22/09	$24 39	$365 84	$131 13
3	Bb&T Capital Trust V Enhanced Tr Pfd Secs 8 95% /BBB SYMBOL BBT-A	09/10/08	$24 58	$73 73	04/27/09	$24 31	$72 92	-$0 81
36	Bb&T Capital Trust VI 9 60% Gtd Enhanced Tr Pfd Secs /BBB SYMBOL BBT-B	07/23/09	$25 31	$911 11	10/23/09	$27 34	$984 21	$73 10
27	Bb&T Capital Trust VI 9 60% Gtd Enhanced Tr Pfd Secs /BBB SYMBOL BBT-B	07/23/09	$25 31	$683 33	12/22/09	$27 82	$751 12	$67 79
27	Bb&T Capital Trust VI 9 60% Gtd Enhanced Tr Pfd Secs /BBB SYMBOL BBT-B	08/31/09	$26 16	$706 19	12/22/09	$27 82	$751 12	$44 93
14	Berkley W R Cap Trust II 6 75% Trust Orig Pfd Secs Due /BBB- SYMBOL WRB-A	02/09/09	$18 98	$265 69	12/22/09	$22 27	$311 81	$46 12
21	Berkley W R Cap Trust II 6 75% Trust Orig Pfd Secs Due /BBB- SYMBOL WRB-A	11/19/09	$22 80	$478 79	12/22/09	$22 27	$467 71	-$11 08
6	Bny Capital V 5 95% Trust Pfd Secs Due 5/1/33 /A- SYMBOL BKPRF	04/27/09	$19 70	$118 19	12/22/09	$25 02	$150 11	$31 92
12	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	02/09/09	$14 65	$175 80	12/22/09	$21 06	$252 76	$76 96
43	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	03/12/09	$11 21	$482 06	12/22/09	$21 06	$905 73	$423 67
4	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL. CPV	04/27/09	$13 93	$55 72	12/22/09	$21 06	$84 25	$28 53
21	Cbs Corporation 7 25% Mat 06/30/51 /BBB- SYMBOL RBV	01/15/09	$16 60	$348 60	10/23/09	$22 36	$469 54	$120 94
21	Cbs Corporation 7 25% Mat 06/30/51 /BBB- SYMBOL. RBV	01/15/09	$16 60	$348 60	12/22/09	$22 37	$469 75	$121 15
4	Citigroup Cap XI 6% Trust Pfd Secs /BB-	02/09/09	$10 50	$42 00	12/22/09	$17 95	$71 79	$29 79

SYMBOL CPRQ

21	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	07/02/09	$13 40	$281 37	10/23/09	$17 42	$365 81	$84 44
5	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	07/02/09	$13 40	$66 99	12/22/09	$18 26	$91 30	$24 31
56	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	07/15/09	$14 81	$829 56	12/22/09	$18 26	$1,022.53	$192.97
19	Citigroup Capital Viii 6 95% Trust Pfd Secs (Trups) /BB- SYMBOL CPRZ	11/18/08	$14 78	$280 90	10/23/09	$19 48	$370 11	$89 21
25	Citigroup Capital X 6 1% Trust Pfd Secs (Trups) /BB- SYMBOL CPRR	02/09/09	$10 77	$269 25	12/22/09	$18 71	$467 73	$198 48
4	Citigroup Inc Dep Shs Repstg 1/1000th 8 125% /C SYMBOL CPRP	07/23/08	$21 37	$85 48	01/20/09	$7 41	$29 64	-$55 84
34	Citigroup Inc Dep Shs Repstg 1/1000th 8 125% /C SYMBOL CPRP	07/23/08	$21 37	$726 58	01/21/09	$8 39	$285 41	-$441 17
13	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	11/03/09	$24 35	$316 59	12/22/09	$24 83	$322 82	$6 23
17	Countrywide Capital Iv 6 75% Trust Pfd Secs Due 4/1/33 /BB SYMBOL CFC-A	09/10/09	$19 31	$328 23	10/23/09	$20 34	$345 77	$17 54
17	Countrywide Capital Iv 6 75% Trust Pfd Secs Due 4/1/33 /BB SYMBOL CFC-A	09/10/09	$19 31	$328 23	12/22/09	$21 37	$363 28	$35 05
12	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	12/23/08	$18 69	$224 28	10/23/09	$25.20	$302 39	$78 11
16	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	12/23/08	$18 69	$299 04	12/22/09	$25 57	$409 14	$110 10
3	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	07/07/09	$23 06	$69 18	12/22/09	$25 57	$76 71	$7 53
64	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	08/27/09	$24 72	$1,582 07	12/22/09	$25 57	$1,636 53	$54 46
65	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	09/14/09	$24 90	$1,618 50	12/22/09	$25 57	$1,662 11	$43 61
62	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	11/03/09	$24 86	$1,541 12	12/22/09	$25 57	$1,585 39	$44 27
44	Deutsche Bank Capital Funding Trust X 7 350% Noncumulative /BBB+ SYMBOL DCE	12/23/08	$14 14	$622 20	10/23/09	$23 02	$1,012 85	$390 65
11	Deutsche Bank Capital Funding Trust X 7 350% Noncumulative /BBB+ SYMBOL DCE	04/09/09	$14 01	$154 12	12/22/09	$22.53	$247 81	$93 69
6	Deutsche Bank Capital Funding Trust X 7 350% Noncumulative /BBB+ SYMBOL DCE	04/13/09	$14 40	$86 41	12/22/09	$22 53	$135 18	$48 77
7	Deutsche Bank Capital Funding Trust X 7 350% Noncumulative /BBB+ SYMBOL DCE	04/14/09	$14 40	$100 79	12/22/09	$22 53	$157 71	$56 92
19	Deutsche Bank Capital Funding Trust X 7 350% Noncumulative /BBB+ SYMBOL DCE	04/15/09	$14 32	$272 08	12/22/09	$22.53	$428 06	$155 98
25	Deutsche Bk Cap Fdg Tr Ix Gtd Tr Pfd Secs 6 625% Ser /BBB+ SYMBOL DTT	12/23/08	$12 93	$323 24	10/23/09	$21 70	$542 48	$219 24
23	Deutsche Bk Cap Fdg Tr Ix Gtd Tr Pfd Secs 6 625% Ser /BBB+ SYMBOL DTT	12/23/08	$12 93	$297 39	12/22/09	$20 73	$476 77	$179 38
21	Deutsche Bk	11/20/08	$12 52	$263 01	10/23/09	$23 51	$493 70	$230 69

	Contingent Cap Tr III 7 6% Tr Pfd Secs /BBB+ SYMBOL DTK							
8	Deutsche Bk Contingent Cap Tr III 7 6% Tr Pfd Secs /BBB+ SYMBOL DTK	01/20/09	$14 77	$118 16	10/23/09	$23 51	$188 07	$69 91
17	Deutsche Bk Contingent Cap Tr III 7 6% Tr Pfd Secs /BBB+ SYMBOL DTK	01/20/09	$14 77	$251 09	12/22/09	$23 43	$398 30	$147 21
12	Deutsche Bk Contingent Cap Tr III 7 6% Tr Pfd Secs /BBB+ SYMBOL DTK	02/09/09	$14 47	$173 64	12/22/09	$23 43	$281 15	$107 51
60	Dominion Res Inc Va 2009 Ser A 8 375% Enhanced Jr /BBB SYMBOL DRU	06/16/09	$25 19	$1,511 40	10/23/09	$26 85	$1,610.95	$99 55
30	Dominion Res Inc Va 2009 Ser A 8 375% Enhanced Jr /BBB SYMBOL DRU	06/16/09	$25 19	$755 70	12/22/09	$27 31	$819 27	$63 57
29	Dominion Res Inc Va 2009 Ser A 8 375% Enhanced Jr /BBB SYMBOL DRU	06/18/09	$25.32	$734 38	12/22/09	$27 31	$791 97	$57 59
14	Entergy Louisiana LLC 7 6% 1st Mtg Bonds Due 4/1/32 /A- CUSIP 29364W207	11/19/08	$22.55	$315.70	02/02/09	$25 00	$349 99	$34 29
14	Entergy Mississippi 7 25% 1st Mtg Bnd Due 12/1/32 /A- SYMBOL EMOCL	11/19/08	$22 13	$309 81	10/16/09	$25 27	$353 77	$43 96
10	Entergy Mississippi 7 25% 1st Mtg Bnd Due 12/1/32 /A- SYMBOL EMOCL	01/28/09	$25 02	$250 20	10/16/09	$25 27	$252 69	$2 49
13	Entergy Mississippi 7 25% 1st Mtg Bnd Due 12/1/32 /A- SYMBOL EMOCL	03/12/09	$23 55	$306 15	10/16/09	$25.27	$328 50	$22 35
17	Entergy Tex Inc Mortgage Bonds 7 875% Series /BBB+ SYMBOL EDT	05/19/09	$25 20	$428 40	10/23/09	$27 00	$458 98	$30 58
17	Entergy Tex Inc Mortgage Bonds 7 875% Series /BBB+ SYMBOL EDT	05/27/09	$25.23	$428 91	12/22/09	$26 89	$457 11	$28 20
5	Everest Re Cap Trust II 6 20% Tr Pfd Ser D Due 3/29/34 /BBB SYMBOL REPRB	04/27/09	$17 06	$85 30	12/22/09	$20 20	$101 01	$15 71
5	Fpl Group Cap I 5 875% Pfd Secs Due 03/15/2044 /BBB SYMBOL FPL-C	02/09/09	$24 62	$123 10	09/29/09	$25 25	$126 25	$3 15
12	Fpl Group Capital Inc Cumulative Pfd 8 75% Ser F /BBB SYMBOL FPL-F	03/19/09	$25.20	$302 40	10/23/09	$28 35	$340 19	$37 79
10	Fpl Group Capital Inc Cumulative Pfd 8 75% Ser F /BBB SYMBOL FPL-F	03/19/09	$25 20	$252 00	12/22/09	$29 23	$292 29	$40 29
18	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL GER	03/12/09	$18 67	$336 10	12/22/09	$25 03	$450 53	$114 43
22	Georgia Power Co Ser O 5 9% Sr Nt Due 4/15/33 /A SYMBOL GPD	03/17/08	$23 02	$506 44	02/04/09	$24 65	$542 29	$35 85
24	Georgia Pwr Co Ser 2007d Sr Nt /A SYMBOL GAR	03/17/08	$26 04	$624 96	01/14/09	$25 75	$618 04	-$6 92
14	Goldman Sachs Group Inc Dep Shs Repstg 1/1000 Pfd Ser /BBB SYMBOL GSPRD	10/07/09	$19 21	$268 98	10/23/09	$20 81	$291 33	$22 35
13	Goldman Sachs Group Inc Dep Shs Repstg 1/1000 Pfd Ser /BBB SYMBOL GSPRD	10/07/09	$19.21	$249 77	12/22/09	$22 01	$286 12	$36 35
21	Goldman Sachs Group Inc (The) Fltg Rate Depositary Sh Repstg /BBB SYMBOL GSPRA	03/17/08	$17 73	$372.33	02/09/09	$11 96	$251 15	-$121 18
36	Hrpt Properties Trust 8 75% Ser B Cum Redeemable Pfd /BB+ SYMBOL HRP-B	11/10/09	$24 10	$867 59	12/22/09	$24 15	$869 37	$1 78
23	Hrpt Properties Trust Senior Notes Due 2019 7 50% /BBB SYMBOL HRPN	12/17/09	$18 85	$433 55	12/22/09	$18 91	$434 91	$1 36
3	Hsbc Holdings Plc Perp Sub Cap Secs 8 125% Pfd	07/18/08	$25 38	$76 14	02/09/09	$21 86	$65 57	-$10 57

	/A- SYMBOL HCS							
32	Hsbc Holdings Plc Perp Sub Cap Secs 8 125% Pfd /A- SYMBOL HCS	01/15/09	$22 69	$726 10	12/22/09	$26 38	$844 13	$118 03
35	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	01/06/09	$19 96	$698 65	12/22/09	$20 94	$732.88	$34 23
10	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	01/07/09	$20 16	$201 61	12/22/09	$20 94	$209 39	$7 78
36	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	02/19/09	$7 68	$276 52	10/23/09	$16 37	$589 30	$312 78
26	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	02/20/09	$6 68	$173 68	10/23/09	$16 37	$425 61	$251 93
1	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	02/20/09	$6 68	$6 68	12/22/09	$18 62	$18 62	$11 94
62	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	09/30/09	$15 70	$973 30	12/22/09	$18 62	$1,154 40	$181 10
53	Ing Groep N V Perpetual Debt Secs 7 20% /BB SYMBOL INZ	12/23/08	$11 75	$622 73	08/20/09	$16 53	$876 07	$253 34
87	Ing Groep N V Perpetual Debt Secs 7 20% /BB SYMBOL INZ	09/24/09	$16 04	$1,395 48	10/23/09	$16 90	$1,470 26	$74 78
15	Ing Groep N V Perpetual Debt Secs 7 20% /BB SYMBOL INZ	09/24/09	$16 04	$240 60	12/22/09	$19 17	$287 54	$46 94
74	Ing Groep N V Perpetual Debt Secs 7 20% /BB SYMBOL INZ	09/25/09	$16 07	$1,189 18	12/22/09	$19 17	$1,418 54	$229 36
27	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	01/21/09	$10 29	$277 80	09/25/09	$14 42	$389 35	$111 55
49	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	01/15/09	$13 09	$641 19	09/25/09	$14 42	$706 61	$65 42
25	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	11/21/08	$8 59	$214 65	09/25/09	$14 42	$360 51	$145 86
4	Ing Groep N V 8 50% Ing Perpetual Hybrid Cap /BB SYMBOL IGK	06/13/08	$24 93	$99 72	02/09/09	$13 88	$55 52	-$44 20
4	Ing Groep N V 8 50% Ing Perpetual Hybrid Cap /BB SYMBOL IGK	04/09/09	$12 70	$50 81	09/24/09	$19 00	$76 00	$25 19
11	Ing Groep N V 8 50% Ing Perpetual Hybrid Cap /BB SYMBOL IGK	04/13/09	$13 23	$145 53	09/24/09	$19 00	$209 00	$63 47
34	Ing Groep N V 8 50% Ing Perpetual Hybrid Cap /BB SYMBOL IGK	04/15/09	$13 64	$463 63	09/24/09	$19 00	$646 00	$182 37
29	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	11/21/08	$8 42	$244 18	09/10/09	$13 65	$395 80	$151 61
3	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	07/07/09	$14 86	$44 58	09/10/09	$13 65	$40 94	-$3 64
19	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL: JPM-J	09/22/08	$22 42	$425 93	05/15/09	$22 90	$435 08	$9 15
29	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL JPM-J	03/03/09	$15 82	$458 69	09/23/09	$25 10	$727 91	$269 22
44	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	09/23/09	$22 01	$968 49	12/22/09	$21 69	$954 42	-$14 07
29	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	10/01/09	$21 73	$630 12	12/22/09	$21 69	$629 05	-$1 07
13	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	12/16/09	$21 40	$278 20	12/22/09	$21 69	$281 99	$3 79
2	Jpmorgan Chase Cap	01/06/09	$24 40	$48 80	10/23/09	$27 20	$54 40	$5 60

	Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z							
18	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	12/12/08	$22 85	$411 30	10/23/09	$27.20	$489 59	$78 29
25	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	01/06/09	$24 40	$610 00	12/22/09	$26 44	$660 98	$50 98
16	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	05/13/09	$22 98	$367 68	12/22/09	$26 44	$423 03	$55 35
12	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	05/15/09	$23 55	$282 60	12/22/09	$26 44	$317 27	$34 67
6	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL KEY-E	04/27/09	$12 36	$74.18	07/14/09	$21 26	$127 58	$53 40
12	Kimco Realty Corp 6 65% Ser F Depositary Shs Repres 1/10th /BBB- SYMBOL KIM-F	04/30/09	$15 05	$180 60	10/23/09	$21 46	$257 51	$76 91
13	Kimco Realty Corp 6 65% Ser F Depositary Shs Repres 1/10th /BBB- SYMBOL KIM-F	04/30/09	$15 05	$195 65	12/22/09	$21 62	$281 05	$85 40
22	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	03/12/09	$11 25	$247 50	10/23/09	$24 15	$531 28	$283 78
40	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	03/12/09	$11 25	$450 00	12/22/09	$24 15	$965 97	$515 97
37	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	03/13/09	$12 98	$480 12	12/22/09	$24 15	$893 52	$413 40
5	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	07/07/09	$21 18	$105 90	12/22/09	$24 15	$120 75	$14 85
61	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL LNC-G	03/13/09	$10 33	$630 31	12/22/09	$22 05	$1,345 01	$714 70
17	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL. LNC-G	04/27/09	$11 56	$196 46	12/22/09	$22 05	$374 84	$178 38
20	Lincoln Natl Cap VII VI Tr Pfd Secs Ser F % /BBB- SYMBOL LNC-F	11/20/08	$11 60	$232 00	10/23/09	$21 84	$436 79	$204 79
13	Lincoln Natl Cap VII VI Tr Pfd Secs Ser F % /BBB- SYMBOL. LNC-F	12/23/08	$15 94	$207 22	10/23/09	$21 84	$283 91	$76.69
30	Lincoln Natl Cap VII VI Tr Pfd Secs Ser F % /BBB- SYMBOL LNC-F	12/23/08	$15 94	$478 20	12/22/09	$22 43	$672 88	$194 68
3	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL MKV	07/07/09	$23 84	$71 52	12/22/09	$25 50	$76 50	$4 98
36	Merrill Lynch Cap Tr II Gtd 6 45% Tr Pfd Secs /BB SYMBOL MER-M	02/19/09	$6 53	$235 04	10/23/09	$18 41	$662 74	$427 70
9	Merrill Lynch Cap Tr II Gtd 6 45% Tr Pfd Secs /BB SYMBOL MER-M	02/19/09	$6 53	$58 76	12/22/09	$19 40	$174 59	$115 83
25	Merrill Lynch Cap Tr II Gtd 6 45% Tr Pfd Secs /BB SYMBOL MER-M	02/20/09	$5 11	$127 63	12/22/09	$19 40	$484 99	$357 36
3	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL MER-K	02/19/09	$6.40	$19 19	04/27/09	$11.27	$33 80	$14 61
33	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL. MER-K	02/19/09	$6 40	$211 09	10/23/09	$18 44	$608 50	$397 41
31	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB	02/20/09	$4 94	$153 15	10/23/09	$18 44	$571 62	$418 47

	SYMBOL MER-K							
4	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL MER-K	02/20/09	$4 94	$19 76	12/22/09	$19 45	$77 79	$58 03
7	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL MER-K	04/09/09	$10 47	$73 31	12/22/09	$19 45	$136 15	$62 84
16	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL MER-K	04/13/09	$11 44	$183 07	12/22/09	$19 45	$311 19	$128 12
38	Merrill Lynch Capital Trust I 6 45% Trust Pfd Securities /BB SYMBOL MER-K	04/15/09	$11.50	$436 93	12/22/09	$19 45	$739 08	$302 15
4	Morgan Stanley Dep Shs Repstg 1/1000 Perp Pfd /BBB SYMBOL MSPRA	02/09/09	$10 60	$42 40	12/22/09	$21 85	$87 40	$45 00
1	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	01/20/09	$14 20	$14 20	10/23/09	$21 88	$21 88	$7 68
22	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	01/20/09	$14 20	$312 38	12/22/09	$21 11	$464 40	$152 02
19	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	01/21/09	$14 43	$274 16	12/22/09	$21 11	$401 08	$126 92
8	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	04/09/09	$15 80	$126 41	12/22/09	$21 11	$168 88	$42 47
7	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	04/13/09	$16 15	$113 02	12/22/09	$21 11	$147 77	$34 75
25	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	04/14/09	$16 02	$400 45	12/22/09	$21 11	$527 73	$127 28
1	Morgan Stanley Cap Trust V 5 75% Captial Secs Due 7/15/33 SYMBOL MWO	08/31/09	$18 50	$18 50	10/23/09	$20 09	$20 09	$1 59
43	Morgan Stanley Cap Trust V 5 75% Captial Secs Due 7/15/33 SYMBOL MWO	08/31/09	$18 50	$795 32	12/22/09	$19 83	$852 66	$57 34
19	Morgan Stanley Capital Trust Iv 6 25% Capital Secs Due /BBB SYMBOL MWG	01/20/09	$14 13	$268 51	12/22/09	$20 90	$397 09	$128 58
19	Morgan Stanley Capital Trust Iv 6 25% Capital Secs Due /BBB SYMBOL MWG	01/21/09	$14 10	$267 90	12/22/09	$20 90	$397 09	$129 19
11	National Bk Greece S A Adr Pref Ser A /BB- SYMBOL NBG-A	02/09/09	$19 85	$218 35	12/22/09	$20 78	$228 57	$10 22
31	National Bk Greece S A Adr Pref Ser A /BB- SYMBOL NBG-A	09/01/09	$24 69	$765 30	12/22/09	$20 78	$644 16	-$121 14
3	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL NCC-A	11/20/08	$12 65	$37 95	02/09/09	$16 16	$48 47	$10 52
17	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL NCC-A	11/20/08	$12 65	$215 05	04/27/09	$15 74	$267 62	$52 57
2	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL NCC-A	12/23/08	$17.80	$35 59	04/27/09	$15 74	$31 48	-$4 11
37	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL NCC-A	12/23/08	$17 80	$658.51	10/23/09	$21 50	$795 48	$136 97
11	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL NCC-A	01/15/09	$16 89	$185 84	10/23/09	$21 50	$236 49	$50 65
17	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due	01/20/09	$14 85	$252 37	10/23/09	$21 50	$365 49	$113 12

	/BBB SYMBOL. NCC-A							
2	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL. NCC-A	01/21/09	$16 12	$32 24	10/23/09	$21.50	$43 00	$10 76
10	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL. NCC-A	01/21/09	$16 12	$161 20	12/22/09	$22 15	$221 49	$60 29
55	National City Cap Tr II 6 625% Gtd Tr Pfd Secs Due /BBB SYMBOL. NCC-A	03/03/09	$10.93	$601.12	12/22/09	$22 15	$1,218 22	$617 10
17	National City Cap Tr Iv Enhanced Tr 8% Pfd Securities /BBB SYMBOL NCC-C	05/13/09	$20 62	$350.55	10/23/09	$24 73	$420 39	$69 84
17	National City Cap Tr Iv Enhanced Tr 8% Pfd Securities /BBB SYMBOL. NCC-C	05/13/09	$20 62	$350 55	12/22/09	$24 88	$422 94	$72 39
6	Partnerre Ltd 6 5% Ser D Cum Redeemable Pfd Shs /BBB+ SYMBOL. PRE-D	02/09/09	$18 90	$113 40	12/22/09	$21 76	$130 56	$17 16
17	Partnerre Ltd 6 75% Ser C Cum Redeemable Pfd Shs /BBB+ SYMBOL PRE-C	03/12/09	$14 64	$248 94	10/23/09	$23 89	$406 11	$157 17
16	Partnerre Ltd 6 75% Ser C Cum Redeemable Pfd Shs /BBB+ SYMBOL. PRE-C	03/12/09	$14 64	$234 29	12/22/09	$22 86	$365 75	$131 46
4	Pnc Cap Tr E Gtd Tr 7 7500% Pfd Secs /BBB SYMBOL PNH	02/09/09	$21 60	$86 40	12/22/09	$24 99	$99 96	$13 56
23	Prologis Trust 6 75% Ser F Cumulative Redeemable Pfd Shs /BB SYMBOL. PLD-F	03/17/08	$21 90	$503 70	03/12/09	$7 33	$168 58	-$335 12
3	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	06/25/08	$24 90	$74 69	02/09/09	$20 95	$62 84	-$11 85
40	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	12/23/08	$21 45	$858 00	12/22/09	$26 47	$1,058 73	$200 73
32	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	03/12/09	$11 99	$383 52	12/22/09	$26 47	$846 98	$463 46
8	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	04/27/09	$19 19	$153 52	12/22/09	$26 47	$211 75	$58 23
3	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	07/07/09	$23 97	$71 91	12/22/09	$26 47	$79 40	$7 49
12	Prudential Plc 6 75% Perp Sub Cap Secs Exch@Issuer Opt /A- SYMBOL PUKPR	11/20/08	$10 32	$123 79	10/23/09	$24 40	$292 79	$169 00
20	Ps Business Pks Inc Calif Dep Sh Repstg 7 0% Pfd Ser H /BB+ SYMBOL. PSB-H	02/02/09	$16 45	$329 00	10/23/09	$21 61	$432 18	$103 18
19	Ps Business Pks Inc Calif Dep Sh Repstg 7 0% Pfd Ser H /BB+ SYMBOL PSB-H	02/02/09	$16 45	$312 55	12/22/09	$21 33	$405 25	$92 70
15	Public Storage Depositary Sh 1/1000 Pfd Ser V /BBB SYMBOL. PSA-Y	02/02/09	$22 92	$343 80	07/17/09	$23 92	$358 87	$15 07
33	Public Storage 6 75% Dep Shs Rpr 1/1000th /BBB SYMBOL. PSA-L	04/28/09	$19 10	$630.30	10/23/09	$22 25	$734 22	$103 92
4	Public Storage 6 75% Dep Shs Rpr 1/1000th /BBB SYMBOL. PSA-L	09/30/09	$22 55	$90.20	10/23/09	$22 25	$89 00	-$1 20
38	Public Storage 6 75% Dep Shs Rpr 1/1000th /BBB SYMBOL. PSA-L	09/30/09	$22 55	$856 90	12/22/09	$23 05	$875 87	$18 97
22	Realty Income Corp 6 75% Pfd Cl E Cum Redeemable /BB+ SYMBOL. OPRE	11/10/09	$22 84	$502 48	12/22/09	$23 12	$508 63	$6 15
3	Regency Centers Corp 7 45% Series 3 Cumulative /BB+ SYMBOL. REG-C	03/17/08	$22 09	$66 27	02/03/09	$19 50	$58 50	-$7 77
19	Regency Centers Corp	03/17/08	$22 09	$419 71	02/04/09	$19 00	$360 99	-$58.72

	7 45% Series 3 Cumulative /BB+ SYMBOL REG-C							
19	Regency Ctrs Corp 7 25% Ser 4 Cumulative SYMBOL REG-D	02/02/09	$18 05	$342 95	10/23/09	$21.80	$414 18	$71 23
18	Regency Ctrs Corp 7 25% Ser 4 Cumulative SYMBOL REG-D	02/02/09	$18 05	$324 90	12/22/09	$22 15	$398 68	$73 78
4	Regions Financing Trust III Pfd Secs 8 875% /B+ SYMBOL RFPRZ	04/30/08	$25 08	$100.32	02/09/09	$17 97	$71 87	-$28 45
3	Regions Financing Trust III Pfd Secs 8 875% /B+ SYMBOL RFPRZ	04/27/09	$15 99	$47 97	12/22/09	$22 45	$67 35	$19 38
9	Renaissancere Holdings Ltd 6 6% Pfd Ser D SYMBOL RNR-D	11/13/09	$21 74	$195 67	12/22/09	$20 67	$186 02	-$9 65
72	Royal Bk Scotland Group Plc Adr Repstg Usd 6 125 Ser R /C SYMBOL RBS-R	10/06/08	$8 28	$595 85	09/02/09	$9 21	$663 30	$67 45
71	Royal Bk Scotland Group Plc Sponsored Adr On Pfd Ser 6 60% /C SYMBOL RBS-S	10/01/08	$9 09	$645 63	07/13/09	$10 69	$758 79	$113 16
22	Santander Finan Gtd Pfd Contra Cusip /A- CUSIP- 802990234	11/21/08	$14 25	$313 50	09/29/09	$17 50	$385 00	$71 50
3	Santander Finan Gtd Pfd Contra Cusip /A- CUSIP 802990234	07/07/09	$18 49	$55 48	09/29/09	$21 74	$65 23	$9 75
0 011	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	02/09/09	$22 12	$0 25	09/30/09	$24 78	$0 28	$0 03
0 026	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	07/07/09	$25 04	$0 66	09/30/09	$25 04	$0 66	$0 00
0 162	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	01/06/09	$24.95	$4 04	09/30/09	$25 01	$4 05	$0 01
0 006	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	07/07/09	$26 13	$0 15	09/30/09	$24 39	$0 14	-$0 01
0 042	Santander Finance Pfd Sa Unipersonal 10.50% Pfd Secs 09 /A- SYMBOL. STD-E	11/21/08	$19 70	$0 83	09/30/09	$24 92	$1 05	$0 22
15 798	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	11/21/08	$19 79	$312 67	10/23/09	$27 50	$434 43	$121 76
32 948	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	01/06/09	$24 97	$822 85	10/23/09	$27 50	$906 05	$83 20
2 299	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	02/09/09	$22.10	$50 81	10/23/09	$27 50	$63 21	$12 40
5 364	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	07/07/09	$25 17	$134 98	10/23/09	$27.50	$147 50	$12 52
2 154	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	07/07/09	$25 68	$55 33	10/23/09	$27 50	$59 24	$3 91
46	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	11/03/09	$27 44	$1,262 01	12/22/09	$28 06	$1,290 92	$28 91
35	Santander Finance Pfd Sa Unipersonal 10.50% Pfd Secs 09 /A- SYMBOL STD-E	10/14/09	$27 52	$963 20	12/22/09	$28 06	$982 22	$19 02
32	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	10/14/09	$27 56	$881 78	12/22/09	$28 06	$898 03	$16 25
43	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP 802990226	01/06/09	$19 23	$826 89	09/29/09	$22 48	$966 64	$139 75
3	Santander Finance Preferred	02/09/09	$17 02	$51 06	09/29/09	$20 27	$60 81	$9 75

	S A Unipersonal 6 80% Non Cum /A- CUSIP· 802990226							
7	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP· 802990226	07/07/09	$19 38	$135 64	09/29/09	$22 63	$158 39	$22 75
10	Scana Corp New Jr Sub Nt /BBB- SYMBOL SCU	12/01/09	$25 40	$254 00	12/22/09	$26 36	$263 59	$9 59
7	Suntrust Capital Ix 7 8750% Tr Pfd Secs /BB SYMBOL STI-Z	02/09/09	$18 64	$130 48	12/22/09	$24 11	$168 76	$38 28
35	Telephone & Data Systems Inc 7 60% Nts Due 12/1/41 Ser A /BBB- SYMBOL TDA	02/17/09	$17 42	$609 70	10/23/09	$23 29	$815 12	$205 42
11	Telephone & Data Systems Inc 7 60% Nts Due 12/1/41 Ser A /BBB- SYMBOL TDA	02/17/09	$17 42	$191 62	12/22/09	$24 46	$269 05	$77 43
23	Telephone & Data Systems Inc 7 60% Nts Due 12/1/41 Ser A /BBB- SYMBOL TDA	04/22/09	$17 35	$399 05	12/22/09	$24 46	$562 56	$163 51
15	Telephone & Data Systems Inc 7 60% Nts Due 12/1/41 Ser A /BBB- SYMBOL TDA	11/03/09	$22 91	$343.59	12/22/09	$24 46	$366 89	$23 30
9	Usb Cap VII 5 875% Trust Pfd Secs Due /BBB+ SYMBOL USB-F	02/09/09	$19 59	$176 31	12/22/09	$21 46	$193 13	$16 82
15	Usb Capital Viii 6 35% Trust Pfd Ser 1 Due /BBB+ SYMBOL USB-G	11/21/08	$17 15	$257 25	10/23/09	$22 56	$338 39	$81 14
22	Usb Capital Viii 6 35% Trust Pfd Ser 1 Due /BBB+ SYMBOL USB-G	01/06/09	$22.31	$490 85	10/23/09	$22 56	$496 30	$5 45
2	Usb Capital Viii 6 35% Trust Pfd Ser 1 Due /BBB+ SYMBOL USB-G	01/07/09	$22 23	$44 46	10/23/09	$22 56	$45 12	$0 66
6	Usb Capital Viii 6 35% Trust Pfd Ser 1 Due /BBB+ SYMBOL USB-G	01/07/09	$22 23	$133 38	12/22/09	$23 81	$142 86	$9 48
31	Usb Capital Viii 6 35% Trust Pfd Ser 1 Due /BBB+ SYMBOL USB-G	03/12/09	$15 60	$483 73	12/22/09	$23.81	$738 08	$254 35
18	Usb Capital XII 6 3% Trust Pfd Securities /BBB+ SYMBOL USB-K	09/02/09	$21 42	$385.59	10/23/09	$22 66	$407 86	$22 27
19	Usb Capital XII 6 3% Trust Pfd Securities /BBB+ SYMBOL USB-K	09/02/09	$21 42	$407 01	12/22/09	$22 95	$436 03	$29 02
7	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL VNV	02/09/09	$18 68	$130.76	12/22/09	$23 82	$166 73	$35 97
13	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL VNV	11/19/09	$23 51	$305 66	12/22/09	$23 82	$309 64	$3 98
156	Vornado Realty L P 7 875% Public Income Notes /BBB SYMBOL VNOD	09/24/09	$25 08	$3,912 78	10/23/09	$24 75	$3,861 32	-$51 46
106	Vornado Realty L P 7 875% Public Income Notes /BBB SYMBOL VNOD	09/24/09	$25 08	$2,658 68	12/22/09	$23 89	$2,532 38	-$126 30
49	Vornado Realty L P 7 875% Public Income Notes /BBB SYMBOL VNOD	10/20/09	$24 88	$1,219 12	12/22/09	$23 89	$1,170 63	-$48 49
19	Wachovia Cap Tr Ix 6 375% Guaranteed Trust /A- SYMBOL WBPRC	01/05/09	$19 64	$373 18	10/23/09	$21 48	$408 10	$34 92
17	Wachovia Cap Tr Ix 6 375% Guaranteed Trust /A- SYMBOL WBPRC	01/05/09	$19 64	$333 89	12/22/09	$21 95	$373 14	$39 25
12	Weingarten Realty Investors Senior Notes 8 10% Due 2019 /BBB SYMBOL WRD	11/10/09	$20 99	$251 88	12/22/09	$21.59	$259 07	$7 19
108	Weingarten Rlty Invs 6 50 Pfd Ser F /BB+ SYMBOL WRI-F	08/21/09	$18 12	$1,956 96	12/22/09	$20 32	$2,194 50	$237 54
53	Wells Fargo Cap Trust Iv 7% Tr Pfd Secs Due 9/1/31 /A- SYMBOL WSF	09/30/08	$22 21	$1,177 13	01/05/09	$24 63	$1,305 32	$128 19
4	Wells Fargo Cap XI	04/27/09	$18 39	$73 57	12/22/09	$22 36	$89 44	$15 87

	6 25% 6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL. FWF							
14	Zions Capital Trust B 8% Capital Secs Due 9/1/32 /B SYMBOL ZBPRB	11/20/08	$19 42	$271 88	04/28/09	$14 50	$202 98	-$68 90
	Short Term Total			**$101,242.98**			**$110,957.02**	**$9,714.01**

Long Term

4	Abn Amro Capital Funding Trust V 5 9% Perp Non Cum /B CUSIP· 00372P203	11/30/07	$19 28	$77 12	04/27/09	$7 34	$29 36	-$47 76
74	Abn Amro Capital Funding Trust V 5 9% Perp Non Cum /B CUSIP· 00372P203	11/30/07	$19 28	$1,426 72	08/28/09	$9 51	$703 71	-$723 01
34	Abn Amro Capital Funding Trust V 5 9% Perp Non Cum /B CUSIP· 00372P203	03/17/08	$20 04	$681 36	08/28/09	$9 51	$323 33	-$358 03
61	Abn Amro Capital Funding Trust V 5 9% Perp Non Cum /B CUSIP 00372P203	03/17/08	$20 04	$1,222 44	09/01/09	$8 16	$497 61	-$724 83
15	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP 00372Q201	11/30/07	$19 58	$293 66	04/27/09	$7 39	$110 90	-$182 76
3	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP 00372Q201	11/30/07	$19 58	$58 73	07/07/09	$10 83	$32 49	-$26 24
115	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP· 00372Q201	11/30/07	$19 58	$2,251 40	09/03/09	$8 71	$1,001 64	-$1,249 75
73	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP· 00372Q201	03/17/08	$20 65	$1,507 45	09/03/09	$8 71	$635 83	-$871 62
89	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP 00372Q201	03/17/08	$20 65	$1,837 85	09/08/09	$8 84	$787 13	-$1,050 72
8	Abn Amro Capt Fdng Tr VII 6 08% Perp Non Cum Gtd Trust /B CUSIP 00372Q201	07/29/08	$17 02	$136 16	09/08/09	$8 84	$70 75	-$65 41
13	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL: AEH	11/30/07	$20 36	$264 73	02/09/09	$11 01	$143 12	-$121 61
43	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL AEH	11/30/07	$20 36	$875 64	10/23/09	$16 50	$709 48	-$166 16
50	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL. AEH	03/17/08	$20 14	$1,007 00	10/23/09	$16 50	$824 98	-$182 02
19	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL AEH	03/17/08	$20 14	$382 66	12/22/09	$17 66	$335 53	-$47 13
64	Aegon N V 6 375% Perp Capital Securities /BBB SYMBOL AEH	07/18/08	$17 04	$1,090 56	12/22/09	$17 66	$1,130 21	$39 65
14	Aegon N V Perp Cap Secs Fltg Rate /BBB SYMBOL. AEB	11/30/07	$19 17	$268 40	02/09/09	$7 41	$103 73	-$164 67
19	Aegon N V Perp Cap Secs Fltg Rate /BBB SYMBOL AEB	11/30/07	$19.17	$364 26	10/23/09	$14 61	$277 60	-$86 66
90	Aegon N V Perp Cap Secs Fltg Rate /BBB SYMBOL. AEB	03/17/08	$18 30	$1,647 00	10/23/09	$14 61	$1,314 97	-$332 03
105	Aegon N V Perp Cap Secs Fltg Rate /BBB SYMBOL AEB	03/17/08	$18.30	$1,921.50	12/22/09	$16 38	$1,720 38	-$201 12
17	Aegon Nv 6 875% Perpetual Capital /BBB SYMBOL AEV	11/30/07	$21 87	$371.79	10/23/09	$17 16	$291 71	-$80 08
2	Aegon Nv 6 875% Perpetual Capital /BBB SYMBOL. AEV	03/17/08	$22 25	$44 50	10/23/09	$17 16	$34 32	-$10 18
19	Aegon Nv 6 875% Perpetual	03/17/08	$22 25	$422 75	12/22/09	$18 40	$349 59	-$73 16

	Capital /BBB SYMBOL AEV							
33	Aegon Nv 7 25% Perpetual Cap Secs Pfd /BBB SYMBOL AEF	11/30/07	$22 90	$755 70	10/23/09	$17 95	$592 33	-$163 37
5	Aegon Nv 7.25% Perpetual Cap Secs Pfd /BBB SYMBOL AEF	03/17/08	$22 52	$112 60	10/23/09	$17.95	$89 75	-$22 85
37	Aegon Nv 7 25% Perpetual Cap Secs Pfd /BBB SYMBOL AEF	03/17/08	$22.52	$833 24	12/22/09	$19 55	$723 33	-$109 91
18	Aegon Nv Non Cum 6 50% Perp Capital Securities /BBB SYMBOL AED	11/30/07	$21 07	$379 26	10/23/09	$16 26	$292 67	-$86 59
2	Aegon Nv Non Cum 6 50% Perp Capital Securities /BBB SYMBOL AED	03/17/08	$20 97	$41 94	10/23/09	$16 26	$32.52	-$9 42
20	Aegon Nv Non Cum 6 50% Perp Capital Securities /BBB SYMBOL AED	03/17/08	$20 97	$419 40	12/22/09	$17 68	$353 59	-$65 81
22	Alabama Power Company 5 875 Ser 2007b Sr Notes /A SYMBOL ALM	03/17/08	$24 54	$539 88	10/15/09	$25 85	$568.68	$28 80
10	Alabama Power Company 5 875 Ser 2007b Sr Notes /A SYMBOL ALM	03/17/08	$24 54	$245 40	10/23/09	$25 62	$256 19	$10 79
10	Alabama Power Company 5 875 Ser 2007b Sr Notes /A SYMBOL ALM	03/17/08	$24 54	$245 40	11/16/09	$24 95	$249 49	$4 09
193	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	06/17/08	$25 01	$4,826 17	10/23/09	$24 01	$4,634 16	-$192 01
15	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	06/17/08	$25 01	$375 09	12/03/09	$24 12	$361 79	-$13 30
20	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	10/06/08	$18 28	$365 51	12/03/09	$24 12	$482 38	$116 87
17	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	10/06/08	$18 28	$310 68	12/04/09	$24 15	$410 53	$99 85
2	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	11/20/08	$15 90	$31 79	12/04/09	$24 15	$48 30	$16 51
32	Allianz Se 8 375% Undated Subordinated /A+ CUSIP 018805200	11/20/08	$15 90	$508 67	12/10/09	$24 13	$772 09	$263 43
62	Arch Capital Group Ltd 8% Non Cum Pfd Ser A /BBB- SYMBOL ARH-A	09/11/08	$22 03	$1,365 86	10/23/09	$24 95	$1,546 86	$181 00
32	Arch Capital Group Ltd 8% Non Cum Pfd Ser A /BBB- SYMBOL ARH-A	09/11/08	$22 03	$704 96	12/22/09	$24 39	$780 45	$75 49
11	Arch Capital Group Ltd 7 875% Non-Cum Pfd Ser B SYMBOL ARH-B	12/08/08	$17 25	$189 75	12/22/09	$24 90	$273 89	$84 14
21	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	11/30/07	$24 14	$506 98	03/31/09	$25 08	$526 69	$19 71
14	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	11/30/07	$24 14	$337 99	04/22/09	$25 24	$353 35	$15 36
17	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	11/30/07	$24 14	$410 41	07/27/09	$26 53	$450 96	$40 55
41	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	11/30/07	$24 14	$989.81	10/23/09	$26 44	$1,084 01	$94 20
45	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	03/18/08	$25 03	$1,126 35	10/23/09	$26 44	$1,189 77	$63 42
70	At&T Inc 6 375% Senior Note Due /A SYMBOL ATT	03/18/08	$25 03	$1,752.10	12/22/09	$26 75	$1,872 45	$120 35
15	At&T Inc 6 375% Senior Note Due /A	09/30/08	$23 10	$346.50	12/22/09	$26 75	$401 24	$54 74

	SYMBOL ATT							
4	Bac Capital Trust V 6% Trust Pfd Secs Due 11/3/34 /BB SYMBOL BAC-Y	11/30/07	$21 08	$84.32	02/09/09	$12 76	$51.03	-$33 29
14	Bac Capital Trust V 6% Trust Pfd Secs Due 11/3/34 /BB SYMBOL BAC-Y	11/30/07	$21 08	$295 12	10/23/09	$19.13	$267 81	-$27 31
6	Bac Capital Trust V 6% Trust Pfd Secs Due 11/3/34 /BB SYMBOL BAC-Y	03/17/08	$19 79	$118 74	10/23/09	$19 13	$114 78	-$3 96
20	Bac Capital Trust V 6% Trust Pfd Secs Due 11/3/34 /BB SYMBOL BAC-Y	03/17/08	$19 79	$395 80	12/22/09	$19 40	$387 99	-$7 81
17	Bac Capital Trust X 6 25% Cum Pfd Due 03/29/2055 /BB SYMBOL BAC-B	11/30/07	$22 04	$374 68	10/23/09	$18 93	$321 79	-$52 89
4	Bac Capital Trust X 6 25% Cum Pfd Due 03/29/2055 /BB SYMBOL BAC-B	03/17/08	$22 05	$88 20	10/23/09	$18 93	$75 72	-$12 48
18	Bac Capital Trust X 6 25% Cum Pfd Due 03/29/2055 /BB SYMBOL BAC-B	03/17/08	$22 05	$396 90	12/22/09	$20 19	$363 41	-$33 49
32	Bac Capital Trust XII 6 875% Cum Pfd Due 08/2/2055 /BB SYMBOL BAC-C	07/18/08	$20 47	$655 04	10/23/09	$21 56	$689 90	$34 86
4	Bac Capital Trust XII 6 875% Cum Pfd Due 08/2/2055 /BB SYMBOL BAC-C	07/23/08	$22 23	$88 93	10/23/09	$21 56	$86 24	-$2 69
35	Bac Capital Trust XII 6 875% Cum Pfd Due 08/2/2055 /BB SYMBOL BAC-C	07/23/08	$22 23	$778 16	12/22/09	$21 79	$762 63	-$15 53
21	Bank Of America Corp 6 204% Pfd Ser D /BB SYMBOL BAC-D	11/30/07	$22 19	$465 95	02/09/09	$10 01	$210 20	-$255 75
81	Bank Of America Corp 6 204% Pfd Ser D /BB SYMBOL BAC-D	11/30/07	$22 19	$1,797 22	03/05/09	$5 73	$463 82	-$1,333 41
3	Bank Of America Corporation Dep Sh Repstg 1/1000th /BB SYMBOL BAC-I	12/18/07	$22 73	$68 19	02/09/09	$9 86	$29 57	-$38 62
15	Bank Of America Corporation Dep Sh Repstg 1/1000th /BB SYMBOL BAC-I	12/18/07	$22 73	$340 95	03/05/09	$5 68	$85 15	-$255 80
7	Bank One Capital Trust VI 7 20% Trust Pfd Due 10/15/31 /NR SYMBOL ONE-W	11/20/08	$17 40	$121 80	12/22/09	$25 25	$176 74	$54 94
4	Barclays Bk Plc 6 625% Non Cum Pfd Adr Ser 2 /A- SYMBOL BCSPR	11/30/07	$21 93	$87 72	07/07/09	$17 55	$70 19	-$17 53
52	Barclays Bk Plc 6 625% Non Cum Pfd Adr Ser 2 /A- SYMBOL BCSPR	11/30/07	$21 93	$1,140 40	10/23/09	$20 32	$1,056 61	-$83 79
32	Barclays Bk Plc 6 625% Non Cum Pfd Adr Ser 2 /A- SYMBOL BCSPR	03/17/08	$22 12	$707 84	10/23/09	$20.32	$650.22	-$57 62
78	Barclays Bk Plc 6 625% Non Cum Pfd Adr Ser 2 /A- SYMBOL BCSPR	03/17/08	$22.12	$1,725 36	12/22/09	$20.35	$1,587 25	-$138 11
4	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	11/30/07	$23 49	$93 96	07/07/09	$18 13	$72 53	-$21 43
60	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	11/30/07	$23.49	$1,409 40	10/23/09	$22 20	$1,332 14	-$77 26
64	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	03/17/08	$23 75	$1,520 00	10/23/09	$22 20	$1,420 95	-$99 05
12	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	03/17/08	$23 75	$285 00	12/22/09	$21 89	$262 69	-$22 31
10	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	07/29/08	$21 64	$216 40	12/22/09	$21.89	$218 90	$2 50
37	Barclays Bk Plc Adr Pfd Shs Ser 3 Divd - 7 10% SYMBOL BCS-A	09/22/08	$16 47	$609 28	12/22/09	$21 89	$809 95	$200 67
61	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	05/16/08	$25 22	$1,538 42	10/23/09	$24 56	$1,498 12	-$40 30

33	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	07/23/08	$23 39	$771 84	10/23/09	$24 56	$810 46	$38 62
1	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	07/23/08	$23 39	$23 39	12/22/09	$24 38	$24 38	$0 99
35	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	10/31/08	$16 55	$579 14	12/22/09	$24 39	$853 63	$274 49
19	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	11/21/08	$11 99	$227 87	12/22/09	$24 39	$463 40	$235 53
19	Barclays Bk Plc 8 125% Perp Non Cum Pfd Adr /A- SYMBOL BCS-D	12/04/08	$12.65	$240 35	12/22/09	$24 39	$463 40	$223 05
32	Bb&T Capital Trust V Enhanced Tr Pfd Secs 8 95% /BBB SYMBOL BBT-A	09/10/08	$24 58	$786 43	10/23/09	$26 43	$845 73	$59 30
30	Bb&T Capital Trust V Enhanced Tr Pfd Secs 8 95% /BBB SYMBOL BBT-A	09/10/08	$24 58	$737 28	12/22/09	$26 52	$795 57	$58 29
75	Berkley W R Cap Trust II 6 75% Trust Orig Pfd Secs Due /BBB- SYMBOL WRB-A	11/30/07	$21 58	$1,618 43	10/23/09	$23 15	$1,736 21	$117 78
42	Berkley W R Cap Trust II 6 75% Trust Orig Pfd Secs Due /BBB- SYMBOL WRB-A	03/17/08	$21 29	$894 18	10/23/09	$23 15	$972 27	$78 09
97	Berkley W R Cap Trust II 6 75% Trust Orig Pfd Secs Due /BBB- SYMBOL WRB-A	03/17/08	$21 29	$2,065 13	12/22/09	$22 27	$2,160 38	$95 25
4	Bny Capital V 5 95% Trust Pfd Secs Due 5/1/33 /A- SYMBOL BKPRF	11/30/07	$21 45	$85 80	07/07/09	$22 18	$88 73	$2 93
75	Bny Capital V 5 95% Trust Pfd Secs Due 5/1/33 /A- SYMBOL BKPRF	11/30/07	$21 45	$1,608 75	10/23/09	$24 91	$1,867 90	$259 15
40	Bny Capital V 5 95% Trust Pfd Secs Due 5/1/33 /A- SYMBOL BKPRF	03/17/08	$20 70	$828 00	10/23/09	$24 91	$996 21	$168 21
106	Bny Capital V 5 95% Trust Pfd Secs Due 5/1/33 /A- SYMBOL BKPRF	03/17/08	$20 70	$2,194 20	12/22/09	$25 02	$2,651 94	$457 74
4	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	11/30/07	$21 29	$85 16	07/07/09	$17 32	$69 26	-$15 90
119	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	11/30/07	$21 29	$2,533.51	10/23/09	$21 18	$2,520 64	-$12 87
73	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	03/17/08	$21 66	$1,581 18	10/23/09	$21 18	$1,546 27	-$34 91
85	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	03/17/08	$21 66	$1,841 10	12/22/09	$21 06	$1,790 39	-$50 71
14	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	07/29/08	$19 95	$279 30	12/22/09	$21 06	$294 89	$15 59
30	Cbs Corp 6 75% Sr Nt Due 03/27/2056 /BBB- SYMBOL CPV	09/22/08	$18 52	$555 60	12/22/09	$21 06	$631 90	$76 30
18	Citigroup Cap XI 6% Trust Pfd Secs /BB- SYMBOL CPRQ	11/30/07	$20 87	$375 66	10/23/09	$17.35	$312 29	-$63 37
21	Citigroup Cap XI 6% Trust Pfd Secs /BB- SYMBOL CPRQ	03/17/08	$19.20	$403 20	10/23/09	$17 35	$364 34	-$38 86
6	Citigroup Cap XI 6% Trust Pfd Secs /BB- SYMBOL CPRQ	03/17/08	$19 20	$115 20	12/22/09	$17 95	$107 68	-$7 52
26	Citigroup Cap XI 6% Trust Pfd Secs /BB- SYMBOL CPRQ	11/20/08	$8 35	$217 10	12/22/09	$17 95	$466 63	$249 53
15	Citigroup Cap Xiv 6 875% Enhanced Tr Pfd Secs /BB- SYMBOL CPRO	11/30/07	$23 40	$351 00	07/02/09	$18 04	$270 63	-$80 37
2	Citigroup Cap Xiv 6 875% Enhanced Tr Pfd Secs /BB- SYMBOL CPRO	11/30/07	$23 40	$46.80	07/08/09	$16 49	$32 98	-$13 82
21	Citigroup Cap Xiv 6 875% Enhanced Tr Pfd Secs	03/17/08	$21 75	$456 75	07/08/09	$16 49	$346 28	-$110 47

	/BB- SYMBOL CPRO							
9	Citigroup Cap Xv 6 50% Enhanced Tr Pfd Secs /BB- SYMBOL CPRU	11/30/07	$21 37	$192 33	02/09/09	$10 45	$94 04	-$98 29
44	Citigroup Cap Xv 6 50% Enhanced Tr Pfd Secs /BB- SYMBOL CPRU	11/30/07	$21.37	$940 28	07/07/09	$16 15	$710 57	-$229 71
5	Citigroup Cap Xv 6 50% Enhanced Tr Pfd Secs /BB- SYMBOL CPRU	03/17/08	$19 70	$98 50	07/07/09	$16 15	$80 75	-$17 75
73	Citigroup Cap Xv 6 50% Enhanced Tr Pfd Secs /BB- SYMBOL CPRU	03/17/08	$19 70	$1,438 10	07/13/09	$16.16	$1,179 99	-$258 11
3	Citigroup Cap Xvi 6 45% Enhanced Tr Pfd Secs /BB- SYMBOL CPRW	11/30/07	$21 25	$63 76	02/09/09	$10 35	$31 04	-$32 72
9	Citigroup Cap Xvi 6 45% Enhanced Tr Pfd Secs /BB- SYMBOL CPRW	11/30/07	$21 25	$191.29	07/15/09	$17 10	$153 92	-$37 37
16	Citigroup Cap Xvi 6 45% Enhanced Tr Pfd Secs /BB- SYMBOL CPRW	03/17/08	$19 65	$314 40	07/15/09	$17 10	$273 64	-$40 76
29	Citigroup Cap Xvi 6 45% Enhanced Tr Pfd Secs /BB- SYMBOL CPRW	03/17/08	$19 65	$569 85	10/23/09	$18 36	$532 42	-$37 43
27	Citigroup Cap Xvi 6 45% Enhanced Tr Pfd Secs /BB- SYMBOL CPRW	03/17/08	$19 65	$530 55	12/22/09	$19 21	$518 65	-$11 90
5	Citigroup Cap Xvii 6 35% Enhanced Tr Pfd /BB- SYMBOL CPRE	11/30/07	$21 05	$105 25	02/09/09	$10 15	$50 74	-$54 51
31	Citigroup Cap Xvii 6 35% Enhanced Tr Pfd /BB- SYMBOL CPRE	11/30/07	$21 05	$652 55	10/23/09	$17 50	$542 49	-$110 06
11	Citigroup Cap Xvii 6 35% Enhanced Tr Pfd /BB- SYMBOL CPRE	03/17/08	$19 02	$209 22	10/23/09	$17 50	$192 49	-$16 73
41	Citigroup Cap Xvii 6 35% Enhanced Tr Pfd /BB- SYMBOL CPRE	03/17/08	$19 02	$779 82	12/22/09	$18 37	$753 15	-$26 67
5	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	11/30/07	$20 41	$102 05	02/09/09	$10 75	$53 74	-$48 31
14	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	11/30/07	$20 41	$285.74	10/23/09	$17 42	$243 87	-$41 87
27	Citigroup Capital Ix 6 00% Trust Pfd Secs (Trups) /BB- SYMBOL CPRS	03/17/08	$18 88	$509 76	10/23/09	$17 42	$470 33	-$39 43
18	Citigroup Capital Viii 6 95% Trust Pfd Secs (Trups) /BB- SYMBOL CPRZ	11/18/08	$14 78	$266 11	12/22/09	$20 55	$369 89	$103 78
18	Citigroup Capital X 6 1% Trust Pfd Secs (Trups) /BB- SYMBOL CPRR	11/30/07	$20 75	$373.50	10/23/09	$17 38	$312 83	-$60 67
19	Citigroup Capital X 6 1% Trust Pfd Secs (Trups) /BB- SYMBOL CPRR	03/17/08	$19 11	$363 09	10/23/09	$17 38	$330 21	-$32 88
8	Citigroup Capital X 6 1% Trust Pfd Secs (Trups) /BB- SYMBOL CPRR	03/17/08	$19 11	$152 88	12/22/09	$18 71	$149 68	-$3 20
6	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	11/30/07	$23 39	$140 34	02/09/09	$22 65	$135 89	-$4 45
74	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	11/30/07	$23 39	$1,730 89	10/23/09	$24 73	$1,830 10	$99 22
33	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	03/17/08	$23 01	$759 33	10/23/09	$24 73	$816 13	$56 80
75	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	03/17/08	$23 01	$1,725 75	12/22/09	$24 83	$1,862 42	$136 67
29	Comcast Corp 7 00% Sr Unsec Nts Due 9/15/55 /BBB+ SYMBOL CCW	10/01/08	$19 10	$553 89	12/22/09	$24 83	$720 14	$166 25
16	Comcast Corporation 7% Sr Unsec Nts Due 05/15/2055 /BBB+ SYMBOL CCT	11/30/07	$23.86	$381.76	10/23/09	$24 88	$398 06	$16 30

2	Comcast Corporation 7% Sr Unsec Nts Due 05/15/2055 /BBB+ SYMBOL CCT	03/17/08	$24 34	$48 68	10/23/09	$24 88	$49 76	$1 08
18	Comcast Corporation 7% Sr Unsec Nts Due 05/15/2055 /BBB+ SYMBOL CCT	03/17/08	$24 34	$438 12	12/22/09	$25 11	$451 96	$13 84
51	Comcast Corporation Notes 6 625% Due 05/15/2056 SYMBOL CCS	11/30/07	$22 16	$1,130.38	10/23/09	$23 92	$1,219 88	$89 50
24	Comcast Corporation Notes 6 625% Due 05/15/2056 SYMBOL CCS	03/17/08	$22.10	$530 40	10/23/09	$23 92	$574 07	$43 67
21	Comcast Corporation Notes 6 625% Due 05/15/2056 SYMBOL CCS	02/01/08	$23 21	$487 35	10/23/09	$23 92	$502 31	$14 96
60	Comcast Corporation Notes 6 625% Due 05/15/2056 SYMBOL CCS	03/17/08	$22 10	$1,326 00	12/22/09	$24 02	$1,441 16	$115 16
32	Comcast Corporation Notes 6 625% Due 05/15/2056 SYMBOL CCS	09/30/08	$17 41	$557 22	12/22/09	$24 02	$768 62	$211 40
4	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	03/27/08	$24 80	$99.20	04/27/09	$19.35	$77 39	-$21 81
68	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	03/27/08	$24 80	$1,686 40	10/23/09	$25 20	$1,713 56	$27 16
37	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	05/02/08	$25 50	$943 50	10/23/09	$25.20	$932 38	-$11 12
31	Credit Suisse Guernsey Brh Cap Nt Tier 1 Pfd 7 9% /BBB+ SYMBOL CRP	06/13/08	$24 96	$773 73	10/23/09	$25 20	$781 18	$7 45
7	Deutsche Bk Cap Fdg Tr VIII 6 375% Tr Pfd Secs /BBB+ SYMBOL DUA	11/30/07	$21.52	$150 67	02/09/09	$12.17	$85 18	-$65 49
62	Deutsche Bk Cap Fdg Tr VIII 6 375% Tr Pfd Secs /BBB+ SYMBOL DUA	11/30/07	$21 52	$1,334 55	10/23/09	$22 15	$1,373 26	$38 71
12	Deutsche Bk Cap Fdg Tr VIII 6 375% Tr Pfd Secs /BBB+ SYMBOL DUA	03/17/08	$22 49	$269 88	10/23/09	$22 15	$265 79	-$4 09
73	Deutsche Bk Cap Fdg Tr VIII 6 375% Tr Pfd Secs /BBB+ SYMBOL DUA	03/17/08	$22 49	$1,641 77	12/22/09	$21 80	$1,591 35	-$50 42
26	Deutsche Bk Contingent Cap Tr Tr Pfd Sec Ser 6 55% /BBB+ SYMBOL DXB	11/30/07	$22.38	$581 93	02/09/09	$12 39	$322 24	-$259 69
108	Deutsche Bk Contingent Cap Tr Tr Pfd Sec Ser 6 55% /BBB+ SYMBOL DXB	11/30/07	$22 38	$2,417 26	10/23/09	$21 10	$2,279 31	-$137 94
41	Deutsche Bk Contingent Cap Tr Tr Pfd Sec Ser 6 55% /BBB+ SYMBOL DXB	03/17/08	$21 50	$881.50	10/23/09	$21 10	$865 30	-$16 20
148	Deutsche Bk Contingent Cap Tr Tr Pfd Sec Ser 6 55% /BBB+ SYMBOL DXB	03/17/08	$21 50	$3,182 00	12/22/09	$20 57	$3,044 72	-$137 28
95	Everest Re Cap Trust II 6 20% Tr Pfd Ser D Due 3/29/34 /BBB SYMBOL REPRB	11/30/07	$19 89	$1,889 40	10/23/09	$21 33	$2,026 44	$137 05
56	Everest Re Cap Trust II 6 20% Tr Pfd Ser D Due 3/29/34 /BBB SYMBOL REPRB	03/17/08	$18.59	$1,041 04	10/23/09	$21.33	$1,194 54	$153 50
139	Everest Re Cap Trust II 6 20% Tr Pfd Ser D Due 3/29/34 /BBB SYMBOL REPRB	03/17/08	$18 59	$2,584 01	12/22/09	$20 20	$2,808 15	$224 14
3	Fifth Third Cap Trust VI 7 2500% Gtd Tr Pfd Secs /BB SYMBOL FTB-B	11/30/07	$23 00	$69 00	02/09/09	$11.36	$34 07	-$34 93
30	Fifth Third Cap Trust VI 7 2500% Gtd Tr Pfd Secs /BB SYMBOL FTB-B	11/30/07	$23 00	$690 00	10/23/09	$19 86	$595 78	-$94 22
11	Fifth Third Cap Trust VI 7 2500% Gtd Tr Pfd	03/17/08	$19 30	$212 30	10/23/09	$19 86	$218 45	$6 15

	Secs /BB SYMBOL FTB-B							
38	Fifth Third Cap Trust VI 7 2500% Gtd Tr Pfd Secs /BB SYMBOL FTB-B	03/17/08	$19.30	$733 40	12/22/09	$20 27	$770 24	$36 84
29	Fpl Group Cap I 5 875% Pfd Secs Due 03/15/2044 /BBB SYMBOL FPL-C	09/23/08	$19 02	$551.58	09/29/09	$25 25	$732 22	$180 64
6	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL. FGC	11/30/07	$24 74	$148 44	02/09/09	$25 50	$152 99	$4 55
3	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL FGC	11/30/07	$24 74	$74 22	04/27/09	$24 45	$73 36	-$0 86
52	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL. FGC	11/30/07	$24 74	$1,286 48	10/23/09	$25 22	$1,311 40	$24 92
17	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL. FGC	03/17/08	$25 03	$425 51	10/23/09	$25.22	$428 73	$3 22
4	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL FGC	03/17/08	$25 03	$100 12	12/02/09	$25 61	$102 43	$2 31
56	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL FGC	03/17/08	$25 03	$1,401 68	12/22/09	$25 80	$1,444 76	$43 08
7	Fpl Group Cap Inc Jr Sub Deb Pfd Ser A /BBB SYMBOL FGC	07/29/08	$24 93	$174 51	12/22/09	$25 80	$180 59	$6 08
11	General Elec Cap Corp Preferred Sec 6 05% /AA+ SYMBOL· GEG	11/30/07	$24 56	$270 16	02/09/09	$20 81	$228 93	-$41 23
50	General Elec Cap Corp Preferred Sec 6 05% /AA+ SYMBOL GEG	11/30/07	$24 56	$1,228 00	10/23/09	$24 31	$1,215 46	-$12 54
17	General Elec Cap Corp Preferred Sec 6 05% /AA+ SYMBOL. GEG	03/17/08	$25 30	$430 10	10/23/09	$24 31	$413 26	-$16 84
59	General Elec Cap Corp Preferred Sec 6 05% /AA+ SYMBOL GEG	03/17/08	$25 30	$1,492 70	12/22/09	$24 10	$1,421 86	-$70 84
8	General Elec Cap Corp Preferred Sec 6 05% /AA+ SYMBOL. GEG	07/29/08	$25 09	$200 72	12/22/09	$24 10	$192 79	-$7 93
3	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL GER	11/30/07	$25 84	$77 52	02/09/09	$22 05	$66 14	-$11 38
41	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL. GER	11/30/07	$25 84	$1,059 44	10/23/09	$24 90	$1,020 87	-$38 57
35	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL GER	03/17/08	$25 59	$895 65	10/23/09	$24 90	$871 48	-$24 17
23	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL. GER	03/17/08	$25 59	$588 57	12/22/09	$25.03	$575 67	-$12 90
35	General Electric Capital Corp 6 45% Senior Notes Due /AA+ SYMBOL GER	09/22/08	$22 58	$790 30	12/22/09	$25 03	$876 03	$85 73
3	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL GEJ	11/30/07	$24 35	$73 05	04/27/09	$20 01	$60 02	-$13 03
12	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL. GEJ	11/30/07	$24 35	$292 20	10/23/09	$23 50	$281 99	-$10 21
7	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL. GEJ	12/19/07	$24 51	$171 58	10/23/09	$23 50	$164 50	-$7 08
12	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL. GEJ	01/30/08	$25 33	$303 96	10/23/09	$23 50	$281 99	-$21 97
2	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL. GEJ	03/17/08	$25 07	$50 14	10/23/09	$23.50	$47 00	-$3 14
33	General Electric Capital Corp 6 00% Pfd Ser /AA+ SYMBOL. GEJ	03/17/08	$25 07	$827 31	12/22/09	$23 95	$790 32	-$36 99
15	General Electric Capital Corp 5 875% Sr Nts Due 2/18/33 /AA+	11/30/07	$24 14	$362 10	10/23/09	$23 45	$351 73	-$10 37

	SYMBOL. GED							
4	General Electric Capital Corp 5 875% Sr Nts Due 2/18/33 /AA+ SYMBOL GED	03/17/08	$23 99	$95 96	10/23/09	$23 45	$93 80	-$2 16
17	General Electric Capital Corp 5 875% Sr Nts Due 2/18/33 /AA+ SYMBOL. GED	03/17/08	$23 99	$407 83	12/22/09	$23 46	$398 80	-$9 03
15	Georgia Power Co Ser O 5 9% Sr Nt Due 4/15/33 /A SYMBOL GPD	11/30/07	$23 09	$346 41	01/22/09	$24 34	$365 09	$18 68
1	Georgia Power Co Ser O 5 9% Sr Nt Due 4/15/33 /A SYMBOL GPD	11/30/07	$23 09	$23 09	02/04/09	$24 65	$24 65	$1 56
43	Georgia Power Co Ser O 5 9% Sr Nt Due 4/15/33 /A SYMBOL. GPD	03/17/08	$23 02	$989 86	10/23/09	$24 98	$1,074 11	$84 25
42	Georgia Power Co Ser O 5 9% Sr Nt Due 4/15/33 /A SYMBOL GPD	03/17/08	$23 02	$966 84	12/22/09	$25 19	$1,057 95	$91 11
3	Goldman Sachs Group Inc (The) Fltg Rate Depositary Sh Repstg /BBB SYMBOL GSPRA	03/17/08	$17 73	$53 19	04/27/09	$13 52	$40 55	-$12 64
31	Goldman Sachs Group Inc (The) Fltg Rate Depositary Sh Repstg /BBB SYMBOL GSPRA	03/17/08	$17 73	$549 63	10/07/09	$20 39	$632 01	$82 38
44	Goldman Sachs Group Inc (The) Fltg Rate Depositary Sh Repstg /BBB SYMBOL GSPRA	03/17/08	$17 73	$780 12	10/23/09	$21 35	$939 37	$159 25
44	Goldman Sachs Group Inc (The) Fltg Rate Depositary Sh Repstg /BBB SYMBOL. GSPRA	03/17/08	$17 73	$780.12	12/22/09	$21 98	$967 09	$186 97
6	Hrpt Properties Trust 7 125% Cum Red Pfd Ser C /BB+ SYMBOL HRP-C	11/30/07	$21 65	$129.90	02/09/09	$11 70	$70 19	-$59 71
36	Hrpt Properties Trust 7 125% Cum Red Pfd Ser C /BB+ SYMBOL HRP-C	11/30/07	$21 65	$779 40	10/23/09	$20 08	$722 86	-$56 54
44	Hrpt Properties Trust 7 125% Cum Red Pfd Ser C /BB+ SYMBOL HRP-C	03/17/08	$18 96	$834 24	10/23/09	$20 08	$883 49	$49 25
75	Hrpt Properties Trust 7 125% Cum Red Pfd Ser C /BB+ SYMBOL. HRP-C	03/17/08	$18 96	$1,422 00	12/22/09	$20 06	$1,504 47	$82 47
14	Hsbc Finance Corp 6 875% Senior Nts Due 1/30/33 /A SYMBOL HTB	10/01/08	$19 93	$279 02	10/23/09	$23 96	$335 43	$56 42
14	Hsbc Finance Corp 6 875% Senior Nts Due 1/30/33 /A SYMBOL HTB	10/01/08	$19 93	$279 02	12/22/09	$24 44	$342 15	$63 14
14	Hsbc Holdings Plc Perp Sub Cap Secs 8 125% Pfd /A- SYMBOL HCS	07/18/08	$25 38	$355 32	10/23/09	$25 95	$363 29	$7 97
23	Hsbc Holdings Plc Perp Sub Cap Secs 8 125% Pfd /A- SYMBOL HCS	09/22/08	$24 72	$568 59	10/23/09	$25 95	$596 83	$28 24
5	Hsbc Holdings Plc Perp Sub Cap Secs 8 125% Pfd /A- SYMBOL. HCS	09/22/08	$24 72	$123 61	12/22/09	$26 38	$131 90	$8 29
3	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	11/30/07	$20 63	$61 89	04/27/09	$16.30	$48 89	-$13 00
33	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	11/30/07	$20 63	$680 79	10/23/09	$21 92	$723 34	$42 55
43	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	03/17/08	$21 46	$922 78	10/23/09	$21 92	$942 54	$19 76
11	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	09/22/08	$18 44	$202 83	10/23/09	$21 92	$241 11	$38 28
22	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	09/22/08	$18 44	$405 66	12/22/09	$20 94	$460 67	$55 01

17	Hsbc Holdings Plc Ads 6 20% Non-Cum Pref Shs Ser A /A- SYMBOL HBC-A	11/21/08	$15 37	$261 29	12/22/09	$20 94	$355 97	$94 68
3	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	11/30/07	$22 91	$68.73	02/09/09	$11 35	$34 04	-$34 69
13	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	11/30/07	$22 91	$297 83	08/31/09	$13 82	$179 71	-$118 12
22	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	03/17/08	$22 76	$500 72	08/31/09	$13 82	$304 12	-$196 60
36	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	07/23/08	$21 44	$771 69	08/31/09	$13 82	$497 66	-$274 03
2	Ing Groep N V 7 05% Perp Debt Secs /BB SYMBOL IND	07/23/08	$21 44	$42 87	10/23/09	$16 37	$32 74	-$10 13
18	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	11/30/07	$20 40	$367 20	02/09/09	$10 25	$184 49	-$182 71
7	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	01/16/08	$23.22	$162.55	02/09/09	$10 25	$71 75	-$90 80
3	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	01/16/08	$23 22	$69 66	07/07/09	$14 99	$44 96	-$24 70
44	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	03/17/08	$21 35	$939 40	09/25/09	$14 42	$634 50	-$304 90
8	Ing Groep N V 6 375% Perp Hybrid Cap Secs /BB SYMBOL ISF	01/16/08	$23 22	$185 77	09/25/09	$14 42	$115 37	-$70 39
67	Ing Groep N V 8 50% Ing Perpetual Hybrid Cap /BB SYMBOL IGK	06/13/08	$24 93	$1,670 31	09/24/09	$19 00	$1,272 99	-$397 32
31	Ing Groep N V Pref Ctf 7 3750% Perp Hybrid Cap Secs /BB SYMBOL IDG	11/30/07	$24 54	$760 74	10/23/09	$16 43	$509 31	-$251 43
18	Ing Groep N V Pref Ctf 7 3750% Perp Hybrid Cap Secs /BB SYMBOL IDG	01/16/08	$25 20	$453 62	10/23/09	$16 43	$295 73	-$157 89
5	Ing Groep N V Pref Ctf 7 3750% Perp Hybrid Cap Secs /BB SYMBOL IDG	03/17/08	$24 65	$123 25	10/23/09	$16 43	$82 15	-$41 10
51	Ing Groep N V Pref Ctf 7 3750% Perp Hybrid Cap Secs /BB SYMBOL IDG	03/17/08	$24 65	$1,257 15	12/22/09	$20 21	$1,030 68	-$226 47
37	Ing Groep Nv 6 2% Perp Debt Secs /BB SYMBOL ISP	11/30/07	$20 31	$751 47	09/10/09	$13 72	$507 47	-$244 00
42	Ing Groep Nv 6 2% Perp Debt Secs /BB SYMBOL ISP	03/17/08	$21 62	$908 04	09/10/09	$13 72	$576 05	-$331 99
3	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	11/30/07	$20 37	$61 12	02/09/09	$10 17	$30 50	-$30 62
47	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	11/30/07	$20 37	$957 50	08/25/09	$13 61	$639 55	-$317 95
47	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	03/17/08	$21 28	$1,000 16	08/25/09	$13 61	$639 55	-$360 61
26	Ing Groep Nv Nc5 6 125% Perp Debt Secs /BB SYMBOL ISG	03/17/08	$21 28	$553 28	09/10/09	$13 65	$354 85	-$198 43
17	Jp Morgan Chase Cap Xiv 6 20% Tr Pfd Secs Due 10/15/34 /BBB+ SYMBOL JPM-Y	11/30/07	$22 18	$377 06	10/23/09	$22.97	$390 47	$13 41
3	Jp Morgan Chase Cap Xiv 6 20% Tr Pfd Secs Due 10/15/34 /BBB+ SYMBOL JPM-Y	03/17/08	$23 04	$69 12	10/23/09	$22 97	$68 91	-$0 21
18	Jp Morgan Chase Cap Xiv 6 20% Tr Pfd Secs Due 10/15/34 /BBB+ SYMBOL JPM-Y	01/30/08	$23 80	$428 40	10/23/09	$22 97	$413 45	-$14 95
37	Jp Morgan Chase Cap	03/17/08	$23 04	$852 48	12/22/09	$24 18	$894 63	$42 15

	Xiv 6 20% Tr Pfd Secs Due 10/15/34 /BBB+ SYMBOL. JPM-Y							
3	Jp Morgan Chase Cap Xvi 6 35% Capital Secs /BBB+ SYMBOL. JPM-P	11/30/07	$22 00	$66.00	04/27/09	$19 75	$59 24	-$6 76
31	Jp Morgan Chase Cap Xvi 6 35% Capital Secs /BBB+ SYMBOL JPM-P	11/30/07	$22 00	$682 00	10/23/09	$23 39	$725 06	$43 06
5	Jp Morgan Chase Cap Xvi 6 35% Capital Secs /BBB+ SYMBOL JPM-P	03/17/08	$23 35	$116 75	10/23/09	$23.39	$116 95	$0 20
35	Jp Morgan Chase Cap Xvi 6 35% Capital Secs /BBB+ SYMBOL JPM-P	03/17/08	$23 35	$817 25	12/22/09	$24 24	$848 37	$31 12
8	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL. JPM-J	11/30/07	$24 16	$193 28	04/27/09	$21.95	$175 62	-$17 66
34	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL JPM-J	03/17/08	$24 30	$826.20	05/13/09	$23 15	$787 07	-$39 13
6	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL JPM-J	03/17/08	$24 30	$145 80	05/15/09	$22 90	$137 40	-$8 40
14	Jp Morgan Chase Capital X 7% Trust Pfd Due 02/15/2032 /BBB+ SYMBOL JPM-J	09/22/08	$22 42	$313 85	09/23/09	$25 10	$351 40	$37 56
16	Jp Morgan Chase Capital Xix 6 625% Trust Pfd Due /BBB+ SYMBOL JPM-S	11/30/07	$23 32	$373 12	10/23/09	$24 24	$387 82	$14 70
2	Jp Morgan Chase Capital Xix 6 625% Trust Pfd Due /BBB+ SYMBOL JPM-S	03/17/08	$24.47	$48 94	10/23/09	$24 24	$48 48	-$0 46
18	Jp Morgan Chase Capital Xix 6 625% Trust Pfd Due /BBB+ SYMBOL JPM-S	03/17/08	$24 47	$440 46	12/22/09	$25 00	$449 98	$9 52
3	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	11/30/07	$20 95	$62 85	02/09/09	$17 97	$53 90	-$8 95
33	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	11/30/07	$20 95	$691 35	10/23/09	$22.25	$734 23	$42 88
44	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	03/17/08	$21 74	$956 56	10/23/09	$22 25	$978 97	$22 41
16	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	09/22/08	$18 51	$296 22	10/23/09	$22.25	$355 99	$59 77
19	Jp Morgan Chase XI 5 875% Pfd Series 06/15/33 /BBB+ SYMBOL JPM-K	09/22/08	$18 51	$351 77	12/22/09	$21 69	$412 14	$60 37
21	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	05/20/08	$25 57	$536 97	10/02/09	$26 90	$564 88	$27 91
19	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL JPM-Z	05/20/08	$25 57	$485 83	10/22/09	$27 13	$515 45	$29 62
32	Jpmorgan Chase Cap Xxvi 8% Ser Z Fixed To Flt Cap Secs /BBB+ SYMBOL. JPM-Z	05/20/08	$25 57	$818 24	10/23/09	$27.20	$870 37	$52 13
6	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL KEY-E	11/30/07	$22.20	$133 20	02/09/09	$13 99	$83 93	-$49 27
21	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL KEY-E	11/30/07	$22 20	$466 20	07/07/09	$21 20	$445 23	-$20 97
34	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL. KEY-E	11/30/07	$22 20	$754 80	07/10/09	$21 22	$721 47	-$33 33
8	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL. KEY-E	11/30/07	$22 20	$177 60	07/14/09	$21 26	$170 11	-$7 49
102	Keycorp Cap Ix Tr Pfd Secs % /BB SYMBOL KEY-E	03/17/08	$19 13	$1,951.26	07/14/09	$21.26	$2,168 90	$217 64
5	Keycorp Cap Viii 7% Gtd Enhanced Tr	11/30/07	$22 12	$110 59	02/09/09	$14 10	$70 49	-$40 10

	Pfd Secs /BB SYMBOL. KEY-D							
4	Keycorp Cap VIII 7% Gtd Enhanced Tr Pfd Secs /BB SYMBOL KEY-D	11/30/07	$22.12	$88 47	04/27/09	$12.05	$48 19	-$40 28
11	Keycorp Cap VIII 7% Gtd Enhanced Tr Pfd Secs /BB SYMBOL. KEY-D	11/30/07	$22 12	$243 30	07/07/09	$21 25	$233 74	-$9 56
12	Keycorp Cap VIII 7% Gtd Enhanced Tr Pfd Secs /BB SYMBOL KEY-D	11/30/07	$22 12	$265 42	07/10/09	$21 23	$254 75	-$10 67
23	Keycorp Cap VIII 7% Gtd Enhanced Tr Pfd Secs /BB SYMBOL KEY-D	11/30/07	$22 12	$508 73	07/14/09	$21.26	$489 01	-$19 71
72	Keycorp Cap VIII 7% Gtd Enhanced Tr Pfd Secs /BB SYMBOL KEY-D	03/17/08	$20 14	$1,450 08	07/14/09	$21 26	$1,530 83	$80 75
5	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	11/30/07	$23 60	$118 00	02/09/09	$15 83	$79.14	-$38 86
6	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	11/30/07	$23 60	$141 60	04/27/09	$17 24	$103 45	-$38 15
5	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	11/30/07	$23 60	$118 00	10/23/09	$24 15	$120 75	$2 75
20	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL KIM-G	03/17/08	$23 19	$463 80	10/23/09	$24 15	$482 99	$19 19
40	Kimco Realty Corporation Dep Shs Repstg 1/100 7 7500% /BBB- SYMBOL. KIM-G	04/21/08	$24 15	$966 00	10/23/09	$24 15	$965 97	-$0 03
8	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL. LNC-G	11/30/07	$22 99	$183 92	02/09/09	$16 58	$132 66	-$51 26
4	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL LNC-G	11/30/07	$22 99	$91 96	07/07/09	$18 56	$74 22	-$17 74
53	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL LNC-G	11/30/07	$22 99	$1,218 47	10/23/09	$22 26	$1,180 03	-$38 44
56	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL. LNC-G	03/17/08	$23 24	$1,301 44	10/23/09	$22 26	$1,246 83	-$54.61
29	Lincoln National Corp 6 75% Cap Secs /BBB SYMBOL LNC-G	03/17/08	$23 24	$673 96	12/22/09	$22 05	$639 43	-$34 53
16	M&T Capital Trust Iv Gtd Enhanced Tr 8 50% Pfd Secs SYMBOL MTB-A	09/22/08	$21 47	$343 52	10/23/09	$25 81	$412 94	$69 42
15	M&T Capital Trust Iv Gtd Enhanced Tr 8 50% Pfd Secs SYMBOL MTB-A	09/22/08	$21 47	$322 05	12/22/09	$25 95	$389 23	$67 18
3	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL. MKV	11/30/07	$24 21	$72 63	02/09/09	$24 12	$72 35	-$0 28
3	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL MKV	11/30/07	$24 21	$72 63	04/27/09	$23 02	$69 05	-$3 58
56	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL MKV	11/30/07	$24 21	$1,355 76	10/23/09	$26 11	$1,462 12	$106 36
31	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL. MKV	03/17/08	$23 34	$723 54	10/23/09	$26 11	$809 39	$85 85
55	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL. MKV	03/17/08	$23 34	$1,283 70	12/22/09	$25.50	$1,402 46	$118 76
26	Markel Corp 7 50% Senior Debentures Due /BBB SYMBOL MKV	09/22/08	$20.49	$532 66	12/22/09	$25 50	$662 98	$130 32
14	Metlife Inc 6 5% Non Cum Pfd Ser B /BBB- SYMBOL. MET-B	11/30/07	$22 35	$312 90	02/09/09	$17 96	$251 43	-$61 47
63	Metlife Inc 6 5% Non Cum Pfd Ser B /BBB- SYMBOL. MET-B	11/30/07	$22 35	$1,408 05	10/23/09	$22 56	$1,421 39	$13 34
42	Metlife Inc 6 5% Non Cum Pfd Ser B /BBB- SYMBOL. MET-B	03/17/08	$22 35	$938 70	10/23/09	$22 56	$947 59	$8 89
83	Metlife Inc	03/17/08	$22.35	$1,855 05	12/22/09	$23 87	$1,980 82	$125 77

	6 5% Non Cum Pfd Ser B /BBB- SYMBOL: MET-B							
20	Metlife Inc 6 5% Non Cum Pfd Ser B /BBB- SYMBOL. MET-B	07/30/08	$20 84	$416 80	12/22/09	$23 87	$477 31	$60 51
3	Morgan Stanley Dep Shs Repstg 1/1000 Perp Pfd /BBB SYMBOL. MSPRA	03/17/08	$16.99	$50 97	04/27/09	$10 15	$30 46	-$20 51
53	Morgan Stanley Dep Shs Repstg 1/1000 Perp Pfd /BBB SYMBOL. MSPRA	03/17/08	$16 99	$900 47	10/23/09	$20 01	$1,060 50	$160 03
49	Morgan Stanley Dep Shs Repstg 1/1000 Perp Pfd /BBB SYMBOL MSPRA	03/17/08	$16 99	$832 51	12/22/09	$21 85	$1,070 62	$238 11
5	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	11/30/07	$20 94	$104 70	04/27/09	$15 75	$78 75	-$25 95
13	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	11/30/07	$20 94	$272 22	10/23/09	$21 88	$284 43	$12 21
29	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	03/17/08	$17 94	$520 26	10/23/09	$21 88	$634 50	$114 24
40	Morgan Stanley Cap Tr III 6 25% Cap Secs Due 03/01/2033 /BBB SYMBOL MWR	05/21/08	$21 13	$845 20	10/23/09	$21 88	$875 18	$29 98
4	Morgan Stanley Cap Trust V 5 75% Captial Secs Due 7/15/33 SYMBOL. MWO	11/30/07	$18 94	$75 76	02/09/09	$14 35	$57 39	-$18 37
16	Morgan Stanley Cap Trust V 5 75% Captial Secs Due 7/15/33 SYMBOL MWO	11/30/07	$18 94	$303 04	10/23/09	$20 09	$321 43	$18 39
28	Morgan Stanley Cap Trust V 5 75% Captial Secs Due 7/15/33 SYMBOL MWO	03/17/08	$17 87	$500 36	10/23/09	$20 09	$562 50	$62 14
9	Morgan Stanley Cap Trust VII 6 60% Capital Secs Due /BBB SYMBOL MSZ	11/30/07	$21 20	$190.80	02/09/09	$16 16	$145 43	-$45 37
43	Morgan Stanley Cap Trust VII 6 60% Capital Secs Due /BBB SYMBOL. MSZ	11/30/07	$21 20	$911 60	10/23/09	$22 41	$963.60	$52 00
19	Morgan Stanley Cap Trust VII 6 60% Capital Secs Due /BBB SYMBOL MSZ	03/17/08	$20 11	$382 09	10/23/09	$22 41	$425 78	$43 69
57	Morgan Stanley Cap Trust VII 6 60% Capital Secs Due /BBB SYMBOL MSZ	03/17/08	$20 11	$1,146 27	12/22/09	$22 21	$1,265 93	$119 66
18	Morgan Stanley Capital Trust Iv 6 25% Capital Secs Due /BBB SYMBOL MWG	11/30/07	$21 09	$379 62	10/23/09	$21 32	$383 75	$4 13
25	Morgan Stanley Capital Trust Iv 6 25% Capital Secs Due /BBB SYMBOL MWG	03/17/08	$17 99	$449 75	10/23/09	$21 32	$532 98	$83 23
4	Morgan Stanley Capital Trust Iv 6 25% Capital Secs Due /BBB SYMBOL MWG	03/17/08	$17 99	$71 96	12/22/09	$20 90	$83 59	$11 63
58	National Bk Greece S A Adr Pref Ser A /BB- SYMBOL NBG-A	06/02/08	$24 80	$1,438 40	10/23/09	$24 68	$1,431 40	-$7 00
15	National Bk Greece S A Adr Pref Ser A /BB- SYMBOL. NBG-A	06/02/08	$24 80	$372 00	12/22/09	$20.78	$311 69	-$60 31
2	National Rural Utility Cfc 5 95% Sub Def Int Nts /BBB SYMBOL NRU	11/30/07	$21 51	$43 02	04/27/09	$20 22	$40 43	-$2 59
1	National Rural Utility Cfc 5 95% Sub Def Int Nts /BBB SYMBOL NRU	03/17/08	$22 13	$22 13	04/27/09	$20 22	$20 22	-$1 91
24	National Rural Utility Cfc 5 95% Sub Def Int Nts /BBB	03/17/08	$22.13	$531 12	09/08/09	$22.50	$539 98	$8 86

	SYMBOL NRU							
53	National Rural Utility Cfc 5 95% Sub Def Int Nts /BBB SYMBOL NRU	03/17/08	$22 13	$1,172 89	10/23/09	$23 86	$1,264 54	$91 65
53	National Rural Utility Cfc 5.95% Sub Def Int Nts /BBB SYMBOL NRU	03/17/08	$22 13	$1,172 89	12/22/09	$23 60	$1,250 76	$77 87
3	Partnerre Ltd 6 5% Ser D Cum Redeemable Pfd Shs /BBB+ SYMBOL PRE-D	11/30/07	$20 75	$62 24	07/07/09	$19.84	$59 51	-$2 73
60	Partnerre Ltd 6 5% Ser D Cum Redeemable Pfd Shs /BBB+ SYMBOL PRE-D	11/30/07	$20 75	$1,244 81	10/23/09	$22 60	$1,356 29	$111 48
59	Partnerre Ltd 6 5% Ser D Cum Redeemable Pfd Shs /BBB+ SYMBOL PRE-D	03/17/08	$21 00	$1,239 00	10/23/09	$22 60	$1,333 68	$94 68
109	Partnerre Ltd 6 5% Ser D Cum Redeemable Pfd Shs /BBB+ SYMBOL PRE-D	03/17/08	$21.00	$2,289 00	12/22/09	$21 76	$2,371 77	$82 77
37	Pnc Cap Tr E Gtd Tr 7 7500% Pfd Secs /BBB SYMBOL PNH	05/20/08	$25 07	$927 44	10/23/09	$24 80	$917 58	-$9 86
10	Pnc Cap Tr E Gtd Tr 7 7500% Pfd Secs /BBB SYMBOL PNH	09/22/08	$21 61	$216 05	10/23/09	$24 80	$247 99	$31 94
20	Pnc Cap Tr E Gtd Tr 7 7500% Pfd Secs /BBB SYMBOL PNH	09/22/08	$21 61	$432 11	12/22/09	$24 99	$499 78	$67 67
21	Pnc Cap Tr E Gtd Tr 7 7500% Pfd Secs /BBB SYMBOL PNH	09/30/08	$20.50	$430.50	12/22/09	$24 99	$524 78	$94 28
17	Pnc Capital Trust D 6 125% Cap Secs Due 12/15/33 /BBB SYMBOL PNU	11/30/07	$21 53	$365 96	02/09/09	$18 60	$316 19	-$49 77
3	Pnc Capital Trust D 6 125% Cap Secs Due 12/15/33 /BBB SYMBOL PNU	11/30/07	$21.53	$64 58	04/27/09	$16 91	$50 74	-$13 84
67	Pnc Capital Trust D 6 125% Cap Secs Due 12/15/33 /BBB SYMBOL PNU	11/30/07	$21 53	$1,442 30	10/23/09	$22 30	$1,494 09	$51 80
45	Pnc Capital Trust D 6 125% Cap Secs Due 12/15/33 /BBB SYMBOL PNU	03/17/08	$20 00	$900 00	10/23/09	$22 30	$1,003 50	$103 50
111	Pnc Capital Trust D 6 125% Cap Secs Due 12/15/33 /BBB SYMBOL PNU	03/17/08	$20 00	$2,220 00	12/22/09	$22 36	$2,482 33	$262 33
20	Ppl Cap Fdg Inc Sr Nts Pfd 6 85% Ser 07/01/47 /BBB- SYMBOL PLV	04/29/08	$24 75	$495 00	10/23/09	$25 00	$499 98	$4 98
17	Ppl Cap Fdg Inc Sr Nts Pfd 6 85% Ser 07/01/47 /BBB- SYMBOL PLV	04/29/08	$24 75	$420 75	12/22/09	$25 32	$430 42	$9 67
47	Ppl Energy Supply LLC 7% Pfd /BBB SYMBOL PLS	11/30/07	$24 48	$1,150.56	10/23/09	$25 72	$1,208 80	$58 24
6	Ppl Energy Supply LLC 7% Pfd /BBB SYMBOL PLS	03/17/08	$24 95	$149 70	10/23/09	$25 72	$154 32	$4 62
51	Ppl Energy Supply LLC 7% Pfd /BBB SYMBOL PLS	03/17/08	$24 95	$1,272 45	12/22/09	$26 06	$1,329 02	$56 57
17	Prologis Trust 6 75% Ser F Cumulative Redeemable Pfd Shs /BB SYMBOL PLD-F	11/30/07	$22 14	$376 38	03/12/09	$7 33	$124 61	-$251 77
9	Protective Life Corporation 7 25% Cap Secs /BBB SYMBOL PLPRD	12/18/07	$22 21	$199 88	10/23/09	$21.20	$190 79	-$9 09
9	Protective Life Corporation 7 25% Cap Secs /BBB SYMBOL PLPRD	12/31/07	$21 78	$195 98	10/23/09	$21.20	$190 79	-$5 19
2	Protective Life Corporation 7 25% Cap Secs /BBB SYMBOL PLPRD	03/17/08	$23 45	$46 90	10/23/09	$21 20	$42 40	-$4 50
18	Protective Life Corporation 7 25% Cap Secs /BBB	03/17/08	$23 45	$422 10	12/22/09	$22 02	$396 34	-$25 76

	SYMBOL PLPRD							
111	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	06/25/08	$24 90	$2,763.35	10/23/09	$26 26	$2,914 78	$151 43
23	Prudential Finl Inc Jr Sub Nts 9 00% Pfd /BBB+ SYMBOL PHR	06/25/08	$24 90	$572 59	12/22/09	$26 47	$608 77	$36 18
5	Prudential Plc 6 5% Perp Subordinated Capt Secs Non Cum /A- SYMBOL PUK-A	11/30/07	$21 32	$106 60	02/09/09	$17 02	$85 09	-$21 51
13	Prudential Plc 6.5% Perp Subordinated Capt Secs Non Cum /A- SYMBOL PUK-A	11/30/07	$21 32	$277 16	10/23/09	$24 33	$316 28	$39 12
20	Prudential Plc 6 5% Perp Subordinated Capt Secs Non Cum /A- SYMBOL PUK-A	03/17/08	$22 98	$459 60	10/23/09	$24 33	$486 58	$26 98
6	Prudential Plc 6 5% Perp Subordinated Capt Secs Non Cum /A- SYMBOL PUK-A	05/16/08	$23 25	$139 50	10/23/09	$24.33	$145 98	$6 48
36	Prudential Plc 6 5% Perp Subordinated Capt Secs Non Cum /A- SYMBOL PUK-A	05/16/08	$23 25	$837 00	12/22/09	$22 54	$811 41	-$25 59
11	Prudential Plc 6 75% Perp Sub Cap Secs Exch@Issuer Opt /A- SYMBOL PUKPR	11/20/08	$10.32	$113 47	12/22/09	$23 75	$261 24	$147 77
3	Public Storage 6 6% Dep Share Repstg 1/1000 /BBB SYMBOL PSA-C	11/30/07	$20 51	$61 53	02/09/09	$18 81	$56 42	-$5 11
15	Public Storage 6 6% Dep Share Repstg 1/1000 /BBB SYMBOL PSA-C	11/30/07	$20 51	$307 65	10/23/09	$21 74	$326 08	$18 43
5	Public Storage 6 6% Dep Share Repstg 1/1000 /BBB SYMBOL PSA-C	03/17/08	$20 35	$101 75	10/23/09	$21 74	$108 70	$6 95
20	Public Storage 6 6% Dep Share Repstg 1/1000 /BBB SYMBOL PSA-C	03/17/08	$20 35	$407 00	12/22/09	$22 31	$446 18	$39 18
8	Public Storage Depositary Sh Repstg 1/1000th /BBB SYMBOL PSA-M	11/30/07	$20 60	$164 81	02/09/09	$19 54	$156 31	-$8 50
5	Public Storage Depositary Sh Repstg 1/1000th /BBB SYMBOL PSA-M	11/30/07	$20 60	$103 01	04/27/09	$18 93	$94 66	-$8 35
98	Public Storage Depositary Sh Repstg 1/1000th /BBB SYMBOL PSA-M	11/30/07	$20 60	$2,018 93	10/23/09	$21 86	$2,142 29	$123 36
19	Public Storage Depositary Sh Repstg 1/1000th /BBB SYMBOL PSA-M	03/17/08	$20 78	$394 82	10/23/09	$21 86	$415 34	$20 52
115	Public Storage Depositary Sh Repstg 1/1000th /BBB SYMBOL. PSA-M	03/17/08	$20 78	$2,389 70	12/22/09	$22 97	$2,641 71	$252 01
17	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL PSA-I	11/30/07	$22 87	$388 79	01/23/09	$22 98	$390 71	$1 92
15	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL PSA-I	11/30/07	$22 87	$343 05	02/09/09	$21 91	$328 62	-$14 43
5	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL PSA-I	11/30/07	$22 87	$114 35	04/09/09	$21 41	$107.05	-$7 30
8	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL. PSA-I	03/17/08	$23 15	$185 20	04/09/09	$21 41	$171 28	-$13 92
39	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL. PSA-I	03/17/08	$23 15	$902 85	10/23/09	$23 99	$935.58	$32 73
37	Public Storage 7 25% Depositary Shs Rpr 1/1000th /BBB SYMBOL. PSA-I	03/17/08	$23 15	$856 55	12/22/09	$25 01	$925 34	$68 79
5	Realty Income Corp 6 75% Pfd Cl E Cum Redeemable /BB+ SYMBOL OPRE	11/30/07	$21 26	$106 29	02/09/09	$18 45	$92 24	-$14 05
4	Realty Income Corp 6 75% Pfd Cl E Cum Redeemable /BB+ SYMBOL. OPRE	11/30/07	$21 26	$85 03	04/27/09	$18.58	$74 33	-$10 70
14	Realty Income Corp 6 75% Pfd Cl E Cum	11/30/07	$21 26	$297 60	10/23/09	$23 25	$325 49	$27 88

	Redeemable /BB+ SYMBOL. OPRE							
37	Realty Income Corp 6 75% Pfd Cl E Cum Redeemable /BB+ SYMBOL. OPRE	03/17/08	$21 63	$800.31	10/23/09	$23 25	$860 22	$59 91
52	Realty Income Corp 6.75% Pfd Cl E Cum Redeemable /BB+ SYMBOL. OPRE	03/17/08	$21 63	$1,124 76	12/22/09	$23 12	$1,202 20	$77 44
17	Regency Centers Corp 7 45% Series 3 Cumulative /BB+ SYMBOL REG-C	12/03/07	$22 66	$385 22	02/03/09	$19 50	$331 49	-$53 73
11	Regency Centers Corp 6 7% Cum Pfd Perp Ser /BB+ SYMBOL. REG-E	03/17/08	$20 30	$223 30	10/23/09	$20 42	$224 61	$1 31
9	Regency Centers Corp 6 7% Cum Pfd Perp Ser /BB+ SYMBOL. REG-E	03/17/08	$20 30	$182 70	12/22/09	$20 84	$187 55	$4 85
32	Regions Financing Trust III Pfd Secs 8 875% /B+ SYMBOL RFPRZ	04/30/08	$25 08	$802 56	10/23/09	$24 08	$770 53	-$32 03
4	Regions Financing Trust III Pfd Secs 8 875% /B+ SYMBOL RFPRZ	09/22/08	$17 92	$71 67	10/23/09	$24 08	$96 32	$24 65
32	Regions Financing Trust III Pfd Secs 8 875% /B+ SYMBOL RFPRZ	09/22/08	$17 92	$573 32	12/22/09	$22 45	$718 37	$145 05
28	Renaissancere Holdings Ltd 6 6% Pfd Ser D SYMBOL RNR-D	11/30/07	$19 20	$537 60	01/30/09	$18 36	$514 20	-$23 40
53	Renaissancere Holdings Ltd 6 6% Pfd Ser D SYMBOL RNR-D	11/30/07	$19 20	$1,017 60	10/23/09	$22 08	$1,170 20	$152 60
20	Renaissancere Holdings Ltd 6 6% Pfd Ser D SYMBOL. RNR-D	03/17/08	$19 91	$398 20	10/23/09	$22 08	$441 59	$43 39
70	Renaissancere Holdings Ltd 6 6% Pfd Ser D SYMBOL RNR-D	03/17/08	$19 91	$1,393 70	12/22/09	$20 67	$1,446 86	$53 16
8	Royal Bk Scotland Group Plc Sponsored Adr Repstg Ser L /BB- SYMBOL RBS-L	11/30/07	$19 08	$152 61	04/27/09	$6 61	$52 89	-$99 72
51	Royal Bk Scotland Group Plc Sponsored Adr Repstg Ser L /BB- SYMBOL RBS-L	11/30/07	$19 08	$972 90	06/19/09	$9 93	$506 31	-$466 59
15	Royal Bk Scotland Group Plc Sponsored Adr Repstg Ser L /BB- SYMBOL. RBS-L	03/17/08	$18 32	$274 80	06/19/09	$9 93	$148 91	-$125 89
3	Royal Bk Scotland Group Plc Sponsored Adr Repstg Ser L /BB- SYMBOL RBS-L	03/17/08	$18 32	$54 96	07/07/09	$9 64	$28 91	-$26 05
63	Royal Bk Scotland Group Plc Sponsored Adr Repstg Ser L /BB- SYMBOL RBS-L	03/17/08	$18 32	$1,154 16	08/20/09	$11 02	$694 21	-$459 95
9	Royal Bk Scotland Group Plc Adr Repstg Usd 6 125 Ser R /C SYMBOL. RBS-R	11/30/07	$19 89	$179 01	07/07/09	$10 21	$91 93	-$87 08
49	Royal Bk Scotland Group Plc Adr Repstg Usd 6 125 Ser R /C SYMBOL RBS-R	11/30/07	$19 89	$974 61	09/02/09	$9 21	$451 41	-$523 20
62	Royal Bk Scotland Group Plc Adr Repstg Usd 6 125 Ser R /C SYMBOL. RBS-R	03/17/08	$20 06	$1,243 72	09/02/09	$9 21	$571 17	-$672 55
12	Royal Bk Scotland Group Plc Adr Repstg Usd 6 125 Ser R /C SYMBOL. RBS-R	07/29/08	$17 04	$204 48	09/02/09	$9 21	$110 55	-$93 93
18	Royal Bk Scotland Group Plc Sponsored Adr On Pfd Ser 6 60% /C SYMBOL. RBS-S	11/30/07	$21 25	$382 50	07/09/09	$10 67	$192 10	-$190 40
18	Royal Bk Scotland Group Plc Sponsored Adr On Pfd Ser 6 60% /C SYMBOL. RBS-S	12/18/07	$20 48	$368 64	07/09/09	$10 67	$192 10	-$176 54
17	Royal Bk Scotland Group Plc Sponsored Adr On Pfd Ser 6 60%	03/17/08	$20 90	$355.30	07/09/09	$10 67	$181 43	-$173 87

	/C SYMBOL. RBS-S							
26	Royal Bk Scotland Group Plc Sponsored Adr On Pfd Ser 6 60% /C SYMBOL. RBS-S	03/17/08	$20 90	$543 40	07/13/09	$10 69	$277 86	-$265 54
13	Royal Bk Scotland Group Plc Adr Repstg 7 25% Ser T Pfd Shs /C SYMBOL RBS-T	11/30/07	$24 40	$317 20	06/12/09	$13 83	$179 82	-$137 38
16	Royal Bk Scotland Group Plc Adr Repstg 7 25% Ser T Pfd Shs /C SYMBOL RBS-T	03/17/08	$23 86	$381 76	06/12/09	$13 83	$221 31	-$160 45
6	Royal Bk Scotland Group Plc Adr Repstg 7 25% Ser T Pfd Shs /C SYMBOL RBS-T	03/17/08	$23 86	$143 16	06/17/09	$11.84	$71 05	-$72 11
9	Royal Bk Scotland Group Plc 6 35% Ser N Adr Rep Non Cum /C SYMBOL. RBS-N	11/30/07	$20 49	$184 41	04/27/09	$7 24	$65 14	-$119 27
46	Royal Bk Scotland Group Plc 6 35% Ser N Adr Rep Non Cum /C SYMBOL RBS-N	11/30/07	$20 49	$942 54	08/25/09	$11 01	$506 25	-$436 29
72	Royal Bk Scotland Group Plc 6 35% Ser N Adr Rep Non Cum /C SYMBOL. RBS-N	03/17/08	$20 36	$1,465 92	08/25/09	$11 01	$792 38	-$673 54
84	Santander Finan Gtd Pfd Contra Cusip /A- CUSIP 802990234	05/21/08	$21 65	$1,818 60	09/29/09	$22 75	$1,910 66	$92 06
43	Santander Finan Gtd Pfd Contra Cusip /A- CUSIP 802990234	04/24/08	$20 43	$878 49	09/29/09	$22 75	$978 08	$99 59
36	Santander Finan Gtd Pfd Contra Cusip /A- CUSIP 802990234	08/01/08	$18 75	$675 00	09/29/09	$22 00	$792 00	$117 00
0 023	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	11/30/07	$26 99	$0 61	09/30/09	$25 22	$0 57	-$0 04
0 053	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	07/29/08	$26 93	$1 42	09/30/09	$25 03	$1 32	-$0 10
0 136	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	02/26/08	$27 00	$3 66	09/30/09	$25.00	$3 39	-$0 27
0 459	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	03/17/08	$27 01	$12 41	09/30/09	$25 01	$11 49	-$0 92
0 069	Santander Finance Pfd Sa Unipersonal 10.50% Pfd Secs 09 /A- SYMBOL. STD-E	08/01/08	$26 11	$1 80	09/30/09	$24 95	$1 72	-$0 08
0 082	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	04/24/08	$27 08	$2 23	09/30/09	$25 02	$2 06	-$0 17
0 161	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	05/21/08	$27 11	$4 36	09/30/09	$24 99	$4 02	-$0 34
3 662	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	03/17/08	$27 02	$98 95	10/23/09	$27.50	$100 71	$1 76
30 878	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	04/24/08	$27 08	$836 10	10/23/09	$27 50	$849 11	$13 01
60 319	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	05/21/08	$27 08	$1,633 30	10/23/09	$27.50	$1,658 73	$25 43
10 727	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	07/29/08	$26 95	$289 08	10/23/09	$27.50	$294 99	$5 91
25 851	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL. STD-E	08/01/08	$26 04	$673 20	10/23/09	$27 50	$710 89	$37 69
4 597	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09	11/30/07	$27 02	$124 21	12/22/09	$28 06	$129 02	$4 81

	/A- SYMBOL STD-E							
27 584	Santander Finance Pfd Sa Unipersonal 10 50% Pfd Secs 09 /A- SYMBOL STD-E	02/26/08	$27 02	$745 26	12/22/09	$28.06	$774 12	$28 86
89 818	Santander Finance Pfd Sa Unipersonal 10.50% Pfd Secs 09 /A- SYMBOL STD-E	03/17/08	$27 02	$2,426 64	12/22/09	$28 06	$2,520 62	$93 98
7	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- SYMBOL STD-A	11/30/07	$21 65	$151.55	04/27/09	$14 10	$98 70	-$52 85
6	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP 802990226	11/30/07	$21 65	$129 90	09/29/09	$24 05	$144 32	$14 42
36	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP 802990226	02/26/08	$22 71	$817 56	09/29/09	$24 05	$865 92	$48 36
122	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP 802990226	03/17/08	$22 50	$2,745 00	09/29/09	$24 05	$2,934 50	$189 50
14	Santander Finance Preferred S A Unipersonal 6 80% Non Cum /A- CUSIP 802990226	07/29/08	$20 75	$290 50	09/29/09	$24 00	$336 00	$45 50
36	Suntrust Capital Ix 7 8750% Tr Pfd Secs /BB SYMBOL STI-Z	03/28/08	$24 70	$889 34	10/23/09	$23 93	$861 45	-$27 89
4	Suntrust Capital Ix 7 8750% Tr Pfd Secs /BB SYMBOL STI-Z	05/19/08	$25 15	$100 60	10/23/09	$23 93	$95 72	-$4 88
34	Suntrust Capital Ix 7 8750% Tr Pfd Secs /BB SYMBOL STI-Z	05/19/08	$25 15	$855 10	12/22/09	$24 11	$819 72	-$35 38
12	Ubs Pfd Fdg Tr Iv Tr Pfd Secs Fltg Rate /BBB- SYMBOL UBS-D	11/30/07	$20 90	$250 86	02/09/09	$8 23	$98 70	-$152 16
25	Ubs Pfd Fdg Tr Iv Tr Pfd Secs Fltg Rate /BBB- SYMBOL UBS-D	11/30/07	$20 90	$522 62	10/23/09	$14 21	$355 27	-$167 35
94	Ubs Pfd Fdg Tr Iv Tr Pfd Secs Fltg Rate /BBB- SYMBOL UBS-D	03/17/08	$17 50	$1,644 54	10/23/09	$14 21	$1,335 81	-$308 73
111	Ubs Pfd Fdg Tr Iv Tr Pfd Secs Fltg Rate /BBB- SYMBOL UBS-D	03/17/08	$17 50	$1,941 96	12/22/09	$14 88	$1,651 41	-$290 55
23	Us Bancorp Del Depositary Shs Repstg 1/1000th /BBB+ SYMBOL USB-H	03/17/08	$16 57	$381 11	10/23/09	$19 20	$441 58	$60 47
20	Us Bancorp Del Depositary Shs Repstg 1/1000th /BBB+ SYMBOL USB-H	03/17/08	$16 57	$331 40	12/22/09	$20 36	$407 18	$75 78
3	Usb Cap Vii 5 875% Trust Pfd Secs Due /BBB+ SYMBOL USB-F	11/30/07	$20 44	$61 32	04/27/09	$18 71	$56 12	-$5 20
34	Usb Cap Vii 5 875% Trust Pfd Secs Due /BBB+ SYMBOL USB-F	11/30/07	$20 44	$694 96	10/23/09	$21 34	$725 54	$30 58
16	Usb Cap Vii 5 875% Trust Pfd Secs Due /BBB+ SYMBOL USB-F	03/17/08	$19 14	$306 24	10/23/09	$21 34	$341 43	$35 19
37	Usb Cap Vii 5 875% Trust Pfd Secs Due /BBB+ SYMBOL USB-F	03/17/08	$19 14	$708 18	12/22/09	$21.46	$794 00	$85 82
5	Usb Capital Vi 5 75% Trust Orig Pfd Secs /BBB+ SYMBOL USB-E	11/30/07	$20 68	$103 40	02/09/09	$18 25	$91 24	-$12 16
13	Usb Capital Vi 5 75% Trust Orig Pfd Secs /BBB+ SYMBOL USB-E	11/30/07	$20 68	$268 84	10/23/09	$21 18	$275 33	$6 49
8	Usb Capital Vi 5 75% Trust Orig Pfd Secs /BBB+ SYMBOL USB-E	03/17/08	$18 85	$150 80	10/23/09	$21 18	$169 43	$18 63
20	Usb Capital Vi 5 75% Trust Orig Pfd Secs /BBB+ SYMBOL USB-E	03/17/08	$18 85	$377 00	12/22/09	$21 31	$426 18	$49 18
3	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL USB-J	11/30/07	$21 99	$65 97	04/27/09	$20.00	$59.99	-$5 98
3	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL USB-J	11/30/07	$21 99	$65 97	07/07/09	$22.66	$67.97	$2 00

45	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL USB-J	11/30/07	$21 99	$989.55	10/23/09	$22 85	$1,028 22	$38 67
27	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL. USB-J	03/17/08	$21.57	$582.39	10/23/09	$22 85	$616 93	$34 54
42	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL. USB-J	03/17/08	$21 57	$905 94	12/22/09	$24 08	$1,011 33	$105 39
29	Usb Capital XI 6 60% Trust Pfd Secs Due /BBB+ SYMBOL. USB-J	09/22/08	$19 76	$573 11	12/22/09	$24 08	$698 30	$125 19
11	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL VNV	11/30/07	$21 32	$234 52	04/27/09	$17 30	$190 32	-$44 20
95	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL. VNV	11/30/07	$21.32	$2,025 40	10/23/09	$23 38	$2,221 32	$195 92
59	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL. VNV	03/17/08	$22 02	$1,299 18	10/23/09	$23 38	$1,379 56	$80 38
69	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL. VNV	03/17/08	$22 02	$1,519 38	12/22/09	$23 82	$1,643 47	$124 09
31	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL VNV	07/18/08	$21 83	$676 65	12/22/09	$23 82	$738 37	$61 72
28	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL VNV	09/22/08	$18 75	$524 91	12/22/09	$23 82	$666 91	$142 00
16	Viacom Inc 6 85% New Sr Nt /BBB SYMBOL. VNV	11/21/08	$15 16	$242 56	12/22/09	$23 82	$381 09	$138 53
10	Wachovia Preferred Funding Corp 7 25% Non Cum Exchangable /A- SYMBOL WNAPR	11/30/07	$25 24	$252 38	02/09/09	$17 11	$171 09	-$81 29
3	Wachovia Preferred Funding Corp 7 25% Non Cum Exchangable /A- SYMBOL. WNAPR	11/30/07	$25 24	$75 71	04/27/09	$14 76	$44 29	-$31 42
91	Wachovia Preferred Funding Corp 7 25% Non Cum Exchangable /A- SYMBOL. WNAPR	11/30/07	$25 24	$2,296 67	10/23/09	$20 23	$1,841 21	-$455 45
42	Wachovia Preferred Funding Corp 7 25% Non Cum Exchangable /A- SYMBOL WNAPR	03/17/08	$22 16	$930 72	10/23/09	$20 23	$849 79	-$80 93
128	Wachovia Preferred Funding Corp 7 25% Non Cum Exchangable /A- SYMBOL. WNAPR	03/17/08	$22.16	$2,836 48	12/22/09	$21.88	$2,801 07	-$35 41
3	Weingarten Rlty Invs 6 50 Pfd Ser F /BB+ SYMBOL WRI-F	11/30/07	$20 47	$61 42	07/07/09	$15 67	$47 01	-$14 41
70	Weingarten Rlty Invs 6 50 Pfd Ser F /BB+ SYMBOL WRI-F	11/30/07	$20 47	$1,433 02	10/23/09	$19 90	$1,392 74	-$40 29
63	Weingarten Rlty Invs 6 50 Pfd Ser F /BB+ SYMBOL. WRI-F	03/17/08	$21 49	$1,353 87	10/23/09	$19 90	$1,253 46	-$100 41
25	Weingarten Rlty Invs 6 50 Pfd Ser F /BB+ SYMBOL. WRI-F	03/17/08	$21 49	$537 25	12/22/09	$20 32	$507 99	-$29 26
4	Wells Fargo Cap Trust Ix 5 625% Trust Pfd Secs /A- SYMBOL. JWF	11/30/07	$20.59	$82 36	02/09/09	$18 15	$72 59	-$9 77
33	Wells Fargo Cap Trust Ix 5 625% Trust Pfd Secs /A- SYMBOL. JWF	11/30/07	$20 59	$679 47	10/23/09	$20 01	$660 30	-$19 17
6	Wells Fargo Cap Trust Ix 5 625% Trust Pfd Secs /A- SYMBOL JWF	03/17/08	$21 09	$126 54	10/23/09	$20 01	$120 06	-$6 48
39	Wells Fargo Cap Trust Ix 5 625% Trust Pfd Secs /A- SYMBOL JWF	03/17/08	$21.09	$822 51	12/22/09	$20.93	$816 24	-$6 27
14	Wells Fargo Cap XI 6 25% 6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL FWF	11/30/07	$22 30	$312 20	02/09/09	$18 70	$261 73	-$50 47
69	Wells Fargo Cap XI 6 25% 6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL FWF	11/30/07	$22.30	$1,538 70	10/23/09	$21 89	$1,510 37	-$28 33
28	Wells Fargo Cap XI 6 25%	03/17/08	$21 97	$615 16	10/23/09	$21.89	$612 90	-$2 26

	6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL. FWF							
83	Wells Fargo Cap XI 6 25% 6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL. FWF	03/17/08	$21 97	$1,823 51	12/22/09	$22 36	$1,855 82	$32 31
6	Wells Fargo Cap XI 6 25% 6/15/67 Enhanced Tr Pfd Secs /A- SYMBOL. FWF	05/22/08	$23 16	$138 96	12/22/09	$22.36	$134 16	-$4 80
7	Wells Fargo Capital VII 5 85% Trust Pfd Secs Due 5/1/33 /A- SYMBOL. WPK	11/30/07	$21 45	$150 15	02/09/09	$17 58	$123 05	-$27 10
28	Wells Fargo Capital VII 5 85% Trust Pfd Secs Due 5/1/33 /A- SYMBOL WPK	11/30/07	$21 45	$600 60	10/23/09	$20 85	$583 78	-$16 82
10	Wells Fargo Capital VII 5 85% Trust Pfd Secs Due 5/1/33 /A- SYMBOL. WPK	03/17/08	$20 69	$206 90	10/23/09	$20 85	$208 49	$1 59
39	Wells Fargo Capital VII 5 85% Trust Pfd Secs Due 5/1/33 /A- SYMBOL WPK	03/17/08	$20 69	$806 91	12/22/09	$22 06	$860 31	$53 40
8	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL XCJ	01/14/08	$24 95	$199 60	04/27/09	$24 81	$198 51	-$1 09
39	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL. XCJ	01/14/08	$24 95	$973 05	10/23/09	$26 20	$1,021 78	$48 73
33	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL XCJ	01/16/08	$25 08	$827 64	10/23/09	$26 20	$864 58	$36 94
28	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL. XCJ	03/17/08	$24 40	$683 20	10/23/09	$26 20	$733 58	$50 38
71	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL XCJ	03/17/08	$24 40	$1,732 40	12/22/09	$26 88	$1,908 43	$176 03
26	Xcel Energy Inc Jr Sub Nt /BBB- SYMBOL. XCJ	10/06/08	$22 83	$593 45	12/22/09	$26 88	$698 86	$105 41
	Long Term Total			**$298,309.09**			**$288,160.92**	**-$10,148.08**

Realized Gains or Losses

All Terms Total	**$399,552.07**	**$399,117.94**	**-$434.07**

Realized Gains or Losses	
Short Term	$9,714.01
Long Term	-$10,148 08
Unknown Term	$0 00
Short Term includes assets held 12 months or less. Long Term includes assets held longer than 12 months + 1 day	

This report is provided as a service from your Financial Consultant. It is not, and should not be construed as, a substitute for your RBC Wealth Management account statement and confirmations or your Form 1099 This report is prepared as of trade date rather than settlement date and may be prepared on a different date than your statement Security valuations have been obtained from reliable sources but do not represent guaranteed bids, offers or markets for the securities represented Gain/loss information is presented only for selected securities and includes only the securities for which we have original cost information If you know the original cost of securities not purchased at RBC Wealth Management but included in your RBC Wealth Management statement, please contact your Financial Consultant.

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1099-DIV (cont) **RBC Capital Markets Corporation - Dividends**

BOX 2a – Total capital gain distributions

SECURITY ID & DESCRIPTION

NOTES	DATE	AMOUNT	NOTES	DATE	AMOUNT
743410706 PROLOGIS TRUST 6.75% SER F CUMULATIVE REDEEMABLE PFD SHS					
M X	03/31/09	$0.70			
			TOTAL		**$0.70**
74460D232 PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD SER M %					
C M	09/30/09	$0.50			
			TOTAL		**$0.50**
74460D448 PUBLIC STORAGE 6.6% DEP SHARE REPSTG 1/1000 PERPETUAL PFD SER C					
C M	09/30/09	$0.08			
			TOTAL		**$0.08**
74460D257 PUBLIC STORAGE 6.75% DEP SHS RPR 1/1000TH CUM PERP PFD SER L					
C M	09/30/09	$0.07			
			TOTAL		**$0.07**
74460D232 PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000TH PFD SER M %					
M X	09/30/09	$0.86			
			TOTAL		**$0.86**
74460D448 PUBLIC STORAGE 6.6% DEP SHARE REPSTG 1/1000 PERPETUAL PFD SER C					
M X	09/30/09	$0.14			
			TOTAL		**$0.14**
74460D257 PUBLIC STORAGE 6.75% DEP SHS RPR 1/1000TH CUM PERP PFD SER L					
M X	09/30/09	$0.12			
			TOTAL		**$0.12**
74460D299 PUBLIC STORAGE 7.25% DEPOSITARY SHS RPR 1/1000TH CUM PERP PFD SER I					
C M	09/30/09	$0.18			
			TOTAL		**$0.18**
74460D299 PUBLIC STORAGE 7.25% DEPOSITARY SHS RPR 1/1000TH CUM PERP PFD SER I					
M X	09/30/09	$0.30			
			TOTAL		**$0.30**

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1099-DIV (cont) RBC Capital Markets Corporation - Dividends

BOX 2a – Total capital gain distributions (cont)

SECURITY ID & DESCRIPTION NOTES	DATE	AMOUNT	NOTES	DATE	AMOUNT
756109708 REALTY INCOME CORP 6.75% PFD CL E CUM REDEEMABLE					
C M	01/15/09	$0.10	C M	07/15/09	$0.10
C M	02/17/09	$0.10	C M	08/17/09	$0 10
C M	03/16/09	$0.10	C M	09/15/09	$0.10
C M	04/15/09	$0.10	C M	10/15/09	$0.10
C M	05/15/09	$0.10	C M	11/16/09	$0 05
C M	06/15/09	$0.10	C M	12/15/09	$0.07
			TOTAL		**$1.12**
756109708 REALTY INCOME CORP 6.75% PFD CL E CUM REDEEMABLE					
M X	01/15/09	$0.08	M X	07/15/09	$0.08
M X	02/17/09	$0.08	M X	08/17/09	$0.08
M X	03/16/09	$0.08	M X	09/15/09	$0.08
M X	04/15/09	$0.08	M X	10/15/09	$0.08
M X	05/15/09	$0.08	M X	11/16/09	$0.04
M X	06/15/09	$0.08	M X	12/15/09	$0.05
			TOTAL		**$0.89**
758849608 REGENCY CENTERS CORP 6.7% CUM PFD PERP SER CALLABLE					
C M	03/31/09	$0.08	C M	09/30/09	$0.08
C M	06/30/09	$0.08	C M	12/31/09	$0.03
			TOTAL		**$0.27**
758849608 REGENCY CENTERS CORP 6.7% CUM PFD PERP SER CALLABLE					
M X	03/31/09	$1.59	M X	09/30/09	$1.59
M X	06/30/09	$1.59	M X	12/31/09	$0.71
			TOTAL		**$5.48**
758849509 REGENCY CTRS CORP 7.25% SER 4 CUMULATIVE RED PREFERRED STOCK					
C M	03/31/09	$0.16	C M	09/30/09	$0 16
C M	06/30/09	$0.16	C M	12/31/09	$0.08
			TOTAL		**$0.56**
758849509 REGENCY CTRS CORP 7.25% SER 4 CUMULATIVE RED PREFERRED STOCK					
M X	03/31/09	$3.18	M X	09/30/09	$3 18
M X	06/30/09	$3.18	M X	12/31/09	$1.55
			TOTAL		**$11.09**
948741889 WEINGARTEN RLTY INVS 6.50 PFD SER F					
C M	03/16/09	$11.96	C M	09/15/09	$19.76
C M	06/15/09	$11 96	C M	12/15/09	$9.88
			TOTAL		**$53.56**
948741889 WEINGARTEN RLTY INVS 6.50 PFD SER F					
M X	03/16/09	$8.93	M X	09/15/09	$14.75
M X	06/15/09	$8.93	M X	12/15/09	$7.37
			TOTAL		**$39.98**

Box 2a Total Amount $115.90