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Form **990-PF****Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545 0052

**2009**Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

**For calendar year 2009, or tax year beginning , 2009, and ending**

**G** Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

Use the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

**HARRIS & FRANCES BLOCK FOUNDATION, INC.**  
**C/O BETSY CHODORKOFF**  
**491 ENNIS HILL ROAD**  
**MARSHFIELD, VT 05658**

**A Employer identification number**

31-1784246

**B Telephone number (see the instructions)**

802-426-3806

**C** If exemption application is pending, check here ☐**D 1** Foreign organizations, check here ☐**2** Foreign organizations meeting the 85% test, check here and attach computation ☐**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**H** Check type of organization. ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year  
(from Part II, column (c), line 16)

\$ 5,052,168.

**J** Accounting method ☐ Cash ☒ Accrual  
☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions).)

**(a)** Revenue and expenses per books**(b)** Net investment income**(c)** Adjusted net income**(d)** Disbursements for charitable purposes (cash basis only)**1** Contributions, gifts, grants, etc., received (att sch)

1,210,783.

**2** Ck ☐ if the foundn is not req to att Sch B**3** Interest on savings and temporary cash investments**4** Dividends and interest from securities

82,168.

82,168.

82,168.

**5a** Gross rents**b** Net rental income (or loss)**6a** Net gain (loss) from sale of assets not on line 10

-6,736.

STATEMENT 1

**b** Gross sales price for all assets on line 6a

386,3656.

**7** Capital gain net income (from Part IV, line 2)

0.

**8** Net short-term capital gain

0.

**9** Income modifications**10a** Gross returns and allowances**b** Less: Cost of goods sold**c** Gross profit/(loss) (att sch)**11** Other income (attach schedule)

SEE STATEMENT 2

126.

**12 Total.** Add lines 1 through 11

1,286,341.

82,168.

82,168.

**13** Compensation of officers, directors, trustees, etc

23,000.

2,100.

20,900.

**14** Other employee salaries and wages**15** Pension plans, employee benefits**16a** Legal fees (attach schedule)**b** Accounting fees (attach sch) SEE ST 3

2,915.

1,000.

**c** Other prof fees (attach sch) SEE ST 4

24,442.

24,442.

**17** Interest**18** Taxes (attach schedule)(see instr) SEE STM 5

3,554.

243.

1,446.

**19** Depreciation (attach sch) and depletion

326.

**20** Occupancy**21** Travel, conferences, and meetings

7,180.

7,180.

**22** Printing and publications**23** Other expenses (attach schedule)

SEE STATEMENT 6

5,697.

**24 Total operating and administrative expenses.** Add lines 13 through 23

67,114.

27,785.

29,526.

**25** Contributions, gifts, grants paid PART XV

222,200.

222,200.

**26 Total expenses and disbursements.** Add lines 24 and 25

289,314.

27,785.

0.

251,726.

**27** Subtract line 26 from line 12:**a Excess of revenue over expenses and disbursements**

997,027.

**b Net investment income** (if negative, enter -0)

54,383.

**c Adjusted net income** (if negative, enter -0)

82,168.

SCANNED JUN 01 2010

REVENUE

ADMINISTRATIVE AND OPERATING EXPENSES

8

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

<b>ASSETS</b>	1	Cash -- non-interest-bearing			
	2	Savings and temporary cash investments	1,439,759.	515,501.	515,501.
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)	2,504.		
	7	Other notes and loans receivable (attach sch)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments -- U.S. and state government obligations (attach schedule) STATEMENT 7		247,428.	247,428.
	b	Investments -- corporate stock (attach schedule) STATEMENT 8	1,290,206.	3,125,769.	3,125,769.
	c	Investments -- corporate bonds (attach schedule) STATEMENT 9	172,389.	285,402.	285,402.
	<b>LIABILITIES</b>	11	Investments -- land, buildings, and equipment basis		
		Less: accumulated depreciation (attach schedule)			
12		Investments -- mortgage loans			
13		Investments -- other (attach schedule) STATEMENT 10	408,913.	868,165.	868,165.
14		Land, buildings, and equipment basis	2,440.		
		Less: accumulated depreciation (attach schedule) SEE STMT 11	41.		
15		Other assets (describe SEE STATEMENT 12)	824.	7,504.	7,504.
16		<b>Total assets</b> (to be completed by all filers -- see instructions. Also, see page 1, item I)	3,315,737.	5,052,168.	5,052,168.
17		Accounts payable and accrued expenses	459.	144.	
18		Grants payable			
19	Deferred revenue				
20	Loans from officers, directors, trustees, & other disqualified persons				
21	Mortgages and other notes payable (attach schedule)				
22	Other liabilities (describe )				
23	<b>Total liabilities</b> (add lines 17 through 22)	459.	144.		
<b>NET FUND ASSETS OR BALANCES</b>		<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b>	<input checked="" type="checkbox"/>		
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b>	<input type="checkbox"/>		
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see the instructions)	3,315,278.	5,052,024.	
	31	<b>Total liabilities and net assets/fund balances</b> (see the instructions)	3,315,737.	5,052,168.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year -- Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,315,278.
2	Enter amount from Part I, line 27a	2	997,027.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 13	3	739,719.
4	Add lines 1, 2, and 3	4	5,052,024.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) -- Part II, column (b), line 30	6	5,052,024.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2 story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 14				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		2	-5,879.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-            in Part I, line 8         </div>		3	-20,604.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	161,855.	2,565,572.	0.063087
2007	136,319.	1,541,016.	0.088460
2006	89,731.	1,030,721.	0.087057
2005	67,726.	829,740.	0.081623
2004	46,954.	759,976.	0.061784

2 Total of line 1, column (d)	2	0.382011
3 Average distribution ratio for the 5 year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.076402
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,791,509.
5 Multiply line 4 by line 3	5	289,679.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	544.
7 Add lines 5 and 6	7	290,223.
8 Enter qualifying distributions from Part XII, line 4	8	251,726.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary see instr.)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	1,088.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0.
<b>3</b> Add lines 1 and 2	<b>3</b>	1,088.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0.
<b>5 Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	1,088.
<b>6 Credits/Payments.</b>		
<b>a</b> 2009 estimated tax pmts and 2008 overpayment credited to 2009	<b>6a</b>	926.
<b>b</b> Exempt foreign organizations – tax withheld at source	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d	<b>7</b>	926.
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9 Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>	162.
<b>10 Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2010 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input type="checkbox"/> \$ 0. <b>(2)</b> On foundation managers <input type="checkbox"/> \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XIV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see the instructions) <input type="checkbox"/> VT		
<b>b</b> If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i> SEE STATEMENT 15		X
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities Continued**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>BETSY CHODORKOFF</u> Telephone no <u>802-426-3806</u> Located at <u>491 ENNIS HILL ROAD MARSHFIELD, VT</u> ZIP + 4 <u>05658</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>	N/A	<input type="checkbox"/>	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY M. SLUYS 197 MINGLEWOOD ROAD WESTFIELD, NC 27053	VICE PRESIDE 1.00	1,000.	0.	0.
BETSY M. CHODORKOFF 491 ENNIS HILL ROAD MARSHFIELD, VT 05658	TREASURER 25.00	21,000.	0.	0.
DIANE MAURER SCHATZ 2261 JASMINE STREET DENVER, CO 80207	SECRETARY 1.00	1,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services – (see instructions). If none, enter **NONE**.

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
<b>Total.</b> Add lines 1 through 3	0.

BAA

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b> Average monthly fair market value of securities	<b>1a</b>	2,639,707.
<b>b</b> Average of monthly cash balances	<b>1b</b>	1,209,541.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b> Total (add lines 1a, b, and c)	<b>1d</b>	3,849,248.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	3,849,248.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	57,739.
<b>5</b> Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	3,791,509.
<b>6</b> Minimum investment return. Enter 5% of line 5	<b>6</b>	189,575.

SEE STATEMENT 16

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6	<b>1</b>	189,575.
<b>2a</b> Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	1,088.
<b>b</b> Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b> Add lines 2a and 2b	<b>2c</b>	1,088.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	188,487.
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b> Add lines 3 and 4	<b>5</b>	188,487.
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b> Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	188,487.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1a</b> 251,726.
<b>b</b> Program-related investments — total from Part IX-B	<b>1b</b>
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>
<b>3</b> Amounts set aside for specific charitable projects that satisfy the	
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>
<b>4</b> Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b> 251,726.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>
<b>6</b> Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b> 251,726.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				188,487.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			0.	
<b>b</b> Total for prior years 20__, 20__, 20__		0.		
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004	9,025.			
<b>b</b> From 2005	27,070.			
<b>c</b> From 2006	38,404.			
<b>d</b> From 2007	61,724.			
<b>e</b> From 2008	34,502.			
<b>f</b> Total of lines 3a through e	170,725.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 251,726.				
<b>a</b> Applied to 2008, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)	0.			
<b>d</b> Applied to 2009 distributable amount				188,487.
<b>e</b> Remaining amount distributed out of corpus	63,239.			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	233,964.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions		0.		
<b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	9,025.			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	224,939.			
<b>10</b> Analysis of line 9.				
<b>a</b> Excess from 2005	27,070.			
<b>b</b> Excess from 2006	38,404.			
<b>c</b> Excess from 2007	61,724.			
<b>d</b> Excess from 2008	34,502.			
<b>e</b> Excess from 2009	63,239.			

N/A

- Part XV** **Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)

NONE

- NONE

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2009)

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE STATEMENT 18				
<b>Total</b>			<b>3a</b>	222,200.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3b</b>	



<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

**a** Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

1 a (1)		X
---------	--	---

- (2) Other assets

1 a (2)		X
---------	--	---

**b Other transactions**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

1b(1)		X
-------	--	---

- (2) Purchases of assets from a noncharitable exempt organization**

1b (2)		X
--------	--	---

- (3) Rental of facilities, equipment, or other assets**

1b(3)	X
-------	---

- #### (4) Reimbursement arrangements

1b(4)		X
-------	--	---

- (5) Loans or loan guarantees**

1b(5)		X
-------	--	---

- (6) Performance of services or membership or fundraising solicitations**

1b(6)		X
-------	--	---

**c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees

1c		X
----	--	---

**d** If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.


**S  
I  
G  
N  
  
H  
E  
R  
E**

Signature of officer or trustee

15/17/10  
Date

► Director  
Title

**Paid  
Pre-  
parer's  
Use  
Only**

Preparer's signature	 E. LEILA MCCAFFREY, CPA
Firm's name (or yours if self-employed), address, and ZIP code	FOTHERGILL SEGALE & VALLEY, CPAS 143 BARRE STREET MONTPELIER, VT 05602

Date  
5-13-10

Check if self-employed <input type="checkbox"/>	Preparer's Identifying number (See <b>Signature</b> in the instrs) P00476486
---	--

Preparer's Identifying number  
(See **Signature** in the instrs)  
P00476486

EIN ▶ 03-0300841

Phone no ▶ (802) 223-6261

**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No. 1545-0047

**2009**

Name of the organization **HARRIS & FRANCES BLOCK FOUNDATION, INC.**  
**C/O BETSY CHODORKOFF**

Employer identification number  
**31-1784246**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)(\_\_\_\_) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule --**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules --**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

**Schedule B** (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

HARRIS &amp; FRANCES BLOCK FOUNDATION, INC.

31-1784246

**Part I** Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ESTATE OF CAROL MAURER 5578 ALKIRE STREET ARVADA, CO 80002	\$ 1,210,783.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)



Name of organization

Employer identification number

HARRIS &amp; FRANCES BLOCK FOUNDATION, INC.

31-1784246

**Part II** Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Name of organization

Employer identification number

HARRIS &amp; FRANCES BLOCK FOUNDATION, INC.

31-1784246

**Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations aggregating more than \$1,000 for the year.** (Complete cols (a) through (e) and the following line entry)

For organizations completing Part III, enter total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once - see instructions)

▶ \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

2009

## FEDERAL STATEMENTS

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HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

31-1784246

STATEMENT 1  
FORM 990-PF, PART I, LINE 6A  
NET GAIN (LOSS) FROM NONINVENTORY SALES PER BOOKS  
ASSETS NOT INCLUDED IN PART IV

DESCRIPTION:	LAPTOP COMPUTER		
DATE ACQUIRED:	9/07/2007		
HOW ACQUIRED:	PURCHASE		
DATE SOLD:	12/04/2009		
TO WHOM SOLD:			
GROSS SALES PRICE:		0.	
COST OR OTHER BASIS:		1,557.	
BASIS METHOD:	COST		
DEPRECIATION:		700.	
			GAIN (LOSS) -857.
			TOTAL \$ <u>-857.</u>

STATEMENT 2  
FORM 990-PF, PART I, LINE 11  
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
OTHER REVENUE			
TOTAL	\$ 126.	\$ 0.	\$ 0.

STATEMENT 3  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTANT-TAX FILINGS				
TOTAL	\$ 2,915.	\$ 1,000.	\$ 0.	\$ 0.

STATEMENT 4  
FORM 990-PF, PART I, LINE 16C  
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISOR				
TOTAL	\$ 24,442.	\$ 24,442.	\$ 0.	\$ 0.

2009

## FEDERAL STATEMENTS

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HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

31-1784246

**STATEMENT 5**  
**FORM 990-PF, PART I, LINE 18**  
**TAXES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ESTIMATED TAX	\$ 926.			
FOREIGN TAX WITHHELD	82.	\$ 82.		
PAYROLL TAXES	1,607.	161.		\$ 1,446.
PRIOR YEAR EXCISE TAX-BALANCE DUE	939.			
TOTAL	<u>\$ 3,554.</u>	<u>\$ 243.</u>	<u>\$ 0.</u>	<u>\$ 1,446.</u>

**STATEMENT 6**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & MEMBERSHIPS	\$ 2,680.			
MISCELLANEOUS	46.			
OFFICE EXPENSES	2,971.			
TOTAL	<u>\$ 5,697.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

**STATEMENT 7**  
**FORM 990-PF, PART II, LINE 10A**  
**INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS**

<u>U.S. GOVERNMENT OBLIGATIONS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
US GOV'T BONDS	MKT VAL	\$ 247,428.	\$ 247,428.
		\$ 247,428.	\$ 247,428.
	TOTAL	<u>\$ 247,428.</u>	<u>\$ 247,428.</u>

**STATEMENT 8**  
**FORM 990-PF, PART II, LINE 10B**  
**INVESTMENTS - CORPORATE STOCKS**

<u>CORPORATE STOCKS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORPORATE STOCK	MKT VAL	\$ 3,125,769.	\$ 3,125,769.
	TOTAL	<u>\$ 3,125,769.</u>	<u>\$ 3,125,769.</u>

HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

31-1784246

**STATEMENT 9**  
**FORM 990-PF, PART II, LINE 10C**  
**INVESTMENTS - CORPORATE BONDS**

<u>CORPORATE BONDS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORP BONDS	MKT VAL	\$ 285,402.	\$ 285,402.
	TOTAL	<u>\$ 285,402.</u>	<u>\$ 285,402.</u>

**STATEMENT 10**  
**FORM 990-PF, PART II, LINE 13**  
**INVESTMENTS - OTHER**

<u>OTHER INVESTMENTS</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CERTIFICATES OF DEPOSIT MATURITY > 3 MOS	MKT VAL	\$ 758,165.	\$ 758,165.
CALVERT COMMUNITY INVESTMENT NOTE	MKT VAL	30,000.	30,000.
HIGH MOWING	MKT VAL	50,000.	50,000.
VCLF LOAN	MKT VAL	30,000.	30,000.
	TOTAL	<u>\$ 868,165.</u>	<u>\$ 868,165.</u>

**STATEMENT 11**  
**FORM 990-PF, PART II, LINE 14**  
**LAND, BUILDINGS, AND EQUIPMENT**

<u>CATEGORY</u>	<u>BASIS</u>	<u>ACCUM. DEPREC.</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
FURNITURE AND FIXTURES	\$ 0.	\$ 0.	\$ 0.	\$ 2,399.
MACHINERY AND EQUIPMENT	2,440.	41.	2,399.	0.
TOTAL	<u>\$ 2,440.</u>	<u>\$ 41.</u>	<u>\$ 2,399.</u>	<u>\$ 2,399.</u>

**STATEMENT 12**  
**FORM 990-PF, PART II, LINE 15**  
**OTHER ASSETS**

	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
ACCRUED INTEREST		\$ 7,504.
ACCRUED INTEREST PURCHASED	\$ 7,504.	
TOTAL	<u>\$ 7,504.</u>	<u>\$ 7,504.</u>

2009

## FEDERAL STATEMENTS

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HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

31-1784246

STATEMENT 13  
FORM 990-PF, PART III, LINE 3  
OTHER INCREASES

UNREALIZED GAIN ON INVESTMENTS

TOTAL	\$	739,719.
	\$	<u>739,719.</u>

STATEMENT 14  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	60000 POPULAR NORTH AMERICA INC	PURCHASED	3/05/2008	4/15/2009
2	60000 NEWELL RUBBERMAID	PURCHASED	10/18/2006	4/17/2009
3	900 INTUIT	PURCHASED	5/27/2008	7/20/2009
4	600 LINCOLN NATL CORP IND	PURCHASED	VARIOUS	7/20/2009
5	600 LINCOLN NATL CORP IND	PURCHASED	8/11/2008	7/20/2009
6	100000 FEDERAL HOME LOAN BANK	PURCHASED	7/21/2009	9/15/2009
7	80000 WEBSTER FIVE CENTS SAVINGS BANK	PURCHASED	2/13/2008	10/05/2009
8	1200 CLARCOR INC	PURCHASED	10/02/2001	12/29/2009

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	60,000.		60,360.	-360.				\$ -360.
2	60,590.		58,725.	1,865.				1,865.
3	25,470.		25,186.	284.				284.
4	10,286.		23,144.	-12,858.				-12,858.
5	10,286.		29,948.	-19,662.				-19,662.
6	100,000.		100,942.	-942.				-942.
7	80,000.		80,000.	0.				0.
8	40,024.		14,230.	25,794.				25,794.
							TOTAL	\$ -5,879.

STATEMENT 15  
FORM 990-PF, PART VII-A, LINE 8B  
COPIES OF FORM 990-PF TO STATE OFFICIALS

VERMONT DOES NOT REQUIRE 990-PFS TO BE FILED WITH THE STATE.

STATEMENT 16  
FORM 990-PF, PART X, LINE 4  
EXPLANATION OF CASH DEEMED HELD FOR CHARITABLE ACTIVITIES

CASH CONSISTS PRIMARILY OF MONEY MARKET FUNDS, WHICH ARE HELD SHORT TERM PENDING THE END OF ADVERSE MARKET CONDITIONS.

HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

31-1784246

**STATEMENT 17**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:  
NAME: HARRIS & FRANCES BLOCK FOUNDATION  
CARE OF: BETSY CHODORKOFF  
STREET ADDRESS: 491 ENNIS HILL ROAD  
CITY, STATE, ZIP CODE: MARSHFIELD, VT 05658  
TELEPHONE:  
FORM AND CONTENT: LETTERS OF INQUIRY SHOULD BE SUBMITTED VIA A TYPE-WRITTEN LETTER AND INCLUDE COMPLETE DETAILS.  
SUBMISSION DEADLINES:  
RESTRICTIONS ON AWARDS: HIGHEST PRIORITY CONSIDERATION IS GIVEN TO THE AREAS OF ECONOMIC JUSTICE, ENVIRONMENTAL PROTECTION, ARMS CONTROL, COMMUNITY DEVELOPMENT, AND HISTORIC PRESERVATION.

**STATEMENT 18**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PEACE AND JUSTICE CENTER 102 ST. LOUIS STREET BURLINGTON, VT 05401,	NONE	501 (C)	HUMAN RIGHTS RESEARCH	\$ 5,000.
INSTITUTE FOR SOCIAL ECOLOGY 1118 MAPLE HILL ROAD PLAINFIELD, VT 05667,	NONE	501 (C)	GENERAL SUPPORT OF EDUCATIONAL PROGRAMS	10,000.
FRIENDS OF BURLINGTON GARDEN 33 TRACY DRIVE BURLINGTON, VT 05401,	NONE	501 (C)	OUTREACH LOW INCOME RESIDENTS	5,000.
TOXICS ACTION CENTER 29 TEMPLE PLACE BOSTON, MA 02111,	NONE	501 (C)	SUPPORT FOR COMMUNITY ORGANIZING EFFORT REGARDING TOXIC WASTE IN THE LOCAL ENVIRONMENT	10,000.
DOGWOOD ALLIANCE P.O. 7645 ASHEVILLE, NC 28802,	NONE	501 (C)	PROTECT SOUTHERN FORESTS	10,000.
NEW ENGLAND GRASSROOTS ENV. FU P.O. BOX 1057 MONTPELIER, VT 05601,	NONE	501 (C)	ENVIRONMENTAL ISSUES	10,000.

HARRIS & FRANCES BLOCK FOUNDATION, INC.  
C/O BETSY CHODORKOFF

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**STATEMENT 18 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MINUTEMAN MEDIA-COOP AMERICA 32 ALLEN ROAD NORWALK, CT 06851,	NONE	501 (C)	SUPPORT PUBLIC EDUCATION PROJECT MINUTEMAN MEDIA	\$ 10,000.
CT LEAGUE OF CNSRVTION ED FUND 645 FARMINGTON AVENUE HARTFORD, CT 06105	NONE	501 (C)	NATURAL RESOURCES CONSERVATION	5,000.
TWINFIELD UNION SCHOOL NASMITH BROOK ROAD PLAINFIELD, VT 05667	NONE	501 (C)	SUPPORT FOR COMMUNITY ORGANIZING EFFORT FOR MISSISSIPPI	1,000.
FOOD WORKS AT 2 RIVERS CENTER 64 MAIN STREET MONTPELIER, VT 05602	NONE	501 (C)	EDUCATION RE SUSTAINABLE FOOD PRODUCTION	8,000.
GLOBAL JUSTICE ECOLOGY PROJECT INC. BOX 412 HINESBURG, VT 05461	NONE	501 (C)	ENVIRONMENTAL ADVOCACY	7,500.
VERMONT INDEPENDENT MEDIA INC. P.O. BOX 1212 BRATTLEBORO, VT 05302	NONE	501 (C)	MEDIA MENTORING EDUCATION	5,000.
VERMONT CONSERVATION EDUCATION FUND 64 MAIN STREET MONTPELIER, VT 05601	NONE	501 (C)	ENVIRONMENTAL CONSERVATION EDUCATION AND AWARENESS DEMOCRACY TRAINING	1,000.
COMPOSTING ASSOCIATION OF VT P.O. BOX 112 TROY, VT 05868	NONE	501 (C)	PROMOTE ORGANICS RECYCLING THAT PROTECT THE ENVIRONMENT	5,000.
TOXIC FREE NORTH CAROLINA 206 NEWBERN PLACE RALEIGH, NC 27601	NONE	501 (C)	FIGHTING PESTICIDE POLLUTION	10,000.
INTERVALE CENTER 180 INTERVALE ROAD BURLINGTON, VT 05401	NONE	501 (C)	HEALTHY CITY PROGRAM	5,000.



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**STATEMENT 18 (CONTINUED)**  
**FORM 990-PF, PART XV, LINE 3A**  
**RECIPIENT PAID DURING THE YEAR**

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
GEDAKINA P.O. BOX 221 MILFORD, NH 03055	NONE	501 (C)	REVITALIZE IDENTITY OF NORTH AMERICAN YOUTH & FAMILIES	\$ 4,000.
CITIZENS AWARENESS NETWORK P.O. BOX 83 SHELBURNE FALLS, MA 01370	NONE	501 (C)	USE OF SUSTAINABLE, RELIABLE AND AFFORDABLE ENERGY GENERATION	8,000.
CENTER FOR AN AGRICULTURAL ECONOMY PO BOX 451, 41 S MAIN STREET HARDWICK, VT 05843	NONE	501 (C)	COMMUNITY GARDEN PROJECT	5,000.
NUCLEAR FREE VT/CANADA PO BOX 6325 BRATTLEBORO, VT 05302	NONE	501 (C)	SUPPORT NUCLEAR-FREE WORK	5,000.
VT PUBLIC INTEREST RESEARCH GROUP 141 MAIN ST, STE 6 MONTPELIER, VT 05602	NONE	501 (C)	SUPPORT PUBLIC INTEREST RESEARCH	10,000.
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE SUITE A BOULDER, CO 80301	NONE	501 (C)	GRASSROOTS GRANTMAKING	10,000.
VIRGINIA FOREST WATCH 14031 INDEPENDENCE ROAD ASHLAND, VA 23005	NONE	501 (C)	MAINTAIN AND RESTORE WOODLANDS ACROSS VIRGINIA	10,000.
CENTER FOR WHOLE COMMUNITIES 700 BRAGG HILL ROAD FAYSTON, VT 05673	NONE	501 (C)	SUPPORT WHOLE THINKING PROGRAMS	3,500.
CLINCH COALITION 1097 OLD QUARRY DRIVE NICKELSVILLE, VA 24271	NONE	501 (C)	PROTECT FOREST OF LOCAL NATIONAL FOREST AND SURROUNDING COMMUNITIES	7,500.

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STATEMENT 18 (CONTINUED)  
FORM 990-PF, PART XV, LINE 3A  
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PESTICIDE WATCH EDUCATION FUND 1107 9TH ST, SUITE 601 SACRAMENTO, CA 95814	NONE	501 (C)	ASSIST COMMUNITY GROUPS TO PROTECT HEALTH AND SAFETY OF THEIR COMMUNITIES	\$ 7,500.
STUDENT/FARMWORKER ALLIANCE PO BOX 603 IMMOKALEE, FL 34143	NONE	501 (C)	SUPPORT ELIMINATING SWEATSHOP CONDITIONS FOR FARMWORKERS	5,000.
FAMILY CENTER OF WASHINGTON COUNTY 383 SHERWOOD DRIVE MONTPELIER, VT 05602	NONE	501 (C)	SUPPORT FAMILIES OF WASHINGTON COUNTY	5,000.
MONTPELIER DOWNTOWN COMMUNITY ASSOCIATIO 39 MAIN STREET MONTPELIER, VT 05602	NONE	501 (C)	SUPPORT COMMUNITY ACTIVITIES OF DOWNTOWN MONTPELIER	4,200.
RECYCLE NORTH 266 PINE STREET BURLINGTON, VT 05401	NONE	501 (C)	SUPPORT REUSE OF RESOURCES	5,000.
VERMONT NATURAL RESOURCES COUNCIL 9 BAILEY AVE MONTPELIER, VT 05602	NONE	501 (C)	PROTECT NATURAL RESOURCES	10,000.
INSTITUTE FOR RESPONSIBLE TECHNOLOGY PO BOX 469 FAIRFIELD, IA 52556	NONE	501 (C)	SUPPORT CONSUMER SAFETY IN FOOD	8,000.
VT FOODBANK 33 PARKER ROAD BARRE, VT 05641	NONE	501 (C)	SUPPORT DISTRIBUTION OF FOOD FOR LOW INCOME VERMONTERS	5,000.
ALASKA WILDERNESS LEAGUE 122 C ST NW STE 240 WASHINGTON, DC 20001	NONE	501 (C)	SUPPORT PROTECTION OF ALASKAN WILDERNESS	2,000.
TOTAL				\$ 222,200.