Form **990-PF**

Department of the Treasury Internal Revenue Service

# Return of Private Foundation

## or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2009**

For calendar year 2009, or tax year beginning , and ending

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type See Specific Instructions

Name of foundation: THE JAMES W. GETTELFINGER FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): 901 ADAMS CROSSING | Room/suite

City or town, state, and ZIP code: CINCINNATI, OH 45202

A Employer identification number: 31-1659080

B Telephone number: 513-455-8200

C If exemption application is pending, check here [ ]

D 1. Foreign organizations, check here [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 3,238,616.

J Accounting method [ ] Cash [X] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

| **Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|
| **Revenue** | | | | |
| 1 Contributions, gifts, grants, etc, received | | | N/A | |
| 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| 3 Interest on savings and temporary cash investments | | | | |
| 4 Dividends and interest from securities | 104,203. | 104,203. | | STATEMENT 1 |
| 5a Gross rents | | | | |
| b Net rental income or (loss) | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | <80,492.> | | | |
| b Gross sales price for all assets on line 6a 882,654. | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | 0. | | |
| 8 Net short-term capital gain | | | | |
| 9 Income modifications | | | | |
| 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | |
| c Gross profit or (loss) | | | | |
| 11 Other income | | | | |
| 12 Total Add lines 1 through 11 | 23,711. | 104,203. | | |
| **Operating and Administrative Expenses** | | | | |
| 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| 14 Other employee salaries and wages | | | | |
| 15 Pension plans, employee benefits | | | | |
| 16a Legal fees | | | | |
| b Accounting fees STMT 2 | 9,188. | 0. | | 0. |
| c Other professional fees STMT 3 | 27,866. | 27,866. | | 0. |
| 17 Interest | | | | |
| 18 Taxes STMT 4 | 2,279. | 0. | | 0. |
| 19 Depreciation and depletion | | | | |
| 20 Occupancy | | | | |
| 21 Travel, conferences, and meetings | | | | |
| 22 Printing and publications | | | | |
| 23 Other expenses | | | | |
| 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 39,333. | 27,866. | | 0. |
| 25 Contributions, gifts, grants paid | 176,600. | | | 176,600. |
| 26 **Total expenses and disbursements** Add lines 24 and 25 | 215,933. | 27,866. | | 176,600. |
| 27 Subtract line 26 from line 12 | | | | |
| a Excess of revenue over expenses and disbursements | <192,222.> | | | |
| b **Net investment income** (if negative, enter -0-) | | 76,337. | | |
| c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|---|
| Assets | 1 | Cash - non-interest-bearing | | | |
| | 2 | Savings and temporary cash investments | 272,664. | 89,466. | 89,466. |
| | 3 | Accounts receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 | Other notes and loans receivable ▶ | | | |
| | | Less allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U S and state government obligations STMT 6 | 411,279. | 444,703. | 457,303. |
| | b | Investments - corporate stock STMT 7 | 1,111,487. | 961,328. | 1,133,714. |
| | c | Investments - corporate bonds STMT 8 | 814. | 2,338. | 2,827. |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 9 | 1,391,405. | 1,477,592. | 1,555,306. |
| | 14 | Land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers) | 3,187,649. | 2,975,427. | 3,238,616. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable | | | |
| | 22 | Other liabilities (describe ▶ STATEMENT 10) | 60,000. | 40,000. | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 60,000. | 40,000. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31** | | | |
| | 24 | Unrestricted | | | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31** | | | |
| | 27 | Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 | Paid-in or capital surplus, or land, bldg, and equipment fund | 0. | 0. | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | 3,127,649. | 2,935,427. | |
| | 30 | **Total net assets or fund balances** | 3,127,649. | 2,935,427. | |
| | 31 | **Total liabilities and net assets/fund balances** | 3,187,649. | 2,975,427. | |

**Part III Analysis of Changes in Net Assets or Fund Balances**

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 3,127,649. |
| 2 | Enter amount from Part I, line 27a | 2 | <192,222.> |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 2,935,427. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 2,935,427. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 882,654. | | 963,146. | <80,492.> |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | <80,492.> |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 <80,492.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes [X] No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 148,700. | 3,532,034. | .042100 |
| 2007 | 233,940. | 3,804,557. | .061489 |
| 2006 | 135,350. | 3,690,998. | .036670 |
| 2005 | 131,201. | 2,608,853. | .050291 |
| 2004 | 133,074. | 2,581,679. | .051546 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .242096 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .048419 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 2,931,743. |
| 5 | Multiply line 4 by line 3 | 5 | 141,952. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 763. |
| 7 | Add lines 5 and 6 | 7 | 142,715. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 176,600. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | 1 | 763. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 763. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 **Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 763. |
| 6 Credits/Payments | | | |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009 | 6a 11,414. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments Add lines 6a through 6d | | 7 | 11,414. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached | | 8 | |
| 9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| 10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 10,651. |
| 11 Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ | 10,651. Refunded ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to* **1a** *or* **1b**, *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | 1b | | X |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ OH | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | | X |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ PATRICK J. BURKE Telephone no ▶513.455.8200

Located at ▶901 ADAMS CROSSING, CINCINNATI, OH ZIP+4 ▶45202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | | |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| (6) | Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______ , ______ , ______ , ______ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009 )* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| PATRICK J. BURKE<br>901 ADAMS CROSSING<br>CINCINNATI, OH 45202 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| MARCIA BURKE<br>901 ADAMS CROSSING<br>CINCINNATI, OH 45202 | TRUSTEE<br>0.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 2,822,983. |
| b | Average of monthly cash balances | 1b | 153,406. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 2,976,389. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 2,976,389. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 44,646. |
| 5 | **Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 2,931,743. |
| 6 | **Minimum investment return** Enter 5% of line 5 | 6 | 146,587. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 146,587. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 763. | | |
| b | Income tax for 2009 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 763. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 145,824. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 145,824. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 145,824. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 176,600. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 176,600. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 763. |
| 6 | **Adjusted qualifying distributions** Subtract line 5 from line 4 | 6 | 175,837. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | 145,824. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 109,812. | |
| b Total for prior years ______ , ______ , ______ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2009 | | | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 176,600. | | | | |
| a Applied to 2008, but not more than line 2a | | | 109,812. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2009 distributable amount | | | | 66,788. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | 79,036. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| MISCELLANEOUS CONTRIBUTIONS - SEE STATEMENT 12 - N/A NA | | | CHARITABLE/EDUCATIONAL | 176,600. |
| Y- XAVIER UNIVERSITY (PAYMENT OF 2007 SET ASIDE) | | | EDUCATIONAL | 20,000. |
| Z- LESS: PAYMENT OF 2007 SET ASIDE | | | | <20,000.> |
| **Total** | | | ▶ 3a | 176,600. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | Related or exempt function income |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 104,203. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 14 | 2,474. | <82,966.> |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0. | | 106,677. | <82,966.> |

13 **Total** Add line 12, columns (b), (d), and (e) ..... 13 23,711.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|
| 8 | INVESTMENTS SOLD IN 2009 PRODUCED A LOSS |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee: Patrick [illegible], Trustee — Date: 10-27-10 — Title: Trustee

**Paid Preparer's Use Only**

| | | | |
|---|---|---|---|
| Preparer's signature | David J. Hampton | Date 10-20-10 | Check if self-employed ☐ — Preparer's identifying number |
| Firm's name (or yours if self-employed), address, and ZIP code | BURKE & SCHINDLER, PLL<br>901 ADAMS CROSSING<br>CINCINNATI, OH 45202 | | EIN<br>Phone no (513) 455-8200 |

Form **990-PF** (2009)

923622
02-02-10

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | ML 6PW-04017 - STG | P | VARIOUS | VARIOUS |
| b | ML 6PW-04017 - LTL | P | VARIOUS | VARIOUS |
| c | ML 6PW-04018 - STG | P | VARIOUS | VARIOUS |
| d | ML 6PW-04018 - STL | P | VARIOUS | VARIOUS |
| e | ML 6PW-04018 - LTL | P | VARIOUS | VARIOUS |
| f | ML 6PW-04019 - STG | P | VARIOUS | VARIOUS |
| g | ML 6PW-04019 - STL | P | VARIOUS | VARIOUS |
| h | ML 6PW-04019 - LTG | P | VARIOUS | VARIOUS |
| i | ML 6PW-04019 - LTL | P | VARIOUS | VARIOUS |
| j | ML 6PW-04038 - STG | P | VARIOUS | VARIOUS |
| k | ML 6PW-04038 - STL | P | VARIOUS | VARIOUS |
| l | ML 6PW-04038 - LTG | P | VARIOUS | VARIOUS |
| m | ML 6PW-04038 - LTL | P | VARIOUS | VARIOUS |
| n | ML 6PW-04038 - OTHER | P | VARIOUS | VARIOUS |
| o | ML 6PW-04039 - STG | P | VARIOUS | VARIOUS |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 2,214. | | 2,095. | 119. |
| b | 45,380. | | 46,241. | <861.> |
| c | 1,258. | | 1,134. | 124. |
| d | 234,515. | | 248,954. | <14,439.> |
| e | 34,723. | | 54,184. | <19,461.> |
| f | 42,876. | | 34,620. | 8,256. |
| g | 30,664. | | 35,415. | <4,751.> |
| h | 5,666. | | 4,349. | 1,317. |
| i | 6,902. | | 10,082. | <3,180.> |
| j | 74,348. | | 59,889. | 14,459. |
| k | 71,064. | | 104,263. | <33,199.> |
| l | 86,425. | | 69,193. | 17,232. |
| m | 77,719. | | 99,947. | <22,228.> |
| n | 7. | | | 7. |
| o | 6,179. | | 4,802. | 1,377. |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | 119. |
| b | | | | <861.> |
| c | | | | 124. |
| d | | | | <14,439.> |
| e | | | | <19,461.> |
| f | | | | 8,256. |
| g | | | | <4,751.> |
| h | | | | 1,317. |
| i | | | | <3,180.> |
| j | | | | 14,459. |
| k | | | | <33,199.> |
| l | | | | 17,232. |
| m | | | | <22,228.> |
| n | | | | 7. |
| o | | | | 1,377. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | |

923591
04-24-09

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | ML 6PW-04039 - STL | P | VARIOUS | VARIOUS |
| b | ML 6PW-04039 - LTG | P | VARIOUS | VARIOUS |
| c | ML 6PW-04039 - LTL | P | VARIOUS | VARIOUS |
| d | ML 6PW-04039 - OTHER | P | VARIOUS | VARIOUS |
| e | ML 6PW-04046 - STG | P | VARIOUS | VARIOUS |
| f | ML 6PW-04046 - STL | P | VARIOUS | VARIOUS |
| g | ML 6PW-04046 - LTG | P | VARIOUS | VARIOUS |
| h | ML 6PW-04046 - LTL | P | VARIOUS | VARIOUS |
| i | ML 6PW-04046 - OTHER | P | VARIOUS | VARIOUS |
| j | CAPITAL GAINS DIVIDENDS | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 3,316. | | 6,668. | <3,352.> |
| b | 25,502. | | 19,184. | 6,318. |
| c | 47,514. | | 62,826. | <15,312.> |
| d | 44. | | | 44. |
| e | 10,130. | | 8,880. | 1,250. |
| f | 3,185. | | 6,833. | <3,648.> |
| g | 34,543. | | 25,604. | 8,939. |
| h | 35,986. | | 57,983. | <21,997.> |
| i | 20. | | | 20. |
| j | 2,474. | | | 2,474. |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | <3,352.> |
| b | | | | 6,318. |
| c | | | | <15,312.> |
| d | | | | 44. |
| e | | | | 1,250. |
| f | | | | <3,648.> |
| g | | | | 8,939. |
| h | | | | <21,997.> |
| i | | | | 20. |
| j | | | | 2,474. |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | <80,492.> |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

923591
04-24-09

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| U.S. TRSY INFLATION NOTE | 2000.0000· | 01/05/09 | 03/25/09 | (52.13)(A) | (52.13)(A) | 2,213.64 | 2,094.70(C) | 118.94 |
| | | **Short Term Capital Gains Subtotal** | | | | **2,213.64** | **2,094.70** | **118.94** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **118.94** |
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| U.S. TRSY INFLATION NOTE | 41000.0000· | 03/17/08 | 03/25/09 | (1,326.88)(A) | (489 44)(A) | 45,379 54 | 46,240.86(C) | (861.32) |
| | | **Long Term Capital Losses Subtotal** | | | | **45,379.54** | **46,240.86** | **(861.32)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(861.32)** |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **47,593.18** | **48,335.56** | **(742.38)** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **47,593.18** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

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## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| AMER FUNDS INCOME FUND | 39.0000 | 12/24/08 | 07/21/09 | | | 527.67 | 495.30 | 32.37 |
| | 1.0000 | 12/26/08 | 07/21/09 | | | 13 53 | 12 74 | 0 79 |
| | 8.0000 | 12/26/08 | 07/21/09 | | | 108 24 | 101 60 | 6 64 |
| | 1.0000 | 12/29/08 | 07/21/09 | | | 13 53 | 12 74 | 0.79 |
| | 44.0000 | 03/20/09 | 07/21/09 | | | 595.34 | 511 72 | 83.62 |
| | | **Security Subtotal** | | | | **1,258.31** | **1,134.10** | **124.21** |
| | | **Short Term Capital Gains Subtotal** | | | | **1,258.31** | **1,134.10** | **124.21** |
| ***SHORT TERM CAPITAL LOSSES*** | | | | | | | | |
| ISHARE BARLCYS 20+ YR | 600 0000 | 02/24/09 | 10/02/09 | | | 59,405 67 | 63,120.54 | (3,714.87) |
| | 100.0000 | 02/24/09 | 12/23/09 | | | 9,113 95 | 10,520.09 | (1,406 14) |
| | 450.0000 | 02/24/09 | 12/24/09 | | | 40,589 32 | 47,340.41 | (6,751.09) |
| | | **Security Subtotal** | | | | **109,108.94** | **120,981.04** | **(11,872.10)** |
| AMERICAN BD FD OF AMER F | 11781.3380 | 11/07/08 | 02/24/09 | | | 125,000.00 | 127,474.07 | (2,474.07) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| AMER FUNDS INCOME FUND | 29.0000 | 09/19/08 | 07/21/09 | | | 392 37 | 482 27 | (89.90) |
| | 1.0000 | 09/22/08 | 07/21/09 | | | 13.53 | 16 28 | (2.75) |
| | | **Security Subtotal** | | | | **405.90** | **498.55** | **(92.65)** |
| | | **Short Term Capital Losses Subtotal** | | | | **234,514.84** | **248,953.66** | **(14,438.82)** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(14,314.61)** |
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| AMER FUNDS INCOME FUND | 2346.3450 | 06/20/07 | 07/21/09 | | | 31,746 04 | 49,971.85 | (18,225.81) |
| | 21.0000 | 09/21/07 | 07/21/09 | | | 284 13 | 444 99 | (160 86) |
| | 1.0000 | 09/24/07 | 07/21/09 | | | 13.52 | 21 13 | (7.61) |
| | 23.0000 | 12/21/07 | 07/21/09 | | | 311 19 | 446 43 | (135.24) |
| | 103.0000 | 12/24/07 | 07/21/09 | | | 1,393 59 | 1,999 23 | (605.64) |
| | 15.0000 | 12/24/07 | 07/21/09 | | | 202 95 | 291 15 | (88.20) |
| | 1.0000 | 12/26/07 | 07/21/09 | | | 13 53 | 19 51 | (5.98) |
| | 1 0000 | 12/26/07 | 07/21/09 | | | 13.53 | 19.51 | (5.98) |
| | 27.0000 | 03/20/08 | 07/21/09 | | | 365.31 | 481 14 | (115.83) |
| | 28.0000 | 06/23/08 | 07/21/09 | | | 378 84 | 488 88 | (110 04) |
| | | **Security Subtotal** | | | | **34,722.63** | **54,183.82** | **(19,461.19)** |
| | | **Long Term Capital Losses Subtotal** | | | | **34,722.63** | **54,183.82** | **(19,461.19)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(19,461.19)** |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **270,495.78** | **304,271.58** | **(33,775.80)** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **270,495.78** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

Note Capital gains and losses in this statement are not reported to the IRS.

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e g , liquidation of fractional shares and the proceeds from certain tender offers) It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

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The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor Securities distributed from a retirement account reflect the tax basis on the date of distribution Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds

Dividend and Interest details are repeated in the following pages for your convenience Additionally, we have included items such as margin interest and select account fees if applicable Please discuss the deductibility of these items with your tax advisor For select clients, we have also included a summary of Visa charges and checking activity

Merrill Lynch is neither a tax return preparer or tax advisor Please see your tax advisor for more information

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| ALLIANZ SE SPD ADR | 147.0000 | 11/12/08 | 01/15/09 | | | 1,220 81 | 1,020 17 | 200 64 |
| | 201 0000 | 11/12/08 | 11/12/09 | | | 2,509 15 | 1,394 95 | 1,114 20 |
| | 75 0000 | 11/20/08 | 11/12/09 | | | 936 26 | 470 25 | 466 01 |
| | 80 0000 | 03/16/09 | 12/04/09 | | | 998 58 | 605 62 | 392 96 |
| | | Security Subtotal | | | | **5,664.80** | **3,490.99** | **2,173.81** |
| ADIDAS AG SPONSORED ADR | 101 0000 | 11/12/08 | 05/06/09 | | | 1,645 96 | 1,590 75 | 55 21 |
| BANCO SANTANDER SA ADR | 112.0000 | 11/20/08 | 01/20/09 | | | 780 41 | 716 25 | 64 16 |
| BARCLAYS PLC ADR | 30 0000 | 03/27/09 | 05/15/09 | | | 465 15 | 288 14 | 177 01 |
| BAE SYS PLC SPN ADR | 82 0000 | 11/12/08 | 06/25/09 | | | 1,844 89 | 1,627 70 | 217 19 |
| | 71.0000 | 11/12/08 | 07/02/09 | | | 1,510 41 | 1,409 34 | 101 07 |
| | | Security Subtotal | | | | **3,355 30** | **3,037 04** | **318.26** |
| BNP PARIBAS SPONSORD ADR | 43 0000 | 03/17/09 | 04/13/09 | | | 1,001 52 | 882 71 | 118 81 |
| | 103 0000 | 11/09/09 | 11/09/09 | | | 99 39 | 99 39 | 0 00 |
| | | Security Subtotal | | | | **1,100 91** | **982 10** | **118.81** |
| CREDIT SUISSE GP SP ADR | 21 0000 | 04/23/09 | 10/22/09 | | | 1,223 71 | 784 20 | 439 51 |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| DEUTSCHE BK AG REG SHS | 27 0000 | 07/07/09 | 10/20/09 | | | 2,213 70 | 1,566 75 | 646 95 |
| | 3 0000 | 07/07/09 | 10/21/09 | | | 238.42 | 174 09 | 64 33 |
| | | **Security Subtotal** | | | | **2,452 12** | **1,740 84** | **711 28** |
| E ON AG ADR | 30 0000 | 11/12/08 | 09/21/09 | | | 1,248 88 | 1,046 69 | 202 19 |
| | 48 0000 | 11/12/08 | 10/06/09 | | | 1,979 09 | 1,674 73 | 304 36 |
| | | **Security Subtotal** | | | | **3,227 97** | **2,721 42** | **506.55** |
| GDF SUEZ ADR | 29 0000 | 11/12/08 | 09/21/09 | | | 1,313 80 | 1,188 99 | 124 81 |
| | 45 0000 | 11/12/08 | 10/23/09 | | | 2,011 50 | 1,845 01 | 166 49 |
| | | **Security Subtotal** | | | | **3,325.30** | **3,034.00** | **291 30** |
| HEINEKEN NV ADR | 64.0000 | 11/12/08 | 10/14/09 | | | 1,402 34 | 976 63 | 425 71 |
| IMPERIAL TOB GRP SPS ADR | 20 0000 | 11/12/08 | 07/02/09 | | | 1,026 88 | 956 99 | 69 89 |
| LLOYDS BANKING GROUP PLC | 859 0000 | 12/18/09 | 12/18/09 | | | 1,244 35 | 1,244 35 | 0 00 |
| NESTLE S A REP RG SH ADR | 27 0000 | 11/12/08 | 08/11/09 | | | 1,093 19 | 1,021 95 | 71 24 |
| NOKIA CORP SPON ADR | 10.0000 | 02/04/09 | 09/29/09 | | | 147 17 | 127 53 | 19 64 |
| PRUDENTIAL PLC ADR | 129 0000 | 11/12/08 | 01/13/09 | | | 1,383 23 | 1,106 60 | 276 63 |
| | 123 0000 | 11/12/08 | 05/21/09 | | | 1,677 67 | 1,055 13 | 622 54 |
| | | **Security Subtotal** | | | | **3,060 90** | **2,161 73** | **899.17** |
| RECKITT BENCKISER GR ADR | 181 0000 | 02/19/09 | 08/06/09 | | | 1,652 81 | 1,452 72 | 200 09 |
| | 11 0000 | 02/19/09 | 10/28/09 | | | 107 44 | 88 29 | 19 15 |
| | 194.0000 | 02/27/09 | 10/28/09 | | | 1,895 00 | 1,505 32 | 389 68 |
| | | **Security Subtotal** | | | | **3,655.25** | **3,046.33** | **608 92** |
| ROCHE HLDG LTD SPN ADR | 60 0000 | 11/12/08 | 09/09/09 | | | 2,368 88 | 2,109 00 | 259 88 |
| SOCIETE GENERAL SPN ADR | 278 0000 | 11/09/09 | 11/09/09 | | | 220 86 | 220 86 | 0 00 |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| SINGAPORE TELECOMM LT AD | 140 0000 | 11/12/08 | 11/09/09 | | | 2,911 09 | 2,149 00 | 762 09 |
| TOTAL S A SP ADR | 15 0000 | 11/12/08 | 06/17/09 | | | 811 59 | 735 00 | 76.59 |
| TELUS CORP NON VTG SHS | 8 0000 | 02/27/09 | 06/29/09 | | | 206 92 | 202 22 | 4 70 |
| VODAFONE GROUP PLC NEW | 71 0000 | 11/12/08 | 01/09/09 | | | 1,484 71 | 1,282 18 | 202 53 |
| | | **Short Term Capital Gains Subtotal** | | | | **42,875.76** | **34,619 50** | **8,256 26** |
| ***SHORT TERM CAPITAL LOSSES*** | | | | | | | | |
| BANCO SANTANDER SA ADR | 446 0000 | 11/12/08 | 01/20/09 | | | 3,107 70 | 3,681 02 | (573 32) |
| DIAGEO PLC SPSD ADR NEW | 10 0000 | 11/12/08 | 02/18/09 | | | 505 06 | 542 69 | (37 63) |
| | 26 0000 | 11/12/08 | 03/20/09 | | | 1,095 90 | 1,411 02 | (315 12) |
| | 35 0000 | 11/12/08 | 03/26/09 | | | 1,535.92 | 1,899 46 | (363 54) |
| | | **Security Subtotal** | | | | **3,136 88** | **3,853 17** | **(716.29)** |
| ENI S P A SPONSORED ADR | 22 0000 | 11/12/08 | 03/09/09 | | | 698 30 | 922 77 | (224 47) |
| E ON AG ADR | 74 0000 | 11/12/08 | 07/09/09 | | | 2,414 46 | 2,581 86 | (167 40) |
| GROUPE DANONE SPON ADR | 149 0000 | 11/12/08 | 03/20/09 | | | 1,355 40 | 1,609 20 | (253 80) |
| GDF SUEZ ADR | 42 0000 | 11/12/08 | 07/09/09 | | | 1,444 25 | 1,722 00 | (277 75) |
| HSBC HLDG PLC SP ADR | 1 0000 | 05/20/08 | 01/14/09 | | | 41 84 | 86 15 | (44 31) |
| NOVARTIS ADR | 22 0000 | 11/12/08 | 03/19/09 | | | 834 90 | 1,057 76 | (222 86) |
| | 73 0000 | 11/12/08 | 04/20/09 | | | 2,610 87 | 3,509 84 | (898 97) |
| | | **Security Subtotal** | | | | **3,445 77** | **4,567 60** | **(1,121.83)** |
| NESTLE S A REP RG SH ADR | 8 0000 | 11/12/08 | 02/19/09 | | | 266.23 | 302 80 | (36 57) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| NOKIA CORP SPON ADR | 43 0000 | 10/06/08 | 07/16/09 | | | 576 88 | 702 92 | (126 04) |
| | 143 0000 | 10/30/08 | 07/16/09 | | | 1,918 44 | 2,325 58 | (407 14) |
| | 107 0000 | 10/30/08 | 09/29/09 | | | 1,574 69 | 1,740 12 | (165 43) |
| | | **Security Subtotal** | | | | **4,070 01** | **4,768 62** | **(698.61)** |
| PRUDENTIAL PLC ADR | 57 0000 | 11/12/08 | 03/05/09 | | | 445 47 | 488 96 | (43 49) |
| ROYAL DUTCH SHELL PLC | 46 0000 | 11/12/08 | 03/30/09 | | | 2,080 98 | 2,188 94 | (107 96) |
| | 33 0000 | 05/05/09 | 05/05/09 | | | 1,519 45 | 1,570 33 | (50 88) |
| | | **Security Subtotal** | | | | **3,600.43** | **3,759.27** | **(158 84)** |
| ROCHE HLDG LTD SPN ADR | 50 0000 | 11/12/08 | 06/17/09 | | | 1,643 76 | 1,757 50 | (113 74) |
| TELUS CORP NON VTG SHS | 79 0000 | 11/12/08 | 05/08/09 | | | 2,060 35 | 2,230 96 | (170 61) |
| | 58 0000 | 11/12/08 | 06/29/09 | | | 1,500 11 | 1,637 92 | (137.81) |
| | | **Security Subtotal** | | | | **3,560 46** | **3,868 88** | **(308 42)** |
| VODAFONE GROUP PLC NEW | 80 0000 | 11/12/08 | 04/24/09 | | | 1,433 42 | 1,444 72 | (11 30) |
| | | **Short Term Capital Losses Subtotal** | | | | **30,664 38** | **35,414 52** | **(4,750 14)** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **3,506.12** |
| ***LONG TERM CAPITAL GAINS*** | | | | | | | | |
| ALLIANZ SE SPD ADR | 6 0000 | 11/20/08 | 12/04/09 | | | 74 89 | 37 62 | 37 27 |
| UNILEVER PLC NEW ADR | 83 0000 | 11/12/08 | 11/20/09 | | | 2,450 18 | 1,800.05 | 650 13 |
| ZURICH FINL SVCS SPN ADR | 138 0000 | 11/12/08 | 11/18/09 | | | 3,140 80 | 2,511 60 | 629 20 |
| | | **Long Term Capital Gains Subtotal** | | | | **5,665 87** | **4,349.27** | **1,316 60** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| ERICSSON LM TEL CL B ADR | 167 0000 | 10/31/07 | 04/15/09 | | | 1,500 56 | 2,478 42 | (977 86) |
| | 49 0000 | 10/31/07 | 04/29/09 | | | 467 52 | 727 21 | (259 69) |
| | 35 0000 | 01/08/08 | 04/29/09 | | | 333 96 | 388 50 | (54 54) |
| | 165 0000 | 01/08/08 | 11/06/09 | | | 1,694 40 | 1,831 50 | (137 10) |
| | | **Security Subtotal** | | | | **3,996 44** | **5,425 63** | **(1,429 19)** |
| HSBC HLDG PLC SP ADR | 29 0000 | 07/19/07 | 01/14/09 | | | 1,213 22 | 2,724 79 | (1,511.57) |
| SANOFI AVENTIS SPON ADR | 43 0000 | 12/06/06 | 10/22/09 | | | 1,692 58 | 1,931 44 | (238 86) |
| | | **Long Term Capital Losses Subtotal** | | | | **6,902 24** | **10,081 86** | **(3,179.62)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(1,863.02)** |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **86,108.25** | **84,465.15** | **1,643.10** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **86,108.25** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

Note Capital gains and losses in this statement are not reported to the IRS

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## REALIZED CAPITAL GAIN AND LOSS SUMMARY

| | SHORT TERM GAINS | SHORT TERM LOSSES | LONG TERM GAINS | LONG TERM LOSSES |
|---|---|---|---|---|
| TOTAL | 8,256 26 | (4,750.14) | 1,316.60 | (3,179.62) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

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## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| AMAZON COM INC COM | 24.0000 | 01/09/09 | 01/30/09 | | | 1,413.14 | 1,347.52 | 65 62 |
| | 3.0000 | 01/12/09 | 01/30/09 | | | 176 65 | 158 82 | 17 83 |
| | 4 0000 | 07/09/09 | 10/05/09 | | | 359 27 | 313 09 | 46.18 |
| | | **Security Subtotal** | | | | **1,949.06** | **1,819.43** | **129.63** |
| AMERICAN TOWER CORP CL A | 17.0000 | 09/08/09 | 10/05/09 | | | 615.21 | 581 03 | 34 18 |
| AMER EXPRESS COMPANY | 14.0000 | 05/06/09 | 10/05/09 | | | 463.94 | 383 51 | 80 43 |
| BHP BILLITON PLC SP ADR | 26.0000 | 03/12/09 | 10/05/09 | | | 1,394.86 | 928 21 | 466.65 |
| BANK NEW YORK MELLON | 42.0000 | 04/21/09 | 11/16/09 | | | 1,151.83 | 1,151.45 | 0 38 |
| CVS CAREMARK CORP | 37.0000 | 10/14/08 | 10/06/09 | | | 1,261.19 | 1,100 95 | 160 24 |
| | 30.0000 | 05/21/09 | 10/06/09 | | | 1,022 60 | 879 30 | 143 30 |
| | | **Security Subtotal** | | | | **2,283.79** | **1,980.25** | **303.54** |
| COSTCO WHOLESALE CRP DEL | 6.0000 | 02/09/09 | 09/30/09 | | | 335.99 | 271.16 | 64 83 |
| | 11 0000 | 05/21/09 | 09/30/09 | | | 615 99 | 526 79 | 89 20 |
| | | **Security Subtotal** | | | | **951.98** | **797.95** | **154.03** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| DIRECTV GROUP INC | 9 0000 | 06/30/09 | 10/05/09 | | | 241 46 | 223 21 | 18 25 |
| DOW CHEMICAL CO | 86 0000 | 06/09/09 | 10/05/09 | | | 2,126 95 | 1,541.39 | 585.56 |
| EOG RESOURCES INC | 4.0000 | 05/07/09 | 10/05/09 | | | 329.83 | 296 09 | 33 74 |
| GOLDMAN SACHS GROUP INC | 5.0000 | 09/19/08 | 06/01/09 | | | 726.27 | 660.47 | 65 80 |
| | 12 0000 | 11/25/08 | 11/09/09 | | | 2,106.00 | 849.40 | 1,256.60 |
| | 7.0000 | 03/25/09 | 12/03/09 | | | 1,169 32 | 748 94 | 420 38 |
| | | **Security Subtotal** | | | | **4,001.59** | **2,258.81** | **1,742.78** |
| GENENTECH INC NEW | 3.0000 | 10/08/08 | 03/26/09 | | | 285 00 | 241.61 | 43.39 |
| | 21.0000 | 10/09/08 | 03/26/09 | | | 1,995 00 | 1,644 17 | 350.83 |
| | | **Security Subtotal** | | | | **2,280.00** | **1,885.78** | **394.22** |
| GOOGLE INC CL A | 1.0000 | 10/29/08 | 05/21/09 | | | 394.68 | 360 16 | 34.52 |
| | 1.0000 | 10/29/08 | 10/05/09 | | | 491.05 | 360.16 | 130.89 |
| | 5.0000 | 10/30/08 | 10/05/09 | | | 2,455 29 | 1,828 45 | 626.84 |
| | | **Security Subtotal** | | | | **3,341.02** | **2,548.77** | **792.25** |
| GILEAD SCIENCES INC COM | 3 0000 | 11/25/08 | 10/05/09 | | | 135 17 | 132.45 | 2 72 |
| HSBC HLDG PLC SP ADR | 15.0000 | 08/31/09 | 10/05/09 | | | 831.57 | 810.30 | 21.27 |
| INTEL CORP | 52.0000 | 07/16/09 | 10/05/09 | | | 991 10 | 949 01 | 42 09 |
| | 85 0000 | 07/16/09 | 10/16/09 | | | 1,713 93 | 1,551.28 | 162.65 |
| | 21.0000 | 07/16/09 | 10/28/09 | | | 404 71 | 383 26 | 21 45 |
| | 104.0000 | 07/17/09 | 10/28/09 | | | 2,004 28 | 1,947.26 | 57.02 |
| | 49.0000 | 07/17/09 | 10/28/09 | | | 944.32 | 903.16 | 41.16 |
| | 103.0000 | 07/20/09 | 10/28/09 | | | 1,985.03 | 1,943.82 | 41.21 |
| | | **Security Subtotal** | | | | **8,043.37** | **7,677.79** | **365.58** |
| INTL BUSINESS MACHINES | 2 0000 | 01/28/09 | 05/21/09 | | | 204 63 | 188 90 | 15.73 |
| | 18.0000 | 01/28/09 | 10/05/09 | | | 2,148 06 | 1,700.19 | 447 87 |
| | | **Security Subtotal** | | | | **2,352.69** | **1,889.09** | **463.60** |

Merrill Lynch

Account No. 6PW-04038

Taxpayer No. 31-1659080



GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| JUNIPER NETWORKS INC | 22.0000 | 07/01/09 | 10/05/09 | | | 579 02 | 537 49 | 41.53 |
| | 19 0000 | 07/06/09 | 11/10/09 | | | 475 70 | 448.21 | 27.49 |
| | 26.0000 | 07/08/09 | 11/10/09 | | | 650 97 | 589.57 | 61.40 |
| | 57.0000 | 07/01/09 | 11/11/09 | | | 1,448 85 | 1,392 59 | 56 26 |
| | 20.0000 | 07/06/09 | 11/11/09 | | | 508 38 | 471 78 | 36 60 |
| | 10.0000 | 07/08/09 | 11/11/09 | | | 250 61 | 226 76 | 23.85 |
| | | **Security Subtotal** | | | | **3,913.53** | **3,666.40** | **247.13** |
| JPMORGAN CHASE & CO | 65 0000 | 03/13/09 | 05/11/09 | | | 2,424 25 | 1,539 66 | 884.59 |
| | 4 0000 | 03/13/09 | 05/21/09 | | | 137.59 | 94.75 | 42 84 |
| | 8.0000 | 03/23/09 | 05/21/09 | | | 275.20 | 214 31 | 60 89 |
| | 76.0000 | 03/23/09 | 10/05/09 | | | 3,296 07 | 2,035.99 | 1,260.08 |
| | 36.0000 | 03/23/09 | 11/10/09 | | | 1,576.37 | 964 43 | 611.94 |
| | 25.0000 | 03/23/09 | 11/10/09 | | | 1,094 71 | 664 11 | 430.60 |
| | 31 0000 | 03/23/09 | 12/03/09 | | | 1,326 41 | 823 50 | 502 91 |
| | 37 0000 | 03/24/09 | 12/03/09 | | | 1,583.15 | 1,040 91 | 542 24 |
| | | **Security Subtotal** | | | | **11,713.75** | **7,377.66** | **4,336.09** |
| MERCK&CO INC | 24.0000 | 09/09/09 | 10/05/09 | | | 755.98 | 755 22 | 0 76 |
| NRG ENERGY INC | 13 0000 | 07/10/09 | 10/05/09 | | | 355 02 | 299 01 | 56.01 |
| | 42.0000 | 07/10/09 | 12/29/09 | | | 1,008 87 | 966 05 | 42.82 |
| | 23.0000 | 07/10/09 | 12/30/09 | | | 549.10 | 529 03 | 20 07 |
| | | **Security Subtotal** | | | | **1,912.99** | **1,794.09** | **118.90** |
| PPG INDUSTRIES INC SHS | 13.0000 | 08/28/09 | 10/05/09 | | | 742 28 | 728 36 | 13.92 |
| POTASH CORP SASKATCHEWAN | 17.0000 | 02/10/09 | 07/31/09 | | | 1,585 13 | 1,518.42 | 66 71 |
| | 3.0000 | 02/10/09 | 08/03/09 | | | 286.75 | 267 96 | 18 79 |
| | 18.0000 | 03/04/09 | 08/03/09 | | | 1,720.54 | 1,359.86 | 360.68 |
| | 3.0000 | 03/04/09 | 10/05/09 | | | 257 96 | 226 65 | 31.31 |
| | 3 0000 | 03/10/09 | 10/05/09 | | | 257 96 | 222 96 | 35 00 |
| | | **Security Subtotal** | | | | **4,108.34** | **3,595.85** | **512.49** |
| STARWOOD HOTELS AND | 11.0000 | 10/17/08 | 10/05/09 | | | 335 38 | 235 72 | 99 66 |

Merrill Lynch

Account No. 6PW-04038

Taxpayer No. 31-1659080



GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| SCHERING PLOUGH CORP | 33 0000 | 11/26/08 | 04/21/09 | | | 727 30 | 542 76 | 184 54 |
| | 32.0000 | 12/02/08 | 04/21/09 | | | 705.27 | 507.67 | 197 60 |
| | 41.0000 | 12/02/08 | 04/22/09 | | | 890.60 | 650.47 | 240 13 |
| | 25.0000 | 12/04/08 | 04/22/09 | | | 543.06 | 405 76 | 137.30 |
| | 84 0000 | 12/09/08 | 04/23/09 | | | 1,815 61 | 1,343.33 | 472 28 |
| | 13.0000 | 12/04/08 | 04/23/09 | | | 282 17 | 211 00 | 71 17 |
| | 72 0000 | 12/05/08 | 04/23/09 | | | 1,562 81 | 1,137.48 | 425 33 |
| | 20 0000 | 12/08/08 | 04/23/09 | | | 434.11 | 320.00 | 114 11 |
| | 17.0000 | 12/09/08 | 04/23/09 | | | 369.01 | 271.86 | 97 15 |
| | | **Security Subtotal** | | | | **7,329.94** | **5,390.33** | **1,939.61** |
| WELLS FARGO & CO NEW | 15.0000 | 12/23/08 | 10/05/09 | | | 375.14 | 313.20 | 61.94 |
| TAIWAN S MANUFCTRING ADR | 1.0000 | 04/17/09 | 05/21/09 | | | 10.19 | 9.62 | 0 57 |
| | 8.0000 | 04/17/09 | 10/05/09 | | | 83 37 | 76.99 | 6 38 |
| | 39.0000 | 04/20/09 | 10/05/09 | | | 406 47 | 360 52 | 45.95 |
| | 108.0000 | 04/20/09 | 10/27/09 | | | 1,065 04 | 998 39 | 66.65 |
| | 32.0000 | 04/20/09 | 10/28/09 | | | 315 25 | 295.82 | 19.43 |
| | | **Security Subtotal** | | | | **1,880.32** | **1,741.34** | **138.98** |
| UNITED STS STL CORP NEW | 38.0000 | 04/17/09 | 06/12/09 | | | 1,509 90 | 1,126.96 | 382.94 |
| | 31.0000 | 04/30/09 | 06/12/09 | | | 1,231.76 | 805 72 | 426 04 |
| | 1.0000 | 05/21/09 | 06/12/09 | | | 39.74 | 29.59 | 10 15 |
| | | **Security Subtotal** | | | | **2,781.40** | **1,962.27** | **819.13** |
| XTO ENERGY INC | 35.0000 | 05/07/09 | 11/09/09 | | | 1,550 30 | 1,501.92 | 48.38 |
| | 1.0000 | 05/21/09 | 11/09/09 | | | 44 30 | 40 25 | 4 05 |
| | | **Security Subtotal** | | | | **1,594.60** | **1,542.17** | **52.43** |
| WAL-MART STORES INC | 19.0000 | 02/19/09 | 09/09/09 | | | 973.56 | 957.79 | 15.77 |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| WELLS FARGO & CO NEW DEL | 14.0000 | 05/04/09 | 05/21/09 | | | 339 35 | 327 55 | 11 80 |
| | 3.0000 | 05/05/09 | 10/05/09 | | | 83.71 | 69 04 | 14 67 |
| | 108.0000 | 05/04/09 | 10/05/09 | | | 3,013.32 | 2,526.82 | 486 50 |
| | | **Security Subtotal** | | | | **3,436.38** | **2,923.41** | **512.97** |
| | | **Short Term Capital Gains Subtotal** | | | | **74,347.91** | **59,889.32** | **14,458.59** |
| ***SHORT TERM CAPITAL LOSSES*** | | | | | | | | |
| ABBOTT LABS | 32.0000 | 01/23/09 | 10/05/09 | | | 1,605 16 | 1,686 16 | (81 00) |
| ABB LTD SPON ADR | 32.0000 | 12/17/08 | 02/19/09 | | | 382.69 | 489 33 | (106.64) |
| | 27.0000 | 12/18/08 | 02/19/09 | | | 322.89 | 404.30 | (81.41) |
| | 31.0000 | 12/23/08 | 02/19/09 | | | 370 74 | 422 38 | (51.64) |
| | 9.0000 | 12/24/08 | 02/19/09 | | | 107 63 | 123 33 | (15 70) |
| | 21.0000 | 12/26/08 | 02/19/09 | | | 251 15 | 291 82 | (40 67) |
| | 3 0000 | 12/29/08 | 02/19/09 | | | 35.88 | 44 05 | (8 17) |
| | | **Security Subtotal** | | | | **1,470.98** | **1,775.21** | **(304.23)** |
| AMER EXPRESS COMPANY | 1.0000 | 05/06/09 | 05/21/09 | | | 23.71 | 27 39 | (3.68) |
| BAIDU INC SPON ADR | 1.0000 | 09/17/09 | 10/05/09 | | | 382.06 | 404.33 | (22.27) |
| BANK NEW YORK MELLON | 4.0000 | 04/14/09 | 05/21/09 | | | 107 27 | 125 52 | (18.25) |
| | 9.0000 | 04/14/09 | 10/05/09 | | | 247.94 | 282.42 | (34.48) |
| | 44.0000 | 04/14/09 | 11/16/09 | | | 1,206 67 | 1,380 73 | (174.06) |
| | | **Security Subtotal** | | | | **1,561.88** | **1,788.67** | **(226.79)** |
| BANCO SANTNDER BRZL SA | 66.0000 | 10/16/09 | 10/28/09 | | | 810 99 | 905 74 | (94 75) |
| | 73.0000 | 10/16/09 | 11/04/09 | | | 908 91 | 1,001 81 | (92.90) |
| | | **Security Subtotal** | | | | **1,719.90** | **1,907.55** | **(187.65)** |
| DEVON ENERGY CORP NEW | 14.0000 | 10/22/08 | 02/25/09 | | | 641 18 | 987.95 | (346 77) |

Merrill Lynch

Account No. 6PW-04038 | Taxpayer No. 31-1659080



GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| DEERE CO | 22 0000 | 12/10/08 | 03/11/09 | | | 602 81 | 830.09 | (227.28) |
| | 25 0000 | 12/09/08 | 03/11/09 | | | 684.99 | 941.50 | (256.51) |
| | | **Security Subtotal** | | | | **1,287.80** | **1,771.59** | **(483.79)** |
| DISNEY (WALT) CO COM STK | 30 0000 | 12/08/08 | 02/05/09 | | | 570.65 | 757 10 | (186.45) |
| | 6.0000 | 12/08/08 | 02/18/09 | | | 106 01 | 151 43 | (45.42) |
| | 38.0000 | 12/09/08 | 02/18/09 | | | 671.41 | 906 63 | (235 22) |
| | | **Security Subtotal** | | | | **1,348.07** | **1,815.16** | **(467.09)** |
| GOLDMAN SACHS GROUP INC | 15.0000 | 03/07/08 | 01/20/09 | | | 897 55 | 2,378.39 | (1,480.84) |
| | 7.0000 | 04/25/08 | 04/13/09 | | | 893 17 | 1,336.07 | (442.90) |
| | | **Security Subtotal** | | | | **1,790.72** | **3,714.46** | **(1,923.74)** |
| GENZYME CORPORATION | 1.0000 | 01/28/09 | 08/03/09 | | | 50 57 | 69 74 | (19.17) |
| | 11.0000 | 01/29/09 | 08/03/09 | | | 556 29 | 771 20 | (214 91) |
| | 12.0000 | 05/21/09 | 08/03/09 | | | 606 88 | 696.60 | (89.72) |
| | | **Security Subtotal** | | | | **1,213.74** | **1,537.54** | **(323.80)** |
| GILEAD SCIENCES INC COM | 4.0000 | 11/25/08 | 05/21/09 | | | 169.43 | 176.59 | (7.16) |
| | 9.0000 | 12/22/08 | 10/05/09 | | | 405.53 | 449.88 | (44.35) |
| | | **Security Subtotal** | | | | **574.96** | **626.47** | **(51.51)** |
| HESS CORP | 30.0000 | 03/18/08 | 02/19/09 | | | 1,587 93 | 2,931.06 | (1,343.13) |
| | 11.0000 | 03/19/08 | 02/19/09 | | | 582 25 | 1,039.29 | (457.04) |
| | 13.0000 | 03/19/08 | 02/25/09 | | | 656.08 | 1,228.27 | (572.19) |
| | 33.0000 | 05/19/08 | 02/25/09 | | | 1,665 45 | 4,322.62 | (2,657.17) |
| | | **Security Subtotal** | | | | **4,491.71** | **9,521.24** | **(5,029.53)** |
| JOHNSON AND JOHNSON COM | 57.0000 | 11/06/08 | 04/28/09 | | | 2,904.51 | 3,302.16 | (397.65) |
| | 54 0000 | 11/06/08 | 04/29/09 | | | 2,718.40 | 3,128.38 | (409.98) |
| | 17.0000 | 11/07/08 | 04/29/09 | | | 855.80 | 996.83 | (141.03) |
| | | **Security Subtotal** | | | | **6,478.71** | **7,427.37** | **(948.66)** |
| LOCKHEED MARTIN CORP | 37.0000 | 09/19/08 | 08/25/09 | | | 2,737.93 | 4,105.66 | (1,367 73) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| MONSANTO CO NEW DEL COM | 6 0000 | 10/08/08 | 10/06/09 | | | 453 07 | 495 80 | (42.73) |
| | 10.0000 | 10/08/08 | 10/07/09 | | | 757.35 | 826.34 | (68.99) |
| | 14.0000 | 01/23/09 | 10/07/09 | | | 1,060.30 | 1,103.16 | (42.86) |
| | 11.0000 | 01/23/09 | 10/07/09 | | | 825.96 | 866.77 | (40.81) |
| | 1.0000 | 05/21/09 | 10/07/09 | | | 75 09 | 88 42 | (13.33) |
| | | **Security Subtotal** | | | | **3,171.77** | **3,380.49** | **(208.72)** |
| ORACLE CORP $0.01 DEL | 63.0000 | 10/30/08 | 02/03/09 | | | 1,063.44 | 1,143.83 | (80 39) |
| | 43.0000 | 10/30/08 | 03/05/09 | | | 641.96 | 780.72 | (138 76) |
| | 56 0000 | 10/31/08 | 03/05/09 | | | 836 06 | 1,016.38 | (180.32) |
| | | **Security Subtotal** | | | | **2,541.46** | **2,940.93** | **(399.47)** |
| ROCHE HLDG LTD SPN ADR | 48.0000 | 03/12/09 | 04/23/09 | | | 1,346.76 | 1,456.54 | (109.78) |
| | 26.0000 | 03/12/09 | 04/23/09 | | | 729.49 | 790.96 | (61.47) |
| | 76.0000 | 03/19/09 | 04/23/09 | | | 2,132 39 | 2,429.79 | (297.40) |
| | | **Security Subtotal** | | | | **4,208.64** | **4,677.29** | **(468.65)** |
| STARWOOD HOTELS AND | 2.0000 | 10/17/08 | 05/21/09 | | | 42.29 | 42.85 | (0 56) |
| SCHLUMBERGER LTD | 36.0000 | 03/07/08 | 02/13/09 | | | 1,500.52 | 3,077.70 | (1,577.18) |
| | 2 0000 | 03/07/08 | 02/17/09 | | | 83.34 | 170.98 | (87 64) |
| | 1.0000 | 03/07/08 | 02/17/09 | | | 39 90 | 85 49 | (45 59) |
| | 16.0000 | 03/07/08 | 02/18/09 | | | 612.75 | 1,367.88 | (755.13) |
| | 11.0000 | 10/08/08 | 02/18/09 | | | 421.27 | 733.22 | (311.95) |
| | 36.0000 | 10/13/08 | 02/18/09 | | | 1,378 71 | 2,321.14 | (942.43) |
| | | **Security Subtotal** | | | | **4,036.49** | **7,756.41** | **(3,719.92)** |
| TAIWAN S MANUFCTRING ADR | 153 0000 | 05/05/09 | 10/28/09 | | | 1,507.34 | 1,676.85 | (169 51) |
| TARGET CORP COM | 51.0000 | 08/22/08 | 02/25/09 | | | 1,403.27 | 2,674.50 | (1,271.23) |
| | 46.0000 | 10/03/08 | 02/25/09 | | | 1,265 70 | 2,041 63 | (775.93) |
| | 52.0000 | 10/16/08 | 02/26/09 | | | 1,437 08 | 1,943 50 | (506 42) |
| | 1.0000 | 10/03/08 | 02/26/09 | | | 27.63 | 44.39 | (16.76) |
| | 58.0000 | 10/16/08 | 03/03/09 | | | 1,515 37 | 2,167.77 | (652 40) |
| | | **Security Subtotal** | | | | **5,649.05** | **8,871.79** | **(3,222.74)** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| UNITEDHEALTH GROUP INC | 18.0000 | 01/28/09 | 03/03/09 | | | 322 01 | 539 03 | (217.02) |
| | 10.0000 | 01/28/09 | 03/09/09 | | | 181.88 | 299 47 | (117.59) |
| | 31.0000 | 01/30/09 | 03/09/09 | | | 563.83 | 872.42 | (308.59) |
| | 26.0000 | 02/04/09 | 03/09/09 | | | 472 90 | 753.95 | (281.05) |
| | 4.0000 | 02/06/09 | 03/09/09 | | | 72 76 | 115 76 | (43.00) |
| | 31.0000 | 02/06/09 | 03/09/09 | | | 515 15 | 897.17 | (382.02) |
| | 54.0000 | 02/18/09 | 03/09/09 | | | 897.38 | 1,530.19 | (632 81) |
| | | **Security Subtotal** | | | | **3,025.91** | **5,007.99** | **(1,982.08)** |
| US BANCORP (NEW) | 18 0000 | 07/22/08 | 01/20/09 | | | 279 73 | 518 34 | (238 61) |
| | 39.0000 | 07/23/08 | 01/20/09 | | | 606 09 | 1,196.76 | (590.67) |
| | 8.0000 | 07/30/08 | 01/20/09 | | | 124.33 | 244.20 | (119.87) |
| | 33.0000 | 07/30/08 | 01/21/09 | | | 438.02 | 1,007 37 | (569.35) |
| | 27.0000 | 07/31/08 | 01/21/09 | | | 358.39 | 830.75 | (472.36) |
| | 7.0000 | 07/31/08 | 01/21/09 | | | 112 64 | 215.38 | (102 74) |
| | 7.0000 | 07/31/08 | 02/17/09 | | | 77 19 | 215 39 | (138.20) |
| | 7.0000 | 08/05/08 | 02/17/09 | | | 77 19 | 223 11 | (145 92) |
| | | **Security Subtotal** | | | | **2,073.58** | **4,451.30** | **(2,377.72)** |
| XTO ENERGY INC | 8.0000 | 05/07/09 | 10/05/09 | | | 320.15 | 343.29 | (23.14) |
| WAL-MART STORES INC | 35.0000 | 10/03/08 | 05/05/09 | | | 1,762.52 | 2,096.38 | (333.86) |
| | 38.0000 | 10/03/08 | 05/05/09 | | | 1,902 65 | 2,276.09 | (373.44) |
| | 7.0000 | 10/09/08 | 05/05/09 | | | 350.50 | 359 72 | (9.22) |
| | 24.0000 | 10/09/08 | 05/11/09 | | | 1,220 38 | 1,233 37 | (12.99) |
| | 12 0000 | 10/14/08 | 05/11/09 | | | 610 19 | 653 15 | (42.96) |
| | 4.0000 | 10/14/08 | 05/21/09 | | | 195 95 | 217.71 | (21.76) |
| | 40.0000 | 10/14/08 | 09/08/09 | | | 2,059.51 | 2,177.19 | (117 68) |
| | 12.0000 | 10/16/08 | 09/08/09 | | | 617.86 | 635.22 | (17.36) |
| | 8.0000 | 10/16/08 | 09/09/09 | | | 409.91 | 423.48 | (13.57) |
| | 32.0000 | 10/17/08 | 09/09/09 | | | 1,639 67 | 1,754.07 | (114.40) |
| | | **Security Subtotal** | | | | **10,769.14** | **11,826.38** | **(1,057.24)** |
| YAHOO INC | 31 0000 | 09/28/09 | 10/05/09 | | | 524.81 | 536.73 | (11.92) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| WELLS FARGO & CO NEW DEL | 30.0000 | 01/30/08 | 01/20/09 | | | 439 04 | 985 59 | (546.55) |
| | 15.0000 | 02/01/08 | 01/20/09 | | | 219 53 | 500.90 | (281.37) |
| | 35.0000 | 02/01/08 | 01/21/09 | | | 509 72 | 1,168.77 | (659.05) |
| | 65.0000 | 03/07/08 | 01/21/09 | | | 946 64 | 1,849.90 | (903 26) |
| | 36.0000 | 03/07/08 | 02/18/09 | | | 480 22 | 1,024.56 | (544.34) |
| | 20 0000 | 07/21/08 | 02/18/09 | | | 266 78 | 550 66 | (283.88) |
| | 95 0000 | 11/06/08 | 02/18/09 | | | 1,267 26 | 2,741 61 | (1,474.35) |
| | 54.0000 | 11/06/08 | 02/20/09 | | | 492 45 | 1,558.40 | (1,065.95) |
| | 29.0000 | 12/03/08 | 02/20/09 | | | 264.47 | 759.39 | (494 92) |
| | 46.0000 | 12/03/08 | 02/20/09 | | | 419.51 | 1,244.79 | (825.28) |
| | 44.0000 | 11/06/08 | 02/24/09 | | | 559 56 | 1,269.80 | (710.24) |
| | | **Security Subtotal** | | | | **5,865.18** | **13,654.37** | **(7,789.19)** |
| | | **Short Term Capital Losses Subtotal** | | | | **71,064.32** | **104,263.42** | **(33,199.10)** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(18,740.51)** |
| ***LONG TERM CAPITAL GAINS*** | | | | | | | | |
| APPLE INC | 9.0000 | 06/17/08 | 10/05/09 | | | 1,676 57 | 1,626.29 | 50.28 |
| | 6 0000 | 05/02/08 | 10/05/09 | | | 1,117 70 | 1,078.73 | 38.97 |
| | 7.0000 | 06/17/08 | 12/16/09 | | | 1,371 46 | 1,264.90 | 106.56 |
| | 6.0000 | 06/18/08 | 12/16/09 | | | 1,175 55 | 1,074.47 | 101.08 |
| | | **Security Subtotal** | | | | **5,341.28** | **5,044.39** | **296.89** |
| GENL DYNAMICS CORP COM | 8.0000 | 03/11/05 | 10/05/09 | | | 516.38 | 435 81 | 80 57 |
| | 18 0000 | 07/22/05 | 10/05/09 | | | 1,161.87 | 1,043.99 | 117 88 |
| | 8.0000 | 07/25/05 | 10/05/09 | | | 516 39 | 467.99 | 48.40 |
| | | **Security Subtotal** | | | | **2,194.64** | **1,947.79** | **246.85** |
| GOLDMAN SACHS GROUP INC | 20.0000 | 09/19/08 | 10/05/09 | | | 3,701 30 | 2,641.88 | 1,059 42 |
| | 16.0000 | 09/19/08 | 11/09/09 | | | 2,807 98 | 2,113 51 | 694 47 |
| | 8.0000 | 11/25/08 | 12/03/09 | | | 1,336 35 | 566 27 | 770 08 |
| | | **Security Subtotal** | | | | **7,845.63** | **5,321.66** | **2,523.97** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| GENENTECH INC NEW | 120.0000 | 01/25/06 | 03/26/09 | | | 11,400 00 | 10,412.41 | 987 59 |
| | 88.0000 | 03/07/08 | 03/26/09 | | | 8,360 00 | 6,906.24 | 1,453.76 |
| | | **Security Subtotal** | | | | **19,760.00** | **17,318.65** | **2,441.35** |
| LOCKHEED MARTIN CORP | 17 0000 | 01/26/05 | 03/17/09 | | | 1,039 32 | 934.82 | 104 50 |
| | 7.0000 | 04/11/05 | 04/08/09 | | | 506 89 | 431.11 | 75.78 |
| | 3.0000 | 01/25/06 | 04/08/09 | | | 217 25 | 196 53 | 20 72 |
| | 19.0000 | 01/25/06 | 04/09/09 | | | 1,399 35 | 1,244.68 | 154 67 |
| | 12.0000 | 01/25/06 | 05/06/09 | | | 962.52 | 786 12 | 176.40 |
| | 2.0000 | 01/25/06 | 05/21/09 | | | 161 19 | 131 02 | 30.17 |
| | 8.0000 | 01/25/06 | 07/17/09 | | | 648.65 | 524 09 | 124 56 |
| | | **Security Subtotal** | | | | **4,935.17** | **4,248.37** | **686.80** |
| MONSANTO CO NEW DEL COM | 3.0000 | 08/22/06 | 02/25/09 | | | 226.55 | 141.16 | 85.39 |
| | 17.0000 | 08/24/06 | 02/25/09 | | | 1,283.80 | 800 58 | 483 22 |
| | 2.0000 | 08/24/06 | 05/12/09 | | | 180 06 | 94.19 | 85.87 |
| | 18.0000 | 09/01/06 | 05/12/09 | | | 1,620 58 | 867 46 | 753.12 |
| | 10.0000 | 09/07/06 | 05/13/09 | | | 898 81 | 468.56 | 430 25 |
| | 3.0000 | 09/01/06 | 05/13/09 | | | 269.64 | 144.58 | 125.06 |
| | 8.0000 | 09/07/06 | 05/19/09 | | | 725.87 | 374.86 | 351.01 |
| | 12.0000 | 10/16/06 | 05/19/09 | | | 1,088.82 | 543 47 | 545 35 |
| | 28.0000 | 10/16/06 | 07/06/09 | | | 2,037 35 | 1,268.10 | 769 25 |
| | | **Security Subtotal** | | | | **8,331.48** | **4,702.96** | **3,628.52** |
| MASTERCARD INC | 4.0000 | 03/01/07 | 06/11/09 | | | 686 36 | 420.54 | 265 82 |
| | 3 0000 | 03/13/07 | 06/11/09 | | | 514.76 | 311 41 | 203.35 |
| | 6 0000 | 03/14/07 | 06/11/09 | | | 1,029.54 | 622 74 | 406 80 |
| | 2.0000 | 08/14/07 | 06/11/09 | | | 343 19 | 266 87 | 76.32 |
| | 7.0000 | 08/14/07 | 07/06/09 | | | 1,163 82 | 934.08 | 229.74 |
| | 6.0000 | 08/14/07 | 07/30/09 | | | 1,178.84 | 800 65 | 378 19 |
| | 14.0000 | 09/28/07 | 07/30/09 | | | 2,750.65 | 2,045 96 | 704 69 |
| | 1.0000 | 09/28/07 | 10/05/09 | | | 199.99 | 146.15 | 53.84 |
| | 5 0000 | 03/07/08 | 10/05/09 | | | 999.97 | 946.05 | 53 92 |
| | | **Security Subtotal** | | | | **8,867.12** | **6,494.45** | **2,372.67** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| MCDONALDS CORP COM | 4.0000 | 05/11/07 | 07/30/09 | | | 223 16 | 202 54 | 20.62 |
| | 39.0000 | 05/15/07 | 07/30/09 | | | 2,175 89 | 1,999.87 | 176.02 |
| | 13.0000 | 05/16/07 | 07/30/09 | | | 725.30 | 674 91 | 50 39 |
| | 16.0000 | 05/16/07 | 07/31/09 | | | 887 01 | 830 66 | 56 35 |
| | 8 0000 | 05/16/07 | 09/11/09 | | | 434 38 | 415 33 | 19.05 |
| | 7 0000 | 05/18/07 | 09/11/09 | | | 380 10 | 365 80 | 14.30 |
| | 11 0000 | 05/18/07 | 09/14/09 | | | 595.96 | 574 84 | 21 12 |
| | 25.0000 | 05/21/07 | 09/14/09 | | | 1,354.46 | 1,306.92 | 47.54 |
| | 14.0000 | 05/21/07 | 10/05/09 | | | 794.48 | 731 89 | 62 59 |
| | 26.0000 | 05/22/07 | 10/05/09 | | | 1,475 48 | 1,355.96 | 119 52 |
| | | **Security Subtotal** | | | | **9,046.22** | **8,458.72** | **587.50** |
| NIKE INC CL B | 8.0000 | 07/10/08 | 10/05/09 | | | 499.42 | 444.27 | 55 15 |
| | 11.0000 | 07/11/08 | 10/05/09 | | | 686 71 | 608.76 | 77.95 |
| | | **Security Subtotal** | | | | **1,186.13** | **1,053.03** | **133.10** |
| PRAXAIR INC | 2.0000 | 11/13/06 | 05/21/09 | | | 139.41 | 124.81 | 14 60 |
| | 3.0000 | 11/16/06 | 10/05/09 | | | 237 51 | 189.73 | 47 78 |
| | 13.0000 | 11/13/06 | 10/05/09 | | | 1,029.17 | 811.34 | 217 83 |
| | | **Security Subtotal** | | | | **1,406.09** | **1,125.88** | **280.21** |
| QUALCOMM INC | 43.0000 | 03/07/08 | 10/05/09 | | | 1,803.91 | 1,720.43 | 83 48 |
| | 7.0000 | 03/25/08 | 10/05/09 | | | 293.67 | 286.25 | 7.42 |
| | | **Security Subtotal** | | | | **2,097.58** | **2,006.68** | **90.90** |
| UNION PACIFIC CORP | 4 0000 | 01/31/06 | 05/12/09 | | | 191 22 | 175 43 | 15.79 |
| | 18 0000 | 01/31/06 | 10/05/09 | | | 1,050 01 | 789 49 | 260 52 |
| | 24.0000 | 03/08/06 | 10/05/09 | | | 1,400 01 | 1,024.21 | 375.80 |
| | 9.0000 | 03/27/06 | 10/05/09 | | | 525.01 | 417.86 | 107 15 |
| | | **Security Subtotal** | | | | **3,166.25** | **2,406.99** | **759.26** |
| VISA INC CL A SHRS | 17.0000 | 09/19/08 | 12/16/09 | | | 1,469 00 | 1,191.74 | 277 26 |
| | 13 0000 | 09/19/08 | 12/21/09 | | | 1,132 73 | 911.33 | 221 40 |
| | | **Security Subtotal** | | | | **2,601.73** | **2,103.07** | **498.66** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| YUM BRANDS INC | 5.0000 | 02/18/05 | 05/21/09 | | | 165.02 | 120 51 | 44 51 |
| | 9.0000 | 02/18/05 | 07/16/09 | | | 302 04 | 216 94 | 85.10 |
| | 14.0000 | 03/11/05 | 07/16/09 | | | 469 83 | 352.10 | 117.73 |
| | 16 0000 | 03/15/05 | 07/16/09 | | | 536.96 | 413 63 | 123 33 |
| | 11.0000 | 06/22/05 | 07/16/09 | | | 369 17 | 290 74 | 78 43 |
| | 19 0000 | 06/22/05 | 07/20/09 | | | 639 90 | 502.20 | 137.70 |
| | 12.0000 | 06/22/05 | 07/30/09 | | | 421 35 | 317 19 | 104.16 |
| | 18.0000 | 06/23/05 | 07/30/09 | | | 632.03 | 476.74 | 155 29 |
| | 30.0000 | 01/25/06 | 07/30/09 | | | 1,053.40 | 727 95 | 325.45 |
| | 31.0000 | 01/25/06 | 09/16/09 | | | 1,054 87 | 752 21 | 302 66 |
| | 43.0000 | 01/25/06 | 10/05/09 | | | 1,498 10 | 1,043 39 | 454 71 |
| | 47.0000 | 01/25/06 | 10/16/09 | | | 1,657.44 | 1,140.46 | 516 98 |
| | 1.0000 | 01/25/06 | 10/28/09 | | | 33 53 | 24.26 | 9.27 |
| | 24.0000 | 01/25/06 | 10/29/09 | | | 812.28 | 582.37 | 229 91 |
| | | **Security Subtotal** | | | | **9,645.92** | **6,960.69** | **2,685.23** |
| | | **Long Term Capital Gains Subtotal** | | | | **86,425.24** | **69,193.33** | **17,231.91** |
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| APPLE INC | 3.0000 | 04/25/08 | 05/21/09 | | | 369 20 | 509.42 | (140.22) |
| | 7.0000 | 04/25/08 | 06/12/09 | | | 954.48 | 1,188.67 | (234.19) |
| | 21.0000 | 04/25/08 | 07/22/09 | | | 3,305.82 | 3,566.01 | (260.19) |
| | 16 0000 | 04/29/08 | 07/22/09 | | | 2,518.72 | 2,759.82 | (241.10) |
| | 6.0000 | 05/02/08 | 07/22/09 | | | 944 53 | 1,078.72 | (134.19) |
| | | **Security Subtotal** | | | | **8,092.75** | **9,102.64** | **(1,009.89)** |
| CVS CAREMARK CORP | 33.0000 | 05/24/07 | 09/18/09 | | | 1,195.38 | 1,263.53 | (68.15) |
| | 44.0000 | 05/24/07 | 09/23/09 | | | 1,598.93 | 1,684.71 | (85.78) |
| | 63.0000 | 05/24/07 | 09/24/09 | | | 2,227 45 | 2,412 20 | (184 75) |
| | 19 0000 | 05/24/07 | 09/28/09 | | | 669 91 | 727 50 | (57.59) |
| | 9.0000 | 01/23/08 | 09/28/09 | | | 317 34 | 330.61 | (13.27) |

GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| CVS CAREMARK CORP | 10 0000 | 01/23/08 | 10/05/09 | | | 351 45 | 367 35 | (15.90) |
| | 39.0000 | 03/07/08 | 10/05/09 | | | 1,370.69 | 1,524.79 | (154.10) |
| | 33.0000 | 03/07/08 | 10/06/09 | | | 1,124.85 | 1,290.22 | (165.37) |
| | | **Security Subtotal** | | | | **8,856.00** | **9,600.91** | **(744.91)** |
| COSTCO WHOLESALE CRP DEL | 20.0000 | 03/26/08 | 09/28/09 | | | 1,131 02 | 1,326 84 | (195 82) |
| | 14.0000 | 04/25/08 | 09/28/09 | | | 791.71 | 998 01 | (206 30) |
| | 1.0000 | 07/23/08 | 09/28/09 | | | 56 55 | 63.23 | (6 68) |
| | 9.0000 | 07/24/08 | 09/28/09 | | | 508 97 | 569 13 | (60.16) |
| | 34 0000 | 08/07/08 | 09/30/09 | | | 1,903 95 | 2,245 77 | (341.82) |
| | 38.0000 | 07/24/08 | 09/30/09 | | | 2,127 94 | 2,403.01 | (275.07) |
| | 23.0000 | 07/30/08 | 09/30/09 | | | 1,287.96 | 1,449.22 | (161.26) |
| | | **Security Subtotal** | | | | **7,808.10** | **9,055.21** | **(1,247.11)** |
| GOLDMAN SACHS GROUP INC | 7.0000 | 03/07/08 | 04/13/09 | | | 893.16 | 1,109 93 | (216.77) |
| | 4.0000 | 04/25/08 | 05/21/09 | | | 540 86 | 763 47 | (222.61) |
| | 5 0000 | 04/25/08 | 06/01/09 | | | 726 26 | 954 35 | (228.09) |
| | | **Security Subtotal** | | | | **2,160.28** | **2,827.75** | **(667.47)** |
| LOCKHEED MARTIN CORP | 7.0000 | 04/04/05 | 03/17/09 | | | 427.96 | 431 41 | (3.45) |
| | 5.0000 | 08/10/06 | 07/17/09 | | | 405 40 | 411 66 | (6.26) |
| | 1.0000 | 09/01/06 | 07/17/09 | | | 81 09 | 83.71 | (2.62) |
| | 14.0000 | 09/01/06 | 07/21/09 | | | 1,068.82 | 1,172.01 | (103.19) |
| | 9.0000 | 09/01/06 | 07/21/09 | | | 677.60 | 753.45 | (75.85) |
| | 14.0000 | 09/07/06 | 07/21/09 | | | 1,054.06 | 1,168.17 | (114.11) |
| | 8 0000 | 09/07/06 | 08/06/09 | | | 603.02 | 667 53 | (64.51) |
| | 5.0000 | 10/02/07 | 08/06/09 | | | 376 88 | 539.27 | (162.39) |
| | 20.0000 | 03/07/08 | 08/06/09 | | | 1,507.56 | 2,066.90 | (559.34) |
| | 32.0000 | 03/07/08 | 08/25/09 | | | 2,367 93 | 3,307 05 | (939.12) |
| | | **Security Subtotal** | | | | **8,570.32** | **10,601.16** | **(2,030.84)** |
| LOWE'S COMPANIES INC | 8 0000 | 01/26/05 | 02/25/09 | | | 123 98 | 224 68 | (100.70) |
| | 4.0000 | 05/19/05 | 02/25/09 | | | 62 00 | 115 67 | (53.67) |
| | 40.0000 | 05/19/05 | 02/26/09 | | | 612.40 | 1,156.77 | (544.37) |
| | 6.0000 | 08/16/05 | 02/26/09 | | | 91.87 | 195 25 | (103.38) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| LOWE'S COMPANIES INC | 20.0000 | 08/16/05 | 08/19/09 | | | 399 61 | 650 84 | (251.23) |
| | 98.0000 | 01/25/06 | 08/19/09 | | | 1,958.10 | 3,154.12 | (1,196.02) |
| | 53.0000 | 01/25/06 | 09/15/09 | | | 1,119.61 | 1,705.80 | (586.19) |
| | 47.0000 | 01/25/06 | 09/17/09 | | | 1,024.03 | 1,512.70 | (488.67) |
| | 46 0000 | 01/25/06 | 10/01/09 | | | 941 32 | 1,480 52 | (539.20) |
| | 153 0000 | 09/19/08 | 10/01/09 | | | 3,130 95 | 3,907.82 | (776 87) |
| | | **Security Subtotal** | | | | **9,463.87** | **14,104.17** | **(4,640.30)** |
| MONSANTO CO NEW DEL COM | 7.0000 | 03/07/08 | 07/06/09 | | | 509.34 | 766.33 | (256 99) |
| | 30.0000 | 03/07/08 | 07/21/09 | | | 2,402.61 | 3,284.31 | (881 70) |
| | 12.0000 | 03/07/08 | 07/30/09 | | | 1,017 23 | 1,313.72 | (296.49) |
| | 1.0000 | 03/07/08 | 07/31/09 | | | 84 91 | 109 47 | (24.56) |
| | 9.0000 | 03/07/08 | 10/05/09 | | | 675.25 | 985 30 | (310.05) |
| | 8.0000 | 03/07/08 | 10/06/09 | | | 604.08 | 875.83 | (271.75) |
| | | **Security Subtotal** | | | | **5,293.42** | **7,334.96** | **(2,041.54)** |
| NORFOLK SOUTHERN CORP | 20.0000 | 01/31/08 | 03/11/09 | | | 564.17 | 1,075.02 | (510.85) |
| | 11 0000 | 01/31/08 | 05/12/09 | | | 398 71 | 591 26 | (192.55) |
| | 6.0000 | 01/31/08 | 10/05/09 | | | 263 45 | 322.52 | (59.07) |
| | 16.0000 | 02/11/08 | 10/05/09 | | | 702.54 | 874.76 | (172.22) |
| | 2.0000 | 02/28/08 | 10/05/09 | | | 87.81 | 109.21 | (21 40) |
| | 11.0000 | 03/03/08 | 10/05/09 | | | 483.01 | 585.63 | (102.62) |
| | | **Security Subtotal** | | | | **2,499.69** | **3,558.40** | **(1,058.71)** |
| PETRLEO BRAS VTG SPD ADR | 2.0000 | 12/21/07 | 05/21/09 | | | 78.99 | 112.68 | (33.69) |
| | 24 0000 | 12/21/07 | 10/05/09 | | | 1,084.11 | 1,352.26 | (268.15) |
| | 10.0000 | 12/27/07 | 10/05/09 | | | 451.71 | 580.77 | (129.06) |
| | 7.0000 | 03/07/08 | 10/05/09 | | | 316.21 | 394 30 | (78.09) |
| | | **Security Subtotal** | | | | **1,931.02** | **2,440.01** | **(508.99)** |
| SCHLUMBERGER LTD | 9.0000 | 09/10/07 | 02/17/09 | | | 374 99 | 863 08 | (488.09) |

Merrill Lynch

Account No. 6PW-04038

Taxpayer No. 31-1659080



GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| TRANSOCEAN LTD | 5 0000 | 12/06/07 | 05/21/09 | | | 357 89 | 644 96 | (287.07) |
| | 7.0000 | 12/07/07 | 10/05/09 | | | 586.27 | 934.58 | (348.31) |
| | 6.0000 | 12/12/07 | 10/05/09 | | | 502.51 | 831.83 | (329 32) |
| | 4.0000 | 12/24/07 | 10/05/09 | | | 335.01 | 573 42 | (238 41) |
| | 10.0000 | 01/08/08 | 10/05/09 | | | 837 53 | 1,398.37 | (560 84) |
| | 8.0000 | 01/09/08 | 10/05/09 | | | 670 03 | 1,112.09 | (442.06) |
| | | **Security Subtotal** | | | | **3,289.24** | **5,495.25** | **(2,206.01)** |
| US BANCORP (NEW) | 9.0000 | 08/05/08 | 10/05/09 | | | 193.87 | 286.86 | (92.99) |
| | 12 0000 | 08/06/08 | 10/05/09 | | | 258 50 | 383.55 | (125 05) |
| | 54.0000 | 08/20/08 | 10/05/09 | | | 1,163 28 | 1,606 09 | (442.81) |
| | 9.0000 | 08/20/08 | 12/03/09 | | | 216 19 | 267.69 | (51.50) |
| | 26.0000 | 08/21/08 | 12/03/09 | | | 624.56 | 783.79 | (159.23) |
| | 44.0000 | 08/22/08 | 12/03/09 | | | 1,056.95 | 1,370.20 | (313.25) |
| | 18 0000 | 09/03/08 | 12/03/09 | | | 432 39 | 591.11 | (158.72) |
| | 17.0000 | 09/04/08 | 12/03/09 | | | 408 37 | 555 89 | (147.52) |
| | | **Security Subtotal** | | | | **4,354.11** | **5,845.18** | **(1,491.07)** |
| UNION PACIFIC CORP | 18.0000 | 01/25/06 | 02/25/09 | | | 713.01 | 773.28 | (60.27) |
| | 24 0000 | 01/31/06 | 02/25/09 | | | 950 70 | 1,052.63 | (101.93) |
| | | **Security Subtotal** | | | | **1,663.71** | **1,825.91** | **(162.20)** |
| VISA INC CL A SHRS | 3.0000 | 04/30/08 | 05/21/09 | | | 196.19 | 252.38 | (56.19) |
| | 40.0000 | 04/30/08 | 06/22/09 | | | 2,476.45 | 3,365.12 | (888 67) |
| | 8.0000 | 04/30/08 | 07/30/09 | | | 541 97 | 673 03 | (131.06) |
| | 15.0000 | 06/26/08 | 07/30/09 | | | 1,016 20 | 1,188.93 | (172.73) |
| | 14.0000 | 06/27/08 | 07/30/09 | | | 948.47 | 1,139.13 | (190.66) |
| | 4.0000 | 06/27/08 | 10/05/09 | | | 275 27 | 325.47 | (50.20) |
| | 18.0000 | 07/30/08 | 10/05/09 | | | 1,238.72 | 1,361.52 | (122.80) |
| | 8.0000 | 09/19/08 | 10/05/09 | | | 550.55 | 560.82 | (10.27) |
| | 2.0000 | 09/19/08 | 10/06/09 | | | 136 84 | 140 20 | (3.36) |
| | | **Security Subtotal** | | | | **7,380.66** | **9,006.60** | **(1,625.94)** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| YUM BRANDS INC | 29.0000 | 03/07/08 | 10/29/09 | | | 981 52 | 1,023.31 | (41.79) |
| | 26.0000 | 03/07/08 | 10/30/09 | | | 872 71 | 917.45 | (44.74) |
| | 62.0000 | 03/07/08 | 11/03/09 | | | 2,049 92 | 2,187.79 | (137.87) |
| | 20.0000 | 03/07/08 | 11/04/09 | | | 671.46 | 705 74 | (34 28) |
| | | **Security Subtotal** | | | | **4,575.61** | **4,834.29** | **(258.68)** |
| WELLS FARGO & CO NEW DEL | 18 0000 | 09/06/06 | 01/20/09 | | | 263 42 | 631.25 | (367.83) |
| | 24.0000 | 09/08/06 | 01/20/09 | | | 351.23 | 838.32 | (487.09) |
| | 54.0000 | 10/19/06 | 01/20/09 | | | 790.27 | 1,982.28 | (1,192.01) |
| | | **Security Subtotal** | | | | **1,404.92** | **3,451.85** | **(2,046.93)** |
| | | **Long Term Capital Losses Subtotal** | | | | **77,718.69** | **99,947.37** | **(22,228.68)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(4,996.77)** |
| ***OTHER TRANSACTIONS*** | | | | | | | | |
| TAIWAN S MANUFCTRING ADR | .0000 | 08/20/09 | 08/20/09 | | | 7.12 | N/A | N/A |
| | | **Other Transactions Subtotal** | | | | **7.12** | | |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **309,563.28** | **333,293.44** | **(23,737.28)** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **309,563.28** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

Note: Capital gains and losses in this statement are not reported to the IRS.

* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g , liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g , option premium received).

N/A - Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| CISCO SYSTEMS INC COM | 95.0000 | 10/22/08 | 08/13/09 | | | 2,038 78 | 1,682.49 | 356.29 |
| | 52 0000 | 11/10/08 | 08/13/09 | | | 1,115 97 | 929 24 | 186 73 |
| | | **Security Subtotal** | | | | **3,154.75** | **2,611.73** | **543.02** |
| GRUPO TELEVISA SA ADR | 85.0000 | 11/10/08 | 10/23/09 | | | 1,733.24 | 1,384.64 | 348 60 |
| SCHERING PLOUGH CORP | 83.0000 | 11/10/08 | 11/05/09 | | | 871.50 | 523 49 | 348 01 |
| | 40 0000 | 03/03/09 | 11/05/09 | | | 420 00 | 282.33 | 137 67 |
| | | **Security Subtotal** | | | | **1,291.50** | **805.82** | **485.68** |
| | | **Short Term Capital Gains Subtotal** | | | | **6,179.49** | **4,802.19** | **1,377.30** |
| ***SHORT TERM CAPITAL LOSSES*** | | | | | | | | |
| CITIGROUP INC | 120.0000 | 05/28/08 | 03/11/09 | | | 187 20 | 2,567.65 | (2,380 45) |
| CONOCOPHILLIPS | 35.0000 | 11/10/08 | 08/21/09 | | | 1,539 12 | 1,849.21 | (310.09) |
| PHILIP MORRIS INTL INC | 30.0000 | 11/10/08 | 03/02/09 | | | 987 73 | 1,285.01 | (297.28) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| TYCO ELECTRONICS LTD | 56.0000 | 11/10/08 | 03/23/09 | | | 602 42 | 966 56 | (364.14) |
| | | **Short Term Capital Losses Subtotal** | | | | **3,316.47** | **6,668.43** | **(3,351.96)** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(1,974.66)** |
| ***LONG TERM CAPITAL GAINS*** | | | | | | | | |
| AMER EXPRESS COMPANY | 46 0000 | 03/20/01 | 12/10/09 | | | 1,842.75 | 1,546 12 | 296 63 |
| CVS CAREMARK CORP | 56 0000 | 01/05/06 | 12/10/09 | | | 1,760.62 | 1,739 96 | 20.66 |
| COSTCO WHOLESALE CRP DEL | 51.0000 | 01/18/05 | 12/10/09 | | | 3,006 51 | 2,487.77 | 518.74 |
| DIAGEO PLC SPSD ADR NEW | 28.0000 | 01/18/05 | 12/10/09 | | | 1,894 71 | 1,561.27 | 333 44 |
| JPMORGAN CHASE & CO | 74.0000 | 01/18/05 | 12/10/09 | | | 3,016 94 | 2,815.70 | 201 24 |
| MERCK AND CO INC SHS | 38.0000 | 09/04/08 | 12/10/09 | | | 1,437.50 | 730.44 | 707.06 |
| OCCIDENTAL PETE CORP CAL | 26 0000 | 01/18/05 | 12/10/09 | | | 2,003 25 | 759 85 | 1,243 40 |
| PHILIP MORRIS INTL INC | 30.0000 | 02/18/03 | 03/02/09 | | | 987.72 | 602.78 | 384.94 |
| | 25.0000 | 04/29/03 | 03/02/09 | | | 823.10 | 408 60 | 414.50 |
| | 75.0000 | 07/02/03 | 03/02/09 | | | 2,469 30 | 1,843.19 | 626 11 |
| | 24.0000 | 11/10/08 | 12/10/09 | | | 1,187 00 | 1,028.01 | 158 99 |
| | | **Security Subtotal** | | | | **5,467.12** | **3,882.58** | **1,584.54** |
| SCHERING PLOUGH CORP | 170.0000 | 09/04/08 | 11/05/09 | | | 1,785.00 | 1,382.70 | 402 30 |
| UNITEDHEALTH GROUP INC | 103 0000 | 11/10/08 | 12/16/09 | | | 3,287.92 | 2,277 21 | 1,010.71 |
| | | **Long Term Capital Gains Subtotal** | | | | **25,502.32** | **19,183.60** | **6,318.72** |

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## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| AMER EXPRESS COMPANY | 50.0000 | 10/31/00 | 12/10/09 | | | 2,002.97 | 2,620.42 | (617.45) |
| | 50.0000 | 11/09/00 | 12/10/09 | | | 2,002 98 | 2,572 55 | (569.57) |
| | | **Security Subtotal** | | | | **4,005.95** | **5,192.97** | **(1,187.02)** |
| BANK NEW YORK MELLON | 72.0000 | 02/28/07 | 12/10/09 | | | 1,975 74 | 3,117.70 | (1,141.96) |
| BLOCK H&R INC | 105.0000 | 01/18/05 | 04/01/09 | | | 1,863.27 | 2,476.95 | (613 68) |
| | 70.0000 | 01/18/05 | 12/10/09 | | | 1,388 80 | 1,651 31 | (262 51) |
| | | **Security Subtotal** | | | | **3,252.07** | **4,128.26** | **(876.19)** |
| COMCAST CRP NEW CL A SPL | 195.0000 | 01/18/05 | 06/26/09 | | | 2,712 32 | 4,287.21 | (1,574.89) |
| | 232.0000 | 01/18/05 | 12/10/09 | | | 3,848 80 | 5,100.69 | (1,251.89) |
| | 2.0000 | 03/10/05 | 12/10/09 | | | 33 18 | 44.34 | (11.16) |
| | | **Security Subtotal** | | | | **6,594.30** | **9,432.24** | **(2,837.94)** |
| CITIGROUP INC | 37.0000 | 02/11/08 | 03/11/09 | | | 57 71 | 956.12 | (898 41) |
| CONOCOPHILLIPS | 150.0000 | 01/18/05 | 08/21/09 | | | 6,596.18 | 6,746.26 | (150.08) |
| | 65.0000 | 09/14/06 | 08/21/09 | | | 2,858.34 | 3,827.59 | (969.25) |
| | 49.0000 | 11/10/08 | 12/10/09 | | | 2,488 41 | 2,588.89 | (100.48) |
| | | **Security Subtotal** | | | | **11,942.93** | **13,162.74** | **(1,219.81)** |
| GRUPO TELEVISA SA ADR | 80 0000 | 02/06/08 | 10/23/09 | | | 1,631 28 | 1,705.18 | (73.90) |
| JPMORGAN CHASE & CO | 195.0000 | 01/18/05 | 01/23/09 | | | 4,598.64 | 7,419.74 | (2,821.10) |
| MICROSOFT CORP | 10.0000 | 05/16/02 | 06/18/09 | | | 235.55 | 273 50 | (37.95) |
| | 36.0000 | 05/16/02 | 10/21/09 | | | 956.28 | 984.61 | (28.33) |
| | 25 0000 | 08/03/05 | 10/21/09 | | | 664.08 | 683.28 | (19.20) |
| | 4 0000 | 03/31/06 | 10/21/09 | | | 106 26 | 108 94 | (2.68) |
| | | **Security Subtotal** | | | | **1,962.17** | **2,050.33** | **(88.16)** |
| NEWS CORP CL A | 111.0000 | 04/13/06 | 12/10/09 | | | 1,386 63 | 1,872.58 | (485.95) |

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GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| PHILIP MORRIS INTL INC | 70.0000 | 01/18/05 | 03/02/09 | | | 2,304.69 | 2,350 93 | (46.24) |
| TEXAS INSTRUMENTS | 111.0000 | 05/23/08 | 12/10/09 | | | 2,876.23 | 3,513.49 | (637.26) |
| TYCO ELECTRONICS LTD | 8.0000 | 01/18/05 | 03/23/09 | | | 86 05 | 336 15 | (250.10) |
| | 45.0000 | 08/31/07 | 03/23/09 | | | 484.07 | 1,555.07 | (1,071 00) |
| | | **Security Subtotal** | | | | **570.12** | **1,891.22** | **(1,321.10)** |
| UNITEDHEALTH GROUP INC | 65 0000 | 10/20/06 | 12/16/09 | | | 2,074.90 | 3,314.23 | (1,239.33) |
| WELLS FARGO & CO NEW DEL | 89.0000 | 01/18/05 | 12/10/09 | | | 2,280 36 | 2,718 50 | (438.14) |
| | | **Long Term Capital Losses Subtotal** | | | | **47,513.72** | **62,826.23** | **(15,312.51)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(8,993.79)** |
| ***OTHER TRANSACTIONS*** | | | | | | | | |
| CAREFUSION CORP SHS | 101.0000 | 09/16/09 | 09/16/09 | | | 9.32 | N/A | N/A |
| MERCK AND CO INC SHS | .0000 | 11/19/09 | 11/19/09 | | | 34 29 | N/A | N/A |
| | | **Other Transactions Subtotal** | | | | **43.61** | | |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **82,555.61** | **93,480.45** | **(10,968.45)** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **82,555.61** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

Note: Capital gains and losses in this statement are not reported to the IRS.
* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received)
N/A - Results which cannot be calculated because of insufficient data in the Capital Gain or (Loss) Column and are not included in the realized capital gain and loss summary.

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

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## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| ***SHORT TERM CAPITAL GAINS*** | | | | | | | | |
| ADIDAS AG SPONSORED ADR | 19.0000 | 09/21/09 | 12/09/09 | | | 524 38 | 498.05 | 26 33 |
| BRITISH AMN TOBACO SPADR | 7.0000 | 06/03/09 | 12/09/09 | | | 435.94 | 387 82 | 48.12 |
| BG GROUP PLC SPON ADR | 10.0000 | 02/06/09 | 12/09/09 | | | 864 97 | 780 51 | 84 46 |
| BNP PARIBAS SPONSORD ADR | 86.0000 | 11/09/09 | 11/09/09 | | | 82.98 | 82.98 | 0.00 |
| CADBURY PLC ADR | 31.0000 | 07/31/09 | 10/08/09 | | | 1,567 73 | 1,228.54 | 339.19 |
| CSL LTD SHS | 68.0000 | 02/23/09 | 12/09/09 | | | 934.97 | 807.95 | 127.02 |
| CRH PLC ADR | 87.0000 | 03/31/09 | 03/31/09 | | | 145 68 | 145.68 | 0.00 |
| DANONE-SPONS ADR | 40.0000 | 06/22/09 | 12/09/09 | | | 472 78 | 387 30 | 85 48 |
| FRESENIUS MEDICAL CARE | 6.0000 | 11/03/09 | 12/09/09 | | | 319.61 | 300.77 | 18.84 |
| KONINKLIJKE AHOLD NV ADR | 50.0000 | 08/24/09 | 12/09/09 | | | 660 48 | 603 27 | 57.21 |
| NOVARTIS ADR | 7.0000 | 10/19/09 | 12/09/09 | | | 380.23 | 364.37 | 15.86 |

Merrill Lynch

GETTELFINGER FAMILY FOUNDATION

Account No. 6PW-04046

Taxpayer No. 31-1659080



## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| PPP PT TEL INDNSIA S ADR | 61 0000 | 10/10/08 | 04/13/09 | | | 1,559.76 | 1,426.18 | 133 58 |
| | 12.0000 | 11/07/08 | 04/13/09 | | | 306 84 | 266.18 | 40 66 |
| | | **Security Subtotal** | | | | **1,866.60** | **1,692.36** | **174.24** |
| ROYAL KPN N V SP ADR | 51.0000 | 02/23/09 | 12/09/09 | | | 876 66 | 702 21 | 174 45 |
| SMITH-NPHW PLC SPADR NEW | 9.0000 | 02/20/09 | 12/09/09 | | | 431 98 | 333.74 | 98 24 |
| TALISMAN ENERGY INC COM | 34.0000 | 09/08/09 | 12/09/09 | | | 565.40 | 564 59 | 0.81 |
| | | **Short Term Capital Gains Subtotal** | | | | **10,130.39** | **8,880.14** | **1,250.25** |
| ***SHORT TERM CAPITAL LOSSES*** | | | | | | | | |
| BAE SYS PLC SPN ADR | 25.0000 | 05/22/09 | 12/09/09 | | | 543.98 | 573 54 | (29.56) |
| DESARROLLADORA SAB ADR | 18 0000 | 07/23/08 | 03/05/09 | | | 214 81 | 1,068.32 | (853.51) |
| NINTENDO LTD ADR | 44.0000 | 07/31/08 | 04/20/09 | | | 1,398.83 | 2,709.27 | (1,310.44) |
| NOKIA CORP SPON ADR | 63.0000 | 01/31/08 | 01/22/09 | | | 762.02 | 2,204.21 | (1,442 19) |
| SAP AG SHS | 6.0000 | 07/30/09 | 12/09/09 | | | 265.07 | 278.14 | (13 07) |
| | | **Short Term Capital Losses Subtotal** | | | | **3,184.71** | **6,833.48** | **(3,648.77)** |
| **NET SHORT TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(2,398.52)** |
| ***LONG TERM CAPITAL GAINS*** | | | | | | | | |
| BAYER AG SP ADR | 17.0000 | 07/11/06 | 12/09/09 | | | 1,307.26 | 799.95 | 507 31 |
| | 3 0000 | 11/27/06 | 12/09/09 | | | 230.70 | 156.69 | 74 01 |
| | | **Security Subtotal** | | | | **1,537.96** | **956.64** | **581.32** |

Merrill Lynch

**Account No.** 6PW-04046 **Taxpayer No.** 31-1659080



GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| BHP BILLITON LTD ADR | 26.0000 | 01/31/06 | 12/09/09 | | | 1,879 75 | 1,005.71 | 874 04 |
| CANADIAN NATL RAILWAY CO | 9.0000 | 01/31/06 | 12/09/09 | | | 489.13 | 406.80 | 82.33 |
| COCA COLA HELLENIC S ADS | 25.0000 | 01/31/06 | 12/09/09 | | | 569 98 | 448 16 | 121 82 |
| CNOOC LTD ADR | 11.0000 | 01/31/06 | 12/09/09 | | | 1,684 16 | 937.96 | 746 20 |
| COMPASS GROUP PLC ADR | 147 0000 | 05/23/08 | 12/09/09 | | | 1,070.13 | 1,069.66 | 0.47 |
| CHEUNG KONG HLDG ADR | 71.0000 | 01/31/06 | 12/09/09 | | | 906 64 | 770 34 | 136 30 |
| COCA COLA AMATIL SPD ADR | 70.0000 | 10/13/08 | 12/09/09 | | | 1,357.96 | 768 69 | 589 27 |
| DENSO CP UNSPONSORED ADR | 4 0000 | 11/05/08 | 12/09/09 | | | 483 98 | 375 94 | 108 04 |
| IMPERIAL TOB GRP SPS ADR | 25.0000 | 01/31/06 | 12/09/09 | | | 1,523.96 | 1,271.12 | 252 84 |
| INFOSYS TECH LTD ADR | 39.0000 | 01/31/06 | 10/09/09 | | | 1,844.44 | 1,468.19 | 376.25 |
| | 26.0000 | 01/31/06 | 12/09/09 | | | 1,357.68 | 978 80 | 378 88 |
| | | **Security Subtotal** | | | | **3,202.12** | **2,446.99** | **755.13** |
| KOMATSU NEW NEW SPNSDADR | 1.0000 | 01/26/07 | 12/09/09 | | | 83 02 | 81.38 | 1 64 |
| MERCK KGAA | 7.0000 | 10/29/08 | 12/09/09 | | | 215.25 | 195.79 | 19 46 |
| NIDEC CORPORATION ADR | 83.0000 | 05/08/08 | 12/09/09 | | | 1,900 65 | 1,626.65 | 274.00 |
| NESTLE S A REP RG SH ADR | 31.0000 | 01/31/06 | 08/12/09 | | | 1,210.91 | 910.87 | 300.04 |
| | 36.0000 | 01/31/06 | 12/09/09 | | | 1,708.15 | 1,057.79 | 650 36 |
| | | **Security Subtotal** | | | | **2,919.06** | **1,968.66** | **950.40** |
| NOVO NORDISK A S ADR | 13.0000 | 08/03/06 | 12/09/09 | | | 879.94 | 440.51 | 439 43 |
| VIMPEL COMM SP ADR | 35.0000 | 01/31/06 | 12/09/09 | | | 618 43 | 327.53 | 290 90 |

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## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| PHILPPNE L D TEL ADR | 18.0000 | 11/11/08 | 12/09/09 | | | 1,009 95 | 738 75 | 271.20 |
| PETRLEO BRAS VTG SPD ADR | 18.0000 | 01/31/06 | 12/09/09 | | | 861.81 | 413.19 | 448.62 |
| PETROLEUM GEO SVS SP ADR | 43.0000 | 01/31/06 | 12/09/09 | | | 477 28 | 464 26 | 13 02 |
| ROCHE HLDG LTD SPN ADR | 47.0000 | 01/31/06 | 12/09/09 | | | 1,910.97 | 1,835.34 | 75 63 |
| SHIRE PLC-ADR | 28.0000 | 01/31/06 | 12/09/09 | | | 1,593.15 | 1,364.44 | 228.71 |
| SYNGENTA AG ADR | 22 0000 | 01/31/06 | 12/09/09 | | | 1,212 38 | 545 40 | 666 98 |
| TESCO PLC SPNRD ADR | 67.0000 | 01/31/06 | 12/09/09 | | | 1,350 01 | 1,149.05 | 200 96 |
| TEVA PHARMACTCL INDS ADR | 18.0000 | 01/31/06 | 12/09/09 | | | 942 81 | 765 01 | 177 80 |
| | 24.0000 | 07/27/06 | 12/09/09 | | | 1,257 09 | 784 63 | 472 46 |
| | | **Security Subtotal** | | | | **2,199.90** | **1,549.64** | **650.26** |
| TAIWAN S MANUFCTRING ADR | 107.0000 | 01/31/06 | 12/09/09 | | | 1,161.02 | 1,098.18 | 62 84 |
| TRANSCANADA CORP | 27.0000 | 01/31/06 | 12/09/09 | | | 879.90 | 845.28 | 34 62 |
| TREND MICRO INC ADR NEW | 15.0000 | 01/31/06 | 12/09/09 | | | 564.73 | 502.17 | 62 56 |
| | | **Long Term Capital Gains Subtotal** | | | | **34,543.22** | **25,604.23** | **8,938.99** |
| ***LONG TERM CAPITAL LOSSES*** | | | | | | | | |
| AXA ADR | 51.0000 | 01/31/06 | 02/17/09 | | | 710.31 | 1,688.47 | (978.16) |
| | 12.0000 | 01/31/06 | 03/27/09 | | | 152 30 | 397 29 | (244.99) |
| | 36.0000 | 02/01/07 | 03/27/09 | | | 456.91 | 1,562.18 | (1,105 27) |
| | 48.0000 | 02/04/08 | 03/27/09 | | | 609 23 | 1,678.74 | (1,069.51) |
| | | **Security Subtotal** | | | | **1,928.75** | **5,326.68** | **(3,397.93)** |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| AMERICA MOVIL SAB DE CV | 27.0000 | 11/01/07 | 12/09/09 | | | 1,255.46 | 1,671.83 | (416.37) |
| ALLIED IRSH BKS SPND ADR | 68.0000 | 01/31/06 | 01/29/09 | | | 234.09 | 3,071.56 | (2,837.47) |
| BANCO SANTANDER SA ADR | 144.0000 | 01/31/06 | 02/18/09 | | | 918 54 | 2,017.59 | (1,099 05) |
| BP PLC SPON ADR | 16.0000 | 01/31/06 | 12/09/09 | | | 898 21 | 1,157 92 | (259.71) |
| BUNZL PLC ADR | 23.0000 | 01/31/06 | 12/09/09 | | | 1,185 38 | 1,288.46 | (103.08) |
| BNP PARIBAS SPONSORD ADR | 21.0000 | 01/31/06 | 03/25/09 | | | 474 85 | 925 22 | (450 37) |
| | 21.0000 | 01/31/06 | 12/09/09 | | | 839.97 | 904.96 | (64.99) |
| | | **Security Subtotal** | | | | **1,314.82** | **1,830.18** | **(515.36)** |
| COCA COLA HELLENIC S ADS | 73.0000 | 01/31/06 | 05/27/09 | | | 1,460.52 | 1,473.44 | (12 92) |
| COMPASS GROUP PLC ADR | 174.0000 | 05/23/08 | 11/16/09 | | | 1,186 27 | 1,266 12 | (79 85) |
| CRH PLC ADR | 21.0000 | 01/31/06 | 12/09/09 | | | 536 11 | 626.96 | (90.85) |
| DESARROLLADORA SAB ADR | 44 0000 | 01/15/08 | 03/05/09 | | | 525.09 | 2,175 83 | (1,650.74) |
| ENI S P A SPONSORED ADR | 19.0000 | 01/31/06 | 07/31/09 | | | 878 97 | 1,149.62 | (270.65) |
| | 22.0000 | 01/31/06 | 12/09/09 | | | 1,072.69 | 1,331.15 | (258.46) |
| | | **Security Subtotal** | | | | **1,951.66** | **2,480.77** | **(529.11)** |
| GRUPO TELEVISA SA ADR | 43.0000 | 07/21/08 | 12/09/09 | | | 890.93 | 1,030 93 | (140.00) |
| HENKEL AG & CO KGAA SP | 21.0000 | 01/31/06 | 01/15/09 | | | 585.85 | 791.00 | (205.15) |
| | 15.0000 | 01/31/06 | 01/26/09 | | | 392.29 | 565.01 | (172.72) |
| | 33.0000 | 11/01/06 | 01/26/09 | | | 863 06 | 1,471.26 | (608.20) |
| | | **Security Subtotal** | | | | **1,841.20** | **2,827.27** | **(986.07)** |
| HUTCHISN WHAMPOA ADR | 28.0000 | 01/31/06 | 12/09/09 | | | 935 45 | 1,430.80 | (495.35) |

GETTELFINGER FAMILY FOUNDATION

# 2009 ANNUAL STATEMENT SUMMARY

## 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| INTL POWER PLC SPON ADR | 26.0000 | 01/31/06 | 12/09/09 | | | 1,196 22 | 1,271.39 | (75.17) |
| KOMATSU NEW NEW SPNSDADR | 5.0000 | 08/15/07 | 12/09/09 | | | 415.14 | 592.28 | (177.14) |
| KEPPEL LTD SPONS ADR | 67 0000 | 11/01/07 | 12/09/09 | | | 798 61 | 1,369.85 | (571 24) |
| MERCK KGAA | 36.0000 | 04/01/08 | 10/27/09 | | | 1,173 20 | 1,493 59 | (320.39) |
| | 17.0000 | 04/01/08 | 12/09/09 | | | 522 73 | 705.32 | (182.59) |
| | | **Security Subtotal** | | | | **1,695.93** | **2,198.91** | **(502.98)** |
| NOKIA CORP SPON ADR | 2.0000 | 01/31/08 | 12/09/09 | | | 25 01 | 69 98 | (44 97) |
| | 27.0000 | 10/10/08 | 12/09/09 | | | 337.77 | 414 99 | (77.22) |
| | | **Security Subtotal** | | | | **362.78** | **484.97** | **(122.19)** |
| REED ELSEVIER P L C | 19.0000 | 06/24/08 | 12/09/09 | | | 574 15 | 868.03 | (293.88) |
| | 9.0000 | 07/01/08 | 12/09/09 | | | 271.98 | 399 52 | (127.54) |
| | | **Security Subtotal** | | | | **846.13** | **1,267.55** | **(421.42)** |
| SATYAM COMPUTER SVCS ADR | 124.0000 | 02/23/06 | 01/12/09 | | | 106 63 | 2,507.70 | (2,401.07) |
| SHARP CORP ADR | 57 0000 | 01/31/06 | 12/09/09 | | | 701 65 | 1,057.35 | (355.70) |
| TDK CORP AMERN DEP SHR | 25.0000 | 01/31/06 | 04/09/09 | | | 1,000.42 | 1,810.50 | (810.08) |
| TOTAL S A. SP ADR | 18.0000 | 01/31/06 | 09/25/09 | | | 1,059.10 | 1,228.77 | (169 67) |
| | 23.0000 | 01/31/06 | 12/09/09 | | | 1,421 13 | 1,570 10 | (148 97) |
| | | **Security Subtotal** | | | | **2,480.23** | **2,798.87** | **(318.64)** |
| TNT N V ADR | 42.0000 | 01/31/06 | 02/17/09 | | | 692 17 | 1,377.92 | (685.75) |
| | 2.0000 | 09/14/07 | 02/17/09 | | | 32.97 | 84.00 | (51.03) |
| | 27.0000 | 09/14/07 | 12/09/09 | | | 812 67 | 1,134.00 | (321.33) |
| | | **Security Subtotal** | | | | **1,537.81** | **2,595.92** | **(1,058.11)** |

Merrill Lynch

Account No. 6PW-04046 | Taxpayer No. 31-1659080



GETTELFINGER FAMILY FOUNDATION

## 2009 ANNUAL STATEMENT SUMMARY

### 2009 CAPITAL GAIN AND LOSS TRANSACTIONS

| Security Description | Quantity | Date Acquired Cover of Short | Date Liquidated Short Sale | Amortization/Accretion Year-to-Date | Amortization/Accretion Life-to-Date | Sales Price | Cost Basis | Gain or (Loss) |
|---|---|---|---|---|---|---|---|---|
| TELEFONICA SA SPAIN ADR | 12 0000 | 01/14/08 | 05/05/09 | | | 694.26 | 1,204.10 | (509 84) |
| | 9 0000 | 01/14/08 | 05/13/09 | | | 539.18 | 903.08 | (363.90) |
| | 3.0000 | 01/14/08 | 07/30/09 | | | 223.37 | 301.03 | (77.66) |
| | 12 0000 | 01/31/08 | 07/30/09 | | | 893 49 | 1,031.96 | (138.47) |
| | 6.0000 | 01/31/08 | 12/09/09 | | | 503 38 | 515 98 | (12.60) |
| | 3.0000 | 02/19/08 | 12/09/09 | | | 251 70 | 253 02 | (1.32) |
| | | **Security Subtotal** | | | | **3,105.38** | **4,209.17** | **(1,103.79)** |
| TOYOTA MOTOR CORP ADR | 23.0000 | 01/31/06 | 04/09/09 | | | 1,762.31 | 2,373.23 | (610.92) |
| | 7.0000 | 01/31/06 | 12/09/09 | | | 585 11 | 722 28 | (137 17) |
| | | **Security Subtotal** | | | | **2,347.42** | **3,095.51** | **(748.09)** |
| VODAFONE GROP PLC SP ADR | 65.0000 | 06/10/08 | 12/09/09 | | | 1,474.81 | 1,986.73 | (511.92) |
| WPP PLC ADR | 18.0000 | 03/08/06 | 12/09/09 | | | 853 89 | 1,060 26 | (206.37) |
| | | **Long Term Capital Losses Subtotal** | | | | **35,985.53** | **57,983.30** | **(21,997.77)** |
| **NET LONG TERM CAPITAL GAIN (LOSS)** | | | | | | | | **(13,058.78)** |
| ***OTHER TRANSACTIONS*** | | | | | | | | |
| TAIWAN S MANUFCTRING ADR | .0000 | 08/20/09 | 08/20/09 | | | 2.31 | N/A | N/A |
| TNT N V ADR | 77.0000 | 05/15/09 | 05/15/09 | | | 17 74 | N/A | N/A |
| | | **Other Transactions Subtotal** | | | | **20.05** | | |
| **TOTAL CAPITAL GAINS AND LOSSES** | | | | | | **83,863.90** | **99,301.15** | **(15,457.30)** |
| **TOTAL REPORTABLE GROSS PROCEEDS** | | | | | | **83,863.90** | | |
| **DIFFERENCE** | | | | | | **0.00 *** | | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | COLUMN (A) AMOUNT |
|---|---|---|---|
| MERRILL LYNCH #6PW-04017 | 54,274. | 2,051. | 52,223. |
| MERRILL LYNCH #6PW-04018 | 26,272. | 423. | 25,849. |
| MERRILL LYNCH #6PW-04019 | 7,230. | 0. | 7,230. |
| MERRILL LYNCH #6PW-04038 | 5,959. | 0. | 5,959. |
| MERRILL LYNCH #6PW-04039 | 6,152. | 0. | 6,152. |
| MERRILL LYNCH #6PW-04046 | 6,790. | 0. | 6,790. |
| TOTAL TO FM 990-PF, PART I, LN 4 | 106,677. | 2,474. | 104,203. |

FORM 990-PF ACCOUNTING FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| TAX & ACCOUNTING FEES | 9,188. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 9,188. | 0. | | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT MGMT FEES | 27,866. | 27,866. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 27,866. | 27,866. | | 0. |

FORM 990-PF TAXES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES | 2,079. | 0. | | 0. |
| OHIO FILING FEES | 200. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 2,279. | 0. | | 0. |

FOOTNOTES STATEMENT 5

PART VII-A LINE 8B:
PURSUANT TO RECENT CHANGES TO THE ADMINISTRATIVE RULES AND REGULATIONS OF OHIO'S CHARITABLE TRUST ACT NO COPY OF FORM 990-PF HAS BEEN FILED WITH OHIO. ADMINISTRATIVE RULES NOW ALLOW ORGANIZATIONS HEADQUARTERED IN OHIO TO SUBMIT A "VERIFICATION OF FILING WITH THE INTERNAL REVENUE SERVICE" FORM IN LIEU OF THE FEDERAL TAX RETURN, ALONG WITH ANY APPLICABLE FILING FEE.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 6

| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| MERRILL LYNCH 6PW-04017 - ATTACHED | X | | 444,455. | 457,123. |
| MERRILL LYNCH 6PW-04018 - ATTACHED | X | | 248. | 180. |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 444,703. | 457,303. |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 444,703. | 457,303. |

FORM 990-PF CORPORATE STOCK STATEMENT 7

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MERRILL LYNCH 6PW-04019 - ATTACHED | 162,229. | 197,769. |
| MERRILL LYNCH 6PW-04038 - ATTACHED | 315,282. | 372,955. |
| MERRILL LYNCH 6PW-04039 - ATTACHED | 314,012. | 373,169. |
| MERRILL LYNCH 6PW-04046 - ATTACHED | 169,805. | 189,821. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 961,328. | 1,133,714. |

FORM 990-PF CORPORATE BONDS STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MERRILL LYNCH 6PW-04038 - ATTACHED | 2,338. | 2,827. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 2,338. | 2,827. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| MERRILL LYNCH 6PW-04017 - ATTACHED | FMV | 326,541. | 299,242. |
| MERRILL LYNCH 6PW-04018 - ATTACHED | FMV | 1,011,318. | 1,089,760. |
| MERRILL LYNCH 6PW-04018 - ATTACHED | FMV | 139,733. | 166,304. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 1,477,592. | 1,555,306. |

FORM 990-PF OTHER LIABILITIES STATEMENT 10

| DESCRIPTION | BOY AMOUNT | EOY AMOUNT |
|---|---|---|
| QUALIFIED SET ASIDES PAYABLE | 60,000. | 40,000. |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 60,000. | 40,000. |

**STATEMENT 11**

THE JAMES W. GETTELFINGER FAMILY FOUNDATION
31-1659080
FORM 990-PF
PART XII: QUALIFYING DISTRIBUTIONS, LINE 3B

| | Distributable amounts | Actual cash payments made |
|---|---|---|
| **2008** | 174,460 | 148,700 |
| **2007** | 185,068 | 159,100 |
| **2006** | 158,362 | 135,350 |
| **2005** | 128,831 | 132,813 |
| **2004** | 127,858 | 134,300 |
| **2003** | 100,397 | 138,684 |
| **2002** | 76,033 | 68,000 |
| **2001** | 50,290 | 49,500 |
| **2000** | 9,793 | 19,000 |

STATEMENT 12

## JAMES W. GETTELFINGER FOUNDATION
## 2009 CONTRIBUTIONS

| Date | Check # | Amount | Donee |
|---|---|---|---|
| 1/1/2009 | 1350 | 700 00 | St Stephen's Catholic Church |
| 1/2/2009 | 1351 | 1,000 00 | St Michaels Catholic Church |
| 1/3/2009 | 1352 | 700 00 | Cathedral of the Incarnation |
| 1/4/2009 | 1353 | 500 00 | Fr Paul Wilhelm |
| 1/16/2009 | 1338 | | |
| 01/29/09 | 1354 | 10,000 00 | University of Dayton |
| 02/04/09 | 1355 | 10,000 00 | Boys Hope Girls Hope |
| 02/09/09 | 1356 | | |
| 03/10/09 | 1357 | | |
| 04/14/09 | 1358 | | |
| 05/18/09 | 1359 | 25,000 00 | Boys Hope Girls Hope |
| 05/18/09 | 1360 | | |
| 05/18/09 | 1361 | 40,000 00 | Boys Hope Girls Hope |
| 06/11/09 | 1362 | | |
| 07/06/09 | 1363 | | |
| 07/23/09 | 1364 | 3,000 00 | Father Danilo Zanini |
| 07/23/09 | 1365 | 2,000 00 | EWTN |
| 07/23/09 | 1366 | 2,000 00 | Aquinas College |
| 07/23/09 | 1367 | 300 00 | Abundant Life Ministry |
| 07/23/09 | 1368 | 300 00 | The Coming Home Network |
| 7/31/2009 | 1369 | 700 00 | Boys Hope Girls Hope |
| 8/12/2009 | 1370 | | |
| 8/31/2009 | 1371 | 2,500 00 | United Way |
| 9/11/2009 | 1372 | | |
| 10/1/2009 | 1373 | 40,000 00 | Newport Central Catholic |
| 10/1/2009 | 1374 | 20,000 00 | Xavier University |
| 10/1/2009 | 1375 | 12,800 00 | Catholic Inner City Schools Education Fund |
| 10/5/2009 | 1376 | 5,000 00 | Catholic Campus Ministry Association |
| 10/13/2009 | 1377 | | |
| 11/11/2009 | 1378 | 5,000 00 | Matthew Kelly Foundation |
| 11/11/2009 | 1379 | 500 00 | Priest for Life |
| 11/11/2009 | 1380 | 5,000 00 | Saint Ursula Vila |
| 11/11/2009 | 1381 | 4,000 00 | Pregnancy Center East |
| 11/11/2009 | 1382 | 1,000 00 | Mount Notre Dame |
| 11/11/2009 | 1383 | | |
| 11/16/2009 | 1384 | | |
| 11/24/2009 | 1385 | 100 00 | The Friendly Sons of St Partrick |
| 12/10/2009 | 1386 | | |
| 12/16/2009 | 1387 | 2,000 00 | Father Danilo Zanine |
| 12/16/2009 | 1388 | 1,000 00 | EWTM |
| 12/16/2009 | 1389 | 200 00 | Our Ladies of Angels Monastery |
| 12/16/2009 | 1390 | 200 00 | Covenant House |
| 12/16/2009 | 1391 | 200 00 | Legionnaires of Christ |
| 12/16/2009 | 1392 | 200 00 | St Lawrence Seminary |
| 12/16/2009 | 1393 | 200 00 | Mercy Home |
| 12/16/2009 | 1394 | 100 00 | Shumann Social Service Center |
| 12/16/2009 | 1395 | 100 00 | The Spiritan Foundation |
| 12/16/2009 | 1396 | 100 00 | Basilica National Shrine |
| 12/16/2009 | 1397 | 100 00 | Catholic Media Productions |
| 12/16/2009 | 1398 | 100 00 | Sacred Heart League |

| | |
|---|---|
| *196,600 00* | Total Cash Distributions |
| *(20,000 00)* | Xavier Pledge used as Qualifying Distr in 2007 |
| 176,600 00 | Qualifying Distributions Part XII |

Form **8868** (Rev April 2009) Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Part I** **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3 month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

| Type or print | Name of Exempt Organization<br>THE JAMES W. GETTELFINGER FAMILY FOUNDATION | Employer identification number<br>31-1659080 |
|---|---|---|
| File by the due date for filing your return See instructions | Number, street, and room or suite no If a P.O box, see instructions<br>901 ADAMS CROSSING | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>CINCINNATI, OH 45202 | COPY |

**Check type of return to be filed**(file a separate application for each return):

| | | |
|---|---|---|
| [ ] Form 990 | [ ] Form 990-T (corporation) | [ ] Form 4720 |
| [ ] Form 990-BL | [ ] Form 990-T (sec. 401(a) or 408(a) trust) | [ ] Form 5227 |
| [ ] Form 990-EZ | [ ] Form 990-T (trust other than above) | [ ] Form 6069 |
| [X] Form 990-PF | [ ] Form 1041-A | [ ] Form 8870 |

- The books are in the care of ▶ PATRICK J. BURKE 901 ADAMS CROSSING - CINCINNATI, OH 45202
  Telephone No ▶ 513.455.8200 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for.
▶ [X] calendar year 2009 or
▶ [ ] tax year beginning ______, and ending ______

2 If this tax year is for less than 12 months, check reason. [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | $ 800. |
| b | If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit | 3b | $ 11,414. |
| c | **Balance Due.** Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | $ 0. |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

| Type or print<br>File by the extended due date for filing the return See instructions | Name of Exempt Organization<br>THE JAMES W. GETTELFINGER FAMILY FOUNDATION | Employer identification number<br>31-1659080 |
|---|---|---|
| | Number, street, and room or suite no If a P O box, see instructions<br>901 ADAMS CROSSING | For IRS use only |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions.<br>CINCINNATI, OH 45202 | |

**Check type of return to be filed** (File a separate application for each return).

[ ] Form 990 [ ] Form 990-EZ [ ] Form 990-T (sec 401(a) or 408(a) trust) [ ] Form 1041-A [ ] Form 5227 [ ] Form 8870
[ ] Form 990-BL [X] Form 990-PF [ ] Form 990-T (trust other than above) [ ] Form 4720 [ ] Form 6069

***STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.***

- The books are in the care of ▶ PATRICK J. BURKE 901 ADAMS CROSSING - CINCINNATI, OH 45202

Telephone No. ▶ 513.455.8200 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2010

5 For calendar year 2009 , or other tax year beginning ______, and ending ______

6 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO ASSEMBLE THE INFORMATION NECESSARY TO PREPARE AN ACCURATE RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 8a | $ 800. |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 11,414. |
| c | **Balance Due.** Subtract line 8b from line 8a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ 0. |

## Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Eric Hoffman Title ▶ CPA Date ▶ 7/27/10

Form **8868** (Rev 4-2009)

COPY

923832
05-26-09



15150721 789372 JAME14852 2009.04000 THE JAMES W. GETTELFINGER F JAME1481