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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 01-01-2009 , and ending 12-31-2009

G

Check all that apply

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation THE ROBERT AND DOROTHY GOLDBERG CHARITABLE FOUNDATION		A Employer identification number 31-1622560	
	Number and street (or P O box number if mail is not delivered to street address) C/O BSSN PO BOX 9729		Room/suite	B Telephone number (see page 10 of the instructions) (207) 774-1200
	City or town, state, and ZIP code PORTLAND, ME 04104		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,345,969		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	16	16		
	4 Dividends and interest from securities. . . . .	57,424	57,424		
	5a Gross rents . . . . .	264,767	264,767		
	b Net rental income or (loss) 126,761				
	6a Net gain or (loss) from sale of assets not on line 10	-109,394			
	b Gross sales price for all assets on line 6a 459,582				
	7 Capital gain net income (from Part IV , line 2) . . . .				
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	212,813	322,207		
	13 Compensation of officers, directors, trustees, etc	26,629			15,788
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	9,402			1,780
	b Accounting fees (attach schedule) . . . . .	1,500	750		750
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .	859	859		
	18 Taxes (attach schedule) (see page 14 of the instructions)	2,845	2,845		
	19 Depreciation (attach schedule) and depletion . . .	24,872	24,872		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	125,153	124,128		245
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	191,260	153,454		18,563
	25 Contributions, gifts, grants paid . . . . .	203,700			203,700
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	394,960	153,454		222,263
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-182,147			
	b <b>Net investment income</b> (if negative, enter -0-)		168,753		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	1,953	2,129	2,129
	2	Savings and temporary cash investments . . . . .	248,559	81,499	81,499
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ 27,746 Less allowance for doubtful accounts ▶ _____	34,296	27,746	27,746
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	9,477	10,251	15,935
	b	Investments—corporate stock (attach schedule) . . . . .	1,430,105	1,443,678	1,610,917
	c	Investments—corporate bonds (attach schedule). . . . .	458,455	460,267	479,683
	11	Investments—land, buildings, and equipment basis ▶ 1,838,915 Less accumulated depreciation (attach schedule) ▶ 249,756	1,614,031	1,589,159	2,128,060
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,796,876	3,614,729	4,345,969	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	3,796,876	3,614,729	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	3,796,876	3,614,729	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	3,796,876	3,614,729		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1 3,796,876
2	Enter amount from Part I, line 27a . . . . .	2 -182,147
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3 . . . . .	4 3,614,729
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6 3,614,729

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	CARDINAL HEALTH - LITIGATION PROCEEDS	P	2000-01-01	2009-09-14
b	EL PASO CORP - LITIGATION PROCEEDS	P	2000-01-01	2009-04-13
c	SEE ATTACHED SCHEDULE	P	2000-01-01	2009-12-31
d	SEE ATTACHED SCHEDULE	P	2009-01-01	2009-12-31
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,426			1,426
b 427			427
c 407,002		486,267	-79,265
d 50,727		82,709	-31,982
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			1,426
b			427
c			-79,265
d			-31,982
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-109,394
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	-31,982

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	223,443	4,496,168	0 04970
2007	216,946	4,562,525	0 04755
2006	209,477	4,437,201	0 04721
2005	209,664	4,339,410	0 04832
2004	190,485	4,250,793	0 04481

2	Total of line 1, column (d). . . . .	2	0 23758
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 04752
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5. . . . .	4	4,157,761
5	Multiply line 4 by line 3. . . . .	5	197,564
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	1,688
7	Add lines 5 and 6. . . . .	7	199,252
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18	8	222,263

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1aExempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
bDomestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		11,688
cAll other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2
3Add lines 1 and 2. . . . .		31,688
4Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4
5Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		51,688
6Credits/Payments		
a2009 estimated tax payments and 2008 overpayment credited to 2009	6a2,200	
bExempt foreign organizations—tax withheld at source . . . . .	6b	
cTax paid with application for extension of time to file (Form 8868)	6c	
dBackup withholding erroneously withheld . . . . .	6d	
7Total credits and payments Add lines 6a through 6d. . . . .		72,200
8Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8
9Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9
10Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10512
11Enter the amount of line 10 to be Credited to 2010 estimated tax 512 Refunded		11

Part VII-AStatements Regarding Activities			
1aDuring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
bDid it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		1b	No
cDid the foundation file Form 1120-POL for this year?. . . . .		1c	No
dEnter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
eEnter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		2	No
3Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4aDid the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
bIf "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	No
5Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		5	No
6Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		6	Yes
7Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		7	Yes
8aEnter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input checked="" type="checkbox"/> NH, ME			
bIf the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		9	No
10Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10	No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions).	11		No
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	Yes	
14	The books are in care of LEONARD M NELSON ESQ Telephone no (207) 774-1200 Located at BERNSTEIN SHUR SAWYER NELSON Portland ME ZIP+4 041045029			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?. If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.

No

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

No

5b

No

6b

No

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
michael s king	Trustee	5,840		
c/o BSSN PO BOX 9729 Portland, ME 041045029	1 00			
leonard m nelson esquire	Trustee	20,789		
c/o BSSN PO BOX 9729 portland, ME 041045029	2 00			

2

Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,855,481
b	Average of monthly cash balances. . . . .	1b	206,515
c	Fair market value of all other assets (see page 24 of the instructions). . . . .	1c	2,159,081
d	Total (add lines 1a, b, and c). . . . .	1d	4,221,077
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	4,221,077
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions). . . . .	4	63,316
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,157,761
6	Minimum investment return. Enter 5% of line 5. . . . .	6	207,888

Part XI

Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	207,888
2a	Tax on investment income for 2009 from Part VI, line 5. . . . .	2a	1,688
b	Income tax for 2009 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,688
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	206,200
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	206,200
6	Deduction from distributable amount (see page 25 of the instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	206,200

Part XII

Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	222,263
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	222,263
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions). . . . .	5	1,688
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	220,575
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7			
2	Undistributed income, if any, as of the end of 2008			
a	Enter amount for 2008 only. . . . . 220,805			
b	Total for prior years 20____, 20____, 20____			
3	Excess distributions carryover, if any, to 2009			
a	From 2004. . . . .			
b	From 2005. . . . .			
c	From 2006. . . . .			
d	From 2007. . . . .			
e	From 2008. . . . .			
f	Total of lines 3a through e. . . . .			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 222,263			
a	Applied to 2008, but not more than line 2a 220,805			
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions). . . 0			
d	Applied to 2009 distributable amount. . . . . 1,458			
e	Remaining amount distributed out of corpus			
5	Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6	Enter the net total of each column as indicated below:			
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b	Prior years' undistributed income Subtract line 4b from line 2b. . . . .			
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .			
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions . . .			
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions . . . . .			
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 . . . . . 204,742			
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .			
9	Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .			
10	Analysis of line 9			
a	Excess from 2005. . . . .			
b	Excess from 2006. . . . .			
c	Excess from 2007. . . . .			
d	Excess from 2008. . . . .			
e	Excess from 2009. . . . .			

## Part XIV

---

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

[illegible]

## 1 Information Regarding Foundation Managers:

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

**a** The name, address, and telephone number of the person to whom applications should be addressed

LEONARD M NELSON ESQUIRE  
100 Middle St  
Portland, ME 041045029  
(207) 774-1127

**b** The form in which applications should be submitted and information and materials they should include  
See Attached Grant Application

**c** Any submission deadlines  
See Attached Grant Application

d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors  See Attached Grant Application
---	--

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> SEE STATEMENT ATTACHED see attached see attached, ME 04104		Public	See Statement Attached	203,700
<b>Total</b> . . . . .			<b>3a</b>	203,700
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2009)

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .	<b>1a(1)</b>			<b>No</b>
<b>(2)</b> Other assets. . . . .	<b>1a(2)</b>			<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .	<b>1b(1)</b>			<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .	<b>1b(2)</b>			<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .	<b>1b(3)</b>			<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .	<b>1b(4)</b>			<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .	<b>1b(5)</b>			<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .	<b>1b(6)</b>			<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .	<b>1c</b>			<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge			
*****		2010-05-11	*****
Signature of officer or trustee		Date	Title

  

Paid Preparer's Use Only	Preparer's Signature <span style="float: right;">Constance Bingham</span>	Date	Check if self-employed <input checked="" type="checkbox"/>	Preparer's identifying number (see <b>Signature</b> on page 30 of the instructions)
	Firm's name (or yours if self-employed), address, and ZIP code		EIN	
	Macdonald Page & Co LLC 30 Long Creek Dr South Portland, ME 04106		Phone no (207) 774-5701	

**TY 2009 Accounting Fees Schedule**

**Name:** THE ROBERT AND DOROTHY GOLDBERG  
CHARITABLE FOUNDATION

**EIN:** 31-1622560

**Software ID:** 09000047

**Software Version:** 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Macdonald Page & Co	1,500	750	0	750

**TY 2009 Investments - Land Schedule**

**Name:** THE ROBERT AND DOROTHY GOLDBERG  
CHARITABLE FOUNDATION

**EIN:** 31-1622560

**Software ID:** 09000047

**Software Version:** 2009v1.3

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Land	868,915		868,915	63,060
Buildings	970,000	249,756	720,244	2,065,000

**TY 2009 Legal Fees Schedule**

**Name:** THE ROBERT AND DOROTHY GOLDBERG  
CHARITABLE FOUNDATION

**EIN:** 31-1622560

**Software ID:** 09000047

**Software Version:** 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BSSN-non-trustee	9,402	0	0	1,780

**TY 2009 Other Expenses Schedule**

**Name:** THE ROBERT AND DOROTHY GOLDBERG  
CHARITABLE FOUNDATION

**EIN:** 31-1622560

**Software ID:** 09000047

**Software Version:** 2009v1.3

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ubs AND BANK OF AMERICA account fees	315	315		
storage-msk	300			
Rental Expenses	113,134	113,134		
office expense	110			
nh filing fee	75			
investment fees	10,679	10,679		
bssn expenses	540			245

**TY 2009 Taxes Schedule**

**Name:** THE ROBERT AND DOROTHY GOLDBERG  
CHARITABLE FOUNDATION

**EIN:** 31-1622560

**Software ID:** 09000047

**Software Version:** 2009v1.3

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
foreign taxes paid	1,111	1,111		
990-PF	1,734	1,734		

## **GRANT APPLICATION PACKAGE**

**The Robert and Dorothy Goldberg Charitable Foundation**

## **GRANT APPLICATION PACKAGE**

### **THE ROBERT AND DOROTHY GOLDBERG CHARITABLE FOUNDATION**

Established 1999

The Robert and Dorothy Goldberg Charitable Foundation (called “The Goldberg Foundation”), has been funded by Robert and Dorothy Goldberg and first became operational in mid 1999 following Mrs. Goldberg’s death on May 1, 1997 and Mr. Goldberg’s death on August 10, 1997. The Trustees of The Goldberg Foundation are Leonard M. Nelson, the senior partner of Bernstein, Shur, Sawyer & Nelson in Portland, Maine, the Goldberg family attorney for thirty years, and Michael S. King of Conway, New Hampshire, the son of Mrs. Goldberg’s niece, Barbara Ann King, also of North Conway. Mr. King was formerly the Town Clerk/Tax Collector for the Town of Conway (New Hampshire) and is currently in private business in the Conway area. Mr. King was close to Mr. and Mrs. Goldberg for most of their lives. Mr. Nelson, in addition to his professional responsibilities as an attorney, has been the President of the Board of Trustees of both the Portland Symphony Orchestra and the Portland Museum of Art. He also served as the Chairman of the Maine Arts and Humanities Commission for eight years.

The Goldberg Foundation is a tax-exempt charitable organization. The Trustees are responsible for managing The Goldberg Foundation’s assets and for approving requests for grants to be used for charitable purposes. There are two grant application cycles annually. The semi-annual deadlines for submission of grant applications are March 30 and September 30. It is unlikely that any specific grant will exceed \$10,000 for the immediate future except in very special circumstances.

The Goldberg Foundation has a broad mission to assist charitable organizations in their activities, especially those in geographical locations and activities that were of interest to Mr. and Mrs. Goldberg during their lifetimes. It is the intention of the Trustees to achieve this mission by favoring: (1) a broad variety of tax-exempt organizations located in the Conway, Bartlett, Jackson, Eaton, Madison, Albany and Chatham municipalities in New Hampshire and in Fryeburg, Stow, Lovell and Brownfield municipalities in Maine, and (2) a narrower range of tax-exempt endeavors undertaken elsewhere in New Hampshire and in the general geographic area between Conway and Portland, Maine, which involve activities that were of interest to Mr. and Mrs. Goldberg during their lifetimes; such as the collection and preservation of antique furniture and buildings, the display of fine art (particularly visual arts dealing with the White Mountain region of New Hampshire and adjacent Maine areas), the collection and preservation of art and artifacts that are of historic significance to New Hampshire and Maine, and activities pertaining to social welfare and to the general welfare of children temporarily or permanently in either state.

## ABOUT THE DONORS

### Robert A. Goldberg

Robert A. Goldberg was born in Conway, New Hampshire on July 31, 1918. He graduated from Kennett High School in Conway in 1936, then matriculated at Duke University, earning a Bachelor's Degree in 1940. On June 4, 1940, the day after his graduation, Mr. Goldberg married Dorothy Huffman. He next served in the U.S. Army Air Corps during the World War II and attained the rank of Captain. Following the war, he and Dorothy returned to Duke University where he earned his law degree in 1949. Although Mr. Goldberg obtained a law degree, he never intended to practice law, but only to use his legal skills in his business career. For the next five decades, through Gralyn Enterprises, Gralyn Antiques and Gralyn Furniture (which included canoe sales and rentals), Mr. Goldberg established the business framework which led to his financial success and enabled him to pursue his passion — paintings of the White Mountains and surroundings. The Goldbergs gave a major portion of their White Mountain Collection to Dartmouth College's Hood Museum in 1987, and they frequently loaned their remaining White Mountain Collection to museums and historical societies for exhibitions. Mr. Goldberg's other collection passions included antique American furniture, colonial lighting devices, antique coins and watches, and pre-Columbian sculpture.

Mr. Goldberg's furniture business store and the related antiques business store were full of paintings and antiques that were always on display, often more as a private museum than as a business. Visiting Bob Goldberg at Gralyn Furniture or Gralyn Antiques was similar to visiting the home of a good friend who collected art. He loved to explain the history of any painting with friends and customers. He shared his passion with all who would listen and learn.

### Dorothy H. Goldberg

Dorothy Huffman Goldberg was born in Ashville, North Carolina in 1917. She graduated from high school in Ashville in 1935. She met Robert Goldberg as an undergraduate at Duke University, and she graduated from Duke with a bachelor's degree in 1939. Following graduation, she taught elementary school in North Carolina. Robert and Dorothy were married on June 4, 1940, one day after Robert's graduation. While Mr. Goldberg served in the Army Air Corps, Mrs. Goldberg taught school in Texas. Following Mr. Goldberg's discharge as a Captain with the U.S. Army Air Corps, they moved back to Durham, North Carolina, so Mr. Goldberg could pursue a law degree at Duke University. After relocating to Conway, New Hampshire, Mrs. Goldberg continued to teach school in Redstone. Mrs. Goldberg earned her LPN Certificate during World War II, and she practiced that career while in North Conway. She was a Charter Member of the Mount Washington Valley American Association of University Women.

## **ADVISORY COMMITTEE**

In evaluating applications for grants, the Trustees are assisted by an Advisory Committee of volunteers who are located in the Mount Washington Valley region of New Hampshire. Current Committee members are:

**Glenn R. Saunders**, CEO, Secretary, White Mountain Oil & Propane, Inc.  
P.O. Box 690, North Conway, NH 03860 (Use for mailings)  
Telephone: 603-356-6386; Fax: 603-356-4572  
Residence: 822 Kearsage Road, North Conway, NH 03 860-0690  
Telephone: 603-356-2384.

Mr. Saunders was born and grew up in North Conway, New Hampshire; he graduated from Bowdoin College and then served in the U.S. Army as a Captain from 1965-1968. Since 1968 he has been affiliated with White Mountain Oil & Propane, Inc. and currently serves as the Chief Executive Officer of that company. He has served on numerous community boards over the years and is a past president of the North Conway Community Center, Inc. and was chairman of the Conway Planning Board

**Gail Paine**  
Box 40, Intervale, NH 03845  
Telephone: 603-356-5159  
E-mail: g\_paine@UNHF.edu

Gail Paine believes in working to provide children with resources and opportunities for learning. Since 1961 she has lived in New Hampshire and worked in many capacities for the betterment of the lives of children in the State of New Hampshire. She is the past chairman of the Bartlett School Board, SAU #9 and presently serves on the State Board of Education and is chairman of the New Hampshire Juvenile Parole Board. She was a member of the New Hampshire Commission of Human Rights from 1964-1996 and served as the chairman for three terms. She has served as a Director of the New Hampshire Electric Cooperative, the National Association of Rural Electric Cooperatives, the Northern New Hampshire Charitable Foundation and as a past director and president of the Memorial Hospital in North Conway and the Intervale Preschool. Mrs. Paine is the Program Coordinator of the University System of New Hampshire College for Lifelong Learning in Carroll County. She is a graduate of the University of Michigan with a Bachelor of Science degree in Nursing.

**Carl Lindblade**  
Business: P.O. Box 995, North Conway, NH 03860  
Telephone: 603-356-5143  
Residence: Route 113, Stow, ME 04058  
Telephone: 207-697-2353 Fax: 207-697-2155

Mr. Lindblade has been a resident of New Hampshire for 30 years and is now also a resident of Maine. He is the manager of a 10-physician clinic in Conway, New Hampshire, and maintains a tourism consulting practice in a private capacity. He previously worked in various hotels in the state, including The Balsams, culminating in his service as General Manager of the Red Jacket in North Conway. Following service at the Red Jacket, Mr. Lindblade became the

Director of Tourism for the State of New Hampshire. He has served as past president of the Memorial Hospital in North Conway, past president of the Mount Washington Valley Chamber of Commerce, and past president of the New Hampshire Lodging and Restaurant Association. Mr. Lindblade is currently the Vice Chair of the New Hampshire Travel Council and Moderator of the First Church of Christ, Congregational, North Conway. He is a graduate of Tufts University.

## **APPLYING FOR GRANTS**

Application forms are attached to this summary of The Goldberg Foundation. When a request for a grant is for a special project, the experience and demonstrated ability of the requesting organization to successfully carry out the submitted proposal will be an important criteria by which the proposal will be judged. Other important criteria will be whether other funds (including matching funds) have been obtained or will be sought to finance the proposed charitable activity. Grants will not exceed \$10,000, except for unusually merit-worthy applications. Grants are awarded semi-annually, but in extraordinary circumstances, individual grant application will be considered outside the normal semi-annual cycle.

Application deadlines are March 30 and September 30 for the semi-annual grant awards. Applicants must be non-profit organizations recognized as tax-exempt under Section 501(c)(3) and as a public charity under Section 509(a) of the Internal Revenue Code in order to be considered for a grant. Applications must be submitted on the standard form provided and must be executed by a duly authorized officer of the applicant organization. SIX (6) DUPLICATE COPIES OF THE APPLICATION AND ENCLOSURES MUST BE SUBMITTED. If you have any questions about the purposes of The Goldberg Foundation or the application process, please submit your written questions to The Goldberg Foundation, Attn: Leonard M. Nelson and Michael S. King, Co-Trustees, c/o Bernstein, Shur, Sawyer & Nelson, 100 Middle St., P.O. Box 9729, Portland, ME 04104-5029.

**THE ROBERT AND DOROTHY GOLDBERG CHARITABLE FOUNDATION**

Attn: Leonard M. Nelson and Michael S. King, Trustees

c/o Bernstein, Shur, Sawyer & Nelson

100 Middle Street, P.O. Box 9729

Portland, ME 04104-5029

Phone: (207) 774-1200 • Fax: (207) 774-1127

***Application for Grant***

Date of this Application: \_\_\_\_\_ (for March or September cycle – circle one)

Full Legal Name of Applicant Organization: \_\_\_\_\_

Address: \_\_\_\_\_

Tel. No: \_\_\_\_\_ Fax No: \_\_\_\_\_ E-mail: \_\_\_\_\_

Nature of Request: General Operating Purposes \_\_\_\_\_ (or) Special Project \_\_\_\_\_

Amount Sought From Foundation (generally not to exceed \$10,000): \_\_\_\_\_

Officers of Your Organization:

President or Executive Director: \_\_\_\_\_

Address: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Address: \_\_\_\_\_

Other Officer (*indicate title*): \_\_\_\_\_

Address: \_\_\_\_\_

Other Officer (*indicate title*): \_\_\_\_\_

Address: \_\_\_\_\_

Board of Directors (or Board of Trustees) of Applicant Organization:

(*Attach separate schedule if necessary*)

*Full Name:*    *Address:*

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

Applicant's Accountant: *(If you do not have an accountant, specify below who prepares your organization's financial information.)*

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Tel. No.: \_\_\_\_\_

Narrative description of purpose for which grant is sought. *(If needed, attach additional pages up to a maximum of four.)* \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**You must attach to this Application the information specified below. Applications which do not have the requested information attached will not be considered for grants.**

a) A proposed budget for the project for which the grant is sought. The proposed budget must show all sources of expected income and include a detailed description of the anticipated expenditures that will be made with the requested grant. The proposed budget should not exceed a maximum of four pages.

b) A copy of the most recent letter from the Internal Revenue Service which recognizes your organization as tax exempt under Section 501(c)(3) or other provision of the Internal Revenue Code; and a copy of your most recent tax return; i.e., Form 990.

c) A general description of your organization's mission and history, its geographic service area and its perceived constituency.

***Send SIX (6) SETS of this completed application with attachments to Leonard M. Nelson and Michael S. King, Trustees, at the address appearing at the top of this application.***

The applicant represents that all information in this application is true and accurate.

\_\_\_\_\_  
*Print or type name of Applicant Organization*

By: \_\_\_\_\_

\_\_\_\_\_  
*Print or type name of signing officer*

Title of Officer: \_\_\_\_\_

							Long-term [LT]/ Short-term [ST]
Shares	Name	Date Sold	Date Bought	Sale Proceeds	Cost Basis	Net Gain/(Loss)	
Security Litigation Proceeds							
	Wyatt vs El Paso Corp	04/13/09		426 93		426 93	LT
	Cardinal Health	09/14/09		1,425 83		1,425 83	LT
						0 00	LT
						0 00	LT
				Total Security Litigation Proceeds:			1,852.76
Short-term Trades :							
350	Natl-OilwellVarco Inc	02/27/09	07/31/08	9,641 54	(28,415 13)	(18,773 59)	ST
200	Transocean Ltd	02/27/09	07/31/08	12,332 08	(27,829 63)	(15,497 55)	
200	Becton Dickinson & Co	11/06/09	11/12/08	14,082 52	(13,452 25)	630 27	ST
200	Lockheed Martin Corp	11/06/09	02/27/09	14,671 02	(13,011 85)	1,659 17	ST
						0 00	ST
				Total Short-term Gain/Loss :			(31,981.70)
Long-term Trades (chronological order) :							
50000	IBM Corp Med Tm Note 5 50%	01/15/09	08/15/06	50,000 00	(50,479 25)	(479 25)	LT
900	Microsoft Corp	02/27/09	10/09/01	14,747 96	(25,114 50)	(10,366 54)	LT
300	Microsoft Corp	02/27/09	12/11/02	4,915 99	(8,206 25)	(3,290 26)	LT
200	Microsoft Corp	02/27/09	02/18/03	3,277 32	(5,025 25)	(1,747 93)	LT
200	Microsoft Corp	02/27/09	05/29/03	3,277 33	(4,915 05)	(1,637 72)	LT
800	SAP AG SPON ADR	02/27/09	09/11/07	26,076 20	(45,203 25)	(19,127 05)	LT
1050	General Electric	08/12/09	01/26/00	14,824 62	(49,373 63)	(34,549 01)	LT
400	General Electric	08/12/09	10/10/02	5,647 48	(8,863 25)	(3,215 77)	LT
800	Automatic Data Processing	10/21/09	04/22/05	32,458 40	(32,046 36)	412 04	LT
250	Automatic Data Processing	10/21/09	05/21/07	10,143 25	(12,281 75)	(2,138 50)	LT
300	Cerner Corp	10/21/09	12/21/06	24,828 56	(13,998 15)	10,830 41	LT
450	Becton Dickinson & Co	11/06/09	11/14/07	31,685 68	(37,767 75)	(6,082 07)	LT
300	Lockheed Martin Corp	11/06/09	04/04/08	22,006 53	(30,300 75)	(8,294 22)	LT
400	Johnson & Johnson	11/18/09	12/11/02	24,776 73	(22,535 25)	2,241 48	LT
250	Johnson & Johnson	11/18/09	12/10/04	15,485 46	(15,322 75)	162 71	LT
750	Millipore Corp	11/18/09	04/04/08	50,646 57	(52,968 38)	(2,321 81)	LT
	[Continued]						

**990PF ATTACHMENT**

Gains and Losses, Page 2 of 2

<b>2009 Grants :</b>			
<b>Summary:</b>			
	June 1, 2009	(\$64,000 00)	
	November 20, 2009	(\$120,700 00)	
	December 11, 2009	(\$19,000 00)	
		<u>\$0 00</u>	
	<b>TOTAL SCHEDULE C:</b>	<b>(\$203,700.00)</b>	
<b>Details:</b>			
<b>06/01/09</b>			
<b>Amount</b>	<b>Grantee</b>	<b>Address</b>	<b>Purpose</b>
(5,000 00)	Carroll Co RSVP Program	PO Box 1182, N Conway NH 03860	Volunteer mileage reimbursement
(2,500 00)	Community Counseling Center	343 Forest Ave, Portland ME 04101	Refuge/Immigrant Counseling
(5,000 00)	Gary D Millen Foundation	PO Box 627, Conway NH 03818	Support Kennett HS Projects
(5,000 00)	ILAP	PO Box 17817, Portland ME 04112	Gen Operating Expenses
(3,000 00)	Int'l Musical Arts Institute	1330 Beacon St, Waban MA 02468	Gen Operating Expenses
(5,000 00)	Kismet Rock Foundation	PO Box 1744, N Conway NH 03860	Summer Student Scholarships
(2,500 00)	ME Women Writers Collection - UNE	716 Stevens Ave, Portland ME 04103	50th Anniversary Documentary Film
(4,000 00)	Mercy Hospital - McAuley Residence	144 State St, Portland ME 04101	McAuley Residence Program
(4,000 00)	Mountain Top Music Center	PO Box 1228, Conway NH 03618	Various Music Classes
(10,000 00)	Mt Washington Valley Arts Jubilee	PO Box 647, N Conway NH 03860	Arts Jubilee Summer Concert Series
(1,000 00)	New Hampshire Historical Society	30 Park St, Concord NH 03301	Sponsor Production of Audio Edition
(2,000 00)	Saco River Recreational Council	PO Box 363, Fryeburg ME 04037	Gen Operating Expenses
(2,000 00)	ME Center for Creativity	7 Custom House St, Portland ME 04101	Gen Operating Expenses
(10,000 00)	White Mountain Musical Arts	PO Box 5273, N Conway NH 03860	21st Annual Bach Festival
(3,000 00)	White Mountain Waldorf School	PO Box 1069, Conway NH 03818	Provide Basic Equipment
(64,000 00)			
	(continued)		

Amount	Grantee	Address	Purpose
<b>11/20/09</b>			
(\$10,000 00)	Arts Alliance of No New Hampshire	PO Box 892, Littleton NH 03561	Documentary Production
(\$2,500 00)	Big Brothers Big Sisters of So Maine	195 Lancaster St, Portland ME 04101	Gen Operating Expenses
(\$4,500 00)	Camp Sunshine at Sebago Lake, Inc	35 Arcadia Rd, casco ME 04015	Sponsor three children & families
(\$10,000 00)	Carroll County YMCA Camp Huckins	17 Camp Huckins Rd, Freedom NH 03836	Camp endowment scholarship fund
(\$5,000 00)	Children's Museum & Theatre of Maine	PO Box 4041, Portland ME 04104	Challenge Grant for Endowment Fd
(\$10,000 00)	Coastal Studies for Girls	PO Box 266, Freeport ME 04032	Gen Operating Expenses
(\$5,000 00)	Compass Project, Inc	170 Anderson St, Portland Me 04101	Youth boat-building program
(\$6,000 00)	Dollars for Scholars of Mt Wash Valley	PO Box 646, Conway, NH 03818	Grant Award
(\$5,000 00)	Dress for Success Southern Maine	565 Congress St, Ste 20, Portland ME 04101	Gen Operating Expenses
(\$3,000 00)	Friends of Casco Bay	43 Slocum Dr, So Portland ME 04106	Improve/protect Casco Bay environment
(\$500 00)	Friends of Conway Public Library	15 Main St, Conway NH 03818	Reading Program
(\$10,000 00)	Fryeburg Academy	745 Main St, Fryeburg ME 04037	Challenge Grant for Endowment Fd
(\$5,000 00)	Kids First Center	222 St John St, Ste 101, Portland ME 04102	Program for parents in high conflict
(\$2,000 00)	M S A D #72	124 Portland st, Fryeburg ME 04037	Kinderconcerts and Youth Concert
(\$5,000 00)	Mt Washington Valley Youth Hockey Assn	PO Box 948, Conway NH 03818	Towards travel hockey costs
(\$2,000 00)	Mt Washington Valley Arts Assn	PO Box 1603, No Conway NH 03860	Gen Operating Expenses
(\$6,100 00)	Mt Washington Valley Arts Jubilee	PO Box 647, No Conway NH 03860	Repairs/Upgrades to Perf Pavilion
(\$4,600 00)	Portland Symphony Orchestra	PO Box 3573, Portland ME 04104	Transportation/NH students to Portland
(\$2,500 00)	PORTopera	PO Box 7733, Portland ME 04112	Emerging Artist Program
(\$1,000 00)	Saco River Recreational Council	PO Box 363, Fryeburg ME 04037	Gen Operating Expenses
(\$3,500 00)	Seeds of Peace	370 Lexington Ave, Ste 4, NY, NY 10017	Two admissions to summer camp
(\$3,000 00)	Tin Mountain Conservation Center	1245 Bald Hill Rd, Albany, NH 03818	Smmer nature camp programs
(\$4,500 00)	Upper Saco Valley Land Trust, Inc	PO Box 424, No Conway NH 03860	Startup funding / Contribute to Place
(\$10,000 00)	White Mountain Musical Arts	PO Box 5273, No Conway NH 03860	Gen Operating Expenses
(120,700 00)			
<b>12/11/09</b>			
(\$3,000 00)	Gibson Center for Senior Services	PO Box 655, No Conway NH 03860	Food Services for the Needy
(\$3,000 00)	Vaughan Center / Gifts from the Heart	PO Box 401, No Conway NH 03860	Food Services for the Needy
(\$7,000 00)	Preble Street Resource Center	PO Box 1459, Portland ME 04104	Food Services for the Needy
(\$3,000 00)	Southwest Oxford Co Nutrition, Inc	52 Ridge Rd, Brownfield ME 04010	Food Services for the Needy
(\$3,000 00)	Conway Village Congregational Church	PO Box 333, Conway NH 03818	Food Services for the Needy
(19,000 00)			