Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning January 1 , 2009, and ending December 31 , 20 09

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions.

C Name of organization **International Vaccine Institute**

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
San 4-8 Bongcheon 7 dong, Kwanak gu

City or town, state or country, and ZIP + 4
Seoul, Korea 151-919

D Employer identification number **31 : 1621592**

E Telephone number **(822) 881-1116**

G Gross receipts $ **26,964,833**

F Name and address of principal officer
Same as C above

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c) (3)◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ **www.ivi.int**

K Form of organization ☐ Corporation ☐ Trust ☐ Association ☑ Other ▶ **NFP** L Year of formation **1997** M State of legal domicile

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: **The IVI's mission is to combat infectious diseases through innovations in vaccine design, development and introduction, addressing the needs of people in developing countries with the vision that the effective control of poverty-related infectious diseases is achieved through the accelerated and sustainable introduction of new-generation vaccines.**

2 Check this box ▶ ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	22
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	21
5	Total number of employees (Part V, line 2a)	5	0
6	Total number of volunteers (estimate if necessary)	6	0
7a	Total gross unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

RECEIVED
246
AUG 11 2010
OGDEN, UT

		Prior Year	Current Year
Revenue			
8	Contributions and grants (Part VIII, line 1h)	7,471,717	6,705,422
9	Program service revenue (Part VIII, line 2g)	17,873,154	18,141,451
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	1,219,005	974,973
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	920,278	1,142,987
12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	27,484,154	26,964,833
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1-3)	7,694,758	6,818,494
14	Benefits paid to or for members (Part IX, column (A), line 4)	-	-
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	8,827,733	9,035,320
16a	Professional fundraising fees (Part IX, column (A), line 11e)	-	-
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 469,422		
17	Other expenses (Part IX, column (A), lines 11a-11d, 11f-24f)	9,221,408	8,701,136
18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	25,743,899	24,554,950
19	Revenue less expenses. Subtract line 18 from line 12	1,740,255	2,409,883
Net Assets or Fund Balances		**Beginning of Current Year**	**End of Year**
20	Total assets (Part X, line 16)	35,923,321	45,337,394
21	Total liabilities (Part X, line 26)	24,419,936	31,424,127
22	Net assets or fund balances. Subtract line 21 from line 20	11,503,385	13,913,267

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Signature of officer — Date: 14 August, 2010

▶ Michael Goon F.L, Deputy Director General for Administration and Finance
Type or print name and title

Paid Preparer's Use Only

Preparer's signature ▶	Date	Check if self-employed ▶ ☐	Preparer's identifying number (see instructions)
Firm's name (or yours if self-employed), address, and ZIP + 4 ▶		EIN ▶	
		Phone no ▶ ( )	

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

SCANNED AUG 30 2010

Part III **Statement of Program Service Accomplishments**

1 Briefly describe the organization's mission.
IVI's mission is to combat infectious diseases through innovations in vaccine design, development and introduction, while addressing the needs of people in developing countries; its vision that the effective control of poverty-related infectious diseases can be achieved through accelerated and sustainable introduction of new-generation vaccines.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☑ No
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☑ No
If "Yes," describe these changes on Schedule O.

4 Describe the exempt purpose achievements for each of the organization's three largest program services by expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code.) (Expenses $ 9,157,140 including grants of $) (Revenue $ 8,998,466)
The Pediatric Dengue Vaccine Initiative(PDVI) is a project funded at over US$56 million by the Bill & Melinda Gates Foundation and the Rockefeller Foundation. It was launched in 2002 to accelerate the introduction of safe and effective dengue vaccines for children in dengue-endemic countries. The program, which operates in several countries in Asia and Latin America, focuses on the development of improved diagnostic tests for identifying dengue patients in developing country settings; the support of field trials of promising dengue vaccine candidates; the collection and dissemination of epidemiological, economic and other evidence needed by policymakers to make rational decisions about the use of dengue vaccines, once they become available; and assuring access by developing countries to appropriate, affordable dengue vaccines by coordinating and promoting plans with partners for national and international vaccine production and procurement, distribution and financing.

4b (Code:) (Expenses $ 2,618,402 including grants of $) (Revenue $ 2,326,996)
The five-year, US$22 million Cholera Vaccine Initiative(CHOVI), funded by the Bill & Melinda Gates Foundation was initiated in 2006 to advance the development and the use of new-generation cholera vaccines for both endemic and epidemic disease control. CHOVI focuses on ensuring the availability of a low-cost, effective oral killed whole-cell (WC) cholera vaccine reformulated by the IVI, as well as technology transfer to qualified producers in India and provision of technical assistance; and the provision of technical assistance for local licensing and WHO pre-qualification of this vaccine. The IVI also assists with the implementation of a clinical development plan for the single-dose live oral cholera vaccine candidate, Peru-15.

4c (Code:) (Expenses $ 1,680,275 including grants of $) (Revenue $ 1,545,108)
In October 2007, the Bill&Melinda Gates Foundation provided a five-year grant to the IVI to implement the Vi-based Vaccines for Asia(VIVA) Initiative. VIVA builds upon the achievements of the DOMI Typhoid Program in five Asian counties. The aim of the VIVA Initiative is to reduce typhoid fever mortality and morbidity in infants and children in in typhoid-endemin countiries by accelerating the adoption of Vi vaccines and the technical development, clinical evaluation and licensure of affordable Vi conjugate vaccines. This Initiative will help to set the stage for countries and technical agencies to implement the updated WHO recommendations on typhoid vaccines issued in February 2008, which call for typhoid vaccination of children in high-risk areas of endemic countries using new-generation vaccines.

4d Other program services. (Describe in Schedule O.)
(Expenses $ 8,833,342 including grants of $) (Revenue $ 6,246,793)

4e **Total program service expenses ▶** 22,289,159

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	✓	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	2	✓	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		✓
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities? *If "Yes," complete Schedule C, Part II*	4		✓
5	**Section 501(c)(4), 501(c)(5), and 501(c)(6) organizations.** Is the organization subject to the section 6033(e) notice and reporting requirement and proxy tax? *If "Yes," complete Schedule C, Part III*	5		✓
6	Did the organization maintain any donor advised funds or any similar funds or accounts where donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		✓
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		✓
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		✓
9	Did the organization report an amount in Part X, line 21, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		✓
10	Did the organization, directly or through a related organization, hold assets in term, permanent, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		✓
11	Is the organization's answer to any of the following questions "Yes"? *If so, complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable*	11	✓	
	• Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*			
	• Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII.*			
	• Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII.*			
	• Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*			
	• Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*			
	• Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48? *If "Yes," complete Schedule D, Part X.*			
12	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI, XII, and XIII.*	12	✓	
12A	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," completing Schedule D, Parts XI, XII, and XIII is optional.* — 12A Yes ✓ No			
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		✓
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a	✓	
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, and program service activities outside the United States? *If "Yes," complete Schedule F, Part I*	14b	✓	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Part II.*	15	✓	
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Part III*	16		✓
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I*	17		✓
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	✓	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		✓
20	Did the organization operate one or more hospitals? *If "Yes," complete Schedule H*	20		✓

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to governments and organizations in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	✓	
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		✓
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	✓	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25*	24a		✓
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		✓
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		✓
26	Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26	✓	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor, or a grant selection committee member, or to a person related to such an individual? *If "Yes," complete Schedule L, Part III*	27		✓
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		✓
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		✓
c	An entity of which a current or former officer, director, trustee, or key employee of the organization (or a family member) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		✓
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	✓	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		✓
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		✓
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		✓
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? *If "Yes," complete Schedule R, Part I*	33		✓
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Parts II, III, IV, and V, line 1*	34		✓
35	Is any related organization a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35		✓
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		✓
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		✓
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	✓	

Part V Statements Regarding Other IRS Filings and Tax Compliance

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096, Annual Summary and Transmittal of U.S Information Returns. Enter -0- if not applicable	1a	0			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c		
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	0			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?			2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* this return. (see instructions)					
3a	Did the organization have unrelated business gross income of $1,000 or more during the year covered by this return?			3a		✓
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		✓
b	If "Yes," enter the name of the foreign country. ▶ See the instructions for exceptions and filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T, Disclosure by Tax-Exempt Entity Regarding Prohibited Tax Shelter Transaction?			5c		✓
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible?			6a		✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		✓
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a		✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		✓
g	For all contributions of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	For contributions of cars, boats, airplanes, and other vehicles, did the organization file a Form 1098-C as required?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		✓
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		✓
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		✓
10	**Section 501(c)(7) organizations.** Enter.					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter.					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		✓
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b				

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body	1a 22			
b	Enter the number of voting members that are independent	1b 21			
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		✓
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?		3		✓
4	Did the organization make any significant changes to its organizational documents since the prior Form 990 was filed?		4		✓
5	Did the organization become aware during the year of a material diversion of the organization's assets?		5		✓
6	Does the organization have members or stockholders?		6		✓
7a	Does the organization have members, stockholders, or other persons who may elect one or more members of the governing body?		7a		✓
b	Are any decisions of the governing body subject to approval by members, stockholders, or other persons?		7b		✓
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	✓	
b	Each committee with authority to act on behalf of the governing body?		8b	✓	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9a	✓	

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Does the organization have local chapters, branches, or affiliates?	10a		✓
b	If "Yes," does the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with those of the organization?	10b		
11	Has the organization provided a copy of this Form 990 to all members of its governing body before filing the form?	11	✓	
11A	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Does the organization have a written conflict of interest policy? *If "No," go to line 13*	12a	✓	
b	Are officers, directors or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	✓	
c	Does the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this is done*	12c	✓	
13	Does the organization have a written whistleblower policy?	13	✓	
14	Does the organization have a written document retention and destruction policy?	14	✓	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a	✓	
b	Other officers or key employees of the organization If "Yes" to line 15a or 15b, describe the process in Schedule O. (See instructions)	15b	✓	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		✓
b	If "Yes," has the organization adopted a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and taken steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ▶

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you make these available Check all that apply.

☐ Own website ☐ Another's website ☑ Upon request

19 Describe in Schedule O whether (and if so, how), the organization makes its governing documents, conflict of interest policy, and financial statements available to the public.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶ **There is no individual person who possesses the books and records of the organization. All documents are kept in a sparate place.**

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year. Use Schedule J-2 if additional space is needed.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if the organization did not compensate any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Ragnar Norby Chairman/Board of Trustee		✓						1,750		
Margaret Ann Liu Vice Chairman/Board of Trustee		✓						1,500		
Gorgon Dougan Director/Board of Trustee		✓						500		
Roger Glass Director/Board of Trustee		✓								
Michel Greco Director/Board of Trustee		✓						1,000		
Nguyen Tran Hien Director/Board of Trustee		✓						500		
Julian Lob-Levyt Director/Board of Trustee		✓								
Francisco Songane Director/Board of Trustee		✓						1,250		
Abdul Rahman Al Awadi Director/Board of Trustee		✓								
Margaret Chan Director/Board of Trustee		✓								
Akira Homma Director/Board of Trustee		✓								
Nay Htun Director/Board of Trustee		✓						1,000		
Nyoman Kandun Director/Board of Trustee		✓								
Shin Bok Kim Director/Board of Trustee		✓						1,500		
Young Soo Shin Director/Board of Trustee		✓								
Dong Ik Shin Director/Board of Trustee		✓								

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and title	(B) Average hours per week	(C) Position (check all that apply): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Ann Mari Larsdotter Svennerhom Director/Board of Trustee		✓								
B.A.M Van Der zeijst Director/Board of Trustee		✓								
Carlos Segovia Director/Board of Trustee		✓								
George Bickerstaff Director/Board of Trustee		✓						1,250		
Vijay Smant Director/Board of Trustee		✓						1,750		
Marie Paule Kieny Director/Board of Trustee		✓								
John D. Clemens Director/Board of Trustee		✓		✓				559,296		
Michael Goon F.L Deputy Director General for Admin &				✓				245,975		
Harold Margolis Deputy Director General of IVI for PDVI				✓				271,569		
Cecil Czerkinsky Deputy Director General for Lab. Science				✓				273,803		
Michael Favorov Deputy Director General for TRD				✓				206,329		
George William Letson Director of the Vaccine Evaluation					✓			200,210		
Scott Halstead Director,Supportive research &					✓			208,200		
1b Total ▶								2,901,081	Including list	of Sch. J-2

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 in reportable compensation from the organization ▶ 25

		Yes	No
3 Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		✓
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	✓	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		✓

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 in compensation from the organization ▶ 0

Part VIII Statement of Revenue

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, gifts, grants and other similar amounts	1a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c	36,054				
	d Related organizations	1d					
	e Government grants (contributions)	1e	6,312,515				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	356,853				
	g Noncash contributions included in lines 1a-1f: $ 908,532						
	h **Total.** Add lines 1a–1f ▶			6,705,422			
Program Service Revenue		Business Code					
	2a						
	b CHOVI			2,326,996	2,326,996		
	c PDVI			8,998,466	8,998,466		
	d Cholera Stockpile (WHO)			423,184	423,184		
	e VIVA			1,545,108	1,545,108		
	f All other program service revenue			4,847,696	4,847,696		
	g **Total.** Add lines 2a–2f ▶			18,141,451			

		(i) Real	(ii) Personal	(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts) ▶			974,973	974,973		
	4 Income from investment of tax-exempt bond proceeds ▶						
	5 Royalties ▶						
	6a Gross Rents	171,229					
	b Less: rental expenses	26,370					
	c Rental income or (loss)	144,859					
	d Net rental income or (loss) ▶			144,859			
		(i) Securities	(ii) Other				
	7a Gross amount from sales of assets other than inventory						
	b Less: cost or other basis and sales expenses						
	c Gain or (loss)						
	d Net gain or (loss) ▶						
	8a Gross income from fundraising events (not including $ ____ of contributions reported on line 1c). See Part IV, line 18	a					
	b Less: direct expenses	b					
	c Net income or (loss) from fundraising events ▶						
	9a Gross income from gaming activities. See Part IV, line 19	a					
	b Less: direct expenses	b					
	c Net income or (loss) from gaming activities ▶						
	10a Gross sales of inventory, less returns and allowances	a					
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory ▶						
	Miscellaneous Revenue		Business Code				
	11a Fees			1,951	1,951		
	b FX Gains			977,771	977,771		
	c						
	d All other revenue			18,406	18,406		
	e **Total.** Add lines 11a–11d ▶			998,128			
	12 **Total revenue.** See instructions. ▶			26,964,833	20,114,552		

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns.
All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the U.S. See Part IV, line 21	3,484,697	3.484.697		
2 Grants and other assistance to individuals in the U.S. See Part IV, line 22	-	-		
3 Grants and other assistance to governments, organizations, and individuals outside the U S. See Part IV, lines 15 and 16	3,333,797	3,333,797		
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	2,237,551	1,700,211	537,340	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	-	-	-	
7 Other salaries and wages	4,602,953	3,355,496	966,383	281,074
8 Pension plan contributions (include section 401(k) and section 403(b) employer contributions)	762,594	556,582	188,063	17,949
9 Other employee benefits	1,432,222	1,099,787	325,115	7,320
10 Payroll taxes				
11 Fees for services (non-employees).				
a Management				
b Legal	155,047	75,939	74,441	4,667
c Accounting	58,645	9,039	49,606	-
d Lobbying				
e Professional fundraising services See Part IV, line 17				
f Investment management fees				
g Other	1,203,342	859,706	323,155	20,481
12 Advertising and promotion				
13 Office expenses	648,464	500,466	136,581	11,417
14 Information technology	756,854	713,844	39,196	3,814
15 Royalties				
16 Occupancy	1,060,969	647,191	339,510	74,268
17 Travel	1,795,868	1,472,058	321,182	2,628
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings	990,954	890,090	73,037	27,827
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	1,033,469	1,006,362	27,107	-
23 Insurance	172,872	75,526	97,347	-
24 Other expenses Itemize expenses not covered above (Expenses grouped together and labeled miscellaneous may not exceed 5% of total expenses shown on line 25 below)				
a Recruitment,appointment,patriation	216,997	135,564	78,796	2,636
b Indirect cost(OH)		2,232,968	(2,232,968)	
c				
d				
e				
f All other expenses	607,654	139,836	452,478	15,340
25 **Total functional expenses.** Add lines 1 through 24f	24,554,950	22,289,158	1,796,370	469,422
26 **Joint costs.** Check here ▶ ☐ if following SOP 98-2. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation				

Part X Balance Sheet

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	350	1	350
	2	Savings and temporary cash investments	31,007,862	2	41,543,496
	3	Pledges and grants receivable, net	524,844	3	135,071
	4	Accounts receivable, net	44,095	4	34,361
	5	Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L	186,570	5	173,665
	6	Receivables from other disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net		7	
	8	Inventories for sale or use		8	
	9	Prepaid expenses and deferred charges	369,361	9	415,398
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D — 10a 5,609,301			
	b	Less: accumulated depreciation — 10b (3,623,552)	2,615,599	10c	1,985,749
	11	Investments—publicly traded securities		11	
	12	Investments—other securities. See Part IV, line 11		12	
	13	Investments—program-related See Part IV, line 11		13	
	14	Intangible assets		14	
	15	Other assets. See Part IV, line 11	1,174,639	15	1,049,304
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	35,923,321	16	45,337,394
Liabilities	17	Accounts payable and accrued expenses	1,062,427	17	1,158,492
	18	Grants payable		18	322,621
	19	Deferred revenue	23,357,509	19	29,943,014
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22	Payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties		23	
	24	Unsecured notes and loans payable to unrelated third parties		24	
	25	Other liabilities. Complete Part X of Schedule D		25	
	26	**Total liabilities.** Add lines 17 through 25	24,419,936	26	31,424,127
Net Assets or Fund Balances		**Organizations that follow SFAS 117, check here ▶ ☐ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets	7,747,900	27	10,130,637
	28	Temporarily restricted net assets	1,043,323	28	1,070,468
	29	Permanently restricted net assets	2,712,162	29	2,712,162
		Organizations that do not follow SFAS 117, check here ▶ ☐ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30	
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	11,503,385	33	13,913,267
	34	Total liabilities and net assets/fund balances	35,923,321	34	45,337,394

Part XI Financial Statements and Reporting

			Yes	No
1	Accounting method used to prepare the Form 990· ☐ Cash ☑ Accrual ☐ Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a	✓	
b	Were the organization's financial statements audited by an independent accountant?	2b	✓	
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	✓	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
d	If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were issued on a consolidated basis, separate basis, or both. ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		✓
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.	3b		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
International Vaccine Institute	31 1621592

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state.

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33⅓ % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33⅓ % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I **b** ☐ Type II **c** ☐ Type III–Functionally integrated **d** ☐ Type III–Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)		
(ii) A family member of a person described in (i) above?	11g(ii)		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	(iv) No	(v) Did you notify the organization in col (i) of your support? Yes	(v) No	(vi) Is the organization in col (i) organized in the U S? Yes	(vi) No	(vii) Amount of support
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants")	14,936,460	16,998,602	22,704,606	23,994,803	23,938,342	102,572,813
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge			832,590	850,068	908,532	2,591,190
4 **Total.** Add lines 1 through 3	14,936,460	16,998,602	23,537,196	24,844,871	24,846,874	105,164,003
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						58,706,193
6 **Public support.** Subtract line 5 from line 4.						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	14,936,460	16,998,602	23,537,196	24,844,871	24,846,874	105,164,003
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	970,229	1,169,166	1,239,637	1,219,005	974,973	5,573,010
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	35,706	66,076	27,707	65,278	18,406	213,173
11 **Total support.** Add lines 7 through 10						110,950,186

12 Gross receipts from related activities, etc. (see instructions) . . . 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) . . . 14 41.8 %

15 Public support percentage from 2008 Schedule A, Part II, line 14 . . . 15 37.6 %

16a **33⅓% support test—2009.** If the organization did not check the box on line 13, and line 14 is 33⅓% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

b **33⅓% support test—2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33⅓% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☑

17a **10%-facts-and-circumstances test—2009.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶ ☐

b **10%-facts-and-circumstances test—2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶ ☐

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33⅓ % support tests—2009.** If the organization did not check the box on line 14, and line 15 is more than 33⅓ %, and line 17 is not more than 33⅓ %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33⅓ % support tests—2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33⅓ %, and line 18 is not more than 33⅓ %, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

Schedule A. Part II, Section on B, Line 10- Other income

The other incomes are non recurring items received from activities other than normal business operation.

-Expense reimbursement (staff's travels)	**$7,013**
-Donation of honorarium received	**2,490**
-Miscellaneous	**8,903**
Total other income	**18,406**

SCHEDULE D (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11, or 12.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: International Vaccine Institute

Employer identification number: 31 : 1621592

Part I **Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.** Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II **Conservation Easements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

☐ Preservation of land for public use (e.g., recreation or pleasure)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Tax Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIV, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III **Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.** Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116, not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIV, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116, to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items.

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $

(ii) Assets included in Form 990, Part X ▶ $

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 relating to these items.

a Revenues included in Form 990, Part VIII, line 1 ▶ $

b Assets included in Form 990, Part X ▶ $

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other ....

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIV.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV and complete the following table.

		Amount
c Beginning balance	1c	
d Additions during the year	1d	
e Distributions during the year	1e	
f Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIV.

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the year end balance held as:

a Board designated or quasi-endowment ► .... %

b Permanent endowment ► .... %

c Term endowment ► .... %

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

		Yes	No
(i) unrelated organizations	3a(i)		
(ii) related organizations	3a(ii)		
b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIV the intended uses of the organization's endowment funds

Part VI Investments—Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of investment	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements	20,809		8,081	12,728
d Equipment	5,580,656		3,607,635	1,973,021
e Other	7,836		7,836	-
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ►				1,985,749

Part VII **Investments—Other Securities.** See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Financial derivatives		
Closely-held equity interests		
Other		
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶		

Part VIII **Investments—Program Related.** See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX **Other Assets.** See Form 990, Part X, line 15.

(a) Description	(b) Book value
Housing Key money deposit in Korea for internationally recruited staffs-IVI's portion	1,007,303
Housing deposit in India for staffs travelling for reseach Projects	2,812
Housing deposit in Pakistan for staffs travelling for reseach Projects	5,250
Athletic club deposit	28,502
Telephone line deposit	5,437
Total. *(Column (b) must equal Form 990, Part X, col. (B) line 15.)* ▶	1,049,304

Part X **Other Liabilities.** See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Amount
Federal income taxes	
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25.)* ▶	

2. FIN 48 Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48.

Part XI Reconciliation of Change in Net Assets from Form 990 to Audited Financial Statements

1	Total revenue (Form 990, Part VIII, column (A), line 12)	1	26,964,833
2	Total expenses (Form 990, Part IX, column (A), line 25)	2	24,544,951
3	Excess or (deficit) for the year Subtract line 2 from line 1	3	2,419,882
4	Net unrealized gains (losses) on investments	4	
5	Donated services and use of facilities	5	
6	Investment expenses	6	
7	Prior period adjustments	7	
8	Other (Describe in Part XIV.)	8	
9	Total adjustments (net) Add lines 4 through 8	9	
10	Excess or (deficit) for the year per audited financial statements. Combine lines 3 and 9	10	2,419,882

Part XII Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	26,964,833
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12.				
a	Net unrealized gains on investments	2a			
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total revenue Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 12)*			5	26,964,833

Part XIII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	24,544,951
2	Amounts included on line 1 but not on Form 990, Part IX, line 25.				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIV.)	2d			
e	Add lines **2a** through **2d**			2e	
3	Subtract line **2e** from line **1**			3	
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a			
b	Other (Describe in Part XIV.)	4b			
c	Add lines **4a** and **4b**			4c	
5	Total expenses Add lines **3** and **4c.** *(This must equal Form 990, Part I, line 18.)*			5	24,544,951

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2, Part XI, line 8; Part XII, lines 2d and 4b, and Part XIII, lines 2d and 4b Also complete this part to provide any additional information.

Part XIV **Supplemental Information** *(continued)*

Schedule F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
International Vaccine Institute

Employer identification number
31 : 1621592

Part I **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part IV the organization's procedures for monitoring the use of grant funds outside the United States.

3 Activities per Region. (Use Schedule F-1 (Form 990) if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific	1	158	Program service	Program service	26,964,833
Totals . . . . ►	1	158			26,964,833

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Check this box if no one recipient received more than $5,000 . . . . ▶ ☐
Use Schedule F-1 (Form 990) if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South Asia	Subcontract	489,429	Wire Transfer			
		East Asia and the Pacific	Subcontract	392,188	Wire Transfer			
		East Asia and the Pacific	Subcontract	311,636	Wire Transfer			
		East Asia and the Pacific	Subcontract	288,824	Wire Transfer			
		South Asia	Subcontract	241,063	Wire Transfer			
		East Asia and the Pacific	Subcontract	210,687	Wire Transfer			
		Sub-Saharan Africa	Subcontract	201,267	Wire Transfer			
		South Asia	Subcontract	179,745	Wire Transfer			
		Europe	Subcontract	150,000	Wire Transfer			
		Europe	Subcontract	115,000	Wire Transfer			
		Europe	Subcontract	113,200	Wire Transfer			
		East Asia and the Pacific	Subcontract	79,106	Wire Transfer			
		Sub-Saharan Africa	Subcontract	65,339	Wire Transfer			
		East Asia and the Pacific	Subcontract	55,000	Wire Transfer			
		Sub-Saharan Africa	Subcontract	50,000	Wire Transfer			
		Sub-Saharan Africa	Subcontract	50,000	Wire Transfer			

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶ 0

3 Enter total number of other organizations or entities . . . . ▶ 29

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Use Schedule F-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part IV Supplemental Information

Complete this part to provide the information required in Part I, line 2, and any additional information

Monitoring Grants Outside the USA

The IVI is located in Seoul, Korea where all the grant funds are managed and executed. In 2009, the IVI conducted research projects in partnership with research organizations and universities in 28 countries.

The IVI has in place a very detailed and rigorous system of financial monitoring. This system, which is evaluated by an internal auditor throughout the year and audited externally every year, is used in conjunction with careful project development and implementation to ensure accountability and budgetary management responsibility.

For subgrants, the IVI has a broad overall system of reviewing and tracking the use of grants, and more specific day-to-day review processes, which include the following:

- **Reviews of financial and narrative reports, audit reports, correspondence and other documentation provided by the grantees;**
- **Direct communication with grantees through telephone and email for questions and to check on progress of the project;**
- **On-site visits to the field sites to review one or more aspects of the projects; and**
- **Monitoring through an external audit - A certain number of sub-grantees is selected for the audit each year, which is done to ensure financial and expenditure compliance with the research agreement.**

SCHEDULE F-1
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule F (Form 990)

▶ Attach to Form 990 to list additional information for Schedule F (Form 990) Part I, line 3; Part II, line 1; or Part III.
▶ See instructions for Schedule F (Form 990).

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization: International Vaccine Institute

Employer identification number: 31 : 1621592

Part I Continuation of Activities per Region. (Schedule F (Form 990), Part I, line 3)

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Totals . . . . ▶					

Part II Continuation of Grants and Other Assistance to Organizations or Entities Outside the United States. (Schedule F (Form 990), Part II, line 1)

1

(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	Subcontract	46,240	Wire Transfer			
		East Asia and the Pacific	Subcontract	45,079	Wire Transfer			
		East Asia and the Pacific	Subcontract	35,000	Wire Transfer			
		Europe	Subcontract	30,035	Wire Transfer			
		East Asia and the Pacific	Subcontract	30,000	Wire Transfer			
		East Asia and the Pacific	Subcontract	28,000	Wire Transfer			
		East Asia and the Pacific	Subcontract	21,240	Wire Transfer			
		East Asia and the Pacific	Subcontract	20,000	Wire Transfer			
		South Asia	Subcontract	16,500	Wire Transfer			
		East Asia and the Pacific	Subcontract	15,000	Wire Transfer			
		Russia and the Newly Independent States	Subcontract	10,000	Wire Transfer			
		East Asia and the Pacific	Subcontract	5,962	Wire Transfer			
		South Asia	Subcontract	26,500	Wire Transfer			

Part III Continuation of Grants and Other Assistance to Individuals Outside the United States. (Schedule F (Form 990), Part III)

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

SCHEDULE G (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open To Public Inspection

Name of the organization: International Vaccine Institute

Employer identification number: 31 1621592

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply.

a ☑ Mail solicitations
b ☑ Internet and email solicitations
c ☐ Phone solicitations
d ☑ In-person solicitations
e ☑ Solicitation of non-government grants
f ☑ Solicitation of government grants
g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☑ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
Total ▶						

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

		(a) Event #1 Concert & Golf (event type)	(b) Event #2 (event type)	(c) Other events (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	212,297			212,297
	2 Less Charitable contributions				
	3 Gross income (line 1 minus line 2)	212,297			212,297
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs	105,936			105,936
	7 Food and beverages	362			362
	8 Entertainment	45,062			45,062
	9 Other direct expenses	21,823			21,823
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				(173,183)
	11 Net income summary Combine line 3, column (d), and line 10 ▶				39,114

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	☐ Yes ____% ☐ No	☐ Yes ____% ☐ No	☐ Yes ____% ☐ No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				( )
	8 Net gaming income summary. Combine line 1, column d, and line 7 ▶				

			Yes	No
9	Enter the state(s) in which the organization operates gaming activities:			
a	Is the organization licensed to operate gaming activities in each of these states?	9a		
b	If "No," explain:			
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b	If "Yes," explain:			
11	Does the organization operate gaming activities with nonmembers?	11		
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

		Yes	No
13 Indicate the percentage of gaming activity operated in:			
a The organization's facility . . . . . **13a** %			
b An outside facility . . . . . **13b** %			
14 Enter the name and address of the person who prepares the organization's gaming/special events books and records: Name ▶ Address ▶			
15a Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a		
b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ and the amount of gaming revenue retained by the third party ▶ $			
c If "Yes," enter name and address of the third party: Name ▶ Address ▶			
16 Gaming manager information. Name ▶ Gaming manager compensation ▶ $ Description of services provided ▶ ☐ Director/officer ☐ Employee ☐ Independent contractor			
17 Mandatory distributions:			
a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a		
b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $			

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

OMB No 1545-0047
2009
Open to Public Inspection

Name of the organization: **International Vaccine Institute**

Employer identification number: **31 : 1621592**

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Check this box if no one recipient received more than $5,000. Use Part IV and Schedule I-1 (Form 990) if additional space is needed ▶ ☐

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of California Berkeley Berkeley-2150 Shattuck Avenue,			1,041,446				Subcontract
Inviragen, Inc. 2619 Midpoint Drive, Suite A Fort			351,870				Subcontract
WRAIR CRADA (AFRIMS) U.S. Army Medical Component A			319,904				Subcontract
Applied Strategies Consulting, Ll 951 Mariners Island Blvd.Suite 4(			288,983				Subcontract
Washington University 2200 E Kenwood Blvd WI 53211			249,667				Subcontract
Colorado State University 8033 Campus Fort Collins, CO 80			226,383				Subcontract
University of Rochester 500 Wilson Blvd Rochester, NY 1			137,612				Subcontract
Purdue University Purdue University-610 Purdue Ma			135,256				Subcontract
Global Solutions for Infectious D 830 Dubuque Avenue, South San	20-0941177		129,553				Subcontract
Hawaii Biotech, Inc. 99-193 Aiea Heights Drive, Suite			112,266				Subcontract
Arizona State University 7001 E. Williams Field Road, Mes			96,738				Subcontract
National Institutes of Allergy and 6610 Rockledge Drive, MSC 6612			91,096				Subcontract

2 Enter total number of section 501(c)(3) and government organizations ▶ 2

3 Enter total number of other organizations ▶ 17

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Use Part IV and Schedule I-1 (Form 990) if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV **Supplemental Information.** Complete this part to provide the information required in Part I, line 2, and any other additional information.

Monitoring Use of Grants

The IVI has in place a very detailed and rigorous system of financial monitoring. This system, which is evaluated by an internal auditor through the year end audited externally every year, is used in conjunction with careful project development and implementation to ensure accountability and budgetary management responsibility.

For the subgrants, the IVI has the broad overall system of reviewing and tracking the use of grants, and the more specific day-to-day review processes include the following;

- **Reviews of financial and narrative reports, audit reports, correspondence and other documentation provided by the grantees**
- **On-site visits to the field sites to review one or more aspects of the projects**
- **Monitoring through the external audit - A certain number of sub-grantees is selected for the audit each year. The audit is done to ensure financial compliance with the research agreement.**

SCHEDULE I-1
(Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule I (Form 990)

▶ Attach to Form 990 to list additional information for Schedule I (Form 990), Part II or Part III.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization

Employer identification number

Part I Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Texas Medical Branch a 301 University Boulevard Galveston,			88,886				Subcontract
University of North Carolina General Administration 910 Raleigh F			83,652				Subcontract
Henry Jackson Foundation 1001 Fourth avenue plaza Seattle WA	52-1313011		64,397				Subcontract
Quintiles Global Headquarters, Durham, North			42,623				Subcontract
The EMMES Co, USA Corporate Headquarters Emmes Gro			28,015				Subcontract

Part II **Continuation of Grants and Other Assistance to Individuals in the United States** (Schedule I (Form 990), Part III.)

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
International Vaccine Institute

Employer identification number
31 1621592

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☑ Travel for companions ☑ Tax indemnification and gross-up payments ☐ Discretionary spending account ☑ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☑ Health or social club dues or initiation fees ☑ Personal services (e g., maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	✓	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2	✓	
3	Indicate which, if any, of the following the organization uses to establish the compensation of the organization's CEO/Executive Director. Check all that apply ☑ Compensation committee ☑ Independent compensation consultant ☐ Form 990 of other organizations ☑ Written employment contract ☑ Compensation survey or study ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		✓
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		✓
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		✓
	If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III			
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		✓
b	Any related organization?	5b		✓
	If "Yes" to line 5a or 5b, describe in Part III.			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:			
a	The organization?	6a		✓
b	Any related organization?	6b		✓
	If "Yes" to line 6a or 6b, describe in Part III.			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		✓
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regs section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		✓
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	9		✓

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use Schedule J-1 if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)–(iii) must equal the applicable column (D) or column (E) amounts on Form 990, Part VII, line 1a.

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)–(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
John D.Clemens	(i)	291,368	25,000	199,223	43,705		559,296	493,051
	(ii)							
Michael Goon F.L	(i)	165,601	7,000	48,534	24,840		245,975	255,810
	(ii)							
Harold Margolis	(i)	159,498	3,000	85,146	23,925		271,569	254,544
	(ii)							
Cecil Czerkinsky	(i)	161,430	13,000	78,680	20,693		273,803	246,719
	(ii)							
Michael Favorov	(i)	139,725	1,000	44,645	20,959		206,329	145,353
	(ii)							
Richard Mahoney	(i)	256,423					256,423	256,424
	(ii)							
Scott Halstead	(i)	208,200					208,200	208,201
	(ii)							
George William Letson	(i)	131,095	3,000	46,451	19,664		200,210	183,059
	(ii)							
Joel Kurisky	(i)	120,966		56,264	18,153		195,383	200,527
	(ii)							
Rodney Carbis	(i)	128,961	3,000	15,112	19,344		166,417	175,752
	(ii)							
Don Douglas	(i)	97,123		50,682	14,568		162,373	144,624
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 4c, 5a, 5b, 6a, 6b, 7, and 8. Also complete this part for any additional information.

/Travel for companion:

.After 18months of service, the internationally recruited staff is entitled to annual home leave travel. This entitlement is an economy round trip between duty station and home base for staff, spouse and dependents under 12.

/Tax indemnification and gross up payments

.In order to equalize the benefits accrued to internationally recruited staff the IVI compensates staff who are obligated to pay taxes on IVI derived income to their home country. Any tax subsequently levied on the amount of the reimbursement is not reimbursed

/Housing Allowance

.IVI provides internationally recruited staff in Korea with a housing allowance up to 75% of a ceiling that is established and reviewed periodically

/Personal services(e.g, maid, chauffeur, chef)

.IVI provides the Director General with one house staff and a driver which are included in his/her employment contract package approved by the Board of Trustee

SCHEDULE J-2 (Form 990)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Form 990

▶ Attach to Form 990 to list additional information for Form 990, Part VII, Section A, line 1a.

▶ See the Instructions for Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the Organization: International Vaccine Institute

Employer identification number: 31 1621592

Part I Continuation of Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name and title	(B) Average hours per week	(C) Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
Richard Mahoney Director, Vaccine Access of PDVI	40					✓		256,423		
Joel Kurisky Deputy Director, PDVI	40					✓		195,383		
Rodney Carbis Head, Vaccine Development	40					✓		166,417		
Don Douglas Senior Program Officer	40					✓		162,373		
Mohammad Ali Senior Scientist for Scientific System	40					✓		143,103		

SCHEDULE L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions With Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2009

Open To Public Inspection

Name of the organization	Employer identification number
International Vaccine Institute	31 : 1621592

Part I **Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Description of transaction	(c) Corrected? Yes	No

2 Enter the amount of tax imposed on the organization managers or disqualified persons during the year under section 4958 . . . . ▶ $ ______

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . ▶ $ ______

Part II **Loans to and/or From Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 26, or Form 990-EZ, Part V, line 38a.

(a) Name of interested person and purpose	(b) Loan to or from the organization? To	From	(c) Original principal amount	(d) Balance due	(e) In default? Yes	No	(f) Approved by board or committee? Yes	No	(g) Written agreement? Yes	No
M. Goon-Rental advance to landlord		✓	27,200	16,722		✓	✓		✓	
C. Czerkinsky-Rental advance to landlord		✓	22,610	11,426		✓	✓		✓	
C. Czerkinsky-Child education tuition adv		✓	17,332	12,301		✓	✓		✓	
M. Favorov-Rental advance to landlord		✓	10,646	421		✓	✓		✓	
H. Margolis-Rental advance to landlord		✓	13,986	2,031		✓	✓		✓	
Total . . . . ▶ $				42,901						

Part III **Grants or Assistance Benefiting Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount and type of assistance

Part IV **Business Transactions Involving Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No

SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

▶ Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.

OMB No 1545-0047

2009

Open To Public Inspection

Name of the organization: International Vaccine Institute

Employer identification number: 31 : 1621592

Part I Types of Property

		(a) Check if applicable	(b) Number of contributions	(c) Revenues reported on Form 990, Part VIII, line 1g	(d) Method of determining revenues
1	Art—Works of art				
2	Art—Historical treasures				
3	Art—Fractional interests				
4	Books and publications				
5	Clothing and household goods				
6	Cars and other vehicles				
7	Boats and planes				
8	Intellectual property				
9	Securities—Publicly traded				
10	Securities—Closely held stock				
11	Securities—Partnership, LLC, or trust interests				
12	Securities—Miscellaneous				
13	Qualified conservation contribution—Historic structures				
14	Qualified conservation contribution—Other				
15	Real estate—Residential				
16	Real estate—Commercial				
17	Real estate—Other				
18	Collectibles				
19	Food inventory				
20	Drugs and medical supplies				
21	Taxidermy				
22	Historical artifacts				
23	Scientific specimens				
24	Archeological artifacts				
25	Other ▶ (Lab Equipment)	✓		908,532	
26	Other ▶ (........................)				
27	Other ▶ (........................)				
28	Other ▶ (........................)				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . 29 | 0

			Yes	No
30a	During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		✓
b	If "Yes," describe the arrangement in Part II.			
31	Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	✓	
32a	Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		✓
b	If "Yes," describe in Part II.			
33	If the organization did not report revenues in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33. Also complete this part for any additional information.

Non-cash contributions

The Korean government has committed to purchasing laboratory equipment for the IVI up to Korean Won 7,200 million, out of which KRW 6,668 million of laboratory equipment was provided to the IVI as of December 31, 2009. The contribution amount in 2009 was $351,886.

The IVI had not reflected laboratory equipment support in its financial statements as the equipment was owned by the Korean government. With the transfer of ownership to the IVI from the Korean government in 2007, laboratory equipment support was recorded at the fair book value of US$3,621,233 on IVI's financial statements, effective January 1, 2007. The revenue recognized on the financial statements regarding this laboratory equipment support was the same as its depreciation amounts.

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
International Vaccine Institute	31 : 1621592

Form 990 - Part VI, Section B, 11A Review Process of Form 990

The Finance team made a first draft of Form 990 and related schedules. Specific information on compensation, fundraising, governance and management were collected from HR and other teams. The Senior Finance Officer reviewed all of the documents checking for accuracy of the financial information and the Deputy Director General of Administration and Finance reviewed the draft focusing on transparency. The reviewed draft was sent to members of the Board of Trustees (BOT) Finance and Administration Committee, which is composed of 3 BOT members, plus the BOT Chairman and IVI Director General. The PDF file of the draft was sent to each member via electronic mail. The review took 2 weeks, and their comments and questions were received and addressed accordingly.

Form 990 - Part VI, Section B, 12a-c. Monitoring of Conflicts of Interest

If in connection with any actual or potential conflict of interest, each person of interest must disclose the existence of his or her significant interests that could give rise to conflicts. Annually, all trustees, directors, officers and staff are provided with a copy of the conflict of interest policy to complete and only persons with an actual or potential conflicts of interest must submit a signed copy of the disclosure of actual or potential conflicts of interest to the IVI Board Secretariat or the IVI Human Resources Unit as appropriate.

The Conflict Coordinator (Director General) creates a Conflict Committee composed of three members who are IVI staff to review conflict of interest issues that may arise. The Conflict Committee is resposible for reviewing significant financial interests and determining whether a conflict of interest exists. The Committee shall keep a record of all actual and potential conflicts of interest and report annually thereon to the Board of Trustees.

Form 990 - Part VI, Section B, 15b. Process of Compensation Determination

The IVI undertakes a comprehensive compensation study for its officers and key employees. A compensation consultant conducts the study and looks at compensation among comparable organizations with similar positions and responsibilities in order to provide market data to determine IVI staff member compensation.

Name of the organization	Employer identification number
International Vaccine Institute	31 : 1621592

Form 990 - Part VI, Section C. 19. Availability of Governing Documents to the Public

The IVI's governing documents – Establishment Agreement, Headquarters Agreement, Constitution and Amendments to the Constitution are available on IVI's website. IVI's financial statements are included in IVI's annual reports, which are also on the website.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
International Vaccine Institute	31 : 1621592

Form 990-Part VI-Line 9 (Officer, Director, Trustee who can not be reached at the IVI's mailing address)

Board of Trustees

1. Prof. Ragnar Norrby – Chairman

Primusgatan 104, SE11267 Stockholm, Sweden

2. Dr. Margaret Ann Liu – Vice Chairman

ProTherImmune & Adjunct Professor, Karolinska Institute, Stockholm, Sweden

3656 Happy Valley Road Lafayette, CA 94549 USA

3. Dr. Roger Glass

Director, Fogarty International Center, National Institutes of Health, Bethesda, Maryland, USA

9000 Rockville Pike, Bethesda, Maryland 20892, USA

4. Prof. Gordon Dougan

Principal Research Scientist, The Wellcome Trust Sanger Institute

Wellcome Trust Genome Campus, Hinxton, Cambridge, CB10 1SA, UK

5. Dr. Michel Greco

c/o PARTEUROP 41 Quai Fulchiron 69005 Lyon, France

6. Prof. Nguyen Tran Hien

Director, National Institute of Hygiene and Epidemiology, Vietnam

1 Ton that Tung Street, Dong da District, Hanoi, Vietnam

7. Dr. Julian Lob-Levyt

Executive Secretary, The GAVI Alliance

c/o UNICEF, Palais des Nations, CH-1211 Geneva 10 Switzerland

8. Dr. Francisco Songane

31 Rt. de St. Cergue 1295, Tannay, V D Switzerland

9. Dr. Abdul Rahman Al-Awadi

Executive Secretary, Regional Organization for Protection of Marine Environment

P.O. Box 38034, Dahia Abdullah Salem 72551, Kuwait

Name of the organization	Employer identification number
International Vaccine Institute	31 1621592

10. Dr. Margaret Chan

Director General, WHO

Avenue Appia 20, CH-1211, Geneva 27, Switzerland

11. Dr. Akira Homma

Director, Institute of Technology for Immunologicals / Bio-Manguinhos of the Oswaldo Cruz Foundation

Ave. Brasil, 4365-Manguinhos-Pav. Rocha Lima 21045-900 Rio de Janeiro, RJ Brazil

12. Prof. Nay Htun

Professor, Stony Brook Southampton State University of New York

239 Montauk Highway, Southhampton, NY 11968, USA

13. Dr. I Nyoman Kandun

Director General of Disease Control and Environmental Health

Jl. Perchetakan Negara 29 Jakarta 10560, Republic of Indonesia

14. Prof. Shin Bok Kim

Vice President, Seoul National University

599 Gwanak-ro, Gwanak-gu, Seoul 151-742, Republic of Korea

15. Dr. Young-Soo Shin

Regional Director, WHO Regional Office for the Western Pacific

United Nations Avenue P.O. Box 2932 1099, Manila, Philippines

16. Mr. Dong-ik Shin

Director General, International Organizations, Ministry of Foreign Affairs & Trade

77, Sejong-ro 1-ga, Chongro-ku, Seoul, Korea

17. Prof. Ann-Mari Larsdotter Svennerhom

Professor, Department of Microbiology and Immunology, University of Gothenburg

P.O. Box 435 30, Gothenburg, Sweden

18. Prof. Bernardus A.M Van Der Zeijst

Director, Netherlands Vaccine Institute

Antonie van Leeuwenhoeklaan 9-11, P.O. Box 457, 3720 Al Bilthoven, The Netherlands

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
International Vaccine Institute	31 : 1621592

Form 990-Part VI-Section A. Line 9 (Officer, Director, Trustee who can not be reached at the IVI's mailing address)-B

19. Dr. Carlos Segovia

Deputy Director, Institutional Relations, Instituto de Salud Carlos III

C/Senesio Delgado, 6, 28029 Madrid, Spain

20. Mr. George Bickerstaff

Managing Director, CRT CAPITAL GROUP, LLC

133 Stanwich Road, Greenwich, CT 06830, USA

21. Mr. Vijay Samant

President & CEO, Vical Inc

10390 Pacific Center Court, San Diego, California 92121, USA

SCHEDULE O (Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ **Attach to Form 990.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	Employer identification number
International Vaccine institute	31 : 1621592

Form 990 - Part III, 4d. Other Program Services Description

Translational Research Division

The Translational Research Division conducts research to translate experimental or already developed but under-used vaccines into practical tools for use in public health practice. The focus of the IVI's translational projects is to accelerate the development and/or introduction of appropriate vaccines in developing countries against diseases that continue to cause significant morbidity and mortality in the countries.

- Oral Cholera Vaccine (OCV) Dosing Optimization Program

Optimizing the dosing schedule of the modified killed whole cell oral cholera vaccine study is a four-year, US$7.5 million effort funded by the Bill & Melinda Gates Foundation. It was launched in 2009 to reduce the burden of cholera in endemic and epidemic settings with the use of a single dose regimen of the killed oral cholera vaccine developed by IVI and licensed in India by Shantha Biotechnics. The main objectives of the study are: a) evaluate the safety and efficacy of a single dose regimen of the killed oral cholera vaccine; b) evaluate the optimal dosing interval for eliciting vibriocidal antibody responses to a two-dose regimen of the killed oral cholera vaccine; c) extend evaluation of the efficacy of the two-dose killed oral cholera vaccine in Kolkata; d) foster communication and collaboration among scientists involved in different aspects of understanding and controlling bacterial enteric infections in developing countries of South and South-East Asia.

- Oral Cholera Vaccine (OCV) Pilot Introduction Program

Identify Actions Necessary to Accelerate Introduction and Uptake of Cholera Vaccines in India, a US$1 million effort funded by the Bill & Melinda Gates Foundation, was launched in 2009 to reduce the burden of cholera through the deployment of the killed whole cell oral cholera vaccine in endemic and epidemic settings. The main objectives of the project are: a) delivering the modified killed oral cholera vaccine in a cholera high risk area in India; b) determining the feasibility, acceptability and costs associated with pilot introduction of the modified killed whole cell oral cholera vaccine in India when given in a public health setting.

Name of the organization	Employer identification number
International Vaccine Institute	31 1621592

- Multi-Country Typhoid Fever Surveillance Program in sub-Saharan Africa (TSAP)

Multi-Country Typhoid Fever Surveillance Program in sub-Saharan Africa (TSAP), a US$7 million effort funded by the Bill & Melinda Gates Foundation, was launched in 2009 to generate standardized data on enteric fever-related illness and death in sub-Saharan Africa to drive evidence-based advocacy for enteric fever control and prevention policy that includes vaccination. The main objectives of TSAP are: a) developing a network of enteric fever surveillance sites in sub-Saharan Africa; b) estimating the burden of typhoid and paratyphoid through standardization of surveillance at sites with ongoing enteric fever surveillance; c) identifying additional typhoid and/or paratyphoid affected areas through multi-site disease burden studies.

- Mass Oral killed rBS-WC cholera vaccination Program in high-risk population in Zanzibar, United Republic of Tanzania

Mass Oral killed rBS-WC cholera vaccination in high-risk population in Zanzibar, United Republic of Tanzania (CHOZAN), a US$1,616,830 effort funded by the World Health Organization, was launched in 2008 to conduct mass cholera vaccinations in high-risk populations in Zanzibar, Tanzania. The main objectives of CHOZAN are 1) to estimate estimate the effectiveness of two doses of oral cholera vaccine and herd protection within 1 year after vaccination; 2) to improve cholera surveillance in Zanzibar.

- Strengthening Epidemiologic Surveillance and Response against Meningococcal Meningitis Program in Niger

Strengthening Epidemiologic Surveillance and Response against Meningococcal Meningitis in Niger, a US$0.5 million effort funded by the Korea International Cooperation Agency, was launched in 2009 to support Niger government's vaccine program and health policy by various field activities and donation of items including vaccines.

The main objectives of the project are: a) providing support to the Niger government for their mass vaccination program in order to decrease the incidence and mortality of epidemic meningitis among the target population in Niger; b) providing support to the Niger government for the strengthening of the meningitis surveillance system through upgrading diagnostic facilities; c) improving the bilateral relationship between the Republic of Korea and Niger through a scientific exchange program.

- The Supporting Independent Immunization and Vaccine Advisory Committees (SIVAC) Initiative

In 2008, the Bill & Melinda Gates Foundation awarded a grant to the Agence de Medicine Preventive (AMP) in France,

Name of the organization	Employer identification number
International Vaccine Institute	31 1621592

in partnership with the IVI, to help countries eligible for GAVI support in Africa and Asia to establish or enhance national immunization technical advisory groups. The seven-year Supporting Independent Immunization and Vaccine Advisory Committees (SIVAC) Initiative will establish or strengthen 12 national advisory committees in Africa and Asia – with the IVI taking the lead in Asian countries and AMP taking the lead in Africa.

- Trainings and Meetings

- IVI hosted its 9th annual International Advanced Course on Vaccinology in Asia-Pacific Region in May, 2009. The course, designed to build country capacity in the area of Vaccinology, provided participants with a comprehensive overview of the vaccine continuum – from the principles of vaccine development, evaluation, and regulation to production, introduction and policy issues.
- The IVI hosted The First Symposium on Human Papillomavirus Vaccination in the Asia-Pacific and Middle East Regions on June 1 and 2, 2009. The Symposium was held to generate political will for prevention of HPV disease and to develop concrete action plans that help countries to accelerate the introduction of HPV vaccines into the routine immunization programs in a sustainable fashion by assembling key decision-makers, opinion leaders, scientific experts, national health authorities in the regions, and international organizations who are leading local, national, and international efforts to control HPV-associated disease.

Laboratory Research Division

The activities of the IVI Laboratory Science Division are directed to the design and preclinical evaluation of new concepts, novel vaccines and/or improved vaccine production technologies to increase their access to the world's poorest populations. These activities entail research on the development of vaccines against neglected diseases mostly seen in developing countries, such as cholera, typhoid fever and shigellosis; exploring novel methods of administering vaccines that do not require injections; identifying novel antigens that broaden the efficacy of vaccines; identifying novel adjuvants that can increase a vaccine's potency while reducing its dosage potentially saving costs; developing new tests that can predict the clinical efficacy of vaccines. It also involves developing vaccine production processes amenable to large-scale manufacturing by producers from developing countries.

Name of the organization: International Vaccine Institute

Employer identification number: 31 1621592

- In the few years since its inception, the Division has made important contributions and breakthroughs, working with its many collaborators both in the Republic of Korea and overseas.

These accomplishments include the following;

- The design of novel cost-effective, needle-free mucosal vaccine formulations.
- The development of improved diagnostic assays for enteric and respiratory infections to diagnose these diseases and to measure immune responses to vaccines.
- The discovery of novel antigens and delivery systems for the development of new candidate vaccine.
- The development of novel adjuvants to increase immune responses to vaccines.
- The genotyping of pathogens causing enteric diseases.
- The development of a typhoid Vi conjugate vaccine.
- The transfer of production technology of a low-cost, improved oral whole-cell killed cholera and typhoid Vi vaccines to qualified vaccine producers in developing countries.
- The identification of common protein antigens from Shigella that could serve as critical components of a universal vaccine against bacillary dysentery.

- The Laboratory Science program is funded by grants from the Ministry of Education, Sciences and Technology, the Bill and Melinda Gates Foundation, PATH Vaccine Solutions, the Swedish International Aid Agency (SIDA), the Korea Research Institute of Bioscience and Biotechnology (KRIBB), The Korean Science Foundation (KOSEF; National Research Laboratory Program).

- The IVI organized or co-organized a number of symposia, workshops and courses during 2009. These include: "The Mucosal Immunology of the Gut Convening" hosted by The Bill & Melinda Gates Foundation and held on February 10-11, 2009 in Seattle, The "First International Symposium on Regulation of Innate Immunity" in partnership with Osaka University Immunology Frontier Research Center, under the auspices of the Japanese Science and Technology Foundation (JST) and the Korean Institute for Scientific Cooperation (KICOS).

The "Scholars in Residence" program (which began in 2006) with the generous support of Merck Vaccines which brings 5-8 recognized experts in vaccine sciences to IVI for up to one week to provide mentoring to IVI trainees and scientists, develop partnerships between IVI and their home institutions, and deliver a series of lectures and presentations. This year, the program hosted Professor Françoise Barré-Sinoussi, Institut Pasteur, Paris, France, the 2008 Nobel Laureate in Medicine or Physiology for her discovery of the HIV.

SCHEDULE O
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.

▶ Attach to Form 990.

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization
International Vaccine Institute

Employer identification number

- IVI scientists have also given lectures as part of the 9th Annual Advanced Vaccinology Course for the Asia-Pacific Region held at the IVI headquarters in Seoul in May 2009. In addition, several IVI scientists hold adjunct positions at several universities and have contributed lectures to graduate (Master and PhD programs) students at Seoul - National University Faculty of Biological Sciences, College of Veterinary Medicine and School of Public Health, and at other universities, including Korea University College of Medicine, and Yonsei University College of Biotechnology.