Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
VAN NICE FOUNDATION
C/O JEANETTE HUNT VAN NICE

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
1209 N ASTOR STREET

City or town, state, and ZIP code
CHICAGO, IL 60610

A Employer identification number 31-1604783

B Telephone number (see page 10 of the instructions) (312) 266-1120

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A) check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 1,869,025.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6,735.	6,735.	6,735.	ATCH 1
	4 Dividends and interest from securities	43,945.	43,945.	43,945.	ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-45,164.			
	b Gross sales price for all assets on line 6a 350,957.				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	-880.			ATCH 3
	12 Total. Add lines 1 through 11	4,636.	50,680.	50,680.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) ATCH 4	6,000.	0.	0.	6,000.
	c Other professional fees (attach schedule) *	20,356.	20,356.		
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)				
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	505.			505.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	26,861.	20,356.	0.	6,505.
	25 Contributions, gifts, grants paid	131,000.			131,000.
	26 Total expenses and disbursements Add lines 24 and 25	157,861.	20,356.	0.	137,505.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-153,225.			
	b Net investment income (if negative, enter -0-)		30,324.		
	c Adjusted net income (if negative, enter -0-)			50,680.	

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For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. * ATCH 5 JSA

Part II Balance Sheets

	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	61,076.	48,322.	48,322.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)	22,845.	63,763.	63,328.
	b Investments - corporate stock (attach schedule)	1,609,617.	1,585,851.	1,398,406.
	c Investments - corporate bonds (attach schedule)	502,906.	354,020.	358,969.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	2,196,444.	2,051,956.	1,869,025.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	2,196,444.	2,205,181.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds		-153,225.	
	30 Total net assets or fund balances (see page 17 of the instructions)	2,196,444.	2,051,956.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,196,444.	2,051,956.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,196,444.
2	Enter amount from Part I, line 27a	2	-153,225.
3	Other increases not included in line 2 (itemize) ▶ ATTACHMENT 7	3	8,737.
4	Add lines 1, 2, and 3	4	2,051,956.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,051,956.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-45,164.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	119,206.	2,093,989.	0.056928
2007	130,970.	2,510,535.	0.052168
2006	136,972.	2,423,560.	0.056517
2005	119,150.	2,479,429.	0.048055
2004	126,568.	2,503,467.	0.050557

2 **Total** of line 1, column (d)	2	0.264225
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052845
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,698,984.
5 Multiply line 4 by line 3	5	89,783.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	303.
7 Add lines 5 and 6	7	90,086.
8 Enter qualifying distributions from Part XII, line 4	8	137,505.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter: ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	303.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	303.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	303.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 748.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	748.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	445.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 445. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ IL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JEANETTE HUNT VAN NICE Telephone no ▶ 312-266-1120

Located at ▶ 1209 N. ASTOR STREET, CHICAGO, IL ZIP + 4 ▶ 60610

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b**		X
	If "Yes" to 6b, file Form 8870		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 DIRECT GRANTS TO OTHER CHARITABLE ORGANIZATIONS	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	1,676,197.
b	Average of monthly cash balances	1b	48,660.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	1,724,857.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,724,857.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	25,873.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,698,984.
6	**Minimum investment return.** Enter 5% of line 5	6	84,949.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	84,949.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	303.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	303.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	84,646.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	84,646.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	84,646.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	137,505.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	137,505.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	303.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	137,202.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7					84,646.
2 Undistributed income, if any, as of the end of 2009:					
a Enter amount for 2008 only					
b Total for prior years 20 07 ,20 06 ,20 05					
3 Excess distributions carryover, if any, to 2009					
a From 2004	2,552.				
b From 2005					
c From 2006	20,200.				
d From 2007	15,620.				
e From 2008	16,003.				
f **Total** of lines 3a through e		54,375.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 137,505.					
a Applied to 2008, but not more than line 2a					
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)					
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)					
d Applied to 2009 distributable amount					84,646.
e Remaining amount distributed out of corpus		52,859.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		107,234.			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions					
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions					
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		2,552.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		104,682.			
10 Analysis of line 9					
a Excess from 2005					
b Excess from 2006	20,200.				
c Excess from 2007	15,620.				
d Excess from 2008	16,003.				
e Excess from 2009	52,859.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE	NONE		VARIOUS	131,000.
Total . . . . . ▶ **3a**				131,000.
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	6,735.	
4 Dividends and interest from securities			14	43,945.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	-880.	
8 Gain or (loss) from sales of assets other than inventory			18	-45,164.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				4,636.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 4,636.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Jeanette H. Van Nice | 5/16/10 | President

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature [signature]	Date MAY 1 3 2010	Check if self-employed ☐
Preparer's identifying number (See Signature on page 30 of the instructions)	P00582792	
Firm's name (or yours if self-employed), address, and ZIP code	RAVID & BERNSTEIN LLP 230 W. MONROE STREET, SUITE 330 CHICAGO, IL 60606	EIN 36-3207723 Phone no 312-782-4710

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,016.	
		ST GAIN- SEE ATTACHMENT					VAR	VAR
120,995.		133,947.					-12,952.	
		LT GAIN- SEE ATTACHMENT					VAR	VAR
205,926.		241,068.					-35,142.	
		FHLMCPL					VAR	VAR
7,151.		7,151.						
		FNMA					VAR	VAR
13,913.		13,913.						
		UBS M2 FUND- ST GAIN					VAR	VAR
1,841.							1,841.	
		UBS M2 FUND- LT GAIN					VAR	VAR
73.							73.	
		CASH IN LIEU					VAR	VAR
42.		42.						
TOTAL GAIN(LOSS)		....					-45,164.	

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
UBS INTEREST	5,718.	5,718.	5,718.
UBS US INTEREST	1,017.	1,017.	1,017.
TOTAL	6,735.	6,735.	6,735.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
UBS DIVIDEND	43,945.	43,945.	43,945.
TOTAL	43,945.	43,945.	43,945.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
OTHER INCOME		1,521.
UBS M2 FUND		-2,401.
	TOTALS	-880.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES		6,000.			6,000.
	TOTALS	6,000.	0.	0.	6,000.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BROKERAGE FEES		20,356.	20,356.
	TOTALS	20,356.	20,356.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
FILING FEES	495.	495.
IL CHARITY BUREAU FUND	10.	10.
TOTALS	505.	505.

ATTACHMENT 7

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
NONDIVIDEND DISTRIBUTIONS- UBS		29.
FEDERAL TAX REFUND		8,708.
	TOTAL	8,737.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEANETTE HUNT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	PRESIDENT	0.	0.	0.
PETER ERRETT VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	TREASURER	0.	0.	0.
ANTHONY H. VAN NICE 1209 N. ASTOR STREET CHICAGO, IL 60610	SECRETARY	0.	0.	0.
	GRAND TOTALS	0.	0.	0.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust VAN NICE FOUNDATION
C/O JEANETTE HUNT VAN NICE

Employer identification number 31-1604783

Note: *Form 5227 filers need to complete **only** Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-11,111.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	-11,111.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-35,069.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	1,016.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	-34,053.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			-11,111.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-34,053.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			-45,164.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of

a The loss on line 15, column (3) **or** b $3,000 . . . 16 (3,000)

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: VAN NICE FOUNDATION

Employer identification number: 31-1604783

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a ST GAIN- SEE ATTACHMENT	VAR	VAR	120,995.	133,947.	-12,952.
UBS M2 FUND- ST GAIN	VAR	VAR	1,841.		1,841.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . -11,111.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | Employer identification number

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a LT GAIN- SEE ATTACHMENT	VAR	VAR	205,926.	241,068.	-35,142.
FHLMCPL	VAR	VAR	7,151.	7,151.	
FNMA	VAR	VAR	13,913.	13,913.	
UBS M2 FUND- LT GAIN	VAR	VAR	73.		73.
CASH IN LIEU			42.	42.	
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					-35,069.

Van Nice Foundation
31-1604783
12/31/2009

Schedule Attached to Form 990-PF
Part II 10 a, b, c

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
Investments - Corporate Stock				
Abb Ltd Spon Adr	79		973 83	1,508 90
Acciona S A.	8		900 10	1,055 20
Actelion Ltd Allschwil	25	1,325 79	1,325 79	1334 92
Advanced Info Svc Pub Co LTd	60	-	165 55	151 80
Admiral Group Plc	-	940 75	-	-
Agilent Tech Inc	110	2,339 79	2,963 00	3,417 70
Air Liquide ADR	45	1,236 95	1,118 17	1072 12
Alstom SHS	22	1,750.99	1,621 15	1,548 53
Alvarion Ltd	110	190 65	419 59	411 40
Ambassadors Group OTC	14	282.60	247 28	185 64
Amer States Water Co	8	276 48	276 48	283 28
American Express	281	15,354 25	14,533.57	11,386.12
American Science & Engineering	6	-	407 51	455 04
Ameriprise Financial Inc	50	2,432 00	2,432 00	1,941 00
Amp Ltd Adr	56	-	1,162 83	1,363 88
Anglo Amern Plc	157	2,747 58	2,648 32	3,436 57
Apogee Enterprises Inc	31	696 88	696 88	434 00
Arcelormittal	32	-	937.48	1,464 00
Assured Guaranty Ltd	-	855 50	-	-
Bae Systems	64	2,222 89	1,980 62	1,486 20
Baldor Electric Co	63	2,160 27	2,160 27	1,769 67
Banco Santander Brasil Ads	140	-	1,867 16	1,951 60
Banco Santander Chile Adr	16	-	890.92	1,036 48
Bank Of Hawaii Corp	44	2,304 20	2,392 31	2,070 64
Bank of New York Mellon Corp	229	6,001.48	7,623 40	6,405 13
Bank of Ayudhya Pcl Nvdr	2,800	-	1,351 84	1,946 00
Bank Sarasin & CIE	34	813 47	813 47	1,297.78
Bank Yokohama Ltd	-	2,284 94	-	-
Barrick Gold Corp	24	-	767 87	945 12
Bayer A G Spon Adr	22	2,619 36	1,573 08	1,773 59
Beckton Dickinson & Co	32	-	2,180 78	2,523 52
Bed Bath & Beyond	87	1,402 00	2,877 07	3359 07
Belle Intl Hldgs Ltd Adr	29	-	1,774 30	1,711 00
Berkshire Hathaway	4	11,722 05	14,516 75	13,144 00
BG Group PLC	18	1,339 00	1,615 80	1,630 69
Bilfinger Berger Ag Adr	70	-	934 88	1,081 43
Blackbaud Inc	40	1,104.75	982 00	945 20
Blackboard Inc	21	-	678 40	953 19
Blue Coat Systems Inc	25	-	614 16	713 50
BNP Paribas Sa Ard	38	-	1,487 80	1,523 83
Boots & Coots Inc	10	-	15 87	16 50
BP PLC SPON	-	2,958 78	-	-
British Amer Tobacco	40	1,986 11	2,737 95	2,586 40
Bunzl Plc Ord GBP	93	-	794 70	1,013 70
Canadian Natural Resources	96	3,555 40	5,326 24	6,907 20
Canon Inc Japan	28	-	1,076 23	1,184 96
Canon Inc	-	1,889 41	-	-
Capella Education Co	21	638 68	1,163.67	1,581 30
Carbo Ceramics	25	1,086 25	1,086 25	1,704 25
Cardinal Health Inc	51	2,949 36	2,131.88	1,644 24
Carefusion Corp	25	-	801 93	625 25
Carnival Corp New	28	-	937 98	887 32
Centrica PLC	58	-	913 71	1,053 10
Cisco	-	1,321 33	-	-
CitiGroup	-	3,713 83	-	-
Clarcor	55	2,541 26	2,137 10	1,784 20

Van Nice Foundation
31-1604783
12/31/2009

Schedule Attached to Form 990-PF
Part II 10 a, b, c

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
Coherent Inc	17	515 61	515 61	505 41
Comcast	260	8,108 69	5,080 14	4,162 60
Commerce Bancshares	23	-	792 16	890 56
Commercial Metals Co	58	1,258 00	1,032 97	907 70
Companhia De Bebidas Das	-	815 35	-	-
Computer Programs & Sys	10		314 21	460 50
Companhia Vale	-	767 93	-	-
Conocophillips	40	10,596 18	2,566 62	2,042 80
Corporate Office PPTYS	38	1,463.48	1,463 48	1,391 94
Costco	208	13,812 12	12,585 03	12,307 36
Credicorp Ltd	-	1,644 28	-	-
Credit Suisse Group	33	1,590.38	1,750 75	1,622 28
Credo Petroleum Corp	32	573 50	509 78	297 60
CVS Caremark	177	6,090.91	6,370 63	5,701 17
Dawson Geophysical	10	682 74	694 62	231 00
Deutsche Boerse AG	-	3,664 38	-	-
Deutsche Telekom	128	1,541 06	1,696 51	1,881 60
Devon Energy	134	10,368 93	11,761 68	9,849 00
Diageo PLC	60	5,078 48	5,078 48	4,164 60
Diamond Foods Inc	32	640 00	640 00	1,137.28
Digital Realty Trust	-	1,332 29	-	-
Dime CMNTY	140	2,476 14	2,494 22	1,642 20
Dionex Corp	30	2,113.20	2,113 20	2,216 40
E ON-AG ADR	-	1,707 50	-	-
East Japan Railway	-	1,464 17	-	-
Ehealth Inc	62	1,648 13	1,547 94	1,018 66
Elisa OYJ A SHS	-	1,858 14	-	-
Encore Acquisition Co	5	2,881 32	277.05	240 10
EOG Resources Inc	114	11,289 09	12,567 79	11,092 20
Esco Technologies Inc	16	1,022 91	779 36	573 60
Express Scripts Inc	14	1,214.20	849 94	1,209 88
F5 Networks Inc	-	563 58	-	-
Familymart Co Ltd Adr	49		1,520 97	1,461 18
Fresenius Medical Care	24	1,629 05	1,258 87	1,272 24
Fuel Tech Inc	15	242 50	284 63	122 55
Fuji Mach Mfg Co	100	-	942 01	1,225 00
Gaiam Inc	-	570 96	-	-
GDF Suez	-	1,726 90	-	-
Gen-Probe Inc	14	-	605 81	600 88
Genesee & WYO	52	2,925 38	2,028 26	1,697 28
Gentex Corp	122	2,512.47	2,300 45	2,177 70
Gildan Activewear Inc	-	1,271 88	-	-
Glaxo Smithkline	53	1,584 93	1,922 41	2,239 25
Gold Fields Ltd	127	1,716 46	1,882 88	1,664 97
Google	8	1,400 15	2,802.60	4,959 84
Green MNT Coffee Roasters Inc	15	823 20	390 75	1,222 05
Grupo Televisa SA	90	1,288 80	1,543 95	1,868 40
H & R Block Inc	85	2,871 76	1,525 62	1,922 70
Hain Celestial Group Inc	24	478.98	460 97	408 24
Harley Davidson	116	4,125 95	4,412 15	2,923 20
Healthways Inc	-	310 10	-	-
Herman Miller Inc	20	540.98	478 88	319 80
Hewlett Packard	83	2,782 20	3,117 45	4,275 33
Hibbett Sports	38	426 02	734 13	835 62
Honda Motor Co	61	-	1,892 24	2,067 90
Horace Mann Educators	32	-	458 76	400.00
HSBC Holdings Plc	32	-	1,270 66	1,826 88
ICAP PLC	92	1,821 45	1,821 45	1,277 69

Van Nice Foundation
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Schedule Attached to Form 990-PF
Part II 10 a, b, c.

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
ICU Med Inc	22	697 54	697.54	801 68
IDEXX Labs	9	2,120.11	377 45	481.05
Immucor Inc	13	322 53	322 53	263 12
Independent Bank Corp	41	787 14	1,053 83	855 26
Inpex Corp Adr	20	-	1,590 59	1,505 98
Investment Technology Group	65	-	1,417 90	1,280 50
Interface Inc	-	156 84	-	-
Intesa Sanpaolo	-	1,544 40	-	-
Iron Mnt Inc	176	4,494 16	4,945 84	4,005 76
Itron Inc	23	2,296 75	2,113 01	1,554 11
J2 Global Communications Inc	67	1,750.54	1,606 66	1,363 45
Jardine Matheson	48	2,885.00	1,384 80	1,448 64
Johnson & Johnson	76	1,529 25	4,303 37	4,895 16
Jones Lang Lasalle Inc	35	791 02	1,140 14	2,114 00
JP Morgan Chase & Co	140	14,442 36	6,236.77	5,833 80
K+S AG	-	2,063 20	-	-
Kadant Inc	-	253 35	-	-
Kao Corp REPSTG	-	1,810 50	-	-
Kirin Holdings Ltd Spon	62	-	929 25	992 31
Konami Corp	-	1,014 88	-	-
Koninkluke Ahold	72	1,234.61	776 32	956 59
Landauer	32	2,326 40	1,786.40	1,964 80
Layne Christensen Co	14	510 62	560.48	401 94
Lifeway Foods Inc	54	738 00	664 20	641 52
Lindsay Corp	19	2,880 90	2,027 30	757 15
Liquidity Services Inc	45	-	458 63	453 15
LKQ Corp New	73	1,458 16	1,549 35	1,430 07
Loews Corp	193	7,697 27	8,122 55	7,015 55
Lonza Group AG	10	1,432 65	1,432 65	699 00
Lululemon Athletica Inc	44	-	703 94	1,324 40
Martek Biosciences	40	-	858 70	758 00
Merck & Co Inc	170	-	3,447 51	6,211.80
Meridian Bioscience Inc	77	2,249 94	2,249.94	1,659 35
Met Pro Corp	24	562.95	290 08	254 88
Microsoft	198	7,751.72	6,132 09	6,035 04
Middleby Corp	11	770 63	655 51	539 22
Millicom INTL Cellular	21	3,992 40	2,104 72	1,549 17
Minerals Technologies Inc	25	1,747 50	1,747 50	1,361 75
Mitsubishi Corp SPONS	43	3,140 10	2,510 57	2,129 31
Moodys Corp	79	2,325 70	2,684 38	2,117.20
Natco Group Inc	-	830 70	-	-
NATL Instruments Corp	40	585 20	1,186 17	1,178 00
Neogen corp	31	525 00	516 67	731 91
Nestle	70	4,253 83	3,039 51	3,399 27
Net 1 UEPS Technologies	66	1,931 53	1,931 53	1,280 40
New Jersey Resources CRP	55	1,956.34	1,855 15	2,057 00
New World Development Ltd Spon	218	-	911.72	897 50
Newcrest Mining LTD	61	1,423 59	1,516 18	1,938 21
News Corp Inc	280	5,202 40	5,202.40	3,833 20
NII	-	258 19	-	-
Nintendo Ltd Adr	39	-	1,355 10	1,154 12
Nippon Steel Corp	33	-	1,315.67	1,329.27
Nippon Teleg & TEL CORP	46	980 56	1,042.01	908 04
Nissan Mtr	128	2,708 62	1,483.02	2,227 45
Nomura Holding	439	1,747 33	3,989 56	3,248 60
Novartis	63	2,797.41	3,435 54	3,429 09
NTT Docomo Inc	136	1,703 36	2,150 46	1,901 28

Van Nice Foundation
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Schedule Attached to Form 990-PF
Part II 10 a, b, c

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
Nutri/Systems Inc	31		464.88	966 27
Oao Gazprom Level	-	1,635.20	-	-
Occidental Petroleum CRP	166	11,406 00	12,431.76	13,504 10
Olympus Corp	-	1,741 53	-	-
Ormat Technologies	9	519 03	424 66	340 56
Panasonic Corp	98	-	1,403 15	1,406.30
Parkway Properties Inc	36	1,947 00	1,201 75	749 52
Petroleo Brasileiro SA	19	3,328 67	541 99	905 92
Pfizer INC	137	-	1,713 62	2,492 03
Philip Morris INTL	53	7,541 15	2,557 73	2,554.07
Plantronics Inc New	77	2,141 40	1,917.30	2,000 46
Poloycom Inc	80	1,903 80	1,903 80	1,997 60
Potash	11	-	1,060 93	1,193 50
Power Integrations inc	57	2,465 19	1,898 86	2,072 52
Pre-paid Legal SVCS INC	10	363.79	363.79	410 80
Proctor & Gamble Co	66	1,581 79	2,036 54	4,001 58
Progressive	336	6,538 43	6,936 41	6,044 64
Prudential PCR	-	1,906 08	-	-
QBE Insurance Group	72	1,129 03	1,221 79	1,657 65
Quaker Chemical Corp	32	373 03	373 03	660 48
Quality systems Inc	32	1,133 16	1,064 28	2,009 60
Radvision Ltd	-	603 45	-	-
Renaissance Learning Inc	36	538 92	538 92	408 96
Riverbed Technology Inc	33	-	710 74	758 01
Resmed Inc	-	723 92	-	-
Roche HLDG LTD	83	3,862 95	3,665 66	3,528 74
Rossi Residencial	230	-	913.35	1,009 47
Rohm Co Ltd	-	791 76	-	-
Royal Dutch	-	1,018 41	-	-
Santos Ltd	91	-	1,044 20	1,153 06
Schering Plough Corp	-	1,859 43	-	-
Scholastic Corp	-	506 94	-	-
School Speciality Inc	-	247 76	-	-
Sealed Air Corp New	245	6,026 27	6,026 27	5,355 70
Secom Ltd ADR	14	888 96	1,061 83	1,329 39
Seven & I Hldgs co	-	949 11	-	-
Signature Bank NY	28	-	799 65	893 20
Simpson Manufaturing Co Inc	45	1,565 14	1,083 56	1,210 05
Skyworks Solutions Inc	42	-	467 32	595 98
Smith & Nephew PLC	21	2,041 61	1,339 33	1,076.25
Sony Corp	39	-	1,141 89	1,131 00
South Jersey Ind Inc	48	2,034 66	1,842 72	1,832 64
Southside Bancshares Inc	42	536 32	981 97	824 04
Standard Chartered PLC	36	4,173 25	210 89	903 92
Statoilhydro ASA	-	3,194 30	-	-
Strabag Bau AG	4	825 33	1,340 12	880 00
Strayer Education Inc	6	1,972 30	1,183 38	1,275 12
Subsea 7 Inc	-	2,030 58	-	-
Sumitomo Mitsui	507		1,863 25	1,440.38
Suncor Energy	-	874 75	-	-
Sunopta Inc	-	413 39	-	-
Sunpower corp	12	151 23	151.23	251 40
Syngenta AG SPON	20	1,479.75	1,183.80	1,125.40
T-3 Energy Services Inc	27	-	707 25	688 50
Takeda Pharmaceutical Co	-	1,833.65	-	-
TCF Financial Corp	71		960 79	967 02
Team Inc	35	1,151 28	1,033 20	658 35

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Schedule Attached to Form 990-PF
Part II 10 a, b, c

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
Tele Norte Leste Participacoes	57	2,725.14	1,189 29	1,220 94
Telstra Corp LTD	-	3,411 30	-	-
Tempur-pedic Intl Inc	48	-	864 31	1,134 24
Tesco PLC	49	1,748 40	1,089.60	1,016 01
Texas Instruments	218	5,179 89	5,662 20	5,681.08
Thales	32	2,321.38	1,819 32	1,650 30
Timberland Co	42	706 68	736 52	753 06
Toshiba Corp	54	-	1,415 54	1,778 43
Total S A France Spon	35	-	1,840 26	2,241 40
Transatlantic HLDGS Inc	60	3,318 00	3,743 00	3,126 60
Transocean Inc New	30	2,903 19	3,269 19	2,484 00
Tyco Electronics	-	1,583 15	-	-
Tyco Intl Ltd	34	1,580 37	1,580 37	1,213 12
Ultra Electronics Hldgs	82	-	723 70	910 36
Umpqua Holdings Corp	64	599 18	782 56	858 24
Under Armour Inc	16	288 02	288 02	436 32
Unilever	55	3,690 78	1,501 92	1,778 15
United Technical Institute	22	-	429 52	444 40
Unitedhealth Group Inc	-	3,222 80	-	-
UNTD NAT Foods Inc	41	751 59	751 59	1,096 34
Verwaltungs Und Private Bank	5	2,539 51	1,280 15	474 50
Vodafone Group PLC	134	3,995.60	3,245 49	3,094 06
Vulcan Materials Co	25	1,674.00	1,674 00	1,316 75
Wabtec Inc	45	2,216 27	2,035.35	1,837 80
Walt Disney Co	70	-	2,268 00	2,257 50
Watts Water technologies	35	784 45	886 27	1,082 20
Well Fargo	402	6,464 96	8,184 92	10,849 98
West Pharmaceutical services	35	1,610 00	1,610 00	1,372 00
White Energy Co Ltd	367	-	940 86	791 98
Whole foods Market Inc	-	540 27	-	-
Wiley John & sons Inc	42	1,837 68	1,924 26	1,758.96
Wilmington Trust Corp	69	2,012 66	1,888 45	851 46
Wilshire Bancorp	97	-	714 32	794 43
Xstrata PLC	-	1,863 23	-	-
Yahoo Japan Corp	10	-	1,123 80	995 83
Yamada Denki Co LTD	-	2,740 80	-	-
Zoll Medical Group	20		362 40	534 40
Zurich Financial SVCS	58	2,223 19	1,591 09	1,270 83
Ishares Trust S&P 500 Index	1,976	261,621.34	261,621 34	220,936 56
shares Inc MSCI Japan	524	8,515 46	5,951 92	5,103 76
Ishares Trust Russell 2000	645	43,366 77	43,366 77	40,273 80
Ishares Trust Russell 3000	2,577	194,472 55	196,391 95	168,226 56
Ishares MSCI EAFE Index Fund	2,486	176,354.88	176,354.88	137,426 08
Gabelli Entreprise	7,613	75,239 56	79,725.33	73,240 57
Calamos Market Neutral income fund	6,938	88,175 75	85,961 87	80,343 89
FT-Franklin Templton	9,125	85,737.80	99,144 90	75,461.88
Gateway Fund Class A	1,617	43,719 27	44,156 73	40,840 99
UBS M2 Fund LLC		115,370 00	96,637 00	83,868 00
Pimco Commodity Real Return Strategy Fund	5,585	39,000.14	61,367 56	45,689.05
Total corporate stocks line 10b		**1,609,616.73**	**1,585,851.29**	**1,398,406 24**

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Schedule Attached to Form 990-PF
Part II 10 a, b, c.

Description	12/31/2009 End of Year # of share	12/31/2008 Beginning of Year Book Value	12/31/2009 End of Year Book Value	12/31/2009 End of Year Fair Market Value
Investments - U.S. and state government obligations				
US Treasury Note, 9/30/11, 4 5%		6,515 63	5,429 69	5,301 15
US Treasury Note, 6/30/11, 5.125%		-	4,373 12	4,250 48
US Treasury Note, 7/31/11, 1 00%		-	10,988 75	11,016 72
US Treasury Note 8/31/11, 1 00%		-	7,000 55	,003 57
US Treasury Note, 6/30/13, 3 375%		-	6,410 64	6,297 66
US Treasury Note, 2/28/14, 1 875%		-	5,040 45	4,917 60
FHLMC		11,710 49	8,516 72	8,755 04
Prin Trsy Principal Payment		4,618 52	3,437 26	3,473 95
US Treasury Note, 5/15/19, 3 125%		-	12,565 70	12,311 39
Total Investments - US and state government line 10a		22,844.64	63,762.88	63,327 56
Corporate Bonds:				
Genl Elec CAP Corp 10/19/12, 5 25%		3,036 12	2,024 08	2,128 44
JP Morgan chase & Co 5/1/13, 4 75%		1,947.34	1,947 34	4,239 48
FHLMC PL G 12600, 3/1/22, 5 5%		18,895 67	8,175 94	8,581 25
FNMA PL, 5/01/23, 4 5%		-	7,019 44	7,121 38
FNMA PL, 11/1/33, 5%		21,182.28	5,966 63	6,397.56
FNMA PL, 8/1/34, 6 0%		20,488 67	7,804 86	8,195 44
FNMA PL, 4/1/36, 5 5%		23,136 24	12,346 30	13,082 98
FNMA PL, 2/1/37, 5 5%		23,019 63	4,274 84	4,510 45
FHLMC PL, 7/1/37 6 0%		9,937 19	-	-
Blackrock Bond		54,760 85	45,205 79	44,928 17
Blackrock Bond		52,860 48	46,809 48	45,082 56
PIMCO Total Return Fund		273,641 28	212,445 50	214,701 12
Total corporate bonds line 10c		502,905.75	354,020.20	358,968.83

Van Nice Foundation
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Schedule Attached to Form 990-PF
Part XV

Date	Recipient	City	State	Amount
2/25/2009	The Field Museum "Annual Fund"	Chicago	IL	250 00
2/20/2009	World Foundation for Girl Guides & Girl Scouts, Inc.	Dunwoody	GA	1,000 00
2/20/2009	The Nature Conservancy	Arlington	VA	1,000 00
2/24/2009	Lyric Opera of Chicago "2009/10 Season Annual Appeal"	Chicago	IL	8,000 00
2/24/2009	Chicago Symphony Orchestra "Annual Fund"	Chicago	IL	5,000 00
2/24/2009	Jr League of Portland "Masquerade Ball"	Portland	OR	1,000 00
3/12/2009	Salud-Tuality Healthcare	Hillsboro	OR	1,000 00
3/27/2009	The Art Inst of Chicago "The Modern Wing of Opening Gala"	Chicago	IL	10,000 00
4/2/2009	Boys &Girls Club "Lawn Ball 2009"	Chicago	IL	1,000 00
5/22/2009	Chicago Botanic Garden "The Rare Affair"	Glencoe	IL	7,500 00
6/1/2009	The Field Museum "PLC SponsorShip"	Chicago	IL	1,000 00
6/17/2009	Church of the Mediator	Lakeside	MI	10,000 00
6/30/2009	Lawrenceville Fund "Annual Fund 2009"	Lawrenceville	NJ	1,000 00
8/23/2009	Chikaming Open Lands 10th Anniversary	Lakeside	MI	1,000 00
10/7/2009	Chicago Botanic Garden "Annual Fund"	Glencoe	IL	3,000 00
10/7/2009	The Art Inst of Chicago Womean's Board	Chicago	IL	1,000 00
10/7/2009	Chikaming Open Lands "Capital Campaign"	Lakeside	MI	50,000 00
11/1/2009	Chicago Botanic Garden "Wonderland Express"	Glencoe	IL	5,000 00
11/1/2009	Chicago Botanic Garden "Plant Conservation Science Initiative"	Glencoe	IL	5,000 00
11/1/2009	Art Inst of Chicago Sustaining Fellows	Chicago	IL	2,500 00
11/1/2009	Art Inst of Chicago "Museum Education"	Chicago	IL	500 00
11/1/2009	Solti Foundation "Lady Solti"	Evanston	IL	1,000 00
11/1/2009	Open Lands	Chicago	IL	500 00
11/12/2009	Latin School "Annual Fund"	Chicago	IL	2,500 00
11/12/2009	St Chrysotom's Church "Capital Fund"	Chicago	IL	250 00
12/3/2009	Internation Flamingo Foundation	Aspen	CO	1,000.00
12/3/2009	Lewis & Clark College "Annual Contribution"	Portland	OR	1,000.00
12/3/2009	Choate Rosemary Hall "Van Nice Annual Fund Endowment"	Wallingford	CT	1,000 00
12/3/2009	Dickinson College "General Endowment Fund"	Carlisle	PA	1,000 00
12/3/2009	Cornell University "Johnson School Annual Fund"	Pittsburg	PA	1,000 00
12/21/2009	Choate Rosemary Hall "Van Nice Annual Fund Endowment"	Wallingford	CT	4,500.00
12/21/2009	St. Chrysotom's Church "Capital Fund"	Chicago	IL	1,500 00
	TOTAL			**131,000.00**

2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such Please rely on the confirmations previously provided to you as your official activity record

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement Realized gain/loss is based on the sales amount less the cost basis Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order An asterisk (*) indicates a UBS Financial Services adjustment to cost basis The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services Gain/Loss may not have been adjusted for all capital changes Gain/Loss values that may be subject to Wash Sale provisions are not indicated here "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered Clients should discuss the possible application of these provisions with their tax advisor

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Short-term Gain/Loss	$ 133,947 30	$ 120,995 09	$ 11,472 58	$ -24,424.79	$ -12,952 21
Long-term Gain/Loss'	241,067 99	205,925 54	3,353 30	-38,495 75	-35,142 45
Unclassified Gain/Loss					
Sub Total:	**$ 375,015.29**	**$ 326,920.63**	**$ 14,825.88**	**$ -62,920.54**	**$ -48,094.66**
Activity with Gain/Loss not calculated due to missing information:	.$	$	$	$	$
Total:	**$ 375,015.29**	**$ 326,920.63**	**$ 14,825.88**	**$ -62,920.54**	**$ -48,094 66**

Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADMIRAL GROUP PLC $0 46	Trade	10 000		07/07/09	08/25/09	170 06	144 64	25 42		Y
	Trade	55 000		07/07/09	11/09/09	959 50	795 54	163.96		Y
ADVANCED INFO SVC PUB CO	Trade	95 000		09/08/09	12/14/09	236 43	262 11	-25 68		Y
LTD SPONS ADR THAILAND	Trade	30 000		09/08/09	12/22/09	75 24	82.77	-7 53		Y
ADR	Trade	85 000		09/08/09	12/23/09	212 19	234 52	-22.33		Y
	Trade	45 000		09/08/09	12/24/09	112 38	124 16	-11 78		Y
	Trade	60 000		09/08/09	12/29/09	149 68	165 55	-15 87		Y
ADVANTEST CORP ADR	Trade	3.000		07/29/09	08/18/09	74 40	62 75	11.65		Y

Continued on page 52

Your Financial Advisor or Contact
PETER ERRETT VAN NICE, JR
312-525-4500/800-621-0684

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/10/10

C12G136078-X23

Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matched 1099-B
ADVANTEST CORP ADR	Trade	11 000		07/29/09	09/03/09	271.87	230 07	41 80		Y
	Trade	29.000		07/29/09	10/29/09	663 40	606 55	56 85		Y
	Trade	7 000		08/10/09	10/29/09	160 13	162 79	-2 66		Y
	Trade	5 000		10/08/09	10/29/09	114 38	129 79	-15 41		Y
	Trade	7 000		10/09/09	10/29/09	160 13	183 48	-23 35		Y
AMBASSADORS GROUP INC	Trade	2 000		06/20/08	03/11/09	13 75	35 33	-21 58		Y
ANGLO AMERN PLC NEW ADR	Trade	61 000		03/06/08	02/24/09	452 03	2,099 01	-1,646 98		Y
	Trade	51 000		10/31/08	02/24/09	377 92	648 57	-270 65		Y
ARCELORMITTAL SA NY REG	Trade	4 000		05/07/09	09/02/09	136 39	109 22	27 17		Y
ASSURED GUARANTY LTD	Trade	3 000		03/11/09	11/18/09	77 28	11.16	66 12		Y
	Trade	40.000		03/16/09	11/18/09	1,030 34	199 61	830 73		Y
	Trade	37 000		03/16/09	11/20/09	911 18	184 64	726 54		Y
BANK OF AYUDHYA PCL NVDR	Trade	800 000		07/17/09	08/18/09	409 34	386 24	23 10		Y
	Trade	700 000		07/17/09	09/10/09	378 48	337 96	40 52		Y
BANK YOKOHAMA LTD JAPAN ADR JAPAN ADR	Trade	22 000		10/29/08	03/03/09	901 57	1,014 35	-112 78		Y
	Trade	16 000		12/05/08	03/03/09	655 69	806 02	-150 33		Y
	Trade	9 000		12/09/08	03/03/09	368 83	464 57	-95 74		Y
BARRICK GOLD CORP	Trade	6 000		04/07/09	12/14/09	240 06	176 55	63 51		Y
BG GROUP PLC SPON ADR	Trade	6 000		09/12/08	08/11/09	496 50	618 00	-121 50		Y
BLACKBAUD INC	Trade	5 000		05/15/08	03/11/09	50 03	122 75	-72 72		Y
BP PLC SPON ADR	Trade	61 000		10/31/08	01/28/09	2,642 01	2,958 78	-316 77		Y
CANON INC ADR JAPAN SPON ADR	Trade	27 000		04/28/08	01/26/09	758 67	1,372 43	-613 76		Y
	Trade	10 000		05/07/08	01/26/09	280 99	516 98	-235 99		Y
CHINA MOBILE LTD SPON ADR	Trade	21 000		06/10/09	12/01/09	999 97	1,102 36	-102 39		Y
	Trade	2.000		07/01/09	12/01/09	95 24	101 81	-6 57		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
CISCO SYSTEMS INC	Trade	75 000		10/22/08	08/13/09	1,612 32	1,321 33	290 99		Y
	Trade	13 000		06/24/09	08/13/09	279 47	241 54	37 93		Y
COMMERCIAL METALS CO	Trade	30 000		05/15/08	03/11/09	328 22	1,020 00	-691.78		Y
COMPANHIA VALE DO RIO	Trade	63 000		12/10/08	03/03/09	680 49	662.73	17.76		Y
DOCE SPONS ADR 250 PREF	Trade	10 000		12/10/08	05/12/09	154 84	105 20	49 64		Y
**NAME CHANGE. 05/	Trade	18 000		01/06/09	05/12/09	278 72	240.02	38 70		Y
COMPANHIA DE BEBIDAS DAS	Trade	7.000		10/31/08	05/12/09	413.04	300 39	112 65		Y
AMERS AMBEV SPON ADR	Trade	8 000		10/31/08	06/02/09	534 94	343 31	191 63		Y
	Trade	3 000		10/31/08	10/27/09	278 88	128 74	150 14		Y
	Trade	4 000		03/03/09	11/09/09	402 34	149.51	252.83		Y
	Trade	1 000		03/03/09	11/10/09	100 66	37.38	63.28		Y
	Trade	4.000		04/03/09	11/10/09	402 66	210 06	192.60		Y
CREDICORP LTD	Trade	5.000		03/06/08	01/20/09	224 96	373 70	-148 74		Y
CREDIT SUISSE GROUP SPON	Trade	11 000		10/23/08	04/30/09	431 49	401 17	30 32		Y
ADR	Trade	9 000		10/23/08	05/05/09	353 71	328 23	25 48		Y
	Trade	8 000		10/23/08	06/17/09	341 58	291 76	49.82		Y
	Trade	1 000		10/31/08	06/17/09	42 70	37 95	4.75		Y
	Trade	14 000		10/31/08	06/18/09	628 20	531 27	96 93		Y
	Trade	5 000		01/26/09	06/18/09	224 36	127 20	97 16		Y
CREDO PETROLEUM CORP NEW	Trade	4 000		05/29/08	03/11/09	29.23	63 72	-34 49		Y
CRH PLC IRELAND SPON ADR	Trade	2 000		01/06/09	05/07/09	46.15	53 92	-7.77		Y
	Trade	33 000		01/06/09	07/02/09	724 25	889 69	-165 44		Y
DENSO CORP ADR	Trade	1.000		03/05/09	05/04/09	102 62	76.09	26.53		Y
	Trade	7 000		03/05/09	05/21/09	661 40	532 64	128 76		Y
DEUTSCHE BOERSE AG	Trade	11 000		03/06/08	01/07/09	847 47	1,773 75	-926 28		Y
	Trade	3 000		03/06/08	01/12/09	192 57	483 75	-291.18		Y
	Trade	10.000		08/07/08	07/08/09	697.55	1,084 38	-386 83		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
DEUTSCHE TELEKOM AG DE SPON ADR	Trade	30 000		10/15/08	07/14/09	336 63	417 78	-81.15		Y
	Trade	25 000		10/15/08	07/15/09	281.85	348 15	-66 30		Y
DIGITAL REALTY TRUST INC REIT	Trade	3 000		10/07/08	08/06/09	132 76	112.14	20 62		Y
	Trade	7 000		10/07/08	08/26/09	298 82	261.66	37.16		Y
	Trade	13 000		11/12/08	08/26/09	554 96	384.23	170 73		Y
DIME CMNTY BANCSHARES	Trade	8 000		05/15/08	03/11/09	64.14	152.38	-88.24		Y
E ON AG SPON ADR	Trade	13 000		05/27/08	02/03/09	431 69	908.00	-476 31		Y
EAST JAPAN RAILWAY CO ADR	Trade	31.000		10/16/08	01/13/09	372.95	350.26	22 69		Y
	Trade	25 000		10/16/08	06/12/09	237.20	282 46	-45.26		Y
	Trade	20.000		10/16/08	07/31/09	188 13	225 97	-37.84		Y
	Trade	12 000		10/24/08	07/31/09	112 88	145.13	-32 25		Y
	Trade	36 000		12/18/08	07/31/09	338.63	460.35	-121.72		Y
	Trade	21.000		02/25/09	07/31/09	197 53	200 19	-2 66		Y
EFG EUROBANK ERGASIAS S A ADR	Trade	23 000		04/16/09	08/18/09	145.66	91 82	53 84		Y
	Trade	52.000		04/16/09	08/26/09	398 13	203.93	194 20		Y
	Trade	64 000		04/16/09	09/02/09	434.64	251 00	183 64		Y
	Trade	42 000		04/16/09	10/15/09	373.32	164 72	208 60		Y
	Trade	12 000		04/16/09	12/07/09	78 09	47 06	31 03		Y
	Trade	41 000		06/02/09	12/07/09	266 81	263 15	3 66		Y
	Trade	75 000		06/23/09	12/07/09	488 07	359 81	128 26		Y
EHEALTH INC	Trade	4 000		05/15/08	03/11/09	54 71	100 19	-45 48		Y
ELISA OYJ A SHS	Trade	40.000		03/06/08	02/17/09	552.36	1,198 80	-646 44		Y
	Trade	22 000		03/06/08	02/18/09	300 52	659 34	-358 82		Y
ENCORE ACQUISITION CO	Trade	4 000		05/15/08	03/11/09	76 04	221 64	-145.60		Y
ESCO TECHNOLOGIES INC	Trade	5 000		05/15/08	03/11/09	172 94	243.55	-70.61		Y
FAMILYMART CO LTD ADR	Trade	8 000		09/14/09	12/04/09	259 42	249 17	10 25		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
FHLMC 04.875 % DUE 111513 DTD 101703 FC 05152004	Trade	2,000.000		03/06/08	02/06/09	2,202 86	2,129 18	73 68		Y
FHLMC PL G12978 05 5000 DUE 12/01/22 FACTOR 0 487243800000	Trade	3,000 000		03/26/09	10/08/09	1,869 30	1,842.29	27 01		Y
FHLMC PL G03508 06 0000 DUE 07/01/37 FACTOR 0.637691300000	Trade	11,000 000		03/11/08	02/06/09	9,811 03	9,621 79	189.24		Y
FNMA PL 982892 04 5000 DUE 05/01/23 FACTOR 0 627224510000	Trade	2,000 000		06/19/09	10/08/09	1,556 98	1,519 59	37 39		Y
FNMA PL 725690 06 0000 DUE 08/01/34 FACTOR 0.271296650000	Trade	3,000.000		03/11/08	02/06/09	1,049 71	1,052 63	-2.92		Y
FNMA PL 745418 05.5000 DUE 04/01/36 FACTOR 0 560420970000	Trade	10,000 000		03/11/08	02/06/09	7,455 96	7,272 54	183 42		Y
FUEL TECH INC	Trade	1 000		05/15/08	03/11/09	9 15	24 25	-15 10		Y
F5 NETWORKS INC	Trade	6.000		12/12/08	10/06/09	238 21	135 22	102 99		Y
	Trade	10 000		12/12/08	10/16/09	425 83	225 37	200 46		Y
	Trade	2 000		12/18/08	10/16/09	85 17	45.11	40 06		Y
	Trade	7 000		12/18/08	10/22/09	333 05	157 88	175 17		Y
	Trade	4 000		01/26/09	10/22/09	190 32	95 66	94 66		Y
GAIAM INC CL A	Trade	36 000		05/15/08	02/26/09	111 28	570 96	-459 68		Y
GDF SUEZ SPON ADR	Trade	6 000		05/05/09	10/16/09	267 43	224 32	43.11		Y
	Trade	2 000		06/01/09	10/16/09	89 14	81 11	8 03		Y
GENESEE & WYO INC CL A	Trade	11 000		05/15/08	03/11/09	199 12	429 06	-229 94		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matche 1099-
GENESEE & WYO INC CL A	Trade	12 000		05/15/08	05/13/09	319 80	468 06	-148 26		Y
GENTEX CORP	Trade	11 000		05/15/08	03/11/09	88 88	212 03	-123 15		Y
GILDAN ACTIVEWEAR INC	Trade	36 000		03/06/08	01/26/09	391.15	1,271 88	-880 73		Y
GOLD FIELDS LTD SPON ADR	Trade	17.000		03/06/08	01/26/09	168.57	269 79	-101 22		Y
GREEN MTN COFFEE ROASTERS INC	Trade	12 000		05/15/08	05/06/09	910 63	470.40	440.23		Y
HEALTHWAYS INC	Trade	10.000		05/15/08	03/18/09	83 51	310 10	-226 59		Y
	Trade	3.000		03/11/09	03/18/09	25 05	22 47	2 58		Y
HERMAN MILLER INC	Trade	3 000		05/15/08	03/11/09	27 83	73 77	-45 94		Y
HSBC HOLDINGS PLC NEW GB SPON ADR	Trade	2 000		05/05/09	07/01/09	84 47	77 95	6 52		Y
	Trade	7.000		05/05/09	07/14/09	291 49	272 81	18 68		Y
	Trade	13.000		05/05/09	11/04/09	728 40	506 64	221 76		Y
	Trade	9.000		05/05/09	12/03/09	542 45	350.75	191 70		Y
INDEPENDENT BANK CORP MASS	Trade	2 000		08/20/08	03/11/09	25 76	54 96	-29.20		Y
INFINEON TECHNOLOGIES ADR	Trade	84 000		07/10/09	09/28/09	429 62	313 35	116 27		Y
	Trade	96.000		08/21/09	10/01/09	537 63	295 06	242 57		Y
	Trade	138 000		07/10/09	10/01/09	772 85	514 80	258 05		Y
	Trade	66 000		08/17/09	10/01/09	369 62	305 01	64 61		Y
INTERFACE INC CLASS A	Trade	12 000		05/15/08	02/26/09	24 83	156 84	-132 01		Y
ITRON INC	Trade	2.000		05/15/08	03/11/09	93 95	183 74	-89 79		Y
JONES LANG LASALLE INC	Trade	2 000		10/22/08	03/11/09	37 83	56 50	-18 67		Y
K+S AG	Trade	5 000		10/09/08	09/23/09	277 05	266 86	10 19		Y
	Trade	5 000		06/19/09	09/23/09	277 05	293 66	-16 61		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
KADANT INC	Trade	1 000		05/15/08	03/11/09	7 17	28 15	-20 98		Y
	Trade	8 000		05/15/08	03/30/09	84 40	225 20	-140 80		Y
KIRIN HOLDINGS LTD SPON ADR	Trade	21 000		07/14/09	10/13/09	317 94	322 45	-4.51		Y
KONAMI CORP ADR	Trade	59 000		10/29/08	02/03/09	1,113 27	1,014 88	98.39		Y
KONINKLIJKE AHOLD NV NEW 2007 SPON ADR	Trade	25.000		10/03/08	04/17/09	269 61	289.11	-19.50		Y
	Trade	25 000		10/03/08	04/17/09	269 61	291 62	-22 01		Y
	Trade	37 000		10/06/08	08/24/09	443 30	417 13	26 17		Y
LIFEWAY FOODS INS	Trade	6 000		05/15/08	03/11/09	38.93	73 80	-34 87		Y
LINDSAY CORP	Trade	8 000		05/15/08	03/11/09	189 11	853 60	-664 49		Y
MET PRO CORP	Trade	24 000		05/15/08	03/11/09	155 03	300.24	-145.21		Y
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR	Trade	120 000		07/02/09	09/29/09	641.27	751 64	-110 37		Y
	Trade	28 000		07/02/09	09/30/09	150 27	175 38	-25 11		Y
	Trade	42 000		09/08/09	09/30/09	225 41	247 31	-21 90		Y
MITSUI FUDOSAN CO LTD 1 ADR	Trade	8.000		02/05/09	06/11/09	417 69	355 41	62.28		Y
	Trade	16 000		02/05/09	06/22/09	822 15	710.83	111 32		Y
NATCO GROUP INC **MERGER EFF 11/09** CL A	Trade	1 000		06/24/08	03/11/09	18.13	55.38	-37 25		Y
NEW JERSEY RESOURCES CRP	Trade	3 000		05/15/08	01/07/09	111 77	101.19	10 58		Y
NII HOLDINGS INC CL B	Trade	20 000		11/20/08	07/15/09	403 05	258 19	144 86		Y
NINTENDO LTD ADR NEW JAPAN ADR	Trade	19 000		03/05/09	05/08/09	620 58	661.31	-40 73		Y
NIPPON TELEG & TEL CORP SPON ADR	Trade	17.000		12/04/08	07/27/09	336.54	416.74	-80 20		Y
	Trade	9 000		12/04/08	12/02/09	192 72	220 63	-27 91		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NISSAN MTR LTD SPONS ADR JAPAN ADR	Trade	37.000		05/07/08	03/31/09	266 76	680 75	-413 99		Y
	Trade	43.000		05/07/08	04/21/09	434 98	791 14	-356 16		Y
NOKIA CORP SPONS ADR FINLAND ADR	Trade	65.000		04/17/09	07/17/09	858 00	978 56	-120 56		Y
NTT DOCOMO INC ECH REPSTG 1/200TH COM SHS SPON ADR	Trade	15 000		05/29/08	01/06/09	277.49	240 23	37.26		Y
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR	Trade	20.000		03/06/08	02/18/09	245 29	1,022 00	-776 71		Y
	Trade	12 000		03/06/08	02/19/09	151.69	613.20	-461.51		Y
OLYMPUS CORP SPON ADR	Trade	41 000		07/02/08	01/13/09	780 82	1,367 57	-586 75		Y
	Trade	11 000		07/31/08	01/13/09	209 49	373 96	-164 47		Y
ORMAT TECHNOLOGIES INC	Trade	2.000		07/16/08	03/11/09	49 41	94 37	-44 96		Y
PARKWAY PROPERTIES INC MD	Trade	4 000		05/15/08	03/11/09	38 47	155 76	-117 29		Y
	Trade	20.000		05/15/08	03/23/09	231.16	778 80	-547 64		Y
PEROT SYSTEMS CORP **MERGER EFF· 11/03/09** CL A	Trade	10 000		08/13/09	09/21/09	295 99	165.54	130 45		Y
	Trade	16 000		08/14/09	09/21/09	473 59	259 71	213 88		Y
	Trade	13 000		08/25/09	09/21/09	384 79	217 80	166 99		Y
PETROLEO BRASILEIRO SA SPON ADR	Trade	35.000		03/06/08	02/24/09	912 51	2,017 32	-1,104 81		Y
	Trade	6.000		08/13/08	07/01/09	246 27	308 26	-61 99		Y
PIMCO TOTAL RETURN FUND CLASS A	Trade	1,485 970		03/06/08	02/24/09	14,934 00	16,003 90	-1,069.90		Y
PLANTRONICS INC NEW	Trade	9.000		05/15/08	03/11/09	80 37	224 10	-143 73		Y
QUALITY SYSTEMS INC	Trade	2 000		05/15/08	03/11/09	82.33	68 88	13 45		Y
RADVISION LTD ORD	Trade	5 000		05/15/08	03/11/09	26 51	37 25	-10 74		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RESMED INC	Trade	10 000		11/17/08	06/22/09	389 10	349 70	39 40		Y
	Trade	6.000		12/01/08	06/22/09	233 46	204.12	29 34		Y
	Trade	5 000		12/01/08	07/02/09	199.13	170 10	29 03		Y
ROHM CO LTD ADR	Trade	6 000		11/17/08	04/30/09	185.37	135 73	49 64		Y
	Trade	13 000		11/17/08	06/16/09	420.08	294.08	126.00		Y
	Trade	8.000		11/17/08	08/18/09	259 87	180 97	78 90		Y
	Trade	8 000		11/17/08	09/09/09	262 99	180.97	82.02		Y
	Trade	19 000		01/13/09	09/09/09	624 59	479 98	144 61		Y
ROYAL DUTCH SHELL PLC ADS REPSTG 2 CL B ORD SHS SPON ADR	Trade	9.000		12/08/08	09/01/09	480 01	436 46	43 55		Y
	Trade	12 000		12/08/08	09/29/09	674 49	581 95	92 54		Y
	Trade	8 000		06/10/09	09/29/09	449 66	451 32	-1 66		Y
	Trade	10.000		07/01/09	09/29/09	562.07	515 32	46.75		Y
	Trade	1 000		07/01/09	09/30/09	56 42	51.53	4 89		Y
	Trade	9 000		08/21/09	09/30/09	507 75	486 74	21 01		Y
SAP AG SPON ADR	Trade	3 000		07/29/09	12/02/09	138 22	134.10	4 12		Y
	Trade	24 000		07/29/09	12/07/09	1,092 90	1,072 83	20 07		Y
	Trade	2 000		10/27/09	12/07/09	91 07	101 79	-10 72		Y
SCHERING PLOUGH CORP **MERGER EFF 11/09**	Exchange	125.000		11/07/08	11/05/09	1,312 50	787.10	525.40		Y
	Exchange	30 000		02/27/09	11/05/09	315 00	215 60	99 40		Y
	Exchange	15 000		06/24/09	11/05/09	157.50	150 55	6 95		Y
SCHOLASTIC CORP	Trade	17 000		05/15/08	04/07/09	283 77	506 94	-223 17		Y
	Trade	2 000		03/11/09	04/07/09	33.38	21 16	12 22		Y
SCHOOL SPECIALTY INC WISCONSIN	Trade	1 000		05/15/08	03/11/09	13 80	30 97	-17 17		Y
	Trade	7 000		05/15/08	03/27/09	126 43	216 79	-90 36		Y
SEI INVESTMENTS CO	Trade	26 000		04/09/09	06/25/09	456 98	354 53	102 45		Y
	Trade	16.000		05/04/09	06/25/09	281 22	231 03	50 19		Y
	Trade	10 000		05/04/09	07/02/09	177.17	144 39	32 78		Y
	Trade	1 000		05/26/09	07/02/09	17 72	15 09	2 63		Y
SEVEN & I HLDGS CO LTD ADR	Trade	16 000		10/29/08	08/25/09	777.15	949 11	-171.96		Y
	Trade	6 000		04/22/09	08/25/09	291.43	262 42	29 01		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
SEVEN & I HLDGS CO LTD ADR	Trade	5 000		04/22/09	09/04/09	225.94	218 68	7 26		Y
	Trade	14 000		06/12/09	09/04/09	632 62	672.05	-39 43		Y
SHIN ETSU CHEM CO LTD ADR	Trade	2 000		02/05/09	03/31/09	97 77	100 30	-2 53		Y
	Trade	13 000		02/05/09	04/27/09	640 46	651.94	-11 48		Y
	Trade	5 000		02/25/09	04/27/09	246.33	219 16	27 17		Y
SIMPSON MANUFACTURING CO INC DELA	Trade	20 000		05/15/08	03/11/09	289 01	481 58	-192.57		Y
SOUTH JERSEY IND INC	Trade	5 000		05/15/08	03/12/09	161 63	191 95	-30 32		Y
SOUTHSIDE BANCSHARES INC TYLER TEXAS	Trade	2 000		09/26/08	03/11/09	31 47	51.60	-20 13		Y
STANDARD CHARTERED PLC	Trade	2 000		12/24/08	11/04/09	51 22	11 72	39 50		Y
SUMITOMO MITSUI FINANCIAL GROUP INC ADR	Trade	35 000		05/12/09	07/02/09	141.57	140 15	1 42		Y
	Trade	189 000		05/13/09	07/02/09	764 48	755.15	9 33		Y
	Trade	38 000		05/13/09	11/25/09	114 59	151 83	-37 24		Y
	Trade	35.000		05/28/09	11/25/09	105 54	133.70	-28 16		Y
	Trade	65 000		05/29/09	11/25/09	196 00	245 40	-49 40		Y
	Trade	61 000		06/29/09	11/25/09	183 94	254 17	-70 23		Y
	Trade	19 000		09/30/09	11/25/09	57 29	65 66	-8 37		Y
SUNCOR INC **MERGER EFF. 07/2009**	Trade	2 000		03/06/08	01/26/09	40 95	110 03	-69 08		Y
SUNOPTA INC	Trade	67 000		05/15/08	02/24/09	77 27	413 39	-336 12		Y
TAKEDA PHARMACEUTICAL CO	Trade	15.000		03/24/09	10/21/09	302 69	278 85	23 84		Y
TEAM INC	Trade	4.000		05/15/08	03/11/09	44 59	118 08	-73 49		Y
TELSTRA CORP LTD (FINAL) ADR	Trade	84 000		03/06/08	03/03/09	922 67	1,726 20	-803 53		Y
TOSHIBA CORP ADR	Trade	15 000		07/23/09	08/31/09	460 51	367 51	93 00		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
TOTAL S A FRANCE SPON ADR	Trade	9 000		01/28/09	09/24/09	530 31	474 95	55 36		Y
UMPQUA HOLDINGS CORP OR	Trade	5 000		05/15/08	03/11/09	41.50	70 50	-29 00		Y
US TSY NOTE 03 375 % DUE 06/30/13 DTD 06/30/08 FC 12/31/08	Trade	1,000 000		02/09/09	10/01/09	1,061 99	1,068.44	-6 45		Y
US TSY NOTE 01 875 % DUE 02/28/14 DTD 02/28/09 FC 08/31/09	Trade	1,000 000		04/15/09	10/01/09	995 59	1,008 09	-12.50		Y
US TSY NOTE 03 125 % DUE 05/15/19 DTD 05/15/09 FC 11/15/09	Trade	2,000 000		06/19/09	10/01/09	1,981.41	1,892 97	88 44		Y
US TSY NOTE 01.000 % DUE 07/31/11 DTD 07/31/09 FC 01/31/10	Trade	2,000.000		07/31/09	10/01/09	2,008 20	1,995 47	12 73		Y
US TSY NOTE 05 125% DUE 06/30/11 DTD 06/30/06 FC 12/31/06	Trade	1,000.000		02/09/09	08/12/09	1,073 20	1,093 28	-20 08		Y
VALE SA-SP PREFERRED SPON ADR	Trade	14 000		01/06/09	06/15/09	230 98	186 68	44 30		Y
	Trade	17 000		01/06/09	09/02/09	289 53	226 69	62 84		Y
	Trade	14 000		01/06/09	10/16/09	326 30	186 68	139 62		Y
	Trade	7.000		01/06/09	12/04/09	171 65	93 34	78.31		Y
	Trade	31 000		04/03/09	12/04/09	760.16	407 56	352 60		Y
WABTEC INC	Trade	4 000		05/15/08	03/11/09	99 03	180 92	-81 89		Y
WHOLE FOODS MARKET INC	Trade	19 000		08/22/08	06/17/09	352 54	353 97	-1 43		Y
WILMINGTON TRUST CORP NEW	Trade	5 000		09/10/08	03/11/09	42 99	124 21	-81 22		Y

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Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
XSTRATA PLC	Trade	23 000		03/06/08	01/09/09	287 52	1,863.23	-1,575 71		Y
YAMADA DENKI CO LTD	Trade	30 000		03/06/08	03/04/09	1,071.83	2,740 80	-1,668 97		Y
Total Short-Term Gain/Loss						**120,995.09**	**133,947.30**	**-12,952.21**		

Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
ADMIRAL GROUP PLC $0 46	Trade	53 000		03/06/08	04/16/09	682 54	940 75	-258.21		Y
AIR LIQUIDE ADR FRANCE ADR	Trade	13 000		03/06/08	10/13/09	295 41	342.13	-46 72		Y
ALSTOM SHS PROV REGROUPMENT ORD	Trade	6 000		03/06/08	12/21/09	421 05	653 46	-232 41		Y
AMER EXPRESS CO	Trade	14 000		02/20/07	06/24/09	319.64	820 68	-501 04	1	Y
ASSURED GUARANTY LTD	Trade	16 000		05/15/08	08/27/09	308 16	394 08	-85 92		Y
	Trade	1.000		05/15/08	08/28/09	19 99	24 63	-4 64		Y
	Trade	3 000		05/15/08	11/18/09	77 28	73 89	3 39		Y
	Trade	17 000		06/25/08	11/18/09	437 90	362 90	75 00		Y
BAE SYSTEMS PLC SPON ADR	Trade	18 000		04/14/08	10/09/09	363 53	689 64	-326 11		Y
BANK OF NEW YORK MELLON CORP	Trade	16 000		03/06/08	06/24/09	460 18	702 72	-242 54		Y
BAYER A G SPON ADR	Trade	7 000		03/12/08	07/02/09	375.80	522 43	-146 63		Y
	Trade	8 000		03/12/08	08/27/09	480 61	597 06	-116 45		Y
BED BATH & BEYOND INC	Trade	18 000		03/06/08	06/24/09	510 50	504 72	5 78		Y
BG GROUP PLC SPON ADR	Trade	3.000		09/12/08	10/28/09	271 94	309.00	-37 06		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER C	Trade	268.000		03/06/08	08/12/09	2,540.64	2,618 36	-77 72		Y
	Trade	710.000		03/06/08	10/01/09	6,936 70	6,936 70			Y
BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER M	Trade	199.000		03/06/08	08/12/09	1,781 05	1,886 52	-105.47		Y
	Trade	764 000		03/06/08	10/01/09	7,028 80	7,242 72	-213.92		Y
CALAMOS MARKET NEUTRAL INCOME FUND CLASS A	Trade	435 387		03/06/08	12/04/09	5,006 95	5,464 11	-457.16		Y
	Trade	102 781		03/06/08	12/28/09	1,193 29	1,289 90	-96.61		Y
CITIGROUP INC	Trade	67 000		02/21/07	03/11/09	110 70	3,607 28	-3,496 58 1		Y
	Trade	5 000		03/06/08	03/11/09	8 26	106 55	-98.29		Y
CLARCOR INC	Trade	12 000		02/20/07	03/11/09	313.72	404 16	-90 44 1		Y
COMCAST CORP NEW SPECIAL CL A	Trade	155 000		03/06/08	06/24/09	2,081 93	3,028 55	-946 62		Y
COMPANHIA DE BEBIDAS DAS AMERS AMBEV SPON ADR	Trade	1 000		10/31/08	11/09/09	100 59	42 91	57 68		Y
CONOCOPHILLIPS	Trade	68 000		11/15/06	06/24/09	2,830 76	4,385 33	-1,554 57 1		Y
	Trade	65 000		11/15/06	08/21/09	2,873 60	4,191 85	-1,318 25 1		Y
COSTCO WHOLESALE CORP	Trade	9.000		05/08/07	06/24/09	404 91	490 15	-85 24 1		Y
	Trade	11.000		10/29/07	06/24/09	494 90	736 94	-242 04 1		Y
CREDICORP LTD	Trade	4 000		03/06/08	08/27/09	284 53	298 96	-14 43		Y
	Trade	13 000		03/06/08	09/24/09	977 68	971 62	6 06		Y
DEUTSCHE BOERSE AG	Trade	2.000		03/06/08	07/08/09	139 51	322 50	-182 99		Y
DIGITAL REALTY TRUST INC REIT	Trade	14 000		06/03/08	08/06/09	619 57	574.26	45.31		Y
E ON AG SPON ADR	Trade	17 000		02/21/07	02/03/09	564 51	799.50	-234 99 1		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matche 1099-E
ENCORE ACQUISITION CO	Trade	24 000		05/15/08	11/03/09	1,069 05	1,329 84	-260.79		Y
	Trade	14 000		05/15/08	12/01/09	637 63	775 74	-138 11		Y
	Trade	5 000		05/15/08	12/28/09	241 74	277 05	-35 31		Y
EXPRESS SCRIPTS INC	Trade	6.000		03/06/08	06/24/09	401 93	364 26	37 67		Y
FHLMC 04 875 % DUE 111513 DTD 101703 FC 05152004	Trade	1,000 000		03/06/08	10/01/09	1,107 94	1,064 59	43 35		Y
FHLMC PL G12600 05 5000 DUE 03/01/22 FACTOR 0 417143600000	Trade	9,000 000		03/11/08	10/08/09	4,825 77	4,603 83	221.94		Y
FNMA PL 725027 05.0000 DUE 11/01/33 FACTOR 0 459427120000	Trade	22,000 000		03/11/08	06/19/09	11,630.98	11,024 20	606 78		Y
	Trade	4,000.000		03/11/08	10/08/09	2,039 12	1,886 37	152 75		Y
FNMA PL 725690 06 0000 DUE 08/01/34 FACTOR 0 271296650000	Trade	27,000 000		03/11/08	09/18/09	8,554 88	8,162 02	392 86		Y
FNMA PL 888129 05 5000 DUE 02/01/37 FACTOR 0 572311550000	Trade	19,000 000		03/11/08	04/14/09	14,872 96	14,188 20	684 76		Y
	Trade	2,000 000		03/11/08	10/08/09	1,348 92	1,276 09	72 83		Y
FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR	Trade	8.000		03/06/08	10/14/09	397 74	420 40	-22.66		Y
FT-FRANKLIN TEMPLETON GLOBAL LONG/SHORT FUND CLASS A	Trade	87 959		03/06/08	11/13/09	725 66	1,117 08	-391.42		Y
GABELLI ENTERPRISE MERGERS & ACQUISITIONS FUND CLASS A	Trade	86 436		03/06/08	11/13/09	807 31	939 56	-132 25		Y
GATEWAY FUND CLASS A	Trade	20 984		03/06/08	11/13/09	525 23	574 54	-49 31		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
GDF SUEZ SPON ADR	Trade	5 000		03/06/08	10/15/09	223 18	332 10	-108.92		Y
	Trade	21.000		03/06/08	10/16/09	936 00	1,394 80	-458 80		Y
GENL ELEC CAP CORP 05 250% 101912 DTD101907 FC041908 MTN	Trade	1,000 000		07/08/08	10/02/09	1,058 68	1,012.04	46 64		Y
GLAXO SMITHKLINE PLC ADR	Trade	6 000		08/07/08	10/27/09	245 36	290 78	-45 42		Y
GRUPO TELEVISA SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR	Trade	45 000		03/06/08	10/22/09	916 61	966 60	-49 99		Y
H & R BLOCK INC	Trade	65 000		03/06/08	03/31/09	1,189 51	1,166.65	22 86		Y
	Trade	10 000		03/06/08	06/24/09	150 69	179 49	-28 80		Y
HAIN CELESTIAL GROUP INC	Trade	6.000		05/15/08	10/13/09	113 23	159 66	-46 43		Y
IDEXX LABS	Trade	11 000		05/15/08	06/25/09	488 69	568 81	-80.12		Y
	Trade	10 000		05/15/08	07/10/09	442 36	517.10	-74 74		Y
	Trade	7.000		05/15/08	07/13/09	307 36	361 97	-54 61		Y
	Trade	8 000		05/15/08	12/15/09	421 45	413 68	7 77		Y
INTESA SANPAOLO SPON ADR	Trade	39 000		03/06/08	05/18/09	787 22	1,544 40	-757.18		Y
ISHARES INC MSCI JAPAN INDEX FD	Trade	393 000		03/06/08	07/28/09	3,782 41	4,818.18	-1,035 77		Y
JARDINE MATHESON HD-UNSP ADR	Trade	32 000		03/06/08	04/27/09	644.76	923 20	-278 44		Y
	Trade	5.000		03/06/08	10/12/09	152 17	144 25	7 92		Y
	Trade	12.000		03/06/08	10/13/09	357 96	346 20	11 76		Y
	Trade	3 000		03/06/08	10/28/09	91.43	86 55	4 88		Y
JPMORGAN CHASE & CO	Trade	34 000		02/21/07	01/26/09	846 89	1,750 90	-904 01 1		Y
	Trade	25 000		03/14/07	01/26/09	622 71	1,157 86	-535 15 1		Y
	Trade	21 000		06/22/07	01/26/09	523.08	1,032 52	-509 44 1		Y
	Trade	18 000		07/26/07	01/26/09	448 35	792 39	-344 04 1		Y
	Trade	77 000		11/01/07	01/26/09	1,917 95	3,471 92	-1,553 97 1		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
J2 GLOBAL COMMUNICATIONS INC NEW	Trade	6.000		02/20/07	03/11/09	111 31	143 88	-32 57 1		Y
K+S AG	Trade	3 000		03/06/08	05/13/09	202.20	224 54	-22.34		Y
	Trade	10.000		03/06/08	08/24/09	568 64	748 48	-179 84		Y
	Trade	11 000		03/06/08	09/23/09	609 52	823 32	-213 80		Y
KAO CORP REPSTG 10 SHS COM SPON ADR	Trade	6 000		03/06/08	07/29/09	1,321.51	1,810 50	-488 99		Y
LANDAUER INC	Trade	10 000		02/20/07	01/26/09	647 09	540.00	107 09 1		Y
MICROSOFT CORP	Trade	40 000		02/21/07	06/19/09	970 73	1,159 91	-189 18 1		Y
	Trade	8.000		02/21/07	10/21/09	213 10	231 98	-18 88 1		Y
	Trade	12 000		07/17/07	10/21/09	319 65	368 92	-49.27 1		Y
MIDDLEBY CORP DELA	Trade	2 000		02/20/07	03/11/09	50 76	115 12	-64 36 1		Y
MILLICOM INTL CELLULAR SA NEW	Trade	3 000		03/06/08	07/24/09	218 56	333 12	-114.56		Y
	Trade	8 000		03/06/08	11/19/09	587 83	888 32	-300 49		Y
	Trade	6 000		03/06/08	12/02/09	463 52	666 24	-202 72		Y
MITSUBISHI CORP SPONS ADR ADR	Trade	16 000		03/06/08	04/27/09	509.37	1,030.40	-521 03		Y
	Trade	7 000		03/06/08	09/03/09	276 09	450 80	-174 71		Y
NATCO GROUP INC **MERGER EFF. 11/09** CL A	Trade	14 000		06/24/08	07/28/09	492.31	775 32	-283.01		Y
NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZ ADR	Trade	12 000		02/20/07	01/12/09	430 64	452.27	-21 63 1		Y
	Trade	10.000		02/20/07	03/03/09	300 09	376 89	-76 80 1		Y
	Trade	10 000		02/20/07	03/04/09	300 06	376 89	-76 83 1		Y
	Trade	8 000		02/20/07	10/09/09	333 35	301 51	31 84 1		Y
NEWCREST MINING LTD SPON AUSTRALIA ADR	Trade	5 000		07/16/08	12/23/09	154 89	163 44	-8 55		Y
	Trade	8 000		07/16/08	12/24/09	245 32	261 50	-16 18		Y
NISSAN MTR LTD SPONS ADR JAPAN ADR	Trade	24.000		05/07/08	05/12/09	260 75	441 57	-180 82		Y
	Trade	15 000		05/07/08	12/30/09	268.06	275 98	-7.92		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
NISSAN MTR LTD SPONS ADR JAPAN ADR	Trade	9.000		09/22/08	12/30/09	160 83	133 50	27.33		Y
PETROLEO BRASILEIRO SA SPON ADR	Trade	4 000		03/06/08	04/20/09	128 83	230 55	-101 72		Y
	Trade	4 000		03/06/08	07/01/09	164 18	230 55	-66 37		Y
PHILIP MORRIS INTL INC	Trade	110 000		02/21/07	02/27/09	3,739 52	4,983.41	-1,243 89 ı		Y
PIMCO TOTAL RETURN FUND CLASS A	Trade	100.877		03/06/08	04/03/09	1,017 85	1,086 45	-68.60		Y
	Trade	780 981		03/06/08	05/19/09	8,130 01	8,411.17	-281 16		Y
	Trade	107.664		03/06/08	06/03/09	1,126 17	1,159 54	-33.37		Y
	Trade	1,523 403		03/06/08	06/22/09	15,828.16	16,407.05	-578 89		Y
	Trade	1,782.286		03/06/08	09/30/09	19,462.56	19,195 22	267 34		Y
	Trade	1,332 845		03/06/08	11/05/09	14,554 67	14,354 74	199 93		Y
POWER INTEGRATIONS INC	Trade	5 000		05/15/08	08/04/09	151 43	166 57	-15 14		Y
	Trade	5 000		05/15/08	08/05/09	150 12	166 57	-16 45		Y
	Trade	7 000		05/15/08	08/06/09	207 62	233 19	-25 57		Y
PRUDENTIAL PLC ADR UNITED KINGDOM	Trade	16.000		03/06/08	04/17/09	193.35	401 28	-207 93		Y
	Trade	60 000		03/06/08	05/01/09	708 93	1,504 80	-795 87		Y
RADVISION LTD ORD	Trade	76 000		05/15/08	10/01/09	451 63	566 20	-114 57		Y
ROCHE HLDG LTD SPONS ADR SWITZ ADR	Trade	14 000		'03/06/08	10/16/09	564 57	661 15	-96 58		Y
SMITH & NEPHEW PLC NEW SPON ADR	Trade	11 000		03/20/08	09/11/09	528 24	702.28	-174 04		Y
STANDARD CHARTERED PLC	Trade	42 000		03/06/08	03/31/09	518.06	1,406 16	-888.10		Y
	Trade	20.000		03/06/08	06/12/09	391 25	669 60	-278 35		Y
	Trade	49 000		03/06/08	06/16/09	914 80	1,640 52	-725 72		Y
	Trade	7 000		03/06/08	11/04/09	179 28	234 36	-55 08		Y
STATOIL ASA SPON ADR	Trade	34 000		01/08/08	02/18/09	562 52	1,054.43	-491.91 ı		Y
	Trade	31 000		01/08/08	05/19/09	658 05	961 39	-303.34 ı		Y
	Trade	38 000		01/08/08	06/24/09	721 59	1,178 48	-456 89 ı		Y

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Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matche 1099-B
STRAYER EDUCATION INC	Trade	2.000		05/15/08	11/02/09	409 18	394 46	14 72		Y
	Trade	1 000		05/15/08	12/23/09	220 58	197 23	23.35		Y
	Trade	1 000		05/15/08	12/28/09	215 20	197 23	17 97		Y
SUBSEA 7 INC USD SHS	Trade	87 000		03/06/08	06/15/09	958 74	2,030 58	-1,071 84		Y
SUNCOR INC **MERGER EFF 07/2009**	Trade	21.000		02/20/07	01/26/09	429 92	764.72	-334 80 1		Y
SYNGENTA AG SPON ADR	Trade	5 000		03/06/08	09/10/09	234 64	295 95	-61 31		Y
TAKEDA PHARMACEUTICAL CO	Trade	5.000		05/28/08	08/31/09	101.31	141.85	-40 54		Y
	Trade	14 000		05/29/08	08/31/09	283 67	407 16	-123 49		Y
	Trade	14 000		05/29/08	09/10/09	284 86	407.16	-122 30		Y
	Trade	18 000		05/29/08	10/21/09	363 22	523 49	-160 27		Y
	Trade	13 000		08/04/08	10/21/09	262 33	353 99	-91 66		Y
TELE NORTE LESTE PARTICIPACOES S A ADR	Trade	14 000		03/06/08	04/15/09	209 81	350.40	-140 59		Y
	Trade	38 000		03/06/08	09/02/09	569 96	951 08	-381 12		Y
	Trade	21 000		03/06/08	12/02/09	472 59	525 60	-53 01		Y
TELSTRA CORP LTD (FINAL) ADR	Trade	5 000		03/06/08	07/29/09	72 16	102 75	-30 59		Y
	Trade	9 000		03/06/08	07/30/09	131.17	184 95	-53 78		Y
	Trade	68 000		03/06/08	07/30/09	1,022.23	1,397 40	-375 17		Y
TESCO PLC SPONS ADR UNITED KINGDOM	Trade	27.000		03/06/08	08/21/09	492 48	658 80	-166 32		Y
THALES SPONS ORD	Trade	13 000		03/06/08	07/02/09	548 72	815 62	-266 90		
TYCO ELECTRONICS LTD	Trade	21 250		02/20/07	03/24/09	227.55	793 07	-565 52 1		
	Trade	20 750		02/21/07	03/24/09	222 19	790 08	-567 89 1		
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	Trade	23 000		12/21/07	01/09/09	551 13	818 05	-266 92 1		
	Trade	39 000		12/21/07	03/03/09	700.96	1,387 14	-686 18 1		
	Trade	11 000		12/21/07	10/12/09	330 79	391 24	-60 45 1		
	Trade	3 000		08/13/08	10/12/09	90 21	86 40	3 81		

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Your Financial Advisor or Contact
PETER ERRETT VAN NICE, JR
312-525-4500/800-621-0684

UBS Financial Services Inc.

2009 Consolidated Form 1099

Corrected 03/10/10

C12G136095-X236

Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
UNITED STATES TREAS BOND DUE 02/15/19 COUPON STRIP	Trade	1,000.000	637 97	03/06/08	08/12/09	684 79	676 69	8 10		Y
	Trade	1,000.000	637 97	03/06/08	10/01/09	722 98	680 51	42 47		Y
UNITEDHEALTH GROUP INC	Trade	70.000		03/06/08	12/16/09	2,242 71	3,222.80	-980 09		Y
US TSY NOTE 04 500 % DUE 09/30/11 DTD 09/30/06 FC 03/31/07	Trade	1,000.000		03/06/08	08/12/09	1,066 44	1,085 94	-19 50		Y
VERWALTUNGS UND PRIVAT BANK AG VADUZ	Trade	5 000		04/29/08	12/28/09	474 45	1,259 36	-784.91		Y
	Trade	1 000		04/29/08	12/29/09	94 96	251 87	-156 91		Y
	Trade	4 000		06/20/08	12/29/09	379 82	1,028 28	-648 46		Y
VODAFONE GROUP PLC NEW SPON ADR	Trade	22 000		02/21/07	06/11/09	420 02	633 60	-213 58 1		Y
	Trade	27.000		02/21/07	07/14/09	496 62	777 60	-280 98 1		Y
	Trade	19 000		02/21/07	07/29/09	371 76	547 20	-175 44 1		Y
WELLS FARGO & CO NEW	Trade	23 000		03/06/08	06/24/09	530.13	646 50	-116 37		Y
WHOLE FOODS MARKET INC	Trade	10 000		08/22/08	08/25/09	287 66	186 30	101 36		Y
ZURICH FINANCIAL SVCS SPON ADR	Trade	4 000		03/06/08	09/04/09	86 00	120 40	-34 40		Y
	Trade	17.000		03/06/08	10/20/09	419.99	511.70	-91 71		Y
Total Long-Term Gain/Loss						**205,925.54**	**241,067.99**	**-35,142.45**		

Unclassified Gain/Loss

This section includes securities that cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided This section may also include securities not reported on Form 1099-B, as well as securities classified as a percentage of both short-term and long-term holding periods, the "60/40 rule" Broad-Based index options may also be subject to Mark-to-Market rules Please consult your tax advisor for proper tax treatment of these types of transactions

Security Description	Activity Type	Quantity/ Face value	Original Cost Basis	Purchase date Date Acquired	Date Sold	Sale Amount	Cost or Other Basis	Gain/Loss	Remarks	Matches 1099-B
RTS INFINEON TECHNOLOGIES AG EXP 07/29/09	Trade	6.000		07/20/09	08/26/09			-Not Calculated-	Not calculated	
Total Unclassified Gain/Loss										