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**Return of Private Foundation**  
**or Section 4947(a)(1) Nonexempt Charitable Trust**  
**Treated as a Private Foundation**

**2009**

Department of the Treasury  
Internal Revenue Service

**Note** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year **2009**, or tax year beginning, \_\_\_\_\_ and ending \_\_\_\_\_

**G** Check all that apply:  Initial return  Initial return of a former public charity  Final return  
 Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation <b>WHALESBACK FOUNDATION</b> Number and street (or P O box number if mail is not delivered to street address) Room/suite <b>ONE PIERREPONT STREET</b> City or town, state, and ZIP code <b>BROOKLYN, NY 11201</b>	A Employer identification number <b>31-1478498</b> B Telephone number <b>212-526-8363</b>
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>4,025,758.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	102,913.	102,913.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-1,760.			
b Gross sales price for all assets on line 6a <b>851,128.</b>				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total Add lines 1 through 11	101,153.	102,913.		
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans; employee benefits				
16a Legal fees				
b Accounting fees <b>STMT 2</b>	4,400.	4,400.		0.
c Other professional fees <b>STMT 3</b>	9,369.	9,369.		0.
17 Interest				
18 Taxes <b>STMT 4</b>	430.	430.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses				
24 Total operating and administrative expenses Add lines 13 through 23	14,199.	14,199.		0.
25 Contributions, gifts, grants paid	234,816.			234,816.
26 Total expenses and disbursements. Add lines 24 and 25	249,015.	14,199.		234,816.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-147,862.			
b Net investment income (if negative, enter -0-)		88,714.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	2,080,694.	73,075.	73,075.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,311,486.	1,731,182.	2,243,995.
	c Investments - corporate bonds STMT 7	504,731.	1,694,608.	1,438,422.
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 8	250,000.	500,000.	270,266.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	4,146,911.	3,998,865.	4,025,758.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	245,172.	245,172.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	3,901,739.	3,753,693.		
30 Total net assets or fund balances	4,146,911.	3,998,865.		
31 Total liabilities and net assets/fund balances	4,146,911.	3,998,865.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,146,911.
2 Enter amount from Part I, line 27a	2	-147,862.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,999,049.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	184.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,998,865.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 851,128.		852,888.	-1,760.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			-1,760.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-1,760.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	205,000.	4,724,826.	.043388
2007	274,474.	5,498,095.	.049922
2006	253,042.	4,978,405.	.050828
2005	251,097.	4,880,756.	.051446
2004	209,703.	4,748,449.	.044162

2 Total of line 1, column (d)	2	.239746
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047949
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	3,571,413.
5 Multiply line 4 by line 3	5	171,246.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	887.
7 Add lines 5 and 6	7	172,133.
8 Enter qualifying distributions from Part XII, line 4	8	234,816.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	887.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	887.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	887.
6	Credits/Payments:		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	3,849.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	3,849.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,962.
11	Enter the amount of line 10 to be: Credited to 2010 estimated tax <input checked="" type="checkbox"/> 2,962. Refunded <input checked="" type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		X
1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.	
2		X
If "Yes," attach a detailed description of the activities.		
3		X
If "Yes," attach a conformed copy of the changes		
4a		X
4b		
N/A		
5		X
If "Yes," attach the statement required by General Instruction T.		
6	X	
By language in the governing instrument, or		
By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7	X	
If "Yes," complete Part II, col (c), and Part XV		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> PA	
8b	X	
If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
9		X
Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		
10		X
Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THEODORE ROOSEVELT IV</u> Telephone no. ► <u>610-527-7400</u> Located at ► <u>ONE PIERREPONT STREET, BROOKLYN, NY</u> ZIP+4 ► <u>11201</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No ► _____, _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A  
 Organizations relying on a current notice regarding disaster assistance check here ▶

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b  Yes  No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? 7b  Yes  No

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
THEODORE ROOSEVELT, IV ONE PIERREPONT STREET BROOKLYN, NY 11201	PRES. / SECT. / TREAS. / TRUSTEE	0.00	0.	0.
CONSTANCE ROOSEVELT ONE PIERREPONT STREET BROOKLYN, NY 11201	TRUSTEE	0.00	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0.

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	749,695.
b	Average of monthly cash balances	1b	2,876,105.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,625,800.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	2	0.
<b>3</b>	Subtract line 2 from line 1d	3	3,625,800.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	54,387.
<b>5</b>	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,571,413.
<b>6</b>	Minimum investment return Enter 5% of line 5	6	178,571.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	1	178,571.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	2a	887.
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
<b>c</b>	Add lines 2a and 2b	2c	887.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	3	177,684.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	4	0.
<b>5</b>	Add lines 3 and 4	5	177,684.
<b>6</b>	Deduction from distributable amount (see instructions)	6	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	177,684.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	234,816.
b	Program-related investments - total from Part IX-B	1b	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	234,816.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	887.
<b>6</b>	Adjusted qualifying distributions Subtract line 5 from line 4	6	233,929.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				177,684.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			232,366.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ \$ 234,816.				
a Applied to 2008, but not more than line 2a			232,366.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				2,450.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				175,234.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**THEODORE ROOSEVELT, IV**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

**N/A**

b The form in which applications should be submitted and information and materials they should include:

**N/A**

c Any submission deadlines:

**N/A**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**N/A**

**Part XV** Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE STATEMENT 9				
Total			▶ 3a	234,816.
b Approved for future payment				
NONE				
Total			▶ 3b	0.





WHALESBACK FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 12000 BEIJING CAPITAL INTL AIRPORT	P	VARIOUS	03/25/09
b ATTACHMENT B	P	VARIOUS	VARIOUS
c ATTACHMENT B	P	VARIOUS	VARIOUS
d 4950 UNITS ENBRIDGE ENERGY MANAGEMENT	P	VARIOUS	VARIOUS
e 20704 SHS VANGUARD FIXED INCOME SECS	P	04/07/09	12/22/09
f ATTACHMENT A	P	VARIOUS	VARIOUS
g ATTACHMENT A	P	VARIOUS	VARIOUS
h 1115 SHS LONDON STOCK EXCHANGE	P	VARIOUS	03/25/09
i 1000 SHS HONG KONG EXCHANGES & CLEARING LTD	P	VARIOUS	03/30/09
j FRACTIONAL SHS KINDER MORGAN MGMT	P	VARIOUS	VARIOUS
k CAPITAL GAINS DIVIDENDS			
l			
m			
n			
o			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,386.		11,708.	-6,322.
b 51,441.		66,437.	-14,996.
c 11,186.		16,925.	-5,739.
d 198,804.		153,611.	45,193.
e 200,000.		174,741.	25,259.
f 45,041.		54,336.	-9,295.
g 318,780.		350,798.	-32,018.
h 8,318.		20,238.	-11,920.
i 9,505.		4,057.	5,448.
j 291.		37.	254.
k 2,376.			2,376.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-6,322.
b			-14,996.
c			-5,739.
d			45,193.
e			25,259.
f			-9,295.
g			-32,018.
h			-11,920.
i			5,448.
j			254.
k			2,376.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	-1,760.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
LEHMAN BROTHERS ACCT #591-00048	325.	0.	325.
LEHMAN BROTHERS ACCT #591-00280	18,463.	0.	18,463.
LEHMAN BROTHERS ACCT #834-00644	413.	0.	413.
LEHMAN BROTHERS ACCT #834-02921	3,978.	1.	3,977.
LEHMAN BROTHERS ACCT #834-27831	82,110.	2,375.	79,735.
TOTAL TO FM 990-PF, PART I, LN 4	105,289.	2,376.	102,913.

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HANNA, MCGLONE & CO	4,400.	4,400.		0.
TO FORM 990-PF, PG 1, LN 16B	4,400.	4,400.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEE	9,369.	9,369.		0.
TO FORM 990-PF, PG 1, LN 16C	9,369.	9,369.		0.

FORM 990-PF	TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	430.	430.		0.
TO FORM 990-PF, PG 1, LN 18	430.	430.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 5
DESCRIPTION		AMOUNT
NON DEDUCTIBLE PORTION OF CONTRIBUTIONS		184.
TOTAL TO FORM 990-PF, PART III, LINE 5		184.

FORM 990-PF	CORPORATE STOCK	STATEMENT 6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - EQUITIES	1,731,182.	2,243,995.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,731,182.	2,243,995.

FORM 990-PF	CORPORATE BONDS	STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - FIXED INCOME	1,694,608.	1,438,422.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,694,608.	1,438,422.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 8	
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - ALTERNATIVE	COST	500,000.	270,266.
TOTAL TO FORM 990-PF, PART II, LINE 13		500,000.	270,266.

FORM 990-PF	GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR	STATEMENT 9	
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RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
WOODS HOLE RESEARCH CENTER	N/A	N/A	10,000.
SHERIFF'S MEADOW FOUNDATION	N/A	N/A	2,000.
THE NATURE CONSERVANCY	N/A	N/A	25,000.
WILDLIFE CONSERVATION SOCIETY	N/A	N/A	3,000.
LEMUR CONSERVATION	N/A	N/A	500.
BROOKLYN BRIDGE PARK CONSERVANCY	N/A	N/A	3,000.

<u>WHALESBACK FOUNDATION</u>			<u>31-1478498</u>
BROOKLYN MUSEUM	N/A	N/A	1,000.
NEW YORK SOCIETY LIBRARY	N/A	N/A	2,500.
PROSPECT PARK ALLIANCE	N/A	N/A	816.
ALLIANCE FOR CLIMATE PROTECTION	N/A	N/A	22,000.
AMERICAN MUSEUM OF NATURAL HISTORY	N/A	N/A	50,000.
COUNCIL ON FOREIGN RELATIONS	N/A	N/A	35,000.
PEW CENTER ON GLOBAL CLIMATE CHANGE	N/A	N/A	15,000.
THE WILDERNESS SOCIETY	N/A	N/A	25,000.
U.S. CHINA CENTER FOR SUSTAINABLE DEVELOPMENT FOUNDATION	N/A	N/A	15,000.
WORLD RESOURCES INSTITUTE	N/A	N/A	25,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			<u>234,816.</u>

**YEAR-TO-DATE REALIZED GAINS AND LOSSES**

Cash-in-lieu transactions are not included in this figure. This may cause the value of your realized gains or losses to differ from the value of your gross proceeds or your total amount sold or redeemed. Realized gain/loss is calculated using adjusted unit cost. Please consult your tax advisor to determine if your situation requires that you use unit cost for your realized gain/loss.

This section summarizes all your realized gains and losses during 2009 on a trade date basis.

Gain/Loss information excludes cash, cash equivalents, and other, and commodity & commodities equivalents, and CDO holdings.

**US Dollar (USD)**

	Opening transaction		Quantity	Original Unit cost	Total cost	Price	Gross proceeds	Amortization / Accretion	Days held	Realized gain/loss	
	Closing transaction									Short-term	Long-term
***COVIDIEN LIMITED	01 Jan 2008		200	\$ 36 17	\$ 7,234.54	\$ 48.29	\$ 9,659.35		357		\$ 2,424.81
	23 Dec 2009										
***SEASPAN CORP	00 Oct 2008		700	11.79	8,256.85	7.16	5,016.49		200		- 3,240.36
	28 Apr 2009										
***SEASPAN CORP	00 Oct 2008		900	11.79	10,615.95	6.10	5,498.76		263		- 5,117.19
	30 Jun 2009										
ALASKA COMMUNICATIONS SYS	01 Feb 2009		200	8.04	1,608.10	6.68	1,336.44		112		- 271.66
	03 Jun 2009										
ALPHA NATURAL RESOURCES INC	02 Jan 2009		0.700	14.08	9.86	37.34	26.14		205		16.28
	05 Aug 2009										
BANK AMER FDG CORP	08 May 2009		10,000	99.24	9,924.40	115.39	11,539.10	2.42	172		1,612.28
	16 Nov 2009										
BURLINGTON NORTHERN SANTA FE	09 Sep 2008		200	100.15	20,030.28	98.69	19,738.07		478		- 292.21
	31 Dec 2009										
CARDINAL HEALTH INC	06 Jun 2009		100	21.82	2,182.42	29.37	2,937.63		142		755.21
	05 Nov 2009										
CAREFUSION CORP	06 Jun 2009		325	16.73	5,439.59	21.60	7,022.81		98		1,583.22
	22 Sep 2009										
CITIGROUP INC	05 Oct 2008		500	35.88	17,940.10	17.06	8,534.20		92		- 9,405.90
	15 Jan 2009										
COMCAST CORP	04 Nov 2008		15,000	76.30	11,446.20	106.54	15,982.35	21.16	276		4,514.99
	27 Aug 2009										
COVANCE INC	09 Sep 2008		100	97.22	9,722.33	45.12	4,512.48		267		- 5,209.85
	03 Jun 2009										
***COVIDIEN INTL FIN S A SR NT	01 Nov 2008		15,000	83.62	12,543.75	101.19	15,179.25	11.03	178		2,624.47
	18 May 2009										
DELL INC	01 Apr 2009		10,000	99.91	9,991.60	107.65	10,765.20	0.54	134		773.06
	13 Aug 2009										
EXELON CORP	09 Sep 2008		250	65.27	16,319.98	47.99	11,999.72		259		- 4,320.26
	26 May 2009										



STATEMENT A

**Whalesback Foundation**  
 December 1 - December 31, 2009

US Dollar (USD)

Opening transaction	Quantity	Original Unit cost	Total cost	Price	Gross proceeds	Amortization / Accretion	Days held	Realized gain/loss	
								Short-term	Long-term
Closing transaction									
02 Jan 2009	250	15.27	3,817.92	20.32	5,081.24		112	1,263.32	
04 May 2009									
02 Jan 2009	300	15.27	4,581.51	28.81	8,644.90		164	4,063.39	
25 Jun 2009									
09 Jan 2009	10,000	99.67	9,967.70	109.90	10,990.70	0.63	109	1,022.37	
18 May 2009									
01 Feb 2009	350	42.35	14,825.97	45.03	15,761.83		243	935.86	
12 Oct 2009									
09 Sep 2008	100	27.01	2,701.90	20.71	2,071.11		262	- 630.79	
18 Jun 2009									
09 Sep 2008	100	27.01	2,701.90	21.02	2,102.20		263	- 599.70	
19 Jun 2009									
09 Sep 2008	400	27.01	10,807.60	20.25	8,101.31		457	- 2,706.29	
30 Dec 2009									
00 Dec 2008	200	18.35	3,670.64	20.25	4,050.65		385	380.01	
30 Dec 2009									
09 Sep 2008	400	46.02	18,411.96	9.29	3,718.58		207	- 14,693.38	
24 Apr 2009									
04 Nov 2008	15,000	87.15	13,073.70	112.45	16,868.85	12.75	267	3,782.40	
18 Aug 2009									
04 Nov 2008	15,000	73.21	10,982.40	100.93	15,140.25	26.54	249	4,131.31	
31 Jul 2009									
09 Sep 2008	200	99.12	19,825.24	65.74	13,148.60		427	- 6,676.64	
20 Nov 2009									
06 May 2009	100	21.53	2,153.77	24.87	2,487.76		37	333.99	
02 Jul 2009									
06 May 2009	100	21.53	2,153.77	32.11	3,211.04		164	1,057.27	
06 Nov 2009									
09 Sep 2008	200	98.61	19,722.86	61.21	12,243.01		130	- 7,479.85	
06 Feb 2009									
03 Jul 2009	1,600	8.68	13,889.44	8.05	12,888.46		125	- 1,000.98	
25 Nov 2009									
04 Sep 2008	400	24.89	9,956.28	20.86	8,346.42		211	- 1,609.86	
23 Apr 2009									
06 Sep 2008	400	25.99	10,396.28	20.92	8,370.66		229	- 2,025.62	
13 May 2009									
09 Sep 2008	500	43.89	21,945.91	27.53	13,768.82		155	- 8,177.09	
11 Feb 2009									
03 Feb 2009	125	92.51	11,563.89	110.00	13,750.27		304	2,186.38	
14 Dec 2009									



STATEMENT A

**Brokerage account**  
**591-00280**

WHALESBACK FOUNDATION  
December 1 - December 31, 2009

US Dollar (USD)

Opening transaction Closing transaction	Quantity	Original Unit cost	Total cost	Price	Gross proceeds	Amortization / Accretion	Days held	Realized gain/loss	
								Short-term	Long-term
07 Nov 2008 14 Aug 2009	18,000	86.47	15,565.68	112.87	20,318.22	14.16	270	4,738.38	
08 Dec 2008 16 Jun 2009	11,000	87.00	9,570.33	103.42	11,376.64	7.16	190	1,799.15	
01 Dec 2008 03 Aug 2009	10,000	76.39	7,639.20	105.56	10,556.20	11.19	235	2,905.81	
09 Sep 2008 16 Apr 2009	300	48.04	14,413.41	13.84	4,153.50		209	- 10,259.91	
00 Jan 2009 16 Apr 2009	500	14.84	7,422.00	13.84	6,922.50		76	- 499.50	
<b>Total USD realized gains and losses</b>					<b>\$ 363,821.71</b>			<b>-\$ 32,017.95</b>	<b>-\$ 9,295.13</b>
<b>Total realized gains and losses year-to-date</b>					<b>\$ 363,821.71</b>			<b>-\$ 32,017.95</b>	<b>-\$ 9,295.13</b>

STATEMENT A

**YEAR-TO-DATE REALIZED GAINS AND LOSSES**

Cash-in-lieu transactions are not included in this figure. This may cause the value of your realized gains or losses to differ from the value of your gross proceeds or your total amount sold or redeemed. Realized gain/loss is calculated using adjusted unit cost. Please consult your tax advisor to determine if your situation requires that you use unit cost for your realized gain/loss.

This section summarizes all your realized gains and losses during 2009 on a trade date basis.

Gain/Loss information excludes cash, cash equivalents, and other, and commodity & commodities equivalents, and CDO holdings.

**US Dollar (USD)**

Opening transaction Closing transaction	Quantity	Original Unit cost	Total cost	Price	Gross proceeds	Amortization / Accretion	Days held	Realized gain/loss	
								Short-term	Long-term
AMERICAN RAILCAR INDUSTRIES 04 Sep 2006 07 May 2009	210	\$ 28.40	\$ 5,965.26	\$ 8.84	\$ 1,857.76		987		-\$ 4,107.50
BRINK'S COMPANY 04 Aug 2006 10 Aug 2009	200	26.13	5,226.23	26.64	5,329.42		1,082		103.19
BUCYRUS INTERNATIONAL INC 01 Jan 2008 02 Nov 2009	40	43.51	1,740.56	45.56	1,822.77		660		82.21
COLFAX CORPORATION 07 Jun 2008 19 Jun 2009	280	24.85	6,958.20	7.98	2,236.05		357	- 4,722.15	
EXCO RESOURCES INC 07 Feb 2007 29 Sep 2009	30	17.12	513.87	18.61	558.32		965		44.45
EXCO RESOURCES INC 05 Mar 2007 29 Sep 2009	60	16.06	963.91	18.61	1,116.63		929		152.72
FMC TECHNOLOGIES INC 04 Aug 2006 07 Oct 2009	100	29.89	2,989.31	53.08	5,308.02		1,140		2,318.71
FIRSTMERIT CORP 00 Jul 2009 24 Sep 2009	0.224	18.43	4.13	18.57	4.16		56	0.03	
FLOWERS FOODS INC 04 Aug 2006 06 Apr 2009	200	17.64	3,528.39	23.88	4,776.15		956		1,247.76
GOODRICH PETROLEUM CORP NEW 01 Jan 2008 28 Jan 2009	60	22.21	1,332.60	29.61	1,776.82		382		444.22
HEXCEL CORP NEW 04 Aug 2006 21 Jan 2009	420	15.02	6,308.40	6.48	2,722.97		881		- 3,585.43
IMS HEALTH INC 05 Dec 2008 24 Nov 2009	270	12.89	3,481.25	21.53	5,815.30		354	2,334.05	
JOY GLOBAL INC 04 Aug 2006 02 Nov 2009	30	37.74	1,132.20	51.10	1,533.08		1,166		400.88
***MCDERMOTT INTERNATIONAL INC 04 Aug 2006 09 Sep 2009	110	24.37	2,681.25	26.60	2,926.61		1,112		245.36
***MCDERMOTT INTERNATIONAL INC 07 Jan 2009 09 Sep 2009	80	12.33	986.49	26.60	2,128.45		245	1,141.96	



US Dollar (USD)

STATEMENT B

Premier client account  
834-02921

WHALESBACK FOUNDATION  
December 1 - December 31, 2009

page 19 of 19

Opening transaction	Quantity	Original Unit cost	Total cost	Price	Gross proceeds	Amortization / Accretion	Days held	Short-term	Realized gain/loss Long-term
Closing transaction									
04 Aug 2006	240	11.27	2,704.80	24.88	5,971.88		1,222		3,267.08
28 Dec 2009									
01 Jan 2008	140	30.90	4,326.84	9.26	1,296.67		655		-3,030.17
28 Oct 2009									
04 Aug 2006	70	49.24	3,447.18	63.10	4,417.23		1,104		970.05
01 Sep 2009									
04 Aug 2006	200	40.61	8,122.00	13.71	2,742.86		1,063		-5,379.14
22 Jul 2009									
08 Mar 2007	230	26.54	6,105.01	7.70	1,771.76		891		-4,333.25
04 Sep 2009									
04 Aug 2006	170	43.26	7,354.20	17.14	2,915.20		980		-4,439.00
30 Apr 2009									
01 Feb 2007	70	28.50	1,995.22	37.09	2,596.77		889		601.55
09 Jul 2009									
01 Apr 2009	0.453	8.44	3.83	6.90	3.13		2		-0.70
23 Apr 2009									
01 Apr 2009	0.841	8.44	7.11	6.90	5.81		2		-1.30
23 Apr 2009									
04 Jul 2008	50	19.33	966.89	3.81	190.96		193		-775.93
02 Feb 2009									
05 Aug 2008	210	21.50	4,516.60	3.81	802.02		181		-3,714.58
02 Feb 2009									
<b>Total USD realized gains and losses</b>					<b>\$ 62,626.80</b>			<b>-\$ 5,738.62</b>	<b>-\$ 14,996.31</b>
<b>Total realized gains and losses year-to-date</b>					<b>\$ 62,626.80</b>			<b>-\$ 5,738.62</b>	<b>-\$ 14,996.31</b>

STATEMENT B

