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990-PF

Form  
Department of the Treasury  
Internal Revenue ServiceReturn of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the IRS  
label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.

Name of foundation

A.K. STEPHENSON FND CHARITABLE TRUST AG  
THOMAS HUMBACH, TRUSTEE 537147

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

300 HIGH STREET

City or town, state, and ZIP code

HAMILTON, OH 45011

A Employer identification number

31-1386444

B Telephone number (see page 10 of the instructions)

(513) 867-5215

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 868,682.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch. B. <input checked="" type="checkbox"/>				
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B. . . . .				
3 Interest on savings and temporary cash investments	1,938.	1,938.		STMT 1
4 Dividends and interest from securities . . . . .	19,302.	19,302.		STMT 2
5a Gross rents . . . . .				
b Net rental income or (loss) . . . . .				
6a Net gain or (loss) from sale of assets not on line 10	-122,921.			
b Gross sales price for all assets on line 6a <input type="checkbox"/> 472,614.				
7 Capital gain-net income (from Part IV, line 2) . . . . .				
8 Net short-term capital gain . . . . .				
9 Income modifications . . . . .				
10a Gross sales less returns and allowances . . . . .				
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . .	7,250.	7,250.		STMT 4
12 Total. Add lines 1 through 11 . . . . .	-94,431.	28,490.		
13 Compensation of officers, directors, trustees, etc. . . . .	35,839.	31,773.		4,066.
14 Other employee salaries and wages . . . . .				
15 Pension plans, employee benefits . . . . .				
16a Legal fees (attach schedule) . . . . .				
b Accounting fees (attach schedule) STMT 5	650.	130.	NONE	520.
c Other professional fees (attach schedule) . . . . .				
17 Interest . . . . .				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion . . . . .				
20 Occupancy . . . . .				
21 Travel, conferences, and meetings . . . . .				
22 Printing and publications . . . . .				
23 Other expenses (attach schedule) STMT 6	200.			200.
24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	36,689.	31,903.	NONE	4,786.
25 Contributions, gifts, grants paid . . . . .				
26 Total expenses and disbursements. Add lines 24 and 25	36,689.	31,903.	NONE	4,786.
27 Subtract line 26 from line 12.				
a Excess of revenue over expenses and disbursements . . . . .	-131,120.			
b Net investment income (if negative, enter -0-)		-0-		
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing . . . . .	55.	33.	33.	
	2 Savings and temporary cash investments . . . . .	169,356.	12,007.	12,007.	
	3 Accounts receivable ▶ . . . . .				
	Less: allowance for doubtful accounts ▶ . . . . .	86,829.			
	4 Pledges receivable ▶ . . . . .				
	Less: allowance for doubtful accounts ▶ . . . . .				
	5 Grants receivable . . . . .				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7 Other notes and loans receivable (attach schedule) ▶ . . . . .				
	Less: allowance for doubtful accounts ▶ . . . . .				
	8 Inventories for sale or use . . . . .				
	9 Prepaid expenses and deferred charges . . . . .				
	10 a Investments - U.S. and state government obligations (attach schedule)	60,290.	60,290.	62,220.	
	b Investments - corporate stock (attach schedule) . STMT 7 . . . . .	456,632.	455,181.	523,396.	
	c Investments - corporate bonds (attach schedule) . . . . .	200,000.	270,000.	271,026.	
	Liabilities	11 Investments - land, buildings, and equipment basis . . . . .			
Less: accumulated depreciation (attach schedule) ▶ . . . . .					
12 Investments - mortgage loans . . . . .					
13 Investments - other (attach schedule) . . . . .		50,000.			
14 Land, buildings, and equipment basis . . . . .					
Less: accumulated depreciation (attach schedule) ▶ . . . . .					
15 Other assets (describe ▶ . . . . .)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		1,023,162.	797,511.	868,682.	
17 Accounts payable and accrued expenses . . . . .		94,528.			
18 Grants payable . . . . .					
Net Assets or Fund Balances	19 Deferred revenue . . . . .				
	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .				
	21 Mortgages and other notes payable (attach schedule) . . . . .				
22 Other liabilities (describe ▶ . . . . .)					
23 Total liabilities (add lines 17 through 22) . . . . .	94,528.				
Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.	24 Unrestricted . . . . .				
	25 Temporarily restricted . . . . .				
	26 Permanently restricted . . . . .				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>	27 Capital stock, trust principal, or current funds . . . . .	928,634.	797,511.	
		28 Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .			
		29 Retained earnings, accumulated income, endowment, or other funds . . . . .			
		30 Total net assets or fund balances (see page 17 of the instructions) . . . . .	928,634.	797,511.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	1,023,162.	797,511.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	928,634.
2 Enter amount from Part I, line 27a . . . . .	2	-131,120.
3 Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4 Add lines 1, 2, and 3 . . . . .	4	797,514.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 9 . . . . .	5	3.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	797,511.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV DETAIL					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	-122,921.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8. . . . . }			3		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	5,776.	929,107.	0.00621672208
2007	74,465.	1,078,884.	0.06902039515
2006	86,268.	1,062,668.	0.08118057568
2005	94,596.	1,098,285.	0.08613064915
2004	112,918.	1,170,679.	0.09645513416
2 Total of line 1, column (d) . . . . .			2 0.33900347622
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			3 0.06780069524
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 . . . . .			4 561,474.
5 Multiply line 4 by line 3 . . . . .			5 38,068.
6 Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			6
7 Add lines 5 and 6 . . . . .			7 38,068.
8 Enter qualifying distributions from Part XII, line 4 . . . . .			8 4,786.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter: _____ (attach copy of ruling letter if necessary - see instructions)		1	NONE
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2 . . . . .		3	NONE
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	NONE
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009 . . . . .	6a		
b Exempt foreign organizations-tax withheld at source . . . . .	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	NONE	
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .	7	NONE	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .	9	NONE	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .	10		
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> 11	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ _____ (2) On foundation managers. \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) <input type="checkbox"/> STMT 10		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address N/A

14 The books are in care of FIRST FINANCIAL BANK, N.A. Telephone no. (513) 867-4838  
 Located at 300 HIGH ST., WRG, HAMILTON, OH ZIP + 4 45011

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐  
 and enter the amount of tax-exempt interest received or accrued during the year 15

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions.) <input type="checkbox"/>	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.) <input type="checkbox"/>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? <input type="checkbox"/>	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** ☐

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** ☐ Yes ☒ No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		35,839.	-0-	-0-

**2** Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		NONE	NONE	NONE

**Total** number of other employees paid over \$50,000 ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 13		NONE

Total number of others receiving over \$50,000 for professional services . . . . . NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	570,108.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	-84.
<b>c</b>	Fair market value of all other assets (see page 24 of the instructions)	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	570,024.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	570,024.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	<b>4</b>	8,550.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V line 4	<b>5</b>	561,474.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	28,074.

**Part XI Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	28,074.
<b>2a</b>	Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	NONE
<b>b</b>	Income tax for 2009. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	NONE
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	28,074.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4	<b>5</b>	28,074.
<b>6</b>	Deduction from distributable amount (see page 25 of the instructions)	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	28,074.

**Part XII Qualifying Distributions** (see page 25 of the instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	4,786.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	4,786.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	4,786.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7 . . . . .				28,074.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only . . . . .			NONE	
<b>b</b> Total for prior years 20 <u>07</u> , 20 <u>  </u> , 20 <u>  </u> . . . . .		NONE		
<b>3</b> Excess distributions carryover, if any, to 2009:				
<b>a</b> From 2004 . . . . .	110,678.			
<b>b</b> From 2005 . . . . .	41,261.			
<b>c</b> From 2006 . . . . .	34,418.			
<b>d</b> From 2007 . . . . .	27,731.			
<b>e</b> From 2008 . . . . .	NONE			
<b>f</b> Total of lines 3a through e . . . . .	214,088.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ <u>4,786.</u>				
<b>a</b> Applied to 2008, but not more than line 2a . . . . .			NONE	
<b>b</b> Applied to undistributed income of prior years (Election required - see page 26 of the instructions) . . . . .		NONE		
<b>c</b> Treated as distributions out of corpus (Election required - see page 26 of the instructions) . . . . .	NONE			
<b>d</b> Applied to 2009 distributable amount . . . . .				4,786.
<b>e</b> Remaining amount distributed out of corpus . . . . .	NONE			
<b>5</b> Excess distributions carryover applied to 2009 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a).)	23,288.			23,288.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	190,800.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see page 27 of the instructions . . . . .		NONE		
<b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see page 27 of the instructions . . . . .			NONE	
<b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010. . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) . . . . .	NONE			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) . . . . .	87,390.			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a . . . . .	103,410.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005 . . . . .	41,261.			
<b>b</b> Excess from 2006 . . . . .	34,418.			
<b>c</b> Excess from 2007 . . . . .	27,731.			
<b>d</b> Excess from 2008 . . . . .	NONE			
<b>e</b> Excess from 2009 . . . . .	NONE			



**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

<b>Recipient</b> <b>Name and address (home or business)</b>	<b>If recipient is an individual, show any relationship to any foundation manager or substantial contributor</b>	<b>Foundation status of recipient</b>	<b>Purpose of grant or contribution</b>	<b>Amount</b>
<b>a Paid during the year</b>				
<b>Total . . . . .</b>			<b>3a</b>	
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			<b>3b</b>	

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**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**



<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:				
<b>(1)</b> Cash . . . . .		<b>1a(1)</b>		X
<b>(2)</b> Other assets . . . . .		<b>1a(2)</b>		X
<b>b</b> Other transactions:				
<b>(1)</b> Sales of assets to a noncharitable exempt organization . . . . .		<b>1b(1)</b>		X
<b>(2)</b> Purchases of assets from a noncharitable exempt organization . . . . .		<b>1b(2)</b>		X
<b>(3)</b> Rental of facilities, equipment, or other assets . . . . .		<b>1b(3)</b>		X
<b>(4)</b> Reimbursement arrangements . . . . .		<b>1b(4)</b>		X
<b>(5)</b> Loans or loan guarantees . . . . .		<b>1b(5)</b>		X
<b>(6)</b> Performance of services or membership or fundraising solicitations . . . . .		<b>1b(6)</b>		X
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .		<b>1c</b>		X
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b If "Yes," complete the following schedule.**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
1		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	 Signature of officer or trustee		Date <u>11/7/10</u>	
Paid Preparer's Use Only	Title <u>TRUSTEE</u>			
	Preparer's signature  Date <u>03/30/2010</u>	Check if self-employed <input type="checkbox"/>	Preparer's identifying number (See <b>Signature</b> on page 30 of the instructions) <u>P00147549</u>	
	Firm's name (or yours if self-employed), address, and ZIP code <u>FIRST FINANCIAL BANK N A - WRG</u> <u>300 HIGH STREET</u> <u>HAMILTON, OH 45011</u>		EIN <u>31-0308605</u> Phone no. <u>513-867-5218</u>	

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**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS				896.	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS				561.	
574.00		30. ALPHA NAT RES INC PROPERTY TYPE: SECURITIES 578.00				03/20/2009 -4.00	04/13/2009
788.00		42. ALPHA NAT RES INC PROPERTY TYPE: SECURITIES 809.00				03/20/2009 -21.00	04/22/2009
224.00		11. ALPHA NAT RES INC PROPERTY TYPE: SECURITIES 212.00				03/20/2009 12.00	04/24/2009
2,414.00		90. ALPHA NAT RES INC PROPERTY TYPE: SECURITIES 1,729.00				VAR 685.00	05/14/2009
1,635.00		22. AMAZON COM INC PROPERTY TYPE: SECURITIES 1,487.00				03/11/2009 148.00	03/26/2009
967.00		12. AMAZON COM INC PROPERTY TYPE: SECURITIES 811.00				03/11/2009 156.00	04/22/2009
946.00		11. AMAZON COM INC PROPERTY TYPE: SECURITIES 743.00				03/11/2009 203.00	04/24/2009
3,703.00		46. AMAZON COM INC PROPERTY TYPE: SECURITIES 3,390.00				VAR 313.00	09/08/2009
380.00		8. AMGEN INCORPORATED PROPERTY TYPE: SECURITIES 468.00				02/09/2009 -88.00	04/08/2009
2,288.00		38. AMGEN INCORPORATED PROPERTY TYPE: SECURITIES 2,221.00				02/09/2009 67.00	07/08/2009

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
4,150.00		70. AMGEN INCORPORATED PROPERTY TYPE: SECURITIES 4,091.00					02/09/2009 59.00	09/08/2009
5,902.00		160. AON CORPORATION PROPERTY TYPE: SECURITIES 7,170.00					12/29/2008 -1,268.00	02/02/2009
2,895.00		46. APOLLO GROUP INC CL A PROPERTY TYPE: SECURITIES 3,774.00					01/14/2009 -879.00	03/16/2009
1,617.00		15. APPLE COMPUTER, INCORPORATED PROPERTY TYPE: SECURITIES 1,338.00					03/02/2009 279.00	03/25/2009
2,027.00		17. APPLE COMPUTER, INCORPORATED PROPERTY TYPE: SECURITIES 1,516.00					03/02/2009 511.00	04/09/2009
1,563.00		13. APPLE COMPUTER, INCORPORATED PROPERTY TYPE: SECURITIES 1,160.00					03/02/2009 403.00	04/13/2009
5,957.00		355. AQUA AMER INC PROPERTY TYPE: SECURITIES 7,088.00					12/29/2008 -1,131.00	09/08/2009
6,191.00		219. BJS WHOLESALE CLUB INC PROPERTY TYPE: SECURITIES 7,114.00					12/29/2008 -923.00	02/17/2009
6,175.00		316. BRISTOL-MYERS SQUIBB COMPANY PROPERTY TYPE: SECURITIES 6,980.00					02/02/2009 -805.00	03/11/2009
5,121.00		73. BURLINGTON NORTH SANTA FE CORP PROPERTY TYPE: SECURITIES 6,013.00					11/14/2008 -892.00	01/12/2009
4,769.00		460. CMS ENERGY CORPORATION PROPERTY TYPE: SECURITIES 5,322.00					VAR -553.00	02/23/2009



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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,723.00		79. CELGENE CORP COM PROPERTY TYPE: SECURITIES 3,705.00				11/21/2008 18.00	03/16/2009
7,483.00		590. CENTERPOINT ENERGY INC PROPERTY TYPE: SECURITIES 7,745.00				01/05/2009 -262.00	01/12/2009
6,355.00		131. CLOROX COMPANY PROPERTY TYPE: SECURITIES 7,075.00				12/29/2008 -720.00	01/26/2009
2,860.00		64. DOLLAR TREE, INC. PROPERTY TYPE: SECURITIES 2,727.00				01/26/2009 133.00	04/02/2009
44.00		1. DOLLAR TREE, INC. PROPERTY TYPE: SECURITIES 43.00				01/26/2009 1.00	04/08/2009
3,812.00		78. DOLLAR TREE, INC. PROPERTY TYPE: SECURITIES 3,301.00				VAR 511.00	09/08/2009
6,255.00		120. ENERGIZER HLDGS INC. PROPERTY TYPE: SECURITIES 5,465.00				VAR 790.00	02/09/2009
2,506.00		43. ENERGIZER HLDGS INC. PROPERTY TYPE: SECURITIES 2,139.00				03/31/2009 367.00	04/29/2009
5,910.00		91. ENERGIZER HLDGS INC. PROPERTY TYPE: SECURITIES 4,527.00				03/31/2009 1,383.00	09/08/2009
3,501.00		140. EQUIFAX INCORPORATED PROPERTY TYPE: SECURITIES 3,776.00				01/12/2009 -275.00	01/20/2009
3,447.00		140. EQUIFAX INCORPORATED PROPERTY TYPE: SECURITIES 3,776.00				01/12/2009 -329.00	01/21/2009

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
2,754.00		837. FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 8,328.00				04/05/2007 -5,574.00	04/08/2009
14,878.00		4362.9877 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 43,412.00				04/05/2007 -28,534.00	04/29/2009
17,227.00		5007.787 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 49,827.00				04/05/2007 -32,600.00	05/13/2009
7,336.00		2096. FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 19,678.00				VAR -12,342.00	05/19/2009
8,747.00		2506.216 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 21,077.00				12/10/2007 -12,330.00	05/27/2009
9,429.00		2626.385 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 22,088.00				12/10/2007 -12,659.00	06/15/2009
5,995.00		1665.287 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 13,693.00				VAR -7,698.00	07/01/2009
8,979.00		2543.4997 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 8,877.00				12/12/2008 102.00	07/13/2009
30.00		8.5003 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 65.00				04/18/2008 -35.00	07/13/2009
22,611.00		6263.299 FIRST CALIBER EQUITY TR CL PROPERTY TYPE: SECURITIES 21,859.00				12/12/2008 752.00	07/16/2009
5,078.00		40. FIRST SOLAR INC. PROPERTY TYPE: SECURITIES 5,698.00				01/15/2009 -620.00	03/16/2009

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
1,108.00		26. FLUOR CORP NEW PROPERTY TYPE: SECURITIES 1,077.00				12/05/2008 31.00	02/10/2009
3,693.00		96. FLUOR CORP NEW PROPERTY TYPE: SECURITIES 3,977.00				12/05/2008 -284.00	03/17/2009
1,674.00		39. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 1,367.00				03/11/2009 307.00	03/26/2009
323.00		8. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 280.00				03/11/2009 43.00	04/08/2009
1,046.00		23. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 806.00				03/11/2009 240.00	04/13/2009
650.00		16. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 561.00				03/11/2009 89.00	04/24/2009
1,442.00		22. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 771.00				03/11/2009 671.00	08/13/2009
1,497.00		22. FREEPORT MCMORAN C&G CL B PROPERTY TYPE: SECURITIES 771.00				03/11/2009 726.00	09/08/2009
3,792.00		152. GAMESTOP CORP. NEW CL A PROPERTY TYPE: SECURITIES 4,001.00				03/02/2009 -209.00	03/11/2009
3,355.00		60. GENERAL MILLS INCORPORATED PROPERTY TYPE: SECURITIES 3,522.00				12/29/2008 -167.00	02/17/2009
3,976.00		66. GENERAL MILLS INCORPORATED PROPERTY TYPE: SECURITIES 3,835.00				VAR 141.00	09/08/2009

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Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
324.00		14. IMMUCOR INC PROPERTY TYPE: SECURITIES 397.00					02/09/2009 -73.00	04/08/2009
910.00		58. IMMUCOR INC PROPERTY TYPE: SECURITIES 1,645.00					02/09/2009 -735.00	04/24/2009
2,581.00		166. IMMUCOR INC PROPERTY TYPE: SECURITIES 4,707.00					02/09/2009 -2,126.00	05/18/2009
2,329.00		37. INTERCONTINENTALEXCHANGE INC PROPERTY TYPE: SECURITIES 2,908.00					01/05/2009 -579.00	01/06/2009
2,348.00		72. ISHARES MSCI BRAZIL FREE INDEX FD PROPERTY TYPE: SECURITIES 6,762.00					VAR -4,414.00	01/20/2009
42.00		1. ISHARES MSCI BRAZIL FREE INDEX FD PROPERTY TYPE: SECURITIES 35.00					10/16/2008 7.00	04/08/2009
1,866.00		42. ISHARES MSCI BRAZIL FREE INDEX FD PROPERTY TYPE: SECURITIES 1,472.00					10/16/2008 394.00	04/16/2009
2,606.00		52. ISHARES MSCI BRAZIL FREE INDEX FD PROPERTY TYPE: SECURITIES 1,822.00					10/16/2008 784.00	05/18/2009
2,068.00		39. ISHARES MSCI BRAZIL FREE INDEX FD PROPERTY TYPE: SECURITIES 1,367.00					10/16/2008 701.00	07/15/2009
5,395.00		314. ISHARES INC MSCI CDA INDEX FD PROPERTY TYPE: SECURITIES 5,733.00					01/09/2009 -338.00	01/12/2009
3,041.00		128. ISHARES FTSE/XINHUA CHINA 25 INDEX PROPERTY TYPE: SECURITIES 3,717.00					VAR -676.00	01/20/2009

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
2,095.00		68. ISHARES FTSE/XINHUA CHINA 25 INDEX PROPERTY TYPE: SECURITIES 1,795.00				12/05/2008 300.00	04/02/2009
243.00		8. ISHARES FTSE/XINHUA CHINA 25 INDEX PROPERTY TYPE: SECURITIES 211.00				12/05/2008 32.00	04/08/2009
3,195.00		97. ISHARES FTSE/XINHUA CHINA 25 INDEX PROPERTY TYPE: SECURITIES 2,561.00				12/05/2008 634.00	04/16/2009
2,534.00		65. ISHARES FTSE/XINHUA CHINA 25 INDEX PROPERTY TYPE: SECURITIES 1,716.00				12/05/2008 818.00	07/15/2009
80.00		3. ISHARES MSCI EMERGING MKTS INDEX TR PROPERTY TYPE: SECURITIES 128.00				05/21/2007 -48.00	04/08/2009
242.00		9. ISHARES MSCI EMERGING MKTS INDEX TR PROPERTY TYPE: SECURITIES 383.00				05/21/2007 -141.00	04/08/2009
3,417.00		120. ISHARES MSCI EMERGING MKTS INDEX TR PROPERTY TYPE: SECURITIES 5,112.00				05/21/2007 -1,695.00	04/29/2009
6,075.00		180. ISHARES MSCI EAFE INDEX TR PROPERTY TYPE: SECURITIES 7,728.00				12/26/2008 -1,653.00	03/11/2009
226.00		4. ISHARES RUSSELL MIDCAP INDEX PROPERTY TYPE: SECURITIES 423.00				04/05/2007 -197.00	04/08/2009
2,872.00		40. ISHARES RUSSELL MIDCAP INDEX PROPERTY TYPE: SECURITIES 4,227.00				04/05/2007 -1,355.00	08/18/2009
1,834.00		173. ISHARES SILVER PROPERTY TYPE: SECURITIES 1,918.00				01/09/2009 -84.00	01/12/2009

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Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj. basis	Gain or (loss)	
2,230.00		172. ISHARES SILVER PROPERTY TYPE: SECURITIES 1,854.00				12/19/2008 376.00	02/10/2009
5,868.00		270. LINCARE HOLDINGS INC. PROPERTY TYPE: SECURITIES 6,789.00				02/09/2009 -921.00	03/31/2009
3,042.00		156. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES 3,219.00				01/26/2009 -177.00	04/02/2009
191.00		10. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES 206.00				01/26/2009 -15.00	04/08/2009
1,070.00		53. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES 1,094.00				01/26/2009 -24.00	04/13/2009
959.00		46. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES 949.00				01/26/2009 10.00	04/22/2009
1,662.00		84. LOWES COMPANIES INCORPORATED PROPERTY TYPE: SECURITIES 1,733.00				01/26/2009 -71.00	05/19/2009
1,140.00		28. MANTECH INTERNATIONAL CORP PROPERTY TYPE: SECURITIES 1,614.00				02/17/2009 -474.00	04/08/2009
3,131.00		84. MANTECH INTERNATIONAL CORP PROPERTY TYPE: SECURITIES 4,840.00				VAR -1,709.00	05/18/2009
6,386.00		214. MCAFEE INC PROPERTY TYPE: SECURITIES 6,328.00				02/23/2009 58.00	03/11/2009
4,588.00		90. MC DONALDS CORPORATION PROPERTY TYPE: SECURITIES 5,211.00				10/06/2008 -623.00	03/11/2009

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**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,761.00		67. MC DONALDS CORPORATION PROPERTY TYPE: SECURITIES 3,629.00				05/19/2009 132.00	09/08/2009
5,976.00		152. MOLSON COORS BREWING CO CL B PROPERTY TYPE: SECURITIES 7,178.00				12/29/2008 -1,202.00	02/02/2009
3,151.00		38. MONSANTO CO PROPERTY TYPE: SECURITIES 2,767.00				03/02/2009 384.00	04/09/2009
488.00		6. MONSANTO CO PROPERTY TYPE: SECURITIES 437.00				03/02/2009 51.00	04/24/2009
1,836.00		22. MONSANTO CO PROPERTY TYPE: SECURITIES 1,602.00				03/02/2009 234.00	09/08/2009
3,714.00		119. NATIONAL OILWELL VARCO PROPERTY TYPE: SECURITIES 2,931.00				03/05/2009 783.00	03/19/2009
216.00		7. NATIONAL OILWELL VARCO PROPERTY TYPE: SECURITIES 172.00				03/05/2009 44.00	04/08/2009
1,156.00		34. NATIONAL OILWELL VARCO PROPERTY TYPE: SECURITIES 838.00				03/05/2009 318.00	04/13/2009
584.00		19. NATIONAL OILWELL VARCO PROPERTY TYPE: SECURITIES 468.00				03/05/2009 116.00	04/24/2009
5,066.00		133. NATIONAL OILWELL VARCO PROPERTY TYPE: SECURITIES 4,040.00				VAR 1,026.00	09/08/2009
3,175.00		78. NEWMONT MINING CORPORATION PROPERTY TYPE: SECURITIES 3,441.00				01/26/2009 -266.00	02/09/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
3,600.00		79. NEWMONT MINING CORPORATION PROPERTY TYPE: SECURITIES 3,486.00				01/26/2009 114.00	09/08/2009
3,515.00		64. NIKE INCORPORATED CLASS B PROPERTY TYPE: SECURITIES 3,545.00				06/22/2009 -30.00	09/08/2009
6,075.00		682. 99 CENTS ONLY STORES PROPERTY TYPE: SECURITIES 7,190.00				12/29/2008 -1,115.00	01/21/2009
5,467.00		100. OCCIDENTAL PETROLEUM CORPORATION PROPERTY TYPE: SECURITIES 5,696.00				01/09/2009 -229.00	01/12/2009
7,650.00		281. OWENS ILL INC PROPERTY TYPE: SECURITIES 7,349.00				12/29/2008 301.00	01/05/2009
5,452.00		232. OWENS ILL INC PROPERTY TYPE: SECURITIES 5,751.00				01/09/2009 -299.00	01/12/2009
1,634.00		30. PANERA BREAD CO. PROPERTY TYPE: SECURITIES 1,468.00				03/11/2009 166.00	03/25/2009
55.00		1. PANERA BREAD CO. PROPERTY TYPE: SECURITIES 49.00				03/11/2009 6.00	04/08/2009
918.00		16. PANERA BREAD CO. PROPERTY TYPE: SECURITIES 783.00				03/11/2009 135.00	04/13/2009
1,075.00		17. PANERA BREAD CO. PROPERTY TYPE: SECURITIES 832.00				03/11/2009 243.00	04/22/2009
3,980.00		74. PANERA BREAD CO. PROPERTY TYPE: SECURITIES 3,659.00				VAR 321.00	09/08/2009



**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,836.00		54. PETROLEO BRASILEIRO SA ADR PROPERTY TYPE: SECURITIES 1,536.00		BR			03/11/2009	03/24/2009
							300.00	
205.00		6. PETROLEO BRASILEIRO SA ADR PROPERTY TYPE: SECURITIES 171.00		BR			03/11/2009	04/08/2009
							34.00	
965.00		27. PETROLEO BRASILEIRO SA ADR PROPERTY TYPE: SECURITIES 768.00		BR			03/11/2009	04/13/2009
							197.00	
674.00		20. PETROLEO BRASILEIRO SA ADR PROPERTY TYPE: SECURITIES 569.00		BR			03/11/2009	04/24/2009
							105.00	
4,791.00		112. PETROLEO BRASILEIRO SA ADR PROPERTY TYPE: SECURITIES 3,872.00		BR			VAR	09/08/2009
							919.00	
5,089.00		185. PINNACLE WEST CAPITAL CORPORATION PROPERTY TYPE: SECURITIES 6,243.00					VAR	02/23/2009
							-1,154.00	
632.00		25. POWERSHARES DB MULTI-SECTOR PROPERTY TYPE: SECURITIES 625.00					03/19/2009	06/30/2009
							7.00	
867.00		36. POWERSHARES DB MULTI-SECTOR PROPERTY TYPE: SECURITIES 900.00					03/19/2009	09/08/2009
							-33.00	
1,196.00		24. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 1,157.00					01/15/2009	02/12/2009
							39.00	
797.00		18. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 867.00					01/15/2009	02/18/2009
							-70.00	
1,772.00		39. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 1,879.00					01/15/2009	03/26/2009
							-107.00	

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,211.00		20. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 820.00					VAR 391.00	04/06/2009
125.00		2. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 80.00					03/11/2009 45.00	04/08/2009
2,204.00		35. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 1,392.00					03/11/2009 812.00	04/09/2009
1,411.00		22. RESEARCH IN MOTION PROPERTY TYPE: SECURITIES 875.00					03/11/2009 536.00	04/13/2009
7,786.00		85. S&P 500 DEPOSITARY RECEIPT (SPDR) PROPERTY TYPE: SECURITIES 10,322.00					07/15/2008 -2,536.00	01/02/2009
1,567.00		16. SPDR GOLD TRUST PROPERTY TYPE: SECURITIES 1,404.00					01/23/2009 163.00	02/20/2009
2,635.00		27. SPDR GOLD TRUST PROPERTY TYPE: SECURITIES 2,362.00					VAR 273.00	02/23/2009
174.00		2. SPDR GOLD TRUST PROPERTY TYPE: SECURITIES 171.00					12/30/2008 3.00	04/08/2009
3,912.00		40. SPDR GOLD TRUST PROPERTY TYPE: SECURITIES 3,244.00					VAR 668.00	09/08/2009
1,910.00		32. SHERWIN WILLIAMS COMPANY PROPERTY TYPE: SECURITIES 1,770.00					12/29/2008 140.00	01/09/2009
2,251.00		48. SHERWIN WILLIAMS COMPANY PROPERTY TYPE: SECURITIES 2,655.00					12/29/2008 -404.00	01/26/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
2,388.00		48. SHERWIN WILLIAMS COMPANY PROPERTY TYPE: SECURITIES 2,655.00				12/29/2008 -267.00	02/09/2009
5,958.00		34. STRAYER EDUCATION INC PROPERTY TYPE: SECURITIES 7,065.00				12/29/2008 -1,107.00	02/24/2009
1,698.00		96. UNITED STATES NATURAL GAS PROPERTY TYPE: SECURITIES 1,612.00				03/02/2009 86.00	03/23/2009
359.00		12. UNITED STS OIL FD LP UNITS PROPERTY TYPE: SECURITIES 442.00				12/12/2008 -83.00	04/08/2009
583.00		16. UNITED STS OIL FD LP UNITS PROPERTY TYPE: SECURITIES 589.00				12/12/2008 -6.00	06/03/2009
2,069.00		54. UNITED STS OIL FD LP UNITS PROPERTY TYPE: SECURITIES 1,918.00				VAR 151.00	06/30/2009
1,769.00		48. UNITED STS OIL FD LP UNITS PROPERTY TYPE: SECURITIES 1,427.00				01/22/2009 342.00	09/08/2009
1,973.00		84. UNITED STATES STEEL CORP PROPERTY TYPE: SECURITIES 1,636.00				03/20/2009 337.00	03/24/2009
280.00		12. UNITED STATES STEEL CORP PROPERTY TYPE: SECURITIES 234.00				03/20/2009 46.00	04/08/2009
257.00		9. UNITED STATES STEEL CORP PROPERTY TYPE: SECURITIES 175.00				03/20/2009 82.00	04/24/2009
1,469.00		32. UNITED STATES STEEL CORP PROPERTY TYPE: SECURITIES 623.00				03/20/2009 846.00	08/13/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
1,389.00		32. UNITED STATES STEEL CORP PROPERTY TYPE: SECURITIES 623.00					03/20/2009 766.00	09/08/2009
5,030.00		98. WAL MART STORES INCORPORATED PROPERTY TYPE: SECURITIES 5,630.00					10/06/2008 -600.00	09/08/2009
1,960.00		65. WASTE MGMT INC DEL COM STK PROPERTY TYPE: SECURITIES 1,997.00					12/29/2008 -37.00	08/21/2009
5,931.00		196. WASTE MGMT INC DEL COM STK PROPERTY TYPE: SECURITIES 5,881.00					VAR 50.00	09/08/2009
1,897.00		56. ACCENTURE LTD CL A PROPERTY TYPE: SECURITIES 1,763.00					12/29/2008 134.00	01/09/2009
956.00		28. ACCENTURE LTD CL A PROPERTY TYPE: SECURITIES 881.00					12/29/2008 75.00	01/13/2009
4,672.00		142. ACCENTURE LTD CL A PROPERTY TYPE: SECURITIES 4,469.00					12/29/2008 203.00	02/09/2009
3,268.00		49. PARTNERRE HOLDING LTD PROPERTY TYPE: SECURITIES 3,260.00					02/17/2009 8.00	04/29/2009
6,305.00		211. PLATINUM UNDERWRITERS HLDGS INC PROPERTY TYPE: SECURITIES 7,195.00					12/29/2008 -890.00	01/26/2009

**FORM 990-PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
TOTAL GAIN (LOSS) .....		.....					----- -122,921. =====	

**SCHEDULE D**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

► **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No. 1545-0092

**2009**

Name of estate or trust

A.K. STEPHENSON FND CHARITABLE TRUST AG

Employer identification number

31-1386444

**Note:** Form 5227 filers need to complete only Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>1a</b> SHORT-TERM CAPITAL GAIN DIVIDENDS		STMT 1			896.

<b>b</b> Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b . . . . .	<b>1b</b>	-9,170.
<b>2</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .	<b>2</b>	
<b>3</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>3</b>	
<b>4</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>4</b>	( )
<b>5</b> Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back . . . . .	<b>5</b>	-8,274.

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example: 100 shares 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
<b>6a</b> LONG-TERM CAPITAL GAIN DIVIDENDS		STMT 1			561.

<b>b</b> Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b . . . . .	<b>6b</b>	-115,208.
<b>7</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .	<b>7</b>	
<b>8</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .	<b>8</b>	
<b>9</b> Capital gain distributions . . . . .	<b>9</b>	
<b>10</b> Gain from Form 4797, Part I . . . . .	<b>10</b>	
<b>11</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet . . . . .	<b>11</b>	( )
<b>12</b> Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back . . . . .	<b>12</b>	-114,647.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

**Part III Summary of Parts I and II****Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
<b>13</b> Net short-term gain or (loss) . . . . .	<b>13</b>		-8,274.
<b>14</b> Net long-term gain or (loss):			
<b>a</b> Total for year . . . . .	<b>14a</b>		-114,647.
<b>b</b> Unrecaptured section 1250 gain (see line 18 of the wrksht.) . . . . .	<b>14b</b>		
<b>c</b> 28% rate gain . . . . .	<b>14c</b>		
<b>15</b> Total net gain or (loss). Combine lines 13 and 14a . . . . .	<b>15</b>		-122,921.

**Note:** If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

**Part IV Capital Loss Limitation**

<b>16</b> Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of:	<b>16</b>	( 3,000 )
<b>a</b> The loss on line 15, column (3) or <b>b</b> \$3,000 . . . . .		

**Note:** If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

**Caution:** Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

**Form 990-T trusts.** Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

<b>17</b> Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .	<b>17</b>	
<b>18</b> Enter the <b>smaller</b> of line 14a or 15 in column (2) but not less than zero . . . . .	<b>18</b>	
<b>19</b> Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .	<b>19</b>	
<b>20</b> Add lines 18 and 19 . . . . .	<b>20</b>	
<b>21</b> If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . . .	<b>21</b>	
<b>22</b> Subtract line 21 from line 20. If zero or less, enter -0- . . . . .	<b>22</b>	
<b>23</b> Subtract line 22 from line 17. If zero or less, enter -0- . . . . .	<b>23</b>	
<b>24</b> Enter the <b>smaller</b> of the amount on line 17 or \$2,300 . . . . .	<b>24</b>	
<b>25</b> Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> <b>Yes.</b> Skip lines 25 and 26; go to line 27 and check the "No" box. <input type="checkbox"/> <b>No.</b> Enter the amount from line 23 . . . . .	<b>25</b>	
<b>26</b> Subtract line 25 from line 24 . . . . .	<b>26</b>	
<b>27</b> Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> <b>Yes.</b> Skip lines 27 thru 30, go to line 31. <input type="checkbox"/> <b>No.</b> Enter the <b>smaller</b> of line 17 or line 22 . . . . .	<b>27</b>	
<b>28</b> Enter the amount from line 26 (If line 26 is blank, enter -0-) . . . . .	<b>28</b>	
<b>29</b> Subtract line 28 from line 27 . . . . .	<b>29</b>	
<b>30</b> Multiply line 29 by 15% (.15) . . . . .	<b>30</b>	
<b>31</b> Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>31</b>	
<b>32</b> Add lines 30 and 31 . . . . .	<b>32</b>	
<b>33</b> Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . .	<b>33</b>	
<b>34</b> Tax on all taxable income. Enter the <b>smaller</b> of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . .	<b>34</b>	

Schedule D (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2009**

Name of estate or trust

A.K. STEPHENSON FND CHARITABLE TRUST AG

Employer identification number

31-1386444

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 30. ALPHA NAT RES INC	03/20/2009	04/13/2009	574.00	578.00	-4.00
42. ALPHA NAT RES INC	03/20/2009	04/22/2009	788.00	809.00	-21.00
11. ALPHA NAT RES INC	03/20/2009	04/24/2009	224.00	212.00	12.00
90. ALPHA NAT RES INC	VAR	05/14/2009	2,414.00	1,729.00	685.00
22. AMAZON COM INC	03/11/2009	03/26/2009	1,635.00	1,487.00	148.00
12. AMAZON COM INC	03/11/2009	04/22/2009	967.00	811.00	156.00
11. AMAZON COM INC	03/11/2009	04/24/2009	946.00	743.00	203.00
46. AMAZON COM INC	VAR	09/08/2009	3,703.00	3,390.00	313.00
8. AMGEN INCORPORATED	02/09/2009	04/08/2009	380.00	468.00	-88.00
38. AMGEN INCORPORATED	02/09/2009	07/08/2009	2,288.00	2,221.00	67.00
70. AMGEN INCORPORATED	02/09/2009	09/08/2009	4,150.00	4,091.00	59.00
160. AON CORPORATION	12/29/2008	02/02/2009	5,902.00	7,170.00	-1,268.00
46. APOLLO GROUP INC CL A	01/14/2009	03/16/2009	2,895.00	3,774.00	-879.00
15. APPLE COMPUTER, INCORPORATED	03/02/2009	03/25/2009	1,617.00	1,338.00	279.00
17. APPLE COMPUTER, INCORPORATED	03/02/2009	04/09/2009	2,027.00	1,516.00	511.00
13. APPLE COMPUTER, INCORPORATED	03/02/2009	04/13/2009	1,563.00	1,160.00	403.00
355. AQUA AMER INC	12/29/2008	09/08/2009	5,957.00	7,088.00	-1,131.00
219. BJS WHOLESALE CLUB INC	12/29/2008	02/17/2009	6,191.00	7,114.00	-923.00
316. BRISTOL-MYERS SQUIBB COMPANY	02/02/2009	03/11/2009	6,175.00	6,980.00	-805.00
73. BURLINGTON NORTH SANTA FE CORP	11/14/2008	01/12/2009	5,121.00	6,013.00	-892.00
460. CMS ENERGY CORPORATION	VAR	02/23/2009	4,769.00	5,322.00	-553.00
79. CELGENE CORP COM	11/21/2008	03/16/2009	3,723.00	3,705.00	18.00
590. CENTERPOINT ENERGY INC	01/05/2009	01/12/2009	7,483.00	7,745.00	-262.00
131. CLOROX COMPANY	12/29/2008	01/26/2009	6,355.00	7,075.00	-720.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009



**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).  
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2009**

Name of estate or trust

A.K. STEPHENSON FND CHARITABLE TRUST AG

Employer identification number

31-1386444

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example. 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>1a</b> 64. DOLLAR TREE, INC.	01/26/2009	04/02/2009	2,860.00	2,727.00	133.00
1. DOLLAR TREE, INC.	01/26/2009	04/08/2009	44.00	43.00	1.00
78. DOLLAR TREE, INC.	VAR	09/08/2009	3,812.00	3,301.00	511.00
120. ENERGIZER HLDGS INC.	VAR	02/09/2009	6,255.00	5,465.00	790.00
43. ENERGIZER HLDGS INC.	03/31/2009	04/29/2009	2,506.00	2,139.00	367.00
91. ENERGIZER HLDGS INC.	03/31/2009	09/08/2009	5,910.00	4,527.00	1,383.00
140. EQUIFAX INCORPORATED	01/12/2009	01/20/2009	3,501.00	3,776.00	-275.00
140. EQUIFAX INCORPORATED	01/12/2009	01/21/2009	3,447.00	3,776.00	-329.00
2543.4997 FIRST CALIBER EQUITY TR CL	12/12/2008	07/13/2009	8,979.00	8,877.00	102.00
6263.299 FIRST CALIBER EQUITY TR CL	12/12/2008	07/16/2009	22,611.00	21,859.00	752.00
40. FIRST SOLAR INC.	01/15/2009	03/16/2009	5,078.00	5,698.00	-620.00
26. FLUOR CORP NEW	12/05/2008	02/10/2009	1,108.00	1,077.00	31.00
96. FLUOR CORP NEW	12/05/2008	03/17/2009	3,693.00	3,977.00	-284.00
39. FREEPORT MCMORAN C&G CL B	03/11/2009	03/26/2009	1,674.00	1,367.00	307.00
8. FREEPORT MCMORAN C&G CL B	03/11/2009	04/08/2009	323.00	280.00	43.00
23. FREEPORT MCMORAN C&G CL B	03/11/2009	04/13/2009	1,046.00	806.00	240.00
16. FREEPORT MCMORAN C&G CL B	03/11/2009	04/24/2009	650.00	561.00	89.00
22. FREEPORT MCMORAN C&G CL B	03/11/2009	08/13/2009	1,442.00	771.00	671.00
22. FREEPORT MCMORAN C&G CL B	03/11/2009	09/08/2009	1,497.00	771.00	726.00
152. GAMESTOP CORP. NEW CL A	03/02/2009	03/11/2009	3,792.00	4,001.00	-209.00
60. GENERAL MILLS INCORPORATED	12/29/2008	02/17/2009	3,355.00	3,522.00	-167.00
66. GENERAL MILLS INCORPORATED	VAR	09/08/2009	3,976.00	3,835.00	141.00
14. IMMUCOR INC	02/09/2009	04/08/2009	324.00	397.00	-73.00
58. IMMUCOR INC	02/09/2009	04/24/2009	910.00	1,645.00	-735.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2009**

Name of estate or trust

**A.K. STEPHENSON FND CHARITABLE TRUST AG**

Employer identification number

**31-1386444**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>1a</b> 166. IMMUCOR INC	02/09/2009	05/18/2009	2,581.00	4,707.00	-2,126.00
37. INTERCONTINENTALEXCHANGE INC	01/05/2009	01/06/2009	2,329.00	2,908.00	-579.00
72. ISHARES MSCI BRAZIL FREE INDEX FD	VAR	01/20/2009	2,348.00	6,762.00	-4,414.00
1. ISHARES MSCI BRAZIL FREE INDEX FD	10/16/2008	04/08/2009	42.00	35.00	7.00
42. ISHARES MSCI BRAZIL FREE INDEX FD	10/16/2008	04/16/2009	1,866.00	1,472.00	394.00
52. ISHARES MSCI BRAZIL FREE INDEX FD	10/16/2008	05/18/2009	2,606.00	1,822.00	784.00
39. ISHARES MSCI BRAZIL FREE INDEX FD	10/16/2008	07/15/2009	2,068.00	1,367.00	701.00
314. ISHARES INC MSCI CDA INDEX FD	01/09/2009	01/12/2009	5,395.00	5,733.00	-338.00
128. ISHARES FTSE/XINHUA CHINA 25 INDEX	VAR	01/20/2009	3,041.00	3,717.00	-676.00
68. ISHARES FTSE/XINHUA CHINA 25 INDEX	12/05/2008	04/02/2009	2,095.00	1,795.00	300.00
8. ISHARES FTSE/XINHUA CHINA 25 INDEX	12/05/2008	04/08/2009	243.00	211.00	32.00
97. ISHARES FTSE/XINHUA CHINA 25 INDEX	12/05/2008	04/16/2009	3,195.00	2,561.00	634.00
65. ISHARES FTSE/XINHUA CHINA 25 INDEX	12/05/2008	07/15/2009	2,534.00	1,716.00	818.00
180. ISHARES MSCI EAFE INDEX TR	12/26/2008	03/11/2009	6,075.00	7,728.00	-1,653.00
173. ISHARES SILVER	01/09/2009	01/12/2009	1,834.00	1,918.00	-84.00
172. ISHARES SILVER	12/19/2008	02/10/2009	2,230.00	1,854.00	376.00
270. LINCARE HOLDINGS INC.	02/09/2009	03/31/2009	5,868.00	6,789.00	-921.00
156. LOWES COMPANIES INCORPORATED	01/26/2009	04/02/2009	3,042.00	3,219.00	-177.00
10. LOWES COMPANIES INCORPORATED	01/26/2009	04/08/2009	191.00	206.00	-15.00
53. LOWES COMPANIES INCORPORATED	01/26/2009	04/13/2009	1,070.00	1,094.00	-24.00
46. LOWES COMPANIES INCORPORATED	01/26/2009	04/22/2009	959.00	949.00	10.00
84. LOWES COMPANIES INCORPORATED	01/26/2009	05/19/2009	1,662.00	1,733.00	-71.00
28. MANTECH INTERNATIONAL CORP	02/17/2009	04/08/2009	1,140.00	1,614.00	-474.00
84. MANTECH INTERNATIONAL CORP	VAR	05/18/2009	3,131.00	4,840.00	-1,709.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No. 1545-0092

**2009**

Name of estate or trust

**A.K. STEPHENSON FND CHARITABLE TRUST AG**

Employer identification number

**31-1386444**

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
<b>1a 214. MCAFEE INC</b>	02/23/2009	03/11/2009	6,386.00	6,328.00	58.00
90. MC DONALDS CORPORATION	10/06/2008	03/11/2009	4,588.00	5,211.00	-623.00
67. MC DONALDS CORPORATION	05/19/2009	09/08/2009	3,761.00	3,629.00	132.00
152. MOLSON COORS BREWING CO CL B	12/29/2008	02/02/2009	5,976.00	7,178.00	-1,202.00
38. MONSANTO CO	03/02/2009	04/09/2009	3,151.00	2,767.00	384.00
6. MONSANTO CO	03/02/2009	04/24/2009	488.00	437.00	51.00
22. MONSANTO CO	03/02/2009	09/08/2009	1,836.00	1,602.00	234.00
119. NATIONAL OILWELL VARCO	03/05/2009	03/19/2009	3,714.00	2,931.00	783.00
7. NATIONAL OILWELL VARCO	03/05/2009	04/08/2009	216.00	172.00	44.00
34. NATIONAL OILWELL VARCO	03/05/2009	04/13/2009	1,156.00	838.00	318.00
19. NATIONAL OILWELL VARCO	03/05/2009	04/24/2009	584.00	468.00	116.00
133. NATIONAL OILWELL VARCO	VAR	09/08/2009	5,066.00	4,040.00	1,026.00
78. NEWMONT MINING CORPORATION	01/26/2009	02/09/2009	3,175.00	3,441.00	-266.00
79. NEWMONT MINING CORPORATION	01/26/2009	09/08/2009	3,600.00	3,486.00	114.00
64. NIKE INCORPORATED CLASS B	06/22/2009	09/08/2009	3,515.00	3,545.00	-30.00
682. 99 CENTS ONLY STORES	12/29/2008	01/21/2009	6,075.00	7,190.00	-1,115.00
100. OCCIDENTAL PETROLEUM CORPORATION	01/09/2009	01/12/2009	5,467.00	5,696.00	-229.00
281. OWENS ILL INC	12/29/2008	01/05/2009	7,650.00	7,349.00	301.00
232. OWENS ILL INC	01/09/2009	01/12/2009	5,452.00	5,751.00	-299.00
30. PANERA BREAD CO.	03/11/2009	03/25/2009	1,634.00	1,468.00	166.00
1. PANERA BREAD CO.	03/11/2009	04/08/2009	55.00	49.00	6.00
16. PANERA BREAD CO.	03/11/2009	04/13/2009	918.00	783.00	135.00
17. PANERA BREAD CO.	03/11/2009	04/22/2009	1,075.00	832.00	243.00
74. PANERA BREAD CO.	VAR	09/08/2009	3,980.00	3,659.00	321.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

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OMB No 1545-0092

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<b>1a</b> 54. PETROLEO BRASILEIRO SA ADR BR	03/11/2009	03/24/2009	1,836.00	1,536.00	300.00
6. PETROLEO BRASILEIRO SA ADR BR	03/11/2009	04/08/2009	205.00	171.00	34.00
27. PETROLEO BRASILEIRO SA ADR BR	03/11/2009	04/13/2009	965.00	768.00	197.00
20. PETROLEO BRASILEIRO SA ADR BR	03/11/2009	04/24/2009	674.00	569.00	105.00
112. PETROLEO BRASILEIRO SA ADR BR	VAR	09/08/2009	4,791.00	3,872.00	919.00
185. PINNACLE WEST CAPITAL CORPORATION	VAR	02/23/2009	5,089.00	6,243.00	-1,154.00
25. POWERSHARES DB MULTI-SECTOR	03/19/2009	06/30/2009	632.00	625.00	7.00
36. POWERSHARES DB MULTI-SECTOR	03/19/2009	09/08/2009	867.00	900.00	-33.00
24. RESEARCH IN MOTION	01/15/2009	02/12/2009	1,196.00	1,157.00	39.00
18. RESEARCH IN MOTION	01/15/2009	02/18/2009	797.00	867.00	-70.00
39. RESEARCH IN MOTION	01/15/2009	03/26/2009	1,772.00	1,879.00	-107.00
20. RESEARCH IN MOTION	VAR	04/06/2009	1,211.00	820.00	391.00
2. RESEARCH IN MOTION	03/11/2009	04/08/2009	125.00	80.00	45.00
35. RESEARCH IN MOTION	03/11/2009	04/09/2009	2,204.00	1,392.00	812.00
22. RESEARCH IN MOTION	03/11/2009	04/13/2009	1,411.00	875.00	536.00
85. S&P 500 DEPOSITARY RECEIPT (SPDR)	07/15/2008	01/02/2009	7,786.00	10,322.00	-2,536.00
16. SPDR GOLD TRUST	01/23/2009	02/20/2009	1,567.00	1,404.00	163.00
27. SPDR GOLD TRUST	VAR	02/23/2009	2,635.00	2,362.00	273.00
2. SPDR GOLD TRUST	12/30/2008	04/08/2009	174.00	171.00	3.00
40. SPDR GOLD TRUST	VAR	09/08/2009	3,912.00	3,244.00	668.00
32. SHERWIN WILLIAMS COMPANY	12/29/2008	01/09/2009	1,910.00	1,770.00	140.00
48. SHERWIN WILLIAMS COMPANY	12/29/2008	01/26/2009	2,251.00	2,655.00	-404.00
48. SHERWIN WILLIAMS COMPANY	12/29/2008	02/09/2009	2,388.00	2,655.00	-267.00
34. STRAYER EDUCATION INC	12/29/2008	02/24/2009	5,958.00	7,065.00	-1,107.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . .

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Schedule D-1 (Form 1041) 2009

**SCHEDULE D-1**  
**(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Continuation Sheet for Schedule D**  
**(Form 1041)**

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

**2009**

Name of estate or trust

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(a) Description of property (Example: 100 sh. 7% preferred of "Z" Co.)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 96. UNITED STATES NATURAL GAS	03/02/2009	03/23/2009	1,698.00	1,612.00	86.00
12. UNITED STS OIL FD LP UNITS	12/12/2008	04/08/2009	359.00	442.00	-83.00
16. UNITED STS OIL FD LP UNITS	12/12/2008	06/03/2009	583.00	589.00	-6.00
54. UNITED STS OIL FD LP UNITS	VAR	06/30/2009	2,069.00	1,918.00	151.00
48. UNITED STS OIL FD LP UNITS	01/22/2009	09/08/2009	1,769.00	1,427.00	342.00
84. UNITED STATES STEEL CORP	03/20/2009	03/24/2009	1,973.00	1,636.00	337.00
12. UNITED STATES STEEL CORP	03/20/2009	04/08/2009	280.00	234.00	46.00
9. UNITED STATES STEEL CORP	03/20/2009	04/24/2009	257.00	175.00	82.00
32. UNITED STATES STEEL CORP	03/20/2009	08/13/2009	1,469.00	623.00	846.00
32. UNITED STATES STEEL CORP	03/20/2009	09/08/2009	1,389.00	623.00	766.00
98. WAL MART STORES INCORPORATED	10/06/2008	09/08/2009	5,030.00	5,630.00	-600.00
65. WASTE MGMT INC DEL COM STK	12/29/2008	08/21/2009	1,960.00	1,997.00	-37.00
196. WASTE MGMT INC DEL COM STK	VAR	09/08/2009	5,931.00	5,881.00	50.00
56. ACCENTURE LTD CL A	12/29/2008	01/09/2009	1,897.00	1,763.00	134.00
28. ACCENTURE LTD CL A	12/29/2008	01/13/2009	956.00	881.00	75.00
142. ACCENTURE LTD CL A	12/29/2008	02/09/2009	4,672.00	4,469.00	203.00
49. PARTNERRE HOLDING LTD	02/17/2009	04/29/2009	3,268.00	3,260.00	8.00
211. PLATINUM UNDERWRITERS HLDGS INC	12/29/2008	01/26/2009	6,305.00	7,195.00	-890.00

**1b Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b **-9,170.00**

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009



## FEDERAL CAPITAL GAIN DIVIDENDS

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## SHORT-TERM CAPITAL GAIN DIVIDENDS

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FIDELITY CONTRAFUND	148.00
ISHARES LEHMAN 1-3 YEAR TREASURY	74.00
PIMCO TOTAL RETURN INST.	674.00

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TOTAL SHORT-TERM CAPITAL GAIN DIVIDENDS

896.00

=====

## LONG-TERM CAPITAL GAIN DIVIDENDS

-----

## 15% RATE CAPITAL GAIN DIVIDENDS

ISHARES LEHMAN 1-3 YEAR TREASURY	373.00
PIMCO TOTAL RETURN INST.	187.00

-----

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

561.00

-----

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

561.00

=====

## FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
SOMERVILLE CD#65790	1,838.	1,838.
FIRST FDS ELITE MONEY MARKET TR CL	100.	100.
TOTAL	1,938.	1,938.



## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AIM MID CAP CORE EQUITY	104.	104.
SOMERVILLE CD#65790	618.	618.
AON CORPORATION	24.	24.
AQUA AMER INC	144.	144.
BUFFALO SMALL CAP GROWTH	18.	18.
BURLINGTON NORTH SANTA FE CORP	36.	36.
CMS ENERGY CORPORATION	58.	58.
CLOROX COMPANY	60.	60.
DODGE & COX INCOME FUND	3,100.	3,100.
FIDELITY CONTRAFUND	171.	171.
FIRST FUNDS CALIBER EQUITY TR CL	335.	335.
FLUOR CORP NEW	12.	12.
GENERAL MILLS INCORPORATED	109.	109.
HARBOR INTERNATIONAL FUND	269.	269.
ISHARES MSCI AUSTRALIA FUND	241.	241.
ISHARES MSCI AUSTRIA INDEX	12.	12.
ISHARES INC MSCI BELGIUM INDEX	10.	10.
ISHARES MSCI BRAZIL FREE INDEX FD	479.	479.
ISHARES MSCI SINGAPORE INDEX FD	26.	26.
ISHARES INC MSCI UK INDEX	330.	330.
ISHARES INC MSIC FRANCE INDEX	168.	168.
ISHARES INC MSCI SWITZ INDEX	24.	24.
ISHARES INC MSCI SWEDEN INDEX	2.	2.
ISHARES INC MSCI SPAIN INDEX	182.	182.
ISHARES MSCI GERMANY INDEX	25.	25.
ISHARES INC MSCI NETH INDEX	57.	57.
ISHARES INC MSIC ITALY INDEX	86.	86.
ISHARES MSCI HONG KONG INDEX FUND	34.	34.
ISHARE FTSE/XINHUA CHINA	153.	153.
ISHARES MSCI EMERGING MKTS INDEX TR	521.	521.
ISHARES TR S&P/TOPIX 150 INDEX	53.	53.
ISHARES LEHMAN 1-3 YEAR TREASURY	1,238.	1,238.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ISHARES MSCI EAFE INDEX TR	1,149.	1,149.
ISHARES TR RUSSELL MIDCAP INDEX FD	127.	127.
LOWES COMPANIES INCORPORATED	11.	11.
MC DONALDS CORPORATION	112.	112.
MONSANTO CO	23.	23.
NEWMONT MINING CORPORATION	24.	24.
NIKE INCORPORATED CLASS B	16.	16.
PIMCO TOTAL RETURN INST.	2,957.	2,957.
PETROLEO BRASILEIRO SA	70.	70.
S&P 500 DEPOSITARY RECEIPT (SPDR)	1,146.	1,146.
STRAYER EDUCATION INC	17.	17.
UNION PACIFIC CORPORATION	37.	37.
UNITED STATES STEEL CORP	6.	6.
VANGUARD INTERMEDIATE-TERM BD INDEX FD 1	4,571.	4,571.
WAL MART STORES INCORPORATED	114.	114.
WASTE MGMT INC DEL COM STK	200.	200.
PARTNERRE HOLDING LTD	23.	23.
	-----	-----
TOTAL	19,302.	19,302.
	=====	=====

FORM 990PF, PART I - OTHER INCOME  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
TOTALS	7,250. =====	7,250. =====

FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	650.	130.		520.
TOTALS	650.	130.	NONE	520.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
OTHER NON-ALLOCABLE EXPENSE -	200.	200.
	-----	-----
TOTALS	200.	200.
	=====	=====

# Account Holdings As Of

Filtered By : Selected Accounts

Sorted By : Account Number

Date Run : 03/12/2010

Account Name : A.K. Stephenson Fnd Charitable Trust AG

As Of : 12/31/2009

Time Printed : 2:18:13 PM

Account Number : 537147

Shares	Asset Description	Cost	Market	Unr Gain Loss	Est Ann Income	Yield
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Prices As Of : 12/31/2009

## Corporate Mutual Fund

6,666.84	Dodge & Cox Income Fund	85,000.00	86,402.25	1,402.25	4,513.45	5.22%
7,756.464	Pimco Total Return Inst.	85,000.00	83,769.81	-1,230.19	4,716.95	5.63%
9,408.012	Vanguard Intermediate-Term Bond Index Fd 1350	100,000.00	100,853.89	853.89	4,570.74	4.53%

## Sub Total

## Stock Mutual Funds

1,472	Aim Mid Cap Core Equity - I	30,000.00	32,001.28	2,001.28	103.63	0.32%
655.935	Buffalo Small Cap Growth	15,000.00	14,745.42	-254.58	17.60	0.12%
1,745.266	Fidelity Contrafund	95,000.00	101,714.10	6,714.10	197.22	0.19%
381.33	Harbor International Institutional Fund	20,000.00	20,923.58	923.58	268.78	1.28%
572.427	Neuberger & Berman Genesis Inv CL	15,000.00	15,661.60	661.60	0.00	0.00%
3,425.467	Sound Shore Fund	95,000.00	97,899.85	2,899.85	743.34	0.76%

## Sub Total

## Exchange Traded Funds - Fixed

750	iShares Lehman 1-3 Year Treasury	60,290.03	62,220.00	1,929.97	1,050.00	1.69%
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## Sub Total

## Exchange Traded Funds - Equity

147	iShares FTSE/Xinhua China 25 Index	3,695.00	6,212.22	2,517.22	65.31	1.05%
110	iShares MSCI Brazil Free Index Fd	3,555.59	8,207.10	4,651.51	24.46	0.30%
1,216	iShares MSCI EAFE Index Tr	51,303.86	67,220.48	15,916.62	1,752.16	2.61%
965	iShares MSCI Emerging Mkts Index Tr	26,362.63	40,047.50	13,684.87	23.18	0.06%
82	iShares Russell Midcap Index	8,665.49	6,765.82	-1,899.67	133.69	1.98%
1,005	S&P 500 Depositary Receipts (Spdr)	91,598.56	111,997.20	20,398.64	2,372.56	2.12%

## Sub Total

## Cash Equivalents

12,006.83	Northern Institutional Government Select Fd	12,006.83	12,006.83	0.00	2.62	0.02%
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# Account Holdings As Of

Filtered By : Selected Accounts  
Sorted By : Account Number

Date Run : 03/12/2010

Account Name : A.K. Stephenson Fnd Charitable Trust AG

As Of : 12/31/2009

Time Printed : 2:18:13 PM

Account Number : 537147

Shares	Asset Description	Cost	Market	Unr Gain Loss	Est Ann Income	Yield
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Prices As Of : 12/31/2009

<i>Sub Total</i>		\$ 12,006.83	12,006.83	0.00	2.62	0.02%
<i>Grand Total</i>		\$ 797,477.99	868,648.93	71,170.94	20,555.69	2.37%

*Principal Cash:* 967,137.26

*Income Cash:* -967,103.84

*Invested Income:* 0.00

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====DESCRIPTION  
-----AMOUNT  
-----

ROUNDING

3.

TOTAL

-----  
3.  
=====



-----  
STATE(S) WHERE THE FOUNDATION IS REGISTERED  
=====

OH

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

THOMAS HUMBACH

ADDRESS:

308 N SECOND ST.  
HAMILTON, OH 45011

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 24,700.

OFFICER NAME:

FIRST FINANCIAL BANK

ADDRESS:

300 HIGH ST., WRG  
HAMILTON, OH 45011

TITLE:

TTEE AGT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

COMPENSATION ..... 11,139.

TOTAL COMPENSATION:

35,839.

=====

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

=====

EMPLOYEE NAME:

NONE

A.K. STEPHENSON FND CHARITABLE TRUST AG

31-1386444

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

=====

NAME:

NONE

STATEMENT 13

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537147

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## AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

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MONTH -----	LINE 1a-FMV SECURITIES -----	LINE 1b-FMV CASH BALANCES -----	LINE 1c-FMV OTHER ASSETS -----
JANUARY	422,879.		
FEBRUARY	420,107.		
MARCH	422,964.		
APRIL	425,998.	-200.	
MAY	428,615.		
JUNE	436,983.		
JULY	442,173.		
AUGUST	429,512.		
SEPTEMBER	845,523.		
OCTOBER	834,549.		
NOVEMBER	863,345.		
DECEMBER	868,649.	33.	
	-----	-----	-----
TOTAL	6,841,297.	-167.	
	=====	=====	=====
AVERAGE FMV	570,108.	-84.	
	=====	=====	=====

A.K. STEPHENSON FND CHARITABLE TRUST AG  
FORM 990PF, PART XV - LINES 2a - 2d  
=====

31-1386444

RECIPIENT NAME:  
THOMAS HUMBACH, C/O FIRST FINANCIAL BANK  
ADDRESS:  
300 HIGH STREET, WRG  
HAMILTON, OH 45011  
RECIPIENT'S PHONE NUMBER: 513-867-4818  
FORM, INFORMATION AND MATERIALS:  
SEE ATTACHED  
SUBMISSION DEADLINES:  
APRIL 1, 2007  
RESTRICTIONS OR LIMITATIONS ON AWARDS:  
NONE

STATEMENT 15

# **A. K. STEPHENSON FOUNDATION CHARITABLE TRUST**

## **Instructions for Completing Application for Scholarship Grant**

Please find attached a brief application for a scholarship grant from the A. K. Stephenson Foundation Charitable Trust. All questions must be answered if applicable to the student responding. Incomplete applications may not be considered.

Required attachments include a recent photograph (non-returnable, approximate 2" x 3"), A transcript of grades from High School and College or University studies completed and personal essay required by Section 5 of the application.

**DEADLINE:      APRIL 1, 2009**

**APPLICATION FOR SCHOLARSHIP  
A. K. STEPHENSON FOUNDATION CHARITABLE TRUST**

Notice that you must sign the Release of Information on the next page.

**PART 1. GENERAL INFORMATION**

This section of the application, in addition to collecting routine information, is used to identify you for scholarships with specific criteria, such as major, class, etc. Please respond to all items.

1. Social Security number _____	2. Date of Birth _____
3. Legal name(Please leave spaces between names) _____	
Last First Initial	
3.A) Name of Parent(s) or Guardian _____	
4. Permanent home address _____	
Number and Street	
City _____	State _____ ZIP _____ Home Telephone _____
5. Enrollment during previous school year	
___(1) Full academic year (Aug-May)	
___(2) First semester only	
___(3) Second semester only	
6. High School of graduation	
Name of School _____	
Number and Street _____	
City _____ State _____ ZIP _____	
Date of graduation: _____	
Month Year	
7. School or division anticipated	
___(A) Art and Science	
___(B) Business	
___(E) Education and Allied Professions	
___(F) Fine Arts	
___(3) Applied Science	
___(W) Interdisciplinary Studies	
___(O) Other	
8. Anticipated date of graduation from College/University	
Month _____ Year _____	
9. Major subject or area	
_____ (as reported to office of admissions and registrar)	
10. College or University to be attended	
_____	
11. Student status during the most recent school year	
___(1) Full-time (12 hours per semester)	
___(2) ¾ time (9-11 hours per semester)	
___(3) ½ time (6-8 hours per semester)	
___(4) High School	
(Please note: Preference is given to full-time, full-year students. Students enrolled less than 6 hours are not eligible for these awards.)	
12. Your college class standing as of August of current year	
___(1) Entering Freshman	___(4) Junior
___(2) Returning Freshman	___(5) Senior
___(3) Sophomore	___(6) Fifth-year undergraduate
13. List all grants and scholarships applied for and received for the forthcoming school year. (Failure to accurately list grants and scholarships may delay application processing.)	
Applied for and amount _____	
Received and amount _____	

Return completed application to: **A. K. Stephenson Foundation Charitable Trust**

Thomas E. Humbach  
723 Dayton Street  
Hamilton, Ohio 45011



**SIGNATURE REQUIRED**

It may be necessary to share information about you to groups outside the Foundation if they are helping to select scholarship winners. When this occurs, we need your permission to do so. To give us that permission, please sign the statement below:

"I, \_\_\_\_\_, approve the release of my scholarship application information and recommendations, academic record, and appropriate financial aid information to non-Foundation groups for the purpose of further consideration for scholarship assistance."

Signature

Date \_\_\_\_\_

## PART 2. Personal Merit

Please type your responses to items 1 through 5 of this form on separate 8 1/2 x 11 pages. Item 5, the personal essay, must be prepared on separate pages and attached to the application. Please label your items clearly by item number and with your name and Social Security number if they are on separate pages.

Name \_\_\_\_\_ Date \_\_\_\_\_

High School or College class as of August current year \_\_\_\_\_ Major \_\_\_\_\_

Entering first-year students: Approximate size of your high school graduating class \_\_\_\_\_

1 List your major community, high school, or college activities/honors by class year, if you are a current college student, limit this to university items unless you are a first-year student. In your first year at college, you may wish to list your most significant high school accomplishments.

**Example:** Senior Year/High School - Student Council, Vice President  
Selected to attend Governor's Youth Conference  
First prize for poetry in Scholastic Magazine Competition

[illegible]

2. What has been your most challenging, exciting, or enriching intellectual experience to date? Why?

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3. What are your educational and professional long-term goals?

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4. If you have had interesting work experience and/or time committed to work has been significant and limited pursuit of other interests, please comment briefly.

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5. **PERSONAL ESSAY:** A major portion of your points for personal merit will come from your personal essay. Write a well-developed, focused essay of approximately 300 words which tells the reviewers anything else about yourself not covered in other sections of the application. Or, comment on an experience that helped you discern or define a value you hold. Non-traditional students may find this section more appropriate for presenting their personal information than previous items. This may be the same essay you submit for admission consideration.

**Please type your essay on separate 8 1/2 x 11 pages and attach to this application.  
Put your name and Social Security number on each page.**