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Form **990-EZ**

Department of the Treasury
Internal Revenue Service

Short Form
Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990 All other organizations with gross receipts less than $500,000 and total assets less than $1,250,000 at the end of the year may use this form

▶ *The organization may have to use a copy of this return to satisfy state reporting requirements*

OMB No 1545-1150

2009

Open to Public Inspection

A For the 2009 calendar year, or tax year beginning , 2009, and ending

B Check if applicable: ☐ Address change ☐ Name change ☐ Initial return ☐ Termination ☐ Amended return ☐ Application pending

Please use IRS label or print or type. See Specific Instructions

C Name of organization: UPPER ARLINGTON EDUCATION FOUNDATION

Number and street (or P O box, if mail is not delivered to street address): 1950 N MALLWAY DRIVE

Room/suite:

City or town, state or country, and ZIP + 4: COLUMBUS, OH 43221-4326

D Employer identification number: 31-1156964

E Telephone number EXT 120: (614) 487-5007

F Group Exemption Number ▶

- *Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).*

G Accounting method [X] Cash [ ] Accrual Other (specify) ▶

H Check ▶ [ ] if the organization is **not** required to attach Schedule B (Form 990, 990-EZ, or 990-PF)

I Website: ▶ UPPERARLINGTONEDUCATIONFOUNDATION.COM

J Tax-exempt status (check only one) - [X] 501(c) (3) ◀ (insert no) [ ] 4947(a)(1) or [ ] 527

K Check ▶ [ ] if the organization is not a section 509(a)(3) supporting organization **and** its gross receipts are normally **not** more than $25,000 A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts, if $500,000 or more, file Form 990 instead of Form 990-EZ . . ▶ $ 158,467.

Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

Revenue	1	Contributions, gifts, grants, and similar amounts received	1	152,056.
	2	Program service revenue including government fees and contracts	2	
	3	Membership dues and assessments	3	
	4	Investment income ATCH 1	4	18,331.
	5a	Gross amount from sale of assets other than inventory 5a		
	5b	Less cost or other basis and sales expenses 5b		
	5c	Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	-58,096.
	6	Special events and activities (complete applicable parts of Schedule G). If any amount is from gaming, check here ▶ ☐		
	6a	Gross revenue (not including $ of contributions reported on line 1) 6a 46,176.		
	6b	Less direct expenses other than fundraising expenses 6b 21,151.		
	6c	Net income or (loss) from special events and activities (Subtract line 6b from line 6a) ATCH 2	6c	25,025.
	7a	Gross sales of inventory, less returns and allowances 7a		
	7b	Less cost of goods sold 7b		
	7c	Gross profit or (loss) from sales of inventory (Subtract line 7b from line 7a)	7c	
	8	Other revenue (describe ▶)	8	
	9	**Total revenue.** Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8 ▶	9	137,316.
Expenses	10	Grants and similar amounts paid (attach schedule) ATCH 3	10	172,079.
	11	Benefits paid to or for members	11	
	12	Salaries, other compensation, and employee benefits	12	24,543.
	13	Professional fees and other payments to independent contractors	13	
	14	Occupancy, rent, utilities, and maintenance	14	
	15	Printing, publications, postage, and shipping	15	2,679.
	16	Other expenses (describe ▶ ATCH 4)	16	40,668.
	17	**Total expenses.** Add lines 10 through 16 ▶	17	239,969.
Net Assets	18	Excess or (deficit) for the year (Subtract line 17 from line 9)	18	-102,653.
	19	Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	19	867,682.
	20	Other changes in net assets or fund balances (attach explanation) ATCH 5	20	200,326.
	21	Net assets or fund balances at end of year Combine lines 18 through 20 ▶	21	965,355.

Part II Balance Sheets. If Total assets on line 25, column (B) are $1,250,000 or more, file Form 990 instead of Form 990-EZ

(See the instructions for Part II)		(A) Beginning of year		(B) End of year
22	Cash, savings, and investments ATCH 6	867,682.	22	965,355.
23	Land and buildings		23	
24	Other assets (describe ▶)		24	
25	**Total assets**	867,682.	25	965,355.
26	**Total liabilities** (describe ▶)		26	
27	**Net assets or fund balances** (line 27 of column (B) must agree with line 21)	867,682.	27	965,355.

Part III Statement of Program Service Accomplishments (See the instructions for Part III)

What is the organization's primary exempt purpose? ATCH 7

Describe what was achieved in carrying out the organization's exempt purposes In a clear and concise manner, describe the services provided, the number of persons benefited, and other relevant information for each program title

Expenses (Required for section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts, optional for others)

28	ATTACHMENT 8	28a	228,713.
	(Grants $ 172,079.) If this amount includes foreign grants, check here ▶ ☐		
29	ATTACHMENT 9	29a	11,256.
	(Grants $) If this amount includes foreign grants, check here ▶ ☐		
30		30a	
	(Grants $) If this amount includes foreign grants, check here ▶ ☐		
31	Other program services (attach schedule)		
	(Grants $) If this amount includes foreign grants, check here ▶ ☐	31a	
32	**Total program service expenses** (add lines 28a through 31a) ▶	32	239,969.

Part IV List of Officers, Directors, Trustees, and Key Employees. List each one even if not compensated (See the instructions for Part IV)

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-.)	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
ATTACHMENT 10		24,543.	-0-	-0-

Part V Other Information (Note the statement requirements in the instructions for Part V)

			Yes	No
33	Did the organization engage in any activity not previously reported to the IRS? If "Yes," attach a detailed description of each activity	33		X
34	Were any changes made to the organizing or governing documents? If "Yes," attach a conformed copy of the changes	34		X
35	If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but **not** reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.			
a	Did the organization have unrelated business gross income of $1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	35a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	35b		
36	Did the organization undergo a liquidation, dissolution, termination, or significant dosposition of net assets during the year? If "Yes," complete applicable parts of Schedule N	36		X
37a	Enter amount of political expenditures, direct or indirect, as described in the instructions ▶ 37a			
b	Did the organization file **Form 1120-POL** for this year?	37b		X
38a	Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee **or** were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	38a		X
b	If "Yes," complete Schedule L, Part II and enter the total amount involved 38b			
39	Section 501(c)(7) organizations Enter:			
a	Initiation fees and capital contributions included on line 9 39a			
b	Gross receipts, included on line 9, for public use of club facilities 39b			
40a	Section 501(c)(3) organizations Enter amount of tax imposed on the organization during the year under: section 4911 ▶ _____ ; section 4912 ▶ _____ ; section 4955 ▶ _____			
b	Section 501(c)(3) and 501(c)(4) organizations Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	40b		X
c	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ▶ _____			
d	Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ▶ _____			
e	All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If "Yes," complete Form 8886-T	40e		X
41	List the states with which a copy of this return is filed. ▶ OH,			
42a	The organization's books are in care of ▶ JOANIE DUGGER Telephone no. ▶ 614-487-5007			
	Located at ▶ 1950 N MALLWAY DRIVE COLUMBUS, OH ZIP + 4 ▶ 43221-2326			
b	At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	42b		X
	If "Yes," enter the name of the foreign county: ▶ _____			
	See the instructions for exceptions and filing requirements for **Form TD F 90-22.1, Report of Foreing Bank and Financial Accounts.**			
c	At any time during the calendar year, did the organization maintain an office outside of the U.S.?	42c		X
	If "Yes," enter the name of the foreign country: ▶ _____			
43	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the tax year ▶ 43			
44	Did the organization maintain any donor advised funds? If "Yes," Form 990 must be completed instead of Form 990-EZ	44		X
45	Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If "Yes," Form 990 must be completed instead of Form 990-EZ	45		X

Part VI **Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

			Yes	No
46	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	46		X
47	Did the organization engage in lobbying activities? If "Yes," complete Schedule C, Part II	47		X
48	Is the organization a school as described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	48		X
49a	Did the organization make any transfers to an exempt non-charitable related organization?	49a		X
b	If "Yes," was the related organization a section 527 organization?	49b		

50 Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than $100,000 of compensation from the organization If there is none, enter "None "

(a) Name and address of each employee paid more than $100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans & deferred compensation	(e) Expense account and other allowances
NONE				

f Total number of other employees paid over $100,000 ▶ NONE

51 Complete this table for the organization's five highest compensated independent contractors who each received more than $100,000 of compensation from the organization. If there is none, enter "None."

(a) Name and address of each independent contractor paid more than $100,000	(b) Type of service	(c) Compensation
NONE		

d Total number of other independent contractors receiving over $100,000 ▶ NONE

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

▶ Signature of officer Michael F. Drennen, Treasurer Date 10/6/10

▶ Type or print name and title Michael F. Drennen, Treasurer

Paid Preparer's Use Only

Preparer's signature ▶ Date 8/11/10 Check if self-employed ▶ ☐ Preparer's identifying number (See instructions) P00077514

Firm's name (or yours if self-employed), address, and ZIP + 4 ▶ CBIZ MHM, LLC, 5450 FRANTZ ROAD, SUITE 300 DUBLIN, OH 43016-4141 EIN ▶ 34-1513062 Phone no ▶ 614-793-4501

May the IRS discuss this return with the preparer shown above? See instructions ▶ ☒ Yes ☐ No

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**

OMB No 1545-0047

2009

Open to Public Inspection

Name of the organization	**Employer identification number**
UPPER ARLINGTON EDUCATION FOUNDATION	31-1156964

Part I **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives (1) more than 33 1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Other

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . . . ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)		
(ii)	A family member of a person described in (i) above?	11g(ii)		
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)		

h *Provide the following information about the supported organization(s).*

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the US?		(vii) Amount of support
			Yes	No	Yes	No	Yes	No	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")		428,710	165,120	291,165	152,056	1,037,051
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 **Total.** Add lines 1 through 3		428,710	165,120	291,165	152,056	1,037,051
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 **Public support.** Subtract line 5 from line 4						1,037,051

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4		428,710	165,120	291,165	152,056	1,037,051
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources		87,735	43,904	24,410.	18,331	174,380
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 **Total support.** Add lines 7 through 10						1,211,431.

12 Gross receipts from related activities, etc (see instructions) 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . . . ▶ ☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f)) . . . . . 14 85.61 %

15 Public support percentage from 2008 Schedule A, Part II, line 14 . . . . . 15 81.85 %

16a **33 1/3% support test - 2009.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☒

b **33 1/3% support test - 2008.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . . . ▶ ☐

17a **10%-facts-and-circumstances test - 2009.** If the organization did not check a box on line 13, 16a or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization . . . . . ▶ ☐

b **10%-facts-and-circumstances test - 2008.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization . . . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b *Unrelated business taxable income (less* section 511 taxes) from businesses acquired after June 30, 1975						
c *Add lines 10a and 10b*						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	*Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))*	15	%
16	Public support percentage from 2008 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2009** (line 10c, column (f) divided by line 13, column (f))	17	%
18	Investment income percentage from **2008** Schedule A, Part III, line 17	18	%

19a **33 1/3% support tests - 2009.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3 %, and line 17 is not more than 33 1/3 %, check this box and **stop here** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests - 2008.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3 %, and line 18 is not more than 33 1/3 %, check this box and **stop here** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Complete this part to provide the explanation required by Part II, line 10; Part II, line 17a or 17b; or Part III, line 12. Provide any other additional information. See instructions

SCHEDULE G
(Form 990 or 990-EZ)
Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2009
Open To Public Inspection

Name of the organization: UPPER ARLINGTON EDUCATION FOUNDATION
Employer identification number: 31-1156964

Part I **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply

a ☐ Mail solicitations
b ☐ Internet and email solicitations
c ☐ Phone solicitations
d ☐ In-person solicitations
e ☐ Solicitation of non-government grants
f ☐ Solicitation of government grants
g ☐ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	(iii) No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
Total ▶						

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing.

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 on Form 990-EZ, line 6a. List events with gross receipts greater than $5,000.

		(a) Event #1 DINNER/AUCTION (event type)	(b) Event #2 (event type)	(c) Other Events 0 (total number)	(d) Total events (add col (a) through col (c))
Revenue	1 Gross receipts	82,751.			82,751.
	2 Less Charitable contributions	36,575.			36,575.
	3 Gross income (line 1 minus line 2)	46,176.			46,176.
Direct Expenses	4 Cash prizes				
	5 Noncash prizes				
	6 Rent/facility costs				
	7 Food and beverages	11,255.			11,255.
	8 Entertainment	1,400.			1,400.
	9 Other direct expenses	8,496.			8,496.
	10 Direct expense summary. Add lines 4 through 9 in column (d) ▶				(21,151.)
	11 Net income summary Combine line 3, column (d), and line 10 ▶				25,025.

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col (c))
Revenue	1 Gross revenue				
Direct Expenses	2 Cash prizes				
	3 Noncash prizes				
	4 Rent/facility costs				
	5 Other direct expenses				
	6 Volunteer labor	Yes ____% No	Yes ____% No	Yes ____% No	
	7 Direct expense summary. Add lines 2 through 5 in column (d) ▶				( )
	8 Net gaming income summary Combine line 1, column d, and line 7 ▶				

			Yes	No
9	Enter the state(s) in which the organization operates gaming activities: _____			
a	Is the organization licensed to operate gaming activities in each of these states?	9a		
b	If "No," explain: _____			
10a	Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?	10a		
b	If "Yes," explain: _____			
11	Does the organization operate gaming activities with nonmembers?	11		
12	Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming?	12		

			Yes	No
13	Indicate the percentage of gaming activity operated in:			
a	The organization's facility	13a %		
b	An outside facility	13b %		
14	Enter the name and address of the person who prepares the organization's gaming/special events books and records			
	Name ▶ _____			
	Address ▶ _____			
15a	Does the organization have a contract with a third party from whom the organization receives gaming revenue?	15a		
b	If "Yes," enter the amount of gaming revenue received by the organization ▶ $ _____ and the amount of gaming revenue retained by the third party ▶ $ _____.			
c	If "Yes," enter name and address of the third party			
	Name ▶ _____			
	Address ▶ _____			
16	Gaming manager information			
	Name ▶ _____			
	Gaming manager compensation ▶ $ _____			
	Description of services provided ▶ _____			
	☐ Director/officer ☐ Employee ☐ Independent contractor			
17	Mandatory distributions.			
a	Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license?	17a		
b	Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $			

Upper Arlington Education Foundation
Morgan Stanley Smith Barney
Schedule of Security Gains (Losses)

December, 2009

Morgan Stanley Acct#	Description	Sale Date	Purchase date	Sales Proceeds	Cost Basis	S/T Realized Gain (Loss)	L/T Realized Gain (Loss)	Control Net Real'd Gains (Loss)
713-1151C	Reserve account	Various	Various	85,056.31	103,420.43	(18,364 12)		(18,364.12)
				-	-	-		
71-27788	Madison S/T	Various	Various	8287.19	8115.72	171.47		412.80
	Madison L/T	Various	Various	8210.94	7969.61		241.33	
713-27789	Brandes S/T	Various	Various	1,048.04	429.73	618.31		(5,804.51)
	Brandes L/T	Various	Various	5,359.95	11,782.77		(6,422.82)	
713-27792	Transamerica S/T	Various	Various	9,438.59	8,433 62	1,004.97		(1,568.29)
	Transamerica L/T	Various	Various	13,482.22	16,055.48		(2,573 26)	
713-27794	Contr/Disb S/T	Various	Various	5,996.88	6,000.00	(3.12)		(3 12)
	Contr/Disb L/T	Various	Various			-		
713-27795	Davis S/T	Various	Various	4,440.42	3,541.18	899.24		(4,394.98)
	DavisL/T	Various	Various	10,777.33	16,071.55		(5,294.22)	
713-27813	Wells Small Cap S/T	Various	Various	5,764.49	6,514.52	(750.03)		(17,489.27)
	Wells Small Cap L/T	Various	Various	26,630.38	43,369.62		(16,739.24)	
713-28460	Wells Large Cap S/T	Various	Various	81,565.85	83,612.95	(2,047.10)		(9,721.51)
	Wells Large Cap;L/T	Various	Various	19,747.96	27,422.37		(7,674.41)	
713-28461	Jennison S/T	Various	Various	27,112.71	26,316.20	796.51		(1,163 28)
	Jennison L/T	Various	Various	28,142.45	30,102.24		(1,959.79)	
						-		
						-		
						-		
				341,061.71	399,157.99	(17,673.87)	(40,422.41)	(58,096.28)
	Net Short Term			228,710.48	246,384.35	(17,673.87)		
	Net Long Term			112,351.23	152,773.64		(40,422.41)	

ATTACHMENT 1

FORM 990EZ, PART I - INVESTMENT INCOME

DESCRIPTION	AMOUNT
DIVIDEND INCOME	15,344.
INTEREST INCOME	2,987.
TOTAL	18,331.

ATTACHMENT 2

FORM 990EZ, PART I - SPECIAL EVENTS AND ACTIVITIES

DESCRIPTION	GROSS REVENUE	DIRECT EXPENSES	NET INCOME
BASH - DINNER AND AUCTION	46,176.	21,151.	25,025.
TOTALS	46,176.	21,151.	25,025.

ATTACHMENT 3

FORM 990EZ, PART I - GRANTS AND SIMILAR AMOUNTS PAID
IN EXCESS OF $5000

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS PAID			
UPPER ARLINGTON HIGH SCHOOL STADIUM FUND 1950 MALLWAY DRIVE UPPER ARLINGTON, OH 43221		IMPROVEMENTS TO THE UPPER ARLINGTON HIGH SCHOOL STADIUM FACILITIES	81,247
UPPER ARLINGTON CITY SCHOOLS 1950 MALLWAY UPPER ARLINGTON, OH 43221		VARIOUS GRANTS (LESS THAN $5,000)FOR SPECIFIC PROJECTS WITHIN THE UPPER ARLINGTON SCHOOL SYSTEM AUTHOR VISITS, PTO VETERANS DAY, IPOD TOUCH TEACHING TOOLS, NXT ROBOTS FOR SCIENCE, PRINT SHOP ETC	29,238
UPPER ARLINGTON HIGH SCHOOL 1950 MALLWAY UPPER ARLINGTON, OH 43321		HIGH SCHOOL GOLF TEAM AMOUNTS RECIEVED FROM NATIONWIDE TOUR	5,614
BARINGTON ELEMENTARY 1780 BARRINGTON RD COLUMBUS, OH 43221		TECHNOLGY PROJECT FOR BARRINGTON ELEMENTARY- PROJECTORS, CAMERAS, AND SOUND SYSTEM	6,096
GREENSVIEW ELEMENTARY SCHOOL 4301 GREENSVIEW DR COLUMBUS, OH 43220		BEAUTIFICATION PROJECT- BENCHES & LANDSCAPING ETC	5,500
UPPER ARLINGTON HIGH SCHOOL 1650 RIDGEVIEW RD COLUMBUS, OH 43221		TECHNOLOGY UPDATES- PROJECTORS, SMARTBOARDS AND OTHER TEACHING AIDS	44,384
		TOTAL CONTRIBUTIONS PAID	172,079

ATTACHMENT 4

FORM 990EZ, PART I - OTHER EXPENSES

SUPPLIES	1,615.
CONFERENCES, CONVENTIONS	218.
INVESTMENT MANAGEMENT FEES	12,811.
ADVERTISING	2,550.
PLAQUES & AWARDS	126.
DUES & SUBSCRIPTIONS	110.
TAXES & LICENSES	200.
BANK CHARGES	692.
DATA BASE FEES	6,491.
WEBSITE	3,830.
INSURANCE	558.
MISC EXPENSE	83.
CLASS REUNION SPONSORSHIPS	5,500.
ALUMNI ASSOC COMMUNITY BLOCK PARTY	5,884.
TOTAL	40,668.

ATTACHMENT 5

FORM 990EZ, PART I - OTHER CHANGES IN FUND BALANCES

INCREASES IN FUND BALANCES

UNREALIZED APPRECIATION IN INVESTMENTS CARRIED AT FAIR MARKET VALUE	200,326.
TOTAL	200,326.

ATTACHMENT 6

FORM 990EZ, PART II - CASH, SAVINGS AND INVESTMENTS

DESCRIPTION	BEGINNING OF YEAR	END OF YEAR
CASH	158,473.	38,774.
SAVINGS	130,229.	202,838.
INVESTMENTS - SECURITIES	578,980.	723,743.
TOTALS	867,682.	965,355.

ATTACHMENT 7

FORM 990EZ, PART III - ORGANIZATION'S PRIMARY EXEMPT PURPOSE

THE FOUNDATION PROVIDES FUNDING FOR SUPERIOR AND INNOVATIVE, EDUCATIONAL PROGRAMS WHICH EXCEED THE SCHOOL DISTRICTS FINANCIAL RESOURCES.

FORM 990EZ, PART III - STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS

ATTACHMENT 8

PROGRAM SERVICE ACCOMPLISHMENT 1

THE FOUNDATION PROVIDES FUNDING FOR SUPERIOR AND INNOVATIVE EDUCATIONAL PROGRAMS WHICH EXCEED THE SCHOOL DISTRICT'S FINANCIAL RESOURCES. INCLUDES SUPPLIES AND EQUIPMENT, SCHOLARSHIPS, AND OTHER EDUCATIONAL MATERIALS FOR VARIOUS EDUCATION PROGRAMS WITHIN THE SCHOOL.

ATTACHMENT 9

PROGRAM SERVICE ACCOMPLISHMENT 2

ALUMNI ASSOCIATION FOR THE UPPER ARLINGTON SCHOOL - PROVIDE A NETWORK FOR FORMER STUDENTS TO KEEP UP TO DATE WITH ACTIVITIES AND ACCOMPLISHMENTS OF THE SCHOOL AND ALUMNI. ACCOMPLISHMENTS INCLUDE WEBSITE AND REUNIONS.

FORM 990EZ, PART IV - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
JOANIE DUGGER 1950 N MALLWAY DRIVE COLUMBUS, OH 43221-4326	EXECUTIVE DIRECTOR 28.00	24,543.
WADE STEEN 1950 N MALLWAY COLUMBUS, OH 43221	PRESIDENT 8.00	0.
MICHAEL F DRENNEN 1950 N MALLWAY COLUMBUS, OH 43221	TREASURER 8.00	0.
JIM DEROBERTS 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
JULIE MARTIN 1950 N MALLWAY COLUMBUS, OH 43221	VICE PRESIDENT 2.00	0.
JODI PATTON 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
BLAIR ADAMS 1950 N MALLWAY	TRUSTEE 2.00	0.

FORM 990EZ, PART IV - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES

ATTACHMENT 10 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
COLUMBUS, OH 43221		
CANDACE TESNER 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
LORRETA HEIGLE 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
CHERYL GOODARD 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
PAUL COREY 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
ROBIN COMFORT 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
R. MATTHEW HAMILTON 1950 N MALLWAY COLUMBUS, OH 43221	TRUSTEE 2.00	0.
GRAND TOTALS		24,543.