



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

MICHAEL T. RIORDAN FAMILY FOUNDATION
C/O MICHAEL T. RIORDAN, TRUSTEE

A Employer identification number

30-6000348

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

W3563 MEREDITH LANE

City or town, state, and ZIP code

GREEN LAKE, WI 54941

B Telephone number (see page 10 of the instructions)

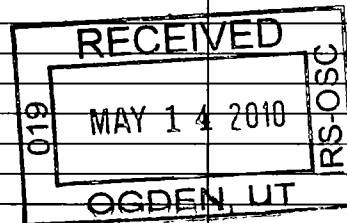
(920) 295-3365

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐H Check type of organization. ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 8,985,755.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	158.	158.		
4 Dividends and interest from securities	254,043.	254,043.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-1,570,514.			
b Gross sales price for all assets on line 6a	4,220,944.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	11,098.			ATCH 1
12 Total. Add lines 1 through 11	-1,305,215.	254,201.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	5,130.	2,565.		2,565.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) **	5,154.	5,154.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 3	65,284.	65,250.		34.
24 Total operating and administrative expenses. Add lines 13 through 23	75,568.	72,969.		2,599.
25 Contributions, gifts, grants paid	545,360.			545,360.
26 Total expenses and disbursements. Add lines 24 and 25	620,928.	72,969.		547,959.
27 Subtract line 26 from line 12	-1,926,143.			
a Excess of revenue over expenses and disbursements		181,232.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			-0-	



For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 2

Form 990-PF (2009)

9E1410 1 000

2JV5DJ 1714 5/5/2010 2:23:43 PM V 09-6

PAGE 2

3P

SCANNED MAY 18 2010

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	552,842.	487,006.	487,006.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U S and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) <u>ATCH 4</u>	9,263,853.	7,403,929.	8,498,749.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	9,816,695.	7,890,935.	8,985,755.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	9,816,695.	7,890,935.		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
	30	Total net assets or fund balances (see page 17 of the instructions)	9,816,695.	7,890,935.		
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	9,816,695.	7,890,935.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,816,695.
2	Enter amount from Part I, line 27a	2	-1,926,143.
3	Other increases not included in line 2 (itemize) ▶ <u>ATTACHMENT 5</u>	3	383.
4	Add lines 1, 2, and 3	4	7,890,935.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,890,935.

Form 990-PF (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	-1,570,514.	
If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			3		
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8.					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	628,429.	10,925,609.	0.057519
2007	570,353.	12,827,348.	0.044464
2006	559,743.	12,076,949.	0.046348
2005	554,275.	11,606,520.	0.047755
2004	455,187.	11,171,766.	0.040744
2 Total of line 1, column (d)			2 0.236830
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047366
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 8,148,643.
5 Multiply line 4 by line 3			5 385,969.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,812.
7 Add lines 5 and 6			7 387,781.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.			8 547,959.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)		1	1,812.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	1,812.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,812.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 2,000.		
b Exempt foreign organizations-tax withheld at source	6b 0.		
c Tax paid with application for extension of time to file (Form 8868)	6c 0.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,000.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	188.
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 188. Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ (2) On foundation managers ☒ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ☒ N/A - SEE ATTACHED STATEMENT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2009)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14	The books are in care of ☐ MICHAEL T. RIORDAN Telephone no ☐ 920-295-3365			
Located at ☐ W3563 MEREDITH LANE GREEN LAKE, WI ZIP + 4 ☐ 54941				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year ☐ 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

Form 990-PF (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 6		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2009)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2009)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	7,721,388.
b	Average of monthly cash balances	1b	551,346.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	8,272,734.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,272,734.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	124,091.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,148,643.
6	Minimum investment return. Enter 5% of line 5	6	407,432.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	407,432.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,812.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,812.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	405,620.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	405,620.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	405,620.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	547,959.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	547,959.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	1,812.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	546,147.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				405,620.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			540,197.	
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				0.
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 547,959.				
a Applied to 2008, but not more than line 2a			540,197.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				7,762.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				397,858.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	0.			

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

MICHAEL T. RIORDAN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 7				
Total.			3a	545,360.
b Approved for future payment				
Total.			3b	

Form 990-PF (2009)

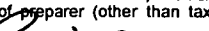
Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A	N/A	N/A

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
	 Signature of officer or trustee		Date 05/11/2010	Title TRUSTEE
Paid Preparer's Use Only	Preparer's signature  JOHN J. RILEY	Date 05/05/2010	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00071190
	Firm's name (or yours if self-employed), address, and ZIP code TOPEL FORMAN L.L.C. 500 NORTH MICHIGAN AVE, SUITE 1700 CHICAGO, IL 60611	EIN 36-2469413	Phone no 312-642-0006	

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					4,701.	
920,901.		STATE STREET AR0234 - SEE ATTACHED PROPERTY TYPE: SECURITIES 1,781,266.				P	VARIOUS	VARIOUS
							-860,365.	
48,240.		STATE STREET AR0234 - SEE ATTACHED PROPERTY TYPE: SECURITIES 49,575.				P	VARIOUS	VARIOUS
							-1,335.	
150,000.		STATE STREET AR0235 - SEE ATTACHED PROPERTY TYPE: SECURITIES 157,825.				P	06/05/2002	03/27/2009
							-7,825.	
369,687.		STATE STREET AR0237 - SEE ATTACHED PROPERTY TYPE: SECURITIES 450,882.				P	VARIOUS	VARIOUS
							-81,195.	
1,118,531.		STATE STREET AR0237 - SEE ATTACHED PROPERTY TYPE: SECURITIES 1,188,462.				P	VARIOUS	VARIOUS
							-69,931.	
218,614.		STATE STREET AR0238 - SEE ATTACHED PROPERTY TYPE: SECURITIES 344,598.				P	VARIOUS	VARIOUS
							-125,984.	
380,267.		STATE STREET AR0238 - SEE ATTACHED PROPERTY TYPE: SECURITIES 680,976.				P	VARIOUS	VARIOUS
							-300,709.	
397,025.		STATE STREET AR0239 - SEE ATTACHED PROPERTY TYPE: SECURITIES 343,767.				P	VARIOUS	VARIOUS
							53,258.	
395,511.		STATE STREET AR0239 - SEE ATTACHED PROPERTY TYPE: SECURITIES 492,505.				P	VARIOUS	VARIOUS
							-96,994.	
86,022.		STATE STREET AR0241 - SEE ATTACHED PROPERTY TYPE: SECURITIES 99,413.				P	VARIOUS	VARIOUS
							-13,391.	
131,445.		STATE STREET AR0241 - SEE ATTACHED PROPERTY TYPE: SECURITIES 157,489.				P	VARIOUS	VARIOUS
							-26,044.	
		S&P INDEX PUT OPTION-MARKED TO MARKET PROPERTY TYPE: SECURITIES				P	12/11/2009	12/31/2009

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		17,880.					-17,880.	
		S&P INDEX PUT OPTION-MARKED TO MARKET					12/11/2009	12/31/2009
		26,820.					-26,820.	
TOTAL GAIN (LOSS)							<u>-1570514.</u>	

2009 Tax Information Statement

Page 7 of 21

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02208-5300

Account Number AR0234
Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
400 0000	054303102	AVON PRODUCTS INC COM	04/10/2008	01/30/2009	8,243 95	15,880 00	-7,636 05	0 00
1400 0000	054303102	AVON PRODUCTS INC COM	VARIOUS	03/03/2009	22,535 39	53,810 01	-31,274 62	0 00
500 0000	086516101	BEST BUY INC COM	06/19/2008	01/07/2009	15,061 46	21,613 85	-6,552 39	0 00
800 0000	086516101	BEST BUY INC COM	04/10/2008	01/30/2009	22,708 91	33,286 88	-10,587 97	0 00
1100 0000	086516101	BEST BUY INC COM	VARIOUS	03/03/2009	30,282 83	43,948 37	-13,665 54	0 00
2600 0000	143658300	CARNIVAL CORP	VARIOUS	03/03/2009	46,843 73	103,371 95	-56,728 22	0 00
4600 0000	208464883	CONSECO INC	04/10/2008	03/03/2009	1,471 99	50,043 86	-48,571 87	0 00
4000 0000	208464883	CONSECO INC	VARIOUS	03/11/2009	1,845 59	39,518 00	-37,872 41	0 00
800 0000	G2552X108	COVIDIEN LTD	VARIOUS	03/03/2009	24,344 58	38,409 20	-14,064 62	0 00
200 0000	126650100	CVS CORP COM	01/09/2009	01/30/2009	5,401 96	5,196 00	205 98	0 00
1100 0000	126650100	CVS CORP COM	VARIOUS	03/03/2009	27,646 80	28,518 38	-871 58	0 00
1100 0000	237194105	DARDEN RESTAURANTS INC COM	11/05/2008	03/03/2009	27,898 81	23,530 42	4,268 39	0 00
100 0000	237194105	DARDEN RESTAURANTS INC COM	11/05/2008	03/31/2009	3,447 88	2,148 22	1,299 66	0 00
300 0000	25243Q205	DIAGEO PLC SPONSORED ADR NEW	08/01/2008	01/30/2009	16,376 90	20,994 78	-4,617 88	0 00
600 0000	25243Q205	DIAGEO PLC SPONSORED ADR NEW	07/07/2008	03/03/2009	26,437 83	41,871 66	-15,433 83	0 00
200 0000	260003108	DOVER CORP COM	04/10/2008	01/30/2009	5,715 96	8,679 28	-2,963 32	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Account Number AR0234
Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1000 0000	260003108	DOVER CORP COM	VARIOUS	03/03/2009	22,918 87	42,431 49	-19,512 62	0 00
600 0000	292505104	ENCANA CORPORATION	01/14/2009	03/03/2009	21,572 87	26,310 90	-4,738 03	0 00
1400 0000	412822108	HARLEY DAVIDSON INC COM	VARIOUS	01/23/2009	15,817 95	52,760 79	-36,942 84	0 00
200 0000	412822108	HARLEY DAVIDSON INC COM	08/19/2008	01/23/2009	2,252 98	7,615 48	-5,362 48	0 00
300 0000	412822108	HARLEY DAVIDSON INC COM	08/19/2008	01/23/2009	3,352 48	11,423 19	-8,070 71	0 00
1000 0000	458140100	INTEL CORP CAP	04/10/2008	01/30/2009	13,102 42	21,658 30	-8,555 88	0 00
2400 0000	458140100	INTEL CORP CAP	04/10/2008	03/03/2009	29,417 83	51,979 92	-22,562 09	0 00
400 0000	46625H100	J P MORGAN CHASE & CO	VARIOUS	01/30/2009	10,447 94	16,841 68	-6,393 74	0 00
845 0000	46625H100	J P MORGAN CHASE & CO	VARIOUS	03/03/2009	17,423 80	32,798 36	-15,374 56	0 00
1300 0000	524901105	LEGG MASON INC COM	VARIOUS	03/03/2009	14,788 97	75,769 10	-60,980 13	0 00
6100 0000	53071M104	LIBERTY MEDIA-INTERACTIVE A	VARIOUS	03/03/2008	17,982 08	97,165 02	-79,182 94	0 00
1800 0000	53071M104	LIBERTY MEDIA-INTERACTIVE A	10/29/2008	08/18/2009	15,199 13	9,805 28	5,393 85	0 00
1200 0000	53071M104	LIBERTY MEDIA-INTERACTIVE A	VARIOUS	10/23/2009	14,636 62	5,253 80	9,382 82	0 00
400 0000	55289P302	MDS INC	04/10/2008	01/30/2009	3,021 54	8,101 40	-5,079 86	0 00
4300 0000	55289P302	MDS INC	VARIOUS	03/03/2009	26,072 47	85,176 55	-59,104 08	0 00
600 0000	585055106	MEDTRONIC INC COM	VARIOUS	01/30/2009	20,111 88	31,046 79	-10,934 91	0 00
900 0000	585055106	MEDTRONIC INC COM	04/10/2008	03/03/2009	24,024 37	44,747 37	-20,723 00	0 00
800 0000	681904108	OMNICARE INC COM	04/10/2008	01/30/2009	22,455 87	16,075 84	6,380 03	0 00
1000 0000	681904108	OMNICARE INC COM	04/10/2008	03/03/2009	21,571 87	20,094 80	1,477 07	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 9 of 21

Account Number
AR0234
Recipient's Tax ID number
30-6000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1000 0000	770323103	ROBERT HALF INTL INC COM	04/10/2008	01/30/2009	17,059 90	24,597 00	-7,537 10	0 00
1800 0000	770323103	ROBERT HALF INTL INC COM	VARIOUS	03/03/2009	26,359 77	43,860 52	-17,500 75	0 00
500 0000	774341101	ROCKWELL COLLINS INC	07/23/2008	01/30/2009	18,634 89	24,170 00	-5,535 11	0 00
800 0000	774341101	ROCKWELL COLLINS INC	06/27/2008	03/03/2009	23,092 91	38,253 28	-15,160 37	0 00
1800 0000	806605101	SCHERING PLOUGH CORP COM	04/10/2008	01/30/2009	31,589 82	30,092 40	1,497 42	0 00
1800 0000	806605101	SCHERING PLOUGH CORP COM	04/10/2008	03/03/2009	29,937 25	30,092 40	-155 15	0 00
100 0000	811065101	SCRIPPS NETWORKS INTERACTIVE OPERATE	08/22/2008	01/30/2009	2,166 98	4,160 00	-1,993 02	0 00
1400 0000	811065101	SCRIPPS NETWORKS INTERACTIVE OPERATE	08/22/2008	03/03/2009	26,817 82	58,240 00	-31,422 18	0 00
6300 0000	845905108	SOVEREIGN BANKCORP INC COM	VARIOUS	01/23/2009	13,112 74	55,278 80	-42,166 06	0 00
2000 0000	882508104	TEXAS INSTRUMENTS INC COM	VARIOUS	03/03/2009	27,799 84	61,101 50	-33,301 66	0 00
1700 0000	887317105	TIME WARNER INC	VARIOUS	01/30/2009	16,066 43	26,399 37	-10,332 94	0 00
2800 0000	887317105	TIME WARNER INC	VARIOUS	03/03/2009	20,357 56	40,448 92	-20,091 38	0 00
700 0000	G9144P105	TYCO ELECTRONICS LTD	07/23/2008	01/30/2009	10,289 94	26,083 75	-15,793 81	0 00
1900 0000	G9144P105	TYCO ELECTRONICS LTD	VARIOUS	03/03/2009	17,054 87	65,346 24	-48,291 37	0 00
400 0000	98385X106	XTO ENERGY INC COMMON STOCK	04/10/2008	01/30/2009	14,907 91	25,703 00	-10,795 09	0 00
800 0000	98385X106	XTO ENERGY INC COMMON STOCK	VARIOUS	03/03/2009	23,615 86	39,452 33	-15,836 47	0 00
Total Short Term Sales Reported on 1099-B						1,781,266 39	-860,365 39	0 00

Long Term 15% Sales Reported on 1099-B



This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 10 of 21

Account Number AR0234
Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Recipient's Tax ID number 30-8000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
5455	00184X105	AOL INC	04/10/2008	12/16/2009	13 43	13 33	0 10	0 00
60 0000	00184X105	AOL INC	VARIOUS	12/23/2009	1,408 74	1,205 58	203 16	0 00
355 0000	46825H100	J P MORGAN CHASE & CO	06/11/2002	03/03/2009	7,320 08	12,055 80	-4,735 74	0 00
400 0000	53071M104	LIBERTY MEDIA-INTERACTIVE A	04/21/2008	08/18/2009	3,799 78	6,162 92	-2,363 14	0 00
500 0000	55269P302	MDS INC	06/04/2008	09/02/2009	3,768 40	9,170 00	-5,401 60	0 00
900 0000	806605101	SCHERING PLOUGH CORP COM	04/10/2008	10/19/2009	26,486 31	15,048 20	11,440 11	0 00
3400	88732J207	TIME WARNER CABLE INC	04/10/2008	04/13/2009	9 47	14 55	-5 08	0 00
167 0000	88732J207	TIME WARNER CABLE INC	VARIOUS	07/29/2009	5,419 83	5,885 14	-465 31	0 00
6660	887317303	TIME WARNER INC	04/10/2008	04/13/2009	14 35	21 71	-7 36	0 00
Total Long Term 15% Sales Reported on 1099-B						49,575 23	-1,334 86	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 6 of 14

Account Number AR0235
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Revised 03/10/2010

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Long Term 15% Sales Reported on 1099-B								
14836 7950	693390700	PIMCO FDS TOTAL RETURN FD	06/05/2002	03/27/2009	150,000 00	157,824 72	-7,824 72	0 00
Total Long Term 15% Sales Reported on 1099-B						157,824 72	-7,824 72	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 6 of 23

Account Number AR0237
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-8244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
240 0000	018490102	ALLERGAN INC COM	04/10/2008	03/03/2009	8,877 46	13,972 80	-5,295 34	0 00
120 0000	023135106	AMAZON COM INC COM	04/10/2008	03/03/2009	7,425 56	8,992 80	-1,567 24	0 00
220 0000	037833100	APPLE COMPUTER INC COM	VARIOUS	03/03/2009	19,633 68	27,673 60	-8,039 92	0 00
425 0000	302445101	FLIR SYS INC COM	VARIOUS	12/08/2009	12,775 17	11,937 98	837 19	0 00
400 0000	30249U101	FMC TECHNOLOGIES INC	07/16/2008	03/03/2009	9,659 94	26,542 73	-16,882 79	0 00
425 0000	372917104	GENZYME CORP GENERAL DIVISION COM	VARIOUS	12/08/2009	21,015 71	23,181 23	-2,165 52	0 00
350 0000	452327109	ILLUMINA INC COM	10/06/2009	12/08/2009	9,673 75	15,142 54	-5,468 79	0 00
325 0000	45865V100	INTERCONTINENTAL EXCHANGE INC	VARIOUS	03/03/2009	17,761 15	29,566 51	-11,805 36	0 00
15 0000	45865V100	INTERCONTINENTAL EXCHANGE INC	10/03/2008	05/27/2009	1,538 20	1,196 47	341 73	0 00
175 0000	46120E802	INTUITIVE SURGICAL INC	VARIOUS	03/03/2009	15,371 91	26,032 76	-10,660 85	0 00
65 0000	46120E802	INTUITIVE SURGICAL INC	01/28/2009	08/08/2009	14,778 57	6,685 06	8,093 51	0 00
10 0000	46120E802	INTUITIVE SURGICAL INC	01/28/2009	12/08/2009	2,868 09	1,028 47	1,839 62	0 00
1100 0000	517834107	LAS VEGAS SANDS CORP	VARIOUS	03/03/2009	2,617 88	53,701 80	-51,083 82	0 00
1350 0000	517834107	LAS VEGAS SANDS CORP	VARIOUS	09/14/2009	23,510 18	9,122 85	14,387 33	0 00
775 0000	517834107	LAS VEGAS SANDS CORP	11/10/2008	09/23/2009	14,804 13	4,262 50	10,541 63	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 7 of 23

Account Number
 Recipient's Tax ID number
 Payer's Federal ID number
 Questions?

AR0237
 30-6000348
 20-8007203
 (800)392-9244
☐ Corrected
☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
400 0000	602675100	MINDRAY MEDICAL INTERNATIONAL-ADR	10/08/2008	03/03/2009	7,609 63	11,688 32	-4,078 69	0 00
280 0000	602675100	MINDRAY MEDICAL INTERNATIONAL-ADR	10/08/2008	08/08/2009	8,212 18	8,181 82	30 36	0 00
175 0000	61166W101	MONSANTO CO NEW	12/05/2008	03/03/2009	12,669 92	12,321 66	348 26	0 00
375 0000	61166W101	MONSANTO CO NEW	VARIOUS	12/08/2009	30,715 46	30,156 44	559 02	0 00
120 0000	637071101	NATIONAL-OILWELL INC COM	04/10/2008	03/03/2009	2,947 16	8,275 20	-5,328 04	0 00
300 0000	654106103	NIKE INC CL B COM	08/03/2009	12/08/2009	19,067 50	17,256 66	1,810 84	0 00
400 0000	747525103	QUALCOMM INC COM	VARIOUS	03/03/2009	13,443 92	13,945 52	-501 60	0 00
700 0000	747525103	QUALCOMM INC COM	VARIOUS	12/08/2009	31,289 19	30,362 49	926 70	0 00
675 0000	808513105	SCHWAB CHARLES CORP NEW COM	10/02/2009	12/08/2009	10,470 48	10,724 79	-254 31	0 00
650 0000	855030102	STAPLES INC COM	10/01/2009	12/08/2009	15,723 09	14,807 65	915 44	0 00
450 0000	92826C839	VISA INC CL A	VARIOUS	03/03/2009	24,540 12	26,331 72	-1,791 60	0 00
250 0000	928563402	VMWARE INC CL A	06/04/2009	12/08/2009	10,887 22	7,790 08	3,097 14	0 00
Total Short Term Sales Reported on 1099-B						450,882 45	-81,195 10	0 00
Long Term 15% Sales Reported on 1099-B								
460 0000	018490102	ALLERGAN INC COM	VARIOUS	03/03/2009	16,631 80	28,208 15	-9,576 35	0 00
225 0000	018490102	ALLERGAN INC COM	03/28/2008	08/25/2009	10,561 42	12,575 25	-2,013 83	0 00
625 0000	018490102	ALLERGAN INC COM	VARIOUS	12/08/2009	36,679 30	26,654 80	10,024 50	0 00
590 0000	023135106	AMAZON COM INC COM	VARIOUS	01/28/2009	29,384 31	49,074 58	-19,690 27	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 8 of 23

Account Number AR0237
Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE , WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
280 0000	023135106	AMAZON COM INC COM	01/22/2008	03/03/2009	17,328 30	21,952 53	-4,626 23	0 00
25 0000	023135106	AMAZON COM INC COM	04/10/2008	07/06/2009	2,258 69	1,873 50	385 19	0 00
425 0000	023135106	AMAZON COM INC COM	VARIOUS	12/08/2008	57,012 28	31,251 20	25,761 08	0 00
450 0000	02364W105	AMERICA MOVIL S A ADR	05/09/2006	03/03/2009	10,925 93	18,159 93	-7,234 00	0 00
475 0000	02364W105	AMERICA MOVIL S A ADR	VARIOUS	12/08/2008	22,210 42	17,926 23	4,284 19	0 00
80 0000	037833100	APPLE COMPUTER INC COM	05/08/2006	03/03/2009	7,139 52	5,824 80	1,314 72	0 00
25 0000	037833100	APPLE COMPUTER INC COM	05/08/2006	09/14/2009	4,316 63	1,820 25	2,496 38	0 00
125 0000	037833100	APPLE COMPUTER INC COM	05/08/2006	09/25/2009	23,040 60	9,101 25	13,939 35	0 00
275 0000	037833100	APPLE COMPUTER INC COM	VARIOUS	12/08/2009	52,179 90	18,962 90	33,217 00	0 00
725 0000	111320107	BROADCOM CORP COM	11/28/2006	01/22/2009	11,859 99	24,217 39	-12,357 40	0 00
775 0000	111320107	BROADCOM CORP COM	VARIOUS	03/03/2009	12,361 18	25,829 92	-13,468 74	0 00
700 0000	111320107	BROADCOM CORP COM	VARIOUS	09/29/2009	21,039 70	22,503 59	-1,463 89	0 00
475 0000	111320107	BROADCOM CORP COM	02/01/2007	12/08/2008	14,603 50	14,963 21	-359 71	0 00
50 0000	12572Q105	CME GROUP INC	08/24/2005	03/03/2009	8,902 45	13,750 51	-4,848 06	0 00
10 0000	12572Q105	CME GROUP INC	08/24/2005	11/11/2009	3,134 11	2,750 10	384 01	0 00
60 0000	12572Q105	CME GROUP INC	08/24/2005	12/08/2009	19,189 30	16,500 61	2,688 69	0 00
525 0000	268648102	E M C CORP MASS	11/13/2007	01/22/2009	5,694 27	10,332 53	-4,638 26	0 00
1225 0000	268648102	E M C CORP MASS	VARIOUS	03/03/2009	12,386 93	22,857 42	-10,460 49	0 00
1823 0000	268648102	E M C CORP MASS	07/26/2007	06/03/2009	22,521 31	33,803 89	-11,282 58	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 9 of 23

Account Number

AR0237

Recipient's Tax ID number

30-6000348

Payer's Federal ID number

20-8007203

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02208-5300

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc. (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1077 0000	288848102	E M C CORP MASS	07/26/2007	06/04/2009	13,847 17	19,970 81	-6,323 64	0 00
75 0000	30249U101	FMC TECHNOLOGIES INC	02/25/2008	03/03/2009	1,811 24	3,941 05	-2,129 81	0 00
500 0000	30249U101	FMC TECHNOLOGIES INC	VARIOUS	12/08/2009	28,479 31	24,037 49	2,441 82	0 00
450 0000	372917104	GENZYME CORP GENERAL DIVISION COM	VARIOUS	03/03/2009	23,647 36	28,503 50	-4,856 14	0 00
225 0000	372917104	GENZYME CORP GENERAL DIVISION COM	VARIOUS	12/08/2009	11,125 98	11,520 65	-394 69	0 00
100 0000	38259P508	GOOGLE INC CL A	11/24/2004	03/03/2009	32,730 81	17,887 91	15,062 90	0 00
45 0000	38259P508	GOOGLE INC CL A	VARIOUS	06/04/2009	19,856 77	7,883 54	11,773 23	0 00
10 0000	38259P508	GOOGLE INC CL A	11/05/2004	11/11/2009	5,703 55	1,699 77	4,003 78	0 00
75 0000	38259P508	GOOGLE INC CL A	11/05/2004	12/08/2009	44,006 61	12,748 31	31,258 30	0 00
125 0000	45865V100	INTERCONTINENTAL EXCHANGE INC	05/01/2008	05/27/2009	12,818 29	8,588 45	4,229 84	0 00
275 0000	45865V100	INTERCONTINENTAL EXCHANGE INC	VARIOUS	12/08/2009	28,789 00	18,627 15	10,161 85	0 00
145 0000	46120E802	INTUITIVE SURGICAL INC	VARIOUS	12/08/2009	41,587 32	11,845 17	29,742 15	0 00
325 0000	462846106	IRON MOUNTAIN INC COM	VARIOUS	03/03/2009	5,534 71	6,590 20	-1,055 49	0 00
350 0000	462846106	IRON MOUNTAIN INC COM	05/10/2005	12/08/2009	8,175 78	6,793 49	1,382 29	0 00
1075 0000	517834107	LAS VEGAS SANDS CORP	VARIOUS	03/03/2009	2,558 49	112,190 38	-109,631 89	0 00
850 0000	517834107	LAS VEGAS SANDS CORP	11/10/2008	11/11/2009	14,939 72	4,875 00	10,264 72	0 00
925 0000	517834107	LAS VEGAS SANDS CORP	11/10/2008	12/08/2009	14,244 63	5,087 50	9,157 13	0 00
645 0000	602875100	MINDRAY MEDICAL INTERNATIONAL-ADR	VARIOUS	12/09/2009	19,418 00	15,947 80	3,470 20	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 10 of 23

Account Number AR0237
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9850

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
375 0000	615369105	MOODYS CORP	VARIOUS	03/03/2009	6,086 21	8,194 75	-3,108 54	0 00
850 0000	615369105	MOODYS CORP	VARIOUS	09/29/2009	16,918 90	20,260 00	-3,341 10	0 00
880 0000	637071101	NATIONAL-OILWELL INC COM	VARIOUS	03/03/2009	21,612 50	56,928 40	-35,315 90	0 00
250 0000	637071101	NATIONAL-OILWELL INC COM	VARIOUS	09/28/2009	10,627 60	14,939 97	-4,312 37	0 00
950 0000	637071101	NATIONAL-OILWELL INC COM	06/20/2007	12/08/2009	39,034 48	50,316 84	-11,282 35	0 00
425 0000	794661302	SALESFORCE COM INC	VARIOUS	03/03/2009	12,027 43	20,620 93	-8,593 50	0 00
525 0000	794661302	SALESFORCE COM INC	02/01/2007	12/08/2009	33,667 38	23,816 31	9,851 07	0 00
500 0000	806857108	SCHLUMBERGER LTD COM	04/21/2006	03/03/2009	17,999 89	34,211 45	-16,211 56	0 00
25 0000	806857108	SCHLUMBERGER LTD COM	04/21/2006	10/06/2009	1,484 96	1,710 57	-225 61	0 00
525 0000	806857108	SCHLUMBERGER LTD COM	VARIOUS	12/08/2009	31,415 19	35,673 74	-4,258 55	0 00
1825 0000	855244109	STARBUCKS CORP COM	VARIOUS	03/03/2009	14,056 17	30,277 25	-16,221 08	0 00
700 0000	855244109	STARBUCKS CORP COM	06/07/2002	05/11/2009	9,566 93	8,715 00	851 93	0 00
600 0000	855244109	STARBUCKS CORP COM	06/07/2002	05/27/2009	8,060 25	7,470 00	590 25	0 00
650 0000	855244109	STARBUCKS CORP COM	VARIOUS	09/14/2009	12,971 45	8,092 42	4,879 03	0 00
825 0000	855244109	STARBUCKS CORP COM	06/07/2002	12/08/2009	17,489 55	10,267 12	7,222 43	0 00
75 0000	863667101	STRYKER CORP COM	08/06/2004	01/22/2009	3,023 23	3,342 00	-318 77	0 00
275 0000	863667101	STRYKER CORP COM	VARIOUS	03/03/2009	9,231 69	11,674 98	-2,443 29	0 00
300 0000	863667101	STRYKER CORP COM	03/25/2003	12/08/2009	15,409 61	10,437 00	4,972 61	0 00
350 0000	863667101	STRYKER CORP COM	VARIOUS	12/24/2009	17,810 06	11,881 50	5,948 56	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 11 of 23

Account Number
 Recipient's Tax ID number
 Payer's Federal ID number
 Questions?

AR0237
 30-6000348
 20-8007203
 (800)392-9244

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
400 0000	92220P105	VARIAN MEDS SYS INC	02/25/2008	03/03/2009	11,764 81	21,599 92	-9,835 11	0 00
450 0000	92220P105	VARIAN MEDS SYS INC	VARIOUS	12/08/2009	20,413 99	21,082 69	-668 70	0 00
25 0000	92826C839	VISA INC CL A	03/19/2008	10/06/2009	1,704 95	1,442 65	262 30	0 00
475 0000	92826C839	VISA INC CL A	VARIOUS	12/08/2009	37,908 77	27,313 91	10,594 86	0 00
Total Long Term 15% Sales Reported on 1099-B						1,188,462 11	-69,931 54	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 6 of 28

Account Number AR0238
Recipient's Tax ID number 30-8000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244

Corrected ☐ **2nd TIN notice** ☐

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
1870 0000	000886309	ADC TELECOMMUNICATIONS INC	VARIOUS	03/03/2009	4,674 97	12,656 70	-7,981 73	0 00
90 0000	000886309	ADC TELECOMMUNICATIONS INC	10/30/2008	05/08/2009	730 20	554 10	176 10	0 00
440 0000	000886309	ADC TELECOMMUNICATIONS INC	VARIOUS	08/12/2009	3,760 36	2,697 88	1,062 48	0 00
130 0000	000886309	ADC TELECOMMUNICATIONS INC	11/14/2008	08/19/2009	1,181 52	795 40	386 12	0 00
270 0000	000886309	ADC TELECOMMUNICATIONS INC	VARIOUS	08/20/2009	2,530 83	1,616 24	914 59	0 00
670 0000	02916P103	AMERICAN RAILCAR INDUSTRIES INC	VARIOUS	03/03/2009	4,448 84	14,750 58	-10,301 74	0 00
60 0000	032657207	ANALOGIC CORP COM PAR \$0 05	08/12/2008	03/03/2009	1,802 01	4,422 94	-2,820 93	0 00
760 0000	053807103	AVNET INC COM	VARIOUS	03/03/2009	12,312 01	12,860 37	-548 36	0 00
560 0000	08173R104	BENEFICIAL MUTUAL BANCORP INC	VARIOUS	03/03/2009	5,030 17	6,127 95	-1,097 78	0 00
220 0000	109699108	BRINKS HOME SECURITY HOLDINGS INC	02/08/2008	03/03/2009	4,461 59	5,493 64	-1,032 05	0 00
140 0000	16189T107	CENTERPOINT ENERGY INC	07/28/2008	03/03/2009	1,297 81	2,127 57	-829 76	0 00
730 0000	201723103	COMMERCIAL METALS CO COM	VARIOUS	03/03/2009	6,869 33	8,522 19	-1,652 86	0 00
90 0000	201723103	COMMERCIAL METALS CO COM	11/10/2008	09/23/2009	1,778 85	957 59	781 26	0 00
150 0000	201723103	COMMERCIAL METALS CO COM	VARIOUS	09/25/2009	2,755 89	1,635 42	1,120 27	0 00
130 0000	201723103	COMMERCIAL METALS CO COM	VARIOUS	09/29/2009	2,391 53	1,325 21	1,066 32	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 7 of 28

Account Number AR0238
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-8244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
170 0000	201723103	COMMERCIAL METALS CO COM	11/12/2008	10/02/2009	2,880 13	1,672 33	1,207 80	0 00
170 0000	201723103	COMMERCIAL METALS CO COM	VARIOUS	10/06/2009	3,030 27	1,589 81	1,440 46	0 00
720 0000	247916208	DENBURY RES INC	VARIOUS	03/03/2009	8,245 03	6,476 85	1,768 18	0 00
300 0000	27579R104	EAST WEST BANCORP INC	05/08/2008	02/20/2009	2,029 24	4,466 82	-2,437 58	0 00
650 0000	27579R104	EAST WEST BANCORP INC	05/08/2008	02/25/2009	4,511 82	9,678 11	-5,166 29	0 00
640 0000	27579R104	EAST WEST BANCORP INC	VARIOUS	03/02/2009	4,005 67	8,952 74	-4,947 07	0 00
630 0000	27579R104	EAST WEST BANCORP INC	05/18/2008	03/03/2009	3,401 98	8,405 46	-5,003 48	0 00
850 0000	283877854	EL PASO ELEC CO COM NEW	VARIOUS	03/03/2009	11,052 48	18,143 83	-7,091 35	0 00
150 0000	29255W100	ENCORE ACQUISITION CO	01/09/2009	03/03/2009	2,638 50	4,367 15	-1,728 65	0 00
70 0000	29255W100	ENCORE ACQUISITION CO	08/28/2009	09/17/2009	2,716 37	2,721 42	-5 05	0 00
80 0000	29255W100	ENCORE ACQUISITION CO	VARIOUS	09/22/2009	3,016 74	2,294 30	722 44	0 00
90 0000	29255W100	ENCORE ACQUISITION CO	01/15/2009	09/24/2009	3,239 63	2,222 87	1,016 76	0 00
80 0000	29255W100	ENCORE ACQUISITION CO	01/15/2009	09/30/2009	2,985 02	1,975 89	1,019 13	0 00
790 0000	292562105	ENCORE WIRE CORP	VARIOUS	03/03/2009	13,795 30	16,920 96	-3,125 66	0 00
180 0000	350080109	FOSTER LB CO-A	VARIOUS	03/03/2009	3,241 60	4,704 17	-1,462 57	0 00
50 0000	350080109	FOSTER LB CO-A	11/11/2008	09/24/2009	1,580 38	1,367 26	213 12	0 00
50 0000	350080109	FOSTER LB CO-A	11/11/2008	10/28/2009	1,437 02	1,367 26	69 76	0 00
500 0000	387328107	GRANITE CONSTR INC COM	VARIOUS	03/03/2009	17,804 90	19,062 68	-1,257 78	0 00
450 0000	391164100	GREAT PLAINS ENERGY INCORPORATED	VARIOUS	03/03/2009	5,328 02	11,583 01	-6,254 99	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 8 of 28

Account Number
AR0238
Recipient's Tax ID number
30-6000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
160 0000	391164100	GREAT PLAINS ENERGY INCORPORATED	07/15/2008	04/22/2009	2,339 35	4,118 40	-1,779 05	0 00
170 0000	391164100	GREAT PLAINS ENERGY INCORPORATED	VARIOUS	04/28/2009	2,447 39	4,375 80	-1,928 41	0 00
150 0000	391164100	GREAT PLAINS ENERGY INCORPORATED	07/15/2008	05/04/2009	2,161 05	3,861 00	-1,699 95	0 00
180 0000	391164100	GREAT PLAINS ENERGY INCORPORATED	VARIOUS	05/11/2009	2,881 80	4,890 60	-2,008 70	0 00
170 0000	472319102	JEFFERIES GROUP INC NEW	VARIOUS	04/07/2009	2,392 19	2,582 34	-200 15	0 00
110 0000	472319102	JEFFERIES GROUP INC NEW	07/14/2008	06/10/2009	2,325 20	1,669 99	655 21	0 00
30 0000	472319102	JEFFERIES GROUP INC NEW	07/14/2008	06/19/2009	646 67	455 45	190 22	0 00
120 0000	483007704	KAISER ALUMINUM CORP	VARIOUS	03/03/2009	2,375 78	3,767 09	-1,391 31	0 00
750 0000	587118100	MENS WEARHOUSE INC COM	VARIOUS	03/03/2009	7,354 08	17,791 00	-10,436 92	0 00
1300 0000	607828100	MODINE MFG CO COM	VARIOUS	02/04/2009	3,158 98	15,585 92	-12,408 94	0 00
200 0000	608554200	MOLEX INC CL A COM	01/09/2009	03/03/2009	1,938 00	2,661 18	-725 18	0 00
220 0000	61748W108	MORGANS HOTEL GROUP CO	07/30/2008	03/03/2009	513 87	3,033 87	-2,520 00	0 00
180 0000	724078100	PIPER JAFFRAY COS INC	09/10/2008	02/26/2009	3,840 01	5,995 37	-2,155 36	0 00
170 0000	724078100	PIPER JAFFRAY COS INC	09/10/2008	03/03/2009	3,437 50	6,370 09	-2,932 59	0 00
420 0000	742962103	PRIVATEBANCORP INC	VARIOUS	10/27/2009	4,133 61	9,716 72	-5,583 11	0 00
190 0000	808882106	SCHNITZER STL INDS INC-A	VARIOUS	03/03/2009	4,845 07	5,753 78	-908 71	0 00
450 0000	835898107	SOTHEBYS HLDGS INC CL A	12/15/2008	03/03/2009	2,984 47	4,704 71	-1,720 24	0 00
100 0000	034164103	THE ANDERSONS INC	07/18/2008	03/03/2009	1,151 00	4,040 15	-2,888 15	0 00
180 0000	034164103	THE ANDERSONS INC	07/18/2008	06/30/2009	4,838 16	6,484 24	-1,626 08	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 9 of 28

Account Number AR0238
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
930 0000	90262T308	UCBH HLDGS INC	09/02/2008	03/03/2009	1,334 63	5,732 61	-4,397 98	0 00
2370 0000	90262T308	UCBH HLDGS INC	VARIOUS	05/07/2009	3,849 25	14,808 54	-10,759 29	0 00
590 0000	90262T308	UCBH HLDGS INC	09/05/2008	05/14/2009	995 18	3,636 35	-2,641 17	0 00
240 0000	910331107	UNITED FIRE & CAS CO COM	05/14/2008	03/03/2009	3,897 72	8,235 96	-4,338 24	0 00
Total Short Term Sales Reported on 1099-B						344,597 86	-125,983 99	0 00
Long Term 15% Sales Reported on 1099-B								
1350 0000	012423109	ALBANY MOLECULAR RESH INC	VARIOUS	03/03/2009	10,772 93	17,353 21	-6,580 28	0 00
280 0000	02816P103	AMERICAN RAILCAR INDUSTRIES INC	02/05/2008	03/03/2009	1,925 62	5,985 17	-4,059 55	0 00
210 0000	02916P103	AMERICAN RAILCAR INDUSTRIES INC	VARIOUS	07/31/2009	1,758 60	3,916 84	-2,158 24	0 00
120 0000	02916P103	AMERICAN RAILCAR INDUSTRIES INC	01/08/2008	08/24/2009	1,222 62	2,118 98	-896 36	0 00
270 0000	032857207	ANALOGIC CORP COM PAR \$0 05	VARIOUS	03/03/2009	7,209 04	15,020 03	-7,810 99	0 00
110 0000	053494100	AVATAR HLDGS INC COM	VARIOUS	02/05/2009	2,849 42	6,332 03	-3,382 61	0 00
210 0000	098761101	BOB EVANS FARMS INC	03/17/2006	02/12/2009	4,088 65	6,265 10	-2,176 45	0 00
730 0000	098761101	BOB EVANS FARMS INC	03/17/2006	03/03/2009	12,877 19	21,778 67	-8,901 48	0 00
40 0000	098761101	BOB EVANS FARMS INC	03/17/2006	08/11/2009	1,233 98	1,193 35	40 61	0 00
70 0000	098761101	BOB EVANS FARMS INC	03/17/2006	06/23/2009	2,005 02	2,088 37	-83 35	0 00
120 0000	098761101	BOB EVANS FARMS INC	03/17/2006	07/10/2009	3,370 57	3,580 06	-209 49	0 00
80 0000	098761101	BOB EVANS FARMS INC	03/17/2006	07/16/2009	2,396 95	2,388 70	10 25	0 00
90 0000	098761101	BOB EVANS FARMS INC	VARIOUS	07/20/2009	2,653 85	2,684 79	-30 94	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 10 of 28

Account Number AR0238

Recipient's Tax ID number 30-8000348

Payer's Federal ID number 20-8007203

Questions? (800)392-8244

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
90 0000	098761101	BOB EVANS FARMS INC	VARIOUS	08/06/2009	2,498 88	2,658 15	-159 27	0 00
100 0000	098761101	BOB EVANS FARMS INC	07/18/2008	08/12/2009	2,629 32	2,894 97	-265 65	0 00
520 0000	14057C106	CAPITOL FED FINL	05/24/2006	03/03/2009	18,553 49	16,556 80	1,996 69	0 00
200 0000	14057C106	CAPITOL FED FINL	05/24/2006	10/29/2009	6,160 20	6,368 00	-207 80	0 00
1440 0000	15189T107	CENTERPOINT ENERGY INC	VARIOUS	03/03/2009	13,348 86	19,750 32	-6,401 46	0 00
280 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	08/26/2009	3,697 14	3,629 00	68 14	0 00
220 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	08/28/2009	2,747 15	2,753 04	-5 89	0 00
250 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	08/09/2009	3,052 85	3,128 45	-75 60	0 00
220 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	09/17/2009	2,742 51	2,753 04	-10 53	0 00
250 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	09/24/2009	3,069 72	3,128 45	-58 73	0 00
263 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	10/20/2009	3,392 48	3,291 13	101 35	0 00
257 0000	15189T107	CENTERPOINT ENERGY INC	03/17/2006	10/21/2009	3,314 64	3,216 04	98 60	0 00
120 0000	12618H309	CNX GAS CORP	03/17/2006	02/04/2009	3,051 14	2,682 00	369 14	0 00
680 0000	12618H309	CNX GAS CORP	03/17/2006	03/03/2009	14,164 39	15,198 00	-1,033 61	0 00
140 0000	205768203	COMSTOCK RES INC COM NEW	04/02/2007	03/03/2009	3,875 18	3,863 98	11 20	0 00
70 0000	205768203	COMSTOCK RES INC COM NEW	VARIOUS	06/30/2009	2,284 40	1,916 63	367 77	0 00
85 0000	205768203	COMSTOCK RES INC COM NEW	03/28/2007	07/02/2009	2,638 36	2,258 93	379 43	0 00
510 0000	247850100	DELTIC TIMBER CORP CM	VARIOUS	03/03/2009	15,141 86	28,086 02	-12,944 06	0 00
50 0000	350060109	FOSTER LB CO-A	VARIOUS	11/27/2009	1,401 76	1,261 12	140 64	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 11 of 28

Account Number AR0238
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1e)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
50 0000	350060109	FOSTER LB CO-A	10/28/2008	12/03/2009	1,404 03	1,101 91	302 12	0 00
130 0000	387328107	GRANITE CONSTR INC COM	09/03/2008	09/25/2009	3,891 00	4,725 81	-834 81	0 00
180 0000	421906108	HEALTHCARE SVCS GROUP INC	03/17/2008	01/29/2009	2,737 44	2,452 44	285 00	0 00
1280 0000	421906108	HEALTHCARE SVCS GROUP INC	03/17/2008	03/03/2009	19,208 85	17,439 57	1,769 28	0 00
1580 0000	46146P102	INVESTORS BANCORP INC	03/17/2008	03/03/2009	11,003 37	22,009 40	-11,006 03	0 00
250 0000	46146P102	INVESTORS BANCORP INC	03/17/2008	08/19/2009	2,177 77	3,482 50	-1,304 73	0 00
1550 0000	472319102	JEFFERIES GROUP INC NEW	VARIOUS	03/03/2009	14,018 43	30,928 24	-16,909 81	0 00
140 0000	472319102	JEFFERIES GROUP INC NEW	07/14/2008	08/12/2009	3,169 94	2,125 44	1,044 50	0 00
80 0000	472319102	JEFFERIES GROUP INC NEW	07/14/2008	08/18/2009	1,728 17	1,214 53	513 64	0 00
80 0000	472319102	JEFFERIES GROUP INC NEW	VARIOUS	10/21/2009	1,842 19	909 61	732 58	0 00
70 0000	472319102	JEFFERIES GROUP INC NEW	04/17/2008	10/22/2009	1,821 74	1,056 26	865 48	0 00
130 0000	472319102	JEFFERIES GROUP INC NEW	VARIOUS	10/27/2009	3,474 91	1,886 15	1,588 76	0 00
600 0000	527288104	LEUCADIA NATL CORP COM	03/17/2008	03/03/2009	7,085 02	17,330 01	-10,243 99	0 00
50 0000	527288104	LEUCADIA NATL CORP COM	03/17/2008	09/01/2009	1,193 47	1,444 17	-250 70	0 00
1280 0000	566330106	MARCUS CORP COM	VARIOUS	03/03/2009	9,193 87	21,918 41	-12,724 74	0 00
730 0000	61748W108	MORGANS HOTEL GROUP CO	VARIOUS	02/25/2009	1,261 80	17,525 08	-16,263 28	0 00
1140 0000	61748W108	MORGANS HOTEL GROUP CO	VARIOUS	03/03/2009	2,662 80	25,561 56	-22,898 76	0 00
1450 0000	G67742109	ONEBEACON INSURANCE GROUP LTD-A	VARIOUS	03/03/2009	15,427 77	39,349 92	-23,922 15	0 00
290 0000	690368105	OVERSEAS SHIPHOLDING GROUP INC COM	VARIOUS	03/03/2009	6,627 45	24,062 02	-17,434 57	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 12 of 28

Account Number AR0238
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
800 0000	713278109	PEP BOYS MANNY MOE & JACK COM	VARIOUS	01/12/2009	2,959 18	10,173 40	-7,214 22	0 00
70 0000	724078100	PIPER JAFFRAY COS INC	VARIOUS	01/27/2009	1,976 20	3,549 74	-1,573 54	0 00
40 0000	724078100	PIPER JAFFRAY COS INC	09/17/2007	02/05/2009	1,261 27	1,931 46	-670 19	0 00
110 0000	724078100	PIPER JAFFRAY COS INC	08/17/2007	02/11/2009	3,218 21	5,311 52	-2,093 31	0 00
230 0000	724078100	PIPER JAFFRAY COS INC	VARIOUS	02/17/2009	6,418 13	11,022 75	-4,604 62	0 00
20 0000	724078100	PIPER JAFFRAY COS INC	10/19/2007	02/26/2009	480 00	944 95	-464 95	0 00
190 0000	74837R104	QUICKSILVER RESOURCES INC	03/17/2006	04/30/2009	1,550 42	3,603 01	-2,052 59	0 00
480 0000	74837R104	QUICKSILVER RESOURCES INC	03/17/2006	05/04/2009	4,343 74	9,102 33	-4,758 59	0 00
340 0000	74837R104	QUICKSILVER RESOURCES INC	03/17/2006	05/06/2009	3,072 98	6,447 49	-3,374 51	0 00
460 0000	034164103	THE ANDERSONS INC	VARIOUS	03/03/2009	5,294 62	21,294 22	-15,999 60	0 00
100 0000	034164103	THE ANDERSONS INC	03/17/2006	08/30/2009	3,023 85	3,197 00	-173 15	0 00
150 0000	034164103	THE ANDERSONS INC	03/17/2006	07/15/2009	4,334 85	4,795 50	-460 65	0 00
50 0000	034164103	THE ANDERSONS INC	03/17/2006	07/27/2009	1,581 60	1,598 50	-16 90	0 00
110 0000	034164103	THE ANDERSONS INC	03/17/2006	08/06/2009	3,142 93	3,516 70	-373 77	0 00
80 0000	034164103	THE ANDERSONS INC	03/17/2006	08/25/2009	2,406 87	2,557 60	-150 63	0 00
70 0000	034164103	THE ANDERSONS INC	03/17/2006	09/01/2009	2,290 99	2,237 90	53 09	0 00
40 0000	034164103	THE ANDERSONS INC	03/17/2006	09/17/2009	1,434 40	1,278 80	155 60	0 00
450 0000	902788108	UMB FINANCIAL CORP	03/17/2006	03/03/2009	16,311 50	16,737 79	573 71	0 00
250 0000	910331107	UNITED FIRE & CAS CO COM	02/15/2008	03/03/2009	4,060 12	8,555 35	-4,495 23	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 13 of 28

Account Number

AR0238

Recipient's Name and Address

Recipient's Tax ID number
Payer's Federal ID number
Questions?

30-6000348
20-8007203
(800)392-8244

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected

☐ 2nd TIN notice

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)		(Box 1a)	(Box 2)			(Box 4)
500 0000	91879Q109	VAIL RESORTS INC COM	VARIOUS	03/03/2009	8,620 00	29,372 98	-20,752 98	0 00
1440 0000	950587105	WENDYS/ARBYS GROUP INC	03/17/2008	03/03/2009	8,140 55	26,515 15	-20,374 60	0 00
380 0000	950587105	WENDYS/ARBYS GROUP INC	03/17/2008	05/08/2009	1,869 82	6,997 05	-5,127 23	0 00
240 0000	950587105	WENDYS/ARBYS GROUP INC	03/17/2008	05/18/2009	1,018 91	4,419 19	-3,400 28	0 00
311 0000	950587105	WENDYS/ARBYS GROUP INC	VARIOUS	05/20/2009	1,381 81	5,609 84	-4,228 23	0 00
1990 0000	974280307	WINN DIXIE STORES INC	VARIOUS	03/03/2009	18,327 99	36,315 10	-17,987 11	0 00
130 0000	974280307	WINN DIXIE STORES INC	02/13/2007	06/28/2009	1,886 31	2,270 60	-584 29	0 00
Total Long Term 15% Sales Reported on 1099-B						680,976 30	-300,709 48	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 6 of 62

Account Number AR0239
 Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
100 0000	00508X203	ACTUANT CORP CL A	VARIOUS	08/05/2009	1,274 50	1,738 66	-464 16	0 00
80 0000	00850H103	AGRIA CORP-SPONSORED ADR	06/23/2008	03/18/2009	66 85	516 10	-449 25	0 00
120 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	03/18/2009	102 04	757 15	-855 11	0 00
50 0000	015351109	ALEXION PHARMACEUTICALS INC	12/31/2008	01/14/2009	1,821 60	1,761 87	59 73	0 00
6000	02076X102	ALPHA NATURAL RESOURCES INC	10/23/2008	08/11/2009	19 57	9 26	10 31	0 00
100 0000	02076X102	ALPHA NATURAL RESOURCES INC	09/23/2008	09/17/2009	3,857 34	3,757 02	100 32	0 00
200 0000	032346108	AMYLIN PHARMACEUTICALS INC COM	09/16/2009	12/08/2009	2,775 93	2,848 78	-72 85	0 00
100 0000	075571109	BEBE STORES INC	01/25/2008	01/05/2009	721 64	1,181 50	-459 86	0 00
400 0000	075571109	BEBE STORES INC	VARIOUS	01/07/2009	2,685 26	4,668 68	-1,983 42	0 00
100 0000	075571109	BEBE STORES INC	01/29/2008	01/07/2009	668 90	1,156 41	-487 51	0 00
200 0000	075571109	BEBE STORES INC	VARIOUS	01/09/2009	1,265 57	2,303 65	-1,038 08	0 00
100 0000	075571109	BEBE STORES INC	01/24/2008	01/12/2009	604 52	1,136 75	-532 23	0 00
100 0000	075571109	BEBE STORES INC	01/24/2008	01/14/2009	531 11	1,136 75	-605 64	0 00
100 0000	075571109	BEBE STORES INC	06/17/2008	01/15/2009	558 31	1,102 95	-544 64	0 00
100 0000	075571109	BEBE STORES INC	06/18/2008	01/21/2009	558 95	1,102 00	-543 05	0 00
100 0000	075571109	BEBE STORES INC	06/18/2008	01/21/2009	553 79	1,098 86	-545 07	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 7 of 62

Account Number
AR0239
Recipient's Tax ID number
30-6000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244

Corrected ☐ 2nd TIN notice ☐

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/02/2009	12/16/2009	1,866 25	1,075 00	791 25	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/02/2009	12/18/2009	1,829 91	1,075 00	754 91	0 00
50 0000	112463104	BROOKDALE SENIOR LIVING INC	08/02/2009	12/18/2009	914 97	537 50	377 47	0 00
200 0000	118759109	BUCYRUS INTL INC	03/24/2009	04/23/2009	3,730 08	3,226 00	504 08	0 00
100 0000	118759109	BUCYRUS INTL INC	03/24/2009	07/21/2009	3,101 46	1,600 53	1,500 93	0 00
100 0000	118759109	BUCYRUS INTL INC	03/24/2009	09/17/2009	3,645 17	1,600 53	2,044 64	0 00
50 0000	118759109	BUCYRUS INTL INC	03/24/2009	10/23/2009	2,405 18	800 27	1,604 91	0 00
50 0000	118759109	BUCYRUS INTL INC	03/24/2009	10/27/2009	2,360 45	800 27	1,560 18	0 00
100 0000	118759109	BUCYRUS INTL INC	VARIOUS	11/04/2009	4,832 86	1,570 72	3,262 14	0 00
50 0000	118759109	BUCYRUS INTL INC	04/01/2009	12/08/2009	2,499 94	769 14	1,730 80	0 00
500 0000	127387108	CADENCE DESIGN SYS INC COM	VARIOUS	12/08/2009	3,065 42	3,014 97	50 45	0 00
300 0000	14159L103	CARDIONET INC	VARIOUS	05/15/2009	5,392 36	7,919 16	-2,526 80	0 00
100 0000	14159L103	CARDIONET INC	10/07/2008	05/15/2009	1,836 46	2,302 98	-466 52	0 00
100 0000	14159L103	CARDIONET INC	11/04/2008	05/15/2009	1,816 95	2,508 24	-691 29	0 00
50 0000	14159L103	CARDIONET INC	10/08/2008	05/18/2009	851 97	1,151 33	-299 36	0 00
100 0000	14159L103	CARDIONET INC	VARIOUS	05/18/2009	1,702 19	2,272 85	-570 66	0 00
50 0000	14159L103	CARDIONET INC	10/06/2008	05/19/2009	1,010 47	1,121 52	-111 05	0 00
100 0000	159864107	CHARLES RIV LABORATORIES INTL INC	01/20/2009	04/23/2009	2,524 31	2,598 80	-74 49	0 00
100 0000	159864107	CHARLES RIV LABORATORIES INTL INC	VARIOUS	04/24/2009	2,548 35	2,590 18	-41 81	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 8 of 62

Account Number

AR0239

30-6000348

Payer's Federal ID number

20-8007203

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY

WMS TAX DEPARTMENT

PO BOX 5300

BOSTON, MA 02206-5300

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN

W3563 MEREDITH LANE

GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
50 0000	159864107	CHARLES RIV LABORATORIES INTL INC	01/20/2009	04/27/2009	1,282 28	1,294 72	-12 44	0 00
50 0000	159864107	CHARLES RIV LABORATORIES INTL INC	01/21/2009	04/28/2009	1,311 40	1,289 68	21 72	0 00
100 0000	159864107	CHARLES RIV LABORATORIES INTL INC	VARIOUS	04/28/2009	2,638 49	2,584 93	53 56	0 00
50 0000	159864107	CHARLES RIV LABORATORIES INTL INC	01/20/2009	04/29/2009	1,334 11	1,279 93	54 18	0 00
50 0000	159864107	CHARLES RIV LABORATORIES INTL INC	01/20/2009	04/29/2009	1,345 29	1,279 94	65 35	0 00
150 0000	182456107	CHATTEM INC	VARIOUS	04/09/2009	7,988 04	10,309 34	-2,321 30	0 00
50 0000	182456107	CHATTEM INC	08/15/2008	04/09/2009	2,669 69	3,389 86	-720 17	0 00
100 0000	182456107	CHATTEM INC	VARIOUS	04/13/2009	5,279 25	6,736 61	-1,457 36	0 00
350 0000	168615102	CHICOS FAS INC COM	VARIOUS	05/27/2009	3,377 83	2,644 45	733 38	0 00
50 0000	188615102	CHICOS FAS INC COM	04/23/2009	06/26/2009	480 20	375 49	104 71	0 00
50 0000	188615102	CHICOS FAS INC COM	04/23/2009	06/26/2009	486 44	375 49	90 95	0 00
200 0000	168615102	CHICOS FAS INC COM	VARIOUS	11/18/2009	2,951 22	1,405 56	1,545 66	0 00
200 0000	168615102	CHICOS FAS INC COM	VARIOUS	11/19/2009	2,908 50	1,370 50	1,538 00	0 00
200 0000	168615102	CHICOS FAS INC COM	VARIOUS	12/08/2009	2,762 43	1,358 94	1,403 49	0 00
100 0000	218868107	CORINTHIAN COLLEGES INC	07/14/2008	01/09/2009	1,737 83	1,190 37	547 46	0 00
50 0000	218868107	CORINTHIAN COLLEGES INC	07/14/2008	01/12/2009	809 19	595 19	214 00	0 00
20 0000	218868107	CORINTHIAN COLLEGES INC	07/14/2008	01/13/2009	312 46	238 07	74 39	0 00
100 0000	218868107	CORINTHIAN COLLEGES INC	07/15/2008	02/13/2009	2,076 61	1,181 35	895 26	0 00
100 0000	22943F100	CTRIIP COM INTL-SPONSORED ADR	05/04/2009	07/10/2009	4,000 13	3,325 56	674 57	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 9 of 62

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1e)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	22843F100	CTRIIP COM INTL-SPONSORED ADR	VARIOUS	07/13/2009	4,160 82	3,312 86	847 96	0 00
200 0000	23261J106	CYBERSOURCE CORP DEL	VARIOUS	12/08/2008	3,650 91	3,431 98	218 95	0 00
250 0000	23767P109	DATA DOMAIN INC	VARIOUS	05/20/2009	6,063 74	3,433 05	2,630 69	0 00
400 0000	23767P108	DATA DOMAIN INC	VARIOUS	05/21/2009	9,583 75	5,172 58	4,411 19	0 00
250 0000	23767P109	DATA DOMAIN INC	VARIOUS	05/21/2009	5,985 07	3,267 74	2,717 33	0 00
100 0000	23767P109	DATA DOMAIN INC	VARIOUS	06/02/2009	3,131 34	1,279 35	1,851 99	0 00
350 0000	23767P109	DATA DOMAIN INC	VARIOUS	06/03/2009	11,329 34	4,420 72	6,908 62	0 00
200 0000	253393102	DICKS SPORTING GOODS INC	05/18/2009	11/11/2009	4,975 29	3,899 18	1,076 11	0 00
200 0000	253393102	DICKS SPORTING GOODS INC	VARIOUS	11/12/2009	4,840 39	3,183 88	1,656 51	0 00
100 0000	253393102	DICKS SPORTING GOODS INC	01/09/2009	11/12/2009	2,437 62	1,423 28	1,014 34	0 00
200 0000	253393102	DICKS SPORTING GOODS INC	01/09/2009	11/13/2009	4,801 37	2,846 58	1,954 81	0 00
100 0000	253393102	DICKS SPORTING GOODS INC	01/09/2009	11/16/2009	2,504 38	1,423 28	1,081 10	0 00
200 0000	292475209	EMULEX CORP COM NEW	08/05/2009	12/08/2009	2,007 95	1,876 26	131 69	0 00
150 0000	35039W100	FOUNDATION COAL HOLDINGS INC	VARIOUS	05/18/2009	4,430 01	6,395 17	-1,965 16	0 00
100 0000	365558105	GARDNER DENVER MACHY INC COM	VARIOUS	12/08/2008	3,873 18	4,009 33	-136 15	0 00
100 0000	384313102	GRAFTECH INTERNATIONAL LTD	01/06/2009	02/24/2009	639 49	1,003 00	-363 51	0 00
500 0000	384313102	GRAFTECH INTERNATIONAL LTD	VARIOUS	02/24/2009	3,261 13	4,778 77	-1,517 64	0 00
100 0000	421933102	HEALTH MGMT ASSOC INC NEW CL A COM	07/29/2009	09/17/2009	791 35	603 87	187 48	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 10 of 62

Account Number AR0239
 Recipient's Tax ID number 30-8000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02208-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	421933102	HEALTH MGMT ASSOC INC NEW CL A COM	07/28/2009	09/17/2009	801 47	585 98	215 49	0 00
100 0000	421933102	HEALTH MGMT ASSOC INC NEW CL A COM	07/28/2009	09/18/2009	781 90	585 98	195 92	0 00
100 0000	421933102	HEALTH MGMT ASSOC INC NEW CL A COM	07/28/2009	08/18/2009	783 11	585 98	197 13	0 00
200 0000	421933102	HEALTH MGMT ASSOC INC NEW CL A COM	07/28/2009	12/08/2009	1,317 89	1,171 96	146 03	0 00
200 0000	428291108	HEXCEL CORP NEW COM	VARIOUS	12/08/2009	2,197 96	2,866 38	-668 42	0 00
50 0000	45666Q102	INFORMATICA CORP	09/16/2008	04/17/2009	711 84	782 24	-70 40	0 00
100 0000	45666Q102	INFORMATICA CORP	VARIOUS	04/17/2009	1,421 07	1,542 67	-121 60	0 00
100 0000	45666Q102	INFORMATICA CORP	08/17/2008	04/20/2009	1,399 19	1,520 86	-121 67	0 00
50 0000	45666Q102	INFORMATICA CORP	09/17/2008	04/20/2009	702 98	760 43	-57 45	0 00
50 0000	45666Q102	INFORMATICA CORP	09/17/2008	04/22/2009	698 48	756 73	-58 25	0 00
50 0000	45666Q102	INFORMATICA CORP	09/18/2008	04/22/2009	697 99	738 73	-38 74	0 00
50 0000	45666Q102	INFORMATICA CORP	09/17/2008	04/22/2009	699 33	756 73	-57 40	0 00
100 0000	45666Q102	INFORMATICA CORP	VARIOUS	06/29/2009	1,714 14	1,462 38	251 76	0 00
100 0000	45666Q102	INFORMATICA CORP	07/01/2008	07/01/2009	1,721 23	1,451 30	269 93	0 00
300 0000	458118106	INTEGRATED DEVICE TECHNOLOGY INC COM	06/10/2009	12/08/2009	1,850 95	1,883 91	-32 96	0 00
100 0000	48121Y102	INTREPID POTASH INC	VARIOUS	12/08/2009	3,033 93	2,227 12	806 81	0 00
100 0000	48121Y102	INTREPID POTASH INC	04/17/2009	12/14/2009	2,916 38	2,218 66	697 72	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 11 of 62

Account Number AR0239
 Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Recipient's Tax ID number 30-8000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244

☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
400 0000	48121Y102	INTREPID POTASH INC	VARIOUS	12/15/2009	11,880 77	8,750 15	3,130 62	0 00
50 0000	46121Y102	INTREPID POTASH INC	04/13/2009	12/16/2009	1,500 59	1,048 90	453 69	0 00
100 0000	48812H402	J CREW GROUP INC	04/13/2009	08/02/2009	2,651 00	1,623 91	1,027 09	0 00
100 0000	46612H402	J CREW GROUP INC	04/13/2009	06/02/2009	2,679 47	1,623 91	1,055 56	0 00
50 0000	48812H402	J CREW GROUP INC	04/23/2009	08/02/2009	1,323 56	806 00	517 56	0 00
100 0000	46612H402	J CREW GROUP INC	VARIOUS	10/26/2009	4,284 80	1,611 31	2,673 49	0 00
100 0000	48812H402	J CREW GROUP INC	04/23/2009	10/27/2009	4,279 95	1,610 62	2,669 33	0 00
50 0000	46612H402	J CREW GROUP INC	04/23/2009	12/08/2009	2,156 44	805 31	1,351 13	0 00
200 0000	48612J507	JDS UNIPHASE CORP	VARIOUS	07/17/2009	1,116 05	1,245 23	-129 18	0 00
200 0000	48612J507	JDS UNIPHASE CORP	04/29/2009	07/20/2009	1,145 05	1,087 48	57 57	0 00
100 0000	48612J507	JDS UNIPHASE CORP	VARIOUS	07/20/2009	576 15	581 47	-5 32	0 00
100 0000	48612J507	JDS UNIPHASE CORP	VARIOUS	07/20/2009	572 97	541 17	31 80	0 00
100 0000	48612J507	JDS UNIPHASE CORP	04/24/2009	07/21/2009	565 84	523 74	42 10	0 00
100 0000	48612J507	JDS UNIPHASE CORP	04/24/2009	07/21/2009	566 28	523 74	42 54	0 00
200 0000	48612J507	JDS UNIPHASE CORP	04/24/2009	07/22/2009	1,125 89	1,047 48	78 41	0 00
200 0000	48612J507	JDS UNIPHASE CORP	04/24/2009	07/24/2009	1,140 75	1,047 48	93 27	0 00
100 0000	48612J507	JDS UNIPHASE CORP	VARIOUS	07/24/2009	571 87	619 27	52 70	0 00
200 0000	48612J507	JDS UNIPHASE CORP	04/28/2009	07/27/2009	1,143 75	1,032 06	111 69	0 00
200 0000	48612J507	JDS UNIPHASE CORP	VARIOUS	11/12/2009	1,543 50	1,017 67	525 83	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 12 of 62

Account Number AR0239
Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	46612J507	JDS UNIPHASE CORP	04/28/2009	11/12/2009	770 98	516 03	254 95	0 00
100 0000	46612J507	JDS UNIPHASE CORP	VARIOUS	11/13/2009	765 76	506 44	259 32	0 00
100 0000	46612J507	JDS UNIPHASE CORP	VARIOUS	11/18/2009	760 98	505 77	255 22	0 00
100 0000	46612J507	JDS UNIPHASE CORP	VARIOUS	11/18/2009	763 23	501 58	261 64	0 00
200 0000	46612J507	JDS UNIPHASE CORP	VARIOUS	11/23/2009	1,477 34	992 59	484 75	0 00
100 0000	46612J507	JDS UNIPHASE CORP	04/30/2009	11/24/2009	732 04	492 81	239 23	0 00
50 0000	46612J507	JDS UNIPHASE CORP	04/30/2009	11/25/2009	384 50	248 40	138 10	0 00
200 0000	46612J507	JDS UNIPHASE CORP	04/30/2009	11/25/2009	1,542 78	985 62	557 16	0 00
100 0000	48273U102	K12 INC	VARIOUS	12/08/2009	1,803 96	1,824 41	79 55	0 00
200 0000	48666K108	KB HOME	09/08/2008	04/28/2009	3,675 54	4,495 44	-819 90	0 00
50 0000	497268106	KIRBY CORP COM	03/31/2009	12/08/2009	1,670 96	1,325 23	345 73	0 00
150 0000	532791100	LINCARE HLDGS INC COM	VARIOUS	12/08/2009	5,375 86	3,995 50	1,380 36	0 00
150 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	VARIOUS	04/13/2009	1,952 91	2,093 04	-140 13	0 00
100 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	12/12/2008	08/17/2009	1,681 31	1,376 63	304 68	0 00
100 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	VARIOUS	08/18/2009	1,677 56	1,369 11	308 45	0 00
100 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	12/11/2008	10/08/2009	2,122 03	1,347 72	774 31	0 00
100 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	12/11/2008	10/08/2009	2,140 97	1,347 72	793 25	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 13 of 62

Account Number

AR0239

Recipient's Name and Address

Recipient's Tax ID number

30-6000348

MICHAEL T RIORDAN FAMILY FDTN

Payer's Federal ID number

20-8007203

W3563 MEREDITH LANE

Questions?

(800)392-9244

GREEN LAKE, WI 54941-9650

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY

WMS TAX DEPARTMENT

PO BOX 5300

BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
300 0000	584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	VARIOUS	10/09/2009	6,180 65	3,847 91	2,332 74	0 00
50 0000	585028108	MEDNAX INC	02/13/2009	04/22/2009	1,727 02	1,923 50	-196 48	0 00
50 0000	585028108	MEDNAX INC	01/28/2009	04/24/2009	1,698 72	1,749 25	-50 53	0 00
100 0000	585028108	MEDNAX INC	VARIOUS	04/24/2009	3,397 38	3,480 67	-83 29	0 00
100 0000	64110L108	NETFLIX INC	VARIOUS	12/08/2009	5,563 86	3,977 80	1,586 06	0 00
100 0000	67020Y100	NUANCE COMMUNICATIONS INC	12/31/2008	12/08/2009	1,480 96	1,031 68	449 28	0 00
100 0000	678028105	OIL STATES INTL INC	10/30/2008	10/22/2009	3,803 88	2,250 19	1,553 49	0 00
50 0000	682189105	ON SEMICONDUCTOR CORP	09/29/2008	01/28/2009	211 05	318 80	-107 75	0 00
100 0000	682189105	ON SEMICONDUCTOR CORP	03/24/2008	01/27/2009	425 96	559 48	-133 52	0 00
50 0000	682189105	ON SEMICONDUCTOR CORP	03/24/2008	01/27/2009	212 41	279 74	-67 33	0 00
72 0000	682189105	ON SEMICONDUCTOR CORP	VARIOUS	01/28/2009	329 71	389 98	-60 27	0 00
78 0000	682189105	ON SEMICONDUCTOR CORP	10/08/2008	01/28/2009	354 62	390 84	-36 22	0 00
350 0000	69344F108	PMC-SIERRA INC COM	VARIOUS	01/30/2009	1,730 03	1,690 99	39 04	0 00
50 0000	69344F108	PMC-SIERRA INC COM	10/20/2008	01/30/2009	245 54	240 75	4 79	0 00
200 0000	69344F108	PMC-SIERRA INC COM	10/17/2008	03/09/2009	1,028 27	983 00	65 27	0 00
100 0000	73278L105	POOL CORP	06/22/2009	07/27/2009	2,284 89	1,624 30	640 59	0 00
100 0000	73278L105	POOL CORP	06/23/2009	07/28/2009	2,266 04	1,615 38	650 66	0 00
100 0000	73278L105	POOL CORP	06/23/2009	07/29/2009	2,309 76	1,615 38	694 38	0 00
100 0000	756577102	RED HAT INC	01/12/2009	06/19/2009	1,988 03	1,558 71	429 32	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 14 of 62

Account Number AR0239
 Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	758577102	RED HAT INC	01/12/2009	06/22/2009	1,902 34	1,558 71	343 63	0 00
100 0000	758577102	RED HAT INC	01/16/2009	06/23/2009	1,879 23	1,449 96	428 27	0 00
100 0000	758577102	RED HAT INC	VARIOUS	07/20/2009	2,229 27	1,400 75	828 52	0 00
100 0000	758577102	RED HAT INC	03/02/2009	07/20/2009	2,224 49	1,351 53	872 96	0 00
100 0000	758577102	RED HAT INC	03/02/2009	12/08/2009	2,778 94	1,351 53	1,427 41	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	02/18/2009	248 49	950 00	-701 51	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	02/24/2009	193 52	950 00	-758 48	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	02/27/2009	189 24	950 00	-760 76	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/10/2009	167 49	950 00	-782 51	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/11/2009	158 52	950 00	-791 48	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/18/2009	148 63	950 00	-801 37	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/19/2009	152 15	950 00	-797 85	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/23/2009	149 33	950 00	-800 67	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/24/2009	154 12	950 00	-795 88	0 00
50 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/25/2009	153 49	950 00	-798 51	0 00
100 0000	Y7388L103	SAFE BULKERS INC	05/28/2008	03/27/2009	350 02	1,900 00	-1,549 98	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/16/2008	03/31/2009	155 99	917 96	-761 97	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/16/2008	04/01/2009	155 99	917 96	-761 97	0 00
50 0000	Y7388L103	SAFE BULKERS INC	07/07/2008	04/03/2009	173 49	911 47	-737 98	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 15 of 62

Account Number

AR0238

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Recipient's Tax ID number

30-8000348

Payer's Federal ID number

20-8007203

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

Number of shares	CUSIP	Description	Date Acquired	Date of Sale	Stocks Bonds, etc	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld
(Box 5)	(Box 1b)	(Box 7)	(Box 1a)	(Box 2)				(Box 4)
50 0000	Y7388L103	SAFE BULKERS INC	07/07/2008	04/08/2009	163 58	911 46	-747 90	0 00
50 0000	Y7388L103	SAFE BULKERS INC	07/07/2008	04/09/2009	173 49	911 47	-737 98	0 00
50 0000	Y7388L103	SAFE BULKERS INC	07/07/2008	04/13/2009	176 46	911 46	-735 00	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/19/2008	04/14/2009	184 74	901 45	-716 71	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/19/2008	04/16/2009	195 85	901 45	-705 60	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/18/2008	04/17/2009	211 99	898 87	-686 88	0 00
50 0000	Y7388L103	SAFE BULKERS INC	08/18/2008	04/17/2009	215 58	898 88	-683 30	0 00
200 0000	Y7388L103	SAFE BULKERS INC	VARIOUS	04/20/2009	738 94	3,339 29	-2,600 35	0 00
50 0000	828919102	SILICON LABORATORIES INC OC-COM	03/09/2009	03/19/2009	1,384 39	1,102 46	281 93	0 00
100 0000	834168109	SOLARWINDS INC	VARIOUS	12/08/2009	2,097 95	2,155 40	-57 45	0 00
200 0000	834378501	SOLUTIA INC	VARIOUS	12/08/2009	2,271 94	2,642 92	-370 98	0 00
100 0000	83545G102	SONIC AUTOMOTIVE INC	09/18/2009	12/08/2009	963 99	1,061 05	-97 06	0 00
50 0000	860630102	STIFEL FINANCIAL CORP	10/28/2009	12/08/2009	2,682 93	2,637 38	45 55	0 00
100 0000	78478V100	STR HOLDINGS INC	11/06/2009	12/08/2009	1,248 98	1,000 00	248 98	0 00
600 0000	88033G100	TENET HEALTHCARE CORP COM	VARIOUS	09/17/2009	3,464 25	2,453 08	1,011 17	0 00
200 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	09/17/2009	1,162 96	817 56	345 40	0 00
300 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/03/2009	1,489 49	1,226 34	263 15	0 00
100 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/03/2009	496 48	406 46	90 02	0 00
200 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/03/2009	991 97	812 92	179 05	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 16 of 62

Account Number AR0239
 Recipient's Tax ID number 30-8000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3583 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
400 0000	88033G100	TENET HEALTHCARE CORP COM	VARIOUS	12/03/2009	1,990 22	1,612 76	377 46	0 00
200 0000	88033G100	TENET HEALTHCARE CORP COM	VARIOUS	12/04/2009	975 31	798 60	176 71	0 00
300 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/04/2009	1,487 98	1,189 50	298 48	0 00
500 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/04/2009	2,355 88	1,930 75	425 13	0 00
200 0000	88033G100	TENET HEALTHCARE CORP COM	07/28/2009	12/04/2009	972 85	772 30	200 55	0 00
200 0000	884768102	THOMPSON CREEK METALS CO INC	05/04/2009	08/26/2009	2,534 09	1,527 70	1,006 39	0 00
200 0000	884768102	THOMPSON CREEK METALS CO INC	04/30/2009	08/26/2009	2,549 25	1,385 80	1,163 45	0 00
200 0000	884768102	THOMPSON CREEK METALS CO INC	VARIOUS	08/26/2009	2,550 83	1,410 08	1,140 75	0 00
100 0000	884768102	THOMPSON CREEK METALS CO INC	04/30/2009	08/28/2009	1,196 97	692 90	504 07	0 00
100 0000	884768102	THOMPSON CREEK METALS CO INC	04/30/2009	08/28/2009	1,197 69	692 80	504 79	0 00
200 0000	884768102	THOMPSON CREEK METALS CO INC	04/28/2009	08/31/2009	2,297 22	1,243 60	1,053 62	0 00
100 0000	884768102	THOMPSON CREEK METALS CO INC	VARIOUS	08/01/2009	1,157 07	617 42	539 65	0 00
300 0000	884768102	THOMPSON CREEK METALS CO INC	VARIOUS	09/02/2009	3,333 24	1,776 27	1,556 97	0 00
900 0000	90341W108	US AIRWAYS GROUP INC	VARIOUS	12/08/2009	4,067 98	4,650 80	-582 82	0 00
200 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	VARIOUS	08/10/2009	6,898 10	5,611 35	1,286 75	0 00
50 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	09/16/2008	08/12/2009	1,711 31	1,375 82	335 49	0 00
100 0000	942622200	WATSCO INC COM	VARIOUS	06/18/2009	4,777 43	4,259 47	517 96	0 00
Total Short Term Sales Reported on 1099-B						343,767 29	53,257 96	0 00

Long Term 15% Sales Reported on 1099-B

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 17 of 62

Account Number
 Recipient's Tax ID number
 Payer's Federal ID number
 Questions?
☐ Corrected ☐ 2nd TIN notice

AR0239
 30-8000348
 20-8007203
 (800)392-9244

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	00484M106	ACORDA THERAPEUTIC INC	09/15/2008	12/08/2009	2,405 95	2,730 33	-324 38	0 00
19 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/22/2009	279 61	491 69	-212 08	0 00
17 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/22/2009	247 51	439 93	-192 42	0 00
6 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/22/2009	88 09	155 27	-67 18	0 00
3 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/22/2009	44 40	77 63	-33 23	0 00
100 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/24/2009	1,285 46	2,587 82	-1,322 36	0 00
200 0000	00508X203	ACTUANT CORP CL A	06/20/2006	06/24/2009	2,533 55	5,175 64	-2,642 09	0 00
107 0000	00508X203	ACTUANT CORP CL A	VARIOUS	06/28/2009	1,334 88	2,334 56	-999 68	0 00
10 0000	00508X203	ACTUANT CORP CL A	09/20/2004	06/29/2009	124 85	203 09	-78 24	0 00
17 0000	00508X203	ACTUANT CORP CL A	09/20/2004	06/29/2009	211 81	345 25	-133 44	0 00
2 0000	00508X203	ACTUANT CORP CL A	09/20/2004	06/30/2009	24 99	40 62	-15 63	0 00
100 0000	00508X203	ACTUANT CORP CL A	VARIOUS	07/30/2009	1,283 20	2,030 41	-747 21	0 00
100 0000	00508X203	ACTUANT CORP CL A	VARIOUS	07/31/2009	1,279 08	2,015 15	-736 07	0 00
100 0000	00508X203	ACTUANT CORP CL A	09/15/2004	07/31/2009	1,280 40	2,023 95	-743 55	0 00
100 0000	00508X203	ACTUANT CORP CL A	08/27/2004	08/05/2009	1,271 46	1,898 33	-626 87	0 00
93 0000	00508X203	ACTUANT CORP CL A	08/27/2004	08/05/2009	1,185 29	1,765 45	-580 16	0 00
300 0000	00724X102	ADOLOR CORP	VARIOUS	12/08/2009	435 02	2,309 84	-1,874 82	0 00
100 0000	Y0017S102	AEGEAN MARINE PETROLEUM NETWORK INC	05/16/2008	12/08/2009	2,581 94	4,301 90	-1,719 96	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	01/28/2009	63 72	825 00	-761 28	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 18 of 62

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, etc Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	02/05/2009	60 45	825 00	-764 55	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	02/06/2009	61 17	825 00	-763 83	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	02/08/2009	61 10	825 00	-763 90	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	02/10/2009	60 46	825 00	-764 54	0 00
150 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/06/2007	02/17/2009	171 71	2,475 00	-2,303 29	0 00
150 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	02/23/2009	140 42	1,648 43	-1,508 01	0 00
6 0000	00850H103	AGRIA CORP-SPONSORED ADR	12/20/2007	02/24/2009	5 86	65 54	-59 68	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	02/26/2009	46 61	545 59	-498 98	0 00
12 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	02/26/2009	11 45	129 92	-118 47	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	02/27/2009	43 94	541 34	-497 40	0 00
7 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	03/02/2009	6 04	75 79	-69 75	0 00
5 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	03/02/2009	4 39	54 13	-49 74	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	03/04/2009	44 10	541 34	-497 24	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	11/15/2007	03/05/2009	41 14	541 34	-500 20	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	03/12/2009	39 41	540 26	-500 85	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	12/12/2007	03/13/2009	40 38	539 53	-499 15	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	12/12/2007	03/16/2009	38 49	539 53	-501 04	0 00
50 0000	00850H103	AGRIA CORP-SPONSORED ADR	12/12/2007	03/16/2009	39 18	539 53	-500 37	0 00
100 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	03/17/2009	78 26	1,088 57	-990 31	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Account Number ARO239
 Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
150 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	03/17/2009	116 42	1,610 65	-1,494 23	0 00
270 0000	00850H103	AGRIA CORP-SPONSORED ADR	VARIOUS	03/18/2009	225 60	2,851 90	-2,626 30	0 00
50 0000	015351109	ALEXION PHARMACEUTICALS INC	11/23/2005	01/14/2009	1,821 60	543 76	1,277 84	0 00
50 0000	015351109	ALEXION PHARMACEUTICALS INC	11/23/2005	01/15/2009	1,796 83	543 76	1,253 07	0 00
50 0000	015351109	ALEXION PHARMACEUTICALS INC	VARIOUS	05/18/2009	1,663 51	541 08	1,122 43	0 00
100 0000	015351109	ALEXION PHARMACEUTICALS INC	11/23/2005	05/18/2009	3,328 24	1,087 51	2,240 73	0 00
150 0000	015351109	ALEXION PHARMACEUTICALS INC	11/28/2005	12/08/2009	6,832 32	1,591 15	5,241 17	0 00
150 0000	02076X102	ALPHA NATURAL RESOURCES INC	VARIOUS	12/08/2009	5,537 88	5,048 23	489 65	0 00
50 0000	02913V103	AMERICAN PUBLIC EDUCATION INC	02/13/2008	12/08/2009	1,619 48	1,775 00	-155 54	0 00
50 0000	00207R101	ATMI INC COM	12/12/2007	03/26/2009	822 00	1,601 54	-779 54	0 00
200 0000	00207R101	ATMI INC COM	VARIOUS	03/27/2009	3,236 58	5,797 67	-2,561 09	0 00
50 0000	00207R101	ATMI INC COM	02/20/2004	03/31/2009	777 44	1,380 58	-603 14	0 00
100 0000	00207R101	ATMI INC COM	02/23/2004	12/08/2009	1,719 86	2,750 92	-1,030 96	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	09/11/2008	09/17/2009	1,924 57	2,416 50	-491 93	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	09/11/2008	09/17/2009	1,940 76	2,405 19	-464 43	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/12/2008	12/08/2009	1,706 97	2,099 12	-392 15	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/13/2008	12/14/2009	1,833 37	2,090 43	-257 06	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/13/2008	12/14/2009	1,828 68	2,090 43	-261 75	0 00
100 0000	112463104	BROOKDALE SENIOR LIVING INC	08/13/2008	12/15/2009	1,846 06	2,090 43	-244 37	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 20 of 62

Account Number
AR0239
Recipient's Tax ID number
30-8000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE , WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
32 0000	144577103	CARRIZO OIL & GAS INC	02/14/2008	02/24/2009	296 47	1,744 00	-1,447 53	0 00
34 0000	144577103	CARRIZO OIL & GAS INC	02/14/2008	02/24/2009	327 92	1,853 00	-1,525 08	0 00
84 0000	144577103	CARRIZO OIL & GAS INC	02/14/2008	02/24/2009	799 31	4,578 00	-3,778 69	0 00
200 0000	194014106	COLFAX CORP	VARIOUS	12/08/2009	2,720 93	4,851 47	-2,130 54	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	03/14/2008	09/17/2009	1,144 22	1,994 84	-850 62	0 00
200 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	VARIOUS	09/21/2009	2,208 36	3,959 74	-1,751 38	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	10/29/2007	11/02/2009	923 44	1,968 50	-1,045 06	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	11/02/2007	11/03/2009	924 47	1,948 05	-1,024 58	0 00
200 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	VARIOUS	11/03/2009	1,849 17	3,850 91	-2,001 74	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	12/10/2007	11/03/2009	929 78	1,902 27	-972 49	0 00
200 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	VARIOUS	11/04/2009	1,881 59	3,212 55	-1,330 98	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	10/07/2008	11/04/2009	932 83	1,408 99	-476 16	0 00
200 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	VARIOUS	11/05/2009	1,854 51	2,679 22	-824 71	0 00
100 0000	20453E109	COMPLETE PRODUCTION SERVICES INC	10/08/2008	11/06/2009	917 50	1,283 49	-365 99	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 21 of 62

Account Number AR0239
 Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	20453E108	COMPLETE PRODUCTION SERVICES INC	10/08/2008	11/09/2009	943 10	1,283 49	-340 39	0 00
30 0000	218868107	CORINTHIAN COLLEGES INC	10/11/2008	01/13/2008	468 69	357 05	111 64	0 00
150 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	01/18/2009	2,539 42	1,782 32	757 10	0 00
200 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	02/13/2009	4,153 21	2,319 36	1,833 85	0 00
50 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2008	02/18/2009	959 20	571 75	387 45	0 00
50 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	03/30/2009	1,029 19	571 70	457 49	0 00
50 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2008	04/21/2009	828 88	571 10	257 78	0 00
13 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2008	04/21/2009	214 65	148 49	66 16	0 00
17 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2008	04/22/2009	283 73	194 18	89 55	0 00
33 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	04/23/2009	517 59	376 86	140 73	0 00
9 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/23/2009	143 63	102 76	40 87	0 00
5 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/23/2009	77 62	57 09	20 53	0 00
1 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/24/2009	15 96	11 42	4 54	0 00
44 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/24/2009	699 48	502 40	197 08	0 00
2 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/24/2009	31 91	22 84	9 07	0 00
50 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/27/2009	770 62	570 91	199 71	0 00
60 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/28/2009	929 09	685 09	244 00	0 00
9 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/28/2009	139 87	102 77	37 10	0 00
56 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2008	04/29/2009	882 75	638 96	243 79	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 22 of 62

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02208-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
1 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2006	04/29/2009	15 97	11 41	4 56	0 00
8 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	04/29/2009	127 80	81 30	36 50	0 00
82 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	04/30/2009	1,009 86	706 62	303 24	0 00
59 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2006	04/30/2009	930 00	671 21	258 79	0 00
67 0000	218868107	CORINTHIAN COLLEGES INC	10/03/2006	04/30/2009	1,086 58	762 23	324 35	0 00
27 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2006	04/30/2009	429 01	307 06	121 95	0 00
117 0000	218868107	CORINTHIAN COLLEGES INC	VARIOUS	04/30/2009	1,878 05	1,330 76	547 29	0 00
6 0000	218868107	CORINTHIAN COLLEGES INC	10/02/2006	05/01/2009	88 55	88 23	20 32	0 00
50 0000	23251J106	CYBERSOURCE CORP DEL	07/10/2007	03/09/2009	607 37	642 50	-35 13	0 00
400 0000	23251J106	CYBERSOURCE CORP DEL	VARIOUS	03/08/2009	4,886 57	5,002 63	-106 06	0 00
200 0000	23251J106	CYBERSOURCE CORP DEL	VARIOUS	07/23/2009	3,167 85	2,413 33	754 52	0 00
150 0000	23251J106	CYBERSOURCE CORP DEL	VARIOUS	07/23/2009	2,324 57	1,799 36	525 21	0 00
100 0000	254546104	DIONEX CORP COM	VARIOUS	02/12/2009	4,886 97	4,954 10	-67 13	0 00
100 0000	254546104	DIONEX CORP COM	VARIOUS	02/12/2009	4,901 22	4,868 37	32 85	0 00
500 0000	26928A200	EV3 INC	VARIOUS	12/08/2009	8,554 88	8,813 73	-2,258 85	0 00
100 0000	30225X103	EXTERIAN HOLDINGS INC	08/06/2008	12/08/2009	2,086 96	5,081 90	-2,994 94	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	08/03/2005	01/28/2009	1,351 03	961 16	389 87	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	08/03/2005	01/28/2009	1,361 92	961 16	400 76	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	12/18/2007	04/03/2009	784 74	944 98	-160 24	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 23 of 62

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	37247A102	GENTIVA HEALTH SERVICES INC	VARIOUS	04/13/2009	1,682 31	1,887 04	-204 73	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	12/17/2007	04/14/2009	823 62	942 06	-118 44	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	08/31/2005	04/15/2009	784 52	940 93	-156 41	0 00
50 0000	37247A102	GENTIVA HEALTH SERVICES INC	08/31/2005	04/16/2009	781 46	940 92	-159 46	0 00
100 0000	37247A102	GENTIVA HEALTH SERVICES INC	VARIOUS	04/17/2009	1,550 42	1,482 78	67 64	0 00
100 0000	36159R103	GEO GROUP INC	10/05/2007	11/09/2009	2,128 18	2,842 72	-714 54	0 00
100 0000	36159R103	GEO GROUP INC	10/05/2007	11/10/2009	2,117 05	2,837 23	-720 18	0 00
200 0000	36159R103	GEO GROUP INC	05/29/2007	12/08/2009	4,191 89	5,371 78	-1,179 89	0 00
1150 0000	444903108	HUMAN GENOME SCIENCES INC COM	VARIOUS	03/09/2009	893 89	11,871 26	-10,977 37	0 00
850 0000	444903108	HUMAN GENOME SCIENCES INC COM	VARIOUS	03/09/2009	608 00	9,589 65	-8,961 65	0 00
300 0000	444903108	HUMAN GENOME SCIENCES INC COM	VARIOUS	03/09/2009	236 57	2,766 35	-2,529 78	0 00
100 0000	447482102	HURON CONSULTING GROUP INC	08/07/2008	12/08/2009	2,375 95	5,962 44	-3,586 49	0 00
100 0000	452526106	IMMUCOR INC	10/26/2007	04/23/2009	2,102 56	3,208 73	-1,106 17	0 00
50 0000	452526106	IMMUCOR INC	10/26/2007	04/23/2009	1,056 85	1,604 37	-547 52	0 00
200 0000	452526106	IMMUCOR INC	VARIOUS	12/08/2009	3,901 90	5,888 91	-1,987 01	0 00
200 0000	45666Q102	INFORMATICA CORP	VARIOUS	10/20/2009	4,604 82	2,882 38	1,722 44	0 00
200 0000	45666Q102	INFORMATICA CORP	VARIOUS	10/21/2009	4,514 62	2,789 02	1,725 60	0 00
250 0000	45666Q102	INFORMATICA CORP	VARIOUS	12/08/2009	5,879 85	3,313 39	2,566 46	0 00
100 0000	48668K109	KB HOME	09/08/2008	12/08/2009	1,319 98	2,247 72	-927 74	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 24 of 62

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
100 0000	48666K109	KB HOME	09/08/2008	12/21/2009	1,281 99	2,247 72	-965 73	0 00
200 0000	48666K109	KB HOME	09/08/2008	12/21/2009	2,569 73	4,495 44	-1,925 71	0 00
100 0000	48666K109	KB HOME	09/08/2008	12/21/2009	1,283 82	2,247 72	-963 90	0 00
150 0000	574795100	MASIMO CORP	02/05/2008	04/23/2009	4,040 62	4,734 89	-694 27	0 00
50 0000	574795100	MASIMO CORP	02/05/2008	04/24/2009	1,349 51	1,578 29	-228 78	0 00
100 0000	574795100	MASIMO CORP	02/04/2008	12/08/2009	2,720 94	3,124 01	-403 07	0 00
100 0000	584688105	MEDICINES COMPANY	03/07/2008	04/23/2009	1,074 93	1,971 40	-896 47	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/24/2009	532 21	963 38	-431 17	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/27/2009	528 13	963 37	-435 24	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/27/2009	529 46	962 29	-432 83	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/28/2009	518 73	962 29	-443 56	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/28/2009	519 26	962 14	-442 88	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/29/2009	504 27	962 13	-457 86	0 00
50 0000	584688105	MEDICINES COMPANY	01/30/2008	04/29/2009	509 66	961 30	-451 64	0 00
100 0000	584688105	MEDICINES COMPANY	VARIOUS	04/30/2009	1,022 82	1,922 14	-899 32	0 00
50 0000	584688105	MEDICINES COMPANY	01/31/2008	05/01/2009	497 01	960 83	-463 82	0 00
200 0000	584688105	MEDICINES COMPANY	VARIOUS	12/08/2009	1,557 96	3,781 58	-2,223 62	0 00
50 0000	63227W203	NATCO GROUP INC-CL A	05/24/2007	01/02/2009	751 10	2,197 31	-1,446 21	0 00
200 0000	64107N206	NET 1 UEPS TECHNOLOGIES INC	VARIOUS	12/08/2009	3,657 93	5,915 89	-2,257 96	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 25 of 62

Account Number

ARO239

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Recipient's Tax ID number

30-6000348

Payer's Federal ID number

20-8007203

Questions?

(800)392-9244

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address

STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02208-5300

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1e)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
50 0000	647581107	NEW ORIENTAL ED & TECH GROUP-SP ADR	05/07/2007	08/23/2009	3,137 91	2,396 46	741 45	0 00
100 0000	647581107	NEW ORIENTAL ED & TECH GROUP-SP ADR	02/08/2007	10/19/2009	8,038 73	4,150 00	3,888 73	0 00
100 0000	647581107	NEW ORIENTAL ED & TECH GROUP-SP ADR	02/08/2007	10/20/2009	7,258 31	4,150 00	3,108 31	0 00
500 0000	67020Y100	NUANCE COMMUNICATIONS INC	VARIOUS	12/08/2009	7,404 81	2,880 71	4,524 10	0 00
100 0000	678026105	OIL STATES INTL INC	VARIOUS	10/27/2009	3,668 32	1,885 73	1,782 59	0 00
100 0000	678026105	OIL STATES INTL INC	VARIOUS	12/08/2009	3,500 91	1,837 68	1,663 23	0 00
50 0000	671040103	OSI PHARMACEUTICALS INC COM	08/08/2007	04/23/2009	1,623 95	1,607 31	16 64	0 00
50 0000	671040103	OSI PHARMACEUTICALS INC COM	08/08/2007	04/23/2009	1,624 19	1,597 82	26 37	0 00
50 0000	671040103	OSI PHARMACEUTICALS INC COM	08/08/2007	04/24/2009	1,633 40	1,597 81	35 59	0 00
150 0000	671040103	OSI PHARMACEUTICALS INC COM	03/08/2006	12/08/2009	5,221 37	4,628 73	592 64	0 00
50 0000	697529303	PALOMAR MED TECHNOLOGIES INC	12/30/2005	04/23/2009	422 19	1,716 44	-1,294 25	0 00
550 0000	697529303	PALOMAR MED TECHNOLOGIES INC	VARIOUS	04/24/2009	4,508 67	18,549 11	-14,040 44	0 00
100 0000	71721R406	PHASE FORWARD INC	09/29/2008	12/08/2009	1,512 97	2,077 13	-664 16	0 00
700 0000	69344F106	PMC-SIERRA INC COM	VARIOUS	01/06/2008	3,689 11	4,403 13	-714 02	0 00
100 0000	69344F106	PMC-SIERRA INC COM	08/16/2006	01/07/2009	492 67	589 70	-97 03	0 00
50 0000	69344F106	PMC-SIERRA INC COM	08/16/2006	01/29/2009	238 24	294 85	-55 61	0 00
50 0000	69344F106	PMC-SIERRA INC COM	08/16/2006	01/30/2009	247 15	294 85	-47 70	0 00
200 0000	69344F106	PMC-SIERRA INC COM	08/16/2006	01/30/2009	970 55	1,179 40	-208 85	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 26 of 62

Account Number
AR0239
Recipient's Tax ID number
30-6000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02208-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
250 0000	69344F108	PMC-SIERRA INC COM	02/08/2008	03/10/2009	1,342 77	1,181 40	161 37	0 00
100 0000	69344F108	PMC-SIERRA INC COM	02/08/2008	03/10/2009	538 98	472 56	66 42	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/08/2008	03/10/2009	262 24	236 28	25 96	0 00
150 0000	69344F108	PMC-SIERRA INC COM	02/14/2008	03/11/2009	879 07	707 78	171 29	0 00
150 0000	69344F108	PMC-SIERRA INC COM	VARIOUS	03/13/2009	953 84	706 40	247 44	0 00
100 0000	69344F108	PMC-SIERRA INC COM	02/08/2008	03/13/2009	643 90	470 48	173 42	0 00
600 0000	69344F108	PMC-SIERRA INC COM	VARIOUS	04/14/2009	3,979 58	2,791 50	1,188 08	0 00
100 0000	69344F108	PMC-SIERRA INC COM	02/15/2008	04/23/2009	661 98	459 73	202 25	0 00
550 0000	69344F108	PMC-SIERRA INC COM	02/15/2008	04/23/2009	3,678 73	2,528 52	1,151 21	0 00
300 0000	69344F108	PMC-SIERRA INC COM	VARIOUS	04/24/2009	2,417 60	1,359 66	1,057 94	0 00
100 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	04/30/2009	798 80	451 92	346 88	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	04/30/2009	398 39	225 96	172 43	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	05/04/2009	395 52	225 86	169 56	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	05/07/2009	373 01	225 96	147 05	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	05/08/2009	372 99	225 96	147 03	0 00
50 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	05/20/2009	359 34	225 96	133 38	0 00
100 0000	69344F108	PMC-SIERRA INC COM	02/07/2008	05/21/2009	686 71	451 92	234 79	0 00
100 0000	73172K104	POLYCOM INC	10/12/2007	12/08/2009	2,204 94	2,422 11	-217 17	0 00
100 0000	74834T103	QUEST SOFTWARE INC	12/10/2007	07/02/2009	1,404 60	1,731 44	-326 84	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 27 of 82

Account Number AR0239
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-8244

Recipient's Name and Address

MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200 0000	74834T103	QUEST SOFTWARE INC	12/10/2007	07/08/2009	2,774 14	3,462 88	-688 74	0 00
100 0000	74834T103	QUEST SOFTWARE INC	12/11/2007	07/08/2009	1,371 86	1,719 00	-347 04	0 00
300 0000	74834T103	QUEST SOFTWARE INC	VARIOUS	12/08/2009	5,142 86	4,481 47	661 39	0 00
50 0000	74837R104	QUICKSILVER RESOURCES INC	08/30/2006	05/18/2009	488 65	939 42	-450 77	0 00
100 0000	74837R104	QUICKSILVER RESOURCES INC	08/30/2006	05/18/2009	974 84	1,878 83	-903 99	0 00
49 0000	74837R104	QUICKSILVER RESOURCES INC	08/30/2006	10/23/2009	742 27	920 62	-178 35	0 00
101 0000	74837R104	QUICKSILVER RESOURCES INC	VARIOUS	10/23/2009	1,536 42	1,825 54	-289 12	0 00
100 0000	74837R104	QUICKSILVER RESOURCES INC	02/28/2006	12/08/2009	1,286 97	1,806 74	-519 77	0 00
14 0000	75524B104	RBC BEARINGS INC	01/12/2007	01/16/2009	288 18	406 24	-118 06	0 00
51 0000	75524B104	RBC BEARINGS INC	01/12/2007	01/16/2009	1,014 70	1,478 87	-465 17	0 00
28 0000	75524B104	RBC BEARINGS INC	01/12/2007	01/20/2009	536 19	812 48	-276 29	0 00
30 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/21/2009	575 45	778 71	-203 26	0 00
16 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/21/2009	310 35	400 35	-90 00	0 00
14 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/22/2009	266 06	350 27	-84 21	0 00
24 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/23/2009	457 48	600 43	-142 95	0 00
1 0000	75524B104	RBC BEARINGS INC	05/22/2006	01/28/2009	19 50	25 02	-5 52	0 00
20 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/28/2009	382 19	500 35	-118 16	0 00
31 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/27/2009	589 61	774 42	-184 81	0 00
42 0000	75524B104	RBC BEARINGS INC	VARIOUS	01/28/2009	816 89	1,037 86	-220 97	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 28 of 62

Account Number
 Recipient's Tax ID number
 Payer's Federal ID number
 Questions?

AR0239
 30-6000348
 20-8007203
 (800)392-9244
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
189 0000	755248104	RBC BEARINGS INC	VARIOUS	01/28/2009	3,288 13	3,953 40	-667 27	0 00
22 0000	755248104	RBC BEARINGS INC	VARIOUS	02/02/2009	395 62	498 95	-103 33	0 00
14 0000	755248104	RBC BEARINGS INC	04/12/2008	02/02/2009	251 93	318 73	-66 80	0 00
8 0000	755248104	RBC BEARINGS INC	04/12/2008	02/03/2009	143 07	181 40	-38 33	0 00
54 0000	755248104	RBC BEARINGS INC	04/12/2008	02/04/2009	965 80	1,224 45	-258 65	0 00
68 0000	755248104	RBC BEARINGS INC	VARIOUS	02/05/2009	1,290 75	1,463 31	-172 56	0 00
28 0000	755248104	RBC BEARINGS INC	04/11/2008	02/08/2009	498 88	533 00	-34 12	0 00
13 0000	755248104	RBC BEARINGS INC	04/11/2008	02/11/2009	240 28	266 50	-26 22	0 00
25 0000	755248104	RBC BEARINGS INC	04/11/2008	02/12/2009	438 17	512 50	-74 33	0 00
29 0000	755248104	RBC BEARINGS INC	04/11/2008	02/13/2009	520 33	594 50	-74 17	0 00
59 0000	755248104	RBC BEARINGS INC	04/11/2008	02/13/2009	1,040 46	1,209 50	-169 04	0 00
11 0000	755248104	RBC BEARINGS INC	04/11/2008	02/17/2009	192 65	225 50	-32 85	0 00
1 0000	755248104	RBC BEARINGS INC	04/11/2008	02/17/2009	17 57	20 50	-2 93	0 00
3 0000	755248104	RBC BEARINGS INC	04/11/2008	02/18/2009	51 74	61 50	-9 76	0 00
27 0000	755248104	RBC BEARINGS INC	04/11/2008	02/19/2009	458 68	553 50	-94 82	0 00
7 0000	755248104	RBC BEARINGS INC	04/11/2008	02/20/2009	114 52	143 50	-28 98	0 00
13 0000	755248104	RBC BEARINGS INC	04/11/2008	02/20/2009	212 30	266 50	-54 20	0 00
23 0000	755248104	RBC BEARINGS INC	04/11/2008	02/20/2009	378 63	471 50	-92 87	0 00
1 0000	755248104	RBC BEARINGS INC	04/11/2008	02/23/2009	15 98	20 50	-4 52	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 29 of 62

Account Number

ARO239

Recipient's Name and Address

Recipient's Tax ID number
Payer's Federal ID number
Questions?

30-6000348
20-8007203
(800)392-9244

MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
28 0000	755248104	RBC BEARINGS INC	04/11/2006	02/24/2009	439 80	574 00	-134 40	0 00
8 0000	755248104	RBC BEARINGS INC	04/11/2006	02/25/2009	124 62	164 00	-39 38	0 00
93 0000	755248104	RBC BEARINGS INC	04/11/2006	02/27/2009	1,402 11	1,906 50	-504 39	0 00
100 0000	802817304	SANTARUS INC	03/02/2006	12/08/2009	474 99	702 45	-227 46	0 00
50 0000	803082108	SAPIENT CORP COM	11/01/2005	01/28/2009	206 24	260 10	-53 86	0 00
100 0000	803082108	SAPIENT CORP COM	11/01/2005	01/27/2009	428 54	520 20	-93 66	0 00
100 0000	803082108	SAPIENT CORP COM	VARIOUS	01/28/2009	456 20	520 05	-63 85	0 00
150 0000	803082108	SAPIENT CORP COM	10/28/2005	01/29/2009	668 83	779 85	-111 02	0 00
150 0000	82699G104	SIGNATURE BANK	VARIOUS	12/08/2009	4,627 40	4,342 80	284 60	0 00
150 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	05/22/2009	1,217 29	1,113 00	104 29	0 00
100 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	05/22/2009	811 86	742 00	69 86	0 00
50 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	05/26/2009	410 28	371 00	39 28	0 00
100 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	05/27/2009	811 01	742 00	69 01	0 00
100 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	05/29/2009	816 36	742 00	74 36	0 00
50 0000	830928107	SKILLSOFT PLC-ADR	09/29/2003	06/01/2009	419 78	371 00	48 78	0 00
700 0000	830928107	SKILLSOFT PLC-ADR	VARIOUS	10/09/2009	6,838 82	5,073 04	1,765 78	0 00
100 0000	830928107	SKILLSOFT PLC-ADR	VARIOUS	11/20/2009	1,062 03	653 18	408 85	0 00
300 0000	830928107	SKILLSOFT PLC-ADR	VARIOUS	11/23/2009	3,143 16	1,904 59	1,238 57	0 00
500 0000	830928107	SKILLSOFT PLC-ADR	VARIOUS	12/08/2009	5,196 77	3,051 32	2,145 45	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Account Number
AR0239
Recipient's Tax ID number
30-6000348
Payer's Federal ID number
20-8007203
Questions?
(800)392-9244
☐ Corrected
☐ 2nd TIN notice

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02208-5300

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
200 0000	830828107	SKILLSOFT PLC-ADR	VARIOUS	12/23/2009	2,091 94	1,164 07	927 87	0 00
700 0000	860370105	STEWART ENTERPRISES INC CL A	VARIOUS	12/08/2009	3,436 91	5,694 07	-2,257 16	0 00
200 0000	868933401	SUN HEALTHCARE GROUP INC	VARIOUS	12/08/2009	1,835 97	2,582 82	-746 85	0 00
200 0000	868157108	SUPERIOR ENERGY SVCS INC COM	03/27/2008	12/08/2009	4,087 91	7,831 06	-3,743 15	0 00
100 0000	88224Q107	TEXAS CAPITAL BANCSHARES INC	09/19/2008	12/08/2009	1,445 97	2,195 89	-749 92	0 00
100 0000	891777104	TOWER GROUP INC	01/03/2008	12/08/2009	2,420 94	3,280 78	-859 84	0 00
100 0000	893841100	TRANSDIGM GROUP INC	06/03/2008	10/13/2009	4,945 72	4,352 24	593 48	0 00
100 0000	893841100	TRANSDIGM GROUP INC	06/04/2008	10/13/2009	4,946 69	4,309 50	637 19	0 00
100 0000	893841100	TRANSDIGM GROUP INC	06/08/2008	10/22/2009	4,121 33	4,188 89	-67 56	0 00
100 0000	893841100	TRANSDIGM GROUP INC	05/23/2008	10/22/2009	4,143 70	4,165 92	-22 22	0 00
100 0000	901109108	TUTOR PERINI CORP	06/03/2008	12/08/2009	1,785 95	3,782 67	-1,996 72	0 00
50 0000	91307C102	UNITED THERAPEUTICS CORP DEL	09/10/2008	12/08/2009	2,234 94	2,819 00	-584 06	0 00
300 0000	92343X100	VERINT SYSTEMS INC	VARIOUS	10/19/2009	5,016 32	9,674 74	-4,658 42	0 00
200 0000	92343X100	VERINT SYSTEMS INC	VARIOUS	10/21/2009	3,345 13	4,783 66	-1,438 53	0 00
178 0000	92343X100	VERINT SYSTEMS INC	12/31/2007	10/27/2009	2,753 59	3,418 09	-662 50	0 00
100 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	10/22/2007	08/10/2009	3,449 05	3,074 96	374 09	0 00
100 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	11/23/2005	08/12/2009	3,422 63	2,627 50	795 13	0 00
50 0000	92532F100	VERTEX PHARMACEUTICALS INC COM	VARIOUS	12/08/2009	1,982 95	1,294 27	888 68	0 00
50 0000	942622200	WATSCO INC COM	06/28/2008	12/08/2009	2,525 44	2,119 50	405 94	0 00
Total Long Term 15% Sales Reported on 1099-B						492,504 77	-96,993 88	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 7 of 22

Recipient's Name and Address
MICHAEL T RIORDAN FAMILY FDTN
W3563 MEREDITH LANE
GREEN LAKE, WI 54941-9650

Account Number AR0241
Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244

Payer's Name and Address
STATE STREET BANK & TRUST COMPANY
WMS TAX DEPARTMENT
PO BOX 5300
BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Revised 03/10/2010

2009 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No 1545-0715

Reported to the IRS are Gross Proceeds less commissions and option premiums

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks, Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
Short Term Sales Reported on 1099-B								
1200 0000	007924103	AEGON N V ORD	VARIOUS	12/15/2009	7,564 84	6,931 82	633 02	0 00
925 0000	054536107	AXA S A SPONSORED ADR		12/04/2009	555 41	0 00	555 41	0 00
425 0000	23304Y100	DBS GROUP HLDGS LTDSPONSORED ADR		02/05/2009	1,658 98	0 00	1,658 98	0 00
1075 0000	261566105	DEUTSCHE TELEKOM AG SPONSORED ADR	VARIOUS	05/04/2009	12,119 55	15,978 00	-3,858 45	0 00
100 0000	25243Q205	DIAGEO PLC SPONSORED ADR NEW	09/12/2008	08/08/2009	6,307 38	7,474 06	-1,168 70	0 00
2000 0000	350258307	FOSTERS BREWING GROUP LTD SPONSORED	VARIOUS	05/14/2009	7,519 00	7,478 01	40 99	0 00
2100 0000	350258307	FOSTERS BREWING GROUP LTD SPONSORED	10/29/2008	08/10/2009	9,744 01	7,314 09	2,429 92	0 00
30 0000	358029106	FRESENIUS MED CARE AKTIENGESellschaft SPONSORED ADR	10/08/2008	08/08/2009	1,311 25	1,484 98	-173 73	0 00
1600 0000	438580300	HONGKONG ELECTRIC HLDGS LTD-SPON ADR	01/12/2009	05/14/2009	8,565 05	9,003 84	-438 79	0 00
300 0000	654624105	NIPPON TELEG & TEL CORP SPONSORED ADR	12/17/2008	10/15/2009	6,389 67	7,899 60	-1,509 93	0 00
700 0000	74344G104	PROMISE CO LTD-SPONSORED ADR	12/18/2008	07/31/2009	3,625 79	8,678 95	-5,053 16	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.



2009 Tax Information Statement

Page 8 of 22

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

Account Number AR0241
Recipient's Tax ID number 30-6000348
Payer's Federal ID number 20-8007203
Questions? (800)392-9244
☐ Corrected ☐ 2nd TIN notice
Revised 03/10/2010

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
700 0000	83364L109	SOCIETE GENERALE FRANCE SPONSORED	VARIOUS	11/09/2009	556 13	0 00	556 13	0 00
250 0000	859737207	STERLITE INDUSTRIES INDIA LTD	VARIOUS	12/11/2009	4,432 19	3,281 48	1,150 71	0 00
250 0000	859737207	STERLITE INDUSTRIES INDIA LTD	05/07/2009	12/14/2009	4,452 31	2,486 50	1,965 81	0 00
300 0000	874060106	TAKEDA PHARMACEUTICAL CO LTD-ADR	12/18/2008	08/18/2009	5,898 18	7,659 93	-1,761 75	0 00
250 0000	92334N103	VEOLIA ENVIRONMENT ADR	VARIOUS	01/22/2009	5,322 40	13,741 97	-8,419 57	0 00
Total Short Term Sales Reported on 1099-B					86,022 12	99,413 23	-13,391 11	0 00
Long Term 15% Sales Reported on 1099-B								
1325 0000	00443E104	ACERGY SA	03/09/2005	01/13/2009	6,895 66	11,008 10	-4,112 44	0 00
1000 0000	007924103	AEGON N V ORD	12/11/2008	12/14/2009	6,483 23	6,812 50	-329 27	0 00
200 0000	007924103	AEGON N V ORD	12/11/2008	12/15/2009	1,260 81	1,362 50	-101 69	0 00
375 0000	25243Q205	DIAGEO PLC SPONSORED ADR NEW	VARIOUS	09/09/2009	23,652 58	21,652 14	2,000 44	0 00
400 0000	35177Q105	FRANCE TELECOM SA-ADR	10/30/2007	07/15/2009	9,048 80	14,610 80	-5,562 00	0 00
250 0000	35177Q105	FRANCE TELECOM SA-ADR	02/12/2008	12/11/2009	6,370 09	8,913 00	-2,542 91	0 00
150 0000	35177Q105	FRANCE TELECOM SA-ADR	02/12/2008	12/14/2009	3,838 84	5,347 80	-1,508 96	0 00
900 0000	780641205	KONINKLIJKE KPN NV SP ADR	VARIOUS	04/15/2009	11,044 33	13,328 86	-2,284 53	0 00
525 0000	606769305	MITSUBISHI CORP-SPONSORED ADR	03/19/2004	02/26/2009	12,899 49	11,403 00	1,496 49	0 00
200 0000	636274300	NATIONAL GRID PLC-SPONSORED ADR	01/29/2008	11/11/2009	10,327 63	15,713 52	-5,385 89	0 00
230 0000	654445303	NINTENDO LTD ADR	VARIOUS	07/16/2009	7,916 94	9,256 27	-1,339 33	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

2009 Tax Information Statement

Page 9 of 22

Account Number AR0241
 Recipient's Tax ID number 30-6000348
 Payer's Federal ID number 20-8007203
 Questions? (800)392-8244

Recipient's Name and Address
 MICHAEL T RIORDAN FAMILY FDTN
 W3563 MEREDITH LANE
 GREEN LAKE , WI 54941-9650

Payer's Name and Address
 STATE STREET BANK & TRUST COMPANY
 WMS TAX DEPARTMENT
 PO BOX 5300
 BOSTON, MA 02206-5300

☐ Corrected ☐ 2nd TIN notice

Revised 03/10/2010

Number of shares (Box 5)	CUSIP (Box 1b)	Description (Box 7)	Date Acquired	Date of Sale (Box 1a)	Stocks Bonds, etc (Box 2)	Cost or other Basis	Net Gain or Loss	Federal Income Tax Withheld (Box 4)
170 0000	654445303	NINTENDO LTD ADR	04/12/2007	07/17/2009	5,746 73	6,672 11	-825 38	0 00
400 0000	654445303	NINTENDO LTD ADR	VARIOUS	09/21/2009	13,189 46	14,675 15	-1,485 69	0 00
50 0000	654445303	NINTENDO LTD ADR	03/23/2007	09/22/2009	1,654 23	1,811 64	-157 41	0 00
600 0000	81012K308	SCOTTISH SOUTHERN ENERGY PLC-ADR	10/17/2006	08/18/2009	11,115 89	15,022 02	-3,906 13	0 00
Total Long Term 15% Sales Reported on 1099-B						157,489 41	-26,044 70	0 00

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

MICHAEL T. RIORDAN FAMILY FOUNDATION

30-6000348

ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
REFUND OF FEDERAL EXCISE TAX PAID	11,098.
TOTALS	<u>11,098.</u>

ATTACHMENT 2FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	5,154.	5,154.
TOTALS	<u>5,154.</u>	<u>5,154.</u>

ATTACHMENT 3FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
BANK FEES	34.		
INVESTMENT ADVISORY FEES	65,250.	65,250.	34.
TOTALS	<u>65,284.</u>	<u>65,250.</u>	<u>34.</u>

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 4

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
STATE STREET - HARRIS	516,915.	603,120.
STATE STREET - WESTFIELD	926,221.	1,120,744.
STATE STREET - SANDS	621,386.	979,623.
STATE STREET - ADVISORY	596,395.	614,965.
STATE STREET - MUTUAL FUND	3,703,892.	3,921,338.
STATE STREET - EAGLE	901,120.	1,120,959.
STATE STREET - OPTIONS	138,000.	138,000.
TOTALS	<u>7,403,929.</u>	<u>8,498,749.</u>

ATTACHMENT 5FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCESDESCRIPTIONAMOUNT

ADJUSTMENT FOR NONTAXABLE DIVIDEND

383.

TOTAL

383.

MICHAEL T. RIORDAN FAMILY FOUNDATION

30-6000348

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 6

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MICHAEL T. RIORDAN 16127 FOREST OAKS DRIVE FORT MYERS, FL 33908	TRUSTEE	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
COLLIER PREGNANCY CENTERS, INC	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
LIFELINE FAMILY CENTER, INC	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
NAMI	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	20,000.
HOMES FOR OUR TROOPS	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000.
EMERGING HUMANITY, INC	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	20,000
ST AGNES CHURCH	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	6,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ST JAMES HOSPITAL	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	4,500
CATHOLIC MEDICAL MISSION BOARD	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	2,500
DOCTORS WITHOUT BORDERS	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	1,500.
CHICAGO PUBLIC RADIO	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	500.
WCCU PUBLIC MEDIA	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	500.
COMMONWEALTH MAGAZINE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	500.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
CATHOLIC CHARITIES OF CHICAGO	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	2,000.
CATHOLIC CHARITIES, DIOCESE OF VENICE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	2,000.
FAMILY CARE INTERNATIONAL	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	20,000.
ARTISTS STRIVING TO END POVERTY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
CHILD FUND INTERNATIONAL	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
THE INTERNATIONAL CANOPY NETWORK	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
INTERNEWS NETWORK	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
NATIONAL MULTIPLE SCLEROSIS SOCIETY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000
OUR LADY OF LOURDES CATHOLIC SCHOOL	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000.
OUR LADY OF LOURDES CATHOLIC CHURCH	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000
CEREBRAL PALSY, INC	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
HOUSE OF HOPE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FREEDOM HOUSE MINISTRIES	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000
FREEDOM AMERICA EASTERN WISCONSIN	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
CHILDREN'S HOSPITAL LOS ANGELES	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	30,000
LUTHERAN BLIND MISSION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
MY FRIENDS PLACE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000
HEAL THE BAY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
BEST FRIENDS ANIMAL SOCIETY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
COMBAT BLINDNESS FOUNDATION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
GREEN LAKE ASSOCIATION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	60
BOYS AND GIRLS CLUB OF AMERICA	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	50,000.
NOTRE DAME ACADEMY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	100
ST JOHN THE BAPTIST CHURCH	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE CHILDREN'S MUSEUM OF GREEN BAY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
BROWN COUNTY UNITED WAY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000
THE CHILDREN'S HOSPITAL OF SW FLORIDA	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
ST JOHN THE EVANGELIST PARISH	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	40,000
CHURCH OF THE RESURRECTION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,500
COOPERRIIS	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	20,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE FOUNDATION FOR RIPON MEDICAL CARE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000.
HOPE HOSPICE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000
GREATER BERLIN AREA UNITED WAY	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	4,000.
ENCOMPASS/RUTH HELP FAMILY CENTER	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000
CHILDREN'S HOSPITAL & HEALTH SYSTEMS FOUNDATION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	10,000.
UNITY HOSPICE & PALLIATIVE CARE	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	5,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 7 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GREEN LAKE AREA ANIMAL SHELTER	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	500
EVANS SCHOLARS FOUNDATION	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	200.
NEW COMMUNITY CLINIC	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	25,000.
MARIAN CATHOLIC HIGH SCHOOL	NONE PUBLIC	TO FURTHER PROGRAM SERVICES	15,000

TOTAL CONTRIBUTIONS PAID

545,360.

MICHAEL T. RIORDAN FAMILY FOUNDATION

30-6000348

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 8

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME

REFUND OF FEDERAL EXCISE TAX PAID

11,098.

TOTALS

11,098.

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ **Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).**

OMB No 1545-0092

2009

Name of estate or trust **MICHAEL T. RIORDAN FAMILY FOUNDATION**
C/O MICHAEL T. RIORDAN, TRUSTEE

Employer identification number
30-6000348

Note: Form 5227 filers need to complete only Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-1,045,557.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	-1,045,557.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-529,658.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	4,701.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	-524,957.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part**

	(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		-1,045,557.
14 Net long-term gain or (loss):			
a Total for year	14a		-524,957.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		-1,570,514.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:
 a The loss on line 15, column (3) or b \$3,000 **16** (3,000.)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20 Add lines 18 and 19	20		
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22 Subtract line 21 from line 20. If zero or less, enter -0-	22		
23 Subtract line 22 from line 17. If zero or less, enter -0-	23		
24 Enter the smaller of the amount on line 17 or \$2,300	24		
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23	25		
26 Subtract line 25 from line 24	26		
27 Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29 Subtract line 28 from line 27	29		
30 Multiply line 29 by 15% (15)	30		
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32 Add lines 30 and 31	32		
33 Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2009

Department of the Treasury
Internal Revenue Service

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

2009

30-6000348

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b	-1,045,557.
--	-------------

Schedule D-1 (Form 1041) 2009

Employer identification number

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a STATE STREET AR0234 - SEE ATTACHED	VARIOUS	VARIOUS	48,240.	49,575.	-1,335.
STATE STREET AR0235 - SEE ATTACHED	06/05/2002	03/27/2009	150,000.	157,825.	-7,825.
STATE STREET AR0237 - SEE ATTACHED	VARIOUS	VARIOUS	1,118,531.	1,188,462.	-69,931.
STATE STREET AR0238 - SEE ATTACHED	VARIOUS	VARIOUS	380,267.	680,976.	-300,709.
STATE STREET AR0239 - SEE ATTACHED	VARIOUS	VARIOUS	395,511.	492,505.	-96,994.
STATE STREET AR0241 - SEE ATTACHED	VARIOUS	VARIOUS	131,445.	157,489.	-26,044.
S&P INDEX PUT OPTION-MARKET TO MARKET	12/11/2009	12/31/2009		26,820.	-26,820.
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					-529,658.

Schedule D-1 (Form 1041) 2009

MICHAEL T. RIORDAN FAMILY FOUNDATION
EIN: 30-6000348

ATTACHED TO AND MADE PART OF
2009 FORM 990-PF

PART VII-A, LINE 8a:

ACCORDING TO THE WISCONSIN DEPARTMENT OF JUSTICE, AN
ORGANIZATION HAS NO FILING REQUIREMENT IN WISCONSIN IF THE
ORGANIZATION DOES NOT SOLICIT FUNDS FROM THE GENERAL PUBLIC.