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Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☒ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation NEW YORK STATE HEALTH FOUNDATION		A Employer identification number 30-0127892
	Number and street (or P.O. box number if mail is not delivered to street address)	Room/suite	B Telephone number 212-664-7656
	City or town, state, and ZIP code NEW YORK, NY 10018-6013		C If exemption application is pending, check here ☐
	H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 267,538,554. (Part I, column (d) must be on cash basis.)		J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		310.	310.		STATEMENT 1
4 Dividends and interest from securities		7,170,311.	9,116,535.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		8,042,444.			
b Gross sales price for all assets on line 6a		146,695,008.			
7 Capital gain net income (from Part IV, line 2)			6,827,637.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross, after less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		299,756.	11,923.		STATEMENT 3
12 Total. Add lines 1 through 11		15,512,821.	15,956,405.		
13 Compensation of officers, directors, trustees, etc.		372,770.	0.		266,523.
14 Other employee salaries and wages		1,932,667.	372,155.		1,637,145.
15 Pension plans, employee benefits		433,726.	64,896.		369,159.
16a Legal fees STMT 4		114,418.	47,825.		78,698.
b Accounting fees STMT 5		53,094.	0.		51,799.
c Other professional fees STMT 6		608,446.	321,475.		417,726.
17 Interest					
18 Taxes STMT 7		140,000.	752.		0.
19 Depreciation and depletion		56,674.	0.		
20 Occupancy		244,969.	12,248.		232,724.
21 Travel, conferences, and meetings		110,921.	3,308.		110,614.
22 Printing and publications		18,672.	0.		18,672.
23 Other expenses STMT 8		234,591.	11,808.		218,886.
24 Total operating and administrative expenses. Add lines 13 through 23		4,320,948.	834,467.		3,401,946.
25 Contributions, gifts, grants paid		13,915,583.			13,017,652.
26 Total expenses and disbursements. Add lines 24 and 25		18,236,531.	834,467.		16,419,598.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-2,723,710.			
b Net investment income (if negative, enter -0-)			15,121,938.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	8,981,563.	7,815,635.	7,815,635.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	38,913.	215,103.	215,103.
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	292,939,456.	257,209,647.	257,209,647.
	14 Land, buildings, and equipment basis ▶ 567,558.			
	Less accumulated depreciation ▶ 154,235.	174,031.	413,323.	413,323.
	15 Other assets (describe ▶ STATEMENT 11)	2,332,510.	1,884,846.	1,884,846.
	16 Total assets (to be completed by all filers)	304,466,473.	267,538,554.	267,538,554.
	17 Accounts payable and accrued expenses	206,208.	356,963.	
	18 Grants payable	13,429,976.	14,093,640.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 12)	391,203.	34,303.	
	23 Total liabilities (add lines 17 through 22)	14,027,387.	14,484,906.	
	24 Unrestricted Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.	290,439,086.	253,053,648.	
	25 Temporarily restricted			
26 Permanently restricted Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds				
28 Paid-in or capital surplus, or land, bldg, and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	290,439,086.	253,053,648.		
31 Total liabilities and net assets/fund balances	304,466,473.	267,538,554.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	290,439,086.
2 Enter amount from Part I, line 27a	2	-2,723,710.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	350,916.
4 Add lines 1, 2, and 3	4	288,066,292.
5 Decreases not included in line 2 (itemize) ▶ UNREALIZED LOSS ON INVESTMENTS	5	35,012,644.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	253,053,648.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENT				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 137,785,008.		130,278,509.	6,827,637.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			6,827,637.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,827,637.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	13,858,855.	287,159,627.	.048262
2007	10,753,787.	291,746,752.	.036860
2006	2,496,540.	236,585,647.	.010552
2005	0.	68,219,805.	.000000
2004			

2 Total of line 1, column (d)	2	.095674
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.023919
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	265,726,299.
5 Multiply line 4 by line 3	5	6,355,907.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	151,219.
7 Add lines 5 and 6	7	6,507,126.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	16,728,769.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	151,219.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	151,219.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	151,219.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	171,523.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	171,523.	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	20,304.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax ☒ 20,304. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► WWW.NYSHEALTH.ORG

14 The books are in care of ► THE FOUNDATION Telephone no ► 212-664-7656
 Located at ► 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY ZIP+4 ► 10018-6013

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No	
If "Yes," list the years ► _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

SEE ATTACHMENT G

☒ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		372,770.	40,375.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NICHOLAS SMIRENSKY - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	CHIEF INVESTMENT OFFICER 40.00	337,962.	51,375.	0.
DAVID SANDMAN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR VICE PRESIDENT 40.00	196,021.	30,490.	0.
BENJAMIN PRAYZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	DIRECTOR OF FINANCE & OPERATIONS 40.00	174,753.	27,360.	0.
JACQUELINE MARTINEZ - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	SENIOR PROGRAM DIRECTOR 40.00	140,351.	21,701.	0.
DEBORAH ZAHN - 1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018	POLICY DIRECTOR 40.00	132,208.	21,590.	0.
Total number of other employees paid over \$50,000				9

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
1412 OWNER LLC P.O. BOX 6153, HICKSVILLE, NY 10087-9153 PROSKAUER ROSE, LLP	RENT	221,853.
1585 BROADWAY, NEW YORK, NY 10036 CAMBRIDGE ASSOCIATES LLC	LEGAL FEES	132,031.
P.O. BOX 10317, UNIONDALE, NY 11555-0317 TULLER MCNEALUS FELD, LLC	INVESTMENT MANAGEMENT	122,052.
54 WEST 39TH STREET, NEW YORK, NY 10018	ARCHITECT	95,740.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE NEW YORK STATE DIABETES CAMPAIGN - SEE ATTACHMENT D	
	278,050.
2 HAVING IT ALL - SEE ATTACHMENT D	
	77,500.
3 EVALUATION INITIATIVE - SEE ATTACHMENT D	
	62,829.
4 CONVERSATION WITH... - SEE ATTACHMENT D	
	16,015.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	260,632,549.
b	Average of monthly cash balances	1b	9,140,343.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	269,772,892.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	269,772,892.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,046,593.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	265,726,299.
6	Minimum investment return. Enter 5% of line 5	6	13,286,315.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	13,286,315.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	151,219.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	151,219.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	13,135,096.
4	Recoveries of amounts treated as qualifying distributions	4	53,566.
5	Add lines 3 and 4	5	13,188,662.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	13,188,662.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	16,419,598.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	309,171.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	16,728,769.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	151,219.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	16,577,550.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				13,188,662.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			13,156,876.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 16,728,769.				
a Applied to 2008, but not more than line 2a			13,156,876.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				3,571,893.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see instr.			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				9,616,769.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

Prior 3 years

(a) 2009

(b) 2008

(c) 2007

(d) 2006

(e) Total

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

JAMES KNICKMAN

1385 BROADWAY, 23RD FLOOR, NEW YORK, NY 10018

b The form in which applications should be submitted and information and materials they should include

SEE WWW.NYSHEALTH.ORG

c Any submission deadlines

SEE WWW.NYSHEALTH.ORG

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE WWW.NYSHEALTH.ORG

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHMENT B				13017652.
Total				▶ 3a 13017652.
b Approved for future payment SEE ATTACHMENT C				14093640.
Total				▶ 3b 14093640.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income	
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue							
a _____							
b _____							
c _____							
d _____							
e _____							
f _____							
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash investments				14	310.		
4 Dividends and interest from securities				14	7,170,311.		
5 Net rental income or (loss) from real estate							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal property							
7 Other investment income				14	11,923.		
8 Gain or (loss) from sales of assets other than inventory				18	8,042,444.		
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue							
a GRANT REFUND				01	53,566.		
b GRANT REDUCTIONS				01	234,267.		
c _____							
d _____							
e _____							
12 Subtotal. Add columns (b), (d), and (e)			0.		15,512,821.	0.	
13 Total. Add line 12, columns (b), (d), and (e)						13 15,512,821.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII · Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|------------|-----------|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | Yes | No |
| | 1a(1) | | X |
| | 1a(2) | | X |
| | 1b(1) | | X |
| | 1b(2) | | X |
| | 1b(3) | | X |
| | 1b(4) | | X |
| | 1b(5) | | X |
| | 1b(6) | | X |
| | 1c | | X |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) or fiduciary is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee

Date _____

Title

Preparer's
signature

Date:

☐ Check if self-employed

Preparer's identifying number

Firm's name (or yours
if self-employed),
address, and ZIP code

O'CONNOR DAVIES MUNNS & DOBBINS, LLP
60 EAST 42ND STREET
NEW YORK, NY 10165

EIN ► 13-3385019

Phone no 212-286-2600

Form **990-PF** (2009)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	SEE ATTACHMENT A	P	VARIOUS	VARIOUS
b	7-10 YEAR TREASURY FUND B	P	VARIOUS	VARIOUS
c	7-10 YEAR TREASURY FUND B	P	VARIOUS	VARIOUS
d	ACWI EX-US SUPERFUND B	P	VARIOUS	VARIOUS
e	ACWI EX-US SUPERFUND B	P	VARIOUS	VARIOUS
f	MSCI EMERGING MARKETS FREE FUND B	P	VARIOUS	VARIOUS
g	MSCI EMERGING MARKETS FREE FUND B	P	VARIOUS	VARIOUS
h	RUSSELL 1000 INDEX FUND B	P	VARIOUS	VARIOUS
i	RUSSELL 1000 INDEX FUND B	P	VARIOUS	VARIOUS
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	137,785,008.	130,278,509.	7,506,499.
b			-48,247.
c			196,548.
d			5,965.
e			-44,604.
f			679.
g			677.
h			216,821.
i			-1,006,701.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col. (h) gain over col (k), but not less than "-0-")
a			7,506,499.
b			-48,247.
c			196,548.
d			5,965.
e			-44,604.
f			679.
g			677.
h			216,821.
i			-1,006,701.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

6,827,637.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c)

If (loss), enter "-0-" in Part I, line 8

3

N/A

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
INTEREST FROM CHECKING	310.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	310.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	6,905,286.	0.	6,905,286.
INTEREST	265,025.	0.	265,025.
TOTAL TO FM 990-PF, PART I, LN 4	7,170,311.	0.	7,170,311.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
PROGRAM RELATED INVESTMENT INTEREST	11,923.	11,923.	
GRANT REFUND	53,566.	0.	
GRANT REDUCTIONS	234,267.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	299,756.	11,923.	

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	114,418.	47,825.		78,698.
TO FM 990-PF, PG 1, LN 16A	114,418.	47,825.		78,698.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	53,094.	0.		51,799.
TO FORM 990-PF, PG 1, LN 16B	53,094.	0.		51,799.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONSULTING FEES	487,247.	111,052.		417,726.
INVESTMENT MANAGEMENT	121,199.	210,423.		0.
TO FORM 990-PF, PG 1, LN 16C	608,446.	321,475.		417,726.

FORM 990-PF	TAXES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	140,000.	0.		0.
FOREIGN TAXES PAID	0.	752.		0.
TO FORM 990-PF, PG 1, LN 18	140,000.	752.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	8
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	39,706.	11,300.		28,406.
TELECOMMUNICATIONS	52,460.	0.		53,570.
INSURANCE	39,903.	0.		39,903.
POSTAGE	4,212.	213.		3,974.
OUTREACH AND PUBLIC EVENTS	53,970.	295.		48,607.

NEW YORK STATE HEALTH FOUNDATION			30-0127892
REPAIRS AND MAINTENANCE	37,583.	0.	37,583.
MISCELLANEOUS	6,757.	0.	6,843.
TO FORM 990-PF, PG 1, LN 23	234,591.	11,808.	218,886.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 9

DESCRIPTION	AMOUNT
BENEFIT FOR DEFERRED FEDERAL EXCISE TAX	350,916.
TOTAL TO FORM 990-PF, PART III, LINE 3	350,916.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHMENT E	COST	257,209,647.	257,209,647.
TOTAL TO FORM 990-PF, PART II, LINE 13		257,209,647.	257,209,647.

FORM 990-PF OTHER ASSETS STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ACCRUED INVESTMENT INCOME	1,064,076.	470,185.	470,185.
DEPOSITS	54,842.	54,842.	54,842.
PREPAID FEDERAL EXCISE TAX	25,000.	25,000.	25,000.
PROGRAM RELATED INVESTMENTS	1,188,592.	1,188,592.	1,188,592.
OTHER ASSETS	0.	146,227.	146,227.
TO FORM 990-PF, PART II, LINE 15	2,332,510.	1,884,846.	1,884,846.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 12
DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	352,050.	1,134.
DEFERRED RENT	39,153.	33,169.
TOTAL TO FORM 990-PF, PART II, LINE 22	391,203.	34,303.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 13
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
JAMES R. KNICKMAN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	PRESIDENT & CEO 40.00	372,770.	40,375.	0.
PAUL BADER 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
JO-ANN COSTANTINO 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ROBERT G. SMITH 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CARL WEISBROD 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
CHARLES STRAIN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
MELVYN B. RUSKIN 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.
ANGELA DIAZ 1385 BROADWAY, 23RD FLOOR NEW YORK, NY 10018	BOARD MEMBER 3.00	0.	0.	0.

LUCY N. FRIEDMAN	BOARD MEMBER			
1385 BROADWAY, 23RD FLOOR	3.00	0.	0.	0.
NEW YORK, NY 10018				
JOHN L PALMER	BOARD MEMBER			
1385 BROADWAY, 23RD FLOOR	3.00	0.	0.	0.
NEW YORK, NY 10018				
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		<u>372,770.</u>	<u>40,375.</u>	<u>0.</u>

New York State Health Foundation
 EIN # 30-0127892
 Form 990-PF: Part IV - Capital Gains and Losses
 December 31, 2009

TYPE AND NAME OF SECURITY	DATE SOLD	NO. OF SHARES	COST	SALES PROCEEDS	Realized Gains (Losses)
ISHARES 20+ Yr Treasury Index	6/25/2009	54,000	4,594,709	5,023,880	429,171
ISHARES 20+ Yr Treasury Index	7/8/2009	53,000	4,509,622	5,042,577	532,955
ISHARES 20+ Yr Treasury Index	7/10/2009	940,900	79,909,604	89,985,269	10,075,665
ISHARES 20+ Yr Treasury Index	9/8/2009	44,000	3,677,303	4,180,267	502,964
ISHARES 20+ Yr Treasury Index	11/18/2009	53,000	4,431,510	5,043,202	611,692
ISHARES 20+ Yr Treasury Index	12/8/2009	54,000	4,531,245	5,079,703	548,458
ISHARES TRUST US TREAS INFLATION PROJECT	9/18/2009	50,000	4,968,392	5,107,369	138,977
MSCI EAFE Index Fund	7/21/2009	74,657	4,673,810	3,599,823	(1,073,987)
MSCI EAFE Index Fund	7/22/2009	75,518	4,567,483	3,599,722	(967,761)
MSCI EAFE Index Fund	7/23/2009	74,873	4,615,634	3,599,689	(1,015,945)
MSCI EAFE Index Fund	7/24/2009	71,506	4,551,362	3,499,828	(1,051,534)
MSCI EAFE Index Fund	7/27/2009	82,046	5,247,835	4,023,679	(1,224,156)
			130,278,509	137,785,008	7,506,499

**New York State Health Foundation
December 31, 2009
Form 990-PF:Part XV - Line 3a
Grants Paid During the Year**

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Adirondack Medical Center	PO BOX 471 SARANAC LAKE NY 12983-0471	Non-Profit	The Tri-Lakes Uninsured Task Force	\$ 12,386
AIDS Community Services of Western New York, Inc.	206 S ELMWOOD AVE BUFFALO NY 14201-2313	Non-Profit	Four Agency Financial and Data Management Systems Consolidation	\$ 128,948
Albany Medical College	43 New Scotland Avenue, MC 119 ALBANY NY 12208	Non-Profit	Pediatric Mental Health Services in the Capital Region	\$ 194,096
American Cancer Society, Inc.	31 Adams Avenue Endicott NY 13760	Non-Profit	Expanded Access to Colorectal Cancer Screening among Rural Populations in Upstate New York	\$ 4,726
Associated Community Action of the Northeast Adirondack Region, Inc.	39 Farrell Road Willsboro NY 12996-3904	Non-Profit	Creating Primary Care Access in Dannemora	\$ 40,000
Better World Advertising, Inc.	731 Market Street Suite 220 San Francisco CA 94103	Profit	New York State Diabetes Social Marketing Campaign	\$ 174,994
Better World Advertising, Inc.	731 Market Street Suite 220 San Francisco CA 94103	Profit	New York State Diabetes Social Marketing Campaign	\$ 174,994
Bridges To Excellence, Inc.	13 Sugar Street Newtown CT 06470-2046	Non-Profit	From Potentially Avoidable Complications to Potentially Achievable Savings: A Payment Reform Initiative For New York State	\$ 123,899
Bridges To Excellence, Inc.	13 Sugar Street Newtown CT 06470-2046	Non-Profit	Developing a Statewide Diabetes Care Physician Incentive Program Among Payers	\$ 64,429
Bridges To Excellence, Inc.	13 Sugar Street Newtown CT 06470-2046	Non-Profit	Developing a Statewide Diabetes Care Physician Incentive Program Among Payers	\$ 64,429
Brooklyn Alliance, Inc.	25 Elm Place, Suite 200 Brooklyn NY 11201	Non-Profit	Brooklyn HealthWorks	\$ 10,474
Brooklyn Alliance, Inc.	25 Elm Place, Suite 200 Brooklyn NY 11201	Non-Profit	Brooklyn HealthWorks	\$ 10,474
Brownsville Community Development Corporation	592 ROCKAWAY AVE BROOKLYN NY 11212-5539	Non-Profit	Preserving and Expanding Primary Care Access in Brooklyn	\$ 199,840

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Catholic Charities of Onondaga County	1654 W ONONDAGA ST SYRACUSE NY 13204-3310	Non-Profit	Restructuring for Results	\$ 88,800
Center for Health Care Strategies Inc	200 American Metro Blvd., Suite 119 Hamilton NJ 08619-2311	Non-Profit	Evaluation Technical Assistance for NYSHealth Grantees and Applicants: Phase 2	\$ 173,635
Children's Defense Fund	15 Maiden Lane, Suite 1200 New York NY 10038	Non-Profit	Increasing Enrollment and Retention in New York's Public Health Insurance Programs	\$ 20,538
Common Good Institute, Inc., The	620 Eighth Avenue, Floor 38, Suite D New York NY 10018	Non-Profit	Designing an Arbitration-Based Medical Injury Dispute Resolution System for New York Health Systems	\$ 50,660
Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor NEW YORK NY 10018	Non-Profit	Community Health Center Immigrant Outreach Project	\$ 20,318
Community Health Care Services Foundation, Inc.	99 Troy Rd Suite 200 East Greenbush NY 12061	Non-Profit	Improving Retention of the Home Care Workforce	\$ 199,984
Community Health Center of Buffalo, Inc.	462 GRIDER ST BUFFALO NY 14215-3021	Non-Profit	Increasing Access to Primary Care in Niagara Falls	\$ 163,699
Community Health Project, Inc.	356 West 18th Street New York NY 10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center	\$ 150,000
Community Service Society of New York	105 E 22ND ST NEW YORK NY 10010-5413	Non-Profit	Family Health Plus Buy-In: A Practical Solution for Small Businesses	\$ 160,000
Community Service Society of New York	105 E. 22nd Street New York NY 10010	Non-Profit	Reducing Racial Disparities in Health Outcomes Through a Publicly Financed Insurance Expansion	\$ 34,827
Cornell University	1300 York Ave New York NY 10021	Non-Profit	Depression in Older Home Health Patients: Increasing Access to Evidence-Based Care	\$ 37,446
Dairylea Cooperative, Inc.	5001 Brittonfield Parkway East Syracuse NY 13057	Profit	Increasing Health Insurance for New York's Agricultural Sector	\$ 127,500
Dr. Martin Luther King, Jr. Health Center, Inc.	1265 Franklin Avenue Bronx NY 10456-3402	Non-Profit	The Intensive Wellness Program. A Health and Mental Health Intervention for High Cost Patients	\$ 85,158
Dr. Martin Luther King, Jr. Health Center, Inc.	1265 Franklin Avenue BRONX NY 10456-3402	Non-Profit	The Dr. Martin Luther King, Jr. Health Center Diabetes Management Initiative	\$ 53,552

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Empire Justice Center, Inc.	1 WEST MAIN STREET 2ND FLOOR ROCHESTER NY 14614-1418	Non-Profit	Community Health Law Collaborative	\$ 20,639
EPIC - Every Person Influences Children Inc	1000 Main Street Buffalo NY 14202-1102	Non-Profit	Ready, Set, Parent! Statewide Expansion	\$ 285,155
Excellus Health Plan, Inc.	165 Court Street Rochester NY 14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project	\$ 75,000
Floating Hospital Foundation, Inc.	Grand Central Station PO Box 3391 New York NY 10163-3391	Non-Profit	Expanding Primary Care Access in Long Island City	\$ 171,160
Foundation for Long Term Care, Inc.	150 STATE ST STE 301 ALBANY NY 12207-1626	Non-Profit	Efficient and Cost-Effective Care Planning	\$ 88,740
Frances Schervier Home and Hospital	2975 INDEPENDENCE AVE BRONX NY 10463-4620	Non-Profit	Recognition and Treatment of Pain in Cognitively Impaired Older Adults: A Video Library	\$ 3,486
Fund for Public Health in New York	291 BROADWAY FL 17 NEW YORK NY 10007-1855	Non-Profit	A Falling Tide of Salt Lowers All Blood Pressures	\$ 159,908
Grantmakers for Effective Organizations	1413 K Street, NW, 2nd Floor Washington, DC DC 20005	Non-Profit	Membership Grant	\$ 5,000
Grantmakers in Health	1100 Connecticut Avenue, NW Washington, DC DC 20036	Non-Profit	Membership Grant	\$ 10,000
Greater New York Hospital Foundation, Inc	555 West 57th Street, 15th floor New York NY 10019-2925	Non-Profit	Promoting a Medical Malpractice Early Settlement Model	\$ 19,360
Health and Welfare Council of Long Island, Inc	1 Helen Keller Way 4th Floor Hempstead NY 11550	Non-Profit	The Long Island Health Care Coalition	\$ 13,475
Health Association of Niagara County, Inc	1302 MAIN ST NIAGARA FALLS NY 14301-1118	Non-Profit	Merging Agencies to Advance Disease Prevention in Niagara County	\$ 63,836
Health Ministry of the Southern Tier, Inc.	300 Nasser Civic Center Plaza, Suite 230 Corning NY 14830	Non-Profit	Expanded Medical and Dental Services for Uninsured	\$ 18,787
Health Research, Inc	Riverview Center, 150 Broadway, Suite 560 Menands NY 12204	Non-Profit	Assessment of Certified Diabetes Educators in New York State	\$ 99,669

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Hospital Educational and Research Fund, Inc.	One Empire Drive Rensselaer NY 12144	Non-Profit	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics	\$ 159,775
Hospital Educational and Research Fund, Inc.	1 EMPIRE DR RENSSELAER NY 12144-5729	Non-Profit	Electronic Fetal Monitoring: Improving Birth Outcomes in New York State	\$ 119,428
Hudson Center for Health Equity & Quality (Hcheq)	303 South Broadway, Suite 321 Tarrytown NY 10591	Non-Profit	Expanding Health Insurance Coverage in New York State & Streamlining Facilitated Enrollment and Development of Web Based Eligibility Assessment	\$ 22,914
Hudson Headwaters Health Network	One Broad Street Plaza Glens Falls NY 12801	Non-Profit	Transitioning Elderly Patients from Hospital to Home	\$ 123,737
Hudson River HealthCare, Inc.	1037 Main Street Peekskill NY 10566-2913	Non-Profit	Yonkers Health Access Project	\$ 200,000
Ibero-American Action League, Inc.	911 E MAIN ST ROCHESTER NY 14605-2724	Non-Profit	Promotores de Salud	\$ 37,500
IM Research and Service Corporation	744 Broadway Albany NY 12207	Non-Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians	\$ 64,776
Institute for Community Living	40 Rector Street, 8th Floor New York NY 10006	Non-Profit	Integrated Wellness. Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness	\$ 143,105
Institute for Family Health, The	16 East 16th Street New York NY 10003	Non-Profit	New York State Diabetes Campaign Leadership	\$ 217,207
Institute for Family Health, The	16 East 16th Street New York NY 10003	Non-Profit	New York State Diabetes Campaign Leadership	\$ 434,412
Institute for Family Health, The	16 East 16th Street New York NY 10003	Non-Profit	Development and Leadership of a New York State Diabetes Campaign	\$ 148,343
Institute for Leadership Inc	2576 Broadway, Suite 272 New York NY 10025	Non-Profit	New York State Faith-Based Diabetes Initiative	\$ 110,000
Institute for Leadership Inc	2576 Broadway, Suite 272 New York NY 10025	Non-Profit	New York State Faith-Based Diabetes Initiative	\$ 89,081
Jamaica Hospital	8900 Van Wyck Expressway Jamaica NY 11418-2897	Non-Profit	Initiating a Diabetes Management Program for Underserved Populations	\$ 46,177

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Jericho Road Ministries	184 Barton Street Buffalo NY 14213	Non-Profit	Diabetes Center of Community Excellence	\$ 115,000
Jewish Board of Family and Children's Services, Inc.	120 West 57th Street New York NY 10019	Non-Profit	Home Again: Veterans & Families Readjustment and Reintegration	\$ 37,244
Joseph P. Addabbo Family Health Center, Inc.	6200 Beach Channel Drive Arverne NY 11692	Non-Profit	Preserving and Enhancing Primary Care Access in Central Queens	\$ 200,000
Korean Community Services of Metropolitan New York, Inc.	149 West 24th Street 6th Floor New York NY 10011	Non-Profit	Stop Hep B!	\$ 13,244
Lake Research Partners Inc.	1726 M Street, Suite 500 Northwest Washington DC 20036	Profit	Insight into How to Reduce Churning in New York's Medicaid Program	\$ 11,633
Make the Road New York	301 Grove Street Brooklyn NY 11237	Non-Profit	Increasing Immigrant Enrollment in Public Insurance Programs Through Targeted Education, Outreach, and Direct Assistance in 10 Languages	\$ 20,919
Manatt Health Solutions	7 Times Square New York NY 10036	Profit	Streamlining Medicaid Spend Down	\$ 4,820
Manhattan Institute for Policy Research, Inc.	52 Vanderbilt Avenue New York NY 10017-3808	Non-Profit	Reforming New York's Individual Insurance Market, Phase 2	\$ 36,059
Mary Imogene Bassett Hospital, The	1 ATWELL RD COOPERSTOWN NY 13326	Non-Profit	An Evaluation of Expanded Access to Specialty Care in Rural Hospitals through Telemedicine	\$ 97,759
Mathematica Policy Research, Inc.	600 Maryland Avenue, SW, Suite 550 Washington DC 20024-2512	Profit	Reducing Hospital Readmissions in New York State	\$ 80,455
Mayor's Fund to Advance New York City, The	180 Water Street, 19th Floor New York NY 10038	Public/Government	Increasing Health Insurance Coverage for Low Wage Workers at New York City's Small Businesses	\$ 22,996
Medicare Rights Center, Inc.	520 8th Avenue, North Wing, 3rd Floor New York NY 10018	Non-Profit	Saving State Dollars by Expanding Enrollment in Medicare Savings Programs: Phase 2	\$ 67,873
Medicare Rights Center, Inc	520 8th Avenue, North Wing, 3rd Floor New York NY 10018	Non-Profit	Community MAP. Medicare/Medicaid Assistance Project for the Southeast Bronx	\$ 22,004
Medicare Rights Center, Inc	520 8th Avenue, North Wing, 3rd Floor New York NY 10018	Non-Profit	Saving State Dollars by Expanding Enrollment in Medicare Savings Programs	\$ 48,000

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Medicare Rights Center, Inc.	520 8th Avenue, North Wing, 3rd Floor New York NY 10018	Non-Profit	Saving State Dollars by Expanding Enrollment in Medicare Savings Programs	\$ 12,000
Montefiore Medical Center	200 Corporate Boulevard South Yonkers NY 10701	Non-Profit	Establishing a Bronx Collaborative for Health Improvement: Planning Grant	\$ 37,500
Montefiore Medical Center	200 Corporate Boulevard South Yonkers NY 10701	Non-Profit	Establishing a Bronx Collaborative for Health Improvement: Planning Grant	\$ 18,750
Mosholu-Montefiore Community Center, Inc.	3450 DEKALB AVE BRONX NY 10467- 2302	Non-Profit	The Bronx Nutrition and Fitness Initiative for Teens (B'N Fit)	\$ 7,500
Mount Sinai School of Medicine of New York University	1255 Fifth Avenue, Suite C-2 New York NY 10029	Non-Profit	Providing Technical Assistance to Expand Palliative Care in New York State Hospitals	\$ 250,000
Nassau Health Care Corporation, The	2201 Hempstead Turnpike East Meadow NY 11554	Public/Govern ment	NuCare. Coordinated Care for the Medically Underserved	\$ 59,988
National Center on Addiction and Substance Abuse at Columbia University	633 3RD Avenue, 19th Floor New York NY 10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in New York State	\$ 214,953
National Multiple Sclerosis Society, New York City Chapter	733 Third Avenue, 3rd Floor New York NY 10017-3288	Non-Profit	Merger of the New York City (NYC) and Southern New York Chapters of the National Multiple Sclerosis Society	\$ 78,200
National Opinion Research Center	4350 East West Highway Bethesda MD 20814	Non-Profit	Survey of Employer Health Benefits in New York, 2009	\$ 160,000
National Urban Fellows, Inc.	102 West 38th Street, 7th Floor New York NY 10018	Non-Profit	Internships of the National Urban Fellows, Class of 2010	\$ 100,800
NDRI State, Inc	71 West 23rd Street, 8th Floor New York NY 10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHealth Center for Excellence in Integrated Care	\$ 110,644
New York Academy of Medicine	1216 Fifth Ave New York NY 10029	Non-Profit	Evaluation of The New York Immigration Coalition's Strengthening Capacity Project	\$ 14,472
New York Blood Center Inc	310 East 67th Street New York NY 10065	Non-Profit	Diversity Outreach Initiative	\$ 111,972

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
New York City Health & Hospitals Corporation	CPHIVS, 125 Worth Street, Rm 405 New York NY 10013	Public/Government	New York City Health and Hospitals Corporation Evaluation of Expansion of HIV Testing	\$ 1,475
New York City Health and Hospitals Corporation	462 First Avenue New York NY 10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	\$ 125,000
New York eHealth Collaborative, Inc.	c/o Public Health Solutions, 220 Church Street, 5th Floor New York NY 10013	Non-Profit	Public Education and Consumer Engagement in NYS Health Information Technology Strategy	\$ 99,935
New York Health Plan Association Council, Inc.	90 State Street, #825 Albany NY 12207	Non-Profit	NYQA Physician Portal	\$ 88,510
New York Health Plan Association Council, Inc.	90 State Street, Suite 825 Albany NY 12207-1708	Non-Profit	Primary Care Practice and Regional Stakeholders Assessment Proposal	\$ 51,472
New York Health Plan Association Council, Inc.	90 State Street, Suite 825 Albany NY 12207-1708	Non-Profit	Primary Care Practice and Regional Stakeholders Assessment Proposal	\$ 10,942
New York Health Purchasing Alliance, Inc.	61 Broadway, Suite 2705 New York NY 10006	Non-Profit	HealthPass-Healthy NY Integration	\$ 25,798
New York Health Purchasing Alliance, Inc.	61 Broadway, Suite 2705 New York NY 10006	Non-Profit	HealthPass-Healthy NY Integration	\$ 25,798
New York Health Purchasing Alliance, Inc.	61 Broadway, Suite 2705 New York NY 10006	Non-Profit	HealthPass-Healthy NY Integration	\$ 25,798
New York Immigration Coalition, Inc.	137-139 W 25TH STREET 12TH FLR NEW YORK NY 10001	Non-Profit	Strengthening the Capacity of Immigrant Organizations to Link Uninsured Immigrants to Health Care and Insurance	\$ 30,230
New York State Catholic Health Plan, Inc.	95-25 Queens Boulevard Rego Park NY 11374	Non-Profit	Leveraging Coverage Through "Employer Partnerships For Family Health Plus"	\$ 79,856
New York State Dental Foundation	20 Corporate Woods Boulevard, Suite 602 Albany NY 12211	Non-Profit	Donated Dental Services	\$ 180,000
New York State Unified Court System	25 Beaver Street, 8th Floor New York NY 10004	Public/Government	Process Evaluation of Buffalo Veterans Treatment Court	\$ 15,480

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
New York University	295 Lafayette Street, 2nd Floor New York NY 10012-7455	Non-Profit	Reimbursement Reform and Cost Management	\$ 19,870
New York University	345 East 24th Street, 1037W New York NY 10010	Non-Profit	Columbia County Oral Health Intervention Program	\$ 158,146
Northeast Health, Inc.	2212 Burdett Avenue Troy NY 12180-2401	Non-Profit	Merger of Northeast Health, St. Peter's Health Care Services, and Seton Health	\$ 160,000
Northern New York Rural Health Care Alliance, Inc.	800 Starbuck Avenue, Suite A-5 Watertown NY 13601	Non-Profit	You're Never Too Small to Save a Life: Quality in CAH Hospitals	\$ 13,919
Northern Oswego County Health Services, Inc.	61 DELANO ST PULASKI NY 13142- 1400	Non-Profit	Consolidating the Oswego Primary Care Safety Net	\$ 200,000
Our Lady of Lourdes Memorial Hospital, Inc.	169 RIVERSIDE DR BINGHAMTON NY 13905-4246	Non-Profit	The Hope Dispensary of the Southern Tier	\$ 198,326
Paraprofessional Healthcare Institute, Inc	349 E 149TH ST FL 10 BRONX NY 10451- 5603	Non-Profit	Quality Care through Quality Jobs: Expanding Affordable Coverage to New York State's Direct- Care Workers	\$ 21,762
Partnership for Results, Inc.	144 GENESEE ST STE 410 AUBURN NY 13021-3511	Non-Profit	Resilience Project: A Rural Expansion of a Mental Health Early Intervention Program for Elementary School Children	\$ 36,886
Philanthropy New York	79 Fifth Avenue, 4th Floor New York NY 10003-3076	Non-Profit	Membership Grant	\$ 15,100
Planned Parenthood of New York City, Inc.	26 BLEECKER ST NEW YORK NY 10012-2413	Non-Profit	Merging Resources to Improve Reproductive Health Services	\$ 175,243
Plattsburgh & Clinton County Chamber of Commerce	7061 State Rte. 9, PO Box 310 Plattsburgh NY 12901	Non-Profit	Overcoming Tri-Lakes Small Business Barriers to Offering Employee Health Insurance	\$ 13,287
PMB Healthcare Consulting	18 Carriage Hill Road Brewster NY 10509	Profit	Developing a Telephone Renewal System for New York State Public Health Insurance Programs	\$ 12,678
PMB Healthcare Consulting	18 Carriage Hill Road Brewster NY 10509	Profit	Developing a Telephone Renewal System for New York State Public Health Insurance Programs	\$ 12,678
Public Health Solutions	220 Church Street, 5th Floor New York NY 10013	Non-Profit	Applying Technology to Increase Health Insurance Enrollment	\$ 60,000

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Public Health Solutions	220 Church Street, 5th Floor New York NY 10013	Non-Profit	Open This Envelope, Stay Covered	\$ 20,000
RAND Corporation	P.O. Box 2138 Santa Monica CA 90407- 2138	Non-Profit	A Needs Assessment of Returning Veterans and Their Families in New York State	\$ 209,950
Research Foundation of City University of New York (CUNY)	425 E. 25th St New York NY 10010	Non-Profit	CUNY Campaign Against Diabetes	\$ 150,000
Research Foundation of State University of New York (SUNY)	402 Crofts Hall Amherst NY 14260	Non-Profit	Addressing Cultural & Linguistic Barriers to Facilitated Enrollment	\$ 5,096
Research Foundation of State University of New York (SUNY)	411 State Street Albany NY 12203- 1003	Non-Profit	Increasing Health Care Policy Research and Analysis Capability in New York State	\$ 32,289
Research Foundation of State University of New York (SUNY)	411 State Street Albany NY 12203- 1003	Non-Profit	Reforming the Small Group Insurance Market: An Assessment of State Policies	\$ 46,921
Research Foundation of State University of New York, The (SUNY)	SUNY Plaza, 22 Corporate Woods Blvd., 3rd Floor Albany NY 12246	Non-Profit	Reducing Variation in Medicaid Asset Transfer Rates: Phase 2	\$ 79,741
Research Foundation of State University of New York, The (SUNY)	SUNY Plaza, 22 Corporate Woods Blvd., 3rd Floor Albany NY 12246	Non-Profit	Reforming the Small Group Insurance Market, Phase 2	\$ 39,052
Research Foundation of the City University of New York (CUNY)	250 Bedford Park Blvd. West Bronx NY 10468	Non-Profit	CUNY-Community Partnership for Public Health (CCPPH)	\$ 127,520
Rochester General Hospital	1425 PORTLAND AVE ROCHESTER NY 14621-3001	Non-Profit	Refugee Healthcare Project	\$ 134,102
RotaCare, Inc.	c/o A. Holly Patterson, 875 Jerusalem Ave Uniondale NY 11553	Non-Profit	The Medical Altruism Project	\$ 25,000
RotaCare, Inc.	c/o A. Holly Patterson, 875 Jerusalem Ave Uniondale NY 11553	Non-Profit	The Medical Altruism Project	\$ 25,000
Seneca Nation Health Department	987 R.C. Hoag Drive Salamanca NY 14779	Public/Govern ment	Revisioning SNHD Diabetes Management	\$ 6,315
Seton Health System, Inc.	1300 Massachusetts Avenue Troy NY 12180	Non-Profit	DEFY DIABETES ¹	\$ 141,202
Southern Tier Health Care System, Inc	1 Bluebird Square Olean NY 14760- 2500	Non-Profit	Establishing a Southern Tier Child Advocacy Center	\$ 29,112

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
Spitfire Strategies	1800 M Street, NW, Suite 300 North Washington, DC DC 20036	Profit	Strategic Development and Implementation Support for Children's Coverage Enrollment Drives	\$ 20,299
St. Lawrence County Health Initiative and St. Lawrence County Public Health Department	80 State Highway 310 Canton NY 13617	Public/Govern ment	Toward Total Coverage	\$ 9,415
Sunset Park Health Council, Inc.	150 55th Street, Station 20 Brooklyn NY 11220	Non-Profit	Dental Clinic Preservation and Expansion	\$ 160,000
Syracuse University	Office of Sponsored Programs, 113 Bowne Hall SYRACUSE NY 13244-1200	Non-Profit	Spreading Best Practices to Reduce Geographic Variation and Health Care Costs in New York State	\$ 223,105
The Lewin Group, Inc.	3130 Fairview Park Drive, Suite 800 Falls Church VA 22042	Profit	Bending the Cost Curve in New York	\$ 99,996
The Lewin Group, Inc.	3130 Fairview Park Drive, Suite 800 Falls Church VA 22042	Profit	Bending the Cost Curve in New York	\$ 99,995
The President and Directors of Georgetown College for Georgetown University	37th and O Streets, NW Washington DC 20057-0001	Non-Profit	Eligibility Simplification Project	\$ 5,000
The Research Foundation of State University of New York (SUNY), The	Richardson 383, University at Albany Albany NY 12222	Non-Profit	Cost Effective Delivery of an Active Choices Health Promotion Program	\$ 14,075
Transition Network, Inc.	333 W 57TH ST APT 8C NEW YORK NY 10019-3120	Non-Profit	A Healthcare Collaborative: Grass Roots Caring Communities Connecting with Health Providers	\$ 36,081
Unite Health Center, Inc.	275 Seventh Avenue New York NY 10001	Non-Profit	Development and Implementation of an Innovative Primary Care Model for Low Income Retired Patients with Diabetes	\$ 184,017
Unite Health Center, Inc	275 Seventh Avenue New York NY 10001	Non-Profit	Development and Implementation of an Innovative Primary Care Model for Low Income Retired Patients with Diabetes	\$ 46,004
United Hospital Fund of New York	Empire State Building, 350 Fifth Avenue, 23rd Floor New York NY 10118- 2300	Non-Profit	Promoting the Expansion of Health Insurance in New York State	\$ 388,362
Unity House of Troy Inc.	33 Second Street Troy NY 12180	Non-Profit	Hudson River Consortium	\$ 200,441

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
University of Rochester	601 Elmwood Avenue Box 777 Pediatrics Rochester NY 14642	Non-Profit	Fostering Connections	\$ 75,036
University of Rochester	601 Elmwood Avenue, Box EHSC Rochester NY 14620	Non-Profit	Building Local Coalitions to Prevent Childhood Lead Poisoning	\$ 27,954
Veterans Outreach Center, Inc.	459 South Avenue Rochester NY 14620-1020	Non-Profit	Operation Welcome Home and Recovery	\$ 93,084
Village Center for Care	154 Christopher Street New York NY 10014-2840	Non-Profit	A Short-Stay Adult Day Rehabilitation Pilot	\$ 82,895
Visiting Nurse Service of New York	107 East 70th Street New York NY 10021	Non-Profit	Establishing an Advanced Illness Management (AIM) Model in a Community-based Setting :Innovation at the Visiting Nurse Service of New York	\$ 389,177
Visiting Nurse Service of New York	107 East 70th Street New York NY 10021	Non-Profit	Establishing an Advanced Illness Management (AIM) Model in a Community-based Setting :Innovation at the Visiting Nurse Service of New York	\$ 172,967
William F. Ryan Community Health Center Inc.	110 W 97TH ST NEW YORK NY 10025-6450	Non-Profit	Thelma C. Davidson Adair Community Health Center Acquisition	\$ 160,001
Working Today, Inc	20 Jay Street, Suite 700 Brooklyn NY 11201	Non-Profit	Independent Workers Employment Benefits System	\$ 125,000

\$ 13,017,652

New York State Health Foundation
December 31, 2009
Form 990-PF:Part XV - Line 3
Grant Approved for Future Payment

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amt
Actors Fund of America	729 7th Avenue, 10th Floor New York NY 10019-6895	Non-Profit	Expanding Services for Artists at a Free Health Care Clinic	\$ 43,798
AIDS Community Services of Western New York, Inc.	206 S ELMWOOD AVE BUFFALO NY 14201-2313	Non-Profit	Four Agency Financial and Data Management Systems Consolidation	32,236
Albany Medical College	43 New Scotland Avenue, MC 119 ALBANY NY 12208	Non-Profit	Pediatric Mental Health Services in the Capital Region	48,524
Adirondack Medical Center	PO BOX 471 SARANAC LAKE NY 12983-0471	Non-Profit	Northern Adirondack Health Exchange	27,740
American Cancer Society, Eastern Division Inc.	260 Osborne Road Loudonville NY 12211	Non-Profit	Consumer Voices for Coverage	150,026
Associated Community Action of the Northeast Adirondack Region, Inc.	39 Farrell Road Willsboro NY 12996-3904	Non-Profit	Creating Primary Care Access in Dannemora	10,000
Beth Israel Medical Center	First Avenue & 16th Street York NY 10003	Non-Profit	ADEPT: Action for Diabetes Education Programs and Treatment	51,099
Better World Advertising, Inc.	731 Market Street Suite 220 San Francisco CA 94103	Profit	New York State Diabetes Social Marketing Campaign	1,049,953
Bridges To Excellence, Inc.	13 Sugar Street Newtown CT 06470-2046	Non-Profit	Developing a Statewide Diabetes Care Physician Incentive Program Among Payers	64,429
Bridges To Excellence, Inc.	13 Sugar Street CT 06470-2046 Newtown	Non-Profit	From Potentially Avoidable Complications to Potentially Achievable Savings: A Payment Reform Initiative For New York State	371,695
Brownsville Community Development Corporation	592 ROCKAWAY AVE BROOKLYN NY 11212-5539	Non-Profit	Preserving and Expanding Primary Care Access in Brooklyn	49,960
Catholic Charities of Onondaga County	1654 W ONONDAGA ST SYRACUSE NY 13204-3310	Non-Profit	Restructuring for Results	22,200
Center for Health Care Strategies Inc.	200 American Metro Blvd. Suite 119 Hamilton NJ 08619-2311	Non-Profit	Evaluation Technical Assistance for NYSHealth Grantees and Applicants: Phase 2	43,408
Center for Health Care Strategies Inc.	200 American Metro Blvd., Suite 119 Hamilton NJ 08619-2311	Non-Profit	Internal Evaluation Technical Assistance for NYSHF Grantees	50,778
Center for Health Care Strategies Inc.	200 American Metro Blvd., Suite 119 Hamilton NJ 08619-2311	Non-Profit	Rethinking Care for New York's High-Opportunity Patients	161,281
Center for Independence of the Disabled in New York, Inc.	841 Broadway, Suite 301 NEW YORK NY 10003-4704	Non-Profit	Policies to Maximize Immigrants: Enrollment in Existing and Prospective Insurance Programs	21,495
Charles B. Wang Community Health Center, Inc.	268 Canal St. New York NY 10013	Non-Profit	Chinatown Diabetes ACTION (Accelerating Collaboration To Improve Health Outcomes Now)	46,000
Common Good Institute, Inc., The	620 Eighth Avenue, Floor 38, Suite D New York NY 10018	Non-Profit	Designing an Arbitration-Based Medical Injury Dispute Resolution System for New York Health Systems	101,320
Community Health Care Association of New York State	535 Eighth Avenue, 8th Floor NEW YORK NY 10018	Non-Profit	Diabetes Leadership Campaign	50,515
Community Health Care Services Foundation, Inc.	99 Troy Rd Suite 200 East Greenbush NY 12061	Non-Profit	Improving Retention of the Home Care Workforce	49,996
Community Health Center of Buffalo, Inc.	462 GRIDER ST BUFFALO NY 14215-3021	Non-Profit	Increasing Access to Primary Care in Niagara Falls	40,925

Organization/Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amt
Community Health Project, Inc.	356 West 18th Street New York NY 10011-4401	Non-Profit	Establishing On-Site Pharmacy Access at a Community Health Center	150,000
Community Service Society of New York	105 E 22ND ST NEW YORK NY 10010-5413	Non-Profit	Family Health Plus Buy-In: A Practical Solution for Small Businesses	40,000
Dairylea Cooperative, Inc.	5001 Brittonfield Parkway East Syracuse NY 13057	Profit	Increasing Health Insurance for New York's Agricultural Sector	255,000
Demos: A Network for Ideas and Action	115 West 30th Street, Suite 1213 New York NY 10001	Non-Profit	Coverage Reform Options: Assessing the Impact on New York's Small Businesses	30,442
Dr. Martin Luther King, Jr. Health Center, Inc.	1265 Franklin Avenue BRONX NY 10456-3402	Non-Profit	The Dr. Martin Luther King, Jr. Health Center Diabetes Management Initiative	53,552
Dr. Martin Luther King, Jr. Health Center, Inc.	1265 Franklin Avenue Bronx NY 10456-3402	Non-Profit	The Intensive Wellness Program: A Health and Mental Health Intervention for High Cost Patients	170,315
EPIC - Every Person Influences Children Inc.	1000 Main Street Buffalo NY 14202-1102	Non-Profit	Ready, Set, Parent! Statewide Expansion	285,155
Excellus Health Plan, Inc.	165 Court Street Rochester NY 14647	Non-Profit	Evaluating Patient-Centered Medical Home Pilot Project	225,000
Family Health Network of Central New York, Inc.	17 MAIN ST Suite 302 CORTLAND NY 13045-6615	Non-Profit	Taking Charge of Diabetes, A Collaborative Approach	50,230
Floating Hospital Foundation, Inc.	Grand Central Station - PO Box 3391 New York NY 10163-3391	Non-Profit	Expanding Primary Care Access in Long Island City	42,790
Foundation for Long Term Care, Inc.	150 STATE ST STE 301 ALBANY NY 12207-1626	Non-Profit	Efficient and Cost-Effective Care Planning	88,739
Foundation for Long Term Care, Inc.	150 STATE ST STE 301 ALBANY NY 12207-1626	Non-Profit	Research to Practice: Long Term Care Charge Nurse Peer Mentoring	36,636
Fund for Public Health in New York	291 BROADWAY FL 17 NEW YORK NY 10007-1855	Non-Profit	A Falling Tide of Salt Lowers All Blood Pressures	39,977
Glens Falls Hospital, Inc.	100 PARK ST GLENS FALLS NY 12801-4413	Non-Profit	Sustainable Strategies for Diabetes Self Management	34,554
Greater New York Hospital Foundation, Inc.	555 West 57th Street, 15th Floor New York NY 10019-2925	Non-Profit	Promoting a Medical Malpractice Early Settlement Model	4,840
Hartwick College	1 HARTWICK DR ONEONTA NY 13820-4000	Non-Profit	Strengthening the Rural Nursing Workforce	16,606
Health Association of Niagara County, Inc.	1302 MAIN ST NIAGARA FALLS NY 14301-1118	Non-Profit	Merging Agencies to Advance Disease Prevention in Niagara County	15,959
Health Research, Inc.	Riverview Center, 150 Broadway, Suite 560 Menands NY 12204	Non-Profit	Assessment of Certified Diabetes Educators in New York State	24,917
Hospital Educational and Research Fund, Inc.	1 EMPIRE DR RENSSELAER NY 12144-5729	Non-Profit	Electronic Fetal Monitoring: Improving Birth Outcomes in New York State	238,854
Hospital Educational and Research Fund, Inc.	One Empire Drive Rensselaer NY 12144	Non-Profit	Improving Diabetes Outcomes in New York State Hospital Outpatient Clinics	159,775
Hudson Headwaters Health Network	One Broad Street Plaza Glens Falls NY 12801	Non-Profit	Transitioning Elderly Patients from Hospital to Home	123,737
Hudson River HealthCare, Inc.	1037 Main Street Peekskill NY 10566-2913	Non-Profit	Yonkers Health Access Project	50,000
IM Research and Service Corporation	744 Broadway Albany NY 12207	Profit	Improving Diabetes Care Among New York's Internal Medicine Physicians	129,552
Institute for Community Living	40 Rector Street, 8th Floor New York NY 10006	Non-Profit	Integrated Wellness: Improving the Assessment and Management of Type II Diabetes in Adults with Serious Mental Illness	143,104

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amt
Institute for Family Health, The	16 East 16th Street New York NY 10003	Non-Profit	Development and Leadership of a New York State Diabetes Campaign	148,343
Institute for Family Health, The	16 East 16th Street New York NY 10003	Non-Profit	New York State Diabetes Campaign Leadership	217,206
Institute for Leadership Inc.	2576 Broadway, Suite 272 New York NY 10025	Non-Profit	New York State Faith-Based Diabetes Initiative	267,243
Iraq and Afghanistan Veterans of America Inc.	292 Madison Ave, 10th Floor New York NY 10017	Non-Profit	IAVA Veterans, Health Initiative	14,997
Jamaica Hospital	8900 Van Wyck Expressway Jamaica NY 11418-2897	Non-Profit	Initiating a Diabetes Management Program for Underserved Populations	46,177
Jericho Road Ministries	184 Barton Street Buffalo NY 14213	Non-Profit	Diabetes Center of Community Excellence	115,000
Jewish Board of Family and Children's Services, Inc.	120 West 57th Street New York NY 10019	Non-Profit	Home Again: Veterans & Families Readjustment and Reintegration	55,867
Joseph P. Addabbo Family Health Center, Inc.	6200 Beach Channel Drive Arverne NY 11692	Non-Profit	Preserving and Enhancing Primary Care Access in Central Queens	50,000
Manufacturers Association of the Southern Tier, Inc.	512 Falconer Street Jamestown NY 14701	Non-Profit	Small Business Access Health Insurance Initiative	19,844
Mathematica Policy Research, Inc.	600 Maryland Avenue, SW, Suite 550 Washington DC 20024-2512	Profit	Reducing Hospital Readmissions in New York State	241,365
MDRC	16 East 34 Street New York NY 10016	Non-Profit	Evaluation of CIDP	150,000
Medicare Rights Center, Inc.	520 8th Avenue, North Wing, 3rd Fl New York NY 10018	Non-Profit	Saving State Dollars by Expanding Enrollment in Medicare Savings Programs: Phase 2	16,968
Montefiore Medical Center	200 Corporate Boulevard South Yonkers NY 10701	Non-Profit	Establishing a Bronx Collaborative for Health Improvement: Planning Grant	18,750
Mount Sinai School of Medicine of New York University	1255 Fifth Avenue, Suite C-2 New York NY 10029	Non-Profit	Providing Technical Assistance to Expand Palliative Care in New York State Hospitals	250,000
Nassau Health Care Corporation, The	2201 Hempstead Turnpike East Meadow NY 11554	Public/Government	NuCare: Coordinated Care for the Medically Underserved	119,974
National Center on Addiction and Substance Abuse at Columbia University	633 3RD Avenue, 19th Floor New York NY 10017-8155	Non-Profit	Developing Sustainable Screening and Brief Intervention Programs for Substance Use Disorders in Emergency Departments in New York State	214,953
National Multiple Sclerosis Society, New York City Chapter	733 Third Avenue, 3rd Floor New York NY 10017-3288	Non-Profit	Merger of the New York City (NYC) and Southern New York Chapters of the National Multiple Sclerosis Society	19,550
National Opinion Research Center	4350 East West Highway Bethesda MD 20814	Non-Profit	Survey of Employer Health Benefits in New York, 2009	40,000
National Urban Fellows, Inc.	102 West 38th Street, 7th Floor New York NY 10018	Non-Profit	Internships of the National Urban Fellows, Class of 2010	25,200
National Urban League	120 Wall Street, 8th Floor New York NY 10005	Non-Profit	Community Healthcare Navigator Project	41,065
NDRI State, Inc.	71 West 23rd Street, 8th Floor New York NY 10010-3509	Non-Profit	People First: Center of Excellence for the Integration of Care for Individuals with Co-Occurring Mental Health & Substance Use Disorders	2,401,149
NDRI State, Inc.	71 West 23rd Street, 8th Floor New York NY 10010-3509	Non-Profit	Expanding Technical Assistance Support and Reach of NYSHealth Center for Excellence in Integrated Care	331,927
New York Blood Center Inc.	310 East 67th Street New York NY 10065	Non-Profit	Diversity Outreach Initiative	55,986

Organization, Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amount
New York City Alliance Against Sexual Assault	27 Christopher Street New York NY 10014	Non-Profit	SAFE NYC: Quality Care for Assault Victims	35,414
New York City Health & Hospitals Corporation	506 Lenox Avenue New York NY 10037	Public/Government	Muslim Health Clinic	154,836
New York City Health & Hospitals Corporation	79-01 Broadway Elmhurst NY 11373	Public/Government	New Mothers Breastfeeding Education and Support	31,205
New York City Health and Hospitals Corporation	462 First Avenue New York NY 10016	Public/Government	Improving Care and Reducing Hospital Admissions and Costs for Patients at High Risk of Frequent Hospital Admissions	375,000
New York Health Plan Association Council, Inc.	90 State Street, #825 Albany NY 12207	Non-Profit	NYQA Physician Portal	88,510
New York Health Purchasing Alliance, Inc.	61 Broadway, Suite 2705 New York NY 10006	Non-Profit	HealthPass-Healthy NY Integration	25,796
New York Legal Assistance Group, Inc.	450 West 33rd Street, 11th Floor New York NY 10001-2603	Non-Profit	LegalHealth Technical Assistance Project	23,000
New York State Catholic Health Plan, Inc.	95-25 Queens Boulevard Rego Park NY 11374	Non-Profit	Leveraging Coverage Through "Employer Partnerships For Family Health Plus"	19,964
New York State Dental Foundation	20 Corporate Woods Boulevard, Suite 602 Albany NY 12211	Non-Profit	Donated Dental Services	45,000
New York State Unified Court System	25 Beaver Street, 8th Floor New York NY 10004	Public/Government	Process Evaluation of Buffalo Veterans Treatment Court	3,870
New York University	345 East 24th Street, New York NY 10010	Non-Profit	Columbia County Oral Health Intervention Program	39,536
Northeast Health, Inc.	2212 Burdett Avenue Troy NY 12180-2401	Non-Profit	Merger of Northeast Health, St. Peter's Health Care Services, and Seton Health	40,000
Northern New York Rural Behavioral Health Institute Inc.	P.O. Box 891 Saranac Lake NY 12983-0891	Non-Profit	HealthNet: Mental Health Services for North Country Elders	52,785
Northern Oswego County Health Services, Inc.	61 DELANO ST PULASKI NY 13142-1400	Non-Profit	Consolidating the Oswego Primary Care Safety Net	200,000
Northwest Buffalo Community Health Care Center, Inc.	155 Lawn Ave Buffalo NY 14207	Non-Profit	Integrating Mental Health Services into a Primary Care Setting	47,943
Our Lady of Lourdes Memorial Hospital, Inc.	169 RIVERSIDE DR BINGHAMTON NY 13905-4246	Non-Profit	The Hope Dispensary of the Southern Tier	49,581
Planned Parenthood of New York City, Inc.	26 BLEECKER ST NEW YORK NY 10012-2413	Non-Profit	Expanding Access to Care on Staten Island for Young Adults	10,707
Planned Parenthood of New York City, Inc.	26 BLEECKER ST NEW YORK NY 10012-2413	Non-Profit	Merging Resources to Improve Reproductive Health Services	175,242
Primary Care Development Corporation	22 CORTLANDT ST 12TH FLR NEW YORK NY 10007-3107	Non-Profit	Assessment of the Financial Viability of NYS Health Centers	59,000
Project Renewal, Inc.	200 VARICK STREET NEW YORK NY 10014-4810	Non-Profit	Use of the ScanVan for Screening for Tuberculosis and Breast Cancer among Poor and Homeless New Yorkers	46,000
Public Health Solutions	220 Church Street, 5th Floor New York NY 10013	Non-Profit	Applying Technology to Increase Health Insurance Enrollment	15,000
Queens Library Foundation, Inc.	89-11 MERRICK BOULEVARD JAMAICA NY 11432-5242	Non-Profit	"Know Better-Feel Better": Queens Library's Health Literacy Program	40,000
RAND Corporation	P.O. Box 2138 Monica CA 90407-2138	Non-Profit	A Needs Assessment of Returning Veterans and Their Families in New York State	284,950
Research & Education Foundation of the New York State Academy of Family Physicians	260 Osborne Road Loudonville NY 12211-1822	Non-Profit	Improving Diabetes Care Among New York's Family Physicians	31,395

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amt
Research Foundation for Mental Hygiene, Inc.	1051 Riverside Drive New York NY 10032	Non-Profit	Critical Time Intervention for Frequent Users of the Comprehensive Psychiatric Emergency Programs	222,460
Research Foundation of City University of New York (CUNY)	425 E. 25th St New York NY 10010	Non-Profit	CUNY Campaign Against Diabetes	45,000
Research Foundation of State University of New York, The (SUNY)	SUNY Plaza, 22 Corporate Woods Blvd., 3rd Floor Albany NY 12246	Non-Profit	Reducing Variation in Medicaid Asset Transfer Rates: Phase 2	19,935
Research Foundation of the City University of New York (CUNY)	250 Bedford Park Blvd. West Bronx NY 10468	Non-Profit	CUNY-Community Partnership for Public Health (CCPPH)	127,499
Rochester General Hospital	1425 PORTLAND AVE ROCHESTER NY 14621-3001	Non-Profit	Refugee Healthcare Project	33,526
RotaCare, Inc.	c/o A. Holly Patterson, 875 Jerusalem Ave Uniondale NY 11553	Non-Profit	The Medical Altruism Project	25,000
S2AY Rural Health Network Inc.	PO BOX 97 CORNING NY 14830-0097	Non-Profit	Making it Easy: Planning a Rural Strategy to Increase Enrollment in Healthy NY	19,527
Schenectady Inner City Ministry	930 ALBANY ST SCHENECTADY NY 12307-1514	Non-Profit	Spiritually Sound - Physically Fit	23,000
Seton Health System, Inc.	1300 Massachusetts Avenue Troy NY 12180	Non-Profit	DEFY DIABETES!	141,202
Southern Tier Health Care System, Inc.	1 Bluebird Square Olean NY 14760-2500	Non-Profit	Establishing a Southern Tier Child Advocacy Center	58,222
Sunset Park Health Council, Inc	150 55th Street, Station 20 Brooklyn NY 11220	Non-Profit	Dental Clinic Preservation and Expansion	40,000
Syracuse University	Office of Sponsored Programs, 113 Bowne Hall SYRACUSE NY 13244-1200	Non-Profit	Spreading Best Practices to Reduce Geographic Variation and Health Care Costs in New York State	55,776
The Lewin Group, Inc.	3130 Fairview Park Drive, Suite 800 Falls Church VA 22042	Profit	Bending the Cost Curve in New York	199,990
Transition Network, Inc.	333 W 57TH ST APT 8C YORK NY 10019-3120	Non-Profit	A Healthcare Collaborative: Grass Roots Caring Communities Connecting with Health Providers	36,081
Trustees of Columbia University in the City of New York	722 West 168th Street New York NY 10032	Non-Profit	First Steps to Healthy Living: Evaluation of New York State Early Childhood Obesity Prevention Programs	39,341
UB Family Medicine, Inc.	482 Grider Street Buffalo NY 14215-3021	Non-Profit	Improving Diabetes Outcomes in Behavioral Health Care Recipients	88,013
United Hospital Fund of New York	EMPIRESTATE BLDG 350 5TH AVE, 23rd floor NEW YORK NY 10118-0000	Non-Profit	Promoting the Expansion of Health Insurance in New York State	116,509
United Hospital Fund of New York	EMPIRESTATE BLDG 350 5TH AVE, 23rd floor NEW YORK NY 10118-0000	Non-Profit	Expanding Health Insurance Expansion in New York State, Phase II	273,676
United Way of Long Island, Inc.	819 Grand Blvd. Deer Park NY 11729	Non-Profit	Mobile Dental Services for At-Risk Populations	189,927
Unity House of Troy Inc.	33 Second Street Troy NY 12180	Non-Profit	Hudson River Consortium	50,110
University of Rochester	601 Elmwood Avenue Box 777 Pediatrics Rochester NY 14642	Non-Profit	Fostering Connections	225,105
University of Rochester	601 Elmwood Avenue Box 777 Pediatrics Rochester NY 14642	Non-Profit	Health-e-Access: Optimizing Access to Avoid Emergency Department Use	159,071
Veterans Outreach Center, Inc.	459 South Avenue Rochester NY 14620-1020	Non-Profit	Operation Welcome Home and Recovery	93,083
Visiting Nurse Service of New York	107 East 70th Street New York NY 10021	Non-Profit	CHAMP Geriatric Medication Management Program	46,543

Organization Legal Name	Complete Organization Address	Organization Type	Project Title	Payment Amt
Westfield Memorial Hospital, Inc.	189 East Main Street Westfield NY 14787	Non-Profit	Amish Midwifery Care Program	22,800
What to Expect Foundation	211 West 80th Street, Lower Level New York NY 10024	Non-Profit	Baby Basics Prenatal Health Literacy Program at MIC Women's Health Centers	59,984
William F. Ryan Community Health Center Inc.	110 W 97TH ST NEW YORK NY 10025-6450	Non-Profit	Thelma C. Davidson Adair Community Health Center Acquisition	40,000
Working Today, Inc.	20 Jay Street, Suite 700 Brooklyn NY 11201	Non-Profit	Independent Workers Employment Benefits System	125,000

Grants Payable	\$ 14,229,790
Grant Discount	(136,150)
Net Grants Payable	<u>\$ 14,093,640</u>

NEW YORK STATE HEALTH FOUNDATION

EIN # 30-0127892

December 31, 2009

Form 990-PF

Part IX-A Summary of Direct Charitable Activities

The New York State Diabetes Campaign - Reversing the Epidemic: An initiative to reverse the growing diabetes epidemic in New York State by working to improve clinical care, mobilize communities, and promote policy to create better outcomes for patients with diabetes.

\$ 278,050

Having it All: Conference hosted by NYSHHealth and attended by more than 300 participants that examined health care cost containment strategies.

\$ 77,500

Evaluation Initiative: A project in which the foundation evaluates its grant making initiatives and develops reports that communicate the findings from the initiatives that NYSHHealth has funded. The purpose of these reports is to make it possible for individuals in the health sector to learn from the Foundation's work and to be able to apply these findings to improve the New York State health system.

\$ 62,829

Conversations With... A regular series of educational seminars with leaders in health care policy and practice conducted for grantees and other stakeholders in healthcare policy attended by a total of approximately 240 participants.

\$ 16,015

New York State Health Foundation
EIN # 30-0127892
Form 990-PF: Part II - Balance Sheet
Schedule of Portfolio Holdings
December 31, 2009

TYPE AND NAME OF SECURITY	BALANCE AT DECEMBER 31, 2009		
	NO. OF SHARES	COST	MARKET VALUE
ISHARES Trust Russell 1000 Index Fund	732,000	49,851,126	44,878,919
ACWI Ex-US Superfund B	407,108.74	8,020,000	8,143,320
MSCI Emerging Mkts Free B	30,661.76	890,000	910,182
Russell 1000 Index B	1,457,081.24	15,455,088	17,928,930
Equity Index Funds Sub-total	2,626,851.74	74,216,214	71,861,351
ISHARES TRUST COMEX GOLD ETF	37,050	4,133,580	3,978,059
Gold ETF Sub-total	37,050.00	4,133,580	3,978,059
BGI 7-10yr Treas Bond	6,070,024.61	84,493,601	83,116,610
ISHARES 20+ Yr Treasury Index	429,800	36,774,685	38,634,722
ISHARES TRUST US TREAS INFLATION PROJECT	411,480	40,909,118	42,752,772
Fixed Income Funds Sub-total	6,911,304.61	162,177,404	164,504,104

TYPE AND NAME OF BOND	PAR VALUE	MARKET VALUE	
		COST	MARKET VALUE
CVS Caremark 5.75%	750,000.00	761,970	791,558
Dow Chem Co SR 5.7%	1,000,000.00	912,480	1,015,860
Home Depot 5.25%	1,000,000.00	1,037,780	1,070,560
Kroger Co 6.15%	1,000,000.00	1,049,430	1,070,250
Oracle Corp 5%	1,000,000.00	998,050	1,031,300
Time Warner 5.4%	1,000,000.00	1,044,990	1,068,430
Venzon 6.4%	1,000,000.00	997,960	1,045,410
Viacom Inc 4.375%	1,000,000.00	996,485	1,031,260
News America 5.65%	1,000,000.00	1,011,160	1,041,250
Newmont Mining 5.125%	500,000.00	497,510	500,235
Goldman Sachs 5.5%	1,000,000.00	1,164,140	1,165,800
JPMorgan Chase 6.3%	1,000,000.00	1,092,690	1,100,080
Computer Assoc 5.375%	1,000,000.00	991,620	1,005,610
Republic Svcs 5.250%	1,000,000.00	1,001,788	983,230
TD Amentrade 4.150%	1,000,000.00	1,005,901	985,930
Blackrock, Inc 3.500%	1,000,000.00	1,005,118	987,440
Corporate Bonds Sub-total	15,250,000.00	15,569,072	15,894,203
NY NY Ser D-1 4.749% *	1,000,000.00	1,000,000	971,930
Taxable Municipal Bonds Sub-total	1,000,000.00	1,000,000	971,930
Total Holdings		257,096,270	257,209,647

New York State Health Foundation
 EIN# 30-0127892
 Form 990-PF
 Part II - Balance Sheet
 Detail of Line 14

Property Description	(A)	(B)	
	Beginning of year	End of year	
	Book Value	Book Value	FMV
Leasehold Improvements	\$ 39,890	\$ 129,420	\$ 129,420
Leasehold Improvements - Accumulated Depreciation	(13,222)	(21,200)	(21,200)
Furniture Fixtures and Equipment	239,074	438,138	438,138
Furniture Fixtures and Equipment - Accumulated Depreciation	(91,711)	(133,035)	(133,035)
Total of Line 14 Column (A) and (B)	\$ 174,031	\$ 413,323	\$ 413,323

New York State Health Foundation
EIN # 30-0127892
Expenditure Responsibility
December 31, 2009

Organization Legal Name	Organization Address	Project Title	Grant Amount	Grant Amount Paid	Project Start Date	Project End Date	Project Budget Receipt Date	Interim Financial Report Dates	Interim Narrative Report Dates	Final Financial Report Dates	Final Narrative Report Dates
Better World Advertising, Inc	731 Market Street Suite 220 San Francisco CA 94103	New York State Diabetes Social Marketing Campaign	\$1,399,941	\$349,988	4/1/2009	3/31/2011	2/25/2009	8/6/09; 12/4/09; 2/11/10			
Dairylea Cooperative, Inc	5001 Brittonfield Parkway East Syracuse NY 13057	Increasing Health Insurance for New York's Agricultural Sector	\$510,000	\$318,750	12/1/2008	4/15/2010	9/29/2008	5/1/09; 8/24/09; 1/20/10	5/1/2009; 11/18/09		
IM Research and Service Corporation	744 Broadway Albany NY 12207	Improving Diabetes Care Among New York's Internal Medicine Physicians	\$259,105	\$129,553	10/20/2008	1/31/2010	9/9/2008	2/20/09; 8/21/09	2/20/2009		
Joslyn Levy & Associates, LLC	501 Fifth Avenue, Suite 1702 NY NY 10017	Evaluation of NYSHHealth Setting the Standard Initiative	\$179,998	\$45,000	1/1/2010	10/31/2010	10/13/2009				
Lake Research Partners Inc	1726 M Street, Suite 500 NW Washington DC 20036	How to Reduce Churning In New York's Medicaid Program	\$98,175	\$98,175	8/1/2008	1/1/2009	5/30/2008			3/27/2009	4/10/2009
Manatt Health Solutions	7 Times Square New York NY 10036	Streamlining Medicaid Spend Down	\$48,204	\$48,204	1/21/2008	1/31/2009	12/12/2007	9/22/08; 12/1/08	9/10/2008	6/16/2009	6/8/2009
Mathematica Policy Research	600 Maryland Avenue, SW, Suite 550	Reducing Hospital Readmissions in NYS	\$321,820	\$80,455	9/1/2009	9/30/2010	3/26/2009	1/25/2010	1/25/2010		
PMB Healthcare Consulting	18 Carnegie Hill Road Brewster NY 10509	Developing Telephone Renewal System for NYS Public Health Insurance Programs	\$50,710	\$50,710	7/1/2008	6/30/2009	6/12/2008	12/1/08; 3/24/09	12/1/2008	8/21/2009	8/4/2009
Spitfire Strategies	1800 M Street, NW, Suite 300 North Washington, DC 20036	Strategic Development and Implementation Support for Children's Coverage Enrollment Drives	\$102,125	\$102,125	5/1/2008	2/27/2009	3/10/2008	9/18/2008	9/18/2008	3/27/2009	3/27/2009
The Lewin Group	3130 Fairview Park Drive, Suite 800 Falls Church VA 22042	Bending the Cost Curve in New York	\$399,981	\$199,991	8/1/2009	10/31/2010	3/26/2009	12/8/2009	12/7/2009		

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file). Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization NEW YORK STATE HEALTH FOUNDATION	Employer identification number 30-0127892
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 1385 BROADWAY, 23RD FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018-6013	

Check type of return to be filed (file a separate application for each return):

- | | | |
|---|---|------------------------------------|
| ☐ Form 990 | ☐ Form 990-T (corporation) | ☐ Form 4720 |
| ☐ Form 990-BL | ☐ Form 990-T (sec. 401(a) or 408(a) trust) | ☐ Form 5227 |
| ☐ Form 990-EZ | ☐ Form 990-T (trust other than above) | ☐ Form 6069 |
| ☒ Form 990-PF | ☐ Form 1041-A | ☐ Form 8870 |

THE FOUNDATION

- The books are in the care of ▶ **1385 BROADWAY, 23RD FLOOR - NEW YORK, NY 10018-6013**
Telephone No. ▶ **212-664-7656** FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

- 1** I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 16, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ ☒ calendar year **2009** or
▶ ☐ tax year beginning _____, and ending _____

- 2** If this tax year is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	171,523.
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	171,523.
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev 4-2009)