Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation**

**Note:** The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

**2009**

**For calendar year 2009, or tax year beginning , 2009, and ending , 20**

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation
CURTIS I & PAUL KOSSMAN CHAR FOUNDATION
C/O PNC BANK, NA

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
P O BOX 94651

City or town, state, and ZIP code
CLEVELAND, OH 44101-4651

A Employer identification number
30-0106868

B Telephone number (see page 10 of the instructions)
(216) 257-4731

C If exemption application is pending, check here ▷ [ ]
D 1 Foreign organizations, check here ▷ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▷ [ ]
E If private foundation status was terminated under section 507(b)(1)(A), check here ▷ [ ]
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▷ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,840,241.

J Accounting method: [X] Cash [ ] Accrual
[ ] Other (specify) ____________
*(Part I, column (d) must be on cash basis.)*

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions).)*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 60,000. | | | |
| | 2 Check ▶ [ ] if the foundation is **not** required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 36,541. | 36,541. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | -39,554. | | | |
| | b Gross sales price for all assets on line 6a 252,664. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | 2,639. | 520. | | |
| | 12 **Total.** Add lines 1 through 11 | 59,626. | 37,061. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | | | | |
| | 14 Other employee salaries and wages | | NONE | NONE | |
| | 15 Pension plans, employee benefits | | NONE | NONE | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) STMT 1 | 850. | NONE | NONE | 850. |
| | c Other professional fees (attach schedule) STMT 2 | 11,703. | 11,703. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3 | 435. | 435. | | |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | NONE | NONE | |
| | 22 Printing and publications | | NONE | NONE | |
| | 23 Other expenses (attach schedule) STMT 4 | 22. | 7. | | 15. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 13,010. | 12,145. | NONE | 865. |
| | 25 Contributions, gifts, grants paid | 74,100. | | | 74,100. |
| | 26 **Total expenses and disbursements** Add lines 24 and 25 | 87,110. | 12,145. | NONE | 74,965. |
| | 27 Subtract line 26 from line 12. | | | | |
| | a **Excess of revenue over expenses and disbursements** | -27,484. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 24,916. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

| | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 236,361. | 117,705. | 117,705. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ NONE | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10 a Investments - U S and state government obligations (attach schedule) | 198,930. | 150,842. | 155,441. |
| | b Investments - corporate stock (attach schedule) | 766,691. | 1,203,424. | 1,323,148. |
| | c Investments - corporate bonds (attach schedule) | 217,892. | 213,243. | 214,564. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) | 327,654. | 35,000. | 29,383. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I) | 1,747,528. | 1,720,214. | 1,840,241. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X | | | |
| | 27 Capital stock, trust principal, or current funds | 1,747,528. | 1,720,214. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 Total net assets or fund balances (see page 17 of the instructions) | 1,747,528. | 1,720,214. | |
| | 31 Total liabilities and net assets/fund balances (see page 17 of the instructions) | 1,747,528. | 1,720,214. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,747,528. |
| 2 | Enter amount from Part I, line 27a | 2 | -27,484. |
| 3 | Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5 | 3 | 239. |
| 4 | Add lines 1, 2, and 3 | 4 | 1,720,283. |
| 5 | Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6 | 5 | 69. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 1,720,214. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | SEE PART IV DETAIL | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** -39,554.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . **3**

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2008 | 93,197. | 1,907,450. | 0.04885947207 |
| 2007 | 69,650. | 2,149,832. | 0.03239788039 |
| 2006 | 105,121. | 1,954,359. | 0.05378796833 |
| 2005 | 141,707. | 1,835,587. | 0.07719982763 |
| 2004 | 111,524. | 1,748,138. | 0.06379587882 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.27604102724 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.05520820545 |
| 4 | Enter the net value of noncharitable-use assets for 2009 from Part X, line 5 | 4 | 1,613,031. |
| 5 | Multiply line 4 by line 3 | 5 | 89,053. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 249. |
| 7 | Add lines 5 and 6 | 7 | 89,302. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 74,965. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 498. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 498. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | NONE |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 498. |
| 6 | Credits/Payments: | | |
| a | 2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 630. | | |
| b | Exempt foreign organizations-tax withheld at source — 6b: NONE | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: NONE | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments. Add lines 6a through 6d | 7 | 630. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 132. |
| 11 | Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 132. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? | 1b | | X |
| | *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 7 | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | X | |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions) | 11 | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008? | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ PAUL KOSSMAN Telephone no ▶ (412)921-6100

Located at ▶ 11 PARKWAY CTR, STE 300,, PITTSBURGH, PA ZIP + 4 ▶ 15220

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.) | 2b | X | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to: | | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| | (4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? | 5b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▷ ☐ | | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No<br>*If "Yes," attach the statement required by Regulations section 53.4945-5(d)* | | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?<br>*If "Yes" to 6b, file Form 8870.* | 6b | | X |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| b | If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? | 7b | | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| SEE STATEMENT 8 | | -0- | -0- | -0- |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | NONE | NONE | NONE |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ NONE

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | NONE |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services . . . ▶ NONE

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see page 23 of the instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments. See page 24 of the instructions<br>3 NONE | |
| **Total.** Add lines 1 through 3 . . . ▶ | |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 1,637,595. |
| b | Average of monthly cash balances | 1b | NONE |
| c | Fair market value of all other assets (see page 24 of the instructions) | 1c | NONE |
| d | **Total** (add lines 1a, b, and c) | 1d | 1,637,595. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | NONE |
| 3 | Subtract line 2 from line 1d | 3 | 1,637,595. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions) | 4 | 24,564. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 1,613,031. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 80,652. |

## Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▷ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 80,652. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | 2a | 498. | | |
| b | Income tax for 2009. (This does not include the tax from Part VI) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 498. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 80,154. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | NONE |
| 5 | Add lines 3 and 4 | | | 5 | 80,154. |
| 6 | Deduction from distributable amount (see page 25 of the instructions) | | | 6 | NONE |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 80,154. |

## Part XII Qualifying Distributions (see page 25 of the instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes. | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 74,965. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | NONE |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | NONE |
| b | Cash distribution test (attach the required schedule) | 3b | NONE |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 74,965. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions) | 5 | N/A |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 74,965. |

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see page 26 of the instructions)

| | | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2009 from Part XI, line 7 | | | | | 80,154. |
| 2 Undistributed income, if any, as of the end of 2009: | | | | | |
| a Enter amount for 2008 only | | | | 6,183. | |
| b Total for prior years 20____,20____,20____ | | | NONE | | |
| 3 Excess distributions carryover, if any, to 2009: | | | | | |
| a From 2004 | NONE | | | | |
| b From 2005 | NONE | | | | |
| c From 2006 | NONE | | | | |
| d From 2007 | NONE | | | | |
| e From 2008 | NONE | | | | |
| f **Total** of lines 3a through e | | NONE | | | |
| 4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 74,965. | | | | | |
| a Applied to 2008, but not more than line 2a | | | | 6,183. | |
| b Applied to undistributed income of prior years (Election required - see page 26 of the instructions) | | | NONE | | |
| c Treated as distributions out of corpus (Election required - see page 26 of the instructions) | | NONE | | | |
| d Applied to 2009 distributable amount | | | | | 68,782. |
| e Remaining amount distributed out of corpus | | NONE | | | |
| 5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)* | | NONE | | | NONE |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | NONE | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | NONE | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | NONE | | |
| d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions | | | NONE | | |
| e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions | | | | | |
| f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010 | | | | | 11,372. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions) | | NONE | | | |
| 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions) | | NONE | | | |
| 9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a | | NONE | | | |
| 10 Analysis of line 9: | | | | | |
| a Excess from 2005 | NONE | | | | |
| b Excess from 2006 | NONE | | | | |
| c Excess from 2007 | NONE | | | | |
| d Excess from 2008 | NONE | | | | |
| e Excess from 2009 | NONE | | | | |

**Part XIV Private Operating Foundations** (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . . . . . . . . . . ▷

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2009 | (b) 2008 | (c) 2007 | (d) 2006 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| SEE STATEMENT 11 | | | | |
| **Total** . . . . . . . . ▷ **3a** | | | | 74,100. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . ▷ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See page 28 of the instructions.) |
|---|---|---|---|---|---|
| 1 Program service revenue. | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 36,541. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 520. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | -39,554. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b PR YR TAX REFUND | | | | 2,119. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | | | -374. | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . 13 -374.

(See worksheet in line 13 instructions on page 28 to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See page 29 of the instructions.) |
|---|---|
| | NOT APPLICABLE |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | Yes | No |
|---|---|---|---|
| **1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| **a** Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| **b** Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| **c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line no. | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

[signature] | 5/6/10 | Treasurer

Signature of officer or trustee | Date | Title

**Paid Preparer's Use Only**

Preparer's signature: Margaret Uebra | Date: 5/4/10 | Check if self-employed ☐ | Preparer's identifying number (See **Signature** on page 30 of the instructions)

Firm's name (or yours if self-employed), address, and ZIP code: PNC BANK NA, P O BOX 94651, CLEVELAND, OH 44101-4561 | EIN | Phone no

**Schedule B**
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▷ Attach to Form 990, 990-EZ, or 990-PF.

OMB No. 1545-0047

2009

**Name of the organization**

CURTIS I & PAUL KOSSMAN CHAR FOUNDATION

**Employer identification number**

30-0106868

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | [ ] 501(c)(     ) (enter number) organization |
| | [ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | [ ] 527 political organization |
| Form 990-PF | [X] 501(c)(3) exempt private foundation |
| | [ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | [ ] 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

**Special Rules**

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▷ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: CURTIS I & PAUL KOSSMAN CHAR FOUNDATION

Employer identification number: 30-0106868

**Part I** **Contributors** (see instructions)

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Aggregate contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | MARC KOSSMAN<br>ELEVEN PARKWAY CTR., STE 300<br>PITTSBURGH, PA 15220 | $ 60,000. | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution ) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II if there is a noncash contribution.) |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 1,629. | |
| | | 300. ISHARES TR NASDQ BIO INDX FD<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2007 | 02/02/2009 |
| 20,914.00 | | 24,815.00 | | | | | -3,901.00 | |
| | | 300. DEERE & CO COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/01/2006 | 02/26/2009 |
| 8,208.00 | | 13,325.00 | | | | | -5,117.00 | |
| | | 250. HONDA MOTOR ADR NEW<br>PROPERTY TYPE: SECURITIES | | | | | 03/27/2006 | 02/26/2009 |
| 6,062.00 | | 8,329.00 | | | | | -2,267.00 | |
| | | 500. NATIONAL OILWELL VARCO INC<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 02/26/2009 |
| 13,549.00 | | 39,640.00 | | | | | -26,091.00 | |
| | | 100. OMNICOM GROUP INC COM<br>PROPERTY TYPE: SECURITIES | | | | | 07/17/2008 | 02/26/2009 |
| 2,431.00 | | 4,139.00 | | | | | -1,708.00 | |
| | | 324. ACE LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 02/29/2008 | 02/26/2009 |
| 12,471.00 | | 18,596.00 | | | | | -6,125.00 | |
| | | 100. ACE LIMITED<br>PROPERTY TYPE: SECURITIES | | | | | 05/06/2003 | 02/26/2009 |
| 3,849.00 | | 3,404.00 | | | | | 445.00 | |
| | | 100000. U S TSY NOTES 4.5% 4/3<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2007 | 04/30/2009 |
| 100,000.00 | | 100,000.00 | | | | | | |
| | | 300. VARIAN MED SYS INC<br>PROPERTY TYPE: SECURITIES | | | | | 08/07/2003 | 06/25/2009 |
| 10,574.00 | | 8,501.00 | | | | | 2,073.00 | |
| | | 400. DOMINION RES INC VA NEW COM<br>PROPERTY TYPE: SECURITIES | | | | | 05/17/2007 | 07/15/2009 |
| 13,200.00 | | 18,032.00 | | | | | -4,832.00 | |

# FORM 990-PF - PART IV
## CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj. basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | 500. SYMANTEC CORP COM<br>PROPERTY TYPE: SECURITIES | | | | | 08/31/2007 | 07/15/2009 |
| 8,251.00 | | 9,429.00 | | | | | -1,178.00 | |
| | | 500. TEVA PHARMACEUTICAL INDS LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 03/29/2004 | 07/28/2009 |
| 26,663.00 | | 17,493.00 | | | | | 9,170.00 | |
| | | 100. TEVA PHARMACEUTICAL INDS LTD ADR<br>PROPERTY TYPE: SECURITIES | | | | | 05/08/2009 | 07/28/2009 |
| 5,333.00 | | 4,432.00 | | | | | 901.00 | |
| | | 400. SELECT SECTOR SPDR FUND MATERIALS<br>PROPERTY TYPE: SECURITIES | | | | | 12/21/2007 | 10/15/2009 |
| 12,972.00 | | 16,776.00 | | | | | -3,804.00 | |
| | | 242. SECTOR SPDR TR SBI INT-INDS<br>PROPERTY TYPE: SECURITIES | | | | | 12/03/2008 | 10/15/2009 |
| 6,558.00 | | 5,307.00 | | | | | 1,251.00 | |
| TOTAL GAIN(LOSS) | | | | | | | -39,554. | |

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| PNC BANK, NA | 850. | | | 850. |
| TOTALS | 850. | NONE | NONE | 850. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| INVESTMENT MGMT FEES-SUBJECT T | 11,703. | 11,703. |
| TOTALS | 11,703. | 11,703. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FOREIGN TAXES | 214. | 214. |
| FOREIGN TAXES ON QUALIFIED FOR | 221. | 221. |
| TOTALS | 435. | 435. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| STATE FILING FEE | 15. | | 15. |
| ADR SERVICE FEES | 7. | 7. | |
| TOTALS | 22. | 7. | 15. |

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| POSTED CURR YR FOR PRIOR YR | | 239. |
| | TOTAL | 239. |

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| POSTED SUBSEQ YR FOR CURR YR | | 6. |
| BASIS ADJUSTMENT | | 62. |
| ROUNDING ADJUSTMENT | | 1. |
| | TOTAL | 69. |

| STATE(S) WHERE THE FOUNDATION IS REGISTERED |
| --- |
| PA |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
PAUL KOSSMAN
ADDRESS:
11 PARKWAY CENTER, SUITE 300
PITTSBURGH, PA 15220
TITLE:
PRESIDENT
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3

OFFICER NAME:
AGNES KOSSMAN
ADDRESS:
11 PARKWAY CENTER, SUITE 300
PITTSBURGH, PA 15220
TITLE:
SECRETARY

OFFICER NAME:
CURTIS KOSSMAN
ADDRESS:
11 PARKWAY CENTER, SUITE 300
PITTSBURGH, PA 15220
TITLE:
TREASURER
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3

OFFICER NAME:
MARC KOSSMAN
ADDRESS:
11 PARKWAY CENTER, SUITE 300
PITTSBURGH, PA 15220
TITLE:
VICE PRES.
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
CARL CITRON - CITRON, ALEXIS & ZOIN
ADDRESS:
1700 ALLEGHENY BLDG, 429 FORBES AVE
PITTSBURGH, PA 15219
TITLE:
DIRECTOR
AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 3

RECIPIENT NAME:
PAUL KOSSMAN
ADDRESS:
11 PARKWAY CENTER, STE 300
PITTSBURGH, PA 15220
RECIPIENT'S PHONE NUMBER: 412-921-6100
FORM, INFORMATION AND MATERIALS:
WRITTEN REQUEST SHOULD INCLUDE THE PURPOSE FOR WHICH THE GRANT WILL BE USED AND A COPY OF THE ORGANIZATION'S IRS EXEMPTION LETTER
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
NONE

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

| | |
|---|---|
| RECIPIENT NAME:<br>SEE ATTACHED SCHEDULE<br>AMOUNT OF GRANT PAID | 74,100. |
| TOTAL GRANTS PAID: | 74,100. |

CURTIS I & PAUL KOSSMAN CHARITABLE FOUNDATION 30-0106868

TAX YEAR ENDING 12/31/2009

PART XV - GRANTS AND CONTRIBUTIONS PAID

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| UNIVERSITY OF PITTSBURGH<br>4200 FIFTH AVENUE<br>PITTSBURGH PA 15203 | N/A<br>PUBLIC | JANE/CARL CITRON<br>CHAIR - COLON CANCER | $5,000 00 |
| NATIONAL MULTIPLE SCLEROSIS SOCIETY<br>733 THIRD AVENUE, 3RD FLOOR<br>NEW YORK NY10017 | N/A<br>PUBLIC | GNERAL SUPPORT | $100.00 |
| DOCTORS WITHOUT BORDERS<br>333 7TH AVE , 2ND FL<br>NEW YORK NY 10001-5004 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |
| UNITED JEWISH FEDERATION<br>234 MCKEE PLACE<br>PITTSBURGH PA 15213 | N/A<br>PUBLIC | GENERAL SUPPORT | $40,000.00 |
| PLANNED PARENTHOOD<br>209 NINTH ST , STE 4003<br>PITTSBURGH PA 15222 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |
| SIMON WIESENTHAL CENTER<br>1399 ROXBURY DR<br>LOS ANGELES CA 90035 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |

CURTIS I. & PAUL KOSSMAN CHARITABLE FOUNDATION 30-0106868

TAX YEAR ENDING 12/31/2009

PART XV - GRANTS AND CONTRIBUTIONS PAID

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| RAINBOW KITCHEN<br>135 E 9TH AVE<br>HOMESTEAD PA 15120 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000 00 |
| HABITAT FOR HUMANITY<br>1 LIBRARY PL 107<br>DUQUESNE PA 15110 | N/A<br>PUBLIC | GENERAL SUPPORT | $5,000.00 |
| BRADY CENTER<br>1225 L STREET NORTHWEST #1100<br>WASHINGTON DC 20005 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |
| SALVATION ARMY<br>44 SOUTH 9TH ST<br>PITTSBURGH PA 15203 | N/A<br>PUBLIC | PROJECT BUNDLE-UP | $500.00 |
| LIFE'S WORK<br>1323 FORBES AVE<br>PITTSBURGH PA 15219 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |
| THE CHILDREN'S INSTITUTE<br>1405 SHADY AVE<br>PITTSBURGH PA 15217 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000.00 |

CURTIS I & PAUL KOSSMAN CHARITABLE FOUNDATION 30-0106868

TAX YEAR ENDING 12/31/2009

PART XV - GRANTS AND CONTRIBUTIONS PAID

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| GREATER PITTSBURGH FOOD BANK<br>1 NORTH LINDEN ST<br>DUQUESNE PA 15110 | N/A<br>PUBLIC | GENERAL SUPPORT | $15,000.00 |
| ANIMAL FRIENDS<br>C/O 620 LIBERTY AVENUE<br>PITTSBURGH PA 15222 | N/A<br>PUBLIC | GENERAL SUPPORT | $250.00 |
| WOUNDED WARRIOR PROJECT<br>LIBERTY AVENUE<br>TOPEKA KS 66603 | N/A<br>PUBLIC | GENERAL SUPPORT | $250.00 |
| MASONIC TEMPLE AFTER SCHOOL<br>455 E BRUSETON RD<br>PITTSBURGH PA 15236 | N/A<br>PUBLIC | GENERAL SUPPORT | $1,000 00 |
| TOTAL GRANTS AND CONTRIBUTIONS PAID | | | $74,100 00 |

PRIVATE CLIENT GROUP

NATIONAL CITY BANK
2 WEST MAIN STREET SUITE 100
UNIONTOWN PA 15401
NationalCity com/PrivateClientGroup

# ACCOUNT STATEMENT

KOSSMAN CHARTBLE FOUNDATION AGY

Statement Period 12/01/2009 through 12/31/2009
Account Number 46 P1-5078-00-2

## STATEMENT OF INVESTMENT POSITION

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| **EQUITIES** | | | | | | | | | |
| **DOMESTIC COMMON EQUITY** | | | | | | | | | |
| **ENERGY** | | | | | | | | | |
| CONOCOPHILLIPS COM | | | | COP | | | | | |
| 01/03 - 05/09 | 600 000 | $16,134 31 | $30,642 00 | $51 07 | $14,507 69 | 2 32% | 1 67% | $1,200 | 3 92% |
| EXXON MOBIL CORP COM | | | | XOM | | | | | |
| 07/09 - 07/09 | 200 000 | $13,503.46 | $13,638 00 | $68 19 | $134 54 | 1 03% | 0 74% | $336 | 2 46% |
| MARATHON OIL CORP COM | | | | MRO | | | | | |
| 12/05 - 05/09 | 600 000 | $18,303 21 | $18,732 00 | $31 22 | $428 79 | 1 42% | 1 02% | $576 | 3 07% |
| OCCIDENTAL PETE CORP COM | | | | OXY | | | | | |
| 10/08 - 05/09 | 400 000 | $23,585 40 | $32,540 00 | $81.35 | $8,954 60 | 2 46% | 1 77% | $528 | 1 62% |
| PEABODY ENERGY CORP COM | | | | BTU | | | | | |
| 05/07 - 07/08 | 300 000 | $16,385.34 | $13,563 00 | $45 21 | -$2,822 34 | 1 03% | 0 74% | $84 | 0.62% |
| **TOTAL ENERGY** | | **$87,911.72** | **$109,115.00** | | **$21,203.28** | **8.25%** | **5.93%** | **$2,724** | **2.50%** |
| **MATERIALS** | | | | | | | | | |
| PRAXAIR INC COM | | | | PX | | | | | |
| 05/06 - 05/06 | 270 000 | $15,228 00 | $21,683 70 | $80 31 | $6,455 70 | 1 64% | 1 18% | $432 | 1 99% |
| **INDUSTRIALS** | | | | | | | | | |
| HONEYWELL INTL INC COM | | | | HON | | | | | |
| 10/09 - 10/09 | 175 000 | $6,581 82 | $6,860.00 | $39 20 | $278 18 | 0 52% | 0 37% | $212 | 3 09% |
| LOCKHEED MARTIN CORP COM | | | | LMT | | | | | |
| 06/09 - 06/09 | 200 000 | $16,808 00 | $15,070 00 | $75 35 | -$1,738 00 | 1 14% | 0 82% | $504 | 3.34% |

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| JACOBS ENGR GROUP INC COM | | | | JEC | | | | | |
| 10/08 - 10/08 | | | | | | | | | |
| | 300 000 | $13,881 00 | $11,283 00 | $37 61 | -$2,598 00 | 0 85% | 0 61% | | |
| KBR INC COM | | | | KBR | | | | | |
| 07/08 - 07/08 | | | | | | | | | |
| | 300 000 | $9,243 00 | $5,700 00 | $19 00 | -$3,543 00 | 0 43% | 0 31% | $60 | 1 05% |
| DEERE & CO COM | | | | DE | | | | | |
| 05/06 - 05/06 | | | | | | | | | |
| | 400 000 | $17,732 03 | $21,636 00 | $54 09 | $3,903 97 | 1 64% | 1 18% | $448 | 2 07% |
| JOY GLOBAL INC COM | | | | JOYG | | | | | |
| 05/07 - 10/08 | | | | | | | | | |
| | 500 000 | $26,804 01 | $25,785 00 | $51 57 | -$1,019 01 | 1 95% | 1 40% | $350 | 1 36% |
| **TOTAL INDUSTRIALS** | | **$91,049.86** | **$86,334.00** | | **-$4,715.86** | **6.52%** | **4.69%** | **$1,574** | **1.82%** |
| **CONSUMER DISCRETIONARY** | | | | | | | | | |
| HONDA MOTOR ADR NEW SEDOL #6435145 | | | | HMC | | | | | |
| 03/06 - 07/06 | | | | | | | | | |
| | 250 000 | $7,780 70 | $8,475 00 | $33 90 | $694 30 | 0 64% | 0 46% | $85 | 1 00% |
| NIKE INC CL B | | | | NKE | | | | | |
| 05/09 - 07/09 | | | | | | | | | |
| | 300 000 | $15,947 45 | $19,821 00 | $66 07 | $3,873 55 | 1 50% | 1 08% | $324 | 1 63% |
| MCDONALDS CORP COM | | | | MCD | | | | | |
| 05/09 - 05/09 | | | | | | | | | |
| | 200 000 | $10,969.26 | $12,488 00 | $62 44 | $1,518 74 | 0 94% | 0 68% | $440 | 3 52% |
| OMNICOM GROUP INC COM | | | | OMC | | | | | |
| 07/08 - 07/08 | | | | | | | | | |
| | 400 000 | $16,556 00 | $15,660 00 | $39 15 | -$896 00 | 1 18% | 0 85% | $240 | 1 53% |
| TARGET CORP COM | | | | TGT | | | | | |
| 05/07 - 08/07 | | | | | | | | | |
| | 300 000 | $18,571 50 | $14,511 00 | $48 37 | -$4,060 50 | 1 10% | 0 79% | $204 | 1 41% |
| **TOTAL CONSUMER DISCRETIONARY** | | **$69,824.91** | **$70,955.00** | | **$1,130.09** | **5.36%** | **3.86%** | **$1,293** | **1.82%** |

# STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| **CONSUMER STAPLES** | | | | | | | | | |
| CVS/CAREMARK CORP | | | | CVS | | | | | |
| 03/02 - 02/03 | | | | | | | | | |
| | 751 000 | $8,104 66 | $24,189 71 | $32 21 | $16,085 05 | 1 83% | 1 31% | $229 | 0 95% |
| PEPSICO INC COM | | | | PEP | | | | | |
| 05/01 - 05/09 | | | | | | | | | |
| | 700 000 | $33,698 98 | $42,560 00 | $60 80 | $8,861 02 | 3 22% | 2 31% | $1,260 | 2 96% |
| COLGATE-PALMOLIVE CO COM | | | | CL | | | | | |
| 07/06 - 05/09 | | | | | | | | | |
| | 300 000 | $18,908 38 | $24,645 00 | $82 15 | $5,736 62 | 1 86% | 1 34% | $528 | 2 14% |
| PROCTER & GAMBLE CO COM | | | | PG | | | | | |
| 12/05 - 05/09 | | | | | | | | | |
| | 600 000 | $35,906 58 | $36,378 00 | $60 63 | $471 42 | 2.75% | 1 98% | $1,056 | 2 90% |
| **TOTAL CONSUMER STAPLES** | | **$96,618.60** | **$127,772.71** | | **$31,154.11** | **9.66%** | **6.94%** | **$3,073** | **2.41%** |
| **HEALTH CARE** | | | | | | | | | |
| BAXTER INTL INC COM | | | | BAX | | | | | |
| 02/09 - 07/09 | | | | | | | | | |
| | 589 000 | $32,049 40 | $34,562 52 | $58 68 | $2,513 12 | 2 61% | 1 88% | $683 | 1 98% |
| ABBOTT LABS COM | | | | ABT | | | | | |
| 02/09 - 05/09 | | | | | | | | | |
| | 400 000 | $19,239 70 | $21,596 00 | $53 99 | $2,356 30 | 1 63% | 1.17% | $640 | 2 96% |
| JOHNSON & JOHNSON COM | | | | JNJ | | | | | |
| 06/09 - 07/09 | | | | | | | | | |
| | 450 000 | $26,480 28 | $28,984 50 | $64 41 | $2,504 22 | 2 19% | 1.58% | $882 | 3 04% |
| NOVARTIS AG SPONSORED ADR SEDOL 2620105 US | | | | NVS | | | | | |
| 02/05 - 05/09 | | | | | | | | | |
| | 600 000 | $27,705 39 | $32,658 00 | $54 43 | $4,952 61 | 2 47% | 1 77% | $872 | 2 67% |
| **TOTAL HEALTH CARE** | | **$105,474.77** | **$117,801.02** | | **$12,326.25** | **8.90%** | **6.40%** | **$3,077** | **2.61%** |

# ACCOUNT STATEMENT

KOSSMAN CHARTBLE FOUNDATION AGY

**Statement Period** 12/01/2009 through 12/31/2009
**Account Number** 46 P1-5078-00-2

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| **FINANCIALS** | | | | | | | | | |
| JPMORGAN CHASE & CO COM | | | | JPM | | | | | |
| 05/09 - 07/09 | 400 000 | $14,567 40 | $16,668 00 | $41 67 | $2,100 60 | 1 26% | 0 91% | $80 | 0 48% |
| INVESCO LTD | | | | IVZ | | | | | |
| 10/08 - 10/08 | 400 000 | $7,052 00 | $9,396 00 | $23 49 | $2,344 00 | 0 71% | 0 51% | $164 | 1 74% |
| STATE STR CORP COM | | | | STT | | | | | |
| 11/07 - 07/08 | 500 000 | $36,626 57 | $21,770 00 | $43 54 | -$14,856 57 | 1 65% | 1 18% | $20 | 0 09% |
| ACE LIMITED | | | | ACE | | | | | |
| 05/03 - 05/03 | 300 000 | $10,212 00 | $15,120 00 | $50 40 | $4,908 00 | 1 14% | 0 82% | $372 | 2 46% |
| CHUBB CORP COM | | | | CB | | | | | |
| 07/09 - 07/09 | 200 000 | $8,285.46 | $9,836 00 | $49 18 | $1,550 54 | 0 74% | 0 53% | $280 | 2 85% |
| **TOTAL FINANCIALS** | | **$76,743.43** | **$72,790.00** | | **-$3,953.43** | **5.50%** | **3.96%** | **$916** | **1.26%** |
| **INFORMATION TECHNOLOGY** | | | | | | | | | |
| MICROSOFT CORP COM | | | | MSFT | | | | | |
| 05/01 - 07/01 | 640 000 | $21,938 60 | $19,507 20 | $30 48 | -$2,431 40 | 1 47% | 1 06% | $333 | 1.71% |
| ORACLE CORP COM | | | | ORCL | | | | | |
| 01/03 - 02/03 | 800 000 | $9,501 41 | $19,624 00 | $24 53 | $10,122 59 | 1 48% | 1.07% | $160 | 0 81% |
| CISCO SYS INC COM | | | | CSCO | | | | | |
| 05/07 - 12/07 | 1,200 000 | $34,837 10 | $28,728 00 | $23 94 | -$6,109.10 | 2 17% | 1 56% | | |
| CORNING INC COM | | | | GLW | | | | | |
| 12/07 - 12/07 | 500 000 | $12,019 05 | $9,655 00 | $19 31 | -$2,364.05 | 0.73% | 0 52% | $100 | 1 04% |

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| NOKIA CORP SPONSORED ADR SEDOL #5902941 | | | | NOK | | | | | |
| 08/04 - 07/08 | 700 000 | $9,758 00 | $8,995 00 | $12 85 | -$763 00 | 0 68% | 0 49% | $275 | 3 06% |
| QUALCOMM INC COM | | | | QCOM | | | | | |
| 02/03 - 02/03 | 600 000 | $10,420 84 | $27,756 00 | $46 26 | $17,335 16 | 2 10% | 1 51% | $408 | 1 47% |
| INTERNATIONAL BUSINESS MACHS CORP COM | | | | IBM | | | | | |
| 07/09 - 07/09 | 200.000 | $21,296 00 | $26,180 00 | $130 90 | $4,884 00 | 1 98% | 1 42% | $440 | 1 68% |
| RESEARCH IN MOTION LTD COM | | | | RIMM | | | | | |
| 07/08 - 07/08 | 200 000 | $23,489 68 | $13,508 00 | $67 54 | -$9,981 68 | 1 02% | 0.73% | | |
| INTEL CORP COM | | | | INTC | | | | | |
| 06/09 - 06/09 | 400 000 | $6,428 00 | $8,160 00 | $20 40 | $1,732 00 | 0 62% | 0 44% | $224 | 2 74% |
| TEXAS INSTRS INC COM | | | | TXN | | | | | |
| 05/07 - 10/07 | 742 000 | $26,701 41 | $19,336 52 | $26 06 | -$7,364 89 | 1.46% | 1.05% | $356 | 1 84% |
| **TOTAL INFORMATION TECHNOLOGY** | | **$176,390.09** | **$181,449.72** | | **$5,059.63** | **13.71%** | **9.86%** | **$2,296** | **1.27%** |
| **TELECOMMUNICATION SERVICES** | | | | | | | | | |
| ISHARES TR DJ US TELECOMM | | | | IYZ | | | | | |
| 12/07 - 12/07 | 896 000 | $26,551 44 | $17,937 92 | $20 02 | -$8,613 52 | 1 36% | 0 97% | $673 | 3 75% |
| **UTILITIES** | | | | | | | | | |
| EXELON CORP COM | | | | EXC | | | | | |
| 07/08 - 07/08 | 300 000 | $25,275 00 | $14,661 00 | $48 87 | -$10,614 00 | 1 11% | 0 80% | $630 | 4 30% |
| FPL GROUP INC COM | | | | FPL | | | | | |
| 12/07 - 12/07 | 300 000 | $20,688 00 | $15,846.00 | $52 82 | -$4,842 00 | 1 20% | 0 86% | $567 | 3 58% |

PRIVATE CLIENT GROUP

NATIONAL CITY BANK
2 WEST MAIN STREET SUITE 100
UNIONTOWN PA 15401
NationalCity com/PrivateClientGroup

# ACCOUNT STATEMENT

KOSSMAN CHARTBLE FOUNDATION AGY

Statement Period 12/01/2009 through 12/31/2009
Account Number 46 P1-5078-00-2

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker / Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| DOMINION RES INC VA NEW COM | | | | D | | | | | |
| 05/07 - 05/07 | | | | | | | | | |
| | 400 000 | $18,032 38 | $15,568 00 | $38 92 | -$2,464 38 | 1 18% | 0.85% | $700 | 4 50% |
| **TOTAL UTILITIES** | | **$63,995.38** | **$46,075.00** | | **-$17,920.38** | **3.48%** | **2.50%** | **$1,897** | **4.12%** |
| **TOTAL DOMESTIC COMMON EQUITY** | | **$809,788.20** | **$851,914.07** | | **$42,125.87** | **64.39%** | **46.29%** | **$17,955** | **2.11%** |
| **DOMESTIC MUTUAL FUNDS** | | | | | | | | | |
| **LARGE CAP** | | | | | | | | | |
| **LC - CORE** | | | | | | | | | |
| ISHARES S&P NORTH AMERICAN NATURAL RESOURCES SECTOR INDEX FD | | | | IGE | | | | | |
| 10/09 - 10/09 | | | | | | | | | |
| | 369 000 | $13,096 36 | $12,660 39 | $34 31 | -$435 97 | 0 96% | 0 69% | $138 | 1 09% |
| ISHARES TR RUSSELL MIDCAP | | | | IWR | | | | | |
| 06/09 - 07/09 | | | | | | | | | |
| | 800 000 | $52,885 22 | $66,008 00 | $82 51 | $13,122 78 | 4 99% | 3 59% | $1,304 | 1 98% |
| **TOTAL LC - CORE** | | **$65,981.58** | **$78,668.39** | | **$12,686.81** | **5.95%** | **4.27%** | **$1,442** | **1.83%** |
| **TOTAL LARGE CAP** | | **$65,981.58** | **$78,668.39** | | **$12,686.81** | **5.95%** | **4.27%** | **$1,442** | **1.83%** |
| **SMALL CAP** | | | | | | | | | |
| **SC - VALUE** | | | | | | | | | |
| ASTON TAMRO SM CAP I | | | | ATSIX | | | | | |
| 01/07 - 01/07 | | | | | | | | | |
| | 4,756 076 | $95,930 06 | $79,236 23 | $16 66 | -$16,693 83 | 5 99% | 4 31% | $67 | 0 08% |
| ROYCE TOTAL RETURN FD #267 | | | | RYTRX | | | | | |
| 12/07 - 12/07 | | | | | | | | | |
| | 2,286 585 | $30,000 00 | $24,717 98 | $10 81 | -$5,282 02 | 1 87% | 1 34% | $311 | 1 26% |
| **TOTAL SC - VALUE** | | **$125,930.06** | **$103,954.21** | | **-$21,975.85** | **7.86%** | **5.65%** | **$378** | **0.36%** |
| **TOTAL SMALL CAP** | | **$125,930.06** | **$103,954.21** | | **-$21,975.85** | **7.86%** | **5.65%** | **$378** | **0.36%** |
| **TOTAL DOMESTIC MUTUAL FUNDS** | | **$191,911.64** | **$182,622.60** | | **-$9,289.04** | **13.80%** | **9.92%** | **$1,820** | **1.00%** |

KOSSMAN CHARTBLE FOUNDATION AGY

Statement Period 12/01/2009 through 12/31/2009
Account Number 46 P1-5078-00-2

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| **INTERNATIONAL MARKETS** | | | | | | | | | |
| **INTERNATIONAL DEVELOPED MUTUAL FUNDS** | | | | | | | | | |
| **IM - CORE PRPTY** | | | | | | | | | |
| ALLEGIANT INTERNATIONAL EQUITY FD CLASS I #409 | | | | AIEIX | | | | | |
| 01/03 - 01/05 | 18,068 888 | $139,701 78 | $243,749 30 | $13 49 | $104,047 52 | 18 39% | 13 23% | $253 | 0 10% |
| **INTERNATIONAL EMERGING MARKET MUTUAL FUNDS** | | | | | | | | | |
| **EM - CORE** | | | | | | | | | |
| T ROWE PRICE INTERNATIONAL FDS #111 INCORPORATED EMERGING MARKETS STOCK | | | | PRMSX | | | | | |
| 12/07 - 02/08 | 1,490 921 | $62,022 25 | $44,861 81 | $30 09 | -$17,160.44 | 3 39% | 2 44% | $224 | 0 50% |
| **TOTAL INTERNATIONAL MARKETS** | | **$201,724.03** | **$288,611.11** | | **$86,887.08** | **21.81%** | **15.68%** | **$477** | **0.17%** |
| **TOTAL EQUITIES** | | **$1,203,423.87** | **$1,323,147.78** | | **$119,723.91** | **100.00%** | **71.89%** | **$20,252** | **1.53%** |
| **FIXED INCOME** | | | | | | | | | |
| **TAXABLE FIXED INCOME** | | | | | | | | | |
| **TAXABLE FIXED INCOME SECURITIES** | | | | | | | | | |
| **TREASURY** | | | | | | | | | |
| US TREASURY NOTE DTD 05/15/07 4 50% DUE 5/15/10 | | | | | | | | | |
| 05/07 - 05/07 | 100,000 000 | $99,449.22 | $101,566 00 | $101 57 | $2,116 78 | 27 45% | 5 52% | $4,500 | 4 43% |
| **AGENCY** | | | | | | | | | |
| FEDERAL HOME LOAN BANK DTD 10/5/07 4 625% DUE 10/10/12 | | | | | | | | | |
| 12/07 - 12/07 | 50,000 000 | $51,392 60 | $53,875 00 | $107 75 | $2,482 40 | 14 56% | 2 93% | $2,313 | 4 29% |

# STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range / Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|
| **CORPORATE** | | | | | | | | |
| ABBOTT LABORATORIES NT<br>DTD 11/09/07 5 15% DUE 11/30/12<br>12/07 - 12/07 | | | | | | | | |
| 30,000 000 | $30,982 20 | $32,788 50 | $109 29 | $1,806 30 | 8 86% | 1 78% | $1,545 | 4 71% |
| AMERICAN INTL GROUP<br>DTD 10/18/06 5 375% DUE 10/18/11<br>11/06 - 11/06 | | | | | | | | |
| 100,000 000 | $100,517 00 | $99,312 00 | $99.31 | -$1,205 00 | 26.84% | 5.40% | $5,375 | 5 41% |
| BAXTER INTL INC NT<br>DTD 2/26/09 4 00% DUE 3/1/14<br>06/09 - 06/09 | | | | | | | | |
| 50,000 000 | $50,860 50 | $51,562 00 | $103 12 | $701 50 | 13 94% | 2 80% | $2,000 | 3 88% |
| BP CAP MKTS PLC GTD NT<br>DTD 3/10/09 3.125% DUE 3/10/12<br>06/09 - 06/09 | | | | | | | | |
| 30,000 000 | $30,883 50 | $30,901 50 | $103 00 | $18.00 | 8 35% | 1 68% | $938 | 3 03% |
| **TOTAL CORPORATE** | **$213,243.20** | **$214,564.00** | | **$1,320.80** | **57.99%** | **11.66%** | **$9,858** | **4.59%** |
| **TOTAL TAXABLE FIXED INCOME SECURITIES** | **$364,085.02** | **$370,005.00** | | **$5,919.98** | **100.00%** | **20.10%** | **$16,671** | **4.51%** |
| **TOTAL TAXABLE FIXED INCOME** | **$364,085.02** | **$370,005.00** | | **$5,919.98** | **100.00%** | **20.11%** | **$16,671** | **4.51%** |
| **TOTAL FIXED INCOME** | **$364,085.02** | **$370,005.00** | | **$5,919.98** | **100.00%** | **20.11%** | **$16,671** | **4.51%** |
| **ALTERNATIVE INVESTMENTS** | | | | | | | | |
| **ALTERNATIVE INVESTMENTS** | | | | | | | | |
| BARCLAYS COMMODITY NOTE<br>20% BUFFER, 125% PARTICIPATION<br>MATURITY 3/5/13 ISSUED 2/29/08<br>02/08 - 02/08 | | | | | | | | |
| 35,000 000 | $35,000 00 | $29,382 50 | $83 95 | -$5,617 50 | 100 00% | 1 60% | | |
| **CASH AND CASH EQUIVALENTS** | | | | | | | | |
| **CASH EQUIVALENTS - TAXABLE** | | | | | | | | |
| ALLEGIANT MONEY MARKET FUND I SHARES<br>04/09 - 12/09 | | | | | | | | |
| 117,705 210 | $117,705 21 | $117,705 21 | $1 00 | | 100 00% | 6 40% | $118 | 0 10% |

## STATEMENT OF INVESTMENT POSITION CONTINUED

| Acq. Date Range | Quantity | Tax Cost | Market Value | Ticker Price | Unrealized Gain/Loss | % of Asset Category | % of Account | Projected Annual Income | Yield |
|---|---|---|---|---|---|---|---|---|---|
| **CASH** | | | | | | | | | |
| INCOME CASH | | | | | | | | | |
| | | $18,292 15 | $18,292 15 | | | 15 54 % | 0 99% | | |
| PRINCIPAL CASH | | | | | | | | | |
| | | -$18,292 15 | -$18,292 15 | | | -15 54 % | -0 99 % | | |
| **TOTAL CASH** | | | | | | | | | |
| **TOTAL CASH AND CASH EQUIVALENTS** | | **$117,705.21** | **$117,705.21** | | | **100.00%** | **6.40%** | **$118** | **0.10%** |
| **TOTAL PORTFOLIO** | | **$1,720,214.10** | **$1,840,240.49** | | **$120,026.39** | **100.00%** | **100.00%** | **$37,041** | **2.01%** |

## TRANSACTION SUMMARY

| | Cash | Total Asset Cash | Tax Cost Basis Excluding Cash | Market Value Including Cash |
|---|---|---|---|---|
| **BEGINNING BALANCES** | | | **$1,740,699.02** | **$1,833,456.46** |
| UNREALIZED APPRECIATION | | | | $27,268.95 |
| EQUITY DIVIDENDS | $3,372 75 | | | |
| CASH AND CASH EQUILVALENTS INTEREST | $7 10 | | | |
| OTHER INCOME | $488 88 | | | |
| **TOTAL INCOME RECEIVED** | | **$3,868.73** | | **$3,868.73** |
| CASH RECEIPT OTHER THAN INCOME | | $5,723.13 | | $5,723.13 |
| EXPENSES | | -$1,076 78 | | -$1,076.78 |
| PURCHASES | | -$9,166 56 | $9,166.56 | |
| SALES AND REDEMPTIONS | | $29,651 48 | -$29,651 48 | |
| DISTRIBUTIONS | | -$29,000 00 | | -$29,000 00 |
| **ACCOUNT ENDING BALANCES** | | | **$1,720,214.10** | **$1,840,240.49** |

## STATEMENT OF INCOME

| Date | Description | Amount |
|---|---|---|
| | **EQUITIES** | |
| | **DOMESTIC COMMON EQUITIES** | |
| | CONOCOPHILLIPS | |
| | COM | |
| 12/01/09 | CASH RECEIPT OF DIVIDEND EARNED ON | $300 00 |
| | CONOCOPHILLIPS | |
| | $0 50/SHARE ON 600 SHARES DUE 12/1/09 | |