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## Short Form

OMB No 1545-1150

## Return of Organization Exempt From Income Tax

2009

Department of the Treasury  
Internal Revenue ServiceUnder section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code  
(except black lung benefit trust or private foundation)

► Sponsoring organizations of donor advised funds and controlling organizations as defined in section 512(b)(13) must file Form 990. All other organizations with gross receipts less than \$500,000 and total assets less than \$1,250,000 at the end of the year may use this form

► The organization may have to use a copy of this return to satisfy state reporting requirements

Open to Public  
Inspection

A For the 2009 calendar year, or tax year beginning , 2009, and ending

B Check if applicable

- Address change
- Name change
- Initial return
- Termination
- Amended return
- Application pending

Please use IRS label or print or type  
See Specific Instructions.

C THE SOLTI FOUNDATION U.S.  
C/O THOMAS MCNEILL  
2418 IROQUOIS ROAD  
WILMETTE, IL 60091

D Employer identification number

30-0004328

E Telephone number

F Group Exemption  
Number ►

• Section 501(c)(3) organizations and 4947(a)(1) nonexempt charitable trusts must attach a completed Schedule A (Form 990 or 990-EZ).

G Accounting method  Cash  Accrual  
Other (specify) ►I Website: ► [HTTP://WWW.SOLTIFOUNDATION.US/](http://WWW.SOLTIFOUNDATION.US/)J Tax-exempt status (check only one) —  501(c) (3) □ (insert no) 4947(a)(1) or 527

K Check □ if the organization is not a section 509(a)(3) supporting organization and its gross receipts are normally not more than \$25,000. A Form 990-EZ or Form 990 return is not required, but if the organization chooses to file a return, be sure to file a complete return.

L Add lines 5b, 6b, and 7b, to line 9 to determine gross receipts; if \$500,000 or more, file Form 990 instead of Form 990-EZ ► \$ 228,376.

## Part I Revenue, Expenses, and Changes in Net Assets or Fund Balances (See the instructions for Part I.)

1 Contributions, gifts, grants, and similar amounts received	1	57,080.
2 Program service revenue including government fees and contracts	2	63,250.
3 Membership dues and assessments	3	
4 Investment income	4	44,369.
5a Gross amount from sale of assets other than inventory	5a	63,677.
b Less cost or other basis and sales expenses	5b	64,690.
c Gain or (loss) from sale of assets other than inventory (Subtract line 5b from line 5a)	5c	-1,013.
6 Special events and activities (complete applicable parts of Schedule G) If any amount is from gaming, check here ► <input type="checkbox"/>	6a	
a Gross revenue (not including \$ _____ of contributions reported on line 1)	6b	
b Less direct expenses other than fundraising expenses	6c	
c Net income or (loss) from special events and activities (Subtract line 6b from line 6a)	7a	
7a Gross sales of inventory, less returns and allowances	7b	
b Less cost of goods sold	7c	
c Gross profit (loss) from sales of inventory (Subtract line 7b from line 7a)	8	
8 Other revenue (describe □)	9	163,686.
9 Total revenue. Add lines 1, 2, 3, 4, 5c, 6c, 7c, and 8	10	21,000.
10 Grants and similar amounts paid (attach schedule)	11	
11 Benefits paid to or for members	12	19,319.
12 Salaries, other compensation, and employee benefits	13	4,365.
13 Professional fees and other payments to independent contractors	14	
14 Occupancy, rent, utilities, and maintenance	15	
15 Printing, publications, postage, and shipping	16	52,315.
16 Other expenses (describe ► SEE STATEMENT 3)	17	96,999.
17 Total expenses. Add lines 10 through 16	18	66,687.
18 Excess or (deficit) for the year (Subtract line 17 from line 9)	19	325,423.
19 Net assets or fund balances at beginning of year (from line 27, column (A)) (must agree with end-of-year figure reported on prior year's return)	20	
20 Other changes in net assets or fund balances (attach explanation)	21	392,110.
21 Net assets or fund balances at end of year. Combine lines 18 through 20		

## Part II Balance Sheets. If Total assets on line 25, column (B) are \$1,250,000 or more, file Form 990 instead of Form 990-EZ

(See the instructions for Part II )

	(A) Beginning of year	(B) End of year
22 Cash, savings, and investments	323,554.	373,552.
23 Land and buildings	24	23
24 Other assets (describe ► SEE STATEMENT 4)	2,400.	18,600.
25 Total assets	325,954.	392,152.
26 Total liabilities (describe ► SEE STATEMENT 5)	531.	42.
27 Net assets or fund balances (line 27 of column (B) must agree with line 21)	325,423.	392,110.

BAA For Privacy Act and Paperwork Reduction Act Notice, see separate instructions.

Form 990-EZ (2009)



## Part V Other Information (Note the statement requirements in the instrs for Part V.)

SEE STATEMENT 9

	Yes	No
33 Did the organization engage in any activity not previously reported to the IRS? If 'Yes,' attach a detailed description of each activity	33	X
34 Were any changes made to the organizing or governing documents? If 'Yes,' attach a conformed copy of the changes	34	X
35 If the organization had income from business activities, such as those reported on lines 2, 6a, and 7a (among others), but not reported on Form 990-T, attach a statement explaining why the organization did not report the income on Form 990-T.		
a Did the organization have unrelated business gross income of \$1,000 or more or was it subject to section 6033(e) notice, reporting, and proxy tax requirements?	35a	X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	35b	
36 Did the organization undergo a liquidation, dissolution, termination, or significant disposition of net assets during the year? If 'Yes,' complete applicable parts of Schedule N	36	X
37a Enter amount of political expenditures, direct or indirect, as described in the instructions. ► 37a 0.	37b	X
b Did the organization file <b>Form 1120-POL</b> for this year?	38a	X
38a Did the organization borrow from, or make any loans to, any officer, director, trustee, or key employee or were any such loans made in a prior year and still outstanding at the end of the period covered by this return?	38b	N/A
b If 'Yes,' complete Schedule L, Part II and enter the total amount involved	39a	N/A
39 Section 501(c)(7) organizations. Enter	39b	N/A
a Initiation fees and capital contributions included on line 9		
b Gross receipts, included on line 9, for public use of club facilities		
40a Section 501(c)(3) organizations. Enter amount of tax imposed on the organization during the year under section 4911 ► 0. , section 4912 ► 0. ; section 4955 ► 0.	40b	X
b Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in any section 4958 excess benefit transaction during the year or is it aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete Schedule L, Part I		
c Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax imposed on organization managers or disqualified persons during the year under sections 4912, 4955, and 4958 ► 0.		
d Section 501(c)(3) and 501(c)(4) organizations. Enter amount of tax on line 40c reimbursed by the organization ► 0.		
e All organizations. At any time during the tax year, was the organization a party to a prohibited tax shelter transaction? If 'Yes,' complete Form 8886-T	40e	X
41 List the states with which a copy of this return is filed ► <b>NONE</b>		
42a The organization's books are in care of ► <u>GRETCHEN B. ZOOK</u> Telephone no ► <u>312-630-6443</u> Located at ► <u>50 SOUTH LASALLE STREET CHICAGO IL</u> ZIP + 4 ► <u>60603</u>	42b	X
b At any time during the calendar year, did the organization have an interest in or a signature or other authority over a financial account in a foreign country (such as a bank account, securities account, or other financial account)? If 'Yes,' enter the name of the foreign country: ►	42c	X
See the instructions for exceptions and filing requirements for <b>Form TD F 90-22 1, Report of a Foreign Bank and Financial Accounts.</b>		
c At any time during the calendar year, did the organization maintain an office outside of the U.S.? If 'Yes,' enter the name of the foreign country: ►		
43 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-EZ in lieu of <b>Form 1041</b> – Check here and enter the amount of tax-exempt interest received or accrued during the tax year ► 43		N/A
44 Did the organization maintain any donor advised funds? If 'Yes,' Form 990 must be completed instead of Form 990-EZ	44	X
45 Is any related organization a controlled entity of the organization within the meaning of section 512(b)(13)? If 'Yes,' Form 990 must be completed instead of Form 990-EZ	45	X

**Part VI**

**Section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts only.** All section 501(c)(3) organizations and section 4947(a)(1) nonexempt charitable trusts must answer questions 46-49b and complete the tables for lines 50 and 51.

**46** Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If 'Yes,' complete Schedule C, Part I

	Yes	No
46		X
47		X
48		X
49a		X
49b		

**47** Did the organization engage in lobbying activities? If 'Yes,' complete Schedule C, Part II

**48** Is the organization a school as described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E

**49a** Did the organization make any transfers to an exempt non-charitable related organization?

**b** If 'Yes,' was the related organization a section 527 organization?

**50** Complete this table for the organization's five highest compensated employees (other than officers, directors, trustees and key employees) who each received more than \$100,000 of compensation from the organization. If there is none, enter 'None.'

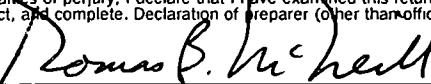
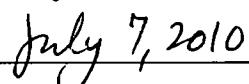
(a) Name and address of each employee paid more than \$100,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account and other allowances
<b>NONE</b>				
-----				
-----				
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-----				
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**f** Total number of other employees paid over \$100,000. ►

**51** Complete this table for the organization's five highest compensated independent contractors who each received more than \$100,000 of compensation from the organization. If there is none, enter 'None.'

(a) Name and address of each independent contractor paid more than \$100,000	(b) Type of service	(c) Compensation
<b>NONE</b>		
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-----		
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-----		
-----		
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**d** Total number of other independent contractors each receiving over \$100,000. ►

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.	
	 Signature of officer	 Date
	► <i>Thomas B. Michell</i> Chair of the Board of Directors	
	► Type or print name and title	

<b>Paid Preparer's Use Only</b>	Preparer's signature ► 	Date 6/30/10	Check if self-employed ► <input type="checkbox"/>	Preparer's Identifying Number (See instructions) P00234480
	Firm's name (or yours if self-employed), address, and ZIP + 4 ► <i>DIGIVINE HNILO JORDAN + JOHNSON, LTD.</i> ► <i>184 SHUMAN BLVD., SUITE 200</i> ► <i>NAPERVILLE, IL 60563</i>	EIN ► 36-3029663	Phone no ► (630) 420-1360	

May the IRS discuss this return with the preparer shown above? See instructions

BAA

►  Yes  No

Form 990-EZ (2009)

**SCHEDULE A**  
**(Form 990 or 990-EZ)**

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

2009

**Open to Public  
Inspection**

Department of the Treasury  
Internal Revenue Service

Name of the organization THE SOLTI FOUNDATION U.S.  
C/O THOMAS MCNEILL

Employer identification number  
30-0004328

**Part I Reason for Public Charity Status** (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box )

1  A church, convention of churches or association of churches described in **section 170(b)(1)(A)(i)**.  
2  A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E)  
3  A hospital or cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.  
4  A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)** Enter the hospital's name, city, and state  
5  An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)  
6  A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.  
7  An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)  
8  A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)  
9  An organization that normally receives: (1) more than 33-1/3 % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions – subject to certain exceptions, and (2) no more than 33-1/3 % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2)**. (Complete Part III.)  
10  An organization organized and operated exclusively to test for public safety See **section 509(a)(4)**.  
11  An organization organized and operated exclusively for the benefit of, to perform the functions of, or carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h  
a  Type I      b  Type II      c  Type III – Functionally integrated      d  Type III – Other  
e  By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**  
f If the organization received a written determination from the IRS that is a Type I, Type II or Type III supporting organization, check this box   
g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

	Yes	No
1g (i)		
1g (ii)		
1g (iii)		

h. Provide the following information about the supported organizations

**Total**

**BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.**

**Schedule A (Form 990 or 990-EZ) 2009**

**Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**

(Complete only if you checked the box on line 5, 7, or 8 of Part I)

**Section A. Public Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions and membership fees received (Do not include 'unusual grants')	500.	30,000.	95,000.	75,030.	57,080.	257,610.
2 Tax revenues levied for the organization's benefit and either paid to it or expended on its behalf.						0.
3 The value of services or facilities furnished to the organization by a governmental unit without charge. Do not include the value of services or facilities generally furnished to the public without charge.						0.
<b>4 Total.</b> Add lines 1-through 3	500.	30,000.	95,000.	75,030.	57,080.	257,610.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0.
<b>6 Public support.</b> Subtract line 5 from line 4						257,610.

**Section B. Total Support**

Calendar year (or fiscal year beginning in) ▶	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
7 Amounts from line 4	500.	30,000.	95,000.	75,030.	57,080.	257,610.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	10,381.	8,552.	2,722.	8,069.	5,063.	34,787.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0.
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						0.
<b>11 Total support.</b> Add lines 7 through 10						292,397.
12 Gross receipts from related activities, etc. (see instructions)					12	0.
<b>13 First five years.</b> If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

**Section C. Computation of Public Support Percentage**

14 Public support percentage for 2009 (line 6, column (f) divided by line 11, column (f))	14	88.1 %
15 Public support percentage from 2008 Schedule A, Part II, line 14	15	87.4 %
<b>16a 33-1/3 support test – 2009.</b> If the organization did not check the box on line 13, and the line 14 is 33-1/3 % or more, check this box and stop here. The organization qualifies as a publicly supported organization		<input checked="" type="checkbox"/>
<b>b 33-1/3 support test – 2008.</b> If the organization did not check a box on line 13, or 16a, and line 15 is 33-1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization..		<input type="checkbox"/>
<b>17a 10%-facts-and-circumstances test – 2009</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization		<input type="checkbox"/>
<b>b 10%-facts-and-circumstances test – 2008.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the 'facts-and-circumstances' test, check this box and stop here. Explain in Part IV how the organization meets the 'facts-and-circumstances' test. The organization qualifies as a publicly supported organization.		<input type="checkbox"/>
<b>18 Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		<input type="checkbox"/>

BAA

Schedule A (Form 990 or 990-EZ) 2009

**Part III Support Schedule for Organizations Described in Section 509(a)(2)**

(Complete only if you checked the box on line 9 of Part I.)

**Section A. Public Support**

Calendar year (or fiscal yr beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
1 Gifts, grants, contributions and membership fees received. (Do not include 'unusual grants.')						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in a activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
<b>6 Total.</b> Add lines 1 through 5						
<b>7a</b> Amounts included on lines 1, 2, 3 received from disqualified persons						
<b>b</b> Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of 1% of the amount on line 13 for the year						
<b>c</b> Add lines 7a and 7b						
<b>8 Public support</b> (Subtract line 7c from line 6)						

**Section B. Total Support**

Calendar year (or fiscal yr beginning in) ►	(a) 2005	(b) 2006	(c) 2007	(d) 2008	(e) 2009	(f) Total
<b>9</b> Amounts from line 6						
<b>10a</b> Gross income from interest, dividends, payments received on securities loans, rents, royalties and income form similar sources						
<b>b</b> Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
<b>c</b> Add lines 10a and 10b						
<b>11</b> Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
<b>12</b> Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
<b>13 Total support.</b> (add lines 9, 10c, 11, and 12)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here. ► **Section C. Computation of Public Support Percentage**

15 Public support percentage for 2009 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2008 Schedule A, Part III, line 15	16	%

**Section D. Computation of Investment Income Percentage**

17 Investment income percentage for 2009 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2008 Schedule A, Part III, line 17.	18	%

19a 33-1/3 support tests – 2009. If the organization did not check the box on line 14, and line 15 is more than 33-1/3%, and line 17 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► b 33-1/3 support tests – 2008. If the organization did not check a box on line 14 or 19a, and line 16 is more than 33-1/3%, and line 18 is not more than 33-1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ► 20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ►

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Provide any other additional information. See instructions.

**STATEMENT 1**  
**FORM 990-EZ, PART I, LINE 5C**  
**NET GAIN (LOSS) FROM NONINVENTORY SALES**

PUBLICLY TRADED SECURITIES

GROSS SALES PRICE: 63,677.  
 COST OR OTHER BASIS: 64,690.

TOTAL GAIN (LOSS) PUBLICLY TRADED SECURITIES \$ -1,013.

TOTAL NET GAIN (LOSS) FROM NONINVENTORY SALES \$ -1,013.

**STATEMENT 2**  
**FORM 990-EZ, PART I, LINE 10**  
**GRANTS AND SIMILAR AMOUNTS PAID**

CLASS OF ACTIVITY: GRANT  
 DONEE'S NAME: ANTHONY BARRESSE  
 DONEE'S ADDRESS: AVAILABLE UPON REQUEST  
 RELATIONSHIP OF DONEE: NONE  
 CASH AMOUNT GIVEN: \$ 5,000.

CLASS OF ACTIVITY: GRANT  
 DONEE'S NAME: JOSEPH YOUNG  
 DONEE'S ADDRESS: AVAILABLE UPON REQUEST  
 RELATIONSHIP OF DONEE: NONE  
 CASH AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY: GRANT  
 DONEE'S NAME: ERIK NIELSEN  
 DONEE'S ADDRESS: AVAILABLE UPON REQUEST  
 RELATIONSHIP OF DONEE: NONE  
 CASH AMOUNT GIVEN: \$ 10,000.

CLASS OF ACTIVITY: GRANT  
 DONEE'S NAME: JAMES FEDDECK  
 DONEE'S ADDRESS: AVAILABLE UPON REQUEST  
 RELATIONSHIP OF DONEE: NONE  
 CASH AMOUNT GIVEN: \$ 2,000.

CLASS OF ACTIVITY: GRANT  
 DONEE'S NAME: KELLY KUO  
 DONEE'S ADDRESS: AVAILABLE UPON REQUEST  
 RELATIONSHIP OF DONEE: NONE  
 CASH AMOUNT GIVEN: \$ 2,000.

**STATEMENT 3**  
**FORM 990-EZ, PART I, LINE 16**  
**OTHER EXPENSES**

MISCELLANEOUS	\$ 112.
NEWS LETTER	1,018.
OCT 21, 2009 EVENT EXPENSES	36,739.
OFFICE EXPENSES	3,600.
PAYROLL PROCESSING	745.

THE SOLTI FOUNDATION U.S.  
C/O THOMAS MCNEIL

30-0004328

STATEMENT 3 (CONTINUED)  
FORM 990-EZ, PART I, LINE 16  
OTHER EXPENSES

PUBLICITY	\$ 7,500.
TELEPHONE	77.
TRAVEL	2,239.
WEBSITE DEVELOPMENT	285.
<b>TOTAL</b>	<b>\$ 52,315.</b>

STATEMENT 4  
FORM 990-EZ, PART II, LINE 24  
OTHER ASSETS

	BEGINNING	ENDING
PLEDGES AND GRANTS RECEIVABLE	\$ 2,400.	\$ 18,600.
<b>TOTAL</b>	<b>\$ 2,400.</b>	<b>\$ 18,600.</b>

STATEMENT 5  
FORM 990-EZ, PART II, LINE 26  
TOTAL LIABILITIES

	BEGINNING	ENDING
PAYROLL FEES	\$ 43.	\$ 42.
PAYROLL TAXES	488.	0.
<b>TOTAL</b>	<b>\$ 531.</b>	<b>\$ 42.</b>

STATEMENT 6  
FORM 990-EZ, PART III  
ORGANIZATION'S PRIMARY EXEMPT PURPOSE

THE SOLTI FOUNDATION U.S. WAS ESTABLISHED AFTER THE 1997 DEATH OF SIR GEORG SOLTI. ITS MISSION IS TO ASSIST TALENTED YOUNG AMERICAN MUSICIANS AT THE START OF THEIR PROFESSIONAL CAREERS. IT HAS MADE ANNUAL GRANTS TO AWARDEES SINCE 2003. SINCE 2004 IT HAS FOCUSED ON HELPING EXCEPTIONAL YOUNG CONDUCTORS.

SIR GEORG'S WIDOW, LADY SOLTI, HAS EXPLAINED IT IN THESE WORDS: "SIR GEORG SOLTI BELIEVED IN A GUARDIAN ANGEL THAT GUIDED HIS LIFE AND HE WAS GRATEFUL TO THE AGENTS OF THAT ANGEL - THE PEOPLE WHO HELPED HIM AT DIFFICULT TIMES. THE SOLTI FOUNDATION BELIEVES THAT MUSIC IS ESSENTIAL - ESPECIALLY DURING THESE TROUBLED TIMES - TO HEALING AND CONNECTING INDIVIDUALS AND GLOBAL LIVES. THEREFORE, WE ARE COMMITTED TO REALIZING SIR GEORG'S PASSION FOR EXCELLENCE IN MUSIC AND EXTENDING HELP TO FURTHER THE EARLY CAREERS OF THOSE WITH EXCEPTIONAL TALENT."

**STATEMENT 7**  
**FORM 990-EZ, PART III, LINE 28**  
**STATEMENT OF PROGRAM SERVICE ACCOMPLISHMENTS**

ON WEDNESDAY, OCTOBER 21, 2009, THE SOLTI FOUNDATION U.S. AGAIN CELEBRATED THE LIFE OF SIR GEORG SOLTI. THE BENEFIT DINNER AND PROGRAM WAS HELD AT THE CASINO <sup>IN</sup> ~~CLUB~~ CHICAGO.

SIR GEORG WAS CONDUCTOR OF THE CHICAGO SYMPHONY ORCHESTRA FROM 1969-1991 AND LAUREATE CONDUCTOR UNTIL 1997. THE MUCH LOVED AND REVERED SOLTI IS REMEMBERED BY HIS MANY FRIENDS AND ASSOCIATES NOT ONLY IN CHICAGO, BUT THROUGHOUT THE WORLD.

THE SOLTI FOUNDATION WAS FORMED TO ASSIST OUTSTANDING YOUNG AMERICAN MUSICIANS AT THE START OF THEIR PROFESSIONAL CAREERS. THE AWARDEES ARE SOLTI'S LEGACY TO THE WORLD OF MUSIC.

THE WINNER OF THE SOLTI FOUNDATION 2009 GRANT WAS ANNOUNCED BY LADY VALERIE SOLTI WHO FLEW IN FOR THE CEREMONY FROM HER HOME IN LONDON. AMERICAN CONDUCTOR ERIK NIELSEN IS THE 2009 WINNER. A FORMER STUDENT AT THE CURTIS INSTITUTE OF MUSIC AND THE JUILLIARD SCHOOL, HE HAS BEEN THE KAPELLMEISTER AT THE OPER FRANKFURT SINCE 2002. IN 2008, NIELSEN REPLACED THE INDISPOSED JAMES LEVINE AT THE TANGLEWOOD MUSIC CENTER WHERE HE CONDUCTED THE RISE AND FALL OF THE CITY OF MAHAGONNY AT MAESTRO LEVINE'S REQUEST. THERE WERE ALSO COMMENTS BY BASS RENE PAPP WHO IS CURRENTLY APPEARING AT LYRIC OPERA OF CHICAGO AND - VIA FILM - BY 2009 SOLTI RECIPIENT, ERIK NIELSEN.

A COCKTAIL RECEPTION PRECEDED THE MUSICAL PROGRAM THAT FEATURED SPECIAL GUEST ARTIST RYAN CENTER TENOR, RENE BARBERA AND THE CHICAGO SYMPHONY ORCHESTRA'S DALE CLEVINGER, BOTH ACCCOMPANIED BY BETTY BUCCHERI. DINNER FOLLOWED IN THE ELEGANT DINING ROOM OF THE CASINO WHERE THE TABLES WERE A MASS OF MULTI- COLORED PINK ROSES. THE MENU INCLUDED PARSNIP BISQUE, A BEEF TENDERLOIN ENTREE AND A WARM CHOCOLATE CAKE DESSERT.

CHAIRING THE BENEFIT WERE PENNY VAN HORN AND NANCY KEMPF OF CHICAGO. THE HONORARY CHAIRMAN IS LADY VALERIE SOLTI AND RENEE FLEMING IS THE HONORARY PRESIDENT. MEMBERS OF THE DINNER COMMITTEE INCLUDED KATHERINE ABELSON AND ROB CORNELL, MARLETTA DARNELL, MITZI FREIDHEIM, DIANA DAVIS MASON AND RACHEL BARTON PINE OF CHICAGO. FROM THE NORTH SHORE WERE KAY MABIE OF NORTHBROOK, CATHY OSBORN AND BILL AND KITTY DEVERS OF WINNETKA AND INGRID AND TOM MCNEILL OF WILMETTE. FROM THE WESTERN SUBURBS WERE AVIS HERSETH OF OAK PARK AND JORGE AND BEATRIZ JORGULESCO OF OAK BROOK. THE EVENT WAS SPONSORED BY BESSEMER TRUST.

**STATEMENT 8**  
**FORM 990-EZ, PART IV**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
LADY VALERIE SOLTI LONDON, UNITED KINGDOM	HONORARY CHAIR \$ 0	0. \$	0. \$	0.
GABRIELLE SOLTI LONDON, ENGLAND, UNITED KINGDOM	DIRECTOR 0	0.	0.	0.

**STATEMENT 8 (CONTINUED)**  
**FORM 990-EZ, PART IV**  
**LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES**

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED</u>	<u>COMPEN- SATION</u>	<u>CONTRI- BUTION TO EBP &amp; DC</u>	<u>EXPENSE ACCOUNT/ OTHER</u>
TESSA F CRAIB-COX CHICAGO, IL 60614	ASST SEC / DIR 0	0. \$	0. \$	0.
THOMAS MCNEILL WILMETTE, IL 60091	CHAIRMAN 0	0.	0.	0.
STEPHEN POTTER CHICAGO, IL	DIRECTOR 0	0.	0.	0.
ELIZABETH BUCCHERI EVANSTON, IL 60093	DIRECTOR 0	0.	0.	0.
WILLIAM R JENTES CHICAGO, IL 60610	DIRECTOR 0	0.	0.	0.
RICHARD GRAY CHICAGO, IL 60611	CHAIR EMERITUS 0	0.	0.	0.
GABRIELLE SOLTI LONDON, UNITED KINGDOM	DIRECTOR 0	0.	0.	0.
DEBORAH RUTTER CHICAGO, IL	DIRECTOR 0	0.	0.	0.
DIETRICH M GROSS SCHILLER PARK, IL	DIRECTOR 0	0.	0.	0.
SEL KARDAN EVANSTON, IL	DIRECTOR 0	0.	0.	0.
KAY MABIE NORTHFIELD, IL	VICE CHAIR/DIR 0	0.	0.	0.
DAVID MCNEEL CHICAGO, IL	DIRECTOR 0	0.	0.	0.

2009

## FEDERAL STATEMENTS

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THE SOLTI FOUNDATION U.S.  
C/O THOMAS MCNEIL

30-0004328

STATEMENT 8 (CONTINUED)  
FORM 990-EZ, PART IV  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
OWEN YOUNGMAN CHICAGO, IL	SECRETARY/DIR 0	0. \$	0. \$	0.
GRETCHEN ZOOK CHICAGO, IL	TREASURER/DIR 0	0.	0.	0.
PENNY VAN HORN CHICAGO, IL	DIRECTOR 0	0	0	0
	TOTAL \$	0.	0. \$	0.

STATEMENT 9  
FORM 990-EZ, PART V  
REGARDING TRANSFERS ASSOCIATED WITH PERSONAL BENEFIT CONTRACTS

(A) DID THE ORGANIZATION, DURING THE YEAR, RECEIVE ANY FUNDS, DIRECTLY OR  
INDIRECTLY, TO PAY PREMIUMS ON A PERSONAL BENEFIT CONTRACT? NO  
(B) DID THE ORGANIZATION, DURING THE YEAR, PAY PREMIUMS, DIRECTLY OR  
INDIRECTLY, ON A PERSONAL BENEFIT CONTRACT? NO

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

*All other corporations (including 1120-C filers), partnerships, REMICS, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

<b>Type or print</b>	Name of Exempt Organization  <b>THE SOLTI FOUNDATION U.S.</b> <b>C/O THOMAS MCNEILL</b>	<b>Employer identification number</b>  <b>30-0004328</b>
	File by the due date for filing your return. See instructions  <b>2418 IROQUOIS ROAD</b>	Number, street, and room or suite number. If a P O box, see instructions  <b>WILMETTE, IL 60091</b>
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	

**Check type of return to be filed (file a separate application for each return)**

<input type="checkbox"/> Form 990	<input type="checkbox"/> Form 990-T (corporation)
<input type="checkbox"/> Form 990-BL	<input type="checkbox"/> Form 990-T (section 401(a) or 408(a) trust)
<input checked="" type="checkbox"/> Form 990-EZ	<input type="checkbox"/> Form 990-T (trust other than above)
<input type="checkbox"/> Form 990-PF	<input type="checkbox"/> Form 1041-A

- Form 4720
- Form 5227
- Form 6069
- Form 8870

- The books are in the care of ► **GRETCHEN B. ZOOK**

Telephone No ► 312-630-6443

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)        If this is for the whole group check this box  If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 10, to file the exempt organization return for the organization named above. The extension is for the organization's return for.

If this tax year is for less than 12 months, check reason  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$ 0.
<b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$ 0.
<b>c</b> <b>Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$ 0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

Form 8868 (Rev. 4-2009)