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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year **2009**, or tax year beginning , 2009, and ending , 20

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation THE MONTGOMERY FAMILY FOUNDATION		A Employer identification number 26-6620815
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see page 10 of the instructions) (716) 627-4686
5784 OLD LAKE SHORE ROAD		
City or town, state, and ZIP code LAKE VIEW, NY 14085		
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 743,138.		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____
(Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)		207,013.			
	2	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.					
	3	Interest on savings and temporary cash investments		1.	1.		ATCH 1
	4	Dividends and interest from securities		13,488.	13,488.		ATCH 2
	5a	Gross rents					
	b	Net rental income or (loss)					
	6a	Net gain or (loss) from sale of assets not on line 10		11,415.			
	b	Gross sales price for all assets on line 6a	74,306.				
	7	Capital gain net income (from Part IV, line 2)			11,415.		
	8	Net short-term capital gain					
	9	Income modifications					
	10a	Gross sales less returns and allowances					
Operating and Administrative Expenses	b	Less Cost of goods sold					
	c	Gross profit or (loss) (attach schedule)					
	11	Other income (attach schedule)		-65,591.			ATCH 3
	12	Total. Add lines 1 through 11		166,326.	24,904.		
	13	Compensation of officers, directors, trustees, etc.		2,079.	1,040.		1,039.
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits					
	16a	Legal fees (attach schedule) ATCH 4		12,148.	6,074.	0.	6,074.
	b	Accounting fees (attach schedule) [5]		6,483.	3,242.	0.	3,241.
	c	Other professional fees (attach schedule) [6]		3,696.	3,696.		
	17	Interest					
	18	Taxes (attach schedule) (see page 14 of the instructions) **		822.	792.		
	19	Depreciation (attach schedule) and depletion					
	20	Occupancy					
	21	Travel, conferences, and meetings					
	22	Printing and publications					
	23	Other expenses (attach schedule)					
	24	Total operating and administrative expenses. Add lines 13 through 23		25,228.	14,844.	0.	10,354.
Total	25	Contributions, gifts, grants paid		25,000.			25,000.
	26	Total expenses and disbursements. Add lines 24 and 25		50,228.	14,844.	0.	35,354.
	27	Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements				116,098.			
b Net investment income (if negative, enter -0-)					10,060.		
c Adjusted net income (if negative, enter -0-)						-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 7

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	207,325.	12,606.	12,606.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) <u>ATCH 8</u>	259,957.	570,288.	730,046.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶ <u>ATCH 9</u>)	0.	486.	486.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	467,282.	583,380.	743,138.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	467,282.	467,282.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds		116,098.		
30 Total net assets or fund balances (see page 17 of the instructions)	467,282.	583,380.		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	467,282.	583,380.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	467,282.
2 Enter amount from Part I, line 27a	2	116,098.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	583,380.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	583,380.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	11,415.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	32,980.	81,990.	0.402244
2007	0.	0.	0.000000
2006	0.	0.	0.000000
2005	0.	0.	0.000000
2004	0.	0.	0.000000
2 Total of line 1, column (d)			2 0.402244
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.402244
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5			4 548,385.
5 Multiply line 4 by line 3			5 220,585.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 101.
7 Add lines 5 and 6			7 220,686.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18			8 35,354.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)	1	201.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	201.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	201.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a	25.
b	Exempt foreign organizations-tax withheld at source	6b	0.
c	Tax paid with application for extension of time to file (Form 8868)	6c	0.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	25.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	176.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2010 estimated tax Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses ATTACHMENT 10	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14 The books are in care of <input type="checkbox"/> ROBERT MONTGOMERY Telephone no <input type="checkbox"/> 716-627-4686				
Located at <input type="checkbox"/> 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY ZIP + 4 <input type="checkbox"/> 14085				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>	15		
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		2,079.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0.

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	512,191.
b	Average of monthly cash balances	1b	44,545.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	556,736.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	556,736.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	8,351.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	548,385.
6	Minimum investment return. Enter 5% of line 5	6	27,419.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	27,419.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	201.
b	Income tax for 2009 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	201.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	27,218.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	27,218.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	27,218.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	35,354.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	35,354.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	35,354.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				27,218.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008	32,289.			
f Total of lines 3a through e	32,289.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 35,354.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				27,218.
e Remaining amount distributed out of corpus	8,136.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	40,425.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			0.	
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	40,425.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008	32,289.			
e Excess from 2009	8,136.			

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

ATTACHMENT 12

b The form in which applications should be submitted and information and materials they should include

ATTACHMENT 13

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATTACHMENT 14

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year ATTACHMENT 15				
Total.			▶ 3a	25,000.
b Approved for future payment				
Total.			▶ 3b	

Form 990-PF (2009)

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE MONTGOMERY FAMILY FOUNDATION

Employer identification number

26-6620815

Organization type (check one)

Filters of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Privacy Act and Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

JSA

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PAGE 15

Name of organization **THE MONTGOMERY FAMILY FOUNDATION**Employer identification number
26-6620815**Part I Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 138,513.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
2	CAROLYN MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	\$ 68,500.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
RIDGE CLEARING & OUTSOURCING	1.	1.
TOTAL	<u>1.</u>	<u>1.</u>

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
STATE STREET ROMARK LABS LLC	13,465. 23.	13,465. 23.
TOTAL	<u>13,488.</u>	<u>13,488.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
ROMARK LABS BOOK BASIS LOSS	-65,591.
TOTALS	<u>-65,591.</u>

ATTACHMENT 4FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
DUKE, HOLZMAN, PHOTIADIS&GRESENS	12,148.	6,074.		6,074.
TOTALS	<u>12,148.</u>	<u>6,074.</u>	<u>0.</u>	<u>6,074.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ERNST & YOUNG	6,483.	3,242.		3,241.
TOTALS	<u>6,483.</u>	<u>3,242.</u>	<u>0.</u>	<u>3,241.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT MANAGEMENT FEES	3,696.	3,696.
TOTALS	<u>3,696.</u>	<u>3,696.</u>

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FILING FEE	750.	750.
FOREIGN TAX PAID	42.	42.
FEDERAL EXCISE TAX	30.	
TOTALS	822.	792.

FORM 990PF, PART II - CORPORATE STOCKATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE ATTACHED STATEMENT	436,700.	530,046.
ROMARK LABS LC	133,588.	200,000.
TOTALS	<u>570,288.</u>	<u>730,046.</u>



STATE STREET.

THE MONTGOMERY FAMILY FOUNDATION

67081

Account Number: XX13833

Account Holdings

As of December 31, 2009

Page 3 of 13

Shares/ Units	Description	CUSIP	Tax Cost	Current Price	Market Value	Percent of Total	Estimated Annual Income	Current Yield
Cash and Equivalents								
11,268.02	CASH BALANCE		\$50.98		\$50.98	0.01%		
	SSGA INST GOV MONEY MARKET INV CL	CM1GVAXX3	11,268.02	1.000	11,268.02	2.08	0.01	
	Total Cash and Equivalents		\$11,319.00		\$11,319.00	2.09%	\$0.01	
Other Fixed Income								
700,000	15 SHARES BARCLAYS INT CRED BOND FUND	464288646	\$67,476.73	102.710	\$71,997.00	13.26%	\$3,399.90	4.73%
1,050,000	15 SHARES BARCLAYS 1-3 YR CREDIT BD FD	464288646	105,113.83	103.960	109,158.00	20.16	4,067.70	3.73
	Total Other Fixed Income		\$172,590.60		\$181,055.00	33.44%	\$7,467.60	
Equities								
100,000	ABBOTT LABORATORIES	002824100	\$5,251.00	\$53.990	\$5,399.00	1.00%	\$180.00	2.56%
150,000	ALTRIA GROUP INC	02209S103	2,554.80	19.630	2,944.50	0.54	204.00	6.93
75,000	AMGEN INC	031162100	4,909.50	58.570	4,242.75	0.78		
50,000	APPLE INC	037833100	4,317.50	210.732	10,536.60	1.95		
500,000	APPLIED MATERIALS INC	038222105	4,895.00	13.940	6,970.00	1.29	120.00	1.72
200,000	BOEING CO	097023105	8,046.00	54.130	10,826.00	2.00	336.00	3.10
150,000	CARDINAL HEALTH INC	141701101	3,561.73	22.240	4,838.00	0.89	105.00	2.17
175,000	CAREFUSION CORP	141701101	3,317.42	25.010	4,376.75	0.81		
25,000	CATERPILLAR INC	148123101	5,147.50	59.990	7,123.75	1.32	210.00	2.95
100,000	CHEVRON CORP	166764100	6,915.00	76.990	7,699.00	1.42	272.00	3.53
400,000	CISCO SYSTEMS INC	17275R102	8,508.00	23.450	9,576.00	1.77	132.00	2.14
75,000	COLGATE PALMOLIVE CO	194162103	4,938.75	82.150	6,161.25	1.14	150.00	2.42
75,000	CONOCOPHILIPS	208256104	3,833.00	51.070	3,830.25	0.71	140.00	3.62
700,000	CORNING INC	219350105	6,132.00	19.310	13,517.00	2.50	140.00	1.04
125,000	DEERE & CO	224189105	4,670.00	54.090	9,761.25	1.25	210.00	2.07
125,000	EXXON MOBIL CORP	30231G102	9,345.43	68.190	8,523.75	1.57	200.00	2.46
500,000	GENERAL ELECTRIC CO	369604103	8,235.00	15.130	7,565.00	1.40	35.00	0.83
25,000	GOLDMAN SACHS GROUP INC	38141G104	1,882.75	168.840	4,221.00	0.78		
25,000	GOOGLE INC CL A	38259P508	4,470.50	619.980	15,499.50	2.86	160.00	1.59
400,000	HARLEY DAVIDSON INC	412822108	6,304.00	25.200	10,080.00	1.86	280.00	2.74
500,000	INTEL CORP	453140100	7,050.00	20.400	10,200.00	1.81	165.00	1.68
75,000	IBM CORP	459200101	6,051.75	130.900	9,817.50	1.81	67.80	1.24
100,000	ISHARES S&P 500 INDEX FUND	464288646	4,169.00	54.720	5,472.00	1.01	20.00	0.48
100,000	JP MORGAN CHASE & CO	46625H100	2,780.00	41.670	4,167.00	0.77	294.00	3.04
150,000	JOHNSON & JOHNSON	478160104	8,814.00	64.110	9,681.50	1.78	90.00	1.54
250,000	LOWES COS INC	548661107	5,292.50	23.390	5,847.50	1.08	21.00	0.23
35,000	MASTERCARD INC A	576380103	3,945.50	255.980	8,959.30	1.65	158.00	1.71
125,000	MACFEE INC	579064106	5,201.13	40.570	5,071.25	0.94	36.00	0.99
300,000	MICROSOFT CORP	594918104	5,774.50	30.480	19,144.00	1.69	75.00	1.62
100,000	PACCAR INC	693718108	3,116.74	36.270	3,627.00	0.67	80.00	1.30
75,000	PARKER HANNIFIN CO	701024104	2,869.00	33.990	4,041.00	0.75		
400,000	PATTERSON-UTI ENERGY INC	703481101	4,200.00	15.350	6,140.00	1.13		





STATE STREET

THE MONTGOMERY FAMILY FOUNDATION

67081

Account Number: XX13833

Account Holdings

As of December 31, 2009

Page 4 of 13

Shares/ Units	Description	CUSIP	Tax Cost	Current Price	Market Value	Percent of Total	Estimated Annual Income	Current Yield
Equities (Continued)								
100,000	PEPSICO INC	71348108	5,421.00	60.80	5,080.00	1.12	180.00	2.98
300,000	PFIZER INC	717081103	5,121.00	18.190	5,457.00	1.01	216.00	3.96
100,000	PROCTER & GAMBLE CO	742718109	5,988.00	80.830	8,063.00	1.12	176.00	2.90
250,000	SPDR KBW REGIONAL BANKING ETF	78464A698	5,339.06	22.250	5,562.50	1.03	43.00	0.77
175,000	SCHWAB CHARLES CORP	808513105	3,209.17	18.620	3,293.50	0.61	42.00	1.27
150,000	SOUTHERN CO	842567107	5,364.00	33.320	4,998.00	0.92	262.50	5.25
125,000	STATE STREET CORP	857477103	3,787.80	40.540	5,442.50	1.01	150.00	0.09
100,000	UNITED PARCEL SERVICE INC-B	911312106	5,282.47	57.370	5,737.00	1.06	180.00	3.14
200,000	VANGUARD EMERGING MARKETS ETF	922042858	3,790.00	31.000	6,200.00	1.22	109.00	1.33
200,000	VERIZON COMMUNICATIONS INC	92343V104	6,562.00	33.130	6,626.00	1.22	380.00	5.73
150,000	WAL-MART STORES, INC	951142103	2,768.50	53.450	2,872.50	0.49	54.50	2.04
250,000	WELLS FARGO & CO	949746101	6,770.00	26.990	6,747.50	1.25	50.00	0.74
150,000	ZIMMER HOLDINGS INC	99856P102	5,923.50	58.110	8,868.50	1.64	50.00	0.56
Total Equities			\$234,095.31		\$308,583.40	56.99%		\$5,556.80
Foreign Assets								
100,000	ACE LTD	00029F106	5,261.70	50.400	55,040.00	0.90%	\$124.00	2.45%
250,000	BARRICK GOLD CORP	067901108	8,122.97	39.380	9,845.00	1.82	100.00	1.02
77,000	HSBC HOLDINGS PLC ADR	404280408	1,832.46	57.090	4,395.93	0.81	130.50	2.98
100,000	ISHARES MSCI EAFE INDEX FUND	464287465	4,228.00	55.280	5,528.00	1.02	144.10	2.61
175,000	SCHLUMBERGER LTD	808857108	18,604.99	65.690	11,390.75	2.10	147.00	1.29
50,000	TOYOTA MOTOR CORP ADR	892331307	3,964.63	84.160	4,208.00	0.80	54.70	1.30
Total Foreign Assets			\$30,014.25		\$40,407.68	7.48%		\$700.70
Total Account Holdings			\$436,700		\$530,046			

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 9

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DIVIDENDS RECEIVABLE	486.	486.
TOTALS	<u>486.</u>	<u>486.</u>

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					3.	
74,303.		STATE STREET BANK (SEE ATTACHED STATEMENT) 62,891.					VAR 11,412.	VAR
TOTAL GAIN (LOSS)							<u>11,415.</u>	

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 10

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	VARIOUS	138,513.
CAROLYN MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	09/09/2009	68,500.
TOTAL CONTRIBUTION AMOUNTS		207,013.

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

FORM 990FE, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CAROLYN MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	TRUSTEE 2.00	0.	0.	0.
ROBERT MONTGOMERY 5784 OLD LAKE SHORE ROAD LAKE VIEW, NY 14085	TRUSTEE 2.00	0.	0.	0.
LISA HILL 7 ORCHARD DRIVE CHAPPAQUA, NY 10514	TRUSTEE 1.00	693.	0.	0.
KAREN RISLEY 293 WEST MAIN STREET AMSTON, CT 06231	TRUSTEE 1.00	693.	0.	0.
KATHRYN CAMBI, M.D. 2 ROCCO DRIVE EAST LYME, CT 06333	TRUSTEE 1.00	693.	0.	0.
GRAND TOTALS		2,079.	0.	0.

THE MONTGOMERY FAMILY FOUNDATION

26-6620815

ATTACHMENT 12

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

THE MONTGOMERY FAMILY FOUNDATION
5784 OLD LAKE SHORE ROAD
LAKE VIEW, NY 14085
716-627-4686

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

REQUEST SHOULD CONTAIN A DESCRIPTION OF THE ORGANIZATION, ITS
MANAGEMENT, ITS REASON FOR BEING, AND SPECIFICALLY THE INTENDED USE OF
THE GRANT.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

LIMITED TO THE GREATER BUFFALO-NIAGARA AND WESTERN NY AREAS,
EDUCATIONAL, RELIGIOUS, HEALTH RELATED, CULTURAL AND SCIENTIFIC
RESEARCH PROGRAMS, INCLUDING SUCH PROGRAMS WHICH MIGHT BENEFIT
CHILDREN AND THE ELDERLY AND SUCH INSTITUTIONS AS SHALL BE DETERMINED
BY THE TRUSTEES, IN THEIR SOLE DISCRETION AS ENHANCING THE OVERALL
QUALITY OF LIFE IN THE AREAS SERVED.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 15

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ROSSELL PARK ALLIANCE FOUNDATION ELM & CARLTON STREETS BUFFALO, NY 14263	501(C) (3)		TO SUPPORT THE FOUNDATION'S ANNUAL FUND DRIVE	5,000
FRIENDS OF TIFT NATURE PRESERVE 1200 FUHRMANN BLVD. BUFFALO, NY 14203	CAROLYN MONTGOMERY, TRUSTEE, IS A BOARD MEMBER 501(C) (3)		TO SUPPORT THE PRESERVE'S ANNUAL FUND DRIVE	5,000
BUFFALO ZOO 300 PARKSIDE AVENUE BUFFALO, NY 14214	501(C) (3)		TO SUPPORT THE ZOO'S ANNUAL FUND DRIVE	3,000
HAUPTMAN WOODWARD MEDICAL RESEARCH 700 ELLICOTT BUFFALO, NY 14203	501(C) (3)		TO SUPPORT THE ORGANIZATION'S ANNUAL FUND DRIVE.	5,000
ALBRIGHT-KNOX ART GALLERY 1285 ELMWOOD AVENUE BUFFALO, NY 14222	501(C) (3)		TO SUPPORT THE GALLERY'S ANNUAL FUND DRIVE	2,000
KALEIDA HEALTH FOUNDATION 1260 DELAWARE AVENUE BUFFALO, NY 14209	501(C) (3)		TO SUPPORT THE FOUNDATION'S ANNUAL FUND DRIVE	5,000
TOTAL CONTRIBUTIONS PAID				<u>25,000</u>

The Montgomery Family Foundation
Capital Gains and Losses
Calendar Year 2009

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Sale Proceeds</u>	<u>Cost Basis</u>	<u>Gain or (Loss)</u>
200 Ishares Barclays	12/30/08	1/14/09	25,553.59	25,550.69	2.90
400 Bank of America	12/23/08	1/15/09	3,081.38	5,140.00	(2,058.62)
700 Citigroup, Inc	12/23/08	1/15/09	2,360.95	4,620.00	(2,259.05)
400 E-Bay	12/23/08	3/17/09	4,809.73	5,464.00	(654.27)
Time-Warner fractional shares	4/07/09	4/13/09	37.63	37.55	0.08
HSBC Holdings fractional shares	4/28/09	4/28/09	7.15	-	7.15
100 HSBC Holdings Plc ADRs	12/23/08	5/01/09	3,600.70	4,575.00	(974.30)
75 Schlumberger, Ltd.	12/23/08	6/02/09	4,411.74	2,830.45	1,581.29
41 Time-Warner Cable, Inc.	12/23/08	6/05/09	1,320.69	1,146.40	174.29
150 Freeport-McMoran Copper & Gold -- B	12/23/08	6/11/09	9,019.63	3,261.00	5,758.63
166 Time-Warner, Inc.	12/23/08	8/05/09	4,530.70	3,536.05	994.65
25 Apple, Inc.	12/23/08	9/17/09	4,630.63	2,158.75	2,471.88
25 Goldman Sachs Group	12/23/08	9/22/09	4,598.86	1,882.75	2,716.11
50- Freeport McMoran Copper & Gold -- B	12/23/08	11/17/09	4,158.01	1,087.00	3,071.01
35 Hsbc Holdings Plc ADRs	12/23/08	11/18/09	2,181.54	1,601.25	580.29
			74,302.93	62,890.89	11,412.04