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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2009

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning

, 2009, and ending

, 20

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeUse the IRS
label.
Otherwise,
print
or type.
See Specific
Instructions.

Name of foundation

THE DON & JEAN WAGNER CHARITABLE FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

1050 W 54TH STREET

City or town, state, and ZIP code

KANSAS CITY, MO 64112

A Employer identification number

26-6125236

B Telephone number (see page 10 of the instructions)

(816) 994-8600

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐
2. Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

H Check type of organization

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) ▶ \$ 1,562,200.

J Accounting method:

☒

Cash

☐

Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B.				
3 Interest on savings and temporary cash investments	1,955.	1,955.		
4 Dividends and interest from securities	13,949.	13,949.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	-10,756.			
b Gross sales price for all assets on line 6a	2,776,202.			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	540.			ATCH 2
12 Total. Add lines 1 through 11	5,688.	15,904.		
13 Compensation of officers, directors, trustees, etc.	0.			
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	327.	164.		163.
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions) *	1,784.	384.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 4	705.			
24 Total operating and administrative expenses. Add lines 13 through 23	2,816.	548.		163.
25 Contributions, gifts, grants paid	116,095.			116,095.
26 Total expenses and disbursements. Add lines 24 and 25	118,911.	548.		116,258.
27 Subtract line 26 from line 12	-113,223.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		15,356.		
c Adjusted net income (if negative, enter -0-)			-0-	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions.

JSA ** ATCH 3

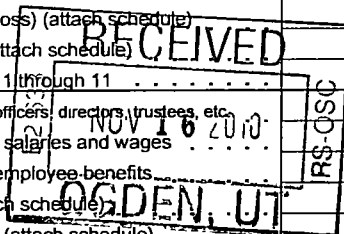
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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	712,492.	1,268,738.	1,268,738.
	2 Savings and temporary cash investments			
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 5	822,008.	149,284.	290,207.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule)				
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe ATCH 6)	0.	3,255.	3,255.	
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	1,534,500.	1,421,277.	1,562,200.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	1,534,500.	1,421,277.	
	30 Total net assets or fund balances (see page 17 of the instructions)	1,534,500.	1,421,277.	
	31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,534,500.	1,421,277.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,534,500.
2 Enter amount from Part I, line 27a	2	-113,223.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	1,421,277.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,421,277.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)		2	-10,756.
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		3	
{ If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 }			

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	30,834.	2,039,778.	0.015116
2007	0.	2,922,968.	0.000000
2006			
2005			
2004			

2 Total of line 1, column (d)	2	0.015116
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.007558
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	1,276,246.
5 Multiply line 4 by line 3	5	9,646.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	154.
7 Add lines 5 and 6	7	9,800.
8 Enter qualifying distributions from Part XII, line 4	8	116,258.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of ruling letter if necessary - see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	154.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	154.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	154.
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	828.	
b Exempt foreign organizations-tax withheld at source	6b	0.	
c Tax paid with application for extension of time to file (Form 8868)	6c	0.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	828.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	674.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax 674. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) MO,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of BRANDI HENDRIX Telephone no 816-305-7872 Located at PO BOX 907 LIBERTY, MO ZIP + 4 64069			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009? ☐	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see page 20 of the instructions) ☐	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) ☐	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009? ☐	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

5b

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐

- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

7b

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 7		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	215,617.
b	Average of monthly cash balances	1b	1,080,064.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	Total (add lines 1a, b, and c)	1d	1,295,681.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,295,681.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	19,435.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,276,246.
6	Minimum investment return. Enter 5% of line 5	6	63,812.

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	63,812.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	154.
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	154.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	63,658.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	63,658.
6	Deduction from distributable amount (see page 25 of the instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	63,658.

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	116,258.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	116,258.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	154.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	116,104.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				63,658.
2 Undistributed income, if any, as of the end of 2009:				
a Enter amount for 2008 only			97,448.	
b Total for prior years: 20 07, 20 06, 20 05				
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				0.
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 116,258.			97,448.	
a Applied to 2008, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				18,810.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions				
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				44,848.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009				0.

Form 990-PF (2009)

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see page 28 of the instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ATTACHMENT 8

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number of the person to whom applications should be addressed:

N/A

- b The form in which applications should be submitted and information and materials they should include:

N/A

- c Any submission deadlines:

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ATTACHMENT 9				
Total.			3a	116,095.
b Approved for future payment				
Total.			3b	

Form 990-PF (2009)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

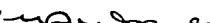
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.			
Sign Here	 Signature of officer or trustee		Date 11-11-10
	Title TRUSTEE		
Paid Preparer's Use Only	Preparer's signature 	Date 11-11-2010	Check if self-employed ☐
	Preparer's identifying number (See Signature on page 30 of the instructions) 000639309		Firm's name (or yours if self-employed), address, and ZIP code CBIZ MHM, LLC 11440 TOMAHAWK CREEK PARKWAY LEAWOOD, KS 66211
		EIN 34-1874260	Phone no 913.234.1000

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
2,412,171.		SALES OF SECURITIES - TD AMERI PROPERTY TYPE: SECURITIES 2,457,309.				P	VAR -45,138.	VAR
364,031.		SALES OF SECURITIES - TD AMERI PROPERTY TYPE: SECURITIES 329,649.				P	VAR 34,382.	VAR
TOTAL GAIN (LOSS)							<u>-10,756.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	13,949.	13,949.
TOTAL	<u>13,949.</u>	<u>13,949.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	REVENUE AND EXPENSES <u>PER BOOKS</u>
NONTAXABLE DISTRIBUTION	540.
TOTALS	<u>540.</u>

ATTACHMENT 3FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
TAXES AND LICENSES	1,400.	
FOREIGN TAX PAID	384.	384.
TOTALS	<u>1,784.</u>	<u>384.</u>

ATTACHMENT 4FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
MISCELLANEOUS EXPENSES	705.
TOTALS	<u>705.</u>

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Income	Estimated Yield
Stocks - Margin										
ABBOTT LABS COM	ABT	1,000	\$ 53.99	\$ 53,990.00	04/17/09	\$ 50,810.51	\$ 50.81	\$ 3,179.49	\$ 1,600.00	3.0%
ANADARKO PETE CORP COM	APC	500	62.42	31,210.00	12/04/09	28,117.75	56.24	3,092.25	180.00	0.6%
BOEING CO COM	BA	1,000	54.13	54,130.00	11/02/09	65,132.72	65.13	(11,002.72)	1,680.00	3.1%
CAMERON INTERNATIONAL CORP COM	CAM	500	41.80	20,900.00	11/20/09	19,167.78	38.34	1,732.22		
CLOX COMPANY COM	CLX	500	61.00	30,500.00	10/16/09	28,792.79	57.59	1,707.21	1,000.00	3.3%
CSX CORP COM	CSX	1,000	48.49	48,490.00	05/11/09	28,430.54	28.43	20,059.46	880.00	1.8%
CVS CAREMARK CORPORATION COM	CVS	1,000	32.21	32,210.00	11/20/09	31,170.54	31.17	1,039.46	305.00	0.9%
EMERSON ELEC CO COM	EMR	1,500	42.60	63,900.00	02/24/09	61,151.01	40.77	2,748.99	2,010.00	3.1%
FEDEX CORPORATION COM	FDX	500	83.45	41,725.00	12/18/09	43,832.79	87.67	(2,107.79)	220.00	0.5%
GENERAL MILLS INC COM	GIS	500	70.81	35,405.00	07/08/09	33,317.80	65.84	2,087.20	980.00	2.8%
KELLOGG CO COM	K	1,000	53.20	53,200.00	09/18/09	47,120.58	47.12	6,079.42	1,500.00	2.8%
NOKIA CORP ADR	NOK	700	12.85	8,995.00	01/18/07	13,679.35	19.54	(4,684.35)	275.10	3.1%
SMITH INTL INC COM	SII	600	27.17	16,302.00	04/06/09	15,725.82	26.21	576.18	288.00	1.8%
SMUCKER J M CO COM	SJM	500	61.75	30,875.00	12/31/08	20,013.17	40.03	10,861.83	700.00	2.3%
Total Stocks				\$521,832.00		\$486,403.15		35,368.88	1,618.10	2.2%

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income Yield
Options - Margin									
CAMERON INTERNATIONAL CORP	CAMAE	5	\$ 16.80	\$ 8,400.00	08/08/08	\$ 12,107.75	\$ 24.22	\$ (3,707.75)	\$
-CAMAE C JAN 25									
CAMPBELL SOUP CO -CPBNF P FEB 30	CPBNF	10	0.15	150.00	11/03/09	1,060.50	1 06	(910.50)	
CVS CAREMARK CORPORATION -CVSAD C JAN 20	CVSAD	10	12.30	12,300.00	07/31/08	18,310.50	18 31	(6,010.50)	
CVS CAREMARK CORPORATION -CVSEG C MAY 35	CVSEG	5	1.20	600.00	11/23/09	732.75	1.47	(132.75)	
Total Options				\$21,450.00		\$32,211.50		\$(10,761.50)	\$0.00 0.0%
Short Options - Margin									
3M CO -MMMMP P JAN 80	MMMMP	5-	\$ 0.55	\$ (275.00)	09/15/09	\$ (3,952.14)	\$ 7 90	\$ 3,677.14	\$
3M CO -MMMP O P APR 75	MMMP O	5-	1.55	(775.00)	08/31/09	(4,192.14)	8.38	3,417.14	
3M CO -MMMSP P JUL 80	MMMSP	10-	4.70	(4,700.00)	12/03/09	(7,489.30)	7.49	2,789.30	
3M CO -MNZGQ C JUL 85	MNZGQ	10-	4.20	(4,200.00)	12/11/09	(3,689.40)	3.69	(510.60)	
ABBOTT LABS -ABTBK C FEB 55	ABTBK	5-	0.90	(450.00)	11/16/09	(792.22)	1.58	342.22	
ABBOTT LABS -ABTQA P MAY 46	ABTQA	5-	0.75	(375.00)	10/01/09	(1,367.21)	2.73	992.21	
ABBOTT LABS -IQEL C MAY 60	IQEL	5-	0.65	(325.00)	11/24/09	(467.23)	0.93	142.23	
ANADARKO PETE CORP -AZWAK C JAN 55	AZWAK	5-	7.70	(3,850.00)	10/07/09	(5,842.09)	11.68	1,992.09	
ANADARKO PETE CORP -AZWML P JAN 60	AZWML	5-	0.65	(325.00)	09/30/09	(2,222.19)	4.44	1,897.19	
ANADARKO PETE CORP -AZWNK P FEB 55	AZWNK	5-	0.75	(375.00)	09/23/09	(1,592.20)	3.18	1,217.20	

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Short Options - Margin									
ANADARKO PETE CORP -AZWQJ P MAY 50	AZWQJ	5-	1.50	(750.00) 11/19/09	(1,492.21)	2.98	742.21		
AUTOMATIC DATA PROCESSING INC -ADPQQ P MAY 38	ADPQQ	4-	0.75	(300.00) 10/22/09	(832.77)	2.08	532.77		
AUTOMATIC DATA PROCESSING INC -FZJQR P MAY 44	FZJQR	10-	2.85	(2,850.00) 12/03/09	(2,989.42)	2.99	139.42		
BOEING CO -BZDAC C JAN 55	BZDAC	5-	0.76	(380.00) 11/09/09	(617.23)	1.23	237.23		
BOEING CO -BZDEX C MAY 50	BZDEX	5-	6.30	(3,150.00) 09/23/09	(3,542.15)	7.08	392.15		
BOEING CO -BZDQV P MAY 48	BZDQV	5-	2.07	(1,035.00) 09/23/09	(2,142.19)	4.28	1,107.19		
BURLINGTON NORTHERN SANTA FE -BNIMN P JAN 70	BNIMN	5-	0.15	(75.00) 10/26/09	(642.23)	1.28	567.23		
BURLINGTON NORTHERN SANTA FE -BNIMP P JAN 80	BNIMP	5-	0.10	(50.00) 10/19/09	(1,242.21)	2.48	1,192.21		
BURLINGTON NORTHERN SANTA FE -BNIPN P APR 70	BNIPN	5-	0.35	(175.00) 10/01/09	(1,942.19)	3.88	1,767.19		
CAMERON INTERNATIONAL CORP -CAMBH C FEB 40	CAMBH	5-	3.30	(1,650.00) 11/23/09	(1,317.21)	2.63	(332.79)		
CAMERON INTERNATIONAL CORP -CAMEH C MAY 40	CAMEH	5-	5.00	(2,500.00) 12/07/09	(1,692.20)	3.38	(807.80)		
CAMPBELL SOUP CO -CPBQZ P MAY 32.5	CPBQZ	10-	1.15	(1,150.00) 12/18/09	(1,889.45)	1.89	739.45		
CARNIVAL CORP -CCLMD P JAN 20	CCLMD	10-	0.05	(50.00) 05/19/09	(1,949.44)	1.95	1,899.44		

Statement for Account # 788-829554
12/01/09 - 12/31/09

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield	
Short Options - Margin										
CARNIVAL CORP -CCLSH P JUL 28	CCLSH	5-	1.85	(925.00) 12/16/09	(842.22)	1.68	(82.78)			
CARNIVAL CORP -CXIDN C APR 40	CXIDN	5-	0.30	(150.00) 12/16/09	(417.23)	0.83	267.23			
CATERPILLAR INC -CATNJ P FEB 50	CATNJ	5-	0.83	(415.00) 11/18/09	(817.22)	1.63	402.22			
CATERPILLAR INC -CATQJ P MAY 50	CATQJ	10-	2.42	(2,420.00) 12/02/09	(2,989.42)	2.99	569.42			
CATERPILLAR INC -CATQK P MAY 55	CATQK	5-	4.20	(2,100.00) 12/04/09	(2,642.18)	5.28	542.18			
CATERPILLAR INC -CATTJ P AUG 50	CATTJ	5-	3.75	(1,875.00) 12/30/09	(1,742.20)	3.48	(132.80)			
CLOROX COMPANY -CLXAL C JAN 60	CLXAL	5-	1.54	(770.00) 10/19/09	(742.23)	1.48	(27.77)			
CLOROX COMPANY -CLXAM C JAN 65	CLXAM	5-	0.15	(75.00) 09/30/09	(317.24)	0.63	242.24			
CLOROX COMPANY -CLXDM C APR 65	CLXDM	5-	0.89	(445.00) 10/22/09	(392.23)	0.78	(52.77)			
CLOROX COMPANY -CLXML P JAN 60	CLXML	5-	0.35	(175.00) 07/23/09	(1,992.19)	3.98	1,817.19			
CLOROX COMPANY -CLXPK P APR 55	CLXPK	10-	0.60	(600.00) 09/03/09	(3,089.42)	3.09	2,489.42			
CLOROX COMPANY -CLXPM P APR 65	CLXPM	5-	5.10	(2,550.00) 11/02/09	(3,492.16)	6.98	942.16			
CLOROX COMPANY -CLXSL P JUL 60	CLXSL	5-	3.30	(1,650.00) 11/24/09	(2,042.19)	4.08	392.19			
COLGATE PALMOLIVE CO -CLER C MAY 90	CLER.	5-	1.45	(725.00) 11/24/09	(1,262.21)	2.52	537.21			
COLGATE PALMOLIVE CO -CLNO P FEB 75	CLNO.	5-	0.65	(325.00) 10/09/09	(1,417.21)	2.83	1,092.21			

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield	
Short Options - MargIn										
COLGATE PALMOLIVE CO -CLQQ P MAY 85	CLQQ.	5-	6.40	(3,200.00) 11/18/09	(3,242.16)	6.48	42.16			
CSX CORP -CDTBJ C FEB 50	CDTBJ	10-	1.60	(1,600.00) 07/23/09	(1,769.45)	1.77	169.45			
CSX CORP -CDTEC C MAY 41	CDTEC	15-	8.90	(13,350.00) 12/08/09	(13,551.40)	9.03	201.40			
CSX CORP -CDTEE C MAY 43	CDTEE	5-	7.40	(3,700.00) 10/23/09	(2,492.18)	4.98	(1,207.82)			
CSX CORP -CDTNJ P FEB 50	CDTNJ	5-	2.90	(1,450.00) 12/07/09	(1,692.20)	3.38	242.20			
CSX CORP -CDTNU P FEB 48	CDTNU	5-	1.90	(950.00) 11/11/09	(1,992.19)	3.98	1,042.19			
CSX CORP -CDTQJ P MAY 50	CDTQJ	5-	4.80	(2,400.00) 11/18/09	(2,942.17)	5.88	542.17			
CVS CAREMARK CORPORATION	CVSBG	5-	0.35	(175.00) 11/19/09	(242.24)	0.48	67.24			
-CVSBG C FEB 35										
CVS CAREMARK CORPORATION	CVSBQ	5-	0.60	(300.00) 12/24/09	(317.24)	0.63	17.24			
-CVSBQ C FEB 34										
CVS CAREMARK CORPORATION	CVSEM	10-	0.90	(900.00) 12/30/09	(999.47)	1.00	99.47			
-CVSEM C MAY 36										
CVS CAREMARK CORPORATION	CVSHG	10-	1.85	(1,850.00) 12/21/09	(2,089.44)	2.09	239.44			
-CVSHG C AUG 35										
CVS CAREMARK CORPORATION	CVSMQ	5-	1.85	(925.00) 08/27/09	(722.23)	1.44	(202.77)			
-CVSMQ P JAN 34										
CVS CAREMARK CORPORATION	CVSNQ	5-	2.35	(1,175.00) 08/14/09	(1,367.21)	2.73	192.21			
-CVSNQ P FEB 34										
CVS CAREMARK CORPORATION	CVSQL	5-	2.80	(1,400.00) 10/12/09	(942.22)	1.88	(457.78)			
-CVSQL P MAY 33										
DEERE & CO -DERQ P JUN 43	DERQ.	5-	1.32	(660.00) 11/19/09	(1,642.20)	3.28	982.20			

Statement for Account # 788-829554
12/01/09 - 12/31/09

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield	
Short Options - MargIn										
DEERE & CO -DGVCL C MAR 60	DGVCL	5-	1.10	(550.00) 12/16/09	(817.22)	1.63	267.22			
DEERE & CO -DGVFK C JUN 55	DGVFK	5-	4.40	(2,200.00) 12/01/09	(2,817.17)	5.63	617.17			
DEERE & CO -DGVMN P JAN 70	DGVMN	5-	15.45	(7,725.00) 10/03/08	(15,192.16)	30.38	7,467.16			
DEERE & CO -DGVOI P MAR 45	DGVOI	5-	0.64	(320.00) 11/16/09	(1,342.21)	2.68	1,022.21			
DEERE & CO -DGVOK P MAR 55	DGVOK	5-	3.65	(1,825.00) 12/04/09	(2,317.19)	4.63	492.19			
DEERE & CO -DGVJR P JUN 50	DGVJR	5-	3.20	(1,600.00) 11/25/09	(2,517.18)	5.03	917.18			
DOVER CORP -DOVFI C JUN 45	DOVFI	5-	1.80	(900.00) 12/30/09	(907.22)	1.81	7.22			
DOVER CORP -DOVFJ C JUN 50	DOVFJ	5-	0.65	(325.00) 10/19/09	(392.23)	0.78	67.23			
DOVER CORP -DOVMG P JAN 35	DOVMG	5-	0.10	(50.00) 07/24/09	(1,742.20)	3.48	1,692.20			
DOVER CORP -DOVOI P MAR 45	DOVOI	5-	4.10	(2,050.00) 11/11/09	(2,642.18)	5.28	592.18			
DOVER CORP -DOVRG P JUN 35	DOVRG	5-	1.10	(550.00) 10/19/09	(1,067.22)	2.13	517.22			
EMERSON ELEC CO -EMRAY C JAN 39	EMRAY	5-	3.60	(1,800.00) 10/22/09	(1,192.21)	2.38	(607.79)			
EMERSON ELEC CO -EMRAZ C JAN 41	EMRAZ	10-	1.95	(1,950.00) 12/03/09	(2,254.44)	2.25	304.44			
EMERSON ELEC CO -EMRCV C MAR 43	EMRCV	10-	1.70	(1,700.00) 10/07/09	(1,439.46)	1.44	(260.54)			
EMERSON ELEC CO -EMRMJ P JAN 50	EMRMJ	10-	7.30	(7,300.00) 09/16/08	(10,689.44)	10.69	3,389.44			
EMERSON ELEC CO -EMROT P MAR 37	EMROT	5-	0.45	(225.00) 12/08/09	(567.23)	1.13	342.23			

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield	
Short Options - Margin										
EMERSON ELEC CO -EMRRS P JUN 36	EMRRS	5-	1.05	(525.00) 11/11/09	(1,042.22)	2.08	517.22			
EXELON CORPORATION -EXCMI P JAN 45	EXCMI	10-	0.11	(110.00) 07/30/09	(2,089.44)	2.09	1,979.44			
EXELON CORPORATION -EXCPI P APR 45	EXCPI	5-	1.05	(525.00) 08/27/09	(1,467.21)	2.93	942.21			
EXELON CORPORATION -EXCSI P JUL 45	EXCSI	5-	2.15	(1,075.00) 12/16/09	(1,042.22)	2.08	(32.78)			
EXXON MOBIL CORPORATION -XOMMM P JAN 65	XOMMM	5-	0.20	(100.00) 07/30/09	(1,642.20)	3.28	1,542.20			
EXXON MOBIL CORPORATION -XOMPN P APR 70	XOMPN	5-	4.20	(2,100.00) 12/09/09	(1,517.21)	3.03	(582.79)			
EXXON MOBIL CORPORATION -XOMSM P JUL 65	XOMSM	5-	3.25	(1,625.00) 12/14/09	(1,667.20)	3.33	42.20			
FEDEX CORPORATION -FDXDP C APR 80	FDXDP	5-	7.70	(3,850.00) 09/21/09	(2,882.17)	5.76	(967.83)			
FEDEX CORPORATION -FDXGN C JUL 70	FDXGN	5-	16.50	(8,250.00) 12/01/09	(9,507.00)	19.01	1,257.00			
FEDEX CORPORATION -FDXGP C JUL 80	FDXGP	5-	9.80	(4,900.00) 12/01/09	(6,107.09)	12.21	1,207.09			
FEDEX CORPORATION -FDXPQ P APR 85	FDXPQ	10-	6.40	(6,400.00) 11/11/09	(7,539.30)	7.54	1,139.30			
FEDEX CORPORATION -FDXPR P APR 90	FDXPR	5-	9.30	(4,650.00) 12/03/09	(4,642.13)	9.28	(7.87)			
FEDEX CORPORATION -FDXSN P JUL 70	FDXSN	5-	3.00	(1,500.00) 12/17/09	(1,557.20)	3.11	57.20			
GENERAL MILLS INC -GISAL C JAN 60	GISAL	5-	11.00	(5,500.00) 07/02/09	(1,319.71)	2.64	(4,180.29)			

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income Yield
Short Options - Margin									
GENERAL MILLS INC -GISGM C JUL 65	GISGM	10-	7.00	(7,000.00)	12/01/09	(5,689.35)	5.69	(1,310.65)	
GENERAL MILLS INC -GISGN C JUL 70	GISGN	5-	3.80	(1,900.00)	12/08/09	(1,512.21)	3.02	(387.79)	
GENERAL MILLS INC -GISML P JAN 60	GISML	25-	0.01	(25.00)	07/23/08	(11,021.03)	4.41	10,996.03	
GENERAL MILLS INC -GISPN P APR 70	GISPN	10-	2.70	(2,700.00)	10/29/09	(5,989.34)	5.99	3,289.34	
GENERAL MILLS INC -GISPV P APR 72.5	GISPV	10-	4.00	(4,000.00)	12/23/09	(4,234.39)	4.23	234.39	
GENERAL MILLS INC -GISSV P JUL 72.5	GISSV	5-	5.20	(2,600.00)	12/30/09	(2,442.18)	4.88	(157.82)	
GOODRICH CORP -GRBJ C FEB 50	GRBJ.	5-	14.60	(7,300.00)	10/15/09	(3,972.14)	7.94	(3,327.86)	
GOODRICH CORP -GRNK P FEB 55	GRNK.	5-	0.35	(175.00)	10/15/09	(1,992.19)	3.98	1,817.19	
GOODRICH CORP -GRQJ P MAY 50	GRQJ.	5-	0.90	(450.00)	09/23/09	(1,792.20)	3.58	1,342.20	
GRAINGER W W INC -GWWPR P APR 90	GWWPR	5-	2.50	(1,250.00)	11/19/09	(2,142.19)	4.28	892.19	
HOME DEPOT INC -JGLQC P MAY 29	JGLQC	10-	2.06	(2,060.00)	12/08/09	(2,989.42)	2.99	929.42	
HOME DEPOT INC -JGLQM P MAY 26	JGLQM	10-	0.96	(960.00)	12/03/09	(1,439.46)	1.44	479.46	
HOME DEPOT INC -HDML P JAN 24	HDML.	10-	0.04	(40.00)	10/09/09	(739.48)	0.74	699.48	
HONEYWELL INTERNATIONAL INC -HONOA P MAR 36	HONOA	8-	0.75	(600.00)	10/12/09	(2,230.54)	2.79	1,630.54	
HONEYWELL INTERNATIONAL INC -HONRM P JUN 34	HONRM	5-	1.15	(575.00)	11/19/09	(1,052.22)	2.10	477.22	

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain/(Loss)	Estimated Income	Yield
Short Options - Margin									
JOHNSON & JOHNSON -JNJPL P APR 60	JNJPL	10-	0.88	(880.00) 10/13/09	(3,029.42)	3.03	2,149.42		
KELLOGG CO -KFK C JUN 55	KFK..	10-	1.60	(1,600.00) 11/16/09	(1,998.44)	2.00	398.44		
KELLOGG CO -KMI P JAN 45	KMI..	10-	0.05	(50.00) 06/10/09	(4,289.38)	4.29	4,239.38		
KELLOGG CO -KOJ P MAR 50	KOJ..	5-	0.60	(300.00) 07/21/09	(2,772.17)	5.54	2,472.17		
KELLOGG CO -KRJ P JUN 50	KRJ.	10-	1.50	(1,500.00) 11/03/09	(3,289.41)	3.29	1,789.41		
KIMBERLY CLARK CORP -KMBGN C JUL 70	KMBGN	10-	1.08	(1,080.00) 12/21/09	(1,339.46)	1.34	259.46		
KIMBERLY CLARK CORP -KMBSM P JUL 65	KMBSM	10-	4.90	(4,900.00) 12/07/09	(4,689.37)	4.69	(210.63)		
MCKESSON CORP -MCKQY P MAY 57.5	MCKQY	5-	2.05	(1,025.00) 09/21/09	(2,492.18)	4.98	1,467.18		
MCKESSON CORP -MCKEL C MAY 60	MCKEL	10-	5.50	(5,500.00) 10/26/09	(5,289.36)	5.29	(210.64)		
MCKESSON CORP -MCKHK C AUG 55	MCKHK	10-	9.90	(9,900.00) 12/30/09	(10,364.23)	10.36	464.23		
MCKESSON CORP -MCKML P JAN 60	MCKML	10-	0.35	(350.00) 10/15/09	(2,739.42)	2.74	2,389.42		
MCKESSON CORP -MCKNL P FEB 60	MCKNL	10-	1.35	(1,350.00) 11/11/09	(2,039.44)	2.04	689.44		
MCKESSON CORP -MCKQM P MAY 65	MCKQM	5-	5.30	(2,650.00) 11/19/09	(3,042.17)	6.08	392.17		
NOKIA CORP -NOKDN C APR 14	NOKDN	7-	0.55	(385.00) 10/28/09	(691.13)	0.99	306.13		
NORFOLK SOUTHERN CORP -JBCCW C MAR 49	JBCCW	5-	4.70	(2,350.00) 12/03/09	(2,497.18)	4.99	147.18		
NORFOLK SOUTHERN CORP -JBCMK P JAN 55	JBCMK	5-	2.75	(1,375.00) 12/04/09	(1,592.20)	3.18	217.20		
NORFOLK SOUTHERN CORP -JBCOU P MAR 47	JBCOU	5-	0.90	(450.00) 11/09/09	(1,217.21)	2.43	767.21		

Statement for Account # 788-829554
12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Value	Purchase Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income Yield
Short Options - Margin									
NORFOLK SOUTHERN CORP -NSCRI P JUN 45	NSCRI	5-	1.70	(850.00)	11/11/09	(1,542.21)	3.08	692.21	
PACCAR INC -PAQAK C JAN 43	PAQAK	5-	0 10	(50.00)	10/26/09	(617.23)	1.23	567.23	
PACCAR INC -PAQMG P JAN 35	PAQMG	10-	0.35	(350.00)	05/08/08	(4,386.47)	4.39	4,036.47	
PACCAR INC -PAQNZ P FEB 26	PAQNZ	10-	0 15	(150.00)	07/24/09	(1,669.45)	1.67	1,519.45	
PROCTER GAMBLE CO -PGMK P JAN 55	PGMK.	5-	0.07	(35.00)	10/26/09	(742.23)	1.48	707.23	
PROCTER GAMBLE CO -PGPX P APR 52.5	PGPX.	5-	0.59	(295.00)	08/27/09	(2,042.19)	4.08	1,747.19	
PROCTER GAMBLE CO -PGPZ P APR 62.5	PGPZ.	10-	3.85	(3,850.00)	11/25/09	(3,239.41)	3.24	(610.59)	
PROCTER GAMBLE CO -PXYDM C APR 65	PXYDM	10-	0.82	(820.00)	11/16/09	(1,839.45)	1.84	1,019.45	
PROCTER GAMBLE CO -PXYGN C JUL 70	PXYGN	10-	0.66	(660.00)	12/21/09	(989.47)	0.99	329.47	
PROCTER GAMBLE CO -PXYSM P JUL 65	PXYSM	10-	6.95	(6,950.00)	12/03/09	(5,839.34)	5.84	(1,110.66)	
SMITH INTL INC -SIAY C JAN 27.5	SIAY	6-	0.65	(390.00)	11/02/09	(1,491.66)	2.49	1,101.66	
SMUCKER J M CO -SJMDL C APR 60	SJMDL	5-	3.90	(1,950.00)	12/03/09	(1,442.21)	2.88	(507.79)	
SMUCKER J M CO -SJMMH P JAN 40	SJMMH	10-	0.05	(50.00)	06/16/09	(2,489.43)	2.49	2,439.43	
SMUCKER J M CO -SJMPL P APR 60	SJMPL	5-	2 10	(1,050.00)	12/14/09	(1,392.21)	2.78	342.21	
SMUCKER J M CO -SJMPM P APR 65	SJMPM	5-	4 90	(2,450.00)	12/16/09	(2,792.17)	5.58	342.17	

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions									
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield
Short Options - Margin									
SMUCKER J M CO -SJMSL P JUL 60	SJMSL	5-	3.50	(1,750.00) 12/04/09	(2,492.18)	4.98	742.18		
UNITED PARCEL SERVICE -UPSMI P JAN 45	UPSMI	10-	0.03	(30.00) 06/08/09	(3,439.41)	3.44	3,409.41		
UNITED PARCEL SERVICE -UPSPJ P APR 50	UPSPJ	5-	0.80	(400.00) 10/28/09	(1,242.21)	2.48	842.21		
UNITED PARCEL SERVICE -UPSPK P APR 55	UPSPK	5-	1.95	(975.00) 10/28/09	(2,242.19)	4.48	1,267.19		
UNITED PARCEL SERVICE -UPSSL P JUL 60	UPSSL	5-	5.75	(2,875.00) 12/03/09	(3,067.17)	6.13	192.17		

WAL-MART STORES -WMTOI P MAR 45	WMTOI	10-	0.15	(150.00) 07/30/09	(2,239.44)	2.24	2,089.44		
WALGREEN CO -WAGPG P APR 35	WAGPG	10-	1.35	(1,350.00) 12/02/09	(1,589.45)	1.59	239.45		
WALGREEN CO -WAGPK P APR 32	WAGPK	10-	0.60	(600.00) 09/15/09	(2,039.44)	2.04	1,439.44		
WALGREEN CO -WAJDQ C APR 43	WAJDQ	10-	0.30	(300.00) 12/11/09	(739.48)	0.74	439.48		
WALGREEN CO -WAJSA P JUL 38	WAJSA	10-	3.60	(3,600.00) 12/03/09	(3,309.41)	3.31	(290.59)		
WALT DISNEY CO -DISMD P JAN 20	DISMD	10-	0.10	(100.00) 06/15/09	(1,289.46)	1.29	1,189.46		
WALT DISNEY CO -DISMX P JAN 22 5	DISMX	10-	0.10	(100.00) 06/05/09	(2,039.44)	2.04	1,939.44		
WALT DISNEY CO -DISPE P APR 25	DISPE	5-	0.25	(125.00) 10/23/09	(567.23)	1.13	442.23		
WALT DISNEY CO -DISPO P APR 23	DISPO	6-	0.15	(90.00) 08/24/09	(891.67)	1.49	801.67		

Statement for Account # 788-829554

12/01/09 - 12/31/09

Account Positions										
Investment Description	Symbol/ CUSIP	Quantity	Current Price	Market Purchase Value Date	Cost Basis	Average Cost	Unrealized Gain(Loss)	Estimated Income	Yield	
Short Options - Margin										
WALT DISNEY CO -DISSZ P JUL 26	DISSZ	5-	0.75	(375.00) 11/24/09	(717.23)	1.43	342.23			
WALT DISNEY CO -DQDDG C APR 35	DQDDG	5-	0.80	(400.00) 12/30/09	(342.24)	0.68	(57.76)			
Total Short Options				\$(253,075.00)	\$ (369,391.12)		\$ 117,811.12	\$0.00	0.0%	
Total Margin Account				\$290,207.00	\$ 149,283.53		\$ 142,418.47	\$11,618.10	4.0%	

THE DON & JEAN WAGNER CHARITABLE FOUNDATION
FORM 990PF, PART II - OTHER ASSETS

26-6125236

ATTACHMENT 6

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
DUE FROM DON WAGNER	3,255.	3,255.
TOTALS	<u>3,255.</u>	<u>3,255.</u>

THE DON & JEAN WAGNER CHARITABLE FOUNDATION

26-6125236

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JEAN D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
WILLIAM D. WAGNER 1050 W 54TH ST KANSAS CITY, MO 64112	TRUSTEE 5.00	0.	0.	0.
GRAND TOTALS		0.	0.	0.

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

JEAN D WAGNER TRUST UA DTD 5/27/92
WILLIAM D WAGNER

THE DON & JEAN WAGNER CHARITABLE FOUNDATION

26-6125236

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
JAZZOO KANSAS CITY, MO 64132	NONE 501 (C) (3)	CHARITABLE DONATION	36,200
BOYS & GIRLS CLUBS OF GREATER KANSAS CITY KANSAS CITY, MO 64131	NONE 501 (C) (3)	CHARITABLE DONATION	5,000
ROYALS CHARITIES FUND KANSAS CITY, MO 64141	NONE 501 (C) (3)	CHARITABLE DONATION	1,180
CHRISTMAS IN OCTOBER KANSAS CITY, MO 64171	NONE 501 (C) (3)	CHARITABLE DONATION	14,600
TURNING POINT SHAWNEE MISSION, KS 66206	NONE 501 (C) (3)	CHARITABLE DONATION	14,300
KANSAS CITY PUBLIC LIBRARY KANSAS CITY, MO 64105	NONE 501 (C) (3)	CHARITABLE DONATION	1,000

ATTACHMENT 9

PAGE 23

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11/10/2010

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FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MIDWEST SECTION PGA FOUNDATION BLUE SPRINGS, MO 64015	NONE 501 (C) (3)	CHARITABLE DONATION	200.
PEMBROKE HILL KANSAS CITY, MO 64112	NONE 501 (C) (3)	CHARITABLE DONATION	10,000
CABRINI COLLEGE RADNOR, PA 19087	NONE 501 (C) (3)	CHARITABLE DONATION	500
KU ENDOWMENT KANSAS CITY, KS 66160	NONE 501 (C) (3)	CHARITABLE DONATION	5,000
AMERICAN RED CROSS KANSAS CITY, MO 64111	NONE 501 (C) (3)	CHARITABLE DONATION	5,000
UMKC FOUNDATION KANSAS CITY, MO 64110	NONE 501 (C) (3)	CHARITABLE DONATION	3,150

FORM 990-BE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 9 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HARVESTERS KANSAS CITY, MO 64129	NONE 501 (C) (3)	CHARITABLE DONATION	1,000
FOLLY THEATER KANSAS CITY, MO 64196	NONE 501 (C) (3)	CHARITABLE DONATION	4,965
HARRY S TRUMAN LIBRARY INSTITUTE INDEPENDENCE, MO 64050	NONE 501 (C) (3)	CHARITABLE DONATION	1,000
CHILDREN'S TLC KANSAS CITY, MO 64111	NONE 501 (C) (3)	CHARITABLE DONATION	500.
HORIZON ACADEMY ROELAND PARK, KS 66205	NONE 501 (C) (3)	CHARITABLE DONATION	500.
FRIENDS OF THE ZOO KANSAS CITY, MO 64132	NONE 501 (C) (3)	CHARITABLE DONATION	12,000

TOTAL CONTRIBUTIONS PAID

116,095

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust

THE DON & JEAN WAGNER CHARITABLE FOUNDATION

Employer identification number

26-6125236

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-45,138.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back	5	-45,138.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	34,382.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back	12	34,382.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2009

Part III Summary of Parts I and II Caution: Read the instructions before completing this part		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		-45,138.
14	Net long-term gain or (loss):			
a	Total for year	14a		34,382.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		-10,756.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and do not complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet** necessary.

Part IV Capital Loss Limitation	
16	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 15, column (3) or b \$3,000
16	(3,000.)

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** on page 7 of the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the worksheet on page 8 of the instructions if:

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the smaller of the amount on line 17 or \$2,300	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ No. Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (15)	30	
31	Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2009

**Continuation Sheet for Schedule D
(Form 1041)**

OMB No. 1545-0092

2009

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

Name of estate or trust

THE DON & JEAN WAGNER CHARITABLE FOUNDATION

Employer identification number

26-6125236

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-45,138.
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For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2009

	Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
A	3M COMPANY (MMM)	Assign Call	500	7/17/2009	7/17/2009	27,489.29	29,917.82	(2,428.53)	
B	ABBOTT LABORATORIES (ABT)	Assign Call	500	2/20/2009	2/20/2009	26,239.85	27,992.76	(1,752.91)	
C	ANADARKO PETE CORP (APC)	Assign Call	100	1/23/2009	4/17/2009	3,989.89	5,326.75	(1,336.86)	
D	BLACK & DECKER CORP (BDK)	Assign Call	1,000	1/29/2009	4/17/2009	24,989.35	42,564.97	(17,575.62)	
E	BLACK & DECKER CORP (BDK)	Assign Call	500	6/22/2009	8/21/2009	14,989.61	17,367.78	(2,378.17)	
F	BOEING CO (BA)	Assign Put Short	500	8/4/2009	8/21/2009	19,989.48	22,967.77	(2,978.29)	
G	BOEING CO (BA)	Assign Call	500	8/21/2009	11/20/2009	23,989.38	24,893.82	(904.44)	
H	BP PLC (BP)	Assign Call	500	12/31/2006	7/17/2009	22,489.42	22,324.35		165.07 LT
I	BURLINGTON NORTHERN SANTA F (BNF)	Assign Call	500	7/17/2009	7/17/2009	34,989.10	35,717.80	(728.70)	
J	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	1,000	10/20/2008	1/16/2009	19,989.88	41,185.53	(21,195.65)	
K	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	300	10/27/2008	1/16/2009	6,746.96	11,549.05	(4,802.09)	
L	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	700	11/10/2008	1/16/2009	15,742.91	26,934.46	(11,191.55)	
M	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	11/21/2008	4/17/2009	11,239.71	8,867.75	2,371.96	
N	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	11/21/2008	5/15/2009	12,494.68	17,067.76	(4,573.09)	
O	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	12/3/2008	5/15/2009	12,494.68	16,667.76	(4,173.09)	
P	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	800	12/23/2008	8/21/2009	19,989.48	43,142.94	(23,153.46)	
Q	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	200	12/23/2008	9/18/2009	5,989.84	10,785.73	(4,795.89)	
R	CATERPILLAR INC (CAT)	Assign Call	100	10/21/2008	4/15/2009	2,997.92	5,801.95	(2,804.03)	
S	CATERPILLAR INC (CAT)	Assign Call	300	10/28/2008	4/15/2009	8,993.77	17,385.86	(8,392.09)	
T	CATERPILLAR INC (CAT)	Assign Call	100	11/10/2008	4/15/2009	2,997.92	5,801.95	(2,804.03)	
U	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	4/17/2009	16,989.56	19,919.22		(2,929.66) LT
V	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	7/17/2009	16,989.56	1,758.80		15,230.76 LT
W	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	7/17/2009	17,989.53	1,758.80		16,230.73 LT
X	CISCO SYSTEMS INC (CSCO)	Assign Call	500	12/31/2006	10/16/2009	8,494.78	879.40		7,615.38 LT
Y	CISCO SYSTEMS INC (CSCO)	Assign Call	500	6/14/2007	10/16/2009	8,494.78	13,313.25		(4,818.47) LT
Z	CVS CAREMARK CORP (CVS)	Assign Call	1,000	1/13/2009	8/21/2009	34,989.10	35,973.51	(984.41)	
AA	DEERE & CO (DE)	Assign Call	500	2/2/2009	4/17/2009	17,489.55	26,560.27	(9,070.72)	
BB	DEERE & CO (DE)	Assign Call	500	2/2/2009	5/15/2009	19,489.49	26,560.27	(7,070.78)	
CC	DEERE & CO (DE)	Assign Call	1,000	2/26/2009	7/17/2009	36,989.04	47,920.52	(10,931.48)	
DD	DOVER CORP (DOV)	Assign Call	300	11/25/2008	3/20/2009	7,489.95	11,452.39	(3,962.44)	
EE	DOVER CORP (DOV)	Assign Call	600	11/25/2008	3/20/2009	13,493.92	22,904.78	(9,410.86)	
EE	DOVER CORP (DOV)	Assign Call	100	12/19/2008	3/20/2009	2,248.99	3,826.35	(1,577.36)	
FF	DOVER CORP (DOV)	Assign Call	300	3/3/2009	3/20/2009	6,746.96	15,378.74	(8,631.78)	
GG	DOVER CORP (DOV)	Assign Call	400	3/16/2009	4/17/2009	9,994.03	20,972.32	(10,978.29)	
HH	DOVER CORP (DOV)	Assign Call	300	3/20/2009	4/17/2009	7,495.52	15,740.62	(8,245.10)	
II	DOVER CORP (DOV)	Cover Short	1,000	8/26/2009	8/27/2009	29,989.22	35,205.00	(5,215.78)	
JJ	EMERSON ELEC CO (EMR)	Assign Call	700	12/1/2008	4/17/2009	20,992.45	30,084.46	(9,092.01)	
KK	EMERSON ELEC CO (EMR)	Assign Call	300	12/19/2008	4/17/2009	8,996.77	12,899.06	(3,902.29)	
LL	EMERSON ELEC CO (EMR)	Assign Call	200	2/23/2009	9/15/2009	6,989.82	7,722.10	(732.28)	
MM	EMERSON ELEC CO (EMR)	Assign Call	300	2/24/2009	9/18/2009	10,489.73	11,571.90	(1,082.17)	
NN	FEDEX CORP (FDX)	Assign Call	500	1/13/2009	3/20/2009	19,989.88	33,891.76	(13,901.88)	
OO	FEDEX CORP (FDX)	Assign Call	500	4/17/2009	4/17/2009	22,489.42	44,272.40	(21,782.98)	
PP	FEDEX CORP (FDX)	Assign Call	500	5/14/2009	10/16/2009	27,489.29	50,283.52	(22,794.23)	
QQ	GENERAL ELECTRIC CO (GE)	Assign Call	1,000	12/31/2006	3/20/2009	7,489.95	11,758.60		(4,268.65) LT
RR	GENERAL ELECTRIC CO (GE)	Assign Call	800	12/31/2006	4/17/2009	7,194.48	9,406.88		(2,212.40) LT
SS	GENERAL ELECTRIC CO (GE)	Assign Call	700	2/20/2009	4/17/2009	6,295.17	21,185.27		(14,890.10) LT
TT	GENERAL ELECTRIC CO (GE)	Assign Call	300	2/20/2009	6/17/2009	2,989.92	9,079.41		(6,089.49) LT
UU	GENERAL MILLS INC (GIS)	Assign Call	1,000	4/17/2009	7/7/2009	54,988.58	61,520.52	(6,531.94)	
VV	GENERAL MILLS INC (GIS)		500		7/7/2009	24,989.35		24,989.35	
WW	GOODRICH CO (GR)	Assign Call	500	12/29/2008	4/17/2009	19,989.48	20,510.28	(520.80)	
XX	GOODRICH CO (GR)	Assign Call	500	12/29/2008	5/15/2009	17,494.55	20,510.28	(3,015.73)	
YY	GOODRICH CO (GR)	Assign Call	500	3/9/2009	5/15/2009	17,494.55	18,160.29	(665.74)	
ZZ	GOODRICH CO (GR)	Assign Call	500	3/9/2009	5/15/2009	19,989.48	18,160.29	1,829.19	
AAA	HARLEY DAVIDSON INC (HOG)	Assign Call	600	1/13/2009	2/20/2009	5,993.96	15,767.51	(9,773.55)	
BBB	HARLEY DAVIDSON INC (HOG)	Assign Call	100	2/3/2009	2/20/2009	998.99	2,636.25	(1,637.26)	
CCC	HARLEY DAVIDSON INC (HOG)	Assign Call	300	2/20/2009	2/20/2009	2,996.98	7,888.75	(4,891.77)	
DDD	HENRY JACK & ASSOC INC (JKHY)	Assign Call	1,500	12/31/2006	6/19/2009	26,239.32	9,137.21		17,102.11 LT
EEE	HOME DEPOT INC (HD)	Assign Call	1,000	8/21/2009	8/21/2009	24,989.35	26,670.53	(1,681.18)	
FFF	HONEYWELL INTL INC (HON)	Assign Call	200	3/6/2009	4/17/2009	5,989.84	7,692.10	(1,702.26)	
GGG	INGERSOLL-RAND CO LTD (IR)	Assign Call	400	11/12/2008	6/19/2009	7,995.79	13,455.40	(5,459.61)	
HHH	INGERSOLL-RAND CO LTD (IR)	Assign Call	600	12/19/2008	6/19/2009	11,993.69	20,178.11	(8,184.42)	
III	INGERSOLL-RAND PLC (IR)	Assign Call	1,000	2/11/2009	7/24/2009	17,489.55	28,970.51	(11,480.96)	
JJJ	ISHARES RUSSELL 2000 INDEX (IWM)	Assign Call	1,000	3/20/2009	3/20/2009	36,989.79	44,970.55	(7,980.76)	
KKK	ISHARES RUSSELL 2000 INDEX (IWM)	Assign Call	1,000	3/20/2009	3/20/2009	39,989.77	48,661.78	(8,672.01)	
LLL	MCKESSON INC (MCK)	Assign Call	700	4/21/2009	5/15/2009	26,242.32	31,867.36	(5,625.04)	
MMM	MCKESSON INC (MCK)	Assign Call	300	5/15/2009	5/15/2009	11,246.71	13,663.16	(2,416.45)	
NNN	MICROSOFT CORP (MSFT)	Assign Call	1,000	12/31/2006	4/17/2009	18,989.51	7,420.46		11,569.05 LT
OOO	MICROSOFT CORP (MSFT)	Assign Call	1,000	12/31/2006	7/17/2009	19,989.48	7,420.46		12,569.02 LT
PPP	NOKIA CORP ADR (NOK)	Assign Call	1,000	1/12/2007	4/17/2009	11,989.69	19,502.75	(7,513.06)	LT
QQQ	NOKIA CORP ADR (NOK)	Assign Call	1,000	1/12/2007	7/17/2009	11,989.69	19,513.64	(7,523.95)	LT
RRR	ORACLE CORP (ORCL)	Assign Call	500	6/27/2007	6/19/2009	9,494.76	9,807.77	(313.02)	LT
SSS	ORACLE CORP (ORCL)	Assign Call	500	10/22/2007	6/19/2009	9,494.76	10,685.39		(1,190.64) LT
TTT	ROYAL DUTCH SHELL PLC (RDSA)	Assign Call	1,000	12/31/2006	7/17/2009	49,988.71	52,213.33		(2,224.62) LT
UUU	SMITH INTERNATIONAL INC (SII)	Assign Call	500	4/6/2009	4/17/2009	12,489.67	12,165.28	324.39	
VVV	JM SMUCKER CO (SJM)	Assign Call	500	6/4/2008	5/12/2009	19,989.48	26,887.95	(6,898.47)	
WWW	JM SMUCKER CO (SJM)	Assign Call	200	6/4/2008	6/19/2009	7,995.79	10,755.18		(2,759.39) LT
XXX	JM SMUCKER CO (SJM)	Assign Call	300	12/31/2008	6/19/2009	11,993.69	12,007.90	(14.21)	
YYY	TEXTRON INC (TXT)	Assign Call	1,100	12/10/2008	3/20/2009	5,494.47	51,254.57	(45,760.10)	
YYY	TEXTRON INC (TXT)	Assign Call	300	12/17/2008	3/20/2009	1,498.49	9,823.16	(8,324.67)	
YYY	TEXTRON INC (TXT)	Assign Call	500	12/29/2008	3/20/2009	2,497.49	16,365.26	(13,867.78)	
YYY	TEXTRON INC (TXT)	Assign Call	100	1/16/2009	3/20/2009	499.50	3,281.05	(2,781.55)	
ZZZ	TEXTRON INC (TXT)	Assign Call	1,000	3/11/2009	5/15/2009	7,489.80	77,255.81	(69,766.01)	
ZZZ	TEXTRON INC (TXT)	Assign Call	1,000	3/20/2009	8/21/2009	9,989.74	59,250.39	(49,260.65)	

	Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)	
AAAA	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	200	12/31/1906	2/20/2009	6,995.96	5,847.57		1,148.39	LT
BBBB	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	300	12/31/2006	2/20/2009	10,493.94	8,851.70		1,642.24	LT
CCCC	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	500	12/31/2006	6/19/2009	19,989.48	14,752.82		5,236.66	LT
DDDD	UNITED PARCEL SERVICE INC C (UPS)	Assign Call	700	7/17/2009	7/17/2009	34,989.10	31,086.90	3,902.20		
EEEE	WALGREEN CO (WAG)	Assign Call	1,000	7/17/2009	10/16/2009	32,489.16	29,435.56	3,053.60		
FFFF	WALT DISNEY CO (DIS)	Assign Call	600	12/31/2006	5/14/2009	12,589.67	17,166.21		(4,576.54)	LT
GGGG	WALT DISNEY CO (DIS)	Assign Call	600	12/31/2006	7/17/2009	11,989.69	17,166.20		(5,176.51)	LT
HHHH	WALT DISNEY CO (DIS)	Assign Call	1,000	7/17/2009	7/17/2009	22,489.42	21,554.06	935.36		
HHHH	WALT DISNEY CO (DIS)				7/17/2009		2,867.54		(2,867.54)	LT
IIII	WALT DISNEY CO (DIS)	Assign Call	600	7/17/2009	10/16/2009	15,589.59	14,202.08	1,387.51		

TOTAL PROCEEDS PER 1099-B

1,469,206.74

ADJUSTMENTS PER GAIN/LOSS REPORT

P/D/W Don McArthur, account manager - the proceeds on the Gain/Loss report are adjusted to reflect any option activity associated with that trade. For example, if a put is exercised, the sales proceeds are reduced by the cost of the put. Conversely, if the put is called away, the sales proceeds are increased by the amount received for the call.

A	3M COMPANY (MMM)	Assign Call	500	7/17/2009	7/17/2009	849.74		849.74		
B	ABBOTT LABORATORIES (ABT)	Assign Call	500	2/20/2009	2/20/2009	517.24		517.24		
C	ANADARKO PETE CORP (APC)	Assign Call	100	1/23/2009	4/17/2009	73.45		73.45		
D	BLACK & DECKER CORP (BDK)	Assign Call	1,000	1/29/2009	4/17/2009	339.49		339.49		
E	BLACK & DECKER CORP (BDK)	Assign Call	500	6/22/2009	8/21/2009	642.24		642.24		
F	BOEING CO (BA)	Assign Put Short	500	8/4/2009	8/21/2009	917.24		917.24		
F	BOEING CO (BA)	Short WS Adj	500	8/21/2009	8/21/2009		(2,061.05)	2,061.05		
G	BOEING CO (BA)	Assign Call	500	8/21/2009	11/20/2009	792.22		792.22		
G	BOEING CO (BA)	Wash Sale Adj	500	11/2/2009	11/20/2009		(112.22)	112.22		
H	BP PLC (BP)	Assign Call	500	12/31/2006	7/17/2009	652.24			652.24	LT
I	BURLINGTON NORTHERN SANTA F (BNF)	Assign Call	500	7/17/2009	7/17/2009	542.23		542.23		
J	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	1,000	10/20/2008	1/16/2009	1,239.49		1,239.49		
J	CAMERON INTERNATIONAL CORP (CAM)	Wash Sale Adj	1,000	12/23/2008	1/16/2009		(19,956.16)	19,956.16		
K	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	300	10/27/2008	1/16/2009	566.85		566.85		
L	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	700	11/10/2008	1/16/2009	1,322.63		1,322.63		
M	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	11/21/2008	4/17/2009	392.24		392.24		
N	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	11/21/2008	5/15/2009	844.74		844.74		
O	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	500	12/3/2008	5/15/2009	844.75		844.75		
P	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	800	12/23/2008	8/21/2009	1,790.58		1,790.58		
Q	CAMERON INTERNATIONAL CORP (CAM)	Assign Call	200	12/23/2008	9/18/2009	463.88		463.88		
R	CATERPILLAR INC (CAT)	Assign Call	100	10/21/2008	4/15/2009	51.45		51.45		
S	CATERPILLAR INC (CAT)	Assign Call	300	10/28/2008	4/15/2009	154.34		154.34		
T	CATERPILLAR INC (CAT)	Assign Call	100	11/10/2008	4/15/2009	51.45		51.45		
U	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	4/17/2009	479.49			479.49	LT
V	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	7/17/2009	879.49			879.49	LT
W	CISCO SYSTEMS INC (CSCO)	Assign Call	1,000	12/31/2006	7/17/2009	1,399.49			1,399.49	LT
X	CISCO SYSTEMS INC (CSCO)	Assign Call	500	12/31/2006	10/16/2009	594.75			594.75	LT
Y	CISCO SYSTEMS INC (CSCO)	Assign Call	500	6/14/2007	10/16/2009	594.74			594.74	LT
Z	CVS CAREMARK CORP (CVS)	Assign Call	1,000	1/13/2009	8/21/2009	1,089.49		1,089.49		
AA	DEERE & CO (DE)	Assign Call	500	2/2/2009	4/17/2009	342.24		342.24		
BB	DEERE & CO (DE)	Assign Call	500	2/2/2009	5/15/2009	592.24		592.24		
CC	DEERE & CO (DE)	Assign Call	1,000	2/26/2009	7/17/2009	6,289.33		6,289.33		
DD	DOVER CORP (DOV)	Assign Call	300	11/25/2008	3/20/2009	101.85		101.85		
DD	DOVER CORP (DOV)	Wash Sale Adj	300	3/3/2009	3/20/2009		(3,860.59)	3,860.59		
EE	DOVER CORP (DOV)	Assign Call	600	11/25/2008	3/20/2009	983.70		983.70		
EE	DOVER CORP (DOV)	Assign Call	100	12/19/2008	3/20/2009	163.94		163.94		
EE	DOVER CORP (DOV)	Wash Sale Adj	400	3/16/2009	3/20/2009		(5,618.11)	5,618.11		
EE	DOVER CORP (DOV)	Wash Sale Adj	300	3/20/2009	3/20/2009		(4,222.47)	4,222.47		
FF	DOVER CORP (DOV)	Assign Call	300	3/3/2009	3/20/2009	491.85		491.85		
GG	DOVER CORP (DOV)	Assign Call	400	3/16/2009	4/17/2009	594.94		594.94		
HH	DOVER CORP (DOV)	Assign Call	300	3/20/2009	4/17/2009	446.20		446.20		
II	DOVER CORP (DOV)	Cover Short	1,000	8/26/2009	8/27/2009	1,089.49		1,089.49		
JJ	EMERSON ELEC CO (EMR)	Assign Call	700	12/1/2008	4/17/2009	517.65		517.65		
KK	EMERSON ELEC CO (EMR)	Assign Call	300	12/19/2008	4/17/2009	221.84		221.84		
LL	EMERSON ELEC CO (EMR)	Assign Call	200	2/23/2009	9/15/2009	257.89		257.89		
MM	EMERSON ELEC CO (EMR)	Assign Call	300	2/24/2009	9/18/2009	386.85		386.85		
NN	FEDEX CORP (FDX)	Assign Call	500	1/13/2009	3/20/2009	647.24		647.24		
NN	FEDEX CORP (FDX)	Wash Sale Adj	500	4/17/2009	3/20/2009		(13,254.64)	13,254.64		
OO	FEDEX CORP (FDX)	Assign Call	500	4/17/2009	4/17/2009	392.24		392.24		
OO	FEDEX CORP (FDX)	Wash Sale Adj	500	5/14/2009	4/17/2009		(21,390.74)	21,390.74		
PP	FEDEX CORP (FDX)	Assign Call	500	5/14/2009	10/16/2009	1,092.24		1,092.24		
QQ	GENERAL ELECTRIC CO (GE)	Assign Call	1,000	12/31/2006	3/20/2009	719.49			719.49	LT
QQ	GENERAL ELECTRIC CO (GE)	Wash Sale Adj	1,000	2/20/2009	3/20/2009		(3,549.16)	3,549.16		LT
RR	GENERAL ELECTRIC CO (GE)	Assign Call	800	12/31/2006	4/17/2009	456.93		456.93		LT
SS	GENERAL ELECTRIC CO (GE)	Assign Call	700	2/20/2009	4/17/2009	399.81		399.81		LT
TT	GENERAL ELECTRIC CO (GE)	Assign Call	300	2/20/2009	6/17/2009	299.34		299.34		LT
UU	GENERAL MILLS INC (GIS)	Assign Call	1,000	4/17/2009	7/7/2009	989.49		989.49		
VV	GENERAL MILLS INC (GIS)		500		7/7/2009		27,760.58	(27,760.58)		
VV	GENERAL MILLS INC (GIS)	Wash Sale Adj	500	7/8/2009	7/7/2009		(2,771.23)	2,771.23		
WW	GOODRICH CO (GR)	Assign Call	500	12/29/2008	4/17/2009	442.24		442.24		
XX	GOODRICH CO (GR)	Assign Call	500	12/29/2008	5/15/2009	719.75		719.75		

	Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj S1 gain(\$)	Adj LT gain(\$)
YY	GOODRICH CO (GR)	Assign Call	500	3/9/2009	5/15/2009	719.74		719.74	
ZZ	GOODRICH CO (GR)	Assign Call	500	3/9/2009	5/15/2009	1,742.24		1,742.24	
AAA	HARLEY DAVIDSON INC (HOG)	Assign Call	600	1/13/2009	2/20/2009	413.70		413.70	
BBB	HARLEY DAVIDSON INC (HOG)	Assign Call	100	2/3/2009	2/20/2009	68.95		68.95	
CCC	HARLEY DAVIDSON INC (HOG)	Assign Call	300	2/20/2009	2/20/2009	206.85		206.85	
DDD	HENRY JACK & ASSOC INC (JKHY)	Assign Call	1,500	12/31/2006	6/19/2009	1,336.74			1,336.74 LT
EEE	HOME DEPOT INC (HD)	Assign Call	1,000	8/21/2009	8/21/2009	839.49		839.49	
FFF	HONEYWELL INTL INC (HON)	Assign Call	200	3/6/2009	4/17/2009	123.89		123.89	
GGG	INGERSOLL-RAND CO LTD (IR)	Assign Call	400	11/12/2008	6/19/2009	235.80		235.80	
HHH	INGERSOLL-RAND CO LTD (IR)	Assign Call	600	12/19/2008	6/19/2009	353.69		353.69	
III	INGERSOLL-RAND PLC (IR)	Assign Call	1,000	2/11/2009	7/24/2009	1,439.49		1,439.49	
JJJ	ISHARES RUSSELL 2000 INDEX (IWM)	Assign Call	1,000	3/20/2009	3/20/2009	649.49		649.49	
JJJ	ISHARES RUSSELL 2000 INDEX (IWM)	Wash Sale Adj	1,000	3/20/2009	3/20/2009		(7,331.27)	7,331.27	
KKK	ISHARES RUSSELL 2000 INDEX (IWM)	Assign Call	1,000	3/20/2009	3/20/2009	469.49		469.49	
LLL	MCKESSON INC (MCK)	Assign Call	700	4/21/2009	5/15/2009	696.13		696.13	
MMM	MCKESSON INC (MCK)	Assign Call	300	5/15/2009	5/15/2009	298.34		298.34	
NNN	MICROSOFT CORP (MSFT)	Assign Call	1,000	12/31/2006	4/17/2009	889.49			889.49 LT
OOO	MICROSOFT CORP (MSFT)	Assign Call	1,000	12/31/2006	7/17/2009	729.49			729.49 LT
PPP	NOKIA CORP ADR (NOK)	Assign Call	1,000	1/12/2007	4/17/2009	649.49			649.49 LT
QQQ	NOKIA CORP ADR (NOK)	Assign Call	1,000	1/12/2007	7/17/2009	1,189.49			1,189.49 LT
RRR	ORACLE CORP (ORCL)	Assign Call	500	6/27/2007	6/19/2009	444.75			444.75 LT
SSS	ORACLE CORP (ORCL)	Assign Call	500	10/22/2007	6/19/2009	444.75			444.75 LT
TTT	ROYAL DUTCH SHELL PLC (RDSA)	Assign Call	1,000	12/31/2006	7/17/2009	2,689.48			2,689.48 LT
UUU	SMITH INTERNATIONAL INC (SII)	Assign Call	500	4/6/2009	4/17/2009	492.24		492.24	
VVV	JM SMUCKER CO (SJM)	Assign Call	500	6/4/2008	5/12/2009	267.24		267.24	
WWW	JM SMUCKER CO (SJM)	Assign Call	200	6/4/2008	6/19/2009	296.89			296.89 LT
XXX	JM SMUCKER CO (SJM)	Assign Call	300	12/31/2008	6/19/2009	445.34		445.34	
YYY	TEXTRON INC (TXT)	Assign Call	1,100	12/10/2008	3/20/2009	266.19		266.19	
YYY	TEXTRON INC (TXT)	Assign Call	300	12/17/2008	3/20/2009	72.60		72.60	
YYY	TEXTRON INC (TXT)	Assign Call	500	12/29/2008	3/20/2009	121.00		121.00	
YYY	TEXTRON INC (TXT)	Assign Call	100	1/16/2009	3/20/2009	24.20		24.20	
YYY	TEXTRON INC (TXT)	Wash Sale Adj	1,000	3/11/2009	3/20/2009		(41,220.25)	41,220.25	
YYY	TEXTRON INC (TXT)	Wash Sale Adj	1,000	3/20/2009	3/20/2009		(29,029.86)	29,029.86	
ZZZ	TEXTRON INC (TXT)	Assign Call	1,000	3/11/2009	5/15/2009	939.49		939.49	
ZZZ	TEXTRON INC (TXT)	Assign Call	1,000	3/20/2009	8/21/2009	989.47		989.47	
AAAA	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	200	12/31/1906	2/20/2009	356.90			356.90 LT
BBBB	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	300	12/31/2006	2/20/2009	535.34			535.34 LT
CCCC	THERMO FISHER SCIENTIFIC IN (TMO)	Assign Call	500	12/31/2006	6/19/2009	592.24			592.24 LT
DDDD	UNITED PARCEL SERVICE INC C (UPS)	Assign Call	700	7/17/2009	7/17/2009	936.14		936.14	
EEEE	WALGREEN CO (WAG)	Assign Call	1,000	7/17/2009	10/16/2009	989.47		989.47	
FFFF	WALT DISNEY CO (DIS)	Assign Call	600	12/31/2006	5/14/2009	291.69			291.69 LT
GGGG	WALT DISNEY CO (DIS)	Assign Call	600	12/31/2006	7/17/2009	471.69			471.69 LT
GGGG	WALT DISNEY CO (DIS)	Wash Sale Adj	600	7/17/2009	7/17/2009		(4,704.82)		4,704.82 LT
HHHH	WALT DISNEY CO (DIS)	Assign Call	1,000	7/17/2009	7/17/2009	289.49		289.49	
IIII	WALT DISNEY CO (DIS)	Assign Call	600	7/17/2009	10/16/2009	681.68		681.68	

OPTION TRADING - NOT REPORTED ON 1099-B

US TREASURY BILL 0% 01/15/2 (912795J77)	Sell	300,000	9/9/2008	1/15/2009	300,000.00	298,302.00	1,698.00
ABT Feb 09 Call 57.50 (ABTBY)	Buy to Close	500	12/18/2008	1/12/2009	792.24	127.75	664.49
ABT Mar 09 Call 55.00 (ABTCK)	Buy to Close	500	1/27/2009	3/9/2009	1,042.24	32.75	1,009.49
ABT Apr 09 Call 50.00 (ABTDJ)	Expire Short	500	3/12/2009	4/20/2009	442.24	0.00	442.24
ABT Aug 09 Call 50.00 (ABTHJ)	Buy to Close	1,000	5/4/2009	7/20/2009	539.48	60.50	478.98
ABT Aug 09 Call 55.00 (ABTHK)	Buy to Close	500	3/9/2009	5/1/2009	717.24	57.75	659.49
ABT Aug 09 Call 65.00 (ABTHM)	Buy to Close	500	2/5/2009	3/18/2009	617.24	107.75	509.49
ABT Aug 09 Call 52.50 (ABTHX)	Buy to Close	500	3/12/2009	5/1/2009	817.24	107.75	709.49
ABT Sep 09 Call 47.00 (ABTIB)	Buy to Close	1,000	7/20/2009	9/14/2009	689.48	560.50	128.98
ABT Nov 09 Call 50.00 (ABTKJ)	Buy to Close	1,000	9/14/2009	10/26/2009	589.48	1,910.50	(1,321.02)
ABT Nov 09 Call 55.00 (ABTKK)	Buy to Close	500	6/18/2009	7/2/2009	317.24	132.75	184.49
ABT Nov 09 Call 57.50 (ABTKY)	Buy to Close	500	4/13/2009	4/29/2009	467.23	182.75	284.48
ABT Dec 09 Call 52.50 (ABTLX)	Buy to Close	500	10/26/2009	11/16/2009	542.23	957.75	(415.52)
ABT Dec 09 Call 52.50 (ABTLX)	Short WS Adj	500	10/26/2009	11/16/2009		(415.52)	415.52
ABT Dec 09 Call 52.50 (ABTLX)	Buy to Close	500	10/26/2009	11/19/2009	567.23	1,123.27	(556.04)
ABT Feb 09 Put 47.50 (ABTNW)	Buy to Close	500	12/24/2008	1/22/2009	667.24	232.75	434.49
ABT Feb 09 Put 52.50 (ABTNX)	Expire Short	500	1/23/2009	2/23/2009	842.24	0.00	842.24
ABT Mar 09 Put 52.50 (ABTOX)	Buy to Close	500	2/4/2009	3/9/2009	417.24	3,057.75	(2,640.51)
ABT Aug 09 Put 42.50 (ABTTV)	Expire Short	500	2/11/2009	8/24/2009	642.24	0.00	642.24
ABT Aug 09 Put 47.50 (ABTTW)	Buy to Close	500	3/9/2009	7/21/2009	2,742.23	1,482.75	1,259.48
ABT Nov 09 Put 40.00 (ABTWH)	Buy to Close	500	3/31/2009	6/29/2009	1,217.24	482.75	734.49
ABT Nov 09 Put 40.00 (ABTWH)	Buy to Close	500	7/10/2009	9/21/2009	692.23	132.75	559.48
ABT Nov 09 Put 45.00 (ABTWI)	Expire Short	500	7/21/2009	11/23/2009	1,467.21	0.00	1,467.21
ABT Feb 20 2010 42.0 Put	Buy to Close	500	6/23/2009	10/19/2009	1,392.21	157.75	1,234.46
ABT Feb 20 2010 43.0 Put	Buy to Close	500	7/28/2009	10/19/2009	1,417.21	207.75	1,209.46
ADP Feb 20 2010 37.0 Put	Buy to Close	1,000	10/12/2009	12/28/2009	1,239.46	110.50	1,128.96
ADP Jan 16 2010 36.0 Put	Buy to Close	1,000	10/1/2009	10/29/2009	1,039.47	510.50	528.97
APC Feb 09 Call 40.00 (APCBH)	Buy to Close	500	1/21/2009	2/17/2009	617.24	82.75	534.49
APC Feb 09 Call 45.00 (APCBI)	Expire Short	500	12/26/2008	2/23/2009	542.24	0.00	542.24
APC Feb 09 Call 50.00 (APCBJ)	Buy to Close	500	11/4/2008	1/12/2009	692.24	257.75	434.49
APC Mar 09 Call 40.00 (APCCH)	Buy to Close	500	2/11/2009	3/16/2009	1,417.24	106.92	1,310.32
APC Mar 09 Call 40.00 (APCCH)	Buy to Close	100	2/19/2009	3/16/2009	99.44	21.38	78.06
APC Apr 09 Call 40.00 (APCDH)	Buy to Close	400	3/3/2009	3/25/2009	293.79	1,347.20	(1,053.41)
APC May 09 Call 40.00 (APCEH)	Buy to Close	400	3/25/2009	5/7/2009	1,817.78	3,407.20	(1,589.42)

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
APC May 09 Call 45 00 (APCEI)	Buy to Close	400	2/19/2009	4/20/2009	472.79	467.20	5.59	
APC Feb 09 Put 55 00 (APCNK)	Buy to Close	400	6/24/2008	2/17/2009	732.99	7,127.20	(6,394.21)	
APC May 09 Put 35 00 (APCQG)	Expire Short	500	3/20/2009	5/18/2009	892.24	0.00	892.24	
APC May 09 Put 45 00 (APCQI)	Buy to Close	400	2/17/2009	5/11/2009	3,712.77	87.20	3,625.57	
APC Aug 09 Put 30 00 (APCTF)	Buy to Close	500	4/6/2009	5/8/2009	817.24	127.75	689.49	
APC Aug 09 Put 40 00 (APCTH)	Expire Short	1,000	5/11/2009	8/24/2009	1,839.45	0.00	1,839.45	
APC Nov 09 Put 30 00 (APCWF)	Buy to Close	800	4/15/2009	7/20/2009	2,070.54	329.40	1,741.14	
APC Nov 09 Put 35 00 (APCWG)	Buy to Close	500	5/11/2009	8/14/2009	992.22	182.75	809.47	
APC Nov 09 Put 39 00 (APCWQ)	Buy to Close	800	7/28/2009	8/24/2009	1,110.57	289.40	821.17	
APC Nov 09 Put 43 00 (APCWT)	Buy to Close	500	8/14/2009	9/14/2009	667.23	207.75	459.48	
APC Feb 20 2010 40 0 Put	Buy to Close	500	8/10/2009	10/9/2009	1,017.22	182.75	834.47	
APC Jan 16 2010 45 0 Put	Buy to Close	500	8/12/2009	9/17/2009	1,392.21	282.75	1,109.46	
APC May 22 2010 45 0 Put	Buy to Close	500	10/1/2009	11/16/2009	1,067.22	757.75	309.47	
APC Aug 09 Call 55 00 (AZWHK)	Expire Short	500	4/13/2009	8/24/2009	942.22	0.00	942.22	
APC Nov 09 Call 50 00 (AZWKJ)	Buy to Close	500	7/10/2009	10/7/2009	1,017.22	7,407.75	(6,390.53)	
APC Nov 09 Call 60 00 (AZWKL)	Buy to Close	800	5/1/2009	8/25/2009	1,070.57	1,409.40	(338.83)	
APC Nov 09 Put 50 00 (AZWWJ)	Buy to Close	500	9/8/2009	9/16/2009	1,067.22	257.75	809.47	
APC Nov 09 Put 55 00 (AZWWK)	Expire Short	500	9/21/2009	11/23/2009	842.22	0.00	842.22	
APC Nov 09 Put 48 00 (AZWVV)	Buy to Close	500	8/27/2009	9/16/2009	942.22	182.75	759.47	
AZW Feb 20 2010 65 0 Call	Buy to Close	800	8/25/2009	8/31/2009	1,590.55	1,409.40	181.15	
BA Feb 09 Call 45 00 (BABF)	Expire Short	500	12/16/2008	2/23/2009	1,117.24	0.00	1,117.24	
BA May 09 Call 50 00 (BAEJ)	Buy to Close	500	12/26/2008	3/20/2009	842.24	32.75	809.49	
BA May 09 Call 40 00 (BAEZ)	Buy to Close	500	2/27/2009	5/1/2009	292.24	632.75	(340.51)	
BA Aug 09 Call 60 00 (BAHL)	Buy to Close	1,000	1/26/2009	3/13/2009	1,139.49	110.50	1,028.99	
BA Feb 09 Put 30 00 (BANW)	Expire Short	500	12/17/2008	2/23/2009	517.24	0.00	517.24	
BA May 09 Put 31 00 (BAQB)	Expire Long	500	4/29/2009	5/18/2009		32.75	(32.75)	
BA May 09 Put 30 00 (BAQW)	Expire Short	500	1/21/2009	5/18/2009	742.24	0.00	742.24	
BA Aug 09 Put 45 00 (BATT)	Buy to Close	500	6/16/2009	8/19/2009	892.22	807.75	84.47	
BA Aug 09 Put 37 00 (BATK)	Buy to Close	500	5/14/2009	6/1/2009	742.23	307.75	434.48	
BA Aug 09 Put 25 00 (BATV)	Buy to Close	500	1/29/2009	5/1/2009	519.75	182.75	337.00	
BA Aug 09 Put 25 00 (BATV)	Buy to Close	500	1/29/2009	5/8/2009	519.74	82.75	436.99	
BA Aug 09 Put 30 00 (BATW)	Buy to Close	500	3/27/2009	5/8/2009	892.24	207.75	684.49	
BA Aug 09 Put 35 00 (BATY)	Buy to Close	500	5/7/2009	6/2/2009	592.23	182.75	409.48	
BA Nov 09 Put 37 00 (BAWK)	Buy to Close	500	5/28/2009	6/10/2009	1,142.22	482.75	659.47	
BA Nov 09 Put 44 00 (BAWR)	Buy to Close	500	6/10/2009	9/8/2009	1,319.72	842.75	476.97	
BA Nov 09 Put 44 00 (BAWR)	Expire Short	500	6/10/2009	11/23/2009	1,319.71	0.00	1,319.71	
BA Nov 09 Put 35 00 (BAWY)	Buy to Close	500	5/11/2009	6/5/2009	967.22	382.75	584.47	
BA Feb 20 2010 40 0 Put	Buy to Close	1,000	8/31/2009	10/29/2009	1,989.44	1,210.50	778.94	
BDK Jan 09 Call 40 00 (BDKAH)	Expire Short	500	1/13/2009	1/20/2009	817.24	0.00	817.24	
BDK Jan 09 Call 45 00 (BDKAI)	Expire Short	500	12/16/2008	1/20/2009	892.24	0.00	892.24	
BDK Feb 09 Call 35 00 (BDKBJ)	Expire Short	1,000	1/21/2009	2/23/2009	4,289.47	0.00	4,289.47	
BDK Feb 09 Call 50 00 (BDKBJ)	Buy to Close	1,000	12/30/2008	1/26/2009	789.49	60.50	728.99	
BDK Feb 09 Call 55 00 (BDKKB)	Buy to Close	500	12/17/2008	1/28/2009	392.24	32.75	359.49	
BDK Feb 09 Call 75 00 (BDKBO)	Expire Long	1,000	10/14/2008	2/23/2009		1,230.50	(1,230.50)	
BDK Aug 09 Call 50 00 (BDKHJ)	Buy to Close	500	1/21/2009	3/18/2009	842.24	57.75	784.49	
BDK Jan 09 Put 50 00 (BDKJH)	Buy to Close	500	6/3/2008	1/16/2009	1,090.24	5,707.75	(4,617.51)	
BDK Feb 09 Put 40 00 (BDKNH)	Sell to Close	1,000	7/18/2008	1/21/2009	4,089.47	1,460.50	2,628.97	
BNI Jul 09 Call 80 00 (BNIGP)	Expire Short	1,000	5/18/2009	7/20/2009	789.47	0.00	789.47	
BNI Oct 09 Call 85 00 (BNIUQ)	Buy to Close	1,000	5/28/2009	8/31/2009	1,489.46	2,560.50	(1,071.04)	
BNI Oct 09 Call 90 00 (BNIUR)	Buy to Close	1,000	8/31/2009	9/16/2009	1,039.47	800.50	238.97	
BNI Jun 09 Put 65 00 (BNIRM)	Expire Short	1,000	5/5/2009	6/22/2009	2,039.44	0.00	2,039.44	
BNI Jul 09 Put 60 00 (BNISL)	Buy to Close	500	5/7/2009	6/18/2009	817.22	132.75	684.47	
BNI Jul 09 Put 70 00 (BNISN)	Expire Short	500	6/5/2009	7/20/2009	942.22	0.00	942.22	
BNI Oct 09 Put 55 00 (BNIVK)	Buy to Close	1,000	5/28/2009	6/29/2009	2,389.43	910.50	1,478.93	
BNI Oct 09 Put 65 00 (BNIVM)	Buy to Close	500	6/5/2009	8/24/2009	1,692.20	157.75	1,534.45	
BNI Oct 09 Put 70 00 (BNIVN)	Buy to Close	500	8/10/2009	8/24/2009	592.23	282.75	309.48	
BNI Oct 09 Put 75 00 (BNIVO)	Expire Short	500	8/14/2009	10/19/2009	1,047.22	0.00	1,047.22	
BNI Nov 09 Put 75 00 (BNIVO)	Buy to Close	500	9/23/2009	10/14/2009	692.23	282.75	409.48	
BNI Apr 17 2010 105 0 Call	Buy to Close	500	10/15/2009	10/29/2009	692.23	157.75	534.48	
BNI Jan 16 2010 100 0 Call	Buy to Close	500	8/10/2009	11/9/2009	842.22	77.75	764.47	
BNI Jan 16 2010 65 0 Put	Buy to Close	500	7/21/2009	10/14/2009	1,742.20	252.75	1,489.45	
BNI Jan 16 2010 70 0 Put	Buy to Close	500	7/24/2009	10/14/2009	2,057.19	482.75	1,574.44	
BPA Feb 09 Call 50 00 (BPBJ)	Buy to Close	500	12/26/2008	1/29/2009	567.24	57.75	509.49	
BPA Apr 09 Call 45 00 (BPDJ)	Buy to Close	500	1/26/2009	3/16/2009	1,167.24	157.75	1,009.49	
BTU Jan 09 Call 30 00 (BTUAF)	Expire Short	500	12/16/2008	1/20/2009	667.24	0.00	667.24	
BTU Jan 09 Call 45 00 (BTUAI)	Expire Long	2,000	10/16/2008	1/20/2009		3,416.00	(3,416.00)	
BTU Mar 09 Call 30 00 (BTUCF)	Expire Short	500	2/27/2009	3/23/2009	142.24	0.00	142.24	
BTU Mar 09 Call 35 00 (BTUCG)	Buy to Close	1,000	1/26/2009	2/17/2009	489.49	310.50	178.99	
BTU Mar 09 Call 50 00 (BTUCJ)	Sell to Close	2,000	10/20/2008	1/9/2009	183.99	6,756.00	(6,572.01)	
BTU Mar 09 Call 22 50 (BTUCX)	Buy to Close	1,000	3/3/2009	3/20/2009	1,639.49	4,310.50	(2,671.01)	
BTU Mar 09 Put 35 00 (BTUOG)	Buy to Close	1,000	7/25/2008	1/2/2009	1,339.49	11,510.50	(10,171.01)	
BTU Mar 09 Put 25 00 (BTUOY)	Expire Short	1,000	1/2/2009	3/23/2009	4,389.47	0.00	4,389.47	
BA Aug 09 Call 50 00 (BZDHX)	Buy to Close	500	3/25/2009	7/10/2009	317.24	55.25	261.99	
BA Aug 09 Call 50 00 (BZDHX)	Buy to Close	500	4/2/2009	7/10/2009	322.24	55.25	266.99	
BA Nov 09 Call 55 00 (BZDKC)	Expire Short	500	5/28/2009	11/23/2009	517.23	0.00	517.23	
BA Nov 09 Call 47 00 (BZDKU)	Buy to Close	500	8/24/2009	9/23/2009	1,392.21	3,407.75	(2,015.54)	
BA Dec 09 Call 50 00 (BZDLX)	Buy to Close	500	10/26/2009	10/29/2009	1,042.22	832.75	209.47	
BA Nov 09 Put 47 00 (BZDWU)	Buy to Close	500	9/8/2009	10/29/2009	1,332.21	507.75	824.46	
CAM Feb 09 Call 25 00 (CAMBE)	Expire Short	300	12/8/2008	2/23/2009	368.34	0.00	368.34	
CAM Feb 09 Call 25 00 (CAMBE)	Expire Short	500	12/16/2008	2/23/2009	792.24	0.00	792.24	
CAM Feb 09 Call 30 00 (CAMBF)	Buy to Close	500	11/26/2008	1/5/2009	542.24	182.75	359.49	
CAM Feb 09 Call 22 50 (CAMBX)	Expire Short	500	12/17/2008	2/23/2009	1,417.24	0.00	1,417.24	
CAM May 09 Call 30 00 (CAMEF)	Expire Short	700	1/2/2009	5/18/2009	691.14	0.00	691.14	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
CAM Aug 09 Call 35 00 (CAMHG)	Buy to Close	700	5/26/2009	7/2/2009	1,041.12	148.85	892.27	
CAM Nov 09 Call 35 00 (CAMKG)	Buy to Close	500	7/21/2009	10/26/2009	767.23	3,007.75	(2,240.52)	
CAM Dec 09 Call 40 00 (CAMLH)	Buy to Close	500	10/26/2009	12/4/2009	1,392.21	107.75	1,284.46	
CAM Aug 09 Put 25 00 (CAMTE)	Expire Short	500	6/2/2009	8/24/2009	542.23	0.00	542.23	
CAM Nov 09 Put 15 00 (CAMWC)	Buy to Close	500	4/15/2009	5/18/2009	592.23	182.75	409.48	
CAM Nov 09 Put 20 00 (CAMWD)	Buy to Close	900	7/2/2009	8/24/2009	890.52	99.95	790.57	
CAM Nov 09 Put 20 00 (CAMWD)	Buy to Close	100	7/2/2009	8/24/2009	98.95	10.55	88.40	
CAM Nov 09 Put 25 00 (CAMWE)	Buy to Close	500	8/14/2009	8/31/2009	267.24	157.75	109.49	
CAM Nov 09 Put 30 00 (CAMWF)	Buy to Close	500	8/27/2009	10/6/2009	542.23	132.75	409.48	
CAM Nov 09 Put 35 00 (CAMWG)	Expire Short	500	10/13/2009	11/23/2009	292.24	0.00	292.24	
CAM Jan 16 2010 30 0 Put	Buy to Close	500	9/10/2009	10/12/2009	667.23	257.75	409.48	
CAT Feb 09 Call 50 00 (CATBJ)	Buy to Close	500	11/13/2008	1/12/2009	522.24	222.75	299.49	
CAT May 09 Call 40 00 (CATEH)	Buy to Close	500	1/27/2009	3/1/2009	662.24	77.75	584.49	
CBI Jan 09 Call 10 00 (CBIAB)	Buy to Close	2,000	12/5/2008	1/5/2009	2,383.98	3,316.00	(932.02)	
CBI Jan 09 Put 12 50 (CBIMV)	Buy to Close	2,000	12/18/2008	1/5/2009	4,583.97	3,116.00	1,467.97	
CCL Jul 09 Call 25 00 (CCLGE)	Buy to Close	1,000	3/10/2009	7/17/2009	939.49	1,660.50	(721.01)	
CCL Jul 09 Call 30 00 (CCLGF)	Expire Short	1,000	1/28/2009	7/20/2009	509.49	0.00	509.49	
CCL Oct 09 Call 30 00 (CCLJF)	Buy to Close	1,000	6/23/2009	8/13/2009	1,089.47	2,460.50	(1,371.03)	
CCL Jun 09 Put 20 00 (CCLRD)	Buy to Close	1,000	4/21/2009	6/2/2009	789.47	110.50	678.97	
CCL Jun 09 Put 24 00 (CCLRL)	Expire Short	500	5/7/2009	6/22/2009	497.23	0.00	497.23	
CCL Jul 09 Put 20 00 (CCLSD)	Expire Short	500	4/15/2009	7/20/2009	542.23	0.00	542.23	
CCL Jul 09 Put 25 00 (CCLSE)	Expire Short	1,000	5/7/2009	7/20/2009	1,789.45	0.00	1,789.45	
CCL Jul 09 Put 22 50 (CCLST)	Expire Short	500	5/1/2009	7/20/2009	792.22	0.00	792.22	
CCL Oct 09 Put 20 00 (CCLVD)	Expire Short	1,000	6/30/2009	10/19/2009	849.47	0.00	849.47	
CCL Oct 09 Put 25 00 (CCLVE)	Buy to Close	1,000	8/5/2009	9/16/2009	939.47	210.50	728.97	
CCL Oct 09 Put 21 00 (CCLVO)	Expire Short	1,000	5/5/2009	10/19/2009	1,589.45	0.00	1,589.45	
CCL Oct 09 Put 27 50 (CCLVY)	Buy to Close	500	8/10/2009	9/21/2009	742.23	207.75	534.48	
CCL Nov 09 Put 29 00 (CCLWP)	Buy to Close	1,000	9/23/2009	10/29/2009	739.48	660.50	78.98	
CCL Apr 17 2010 26 0 Put	Buy to Close	1,000	9/30/2009	10/29/2009	1,689.45	1,660.50	28.95	
CCL Apr 17 2010 25 0 Put	Buy to Close	500	11/1/2009	12/16/2009	542.23	232.75	309.48	
CCL Jan 16 2010 22 5 Put	Buy to Close	1,000	8/13/2008	10/29/2009	2,089.48	310.50	1,778.98	
CSX Nov 09 Put 43 00 (CDTWE)	Expire Short	500	8/14/2009	11/23/2009	1,792.20	0.00	1,792.20	
CSX Nov 09 Put 46 00 (CDTWG)	Expire Short	500	9/11/2009	11/23/2009	1,542.21	0.00	1,542.21	
CDT Feb 20 2010 45 0 Call	Buy to Close	500	9/15/2009	11/24/2009	2,897.17	2,457.75	439.42	
CDT May 22 2010 41 0 Put	Buy to Close	500	10/1/2009	12/16/2009	2,692.18	782.75	1,909.43	
CL Nov 09 Put 70 00 (CLWN)	Expire Short	500	7/28/2009	11/23/2009	1,042.22	0.00	1,042.22	
CLX Oct 09 Call 60 00 (CLXJL)	Expire Short	1,000	6/1/2009	10/19/2009	539.48	0.00	539.48	
CLX Sep 09 Put 55 00 (CLXJUK)	Expire Short	500	7/21/2009	9/21/2009	467.23	0.00	467.23	
CLX Oct 09 Put 50 00 (CLXVJ)	Buy to Close	2,000	5/4/2009	7/20/2009	5,258.86	1,116.00	4,142.86	
CLX Jan 16 2010 55 0 Put	Buy to Close	500	6/30/2009	12/1/2009	1,892.20	82.75	1,809.45	
CL Feb 20 2010 85 0 Call	Buy to Close	500	9/30/2009	11/24/2009	417.23	1,672.75	(1,255.52)	
CL Feb 20 2010 70 0 Put	Buy to Close	1,000	7/30/2009	10/12/2009	3,989.39	1,160.50	2,828.89	
CL May 22 2010 75 0 Put	Buy to Close	500	9/21/2009	11/25/2009	2,792.17	957.75	1,834.42	
CL May 22 2010 80 0 Put	Buy to Close	500	11/3/2009	11/25/2009	3,442.16	1,657.75	1,784.41	
CPB Nov 09 Put 25 00 (CPBWE)	Expire Short	1,000	5/18/2009	11/23/2009	1,339.46	0.00	1,339.46	
CSX Jan 09 Call 50 00 (CSXAJ)	Expire Short	1,000	10/23/2008	1/20/2009	2,644.48	0.00	2,644.48	
CSX Jan 09 Call 55 00 (CSXAK)	Expire Long	1,000	10/23/2008	1/20/2009		1,460.50	(1,460.50)	
CSX Feb 09 Call 40 00 (CSXBH)	Buy to Close	1,000	1/9/2009	1/26/2009	789.49	60.50	728.99	
CSX Mar 09 Call 30 00 (CSXCF)	Expire Short	500	1/28/2009	3/23/2009	1,477.24	0.00	1,477.24	
CSX May 09 Call 30 00 (CSXEF)	Expire Short	1,000	3/12/2009	5/18/2009	589.49	0.00	589.49	
CSX May 09 Call 35 00 (CSXEG)	Buy to Close	1,000	2/3/2009	3/13/2009	1,439.49	210.50	1,228.99	
CSX May 09 Call 45 00 (CSXEI)	Buy to Close	1,000	1/2/2009	1/29/2009	989.49	210.50	778.99	
CSX Aug 09 Call 35 00 (CSXHJ)	Buy to Close	500	3/10/2009	8/5/2009	267.24	3,204.42	(2,937.18)	
CSX Aug 09 Call 35 00 (CSXHJ)	Buy to Close	500	3/23/2009	8/5/2009	517.24	3,204.41	(2,687.17)	
CSX Aug 09 Call 35 00 (CSXHJ)	Buy to Close	500	3/27/2009	8/5/2009	692.24	3,204.42	(2,512.18)	
CSX Aug 09 Call 40 00 (CSXHH)	Buy to Close	500	1/27/2009	3/20/2009	672.24	152.75	519.49	
CSX Aug 09 Call 40 00 (CSXHH)	Buy to Close	500	6/1/2009	7/8/2009	467.23	82.75	384.48	
CSX Nov 09 Call 35 00 (CSXKG)	Buy to Close	500	4/1/2009	10/23/2009	967.24	4,207.75	(3,240.51)	
CSX Nov 09 Call 40 00 (CSXKH)	Buy to Close	500	4/2/2009	9/15/2009	892.24	3,857.75	(2,965.51)	
CSX Nov 09 Call 40 00 (CSXKH)	Buy to Close	500	4/9/2009	9/23/2009	944.73	3,107.75	(2,163.02)	
CSX Nov 09 Call 40 00 (CSXKH)	Buy to Close	500	4/9/2009	10/22/2009	944.72	2,707.75	(1,763.03)	
CSX Dec 09 Call 40 00 (CSXLH)	Buy to Close	500	10/22/2009	10/29/2009	2,842.17	2,207.75	634.42	
CSX Feb 09 Put 35 00 (CSXNG)	Buy to Close	500	12/3/2008	1/27/2009	2,494.74	3,057.75	(563.01)	
CSX Feb 09 Put 35 00 (CSXNG)	Buy to Close	500	12/3/2008	1/28/2009	2,494.73	2,107.75	386.98	
CSX Mar 09 Put 35 00 (CSXOG)	Buy to Close	500	1/28/2009	3/9/2009	2,517.23	6,957.75	(4,440.52)	
CSX Aug 09 Put 20 00 (CSXTD)	Buy to Close	500	3/18/2009	6/2/2009	917.24	82.75	834.49	
CSX Aug 09 Put 30 00 (CSXTF)	Buy to Close	500	1/27/2009	4/23/2009	2,842.23	2,002.75	839.48	
CSX Aug 09 Put 30 00 (CSXTF)	Expire Short	1,000	6/10/2009	8/24/2009	1,459.46	0.00	1,459.46	
CSX Aug 09 Put 30 00 (CSXTF)	Expire Short	500	7/2/2009	8/24/2009	592.23	0.00	592.23	
CSX Aug 09 Put 35 00 (CSXTG)	Expire Short	1,000	7/21/2009	8/24/2009	639.48	0.00	639.48	
CSX Aug 09 Put 17 50 (CSXTW)	Buy to Close	500	3/30/2009	4/15/2009	517.24	232.75	284.49	
CSX Aug 09 Put 22 50 (CSXTX)	Buy to Close	1,000	4/21/2009	6/2/2009	1,489.46	360.50	1,128.96	
CSX Nov 09 Put 20 00 (CSXWD)	Buy to Close	1,000	5/28/2009	6/9/2009	989.47	510.50	478.97	
CSX Nov 09 Put 38 00 (CSXWO)	Expire Short	500	8/31/2009	11/23/2009	867.22	0.00	867.22	
CSX Nov 09 Put 17 50 (CSXWW)	Buy to Close	1,000	3/31/2009	5/8/2009	1,539.49	660.50	878.99	
CSX Nov 09 Put 22 50 (CSXWX)	Buy to Close	1,000	4/3/2009	8/17/2009	2,549.48	100.50	2,448.98	
CSX Nov 09 Put 29 00 (CSXWY)	Buy to Close	1,000	6/29/2009	8/5/2009	2,089.44	460.50	1,628.94	
CSX Nov 09 Put 31 00 (CSXWZ)	Buy to Close	500	6/10/2009	8/14/2009	1,592.20	257.75	1,334.45	
CSX Feb 20 2010 35 0 Put	Buy to Close	1,000	8/10/2009	10/29/2009	2,139.44	1,060.50	1,078.94	
CSX Feb 20 2010 40 0 Call	Buy to Close	500	9/23/2009	12/8/2009	3,742.15	4,307.75	(565.60)	
CSX Feb 20 2010 25 0 Put	Buy to Close	1,000	6/30/2009	7/29/2009	1,739.45	710.50	1,028.95	
CSX Feb 20 2010 38 0 Call	Buy to Close	1,500	8/5/2009	12/8/2009	9,781.49	15,943.25	(6,161.76)	
CSX Jan 16 2010 25 0 Put	Buy to Close	500	6/10/2009	8/14/2009	892.22	157.75	734.47	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
CSX Jan 16 2010 40 0 Put	Buy to Close	500	7/24/2009	11/25/2009	2,247.19	207.75	2,039.44	
CVS Jan 09 Call 30 00 (CVSAF)	Expire Short	1,000	12/30/2008	1/20/2009	389.49	0.00	389.49	
CVS Jan 09 Call 27 50 (CVSAY)	Expire Short	1,000	1/13/2009	1/20/2009	189.49	0.00	189.49	
CVS Mar 09 Call 30 00 (CVSCF)	Expire Short	1,000	1/22/2009	3/23/2009	889.49	0.00	889.49	
CVS May 09 Call 30 00 (CVSEF)	Buy to Close	1,000	2/3/2009	4/15/2009	1,239.49	1,008.44	231.05	
CVS May 09 Call 30 00 (CVSEF)	Buy to Close	700	3/16/2009	4/15/2009	453.14	705.91	(252.77)	
CVS May 09 Call 35 00 (CVSEGE)	Buy to Close	500	1/16/2009	3/11/2009	219.75	32.75	187.00	
CVS May 09 Call 35 00 (CVSEGE)	Buy to Close	500	1/16/2009	3/26/2009	219.74	57.75	161.99	
CVS May 09 Call 31 00 (CVSER)	Expire Short	500	4/21/2009	5/18/2009	422.23	0.00	422.23	
CVS May 09 Call 32.50 (CVSEZ)	Buy to Close	1,000	1/22/2009	3/18/2009	939.49	310.50	628.99	
CVS Aug 09 Call 37 50 (CVSHU)	Buy to Close	1,000	4/29/2009	5/28/2009	539.48	110.50	428.98	
CVS Nov 09 Call 35 00 (CVSKG)	Buy to Close	1,000	3/23/2009	9/21/2009	1,089.49	2,210.50	(1,121.01)	
CVS Nov 09 Call 35 00 (CVSKG)	Buy to Close	500	3/25/2009	9/28/2009	792.24	782.75	9.49	
CVS Nov 09 Call 34 00 (CVSKQ)	Buy to Close	500	7/8/2009	9/28/2009	567.23	1,057.75	(490.52)	
CVS Dec 09 Call 32 00 (CVSLK)	Expire Short	1,000	11/9/2009	12/21/2009	689.48	0.00	689.48	
CVS Mar 09 Put 25 00 (CVSOE)	Expire Short	1,000	1/28/2009	3/23/2009	689.49	0.00	689.49	
CVS May 09 Put 20 00 (CVSQD)	Buy to Close	900	1/21/2009	4/13/2009	800.04	54.95	745.09	
CVS May 09 Put 22 50 (CVSQX)	Buy to Close	1,100	1/29/2009	4/2/2009	978.94	230.28	748.66	
CVS May 09 Put 22 50 (CVSQX)	Buy to Close	200	2/11/2009	4/2/2009	173.89	41.87	132.02	
CVS May 09 Put 27 50 (CVSQY)	Expire Short	1,000	4/13/2009	5/18/2009	739.48	0.00	739.48	
CVS Aug 09 Put 17 50 (CVSTT)	Buy to Close	1,000	3/3/2009	4/27/2009	789.49	210.50	578.99	
CVS Aug 09 Put 27 50 (CVSTY)	Buy to Close	1,000	5/26/2009	7/21/2009	1,039.47	110.50	928.97	
CVS Oct 09 Put 36 00 (CVSVM)	Expire Short	500	8/31/2009	10/19/2009	497.23	0.00	497.23	
CVS Nov 09 Put 29 00 (CVSWW)	Buy to Close	1,000	6/30/2009	8/24/2009	1,349.46	260.50	1,088.96	
CVS Nov 09 Put 22 50 (CVSWX)	Buy to Close	1,000	3/26/2009	6/23/2009	1,589.49	408.83	1,180.66	
CVS Nov 09 Put 22 50 (CVSWX)	Buy to Close	500	4/7/2009	6/23/2009	767.24	204.42	562.82	
CVS Nov 09 Put 27 50 (CVSWY)	Buy to Close	500	7/2/2009	8/24/2009	517.23	82.75	434.48	
CCL Oct 09 Call 35 00 (CXJLI)	Expire Short	1,000	8/24/2009	10/19/2009	789.47	0.00	789.47	
CXJ Jan 16 2010 35 0 Call	Buy to Close	1,000	7/20/2009	10/29/2009	939.47	660.50	278.97	
CAT Mar 09 Call 37 00 (CXJCK)	Buy to Close	500	2/5/2009	2/17/2009	272.24	97.75	174.49	
CAT Mar 09 Call 39 00 (CXJCM)	Buy to Close	500	1/28/2009	2/3/2009	292.24	117.75	174.49	
CAT May 09 Call 30 00 (CXJED)	Buy to Close	500	3/3/2009	5/7/2009	267.24	4,007.75	(3,740.51)	
CAT Feb 09 Put 30 00 (CXJND)	Buy to Close	500	12/18/2008	1/5/2009	417.24	142.75	274.49	
CAT Feb 09 Put 37 00 (CXJNK)	Buy to Close	500	1/5/2009	1/27/2009	447.24	2,232.75	(1,785.51)	
CAT May 09 Put 35 00 (CXJQI)	Expire Short	500	1/27/2009	5/18/2009	2,592.23	0.00	2,592.23	
CAT Aug 09 Put 32 00 (CXJTF)	Expire Short	500	4/30/2009	8/24/2009	1,372.21	0.00	1,372.21	
CAT Nov 09 Put 35 00 (CXJWI)	Buy to Close	400	8/13/2009	9/16/2009	401.78	111.20	290.58	
CAT Nov 09 Put 35 00 (CXJWI)	Buy to Close	100	8/13/2009	9/16/2009	100.45	30.55	69.90	
CAT Nov 09 Put 26 00 (CXJWU)	Buy to Close	1,000	5/12/2009	8/5/2009	1,589.45	270.50	1,318.95	
CXJ Feb 20 2010 31 0 Put	Buy to Close	500	7/28/2009	9/28/2009	917.22	307.75	609.47	
CXJ May 22 2010 40 0 Put	Buy to Close	1,000	11/12/2009	12/2/2009	1,399.46	1,080.50	318.96	
CSCO Jan 09 Call 19 00 (CYQAA)	Expire Short	1,000	11/17/2008	1/20/2009	799.49	0.00	799.49	
CSCO Jan 09 Call 20 00 (CYQAD)	Expire Short	1,000	12/8/2008	1/20/2009	349.49	0.00	349.49	
CSCO Jan 09 Call 17 50 (CYQAW)	Expire Short	1,000	12/3/2008	1/20/2009	779.49	0.00	779.49	
CSCO Feb 09 Call 16 00 (CYQBH)	Expire Short	1,000	2/4/2009	2/23/2009	669.49	0.00	669.49	
CSCO Mar 09 Call 18 00 (CYQCU)	Expire Short	1,000	1/26/2009	3/23/2009	539.49	0.00	539.49	
CSCO Apr 09 Call 20 00 (CYQDD)	Buy to Close	1,000	12/16/2008	2/17/2009	989.49	90.50	898.99	
CYQ Jan 16 2010 17 5 Put	Buy to Close	1,000	8/17/2009	12/23/2009	699.48	30.50	668.98	
DE Mar 09 Call 35 00 (DECG)	Expire Short	1,000	1/27/2009	3/23/2009	4,095.57	0.00	4,095.57	
DE Mar 09 Call 40 00 (DECH)	Expire Short	1,000	12/3/2008	3/23/2009	2,889.48	0.00	2,889.48	
DE Mar 09 Call 45 00 (DECI)	Buy to Close	500	12/17/2008	1/29/2009	1,442.24	382.75	1,059.49	
DE Mar 09 Call 50 00 (DECI)	Buy to Close	1,000	12/16/2008	1/27/2009	1,189.49	260.50	928.99	
DE Jun 09 Call 35 00 (DEFG)	Buy to Close	1,000	3/9/2009	5/28/2009	1,289.49	7,410.50	(6,121.01)	
DE Jun 09 Call 40 00 (DEFH)	Buy to Close	1,000	3/12/2009	4/23/2009	1,039.49	3,010.50	(1,971.01)	
DE Jun 09 Call 50 00 (DEFI)	Buy to Close	1,000	1/26/2009	3/11/2009	1,789.48	210.50	1,578.98	
DE Sep 09 Call 44 00 (DEIR)	Buy to Close	1,000	4/23/2009	7/2/2009	3,299.41	1,560.50	1,738.91	
DE Mar 09 Put 30 00 (DEOF)	Buy to Close	200	2/11/2009	3/3/2009	133.89	946.10	(812.21)	
DE Jun 09 Put 30 00 (DERF)	Expire Short	1,000	4/20/2009	6/22/2009	1,259.46	0.00	1,259.46	
DE Jun 09 Put 35 00 (DERG)	Buy to Close	500	4/23/2009	5/8/2009	1,242.21	332.75	909.46	
DE Jun 09 Put 42 00 (DERP)	Buy to Close	1,000	6/10/2009	6/19/2009	489.48	1,410.50	(921.02)	
DE Jun 09 Put 22 50 (DERT)	Buy to Close	1,000	1/13/2009	5/8/2009	1,089.49	60.50	1,028.99	
DE Jul 09 Put 35 00 (DESG)	Expire Short	1,000	5/28/2009	7/20/2009	989.47	0.00	989.47	
DE Sep 09 Put 25 00 (DEUE)	Buy to Close	200	3/3/2009	4/22/2009	973.89	233.10	740.79	
DE Sep 09 Put 25 00 (DEUE)	Buy to Close	300	4/7/2009	4/22/2009	608.34	349.65	258.69	
DE Sep 09 Put 40 00 (DEUH)	Expire Short	500	5/7/2009	9/21/2009	2,092.19	0.00	2,092.19	
DE Sep 09 Put 36 00 (DEUI)	Expire Short	1,000	6/16/2009	9/21/2009	1,889.45	0.00	1,889.45	
DE Sep 09 Put 44 00 (DEUR)	Expire Short	1,000	8/10/2009	9/21/2009	2,289.44	0.00	2,289.44	
DE Sep 09 Put 22 50 (DEUT)	Buy to Close	500	2/9/2009	4/29/2009	667.24	307.75	359.49	
DE Sep 09 Put 31 00 (DEUW)	Buy to Close	500	3/27/2009	6/29/2009	1,992.23	307.75	1,684.48	
DE Sep 09 Put 32 00 (DEUX)	Buy to Close	1,000	4/23/2009	6/2/2009	3,089.42	860.50	2,228.92	
DE Dec 09 Put 30 00 (DEXF)	Buy to Close	500	5/28/2009	9/15/2009	1,017.22	182.75	834.47	
DE Dec 09 Put 35 00 (DEXG)	Buy to Close	500	5/7/2009	11/16/2009	1,857.20	54.42	1,802.78	
DE Dec 09 Put 35 00 (DEXG)	Buy to Close	1,000	5/26/2009	11/16/2009	3,299.41	108.83	3,190.58	
DE Jan 16 2010 35 0 Put	Buy to Close	500	7/24/2009	10/29/2009	1,292.21	207.75	1,084.46	
DE Mar 20 2010 30 0 Put	Buy to Close	1,000	7/28/2009	11/11/2009	1,889.45	360.50	1,528.95	
DE Sep 09 Call 45 00 (DGVII)	Buy to Close	1,000	3/23/2009	8/27/2009	1,539.49	1,560.50	(21.01)	
DE Sep 09 Call 50 00 (DGVII)	Buy to Close	1,000	4/9/2009	6/30/2009	1,539.46	510.50	1,028.96	
DE Sep 09 Call 60 00 (DGVIL)	Buy to Close	500	2/5/2009	4/20/2009	717.24	207.75	509.49	
DE Dec 09 Call 50 00 (DGVLI)	Buy to Close	1,000	7/21/2009	10/29/2009	1,139.47	1,860.50	(721.03)	
DE Dec 09 Call 46 00 (DGVLT)	Buy to Close	500	10/14/2009	10/23/2009	817.22	2,107.75	(1,290.53)	
DE Dec 09 Put 45 00 (DGVXI)	Expire Short	500	8/5/2009	12/21/2009	2,442.18	0.00	2,442.18	
DGV Jun 19 2010 65 0 Call	Buy to Close	500	10/26/2009	10/29/2009	642.23	482.75	159.48	
DGV Mar 20 2010 49 0 Call	Buy to Close	500	10/23/2009	12/1/2009	2,047.19	3,802.75	(1,755.56)	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
DIS Jan 09 Call 27 50 (DISAY)	Expire Short	600	12/8/2008	1/20/2009	351 69	0 00	351 69	
DIS Apr 09 Call 30 00 (DISDF)	Buy to Close	600	10/20/2008	1/29/2009	951 69	68 30	883 39	
DIS Apr 09 Call 32 50 (DISDZ)	Buy to Close	1,000	10/14/2008	1/9/2009	1,414 49	160 50	1,253 99	
DIS Jul 09 Call 25 00 (DISGE)	Buy to Close	600	2/6/2009	6/10/2009	261 69	698 30	(436 61)	
DIS Jul 09 Call 27 50 (DISGY)	Buy to Close	600	12/24/2008	2/19/2009	831 69	65 18	766 51	
DIS Jul 09 Call 27 50 (DISGY)	Buy to Close	1,000	1/21/2009	2/19/2009	789 49	108 62	680 87	
DIS Apr 09 Put 27 50 (DISPY)	Buy to Close	1,000	9/15/2008	1/13/2009	1,089 49	6,810 50	(5,721 01)	
DIS Oct 09 Put 21 00 (DISVK)	Expire Short	1,000	5/26/2009	10/19/2009	1,089 47	0 00	1,089 47	
IWM Feb 09 Call 61 00 (DIWBI)	Buy to Close	1,000	12/10/2008	2/10/2009	919 49	20 50	898 99	
IWM Feb 09 Put 62 00 (DIWNI)	Buy to Close	1,000	8/13/2008	1/27/2009	1,839 48	16,380 50	(14,541 02)	
DOV Jan 09 Call 35 00 (DOVAG)	Buy to Close	700	11/28/2008	1/9/2009	517 64	183 85	333 79	
DOV Jan 09 Call 35 00 (DOVAG)	Expire Short	300	11/28/2008	1/20/2009	221 85	0 00	221 85	
DOV Mar 09 Call 25 00 (DOVCE)	Buy to Close	700	3/11/2009	3/20/2009	237 64	113 85	123 79	
DOV Mar 09 Call 30 00 (DOVCF)	Expire Short	500	1/27/2009	3/23/2009	1,192 24	0 00	1,192 24	
DOV Mar 09 Call 30 00 (DOVCF)	Expire Short	500	2/3/2009	3/23/2009	592 24	0 00	592 24	
DOV Mar 09 Call 35 00 (DOVCG)	Expire Short	1,000	1/21/2009	3/23/2009	739 49	0 00	739 49	
DOV Mar 09 Call 40 00 (DOVCH)	Buy to Close	1,000	10/29/2008	2/18/2009	1,014 49	60 50	953 99	
DOV Mar 09 Call 45 00 (DOVCI)	Expire Long	1,000	10/29/2008	3/23/2009		430 50	(430 50)	
DOV Jun 09 Call 35 00 (DOVFG)	Buy to Close	500	4/9/2009	6/10/2009	519 74	582 75	(63 01)	
DOV Jun 09 Call 35 00 (DOVFG)	Expire Short	500	4/9/2009	6/22/2009	519 73	0 00	519 73	
DOV Dec 09 Call 35 00 (DOVLG)	Buy to Close	500	8/27/2009	10/23/2009	1,317 21	2,257 75	(940 54)	
DOV Jun 09 Put 25 00 (DOVRE)	Expire Short	1,000	4/16/2009	6/22/2009	689 48	0 00	689 48	
DOV Jun 09 Put 35 00 (DOVRG)	Buy to Close	500	6/5/2009	6/19/2009	417 23	782 75	(365 52)	
DOV Sep 09 Put 25 00 (DOVUE)	Buy to Close	1,000	4/13/2009	6/29/2009	1,589 45	410 50	1,178 95	
DOV Sep 09 Put 30 00 (DOVUF)	Expire Short	1,000	5/1/2009	9/21/2009	3,389 41	0 00	3,389 41	
DOV Sep 09 Put 35 00 (DOVUG)	Expire Short	500	6/8/2009	9/21/2009	1,542 21	0 00	1,542 21	
DOV Sep 09 Put 17 50 (DOVUW)	Buy to Close	1,000	3/13/2009	6/29/2009	1,139 49	110 50	1,028 99	
DOV Dec 09 Put 25 00 (DOVXE)	Buy to Close	500	5/28/2009	10/12/2009	867 22	57 75	809 47	
DOV Dec 09 Put 30 00 (DOVXF)	Buy to Close	500	5/11/2009	10/15/2009	1,542 21	82 75	1,459 46	
DOV Dec 09 Put 35 00 (DOVXG)	Expire Short	500	6/8/2009	12/21/2009	2,092 19	0 00	2,092 19	
DOV Dec 09 Put 22 50 (DOVXX)	Buy to Close	1,000	4/27/2009	10/12/2009	1,589 45	110 50	1,478 95	
DOV Mar 20 2010 40 0 Call	Buy to Close	500	8/27/2009	12/30/2009	717 23	1,642 75	(925 52)	
DOV Mar 20 2010 30 0 Put	Buy to Close	500	7/21/2009	10/26/2009	1,142 22	232 75	909 47	
EMR Jan 09 Call 40 00 (EMRAH)	Expire Short	1,000	11/10/2008	1/20/2009	1,289 49	0 00	1,289 49	
EMR Mar 09 Call 35 00 (EMRCG)	Expire Short	500	2/3/2009	3/23/2009	642 24	0 00	642 24	
EMR Mar 09 Call 40 00 (EMRCH)	Buy to Close	1,000	12/17/2008	2/13/2009	2,089 48	110 50	1,978 98	
EMR Mar 09 Call 45 00 (EMRCI)	Buy to Close	1,000	11/28/2008	2/11/2009	1,389 49	60 50	1,328 99	
EMR May 09 Call 35 00 (EMREG)	Buy to Close	500	4/2/2009	5/12/2009	392 24	257 75	134 49	
EMR Jun 09 Call 35 00 (EMRFG)	Expire Short	1,000	3/3/2009	6/22/2009	489 49	0 00	489 49	
EMR Jun 09 Call 35 00 (EMRFG)	Expire Short	1,000	4/1/2009	6/22/2009	739 49	0 00	739 49	
EMR Jun 09 Call 40 00 (EMRFH)	Buy to Close	1,000	1/26/2009	3/11/2009	1,639 49	210 50	1,428 99	
EMR Sep 09 Call 35 00 (EMRJG)	Buy to Close	500	3/16/2009	7/23/2009	592 24	1,055 25	(463 01)	
EMR Sep 09 Call 35 00 (EMRJG)	Buy to Close	500	3/18/2009	7/23/2009	644 75	1,055 25	(410 50)	
EMR Sep 09 Call 40 00 (EMRIH)	Buy to Close	500	1/28/2009	6/30/2009	1,342 24	79 42	1,262 82	
EMR Sep 09 Call 40 00 (EMRIH)	Buy to Close	1,000	4/15/2009	6/30/2009	889 47	158 83	730 64	
EMR Dec 09 Call 40 00 (EMRLH)	Buy to Close	1,000	6/16/2009	12/3/2009	1,339 46	2,500 50	(1,161 04)	
EMR Dec 09 Call 38 00 (EMRLU)	Buy to Close	500	7/23/2009	9/30/2009	944 73	1,457 75	(513 02)	
EMR Dec 09 Call 38 00 (EMRLU)	Buy to Close	500	7/23/2009	10/22/2009	944 72	1,357 75	(413 03)	
EMR Jan 09 Put 40 00 (EMRMH)	Buy to Close	1,000	4/8/2008	1/9/2009	1,436 49	4,010 50	(2,574 01)	
EMR Jun 09 Put 25 00 (EMRRE)	Expire Short	1,000	4/6/2009	6/22/2009	939 49	0 00	939 49	
EMR Jun 09 Put 35 00 (EMRRG)	Buy to Close	1,000	1/9/2009	6/18/2009	4,289 47	1,960 50	2,328 97	
EMR Sep 09 Put 27 00 (EMRUA)	Buy to Close	1,000	4/13/2009	7/24/2009	2,239 44	110 50	2,128 94	
EMR Nov 09 Put 39 00 (EMRWY)	Expire Short	500	9/21/2009	11/23/2009	767 23	0 00	767 23	
EMR Dec 09 Put 30 00 (EMRXF)	Buy to Close	500	5/12/2009	10/12/2009	1,242 21	82 75	1,159 46	
EMR Dec 09 Put 33 00 (EMRXQ)	Expire Short	500	5/11/2009	12/21/2009	1,792 20	0 00	1,792 20	
EMR Dec 09 Put 33 00 (EMRXQ)	Expire Short	1,000	6/18/2009	12/21/2009	3,889 39	0 00	3,889 39	
EMR Jan 16 2010 40 0 Call	Sell to Close	1,000	7/24/2008	11/9/2009	2,989 42	13,410 50	(10,421 08) LT	
EMR Mar 20 2010 29 0 Put	Buy to Close	1,000	7/20/2009	12/1/2009	1,989 44	160 50	1,828 94	
EXC Oct 09 Put 45 00 (EXCVI)	Expire Short	500	8/20/2009	10/19/2009	442 23	0 00	442 23	
FDX Jan 09 Call 65 00 (FDXAM)	Expire Short	1,000	12/30/2008	1/20/2009	1,249 49	0 00	1,249 49	
FDX Apr 09 Call 70 00 (FDXDN)	Buy to Close	1,000	1/26/2009	2/17/2009	939 49	260 50	678 99	
FDX Apr 09 Call 85 00 (FDXDQ)	Buy to Close	100	11/3/2008	1/26/2009	203 45	10 55	192 90	
FDX Apr 09 Call 85 00 (FDXDQ)	Buy to Close	900	11/3/2008	2/4/2009	1,831 03	53 16	1,777 87	
FDX Apr 09 Call 85 00 (FDXDQ)	Buy to Close	500	1/2/2009	2/4/2009	442 24	29 54	412 70	
FDX Apr 09 Call 90 00 (FDXDR)	Expire Long	1,000	11/3/2008	4/20/2009		1,300 50	(1,300 50)	
FDX Apr 09 Call 60 00 (FDXDU)	Buy to Close	500	2/5/2009	3/13/2009	892 24	57 75	834 49	
FDX Apr 09 Call 55 00 (FDXDV)	Buy to Close	500	3/4/2009	3/16/2009	242 24	132 75	109 49	
FDX May 09 Call 55 00 (FDXEV)	Expire Short	500	3/25/2009	5/18/2009	192 24	0 00	192 24	
FDX Jul 09 Call 65 00 (FDXGM)	Buy to Close	500	2/6/2009	3/13/2009	1,492 24	132 75	1,359 49	
FDX Jul 09 Call 50 00 (FDXGW)	Buy to Close	500	3/10/2009	7/17/2009	592 24	5,557 75	(4,965 51)	
FDX Oct 09 Call 70 00 (FDXJN)	Buy to Close	1,000	4/9/2009	9/21/2009	1,549 45	6,610 50	(5,061 05)	
FDX Oct 09 Call 60 00 (FDXJU)	Buy to Close	500	3/12/2009	8/31/2009	492 24	5,007 75	(4,515 51)	
FDX Apr 09 Put 50 00 (FDXPW)	Buy to Close	1,000	1/5/2009	3/3/2009	2,189 48	10,210 50	(8,021 02)	
FDX May 09 Put 40 00 (FDXQC)	Expire Short	500	3/27/2009	5/18/2009	792 24	0 00	792 24	
FDX May 09 Put 50 00 (FDXQW)	Expire Short	500	4/27/2009	5/18/2009	772 22	0 00	772 22	
FDX Jul 09 Put 60 00 (FDXSU)	Expire Short	500	12/26/2008	7/20/2009	4,442 22	0 00	4,442 22	
FDX Jul 09 Put 50 00 (FDXSW)	Expire Short	500	5/7/2009	7/20/2009	967 22	0 00	967 22	
FDX Aug 09 Put 65 00 (FDXTM)	Expire Short	500	8/5/2009	8/24/2009	642 23	0 00	642 23	
FDX Sep 09 Put 65 00 (FDXUM)	Buy to Close	500	8/27/2009	9/16/2009	692 23	32 75	659 48	
FDX Oct 09 Put 40 00 (FDXVC)	Buy to Close	1,000	4/27/2009	6/2/2009	2,589 43	1,160 50	1,428 93	
FDX Oct 09 Put 45 00 (FDXVI)	Buy to Close	1,000	3/3/2009	7/23/2009	9,589 44	460 50	9,128 94	
FDX Oct 09 Put 60 00 (FDXVU)	Expire Short	500	7/24/2009	10/19/2009	1,532 21	0 00	1,532 21	
FDX Oct 09 Put 50 00 (FDXVW)	Buy to Close	500	5/7/2009	7/23/2009	1,942 19	507 75	1,434 44	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
FDX Oct 09 Put 30 00 (FDXVZ)	Buy to Close	1,000	4/6/2009	4/16/2009	1,549 49	1,110 50	438 99	
FDX Nov 09 Put 70 00 (FDXWN)	Expire Short	500	9/21/2009	11/23/2009	1,067 22	0 00	1,067 22	
FDX Dec 09 Put 70 00 (FDXXN)	Buy to Close	500	10/19/2009	11/11/2009	567 23	332 75	234 48	
FDX Dec 09 Put 75 00 (FDXXO)	Expire Short	500	11/19/2009	12/21/2009	617 23	0 00	617 23	
FDX Apr 17 2010 70 0 Put	Buy to Close	500	9/21/2009	12/7/2009	2,742 17	857 75	1,884 42	
FDX Apr 17 2010 75 0 Put	Buy to Close	500	10/22/2009	12/4/2009	2,942 17	1,507 75	1,434 42	
FDX Jan 16 2010 65 0 Call	Buy to Close	500	8/31/2009	12/1/2009	4,242.14	10,417 75	(6,175 61)	
FDX Jan 16 2010 75 0 Call	Buy to Close	500	9/21/2009	12/1/2009	3,092.17	5,817 75	(2,725 58)	
FDX Jan 16 2010 55 0 Put	Buy to Close	500	7/28/2009	10/7/2009	1,592.20	380 25	1,211 95	
FDX Jan 16 2010 55 0 Put	Buy to Close	500	8/10/2009	10/7/2009	1,342 21	380 25	961 96	
FLR Jan 09 Call 47 50 (FLRAC)	Expire Short	1,000	1/13/2009	1/20/2009	639 49	0 00	639 49	
FLR Jan 09 Call 52 50 (FLRAD)	Expire Short	1,000	1/2/2009	1/20/2009	589 49	0 00	589 49	
FLR Jan 09 Call 50 00 (FLRAJ)	Expire Short	1,000	1/9/2009	1/20/2009	989 49	0 00	989 49	
FLR Mar 09 Call 45 00 (FLRCI)	Buy to Close	1,000	2/11/2009	3/16/2009	2,539 48	60 50	2,478 98	
FLR Apr 09 Call 40 00 (FLRDH)	Buy to Close	500	3/4/2009	4/17/2009	492 24	657 75	(165 51)	
FLR Apr 09 Call 50 00 (FLRDI)	Buy to Close	1,000	2/5/2009	3/11/2009	1,589 49	310 50	1,278 99	
FLR Apr 09 Call 60 00 (FLRDL)	Buy to Close	1,000	1/16/2009	2/11/2009	1,539 49	510 50	1,028 99	
FLR Jul 09 Call 50 00 (FLRGJ)	Buy to Close	500	3/11/2009	7/2/2009	967 24	630.25	336 99	
FLR Jul 09 Call 50 00 (FLRGJ)	Buy to Close	500	3/31/2009	7/2/2009	492 24	630 25	(138 01)	
FLR Jan 09 Put 50 00 (FLRMJ)	Buy to Close	1,000	8/12/2008	1/16/2009	1,989 48	6,810 50	(4,821 02)	
FLR Apr 09 Put 45 00 (FLRPI)	Buy to Close	1,000	1/16/2009	3/31/2009	7,589 45	10,010 50	(2,421 05)	
FLR Jul 09 Put 45 00 (FLRSI)	Expire Short	1,000	3/31/2009	7/20/2009	11,689 43	0 00	11,689 43	
FLR Oct 09 Put 40 00 (FLRVH)	Buy to Close	500	6/2/2009	7/16/2009	1,092 22	807 75	284 47	
FLR Jan 16 2010 40 0 Put	Buy to Close	500	6/10/2009	7/16/2009	1,442 21	1,507 75	(65 54)	
GE Mar 09 Call 32 00 (GEECT)	Buy to Close	1,000	9/8/2008	1/9/2009	889 49	40 50	848 99	
GE Feb 09 Call 18 00 (GEWBO)	Expire Short	800	1/2/2009	2/23/2009	422 59	0 00	422 59	
GE Mar 09 Call 17 50 (GEWCK)	Expire Short	1,000	12/24/2008	3/23/2009	1,059 49	0 00	1,059 49	
GE Mar 09 Call 17 50 (GEWCK)	Expire Short	1,000	1/9/2009	3/23/2009	928 89	0 00	928 89	
GE Mar 09 Call 14 00 (GEWCN)	Expire Short	1,000	1/26/2009	3/23/2009	569 49	0 00	569 49	
GE Mar 09 Call 14 00 (GEWCN)	Expire Short	1,000	2/9/2009	3/23/2009	489 49	0 00	489 49	
GIS Jan 09 Call 65 00 (GISAM)	Expire Short	1,000	12/16/2008	1/20/2009	1,039 49	0 00	1,039 49	
GIS Jan 09 Call 80 00 (GISAP)	Expire Long	1,000	10/29/2008	1/20/2009		790 50	(790 50)	
GIS Apr 09 Call 50 00 (GISDJ)	Expire Short	500	3/23/2009	4/20/2009	517 24	0 00	517 24	
GIS Apr 09 Call 55 00 (GISDK)	Buy to Close	1,000	3/12/2009	3/18/2009	739 49	160 50	578 99	
GIS Apr 09 Call 65 00 (GISDM)	Buy to Close	1,000	12/17/2008	2/17/2009	2,789 48	210 50	2,578 98	
GIS Apr 09 Call 70 00 (GISDN)	Buy to Close	400	9/3/2008	2/17/2009	1,152 79	23 63	1,129 16	
GIS Apr 09 Call 70 00 (GISDN)	Buy to Close	1,000	10/14/2008	2/17/2009	3,638 87	59 07	3,579 80	
GIS Apr 09 Call 75 00 (GISDO)	Expire Long	900	10/14/2008	4/20/2009		1,890 45	(1,890 45)	
GIS Jul 09 Call 55 00 (GISGK)	Buy to Close	1,000	3/10/2009	7/2/2009	1,549 49	3,710 50	(2,161 01)	
GIS Jul 09 Call 70 00 (GISGN)	Buy to Close	1,000	1/12/2009	3/13/2009	939 49	110 50	828 99	
GIS Oct 09 Call 65 00 (GISJM)	Buy to Close	1,000	7/20/2009	9/15/2009	439 48	160 50	278 98	
GIS Jan 09 Put 65 00 (GISMM)	Buy to Close	1,000	6/2/2008	1/16/2009	5,086 47	4,710 50	375 97	
GIS Jan 09 Put 70 00 (GISMN)	Buy to Close	1,000	8/12/2008	1/16/2009	5,091 97	9,750 50	(4,658 53)	
GIS Apr 09 Put 60 00 (GISPL)	Buy to Close	1,000	8/19/2008	2/24/2009	2,139 48	5,610 50	(3,471 02)	
GIS Jul 09 Put 55 00 (GISSK)	Expire Short	1,000	6/30/2009	7/20/2009	1,089 47	0 00	1,089 47	
GIS Sep 09 Put 60 00 (GISUL)	Expire Short	1,000	9/14/2009	9/21/2009	389 48	0 00	389 48	
GIS Oct 09 Put 50 00 (GISVJ)	Buy to Close	1,000	6/16/2009	9/16/2009	1,839 45	60 50	1,778 95	
GIS Oct 09 Put 55 00 (GISVK)	Expire Short	1,000	2/24/2009	10/19/2009	5,089 47	0 00	5,089 47	
GIS Nov 09 Put 65 00 (GISWM)	Expire Short	1,000	10/9/2009	11/23/2009	1,839 45	0 00	1,839 45	
GIS Dec 09 Put 65 00 (GISXM)	Expire Short	500	10/26/2009	12/21/2009	917 22	0 00	917 22	
GIS Apr 17 2010 65 0 Call	Buy to Close	500	10/26/2009	12/8/2009	1,642 20	2,547 75	(905 55)	
GIS Apr 17 2010 60 0 Put	Buy to Close	500	8/24/2009	11/16/2009	2,542 18	607 75	1,934 43	
GIS Apr 17 2010 65 0 Put	Buy to Close	1,000	9/30/2009	12/23/2009	5,289 36	1,130 50	4,158 86	
GIS Jan 16 2010 60 0 Call	Buy to Close	500	6/2/2009	10/26/2009	544 74	2,957 75	(2,413 01)	
GIS Jan 16 2010 60 0 Call	Buy to Close	500	6/2/2009	12/1/2009	544 73	4,405 25	(3,860 52)	
GIS Jan 16 2010 60 0 Call	Buy to Close	500	7/2/2009	12/1/2009	1,319 72	4,405 25	(3,085 53)	
GIS Jan 16 2010 55 0 Put	Buy to Close	1,000	7/21/2009	9/30/2009	2,539 43	610 50	1,928 93	
GIS Jan 16 2010 65 0 Put	Buy to Close	1,000	10/1/2009	12/23/2009	3,889 39	110 50	3,778 89	
GIS Jul 17 2010 60 0 Put	Buy to Close	1,000	12/1/2009	12/30/2009	1,544 46	860 50	683 96	
GR Jan 09 Call 40 00 (GRAH)	Expire Short	500	11/28/2008	1/20/2009	392.24	0 00	392 24	
GR Feb 09 Call 40 00 (GRBH)	Expire Short	1,000	10/16/2008	2/23/2009	1,589 49	0 00	1,589 49	
GR May 09 Call 45 00 (GREI)	Expire Short	1,000	10/16/2008	5/18/2009	1,389 49	0 00	1,389 49	
GR Aug 09 Call 45 00 (GRHI)	Buy to Close	500	2/20/2009	7/21/2009	1,192 24	4,357 75	(3,165 51)	
GR Nov 09 Call 50 00 (GRKJ)	Buy to Close	500	7/21/2009	10/15/2009	3,192 16	3,357 75	(165 59)	
GR Feb 09 Put 40 00 (GRNH)	Buy to Close	500	6/26/2008	2/20/2009	916 24	1,182 75	(266 51)	
GR Aug 09 Put 35 00 (GRTG)	Buy to Close	500	2/20/2009	5/26/2009	2,092 23	357 75	1,734 48	
GR Aug 09 Put 35 00 (GRTG)	Buy to Close	500	4/27/2009	6/2/2009	692 23	157 75	534 48	
GR Aug 09 Put 45 00 (GRTI)	Expire Short	500	5/28/2009	8/24/2009	1,467 21	0 00	1,467 21	
GR Aug 09 Put 50 00 (GRTJ)	Expire Short	500	6/8/2009	8/24/2009	1,242 21	0 00	1,242 21	
GR Nov 09 Put 35 00 (GRWG)	Buy to Close	500	5/28/2009	8/14/2009	737 23	107 75	629 48	
GR Nov 09 Put 40 00 (GRWH)	Buy to Close	500	6/16/2009	9/16/2009	867 22	107 75	759 47	
GR Nov 09 Put 50 00 (GRWJ)	Expire Short	500	8/5/2009	11/23/2009	1,267 21	0 00	1,267 21	
GR Nov 09 Put 55 00 (GRWK)	Expire Short	500	8/31/2009	11/23/2009	1,842 20	0 00	1,842 20	
GR Feb 20 2010 45 0 Put	Buy to Close	500	7/21/2009	11/16/2009	1,392 21	207 75	1,184 46	
GR Feb 20 2010 60 0 Put	Buy to Close	500	11/11/2009	12/21/2009	2,392 18	682 75	1,709 43	
GWV Jan 16 2010 85 0 Put	Buy to Close	500	10/14/2009	11/11/2009	1,492 21	382 75	1,109 46	
HD Feb 09 Call 30 00 (HDBF)	Expire Short	1,000	11/18/2008	2/23/2009	521 99	0 00	521 99	
HD Feb 09 Put 17.50 (HDNW)	Expire Short	2,000	6/26/2008	2/23/2009	1,239 99	0 00	1,239 99	
HD Jun 09 Put 22 00 (HDRV)	Expire Short	1,000	4/23/2009	6/22/2009	749 48	0 00	749 48	
HD Aug 09 Put 15 00 (HDTG)	Buy to Close	1,000	2/9/2009	4/13/2009	739 49	350 50	388 99	
HD Aug 09 Put 20 00 (HDTD)	Expire Short	1,000	4/13/2009	8/24/2009	999 47	0 00	999 47	
HD Aug 09 Put 25 00 (HDTG)	Expire Short	500	4/27/2009	8/24/2009	1,092 22	0 00	1,092 22	
HD Aug 09 Put 22 50 (HDTX)	Expire Short	1,000	4/16/2009	8/24/2009	1,569 45	0 00	1,569 45	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
HD Nov 09 Put 17 50 (HDWW)	Buy to Close	1,000	5/28/2009	8/21/2009	869 47	100 50	768 97	
HD Nov 09 Put 22 50 (HDWX)	Expire Short	1,000	5/28/2009	11/23/2009	2,549 43	0 00	2,549 43	
HD Feb 20 2010 24 0 Put	Buy to Close	1,000	10/15/2009	12/28/2009	839 47	110 50	728 97	
HON Mar 09 Call 35 00 (HONCG)	Expire Short	1,100	11/18/2008	3/23/2009	1,311 19	0 00	1,311 19	
HON Mar 09 Call 27 50 (HONCT)	Buy to Close	800	3/4/2009	3/18/2009	271 59	769 40	(497 81)	
HON Mar 09 Call 27 50 (HONCT)	Expire Short	200	3/4/2009	3/23/2009	67 90	0 00	67 90	
HON Jun 09 Call 30 00 (HONFF)	Buy to Close	800	3/18/2009	6/8/2009	1,350 59	4,249 40	(2,898 81)	
HON Dec 09 Call 34 00 (HONLM)	Buy to Close	800	6/18/2009	10/26/2009	2,318 54	3,209 40	(890 86)	
HON Mar 09 Put 40 00 (HONOH)	Buy to Close	800	8/4/2008	3/9/2009	1,271.59	13,049 40	(11,777 81)	
HON Sep 09 Put 35 00 (HONUG)	Expire Short	800	3/9/2009	9/21/2009	9,910 54	0 00	9,910 54	
HON Nov 09 Put 37 00 (HONWY)	Expire Short	800	9/23/2009	11/23/2009	830 57	0 00	830 57	
HON Dec 09 Put 30.00 (HONXF)	Buy to Close	800	6/2/2009	9/17/2009	1,590 55	209 40	1,381 15	
HON Mar 20 2010 30 0 Put	Buy to Close	500	8/14/2009	9/17/2009	842 22	355 88	486 34	
HON Mar 20 2010 30 0 Put	Buy to Close	300	8/18/2009	9/17/2009	548 33	213 52	334 81	
HON Sep 09 Call 39 00 (HQNIM)	Buy to Close	800	6/8/2009	6/30/2009	990 57	129 40	861 17	
IWM Mar 09 Call 55 00 (IQXCC)	Expire Short	500	1/22/2009	4/1/2009	267 24	0 00	267 24	
IR Jan 09 Call 17 50 (IRAS)	Buy to Close	1,000	12/17/2008	1/13/2009	639 49	790 50	(151 01)	
IR Feb 09 Call 17 50 (IRBS)	Expire Short	1,000	1/13/2009	2/23/2009	1,739 49	0 00	1,739 49	
IR Mar 09 Call 20 00 (IRCD)	Expire Short	1,000	12/16/2008	3/23/2009	789 49	0 00	789 49	
IR Apr 09 Call 17 50 (IRDS)	Expire Short	1,000	2/24/2009	4/20/2009	639 49	0 00	639 49	
IR Jun 09 Put 12 50 (IRRR)	Buy to Close	1,900	4/7/2009	4/23/2009	1,447 54	395 45	1,052 09	
IR Sep 09 Put 15 00 (IRUC)	Expire Short	1,000	5/12/2009	9/21/2009	839 47	0 00	839 47	
IR Dec 09 Put 15 00 (IRXC)	Expire Short	1,000	7/2/2009	12/21/2009	689 48	0 00	689 48	
IR Mar 20 2010 17 5 Put	Buy to Close	1,000	7/21/2009	12/8/2009	1,179 46	110 50	1,068 96	
IWM Mar 09 Call 52 00 (IWM CZ)	Expire Short	500	2/6/2009	3/23/2009	317 24	0 00	317 24	
NSC Dec 09 Put 46 00 (JBCXF)	Expire Short	500	9/14/2009	12/21/2009	1,392 21	0 00	1,392 21	
HD Aug 09 Call 30 00 (JGLHF)	Expire Short	1,000	2/9/2009	8/24/2009	769 49	0 00	769 49	
JKHY Jan 09 Call 20 00 (JKQAD)	Expire Short	1,500	12/16/2008	1/20/2009	886 74	0 00	886 74	
JKHY Feb 09 Call 20 00 (JKQBD)	Expire Short	1,500	1/16/2009	2/23/2009	886 74	0 00	886 74	
HOG Feb 09 Call 12 50 (JOZBV)	Expire Short	1,000	1/27/2009	2/23/2009	1,039 49	0 00	1,039 49	
K Mar 09 Call 45 00 (KCI)	Buy to Close	900	12/24/2008	2/17/2009	1,205 04	144 45	1,060 59	
K Mar 09 Call 45 00 (KCI)	Buy to Close	100	2/3/2009	2/17/2009	119 44	16 05	103 39	
K Mar 09 Call 50 00 (KCI)	Buy to Close	1,000	12/16/2008	2/3/2009	989 49	160 50	828 99	
K Jun 09 Call 40 00 (KFH)	Buy to Close	1,000	3/23/2009	5/12/2009	1,089 49	3,610 50	(2,521 01)	
K Jun 09 Call 45 00 (KFI)	Buy to Close	1,000	2/13/2009	3/20/2009	1,339 49	210 50	1,128 99	
K Jun 09 Call 50 00 (KFJ)	Buy to Close	1,000	1/28/2009	3/16/2009	1,189 49	60 50	1,128 99	
KFT Mar 20 2010 25 0 Put	Buy to Close	1,000	11/9/2009	12/28/2009	989 47	310 50	678 97	
K Sep 09 Call 40 00 (KIH)	Buy to Close	1,000	5/12/2009	8/27/2009	4,489 38	7,010 50	(2,521 12)	
K Sep 09 Call 45 00 (KII)	Buy to Close	1,000	2/11/2009	8/18/2009	2,089 48	1,558 00	531 48	
K Sep 09 Call 45 00 (KII)	Buy to Close	1,000	3/3/2009	8/18/2009	1,039 49	1,558 00	(518 51)	
K Sep 09 Call 50 00 (KIJ)	Buy to Close	100	2/24/2009	3/16/2009	49 44	30 55	18 89	
K Dec 09 Call 50 00 (KLJ)	Buy to Close	1,000	8/31/2009	11/16/2009	739 48	3,610 50	(2,871 02)	
KMB Jan 09 Call 70 00 (KMBAN)	Expire Long	1,000	10/16/2008	1/20/2009		1,110 50	(1,110 50)	
EMR Sep 09 Put 22 50 (KMLUX)	Expire Short	500	1/29/2009	9/21/2009	692 24	0 00	692 24	
K Mar 09 Put 45 00 (KOI)	Buy to Close	1,000	11/28/2008	2/24/2009	5,389 46	5,890 50	(501 04)	
K Jun 09 Put 40 00 (KRH)	Expire Short	1,000	1/26/2009	6/22/2009	1,989 48	0 00	1,989 48	
K Jul 09 Put 45 00 (KSI)	Expire Short	1,000	6/23/2009	7/20/2009	889 47	0 00	889 47	
K Sep 09 Put 35 00 (KUG)	Buy to Close	1,000	2/9/2009	6/2/2009	1,489 49	260 50	1,228 99	
K Sep 09 Put 40 00 (KUH)	Buy to Close	1,000	2/17/2009	2/17/2009	4,289 47	4,210 50	78 97	
K Sep 09 Put 40 00 (KUH)	Buy to Close	1,000	2/24/2009	8/21/2009	4,389 47	58 00	4,331 47	
K Sep 09 Put 40 00 (KUH)	Buy to Close	1,000	6/16/2009	8/21/2009	989 47	58 00	931 47	
K Sep 09 Put 45 00 (KUI)	Expire Short	1,000	5/7/2009	9/21/2009	4,489 38	0 00	4,489 38	
K Dec 09 Put 50 00 (KXJ)	Expire Short	1,000	7/2/2009	12/21/2009	5,089 36	0 00	5,089 36	
K Jan 16 2010 50 0 Put	Buy to Close	1,000	7/24/2009	12/1/2009	4,469 38	360 50	4,108 88	
K Mar 20 2010 45 0 Put	Buy to Close	500	7/21/2009	11/16/2009	1,442 21	182 75	1,259 46	
LOW Jan 09 Call 22 50 (LOWAX)	Expire Short	1,000	11/18/2008	1/20/2009	1,089 49	0 00	1,089 49	
LOW Jan 09 Put 20 00 (LOWMD)	Expire Short	2,000	5/30/2008	1/20/2009	2,078 98	0 00	2,078 98	
MCK May 09 Call 40 00 (MCKEH)	Buy to Close	500	3/25/2009	4/27/2009	617 24	207 75	409 49	
MCK May 09 Call 42 50 (MCKET)	Buy to Close	1,000	11/3/2008	4/13/2009	2,794 48	158 00	2,636 48	
MCK May 09 Call 42 50 (MCKET)	Buy to Close	1,000	3/23/2009	4/13/2009	589 49	158 00	431 49	
MCK May 09 Call 37 50 (MCKEU)	Buy to Close	700	4/13/2009	5/12/2009	696 13	2,131 50	(1,435 37)	
MCK May 09 Call 37 50 (MCKEU)	Buy to Close	300	4/13/2009	5/12/2009	298 34	921 00	(622 66)	
MCK May 09 Call 47 50 (MCKEW)	Sell to Close	1,000	11/3/2008	3/16/2009	189 49	1,710 50	(1,521 01)	
MCK May 09 Call 52 50 (MCKEX)	Buy to Close	1,000	1/29/2009	3/23/2009	1,089 49	60 50	1,028 99	
MCK Aug 09 Call 45 00 (MCKHI)	Buy to Close	1,000	4/2/2009	8/5/2009	939 49	8,410 50	(7,471 01)	
MCK Nov 09 Call 45 00 (MCKKI)	Buy to Close	1,000	4/16/2009	10/26/2009	1,489 46	15,710 50	(14,221 04)	
MCK May 09 Put 37 50 (MCKQU)	Expire Short	1,000	1/28/2009	5/18/2009	1,289 49	0 00	1,289 49	
MCK Aug 09 Put 35 00 (MCKTG)	Expire Short	1,000	5/19/2009	8/24/2009	1,239 46	0 00	1,239 46	
MCK Aug 09 Put 37 50 (MCKTU)	Expire Short	1,000	6/10/2009	8/24/2009	1,039 47	0 00	1,039 47	
MCK Oct 09 Put 57 50 (MCKVY)	Expire Short	500	9/10/2009	10/19/2009	1,117 22	0 00	1,117 22	
MCK Oct 09 Put 57 50 (MCKVY)	Expire Short	500	9/14/2009	10/19/2009	817 22	0 00	817 22	
MCK Nov 09 Put 27 50 (MCKWA)	Expire Short	1,000	3/31/2009	11/23/2009	2,199 48	0 00	2,199 48	
MCK Nov 09 Put 32.50 (MCKWB)	Buy to Close	100	5/26/2009	8/21/2009	128 95	10 55	118 40	
MCK Nov 09 Put 32.50 (MCKWB)	Expire Short	900	5/26/2009	11/23/2009	1,160.51	0 00	1,160 51	
MCK Nov 09 Put 35 00 (MCKWG)	Expire Long	200	8/18/2009	11/23/2009		26 10	(26 10)	
MCK Nov 09 Put 35 00 (MCKWG)	Expire Long	1,700	8/27/2009	11/23/2009		184 35	(184 35)	
MCK Nov 09 Put 47 50 (MCKWW)	Buy to Close	1,000	7/30/2009	8/18/2009	1,839 45	1,210 50	628 95	
MCK Nov 09 Put 52 50 (MCKWX)	Expire Short	500	8/18/2009	11/23/2009	1,367.21	0 00	1,367.21	
MCK Nov 09 Put 57 50 (MCKWY)	Expire Short	1,000	8/31/2009	11/23/2009	3,689 40	0 00	3,689 40	
MCK Dec 09 Put 60 00 (MCKXL)	Expire Short	500	10/19/2009	12/21/2009	1,042.22	0 00	1,042.22	
MCK Feb 20 2010 50 0 Call	Buy to Close	1,000	8/5/2009	12/30/2009	6,389.33	13,430 50	(7,041 17)	
MCK Feb 20 2010 45 0 Put	Buy to Close	1,000	7/21/2009	10/7/2009	4,012 38	610 50	3,401 88	
MCK Feb 20 2010 50 0 Put	Buy to Close	1,000	8/5/2009	12/1/2009	3,289 41	310 50	2,978 91	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
MMM Apr 09 Call 70 00 (MMMDN)	Buy to Close	200	1/2/2009	2/12/2009	149 90	16 10	133 80	
MMM Apr 09 Call 70 00 (MMMDN)	Buy to Close	800	1/2/2009	2/17/2009	599 59	49 40	550 19	
MMM Jul 09 Call 55 00 (MMMGK)	Buy to Close	500	2/24/2009	7/16/2009	849.75	3,807 75	(2,958 00)	
MMM Jul 09 Call 65 00 (MMMGGM)	Buy to Close	1,000	2/6/2009	2/17/2009	789 49	360 50	428 99	
MMM Jul 09 Call 70 00 (MMMGGN)	Buy to Close	1,000	1/28/2009	3/9/2009	539 49	60 50	478 99	
MMM Oct 09 Call 60 00 (MMMML)	Buy to Close	500	3/23/2009	8/31/2009	767 24	5,957 75	(5,190 51)	
MMM Apr 09 Put 45 00 (MMMMP)	Buy to Close	1,000	1/5/2009	3/9/2009	1,039 49	4,400.50	(3,361 01)	
MMM Jul 09 Put 40 00 (MMMMSH)	Buy to Close	1,000	1/13/2009	4/23/2009	1,439 49	610 50	828 99	
MMM Jul 09 Put 45 00 (MMMMSI)	Buy to Close	500	4/6/2009	6/10/2009	1,117 24	52 75	1,064 49	
MMM Jul 09 Put 55 00 (MMMMSK)	Expire Short	500	5/1/2009	7/20/2009	1,292 21	0 00	1,292 21	
MMM Jul 09 Put 60 00 (MMMMSL)	Expire Short	500	6/8/2009	7/20/2009	1,292 21	0 00	1,292.21	
MMM Sep 09 Put 60 00 (MMMML)	Buy to Close	500	7/21/2009	8/21/2009	617 23	47 75	569 48	
MMM Oct 09 Put 40 00 (MMMVMH)	Buy to Close	1,000	3/9/2009	4/30/2009	4,989 47	860 50	4,128 97	
MMM Oct 09 Put 50 00 (MMMVMJ)	Buy to Close	1,000	5/28/2009	7/16/2009	2,289 44	460 50	1,828 94	
MMM Oct 09 Put 60 00 (MMMVL)	Buy to Close	500	6/2/2009	8/21/2009	2,242 19	157 75	2,084 44	
MMM Oct 09 Put 70 00 (MMMVMN)	Expire Short	500	8/18/2009	10/19/2009	1,692 20	0 00	1,692 20	
MMM Nov 09 Put 75 00 (MMMWO)	Expire Short	500	9/23/2009	11/23/2009	1,642 20	0 00	1,642 20	
MMM Apr 17 2010 65 0 Call	Buy to Close	500	8/31/2009	11/16/2009	4,842 12	6,857 75	(2,015 63)	
MMM Apr 17 2010 75 0 Call	Buy to Close	500	10/26/2009	12/11/2009	2,692 18	3,955 25	(1,263 07)	
MMM Apr 17 2010 75 0 Call	Buy to Close	500	11/16/2009	12/11/2009	2,992 17	3,955 25	(963 08)	
MMM Apr 17 2010 80 0 Put	Buy to Close	100	11/11/2009	12/31/2009	654 43	256 55	397 88	
MMM Apr 17 2010 80 0 Put	Buy to Close	400	11/25/2009	12/31/2009	2,392 73	1,026 20	1,366 53	
MMM Jan 16 2010 60 0 Call	Buy to Close	500	7/16/2009	10/26/2009	2,942 17	8,407 75	(5,465 58)	
MMM Jan 16 2010 50 0 Put	Buy to Close	1,000	6/16/2009	9/15/2009	2,539 43	210 50	2,328 93	
MMM Jan 16 2010 60 0 Put	Buy to Close	500	7/2/2009	9/15/2009	2,692.18	407 75	2,284 43	
MMM Jan 16 2010 65 0 Put	Buy to Close	500	7/16/2009	9/15/2009	3,292 16	782 75	2,509 41	
MMM Jan 16 2010 70 0 Put	Buy to Close	500	7/24/2009	12/7/2009	2,792 17	205 25	2,586 92	
MMM Jan 16 2010 70 0 Put	Buy to Close	500	11/6/2009	12/7/2009	762 23	205 25	556 98	
MSFT Feb 09 Call 19 00 (MQFBS)	Buy to Close	1,000	2/5/2009	2/10/2009	559 49	580 50	(21 01)	
MSFT Feb 09 Call 21 00 (MQFBU)	Buy to Close	1,000	12/30/2008	2/10/2009	759 49	80 50	678 99	
MSFT Mar 09 Call 19 00 (MQFCS)	Expire Short	1,000	1/28/2009	3/23/2009	639 49	0 00	639 49	
MSFT Apr 09 Call 22 00 (MSQDN)	Buy to Close	1,000	12/16/2008	2/10/2009	1,689 49	380 50	1,308 99	
NUE Jan 09 Put 30 00 (NLVMF)	Expire Short	1,000	9/15/2008	1/20/2009	1,004 49	0 00	1,004 49	
NLV Jan 16 2010 35 0 Put	Buy to Close	1,000	10/6/2009	12/3/2009	939 47	260 50	678 97	
NOK A Feb 09 Call 17 00 (NOKBH)	Expire Short	1,000	1/5/2009	2/23/2009	739 49	0 00	739 49	
NOK A Apr 09 Call 27 00 (NOKDA)	Expire Short	1,000	9/19/2008	4/20/2009	839 49	0 00	839 49	
NOK A Apr 09 Call 15 00 (NOKDC)	Expire Short	1,000	1/28/2009	4/20/2009	439 49	0 00	439 49	
NOK A Jul 09 Call 14 00 (NOKGN)	Expire Short	700	3/12/2009	7/20/2009	271 14	0 00	271 14	
NOK A Oct 09 Call 14 00 (NOKJN)	Expire Short	700	9/3/2009	10/19/2009	481 13	0 00	481 13	
NSC Mar 09 Call 45 00 (NSCCI)	Buy to Close	1,000	2/3/2009	2/10/2009	489 49	610 50	(121 01)	
NSC Mar 09 Call 60 00 (NSCCL)	Buy to Close	1,000	12/17/2008	2/3/2009	1,589 49	60 50	1,528 99	
NSC Jun 09 Call 35 00 (NSCFG)	Buy to Close	500	3/12/2009	4/23/2009	842 24	2,197 75	(1,355 51)	
NSC Sep 09 Call 40 00 (NSCIH)	Buy to Close	500	2/24/2009	9/3/2009	1,492 24	3,457 75	(1,965 51)	
NSC Sep 09 Call 45 00 (NSCIJ)	Buy to Close	500	2/11/2009	4/30/2009	1,592 24	532 75	1,059 49	
NSC Sep 09 Call 38 00 (NSCIU)	Buy to Close	500	4/23/2009	8/27/2009	2,147 19	4,407 75	(2,260 56)	
NSC Mar 09 Put 50 00 (NSCOJ)	Buy to Close	1,000	7/23/2008	2/10/2009	1,739 49	11,110 50	(9,371 01)	
NSC Jun 09 Put 22 50 (NSCRX)	Buy to Close	500	3/16/2009	3/23/2009	467 24	382 75	84 49	
NSC Sep 09 Put 45 00 (NSCUJ)	Expire Short	1,000	2/10/2009	9/21/2009	9,789 44	0 00	9,789 44	
NSC Sep 09 Put 22 50 (NSCUX)	Buy to Close	1,000	3/26/2009	4/23/2009	1,319 49	610 50	708 99	
NSC Mar 20 2010 39 0 Put	Buy to Close	500	9/15/2009	11/16/2009	992 22	357 75	634 47	
NSC Mar 20 2010 45 0 Call	Buy to Close	500	9/3/2009	12/3/2009	3,042.17	3,962 75	(920 58)	
PCAR Jan 09 Call 30 00 (PAQAF)	Expire Short	1,000	11/3/2008	1/20/2009	3,094 48	0 00	3,094 48	
PCAR Jan 09 Call 35 00 (PAQAG)	Expire Long	1,000	11/3/2008	1/20/2009		1,460 50	(1,460 50)	
PCAR May 09 Call 30 00 (PAQEF)	Buy to Close	700	3/11/2009	4/23/2009	516 14	2,808 85	(2,292 71)	
PCAR May 09 Call 35 00 (PAQEG)	Buy to Close	1,000	2/3/2009	5/7/2009	789 49	610 50	178 99	
PCAR Aug 09 Call 35 00 (PAQHG)	Buy to Close	700	4/23/2009	6/10/2009	2,511 08	1,898 85	612 23	
PCAR Aug 09 Call 45 00 (PAQHI)	Buy to Close	800	2/13/2009	6/23/2009	630 59	89 40	541 19	
PCAR Jan 09 Put 30 00 (PAQMF)	Buy to Close	1,000	2/28/2008	1/16/2009	2,032 48	3,110 50	(1,078 02)	
PCAR May 09 Put 25 00 (PAQQE)	Expire Short	1,000	1/16/2009	5/18/2009	3,644 47	0 00	3,644 47	
PCAR Aug 09 Put 25 00 (PAQTE)	Expire Short	1,000	5/1/2009	8/24/2009	1,439 46	0 00	1,439 46	
PCAR Aug 09 Put 17 50 (PAQTW)	Expire Short	1,000	2/10/2009	8/24/2009	1,189 49	0 00	1,189 49	
PCAR Nov 09 Put 25 00 (PAQWE)	Expire Short	1,000	5/11/2009	11/23/2009	2,609 43	0 00	2,609 43	
PG Apr 09 Call 70 00 (PGDN)	Buy to Close	2,000	1/2/2009	2/10/2009	2,283 98	116 00	2,167 98	
PG Apr 09 Call 67 50 (PGDU)	Expire Long	2,000	2/6/2009	4/20/2009		116 00	(116 00)	
PG Apr 09 Call 57 50 (PGDY)	Buy to Close	1,000	2/13/2009	3/13/2009	539 49	60 50	478 99	
PG Jul 09 Call 72 50 (PGGV)	Buy to Close	1,000	12/10/2008	3/9/2009	2,639 48	60 50	2,578 98	
PG Jul 09 Call 57 50 (PGGY)	Expire Short	1,000	2/24/2009	7/20/2009	1,119 49	0 00	1,119 49	
PG Jul 09 Call 62 50 (PGGZ)	Buy to Close	1,000	2/6/2009	3/9/2009	1,039 49	160 50	878 99	
PG Oct 09 Call 57 50 (PGJY)	Buy to Close	1,000	4/16/2009	9/30/2009	1,289 46	1,010 50	278 96	
PG Nov 09 Call 60 00 (PGKL)	Buy to Close	1,000	9/30/2009	11/16/2009	739 48	2,460 50	(1,721.02)	
PG Jan 09 Put 55 00 (PGMK)	Expire Short	1,000	11/28/2008	1/20/2009	1,739 49	0 00	1,739 49	
PG Apr 09 Put 45 00 (PGPI)	Expire Short	2,000	12/9/2008	4/20/2009	3,883 97	0 00	3,883 97	
PG Jun 09 Put 45 00 (PGRJ)	Buy to Close	1,000	4/23/2009	5/28/2009	939 47	110 50	828 97	
PG Jul 09 Put 45 00 (PGSI)	Expire Short	1,000	12/10/2008	7/20/2009	3,289 48	0 00	3,289 48	
PG Oct 09 Put 40 00 (PGVH)	Buy to Close	1,000	3/31/2009	8/21/2009	2,189 48	60 50	2,128 98	
PG Oct 09 Put 45 00 (PGVI)	Expire Short	1,000	4/6/2009	10/19/2009	3,089 48	0 00	3,089 48	
PG Oct 09 Put 50 00 (PGVJ)	Buy to Close	1,000	6/10/2009	9/16/2009	2,439 43	160 50	2,278 93	
PG Oct 09 Put 55 00 (PGVK)	Expire Short	1,000	7/28/2009	10/19/2009	2,139 44	0 00	2,139 44	
PG Oct 09 Put 47 50 (PGVW)	Expire Short	1,000	5/8/2009	10/19/2009	2,489 43	0 00	2,489 43	
PG Nov 09 Put 55 00 (PGWK)	Expire Short	1,000	9/23/2009	11/23/2009	1,189 46	0 00	1,189 46	
PG Jan 16 2010 45 0 Put	Buy to Close	1,000	5/27/2009	11/16/2009	2,039 44	60 50	1,978 94	
PG Jan 16 2010 52 5 Put	Buy to Close	1,000	7/21/2009	11/16/2009	2,739 42	180 50	2,558 92	
PCAR Nov 09 Call 45 00 (QARKJ)	Expire Short	500	4/2/2009	11/23/2009	517 24	0 00	517 24	

Description	Trans type	Qty	Open date	Close date	Adj proceeds	Adj cost	Adj ST gain(\$)	Adj LT gain(\$)
QZK Feb 20 2010 38 0 Call	Buy to Close	500	9/28/2009	11/12/2009	592 23	57 75	534 48	
QZK May 22 2010 38 0 Call	Buy to Close	1,000	9/21/2009	11/11/2009	2,389 43	460 50	1,928 93	
QZK May 22 2010 40 0 Call	Buy to Close	500	9/28/2009	12/3/2009	642 23	107 75	534 48	
SII Apr 09 Call 37 50 (SIIDU)	Buy to Close	1,000	12/10/2008	2/11/2009	939 49	110 50	828 99	
SII Apr 09 Call 27 50 (SIIDY)	Expire Short	600	2/24/2009	4/20/2009	291 69	0 00	291 69	
SII Jul 09 Call 30 00 (SIIGF)	Expire Short	600	4/15/2009	7/20/2009	831 67	0 00	831 67	
SII Jul 09 Put 20 00 (SIISD)	Expire Short	600	4/23/2009	7/20/2009	651 68	0 00	651 68	
SII Oct 09 Put 22 50 (SIIVX)	Expire Short	500	5/19/2009	10/19/2009	942 22	0 00	942 22	
SJM Jan 09 Call 45 00 (SJMAI)	Expire Short	700	12/23/2008	1/20/2009	341 14	0 00	341 14	
SJM Apr 09 Call 50 00 (SJMDJ)	Expire Short	1,000	1/2/2009	4/20/2009	889 49	0 00	889 49	
SJM Jul 09 Call 50 00 (SJMGJ)	Expire Short	500	1/27/2009	7/20/2009	867 24	0 00	867 24	
SJM Oct 09 Call 55 00 (SJMJK)	Expire Short	500	7/28/2009	10/19/2009	392 23	0 00	392 23	
SJM Jan 09 Put 45 00 (SJMMI)	Buy to Close	2,000	5/21/2008	1/16/2009	2,778 98	2,716 00	62 98	
SJM Oct 09 Put 40 00 (SJMVF)	Buy to Close	1,000	6/10/2009	8/21/2009	1,789 45	60 50	1,728 95	
SJM Apr 17 2010 50 0 Put	Buy to Close	500	9/15/2009	12/1/2009	1,542 21	357 75	1,184 46	
SJM Jan 16 2010 55 0 Call	Buy to Close	500	11/11/2009	12/3/2009	842 22	2,507 75	(1,665 53)	
SJM Jan 16 2010 50 0 Put	Buy to Close	1,000	7/30/2009	12/3/2009	3,589 40	110 50	3,478 90	
SLB Feb 09 Call 50 00 (SLBBJ)	Expire Short	1,000	12/23/2008	2/23/2009	1,089 49	0 00	1,089 49	
SLB May 09 Call 45 00 (SLBEI)	Buy to Close	1,000	2/24/2009	5/14/2009	1,839 48	8,580 50	(6,741 02)	
SLB Feb 09 Put 50 00 (SLBNJ)	Buy to Close	1,000	12/23/2008	2/10/2009	11,789 43	5,710 50	6,078 93	
SLB May 09 Put 45 00 (SLBQI)	Expire Short	1,000	2/10/2009	5/18/2009	5,389 46	0 00	5,389 46	
SLB Aug 09 Put 45 00 (SLBTT)	Expire Short	1,000	5/14/2009	8/24/2009	2,459 43	0 00	2,459 43	
SLB Nov 09 Put 40 00 (SLBWH)	Buy to Close	500	5/28/2009	9/17/2009	944 73	82 75	861 98	
SLB Nov 09 Put 40 00 (SLBWH)	Expire Short	500	5/28/2009	11/23/2009	944 72	0 00	944 72	
TMO Mar 09 Call 45 00 (TMOCI)	Expire Short	500	11/13/2008	3/23/2009	642 24	0 00	642 24	
TXT Jan 09 Call 17 50 (TXYAW)	Expire Short	1,000	12/16/2008	1/20/2009	639 49	0 00	639 49	
TXT Feb 09 Call 17 50 (TXYBW)	Expire Short	1,000	1/2/2009	2/23/2009	739 49	0 00	739 49	
TXT Mar 09 Call 10 00 (TXYCB)	Expire Short	1,000	2/6/2009	3/23/2009	399 49	0 00	399 49	
TXT Mar 09 Call 20 00 (TXYCD)	Expire Short	1,000	12/16/2008	3/23/2009	939 49	0 00	939 49	
TXT Jun 09 Call 10 00 (TXYFB)	Expire Short	1,000	2/24/2009	6/22/2009	639 49	0 00	639 49	
UPS Jul 09 Call 50 00 (UPSGI)	Buy to Close	1,000	2/3/2009	6/16/2009	1,889 48	1,710 50	178 98	
UPS Jul 09 Call 55 00 (UPSGK)	Buy to Close	700	2/3/2009	6/10/2009	621 14	603 85	17 29	
UPS Oct 09 Call 55 00 (UPSJK)	Buy to Close	1,000	6/18/2009	8/31/2009	1,439 46	1,260 50	178 96	
UPS Jan 09 Put 55 00 (UPSMK)	Buy to Close	1,000	6/18/2008	1/14/2009	1,787 48	6,610 50	(4,823 02)	
UPS Jan 09 Put 60 00 (UPSML)	Buy to Close	700	5/15/2008	1/16/2009	1,493 74	8,835 85	(7,342 11)	
UPS Jul 09 Put 50 00 (UPSSJ)	Buy to Close	1,000	1/14/2009	6/25/2009	7,089 46	2,610 50	4,478 96	
UPS Oct 09 Put 45 00 (UPSVT)	Expire Short	500	4/6/2009	10/19/2009	1,692 24	0 00	1,692 24	
UPS Oct 09 Put 45 00 (UPSVT)	Expire Short	1,000	6/25/2009	10/19/2009	2,489 43	0 00	2,489 43	
UPS Oct 09 Put 50 00 (UPSVI)	Expire Short	500	5/7/2009	10/19/2009	1,642 20	0 00	1,642 20	
UTX Feb 20 2010 50 0 Put	Buy to Close	500	8/17/2009	12/31/2009	1,467 21	27 75	1,439 46	
WAG Jul 09 Call 31 00 (WAGGN)	Expire Short	500	4/6/2009	7/20/2009	267 24	0 00	267 24	
WAG Jul 09 Call 31 00 (WAGGN)	Expire Short	500	4/7/2009	7/20/2009	317 24	0 00	317 24	
WAG Jun 09 Put 29 00 (WAGRO)	Expire Short	500	5/7/2009	6/22/2009	442 23	0 00	442 23	
WAG Oct 09 Put 27 50 (WAGVY)	Buy to Close	1,000	6/23/2009	9/16/2009	1,339 46	60 50	1,278 96	
WMT Jun 09 Call 60 00 (WMTFL)	Expire Short	1,000	4/1/2009	6/22/2009	629 49	0 00	629 49	
WMT Sep 09 Call 60 00 (WMTIL)	Buy to Close	1,000	4/13/2009	6/30/2009	1,189 46	40 50	1,148 96	
WMT Dec 09 Call 57 50 (WMTLY)	Expire Short	1,000	6/25/2009	12/21/2009	619 48	0 00	619 48	
WMT Jun 09 Put 45 00 (WMTRI)	Expire Short	1,000	3/30/2009	6/22/2009	1,369 49	0 00	1,369 49	
WMT Sep 09 Put 40 00 (WMTUH)	Buy to Close	1,000	4/9/2009	6/30/2009	1,359 46	230 50	1,128 96	
WMT Sep 09 Put 45 00 (WMTUI)	Buy to Close	1,000	6/10/2009	6/30/2009	989 47	830 50	158 97	
WMT Dec 09 Put 42 50 (WMTXV)	Buy to Close	1,000	6/8/2009	6/30/2009	1,369 46	1,260 50	108 96	
WMT Dec 09 Put 47 50 (WMTXW)	Expire Short	1,000	6/30/2009	12/21/2009	2,819 42	0 00	2,819 42	
Total:					2,776,202.05	2,773,696.69	(31,877.12)	34,382.47

ST	2,412,170.85	2,444,047.96	(31,877.11)	
LT	364,031.20	329,648.73		34,382.47

ADDITIONAL CHANGES PER AJEs

GRNJ - Option assigned in Dec to sale that closed in Jan	0 00	8,989 44	(8,989 44)
GIS - Various July transactions that did not roll to zero after security was sold	0 00	2,573 42	(2,573 42)
US Treasury - To correct amount reported as both gain (per this report) and US Interest (on 1099)	0 00	1,698 00	(1,698 00)

ST	2,412,170.85	2,457,308.82	(45,137.97)
LT	364,031.20	329,648.73	34,382.47

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545-1709

▶ File a separate application for each return.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box ☒ X
 - If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)
- Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing (e-file) Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Type or print	Name of Exempt Organization	Employer identification number
	The Don & Jean Wagner Charitable Foundation	26-6125236
	Number, street, and room or suite no. If a P O box, see instructions	
	1050 W 54th Street	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Kansas City, MO 64112	

Check type of return to be filed (file a separate application for each return)

☐ Form 990	☐ Form 990-T (corporation)	☐ Form 4720
☐ Form 990-BL	☐ Form 990-T (sec. 401(a) or 408(a) trust)	☐ Form 5227
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 6069
☒ Form 990-PF	☐ Form 1041-A	☐ Form 8870

- The books are in the care of ▶ BRANDI HENDRIX

Telephone No ▶ 816 305-7872

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010 to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ ☒ calendar year 2009 or
- ▶ ☐ tax year beginning _____, _____, and ending _____

2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ NONE
b If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ NONE
c Balance Due. Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ NONE

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form 8868 (Rev. 4-2009)

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ☒ **X**

Note: Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization THE DON & JEAN WAGNER CHARITABLE FOUNDATION	Employer identification number 26-6125236
	Number, street, and room or suite no. If a P.O. box, see instructions 1050 W 54TH STREET	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions KANSAS CITY, MO 64112	

Check type of return to be filed (File a separate application for each return).

☐ Form 990	☒ Form 990-PF	☐ Form 1041-A	☐ Form 6069
☐ Form 990-BL	☐ Form 990-T (sec 401(a) or 408(a) trust)	☐ Form 4720	☐ Form 8870
☐ Form 990-EZ	☐ Form 990-T (trust other than above)	☐ Form 5227	

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of **▶ BRANDI HENDRIX**

Telephone No **▶ 816 305-7872**

FAX No **▶**

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) **_____** If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **11/15/2010**

5 For calendar year **2009**, or other tax year beginning **_____**, and ending **_____**

6 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension **ADDITIONAL TIME IS NEEDED IN ORDER TO GATHER THE INFORMATION NECESSARY TO PREPARE A COMPLETE AND ACCURATE RETURN.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a \$	0.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	0.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c \$	0.

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **▶ *Rich S. Kelenchak***

Title **▶**

Date **▶ 8-15-10**

CBIZ MHM, LLC

11440 TOMAHAWK CREEK PARKWAY

LEAWOOD, KS 66211

Form 8868 (Rev 4-2009)