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**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

OMB No 1545-0052

**2009**Department of the Treasury  
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2009, or tax year beginning , 2009, and ending

G Check all that apply ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name changeUse the  
IRS label.  
Otherwise,  
print  
or type.  
See Specific  
Instructions.The Uberoi Foundation for Religious  
Studies  
501 Silverside Road #123  
Wilmington, DE 19809-1377**A Employer identification number**

26-6101307

**B Telephone number (see the instructions)**

800-839-1754

**C If exemption application is pending, check here** ☐**D 1 Foreign organizations, check here** ☐**2 Foreign organizations meeting the 85% test, check here and attach computation** ☐**E If private foundation status was terminated under section 507(b)(1)(A), check here** ☐**F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here** ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 6,894,202.J Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis)

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see the instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

51,000.

2 ☐ If the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

12,013.

12,013.

N/A

4 Dividends and interest from securities

153,761.

152,727.

5a Gross rents

b Net rental income or (loss)

-248,323.

Statement 1

6a Net gain/(loss) from sale of assets not on line 10  
b Gross sales price for all assets on line 6a

3,658,651.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

See Statement 2

72,180.

34,327.

12 Total. Add lines 1 through 11

40,631.

199,067.

13 Compensation of officers, directors, trustees, etc

54,000.

54,000.

14 Other employee salaries and wages

1,000.

1,000.

15 Pension plans, employee benefits

16a Legal fees (attach schedule) See St 3

447.

447.

b Accounting fees (attach sch) See St 4

3,083.

3,083.

c Other prof fees (attach sch) See St 5

98,576.

64,976.

33,600.

17 Interest

18 Taxes (attach schedule)(see instr) See Stm 6

13,339.

4,029.

19 Depreciation (attach sch) and depletion

20 Occupancy

2,048.

2,048.

21 Travel, conferences, and meetings

25,293.

25,293.

22 Printing and publications

23 Other expenses (attach schedule)

See Statement 7

69,770.

69,410.

339.

24 Total operating and administrative expenses. Add lines 13 through 23

267,556.

138,415.

119,810.

25 Contributions, gifts, grants paid Part XV

50,000.

50,000.

26 Total expenses and disbursements. Add lines 24 and 25

317,556.

138,415.

169,810.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-276,925.

b Net investment income (if negative, enter 0-)

60,652.

c Adjusted net income (if negative, enter 0-)

gile 25ne

**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash – non-interest-bearing			
	2 Savings and temporary cash investments	769,590.	621,013.	621,013.
	3 Accounts receivable			
	Less: allowance for doubtful accounts	5,815.		
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see the instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U.S. and state government obligations (attach schedule) <b>Statement 8</b>		565,129.	572,326.
	b Investments – corporate stock (attach schedule) <b>Statement 9</b>	3,216,152.	2,716,079.	2,978,940.
	c Investments – corporate bonds (attach schedule) <b>Statement 10</b>	1,687,267.	1,150,329.	1,209,229.
	11 Investments – land, buildings, and equipment basis			
Less: accumulated depreciation (attach schedule)				
12 Investments – mortgage loans				
13 Investments – other (attach schedule) <b>Statement 11</b>	1,093,191.	1,442,540.	1,512,694.	
14 Land, buildings, and equipment basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe _____)				
16 <b>Total assets</b> (to be completed by all filers – see instructions. Also, see page 1, item I)	6,772,015.	6,495,090.	6,894,202.	
<b>LIABILITIES</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe _____)			
	23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>NET ASSETS OR FUND BALANCES</b>	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	6,772,015.	6,495,090.	
	30 <b>Total net assets or fund balances</b> (see the instructions)	6,772,015.	6,495,090.	
	31 <b>Total liabilities and net assets/fund balances</b> (see the instructions)	6,772,015.	6,495,090.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,772,015.
2 Enter amount from Part I, line 27a	2	-276,925.
3 Other increases not included in line 2 (itemize) _____	3	
4 Add lines 1, 2, and 3	4	6,495,090.
5 Decreases not included in line 2 (itemize) _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,495,090.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a See Statement 12				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	2	-253,087.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see the instructions) If (loss), enter -0-            in Part I, line 8         </div>	3	0.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2008	85,643.	4,833,640.	0.017718
2007	0	0.	0.000000
2006			
2005			
2004			

2 Total of line 1, column (d)	2	0.017718
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.008859
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	6,281,715.
5 Multiply line 4 by line 3	5	55,650.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	607.
7 Add lines 5 and 6	7	56,257.
8 Enter qualifying distributions from Part XII, line 4	8	169,810.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see the instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instr.)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1 607.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 607.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5 607.
6 Credits/Payments		
a 2009 estimated tax prmts and 2008 overpayment credited to 2009	6a 2,300.	
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 2,300.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed	9 0.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 1,693.	
11 Enter the amount of line 10 to be Credited to 2010 estimated tax	11 650. Refunded	11 1,043.

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see the instructions). CO		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities** *Continued*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>Foundation Source</u> Telephone no <u>800-839-1754</u>			
Located at <u>501 Silverside Road, Ste 123 Wilmington DE</u> ZIP + 4 <u>19809-1377</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u>			
and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>		N/A		

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see the instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to**(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?☐ Yes ☒ No**(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?☐ Yes ☒ No**(3)** Provide a grant to an individual for travel, study, or other similar purposes?☐ Yes ☒ No**(4)** Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)☐ Yes ☒ No**(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

**6b** X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13		54,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1— see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

**Total** number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services – (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
First Western Trust Bank 1155 Canyon Blvd, Ste 300 Boulder, CO 80302	Investment mgmt	64,976.
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Form 990-PF (2009)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b> Average monthly fair market value of securities	<b>1a</b>	4,330,659.
<b>b</b> Average of monthly cash balances	<b>1b</b>	675,330.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	1,371,387.
<b>d</b> <b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	6,377,376.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	6,377,376.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	95,661.
<b>5</b> <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	6,281,715.
<b>6</b> <b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	314,086.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6	<b>1</b>	314,086.
<b>2a</b> Tax on investment income for 2009 from Part VI, line 5	<b>2a</b>	607.
<b>b</b> Income tax for 2009 (This does not include the tax from Part VI)	<b>2b</b>	7,675.
<b>c</b> Add lines 2a and 2b	<b>2c</b>	8,282.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	305,804.
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b> Add lines 3 and 4	<b>5</b>	305,804.
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b> <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	305,804.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1a</b>	169,810.
<b>b</b> Program-related investments — total from Part IX-B	<b>1b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b> <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	169,810.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	<b>5</b>	607.
<b>6</b> <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	169,203.

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2009)

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
<b>1</b> Distributable amount for 2009 from Part XI, line 7				305,804.
<b>2</b> Undistributed income, if any, as of the end of 2009				
<b>a</b> Enter amount for 2008 only			155,209.	
<b>b</b> Total for prior years 20____, 20____, 20____		0.		
<b>3</b> Excess distributions carryover, if any, to 2009				
<b>a</b> From 2004				
<b>b</b> From 2005				
<b>c</b> From 2006				
<b>d</b> From 2007				
<b>e</b> From 2008				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2009 from Part XII, line 4 ▶ \$ 169,810.				
<b>a</b> Applied to 2008, but not more than line 2a			155,209.	
<b>b</b> Applied to undistributed income of prior years (Election required – see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required – see instructions)	0.			
<b>d</b> Applied to 2009 distributable amount				14,601.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount – see instructions.		0.		
<b>e</b> Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
<b>f</b> Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				291,203.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
<b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7 (see instructions)	0.			
<b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2005				
<b>b</b> Excess from 2006				
<b>c</b> Excess from 2007				
<b>d</b> Excess from 2008				
<b>e</b> Excess from 2009				

BAA

Form 990-PF (2009)

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- b** 85% of line 2a

- d** Amounts included in line 2c not used directly for active conduct of exempt activities.

- 3** Complete 3a, b, or c for the alternative test relied upon.

- a 'Assets' alternative test – enter:

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(i)(3)(B)(i)

- b** 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c 'Support' alternative test – enter

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii).

- (3) Largest amount of support from an exempt organization.

- (4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year – see instructions.)**

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> Univ. of Massachusetts Dartmouth Fdn Inc 285 Old Westport Road N Dartmouth, MA 02747	N/A	509 (a) (2)	Center for Indic Studies	50,000.
<b>Total</b>			<b>3a</b>	50,000.
<i>b Approved for future payment</i>				
<b>Total</b>			<b>3b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (see the instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12,013.	
4 Dividends and interest from securities			14	153,761.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory	525990	17,740.	18	-266,063.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a Foreign tax refund			1	120.	
b K-1 Income	525990	37,836.	14	34,224.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		55,576.		-65,945.	
13 Total. Add line 12, columns (b), (d), and (e)					

(See worksheet in the instructions for line 13 to verify calculations )

13                      -10,369.

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, 990-EZ, or 990-PF**

OMB No 1545-0047

**2009**

Name of the organization **The Uberoi Foundation for Religious  
Studies**

Employer identification number  
**26-6101307**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)(\_\_\_\_) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule —**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules —**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ, that met the 33-1/3% support test of the regulations under sections 509(a)(1)/170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ, that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2 of their Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions  
for Form 990, 990-EZ, or 990-PF.**

**Schedule B** (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

The Uberoi Foundation for Religious

Employer identification number

26-6101307

**Part I** Contributors (see instructions)

(a) Number	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	Manohar Shinde 19824 Sierra Meadows Lane Northridge, CA 91326	\$ 50,000.	Person <input checked="checked" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution)

	Part	Proceeds	Cost	Gain/Loss
<b>Statement 1</b>	I, ln 6	17,740	0	17,740
<b>Form 990-PF, Part I, Line 6a</b>	I, ln 7	3,640,911	3,906,974	-253,087
<b>Net Gain (Loss) from Noninventory Sales Per Books</b>	Total	3,658,651	3,906,974	-248,323
<b>Assets Not Included in Part IV</b>				

Description: Passthrough K-1 Capital Gain (UBI)  
 Date Acquired: Various  
 How Acquired: Purchase  
 Date Sold: Various

Gross Sales Price: 17,740.  
 Cost or Other Basis: 0.  
 Basis Method: Cost

Gain (Loss) 17,740.  
 Total \$ 17,740.

**Statement 2**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

K-1 Income (Non-UBI)	34,207
K-1 Income (UBI)	37,836
K-1 Income (Non-Tax)	17
Total K-1 Income	72,060

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Foreign tax refund	\$ 120.	120.	
K-1 Income	72,060.	34,207.	
Total	\$ 72,180.	\$ 34,327.	0.

**Statement 3**  
**Form 990-PF, Part I, Line 16a**  
**Legal Fees**

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal services	\$ 447.			\$ 447.
Total	\$ 447.	\$ 0.		\$ 447.

**Statement 4**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Preparation of annual return/tax consulting	\$ 3,083.			\$ 3,083.
Total	\$ 3,083.	\$ 0.		\$ 3,083.

**Statement 5**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Creation of logo and website	\$ 2,600.			\$ 2,600.
Executive Director	31,000.			31,000.
Investment management services	64,976.	\$ 64,976.		
Total	<u>\$ 98,576.</u>	<u>\$ 64,976.</u>		<u>\$ 33,600.</u>

**Statement 6**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax	\$ 9,310.			
Foreign tax	4,029.	\$ 4,029.		
Total	<u>\$ 13,339.</u>	<u>\$ 4,029.</u>		<u>\$ 0.</u>

**Statement 7**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank charges	\$ 507.	\$ 507.		
K-1 expenses	68,924.	68,903.		
Other expenses	133.			\$ 133.
Website design	206.			206.
Total	<u>\$ 69,770.</u>	<u>\$ 69,410.</u>		<u>\$ 339.</u>

**Statement 8**  
**Form 990-PF, Part II, Line 10a**  
**Investments - U.S. and State Government Obligations**

U.S. Government Obligations	Valuation Method	Book Value	Fair Market Value
Government Obligations - See attachment	Cost	\$ 565,129.	\$ 572,326.
		\$ 565,129.	\$ 572,326.
Total		<u>\$ 565,129.</u>	<u>\$ 572,326.</u>

**Statement 9**  
**Form 990-PF, Part II, Line 10b**  
**Investments - Corporate Stocks**

<u>Corporate Stocks</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Stock - See attachment	Cost	\$ 2,716,079.	\$ 2,978,940.
	Total	<u>\$ 2,716,079.</u>	<u>\$ 2,978,940.</u>

**Statement 10**  
**Form 990-PF, Part II, Line 10c**  
**Investments - Corporate Bonds**

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Bonds - See attachment	Cost	\$ 1,150,329.	\$ 1,209,229.
	Total	<u>\$ 1,150,329.</u>	<u>\$ 1,209,229.</u>

**Statement 11**  
**Form 990-PF, Part II, Line 13**  
**Investments - Other**

<u>Other Investments</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Other Investments - See attachment	Cost	\$ 1,442,540.	\$ 1,512,694.
	Total	<u>\$ 1,442,540.</u>	<u>\$ 1,512,694.</u>

**Statement 12**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

<u>Item</u>	<u>(a) Description</u>	<u>(b) How Acquired</u>	<u>(c) Date Acquired</u>	<u>(d) Date Sold</u>
1	Publicly-traded securities	Purchased	Various	Various
2	Aetos Capital Multi-Strategy Fund	Purchased	5/01/2008	10/02/2009
3	Aetos Capital Distressed Fund	Purchased	5/01/2008	10/02/2009
4	Aetos Capital Long/Short Fund	Purchased	5/01/2008	10/02/2009
5	Aetos Capital Opportunities Fund	Purchased	5/01/2008	10/02/2009
6	Passthrough K-1 Capital Gain (Non-UBI)	Purchased	Various	Various

  

<u>Item</u>	<u>(e) Gross Sales</u>	<u>(f) Deprec. Allowed</u>	<u>(g) Cost Basis</u>	<u>(h) Gain (Loss)</u>	<u>(i) FMV 12/31/69</u>	<u>(j) Adj. Bas. 12/31/69</u>	<u>(k) Excess (i) - (j)</u>	<u>(l) Gain (Loss)</u>
1	2905208.		3179356.	-274,148.				\$-274,148.
2	138,821.		145,613.	-6,792.				-6,792.
3	153,085.		151,827.	1,258.				1,258.
4	345,398.		348,348.	-2,950.				-2,950.
5	68,289.		68,854.	-565.				-565.
6	30,110.		0.	30,110.				30,110.

**Statement 12 (continued)**  
**Form 990-PF, Part IV, Line 1**  
**Capital Gains and Losses for Tax on Investment Income**

Item	(e) Gross Sales	(f) Deprec. Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV 12/31/69	(j) Adj. Bas. 12/31/69	(k) Excess (i) - (j) Total	(l) Gain (Loss) <u>\$-253,087.</u>
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**Statement 13**  
**Form 990-PF, Part VIII, Line 1**  
**List of Officers, Directors, Trustees, and Key Employees**

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
Ved Nanda 501 Silverside Road Suite 123 Wilmington, DE 19809-1377	Chairman 5 hrs/wk	\$ 14,000.	\$ 0.	\$ 0.
Parveen K. Setia 501 Silverside Road, Ste 123 Wilmington, DE 19809-1377	Vice Chairman 4 hrs/wk	10,000.	0.	0.
Anu Bhatia 501 Silverside Road, Ste 123 Wilmington, DE 19809-1377	Trustee 2.5 hrs/wk	10,000.	0.	0.
Jyothi Bhatia 501 Silverside Road, Ste 123 Wilmington, DE 19809-1377	Trustee 2.5 hrs/wk	10,000.	0.	0.
Sneha Harjai 501 Silverside Road, Ste 123 Wilmington, DE 19809-1377	Trustee 2.5 hrs/wk	10,000.	0.	0.
<b>Total</b>		<u>\$ 54,000.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

The Uberoi Foundation for Religious Studies

EIN: 26-6101307

Taxable Year Ending December 31, 2009

**Form 990-PF, Part II, Line 10a - Columns (b) & (c) – U.S. and State Government Obligations**

Shares	Description/Symbol/CUSIP Number	Line 10a - Column (b) Book Value	Line 10a - Column (c) Fair Market Value
73970	FANNIE MAE 2003-81 MC 5 000% DUE 12-25-32 (31393TGV2)	\$74,917 74	\$77,198 79
47225	FHLB - 2645 BC - 4 000% - 02/15/2018 (31393WQC6)	\$47,151 26	\$48,837 79
29616	FHLB - 2662 MA - 4 500% - 10/15/2031 (31394H3U3)	\$29,615 81	\$30,660 36
25000	FHLB - CRPSER 2698 - 5 500% - 06/15/2032 (31394LQG0)	\$26,187 50	\$26,403 25
45000	FNMA 2006-46 PE - 5 500% - 11/25/2032 (31395D5U9)	\$46,743 75	\$47,400 75
60000	FHLB - 2893 PD - 5 000% - 02/15/2033 (31395HWP2)	\$61,162 50	\$61,551 00
94786	FNMA REMIC 2006-B2 - 5 500% - 05/25/2014 (31396LYT1)	\$96,918 42	\$98,121 25
13142	FHLB - CRPSER 3305 - 5 500% - 01/15/2030 (31397GUB4)	\$13,601 91	\$13,738 46
50000	UNIVERSITY CALIF REVS BDS SER 06 27% 05/15/2031 (91412F7X9)	\$49,964 50	\$50,050 00
39307	FEDERAL HOME LOAN 4 5% 1/15/2033 (FHL01152033)	\$40,240 88	\$40,916 83
25000	FEDERAL HOME LOAN 5% 7/15/2033 (FHL7152033)	\$25,687 50	\$25,739 32
50000	FEDERAL HOME LOAN 4 5% 5/15/2032 (FHLM05152032)	\$52,937 50	\$51,707 82
<b>TOTAL</b>		<b>\$565,129</b>	<b>\$572,326</b>

**The Uberoi Foundation for Religious Studies**

**EIN: 26-6101307**

**Taxable Year Ending December 31, 2009**

**Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock**

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
625	ANGLO AMERICAN PLC - AMERICAN DEPOSITORY SHARES EX (AAUK)	\$19,595 75	\$13,550 00
850	ABB LTD (ABB)	\$26,957 50	\$16,235 00
635	ABM INDS INC (ABM)	\$13,901 70	\$13,119 10
270	ABBOTT LABS (ABT)	\$12,513 76	\$14,577 30
165	AMERICAN CAMPUS COMMUNITIES (ACC)	\$3,818 10	\$4,636 50
710	AMER EQ INV LIFE HLD (AEL)	\$4,774 40	\$5,282 40
170	ARGO GROUP INTERNATIONAL HOLDINGS LTD (AGII)	\$5,620 20	\$4,953 80
235	AMERIGROUP CORP (AGP)	\$6,243 95	\$6,335 60
265	AGRIUM INC (AGU)	\$22,864 70	\$16,297 50
520	ALASKA COMMUNICATIONS SYSTEMS GROUP, INC (ALSK)	\$4,882 80	\$4,149 60
431	AMERICAN TOWER CORP CL A (AMT)	\$14,146 17	\$18,623 51
74	ALPHA NATURAL RES (ANR)	\$975 52	\$3,210 12
45	SMITH A O CORP DEL COM (AOS)	\$1,399 95	\$1,952 55
1025	ARES CAPITAL CORPORATION - CLOSED END FUND (ARCC)	\$11,797 75	\$12,761 25
100	ARCH CHEMICALS INC (ARJ)	\$3,057 71	\$3,088 00
900	ATLAS COPCO AB B SHS ADR (ATLCY PK)	\$14,827 00	\$11,779 20
90	ATWOOD OCEANICS INC (ATW)	\$1,353 60	\$3,226 50
205	AVISTA CORP (AVA)	\$3,713 60	\$4,425 95
572	AVON PRODUCTS (AVP)	\$14,402 54	\$18,018 00
875	AXA ADS (AXAHY PK)	\$29,276 75	\$20,720 00
120	ANIXTER INTL INC (AXE)	\$3,280 88	\$5,652 00
450	ASTRAZENECA (AZN)	\$20,789 48	\$21,123 00
300	BARNES GROUP INC (B)	\$4,548 58	\$5,070 00
127	BAXTER INTERNATIONAL INC (BAX)	\$7,159 23	\$7,452 36
190	BALCHEM CORP (BCPC)	\$4,993 20	\$6,366 90
900	BARCLAYS PLC ADR (BCS)	\$18,079 40	\$15,840 00
375	BENCHMARK ELECTRS INC (BHE)	\$4,633 99	\$7,091 25
500	BOC HK HLDGS LTD S/ADR (BHKLY PK)	\$22,048 20	\$22,720 00

**The Uberoi Foundation for Religious Studies**  
**EIN: 26-6101307**  
**Taxable Year Ending December 31, 2009**

**Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock**

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
150	BLACK HILLS CORP (BKH)	\$3,804 00	\$3,994 50
99	BLACKROCK INC (BLK)	\$14,188 50	\$22,987 80
170	BIOMED RLTY TR INC (BMR)	\$1,601 40	\$2,682 60
4	BERKSHIRE HATHAWAY INC CLASS B (BRK-B)	\$13,832 20	\$13,144 00
100	BRISTOW GROUP (BRS)	\$3,589 84	\$3,845 00
550	BRITISH AMERICAN TOBACCO PLC (BTI)	\$36,615 62	\$35,563 00
6671	BUFFALO MID CAP FUND (BUFMX)	\$55,368 00	\$90,723 49
275	CANON INC (CAJ)	\$9,116 04	\$11,638 00
651	CAMERON INTERNATIONAL CORP (CAM)	\$15,088 62	\$27,211 80
240	CASS INFORMATION SYSTEMS, INC (CASS)	\$7,450 81	\$7,296 00
150	CASEY'S GENERAL STORES, INC (CASY)	\$3,457 01	\$4,786 50
330	CATO CORP CL A (CATO)	\$5,033 79	\$6,619 80
170	COOPER INDUSTRIES PLC (CBE)	\$7,329 22	\$7,248 80
455	CENTRAL EUROPEAN DISTRIBUTION CORPORATION - COMMON (CEDC)	\$10,519 99	\$12,926 55
228	CELGENE CORP (CELG)	\$12,517 63	\$12,695 04
330	CENTRAL EURO MEDIA ENTERA' (CETV)	\$5,517 83	\$7,791 30
175	CONS GRAPHICS INC (CGX)	\$2,970 81	\$6,128 50
150	CHEMED CORP (CHE)	\$6,462 00	\$7,195 50
150	CHATTEM INC (CHTT)	\$9,142 50	\$13,995 00
255	CLARCOR INC (CLC)	\$9,562 65	\$8,272 20
285	CLECO CORP NEW COM (CNL)	\$6,198 75	\$7,789 05
60	CORE-MARK HOLDING COMPANY, INC (CORE)	\$1,396 20	\$1,977 60
300	CARBO CERAMICS INC (CRR)	\$10,463 26	\$20,451 00
750	CISCO SYSTEMS INC (CSCO)	\$13,862 52	\$17,955 00
145	COMPUTER SERVICES INC (CSVI PK)	\$5,064 85	\$5,218 55
250	COOPER TIRE & RUBBER CO (CTB)	\$1,344 20	\$5,012 50
158	CHEVRONTXACO CORP (CVX)	\$11,765 63	\$12,164 42
650	DBS GROUP HLDGS SPON ADR (DBSDY PK)	\$29,198 21	\$28,600 00
60	DELTAIC TIMBER CP (DEL)	\$2,881 80	\$2,770 80
175	DIAGEO PLC ADS (DEO)	\$11,926 54	\$12,146 75

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Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
235	DANAHER CORP (DHR)	\$13,770 15	\$17,672 00
350	DIODES (DIOD)	\$1,931 83	\$7,143 50
330	ECOLAB INC (ECL)	\$12,931 83	\$14,711 40
225	EL PASO ELECTRIC CO (EE)	\$4,105 76	\$4,563 00
265	ELECTRONICS FOR IMAGING, INC (EFI)	\$2,610 25	\$3,437 05
435	ESTEE LAUDER COMPANIES INC (EL)	\$14,269 42	\$21,036 60
395	EMULEX CORP COM (ELX)	\$3,269 41	\$4,305 50
365	E ON AG SPON ADR REP 1/3 ORD NPV (EONGY PK)	\$22,784 35	\$15,238 75
330	ENTERTAINMENT PROPERTIES TRUST SBI (EPR)	\$8,047 80	\$11,639 10
12711	FIRST AMERICAN REAL ESTATE SECURITIES FUND CL Y (FARCX)	\$121,862 65	\$181,764 64
250	FAIRCHILD SEMI INTL (FCS)	\$807 50	\$2,497 50
150	FRANKLIN ELECTRIC CO , INC (FELE)	\$4,510 95	\$4,357 50
230	FINANCIAL FED CP (FIF)	\$5,635 00	\$6,325 00
385	FEDERATED INVESTRS B (FII)	\$7,582 73	\$10,587 50
225	FLOWERS FOODS INC (FLO)	\$5,310 15	\$5,346 00
210	FIRST MIDWEST BANCORP, INC - COMMON STOCK (FMBI)	\$3,811 50	\$2,286 90
525	FOMENTO ECONOMICO MEXICANO (FMX)	\$21,375 40	\$25,137 00
525	FRANCE TELECOM ADS (FTE)	\$15,101 00	\$13,251 00
775	FUJIFILM HOLDINGS (FUJII)	\$20,131 78	\$23,405 00
200	GRACO INC (GGG)	\$3,471 76	\$5,714 00
260	GILEAD SCIENCES INC (GILD)	\$12,792 50	\$11,250 20
75	G A T X CP (GMT)	\$1,984 50	\$2,156 25
174	GOLDMAN SACHS GROUP (GS)	\$15,361 05	\$29,378 16
450	GLAXOSMITHKLINE PLC (GSK)	\$16,677 29	\$19,012 50
75	HARLEYSVILLE GROUP INC (HGIC)	\$2,644 50	\$2,384 25
525	HONDA MOTOR CO LTD (HMC)	\$16,308 50	\$17,797 50
60	HOME PPTYS INC (HME)	\$2,601 60	\$2,862 60
453	HONEYWELL INTERNATIONAL INC (HON)	\$26,176 61	\$17,757 60
375	DESARROLLADORA HOMEX (HXM)	\$8,424 24	\$12,607 50
175	INTERLINE BRANDS INC (IBI)	\$1,672 34	\$3,022 25

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Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
149	INTERNATIONAL BUSINESS MACHINES (IBM)	\$13,497.97	\$19,504.10
510	WORLD FUEL SVCS CP (INT)	\$5,880.30	\$13,662.90
825	INTESA SANPAOLO SIADR (ISNPPY PK)	\$18,432.24	\$22,357.50
291	ITT CORP (ITT)	\$14,070.05	\$14,474.34
300	ORIX CORP ADS (IX)	\$9,817.15	\$10,248.00
255	JACK IN THE BOX INC (JACK)	\$5,119.57	\$5,015.85
175	J2 GLOBAL COMMUNICATIONS INC - COMMON STOCK (JCOM)	\$3,388.49	\$3,561.25
4844	JPMORGAN MID CAP GROWTH FUND R2 (JMGZX)	\$55,368.00	\$88,741.55
155	KADANT INC (KAI)	\$2,064.60	\$2,473.80
950	ROYAL KPN N V (KKPNY PK)	\$16,111.00	\$16,197.50
185	KENAMETAL INC (KMT)	\$3,641.67	\$4,795.20
195	KINDRED HEALTHCARE, INC - COMMON STOCK (KND)	\$2,371.20	\$3,599.70
2800	KEPPEL CORP LTD ADR (KPELY PK)	\$44,338.00	\$32,928.00
274	KOHL'S CORP (KSS)	\$9,943.52	\$14,776.82
150	LANDAUR INC (LDR)	\$8,898.00	\$9,210.00
205	LINCOLN ELECTRIC HOLDING INC (LECO)	\$14,841.90	\$10,959.30
255	LANDSTAR SYSTEM (LSTR)	\$12,827.78	\$9,886.35
295	LUKOIL HOLDING CO SPIADR (LUKOY PK)	\$14,222.77	\$16,579.00
85	MID AMER APT COMMUN (MAA)	\$3,311.96	\$4,103.80
410	MATTHEWS INTERNATIONAL CORPORATION (MATW)	\$16,479.59	\$14,526.30
245	MEREDITH CORP (UNITED STATES) (MDP)	\$4,198.76	\$7,558.25
430	MENTOR GRAPHICS CORP (MENT)	\$2,507.03	\$3,796.90
470	MCGRATH RENTCORP (MGRC)	\$11,622.11	\$10,509.20
80	MIDDLEBY CORPORATION (THE) (MIDD)	\$2,379.15	\$3,921.60
120	MITSUBI & COMPANY, LTD - ADR (MITSY)	\$29,917.23	\$34,279.20
604	MICROSOFT CORPORATION (MSFT)	\$13,492.47	\$18,409.92
275	ARCELOR MITTAL (MT)	\$11,232.68	\$12,581.25
600	MTN GROUP LTD SPON ADR (MTNOY PK)	\$8,487.00	\$9,606.60
3150	MITSUBISHI TOKYO FIN (MTU)	\$28,834.51	\$15,498.00
4400	NATL BK GREECE SA SPNADR (NBG)	\$26,306.48	\$22,924.00

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**Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock**

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
265	NATIONAL GRID TRANSCO PLC (NGG)	\$15,747 87	\$14,410 70
231	NIKE INC-CL B (NKE)	\$12,516 78	\$15,262 17
250	NATIONAL PENN BANCSHARES, INC (NPBC)	\$3,905 00	\$1,447 50
120	ENPRO INDUSTRIES INC (NPO)	\$2,221 20	\$3,169 20
700	NISSAN MOTOR LTD ASR (NSANY PK)	\$11,504 82	\$12,341 00
1012	NESTLE S A (NSRGY PK)	\$46,620 50	\$48,930 20
840	NOVARTIS AG ADR (NVS)	\$43,927 52	\$45,721 20
220	NORTHWESTERN CORP (NWE)	\$4,706 79	\$5,724 40
165	OLD DOMINION FREIGHT LINES (ODFL)	\$3,847 80	\$5,065 50
255	OWENS AND MINOR INC (OMI)	\$11,809 05	\$10,947 15
744	ORACLE CORP (ORCL)	\$12,655 78	\$18,250 32
185	BANK OF THE OZARKS (OZRK)	\$5,209 17	\$5,414 95
755	PETROLEO BRASILEIRO SA- PETRO (PBR-A)	\$40,893 30	\$32,004 45
222	PEPSICO INC (PEP)	\$11,652 51	\$13,497 60
275	PLEXUS CORPORATION INC (PLXS)	\$4,540 48	\$7,832 00
280	PARAMETRIC TECHNOLOGY CORP (PMTC)	\$3,449 60	\$4,575 20
310	PROGRESS SOFTWARE CORP (PRGS)	\$6,291 11	\$9,058 20
124	QUALCOMM INC (QCOM)	\$5,245 90	\$5,736 24
380	ROCHE HOLDING LTD ADR (RHHBY PK)	\$15,704 60	\$16,036 00
250	R L I CP (RLI)	\$13,502 33	\$13,312 50
170	ROPER INDUSTRIES NEW COMMON (ROP)	\$6,655 26	\$8,902 90
225	RED ROBIN GOURMET BURGERS, INC (RRGB)	\$3,538 41	\$4,027 50
237	RAYTHEON CO (RTN)	\$15,649 11	\$12,210 24
65	RIO TINTO PLC SPONSORED ADR (RTP)	\$9,648 41	\$14,000 35
250	RWE AKTIENGESELLSCHAFT (RWEQY PK)	\$30,405 75	\$24,225 00
250	SIGNATURE BANK (SBNY)	\$7,218 00	\$7,975 00
750	SOCIETE GENERALE FRANCE (SCGLY PK)	\$11,253 70	\$10,537 50
295	SELECTIVE INS GROUP INC (SIGI)	\$5,741 84	\$4,852 75
100	SILGAN HOLDINGS, INC - COMMON STOCK (SLGN)	\$5,338 25	\$5,788 00
600	STERILITE INDUSTRIES INDIA LTD (SLT)	\$7,160 08	\$10,932 00

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**Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock**

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
125	SNAP ON INC (SNA)	\$4,292.98	\$5,282.50
295	SANOFLAVENTIS SPONSORED ADR (SNV)	\$9,530.56	\$11,584.65
684	STAPLES INC (SPLS)	\$13,584.93	\$16,819.56
28696	VICTORY DIVERSIFIED STOCK FUND CLASS A (SRVEX)	\$383,756.46	\$401,170.70
3125	BANCO SANTANDER CEN (STD)	\$53,588.77	\$51,375.00
425	STATOIL ASA ADS (STO)	\$15,684.75	\$10,586.75
365	STATE STREET CORPORATION (STT)	\$16,816.12	\$15,892.10
165	SUFFOLK BANCORP (SUBK)	\$4,640.28	\$4,900.50
318	STRYKER CORPORATION (SYK)	\$14,123.40	\$16,017.66
290	SYNTEL INC (SYNT)	\$8,865.04	\$11,028.70
548	SYSCO CORP (SYI)	\$13,834.24	\$15,311.12
1900	THOMPSON CRK MTLs CO (TC)	\$22,391.24	\$22,268.00
390	TELEFONICA S A ADR (TEF)	\$29,572.58	\$32,572.80
220	TREEHOUSE FOODS INC (THS)	\$4,787.20	\$8,549.20
1575	TURKCELL ILET NEW (TKC)	\$29,825.99	\$27,546.75
650	TOKIO MARINE HOLDINGS INC (TKOMY PK)	\$18,770.96	\$17,686.50
200	TOYOTA MTR CORP (TM)	\$19,321.10	\$16,832.00
354	THERMO FISHER SCIENTIFIC INC (TMO)	\$13,963.59	\$16,882.26
100	TENNANT CO (TNC)	\$2,414.50	\$2,619.00
665	TOTAL FINA ELF S.A. (TOT)	\$54,623.62	\$42,586.60
430	TEMPUR PEDIC INTERNATIONAL INC (TPX)	\$3,719.50	\$10,160.90
300	TENARIS SA-ADR (TS)	\$10,571.65	\$12,795.00
130	TORO CO (TTC)	\$4,005.30	\$5,435.30
90	TEXAS INDUSTRIES (TXI)	\$3,180.60	\$3,149.10
786	TEXAS INSTRUMENTS INC (TXN)	\$14,600.64	\$20,483.16
165	UNITED BANKSHARES, INC (UBSI)	\$5,344.99	\$3,295.05
115	UNITED FIRE & CASUALTY COMPANY - COMMON STOCK (UFCS)	\$2,705.85	\$2,096.45
800	UNILEVER PLC AMER (UL)	\$18,779.20	\$25,520.00
75	UNITED STATIONERS INC (USTR)	\$2,386.50	\$4,266.00
825	VALE SA PREFERENCE A ADR (VALE-P)	\$25,284.00	\$20,476.50

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**Form 990-PF, Part II, Line 10b - Columns (b) & (c) - Investments: Corporate Stock**

Shares	Description/Symbol	Line 10b - Column (b) Book Value	Line 10b - Column (c) Fair Market Value
370	VALLEY NATL BANCORP (VLY)	\$5,623 55	\$5,228 10
280	WD-40 COMPANY (WDFC)	\$8,651 24	\$9,060 80
816	WILLIAMS COS (WMB)	\$27,429 84	\$17,201 28
221	WAL-MART STORES INC (WMT)	\$12,305 93	\$11,812 45
245	WAUSAU PAPER CORP (WPP)	\$2,460 85	\$2,842 00
185	WORLD ACCEPTANCE CORP (WRLD)	\$3,823 95	\$6,628 55
185	YOUNG INNOVATIONS, INC (YDNT)	\$3,430 72	\$4,584 30
450	ZURICH FINCL SVC AR NEW (ZFSVY PK)	\$8,331 30	\$9,783 00
TOTAL		\$2,716,079	\$2,978,940

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**Form 990-PF, Part II, Line 10c - Columns (b) & (c) - Investments: Corporate Bonds**

Shares	Description/Symbol/CUSIP Number	Line 10c - Column (b) Book Value	Line 10c - Column (c) Fair Market Value
100000	AMER EXPRESS CREDIT CO 0 586% DUE 10-04-10 (0258M0BW8)	\$90,332 00	\$99,739 00
25000	AMERICAN TOWER CORP SER 144A 04 62500% 04/01/2015 (029912AZ5)	\$24,966 00	\$25,286 25
50000	AUTOZONE INC 4 375% DUE 06-01-13 (0533332AD4)	\$45,101 25	\$50,838 50
50000	BERKSHIRE HATHAWAY FIN - 4 850% - 01/15/2015 (084664AT8)	\$52,561 00	\$52,923 00
45000	CITIGROUP INC NT 5 50000% 04/11/2013 (172967EQ0)	\$45,008 85	\$46,654 20
25000	COMPUTER SCIENCES CORP 7 375% DUE 06-15-11 (205363AE4)	\$25,125 00	\$26,904 25
25000	EMERSON ELECTRIC CO (291011BA1)	\$24,763 75	\$25,765 50
50000	ESTEE LAUDER CO INC NT - 7 7500% - 11/01/2013 (29736RAD2)	\$53,266 50	\$57,082 00
50000	GMAC LLC GTD NT 02 20000% 12/19/2012 (36186CBF9)	\$50,131 00	\$50,474 50
25000	GENERAL DYNAMICS CORP - 1 800% - 07/15/2011 (369550AP3)	\$24,888 50	\$25,278 25
100000	GE CAP CORP NTS - 4 870% - 03/04/2015 (36962GP65)	\$100,196 73	\$103,880 00
65000	GOLDMAN SACHS GRP INC MTN BE 6 00000% 05/01/2014 (38141EA33)	\$69,603 85	\$70,853 25
50000	IBM INTL GROUP CAP NT - 5 050% - 10/23/2012 (44924EAB6)	\$50,878 00	\$54,275 00
50000	JP MORGAN CHASE & CO GLBL SB NT 5 750% 01/02/2013 (46625HA77)	\$50,937 50	\$53,918 00
50000	KOHL'S CORP NT - 6 250% - 12/15/2017 (500255AP9)	\$55,215 50	\$55,131 00
50000	PPL ENERGY SUPPLY LLCNOTE 06 50000% 05/01/2018 (69352JAL1)	\$43,425 00	\$52,135 00
50000	PPL ENERGY SUPPLY LLC 6 300% DUE 07-15-13 (69352JAM9)	\$48,184 00	\$53,842 50
50000	PEPSICO INC SR NT - 5 150% - 05/15/2012 (713448BF4)	\$51,700 50	\$53,976 00
50000	PROCTER & GAMBLE CO 4 950% DUE 08-15-14 (742718DA4)	\$53,165 50	\$54,399 50
50000	AQUILA CANADA FINANCE 7 750% DUE 06-15-11 (91800KAB2)	\$49,750 00	\$52,530 00
50000	VIACOM INC NOTE 04 25000% 09/15/2015 (92553PAF9)	\$49,920 00	\$50,392 50
25000	VODAFONE GROUP PLC NOTE 03 375% 11/24/2015 (92857WAU4)	\$24,800 00	\$24,196 25
50000	WELLS FARGO NT - 5 125% - 09/01/2012 (949746CL3)	\$50,940 00	\$52,646 50
15000	YUM BRANDS INC SR NT 6 250% 04/15/2016 (988498AB7)	\$15,468 60	\$16,107 60
	<b>TOTAL</b>	<b>\$1,150,329</b>	<b>\$1,209,229</b>

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**Form 990-PF, Part II, Line 13 - Investments - Other**

Asset Description	Line 13 - Column (b) Book Value	Line 13 - Column (c) Fair Market Value
AETOS CAPITAL DISTRESSED INV STRATEGIES CAYMAN FD (ACDISCMFD)	\$153,084 83	\$162,187 65
AETOS CAPITAL LONG/SHORT STRATEGIES CAYMAN FUND (ACLSICYFD)	\$345,397 96	\$347,844 52
AETOS CAPITAL MULTI-STRATEGY ARBITRAGE CAYMAN FUND (ACMSCYFD)	\$138,820 77	\$144,320 76
AETOS CAPITAL OPPORTUNITIES CAYMAN FUND (ACOPCYFD)	\$68,289 43	\$69,737 91
ALTUS ASSOC PRIVATE EQUITY FUND LP (ALTUSEQ)	\$129,408 65	\$121,827 00
FWIM HIGH YIELD CAPITAL APPRECIATION LP (FWIXP)	\$319,572 42	\$349,305 00
FIRST WESTERN TALF LLC (ISTWESTTALF)	\$287,966 00	\$317,471 42
<b>TOTAL INVESTMENTS - OTHER</b>	<b>\$1,442,540</b>	<b>\$1,512,694</b>