Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [X] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation: SWART FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) | Room/suite: 7219 MARLOW PLACE

City or town, state, and ZIP code: UNIVERSITY PARK, FL 34201

A Employer identification number: 26-6046163

B Telephone number: (941) 359-1213

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 371,051.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	139,896.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	21.	21.		STATEMENT 1
	4 Dividends and interest from securities	11,428.	11,428.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<27,758.>			
	b Gross sales price for all assets on line 6a 230,369.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total** Add lines 1 through 11	123,587.	11,449.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees STMT 3	1,258.	1,258.		0.
	17 Interest				
	18 Taxes				
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	1,258.	1,258.		0.
	25 Contributions, gifts, grants paid	102,000.			102,000.
	26 Total expenses and disbursements Add lines 24 and 25	103,258.	1,258.		102,000.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	20,329.			
	b **Net investment income** (if negative, enter -0-)		10,191.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets			
1 Cash - non-interest-bearing			
2 Savings and temporary cash investments	9,440.	41,701.	41,701.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S and state government obligations			
b Investments - corporate stock STMT 4	251,785.	228,831.	213,419.
c Investments - corporate bonds STMT 5	100,000.	111,022.	115,931.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other			
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers)	361,225.	381,554.	371,051.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	361,225.	381,554.	
30 **Total net assets or fund balances**	361,225.	381,554.	
31 **Total liabilities and net assets/fund balances**	361,225.	381,554.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	361,225.
2 Enter amount from Part I, line 27a	2	20,329.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	381,554.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	381,554.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED SCHEDULE (3384)	P	VARIOUS	VARIOUS
b	2,000 SHARES CIGNA	D	12/08/09	12/09/09
c	2,000 SHARES CIGNA	D	12/17/09	12/18/09
d	MISC COST BASIS ADJ	P		12/31/09
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	90,473.		117,552.	<27,079.>
b	67,716.		67,716.	0.
c	72,180.		72,180.	0.
d			679.	<679.>
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<27,079.>
b				0.
c				0.
d				<679.>
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — <27,758.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	121,937.	357,586.	.341000
2007	0.	197,966.	.000000
2006			
2005			
2004			

2 **Total** of line 1, column (d)	2	.341000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.170500
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	282,956.
5 Multiply line 4 by line 3	5	48,244.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	102.
7 Add lines 5 and 6	7	48,346.
8 Enter qualifying distributions from Part XII, line 4	8	102,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	102.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	102.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	102.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	0.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	102.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ ALLEN D. MAST, CPA Telephone no. ▶ (941) 953-5036			
	Located at ▶ 1001 N WASHINGTON BD STE 107, SARASOTA, FL ZIP+4 ▶ 34236			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes [X] No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes [X] No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes [X] No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes [X] No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes [X] No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A — 5b

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes [X] No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b — No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes [X] No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY C. SWART 7219 MARLOW PLACE UNIVERSTIY PARK, FL 34201	TRUSTEE 2.00	0.	0.	0.
RAYMOND E. SWART 7219 MARLOW PLACE UNIVERSTIY PARK, FL 34201	TRUSTEE 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	287,265.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	287,265.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	287,265.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	4,309.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	282,956.
6	**Minimum investment return** Enter 5% of line 5	6	14,148.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	14,148.
2a	Tax on investment income for 2009 from Part VI, line 5 — 2a: 102.		
b	Income tax for 2009. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	102.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,046.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	14,046.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,046.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	102,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	102,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	102.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	101,898.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				14,046.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 103,491.				
f **Total** of lines 3a through e	103,491.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 102,000.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				14,046.
e Remaining amount distributed out of corpus	87,954.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	191,445.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	191,445.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 103,491.				
e Excess from 2009 87,954.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NANCY C. SWART

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

SEE STATEMENT 6

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient — Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 7				
Total			▶ 3a	102,000.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	21.	
4 Dividends and interest from securities			14	11,428.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<27,758.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		<16,309.>	0.

13 **Total** Add line 12, columns (b), (d), and (e) 13 <16,309.>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Nancy C Swart | 5/7/10 | TRUSTEE

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Allen Mast, CPA	Date 05/03/10	Check if self-employed ☒	Preparer's identifying number
Firm's name (or yours if self-employed), address, and ZIP code	ALLEN D. MAST, CPA 1001 N. WASHINGTON BLVD. SUITE 107 SARASOTA, FL 34236	EIN	
		Phone no.	(941) 953-5036

923622
02-02-10

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

SWART FAMILY FOUNDATION

Employer identification number

26-6046163

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: SWART FAMILY FOUNDATION

Employer identification number: 26-6046163

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	NANCY C. SWART 7219 MARLOW PLACE UNIVERSITY PARK, FL 34201	$ 139,896.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

SWART FAMILY FOUNDATION 26-6046163

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BERNSTEIN	3.
REGIONS BANK	18.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	21.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BERNSTEIN	4,178.	0.	4,178.
REGIONS BANK	7,250.	0.	7,250.
TOTAL TO FM 990-PF, PART I, LN 4	11,428.	0.	11,428.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STOCKBROKER FEES	1,258.	1,258.		0.
TO FORM 990-PF, PG 1, LN 16C	1,258.	1,258.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
BERNSTEIN BROKERAGE ACCOUNT	228,831.	213,419.
TOTAL TO FORM 990-PF, PART II, LINE 10B	228,831.	213,419.

FORM 990-PF CORPORATE BONDS STATEMENT 5

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
REGIONS BANK BROERAGE ACCOUNT	111,022.	115,931.
TOTAL TO FORM 990-PF, PART II, LINE 10C	111,022.	115,931.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 6
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

NANCY C. SWART
7219 MARLOW PLACE
UNIVERSITY PARK, FL 34201

TELEPHONE NUMBER	NAME OF GRANT PROGRAM
(941) 359-1213	NONE

FORM AND CONTENT OF APPLICATIONS

WRITTEN, PROOF RECIPIENT IS A 501(C)(3) ORGANIZATION, AND REASON FOR AND AMOUNT OF REQUEST.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 7

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
ALL CHILDREN'S HOSPITAL P.O. BOX 3142 ST PETERSBURG, FL 33731	UNRESTRICTED GENERAL FUND	PUBLIC CHARITY-50	10,000.
ALL CHILDREN'S HOSPITAL FOUNDATION P.O. BOX 3142 ST PETERSBURG, FL 33731	CAPITAL CAMPAIGN	PUBLIC CHARITY-50	20,000.
JEWISH FAMILY & CHILDREN'S SERVICE 2688 FRUITVILLE ROAD SARASOTA, FL 34237	UNRESTRICTED ANNUAL CAMPAIGN	PUBLIC CHARITY-50	5,000.
JEWISH FEDERATION OF SARASOTA & MANATEE 580 MCINTOSH RD SARASOTA, FL 34232	UNRESTRICTED ANNUAL CAMPAIGN	PUBLIC CHARITY-50	20,000.
JEWISH FEDERATION OF SARASOTA & MANATEE 580 MCINTOSH RD SARASOTA, FL 34232	HAITI RELIEF	PUBLIC CHARITY-50	5,000.
ST. JUDE'S CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	UNRESTRICTED GENERAL FUND	PUBLIC CHARITY-50	20,000.
ST. JUDE'S CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	AIDS RESEARCH	PUBLIC CHARITY-50	10,000.
ST. JUDE'S CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105	RESEARCH - GENOME PROJECT	PUBLIC CHARITY-50	10,000.

THE WELLNES COMMUNITY OF SOUTHWEST FL 3900 CLARK RD SARASOTA, FL 34233	ANNUAL CAMPAIGN	PUBLIC CHARITY-50	1,000.
TIDEWELL HOSPICE & PALLIATIVE CARE 5955 RAND BLVD SARASOTA, FL 34238	GENERAL FUND	PUBLIC CHARITY-50	1,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			102,000.

Capital Gains Report

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted])

January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
LONG-TERM								
06/11/2008	01/08/2009	10	AT&T INC	26.95	36.61	269.51	366.08	-96.57
06/11/2008	01/08/2009	6	BAXTER INTERNATIONAL INC	53.44	60.41	320.62	362.45	-41.83
06/11/2008	01/08/2009	1	CME GROUP INC	188.42	390.75	188.42	390.75	-202.33
06/16/2008	01/08/2009	10	DOMINION RESOURCES INC/VA	35.51	46.21	355.09	462.12	-107.03
06/11/2008	01/08/2009	15	J.C. PENNEY CO INC	22.73	37.80	340.95	566.99	-226.04
07/10/2008	01/08/2009	5	PROCTER & GAMBLE CO	60.35	63.73	301.74	318.64	-16.90
06/11/2008	01/15/2009	8	APPLE INC	82.85	181.61	662.83	1,452.92	-790.09
06/11/2008	01/15/2009	65	CITIGROUP INC	3.81	19.94	247.62	1,295.94	-1,048.32
06/12/2008	01/20/2009	2	BECTON DICKINSON & CO	72.00	81.64	144.00	163.29	-19.29
07/17/2008	01/20/2009	1	BECTON DICKINSON & CO	72.00	83.34	72.00	83.34	-11.34
06/11/2008	01/20/2009	5	***TEVA PHARMACEUTICAL-SP ADR	42.81	43.54	214.05	217.68	-3.63
06/11/2008	01/20/2009	4	***TOYOTA MOTOR CORP -SPON ADR	68.17	101.88	272.68	407.50	-134.82
06/11/2008	01/22/2009	6	***ALCON INC	83.03	157.46	498.21	944.76	-446.55
06/11/2008	01/26/2009	1	GOOGLE INC-CL A	326.88	549.43	326.88	549.43	-222.55
06/11/2008	01/28/2009	20	MACY'S INC	10.03	21.38	200.60	427.64	-227.04
06/11/2008	01/28/2009	80	SPRINT NEXTEL CORP	2.65	8.51	211.68	680.63	-468.95
06/11/2008	01/30/2009	15	***BP PLC-SPONS ADR	42.86	69.92	642.90	1,048.80	-405.90
06/11/2008	02/02/2009	13	CONOCOPHILLIPS	45.98	94.14	597.80	1,223.81	-626.01
06/11/2008	02/02/2009	5	CONOCOPHILLIPS	45.98	94.14	229.92	470.69	-240.77
10/17/2008	02/02/2009	20	DELL INC	9.29	13.09	185.87	261.77	-75.90
12/19/2008	02/03/2009	20	TEXTRON INC	8.32	15.43	166.49	308.68	-142.19
01/08/2009	02/05/2009	5	AFLAC INC	23.43	44.34	117.13	221.69	-104.56
06/11/2008	02/06/2009	2	CME GROUP INC	189.25	390.75	378.51	781.51	-403.00
06/11/2008	02/06/2009	5	GILEAD SCIENCES INC	52.57	53.23	262.87	266.14	-3.27
06/11/2008	02/06/2009	3	MONSANTO CO	84.14	135.37	252.43	406.10	-153.67
10/20/2008	02/09/2009	45	***ERICSSON (LM) TEL-SP ADR	8.92	7.93	401.40	357.02	44.38
06/11/2008	02/09/2009	25	MORGAN STANLEY	23.48	38.32	586.88	958.00	-371.12
06/11/2008	02/10/2009	1	GOLDMAN SACHS GROUP INC	92.39	163.90	92.39	163.90	-71.51
11/10/2008	02/10/2009	2	GOLDMAN SACHS GROUP INC	92.39	77.86	184.77	155.73	29.04
10/21/2008	02/17/2009	20	ACTIVISION BLIZZARD INC	9.53	13.20	190.52	264.04	-73.52
06/11/2008	02/17/2009	5	MORGAN STANLEY	20.12	38.32	100.61	191.60	-90.99
06/11/2008	02/18/2009	4	PHILIP MORRIS INTERNATIONAL	34.89	50.17	139.55	200.68	-61.13
06/11/2008	02/20/2009	40	CITIGROUP INC	2.01	19.94	80.23	797.51	-717.28
09/22/2008	02/20/2009	15	CITIGROUP INC	2.01	20.01	30.09	300.08	-269.99
01/20/2009	02/20/2009	20	WELLS FARGO & COMPANY	9.70	15.89	193.94	317.78	-123.84
06/13/2008	02/24/2009	60	BANK OF AMERICA CORP	4.53	29.26	271.77	1,755.59	-1,483.82
11/10/2008	02/24/2009	10	BANK OF AMERICA CORP	4.53	20.83	45.30	208.29	-162.99
12/15/2008	02/24/2009	15	BANK OF AMERICA CORP	4.53	13.97	67.94	209.50	-141.56
12/30/2008	02/24/2009	5	BANK OF AMERICA CORP	4.53	12.82	22.65	64.08	-41.43
06/11/2008	02/24/2009	15	JPMORGAN CHASE & CO	20.77	37.71	311.52	565.67	-254.15
06/11/2008	02/24/2009	55	SPRINT NEXTEL CORP	3.50	8.51	192.57	467.93	-275.36
06/11/2008	02/25/2009	5	JPMORGAN CHASE & CO	20.87	37.71	104.34	188.55	-84.21
06/18/2008	03/03/2009	7	CATERPILLAR INC	22.76	79.82	159.33	558.77	-399.44
06/11/2008	03/04/2009	5	DEERE & CO	26.64	80.14	133.19	400.72	-267.53
10/20/2008	03/12/2009	10	***ERICSSON (LM) TEL-SP ADR	8.84	7.93	88.40	79.34	9.06
07/10/2008	03/12/2009	5	PHILIP MORRIS INTERNATIONAL	33.76	53.37	168.80	266.86	-98.06

Capital Gains Report

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted])

January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/28/2008	03/12/2009	10	J.C. PENNEY CO INC	16.39	19.23	163 87	192.35	-28.48
12/30/2008	03/13/2009	15	BANK OF AMERICA CORP	5.88	12.82	88 27	192 27	-104 00
06/11/2008	03/17/2009	13	GENENTECH INC	93.80	73 76	1,219.45	958.88	260 57
10/09/2008	03/17/2009	4	GENENTECH INC	93.80	80.82	375.21	323.29	51.92
06/11/2008	03/18/2009	130	AMERICAN INTERNATIONAL GROUP	1 31	33 27	169 92	4,324.98	-4,155.06
12/19/2008	03/18/2009	55	FIFTH THIRD BANCORP	2.16	7.68	118.72	422.17	-303.45
01/07/2009	03/20/2009	15	BANK OF AMERICA CORP	6.05	13 98	90.79	209.74	-118.95
01/08/2009	03/20/2009	15	BANK OF AMERICA CORP	6.05	13.53	90.80	203.02	-112.22
06/11/2008	03/20/2009	5	MEDCO HEALTH SOLUTIONS INC	38.95	47.59	194 75	237.94	-43.19
06/11/2008	03/23/2009	40	GANNETT CO	2 28	25 95	91 28	1,037.92	-946.64
07/10/2008	03/23/2009	15	GANNETT CO	2.28	18.56	34.23	278.43	-244.20
06/11/2008	03/23/2009	5	JPMORGAN CHASE & CO	26.53	37 71	132 66	188 55	-55.89
06/11/2008	03/23/2009	20	***ROYAL DUTCH SHELL PLC-ADR	46 66	83 00	933.20	1,659.97	-726.77
06/11/2008	03/24/2009	5	MORGAN STANLEY	24.67	38 32	123 34	191 60	-68.26
06/11/2008	03/26/2009	15	ALTRIA GROUP INC	17 19	20 91	257 90	313 71	-55.81
06/11/2008	03/26/2009	5	ABBOTT LABORATORIES	46.18	53.39	230.92	266 93	-36 01
10/09/2008	03/26/2009	9	GENENTECH INC	95.00	80.82	855.00	727.41	127.59
01/30/2009	03/26/2009	4	GENENTECH INC	95.00	81.14	380 00	324 56	55.44
06/11/2008	03/26/2009	10	MERCK & CO. INC	27.41	35 88	274 09	358 75	-84 66
06/11/2008	03/26/2009	25	PFIZER INC	14.43	17.62	360 79	440 56	-79.77
10/28/2008	03/30/2009	5	J.C. PENNEY CO INC	20.30	19.24	101.50	96.18	5.32
09/12/2008	03/31/2009	40	NVIDIA CORP	9.96	9.99	398.49	399.75	-1.26
12/01/2008	04/02/2009	3	BUNGE LTD	58.35	38.99	175 04	116.97	58.07
06/11/2008	04/03/2009	20	SUPERVALU INC	14.96	32.96	299 28	659.18	-359 90
06/11/2008	04/03/2009	2	***TOYOTA MOTOR CORP -SPON ADR	74.81	101.88	149.62	203.75	-54.13
	04/06/2009		TIME WARNER INC			14.34		14 34
	04/06/2009		TIME WARNER CABLE INC			5 77		5 77
06/11/2008	04/08/2009	3	ABBOTT LABORATORIES	43.53	53 39	130 58	160 16	-29 58
06/11/2008	04/08/2009	4	COLGATE-PALMOLIVE CO	60.23	74 17	240 91	296 69	-55 73
12/18/2008	04/08/2009	11	TJX COMPANIES INC	26.42	20 80	290.63	228 82	61 81
12/01/2008	04/09/2009	3	BUNGE LTD	56 91	38 99	170 72	116 97	53 75
06/11/2008	04/09/2009	5	GOLDMAN SACHS GROUP INC	119.04	163 90	595 19	819 48	-224 29
08/25/2008	04/09/2009	9	WYETH	42 30	42 17	380 68	379 57	1 11
06/11/2008	04/13/2009	15	HARTFORD FINANCIAL SVCS GRP	11 45	71 06	171 74	1,065 89	-894 15
06/11/2008	04/13/2009	5	MORGAN STANLEY	26.38	38.32	131.88	191 60	-59.72
06/11/2008	04/14/2009	1	***DEUTSCHE BANK AG-REGISTERED	51 39	95.46	51.39	95.46	-44.07
06/11/2008	04/14/2009	9	***DEUTSCHE BANK AG-REGISTERED	51 39	95.46	462 51	859 13	-396 62
06/11/2008	04/14/2009	6	METLIFE INC	27 01	56.75	162 06	340.50	-178 44
10/09/2008	04/14/2009	10	***NOKIA CORP-SPON ADR	13 66	16.62	136.62	166 22	-29 60
06/11/2008	04/16/2009	6	ABBOTT LABORATORIES	42 50	53 39	255.03	320 32	-65.29
06/11/2008	04/16/2009	5	COLGATE-PALMOLIVE CO	59.11	74.17	295 53	370 87	-75.34
06/11/2008	04/20/2009	1	GOOGLE INC-CL A	380.06	549 43	380 06	549 43	-169.37
09/22/2008	04/20/2009	6	PROCTER & GAMBLE CO	51 40	69.71	308 38	418 29	-109.91
09/24/2008	04/23/2009	4	LOCKHEED MARTIN CORP	75.33	108 38	301 32	433 51	-132 19
11/19/2008	04/23/2009	1	LOCKHEED MARTIN CORP	75 33	73.76	75.33	73 76	1 57
06/11/2008	04/24/2009	4	***TOYOTA MOTOR CORP -SPON ADR	80 40	101.88	321 60	407 50	-85 90
06/11/2008	04/28/2009	6	ABBOTT LABORATORIES	43 18	53 39	259 10	320 33	-61 23
06/12/2008	04/28/2009	15	MICROSOFT CORP	20 08	27.26	301 18	408 84	-107 66
02/10/2009	04/28/2009	4	MICROSOFT CORP	20 08	18 97	80 32	75.90	4 42

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted]) January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/11/2008	04/28/2009	8	WAL-MART STORES INC	48.75	58.94	390.01	471.54	-81.53
06/11/2008	04/29/2009	15	ALTRIA GROUP INC	16.94	20.91	254.15	313.71	-59.56
12/18/2008	04/29/2009	14	TJX COMPANIES INC	28.20	20.80	394.78	291.23	103.55
06/11/2008	04/30/2009	4	GILEAD SCIENCES INC	45.40	53.23	181.59	212.91	-31.32
06/11/2008	04/30/2009	7	***ROYAL DUTCH SHELL PLC-ADR	46.28	83.00	323.96	580.99	-257.03
06/11/2008	05/01/2009	7	ALLSTATE CORP	23.39	49.65	163.75	347.58	-183.83
07/17/2008	05/01/2009	3	BECTON DICKINSON & CO	61.06	83.34	183.16	250.03	-66.87
07/23/2008	05/01/2009	3	BECTON DICKINSON & CO	61.06	85.22	183.17	255.65	-72.48
07/25/2008	05/01/2009	1	BECTON DICKINSON & CO	61.06	85.28	61.06	85.28	-24.22
06/11/2008	05/01/2009	8	COCA-COLA CO/THE	42.34	57.33	338.74	458.67	-119.93
06/11/2008	05/01/2009	4	EOG RESOURCES INC	66.08	129.09	264.32	516.37	-252.05
06/11/2008	05/01/2009	7	HEWLETT-PACKARD CO	35.84	47.00	250.90	328.98	-78.08
02/10/2009	05/01/2009	10	MICROSOFT CORP	20.00	18.97	200.03	189.75	10.28
07/10/2008	05/01/2009	5	PHILIP MORRIS INTERNATIONAL	36.89	53.37	184.46	266.87	-82.41
08/11/2008	05/01/2009	8	PHILIP MORRIS INTERNATIONAL	36.89	55.21	295.13	441.69	-146.56
07/25/2008	05/04/2009	2	BECTON DICKINSON & CO	60.79	85.28	121.58	170.56	-48.98
04/03/2009	05/04/2009	2	BECTON DICKINSON & CO	60.79	66.26	121.58	132.53	-10.95
06/12/2008	05/04/2009	4	MCDONALD'S CORP	52.62	59.50	210.46	238.02	-27.56
06/11/2008	05/04/2009	5	***TEVA PHARMACEUTICAL-SP ADR	44.22	43.54	221.11	217.68	3.43
06/11/2008	05/05/2009	2	COLGATE-PALMOLIVE CO	61.70	74.17	123.41	148.35	-24.94
07/28/2008	05/05/2009	2	COLGATE-PALMOLIVE CO	61.70	68.94	123.41	137.88	-14.47
12/03/2008	05/05/2009	5	MOLSON COORS BREWING CO -B	42.08	41.87	210.38	209.35	1.03
10/22/2008	05/06/2009	15	THE WALT DISNEY CO.	24.80	24.32	371.97	364.85	7.12
10/20/2008	05/06/2009	10	***ERICSSON (LM) TEL-SP ADR	8.78	7.93	87.80	79.34	8.46
12/18/2008	05/06/2009	10	***ERICSSON (LM) TEL-SP ADR	8.78	7.92	87.80	79.17	8.63
03/20/2009	05/06/2009	10	HONEYWELL INTERNATIONAL INC	32.84	27.98	328.44	279.76	48.68
06/11/2008	05/06/2009	2	MONSANTO CO	89.30	135.36	178.60	270.73	-92.13
09/16/2008	05/06/2009	25	NVIDIA CORP	11.61	9.43	290.26	235.78	54.48
06/11/2008	05/07/2009	3	***ALCON INC	96.26	157.46	288.78	472.38	-183.60
11/19/2008	05/07/2009	2	LOCKHEED MARTIN CORP	79.35	73.76	158.69	147.53	11.16
02/10/2009	05/07/2009	1	MICROSOFT CORP	19.32	18.98	19.32	18.98	0.34
03/06/2009	05/07/2009	8	MICROSOFT CORP	19.32	15.32	154.55	122.57	31.98
06/11/2008	05/07/2009	5	PEPSICO INC	49.51	67.56	247.53	337.81	-90.28
06/12/2008	05/07/2009	4	QUALCOMM INC	41.91	48.79	167.64	195.16	-27.52
06/11/2008	05/07/2009	3	***TEVA PHARMACEUTICAL-SP ADR	44.34	43.54	133.02	130.61	2.41
06/11/2008	05/11/2009	4	COCA-COLA CO/THE	42.83	57.33	171.32	229.34	-58.02
06/11/2008	05/11/2009	3	MEDCO HEALTH SOLUTIONS INC	44.70	47.59	134.09	142.76	-8.67
06/11/2008	05/12/2009	4	BAXTER INTERNATIONAL INC	50.83	60.41	203.32	241.64	-38.32
10/17/2008	05/12/2009	13	HOME DEPOT INC	24.54	19.53	319.04	253.84	65.20
08/08/2008	05/15/2009	4	DEVON ENERGY CORPORATION	61.06	90.34	244.24	361.37	-117.13
06/11/2008	05/18/2009	3	PHILIP MORRIS INTERNATIONAL	42.82	50.17	128.47	150.51	-22.04
10/28/2008	05/19/2009	4	EASTMAN CHEMICAL COMPANY	40.45	34.69	161.78	138.78	23.00
01/20/2009	05/19/2009	5	JACOBS ENGINEERING GROUP INC	40.00	46.36	200.02	231.80	-31.78
08/11/2008	05/20/2009	5	ABBOTT LABORATORIES	43.57	58.84	217.83	294.20	-76.37
10/21/2008	05/21/2009	10	SCHWAB (CHARLES) CORP	16.79	20.69	167.87	206.95	-39.08
02/18/2009	05/21/2009	2	SCHWAB (CHARLES) CORP	16.79	12.78	33.57	25.56	8.01
02/10/2009	05/22/2009	30	GAP INC/THE	16.36	11.63	490.86	348.94	141.92
06/11/2008	05/26/2009	16	CISCO SYSTEMS INC	18.50	25.74	296.06	411.78	-115.72
06/11/2008	05/26/2009	7	METLIFE INC	30.29	56.75	212.02	397.25	-185.23

Capital Gains Report

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted]) January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/25/2008	05/26/2009	6	WYETH	44.25	42.17	265.52	253.05	12.47
06/11/2008	05/28/2009	3	MONSANTO CO	79.22	135.37	237.66	406.11	-168.45
04/23/2009	05/28/2009	12	NETAPP INC	18.70	18.40	224 37	220 82	3.55
06/11/2008	05/28/2009	29	SAFEWAY INC	19 95	30.72	578.56	890 74	-312.18
06/11/2008	05/29/2009	2	MONSANTO CO	81.34	135.37	162.68	270 74	-108.06
06/11/2008	06/01/2009	3	***DEUTSCHE BANK AG-REGISTERED	69.49	95.46	208.47	286.38	-77.91
02/18/2009	06/01/2009	8	SCHWAB (CHARLES) CORP	18.19	12 78	145.51	102 27	43.24
06/11/2008	06/01/2009	25	WESTERN DIGITAL CORP	25.64	38.13	641.12	953.29	-312.17
08/21/2008	06/01/2009	1	WESTERN DIGITAL CORP	25.64	28.32	25.64	28.32	-2 68
08/21/2008	06/01/2009	1	WESTERN DIGITAL CORP	25.64	28.32	25 64	28.32	-2.68
06/11/2008	06/04/2009	3	FRANKLIN RESOURCES INC	72.69	98.89	218 08	296.67	-78.59
06/11/2008	06/04/2009	8	HEWLETT-PACKARD CO	35.78	47.00	286.27	375.98	-89.71
06/11/2008	06/04/2009	3	MONSANTO CO	82.00	135.37	246.01	406.11	-160.10
06/11/2008	06/04/2009	30	***SANOFI-AVENTIS ADR	32.88	33 46	986.29	1,003.68	-17.39
06/11/2008	06/05/2009	10	CARDINAL HEALTH INC	29.94	53 70	299.42	537 05	-237.63
01/20/2009	06/05/2009	20	LINCOLN NATIONAL CORP	19 33	16 88	386.56	337 57	48 99
08/11/2008	06/12/2009	2	PHILIP MORRIS INTERNATIONAL	43.41	55.21	86 82	110.43	-23.61
11/11/2008	06/12/2009	2	PHILIP MORRIS INTERNATIONAL	43.41	41 31	86 82	82.63	4.19
10/28/2008	06/15/2009	4	EASTMAN CHEMICAL COMPANY	39 19	34.69	156.75	138.78	17 97
12/15/2008	06/15/2009	10	***MAGNA INTERNATIONAL INC-CL	37.11	29 04	371.07	290 39	80 68
06/12/2008	06/16/2009	3	CHEVRON CORP	70.16	98 29	210 48	294.86	-84 38
06/11/2008	06/19/2009	12	PHILIP MORRIS INTERNATIONAL	42.14	50 17	505 68	602.08	-96 40
06/19/2008	06/22/2009	2	AMGEN INC	51.18	44.53	102 35	89.07	13 28
06/20/2008	06/22/2009	5	AMGEN INC	51 18	45.03	255.88	225.16	30.72
03/20/2009	06/22/2009	2	AMGEN INC	51.18	49.18	102.35	98.36	3.99
06/11/2008	06/23/2009	11	MORGAN STANLEY	26.51	38.32	291.64	421.52	-129.88
06/11/2008	06/24/2009	9	HEWLETT-PACKARD CO	37 52	47 00	337.71	422.98	-85.27
06/11/2008	06/25/2009	4	EOG RESOURCES INC	68.50	129.09	273 99	516 37	-242.38
06/11/2008	06/25/2009	4	EOG RESOURCES INC	68 50	129.09	273 99	516 38	-242.39
01/02/2009	06/26/2009	40	CORNING INC	16.03	9.51	641 39	380.34	261.05
10/22/2008	06/26/2009	5	EMERSON ELECTRIC CO	33 04	33.03	165.21	165.17	0.04
06/11/2008	06/26/2009	3	MONSANTO CO	75.68	135.37	227.05	406 11	-179.06
06/11/2008	06/26/2009	9	METLIFE INC	29.82	56 75	268 42	510 75	-242.33
10/09/2008	06/26/2009	15	***NOKIA CORP-SPON ADR	14 93	16 62	224 00	249 33	-25.33
01/08/2009	06/26/2009	25	TYSON FOODS INC-CL A	12 67	8 09	316 68	202 19	114 49
06/11/2008	06/29/2009	13	CISCO SYSTEMS INC	19.03	25.74	247 33	334 57	-87.24
06/11/2008	07/01/2009	12	TRAVELERS COS INC/THE	41 15	47 15	493 85	565 84	-71 99
06/11/2008	07/07/2009	1	CME GROUP INC	283 48	390 75	283 48	390 75	-107 27
06/11/2008	07/07/2009	6	CELGENE CORP	46.73	59 65	280 41	357 90	-77.49
06/12/2008	07/08/2009	4	MCDONALD'S CORP	56 57	59.51	226.28	238 03	-11.75
06/11/2008	07/09/2009	4	EOG RESOURCES INC	62.44	129.09	249.75	516.38	-266 63
06/11/2008	07/10/2009	9	EXXON MOBIL CORP	65.09	88.47	585.85	796.20	-210.35
03/20/2009	07/13/2009	5	AMGEN INC	57.83	49.18	289 16	245.92	43.24
06/12/2008	07/13/2009	7	CHEVRON CORP	61.77	98.29	432 42	688.01	-255.59
06/11/2008	07/13/2009	2	EOG RESOURCES INC	62.84	129.09	125.68	258.19	-132 51
02/10/2009	07/13/2009	3	EOG RESOURCES INC	62.84	70 23	188.52	210.69	-22.17
04/14/2009	07/13/2009	4	PROCTER & GAMBLE CO	52.66	47 51	210.65	190.04	20 61
06/11/2008	07/16/2009	13	HEWLETT-PACKARD CO	39 13	47 00	508 71	610 97	-102 26
06/12/2008	07/16/2009	3	MCDONALD'S CORP	57.08	59 51	171.23	178.53	-7.30

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/01/2008	07/16/2009	2	MCDONALD'S CORP	57.08	62 40	114.16	124 81	-10.65
10/28/2008	07/17/2009	20	BRISTOL-MYERS SQUIBB CO	20.00	18 86	400 03	377 12	22.91
01/16/2009	07/17/2009	8	CAPITAL ONE FINANCIAL CORP	26.66	24.27	213.30	194 15	19.15
01/30/2009	07/20/2009	10	THE WALT DISNEY CO.	24.91	21.36	249.06	213.56	35 50
02/05/2009	07/20/2009	1	THE WALT DISNEY CO.	24.91	18 97	24.91	18.97	5 94
06/11/2008	07/21/2009	10	AUTOLIV INC	32.40	52.18	324.01	521.78	-197.77
04/23/2009	07/22/2009	14	ELI LILLY & CO	34.44	32.51	482.15	455.08	27.07
06/11/2008	07/22/2009	4	MONSANTO CO	79.72	135.37	318 89	541.48	-222.59
06/30/2008	07/22/2009	1	MONSANTO CO	79.72	129.03	79 72	129.03	-49 31
06/11/2008	07/22/2009	8	***ROYAL DUTCH SHELL PLC-ADR	51.10	83.00	408.78	664.00	-255.22
04/14/2009	07/22/2009	3	***ROYAL DUTCH SHELL PLC-ADR	51 10	42.58	153 29	127 74	25.55
04/20/2009	07/23/2009	9	LIBERTY ENTERTAINMENT-CL A	26.93	22.68	242 41	204 08	38.33
11/11/2008	07/27/2009	3	PHILIP MORRIS INTERNATIONAL	46.63	41.32	139 89	123.96	15 93
06/11/2008	07/29/2009	5	CARDINAL HEALTH INC	33.70	53 70	168.52	268 52	-100 00
01/06/2009	07/31/2009	10	ARCHER-DANIELS-MIDLAND CO	30 30	28.73	303 02	287.30	15 72
06/11/2008	08/03/2009	19	CISCO SYSTEMS INC	22.52	25 74	427.84	488 99	-61 15
06/11/2008	08/03/2009	3	CISCO SYSTEMS INC	22.52	25.74	67.55	77.21	-9.66
02/05/2009	08/04/2009	9	THE WALT DISNEY CO.	25 41	18 97	228.65	170.76	57.89
02/10/2009	08/04/2009	1	EOG RESOURCES INC	76.15	70 23	76.15	70.23	5.92
03/20/2009	08/04/2009	1	EOG RESOURCES INC	76.15	64.45	76.16	64 45	11.71
10/01/2008	08/04/2009	6	MCDONALD'S CORP	55.01	62.41	330 04	374 46	-44.42
07/10/2008	08/05/2009	10	HARTFORD FINANCIAL SVCS GRP	16.35	62.47	163 48	624.71	-461.23
07/08/2009	08/05/2009	15	HARTFORD FINANCIAL SVCS GRP	16.35	10.33	245 21	154.91	90 30
06/11/2008	08/05/2009	21	SAFEWAY INC	18.65	30 72	391.73	645.02	-253.29
10/22/2008	08/06/2009	5	EMERSON ELECTRIC CO	34.79	33.04	173.95	165 18	8.77
01/20/2009	08/06/2009	9	EMERSON ELECTRIC CO	34 79	33.19	313.11	298.71	14.40
06/30/2008	08/06/2009	2	MONSANTO CO	84.05	129.03	168 10	258 06	-89 96
06/17/2008	08/06/2009	45	MOTOROLA INC	7 11	9.01	320 12	405 46	-85 34
07/01/2008	08/07/2009	2	APACHE CORP	87 29	141 06	174.58	282.13	-107.55
09/22/2008	08/07/2009	7	PROCTER & GAMBLE CO	51 91	69.72	363.38	488.01	-124 63
10/24/2008	08/07/2009	1	PROCTER & GAMBLE CO	51.91	58.23	51 91	58 23	-6 32
06/12/2008	08/10/2009	8	CHEVRON CORP	69.25	98 29	554.00	786.30	-232.30
06/11/2008	08/10/2009	25	CARDINAL HEALTH INC	33.57	53 71	839.15	1,342 64	-503 49
01/16/2009	08/10/2009	12	CAPITAL ONE FINANCIAL CORP	35 00	24.27	420.04	291.24	128 80
01/20/2009	08/10/2009	10	CAPITAL ONE FINANCIAL CORP	35.00	23.53	350.04	235.29	114 75
07/28/2008	08/10/2009	25	GENWORTH FINANCIAL INC-CL A	8.74	16.35	218.54	408.71	-190.17
12/12/2008	08/10/2009	15	NEWS CORP	11.29	7 85	169 36	117 70	51.66
01/30/2009	08/10/2009	1	OCCIDENTAL PETROLEUM CORP	69.71	54.54	69.70	54.54	15.16
06/18/2009	08/10/2009	3	OCCIDENTAL PETROLEUM CORP	69.71	64 49	209.12	193.46	15.66
01/08/2009	08/12/2009	20	TYSON FOODS INC-CL A	11.13	8.09	222.68	161.76	60.92
	08/18/2009		***TAIWAN SEMICONDUCTOR MFG CO			2.07		2 07
06/11/2008	08/19/2009	12	EXXON MOBIL CORP	67.41	88 47	808.98	1,061.61	-252.63
01/20/2009	08/19/2009	7	EMERSON ELECTRIC CO	34.61	33 19	242 27	232 33	9.94
03/20/2009	08/20/2009	2	EOG RESOURCES INC	73 59	64.45	147 18	128 91	18 27
06/30/2008	08/24/2009	2	MONSANTO CO	84.57	129 03	169 13	258.07	-88 94
12/18/2008	08/25/2009	30	***ERICSSON (LM) TEL-SP ADR	9 48	7.92	284 34	237 51	46 83
06/11/2008	08/27/2009	7	NIKE INC -CL B	56 01	68 03	392 06	476 19	-84 13
06/11/2008	08/28/2009	8	HEWLETT-PACKARD CO	44 82	47 00	358.60	375.98	-17.38
06/11/2008	09/01/2009	11	ALLSTATE CORP	29.30	49.66	322.35	546.21	-223 86

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/28/2008	09/01/2009	20	GENWORTH FINANCIAL INC-CL A	10.59	16.35	211.73	326.97	-115.24
04/23/2009	09/01/2009	16	ELI LILLY & CO	33.04	32.51	528 70	520.10	8 60
08/21/2008	09/01/2009	10	XL CAPITAL LTD -CLASS A	17.03	18.49	170.32	184.91	-14.59
05/05/2009	09/02/2009	8	TIME WARNER CABLE	35.82	33.88	286.55	271.04	15.51
06/11/2008	09/09/2009	3	BAXTER INTERNATIONAL INC	55 97	60 41	167.92	181.23	-13 31
07/28/2008	09/09/2009	4	BAXTER INTERNATIONAL INC	55.97	67.67	223.90	270.68	-46.78
06/11/2008	09/10/2009	20	ALTRIA GROUP INC	18.54	20.91	370.74	418 28	-47 54
07/10/2008	09/10/2009	15	ALTRIA GROUP INC	18.54	21.16	278 05	317.42	-39 37
04/13/2009	09/10/2009	20	ALTRIA GROUP INC	18 54	16 50	370 74	329 99	40.75
07/14/2009	09/10/2009	10	ALTRIA GROUP INC	18 54	16 62	185 37	166 20	19.17
10/28/2008	09/10/2009	7	EASTMAN CHEMICAL COMPANY	51 73	34 70	362.14	242 89	119.25
10/24/2008	09/10/2009	3	PROCTER & GAMBLE CO	55.88	58.23	167.63	174.70	-7.07
11/10/2008	09/11/2009	2	GOLDMAN SACHS GROUP INC	174.69	77.87	349.38	155 74	193.64
09/26/2008	09/11/2009	2	JPMORGAN CHASE & CO	42.58	40.50	85.16	81.00	4.16
10/09/2008	09/11/2009	7	JPMORGAN CHASE & CO	42.58	40.68	298.06	284.79	13.27
05/05/2009	09/11/2009	4	NUCOR CORP	46.43	44 09	185.71	176.35	9.36
06/11/2008	09/16/2009	5	COCA-COLA CO/THE	52.34	57.34	261.68	286.68	-25 00
01/20/2009	09/16/2009	4	EMERSON ELECTRIC CO	40.50	33.19	161 98	132.76	29.22
03/26/2009	09/16/2009	1	EMERSON ELECTRIC CO	40.50	28.93	40 50	28.93	11.57
06/11/2008	09/16/2009	5	FLUOR CORP	55.78	91.22	278.89	456.12	-177.23
06/11/2008	09/16/2009	4	MEDCO HEALTH SOLUTIONS INC	55.76	47.59	223.05	190.35	32.70
10/01/2008	09/16/2009	4	MCDONALD'S CORP	55.42	62 41	221 69	249.64	-27 95
02/12/2009	09/16/2009	1	MCDONALD'S CORP	55.42	56 72	55 42	56.72	-1 30
03/06/2009	09/16/2009	7	MICROSOFT CORP	25 09	15.32	175.61	107.26	68.35
06/11/2008	09/21/2009	2	APPLE INC	182.14	181 61	364 29	363 23	1 06
05/05/2009	09/23/2009	3	***TOYOTA MOTOR CORP -SPON ADR	82.34	81.84	247.03	245.51	1 52
06/11/2008	09/30/2009	2	APACHE CORP	92.16	139.70	184.32	279.40	-95.08
07/10/2008	09/30/2009	2	APACHE CORP	92 16	119 73	184 32	239.46	-55.14
06/11/2008	09/30/2009	9	EXXON MOBIL CORP	68.66	88.47	617.93	796.21	-178.28
06/11/2008	10/02/2009	10	***BP PLC-SPONS ADR	51.57	69.92	515 69	699.20	-183.51
06/11/2008	10/05/2009	4	HEWLETT-PACKARD CO	45 58	47 00	182.31	187.99	-5.68
06/11/2008	10/06/2009	2	APACHE CORP	95.07	139.70	190.15	279.40	-89 25
06/11/2008	10/06/2009	5	COSTCO WHOLESALE CORP	58.11	70.03	290 54	350.17	-59.63
06/11/2008	10/06/2009	5	JPMORGAN CHASE & CO	44.73	37.71	223.65	188.56	35 09
04/30/2009	10/06/2009	5	VISA INC - CLASS A SHRS	68.01	67 09	340 04	335.44	4 60
04/23/2009	10/08/2009	10	LOWE'S COS INC	20.84	20 66	208 38	206 60	1 78
07/10/2008	10/12/2009	1	APACHE CORP	100.09	119 73	100.09	119.73	-19 64
07/29/2008	10/12/2009	1	APACHE CORP	100.09	107.27	100 09	107 27	-7 18
02/12/2009	10/12/2009	6	MCDONALD'S CORP	56.79	56 73	340 76	340 38	0 38
05/29/2009	10/13/2009	8	CATERPILLAR INC	52 57	35.27	420 53	282 20	138 33
07/16/2009	10/13/2009	3	CATERPILLAR INC	52.57	33 92	157.70	101 77	55 93
07/15/2009	10/14/2009	9	AMERICAN TOWER CORP-CL A	37 76	32 56	339 80	293 01	46 79
07/13/2009	10/14/2009	11	CROWN CASTLE INTL CORP	32.44	23.87	356 86	262 61	94 25
06/11/2008	10/15/2009	7	GILEAD SCIENCES INC	46 56	53 23	325 91	372.60	-46.69
06/11/2008	10/19/2009	1	GOOGLE INC-CL A	551 19	549.43	551.19	549 43	1.76
05/06/2009	10/22/2009	2	APACHE CORP	101 27	82 09	202 54	164.18	38 36
03/26/2009	10/22/2009	4	EMERSON ELECTRIC CO	40.00	28.94	159.99	115.76	44.23
06/11/2008	10/23/2009	7	***TEVA PHARMACEUTICAL-SP ADR	50.43	43.54	352 98	304.76	48.22
05/05/2009	10/26/2009	9	FREEPORT-MCMORAN COPPER	82.92	49 31	746.30	443 77	302 53

Capital Gains Report

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted])

January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/20/2009	10/27/2009	12	ALTERA CORPORATION	20.65	17.26	247.76	207.09	40.67
06/11/2008	10/27/2009	8	MEDCO HEALTH SOLUTIONS INC	57.19	47.59	457.48	380.71	76.77
12/09/2008	10/28/2009	14	LIMITED BRANDS INC	17.31	9.61	242.30	134.51	107.79
02/03/2009	10/29/2009	3	EOG RESOURCES INC	85.36	67.06	256.07	201.18	54.89
06/18/2009	10/29/2009	5	OCCIDENTAL PETROLEUM CORP	79.39	64.49	396.97	322.43	74.54
06/11/2008	10/30/2009	7	CELGENE CORP	51.19	59.65	358.30	417.56	-59.26
06/11/2008	11/04/2009	4	APACHE CORP	98.90	139.70	395.61	558.80	-163.19
07/29/2008	11/04/2009	3	APACHE CORP	98.90	107.27	296.70	321.81	-25.11
06/05/2009	11/04/2009	8	***PETROLEO BRASILEIRO-SPON AD	41.57	35.14	332.60	281.13	51.47
06/11/2008	11/04/2009	5	SCHERING-PLOUGH CORP	10.50	8.26	52.50	41.31	11.19
06/18/2008	11/04/2009	30	SCHERING-PLOUGH CORP	10.50	8.17	315.00	245.10	69.90
03/23/2009	11/04/2009	10	SCHERING-PLOUGH CORP	10.50	10.16	105.00	101.61	3.39
05/01/2009	11/04/2009	10	SCHERING-PLOUGH CORP	10.50	9.72	105.00	97.25	7.75
06/11/2008	11/04/2009	3	WAL-MART STORES INC	50.50	58.95	151.50	176.84	-25.34
07/28/2008	11/04/2009	4	WAL-MART STORES INC	50.50	56.47	202.00	225.87	-23.87
07/20/2009	11/05/2009	13	ALTERA CORPORATION	20.04	17.26	260.56	224.35	36.21
01/08/2009	11/06/2009	5	***GLAXOSMITHKLINE PLC-SPON AD	40.01	39.00	200.04	195.02	5.02
07/28/2008	11/09/2009	2	COLGATE-PALMOLIVE CO	80.19	68.94	160.37	137.88	22.49
02/18/2009	11/09/2009	3	COLGATE-PALMOLIVE CO	80.19	61.24	240.56	183.71	56.85
05/05/2009	11/11/2009	15	***TAIWAN SEMICONDUCTOR-SP ADR	10.24	11.10	153.60	166.45	-12.85
05/07/2009	11/11/2009	25	***TAIWAN SEMICONDUCTOR-SP ADR	10.24	10.35	256.01	258.85	-2.84
	11/13/2009		MERCK & CO INC			23.83		23.83
06/11/2008	11/13/2009	8	CISCO SYSTEMS INC	23.56	25.74	188.49	205.89	-17.40
04/14/2009	11/13/2009	7	PROCTER & GAMBLE CO	61.69	47.51	431.86	332.59	99.27
05/13/2009	11/13/2009	16	US BANCORP	23.66	17.54	378.61	280.57	98.04
01/08/2009	11/16/2009	10	***GLAXOSMITHKLINE PLC-SPON AD	41.93	39.00	419.26	390.05	29.21
06/11/2008	11/16/2009	3	MERCK & CO. INC.	33.99	35.88	101.98	107.63	-5.65
06/11/2008	11/16/2009	4	MERCK & CO. INC.	33.99	14.07	135.98	56.28	79.70
09/17/2009	11/18/2009	5	UNITED TECHNOLOGIES CORP	68.53	62.48	342.66	312.40	30.26
11/10/2008	11/19/2009	20	CBS CORP-CLASS B	13.31	8.02	266.21	160.34	105.87
06/11/2008	11/20/2009	4	MERCK & CO. INC.	36.10	35.88	144.40	143.50	0.90
06/05/2009	11/25/2009	1	***PETROLEO BRASILEIRO-SPON AD	46.24	35.15	46.24	35.15	11.09
08/05/2009	11/25/2009	9	***PETROLEO BRASILEIRO-SPON AD	46.24	35.36	416.17	318.24	97.93
09/18/2009	11/25/2009	2	***RIO TINTO PLC-SPON ADR	210.05	179.81	420.11	359.62	60.49
06/11/2008	11/30/2009	3	METLIFE INC	33.22	56.75	99.68	170.25	-70.57
11/10/2008	11/30/2009	5	METLIFE INC	33.22	36.09	166.12	180.45	-14.33
06/11/2008	12/01/2009	3	ALLSTATE CORP	28.53	49.66	85.59	148.97	-63.38
10/23/2008	12/01/2009	7	ALLSTATE CORP	28.53	28.06	199.71	196.45	3.26
10/23/2008	12/01/2009	12	ALLSTATE CORP	28.53	28.06	342.35	336.77	5.58
12/18/2008	12/01/2009	25	VIACOM INC-CLASS B	29.97	17.05	749.24	426.14	323.10
05/20/2009	12/03/2009	65	REGIONS FINANCIAL CORP	5.83	4.00	378.67	260.00	118.67
04/29/2009	12/03/2009	5	UNION PACIFIC CORP	64.54	48.74	322.70	243.68	79.02
07/16/2009	12/09/2009	4	CATERPILLAR INC	56.16	33.93	224.63	135.71	88.92
06/11/2008	12/09/2009	4	MERCK & CO. INC.	36.48	35.88	145.93	143.50	2.43
06/11/2008	12/09/2009	40	SPRINT NEXTEL CORP	4.19	8.51	167.40	340.32	-172.92
09/15/2008	12/09/2009	80	SPRINT NEXTEL CORP	4.19	6.81	334.80	544.60	-209.80
02/03/2009	12/14/2009	4	EOG RESOURCES INC	90.80	67.06	363.20	268.25	94.95
09/11/2009	12/15/2009	7	BLACK & DECKER CORP	62.58	46.62	438.03	326.36	111.67
01/22/2009	12/15/2009	30	CORNING INC	18.89	9.39	566.60	281.60	285.00

Capital Gains Report

THE SWART FAMILY FOUNDATION (Account: 888-4[redacted]) January 1, 2009 through December 31, 2009

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/12/2008	12/15/2009	5	KOHLS CORP	55 06	43.69	275 30	218 47	56 83
06/11/2008	12/15/2009	5	PEPSICO INC	61.02	67 56	305 11	337 82	-32 71
04/14/2009	12/15/2009	6	PROCTER & GAMBLE CO	62.19	47 51	373.11	285.08	88 03
	12/16/2009		AOL INC			23 27		23.27
03/20/2009	12/17/2009	10	***COOPER INDUSTRIES PLC-CL A	41.97	23 87	419.70	238.67	181 03
04/03/2009	12/18/2009	4	***ACE LTD	48.73	42.16	194.92	168.65	26.27
05/05/2009	12/18/2009	7	LOWE'S COS INC	23.59	20.96	165.11	146.75	18.36
05/06/2009	12/18/2009	7	LOWE'S COS INC	23.59	20.79	165.11	145.56	19.55
05/13/2009	12/18/2009	1	LOWE'S COS INC	23.59	18.97	23.59	18.97	4.62
05/13/2009	12/18/2009	8	LOWE'S COS INC	23 59	18 97	188.70	151.80	36.90
05/21/2009	12/24/2009	2	AMAZON.COM INC	138.44	76 09	276.87	152.18	124.69
11/10/2008	12/29/2009	10	METLIFE INC	35.30	36 09	353.00	360.90	-7.90
SUBTOTAL FOR LONG-TERM						90,472.60	117,551.68	-27,079.08

Capital-Gains Distributions. If you own shares of the Sanford C. Bernstein Fund, Inc , you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report) Long-term capital-gains distributions are a separate item on the I R S forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions: If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted. Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero. You will need to update the report data with the correct purchase information.