Form **990-PF**

Department of the Treasury
Internal Revenue Service (77)

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: John C Bock Foundation

Number and street (or P O box number if mail is not delivered to street address): 411 E Wisconsin Avenue, #700 — Room/suite:

City or town, state, and ZIP code: Milwaukee WI 53202

A Employer identification number: 26-6014448

B Telephone number (see page 10 of the instructions): 414-287-1235

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 5,434,083

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	13,679	13,679		
	4 Dividends and interest from securities	72,715	72,715		
	5 a Gross rents		0		
	b Net rental income or (loss) 0				
	6 a Net gain or (loss) from sale of assets not on line 10	-1,124,867			
	b Gross sales price for all assets on line 6a 1,983,442				
	7 Capital gain net income (from Part IV, line 2)		0		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10 a Gross sales less returns and allowances 0				
	b Less Cost of goods sold 0				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule)	171,830	0	39,812	
	12 **Total.** Add lines 1 through 11	-866,643	86,394	39,812	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	53,750	5,375		48,375
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)	44,517	4,452	0	40,065
	b Accounting fees (attach schedule)	0	0	0	0
	c Other professional fees (attach schedule)	29,682	28,773	0	909
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions)	41,030	943	0	0
	19 Depreciation (attach schedule) and depletion	0	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,840	185	0	1,655
	24 **Total operating and administrative expenses.** Add lines 13 through 23	170,819	39,728	0	91,004
	25 Contributions, gifts, grants paid	145,132			145,132
	26 **Total expenses and disbursements** Add lines 24 and 25	315,951	39,728	0	236,136
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-1,182,594			
	b **Net investment income** (if negative, enter -0-)		46,666		
	c **Adjusted net income** (if negative, enter -0-)			39,812	

For Privacy Act and Paperwork Reduction Act Notice, see page 30 of the instructions. Form **990-PF** (2009)

(HTA)

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	2,825	1,475	1,475
	2	Savings and temporary cash investments	176,858	112,799	112,799
	3	Accounts receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	4	Pledges receivable ▶ 0			
		Less allowance for doubtful accounts ▶ 0	0	0	0
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)	0	0	0
	7	Other notes and loans receivable (attach schedule) ▶ 566,367			
		Less allowance for doubtful accounts ▶ 0	1,171,880	566,367	566,367
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)	0	0	0
	b	Investments—corporate stock (attach schedule)	4,758,467	3,252,267	3,331,416
	c	Investments—corporate bonds (attach schedule)	8,192	458,512	486,268
	11	Investments—land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	386,865	931,261	932,408
	14	Land, buildings, and equipment basis ▶ 0			
		Less accumulated depreciation (attach schedule) ▶ 0	0	0	0
	15	Other assets (describe ▶ Receivable--dividends reportable)	3,565	3,350	3,350
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	6,508,652	5,326,031	5,434,083
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons	0	0	
	21	Mortgages and other notes payable (attach schedule)	0	0	
	22	Other liabilities (describe ▶)	0	0	
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	6,508,652	5,326,031	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	6,508,652	5,326,031	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	6,508,652	5,326,031	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,508,652
2	Enter amount from Part I, line 27a	2	-1,182,594
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	5,326,058
5	Decreases not included in line 2 (itemize) ▶ Adjustment to basis of FHLMC	5	27
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,326,031

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a Publically traded securities			
b Capital gain distribution			
c Stock litigation settlments			
d Universal Technologies	D	3/25/2001	5/27/2009
e			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,322,901	0	1,518,886	-195,985
b	104	0	0	104
c	437	0	0	437
d	660,000	0	1,589,423	-929,423
e	0	0	0	0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	0	0	0	-195,985
b	0	0	0	104
c	0	0	0	437
d	0	0	0	-929,423
e	0	0	0	0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-1,124,867
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	358,125	5,488,124	0 065255
2007	199,000	6,155,585	0 032328
2006	333,662	5,727,495	0.058256
2005	242,170	5,813,495	0 041657
2004	153,920	5,680,922	0.027094

2 **Total** of line 1, column (d)	2	0 224590
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.044918
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,835,930
5 Multiply line 4 by line 3	5	217,220
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	467
7 Add lines 5 and 6	7	217,687
8 Enter qualifying distributions from Part XII, line 4	8	236,136

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	467
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3 Add lines 1 and 2		3	467
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	467
6 Credits/Payments			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a 1,000		
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 0		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	1,000
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	0
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	0
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	533
11 Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 533 **Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ WI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ www bockfoundation org

14 The books are in care of ▶ von Briesen & Roper, s c Telephone no ▶ 414-287-1278

Located at ▶ 411 E Wisconsin Avenue, Ste 700 Milwaukee WI ZIP+4 ▶ 53202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20_____ , 20_____ , 20_____ , 20_____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20_____ , 20_____ , 20_____ , 20_____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b**	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945–5(d)*		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** *If "Yes" to 6b, file Form 8870*		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement	00	0	0	0
	00	0	0	0
	00	0	0	0
	00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
		0
		0
		0
		0

Total number of others receiving over $50,000 for professional services . . . . ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 24 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions 3	0
Total. Add lines 1 through 3 . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	3,839,092
b	Average of monthly cash balances			1b	299,944
c	Fair market value of all other assets (see page 24 of the instructions)			1c	770,538
d	**Total** (add lines 1a, b, and c)			1d	4,909,574
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	73,333		
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	4,909,574
4	Cash deemed held for charitable activities Enter 1½ % of line 3 (for greater amount, see page 25 of the instructions)			4	73,644
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	4,835,930
6	**Minimum investment return.** Enter 5% of line 5			6	241,797

Part XI Distributable Amount (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	241,797
2a	Tax on investment income for 2009 from Part VI, line 5	2a	467		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b	43,809		
c	Add lines 2a and 2b			2c	44,276
3	Distributable amount before adjustments Subtract line 2c from line 1			3	197,521
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	197,521
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	197,521

Part XII Qualifying Distributions (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	236,136
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	236,136
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	467
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	235,669

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

			(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7					197,521
2	Undistributed income, if any, as of the end of 2009					
a	Enter amount for 2008 only				0	
b	Total for prior years 20____, 20____, 20___			0		
3	Excess distributions carryover, if any, to 2009					
a	From 2004	0				
b	From 2005	0				
c	From 2006	0				
d	From 2007	0				
e	From 2008	123,416				
f	**Total** of lines 3a through e		123,416			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 236,136					
a	Applied to 2008, but not more than line 2a				0	
b	Applied to undistributed income of prior years (Election required—see page 26 of the instructions)			0		
c	Treated as distributions out of corpus (Election required—see page 26 of the instructions)		0			
d	Applied to 2009 distributable amount					74,105
e	Remaining amount distributed out of corpus		162,031			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a))*		123,416			123,416
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5		162,031			
b	Prior years' undistributed income Subtract line 4b from line 2b			0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount—see page 27 of the instructions			0		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount—see page 27 of the instructions				0	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010					0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)					
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)		0			
9	**Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a		162,031			
10	Analysis of line 9					
a	Excess from 2005	0				
b	Excess from 2006	0				
c	Excess from 2007	0				
d	Excess from 2008	0				
e	Excess from 2009	162,031				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) **N/A**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	0	0			0
b 85% of line 2a	0	0	0	0	0
c Qualifying distributions from Part XII, line 4 for each year listed	0	0			0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	0	0	0	0	0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	0	0			0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

Sally C Merrell, Esq, co von Briesen and Roper 411 E. Wisconsin Ave Ste 700 Milwaukee WI 53202 414-276-1122

b The form in which applications should be submitted and information and materials they should include

See website located at www bockfoundation org

c Any submission deadlines

March 31st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See the website

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
Aldo Leopold Nature Center Monona WI	None	Public	Charitable	5,000
Conservancy of Southwest Florida Naples FL	None	Public	Charitable	7,500
Environmental Law & Policy Center Chicago IL	None	Public	Charitable	10,000
Midwest Environmental Advocates Madison WI	None	Public	Charitable	10,000
Milwaukee River Group Milwaukee WI	None	Public	Charitable	2,500
Northeast Wisconsin Land Trust Appleton WI	None	Public	Charitable	15,000
Northwoods Land Trust Eagle River WI	None	Public	Charitable	26,632
Space Education Initiatives DePere WI	None	Public	Charitable	1,500
Univ of Montana-College of Forestry & Conservation Missoula MT	None	Public	Charitable	10,000
Wilderness Inquiry Minneapolis MN	None	Public	Charitable	10,000
Trees for Tomorrow Eagle River WI	None	Public	Charitable	2,000
Northland College Ashland WI	None	Public	Charitable	25,000
Door County Land Trust Sturgeon Bay WI	None	Public	Charitable	20,000
				0
				0
				0
Total			▶ 3a	145,132
b *Approved for future payment*				
Lake Park Friends Milwaukee WI	None	Public	Charitable	10,000
				0
				0
				0
				0
				0
				0
				0
				0
Total			▶ 3b	10,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	13,679	
4 Dividends and interest from securities			14	72,715	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	39,812	
8 Gain or (loss) from sales of assets other than inventory			18	-1,124,867	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a Universal Technologies	310000	131,985	01	0	0
b Baird non-dividend distributions		0	14	29	0
c Baird non-taxable dividends/interest		0	14	4	0
d		0		0	0
e		0		0	0
12 Subtotal Add columns (b), (d), and (e)		131,985		-998,628	0

13 Total. Add line 12, columns (b), (d), and (e) 13 -866,643

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 28 of the instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		
	(2) Other assets	1a(2)		
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		
	(3) Rental of facilities, equipment, or other assets	1b(3)		
	(4) Reimbursement arrangements	1b(4)		
	(5) Loans or loan guarantees	1b(5)		
	(6) Performance of services or membership or fundraising solicitations	1b(6)		
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		
	0		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

▶ [signature] LUKE SEGGELINK | 5/14/10 ▶ Trustee
Signature of officer or trustee Date Title

Paid Preparer's Use Only

Preparer's signature [signature]	Date 5/12/2010	Check if self-employed ▶☐	Preparer's identifying number (see Signature on page 30 of the instructions)
Firm's name (or yours if self-employed), address, and ZIP code ▶ von Briesen and Roper, s c 411 E Wisconsin Ave, #700, Milwaukee, WI 53202		EIN ▶	Phone no (414) 287-1235

Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

	Amount
Long Term CG Distributions	
Short Term CG Distributions	

Totals	Gross Sales	Cost, Other Basis and Expenses	Net Gain or Loss
Securities	1,983,442	3,108,309	-1,124,867
Other sales	0	0	0

Index	Check "X" if Sale of Security	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis (Enter one field only) Cost	Donated Value	Expense of Sale and Cost of Improvements	Depreciation
1	X	Publically traded securities							1,322,901	1,518,886			
2	X	Capital gain distribution							104	0			
3	X	Stock litigation settlments							437	0			
4	X	Universal Technologies				3/25/2001	D	5/27/2009	660,000	1,589,423			
5													
6													
7													
8													
9													
10													

Line 11 (990-PF) - Other Income

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
		171,830	0	39,812
1	Universal Technologies Sch K-1	131,985	0	
2	Baird--non-dividend distributions	29	0	
3	Promissory note interest	39,812	0	39,812
4	Baird--non-reportable div and interest	4	0	
5			0	
6			0	
7			0	
8			0	
9			0	
10			0	

Line 16a (990-PF) - Legal Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		44,517	4,452	0	40,065
1	Quarles & Brady LLP	10,240	1,024		9,216
2	von Briesen & Roper, s c	34,277	3,428		30,849
3					
4					
5					
6					
7					
8					
9					
10					

Line 16c (990-PF) - Other Fees

	Name of Organization or Person Providing Service	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
		29,682	28,773	0	909
1	Robert W. Baird--Investment managemer	28,672	28,672		
2	Comstock Valuation Advisors Inc--appr	1,010	101		909
3					
4					
5					
6					
7					
8					
9					
10					

Line 18 (990-PF) - Taxes

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		41,030	943	0	0
1	Tax on UBTI, Form 990T/PF net of ref	39,417			
2	State taxes on UBTI, net of refund	670			
3	Foreign taxes on dividends	943	943		
4					
5					
6					
7					
8					
9					
10					

Line 23 (990-PF) - Other Expenses

	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
		1,840	185	0	1,655
1	Amortization See attached statement	0	0	0	0
2	Trustee Airfare to attend Trustee meeting	355	36		319
3	Federal express	27	3		24
4	Association of Small Foundations--membership	495	50		445
5	i t Interactive LLC--website	420	42		378
6	Donors Forum of WI	300	30		270
7	Dept of Financial Inst 3 UCC	180	18		162
8	Miscellaneous expenses	63	6		57
9					
10					

Part II, Line 7 (990-PF) - Other Notes

	Borrower's Name	Check "X" if Business	Check "X" if 501(c)3 Org	Original Amount	Net Balance Due Beginning of Year	Balance Due End of Year	Allowance for Doubtful Accts End of Year	FMV of Other Notes	Security Provided
				3,319,500	1,171,880	566,367	0	566,367	
1	Universal Tech of WI Stock Purch (ESOP)	X		2,352,000	829,487	566,367		566,367	
2	Universal Tech of WI (redemption) Stock Pu	X		967,500	342,393	0		0	
3					0				
4					0				
5					0				
6					0				
7					0				
8					0				
9					0				
10					0				

Part

	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Purpose of Loan	Consideration Description	Consideration FMV	Relationship
1	12/19/2002	12/19/2011	Ten equal installments a	5 00%	Stock purchase agree	Note plus downpayment of $270,000	566,367	
2	1/19/2002	12/19/2011	Monthly equal payments	5 00%	Stock purchase agree	Note plus downpayment of $125,000	0	
3								
4								
5								
6								
7								
8								
9								
10								

Part II, Line 10b (990-PF) - Investments - Corporate Stock

			4,758,467	3,252,267	2,944,001	3,331,416
	Description	Num Shares/ Face Value	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	Securities listed on attached schedules		3,210,528	3,252,267	2,357,301	3,331,416
2	20% Interest in Universal Technologies of					
3	Wisconsin, Inc (a closely-held					
4	Wisconsin S-Corporation)		1,547,939	0	586,700	0
5						
6						
7						
8						
9						
10						

Part II, Line 10c (990-PF) - Investments - Corporate Bonds

				8,192	458,512	7,887	486,268
	Description	Interest Rate	Maturity Date	Book Value Beg of Year	Book Value End of Year	FMV Beg of Year	FMV End of Year
1	See attached schedule			8,192	458,512	7,887	486,268
2							
3							
4							
5							
6							
7							
8							
9							
10							

Part II, Line 13 (990-PF) - Investments - Other

			386,865	931,261	932,408
	Item or Category	Basis of Valuation	Book Value Beg of Year	Book Value End of Year	FMV End of Year
1	See attached schedules--mutual funds		386,865	931,261	932,408
2					
3					
4					
5					
6					
7					
8					
9					
10					

Part II, Line 15 (990-PF) - Other Assets

	Description	Beginning Balance	Ending Balance	Fair Market Value
		3,565	3,350	3,350
1	Receivable--dividends reportable current year, received next year	3,565	3,350	3,350
2				
3				
4				
5				
6				
7				
8				
9				
10				

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

	Amount
Long Term CG Distributions	0
Short Term CG Distributions	0

	Kind(s) of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
					Totals	1,983,442	0	3,108,309	-1,124,867	0	0	0	-1,124,867
1	Publically traded securities					1,322,901	0	1,518,886	-195,985			0	-195,985
2	Capital gain distribution					104	0	0	104			0	104
3	Stock litigation settlments					437	0	0	437			0	437
4	Universal Technologies		D	3/25/2001	5/27/2009	660,000	0	1,589,423	-929,423			0	-929,423
5						0	0	0	0			0	0
6						0	0	0	0			0	0
7						0	0	0	0			0	0
8						0	0	0	0			0	0
9						0	0	0	0			0	0
10						0	0	0	0			0	0

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Average Hours
1	Luke Seggelink		c/ovB&R, 411 E Wisconsin Ave	Milwaukee	WI	53202		Trustee	2
2	Jeremy C Shea		One S Pinckney St #600	Madison	WI	53703		Trustee	1
3	Albert Goldstein		c/ovB&R, 411 E Wisconsin Av	Milwaukee	WI	53202		Trustee	1
4	Sharon L Bock		c/ovB&R, 411 E Wisconsin Av	Milwaukee	WI	53202		Trustee	1
5	Carl J Bock		c/ovB&R, 411 E Wisconsin Av	Milwaukee	WI	53202		Trustee	1
6									
7									
8									
9									
10									

Part

	Compensation	Benefits	Expense Account	Explanation
	53,750	0	0	
1	10,750	0	0	
2	10,750	0	0	
3	10,750	0	0	
4	10,750	0	0	
5	10,750	0	0	
6				
7				
8				
9				
10				

Part X, Line 1e (990-PF) - Explanation of Reduction Claimed for Blockage or Other Factors

The following information is documented by appraisal, which will be provided upon request.
Description. 1,500 shares of Universal Technologies of Wisconsin Inc (a closley held Wisconsin S Corporation)
Percentage ownership 20% at the beginning of the year, asset sold in May, 2009
Percentage ownership none at the end of the year
Discount Claimed $73,333
Reason for Discount Lack of Marketability Discount of 10%

Part XVI-A, Lines 11a-11e (990-PF) - Other Revenue

		Unrelated Business Income		Excluded by Section 512, 513, or 514		
	Program Service Revenue	Business Code	Amount	Exclusion Code	Amount	Related or Exempt Function Income
1	Universal Technologies	310000	131,985	01	0	
2	Baird non-dividend distributions			14	29	
3	Baird non-taxable dividends/interest			14	4	
4						
5						
6						
7						
8						
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

Part XVI-B (990-PF) - Relationship of Activities

Line Number	Explanation
N/A	

Statements

John C Bock Foundation 26-6014448

☒ Part VII-B, Line 1A(4)

The Foundation paid the sum of $53,750 in Trustee fees to the Trustees. These fees relate to the management of the investments and grants paid to qualifying charitable organizations It is believed that the fees were necessary for the exempt purposes of the Foundation and were reasonable in amount for the services rendered Accordingly, the payment of the fees is an excepted transaction within the meaning of IRC Sec 4941(D)(2)(E) and Treas Reg Sec. 53.4941(D)-3(C).

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2009
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	28,939 52	28,939 52		14 47	0 05%
Net yield from 12/01/09 - 12/31/09 was 0 05% (Compounded)					
Total Cash and Cash Alternatives	**$28,939.52**	**$28,939.52**		**$14.47**	**0.05%**

PORTFOLIO ASSETS

Stock Funds	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
COLUMBIA FUNDS SERIES TR MASTERS INTERNATIONAL EQUITY PORT CL C	CMTCX	9,936 984	8 1200	9 0332	80,688 31	89,762.60	-9,074 29	188 80	0 23%
FRANKLIN GLOBAL TRUST GLOBAL REAL ESTATE FUND CLASS A	FAGRX	8,230.980	5 9900	4 9961	49,303 57	41,123 15	8,180 42	4,329.49	8 78%
MUTUAL SER FUND INC GLOBAL DISCOVERY FUND CLASS A	TEDIX	4,327 614	26 7200	27 5874	115,633 84	119,387 68	-3,753 84	1,423 78	1.23%
OPPENHEIMER INTL DIVERISIFIED FUND CLASS A SHARES	OIDAX	15,577 061	10 6200	11 1842	165,428 38	174,216 97	-8,788 59	N/A	N/A
SUNAMERICA STYLE SLCT SER INC FOCUSED MULTI ASSET STRATEGY PORT CL A	86703Y153	8,051 709	12 4300	11 9858	100,082 74	96,506 34	3,576 40	2,584 59	2 58%
TEMPLETON GLOBAL OPPOR TRUST SH BEN INT CL A	TEGOX	2,487 410	17 0900	19 7125	42,509 83	49,033 02	-6,523 19	N/A	N/A
TEMPLETON GLBL SMALLER COS FD CL A	TEMGX	10,355 457	5 9100	6 4877	61,200 75	67,183 60	-5,982 85	880 21	1 44%
Total Stock Funds					**$614,847.42**	**$637,213.36**	**-$22,365.94**	**$9,406.87**	**1.53%**

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Preferred Stocks									
NATIONAL CITY CAPITAL GTD TRUST II PFD 6.625% 11/15/66	NCC'A	1,000	22 3220	17 6044	22,322 00	17,604 42	4,717 58	1,656 20	7 42%
KEYCORP CAPITAL IX ENHANCED TRUST PFD 6 75%	KEY'E	1,000	19 3000	15 9204	19,300 00	15,920 41	3,379 59	1,687 50	8 74%
DUKE REALTY CORP DEP SHS REPSTG 1/10TH PFD SER J CUMULATIVE PERP 6.625%	DRE'J	1,000	19 2000	17 1356	19,200 00	17,135 60	2,064 40	1,656 20	8 63%
Total Preferred Stocks					**$60,822.00**	**$50,660.43**	**$10,161.57**	**$4,999.90**	**8.22%**
Balanced Funds									
FRANKLIN INCOME FUND CL A	FKINX	137,842.655	2 0700	1 9106	285,334 29	263,357.99	21,976 30	20,676.39	7.25%
Total Balanced Funds					**$285,334.29**	**$263,357.99**	**$21,976.30**	**$20,676.39**	**7.25%**
Taxable Bonds									
INTL LEASE FINANCE CORP NOTE B/E CPN 5 000% DUE 04/15/10 DTD 04/11/05 FC 10/15/05	459745FP5 S&P· BBB+ Moody B1	15,000	98 7960	98 0500	14,819 40	14,707.50	111 90	750 00	5 06%
ALCOA INC NOTE CPN 7 375% DUE 08/01/10 DTD 07/20/00 FC 02/01/01	013817AB7 S&P BBB- Moody Baa3	20,000	103 4680	99 9000	20,693 60	19,980 00	713 60	1,475 00	7 13%
INTL LEASE FINANCE CORP NOTE B/E CPN 4 875% DUE 09/01/10 DTD 08/23/05 FC 03/01/06	459745FQ3 S&P BBB+ Moody B1	15,000	96 1620	94.0000	14,424.30	14,100.00	324.30	731 25	5.07%
GENWORTH GLOBAL FUNDING TRUST SECURED MEDIUM TERM NOTE B/E CPN 5.200% DUE 10/08/10 DTD 10/11/07 FC 04/08/08	37247XAN2 S&P A Moody A2	15,000	102 8360	99 6870	15,425 40	14,953 05	472 35	780 00	5 06%
UNITRIN INC SENIOR NOTE SEMI B/E CPN 4 875% DUE 11/01/10 DTD 10/30/03 FC 05/01/04	913275AB9 S&P BBB- Moody Baa3	20,000	99 9810	95 5800	19,996 20	19,116 00	880.20	975 00	4 88%

ASSET DETAILS continued

Taxable Bonds continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
INTL LEASE FINANCE CORP MEDIUM TERM NOTE B/E CPN 4.950% DUE 02/01/11 DTD 01/29/08 FC 08/01/08	45974VB64 S&P. BBB+ Moody B1	15,000	92 3600	89 9000	13,854 00	13,485 00	369 00	742 50	5 36%
NATIONAL CITY CORP SENIOR NOTE CONVERTIBLE B/E CPN 4.000% DUE 02/01/11 DTD 01/29/08 FC 08/01/08	635405AW3 S&P A Moody A3	25,000	100 6250	96 5600	25,156 25	24,140 00	1,016 25	1,000.00	3 98%
ALLIED WASTE NORTH AMERICA SENIOR NOTE B/E CPN 5 750% DUE 02/15/11 DTD 01/27/04 FC 08/15/04 CALL 02/15/09 @ 103.060	01958XBD8 S&P. BBB	10,000	104 4570	101 7000	10,445 70	10,170 00	275 70	575 00	5 50%
MARSHALL & ILSLEY CORP MEDIUM TERM NOTE CPN 5.350% DUE 04/01/11 DTD 03/28/06 FC 10/01/06	57183HGA3 S&P BBB- Moody Baa1	30,000	97 6470	91 5667	29,294 10	27,470 00	1,824 10	1,605 00	5 48%
GENWORTH FINANCIAL INC SENIOR NOTES CPN 5 650% DUE 06/15/12 DTD 06/12/07 FC 12/15/07	37247DAJ5 S&P BBB Moody Baa3	20,000	97 3750	87 1500	19,475 00	17,430 00	2,045 00	1,130 00	5 80%
FEDERAL REALTY INVESTMNT TRUST NOTE CPN 6 000% DUE 07/15/12 DTD 07/17/06 FC 01/15/07	313747AM9 S&P BBB+ Moody Baa1	10,000	104 8600	96 7500	10,486 00	9,675 00	811 00	600.00	5 72%
KEY BANK NA MEDIUM TERM NOTE B/E SUB BK NOTE SEMI CPN 5 700% DUE 08/15/12 DTD 08/12/02 FC 02/15/03	49306CAE1 S&P BBB+ Moody. A3	10,000	102 5850	95 7300	10,258 50	9,573 00	685.50	570 00	5 56%
AMERICAN INTERNATIONAL GROUP INC GLOBAL SENIOR NOTE B/E CPN 4.250% DUE 05/15/13 DTD 11/15/03 FC 05/15/04	026874AT4 S&P A- Moody A3	5,000	93 0010	74 7500	4,650 05	3,737 50	912.55	212.50	4.57%
DUKE REALTY LIMITED PARTNERSHIP SENIOR NOTE B/E CPN 6 250% DUE 05/15/13 DTD 05/08/08 FC 11/15/08	26441YAR8 S&P. BBB Moody Baa2	20,000	102 1810	95 1500	20,436 20	19,030 00	1,406 20	1,250 00	6 12%

ASSET DETAILS continued

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
UNITED DOMINION RLTY TR MEDIUM TERM NOTE B/E CPN 6.050% DUE 06/01/13 DTD 06/07/06 FC 12/01/06	91019PCR1 S&P BBB Moody Baa2	10,000	101 2840	92 0000	10,128 40	9,200 00	928 40	605 00	5.97%
ALCOA INC NOTE CPN 6.000% DUE 07/15/13 DTD 07/15/08 FC 01/15/09	013817AR2 S&P BBB- Moody Baa3	20,000	105.4940	99 8750	21,098 80	19,975 00	1,123 80	1,200 00	5.69%
DOW CHEMICAL CO CPN 7 600% DUE 05/15/14 DTD 05/13/09 FC 11/15/09	260543BW2 S&P BBB- Moody. Baa3	10,000	113 8380	101 0000	11,383 80	10,100 00	1,283 80	760 00	6 68%
CITIGROUP INC SUBORDINATED NOTE B/E CPN 5.000% DUE 09/15/14 DTD 09/16/04 FC 03/15/05	172967CQ2 S&P A- Moody Baa1	10,000	96 5420	93 2700	9,654.20	9,327 00	327.20	500 00	5.18%
GENL ELECTRIC CAP CORP MEDIUM TERM NOTE B/E SEMI CPN 4 750% DUE 09/15/14 DTD 09/17/04 FC 03/15/05	36962GK86 S&P AA+ Moody Aa2	20,000	104 1430	99 7000	20,828 60	19,940 00	888.60	950.00	4 56%
CONSTELLATION ENERGY NOTES CPN 4.550% DUE 06/15/15 DTD 06/13/03 FC 12/15/03	210371AK6 S&P BBB- Moody Baa3	20,000	100 0940	86 2475	20,018 80	17,249 50	2,769 30	910 00	4 55%
BANK AMERICA CORP NOTE CPN 4 750% DUE 08/01/15 DTD 07/26/05 FC 02/01/06	060505BS2 S&P A Moody A2	15,000	101 0210	96 3500	15,153 15	14,452 50	700.65	712 50	4.70%
BANK OF AMERICA INTERNOTES B/E SEMI SURVIVOR OPTION CPN 5.350% DUE 09/15/15 DTD 09/03/09 FC 03/15/10	06050WBS3 S&P. A Moody A2	10,000	100.0890	100 0000	10,008 90	10,000 00	8.90	535.00	5.35%
PROLOGIS NOTE B/E CPN 5 625% DUE 11/15/15 DTD 05/15/06 FC 11/15/06	743410AJ1 S&P BBB- Moody Baa2	10,000	95 6340	92 7500	9,563 40	9,275 00	288 40	562 50	5 88%
HOME DEPOT INC SENIOR NOTE CPN 5 400% DUE 03/01/16 DTD 03/24/06 FC 09/01/06	437076AP7 S&P BBB+ Moody Baa1	15,000	104 3030	98 2700	15,645 45	14,740 50	904 95	810.00	5 18%

Taxable Bonds continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
HORACE MANN EDUCATORS CORP NEW SENIOR NOTE CPN 6.850% DUE 04/15/16 DTD 04/21/06 FC 10/15/06 CALL 04/21/06 @ 100 000	440327AJ3 S&P BBB Moody. Baa3	15,000	99 8350	99 8200	14,975 25	14,973 00	2 25	1,027 50	6 86%
GOLDMAN SACHS GROUP INC MED TERM NOTE B/E SEMI SURVIVOR OPTION CPN 6 000% DUE 05/15/16 DTD 05/29/09 FC 11/15/09	38141E6R5 S&P· A Moody A1	10,000	107 0270	100 0000	10,702 70	10,000 00	702 70	600 00	5 61%
MARRIOTT INTERNATIONAL INC NEW NOTE SERIES H B/E CPN 6.200% DUE 06/15/16 DTD 06/14/06 FC 12/15/06	571903AF0 S&P BBB- Moody Baa3	20,000	101 9640	96 2000	20,392 80	19,240 00	1,152 80	1,240 00	6 08%
PEPCO HOLDINGS INC NOTE B/E CPN 6 125% DUE 06/01/17 DTD 06/08/07 FC 12/01/07	713291AP7 S&P BBB- Moody Baa3	10,000	103 5420	94 8200	10,354 20	9,482.00	872 20	612 50	5.92%
AMERENENERGY GENERATING COMPANY SENIOR NOTE B/E CPN 7.000% DUE 04/15/18 DTD 04/09/08 FC 10/15/08	02360XAL1 S&P BBB- Moody· Baa3	20,000	102 6380	93 2700	20,527.60	18,654 00	1,873 60	1,400 00	6.82%
DOW CHEMICAL COMPANY SENIOR NOTE B/E CPN 5.700% DUE 05/15/18 DTD 05/06/08 FC 11/15/08	260543BV4 S&P· BBB- Moody Baa3	10,000	100 9870	97 8000	10,098 70	9,780 00	318.70	570 00	5 64%
ALCOA INC NOTE B/E CPN 6.750% DUE 07/15/18 DTD 07/15/08 FC 01/15/09	013817AS0 S&P BBB- Moody Baa3	10,000	103 7350	94 9000	10,373 50	9,490 00	883 50	675 00	6 51%
HARTFORD FINANCIAL SERVICES GROUP INC SENIOR NOTE CPN 6.000% DUE 01/15/19 DTD 05/12/08 FC 01/15/09	416515AV6 S&P BBB Moody Baa3	10,000	97.7510	90 0000	9,775 10	9,000 00	775 10	600 00	6.14%
FEDL HOME LOAN MTG CORP MULTICL REMIC 2510 CL YB MONTHLY 14 DAY DELAY CPN 4 000% DUE 06/15/32 DTD 10/01/02 FC 11/15/02 REMAINING PRINCIPAL $5,991	31392WWF3	100,000	102 9810	101 2500	6,170 05	6,066 34***	103 71	239 65	3.88%

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Taxable Bonds		**575,000**			**$486,268.10**	**$458,511.89**	**$27,756.21**	**$26,905.90**	**5.53%**
Taxable Bond Funds									
FRANKLIN STRATEGIC SER INCOME FUND CL A	FRSTX	3,245 345	9 9300	9 4567	32,226 27	30,690 25	1,536 02	1,502 59	4 66%
Total Taxable Bond Funds					**$32,226.27**	**$30,690.25**	**$1,536.02**	**$1,502.59**	**4.66%**
Total Portfolio Assets					**$1,479,498.08**	**$1,440,433.92**	**$39,064.16**	**$63,491.65**	**4.29%**
Total Assets					**$1,508,437.60**	**$1,469,373.44**	**$39,064.16**	**$63,506.12**	**4.21%**

\+ Bond ratings of securities displayed on your statement were obtained from various rating services There is no guarantee with respect to the accuracy of this information

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing.

*** The cost on this factorable security, Unit Investment Trust, or Limited Partnership, was adjusted based upon all principal payments on our records and/or provided by you

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2009
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	16,388 21	16,388 21		8 19	0 05%
Net yield from 12/01/09 - 12/31/09 was 0.05% (Compounded)					
Total Cash and Cash Alternatives	**$16,388.21**	**$16,388.21**		**$8.19**	**0.05%**

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ABAXIS INC	ABAX	540	25 5500	26 2116	13,797 00	14,154.29	-357 29	N/A	N/A
ALLSCRIPTS MISYS HEALTHCARE SOLUTIONS INC	MDRX	1,270	20 2300	20 3081	25,692 10	25,791 34	-99 24	N/A	N/A
ANGIODYNAMICS INC	ANGO	1,425	16 0500	20 8246	22,871 25	29,675 03	-6,803 78	N/A	N/A
ANSYS INC	ANSS	515	43 4600	43 1204	22,381 90	22,207 00	174.90	N/A	N/A
BEACON ROOFING SUPPLY INC	BECN	1,235	16 0000	15 8988	19,760 00	19,635.05	124.95	N/A	N/A
BIO REFERENCE LABS NEW COM PAR $0.01	BRLI	360	39 1200	29 9471	14,083 20	10,780 97	3,302 23	N/A	N/A
CABOT MICROELECTRONICS CORP	CCMP	400	32 9600	29 4564	13,184 00	11,782 56	1,401 44	N/A	N/A
CASS INFORMATION SYSTEMS INC	CASS	435	30.4000	35 9074	13,224.00	15,619 72	-2,395 72	185.57	1.40%
CEPHEID INC	CPHD	2,775	12 4800	10 8732	34,632 00	30,173 23	4,458.77	N/A	N/A
CHEESECAKE FACTORY INC	CAKE	955	21 5900	24 8167	20,618 45	23,699 92	-3,081.47	N/A	N/A
CHEMED CORP NEW	CHE	670	47 9700	46 2012	32,139.90	30,954 82	1,185 08	321.60	1 00%
CONCUR TECHNOLOGIES INC	CNQR	265	42 7500	26 3893	11,328 75	6,993.16	4,335 59	N/A	N/A
COSTAR GROUP INC	CSGP	280	41 7700	51 8756	11,695 60	14,525 17	-2,829 57	N/A	N/A
DEALERTRACK HOLDINGS INC	TRAK	855	18 7900	18 7221	16,065 45	16,007 39	58 06	N/A	N/A
DIGI INTERNATIONAL INC	DGII	2,000	9 1200	12 5156	18,240 00	25,031.13	-6,791 13	N/A	N/A
DYNAMEX INC	DDMX	440	18 1000	27 6269	7,964 00	12,155 82	-4,191 82	N/A	N/A
ECHELON CORP	ELON	985	11 5600	6 8099	11,386 60	6,707 80	4,678 80	N/A	N/A
FARO TECHNOLOGIES INC	FARO	445	21 4400	35 4806	9,540 80	15,788.85	-6,248 05	N/A	N/A
FORRESTER RESEARCH INC	FORR	580	25 9500	25 4087	15,051.00	14,737.02	313.98	N/A	N/A

ASSET DETAILS continued

Stocks/Options continued	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
FORWARD AIR CORP	FWRD	460	25.0300	30 4172	11,513 80	13,991 89	-2,478 09	128 80	1.12%
F5 NETWORKS INC	FFIV	530	52 9700	22.0363	28,074 10	11,679 22	16,394.88	N/A	N/A
GENTEX CORP	GNTX	1,685	17 8500	16 3474	30,077 25	27,545 29	2,531 96	741 40	2 46%
GUIDANCE SOFTWARE	GUID	940	5.2400	9 5554	4,925 60	8,982 10	-4,056 50	N/A	N/A
INNERWORKINGS INC	INWK	1,830	5 9000	10 6838	10,797.00	19,551 40	-8,754 40	N/A	N/A
IPC THE HOSPITALIST COMPANY INC	IPCM	475	33 2500	19 4113	15,793 75	9,220.37	6,573 38	N/A	N/A
LKQ CORP	LKQX	1,320	19 5900	11 6378	25,858 80	15,361 90	10,496 90	N/A	N/A
LANDEC CORP DE	LNDC	1,285	6 2400	10.8091	8,018.40	13,889 74	-5,871.34	N/A	N/A
MAXIMUS INC	MMS	387	50 0000	29 5948	19,350 00	11,453 18	7,896 82	185.76	0 96%
MEDNAX INC	MD	495	60 1100	34 6869	29,754 45	17,170 00	12,584 45	N/A	N/A
MEDTOX SCIENTIFIC INC NEW	MTOX	390	7 7500	17 1263	3,022 50	6,679 25	-3,656 75	N/A	N/A
MOBILE MINI INC	MINI	570	14 0900	22 3271	8,031 30	12,726 46	-4,695 16	N/A	N/A
NAPCO SECURITY TECHNOLOGIES INC	NSSC	735	1 6700	5 0952	1,227 45	3,744.95	-2,517.50	N/A	N/A
NATIONAL INSTRUMENTS CORP	NATI	1,070	29 4500	26 6111	31,511.50	28,473 88	3,037.62	513 60	1 63%
NEOGEN CORP	NEOG	835	23 6100	8 2014	19,714 35	6,848 16	12,866 19	N/A	N/A
PORTFOLIO RECOVERY ASSOC INC	PRAA	640	44 8500	44 4747	28,704 00	28,463 83	240 17	N/A	N/A
POWER INTEGRATIONS INC	POWI	660	36 3600	18 4256	23,997 60	12,160 90	11,836 70	66.00	0 28%
QUALITY SYSTEMS INC	QSII	217	62 8000	59.5771	13,627 60	12,928 23	699.37	N/A	N/A
RESOURCES CONNECTION INC	RECN	1,215	21 2200	25 0786	25,782 30	30,470.52	-4,688 22	N/A	N/A
RITCHIE BROTHERS AUCTIONEERS INC	RBA	865	22 4300	21 0441	19,401 95	18,203 11	1,198 84	346 00	1 78%
ROLLINS INC	ROL	1,577	19 2800	14 5946	30,404 56	23,015 73	7,388 83	441 56	1.45%
RUDOLPH TECHNOLOGIES INC	RTEC	520	6 7200	13 9718	3,494 40	7,265.35	-3,770 95	N/A	N/A
SEMTECH CORP	SMTC	1,465	17.0100	16 4435	24,919 65	24,089 75	829.90	N/A	N/A
SMITH INTERNATIONAL INC	SII	210	27 1700	28 3341	5,705 70	5,950 16	-244 46	100 80	1 77%
STRATASYS INC	SSYS	870	17 2400	13 8526	14,998.80	12,051.77	2,947 03	N/A	N/A
TECHNE CORP	TECH	320	68 5600	38 1633	21,939 20	12,212 26	9,726 94	332 80	1 52%
TELVENT GIT S A	TLVT	455	38 9800	32 5639	17,735 90	14,816 57	2,919 33	222 95	1 26%
ULTIMATE SOFTWARE GROUP INC	ULTI	835	29 3700	22 4737	24,523 95	18,765 52	5,758 43	N/A	N/A
UNITED NATURAL FOODS	UNFI	1,135	26 7400	27 4107	30,349 90	31,111 11	-761.21	N/A	N/A
UNIVERSAL TECHNICAL INSTITUTE INC	UTI	390	20 2000	30 5079	7,878 00	11,898 07	-4,020 07	N/A	N/A
USANA HEALTH SCIENCES INC	USNA	170	31.9000	35 6848	5,423 00	6,066 41	-643 41	N/A	N/A
VERINT SYSTEMS INC	VRNT	705	19 2500	32.9162	13,571 25	23,205 89	-9,634 64	N/A	N/A
ZOLTEK COS INC	ZOLT	890	9 5000	15 7458	8,455 00	14,013.77	-5,558 77	N/A	N/A

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Stocks/Options					**$902,239.01**	**$860,427.01**	**$41,812.00**	**$3,586.84**	**0.40%**
Total Portfolio Assets					**$902,239.01**	**$860,427.01**	**$41,812.00**	**$3,586.84**	**0.40%**
Total Assets					**$918,627.22**	**$876,815.22**	**$41,812.00**	**$3,595.03**	**0.39%**

\+ Bond ratings of securities displayed on your statement were obtained from various rating services There is no guarantee with respect to the accuracy of this information

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing

ACTIVITY SUMMARY

Type of Activity	*Activity*	*This Period*	*Year-to-Date*
	Opening Balance - Cash and Cash Alternatives	**$19,484.43**	**$2,733.28**
Buy and Sell Transactions	Assets Sold/Redeemed	9,444 69	84,376 34
	Assets Bought	-12,928 23	-145,118 55
Cash Deposits and Withdrawals	Deposits		80,000 00
	Withdrawals		
Income and Distributions	Dividends	388 34	3,284 06
	Interest		
	Capital Gain Distributions		
	Return of Principal		
	Other	11 96	11 96
Margin Interest	Margin Interest Charged		
Cash Management Activity	Debit Card Activity		
	ACH/ATM Activity		
	Checks You Wrote		
Other	Asset Based Fees		-8,865 20
	Other Transactions	-12 98	-33 68
	Closing Balance - Cash and Cash Alternatives	**$16,388.21**	**$16,388.21**

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2009.
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	30,596 05	30,596 05		15 30	0 05%
Net yield from 12/01/09 - 12/31/09 was 0 05% (Compounded).					
Total Cash and Cash Alternatives	**$30,596.05**	**$30,596.05**		**$15.30**	**0.05%**

PORTFOLIO ASSETS

Stocks/Options

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
ALCON INC	ACL	121	164 3500	82 1650	19,886 35	9,941 97	9,944 38	286 64	1 44%
ALTERA CORP	ALTR	715	22 6300	19 2207	16,180 45	13,742 78	2,437 67	143 00	0 88%
AMGEN INC	AMGN	270	56 5700	44 8848	15,273 90	12,118 89	3,155 01	N/A	N/A
ANADARKO PETROLEUM CORP	APC	390	62 4200	37 1588	24,343 80	14,491 95	9,851 85	140 40	0 58%
APPLIED MATERIALS INC	AMAT	2,295	13 9400	12 8765	31,992 30	29,551 57	2,440 73	550 80	1.72%
BANK OF AMERICA CORP	BAC	2,315	15 0600	14 1654	34,863 90	32,793 00	2,070 90	92 60	0 27%
BARRICK GOLD CORP	ABX	620	39 3800	38 4642	24,415 60	23,847 81	567 79	248 00	1 02%
CVS CAREMARK CORP	CVS	769	32 2100	36 4643	24,769 49	28,041 06	-3,271.57	234 54	0 95%
COLGATE-PALMOLIVE COMPANY	CL	120	82 1500	60 1258	9,858 00	7,215 09	2,642.91	211 20	2 14%
E M C CORP MASS	EMC	2,505	17.4700	14 6483	43,762.35	36,694.06	7,068 29	N/A	N/A
ENSCO INTL LTD SPONSORED ADR	ESV	295	39 9400	42 0299	11,782 30	12,398.82	-616 52	29 50	0 25%
ESTEE LAUDER COMPANY INC	EL	165	48 3600	43.4293	7,979.40	7,165 83	813.57	90.75	1.14%
EXPEDITORS INTERNATIONAL OF WASHINGTON INC	EXPD	360	34 7700	33 4937	12,517 20	12,057.73	459 47	136 80	1 09%
FORD MOTOR COMPANY NEW	F	1,035	10 0000	8 7279	10,350 00	9,033 38	1,316.62	N/A	N/A
GENERAL ELECTRIC COMPANY	GE	785	15 1300	9 2790	11,877 05	7,284 05	4,593 00	314 00	2 64%
HALLIBURTON COMPANY	HAL	1,242	30 0900	25 9909	37,371 78	32,280 72	5,091 06	447 12	1.20%
HEINZ HJ COMPANY	HNZ	180	42 7600	31 2537	7,696 80	5,625 67	2,071.13	302 40	3.93%
HESS CORP	HES	275	60 5000	53.8628	16,637 50	14,812.26	1,825 24	110 00	0.66%
INGERSOLL RAND PLC	IR	275	35.7400	31 7409	9,828 50	8,728.75	1,099 75	77 00	0.78%
INTEL CORP	INTC	2,294	20 4000	18 6618	46,797 60	42,810.24	3,987 36	1,284.64	2 75%
INVESCO LTD SHARES	IVZ	935	23 4900	21 2744	21,963 15	19,891 58	2,071 57	383.35	1.75%

ASSET DETAILS continued

Stocks/Options continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
JOHNSON & JOHNSON	JNJ	510	64 4100	60 6851	32,849 10	30,949 40	1,899 70	999 60	3 04%
KELLOGG COMPANY	K	170	53 2000	53.9900	9,044 00	9,178.30	-134 30	255 00	2.82%
KROGER COMPANY	KR	895	20 5300	20 1373	18,374 35	18,022 84	351 51	340 10	1 85%
LOCKHEED MARTIN CORP	LMT	200	75 3500	76 7723	15,070 00	15,354 46	-284 46	504 00	3 34%
LOWES COMPANIES INC	LOW	2,148	23 3900	22 5190	50,241 72	48,370 87	1,870 85	773 28	1 54%
MEAD JOHNSON NUTRITION COMPANY CLASS A	MJN	215	43 7000	44 2300	9,395.50	9,509 45	-113.95	172.00	1.83%
MERCK & COMPANY INC NEW	MRK	747	36 5400	37 6104	27,295 38	28,095 00	-799 62	1,135 44	4.16%
MICROSOFT CORP	MSFT	1,541	30 4800	23.6578	46,969 68	36,456 66	10,513 02	801 32	1.71%
MOTOROLA INCORPORATED	MOT	2,870	7 7600	7 9208	22,271 20	22,732 70	-461 50	N/A	N/A
NESTLE S A SPNSD ADR REPSTING REG SHS	NSRGY	380	48 3500	48 6718	18,373 00	18,495 30	-122 30	305 52	1 66%
NEWMONT MINING CORP HOLDING COMPANY	NEM	330	47 3100	39 7109	15,612 30	13,104 60	2,507 70	132 00	0 85%
NEWS CORP CLASS A	NWSA	450	13 6900	8 5200	6,160.50	3,834 00	2,326 50	54 00	0 88%
NORTHERN TRUST CORP	NTRS	445	52 4000	55 1250	23,318.00	24,530 61	-1,212.61	498 40	2.14%
NUCOR CORP	NUE	590	46.6500	36 6743	27,523 50	21,637.83	5,885 67	849 60	3.09%
ORACLE CORP	ORCL	1,070	24 5300	16 9374	26,247 10	18,123 04	8,124 06	214 00	0 82%
PNC FINANCIAL SERVICES GROUP INC	PNC	203	52 7900	26 9094	10,716.37	5,462 61	5,253 76	81 20	0 76%
PAYCHEX INC	PAYX	385	30 6400	31 5432	11,796 40	12,144 13	-347 73	477 40	4 05%
PFIZER INC	PFE	2,190	18 1900	15 5416	39,836 10	34,036 05	5,800 05	1,576 80	3 96%
RAYTHEON COMPANY NEW	RTN	350	51 5200	48 2977	18,032 00	16,904 21	1,127 79	434 00	2.41%
SCHLUMBERGER LTD	SLB	775	65 0900	66 9410	50,444.75	51,879 27	-1,434 52	651 00	1.29%
SCHWAB CHARLES CORP NEW	SCHW	1,625	18 8200	16 5235	30,582 50	26,850 63	3,731 87	390.00	1 28%
SHERWIN WILLIAMS CO	SHW	160	61 6500	47 7401	9,864 00	7,638 42	2,225.58	227 20	2 30%
SOUTHWEST AIRLINES COMPANY	LUV	1,330	11 4300	8 9757	15,201 90	11,937 68	3,264 22	23 94	0 16%
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD SPONS ADR	TSM	2,760	11 4400	10 2717	31,574 40	28,349 84	3,224 56	1,006 29	3.19%
TARGET CORP	TGT	540	48 3700	32 7539	26,119 80	17,687 12	8,432 68	367 20	1.41%
TEVA PHARMACEUTICAL INDUSTRIES LIMITED ADR	TEVA	455	56 1800	45 7791	25,561 90	20,829 47	4,732 43	219 53	0 86%
TOLL BROTHERS INC	TOL	296	18 8100	17 1699	5,567 76	5,082 30	485 46	N/A	N/A
TYCO INTERNATIONAL LTD	TYC	645	35 6800	21 3931	23,013 60	13,798 53	9,215 07	529 41	2 30%
UNITED PARCEL SERVICE INCORPORATED CLASS B	UPS	643	57 3700	52 1294	36,888 91	33,519 19	3,369 72	1,157 40	3 14%
VERIZON COMMUNICATIONS INC	VZ	550	33 1300	30 4934	18,221 50	16,771 35	1,450.15	1,045 00	5 73%
WASTE MANAGEMENT INC DEL	WM	365	33 8100	33 2454	12,340 65	12,134.56	206 09	423 40	3.43%
WEATHERFORD INTERNATIONAL LTD REG	WFT	1,145	17 9100	19 0939	20,506 95	21,862 54	-1,355 59	N/A	N/A
YAHOO INC	YHOO	1,498	16 7800	16 8974	25,136 44	25,312 29	-175 85	N/A	N/A

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Total Stocks/Options					**$1,200,298.68**	**$1,061,122.46**	**$139,176.22**	**$20,726.77**	**1.73%**
Total Portfolio Assets					**$1,200,298.68**	**$1,061,122.46**	**$139,176.22**	**$20,726.77**	**1.73%**
Total Assets					**$1,230,894.73**	**$1,091,718.51**	**$139,176.22**	**$20,742.07**	**1.69%**

\+ Bond ratings of securities displayed on your statement were obtained from various rating services There is no guarantee with respect to the accuracy of this information.

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing

ACTIVITY SUMMARY

Type of Activity	*Activity*	*This Period*	*Year-to-Date*
	Opening Balance - Cash and Cash Alternatives	**$32,231.29**	**$36,173.95**
Buy and Sell Transactions	Assets Sold/Redeemed	87,541 30	704,035 90
	Assets Bought	-91,917 14	-766,458 88
Cash Deposits and Withdrawals	Deposits		45,000.00
	Withdrawals		
Income and Distributions	Dividends	2,773 47	22,557 48
	Interest		
	Capital Gain Distributions		
	Return of Principal		9 97
	Other		7 33
Margin Interest	Margin Interest Charged		
Cash Management Activity	*Debit Card Activity*		
	ACH/ATM Activity		
	Checks You Wrote		
Other	Asset Based Fees		-10,123.30
	Other Transactions	-32 87	-606 40
	Closing Balance - Cash and Cash Alternatives	**$30,596.05**	**$30,596.05**

ASSET DETAILS

This section shows the cash, cash alternatives and/or securities in your account It reflects market values as of the close of business, December 31, 2009.
Please note, unrealized gain/loss is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

CASH AND CASH ALTERNATIVES

	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
GENERAL MONEY MARKET	66,874 52	66,874 52		33 44	0.05%
Net yield from 12/01/09 - 12/31/09 was 0 05% (Compounded)					
Total Cash and Cash Alternatives	**$66,874.52**	**$66,874.52**		**$33.44**	**0.05%**

PORTFOLIO ASSETS

Stocks/Options	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
AOL INC	AOL	112	23 2800	25 6078	2,607 36	2,868 07	-260 71	N/A	N/A
ARROW ELECTRONICS INC	ARW	1,900	29 6100	25 4734	56,259 00	48,399 55	7,859 45	N/A	N/A
ASSOCIATED BANC CORP WI	ASBC	1,550	11 0100	23 9702	17,065 50	37,153.81	-20,088 31	310 00	1 82%
BELO CORPORATION	BLC	1,825	5 4400	18 2716	9,928 00	33,345 66	-23,417 66	547 50	5 51%
BOSTON SCIENTIFIC CORP	BSX	3,550	9 0000	19 8693	31,950 00	70,536 13	-38,586 13	N/A	N/A
CAMBREX CORP	CBM	3,350	5 5800	14 0478	18,693 00	47,060 00	-28,367 00	N/A	N/A
CHARLES RIVER LAB INTL INC	CRL	1,000	33 6900	33 8202	33,690.00	33,820 20	-130.20	N/A	N/A
CYTEC INDUSTRIES INC	CYT	1,225	36 4200	43 4937	44,614 50	53,279.84	-8,665 34	61 25	0.14%
DARDEN RESTAURANTS INC	DRI	1,000	35 0700	34.4666	35,070.00	34,466.60	603.40	1,000 00	2 85%
DU PONT E.I.DE NEMOUR & COMPANY	DD	975	33.6700	31 9281	32,828.25	31,129.86	1,698.39	1,599 00	4 87%
E M C CORP MASS	EMC	1,800	17 4700	9 9071	31,446 00	17,832.86	13,613.14	N/A	N/A
ELECTRONIC ARTS INC	ERTS	1,900	17.7500	18.8464	33,725.00	35,808 16	-2,083 16	N/A	N/A
GENERAL ELECTRIC COMPANY	GE	2,100	15 1300	27 5532	31,773 00	57,861.68	-26,088 68	840 00	2 64%
GENERAL MILLS INC	GIS	800	70 8100	45 5924	56,648 00	36,473.92	20,174 08	1,568 00	2.77%
INTEL CORP	INTC	2,725	20 4000	18 6564	55,590 00	50,838.75	4,751 25	1,526 00	2.75%
INTERPUBLIC GROUP COMPANY INC	IPG	5,400	7 3800	7 5973	39,852 00	41,025.15	-1,173 15	N/A	N/A
JOHNSON & JOHNSON	JNJ	725	64 4100	61 4100	46,697 25	44,522 25	2,175 00	1,421 00	3 04%
JOURNAL COMMUNICATIONS INC	JRN	3,450	3.8900	13 3427	13,420 50	46,032.41	-32,611 91	N/A	N/A
KIMBERLY-CLARK CORP	KMB	700	63 7100	57 2302	44,597 00	40,061 14	4,535 86	1,680 00	3 77%
KRAFT FOODS CLASS A	KFT	2,200	27.1800	29 9906	59,796 00	65,979 22	-6,183 22	2,552 00	4 27%

S BOCK A GOLDSTEIN C BOCK
L SEGGELINK & J SHEA TTEE
JOHN C BOCK FOUNDATION TRUST

Statement Period: **JANUARY 1 - DECEMBER 31, 2009**
Account Number· **1625-1288 AW12**

BAIRD

ASSET DETAILS continued

	Symbol/CUSIP Bond Rating+	Quantity	Current Price	Average Unit Cost	Current Value	Cost	Unrealized Gain/(-)Loss*	Anticipated Annualized Income	Current Yield %
Stocks/Options continued									
LADISH INC NEW	LDSH	2,000	15 0500	7 5003	30,100 00	15,000 60	15,099 40	N/A	N/A
MEDTRONIC INC	MDT	1,500	43.9800	44 3246	65,970.00	66,486.83	-516 83	1,230 00	1.86%
MICROSOFT CORP	MSFT	2,000	30 4800	19 5920	60,960 00	39,184 00	21,776 00	1,040 00	1 71%
NEENAH PAPER INC	NP	800	13 9500	31 9998	11,160 00	25,599 84	-14,439 84	320 00	2 87%
PROCTER & GAMBLE COMPANY	PG	850	60 6300	49 5788	51,535 50	42,142 02	9,393 48	1,496 00	2 90%
SCHWAB CHARLES CORP NEW	SCHW	2,000	18 8200	17.5364	37,640 00	35,072 80	2,567 20	480 00	1 28%
SEALED AIR CORP NEW	SEE	2,075	21 8600	25 2363	45,359.50	52,365 42	-7,005 92	996 00	2.20%
3M COMPANY	MMM	550	82 6700	71 1151	45,468 50	39,113 33	6,355 17	1,122 00	2 47%
TIME WARNER INC NEW	TWX	1,241	29 1400	32 9154	36,162 74	40,848 04	-4,685 30	930 75	2 57%
UNITED PARCEL SERVICE INCORPORATED CLASS B	UPS	975	57.3700	66 5450	55,935.75	64,881 34	-8,945 59	1,755 00	3.14%
U S BANCORP DE NEW	USB	1,400	22 5100	22 0492	31,514 00	30,868 88	645 12	280 00	0 89%
Total Stocks/Options					**$1,168,056.35**	**$1,280,058.36**	**-$112,002.01**	**$22,754.50**	**1.95%**
Total Portfolio Assets					**$1,168,056.35**	**$1,280,058.36**	**-$112,002.01**	**$22,754.50**	**1.95%**
Total Assets					**$1,234,930.87**	**$1,346,932.88**	**-$112,002.01**	**$22,787.94**	**1.85%**

\+ Bond ratings of securities displayed on your statement were obtained from various rating services. There is no guarantee with respect to the accuracy of this information

* Please note, "Unrealized Gain/(-)Loss" does not equal the total current value minus the total cost if any value or cost amounts are missing