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Form 3990-PF

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No. 1545-0052 2009

| Department of the Treasury Internal Revenue Service | | be able to use a copy of thi | s return to satisfy state repo | orting requirements. | 2009 |
|--|--|------------------------------|--------------------------------|--|--|
| or calendar year 2009, o | | | and ending | | <u></u> |
| Check all that apply: | X Initial return Amended return | Initial return of a | former public charity | Final return Name change | |
| Name of fou | | LAJ Address cha | ingc | A Employer identification | number |
| Ise the IRS Name of lot | | | | | |
| | STEVENS CHARIT | TABLE FOUNDAT | rion | 26-3919143 | |
| F | treet (or PO box number if mail is not o | delivered to street address) | Room/suite | B Telephone number | |
| · · · · · · · · · · · · · · · · · · · | 67TH STREET | | 49A | 212-692-79 | |
| netructions City UI town | , state, and ZIP code | | | C If exemption application is pe | |
| | ORK, NY 10023 | exempt private foundation | | D 1. Foreign organizations, 2. Foreign organizations mee check here and attach con | |
| Check type of organizat | nonexempt charitable trust | Other taxable private found | dation | check here and attach con | nputation |
| Fair market value of all a | | ting method: X Cash | Accrual | E If private foundation state under section 507(b)(1)(| |
| (from Part II, col. (c), li | | Other (specify) | | F If the foundation is in a 6 | •• |
| > \$ | 700000 . (Part I, col | | basis.) | under section 507(b)(1)(| |
| | venue and Expenses nts in columns (b), (c), and (d) may not the amounts in column (a)) | (a) Revenue and | (b) Net investment | (c) Adjusted net | (d) Disbursements |
| necessarily equal | the amounts in column (a)) | expenses per books | income | income | for charitable purposes (cash basis only) |
| | ifts, grants, etc., received | 700000 | • | | |
| | the foundation is not required to attach Sch. B | | | | |
| 3 Interest on savings cash investments | | | | = -3 | |
| | iterest from securities | - | RECEIVED | | |
| 5a Gross rents b Net rental income of | ur (loce) | | | | |
| 6a Not com or floor) to | om sale of assets not on line 10 | <u> </u> | HOV A B ZON | 8 | |
| b Gross sales price for assets on line 6a 7 Capital gain net inc | | | | 2 | |
| 7 Capital gain net inc | ome (from Part IV, line 2) | | 0 | al-1 | |
| 8 Net short-term o | apıtal gaın | | OGDEN, UT | | |
| 9 Income modifica | | | | | |
| 10a Gross sales less re and allowances | urns | | | | |
| b Less Cost of good | <u> </u> | | | | |
| c Gross profit or (| oss) | | | | |
| 11 Other income | 4 Abranish 4 4 | 700000 | | | |
| 12 Total, Add lines 13 Compensation of o | | 700000 | . 0 | . 0. | C |
| , | fficers, directors, trustees, etc Salaries and wages | <u> </u> | • | • | |
| 15 Pension plans, e | • | | | | |
| | . • | | | | |
| b Accounting fees | | | | | - |
| c Other profession | al fees | | | | |
| 17 Interest | | | 1 | | |
| 18 Taxes | | | | | |
| 19 Depreciation and | l depletion | | | | |
| 20 Occupancy | and and mark- | | | | |
| 21 Travel, conferen | ces, and meetings | - | | | |
| 22 Printing and pub 23 Other expenses | olications | | | | |
| 24 Total operating | and administrative | | | | |
| L | lines 13 through 23 | 0. | . 0 | .l o.l | <u>.</u> |
| 25 Contributions, g | | | | | ` |
| 26 Total expenses | | | | | |
| Add lines 24 and | | 0. | | 0. | C |
| 27 Subtract line 26 | from line 12; | | | | |
| a Excess of revenue | over expenses and disbursements | 700000 | | | |
| | income (if negative, enter -0-) | | 0 | • | |
| C Adjusted net inc | Ome (if negative, enter -0-) | I | 1 | 0.1 | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only | | Balance Sheets Attached schedules and amounts in the description | Beginning of year | End of | f year | |
|--|------|---|---------------------|----------------|---------------------------------------|--|
| <u>.</u> | ui t | Column should be for end-of-year amounts only | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| | 1 | Cash - non-interest-bearing | | 700000. | 700000. | |
| | 2 | Savings and temporary cash investments | | | | |
| | | Accounts receivable ► | | - 1 | · · · · · · · · · · · · · · · · · · · | |
| | | Less; allowance for doubtful accounts | | | | |
| | 4 | Pledges receivable ► | | | | |
| | | Less: allowance for doubtful accounts | | | | |
| | 5 | Grants receivable | | | | |
| | 1 - | Receivables due from officers, directors, trustees, and other | | | | |
| | ١ | disqualified persons | | | | |
| | ١, | Other notes and loans receivable | | | | |
| | ′ | • • • ————————————————————————————————— | 1 | | | |
| | ١, | Less: allowance for doubtful accounts | | | | |
| Assets | 1 | Inventories for sale or use | | | | |
| 155 | 1 | Prepaid expenses and deferred charges | | | | |
| • | 1 | Investments - U.S. and state government obligations | | | | |
| | b | Investments - corporate stock | | | | |
| | C | Investments - corporate bonds | | | | |
| | 11 | Investments - land, buildings, and equipment, basis | | | | |
| | | Less accumulated depreciation | | | | |
| | 12 | Investments - mortgage loans . | | | | |
| | 13 | Investments - other | | | | |
| | 14 | Land, buildings, and equipment; basis ▶ | | | | |
| | | Less accumulated depreciation | 1 | | | |
| | 15 | Other assets (describe ► | | | | |
| | | | | | | |
| | 16 | Total assets (to be completed by all filers) | 0. | 700000. | 700000. | |
| | | Accounts payable and accrued expenses | | 700001 | 700000. | |
| | 18 | Grants payable | | | | |
| | 19 | Deferred revenue | | | | |
| ties | | · | - | | | |
| Ē | 20 | Loans from officers, directors, trustees, and other disqualified persons | ļ | | | |
| Liabilities | 21 | Mortgages and other notes payable | <u> </u> | | | |
| _ | 22 | Other liabilities (describe) | | | | |
| | ł | | _ | _ | | |
| _ | 23 | Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| | | Foundations that follow SFAS 117, check here | | | | |
| m | 1 | and complete lines 24 through 26 and lines 30 and 31. | | | | |
| ces | 24 | Unrestricted . | | | | |
| Jan | 25 | Temporarily restricted | | | | |
| Ва | 26 | Permanently restricted | | | | |
| or Fund Balanc | | Foundations that do not follow SFAS 117, check here | | | | |
| Ŀ | | and complete lines 27 through 31. | | | | |
| | 27 | Capital stock, trust principal, or current funds | 0. | 0. | | |
| Net Assets | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | | |
| Ass | 29 | Retained earnings, accumulated income, endowment, or other funds | 0. | 700000. | | |
| et | 1 | Total net assets or fund balances | 0. | 700000. | | |
| Ž | 30 | Total net assets of fund datances | 0. | 700000. | | |
| | | Weart Patrick Physics and a same street for a first transfer | | 70000 | | |
| _ | 31 | Total liabilities and net assets/fund balances | 0.1 | 700000. | | |
| P | art | Analysis of Changes in Net Assets or Fund B | Balances | | | |
| 1 | Tota | I net assets or fund balances at beginning of year - Part II, column (a), line | 30 | | | |
| | | st agree with end-of-year figure reported on prior year's return) | | | 0. | |
| 2 | | r amount from Part I, line 27a | | 2 | 700000. | |
| | | r increases not included in line 2 (itemize) | | . 2 | 700000. | |
| | | lines 1, 2, and 3 | | | 700000. | |
| | | eases not included in line 2 (itemize) | • | 4 | 700000. | |
| | | | olumn (h) line 20 | 5 | 700000. | |
| <u>6</u> | rota | I net assets or fund balances at end of year (line 4 minus line 5) - Part II, c | olumni (u), iine 30 | [6] | / 0 0 0 0 0 • | |

OSWALD STEVENS CHARITABLE FOUNDATION

26-3919143

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Form **990-PF** (2009)

Form 990-PF (2009)

| Form 990-PF (2009) OSW | ALD STEVENS CHA | RITABLE | FOUNDAT | OI | 1 | | 26 | 5-391 <u>9</u> | 9143 | Page 3 |
|--|--|--|--------------------------------------|---------------|-------------------------|----------|--|------------------------------|--------------------|--------|
| L | ribe the kind(s) of property sold (e | | i income | (b) H | low acqui Purchas | red | (c) Date a | coured | (d) Dat | e sold |
| | arehouse; or common stock, 200 s | | |) P | - Purchas - Donation | e | (mo., da | y, yr.) | (mo., da | |
| 1a | | | | | | | | | | |
| b NO | NE | | | | | | | | | |
| <u>c</u> | | | | | | | | | | |
| <u>d</u> | | · · · · · · · · · · · · · · · · · · · | | <u> </u> | | | | | | |
| <u>e</u> | | | | <u>ا</u> | | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | 1 107 | st or other basis expense of sale | | | | | ın or (loss) (f) mınus (ı | g) | |
| <u>a</u> | | | | | | <u> </u> | | | | |
| <u>b</u> | | | | | | | | | | |
| <u>C</u> | | | | | | | | | | |
| d e | | | | | | | | | | |
| | ı 1g gain in column (h) and owned b | v the foundation | n on 12/31/69 | \rightarrow | | //\ | Gaine /Co | ol. (h) gain i | minue | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) E | cess of col. (i) | | | col. | (k), but no | ot less than from col. (f | -0-) or | |
| a | | | | | | | | | | |
| <u>b</u> | | | | | | | | | | |
| _c | | | | | | | | | | |
| <u>d</u> | | | | | | | | | | |
| <u>e</u> | | | | | | | | | | |
| 2 Capital gain net income or (net ca | apital loss) { If gain, also en | ter in Part I, line -0- in Part I, line | 7 27 | } | 2 | | | | | |
| 3 Net short-term capital gain or (lo | | and (6): | | _ \ | ŀ | | | | | |
| If gain, also enter in Part I, line 8, | • • | | | | _ | | | | | |
| If (loss), enter -0- in Part I, line 8 Part V Qualification U | Inder Section 4940(e) fo | or Beduced | t Tay on Not | How | 3 | nt Inc | omo | | _ | |
| (For optional use by domestic privat | | | | | - | 1110 | Onie | | N/A | |
| (i or optional use by domestic privat | e toutinations subject to the section | 11 4340(a) tax 01 | i ilet ilivestillelit il | IICUIIIE | -) | | | | IV/A | |
| If section 4940(d)(2) applies, leave t | his part blank. | | | | | | | | | |
| Was the foundation liable for the sec | tion 4942 tax on the distributable a | amount of any y | ear in the base pe | riod? | | | | | Yes | No |
| If "Yes," the foundation does not qua | | = = | . <u> </u> | | | | | | | |
| 1 Enter the appropriate amount in | each column for each year; see ins | structions before | making any entri | es. | | | | | | |
| (a) Base period years Calendar year (or tax year beginni | ing in) Adjusted qualifying d | distributions | Net value of no | (c) onchar | ıtable-use | assets | | Distribi | (d) ution ratio | (c)) |
| 2008 | ing iii) | | | | | | (col. (b) divided by col. (c)) | | | |
| 2007 | | <u> </u> | | _ | | | | ·- | | |
| 2006 | | | | | | | 1 | | | |
| 2005 | | | | | | | <u> </u> | | | |
| 2004 | | | | | | | | | | |
| | - | | | | | | | | | |
| 2 Total of line 1, column (d) | | | | | | | 2 | | | |
| 3 Average distribution ratio for the | 5-year base period - divide the total | al on line 2 by 5, | or by the number | r of yea | ars | | | | | |
| the foundation has been in existe | nce if less than 5 years | | | | | | 3 | | · | |
| A Fator the net value of genebouted | hla aasata fa- 0000 fee Dant) | v 1 r | | | | | | | | |
| 4 Enter the net value of noncharital | DIE-USE ASSEIS FOR 2009 FROM PART | x, line 5 | | | | | 4 | | | |
| 5 Multiply line 4 by line 3 | | | | | | | 5 | | | |
| ,, | | •• | | | • | | | | | |
| 6 Enter 1% of net investment incor | ne (1% of Part I, line 27b) | - | | | | | 6 | | | |
| 7 Add lines 5 and 6 | | | | | | | 7 | | | |
| 8 Enter qualifying distributions from | m Part XII, line 4 | | | | | | 8 | | | |
| If line 8 is equal to or greater that See the Part VI instructions. | n line 7, check the box in Part VI, li | ne 1b, and com | plete that part usir | ng a 1% | % tax rate. | | | | | |

| -orm | | | <u> 19143</u> | | Page 4 |
|------|--|--------|---------------|------|------------------|
| Рa | art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4940 | } - se | e instru | ctio | าร) |
| 1a | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1. | | _ | | |
| | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% | | | | 0. |
| | of Part I, line 27b | | | | |
| С | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | | | |
| | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | | 0. |
| 3 | Add lines 1 and 2 | | | | 0. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | | 0. |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | _ | | | 0. |
| 6 | Credits/Payments: | 1 | | | |
| | 2009 estimated tax payments and 2008 overpayment credited to 2009 | | | | |
| | Exempt foreign organizations - tax withheld at source 6b | | | | |
| | e Tax paid with application for extension of time to file (Form 8868) | | | | |
| | I Backup withholding erroneously withheld | | | | |
| | Total credits and payments. Add lines 6a through 6d | | | | 0. |
| | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached 8 | | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | | | 0. |
| 10 | | | | | |
| | Enter the amount of line 10 to be: Credited to 2010 estimated tax | | | | |
| | art VII-A Statements Regarding Activities | | | | |
| | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in | | | Yes | No |
| | any political campaign? | | 1a | | X |
| ь | o Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? | | 1b | | X |
| - | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or | | 1.5 | | |
| | distributed by the foundation in connection with the activities. | | | | |
| c | Did the foundation file Form 1120-POL for this year? | | 1c | | x |
| | f Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: | | - 13 | | |
| | (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. | | | | ĺ |
| e | E Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation | | | | |
| Ī | managers. ►\$ 0. | | - | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | | 2 | | х |
| _ | If "Yes," attach a detailed description of the activities | | • | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or | | | | |
| Ī | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | 3 | | _x_ |
| 4a | a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | 4a | | X |
| | | N/A | 4b | | _ - _ |
| | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | -, | 5 | | X |
| - | If "Yes," attach the statement required by General Instruction T | | | - | _ <u>-</u> _ |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: | | | 1 | |
| | By language in the governing instrument, or | | | | |
| | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law | | | | |
| | remain in the governing instrument? | | 6 | | х |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? | | 7 | Х | |
| | If "Yes," complete Part II, col (c), and Part XV. | | | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) | | | | |
| | NY | | - | | |
| h | of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) | | - | | |
| _ | of each state as required by General Instruction G? If "No," attach explanation | | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendary | | . | | |
| - | year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV | | 9 | | x |
| 10 | Did any persons become substantial contributors during the tay year? | + 2 | 10 | Y | |

| | 990-PF (2009) OSWALD STEVENS CHARITABLE FOUNDATION 2 rt VII-A Statements Regarding Activities (continued) | <u> 26-3919</u> | 143 | F | Page 5 |
|-----|--|---|--------------|---------------|----------|
| _ | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of | <u>-</u> | | | |
| '' | section 512(b)(13)? If "Yes," attach schedule (see instructions) | | ,, | | X |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before | | 11 | -+ | |
| 14 | August 17, 2008? | | ,, | 1 | X |
| 12 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | | 12 | x | |
| 10 | | | 13 | <u> </u> | |
| 4.4 | Website address ► N/A The books are in care of ► ANIL STEVENS Telephone no. ► | 212-60 | 2 7 | 022 | |
| 14 | | Z1Z-09 ZIP+4 ▶10 | | 344 | |
| 4.5 | Located at ► 101 W 67TH STREET APT 49A, NEW YORK, NY Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here | ZIP+4 <u>10</u> | 043 | | |
| 10 | | 45 | · NT | / > | |
| Pa | and enter the amount of tax-exempt interest received or accrued during the year rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required | 15 | | / <u>A</u> | |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | | Yes | No |
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | | X No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) | . | 1 1 | | |
| | | X No | | | |
| | | S X No | | ŀ | |
| | <u> </u> | s X No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available | | | | |
| | | s X No | | - 1 | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" | , (22) 110 | | | |
| | If the foundation agreed to make a grant to or to employ the official for a period after | | | | |
| | | s X No | | i | |
| ħ | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | , | | ŀ | |
| Ü | section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | N /11 | 10 | | |
| ^ | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected | | | | |
| · | before the first day of the tax year beginning in 2009? | | 10 | | Х |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation | • | _ 1c | | <u> </u> |
| 2 | defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| | At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning | , | | į | |
| a | F | _ | | ĺ | |
| | | s X No | i | | |
| L | If "Yes," list the years , , , , , , , , , , , . , , . , , . , , . | | | | |
| 0 | | | | | |
| | valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach | NT / N | 0. | | |
| | statement - see instructions.) | N/A | 2b | | |
| C | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time | | 1 | | |
| | | x No | | | |
| ь | If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after | | | . | |
| _ | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dis | | | | |
| | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, | | | | |
| | Form 4720, to determine if the foundation had excess business holdings in 2009.) | N/A | 3b | | |
| 42 | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | , | 4a | | X |
| | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose | that | <u> </u> | | |
| | had not been removed from jeopardy before the first day of the tax year beginning in 2009? | | 4b | | х |
| | and the state of t | For | m 990 | -PF (| |
| | | | | | , |

| Form 990-PF (2009) OSWALD STEVENS CHARITABLE FOUNDATION | 26-3919143 Page 7 |
|---|--------------------------|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, High Paid Employees, and Contractors (continued) | ghly |
| 3 Five highest-paid independent contractors for professional services. If none, enter "NONE." | |
| (a) Name and address of each person paid more than \$50,000 (b) Type of | service (c) Compensation |
| NONE | |
| | |
| | |
| | |
| | |
| | |
| Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities | ▶ 0 |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. 1 N/A | Expenses |
| 2 | |
| 3 | |
| 4 | |
| Part IX-B Summary of Program-Related Investments | |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |

Total. Add lines 1 through 3

Page 8

| Pa | rt X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations | indations, see | e instructions.) |
|----|---|----------------|------------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | · · |
| | Average monthly fair market value of securities | 1a | 0. |
| | Average of monthly cash balances | 1b | 29167. |
| | Fair market value of all other assets | 1c | |
| ď | Total (add lines 1a, b, and c) | 1d | 29167. |
| | Reduction claimed for blockage or other factors reported on lines 1a and | | |
| | 1c (attach detailed explanation) 1e 0 | . | |
| | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| | Subtract line 2 from line 1d | 3 | 29167. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 700000. |
| | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | <670833. |
| | Minimum investment return. Enter 5% of line 5 | 6 | <33542. |
| | rt XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations a foreign organizations check here and do not complete this part.) | nd certain | |
| 1 | Minimum investment return from Part X, line 6 | 1 | <33542. |
| 2a | Tax on investment income for 2009 from Part VI, line 5 | | |
| | Income tax for 2009. (This does not include the tax from Part VI.) | 1 | |
| | Add lines 2a and 2b | 2c | 0. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 0. |
| | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| | Add lines 3 and 4 | 5 | 0. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 0. |
| | rt XII Qualifying Distributions (see instructions) | | |
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| | Expenses, contributions, gifts, etc total from Part I, column (d), line 26 | 1a | 0. |
| | Program-related investments - total from Part IX-B | 1b | 0. |
| | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| | Amounts set aside for specific charitable projects that satisfy the: | | |
| | Suitability test (prior IRS approval required) | 3a | |
| | Cash distribution test (attach the required schedule) | 3b | |
| | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 0. |
| | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment | | |
| | income. Enter 1% of Part I, line 27b | 5 | 0. |
| | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 0. |
| | Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation | | |
| | 4040(a) reduction of the in those was | 73250 101 (1) | |

Form **990-PF** (2009)

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2008 | (c) 2008 | (d) 2009 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2009 from Part XI, | , | ··· | | |
| line 7 | | | | 0. |
| 2 Undistributed income, if any, as of the end of 2009 | | | | |
| a Enter amount for 2008 only | | | 0. | |
| b Total for prior years: | | 0 | | |
| 3 Excess distributions carryover, if any, to 2009: | | 0. | | |
| a From 2004 | | | | |
| b From 2005 | | | | |
| c From 2006 | | | | |
| d From 2007 | | | | |
| e From 2008 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2009 from | <u> </u> | | | |
| Part XII, line 4: > \$ 0. | | | | |
| a Applied to 2008, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior | | | <u>0.</u> | |
| years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus | | | - 1- | |
| (Election required - see instructions) | 0. | | • | |
| d Applied to 2009 distributable amount | 0. | | | 0. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2009 | 0. | | | 0. |
| (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | <u> </u> |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract | | | | |
| line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable | | | | |
| amount - see instructions | | 0. | | |
| e Undistributed income for 2008. Subtract line | | - | | |
| 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2009. Subtract | | | | |
| lines 4d and 5 from line 1. This amount must | | | | |
| be distributed in 2010 | | | | 0. |
| 7 Amounts treated as distributions out of | | | | |
| corpus to satisfy requirements imposed by | | | | |
| section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2004 | | | | |
| not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2010. | | | | |
| Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2005 | | | | |
| b Excess from 2006 | | | | |
| c Excess from 2007 | | | | |
| d Excess from 2008 | | | | |
| e Excess from 2009 | | | | |

| Part XIV Private Operating F | STEVENS CHA | | | | 19143 Page 10 |
|--|--|---|--|---|-----------------------------|
| <u> </u> | | | I-A, question 9) | N/A | |
| 1 a If the foundation has received a ruling of | | | . | | |
| foundation, and the ruling is effective for | | - • | ▶ ∟ | 14040/2/22 | 040/2/5) |
| b Check box to indicate whether the foun | | ng roundation described | | 4942(j)(3) or4 | 942(j)(5) |
| 2 a Enter the lesser of the adjusted net | Tax year (a) 2009 | (b) 2008 | Prior 3 years (c) 2007 | (d) 2006 | /a) Tatal |
| income from Part 1 or the minimum | (a) 2005 | (0) 2000 | (6) 2007 | (0) 2000 | (e) Total |
| investment return from Part X for | | | | | |
| each year listed | | | | | - |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, | | | | | |
| line 4 for each year listed | | | | | |
| d Amounts included in line 2c not | | | | | |
| used directly for active conduct of | | | | | |
| exempt activities | | | | | <u> </u> |
| e Qualifying distributions made directly | | | | | |
| for active conduct of exempt activities. | | | | | |
| Subtract line 2d from line 2c 3 Complete 3a, b, or c for the | | - | | | - |
| alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | - | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return | | İ | | | |
| shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | - | | | |
| (1) Total support other than gross | | | | | |
| investment income (interest, | | | | Ì | |
| dividends, rents, payments on securities loans (section | | | | | |
| 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public | | | | | |
| and 5 or more exempt organizations as provided in | | | | | |
| section 4942(j)(3)(B)(iii) | | | <u> </u> | | |
| (3) Largest amount of support from | | | | | |
| an exempt organization | | | | | |
| (4) Gross investment income | | | | | <u> </u> |
| Part XV Supplementary Info | | | if the foundatio | n had \$5,000 or m | ore in assets |
| at any time during | tne year-see the | instructions.) | | | |
| 1 Information Regarding Foundati | • | | | | |
| a List any managers of the foundation w year (but only if they have contributed | no have contributed more more than \$5,000). (See s | than 2% of the total con section 507(d)(2).) | tributions received by th | he foundation before the clo | se of any tax |
| ANIL STEVENS | | | <u>.</u> | | |
| b List any managers of the foundation w other entity) of which the foundation h | | | (or an equally large por | tion of the ownership of a p | partnership or |
| None | | | | | |
| 2 Information Regarding Contribu | | | | | |
| Check here \(\bigsim \mathbb{X}\) if the foundation the foundation makes gifts, grants, etc | only makes contributions . (see instructions) to indr | to preselected charitable viduals or organizations i | organizations and does under other conditions, | s not accept unsolicited required complete items 2a, b, c, an | uests for funds. If d d. |
| a The name, address, and telephone num | nber of the person to who | m applications should be | addressed: | | |
| | · | •• | | | |
| b The form in which applications should | be submitted and informa | ition and materials they s | hould include: | | |
| c Any submission deadlines: | | | | <u>. </u> | |
| Annuarity and the second second | da anali an hina | -1 | 1 de la companya de l | -16 - 1 | |
| d Any restrictions or limitations on award | is, such as by geographic | ai areas, charitable fields | , kinds of institutions, o | r other factors: | |
| | | | | | |

923811 02-02-10

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| 3 Grants and Contributions Paid During the Recipient | If recipient is an individual, show any relationship to | i | Purpose of grant or | |
|---|--|--------------------------------------|----------------------------------|--------|
| Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| a Paid during the year | | | | |
| | | | | |
| None | | | | |
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| <u> </u> | | | | |
| Total b Approved for future payment | | | ▶ 3a | 0. |
| | | | | |
| Mana a | | | | |
| None | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
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| | | | | |
| | | | | |
| Total | <u> </u> | I | ▶ 3b | 0. |

Unrelated business income

Excluded by section 512, 513, or 514

Analysis of Income-Producing Activities Part XVI-A

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | | ided by section 512, 513, or 514 | (e) |
|---|---------------------------|-----------------------------|--|---------------------------------------|--|
| 1 Program service revenue: | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | Related or exempt function income |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| | | | | | |
| | | | | | |
| e | | | - | | |
| - Constant of the contract of | - | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | ļ | _ | |
| 3 Interest on savings and temporary cash | 1 | | | | |
| investments | | | | | |
| 4 Dividends and interest from securities | | | ļ | | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property . | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal | - | | | | |
| property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other | | | | | |
| than inventory | | | | Ì | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | <u> </u> | | |
| 11 Other revenue: | | | 1 | | |
| | 1 | | | | |
| a | | | | | <u> </u> |
| b | | | | | |
| c _. | | | | | |
| d | | | | | |
| e | | | | | ļ |
| 12 Subtotal. Add columns (b), (d), and (e) | <u> </u> | 0. | | 0. | 0. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 _ | 0. |
| (See worksheet in line 13 instructions to verify calculations.) | | | | _ | |
| Part XVI-B Relationship of Activities t | o the Acc | omplishment of Ex | kemp | t Purposes | |
| | | | | · · · · · · · · · · · · · · · · · · · | |
| Line No Explain below how each activity for which inco | me is reported | in column (e) of Part XVI-A | \ contri | buted importantly to the acco | mplishment of |
| the foundation's exempt purposes (other than | by providing tu | inus for such purposes). | | | |
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| 923621 02-02-10 | | | | | Form 990-PF (2009) |

(a) Line no

(b) Amount involved

OSWALD STEVENS CHARITABLE FOUNDATION 26-3919143 Page 13 Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations** Yes No Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash 1a(1) (2) Other assets Х 1a(2) **b** Other transactions: (1) Sales of assets to a noncharitable exempt organization 1b(1) (2) Purchases of assets from a noncharitable exempt organization 1b(2) (3) Rental of facilities, equipment, or other assets 1b(3) (4) Reimbursement arrangements 1b(4) (5) Loans or loan guarantees 1b(5) (6) Performance of services or membership or fundraising solicitations 1b(6) X c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(c) Name of noncharitable exempt organization

| | N/ | /A | <u> </u> | |
|--|--------------------------------------|--|-------------------------------------|-------------------------------------|
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| | <u> </u> | | | |
| 2a Is the foundation directly or indirec | | | ations described | |
| in section 501(c) of the Code (othe | | n section 527? | | Yes X No |
| b If "Yes," complete the following sch | | | | |
| (a) Name of org | janization | (b) Type of organization | (c) Descript | ion of relationship |
| N/A | | | _ | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Under penalties of perjury, I declare that I h | nave examined this return, including | g accompanying schedules and stateme | ints, and to the best of my knowled | ge and belief, it is true, correct, |
| and complete Declaration of prepared other | r than taxpayer or fiduciary) is bas | sed on all information of which preparer t | nas any knowledge | |
| I lent the | , <u>/</u> | MB 11/12/10 | | |

Date

Title

11/09/10 self-

Check if

EIN >

Phone no.

Date

Form **990-PF** (2009)

Preparer's identifying number

<u>201-863-2616</u>

(d) Description of transfers, transactions, and sharing arrangements

Sign Here

Signature of officer or trustee

CHETAN R.

SHAH LTD

4912 BERGENLINE AVE STE 15 NEW YORK, NJ 07093

Preparer's

signature

Firm's name (or yours

if self-employed),

o N

Footnotes

Statement

1

The ORGH WAS LUAGINE

During 2009.

Form 990-PF List of Substantial Contributors Statement 2
Part VII-A, Line 10

Name of Contributor Address
ANIL STEVENS 101W 67TH STREET APT 49A

NEW YORK, NY 10023