Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note· The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning 04/09 **, 2009, and ending** 12/31,20 09

G Check all that apply [X] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label Otherwise, print or type See Specific Instructions

Name of foundation
THE ERWIN AND BARBARA MAUTNER
CHARITABLE FOUNDATION INC.

Number and street (or P O box number if mail is not delivered to street address) — Room/suite
4201 N. OCEAN BLVD. #C1404

City or town, state, and ZIP code
BOCA RATON, FL 33431

A Employer identification number 26-3815140

B Telephone number (see page 10 of the instructions) ( ) -

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 2,318,081.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify) ______
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	3,000,000.			
	2 Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	31,307.	31,335.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	44,638.			
	b Gross sales price for all assets on line 6a 465,543.				
	7 Capital gain net income (from Part IV, line 2)		44,640.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total** Add lines 1 through 11	3,075,945.	75,975.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule) STMT 2	28,539.	14,270.		14,269.
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) STMT 3	684.	684.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 4	90.	90.		
	24 **Total operating and administrative expenses** Add lines 13 through 23	29,313.	15,044.		14,269.
	25 Contributions, gifts, grants paid	1,060,000.			1,060,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	1,089,313.	15,044.		1,074,269.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	1,986,632.			
	b **Net investment income** (if negative, enter -0-)		60,931.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing		105,888.	105,888.
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)			
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule) STMT 5		1,874,295.	2,212,193.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)		1,980,183.	2,318,081.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds		1,980,183.	
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)		1,980,183.	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)		1,980,183.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	
2	Enter amount from Part I, line 27a	2	1,986,632.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	28.
4	Add lines 1, 2, and 3	4	1,986,660.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	6,477.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,980,183.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV DETAIL			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	44,640.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income N/A

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008			
2007			
2006			
2005			
2004			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,219.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,219.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	1,219.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a		
b	Exempt foreign organizations-tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 1,535.		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,535.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	316.
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶ 316. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶$ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ STMT 8			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* STMT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BARBARA A MAUTNER Telephone no ▶ (800)770-8444

Located at ▶ 4201 N. OCEAN BLVD. #C1404, BOCA RATON, FL ZIP + 4 ▶ 33431

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here N/A ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions)

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
SEE STATEMENT 12		NONE

Total number of others receiving over $50,000 for professional services ▶ NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,043,570.
b	Average of monthly cash balances	1b	122,056.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	2,165,626.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	2,165,626.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see page 25 of the instructions)	4	32,484.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,133,142.
6	**Minimum investment return** Enter 5% of line 5	6	78,020.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	78,020.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	1,219.		
b	Income tax for 2009. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	1,219.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	76,801.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	76,801.
6	Deduction from distributable amount (see page 25 of the instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	76,801.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,074,269.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,074,269.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,074,269.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1	Distributable amount for 2009 from Part XI, line 7				76,801.
2	Undistributed income, if any, as of the end of 2009				
a	Enter amount for 2008 only			NONE	
b	Total for prior years 20____,20____,20____		NONE		
3	Excess distributions carryover, if any, to 2009				
a	From 2004 . . . . . . NONE				
b	From 2005 . . . . . . NONE				
c	From 2006 . . . . . . NONE				
d	From 2007 . . . . . . NONE				
e	From 2008 . . . . . . NONE				
f	Total of lines 3a through e	NONE			
4	Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 1,074,269.				
a	Applied to 2008, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see page 26 of the instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see page 26 of the instructions)	NONE			
d	Applied to 2009 distributable amount				76,801.
e	Remaining amount distributed out of corpus	997,468.			
5	Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	997,468.			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		NONE		
e	Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions			NONE	
f	Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)	NONE			
8	Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)	NONE			
9	**Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a	997,468.			
10	Analysis of line 9				
a	Excess from 2005 . . . NONE				
b	Excess from 2006 . . . NONE				
c	Excess from 2007 . . . NONE				
d	Excess from 2008 . . . NONE				
e	Excess from 2009 . . . 997,468.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

BARBARA A. MAUTNER

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 13				
Total . . . . ▶ **3a**				1,060,000.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	31,307.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	44,638.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				75,945.	

13 Total Add line 12, columns (b), (d), and (e) . . . . 13 75,945.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date: 9/2/10 — Title: Director

Paid Preparer's Use Only

Preparer's signature	Date 08/03/2010	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00353001
Firm's name (or yours if self-employed), address, and ZIP code	KPMG LLP P.O. BOX 6768 PROVIDENCE, RI 02940		EIN 13-5565207 Phone no 800-770-8444

JSA
9E1493 1 000

CWG024 5880 08/03/2010 08:29:18 39-74D034047510 16

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization	Employer identification number
39-74D034047510 THE ERWIN AND BARBARA MAUTNER	26-3815140

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Part I **Contributors** (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	BARBARA A MAUTNER 4201 N. OCEAN BOULEVARD #C1404 BOCA RATON, FL 33431	$ 3,000,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		190. AT&T INC PROPERTY TYPE: SECURITIES					04/16/2009	04/28/2009
4,897.00		4,976.00					-79.00	
		14000. TIME WARNER INC NT 6.875% 5/01 PROPERTY TYPE: SECURITIES					04/21/2009	05/06/2009
14,618.00		14,604.00					14.00	
		200. AT&T INC PROPERTY TYPE: SECURITIES					04/16/2009	05/06/2009
5,313.00		5,237.00					76.00	
		65. EXXON MOBIL CORP PROPERTY TYPE: SECURITIES					04/16/2009	05/06/2009
4,446.00		4,392.00					54.00	
		185. AT&T INC PROPERTY TYPE: SECURITIES					04/16/2009	05/07/2009
4,732.00		4,845.00					-113.00	
		100. KOHLS CORP PROPERTY TYPE: SECURITIES					04/16/2009	05/12/2009
4,360.00		4,531.00					-171.00	
		155. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					04/16/2009	05/14/2009
3,826.00		3,229.00					597.00	
		14000. JPMORGAN CHASE & CO 4.500% 1/15 PROPERTY TYPE: SECURITIES					04/21/2009	05/18/2009
14,284.00		14,245.00					39.00	
		275. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					04/16/2009	05/26/2009
4,063.00		4,069.00					-6.00	
		25. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/16/2009	05/26/2009
3,484.00		3,040.00					444.00	
		210. NABORS INDUSTRIES LTD SHS PROPERTY TYPE: SECURITIES					04/16/2009	05/26/2009
3,610.00		3,051.00					559.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		150. HOME DEPOT INC PROPERTY TYPE: SECURITIES					04/16/2009	05/28/2009
3,398.00		3,898.00					-500.00	
		11000. MORGAN STANLEY 4.000% 1/15 PROPERTY TYPE: SECURITIES					04/21/2009	05/28/2009
11,066.00		11,050.00					16.00	
		105. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					04/16/2009	06/01/2009
1,465.00		1,554.00					-89.00	
		190. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					04/16/2009	06/01/2009
2,652.00		2,811.00					-159.00	
		730. COMCAST CORP NEW CL A PROPERTY TYPE: SECURITIES					04/16/2009	06/02/2009
10,456.00		10,801.00					-345.00	
		30000. FED NATL MTG ASSN 4.750% 3/12 PROPERTY TYPE: SECURITIES					04/21/2009	06/09/2009
30,953.00		31,032.00					-79.00	
		125. CONOCOPHILLIPS PROPERTY TYPE: SECURITIES					04/16/2009	06/10/2009
5,725.00		4,958.00					767.00	
		600. SOUTHWEST AIRLS CO PROPERTY TYPE: SECURITIES					04/16/2009	06/10/2009
4,000.00		4,223.00					-223.00	
		85. DU PONT E I DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					04/16/2009	06/11/2009
2,316.00		2,415.00					-99.00	
		190. DU PONT E I DE NEMOURS & CO. PROPERTY TYPE: SECURITIES					04/16/2009	06/16/2009
4,807.00		5,397.00					-590.00	
		77. SK TELECOM CO LTD-ADR PROPERTY TYPE: SECURITIES					04/16/2009	06/18/2009
1,182.00		1,248.00					-66.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		298. SK TELECOM CO LTD-ADR PROPERTY TYPE: SECURITIES					04/16/2009	07/01/2009
4,630.00		4,830.00					-200.00	
		648. PFIZER INC. PROPERTY TYPE: SECURITIES					04/16/2009	07/09/2009
9,266.00		8,999.00					267.00	
		282. PFIZER INC. PROPERTY TYPE: SECURITIES					04/16/2009	07/10/2009
4,006.00		3,916.00					90.00	
		370. SMITHFIELD FOODS INC COM PROPERTY TYPE: SECURITIES					04/16/2009	07/17/2009
4,960.00		3,973.00					987.00	
		205. ARCH COAL INC PROPERTY TYPE: SECURITIES					04/16/2009	08/10/2009
3,738.00		3,009.00					729.00	
		145. ARCH COAL INC PROPERTY TYPE: SECURITIES					04/16/2009	08/11/2009
2,516.00		2,128.00					388.00	
		600. SMITHFIELD FOODS INC COM PROPERTY TYPE: SECURITIES					04/16/2009	08/12/2009
7,114.00		6,443.00					671.00	
		130. SMITHFIELD FOODS INC COM PROPERTY TYPE: SECURITIES					04/16/2009	08/13/2009
1,495.00		1,396.00					99.00	
		81. TIDEWATER INC PROPERTY TYPE: SECURITIES					04/16/2009	08/18/2009
3,488.00		3,472.00					16.00	
		84. TIDEWATER INC PROPERTY TYPE: SECURITIES					04/16/2009	08/19/2009
3,654.00		3,601.00					53.00	
		60. TIDEWATER INC PROPERTY TYPE: SECURITIES					04/16/2009	08/19/2009
2,610.00		2,572.00					38.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		120. BOSTON SCIENTIFIC CORP PROPERTY TYPE: SECURITIES					04/16/2009	08/20/2009
1,348.00		1,086.00					262.00	
		12000. PEPSICO INC 5.000% 6/01 PROPERTY TYPE: SECURITIES					08/13/2009	08/20/2009
12,537.00		12,413.00					124.00	
		78. TIDEWATER INC PROPERTY TYPE: SECURITIES					04/16/2009	08/21/2009
3,455.00		3,343.00					112.00	
		250. BOSTON SCIENTIFIC CORP PROPERTY TYPE: SECURITIES					04/16/2009	08/24/2009
2,858.00		2,262.00					596.00	
		47. TIDEWATER INC PROPERTY TYPE: SECURITIES					04/16/2009	08/24/2009
2,080.00		2,015.00					65.00	
		325. NOKIA CORP SPONSORED ADR PROPERTY TYPE: SECURITIES					04/16/2009	08/25/2009
4,116.00		4,816.00					-700.00	
		55. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					04/16/2009	08/26/2009
1,806.00		1,146.00					660.00	
		200. WPP PLC PROPERTY TYPE: SECURITIES					04/16/2009	09/01/2009
8,236.00		6,305.00					1,931.00	
		477. EXPEDIA INC DEL PROPERTY TYPE: SECURITIES					04/16/2009	09/10/2009
10,992.00		5,461.00					5,531.00	
		203. EXPEDIA INC DEL PROPERTY TYPE: SECURITIES					04/16/2009	09/11/2009
4,686.00		2,324.00					2,362.00	
		160. MARSH & MCLENNAN PROPERTY TYPE: SECURITIES					04/16/2009	09/16/2009
3,840.00		3,305.00					535.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		35. NOVARTIS AG-ADR PROPERTY TYPE: SECURITIES					04/16/2009	09/16/2009
1,700.00		1,305.00					395.00	
		20. NOVO NORDISK A S ADR PROPERTY TYPE: SECURITIES					04/16/2009	09/16/2009
1,309.00		883.00					426.00	
		20. TELEFONICA DE ESPANA SPONSORED PROPERTY TYPE: SECURITIES					04/16/2009	09/16/2009
1,666.00		1,218.00					448.00	
		36000. FEDERAL HOME LOAN MORTGAGE ASSN PROPERTY TYPE: SECURITIES					08/13/2009	09/17/2009
38,549.00		38,763.00					-214.00	
		400. TIME WARNER INC PROPERTY TYPE: SECURITIES					04/16/2009	09/17/2009
11,773.00		9,436.00					2,337.00	
		15. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/16/2009	09/21/2009
2,733.00		1,824.00					909.00	
		440. SOUTHWEST AIRLS CO PROPERTY TYPE: SECURITIES					04/16/2009	09/21/2009
4,220.00		3,097.00					1,123.00	
		45. CONTINENTAL AIRLS INC CL B PROPERTY TYPE: SECURITIES					04/16/2009	09/22/2009
750.00		607.00					143.00	
		80. J. P . MORGAN CHASE & CO F/K/A PROPERTY TYPE: SECURITIES					04/16/2009	09/22/2009
3,617.00		2,688.00					929.00	
		290. SOUTHWEST AIRLS CO PROPERTY TYPE: SECURITIES					04/16/2009	09/22/2009
2,772.00		2,041.00					731.00	
		80. CIGNA CORP PROPERTY TYPE: SECURITIES					04/16/2009	09/23/2009
2,448.00		1,712.00					736.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. KOHLS CORP PROPERTY TYPE: SECURITIES					04/16/2009	09/23/2009
1,103.00		906.00					197.00	
		90. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					04/16/2009	09/24/2009
2,355.00		2,195.00					160.00	
		440. BOSTON SCIENTIFIC CORP PROPERTY TYPE: SECURITIES					04/16/2009	09/25/2009
4,620.00		3,981.00					639.00	
		390. BOSTON SCIENTIFIC CORP PROPERTY TYPE: SECURITIES					04/16/2009	09/28/2009
4,238.00		3,529.00					709.00	
		210. UNITEDHEALTH GROUP INC PROPERTY TYPE: SECURITIES					04/16/2009	10/01/2009
5,215.00		5,121.00					94.00	
		155. WALT DISNEY CO. PROPERTY TYPE: SECURITIES					04/16/2009	10/05/2009
4,261.00		3,185.00					1,076.00	
		270. CIGNA CORP PROPERTY TYPE: SECURITIES					04/16/2009	10/08/2009
7,660.00		5,778.00					1,882.00	
		155. CONTINENTAL AIRLS INC CL B PROPERTY TYPE: SECURITIES					04/16/2009	10/08/2009
2,577.00		2,091.00					486.00	
		50. COACH INC PROPERTY TYPE: SECURITIES					04/16/2009	10/09/2009
1,716.00		947.00					769.00	
		125. DOW CHEM CO PROPERTY TYPE: SECURITIES					04/16/2009	10/09/2009
3,260.00		1,500.00					1,760.00	
		50. ASML HOLDING NV-UY REG SHS PROPERTY TYPE: SECURITIES					04/16/2009	10/09/2009
1,506.00		1,004.00					502.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		20. GOLDMAN SACHS GROUP INC PROPERTY TYPE: SECURITIES					04/16/2009	10/28/2009
3,461.00		2,432.00					1,029.00	
		365. INTEL CORP PROPERTY TYPE: SECURITIES					04/16/2009	11/03/2009
6,733.00		5,763.00					970.00	
		30. KOHLS CORP PROPERTY TYPE: SECURITIES					04/16/2009	11/03/2009
1,684.00		1,359.00					325.00	
		15. AMAZON COM INC PROPERTY TYPE: SECURITIES					04/16/2009	11/05/2009
1,786.00		1,155.00					631.00	
		175. CISCO SYS INC PROPERTY TYPE: SECURITIES					04/16/2009	11/05/2009
4,176.00		3,164.00					1,012.00	
		90. SEARS HLDGS CORP PROPERTY TYPE: SECURITIES					04/16/2009	11/05/2009
6,011.00		5,256.00					755.00	
		45. AMERICAN EXPRESS CO PROPERTY TYPE: SECURITIES					04/16/2009	11/13/2009
1,806.00		937.00					869.00	
		180. COACH INC PROPERTY TYPE: SECURITIES					04/16/2009	11/20/2009
6,047.00		3,411.00					2,636.00	
		125. SAFEWAY INC NEW PROPERTY TYPE: SECURITIES					04/16/2009	11/20/2009
2,820.00		2,566.00					254.00	
		95. DONNELLEY R R & SONS CO PROPERTY TYPE: SECURITIES					08/12/2009	11/25/2009
2,013.00		1,534.00					479.00	
		85. SEARS HLDGS CORP PROPERTY TYPE: SECURITIES					04/16/2009	11/25/2009
6,093.00		4,964.00					1,129.00	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		55. EXPEDIA INC DEL PROPERTY TYPE: SECURITIES					04/16/2009	12/02/2009
1,422.00		630.00					792.00	
		75. MARSH & MCLENNAN PROPERTY TYPE: SECURITIES					04/16/2009	12/02/2009
1,664.00		1,549.00					115.00	
		390. MARSH & MCLENNAN PROPERTY TYPE: SECURITIES					04/16/2009	12/04/2009
8,555.00		8,057.00					498.00	
		13000. GOLDMAN SACHS GROUP 4.500% 6/15 PROPERTY TYPE: SECURITIES					08/13/2009	12/09/2009
13,266.00		13,220.00					46.00	
		29000. U S TREASURY NOTES 4.875% 8/15 PROPERTY TYPE: SECURITIES					04/21/2009	12/10/2009
32,698.00		33,915.00					-1,217.00	
		230. DOW CHEM CO PROPERTY TYPE: SECURITIES					04/16/2009	12/22/2009
6,174.00		2,759.00					3,415.00	
		1. ING GROEP N.V. 12/11/09 PROPERTY TYPE: SECURITIES					11/28/2009	12/22/2009
2.00							2.00	
TOTAL GAIN(LOSS)							44,640.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS & INTEREST		31,307.	31,335.
	TOTAL	31,307.	31,335.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
CITI IM FEES	28,539.	14,270.	14,269.
TOTALS	28,539.	14,270.	14,269.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES		684.	684.
	TOTALS	684.	684.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN ADR FEES	90.	90.
TOTALS	90.	90.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
SEE ATTACHED STATEMENT	C	1,874,295.	2,212,193.
TOTALS		1,874,295.	2,212,193.

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
FOREIGN TAX ADJ/GROUPE DANONE		28.
	TOTAL	28.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
PURCHASED ACCRUED INTEREST		550.
TAX COST ADJ/ING GROEP		5,903.
RETURN OF CAPITAL ADJ/WYETH		24.
	TOTAL	6,477.

STATE(S) WHERE THE FOUNDATION IS REGISTERED

FL

STATEMENT 8

FORM 990PF, PART VII-A - NEW SUBSTANTIAL CONTRIBUTORS

NAME AND ADDRESS

BARBARA A MAUTNER
4201 N. OCEAN BOULEVARD #C1404
BOCA RATON, FL 33431

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

OFFICER NAME:
BARBARA A MAUTNER
ADDRESS:
4201 N. OCEAN BLVD. #C1404
BOCA RATON, FL 33431
TITLE:
BOARD OF DIRECTOR, AS REQ'D

OFFICER NAME:
KRISTEN LYNCH
ADDRESS:
4855 NORTH TECHNOLOGY WAY, STE 630
BOCA RATON, FL 33431
TITLE:
BOARD OF DIRECTOR, AS REQ'D

OFFICER NAME:
LEONARD GERONEMUS
ADDRESS:
2505 N.W. BOCA RATON BLVD., #202
BOCA RATON, FL 33431
TITLE:
BOARD OF DIRECTOR, AS REQ'D

STATEMENT 10

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES
==

EMPLOYEE NAME:
NONE

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS
===

NAME:
NONE

STATEMENT 12

FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNIVERSITY OF MIAMI CONCENTRATION
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL CHARITABLE PURPOSES
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 1,040,000.

RECIPIENT NAME:
JEWISH NATIONAL FUND
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
ANNUAL ENDOWMENT OF ALEH NEGEV PERSONAL AIDE
FOUNDATION STATUS OF RECIPIENT:
N/A
AMOUNT OF GRANT PAID .... 20,000.

TOTAL GRANTS PAID: 1,060,000.

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable)

OMB No 1545-0092

2009

Name of estate or trust: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	44,640.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	44,640.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	

Part III **Summary of Parts I and II** Caution: *Read the instructions* **before** *completing this part.*		(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13 **Net short-term gain or (loss)**	13			44,640.
14 **Net long-term gain or (loss):**				
a Total for year	14a			
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			44,640.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet**, *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*:
- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22 Subtract line 21 from line 20 If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the **smaller** of the amount on line 17 or $2,300	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes** Skip lines 25 and 26; go to line 27 and check the "No" box ☐ **No** Enter the amount from line 23	25	
26 Subtract line 25 from line 24	26	
27 Are the amounts on lines 22 and 26 the same? ☐ **Yes** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (.15)	30	
31 Figure the tax on the amount on line 23. Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34 **Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

OMB No 1545-0092

2009

Name of estate or trust: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 190. AT&T INC	04/16/2009	04/28/2009	4,897.00	4,976.00	-79.00
14000. TIME WARNER INC NT 6.875% 5/01/12	04/21/2009	05/06/2009	14,618.00	14,604.00	14.00
200. AT&T INC	04/16/2009	05/06/2009	5,313.00	5,237.00	76.00
65. EXXON MOBIL CORP	04/16/2009	05/06/2009	4,446.00	4,392.00	54.00
185. AT&T INC	04/16/2009	05/07/2009	4,732.00	4,845.00	-113.00
100. KOHLS CORP	04/16/2009	05/12/2009	4,360.00	4,531.00	-171.00
155. AMERICAN EXPRESS CO	04/16/2009	05/14/2009	3,826.00	3,229.00	597.00
14000. JPMORGAN CHASE & CO 4.500% 1/15/12	04/21/2009	05/18/2009	14,284.00	14,245.00	39.00
275. COMCAST CORP NEW CL A	04/16/2009	05/26/2009	4,063.00	4,069.00	-6.00
25. GOLDMAN SACHS GROUP INC	04/16/2009	05/26/2009	3,484.00	3,040.00	444.00
210. NABORS INDUSTRIES LTD ·SHS	04/16/2009	05/26/2009	3,610.00	3,051.00	559.00
150. HOME DEPOT INC	04/16/2009	05/28/2009	3,398.00	3,898.00	-500.00
11000. MORGAN STANLEY 4.000% 1/15/10	04/21/2009	05/28/2009	11,066.00	11,050.00	16.00
105. COMCAST CORP NEW CL A	04/16/2009	06/01/2009	1,465.00	1,554.00	-89.00
190. COMCAST CORP NEW CL A	04/16/2009	06/01/2009	2,652.00	2,811.00	-159.00
730. COMCAST CORP NEW CL A	04/16/2009	06/02/2009	10,456.00	10,801.00	-345.00
30000. FED NATL MTG ASSN 4.750% 3/12/10	04/21/2009	06/09/2009	30,953.00	31,032.00	-79.00
125. CONOCOPHILLIPS	04/16/2009	06/10/2009	5,725.00	4,958.00	767.00
600. SOUTHWEST AIRLS CO	04/16/2009	06/10/2009	4,000.00	4,223.00	-223.00
85. DU PONT E I DE NEMOURS & CO.	04/16/2009	06/11/2009	2,316.00	2,415.00	-99.00
190. DU PONT E I DE NEMOURS & CO.	04/16/2009	06/16/2009	4,807.00	5,397.00	-590.00
77. SK TELECOM CO LTD-ADR	04/16/2009	06/18/2009	1,182.00	1,248.00	-66.00
298. SK TELECOM CO LTD-ADR	04/16/2009	07/01/2009	4,630.00	4,830.00	-200.00
648. PFIZER INC.	04/16/2009	07/09/2009	9,266.00	8,999.00	267.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

OMB No 1545-0092

2009

Name of estate or trust: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 282. PFIZER INC.	04/16/2009	07/10/2009	4,006.00	3,916.00	90.00
370. SMITHFIELD FOODS INC COM	04/16/2009	07/17/2009	4,960.00	3,973.00	987.00
205. ARCH COAL INC	04/16/2009	08/10/2009	3,738.00	3,009.00	729.00
145. ARCH COAL INC	04/16/2009	08/11/2009	2,516.00	2,128.00	388.00
600. SMITHFIELD FOODS INC COM	04/16/2009	08/12/2009	7,114.00	6,443.00	671.00
130. SMITHFIELD FOODS INC COM	04/16/2009	08/13/2009	1,495.00	1,396.00	99.00
81. TIDEWATER INC	04/16/2009	08/18/2009	3,488.00	3,472.00	16.00
84. TIDEWATER INC	04/16/2009	08/19/2009	3,654.00	3,601.00	53.00
60. TIDEWATER INC	04/16/2009	08/19/2009	2,610.00	2,572.00	38.00
120. BOSTON SCIENTIFIC CORP	04/16/2009	08/20/2009	1,348.00	1,086.00	262.00
12000. PEPSICO INC 5.000% 6/01/18	08/13/2009	08/20/2009	12,537.00	12,413.00	124.00
78. TIDEWATER INC	04/16/2009	08/21/2009	3,455.00	3,343.00	112.00
250. BOSTON SCIENTIFIC CORP	04/16/2009	08/24/2009	2,858.00	2,262.00	596.00
47. TIDEWATER INC	04/16/2009	08/24/2009	2,080.00	2,015.00	65.00
325. NOKIA CORP SPONSORED ADR	04/16/2009	08/25/2009	4,116.00	4,816.00	-700.00
55. AMERICAN EXPRESS CO	04/16/2009	08/26/2009	1,806.00	1,146.00	660.00
200. WPP PLC	04/16/2009	09/01/2009	8,236.00	6,305.00	1,931.00
477. EXPEDIA INC DEL	04/16/2009	09/10/2009	10,992.00	5,461.00	5,531.00
203. EXPEDIA INC DEL	04/16/2009	09/11/2009	4,686.00	2,324.00	2,362.00
160. MARSH & MCLENNAN	04/16/2009	09/16/2009	3,840.00	3,305.00	535.00
35. NOVARTIS AG-ADR	04/16/2009	09/16/2009	1,700.00	1,305.00	395.00
20. NOVO NORDISK A S ADR	04/16/2009	09/16/2009	1,309.00	883.00	426.00
20. TELEFONICA DE ESPANA SPONSORED	04/16/2009	09/16/2009	1,666.00	1,218.00	448.00
36000. FEDERAL HOME LOAN MORTGAGE ASSN DTD 03/15/01	08/13/2009	09/17/2009	38,549.00	38,763.00	-214.00

1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 400. TIME WARNER INC	04/16/2009	09/17/2009	11,773.00	9,436.00	2,337.00
15. GOLDMAN SACHS GROUP INC	04/16/2009	09/21/2009	2,733.00	1,824.00	909.00
440. SOUTHWEST AIRLS CO	04/16/2009	09/21/2009	4,220.00	3,097.00	1,123.00
45. CONTINENTAL AIRLS INC CL B	04/16/2009	09/22/2009	750.00	607.00	143.00
80. J. P . MORGAN CHASE & CO F/K/A	04/16/2009	09/22/2009	3,617.00	2,688.00	929.00
290. SOUTHWEST AIRLS CO	04/16/2009	09/22/2009	2,772.00	2,041.00	731.00
80. CIGNA CORP	04/16/2009	09/23/2009	2,448.00	1,712.00	736.00
20. KOHLS CORP	04/16/2009	09/23/2009	1,103.00	906.00	197.00
90. UNITEDHEALTH GROUP INC	04/16/2009	09/24/2009	2,355.00	2,195.00	160.00
440. BOSTON SCIENTIFIC CORP	04/16/2009	09/25/2009	4,620.00	3,981.00	639.00
390. BOSTON SCIENTIFIC CORP	04/16/2009	09/28/2009	4,238.00	3,529.00	709.00
210. UNITEDHEALTH GROUP INC	04/16/2009	10/01/2009	5,215.00	5,121.00	94.00
155. WALT DISNEY CO.	04/16/2009	10/05/2009	4,261.00	3,185.00	1,076.00
270. CIGNA CORP	04/16/2009	10/08/2009	7,660.00	5,778.00	1,882.00
155. CONTINENTAL AIRLS INC CL B	04/16/2009	10/08/2009	2,577.00	2,091.00	486.00
50. COACH INC	04/16/2009	10/09/2009	1,716.00	947.00	769.00
125. DOW CHEM CO	04/16/2009	10/09/2009	3,260.00	1,500.00	1,760.00
50. ASML HOLDING NV-UY REG SHS	04/16/2009	10/09/2009	1,506.00	1,004.00	502.00
20. GOLDMAN SACHS GROUP INC	04/16/2009	10/28/2009	3,461.00	2,432.00	1,029.00
365. INTEL CORP	04/16/2009	11/03/2009	6,733.00	5,763.00	970.00
30. KOHLS CORP	04/16/2009	11/03/2009	1,684.00	1,359.00	325.00
15. AMAZON COM INC	04/16/2009	11/05/2009	1,786.00	1,155.00	631.00
175. CISCO SYS INC	04/16/2009	11/05/2009	4,176.00	3,164.00	1,012.00
90. SEARS HLDGS CORP	04/16/2009	11/05/2009	6,011.00	5,256.00	755.00

1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: 39-74D034047510 THE ERWIN AND BARBARA MAUTNER

Employer identification number: 26-3815140

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 45. AMERICAN EXPRESS CO	04/16/2009	11/13/2009	1,806.00	937.00	869.00
180. COACH INC	04/16/2009	11/20/2009	6,047.00	3,411.00	2,636.00
125. SAFEWAY INC NEW	04/16/2009	11/20/2009	2,820.00	2,566.00	254.00
95. DONNELLEY R R & SONS CO	08/12/2009	11/25/2009	2,013.00	1,534.00	479.00
85. SEARS HLDGS CORP	04/16/2009	11/25/2009	6,093.00	4,964.00	1,129.00
55. EXPEDIA INC DEL	04/16/2009	12/02/2009	1,422.00	630.00	792.00
75. MARSH & MCLENNAN	04/16/2009	12/02/2009	1,664.00	1,549.00	115.00
390. MARSH & MCLENNAN	04/16/2009	12/04/2009	8,555.00	8,057.00	498.00
13000. GOLDMAN SACHS GROUP 4.500% 6/15/10	08/13/2009	12/09/2009	13,266.00	13,220.00	46.00
29000. U S TREASURY NOTES 4.875% 8/15/16	04/21/2009	12/10/2009	32,698.00	33,915.00	-1,217.00
230. DOW CHEM CO	04/16/2009	12/22/2009	6,174.00	2,759.00	3,415.00
1. ING GROEP N.V. 12/11/09	11/28/2009	12/22/2009	2.00		2.00

1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b 44,640.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041 **Schedule D-1 (Form 1041) 2009**

990PF, PART VII-B LINE 2B – SECTION 4942a2 EXPLANATION STATEMENT

This question does not apply. The foundation does not have any undistributed income from prior years.

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Asset Detail

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
U S. Treasuries and Agencies						
U S Treasury Bonds and Notes						
U S. Treasury Notes 4 000% 11/15/12 CUSIP 912828AP5	51,000 00	$54,478 20	$55,336 95	-$858 75	$2,040 00	2 35%
U S Treasury Notes 4 250% 8/15/13 CUSIP 912828BH2	41,000 00	$44,241 46	$45,398 30	-$1,156 84	$1,742 50	1 91%
U S Treasury Notes 4 875% 8/15/16 CUSIP 912828FQ8	30,000 00	$32,990 70	$34,391 79	-$1,401 09	$1,462 50	1 42%
U S Treas Nts 3 375% 11/15/19 CUSIP 912828LY4	35,000 00	$33,665 80	$34,731 43	-$1,065 63	$1,181 25	1 45%
		$165,376 16	$169,858 47	-$4,482.31	$6,426 25	7 13%
Government Agency Bonds/Notes						
Fed Natl Mtg Assn 6 000% 5/15/11 CUSIP 31359MJH7	35,000 00	$37,472 05	$38,198 97	-$726 92	$2,100 00	1 62%
Fedl Natl Mtg Assn 3 625% 8/15/11 CUSIP· 31398ATL6	40,000 00	$41,675 20	$41,943 87	-$268 67	$1,450 00	1 80%
Fed Home Ln Mtg Corp 5 000% 1/30/14 CUSIP 3128X2TM7	46,000 00	$50,154.26	$50,745 55	-$591 29	$2,300.00	2 16%
Fed Natl Mtg Assn 5 250% 9/15/16 CUSIP 31359MW41	34,000 00	$37,559 46	$38,345 08	-$785 62	$1,785 00	1 62%
		$166,860 97	$169,233 47	-$2,372 50	$7,635 00	7 20%
Total U.S Treasuries and Agencies		$332,237.13	$339,091 94	-$6,854.81	$14,061.25	14.33%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Corporate and Foreign Bonds						
U S Corporate Bonds & Notes						
Verizon Global Fdg 7 250% 12/01/10 CUSIP 92344GAL0	16,000 00	$16,908 48	$17,052 71	-$144 23	$1,160 00	0 73%
Conoco Funding 6 350% 10/15/11 CUSIP 20825UAB0	15,000 00	$16,319 40	$16,493 04	-$173 64	$952 50	0 70%
Hewlett-Pack Co Hpq 4 250% 2/24/12 CUSIP 428236AU7	14,000 00	$14,685 16	$14,597 10	$88.06	$595 00	0 63%
Disney Walt Co New 6 375% 3/01/12 CUSIP 25468PBX3	15,000 00	$16,384 65	$16,493 00	-$108 35	$956 25	0 71%
Goldman Sachs 3 250% 6/15/12 CUSIP 38146FAA9	16,000 00	$16,622 88	$16,696 90	-$74 02	$520 00	0 72%
Bank of America Corp 3 125% 6/15/12 CUSIP 06050BAA9	16,000 00	$16,579 20	$16,649 33	-$70 13	$500 00	0 72%
Merrill Lynch & Co 5 450% 2/05/13 CUSIP 59018YM40	18,000 00	$18,940.86	$15,550 92	$3,389 94	$981.00	0 82%
Glaxosmithkline Cap 4 850% 5/15/13 CUSIP: 377372AC1	12,000 00	$12,881 40	$12,579 12	$302 28	$582 00	0 56%
Credit Suisse First 5 500% 8/15/13 CUSIP. 22541LAH6	15,000 00	$16,295 25	$15,355 81	$939 44	$825 00	0 70%
Wyeth 5 500% 2/01/14 CUSIP 983024AE0	16,000 00	$17,431 52	$17,150 26	$281 26	$880 00	0 75%
American Express Co 7 250% 5/20/14 CUSIP 025816BA6	13,000 00	$14,668 81	$13,528.61	$1,140 20	$942 50	0 63%
JPMorgan Chase & Co 4 650% 6/01/14 CUSIP 46625HHN3	16,000 00	$16,855 04	$16,059 02	$796 02	$744 00	0 73%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Wachovia Corp New 5 250% 8/01/14 CUSIP 929903AJ1	13,000 00	$13,458 38	$11,681 54	$1,776 84	$682 50	0 58%
Gen Elec Cap Corp 4 875% 3/04/15 CUSIP· 36962GP65	16,000 00	$16,620 80	$15,160 68	$1,460 12	$780 00	0 72%
Comcast Corp New 5 900% 3/15/16 CUSIP· 20030NAL5	13,000 00	$13,999 96	$13,088 43	$911 53	$767 00	0 60%
Time Warner Cable 5 850% 5/01/17 CUSIP· 88732JAH1	17,000 00	$17,861 39	$17,008 34	$853 05	$994 50	0 77%
XTO Energy Inc 6 250% 8/01/17 CUSIP 98385XAL0	11,000 00	$12,455 74	$11,263 78	$1,191 96	$687 50	0 54%
At & T Inc 5 500% 2/01/18 CUSIP 00206RAJ1	14,000 00	$14,607 88	$14,291 20	$316 68	$770 00	0 63%
Goldman Sachs 6 150% 4/01/18 CUSIP 38141GFM1	12,000 00	$12,846.00	$13,090 20	-$244 20	$738 00	0 55%
CISCO Systems Inc 4 950% 2/15/19 CUSIP 17275RAE2	17,000 00	$17,425 17	$17,132 31	$292.86	$841 50	0.75%
		$313,847 97	$300,922 30	$12,925 67	$15,899 25	13 54%
Foreign Corporate Bonds						
BP Capital Markets 5.250% 11/07/13 CUSIP· 05565QBF4	15,000 00	$16,338 45	$16,299 00	$39.45	$787 50	0 70%
Rio Tinto Finance PL 8 950% 5/01/14 CUSIP. 767201AF3	14,000 00	$16,776 20	$15,208 90	$1,567 30	$1,253 00	0 72%
		$33,114 65	$31,507 90	$1,606 75	$2,040 50	1 42%
Total Corporate and Foreign Bonds		$346,962.62	$332,430 20	$14,532 42	$17,939.75	14.96%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Common Equity Securities						
Domestic Common Stocks						
Akamai Technologies Inc CUSIP 00971T101	410 00	$10,389 40	$7,027 45	$3,361 95	$0 00	0 45%
ALCOA Inc Com CUSIP 013817101	550 00	$8,866 00	$5,058 35	$3,807 65	$66 00	0 38%
Allstate Corp Com CUSIP 020002101	385 00	$11,565 40	$9,293 25	$2,272 15	$308 00	0 50%
Amazon Com Inc CUSIP 023135106	260 00	$34,975 20	$20,019 22	$14,955 98	$0 00	1 51%
American Express Co Com CUSIP 025816109	245 00	$9,927 40	$5,103 32	$4,824 08	$176 40	0 43%
AMGEN Inc Com CUSIP 031162100	750 00	$42,427 50	$35,158 50	$7,269 00	$0 00	1 83%
Bank of America Corp CUSIP 060505104	1,140 00	$17,168 40	$19,302 69	-$2,134 29	$45 60	0 74%
Bed Bath & Beyond Inc Com CUSIP 075896100	325 00	$12,548 25	$10,090 93	$2,457 32	$0.00	0 54%
Berkshire Hathaway Inc Del-Cl B CUSIP 084670207	8 00	$26,288 00	$24,228 00	$2,060 00	$0 00	1 13%
Biogen IDEC Inc CUSIP 09062X103	300 00	$16,050 00	$15,479.40	$570 60	$0.00	0 69%
Blackrock Inc CUSIP 09247X101	55 00	$12,771 00	$7,519 60	$5,251 40	$171 60	0 55%
Boeing Company Com CUSIP 097023105	350 00	$18,945.50	$14,080 45	$4,865 05	$588 00	0 82%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Bristol Myers Squibb Co Com CUSIP 110122108	625 00	$15,781 25	$12,761 25	$3,020 00	$800 00	0 68%
Caterpillar Inc DEL Com CUSIP 149123101	150 00	$8,548 50	$4,932 90	$3,615 60	$252 00	0 37%
Celgene Corp CUSIP 151020104	250 00	$13,920 00	$9,644 75	$4,275 25	$0 00	0 60%
Chesapeake Energy Corp CUSIP 165167107	205 00	$5,305 40	$5,551 03	-$245 63	$61 50	0 23%
Chubb Corp Com CUSIP 171232101	225 00	$11,065 50	$9,759 83	$1,305 67	$315 00	0 48%
CISCO Systems Inc Com CUSIP 17275R102	925 00	$22,144 50	$16,722 15	$5,422 35	$0 00	0 96%
Coach Inc CUSIP 189754104	170 00	$6,210 10	$3,221 34	$2,988 76	$51 00	0 27%
Coca Cola Co Com CUSIP 191216100	450 00	$25,650 00	$20,261 70	$5,388 30	$738 00	1 11%
ConocoPhillips CUSIP 20825C104	400 00	$20,428 00	$15,866 80	$4,561.20	$800 00	0 88%
Cvs/Caremark Corp CUSIP 126650100	425 00	$13,689 25	$12,892 49	$796 76	$129 63	0 59%
Dean Foods Co New CUSIP· 242370104	1,000 00	$18,040 00	$19,536 61	-$1,496 61	$0 00	0 78%
Dell Inc CUSIP 24702R101	1,200 00	$17,232 00	$13,091 40	$4,140 60	$0 00	0 74%
Donnelley R R & Sons Co Com CUSIP 257867101	405 00	$9,019 35	$6,404 14	$2,615 21	$421 20	0 39%
Dow Chem Company Com CUSIP 260543103	320 00	$8,841 60	$3,838 72	$5,002 88	$192 00	0 38%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Ebay Inc CUSIP 278642103	700 00	$16,471 00	$10,072 65	$6,398 35	$0 00	0 71%
Electronic Arts Inc CUSIP· 285512109	890 00	$15,797 50	$16,890 04	-$1,092 54	$0.00	0 68%
Expedia Inc DEL CUSIP· 30212P105	665 00	$17,110 45	$7,613 92	$9,496 53	$0 00	0 74%
Exxon Mobil Corp CUSIP. 30231G102	365 00	$24,889.35	$25,141 30	-$251 95	$613 20	1 07%
First Solar Inc CUSIP 336433107	50 00	$6,770 00	$7,375 50	-$605 50	$0 00	0 29%
Forest Labs Inc CL A Com CUSIP 345838106	410 00	$13,165 10	$10,596 37	$2,568 73	$0 00	0 57%
General Electric Corp Com CUSIP 369604103	300 00	$4,539 00	$4,839 81	-$300 81	$120 00	0 20%
Goldman Sachs Group Inc CUSIP 38141G104	65 00	$10,974 60	$7,902 70	$3,071 90	$91 00	0 47%
Google Inc CUSIP 38259P508	25 00	$15,499 50	$9,692 00	$5,807 50	$0 00	0 67%
Hewlett-Packard Co Com CUSIP 428236103	245 00	$12,619 95	$10,779 53	$1,840 42	$78 40	0 54%
Home Depot Inc Com CUSIP 437076102	650 00	$18,804 50	$16,891.55	$1,912 95	$585 00	0.81%
Intel Corp Com CUSIP 458140100	1,935 00	$39,474 00	$30,553 26	$8,920 74	$1,083 60	1 70%
Johnson & Johnson Com CUSIP 478160104	575 00	$37,035.75	$29,963 25	$7,072 50	$1,127 00	1 60%
JPMorgan Chase & Co CUSIP. 46625H100	620 00	$25,835 40	$20,831 69	$5,003 71	$124 00	1 11%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Juniper Networks Inc CUSIP 48203R104	675 00	$18,002 25	$12,958 65	$5,043 60	$0 00	0 78%
Kohls Corp Com CUSIP 500255104	125 00	$6,741 25	$5,663 50	$1,077 75	$0.00	0 29%
McDonalds Corp Com CUSIP 580135101	170 00	$10,614 80	$9,689 92	$924 88	$374 00	0 46%
Merck & Co Inc New CUSIP 58933Y105	390 00	$14,250 60	$13,984 95	$265 65	$592 80	0 61%
Mettler-Toledo Intl Inc Com CUSIP 592688105	150 00	$15,748 50	$8,592 30	$7,156 20	$0 00	0 68%
Microsoft Corp Com CUSIP 594918104	775 00	$23,622 00	$15,258 43	$8,363 57	$403 00	1 02%
Morgan Stanley CUSIP 617446448	180 00	$5,328 00	$5,341 36	-$13 36	$36 00	0 23%
Nasdaq Omx Group Inc CUSIP: 631103108	525 00	$10,405 50	$10,210 31	$195 19	$0 00	0 45%
Newmont Mining Corp Com CUSIP 651639106	125 00	$5,913 75	$4,991 13	$922 62	$50 00	0 26%
News Corp CUSIP 65248E203	1,000 00	$15,920 00	$8,988 00	$6,932 00	$120 00	0 69%
NVIDIA Corp CUSIP· 67066G104	875 00	$16,345 00	$10,184 74	$6,160 26	$0 00	0 71%
Pepsico Inc Com CUSIP 713448108	300 00	$18,240 00	$15,563 70	$2,676 30	$540 00	0 79%
Pfizer Inc Com CUSIP 717081103	970 00	$17,644 30	$13,471 36	$4,172 94	$698 40	0 76%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Procter & Gamble Company Com CUSIP 742718109	300 00	$18,189 00	$15,137 10	$3,051 90	$528 00	0 78%
Qualcomm Inc Com CUSIP· 747525103	395 00	$18,272 70	$16,581 93	$1,690 77	$268 60	0 79%
Safeway Stores Inc Com New CUSIP 786514208	375 00	$7,983 75	$7,697 62	$286 13	$150 00	0 34%
Schwab Charles Corp New CUSIP 808513105	575 00	$10,821.50	$10,061 35	$760 15	$138 00	0 47%
Sears Hldgs Corp CUSIP 812350106	100 00	$8,345 00	$5,839 50	$2,505 50	$0 00	0 36%
Southwest Airlines Com CUSIP· 844741108	870 00	$9,944 10	$6,123 06	$3,821 04	$15 66	0 43%
State Street Corp CUSIP 857477103	260 00	$11,320 40	$11,134 36	$186 04	$10 40	0 49%
Texas Instruments Com CUSIP 882508104	875 00	$22,802 50	$15,547 00	$7,255 50	$420.00	0 98%
Thermo Fisher Corp CUSIP 883556102	135 00	$6,438 15	$4,811 10	$1,627 05	$0 00	0 28%
Urs Corp New CUSIP 903236107	130 00	$5,787 60	$5,842 13	-$54 53	$0 00	0 25%
Valero Energy Corp Com New CUSIP· 91913Y100	630 00	$10,552 50	$13,278 57	-$2,726 07	$378 00	0 46%
WalMart Stores Inc Com CUSIP 931142103	500 00	$26,725 00	$25,468 00	$1,257 00	$545 00	1 15%
Walt Disney Company Com CUSIP 254687106	845 00	$27,251 25	$17,362 21	$9,889 04	$295 75	1 18%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Wells Fargo & Co New Com CUSIP 949746101	400 00	$10,796 00	$7,951 60	$2,844 40	$80 00	0 47%
Williams Cos Inc Com CUSIP 969457100	375 00	$7,905 00	$4,998 00	$2,907 00	$165 00	0 34%
YAHOO Inc CUSIP 984332106	700 00	$11,746 00	$10,057 81	$1,688 19	$0 00	0 51%
		$1,070,440 00	$848,779 53	$221,660 47	$14,746 74	46 20%
ADRs						
ASML Holding Nv-Uy Reg SHS CUSIP· N07059186	425 00	$14,488 25	$8,533 58	$5,954 67	$237 15	0 63%
Atlas Copco AB CUSIP 049255805	555 00	$7,242 75	$6,499 16	$743 59	$145 97	0 31%
AXA S A SPD ADR CUSIP 054536107	600 00	$14,208 00	$9,305.70	$4,902 30	$274 20	0 61%
Axis Capital Holdings CUSIP G0692U109	575 00	$16,335 75	$15,685 71	$650 04	$483 00	0 70%
BASF Aktiengesllschaft-Level I CUSIP 055262505	175 00	$10,867 50	$6,275 50	$4,592 00	$330 93	0 47%
BP PLC ADR CUSIP· 055622104	225 00	$13,043 25	$9,020 03	$4,023 22	$756 00	0 56%
Canon Inc ADR Repstg 5 SHS CUSIP 138006309	300 00	$12,696 00	$9,287 49	$3,408 51	$318 90	0 55%
CRH PLC CUSIP 12626K203	400 00	$10,932 00	$9,226 48	$1,705 52	$370 40	0 47%
Danone CUSIP 23636T100	600 00	$7,314 00	$5,988 00	$1,326 00	$138 60	0 32%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Diageo PLC Spns ADR CUSIP 25243Q205	125 00	$8,676 25	$5,999 25	$2,677 00	$283 13	0 37%
Glaxo Smithkline CUSIP 37733W105	150 00	$6,337 50	$4,625 75	$1,711 75	$278 25	0 27%
Grupo Televisa SA-Sponsored ADR CUSIP 40049J206	350 00	$7,266 00	$5,875 91	$1,390 09	$408 10	0 31%
Honda Mtr Ltd ADR New CUSIP 438128308	300 00	$10,170 00	$8,663 38	$1,506 62	$101 40	0 44%
Hong Kong & China Gas Ltd CUSIP 438550303	3,000 00	$7,380 00	$6,173 40	$1,206 60	$135 00	0 32%
HSBC Hldgs PLC Sponsored ADR New CUSIP· 404280406	175 00	$9,990.75	$6,544 30	$3,446 45	$297 50	0 43%
Hutchinson Whampoa Ltd ADR CUSIP 448415208	200 00	$6,880 00	$5,760 00	$1,120 00	$215 20	0 30%
ING Groep N V Sponsored ADR CUSIP 456837103	2,042 00	$20,032 02	$9,732 03	$10,299 99	$0.00	0 86%
Koninklijke Philips Electrs N V CUSIP 500472303	375 00	$11,040 00	$6,317 63	$4,722 37	$298 88	0 48%
Mitsubishi UFJ Finl Group Apd ADR CUSIP 606822104	1,800 00	$8,856 00	$9,374 40	-$518 40	$196 20	0 38%
Nabors Industries Ltd SHS CUSIP· G6359F103	530 00	$11,601 70	$8,275 70	$3,326 00	$0.00	0 50%
National Bk Greece S A SPD ADR CUSIP 633643408	775 00	$4,037 75	$3,065 90	$971 85	$0 00	0 17%
Nestle SA Spon ADR Repstg Reg CUSIP 641069406	275 00	$13,296 25	$9,317 00	$3,979 25	$221 10	0 57%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Nippon Teleg & Tel Corp ADR CUSIP 654624105	325 00	$6,415 50	$6,262 43	$153 07	$186 55	0 28%
Nokia Corp Spnsd ADR CUSIP 654902204	1,175 00	$15,098 75	$17,411.15	-$2,312.40	$461 78	0 65%
Nomura Hldgs Inc SPD ADR CUSIP 65535H208	1,000 00	$7,400 00	$6,058 00	$1,342 00	$121 00	0 32%
Novartis AG Spons ADR CUSIP 66987V109	140 00	$7,620 20	$5,218 96	$2,401 24	$203 56	0 33%
Novo-Nordisk A S CUSIP 670100205	105 00	$6,704 25	$4,637 31	$2,066 94	$82 11	0 29%
Orix Corp Spnd ADR CUSIP· 686330101	400 00	$13,664 00	$9,399 00	$4,265 00	$133 20	0 59%
Petroleo Brasileiro SA Petrobras CUSIP 71654V408	175 00	$8,344 00	$6,093 10	$2,250 90	$62 30	0 36%
Rio Tinto PLC Spn ADR CUSIP 767204100	40.00	$8,615 60	$6,111 04	$2,504 56	$217 60	0 37%
Roche Holdings Ltd-Sponsored ADR CUSIP 771195104	300 00	$12,660 00	$10,050 00	$2,610 00	$199 50	0 55%
Sap AG CUSIP· 803054204	225 00	$10,532 25	$8,902 10	$1,630 15	$249 75	0 45%
Shire PLC CUSIP 82481R106	150 00	$8,805 00	$5,880 98	$2,924 02	$44 55	0 38%
Smith & Nephew P L C SPD ADR New CUSIP 83175M205	175 00	$8,968 75	$6,120 80	$2,847 95	$118 83	0 39%
Suncor Energy Inc CUSIP 867224107	210 00	$7,415 10	$6,441 39	$973 71	$0 00	0 32%
Telefonica S A CUSIP 879382208	80 00	$6,681 60	$4,873 31	$1,808 29	$275 76	0 29%

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	Shares/Par Value	Market Value	Tax Cost	Unrealized Gain/Loss	Estimated Annual Income	Percent of Market Value
Tesco PLC Sponsored ADR CUSIP 881575302	400 00	$8,228 00	$5,964 00	$2,264 00	$223 20	0 35%
Total S A ADR CUSIP 89151E109	125 00	$8,005 00	$5,973 25	$2,031 75	$347 25	0 35%
Toyota Mtr Corp CUSIP 892331307	275 00	$23,144 00	$21,131 55	$2,012 45	$300 85	1 00%
Transocean Inc Com Usd0 01 'register CUSIP H8817H100	200 00	$16,560 00	$13,331 60	$3,228 40	$0 00	0 71%
Trend Micro Inc ADR CUSIP 89486M206	170 00	$6,470 20	$5,924 50	$545 70	$151 13	0 28%
UBS AG CUSIP· H89231338	675 00	$10,469 25	$7,930 91	$2,538 34	$0 00	0 45%
United Overseas Bk Ltdadr CUSIP 911271302	290 00	$8,102 60	$5,945 00	$2,157 60	$240 12	0 35%
Vodafone Group SPD ADR Rep 10 Ord SH CUSIP 92857W209	475 00	$10,967 75	$8,967 53	$2,000 22	$613 23	0 47%
Wal-Mart DE Mexico S A.B DE C V CUSIP 93114W107	200 00	$8,990 00	$5,820 00	$3,170 00	$87 40	0 39%
		$462,553 52	$353,994 21	$108,559 31	$9,809.58	19 94%
Total Common Equity Securities		$1,532,993.52	$1,202,773 74	$330,219 78	$24,556.32	66.14%
Cash Equivalents *Invested Cash*						
Citibank M D A (L) CUSIP 9900CST72	26,369 98	$26,369 98	$26,369 98	$0 00	$65 92	1 14%(i)

Asset Detail Section (continued)

Statement of Value and Activity

December 1, 2009 - December 31, 2009

Description	*Shares/Par Value*	*Market Value*	*Tax Cost*	*Unrealized Gain/Loss*	*Estimated Annual Income*	*Percent of Market Value*
Citibank M.D.A. (L) CUSIP 9900CST72	79,517 53	$79,517 53	$79,517 53	$0.00	$198 79	3 43%
Total Cash Equivalents		$105,887.51	$105,887.51	$0.00	$264.71	4.57%
Total All Assets		**$2,318,080.78**	**$1,980,183.39**	**$337,897.39**	**$56,822.03**	**100.00%**

Your holdings are valued using the most current prices available to us. For Alternative Investments, unrealized gain/ loss and original tax cost (excluding acquisition costs) are shown for information purposes only and do not reflect any adjustments necessitated by annual K-1 forms. Consult your tax advisor regarding use of this information. Estimated income for Alternative Investments and certain non-publicly traded Partnerships cannot be accurately forecasted and is therefore not listed.

Form **8868** (Rev April 2009)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Type or print File by the due date for filing your return See instructions	Name of Exempt Organization 39-74D034047510 THE ERWIN AND BARBARA MAUTNER CHARITABLE FOUNDATION INC.	**Employer identification number** 26-3815140
	Number, street, and room or suite no If a P O box, see instructions 4201 N. OCEAN BLVD. #C1404	
	City, town or post office, state, and ZIP code For a foreign address, see instructions BOCA RATON, FL 33431	

Check type of return to be filed (file a separate application for each return):

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ BARBARA A MAUTNER

Telephone No. ▶ (800)770-8444 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/16 ,2010 , to file the exempt organization return for the organization named above The extension is for the organization's return for.

▶ [ ] calendar year ____ or

▶ [X] tax year beginning 04/09, 2009 , and ending 12/31, 2009

2 If this tax year is for less than 12 months, check reason: [X] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$ 1,535.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 1,535.

Caution If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box . . . . ▶ [X]

Note Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Type or print File by the extended due date for filing the return. See instructions.	Name of Exempt Organization 39-74D034047510 THE ERWIN AND [redacted] MAUTNER CHARITABLE FOUNDATION INC.	Employer identification number 26-3815140
	Number, street, and room or suite no. If a P.O. box, see instructions 4201 N. OCEAN BLVD. #C1404	For IRS use only
	City, town or post office, state, and ZIP code. For a foreign address, see instructions BOCA RATON, FL 33431	

Check type of return to be filed (File a separate application for each return):

- [ ] Form 990
- [ ] Form 990-BL
- [ ] Form 990-EZ
- [X] Form 990-PF
- [ ] Form 990-T (sec. 401(a) or 408(a) trust)
- [ ] Form 990-T (trust other than above)
- [ ] Form 1041-A
- [ ] Form 4720
- [ ] Form 5227
- [ ] Form 6069
- [ ] Form 8870

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ BARBARA A MAUTNER

Telephone No. ▶ (800)770-8444 FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box . . . ▶ [ ]. If it is for part of the group, check this box . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15/2010.

5 For calendar year ______, or other tax year beginning 04/09/2009, and ending 12/31/2009.

6 If this tax year is for less than 12 months, check reason: [X] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS NEEDED TO PREPARE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 1,219.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 1,535.
c	Balance Due. Subtract line 8b from line 8a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	$ NONE

Signature and Verification

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Jeffrey E Yuhlin Title ▶ TAX MANAGER Date ▶ 08/03/2010

KPMG LLP

P.O. BOX 6768

PROVIDENCE, RI 02940