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Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2009

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

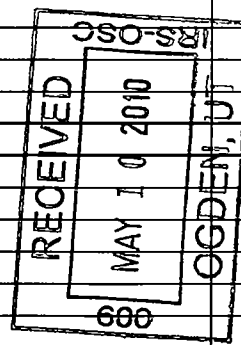
For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return
☐ Amended return ☐ Address change ☐ Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.	Name of foundation UJALA FOUNDATION		A Employer identification number 26-3274193	
	Number and street (or P O box number if mail is not delivered to street address) 43 SLEEPY HOLLOW DRIVE		Room/suite	B Telephone number 610-359-5449
	City or town, state, and ZIP code NEWTOWN SQUARE, PA 19073		C If exemption application is pending, check here ☐	
	H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 10,052,081. (Part I, column (d) must be on cash basis.)		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	8,580,163.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	81,934.	81,934.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	68,493.			STATEMENT 1
	b Gross sales price for all assets on line 6a 1,564,682.				
	7 Capital gain net income (from Part IV, line 2)		977,492.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	8,730,590.	1,059,426.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	1,148.	1,148.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	22,025.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	7,500.	7,500.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	30,673.	8,648.		0.
	25 Contributions, gifts, grants paid	83,273.			83,273.
26 Total expenses and disbursements. Add lines 24 and 25	113,946.	8,648.		83,273.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	8,616,644.				
b Net investment income (if negative, enter -0-)		1,050,778.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	7,170.	141,233.	141,233.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	1,079,533.	6,120,370.	6,120,370.
	c Investments - corporate bonds STMT 7	0.	3,790,478.	3,790,478.
	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	1,086,703.	10,052,081.	10,052,081.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,086,703.	10,052,081.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	1,086,703.	10,052,081.	
	31 Total liabilities and net assets/fund balances	1,086,703.	10,052,081.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 1,086,703.
2 Enter amount from Part I, line 27a	2 8,616,644.
3 Other increases not included in line 2 (itemize) ▶ INCREASE IN FMV	3 348,734.
4 Add lines 1, 2, and 3	4 10,052,081.
5 Decreases not included in line 2 (itemize) ▶	5 0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 10,052,081.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB AND CO. (ROH)	D	VARIOUS	04/02/09
b CHARLES SCHWAB AND CO. (PIMCO LOW)	P	05/01/09	12/02/09
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,362,738.		403,073.	959,665.
b 199,980.		184,117.	15,863.
c 1,964.			1,964.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			959,665.
b			15,863.
c			1,964.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	977,492.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	0.	1,165,736.	.000000
2007			
2006			
2005			
2004			

2 Total of line 1, column (d)	2	.000000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.000000
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	44,601.
5 Multiply line 4 by line 3	5	0.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,508.
7 Add lines 5 and 6	7	10,508.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	83,273.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	10,508.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	10,508.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	10,508.
6 Credits/Payments:			
a 2009 estimated tax payments and 2008 overpayment credited to 2009	6a	22,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	22,000.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	11,492.	
11 Enter the amount of line 10 to be: Credited to 2010 estimated tax ☒ 11,492. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NONE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MR. RAJIV L. GUPTA</u> Located at ► <u>43 SLEEPY HOLLOW DRIVE, NEWTOWN SQUARE, PA</u> Telephone no. ► <u>6109750280</u> ZIP+4 ► <u>19073</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RAJIV L. GUPTA 43 SLEEPY HOLLOW DRIVE NEWTOWN SQUARE, PA 19073	DIRECTOR 0.00	0.	0.	0.
KAMLA GUPTA 43 SLEEPY HOLLOW DRIVE NEWTOWN SQUARE, PA 19073	SUCCESSOR DIRECTOR 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	44,981.
b	Average of monthly cash balances	1b	299.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	45,280.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	45,280.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	679.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	44,601.
6	Minimum investment return. Enter 5% of line 5	6	2,230.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,230.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	10,508.
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	10,508.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	0.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	0.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	0.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	83,273.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	83,273.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,508.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	72,765.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				0.
2 Undistributed Income, if any, as of the end of 2009				
a Enter amount for 2008 only			58,262.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4: ► \$ 83,273.				
a Applied to 2008, but not more than line 2a			58,262.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				0.
e Remaining amount distributed out of corpus	25,011.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	25,011.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a	25,011.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008				
e Excess from 2009	25,011.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
GIFTS IN KIND INTERNATIONAL 333 NORTH FAIRFAX STREET, SUITE 100 ALEXANDRIA, VA		PUBLIC	GENERAL PURPOSE	6,250.
DELAWARE COUNTY COMMUNITY FOUNDATION 150 N. RADNOR CHESTER ROAD, E-140 RADNOR, PA		PUBLIC	GENERAL PURPOSE	1,000.
JOHNS HOPKINS UNIVERSITY 3400 N. CHARLES STREET BALTIMORE, MD 21218		PUBLIC	GENERAL PURPOSE	25,000.
GIFTS IN KIND INTERNATIONAL 333 NORTH FAIRFAX STREET, SUITE 100 ALEXANDRIA, VA		PUBLIC	GENERAL PURPOSE	1,023.
AMERICAN INDIA FOUNDATION 216 E. 45TH STREET, 7TH FLOOR NEW YORK, NY 10017		PUBLIC	GENERAL PURPOSE	25,000.
BHARALLA TEMPLE PO BOX 469 MONTGOMERYVILLE, PA 18936		PUBLIC	GENERAL PURPOSE	25,000.
Total			▶ 3a	83,273.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	81,934.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			14	1,964.		66,529.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		83,898.		66,529.
13 Total. Add line 12, columns (b), (d), and (e)					13	150,427.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

Employer identification number

UJALA FOUNDATION

26-3274193

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions
for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

Name of organization

Employer identification number

UJALA FOUNDATION

26-3274193

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	<u>RAJIV L. GUPTA</u> <u>43 SLEEPY HOLLOW DRIVE</u> <u>NEWTOWN SQUARE, PA 19073</u>	\$ <u>3,515,563.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
2	<u>JOHN C. HAAS</u> <u>300 N. SPRING MILL RD</u> <u>VILLANOVA, PA 19085</u>	\$ <u>2,532,300.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
3	<u>DAVID W. HAAS</u> <u>100 NORTH 18TH STREET, SUITE 1119</u> <u>PHILADELPHIA, PA 19103</u>	\$ <u>2,532,300.</u>	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$ _____	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

UJALA FOUNDATION

26-3274193

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	CASH AND 26,794 SHARES DOW STOCK	\$ 3,515,563.	10/14/09
2	VARIOUS COMMON STOCKS	\$ 2,532,300.	12/14/09
3	VARIOUS COMMON STOCKS	\$ 2,532,300.	12/14/09
		\$	
		\$	
		\$	

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
-------------	------------------------------------	-----------	---

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CHARLES SCHWAB AND CO. (ROH)	1,362,738.	1,312,072.	0.	0.	50,666.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CHARLES SCHWAB AND CO. (PIMCO LOW)	199,980.	184,117.	0.	0.	15,863.

CAPITAL GAINS DIVIDENDS FROM PART IV	1,964.
TOTAL TO FORM 990-PF, PART I, LINE 6A	68,493.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
CHARLES SCHWAB AND CO.	83,898.	1,964.	81,934.
TOTAL TO FM 990-PF, PART I, LN 4	83,898.	1,964.	81,934.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RADNOR FINANCIAL ADV	1,148.	1,148.		0.
TO FORM 990-PF, PG 1, LN 16B	1,148.	1,148.		0.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PRIOR YEAR TAX AND EST. PAYMENTS CY	22,025.	0.		0.
TO FORM 990-PF, PG 1, LN 18	22,025.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MISC. OPERATING EXPENSES	7,500.	7,500.		0.
TO FORM 990-PF, PG 1, LN 23	7,500.	7,500.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB (CORPORATE STOCK)	6,120,370.	6,120,370.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,120,370.	6,120,370.

FORM 990-PF

CORPORATE BONDS

STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB (CORPORATE BONDS/BOND FUNDS)	3,790,478.	3,790,478.
TOTAL TO FORM 990-PF, PART II, LINE 10C	3,790,478.	3,790,478.

Radnor Financial Advisors, Inc.
PORTFOLIO APPRAISAL
UJALA Foundation
Mr. Rajiv L. Gupta, Director/President
Overall Portfolio
December 31, 2009

Quantity	Security	Price	Market Value	Pct. Assets
CASH & EQUIVALENTS				
	Schwab Adv. Cash Reserves Premier		154,046.44	1.5
	Schwab Cash		9,186.11	0.1
			<u>163,232.55</u>	<u>1.6</u>
CORPORATE BONDS				
200,000	DOW CHEM CO 4.500% Due 11-15-14	99.64	199,270.60	2.0
200,000	GOLDMAN SACHS 4.200% Due 12-15-16	100.00	200,000.00	2.0
			<u>399,270.60</u>	<u>4.0</u>
CERTIFICATES OF DEP.				
200,000.00000	Amer Exp Bk CD 2.400% Due 11-26-12	99.30	198,602.00	2.0
200,000.00000	Amer Exp Cent Bk CD 2.800% Due 11-25-13	99.01	198,030.00	2.0
			<u>396,632.00</u>	<u>3.9</u>
BOND FUNDS				
76,295.01500	PIMCO Short-Term Instl	9.82	749,217.05	7.4
25,354.89200	PIMCO-Low Duration	10.29	260,901.84	2.6
155,994.85000	Vanguard Interm-Term Investment-Grade Ad	9.62	1,500,670.46	14.9
45,683.24900	Vanguard ST Investment Grade Admiral	10.59	483,785.61	4.8
			<u>2,994,574.95</u>	<u>29.7</u>
COMMON STOCK				
6,000.000000	American Express Company	40.52	243,120.00	2.4
7,500.000000	Avon Products, Inc.	31.50	236,250.00	2.3
17,000.000000	Briggs & Stratton Corporation	18.71	318,070.00	3.2
4,000.000000	Colgate Palmolive	82.15	328,600.00	3.3
7,000.000000	Dover Corporation	41.61	291,270.00	2.9
26,794.000000	Dow Chemical Company	27.63	740,318.22	7.3
16,000.000000	Eaton Corporation	63.62	1,017,920.00	10.1
6,000.000000	Exxon Mobil Corporation	68.19	409,140.00	4.1
18,000.000000	IMS Health Inc.	21.06	379,080.00	3.8
10,980.000000	Illinois Tool Works	47.99	526,930.20	5.2
10,000.000000	J.P. Morgan Chase & Co.	41.67	416,700.00	4.1
9,000.000000	Omnicom Group, Inc.	39.15	352,350.00	3.5
8,000.000000	Quest Diagnostics Inc.	60.38	483,040.00	4.8
			<u>5,742,788.42</u>	<u>57.0</u>
US GENERAL FUNDS				
2,559.74400	Schwab Cap Tr Mk Index Select	19.75	50,554.94	0.5

Radnor Financial Advisors, Inc.
PORTFOLIO APPRAISAL
UJALA Foundation
Mr. Rajiv L. Gupta, Director/President
Overall Portfolio
December 31, 2009

<u>Quantity</u>	<u>Security</u>	<u>Price</u>	<u>Market Value</u>	<u>Pct. Assets</u>
7,772.25000	Vanguard Total Stock Market Index Signal	26.50	205,964.62	2.0
			256,519.57	2.5
INTL EQUITY FUNDS				
International				
8,401.27000	Vanguard Total Int'l Stock Idx	14.41	121,062.30	1.2
			121,062.30	1.2
	INTL EQUITY FUNDS Total		121,062.30	1.2
TOTAL PORTFOLIO			10,074,080.39	100.0

Radnor Financial Advisors, Inc.
TRANSACTION SUMMARY
UJALA Foundation
Mr. Rajiv L. Gupta, Director/President
Overall Portfolio
From 12-31-08 To 12-31-09

Trade Date	Quantity	Security	Unit Cost	Total Cost	Unit Price	Total Proceeds	Gain/ Loss
CONTRIBUTIONS							
12-14-09	4,000	American Express Company	41 28	165,120 00			
12-14-09	2,000	American Express Company	41 28	82,560 00			
12-14-09	7,500	Avon Products, Inc	33 66	252,450 00			
12-14-09	11,000	Briggs & Stratton Corporation	19 40	213,400 00			
12-14-09	6,000	Briggs & Stratton Corporation	19 40	116,400 00			
12-14-09	2,600	Colgate Palmolive	84 49	219,674 00			
12-14-09	1,400	Colgate Palmolive	84 49	118,286 00			
12-14-09	2,400	Dover Corporation	61 44	147,456 00			
12-14-09	2,000	Dover Corporation	61 44	122,880 00			
12-14-09	2,600	Dover Corporation	61 44	159,744 00			
10-06-09	26,794	Dow Chemical Company	24 96	668,778 24			
12-14-09	7,300	Eaton Corporation	65 73	479,829 00			
12-14-09	6,000	Eaton Corporation	65 73	394,380 00			
12-14-09	2,700	Eaton Corporation	65 73	177,471 00			
12-14-09	4,000	Exxon Mobil Corporation	69 69	278,760 00			
12-14-09	2,000	Exxon Mobil Corporation	69 69	139,380 00			
12-14-09	4,000	IMS Health Inc	20 38	81,520 00			
12-14-09	5,000	IMS Health Inc	20 38	101,900 00			
12-14-09	5,000	IMS Health Inc	20 38	101,900 00			
12-14-09	4,000	IMS Health Inc	20 38	81,520 00			
12-14-09	7,000	Illinois Tool Works	49 28	344,960 00			
12-14-09	3,000	Illinois Tool Works	49 28	147,840 00			
12-14-09	980	Illinois Tool Works	49 28	48,294 40			
12-14-09	6,000	J P Morgan Chase & Co	41 77	250,620 00			
12-14-09	4,000	J P Morgan Chase & Co	41 77	167,080 00			
12-14-09	9,000	Omnicom Group, Inc	37 53	337,770 00			
12-14-09	3,000	Quest Diagnostics Inc	61 44	184,320 00			
12-14-09	2,400	Quest Diagnostics Inc	61 44	147,456 00			
12-14-09	2,600	Quest Diagnostics Inc	61 44	159,744 00			
10-14-09		Schwab Cash		2,850,000 00			
TOTAL				8,741,492.64			



Welcome CAROL S EICHER
Your Combined Benefit Statement **i**

Apr 29, 2010 12 24 30 PM ET

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● Stock Option Plan **i**

	Outstanding	Exercisable	Account Value	
Stock Option Plan (US) <u>Stock Quote:</u> \$31.5400 (US Dollar)	7,100 0000	0.0000	\$0.00	Go To
Stock Options (US) <u>Stock Quote:</u> \$NA (US Dollar)	11,501.0000	6,603 0000	\$0.00	Go To
Total :	18,601.0000	6,603.0000	\$0.00	

● Restricted Stock **i**

	Outstanding	Shares Available for Sale	Account Value	
Deferred Stock & Perf. Shares (US) <u>Stock Quote:</u> \$31.5400 (US Dollar)	7,240 0000	0.0000	\$0.00	Go To
Restricted Stock (US) <u>Stock Quote:</u> \$NA (US Dollar)	11,950 0000	0.0000	\$0.00	Go To
Total :	7,240.0000	0.0000	\$0.00	

● www.smithbarney.com **i**

Morgan Stanley Smith Barney

Go

Account Value

The potential amount of gain based on the difference between the grant price (stock options) or purchase price (stock purchase and restricted stock) and the market price displayed in the company stock quote. It does not include the deduction for applicable withholding taxes and transaction fees

For transferable options, the hypothetical calculation is based on the current quoted option price multiplied by the number of options outstanding and exercisable

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