Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions

Name of foundation: THE TODD BROCK FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 306 — Room/suite

City or town, state, and ZIP code: BEAUMONT, TX 77704-0306

A Employer identification number: 26-2049780

B Telephone number: (409) 833-6226

C If exemption application is pending, check here ▶ [ ]

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 4,289,440.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received	10,000.		N/A	
2 Check ▶ [ ] if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	52,369.	37,304.		STATEMENT 1
4 Dividends and interest from securities	67,754.	67,754.		STATEMENT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<262,220.>			
b Gross sales price for all assets on line 6a 3,900,803.				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 **Total.** Add lines 1 through 11	<132,097.>	105,058.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees STMT 3	2,515.	2,515.		0.
b Accounting fees STMT 4	3,190.	3,190.		0.
c Other professional fees STMT 5	31,632.	31,632.		0.
17 Interest				
18 Taxes STMT 6	941.	941.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses				
24 **Total operating and administrative expenses.** Add lines 13 through 23	38,278.	38,278.		0.
25 Contributions, gifts, grants paid	1,300,550.			1,300,550.
26 **Total expenses and disbursements** Add lines 24 and 25	1,338,828.	38,278.		1,300,550.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<1,470,925.>			
b **Net investment income** (if negative, enter -0-)		66,780.		
c **Adjusted net income** (if negative, enter -0-)			N/A	

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923501 02-02-10 LHA For Privacy Act and Paperwork Reduction Act Notice, see the instructions. Form **990-PF** (2009)

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	128,923.	177,272.	177,272.
2 Savings and temporary cash investments	5,378.	9,156.	9,156.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations STMT 7	1,801,092.	884,367.	890,258.
b Investments - corporate stock STMT 8	3,150,678.	2,301,831.	2,708,909.
c Investments - corporate bonds STMT 9	235,793.	408,794.	417,487.
11 Investments - land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other STMT 10	0.	69,519.	86,358.
14 Land, buildings, and equipment: basis ▶			
Less accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers)	5,321,864.	3,850,939.	4,289,440.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	5,321,864.	3,850,939.	
30 **Total net assets or fund balances**	5,321,864.	3,850,939.	
31 **Total liabilities and net assets/fund balances**	5,321,864.	3,850,939.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,321,864.
2 Enter amount from Part I, line 27a	2	<1,470,925.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,850,939.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,850,939.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	3,900,803.		4,163,023.	<262,220.>

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				<262,220.>

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** <262,220.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2008	1,383,611.	4,960,329.	.278935
2007	0.	0.	.000000
2006			
2005			
2004			

2 **Total** of line 1, column (d)	2	.278935
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.139468
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	4,471,761.
5 Multiply line 4 by line 3	5	623,668.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	668.
7 Add lines 5 and 6	7	624,336.
8 Enter qualifying distributions from Part XII, line 4	8	1,300,550.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	668.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	668.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	668.
6	Credits/Payments:			
a	2009 estimated tax payments and 2008 overpayment credited to 2009	6a		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	16.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	684.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2010 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 11	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TODD O. BROCK Telephone no. ▶ (409)833-6226

Located at ▶ P.O. BOX 306, BEAUMONT, TX ZIP+4 ▶ 77704-0306

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Form **990-PF** (2009)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
TODD BROCK P.O. BOX 306 BEAUMONT, TX 77704-0306	PRESIDENT 5.00	0.	0.	0.
DIANA BROCK P.O. BOX 306 BEAUMONT, TX 77704-0306	SECRETARY/ TREASURER 2.00	0.	0.	0.
BRENNIN BROCK P.O. BOX 306 BEAUMONT, TX 77704-0306	VICE PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2009)

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,393,345.
b	Average of monthly cash balances	1b	146,514.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,539,859.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,539,859.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	68,098.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,471,761.
6	**Minimum investment return**. Enter 5% of line 5	6	223,588.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	223,588.
2a	Tax on investment income for 2009 from Part VI, line 5 2a 668.		
b	Income tax for 2009. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	668.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	222,920.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	222,920.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	222,920.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,300,550.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,300,550.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	668.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,299,882.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				222,920.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2009:				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 1,136,737.				
f Total of lines 3a through e	1,136,737.			
4 Qualifying distributions for 2009 from Part XII, line 4: ▶ $ 1,300,550.				
a Applied to 2008, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2009 distributable amount				222,920.
e Remaining amount distributed out of corpus	1,077,630.			
5 Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,214,367.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2004 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2010** Subtract lines 7 and 8 from line 6a	2,214,367.			
10 Analysis of line 9:				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 1,136,737.				
e Excess from 2009 1,077,630.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

TODD O. BROCK, (409) 833-6226
P.O. BOX 306, BEAUMONT, TX 77704-0306

b The form in which applications should be submitted and information and materials they should include:

NONE

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 12				
Total			▶ 3a	1300550.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					52,369.
4 Dividends and interest from securities					67,754.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					<262,220.>
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	<142,097.>

13 Total. Add line 12, columns (b), (d), and (e) 13 <142,097.>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3-8	ALL AMOUNTS REPRESENT EARNINGS ON INVESTMENTS AND GAINS AND LOSSES ON THE SALE OF INVESTMENTS, WHICH PROVIDE FUNDS SOLELY FOR THE ACCOMPLISHMENT OF THE ORGANIZATION'S CHARITABLE PURPOSES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5-15-10 5-15-10 — Title President

Paid Preparer's Use Only

Preparer's signature — Date MAY 1 0 2010 — Check if self-employed ☐ — Preparer's identifying number

Firm's name (or yours if self-employed), address, and ZIP code: COOK PARKER, P.L.L.C. P.O. BOX 7343 BEAUMONT, TX 77726-7343 — EIN — Phone no. (409) 899-1040

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

2009

Name of the organization

THE TODD BROCK FAMILY FOUNDATION

Employer identification number

26-2049780

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	[ ] 501(c)( ) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

[ ] For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

[ ] For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year. ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE TODD BROCK FAMILY FOUNDATION	26-2049780

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	TODD O. BROCK P.O. BOX 306 BEAUMONT, TX 77704-0306	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution.)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH 16H-98910 (SEE ATTACHED)	P	VARIOUS	VARIOUS
b	MERRILL LYNCH 16H-98910 (SEE ATTACHED)	P	VARIOUS	VARIOUS
c	MERRILL LYNCH 16H-98909 (SEE ATTACHED)	P	VARIOUS	VARIOUS
d	MERRILL LYNCH 16H-98911 (SEE ATTACHED)	P	VARIOUS	VARIOUS
e	MERRILL LYNCH 16H-98911 (SEE ATTACHED)	P	VARIOUS	VARIOUS
f	MERRILL LYNCH 16H-98911 SUPPLEMENTAL (SEE ATTACHE	P	VARIOUS	VARIOUS
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	922,514.		1,193,247.	<270,733.>
b	446,687.		485,619.	<38,932.>
c	1,505,214.		1,504,954.	260.
d	869,799.		828,745.	41,054.
e	85,015.		79,233.	5,782.
f	71,225.		71,225.	0.
g	349.			349.
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				<270,733.>
b				<38,932.>
c				260.
d				41,054.
e				5,782.
f				0.
g				349.
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	<262,220.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

923591
04-24-09

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MERRILL LYNCH 16H-98909	43,615.
MERRILL LYNCH 16H-98909 ACCRUED INTEREST PAID	<18,063.>
MERRILL LYNCH 16H-98909 BOND AMORTIZATION	<6,729.>
MERRILL LYNCH 16H-98911	33,683.
MERRILL LYNCH 16H-98911 ACCRUED INTEREST PAID	<1,963.>
MERRILL LYNCH 16H-98911 ACCRUED INTEREST PAID	<618.>
MERRILL LYNCH 16H-98911 BOND AMORTIZATION	<16,513.>
MERRILL LYNCH 16H-98911 SUPPLEMENTAL	17,388.
MERRILL LYNCH 16H-98911 SUPPLEMENTAL OID	1,569.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	52,369.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH 16H-98909	477.	0.	477.
MERRILL LYNCH 16H-98910	57,627.	0.	57,627.
MERRILL LYNCH 16H-98911	9,999.	349.	9,650.
TOTAL TO FM 990-PF, PART I, LN 4	68,103.	349.	67,754.

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	2,515.	2,515.		0.
TO FM 990-PF, PG 1, LN 16A	2,515.	2,515.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,190.	3,190.		0.
TO FORM 990-PF, PG 1, LN 16B	3,190.	3,190.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MANAGEMENT FEES	31,632.	31,632.		0.
TO FORM 990-PF, PG 1, LN 16C	31,632.	31,632.		0.

FORM 990-PF TAXES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	348.	348.		0.
FEDERAL INCOME TAX	593.	593.		0.
TO FORM 990-PF, PG 1, LN 18	941.	941.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
20,000 U.S. TREASURY NOTE	X		20,325.	20,786.
25,000 FEDERAL HOME LOAN BANK	X		25,887.	27,414.
30,000 FEDERAL FARM CREDIT BANK	X		31,016.	32,878.
35,000 CEDAR PARK TEX		X	35,000.	37,619.
60,000 FEDERAL FARM CREDIT BANK	X		62,043.	66,056.
100,000 FEDERAL HOME LOAN BANK	X		100,000.	100,063.
100,000 MASSACHUSETTS ST PORT AUTH RV		X	101,026.	101,000.
10,000 U.S. TREASURY NOTE	X		10,631.	9,999.
25,000 U.S. TREASURY NOTE	X		26,598.	24,998.
35,000 U.S. TREASURY NOTE	X		35,939.	34,997.
40,000 U.S. TREASURY NOTE	X		39,859.	41,675.
30,000 U.S. TREASURY NOTE	X		30,095.	29,506.
50,000 U.S. TREASURY NOTE	X		50,749.	49,176.
90,000 U.S. TREASURY NOTE	X		89,655.	89,494.
75,000 U.S. TREASURY NOTE	X		75,425.	74,579.
50,000 OKLAHMONA A GRIC-MECH CLG		X	50,000.	50,241.
50,000 WASHINGTON ST SER T		X	49,892.	50,108.
50,000 JOHNSON CNTY KANS INTERNAL IMPT B		X	50,227.	49,669.
TOTAL U.S. GOVERNMENT OBLIGATIONS			598,222.	601,621.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			286,145.	288,637.
TOTAL TO FORM 990-PF, PART II, LINE 10A			884,367.	890,258.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
865 SH GENZYME CORPORATION	62,076.	42,394.
1,517 SH VISA INC CL A	87,675.	132,677.
870 SH PEPSICO INC	54,849.	52,896.
955 SH EXXON MOBIL CORP	67,995.	65,121.
1,006 SH COSTCO WHOLESALE CRP DEL	61,949.	59,525.
617 SH COCA COLA COM	28,126.	35,169.
1,376 SH MCDONALDS CORP	80,956.	85,917.
1,426 SH OCCIDENTAL PETE CORP CAL	85,127.	116,005.
802 SH AMAZON COM INC COM	47,512.	107,885.
853 SH JOHNSON AND JOHNSON	52,551.	54,942.
1,281 SH YUM BRANDS INC	40,476.	44,797.
1,399 SH US BANCORP NEW	43,532.	31,491.

2,781 SH JPMORGAN CHASE & CO	94,702.	115,884.
1,229 SH MICROSOFT CORP	29,774.	37,460.
1,744 SH WAL-MART STORES INC	94,153.	93,217.
1,872 SH CISCO SYSTEMS INC	37,582.	44,816.
2,763 SH ORACLE CORP	53,499.	67,776.
2,167 SH WELLS FARGO AND CO NEW	63,324.	58,487.
2,313 SH INTEL CORP	42,112.	47,185.
2,596 SH LOWES COMPANIES INC	49,930.	60,720.
2,195 SH PHILIP MORRIS INTL INC	97,045.	105,777.
512 SH APPLE INC	65,524.	107,895.
413 SH BECTON DICKINSON CO	31,616.	32,569.
479 SH UNITED PARCEL SVC CL B	27,910.	27,480.
489 SH SCHLUMBERGER LTD	35,623.	31,829.
632 SH INTL BUSINESS MACHINES	61,324.	82,729.
519 SH CHEVRON CORP	36,600.	39,958.
522 SH BURLNGTN N SNTA FE	46,201.	51,480.
889 SH HEINZ H J CO PV 25CT	34,003.	38,014.
801 SH FLUOR CORP NEW DEL	35,298.	36,077.
590 SH DIAGEO PLC SPSD ADR	38,770.	40,952.
1,415 SH HEWLETT PACKARD CO	48,070.	72,887.
717 SH UNITED TECHS CORP	36,747.	49,767.
754 SH CONOCOPHILLIPS	45,999.	38,507.
755 SH ABBOTT LABS	40,940.	40,762.
1,004 SH NORTHN TRUST CORP	62,330.	52,610.
791 SH NOVARTIS ADR	38,268.	43,054.
907 SH BHP BILLITON LTD ADR	39,527.	69,458.
970 SH BRISTOL-MYERS SQUIBB CO	21,414.	24,493.
127 SH GOOGLE INC CL A	42,182.	78,737.
2,223 SH MORGAN STANLEY	44,013.	65,801.
620 SH MONSANTO CO NEW DEL COM	48,503.	50,685.
472 SH MEDCO HEALTH SOLUTIONS I	22,581.	30,166.
1,266 SH TEVA PHARMACTCL INDS ADR	58,541.	71,124.
675 SH GILEAD SCIENCES INC COM	32,812.	29,207.
1,470 SH HOME DEPOT INC	32,090.	42,527.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,301,831.	2,708,909.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
11,000 FNMA CMO 2005	5,769.	5,957.
50,000 FHLMC CMO 2005	7,770.	7,775.
50,000 FNMA CMO 2008	44,290.	46,625.
60,000 FHLMC CMO 2003	17,487.	18,598.
60,000 FNMA CMO 2005	31,469.	32,493.
60,000 FNMA CMO 2005	31,469.	32,493.
90,000 FHLMC GNMA CMO 1993	37,642.	38,897.
85,000 FHLMC CMO 2004	49,225.	49,995.
35,000 FHLMC CMO 2002	36,859.	37,066.

30,000 FNMA CMO 2008	27,994.	28,288.
35,000 FHLMC CMO 2004	37,111.	37,012.
50,000 FHLMC CMO 2002	44,086.	44,682.
50,000 FNMA CMO 2002	37,623.	37,606.
TOTAL TO FORM 990-PF, PART II, LINE 10C	408,794.	417,487.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
7,917 VANGUARD INT-TM INV; ADM	COST	69,519.	76,170.
ESTIMATED ACCRUED INTEREST	COST	0.	10,188.
TOTAL TO FORM 990-PF, PART II, LINE 13		69,519.	86,358.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10 STATEMENT 11

NAME OF CONTRIBUTOR	ADDRESS
TODD O. BROCK	P.O. BOX 306 BEAUMONT, TX 77704-0306

FORM 990-PF GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR STATEMENT 12

RECIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT	RECIPIENT STATUS	AMOUNT
MONSIGNOR KELLY CATHOLIC HIGH SCHOOL 5950 KELLY DRIVE BEAUMONT, TX 77707-3599	N/A FOR FUNDING OF PROJECT GRADUATION	501(C)(3)	250.
CATTLE BARON'S BALL P.O. BOX 572915 HOUSTON, TX 77257-2915	N/A FOR FUNDING CANCER RESEARCH, EDUCATION, & PATIENT SERVICES	501(C)(3)	10,000.
MEMORIAL HIGH SCHOOL BOOSTER CLUB 935 ECHO LANE HOUSTON, TX 77024	N/A FOR FUNDING OF ATHLETIC PROGRAMS AT MEMORIAL HIGH SCHOOL	501(C)(3)	850.
DARE 2 SHARE MINISTRIES P.O. BOX 745323 ARVADA, CO 80006-5323	N/A FOR FUNDING OF MINISTRY PROGRAMS	501(C)(3)	25,000.
STAR OF HOPE 6897 ARDMORE STREET HOUSTON, TX 77054	N/A FOR FUNDING OF SERVICE & CARE FOR HOMLESS IN HOUSTON	501(C)(3)	14,660.
BAY AREA COUNCIL, BOY SCOUTS OF AMERICA 3020 53RD STREET GALVESTON, TX 77551-5917	N/A FOR FUNDING OF SCOUTING PROGRAM IN BAY AREA COUNCIL	501(C)(3)	1,000.
LEGACY CHRISTIAN SCHOOL 8200 HIGHWAY 105 BEAUMONT, TX 77713	N/A FOR FUNDING OF THE SCHOLARSHIP PROGRAM	501(C)(3)	1,327.
SECOND BAPTIST CHURCH 6400 WOODWAY HOUSTON, TX 77057	N/A FOR FUNDING OF THE MINISTRY PROGRAMS	501(C)(3)	1,005,000.

STUDENT LEADERSHIP UNIVERSITY P.O. BOX 649 KEELER, TX 76244	N/A FOR FUNDING OF MINISTRY PROGRAMS	501(C)(3)	120,000.
SECOND BAPTIST CHURCH 6400 WOODWAY HOUSTON, TX 77057	N/A FOR FUNDING OF THE SCHOLARSHIP PROGRAM	501(C)(3)	14,038.
CATHEDRAL CHRISTIAN SCHOOL 2350 EASTEX FREEWAY BEAUMONT, TX 77703	N/A FOR FUNDING OF THE SCHOLARSHIP PROGRAM	501(C)(3)	5,425.
WHATEVER IT TAKES MINISTRIES 427 STUMP ROAD NORTH WALES, PA 19454	N/A FOR FUNDING OF THE MINISTRY PROGRAMS	501(C)(3)	50,000.
YELLOWSTONE ACADEMY 3000 TRULLEY STREET HOUSTON, TX 77004	N/A FOR UNDERWRITING GOLF TOURNAMENT & ANNUAL BREAKFAST FUNDRAISERS	501(C)(3)	30,000.
YOUNGLIFE 2055 S. GESSNER, SUITE 220 HOUSTON, TX 77063	N/A FOR THE FUNDING OF THE MINISTRY PROGRAMS	501(C)(3)	5,000.
CYSTIC FIBROSIS FOUNDATION 50 BRIAN HOLLOW LANE, SUITE 310W HOUSTON, TX 77027	N/A FOR FUNDING OF CYSTIC FIBROSIS RESEARCH	501(C)(3)	1,000.
TEXAS A&M FOUNDATION 401 GEORGE BUSH DRIVE COLLEGE STATION, TX 77840-2811	N/A FOR FUNDING OF ENTREPRENEURSHIP BOOTCAMP FOR VETERANS	501(C)(3)	10,000.
FELLOWSHIP OF CHRISTIAN ATHLETES 1 RIVERWAY, SUITE 900 HOUSTON, TX 77056	N/A FOR FUNDING OF MINISTRY PROGRAMS	501(C)(3)	5,000.
ST. JUDE'S RESEARCH HOSPITAL 1503 OLYMPIA WAY COLLEGE STATION, TX 77840	N/A FOR FUNDING OF PEDIATRIC CANCER RESEARCH	501(C)(3)	2,000.
TOTAL TO FORM 990-PF, PART XV, LINE 3A			1,300,550.

Merrill Lynch

Account No. 16H-98910 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
WELLS FARGO & CO NEW DEL	160.0000	08/14/08	09/14/09			4,403.08	4,784.30	(381.22)
	278.0000	08/15/08	09/14/09			7,650.37	8,347.47	(697.10)
		Security Subtotal				12,053.45	13,131.77	(1,078.32)
		Long Term Capital Losses Subtotal				**342,524.35**	**412,970.11**	(70,445.76)
NET LONG TERM CAPITAL GAIN (LOSS)								(38,931.83)
TOTAL CAPITAL GAINS AND LOSSES						**1,369,200.99**	**1,678,866.63**	(309,665.64)
TOTAL REPORTABLE GROSS PROCEEDS						**1,369,200.99**		
DIFFERENCE						**0.00 ***		

Note: Capital gains and losses in this statement are not reported to the IRS.
* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	42,329.13	(313,062.94)	31,513.93	(70,445.76)

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
NORTHN TRUST CORP	110.0000	07/25/08	09/14/09			6,501.82	8,418.56	(1,916.74)
	56.0000	08/13/08	09/14/09			3,310.02	4,263.72	(953.70)
	33.0000	08/14/08	09/14/09			1,950.55	2,585.58	(635.03)
		Security Subtotal				**11,762.39**	**15,267.86**	**(3,505.47)**
OCCIDENTAL PETE CORP CAL	131.0000	08/14/08	09/14/09			10,052.68	10,151.94	(99.26)
ORACLE CORP $0.01 DEL	520.0000	08/14/08	09/14/09			11,777.70	12,131.60	(353.90)
PHILIP MORRIS INTL INC	446.0000	05/08/08	06/03/09			19,670.58	23,282.63	(3,612.05)
	81.0000	09/05/08	09/14/09			3,878.17	4,332.74	(454.57)
		Security Subtotal				**23,548.75**	**27,615.37**	**(4,066.62)**
PEPSICO INC	176.0000	05/08/08	09/14/09			10,332.69	11,902.87	(1,570.18)
SCHLUMBERGER LTD	98.0000	05/08/08	09/14/09			5,820.07	10,240.99	(4,420.92)
UNITED PARCEL SVC CL B	97.0000	05/08/08	09/14/09			5,744.19	6,886.99	(1,142.80)
US BANCORP (NEW)	283.0000	05/08/08	09/14/09			6,143.77	9,537.10	(3,393.33)
VISA INC CL A SHRS	238.0000	05/08/08	09/14/09			17,330.70	20,677.44	(3,346.74)
	58.0000	08/14/08	09/14/09			4,223.46	4,379.16	(155.70)
		Security Subtotal				**21,554.16**	**25,056.60**	**(3,502.44)**
WAL-MART STORES INC	347.0000	05/08/08	09/14/09			17,439.77	19,924.73	(2,484.96)
YUM BRANDS INC	259.0000	05/08/08	09/14/09			8,665.92	10,374.60	(1,708.68)

Merrill Lynch

Account No. 16H-98910 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
CONOCOPHILLIPS	152.0000	05/08/08	09/14/09			7,022.22	13,499.12	(6,476.90)
CISCO SYSTEMS INC COM	378.0000	08/14/08	09/14/09			8,565.26	9,363.05	(797.79)
DIAGEO PLC SPSD ADR NEW	119.0000	05/08/08	09/14/09			7,507.52	9,625.90	(2,118.38)
EXXON MOBIL CORP COM	193.0000	05/08/08	09/14/09			13,494.21	17,275.43	(3,781.22)
FLUOR CORP NEW DEL COM	120.0000	05/08/08	09/14/09			6,518.23	9,904.80	(3,386.57)
	40.0000	08/14/08	09/14/09			2,172.75	2,953 01	(780 26)
		Security Subtotal				**8,690.98**	**12,857.81**	**(4,166.83)**
GENZYME CORPORATION	120.0000	05/08/08	09/14/09			6,805.70	8,354.40	(1,548.70)
	55.0000	08/13/08	09/14/09			3,119.29	4,426.79	(1,307 50)
		Security Subtotal				**9,924.99**	**12,781.19**	**(2,856.20)**
INTEL CORP	319.0000	05/08/08	09/14/09			6,146.97	7,515.64	(1,368.67)
	149.0000	08/14/08	09/14/09			2,871.16	3,635.60	(764.44)
		Security Subtotal				**9,018.13**	**11,151.24**	**(2,133.11)**
INTL BUSINESS MACHINES	107.0000	05/08/08	09/14/09			12,706.99	13,368.58	(661.59)
	17.0000	08/14/08	09/14/09			2,018.87	2,160.43	(141.56)
		Security Subtotal				**14,725.86**	**15,529.01**	**(803.15)**
JOHNSON AND JOHNSON COM	277.0000	05/08/08	06/03/09			15,494.36	18,535.98	(3,041.62)
	7.0000	09/05/08	09/14/09			422.99	494.77	(71.78)
		Security Subtotal				**15,917.35**	**19,030.75**	**(3,113.40)**
MCDONALDS CORP COM	234.0000	05/08/08	09/14/09			12,684.81	13,969.80	(1,284.99)
	37.0000	08/14/08	09/14/09			2,005.72	2,359.90	(354.18)
		Security Subtotal				**14,690.53**	**16,329.70**	**(1,639.17)**
MICROSOFT CORP	165.0000	08/14/08	09/14/09			4,119.94	4,618.35	(498.41)
	77.0000	09/05/08	09/14/09			1,922.64	1,996.67	(74.03)
		Security Subtotal				**6,042.58**	**6,615.02**	**(572.44)**

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
JPMORGAN CHASE & CO	373.0000	08/21/08	09/14/09			16,113.18	13,518.19	2,594.99
	58.0000	09/05/08	09/14/09			2,505.53	2,275.44	230.09
		Security Subtotal				**18,618.71**	**15,793.63**	**2,825.08**
OCCIDENTAL PETE CORP CAL	112.0000	08/08/08	09/14/09			8,594.65	8,440.07	154.58
	34.0000	08/14/08	09/14/09			2,609.10	2,605 59	3.51
		Security Subtotal				**11,203.75**	**11,045.66**	**158.09**
ORACLE CORP $0.01 DEL	32.0000	05/08/08	09/14/09			724.78	679.05	45.73
		Long Term Capital Gains Subtotal				**104,162.77**	**72,648.84**	**31,513.93**
LONG TERM CAPITAL LOSSES								
ABBOTT LABS	153.0000	05/08/08	09/14/09			7,250.48	7,847.36	(596.88)
AMAZON COM INC COM	6.0000	08/14/08	09/14/09			503.69	528.59	(24.90)
	86.0000	08/12/08	09/14/09			7,219.51	7,490.02	(270.51)
	52.0000	08/13/08	09/14/09			4,365.29	4,457.09	(91.80)
		Security Subtotal				**12,088.49**	**12,475.70**	**(387.21)**
APPLE INC	43.0000	05/08/08	09/14/09			7,444.93	7,993.39	(548 46)
	58.0000	08/14/08	09/14/09			10,042.01	10,392.72	(350.71)
		Security Subtotal				**17,486.94**	**18,386.11**	**(899.17)**
BURLNGTN N SNTA FE$0.01	105.0000	05/08/08	09/14/09			8,895.37	10,901.09	(2,005.72)
BAXTER INTERNTL INC	240.0000	05/08/08	06/05/09			11,341.22	14,841.60	(3,500.38)
BECTON DICKINSON CO	84.0000	05/08/08	09/14/09			5,959.65	7,418.88	(1,459.23)
COSTCO WHOLESALE CRP DEL	203.0000	05/08/08	09/14/09			11,558.52	14,636.88	(3,078.36)
CHEVRON CORP	105.0000	05/08/08	09/14/09			7,448.51	10,181.85	(2,733.34)

Merrill Lynch

Account No. 16H-98910 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
PFIZER INC DEL PV$0.05	1452.0000	10/01/08	01/23/09			24,633.48	27,004.58	(2,371.10)
	92.0000	10/01/08	02/13/09			1,345.33	1,711.04	(365.71)
	155.0000	10/08/08	02/13/09			2,266.59	2,703.20	(436.61)
	1023.0000	10/13/08	02/13/09			14,959.55	16,572.60	(1,613.05)
	996.0000	10/13/08	02/13/09			14,564.74	16,473.84	(1,909.10)
		Security Subtotal				**57,769.69**	**64,465.26**	**(6,695.57)**
PROCTER & GAMBLE CO	252.0000	05/08/08	01/23/09			14,107.41	16,663.95	(2,556.54)
	147.0000	08/14/08	01/23/09			8,229.32	10,278.24	(2,048.92)
	19.0000	09/05/08	01/23/09			1,063.66	1,342.73	(279.07)
	46.0000	09/05/08	03/06/09			2,092.79	3,250.84	(1,158.05)
	40.0000	10/08/08	03/06/09			1,819.82	2,601.45	(781.63)
	116.0000	10/13/08	03/06/09			5,277.51	7,278.49	(2,000.98)
	187.0000	10/13/08	03/10/09			8,384.08	11,733.43	(3,349.35)
	296.0000	10/13/08	03/10/09			13,271.06	18,820.66	(5,549.60)
		Security Subtotal				**54,245.65**	**71,969.79**	**(17,724.14)**
VALERO ENERGY CORP NEW	2705.0000	03/27/09	07/07/09			43,169.61	52,662.56	(9,492.95)
		Short Term Capital Losses Subtotal				**678,946.31**	**992,009.25**	**(313,062.94)**
NET SHORT TERM CAPITAL GAIN (LOSS)								**(270,733.81)**
LONG TERM CAPITAL GAINS								
AMAZON COM INC COM	110.0000	08/08/08	09/14/09			9,234.26	8,698.47	535.79
	140.0000	08/14/08	12/04/09			19,509.91	12,334.01	7,175.90
	85.0000	08/18/08	12/04/09			11,845.30	7,149.00	4,696.30
	77.0000	09/05/08	12/04/09			10,730.45	6,144.23	4,586.22
	77.0000	09/26/08	12/04/09			10,730.45	5,313.45	5,417.00
	56.0000	10/08/08	12/04/09			7,803.97	3,198.78	4,605.19
	18.0000	10/13/08	12/04/09			2,508.43	1,044.71	1,463.72
		Security Subtotal				**72,362.77**	**43,882.65**	**28,480.12**
COCA COLA COM	24.0000	09/05/08	09/14/09			1,252.76	1,247.85	4.91

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MARSH & MCLENNAN COS INC	67.0000	10/08/08	01/22/09			1,396.66	1,920.59	(523.93)
	508.0000	10/13/08	01/22/09			10,589.67	13,716.00	(3,126.33)
	494.0000	10/13/08	01/22/09			10,297.84	13,270.82	(2,972.98)
		Security Subtotal				**38,460.47**	**53,025.75**	**(14,565.28)**
MEDTRONIC INC COM	155.0000	07/15/08	01/22/09			5,053.65	8,146.37	(3,092.72)
	67.0000	08/13/08	01/22/09			2,184.48	3,571.24	(1,386.76)
	131.0000	08/14/08	01/22/09			4,271.15	7,049.11	(2,777.96)
	132.0000	08/20/08	01/22/09			4,303.75	7,321.63	(3,017.88)
	78.0000	09/05/08	01/22/09			2,543.13	4,144.64	(1,601.51)
	82.0000	09/23/08	01/22/09			2,673.54	4,309.89	(1,636.35)
	58.0000	10/08/08	01/22/09			1,891.04	2,593.48	(702.44)
	423.0000	10/13/08	01/22/09			13,791.59	18,205.92	(4,414.33)
	412.0000	10/13/08	01/22/09			13,432.96	18,099.16	(4,666.20)
		Security Subtotal				**50,145.29**	**73,441.44**	**(23,296.15)**
MERCK&CO INC	237.0000	05/08/08	01/22/09			6,484.49	9,340.18	(2,855.69)
	138.0000	08/14/08	01/22/09			3,775.78	4,980.42	(1,204.64)
	61.0000	09/05/08	01/22/09			1,669.00	2,077.68	(408.68)
	37.0000	10/08/08	01/22/09			1,012.34	1,068.95	(56.61)
	285.0000	10/13/08	01/22/09			7,797.82	8,023.92	(226.10)
	278.0000	10/13/08	01/22/09			7,606.30	8,103.70	(497.40)
		Security Subtotal				**28,345.73**	**33,594.85**	**(5,249.12)**
MICROSOFT CORP	327.0000	05/08/08	01/26/09			5,678.97	9,594.18	(3,915.21)
	252.0000	08/14/08	01/26/09			4,376.46	7,053.48	(2,677.02)
		Security Subtotal				**10,055.43**	**16,647.66**	**(6,592.23)**
NOVARTIS ADR	160.0000	10/02/08	09/14/09			7,631.80	8,333.23	(701.43)
PHILIP MORRIS INTL INC	261.0000	08/14/08	06/03/09			11,511.27	14,494.66	(2,983.39)
	33.0000	09/05/08	06/03/09			1,455.45	1,765.18	(309.73)
	322.0000	09/17/08	09/14/09			15,416.97	16,722.52	(1,305.55)
		Security Subtotal				**28,383.69**	**32,982.36**	**(4,598.67)**

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
JOY GLOBAL INC DEL COM	85.0000	05/08/08	02/13/09			1,824.23	6,550.84	(4,726.61)
	62.0000	05/08/08	02/20/09			1,145.44	4,778.26	(3,632.82)
	86.0000	08/14/08	02/20/09			1,588.85	5,750.84	(4,161.99)
	37.0000	09/05/08	02/20/09			683.57	1,894.31	(1,210.74)
	23.0000	10/08/08	02/20/09			424.92	697.77	(272.85)
	177.0000	10/13/08	02/20/09			3,270.08	5,694.18	(2,424.10)
	172.0000	10/13/08	02/20/09			3,177.71	5,795.92	(2,618.21)
		Security Subtotal				**12,114.80**	**31,162.12**	**(19,047.32)**
JOHNSON AND JOHNSON COM	162.0000	08/14/08	06/03/09			9,061.69	11,565.05	(2,503.36)
	65.0000	09/05/08	06/03/09			3,635.87	4,594.20	(958.33)
	129.0000	09/23/08	09/14/09			7,795.27	8,875.69	(1,080.42)
	32.0000	10/08/08	09/14/09			1,933.72	1,993.78	(60.06)
		Security Subtotal				**22,426.55**	**27,028.72**	**(4,602.17)**
KROGER CO	279.0000	07/11/08	03/10/09			5,481.59	8,028.76	(2,547.17)
	164.0000	08/14/08	03/10/09			3,222.15	4,979.04	(1,756.89)
	71.0000	09/05/08	03/10/09			1,394.95	1,916.86	(521.91)
	268.0000	10/01/08	03/10/09			5,265.47	7,410.31	(2,144.84)
	65.0000	10/08/08	03/10/09			1,277.07	1,638.45	(361.38)
	510.0000	10/13/08	03/10/09			10,020.12	12,459.30	(2,439.18)
	497.0000	10/13/08	03/10/09			9,764.72	12,569.43	(2,804.71)
		Security Subtotal				**36,426.07**	**49,002.15**	**(12,576.08)**
LOWE'S COMPANIES INC	524.0000	10/03/08	09/14/09			11,218.81	11,754.52	(535.71)
MEMC ELECTR MATLS INC	1661.0000	01/07/09	07/07/09			25,585.05	28,258.59	(2,673.54)
MARSH & MCLENNAN COS INC	287.0000	08/08/08	01/22/09			5,982.73	8,743.20	(2,760.47)
	248.0000	08/14/08	01/22/09			5,169.75	7,857.88	(2,688.13)
	133.0000	08/13/08	01/22/09			2,772.48	4,034.00	(1,261.52)
	108.0000	09/05/08	01/22/09			2,251.34	3,483.26	(1,231.92)

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
DEVON ENERGY CORP NEW	144.0000	08/15/08	01/23/09			8,885.42	13,492.45	(4,607.03)
	23.0000	09/05/08	01/23/09			1,419.20	2,191.28	(772 08)
	14.0000	10/08/08	01/23/09			863.86	946.67	(82.81)
	110.0000	10/13/08	01/23/09			6,787.48	7,458.56	(671.08)
	106.0000	10/13/08	01/23/09			6,540.67	7,582.18	(1,041.51)
		Security Subtotal				**24,496.63**	**31,671.14**	**(7,174.51)**
DEERE CO	99.0000	05/08/08	02/13/09			3,648.53	8,562.51	(4,913.98)
	59.0000	08/14/08	02/13/09			2,174.37	3,945.92	(1,771.55)
	25.0000	09/05/08	02/13/09			921.35	1,575.71	(654.36)
	42.0000	10/01/08	02/13/09			1,547.87	1,975.78	(427.91)
	82.0000	10/01/08	02/20/09			2,383.15	3,857.48	(1,474.33)
	26.0000	10/08/08	02/20/09			755.63	934.57	(178.94)
	201.0000	10/13/08	02/20/09			5,841.63	8,437.98	(2,596.35)
	195.0000	10/13/08	02/20/09			5,667.26	8,271.82	(2,604.56)
		Security Subtotal				**22,939.79**	**37,561.77**	**(14,621.98)**
GENENTECH INC NEW	120.0000	08/14/08	03/26/09			11,400.00	11,884.80	(484.80)
	53.0000	09/05/08	03/26/09			5,035.00	5,103.44	(68.44)
		Security Subtotal				**16,435.00**	**16,988.24**	**(553.24)**
GENERAL ELECTRIC	500.0000	05/08/08	02/23/09			4,430.72	16,325.00	(11,894.28)
	292.0000	08/14/08	02/23/09			2,587.54	8,660.72	(6,073.18)
	36.0000	09/05/08	02/23/09			319.02	997.86	(678.84)
	92.0000	09/05/08	03/04/09			543.22	2,550.11	(2,006.89)
	79.0000	10/08/08	03/04/09			466.46	1,605.28	(1,138.82)
	601.0000	10/13/08	03/04/09			3,548.71	12,825.34	(9,276.63)
	586.0000	10/13/08	03/04/09			3,460.14	12,381.42	(8,921.28)
		Security Subtotal				**15,355.81**	**55,345.73**	**(39,989.92)**
GILEAD SCIENCES INC COM	133.0000	01/22/09	09/14/09			6,197.64	6,465.11	(267.47)
JPMORGAN CHASE & CO	128.0000	10/02/08	09/14/09			5,529.47	6,261.95	(732.48)

Merrill Lynch

Account No. 16H-98910 **Taxpayer No.** 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
BAXTER INTERNTL INC	140.0000	08/14/08	06/05/09			6,615.71	9,783.20	(3,167.49)
	61.0000	09/05/08	06/05/09			2,882.56	4,071.37	(1,188.81)
	38.0000	10/08/08	06/05/09			1,795.69	2,345.11	(549.42)
	288.0000	10/13/08	06/05/09			13,609.46	16,883.51	(3,274.05)
	281.0000	10/13/08	06/05/09			13,278.69	16,796.21	(3,517 52)
		Security Subtotal				**38,182.11**	**49,879.40**	**(11,697.29)**
CVS CAREMARK CORP	270.0000	05/08/08	01/22/09			7,170.64	11,212.10	(4,041.46)
	157.0000	08/14/08	01/22/09			4,169.59	6,034.73	(1,865.14)
	69.0000	09/05/08	01/22/09			1,832.49	2,470.20	(637.71)
	146.0000	10/01/08	01/22/09			3,877.46	4,870.38	(992.92)
	64.0000	10/08/08	01/22/09			1,699.71	1,846.03	(146.32)
	426.0000	10/13/08	01/22/09			11,313.69	12,745.62	(1,431.93)
	414.0000	10/13/08	01/22/09			10,995.01	12,643.81	(1,648 80)
		Security Subtotal				**41,058.59**	**51,822.87**	**(10,764.28)**
CITIGROUP INC	438.0000	05/08/08	01/15/09			1,593.87	10,691.58	(9,097.71)
	256.0000	08/14/08	01/15/09			931.57	4,641.28	(3,709.71)
	112.0000	09/05/08	01/15/09			407.56	2,124.64	(1,717.08)
	439.0000	10/02/08	01/15/09			1,597.51	9,998.75	(8,401.24)
	100.0000	10/08/08	01/15/09			363.89	1,416.85	(1,052.96)
	811.0000	10/13/08	01/15/09			2,951.22	12,367.75	(9,416.53)
	788.0000	10/13/08	01/15/09			2,867.54	12,600.44	(9,732.90)
		Security Subtotal				**10,713.16**	**53,841.29**	**(43,128.13)**
CEPHALON INC COM	356.0000	01/23/09	06/03/09			20,990.39	27,804.03	(6,813.64)
COCA COLA COM	282.0000	05/08/08	03/06/09			10,918.44	15,949.92	(5,031.48)
	164.0000	08/14/08	03/06/09			6,349.73	9,044.60	(2,694.87)
	48.0000	09/05/08	03/06/09			1,858.46	2,495.70	(637.24)
		Security Subtotal				**19,126.63**	**27,490.22**	**(8,363.59)**

Merrill Lynch

Account No. 16H-98910 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
HOME DEPOT INC	283.0000	01/22/09	09/14/09			7,807.77	6,177.91	1,629.86
KNIGHT CAPITAL GROUP INC	692.0000	03/06/09	07/07/09			11,665.01	11,105.08	559.93
	682.0000	03/09/09	07/07/09			11,496.46	11,146.06	350.40
		Security Subtotal				**23,161.47**	**22,251.14**	**910.33**
MORGAN STANLEY	439.0000	03/05/09	09/14/09			12,462.89	7,817.71	4,645.18
MONSANTO CO NEW DEL COM	118.0000	01/21/09	09/14/09			9,173.08	9,128.40	44.68
MEDCO HEALTH SOLUTIONS I	93.0000	07/09/09	09/14/09			5,198.57	4,449.12	749.45
NETFLIX COM INC	299.0000	03/06/09	07/07/09			11,647.19	11,223.02	424.17
SCHERING PLOUGH CORP	1337.0000	02/13/09	05/05/09			30,716.65	25,921.62	4,795.03
TEVA PHARMACTCL INDS ADR	249.0000	03/10/09	09/14/09			12,828.15	10,727.94	2,100.21
UNITED TECHS CORP COM	147.0000	10/21/08	09/14/09			9,040.27	7,533.85	1,506.42
		Short Term Capital Gains Subtotal				**243,567.56**	**201,238.43**	**42,329.13**
SHORT TERM CAPITAL LOSSES								
BANK OF AMERICA CORP	1869.0000	10/24/08	01/15/09			14,962.39	39,970.25	(25,007.86)
BLACKROCK INC	23.0000	08/14/08	02/23/09			2,283.86	4,986.98	(2,703.12)
	39.0000	08/14/08	02/23/09			3,872.64	8,497.35	(4,624.71)
	10.0000	09/05/08	02/23/09			992.98	2,100.70	(1,107.72)
	6.0000	10/08/08	02/23/09			595.79	1,005.31	(409.52)
	47.0000	10/13/08	02/23/09			4,667.04	7,919.97	(3,252.93)
	46.0000	10/13/08	02/23/09			4,567.75	8,067.94	(3,500.19)
		Security Subtotal				**16,980.06**	**32,578.25**	**(15,598.19)**

990-PF ATTACHMENT

Merrill Lynch

Account No. 16H-98910

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

Your Tax Reporting Statement information that follows is a personal summary of your account activity and is not reported to the IRS.

The Capital Gain and Loss Transaction section is provided to facilitate your review and may not include return of capital, sales load deferral, or wash sales adjustments. RICs (which may include mutual funds and unit investment trusts) may reclassify principal distributions as capital gains. The cost basis for these securities may not have been adjusted on your statement to reflect such reclassifications. Please refer to information provided by the RIC and discuss with your tax advisor. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income received. In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that an election was in effect to amortize premium paid on the purchase of taxable bonds.

Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

Merrill Lynch is neither a tax return preparer or tax advisor. Please see your tax advisor for more information.

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
BHP BILLITON LTD ADR	178.0000	03/09/09	09/14/09			11,712.10	6,536.69	5,175.41
BRISTOL-MYERS SQUIBB CO	193.0000	02/12/09	09/14/09			4,309.58	4,260.66	48.92
COCA COLA COM	45.0000	10/08/08	09/14/09			2,348.94	2,139.74	209.20
	52.0000	10/13/08	09/14/09			2,714.34	2,310.46	403.88
		Security Subtotal				**5,063.28**	**4,450.20**	**613.08**
GENENTECH INC NEW	104.0000	05/08/08	03/26/09			9,880.00	7,121.92	2,758.08
	50.0000	07/17/08	03/26/09			4,749.99	4,032.75	717.24
	51.0000	07/18/08	03/26/09			4,845.00	4,145.78	699.22
	32.0000	10/08/08	03/26/09			3,040.00	2,433.31	606.69
	247.0000	10/13/08	03/26/09			23,465.00	19,412.84	4,052.16
	241.0000	10/13/08	03/26/09			22,895.01	19,656.78	3,238.23
		Security Subtotal				**68,875.00**	**56,803.38**	**12,071.62**
GOOGLE INC CL A	25.0000	01/07/09	09/14/09			11,885.69	8,065.15	3,820.54
HEINZ H J CO PV 25CT	175.0000	10/24/08	09/14/09			6,978.82	6,935.40	43.42
HEWLETT PACKARD CO DEL	278.0000	10/24/08	09/14/09			12,707.05	8,956.24	3,750.81

Merrill Lynch

Account No. 16H-98911 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description		Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA	CMO 20		05/26/09	05/26/09			179.47	179.47	0.00
			06/25/09	06/25/09			180.22	180.22	0.00
			07/27/09	07/27/09			180.97	180.97	0.00
			08/25/09	08/25/09			181.72	181.72	0.00
			09/25/09	09/25/09			182.48	182.48	0.00
			10/26/09	10/26/09			183.24	183.24	0.00
			11/25/09	11/25/09			184.01	184.01	0.00
			12/28/09	12/28/09			184.77	184 77	0.00
			Security Subtotal				*1,456.88*	*1,456.88*	*0.00*
FHLMC	CMO 20		07/15/09	07/15/09			188.38	188.38	0.00
			08/17/09	08/17/09			1,152.10	1,152.10	0.00
			09/15/09	09/15/09			1,334.32	1,334.32	0.00
			10/15/09	10/15/09			944.05	944.05	0.00
			11/16/09	11/16/09			1,216.43	1,216.43	0.00
			12/15/09	12/15/09			1,050.61	1,050.61	0.00
			Security Subtotal				*5,885.89*	*5,885.89*	*0.00*
			SHORT TERM CAPITAL GAINS SUBTOTAL				71,225.27	71,225.27	0.00
NET SHORT TERM CAPITAL GAIN (LOSS)									0.00
TOTAL CAPITAL GAINS AND LOSSES							71,225.27	71,225.27	
TOTAL REPORTABLE GROSS PROCEEDS							71,225.27		

Note: Capital gains and losses in this statement are not reported to the IRS.

* This transaction denotes the gain or loss on the sale of an option. While option sales are not subject to 1099-B reporting, the gain or loss information is relevant for tax return preparation.

** Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).

(P) Indicates that an option premium has been included in the calculation.

(S) Short Sale

(M) Marked to Market

(CSVB) Because of complex tax rules, the holding period used for trades resulting from covering short against the box should be reviewed to verify applicability.

(D) The cost basis for this tax lot has been adjusted to include an option premium and a portion of the Unlimited Advantage fee assessed in the previous years starting in 2000.

(L5) This transaction may be subject to reduced capital gains tax rates on five year gains. Please consult your tax advisor for more information.

Merrill Lynch

Account No. 16H-98911

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description		Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA	CMO 20		01/26/09	01/26/09			297.94	297.94	0.00
			02/25/09	02/25/09			299.19	299.19	0.00
			03/25/09	03/25/09			300.43	300.43	0.00
			04/27/09	04/27/09			301.68	301.68	0.00
			05/26/09	05/26/09			302.94	302.94	0.00
			06/25/09	06/25/09			304.20	304.20	0.00
			07/27/09	07/27/09			305.47	305.47	0.00
			08/25/09	08/25/09			306.74	306.74	0.00
			09/25/09	09/25/09			308.02	308.02	0.00
			10/26/09	10/26/09			309.31	309.31	0.00
			11/25/09	11/25/09			310.59	310.59	0.00
			12/28/09	12/28/09			311.89	311.89	0.00
			Security Subtotal				***3,658.40***	***3,658.40***	***0.00***
FHLMC	CMO 20		01/15/09	01/15/09			271.94	271.94	0.00
			02/17/09	02/17/09			273.30	273.30	0.00
			03/16/09	03/16/09			3,236.30	3,236.30	0.00
			04/15/09	04/15/09			4,400.25	4,400.25	0.00
			05/15/09	05/15/09			3,946.25	3,946.25	0.00
			06/15/09	06/15/09			5,358.77	5,358.77	0.00
			07/15/09	07/15/09			5,569.63	5,569.63	0.00
			08/17/09	08/17/09			2,619.53	2,619.53	0.00
			09/15/09	09/15/09			1,320.58	1,320.58	0.00
			10/15/09	10/15/09			818.72	818.72	0.00
			11/16/09	11/16/09			1,690.59	1,690.59	0.00
			12/15/09	12/15/09			2,661.70	2,661.70	0.00
			Security Subtotal				***32,167.56***	***32,167.56***	***0.00***
FHLMC	CMO 20		08/17/09	08/17/09			630.71	630.71	0.00
			09/15/09	09/15/09			633.33	633.33	0.00
			10/15/09	10/15/09			635.97	635.97	0.00
			11/16/09	11/16/09			638.62	638.62	0.00
			12/15/09	12/15/09			641.29	641.29	0.00
			Security Subtotal				***3,179.92***	***3,179.92***	***0.00***

Merrill Lynch

Account No. 16H-98911

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description		Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
FNMA	CMO 20		01/26/09	01/26/09			1,047.17	1,047.17	0.00
			02/25/09	02/25/09			1,066.15	1,066.15	0.00
			03/25/09	03/25/09			1,087.71	1,087.71	0.00
			04/27/09	04/27/09			1,073.88	1,073.88	0.00
			05/26/09	05/26/09			1,061.33	1,061.33	0.00
			06/25/09	06/25/09			1,045.86	1,045.86	0.00
			07/27/09	07/27/09			1,031.89	1,031.89	0.00
			08/25/09	08/25/09			1,015.01	1,015.01	0.00
			09/25/09	09/25/09			982.59	982.59	0.00
			10/26/09	10/26/09			981.71	981.71	0.00
			11/25/09	11/25/09			973.72	973.72	0.00
			12/28/09	12/28/09			970.35	970.35	0.00
			Security Subtotal				***12,337.37***	***12,337.37***	***0.00***
FHLMC	CMO 20		01/15/09	01/15/09			319.17	319.17	0.00
			02/17/09	02/17/09			331.65	331.65	0.00
			03/16/09	03/16/09			366.97	366.97	0.00
			04/15/09	04/15/09			428.02	428.02	0.00
			05/15/09	05/15/09			488.97	488.97	0.00
			06/15/09	06/15/09			405.56	405.56	0.00
			07/15/09	07/15/09			413.14	413.14	0.00
			08/17/09	08/17/09			455.49	455.49	0.00
			09/15/09	09/15/09			404.93	404.93	0.00
			10/15/09	10/15/09			362.37	362.37	0.00
			11/16/09	11/16/09			425.08	425.08	0.00
			12/15/09	12/15/09			379.49	379.49	0.00
			Security Subtotal				***4,780.84***	***4,780.84***	***0.00***

Merrill Lynch

Account No. 16H-98911

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
FHLMC GNMA CMO 19		01/26/09	01/26/09			781.80	781.80	0.00
		02/25/09	02/25/09			457.60	457.60	0.00
		03/25/09	03/25/09			483.34	483.34	0.00
		04/27/09	04/27/09			675.83	675.83	0.00
		05/26/09	05/26/09			475.16	475.16	0.00
		06/25/09	06/25/09			398.26	398.26	0.00
		07/27/09	07/27/09			476.59	476.59	0.00
		08/25/09	08/25/09			624.32	624.32	0.00
		09/25/09	09/25/09			544.96	544.96	0.00
		10/26/09	10/26/09			689.56	689.56	0.00
		11/25/09	11/25/09			415.88	415.88	0.00
		12/28/09	12/28/09			620.15	620.15	0.00
		Security Subtotal				***6,643.45***	***6,643.45***	***0.00***
FNMA CMO 20		06/25/09	06/25/09			51.84	51.84	0.00
		07/27/09	07/27/09			792.13	792.13	0.00
		08/25/09	08/25/09			51.64	51.64	0.00
		09/25/09	09/25/09			51.94	51.94	0.00
		10/26/09	10/26/09			57.09	57 09	0.00
		11/25/09	11/25/09			52.59	52.59	0.00
		12/28/09	12/28/09			57.73	57.73	0 00
		Security Subtotal				***1,114.96***	***1,114.96***	***0.00***

Merrill Lynch

Account No.	Taxpayer No.	Page
16H-98911	26-2049780	10 of 19

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SAN MARCOS TEX CONS	50000.0000§	10/31/08	12/14/09	(717.30)(A)	(834.34)(A)	58,239.00	53,327.16(C)	4,911.84
		Long Term Capital Gains Subtotal				**85,014.39**	**79,232.76**	5,781.63
NET LONG TERM CAPITAL GAIN (LOSS)								5,781.63
TOTAL CAPITAL GAINS AND LOSSES						**954,813.46**	**907,977.99**	**46,835.47**
TOTAL REPORTABLE GROSS PROCEEDS						**954,813.46**		
DIFFERENCE						**0.00** *		

Note. Capital gains and losses in this statement are not reported to the IRS.
* Difference reflects items that are included in the reportable gross proceeds section of your statement but are not included in the gain/loss section (e.g., liquidation of fractional shares and the proceeds from certain tender offers). It also reflects items that are included in the gain/loss section of your statement but not in the reportable gross proceeds section (e.g., option premium received).
§ - Bonds purchased at a premium show amortization.
(A) - Year-to-Date and Life-to-Date amortization/accretion figures are net adjustments and may include original issue discount, and/or premium or market discount adjustments, if applicable. These figures assume that the client has made all elections to amortize bond premium and accrete market discount on a current basis. A negative figure indicates a net reduction in cost basis due to such factors as premium amortization.
(C) - The cost basis reflects adjustments for amortized and/or accreted amounts.

REALIZED CAPITAL GAIN AND LOSS SUMMARY

	SHORT TERM GAINS	SHORT TERM LOSSES	LONG TERM GAINS	LONG TERM LOSSES
TOTAL	41,963.04	(909.20)	5,781.63	0.00

990-PF ATTACHMENT

Merrill Lynch

Account No. 16H-98911 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
VANGUARD INT-TM INV;ADM	5998.0000	03/20/09	10/21/09			57,820.72	51,162.94	6,657.78
	8397.0000	03/20/09	11/03/09			80,947.08	71,626.41	9,320.67
	6119.0000	03/20/09	11/23/09			59,537.87	52,195.08	7,342.79
	19.0000	03/27/09	11/23/09			184.86	161.12	23.74
	41.0000	03/27/09	11/23/09			398.93	347.68	51.25
	1.0000	03/30/09	11/23/09			9.73	8.48	1.25
	25.0000	03/31/09	11/23/09			243.25	212.25	31.00
	1654.0000	04/28/09	11/23/09			16,093.43	14,257.47	1,835.96
		Security Subtotal				**215,235.87**	**189,971.43**	**25,264.44**
		Short Term Capital Gains Subtotal				**799,305.53**	**757,342.49**	**41,963.04**
SHORT TERM CAPITAL LOSSES								
US TSY 3.750% NOV 15 201	15000.0000§	02/04/09	06/23/09	(34.94)(A)	(34.94)(A)	15,128.90	15,994.55(C)	(865.65)
US TSY 1.250% NOV 30 201	35000.0000§	12/12/08	02/05/09	(14.67)(A)	(21.01)(A)	35,236.52	35,263.36(C)	(26.84)
	20000.0000§	12/12/08	03/03/09	(14.04)(A)	(17.67)(A)	20,128.12	20,144.83(C)	(16.71)
		Security Subtotal				**55,364.64**	**55,408.19**	**(43.55)**
		Short Term Capital Losses Subtotal				**70,493.54**	**71,402.74**	**(909.20)**
NET SHORT TERM CAPITAL GAIN (LOSS)								**41,053.84**
LONG TERM CAPITAL GAINS								
US TSY 4.000% FEB 15 201	5000.0000§	05/28/08	09/09/09	(11.98)(A)	(21.95)(A)	5,355.07	5,103.84(C)	251.23
	20000.0000§	08/20/08	09/09/09	(93.10)(A)	(140.43)(A)	21,420.32	20,801.76(C)	618.56
		Security Subtotal				**26,775.39**	**25,905.60**	**869.79**

Merrill Lynch

Account No. 16H-98911 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

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Dividend and Interest details are repeated in the following pages for your convenience. Additionally, we have included items such as margin interest and select account fees if applicable. Please discuss the deductibility of these items with your tax advisor. For select clients, we have also included a summary of Visa charges and checking activity.

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
SHORT TERM CAPITAL GAINS								
STANFORD UNIVERSI 3.62% 1	40000.0000§	04/23/09	07/09/09	(12.19)(A)	(12.19)(A)	40,688.40	40,307.03(C)	381.37
US TSY 3.750% NOV 15 201	30000.0000§	02/04/09	04/21/09	(38.07)(A)	(38.07)(A)	32,151.56	32,020.91(C)	130.65
US TSY 1.250% NOV 30 201	80000.0000§	12/12/08	03/19/09	(71.58)(A)	(86.07)(A)	80,584.38	80,563.94(C)	20.44
US TSY 4.875% JUL 31 201	95000.0000§	08/15/08	03/19/09	(431.88)(A)	(1,151.94)(A)	103,728.13	99,867.20(C)	3,860.93
	15000.0000§	08/15/08	03/26/09	(74.30)(A)	(188.00)(A)	16,359.38	15,762.39(C)	596.99
	30000.0000§	08/15/08	04/03/09	(166.05)(A)	(393.44)(A)	32,662.50	31,507.34(C)	1,155.16
	25000.0000§	08/15/08	06/23/09	(253.28)(A)	(442.77)(A)	26,880.86	26,141.21(C)	739.65
	55000.0000§	08/15/08	07/10/09	(618.02)(A)	(1,034.90)(A)	59,369.92	57,449.87(C)	1,920.05
	50000.0000§	08/15/08	07/17/09	(582.20)(A)	(961.17)(A)	53,828.13	52,206.82(C)	1,621.31
		Security Subtotal				**292,828.92**	**282,934.83**	**9,894.09**
HARRIS CNTY TEX	40000.0000§	10/30/08	05/11/09	(352.75)(A)	(507.77)(A)	43,881.60	42,267.83(C)	1,613.77
FRANKLIN IN CMTY MLT SBC	40000.0000§	11/04/08	04/21/09	(216.06)(A)	(315.51)(A)	46,382.80	43,504.09(C)	2,878.71
CUMBERLAND PA MUN AT RET	40000.0000§	11/06/08	05/12/09	(567.41)(A)	(782.37)(A)	47,552.00	45,772.43(C)	1,779.57

990-PF ATTACHMENT

Merrill Lynch

Account No. 16H-98909

Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

2009 ANNUAL STATEMENT SUMMARY

2009 CAPITAL GAIN AND LOSS TRANSACTIONS

Security Description	Quantity	Date Acquired Cover of Short	Date Liquidated Short Sale	Amortization/Accretion Year-to-Date	Amortization/Accretion Life-to-Date	Sales Price	Cost Basis	Gain or (Loss)
MC LENNAN CO TEX JR CLG	100000.0000§	03/18/09	08/17/09	(1,879.00)(A)	(1,879.00)(A)	100,000.00	100,000.00(C)	0.00
NEW YORK ST TWY AUTH SVC	100000.0000§	07/14/09	09/17/09	(906.49)(A)	(906.49)(A)	103,830.00	103,810.51(C)	19.49
		Short Term Capital Gains Subtotal				**1,289,859.00**	**1,289,598.09**	260.91
SHORT TERM CAPITAL LOSSES								
PA TKP CMN REGISTRATION	50000.0000§	01/20/09	05/19/09	(749.25)(A)	(749.25)(A)	50,355.00	50,358.75(C)	(3.75)
		Short Term Capital Losses Subtotal				**50,355.00**	**50,358.75**	(3.75)
NET SHORT TERM CAPITAL GAIN (LOSS)								257.16
OTHER TRANSACTIONS								
U.S. TREASURY BILL	15000.0000	02/04/09	02/26/09			14,999.30	14,996.64	N/C
FEDERAL HOME LOAN BANK	150000.0000	10/01/08	04/03/09			150,000.00	150,000.00	N/C
		Other Transactions Subtotal				**164,999.30**	**164,996.64**	
TOTAL CAPITAL GAINS AND LOSSES						1,505,213.30	1,504,953.48	257.16
TOTAL REPORTABLE GROSS PROCEEDS						1,505,213.30		
DIFFERENCE						0.00 *		

Merrill Lynch

Account No. 16H-98909 | Taxpayer No. 26-2049780

TODD BROCK FAMILY FOUNDATION

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2009 CAPITAL GAIN AND LOSS TRANSACTIONS

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SHORT TERM CAPITAL GAINS								
WASHINGTON ST SER MBIA	100000.0000§	04/15/09	07/01/09	(1,050.00)(A)	(1,050.00)(A)	100,000.00	100,000.00(C)	0.00
DEKALB CNTY GA WTR-SEW	100000.0000§	08/24/09	09/17/09	(272.92)(A)	(272.92)(A)	105,985.00	105,920.08(C)	64.92
PHILADELPHIA PA	100000.0000§	01/22/09	03/16/09	(658.00)(A)	(658.00)(A)	101,000.00	101,000.00(C)	0.00
LOWER COLO RIV AUTH TEX	100000.0000§	05/04/09	09/17/09	(1,521.13)(A)	(1,521.13)(A)	101,311.00	101,194.87(C)	116.13
CALIFORNIA ST	100000.0000§	01/26/09	04/01/09	(746.00)(A)	(746.00)(A)	101,000.00	101,000.00(C)	0.00
NEW YORK ST ENV FACS CRP	100000.0000§	01/21/09	06/15/09	(1,982.00)(A)	(1,982.00)(A)	100,000.00	100,000.00(C)	0.00
PUERTO RICO EL PWR AT RV	100000.0000§	08/04/09	09/17/09	(516.37)(A)	(516.37)(A)	104,533.00	104,472.63(C)	60.37
ATLANTA GA WTR-WSTWTR	100000.0000§	01/26/09	05/01/09	(1,153.00)(A)	(1,153.00)(A)	101,000.00	101,000.00(C)	0.00
PHOENIX ARIZ	100000.0000§	11/06/08	07/01/09	(1,557.85)(A)	(1,948.00)(A)	100,000.00	100,000.00(C)	0.00
EDMOND OK PUB WKS AT REV	50000.0000§	11/10/08	07/01/09	(990.36)(A)	(1,270.50)(A)	50,000.00	50,000.00(C)	0.00
MASSACHUSETTS ST WPA TR	120000.0000§	04/17/09	08/03/09	(1,791.60)(A)	(1,791.60)(A)	121,200.00	121,200.00(C)	0.00