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Department of the Treasury

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

				be able to use a copy of this	Teturi to satisfy state repo	tung requirements.	
For	cale	ndar year 2009, or tax ye	ear beginning		, and ending		
G	Checl	call that apply:	∐ Initial return	Initial return of a fo	ormer public charity	Final return	n
			Amended return	Address chan	ge 🔛	Name change	
lls	e the	IRS Name of foundation	n			A Employer identification	n number
	label						
	herwi	· 1	FAMILY FOUND	ATION		26-1590575	5
	print		P O box number if mail is not de	elivered to street address)	Room/suite	B Telephone number	
C	r typ	98 DOGWOO	D LANE			203-966-97	167
	: Spe	Cific City or town state			<u> </u>	C If exemption application is	
Ins	tructi	ONS. NEW CANAA		j.		D 1. Foreign organization	
<u> </u>	^hool	type of organization:	X Section 501(c)(3) ex		·	Foreign organizations me check here and attach or	s, check here
<u>"</u> -	_	,,	· · · · · · · · · · · · · · · · · · ·			check here and attach or	omputation
<u>-</u>		ction 4947(a)(1) nonexer		Other taxable private founda		E If private foundation sta	
		arket value of all assets at	· 1 —	-	Accrual	under section 507(b)(1)(A), check here
		Part II, col. (c), line 16)	•	ther (specify)	 	F If the foundation is in a	
_	<u> </u>		• , =	mn (d) must be on cash l	pasis.)	under section 507(b)(1	
P	art l	Analysis of Revenue a	I nd Expenses lumns (b), (c), and (d) may not	(a) Revenue and	(b) Net investment	(c) Adjusted net	(d) Disbursements for charitable purposes
		necessarily equal the amou	unts in column (a).)	expenses per books	income	income	(cash basis only)
	1	Contributions, gifts, gran	nts, etc., received	500,000.		N/A	
	2	Check foundation	on is not required to attach Sch B		,		,
	3	Interest on savings and temp	porary	66.	66.		STATEMENT 1
	4	Dividends and interest fr	rom securities	11,290.	11,290.		STATEMENT 2
	5a	Gross rents					
	1 .	Net rental income or (loss)		, o , , , , , , , , , , , , , , , , , ,	* , ,		.,
	69	Net gain or (loss) from sale or	4440	-9,381.	- - - - -	*1	, , , , , , , , , , , , , , , , , , , ,
Revenue	٦	Gross sales price for all	191,279.	J, 301.		15,7 -	
ē	٦,	assets on line 6a		* -		,	
ě	7	Capital gain net income (from	• •		0.		
	8	Net short-term capital ga	ain	, ,	- **		3 3 2
	9	Income modifications Gross sales less returns	1	, , , , , ,			` \>
	10a	and allowances		. d			
	b	Less Cost of goods sold		´• ° , ° €	-} ·	,	('\sum_{\text{s}}'
	С	Gross profit or (loss)			1.9		
	11	Other income					
	12	Total. Add lines 1 through	gh 11	501,975.	11,356.		
	13	Compensation of officers, di	rectors, trustees, etc	0.	0.		0.
	14	Other employee salaries					
	15	Pension plans, employee	•				-
ses	' '	Legal fees	o outlone				
SU		Accounting fees					
Expen	ן י	·		-			
ii) o	. . c	Other professional fees					
Operating and Administrative	17	Interest					
itra	18	Taxes					
i	19	Depreciation and demails	MED W				
Ę	20	Occupancy	181				
Ž	21	Travel, conferences, and	Ywasiffugg 127/				
ä	22	Printing and All Cations	3 1				
ğ	23	Other expenses	STMT 3	2,589.	2,204.		2,549.
aĘi	24	Total operating and act	histrative				
Ser		expenses. Alle mes 18	through 23	2,589.	2,204.		2,549.
ō	25	Contributions, gifts, gran		90,115.			90,115.
	26	Total expenses and dist	·			<u> </u>	20,2200
	-"	Add lines 24 and 25	saloumono.	92,704.	2,204.		92,664.
_	97	Subtract line 26 from line	n 10:	34,104.	4,404.	-	34,004.
	27			400 271			
	ı	Excess of revenue over exper		409,271.	0 150		
	l	Net investment income			9,152.	27.7	
0225	C 01	Adjusted net income (if r				N/A	L
9235 02-0	2-10	LHA For Privacy Act	and Paperwork Reduction	Act Notice, see the instruct	ions.		Form 990-PF (2009)/

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THE LOTZ FAMILY FOUNDATION

26-1590575

Form **990-PF** (2009)

Page 2

E)art	II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End o	f year	
_	art	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value	
	1	Cash - non-interest-bearing	527.	1,321.	1,321.	
	2	Savings and temporary cash investments	491,108.	336,388.		
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable ►				
		Less: allowance for doubtful accounts				
	5	Grants receivable	, i			
	6	Receivables due from officers, directors, trustees, and other				
		disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts	1			
Ø	8	Inventories for sale or use				
Assets	9	Prepaid expenses and deferred charges		 		
As	1	Investments - U.S. and state government obligations				
	1	Investments - corporate stock				
		·				
	1	Investments - corporate bonds		-		
	11	Investments - land, buildings, and equipment basis	· · · ·			
		Less accumulated depreciation				
		Investments - mortgage loans	75 044			
	13	Investments - other STMT 4	75,244.	638,441.	<u>718,483.</u>	
	14	Land, buildings, and equipment; basis	,	, ,	27	
	l	Less accumulated depreciation				
	15	Other assets (describe >)				
_		Total assets (to be completed by all filers)	566,879.	976,150.	1,056,192.	
	1	Accounts payable and accrued expenses			•	
	18	Grants payable				
es	19	Deferred revenue			· ,	
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons				
ā	21	Mortgages and other notes payable			,	
_	22	Other liabilities (describe)			•	
					,	
_	23	Total liabilities (add lines 17 through 22)	0.	0.	3	
		Foundations that follow SFAS 117, check here			%.♥	
"		and complete lines 24 through 26 and lines 30 and 31.				
ö	24	Unrestricted			,	
Net Assets or Fund Balances	25	Temporarily restricted				
Ba	26	Permanently restricted				
핕	İ	Foundations that do not follow SFAS 117, check here				
ıτ		and complete lines 27 through 31.				
Ö	27	Capital stock, trust principal, or current funds	566,879.	976,150.		
set	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
As	29	Retained earnings, accumulated income, endowment, or other funds	0.	0.		
é	30	Total net assets or fund balances	566,879.	976,150.		
_						
	31	Total liabilities and net assets/fund balances	566,879.	976,150.		
\equiv						
Р	art	Analysis of Changes in Net Assets or Fund B	alances			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30			
		t agree with end-of-year figure reported on prior year's return)			566,879.	
	2 Enter amount from Part I, line 27a					
		increases not included in line 2 (itemize)			409,271.	
	A4414040					
		eases not included in line 2 (itemize)		5	970,130.	
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	olumn (b), line 30	6	976,150.	
<u> </u>		Tart in the state of the state	(5),			

See the Part VI instructions.

Enter qualifying distributions from Part XII, line 4

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.

	THE LOTE FAMILY FOUNDATION THE LOTE FAMILY FOUNDATION THE LOTE FAMILY FOUNDATION	26	$\frac{5-159}{2}$	<u> </u>		Page 4
	art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), o	r 494	<u>8 - see</u>	ınstrı	<u>ictio</u> i	ns)
18	a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.					
_	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)				_	
t	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%	<u> </u> -	1		1	83.
	of Part I, line 27b					
	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	ļ	-			_
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2			<u>0.</u>
3	Add lines 1 and 2		3		1	83.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		•			<u>0.</u>
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	-!	5		1	83.
6	Credits/Payments:					
	a 2009 estimated tax payments and 2008 overpayment credited to 2009	_				
	Exempt foreign organizations - tax withheld at source					
	: Tax paid with application for extension of time to file (Form 8868)	_				
	Backup withholding erroneously withheld 6d	⊣ ~	.			
	Total credits and payments. Add lines 6a through 6d	<u> </u>				0.
	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8				
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<u>▶ </u>	9		1	83.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	▶ _1				
	Enter the amount of line 10 to be: Credited to 2010 estimated tax	<u> </u>	1			
	art VII-A Statements Regarding Activities			1	X	
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interv	ene in		<u> </u>	Yes	+
	any political campaign?			1a	-	X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition	•		1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials publi	shed o	r		ν, ν	,
	distributed by the foundation in connection with the activities.				1	
	Did the foundation file Form 1120-POL for this year?			1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:	_		1	, ,	, , ,
		<u>0.</u>				
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation			1	[*]	
_	managers. ▶ \$ 0.			1 -	Z Z	
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2	\vdash	X
_	If "Yes," attach a detailed description of the activities.			,		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation	n, or			•	, '
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3	}	X
	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		NT / N	4a		X_
	of "Yes," has it filed a tax return on Form 990-T for this year?		N/A	4b		
J	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5_		X
c	If "Yes," attach the statement required by General Instruction T.					
0	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:					
	By language in the governing instrument, or By state legislation that offertively amond the government on that as mondators discovered that conflict with the confli					ĺ
	By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the second is the governor participant.	itate law	,			v
7	remain in the governing instrument?			6	v	X
1	Did the foundation have at least \$5,000 in assets at any time during the year?			7	X	
۰.	If "Yes," complete Part II, col. (c), and Part XV.					
88	Enter the states to which the foundation reports or with which it is registered (see instructions)					
	If the appropriate large 7, has the foundation furnished a count form 000 DE to the Albertan Constal (as deceased)					
D	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				ا رپا	ĺ
_	of each state as required by General Instruction G? If "No," attach explanation			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for	calenda	7		,	
	year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV	a	m -	9	, -	X
10	Did any persons become substantial contributors during the tax year? if "Yes," attach a schedule listing their names and addresses	STM	<u>T 5 </u>	10	X	L

_	n 990-PF (2009) THE LOTZ FAMILY FOUNDATION 26-1590	575	<u> </u>	Page 5
P	art VII-A .Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before			
	August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	_X_	
	Website address ► N/A			
14	The books are in care of ▶ PHILIP A. & WENDY D. LOTZ Telephone no. ▶ 203-96	6-9	767	<u>'</u>
	Located at ▶ 98 DOGWOOD LANE, NEW CANAAN, CT ZIP+4 ▶06	840		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			lacksquare
	and enter the amount of tax-exempt interest received or accrued during the year			
Pi	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
18	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)			
	a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?	**		
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	٠ ،		,
	if the foundation agreed to make a grant to or to employ the official for a period after		5	
	termination of government service, if terminating within 90 days.)		er e	-
,	o If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations		-	
•	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? N/A	4.	•	
	Organizations relying on a current notice regarding disaster assistance check here	1b		
,	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	4	×	
•	before the first day of the tax year beginning in 2009?	4-		
•		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation		,	1 23
	defined in section 4942(j)(3) or 4942(j)(5)):			\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \
č	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning		- ,	
	before 2009?		8.11	Q _
	If "Yes," list the years \			
	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect			
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach			
	statement - see instructions.) N/A	2b		
C	: If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
	,,,			
38	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
	during the year?			
b	If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
	Form 4720, to determine if the foundation had excess business holdings in 2009.)	3ь		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		<u>X</u>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			
	had not been removed from jeonardy before the first day of the tax year beginning in 20092	امدا		Y

Form 990-PF (2009) THE LOTZ FAMILY FOUNDAT:			<u> 26-15905</u>	75 Page 6		
Part VII-B Statements Regarding Activities for Which	Form 4720 May Be	Required (contin	ued)			
5a During the year did the foundation pay or incur any amount to:				1 1		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section	` ''		es 🗶 No			
(2) Influence the outcome of any specific public election (see section 4955);	or to carry on, directly or indir					
any voter registration drive?			es X No			
(3) Provide a grant to an individual for travel, study, or other similar purpose		Ye	es LX No	[
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section					
	509(a)(1), (2), or (3), or section 4940(d)(2)?					
(5) Provide for any purpose other than religious, charitable, scientific, literary the prevention of cruelty to children or animals?	y, or educational purposes, or		es X No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify ur	nder the exceptions described	in Regulations		_		
section 53.4945 or in a current notice regarding disaster assistance (see instr	ructions)?		N/A	5b		
Organizations relying on a current notice regarding disaster assistance check	here		▶□			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption	from the tax because it mainta	uned				
expenditure responsibility for the grant?	Ŋ	N/A 🛄 Ye	es No			
If "Yes," attach the statement required by Regulations section 53.494	45-5(d).		}			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on					
a personal benefit contract?		Y6	s X No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?			6b X		
If "Yes" to 6b, file Form 8870.						
7a At any time during the tax year, was the foundation a party to a prohibited tax	shelter transaction?	Ye	es 🗶 No 📙			
b If yes, did the foundation receive any proceeds or have any net income attribu				7b		
Part VIII Information About Officers, Directors, Trus Paid Employees, and Contractors	tees, Foundation Ma	anagers, Highly	y			
1 List all officers, directors, trustees, foundation managers and their	, 					
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deterred compensation	(e) Expense account, other allowances		
PHILIP A. LOTZ	TRUSTEE					
98 DOGWOOD LANE						
NEW CANAAN, CT 06840	1.00	0.	0.	0.		
WENDY D. LOTZ	TRUSTEE		,			
98 DOGWOOD LANE						
NEW CANAAN, CT 06840	1.00	0.	0.	0.		
			ĺ			
]					
2 Compensation of five highest-paid employees (other than those in	cluded on line 1). If none,	enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances		
NONE						
	1					
				· · · · · · · · · · · · · · · · · · ·		
	1					
	1					
	1					
	 	<u> </u>				
	1]				
Total number of other employees paid over \$50,000	1	<u> </u>		0		
			Form 9	990-PF (2009)		

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Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, enter "NC	NE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
		
Total number of others receiving over \$50,000 for professional services		▶ 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical in	formation such as the	Expenses
number of organizations and other beneficiaries served, conferences convened, research papers produced, N/A	etc.	
1N/A		
2		-
		·
3	····	
4		
Part IV-R Common of Brown Balatad Investment		· · · · · · · · · · · · · · · · · · ·
Part IX-B Summary of Program-Related Investments Describe the two largest program-related investments made by the foundation during the tax year on lines.	l and 2.	Amount
1 N/A	. 4.10 2.1	741104111
2		
All other program-related investments. See instructions.		
3		
		
Total. Add lines 1 through 3	<u> ▶</u>]	0.

P	art X Minimum Investment Return (All domestic foundation	s must complete this part	Foreign found	dations, se	e instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charr	table, etc., purposes:			
a	Average monthly fair market value of securities			1a	385,364.
b	Average of monthly cash balances			1b	385,364. 166,542.
C	Fair market value of all other assets			1c	
đ	Total (add lines 1a, b, and c)		ĺ	1d	551,906.
е	Reduction claimed for blockage or other factors reported on lines 1a and				
	1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	551,906.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amo	unt, see instructions)		4	8,279.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and	· · · · · · · · · · · · · · · · · · ·		5	543,627.
6	Minimum investment return. Enter 5% of line 5	,		6	27,181.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3 foreign organizations check here and do not complete this p		oundations and	certain	
1	Minimum investment return from Part X, line 6			1	27,181.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	183.		
b	Income tax for 2009. (This does not include the tax from Part VI.)	2b			
C	Add lines 2a and 2b		·	2c	183.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	26,998.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	26,998.
6	Deduction from distributable amount (see instructions)			6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on P	art XIII, line 1		7	26,998.
	art XII Qualifying Distributions (see instructions)				
	Amounts paid (including administrative expenses) to accomplish charitable, etc., p	ourposes:			00 664
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26		}-	1a	92,664.
	Program-related investments - total from Part IX-B		}-	1b	0.
	Amounts paid to acquire assets used (or held for use) directly in carrying out char	nable, etc., purposes	-	2	
	Amounts set aside for specific charitable projects that satisfy the:				
	Suitability test (prior IRS approval required)		-	3a	
	Cash distribution test (attach the required schedule)) and Dark VIII (i.e., 4	-	3b	02 664
	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8	•	<u>-</u>	4	92,664.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net in	nvestment		_	•
	Income. Enter 1% of Part I, line 27b		-	5	02 664
6	Adjusted qualifying distributions. Subtract line 5 from line 4		_	6	92,664.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent year 4940(e) reduction of tax in those years.	s when calculating whether th	e roundation qu	aimes for the	e section

Part XIII Undistributed Income (see Instructions)

1. Distributable amount for 2009 from Part XI, line 7 2. Undestinated income, if any, as of the end of 2004 a. Enter amount for 2008 only b. Trotal for proxy years: 3. Excess distributions carryover, if any, to 2009; a. From 2006 d. From 2006 d. From 2006 d. From 2006 d. From 2007 e. From 2008 d. From		(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
Inter 7 2 Undestinated accords A size, as of the error of 2000 at Enter amount for 2008 only b Total for prior years: 3 Excess distributions carryover, if any, to 2009; a From 2006 4 From 2006 4 From 2006 4 From 2007 118 , 436. 1 Total of lines 3a through e 4 Outalitying distributions for 2009 from part XII, Inter 4 line 5 2 2, 664. 4 Applied to 2008, but not more than line 2a b Applied to undistributed income of prior years (Election required - see instructions) 6 Treated as distributions out of corpus (Election required - see instructions) 6 Applied to 2009 distributable amount effection required - see instructions) 6 Applied to 2009 distributable amount effection required - see instructions) 6 Applied to 2009 distributable amount effection required - see instructions) 6 Decease distributions carryow applied to 2009 6 an emorat organized - see constructions) 7 Enter the set total of each column as indicated below. 8 Defroir years' undistributed income, Subtract line 4s from line 2b 6 Enter the emount of prior years' undistributed income for years and seed to the column as indicated below. 9 Decease distributions carryower from 1004 184,102. 9 Decease distributions carryower from 2004 not applied on line 5 or line? 9 Decease distributions carryower from 2004 not applied on line 5 or line? 9 Decease distributions carryower from 2004 not applied on line 5 or line? 9 Decease distributions carryower from 2004 not applied on line 5 or line? 9 Decease distributions carryower from 2004 not applied on line 5 or line? 9 Decease distributions carryover to 2010. 9 Subtract line 5 or line? 9 Decease distributions carryover to 2010. 9 Subtract line 5 or line? 9 Decease distributions carryover to 2010. 9 Decease distributions c	1 Distributable amount for 2000 from Bart VI	Обіриз	rears prior to 2000	2000	2009
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d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.					
amount - see instructions e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	assessed		O.		
e Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	d Subtract line 6c from line 6b. Taxable	4			
4a from line 2a. Taxable amount - see instr. f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.			0.		
f Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	e Undistributed income for 2008. Subtract line				
Innes 4d and 5 from line 1. This amount must be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	4a from line 2a. Taxable amount - see instr.			0.	
be distributed in 2010 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	f Undistributed income for 2009. Subtract				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	lines 4d and 5 from line 1. This amount must				
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	be distributed in 2010				0.
section 170(b)(1)(F) or 4942(g)(3) 8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	7 Amounts treated as distributions out of				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	corpus to satisfy requirements imposed by				
not applied on line 5 or line 7 9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102.	section 170(b)(1)(F) or 4942(g)(3)	0.			
9 Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a 184,102. 10 Analysis of line 9:	-				
Subtract lines 7 and 8 from line 6a 184,102. 10 Analysis of line 9:	not applied on line 5 or line 7	0.			
10 Analysis of line 9:	9 Excess distributions carryover to 2010.				
	Subtract lines 7 and 8 from line 6a	184,102.			
5 6 0005	10 Analysis of line 9:				
a Excess from 2005	a Excess from 2005				
b Excess from 2006	b Excess from 2006				
c Excess from 2007	c Excess from 2007				
d Excess from 2008 118, 436.	d Excess from 2008 118, 436.				
e Excess from 2009 65,666.			<u></u>		

	Z FAMILY FO			26-15	90575 Page 10
Part XIV Private Operating F			-A, question 9)	N/A	
1 a If the foundation has received a ruling of					
foundation, and the ruling is effective for		-	▶ ∟_		
b Check box to indicate whether the foun		<u>ig foundation described i</u>		4942(j)(3) or49	942(1)(5)
2 a Enter the lesser of the adjusted net	Tax year	4 > 0000	Prior 3 years	-1	
income from Part I or the minimum	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Totai
investment return from Part X for					
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets		-			
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)	[
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV Supplementary Info	rmation (Complet	te this part only i	f the foundatio	n had \$5 000 or mo	re in accete
at any time during t			i die iodiidado	π παα φο,οοο οι πιο	ne in assets
1 Information Regarding Foundation					
a List any managers of the foundation wh	•	han 2% of the total contr	ibutions received by th	e foundation before the clos	se of any tax
year (but only if they have contributed r					o or any tax
SEE STATEMENT 6					
b List any managers of the foundation who other entity) of which the foundation ha	o own 10% or more of the	stock of a corporation (or an equally large por	tion of the ownership of a pa	irtnership or
NONE	or grouter interes	-			
	ion Crost Cift Lass	Cabalanahia ata Da			
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If					
the foundation makes gifts, grants, etc.	inly makes contributions to (see instructions) to indivi	o preselecteo charitable c	organizations and does	not accept unsolicited requi	asis for funds. If
	· · · · · · · · · · · · · · · · · ·				<u>. </u>
a The name, address, and telephone num			addressed:		
PHILIP A. & WENDY D.					
98 DOGWOOD LANE, NEW		r 06840			
b The form in which applications should be BY WRITTEN REQUEST O		on and materials they sh	ould include:		
c Any submission deadlines:					
d Any restrictions or limitations on awards	S SUCh as by decoranhical	areas charitable fields	ands of institutions of	other factors	
NO	o, ooun as by yeograpiilod	। बारवर, जावास्वाह सहाव ्, ।	งเกษอ บา การแนนเบทร , บา	outer lacture.	
				 	

3 Grants and Contributions Paid During the	Year or Approved for Future	Payment		
Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year	or substantial contributor	recipient	<u> </u>	
a Faid during the year				
				ĺ
_				
SEE STATEMENT 7			<u> </u>	90,115
b Approved for future payment			▶ 3a	90,115
NONE				
NONE				
			L	
Total 23811 02-02-10			<u>▶ 3b</u>	0 . orm 990-PF (2009
			FC	лш ээс-г г (2009)

Page	12

Part XVI-A	Analysis of Income-Producing	Activities

inter gros's amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
_		(a) Business	(b) Amount	Exclu-	(d) Amount	Related or exempt function income
	m service revenue:	code	741104111	code	Amount	iunction income
				<u> </u>	 	
				 		<u> </u>
				 		
				 		
. —						
g Fee	s and contracts from government agencies					
	rship dues and assessments			 		
	on savings and temporary cash			\vdash		
investr	- · · · · ·			14	66.	
	ds and interest from securities			14		
	tal income or (loss) from real estate:			1.7	11,290.	
	t-financed property			<u> </u>	, , ,	
	debt-financed property			<u> </u>		
	tal income or (loss) from personal					-
propert	• • •					
	vestment income					-
	(loss) from sales of assets other					
than in				18	-9,381.	
	ome or (loss) from special events			1.0	-5,301.	
	rofit or (loss) from sales of inventory			 		
1 Other re				_		·
					-	<u></u> _
						
			· ·	_		 .
2 Subtota	il. Add columns (b), (d), and (e)	* * .	0.	,,	1,975.	0.
	Add line 12, columns (b), (d), and (e)	·	<u> </u>	<u> </u>	13	
	heet in line 13 instructions to verify calculations.)					
Part X		the Acce	amplishment of Ex	omn	t Dumocoo	
- GICX	Ticiationship of Activities to	- IIIC ACC		emb	t Furposes	
Line No.	Explain below how each activity for which incom			contrib	outed importantly to the accom	plishment of
	the foundation's exempt purposes (other than b	y providing fu	nds for such purposes).			
	NOT APPLICABLE	<u> </u>				
						·
					··· , , , , , , , , , , , , , , , , , ,	
						
						<u></u>
					· · · · · · · · · · · · · · · · · · ·	

	Part X\	/II · Information	n Regard	ing T	ransfers To	and Transact	ons a	and Relation	onships W	<u></u> ith Nor	ncharitable	<u>Ра</u> В	ige 13
		Exempt Or	ganizatio	ns					<u> </u>				
1	Did th	e organization directly o	r indirectly en	igage in	any of the follows	ng with any other or	ganızatıd	on described in	section 501(c)	of		Yes	No
		ode (other than section 5			•		al orgar	nizations?					
		fers from the reporting f	oundation to	a nonch	arıtable exempt or	ganization of:							
	(1) C										1a(1)		X
		ther assets									1a(2)		X_
		transactions:											
		ales of assets to a noncl									15(1)		_X
		urchases of assets from			npt organization						1b(2)		<u>X</u>
		ental of facilities, equipn	•	assets							1b(3)		X
	• •	eimbursement arrangen									1b(4)		<u>X</u>
		oans or loan guarantees									1b(5)		<u> X</u>
		erformance of services			=						1b(6)		<u>X</u>
		ig of facilities, equipmen	-								1c		X
(answer to any of the abo										ets,	
		vices given by the report					rket valu	ie in any transa	iction or sharing	j arranger	nent, show in		
(9)	Line no	n (d) the value of the go (b) Amount involved						(4) =					
(a)	Line no	(b) Amount involved	J	(c) Nan		e exempt organization		(U) Desc	ription of transfers	, transaction	ns, and sharing an	rangeme	nts
					N/A			-					
_													
_					_			_					
	+		-										
	+												
							.	 					
_													
-								-	 -				
_	-												
						-		- -					
-								<u> </u>	_				
_			- 		•								
_								-					
٠,	le the	foundation directly or in-	diractly affiliat	tod wath	or related to one	or more tay avame	t organi	zationa decarib		 			
		ion 501(c) of the Code (· ·	t Organia	20110113 0636110	cu		□ voo	۲] No
		complete the following		otion oc		11011 327					Yes	LA	סאו נ
_;	<i>y</i> 11 100		of organization	n		(b) Type of organ	zation		(c) Descrip	tion of rel	ationship		
		N/			=- :	(-,),,			(0) - 000 / (
_													
											,		····
	-		/								<u> </u>		
													
		nalties of perjury I declare t	at I have exemi	ings this	return, including acco	mpanying schedules ar	d statem	ents, and to the b	est of my knowled	ige and beli	ef, it is true, corre	ct,	
	and com	plete Declaration of prepare	r (other than tax)	or or	fouciary) is based on	all information of which	preparer	has any knowled	» —	/			
į		\ -b//~//	6	1		16/161	fO -	L	1/115	tee	_		
9	Sig	nature of onicer or rust	tee			Date		Title	7				
n Here		Preparer's	^ ·	1	0	<u> </u>		ate ,	Check if		Preparer's identify	ring num!	ber
ğ	- 'r' >	signature /	Ude	₫.	Down			5/12/10	self- employed	· []			
"	Paid Preparer's Use Only	Firm's name (or yours KA	HAN, S	TEI	GER & CO	MPANY, P.		1 1	EIN ▶				
	L Se a	• •	•		STREET	, ,							
	<u> </u>	addman and 710 ands							1				_

203-327-5717

Phone no.

address, and ZIP code

1100 SUMMER STREET STAMFORD, CT 06905-0227

Schedule B (Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Name of the organization

Schedule of Contributors

► Attach to Form 990, 990-EZ, or 990-PF.

OMB No 1545-0047

Employer identification number

Schedule B (Form 990, 990-EZ, or 990-PF) (2009)

T	THE LOTZ FAMILY FOUNDATION 26-1590575						
Organization type (check	one).						
Filers of:	Section:						
Form 990 or 990-EZ	501(c)() (enter number) organization						
	4947(a)(1) nonexempt charitable trust not treated as a private foundation						
527 political organization							
Form 990-PF							
4947(a)(1) nonexempt chantable trust treated as a private foundation							
	501(c)(3) taxable private foundation						
Note. Only a section 501(is covered by the General Rule or a Special Rule. c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special F	tule. See instructions.					
General Rule							
	on filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in r plete Parts I and II.	noney or property) from any one					
Special Rules							
509(a)(1) and 170	(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the re 0(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II.	=					
aggregate contrit	For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than \$1,000 for use exclusively for religious, chantable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III						
For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not aggregate to more than \$1,000 lf this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year.							
but it must answer "No" o	that is not covered by the General Rule and/or the Special Rules does not file Schedule in Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line illing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).						

LHA For Privacy Act and Paperwork Reduction Act Notice, see the Instructions

for Form 990, 990-EZ, or 990-PF.

Page 1 of 1 of Part I

Name of organization

Employer identification number

THE LOTZ FAMILY FOUNDATION

26-1590575

Part I	Contributors (see instructions)		
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	PHILIP A. LOTZ 98 DOGWOOD LANE NEW CANAAN, CT 06840	\$ <u>250,000</u> .	Person X Payroll
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
2	WENDY D. LOTZ 98 DOGWOOD LANE NEW CANAAN, CT 06840	\$\$	Person X Payroll Noncash (Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		- \$	Person Payroli Oncash Complete Part II of there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		s	Person Payroll Noncash (Complete Part II if there is a noncash contribution)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		- \$	Person Payroll Oncash Complete Part II if there is a noncash contribution.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
		\$	Person Payroll Noncash (Complete Part II if there is a noncash contribution.)

ORM 990-PF INTEREST ON SAVI	INGS AND TEN	IPORARY CA	ASH IN	VESTMENTS	STATEMENT	1
SOURCE					AMOUNT	
MERRILL LYNCH #874-04B33				-	(66.
COTAL TO FORM 990-PF, PART I	, LINE 3, CO	DLUMN A		-	(56.
ORM 990-PF DIVIDENI	OS AND INTER	REST FROM	SECUE	RITIES	STATEMENT	2
OURCE	GROSS	S AMOUNT		TAL GAINS	COLUMN (A))
IERRILL LYNCH #874-04B33		11,325.		35.	11,29	90.
OTAL TO FM 990-PF, PART I, I	IN 4	11,325.		35.	11,29	90.
ORM 990-PF	OTHER E	XPENSES			STATEMENT	3
ESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVE MENT INC		(C) ADJUSTED NET INCOM		
MA ANNUAL FEE NVESTMENT MGT FEES RS FEDERAL EXCISE TAX -	150. 2,054.		150. 054.		15 2,05	50. 54.
1008 IISCELLANEOUS EXPENSES	40. 345.		0. 0.		34	0. 15.
O FORM 990-PF, PG 1, LN 23	2,589.	2,	204.		2,54	19. —
ORM 990-PF	OTHER INV	ESTMENTS			STATEMENT	4
ESCRIPTION		LUATION ETHOD	вос	K VALUE	FAIR MARKET	
UTUAL FUND INVESTMENTS		COST 638,441.		718,48	3.	
OTAL TO FORM 990-PF, PART II	, LINE 13			638,441.	718,48	3.
					 	

RM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	5
ME OF CONTRIBUTOR	ADDRESS		
ILIP A. LOTZ	98 DOGWOOD LANE NEW CANAAN , CT 06840		
NDY D. LOTZ	98 DOGWOOD LANE NEW CANAAN, CT 06840		
RM 990-PF	PART XV - LINE 1A	STATEMENT	

LIST OF FOUNDATION MANAGERS

ME OF MANAGER

ILIP A. LOTZ NDY D. LOTZ RM 990-PF

STATEMENT 7

PAID	DURING THE YEAR		
CIPIENT NAME AND ADDRESS	RECIPIENT RELATIONSHIP AND PURPOSE OF GRANT		AMOUNT
UIDNECK LAND TRUST 0 AQUIDNECK AVE. MIDDLETOWN, 02842		501(C)(3)	350.
ON PRODUCTS FOUNDATION - AVON LK FOR BREAST CANCER E AVON PLAZA RYE, NY 10580	TO SUPPORT THE	501(C)(3)	300.
BSON COLLEGE 1 FOREST STREET BABSON PARK, 02457		501(C)(3)	5,000.
NGREGATIONAL CHURCH PARK STREET NEW CANAAN, CT 840	N/A TO FURTHER SPIRITUAL AWARENESS, CHRISTIAN EDUCATION, AND SVC IN THE WORLD	501(C)(3)	2,500.
NNECTICUT FOOD BANK 0 BRADLEY STREET EAST HAVEN , 06512		501(C)(3)	100.
TERNATIONAL YACHT RESTORATION HOOL 9 THAMES STREET NEWPORT, RI 840	SUSTAINING EDUCATIONAL	501(C)(3)	5,000.
UKEMIA & LYMPHOMA SOCIETY 50 PONTIAC AVE. CRANSTON, RI 920	N/A TO FUND RESEARCH PROGRAMS, PATIENT SERVICES, AND EDUCATION PROGRAMS	501(C)(3)	16,000.
UKEMIA & LYMPHOMA SOCIETY 90 MARKET STREET SAN ANCISCO, CA 94102	N/A TO FUND RESEARCH PROGRAMS, PATIENT SERVICES, AND EDUCATION PROGRAMS	501(C)(3)	3,000.

GRANTS AND CONTRIBUTIONS

THE LOTZ FAMILY FOUNDATION			26-1590575
MARCH OF DIMES 1275 MAMARONECK AVE WHITE PLAINS, NY 10605	N/A FUNDING TO IMPROVE THE HEALTH OF BABIES BY PREVENTING BIRTH DEFECTS	501(C)(3)	100.
MELANOMA FOUNDATION OF NEW ENGLAND 66 COMMONWEALTH AVE CONCORD, MA 01742	FUND THE RESEARCH FOR	501(C)(3)	1,000.
MYSTIC SEAPORT MUSEUM 75 GREENMANVILLE AVE MYSTIC, CT 06355	N/A FUND THE RESEARCH AND PRESERVATION OF MARITIME COLLECTIONS	501(C)(3)	65.
NEW YORK YACHT CLUB FOUNDATION 37 WEST 44TH STREET NEW YORK, NY 10036		501(C)(3)	1,000.
PENNSYLVANIA UNIVERSTIY 3451 WALNUT STREET PHILDELPHIA , PA 19104		501(C)(3)	1,000.
PSI UPSILON FOUNDATION 3003 EAST 96TH STREET INDIANAPOLIS, IN 46240	N/A PROVIDE ASSISTANCE TO NEEDY AND DESERVING STUDENTS FOR HIGHER EDUCATION	501(C)(3)	3,000.
SAIL NEWPORT 60 FORT ADAMS DRIVE NEWPORT, RI 02840	N/A PROMOTE SAILING AND SUPPORT PUBLIC ACCESS TO SAILING PROGRAMS	501(C)(3)	5,000.
SAILING FOUNDATION OF NEW YORK P.O. BOX 267 COS COB, CT 06807	N/A SUPPORT FOR SAILING TEAMS AND COMPETITION EVENTS	501(C)(3)	3,000.
SAILING HALL OF FAME 67-69 PRINCE GEORGE STREET ANNAPOLIS, MD 21401	N/A TO PRESERVE THE HISTORY OF SAILING AND ITS IMPACT ON OUR CULTURE	501(C)(3)	15,000.

THE LOTZ FAMILY FOUNDATION		4	26-1590575
AN DIEGO YACHT CLUB SAILING OUNDATION 011 ANCHORAGE LANE SAN DIEGO, A 92106	N/A TO PROMOTE NATIONAL AND INTL AMATEUR SAILING, MARITIME EDUC, AND COMPETITION	501(C)(3)	7,000.
EAMEN'S CHURCH INSTITUTE OF EWPORT 8 MARKET SQUARE NEWPORT, RI 2840	N/A PROMOTE SAFETY & IMPROVED WORK CONDITIONS FOR THOSE SERVING IN MARITIME	501(C)(3)	1,500.
OUTHPORT SAILING FOUNDATION O. BOX 946 SOUTHPORT, CT 6890	N/A FOR SUPPORT OF WEBSITE FOR SAILING LEARNING AND TRAINING REGIMENS	501(C)(3)	1,000.
HE HOLE IN THE WALL GANG CAMP 55 LONG WHARF DRIVE NEW HAVEN CT 06511		501(C)(3)	10,000.
NIVERSITY OF PENNSYLVANIA - ENN FUND 451 WALNUT STREET PHILDELPHIA, A 19104	HELP UNDERWRITE THE	501(C)(3)	5,000.
NIVERSITY OF PENNSYLVANIA - AILING FUND 003 EAST 96TH STREET HILDELPHIA, PA 19104	ASSIST THE SAILING	501(C)(3)	3,000.
NITED STATES SAILING SSOCIATION 5 MARITIME DRIVE PORTSMOUTH , I 02871	N/A PROMOTE AND SUPPORT THE SPORT OF SAILING IN THE UNITED STATES	501(C)(3)	1,000.
ORLD WILDLIFE FUND 250 TWENTY-FOURTH STREET, N.W. ASHINGTON, DC 20090	N/A TO PROTECT AND CONSERVE NATURAL AREAS AND ITS WILDLIFE POPULATIONS	501(C)(3)	200.

90,115.

OTAL TO FORM 990-PF, PART XV, LINE 3A



THE LOTZ FAMILY FOUNDATION

814-04B33

459.54

2,368.28 1,101.07

Gain or (Loss)

4,196.85 4,116.78

453.27

18.02

REALIZED CAPITAL GAINAND LOSS SUMMARY

Date of Liquidation

Date of Acquisition

Security Description

Quantity

835.46 730.64 119.22 490.63 833.08 806.23 732.91 5,191.64 27,938.06 09/15/09 09/15/09 09/15/09 09/15/09 09/15/09 09/15/09 08/02/09 09/15/09 09/11/09 08/02/0 04/23/09 ISHARES RUSSELL 1000 STANDEX ISHARES MSCI EAFE INDEX ISHARES MSCI EAFE INDEX RYDEX ETF TR RUSSELL TO HEALTH CARE SELECT SPOR NB LG CAP DISC GRW CL A GOLDMAN SACHS GRO OP! VANGUARD FTSE ALL WOR HEALTH CARE SELECT SPD AMEX TECHNLGY SELCT SI SECTOR SPDR CONSMRS (MAINSTAY LG CP GRTH CI AMEX TECHNIGY SELCT S L SYLS INV GR BD FD CL GOLDMAN SACHS GRO OI GOLDMAN SACHS GRO OI NB LG CAP DISC GRW CL RYDEX ETF TR RUSSELL LORD ABBETT VAL OPP E VANGUARD GROWTH ETF WEST ASST CORE BOND DAVIS NY VENTURE FD VANGUARD VALUE ETF ISHARES RUSSELL 1000 ISHARES RUSSELL 1000 ISHARES RUSSELL 1000 BLACKROCK LG CAP VA ARTIO INTL EQUITY II DAVIS NY VENTURE FOR ARTIO INTL EQUITY II ARTIO INTL EQUITY II MFS RESEARCH INTL I 15.0000 24.0000 52.9840 22.7970 105.9700 231.7040 10.0000 41.3260 35.0510 93.2480 20.0000 15.0000 15.0000 15.0000 30.0000 53.1480 76.2200 78.5900 176.9910 105.8550 381.0000 83.4720 82.3050 33.8980 ,545.0000 186.0000 400.0000 53.0000 535.0000 43.0000 4.0000 3.000

110.04 539.80 280.76 36.31 32.53 33.75 154.78 118.78 216.83

11.27 169.33 169.98 308.96 184.08 153.48 544.85 241.25 241.25 214.00 225.09 2.05 132.46 64.03

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