Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2009

For calendar year 2009, or tax year beginning , 2009, and ending , 20

G Check all that apply [ ] Initial return [ ] Initial return of a former public charity [ ] Final return
[ ] Amended return [ ] Address change [ ] Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of foundation: HAWK ROCK FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) / Room/suite: 22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD

City or town, state, and ZIP code: WANCHAI HONG KONG

A Employer identification number 26-1518305

B Telephone number (see page 10 of the instructions) ( ) -

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ [ ]

H Check type of organization [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 4,267,697.

J Accounting method [ ] Cash [X] Accrual
[ ] Other (specify) ______
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,259,296.			
	2 Check ▶ [ ] if the foundation is **not** required to attach Sch B.				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	26,781.	26,781.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	250,350.			
	b Gross sales price for all assets on line 6a 1,268,422.				
	7 Capital gain net income (from Part IV, line 2)		250,350.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,536,427.	277,131.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 2	3,671.	0.	0.	0.
	b Accounting fees (attach schedule) ATCH 3	3,007.	0.	0.	0.
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see page 14 of the instructions) **	300.			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 5	2,894.	2,894.		
	24 Total operating and administrative expenses. Add lines 13 through 23	9,872.	2,894.	0.	0.
	25 Contributions, gifts, grants paid	267,391.			267,391.
	26 Total expenses and disbursements Add lines 24 and 25	277,263.	2,894.	0.	267,391.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,259,164.			
	b Net investment income (if negative, enter -0-)		274,237.		
	c Adjusted net income (if negative, enter -0-)			-0-	

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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	74,558.	803,168.	803,168.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 16 of the instructions)	0.	2,911.	ATCH 6 2,911.
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	2,464,281.	3,590,177.	3,461,618.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	2,538,839.	4,396,256.	4,267,697.
Liabilities	17	Accounts payable and accrued expenses	1,506.	0.	
	18	Grants payable	35,000.	240,000.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	36,506.	240,000.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,502,333.	4,156,256.	
	30	**Total net assets or fund balances** (see page 17 of the instructions)	2,502,333.	4,156,256.	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,538,839.	4,396,256.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,502,333.
2	Enter amount from Part I, line 27a	2	2,259,164.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,761,497.
5	Decreases not included in line 2 (itemize) ▶ ATTACHMENT 8	5	605,241.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,156,256.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) . . . . . { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	250,350.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions) If (loss), enter -0- in Part I, line 8.	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2008	74,854.	1,263,865.	0.059226
2007	0.	1,540,818.	0.000000
2006			
2005			
2004			

2 **Total** of line 1, column (d)	2	0.059226
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.029613
4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5	4	2,318,106.
5 Multiply line 4 by line 3	5	68,646.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,742.
7 Add lines 5 and 6	7	71,388.
8 Enter qualifying distributions from Part XII, line 4	8	267,391.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions on page 18

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ____________ (attach copy of ruling letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	2,742.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	2,742.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,742.
6	Credits/Payments		
a	2009 estimated tax payments and 2008 overpayment credited to 2009 — 6a: 153.		
b	Exempt foreign organizations-tax withheld at source — 6b: 0.		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 4,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	4,153.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,411.
11	Enter the amount of line 10 to be **Credited to 2010 estimated tax** ▶ 1,411. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) ▶ DE,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV on page 27)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* ATTACHMENT 9	10	X	

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see page 20 of the instructions)	11		X
12	Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ EAST ASIA SENTINEL TAX SRV LTD Telephone no ▶ 852-28101018

Located at ▶ ATTACHMENT 10 ZIP + 4 ▶

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?		1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009? If "Yes," list the years ▶	☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see page 20 of the instructions)		2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2009 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009)*		3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see page 22 of the instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see page 22 of the instructions)?	5b	N/A	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 22 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATTACHMENT 11		0.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see page 23 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 TO OPERATE EXCLUSIVELY AND TO RECEIVE AND ADMINISTER FUNDS FOR CHARITABLE AND EDUCATIONAL PURPOSES WITHIN THE MEANING OF SECTION 501(C)(3) AND RELATED REGULATIONS AND TO	
2 DISTRIBUTE FUNDS TO SUCH CHARTIEIS AT SUCH TIMES AND IN SUCH AMOUNTS AS MAY BE DETERMINED BY THE FOUNDATION CONSISTENT WITH THE CODE	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 23 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See page 24 of the instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see page 24 of the instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,163,995.
b	Average of monthly cash balances	1b	189,412.
c	Fair market value of all other assets (see page 24 of the instructions)	1c	0.
d	**Total** (add lines 1a, b, and c)	1d	2,353,407.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,353,407.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see page 25 of the instructions)	4	35,301.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,318,106.
6	**Minimum investment return.** Enter 5% of line 5	6	115,905.

Part XI **Distributable Amount** (see page 25 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	115,905.
2a	Tax on investment income for 2009 from Part VI, line 5	2a	2,742.		
b	Income tax for 2009 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	2,742.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	113,163.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	113,163.
6	Deduction from distributable amount (see page 25 of the instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	113,163.

Part XII **Qualifying Distributions** (see page 25 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	267,391.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0.
b	Cash distribution test (attach the required schedule)	3b	0.
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	267,391.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see page 26 of the instructions)	5	2,742.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	264,649.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see page 26 of the instructions)

	(a) Corpus	(b) Years prior to 2008	(c) 2008	(d) 2009
1 Distributable amount for 2009 from Part XI, line 7				113,163.
2 Undistributed income, if any, as of the end of 2009				
a Enter amount for 2008 only			63,047.	
b Total for prior years 20 07 ,20 06 ,20 05		2,041.		
3 Excess distributions carryover, if any, to 2009				
a From 2004				
b From 2005				
c From 2006				
d From 2007				
e From 2008 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2009 from Part XII, line 4 ▶ $ 267,391.				
a Applied to 2008, but not more than line 2a			63,047.	
b Applied to undistributed income of prior years (Election required - see page 26 of the instructions)				
c Treated as distributions out of corpus (Election required - see page 26 of the instructions)				
d Applied to 2009 distributable amount				113,163.
e Remaining amount distributed out of corpus	91,181.			
5 Excess distributions carryover applied to 2009 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	91,181.			
b Prior years' undistributed income Subtract line 4b from line 2b		2,041.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see page 27 of the instructions		2,041.		
e Undistributed income for 2008 Subtract line 4a from line 2a Taxable amount - see page 27 of the instructions				
f Undistributed income for 2009 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2010				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see page 27 of the instructions)				
8 Excess distributions carryover from 2004 not applied on line 5 or line 7 (see page 27 of the instructions)				
9 **Excess distributions carryover to 2010.** Subtract lines 7 and 8 from line 6a	91,181.			
10 Analysis of line 9				
a Excess from 2005				
b Excess from 2006				
c Excess from 2007				
d Excess from 2008 0.				
e Excess from 2009 91,181.				

Part XIV Private Operating Foundations (see page 27 of the instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2009, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2009	(b) 2008	(c) 2007	(d) 2006	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see page 28 of the instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see page 28 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATTACHMENT 12				
Total . . . . ▶ 3a				267,391.
b Approved for future payment				
Total . . . . ▶ 3b				

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Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See page 28 of the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					26,781.
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					250,350.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					277,131.

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 277,131.

(See worksheet in line 13 instructions on page 28 to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See page 29 of the instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

x S Watson | 8/6/10 | S Watson

Signature of officer or trustee | Date | Title

Paid Preparer's Use Only

Preparer's signature	Date 7/27/2010	Check if self-employed ☐	Preparer's identifying number (See Signature on page 30 of the instructions) P00382081
Firm's name (or yours if self-employed), address, and ZIP code	EAST ASIA SENTINEL TAX SERVICES LTD 22/F, TAI YAU BUILDING, WANCHAI HONG KONG	EIN ▶ 98-0577907	Phone no 852 28101018

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**

OMB No 1545-0047

2009

Name of the organization
HAWK ROCK FOUNDATION

Employer identification number
26-1518305

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, aggregate contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not aggregate to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ, or on line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization HAWK ROCK FOUNDATION

Employer identification number 26-1518305

Part I Contributors (see instructions)

(a) No.	(b) Name, address, and ZIP + 4	(c) Aggregate contributions	(d) Type of contribution
1	STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI HONG KONG	$ 270,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
2	STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI HONG KONG	$ 1,989,296.	Person [X] Payroll [ ] Noncash [X] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization HAWK ROCK FOUNDATION

Employer identification number 26-1518305

Part II Noncash Property (see instructions)

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	VARIOUS SHARES - SEE STATEMENT A	$ 1,989,296.	VAR
		$	
		$	
		$	
		$	
		$	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		11,918.94 SHRS OF AMERICAN HIG-INCOME TR PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
124,195.		100,000.					24,195.	
		9,285.04 SHRS OF BOND FUND OF AMERICA CL PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
111,049.		100,000.					11,049.	
		5,749.9 SHRS OF CAPITAL WORLD BOND FUND PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
119,598.		100,000.					19,598.	
		7,510.26 SHRS OF FUNDAMENTAL INVESTORS C PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
240,779.		200,000.					40,779.	
		9,001.34 SHRS OF GROWTH FUND OF AMERICA PROPERTY TYPE: SECURITIES				P	06/15/2009	11/27/2009
241,596.		200,000.					41,596.	
		8,904.32 SHRS OF INT'L GROWTH & INCOME F PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
264,636.		200,000.					64,636.	
		3,033.95 SHRS OF NEW WORLD FUND CL F2 PROPERTY TYPE: SECURITIES				P	11/03/2008	11/27/2009
142,292.		100,000.					42,292.	
		319 SHRS OF ABB LTD (CHF) PROPERTY TYPE: SECURITIES				D	11/27/2009	12/16/2009
5,759.		4,077.					1,682.	
		75 SHRS OF BROADCOM CORP PROPERTY TYPE: SECURITIES				D	02/19/2009	12/18/2009
2,345.		1,293.					1,052.	
		92 SHRS OF TOTAL SA PROPERTY TYPE: SECURITIES				D	11/03/2008	12/18/2009
5,689.		4,999.					690.	
		100 SHRS OF TOTAL SA PROPERTY TYPE: SECURITIES				D	02/20/2009	12/18/2009
6,183.		4,935.					1,248.	
		180 SHRS OF WOOLWORTHS LIMITED PROPERTY TYPE: SECURITIES				D	09/07/2006	12/16/2009
4,301.		2,768.					1,533.	
TOTAL GAIN(LOSS)		....					250,350.	

ATTACHMENT A - SUMMARY OF NONCASH CONTRIBUTIONS

Description	Effective Date	Quantity	Cost	Market Value
Receipts In-Kind				
ADDITION TO ACCOUNT-SECURITIES ABB LTD (CHF) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	319 000	4,076 87	5,912 10
ADDITION TO ACCOUNT-SECURITIES ADOBE SYSTEMS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	137.000	4,896.52	4,847.06
ADDITION TO ACCOUNT-SECURITIES AGILENT TECHNOLOGIES INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	631.000	9,889.75	18,204.35
ADDITION TO ACCOUNT-SECURITIES AIR PRODUCTS & CHEMICALS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	10,379.21	15,765.12
ADDITION TO ACCOUNT-SECURITIES ALLEGHENY TECHNOLOGIES INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	960.000	26,176 16	32,822 40
ADDITION TO ACCOUNT-SECURITIES ALLERGAN INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	275.000	10,377.30	16,029.75
ADDITION TO ACCOUNT-SECURITIES ALLIANZ SE TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	9,829.50	12,359 44
ADDITION TO ACCOUNT-SECURITIES ALLSTATE CORP (THE) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	4,954.10	5,427.84
ADDITION TO ACCOUNT-SECURITIES AMAZON.COM INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	27 000	809 35	3,556.98
ADDITION TO ACCOUNT-SECURITIES AMERICA MOVIL S A.B DE C.V. TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	5,620.81	9,283.20

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES AMERICAN MEDICAL SYS HLDGS TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	137.000	1,482.29	2,407.09
ADDITION TO ACCOUNT-SECURITIES AMERICAN TOWER CORP CL A TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	350.000	9,359.39	14,308 00
ADDITION TO ACCOUNT-SECURITIES AMERICAN WATER WORKS TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	631 000	12,107.60	13,888.31
ADDITION TO ACCOUNT-SECURITIES ANGLO AMERICAN PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	4,276.87	4,265.80
ADDITION TO ACCOUNT-SECURITIES APPLE INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	55.000	5,527.07	11,032.45
ADDITION TO ACCOUNT-SECURITIES AUSTRALIA AND NEW ZEALAND BANKING GP LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	160.000	2,694.52	3,075.77
ADDITION TO ACCOUNT-SECURITIES BAE SYSTEMS PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,426 000	8,538.24	7,773 02
ADDITION TO ACCOUNT-SECURITIES BANCO SANTANDER S. A. TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	268.000	4,428.34	4,643.68
ADDITION TO ACCOUNT-SECURITIES BANK OF CHINA LTD H TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	23,000 000	8,703.71	12,256 30
ADDITION TO ACCOUNT-SECURITIES BANK OF EAST ASIA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,400.000	4,373 97	5,997.19
ADDITION TO ACCOUNT-SECURITIES BARRICK GOLD CORP C$ TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300.000	8,984.60	12,805.40

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES BAXTER INTERNATIONAL INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	96 000	5,425.89	5,263.68
ADDITION TO ACCOUNT-SECURITIES BAYER AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	5,300.95	7,661.06
ADDITION TO ACCOUNT-SECURITIES BERKSHIRE HATHAWAY INC CL B TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	4.000	9,833.47	13,528.00
ADDITION TO ACCOUNT-SECURITIES BEST BUY INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	89 000	2,392 80	3,811 87
ADDITION TO ACCOUNT-SECURITIES BG GROUP PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,100.000	14,494.91	20,424.90
ADDITION TO ACCOUNT-SECURITIES BHP BILLITON LTD (AUD) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	358.000	7,029 03	13,117 76
ADDITION TO ACCOUNT-SECURITIES BILLABONG INTERNATIONAL LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	732.000	2,914.70	6,707.11
ADDITION TO ACCOUNT-SECURITIES BMW AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	2,581.72	4,828 56
ADDITION TO ACCOUNT-SECURITIES BNP PARIBAS TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	171 000	5,031.06	14,108.53
ADDITION TO ACCOUNT-SECURITIES BOEING CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	82.000	3,941.93	4,300.90
ADDITION TO ACCOUNT-SECURITIES BOUYGUES TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	247.000	10,455.63	12,373.75

Capitalization Changes

For the Period October 1, 2009 - December 31, 2009
Reporting Currency. USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES BROADCOM CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	247.000	4,203.56	7,155.59
ADDITION TO ACCOUNT-SECURITIES CAMECO CORP C$ TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	700.000	11,281 46	20,047.17
ADDITION TO ACCOUNT-SECURITIES CANADIAN NATURAL RESOURCES LTD C$ TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	260.000	9,834 42	17,344 78
ADDITION TO ACCOUNT-SECURITIES CANON INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	6,885.47	7,383.91
ADDITION TO ACCOUNT-SECURITIES CARNIVAL CORP COMMON PAIRED STOCK TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	82.000	1,598.50	2,625 64
ADDITION TO ACCOUNT-SECURITIES CELGENE CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300 000	15,355.54	16,491.00
ADDITION TO ACCOUNT-SECURITIES CERNER CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	432.000	15,741.53	32,564 16
ADDITION TO ACCOUNT-SECURITIES CHEVRON CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	123.000	8,006.70	9,614.91
ADDITION TO ACCOUNT-SECURITIES CISCO SYSTEMS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,069 000	16,711 36	24,993 22
ADDITION TO ACCOUNT-SECURITIES COCA-COLA AMATIL LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,549.000	9,387.44	14,769.20
ADDITION TO ACCOUNT-SECURITIES COCA-COLA CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	219.000	9,645.69	12,522 42

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES COMCAST CORP CL A (NEW) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	466.000	6,211.69	6,934 08
ADDITION TO ACCOUNT-SECURITIES COSTCO WHOLESALE CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	75.000	3,217 40	4,502 25
ADDITION TO ACCOUNT-SECURITIES CRH PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	500.000	6,060.65	12,793.37
ADDITION TO ACCOUNT-SECURITIES DANAHER CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	82 000	4,391.98	5,835.94
ADDITION TO ACCOUNT-SECURITIES DANONE TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	175.000	7,489.49	10,547.42
ADDITION TO ACCOUNT-SECURITIES DAVITA INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	288.000	13,134.70	17,087.04
ADDITION TO ACCOUNT-SECURITIES DBS GROUP HOLDINGS LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,000.000	4,620.67	10,638.99
ADDITION TO ACCOUNT-SECURITIES DIAGEO PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	400 000	6,582.32	6,790.99
ADDITION TO ACCOUNT-SECURITIES EBAY INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	466.000	5,686.34	10,820.52
ADDITION TO ACCOUNT-SECURITIES ECOLAB INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	274 000	8,357.00	12,179.30
ADDITION TO ACCOUNT-SECURITIES EDISON INTERNATIONAL TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	288.000	8,180.49	9,737.28

Capital Guardian

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES EMERSON ELECTRIC CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	219 000	7,094.97	9,088.50
ADDITION TO ACCOUNT-SECURITIES ENERGIZER HOLDINGS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	56.000	2,802.80	3,174.08
ADDITION TO ACCOUNT-SECURITIES ESSILOR INTL TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	5,065.60	5,798 16
ADDITION TO ACCOUNT-SECURITIES FANUC CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	6,070.25	8,064.61
ADDITION TO ACCOUNT-SECURITIES FEDEX CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	178 000	8,790.79	14,711.70
ADDITION TO ACCOUNT-SECURITIES FIRST SOLAR INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	89.000	9,321.91	10,706.70
ADDITION TO ACCOUNT-SECURITIES FLUOR CORP (NEW) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	41.000	2,018 31	1,765.87
ADDITION TO ACCOUNT-SECURITIES GDF SUEZ TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	3,874.10	4,271.19
ADDITION TO ACCOUNT-SECURITIES GENERAL ELECTRIC CO (USD) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	356.000	3,591 65	5,674 64
ADDITION TO ACCOUNT-SECURITIES GENERAL MILLS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	137.000	9,181.17	9,329.70
ADDITION TO ACCOUNT-SECURITIES GENPACT LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300 000	2,367.47	4,032 00

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES GOLDMAN SACHS GROUP INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	206.000	12,977.23	33,816.96
ADDITION TO ACCOUNT-SECURITIES GOOGLE INC CL A TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	45 000	11,485 12	26,089 20
ADDITION TO ACCOUNT-SECURITIES HANESBRANDS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	1,358.86	4,669.44
ADDITION TO ACCOUNT-SECURITIES HDFC BANK LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	55.000	2,582 69	7,378.80
ADDITION TO ACCOUNT-SECURITIES HENNES & MAURITZ AB TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	55.000	3,527.73	3,282.31
ADDITION TO ACCOUNT-SECURITIES HEWLETT-PACKARD CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	123.000	3,768.84	6,035 61
ADDITION TO ACCOUNT-SECURITIES HOLCIM LTD NAMEN TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	188.000	7,823.45	13,778.20
ADDITION TO ACCOUNT-SECURITIES HONG KONG/CHINA GAS TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	4,000 000	6,454.05	9,300.29
ADDITION TO ACCOUNT-SECURITIES HSBC HOLDINGS PLC UKP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	2,718.000	13,765.35	31,638.30
ADDITION TO ACCOUNT-SECURITIES HUDSON CITY BANCORP INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	164 000	1,753.51	2,123 80
ADDITION TO ACCOUNT-SECURITIES ILLINOIS TOOL WORKS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	400.000	12,850.54	19,464.00

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES IMPERIAL TOBACCO PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	372 000	8,637.21	10,889.85
ADDITION TO ACCOUNT-SECURITIES INDITEX TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	35.000	1,864.24	2,219 99
ADDITION TO ACCOUNT-SECURITIES INTERNATIONAL BUSINESS MACHINES CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	212 000	17,151.83	26,648 40
ADDITION TO ACCOUNT-SECURITIES IRON MOUNTAIN INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	600.000	11,962.68	14,712.00
ADDITION TO ACCOUNT-SECURITIES JERONIMO MARTINS SGPS SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	500.000	3,344 23	4,993 15
ADDITION TO ACCOUNT-SECURITIES JOHNSON & JOHNSON TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300.000	16,768.92	18,867.00
ADDITION TO ACCOUNT-SECURITIES JPMORGAN CHASE & CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	550 000	11,722.85	22,731.50
ADDITION TO ACCOUNT-SECURITIES JUNIPER NETWORKS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	110.000	2,855.72	2,823.70
ADDITION TO ACCOUNT-SECURITIES KEYENCE CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100 000	17,066.13	19,428 90
ADDITION TO ACCOUNT-SECURITIES KONINKLIJKE KPN NV TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	900.000	11,581.65	15,877 24
ADDITION TO ACCOUNT-SECURITIES KRAFT FOODS INC CL A TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	343.000	9,519 64	9,137.52

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES L'AIR LIQUIDE (L) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	94.000	8,622.69	10,944 15
ADDITION TO ACCOUNT-SECURITIES LI & FUNG LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	4,000.000	9,892.62	15,663 91
ADDITION TO ACCOUNT-SECURITIES LINDE AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	28.000	2,770.51	3,440.11
ADDITION TO ACCOUNT-SECURITIES L'OREAL TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	13,258.17	21,780 15
ADDITION TO ACCOUNT-SECURITIES LOWES COMPANIES INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,343.000	26,102.50	29,492.28
ADDITION TO ACCOUNT-SECURITIES LVMH MOET HENNESSY LOUIS VUITTON SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	30.000	2,759.44	3,183 53
ADDITION TO ACCOUNT-SECURITIES MARATHON OIL CORP COM TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	89.000	2,792.62	2,904 07
ADDITION TO ACCOUNT-SECURITIES MAXIM INTEGRATED PRODS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	2,216.07	3,415.68
ADDITION TO ACCOUNT-SECURITIES MCDONALDS CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	41.000	1,485.73	2,607.60
ADDITION TO ACCOUNT-SECURITIES MEDTRONIC INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300.000	8,251.84	12,897 00
ADDITION TO ACCOUNT-SECURITIES MERCK & CO INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	576.000	14,770.03	20,903.04

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES METRO AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	4,806.01	6,390.70
ADDITION TO ACCOUNT-SECURITIES MICROCHIP TECHNOLOGY INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	322.000	6,045.15	8,388.10
ADDITION TO ACCOUNT-SECURITIES MICROSOFT CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	356.000	9,108.30	10,402.32
ADDITION TO ACCOUNT-SECURITIES MUENCHENER RUECK NAMEN VINK (REG) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	32.000	4,143.24	5,022 78
ADDITION TO ACCOUNT-SECURITIES MURATA MANUFACTURING CO LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200 000	8,742 82	9,091.43
ADDITION TO ACCOUNT-SECURITIES NATIONAL AUSTRALIA BANK LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	312.000	6,824.90	7,645 08
ADDITION TO ACCOUNT-SECURITIES NATIONAL GRID PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	600.000	6,566.48	6,507 48
ADDITION TO ACCOUNT-SECURITIES NATIONAL INSTRUMENTS CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192 000	3,533.50	5,437.44
ADDITION TO ACCOUNT-SECURITIES NESTLE SA REG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	613.000	19,645.54	29,416.45
ADDITION TO ACCOUNT-SECURITIES NEWCREST MINING NPV TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	524.000	7,293.95	17,555.51
ADDITION TO ACCOUNT-SECURITIES NINTENDO CO LTD ADR UNSPON TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	658 000	20,897 21	20,215.08

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES NORDSTROM INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	69.000	821.26	2,347.38
ADDITION TO ACCOUNT-SECURITIES NORFOLK SOUTHERN CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	82.000	3,331.06	4,197 58
ADDITION TO ACCOUNT-SECURITIES NOVO NORDISK A/S B TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	5,224 30	6,614.46
ADDITION TO ACCOUNT-SECURITIES NUCOR CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	150.000	4,899 60	6,271.50
ADDITION TO ACCOUNT-SECURITIES OMEGA PHARMA SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	47 000	1,398 97	2,464.93
ADDITION TO ACCOUNT-SECURITIES OMNICOM GROUP INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	2,963 59	3,641.00
ADDITION TO ACCOUNT-SECURITIES PAYCHEX INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	562.000	14,220.95	17,607.46
ADDITION TO ACCOUNT-SECURITIES PEPSICO INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	336.000	17,835.90	20,932.80
ADDITION TO ACCOUNT-SECURITIES PERNOD RICARD SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	212.000	9,914.01	18,185 98
ADDITION TO ACCOUNT-SECURITIES PEUGEOT SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	2,836 19	3,526 03
ADDITION TO ACCOUNT-SECURITIES PHILIP MORRIS INTERNATIONAL INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	110.000	4,010.20	5,498.90

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES POLYCOM INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	110 000	1,491.90	2,389.20
ADDITION TO ACCOUNT-SECURITIES POTASH CORP OF SASKATCHEWAN INC US$ TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	82.000	2,965.40	9,154.48
ADDITION TO ACCOUNT-SECURITIES PROCTER & GAMBLE CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	10,412.27	12,496 00
ADDITION TO ACCOUNT-SECURITIES PROGRESSIVE CORP OHIO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	857.000	10,905.31	14,234.77
ADDITION TO ACCOUNT-SECURITIES QANTAS AIRWAYS LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,000.000	1,805.38	2,295.22
ADDITION TO ACCOUNT-SECURITIES QUALCOMM INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	343 000	11,242 51	15,431.57
ADDITION TO ACCOUNT-SECURITIES RECKITT BENCKISER GROUP PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	300 000	12,030 65	15,423.13
ADDITION TO ACCOUNT-SECURITIES RICHEMONT CIE FIN TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	146.000	3,661.81	4,802 35
ADDITION TO ACCOUNT-SECURITIES RIO TINTO PLC REG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	600.000	12,927.75	30,544 63
ADDITION TO ACCOUNT-SECURITIES ROCHE HOLDING AG GENUSSCHEIN TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	154.000	19,769.43	25,495.78
ADDITION TO ACCOUNT-SECURITIES ROGERS COMMUNICATION TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	137.000	3,313.34	4,141 92

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES ROYAL DUTCH SHELL CL A ADR TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	800 000	33,416.08	48,592.00
ADDITION TO ACCOUNT-SECURITIES SABMILLER PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	1,508.63	2,935 62
ADDITION TO ACCOUNT-SECURITIES SAMSUNG ELEC GDS N/V PF TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	60.000	6,027.30	11,601.00
ADDITION TO ACCOUNT-SECURITIES SANDVIK AB TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200 000	1,946.91	2,412.07
ADDITION TO ACCOUNT-SECURITIES SAP AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	500.000	18,262.75	23,944.55
ADDITION TO ACCOUNT-SECURITIES SASOL SPON ADR TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	3,552.64	3,926.00
ADDITION TO ACCOUNT-SECURITIES SCHLUMBERGER LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	400.000	15,678.66	25,256.00
ADDITION TO ACCOUNT-SECURITIES SCHWAB CHARLES NEW TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,000.000	17,596.88	17,740 00
ADDITION TO ACCOUNT-SECURITIES SES FDR CL A (PARIS) TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	4,388.84	4,313.83
ADDITION TO ACCOUNT-SECURITIES SHANGRI-LA ASIA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	2,000 000	2,146.55	3,612 76
ADDITION TO ACCOUNT-SECURITIES SHIRE PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,400.000	17,740.58	27,391.43

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES SIEMENS AG NAMEN TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100 000	5,452.18	9,791.79
ADDITION TO ACCOUNT-SECURITIES SOFTBANK CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,500.000	21,499.08	35,044 71
ADDITION TO ACCOUNT-SECURITIES SONOVA HOLDING AG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	86.000	7,590 92	10,161.38
ADDITION TO ACCOUNT-SECURITIES STANDARD CHARTERED TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	400.000	3,880.14	10,031.55
ADDITION TO ACCOUNT-SECURITIES SUN HUNG KAI PROP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,000 000	10,841.19	14,296 22
ADDITION TO ACCOUNT-SECURITIES SUNCOR ENERGY INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	110.000	2,192.37	3,938.87
ADDITION TO ACCOUNT-SECURITIES SUZUKI MOTOR TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	466.000	6,414.29	10,715.18
ADDITION TO ACCOUNT-SECURITIES SWIRE PACIFIC LTD CL A TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	500 000	3,009.37	5,680 43
ADDITION TO ACCOUNT-SECURITIES SWISSCOM AG REG TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	12.000	3,934.92	4,662 63
ADDITION TO ACCOUNT-SECURITIES SYNTHES INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	66.000	8,283.99	8,709 93
ADDITION TO ACCOUNT-SECURITIES TARGET CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	350.000	10,544 11	16,695.00

Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES TELSTRA CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	1,611.000	3,911.88	4,954.48
ADDITION TO ACCOUNT-SECURITIES TERUMO CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	5,359.55	5,491 78
ADDITION TO ACCOUNT-SECURITIES TESCO PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	3,600.000	19,098.42	25,453.36
ADDITION TO ACCOUNT-SECURITIES THE WALT DISNEY CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	900 000	16,112 89	27,315.00
ADDITION TO ACCOUNT-SECURITIES TINGYI HOLDING CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	2,000.000	4,178.38	4,913.36
ADDITION TO ACCOUNT-SECURITIES TORONTO-DOMINION BANK TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	110 000	3,075.41	6,868.13
ADDITION TO ACCOUNT-SECURITIES TOTAL SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	192.000	9,934.66	12,027.38
ADDITION TO ACCOUNT-SECURITIES TRANSOCEAN LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	50.000	4,489 48	4,245 50
ADDITION TO ACCOUNT-SECURITIES TREND MICRO INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	4,773.46	7,037.78
ADDITION TO ACCOUNT-SECURITIES TYCO ELECTRONICS LTD TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	3,384.60	4,692 00
ADDITION TO ACCOUNT-SECURITIES UNILEVER NV NY SHRS TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	164.000	3,876.09	5,057.76

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES UNILEVER PLC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	500.000	10,181.07	14,777.00
ADDITION TO ACCOUNT-SECURITIES UNION PACIFIC CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	212.000	8,427.62	13,396.28
ADDITION TO ACCOUNT-SECURITIES UNITED PARCEL SERVICE INC CL B TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100.000	4,297.86	5,743.00
ADDITION TO ACCOUNT-SECURITIES UNITED TECHNOLOGIES CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	100 000	6,235.45	6,720.00
ADDITION TO ACCOUNT-SECURITIES UNITEDHEALTH GROUP INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	356.000	9,573 80	10,480 64
ADDITION TO ACCOUNT-SECURITIES URBAN OUTFITTERS INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	250.000	3,904.56	7,955.00
ADDITION TO ACCOUNT-SECURITIES VEOLIA ENVIRONNEMENT TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	419 000	8,812.82	14,294.46
ADDITION TO ACCOUNT-SECURITIES VINCI SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	27.000	1,363.95	1,512.98
ADDITION TO ACCOUNT-SECURITIES VISA INC CL A TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	150.000	9,715 73	12,049.50
ADDITION TO ACCOUNT-SECURITIES VIVENDI UNIVERSAL SA TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	200.000	5,655.57	5,801.16
ADDITION TO ACCOUNT-SECURITIES VOLVO AB B TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	238.000	1,874 53	2,288 79

Capitalization Changes

For the Period October 1, 2009 - December 31, 2009
Reporting Currency: USD

Description	Effective Date	Quantity	Cost	Market Value
ADDITION TO ACCOUNT-SECURITIES VULCAN MATERIALS CO TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	150.000	6,189.24	7,303.50
ADDITION TO ACCOUNT-SECURITIES WAL MART DE MEXICO, SAB DE C.V. TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	400.000	1,300.30	1,652.85
ADDITION TO ACCOUNT-SECURITIES WAL-MART STORES INC TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	55.000	2,755.93	3,004.65
ADDITION TO ACCOUNT-SECURITIES WESTPAC BANKING CORP TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	408 000	7,908 83	8,561.28
ADDITION TO ACCOUNT-SECURITIES WOOLWORTHS LIMITED TRANSITION FROM W-S REVOCABLE TRUST (44-217900)	11/27/2009	180.000	2,768.93	4,554.32
Total Receipts In-Kind			**1,384,054.64**	**1,989,295.71**

Capital Guardian

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
AMERICAN FUNDS		33.	33.
CAPITAL GUARDIAN		26,748.	26,748.
	TOTAL	26,781.	26,781.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	3,671.			
TOTALS	3,671.	0.	0.	0.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEES	3,007.			
TOTALS	3,007.	0.	0.	0.

FORM 990PF, PART I - TAXES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
FEDERAL TAX		300.
	TOTALS	300.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK CHARGES	2,894.	2,894.
TOTALS	2,894.	2,894.

FORM 990PF, PART II - RECEIVABLES DUE FROM OFFICERS, ETC.

BORROWER: STEVEN T. WATSON, DIRECTOR
ORIGINAL AMOUNT: 2,911.

BEGINNING BALANCE DUE	0.
ENDING BALANCE DUE	2,911.
ENDING FAIR MARKET VALUE	2,911.
TOTAL BEGINNING RECEIVABLES DUE FROM OFFICERS, ETC.	0.
TOTAL ENDING BOOK - RECEIVABLES DUE FROM OFFICERS, ETC.	2,911.
TOTAL ENDING FMV - RECEIVABLES DUE FROM OFFICERS, ETC.	2,911.

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 7

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
VIETNAM ENTERPRISE INVEST. LTD	754,958.	330,109.
VIETNAM GROWTH FUND	709,324.	336,929.
CAOUTAK GUARDIAN- FIXED INCOME	0.	0.
CAPITAL GUARDIAN- EQUITY	2,125,895.	2,794,580.
TOTALS	3,590,177.	3,461,618.

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION		AMOUNT
UNREALIZED GAIN		605,241.
	TOTAL	605,241.

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

NAME AND ADDRESS	DATE	DIRECT PUBLIC SUPPORT
STEVEN & BARBARA WATSON C/O 22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI		270,000.
TOTAL CONTRIBUTION AMOUNTS		270,000.

FORM 990PF, PART VII-A, LINE 14 - LOCATION OF BOOKS

22/F, TAI YAU BLDG, 181 JOHNSTON RD. WANCHAI
HONG KONG

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION
STEVEN T. WATSON 22/F TAI YAU BUILDING WANCHAI HONG KONG	DIRECTOR 2.00	0.
BARBARA WATSON 22/F TAI YAU BUILDING WANCHAI HONG KONG	2.00	0.
	GRAND TOTALS	0.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOLK ARTS RAJASTHAN 314 E 84TH ST #11 NEW YORK, NY 10028	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	10,000
RECORDING FOR THE BLIND & DYSLEXIC 545 5TH AVE., SUITE 1005 NEW YORK, NY 10017	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	25,000
HALF THE SKY FOUNDATION 715 HEARST AVENUE, SUITE 200 BERKELEY, CA 94710	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	20,000
ACCION INTERNATIONAL 56 ROLAND STREET, SUITE 300 BOSTON, MA 02129	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	150,000
THE BROWN LEDGE FOUNDATION, INC 20 WILSON STREET BURLINGTON, VT 05401	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	5,000
FRIENDS OF HONG KONG CHARITIES, INC CROSSROADS, 2 CASTLE PEAK ROAD TUEN MUN HONG KONG	UNRELATED 501 (C)(3)	FURTHER THE CHARITY ACTIVITY	57,391
		TOTAL CONTRIBUTIONS PAID	267,391

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T. See the instructions for Schedule D (Form 1041) (also for Form 5227 or Form 990-T, if applicable).

OMB No 1545-0092

2009

Name of estate or trust: HAWK ROCK FOUNDATION

Employer identification number: 26-1518305

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	45,578.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2008 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	45,578.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	204,772.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2008 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	204,772.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* **before** *completing this part*

			(1) Beneficiaries' (see page 5)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			45,578.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			204,772.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			250,350.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 .... 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *on page 7 of the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the worksheet on page 8 of the instructions if*

- *Either line 14b, col (2) or line 14c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the worksheet on page 8 of the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,300			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the **smaller** of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (15)					30	
31	Figure the tax on the amount on line 23 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2009 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ See instructions for Schedule D (Form 1041).
▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2009

Name of estate or trust: HAWK ROCK FOUNDATION

Employer identification number: 26-1518305

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 9,001.34 SHRS OF GROWTH FUND OF AMERICA CL F2	06/15/2009	11/27/2009	241,596.	200,000.	41,596.
319 SHRS OF ABB LTD (CHF)	11/27/2009	12/16/2009	5,759.	4,077.	1,682.
75 SHRS OF BROADCOM CORP	02/19/2009	12/18/2009	2,345.	1,293.	1,052.
100 SHRS OF TOTAL SA	02/20/2009	12/18/2009	6,183.	4,935.	1,248.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . 45,578.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side | **Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price (see page 4 of the instructions)	(e) Cost or other basis (see page 4 of the instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 11,918.94 SHRS OF AMERICAN HIG-INCOME TRUST CL F2	11/03/2008	11/27/2009	124,195.	100,000.	24,195.
9,285.04 SHRS OF BOND FUND OF AMERICA CL F2	11/03/2008	11/27/2009	111,049.	100,000.	11,049.
5,749.9 SHRS OF CAPITAL WORLD BOND FUND CL F2	11/03/2008	11/27/2009	119,598.	100,000.	19,598.
7,510.26 SHRS OF FUNDAMENTAL INVESTORS CL	11/03/2008	11/27/2009	240,779.	200,000.	40,779.
8,904.32 SHRS OF INT'L GROWTH & INCOME FUND CL F	11/03/2008	11/27/2009	264,636.	200,000.	64,636.
3,033.95 SHRS OF NEW WORLD FUND CL F2	11/03/2008	11/27/2009	142,292.	100,000.	42,292.
92 SHRS OF TOTAL SA	11/03/2008	12/18/2009	5,689.	4,999.	690.
180 SHRS OF WOOLWORTHS LIMITED	09/07/2006	12/16/2009	4,301.	2,768.	1,533.
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					204,772.

Form **8868** (Rev April 2009) Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Electronic Filing *(e-file)*. Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T) However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated From 990-T Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868 For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*

Type or print	Name of Exempt Organization	**Employer identification number**
	HAWK ROCK FOUNDATION	26-1518305
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 22/F, TAI YAU BUILDING, 181 JOHNSTON ROAD	
	City, town or post office, state, and ZIP code For a foreign address, see instructions. WANCHAI HONG KONG	

Check type of return to be filed (file a separate application for each return)

[ ] Form 990	[ ] Form 990-T (corporation)	[ ] Form 4720
[ ] Form 990-BL	[ ] Form 990-T (sec 401(a) or 408(a) trust)	[ ] Form 5227
[ ] Form 990-EZ	[ ] Form 990-T (trust other than above)	[ ] Form 6069
[X] Form 990-PF	[ ] Form 1041-A	[ ] Form 8870

- The books are in the care of ▶ EAST ASIA SENTINEL TAX SRV LTD

Telephone No ▶ 852 28101018 FAX No ▶ 852 25259890

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [X]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box . ▶ [ ] If it is for part of the group, check this box . . ▶ [ ] and attach a list with the names and EINs of all members the extension will cover

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 2010, to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ [X] calendar year 2009 or

▶ [ ] tax year beginning ________, ____, and ending ________, ____

2 If this tax year is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	**3a**	$ 4,153.
b	If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	**3b**	$ 153.
c	**Balance Due.** Subtract line 3b from line 3a Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**3c**	$ 4,000.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

JSA
9F8054 2 000