



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Return of Private Foundation

OMB No 1545-0052

or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2009

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2009, or tax year beginning , and ending

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return  
☐ Amended return ☐ Address change ☐ Name change

|                                                                                                                                                       |                                                                                                                                                                                                                                                   |                                                                                                                                                  |                                                                                                                                                                                                                                                            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Use the IRS label. Otherwise, print or type See Specific Instructions                                                                                 | Name of foundation<br><b>BARBARA &amp; GARY BRANDT FAMILY FOUNDATION</b>                                                                                                                                                                          |                                                                                                                                                  | A Employer identification number<br><b>26-1396548</b>                                                                                                                                                                                                      |
|                                                                                                                                                       | Number and street (or P O box number if mail is not delivered to street address) Room/suite<br><b>8GRAF REPETTI &amp; CO. 1114 SIXTH AVENUE 1701</b>                                                                                              |                                                                                                                                                  | B Telephone number<br><b>212-302-3300</b>                                                                                                                                                                                                                  |
|                                                                                                                                                       | City or town, state, and ZIP code<br><b>NEW YORK, NY 10036</b>                                                                                                                                                                                    |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/><br>D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
|                                                                                                                                                       | H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/><br>F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                          |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16)<br>\$ <b>3,365,169.</b> (Part I, column (d) must be on cash basis.) |                                                                                                                                                                                                                                                   | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ |                                                                                                                                                                                                                                                            |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                             | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1                                                                                                                                                  | Contributions, gifts, grants, etc., received                                                |                                    |                           | N/A                     |                                                             |
| 2                                                                                                                                                  | Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |
| 3                                                                                                                                                  | Interest on savings and temporary cash investments                                          | 35,047.                            | 35,047.                   |                         | STATEMENT 1                                                 |
| 4                                                                                                                                                  | Dividends and interest from securities                                                      | 68,723.                            | 68,723.                   |                         | STATEMENT 2                                                 |
| 5a                                                                                                                                                 | Gross rents                                                                                 |                                    |                           |                         |                                                             |
| b                                                                                                                                                  | Net rental income or (loss)                                                                 |                                    |                           |                         |                                                             |
| 6a                                                                                                                                                 | Net gain or (loss) from sale of assets not on line 10                                       | -402,673.                          |                           |                         |                                                             |
| b                                                                                                                                                  | Gross sales price for all assets on line 6a                                                 | 3,025,144.                         |                           |                         |                                                             |
| 7                                                                                                                                                  | Capital gain net income (from Part IV, line 2)                                              |                                    | 0.                        |                         |                                                             |
| 8                                                                                                                                                  | Net short-term capital gain                                                                 |                                    |                           |                         |                                                             |
| 9                                                                                                                                                  | Income modifications                                                                        |                                    |                           |                         |                                                             |
| 10a                                                                                                                                                | Gross sales less returns and allowances                                                     |                                    |                           |                         |                                                             |
| b                                                                                                                                                  | Less Cost of goods sold                                                                     |                                    |                           |                         |                                                             |
| c                                                                                                                                                  | Gross profit or (loss)                                                                      | 4,156.                             | 0.                        |                         | STATEMENT 3                                                 |
| 11                                                                                                                                                 | Other income                                                                                | -294,747.                          | 103,770.                  |                         |                                                             |
| 12                                                                                                                                                 | Total Add lines 1 through 11                                                                |                                    |                           |                         |                                                             |
| 13                                                                                                                                                 | Compensation of officers, directors, trustees, etc                                          | 0.                                 | 0.                        |                         | 0.                                                          |
| 14                                                                                                                                                 | Other employee salaries and wages                                                           |                                    |                           |                         |                                                             |
| 15                                                                                                                                                 | Pension plans, employee benefits                                                            |                                    |                           |                         |                                                             |
| 16a                                                                                                                                                | Legal fees                                                                                  |                                    |                           |                         |                                                             |
| b                                                                                                                                                  | Accounting fees                                                                             |                                    |                           |                         |                                                             |
| c                                                                                                                                                  | Other professional fees                                                                     |                                    |                           |                         |                                                             |
| 17                                                                                                                                                 | Interest                                                                                    |                                    |                           |                         |                                                             |
| 18                                                                                                                                                 | Taxes STMT 4                                                                                | 7,212.                             | 1,818.                    |                         | 260.                                                        |
| 19                                                                                                                                                 | Depreciation and depletion                                                                  |                                    |                           |                         |                                                             |
| 20                                                                                                                                                 | Occupancy                                                                                   |                                    |                           |                         |                                                             |
| 21                                                                                                                                                 | Travel, conferences, and meetings                                                           |                                    |                           |                         |                                                             |
| 22                                                                                                                                                 | Printing and publications                                                                   |                                    |                           |                         |                                                             |
| 23                                                                                                                                                 | Other expenses STMT 5                                                                       | 52,016.                            | 30,545.                   |                         | 21,471.                                                     |
| 24                                                                                                                                                 | Total operating and administrative expenses Add lines 13 through 23                         | 59,228.                            | 32,363.                   |                         | 21,731.                                                     |
| 25                                                                                                                                                 | Contributions, gifts, grants paid                                                           | 289,800.                           |                           |                         | 289,800.                                                    |
| 26                                                                                                                                                 | Total expenses and disbursements. Add lines 24 and 25                                       | 349,028.                           | 32,363.                   |                         | 311,531.                                                    |
| 27                                                                                                                                                 | Subtract line 26 from line 12:                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                    | Excess of revenue over expenses and disbursements                                           | -643,775.                          |                           |                         |                                                             |
|                                                                                                                                                    | Investment income (if negative, enter -0-)                                                  |                                    | 71,407.                   |                         |                                                             |
|                                                                                                                                                    | Adjusted net income (if negative, enter -0-)                                                |                                    |                           | N/A                     |                                                             |

| Part II Balance Sheets                                              |                                                                                           | Attached schedules and amounts in the description column should be for end-of-year amounts only |                | Beginning of year     | End of year |            |
|---------------------------------------------------------------------|-------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|------------|
|                                                                     |                                                                                           | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |             |            |
| Assets                                                              | 1 Cash - non-interest-bearing                                                             |                                                                                                 |                | 2,719.                | -21,969.    | -21,969.   |
|                                                                     | 2 Savings and temporary cash investments                                                  |                                                                                                 |                | 269,387.              | 111,278.    | 111,278.   |
|                                                                     | 3 Accounts receivable ▶                                                                   |                                                                                                 |                |                       |             |            |
|                                                                     | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |             |            |
|                                                                     | 4 Pledges receivable ▶                                                                    |                                                                                                 |                |                       |             |            |
|                                                                     | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |             |            |
|                                                                     | 5 Grants receivable                                                                       |                                                                                                 |                |                       |             |            |
|                                                                     | 6 Receivables due from officers, directors, trustees, and other disqualified persons      |                                                                                                 |                |                       |             |            |
|                                                                     | 7 Other notes and loans receivable ▶                                                      |                                                                                                 |                |                       |             |            |
|                                                                     | Less: allowance for doubtful accounts ▶                                                   |                                                                                                 |                |                       |             |            |
|                                                                     | 8 Inventories for sale or use                                                             |                                                                                                 |                |                       |             |            |
|                                                                     | 9 Prepaid expenses and deferred charges                                                   |                                                                                                 |                |                       |             |            |
|                                                                     | 10a Investments - U.S. and state government obligations STMT 6                            |                                                                                                 |                | 552,144.              | 620,328.    | 628,581.   |
|                                                                     | b Investments - corporate stock STMT 7                                                    |                                                                                                 |                | 2,896,332.            | 2,468,443.  | 2,647,279. |
|                                                                     | c Investments - corporate bonds                                                           |                                                                                                 |                |                       |             |            |
| Liabilities                                                         | 11 Investments - land, buildings, and equipment basis ▶                                   |                                                                                                 |                |                       |             |            |
|                                                                     | Less accumulated depreciation ▶                                                           |                                                                                                 |                |                       |             |            |
|                                                                     | 12 Investments - mortgage loans                                                           |                                                                                                 |                |                       |             |            |
|                                                                     | 13 Investments - other                                                                    |                                                                                                 |                | 101,273.              |             |            |
|                                                                     | 14 Land, buildings, and equipment basis ▶                                                 |                                                                                                 |                |                       |             |            |
|                                                                     | Less accumulated depreciation ▶                                                           |                                                                                                 |                |                       |             |            |
|                                                                     | 15 Other assets (describe ▶)                                                              |                                                                                                 |                |                       |             |            |
|                                                                     | 16 Total assets (to be completed by all filers)                                           |                                                                                                 |                | 3,821,855.            | 3,178,080.  | 3,365,169. |
|                                                                     | 17 Accounts payable and accrued expenses                                                  |                                                                                                 |                |                       |             |            |
|                                                                     | 18 Grants payable                                                                         |                                                                                                 |                |                       |             |            |
| Net Assets or Fund Balances                                         | 19 Deferred revenue                                                                       |                                                                                                 |                |                       |             |            |
|                                                                     | 20 Loans from officers, directors, trustees, and other disqualified persons               |                                                                                                 |                |                       |             |            |
|                                                                     | 21 Mortgages and other notes payable                                                      |                                                                                                 |                |                       |             |            |
|                                                                     | 22 Other liabilities (describe ▶)                                                         |                                                                                                 |                |                       |             |            |
| 23 Total liabilities (add lines 17 through 22)                      |                                                                                           |                                                                                                 | 0.             | 0.                    |             |            |
| Net Assets or Fund Balances                                         | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                   |                                                                                                 |                |                       |             |            |
|                                                                     | and complete lines 24 through 26 and lines 30 and 31.                                     |                                                                                                 |                |                       |             |            |
|                                                                     | 24 Unrestricted                                                                           |                                                                                                 |                |                       |             |            |
|                                                                     | 25 Temporarily restricted                                                                 |                                                                                                 |                |                       |             |            |
|                                                                     | 26 Permanently restricted                                                                 |                                                                                                 |                |                       |             |            |
|                                                                     | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> |                                                                                                 |                |                       |             |            |
|                                                                     | and complete lines 27 through 31                                                          |                                                                                                 |                |                       |             |            |
|                                                                     | 27 Capital stock, trust principal, or current funds                                       |                                                                                                 |                | 0.                    | 0.          |            |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund   |                                                                                           |                                                                                                 | 0.             | 0.                    |             |            |
| 29 Retained earnings, accumulated income, endowment, or other funds |                                                                                           |                                                                                                 | 3,821,855.     | 3,178,080.            |             |            |
| 30 Total net assets or fund balances                                |                                                                                           |                                                                                                 | 3,821,855.     | 3,178,080.            |             |            |
| 31 Total liabilities and net assets/fund balances                   |                                                                                           |                                                                                                 | 3,821,855.     | 3,178,080.            |             |            |

## Part III Analysis of Changes in Net Assets or Fund Balances

|                                                                                                                                                                 |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 3,821,855. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | -643,775.  |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 3,178,080. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 3,178,080. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 |                                                  |                                      |                                  |
| b SEE ATTACHED STATEMENT                                                                                                           |                                                  |                                      |                                  |
| c                                                                                                                                  |                                                  |                                      |                                  |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 3,025,144.          |                                            | 3,427,817.                                      | -402,673.                                    |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 |                                                                                                 |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | -402,673.                                                                                       |

|                                                                                                                                                                                   |   |           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                               | 2 | -402,673. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 } | 3 | N/A       |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2008                                                                 | 137,220.                                 | 2,187,535.                                   | .062728                                                     |
| 2007                                                                 |                                          |                                              |                                                             |
| 2006                                                                 |                                          |                                              |                                                             |
| 2005                                                                 |                                          |                                              |                                                             |
| 2004                                                                 |                                          |                                              |                                                             |

|                                                                                                                                                                                                                         |   |            |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d)                                                                                                                                                                                           | 2 | .062728    |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                          | 3 | .062728    |
| 4 Enter the net value of noncharitable-use assets for 2009 from Part X, line 5                                                                                                                                          | 4 | 3,052,704. |
| 5 Multiply line 4 by line 3                                                                                                                                                                                             | 5 | 191,490.   |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            | 6 | 714.       |
| 7 Add lines 5 and 6                                                                                                                                                                                                     | 7 | 192,204.   |
| 8 Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.<br>See the Part VI instructions. | 8 | 311,531.   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |                 |    |        |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |                 | 1  | 714.   |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |                 | 2  | 0.     |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                              |                 | 3  | 714.   |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |                 | 4  | 0.     |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |                 | 5  | 714.   |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |                 |    |        |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |                 |    |        |
| 6 Credits/Payments:                                                                                                                                                                                                                    |                 |    |        |
| a 2009 estimated tax payments and 2008 overpayment credited to 2009                                                                                                                                                                    | 6a 4,000.       | 7  | 4,000. |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b              | 8  |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c              | 9  |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d              | 10 | 3,286. |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  |                 | 11 | 0.     |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    |                 |    |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        |                 |    |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           |                 |    |        |
| 11 Enter the amount of line 10 to be: Credited to 2010 estimated tax                                                                                                                                                                   | 3,286. Refunded |    |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                     |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                              |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                            |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                      |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?       |     | X  |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                    | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>                                                                                                                                                                                                                            |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2009 or the taxable year beginning in 2009 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      |     | X  |

N/A

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                       |    |    |     |
|----|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|-----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)               | 11 |    | X   |
| 12 | Did the foundation acquire a direct or indirect interest in any applicable insurance contract before August 17, 2008?                                                                                 | 12 |    | X   |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address ► <u>N/A</u>                                                   | 13 | X  |     |
| 14 | The books are in care of ► <u>GRAF REPETTI &amp; COMPANY, LLP</u> Telephone no. ► <u>(212) 302-3300</u><br>Located at ► <u>1114 AVENUE OF THE AMERICAS, 17TH FL, NEW YORK, N</u> ZIP+4 ► <u>10036</u> |    |    |     |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                |    | 15 | N/A |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Yes                                                                 | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|----|
| <b>1a</b> During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                           |                                                                     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                  | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                           | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)                                                                                                                                                                                                                                                         | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                      | N/A                                                                 | 1b |
| <b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                                                                        |                                                                     | 1c |
| <b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                 |                                                                     |    |
| <b>a</b> At the end of tax year 2009, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2009?<br>If "Yes," list the years ► _____                                                                                                                                                                                                                                                                                                          | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)                                                                                                                                                                                      | N/A                                                                 | 2b |
| <b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.<br>► _____                                                                                                                                                                                                                                                                                                                                                                    |                                                                     |    |
| <b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |    |
| <b>b</b> If "Yes," did it have excess business holdings in 2009 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2009) | N/A                                                                 | 3b |
| <b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                               |                                                                     | 4a |
| <b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2009?                                                                                                                                                                                                                                                              |                                                                     | 4b |

Form 990-PF (2009)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| BARBARA BRANDT       | TRUSTEE AS NEEDED                                         |                                           |                                                                       |                                       |
| 3 MITTMAN ROAD       |                                                           |                                           |                                                                       |                                       |
| RYE, NY 10580        | 0.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
| GARY BRANDT          | TRUSTEE AS NEEDED                                         |                                           |                                                                       |                                       |
| 3 MITTMAN ROAD       |                                                           |                                           |                                                                       |                                       |
| RYE, NY 10580        | 0.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2009)





**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 2,864,531. |
| b | Average of monthly cash balances                                                                            | 1b | 234,661.   |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 3,099,192. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 3,099,192. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 46,488.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 3,052,704. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 152,635.   |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 152,635. |
| 2a | Tax on investment income for 2009 from Part VI, line 5                                                    | 2a | 714.     |
| b  | Income tax for 2009. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 714.     |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 151,921. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 151,921. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 151,921. |

**Part XII Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 311,531. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 311,531. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 714.     |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 310,817. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2009)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2008 | (c)<br>2008 | (d)<br>2009 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2009 from Part XI, line 7                                                                                                                       |               |                            |             | 151,921.    |
| <b>2</b> Undistributed income, if any, as of the end of 2009                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2008 only                                                                                                                                               |               |                            | 292,666.    |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2009:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2004                                                                                                                                                                |               |                            |             |             |
| <b>b</b> From 2005                                                                                                                                                                |               |                            |             |             |
| <b>c</b> From 2006                                                                                                                                                                |               |                            |             |             |
| <b>d</b> From 2007                                                                                                                                                                |               |                            |             |             |
| <b>e</b> From 2008                                                                                                                                                                |               |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 0.            |                            |             |             |
| <b>4</b> Qualifying distributions for 2009 from Part XII, line 4: ► \$ 311,531.                                                                                                   |               |                            |             |             |
| <b>a</b> Applied to 2008, but not more than line 2a                                                                                                                               |               |                            | 292,666.    |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2009 distributable amount                                                                                                                                     |               |                            |             | 18,865.     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 0.            |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2009 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 0.            |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2008. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2009. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2010                                                              |               |                            |             | 133,056.    |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2004 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| <b>9</b> Excess distributions carryover to 2010. Subtract lines 7 and 8 from line 6a                                                                                              | 0.            |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2005                                                                                                                                                         |               |                            |             |             |
| <b>b</b> Excess from 2006                                                                                                                                                         |               |                            |             |             |
| <b>c</b> Excess from 2007                                                                                                                                                         |               |                            |             |             |
| <b>d</b> Excess from 2008                                                                                                                                                         |               |                            |             |             |
| <b>e</b> Excess from 2009                                                                                                                                                         |               |                            |             |             |









**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                  | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | SEE SCHEDULE I   | P                                                | VARIOUS                              | VARIOUS                          |
| b                                                                                                                                    | SEE SCHEDULE I   | P                                                | VARIOUS                              | VARIOUS                          |
| c                                                                                                                                    | SEE SCHEDULE II  | P                                                | VARIOUS                              | VARIOUS                          |
| d                                                                                                                                    | SEE SCHEDULE II  | P                                                | VARIOUS                              | VARIOUS                          |
| e                                                                                                                                    | SEE SCHEDULE III | P                                                | VARIOUS                              | VARIOUS                          |
| f                                                                                                                                    | SEE SCHEDULE III | P                                                | VARIOUS                              | VARIOUS                          |
| g                                                                                                                                    | SEE SCHEDULE IV  | P                                                | VARIOUS                              | VARIOUS                          |
| h                                                                                                                                    | SEE SCHEDULE V   | P                                                | VARIOUS                              | VARIOUS                          |
| i                                                                                                                                    | SEE SCHEDULE V   | P                                                | VARIOUS                              | VARIOUS                          |
| j                                                                                                                                    | SEE SCHEDULE VI  | P                                                | VARIOUS                              | VARIOUS                          |
| k                                                                                                                                    |                  |                                                  |                                      |                                  |
| l                                                                                                                                    |                  |                                                  |                                      |                                  |
| m                                                                                                                                    |                  |                                                  |                                      |                                  |
| n                                                                                                                                    |                  |                                                  |                                      |                                  |
| o                                                                                                                                    |                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     | 404,882.                                   | 507,072.                                        | -102,190.                                    |
| b                     | 171,561.                                   | 191,976.                                        | -20,415.                                     |
| c                     | 358,060.                                   | 401,063.                                        | -43,003.                                     |
| d                     | 242,338.                                   | 261,727.                                        | -19,389.                                     |
| e                     | 443,638.                                   | 563,172.                                        | -119,534.                                    |
| f                     | 133,739.                                   | 173,351.                                        | -39,612.                                     |
| g                     | 13,957.                                    | 32,229.                                         | -18,272.                                     |
| h                     | 1,105,289.                                 | 1,140,240.                                      | -34,951.                                     |
| i                     | 129,151.                                   | 136,292.                                        | -7,141.                                      |
| j                     | 22,529.                                    | 20,695.                                         | 1,834.                                       |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                         |
| a                                                                                           |                                      |                                                 | -102,190.                                                                                               |
| b                                                                                           |                                      |                                                 | -20,415.                                                                                                |
| c                                                                                           |                                      |                                                 | -43,003.                                                                                                |
| d                                                                                           |                                      |                                                 | -19,389.                                                                                                |
| e                                                                                           |                                      |                                                 | -119,534.                                                                                               |
| f                                                                                           |                                      |                                                 | -39,612.                                                                                                |
| g                                                                                           |                                      |                                                 | -18,272.                                                                                                |
| h                                                                                           |                                      |                                                 | -34,951.                                                                                                |
| i                                                                                           |                                      |                                                 | -7,141.                                                                                                 |
| j                                                                                           |                                      |                                                 | 1,834.                                                                                                  |
| k                                                                                           |                                      |                                                 |                                                                                                         |
| l                                                                                           |                                      |                                                 |                                                                                                         |
| m                                                                                           |                                      |                                                 |                                                                                                         |
| n                                                                                           |                                      |                                                 |                                                                                                         |
| o                                                                                           |                                      |                                                 |                                                                                                         |

|   |                                                                                                                                                                                 |   |           |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-----------|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                             | 2 | -402,673. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | 3 | N/A       |

---



---

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

---

| SOURCE                                         | AMOUNT  |
|------------------------------------------------|---------|
| CITY NATIONAL BANK                             | 205.    |
| UBS FINANCIAL SERVICES-895                     | 26,731. |
| UBS FINANCIAL SERVICES-895                     | 8,111.  |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 35,047. |

---



---



---



---

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

---

| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| UBS FINANCIAL SERVICES-760       | 15,080.      | 0.                         | 15,080.              |
| UBS FINANCIAL SERVICES-763       | 10,373.      | 0.                         | 10,373.              |
| UBS FINANCIAL SERVICES-764       | 15,290.      | 0.                         | 15,290.              |
| UBS FINANCIAL SERVICES-895       | 22,663.      | 0.                         | 22,663.              |
| UBS FINANCIAL SERVICES-896       | 5,317.       | 0.                         | 5,317.               |
| TOTAL TO FM 990-PF, PART I, LN 4 | 68,723.      | 0.                         | 68,723.              |

---



---



---



---

FORM 990-PF OTHER INCOME STATEMENT 3

---

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| TAX REFUND                            | 4,156.                      | 0.                                |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 4,156.                      | 0.                                |                               |

---



---



| FORM 990-PF                 | TAXES                        |                                   |                               | STATEMENT                     | 4 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| FEDERAL EXCISE TAX          | 5,134.                       | 0.                                |                               | 0.                            |   |
| FOREIGN TAX PAID            | 1,818.                       | 1,818.                            |                               | 0.                            |   |
| OTHER TAX                   | 260.                         | 0.                                |                               | 260.                          |   |
| TO FORM 990-PF, PG 1, LN 18 | 7,212.                       | 1,818.                            |                               | 260.                          |   |

| FORM 990-PF                 | OTHER EXPENSES               |                                   |                               | STATEMENT                     | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |   |
| INVESTMENT ADVISORY FEES    | 20,162.                      | 20,162.                           |                               | 0.                            |   |
| PROFESSIONAL FEES           | 31,845.                      | 10,383.                           |                               | 21,462.                       |   |
| OFFICE SUPPLIES             | 9.                           | 0.                                |                               | 9.                            |   |
| TO FORM 990-PF, PG 1, LN 23 | 52,016.                      | 30,545.                           |                               | 21,471.                       |   |

| FORM 990-PF                                      | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS |                |            | STATEMENT            | 6 |
|--------------------------------------------------|--------------------------------------------|----------------|------------|----------------------|---|
| DESCRIPTION                                      | U.S.<br>GOV'T                              | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |   |
| SEE SCHEDULE ATTACHED                            | X                                          |                | 620,328.   | 628,581.             |   |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |                                            |                | 620,328.   | 628,581.             |   |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |                                            |                |            |                      |   |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |                                            |                | 620,328.   | 628,581.             |   |

| Barbara & Gary Brandt Family Foundation |           |            |             |           |            |          |  |
|-----------------------------------------|-----------|------------|-------------|-----------|------------|----------|--|
| Capital Gains Summary                   |           |            |             |           |            |          |  |
| Tax Year - 2009                         |           |            |             |           |            |          |  |
| UBS                                     |           |            | Sales Price | Cost      | Gain(Loss) | Schedule |  |
| WE53760                                 | Per UBS   | Short Term | 404,882     | 507,072   | (102,190)  | I        |  |
|                                         | Per UBS   | Long Term  | 171,561     | 191,976   | (20,415)   | I        |  |
|                                         |           |            | 576,443     | 699,048   | (122,605)  |          |  |
| WE53763                                 | Per UBS   | Short Term | 358,060     | 401,063   | (43,003)   | II       |  |
|                                         | Per UBS   | Long Term  | 242,338     | 261,727   | (19,389)   | II       |  |
|                                         |           |            | 600,398     | 662,790   | (62,392)   |          |  |
| WE53764                                 | Per UBS   | Short Term | 443,638     | 563,172   | (119,534)  | III      |  |
|                                         | Per UBS   | Long Term  | 133,739     | 173,351   | (39,612)   | III      |  |
|                                         | Worksheet |            | 13,957      | 32,229    | (18,272)   | IV       |  |
|                                         |           |            | 591,334     | 768,752   | (177,418)  |          |  |
| WE53895                                 | Per UBS   | Short Term | 1,105,289   | 1,140,240 | (34,951)   | V        |  |
|                                         | Per UBS   | Long Term  | 129,151     | 136,292   | (7,141)    | V        |  |
|                                         | Worksheet |            | 22,529      | 20,695    | 1,834      | VI       |  |
|                                         |           |            | 1,256,969   | 1,297,227 | (40,258)   |          |  |
| Totals-active UBS accounts              |           |            | 3,025,144   | 3,427,817 | (402,673)  |          |  |

Barbara & Gary Brandt Family Foundation  
December 31, 2009  
Capital Gain/Loss

Sch VI - UBS - A/c # WE53895

| <u>Security Description</u> | <u>Date<br/>Sold</u> | <u>Sale<br/>Proceeds</u> | <u>Total<br/>Cost</u> | <u>Gain /<br/>(Loss)</u> |
|-----------------------------|----------------------|--------------------------|-----------------------|--------------------------|
| US TSY INFL PROT NOTE       | 06/11/2009           | 22,529                   | 20,695                | 1,834                    |
| <b>Total</b>                |                      | <b>22,529</b>            | <b>20,695</b>         | <b>1,834</b>             |

**Barbara & Gary Brandt Family Foundation**

**FORM 990-PF**

**December 31, 2009**

**I.D. # 26-1396548**

**Part XV-Supplementary Information**

**Item 3-Grants and Contributions Paid During the Year**

|                                                               |              |             |              | Foundation     | Purpose     |
|---------------------------------------------------------------|--------------|-------------|--------------|----------------|-------------|
|                                                               |              |             |              | status of      | of grant or |
| Recipient                                                     | Relationship | recipient   | contribution | Amount         |             |
| American Ballet Theatre Foundation                            | None         | Pub Charity | Gen Support  | 27,000         |             |
| American Friends of Tel Aviv University                       | None         | Pub Charity | Gen Support  | 2,500          |             |
| American Society for the Prevention of the Cruelty to Animals | None         | Pub Charity | Gen Support  | 500            |             |
| Ballet Theatre Foundation                                     | None         | Pub Charity | Gen Support  | 6,750          |             |
| Birthingright Israel FDN                                      | None         | Pub Charity | Gen Support  | 3,000          |             |
| Childrens Hosp La- Ped Otolary                                | None         | Pub Charity | Gen Support  | 5,000          |             |
| Doctors Without Borders                                       | None         | Pub Charity | Gen Support  | 1,000          |             |
| Fisher House Foundation Inc                                   | None         | Pub Charity | Gen Support  | 40,000         |             |
| Greenwich Hospital                                            | None         | Pub Charity | Gen Support  | 1,000          |             |
| I Have A Dream Fdn-NY                                         | None         | Pub Charity | Gen Support  | 1,000          |             |
| Maccabi World Union                                           | None         | Pub Charity | Gen Support  | 4,000          |             |
| New York City Center                                          | None         | Pub Charity | Gen Support  | 39,250         |             |
| New York University                                           | None         | Pub Charity | Gen Support  | 2,500          |             |
| Parsons Dance                                                 | None         | Pub Charity | Gen Support  | 500            |             |
| Temple Emanu-El                                               | None         | Pub Charity | Gen Support  | 3,000          |             |
| The Julliard School                                           | None         | Pub Charity | Gen Support  | 50,000         |             |
| UJA Federation                                                | None         | Pub Charity | Gen Support  | 20,000         |             |
| USA Cares                                                     | None         | Pub Charity | Gen Support  | 30,000         |             |
| Westchester Children Museum                                   | None         | Pub Charity | Gen Support  | 650            |             |
| Westchester Shore Humane Soc                                  | None         | Pub Charity | Gen Support  | 1,000          |             |
| White Plains Hospital                                         | None         | Pub Charity | Gen Support  | 1,000          |             |
| Works & Process @ Guuggenheim                                 | None         | Pub Charity | Gen Support  | 500            |             |
| Youth America Grand Pnx                                       | None         | Pub Charity | Gen Support  | 48,300         |             |
| Various Organizations - Charities of \$250 and under          | None         | Pub Charity | Gen Support  | 1,350          |             |
|                                                               |              |             |              |                |             |
|                                                               |              |             |              | <b>289,800</b> |             |

| THE BARBARA & GARY BRANDT FAMILY FOUNDATION                 |                                       |  |  |  |                |                 |
|-------------------------------------------------------------|---------------------------------------|--|--|--|----------------|-----------------|
| FORM 990-PF                                                 |                                       |  |  |  |                |                 |
| DECEMBER 31, 2009                                           |                                       |  |  |  |                |                 |
| EIN #26-1396548                                             |                                       |  |  |  |                |                 |
| STATEMENT - 6                                               |                                       |  |  |  |                |                 |
| PART II- BALANCE SHEET-Line 10(a)-US Government Obligations |                                       |  |  |  |                |                 |
|                                                             | NAME                                  |  |  |  | COST           | MARKET<br>VALUE |
| 12,000                                                      | FNMA 7 125% 6/15/10                   |  |  |  | 12,776         | 12,375          |
| 20,000                                                      | US Treasury Prot Note 3 5% 1/15/11    |  |  |  | 24,537         | 25,767          |
| 6,000                                                       | US Treasury Note 5% 2/15/11           |  |  |  | 6,104          | 6,288           |
| 17,000                                                      | US Treasury Prot Note 3% 7/15/12      |  |  |  | 17,000         | 21,975          |
| 40,000                                                      | FHLMC 1 7500% 01/14/11                |  |  |  | 39,960         | 40,014          |
| 150,000                                                     | TINT TRSY Int Pymt 02/15/11           |  |  |  | 149,454        | 148,854         |
| 25,000                                                      | FFCB Call Bond 2 2500% 1/12/12        |  |  |  | 25,051         | 25,008          |
| 10,000                                                      | FFCB Call Bond 2 3700% 3/2/12         |  |  |  | 10,050         | 10,028          |
| 20,000                                                      | US Treasury Prot Note 2 0000% 4/15/12 |  |  |  | 20,891         | 22,265          |
| 41,000                                                      | FHLMC 5 1250% 07/15/12                |  |  |  | 41,953         | 44,536          |
| 6,000                                                       | US Treasury Note 4 375% 8/15/12       |  |  |  | 6,003          | 6,455           |
| 40,000                                                      | FHLB Bond 3.7000% 3/26/13             |  |  |  | 40,420         | 40,312          |
| 25,000                                                      | TVA 6% 3/15/13                        |  |  |  | 26,830         | 27,973          |
| 25,000                                                      | FHLB Bond 2.2500% 4/09/13             |  |  |  | 25,076         | 24,945          |
| 25,000                                                      | FFCB Call Bond 4 3000% 6/10/13        |  |  |  | 25,806         | 25,445          |
| 25,000                                                      | FNMA Call Bond 3.3750% 3/10/14        |  |  |  | 25,269         | 25,133          |
| 25,000                                                      | FNMA NTS 3 6250% 7/14/14              |  |  |  | 25,175         | 25,024          |
| 22,000                                                      | FFCB Call Bond 4.600% 5/6/15          |  |  |  | 22,530         | 22,248          |
| 20,000                                                      | FNMA Collable NTS 5 5500% 2/16/17     |  |  |  | 20,706         | 20,119          |
| 16,000                                                      | US Treasury Bond 10 625% 8/15/15      |  |  |  | 24,489         | 22,470          |
| 20,000                                                      | FHLB Bond 5 125% 3/10/17              |  |  |  | 20,140         | 21,519          |
| 7,000                                                       | US Treasury Bond 9% 11/15/18          |  |  |  | 10,109         | 9,828           |
|                                                             |                                       |  |  |  | <b>620,328</b> | <b>628,581</b>  |

FORM 990-PF

CORPORATE STOCK

STATEMENT 7

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| SEE SCHEDULE ATTACHED                   | 2,468,443. | 2,647,279.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,468,443. | 2,647,279.           |

| THE BARBARA & GARY BRANDT FAMILY FOUNDATION       |                               |  |  |  |        |                 |
|---------------------------------------------------|-------------------------------|--|--|--|--------|-----------------|
| FORM 990-PF                                       |                               |  |  |  |        |                 |
| DECEMBER 31, 2009                                 |                               |  |  |  |        |                 |
| EIN #26-1396548                                   |                               |  |  |  |        |                 |
| STATEMENT - 7                                     |                               |  |  |  |        |                 |
| PART II- BALANCE SHEET-Line 10(b)-Corporate Stock |                               |  |  |  |        |                 |
| SHARES                                            | NAME                          |  |  |  | COST   | MARKET<br>VALUE |
| 525                                               | Abb Ltd Spon ADR              |  |  |  | 8,135  | 10,028          |
| 131                                               | Abbott Laboratories           |  |  |  | 6,206  | 7,073           |
| 340                                               | Abbott Labs                   |  |  |  | 16,838 | 18,357          |
| 56                                                | Acciona SA                    |  |  |  | 6,848  | 7,386           |
| 44                                                | Ace Ltd                       |  |  |  | 2,538  | 2,218           |
| 172                                               | Actelion Ltd                  |  |  |  | 8,866  | 9,184           |
| 172                                               | Adobe Systems Inc             |  |  |  | 5,965  | 6,326           |
| 292                                               | Air Liquide ADR               |  |  |  | 7,035  | 6,957           |
| 50                                                | Air Prodduct & Chemical Inc   |  |  |  | 3,014  | 4,053           |
| 147                                               | Alstom Shs Prov Regroupment   |  |  |  | 10,638 | 10,347          |
| 98                                                | Amazon Com Inc                |  |  |  | 10,172 | 13,183          |
| 202                                               | Amer Ele Power Co.            |  |  |  | 5,367  | 7,028           |
| 233                                               | Amer Express Co               |  |  |  | 7,678  | 9,442           |
| 221                                               | Amer Express Co.              |  |  |  | 7,615  | 8,955           |
| 242                                               | Amer Tower Corp               |  |  |  | 8,644  | 10,457          |
| 82                                                | Amgen Inc.                    |  |  |  | 5,144  | 4,639           |
| 386                                               | AMP Ltd Adr                   |  |  |  | 8,537  | 9,401           |
| 194                                               | Andarko Petroleum             |  |  |  | 10,620 | 12,109          |
| 1,053                                             | Anglo American PLC            |  |  |  | 20,222 | 23,049          |
| 102                                               | Apache Corp                   |  |  |  | 9,765  | 10,523          |
| 144                                               | Apple Inc                     |  |  |  | 19,647 | 30,345          |
| 132                                               | Apple Inc.                    |  |  |  | 17,690 | 27,817          |
| 204                                               | Applied Materials Inc.        |  |  |  | 2,821  | 2,844           |
| 219                                               | Arcelormittal SA NY Reg       |  |  |  | 8,126  | 10,019          |
| 409                                               | AT&T                          |  |  |  | 12,639 | 11,464          |
| 63                                                | Avalonbay Communities Inc     |  |  |  | 3,188  | 5,173           |
| 407                                               | BAE Systems PLC               |  |  |  | 9,998  | 9,451           |
| 38                                                | Baidu Inc ADS Rep CI A        |  |  |  | 15,021 | 15,627          |
| 944                                               | Banco Santander Brasil ADS    |  |  |  | 12,726 | 13,159          |
| 109                                               | Banco Santander Chile ADR     |  |  |  | 6,425  | 7,061           |
| 765                                               | Bank of America Corp          |  |  |  | 9,667  | 11,521          |
| 20,300                                            | Bank of Ayudhya PCL NVDR      |  |  |  | 12,049 | 14,109          |
| 234                                               | Bank Sarasin & CIE            |  |  |  | 6,776  | 8,932           |
| 163                                               | Barrick Gold Corp             |  |  |  | 5,787  | 6,419           |
| 146                                               | Bayer AG                      |  |  |  | 10,696 | 11,770          |
| 206                                               | Belle Intl HLDGS Ltd ADR      |  |  |  | 12,361 | 12,154          |
| 232                                               | Best Buy Co                   |  |  |  | 9,124  | 9,155           |
| 119                                               | BG Group PLC                  |  |  |  | 10,400 | 10,781          |
| 85                                                | BHP Billiton Ltd              |  |  |  | 3,601  | 6,509           |
| 238                                               | BHP Billiton Plc New Spon Adr |  |  |  | 10,386 | 15,196          |
| 476                                               | Bilfinger Berger AG ADR       |  |  |  | 6,749  | 7,354           |
| 244                                               | BNP Paribas SA ADR            |  |  |  | 9,943  | 9,785           |
| 66                                                | Boston Properties Inc         |  |  |  | 3,059  | 4,427           |
| 329                                               | Boston Scientific Corp        |  |  |  | 2,361  | 2,961           |
| 166                                               | Bristol Myers Squibb Co       |  |  |  | 3,550  | 4,192           |
| 270                                               | British American Tobacco      |  |  |  | 16,277 | 17,458          |

| THE BARBARA & GARY BRANDT FAMILY FOUNDATION       |                                 |  |  |        |                 |  |
|---------------------------------------------------|---------------------------------|--|--|--------|-----------------|--|
| FORM 990-PF                                       |                                 |  |  |        |                 |  |
| DECEMBER 31, 2009                                 |                                 |  |  |        |                 |  |
| EIN #26-1396548                                   |                                 |  |  |        |                 |  |
| STATEMENT - 7                                     |                                 |  |  |        |                 |  |
| PART II- BALANCE SHEET-Line 10(b)-Corporate Stock |                                 |  |  |        |                 |  |
| SHARES                                            | NAME                            |  |  | COST   | MARKET<br>VALUE |  |
| 613                                               | Bunzl PLC Ord GBP               |  |  | 5,832  | 6,682           |  |
| 188                                               | Canon inc.                      |  |  | 7,486  | 7,956           |  |
| 96                                                | Capital One Funcl Corp          |  |  | 1,628  | 3,681           |  |
| 138                                               | Carnival Corp New               |  |  | 3,742  | 4,373           |  |
| 186                                               | Carnival Corp New               |  |  | 6,117  | 5,894           |  |
| 72                                                | Caterpillar Inc                 |  |  | 4,323  | 4,103           |  |
| 385                                               | Centrica PLC New                |  |  | 6,413  | 6,990           |  |
| 158                                               | Chevron Corp                    |  |  | 10,754 | 12,164          |  |
| 142                                               | Cisco systems                   |  |  | 2,246  | 3,399           |  |
| 55                                                | Covidien Plc                    |  |  | 1,978  | 2,634           |  |
| 213                                               | Credit Suisse Group             |  |  | 10,865 | 10,471          |  |
| 1,750                                             | Deutsche Bank Cap Tr V          |  |  | 36,115 | 44,083          |  |
| 836                                               | Deutsche Telekom AG             |  |  | 11,592 | 12,289          |  |
| 1,129                                             | Dow Chemical                    |  |  | 26,696 | 31,194          |  |
| 70                                                | Emerson Electric                |  |  | 2,156  | 2,982           |  |
| 107                                               | EOG Resources Inc               |  |  | 9,443  | 10,411          |  |
| 174                                               | Exelon Corp.                    |  |  | 10,208 | 8,503           |  |
| 162                                               | Exxon Mobil Corp                |  |  | 9,444  | 11,047          |  |
| 336                                               | Familymart Co Ltd               |  |  | 10,261 | 10,019          |  |
| 233                                               | Fifth Third Bancorp             |  |  | 2,402  | 2,272           |  |
| 79                                                | Freeport McMoran Copper & Gold  |  |  | 4,908  | 6,343           |  |
| 168                                               | Fresenius Medical Care AG       |  |  | 9,089  | 8,906           |  |
| 600                                               | Fuji Mach MFG Co Ltd.           |  |  | 7,720  | 7,350           |  |
| 124                                               | General Dynamics                |  |  | 8,687  | 8,453           |  |
| 298                                               | General Dynamics                |  |  | 22,806 | 20,315          |  |
| 381                                               | General Ele Co                  |  |  | 5,555  | 5,765           |  |
| 278                                               | Gilead Sciences                 |  |  | 13,092 | 12,029          |  |
| 355                                               | Glaxo Smithkline                |  |  | 13,149 | 14,999          |  |
| 871                                               | Goldfields Ltd Spon             |  |  | 11,434 | 11,422          |  |
| 250                                               | Goldman Sachs BK UT US          |  |  | 25,190 | 25,758          |  |
| 500                                               | Goldman Sachs GR Inc            |  |  | 52,770 | 52,893          |  |
| 62                                                | Goldman Sachs Group             |  |  | 7,539  | 10,468          |  |
| 55                                                | Goldman Sachs Group             |  |  | 13,306 | 19,079          |  |
| 25                                                | Google Inc.                     |  |  | 21,141 | 34,099          |  |
| 105                                               | Google, Inc                     |  |  | 47,901 | 65,098          |  |
| 231                                               | Halliburton Co                  |  |  | 7,133  | 6,951           |  |
| 169                                               | Hess Corp                       |  |  | 9,359  | 10,225          |  |
| 200                                               | Hewlett Packard                 |  |  | 8,644  | 10,302          |  |
| 415                                               | Honda Motor Co ADR Japan ADR    |  |  | 13,408 | 14,069          |  |
| 211                                               | HSBC Holdings PLC               |  |  | 10,136 | 12,046          |  |
| 157                                               | HSBC Holdings PLC               |  |  | 8,433  | 8,963           |  |
| 583                                               | Icap PLC                        |  |  | 7,902  | 8,097           |  |
| 92                                                | Initedhealth Group Inc.         |  |  | 2,365  | 2,804           |  |
| 131                                               | Inpex Corp ADR                  |  |  | 10,141 | 9,864           |  |
| 198                                               | Intel Corp                      |  |  | 3,891  | 4,039           |  |
| 57                                                | International Business Machines |  |  | 6,989  | 7,461           |  |



| THE BARBARA & GARY BRANDT FAMILY FOUNDATION       |                                 |  |  |  |         |                 |
|---------------------------------------------------|---------------------------------|--|--|--|---------|-----------------|
| FORM 990-PF                                       |                                 |  |  |  |         |                 |
| DECEMBER 31, 2009                                 |                                 |  |  |  |         |                 |
| EIN #26-1396548                                   |                                 |  |  |  |         |                 |
| STATEMENT - 7                                     |                                 |  |  |  |         |                 |
| PART II- BALANCE SHEET-Line 10(b)-Corporate Stock |                                 |  |  |  |         |                 |
| SHARES                                            | NAME                            |  |  |  | COST    | MARKET<br>VALUE |
| 202                                               | Intl Business Mach              |  |  |  | 21,844  | 26,442          |
| 111                                               | Invesco Ltd                     |  |  |  | 1,662   | 2,607           |
| 1,500                                             | Ishares Barclays Tips Bond      |  |  |  | 156,665 | 155,850         |
| 940                                               | Ishares Barclays Tips Bond      |  |  |  | 100,952 | 97,666          |
| 3,515                                             | Ishares Inc MSCI Japan Index FD |  |  |  | 37,042  | 34,236          |
| 439                                               | J P Morgan Chase & Co           |  |  |  | 12,834  | 18,293          |
| 307                                               | Jardine Matheson HD             |  |  |  | 9,316   | 9,265           |
| 245                                               | Johnson & Johnson               |  |  |  | 15,229  | 15,780          |
| 192                                               | Johnson Controls, Inc.          |  |  |  | 5,446   | 5,230           |
| 389                                               | JP Morgan Chase                 |  |  |  | 16,312  | 16,210          |
| 297                                               | JPMorgan Chase                  |  |  |  | 10,107  | 12,376          |
| 56                                                | Kellogg Co                      |  |  |  | 2,943   | 2,979           |
| 420                                               | Kirin Holdings LTD Spon ADR     |  |  |  | 6,425   | 6,722           |
| 493                                               | KoninkLuke Ahold NV             |  |  |  | 5,861   | 6,550           |
| 340                                               | L M Ericsson Telephone Co       |  |  |  | 3,249   | 3,125           |
| 116                                               | Lincoln Natl Corp Ind           |  |  |  | 2,605   | 2,886           |
| 65                                                | Lonza Group                     |  |  |  | 6,840   | 4,544           |
| 78                                                | Mastercard Inc                  |  |  |  | 15,653  | 19,966          |
| 25                                                | Mastercard Inc CL A             |  |  |  | 4,452   | 6,400           |
| 425                                               | McDonalds Corp                  |  |  |  | 24,365  | 26,537          |
| 150                                               | McDonalds Corp                  |  |  |  | 8,186   | 9,366           |
| 182                                               | Merck & Co.                     |  |  |  | 5,563   | 6,650           |
| 421                                               | Merck & Co Inc New Com          |  |  |  | 14,159  | 15,383          |
| 127                                               | Metlife Inc                     |  |  |  | 3,652   | 4,489           |
| 197                                               | Microsoft Corp                  |  |  |  | 4,198   | 6,005           |
| 140                                               | Millicom Intl Cellular          |  |  |  | 11,035  | 10,328          |
| 288                                               | Mitsubishi Corp                 |  |  |  | 14,589  | 14,261          |
| 250                                               | Morgan Stanley Dean Witt        |  |  |  | 24,759  | 27,200          |
| 3,800                                             | National Bank Greece SA         |  |  |  | 96,452  | 81,700          |
| 162                                               | Nestle SA                       |  |  |  | 7,196   | 7,867           |
| 462                                               | Nestle SA                       |  |  |  | 21,064  | 22,435          |
| 1,396                                             | New World Development Ltd Spon  |  |  |  | 5,667   | 5,747           |
| 410                                               | Newcrest Mining                 |  |  |  | 10,551  | 13,027          |
| 89                                                | Nike Inc                        |  |  |  | 4,324   | 5,880           |
| 234                                               | Nike Inc.                       |  |  |  | 12,944  | 15,460          |
| 269                                               | Ninetendo Ltd ADR New Japan ADR |  |  |  | 8,646   | 7,961           |
| 224                                               | Nippon Steel Corp Unspon ADR    |  |  |  | 8,876   | 9,023           |
| 310                                               | Nippon Teleg & Tel Corp         |  |  |  | 6,584   | 6,119           |
| 865                                               | Nissan Mtr Ltd                  |  |  |  | 11,350  | 15,053          |
| 2,924                                             | Nomura Holdings                 |  |  |  | 24,058  | 21,638          |
| 92                                                | Nordstrom Inc                   |  |  |  | 3,159   | 3,457           |
| 244                                               | Norfolk Southern                |  |  |  | 13,533  | 12,790          |
| 433                                               | Novartis AG                     |  |  |  | 23,330  | 23,568          |
| 64                                                | NTHN Trust Corp                 |  |  |  | 3,046   | 3,354           |
| 922                                               | NTT Docomo                      |  |  |  | 13,655  | 12,862          |
| 148                                               | Occidental Petroleum            |  |  |  | 10,924  | 12,039          |

| THE BARBARA & GARY BRANDT FAMILY FOUNDATION       |                                     |  |  |        |                 |  |
|---------------------------------------------------|-------------------------------------|--|--|--------|-----------------|--|
| FORM 990-PF                                       |                                     |  |  |        |                 |  |
| DECEMBER 31, 2009                                 |                                     |  |  |        |                 |  |
| EIN #26-1396548                                   |                                     |  |  |        |                 |  |
| STATEMENT - 7                                     |                                     |  |  |        |                 |  |
| PART II- BALANCE SHEET-Line 10(b)-Corporate Stock |                                     |  |  |        |                 |  |
| SHARES                                            | NAME                                |  |  | COST   | MARKET<br>VALUE |  |
| 106                                               | Oracle Corp                         |  |  | 1,944  | 2,600           |  |
| 62                                                | Paccar Inc                          |  |  | 2,341  | 2,249           |  |
| 664                                               | Panasonic Corp Spon ADR             |  |  | 9,467  | 9,528           |  |
| 88                                                | Pepsico Inc                         |  |  | 4,368  | 5,350           |  |
| 411                                               | Petroleo Brasileiro SA              |  |  | 13,759 | 19,596          |  |
| 130                                               | Petroleo Brasileiro SA              |  |  | 4,614  | 6,198           |  |
| 542                                               | Pfizer                              |  |  | 9,415  | 9,859           |  |
| 170                                               | PG&E Corp                           |  |  | 7,269  | 7,591           |  |
| 225                                               | PNC Financial Services              |  |  | 9,649  | 11,878          |  |
| 58                                                | Potash Corp Sask Inc Canada         |  |  | 5,603  | 6,293           |  |
| 72                                                | Potash Corp Sask Inc Canada         |  |  | 7,409  | 7,812           |  |
| 129                                               | PPG Industries Inc.                 |  |  | 7,156  | 7,552           |  |
| 90                                                | Praxair Inc                         |  |  | 13,835 | 12,287          |  |
| 21                                                | Priceline com Inc                   |  |  | 4,564  | 4,587           |  |
| 2,000                                             | Provident Energy Trust Unit         |  |  | 41,580 | 13,440          |  |
| 193                                               | Prudential Financial Inc            |  |  | 8,313  | 9,604           |  |
| 250                                               | Prudential Financial Inc            |  |  | 5,924  | 6,638           |  |
| 475                                               | QBE Insurance Group                 |  |  | 9,361  | 11,120          |  |
| 496                                               | Qualcomm                            |  |  | 22,600 | 22,945          |  |
| 548                                               | Roche Holding                       |  |  | 22,422 | 23,298          |  |
| 1,540                                             | Rossi Residencial S A               |  |  | 6,303  | 6,759           |  |
| 648                                               | Santos Ltd. Ord                     |  |  | 7,759  | 8,211           |  |
| 93                                                | Secom Ltd                           |  |  | 8,138  | 8,831           |  |
| 148                                               | Smith & Nephew PLC                  |  |  | 7,656  | 7,585           |  |
| 260                                               | Sony Corp                           |  |  | 7,607  | 7,540           |  |
| 149                                               | Southern Co                         |  |  | 4,948  | 4,965           |  |
| 247                                               | Standard Chartered PLC              |  |  | 3,863  | 6,202           |  |
| 301                                               | Staples, Inc                        |  |  | 6,577  | 7,402           |  |
| 129                                               | Starwood Hotels & Resorts Worldwide |  |  | 2,730  | 4,718           |  |
| 28                                                | Strabag Bau AG                      |  |  | 8,403  | 6,160           |  |
| 3,404                                             | Sumitomo Mitsui Financial Gr        |  |  | 11,534 | 9,670           |  |
| 130                                               | Syngenta AG                         |  |  | 7,341  | 7,315           |  |
| 125                                               | Target Corp.                        |  |  | 5,188  | 6,046           |  |
| 377                                               | Tele Norte Leste Participacoes SA   |  |  | 7,360  | 8,075           |  |
| 320                                               | Tesco PLC                           |  |  | 6,431  | 6,635           |  |
| 215                                               | Thales Spons Ord                    |  |  | 10,633 | 11,088          |  |
| 104                                               | The Direct TV Gr CLA                |  |  | 2,584  | 3,468           |  |
| 65                                                | Thermo Fisher Scientific            |  |  | 1,986  | 3,100           |  |
| 98                                                | TJX Companies                       |  |  | 2,513  | 3,582           |  |
| 361                                               | Toshiba Corp                        |  |  | 10,749 | 11,889          |  |
| 131                                               | Total SA France Spon ADR            |  |  | 6,417  | 8,389           |  |
| 237                                               | Total SA France Spon ADR            |  |  | 13,706 | 15,177          |  |
| 56                                                | Transocean Ltd                      |  |  | 4,229  | 4,637           |  |
| 323                                               | Transocean Ltd                      |  |  | 24,322 | 26,744          |  |
| 46                                                | Travelers Cos.                      |  |  | 2,016  | 2,294           |  |
| 68                                                | Tyco Intl Ltd                       |  |  | 2,338  | 2,426           |  |

| THE BARBARA & GARY BRANDT FAMILY FOUNDATION       |                              |  |  |           |              |  |
|---------------------------------------------------|------------------------------|--|--|-----------|--------------|--|
| FORM 990-PF                                       |                              |  |  |           |              |  |
| DECEMBER 31, 2009                                 |                              |  |  |           |              |  |
| EIN #26-1396548                                   |                              |  |  |           |              |  |
| STATEMENT - 7                                     |                              |  |  |           |              |  |
| PART II- BALANCE SHEET-Line 10(b)-Corporate Stock |                              |  |  |           |              |  |
| SHARES                                            | NAME                         |  |  | COST      | MARKET VALUE |  |
| 110,855                                           | UBS Credit Recovery Fund LLC |  |  | 100,750   | 110,855      |  |
| 528                                               | Ultra Ele Hldgs Plc          |  |  | 5,253     | 5,862        |  |
| 351                                               | Unilever NV                  |  |  | 10,266    | 11,348       |  |
| 98                                                | Union Pacific Corp.          |  |  | 6,175     | 6,262        |  |
| 397                                               | Union Pacific Corp.          |  |  | 28,428    | 25,368       |  |
| 78                                                | United States Steel Corp New |  |  | 3,071     | 4,299        |  |
| 154                                               | United Technologies Corp.    |  |  | 9,402     | 10,689       |  |
| 162                                               | US Bancorp                   |  |  | 3,138     | 3,647        |  |
| 523                                               | US Bancorp                   |  |  | 7,923     | 11,773       |  |
| 281                                               | Verizon Communications       |  |  | 9,873     | 9,310        |  |
| 8                                                 | Verwaltungs und Private Bank |  |  | 2,062     | 759          |  |
| 209                                               | Visa Inc                     |  |  | 12,441    | 18,279       |  |
| 897                                               | Vodafone Group               |  |  | 20,645    | 20,712       |  |
| 56                                                | Vornado Realty Trust         |  |  | 3,472     | 3,917        |  |
| 75                                                | Wal-Mart Stores              |  |  | 4,364     | 4,009        |  |
| 225                                               | Waste Management             |  |  | 5,765     | 7,607        |  |
| 446                                               | Wells Fargo & Co.            |  |  | 8,484     | 12,038       |  |
| 864                                               | Wells Fargo & Co.            |  |  | 22,669    | 23,319       |  |
| 175                                               | Wells Fargo & Co New         |  |  | 3,911     | 4,498        |  |
| 2,741                                             | White Energy Co Ltd          |  |  | 6,129     | 5,915        |  |
| 92                                                | XTO Energy                   |  |  | 3,511     | 4,281        |  |
| 337                                               | Yahoo Inc                    |  |  | 5,861     | 5,655        |  |
| 66                                                | Yahoo Japan Corp             |  |  | 7,138     | 6,572        |  |
| 379                                               | Zurich Financial Services    |  |  | 8,183     | 8,304        |  |
|                                                   | Totals                       |  |  | 2,468,443 | 2,647,279    |  |

Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 21 of 31 UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001489-X

### 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different. Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                       | Purchase      | Sale          | Gains        | Losses         | Net            |
|-----------------------|---------------|---------------|--------------|----------------|----------------|
|                       |               |               |              |                | Gain/Loss      |
| Short-term Gain/Loss: | \$ 507,071.76 | \$ 404,882.18 | \$ 31,587.92 | \$ -133,777.50 | \$ -102,189.58 |
| Long-term Gain/Loss:  | 191,975.95    | 171,560.75    | 8,196.91     | -26,612.11     | -20,415.20     |
| Sub Total:            | \$ 699,047.71 | \$ 576,442.93 | \$ 37,784.83 | \$ -160,389.61 | \$ -122,604.78 |
| Total:                | \$ 699,047.71 | \$ 576,442.93 | \$ 37,784.83 | \$ -160,389.61 | \$ -122,604.78 |

### Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ACE LTD                 | Trade         | 86,000                  |                        | 09/18/08                       | 04/24/09     | 3,728.25    | 4,961.44               | -1,233.19 |         | Y                 |
|                         | Trade         | 31,000                  |                        | 09/18/08                       | 06/11/09     | 1,414.82    | 1,788.43               | -373.61   |         | Y                 |
| AETNA INC               | Trade         | 46,000                  |                        | 07/08/08                       | 03/11/09     | 994.12      | 1,697.71               | -703.59   |         | Y                 |
|                         | Trade         | 102,000                 |                        | 07/08/08                       | 05/06/09     | 2,413.41    | 3,764.48               | -1,351.07 |         | Y                 |
|                         | Trade         | 18,000                  |                        | 07/17/08                       | 05/06/09     | 425.90      | 633.58                 | -207.66   |         | Y                 |
| AFLAC INC               | Trade         | 84,000                  |                        | 09/12/08                       | 01/26/09     | 1,845.20    | 4,911.94               | -3,066.74 |         | Y                 |
|                         | Trade         | 95,000                  |                        | 09/18/08                       | 01/26/09     | 2,086.83    | 5,436.79               | -3,349.96 |         | Y                 |
|                         | Trade         | 100,000                 |                        | 11/03/08                       | 01/26/09     | 2,198.67    | 4,453.24               | -2,256.57 |         | Y                 |
| AIR PROD & CHEMICAL INC | Trade         | 34,000                  |                        | 10/07/08                       | 06/11/09     | 2,347.63    | 2,047.78               | 299.85    |         | Y                 |

Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 22 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

CJ2G001470-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description             | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AIR PROD & CHEMICAL INC          | Trade         | 22,000                  |                        | 10/07/08                       | 09/25/09     | 1,685.96    | 1,325.03               | 360.93    |         | Y                 |
| AMER ELECTRIC POWER CO           | Trade         | 97,000                  |                        | 04/17/09                       | 09/25/09     | 3,014.03    | 2,641.04               | 372.99    |         | Y                 |
| AMER EXPRESS CO                  | Trade         | 43,000                  |                        | 08/25/09                       | 09/25/09     | 1,418.96    | 1,411.38               | 7.58      |         | Y                 |
| APACHE CORP                      | Trade         | 29,000                  |                        | 07/08/08                       | 01/14/09     | 2,103.75    | 3,509.87               | -1,406.12 |         | Y                 |
| APPLIED MATERIALS INC            | Trade         | 88,000                  |                        | 09/09/09                       | 09/25/09     | 1,154.56    | 1,216.87               | -62.31    |         | Y                 |
| AT&T INC                         | Trade         | 93,000                  |                        | 07/08/08                       | 05/19/09     | 2,305.80    | 3,041.47               | -735.67   |         | Y                 |
| AVALONBAY COMMUNITIES<br>INC SBI | Trade         | 44,000                  |                        | 01/14/09                       | 09/25/09     | 3,166.15    | 2,306.68               | 859.47    |         | Y                 |
| BANK OF AMER CORP                | Trade         | 224,000                 |                        | 07/29/08                       | 01/14/09     | 2,304.03    | 6,357.12               | -4,053.09 |         | Y                 |
|                                  | Trade         | 123,000                 |                        | 08/13/08                       | 01/14/09     | 1,265.16    | 3,565.27               | -2,300.11 |         | Y                 |
|                                  | Trade         | 185,000                 |                        | 09/03/08                       | 01/14/09     | 1,902.88    | 6,102.47               | -4,199.59 |         | Y                 |
|                                  | Trade         | 99,000                  |                        | 10/20/08                       | 01/14/09     | 1,018.30    | 2,376.49               | -1,358.19 |         | Y                 |
|                                  | Trade         | 264,000                 |                        | 05/06/09                       | 09/25/09     | 4,395.51    | 3,162.72               | 1,232.79  |         | Y                 |
| BHP BILLITON LTD SPON<br>ADR     | Trade         | 36,000                  |                        | 12/22/08                       | 09/25/09     | 2,306.58    | 1,408.20               | 898.38    |         | Y                 |
| BIOMGEN IDEC INC                 | Trade         | 57,000                  |                        | 01/26/09                       | 08/05/09     | 2,711.00    | 2,778.23               | -67.23    |         | Y                 |
|                                  | Trade         | 34,000                  |                        | 04/24/09                       | 08/05/09     | 1,617.08    | 1,582.90               | 34.18     |         | Y                 |
| BOSTON PROPERTIES INC            | Trade         | 33,000                  |                        | 11/21/08                       | 09/25/09     | 2,160.78    | 1,426.70               | 734.08    |         | Y                 |
| BOSTON SCIENTIFIC CORP           | Trade         | 248,000                 |                        | 12/22/08                       | 09/25/09     | 2,569.88    | 1,835.75               | 734.13    |         | Y                 |
| BRISTOL MYERS SQUIBB CO          | Trade         | 79,000                  |                        | 04/01/09                       | 09/25/09     | 1,771.16    | 1,689.68               | 81.48     |         | Y                 |
| CAPITAL ONE FINCL CORP           | Trade         | 100,000                 |                        | 04/01/09                       | 06/11/09     | 2,468.15    | 1,276.20               | 1,191.95  |         | Y                 |
|                                  | Trade         | 71,000                  |                        | 04/01/09                       | 06/18/09     | 1,582.92    | 906.10                 | 676.82    |         | Y                 |
|                                  | Trade         | 60,000                  |                        | 04/01/09                       | 09/25/09     | 2,108.67    | 765.72                 | 1,342.95  |         | Y                 |
|                                  | Trade         | 31,000                  |                        | 04/24/09                       | 09/25/09     | 1,089.48    | 525.69                 | 563.79    |         | Y                 |

Continued on page 23

2009 INFO / WE 53760 WN



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 23 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001471-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| CARNIVAL CORP NEW<br>(PAIRED STOCK) | Trade         | 55,000                  |                        | 07/10/09                       | 09/25/09     | 1,822.83    | 1,352.51               | 470.32    |         | Y                 |
| CATERPILLAR INC                     | Trade         | 120,000                 |                        | 07/29/08                       | 02/05/09     | 3,781.88    | 8,517.07               | -4,735.19 |         | Y                 |
| CHEVRON CORP                        | Trade         | 65,000                  |                        | 10/28/08                       | 09/25/09     | 4,593.59    | 4,444.79               | 148.80    |         | Y                 |
| CHUBB CORP                          | Trade         | 251,000                 |                        | 07/08/08                       | 04/24/09     | 10,242.16   | 12,100.28              | -1,858.12 |         | Y                 |
|                                     | Trade         | 27,000                  |                        | 03/24/09                       | 04/24/09     | 1,101.75    | 1,121.32               | -19.57    |         | Y                 |
| CISCO SYSTEMS INC                   | Trade         | 64,000                  |                        | 11/21/08                       | 09/25/09     | 1,448.44    | 934.98                 | 513.46    |         | Y                 |
| CLOROX CO                           | Trade         | 55,000                  |                        | 02/26/09                       | 05/19/09     | 2,884.72    | 2,684.64               | 200.08    |         | Y                 |
| COMCAST CORP NEW CL A               | Trade         | 144,000                 |                        | 07/08/08                       | 04/24/09     | 1,972.90    | 2,688.67               | -715.77   |         | Y                 |
|                                     | Trade         | 299,000                 |                        | 07/08/08                       | 05/19/09     | 4,511.13    | 5,582.72               | -1,071.59 |         | Y                 |
|                                     | Trade         | 116,000                 |                        | 10/20/08                       | 08/25/09     | 1,764.64    | 1,830.57               | -65.93    |         | Y                 |
|                                     | Trade         | 228,000                 |                        | 02/26/09                       | 08/25/09     | 3,438.00    | 3,145.28               | 292.74    |         | Y                 |
| CONOCOPHILLIPS                      | Trade         | 195,000                 |                        | 07/08/08                       | 03/24/09     | 7,763.45    | 17,296.50              | -9,533.05 |         | Y                 |
|                                     | Trade         | 39,000                  |                        | 11/21/08                       | 03/24/09     | 1,552.69    | 1,707.86               | -155.17   |         | Y                 |
|                                     | Trade         | 53,000                  |                        | 12/16/08                       | 03/24/09     | 2,110.06    | 2,781.84               | -671.78   |         | Y                 |
| COVIDIEN PLC                        | Trade         | 38,000                  |                        | 01/14/09                       | 09/25/09     | 1,482.08    | 1,294.83               | 187.25    |         | Y                 |
|                                     | Trade         | 45,000                  |                        | 01/14/09                       | 10/27/09     | 1,938.65    | 1,618.53               | 320.12    |         | Y                 |
| CVS CAREMARK CORP                   | Trade         | 25,000                  |                        | 07/08/08                       | 04/24/09     | 744.47      | 963.20                 | -218.73   |         | Y                 |
|                                     | Trade         | 20,000                  |                        | 07/08/08                       | 06/11/09     | 606.78      | 770.56                 | -163.78   |         | Y                 |
|                                     | Trade         | 67,000                  |                        | 01/28/09                       | 10/27/09     | 2,430.04    | 1,864.54               | 565.50    |         | Y                 |
|                                     | Trade         | 96,000                  |                        | 01/26/09                       | 11/30/09     | 2,980.81    | 2,671.57               | 309.24    |         | Y                 |
| DEVON ENERGY CORP NEW               | Trade         | 53,000                  |                        | 12/16/08                       | 03/24/09     | 2,624.92    | 3,655.52               | -1,030.60 |         | Y                 |
|                                     | Trade         | 9,000                   |                        | 12/16/08                       | 06/26/09     | 496.83      | 620.75                 | -123.92   |         | Y                 |
|                                     | Trade         | 26,000                  |                        | 01/28/09                       | 06/26/09     | 1,435.28    | 1,648.49               | -213.21   |         | Y                 |
|                                     | Trade         | 23,000                  |                        | 03/11/09                       | 06/26/09     | 1,269.67    | 953.75                 | 315.92    |         | Y                 |
| DIAMOND OFFSHORE<br>DRILLING INC    | Trade         | 6,000                   |                        | 01/26/09                       | 06/11/09     | 559.42      | 398.23                 | 161.19    |         | Y                 |
|                                     | Trade         | 45,000                  |                        | 01/26/09                       | 06/26/09     | 3,814.89    | 2,986.74               | 828.15    |         | Y                 |

Continued on page 24

00000419 00034038

2009 INFO / WE 53760 WN

Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 24 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C33G001472-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                  | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| DIAMOND OFFSHORE<br>DRILLING INC      | Trade         | 13,000                  |                        | 03/11/09                       | 06/26/09     | 1,102.08    | 722.03                 | 380.05    |         | Y                 |
|                                       | Trade         | 19,000                  |                        | 03/11/09                       | 09/25/09     | 1,731.99    | 1,055.28               | 676.71    |         | Y                 |
|                                       | Trade         | 3,000                   |                        | 03/11/09                       | 11/11/09     | 304.22      | 166.62                 | 137.60    |         | Y                 |
|                                       | Trade         | 38,000                  |                        | 03/24/09                       | 11/11/09     | 3,853.51    | 2,681.42               | 1,172.09  |         | Y                 |
| DISCOVER FINANCIAL<br>SERVICES        | Trade         | 90,000                  |                        | 05/06/09                       | 09/25/09     | 1,379.06    | 904.17                 | 474.89    |         | Y                 |
|                                       | Trade         | 257,000                 |                        | 05/06/09                       | 10/20/09     | 3,974.91    | 2,581.90               | 1,393.01  |         | Y                 |
| DOW CHEMICAL                          | Trade         | 189,000                 |                        | 10/28/08                       | 01/07/09     | 3,094.04    | 4,596.20               | -1,502.16 |         | Y                 |
| EDISON INTL                           | Trade         | 239,000                 |                        | 07/08/08                       | 04/17/09     | 6,892.06    | 11,954.18              | -5,262.12 |         | Y                 |
|                                       | Trade         | 45,000                  |                        | 07/08/08                       | 05/28/09     | 1,307.93    | 2,250.79               | -942.86   |         | Y                 |
|                                       | Trade         | 67,000                  |                        | 02/05/09                       | 05/28/09     | 1,947.37    | 2,194.31               | -246.94   |         | Y                 |
|                                       | Trade         | 37,000                  |                        | 11/21/08                       | 08/11/09     | 1,295.05    | 1,139.81               | 155.24    |         | Y                 |
| EMERSON ELECTRIC CO                   | Trade         | 25,000                  |                        | 11/21/08                       | 09/25/09     | 974.22      | 770.15                 | 204.07    |         | Y                 |
|                                       | Trade         | 1,000                   |                        | 11/21/08                       | 11/03/09     | 38.71       | 30.81                  | 7.90      |         | Y                 |
|                                       | Trade         | 90,000                  |                        | 08/12/09                       | 09/25/09     | 863.98      | 927.77                 | -63.79    |         | Y                 |
| FIFTH THIRD BANCORP                   | Trade         | 16,000                  |                        | 09/12/08                       | 06/11/09     | 628.62      | 1,112.64               | -484.02   |         | Y                 |
| FIRSTENERGY CORP                      | Trade         | 40,000                  |                        | 09/12/08                       | 07/22/09     | 1,626.18    | 2,781.61               | -1,155.43 |         | Y                 |
|                                       | Trade         | 35,000                  |                        | 02/05/09                       | 10/20/09     | 1,620.60    | 1,821.39               | -200.79   |         | Y                 |
|                                       | Trade         | 145,000                 |                        | 07/29/08                       | 01/14/09     | 8,001.98    | 14,057.30              | -6,055.32 |         | Y                 |
| FRANKLIN RESOURCES INC                | Trade         | 37,000                  |                        | 05/06/09                       | 09/25/09     | 2,484.11    | 1,938.56               | 545.55    |         | Y                 |
| FREEPORT-MCMORAN COPPER<br>& GOLD INC | Trade         | 109,000                 |                        | 07/08/08                       | 01/26/09     | 5,893.03    | 9,039.75               | -3,146.72 |         | Y                 |
| GENL DYNAMICS CORP                    | Trade         | 123,000                 |                        | 08/12/09                       | 09/25/09     | 2,011.48    | 1,756.03               | 255.45    |         | Y                 |
| GENL ELECTRIC CO                      | Trade         | 25,000                  |                        | 10/20/08                       | 09/25/09     | 4,493.46    | 2,988.31               | 1,505.15  |         | Y                 |
| GOLDMAN SACHS GROUP INC               | Trade         | 73,000                  |                        | 03/24/09                       | 09/25/09     | 3,864.52    | 4,764.71               | -900.19   |         | Y                 |
| HESS CORP                             | Trade         | 111,000                 |                        | 07/08/08                       | 06/11/09     | 4,157.95    | 4,797.42               | -639.47   |         | Y                 |

Continued on page 25

2009 INFO / WE 53760 WN



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 25 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001473-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                           | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| INTEL CORP                                     | Trade         | 63,000                  |                        | 09/09/09                       | 09/25/09     | 1,223.63    | 1,248.04               | -24.41    |         | Y                 |
| INTL BUSINESS MACH                             | Trade         | 35,000                  |                        | 07/08/08                       | 03/11/09     | 3,074.77    | 4,291.65               | -1,216.88 |         | Y                 |
|                                                | Trade         | 11,000                  |                        | 07/08/08                       | 06/11/09     | 1,207.65    | 1,348.81               | -141.16   |         | Y                 |
| INVESCO LTD                                    | Trade         | 97,000                  |                        | 04/01/09                       | 09/25/09     | 2,037.18    | 1,391.80               | 645.38    |         | Y                 |
|                                                | Trade         | 32,000                  |                        | 04/01/09                       | 10/27/09     | 690.77      | 459.15                 | 231.62    |         | Y                 |
|                                                | Trade         | 38,000                  |                        | 04/01/09                       | 11/11/09     | 905.07      | 545.24                 | 359.83    |         | Y                 |
| JOHNSON CONTROLS INC                           | Trade         | 259,000                 |                        | 07/08/08                       | 01/26/09     | 3,392.41    | 7,462.83               | -4,070.42 |         | Y                 |
| JOHNSON & JOHNSON COM                          | Trade         | 110,000                 |                        | 07/08/08                       | 04/24/09     | 5,666.78    | 7,258.90               | -1,592.12 |         | Y                 |
|                                                | Trade         | 47,000                  |                        | 07/08/08                       | 06/18/09     | 2,833.89    | 3,101.53               | -467.64   |         | Y                 |
| JPMORGAN CHASE & CO                            | Trade         | 96,000                  |                        | 07/08/08                       | 04/17/09     | 3,241.02    | 3,266.88               | -25.86    |         | Y                 |
|                                                | Trade         | 29,000                  |                        | 07/08/08                       | 06/11/09     | 1,021.07    | 986.87                 | 34.20     |         | Y                 |
| KIMBERLY CLARK CORP                            | Trade         | 33,000                  |                        | 07/08/08                       | 04/01/09     | 1,522.11    | 1,994.74               | -472.63   |         | Y                 |
|                                                | Trade         | 82,000                  |                        | 07/08/08                       | 04/13/09     | 3,910.15    | 4,956.63               | -1,046.48 |         | Y                 |
| KROGER COMPANY                                 | Trade         | 93,000                  |                        | 07/08/08                       | 06/11/09     | 2,036.69    | 2,755.05               | -718.36   |         | Y                 |
|                                                | Trade         | 87,000                  |                        | 07/08/08                       | 06/18/09     | 1,854.29    | 2,577.31               | -723.02   |         | Y                 |
| L M ERICSSON TELEFON CO<br>SEK 10 NEW 2002 ADR | Trade         | 146,000                 |                        | 06/18/09                       | 09/25/09     | 1,464.56    | 1,395.37               | 69.19     |         | Y                 |
| LINCOLN NATL CORP IND                          | Trade         | 54,000                  |                        | 08/05/09                       | 09/25/09     | 1,300.96    | 1,212.52               | 88.44     |         | Y                 |
| LOCKHEED MARTIN CORP                           | Trade         | 42,000                  |                        | 07/08/08                       | 03/11/09     | 2,475.49    | 4,374.35               | -1,898.86 |         | Y                 |
|                                                | Trade         | 10,000                  |                        | 07/08/08                       | 06/11/09     | 825.47      | 1,041.51               | -216.04   |         | Y                 |
| MASTERCARD INC CL A                            | Trade         | 10,000                  |                        | 07/10/09                       | 09/25/09     | 2,050.14    | 1,604.44               | 445.70    |         | Y                 |
| MCDONALDS CORP                                 | Trade         | 54,000                  |                        | 04/13/09                       | 09/25/09     | 3,079.17    | 3,029.28               | 49.89     |         | Y                 |
|                                                | Trade         | 15,000                  |                        | 04/24/09                       | 09/25/09     | 855.33      | 826.41                 | 28.92     |         | Y                 |
| MERCK & CO **NAME<br>CHANGE: 11/ 2009**        | Trade         | 20,000                  |                        | 09/08/08                       | 06/11/09     | 525.22      | 703.11                 | -177.89   |         | Y                 |



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-826-8500/800-458-1764

Page 26 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

### Short-Term Gain/Loss - continued

C32G001474-X

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------------------------|---------------|-------------------------|------------------------|---------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| METLIFE INC                                             | Trade         | 180,000                 |                        | 07/08/08      | 03/11/09     | 2,256.44    | 8,450.48               | -6,194.04 |         | Y                 |
|                                                         | Trade         | 92,000                  |                        | 07/08/08      | 05/19/09     | 2,932.13    | 4,859.03               | -1,926.90 |         | Y                 |
|                                                         | Trade         | 50,000                  |                        | 10/07/08      | 08/05/09     | 1,821.40    | 1,945.96               | -124.56   |         | Y                 |
|                                                         | Trade         | 36,000                  |                        | 10/07/08      | 09/25/09     | 1,329.86    | 1,401.09               | -71.23    |         | Y                 |
|                                                         | Trade         | 26,000                  |                        | 04/24/09      | 09/25/09     | 960.45      | 747.67                 | 212.78    |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| MICROSOFT CORP                                          | Trade         | 87,000                  |                        | 01/26/09      | 09/25/09     | 2,224.82    | 1,543.45               | 681.37    |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| NESTLE S A SPONSORED ADR<br>REPSTG REG SHS SWITZ<br>ADR | Trade         | 38,000                  |                        | 07/08/08      | 04/01/09     | 1,278.80    | 1,687.96               | -409.16   |         | Y                 |
|                                                         | Trade         | 27,000                  |                        | 07/08/08      | 06/11/09     | 990.87      | 1,199.34               | -208.47   |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| NRG ENERGY INC NEW                                      | Trade         | 144,000                 |                        | 03/11/09      | 09/18/09     | 4,021.81    | 2,301.78               | 1,720.03  |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| NTHN TRUST CORP                                         | Trade         | 12,000                  |                        | 01/14/09      | 06/11/09     | 668.15      | 571.11                 | 97.04     |         | Y                 |
|                                                         | Trade         | 51,000                  |                        | 01/14/09      | 09/25/09     | 2,936.15    | 2,427.24               | 508.91    |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| NUCOR CORP                                              | Trade         | 19,000                  |                        | 12/22/08      | 09/25/09     | 888.98      | 781.40                 | 107.58    |         | Y                 |
|                                                         | Trade         | 49,000                  |                        | 12/22/08      | 11/11/09     | 2,034.43    | 2,015.18               | 19.25     |         | Y                 |
|                                                         | Trade         | 23,000                  |                        | 06/11/09      | 11/11/09     | 954.93      | 1,132.72               | -177.79   |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| ORACLE CORP                                             | Trade         | 44,000                  |                        | 04/01/09      | 09/25/09     | 918.84      | 806.81                 | 112.03    |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| PEPSICO INC                                             | Trade         | 60,000                  |                        | 03/11/09      | 09/25/09     | 3,521.99    | 2,842.77               | 679.22    |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| PFIZER INC                                              | Trade         | 236,000                 |                        | 10/14/08      | 09/25/09     | 3,870.30    | 4,025.48               | -155.18   |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| PG & E CORP (HOLDING<br>COMPANY)                        | Trade         | 82,000                  |                        | 12/05/08      | 05/19/09     | 2,944.75    | 2,959.01               | -14.26    |         | Y                 |
|                                                         | Trade         | 52,000                  |                        | 02/05/09      | 05/19/09     | 1,867.41    | 2,051.25               | -183.84   |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| PHILIP MORRIS INTL INC                                  | Trade         | 180,000                 |                        | 07/08/08      | 04/24/09     | 6,669.49    | 9,583.20               | -2,913.71 |         | Y                 |
|                                                         | Trade         | 13,000                  |                        | 07/08/08      | 05/06/09     | 506.29      | 692.12                 | -185.83   |         | Y                 |
|                                                         | Trade         | 98,000                  |                        | 12/05/08      | 05/06/09     | 3,816.63    | 4,130.66               | -314.03   |         | Y                 |
|                                                         |               |                         |                        |               |              |             |                        |           |         |                   |
| PNC FINANCIAL SERVICES<br>GROUP                         | Trade         | 93,000                  |                        | 07/29/08      | 01/26/09     | 2,913.41    | 6,362.43               | -3,449.02 |         | Y                 |
|                                                         | Trade         | 56,000                  |                        | 09/03/08      | 01/26/09     | 1,754.31    | 4,142.92               | -2,388.61 |         | Y                 |
|                                                         | Trade         | 84,000                  |                        | 04/24/09      | 09/25/09     | 3,801.11    | 3,602.21               | 198.90    |         | Y                 |

Continued on page 27

2009 INFO / WE 53760 WN



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 27 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001475-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                          | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| PROCTER & GAMBLE CO                           | Trade         | 16,000                  |                        | 04/24/09                       | 06/11/09     | 836.63      | 794.33                 | 42.30     |         | Y                 |
|                                               | Trade         | 97,000                  |                        | 04/24/09                       | 07/10/09     | 5,043.73    | 4,815.61               | 228.12    |         | Y                 |
| PRUDENTIAL FINANCIAL INC                      | Trade         | 56,000                  |                        | 05/19/09                       | 09/25/09     | 2,674.86    | 2,411.93               | 262.93    |         | Y                 |
| PUBLIC SERVICE ENTERPRISE<br>GROUP INC        | Trade         | 34,000                  |                        | 07/08/08                       | 04/24/09     | 953.02      | 1,495.90               | -542.88   |         | Y                 |
|                                               | Trade         | 15,000                  |                        | 07/08/08                       | 08/11/09     | 494.53      | 659.98                 | -165.43   |         | Y                 |
| RAYTHEON CO NEW                               | Trade         | 106,000                 |                        | 01/26/09                       | 04/24/09     | 4,655.00    | 5,357.94               | -702.94   |         | Y                 |
| SCHERING PLOUGH CORP<br>**MERGER EFF: 11/09** | Trade         | 203,000                 |                        | 07/17/08                       | 04/01/09     | 4,744.65    | 4,485.33               | 259.32    |         | Y                 |
|                                               | Trade         | 28,000                  |                        | 07/17/08                       | 04/24/09     | 610.99      | 618.67                 | -7.68     |         | Y                 |
|                                               | Trade         | 73,000                  |                        | 08/13/08                       | 04/24/09     | 1,592.94    | 1,458.76               | 134.18    |         | Y                 |
|                                               | Trade         | 122,000                 |                        | 08/13/08                       | 05/08/09     | 2,791.73    | 2,437.93               | 353.80    |         | Y                 |
| SCHLUMBERGER LTD<br>NETHERLANDS ANTILLES      | Trade         | 113,000                 |                        | 10/07/08                       | 01/26/09     | 4,771.21    | 7,597.74               | -2,826.53 |         | Y                 |
| SIMON PTY GROUP INC SBI                       | Trade         | 121,000                 |                        | 07/08/08                       | 01/14/09     | 5,142.03    | 10,377.69              | -5,235.66 |         | Y                 |
| TARGET CORP                                   | Trade         | 34,000                  |                        | 07/22/09                       | 09/25/09     | 1,576.53    | 1,397.50               | 179.03    |         | Y                 |
| THERMO FISHER SCIENTIFIC<br>INC               | Trade         | 24,000                  |                        | 12/16/08                       | 09/25/09     | 1,059.63    | 733.31                 | 326.32    |         | Y                 |
| TIME WARNER CABLE INC                         | Trade         | 52,000                  |                        | 07/17/08                       | 04/01/09     | 1,276.65    | 2,262.83               | -986.18   |         | Y                 |
| TIME WARNER INC NEW                           | Trade         | 209,000                 |                        | 07/17/08                       | 07/10/09     | 5,007.84    | 6,928.68               | -1,920.84 |         | Y                 |
|                                               | Trade         | 27,000                  |                        | 06/11/09                       | 07/10/09     | 646.95      | 710.59                 | -63.64    |         | Y                 |
| TJX COS INC NEW                               | Trade         | 71,000                  |                        | 10/07/08                       | 07/22/09     | 2,501.84    | 1,997.73               | 504.11    |         | Y                 |
|                                               | Trade         | 41,000                  |                        | 10/07/08                       | 09/25/09     | 1,512.86    | 1,153.62               | 359.24    |         | Y                 |
| TOTAL S.A. FRANCE SPON<br>ADR                 | Trade         | 69,000                  |                        | 02/05/09                       | 09/25/09     | 4,046.05    | 3,642.81               | 403.24    |         | Y                 |
|                                               | Trade         | 27,000                  |                        | 02/05/09                       | 10/02/09     | 1,544.64    | 1,425.45               | 119.19    |         | Y                 |
|                                               | Trade         | 36,000                  |                        | 02/26/09                       | 10/02/09     | 2,059.53    | 1,753.98               | 305.55    |         | Y                 |
| TRANSCOCEAN LTD                               | Trade         | 59,000                  |                        | 07/08/08                       | 01/26/09     | 3,297.61    | 8,385.85               | -5,088.24 |         | Y                 |

Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 28 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001476-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                      | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount       | Cost or Other<br>Basis | Gain/Loss          | Remarks | Matches<br>1099-B |
|-------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------------|------------------------|--------------------|---------|-------------------|
| TRANSOCEAN LTD                            | Trade         | 12,000                  |                        | 06/26/09                       | 09/25/09     | 995.13            | 906.26                 | 88.87              |         | Y                 |
| TRAVELERS COS INC/THE                     | Trade         | 130,000                 |                        | 07/08/08                       | 04/24/09     | 5,108.04          | 5,698.77               | -590.73            |         | Y                 |
|                                           | Trade         | 64,000                  |                        | 07/08/08                       | 05/06/09     | 2,492.93          | 2,805.55               | -312.62            |         | Y                 |
|                                           | Trade         | 52,000                  |                        | 07/08/08                       | 05/19/09     | 2,074.99          | 2,279.51               | -204.52            |         | Y                 |
| UNITEDHEALTH GROUP INC                    | Trade         | 130,000                 |                        | 03/11/09                       | 09/25/09     | 3,314.04          | 2,479.10               | 834.94             |         | Y                 |
|                                           | Trade         | 3,000                   |                        | 05/06/09                       | 09/25/09     | 76.48             | 77.13                  | -.65               |         | Y                 |
|                                           | Trade         | 45,000                  |                        | 05/06/09                       | 10/02/09     | 1,090.10          | 1,156.90               | -66.80             |         | Y                 |
| UNTD TECHNOLOGIES CORP                    | Trade         | 76,000                  |                        | 07/08/08                       | 04/01/09     | 3,266.86          | 4,639.71               | -1,372.85          |         | Y                 |
|                                           | Trade         | 20,000                  |                        | 07/08/08                       | 06/11/09     | 1,116.77          | 1,220.98               | -104.21            |         | Y                 |
| US BANCORP DEL (NEW)                      | Trade         | 394,000                 |                        | 07/08/08                       | 01/26/09     | 5,304.71          | 10,625.39              | -5,320.68          |         | Y                 |
|                                           | Trade         | 70,000                  |                        | 05/06/09                       | 09/25/09     | 1,542.76          | 1,414.22               | 128.54             |         | Y                 |
| VERIZON COMMUNICATIONS<br>INC             | Trade         | 104,000                 |                        | 07/08/08                       | 05/19/09     | 3,091.06          | 3,653.94               | -562.88            |         | Y                 |
| WALT DISNEY CO (HOLDING<br>CO) DISNEY COM | Trade         | 237,000                 |                        | 07/08/08                       | 02/26/09     | 4,054.68          | 7,008.09               | -2,953.41          |         | Y                 |
| WASTE MGMT INC NEW                        | Trade         | 20,000                  |                        | 10/07/08                       | 06/11/09     | 573.58            | 607.99                 | -34.41             |         | Y                 |
|                                           | Trade         | 72,000                  |                        | 10/07/08                       | 09/25/09     | 2,083.62          | 2,188.77               | -105.15            |         | Y                 |
| WELLS FARGO & CO NEW                      | Trade         | 147,000                 |                        | 07/08/08                       | 02/26/09     | 2,156.81          | 3,477.80               | -1,320.99          |         | Y                 |
|                                           | Trade         | 11,000                  |                        | 07/08/08                       | 05/06/09     | 283.56            | 260.24                 | 23.32              |         | Y                 |
|                                           | Trade         | 96,000                  |                        | 07/08/08                       | 06/11/09     | 2,404.01          | 2,271.22               | 132.79             |         | Y                 |
| XTO ENERGY INC                            | Trade         | 129,000                 |                        | 07/08/08                       | 03/11/09     | 3,846.27          | 7,724.53               | -3,878.26          |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>         |               |                         |                        |                                |              | <b>404,882.18</b> | <b>507,071.76</b>      | <b>-102,189.58</b> |         |                   |



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 29 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001477-X

### Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description             | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ABBOTT LABS                      | Trade         | 65,000                  |                        | 07/08/08                       | 09/25/09     | 3,077.88    | 3,644.55               | -566.67   |         | Y                 |
| ACE LTD                          | Trade         | 54,000                  |                        | 09/18/08                       | 09/25/09     | 2,783.76    | 3,115.32               | -331.56   |         | Y                 |
|                                  | Trade         | 21,000                  |                        | 09/18/08                       | 10/27/09     | 1,119.27    | 1,211.52               | -92.25    |         | Y                 |
| AIR PROD & CHEMICAL INC          | Trade         | 1,000                   |                        | 10/07/08                       | 11/02/09     | 77.99       | 60.23                  | 17.76     |         | Y                 |
| AMGEN INC                        | Trade         | 23,000                  |                        | 06/28/04                       | 04/24/09     | 1,117.60    | 1,230.73               | -113.13   |         | Y                 |
|                                  | Trade         | 18,000                  |                        | 09/13/04                       | 04/24/09     | 874.64      | 1,044.18               | -169.54   |         | Y                 |
|                                  | Trade         | 39,000                  |                        | 09/13/04                       | 07/10/09     | 2,244.89    | 2,262.39               | -17.70    |         | Y                 |
|                                  | Trade         | 31,000                  |                        | 09/13/04                       | 09/25/09     | 1,873.98    | 1,798.31               | 75.67     |         | Y                 |
|                                  | Trade         | 25,000                  |                        | 07/17/08                       | 09/25/09     | 1,511.27    | 1,331.31               | 179.96    |         | Y                 |
| ANADARKO PETROLEUM CORP          | Trade         | 47,000                  |                        | 07/08/08                       | 09/25/09     | 2,908.12    | 3,107.12               | -199.00   |         | Y                 |
| APACHE CORP                      | Trade         | 31,000                  |                        | 07/08/08                       | 09/25/09     | 2,855.95    | 3,751.93               | -895.98   |         | Y                 |
| AT&T INC                         | Trade         | 48,000                  |                        | 01/16/08                       | 05/19/09     | 1,190.09    | 1,837.44               | -647.35   |         | Y                 |
|                                  | Trade         | 50,000                  |                        | 02/08/08                       | 05/19/09     | 1,239.68    | 1,848.48               | -608.80   |         | Y                 |
|                                  | Trade         | 175,000                 |                        | 07/08/08                       | 09/25/09     | 4,721.81    | 5,723.20               | -1,001.39 |         | Y                 |
| BEST BUY CO INC                  | Trade         | 48,000                  |                        | 07/08/08                       | 09/25/09     | 1,788.59    | 1,916.23               | -127.64   |         | Y                 |
| BURLINGTON NTHN SANTA FE<br>CORP | Trade         | 34,000                  |                        | 07/08/08                       | 09/25/09     | 2,735.90    | 3,294.64               | -558.74   |         | Y                 |
|                                  | Trade         | 91,000                  |                        | 07/08/08                       | 11/11/09     | 8,885.83    | 8,818.01               | 67.82     |         | Y                 |
| COMCAST CORP NEW CL A            | Trade         | 31,000                  |                        | 07/08/08                       | 08/25/09     | 471.58      | 578.81                 | -107.23   |         | Y                 |
| CVS CAREMARK CORP                | Trade         | 108,000                 |                        | 07/08/08                       | 09/09/09     | 3,935.62    | 4,161.02               | -225.40   |         | Y                 |
|                                  | Trade         | 9,000                   |                        | 07/08/08                       | 09/25/09     | 316.92      | 346.75                 | -29.83    |         | Y                 |
|                                  | Trade         | 86,000                  |                        | 07/29/08                       | 09/25/09     | 3,028.32    | 3,230.07               | -201.75   |         | Y                 |
|                                  | Trade         | 45,000                  |                        | 07/29/08                       | 10/27/09     | 1,632.11    | 1,690.16               | -58.05    |         | Y                 |
| DEERE AND CO                     | Trade         | 60,000                  |                        | 07/08/08                       | 09/25/09     | 2,634.68    | 4,014.00               | -1,379.32 |         | Y                 |
|                                  | Trade         | 67,000                  |                        | 07/08/08                       | 11/11/09     | 3,220.47    | 4,482.30               | -1,261.83 |         | Y                 |
| EXXON MOBIL CORP                 | Trade         | 4,000                   |                        | 03/02/01                       | 04/01/09     | 271.28      | 165.24                 | 106.04    |         | Y                 |
|                                  | Trade         | 16,000                  |                        | 04/24/01                       | 04/01/09     | 1,085.11    | 704.00                 | 381.11    |         | Y                 |

Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 30 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C33G001478-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                    | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| EXXON MOBIL CORP                                        | Trade         | 71,000                  |                        | 04/24/01                       | 09/25/09     | 4,865.73    | 3,124.00               | 1,741.73  | 1       | Y                 |
| FIRSTENERGY CORP                                        | Trade         | 59,000                  |                        | 09/12/08                       | 09/25/09     | 2,698.00    | 4,102.88               | -1,404.88 |         | Y                 |
|                                                         | Trade         | 17,000                  |                        | 09/12/08                       | 10/20/09     | 787.15      | 1,182.19               | -395.04   |         | Y                 |
|                                                         | Trade         | 81,000                  |                        | 09/18/08                       | 10/20/09     | 3,750.54    | 5,209.96               | -1,459.42 |         | Y                 |
| GENL DYNAMICS CORP                                      | Trade         | 35,000                  |                        | 07/08/08                       | 09/25/09     | 2,203.19    | 2,902.67               | -699.48   |         | Y                 |
| HEWLETT PACKARD CO                                      | Trade         | 87,000                  |                        | 07/08/08                       | 09/09/09     | 3,974.05    | 3,760.14               | 213.91    |         | Y                 |
|                                                         | Trade         | 90,000                  |                        | 07/08/08                       | 09/25/09     | 4,235.59    | 3,889.80               | 345.79    |         | Y                 |
| INTL BUSINESS MACH                                      | Trade         | 36,000                  |                        | 07/08/08                       | 09/09/09     | 4,187.20    | 4,414.27               | -227.07   |         | Y                 |
|                                                         | Trade         | 25,000                  |                        | 07/08/08                       | 09/25/09     | 3,026.42    | 3,065.47               | -39.05    |         | Y                 |
| JOHNSON & JOHNSON COM                                   | Trade         | 37,000                  |                        | 07/08/08                       | 08/05/09     | 2,234.53    | 2,441.63               | -207.10   |         | Y                 |
|                                                         | Trade         | 82,000                  |                        | 07/08/08                       | 08/12/09     | 4,995.99    | 5,411.18               | -415.19   |         | Y                 |
| JPMORGAN CHASE & CO                                     | Trade         | 47,000                  |                        | 07/08/08                       | 08/25/09     | 2,045.96    | 1,599.41               | 446.55    |         | Y                 |
|                                                         | Trade         | 125,000                 |                        | 07/08/08                       | 09/25/09     | 5,467.54    | 4,253.75               | 1,213.79  |         | Y                 |
| KROGER COMPANY                                          | Trade         | 118,000                 |                        | 07/08/08                       | 07/10/09     | 2,553.38    | 3,495.66               | -942.28   |         | Y                 |
|                                                         | Trade         | 114,000                 |                        | 07/08/08                       | 08/05/09     | 2,448.52    | 3,377.16               | -928.64   |         | Y                 |
|                                                         | Trade         | 146,000                 |                        | 07/08/08                       | 08/12/09     | 3,114.69    | 4,325.13               | -1,210.44 |         | Y                 |
| LOCKHEED MARTIN CORP                                    | Trade         | 40,000                  |                        | 07/08/08                       | 09/25/09     | 3,114.31    | 4,166.04               | -1,051.73 |         | Y                 |
|                                                         | Trade         | 64,000                  |                        | 07/08/08                       | 10/20/09     | 4,654.96    | 6,665.67               | -2,010.71 |         | Y                 |
| MERCK & CO **NAME<br>CHANGE: 11/ 2009**                 | Trade         | 67,000                  |                        | 09/08/08                       | 09/25/09     | 2,100.56    | 2,355.43               | -254.87   |         | Y                 |
| METLIFE INC                                             | Trade         | 39,000                  |                        | 07/08/08                       | 08/05/09     | 1,420.69    | 2,059.80               | -639.11   |         | Y                 |
| NESTLE S A SPONSORED ADR<br>REPSTG REG SHS SWITZ<br>ADR | Trade         | 134,000                 |                        | 07/08/08                       | 09/09/09     | 5,557.90    | 5,952.28               | -394.38   |         | Y                 |
|                                                         | Trade         | 75,000                  |                        | 07/08/08                       | 09/25/09     | 3,192.66    | 3,331.50               | -138.84   |         | Y                 |
| NIKE INC CL B                                           | Trade         | 37,000                  |                        | 07/08/08                       | 09/25/09     | 2,173.32    | 2,108.26               | 65.06     |         | Y                 |

Continued on page 31

2009 INFO / WE 53760 WN



Account Number WE 53760 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 31 of 31

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001479-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                   | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss  | Remarks | Matches<br>1099-B |
|----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|------------|---------|-------------------|
| OCCIDENTAL PETROLEUM CRP               | Trade         | 77,000                  |                        | 07/08/08                       | 09/25/09     | 5,935.06    | 6,211.61               | -276.55    |         | Y                 |
|                                        | Trade         | 3,000                   |                        | 07/08/08                       | 10/27/09     | 242.22      | 242.01                 | .21        |         | Y                 |
| PUBLIC SERVICE ENTERPRISE<br>GROUP INC | Trade         | 18,000                  |                        | 05/02/07                       | 04/24/09     | 504.54      | 788.21                 | -283.67    | 1       | Y                 |
|                                        | Trade         | 37,000                  |                        | 07/12/07                       | 04/24/09     | 1,037.11    | 1,885.35               | -848.24    | 1       | Y                 |
|                                        | Trade         | 87,000                  |                        | 07/08/08                       | 09/25/09     | 2,728.63    | 3,827.74               | -1,101.11  |         | Y                 |
|                                        | Trade         | 193,000                 |                        | 07/08/08                       | 10/20/09     | 5,991.74    | 8,491.42               | -2,499.68  |         | Y                 |
| STAPLES INC                            | Trade         | 170,000                 |                        | 07/08/08                       | 09/25/09     | 3,876.14    | 3,784.20               | 91.94      |         | Y                 |
| TRAVELERS COS INC/THE                  | Trade         | 92,000                  |                        | 07/08/08                       | 08/12/09     | 4,297.29    | 4,032.98               | 264.31     |         | Y                 |
|                                        | Trade         | 19,000                  |                        | 07/08/08                       | 09/25/09     | 908.42      | 832.90                 | 75.52      |         | Y                 |
| UNTD TECHNOLOGIES CORP                 | Trade         | 59,000                  |                        | 07/08/08                       | 09/25/09     | 3,626.23    | 3,601.88               | 24.35      |         | Y                 |
| VERIZON COMMUNICATIONS<br>INC          | Trade         | 87,000                  |                        | 07/08/08                       | 09/25/09     | 2,605.58    | 3,058.88               | -451.08    |         | Y                 |
| WAL MART STORES INC                    | Trade         | 39,000                  |                        | 07/08/08                       | 09/25/09     | 1,928.63    | 2,269.02               | -340.39    |         | Y                 |
| WELLS FARGO & CO NEW                   | Trade         | 190,000                 |                        | 07/08/08                       | 09/25/09     | 5,364.32    | 4,495.12               | 869.20     |         | Y                 |
|                                        | Trade         | 4,000                   |                        | 07/08/08                       | 11/03/09     | 110.82      | 94.63                  | 16.19      |         | Y                 |
| Total Long-Term Gain/Loss              |               |                         |                        |                                |              | 171,560.75  | 191,975.95             | -20,415.20 |         |                   |

Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 14 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

## 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

C32L001496-X

*This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.*

*Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.*

*The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.*

*Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.*

*Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.*

|                       | Purchase |  | Sale          |    | Gains      |    | Losses    |    | Net         |               |
|-----------------------|----------|--|---------------|----|------------|----|-----------|----|-------------|---------------|
|                       |          |  |               |    |            |    |           |    |             |               |
| Short-term Gain/Loss: |          |  | \$ 401,062.60 | \$ | 358,060.33 | \$ | 37,782.15 | \$ | -80,784.42  | \$ -43,002.27 |
| Long-term Gain/Loss:  |          |  | 261,726.66    |    | 242,338.10 |    | 12,542.46 |    | -31,931.02  | -19,388.56    |
| Sub Total:            |          |  | \$ 662,789.26 | \$ | 600,398.43 | \$ | 50,324.61 | \$ | -112,715.44 | \$ -62,390.83 |
| Total:                |          |  | \$ 662,789.26 | \$ | 600,398.43 | \$ | 50,324.61 | \$ | -112,715.44 | \$ -62,390.83 |

## Short-Term Gain/Loss

*This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.*

| Security Description | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------|---------------|-------------------------|------------------------|---------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ABB LTD SPON ADR     | Trade         | 110,000                 |                        | 12/18/08      | 02/18/09     | 1,339.25    | 1,617.61               | -278.36   |         | Y                 |
|                      | Trade         | 110,000                 |                        | 12/29/08      | 02/18/09     | 1,339.25    | 1,609.95               | -270.70   |         | Y                 |
| ABBOTT LABS          | Trade         | 40,000                  |                        | 01/23/09      | 09/25/09     | 1,893.82    | 2,112.96               | -219.14   |         | Y                 |
|                      | Trade         | 17,000                  |                        | 01/28/09      | 09/25/09     | 804.87      | 926.11                 | -121.24   |         | Y                 |
|                      | Trade         | 57,000                  |                        | 01/30/09      | 09/25/09     | 2,698.69    | 3,156.26               | -457.57   |         | Y                 |
|                      | Trade         | 1,000                   |                        | 02/09/09      | 09/25/09     | 47.35       | 57.14                  | -9.79     |         | Y                 |
| AMAZON.COM INC       | Trade         | 48,000                  |                        | 01/08/09      | 01/30/09     | 2,818.87    | 2,723.76               | 95.11     |         | Y                 |
|                      | Trade         | 11,000                  |                        | 07/10/09      | 09/25/09     | 1,000.53    | 854.56                 | 145.97    |         | Y                 |
| AMER EXPRESS CO      | Trade         | 43,000                  |                        | 05/06/09      | 09/25/09     | 1,429.71    | 1,149.29               | 280.42    |         | Y                 |

Continued on page 15

2009 INFO / WE 53763 WN



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1784

Page 15 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001487-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                       | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| AMER TOWER CORP CL A                       | Trade         | 57,000                  |                        | 09/09/09                       | 09/25/09     | 2,062.77    | 1,949.29               | 113.48    |         | Y                 |
| APPLE INC                                  | Trade         | 12,000                  |                        | 07/11/08                       | 06/12/09     | 1,637.23    | 2,072.16               | -434.93   |         | Y                 |
| BAIDU INC ADS REPSNTG CL<br>A ORD SHS      | Trade         | 6,000                   |                        | 09/17/09                       | 09/25/09     | 2,306.70    | 2,406.28               | -99.58    |         | Y                 |
| BANCO SANTANDER BRASIL<br>ADS              | Trade         | 30,000                  |                        | 10/15/09                       | 10/28/09     | 366.68      | 400.16                 | -33.48    |         | Y                 |
|                                            | Trade         | 81,000                  |                        | 10/15/09                       | 10/29/09     | 1,006.63    | 1,080.44               | -73.81    |         | Y                 |
|                                            | Trade         | 120,000                 |                        | 10/15/09                       | 11/04/09     | 1,489.93    | 1,600.66               | -110.73   |         | Y                 |
| BANK OF NEW YORK MELLON<br>CORP            | Trade         | 48,000                  |                        | 04/14/09                       | 09/25/09     | 1,395.32    | 1,500.89               | -105.57   |         | Y                 |
|                                            | Trade         | 57,000                  |                        | 04/14/09                       | 11/16/09     | 1,573.18    | 1,782.31               | -209.13   |         | Y                 |
|                                            | Trade         | 77,000                  |                        | 04/21/09                       | 11/18/09     | 2,125.17    | 2,116.29               | 8.88      |         | Y                 |
| BHP BILLITON PLC NEW<br>SPON ADR           | Trade         | 78,000                  |                        | 03/12/09                       | 09/25/09     | 4,240.75    | 2,784.65               | 1,456.10  |         | Y                 |
|                                            | Trade         | 4,000                   |                        | 03/13/09                       | 09/25/09     | 217.47      | 153.22                 | 64.25     |         | Y                 |
| CVS CAREMARK CORP                          | Trade         | 25,000                  |                        | 07/11/08                       | 05/05/09     | 787.70      | 965.92                 | -178.22   |         | Y                 |
|                                            | Trade         | 15,000                  |                        | 01/08/09                       | 10/02/09     | 530.12      | 438.50                 | 91.62     |         | Y                 |
|                                            | Trade         | 160,000                 |                        | 01/08/09                       | 10/06/09     | 5,533.55    | 4,677.31               | 856.24    |         | Y                 |
| DEERE AND CO                               | Trade         | 43,000                  |                        | 12/09/08                       | 03/10/09     | 1,160.91    | 1,681.00               | -500.09   |         | Y                 |
|                                            | Trade         | 44,000                  |                        | 12/10/08                       | 03/10/09     | 1,187.90    | 1,643.47               | -455.57   |         | Y                 |
| DEVON ENERGY CORP NEW                      | Trade         | 25,000                  |                        | 10/22/08                       | 02/24/09     | 1,160.02    | 1,771.70               | -611.68   |         | Y                 |
| DIRECTV GROUP INC<br>**MERGER EFF: 11/09** | Trade         | 37,000                  |                        | 06/30/09                       | 09/25/09     | 984.54      | 916.10                 | 68.44     |         | Y                 |
| DOW CHEMICAL                               | Trade         | 208,000                 |                        | 06/09/09                       | 09/25/09     | 5,350.14    | 3,651.67               | 1,698.47  |         | Y                 |
|                                            | Trade         | 39,000                  |                        | 07/07/09                       | 09/25/09     | 1,003.15    | 578.04                 | 425.11    |         | Y                 |
| EOG RESOURCES INC                          | Trade         | 12,000                  |                        | 05/07/09                       | 09/25/09     | 957.81      | 907.37                 | 50.44     |         | Y                 |
| GENENTECH INC **MERGER<br>EFF 3/2009**     | Tender        | 5,000                   |                        | 07/11/08                       | 03/28/09     | 475.00      | 386.03                 | 88.97     |         | Y                 |



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 16 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001498-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                 | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| GENZYME CORP GEN DIV                 | Trade         | 23,000                  |                        | 01/29/09                       | 08/03/09     | 1,149.00    | 1,603.38               | -454.38   |         | Y                 |
|                                      | Trade         | 22,000                  |                        | 01/30/09                       | 08/03/09     | 1,099.04    | 1,520.50               | -421.46   |         | Y                 |
| GOLDMAN SACHS GROUP INC              | Trade         | 37,000                  |                        | 07/11/08                       | 01/20/09     | 2,409.70    | 5,921.85               | -3,512.15 |         | Y                 |
|                                      | Trade         | 23,000                  |                        | 07/11/08                       | 04/13/09     | 2,955.28    | 3,681.15               | -725.87   |         | Y                 |
|                                      | Trade         | 21,000                  |                        | 07/11/08                       | 06/01/09     | 3,022.99    | 3,361.05               | -338.06   |         | Y                 |
|                                      | Trade         | 28,000                  |                        | 11/25/08                       | 11/10/09     | 4,927.96    | 1,973.14               | 2,954.82  |         | Y                 |
|                                      | Trade         | 14,000                  |                        | 03/25/09                       | 11/10/09     | 2,463.98    | 1,549.03               | 914.95    |         | Y                 |
|                                      | Trade         | 27,000                  |                        | 03/25/09                       | 12/03/09     | 4,502.83    | 2,987.42               | 1,515.41  |         | Y                 |
| GOOGLE INC CL A                      | Trade         | 19,000                  |                        | 10/30/08                       | 09/25/09     | 9,430.21    | 6,874.28               | 2,555.93  |         | Y                 |
| HESS CORP                            | Trade         | 64,000                  |                        | 07/11/08                       | 02/18/09     | 3,310.61    | 7,102.40               | -3,791.79 |         | Y                 |
|                                      | Trade         | 86,000                  |                        | 07/11/08                       | 02/24/09     | 4,497.60    | 9,543.85               | -5,046.25 |         | Y                 |
| HSBC HOLDINGS PLC NEW GB<br>SPON ADR | Trade         | 54,000                  |                        | 08/28/09                       | 09/25/09     | 3,060.10    | 2,976.71               | 83.39     |         | Y                 |
| INTEL CORP                           | Trade         | 197,000                 |                        | 07/16/09                       | 09/25/09     | 3,830.23    | 3,615.97               | 214.26    |         | Y                 |
|                                      | Trade         | 98,000                  |                        | 07/16/09                       | 10/16/09     | 1,987.50    | 1,798.81               | 188.69    |         | Y                 |
|                                      | Trade         | 27,000                  |                        | 07/17/09                       | 10/16/09     | 547.58      | 501.14                 | 46.44     |         | Y                 |
|                                      | Trade         | 168,000                 |                        | 07/17/09                       | 10/29/09     | 3,226.64    | 3,118.21               | 108.43    |         | Y                 |
|                                      | Trade         | 91,000                  |                        | 07/17/09                       | 10/29/09     | 1,747.76    | 1,672.61               | 75.15     |         | Y                 |
|                                      | Trade         | 190,000                 |                        | 07/20/09                       | 10/29/09     | 3,649.18    | 3,542.66               | 106.52    |         | Y                 |
| INTL BUSINESS MACH                   | Trade         | 68,000                  |                        | 01/30/09                       | 09/25/09     | 8,237.99    | 6,312.69               | 1,925.30  |         | Y                 |
|                                      | Trade         | 2,000                   |                        | 02/09/09                       | 09/25/09     | 242.29      | 192.69                 | 49.60     |         | Y                 |
| JOHNSON & JOHNSON COM                | Trade         | 85,000                  |                        | 11/07/08                       | 04/27/09     | 4,325.03    | 5,056.59               | -731.56   |         | Y                 |
|                                      | Trade         | 21,000                  |                        | 11/07/08                       | 04/28/09     | 1,063.54    | 1,249.28               | -185.74   |         | Y                 |
|                                      | Trade         | 130,000                 |                        | 11/07/08                       | 04/29/09     | 6,576.65    | 7,733.61               | -1,156.96 |         | Y                 |
| JPMORGAN CHASE & CO                  | Trade         | 71,000                  |                        | 03/13/09                       | 05/11/09     | 2,668.14    | 1,666.98               | 1,001.16  |         | Y                 |
|                                      | Trade         | 56,000                  |                        | 03/13/09                       | 09/25/09     | 2,459.54    | 1,314.80               | 1,144.74  |         | Y                 |
|                                      | Trade         | 116,000                 |                        | 03/19/09                       | 09/25/09     | 5,094.76    | 2,918.22               | 2,176.54  |         | Y                 |
|                                      | Trade         | 60,000                  |                        | 03/23/09                       | 09/25/09     | 2,635.22    | 1,657.37               | 977.85    |         | Y                 |
|                                      | Trade         | 103,000                 |                        | 03/23/09                       | 11/10/09     | 4,508.95    | 2,845.16               | 1,663.79  |         | Y                 |
|                                      | Trade         | 47,000                  |                        | 03/23/09                       | 12/03/09     | 1,985.01    | 1,298.28               | 686.73    |         | Y                 |

Continued on page 17

2009 INFO / WE 53763 WN



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 17 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001499-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| JPMORGAN CHASE & CO                     | Trade         | 80,000                  |                        | 03/24/09                       | 12/03/09     | 3,378.75    | 2,279.22               | 1,099.53  |         | Y                 |
| JUNIPER NETWORKS INC                    | Trade         | 74,000                  |                        | 07/01/09                       | 09/25/09     | 1,958.72    | 1,810.30               | 148.42    |         | Y                 |
|                                         | Trade         | 72,000                  |                        | 07/01/09                       | 11/11/09     | 1,833.63    | 1,761.37               | 72.26     |         | Y                 |
|                                         | Trade         | 17,000                  |                        | 07/07/09                       | 11/11/09     | 432.94      | 408.94                 | 26.00     |         | Y                 |
|                                         | Trade         | 54,000                  |                        | 07/07/09                       | 11/11/09     | 1,363.86    | 1,292.64               | 71.22     |         | Y                 |
|                                         | Trade         | 70,000                  |                        | 07/08/09                       | 11/11/09     | 1,787.96    | 1,584.52               | 183.44    |         | Y                 |
| LOCKHEED MARTIN CORP                    | Trade         | 31,000                  |                        | 07/11/08                       | 03/13/09     | 1,899.85    | 3,171.33               | -1,271.48 |         | Y                 |
|                                         | Trade         | 61,000                  |                        | 07/11/08                       | 04/08/09     | 4,408.73    | 6,240.37               | -1,831.64 |         | Y                 |
|                                         | Trade         | 16,000                  |                        | 07/11/08                       | 05/06/09     | 1,275.02    | 1,638.82               | -361.80   |         | Y                 |
|                                         | Trade         | 62,000                  |                        | 09/19/08                       | 08/25/09     | 4,587.88    | 6,876.10               | -2,288.22 |         | Y                 |
| MASTERCARD INC CL A                     | Trade         | 20,000                  |                        | 07/11/08                       | 06/10/09     | 3,471.46    | 5,156.21               | -1,684.75 |         | Y                 |
|                                         | Trade         | 5,000                   |                        | 07/11/08                       | 06/11/09     | 866.28      | 1,289.05               | -422.77   |         | Y                 |
|                                         | Trade         | 12,000                  |                        | 07/11/08                       | 07/06/09     | 1,986.08    | 3,093.72               | -1,107.66 |         | Y                 |
| MERCK & CO **NAME<br>CHANGE: 11/ 2009** | Trade         | 91,000                  |                        | 09/09/09                       | 09/25/09     | 2,870.97    | 2,869.38               | 1.59      |         | Y                 |
| MONSANTO CO NEW                         | Trade         | 37,000                  |                        | 07/11/08                       | 02/24/09     | 2,869.70    | 4,352.87               | -1,483.17 |         | Y                 |
|                                         | Trade         | 54,000                  |                        | 07/11/08                       | 05/12/09     | 4,863.98    | 6,352.83               | -1,488.85 |         | Y                 |
|                                         | Trade         | 45,000                  |                        | 07/11/08                       | 05/19/09     | 4,029.46    | 5,294.03               | -1,264.57 |         | Y                 |
|                                         | Trade         | 61,000                  |                        | 07/11/08                       | 07/06/09     | 4,470.70    | 7,176.35               | -2,705.65 |         | Y                 |
|                                         | Trade         | 2,000                   |                        | 10/09/08                       | 09/25/09     | 153.48      | 160.02                 | -6.54     |         | Y                 |
|                                         | Trade         | 28,000                  |                        | 10/09/08                       | 10/06/09     | 2,100.32    | 2,240.34               | -140.02   |         | Y                 |
|                                         | Trade         | 12,000                  |                        | 01/28/09                       | 10/06/09     | 900.14      | 956.54                 | -56.40    |         | Y                 |
|                                         | Trade         | 33,000                  |                        | 01/28/09                       | 10/07/09     | 2,453.04    | 2,630.49               | -177.45   |         | Y                 |
|                                         | Trade         | 5,000                   |                        | 01/28/09                       | 10/09/09     | 372.94      | 398.56                 | -25.62    |         | Y                 |
| NORFOLK STHN CORP                       | Trade         | 32,000                  |                        | 07/11/08                       | 03/10/09     | 886.04      | 1,968.60               | -1,082.56 |         | Y                 |
|                                         | Trade         | 27,000                  |                        | 07/11/08                       | 05/12/09     | 976.53      | 1,661.00               | -684.47   |         | Y                 |
| NRG ENERGY INC NEW                      | Trade         | 37,000                  |                        | 07/13/09                       | 09/25/09     | 1,007.11    | 849.53                 | 157.58    |         | Y                 |
|                                         | Trade         | 76,000                  |                        | 07/13/09                       | 12/23/09     | 1,863.12    | 1,744.99               | 118.13    |         | Y                 |
|                                         | Trade         | 20,000                  |                        | 07/13/09                       | 12/24/09     | 492.50      | 459.21                 | 33.29     |         | Y                 |
|                                         | Trade         | 11,000                  |                        | 07/13/09                       | 12/28/09     | 269.51      | 252.56                 | 16.95     |         | Y                 |

Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 18 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001500-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                              | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ORACLE CORP                                       | Trade         | 113,000                 |                        | 10/30/08                       | 02/02/09     | 1,894.70    | 2,088.65               | -193.95   |         | Y                 |
|                                                   | Trade         | 82,000                  |                        | 10/30/08                       | 03/05/09     | 1,219.77    | 1,515.66               | -295.89   |         | Y                 |
|                                                   | Trade         | 103,000                 |                        | 10/31/08                       | 03/05/09     | 1,532.16    | 1,893.47               | -361.31   |         | Y                 |
| PETROLEO BRASILEIRO SA<br>SPON ADR                | Trade         | 142,000                 |                        | 10/14/08                       | 09/25/09     | 6,354.69    | 4,609.08               | 1,745.61  |         | Y                 |
| POTASH CORP SASK INC<br>CANADA                    | Trade         | 30,000                  |                        | 02/10/09                       | 07/31/09     | 2,789.55    | 2,631.17               | 158.38    |         | Y                 |
|                                                   | Trade         | 6,000                   |                        | 02/10/09                       | 08/03/09     | 572.36      | 528.23                 | 46.13     |         | Y                 |
|                                                   | Trade         | 36,000                  |                        | 03/09/09                       | 08/03/09     | 3,434.15    | 2,480.44               | 953.71    |         | Y                 |
|                                                   | Trade         | 9,000                   |                        | 03/09/09                       | 09/25/09     | 812.05      | 620.11                 | 191.94    |         | Y                 |
|                                                   | Trade         | 10,000                  |                        | 03/10/09                       | 09/25/09     | 902.27      | 725.76                 | 176.51    |         | Y                 |
| PPG INDUSTRIES INC                                | Trade         | 35,000                  |                        | 08/27/09                       | 09/25/09     | 2,030.64    | 1,926.62               | 104.02    |         | Y                 |
|                                                   | Trade         | 9,000                   |                        | 08/28/09                       | 09/25/09     | 522.17      | 503.15                 | 19.02     |         | Y                 |
| ROCHE HLDG LTD SPONS ADR<br>SWITZ ADR             | Trade         | 91,000                  |                        | 03/12/09                       | 04/23/09     | 2,553.24    | 2,761.35               | -208.11   |         | Y                 |
|                                                   | Trade         | 51,000                  |                        | 03/12/09                       | 04/23/09     | 1,430.93    | 1,551.51               | -120.58   |         | Y                 |
|                                                   | Trade         | 139,000                 |                        | 03/20/09                       | 04/23/09     | 3,900.00    | 4,502.02               | -602.02   |         | Y                 |
| SCHERING PLOUGH CORP<br>**MERGER EFF: 11/09**     | Trade         | 95,000                  |                        | 12/02/08                       | 04/21/09     | 2,102.47    | 1,511.41               | 591.06    |         | Y                 |
|                                                   | Trade         | 15,000                  |                        | 12/03/08                       | 04/21/09     | 331.97      | 238.46                 | 93.51     |         | Y                 |
|                                                   | Trade         | 121,000                 |                        | 12/03/08                       | 04/22/09     | 2,618.19    | 1,923.56               | 694.63    |         | Y                 |
|                                                   | Trade         | 59,000                  |                        | 12/03/08                       | 04/23/09     | 1,276.14    | 937.93                 | 338.21    |         | Y                 |
|                                                   | Trade         | 1,000                   |                        | 12/03/08                       | 04/23/09     | 21.79       | 15.76                  | 6.03      |         | Y                 |
|                                                   | Trade         | 100,000                 |                        | 12/03/08                       | 04/23/09     | 2,162.94    | 1,575.70               | 587.24    |         | Y                 |
|                                                   | Trade         | 215,000                 |                        | 12/09/08                       | 04/23/09     | 4,685.67    | 3,439.27               | 1,246.40  |         | Y                 |
|                                                   | Trade         | 9,000                   |                        | 12/10/08                       | 04/23/09     | 196.14      | 140.85                 | 55.29     |         | Y                 |
| SCHLUMBERGER LTD<br>NETHERLANDS ANTILLES          | Trade         | 17,000                  |                        | 07/11/08                       | 02/17/09     | 666.92      | 1,688.95               | -1,022.03 |         | Y                 |
|                                                   | Trade         | 76,000                  |                        | 10/09/08                       | 02/17/09     | 2,940.82    | 4,601.80               | -1,660.98 |         | Y                 |
|                                                   | Trade         | 9,000                   |                        | 10/09/08                       | 02/17/09     | 353.08      | 544.95                 | -191.87   |         | Y                 |
|                                                   | Trade         | 43,000                  |                        | 10/13/08                       | 02/17/09     | 1,663.88    | 2,788.75               | -1,124.87 |         | Y                 |
| STARWOOD HOTELS &<br>RESORTS WORLDWIDE INC<br>NEW | Trade         | 45,000                  |                        | 10/20/08                       | 09/25/09     | 1,427.36    | 936.29                 | 491.07    |         | Y                 |

Continued on page 19

2009 INFO / WE 53763 WN



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 19 of 23 UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001501-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                           | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| <b>TAIWAN SEMICONDUCTOR MFG<br/>CO LTD ADR</b> |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 162,000                 |                        | 04/17/09                       | 09/25/09     | 1,701.60    | 1,550.26               | 151.34    |         | Y                 |
| Trade                                          | Trade         | 170,000                 |                        | 04/17/09                       | 10/27/09     | 1,679.87    | 1,626.82               | 53.05     |         | Y                 |
| Trade                                          | Trade         | 20,000                  |                        | 04/17/09                       | 10/28/09     | 192.68      | 191.39                 | 1.29      |         | Y                 |
| Trade                                          | Trade         | 280,000                 |                        | 05/05/09                       | 10/28/09     | 2,697.47    | 3,079.82               | -382.35   |         | Y                 |
| <b>TARGET CORP</b>                             |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 72,000                  |                        | 08/21/08                       | 02/02/09     | 2,165.32    | 3,627.53               | -1,462.21 |         | Y                 |
| Trade                                          | Trade         | 21,000                  |                        | 08/21/08                       | 02/24/09     | 597.50      | 1,058.03               | -460.53   |         | Y                 |
| Trade                                          | Trade         | 70,000                  |                        | 08/28/08                       | 02/24/09     | 1,991.65    | 3,844.70               | -1,853.05 |         | Y                 |
| Trade                                          | Trade         | 2,000                   |                        | 08/27/08                       | 02/24/09     | 56.90       | 104.00                 | -47.10    |         | Y                 |
| Trade                                          | Trade         | 12,000                  |                        | 08/27/08                       | 03/03/09     | 311.27      | 624.00                 | -312.73   |         | Y                 |
| Trade                                          | Trade         | 201,000                 |                        | 10/16/08                       | 03/03/09     | 5,213.80    | 7,593.06               | -2,379.26 |         | Y                 |
| <b>UNITED STATES STEEL CORP<br/>NEW</b>        |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 68,000                  |                        | 04/17/09                       | 06/12/09     | 2,710.91    | 1,993.83               | 717.08    |         | Y                 |
| Trade                                          | Trade         | 59,000                  |                        | 05/01/09                       | 08/12/09     | 2,352.12    | 1,604.69               | 747.43    |         | Y                 |
| <b>UNITEDHEALTH GROUP INC</b>                  |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 52,000                  |                        | 01/26/09                       | 03/04/09     | 936.00      | 1,557.17               | -621.17   |         | Y                 |
| Trade                                          | Trade         | 43,000                  |                        | 02/02/09                       | 03/04/09     | 774.00      | 1,253.61               | -479.61   |         | Y                 |
| Trade                                          | Trade         | 11,000                  |                        | 02/02/09                       | 03/05/09     | 179.42      | 320.69                 | -141.27   |         | Y                 |
| Trade                                          | Trade         | 53,000                  |                        | 02/04/09                       | 03/05/09     | 864.47      | 1,561.40               | -696.93   |         | Y                 |
| Trade                                          | Trade         | 62,000                  |                        | 02/06/09                       | 03/05/09     | 1,011.27    | 1,791.40               | -780.13   |         | Y                 |
| Trade                                          | Trade         | 71,000                  |                        | 02/18/09                       | 03/05/09     | 1,238.98    | 1,999.76               | -760.78   |         | Y                 |
| Trade                                          | Trade         | 33,000                  |                        | 02/18/09                       | 03/05/09     | 538.25      | 929.47                 | -391.22   |         | Y                 |
| Trade                                          | Trade         | 108,000                 |                        | 02/19/09                       | 03/05/09     | 1,884.64    | 3,096.15               | -1,211.51 |         | Y                 |
| <b>US BANCORP DEL (NEW)</b>                    |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 49,000                  |                        | 07/21/08                       | 01/20/09     | 847.01      | 1,347.44               | -500.43   |         | Y                 |
| Trade                                          | Trade         | 61,000                  |                        | 07/23/08                       | 01/20/09     | 1,054.44    | 1,840.17               | -785.73   |         | Y                 |
| Trade                                          | Trade         | 20,000                  |                        | 07/28/08                       | 01/20/09     | 345.72      | 571.50                 | -225.78   |         | Y                 |
| Trade                                          | Trade         | 27,000                  |                        | 07/30/08                       | 01/20/09     | 466.72      | 826.79                 | -360.07   |         | Y                 |
| Trade                                          | Trade         | 69,000                  |                        | 07/30/08                       | 01/20/09     | 1,192.73    | 2,137.14               | -944.41   |         | Y                 |
| Trade                                          | Trade         | 27,000                  |                        | 07/30/08                       | 01/22/09     | 380.48      | 826.79                 | -446.31   |         | Y                 |
| Trade                                          | Trade         | 67,000                  |                        | 08/05/08                       | 01/22/09     | 944.16      | 2,071.94               | -1,127.78 |         | Y                 |
| Trade                                          | Trade         | 11,000                  |                        | 08/18/08                       | 01/22/09     | 155.01      | 337.42                 | -182.41   |         | Y                 |
| Trade                                          | Trade         | 1,000                   |                        | 08/18/08                       | 02/17/09     | 11.04       | 30.67                  | -19.63    |         | Y                 |
| Trade                                          | Trade         | 145,000                 |                        | 08/18/08                       | 02/18/09     | 1,519.89    | 4,447.83               | -2,927.94 |         | Y                 |
| Trade                                          | Trade         | 99,000                  |                        | 12/03/08                       | 12/03/09     | 2,327.30    | 2,530.77               | -203.47   |         | Y                 |
| Trade                                          | Trade         | 7,000                   |                        | 03/13/09                       | 12/03/09     | 164.58      | 100.05                 | 64.51     |         | Y                 |
| <b>VISA INC CL A</b>                           |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 82,000                  |                        | 07/11/08                       | 06/22/09     | 5,105.51    | 6,161.48               | -1,055.97 |         | Y                 |

Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 20 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001502-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                          | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount       | Cost or Other<br>Basis | Gain/Loss         | Remarks | Matches<br>1099-B |
|-----------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------------|------------------------|-------------------|---------|-------------------|
| VISA INC CL A                                 | Trade         | 66,000                  |                        | 10/03/08                       | 09/25/09     | 4,687.41          | 3,516.93               | 1,170.48          |         | Y                 |
|                                               | Trade         | 3,000                   |                        | 10/08/08                       | 10/06/09     | 205.65            | 159.86                 | 45.79             |         | Y                 |
| WAL MART STORES INC                           | Trade         | 63,000                  |                        | 10/03/08                       | 05/05/09     | 3,180.48          | 3,782.81               | -602.33           |         | Y                 |
|                                               | Trade         | 68,000                  |                        | 10/03/08                       | 05/05/09     | 3,424.53          | 4,083.03               | -658.50           |         | Y                 |
|                                               | Trade         | 20,000                  |                        | 10/16/08                       | 05/05/09     | 1,009.67          | 1,073.99               | -64.32            |         | Y                 |
|                                               | Trade         | 67,000                  |                        | 10/16/08                       | 05/11/09     | 3,402.20          | 3,597.86               | -195.66           |         | Y                 |
|                                               | Trade         | 102,000                 |                        | 10/16/08                       | 09/08/09     | 5,244.05          | 5,477.34               | -233.29           |         | Y                 |
|                                               | Trade         | 14,000                  |                        | 10/16/08                       | 09/09/09     | 712.99            | 751.79                 | -38.80            |         | Y                 |
|                                               | Trade         | 58,000                  |                        | 10/17/08                       | 09/09/09     | 2,953.83          | 3,139.87               | -186.04           |         | Y                 |
|                                               | Trade         | 38,000                  |                        | 02/19/09                       | 09/09/09     | 1,935.27          | 1,902.31               | 32.96             |         | Y                 |
| WALT DISNEY CO (HOLDING<br>CO) DISNEY COM     | Trade         | 54,000                  |                        | 12/08/08                       | 02/05/09     | 1,004.06          | 1,391.12               | -387.06           |         | Y                 |
|                                               | Trade         | 10,000                  |                        | 12/08/08                       | 02/18/09     | 176.37            | 257.61                 | -81.24            |         | Y                 |
|                                               | Trade         | 72,000                  |                        | 12/09/08                       | 02/18/09     | 1,269.86          | 1,704.61               | -434.75           |         | Y                 |
| WELLS FARGO & CO NEW                          | Trade         | 296,000                 |                        | 07/11/08                       | 01/20/09     | 4,812.84          | 6,674.36               | -1,861.52         |         | Y                 |
|                                               | Trade         | 58,000                  |                        | 07/11/08                       | 01/21/09     | 868.53            | 1,307.81               | -439.28           |         | Y                 |
|                                               | Trade         | 101,000                 |                        | 07/21/08                       | 01/21/09     | 1,512.44          | 2,792.52               | -1,280.08         |         | Y                 |
|                                               | Trade         | 159,000                 |                        | 07/21/08                       | 02/17/09     | 2,312.22          | 4,396.14               | -2,083.92         |         | Y                 |
|                                               | Trade         | 112,000                 |                        | 11/06/08                       | 02/17/09     | 1,628.74          | 3,186.67               | -1,557.93         |         | Y                 |
|                                               | Trade         | 76,000                  |                        | 11/06/08                       | 02/19/09     | 942.37            | 2,162.38               | -1,220.01         |         | Y                 |
|                                               | Trade         | 200,000                 |                        | 11/06/08                       | 02/20/09     | 1,966.20          | 5,690.48               | -3,724.28         |         | Y                 |
|                                               | Trade         | 45,000                  |                        | 12/03/08                       | 02/20/09     | 442.40            | 1,197.87               | -755.47           |         | Y                 |
|                                               | Trade         | 223,000                 |                        | 05/04/09                       | 09/25/09     | 6,283.97          | 5,165.39               | 1,118.58          |         | Y                 |
|                                               | Trade         | 27,000                  |                        | 05/05/09                       | 09/25/09     | 760.84            | 619.58                 | 141.26            |         | Y                 |
| WELLS FARGO & CO NEW<br>8.000% PREFERRED CLBL | Trade         | 60,000                  |                        | 12/30/08                       | 09/25/09     | 1,501.16          | 1,313.89               | 187.27            |         | Y                 |
| XTO ENERGY INC                                | Trade         | 20,000                  |                        | 05/07/09                       | 09/25/09     | 837.84            | 858.96                 | -21.12            |         | Y                 |
|                                               | Trade         | 59,000                  |                        | 05/07/09                       | 11/09/09     | 2,624.67          | 2,533.93               | 90.74             |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>             |               |                         |                        |                                |              | <b>358,060.33</b> | <b>401,062.60</b>      | <b>-43,002.27</b> |         |                   |



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-628-8500/800-458-1764

Page 21 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001503-X

### Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                           | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| <b>APPLE INC</b>                               |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 78,000                  |                        | 07/11/08                       | 07/20/09     | 11,989.48   | 13,469.04              | -1,479.56 |         | Y                 |
| Trade                                          | Trade         | 47,000                  |                        | 07/11/08                       | 09/25/09     | 8,635.55    | 8,115.96               | 519.59    |         | Y                 |
| Trade                                          | Trade         | 12,000                  |                        | 07/11/08                       | 12/16/09     | 2,349.08    | 2,072.16               | 276.90    |         | Y                 |
| Trade                                          | Trade         | 5,000                   |                        | 07/24/08                       | 12/16/09     | 978.77      | 806.18                 | 172.59    |         | Y                 |
| <b>COSTCO WHOLESALE CORP</b>                   |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 33,000                  |                        | 07/11/08                       | 09/25/09     | 1,887.42    | 2,298.14               | -428.72   |         | Y                 |
| Trade                                          | Trade         | 37,000                  |                        | 07/11/08                       | 09/28/09     | 2,088.47    | 2,574.46               | -485.99   |         | Y                 |
| Trade                                          | Trade         | 24,000                  |                        | 07/23/08                       | 09/28/09     | 1,354.69    | 1,516.58               | -161.87   |         | Y                 |
| Trade                                          | Trade         | 25,000                  |                        | 07/23/08                       | 09/30/09     | 1,414.42    | 1,579.75               | -165.33   |         | Y                 |
| Trade                                          | Trade         | 28,000                  |                        | 07/23/08                       | 09/30/09     | 1,567.96    | 1,769.32               | -201.36   |         | Y                 |
| Trade                                          | Trade         | 30,000                  |                        | 07/28/08                       | 09/30/09     | 1,679.95    | 1,834.30               | -154.35   |         | Y                 |
| Trade                                          | Trade         | 33,000                  |                        | 07/30/08                       | 09/30/09     | 1,847.95    | 2,072.91               | -224.96   |         | Y                 |
| Trade                                          | Trade         | 10,000                  |                        | 07/30/08                       | 09/30/09     | 559.98      | 627.29                 | -67.31    |         | Y                 |
| Trade                                          | Trade         | 4,000                   |                        | 08/06/08                       | 09/30/09     | 223.99      | 262.66                 | -38.67    |         | Y                 |
| Trade                                          | Trade         | 25,000                  |                        | 08/08/08                       | 10/01/09     | 1,393.98    | 1,841.60               | -247.64   |         | Y                 |
| <b>CVS CAREMARK CORP</b>                       |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 73,000                  |                        | 07/11/08                       | 09/18/09     | 2,629.82    | 2,820.48               | -190.66   |         | Y                 |
| Trade                                          | Trade         | 82,000                  |                        | 07/11/08                       | 09/23/09     | 2,979.40    | 3,168.21               | -188.81   |         | Y                 |
| Trade                                          | Trade         | 115,000                 |                        | 07/11/08                       | 09/24/09     | 4,059.55    | 4,443.22               | -383.67   |         | Y                 |
| Trade                                          | Trade         | 84,000                  |                        | 07/11/08                       | 09/25/09     | 2,979.68    | 3,245.48               | -265.80   |         | Y                 |
| Trade                                          | Trade         | 39,000                  |                        | 07/11/08                       | 09/28/09     | 1,375.25    | 1,508.83               | -131.58   |         | Y                 |
| Trade                                          | Trade         | 32,000                  |                        | 07/11/08                       | 10/02/09     | 1,130.91    | 1,236.37               | -105.46   |         | Y                 |
| <b>GENENTECH INC **MERGER<br/>EFF 3/2009**</b> |               |                         |                        |                                |              |             |                        |           |         |                   |
| Tender                                         | Tender        | 305,000                 |                        | 04/10/08                       | 03/28/09     | 28,975.00   | 24,640.95              | 4,334.05  |         | Y                 |
| Tender                                         | Tender        | 1,000                   |                        | 09/12/08                       | 03/26/09     | 95.00       | 78.37                  | 16.63     |         | Y                 |
| Tender                                         | Tender        | 1,000                   |                        | 03/15/07                       | 03/26/09     | 95.00       | 80.86                  | 14.14     |         | Y                 |
| Tender                                         | Tender        | 15,000                  |                        | 07/11/07                       | 03/26/09     | 1,425.00    | 1,129.80               | 295.20    |         | Y                 |
| Tender                                         | Tender        | 5,000                   |                        | 08/02/07                       | 03/26/09     | 475.00      | 372.04                 | 102.96    |         | Y                 |
| Tender                                         | Tender        | 5,000                   |                        | 08/23/07                       | 03/26/09     | 475.00      | 385.93                 | 109.07    |         | Y                 |
| Tender                                         | Tender        | 14,000                  |                        | 08/24/07                       | 03/26/09     | 1,330.00    | 1,026.92               | 303.08    |         | Y                 |
| Tender                                         | Tender        | 8,000                   |                        | 11/13/07                       | 03/26/09     | 760.00      | 590.13                 | 169.87    |         | Y                 |
| Tender                                         | Tender        | 8,000                   |                        | 12/04/07                       | 03/26/09     | 760.00      | 582.78                 | 177.22    |         | Y                 |
| Tender                                         | Tender        | 9,000                   |                        | 12/11/07                       | 03/26/09     | 855.00      | 630.65                 | 224.35    |         | Y                 |
| <b>GENL DYNAMICS CORP</b>                      |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 99,000                  |                        | 07/11/08                       | 09/25/09     | 6,198.25    | 7,999.87               | -1,803.62 |         | Y                 |
| <b>GOLDMAN SACHS GROUP INC</b>                 |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                          | Trade         | 13,000                  |                        | 07/11/08                       | 09/25/09     | 2,345.27    | 2,080.65               | 264.62    |         | Y                 |
| Trade                                          | Trade         | 50,000                  |                        | 09/19/08                       | 09/25/09     | 9,020.25    | 6,626.17               | 2,394.08  |         | Y                 |

Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 22 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001504-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description           | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| <b>GOLDMAN SACHS GROUP INC</b> |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 2,000                   |                        | 09/19/08                       | 11/10/09     | 352.00      | 265.05                 | 86.95     |         | Y                 |
| <b>LOCKHEED MARTIN CORP</b>    |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 24,000                  |                        | 07/11/08                       | 07/17/09     | 1,940.17    | 2,455.23               | -515.06   |         | Y                 |
| Trade                          | Trade         | 48,000                  |                        | 07/11/08                       | 07/21/09     | 3,479.70    | 4,705.85               | -1,226.15 |         | Y                 |
| Trade                          | Trade         | 24,000                  |                        | 07/11/08                       | 07/21/09     | 1,818.34    | 2,455.23               | -636.89   |         | Y                 |
| Trade                          | Trade         | 64,000                  |                        | 07/11/08                       | 08/06/09     | 4,797.69    | 6,547.27               | -1,749.58 |         | Y                 |
| Trade                          | Trade         | 64,000                  |                        | 07/11/08                       | 08/25/09     | 4,735.88    | 6,547.27               | -1,811.39 |         | Y                 |
| <b>LOWES COMPANIES INC</b>     |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 23,000                  |                        | 07/11/08                       | 09/15/09     | 488.37      | 435.85                 | 52.52     |         | Y                 |
| Trade                          | Trade         | 89,000                  |                        | 07/11/08                       | 09/17/09     | 1,928.86    | 1,688.55               | 242.31    |         | Y                 |
| Trade                          | Trade         | 94,000                  |                        | 07/11/08                       | 09/25/09     | 1,973.00    | 1,781.30               | 191.70    |         | Y                 |
| Trade                          | Trade         | 274,000                 |                        | 07/11/08                       | 10/01/09     | 5,617.29    | 5,192.30               | 424.99    |         | Y                 |
| <b>MASTERCARD INC CL A</b>     |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 38,000                  |                        | 07/11/08                       | 07/31/09     | 7,402.24    | 9,796.80               | -2,394.56 |         | Y                 |
| Trade                          | Trade         | 21,000                  |                        | 07/11/08                       | 09/25/09     | 4,373.97    | 5,414.02               | -1,040.05 |         | Y                 |
| <b>MCDONALDS CORP</b>          |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 55,000                  |                        | 07/11/08                       | 07/31/09     | 3,025.77    | 3,153.06               | -127.29   |         | Y                 |
| Trade                          | Trade         | 56,000                  |                        | 07/11/08                       | 09/11/09     | 3,581.73    | 3,783.67               | -201.94   |         | Y                 |
| Trade                          | Trade         | 69,000                  |                        | 07/11/08                       | 09/14/09     | 3,730.18    | 3,955.65               | -225.47   |         | Y                 |
| Trade                          | Trade         | 145,000                 |                        | 07/11/08                       | 09/25/09     | 8,262.24    | 8,312.60               | -50.36    |         | Y                 |
| <b>MONSANTO CO NEW</b>         |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 55,000                  |                        | 07/11/08                       | 07/21/09     | 4,453.39    | 6,470.48               | -2,017.09 |         | Y                 |
| Trade                          | Trade         | 25,000                  |                        | 07/11/08                       | 07/31/09     | 2,118.15    | 2,941.13               | -822.98   |         | Y                 |
| Trade                          | Trade         | 29,000                  |                        | 07/11/08                       | 09/25/09     | 2,225.39    | 3,411.71               | -1,186.32 |         | Y                 |
| <b>NIKE INC CL B</b>           |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 41,000                  |                        | 07/10/08                       | 09/25/09     | 2,445.58    | 2,275.88               | 169.70    |         | Y                 |
| Trade                          | Trade         | 31,000                  |                        | 07/11/08                       | 09/25/09     | 1,849.10    | 1,747.29               | 101.81    |         | Y                 |
| <b>NORFOLK STN CORP</b>        |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 85,000                  |                        | 07/11/08                       | 09/25/09     | 3,725.45    | 5,229.08               | -1,503.63 |         | Y                 |
| <b>PRAXAIR INC</b>             |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 47,000                  |                        | 07/11/08                       | 09/25/09     | 3,757.86    | 4,249.90               | -492.04   |         | Y                 |
| <b>QUALCOMM INC</b>            |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 127,000                 |                        | 07/11/08                       | 09/25/09     | 5,680.98    | 6,052.82               | -371.84   |         | Y                 |
| Trade                          | Trade         | 44,000                  |                        | 07/24/08                       | 09/25/09     | 1,968.21    | 2,320.85               | -352.64   |         | Y                 |
| <b>TRANSCOCEAN LTD</b>         |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 62,000                  |                        | 07/11/08                       | 09/25/09     | 5,134.08    | 8,974.10               | -3,840.02 |         | Y                 |
| <b>UNION PACIFIC CORP</b>      |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                          | Trade         | 83,000                  |                        | 07/11/08                       | 09/25/09     | 4,969.91    | 5,943.36               | -973.45   |         | Y                 |

Continued on page 23

2009 INFO / WE 53763 WN



Account Number WE 53763 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 23 of 23

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001505-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| This section includes securities held for more than one year, one year or less, and other securities |               |                         |                        |                                |              |             |                        |            |         |                   |
|------------------------------------------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|------------|---------|-------------------|
| Security Description                                                                                 | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss  | Remarks | Matches<br>1099-B |
| US BANCORP DEL (NEW)                                                                                 | Trade         | 82,000                  |                        | 08/18/08                       | 09/25/09     | 1,796.90    | 2,515.33               | -718.43    |         | Y                 |
|                                                                                                      | Trade         | 45,000                  |                        | 09/03/08                       | 09/25/09     | 986.10      | 1,462.86               | -476.76    |         | Y                 |
|                                                                                                      | Trade         | 51,000                  |                        | 09/03/08                       | 12/03/09     | 1,198.91    | 1,657.91               | -459.00    |         | Y                 |
| VISA INC CL A                                                                                        | Trade         | 63,000                  |                        | 07/11/08                       | 07/31/09     | 4,136.31    | 4,733.82               | -597.51    |         | Y                 |
|                                                                                                      | Trade         | 3,000                   |                        | 07/11/08                       | 09/25/09     | 213.06      | 225.42                 | -12.36     |         | Y                 |
|                                                                                                      | Trade         | 29,000                  |                        | 07/30/08                       | 09/25/09     | 2,059.62    | 2,256.83               | -197.21    |         | Y                 |
|                                                                                                      | Trade         | 31,000                  |                        | 10/08/08                       | 12/16/09     | 2,706.17    | 1,651.89               | 1,054.28   |         | Y                 |
|                                                                                                      | Trade         | 25,000                  |                        | 10/08/08                       | 12/21/09     | 2,176.02    | 1,332.17               | 843.85     |         | Y                 |
| YUMI BRANDS INC                                                                                      | Trade         | 55,000                  |                        | 07/11/08                       | 07/17/09     | 1,857.20    | 1,947.55               | -90.35     |         | Y                 |
|                                                                                                      | Trade         | 59,000                  |                        | 07/11/08                       | 07/17/09     | 1,982.64    | 2,089.19               | -106.55    |         | Y                 |
|                                                                                                      | Trade         | 113,000                 |                        | 07/11/08                       | 07/30/09     | 3,991.71    | 4,001.33               | -9.62      |         | Y                 |
|                                                                                                      | Trade         | 54,000                  |                        | 07/11/08                       | 09/16/09     | 1,816.18    | 1,912.14               | -95.96     |         | Y                 |
|                                                                                                      | Trade         | 120,000                 |                        | 07/11/08                       | 09/25/09     | 3,934.28    | 4,249.20               | -314.92    |         | Y                 |
|                                                                                                      | Trade         | 86,000                  |                        | 07/11/08                       | 10/16/09     | 3,014.01    | 3,045.26               | -31.25     |         | Y                 |
|                                                                                                      | Trade         | 86,000                  |                        | 07/11/08                       | 10/28/09     | 2,897.54    | 3,045.26               | -147.72    |         | Y                 |
|                                                                                                      | Trade         | 43,000                  |                        | 07/11/08                       | 10/30/09     | 1,423.32    | 1,522.63               | -99.31     |         | Y                 |
|                                                                                                      | Trade         | 133,000                 |                        | 07/11/08                       | 11/02/09     | 4,399.57    | 4,709.53               | -309.96    |         | Y                 |
|                                                                                                      |               |                         |                        |                                |              | 242,338.10  | 261,726.66             | -19,388.56 |         |                   |
| Total Long-Term Gain/Loss                                                                            |               |                         |                        |                                |              |             |                        |            |         |                   |



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 31 of 48 UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001511-X

### 2009 Realized Gain/Loss Summary (Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec. 1259) for short-against-the-box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                                                                    | Purchase      | Sale          | Gains        | Losses         | Net            |
|--------------------------------------------------------------------|---------------|---------------|--------------|----------------|----------------|
|                                                                    |               |               |              |                | Gain/Loss      |
| Short-term Gain/Loss:                                              | \$ 563,171.85 | \$ 443,638.06 | \$ 32,573.28 | \$ -152,107.07 | \$ -119,533.79 |
| Long-term Gain/Loss:                                               | 173,351.49    | 133,738.58    | 1,246.48     | -40,859.40     | -39,612.91     |
| Unclassified Gain/Loss:                                            |               | 13,279.42     |              |                |                |
| Sub Total:                                                         | \$ 736,523.34 | \$ 577,376.64 | \$ 33,819.77 | \$ -192,966.47 | \$ -159,146.70 |
| Activity with Gain/Loss not calculated due to missing information: | \$            | \$ 13,279.42  | \$           | \$             | \$             |
| Total:                                                             | \$ 736,523.34 | \$ 590,656.06 | \$ 33,819.77 | \$ -192,966.47 | \$ -159,146.70 |

### Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description   | Activity Type | Quantity | Face value | Original Cost Basis | Purchase date | Date Sold | Sale Amount | Cost or Other Basis | Gain/Loss | Remarks | Matches 1099-B |
|------------------------|---------------|----------|------------|---------------------|---------------|-----------|-------------|---------------------|-----------|---------|----------------|
| ABB LTD SPON ADR       | Trade         | 14,000   |            |                     | 03/03/09      | 06/11/09  | 235.90      | 157.48              | 78.42     |         | Y              |
|                        | Trade         | 80,000   |            |                     | 03/03/08      | 09/25/09  | 1,203.56    | 674.90              | 528.66    |         | Y              |
| ACCIONA S.A.           | Trade         | 6,000    |            |                     | 01/22/09      | 01/29/09  | 674.27      | 675.07              | -80       |         | Y              |
|                        | Trade         | 2,000    |            |                     | 01/22/09      | 03/24/09  | 225.39      | 225.02              | .37       |         | Y              |
|                        | Trade         | 7,000    |            |                     | 01/22/09      | 09/28/09  | 951.86      | 787.58              | 164.28    |         | Y              |
| ACTELION LTD ALLSCHWIL | Trade         | 24,000   |            |                     | 07/08/08      | 01/29/09  | 1,281.43    | 1,210.08            | 51.35     |         | Y              |
|                        | Trade         | 3,000    |            |                     | 07/08/08      | 03/24/09  | 134.45      | 151.26              | -16.81    |         | Y              |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1784

Page 32 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

### Short-Term Gain/Loss - continued

C32G001512-X

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                                               | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| <b>ADMIRAL GROUP PLC \$0.46</b>                                    |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 65,000                  |                        | 07/08/08                       | 01/29/09     | 840.44      | 988.50                 | -128.06   |         | Y                 |
| Trade                                                              | Trade         | 210,000                 |                        | 07/08/08                       | 04/16/09     | 2,704.39    | 3,129.00               | -424.61   |         | Y                 |
| Trade                                                              | Trade         | 38,000                  |                        | 07/07/09                       | 08/25/09     | 646.26      | 549.64                 | 96.62     |         | Y                 |
| Trade                                                              | Trade         | 47,000                  |                        | 07/07/09                       | 09/28/09     | 853.07      | 679.82                 | 173.25    |         | Y                 |
| Trade                                                              | Trade         | 183,000                 |                        | 07/07/09                       | 11/09/09     | 3,192.53    | 2,646.97               | 545.56    |         | Y                 |
| <b>ADVANCED INFO SVC PUB CO<br/>LTD SPONS ADR THAILAND<br/>ADR</b> |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 329,000                 |                        | 09/08/09                       | 09/25/09     | 921.17      | 907.74                 | 13.43     |         | Y                 |
| Trade                                                              | Trade         | 300,000                 |                        | 09/08/09                       | 12/14/09     | 746.62      | 827.73                 | -81.11    |         | Y                 |
| Trade                                                              | Trade         | 315,000                 |                        | 09/08/09                       | 12/23/09     | 786.37      | 869.12                 | -82.75    |         | Y                 |
| Trade                                                              | Trade         | 165,000                 |                        | 09/08/09                       | 12/24/09     | 412.08      | 455.25                 | -43.17    |         | Y                 |
| Trade                                                              | Trade         | 197,000                 |                        | 09/08/09                       | 12/28/09     | 491.18      | 543.54                 | -52.36    |         | Y                 |
| <b>ADVANTEST CORP ADR</b>                                          |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 14,000                  |                        | 07/29/09                       | 08/18/09     | 347.24      | 292.82                 | 54.42     |         | Y                 |
| Trade                                                              | Trade         | 20,000                  |                        | 07/29/09                       | 09/02/09     | 498.64      | 418.31                 | 80.33     |         | Y                 |
| Trade                                                              | Trade         | 26,000                  |                        | 07/29/09                       | 09/03/09     | 642.61      | 543.80                 | 98.81     |         | Y                 |
| Trade                                                              | Trade         | 33,000                  |                        | 07/29/09                       | 09/25/09     | 917.85      | 690.21                 | 227.64    |         | Y                 |
| Trade                                                              | Trade         | 87,000                  |                        | 07/29/09                       | 10/29/09     | 1,990.19    | 1,819.64               | 170.55    |         | Y                 |
| Trade                                                              | Trade         | 28,000                  |                        | 08/10/09                       | 10/29/09     | 640.52      | 651.16                 | -10.64    |         | Y                 |
| Trade                                                              | Trade         | 23,000                  |                        | 10/09/09                       | 10/29/09     | 526.14      | 604.15                 | -78.01    |         | Y                 |
| Trade                                                              | Trade         | 22,000                  |                        | 10/09/09                       | 10/29/09     | 503.27      | 576.65                 | -73.38    |         | Y                 |
| <b>AIR LIQUIDE ADR FRANCE<br/>ADR</b>                              |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 57,000                  |                        | 07/08/08                       | 01/29/09     | 863.54      | 1,496.25               | -632.71   |         | Y                 |
| Trade                                                              | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 86.99       | 131.25                 | -44.26    |         | Y                 |
| <b>ALSTOM SHS PROV<br/>REGROUPMENT ORD</b>                         |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 18,000                  |                        | 07/08/08                       | 01/29/09     | 881.63      | 2,007.54               | -1,125.91 |         | Y                 |
| Trade                                                              | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 275.39      | 557.65                 | -282.26   |         | Y                 |
| <b>AMP LTD ADR</b>                                                 |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 45,000                  |                        | 08/24/09                       | 09/25/09     | 951.72      | 926.36                 | 25.36     |         | Y                 |
| <b>ANGLO AMERN PLC NEW ADR</b>                                     |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 86,000                  |                        | 07/08/08                       | 01/29/09     | 822.53      | 2,567.10               | -1,744.57 |         | Y                 |
| Trade                                                              | Trade         | 222,000                 |                        | 07/08/08                       | 02/24/09     | 1,645.10    | 6,626.70               | -4,981.60 |         | Y                 |
| Trade                                                              | Trade         | 281,000                 |                        | 10/31/08                       | 02/24/09     | 2,082.30    | 3,573.51               | -1,491.21 |         | Y                 |
| Trade                                                              | Trade         | 119,000                 |                        | 08/24/09                       | 09/25/09     | 1,945.60    | 2,006.65               | -61.05    |         | Y                 |
| <b>ARCELORMITTAL SA NY REG</b>                                     |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 23,000                  |                        | 05/07/09                       | 09/02/09     | 784.27      | 628.02                 | 156.25    |         | Y                 |
| Trade                                                              | Trade         | 20,000                  |                        | 05/07/09                       | 09/25/09     | 744.31      | 546.11                 | 198.20    |         | Y                 |
| <b>BAE SYSTEMS PLC SPON ADR</b>                                    |               |                         |                        |                                |              |             |                        |           |         |                   |
| Trade                                                              | Trade         | 60,000                  |                        | 07/08/08                       | 01/29/09     | 1,364.99    | 2,100.00               | -735.01   |         | Y                 |

Continued on page 33

2009 INFO / WE 53764 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 33 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001513-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                     | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| BAE SYSTEMS PLC SPON ADR                 | Trade         | 20,000                  |                        | 07/08/08                       | 03/24/09     | 407.99      | 700.00                 | -292.01   |         | Y                 |
| BANCO SANTANDER CHILE<br>ADR             | Trade         | 13,000                  |                        | 08/25/09                       | 09/25/09     | 729.93      | 723.87                 | 6.06      |         | Y                 |
| BANK OF AYUDHYA PCL NVDR                 | Trade         | 3,300,000               |                        | 07/17/09                       | 08/18/09     | 1,888.56    | 1,593.24               | 95.32     |         | Y                 |
|                                          | Trade         | 2,500,000               |                        | 07/17/09                       | 09/10/09     | 1,351.71    | 1,207.00               | 144.71    |         | Y                 |
|                                          | Trade         | 2,900,000               |                        | 07/17/09                       | 09/25/09     | 1,594.95    | 1,400.12               | 194.83    |         | Y                 |
| BANK SARASIN & CIE REG B                 | Trade         | 30,000                  |                        | 11/28/08                       | 01/29/09     | 720.89      | 720.48                 | .41       |         | Y                 |
|                                          | Trade         | 4,000                   |                        | 11/28/08                       | 03/24/09     | 82.47       | 96.06                  | -13.59    |         | Y                 |
|                                          | Trade         | 11,000                  |                        | 11/28/08                       | 09/28/09     | 457.37      | 284.18                 | 193.19    |         | Y                 |
|                                          | Trade         | 18,000                  |                        | 12/01/08                       | 09/28/09     | 748.42      | 428.04                 | 320.38    |         | Y                 |
| BANK YOKOHAMA LTD JAPAN<br>ADR JAPAN ADR | Trade         | 50,000                  |                        | 10/29/08                       | 01/29/09     | 2,602.98    | 2,305.34               | 297.84    |         | Y                 |
|                                          | Trade         | 85,000                  |                        | 10/29/08                       | 03/03/09     | 2,663.75    | 2,996.94               | -333.19   |         | Y                 |
|                                          | Trade         | 87,000                  |                        | 12/05/08                       | 03/03/09     | 3,585.33    | 4,382.73               | -817.40   |         | Y                 |
|                                          | Trade         | 48,000                  |                        | 12/09/08                       | 03/03/09     | 2,008.08    | 2,529.34               | -521.28   |         | Y                 |
| BARRICK GOLD CORP                        | Trade         | 22,000                  |                        | 04/07/09                       | 09/25/09     | 792.25      | 647.34                 | 144.91    |         | Y                 |
|                                          | Trade         | 21,000                  |                        | 04/07/09                       | 12/14/09     | 840.21      | 617.92                 | 222.29    |         | Y                 |
| BAYER A G SPON ADR                       | Trade         | 31,000                  |                        | 07/08/08                       | 01/28/09     | 1,720.49    | 2,655.15               | -934.66   |         | Y                 |
|                                          | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 259.99      | 428.25                 | -168.26   |         | Y                 |
|                                          | Trade         | 34,000                  |                        | 07/08/08                       | 07/02/09     | 1,825.29    | 2,912.10               | -1,086.81 |         | Y                 |
| BG GROUP PLC SPON ADR                    | Trade         | 11,000                  |                        | 09/04/08                       | 01/29/09     | 732.59      | 1,044.21               | -311.62   |         | Y                 |
|                                          | Trade         | 29,000                  |                        | 09/04/08                       | 08/11/09     | 2,399.78    | 2,752.92               | -353.16   |         | Y                 |
| BILFINGER BERGER AG ADR                  | Trade         | 47,000                  |                        | 09/11/09                       | 09/25/09     | 633.54      | 636.45                 | -2.91     |         | Y                 |
| BP PLC SPON ADR                          | Trade         | 322,000                 |                        | 10/31/08                       | 01/28/09     | 13,946.35   | 15,618.48              | -1,672.13 |         | Y                 |
| BRITISH AMER TOBACCO PLC<br>GB SPON ADR  | Trade         | 33,000                  |                        | 07/08/08                       | 01/29/09     | 1,788.58    | 2,290.88               | -502.30   |         | Y                 |
|                                          | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 235.49      | 347.10                 | -111.61   |         | Y                 |
|                                          | Trade         | 4,000                   |                        | 07/08/08                       | 08/11/09     | 221.52      | 277.68                 | -56.16    |         | Y                 |
| BUNZL PLC ORD GBP                        | Trade         | 78,000                  |                        | 07/10/09                       | 09/28/09     | 776.07      | 666.53                 | 109.54    |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 34 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001514-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                                   | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| CANON INC ADR JAPAN SPON<br>ADR                                        | Trade         | 184,000                 |                        | 07/08/08                       | 01/26/09     | 5,170.20    | 9,074.88               | -3,904.68 |         | Y                 |
| CARNIVAL CORP NEW<br>(PAIRED STOCK)                                    | Trade         | 21,000                  |                        | 09/23/09                       | 09/25/09     | 695.99      | 703.48                 | -7.49     |         | Y                 |
| CENTRICA PLC NEW 2004<br>SPON ADR                                      | Trade         | 51,000                  |                        | 08/27/09                       | 09/25/09     | 836.88      | 803.43                 | 33.45     |         | Y                 |
| CHINA MOBILE LTD SPON<br>ADR                                           | Trade         | 18,000                  |                        | 06/10/09                       | 09/25/09     | 897.16      | 944.88                 | -47.72    |         | Y                 |
|                                                                        | Trade         | 70,000                  |                        | 06/10/09                       | 12/01/09     | 3,333.24    | 3,674.52               | -341.28   |         | Y                 |
|                                                                        | Trade         | 9,000                   |                        | 07/01/09                       | 12/01/09     | 428.56      | 458.13                 | -29.57    |         | Y                 |
| COMPANHIA VALE DO RIO<br>DOCE SPONS ADR 250 PREF<br>**NAME CHANGE: 05/ | Trade         | 134,000                 |                        | 12/10/08                       | 01/29/09     | 1,676.40    | 1,409.63               | 266.77    |         | Y                 |
|                                                                        | Trade         | 255,000                 |                        | 12/10/08                       | 03/03/09     | 2,754.39    | 2,682.50               | 71.89     |         | Y                 |
|                                                                        | Trade         | 40,000                  |                        | 01/06/09                       | 03/03/09     | 432.06      | 533.38                 | -101.32   |         | Y                 |
|                                                                        | Trade         | 121,000                 |                        | 01/06/09                       | 05/12/09     | 1,873.65    | 1,613.47               | 260.18    |         | Y                 |
| COMPANHIA DE BEBIDAS DAS<br>AMERS AMBEV SPON ADR                       | Trade         | 23,000                  |                        | 10/31/08                       | 01/29/09     | 944.60      | 987.00                 | -42.40    |         | Y                 |
|                                                                        | Trade         | 2,000                   |                        | 10/31/08                       | 03/24/09     | 96.63       | 85.83                  | 10.80     |         | Y                 |
|                                                                        | Trade         | 27,000                  |                        | 10/31/08                       | 05/12/09     | 1,593.18    | 1,158.66               | 434.52    |         | Y                 |
|                                                                        | Trade         | 37,000                  |                        | 10/31/08                       | 06/02/09     | 2,474.14    | 1,587.79               | 886.35    |         | Y                 |
|                                                                        | Trade         | 11,000                  |                        | 10/31/08                       | 09/25/09     | 870.40      | 472.05                 | 398.35    |         | Y                 |
|                                                                        | Trade         | 1,000                   |                        | 03/03/09                       | 09/25/09     | 79.13       | 37.38                  | 41.75     |         | Y                 |
|                                                                        | Trade         | 9,000                   |                        | 03/03/09                       | 10/27/09     | 836.63      | 336.39                 | 500.24    |         | Y                 |
|                                                                        | Trade         | 17,000                  |                        | 03/03/09                       | 11/09/09     | 1,709.88    | 635.40                 | 1,074.58  |         | Y                 |
|                                                                        | Trade         | 3,000                   |                        | 04/03/09                       | 11/09/09     | 301.76      | 157.54                 | 144.22    |         | Y                 |
|                                                                        | Trade         | 13,000                  |                        | 04/03/09                       | 11/10/09     | 1,308.64    | 682.68                 | 625.96    |         | Y                 |
| CREDICORP LTD                                                          | Trade         | 26,000                  |                        | 07/08/08                       | 01/20/09     | 1,169.82    | 1,898.86               | -729.04   |         | Y                 |
|                                                                        | Trade         | 17,000                  |                        | 07/08/08                       | 01/29/09     | 739.32      | 1,241.56               | -502.24   |         | Y                 |
|                                                                        | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 44.58       | 73.03                  | -28.45    |         | Y                 |
| CREDIT SUISSE GROUP SPON<br>ADR                                        | Trade         | 27,000                  |                        | 10/17/08                       | 01/29/09     | 735.74      | 1,331.70               | -595.96   |         | Y                 |
|                                                                        | Trade         | 71,000                  |                        | 10/17/08                       | 04/30/09     | 2,785.14    | 3,501.88               | -716.74   |         | Y                 |
|                                                                        | Trade         | 39,000                  |                        | 10/17/08                       | 05/05/09     | 1,532.76    | 1,923.57               | -390.81   |         | Y                 |
|                                                                        | Trade         | 8,000                   |                        | 10/17/08                       | 06/11/09     | 377.99      | 394.58                 | -16.59    |         | Y                 |
|                                                                        | Trade         | 4,000                   |                        | 10/31/08                       | 06/11/09     | 188.99      | 151.79                 | 37.20     |         | Y                 |

Continued on page 35

2009 INFO / WE 53764 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1784

Page 35 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001515-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description               | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| CREDIT SUISSE GROUP SPON<br>ADR    | Trade         | 29,000                  |                        | 10/31/08                       | 08/17/09     | 1,238.24    | 1,100.49               | 137.75    |         | Y                 |
|                                    | Trade         | 47,000                  |                        | 10/31/08                       | 06/18/09     | 2,108.99    | 1,783.56               | 325.43    |         | Y                 |
|                                    | Trade         | 31,000                  |                        | 01/26/09                       | 06/18/09     | 1,391.03    | 788.84                 | 602.39    |         | Y                 |
|                                    | Trade         | 21,000                  |                        | 08/27/09                       | 09/25/09     | 1,159.43    | 1,069.45               | 89.98     |         | Y                 |
| CRH PLC IRELAND SPON ADR           | Trade         | 21,000                  |                        | 01/06/09                       | 01/29/09     | 490.34      | 586.17                 | -75.83    |         | Y                 |
|                                    | Trade         | 27,000                  |                        | 01/06/09                       | 05/07/09     | 623.17      | 727.93                 | -104.76   |         | Y                 |
|                                    | Trade         | 8,000                   |                        | 01/06/09                       | 06/11/09     | 149.81      | 161.76                 | -11.95    |         | Y                 |
|                                    | Trade         | 133,000                 |                        | 01/06/09                       | 07/02/09     | 2,918.94    | 3,585.72               | -666.78   |         | Y                 |
| DENSO CORP ADR                     | Trade         | 2,000                   |                        | 03/05/09                       | 03/24/09     | 185.79      | 152.18                 | 13.61     |         | Y                 |
|                                    | Trade         | 6,000                   |                        | 03/05/09                       | 05/07/09     | 835.18      | 458.55                 | 178.83    |         | Y                 |
|                                    | Trade         | 15,000                  |                        | 03/05/09                       | 05/19/09     | 1,415.28    | 1,141.38               | 273.90    |         | Y                 |
|                                    | Trade         | 10,000                  |                        | 03/05/09                       | 05/20/09     | 944.73      | 760.92                 | 183.81    |         | Y                 |
| DEUTSCHE BOERSE AG                 | Trade         | 3,000                   |                        | 03/05/09                       | 05/21/09     | 283.45      | 228.28                 | 55.17     |         | Y                 |
|                                    | Trade         | 51,000                  |                        | 07/08/08                       | 01/07/09     | 3,929.18    | 5,278.97               | -1,347.79 |         | Y                 |
|                                    | Trade         | 14,000                  |                        | 07/08/08                       | 01/12/09     | 898.71      | 1,448.58               | -549.87   |         | Y                 |
|                                    | Trade         | 16,000                  |                        | 07/08/08                       | 01/29/09     | 849.11      | 1,655.52               | -808.41   |         | Y                 |
| DEUTSCHE TELEKOM AG DE<br>SPON ADR | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 55.70       | 103.47                 | -47.77    |         | Y                 |
|                                    | Trade         | 4,000                   |                        | 08/07/08                       | 03/24/09     | 222.79      | 433.75                 | -210.96   |         | Y                 |
|                                    | Trade         | 45,000                  |                        | 08/07/08                       | 07/08/09     | 3,139.01    | 4,879.72               | -1,740.71 |         | Y                 |
|                                    | Trade         | 94,000                  |                        | 10/15/08                       | 01/29/09     | 1,185.33    | 1,309.05               | -123.72   |         | Y                 |
| E.ON AG SPON ADR                   | Trade         | 14,000                  |                        | 10/15/08                       | 03/24/09     | 177.80      | 194.97                 | -17.17    |         | Y                 |
|                                    | Trade         | 21,000                  |                        | 10/15/08                       | 08/11/09     | 235.62      | 292.45                 | -56.83    |         | Y                 |
|                                    | Trade         | 130,000                 |                        | 10/15/08                       | 07/14/09     | 1,458.73    | 1,810.39               | -351.66   |         | Y                 |
|                                    | Trade         | 91,000                  |                        | 10/15/08                       | 07/15/09     | 1,025.95    | 1,287.28               | -241.33   |         | Y                 |
| EAST JAPAN RAILWAY CO<br>ADR       | Trade         | 97,000                  |                        | 10/15/08                       | 09/25/09     | 1,324.28    | 1,350.83               | -26.55    |         | Y                 |
|                                    | Trade         | 16,000                  |                        | 10/31/08                       | 09/25/09     | 218.44      | 238.90                 | -20.48    |         | Y                 |
|                                    | Trade         | 29,000                  |                        | 07/08/08                       | 01/29/09     | 960.76      | 1,945.81               | -984.85   |         | Y                 |
|                                    | Trade         | 129,000                 |                        | 07/08/08                       | 02/03/09     | 4,283.67    | 8,654.81               | -4,370.94 |         | Y                 |
| EAST JAPAN RAILWAY CO<br>ADR       | Trade         | 167,000                 |                        | 10/16/08                       | 01/13/09     | 2,009.16    | 1,888.85               | 122.31    |         | Y                 |
|                                    | Trade         | 104,000                 |                        | 10/16/08                       | 01/29/09     | 1,169.99    | 1,175.05               | -5.06     |         | Y                 |
|                                    | Trade         | 27,000                  |                        | 10/16/08                       | 03/24/09     | 243.53      | 305.06                 | -61.53    |         | Y                 |
|                                    | Trade         | 90,000                  |                        | 10/16/08                       | 08/12/09     | 853.91      | 1,016.87               | -182.96   |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 36 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001516-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| EAST JAPAN RAILWAY CO<br>ADR                    | Trade         | 8,000                   |                        | 10/24/08                       | 06/12/09     | 75.90       | 96.75                  | -20.85    |         | Y                 |
|                                                 | Trade         | 64,000                  |                        | 10/24/08                       | 07/31/09     | 802.01      | 774.03                 | -172.02   |         | Y                 |
|                                                 | Trade         | 200,000                 |                        | 12/18/08                       | 07/31/09     | 1,881.29    | 2,557.50               | -676.21   |         | Y                 |
|                                                 | Trade         | 106,000                 |                        | 02/25/09                       | 07/31/09     | 997.08      | 1,010.49               | -13.41    |         | Y                 |
| EFG EURO BANK ERGASIAS S<br>A ADR               | Trade         | 11,000                  |                        | 04/16/09                       | 06/11/09     | 65.44       | 43.92                  | 21.52     |         | Y                 |
|                                                 | Trade         | 94,000                  |                        | 04/16/09                       | 08/18/09     | 595.31      | 371.33                 | 223.98    |         | Y                 |
|                                                 | Trade         | 211,000                 |                        | 04/16/09                       | 08/26/09     | 1,615.53    | 825.25                 | 790.28    |         | Y                 |
|                                                 | Trade         | 267,000                 |                        | 04/16/09                       | 09/02/09     | 1,813.31    | 1,044.27               | 769.04    |         | Y                 |
|                                                 | Trade         | 145,000                 |                        | 04/16/09                       | 09/25/09     | 1,096.17    | 567.11                 | 529.06    |         | Y                 |
|                                                 | Trade         | 3,000                   |                        | 04/16/09                       | 10/15/09     | 26.67       | 3.95                   | 22.72     |         | Y                 |
|                                                 | Trade         | 91,000                  |                        | 04/16/09                       | 10/15/09     | 808.85      | 355.91                 | 452.94    |         | Y                 |
|                                                 | Trade         | 39,000                  |                        | 06/02/09                       | 10/15/09     | 346.65      | 246.03                 | 100.62    |         | Y                 |
|                                                 | Trade         | 136,000                 |                        | 06/02/09                       | 12/07/09     | 885.03      | 857.93                 | 27.10     |         | Y                 |
|                                                 | Trade         | 290,000                 |                        | 06/23/09                       | 12/07/09     | 1,887.21    | 1,424.26               | 462.95    |         | Y                 |
| ELISA OYJ A SHS                                 | Trade         | 58,000                  |                        | 07/08/08                       | 01/29/09     | 910.01      | 1,271.38               | -361.35   |         | Y                 |
|                                                 | Trade         | 175,000                 |                        | 07/08/08                       | 02/17/09     | 2,416.59    | 3,838.00               | -1,419.41 |         | Y                 |
|                                                 | Trade         | 69,000                  |                        | 07/08/08                       | 02/18/09     | 942.56      | 1,512.48               | -569.92   |         | Y                 |
| FAMILYMART CO LTD ADR                           | Trade         | 47,000                  |                        | 09/14/09                       | 09/25/09     | 1,439.57    | 1,463.87               | -24.30    |         | Y                 |
|                                                 | Trade         | 26,000                  |                        | 09/14/09                       | 12/04/09     | 843.13      | 809.80                 | 33.33     |         | Y                 |
| FRESENIUS MEDICAL CARE<br>AG & CO KGAA SPON ADR | Trade         | 35,000                  |                        | 07/08/08                       | 01/29/09     | 1,578.49    | 1,921.78               | -343.27   |         | Y                 |
|                                                 | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 35.90       | 54.91                  | -19.01    |         | Y                 |
| FUJII MACH MFG CO LTD                           | Trade         | 100,000                 |                        | 06/02/09                       | 06/11/09     | 1,299.96    | 1,319.21               | -19.25    |         | Y                 |
| GDF SUEZ SPON ADR                               | Trade         | 22,000                  |                        | 07/08/08                       | 01/29/09     | 883.29      | 1,534.36               | -651.07   |         | Y                 |
|                                                 | Trade         | 4,000                   |                        | 07/08/08                       | 03/24/09     | 147.19      | 278.97                 | -131.78   |         | Y                 |
|                                                 | Trade         | 27,000                  |                        | 05/05/09                       | 10/18/09     | 1,203.43    | 1,009.45               | 193.98    |         | Y                 |
|                                                 | Trade         | 10,000                  |                        | 06/01/09                       | 10/16/09     | 445.71      | 405.57                 | 40.14     |         | Y                 |
| GILDAN ACTIVEWEAR INC                           | Trade         | 180,000                 |                        | 07/08/08                       | 01/26/09     | 1,955.79    | 4,401.00               | -2,445.21 |         | Y                 |
| GLAXO SMITHKLINE PLC ADR                        | Trade         | 34,000                  |                        | 08/07/08                       | 01/29/09     | 1,183.87    | 1,647.75               | -463.88   |         | Y                 |
|                                                 | Trade         | 9,000                   |                        | 08/07/08                       | 03/24/09     | 265.77      | 436.17                 | -170.40   |         | Y                 |
|                                                 | Trade         | 12,000                  |                        | 08/07/08                       | 06/11/09     | 420.56      | 581.56                 | -161.00   |         | Y                 |

Continued on page 37

2009 INFO / WE 53764 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 37 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001517-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                 | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| GLAXO SMITHKLINE PLC ADR             | Trade         | 10,000                  |                        | 10/13/08                       | 09/25/09     | 393.02      | 375.86                 | 17.16     |         | Y                 |
| HONDA MOTOR CO ADR JAPAN<br>ADR      | Trade         | 26,000                  |                        | 07/29/09                       | 09/25/09     | 799.54      | 800.01                 | -47       |         | Y                 |
| HSBC HOLDINGS PLC NEW GB<br>SPON ADR | Trade         | 8,000                   |                        | 05/05/09                       | 06/11/09     | 368.10      | 311.78                 | 56.32     |         | Y                 |
|                                      | Trade         | 10,000                  |                        | 05/05/09                       | 07/01/09     | 422.38      | 389.73                 | 32.65     |         | Y                 |
|                                      | Trade         | 26,000                  |                        | 05/05/09                       | 07/14/09     | 1,082.67    | 1,013.29               | 69.38     |         | Y                 |
|                                      | Trade         | 39,000                  |                        | 05/05/09                       | 09/25/09     | 2,203.48    | 1,519.93               | 683.55    |         | Y                 |
|                                      | Trade         | 48,000                  |                        | 05/05/09                       | 11/04/09     | 2,889.49    | 1,870.88               | 818.61    |         | Y                 |
|                                      | Trade         | 31,000                  |                        | 05/05/09                       | 12/03/09     | 1,868.47    | 1,208.15               | 660.32    |         | Y                 |
| ICAP PLC SPON ADR                    | Trade         | 76,000                  |                        | 07/08/08                       | 01/29/09     | 516.03      | 1,349.00               | -832.97   |         | Y                 |
|                                      | Trade         | 35,000                  |                        | 07/08/08                       | 03/24/09     | 318.49      | 821.25                 | -302.76   |         | Y                 |
| INFINEON TECHNOLOGIES<br>ADR         | Trade         | 300,000                 |                        | 07/10/09                       | 08/25/08     | 1,529.96    | 1,119.12               | 410.84    |         | Y                 |
|                                      | Trade         | 294,000                 |                        | 07/10/09                       | 09/28/09     | 1,503.70    | 1,096.74               | 406.96    |         | Y                 |
|                                      | Trade         | 408,000                 |                        | 07/28/09                       | 10/01/09     | 2,284.94    | 1,254.01               | 1,030.93  |         | Y                 |
|                                      | Trade         | 324,000                 |                        | 07/10/09                       | 10/01/09     | 1,814.51    | 1,208.85               | 605.66    |         | Y                 |
|                                      | Trade         | 270,000                 |                        | 08/17/09                       | 10/01/09     | 1,512.09    | 1,247.75               | 264.34    |         | Y                 |
| INPEX CORP ADR                       | Trade         | 13,000                  |                        | 08/15/09                       | 09/25/09     | 1,121.22    | 1,056.55               | 64.67     |         | Y                 |
| INTESA SANPAOLO SPON ADR             | Trade         | 32,000                  |                        | 07/08/08                       | 01/29/09     | 615.99      | 1,083.20               | -467.21   |         | Y                 |
|                                      | Trade         | 8,000                   |                        | 07/08/08                       | 03/24/09     | 133.59      | 270.80                 | -137.21   |         | Y                 |
|                                      | Trade         | 152,000                 |                        | 07/08/08                       | 05/18/09     | 3,068.19    | 5,145.20               | -2,077.01 |         | Y                 |
| ISHARES INC MSCI JAPAN<br>INDEX FD   | Trade         | 754,000                 |                        | 07/08/08                       | 01/29/09     | 6,583.74    | 9,138.48               | -2,554.74 |         | Y                 |
|                                      | Trade         | 115,000                 |                        | 07/08/08                       | 06/11/09     | 1,098.43    | 1,393.80               | -295.37   |         | Y                 |
| JARDINE MATHESON HD-UNSP<br>ADR      | Trade         | 97,000                  |                        | 07/08/08                       | 01/29/09     | 1,901.18    | 2,992.45               | -1,091.27 |         | Y                 |
|                                      | Trade         | 128,000                 |                        | 07/08/08                       | 04/27/09     | 2,579.05    | 3,948.80               | -1,369.75 |         | Y                 |
| K + S AG                             | Trade         | 19,000                  |                        | 07/08/08                       | 01/29/09     | 911.80      | 2,441.31               | -1,529.51 |         | Y                 |
|                                      | Trade         | 7,000                   |                        | 07/08/08                       | 03/24/09     | 335.22      | 899.43                 | -564.21   |         | Y                 |
|                                      | Trade         | 16,000                  |                        | 07/08/08                       | 05/13/09     | 1,078.41    | 2,055.84               | -977.43   |         | Y                 |
|                                      | Trade         | 7,000                   |                        | 07/08/08                       | 08/11/09     | 524.98      | 899.43                 | -374.45   |         | Y                 |
|                                      | Trade         | 11,000                  |                        | 10/09/08                       | 09/23/09     | 608.52      | 587.09                 | 22.43     |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 38 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001518-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                           | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| K + S AG                                       | Trade         | 7,000                   |                        | 12/19/08                       | 09/23/09     | 387.88      | 378.58                 | 9.30      |         | Y                 |
|                                                | Trade         | 24,000                  |                        | 06/19/09                       | 09/23/09     | 1,329.86    | 1,409.57               | -79.71    |         | Y                 |
| KAO CORP REPSTG 10 SHS<br>COM SPON ADR         | Trade         | 4,000                   |                        | 07/08/08                       | 01/29/09     | 1,047.99    | 999.00                 | 48.99     |         | Y                 |
|                                                | Trade         | 2,000                   |                        | 07/08/08                       | 03/24/09     | 392.99      | 499.50                 | -106.51   |         | Y                 |
| KIRIN HOLDINGS LTD SPON<br>ADR                 | Trade         | 88,000                  |                        | 07/14/09                       | 09/25/09     | 1,010.45    | 1,044.13               | -33.68    |         | Y                 |
|                                                | Trade         | 71,000                  |                        | 07/14/09                       | 10/13/09     | 1,074.94    | 1,090.20               | -15.26    |         | Y                 |
| KONAMI CORP ADR                                | Trade         | 52,000                  |                        | 10/29/08                       | 01/29/09     | 1,078.99    | 894.47                 | 184.52    |         | Y                 |
|                                                | Trade         | 258,000                 |                        | 10/29/08                       | 02/03/09     | 4,868.20    | 4,437.94               | 430.26    |         | Y                 |
| KONINKLIJKE AHOLD NV NEW<br>2007 SPON ADR      | Trade         | 101,000                 |                        | 10/03/08                       | 01/29/09     | 1,206.94    | 1,167.98               | 38.96     |         | Y                 |
|                                                | Trade         | 11,000                  |                        | 10/03/08                       | 03/24/09     | 119.56      | 127.21                 | -7.65     |         | Y                 |
|                                                | Trade         | 145,000                 |                        | 10/03/08                       | 04/17/09     | 1,563.73    | 1,691.37               | -127.64   |         | Y                 |
|                                                | Trade         | 43,000                  |                        | 10/03/08                       | 04/17/09     | 463.73      | 497.26                 | -33.53    |         | Y                 |
|                                                | Trade         | 27,000                  |                        | 10/06/08                       | 04/17/09     | 291.18      | 304.39                 | -13.21    |         | Y                 |
|                                                | Trade         | 17,000                  |                        | 10/06/08                       | 06/11/09     | 195.32      | 191.65                 | 3.67      |         | Y                 |
|                                                | Trade         | 145,000                 |                        | 10/06/08                       | 08/24/09     | 1,737.28    | 1,634.70               | 102.58    |         | Y                 |
|                                                | Trade         | 53,000                  |                        | 10/06/08                       | 09/25/09     | 638.63      | 597.51                 | 41.12     |         | Y                 |
| LONZA GROUP AG                                 | Trade         | 9,000                   |                        | 07/21/08                       | 01/29/09     | 853.55      | 1,289.39               | -435.84   |         | Y                 |
|                                                | Trade         | 1,000                   |                        | 07/21/08                       | 03/24/09     | 103.14      | 143.27                 | -40.13    |         | Y                 |
| MILLICOM INTL CELLULAR<br>SA NEW               | Trade         | 38,000                  |                        | 07/08/08                       | 01/29/09     | 1,565.08    | 3,677.26               | -2,112.18 |         | Y                 |
|                                                | Trade         | 17,000                  |                        | 07/08/08                       | 06/08/09     | 973.22      | 1,645.09               | -671.87   |         | Y                 |
|                                                | Trade         | 14,000                  |                        | 07/08/08                       | 06/11/09     | 831.72      | 1,354.78               | -523.06   |         | Y                 |
| MITSUBISHI CORP SPONS<br>ADR ADR               | Trade         | 47,000                  |                        | 07/08/08                       | 01/29/09     | 1,347.95    | 2,867.00               | -1,519.05 |         | Y                 |
|                                                | Trade         | 6,000                   |                        | 07/08/08                       | 03/24/09     | 167.21      | 366.00                 | -198.79   |         | Y                 |
|                                                | Trade         | 52,000                  |                        | 07/08/08                       | 04/27/09     | 1,655.46    | 3,172.00               | -1,516.54 |         | Y                 |
| MITSUBISHI UFJ FINANCIAL<br>GROUP INC SPON ADR | Trade         | 118,000                 |                        | 07/02/09                       | 09/25/09     | 640.57      | 739.12                 | -98.55    |         | Y                 |
|                                                | Trade         | 425,000                 |                        | 07/02/09                       | 09/29/09     | 2,271.18    | 2,662.07               | -390.89   |         | Y                 |
|                                                | Trade         | 70,000                  |                        | 07/02/09                       | 09/30/09     | 375.68      | 438.46                 | -62.78    |         | Y                 |
|                                                | Trade         | 173,000                 |                        | 09/08/09                       | 09/30/09     | 928.46      | 1,018.69               | -90.23    |         | Y                 |

Continued on page 39

2009 INFO / WE 53764 WN





Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 39 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001519-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                              | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| MITSUBI FUDOSAN CO LTD 1<br>ADR                   | Trade         | 5,000                   |                        | 02/05/08                       | 03/24/09     | 173.74      | 222.13                 | -48.39    |         | Y                 |
|                                                   | Trade         | 32,000                  |                        | 02/05/09                       | 06/11/09     | 1,870.79    | 1,421.65               | 249.14    |         | Y                 |
|                                                   | Trade         | 68,000                  |                        | 02/05/09                       | 08/22/09     | 3,391.41    | 2,932.16               | 459.25    |         | Y                 |
| NESTLE S A SPONSORED ADR                          | Trade         | 7,000                   |                        | 07/08/08                       | 01/29/09     | 245.35      | 311.50                 | -66.15    |         | Y                 |
| REPSTG REG SHS SWITZ                              | Trade         | 40,000                  |                        | 07/08/08                       | 03/03/09     | 1,200.40    | 1,780.00               | -579.60   |         | Y                 |
| ADR                                               | Trade         | 22,000                  |                        | 07/08/08                       | 03/04/09     | 660.14      | 979.00                 | -318.86   |         | Y                 |
|                                                   | Trade         | 17,000                  |                        | 07/08/08                       | 03/24/09     | 568.43      | 756.50                 | -190.07   |         | Y                 |
|                                                   | Trade         | 6,000                   |                        | 07/08/08                       | 08/11/09     | 219.65      | 267.00                 | -47.35    |         | Y                 |
| NEW WORLD DEVELOPMENT<br>LTD SPON ADR (HONG KONG) | Trade         | 83,000                  |                        | 06/03/09                       | 08/11/09     | 233.72      | 283.48                 | -29.76    |         | Y                 |
|                                                   | Trade         | 176,000                 |                        | 06/03/09                       | 09/25/09     | 730.38      | 736.07                 | -5.69     |         | Y                 |
| NEWCREST MINING LTD SPON<br>AUSTRALIA ADR         | Trade         | 51,000                  |                        | 07/16/08                       | 01/29/09     | 1,027.84    | 1,887.08               | -839.42   |         | Y                 |
|                                                   | Trade         | 14,000                  |                        | 07/16/08                       | 06/11/09     | 366.09      | 457.82                 | -91.53    |         | Y                 |
| NINTENDO LTD ADR NEW<br>JAPAN ADR                 | Trade         | 9,000                   |                        | 03/05/09                       | 03/24/09     | 348.29      | 313.25                 | 35.04     |         | Y                 |
|                                                   | Trade         | 75,000                  |                        | 03/05/09                       | 05/08/09     | 2,449.68    | 2,610.44               | -160.78   |         | Y                 |
|                                                   | Trade         | 28,000                  |                        | 03/05/09                       | 09/25/09     | 925.37      | 974.57                 | -49.20    |         | Y                 |
| NIPPON TELEG & TEL CORP<br>SPON ADR               | Trade         | 30,000                  |                        | 12/04/08                       | 01/29/09     | 715.49      | 735.42                 | -19.93    |         | Y                 |
|                                                   | Trade         | 83,000                  |                        | 12/04/08                       | 07/27/09     | 1,643.13    | 2,034.67               | -391.54   |         | Y                 |
|                                                   | Trade         | 48,000                  |                        | 12/04/08                       | 09/25/09     | 1,062.18    | 1,127.85               | -65.47    |         | Y                 |
|                                                   | Trade         | 28,000                  |                        | 12/04/08                       | 12/02/09     | 599.57      | 688.39                 | -88.82    |         | Y                 |
| NISSAN MTR LTD SPONS ADR<br>JAPAN ADR             | Trade         | 148,000                 |                        | 07/08/08                       | 01/29/09     | 929.43      | 2,387.73               | -1,458.30 |         | Y                 |
|                                                   | Trade         | 177,000                 |                        | 07/08/08                       | 03/31/09     | 1,276.16    | 2,855.59               | -1,579.43 |         | Y                 |
|                                                   | Trade         | 184,000                 |                        | 07/08/08                       | 04/21/09     | 1,861.33    | 2,968.53               | -1,107.20 |         | Y                 |
|                                                   | Trade         | 96,000                  |                        | 07/08/08                       | 05/12/09     | 1,043.01    | 1,548.80               | -505.78   |         | Y                 |
|                                                   | Trade         | 19,000                  |                        | 07/08/08                       | 06/11/09     | 241.67      | 306.53                 | -64.86    |         | Y                 |
|                                                   | Trade         | 51,000                  |                        | 03/03/09                       | 12/30/09     | 911.41      | 308.87                 | 602.54    |         | Y                 |
| NOKIA CORP SPONS ADR<br>FINLAND ADR               | Trade         | 277,000                 |                        | 04/17/09                       | 07/17/09     | 3,656.44    | 4,170.18               | -513.74   |         | Y                 |
| NOMURA HOLDINGS INC NEW<br>SPON ADR               | Trade         | 86,000                  |                        | 07/08/08                       | 01/29/09     | 579.83      | 1,208.98               | -629.35   |         | Y                 |
|                                                   | Trade         | 29,000                  |                        | 07/08/08                       | 03/24/09     | 161.81      | 407.88                 | -245.87   |         | Y                 |
|                                                   | Trade         | 38,000                  |                        | 07/08/08                       | 08/11/09     | 343.15      | 534.20                 | -191.05   |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-628-8500/800-458-1764

Page 40 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001520-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description     | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|--------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| NOVARTIS AG SPON ADR     | Trade         | 36,000                  |                        | 07/08/08                       | 01/29/09     | 1,486.07    | 2,075.40               | -589.33   |         | Y                 |
|                          | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 189.99      | 288.25                 | -98.26    |         | Y                 |
|                          | Trade         | 8,000                   |                        | 07/08/08                       | 08/11/09     | 321.83      | 461.20                 | -139.37   |         | Y                 |
| NTT DOCOMO INC ECH       | Trade         | 83,000                  |                        | 07/08/08                       | 01/06/09     | 1,535.49    | 1,261.33               | 274.16    |         | Y                 |
| REPSTG 1/200TH COM SHS   | Trade         | 83,000                  |                        | 07/08/08                       | 01/29/09     | 1,446.88    | 1,261.33               | 185.35    |         | Y                 |
| SPON ADR                 | Trade         | 4,000                   |                        | 07/08/08                       | 03/24/09     | 56.47       | 60.79                  | -4.32     |         | Y                 |
|                          | Trade         | 18,000                  |                        | 07/08/08                       | 08/11/09     | 254.16      | 273.54                 | -19.38    |         | Y                 |
| OAD GAZPROM LEVEL 1 ADR  | Trade         | 27,000                  |                        | 07/08/08                       | 01/29/09     | 355.04      | 1,437.75               | -1,082.71 |         | Y                 |
| PROGRAM (RUSSIA) SPON    | Trade         | 95,000                  |                        | 07/08/08                       | 02/18/09     | 1,165.15    | 5,058.75               | -3,893.60 |         | Y                 |
| ADR                      | Trade         | 33,000                  |                        | 07/08/08                       | 02/19/09     | 417.15      | 1,757.25               | -1,340.10 |         | Y                 |
| OLYMPUS CORP SPON ADR    | Trade         | 208,000                 |                        | 07/08/08                       | 01/13/09     | 3,923.17    | 6,653.80               | -2,730.63 |         | Y                 |
|                          | Trade         | 54,000                  |                        | 07/31/08                       | 01/13/09     | 1,028.40    | 1,835.79               | -807.39   |         | Y                 |
| PETROLEO BRASILEIRO SA   | Trade         | 78,000                  |                        | 07/08/08                       | 01/29/09     | 2,052.94    | 4,778.01               | -2,725.07 |         | Y                 |
| SPON ADR                 | Trade         | 137,000                 |                        | 07/08/08                       | 02/24/09     | 3,571.84    | 8,392.14               | -4,820.30 |         | Y                 |
|                          | Trade         | 20,000                  |                        | 08/13/08                       | 04/20/09     | 644.19      | 1,027.54               | -383.35   |         | Y                 |
|                          | Trade         | 10,000                  |                        | 08/13/08                       | 08/11/09     | 439.68      | 513.77                 | -74.09    |         | Y                 |
|                          | Trade         | 20,000                  |                        | 08/13/08                       | 07/01/09     | 820.91      | 1,027.54               | -206.63   |         | Y                 |
|                          | Trade         | 14,000                  |                        | 12/18/08                       | 07/01/09     | 574.63      | 339.37                 | 235.26    |         | Y                 |
|                          | Trade         | 17,000                  |                        | 12/18/08                       | 09/25/09     | 758.18      | 412.10                 | 346.08    |         | Y                 |
| POTASH CORP SASK INC     | Trade         | 8,000                   |                        | 09/22/09                       | 09/25/09     | 717.02      | 757.70                 | -40.68    |         | Y                 |
| CANADA                   |               |                         |                        |                                |              |             |                        |           |         |                   |
| PRUDENTIAL PLC ADR       | Trade         | 62,000                  |                        | 07/08/08                       | 01/29/09     | 611.31      | 1,272.07               | -660.76   |         | Y                 |
| UNITED KINGDOM           | Trade         | 12,000                  |                        | 07/08/08                       | 03/24/09     | 125.51      | 246.21                 | -120.70   |         | Y                 |
|                          | Trade         | 48,000                  |                        | 07/08/08                       | 04/17/09     | 555.89      | 943.79                 | -387.90   |         | Y                 |
|                          | Trade         | 120,000                 |                        | 07/08/08                       | 05/04/09     | 1,457.97    | 2,462.06               | -1,004.09 |         | Y                 |
|                          | Trade         | 133,000                 |                        | 07/08/08                       | 05/05/09     | 1,732.62    | 2,728.79               | -996.17   |         | Y                 |
| QBE INSURANCE GROUP LTD  | Trade         | 81,000                  |                        | 09/05/08                       | 01/29/09     | 1,219.04    | 1,552.19               | -333.15   |         | Y                 |
| ORD                      | Trade         | 3,000                   |                        | 09/05/08                       | 06/11/09     | 46.19       | 57.49                  | -11.30    |         | Y                 |
| ROCHE HLDG LTD SPONS ADR | Trade         | 70,000                  |                        | 07/08/08                       | 01/29/09     | 2,447.18    | 3,074.75               | -627.57   |         | Y                 |
| SWITZ ADR                | Trade         | 12,000                  |                        | 07/08/08                       | 03/24/09     | 391.19      | 527.10                 | -135.91   |         | Y                 |

Continued on page 41

2009 INFO / WE 53764 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 41 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001521-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                                          | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|---------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ROCHE HLDG LTD SPONS ADR<br>SWITZ ADR                         | Trade         | 11,000                  |                        | 07/08/08                       | 08/11/09     | 373.77      | 483.18                 | -109.41   |         | Y                 |
| ROHM CO LTD ADR                                               | Trade         | 68,000                  |                        | 11/17/08                       | 01/29/09     | 1,781.19    | 1,538.27               | 222.92    |         | Y                 |
|                                                               | Trade         | 8,000                   |                        | 11/17/08                       | 03/24/09     | 158.99      | 135.73                 | 23.26     |         | Y                 |
|                                                               | Trade         | 10,000                  |                        | 11/17/08                       | 04/30/09     | 308.95      | 228.22                 | 82.73     |         | Y                 |
|                                                               | Trade         | 11,000                  |                        | 11/17/08                       | 08/11/09     | 363.54      | 248.84                 | 114.70    |         | Y                 |
|                                                               | Trade         | 47,000                  |                        | 11/17/08                       | 08/16/09     | 1,518.78    | 1,063.22               | 455.56    |         | Y                 |
|                                                               | Trade         | 32,000                  |                        | 11/17/08                       | 08/18/09     | 1,039.47    | 723.89                 | 315.58    |         | Y                 |
|                                                               | Trade         | 9,000                   |                        | 11/17/08                       | 09/09/09     | 295.86      | 203.59                 | 92.27     |         | Y                 |
|                                                               | Trade         | 104,000                 |                        | 01/13/09                       | 09/09/09     | 3,418.85    | 2,627.26               | 791.59    |         | Y                 |
| ROYAL DUTCH SHELL PLC<br>ADS REPTG 2 CL B ORD<br>SHS SPON ADR | Trade         | 20,000                  |                        | 12/08/08                       | 01/29/08     | 990.25      | 989.92                 | 20.33     |         | Y                 |
|                                                               | Trade         | 38,000                  |                        | 12/08/08                       | 09/01/09     | 2,026.75    | 1,842.84               | 183.91    |         | Y                 |
|                                                               | Trade         | 35,000                  |                        | 12/08/08                       | 09/25/09     | 1,987.40    | 1,897.35               | 270.05    |         | Y                 |
|                                                               | Trade         | 22,000                  |                        | 12/08/08                       | 09/29/09     | 1,236.56    | 1,066.91               | 169.65    |         | Y                 |
|                                                               | Trade         | 28,000                  |                        | 06/10/09                       | 09/29/09     | 1,573.81    | 1,579.62               | -5.81     |         | Y                 |
|                                                               | Trade         | 42,000                  |                        | 07/01/09                       | 09/29/09     | 2,360.71    | 2,184.34               | 196.37    |         | Y                 |
|                                                               | Trade         | 13,000                  |                        | 08/21/09                       | 09/29/09     | 730.70      | 702.91                 | 27.79     |         | Y                 |
|                                                               | Trade         | 25,000                  |                        | 08/21/09                       | 09/30/09     | 1,410.44    | 1,351.75               | 58.69     |         | Y                 |
| SANTOS LTD ORD ORD                                            | Trade         | 57,000                  |                        | 05/20/09                       | 09/25/09     | 731.86      | 845.38                 | 88.50     |         | Y                 |
| SAP AG SPON ADR                                               | Trade         | 22,000                  |                        | 07/29/09                       | 09/25/09     | 1,079.58    | 983.43                 | 98.15     |         | Y                 |
|                                                               | Trade         | 10,000                  |                        | 07/29/09                       | 12/02/09     | 460.74      | 447.01                 | 13.73     |         | Y                 |
|                                                               | Trade         | 78,000                  |                        | 07/29/09                       | 12/07/09     | 3,551.93    | 3,488.89               | 65.24     |         | Y                 |
|                                                               | Trade         | 10,000                  |                        | 10/27/09                       | 12/07/09     | 455.37      | 508.94                 | -53.57    |         | Y                 |
| SECOM LTD ADR JAPAN ADR                                       | Trade         | 13,000                  |                        | 10/09/08                       | 01/29/09     | 1,099.40    | 963.04                 | 136.36    |         | Y                 |
|                                                               | Trade         | 11,000                  |                        | 10/09/08                       | 09/25/09     | 1,074.12    | 814.88                 | 259.24    |         | Y                 |
| SEVEN & I HLDGS CO LTD<br>ADR                                 | Trade         | 14,000                  |                        | 10/29/08                       | 01/29/09     | 759.49      | 830.47                 | -70.98    |         | Y                 |
|                                                               | Trade         | 4,000                   |                        | 10/29/08                       | 03/24/09     | 173.79      | 237.28                 | -83.49    |         | Y                 |
|                                                               | Trade         | 68,000                  |                        | 10/29/08                       | 08/25/09     | 3,302.89    | 4,033.73               | -730.84   |         | Y                 |
|                                                               | Trade         | 23,000                  |                        | 04/22/09                       | 08/25/09     | 1,117.16    | 1,005.93               | 111.23    |         | Y                 |
|                                                               | Trade         | 25,000                  |                        | 04/22/08                       | 09/04/09     | 1,129.70    | 1,093.40               | 36.30     |         | Y                 |
|                                                               | Trade         | 55,000                  |                        | 06/12/09                       | 09/04/09     | 2,485.33    | 2,640.19               | -154.86   |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 42 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001522-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                         | Activity Type | Quantity/<br>Face Value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| SHIN ETSU CHEM CO LTD<br>ADR                 | Trade         | 10,000                  |                        | 02/05/09                       | 03/31/09     | 488.90      | 501.50                 | -12.60    |         | Y                 |
|                                              | Trade         | 53,000                  |                        | 02/05/09                       | 04/27/09     | 2,611.14    | 2,657.92               | -46.78    |         | Y                 |
|                                              | Trade         | 24,000                  |                        | 02/25/09                       | 04/27/09     | 1,182.40    | 1,051.96               | 130.44    |         | Y                 |
| SMITH & NEPHEW PLC NEW<br>SPON ADR           | Trade         | 25,000                  |                        | 07/08/08                       | 01/29/09     | 858.49      | 1,319.00               | -460.51   |         | Y                 |
|                                              | Trade         | 10,000                  |                        | 07/08/08                       | 03/24/09     | 340.29      | 527.60                 | -187.31   |         | Y                 |
| STANDARD CHARTERED PLC                       | Trade         | 110,000                 |                        | 07/08/08                       | 01/29/09     | 1,314.49    | 3,092.10               | -1,777.61 |         | Y                 |
|                                              | Trade         | 46,000                  |                        | 07/08/08                       | 03/24/09     | 615.01      | 1,293.06               | -678.05   |         | Y                 |
|                                              | Trade         | 126,000                 |                        | 07/08/08                       | 03/31/09     | 1,554.19    | 3,541.86               | -1,987.67 |         | Y                 |
|                                              | Trade         | 96,000                  |                        | 07/08/08                       | 06/12/09     | 1,878.05    | 2,698.56               | -820.51   |         | Y                 |
|                                              | Trade         | 4,000                   |                        | 12/24/08                       | 08/16/09     | 74.68       | 23.43                  | 51.25     |         | Y                 |
|                                              | Trade         | 197,000                 |                        | 07/08/08                       | 06/16/09     | 3,677.87    | 5,537.67               | -1,859.80 |         | Y                 |
|                                              | Trade         | 43,000                  |                        | 12/24/08                       | 09/28/09     | 1,025.84    | 251.90                 | 773.94    |         | Y                 |
|                                              | Trade         | 23,000                  |                        | 12/24/08                       | 11/04/09     | 589.06      | 134.74                 | 454.32    |         | Y                 |
|                                              | Trade         | 87,000                  |                        | 07/08/08                       | 01/29/09     | 1,538.15    | 2,954.53               | -1,416.38 |         | Y                 |
| STATOIL ASA SPON ADR                         | Trade         | 132,000                 |                        | 07/08/08                       | 02/18/09     | 2,183.93    | 4,482.73               | -2,298.80 |         | Y                 |
|                                              | Trade         | 140,000                 |                        | 07/08/08                       | 05/19/09     | 2,971.84    | 4,754.41               | -1,782.57 |         | Y                 |
|                                              | Trade         | 160,000                 |                        | 07/08/08                       | 06/24/09     | 3,038.29    | 5,433.62               | -2,395.33 |         | Y                 |
| STRABAG BAU AG ORD DM 50<br>*FOREIGN SEC ORD | Trade         | 3,000                   |                        | 07/08/08                       | 01/29/09     | 773.75      | 1,228.47               | -454.72   |         | Y                 |
|                                              | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 197.66      | 409.49                 | -211.83   |         | Y                 |
| SUBSEA 7 INC USD SHS                         | Trade         | 84,000                  |                        | 07/08/08                       | 01/29/09     | 445.19      | 2,098.32               | -1,653.13 |         | Y                 |
|                                              | Trade         | 3,000                   |                        | 07/08/08                       | 03/24/09     | 20.39       | 74.94                  | -54.55    |         | Y                 |
|                                              | Trade         | 336,000                 |                        | 07/08/08                       | 06/15/09     | 3,702.72    | 8,393.28               | -4,690.56 |         | Y                 |
| SUMITOMO MITSUI<br>FINANCIAL GROUP INC ADR   | Trade         | 104,000                 |                        | 05/12/09                       | 06/11/09     | 470.06      | 416.45                 | 53.61     |         | Y                 |
|                                              | Trade         | 51,000                  |                        | 05/12/09                       | 07/02/09     | 206.29      | 204.22                 | 2.07      |         | Y                 |
|                                              | Trade         | 876,000                 |                        | 05/13/09                       | 07/02/09     | 3,543.33    | 3,500.06               | 43.27     |         | Y                 |
|                                              | Trade         | 82,000                  |                        | 05/13/09                       | 09/25/09     | 277.97      | 327.63                 | -49.66    |         | Y                 |
|                                              | Trade         | 20,000                  |                        | 05/28/09                       | 09/25/09     | 67.80       | 76.40                  | -8.60     |         | Y                 |
|                                              | Trade         | 150,000                 |                        | 05/28/09                       | 11/27/09     | 462.06      | 573.00                 | -110.94   |         | Y                 |
|                                              | Trade         | 250,000                 |                        | 05/29/09                       | 11/27/09     | 770.10      | 943.85                 | -173.75   |         | Y                 |
|                                              | Trade         | 319,000                 |                        | 06/30/09                       | 11/27/09     | 982.65      | 1,313.99               | -331.34   |         | Y                 |
|                                              | Trade         | 1,000                   |                        | 09/30/09                       | 11/27/09     | 3.08        | 3.46                   | -38       |         | Y                 |

Continued on page 43

2009 INFO / WE 53764 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 43 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001523-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                           | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| SUNCOR INC **MERGER EFF:<br>07/2009**          | Trade         | 123,000                 |                        | 07/08/08                       | 01/26/09     | 2,518.16    | 6,589.12               | -4,070.96 |         | Y                 |
| SYNGENTA AG SPON ADR                           | Trade         | 22,000                  |                        | 07/08/08                       | 01/29/09     | 879.77      | 1,268.82               | -389.05   |         | Y                 |
|                                                | Trade         | 5,000                   |                        | 07/08/08                       | 03/24/09     | 214.24      | 288.37                 | -74.13    |         | Y                 |
| TAKEDA PHARMACEUTICAL CO                       | Trade         | 54,000                  |                        | 07/09/08                       | 01/29/09     | 1,260.89    | 1,387.11               | -126.22   |         | Y                 |
|                                                | Trade         | 3,000                   |                        | 07/09/08                       | 03/24/09     | 55.34       | 77.08                  | -21.72    |         | Y                 |
|                                                | Trade         | 73,000                  |                        | 03/24/09                       | 10/21/09     | 1,473.08    | 1,357.06               | 116.02    |         | Y                 |
| TELE NORTE LESTE<br>PARTICIPACOES SA ADR       | Trade         | 106,000                 |                        | 07/08/08                       | 01/29/08     | 1,331.46    | 2,327.61               | -996.15   |         | Y                 |
|                                                | Trade         | 17,000                  |                        | 07/08/08                       | 03/24/09     | 248.05      | 373.30                 | -125.25   |         | Y                 |
|                                                | Trade         | 70,000                  |                        | 07/08/08                       | 04/15/09     | 1,049.06    | 1,537.10               | -488.04   |         | Y                 |
|                                                | Trade         | 24,000                  |                        | 07/08/08                       | 06/11/09     | 398.87      | 527.01                 | -128.14   |         | Y                 |
| TELSTRA CORP LTD (FINAL)<br>ADR                | Trade         | 145,000                 |                        | 07/08/08                       | 01/29/09     | 1,771.89    | 2,843.50               | -1,171.61 |         | Y                 |
|                                                | Trade         | 338,000                 |                        | 07/08/08                       | 03/03/09     | 3,712.66    | 6,861.40               | -3,148.74 |         | Y                 |
|                                                | Trade         | 17,000                  |                        | 07/08/08                       | 03/24/08     | 185.46      | 345.10                 | -159.64   |         | Y                 |
| TESCO PLC SPONS ADR<br>UNITED KINGDOM          | Trade         | 71,000                  |                        | 07/08/08                       | 01/29/09     | 1,094.10    | 1,543.54               | -449.44   |         | Y                 |
|                                                | Trade         | 10,000                  |                        | 07/08/08                       | 03/24/09     | 144.49      | 217.40                 | -72.91    |         | Y                 |
| THALES SPONS ORD                               | Trade         | 34,000                  |                        | 07/08/08                       | 01/29/09     | 1,492.59    | 1,907.74               | -415.15   |         | Y                 |
|                                                | Trade         | 12,000                  |                        | 07/08/08                       | 03/24/09     | 474.23      | 673.32                 | -199.09   |         | Y                 |
|                                                | Trade         | 49,000                  |                        | 07/08/08                       | 07/02/09     | 2,068.27    | 2,749.39               | -681.12   |         | Y                 |
| TOSHIBA CORP ADR                               | Trade         | 61,000                  |                        | 07/23/09                       | 08/31/09     | 1,872.76    | 1,494.54               | 378.22    |         | Y                 |
|                                                | Trade         | 48,000                  |                        | 07/23/09                       | 09/25/09     | 1,451.26    | 1,127.03               | 324.23    |         | Y                 |
| TOTAL S.A. FRANCE SPON<br>ADR                  | Trade         | 34,000                  |                        | 01/28/09                       | 01/28/09     | 1,748.27    | 1,794.25               | -45.98    |         | Y                 |
|                                                | Trade         | 45,000                  |                        | 01/28/09                       | 09/24/09     | 2,651.56    | 2,374.74               | 276.82    |         | Y                 |
|                                                | Trade         | 28,000                  |                        | 01/28/09                       | 09/25/09     | 1,640.56    | 1,477.62               | 162.94    |         | Y                 |
| ULTRA ELECTRONICS HLDGS<br>PLC ADR             | Trade         | 84,000                  |                        | 04/18/09                       | 09/25/09     | 871.05      | 749.84                 | 121.21    |         | Y                 |
| UNILEVER NV NY SHS NEW<br>NETHERLANDS SPON ADR | Trade         | 116,000                 |                        | 07/08/08                       | 01/09/09     | 2,779.88    | 3,333.71               | -554.05   |         | Y                 |
|                                                | Trade         | 96,000                  |                        | 07/08/08                       | 01/29/09     | 2,205.10    | 2,758.93               | -553.83   |         | Y                 |

Account Number WE 53784 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1784

Page 44 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001524-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount       | Cost or Other<br>Basis | Gain/Loss          | Remarks | Matches<br>1099-B |
|-----------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------------|------------------------|--------------------|---------|-------------------|
| UNILEVER NV N Y SHS NEW                 | Trade         | 158,000                 |                        | 07/08/08                       | 03/03/09     | 2,839.81          | 4,540.75               | -1,700.94          |         | Y                 |
| NETHERLANDS SPON ADR                    | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 19.67             | 28.74                  | -9.07              |         | Y                 |
|                                         | Trade         | 16,000                  |                        | 08/13/08                       | 03/24/09     | 314.80            | 460.78                 | -145.98            |         | Y                 |
| VALE SA-SP PREFERRED<br>SPON ADR        | Trade         | 68,000                  |                        | 01/08/09                       | 06/15/09     | 1,111.21          | 906.75                 | 204.46             |         | Y                 |
|                                         | Trade         | 69,000                  |                        | 01/06/09                       | 09/02/09     | 1,175.16          | 920.08                 | 255.08             |         | Y                 |
|                                         | Trade         | 45,000                  |                        | 01/08/09                       | 09/25/09     | 902.22            | 600.05                 | 302.17             |         | Y                 |
|                                         | Trade         | 43,000                  |                        | 01/08/09                       | 10/16/09     | 1,002.19          | 573.38                 | 428.81             |         | Y                 |
|                                         | Trade         | 2,000                   |                        | 01/06/09                       | 12/04/09     | 49.04             | 26.67                  | 22.37              |         | Y                 |
|                                         | Trade         | 125,000                 |                        | 04/03/09                       | 12/04/09     | 3,065.18          | 1,843.40               | 1,421.78           |         | Y                 |
| VERWALTUNGS UND PRIVAT<br>BANK AG VADUZ | Trade         | 9,000                   |                        | 07/08/08                       | 01/29/09     | 878.39            | 2,319.75               | -1,441.36          |         | Y                 |
|                                         | Trade         | 1,000                   |                        | 07/08/08                       | 03/24/09     | 58.16             | 257.75                 | -201.59            |         | Y                 |
| XSTRATA PLC                             | Trade         | 111,000                 |                        | 07/08/08                       | 01/09/09     | 1,387.65          | 8,106.33               | -6,718.68          |         | Y                 |
| YAHOO JAPAN CORP ADR                    | Trade         | 7,000                   |                        | 07/29/09                       | 09/25/09     | 757.73            | 798.75                 | -41.02             |         | Y                 |
| YAMADA DENKI CO LTD                     | Trade         | 30,000                  |                        | 07/08/08                       | 01/29/09     | 1,847.38          | 1,982.60               | -115.22            |         | Y                 |
|                                         | Trade         | 120,000                 |                        | 07/08/08                       | 03/04/09     | 4,287.34          | 7,850.40               | -3,563.06          |         | Y                 |
| ZURICH FINANCIAL SVCS<br>SPON ADR       | Trade         | 56,000                  |                        | 07/08/08                       | 01/29/09     | 1,047.19          | 1,353.52               | -306.33            |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>       |               |                         |                        |                                |              | <b>443,638.06</b> | <b>563,171.85</b>      | <b>-119,533.79</b> |         |                   |

### Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

| Security Description               | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ACTELION LTD ALLSCHWIL             | Trade         | 22,000                  |                        | 07/08/08                       | 09/28/09     | 1,379.61    | 1,109.24               | 270.37    |         | Y                 |
| AIR LIQUIDE ADR FRANCE<br>ADR      | Trade         | 45,000                  |                        | 07/08/08                       | 09/25/09     | 1,006.62    | 1,181.25               | -174.63   |         | Y                 |
|                                    | Trade         | 43,000                  |                        | 07/08/08                       | 10/14/09     | 1,005.35    | 1,128.75               | -123.40   |         | Y                 |
| ALSTOM SHS PROV<br>REGROUPMENT ORD | Trade         | 23,000                  |                        | 07/08/08                       | 09/28/09     | 1,726.14    | 2,565.19               | -839.05   |         | Y                 |

Continued on page 45

2009 INFO / WE 53784 WN



Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 45 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001525-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| BAE SYSTEMS PLC SPON ADR                        | Trade         | 82,000                  |                        | 07/08/08                       | 09/25/09     | 1,348.48    | 2,170.00               | -821.54   |         | Y                 |
|                                                 | Trade         | 61,000                  |                        | 07/08/08                       | 10/09/09     | 1,231.97    | 2,135.00               | -903.03   |         | Y                 |
| BAYER A G SPON ADR                              | Trade         | 32,000                  |                        | 07/08/08                       | 08/27/09     | 1,922.48    | 2,740.80               | -818.34   |         | Y                 |
|                                                 | Trade         | 22,000                  |                        | 07/08/08                       | 09/25/09     | 1,490.24    | 1,884.30               | -394.08   |         | Y                 |
| BG GROUP PLC SPON ADR                           | Trade         | 18,000                  |                        | 09/04/08                       | 09/25/09     | 1,558.93    | 1,708.71               | -149.78   |         | Y                 |
|                                                 | Trade         | 8,000                   |                        | 09/04/08                       | 10/28/09     | 725.18      | 759.43                 | -34.25    |         | Y                 |
| BRITISH AMER TOBACCO PLC<br>GB SPON ADR         | Trade         | 30,000                  |                        | 07/08/08                       | 09/25/09     | 1,872.55    | 2,082.82               | -210.07   |         | Y                 |
| CREDICORP LTD                                   | Trade         | 18,000                  |                        | 07/08/08                       | 08/27/09     | 1,280.40    | 1,314.59               | -34.19    |         | Y                 |
|                                                 | Trade         | 53,000                  |                        | 07/08/08                       | 09/24/09     | 3,985.84    | 3,870.75               | 115.19    |         | Y                 |
| FRESENIUS MEDICAL CARE<br>AG & CO KGAA SPON ADR | Trade         | 32,000                  |                        | 07/08/08                       | 09/25/09     | 1,590.75    | 1,757.04               | -166.29   |         | Y                 |
|                                                 | Trade         | 30,000                  |                        | 07/08/08                       | 10/14/09     | 1,491.56    | 1,847.23               | -155.67   |         | Y                 |
| GDF SUEZ SPON ADR                               | Trade         | 28,000                  |                        | 07/08/08                       | 09/25/09     | 1,213.78    | 1,952.82               | -739.06   |         | Y                 |
|                                                 | Trade         | 19,000                  |                        | 07/08/08                       | 10/15/09     | 848.10      | 1,325.13               | -477.03   |         | Y                 |
|                                                 | Trade         | 60,000                  |                        | 07/08/08                       | 10/16/09     | 2,674.28    | 4,184.62               | -1,510.34 |         | Y                 |
| GLAXO SMITHKLINE PLC ADR                        | Trade         | 35,000                  |                        | 08/07/08                       | 09/25/09     | 1,375.58    | 1,696.22               | -320.64   |         | Y                 |
|                                                 | Trade         | 15,000                  |                        | 10/13/08                       | 10/27/09     | 613.40      | 563.78                 | 49.62     |         | Y                 |
| ICAP PLC SPON ADR                               | Trade         | 68,000                  |                        | 07/08/08                       | 09/25/09     | 896.21      | 1,207.00               | -310.79   |         | Y                 |
| ISHARES INC MSCI JAPAN<br>INDEX FD              | Trade         | 1,810,000               |                        | 07/08/08                       | 07/28/09     | 15,495.37   | 19,513.20              | -4,017.83 |         | Y                 |
|                                                 | Trade         | 248,000                 |                        | 07/08/08                       | 09/25/09     | 2,497.96    | 3,005.76               | -507.80   |         | Y                 |
| JARDINE MATHESON HD-UNSP<br>ADR                 | Trade         | 55,000                  |                        | 07/08/08                       | 09/25/09     | 1,650.50    | 1,696.75               | -46.25    |         | Y                 |
|                                                 | Trade         | 25,000                  |                        | 07/08/08                       | 10/12/09     | 760.89      | 771.25                 | -10.36    |         | Y                 |
|                                                 | Trade         | 38,000                  |                        | 07/08/08                       | 10/13/09     | 1,133.55    | 1,172.30               | -38.75    |         | Y                 |
|                                                 | Trade         | 11,000                  |                        | 07/08/08                       | 10/28/09     | 335.27      | 339.35                 | -4.08     |         | Y                 |
| K + S AG                                        | Trade         | 30,000                  |                        | 07/08/08                       | 08/21/09     | 1,658.45    | 3,854.70               | -2,196.25 |         | Y                 |
|                                                 | Trade         | 14,000                  |                        | 07/08/08                       | 08/24/09     | 798.09      | 1,798.88               | -1,002.77 |         | Y                 |
|                                                 | Trade         | 43,000                  |                        | 07/08/08                       | 09/23/09     | 2,382.67    | 5,525.07               | -3,142.40 |         | Y                 |

Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 46 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001526-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                                     | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|----------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| KAO CORP REPSTG 10 SHS<br>COM SPON ADR                   | Trade         | 23,000                  |                        | 07/08/08                       | 07/29/09     | 5,065.82    | 5,744.25               | -678.43   |         | Y                 |
| LONZA GROUP AG                                           | Trade         | 9,000                   |                        | 07/21/08                       | 09/28/09     | 972.51      | 1,289.39               | -316.88   |         | Y                 |
| MILLICOM INTL CELLULAR<br>SA NEW                         | Trade         | 3,000                   |                        | 07/08/08                       | 07/24/09     | 218.56      | 290.31                 | -71.75    |         | Y                 |
|                                                          | Trade         | 30,000                  |                        | 07/08/08                       | 09/25/09     | 2,180.70    | 2,903.10               | -722.40   |         | Y                 |
|                                                          | Trade         | 25,000                  |                        | 07/08/08                       | 11/19/09     | 1,836.97    | 2,419.25               | -582.28   |         | Y                 |
|                                                          | Trade         | 20,000                  |                        | 07/08/08                       | 12/02/09     | 1,545.09    | 1,935.40               | -390.31   |         | Y                 |
| MITSUBISHI CORP SPONS<br>ADR ADR                         | Trade         | 29,000                  |                        | 07/08/08                       | 09/03/09     | 1,143.82    | 1,769.00               | -625.18   |         | Y                 |
|                                                          | Trade         | 22,000                  |                        | 07/08/08                       | 09/25/09     | 910.99      | 1,342.00               | -431.01   |         | Y                 |
| NESTLE S A SPONSORED ADR<br>REPSTG REG SHS SWITZ<br>ADR  | Trade         | 67,000                  |                        | 07/08/08                       | 09/25/09     | 2,857.47    | 2,981.50               | -124.03   |         | Y                 |
|                                                          | Trade         | 22,000                  |                        | 07/08/08                       | 10/09/09     | 916.70      | 979.00                 | -62.30    |         | Y                 |
| NEWCREST MINING LTD SPON<br>AUSTRALIA ADR                | Trade         | 54,000                  |                        | 07/16/08                       | 09/25/09     | 1,537.34    | 1,765.12               | -227.78   |         | Y                 |
|                                                          | Trade         | 80,000                  |                        | 07/16/08                       | 12/23/09     | 2,478.39    | 2,614.99               | -136.60   |         | Y                 |
|                                                          | Trade         | 2,000                   |                        | 07/16/08                       | 12/24/09     | 61.33       | 65.37                  | -4.04     |         | Y                 |
|                                                          | Trade         | 13,000                  |                        | 11/28/08                       | 12/24/09     | 398.64      | 205.51                 | 193.13    |         | Y                 |
| NISSAN MTR LTD SPONS ADR<br>JAPAN ADR                    | Trade         | 20,000                  |                        | 07/08/08                       | 09/25/09     | 267.59      | 322.67                 | -55.08    |         | Y                 |
|                                                          | Trade         | 61,000                  |                        | 09/22/08                       | 09/25/09     | 816.16      | 904.84                 | -88.68    |         | Y                 |
|                                                          | Trade         | 115,000                 |                        | 09/22/08                       | 12/30/09     | 2,055.15    | 1,705.85               | 349.30    |         | Y                 |
| NOMURA HOLDINGS INC NEW<br>SPON ADR                      | Trade         | 74,000                  |                        | 07/08/08                       | 09/25/09     | 435.30      | 1,040.28               | -604.98   |         | Y                 |
| NOVARTIS AG SPON ADR                                     | Trade         | 46,000                  |                        | 07/08/08                       | 09/25/09     | 2,253.85    | 2,651.90               | -398.05   |         | Y                 |
| NTT DOCOMO INC ECH<br>REPSTG 1/200TH COM SHS<br>SPON ADR | Trade         | 103,000                 |                        | 07/08/08                       | 09/25/09     | 1,633.06    | 1,565.27               | 67.79     |         | Y                 |
| QBE INSURANCE GROUP LTD<br>ORD                           | Trade         | 53,000                  |                        | 09/05/08                       | 09/25/09     | 1,091.77    | 1,015.63               | 76.14     |         | Y                 |

Continued on page 47

2009 INFO / WE 53764 WN





Account Number WE 53764 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 47 of 48

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32G001527-X

### Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ROCHE HLDG LTD SPONS ADR                        | Trade         | 74,000                  |                        | 07/08/08                       | 09/25/09     | 2,982.86    | 3,250.45               | -267.59   |         | Y                 |
| SWITZ ADR                                       | Trade         | 52,000                  |                        | 07/08/08                       | 10/16/09     | 2,096.98    | 2,284.10               | -187.12   |         | Y                 |
| SMITH & NEPHEW PLC NEW<br>SPON ADR              | Trade         | 41,000                  |                        | 07/08/08                       | 09/11/09     | 1,968.91    | 2,163.18               | -194.25   |         | Y                 |
|                                                 | Trade         | 14,000                  |                        | 07/08/08                       | 09/25/09     | 623.12      | 738.64                 | -115.52   |         | Y                 |
| SYNGENTA AG SPON ADR                            | Trade         | 16,000                  |                        | 07/08/08                       | 09/10/09     | 750.86      | 922.78                 | -171.92   |         | Y                 |
|                                                 | Trade         | 18,000                  |                        | 07/08/08                       | 09/25/09     | 809.07      | 1,038.12               | -229.05   |         | Y                 |
| TAKEDA PHARMACEUTICAL CO                        | Trade         | 90,000                  |                        | 07/09/08                       | 08/31/09     | 1,823.60    | 2,311.85               | -488.25   |         | Y                 |
|                                                 | Trade         | 10,000                  |                        | 07/09/08                       | 09/09/09     | 202.70      | 258.87                 | -54.17    |         | Y                 |
|                                                 | Trade         | 48,000                  |                        | 07/09/08                       | 09/10/09     | 976.66      | 1,232.99               | -256.33   |         | Y                 |
|                                                 | Trade         | 34,000                  |                        | 07/09/08                       | 09/25/09     | 707.52      | 873.38                 | -165.84   |         | Y                 |
|                                                 | Trade         | 19,000                  |                        | 07/09/08                       | 10/21/09     | 383.41      | 488.06                 | -104.65   |         | Y                 |
|                                                 | Trade         | 65,000                  |                        | 08/05/08                       | 10/21/09     | 1,311.65    | 1,794.65               | -483.00   |         | Y                 |
| TELE NORTE LESTE<br>PARTICIPACOES S A ADR       | Trade         | 158,000                 |                        | 07/08/08                       | 09/02/09     | 2,369.87    | 3,469.46               | -1,099.59 |         | Y                 |
|                                                 | Trade         | 65,000                  |                        | 07/08/08                       | 09/25/09     | 1,212.86    | 1,427.31               | -214.45   |         | Y                 |
|                                                 | Trade         | 65,000                  |                        | 07/08/08                       | 12/02/09     | 1,462.80    | 1,427.31               | 35.49     |         | Y                 |
| TELSTRA CORP LTD (FINAL)<br>ADR                 | Trade         | 30,000                  |                        | 07/08/08                       | 07/29/09     | 433.00      | 609.00                 | -176.00   |         | Y                 |
|                                                 | Trade         | 25,000                  |                        | 07/08/08                       | 07/30/09     | 364.38      | 507.50                 | -143.12   |         | Y                 |
|                                                 | Trade         | 282,000                 |                        | 07/08/08                       | 07/31/09     | 4,106.35    | 5,724.60               | -1,618.25 |         | Y                 |
| TESCO PLC SPONS ADR<br>UNITED KINGDOM           | Trade         | 103,000                 |                        | 07/08/08                       | 08/24/09     | 1,873.18    | 2,239.22               | -366.04   |         | Y                 |
|                                                 | Trade         | 38,000                  |                        | 07/08/08                       | 09/25/09     | 701.08      | 826.12                 | -125.04   |         | Y                 |
| THALES SPONS ORD                                | Trade         | 27,000                  |                        | 07/08/08                       | 09/28/09     | 1,337.14    | 1,514.97               | -177.83   |         | Y                 |
| UNILEVER NV N Y SHS NEW<br>NETHERLANDS SPON ADR | Trade         | 65,000                  |                        | 08/13/08                       | 09/25/09     | 1,830.56    | 1,871.81               | -41.35    |         | Y                 |
|                                                 | Trade         | 45,000                  |                        | 08/13/08                       | 10/12/09     | 1,353.24    | 1,295.94               | 57.30     |         | Y                 |
| VERWALTUNGS UND PRIVAT<br>BANK AG VADUZ         | Trade         | 8,000                   |                        | 07/08/08                       | 09/28/09     | 912.78      | 2,082.00               | -1,149.22 |         | Y                 |
|                                                 | Trade         | 20,000                  |                        | 07/08/08                       | 12/23/09     | 1,878.57    | 5,155.00               | -3,276.43 |         | Y                 |
|                                                 | Trade         | 5,000                   |                        | 07/08/08                       | 12/28/09     | 474.45      | 1,288.75               | -814.30   |         | Y                 |
|                                                 | Trade         | 8,000                   |                        | 07/08/08                       | 12/28/09     | 759.66      | 2,062.00               | -1,302.34 |         | Y                 |

Account Number WE 53764 WN  
 Your Financial Advisor or Contact  
 COHEN, CHAPIN, BARBERA  
 212-626-8500/800-458-1764

Page 48 of 48

UBS Financial Services Inc.

# 2009 Informational Tax Statement

C32G001528-X

## Long-Term Gain/Loss - continued

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B

| Security Description               | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss  | Remarks | Matches<br>1099-B |
|------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|------------|---------|-------------------|
| VODAFONE GROUP PLC NEW<br>SPON ADR | Trade         | 86,000                  |                        | 07/08/08                       | 07/14/09     | 1,581.85    | 2,640.20               | -1,058.35  |         | Y                 |
|                                    | Trade         | 78,000                  |                        | 07/08/08                       | 07/29/09     | 1,526.15    | 2,394.60               | -868.45    |         | Y                 |
|                                    | Trade         | 105,000                 |                        | 07/08/08                       | 09/25/09     | 2,390.94    | 3,223.50               | -832.56    |         | Y                 |
| ZURICH FINANCIAL SVCS<br>SPON ADR  | Trade         | 35,000                  |                        | 07/08/08                       | 09/03/09     | 748.04      | 845.95                 | -97.91     |         | Y                 |
|                                    | Trade         | 15,000                  |                        | 07/08/08                       | 09/04/09     | 322.52      | 362.55                 | -40.03     |         | Y                 |
|                                    | Trade         | 59,000                  |                        | 07/08/08                       | 09/25/09     | 1,354.01    | 1,426.03               | -72.02     |         | Y                 |
|                                    | Trade         | 60,000                  |                        | 07/08/08                       | 10/20/09     | 1,482.36    | 1,450.20               | 32.16      |         | Y                 |
| Total Long-Term Gain/Loss          |               |                         |                        |                                |              | 133,738.58  | 173,351.49             | -39,612.91 |         |                   |

**Barbara & Gary Brandt Family Foundation**  
**December 31, 2009**  
**Capital Gain/Loss**

**Sch IV - UBS - A/c # WE53764.**

| <u>Security Description</u> | <u>Date<br/>Sold</u> | <u>Qty<br/>Sold</u> | <u>Sale<br/>Proceeds</u> | <u>Cost for<br/>Qty</u> | <u>Total<br/>Cost</u> | <u>Prorated<br/>Cost</u> | <u>Gain /<br/>(Loss)</u> |
|-----------------------------|----------------------|---------------------|--------------------------|-------------------------|-----------------------|--------------------------|--------------------------|
| Gold Fields Ltd Spon ADR    | 01/26/2009           | 75                  | 744                      |                         |                       |                          |                          |
|                             | 01/29/2009           | 121                 | 1,279                    |                         |                       |                          |                          |
|                             | 09/25/2009           | 54                  | 720                      |                         |                       |                          |                          |
|                             |                      | 250                 | 2,743                    | 408                     | 6,329                 | 3,878                    | (1,135)                  |
| Nestle SA Sponsored ADR     | 01/12/2009           | 39                  | 1,400                    |                         |                       |                          |                          |
|                             | 01/29/2009           | 103                 | 3,610                    |                         |                       |                          |                          |
|                             |                      | 142                 | 5,010                    | 142                     | 13,676                | 13,676                   | (8,666)                  |
| Standard Chartered PLC      | 01/29/2009           | 5                   | 60                       | 5                       | 6,210                 | 6,210                    | (6,150)                  |
| Vodafone Group PLC          | 01/29/2009           | 136                 | 2,558                    |                         |                       |                          |                          |
|                             | 03/24/2009           | 9                   | 160                      |                         |                       |                          |                          |
|                             | 06/11/2009           | 119                 | 2,270                    |                         |                       |                          |                          |
|                             | 07/14/2009           | 26                  | 478                      |                         |                       |                          |                          |
|                             |                      | 290                 | 5,466                    | 290                     | 7,233                 | 7,233                    | (1,767)                  |
| Various Securities          | Various              |                     | 678                      |                         |                       | 1,231                    | (553)                    |
| <b>Total</b>                |                      |                     | <b>13,957</b>            |                         |                       | <b>32,229</b>            | <b>(18,272)</b>          |

Account Number WE 53895 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 15 of 19

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001521-X

## 2009 Realized Gain/Loss Summary

(Includes sale proceeds not reported on Form 1099-B)

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS. If your sale amount matches the amount on Form 1099-B, which is reported to the IRS, it is marked as such. Please rely on the confirmations previously provided to you as your official activity record.

Estimated 2009 Gain/Loss for transactions with trade dates through 12/31/09 has been incorporated into this statement. Realized gain/loss is based on the sales amount less the cost basis. Please note that gain or loss recognized on the sale or redemption of certain Structured Products may be ordinary, and not capital, gain or loss. Please check with your tax advisor and/or read the tax-related disclosure materials associated with those products. Note that the Original Cost Basis column is only populated for transactions of securities that have had their basis reduced due to premium amortization or increased due to OID accretion.

The cost basis of the oldest security lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate Gain/Loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (\*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/Loss may not have been adjusted for all capital changes. Gain/Loss values that may be subject to Wash Sale provisions are not indicated here. "Earnings" in the Purchase Date column indicates the position sold was acquired on various dates through dividend reinvestment and that the Gain/Loss has been aggregated.

Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. The OID amount reported on your Form 1099-OID is not adjusted for Market Discount, Acquisition Premium, or Bond Premium. Therefore, the amortization and accretion adjustments used here may not be consistent with the Form 1099-OID amount because the reporting requirements are different.

Gain/Loss from short sale closings are reported as short-term regardless of the holding period of property used to close the short position. Clients should consult their tax advisors as to whether exceptions may apply in their particular case to change this classification. The potential application of the "Constructive Sale" provisions (Sec 1259) for short-against-the box transactions is not considered. Clients should discuss the possible application of these provisions with their tax advisor.

|                                                                    | Purchase        | Sale            | Gains        | Losses        | Net Gain/Loss |
|--------------------------------------------------------------------|-----------------|-----------------|--------------|---------------|---------------|
| Short-term Gain/Loss:                                              | \$ 1,140,239.95 | \$ 1,105,289.27 | \$ 49,289.31 | \$ -84,239.99 | \$ -34,950.68 |
| Long-term Gain/Loss:                                               | 136,292.35      | 129,150.67      |              | -7,141.68     | -7,141.68     |
| Unclassified Gain/Loss:                                            |                 | 22,529.28       |              |               |               |
| Sub Total:                                                         | \$ 1,276,532.30 | \$ 1,234,439.94 | \$ 49,289.31 | \$ -91,381.67 | \$ -42,092.36 |
| Activity with Gain/Loss not calculated due to missing information: | \$              | \$ 22,529.28    | \$           | \$            | \$            |
| Total:                                                             | \$ 1,276,532.30 | \$ 1,256,969.22 | \$ 49,289.31 | \$ -91,381.67 | \$ -42,092.36 |

## Short-Term Gain/Loss

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                       | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss  | Remarks | Matches<br>1099-B |
|------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|------------|---------|-------------------|
| BANK OF AMER CORP 8.625%<br>PREFERRED CLBL                 | Trade         | 2,000.000               |                        | 08/20/08                       | 02/08/09     | 21,340.16   | 47,953.25              | -26,613.09 |         | Y                 |
|                                                            | Trade         | 1,500.000               |                        | 03/25/09                       | 08/02/09     | 28,206.94   | 18,600.00              | 9,606.94   |         | Y                 |
| CITIGROUP<br>8.500%                                        | Trade         | 500.000                 |                        | 08/23/08                       | 01/27/09     | 3,927.97    | 12,032.06              | -8,104.09  |         | Y                 |
| PERPETUAL CALLABLE                                         | Trade         | 1,500.000               |                        | 06/23/08                       | 02/20/09     | 5,570.06    | 36,098.19              | -30,528.13 |         | Y                 |
| FFCB BOND<br>04.000 % DUE 122418<br>DTD 122408 FC 06242009 | Trade         | 50,000.000              |                        | 01/14/09                       | 12/15/09     | 49,000.00   | 50,250.00              | -1,250.00  |         | Y                 |

Account Number WE 53895 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 16 of 19

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001522-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1095-B

| Security Description                                            | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| FFCB BOND<br>02.700 % DUE 100713<br>DTD 100709 FC 04072010      | Trade         | 50,000.000              |                        | 10/05/09                       | 12/15/09     | 49,812.50   | 50,001.50              | -189.00   |         | Y                 |
| FFCB CALL BOND<br>04.500 % DUE 031014<br>DTD 031008 FC 09102008 | Redemption    | 25,000.000              |                        | 12/16/08                       | 01/02/09     | 25,000.00   | 24,987.50              | 12.50     |         | Y                 |
| FFCB CALL BOND<br>05.000 % DUE 092415<br>DTD 032408 FC 09242008 | Redemption    | 25,000.000              |                        | 06/26/08                       | 02/10/09     | 25,000.00   | 24,904.00              | 96.00     |         | Y                 |
| FFCB CALL BOND<br>03.300 % DUE 102210<br>DTD 042208 FC 10222008 | Redemption    | 50,000.000              |                        | 09/11/08                       | 01/14/09     | 50,000.00   | 49,903.10              | 96.90     |         | Y                 |
| FHLB BOND<br>01.625 % DUE 082511<br>DTD 082509 FC 02252010      | Redemption    | 50,000.000              |                        | 10/01/09                       | 11/25/09     | 50,000.00   | 50,070.50              | -70.50    |         | Y                 |
| FHLB BOND<br>05.310 % DUE 102314<br>DTD 102307 FC 04232008      | Redemption    | 25,000.000              |                        | 04/22/09                       | 10/23/09     | 25,000.00   | 25,567.75              | -567.75   |         | Y                 |
| FHLB CALL BOND<br>04.150 % DUE 051413<br>DTD 051408 FC 11142008 | Redemption    | 20,000.000              |                        | 12/16/08                       | 06/19/09     | 20,000.00   | 20,112.20              | -112.20   |         | Y                 |
| FHLB CALL BOND<br>04.750 % DUE 010713<br>DTD 010708 FC 07072008 | Redemption    | 35,000.000              |                        | 07/17/08                       | 01/09/09     | 35,000.00   | 34,991.25              | 8.75      |         | Y                 |
| FHLB CALL BOND<br>03.000 % DUE 021313<br>DTD 021309 FC 08132009 | Redemption    | 20,000.000              |                        | 04/09/09                       | 08/27/09     | 20,000.00   | 20,000.00              |           |         | Y                 |

Continued on page 17

2009 INFO / WE 53895 WN



Account Number WE 53895 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-828-8500/800-458-1784

Page 17 of 19

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001323-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                                | Activity Type                    | Quantity/<br>Face value                                   | Original Cost<br>Basis | Purchase date<br>Date Acquired                           | Date<br>Sold                                             | Sale Amount                                                  | Cost or Other<br>Basis                                       | Gain/Loss                                                | Remarks | Matches<br>1099-B     |
|---------------------------------------------------------------------|----------------------------------|-----------------------------------------------------------|------------------------|----------------------------------------------------------|----------------------------------------------------------|--------------------------------------------------------------|--------------------------------------------------------------|----------------------------------------------------------|---------|-----------------------|
| FHLB CALL BOND<br>05.800 % DUE 06/09/20<br>DTD 06/09/05 FC 12092005 | Redemption                       | 25,000.000                                                |                        | 07/07/08                                                 | 01/30/09                                                 | 25,000.00                                                    | 24,945.38                                                    | 54.62                                                    |         | Y                     |
| FHLB CALL BOND<br>05.375 % DUE 03/27/14<br>DTD 03/27/07 FC 09272007 | Redemption                       | 30,000.000                                                |                        | 06/26/08                                                 | 03/27/09                                                 | 30,000.00                                                    | 30,351.90                                                    | -351.90                                                  |         | Y                     |
| ING GROEP N V 8.500%<br>PREFERRED CLBL PAR VALUE<br>- 25.00 USD     | Trade<br>Trade                   | 500.000<br>1,000.000                                      |                        | 08/06/08<br>06/11/09                                     | 03/05/09<br>08/20/09                                     | 2,625.88<br>16,161.04                                        | 12,525.00<br>19,420.00                                       | -9,899.12<br>-3,258.96                                   |         | Y<br>Y                |
| ISHARES IBOX \$ INVT GRA<br>DE CORPORATE BOND FUND                  | Trade                            | 250.000                                                   |                        | 10/07/09                                                 | 12/15/09                                                 | 26,289.32                                                    | 26,272.50                                                    | 16.82                                                    |         | Y                     |
| ISHARES BARCLAYS 1-3 YR<br>TREAS BOND FD                            | Trade<br>Trade<br>Trade          | 200.000<br>200.000<br>200.000                             |                        | 01/27/09<br>09/30/09<br>10/05/09                         | 12/15/09<br>12/15/09<br>12/15/09                         | 16,679.87<br>16,679.87<br>16,679.87                          | 16,667.56<br>16,795.50<br>16,807.34                          | -187.69<br>-115.63<br>-127.47                            |         | Y<br>Y<br>Y           |
| ISHARES IBOXX HIGH YIELD<br>CORP BOND                               | Trade<br>Trade                   | 250.000<br>250.000                                        |                        | 01/29/09<br>03/19/09                                     | 08/05/09<br>08/05/09                                     | 20,717.17<br>20,717.17                                       | 18,997.50<br>17,130.90                                       | 1,719.67<br>3,586.27                                     |         | Y<br>Y                |
| MORGAN STANLEY NTS B/E<br>04.750% 040114 DTD033004<br>FC100104      | Trade                            | 50,000.000                                                |                        | 10/02/09                                                 | 12/15/09                                                 | 50,625.50                                                    | 50,186.00                                                    | 439.50                                                   |         | Y                     |
| NAT CITY CAP IV<br>8.000%<br>DUE 08/30/67 CALLABLE                  | Trade<br>Trade                   | 1,000.000<br>500.000                                      |                        | 06/02/09<br>12/01/09                                     | 12/15/09<br>12/15/09                                     | 24,814.96<br>12,407.48                                       | 22,250.00<br>12,471.98                                       | 2,564.96<br>-64.50                                       |         | Y<br>Y                |
| PIMCO HIGH INCOME FUND                                              | Trade<br>Trade                   | 1,766.000<br>21.000                                       |                        | 08/20/09<br>Earnings                                     | 09/10/09<br>10/05/09                                     | 18,161.12<br>209.78                                          | 16,400.36<br>207.48                                          | 1,760.76<br>2.30                                         |         | Y<br>Y                |
| PRUDENTIAL FINL INC<br>CUMUL 9.000%<br>DUE 06/15/38 CALLABLE        | Trade<br>Trade<br>Trade<br>Trade | 1,000.000<br>800.000<br>200.000<br>1,000.000<br>1,000.000 |                        | 06/27/08<br>07/28/08<br>01/06/09<br>02/08/09<br>03/19/09 | 06/08/09<br>06/08/09<br>06/08/09<br>11/24/09<br>11/24/09 | 23,568.96<br>18,855.17<br>4,713.79<br>26,158.12<br>26,158.12 | 24,980.00<br>19,978.00<br>4,646.00<br>20,139.80<br>15,512.50 | -1,411.04<br>-1,122.83<br>67.79<br>6,018.32<br>10,645.62 |         | Y<br>Y<br>Y<br>Y<br>Y |

Account Number WE 53895 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 18 of 19

UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001524-X

### Short-Term Gain/Loss - continued

This section includes securities held for less than one year, and option contracts that are not reported on Form 1099-B

| Security Description                                                    | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount         | Cost or Other<br>Basis | Gain/Loss         | Remarks | Matches<br>1099-B |
|-------------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|---------------------|------------------------|-------------------|---------|-------------------|
| PRUDENTIAL FINL INC<br>CUML 9 000%<br>DUE 06/15/38 CALLABLE             | Trade         | 500,000                 |                        | 06/22/09                       | 11/24/09     | 13,079.06           | 11,847.14              | 1,231.92          |         | Y                 |
| SPDR SER TRUST BARCLAYS<br>CAPITAL HIGH YIELD ETF                       | Trade         | 495,000                 |                        | 09/10/09                       | 12/15/09     | 19,291.14           | 18,171.55              | 1,119.59          |         | Y                 |
| TENN VALLEY AUTH MTN<br>5.625 % DUE 07/15/13<br>DTD 072006 FC 01152007  | Redemption    | 60,000,000              |                        | 07/02/08                       | 03/19/09     | 60,000.00           | 60,134.16              | -134.16           |         | Y                 |
| US TSY INFL PROT NOTE<br>01.875 % DUE 07/15/13<br>FACTOR 1.177340000000 | Trade         | 20,000,000              |                        | 12/15/08                       | 12/15/09     | 24,867.00           | 21,759.46              | 3,107.54          |         | Y                 |
|                                                                         | Trade         | 20,000,000              |                        | 02/10/09                       | 12/15/09     | 24,867.00           | 24,040.84              | 826.16            |         | Y                 |
| US TSY INFL PROT NOTE<br>01.875 % DUE 07/15/15<br>FACTOR 1.111690000000 | Trade         | 25,000,000              |                        | 02/03/09                       | 12/15/09     | 29,463.30           | 27,520.58              | 1,942.72          |         | Y                 |
| US TSY INFL PROT NOTE<br>02.375 % DUE 04/15/11<br>FACTOR 1.089420000000 | Trade         | 20,000,000              |                        | 01/05/09                       | 12/15/09     | 22,438.56           | 21,385.90              | 1,052.66          |         | Y                 |
| US TSY INFL PROT NOTE<br>01.625 % DUE 01/15/18<br>FACTOR 1.032160000000 | Trade         | 25,000,000              |                        | 10/07/09                       | 12/15/09     | 26,628.54           | 26,260.90              | 367.64            |         | Y                 |
|                                                                         | Trade         | 25,000,000              |                        | 11/16/09                       | 12/15/09     | 26,628.54           | 26,762.47              | -133.93           |         | Y                 |
| US TSY NOTE 03.125 %<br>DUE 04/30/13<br>DTD 04/30/08 FC 10/31/08        | Trade         | 50,000,000              |                        | 07/16/08                       | 04/22/09     | 52,945.31           | 50,001.95              | 2,943.36          |         | Y                 |
| <b>Total Short-Term Gain/Loss</b>                                       |               |                         |                        |                                |              | <b>1,105,289.27</b> | <b>1,140,239.95</b>    | <b>-34,950.68</b> |         |                   |



Account Number WE 53895 WN  
Your Financial Advisor or Contact  
COHEN, CHAPIN, BARBERA  
212-626-8500/800-458-1764

Page 19 of 19 UBS Financial Services Inc.

## 2009 Informational Tax Statement

C32L001525-X

### Long-Term Gain/Loss

This section includes securities held for more than one year, and option contracts that are not reported on Form 1099-B.

| Security Description                                                  | Activity Type | Quantity/<br>Face value | Original Cost<br>Basis | Purchase date<br>Date Acquired | Date<br>Sold | Sale Amount | Cost or Other<br>Basis | Gain/Loss | Remarks | Matches<br>1099-B |
|-----------------------------------------------------------------------|---------------|-------------------------|------------------------|--------------------------------|--------------|-------------|------------------------|-----------|---------|-------------------|
| ISHARES BARCLAYS TIPS<br>BOND FUND                                    | Trade         | 500,000                 |                        | 06/23/08                       | 12/15/09     | 52,318.65   | 52,870.25              | -551.60   |         | Y                 |
| NATL BANK GREECE SA<br>9.000% PREFERRED CLBL<br>PAR VALUE - 25.00 USD | Trade         | 1,200,000               |                        | 06/20/08                       | 12/15/09     | 25,223.35   | 31,082.10              | -5,858.75 |         | Y                 |
| SPDR BARCLAYS CAPITAL<br>TIPS                                         | Trade         | 1,000,000               |                        | 07/09/08                       | 12/15/09     | 51,608.67   | 52,340.00              | -731.33   |         | Y                 |
| Total Long-Term Gain/Loss                                             |               |                         |                        |                                |              | 129,150.67  | 136,292.35             | -7,141.68 |         |                   |



**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

▶ File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868****Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

**Electronic Filing (e-file).** Generally, you can electronically file Form 8868 if you want a 3-month automatic extension of time to file one of the returns noted below (6 months for a corporation required to file Form 990-T). However, you cannot file Form 8868 electronically if (1) you want the additional (not automatic) 3-month extension or (2) you file Forms 990-BL, 6069, or 8870, group returns, or a composite or consolidated Form 990-T. Instead, you must submit the fully completed and signed page 2 (Part II) of Form 8868. For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on e-file for Charities & Nonprofits.

|                                                                                     |                                                                                                                                       |                                |
|-------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions. | Name of Exempt Organization                                                                                                           | Employer identification number |
|                                                                                     | <b>BARBARA &amp; GARY BRANDT FAMILY FOUNDATION</b>                                                                                    | <b>26-1396548</b>              |
|                                                                                     | Number, street, and room or suite no. If a P.O. box, see instructions.<br><b>8 GRAF REPETTI &amp; CO. 1114 SIXTH AVENUE, NO. 1701</b> |                                |
|                                                                                     | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>NEW YORK, NY 10036</b>                 |                                |

**Check type of return to be filed** (file a separate application for each return)

- |                                                 |                                                                   |                                    |
|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------|
| <input type="checkbox"/> Form 990               | <input type="checkbox"/> Form 990-T (corporation)                 | <input type="checkbox"/> Form 4720 |
| <input type="checkbox"/> Form 990-BL            | <input type="checkbox"/> Form 990-T (sec. 401(a) or 408(a) trust) | <input type="checkbox"/> Form 5227 |
| <input type="checkbox"/> Form 990-EZ            | <input type="checkbox"/> Form 990-T (trust other than above)      | <input type="checkbox"/> Form 6069 |
| <input checked="" type="checkbox"/> Form 990-PF | <input type="checkbox"/> Form 1041-A                              | <input type="checkbox"/> Form 8870 |

**GRAF REPETTI & COMPANY, LLP - 1114 AVENUE OF THE**

- The books are in the care of ▶ **AMERICAS, 17TH FL - NEW YORK, NY 10036**

Telephone No ▶ **(212) 302-3300**

FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension will cover

- 1 I request an automatic 3-month (6-months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2010**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
▶ ☒ calendar year **2009** or  
▶ ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

- 2 If this tax year is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                                                               |           |    |               |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|---------------|
| <b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                                                    | <b>3a</b> | \$ | <b>756.</b>   |
| <b>b</b> If this application is for Form 990-PF or 990-T, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.                                               | <b>3b</b> | \$ | <b>4,000.</b> |
| <b>c Balance Due.</b> Subtract line 3b from line 3a. Include your payment with this form, or, if required, deposit with FTD coupon or, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | <b>3c</b> | \$ | <b>0.</b>     |

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 4-2009)